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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation IRENE W. AND C. B. PENNINGTON FOUNDATION		A Employer identification number 72-0938097
Number and street (or P O box number if mail is not delivered to street address) P.O. BOX 1083		B Telephone number (225) 922-4600
City or town, state, and ZIP code BATON ROUGE, LA 70821		C If exemption application is pending, check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 129,995,176.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check ☒ If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		1,279.	1,279.		STATEMENT 1
4 Dividends and interest from securities		3,482,891.	3,482,891.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		689,116.			
b Gross sales price for all assets on line 6a		66,179,330.			
7 Capital gain net income (from Part IV, line 2)			689,116.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		3,060,477.	2,488,716.	0.	STATEMENT 3
12 Total. Add lines 1 through 11		7,233,763.	6,662,002.	0.	
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages		353,750.	0.	0.	0.
15 Pension plans, employee benefits		35,375.	0.	0.	0.
16a Legal fees					
b Accounting fees		32,633.	16,317.	0.	0.
c Other professional fees		534,883.	534,824.	0.	0.
17 Interest		409,068.	409,068.	0.	0.
18 Taxes		98,107.	69,686.	0.	0.
19 Depreciation and depletion		220,909.	129,252.	11,099.	
20 Occupancy		44,876.	0.	0.	0.
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		1,491,218.	1,240,660.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		3,220,819.	2,399,807.	11,099.	0.
25 Contributions, gifts, grants paid		6,345,520.			6,345,520.
26 Total expenses and disbursements. Add lines 24 and 25		9,566,339.	2,399,807.	11,099.	6,345,520.
27 Subtract line 26 from line 12		<2,332,576.>			
a Excess of revenue over expenses and disbursements			4,262,195.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				0.	

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LHA For Paperwork Reduction Act Notice, see the Instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	1,292,870.	813,561.	813,561.	
	2 Savings and temporary cash investments				
	3 Accounts receivable ▶ 5,943,936.				
	Less: allowance for doubtful accounts ▶	1,281,321.	5,943,936.		
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons	49.	49.		
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U S and state government obligations				
	b Investments - corporate stock STMT 10	40,646,317.	38,332,403.	37,801,205.	
	c Investments - corporate bonds				
Liabilities	11 Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶				
	12 Investments - mortgage loans				
	13 Investments - other STMT 11	92,823,418.	89,241,837.	91,366,068.	
	14 Land, buildings, and equipment basis ▶ 72,833.				
	Less: accumulated depreciation STMT 12 ▶	21,325.	14,342.	14,342.	
	15 Other assets (describe ▶ DEPOSITS)	900.	900.	0.	
	16 Total assets (to be completed by all filers)	136,066,200.	134,347,028.	129,995,176.	
	17 Accounts payable and accrued expenses	260.	802.		
	18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶ STATEMENT 13)	29,175.	35,375.		
	23 Total liabilities (add lines 17 through 22)	29,435.	36,177.		
Foundations that follow SFAS 117, check here ▶ ☐	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒	and complete lines 27 through 31.			
		27 Capital stock, trust principal, or current funds	0.	0.	
		28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	136,036,765.	134,310,851.			
30 Total net assets or fund balances	136,036,765.	134,310,851.			
31 Total liabilities and net assets/fund balances	136,066,200.	134,347,028.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	136,036,765.
2 Enter amount from Part I, line 27a	2	<2,332,576.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	623,792.
4 Add lines 1, 2, and 3	4	134,327,981.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	17,130.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	134,310,851.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 66,179,330.			689,116.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			689,116.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	689,116.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	6,249,282.	112,359,040.	.055619
2008	6,842,809.	142,209,292.	.048118
2007	7,031,438.	160,412,169.	.043834
2006	7,133,383.	140,258,904.	.050859
2005	6,910,182.	117,613,170.	.058753

2 Total of line 1, column (d)	2	.257183
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051437
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	118,830,703.
5 Multiply line 4 by line 3	5	6,112,295.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	42,622.
7 Add lines 5 and 6	7	6,154,917.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	6,345,520.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)	1	42,622.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	2	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)	3	42,622.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
3	Add lines 1 and 2	5	42,622.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	6a	347,202.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	6b	
6	Credits/Payments	6c	
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6d	
b	Exempt foreign organizations - tax withheld at source	7	347,202.
c	Tax paid with application for extension of time to file (Form 8868)	8	
d	Backup withholding erroneously withheld	9	
7	Total credits and payments. Add lines 6a through 6d	10	304,580.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	11	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		
11	Enter the amount of line 10 to be credited to 2011 estimated tax ☒ 304,580. Refunded ☒		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ LA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13		X
14	The books are in care of ► POSTLETHWAITE & NETTERVILLE, CPA'S Telephone no ► (225) 922-4600 Located at ► 8550 UNITED PLAZA BLVD, BATON ROUGE, LA ZIP+4 ► 70809			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐ N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐ N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) ☐ N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LORI J BERTMAN - 3635 GLADIOLA STREET, BATON ROUGE, LA 70808	PRESIDENT/CEO 40.00	246,500.	0.	0.
VONNIE L HAWKINS - 13564 NEIL AVENUE, BATON ROUGE, LA 70810	PROGRAM OFFICER 40.00	71,750.	0.	0.

Total number of other employees paid over \$50,000

0

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Part VIII

Paid Employees, and Contractors (continued)[illegible]

C

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	
2		
	All other program-related investments See instructions.	
3		
Total. Add lines 1 through 3		0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	1a	115,719,662.
a	Average monthly fair market value of securities	1b	4,920,646.
b	Average of monthly cash balances	1c	
c	Fair market value of all other assets	1d	120,640,308.
d	Total (add lines 1a, b, and c)		
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	120,640,308.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,809,605.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	118,830,703.
6	Minimum investment return. Enter 5% of line 5	6	5,941,535.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	5,941,535.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	42,622.
b	Income tax for 2010. (This does not include the tax from Part VI)	2b	91,394.
c	Add lines 2a and 2b	2c	134,016.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	5,807,519.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	5,807,519.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	5,807,519.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	1a	6,345,520.
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1b	0.
b	Program-related investments - total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		
3	Amounts set aside for specific charitable projects that satisfy the	3a	
a	Suitability test (prior IRS approval required)	3b	
b	Cash distribution test (attach the required schedule)	4	6,345,520.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4		
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	42,622.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,302,898.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				5,807,519.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005	1,923,889.			
b From 2006	835,988.			
c From 2007				
d From 2008				
e From 2009	703,557.			
f Total of lines 3a through e	3,463,434.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 6,345,520.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				5,807,519.
e Remaining amount distributed out of corpus	538,001.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,001,435.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	1,923,889.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	2,077,546.			
10 Analysis of line 9				
a Excess from 2006	835,988.			
b Excess from 2007				
c Excess from 2008				
d Excess from 2009	703,557.			
e Excess from 2010	538,001.			

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- ☐ Yes ☒ No

- (c) Description of relationship**

(1) Name of the organization	(2) Type of organization	(3) Date of establishment
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

**Sign
Here**

Signature of officer or trustee

Nov. 9, 2011

Date _____

Trustee

Title

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ self-employed

PTIN

**Paid
Preparer
Use Only**

RALPH STEPHENS

Firm's name ► POSTLETHWAITE & NETTERVILLE

Firm's address ► 8550 UNITED PLAZA BLVD, SUITE 1001
BATON ROUGE, LA 70809

Firm's EIN ▶

Phone no (225) 922-4600

Form **990-PF** (2010)

**Schedule K-1
(Form 1065)**

Department of the Treasury
Internal Revenue Service

2010

For calendar year 2010, or tax

year beginning _____, 2010

ending _____, 20____

**Partner's Share of Income, Deductions,
Credits, etc.** ▶ See back of form and separate instructions.

☐ Final K-1

☐ Amended K-1

651110

OMB No 1545-0099

**Schedule K-1
(Form 1065)**

Department of the Treasury
Internal Revenue Service

2010

For calendar year 2010, or tax
year beginning _____, 2010
ending _____, 20____

☐ First K-1

☐ Amended K-1

651110
OMB No. 1545-0047

**Partner's Share of Income, Deductions,
Credits, etc.** ▶ See back of form and separate instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a EQUITAS - NWQ - ST (SEE ATTACHED)	P		
b EQUITAS - NWQ - LT (SEE ATTACHED)	P		
c EQUITAS - BUCKHEAD - ST (SEE ATTACHED)	P		
d EQUITAS - BUCKHEAD - LT (SEE ATTACHED)	P		
e EQUITAS - LAZARD - ST (SEE ATTACHED)	P		
f EQUITAS - LAZARD - LT (SEE ATTACHED)	P		
g EQUITAS NWQ INTERNATIONAL - ST (SEE ATTACHED)	P		
h EQUITAS NWQ INTERNATIONAL - LT (SEE ATTACHED)	P		
i FRANKLIN TEMPLETON EMERGING MARKET	P		
j EQUITAS WCM - ST (SEE ATTACHED)	P		
k EQUITAS - WCM - LT (SEE ATTACHED)	P		
l EQUITAS - KEELEY - ST (SEE ATTACHED)	P		
m EQUITAS - KEELEY - LT (SEE ATTACHED)	P		
n EQUITAS - WENTWORTH - LT (SEE ATTACHED)	P		
o UBS - ANCHOR - ST (SEE ATTACHED)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 362,424.			53,341.
b 1,310,686.			101,116.
c 1,619,120.			360,371.
d 1,915,764.			<117,292.>
e 3,012,980.			466,282.
f 211,461.			68,739.
g 436,530.			82,144.
h 1,219,360.			160,353.
i 57,575.			5,181.
j 230,313.			20,731.
k 689,650.			<141,662.>
l 36,195.			<25,040.>
m 397,211.			<84,473.>
n 1,169,989.			<146,367.>
o 19,672.			6,089.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			53,341.
b			101,116.
c			360,371.
d			<117,292.>
e			466,282.
f			68,739.
g			82,144.
h			160,353.
i			5,181.
j			20,731.
k			<141,662.>
l			<25,040.>
m			<84,473.>
n			<146,367.>
o			6,089.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a UBS - ANCHOR - LT (SEE ATTACHED)	P		
b UBS - LORD ABBETT - ST (SEE ATTACHED)	P		
c UBS - LORD ABBETT - LT (SEE ATTACHED)	P		
d UBS - MARISCO - ST (SEE ATTACHED)	P		
e UBS - MARISCO - LT (SEE ATTACHED)	P		
f UBS - NEWTON - ST (SEE ATTACHED)	P		
g UBS - NEWTON - LT (SEE ATTACHED)	P		
h UBS - OAK RIDGE - ST (SEE ATTACHED)	P		
i UBS - OAK RIDGE - LT (SEE ATTACHED)	P		
j UBS - PMP (SEE ATTACHED)	P		
k UBS - TRADEWINDS - ST (SEE ATTACHED)	P		
l UBS - TRADEWINDS - LT (SEE ATTACHED)	P		
m UBS - WELLS CAPITAL - ST - (SEE ATTACHED)	P		
n UBS - WELLS CAPITAL - LT - (SEE ATTACHED)	P		
o UBS - WHV - ST (SEE ATTACHED)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 14,415.			4,248.
b 108,299.			12,205.
c 23,134.			2,935.
d 114,807.			3,478.
e 28,930.			6,249.
f 56,999.			5,859.
g 25,456.			2,778.
h 23,270.			1,664.
i 25,957.			1,087.
j 52,091,735.			847,645.
k 43,222.			8,780.
l 23,959.			6,457.
m 434,864.			42,323.
n 43,732.			14,790.
o 26,137.			5,663.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			4,248.
b			12,205.
c			2,935.
d			3,478.
e			6,249.
f			5,859.
g			2,778.
h			1,664.
i			1,087.
j			847,645.
k			8,780.
l			6,457.
m			42,323.
n			14,790.
o			5,663.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS - WHV - LT (SEE ATTACHED)	P		
b	PIEDMONT PARTNERS - ST			
c	PIEDMONT PARTNERS - LT			
d	1231 GAIN			
e	1256 GAIN			
f	LA CENTRAL LAND - LT			
g	MERIDIAN - LT			
h	1250 GAIN			
i	MERIDIAN HORIZON - PARTIAL SALE			
j	BLACKSTONE GROUP - ST			
k	BLACKSTONE GROUP - LT			
l	NUSTAR ENERGY - LT			
m	REGENCY ENERGY PARTNERS (1231)			
n	LINN ENERGY - LT			
o	BOARDWALK PIPELINE PARTNERS 1 - LT			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 124,297.			17,247.
b			<57,056.>
c			<142,342.>
d			<13,445.>
e			<194,371.>
f			1,020.
g			494,076.
h			1,861.
i			<1,345,100.>
j			35.
k			601.
l			2.
m			<3.>
n			<126.>
o			415.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			17,247.
b			<57,056.>
c			<142,342.>
d			<13,445.>
e			<194,371.>
f			1,020.
g			494,076.
h			1,861.
i			<1,345,100.>
j			35.
k			601.
l			2.
m			<3.>
n			<126.>
o			415.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	ICAHN ENTERPRISES -- ST			
b	ICAHN ENTERPRISES -- LT			
c	ASHMORE	P		
d	EVERGREEN			
e	1250 GAIN			
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			116.
b			875.
c	257,202.		<19,177.>
d			274,410.
e			<129,581.>
f	23,985.		23,985.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			116.
b			875.
c			<19,177.>
d			274,410.
e			<129,581.>
f			23,985.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	689,116.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

2010 DEPRECIATION AND AMORTIZATION REPORT

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Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction in Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	RUG	06/30/08	200DB	7.00		HY17	604.			302.	302.	117.		53.	170.
2	RECEPTION DESK	02/12/08	200DB	7.00		HY17	10,474.			5,237.	5,237.	2,031.		916.	2,947.
3	FILE CABINETS	02/12/08	200DB	7.00		HY17	1,955.			978.	977.	379.		171.	550.
4	PHONE	02/09/08	200DB	5.00		HY17	322.			161.	161.	84.		31.	115.
5	HEADSET	06/25/08	200DB	5.00		HY17	301.			151.	150.	78.		29.	107.
6	OFFICE DECOR	03/28/08	200DB	7.00		HY17	180.			90.	90.	35.		16.	51.
7	BENCHES/STOOLS	03/28/08	200DB	7.00		HY17	2,200.			1,100.	1,100.	426.		192.	618.
8	4 DESK CHAIRS	03/19/08	200DB	7.00		HY17	1,149.			575.	574.	223.		100.	323.
9	CREDENZA	03/19/08	200DB	7.00		HY17	492.			246.	246.	95.		43.	138.
10	FURNITURE ~ 4 DRAWERS	03/04/08	200DB	7.00		HY17	641.			321.	320.	124.		56.	180.
11	ART - DAY 39	04/16/08	200DB	7.00		HY17	2,889.			1,445.	1,444.	560.		253.	813.
12	ART ~ BAMBOO SONG	04/16/08	200DB	7.00		HY17	2,256.			1,128.	1,128.	437.		197.	634.
13	COMPUTER MONITOR	03/27/08	200DB	5.00		HY17	273.			137.	136.	71.		26.	97.
14	DESKTOP	02/27/08	200DB	5.00		HY17	941.			471.	470.	244.		90.	334.
15	DESKTOP	02/27/08	200DB	5.00		HY17	941.			471.	470.	244.		90.	334.
16	MONITOR	02/27/08	200DB	5.00		HY17	255.			128.	127.	65.		24.	90.
17	MONITOR	02/27/08	200DB	5.00		HY17	255.			128.	127.	65.		24.	90.
18	MONITOR	02/27/08	200DB	5.00		HY17	255.			128.	127.	65.		24.	90.

028111
05-01-10

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2010 DEPRECIATION AND AMORTIZATION REPORT

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Asset No.	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
19	LAPTOP	02/27/08	200DB	5.00		HY17	2,726.			1,363.	1,363.	709.		262.	971.
20	LAPTOP	02/27/08	200DB	5.00		HY17	2,726.			1,363.	1,363.	709.		262.	971.
21	SOFTWARE	02/27/08		36M		HY43	1,916.				1,916.	1,171.		639.	1,810.
22	PRINTER	02/27/08	200DB	5.00		HY17	452.			231.	231.	120.		44.	154.
23	PHONE SYSTEM	02/27/08	200DB	5.00		HY17	6,848.			3,424.	3,424.	1,781.		657.	2,438.
24	NETWORK BACKUP SYSTEM	02/27/08	200DB	5.00		HY17	680.			340.	340.	177.		65.	242.
25	RUG	02/18/08	200DB	7.00		HY17	1,356.			678.	678.	263.		119.	382.
26	ART - CANVAS	02/20/08	200DB	7.00		HY17	4,796.			2,398.	2,398.	930.		419.	1,349.
27	3 CHAIRS	02/12/08	200DB	7.00		HY17	2,397.			1,199.	1,198.	464.		210.	674.
28	4 TABLES	02/09/08	200DB	7.00		HY17	1,818.			909.	909.	353.		159.	512.
29	WORKTOP TABLE	02/09/08	200DB	7.00		HY17	2,255.			1,128.	1,127.	437.		197.	634.
30	CREDENZA	02/09/08	200DB	7.00		HY17	3,248.			1,624.	1,624.	630.		284.	914.
31	TABLE	02/09/08	200DB	7.00		HY17	764.			382.	382.	149.		67.	216.
32	SOFA	02/09/08	200DB	7.00		HY17	1,758.			879.	879.	341.		154.	495.
33	2 ARMCHAIRS	02/09/08	200DB	7.00		HY17	1,986.			993.	993.	385.		174.	559.
34	2 METAL ARMCHAIRS	02/09/08	200DB	7.00		HY17	3,502.			1,751.	1,751.	679.		306.	985.
35	2 WHITE ARMCHAIRS	02/09/08	200DB	7.00		HY17	723.			362.	361.	140.		63.	203.
36	ROUND TABLE	02/09/08	200DB	7.00		HY17	318.			159.	159.	62.		28.	90.

028111
05-01-10

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2010 DEPRECIATION AND AMORTIZATION REPORT

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Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
37	WORKTOP TABLE	02/09/08	200DB	7.00	HY17	HY17	2,255.			1,128.	1,127.	437.		197.	634.
38	8 ASH CHAIRS	02/09/08	200DB	7.00	HY17	HY17	2,679.			1,340.	1,339.	519.		234.	753.
39	LAMP	02/09/08	200DB	7.00	HY17	HY17	593.			297.	296.	114.		52.	166.
40	2 MINI LAMPS	02/09/08	200DB	7.00	HY17	HY17	644.			322.	322.	125.		56.	181.
	* TOTAL 990-PF PG 1 DEPR & AMORT						72,833.			35,467.	37,366.	16,041.		6,983.	23,024.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MORGAN KEEGAN	10.
TAX EXEMPT INTEREST	1,034.
US TREASURY INTEREST	235.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1,279.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
1980 PARTNERSHIP	6,853.	0.	6,853.
ANCHOR	6,196.	91.	6,105.
BLACKSTONE GROUP	1,617.	0.	1,617.
BOARDWALK PIPELINE PARTNERS	10.	0.	10.
EQUITAS #2043	117,895.	23,739.	94,156.
EQUITAS - BRANDES	239,110.	0.	239,110.
EQUITAS - BUCKHEAD	49,970.	0.	49,970.
EQUITAS - HORIZON	79,254.	0.	79,254.
EQUITAS - NWQ INTERNATIONAL	156,302.	0.	156,302.
EQUITAS - WCM	29,647.	0.	29,647.
EQUITAS - WENTWORTH	136,234.	0.	136,234.
EVERGREEN	714,163.	0.	714,163.
ICAHN ENTERPRISES	5,201.	0.	5,201.
IRS	56,048.	0.	56,048.
KEELY	31,092.	12.	31,080.
LAZARD	16.	0.	16.
LORD ABBETT	3,141.	18.	3,123.
LOUISIANA CENTRAL LAND LLC	74.	0.	74.
MARISCO	2,342.	0.	2,342.
MERIDIAN HORIZON FUND	101,121.	0.	101,121.
MERIDIAN HORIZON FUND	184,212.	0.	184,212.
MERIDIAN SPECIAL FUND	31.	0.	31.
NEWTON	4,054.	0.	4,054.
NUSTAR ENERGY	20.	0.	20.
OAK RIDGE	1,403.	0.	1,403.
OID	3,101.	0.	3,101.
PENNCO ENERGY	3,528.	0.	3,528.
PENNINGTON OIL	1,240,000.	0.	1,240,000.
PIEDMONT PARTNERS	50,997.	0.	50,997.
PMP	195,974.	125.	195,849.
THEMELIOS VENTURES	76,895.	0.	76,895.
TRADEWINDS - NWQ	5,407.	0.	5,407.
WELLS CAPITAL	923.	0.	923.
WHV	4,045.	0.	4,045.
TOTAL TO FM 990-PF, PART I, LN 4	3,506,876.	23,985.	3,482,891.

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
1980 PARTNERSHIP	867,409.	867,409.	0.
1980 PARTNERSHIP	<47,884.>	<47,884.>	0.
BP AMERICAN PRODUCTION COMPANY	96,276.	96,276.	0.
CANCELLATION OF DEBT	227,289.	227,289.	0.
EV ENERGY	15.	15.	0.
EV ENERGY	1,017.	1,017.	0.
EVERGREEN	<320,085.>	<320,085.>	0.
EVERGREEN	513.	513.	0.
LINN ENERGY	2,941.	2,941.	0.
MERIDIAN HORIZON	39.	39.	0.
MISCELLANEOUS	<18,823.>	<18,823.>	0.
OTHER INCOME - LOUISIANA CENTRAL LAND	693.	693.	0.
PENNCO ENERGY	48,519.	0.	0.
PENNCO ENERGY, INC.	523,242.	0.	0.
PIEDMONT PARTNERS	<12,783.>	<12,783.>	0.
PIEDMONT PARTNERS LTD.	878,978.	878,978.	0.
WHITEBOX	623,104.	623,104.	0.
MERIDIAN HORIZIN 1	<87,160.>	<87,160.>	0.
BOARDWALK PIPELINE PARTNERS	<5,712.>	<5,712.>	0.
ICAHN ENTERPRISES	<223.>	<223.>	0.
REGENCY ENERGY PARTNERS	<2,671.>	<2,671.>	0.
NUSTAR ENERGY	<467.>	<467.>	0.
MERIDIAN SPECIAL FUNDS	21,972.	21,972.	0.
BLACKSTONE GROUP	112.	112.	0.
PERSHING SQUARE CAPITAL MANAGMENT	264,166.	264,166.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	3,060,477.	2,488,716.	0.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	32,633.	16,317.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	32,633.	16,317.	0.	0.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	59.	0.	0.	0.
INVESTMENT FEES	534,824.	534,824.	0.	0.
CONSULTING FEES	0.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	534,883.	534,824.	0.	0.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX	406.	0.	0.	0.
FOREIGN TAX	69,686.	69,686.	0.	0.
PAYROLL TAXES	18,943.	0.	0.	0.
SEVERANCE TAX	9,072.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	98,107.	69,686.	0.	0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
1980 PARTNERSHIP DEDUCTIONS	214,929.	214,929.	0.	0.
CONTRACT LABOR	900.	0.	0.	0.
DEDUCTIONS - EV ENERGY	82.	82.	0.	0.
DEDUCTIONS - LINN ENERGY	3,021.	3,021.	0.	0.
DEDUCTIONS - LOUISIANA				
CENTRAL LAND	356.	356.	0.	0.
DEDUCTIONS - PIEDMONT				
PARTNERS	6,765.	6,765.	0.	0.
DEDUCTIONS- THEMELIOS				
VENTURES	268,251.	268,251.	0.	0.
DUES & SUBSCRIPTIONS	25,946.	0.	0.	0.
EVENT SPONSORSHIP	43,823.	0.	0.	0.
EVERGREEN DEDUCTIONS	388,666.	388,666.	0.	0.
INSURANCE	4,155.	0.	0.	0.
MEALS & ENTERTAINMENT	19,083.	0.	0.	0.

MISCELLANEOUS	1,220.	0.	0.	0.
OFFICE EXPENSE	52,591.	0.	0.	0.
PARTNERSHIP				
DEDUCTIONS-HORIZON	355,339.	355,359.	0.	0.
PENNCO DEDUCTIONS	100,750.	0.	0.	0.
POSTAGE	278.	0.	0.	0.
REGISTRATION	1,733.	0.	0.	0.
PARTNERSHIP DEDUCTIONS -				
MERIDIAN SPECIAL FUNDS	1,398.	1,938.	0.	0.
DEDUCTIONS - ICAHN				
ENTERPRISES	1,210.	1,210.	0.	0.
DEDUCTIONS - BLACKSTONE				
GROUP	83.	83.	0.	0.
AMORTIZATION	639.	0.		0.
TO FORM 990-PF, PG 1, LN 23	1,491,218.	1,240,660.		0.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
NONTAXABLE DISTRIBUTIONS	6,072.
TAX REFUND	617,720.
TOTAL TO FORM 990-PF, PART III, LINE 3	623,792.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 9

DESCRIPTION	AMOUNT
NONDEDUCTIBLE EXPENSE	2,941.
CORRECTION IN BOOK VALUE OF ASSETS	14,189.
TOTAL TO FORM 990-PF, PART III, LINE 5	17,130.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITAS ACCOUNTS	38,332,403.	37,801,205.
TOTAL TO FORM 990-PF, PART II, LINE 10B	38,332,403.	37,801,205.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PENNCO ENERGY	COST	<89,100.>	1,696,204.
1980 PARTNERSHIP	COST	17,228,318.	4,719,029.
MERIDIAN DIVERSIFIED FUND	COST	8,181,457.	8,823,424.
OZ OVERSEAS FUNDS	COST	8,392.	1,828.
EVERGREEN	COST	21,677,405.	25,780,849.
MERIDIAN HORIZON FUND	COST	6,419,029.	10,316,095.
THEMELIOS VENTURES	COST	6,301,281.	13,169,247.
LOUISIANA CENTRAL LAND LLC	COST	5,775.	2,460.
ASHMORE MULTI STRAT	COST	2,236,157.	2,368,444.
UBS ACCOUNTS	COST	9,135,059.	9,405,975.
MERIDIAN HORIZON - SPECIAL FUND	COST	15,862.	15,862.
PIEDMONT PARTNERS - OFFSHORE	COST	10,959,460.	10,291,528.
PIEDMONT PARTNERS - LTD.	COST	863,881.	1,094,902.
WHITEBOX	COST	3,039,159.	2,416,055.
LAZARD LTD	COST	16.	0.
ICAHN ENTERPRISES	COST	<400.>	0.
MHF SPECIAL INVESTMENTS	COST	439,790.	0.
MHF SPECIAL INVESTMENTS	COST	965,809.	0.
MHF SPECIAL INVESTMENTS	COST	590,321.	0.
PERSHING SQUARE CAPITAL MANAGMENT	COST	1,264,166.	1,264,166.
TOTAL TO FORM 990-PF, PART II, LINE 13		89,241,837.	91,366,068.

FORM 990-PF

DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT

STATEMENT 12

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
RUG	604.	472.	132.
RECEPTION DESK	10,474.	8,184.	2,290.
FILE CABINETS	1,955.	1,528.	427.
PHONE	322.	276.	46.
HEADSET	301.	258.	43.
OFFICE DECOR	180.	141.	39.
BENCHES/STOOLS	2,200.	1,718.	482.
4 DESK CHAIRS	1,149.	898.	251.
CREDENZA	492.	384.	108.
FURNITURE - 4 DRAWERS	641.	501.	140.
ART - DAY 39	2,889.	2,258.	631.
ART - BAMBOO SONG	2,256.	1,762.	494.
COMPUTER MONITOR	273.	234.	39.
DESKTOP	941.	805.	136.
DESKTOP	941.	805.	136.
MONITOR	255.	218.	37.

MONITOR	255.	218.	37.
MONITOR	255.	218.	37.
LAPTOP	2,726.	2,334.	392.
LAPTOP	2,726.	2,334.	392.
SOFTWARE	1,916.	1,810.	106.
PRINTER	462.	395.	67.
PHONE SYSTEM	6,848.	5,862.	986.
NETWORK BACKUP SYSTEM	680.	582.	98.
RUG	1,356.	1,060.	296.
ART - CANNAS	4,796.	3,747.	1,049.
3 CHAIRS	2,397.	1,873.	524.
4 TABLES	1,818.	1,421.	397.
WORKTOP TABLE	2,255.	1,762.	493.
CREDENZA	3,248.	2,538.	710.
TABLE	764.	598.	166.
SOFA	1,758.	1,374.	384.
2 ARMCHAIRS	1,986.	1,552.	434.
2 METAL ARMCHAIRS	3,502.	2,736.	766.
2 WHITE ARMCHAIRS	723.	565.	158.
ROUND TABLE	318.	249.	69.
WORKTOP TABLE	2,255.	1,762.	493.
8 ASH CHAIRS	2,679.	2,093.	586.
LAMP	593.	463.	130.
2 MINI LAMPS	644.	503.	141.
TOTAL TO FM 990-PF, PART II, LN 14	72,833.	58,491.	14,342.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 13
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
RETIREMENT CONTRIBUTION PAYABLE	29,175.	35,375.
TOTAL TO FORM 990-PF, PART II, LINE 22	29,175.	35,375.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CLAUDE B. PENNINGTON, III P.O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
DARYL B. PENNINGTON, SR. P.O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
PAULA P. DELABRETONNE P.O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
RICHARD BLACKSTONE P. O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
DARYL B. PENNINGTON, JR. P. O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
SHARON PALMER PENNINGTON P. O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Irene W & CB Pennington Foundation
#72-0938097

Name	Relationship	Foundation Status	Purpose of Contribution	Amount
Baton Rouge Area Foundation	None	Public Charity	General Support	20,000 00
Jewish Federation of Greater Baton Rouge	None	Public Charity	General Support	2,000 00
Arts Council of Greater Baton Rouge	None	Public Charity	General Support	15,000 00
LA Association of Symphony Orchestras	None	Public Charity	General Support	160,000 00
LSU Foundation	None	Public Charity	General Support	25,000 00
Baton Rouge Area Foundation	None	Public Charity	General Support	58 86
Prevent Child Abuse Louisiana	None	Public Charity	General Support	10,000 00
Old State Capital Foundation	None	Public Charity	General Support	100,000 00
Cyrus International	None	Public Charity	General Support	5,000 00
Pointe Coupee Parish Sheriff's Office	None	Public Inst.	General Support	10,000 00
Planned Parenthood of Louisiana, Inc	None	Public Charity	General Support	5,000 00
YMCA of the Capital Area	None	Public Charity	General Support	1,000 00
Baton Rouge Area Foundation	None	Public Charity	General Support	100,000 00
Louisiana Wildlife Federation, Inc	None	Public Charity	General Support	50,000 00
City Year Louisiana	None	Public Charity	General Support	10,000 00
Our Lady of the Lake Foundation	None	Public Charity	General Support	3,000 00
Baton Rouge Speech & Hearing Foundation	None	Public Charity	General Support	1,000 00
Big Buddy Program	None	Public Charity	General Support	10,000 00
Children's Health Fund	None	Public Charity	General Support	889 68
City of Baton Rouge - fbo BR City Police	None	Public Inst.	General Support	20,000 00
Capital Area United Way	None	Public Charity	General Support	25,000 00
Community Fund for the Arts	None	Public Charity	General Support	75,000 00
American Red Cross-LA Capital Area Chaptl	None	Public Charity	General Support	1,000 00
Juvenile Diabetes Research Foundation-LA	None	Public Charity	General Support	5,000 00
YMCA of the Capital Area	None	Public Charity	General Support	5,000 00
Health Care Centers in Schools	None	Public Charity	General Support	50,000 00
Louisiana Health & Rehab Center, Inc.	None	Public Charity	General Support	5,000 00
Walton County Sheriff's Department	None	Public Inst.	General Support	65,023 00
Pennington Biomedical Research Center	None	Public Charity	General Support	25,000 00
LRCE	None	Public Charity	General Support	50,000 00
Childrens Hope	None	Public Charity	General Support	5,000 00
First United Methodist Church	None	Church	General Support	50,000 00
Episcopal High School	None	School	General Support	15,000 00
LASM	None	Public Charity	General Support	10,000 00
LSU Foundation fbo LSU Law School	None	Public Charity	General Support	75,000 00
Baton Rouge Area Foundation	None	Public Charity	General Support	50,000 00
The Progena Research Foundation	None	Public Charity	General Support	5,000 00
LSU Lab School Foundation	None	Public Charity	General Support	10,000 00
Pointe Coupee Parish Police Jury	None	Public Inst.	General Support	15,000 00
Karnival Krewe de Louisiana	None	Public Charity	General Support	20,000 00
Baton Rouge Symphony League	None	Public Charity	General Support	15,000 00
Jewish Federation of Greater Baton Rouge	None	Public Charity	General Support	2,000 00
International Rett Syndrome Foundation	None	Public Charity	General Support	5,000 00
City Year Louisiana	None	Public Charity	General Support	50,000 00
Greater Baton Rouge Food Bank, Inc	None	Public Charity	General Support	200,000 00
LSU Lab School Foundation	None	Public Charity	General Support	125,000 00
Louisiana Arts & Science Museum	None	Public Charity	General Support	100,000 00
Women's Sports Foundation	None	Public Charity	General Support	100,000 00
Livonia Police Department	None	Public Inst.	General Support	8,000 00
Tiger Athletic Foundation	None	Public Charity	General Support	1,000,000 00
Children's Health Fund	None	Public Charity	General Support	350,000 00
Ducks Unlimited	None	Public Charity	General Support	100,000 00
Grand Canyon Trust	None	Public Charity	General Support	50,000 00
East Baton Rouge Ph Sheriff's Office	None	Public Inst.	General Support	70,000 00
Baton Rouge Area Foundation	None	Public Charity	General Support	50,000 00
Pointe Coupee Parish Sheriff's Office	None	Public Inst.	General Support	59,125 00
Holiday Helpers, Inc of Baton Rouge	None	Public Charity	General Support	35,000 00
Arts Council of Greater Baton Rouge	None	Public Charity	General Support	2,500 00
LSU Foundation (LSYOU Project)	None	Public Charity	General Support	75,000 00
Emeril Lagasse Foundation	None	Public Charity	General Support	2,000 00
100 Black Men of Metropolitan Baton Rouge	None	Public Charity	General Support	10,000 00

Arts Council of Greater Baton Rouge	None	Public Chanty	General Support	50,000 00
Baton Rouge Area Alcohol & Drug Center	None	Public Chanty	General Support	20,000 00
Baton Rouge Area Foundation	None	Public Chanty	General Support	20,000 00
Baton Rouge Green	None	Public Chanty	General Support	10,000 00
Baton Rouge Speech & Hearing Foundation	None	Public Chanty	General Support	28,743 00
Cancer Services of Greater Baton Rouge	None	Public Chanty	General Support	30,000 00
Centre for the Arts	None	Public Chanty	General Support	5,000 00
Girls on the Run of Greater Baton Rouge	None	Public Chanty	General Support	15,000 00
Greater Baton Rouge Literacy Coalition	None	Public Chanty	General Support	10,000 00
Grief Recovery Center	None	Public Chanty	General Support	9,900 00
Hospice of Baton Rouge	None	Public Chanty	General Support	10,000 00
LA American Academy of Pediatrics	None	Public Chanty	General Support	13,060 00
Louisiana Assn of Public Charter Schools	None	Public Chanty	General Support	38,980 00
Louisiana Industries for the Disabled	None	Public Chanty	General Support	10,000 00
Manship Theatre	None	Public Chanty	General Support	50,000 00
Mary Bird Perkins Cancer Center	None	Public Chanty	General Support	25,000 00
Pennington Biomedical Research Foundation	None	Public Chanty	General Support	32,000 00
Pennington Biomedical Research Foundation	None	Public Chanty	General Support	90,000 00
Rape Crisis Center-E B R Dist Attorney	None	Public Chanty	General Support	10,600 00
Society of St. Vincent de Paul	None	Public Chanty	General Support	22,182 00
Society of St. Vincent de Paul	None	Public Chanty	General Support	50,000 00
The Arc of Baton Rouge	None	Public Chanty	General Support	49,954 00
The JL Foundation	None	Public Chanty	General Support	50,000 00
Volunteer Health Corps of Baton Rouge	None	Public Chanty	General Support	50,000 00
Volunteers of America, Greater B R ,Inc	None	Public Chanty	General Support	12,723 00
Womans Hospital Foundation	None	Public Chanty	General Support	25,000 00
Women's Sports Foundation	None	Public Chanty	General Support	102,600 00
Cenikor Foundation, Inc,	None	Public Chanty	General Support	36,750 00
Clinton Police Department	None	Public Inst.	General Support	43,000 00
Clinton Volunteer Fire Department	None	Public Inst.	General Support	10,000 00
East Feliciana 5th Day Project, Inc	None	Public Chanty	General Support	5,000 00
East Feliciana Parish Sheriff's Office	None	Public Inst.	General Support	200,000 00
Mission Heart, Inc	None	Public Chanty	General Support	99,000 00
National Kidney Foundation of LA	None	Public Inst.	General Support	15,325 00
Norwood Volunteer Fire Department	None	Public Inst.	General Support	10,000 00
Pointe Coupee Parish Multi Use Cnt.	None	Public Inst.	General Support	47,700 00
Slaughter Community Charter School	None	School	General Support	250,000 00
Slaughter Elementary School	None	School	General Support	25,000 00
Slaughter Police Department	None	Public Inst.	General Support	100,000 00
Southern Forest Heritage Museum	None	Public Chanty	General Support	10,000 00
St. Jude Children's Research Hospital	None	Public Chanty	General Support	75,000 00
Town of Jackson Marshal's Office	None	Public Inst.	General Support	40,000 00
Wilson Police Department	None	Public Inst.	General Support	10,000 00
Wilson Volunteer Fire Department	None	Public Inst.	General Support	5,000 00
Young Life West Feliciana	None	Public Chanty	General Support	10,000 00
Arts Council of Greater Baton Rouge	None	Public Chanty	General Support	15,000 00
LSU Foundation College of Education	None	Public Chanty	General Support	1,000 00
East Feliciana Constable's Office	None	Public Inst.	General Support	37,500 00
East Feliciana Middle School	None	School	General Support	10,000 00
LSU Foundation fbo Greene Memorial	None	Public Chanty	General Support	100,000 00
Tallahatchie General Hospital	None	Public Chanty	General Support	500,000 00
Girls on the Run of Greater Baton Rouge	None	Public Chanty	General Support	4,000 00
City Year Louisiana	None	Public Chanty	General Support	50,000 00
Baton Rouge Area Foundation	None	Public Chanty	General Support	150,000 00
Baton Rouge Crisis Intervention Center	None	Public Chanty	General Support	5,000 00
AMI Kids Baton Rouge	None	Public Chanty	General Support	50,000 00
Centre for the Arts	None	Public Chanty	General Support	5,000 00
Pennington Biomedical Research Foundation	None	Public Chanty	General Support	10,000 00
Louisiana 4-H	None	Public Chanty	General Support	5,000 00
LSU Foundation-Chancellor's Fund	None	Public Chanty	General Support	50,000 00

Subtotal

6,342,613 54

From Passthrough Entities

2,906 00

Total

6,345,519 54

Pershing

Advisor Solutions
(877) 870-7230
A BNY MELLON COMPANY
Pershing Advisor Solutions LLC, member FINRA, SIPC



2010 Year-End Account Report

Report Period: 01/01/2010 - 12/31/2010

Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
12/31/10	Deposit	INCOME REINVEST	14.04	88,577.35
12/31/10	Closing Balance			\$88,577.35
Total All Money Market Funds				\$88,577.35

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/17/10	12/17/09	SELL	CTICGROUP INC COM	C	9,753,000	31,209.60	39,694.20	8,484.60
03/17/10	08/26/09	SELL	COMCAST CORP NEW CL A SPL	CMCSK	2,951,000	42,789.50	49,359.58	6,570.08
03/26/10	11/24/09	SELL	CONOCOPHILLIPS COM	COP	223,000	11,583.98	11,391.12	-192.86
04/14/10	12/17/09	SELL	CTICGROUP INC COM	C	5,928,000	18,969.60	28,932.21	9,962.61
04/16/10	12/17/09	SELL	CTICGROUP INC COM	C	2,668,000	8,537.60	12,232.57	3,694.97
06/15/10	07/10/09	SELL	CYS CAREMARK CORP	QVS	985,000	30,411.61	31,627.81	1,216.20
06/15/10	11/24/09	SELL	CONOCOPHILLIPS COM	COP	1,008,000	52,361.67	54,642.75	2,281.08
08/23/10	06/21/10	SELL	MOSAIC CO COM	MOQ	12,000	519.10	712.32	193.22
10/08/10	06/21/10	SELL	MOSAIC CO COM	MOS	825,000	35,688.15	54,573.90	18,885.75
10/20/10	11/20/09	SELL	CANADIAN NATURAL RES LTD	CNQ	441,000	14,449.51	15,938.31	1,488.80
10/20/10	08/26/10	SELL	GOLDMAN SACHS GROUP INC COM	GS	35,000	5,033.31	5,568.75	535.44
10/20/10	04/12/10	SELL	OCCIDENTAL PETE CORP COM	OXY	105,000	9,101.40	8,541.80	-559.60
10/20/10	03/29/10	SELL	PFIZER INC COM	PFE	1,592,000	27,477.92	28,116.34	638.42
10/20/10	10/13/10	SELL	TIME WARNER INC NEW COM NEW	TWX	321,000	10,111.09	10,208.23	97.14
10/20/10	05/21/10	SELL	UNUM GROUP COM	UNM	490,000	10,838.98	10,883.64	44.66
Total Short Term						\$309,083.02	\$362,423.53	\$53,340.51
Long Term								
01/26/10	06/06/05	SELL	NOBLE ENERGY INC COM	NBL	390,000	14,527.22	30,354.05	15,826.83
02/01/10	05/28/04	SELL	GENWORTH FINL INC COM CL A	GNW	323,000	6,301.73	4,544.55	-1,757.18
02/19/10	03/26/04	SELL	RAYTHEON CO COM NEW	RTN	518,000	16,002.91	28,573.49	12,570.58
03/01/10	06/06/05	SELL	NOBLE ENERGY INC COM	NBL	360,000	13,409.75	26,546.06	13,136.31
03/01/10	03/26/04	SELL	UNION PACIFIC CORP COM	UNP	536,000	16,525.82	36,105.52	19,579.70
03/26/10	04/15/05	SELL	CONOCOPHILLIPS COM	COP	680,000	43,149.40	34,735.25	-8,414.15
04/12/10	05/28/04	SELL	GENWORTH FINL INC COM CL A	GNW	2,670,000	52,091.70	48,913.57	-3,178.13

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B0005226CSF9 60AP

PAB-02-ROLL

Account Number: 19C-001880
IRENE W. & C.B. PENNINGTON

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#1 Brokerage Statement,
2009, 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE, SIPC

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
04/13/10	12/06/04	SELL	VIACOM INC. CL B COM NEW	VIA B	844,000	36,610.74	30,179.55	-6,431.19
04/16/10	11/05/03	SELL	JP MORGAN CHASE & CO COM	JPM	414,000	15,107.89	19,048.60	3,940.71
05/05/10	11/01/05	SELL	AT&T INC COM	T	2,627,000	62,267.15	67,696.64	5,429.49
06/15/10	03/26/04	SELL	RAYTHEON CO COM NEW	RTN	397,000	12,264.78	20,957.27	8,692.49
07/08/10	03/26/04	CLEU	FRONTIER COMMUNICATIONS CORP	FTR	0.414	3.70	2.93	-0.77
07/20/10	03/26/04	SELL	FRONTIER COMMUNICATIONS COM	VZ	2,343,000	76,159.21	62,583.77	-13,575.44
08/18/10	06/30/09	SELL	MOSAIC CO COM	MOS	434,000	19,477.82	24,820.43	5,342.61
08/23/10	06/30/09	SELL	MOSAIC CO COM	MOS	459,000	21,048.61	27,839.75	6,791.14
08/25/10	03/26/04	SELL	KIMBERLY CLARK CORP	KMB	741,000	45,689.84	47,861.40	2,171.56
09/14/10	03/26/04	SELL	FRONTIER COMMUNICATIONS CORP	FTR	562,000	5,031.22	4,330.97	-700.25
09/22/10	03/26/04	SELL	KIMBERLY CLARK CORP	KMB	301,000	18,559.57	20,067.33	1,507.76
09/27/10	03/26/04	SELL	PHILIP MORRIS INTL INC COM	PM	299,000	8,471.46	16,618.37	8,146.91
10/13/10	06/06/05	SELL	NOBLE ENERGY INC COM	NBL	435,000	16,203.44	33,929.79	17,726.35
10/13/10	09/15/08	SELL	NOBLE ENERGY INC COM	NBL	217,000	12,470.62	16,925.89	4,455.27
10/20/10	03/26/04	SELL	INGERSOLL RAND PLC SHS	IR	619,000	20,544.23	23,901.79	3,357.56
10/20/10	07/10/07	SELL	AMGEN INC COM	AMGN	524,000	28,510.84	30,355.80	1,844.96
10/20/10	04/10/08	SELL	ANGLO GOLD ASHANTI LTD SPONSORED ADR	AU	329,000	11,119.55	15,219.91	4,100.36
10/20/10	03/26/04	SELL	AON CORPORATION	AON	773,000	21,482.30	30,572.64	9,090.34
10/20/10	09/19/06	SELL	AON CORPORATION	AON	190,000	6,450.25	7,514.62	1,064.37
10/20/10	09/12/06	SELL	APACHE CORP	APA	283,000	17,758.20	29,296.20	11,538.00
10/20/10	01/08/07	SELL	APACHE CORP	APA	75,000	4,871.19	7,764.01	2,892.82
10/20/10	03/26/04	SELL	BARRICK GOLD CORP COM	ABX	458,000	10,405.31	21,164.12	10,758.81
10/20/10	12/06/04	SELL	CBS CORP CL B COM	CBS	1,647,500	46,913.12	28,849.40	-18,063.72
10/20/10	12/17/04	SELL	CBS CORP CL B COM	CBS	1,290,500	36,113.19	22,597.97	-13,515.22
10/20/10	09/01/05	SELL	CBS CORP CL B COM	CBS	1,279,000	34,501.85	22,396.59	-12,105.26
10/20/10	03/26/04	SELL	CA INC COM	CA	1,410,000	-37,336.80	30,823.99	-6,512.81
10/20/10	05/28/04	SELL	GENWORTH FINL INC COM CLA	GNW	1,559,000	30,416.09	20,970.20	-9,445.89
10/20/10	11/12/08	SELL	HALLIBURTON CO COM	HAL	474,000	8,200.20	16,059.74	7,859.54
10/20/10	03/26/04	SELL	HARTFORD FINL SVCS GROUP INC COM	HIG	428,000	27,000.98	10,165.63	-16,835.35
10/20/10	03/26/04	SELL	KIMBERLY CLARK CORP	KMB	113,000	6,967.55	7,548.48	580.93
10/20/10	09/25/09	SELL	KROGER CO	KR	282,000	5,795.10	6,145.21	350.11
10/20/10	11/09/07	SELL	LOEWS CORP COM	L	754,000	34,844.47	29,957.34	-4,887.13
10/20/10	12/09/08	SELL	MERCK & CO INC NEW COM	MRK	674,000	17,766.64	24,911.51	7,144.87
10/20/10	10/08/08	SELL	METLIFE INC COM	MET	563,000	18,021.63	22,739.87	4,718.24
10/20/10	03/26/04	SELL	MOTOROLA INC COM *R/S*	620076109	2,831,000	43,175.01	22,651.47	-20,523.54
10/20/10	09/15/08	SELL	NOBLE ENERGY INC COM	NBL	152,000	8,735.18	11,676.73	2,941.55
10/20/10	03/26/04	SELL	PHILIP MORRIS INTL INC COM	PM	234,000	6,629.84	13,452.87	6,823.03
10/20/10	03/26/04	SELL	PITNEY BOWES INC	PBI	947,000	39,243.68	20,844.34	-18,399.34
10/20/10	08/02/07	SELL	SANOFI AVENTIS SPONS ADR	SNY	503,000	20,776.96	17,489.40	-3,287.56
10/20/10	06/24/08	SELL	TALISMAN ENERGY INC COM	TLM	867,000	19,385.97	15,311.66	-4,074.31
10/20/10	03/26/04	SELL	UNION PACIFIC CORP COM	UNP	168,000	5,117.05	14,362.39	9,245.34
10/20/10	12/06/04	SELL	VIACOM INC CL B COM NEW	VIA B	158,500	6,875.36	5,956.50	-918.86
10/20/10	12/17/04	SELL	VIACOM INC CL B COM NEW	VIA B	656,500	27,985.92	24,671.58	-3,314.34
11/18/10	05/10/04	SELL	AETNA US HEALTHCARE INC COM	AET	1,249,000	24,253.20	37,796.46	13,543.26
11/18/10	03/06/09	SELL	AETNA US HEALTHCARE INC COM	AET	1,708,000	32,801.29	51,686.43	18,885.14

Report Period: 01/01/2010 - 12/31/2010

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
12/10/10	11/12/08	SELL	HALLIBURTON CO COM	HAL	570,000	9,861.00	22,982.74	13,121.74
12/21/10	12/09/08	SELL	MERCK & CO INC NEW COM	MRK	758,000	19,980.88	27,735.98	7,755.10
12/21/10	02/27/09	SELL	MERCK & CO INC NEW COM	MRK	341,000	8,554.49	12,477.53	3,923.04
Total Long Term						\$1,209,569.90	\$1,310,686.28	\$101,116.38

Total Short Term and Long Term

\$1,518,652.92 **\$1,673,109.81** **\$154,456.89**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable. When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.



Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
Money Market Fund (continued)				
FEDERATED PRIME MGMT OBLIG CAP (continued)				
11/16/10	Deposit	MONEY FUND PURCHASE	613.98	290,138.20
11/17/10	Deposit	MONEY FUND PURCHASE	30,730.05	320,868.25
11/18/10	Withdrawal	MONEY FUND REDEMPTION	-72,556.52	248,311.73
11/19/10	Withdrawal	MONEY FUND REDEMPTION	-50,465.13	197,846.60
11/22/10	Withdrawal	MONEY FUND REDEMPTION	-27,065.39	170,781.21
11/23/10	Withdrawal	MONEY FUND REDEMPTION	-30,148.72	140,632.49
11/26/10	Withdrawal	MONEY FUND REDEMPTION	-28,038.31	112,594.18
11/30/10	Deposit	MONEY FUND PURCHASE	508.80	113,102.98
11/30/10	Deposit	INCOME REINVEST	19.19	113,122.17
12/01/10	Deposit	MONEY FUND PURCHASE	-3,461.44	116,583.61
12/13/10	Deposit	MONEY FUND PURCHASE	32,108.88	148,692.49
12/14/10	Deposit	MONEY FUND PURCHASE	127,743.88	276,436.37
12/15/10	Deposit	MONEY FUND PURCHASE	1,467.00	277,903.37
12/16/10	Deposit	MONEY FUND PURCHASE	47,329.04	325,232.41
12/17/10	Deposit	MONEY FUND PURCHASE	17,475.58	342,707.99
12/20/10	Withdrawal	MONEY FUND REDEMPTION	-60,350.46	282,357.53
12/28/10	Deposit	MONEY FUND PURCHASE	109,475.68	391,833.21
12/29/10	Withdrawal	MONEY FUND REDEMPTION	-42,427.55	349,405.66
12/31/10	Withdrawal	MONEY FUND REDEMPTION	-20,834.61	328,570.95
12/31/10	Deposit	INCOME REINVEST	21.86	328,592.81
12/31/10	Closing Balance			\$328,592.81
Total All Money Market Funds				\$328,592.81

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short-Term								
01/04/10	06/17/09	SELL	ZENITH NATIONAL INS CASH MERGER	989390109	873.000	18,980.20	26,252.10	7,271.90
01/14/10	05/15/09	SELL	SCHOLASTIC CORP	SCHL	785.000	14,883.03	24,355.26	9,472.23
01/19/10	07/07/09	SELL	INTEGRA LIFESCIENCES HLDGS CORP COM NEWIART		780.000	19,976.99	30,874.57	10,897.58
01/28/10	04/16/09	SELL	MFA FINL INC COM	MFA	2,505.000	14,749.19	18,436.56	3,687.37
02/18/10	04/16/09	SELL	MFA FINL INC COM	MFA	3,525.000	20,754.85	24,751.88	3,997.03
02/19/10	09/11/09	SELL	ANWORTH MTG ASSET CORP COM	ANH	5,950.000	45,279.50	41,388.21	-3,891.29
02/19/10	07/07/09	SELL	INTEGRA LIFESCIENCES HLDGS CORP COM NEWIART		885.000	22,666.19	35,030.91	12,364.72
02/19/10	10/30/09	SELL	INTEGRA LIFESCIENCES HLDGS CORP COM NEWIART		15.000	455.74	593.74	138.04
02/24/10	11/02/09	SELL	WHITNEY HLDG CORP COM	WTNY	1,850.000	14,728.77	24,551.96	9,823.19
02/24/10	11/13/09	SELL	WHITNEY HLDG CORP COM	WTNY	1,315.000	10,611.79	17,451.80	6,840.01
02/24/10	12/09/09	SELL	WHITNEY HLDG CORP COM	WTNY	975.000	7,984.53	12,939.55	4,955.02
03/04/10	05/15/09	SELL	SCHOLASTIC CORP	SCHL	380.000	7,204.52	11,505.79	4,301.27
03/04/10	09/25/09	SELL	SCHOLASTIC CORP	SCHL	495.000	11,668.92	14,987.81	3,318.89

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Account Number: 19C-002896

IRENE W. & C. B. PENNINGTON FDN.

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#1 Brokerage Statement,
2009-2010
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FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE, SIPC

2010 Year-End Account Report

Report Period: 01/01/2010 - 12/31/2010

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term (continued)								
03/08/10	10/30/09	SELL	INTEGRA LIFESCIENCES HLDGS CORP COM NEWIART	ANH	825.000	25,063.61	34,781.80	9,718.19
03/11/10	09/11/09	SELL	ANWORTH MTG ASSET CORP COM	ANH	735.000	5,593.35	4,968.40	-624.95
03/11/10	11/06/09	SELL	ANWORTH MTG ASSET CORP COM	ANH	3,565.000	24,621.67	24,098.44	-523.23
03/11/10	12/09/09	SELL	WHITNEY HLDG CORP COM	WTNY	2,035.000	16,665.14	26,654.49	9,989.35
03/12/10	04/16/09	SELL	MFA FINL INC COM	MFA	3,570.000	21,019.81	25,781.85	4,762.04
03/15/10	08/20/09	SELL	EZCORP INC CL A NON VTG	EPZW	3,080.000	38,192.00	67,726.86	29,534.86
03/15/10	04/16/09	SELL	MFA FINL INC COM	MFA	3,980.000	23,433.84	28,262.04	4,828.20
03/17/10	11/06/09	SELL	ANWORTH MTG ASSET CORP COM	ANH	4,185.000	28,903.71	28,457.63	-446.08
04/15/10	01/25/10	SELL	PANTRY INC	PTRY	2,090.000	27,830.86	31,805.08	3,974.22
04/29/10	09/04/09	SELL	EAST WEST BANCORP INC COM	EWBC	3,105.000	26,734.41	61,326.75	34,592.34
05/03/10	01/25/10	SELL	PANTRY INC	PTRY	1,975.000	26,299.49	31,898.13	5,598.64
05/11/10	12/16/09	SELL	CITY NATL CORP	CYN	880.000	35,831.15	56,079.56	20,248.41
05/21/10	06/09/09	SELL	FRESH DELMONTE PRODUCE	FDP	1,210.000	21,344.93	24,867.73	3,522.80
05/21/10	07/20/09	SELL	AMERICAN GREETINGS CORP CL A	AM	1,005.000	13,401.29	23,128.60	9,727.31
05/21/10	01/28/10	SELL	BANK OF THE OZARKS INC COM	OZK	315.000	9,268.34	10,998.61	1,730.27
05/21/10	06/09/09	SELL	COINSTAR INC COM	CSTR	465.000	13,680.45	24,319.08	10,638.63
05/21/10	03/11/10	SELL	COLUMBIA BKG SYS INC COM	COLB	204.000	4,434.96	4,208.16	-226.80
05/21/10	03/15/10	SELL	COLUMBIA BKG SYS INC COM	COLB	261.000	5,692.78	5,383.97	-308.81
05/21/10	11/10/09	SELL	COOPER TIRE & RUBR CO COM	CTB	380.000	6,787.18	6,923.48	136.30
05/21/10	02/26/10	SELL	THE DOLAN CO COM	DM	770.000	8,181.85	9,422.25	1,240.40
05/21/10	09/04/09	SELL	EAST WEST BANCORP INC COM	EWBC	905.000	7,792.16	14,678.85	6,886.69
05/21/10	08/20/09	SELL	EZCORP INC CL A NON VTG	EPZW	645.000	7,998.00	11,306.65	3,308.65
05/21/10	09/23/09	SELL	GOODRICH PETE CORP COM NEW	GDP	290.000	7,314.35	3,695.52	-3,618.83
05/21/10	12/10/09	SELL	NETSCOUT SYS INC COM	NTCT	415.000	5,199.95	5,178.20	-21.75
05/21/10	05/21/09	SELL	PREMIERE GLOBAL SVCS INC COM	PGI	1,750.000	16,385.26	15,002.31	-1,382.95
05/21/10	08/26/09	SELL	PREMIERE GLOBAL SVCS INC COM	PGI	130.000	1,288.13	1,114.46	-173.67
05/21/10	04/20/10	SELL	REX ENERGY CORP COM	REX	745.000	9,618.93	7,725.51	-1,893.42
05/21/10	09/25/09	SELL	SCHOLASTIC CORP	SCHL	510.000	12,022.53	12,856.88	834.35
05/21/10	11/02/09	SELL	SONIC CORP	SONC	1,355.000	12,703.19	14,538.90	1,835.71
05/21/10	08/04/09	SELL	SPARTAN STORES INC COM	SPTN	725.000	9,901.40	11,789.32	1,887.92
05/21/10	06/17/09	SELL	SYNOVUS FINL CORP COM	SNV	2,285.000	7,275.69	6,099.02	-1,176.67
05/21/10	09/08/09	SELL	THQ INC COM NEW	THQI	1,995.000	11,258.19	11,391.25	133.06
05/21/10	10/23/09	SELL	TW TELECOM INC COM	TWTC	1,030.000	14,790.80	17,586.72	2,795.92
05/21/10	09/15/09	SELL	VALASSIS COMMUNICATIONS INC	VCI	530.000	8,730.85	17,621.00	8,890.15
05/25/10	09/15/09	SELL	VALASSIS COMMUNICATIONS INC	VCI	785.000	12,931.54	27,130.69	14,199.15
05/26/10	09/23/09	SELL	GOODRICH PETE CORP COM NEW	GDP	1,830.000	46,156.08	22,834.00	-23,322.08

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Clearing through Pershing LLC, a subsidiary
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Pershing LLC, member FINRA, NYSE, SIPC

#1 Brokerage Statement,
2009, 2010
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Account Number: 19C-002896
IRENE W. & C. B. PENNINGTON FDN.

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term (continued)								
05/27/10	09/15/09	SELL	VALASSIS COMMUNICATIONS INC	VCI	430,000	7,083.52	15,114.91	8,031.39
05/27/10	11/13/09	SELL	VALASSIS COMMUNICATIONS INC	VCI	3,900,000	22,577.26	48,859.83	26,282.57
07/12/10	09/04/09	SELL	EAST WEST BANCORP INC COM	EWBC	95,000	817.96	1,615.92	797.96
07/12/10	09/21/09	SELL	EAST WEST BANCORP INC COM	EWBC	990,000	8,734.57	16,859.61	8,105.04
07/15/10	08/20/09	SELL	EZCORP INC CL A NON VTC	EPW	235,000	2,913.00	4,793.38	1,879.38
07/15/10	08/26/09	SELL	EZCORP INC CL A NON VTC	EPW	590,000	7,828.04	12,034.43	4,206.39
07/15/10	10/23/09	SELL	TW TELECOM INC COM	TWTC	309,000	13,053.24	16,217.02	3,163.78
07/15/10	10/26/09	SELL	TW TELECOM INC COM	TWTC	601,000	8,684.45	10,722.14	2,037.69
07/23/10	06/29/10	SELL	CYPRESS SHARPIDGE INVT INC COM	CYS	2,640,000	33,573.14	34,738.81	1,165.67
08/09/10	05/06/10	SELL	PANTRY INC	PTRY	1,155,000	16,162.61	24,384.98	8,222.37
09/13/10	05/06/10	SELL	PANTRY INC	PTRY	1,055,000	14,763.25	24,684.04	9,920.79
09/13/10	06/03/10	SELL	PANTRY INC	PTRY	210,000	3,241.46	4,913.41	1,671.95
09/27/10	12/10/09	SELL	NETSCOUT SYS INC COM	NTCT	355,000	4,448.15	7,204.61	2,756.46
09/27/10	06/03/10	SELL	PANTRY INC	PTRY	990,000	15,281.15	23,322.36	8,041.21
10/12/10	01/28/10	SELL	BANK OF THE OZARKS INC COM	OZK	1,530,000	45,017.65	57,693.03	12,675.38
10/12/10	10/01/10	SELL	QUIDEL CORP	QDEL	4,360,000	48,703.38	52,986.23	4,282.85
10/12/10	08/19/10	SELL	TELETECH HLDGS INC COM	TEC	1,715,000	22,609.70	24,523.91	1,914.21
10/20/10	05/24/10	SELL	CENTRAL EUROPEAN DIST CORP COM	CEDC	300,000	7,404.43	6,954.45	-449.99
10/20/10	03/15/10	SELL	COLUMBIA PKG SYS INC COM	COLB	100,000	2,181.14	1,961.15	-219.99
10/20/10	11/10/09	SELL	COOPER TIRE & RUBR CO COM	CTB	225,000	4,018.72	4,662.35	643.63
10/20/10	12/10/09	SELL	NETSCOUT SYS INC COM	NTCT	140,800	1,754.20	2,978.01	1,223.81
10/20/10	04/20/10	SELL	REX ENERGY CORP COM	REX	285,000	3,679.73	3,679.82	0.09
10/20/10	11/02/09	SELL	SONIC CORP	SONC	350,000	3,281.27	3,161.11	-120.16
10/20/10	08/30/10	SELL	SYNEX CORP COM	SXN	500,000	11,873.13	14,535.13	2,661.98
11/01/10	11/09/09	SELL	COINSTAR INC COM	CSTR	435,000	12,440.57	14,535.13	2,094.56
11/01/10	01/08/10	SELL	WILMINGTON TRUST CORP	WL	1,100,000	14,942.95	4,410.27	-10,532.68
11/01/10	03/11/10	SELL	WILMINGTON TRUST CORP	WL	920,000	13,758.14	3,688.59	-10,069.55
11/01/10	05/27/10	SELL	WILMINGTON TRUST CORP	WL	675,000	10,468.31	2,706.29	-7,762.02
11/02/10	11/09/09	SELL	COINSTAR INC COM	CSTR	316,000	9,037.28	18,629.76	9,592.48
11/04/10	11/09/09	SELL	COINSTAR INC COM	CSTR	255,000	7,292.75	15,824.79	8,532.04
11/08/10	05/07/10	SELL	GREENBRIER COS INC COM	GBX	280,000	3,527.72	5,440.94	1,913.22
12/07/10	05/07/10	SELL	GREENBRIER COS INC COM	GBX	2,520,000	31,749.47	51,944.75	20,195.28
12/07/10	11/16/10	SELL	GREENBRIER COS INC COM	GBX	65,000	1,159.09	1,339.84	180.75
12/10/10	12/17/09	SELL	UNITED CMNTY BK BLAIRSVILLE GA	UCB	5,105,000	16,540.20	10,369.61	-6,170.59
12/10/10	12/30/09	SELL	UNITED CMNTY BK BLAIRSVILLE GA	UCB	1,170,000	3,800.28	2,376.58	-1,423.70
12/10/10	09/27/10	SELL	UNITED CMNTY BK BLAIRSVILLE GA	UCB	6,025,000	12,882.05	23,738.38	10,856.33
12/11/10	05/24/10	SELL	EAST WEST BANCORP INC COM	EWBC	715,000	11,722.21	13,744.51	2,022.30
12/24/10	05/27/10	SELL	EAST WEST BANCORP INC COM	EWBC	115,000	1,958.46	2,210.66	252.20
12/29/10	12/14/10	SELL	PANTRY INC	PTRY	128,000	2,205.41	2,592.59	387.18
12/30/10	12/14/10	SELL	PANTRY INC	PTRY	655,000	11,285.52	13,270.07	1,984.55
Total Short Term						\$1,258,748.64	\$1,619,120.11	\$360,371.47
Long Term								
01/07/10	09/26/08	CLEU	FIRST HORIZON NATL CORP COM	FHN	0.801	7.48	10.73	3.25

2010 Year-End Account Report

Report Period: 01/01/2010 - 12/31/2010

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
01/15/10	02/14/08	SELL	SENSIENT TECHNOLOGIES CORP	SXT	915,000	25,510.23	24,704.68	-805.55
01/20/10	08/09/07	SELL	RPM INTL INC	RPM	1,015,000	21,075.33	20,684.34	-390.99
01/20/10	11/26/07	SELL	RPM INTL INC	RPM	415,000	7,592.38	8,457.15	864.77
02/01/10	07/31/08	SELL	INTL FLAVORS & FRAGRANCES INC	IFF	1,335,000	54,504.49	53,796.54	-707.95
02/02/10	05/24/07	SELL	ON ASSIGNMENT INC COM	ASGN	285,000	2,986.69	1,995.20	-991.49
02/02/10	07/31/07	SELL	ON ASSIGNMENT INC COM	ASGN	1,890,000	18,588.12	13,231.34	-5,356.78
03/01/10	04/13/07	SELL	FREDS INC CL A	FRED	2,390,000	34,478.52	25,378.07	-9,100.45
03/01/10	01/04/08	SELL	FREDS INC CL A	FRED	385,000	3,334.10	4,088.10	754.00
03/24/10	01/04/08	SELL	FREDS INC CL A	FRED	840,000	7,274.40	9,572.25	2,097.85
03/24/10	06/23/08	SELL	FREDS INC CL A	FRED	735,000	8,781.40	8,200.71	-580.69
04/05/10	09/26/08	CLEU	FIRST HORIZON NATL CORP COM	PHN	0.548	5.04	7.81	2.77
05/04/10	01/04/08	SELL	COINSTAR INC COM	CSTR	115,000	3,132.52	5,550.96	2,418.44
05/04/10	11/13/08	SELL	COINSTAR INC COM	CSTR	935,000	18,227.16	45,131.68	26,904.52
05/07/10	11/13/08	SELL	COINSTAR INC COM	CSTR	700,000	13,646.01	33,886.42	20,240.41
05/10/10	06/23/08	SELL	FREDS INC CL A	FRED	3,925,000	46,893.90	51,389.55	4,495.65
05/17/10	11/13/08	SELL	COINSTAR INC COM	CSTR	310,000	6,043.23	17,657.89	11,614.66
05/21/10	09/19/07	SELL	BANK HAWAII CORP COM	BOH	480,000	26,121.68	22,430.31	-3,691.37
05/21/10	11/17/06	SELL	BRIGGS & STRATTON CORP COM	BGG	120,000	3,104.75	2,499.56	-605.19
05/21/10	11/27/07	SELL	BRIGGS & STRATTON CORP COM	BGG	560,000	12,377.25	11,664.60	-712.65
05/21/10	06/26/08	SELL	BROWN & BROWN INC	BRO	685,000	13,005.55	13,010.93	5.38
05/21/10	10/03/05	SELL	CASELLA WASTE SYSTEMS INC CL A COM	CWST	3,550,000	47,447.15	15,089.56	-32,357.59
05/21/10	10/04/05	SELL	CASELLA WASTE SYSTEMS INC CL A COM	CWST	1,220,000	16,809.24	5,185.71	-11,623.53
05/21/10	11/13/08	SELL	COINSTAR INC COM	CSTR	105,000	2,046.90	5,491.41	3,444.51
05/21/10	02/08/07	SELL	COMSTOCK RES INC COM NEW	CRK	150,000	4,454.81	4,334.85	-119.96
05/21/10	02/14/07	SELL	COMSTOCK RES INC COM NEW	CRK	385,000	10,830.04	11,126.11	296.07
05/21/10	08/06/08	SELL	COMSTOCK RES INC COM NEW	CRK	320,000	18,234.02	9,247.67	-8,986.35
05/21/10	02/12/09	SELL	CONWAY INC COM	CNW	525,000	11,176.20	17,062.21	5,886.01
05/21/10	09/24/08	SELL	DIAMONDROCK HOSPITALITY CO COM	DRH	390,000	3,355.09	3,498.24	143.15
05/21/10	10/10/08	SELL	DIAMONDROCK HOSPITALITY CO COM	DRH	500,000	2,007.10	4,484.92	2,477.82
05/21/10	01/24/08	SELL	DYCOM INDS INC COM	DY	220,000	5,229.26	1,869.99	-3,359.27
05/21/10	03/05/08	SELL	DYCOM INDS INC COM	DY	1,695,000	19,976.80	14,407.38	-5,569.42
05/21/10	09/30/05	SELL	ELECTRO RENT CORP	ELRC	790,000	9,950.49	11,099.31	1,148.82
05/21/10	09/26/08	SELL	FIRST HORIZON NATL CORP COM	PHN	1,160,000	10,671.28	14,709.59	4,038.31
05/21/10	03/07/07	SELL	GREENBRIER COS INC COM	GBX	705,000	18,643.06	9,011.57	-9,631.49
05/21/10	03/21/07	SELL	GREENBRIER COS INC COM	GBX	310,000	8,177.63	3,962.53	-4,155.10
05/21/10	07/28/08	SELL	HCC INS HLDGS INC COM	HCC	510,000	11,158.98	12,445.78	1,286.80

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
05/21/10	10/15/08	SELL	HARSCO CORP	HSC	225,000	4,871.37	5,692.15	820.78
05/21/10	01/15/08	SELL	IBERIABANK CORP COM	IBKC	405,000	16,199.39	22,027.57	5,828.18
05/21/10	03/26/08	SELL	KENAMETAL INC CAP STK	KMT	820,000	24,755.75	21,500.03	-3,255.72
05/21/10	01/25/07	SELL	LO JACK CORP	LOJN	295,000	5,187.81	1,269.81	-3,918.00
05/21/10	08/09/07	SELL	LO JACK CORP	LOJN	1,615,000	28,391.86	6,951.65	-21,440.21
05/21/10	07/31/07	SELL	ON ASSIGNMENT INC COM	ASGN	2,020,000	19,866.66	10,887.61	-8,979.05
05/21/10	12/14/06	SELL	ON SEMICONDUCTOR CORP	ONNN	1,983,500	17,930.74	14,450.56	-3,480.18
05/21/10	03/29/07	SELL	ON SEMICONDUCTOR CORP	ONNN	1,648,500	15,477.10	11,995.39	-3,481.71
05/21/10	11/26/07	SELL	RPM INTL INC	RPM	365,000	6,677.63	6,996.28	318.65
05/21/10	10/10/08	SELL	RAYMOND JAMES FINL INC COM	RJF	300,000	6,135.02	7,998.10	1,863.08
05/21/10	11/12/08	SELL	RAYMOND JAMES FINL INC COM	RJF	615,000	10,317.23	16,396.12	6,078.89
05/21/10	02/14/08	SELL	SENSIENT TECHNOLOGIES CORP	SXT	400,000	11,152.01	10,755.81	-396.20
05/21/10	01/30/09	SELL	SYNGRUS FINL CORP COM	SNV	2,365,000	11,233.75	6,312.55	-4,921.20
05/21/10	03/19/08	SELL	TEXAS CAP BANCSHARES INC COM DELAWARE	TCBI	595,000	9,966.96	10,466.14	499.18
05/21/10	05/14/08	SELL	TEXAS CAP BANCSHARES INC COM DELAWARE	TCBI	645,000	11,963.25	11,345.65	-617.60
05/21/10	10/03/05	SELL	TIDEWATER INC	TOW	620,000	30,449.58	26,355.75	-4,093.83
05/21/10	03/05/08	SELL	UNITED EMITY BK BLAIRSVILLE GA	UCBI	2,134,208	29,174.12	10,159.73	-19,014.39
05/21/10	05/06/08	SELL	UNITED EMITY BK BLAIRSVILLE GA	UCBI	1,215,792	15,899.92	5,787.68	-10,112.24
05/21/10	03/09/09	SELL	WILEY JOHN & SONS INC CLASS A	JWA	390,000	10,898.63	15,215.43	4,316.80
05/21/10	05/14/08	SELL	WILMINGTON TRUST CORP	WL	495,000	16,804.75	7,504.16	-9,300.59
05/25/10	02/14/08	SELL	SENSIENT TECHNOLOGIES CORP	SXT	1,115,000	36,270.95	16,903.32	-19,367.63
05/25/10	12/04/08	SELL	SENSIENT TECHNOLOGIES CORP	SXT	305,000	8,503.41	8,201.86	-301.55
06/07/10	12/04/08	SELL	SENSIENT TECHNOLOGIES CORP	SXT	958,000	21,284.13	25,761.91	4,477.78
07/01/10	09/25/08	SELL	FIRST HORIZON NATL CORP COM	FHN	1,372,000	30,482.08	36,913.39	6,431.31
07/08/10	09/19/07	SELL	BANK HAWAII CORP COM	BOH	550,000	122	0.00	-122
07/08/10	07/18/08	SELL	BANK HAWAII CORP COM	BOH	29,931.09	26,497.84	26,497.84	-3,433.25
07/14/10	03/21/07	SELL	GREENBRIER COS INC COM	CBX	10,222.48	11,321.80	1,099.32	1,099.32
07/22/10	06/09/09	SELL	COINSTAR INC COM	CSTR	870,000	22,781.75	13,143.21	-9,638.54
09/27/10	03/26/08	SELL	KENAMETAL INC CAP STK	KMT	350,000	10,297.11	16,712.21	6,415.10
09/27/10	05/19/08	SELL	KENAMETAL INC CAP STK	KMT	340,000	10,264.58	10,539.96	275.38
10/01/10	09/26/08	SELL	FIRST HORIZON NATL CORP COM	FHN	655,000	24,085.72	20,304.92	-3,780.80
10/04/10	11/26/07	SELL	RPM INTL INC	RPM	0.959	8.57	0.00	-8.57
10/04/10	09/02/08	SELL	RPM INTL INC	RPM	875,000	16,008.02	17,189.54	1,181.52
10/04/10	10/24/08	SELL	RPM INTL INC	RPM	470,000	10,654.90	9,233.24	-1,421.66
10/08/10	06/09/09	SELL	FRESH DELMONTE PRODUCE	FDP	950,000	13,225.63	18,662.94	5,437.31
10/08/10	06/23/09	SELL	FRESH DELMONTE PRODUCE	FDP	720,000	12,701.11	15,811.51	3,110.40
10/08/10	03/05/08	SELL	DYCOM INDS INC COM	DY	705,000	11,681.85	15,482.10	3,800.25
10/19/10	09/30/05	SELL	ELECTRO RENT CORP	ELRC	2,465,000	29,051.80	26,004.97	-3,046.83
10/19/10	10/03/05	SELL	ELECTRO RENT CORP	ELRC	420,000	5,290.13	6,129.42	839.29
10/20/10	06/23/09	SELL	FRESH DELMONTE PRODUCE	FDP	1,620,000	20,491.69	23,642.03	3,150.34
10/20/10	07/20/09	SELL	AMERICAN GREETINGS CORP CL A	AM	425,000	7,042.33	9,422.33	2,380.08
10/20/10	01/18/08	SELL	BANK HAWAII CORP COM	BOH	425,000	5,667.21	8,534.09	2,866.88
10/20/10	11/27/07	SELL	BRIGGS & STRATTON CORP COM	BGG	210,000	9,134.98	9,878.63	743.65
10/20/10	06/26/08	SELL	BROWN & BROWN INC	BRO	335,000	7,404.25	6,043.93	-1,360.32
10/20/10	06/26/08	SELL	BROWN & BROWN INC	BRO	485,000	9,208.31	10,514.97	1,306.66

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PAR-Q2-ROLL

Account Number 19C-002896

IRENE W. & C. B. PENNINGTON FDN.

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#1 Brokerage Statement,
2009, 2010
DAILY RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE SIPC

Report Period: 01/01/2010 - 12/31/2010

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
10/20/10	10/04/05	SELL	CASELLA WASTE SYSTEMS INC CL A COM	CWST	825.000	11,366.90	4,034.80	-7,332.10
10/20/10	06/09/09	SELL	COINSTAR INC COM	CSTR	150.000	4,413.05	7,255.66	2,842.61
10/20/10	08/06/08	SELL	COMISTOCK RES INC COM NEW	CRK	55.000	3,133.97	1,286.97	-1,847.00
10/20/10	02/12/09	SELL	CONWAY INC COM	CNW	125.000	2,661.00	3,920.17	1,259.17
10/20/10	03/09/09	SELL	CONWAY INC COM	CNW	175.000	2,309.43	5,488.24	3,178.81
10/20/10	10/03/05	SELL	CRAWFORD & COMPANY CLASS A	CRD A	1,750.000	13,462.46	3,727.43	-9,735.03
10/20/10	10/10/08	SELL	DIAMONDROCK HOSPITALITY CO COM	DRH	285.000	1,144.05	3,126.93	1,982.88
10/20/10	03/05/08	SELL	DYCOM INDS INC COM	DY	735.000	8,662.50	8,077.93	-584.57
10/20/10	10/03/08	SELL	DYCOM INDS INC COM	DY	265.000	2,959.83	2,912.45	-47.38
10/20/10	09/21/09	SELL	EAST WEST BANCORP INC COM	EWBC	600.000	5,293.68	10,086.20	4,792.52
10/20/10	10/03/05	SELL	ELECTRO RENT CORP	ELRC	300.000	3,794.76	4,494.49	699.73
10/20/10	08/26/09	SELL	EZCORP INC CL A NON VTG	EZPW	325.000	4,312.05	7,101.74	2,789.69
10/20/10	09/26/08	SELL	FIRST HORIZON NATL CORP COM	FHN	80.000	714.74	819.98	105.24
10/20/10	03/21/07	SELL	GREENBRIER COS INC COM	GBX	25.000	654.65	462.54	-192.11
10/20/10	12/18/07	SELL	GREENBRIER COS INC COM	GBX	175.000	3,844.44	3,237.77	-606.67
10/20/10	07/28/08	SELL	HCC INS HLDGS INC COM	HCC	125.000	2,735.04	3,357.68	622.64
10/20/10	10/16/08	SELL	HARSCO CORP	HSC	125.000	2,706.32	3,000.18	293.86
10/20/10	01/15/08	SELL	IBERIABANK CORP COM	IBKC	235.000	9,399.65	12,584.48	3,184.83
10/20/10	05/19/08	SELL	KENNAMETAL INC CAP STK	KMT	300.000	11,031.63	10,110.39	-921.24
10/20/10	08/09/07	SELL	LO JACK CORP	LOJN	900.000	15,822.09	3,564.69	-12,257.40
10/20/10	03/29/07	SELL	ON SEMICONDUCTOR CORP	ONNN	1,500.000	14,100.00	10,756.14	-3,343.86
10/20/10	08/26/09	SELL	PREMIERE GLOBAL SVCS INC COM	PGI	280.000	2,774.44	2,047.29	-727.15
10/20/10	11/12/08	SELL	RAYMOND JAMES FINL INC COM	RJF	400.000	6,710.39	11,308.56	4,598.17
10/20/10	09/25/09	SELL	SCHOLASTIC CORP	SCHL	200.000	4,714.72	5,940.27	1,225.55
10/20/10	08/04/09	SELL	SPARTAN STORES INC COM	SPN	185.000	2,526.25	2,936.25	409.69
10/20/10	06/17/09	SELL	SYNOVUS FINL CORP COM	SNV	1,400.000	4,457.75	3,382.08	-1,075.67
10/20/10	09/08/09	SELL	THQ INC COM NEW	THQI	875.000	4,937.80	3,675.45	-1,262.35
10/20/10	05/14/08	SELL	TEXAS CAP BANCSHARES INC COM DELAWARE	TCBI	400.000	7,419.07	7,056.07	-363.00
10/20/10	10/03/05	SELL	TIDEWATER INC	TOW	200.000	9,822.44	9,276.22	-546.22
10/20/10	05/06/08	SELL	UNITED CMNTY BK BLAIRSVILLE GA	UCBI	2,649.497	34,649.67	6,386.71	-28,262.96
10/20/10	05/23/08	SELL	UNITED CMNTY BK BLAIRSVILLE GA	UCBI	2,088.503	26,953.15	5,034.41	-21,918.74
10/20/10	09/25/09	SELL	UNITED CMNTY BK BLAIRSVILLE GA	UCBI	862.000	4,146.22	2,077.88	-2,068.34
10/20/10	03/09/09	SELL	WILEY JOHN & SONS INC CLASS A	JWA	10.000	279.45	434.20	154.75
10/20/10	03/25/09	SELL	WILEY JOHN & SONS INC CLASS A	JWA	175.000	5,339.28	7,598.55	2,259.27
10/20/10	06/04/08	SELL	WILMINGTON TRUST CORP	WL	40.000	1,301.20	329.19	-972.01
10/20/10	07/03/08	SELL	WILMINGTON TRUST CORP	WL	1,030.000	25,647.00	8,476.75	-17,170.25

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
10/20/10	07/28/08	SELL	WILMINGTON TRUST CORP	WL	230,000	52,183.33	1,892.87	-3,325.46
11/01/10	06/09/09	SELL	COINSTAR INC COM	CSTR	85,000	2,500.73	4,922.09	2,421.36
11/01/10	07/28/08	SELL	WILMINGTON TRUST CORP	WL	700,000	15,881.88	2,806.33	-13,075.55
11/01/10	08/19/08	SELL	WILMINGTON TRUST CORP	WL	1,460,000	33,303.77	5,853.62	-27,450.15
11/01/10	09/02/09	SELL	WILMINGTON TRUST CORP	WL	1,270,000	16,522.70	5,091.85	-11,430.85
11/01/10	09/04/09	SELL	WILMINGTON TRUST CORP	WL	1,100,000	14,311.00	4,410.27	-9,900.73
11/01/10	10/02/09	SELL	WILMINGTON TRUST CORP	WL	2,020,000	27,071.23	8,098.85	-18,972.38
11/01/10	10/30/09	SELL	WILMINGTON TRUST CORP	WL	905,000	11,275.40	3,628.45	-7,646.95
11/04/10	09/25/09	SELL	SCHOLASTIC CORP	SCHL	1,360,000	32,060.07	41,230.27	9,170.20
11/05/10	12/18/07	SELL	GREENBRIER COS INC COM	GBX	625,000	13,730.13	-12,154.03	-1,576.10
11/05/10	05/07/08	SELL	GREENBRIER COS INC COM	GBX	1,375,000	31,741.98	26,738.86	-5,003.12
11/05/10	10/23/08	SELL	GREENBRIER COS INC COM	GBX	495,000	4,431.65	9,625.99	5,194.34
11/08/10	09/21/09	SELL	EAST WEST BANCORP INC COM	EWBC	1,585,000	13,984.12	29,620.01	15,635.89
11/08/10	10/23/08	SELL	GREENBRIER COS INC COM	GBX	1,020,000	9,131.89	19,820.58	10,688.69
11/11/10	11/09/09	SELL	COINSTAR INC COM	CSTR	360,000	10,295.64	22,161.46	11,865.82
11/11/10	11/12/08	SELL	RAYMOND JAMES FINL INC COM	RJF	435,000	7,297.55	13,267.27	5,969.72
11/11/10	03/04/09	SELL	RAYMOND JAMES FINL INC COM	RJF	250,000	3,522.53	7,624.87	4,102.34
12/07/10	10/03/08	SELL	DYCOM INDS INC COM	DY	2,074,000	23,164.86	26,272.64	3,107.78
12/07/10	07/31/07	SELL	ON ASSIGNMENT INC COM	ASGN	705,000	6,933.66	5,298.66	-1,635.00
12/07/10	12/04/07	SELL	ON ASSIGNMENT INC COM	ASGN	2,280,000	13,962.95	17,136.09	3,173.14
12/08/10	05/14/08	SELL	TEXAS CAP BANCSHARES INC COM DELAWARE	TCBP	50,449.65	56,719.45	6,269.80	6,269.80
12/08/10	06/10/08	SELL	TEXAS CAP BANCSHARES INC COM DELAWARE	TCBP	27,295.27	34,094.23	6,798.96	6,798.96
12/08/10	07/15/08	SELL	TEXAS CAP BANCSHARES INC COM DELAWARE	TCBP	785,000	10,963.20	16,369.40	5,406.20
12/08/10	09/04/09	SELL	TEXAS CAP BANCSHARES INC COM DELAWARE	TCBP	950,000	14,902.66	19,810.10	4,907.44
12/08/10	11/24/09	SELL	TEXAS CAP BANCSHARES INC COM DELAWARE	TCBP	36,000	513.00	750.70	237.70
12/10/10	09/25/09	SELL	UNITED CMNTY BK BLAIRSVILLE GA	UCBI	4,078,000	19,615.18	8,283.50	-11,331.68
12/10/10	09/29/09	SELL	UNITED CMNTY BK BLAIRSVILLE GA	UCBI	2,765,000	14,067.23	5,616.45	-8,450.78
12/10/10	12/07/09	SELL	UNITED CMNTY BK BLAIRSVILLE GA	UCBI	3,215,000	13,083.63	6,530.52	-6,553.11
12/13/10	07/20/09	SELL	AMERICAN GREETINGS CORP CL A	AM	2,000,000	26,669.23	45,087.37	18,418.14
12/13/10	09/08/09	SELL	AMERICAN GREETINGS CORP CL A	AM	135,000	1,888.49	3,043.40	1,154.91
12/13/10	10/03/08	SELL	DYCOM INDS INC COM	DY	246,000	2,747.62	3,621.97	874.35
12/13/10	10/21/08	SELL	DYCOM INDS INC COM	DY	1,089,000	9,471.59	16,033.86	6,562.27
12/17/10	09/21/09	SELL	EAST WEST BANCORP INC COM	EWBC	135,000	1,191.08	2,395.12	1,204.04
12/21/10	11/11/09	SELL	EAST WEST BANCORP INC COM	EWBC	2,760,000	37,249.11	53,055.74	15,806.63
12/21/10	08/26/09	SELL	EZCORP INC CL A NON VTG	EZPW	525,000	6,965.63	14,601.18	7,635.55
12/21/10	12/04/07	SELL	ON ASSIGNMENT INC COM	ASGN	320,000	1,959.71	2,747.57	787.86
12/21/10	12/08/08	SELL	ON ASSIGNMENT INC COM	ASGN	2,390,000	11,010.36	20,520.90	9,510.54
12/23/10	03/04/09	SELL	RAYMOND JAMES FINL INC COM	RJF	122,000	1,718.99	4,068.63	2,349.64
12/23/10	03/04/09	SELL	RAYMOND JAMES FINL INC COM	RJF	585,000	8,242.71	19,504.25	11,261.54
12/29/10	09/08/09	SELL	THQ INC COM NEW	THQI	3,085,000	17,409.27	19,345.09	1,935.82
12/29/10	10/14/09	SELL	THQ INC COM NEW	THQI	210,000	1,251.35	1,316.85	65.50
Total Long Term						\$2,033,055.44	\$1,915,782.22	-\$117,273.22

Report Period: 01/01/2010 - 12/31/2010

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Total Short Term and Long Term								
						\$3,291,804.08	\$3,534,883.79	\$243,098.25

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments **PER 1099B** make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties as to the accuracy of information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for each transaction.

GAIN/LOSS:	
PROCEEDS	3,534,883.79 +
COST	3,291,804.08 -
GAIN	243,079.71 *

GAIN/LOSS:	
PROCEEDS	3,534,883.79 +
COST	3,291,804.08 -
GAIN	243,079.71 *



Report Period: 01/01/2010 - 12/31/2010

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/12/10	11/02/09	SELL	PERUSAHAAN PERSEROAN PERSERO P.T.	TLK	756,000	26,190.63	31,006.75	4,816.12
01/27/10	11/02/09	SELL	TURKCELL ILETISIM HIZMETLERI A S SPONS	TKC	4,844,000	80,088.68	90,393.70	10,305.02
02/01/10	11/03/09	SELL	COMMERCIAL INTL BK EGYPT S A E AMERN	CIBY	7,556,000	76,901.19	81,612.07	4,710.88
02/01/10	11/03/09	SELL	KOC HLDG ADR	KHOLY	2,669,000	34,281.97	45,411.65	11,129.68
02/01/10	11/02/09	SELL	PT LTD TYRACATORS TBK ADR	PUTKY	439,000	14,303.66	15,309.09	1,005.43
02/11/10	11/02/09	SELL	KIMBERLY CLARK DE MEXICO S A B DE C V	KCOMY	1,266,000	25,956.17	30,168.39	4,212.22
03/09/10	11/30/09	SELL	INDOFOOD SUKSES MAKAMUR TBK	PIFMY	3,037,000	50,204.95	63,385.63	13,180.68
03/30/10	11/02/09	SELL	INFOSYS TECHNOLOGIES LTD SPON ADR	INFY	741,000	34,238.89	44,082.66	9,843.77
REPTG								
03/31/10	11/02/09	SELL	GRUPO TELEvisa SA DE CV SPON ADR REPSTG	TV	1,692,000	33,002.66	35,618.02	2,615.36
03/31/10	11/02/09	SELL	MASSMART HLDGS LTD ADR	MMRTY	1,493,000	33,309.44	44,180.55	10,871.11
04/01/10	11/04/09	SELL	SHOPRITE HLDGS LTD ADR	SRHGY	1,530,000	24,458.27	31,175.21	6,716.94
04/06/10	11/02/09	SELL	TIGER BRANDS LTD SPONSORED ADR NEW	TBLMY	2,427,000	48,213.60	62,742.95	14,529.35
04/14/10	11/30/09	SELL	CELLCOM ISRAEL LTD SHS	CEL	4,118,000	129,300.38	141,761.32	12,460.94
04/14/10	12/14/09	SELL	CELLCOM ISRAEL LTD SHS	CEL	163,000	5,133.77	5,611.24	477.47
04/27/10	11/02/09	SELL	PT BK MANDIRI PERSERO TBK ADR	PPERY	1,694,000	86,014.04	98,853.72	12,839.68
05/05/10	11/03/09	CLEU	AKBANK TURK ANONIM SIRKETI ADR	AKBTY	0.678	5.56	0.00	-5.56
05/05/10	11/03/09	SELL	AKBANK TURK ANONIM SIRKETI ADR	AKBTY	5,819,000	47,762.77	55,027.29	8,264.52
05/06/10	11/02/09	CLEU	MOBILE TELESYSTEMS QJSC SPONSORED ADR	MBT	0.500	9.37	10.49	1.12
05/10/10	11/02/09	CLEU	COMPANHIA ENERGETICA DE MINAS GERANIS ADR	CIG	0.300	4.26	4.39	0.13
ADR								
05/11/10	11/02/09	SELL	MASSMART HLDGS LTD ADR	MMRTY	770,000	17,179.01	23,181.37	6,002.36
05/11/10	11/04/09	SELL	SHOPRITE HLDGS LTD ADR	SRHGY	1,298,000	20,749.57	26,621.01	5,871.44
05/21/10	11/03/09	SELL	AKBANK TURK ANONIM SIRKETI ADR	AKBTY	942,000	7,732.00	8,948.84	1,216.84
05/21/10	11/02/09	SELL	AMERICA MOVIL SAB DE C V SPONSORED ADR	AMX	143,000	6,376.28	6,635.25	258.97
05/21/10	01/05/10	SELL	BANCO DO BRASIL S A SPONS ADR	BDORY	578,000	10,213.72	7,912.68	-2,301.04
05/21/10	11/02/09	SELL	BANCO MARCO S A SPONSORED ADR	BMA	613,000	18,995.90	16,600.91	-2,394.99
05/21/10	11/03/09	SELL	COMMERCIAL INTL BK EGYPT S A E AMERN	CIBY	447,000	4,549.34	5,363.90	814.56
05/21/10	11/02/09	SELL	COMPANHIA ENERGETICA DE MINAS GERANIS ADR	CIG	563,000	8,001.13	7,967.69	-33.44
ADR								
05/21/10	11/30/09	SELL	INDOFOOD SUKSES MAKAMUR TBK	PIFMY	5,099,000	84,292.08	93,815.93	9,523.85
05/21/10	11/03/09	SELL	KOC HLDG ADR	KHOLY	575,000	7,385.59	9,734.58	2,348.99
05/21/10	11/03/09	SELL	MURRAY & ROBERTS HLDGS LTD SPONS ADR	MURZY	4,696,000	32,891.72	23,143.92	-9,747.80
05/21/10	11/02/09	SELL	LUKOIL CO SPOND ADR SHS	LUKOY	206,000	11,926.82	9,758.05	-2,168.77
05/21/10	04/14/10	SELL	PT SEMEN GRESIK PERSERO TBK ADR	PSGTY	153,000	7,114.50	6,364.69	-749.81
05/21/10	11/02/09	SELL	PT LTD TYRACATORS TBK ADR	PUTKY	333,000	10,849.93	11,788.00	938.07

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term (continued)								
05/21/10	11/02/09	SELL	PT BK MANDIRI PERSERO TBK ADR	PPERY	2,339,000	11,876.44	12,864.28	987.84
05/21/10	11/02/09	SELL	PERUSAHAAN PERSEROAN P.T.	TLK	358,000	12,402.44	11,456.16	-946.28
05/21/10	11/02/09	SELL	PHILIPPINE LONG DISTANCE TEL CO ADR	PHI	759,000	40,836.05	39,615.82	-1,220.23
05/26/10	11/03/09	SELL	AKBANK TURK ANONIM SIRKETI ADR	AKBTY	1,938,000	15,907.24	18,459.91	2,552.67
05/26/10	11/03/09	SELL	KOC HLDG ADR	KHOLY	1,479,000	18,997.01	24,738.22	5,741.21
05/27/10	11/02/09	SELL	COMMERCIAL INTL BK EGYPT S A E AMERN	CIBEX	296,000	3,012.54	3,684.45	671.91
07/27/10	11/02/09	SELL	PT BK MANDIRI PERSERO TBK ADR	PPERY	1,893,000	9,611.84	12,552.26	2,940.42
08/19/10	11/02/09	SELL	PT BK MANDIRI PERSERO TBK ADR	PPERY	4,987,000	25,321.84	33,141.54	7,819.70
09/02/10	11/02/09	SELL	NETEASE COM INC SPONSORED ADR	NTES	1,804,000	66,762.61	72,170.33	5,407.72
09/10/10	11/02/09	CLEU	ADVANCED SEMICONDUCTOR ENGR	ASX	0.720	2.68	2.56	-0.12
09/14/10	11/02/09	SELL	LUKOIL CO SPOND ADR SHS	LUKOY	657,000	38,038.46	36,611.43	-1,427.03
09/20/10	11/02/09	SELL	PT BK MANDIRI PERSERO TBK ADR	PPERY	4,276,000	21,711.69	31,337.85	9,626.16
09/21/10	11/02/09	SELL	PT LTD TYRACORS TBK ADR	PUTKY	21,000	684.23	917.56	233.33
09/23/10	11/02/09	SELL	DESARROLLADORA HOMEX S A DE CV	HXM	1,513,000	51,040.98	48,642.12	-2,398.86
10/01/10	11/02/09	SELL	BANCO MARCO S A SPONSORED ADR	BMA	525,000	16,268.92	23,086.95	6,818.03
10/19/10	04/14/10	SELL	PT SEMEN GRESIK PERSERO TBK ADR	PSGTY	226,000	10,509.00	12,522.91	2,013.91
10/20/10	11/02/09	SELL	ADVANCED SEMICONDUCTOR ENGR	ASX	5,971,080	23,274.20	24,087.80	813.60
10/20/10	11/03/09	SELL	AKBANK TURK ANONIM SIRKETI ADR	AKBTY	2,457,000	20,167.23	32,309.00	12,141.77
10/20/10	11/02/09	SELL	AMERICA MOVIL SAB DE CV SPONSORED ADR	AMX	625,000	27,868.34	35,537.51	7,669.17
10/20/10	01/05/10	SELL	BANCO DO BRASIL S A SPONS ADR	BQORY	1,745,000	30,835.55	35,865.73	5,030.18
10/20/10	11/02/09	SELL	BANCO MARCO S A SPONSORED ADR	BMA	513,000	15,897.06	23,794.67	7,897.61
10/20/10	04/21/10	SELL	CHINA CONSTR BK CORP ADR	CICHY	547,000	23,012.73	26,221.65	3,208.92
10/20/10	03/10/10	SELL	CIELO S A SPONSORED ADR	CIOXY	3,566,000	30,928.28	31,965.15	1,036.87
10/20/10	11/03/09	SELL	COMMERCIAL INTL BK EGYPT S A E AMERN	CIBEX	6,269,000	31,901.38	44,195.70	12,294.32
10/20/10	01/28/10	SELL	COMPANHIA SIDERURGICA NACIONAL	SID	1,200,000	17,388.84	20,148.60	2,759.76
10/20/10	11/02/09	SELL	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	1,554,000	22,084.83	27,926.14	5,841.31
10/20/10	11/02/09	SELL	DESARROLLADORA HOMEX S A DE CV	HXM	549,000	18,520.49	18,962.60	442.11
10/20/10	11/02/09	SELL	FOMENTO ECONOMICO MEX S A B DE CV NEM FMX	FMX	490,000	21,353.73	26,175.71	4,821.98
10/20/10	11/02/09	SELL	GRUPO TELEVIS SA DE CV SPON ADR REPSTG-TV	REPSTG	1,228,000	23,952.28	27,152.62	3,200.34
10/20/10	11/02/09	SELL	INFOSYS TECHNOLOGIES LTD SPON ADR	INFY	356,000	16,449.45	23,788.19	7,338.74
10/20/10	11/03/09	SELL	ISRAEL CHEMICALS LTD ADR	ISCHY	14,766,000	167,828.88	229,440.62	61,611.74
10/20/10	11/02/09	SELL	KIMBERLY CLARK DE MEXICO S A B DE CV	KCDMY	864,000	17,714.16	26,818.10	9,103.94
10/20/10	11/03/09	SELL	KOC HLDG ADR	KHOLY	3,184,000	40,896.88	76,255.51	35,358.62
10/20/10	11/02/09	SELL	KUMBA IRON ORE SPONSORED ADR	KROY	602,000	17,772.55	34,915.40	17,142.85
10/20/10	11/02/09	SELL	MASSMART HLDGS LTD ADR	MMRTY	505,000	11,266.76	20,931.89	9,665.13
10/20/10	11/02/09	SELL	MOBILE TELESYSTEMS OJSC SPONSORED ADR	MBT	987,000	18,499.01	21,882.34	3,383.33
10/20/10	11/03/09	SELL	MURRAY & ROBERTS HLDGS LTD SPONS ADR	MURZY	2,839,000	19,884.83	18,651.91	-1,233.02
10/20/10	11/02/09	SELL	NEDBANK GROUP LTD SPONS ADR	NDBKY	455,000	13,567.47	17,994.94	4,427.47
10/20/10	11/02/09	SELL	NETEASE COM INC SPONSORED ADR	NTES	621,000	22,982.03	24,542.11	1,560.08
10/20/10	11/02/09	SELL	LUKOIL CO SPOND ADR SHS	LUKOY	403,000	23,532.57	22,970.61	-561.96
10/20/10	11/02/09	SELL	ORIFLAME COSMETICS SA ADR	OFLMY	905,000	25,402.21	29,592.99	4,190.78
10/20/10	04/14/10	SELL	PT SEMEN GRESIK PERSERO TBK ADR	PSGTY	518,000	24,087.00	28,489.51	4,402.51
10/20/10	11/02/09	SELL	PT LTD TYRACORS TBK ADR	PUTKY	891,000	29,030.50	40,985.30	11,954.80

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Account Number: 19C-001906

IRENE W & CB PENNINGTON

PAR-02-ROLL

B0005227CSF960AP

#1 Brokerage Statement
2009, 2010

DALBAR RATED

FOR COMMUNICATION



Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE SIPC

2010 Year-End Account Report

Report Period: 01/01/2010 - 12/31/2010

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term (continued)								
10/20/10	11/02/09	SELL	PT BK MANDIRI PERSERO TBK ADR	PPRY	1,508,000	7,656.98	11,460.60	3,803.62
10/20/10	11/02/09	SELL	PERUSAHAAN PERSEROAN PERSERO P.T.	TLK	129,000	4,469.04	5,335.01	863.97
10/20/10	11/02/09	SELL	PHILIPPINE LONG DISTANCE TEL CO ADR	PHI	443,000	23,834.48	27,616.56	3,782.08
10/20/10	11/03/09	SELL	PRETORIA PORTLAND CEM CO LTD ADR NEW	PPCY	1,989,000	15,904.44	18,736.06	2,831.62
10/20/10	11/02/09	SELL	SANLAM LTD SPONSORED ADR	SLLD	1,632,000	21,946.19	30,501.56	8,555.37
10/20/10	11/02/09	SELL	SHINHAN FINL GROUP CO LTD SPONSORED	SHG	495,000	38,117.63	39,954.12	1,836.49
10/20/10	11/04/09	SELL	SHOPRITE HLDGS LTD ADR	SRHG	816,000	13,044.41	23,867.59	10,823.18
10/20/10	11/02/09	SELL	STANDARD BK GROUP LTD ADR	SBCO	666,000	16,383.60	20,579.05	4,195.45
10/20/10	11/02/09	SELL	TAIWAN SEMICONDUCTOR MFG CO	TSM	3,900,000	37,282.44	40,370.73	3,088.29
Long Term								
10/20/10	11/02/09	SELL	TIGER BRANDS LTD SPONSORED ADR NEW	TBLMY	853,000	16,945.28	23,158.55	6,213.27
10/20/10	11/02/09	SELL	TURKCELL ILETISIM HIZMETLERI A S SPONS	TKC	1,989,000	32,885.30	37,195.17	4,309.87
10/20/10	05/06/10	SELL	ULTRAPAR PARTICIPACOES S A	UGP	364,000	15,524.57	22,584.25	7,059.68
10/20/10	11/02/09	SELL	USINAS SIDERURGICAS DE MINAS GERAIS S A	USNZ	2,834,000	37,950.48	34,557.79	-3,392.69
10/20/10	02/10/10	SELL	VALE SA ADR REPSTG PFD	VALE P	1,032,000	23,398.54	30,557.10	7,158.56
11/05/10	10/20/10	SELL	CHINA HIGH SPEED TRANSMISSION EQUIP	CHSTY	2,580,000	143,190.00	135,128.31	-8,061.69
12/30/10	10/20/10	SELL	WEICHAI POWER CO LTD ADR	WEICY	45,000	2,643.75	2,801.20	157.45
Total Short Term						\$2,546,698.70	\$3,012,980.24	\$466,281.54
Long Term								
11/04/10	11/03/09	SELL	KOC HLDG ADR	KHOLY	2,000	25.69	51.10	25.41
11/22/10	11/03/09	SELL	KOC HLDG ADR	KHOLY	2,547,000	32,714.94	66,881.30	34,166.36
12/17/10	11/02/09	SELL	TAIWAN SEMICONDUCTOR MFG CO	TSM	2,253,000	21,537.78	28,637.49	7,099.71
Long Term								
12/20/10	11/02/09	SELL	SHINHAN FINL GROUP CO LTD SPONSORED	SHG	456,000	35,114.43	41,342.31	6,227.88
12/23/10	11/02/09	SELL	ADVANCED SEMICONDUCTOR ENGR	ASX	3,422,000	12,765.42	18,852.85	6,087.43
12/23/10	11/02/09	SELL	SANLAM LTD SPONSORED ADR	SLLD	1,139,000	15,316.61	23,191.35	7,874.74
12/29/10	11/02/09	SELL	TAIWAN SEMICONDUCTOR MFG CO	TSM	2,641,000	25,246.91	32,504.35	7,257.44
Total Long Term						\$142,721.78	\$211,460.75	\$68,738.97

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Total Short Term and Long Term								
						\$2,689,420.48	\$3,224,440.99	\$535,020.51

WTB

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in-cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable. When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Schedule of Realized Gains and Losses

Dispositive Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/08/10	02/17/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	302,000	38,005.59	46,994.16	8,988.57
01/12/10	02/17/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	611,600	25,744.36	32,115.34	6,370.98
01/14/10	02/17/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	254,000	10,702.24	13,477.52	2,775.28
01/14/10	04/14/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	320,000	11,805.44	16,979.56	5,174.12
01/20/10	04/14/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	1,200,000	44,270.40	64,130.18	19,919.78
03/25/10	08/03/09	SELL	NINTENDO LTD ADR	NTDOY	513,000	17,416.28	21,145.28	3,729.08
05/21/10	04/30/10	SELL	BP PLC SPONS ADR	BP	381,000	20,181.99	16,792.82	-3,389.17
06/04/10	11/18/09	SELL	REXAM PLC SPONSORED ADR NEW	REXMY	135,000	3,290.15	3,143.67	-146.48
06/04/10	11/18/09	SELL	REXAM PLC SPONSORED ADR NEW	REXMY	1,142,000	27,832.26	26,644.80	-1,187.46
07/01/10	01/17/10	SELL	ASTRAZENECA PLC SPONSORED ADR	AZN	419,000	19,990.28	19,689.24	-301.04
07/15/10	06/17/10	SELL	BP PLC SPONS ADR	BP	684,000	21,880.40	25,348.61	3,468.21
08/04/10	06/17/10	SELL	BP PLC SPONS ADR	BP	875,000	27,990.28	34,509.41	6,519.13
10/14/10	05/19/10	SELL	ALUMINA LTD SPONSORED ADR	AWC	2,034,000	11,254.73	16,286.57	5,031.84
11/03/10	06/17/10	SELL	BP PLC SPONS ADR	BP	744,000	23,799.73	31,478.76	7,679.03
11/09/10	06/17/10	SELL	BP PLC SPONS ADR	BP	790,000	25,271.22	34,201.92	8,930.70
11/20/10	06/17/10	SELL	BP PLC SPONS ADR	BP	780,000	24,951.33	33,532.33	8,581.00
Total Short Term						\$354,386.60	\$436,530.17	\$82,143.57
Long Term								
01/20/10	10/04/05	SELL	KOREA-ELEC PWR CO SPONSORED ADR-RBSC	KEP	1,020,000	18,077.58	18,290.81	213.23
02/23/10	11/20/08	SELL	TECHNIP SPONS ADR ISIN#US875452099	TEPPY	931,000	22,286.37	67,147.33	44,860.96
03/04/10	10/05/05	SELL	SHISEIDO LTD SPON ADR	SSDOY	1,393,000	19,822.25	31,438.08	11,615.83
03/09/10	12/02/05	SELL	FUJIFILM HLDGS CORP ADR 2 ORD	FUJTY	545,000	51,210.11	49,840.44	-1,369.67
03/09/10	12/12/08	SELL	TDK CORP-AMERICAN-BEP SHS	TTDKY	1,019,000	33,913.54	66,080.42	32,166.88
05/07/10	11/13/06	SELL	BARRICK GOLD CORP COM	ABX	224,000	6,538.18	9,587.22	3,049.04
05/07/10	03/29/08	SELL	BARRICK GOLD CORP COM	ABX	565,000	24,327.94	24,182.05	-145.89
05/07/10	06/20/08	SELL	MAGNA INTERNATIONAL INC COM	MCA	529,000	35,448.29	37,566.17	1,917.88
05/14/10	01/07/09	SELL	SEVEN & HLDGS CO LTD ADR	SNDY	381,000	22,485.74	18,852.02	-3,633.72
05/14/10	01/13/09	SELL	SEVEN & HLDGS CO LTD ADR	SNDY	151,000	9,071.82	7,471.53	-1,600.29
05/21/10	02/20/09	SELL	AFCON NV-ORD-AMER REG	ABC	9,310,000	38,245.48	54,188.87	15,943.39
05/21/10	02/26/07	SELL	BP PLC SPONS ADR	BP	406,000	26,124.27	17,894.72	-8,229.55
05/21/10	05/09/07	SELL	BP PLC SPONS ADR	BP	2,175,000	148,232.99	95,864.55	-52,368.44
05/21/10	02/05/08	SELL	GOLD FIELDS LTD NEW SPONS ADR	GFI	1,463,000	19,893.58	18,273.25	-1,620.33
05/21/10	04/08/08	SELL	GOLD FIELDS LTD NEW SPONS ADR	GFI	593,000	8,745.72	7,406.73	-838.99
05/21/10	02/14/08	SELL	SK TELECOM LTD SPONSORED ADR	SKM	1,126,000	25,461.34	17,837.65	-7,623.69
05/28/10	03/19/08	SELL	ANGLO GOLD ASHANTI LTD SPONSORED ADR	AU	841,000	26,336.11	35,168.67	8,832.56
05/28/10	07/10/08	SELL	ANGLO GOLD ASHANTI LTD SPONSORED ADR	AU	39,000	1,350.56	1,630.89	280.33
06/17/10	12/12/08	SELL	UNITED UTILS GROUP PLC SPON ADR	UGRY	1,660,000	29,154.91	26,405.84	-2,749.07
06/17/10	12/15/08	SELL	UNITED UTILS GROUP PLC SPON ADR	UGRY	5,630,000	102,469.94	89,557.15	-12,912.79
07/16/10	10/05/05	SELL	SHISEIDO LTD SPON ADR	SSDOY	1,427,000	20,306.07	31,822.13	11,516.06
07/21/10	05/31/06	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	1,035,000	7,011.19	17,452.91	10,441.72
07/21/10	10/05/05	SELL	SWISSCOM SPON ADR	SCMWY	322,000	10,461.14	11,875.86	1,414.72
08/10/10	01/16/09	SELL	SOCIETE GENERALE FRANGE SPON ADR	SGCLY	1,567,000	13,257.45	18,577.56	5,320.11
09/14/10	06/20/08	SELL	MAGNA INTERNATIONAL INC COM	MCA	27,000	1,809.27	2,134.71	325.44



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2010 Year-End Account Report

Report Period: 01/01/2010 - 12/31/2010

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
09/14/10	07/15/08	SELL	MAGNA INTERNATIONAL INC COM	MGA	257 000	14,144.30	20,319.28	6,174.98
09/27/10	07/15/08	SELL	MAGNA INTERNATIONAL INC COM	MGA	200 000	11,007.24	15,889.43	4,882.19
09/29/10	07/07/09	SELL	KINROSS GOLD CORP COM NO PAR	KGC	750 000	13,871.10	14,234.75	363.65
10/05/10	10/04/05	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS B	405 000	27,157.98	24,110.21	-3,047.77
10/15/10	07/15/08	SELL	MAGNA INTERNATIONAL INC COM	MGA	220 000	12,107.96	19,258.25	7,150.29
10/27/10	03/05/07	SELL	SECA SAMMY HLDGS INC SPONS ADR REPSTG COM	SCAMY	4,635 000	28,702.70	18,206.44	-10,496.26
10/27/10	05/15/07	SELL	SECA SAMMY HLDGS INC SPONS ADR REPSTG COM	SCAMY	8,561 000	41,091.94	33,627.90	-7,464.04
10/27/10	01/07/08	SELL	SECA SAMMY HLDGS INC SPONS ADR REPSTG COM	SCAMY	11,927 000	38,562.38	46,849.65	8,287.27
10/28/10	06/23/06	SELL	NEWCREST MINING LTD ADR	NMGV	460 000	6,697.92	17,623.86	10,925.94
11/02/10	07/22/08	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	838 000	21,887.27	23,104.35	1,417.08
11/08/10	05/31/06	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	250 000	1,693.53	6,516.74	4,823.21
11/08/10	03/19/08	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	2,341 000	23,641.76	61,022.74	37,380.98
12/01/10	08/11/08	SELL	CAMECO CORP COM ISIN#CA13321L1085	CCI	237 000	7,544.23	8,875.68	1,331.45
12/01/10	09/16/08	SELL	CAMECO CORP COM ISIN#CA13321L1085	CCI	1,176 000	27,846.62	44,041.37	16,194.75
12/06/10	03/20/08	SELL	BARRICK GOLD CORP COM	ABX	297 000	12,788.31	16,124.13	3,335.82
12/06/10	04/30/08	SELL	BARRICK GOLD CORP COM	ABX	263 000	10,067.48	14,278.27	4,210.79
12/06/10	10/30/09	SELL	EMBRAER EMPRESA N/C EFF 11/23/10	2908IM102	1,154 000	25,451.47	34,399.69	8,948.22
12/10/10	07/15/08	SELL	MAGNA INTERNATIONAL INC COM	MGA	487 000	13,401.32	24,529.96	11,128.64
Total Long Term						\$1,059,007.35	\$1,219,360.26	\$160,352.91
Total Short Term and Long Term								
						\$1,413,393.95	\$1,655,890.43	\$242,496.48

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Return of capital information has been obtained from sources we believe to be reliable. When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Report Period: 01/01/2010 - 12/31/2010

Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
Money Market Fund (continued)				
FEDERATED PRIME MGMT OBLIG CAP (continued)				
10/26/10	Deposit	MONEY FUND PURCHASE	2,768,625.00	5,241,540.00
10/27/10	Withdrawal	MONEY FUND REDEMPTION	-4,980,773.00	260,767.00
10/29/10	Deposit	INCOME REINVEST	119.80	260,886.80
11/30/10	Deposit	INCOME REINVEST	25.11	260,911.91
12/31/10	Deposit	INCOME REINVEST	24.30	260,936.21
12/31/10	Closing Balance			\$260,936.21
Total All Money Market Funds				\$260,936.21

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
05/20/10	Multiple	SELL	FRANKLIN TEMPLETON EMERGING MARKET	FEMDX	3,291.845	35,686.43	38,338.00	2,651.57
10/22/10	Multiple	SELL	FRANKLIN TEMPLETON EMERGING MARKET	FEMDX	1,541.153	16,707.43	19,237.00	2,529.57
Total Long Term						\$52,393.86	\$57,575.00	\$5,181.14
Total Short Term and Long Term						\$52,393.86	\$57,575.00	\$5,181.14

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Report Period: 01/01/2010 - 12/31/2010

Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
Money Market Fund (continued)				
FEDERATED PRIME MGMT OBLIG SVC (continued)				
11/29/10	Withdrawal	MONEY FUND REDEMPTION	-35,823.24	36,695.73
11/30/10	Deposit	INCOME REINVEST	0.55	36,696.28
12/02/10	Deposit	MONEY FUND PURCHASE	222.60	36,918.88
12/03/10	Deposit	MONEY FUND PURCHASE	16,896.35	53,815.23
12/08/10	Deposit	MONEY FUND PURCHASE	42,514.86	96,330.09
12/13/10	Withdrawal	MONEY FUND REDEMPTION	-38,680.50	57,649.59
12/14/10	Withdrawal	MONEY FUND REDEMPTION	-35,789.49	21,860.10
12/16/10	Deposit	MONEY FUND PURCHASE	922.50	22,782.60
12/17/10	Deposit	MONEY FUND PURCHASE	337.50	23,120.10
12/20/10	Deposit	MONEY FUND PURCHASE	108.00	23,228.10
12/31/10	Deposit	MONEY FUND PURCHASE	441.60	23,669.70
12/31/10	Deposit	INCOME REINVEST	0.38	23,670.08
12/31/10	Closing Balance			\$23,670.08
Total All Money Market Funds				\$23,670.08

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
02/26/10	06/23/09	SELL	OLYMPIC STEEL INC	ZELUS	880.000	20,466.95	24,873.67	4,406.72
03/16/10	06/25/09	SELL	ROBBINS & MYERS INC	RBN	1,030.000	21,379.92	27,480.15	6,100.23
03/22/10	09/25/09	SELL	FREDS INC CL A	FRED	2,235.000	28,222.46	23,986.60	-4,235.86
03/23/10	06/05/09	SELL	HERTZ GLOBAL HLDGS INC COM	HTZ	5,460.000	37,179.32	53,113.11	15,933.79
05/07/10	10/28/09	SELL	MONTPELIER RE HOLDINGS LTD SHS	MRH	2,325.000	38,773.33	35,658.61	-3,114.72
06/22/10	08/14/09	SELL	KING PHARMACEUTICALS INC COM	KG	3,015.000	31,295.70	24,669.52	-6,626.18
12/02/10	02/04/10	SELL	NOVELL INC	NOVL	6,835.000	32,263.93	40,530.86	8,266.93
Total Short Term						\$209,581.61	\$230,312.52	\$20,730.91
Long Term								
01/12/10	12/08/08	SELL	ASSURED GUARANTY LTD COM	AGO	1,900.000	27,053.53	42,161.79	15,108.26
01/15/10	07/02/08	SELL	UNIFIRST CORP	UNF	850.000	42,363.15	44,860.99	2,497.84
02/16/10	04/29/08	SELL	WEST PHARMACEUTICAL SVCS INC COM	WST	860.000	40,440.30	31,498.04	-8,942.26

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
02/25/10	12/11/08	SELL	OCWEN FINL CORP COM NEW	OCN	1,525,000	9,019.98	16,456.52	7,436.54
03/01/10	04/29/08	SELL	MUELLER INDUSTRIES INC	MLI	1,910,000	61,725.66	44,451.24	-17,274.42
03/05/10	04/29/08	SELL	OTTER TAIL CORP COM	OTTR	1,870,000	69,437.03	38,477.19	-30,959.84
03/10/10	04/29/08	SELL	PATTERSON UTI ENERGY INC COM	PTEN	1,525,000	42,520.20	23,721.52	-18,798.68
03/23/10	04/29/08	SELL	TRIUMPH GROUP INC NEW COM	TGI	595,000	34,915.79	40,372.81	5,457.02
03/30/10	04/29/08	SELL	CAMCO INVS INC COM	CBL	620,000	30,116.75	28,589.32	-1,527.43
05/05/10	07/23/08	SELL	FIRST NIAGARA FINL GROUP INC NEW COM	FNFG	1,980,000	28,231.83	26,819.83	-1,412.00
05/13/10	04/29/08	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	2,030,000	64,763.29	27,222.24	-37,541.05
05/18/10	04/29/08	SELL	ALEXANDER & BALDWIN INC COM	ALEX	530,000	27,918.89	17,122.61	-10,796.28
05/27/10	04/29/08	SELL	RPC INC FORMERLY RPC ENERGY SERVICES	RES	3,650,000	47,622.65	42,339.65	-5,283.00
06/28/10	04/29/08	SELL	GLOBAL INDUSTRIES LTD	GLBL	2,030,000	32,336.48	9,814.58	-22,521.90
06/28/10	05/01/08	SELL	GLOBAL INDUSTRIES LTD	GLBL	1,820,000	28,644.07	8,799.37	-19,844.70
06/28/10	07/23/08	SELL	UMB FINL CORP COM	UMBF	515,000	28,759.56	19,042.67	-9,716.89
07/08/10	05/06/08	SELL	AMERICAN PHYSICIANS CAP INC COM	028884104	680,000	25,799.16	27,649.33	1,849.17
07/23/10	06/09/09	SELL	UNITED-RENTALS INC COM	URI	3,855,000	26,157.19	50,786.09	24,628.90
10/19/10	07/25/08	SELL	HELEN OF TROY LTD ORD NEW	HELE	225,000	4,680.97	5,949.57	1,267.60
10/19/10	04/29/08	SELL	ADVANCED ENERGY-INDS COM	AES	350,000	4,995.34	5,203.58	208.24
10/19/10	07/30/08	SELL	AMERISAFE INC COM	AMSF	250,000	4,567.43	4,810.89	243.46
10/19/10	04/29/08	SELL	AVISTA CORP COM	AVA	350,000	7,294.56	7,611.54	316.98
10/19/10	06/19/08	SELL	CELESTICA INC SUB VTC SHS	CLS	600,000	5,435.40	5,065.47	-369.93
10/19/10	04/14/09	SELL	DOUGLAS EMMETT INC COM	DEI	250,000	2,458.40	2,098.95	-359.45
10/19/10	05/14/09	SELL	GENWORTH FINL INC COM CL A	GNW	400,000	1,754.04	5,412.30	3,658.26
10/19/10	04/29/08	SELL	CGI GROUP INC	GIB	500,000	5,684.45	7,788.26	2,103.81
10/19/10	06/25/08	SELL	LACLEDE GROUP INC COM	LG	150,000	6,048.12	5,522.19	-525.93
10/19/10	04/29/08	SELL	MKS INSTRS INC COM	MKS	350,000	8,472.45	7,002.55	-1,469.90
10/19/10	04/08/09	SELL	MEDICIS PHARMACEUTICAL CORP	MRX	200,000	2,492.46	6,018.27	3,525.81
10/19/10	04/29/08	SELL	MOOG INC CLASS A	MOC A	125,000	5,543.94	4,561.68	-982.26
10/19/10	09/25/08	SELL	SAFETY INS GROUP INC COM	SAFT	125,000	5,364.09	5,694.39	330.30
10/19/10	04/29/08	SELL	SYKES ENTERPRISES INC COM	SYKE	300,000	5,063.52	4,419.49	-644.03
10/19/10	07/02/08	SELL	TEKELEC	TKLG	400,000	5,929.72	5,284.11	-645.61
10/19/10	05/07/08	SELL	TOLL BROS INC	TOL	250,000	6,105.35	4,630.90	-1,474.45
11/17/10	05/07/08	SELL	TOLL BROS INC	TOL	1,250,000	30,526.75	22,613.86	-7,912.89
11/18/10	04/29/08	SELL	T3 ENERGY SVCS INC COM *C/A* EFF	TTES	620,000	33,178.12	20,702.68	-12,475.44
11/29/10	04/08/09	SELL	MAXYGEN INC COM	MAXY	2,575,000	17,890.59	16,896.35	-994.24
Total Long Term						\$831,312.26	\$689,650.37	-\$141,661.89
Total Short Term and Long Term								
Total						\$1,040,893.87	\$919,962.89	-\$120,930.98

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2010 Year-End Account Report

Report Period: 01/01/2010 - 12/31/2010

Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
Money Market Fund (continued)				
FEDERATED PRIME MGMT OBLIG SVC (continued)				
12/21/10	Withdrawal	MONEY FUND REDEMPTION	-4,688.54	35,206.66
12/28/10	Withdrawal	MONEY FUND REDEMPTION	-19,643.64	15,563.02
12/31/10	Deposit	MONEY FUND PURCHASE	225.89	15,788.91
12/31/10	Deposit	INCOME REINVEST	0.35	15,789.26
12/31/10	Closing Balance			\$15,789.26
Total All Money Market Funds				\$15,789.26

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/25/10	12/22/09	SELL	GOODRICH PETE CORP COM NEW	GDP	600,000	15,292.86	9,603.24	-5,689.62
08/26/10	09/17/09	SELL	INVESTMENT TECHNOLOGY GROUP	ITG	760,000	21,286.08	10,480.90	-10,805.18
11/22/10	04/26/10	SELL	ARTIO GLOBAL INVS INC COM CL A	ART	500,000	12,283.15	6,712.73	-5,570.42
11/22/10	06/18/10	SELL	ARTIO GLOBAL INVS INC COM CL A	ART	700,000	12,572.71	9,597.83	-2,974.88
Total Short Term						\$61,234.80	\$36,194.70	-\$25,040.10
Long Term								
01/20/10	04/30/08	SELL	TENNANT CO	TNC	1,000,000	34,176.70	25,179.67	-8,997.03
01/22/10	04/30/08	SELL	BRINKS HOME SEC C/A EFF 5/14/10	CFL	700,000	24,476.17	28,910.96	4,434.79
03/09/10	04/30/08	SELL	WENDYS ARBYS GROUP INC COM	WEN	2,200,000	15,529.60	10,189.83	-5,339.77
03/25/10	04/30/08	SELL	GOODRICH PETE CORP COM NEW	GDP	980,000	32,436.04	15,685.28	-16,750.76
05/13/10	04/30/08	SELL	GENESSEE & WYO INC CL A	GWR	1,300,000	46,540.00	50,832.39	4,292.39
05/13/10	04/30/08	SELL	JOHN BEAN TECHNOLOGIES CORP	JBT	151,000	2,188.47	2,784.39	595.92
05/13/10	08/14/08	SELL	WALTER INVT MGMT CORP COM	WAC	148,193	5,219.33	2,613.46	-2,605.87
05/13/10	09/22/08	SELL	WALTER INVT MGMT CORP COM	WAC	59,856	1,685.86	1,055.60	-630.26
05/13/10	04/21/09	SELL	WALTER INVT MGMT CORP COM	WAC	61,951	854.91	1,092.54	237.63
07/08/10	04/30/08	SELL	COVANTA HLDG CORP COM	CVA	1,800,000	48,400.02	28,212.00	-20,188.02
08/10/10	04/30/08	SELL	MUELLER WTR PRODS INC SER A COM	MWA	4,500,000	36,128.70	12,602.93	-23,525.77
09/08/10	04/30/08	SELL	GAYLORD ENTMT CO NEW COM	GET	1,000,000	29,912.60	28,928.11	-984.49
09/10/10	04/30/08	SELL	NEWALLIANCE BANCSHARES INC COM	NAL	2,700,000	37,095.30	33,643.59	-3,451.71
09/16/10	04/30/08	SELL	BUCCYRUS INTL INC NEW COM	BUY	200,000	12,568.20	13,826.14	1,257.94
09/20/10	04/30/08	SELL	ORIENT EXPRESS HOTELS LTD CL A SHS	OEH	900,000	42,162.30	9,621.37	-32,540.93

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PAR-02-ROLL

Account Number 5PN-002969
IRENE W & CB PENNINGTON

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#1 Brokerage Statement,
2009, 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary
of The Bank of New York Mellon Corporation
Pershing LLC, member FINRA, NYSE, SIPC

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
11/03/10	09/08/09	SELL	EXCO RES INC COM	XCO	500,000	7,480.65	9,445.29	1,964.64
11/16/10	09/08/09	SELL	EXCO RES INC COM	XCO	770,000	11,520.20	14,132.42	2,612.22
11/16/10	04/30/08	SELL	JOY GLOBAL INC COM	JOYG	100,000	7,594.60	7,510.06	115.46
11/22/10	04/30/08	SELL	BUCTUS INTL INC NEW COM	BUCY	600,000	37,704.60	53,461.57	15,756.97
11/22/10	08/12/09	SELL	PULTE GROUP INC COM	PHM	1,450,000	18,806.50	9,383.37	-9,423.13
12/14/10	08/14/08	SELL	WALTER ENERGY INC COM	WLT	100,000	7,419.13	11,858.98	4,439.85
12/28/10	04/30/08	SELL	JOY GLOBAL INC COM	JOYG	300,000	22,183.80	26,240.55	4,056.75
Total Long Term						\$481,683.68	\$597,210.50	-\$94,473.18

Total Short Term and Long Term

						\$542,918.48	\$433,405.20	-\$109,513.28
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The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Money Market Fund Detail (continued)

Date	Activity Type	Description	Amount	Balance
Money Market Fund (continued)				
FEDERATED PRIME MGMT OBLIG SVC (continued)				
12/28/10	Withdrawal	MONEY FUND REDEMPTION	-15,787.22	314,545.44
12/30/10	Withdrawal	MONEY FUND REDEMPTION	-9,266.10	305,279.34
12/31/10	Deposit	INCOME REINVEST	2.70	305,282.04
12/31/10	Closing Balance			\$305,282.04
Total All Money Market Funds				
				\$305,282.04

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
01/25/10	09/19/07	SELL	CADBURY PLC CASH AND STOCK MERGER EFF	CDCY	896,000	48,361.96	47,885.46	-476.50
01/25/10	09/20/07	SELL	CADBURY PLC CASH AND STOCK MERGER EFF	CDCY	800,000	41,873.96	42,754.88	880.92
01/25/10	09/21/07	SELL	CADBURY PLC CASH AND STOCK MERGER EFF	CDCY	896,000	47,071.64	47,885.46	813.82
01/25/10	09/24/07	SELL	CADBURY PLC CASH AND STOCK MERGER EFF	CDCY	864,000	45,298.35	46,175.27	876.82
10/20/10	09/19/07	SELL	CORE LABORATORIES NV	CLB	550,000	32,892.72	47,043.47	14,150.75
10/20/10	09/20/07	SELL	CORE LABORATORIES NV	CLB	500,000	30,669.98	42,766.79	12,096.81
10/20/10	09/21/07	SELL	CORE LABORATORIES NV	CLB	125,000	7,702.63	10,691.69	2,989.06
10/20/10	09/20/07	SELL	BHP BILLITON LTD SPONSORED ADR	BHP	1,175,000	84,282.75	95,761.91	11,479.16
10/20/10	09/21/07	SELL	BHP BILLITON LTD SPONSORED ADR	BHP	860,000	62,788.60	70,089.56	7,300.96
10/20/10	09/19/07	SELL	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	475,000	32,243.00	37,092.24	4,849.24
10/20/10	09/20/07	SELL	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	450,000	31,086.00	35,140.02	4,054.02
10/20/10	09/21/07	SELL	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	425,000	29,826.50	33,187.80	3,361.30
10/20/10	09/24/07	SELL	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	435,000	30,450.00	33,968.68	3,518.68
10/20/10	09/20/07	SELL	CANADIAN NATL RY CO COM	CNI	325,000	18,417.75	21,661.46	3,243.71
10/20/10	09/21/07	SELL	CANADIAN NATL RY CO COM	CNI	755,000	42,036.29	50,321.23	8,284.94
10/20/10	09/19/07	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	775,000	66,671.50	57,080.24	-9,591.26
10/20/10	09/20/07	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	700,000	60,319.00	51,556.35	-8,762.65
10/20/10	09/21/07	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	200,000	17,366.00	14,730.39	-2,635.61
10/20/10	09/19/07	SELL	TENARIS S A SPONSORED ADR	TS	500,000	25,798.32	20,742.23	-5,056.09
10/20/10	09/20/07	SELL	TENARIS S A SPONSORED ADR	TS	1,900,000	97,767.00	78,820.47	-18,946.53
10/20/10	09/21/07	SELL	TENARIS S A SPONSORED ADR	TS	1,535,000	79,359.50	63,678.64	-15,680.86
10/20/10	09/19/07	SELL	TRIGAN WELL SERVICE LTD	TOLWF	325,000	6,432.40	5,779.81	-652.59
10/20/10	09/20/07	SELL	TRIGAN WELL SERVICE LTD	TOLWF	300,000	5,833.00	5,335.21	-497.79
10/20/10	09/21/07	SELL	TRIGAN WELL SERVICE LTD	TOLWF	350,000	6,831.65	6,224.41	-607.24
10/20/10	09/24/07	SELL	TRIGAN WELL SERVICE LTD	TOLWF	325,000	6,340.75	5,779.80	-560.95
12/07/10	09/19/07	SELL	BAE SYS PLC SPONSORED ADR	BAESY	850,000	33,362.50	17,356.70	-16,005.80
12/07/10	09/20/07	SELL	BAE SYS PLC SPONSORED ADR	BAESY	775,000	30,108.75	15,825.23	-14,283.52
12/07/10	09/21/07	SELL	BAE SYS PLC SPONSORED ADR	BAESY	800,000	31,360.00	16,335.72	-15,024.28
12/07/10	09/24/07	SELL	BAE SYS PLC SPONSORED ADR	BAESY	775,000	30,263.75	15,825.23	-14,438.52
12/07/10	09/25/07	SELL	BAE SYS PLC SPONSORED ADR	BAESY	1,495,000	58,277.94	30,527.39	-27,750.55
12/07/10	09/19/07	SELL	RWE AG SPONSORED ADR	RWEQY	275,000	32,931.25	17,660.38	-15,270.87

2010 Year-End Account Report

Report Period: 01/01/2010 - 12/31/2010

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
12/07/10	09/20/07	SELL	RWE AG SPONSORED ADR	RWEOY	250,000	30,462.50	16,054.90	-14,407.60
12/07/10	09/21/07	SELL	RWE AG SPONSORED ADR	RWEOY	250,000	30,317.50	16,054.90	-14,262.60
12/07/10	09/24/07	SELL	RWE AG SPONSORED ADR	RWEOY	275,000	33,261.25	17,660.38	-15,600.87
12/23/10	09/19/07	SELL	NOBLE CORPORATION BAAR NAMEN AKT	NE	990,000	48,299.66	34,534.95	-13,764.71
Total Long Term						\$1,316,356.45	\$1,169,989.25	-\$146,367.20

Total Short Term and Long Term

\$1,316,356.45 **\$1,169,989.25** **-\$146,367.20**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable. When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.



Account Number 8R 08818
Your Financial Advisor or Contact
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UBS Financial Services Inc.

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Other Income Details Not Reported to the Internal Revenue Service - Continued

Activity	Description	Cusip number	Date of Sale	Cost Basis	Factor
Allocation Factors	SPDR GOLD TRUST	78463V107	09/03/10	0.00035993	
		78463V107	10/04/10	0.00033201	
		78463V107	11/05/10	0.00032761	
		78463V107	12/03/10	0.00032147	

2010 Realized Gain/Loss Summary

(includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have been sold.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number in the asterisked column indicates the adjustment. Gain/Loss values that may be subject to Wash Sale rules are indicated by an asterisk (*) in the Gain/Loss column. Gain/Loss has been aggregated on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustment reported on your Form 1099-OID is not adjusted for amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	\$ 13,583.18	\$ 19,848.74	\$ 8,063.58	\$	\$ 8,063.58
Long-term Gain/Loss:	10,167.77	14,415.38	4,247.61		4,247.61
Sub Total:	\$ 23,750.93	\$ 34,062.12	\$ 10,311.19	\$	\$ 10,311.19
Total:	\$ 23,750.93	\$ 34,062.12	\$ 10,311.19	\$	\$ 10,311.19

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Purchase date	Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AFFILIATED COMPUTER SVCS	Trade	25,000	05/28/09	01/28/10		1,554.05	1,106.55	447.50		Y
INC ***MERGER EFF:	Exchange	43,000	05/28/09	02/05/10		2,598.24	1,903.27	694.97		
02/2010 *** CL A						4,152.29	3,009.82	1,142.47		
Sub-Total:		68,000								

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P20LD22807-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMEREN CORP	Trade	30,000		05/28/09	05/13/10	765.22	691.13	74.09		Y
ANADARKO PETROLEUM CORP	Trade	10,000		05/28/09	02/05/10	625.28	468.28	157.02		Y
	Trade	15,000		05/28/09	04/23/10	1,104.67	702.38	402.29		Y
	Trade	29,000		05/28/09	05/17/10	1,642.45	1,357.94	284.51		Y
Sub-Total:		54,000				3,372.40	2,528.58	843.82		
INTEGRYS ENERGY GROUP INC	Trade	15,000		05/28/09	02/02/10	637.40	406.49	230.91		Y
MADISON SQUARE GARDEN INC CL A	Trade	40,000		05/28/09	02/11/10	699.79	513.17	186.62		Y
SUNOCO INC	Trade	35,000		08/06/09	07/30/10	1,241.62	899.52	342.10		Y
SYBASE INC *MERGER EFF: 08/2010*	Trade	45,000		02/23/10	05/21/10	2,883.16	1,968.98	916.20		Y
	Trade	40,000		02/23/10	07/20/10	2,594.38	1,748.41	845.97		Y
Sub-Total:		85,000				5,477.54	3,715.37	1,762.17		
UDR INC REITS	Trade	55,000		05/28/09	02/12/10	833.87	581.13	252.74		Y
	Trade	117,000		05/28/09	04/28/10	2,464.88	1,236.22	1,228.68		Y
Sub-Total:		172,000				3,298.75	1,817.35	1,481.40		
XEROX CORP	Cash In lieu	.205		05/28/09	02/05/10	1.73	1.73			
Total Short-Term Gain/Loss						19,646.74	13,583.16	6,063.58		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ANNALY CAPITAL MANAGEMENT INC REIT	Trade	60,000		05/28/09	12/13/10	1,093.65	836.92	256.73		Y
BABCOCK & WILCOX CO NEW COM	Cash In lieu	.500		05/28/09	08/02/10	11.58	10.62	.96		Y
CINTAS CORP	Trade	50,000		05/28/09	08/27/10	1,281.99	1,221.91	60.08		Y

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UBS Financial Services Inc.

2010 Consolidated Form 1099

Long-Term Gain/Loss - continued

P20L022608-X

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CINTAS CORP	Trade	11,000		05/28/09	08/30/10	282.03	268.82	13.21		Y
	Sub-Total:	61,000				1,564.02	1,490.73	73.29		
DELL INC	Trade	135,000		06/03/09	08/09/10	1,691.32	1,553.85	137.47		Y
HASBRO INC	Trade	10,000		05/28/09	09/02/10	427.56	232.10	195.46		Y
INTEGRYS ENERGY GROUP INC	Trade	40,000		05/28/09	08/03/10	1,809.77	1,083.98	725.79		Y
IVANHOE MINES LTD CAD	Trade	115,000		05/28/09	07/13/10	1,885.12	608.78	1,276.34		Y
	Trade	60,000		05/28/09	08/18/10	1,038.26	317.82	720.64		Y
	Sub-Total:	175,000				2,923.38	926.40	1,996.98		
MCDERMOTT INTL INC	Trade	71,000		05/28/09	09/13/10	959.11	756.89	202.22		Y
MILLICOM INTL CELLULAR SA NEW	Trade	5,000		05/28/09	08/24/10	430.97	294.59	136.38		Y
NOBLE ENERGY INC	Trade	20,000		05/28/09	08/25/10	1,260.92	1,176.79	84.13		Y
	Trade	6,000		05/28/09	08/30/10	362.63	353.04	9.59		Y
	Sub-Total:	26,000				1,623.55	1,529.83	93.72		
SUNOCO INC	Trade	15,000		08/06/09	08/30/10	512.94	385.51	127.43		Y
	Trade	15,000		08/08/09	09/01/10	512.82	385.51	127.31		Y
	Trade	25,000		08/27/09	09/01/10	854.71	680.84	173.87		Y
	Sub-Total:	55,000				1,880.47	1,451.86	428.61		
Total Long-Term Gain/Loss						14,415.38	10,167.77	4,247.61		



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UBS Financial Services Inc.

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P20G010641-X

2010 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1089-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions in which you have recognized on the sale or redemption of certain products. Note that the Original Cost Basis is the cost basis of the oldest security lot (first placed your sell order). An asterisk (*) indicates Gain/Loss may not have been adjusted for a capital loss carryover from previous years. Gain/Loss acquired on various dates through dividend reinvestment plans. Cost basis for coupon tax-exempt municipal bonds. Market Discount, Acquisition Premium, or Basis Adjustment. Gain/Loss from short sale closings are reported on their particular case to change this classification. Application of these provisions with their tax consequences.

ADJUSTED GAIN LOSS TO INCLUDE			
BASIS IN STANLEY WORKS (SEE PG 30)			
ST GAIN	12,981.19	+	12,981.19
STANLEY WORKS LOSS	776.18	-	776.18
TOTAL ST GAIN	12,205.01	*	12,205.01
LT GAIN	2,935.25	+	2,935.25
TOTAL GAIN UBS LORD ABBETT	15,140.26	*	15,140.26

ADJUSTED GAIN LOSS TO INCLUDE			
BASIS IN STANLEY WORKS (SEE PG 30)			
ST GAIN	12,981.19	+	12,981.19
STANLEY WORKS LOSS	776.18	-	776.18
TOTAL ST GAIN	12,205.01	*	12,205.01
LT GAIN	2,935.25	+	2,935.25
TOTAL GAIN UBS LORD ABBETT	15,140.26	*	15,140.26

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	\$ 82,093.55	\$ 105,074.74	\$ 13,983.51	\$ (982.32)	\$ 12,981.19
Long-term Gain/Loss:	20,199.16	23,134.41	3,032.12	(96.87)	2,935.25
Unclassified Gain/Loss:		3,223.82			
Sub Total:	\$ 112,292.71	\$ 128,209.15	\$ 16,995.63	\$ (1,079.19)	\$ 15,916.44
Activity with Gain/Loss not calculated due to missing information:	\$	\$ 3,223.82	\$	\$	\$
Total:	\$ 112,292.71	\$ 131,432.97	\$ 16,995.63	\$ (1,079.19)	\$ 15,916.44

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ADC TELECOMMUNICATIONS	Trade	2,000.000		05/29/09	01/21/10	1,655.00	1,450.00	205.00		Y
00.831% 061513 DTD060403	Trade	2,000.000		05/29/09	03/15/10	1,686.25	1,450.00	236.25		Y
FC121503 NTS CONV	Trade	1,000.000		05/29/09	03/22/10	852.50	725.00	127.50		Y
Sub-Total:		5,000.000				4,193.75	3,625.00	568.75		
ALLIANCE DATA SYST NTS	Trade	1,000.000		01/28/10	11/11/10	1,029.84	988.10	61.74		Y
01.750% 080113 DTD080109	Trade	1,000.000		02/03/10	11/11/10	1,029.84	951.15	78.69		Y
FC020110 CONV B/E						2,059.68	1,919.25	140.43		
Sub-Total:		2,000.000								

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMG CAP TR 1 PREFERRED PAR VALUE - 50.00 USD	Trade	21.000	610.47	06/04/09	05/07/10	937.94	639.79	298.15		Y
	Trade	29.000	843.03	06/04/09	07/08/10	1,123.73	890.78	232.95		Y
Sub-Total:		50.000				2,061.67	1,530.57	531.10		
AMGEN INC NTS CONV B/E 00.125% 020111 DTD030806 FC020107	Trade	3,000.000		06/02/09	01/04/10	2,970.00	2,812.50	157.50		Y
BORG WARNER INC 03.500% 041512 DTD040909 FC101509 CONV	Trade	1,000.000		11/30/09	09/09/10	1,515.79	1,192.32	323.47		Y
	Trade	1,000.000		11/30/09	10/22/10	1,713.36	1,192.32	521.04		Y
	Trade	1,000.000		01/21/10	10/22/10	1,713.36	1,300.09	413.27		Y
Sub-Total:		3,000.000				4,942.51	3,684.73	1,257.78		
BUNGE LIMITED CONVERTIBLE 4.875% PREFERRED	Trade	10.000		06/02/09	04/15/10	893.59	888.75	4.84		Y
BUNGE LTD CONV 5.125% PREFERRED ***EXCHANGE : 12/2010***	Trade	1.000		06/02/09	05/08/10	520.37	661.25	(140.88)		Y
CARNIVAL CORP YANKEE 02.000% 041521 DTD042501 FC101501 CONV B/E	Trade	1,000.000		06/23/10	10/18/10	1,011.09	1,061.37	(50.28)		Y
CHESAPEAKE ENERGY CORP 02.500% 051537 DTD051507 FC111507 CONV	Trade	2,000.000	1,757.50	02/03/10	08/28/10	1,626.96	1,815.09	(188.13)		
	Trade	1,000.000	878.75	02/03/10	09/07/10	833.92	908.84	(74.92)		
Sub-Total:		3,000.000				2,460.88	2,723.93	(263.05)		
CHESAPEAKE ENERGY CORP 02.750% 111535 DTD030706 FC051508 NTS CONV	Trade	3,000.000	2,805.00	06/04/09	02/03/10	2,947.50	2,910.03	37.47		
FISHER SCIENTIFIC INTL 03.250% 030124 DTD030304 FC090104 CONV B/E	Trade	2,000.000		05/29/09	01/22/10	2,587.39	2,420.00	167.39		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FORD MOTOR CO CAP TR II	Trade	19,000	950.00	04/23/10	11/18/10	990.32	988.18	4.18		Y
DUE 01/15/2032 CONV	Trade	8,000	383.04	05/05/10	11/16/10	416.98	397.34	19.64		Y
6.50% PREFERRED	Trade	11,000	528.67	05/05/10	12/02/10	573.84	546.34	27.30		Y
	Trade	18,000	848.72	05/10/10	12/02/10	938.88	878.38	60.30		Y
	Trade	14,000	801.70	05/25/10	12/02/10	730.09	624.30	105.79		Y
	Trade	11,000	454.22	06/30/10	12/02/10	573.84	467.95	105.89		Y
Sub-Total:		81,000				4,223.35	3,900.47	322.88		
FREEMPORT-MCMORAN COPPER	Cash In lieu	.891		05/28/09	05/03/10	61.86	53.56	8.30		Y
& GOLD INC	Trade	43,000		05/28/09	05/05/10	2,953.41	2,584.52	368.89		Y
Sub-Total:		43,891				3,015.27	2,638.08	377.19		
HASBRO INC	Cash In lieu	.888		06/04/09	04/27/10	35.87	25.88	9.99		Y
	Trade	138,000		06/04/09	04/28/10	5,382.83	4,023.01	1,359.82		Y
Sub-Total:		138,888				5,418.70	4,048.89	1,369.81		
INTEL CORP CONV	Trade	2,000,000	1,650.00	08/04/09	01/14/10	1,935.20	1,703.89	231.31		Y
02.950% 121535 DTD061505	Trade	3,000,000	2,475.00	06/04/09	08/10/10	2,992.02	2,632.85	359.17		Y
FC061506	Sub-Total:	5,000,000				4,927.22	4,336.74	590.48		
MEDTRONIC INC NTS CONV	Trade	1,000,000		01/21/10	12/03/10	1,002.50	1,037.80	(35.30)		Y
01.625% 041513 DTD041806										
FC101506										
MYLAN INC	Trade	2,000		06/04/09	02/25/10	2,458.06	1,755.00	703.06		Y
6.500%	Trade	1,000		06/04/09	03/02/10	1,279.94	877.50	402.44		Y
DUE 11/15/10	Sub-Total:	3,000				3,738.00	2,632.50	1,105.50		
MYLAN INC	Trade	58,000		07/06/10	11/17/10	1,102.59	1,082.12	40.47		Y
NETAPP INC NTS CONV B/E	Trade	1,000,000		08/11/09	08/05/10	1,453.38	984.93	468.45		Y
01.750% 060113 DTD061008										
FC120108										

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NII HOLDINGS INC CONV 03 125% 061512 DTD060507 FC121507	Trade	4,000.000		05/29/09	01/05/10	3,690.00	3,010.00	880.00		Y
OMNICOM GROUP INC NTS 00.000% 070138 DTD063006 FC000000 CONV NTS	Trade	3,000.000	2,853.75	06/04/09	01/04/10	2,976.00	3,011.29	(35.29)		Y
PROLOGIS TRUST CONV 02.825% 051538 DTD050708 FC111508	Trade	1,000.000		05/29/09	01/06/10	906.25	715.00	191.25		Y
	Trade	2,000.000		05/29/09	03/16/10	1,888.25	1,430.00	456.25		Y
Sub-Total:		3,000.000				2,792.50	2,145.00	647.50		
Cash In lieu		.679		01/05/10	04/14/10	58.35	51.10	7.25		
SIMON PTY GROUP INC SBI	Trade	22.000		06/30/09	04/16/10	1,865.77	1,272.73	593.04		Y
	Trade	18.000		01/05/10	04/16/10	1,526.54	1,353.42	173.12		Y
Sub-Total:		40.679				3,450.66	2,677.25	773.41		
SIMON PTY GROUP INC CONV 6.00% PREFERRED CLBL	Trade	13.000		06/30/09	04/06/10	962.52	612.80	349.72		Y
SMITHFIELD FOODS INC 04.000% 083013 DTD070808 FC123008 CONVERTIBLE	Trade	1,000.000		11/16/09	05/11/10	1,053.09	1,045.21	7.88		Y
	Trade	1,000.000		12/08/09	05/11/10	1,053.09	1,036.41	16.68		Y
	Trade	1,000.000		12/08/09	08/03/10	978.63	1,036.41	(57.78)		Y
Sub-Total:		3,000.000				3,084.81	3,118.03	(33.22)		
Cash In lieu		.760		05/18/10	05/18/10	46.67	46.94	(.27)	*	Y
STANLEY BLACK & DECKER INC COM	Trade	64.000		05/18/10	05/19/10	3,652.06	3,953.06	(301.00)	*	Y
Sub-Total:		64.760				3,698.73	4,000.00	(301.27)		
STANLEY WORKS CONV PREFERRED	Trade	1.000		12/16/09	05/18/10	1,000.00	924.61	75.39	*	Y
	Trade	3.000		01/05/10	05/18/10	3,000.00	2,823.60	176.40	*	Y
Sub-Total:		4.000				4,000.00	3,748.21	251.79		

**EXCHANGE EFF: 05/2010*

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SUNTECH PWR HLDGS CO LTD	Trade	1,000.000		06/22/09	03/01/10	767.50	733.13	34.37		Y
03.000% 031513 DTD031708	Trade	1,000.000		07/20/09	05/13/10	818.75	770.86	48.09		Y
FC091508 NTS CONV	Trade	1,000.000		08/11/09	08/05/10	838.13	789.84	48.49		Y
Sub-Total:		3,000.000				2,424.38	2,293.43	130.95		
TEVA PHARMACEUTICALS FIN	Trade	3,000.000		06/09/09	04/28/10	5,109.20	4,110.00	999.20		Y
00.250% 020124 DTD012704										
FC080104 CONV										
TRANSCOCEAN SEDCO FOREX	Trade	2,000.000		08/09/09	01/04/10	1,983.75	1,887.50	96.25		Y
01.625% 121537 DTD121107	Trade	3,000.000		06/09/09	02/19/10	2,983.50	2,831.25	152.25		Y
FC061508 CONV NTS SER A	Sub-Total:	5,000.000				4,967.25	4,718.75	248.50		
UNTD AUTO GROUP INC NTS	Trade	1,000.000		05/29/09	02/18/10	1,011.71	865.00	146.71		Y
03.500% 040126 DTD013108										
FC100106 CONV										
VALE CAP LTD	Trade	26.000		05/28/09	03/26/10	1,429.98	1,019.46	410.52		Y
5.500% SER RIO										
EXCHANGE EFF:06/2010										
WELLS FARGO & CO NEW	Trade	1.000		06/04/10	10/14/10	1,003.94	927.00	76.94		Y
CONV SER L CL A 7.500%										
PFD PAR VALUE-1000.00USD										
WESCO INTL INC CONV B/E	Trade	1,000.000		09/22/09	02/25/10	1,255.24	1,353.71	(98.47)		
06.000% 091529 DTD082709										
FC031510										
WHITING PETROLEUM CORP	Trade	.729		10/27/09	09/20/10	69.85	49.89	19.96		Y
NEW	Trade	20.000		10/27/09	09/24/10	1,890.75	1,367.37	523.38		Y
Sub-Total:		20.729				1,960.60	1,417.26	543.34		
WHITING PETRO PERP PFD	Trade	5.000		10/27/09	05/10/10	1,017.35	787.37	229.98		Y
CONV 6.250% PREFERRED	Tender	9.000		10/27/09	09/20/10	1,547.76	1,417.26	130.50		
Sub-Total:		14.000				2,565.11	2,204.63	360.48		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WYNDHAM WORLDWIDE CORP 03.500% 050112 DTD051909	Trade	1,000.000		09/29/09	01/25/10	1,777.84	1,407.24	370.60		Y
FC110109 CONV B/E	Trade	2,000.000		10/28/09	01/25/10	3,555.67	2,994.15	561.52		Y
Sub-Total:		3,000.000				5,333.51	4,401.39	932.12		
XL CAPITAL LTD 10.750% *NAME CHANGE:07/10*	Trade	69.000		08/11/09	05/03/10	1,829.18	1,581.43	247.73		Y
Total Short-Term Gain/Loss						105,074.74	92,093.55	12,981.19		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALLERGAN INC CONV B/E 01.500% 040128 DTD041208 FC100106	Trade	2,000.000		06/04/09	11/03/10	2,390.46	2,040.00	350.46		Y
BORG WARNER INC 03.500% 041512 DTD040909 FC101509 CONV	Trade	1,000.000		08/11/09	09/09/10	1,515.79	1,245.00	270.79		Y
CARNIVAL CORP YANKEE 02.000% 041521 DTD042501 FC101501 CONV B/E	Trade	1,000.000		09/22/09	10/07/10	1,032.84	1,088.17	(35.33)		Y
	Trade	2,000.000		09/22/09	10/13/10	2,074.79	2,136.33	(61.54)		Y
Sub-Total:		3,000.000				3,107.63	3,204.50	(96.87)		
EMC CORP MASS CONV 01.750% 120111 DTD111706 FC060107	Trade	3,000.000		06/02/09	08/17/10	3,728.82	3,090.00	638.82		Y
FISHER SCIENTIFIC INTL 03.250% 030124 DTD030304 FC090104 CONV B/E	Trade	1,000.000		05/29/09	07/23/10	1,302.00	1,210.00	92.00		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LUCENT TECHNOLOGIES CAP CONV 7.750% PREFERRED CLBL PAR VALUE - 1000.00	Trade	3,000	1,530.00	06/02/09	06/15/10	2,183.71	1,530.00	633.71		Y
MICRON TECHNOLOGY INC 01.875% 060114 DTD052307 FC120107 CONV B/E	Trade	3,000,000		09/17/09	09/24/10	2,655.63	2,546.39	109.24		
NEWMONT MINING CORP NTS 03.000% 021512 DTD020309 FC081509 CONV	Trade	1,000,000		05/29/09	07/07/10	1,367.80	1,230.00	137.80		Y
ON SEMICONDUCTOR CORP 02.625% 121526 DTD121508 FC061507 NTS CONV	Trade	1,000,000		06/02/09	08/18/10	964.11	895.00	69.11		Y
SUNTECH PWR HLDGS CO LTD 03.000% 031513 DTD031708 FC091508 NTS CONV	Trade	1,000,000		07/20/09	08/05/10	838.13	770.88	67.47		Y
VALE SA-SP SPON ADR	Cash in lieu Trade	.006 78,000		05/28/09 05/28/09	06/15/10 08/17/10	.18 2,096.41	.13 1,607.48	.05 488.93		Y
	Sub-Total:	78,006				2,096.59	1,607.61	488.98		
WELLS FARGO & CO NEW CONV SER L CL A 7.500% PFD PAR VALUE-1000.00USD	Trade	1,000		08/11/09	10/14/10	1,003.94	830.00	173.94		
Total Long-Term Gain/Loss									23,134.41 20,199.16	2,935.25

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Unclassified Gain/Loss

This section includes securities that cannot be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided. This section may also include securities not reported on Form 1099-B, as well as securities classified as a percentage of both short-term and long-term holding periods, the "60/40 rule." Broad-Based Index options may also be subject to Mark-to-Market rules. Please consult your tax advisor for proper tax treatment of these types of transactions.

Security Description	Quantity/ Face value	Activity Type	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
STANLEY BLACK & DECKER 00.000% 051712 DTD051710 FC081710 INC NTS	3,000.000	Trade			07/01/10	3,223.82	4,000	-Not Calculated-	Not calculated	Y
Total Unclassified Gain/Loss						3,223.82				

ADDITIONAL ST LOSS:	
3,223.82	+
4,000.00	-

-776.18	*

ADDITIONAL ST LOSS:	
3,223.82	+
4,000.00	-

-776.18	*

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Corrected 02/27/11

C12G508998-X

2010 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
					Gain/Loss
Short-term Gain/Loss:	\$ 111,328.84	\$ 114,807.01	\$ 9,787.46	\$ (6,289.09)	\$ 3,478.37
Long-term Gain/Loss:	22,681.25	28,930.38	7,017.74	(768.61)	6,249.13
Sub Total:	\$ 134,009.89	\$ 143,737.39	\$ 16,785.20	\$ (7,057.70)	\$ 9,727.50
Total:	\$ 134,009.89	\$ 143,737.39	\$ 16,785.20	\$ (7,057.70)	\$ 9,727.50

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS	Trade	13,000		05/29/09	03/04/10	703.68	580.84	122.84		Y
	Trade	8,000		05/29/09	03/05/10	434.42	357.44	76.98		Y
	Trade	6,000		05/29/09	03/08/10	325.09	268.08	57.01		Y
	Trade	15,000		05/29/09	03/18/10	805.10	870.20	134.90		Y
	Trade	7,000		05/29/09	03/22/10	375.32	312.76	62.56		Y
	Trade	10,000		09/09/09	03/22/10	536.17	461.72	74.45		Y
	Trade	8,000		09/09/09	03/25/10	429.87	369.37	60.30		Y
	Trade	4,000		09/09/09	03/26/10	210.05	184.69	25.38		Y
	Trade	5,000		09/10/09	03/28/10	262.56	233.60	28.98		Y
	Trade	11,000		09/10/09	03/29/10	583.60	513.92	69.68		Y
	Trade	6,000		09/14/09	03/29/10	318.32	282.21	36.11		Y
	Trade	3,000		09/14/09	03/30/10	158.24	141.11	17.13		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS	Trade	14,000		02/02/10	03/30/10	738.48	758.78	(20.32)		Y
	Trade	4,000		02/02/10	03/31/10	209.53	216.79	(7.26)		Y
	Trade	14,000		02/04/10	03/31/10	733.37	755.81	(22.44)		Y
Sub-Total:		128,000				6,823.58	6,107.32	716.26		
ADOBE SYSTEMS INC (DELAWARE)	Trade	1,000		10/16/09	02/12/10	31.49	35.73	(4.24)		Y
	Trade	24,000		10/16/09	02/18/10	756.11	857.45	(101.34)		Y
	Trade	2,000		11/03/09	02/16/10	83.01	86.07	(3.06)		Y
	Trade	11,000		11/03/09	03/09/10	387.63	363.41	24.22		Y
	Trade	4,000		11/04/09	03/09/10	140.95	137.56	3.39		Y
	Trade	7,000		11/04/09	03/19/10	242.64	240.73	1.91		Y
	Trade	8,000		02/01/10	03/19/10	207.97	194.30	13.67		Y
	Trade	13,000		02/01/10	03/19/10	456.09	420.98	35.11		Y
Sub-Total:		68,000				2,285.89	2,316.23	(30.34)		
AMER EXPRESS CO	Trade	16,000		05/29/09	05/07/10	660.74	395.00	265.74		Y
	Trade	12,000		05/29/09	05/14/10	484.54	296.25	188.29		Y
	Trade	8,000		08/27/09	05/14/10	323.03	272.37	50.66		Y
	Trade	13,000		10/15/09	05/14/10	524.92	454.29	70.63		Y
	Trade	1,000		10/15/09	07/09/10	42.29	34.95	7.34		Y
	Trade	18,000		11/13/09	07/09/10	676.68	642.49	34.17		Y
	Trade	18,000		02/01/10	07/09/10	676.66	610.20	66.46		Y
Sub-Total:		82,000				3,388.84	2,705.55	683.29		
AMER TOWER CORP CL A	Trade	15,000		09/09/09	03/26/10	621.01	512.97	108.04		Y
ANADARKO PETROLEUM CORP	Trade	14,000		07/13/10	12/30/10	1,055.51	678.05	379.46		Y
BAIDU INC ADS REPSNTG CL A ORD SHS	Trade	1,000		09/17/09	03/19/10	572.98	401.05	171.93		Y
	Trade	1,000		09/17/09	03/25/10	601.76	401.05	200.71		Y
	Trade	1,000		09/24/09	03/25/10	601.76	388.34	213.42		Y
Sub-Total:		3,000				1,776.50	1,190.44	586.06		
CISCO SYSTEMS INC	Trade	47,000		02/08/10	09/01/10	959.17	1,112.98	(153.81)		Y
	Trade	8,000		02/08/10	09/02/10	123.04	142.08	(19.04)		Y
	Trade	19,000		02/11/10	09/02/10	389.82	449.18	(59.36)		Y
	Trade	11,000		03/04/10	09/02/10	225.57	272.76	(47.19)		Y
	Trade	7,000		03/04/10	11/11/10	145.08	173.57	(28.49)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CISCO SYSTEMS INC	Trade	13,000		03/05/10	11/11/10	269.43	326.14	(56.71)		Y
	Trade	40,000		03/05/10	11/15/10	800.88	1,003.51	(202.63)		Y
	Trade	8,000		03/08/10	11/15/10	160.18	204.87	(44.69)		Y
	Trade	28,000		03/08/10	11/16/10	546.48	717.04	(170.56)		Y
	Trade	20,000		03/09/10	11/18/10	390.34	522.09	(131.75)		Y
	Trade	12,000		03/09/10	11/17/10	234.60	313.26	(78.66)		Y
	Trade	30,000		05/24/10	11/17/10	586.51	703.88	(117.37)		Y
	Trade	10,000		07/14/10	11/17/10	195.50	238.29	(42.79)		Y
	Sub-Total:	251,000				5,026.40	6,179.65	(1,153.25)		
	Trade	7,000		04/22/10	05/21/10	464.32	558.64	(94.32)		Y
CREE INC	Trade	5,000		04/22/10	05/21/10	330.18	399.03	(68.87)		Y
	Trade	6,000		05/03/10	05/21/10	397.98	452.80	(54.82)		Y
	Sub-Total:	18,000				1,192.46	1,410.47	(218.01)		
CROWN CASTLE INTL CORP	Trade	12,000		01/29/10	12/13/10	505.40	447.32	58.08		Y
	Trade	12,000		02/10/10	12/13/10	505.40	427.56	77.84		Y
	Sub-Total:	24,000				1,010.80	874.88	135.92		
DANAHER CORP	Trade	2,000		03/09/10	11/09/10	87.25	77.51	9.74		Y
	Trade	9,000		03/10/10	11/09/10	392.64	350.03	42.61		Y
	Sub-Total:	11,000				479.89	427.54	52.35		
DOW CHEMICAL	Trade	43,000		06/09/09	05/10/10	1,178.93	754.91	424.02		Y
	Trade	26,000		01/14/10	08/08/10	522.28	467.78	54.48		Y
EMC CORP MASS	Trade	25,000		01/15/10	08/08/10	502.18	449.60	52.58		Y
	Trade	10,000		01/19/10	08/08/10	200.87	181.53	19.34		Y
	Trade	15,000		01/19/10	08/12/10	279.95	272.30	7.65		Y
	Trade	27,000		01/20/10	08/13/10	510.36	481.62	28.74		Y
	Trade	19,000		01/26/10	08/13/10	359.14	325.85	33.29		Y
	Trade	5,000		01/26/10	08/18/10	94.29	85.75	8.54		Y
	Trade	19,000		01/26/10	08/18/10	358.32	336.48	21.84		Y
	Trade	2,000		01/26/10	10/13/10	40.84	35.42	5.42		Y
	Trade	28,000		03/24/10	10/13/10	530.93	489.88	41.05		Y
	Trade	18,000		05/25/10	10/13/10	367.58	315.24	52.32		Y
	Trade	6,000		05/25/10	10/14/10	127.69	105.08	22.61		Y
	Trade	41,000		07/23/10	10/14/10	872.52	819.02	53.50		Y
	Sub-Total:	239,000				4,766.91	4,365.55	401.36		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
EOG RESOURCES INC	Trade	5,000		05/29/09	03/19/10	456.10	363.70	92.40		Y
	Trade	1,000		05/29/09	03/31/10	93.77	72.74	21.03		Y
	Trade	3,000		11/16/09	09/17/10	265.57	270.78	(5.21)		Y
	Trade	6,000		11/16/09	09/20/10	526.58	541.57	(14.99)		Y
	Trade	3,000		12/21/09	09/20/10	263.29	283.64	(20.35)		Y
	Sub-Total:	18,000				1,605.31	1,532.43	72.88		
FEDEX CORP	Trade	10,000		03/18/10	06/17/10	778.37	893.71	(115.34)		Y
	Trade	1,000		03/18/10	07/07/10	70.92	89.37	(18.45)		Y
	Trade	9,000		03/22/10	07/07/10	638.26	813.60	(175.34)		Y
	Trade	2,000		03/23/10	07/07/10	141.84	181.47	(39.63)		Y
	Trade	5,000		03/23/10	07/09/10	365.88	453.68	(87.80)		Y
	Sub-Total:	4,000		03/23/10	09/02/10	326.16	362.94	(36.78)		Y
	Sub-Total:	35,000		03/26/10	09/02/10	326.16	363.55	(37.39)		Y
	Sub-Total:	79,000				2,647.59	3,158.32	(510.73)		
FORD MOTOR CO COM NEW	Trade	79,000		01/15/10	03/18/10	1,082.67	917.57	165.10		Y
GILEAD SCIENCES INC	Trade	9,000		05/29/09	03/25/10	417.38	381.58	35.80		Y
	Trade	28,000		05/29/09	04/12/10	1,285.91	1,187.14	98.77		Y
	Trade	2,000		05/29/09	04/22/10	81.29	84.80	(3.51)		Y
	Trade	19,000		10/22/09	04/22/10	772.24	850.23	(77.99)		Y
	Trade	21,000		10/23/09	04/22/10	853.53	926.17	(72.64)		Y
	Sub-Total:	79,000				3,410.35	3,429.92	(19.57)		
GOLDMAN SACHS GROUP INC	Trade	7,000		05/29/09	03/23/10	1,222.31	1,004.17	218.14		Y
	Trade	14,000		05/29/09	04/18/10	2,258.83	2,008.35	248.48		Y
	Sub-Total:	21,000				3,479.14	3,012.52	466.62		
GOOGLE INC CL A	Trade	4,000		05/29/09	01/08/10	2,405.78	1,659.06	746.72		Y
	Trade	1,000		05/29/09	01/12/10	593.26	414.77	178.49		Y
	Trade	2,000		05/29/09	04/29/10	1,058.49	829.53	228.96		Y
	Trade	2,000		08/27/09	08/20/10	924.23	932.17	(7.94)		Y
	Trade	1,000		03/24/10	09/01/10	461.89	550.62	(88.73)		Y
	Sub-Total:	1,000		04/15/10	09/02/10	482.47	594.89	(112.42)		Y
	Sub-Total:	12,000		07/21/10	09/02/10	462.47	484.05	(21.58)		Y
	Sub-Total:	12,000				6,366.59	5,465.09	901.50		

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Short-Term Gain/Loss - continued

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This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HOME DEPOT INC	Trade	23,000		05/05/10	07/09/10	648.58	814.56	(165.98)		Y
	Trade	4,000		05/05/10	07/13/10	115.10	141.88	(26.56)		Y
	Trade	27,000		05/10/10	07/13/10	776.95	946.93	(169.98)		Y
	Trade	9,000		05/10/10	07/15/10	252.06	315.64	(63.58)		Y
	Trade	5,000		05/11/10	07/15/10	140.03	179.29	(39.26)		Y
	Trade	31,000		05/11/10	07/22/10	877.07	1,111.57	(234.50)		Y
	Trade	6,000		05/11/10	07/23/10	187.95	215.14	(47.19)		Y
	Trade	25,000		05/25/10	07/23/10	899.79	830.93	(131.14)		Y
	Sub-Total:	130,000				3,677.53	4,555.72	(878.19)		
HSBC HOLDINGS PLC NEW GB SPON ADR	Trade	5,000		08/28/09	02/02/10	272.98	275.62	(2.64)		Y
	Trade	2,000		08/28/09	02/03/10	108.85	110.25	(1.40)		Y
	Trade	6,000		08/28/09	02/04/10	315.43	330.75	(15.32)		Y
	Trade	9,000		08/28/09	02/05/10	458.24	498.12	(37.88)		Y
	Trade	7,000		09/01/09	02/05/10	356.41	371.25	(14.84)		Y
	Trade	8,000		09/01/09	02/11/10	409.66	424.29	(14.63)		Y
	Trade	8,000		09/01/09	02/18/10	419.39	424.29	(4.90)		Y
	Sub-Total:	45,000				2,340.96	2,432.57	(91.61)		
INTL BUSINESS MACH	Trade	15,000		05/29/09	01/28/10	1,867.97	1,578.89	289.08		Y
	Trade	7,000		05/29/09	01/29/10	867.60	736.82	130.78		Y
	Trade	7,000		05/29/09	02/16/10	873.12	736.82	136.30		Y
	Trade	3,000		05/29/09	03/04/10	379.62	315.78	63.84		Y
	Trade	4,000		05/29/09	03/05/10	508.75	421.04	87.71		Y
	Trade	3,000		05/29/09	03/08/10	381.38	315.78	65.58		Y
	Trade	3,000		08/07/09	03/08/10	381.36	357.56	23.80		Y
	Trade	1,000		08/27/09	03/08/10	127.12	119.39	7.73		Y
	Trade	14,000		08/27/09	03/09/10	1,757.48	1,671.41	86.07		Y
	Sub-Total:	57,000				7,144.38	6,253.49	890.89		
JOHNSON & JOHNSON COM	Trade	12,000		11/12/09	03/25/10	776.88	734.87	42.01		Y
	Trade	7,000		11/12/09	04/22/10	450.69	428.64	22.05		Y
	Trade	3,000		11/12/09	04/22/10	193.15	183.72	9.43		Y
	Trade	3,000		11/18/09	04/22/10	193.15	186.84	6.31		Y
	Trade	11,000		11/16/09	07/21/10	637.85	685.09	(47.24)		Y
	Trade	4,000		11/16/09	07/21/10	231.94	247.96	(16.02)		Y
	Trade	3,000		11/16/09	07/22/10	172.48	185.97	(13.49)		Y
	Trade	14,000		11/20/09	07/22/10	804.90	874.39	(69.49)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
JOHNSON & JOHNSON COM	Trade	3,000		11/27/09	07/22/10	172.48	188.27	(15.79)		Y
	Trade	10,000		12/01/09	07/22/10	574.93	635.09	(60.16)		Y
	Sub-Total:	70,000				4,208.45	4,350.84	(142.39)		
JPMORGAN CHASE & CO	Trade	30,000		05/29/09	04/19/10	1,352.77	1,098.29	254.48		Y
	Trade	9,000		01/13/10	09/23/10	355.82	397.16	(41.34)		Y
	Trade	25,000		03/05/10	09/23/10	988.39	1,064.83	(76.44)		Y
	Sub-Total:	64,000				2,696.98	2,560.28	136.70		
MASTERCARD INC CL A	Trade	2,000		05/29/09	04/29/10	513.02	348.43	184.59		Y
	Trade	4,000		05/29/09	05/05/10	971.22	698.86	274.36		Y
	Trade	3,000		05/29/09	05/07/10	679.42	522.64	156.78		Y
	Trade	4,000		05/29/09	05/11/10	896.42	698.88	199.58		Y
	Trade	1,000		05/29/09	05/19/10	210.21	174.21	36.00		Y
	Trade	2,000		05/29/09	05/20/10	402.63	348.43	54.20		Y
	Trade	2,000		12/02/09	05/20/10	402.63	486.49	(83.86)		Y
	Trade	4,000		12/02/09	05/20/10	833.21	972.97	(139.76)		Y
	Trade	3,000		03/04/10	05/20/10	624.91	693.56	(68.65)		Y
	Sub-Total:	25,000				5,533.67	4,940.45	593.22		
MCDONALDS CORP	Trade	7,000		05/29/09	01/04/10	437.83	409.98	27.85		Y
	Trade	11,000		05/29/09	01/12/10	686.09	644.25	41.84		Y
	Trade	4,000		05/29/09	03/05/10	253.62	234.27	19.35		Y
	Sub-Total:	22,000				1,377.54	1,288.50	89.04		
MERCK & CO INC NEW COM	Trade	18,000		09/09/09	03/09/10	665.69	587.57	98.12		Y
	Trade	2,000		09/09/09	03/10/10	73.81	63.06	10.75		Y
	Trade	6,000		09/09/09	04/22/10	204.50	189.19	15.31		Y
	Trade	9,000		09/11/09	04/22/10	306.74	292.05	14.69		Y
	Trade	20,000		11/27/09	11/15/10	691.64	729.21	(37.57)		Y
	Trade	8,000		12/01/09	11/15/10	278.66	295.41	(18.75)		Y
	Sub-Total:	63,000				2,219.04	2,136.49	82.55		
PETROLEO BRASILEIRO SA SPON ADR	Trade	27,000		05/29/09	01/04/10	1,313.91	1,193.66	120.25		Y
	Trade	17,000		05/29/09	01/05/10	823.84	751.56	72.28		Y
	Trade	20,000		05/29/09	02/08/10	769.17	884.19	(115.02)		Y
	Trade	12,000		05/29/09	02/09/10	474.92	530.51	(55.59)		Y
	Trade	42,000		05/29/09	02/11/10	1,680.78	1,856.80	(178.02)		Y
	Sub-Total:	118,000				5,062.62	5,216.72	(154.10)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
POLO RALPH LAUREN CORP	Trade	8,000		02/03/10	05/21/10	510.35	470.75	39.60		Y
POTASH CORP SASK INC CANADA CAD	Trade	9,000		02/01/10	07/07/10	778.48	922.82	(144.38)		Y
PRICELINE.COM INC NEW	Trade	3,000		12/09/09	08/04/10	848.16	844.89	203.27		Y
	Trade	1,000		12/09/09	08/18/10	304.40	214.98	89.44		Y
	Trade	1,000		12/18/09	08/18/10	304.40	222.02	82.38		Y
	Sub-Total:	5,000				1,456.96	1,081.87	375.09		
QUALCOMM INC	Trade	33,000		05/29/09	01/28/10	1,340.17	1,421.90	(81.73)		Y
	Trade	23,000		05/29/09	01/28/10	952.48	991.02	(38.54)		Y
	Trade	42,000		05/29/09	01/29/10	1,622.77	1,809.99	(186.92)		Y
	Trade	17,000		05/29/09	01/29/10	680.53	732.49	(51.96)		Y
	Trade	17,000		09/08/09	01/29/10	680.53	781.40	(100.87)		Y
	Trade	9,000		09/09/09	01/29/10	360.28	417.81	(57.53)		Y
	Sub-Total:	141,000				5,636.76	6,154.31	(517.55)		
RESEARCH IN MOTION LTD CAD	Trade	18,000		02/18/10	04/05/10	1,210.50	1,255.82	(45.32)		Y
THE DIRECTV GROUP CLA	Trade	11,000		04/20/10	09/08/10	438.34	398.68	39.68		Y
	Trade	11,000		04/20/10	09/10/10	438.37	398.68	39.69		Y
	Trade	1,000		04/20/10	11/18/10	42.08	36.24	5.84		Y
	Trade	13,000		04/29/10	11/18/10	547.07	474.88	72.21		Y
	Trade	6,000		04/30/10	11/18/10	252.50	218.53	32.97		Y
	Trade	7,000		04/30/10	12/20/10	279.08	256.11	22.95		Y
	Trade	3,000		05/24/10	12/20/10	119.60	110.97	8.63		Y
	Trade	15,000		08/30/10	12/20/10	597.99	514.80	83.39		Y
	Trade	22,000		07/07/10	12/20/10	877.05	767.11	109.94		Y
	Sub-Total:	89,000				3,592.06	3,176.78	415.28		
TRANSOCEAN LTD CHF	Trade	14,000		05/29/09	04/12/10	1,202.09	1,110.83	91.26		Y
	Trade	13,000		05/29/09	04/21/10	1,169.30	1,031.49	137.81		Y
	Trade	11,000		05/29/09	04/30/10	784.41	872.80	(88.39)		Y
	Trade	27,000		05/29/09	04/30/10	1,968.70	2,142.32	(175.62)		Y
	Trade	27,000		05/29/09	05/03/10	1,931.49	2,142.32	(210.83)		Y
	Sub-Total:	92,000				7,053.99	7,299.76	(245.77)		

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C12G508003-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
VISA INC CL A	Trade	6,000		05/29/09	05/04/10	530.34	405.40	124.94		Y
	Trade	18,000		05/29/09	05/14/10	1,383.69	1,216.20	167.49		Y
	Trade	11,000		05/29/09	05/19/10	803.68	743.23	60.45		Y
	Trade	16,000		05/29/09	05/20/10	1,186.03	1,081.06	104.97		Y
	Trade	8,000		12/02/09	05/20/10	593.01	683.88	(70.85)		Y
Sub-Total:		59,000				4,486.75	4,109.75	387.00		
WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade	18,000		04/12/10	09/23/10	595.07	647.60	(52.53)		Y
	Trade	9,000		04/12/10	09/27/10	301.52	323.80	(22.28)		Y
	Trade	17,000		04/20/10	09/27/10	569.55	621.59	(52.04)		Y
	Trade	9,000		04/20/10	10/06/10	304.03	329.08	(25.05)		Y
	Trade	5,000		04/21/10	10/06/10	188.90	182.83	(13.93)		Y
	Trade	15,000		04/21/10	10/11/10	519.65	548.50	(28.85)		Y
	Trade	7,000		04/21/10	10/18/10	242.42	255.97	(13.55)		Y
	Trade	20,000		04/22/10	10/18/10	692.62	731.74	(39.12)		Y
	Trade	7,000		04/22/10	10/21/10	244.29	256.11	(11.82)		Y
	Trade	12,000		05/04/10	10/21/10	418.78	439.58	(20.78)		Y
	Trade	6,000		05/10/10	10/21/10	209.39	211.99	(2.60)		Y
	Trade	23,000		05/25/10	10/21/10	802.66	742.74	59.92		Y
	Trade	8,000		07/21/10	10/21/10	279.19	266.61	12.58		Y
Sub-Total:		156,000				5,348.07	5,558.12	(210.05)		
WHIRLPOOL CORP	Trade	3,000		07/20/10	12/02/10	230.78	265.71	(34.93)		Y
	Trade	5,000		07/21/10	12/02/10	384.63	429.77	(45.14)		Y
	Trade	2,000		07/21/10	12/02/10	153.85	178.39	(24.54)		Y
Sub-Total:		10,000				769.26	873.87	(104.61)		
YAHOO INC	Trade	24,000		09/28/09	03/02/10	379.12	417.23	(38.11)		Y
	Trade	15,000		09/29/09	03/02/10	236.95	261.14	(24.19)		Y
	Trade	9,000		09/29/09	03/04/10	141.15	156.69	(15.54)		Y
	Trade	47,000		09/30/09	03/04/10	737.09	817.26	(80.17)		Y
Sub-Total:		95,000				1,494.31	1,652.32	(158.01)		
Total Short-Term Gain/Loss							114,807.01	111,328.64	3,478.37	

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Long-Term Gain/Loss

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This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMER TOWER CORP CL A	Trade	9,000		09/09/09	10/13/10	457.04	307.78	149.26		Y
	Trade	8,000		09/14/09	11/09/10	420.74	279.69	141.05		Y
Sub-Total:		17,000				877.78	587.47	290.31		
APPLE INC	Trade	4,000		05/29/09	08/27/10	980.28	539.98	420.28		Y
	Trade	4,000		05/29/09	11/09/10	1,281.59	539.98	741.61		Y
	Trade	2,000		05/29/09	12/13/10	847.17	269.99	377.18		Y
	Trade	3,000		05/29/09	12/13/10	973.40	404.99	568.41		Y
Sub-Total:		13,000				3,862.42	1,754.94	2,107.48		
BAIDU INC ADS REPSNTG CL A ORD SHS	Trade	10,000		09/24/09	10/29/10	1,105.71	388.34	717.37		Y
	Trade	2,000		10/06/09	10/29/10	221.14	79.28	141.86		Y
	Trade	5,000		10/08/09	11/19/10	545.33	198.21	347.12		Y
	Trade	3,000		10/08/09	11/22/10	324.72	118.93	205.79		Y
	Trade	6,000		10/28/09	11/22/10	649.44	235.98	413.46		Y
	Trade	7,000		10/28/09	12/10/10	755.68	275.31	480.37		Y
Sub-Total:		33,000				3,802.02	1,296.05	2,305.97		
EOG RESOURCES INC	Trade	4,000		05/29/09	09/17/10	354.10	290.96	63.14		Y
GENL DYNAMICS CORP	Trade	4,000		05/29/09	06/04/10	261.57	225.16	36.41		Y
GOLDMAN SACHS GROUP INC	Trade	11,000		05/29/09	06/10/10	1,451.16	1,577.99	(126.83)		Y
GOOGLE INC CL A	Trade	3,000		05/29/09	08/12/10	1,472.96	1,244.30	228.66		Y
	Trade	2,000		05/29/09	08/18/10	972.45	829.53	142.92		Y
	Trade	1,000		05/29/09	08/20/10	462.11	414.77	47.34		Y
	Trade	1,000		08/27/09	09/01/10	461.89	466.08	(4.19)		Y
Sub-Total:		7,000				3,369.41	2,954.68	414.73		
JPMORGAN CHASE & CO	Trade	12,000		05/29/09	08/04/10	459.45	439.31	20.14		Y
	Trade	22,000		05/29/09	08/12/10	832.61	805.41	27.20		Y
	Trade	24,000		05/29/09	08/16/10	905.93	878.63	27.30		Y
	Trade	24,000		05/29/09	09/22/10	965.19	878.63	86.56		Y
	Trade	2,000		05/29/09	09/23/10	79.07	73.22	5.85		Y
	Trade	10,000		07/13/09	09/23/10	395.35	340.92	54.43		Y
Sub-Total:		94,000				3,637.60	3,416.12	221.48		

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C12G509005-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MERCK & CO INC NEW COM	Trade	16,000		09/11/09	11/09/10	565.19	519.19	46.00		Y
	Trade	10,000		09/14/09	11/09/10	353.25	326.71	26.54		Y
	Trade	2,000		09/14/09	11/10/10	70.11	65.94	4.77		Y
	Trade	12,000		09/15/09	11/10/10	420.65	393.67	26.98		Y
	Trade	15,000		10/20/09	11/10/10	525.81	507.04	18.77		Y
	Trade	1,000		10/20/09	11/15/10	34.58	33.80	.78		Y
	Sub-Total:	56,000				1,989.59	1,845.75	123.84		
NORFOLK STN CORP	Trade	8,000		05/29/09	07/01/10	425.24	296.00	129.24		Y
	Trade	6,000		05/29/09	07/02/10	305.39	222.00	83.39		Y
	Trade	8,000		05/29/09	07/06/10	411.87	296.00	115.87		Y
	Trade	16,000		05/29/09	07/07/10	817.03	592.00	225.03		Y
	Trade	32,000		05/29/09	07/08/10	1,660.17	1,183.99	476.18		Y
	Sub-Total:	70,000				3,619.70	2,589.99	1,029.71		
POTASH CORP SASK INC CANADA CAD	Trade	6,000		05/29/09	07/01/10	508.91	696.05	(187.14)		Y
	Trade	4,000		05/29/09	07/02/10	342.83	484.03	(121.20)		Y
	Trade	1,000		05/29/09	07/07/10	86.50	116.01	(29.51)		Y
	Trade	5,000		05/29/09	07/07/10	426.69	580.04	(153.35)		Y
	Sub-Total:	16,000				1,364.93	1,856.13	(491.20)		
THE DIRECTV GROUP CLA	Trade	15,000		06/30/09	08/02/10	584.58	371.39	193.19		Y
	Trade	4,000		07/01/09	08/02/10	150.56	99.57	50.99		Y
	Trade	3,000		07/01/09	08/03/10	111.78	74.68	37.10		Y
	Trade	8,000		07/01/09	09/08/10	318.80	199.14	119.66		Y
	Sub-Total:	30,000				1,145.72	744.78	400.94		
WELLS FARGO & CO NEW	Trade	31,000		05/29/09	08/16/10	798.11	778.57	19.54		Y
	Trade	23,000		05/29/09	08/27/10	545.04	577.65	(32.61)		Y
	Trade	35,000		05/29/09	08/30/10	821.84	879.03	(57.19)		Y
	Trade	52,000		05/29/09	10/15/10	1,249.39	1,305.98	(56.59)		Y
	Sub-Total:	141,000				3,414.38	3,541.23	(126.85)		
Total Long-Term Gain/Loss							28,930.38	22,681.25	6,249.13	

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2010 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
					Gain/Loss
Short-term Gain/Loss:	\$ 51,140.62	\$ 56,999.17	\$ 7,825.71	\$ (1,967.16)	\$ 5,858.55
Long-term Gain/Loss:	22,678.50	25,456.38	3,962.32	(1,184.44)	2,777.88
Sub Total:	\$ 73,819.12	\$ 82,455.55	\$ 11,788.03	\$ (3,151.60)	\$ 8,636.43
Total:	\$ 73,819.12	\$ 82,455.55	\$ 11,788.03	\$ (3,151.60)	\$ 8,636.43

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ACCIONA S.A. EUR	Trade	4 000		07/20/10	11/30/10	263.00	344.52	(81.52)		Y
AGILENT TECHNOLOGIES INC	Trade	9 000		07/28/09	05/05/10	315.71	208.71	107.00		Y
	Trade	36,000		07/28/09	05/11/10	1,238.37	834.83	403.54		Y
	Sub-Total:	45,000				1,554.08	1,043.54	510.54		
ALTRIA GROUP INC	Trade	22,000		12/07/09	06/14/10	434.98	423.69	11.29		Y
	Trade	34,000		02/02/10	06/14/10	672.25	677.82	(5.37)		Y
	Trade	14,000		02/19/10	06/14/10	276.81	281.68	(4.87)		Y
	Sub-Total:	70,000				1,384.04	1,382.99	1.05		
ARCELORMITTAL SA NY REG	Trade	34,000		10/23/09	10/15/10	1,177.72	1,304.75	(127.03)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BAE SYSTEMS PLC SPON ADR	Trade	18,000		05/29/09	03/10/10	406.47	400.50	5.97		Y
	Trade	14,000		12/21/09	10/18/10	328.90	311.88	15.02		Y
	Trade	13,000		02/08/10	10/18/10	303.55	281.06	22.49		Y
	Sub-Total:	45,000				1,036.92	993.44	43.48		
BANCO SANTANDER CHILE ADR	Trade	2,000		09/25/09	01/08/10	138.96	111.37	27.59		Y
	Trade	19,000		09/25/09	07/12/10	1,401.47	1,057.97	343.50		Y
	Sub-Total:	21,000				1,540.43	1,169.34	371.09		
BANCO SANTANDER BRASIL ADS	Trade	40,000		10/07/09	08/03/10	532.77	519.58	13.19		Y
BANK OF AMER CORP	Trade	17,000		12/03/09	12/01/10	187.18	274.52	(87.34)		Y
BELLE INTL HLDGS LTD ADR	Trade	12,000		12/15/09	03/11/10	899.41	778.78	(79.37)		Y
	Trade	6,000		12/15/09	08/06/10	481.70	389.39	92.31		Y
	Sub-Total:	18,000				1,181.11	1,168.17	12.94		
BP PLC SPON ADR	Trade	35,000		07/07/10	09/07/10	1,305.82	1,148.74	157.08		Y
BRITISH AMER TOBACCO PLC GB SPON ADR	Trade	13,000		05/29/09	03/15/10	888.22	717.73	150.49		Y
CANON INC ADR JAPAN SPON ADR	Trade	8,000		10/20/09	04/20/10	368.70	314.84	53.86		Y
	Trade	24,000		10/20/09	10/08/10	1,127.35	944.52	182.83		Y
	Trade	6,000		02/18/10	10/06/10	281.84	247.46	34.38		Y
	Sub-Total:	38,000				1,777.89	1,506.82	271.07		
CHINA SHENHUA ENERGY CO LTD ADR	Trade	29,000		02/01/10	07/28/10	1,150.90	1,227.79	(76.89)		Y
CISCO SYSTEMS INC	Trade	14,000		05/29/09	05/06/10	368.79	258.83	107.96		Y
CREDICORP LTD	Trade	3,000		05/26/10	09/14/10	346.28	249.84	96.44		Y
	Trade	11,000		05/26/10	12/08/10	1,389.71	916.10	473.61		Y
	Sub-Total:	14,000				1,735.99	1,165.94	570.05		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CREDIT SUISSE GROUP SPON ADR	Trade	8,000		08/27/09	07/27/10	269.77	305.58	(35.79)		Y
	Trade	4,000		10/20/09	10/06/10	177.59	234.90	(57.31)		Y
	Trade	6,000		05/25/10	10/06/10	268.38	227.62	38.76		Y
	Sub-Total:	16,000				713.74	768.08	(54.34)		
DENBURY RESOURCES INC NEW	Trade	6,000		10/27/09	05/07/10	101.99	95.40	6.59		Y
DEVON ENERGY CORP NEW	Trade	6,000		05/29/09	03/10/10	427.19	382.20	44.99		Y
DOLLAR GEN CORP NEW	Trade	48,000		04/16/10	05/24/10	1,422.91	1,323.58	99.35		Y
EBAY INC	Trade	14,000		03/08/10	10/11/10	340.48	347.12	(6.64)		Y
EMERSON ELECTRIC CO	Trade	16,000		08/06/09	03/10/10	718.49	522.45	196.04		Y
	Trade	6,000		08/06/09	04/13/10	305.57	208.88	96.59		Y
	Sub-Total:	21,000				1,024.06	731.43	292.63		
FAMILYMART CO LTD ADR	Trade	5,000		09/14/09	07/12/10	171.99	155.73	16.26		Y
FPL GROUP INC **NAME CHANGE 2010**	Trade	42,000		05/29/09	05/25/10	2,059.82	2,338.56	(278.74)		Y
GENZYME CORP GEN DIV	Trade	3,000		10/12/09	10/07/10	214.54	171.22	43.32		Y
GLAXO SMITHKLINE PLC ADR	Trade	8,000		05/29/09	03/10/10	225.80	202.28	23.52		Y
HALLIBURTON CO (HOLDING COMPANY)	Trade	6,000		06/12/09	03/10/10	181.13	142.92	38.21		Y
	Trade	42,000		06/12/09	04/30/10	1,286.01	1,000.44	285.57		Y
	Sub-Total:	48,000				1,467.14	1,143.36	323.78		
HOLOGIC INC	Trade	82,000		05/29/09	01/04/10	1,225.88	1,019.08	206.78		Y
HONDA MOTOR CO ADR JAPAN ADR	Trade	10,000		10/27/09	03/10/10	360.60	326.52	34.08		Y
	Trade	33,000		10/27/09	04/12/10	1,168.91	1,077.51	91.40		Y
	Trade	11,000		12/02/09	04/12/10	389.64	363.60	26.04		Y
	Sub-Total:	54,000				1,919.15	1,767.63	151.52		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HYPERMARCAS S A SPON ADR	Trade	19,000		05/26/10	10/11/10	326.36	257.16	69.20		Y
JARDINE MATHESON HD-UNSP ADR	Trade	22,000		05/29/09	04/21/10	789.49	577.50	211.99		Y
KONINKLIKE AHOLD NV NEW 2007 SPON ADR	Trade	36,000		05/29/09	04/15/10	486.76	436.68	50.08		Y
	Trade	60,000		05/29/09	04/16/10	817.47	727.80	89.67		Y
	Sub-Total:	96,000				1,304.23	1,164.48	139.75		
MILLICOM INTL CELLULAR SA NEW	Trade	5,000		05/29/09	03/18/10	443.23	301.18	142.05		Y
mitsubishi corp SPONS ADR ADR	Trade	8,000		05/29/09	05/07/10	353.87	302.00	51.87		Y
NIPPON TELEG & TEL CORP SPON ADR	Trade	12,000		05/29/09	02/10/10	281.21	248.04	13.17		Y
	Trade	32,000		05/29/09	02/11/10	698.82	661.44	35.38		Y
	Sub-Total:	44,000				958.03	909.48	48.55		
NISSAN MTR LTD SPONS ADR JAPAN ADR	Trade	35,000		07/08/10	11/22/10	670.11	514.75	155.36		Y
	Trade	30,000		07/08/10	11/23/10	560.98	441.21	119.77		Y
	Trade	16,000		07/08/10	11/24/10	300.95	235.31	65.64		Y
	Trade	6,000		08/06/10	11/24/10	94.05	77.27	16.78		Y
	Trade	2,000		08/09/10	11/24/10	37.62	30.96	6.66		Y
	Sub-Total:	88,000				1,663.71	1,299.50	364.21		
NOVARTIS AG SPON ADR	Trade	17,000		05/29/09	03/15/10	928.77	677.98	250.81		Y
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	Trade	55,000		05/29/09	03/10/10	837.58	822.17	15.41		Y
	Trade	23,000		05/29/09	03/11/10	349.72	343.82	5.90		Y
	Trade	25,000		09/09/09	03/11/10	380.14	384.84	(4.70)		Y
	Sub-Total:	103,000				1,567.44	1,550.83	16.61		
NVIDIA CORP	Trade	75,000		12/21/09	01/19/10	1,302.40	1,304.97	(2.57)		Y
	Trade	17,000		12/31/09	01/19/10	295.21	320.45	(25.24)		Y
	Sub-Total:	92,000				1,597.61	1,625.42	(27.81)		
PANASONIC CORP SPON ADR	Trade	88,000		11/02/09	07/01/10	1,070.34	1,205.64	(135.30)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
Pfizer Inc	Trade	39,000		05/29/09	04/14/10	664.55	578.72	87.83		Y
Philip Morris Intl Inc	Trade	28,000		09/11/09	02/02/10	1,215.26	1,219.40	(4.14)		Y
	Trade	41,000		08/14/10	11/29/10	2,381.93	1,874.70	487.23		Y
	Trade	4,000		07/01/10	11/29/10	230.43	185.21	45.22		Y
	Trade	8,000		08/03/10	11/29/10	460.86	416.96	43.90		Y
	Sub-Total:	79,000				4,288.48	3,696.27	572.21		
Potash Corp Sask Inc	Trade	10,000		09/22/09	08/17/10	1,439.97	847.13	492.84		Y
Canada Cad	Trade	2,000		09/22/09	09/01/10	298.22	189.43	108.79		Y
	Sub-Total:	12,000				1,736.19	1,136.56	599.63		
Sands China Ltd	Trade	800,000		03/11/10	11/12/10	1,769.57	1,135.04	634.53		Y
Seagate Technology Plc	Trade	89,000		07/07/10	10/15/10	1,379.47	1,178.16	201.31		Y
Secom Ltd ADR Japan ADR	Trade	13,000		01/04/10	07/28/10	1,198.12	1,240.38	(42.26)		Y
Smith & Nephew Plc New	Trade	3,000		05/29/09	02/26/10	152.86	109.23	43.63		Y
SPON ADR										
Smucker J M Co New	Trade	25,000		05/29/09	04/21/10	1,576.19	999.00	577.19		Y
SPRINT Nextel Corp	Trade	368,000		05/29/09	03/10/10	1,354.29	1,879.96	(525.67)		Y
Series 1 Common Stock	Trade	5,000		05/29/09	05/25/10	23.52	25.54	(2.02)		Y
	Trade	24,000		05/29/09	05/27/10	124.79	122.61	2.18		Y
	Sub-Total:	397,000				1,502.60	2,028.11	(525.51)		
Transocean Ltd CHF	Trade	9,000		01/08/10	12/18/10	622.35	784.61	(172.26)		Y
Unilever NV NY SHS New	Trade	57,000		05/29/09	02/05/10	1,630.85	1,373.70	257.15		Y
Netherlands SPON ADR										
Valero Energy Corp New	Trade	63,000		03/10/10	08/13/10	1,080.34	1,275.25	(194.91)		Y
	Trade	11,000		05/26/10	08/13/10	188.63	196.96	(8.33)		Y
	Sub-Total:	74,000				1,268.97	1,472.21	(203.24)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
VODAFONE GROUP PLC NEW SPON ADR	Trade	45,000		05/29/09	05/21/10	848.26	857.15	(8.89)		Y
Total Short-Term Gain/Loss						56,989.17	51,140.62	5,858.55		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ACCIONA S.A. EUR	Trade	9,000		05/29/09	11/30/10	591.75	1,187.10	(595.35)		Y
ALTRIA GROUP INC	Trade	69,000		05/29/09	06/14/10	1,364.27	1,163.88	200.39		Y
BAE SYSTEMS PLC SPON ADR	Trade	49,000		05/29/09	10/18/10	1,144.14	1,090.25	53.89		Y
BECKMAN COULTER INC	Trade	19,000		05/29/09	08/05/10	875.57	1,020.87	(145.30)		Y
BNP PARIBAS SA ADR	Trade	15,000		10/01/09	11/28/10	479.63	587.29	(107.66)		Y
CREDIT SUISSE GROUP SPON ADR	Trade	17,000		08/27/09	10/09/10	754.74	865.75	(111.01)		Y
DENBURY RESOURCES INC NEW	Trade	73,000		10/27/09	12/18/10	1,365.08	1,160.76	204.32		Y
	Trade	15,000		11/18/09	12/16/10	280.49	204.30	76.19		Y
Sub-Total:						1,645.57	1,365.06	280.51		
DEUTSCHE TELEKOM AG DE SPON ADR	Trade	58,000		05/29/09	08/06/10	779.68	662.24	117.42		Y
	Trade	84,000		05/29/09	11/22/10	1,277.12	1,073.29	203.83		Y
Sub-Total:						2,056.78	1,735.53	321.25		
DEVON ENERGY CORP NEW	Trade	5,000		05/29/09	09/10/10	313.94	318.50	(4.56)		Y
	Trade	21,000		05/29/09	11/29/10	1,480.49	1,337.70	142.79		Y
Sub-Total:						1,794.43	1,656.20	138.23		
EMC CORP MASS	Trade	23,000		05/29/09	11/29/10	493.94	268.82	225.12		Y
EMERSON ELECTRIC CO	Trade	7,000		08/06/09	09/09/10	353.14	243.81	109.33		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ESCO TECHNOLOGIES INC	Trade	27,000		05/29/09	08/05/10	919.04	1,084.59	(165.55)		Y
FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR	Trade	7,000		05/29/09	05/28/10	381.08	294.70	86.38		Y
GOLD FIELDS LTD SPON ADR	Trade	131,000		05/29/09	09/28/10	1,972.92	1,813.04	159.88		Y
JARDINE MATHESON HD-UNSP ADR	Trade	5,000		05/29/09	07/07/10	198.49	131.25	65.24		Y
	Trade	7,000		05/29/09	07/08/10	279.02	183.75	95.27		Y
Sub-Total:		12,000				475.51	315.00	160.51		
MILLICOM INTL CELLULAR SA NEW	Trade	5,000		05/29/09	07/22/10	455.62	301.18	154.44		Y
	Trade	3,000		05/29/09	08/04/10	283.81	180.71	103.10		Y
	Trade	5,000		05/29/09	09/01/10	470.60	301.18	169.42		Y
	Trade	1,000		05/29/09	09/08/10	98.50	60.24	38.26		Y
Sub-Total:		14,000				1,308.53	843.31	465.22		
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	Trade	10,000		05/29/09	12/21/10	584.09	361.00	223.09		Y
PFIZER INC	Trade	82,000		05/29/09	07/07/10	1,178.32	1,212.80	(34.28)		Y
	Trade	37,000		08/12/09	07/07/10	531.68	552.41	(20.73)		Y
Sub-Total:		119,000				1,710.00	1,765.01	(55.01)		
STANDARD CHARTERED PLC	Trade	17,000		05/29/09	08/29/10	429.65	349.35	80.30		Y
TOSHIBA CORP ADR	Trade	7,000		07/28/09	08/25/10	200.22	178.44	23.78		Y
TRAVELERS COS INC/THE	Trade	33,000		05/29/09	07/13/10	1,697.66	1,301.19	396.47		Y
UNION PACIFIC CORP	Trade	5,000		05/29/09	08/29/10	412.50	235.20	177.30		Y
VODAFONE GROUP PLC NEW SPON ADR	Trade	15,000		05/29/09	10/13/10	391.04	285.72	105.32		Y
WAL MART STORES INC	Trade	5,000		05/29/09	11/09/10	275.02	246.64	28.38		Y
	Trade	15,000		05/29/09	11/11/10	813.15	739.92	73.23		Y
Sub-Total:		20,000				1,088.17	986.56	101.61		

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WILLIS GROUP HOLDINGS PLC	Trade	16,000		05/29/09	07/30/10	485.39	412.96	72.43		Y
	Trade	7,000		05/29/09	09/29/10	214.08	180.87	33.41		Y
	Sub-Total:	23,000				699.47	593.63	105.84		
WISC ENERGY CORP	Trade	5,000		05/29/09	09/29/10	289.74	194.50	95.24		Y
	Trade	23,000		05/29/09	10/11/10	1,342.80	894.70	448.10		Y
	Sub-Total:	28,000				1,632.54	1,089.20	543.34		
Total Long-Term Gain/Loss						25,456.38	22,678.50	2,777.88		

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UBS Financial Services Inc.

2010 Consolidated Form 1099

P20L21881B-X

2010 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	\$ 21,805.76	\$ 23,270.25	\$ 3,327.54	\$ (1,663.05)	\$ 1,664.49
Long-term Gain/Loss:	24,869.24	25,958.70	2,562.15	(1,474.69)	1,087.46
Sub Total:	\$ 46,475.00	\$ 49,226.95	\$ 5,889.69	\$ (3,137.74)	\$ 2,751.95
Total:	\$ 46,475.00	\$ 49,226.95	\$ 5,889.69	\$ (3,137.74)	\$ 2,751.95

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AIRGAS INC	Trade	30,000		05/29/09	03/15/10	1,920.97	1,259.67	661.30		Y
	Trade	44,000		05/29/09	04/26/10	2,778.15	1,847.52	930.63		Y
	Sub-Total:	74,000				4,699.12	3,107.19	1,591.93		
CITRIX SYSTEMS INC	Trade	18,000		06/17/10	08/09/10	1,053.22	817.34	235.88		Y
COGNIZANT TECH SOLUTIONS CRP	Trade	20,000		10/07/09	08/09/10	1,216.50	784.12	432.38		Y
HEWLETT PACKARD CO	Trade	32,000		01/12/10	10/01/10	1,296.01	1,881.31	(365.30)		Y
MICROSOFT CORP	Trade	98,000		03/19/10	06/29/10	2,345.74	2,892.86	(547.12)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MONSANTO CO NEW	Trade	17,000		05/29/09	04/16/10	1,084.43	1,368.84	(284.41)		Y
QUALCOMM INC	Trade	39,000		05/29/09	03/19/10	1,558.28	1,674.18	(115.90)		Y
QUANTA SERVICES INC	Trade	120,000		05/29/09	01/15/10	2,299.24	2,649.56	(350.32)		Y
SAP AG SPON ADR	Trade	73,000		05/29/09	03/22/10	3,420.51	3,127.90	292.61		Y
SCHLUMBERGER LTD NETHERLANDS ANTILLES	Trade	26,000		05/29/09	03/23/10	1,638.07	1,480.40	157.67		Y
TEXAS INSTRUMENTS	Trade	108,000		05/29/09	05/27/10	2,659.13	2,042.06	617.07		Y
Total Short-Term Gain/Loss						23,270.25	21,605.76	1,664.49		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS	Trade	74,000		05/29/09	12/27/10	3,515.95	3,294.48	221.47		Y
ADOBE SYSTEMS INC (DELAWARE)	Trade	97,000		05/29/09	10/19/10	2,680.34	2,654.68	25.66		Y
CHURCH & DWIGHT CO INC	Trade	21,000		05/29/09	10/20/10	1,438.88	1,036.95	401.93		Y
HEWLETT PACKARD CO	Trade	76,000		05/29/09	10/01/10	3,078.04	2,600.72	477.32		Y
MICROSOFT CORP	Trade	134,000		05/29/09	06/29/10	3,207.43	2,733.31	474.12		Y
PETROHAWK ENERGY CORP	Trade	75,000		05/29/09	10/04/10	1,205.31	1,913.25	(707.94)		Y
QUALCOMM INC	Trade	88,000		05/29/09	06/24/10	2,997.48	3,691.79	(694.31)		Y
RAYTHEON CO NEW	Trade	53,000		05/29/09	10/06/10	2,427.32	2,327.76	99.56		Y
SCHWAB CHARLES CORP NEW	Trade	165,000		05/29/09	12/10/10	2,742.10	2,814.54	(72.44)		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
THERMO FISHER SCIENTIFIC INC	Trade	17,000		05/29/09	10/19/10	827.20	658.91	188.29		Y
WASTE CONNECTIONS INC	Trade	45,000		05/29/09	10/19/10	1,816.34	1,134.45	881.89		Y
	Cash In Lieu	.500		05/29/09	11/15/10	20.31	8.40	11.91		Y
	Sub-Total:	45,500				1,836.65	1,142.85	693.80		
Total Long-Term Gain/Loss						25,956.70	24,869.24	1,087.46		



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Corrected 03/13/11

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Other Income Details Not Reported to the Internal Revenue Service - Continued

Activity	Security Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	* Amortized acquisition premium	Amount
Program Fees and Related Services	MANAGED ACCOUNT FEE				12/31/10		80,912.28
Total Program Fees and Related Services							\$ 80,912.28

2010 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

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Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net	
					Gain/Loss	Gain/Loss
Short-term Gain/Loss:	\$ 51,244,090.28	\$ 51,945,974.91	\$ 1,720,135.56	\$ (1,018,250.93)	\$	701,884.63
Unclassified Gain/Loss:		145,760.02				
Sub Total:	\$ 51,244,090.28	\$ 51,945,974.91	\$ 1,720,135.56	\$ (1,018,250.93)	\$	701,884.63
Activity with Gain/Loss not calculated due to missing information:						
Total:	\$ 51,244,090.28	\$ 52,091,734.93	\$ 1,720,135.56	\$ (1,018,250.93)	\$	701,884.63

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS	Trade	2,000,000		03/12/10	04/19/10	105,258.42	107,916.40	(2,657.98)		Y
	Trade	1,800,000		03/19/10	04/19/10	94,732.58	96,029.82	(1,297.24)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS	Trade	200,000		04/05/10	04/19/10	10,525.84	10,573.90	(48.06)		Y
	Trade	1,000,000		04/05/10	08/20/10	49,439.16	52,869.50	(3,430.34)		
	Trade	1,000,000		04/15/10	08/20/10	49,439.16	52,227.50	(2,788.34)		
	Trade	2,700,000		11/08/10	12/17/10	129,381.79	136,053.00	(6,671.21)		
	Trade	1,300,000		11/15/10	12/17/10	82,294.93	83,166.74	(871.81)		
	Trade	1,000,000		11/19/10	12/17/10	47,919.19	47,279.90	639.29		
	Sub-Total:	11,000,000				548,991.07	566,116.76	(17,125.69)		
ADOBE SYSTEMS INC (DELAWARE)	Trade	3,000,000		02/11/10	02/19/10	98,848.74	98,009.70	839.04		
	Trade	3,000,000		08/30/10	07/16/10	83,254.02	80,598.00	2,656.02		
	Trade	300,000		07/07/10	07/16/10	8,325.39	7,952.70	372.69		
	Trade	3,000,000		09/22/10	10/15/10	80,248.84	79,110.00	1,138.84		
	Sub-Total:	9,300,000				270,676.79	265,668.40	5,008.39		
AETNA INC	Trade	2,500,000		12/30/09	01/15/10	81,123.88	80,383.50	760.38		
	Trade	3,000,000		04/09/10	04/16/10	97,534.34	98,578.70	(1,044.36)		
	Trade	2,000,000		04/15/10	04/16/10	65,022.88	63,180.00	1,842.88		
	Trade	1,000,000		07/29/10	07/30/10	27,510.10	27,454.63	55.47		
	Trade	3,000,000		07/29/10	08/20/10	83,398.58	82,363.88	1,034.70		
	Trade	3,000,000		08/24/10	09/17/10	81,808.61	79,768.50	2,040.11		
	Trade	3,000,000		11/02/10	11/19/10	91,503.45	90,619.00	884.45		
	Sub-Total:	17,500,000				527,901.84	522,328.21	5,573.63		
AFLAC INC	Trade	200,000		12/31/09	01/12/10	9,379.87	9,316.00	63.87		
	Trade	1,800,000		12/31/09	01/15/10	84,418.85	83,843.99	574.86		
	Trade	1,500,000		01/14/10	01/15/10	76,874.02	76,695.00	179.02		
	Trade	1,500,000		01/14/10	01/15/10	76,874.02	76,740.00	134.02		
	Trade	2,000,000		11/26/10	12/17/10	106,198.20	104,900.00	1,298.20		
	Sub-Total:	7,000,000				353,744.96	351,484.99	2,249.97		
ALCON INC CHF	Trade	1,000,000		02/10/10	02/19/10	157,797.99	156,978.50	819.49		
	Trade	1,000,000		04/05/10	06/18/10	150,997.44	161,600.00	(10,602.56)		
	Trade	1,000,000		04/22/10	06/18/10	150,997.44	157,480.00	(6,482.56)		
	Trade	500,000		05/05/10	06/18/10	75,498.72	76,000.00	(501.28)		
	Sub-Total:	3,500,000				535,291.59	552,058.50	(16,766.91)		
ALLEGHENY ENERGY INC **MERGER EFF: 03/2011**	Trade	1,000,000		12/04/09	01/15/10	25,699.62	22,399.90	3,299.72		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALTERA CORP	Trade	1,900,000		10/20/10	11/04/10	55,194.06	54,340.00	854.06		
	Trade	100,000		10/20/10	11/05/10	2,904.94	2,860.00	44.94		
	Sub-Total:	2,000,000				58,099.00	57,200.00	899.00		
AMERICAN FINANCIAL GROUP INC (HOLDING CO)	Trade	3,000,000		01/14/10	02/19/10	77,998.99	75,563.00	2,435.99		
AMERICAN INTL GROUP INC COM NEW	Trade	3,000,000		12/21/09	01/15/10	86,398.78	84,120.00	2,278.78		
	Trade	2,000,000		12/31/09	01/15/10	61,099.12	60,314.00	785.12		
	Trade	2,000,000		01/04/10	02/19/10	54,099.31	60,080.00	(5,980.69)		
	Trade	1,000,000		01/04/10	02/19/10	27,049.65	29,900.00	(2,850.35)		
	Trade	1,000,000		01/06/10	02/19/10	27,049.65	29,040.00	(1,990.35)		
	Trade	500,000		01/06/10	03/10/10	14,584.80	14,520.00	64.80		
	Trade	1,100,000		02/18/10	03/10/10	32,086.57	29,535.00	2,551.57		
	Trade	2,400,000		02/18/10	03/11/10	70,007.10	64,440.00	5,567.10		
	Trade	500,000		02/18/10	03/15/10	14,584.82	13,425.00	1,159.82		
	Sub-Total:	13,500,000				386,959.80	385,374.00	1,585.80		
AMGEN INC	Trade	2,000,000		12/24/09	01/15/10	114,998.40	114,040.00	958.40		
	Trade	2,000,000		11/29/10	12/17/10	108,318.16	104,479.80	3,838.36		
	Sub-Total:	4,000,000				223,316.56	218,519.80	4,796.76		
ANADARKO PETROLEUM CORP	Trade	1,500,000		01/19/10	02/19/10	101,856.20	99,875.00	2,181.20		
	Trade	500,000		02/08/10	02/19/10	33,952.07	31,089.71	2,862.36		
	Trade	2,000,000		11/08/10	11/19/10	129,647.79	127,555.00	2,092.79		
	Sub-Total:	4,000,000				265,456.06	258,319.71	7,136.35		
ANGLOGOLD ASHANTI LTD NEW SPON ADR	Trade	1,000,000		11/01/10	11/19/10	47,449.19	46,758.30	690.89		
	Trade	1,000,000		11/01/10	11/19/10	46,999.19	46,758.30	240.89		
	Trade	2,000,000		11/02/10	11/19/10	94,898.39	93,180.00	1,718.39		
	Trade	1,000,000		11/03/10	11/19/10	47,449.19	46,560.00	889.19		
	Trade	600,000		11/24/10	12/17/10	28,679.51	28,194.00	485.51		
	Trade	2,400,000		11/24/10	12/17/10	115,798.03	112,778.00	3,022.03		
	Sub-Total:	8,000,000				381,273.50	374,226.60	7,046.90		
ANHEUSER BUSCH COS INC 05.750% 040110 DTD041499 FC100199 MW + 20 BP NTS	Trade	125,000,000		06/05/09	01/06/10	128,410.00	128,028.75	(1,618.75)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ANALLY CAPITAL MANAGEMENT INC REIT	Trade	4,000.000		03/19/10	06/18/10	68,558.83	74,079.80	(5,520.77)		
	Trade	6,000.000		03/23/10	06/18/10	102,838.24	108,538.40	(5,700.16)		
	Trade	12,000.000		08/17/10	08/20/10	210,421.42	208,560.00	1,861.42		
	Trade	5,000.000		10/28/10	11/19/10	87,798.51	86,399.50	1,399.01		
	Trade	5,200.000		12/08/10	12/17/10	94,334.27	92,767.48	1,566.79		
	Trade	1,400.000		12/15/10	12/17/10	25,397.67	25,111.10	286.57		
	Sub-Total:	33,600.000				589,346.94	595,456.08	(6,107.14)		
APPLE INC	Trade	500.000		08/27/10	08/27/10	120,347.96	119,705.00	642.96		
ARCHER DANIELS MIDLAND CO	Trade	2,000.000		01/08/10	01/15/10	81,999.17	81,710.80	288.37		
	Trade	1,000.000		02/10/10	03/19/10	29,199.62	29,820.00	(620.38)		
	Trade	1,000.000		02/10/10	04/16/10	28,899.50	29,820.00	(920.50)		
	Trade	1,000.000		02/19/10	04/16/10	28,899.50	29,847.00	(747.50)		
	Trade	1,000.000		02/22/10	04/16/10	28,899.50	29,720.00	(820.50)		
	Trade	1,000.000		02/26/10	06/18/10	27,049.54	29,307.92	(2,258.38)		
	Trade	1,000.000		03/11/10	08/18/10	27,049.54	29,659.90	(2,610.36)		
	Trade	3,000.000		04/05/10	08/16/10	83,398.58	86,129.70	(2,731.12)		
	Trade	2,000.000		04/13/10	08/16/10	55,599.05	56,200.00	(600.95)		
	Trade	3,000.000		04/29/10	08/16/10	83,398.58	84,000.00	(601.42)		
	Sub-Total:	16,000.000				454,392.58	466,015.32	(11,622.74)		
AT&T INC	Trade	2,000.000		02/10/10	02/19/10	50,759.35	50,160.00	599.35		
	Trade	4,000.000		03/02/10	03/19/10	99,878.73	99,400.00	478.73		
	Sub-Total:	6,000.000				150,638.08	149,560.00	1,078.08		
AUTOMATIC DATA PROCESSING INC	Trade	2,000.000		12/31/09	03/09/10	84,898.91	86,179.80	(1,280.89)		
	Trade	1,000.000		01/06/10	03/09/10	42,448.44	42,300.00	148.44		
	Trade	1,500.000		01/14/10	03/09/10	63,674.17	63,959.85	(285.68)		
	Sub-Total:	4,500.000				191,022.52	192,439.65	(1,417.13)		
BANK OF NEW YORK MELLON CORP	Trade	1,000.000		10/13/09	01/15/10	27,999.63	28,099.90	(100.27)		
	Trade	2,000.000		10/19/09	01/15/10	55,999.28	54,417.40	1,581.88		
	Trade	2,000.000		11/02/09	01/15/10	55,999.28	52,900.00	3,099.28		
	Sub-Total:	5,000.000				139,998.19	135,417.30	4,580.89		
BANK OF NOVA SCOTIA CANADA CAD	Trade	500.000		07/01/10	07/16/10	23,174.59	22,655.00	519.59		
	Trade	900.000		12/21/10	12/29/10	50,489.14	49,761.00	728.14		



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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BANK OF NOVA SCOTIA CANADA CAD	Trade	1,100,000		12/21/10	12/30/10	61,708.94	60,819.00	889.94		
	Sub-Total:	2,500,000				135,372.67	133,235.00	2,137.67		
BANK OF AMER CORP	Trade	4,000,000		01/21/10	03/19/10	66,691.86	63,120.00	3,571.86		
	Trade	2,000,000		01/22/10	03/19/10	33,345.92	30,380.00	2,965.92		
	Trade	5,000,000		03/15/10	03/19/10	83,364.82	83,650.00	(285.18)		
	Trade	4,000,000		04/22/10	12/23/10	50,339.13	72,720.00	(22,380.87)		
	Trade	2,000,000		04/28/10	12/23/10	25,169.55	38,420.00	(11,250.45)		
	Trade	4,000,000		05/08/10	12/23/10	50,339.13	68,717.30	(18,378.17)		
	Trade	300,000		06/07/10	12/23/10	3,775.43	4,506.00	(730.57)		
	Trade	800,000		08/09/10	12/23/10	10,087.82	11,031.36	(963.54)		
	Trade	3,900,000		09/22/10	12/23/10	49,080.68	52,416.00	(3,335.34)		
	Trade	5,000,000		10/13/10	12/23/10	62,923.92	67,300.00	(4,376.08)		
	Trade	5,000,000		10/20/10	12/23/10	62,923.92	56,750.00	6,173.92		
	Trade	5,000,000		11/19/10	12/23/10	62,923.92	57,850.00	5,073.92		
	Sub-Total:	41,000,000				560,946.08	604,860.66	(43,914.58)		
BARNES & NOBLE INC	Trade	100,000		04/21/10	08/20/10	1,529.96	2,220.00	(690.04)		
	Trade	2,900,000		04/28/10	08/20/10	44,369.23	64,438.00	(20,068.77)		
	Trade	3,000,000		05/08/10	08/20/10	45,899.21	61,680.00	(15,780.79)		
	Trade	1,000,000		08/24/10	08/20/10	15,299.73	15,799.00	(499.27)		
	Sub-Total:	7,000,000				107,098.13	144,137.00	(37,038.87)		
BAXTER INTL INC	Trade	2,000,000		02/09/10	02/19/10	113,598.55	113,027.40	571.15		
	Trade	1,900,000		03/11/10	03/18/10	110,768.58	109,930.58	838.00		
	Trade	100,000		03/11/10	03/19/10	5,857.19	5,785.82	71.37		
	Trade	1,000,000		03/16/10	03/19/10	58,571.96	58,050.00	521.96		
	Trade	2,000,000		03/31/10	04/16/10	117,298.01	116,780.00	518.01		
	Trade	2,000,000		04/08/10	04/16/10	117,298.01	116,520.00	778.01		
	Trade	2,000,000		04/21/10	09/17/10	88,398.49	117,460.00	(29,061.51)		
	Trade	2,000,000		08/30/10	09/17/10	92,998.42	87,299.80	5,698.62		
	Sub-Total:	13,000,000				704,789.21	724,853.60	(20,064.39)		
BLACKROCK NEW YORK MUNICIPAL INCOME TRUST	Trade	100,000		05/08/10	05/12/10	1,497.97	1,498.99	(1.02)		Y
	Trade	700,000		05/06/10	05/24/10	10,464.82	10,492.94	(28.12)		Y
	Trade	200,000		05/06/10	05/24/10	2,995.94	2,997.98	(2.04)		Y
	Trade	1,000,000		05/06/10	05/26/10	14,949.74	14,989.92	(40.18)		Y
	Sub-Total:	2,000,000				29,908.47	29,979.83	(71.36)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BLACKSTONE GROUP LP/THE UNIT REPTSG LTD PARTNERSHIP INT	Trade	5,000.000		12/28/09	01/15/10	66,799.07	65,990.00	809.07		
	Trade	5,000.000		03/28/10	04/18/10	73,543.76	72,700.00	843.76		
	Trade	5,000.000		03/29/10	04/18/10	73,543.76	71,550.00	1,993.76		
	Trade	5,000.000		04/22/10	10/15/10	58,649.02	72,549.50	(15,900.48)		
	Trade	2,000.000		05/06/10	10/15/10	22,659.81	26,440.00	(3,780.39)		
Sub-Total:		22,000.000				293,195.22	309,229.50	(16,034.28)		
BOARDWALK PIPELINE PARTNERS LP UNITS REPTSG LP INTERESTS	Trade	4,000.000		03/19/10	07/18/10	121,397.94	119,780.00	1,637.94		
	Trade	2,000.000		03/25/10	07/18/10	80,698.97	59,779.00	919.97		
	Trade	2,000.000		03/26/10	07/18/10	60,698.97	59,097.15	1,601.82		
	Trade	2,000.000		03/28/10	07/18/10	60,698.97	58,879.80	1,819.17		
Sub-Total:		10,000.000				303,494.85	297,515.95	5,978.90		
BOEING COMPANY	Trade	2,500.000		11/02/10	12/17/10	165,647.19	173,075.00	(7,427.81)		
BP PLC SPON ADR	Trade	2,000.000		10/27/10	10/29/10	80,938.62	80,330.00	608.62		
BP PRUDHOE BAY ROYALTY TRUST UBI	Trade	600.000		10/27/10	11/18/10	62,158.94	61,307.96	850.98		
	Trade	400.000		10/27/10	11/19/10	41,439.29	40,871.98	567.31		
Sub-Total:		1,000.000				103,598.23	102,179.94	1,418.29		
BRASKEM S.A. SPON ADR	Trade	2,000.000		03/15/10	07/22/10	30,659.48	30,200.00	459.48		
	Trade	1,000.000		03/15/10	07/22/10	15,329.74	15,010.00	319.74		
	Trade	2,000.000		03/15/10	07/22/10	29,999.49	30,020.00	(20.51)		
	Trade	1,000.000		03/24/10	08/19/10	16,839.71	14,670.00	2,169.71		
	Trade	1,000.000		03/24/10	08/20/10	15,599.72	14,670.00	929.72		
Sub-Total:		7,000.000				108,428.14	104,570.00	3,858.14		
BRISTOL MYERS SQUIBB CO	Trade	4,000.000		01/14/10	02/19/10	101,278.71	99,920.00	1,358.71		
	Trade	2,000.000		04/13/10	06/18/10	48,839.18	52,110.00	(3,270.84)		
	Trade	3,000.000		04/26/10	08/18/10	73,258.75	74,070.00	(811.25)		
	Trade	4,000.000		05/13/10	06/18/10	97,678.33	97,557.52	120.81		
Sub-Total:		13,000.000				321,054.95	323,657.52	(2,602.57)		
BROADCOM CORP CL A	Trade	2,800.000		01/19/10	02/18/10	88,158.88	88,319.66	1,839.20		
	Trade	100.000		01/19/10	02/18/10	3,039.95	2,978.54	63.41		
Sub-Total:		3,000.000				91,198.81	89,298.20	1,902.61		

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C12G119776-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CA INC	Trade	2,900.000		12/15/09	01/15/10	67,424.11	66,027.20	1,396.91		
	Trade	3,000.000		01/11/10	03/19/10	68,849.11	69,030.00	(180.89)		
	Trade	2,000.000		02/08/10	03/19/10	45,899.41	43,120.00	2,779.41		
	Sub-Total:	7,900.000				182,172.63	178,177.20	3,995.43		
CALL ABBOTT LABS DUE 04/17/10 52.500 000132	Expired	(18,000)		04/19/10	03/19/10	2,465.98		2,465.98		
	Expired	(10,000)		04/19/10	03/22/10	1,779.97		1,779.97		
	Expired	(12,000)		04/19/10	04/05/10	839.98		839.98		
	Expired	(10,000)		04/19/10	04/15/10	89.99		89.99		
	Sub-Total:	(50,000)				5,175.90		5,175.90		
CALL ABBOTT LABS DUE 04/17/10 55.000 000132	Expired	(10,000)		04/19/10	03/22/10	379.99		379.99		
CALL ABBOTT LABS DUE 05/22/10 52.500 000132	Expired	(20,000)		05/24/10	04/19/10	2,079.96		2,079.96		
CALL ABBOTT LABS DUE 11/20/10 49.000 000132	Expired	(13,000)		11/22/10	11/15/10	402.99		402.99		
CALL ABBOTT LABS DUE 11/20/10 50.000 000132	Expired	(27,000)		11/22/10	11/08/10	2,186.96		2,186.96		
CALL ABBOTT LABS DUE 03/20/10 55.000 000132	Expired	(20,000)		03/22/10	03/12/10	479.99		479.99		
CALL ABBOTT LABS DUE 06/19/10 49.000 000132	Expired	(2,000)		06/21/10	06/16/10	25.99		25.99		
CALL ABBOTT LABS DUE 06/19/10 50.000 000132	Expired	(18,000)		06/21/10	06/14/10	53.99		53.99		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL ABBOTT LABS DUE 07/17/10 48,000 000132	Expired	(20,000)		07/19/10	07/18/10	79.99		79.99		
CALL ABBOTT LABS DUE 07/17/10 50,000 000132	Shortcover	(20,000)		07/18/10	08/24/10	319.98	20.00	299.99		
CALL AETNA INC DUE 08/21/10 26,000 004DG2	Shortcover	(10,000)		07/30/10	07/29/10	1,799.97	1,810.00	(10.03)		
CALL ALCON INC DUE 05/22/10 180,000 0026Q2	Expired	(20,000)		05/24/10	04/22/10	2,199.96		2,199.96		
CALL ALCON INC DUE 05/22/10 155,000 0028Q2	Expired	(5,000)		05/24/10	05/05/10	424.99		424.99		
CALL AMERICAN INTL GROUP DUE 01/16/10 30,000 029QV8	Expired	(25,000)		01/19/10	01/11/10	1,199.96		1,199.96		
CALL AMERICAN INTL GROUP DUE 01/16/10 31,000 029QV8	Expired	(20,000)		01/19/10	01/08/10	1,319.98		1,319.98		
CALL AMERICAN INTL GROUP DUE 02/20/10 30,000 029QV8	Expired Expired	(18,000) (29,000)		02/22/10 02/22/10	01/19/10 01/21/10	2,239.97 3,189.95		2,239.97 3,189.95		
	Sub-Total:	(45,000)				5,429.92		5,429.92		
CALL ANNALY CAPITAL DUE 04/17/10 18,000 0289B4	Expired	(60,000)		04/19/10	03/25/10	599.99		599.99		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL ANNALY CAPITAL DUE 04/17/10 18.000 0289B4	Expired	(40.000)		04/19/10	03/19/10	319.99		319.99		
CALL ANNALY CAPITAL DUE 05/22/10 18.000 0289B4	Expired	(100.000)		05/24/10	04/29/10	299.99		299.99		
CALL ARCHER DANIELS MIDL DUE 02/20/10 30.000 029408	Expired	(20.000)		02/22/10	02/10/10	699.99		699.99		
CALL ARCHER DANIELS MIDL DUE 03/20/10 30.000 029408	Expired	(10.000)		03/22/10	02/19/10	599.99		599.99		
	Expired	(30.000)		03/22/10	02/22/10	1,649.97		1,649.97		
	Expired	(10.000)		03/22/10	02/26/10	299.99		299.99		
	Sub-Total:	(50.000)				2,549.95		2,549.95		
CALL ARCHER DANIELS MIDL DUE 04/17/10 30.000 029408	Expired	(50.000)		04/19/10	03/22/10	1,499.98		1,499.98		
CALL ARCHER DANIELS MIDL DUE 05/22/10 28.000 029408	Expired	(30.000)		05/24/10	04/29/10	2,399.95		2,399.95		
CALL ARCHER DANIELS MIDL DUE 05/22/10 29.000 029408	Expired	(20.000)		05/24/10	04/15/10	1,199.97		1,199.97		
	Expired	(15.000)		05/24/10	04/19/10	674.98		674.98		
	Expired	(35.000)		05/24/10	04/19/10	1,399.97		1,399.97		
	Sub-Total:	(70.000)				3,274.92		3,274.92		
CALL ARCHER DANIELS MIDL DUE 08/19/10 27.000 029408	Shortcover	(40.000)		06/18/10	06/14/10	199.99	1,000.00	(800.01)		
	Shortcover	(40.000)		06/18/10	06/14/10	199.99	800.00	(600.01)		
	Sub-Total:	(80.000)				399.98	1,800.00	(1,400.02)		
CALL ARCHER DANIELS MIDL DUE 07/17/10 27.000 029408	Expired	(40.000)		07/19/10	06/18/10	3,199.94		3,199.94		
	Expired	(40.000)		07/19/10	06/21/10	3,799.93		3,799.93		
	Sub-Total:	(80.000)				6,999.87		6,999.87		

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Short-Term Gain/Loss - continued

C12G118782-X

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL AUTOMATIC DATA PROC DUE 01/16/10 42.500 038911	Expired	(20.000)		01/19/10	01/04/10	1,999.94		1,999.94		
	Expired	(10.000)		01/19/10	01/08/10	399.98		399.98		
	Expired	(15.000)		01/19/10	01/14/10	374.99		374.99		
	Sub-Total:	(45.000)				2,774.91		2,774.91		
CALL AUTOMATIC DATA PROC DUE 02/20/10 42.000 038911	Expired	(45.000)		02/22/10	01/19/10	5,399.93		5,399.93		
CALL BANK OF AMER CORP DUE 02/20/10 16.000 052591	Expired	(60.000)		02/22/10	02/02/10	1,559.98		1,559.98		
CALL BANK OF AMER CORP DUE 05/22/10 17.000 052591	Expired	(40.000)		05/24/10	04/22/10	5,759.90		5,759.90		
	Expired	(20.000)		05/24/10	04/28/10	2,959.94		2,959.94		
	Expired	(40.000)		05/24/10	05/06/10	2,719.95		2,719.95		
	Sub-Total:	(100.000)				11,439.79		11,439.79		
CALL BANK OF AMER CORP DUE 06/19/10 16.000 052591	Expired	(103.000)		06/21/10	06/11/10	1,544.97		1,544.97		
CALL BANK OF AMER CORP DUE 08/21/10 14.000 052591	Expired	(8.000)		08/23/10	08/09/10	167.99		167.99		
CALL BANK OF AMER CORP DUE 08/21/10 15.000 052591	Expired	(103.000)		08/23/10	07/27/10	2,877.95		2,877.95		
CALL BANK OF AMER CORP DUE 10/18/10 13.000 052591	Expired	(111.000)		10/18/10	09/17/10	8,213.86		8,213.86		
	Expired	(39.000)		10/18/10	09/22/10	2,807.95		2,807.95		
	Expired	(50.000)		10/18/10	10/13/10	2,499.95		2,499.95		
	Sub-Total:	(200.000)				13,521.76		13,521.76		
CALL BANK OF AMER CORP DUE 11/20/10 12.000 052591	Expired	(250.000)		11/22/10	11/05/10	18,499.68		18,499.68		

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C12G119783-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL BANK OF AMER CORP DUE 07/17/10 17.000 052591	Expired	(103.000)		07/19/10	08/21/10	1,958.96		1,958.96		
CALL BANK OF AMER CORP DUE 09/18/10 13.000 052591	Shortcover	(111.000)		09/17/10	09/03/10	5,882.90	4,662.00	1,220.90		
CALL BANK OF AMER CORP DUE 09/03/10 13.000 052591	Shortcover	(111.000)		09/03/10	08/27/10	776.98	4,107.00	(3,330.02)		
CALL BANK OF AMER CORP DUE 10/22/10 12.000 052591	Expired Expired	(100.000) (50.000)		10/25/10 10/25/10	10/18/10 10/20/10	3,599.93 149.99		3,599.93 149.99		
	Sub-Total:	(150.000)				3,749.92		3,749.92		
CALL BANK OF AMER CORP DUE 10/22/10 13.000 052591	Expired	(100.000)		10/25/10	10/18/10	699.98		699.98		
CALL BANK OF AMER CORP DUE 10/29/10 12.000 052591	Expired	(250.000)		11/01/10	10/25/10	1,499.97		1,499.97		
CALL BANK OF AMER CORP DUE 11/05/10 12.000 052591	Shortcover Shortcover	(200.000) (50.000)		11/05/10 11/05/10	11/01/10 11/02/10	1,599.97 199.99	12,200.00 3,050.00	(10,800.03) (2,850.01)		
	Sub-Total:	(250.000)				1,799.96	15,250.00	(13,450.04)		
CALL BANK OF AMER CORP DUE 11/26/10 12.000 052591	Expired	(50.000)		11/29/10	11/19/10	249.99		249.99		
CALL BANK OF AMER CORP DUE 12/18/10 12.000 052591	Shortcover Shortcover	(150.000) (150.000)		12/17/10 12/17/10	12/08/10 12/08/10	2,099.96 2,099.96	7,950.00 8,100.00	(5,850.04) (6,000.04)		
	Sub-Total:	(300.000)				4,199.92	16,050.00	(11,850.08)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL BANK OF AMER CORP DUE 12/03/10 12.000 052591	(300.000)		12/06/10	11/29/10	599.98		599.98		
CALL BARNES & NOBLE INC DUE 05/22/10 20.000 0439B3	(30.000)		05/24/10	05/06/10	3,899.93		3,899.93		
CALL BARNES & NOBLE INC DUE 05/22/10 22.500 0439B3	(1.000) (29.000)		05/24/10 05/24/10	04/21/10 04/28/10	99.99 2,609.95		99.99 2,609.95		
	Sub-Total:	(30.000)			2,709.94		2,709.94		
CALL BARNES & NOBLE INC DUE 06/19/10 22.500 0439B3	(60.000)		06/21/10	05/27/10	1,499.97		1,499.97		
CALL BARNES & NOBLE INC DUE 07/17/10 17.500 0439B3	(70.000)		07/19/10	08/25/10	2,449.94		2,449.94		
CALL BARNES & NOBLE INC DUE 11/20/10 16.000 0439B3	(10.000) (50.000)		11/22/10 11/22/10	10/14/10 10/18/10	799.98 3,249.94		799.98 3,249.94		
	Sub-Total:	(60.000)			4,049.92		4,049.92		
CALL BARNES & NOBLE INC DUE 11/20/10 15.000 0439B3	(30.000)		11/19/10	10/20/10	2,549.95	450.00	2,099.95		
CALL BARNES & NOBLE INC DUE 10/16/10 16.000 0439B3	(50.000)		10/18/10	10/13/10	1,499.96		1,499.96		
CALL BARNES & NOBLE INC DUE 12/18/10 15.000 0439B3	(30.000)		12/20/10	11/19/10	2,249.96		2,249.96		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL BARNES & NOBLE INC DUE 12/18/10 16.000 043983	Expired	(60.000)		12/20/10	11/22/10	2,999.94		2,999.94		
CALL BAXTER INTL INC DUE 05/22/10 57.500 064378	Expired	(20.000)		05/24/10	04/21/10	3,999.93		3,999.93		
CALL BAXTER INTL INC DUE 06/19/10 42.500 064378	Expired	(20.000)		06/21/10	06/11/10	599.98		599.98		
CALL BAXTER INTL INC DUE 07/17/10 42.500 064378	Expired	(20.000)		07/19/10	06/21/10	1,899.96		1,899.96		
CALL BAXTER INTL INC DUE 08/21/10 44.000 064378	Shortcover	(20.000)		08/18/10	07/19/10	1,999.96	4,380.00	(2,380.04)		
CALL BLACKSTONE GROUP LP DUE 05/22/10 14.000 055AN8	Expired	(50.000)		05/24/10	04/22/10	3,749.93		3,749.93		
CALL BLACKSTONE GROUP LP DUE 05/22/10 15.000 055AN8	Expired	(20.000)		05/24/10	05/10/10	79.99		79.99		
CALL BLACKSTONE GROUP LP DUE 06/19/10 11.000 055AN8	Expired	(70.000)		06/21/10	06/15/10	349.99		349.99		
CALL BLACKSTONE GROUP LP DUE 08/21/10 12.000 055AN8	Expired	(70.000)		08/23/10	07/27/10	2,379.95		2,379.95		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Quantity/ Face value	Activity Type	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL BLACKSTONE GROUP LP DUE 09/18/10 11.000 055AN8	(70.000)	Expired		09/20/10	09/03/10	559.99		559.99		
CALL BLACKSTONE GROUP LP DUE 07/17/10 11.000 055AN8	(70.000)	Expired		07/19/10	07/08/10	139.99		139.99		
CALL BOARDWALK PIPELINE DUE 04/17/10 30.000 052A08	(40.000) (20.000) (40.000)	Expired Expired Expired		04/19/10 04/19/10 04/19/10	03/22/10 03/25/10 03/29/10	1,199.98 399.99 399.99		1,199.98 399.99 399.99		
	Sub-Total: (100.000)					1,999.96		1,999.96		
CALL BOARDWALK PIPELINE DUE 05/22/10 30.000 052A08	(100.000)	Expired		05/24/10	04/20/10	1,999.98		1,999.98		
CALL BOEING COMPANY DUE 11/20/10 67.500 051705	(25.000)	Expired		11/22/10	11/02/10	6,224.89		6,224.89		
CALL BRASKEM S.A. SPON A DUE 03/20/10 15.000 0489Z2	(50.000)	Expired		03/22/10	03/15/10	1,499.98		1,499.98		
CALL BRASKEM S.A. SPON A DUE 05/22/10 15.000 0489Z2	(70.000)	Expired		05/24/10	04/22/10	1,749.97		1,749.97		
CALL BRASKEM S.A. SPON A DUE 08/21/10 15.000 0489Z2	(10.000)	Shortcover		08/19/10	07/21/10	599.98	1,900.00	(1,300.02)		
CALL BRASKEM S.A. SPON A DUE 04/17/10 15.000 0489Z2	(50.000) (20.000)	Expired Expired		04/19/10 04/19/10	03/22/10 03/29/10	1,999.97 599.98		1,999.97 599.98		
	Sub-Total: (70.000)					2,599.95		2,599.95		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL BRASKEM S.A. SPON A DUE 07/17/10 15,000 0489Z2	Expired	(10,000)		07/19/10	08/21/10	249.99		249.99		
	Expired	(60,000)		07/19/10	06/22/10	1,499.97		1,499.97		
Sub-Total:		(70,000)				1,749.96		1,749.96		
CALL BRISTOL MYERS SQUIB DUE 04/17/10 26,000 053082	Expired	(20,000)		04/19/10	04/13/10	499.99		499.99		
CALL BRISTOL MYERS SQUIB DUE 05/22/10 24,000 053082	Expired	(30,000)		05/24/10	04/28/10	3,329.94		3,329.94		
CALL BRISTOL MYERS SQUIB DUE 05/22/10 25,000 053082	Expired	(2,000)		05/24/10	05/13/10	27.99		27.99		
CALL BRISTOL MYERS SQUIB DUE 05/22/10 26,000 053082	Expired	(20,000)		05/24/10	04/19/10	1,079.98		1,079.98		
CALL CA INC DUE 02/20/10 22,500 068BS5	Expired	(30,000)		02/22/10	01/11/10	3,599.90		3,599.90		
	Expired	(20,000)		02/22/10	02/08/10	199.99		199.99		
Sub-Total:		(50,000)				3,799.89		3,799.89		
CALL CAPITAL ONE FINCL C DUE 10/16/10 40,000 071269	Expired	(20,000)		10/18/10	10/13/10	1,699.97		1,699.97		
CALL CAPITAL ONE FINCL C DUE 11/20/10 37,000 071269	Shortcover	(30,000)		11/19/10	10/15/10	6,509.88	3,030.00	3,479.88		
CALL CAPITAL ONE FINCL C DUE 11/20/10 38,000 071269	Expired	(20,000)		11/22/10	10/18/10	2,919.95		2,919.95		

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C12G119788-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL CENTRAIS ELETRICAS DUE 05/22/10 15.000 1348A3	Expired	(50.000)		05/24/10	04/16/10	2,249.95		2,249.95		
	Expired	(50.000)		05/24/10	04/19/10	2,499.95		2,499.95		
	Expired	(50.000)		05/24/10	04/19/10	2,249.96		2,249.98		
	Sub-Total:	(150.000)				6,999.86		6,999.86		
CALL CENTRAIS ELETRICAS DUE 04/17/10 15.000 1348A3	Expired	(7.000)		04/19/10	03/25/10	209.99		209.99		
	Expired	(43.000)		04/19/10	03/26/10	1,074.98		1,074.98		
	Expired	(50.000)		04/19/10	04/08/10	999.98		999.98		
	Sub-Total:	(100.000)				2,284.95		2,284.95		
CALL CHEVRON CORP DUE 02/20/10 75.000 0928P3	Expired	(15.000)		02/22/10	02/09/10	179.99		179.99		
CALL CHEVRON CORP DUE 03/20/10 75.000 0928P3	Expired	(15.000)		03/22/10	02/26/10	524.99		524.99		
CALL CHESAPEAKE ENERGY C DUE 03/20/10 26.000 0821A2	Expired	(30.000)		03/22/10	03/04/10	2,319.97		2,319.97		
CALL CHESAPEAKE ENERGY C DUE 04/17/10 24.000 0821A2	Expired	(125.000)		04/19/10	03/26/10	2,999.96		2,999.96		
CALL CHESAPEAKE ENERGY C DUE 05/22/10 24.000 0821A2	Expired	(50.000)		05/24/10	04/19/10	4,149.92		4,149.92		
	Expired	(75.000)		05/24/10	04/19/10	5,849.90		5,849.90		
	Sub-Total:	(125.000)				9,999.82		9,999.82		
CALL CHESAPEAKE ENERGY C DUE 06/19/10 24.000 0821A2	Shortcover	(25.000)		06/18/10	05/28/10	599.98	1,775.00	(1,175.02)		
	Shortcover	(25.000)		06/18/10	05/28/10	599.99	1,625.00	(1,025.01)		
	Shortcover	(25.000)		06/18/10	05/28/10	674.98	1,975.00	(1,300.02)		
	Shortcover	(25.000)		06/18/10	05/28/10	674.98	2,000.00	(1,325.02)		
	Shortcover	(25.000)		06/18/10	05/28/10	599.98	1,675.00	(1,075.02)		
	Sub-Total:	(125.000)				3,149.91	9,050.00	(5,900.09)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

C12G119788-X

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL CHESAPEAKE ENERGY C DUE 07/17/10 24.000 0821A2	Shortcover	(25.000)		07/14/10	06/18/10	3,324.94	25.00	3,299.94		
	Shortcover	(25.000)		07/14/10	06/18/10	3,399.94	25.00	3,374.94		
	Shortcover	(25.000)		07/14/10	06/18/10	3,224.94	25.00	3,199.94		
	Shortcover	(25.000)		07/14/10	06/18/10	3,474.94	25.00	3,449.94		
	Shortcover	(25.000)		07/14/10	06/18/10	3,499.94	25.00	3,474.94		
	Sub-Total:	(125.000)				16,924.70	125.00	16,799.70		
CALL CHESAPEAKE ENERGY C DUE 07/17/10 23.000 0821A2	Shortcover	(25.000)		07/14/10	06/25/10	1,899.98	25.00	1,874.98		
CALL CHESAPEAKE ENERGY C DUE 07/17/10 22.000 0821A2	Expired	(25.000)		07/19/10	06/30/10	1,174.98		1,174.98		
	Expired	(25.000)		07/19/10	07/14/10	199.99		199.99		
	Expired	(125.000)		07/19/10	07/14/10	1,124.98		1,124.98		
	Sub-Total:	(175.000)				2,499.95		2,499.95		
CALL CHESAPEAKE ENERGY C DUE 08/21/10 22.000 0821A2	Expired	(50.000)		08/23/10	08/02/10	2,549.95		2,549.95		
	Expired	(50.000)		08/23/10	08/02/10	1,949.96		1,949.96		
	Sub-Total:	(100.000)				4,499.91		4,499.91		
CALL CHESAPEAKE ENERGY C DUE 08/21/10 23.000 0821A2	Expired	(100.000)		08/23/10	08/02/10	1,999.96		1,999.96		
CALL CHESAPEAKE ENERGY C DUE 03/20/10 25.000 0821A2	Expired	(25.000)		03/22/10	03/08/10	2,499.96		2,499.96		
	Expired	(30.000)		03/22/10	03/12/10	2,457.96		2,457.96		
	Expired	(15.000)		03/22/10	03/16/10	854.98		854.98		
	Sub-Total:	(70.000)				5,812.90		5,812.90		
CALL CHESAPEAKE ENERGY C DUE 09/18/10 22.000 0821A2	Expired	(100.000)		09/20/10	08/30/10	3,099.94		3,099.94		
	Expired	(100.000)		09/20/10	08/30/10	3,199.94		3,199.94		
	Sub-Total:	(200.000)				6,299.88		6,299.88		
CALL CHESAPEAKE ENERGY C DUE 09/18/10 21.000 0821A2	Shortcover	(14.000)		09/17/10	09/10/10	503.99	742.00	(238.01)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL CHINA MEDICAL DUE 01/18/10 15.000 0948L4	Expired	(50,000)		01/19/10	12/21/09	1,249.96		1,249.96		
CALL CHINA MEDICAL DUE 02/20/10 15.000 0948L4	Expired	(50,000)		02/22/10	01/19/10	2,249.97		2,249.97		
CALL CHINA MEDICAL DUE 03/20/10 15.000 0948L4	Expired	(50,000)		03/22/10	03/01/10	1,749.97		1,749.97		
CALL CHINA MEDICAL DUE 04/17/10 15.000 0948L4	Expired	(50,000)		04/19/10	03/22/10	1,499.98		1,499.98		
CALL CHINA MEDICAL DUE 05/22/10 15.000 0948L4	Expired	(50,000)		05/24/10	04/19/10	749.98		749.98		
CALL CHINA MEDICAL DUE 08/19/10 12.500 0948L4	Expired	(50,000)		08/21/10	08/07/10	1,249.97		1,249.97		
CALL CHINA MEDICAL DUE 07/17/10 12.500 0948L4	Expired	(50,000)		07/19/10	06/21/10	1,249.97		1,249.97		
CALL CHINA MEDICAL DUE 08/21/10 11.000 0948L4	Expired	(50,000)		08/23/10	07/30/10	1,249.97		1,249.97		
CALL CIRRUS LOGIC INC DUE 11/20/10 14.000 111627	Shortcover Shortcover Shortcover	(18,000) (32,000) (20,000)		11/19/10 11/19/10 11/19/10	10/20/10 10/20/10 10/21/10	4,139.93 7,039.86 1,399.97	90.00 180.00 100.00	4,049.93 6,879.86 1,299.97		
	Sub-Total:	(70,000)				12,579.76	350.00	12,229.76		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL CITIGROUP INC DUE 03/20/10 4,000 2045U4	Expired	(100,000)		03/22/10	03/15/10	599.99		599.99		
CALL CITIGROUP INC DUE 05/22/10 4,000 2045U4	Expired	(100,000)		05/24/10	05/07/10	2,399.95		2,399.95		
	Expired	(100,000)		05/24/10	05/07/10	2,299.96		2,299.96		
	Expired	(50,000)		05/24/10	05/07/10	899.98		899.98		
	Sub-Total:	(250,000)				5,599.89		5,599.89		
CALL CITIGROUP INC DUE 08/19/10 4,000 2045U4	Expired	(250,000)		06/21/10	05/24/10	4,749.90		4,749.90		
	Expired	(7,000)		06/21/10	06/11/10	41.99		41.99		
	Sub-Total:	(257,000)				4,791.89		4,791.89		
CALL CIT GROUP INC DUE 08/21/10 35,000 068HJ1	Shortcover	(10,000)		08/19/10	07/30/10	1,829.97	3,550.00	(1,720.03)		
CALL CITIGROUP INC DUE 10/18/10 4,000 2045U4	Expired	(100,000)		10/18/10	09/22/10	599.98		599.98		
	Expired	(100,000)		10/18/10	09/23/10	499.99		499.99		
	Expired	(100,000)		10/18/10	09/23/10	599.98		599.98		
	Sub-Total:	(300,000)				1,699.95		1,699.95		
CALL CITIGROUP INC DUE 07/17/10 4,000 2045U4	Expired	(257,000)		07/19/10	08/21/10	4,625.91		4,625.91		
CALL COCA COLA CO COM DUE 03/20/10 55,000 094404	Expired	(20,000)		03/22/10	03/18/10	79.99		79.99		
CALL COCA COLA CO COM DUE 04/17/10 55,000 094404	Expired	(20,000)		04/19/10	03/22/10	1,659.97		1,659.97		
CALL COLGATE PALMOLIVE C DUE 01/16/10 80,000 095208	Expired	(24,000)		01/19/10	01/04/10	8,359.83		8,359.83		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL COMCAST CORP NEW CL DUE 02/20/10 16,000 026283	(40,000)		02/22/10	02/09/10	319.99		319.99		
CALL COMMONWEALTH REIT S DUE 12/18/10 25,000 099414	(8,000) (31,000) (13,000) (20,000)		12/20/10 12/20/10 12/20/10 12/20/10	11/23/10 11/24/10 12/01/10 12/03/10	329.99 1,394.96 454.99 799.97		329.99 1,394.96 454.99 799.97		
Sub-Total:	(70,000)				2,979.91		2,979.91		
CALL CONOCOPHILLIPS DUE 02/20/10 50,000 1024W6	(20,000)		02/22/10	01/22/10	4,499.94		4,499.94		
CALL COSTCO WHOLESALE CO DUE 08/21/10 55,000 0929G5	(14,000)		08/23/10	07/20/10	1,489.97		1,489.97		
CALL CREE INC DUE 08/21/10 60,000 1134B5	(20,000)		08/23/10	08/11/10	4,699.92		4,699.92		
CALL CREE INC DUE 09/18/10 60,000 1134B5	(20,000)		09/20/10	08/25/10	2,299.96		2,299.96		
CALL CREE INC DUE 09/18/10 52,500 1134B5	(3,000)		09/20/10	09/13/10	182.99		182.99		
CALL DIAMOND OFFSHORE DUE 11/20/10 69,250 1267C0	(15,000) (10,000) (5,000)		11/22/10 11/22/10 11/22/10	11/04/10 11/15/10 11/15/10	2,504.95 1,119.98 674.98		2,504.95 1,119.98 674.98		
Sub-Total:	(30,000)				4,299.91		4,299.91		
CALL DIAMOND OFFSHORE DUE 12/18/10 88,500 1287C0	(30,000)		12/20/10	11/29/10	2,249.96		2,249.96		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL DISCOVER FINANCIAL DUE 01/16/10 15.000 129631	(2.000)		01/19/10	01/06/10	69.99		69.99		
CALL DISCOVER FINANCIAL DUE 02/20/10 15.000 129631	(20.000)		02/22/10	01/19/10	799.98		799.98		
CALL DUN & BRADSTREET CO DUE 05/22/10 75.000 1342V2	(10.000)		05/24/10	05/11/10	1,749.97		1,749.97		
CALL DUN & BRADSTREET CO DUE 08/21/10 70.000 1342V2	(10.000)		08/23/10	07/20/10	1,049.98		1,049.98		
CALL DUN & BRADSTREET CO DUE 06/19/10 75.000 1342V2	(10.000)		06/21/10	05/24/10	799.98		799.98		
CALL EXELON CORP DUE 01/16/10 50.000 1425C1	(20.000)		01/19/10	12/31/09	799.97		799.97		
CALL EXELON CORP DUE 02/20/10 45.000 1425C1	(5.000)		02/22/10	02/08/10	124.99		124.99		
CALL EXELON CORP DUE 02/20/10 50.000 1425C1	(20.000)		02/22/10	01/19/10	899.98		899.98		
CALL EXELON CORP DUE 04/17/10 45.000 1425C1	(40.000)		04/19/10	03/22/10	2,399.96		2,399.96		
	(10.000)		04/19/10	03/25/10	149.99		149.99		
	(3.000)		04/19/10	04/09/10	29.99		29.99		
	(7.000)		04/19/10	04/12/10	174.99		174.99		
Sub-Total:	(60.000)				2,754.93		2,754.93		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL EXELON CORP DUE 05/22/10 45,000 1425C1	Expired	(80,000)		05/24/10	04/19/10	1,499.97		1,499.97		
CALL EXELON CORP DUE 08/21/10 42,500 1425C1	Expired	(100,000)		08/23/10	07/19/10	4,999.91		4,999.91		
CALL EXELON CORP DUE 03/20/10 45,000 1425C1	Expired	(10,000)		03/22/10	02/22/10	799.98		799.98		
	Expired	(25,000)		03/22/10	02/28/10	624.99		624.99		
	Expired	(5,000)		03/22/10	03/11/10	174.99		174.99		
	Sub-Total:	(40,000)				1,599.96		1,599.96		
CALL EXELON CORP DUE 05/22/10 42,500 1425C1	Expired	(15,000)		05/24/10	04/28/10	1,274.97		1,274.97		
	Expired	(10,000)		05/24/10	05/05/10	949.98		949.98		
	Expired	(15,000)		05/24/10	05/06/10	1,049.97		1,049.97		
	Sub-Total:	(40,000)				3,274.92		3,274.92		
CALL EXELON CORP DUE 07/17/10 42,500 1425C1	Expired	(100,000)		07/19/10	06/14/10	2,499.95		2,499.95		
CALL EXXON MOBIL CORP DUE 01/18/10 70,000 1482Q5	Expired	(20,000)		01/19/10	12/21/09	1,572.95		1,572.95		
CALL EXXON MOBIL CORP DUE 02/20/10 70,000 1482Q5	Expired	(25,000)		02/22/10	01/19/10	1,874.97		1,874.97		
CALL EXXON MOBIL CORP DUE 03/20/10 70,000 1462Q5	Expired	(25,000)		03/22/10	03/02/10	174.99		174.99		
CALL EXXON MOBIL CORP DUE 04/17/10 70,000 1462Q5	Expired	(25,000)		04/19/10	03/22/10	824.99		824.99		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL EXXON MOBIL CORP DUE 05/22/10 70.000 1462Q5	Expired	(25.000)		05/24/10	04/19/10	1,399.97		1,399.97		
CALL EXXON MOBIL CORP DUE 06/19/10 70.000 1462Q5	Expired	(5.000)		06/21/10	05/05/10	279.99		279.99		
CALL EXXON MOBIL CORP DUE 07/17/10 60.000 1462Q5	Expired	(5.000)		07/19/10	06/30/10	244.99		244.99		
	Expired	(3.000)		07/19/10	07/06/10	68.99		68.99		
	Expired	(47.000)		07/19/10	07/14/10	328.99		328.99		
	Sub-Total:	(55.000)				642.97		642.97		
CALL EXXON MOBIL CORP DUE 08/21/10 62.500 1462Q5	Expired	(55.000)		08/23/10	07/22/10	1,374.97		1,374.97		
CALL EXXON MOBIL CORP DUE 06/19/10 62.500 1462Q5	Shortcover	(25.000)		06/18/10	06/07/10	1,024.98	2,000.00	(975.02)		
CALL EXXON MOBIL CORP DUE 07/17/10 62.500 1462Q5	Shortcover	(25.000)		07/14/10	06/18/10	4,424.92	50.00	4,374.92		
	Shortcover	(20.000)		07/14/10	08/25/10	819.98	40.00	779.98		
	Shortcover	(2.000)		07/14/10	06/28/10	61.99	4.00	57.99		
	Sub-Total:	(47.000)				5,306.89	94.00	5,212.89		
CALL EXXON MOBIL CORP DUE 09/18/10 60.000 1462Q5	Shortcover	(55.000)		09/17/10	08/26/10	4,894.91	5,390.00	(495.09)		
CALL GAMESTOP CORP NEW DUE 01/16/10 22.500 1877J2	Expired	(15.000)		01/19/10	12/21/09	1,424.96		1,424.96		
	Expired	(15.000)		01/19/10	01/06/10	2,249.94		2,249.94		
	Sub-Total:	(30.000)				3,674.90		3,674.90		
CALL GAMESTOP CORP NEW DUE 01/16/10 21.000 1877J2	Expired	(35.000)		01/19/10	12/29/09	5,074.86		5,074.86		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL GAMESTOP CORP NEW DUE 02/20/10 21,000 1877J2	Expired	(85,000)		02/22/10	01/19/10	3,899.95		3,899.95		
CALL GANNETT CO DUE 11/20/10 13,000 170020	Expired	(30,000)		11/22/10	11/15/10	599.98		599.98		
CALL GOLDCORP INC NEW DUE 01/16/10 40,000 1862S8	Expired	(20,000)		01/19/10	01/06/10	5,119.88		5,119.88		
	Expired	(20,000)		01/19/10	01/13/10	1,759.97		1,759.97		
Sub-Total:		(40,000)				6,879.83		6,879.83		
CALL GOLDCORP INC NEW DUE 01/16/10 41,000 1862S8	Expired	(25,000)		01/19/10	12/23/09	2,474.93		2,474.93		
CALL GOLDCORP INC NEW DUE 02/20/10 40,000 1862S8	Expired	(40,000)		02/22/10	01/19/10	8,599.91		8,599.91		
CALL GOLDCORP INC NEW DUE 02/20/10 41,000 1862S8	Expired	(25,000)		02/22/10	01/19/10	2,899.96		2,899.96		
CALL GOLDCORP INC NEW DUE 04/17/10 39,000 1862S8	Expired	(65,000)		04/19/10	03/22/10	7,019.91		7,019.91		
CALL GOLDCORP INC NEW DUE 03/20/10 39,000 1862S8	Expired	(25,000)		03/22/10	02/26/10	2,124.97		2,124.97		
	Expired	(40,000)		03/22/10	03/01/10	2,999.96		2,999.96		
Sub-Total:		(65,000)				5,124.93		5,124.93		
CALL GOLDMAN SACHS GROUP DUE 11/26/10 160,000 1864H1	Expired	(10,000)		11/29/10	11/22/10	2,599.95		2,599.95		

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C12G119797-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL GOOGLE INC CLA DUE 03/20/10 560.000 1784G8	Expired	(2.000)		03/22/10	03/15/10	1,319.98		1,319.98		
CALL GOOGLE INC CLA DUE 04/17/10 560.000 1784G8	Expired	(2.000)		04/19/10	03/22/10	3,219.95		3,219.95		
CALL GOOGLE INC CLA DUE 05/22/10 560.000 1784G8	Expired	(2.000)		05/24/10	04/19/10	2,059.96		2,059.96		
CALL GOOGLE INC CLA DUE 05/22/10 500.000 1784G8	Expired	(1.000)		05/24/10	05/05/10	1,999.98		1,999.98		
CALL GOOGLE INC CLA DUE 05/22/10 520.000 1784G8	Expired	(1.000)		05/24/10	04/29/10	1,799.96		1,799.96		
CALL GOOGLE INC CLA DUE 05/22/10 510.000 1784G8	Expired	(1.000)		05/24/10	05/11/10	1,429.97		1,429.97		
CALL GOOGLE INC CLA DUE 05/22/10 550.000 1784G8	Expired	(1.000)		05/24/10	04/19/10	1,449.97		1,449.97		
CALL GOOGLE INC CLA DUE 05/22/10 530.000 1784G8	Expired	(1.000)		05/24/10	04/26/10	1,799.96		1,799.96		
CALL GOOGLE INC CLA DUE 06/19/10 520.000 1784G8	Shortcover	(7.000)		06/14/10	05/24/10	4,339.92	315.00	4,024.92		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL GOOGLE INC CL A DUE 08/19/10 510.000 1784G8	Expired	(7,000)		06/21/10	06/14/10	839.98		839.98		
CALL GOOGLE INC CL A DUE 07/17/10 470.000 1784G8	Expired	(8,000)		07/19/10	07/09/10	6,599.88		6,599.88		
	Expired	(2,000)		07/19/10	07/14/10	4,739.91		4,739.91		
	Expired	(4,000)		07/19/10	07/18/10	419.99		419.99		
	Sub-Total:	(12,000)				11,759.78		11,759.78		
CALL GOOGLE INC CL A DUE 07/17/10 480.000 1784G8	Shortcover	(4,000)		07/16/10	07/09/10	2,839.95	40.00	2,599.95		
CALL GOOGLE INC CL A DUE 07/17/10 500.000 1784G8	Shortcover	(2,000)		07/09/10	06/22/10	2,399.95	480.00	1,919.95		
	Shortcover	(2,000)		07/09/10	08/25/10	1,419.97	480.00	939.97		
	Sub-Total:	(4,000)				3,819.92	960.00	2,859.92		
CALL GOOGLE INC CL A DUE 07/09/10 480.000 1784G8	Shortcover	(6,000)		07/09/10	07/07/10	239.99	4,680.00	(4,440.01)		
CALL GOOGLE INC CL A DUE 07/23/10 470.000 1784G8	Shortcover	(12,000)		07/23/10	07/19/10	3,839.93	23,112.00	(19,272.07)		
CALL GOOGLE INC CL A DUE 08/21/10 470.000 1784G8	Expired	(12,000)		08/23/10	07/23/10	30,071.49		30,071.49		
CALL GOOGLE INC CL A DUE 08/27/10 470.000 1784G8	Expired	(12,000)		08/30/10	08/25/10	779.98		779.98		
CALL GOOGLE INC CL A DUE 09/03/10 480.000 1784G8	Shortcover	(12,000)		09/03/10	08/30/10	6,479.89	13,320.00	(6,840.11)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL GOOGLE INC CL A DUE 12/03/10 580,000 1764G8	Expired	(5,000)		12/08/10	11/29/10	4,049.93		4,049.93		
CALL GULF ISLAND FABRICA DUE 08/21/10 17,500 183148	Expired	(41,000)		08/23/10	07/30/10	2,889.95		2,889.95		
CALL HALLIBURTON CO DUE 05/22/10 30,000 198463	Expired	(15,000)		05/24/10	04/29/10	3,074.94		3,074.94		
CALL HALLIBURTON CO DUE 07/17/10 28,000 198463	Expired	(15,000)		07/19/10	06/21/10	1,439.97		1,439.97		
CALL HALLIBURTON CO DUE 08/19/10 29,000 198463	Expired	(15,000)		08/21/10	05/24/10	659.98		659.98		
CALL HESS CORP DUE 04/17/10 65,000 208BR9	Shortcover	(10,000)		03/31/10	03/29/10	289.99	500.00	(210.01)		
CALL ISHARES FTSEXINHUA DUE 12/18/10 44,000 214FE5	Expired Expired Expired	(25,000) (15,000) (10,000)		12/20/10 12/20/10 12/20/10	11/23/10 11/26/10 11/26/10	2,324.96 1,244.97 839.98		2,324.96 1,244.97 839.98		
	Sub-Total:	(50,000)				4,409.91		4,409.91		
CALL IVANHOE MINES LTD DUE 05/22/10 17,000 2365C5	Expired	(30,000)		05/24/10	04/20/10	4,199.92		4,199.92		
CALL IVANHOE MINES LTD DUE 05/22/10 18,000 2365C5	Expired Expired	(30,000) (80,000)		05/24/10 05/24/10	04/30/10 05/06/10	3,149.94 2,099.95		3,149.94 2,099.95		
	Sub-Total:	(90,000)				5,249.89		5,249.89		

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Short-Term Gain/Loss - continued

C12G119800-X

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL IVANHOE MINES LTD DUE 06/19/10 14.000 2385C5	Shortcover	(27.000)		06/18/10	08/01/10	2,159.96	2,160.00	(.04)		
CALL IVANHOE MINES LTD DUE 08/19/10 15.000 2365C5	Expired	(133.000)		06/21/10	06/10/10	1,994.95		1,994.95		
CALL IVANHOE MINES LTD DUE 07/17/10 14.000 2365C5	Shortcover	(27.000)		07/18/10	06/18/10	3,509.94	5,940.00	(2,430.06)		
CALL JOHNSON & JOHNSON C DUE 08/21/10 60.000 239801	Shortcover	(20.000)		08/20/10	07/20/10	899.98	20.00	879.98		
CALL JOHNSON & JOHNSON C DUE 12/18/10 62.500 239801	Expired	(25.000)		12/20/10	12/13/10	274.99		274.99		
CALL JPMORGAN CHASE & CO DUE 05/22/10 42.000 241JP2	Expired	(10.000)		05/24/10	05/12/10	609.98		609.98		
CALL JPMORGAN CHASE & CO DUE 05/22/10 43.000 241JP2	Expired	(20.000)		05/24/10	04/26/10	4,439.92		4,439.92		
	Expired	(10.000)		05/24/10	04/29/10	1,899.96		1,899.96		
	Expired	(10.000)		05/24/10	05/05/10	1,119.98		1,119.98		
	Sub-Total:	(40.000)				7,459.86		7,459.86		
CALL JPMORGAN CHASE & CO DUE 06/19/10 40.000 241JP2	Shortcover	(50.000)		06/18/10	08/15/10	249.99	50.00	199.99		
CALL JPMORGAN CHASE & CO DUE 08/21/10 40.000 241JP2	Expired	(5.000)		08/23/10	07/16/10	874.98		874.98		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL JPMORGAN CHASE & CO DUE 08/21/10 41.000 241JP2	Expired	(50.000)		08/23/10	07/20/10	2,899.95		2,899.95		
CALL JPMORGAN CHASE & CO DUE 10/16/10 38.000 241JP2	Shortcover	(30.000)		10/15/10	10/01/10	7,379.87	3,360.00	4,019.87		
CALL JPMORGAN CHASE & CO DUE 10/16/10 38.000 241JP2	Expired	(20.000)		10/18/10	09/27/10	3,999.93		3,999.93		
CALL JPMORGAN CHASE & CO DUE 11/20/10 38.000 241JP2	Shortcover Shortcover	(20.000) (20.000)		11/19/10 11/19/10	10/14/10 10/18/10	3,739.93 2,579.95	2,940.00 2,940.00	799.93 (360.05)		
	Sub-Total:	(40.000)				6,319.88	5,880.00	439.88		
CALL JPMORGAN CHASE & CO DUE 07/17/10 39.000 241JP2	Shortcover	(5.000)		07/16/10	06/30/10	259.99	205.00	54.99		
CALL JPMORGAN CHASE & CO DUE 07/17/10 40.000 241JP2	Expired	(50.000)		07/19/10	06/18/10	4,699.92		4,699.92		
CALL KNIGHT CAPITAL GROU DUE 04/17/10 15.000 241BB9	Expired Expired	(50.000) (50.000)		04/19/10 04/19/10	03/15/10 04/01/10	4,499.94 2,749.95		4,499.94 2,749.95		
	Sub-Total:	(100.000)				7,249.89		7,249.89		
CALL KNIGHT CAPITAL GROU DUE 05/22/10 15.000 241BB9	Expired	(100.000)		05/24/10	04/19/10	4,499.92		4,499.92		
CALL LAS VEGAS SANDS COR DUE 12/31/10 44.000 2562N1	Shortcover	(40.000)		12/31/10	12/30/10	2,839.95	6,360.00	(3,520.05)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL LILLY ELI & CO DUE 02/20/10 35.000 261025	Expired Expired	(30.000) (20.000)		02/22/10 02/22/10	02/09/10 02/10/10	1,409.98 319.99		1,409.98 319.99		
	Sub-Total:	(50.000)				1,729.97		1,729.97		
CALL LILLY ELI & CO DUE 11/20/10 35.000 261025	Expired Expired	(1.000) (24.000)		11/22/10 11/22/10	11/03/10 11/15/10	58.00 359.99		58.00 359.99		
	Sub-Total:	(25.000)				415.99		415.99		
CALL LORILLARD INC DUE 02/20/10 80.000 2627W5	Expired	(20.000)		02/22/10	01/19/10	1,499.98		1,499.98		
CALL LORILLARD INC DUE 01/16/10 80.000 2627W5	Expired	(15.000)		01/19/10	01/06/10	899.97		899.97		
CALL MCDONALDS CORP DUE 01/16/10 82.500 279878	Expired Expired	(15.000) (10.000)		01/19/10 01/19/10	01/04/10 01/06/10	1,769.95 539.98		1,769.95 539.98		
	Sub-Total:	(25.000)				2,309.93		2,309.93		
CALL MERCK & CO INC NEW DUE 04/17/10 38.000 2837Z7	Expired	(30.000)		04/19/10	04/12/10	2,999.94		2,999.94		
CALL MERCK & CO INC NEW DUE 05/22/10 38.000 2837Z7	Expired	(30.000)		05/24/10	04/19/10	2,639.95		2,639.95		
CALL MERCK & CO INC NEW DUE 05/22/10 34.000 2837Z7	Expired Expired	(30.000) (20.000)		05/24/10 05/24/10	04/28/10 05/12/10	5,999.89 799.98		5,999.89 799.98		
	Sub-Total:	(50.000)				6,799.87		6,799.87		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL MERCK & CO INC NEW DUE 08/19/10 35.000 2837Z7	Shortcover	(40.000)		08/18/10	05/28/10	799.98	2,880.00	(1,880.02)		
CALL MICROSOFT CORP DUE 07/17/10 25.000 270890	Expired	(40.000)		07/19/10	08/25/10	2,399.95		2,399.95		
CALL MONSANTO CO NEW DUE 04/17/10 65.000 2875H0	Expired	(8.000)		04/19/10	04/18/10	247.99		247.99		
CALL MONSANTO CO NEW DUE 04/17/10 70.000 2875H0	Expired	(10.000)		04/19/10	03/31/10	2,409.95		2,409.95		
	Expired	(10.000)		04/19/10	04/05/10	1,749.97		1,749.97		
	Expired	(5.000)		04/19/10	04/06/10	749.98		749.98		
	Sub-Total:	(25.000)				4,909.90		4,909.90		
CALL MONSANTO CO NEW DUE 05/22/10 70.000 2875H0	Expired	(33.000)		05/24/10	04/19/10	2,309.98		2,309.98		
CALL MONSANTO CO NEW DUE 05/22/10 65.000 2875H0	Expired	(7.000)		05/24/10	04/29/10	538.99		538.99		
CALL MONSANTO CO NEW DUE 06/19/10 55.000 2875H0	Expired	(40.000)		08/21/10	08/11/10	479.99		479.99		
CALL MONSANTO CO NEW DUE 07/17/10 55.000 2875H0	Shortcover	(40.000)		07/16/10	06/22/10	1,359.97	7,000.00	(5,640.03)		
CALL MONSANTO CO NEW DUE 08/21/10 52.500 2875H0	Shortcover	(20.000)		08/19/10	07/16/10	10,499.82	9,700.00	799.82		
	Shortcover	(20.000)		08/19/10	07/16/10	10,499.82	9,800.00	699.82		
	Sub-Total:	(40.000)				20,999.64	19,500.00	1,499.64		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL MORGAN STANLEY DUE 02/20/10 29,000 2906K9	(30,000)		02/22/10	01/21/10	4,769.93		4,769.93		
CALL MORGAN STANLEY DUE 04/17/10 30,000 2906K9	(20,000)		04/19/10	04/13/10	1,499.97		1,499.97		
CALL MORGAN STANLEY DUE 05/22/10 29,000 2906K9	(10,000)		05/24/10	04/19/10	1,259.97		1,259.97		
CALL MORGAN STANLEY DUE 05/22/10 30,000 2906K9	(10,000)		05/24/10	04/19/10	799.98		799.98		
CALL MORGAN STANLEY DUE 07/17/10 25,000 2906K9	(20,000)		07/19/10	07/12/10	439.99		439.99		
CALL MORGAN STANLEY DUE 07/17/10 27,000 2906K9	(20,000)		07/12/10	08/21/10	879.98	40.00	839.98		
CALL MORGAN STANLEY DUE 10/18/10 25,000 2906K9	(9,000)		10/18/10	09/17/10	1,700.97		1,700.97		
CALL MORGAN STANLEY DUE 08/21/10 27,000 2906K9	(20,000)		08/23/10	07/21/10	2,519.95		2,519.95		
CALL MORGAN STANLEY DUE 09/18/10 26,000 2906K9	(40,000)		09/17/10	08/27/10	1,119.98	2,040.00	(920.02)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL MORGAN STANLEY DUE 08/19/10 27.000 2906K9	Expired	(20.000)		08/21/10	08/11/10	359.99		359.99		
CALL NASH FINCH CO DUE 03/20/10 35.000 307777	Expired	(10.000)		03/22/10	02/26/10	1,349.98		1,349.98		
	Expired	(8.000)		03/22/10	03/04/10	159.99		159.99		
	Sub-Total:	(18.000)				1,509.97		1,509.97		
CALL NASH FINCH CO DUE 04/17/10 35.000 307777	Expired	(18.000)		04/19/10	03/22/10	1,079.98		1,079.98		
CALL NAVIOS MARITIME PAR DUE 06/19/10 17.500 271P48	Expired	(41.000)		06/21/10	05/28/10	614.98		614.98		
CALL NAVIOS MARITIME PAR DUE 07/17/10 17.500 271P48	Expired	(20.000)		07/19/10	07/13/10	199.99		199.99		
CALL NEW YORK CMNTY BANC DUE 05/22/10 16.000 327778	Expired	(30.000)		05/24/10	04/26/10	1,649.97		1,649.97		
CALL NEWMONT MINING CORP DUE 10/18/10 62.500 332607	Expired	(7.000)		10/18/10	10/14/10	538.98		538.98		
	Expired	(13.000)		10/18/10	10/14/10	974.98		974.98		
	Sub-Total:	(20.000)				1,513.96		1,513.96		
CALL NEWMONT MINING CORP DUE 12/18/10 60.000 332607	Expired	(15.000)		12/20/10	12/08/10	2,744.95		2,744.95		
CALL NEWMONT MINING CORP DUE 12/18/10 57.500 332607	Shortcover	(20.000)		12/17/10	11/22/10	6,347.89	3,700.00	2,647.89		
	Shortcover	(10.000)		12/17/10	11/26/10	2,199.96	1,850.00	349.96		
	Sub-Total:	(30.000)				8,547.85	5,550.00	2,997.85		

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C12G118806-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Quantity/ Face value	Activity Type	Original Cost Basis	Purchase date	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL NOVAGOLD RESOURCES DUE 12/18/10 14,000 339808	(52,000)	Expired		12/20/10	11/24/10	5,199.90		5,199.90		
CALL NOVAGOLD RESOURCES DUE 07/17/10 7,500 339808	(40,000) (50,000)	Expired Expired		07/19/10 07/19/10	08/15/10 08/30/10	999.98 749.98		999.98 749.98		
Sub-Total:	(90,000)					1,749.96		1,749.96		
CALL NTHN TRUST CORP DUE 05/22/10 55,000 342475	(20,000)	Expired		05/24/10	04/21/10	3,099.94		3,099.94		
CALL NTHN TRUST CORP DUE 07/17/10 50,000 342475	(20,000)	Expired		07/19/10	07/07/10	699.98		699.98		
CALL NTHN TRUST CORP DUE 10/16/10 50,000 342475	(20,000)	Expired		10/18/10	09/20/10	2,599.95		2,599.95		
CALL NTHN TRUST CORP DUE 08/21/10 50,000 342475	(20,000)	Expired		08/23/10	07/21/10	1,799.96		1,799.96		
CALL NTHN TRUST CORP DUE 06/19/10 55,000 342475	(20,000)	Expired		08/21/10	05/24/10	999.98		999.98		
CALL NTHN TRUST CORP DUE 09/18/10 50,000 342475	(20,000)	Expired		09/20/10	08/31/10	599.98		599.98		
CALL ON SEMICONDUCTOR CO DUE 05/22/10 8,000 3518L1	(100,000) (50,000)	Expired Expired		05/24/10 05/24/10	04/18/10 04/22/10	8,499.85 2,999.94		8,499.85 2,999.94		
Sub-Total:	(150,000)					11,499.79		11,499.79		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL ON SEMICONDUCTOR CO DUE 07/17/10 8,000 3518L1	Expired	(150,000)		07/19/10	06/16/10	1,499.97		1,499.97		
CALL PAN AMER SILVER COR DUE 02/20/10 22,000 360597	Expired	(50,000)		02/22/10	01/21/10	9,249.87		9,249.87		
CALL PETROLEO BRASILEIRO DUE 05/22/10 42,000 3696J6	Expired	(20,000)		05/24/10	04/30/10	3,599.93		3,599.93		
CALL PETROLEO BRASILEIRO DUE 07/17/10 38,000 3696J6	Expired	(30,000)		07/19/10	06/18/10	5,279.91		5,279.91		
CALL PETROLEO BRASILEIRO DUE 07/17/10 38,000 3696J8	Expired Expired	(3,000) (15,000)		07/19/10 07/19/10	07/13/10 07/14/10	125.99 329.99		125.99 329.99		
Sub-Total:		(18,000)				455.98		455.98		
CALL PETROLEO BRASILEIRO DUE 07/17/10 35,000 3696J8	Expired	(7,000)		07/19/10	07/18/10	27.99		27.99		
CALL PETROLEO BRASILEIRO DUE 08/21/10 38,000 3696J8	Expired	(55,000)		08/23/10	07/20/10	4,179.92		4,179.92		
CALL PETROLEO BRASILEIRO DUE 05/22/10 38,000 3696J8	Expired	(10,000)		05/24/10	05/11/10	1,329.97		1,329.97		
CALL PETROLEO BRASILEIRO DUE 06/19/10 38,000 3696J6	Shortcover	(30,000)		06/18/10	05/28/10	1,679.97	1,740.00	(60.03)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL PFIZER INC DUE 03/20/10 17.000 370011	Expired	(80.000)		03/22/10	03/02/10	4,679.94		4,679.94		
	Expired	(20.000)		03/22/10	03/10/10	819.98		819.98		
	Expired	(20.000)		03/22/10	03/11/10	659.99		659.99		
	Sub-Total:	(100.000)				6,159.91		6,159.91		
CALL PFIZER INC DUE 04/17/10 17.000 370011	Expired	(50.000)		04/19/10	03/22/10	2,149.97		2,149.97		
	Expired	(40.000)		04/19/10	04/05/10	799.98		799.98		
	Sub-Total:	(90.000)				2,949.95		2,949.95		
CALL PFIZER INC DUE 05/22/10 17.000 370011	Expired	(90.000)		05/24/10	04/23/10	2,789.95		2,789.95		
	Expired	(10.000)		05/24/10	05/12/10	189.99		189.99		
	Sub-Total:	(100.000)				2,979.94		2,979.94		
CALL PFIZER INC DUE 06/19/10 18.000 370011	Expired	(100.000)		06/21/10	06/11/10	599.98		599.98		
CALL PFIZER INC DUE 08/21/10 18.000 370011	Expired	(120.000)		08/23/10	07/27/10	1,319.97		1,319.97		
CALL PFIZER INC DUE 12/18/10 17.000 370011	Expired	(84.000)		12/20/10	11/23/10	1,511.97		1,511.97		
CALL PFIZER INC DUE 07/17/10 15.000 370011	Expired	(120.000)		07/19/10	07/08/10	719.98		719.98		
CALL PFIZER INC DUE 11/20/10 17.000 370011	Expired	(40.000)		11/22/10	10/19/10	2,959.94		2,959.94		
	Expired	(40.000)		11/22/10	10/28/10	2,359.96		2,359.96		
	Expired	(20.000)		11/22/10	11/05/10	699.98		699.98		
	Expired	(14.000)		11/22/10	11/15/10	139.99		139.99		
	Sub-Total:	(114.000)				6,159.87		6,159.87		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL PRUDENTIAL FINANCIA DUE 10/16/10 55.000 3853E2	Expired	(20.000)		10/18/10	09/22/10	4,939.91		4,939.91		
	Expired	(10.000)		10/18/10	09/27/10	2,129.96		2,129.96		
	Expired	(25.000)		10/18/10	09/30/10	2,999.94		2,999.94		
	Sub-Total:	(55.000)				10,069.81		10,069.81		
CALL PRUDENTIAL FINANCIA DUE 11/20/10 55.000 3853E2	Expired	(55.000)		11/22/10	10/18/10	10,174.82		10,174.82		
CALL RANGE RESOURCES COR DUE 08/21/10 35.000 3908G9	Expired	(20.000)		08/23/10	07/30/10	5,999.89		5,999.89		
	Expired	(4.000)		08/23/10	08/18/10	219.99		219.99		
	Sub-Total:	(24.000)				6,219.88		6,219.88		
CALL REGENCY ENERGY PART DUE 05/22/10 22.500 3908X9	Expired	(20.000)		05/24/10	04/12/10	1,399.97		1,399.97		
	Expired	(29.000)		05/24/10	04/28/10	1,014.98		1,014.98		
	Expired	(1.000)		05/24/10	04/28/10	39.99		39.99		
	Sub-Total:	(50.000)				2,454.94		2,454.94		
CALL SAFEWAY INC DUE 08/21/10 20.000 416371	Expired	(60.000)		08/23/10	07/29/10	3,599.92		3,599.92		
	Expired	(24.000)		08/23/10	08/04/10	1,919.96		1,919.96		
	Sub-Total:	(84.000)				5,519.88		5,519.88		
CALL SPRINT NEXTEL CORP DUE 02/20/10 3.500 457639	Expired	(100.000)		02/22/10	01/15/10	3,999.94		3,999.94		
	Expired	(60.000)		02/22/10	01/19/10	2,039.97		2,039.97		
	Expired	(40.000)		02/22/10	01/19/10	1,319.98		1,319.98		
	Sub-Total:	(200.000)				7,359.89		7,359.89		
CALL STATE STREET CORP DUE 05/22/10 43.000 4406Q0	Expired	(5.000)		05/24/10	05/12/10	399.99		399.99		
CALL STATE STREET CORP DUE 05/22/10 44.000 4406Q0	Expired	(20.000)		05/24/10	04/21/10	2,899.95		2,899.95		

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Short-Term Gain/Loss - continued

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This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL STATE STREET CORP DUE 08/21/10 39.000 4406Q0	(45.000)		08/23/10	07/19/10	3,374.94		3,374.94		
CALL STATE STREET CORP DUE 06/19/10 40.000 4406Q0	(17.000)		06/21/10	06/11/10	67.99		67.99		
CALL STATE STREET CORP DUE 08/19/10 39.000 4406Q0	(8.000)		08/21/10	06/15/10	111.99		111.99		
CALL STATE STREET CORP DUE 07/17/10 39.000 4406Q0	(45.000)		07/19/10	07/07/10	809.98		809.98		
CALL STERICYCLE INC DUE 01/16/10 55.000 4147B7	(20.000) (10.000)		01/19/10 01/19/10	12/28/09 01/06/10	2,599.93 549.98		2,599.93 549.98		
	Sub-Total:	(30.000)			3,149.91		3,149.91		
CALL SUNTRUST BANKS INC DUE 10/16/10 25.000 409278	(30.000) (30.000)		10/18/10 10/18/10	10/14/10 10/14/10	1,739.97 2,939.95		1,739.97 2,939.95		
	Sub-Total:	(60.000)			4,679.92		4,679.92		
CALL SUNTRUST BANKS INC DUE 11/20/10 25.000 409278	(30.000) (30.000)		11/22/10 11/22/10	10/18/10 10/18/10	3,929.93 3,899.93		3,929.93 3,899.93		
	Sub-Total:	(60.000)			7,829.86		7,829.86		
CALL TARGET CORP DUE 08/21/10 52.500 462152	(30.000)		08/23/10	08/11/10	2,819.95		2,819.95		
CALL THERMO FISHER SCIEN DUE 08/21/10 45.000 456021	(30.000)		08/23/10	07/29/10	3,299.94		3,299.94		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL TRAVELERS COS INC/T DUE 05/22/10 50.000 462A87	Expired	(19.000)		05/24/10	04/27/10	3,229.94		3,229.94		
	Expired	(1.000)		05/24/10	04/27/10	174.99		174.99		
	Expired	(15.000)		05/24/10	04/29/10	2,549.95		2,549.95		
Sub-Total:		(35.000)				5,954.88		5,954.88		
CALL TRAVELERS COS INC/T DUE 01/18/10 50.000 462A87	Expired	(50.000)		01/19/10	12/21/09	2,999.92		2,999.92		
CALL UNITEDHEALTH GROUP DUE 04/17/10 31.000 4781V3	Expired	(30.000)		04/19/10	04/09/10	2,909.95		2,909.95		
CALL VERIZON COMMUNICATI DUE 11/20/10 33.000 492BA3	Expired	(40.000)		11/22/10	11/05/10	1,959.98		1,959.98		
	Expired	(40.000)		11/22/10	11/05/10	1,999.98		1,999.98		
Sub-Total:		(80.000)				3,959.92		3,959.92		
CALL VODAFONE GROUP PLC DUE 01/18/10 22.500 4953X9	Expired	(40.000)		01/19/10	01/06/10	2,399.93		2,399.93		
	Expired	(20.000)		01/19/10	01/08/10	299.99		299.99		
Sub-Total:		(60.000)				2,699.92		2,699.92		
CALL VODAFONE GROUP PLC DUE 02/20/10 22.500 4953X9	Expired	(60.000)		02/22/10	01/19/10	3,899.95		3,899.95		
CALL VODAFONE GROUP PLC DUE 03/20/10 22.500 4953X9	Expired	(10.000)		03/22/10	03/02/10	150.00		150.00		
CALL VODAFONE GROUP PLC DUE 05/22/10 22.500 4953X9	Expired	(20.000)		05/24/10	04/22/10	1,599.97		1,599.97		
	Expired	(40.000)		05/24/10	04/27/10	1,799.98		1,799.98		
	Expired	(40.000)		05/24/10	04/27/10	1,599.97		1,599.97		
Sub-Total:		(100.000)				4,999.90		4,999.90		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL VODAFONE GROUP PLC DUE 07/17/10 22.500 4953X9	Shortcover	(100.000)		07/15/10	06/15/10	1,999.96	1,500.00	499.96		
CALL W&T OFFSHORE INC DUE 05/22/10 10.000 4935K0	Expired	(40.000)		05/24/10	05/11/10	1,399.97		1,399.97		
	Expired	(40.000)		05/24/10	05/11/10	1,599.97		1,599.97		
	Sub-Total:	(80.000)				2,999.94		2,999.94		
CALL W&T OFFSHORE INC DUE 08/21/10 10.000 4935K0	Expired	(50.000)		08/23/10	07/13/10	1,499.97		1,499.97		
CALL WALT DISNEY CO (HOL DUE 01/16/10 31.000 129488	Expired	(40.000)		01/19/10	01/04/10	4,999.87		4,999.87		
CALL WAL MART STORES INC DUE 04/17/10 55.000 496197	Expired	(20.000)		04/19/10	04/09/10	1,019.98		1,019.98		
	Expired	(10.000)		04/19/10	04/09/10	449.99		449.99		
	Expired	(10.000)		04/19/10	04/12/10	259.99		259.99		
	Expired	(9.000)		04/19/10	04/15/10	35.99		35.99		
	Sub-Total:	(49.000)				1,765.95		1,765.95		
CALL WAL MART STORES INC DUE 05/22/10 55.000 496197	Expired	(49.000)		05/24/10	04/19/10	2,743.95		2,743.95		
	Expired	(6.000)		05/24/10	04/27/10	257.99		257.99		
	Sub-Total:	(55.000)				3,001.94		3,001.94		
CALL WAL MART STORES INC DUE 05/22/10 52.500 496197	Expired	(10.000)		05/24/10	04/28/10	1,549.97		1,549.97		
CALL WAL MART STORES INC DUE 06/19/10 52.500 496197	Expired	(65.000)		06/21/10	05/28/10	1,429.97		1,429.97		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL WAL MART STORES INC DUE 07/17/10 52.500 496197	Expired	(65.000)		07/19/10	06/21/10	2,144.96		2,144.96		
CALL WELLS FARGO & CO NE DUE 10/18/10 25.000 4971H5	Expired	(40.000)		10/18/10	10/11/10	3,559.93		3,559.93		
CALL WELLS FARGO & CO NE DUE 10/18/10 24.000 4971H5	Expired Expired	(17.000) (83.000)		10/18/10 10/18/10	10/14/10 10/14/10	1,155.98 5,560.90		1,155.98 5,560.90		
	Sub-Total:	(100.000)				6,716.88		6,716.88		
CALL XL CAPITAL LTD CL A DUE 01/16/10 17.500 5167C2	Expired Expired	(28.000) (22.000)		01/19/10 01/19/10	12/24/09 01/06/10	4,339.88 2,309.94		4,339.88 2,309.94		
	Sub-Total:	(50.000)				6,649.82		6,649.82		
CALL YUM! BRANDS INC DUE 02/20/10 34.000 5175J8	Expired	(30.000)		02/22/10	01/04/10	5,399.86		5,399.86		
CAPITAL ONE FINCL CORP	Trade Trade Trade Trade Trade	2,000.000 3,000.000 2,000.000 3,000.000 2,000.000		10/13/10 10/15/10 11/03/10 11/22/10 11/23/10	11/19/10 12/17/10 12/17/10 12/17/10 12/17/10	76,098.71 113,674.06 75,782.70 116,998.01 77,658.98	81,200.00 112,169.96 75,020.00 111,390.00 73,339.85	(5,101.29) 1,504.10 762.70 5,608.01 4,318.83		
	Sub-Total:	12,000.000				460,212.16	453,119.81	7,092.35		
CATERPILLAR INC	Trade	2,000.000		02/10/10	02/19/10	108,518.61	106,720.00	1,798.61		
CENTRAIS ELETRICAS BRAS SA SPON ADR REP 50 COM (ELETROBRAS)	Trade Trade Trade Trade Trade Trade Trade	8,000.000 1,700.000 300.000 2,400.000 2,600.000 2,000.000 1,600.000		02/17/10 02/22/10 02/23/10 02/23/10 02/25/10 03/25/10 03/25/10	03/19/10 03/19/10 03/19/10 03/19/10 03/19/10 06/18/10 06/18/10	114,675.86 24,368.61 4,300.34 34,402.75 37,269.66 28,179.52 22,541.61	109,280.00 22,593.00 3,969.00 31,775.52 32,630.00 28,840.00 22,912.00	5,395.86 1,775.61 331.34 2,627.23 4,639.66 (460.48) (370.39)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CENTRAIS ELETRICAS BRAS SA SPON ADR REP 50 COM (ELETROBRAS)	Trade	1,400,000		03/25/10	06/18/10	19,823.66	20,048.00	(224.34)		
	Trade	2,400,000		04/08/10	06/18/10	34,127.41	35,112.00	(984.59)		
	Trade	200,000		04/08/10	06/18/10	2,831.95	2,924.00	(92.05)		
	Trade	2,000,000		04/08/10	06/18/10	28,419.51	29,260.00	(840.49)		
	Trade	400,000		04/08/10	06/18/10	5,663.90	5,852.00	(188.10)		
Sub-Total:	Trade	1,600,000		04/08/10	06/18/10	22,751.81	22,991.81	(240.00)		
	Trade	3,400,000		04/16/10	08/18/10	47,429.18	48,857.17	(1,427.99)		
	Sub-Total:	30,000,000				426,785.57	416,844.30	9,941.27		
CHESAPEAKE ENERGY CORP OKLA	Trade	3,000,000		03/04/10	10/15/10	85,220.67	78,479.70	(13,259.03)		
	Trade	500,000		03/08/10	10/15/10	11,253.07	12,820.00	(1,566.93)		
	Trade	2,000,000		03/08/10	10/15/10	43,480.45	51,280.00	(7,799.55)		
	Trade	3,000,000		03/12/10	10/15/10	87,518.49	76,707.30	(9,188.81)		
	Trade	1,500,000		03/16/10	10/15/10	33,759.24	38,055.00	(4,295.76)		
	Trade	2,500,000		03/22/10	10/15/10	58,265.42	58,417.50	(2,152.08)		
	Trade	2,500,000		08/25/10	10/15/10	56,265.42	56,750.00	(484.58)		
	Trade	2,500,000		08/30/10	10/15/10	56,265.42	53,173.00	3,092.42		
	Trade	2,500,000		07/27/10	10/15/10	56,265.42	53,824.28	2,441.14		
	Trade	1,400,000		08/10/10	10/15/10	31,508.63	29,341.20	2,167.43		
	Trade	500,000		09/15/10	10/15/10	11,253.07	10,649.75	603.32		
	Trade	3,100,000		09/22/10	10/15/10	89,769.11	65,161.21	4,607.90		
Sub-Total:	Trade	4,000,000		11/04/10	11/19/10	88,878.50	87,880.00	798.50		
	Trade	3,000,000		11/23/10	12/17/10	66,538.87	65,398.75	1,140.12		
	Sub-Total:	32,000,000				714,041.78	737,937.69	(23,895.91)		
CHEVRON CORP	Trade	500,000		01/22/10	02/11/10	35,919.53	37,930.00	(2,010.47)		
	Trade	1,000,000		01/22/10	02/19/10	71,219.07	75,860.00	(4,640.93)		
	Trade	500,000		02/08/10	02/19/10	35,609.52	35,484.95	124.57		
	Trade	1,500,000		02/12/10	04/16/10	114,043.07	105,750.00	8,293.07		
	Sub-Total:	3,500,000				256,791.19	255,024.95	1,766.24		
CHINA MEDICAL TECHNOLOGIES INC SPON ADR	Trade	1,000,000		10/19/09	03/19/10	13,349.82	17,710.00	(4,360.18)		
	Trade	2,000,000		11/04/09	03/19/10	26,699.64	31,539.80	(4,840.16)		
	Trade	2,000,000		11/05/09	09/17/10	22,899.61	30,616.10	(7,916.49)		
	Trade	3,000,000		03/04/10	09/17/10	34,049.41	39,369.00	(5,319.59)		
	Sub-Total:	8,000,000				96,798.48	119,234.90	(22,436.42)		
CIRRUS LOGIC INC	Trade	3,000,000		07/01/10	07/16/10	47,399.18	44,400.00	2,999.18		

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Short-Term Gain/Loss - continued

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This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CIRRUS LOGIC INC	Trade	2,000,000		08/30/10	09/18/10	32,699.45	31,739.80	959.65		
	Trade	1,000,000		08/30/10	09/17/10	15,934.60	15,869.90	64.70		
	Trade	5,000,000		08/31/10	09/17/10	79,673.05	76,946.00	2,727.05		
	Trade	1,800,000		09/10/10	09/17/10	28,682.29	27,342.00	1,340.29		
	Trade	800,000		09/10/10	09/17/10	12,747.68	12,136.00	611.68		
	Trade	2,500,000		10/06/10	10/15/10	40,999.30	41,524.73	(525.43)		
	Trade	2,500,000		10/07/10	10/15/10	40,999.30	39,600.00	1,399.30		
	Trade	1,000,000		10/20/10	11/19/10	13,399.75	15,860.00	(2,460.25)		
	Trade	2,000,000		10/20/10	11/19/10	26,099.55	31,720.00	(5,620.45)		
	Trade	2,000,000		10/20/10	11/19/10	26,799.52	31,740.00	(4,940.48)		
	Trade	2,000,000		10/21/10	12/17/10	29,499.49	27,960.00	1,539.49		
	Trade	3,000,000		11/01/10	12/17/10	44,249.24	37,560.00	6,689.24		
	Trade	2,000,000		11/03/10	12/17/10	29,499.49	25,419.80	4,079.69		
	Sub-Total:	30,600,000				468,681.89	459,818.23	8,863.66		
CISCO SYSTEMS INC	Trade	3,000,000		07/21/10	08/20/10	69,238.82	67,245.00	1,993.82		
	Trade	5,000,000		12/03/10	12/17/10	97,048.35	95,699.50	1,348.85		
	Sub-Total:	8,000,000				166,287.17	162,944.50	3,342.67		
CIT GROUP INC	Trade	3,000,000		07/30/10	08/20/10	110,488.12	108,030.00	2,458.12		
	Trade	1,000,000		07/30/10	09/17/10	38,429.34	36,010.00	2,419.34		
	Sub-Total:	4,000,000				148,917.46	144,040.00	4,877.46		
CITIGROUP INC	Trade	2,500,000		12/31/09	02/18/10	8,599.87	8,350.00	249.87		
	Trade	7,500,000		12/31/09	02/19/10	25,799.62	25,050.00	749.62		
	Trade	10,000,000		03/15/10	04/16/10	42,049.28	39,000.00	3,049.28		
	Trade	10,000,000		03/29/10	04/16/10	42,049.28	41,600.00	449.28		
	Trade	10,000,000		05/07/10	07/22/10	40,699.31	40,700.00	(.69)		
	Trade	700,000		05/07/10	07/22/10	2,848.95	2,877.00	(28.05)		
	Trade	5,000,000		05/07/10	07/22/10	20,349.65	20,050.00	299.65		
	Trade	9,300,000		05/07/10	07/23/10	37,850.34	38,223.00	(372.66)		
	Trade	700,000		08/08/10	07/23/10	2,848.94	2,578.00	272.94		
	Trade	10,000,000		09/22/10	10/22/10	41,099.29	38,800.00	2,299.29		
	Trade	10,000,000		09/22/10	10/22/10	41,099.29	38,900.00	2,199.29		
	Trade	10,000,000		09/23/10	10/22/10	41,099.29	38,400.00	2,699.29		
	Trade	10,000,000		10/14/10	10/22/10	41,099.29	40,300.00	799.29		
	Trade	10,000,000		10/14/10	10/22/10	41,099.29	41,200.00	(100.71)		
	Trade	10,000,000		10/19/10	10/22/10	41,099.29	41,200.00	(100.71)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CITIGROUP INC	Trade	10,000,000		10/26/10	11/19/10	42,298.28	41,800.00	498.28		
	Trade	400,000		11/17/10	12/13/10	1,715.97	1,672.00	43.97		
	Trade	14,600,000		11/17/10	12/17/10	82,832.93	81,028.00	1,604.93		
	Sub-Total:	140,700,000				576,339.16	561,726.00	14,613.16		
COCA COLA CO COM	Trade	2,000,000		01/04/10	01/15/10	113,253.51	113,983.78	(730.27)		
	Trade	1,000,000		01/05/10	01/15/10	56,626.75	56,198.00	428.75		
	Trade	1,000,000		01/08/10	01/15/10	56,828.75	54,949.90	1,878.85		
	Trade	2,000,000		01/21/10	02/19/10	111,838.57	109,795.00	2,043.57		
	Trade	2,000,000		02/25/10	03/10/10	107,078.63	105,859.80	1,218.83		
	Trade	2,000,000		03/18/10	04/18/10	108,498.16	107,300.00	1,198.16		
	Trade	2,000,000		04/07/10	04/19/10	110,100.13	107,840.00	2,260.13		
	Trade	2,000,000		11/23/10	12/17/10	127,433.83	128,800.00	633.83		
	Trade	3,000,000		11/29/10	12/17/10	191,150.75	189,239.70	1,911.05		
	Sub-Total:	17,000,000				982,607.08	971,966.18	10,640.90		
COLGATE PALMOLIVE CO	Trade	400,000		01/04/10	02/19/10	32,679.56	32,816.00	(136.44)		
	Trade	1,000,000		01/04/10	02/19/10	81,698.94	82,200.00	(501.06)		
	Trade	1,000,000		01/04/10	02/19/10	81,698.94	82,030.00	(331.06)		
	Sub-Total:	2,400,000				196,077.44	197,046.00	(968.56)		
COMCAST CORP NEW CL A	Trade	3,000,000		12/28/09	01/15/10	51,299.29	51,359.70	(60.41)		
	Trade	3,000,000		12/31/09	01/15/10	51,299.29	50,880.00	419.29		
	Trade	2,000,000		01/21/10	02/19/10	31,079.59	32,898.00	(1,818.41)		
	Trade	2,000,000		01/21/10	02/22/10	32,453.59	32,898.00	(444.41)		
	Trade	2,000,000		02/08/10	02/22/10	32,453.59	30,679.80	1,773.79		
	Sub-Total:	12,000,000				198,585.35	198,715.50	(130.15)		
COMMONWEALTH REIT SBI	Trade	3,400,000		11/23/10	11/24/10	85,658.74	84,995.75	662.99		
	Trade	97,000		11/23/10	11/26/10	2,459.87	2,424.88	34.99		
	Sub-Total:	3,497,000				88,118.61	87,420.63	697.98		
CONOCOPHILLIPS	Trade	1,500,000		12/29/09	01/15/10	77,143.98	76,257.50	886.48		
	Trade	500,000		01/22/10	02/17/10	24,244.69	25,750.00	(1,505.31)		
	Trade	1,000,000		01/22/10	03/19/10	48,249.38	51,500.00	(3,250.62)		
	Trade	500,000		01/22/10	03/19/10	25,299.67	25,750.00	(450.33)		
	Trade	500,000		02/08/10	03/19/10	25,299.67	23,830.00	1,469.67		
	Trade	1,000,000		02/25/10	03/19/10	50,599.36	47,167.00	3,432.36		

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Short-Term Gain/Loss - continued

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This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CONOCOPHILLIPS	Trade	1,500,000		06/30/10	07/16/10	77,143.69	74,881.00	2,262.69		
	Trade	2,000,000		10/19/10	11/19/10	120,969.94	119,270.00	1,699.94		
	Trade	2,000,000		10/19/10	11/19/10	120,969.94	121,159.80	(189.86)		
	Trade	1,000,000		11/03/10	11/19/10	60,484.97	59,040.00	1,444.97		
	Sub-Total:	11,500,000				630,405.29	624,605.30	5,799.99		
COSTCO WHOLESALE CORP	Trade	2,000,000		07/16/10	08/20/10	112,151.92	110,190.00	1,961.92		
	Trade	600,000		07/19/10	08/20/10	33,845.58	32,807.64	837.94		
	Trade	1,400,000		07/19/10	08/25/10	77,768.68	76,551.16	1,217.52		
	Trade	600,000		08/24/10	08/25/10	33,329.44	32,644.50	684.94		
	Sub-Total:	4,600,000				256,895.62	252,193.30	4,702.32		
CPFL ENERGIA SA ADS BRL	Trade	1,000,000		04/16/10	04/23/10	61,418.96	61,150.00	268.96		
	Trade	1,000,000		04/21/10	04/23/10	61,418.96	60,820.00	598.96		
	Trade	1,000,000		05/06/10	05/07/10	59,398.99	58,760.00	638.99		
	Sub-Total:	3,000,000				182,236.91	180,730.00	1,506.91		
CREE INC	Trade	2,000,000		01/19/10	02/19/10	111,198.58	108,499.20	2,699.38		
	Trade	1,000,000		08/11/10	10/15/10	52,699.11	60,270.00	(7,570.89)		
	Trade	1,000,000		08/11/10	10/15/10	52,599.10	60,270.00	(7,670.90)		
	Trade	300,000		09/09/10	10/15/10	15,809.73	14,681.85	1,127.88		
	Trade	700,000		09/21/10	10/15/10	36,889.37	35,189.00	1,700.37		
CVS CAREMARK CORP	Trade	1,000,000		09/24/10	10/15/10	54,379.07	52,020.00	2,359.07		
	Trade	2,000,000		10/07/10	10/15/10	108,758.14	103,219.80	5,538.34		
	Sub-Total:	8,000,000				432,333.10	434,149.85	(1,816.75)		
	Trade	300,000		12/16/09	01/15/10	9,871.22	9,452.10	419.12		
	Trade	3,000,000		12/31/09	01/15/10	98,712.33	97,320.00	1,392.33		
DAIMLERCHRYSLER GLOBAL 08.000% 061510 DTD080800 FC121500 NTS	Trade	3,000,000		08/31/10	09/17/10	83,278.58	81,510.00	1,768.58		
	Trade	2,000,000		10/13/10	10/15/10	62,758.93	62,310.00	448.93		
	Sub-Total:	8,300,000				254,621.06	250,592.10	4,028.96		
	Trade	44,000,000		08/10/09	01/14/10	45,100.00	45,980.00	(880.00)		
	Trade	1,500,000		01/13/10	01/15/10	155,323.02	155,010.00	313.02		
DIAMOND OFFSHORE DRILLING INC	Trade	1,000,000		02/11/10	02/19/10	86,998.89	85,250.00	1,748.89		
	Sub-Total:	2,500,000				242,321.91	240,260.00	2,061.91		
	Trade	1,500,000		01/13/10	01/15/10	155,323.02	155,010.00	313.02		
	Trade	1,000,000		02/11/10	02/19/10	86,998.89	85,250.00	1,748.89		
	Sub-Total:	2,500,000				242,321.91	240,260.00	2,061.91		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
DISCOVER FINANCIAL SERVICES	Trade	3,000,000		12/28/09	01/15/10	44,827.94	44,861.26	166.68		
	Trade	1,900,000		01/04/10	01/15/10	28,391.03	27,607.00	784.03		
	Trade	185,000		01/04/10	02/19/10	2,478.96	2,888.05	(209.09)		
	Trade	15,000		01/06/10	02/19/10	200.99	224.36	(23.37)		
	Trade	1,800,000		01/19/10	02/19/10	24,119.88	26,526.80	(2,406.92)		
	Trade	1,000,000		02/08/10	02/19/10	13,399.81	12,763.00	636.81		
	Trade	2,000,000		02/08/10	03/19/10	28,899.62	25,526.00	3,373.62		
	Sub-Total:	9,900,000				142,318.03	139,996.27	2,321.76		
DOW CHEMICAL CO NTS B/E 04.900% 031510 DTD030603 FC091503	Redemption	20,000,000		08/03/09	03/15/10	20,000.00	20,263.00	(263.00)		Y
DUN & BRADSTREET CORP NEW	Trade	800,000		02/18/10	02/19/10	56,599.27	56,080.00	519.27		Y
	Trade	500,000		02/25/10	03/01/10	35,164.60	35,057.75	106.85		Y
	Trade	500,000		02/25/10	03/02/10	35,302.88	35,057.75	245.13		Y
	Trade	100,000		02/26/10	03/02/10	7,060.58	6,999.00	61.58		Y
	Trade	400,000		02/26/10	05/21/10	29,059.51	27,996.00	1,063.51		
	Trade	1,000,000		03/02/10	05/21/10	72,648.76	69,980.00	2,668.76		Y
	Trade	400,000		05/11/10	08/09/10	27,391.53	30,400.00	(3,008.47)		Y
	Sub-Total:	4,300,000		05/11/10	09/10/10	41,057.30	45,800.00	(4,542.70)		
						304,284.43	307,170.50	(2,886.07)		
EBAY INC	Trade	3,000,000		02/09/10	02/19/10	68,249.13	67,320.00	929.13		
EMC CORP MASS	Trade	4,000,000		12/31/09	01/15/10	71,547.62	70,280.00	1,267.62		
	Trade	3,000,000		01/06/10	01/15/10	53,660.71	53,069.70	591.01		
	Trade	5,000,000		03/29/10	04/16/10	80,298.47	89,799.60	(9,501.13)		
	Sub-Total:	12,000,000				215,506.80	213,149.30	2,357.50		
ENSCO INTL LTD SPON ADR	Trade	700,000		08/30/10	09/14/10	29,399.50	28,966.00	433.50		
	Trade	1,800,000		08/30/10	09/17/10	75,598.71	74,484.00	1,114.71		
	Sub-Total:	2,500,000				104,998.21	103,450.00	1,548.21		
EOG RESOURCES INC	Trade	1,000,000		11/03/10	11/19/10	87,448.51	86,300.00	1,148.51		
	Trade	500,000		11/04/10	11/19/10	44,599.24	43,940.00	659.24		
	Sub-Total:	1,500,000				132,047.75	130,240.00	1,807.75		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ESSEX PROPERTY TRUST INC	Trade	33,000		10/06/10	10/06/10	3,605.84	3,591.39	14.45		Y
	Trade	900,000		10/06/10	11/19/10	100,618.29	97,947.00	2,671.29		
	Sub-Total:	933,000				104,224.13	101,538.39	2,685.74		
EV ENERGY PARTNERS LP UNITS REPSTG LTD PARTNER INTS MLP	Trade	3,000,000		02/23/10	03/19/10	93,078.80	90,060.00	3,018.80		
EXELON CORP	Trade	2,000,000		12/31/09	09/17/10	84,318.56	98,738.00	(14,419.44)		
	Trade	500,000		02/08/10	09/17/10	21,079.64	22,065.00	(985.36)		
	Trade	1,000,000		02/25/10	09/17/10	42,159.28	43,210.00	(1,050.72)		
	Trade	500,000		03/11/10	09/17/10	21,079.64	22,408.50	(1,328.86)		
	Trade	1,000,000		03/25/10	09/17/10	42,159.28	43,228.10	(1,068.82)		
	Trade	1,000,000		04/09/10	09/17/10	42,159.28	44,079.90	(1,920.62)		
	Trade	1,500,000		04/28/10	09/17/10	63,238.93	64,257.87	(1,018.74)		
	Trade	1,000,000		05/05/10	09/17/10	42,159.28	43,000.00	(840.72)		
	Trade	500,000		05/06/10	09/17/10	21,079.64	21,344.25	(264.61)		
	Trade	1,000,000		05/06/10	09/17/10	42,159.28	42,720.00	(560.72)		
	Sub-Total:	10,000,000				421,592.81	445,051.42	(23,458.61)		
EXPRESS SCRIPTS INC	Trade	900,000		08/30/10	09/17/10	41,066.30	40,050.00	1,016.30		
	Trade	1,500,000		08/30/10	09/17/10	66,553.87	66,750.00	(196.13)		
	Trade	100,000		08/30/10	10/15/10	4,579.91	4,450.00	129.91		
	Trade	1,500,000		09/03/10	10/15/10	68,698.83	65,864.25	2,834.58		
	Sub-Total:	4,000,000				180,898.91	177,114.25	3,784.66		
EXXON MOBIL CORP	Trade	1,000,000		12/10/09	02/19/10	65,699.16	72,370.00	(6,670.84)		
	Trade	1,000,000		12/14/09	10/15/10	61,808.95	69,868.50	(8,059.55)		
	Trade	500,000		01/19/10	10/15/10	30,904.46	34,339.25	(3,434.79)		
	Trade	1,000,000		02/10/10	10/15/10	61,808.95	64,530.00	(2,721.05)		
	Trade	500,000		05/05/10	10/15/10	30,904.46	33,005.00	(2,100.54)		
	Trade	2,000,000		06/25/10	10/15/10	123,617.90	118,736.40	4,881.50		
	Trade	500,000		06/30/10	10/15/10	30,904.46	28,570.00	2,334.46		
	Sub-Total:	6,500,000				405,648.34	421,419.15	(15,770.81)		
FIRST TRUST SENIOR FLOATING RATE INCOME FUND II	Trade	700,000		06/30/10	07/13/10	8,938.84	8,750.00	188.84		Y
	Trade	4,600,000		06/30/10	07/14/10	58,787.00	57,500.00	1,287.00		Y
	Trade	3,000,000		06/30/10	07/14/10	38,339.46	37,500.00	839.46		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FIRST TRUST SENIOR FLOATING RATE INCOME FUND II	Trade	2,400,000		06/30/10	07/20/10	30,527.48	30,000.00	527.48		Y
	Trade	41,000		07/15/10	07/29/10	533.42	525.58	7.84		Y
	Trade	9,400,000		10/13/10	11/01/10	125,210.17	123,516.00	1,694.17		Y
	Sub-Total:	20,141,000				262,336.37	257,791.58	4,544.79		
FORD MOTOR CO COM NEW	Trade	5,000,000		09/15/10	10/15/10	61,898.94	59,100.00	2,798.94		
FOSTER WHEELER AG CHF	Trade	1,000,000		12/31/09	01/08/10	29,999.22	29,890.00	309.22		
	Trade	1,000,000		12/31/09	01/08/10	29,999.22	29,749.87	249.55		
	Trade	2,000,000		12/31/09	01/15/10	59,999.17	59,499.33	499.84		
	Sub-Total:	4,000,000				119,997.61	118,939.00	1,058.61		
FRANCHISE FIN CORP NTS 08.750% 101510 DTD092100 FC041501 B/E MW + 35BP	Trade	100,000,000		08/04/09	01/14/10	105,725.00	107,558.00	(1,833.00)		Y
FREEPORT-MCMORAN COPPER & GOLD INC	Trade	1,000,000		01/05/10	01/15/10	84,498.86	83,550.00	948.86		
GAMESTOP CORP NEW (HOLDING CO) CL A	Trade	1,500,000		12/04/09	03/19/10	28,834.24	31,693.80	(2,859.56)		
	Trade	3,500,000		12/29/09	03/19/10	67,279.90	77,630.00	(10,350.10)		
	Trade	1,300,000		01/08/10	03/19/10	24,989.88	30,966.00	(5,976.32)		
	Trade	200,000		01/08/10	03/19/10	3,844.56	4,754.00	(909.44)		
	Sub-Total:	6,500,000				124,948.38	145,043.80	(20,095.42)		
GANNETT CO	Trade	5,000,000		10/26/10	11/19/10	62,373.94	60,800.00	1,773.94		
	Trade	5,000,000		10/28/10	11/19/10	62,373.94	59,300.00	3,073.94		
	Trade	3,000,000		11/15/10	12/17/10	40,349.31	38,099.70	2,249.61		
	Sub-Total:	13,000,000				165,097.19	157,999.70	7,097.49		
GENL ELECTRIC CO	Trade	5,000,000		12/31/09	01/15/10	77,248.98	76,200.00	1,048.98		
	Trade	5,000,000		03/01/10	03/19/10	80,148.97	79,650.00	498.97		
	Sub-Total:	10,000,000				157,397.95	155,850.00	1,547.95		
GOLDCORP INC NEW CAD	Trade	1,000,000		12/04/09	04/16/10	38,849.33	43,380.00	(4,530.67)		Y
	Trade	1,500,000		12/04/09	04/20/10	59,159.00	65,070.00	(5,911.00)		Y
	Trade	2,000,000		01/08/10	04/20/10	78,878.87	84,500.00	(5,621.33)		Y
	Trade	2,000,000		01/13/10	04/20/10	78,878.67	80,938.00	(2,059.33)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GOLDCORP INC NEW CAD	Trade	1,000,000		04/06/10	04/20/10	39,439.33	38,529.90	909.43		Y
	Trade	3,000,000		10/11/10	10/15/10	132,447.75	131,430.00	1,017.75		
	Trade	1,300,000		10/18/10	11/08/10	54,794.07	57,017.74	(2,223.67)		
	Trade	700,000		10/18/10	11/19/10	29,504.49	30,701.86	(1,197.37)		
	Trade	2,000,000		10/20/10	11/19/10	86,958.52	85,080.00	1,878.52		
	Trade	2,000,000		10/22/10	11/19/10	89,538.48	83,150.00	6,388.48		
	Sub-Total:	16,500,000				688,448.31	699,797.50	(11,349.19)		
GOLDMAN SACHS GROUP INC	Trade	1,500,000		01/14/10	01/15/10	252,821.78	251,700.00	1,121.78		
	Trade	1,000,000		01/22/10	02/19/10	159,997.96	155,000.00	4,997.96		
	Trade	1,000,000		04/16/10	04/16/10	164,447.21	162,243.90	2,203.31		
	Trade	1,000,000		09/02/10	09/17/10	140,747.81	139,120.00	1,627.81		
	Trade	1,500,000		10/18/10	10/22/10	232,271.08	229,545.00	2,726.08		
	Trade	1,000,000		11/22/10	12/03/10	161,687.28	160,729.90	957.38		
	Sub-Total:	7,000,000				1,111,972.88	1,098,338.80	13,634.08		
GOOGLE INC CL A	Trade	100,000		03/15/10	04/16/10	55,499.06	55,980.15	(481.09)		
	Trade	100,000		03/15/10	09/17/10	47,489.18	55,980.15	(8,490.97)		
	Trade	100,000		03/24/10	09/17/10	47,489.18	54,437.85	(6,948.67)		
	Trade	100,000		04/19/10	09/17/10	47,489.18	54,815.00	(7,325.82)		
	Trade	100,000		04/26/10	09/17/10	47,489.18	53,725.00	(6,235.82)		
	Trade	100,000		04/29/10	09/17/10	47,489.18	52,801.00	(5,311.82)		
	Trade	100,000		05/05/10	09/17/10	47,489.18	50,055.00	(2,565.82)		
	Trade	100,000		05/07/10	09/17/10	47,489.18	49,700.00	(2,210.82)		
	Trade	200,000		08/22/10	09/17/10	94,978.39	98,048.00	(3,069.61)		
	Trade	100,000		08/25/10	09/17/10	47,489.18	47,550.00	(60.82)		
	Trade	200,000		07/14/10	09/17/10	94,978.39	97,860.00	(2,881.61)		
	Trade	500,000		11/29/10	12/17/10	292,650.05	290,645.00	2,005.05		
	Sub-Total:	1,800,000				918,019.33	961,597.15	(43,577.82)		
GULF ISLAND FABRICATION INC	Trade	1,500,000		07/29/10	10/15/10	30,806.36	26,263.50	4,542.86		
	Trade	1,100,000		07/29/10	10/15/10	22,591.33	19,217.00	3,374.33		
	Trade	1,500,000		07/29/10	10/15/10	30,806.36	26,430.00	4,376.36		
	Trade	500,000		08/13/10	10/15/10	10,268.78	8,075.00	2,193.78		
	Trade	700,000		08/20/10	10/15/10	14,376.29	10,458.00	3,918.29		
	Trade	1,700,000		09/15/10	10/15/10	34,913.87	26,367.00	8,546.87		
	Trade	1,700,000		09/16/10	10/15/10	34,913.87	26,333.00	8,580.87		
	Sub-Total:	8,700,000				178,676.86	143,143.50	35,533.36		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HALLIBURTON CO (HOLDING COMPANY)	Trade	3,000,000		02/10/10	02/19/10	87,268.89	88,400.00	868.89		
	Trade	2,000,000		03/10/10	03/19/10	61,909.21	60,966.00	943.21		
	Trade	2,000,000		03/11/10	03/19/10	81,909.21	60,620.00	1,289.21		
	Trade	3,000,000		03/30/10	04/18/10	90,388.47	89,810.00	778.47		
	Trade	2,000,000		04/13/10	04/18/10	83,018.93	62,400.00	818.93		
	Trade	1,500,000		04/29/10	06/18/10	37,128.37	47,010.00	(9,881.63)		
	Trade	1,500,000		06/01/10	07/16/10	39,614.32	33,600.00	6,014.32		
	Trade	1,500,000		06/30/10	07/19/10	43,304.26	38,083.50	5,220.76		
	Sub-Total:	16,500,000				494,541.86	478,689.50	5,852.16		Y
HARTFORD FINCL SERVICES 07.800% 061510 DTD061800 FC121500 MW+ 10BP NTS B/E	Trade	25,000,000		08/04/09	03/17/10	25,272.75	25,839.25	(566.50)		Y
HECLA MINING CO (HOLDING CO)	Trade	2,000,000		12/15/09	01/11/10	13,799.84	13,280.00	519.84		
	Trade	2,000,000		12/15/09	01/15/10	13,799.79	13,280.00	519.79		
	Trade	900,000		03/22/10	04/16/10	4,981.04	4,752.00	229.04		
	Trade	9,100,000		03/24/10	04/16/10	50,364.01	49,678.72	685.29		
	Trade	10,000,000		04/16/10	05/21/10	57,599.02	56,200.00	1,399.02		
	Sub-Total:	24,000,000				140,543.50	137,190.72	3,352.78		
HESS CORP	Trade	1,000,000		03/25/10	04/16/10	62,998.92	61,380.00	1,618.92		
HEWLETT PACKARD CO	Trade	1,200,000		09/13/10	09/17/10	46,379.20	45,924.00	455.20		
HOUSEHOLD FIN CORP DEP 06.375% 080110 DTD072898 FC020199 NTS	Trade	250,000,000		08/03/09	01/14/10	256,990.00	258,767.50	(1,777.50)		Y
HSBC FIN CORP INTERNOTES 04.350% 061510 DTD060305 FC121505	Trade	70,000,000		08/04/09	01/13/10	70,787.50	71,073.10	(285.60)		Y
ICAHN ENTERPRISES LP DEP UNITS REPSNTG LTD PARTNERSHIP INTS	Trade	1,000,000		08/27/10	09/13/10	36,159.38	33,900.00	2,259.38		Y
	Trade	1,000,000		08/27/10	09/17/10	36,119.38	33,900.00	2,219.38		Y
	Trade	1,000,000		08/30/10	09/20/10	38,399.35	33,700.00	4,699.35		Y
	Trade	1,000,000		08/30/10	09/20/10	38,399.35	33,800.00	4,599.35		Y
	Trade	1,000,000		08/30/10	09/20/10	37,999.35	33,700.00	4,299.35		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ICAHN ENTERPRISES LP DEP	Trade	154,000		09/03/10	09/20/10	5,913.50	5,152.38	761.12		Y
UNITS REPRNTG LTD	Trade	1,000,000		09/03/10	09/21/10	38,549.34	33,950.00	4,599.34		Y
PARTNERSHIP INTS	Trade	1,000,000		09/03/10	11/05/10	36,407.64	33,980.00	2,427.64		Y
	Trade	1,000,000		09/27/10	11/05/10	36,407.64	36,749.96	(342.32)		Y
	Trade	300,000		09/27/10	11/05/10	10,922.29	11,106.00	(183.71)		Y
Sub-Total:		8,454,000				315,277.22	289,938.34	25,338.88		
INTEL CORP	Trade	5,000,000		04/08/10	04/16/10	113,408.07	111,599.50	1,808.57		
	Trade	5,000,000		09/02/10	09/17/10	91,448.45	90,949.50	498.95		
	Trade	5,000,000		10/15/10	10/22/10	97,248.35	96,599.50	648.85		
Sub-Total:		15,000,000				302,104.87	299,148.50	2,956.37		
INTL BUSINESS MACH	Trade	1,000,000		01/05/10	01/15/10	132,228.27	131,080.00	1,148.27		
	Trade	500,000		01/06/10	01/15/10	66,114.13	65,219.95	894.18		
	Trade	500,000		01/20/10	02/19/10	63,049.17	65,360.00	(2,310.83)		
	Trade	1,000,000		01/20/10	02/19/10	126,098.37	130,980.00	(4,881.63)		
	Trade	1,000,000		02/25/10	03/19/10	127,359.79	126,110.00	1,249.79		
	Trade	1,000,000		03/04/10	03/19/10	127,359.79	125,566.50	1,793.29		
	Trade	1,500,000		03/08/10	03/19/10	191,039.70	189,659.85	1,379.85		
	Trade	1,000,000		04/09/10	04/16/10	127,917.83	127,410.00	507.83		
	Trade	1,000,000		07/20/10	08/05/10	127,047.83	123,675.20	3,372.63		
	Trade	500,000		07/20/10	08/05/10	63,523.90	61,694.25	1,829.65		
	Trade	200,000		08/28/10	09/15/10	25,499.56	24,956.00	543.56		
	Trade	800,000		08/26/10	09/17/10	101,407.16	99,824.00	1,583.16		
	Trade	1,000,000		08/31/10	09/17/10	126,758.95	122,436.00	4,322.95		
Sub-Total:		11,000,000				1,405,404.45	1,393,971.75	11,432.70		
ITAU UNIBANCO HLDG SA	Trade	3,000,000		03/24/10	04/16/10	82,878.93	61,650.00	1,228.93		
ADR	Trade	2,000,000		03/25/10	04/16/10	41,919.28	40,940.00	979.28		
Sub-Total:		5,000,000				104,798.21	102,590.00	2,208.21		
IVANHOE MINES LTD CAD	Trade	2,100,000		02/25/10	03/19/10	32,654.57	31,979.00	675.57		
	Trade	3,000,000		03/08/10	03/19/10	47,962.16	47,639.70	322.46		
	Trade	3,000,000		03/08/10	03/19/10	47,962.16	47,550.00	412.16		
	Trade	200,000		03/10/10	03/19/10	3,197.47	3,106.00	91.47		
	Trade	4,700,000		03/15/10	03/19/10	75,140.72	73,922.00	1,218.72		
	Trade	2,000,000		03/15/10	03/19/10	31,974.78	31,240.00	734.78		
	Trade	3,000,000		04/20/10	05/21/10	39,599.32	53,910.00	(14,310.68)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
IVANHOE MINES LTD CAD	Trade	1,000.000		04/29/10	05/21/10	13,199.77	16,310.00	(3,110.23)		
	Trade	2,000.000		04/29/10	07/16/10	32,999.43	32,620.00	379.43		
	Trade	4,000.000		04/30/10	07/16/10	65,998.87	64,440.00	1,558.87		
	Trade	2,000.000		05/05/10	07/16/10	32,999.43	28,520.00	4,479.43		
	Trade	4,000.000		05/20/10	07/16/10	65,998.87	50,520.00	15,478.87		
	Trade	1,000.000		06/01/10	07/16/10	16,499.71	13,629.00	2,870.71		
	Trade	300.000		06/01/10	07/16/10	4,949.91	4,185.00	764.91		
	Trade	2,700.000		06/01/10	08/20/10	45,829.21	37,665.00	7,964.21		
	Trade	5,000.000		07/16/10	08/20/10	84,498.55	80,700.00	3,798.55		
	Trade	3,000.000		08/11/10	08/20/10	52,049.11	51,330.00	719.11		
	Trade	100.000		10/19/10	11/09/10	2,354.95	2,290.00	64.95		
	Trade	2,900.000		10/19/10	11/19/10	68,293.85	66,410.00	1,883.85		
	Trade	3,000.000		10/21/10	11/19/10	71,398.78	68,894.50	2,504.28		
	Sub-Total:	49,000.000				835,361.62	806,860.20	28,501.42		
JOHNSON & JOHNSON COM	Trade	2,000.000		07/20/10	09/17/10	117,658.01	116,216.81	1,441.20		
	Trade	2,000.000		08/24/10	09/17/10	117,658.01	116,220.00	1,438.01		
	Sub-Total:	4,000.000				235,316.02	232,436.81	2,879.21		
JPMORGAN & CHASE & CO SER J 8.625% PREFERRED CLBL PAR VALUE - 25.00	Trade	2,000.000		08/05/10	08/23/10	55,899.05	54,680.00	1,219.05		Y
	Trade	2,000.000		08/05/10	08/24/10	55,899.05	54,680.00	1,219.05		Y
	Trade	3,000.000		08/08/10	08/25/10	83,848.58	81,747.00	2,101.58		Y
	Sub-Total:	7,000.000				195,646.68	191,107.00	4,539.68		
JPMORGAN CHASE & CO	Trade	2,000.000		12/30/09	01/15/10	83,538.85	82,960.00	578.85		
	Trade	2,000.000		01/21/10	02/19/10	78,538.99	82,735.00	(4,196.01)		
	Trade	2,000.000		02/10/10	02/19/10	84,498.92	77,119.80	7,379.12		
	Trade	2,000.000		04/26/10	08/24/10	73,138.76	88,735.60	(15,596.84)		Y
	Trade	500.000		04/29/10	08/24/10	18,284.69	22,023.50	(3,738.81)		Y
	Trade	500.000		04/29/10	09/17/10	19,174.67	22,023.50	(2,848.83)		
	Trade	1,000.000		05/04/10	09/17/10	38,349.34	42,950.00	(4,600.66)		
	Trade	1,000.000		05/12/10	09/17/10	38,349.34	41,290.00	(2,940.66)		
	Trade	500.000		06/30/10	09/17/10	19,174.67	18,544.20	630.47		
	Trade	2,500.000		08/24/10	10/15/10	95,873.38	90,014.61	5,858.75		
	Trade	3,000.000		09/24/10	11/19/10	119,997.98	118,859.10	1,138.86		
	Trade	2,000.000		09/27/10	11/19/10	75,818.70	78,739.31	(2,920.61)		
	Trade	3,000.000		09/30/10	11/19/10	113,728.07	113,970.00	(241.93)		
	Trade	1,000.000		10/14/10	11/19/10	37,909.35	38,730.00	(820.65)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
JPMORGAN CHASE & CO	Trade	1,000,000		10/14/10	12/17/10	40,089.31	38,730.00	1,369.31		
	Trade	3,000,000		11/02/10	12/17/10	120,297.95	111,299.75	8,998.20		
	Sub-Total:	27,000,000				1,056,772.93	1,088,724.37	(11,951.44)		
								3,020.95		
KIMBERLY CLARK CORP	Trade	1,500,000		03/05/10	03/19/10	90,850.82	90,075.00	775.82		
	Trade	1,000,000		03/10/10	03/19/10	60,567.21	59,550.00	1,017.21		
	Trade	2,000,000		04/26/10	05/21/10	122,897.92	121,670.00	1,227.92		
	Sub-Total:	4,500,000				274,315.95	271,295.00			
KNIGHT CAPITAL GROUP INC CL A	Trade	4,000,000		12/31/09	01/15/10	62,799.15	62,141.60	657.55		
	Trade	5,000,000		03/15/10	06/18/10	76,748.70	77,997.71	(1,249.01)		
	Trade	5,000,000		04/01/10	06/18/10	76,748.70	78,777.06	(28.36)		
	Sub-Total:	14,000,000				216,296.55	216,916.37	(619.82)		
KROGER COMPANY	Trade	2,500,000		12/24/09	01/15/10	51,186.83	51,650.00	(463.17)		
	Trade	2,500,000		12/31/09	01/15/10	51,186.83	51,125.00	61.83		
	Trade	2,500,000		01/06/10	02/09/10	52,499.29	50,175.00	2,324.29		
	Sub-Total:	7,500,000				154,872.95	152,950.00	1,922.95		
LAS VEGAS SANDS CORP	Trade	2,500,000		12/22/09	01/14/10	39,474.43	38,425.00	1,049.43		
	Trade	1,500,000		12/22/09	01/15/10	23,684.66	23,055.00	629.66		
	Trade	3,000,000		03/31/10	04/16/10	62,698.93	61,979.70	719.23		
	Sub-Total:	7,000,000				125,858.02	123,459.70	2,398.32		
LILLY ELI & CO	Trade	2,500,000		12/31/09	01/15/10	89,828.35	89,700.00	128.35		
	Trade	3,000,000		01/05/10	01/15/10	107,794.03	105,955.00	1,839.03		
	Trade	3,000,000		02/01/10	03/19/10	106,408.63	104,936.50	1,472.13		
	Trade	2,000,000		02/10/10	03/19/10	70,939.09	68,191.50	2,747.59		
LAS VEGAS SANDS CORP	Trade	3,000,000		04/12/10	04/16/10	110,278.13	109,980.00	298.13		
	Trade	2,900,000		11/03/10	11/09/10	103,122.24	102,428.00	694.24		
	Trade	100,000		11/03/10	12/17/10	3,522.93	3,532.00	(9.07)		
	Trade	2,400,000		11/15/10	12/17/10	84,550.58	83,039.76	1,510.80		
	Sub-Total:	18,900,000				676,443.96	667,762.76	8,681.20		
LINEAR TECHNOLOGY CORP (DELAWARE)	Trade	3,000,000		10/13/10	10/15/10	91,648.44	91,040.55	607.89		
	Sub-Total:	3,000,000								

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LINN ENERGY LLC UNITS REPSTG LTD LIABILITY CO INTS	Trade	3,000.000		02/23/10	03/19/10	79,723.97	78,180.00	1,543.97		
	Trade	100.000		03/15/10	04/29/10	2,629.95	2,687.57	(37.62)		
	Trade	900.000		03/15/10	05/03/10	23,669.59	24,008.17	(338.58)		
	Trade	2,000.000		03/15/10	05/04/10	48,998.15	53,597.80	(3,598.45)		
	Trade	4,000.000		03/15/10	05/04/10	12,499.78	108,240.00	(95,740.22)		
	Trade	500.000		03/26/10	05/04/10	13,137.27	12,540.00	597.27		
	Trade	1,000.000		03/28/10	07/16/10	26,619.54	25,080.00	1,539.54		
	Trade	1,500.000		03/28/10	07/16/10	39,929.32	37,635.00	2,294.32		
	Trade	5,000.000		04/13/10	07/16/10	133,097.73	129,800.00	3,297.73		
	Trade	5,000.000		06/24/10	07/16/10	133,097.73	128,450.00	4,647.73		
	Sub-Total:	23,000.000				514,404.03	500,198.34	(85,794.31)		
LORILLARD INC	Trade	1,000.000		01/08/10	02/19/10	78,798.99	78,958.64	(159.65)		
	Trade	500.000		01/08/10	03/19/10	37,888.25	39,479.32	(1,591.07)		
	Trade	1,000.000		01/14/10	03/19/10	75,776.53	77,600.00	(1,823.47)		
	Trade	500.000		01/19/10	03/18/10	37,888.25	38,550.00	(661.75)		
	Trade	1,000.000		02/26/10	03/19/10	75,776.53	73,189.90	2,586.63		
	Trade	1,000.000		03/12/10	03/19/10	75,776.53	75,670.00	106.53		
	Trade	1,000.000		03/29/10	04/16/10	76,498.70	75,720.00	778.70		
	Sub-Total:	6,000.000				458,403.78	459,167.86	(764.08)		
LOWES COMPANIES INC	Trade	3,000.000		12/31/09	01/14/10	70,319.05	70,320.00	(.95)		
	Trade	2,000.000		01/08/10	01/14/10	46,979.37	46,000.00	879.37		
	Trade	3,000.000		01/11/10	02/19/10	70,949.09	69,890.00	1,259.09		
	Sub-Total:	8,000.000				188,147.51	186,010.00	2,137.51		
MARATHON OIL CORP	Trade	2,000.000		04/22/10	05/21/10	65,148.89	64,140.00	1,008.89		
	Trade	2,000.000		04/29/10	05/21/10	65,148.89	64,800.00	348.89		
	Trade	4,900.000		11/03/10	11/12/10	161,875.24	159,586.98	2,288.26		
	Trade	100.000		11/03/10	11/19/10	3,301.94	3,256.88	45.06		
	Sub-Total:	9,000.000				295,474.96	291,783.86	3,691.10		
MARKET VECTORS GOLD MINERS ETF	Trade	3,000.000		11/03/10	11/05/10	170,757.11	170,070.00	687.11		
MARRIOTT INTL INC NEW CL A	Trade	4,000.000		03/02/10	03/19/10	108,998.60	108,072.80	925.80		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MARTEK BIOSCIENCES CORP	Trade	2,000.000		12/24/09	01/15/10	37,599.48	37,260.00	339.48		
MCDONALDS CORP	Trade	1,500.000		01/04/10	02/19/10	96,041.62	94,497.75	1,543.87		
	Trade	1,000.000		01/06/10	02/19/10	64,027.75	61,879.90	2,147.85		
	Trade	1,000.000		02/10/10	02/19/10	64,027.75	63,310.00	717.75		
	Trade	1,500.000		07/28/10	08/20/10	106,768.19	104,625.00	2,143.19		
	Sub-Total:	5,000.000				330,855.31	324,312.65	6,552.66		
MERCK & CO INC NEW COM	Trade	3,000.000		03/02/10	03/19/10	112,627.12	112,464.60	162.52		
	Trade	2,000.000		03/10/10	03/19/10	75,084.74	73,720.00	1,364.74		
	Trade	2,000.000		03/10/10	03/19/10	75,084.74	73,479.80	1,604.94		
	Trade	3,000.000		04/12/10	08/18/10	105,598.20	110,870.00	(5,071.80)		
	Trade	1,000.000		04/29/10	08/18/10	35,199.40	35,575.00	(375.60)		
	Trade	2,000.000		04/29/10	07/16/10	72,558.77	71,150.00	1,408.77		
	Trade	2,000.000		05/12/10	07/16/10	72,558.77	67,000.00	5,558.77		
	Trade	5,000.000		12/06/10	12/10/10	177,147.00	175,839.70	1,307.30		
	Sub-Total:	20,000.000				725,858.74	719,899.10	5,959.64		
MERRILL LYNCH & CO INC 04.250% 020810 DTD020705 FC080805 NTS	Trade	25,000.000		08/03/09	01/13/10	25,048.52	25,325.00	(276.48)		Y
METLIFE INC	Trade	1,500.000		09/22/10	10/15/10	58,849.00	58,830.00	(181.00)		
	Trade	1,000.000		09/22/10	10/15/10	39,098.85	39,220.00	(123.35)		
	Trade	1,400.000		09/28/10	10/15/10	54,735.32	53,690.00	1,045.32		
	Trade	900.000		09/29/10	10/15/10	36,035.38	34,920.00	1,115.38		
	Trade	600.000		09/29/10	10/15/10	23,457.99	23,280.00	177.99		
	Trade	1,600.000		10/04/10	10/15/10	64,062.90	61,872.00	2,190.90		
	Trade	3,000.000		11/23/10	12/17/10	117,598.00	114,119.70	3,478.30		
	Sub-Total:	10,000.000				393,635.24	385,931.70	7,703.54		
MICROSOFT CORP	Trade	2,000.000		06/25/10	07/16/10	48,859.16	49,670.00	(810.84)		Y
	Trade	2,000.000		06/25/10	07/19/10	50,389.15	49,670.00	719.15		
	Trade	2,000.000		08/30/10	07/19/10	50,389.15	47,110.00	3,279.15		Y
	Trade	5,000.000		08/27/10	09/17/10	122,143.93	118,500.00	3,643.93		
	Trade	5,000.000		08/30/10	09/17/10	122,143.93	118,500.00	3,643.93		
	Sub-Total:	16,000.000				393,925.32	383,450.00	10,475.32		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MID AMER APARTMENT COMMUNITIES INC	Trade	2,000,000		10/08/10	10/06/10	121,077.95	120,980.00	197.95		Y
MONSANTO CO NEW	Trade	1,000,000		01/13/10	01/15/10	82,408.94	81,790.00	618.94		
	Trade	1,000,000		02/24/10	03/19/10	73,680.38	74,750.00	(1,069.62)		
	Trade	500,000		02/25/10	03/19/10	36,830.19	36,514.95	315.24		
	Trade	1,000,000		03/08/10	03/19/10	73,680.38	72,277.40	1,382.98		
	Trade	500,000		03/11/10	03/19/10	36,830.19	35,515.00	1,315.19		
	Trade	1,000,000		03/31/10	09/17/10	59,011.50	71,470.00	(12,458.50)		
	Trade	1,000,000		04/05/10	09/17/10	59,011.50	70,430.00	(11,418.50)		
	Trade	500,000		04/06/10	09/17/10	28,505.75	34,918.25	(5,412.50)		
	Trade	800,000		04/16/10	09/17/10	47,209.19	52,047.92	(4,838.73)		
	Trade	700,000		04/29/10	09/17/10	41,308.04	43,728.93	(2,420.89)		
	Sub-Total:	8,000,000				539,436.06	573,442.45	(34,006.39)		
MONY GROUP INC 08.350% 031510 DTD030800 FC091500	Redemption	450,000,000		06/04/09	03/15/10	450,000.00	465,300.00	(15,300.00)		Y
MORGAN STANLEY	Trade	4,000,000		12/23/09	01/15/10	119,198.37	117,440.00	1,758.37		
	Trade	3,000,000		01/21/10	03/19/10	86,968.89	90,030.00	(3,061.11)		
	Trade	2,000,000		03/11/10	03/19/10	60,199.23	59,680.00	519.23		
	Trade	3,000,000		03/30/10	04/16/10	88,798.49	87,960.00	838.49		
	Trade	2,000,000		04/13/10	10/15/10	50,179.14	61,280.00	(11,100.86)		
	Trade	2,000,000		08/27/10	10/15/10	53,779.08	49,459.80	4,319.28		
	Trade	1,100,000		09/28/10	10/15/10	29,578.48	26,972.00	2,606.48		
	Trade	900,000		09/28/10	10/18/10	22,589.61	22,068.00	521.61		
	Trade	4,000,000		11/22/10	12/17/10	101,638.27	99,719.75	1,918.52		
	Sub-Total:	22,000,000				612,929.56	614,609.55	(1,679.99)		
MOTOROLA INC **REVERSE SPLIT EFF: 01/2011**	Trade	10,000,000		11/23/10	12/17/10	81,698.60	78,386.18	3,312.42		
MURPHY OIL CORP	Trade	500,000		11/24/09	01/15/10	28,099.62	28,300.00	(200.38)		
	Trade	500,000		11/24/09	01/15/10	26,999.62	28,300.00	(1,300.38)		
	Trade	1,000,000		12/01/09	01/15/10	56,199.26	57,000.00	(800.74)		
	Trade	500,000		12/04/09	01/15/10	28,099.62	27,545.00	554.62		
	Trade	500,000		12/09/09	01/15/10	28,099.62	26,400.00	1,699.62		

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This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MURPHY OIL CORP	Trade	2,000.000		02/08/10	02/19/10	102,798.69	100,800.00	1,998.69		
	Trade	1,500.000		02/25/10	03/19/10	77,989.00	76,470.00	1,529.00		
	Sub-Total:	6,500.000				348,295.43	344,815.00	3,480.43		
NASH FINCH CO	Trade	4,000		02/26/10	03/22/10	137.71	141.20	(3.49)		Y
	Trade	996.000		02/26/10	05/18/10	35,855.38	35,158.80	696.58		
	Trade	804.000		03/04/10	05/18/10	28,943.49	26,620.44	2,323.05		
	Sub-Total:	1,804.000				64,936.58	61,920.44	3,016.14		
NATIONSBANK CORP NTS 06.600% 051510 DTD050498 FC111598 SUB NOTES	Trade	212,000.000		06/05/09	01/06/10	216,244.24	217,685.84	(1,441.60)		Y
NATL CITY BK CLEVELAND 04.500% 031510 DTD030805 FC091505	Redemption	42,000.000		08/06/09	03/15/10	42,000.00	42,630.00	(630.00)		Y
NAVIOS MARITIME PARTNERS LP UNIT LTD PARTNERSHIP INT	Trade	800.000		05/13/10	07/13/10	13,519.77	13,344.00	175.77		Y
	Trade	300.000		05/13/10	07/14/10	5,063.91	5,004.00	59.91		Y
	Trade	1,400.000		05/13/10	07/14/10	23,631.60	23,673.87	(42.27)		Y
	Trade	1,600.000		05/13/10	08/03/10	28,399.50	27,055.86	1,343.64		
	Trade	300.000		06/24/10	08/03/10	5,324.90	4,562.93	761.97		
	Trade	5,600.000		06/24/10	08/04/10	99,190.88	85,174.61	14,016.27		
	Trade	2,500.000		07/01/10	08/04/10	44,281.64	38,950.00	5,331.64		
	Sub-Total:	12,500.000				219,412.20	197,765.27	21,646.93		
NETEASE.COM INC SPON ADR	Trade	1,000.000		12/28/09	01/05/10	37,714.40	37,290.00	424.40		
	Trade	300.000		12/28/09	01/05/10	11,314.31	11,184.00	130.31		
	Trade	100.000		12/28/09	01/15/10	3,769.94	3,729.00	40.94		
	Sub-Total:	1,400.000				52,798.65	52,203.00	595.65		
NEW YORK CMNTY BANCORP	Trade	700.000		06/04/09	01/15/10	7,839.87	7,469.00	370.87		
	Trade	3,000.000		04/26/10	06/18/10	49,499.15	49,290.00	209.15		
	Sub-Total:	3,700.000				57,339.02	56,759.00	580.02		
NEWMONT MINING CORP (HOLDING CO)	Trade	2,000.000		03/12/10	03/19/10	100,198.72	99,583.37	615.35		
	Trade	2,000.000		04/22/10	05/21/10	106,471.51	103,755.27	2,716.24		
	Trade	1,000.000		04/26/10	05/21/10	53,235.74	53,000.00	235.74		

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C12G118630-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NEWMONT MINING CORP (HOLDING CO)	Trade	2,000,000		10/14/10	10/18/10	124,997.88	125,440.00	(442.12)		Y
	Trade	2,000,000		10/19/10	11/19/10	121,317.93	120,320.00	997.93		
	Trade	1,000,000		10/26/10	11/19/10	60,658.96	59,499.90	1,159.06		
	Trade	1,000,000		10/27/10	11/19/10	60,658.96	58,769.90	1,889.06		
	Trade	1,000,000		11/03/10	11/19/10	60,658.96	58,490.00	2,168.96		
Sub-Total:		12,000,000				688,198.66	678,858.44	9,340.22		
NOVAGOLD RESOURCES INC NEW CAD	Trade	4,000,000		08/14/10	10/15/10	29,399.49	27,316.80	2,082.69		
	Trade	1,000,000		06/30/10	10/15/10	7,349.88	6,909.89	439.97		
	Trade	200,000		06/30/10	12/02/10	1,589.97	1,381.98	207.99		
	Trade	3,800,000		06/30/10	12/17/10	30,209.47	26,257.59	3,951.88		
	Trade	5,000,000		08/24/10	12/17/10	39,749.30	31,898.00	7,753.30		
	Trade	800,000		11/24/10	12/17/10	11,989.80	11,512.00	487.80		
Sub-Total:		14,800,000				120,297.89	105,374.26	14,923.63		
NOVARTIS AG SPON ADR	Trade	2,000,000		01/04/10	02/19/10	111,298.56	105,857.25	5,641.31		
NTN TRUST CORP	Trade	100,000		11/03/09	01/15/10	5,144.93	4,992.00	152.93		
	Trade	1,000,000		11/16/09	01/15/10	51,449.30	48,710.00	2,739.30		
	Trade	2,000,000		02/10/10	02/19/10	102,198.70	100,885.25	1,313.45		
	Trade	2,000,000		04/21/10	11/19/10	102,498.26	110,260.00	(7,761.74)		
Sub-Total:		5,100,000				261,291.19	264,847.25	(3,556.06)		
MUSTAR ENERGY LP UNITS	Trade	2,000,000		11/30/09	01/15/10	110,998.56	104,899.80	6,098.76		
	Trade	500,000		12/01/09	01/15/10	27,749.63	26,155.00	1,594.63		
Sub-Total:		2,500,000				138,748.19	131,054.80	7,693.39		
OCCIDENTAL PETROLEUM CRP	Trade	1,500,000		07/30/10	08/20/10	117,110.51	118,385.00	725.51		
	Trade	400,000		08/06/10	08/20/10	31,229.46	30,434.20	795.26		
	Trade	1,500,000		08/08/10	08/20/10	117,110.51	114,930.00	2,180.51		
	Trade	1,500,000		08/24/10	09/17/10	115,513.03	111,810.00	3,703.03		
	Trade	900,000		09/24/10	10/14/10	68,443.83	67,896.00	547.83		
	Trade	100,000		09/24/10	10/15/10	7,485.81	7,544.00	(58.19)		
	Trade	2,000,000		09/28/10	10/15/10	149,716.50	148,040.00	1,676.50		
	Trade	1,000,000		11/28/10	12/07/10	88,798.49	87,740.00	1,058.49		
Sub-Total:		8,900,000				695,408.14	684,779.20	10,628.94		
ON SEMICONDUCTOR CORP	Trade	5,000,000		03/26/10	04/16/10	41,349.29	40,049.50	1,299.79		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ON SEMICONDUCTOR CORP	Trade	5,000.000		03/31/10	04/16/10	41,349.29	39,549.50	1,799.79		
	Trade	2,500.000		04/06/10	04/16/10	20,674.84	20,882.50	(187.66)		
	Trade	10,000.000		04/16/10	10/15/10	71,486.28	88,100.00	(14,613.72)		
	Trade	5,000.000		04/22/10	10/15/10	35,743.14	41,449.50	(5,706.36)		
	Trade	500.000		09/10/10	10/15/10	3,574.31	3,050.00	524.31		
	Trade	4,500.000		10/06/10	10/15/10	32,168.81	31,050.00	1,118.81		
Sub-Total:		32,500.000				246,345.76	262,111.00	(15,765.24)		
OWENS ILLINOIS INC NEW	Trade	2,000.000		09/21/10	10/15/10	54,699.05	54,978.40	(280.35)		
	Trade	2,000.000		09/23/10	10/15/10	55,999.05	54,179.40	1,819.65		
	Trade	1,000.000		09/23/10	10/15/10	27,349.52	27,089.70	259.82		
	Sub-Total:	5,000.000				138,047.62	136,248.50	1,799.12		
PAN AMER SILVER CORP CAD	Trade	3,000.000		12/21/09	01/15/10	72,599.00	70,050.00	2,549.00		
	Trade	3,000.000		12/29/09	01/15/10	72,599.00	72,390.00	209.00		
	Trade	2,000.000		01/21/10	03/19/10	45,399.42	46,779.80	(1,380.38)		
	Trade	3,000.000		01/21/10	03/19/10	68,099.13	70,290.00	(2,190.87)		
	Sub-Total:	11,000.000				258,696.55	259,509.80	(813.25)		
PAYCHEX INC	Trade	3,000.000		12/31/09	01/15/10	93,448.76	92,541.00	907.76		
PEPSICO INC	Trade	1,400.000		12/15/09	01/15/10	85,678.88	85,325.80	353.08		
	Trade	2,000.000		10/07/10	10/15/10	132,263.19	131,300.00	963.19		
	Trade	2,000.000		10/07/10	10/15/10	132,263.19	132,200.00	63.19		
	Trade	1,500.000		10/11/10	10/15/10	99,197.39	98,055.00	1,142.39		
	Sub-Total:	6,900.000				449,402.65	446,880.80	2,521.85		
PETROLEO BRASILEIRO SA SPON ADR	Trade	1,800.000		02/24/10	03/18/10	67,279.13	66,240.00	1,039.13		
	Trade	400.000		02/24/10	03/19/10	16,819.79	16,560.00	259.79		
	Trade	2,000.000		04/30/10	09/17/10	71,558.77	85,360.00	(13,801.23)		
	Trade	1,000.000		05/11/10	09/17/10	35,779.39	38,429.90	(2,650.51)		
	Trade	300.000		07/13/10	09/17/10	10,733.81	10,730.86	2.95		
	Trade	1,500.000		07/14/10	09/17/10	53,669.08	53,114.85	554.23		
	Trade	700.000		07/16/10	09/17/10	25,045.57	24,276.00	769.57		
Sub-Total:		7,500.000				280,885.54	294,711.61	(13,826.07)		
PFIZER INC	Trade	5,000.000		12/31/09	01/15/10	92,298.75	91,677.00	621.75		
	Trade	600.000		02/09/10	02/18/10	10,805.86	10,746.00	59.86		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PFIZER INC	Trade	3,400,000		02/09/10	02/19/10	81,233.21	60,894.00	339.21		
	Trade	5,000,000		03/02/10	04/18/10	85,998.56	88,100.00	(2,101.44)		
	Trade	1,000,000		03/02/10	09/17/10	18,429.71	17,820.00	(1,190.29)		
	Trade	2,000,000		03/09/10	09/17/10	32,859.43	34,480.00	(1,600.57)		
	Trade	2,000,000		03/11/10	09/17/10	32,859.43	34,439.80	(1,580.37)		
	Trade	2,000,000		04/05/10	09/17/10	32,859.43	33,900.00	(1,040.57)		
	Trade	2,000,000		04/05/10	09/17/10	32,859.43	33,880.00	(1,020.57)		
	Trade	1,000,000		05/12/10	09/17/10	18,429.71	16,870.00	(440.29)		
	Trade	2,000,000		06/29/10	09/17/10	32,859.43	28,830.00	4,229.43		
	Trade	3,000,000		10/19/10	12/17/10	51,539.12	52,590.00	(1,050.88)		
	Sub-Total:	29,000,000				499,032.07	503,806.80	(4,774.73)		
PLAINS ALL AMER PIPELINE LP UNIT LTD PARTNERSHIP INT MLP	Trade	2,000,000		10/20/10	10/28/10	127,197.83	126,260.00	937.83		
	Sub-Total:									
PROCTER & GAMBLE CO	Trade	1,500,000		12/31/09	01/15/10	91,613.81	91,545.00	68.81		
	Trade	500,000		01/06/10	01/15/10	30,537.92	30,298.00	239.92		
	Trade	1,000,000		01/08/10	01/15/10	61,075.86	60,197.00	878.86		
	Trade	1,500,000		03/10/10	03/19/10	95,098.78	94,663.95	434.83		
	Trade	2,000,000		04/08/10	04/16/10	126,051.19	126,380.00	(328.81)		
	Trade	1,000,000		04/07/10	04/16/10	63,025.58	62,470.00	555.58		
	Trade	2,000,000		11/24/10	12/17/10	126,697.85	125,180.00	1,517.85		
	Trade	2,000,000		11/26/10	12/17/10	126,697.85	124,419.80	2,278.05		
	Sub-Total:	11,500,000				720,798.84	715,153.75	5,645.09		
PRUDENTIAL FINANCIAL INC	Trade	1,500,000		09/22/10	10/15/10	80,978.61	84,342.55	(3,363.94)		
	Trade	500,000		09/22/10	12/17/10	26,624.54	28,114.18	(1,489.64)		
	Trade	1,000,000		09/27/10	12/17/10	53,249.09	55,799.90	(2,550.81)		
	Trade	1,500,000		09/29/10	12/17/10	79,873.65	85,350.00	(5,476.35)		
	Trade	1,000,000		09/30/10	12/17/10	53,249.09	54,099.60	(850.51)		
	Trade	500,000		10/04/10	12/17/10	26,624.54	26,269.95	354.59		
	Trade	1,000,000		10/11/10	12/17/10	53,249.09	53,678.00	(428.91)		
	Trade	500,000		11/29/10	12/17/10	26,624.54	25,449.95	1,174.59		
	Sub-Total:	7,500,000				400,473.15	413,104.13	(12,630.98)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PRUDENTIAL FINCL INC NTS 06.850% 061523 DTD062808 FC121508 B/E	Trade	50,000.000		08/29/10	09/14/10	50,750.00	51,625.00	(875.00)		Y
PSYCHIATRIC SOLUTIONS INC MERGER EFF: 11/2010	Trade	2,000.000		12/29/09	01/15/10	43,699.39	43,279.80	419.59		
PUT DISCOVER FINANCIAL DUE 02/20/10 13.000 129831	Trade	30 000		02/08/10	02/10/10	1,199.98	1,200.00	(.02)		
QUALCOMM INC	Trade	2,000.000		03/02/10	03/19/10	76,479.02	74,896.40	1,582.62		
RANGE RESOURCES CORP	Trade	2,000.000		07/30/10	09/17/10	71,198.78	74,780.00	(3,581.22)		
	Trade	400.000		08/18/10	09/17/10	14,239.74	13,971.87	267.87		
Sub-Total:		2,400.000				85,438.52	88,751.87	(3,313.35)		
REGENCY ENERGY PARTNERS LP UNITS REPSTG LTD PARTNER INT	Trade	2,000.000		04/09/10	06/18/10	45,599.22	45,240.00	359.22		
	Trade	3,000.000		04/28/10	06/18/10	68,398.82	67,560.00	838.82		
Sub-Total:		5,000.000				113,998.04	112,800.00	1,198.04		
REYNOLDS AMER INC NTS 06.500% 071510 DTD071506 FC011507 B/E	Trade	250,000.000		08/03/09	01/14/10	255,250.00	259,750.00	(4,500.00)		Y
ROSS STORES INC	Trade	2,000.000		09/07/10	09/17/10	104,741.07	104,240.00	501.07		
	Trade	1,500.000		09/09/10	09/17/10	78,555.80	77,850.00	705.80		
Sub-Total:		3,500.000				183,296.87	182,090.00	1,206.87		
ROYAL GOLD INC	Trade	189.000		10/19/10	11/19/10	9,175.79	9,149.49	26.30		
	Trade	11.000		10/19/10	11/19/10	534.03	533.72	.31		
	Trade	1,800.000		10/19/10	11/19/10	87,388.50	86,904.00	484.50		
	Trade	2,000.000		10/22/10	11/19/10	97,098.35	94,440.00	2,658.35		
Sub-Total:		4,000.000				194,196.67	191,027.21	3,169.46		
SAFeway INC	Trade	3,000.000		07/29/10	09/17/10	61,048.96	60,718.50	330.46		
	Trade	3,000.000		07/29/10	09/17/10	61,048.96	60,801.00	247.96		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SAFEWAY INC	Trade	2,400,000		08/04/10	09/17/10	48,839.17	49,308.00	(468.83)		
	Sub-Total:	8,400,000				170,937.09	170,827.50	109.59		
SANDISK CORP	Trade	3,000,000		09/21/10	10/15/10	108,448.15	105,417.00	3,031.15		
	Trade	3,000,000		09/24/10	10/15/10	108,748.15	108,770.00	1,978.15		
	Sub-Total:	6,000,000				217,196.30	212,187.00	5,009.30		
SCHLUMBERGER LTD	Trade	1,500,000		02/10/10	02/11/10	95,023.78	94,440.00	583.78		
NETHERLANDS ANTILLES	Trade	1,500,000		02/25/10	03/19/10	92,908.81	90,252.30	2,656.51		
	Trade	2,000,000		03/24/10	04/16/10	131,297.78	125,520.00	5,777.78		
	Sub-Total:	5,000,000				319,230.37	310,212.30	9,018.07		
SCIENTIFIC GAMES CORP	Trade	2,500,000		11/17/09	01/15/10	38,249.50	39,824.99	(1,575.49)		
CL A										
SILVER WHEATON CORP CAD	Trade	3,000,000		03/24/10	04/16/10	47,534.20	45,930.00	1,604.20		
	Trade	3,000,000		03/30/10	04/16/10	47,534.20	48,589.70	944.50		
	Trade	4,000,000		07/28/10	08/20/10	73,398.75	71,717.60	1,681.15		
	Sub-Total:	10,000,000				168,467.15	164,237.30	4,229.85		
SIMON PROP GROUP NTS B/E	Trade	100,000,000		08/06/09	01/13/10	102,040.00	102,194.00	(154.00)		Y
04.875% 081510 DTD081104										
FC021505 MW + 25BP										
SOUTHERN CO	Trade	4,000,000		01/04/10	01/15/10	133,918.25	133,040.00	878.25		
	Trade	1,000,000		01/08/10	01/15/10	33,479.58	32,749.90	729.66		
	Trade	3,000,000		03/05/10	03/19/10	97,048.76	96,330.00	718.76		
	Sub-Total:	8,000,000				264,446.57	262,119.90	2,326.67		
SPRINT NEXTEL CORP	Trade	10,000,000		12/28/09	01/15/10	38,249.45	37,400.00	849.45		
SERIES 1 COMMON STOCK	Trade	10,000,000		01/15/10	03/19/10	35,819.52	37,100.00	(1,280.48)		
	Trade	10,000,000		01/19/10	03/19/10	35,819.52	36,350.00	(530.48)		
	Trade	5,000,000		03/18/10	03/19/10	17,909.76	17,398.62	511.14		
	Trade	5,000,000		03/16/10	04/16/10	18,799.88	17,398.62	1,401.08		
	Trade	1,000,000		05/07/10	05/21/10	4,179.92	3,950.00	229.92		
	Trade	9,000,000		05/07/10	05/25/10	44,189.25	35,550.00	8,639.25		Y
	Trade	10,000,000		08/25/10	07/16/10	44,499.24	43,800.00	699.24		
	Trade	10,000,000		11/01/10	12/17/10	41,899.29	41,700.00	(199.29)		
	Sub-Total:	70,000,000				281,165.63	270,647.24	10,518.39		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	Trade	2,500,000		03/02/10	03/19/10	98,683.73	97,445.65	1,238.08		
STATE STREET CORP	Trade	500,000		11/08/09	01/15/10	21,749.69	20,830.00	919.69		
	Trade	1,000,000		12/01/09	01/15/10	43,499.40	42,130.00	1,369.40		
	Trade	2,000,000		04/21/10	09/17/10	75,078.73	88,280.00	(13,201.27)		
	Trade	500,000		05/12/10	09/17/10	18,769.68	21,424.95	(2,655.27)		
	Trade	1,000,000		06/24/10	09/17/10	37,539.36	34,950.00	2,589.36		
	Trade	500,000		06/29/10	09/17/10	18,769.68	17,204.60	1,565.08		
	Trade	500,000		07/01/10	09/17/10	18,769.68	16,770.00	1,999.68		
	Trade	500,000		08/27/10	09/17/10	18,769.68	17,499.85	1,269.83		
	Sub-Total:	6,500,000				252,945.90	259,089.40	(6,143.50)		
STERICYCLE INC	Trade	2,000,000		12/28/09	01/19/10	111,509.25	110,900.00	609.25		Y
	Trade	1,000,000		01/06/10	01/19/10	55,754.62	54,782.00	972.62		Y
	Trade	2,000,000		01/22/10	03/19/10	110,598.59	109,700.00	898.59		
	Trade	2,000,000		01/28/10	03/19/10	110,598.59	107,032.50	3,566.09		
	Trade	54,000		03/25/10	04/09/10	2,937.01	2,948.40	(11.39)		
	Trade	948,000		03/25/10	04/16/10	52,334.10	51,851.60	482.50		
	Trade	954,000		04/08/10	04/16/10	52,776.67	51,829.82	946.85		
	Trade	1,000,000		04/08/10	04/16/10	55,321.47	54,309.75	1,011.72		
	Trade	2,000,000		07/29/10	08/20/10	125,297.88	124,398.00	899.88		
	Sub-Total:	11,954,000				677,128.18	667,552.07	9,576.11		
SUNCOR ENERGY INC NEW CAD	Trade	3,000,000		02/11/10	02/19/10	88,648.87	87,839.13	809.74		
SUNTRUST BANKS INC	Trade	1,000,000		10/14/10	11/19/10	25,469.56	25,860.00	(390.44)		
	Trade	3,000,000		10/14/10	11/19/10	76,408.70	75,955.00	453.70		
	Trade	2,000,000		10/14/10	12/17/10	49,559.15	51,720.00	(2,160.85)		
	Trade	4,000,000		11/03/10	12/17/10	99,118.31	100,239.60	(1,121.29)		
	Trade	4,000,000		11/22/10	12/17/10	99,118.31	95,440.00	3,678.31		
	Sub-Total:	14,000,000				349,674.03	349,214.60	459.43		
SYSCO CORP	Trade	3,000,000		12/31/09	01/15/10	84,688.89	84,406.20	282.69		
	Trade	2,000,000		01/08/10	01/15/10	56,459.26	55,690.80	768.46		
	Sub-Total:	5,000,000				141,148.15	140,097.00	1,051.15		

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C12G119836-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TARGET CORP	Trade	3,000,000		08/11/10	08/23/10	157,342.38	157,318.00	26.38		Y
THE DIRECTV GROUP CL A	Trade	2,000,000		01/19/10	02/19/10	63,479.18	67,419.80	(3,940.62)		
	Trade	2,000,000		01/21/10	02/19/10	63,479.18	64,638.00	(1,158.82)		
	Trade	1,000,000		02/08/10	02/19/10	31,739.59	30,387.50	1,372.09		
	Trade	3,000,000		04/07/10	04/18/10	105,148.21	103,500.00	1,648.21		
	Sub-Total:	8,000,000				263,846.16	265,925.30	(2,079.14)		
THERAVANCE INC	Trade	1,000,000		08/30/10	08/14/10	13,149.76	12,570.00	579.76		
	Trade	3,000,000		08/30/10	09/17/10	39,449.31	37,853.00	1,596.31		
	Trade	1,000,000		08/30/10	09/17/10	13,149.77	12,570.00	579.77		
	Sub-Total:	5,000,000				65,748.84	62,993.00	2,755.84		
THERMO FISHER SCIENTIFIC INC	Trade	1,000,000		07/29/10	09/17/10	45,349.22	45,009.20	340.02		
	Trade	2,000,000		07/29/10	09/17/10	90,688.45	90,320.00	378.45		
	Sub-Total:	3,000,000				136,047.67	135,329.20	718.47		
TJX COS INC NEW	Trade	2,500,000		07/29/10	08/20/10	103,748.24	100,975.00	2,773.24		
	Trade	2,000,000		08/30/10	09/17/10	83,098.57	81,200.00	1,898.57		
	Trade	1,000,000		08/31/10	09/17/10	41,549.28	40,119.50	1,429.78		
	Sub-Total:	5,500,000				228,396.09	222,294.50	6,101.59		
TRANSOCEAN LTD CHF	Trade	1,500,000		11/05/10	11/19/10	97,738.34	95,700.00	2,038.34		
	Trade	2,000,000		11/23/10	12/17/10	136,097.69	132,520.00	3,577.69		
	Sub-Total:	3,500,000				233,836.03	228,220.00	5,616.03		
TRAVELERS COS INC/THE	Trade	1,000,000		11/12/09	02/19/10	50,949.34	53,300.00	(2,350.66)		
	Trade	1,000,000		11/12/09	02/19/10	50,949.34	53,520.00	(2,570.66)		
	Trade	1,000,000		12/01/09	02/19/10	50,949.34	52,780.00	(1,810.66)		
	Trade	1,000,000		12/03/09	02/19/10	50,949.34	51,770.00	(820.66)		
	Trade	1,000,000		12/04/09	02/19/10	50,949.34	50,259.90	689.44		
	Trade	2,000,000		04/27/10	06/18/10	101,398.27	102,079.08	(680.81)		
	Trade	1,500,000		04/29/10	06/18/10	76,048.70	76,470.00	(421.30)		
	Sub-Total:	8,500,000				432,193.67	440,158.98	(7,965.31)		
TWO HARBORS INVT CORP	Trade	3,400,000		03/17/10	11/30/10	33,727.42	32,538.00	1,189.42		Y
REIT SBI	Trade	4,000,000		03/17/10	11/30/10	39,679.32	38,240.00	1,439.32		Y
	Trade	600,000		03/17/10	12/01/10	5,975.89	5,742.00	233.89		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TWO HARBORS INVT CORP REIT SBI	Trade	100,000		03/17/10	12/02/10	999.16	957.00	42.16		Y
	Trade	1,500,000		03/17/10	12/02/10	14,987.47	14,400.00	587.47		Y
	Trade	700,000		03/17/10	12/02/10	6,994.15	6,704.50	289.65		Y
	Trade	3,000,000		03/17/10	12/02/10	29,974.94	28,740.00	1,234.94		Y
	Trade	1,800,000		03/19/10	12/02/10	17,984.97	16,938.00	1,046.97		Y
	Trade	2,900,000		03/22/10	12/02/10	28,975.78	27,550.00	1,425.78		Y
	Trade	1,000,000		04/27/10	12/02/10	9,991.65	8,899.70	1,091.95		Y
	Trade	1,000,000		04/27/10	12/03/10	9,989.83	8,899.70	1,090.13		Y
	Sub-Total:	20,000,000				199,280.58	189,608.90	9,671.68		Y
UDR INC REITS	Trade	3,000,000		10/06/10	11/19/10	65,398.88	64,829.10	569.78		
	Trade	1,500,000		11/24/10	12/17/10	34,349.40	33,614.70	734.70		
	Sub-Total:	4,500,000				99,748.28	98,443.80	1,304.48		
UNITED PARCEL SERVICE INC CL B	Trade	2,000,000		11/08/10	11/19/10	138,877.64	138,600.00	277.64		
UNITEDHEALTH GROUP INC	Trade	3,000,000		12/28/09	01/15/10	95,578.74	94,486.20	1,092.54		Y
	Trade	3,000,000		04/09/10	04/19/10	94,049.16	94,948.31	(899.15)		
	Sub-Total:	6,000,000				189,627.90	189,434.51	193.39		
US BANCORP DEL (NEW)	Trade	4,000,000		01/05/10	01/15/10	92,678.78	91,421.60	1,257.18		
	Trade	2,500,000		02/10/10	02/19/10	58,869.24	58,850.00	19.24		
	Trade	3,000,000		08/30/10	09/17/10	64,978.89	63,435.00	1,543.89		
	Trade	2,000,000		09/27/10	10/15/10	45,369.21	44,500.00	869.21		
	Trade	1,000,000		09/27/10	10/15/10	22,009.62	22,250.00	(240.38)		
	Trade	3,000,000		09/27/10	10/15/10	66,028.88	66,375.00	(346.12)		
	Trade	4,000,000		09/28/10	10/15/10	90,738.45	86,440.00	4,298.45		
	Trade	4,000,000		11/24/10	12/17/10	97,478.34	96,279.60	1,198.74		
	Sub-Total:	23,500,000				538,151.41	529,551.20	8,600.21		
VERIZON COMMUNICATIONS INC	Trade	5,000,000		03/02/10	03/19/10	145,248.15	144,650.00	598.15		
	Trade	8,000,000		11/05/10	12/17/10	258,875.61	265,200.00	(6,324.39)		
	Trade	2,000,000		11/26/10	12/17/10	64,718.90	64,390.00	328.90		
	Trade	4,000,000		11/29/10	12/17/10	129,437.79	126,760.00	2,677.79		
	Sub-Total:	19,000,000				598,280.45	601,000.00	(2,719.55)		
VISA INC CL A	Trade	1,400,000		07/30/10	08/20/10	102,618.25	101,220.00	1,398.25		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
VISA INC CL A	Trade	100,000		07/30/10	08/20/10	7,329.86	7,227.00	102.86		
	Trade	500,000		07/30/10	08/20/10	36,649.38	36,095.00	554.38		
	Trade	300,000		10/11/10	10/15/10	22,307.62	22,185.00	122.62		
	Sub-Total:	2,300,000				166,905.11	166,727.00	2,178.11		
VODAFONE GROUP PLC NEW SPON ADR	Trade	4,000,000		01/06/10	03/19/10	90,598.82	91,781.60	(1,182.78)		
	Trade	1,000,000		01/08/10	03/19/10	22,649.70	22,020.00	629.70		
	Trade	1,000,000		01/08/10	04/16/10	22,987.10	22,020.00	967.10		
	Trade	3,000,000		03/16/10	04/16/10	68,861.32	68,460.00	501.32		
	Trade	2,000,000		03/25/10	04/16/10	45,974.21	44,040.00	1,934.21		
	Trade	2,000,000		03/26/10	04/16/10	45,974.21	43,860.00	2,114.21		
	Trade	2,000,000		04/22/10	07/15/10	44,959.24	45,760.00	(800.76)		Y
	Trade	2,000,000		04/27/10	07/15/10	44,959.44	44,655.80	303.64		Y
	Trade	4,000,000		04/27/10	07/15/10	89,918.48	87,988.00	1,930.48		Y
	Trade	2,000,000		04/27/10	07/15/10	44,959.24	44,655.80	303.64		Y
	Sub-Total:	23,000,000				521,941.76	515,240.80	6,700.96		
W&T OFFSHORE INC	Trade	5,000,000		02/23/10	03/02/10	45,449.42	45,249.89	199.73		Y
	Trade	3,700,000		03/15/10	04/06/10	34,150.42	33,886.45	263.97		Y
	Trade	1,300,000		03/15/10	04/14/10	13,064.78	11,908.05	1,156.73		
	Trade	1,200,000		03/19/10	04/14/10	12,059.78	10,379.89	1,680.10		
	Trade	3,100,000		03/19/10	04/15/10	31,154.46	26,814.21	4,340.25		
	Trade	700,000		03/19/10	04/15/10	7,034.87	6,085.80	949.07		
	Trade	1,200,000		03/25/10	04/15/10	12,059.79	10,488.00	1,571.79		
	Trade	3,800,000		03/25/10	04/16/10	38,189.33	33,212.00	4,977.33		
	Trade	4,000,000		04/26/10	06/18/10	41,399.29	42,440.00	(1,040.71)		
	Trade	4,000,000		04/29/10	06/18/10	41,399.29	40,040.00	1,359.29		
	Trade	5,000,000		07/01/10	09/01/10	47,399.61	46,950.00	449.61		Y
	Sub-Total:	33,000,000				323,361.05	307,451.89	15,909.16		
WAL MART STORES INC	Trade	2,000,000		04/09/10	08/10/10	101,198.28	110,215.60	(9,017.32)		
	Trade	1,000,000		04/09/10	08/10/10	50,599.13	55,009.90	(4,410.77)		
	Trade	1,000,000		04/12/10	08/10/10	50,599.13	54,748.00	(4,148.87)		
	Trade	900,000		04/15/10	08/10/10	45,539.22	48,771.00	(3,231.78)		
	Trade	600,000		04/27/10	08/10/10	30,359.47	32,502.00	(2,142.53)		
	Trade	1,000,000		04/28/10	08/10/10	50,599.13	53,688.67	(3,089.54)		
	Sub-Total:	10,500,000				213,318.39	212,980.00	356.39		
						542,210.75	567,895.17	(25,684.42)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade	4,000,000		01/04/10	02/19/10	123,825.07	128,158.49	(4,331.42)		
	Trade	2,000,000		02/01/10	02/19/10	61,912.53	58,920.00	2,992.53		
	Trade	2,000,000		02/01/10	02/19/10	63,599.18	58,920.00	4,679.18		
	Trade	3,000,000		10/14/10	11/19/10	106,438.19	104,340.00	2,098.19		
	Trade	2,400,000		11/23/10	12/08/10	88,126.49	86,424.00	1,702.49		
	Trade	100,000		11/23/10	12/17/10	3,671.93	3,601.00	70.93		
	Sub-Total:	13,500,000				447,573.39	440,361.49	7,211.90		
WEATHERFORD INTL LTD CHF	Trade	4,000,000		02/11/10	02/19/10	62,199.20	60,892.00	1,307.20		
	Trade	4,000,000		03/22/10	04/18/10	64,398.92	63,079.60	1,319.32		
	Sub-Total:	8,000,000				126,598.12	123,971.60	2,626.52		
WELLS FARGO & CO NEW	Trade	3,000,000		02/26/10	03/16/10	83,030.76	82,140.00	890.76		
	Trade	300,000		03/01/10	03/16/10	8,303.06	8,201.97	101.09		
	Trade	1,700,000		03/01/10	03/19/10	47,004.38	46,477.83	526.55		
	Trade	4,000,000		10/11/10	11/19/10	100,398.69	102,840.00	(2,441.31)		
	Trade	4,000,000		10/14/10	11/19/10	102,678.26	98,040.00	4,638.26		
	Trade	6,000,000		10/14/10	11/19/10	150,598.04	147,060.00	3,538.04		
	Sub-Total:	19,000,000				492,013.19	484,759.80	7,253.39		
XEROX CORP MW + 50BP NTS 07.125% 061510 DTD062503 FC121503	Trade	110,000,000		08/04/09	02/17/10	111,796.30	114,400.00	(2,603.70)		
XL CAPITAL LTD CL A *NAME CHANGE EFF: 07/20*	Trade	2,834,000		12/24/09	02/19/10	51,011.34	53,449.24	(2,437.90)		
	Trade	2,168,000		01/06/10	02/19/10	38,987.49	39,830.57	(843.08)		
	Sub-Total:	5,000,000				89,998.83	93,279.81	(3,280.98)		
YUM! BRANDS INC	Trade	1,000,000		01/04/10	01/12/10	35,399.53	35,190.00	209.53		
	Trade	2,000,000		01/04/10	02/19/10	66,799.14	70,380.00	(3,580.86)		
	Trade	1,000,000		01/06/10	03/19/10	34,449.55	35,000.00	(550.45)		
	Trade	2,000,000		02/09/10	03/19/10	68,899.11	65,460.00	3,439.11		
	Sub-Total:	6,000,000				205,547.33	206,030.00	(482.67)		
3M CO	Trade	1,000,000		03/12/10	03/19/10	81,478.96	81,070.00	408.96		
Total Short-Term Gain/Loss						51,945,974.91	51,244,090.28	701,884.63		

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Unclassified Gain/Loss

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This section includes securities that cannot be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided. This section may also include securities not reported on Form 1099-B, as well as securities classified as a percentage of both short-term and long-term holding periods, the "60/40 rule." Broad-Based Index options may also be subject to Mark-to-Market rules. Please consult your tax advisor for proper tax treatment of these types of transactions.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LINN ENERGY LLC UNITS	Trade	3,500.000		03/15/10	05/04/10	91,960.93		-Not Calculated-	Not calculated	
REPSTG LTD LIABILITY CO	Trade	2,000.000		03/15/10	05/04/10	53,799.09		-Not Calculated-	Not calculated	
INTS										
Sub-Total:		5,500.000				145,760.02				

Total Unclassified Gain/Loss

145,760.02

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2010 Consolidated Form 1099

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2010 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "4" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net
Short-term Gain/Loss:	\$ 34,441.61	\$ 43,221.88	\$ 9,332.77	\$ (552.50)	\$ 8,780.27
Long-term Gain/Loss:	\$ 17,501.92	\$ 23,958.56	\$ 6,685.60	\$ (228.86)	\$ 6,456.74
Sub Total:	\$ 51,943.43	\$ 67,180.44	\$ 16,018.37	\$ (781.36)	\$ 15,237.01
Total:	\$ 51,943.43	\$ 67,180.44	\$ 16,018.37	\$ (781.36)	\$ 15,237.01

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AEGON NV ADR N Y SHS NETHERLANDS ADR	Trade	242 000		05/29/09	05/21/10	1,408.56	1,501.92	(93.36)		Y
ALUMINA LTD SPON ADR	Trade	142 000		05/19/10	10/11/10	1,137.02	785.03	351.99		Y
ANGLOGOLD ASHANTI LTD NEW SPON ADR	Trade	14 000		05/29/09	05/28/10	585.45	582.54	2.91		Y
BARRICK GOLD CORP CAD	Trade	21 000		05/29/09	05/07/10	903.49	797.78	105.71		Y
BELGACOM SA ADR	Trade	462 000		06/03/09	04/15/10	3,549.72	2,902.61	647.11		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BP PLC SPON ADR	Trade	71,000		05/29/09	05/21/10	3,129.36	3,499.55	(370.19)		Y
	Trade	10,000		04/30/10	05/21/10	440.76	529.71	(88.95)		Y
	Trade	32,000		06/18/10	07/15/10	1,183.23	1,030.85	152.38		Y
	Trade	49,000		06/18/10	08/04/10	1,930.72	1,578.49	352.23		Y
	Trade	20,000		06/18/10	11/03/10	843.67	644.28	199.39		Y
	Trade	20,000		07/01/10	11/03/10	843.67	602.73	240.94		Y
	Trade	43,000		07/01/10	11/09/10	1,881.61	1,295.87	585.74		Y
	Trade	43,000		07/01/10	11/10/10	1,848.57	1,295.87	552.70		Y
Sub-Total:		288,000				12,081.59	10,477.35	1,604.24		
CAMECO CORP CANADA CAD	Trade	35,000		03/03/10	12/01/10	1,307.51	945.64	361.87		Y
	Trade	27,000		04/07/10	12/01/10	1,008.65	726.77	281.88		Y
Sub-Total:		62,000				2,316.16	1,672.41	643.75		
CARREFOUR SA ADR	Trade	75,000		10/05/09	09/27/10	818.25	655.39	162.86		Y
EMBRAER AIRCRAFT CORP	Trade	32,000		10/30/09	07/30/10	832.85	705.35	127.50		Y
NAME CHANGE: 12/2010 ADR										
FUJIFILM HOLDINGS CORP ADR	Trade	42,000		05/29/09	03/08/10	1,354.88	1,210.89	143.99		Y
IVANHOE MINES LTD CAD	Trade	75,000		07/01/10	11/08/10	1,955.65	839.83	1,015.82		Y
MAGNA INTL INC CL A SUB	Trade	3,000		05/29/09	05/07/10	211.90	97.16	114.74		Y
VTG CANADA ORD CAD	Trade	13,000		05/29/09	05/10/10	940.77	421.03	519.74		Y
	Trade	5,000		07/01/10	10/15/10	437.89	317.98	119.91		Y
	Trade	24,000		07/01/10	12/10/10	1,208.86	763.14	445.72		Y
	Trade	2,000		07/01/10	12/13/10	100.87	63.60	37.27		Y
Sub-Total:		47,000				2,900.09	1,662.91	1,237.18		
NINTENDO LTD ADR NEW JAPAN ADR	Trade	10,000		08/03/09	03/24/10	417.79	339.50	78.29		Y
	Trade	4,000		08/03/09	03/25/10	164.87	135.80	29.07		Y
Sub-Total:		14,000				582.66	475.30	107.36		
NOVARTIS AG SPON ADR	Trade	26,000		05/29/09	01/08/10	1,354.59	1,036.85	317.74		Y
	Trade	17,000		05/29/09	01/12/10	890.20	677.94	212.26		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NOVARTIS AG SPON ADR	Trade	16,000		05/29/09	01/14/10	848.97	638.06	210.91		Y
	Trade	33,000		05/29/09	01/20/10	1,765.22	1,316.01	449.21		Y
	Sub-Total:	92,000				4,858.98	3,668.86	1,190.12		
REXAM PLC SPON ADR	Trade	37,000		10/27/09	06/04/10	862.41	841.13	21.28		Y
SEGA SAMMY HLDG INC SPON ADR	Trade	467,000		07/01/10	10/27/10	1,834.39	1,690.54	143.85		Y
SEVEN & I HLDGS CO LTD ADR	Trade	16,000		05/29/09	05/12/10	808.03	777.60	31.43		Y
SHISEIDO CO LTD SPONS ADR JAPAN	Trade	36,000		05/29/09	03/04/10	812.46	609.48	202.98		Y
TDK CORP ADR JAPAN ADR	Trade	27,000		05/29/09	05/08/10	1,750.90	1,203.93	546.97		Y
TECHNIP SA NEW SPON ADR	Trade	14,000		05/29/09	02/23/10	1,009.73	689.64	320.09		Y
	Trade	12,000		05/29/09	02/24/10	857.61	591.12	266.49		Y
	Sub-Total:	26,000				1,867.34	1,280.76	586.58		
Total Short-Term Gain/Loss						43,221.88	34,441.61	8,780.27		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CAMECO CORP CANADA CAD	Trade	57,000		06/29/09	12/01/10	2,129.37	1,485.68	643.69		Y
CARREFOUR SA ADR	Trade	92,000		09/25/09	09/27/10	1,003.73	833.43	170.30		Y
EMBRAER AIRCRAFT CORP	Trade	5,000		10/30/09	12/06/10	149.04	110.21	38.83		Y
**NAME CHANGE:	Trade	8,000		11/03/09	12/06/10	238.47	161.16	77.31		Y
12/2010** ADR	Trade	29,000		11/03/09	12/07/10	883.59	584.20	279.39		Y
	Sub-Total:	42,000				1,251.10	855.57	395.53		
IVANHOE MINES LTD CAD	Trade	34,000		05/29/09	07/21/10	573.68	187.29	386.39		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
IVANHOE MINES LTD CAD	Trade	8,000		05/29/09	09/24/10	186.80	44.07	142.73		Y
	Trade	25,000		05/29/09	09/24/10	589.99	137.71	452.28		Y
	Trade	35,000		05/29/09	11/08/10	912.63	192.79	719.84		Y
Sub-Total:		102,000				2,263.10	561.86	1,701.24		
KINROSS GOLD CORP NEW CAD	Trade	40,000		07/07/09	09/29/10	760.13	739.79	20.34		Y
	Trade	39,000		07/07/09	10/01/10	740.45	721.30	19.15		Y
	Trade	3,000		07/09/09	10/01/10	56.96	53.87	3.09		Y
Sub-Total:		82,000				1,557.54	1,514.96	42.58		
MAGNA INTL INC CL A SUB VTG CANADA ORD CAD	Trade	7,000		05/29/09	09/08/10	553.87	226.71	327.16		Y
	Trade	9,000		05/29/09	09/14/10	711.56	291.48	420.08		Y
	Trade	11,000		05/29/09	09/27/10	873.91	356.26	517.65		Y
	Trade	7,000		05/29/09	10/15/10	612.76	226.71	386.05		Y
Sub-Total:		34,000				2,752.10	1,101.16	1,650.94		
NEWCREST MINING LTD SPON AUSTRALIA ADR	Trade	25,000		08/10/09	10/27/10	958.20	627.86	330.34		Y
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	Trade	45,000		05/29/09	10/05/10	2,678.91	2,446.33	232.58		Y
SEGA SAMMY HLDG INC SPON ADR	Trade	801,000		06/03/09	10/27/10	3,146.35	2,328.50	819.85		Y
SHISEIDO CO LTD SPONS ADR JAPAN	Trade	60,000		05/29/09	07/16/10	1,337.99	1,015.80	322.19		Y
SOCIETE GENERALE FRANCE SPONS ADR ADR	Trade	83,000		05/29/09	08/10/10	984.00	962.80	21.20		Y
UNITED UTILITIES GROUP ADR	Trade	173,000		05/29/09	08/18/10	2,765.77	2,994.63	(228.86)		Y
VODAFONE GROUP PLC NEW SPON ADR	Trade	41,000		05/29/09	11/02/10	1,130.40	775.24	355.16		Y
Total Long-Term Gain/Loss						23,958.56	17,501.82	6,456.74		

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2010 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

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This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gain	Loss	Net
					Gain/Loss
Short-term Gain/Loss:	\$ 392,540.29	\$ 434,863.52	\$ 57,350.82	\$ (15,027.39)	\$ 42,323.23
Long-term Gain/Loss:	28,942.15	43,732.18	14,805.28	(15.25)	14,790.03
Sub Total:	\$ 421,482.44	\$ 478,595.70	\$ 72,155.90	\$ (15,042.64)	\$ 57,113.26
Total:	\$ 421,482.44	\$ 478,595.70	\$ 72,155.90	\$ (15,042.64)	\$ 57,113.26

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date	Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALEXION PHARMACEUTICALS INC	Trade	7,000		10/26/09	09/20/10	09/20/10	429.77	338.60	93.17		Y
	Trade	8,000		10/27/09	09/20/10	09/20/10	368.37	290.04	78.33		Y
	Trade	1,000		04/22/10	09/20/10	09/20/10	61.40	55.77	5.63		Y
	Trade	3,000		05/12/10	09/20/10	09/20/10	184.19	158.83	25.36		Y
	Trade	3,000		06/30/10	10/29/10	10/29/10	205.28	155.86	49.42		Y
	Trade	8,000		07/01/10	10/29/10	10/29/10	547.41	398.02	149.39		Y
Sub-Total:		28,000					1,796.42	1,394.92	401.50		
ALLIANCE DATA SYSTEMS CORP	Trade	2,000		08/04/09	05/08/10	05/08/10	149.84	107.01	42.83		Y
	Trade	5,000		08/05/09	05/08/10	05/08/10	374.81	284.41	110.20		Y
	Trade	7,000		10/23/09	09/20/10	09/20/10	452.12	423.22	28.90		Y
	Trade	4,000		11/25/09	09/20/10	09/20/10	258.35	251.14	7.21		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

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Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALLIANCE DATA SYSTEMS CORP	Trade	9,000		07/01/10	09/28/10	594.28	536.40	57.86		Y
	Trade	21,000		07/01/10	10/25/10	1,353.87	1,251.60	102.27		Y
	Trade	23,000		07/13/10	10/25/10	1,482.80	1,350.63	132.17		Y
	Sub-Total:	71,000				4,665.85	4,184.41	481.44		
AMAZON.COM INC	Trade	4,000		11/25/09	07/19/10	471.38	532.39	(61.01)		Y
	Trade	9,000		07/02/10	07/19/10	1,080.62	986.76	73.86		Y
	Trade	20,000		07/27/10	09/20/10	3,031.15	2,335.00	696.15		Y
	Sub-Total:	33,000				4,563.15	3,854.15	709.00		
AMER AXLE & MFG HOLDINGS INC	Trade	64,000		01/28/10	04/01/10	634.87	601.05	33.82		Y
	Trade	20,000		01/29/10	04/01/10	198.40	194.75	3.65		Y
	Trade	7,000		02/01/10	04/01/10	69.44	67.49	1.95		Y
	Trade	14,000		02/01/10	04/05/10	144.63	134.98	9.65		Y
	Trade	39,000		02/04/10	04/05/10	402.90	365.36	37.54		Y
	Trade	40,000		02/04/10	04/29/10	444.75	374.72	70.03		Y
	Trade	50,000		02/05/10	04/29/10	555.94	433.25	122.69		Y
	Trade	61,000		02/23/10	04/29/10	712.56	564.25	148.31		Y
	Trade	30,000		02/23/10	04/29/10	333.56	277.50	56.06		Y
	Trade	10,000		02/23/10	04/30/10	106.83	92.50	14.33		Y
	Trade	27,000		02/24/10	04/30/10	288.44	257.80	30.64		Y
	Trade	24,000		02/25/10	04/30/10	256.39	223.75	32.64		Y
	Sub-Total:	386,000				4,148.71	3,587.40	561.31		
AMER EXPRESS CO	Trade	20,000		02/03/10	09/20/10	864.62	769.92	94.70		Y
	Trade	13,000		03/29/10	09/20/10	562.01	540.80	21.21		Y
	Trade	12,000		03/30/10	09/20/10	516.77	497.26	21.51		Y
	Trade	11,000		03/31/10	09/20/10	475.54	454.93	20.61		Y
	Trade	10,000		04/23/10	09/20/10	432.31	488.48	(56.17)		Y
	Trade	2,000		07/01/10	09/20/10	86.46	78.40	8.06		Y
	Trade	46,000		07/01/10	11/09/10	2,018.03	1,803.15	214.88		Y
AMER TOWER CORP CL A	Sub-Total:	114,000				4,957.74	4,632.94	324.80		
	Trade	61,000		03/30/10	09/20/10	3,054.82	2,605.10	449.72		Y
AMPHENOL CORP NEW CL A	Trade	47,000		05/28/09	01/14/10	2,117.48	1,560.87	556.61		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ANHUEUSER BUSCH INBEV	Trade	22,000		01/21/10	02/23/10	1,081.75	1,058.12	23.63		Y
SPON ADR	Trade	7,000		01/21/10	03/04/10	348.76	336.67	12.09		Y
	Trade	12,000		01/22/10	03/04/10	597.88	585.97	11.91		Y
	Trade	8,000		01/22/10	03/05/10	295.93	292.99	2.94		Y
	Trade	12,000		01/28/10	03/05/10	591.87	587.30	4.57		Y
Sub-Total:		59,000				2,916.19	2,861.05	55.14		
ANSYS INC	Trade	11,000		01/27/10	08/26/10	439.68	452.71	(13.05)		Y
	Trade	11,000		01/28/10	08/26/10	439.68	482.59	(22.93)		Y
	Trade	5,000		01/29/10	08/26/10	199.84	211.06	(11.22)		Y
	Trade	5,000		02/01/10	08/26/10	199.84	209.10	(9.26)		Y
	Trade	9,000		02/02/10	08/26/10	359.72	381.14	(21.42)		Y
	Trade	12,000		02/02/10	08/27/10	477.84	508.18	(30.34)		Y
	Trade	14,000		02/03/10	08/27/10	557.48	595.00	(37.52)		Y
	Trade	14,000		07/01/10	08/27/10	557.48	558.50	(1.02)		Y
	Trade	41,000		07/01/10	08/30/10	1,630.96	1,635.61	(4.65)		Y
Sub-Total:		122,000				4,862.48	5,013.89	(151.41)		
APPLE INC	Trade	2,000		05/28/09	03/31/10	470.83	269.92	200.91		Y
	Trade	2,000		05/28/09	04/08/10	478.80	269.92	208.88		Y
	Trade	3,000		10/13/09	09/20/10	850.88	569.99	280.87		Y
	Trade	4,000		10/14/09	09/20/10	1,134.47	766.39	368.08		Y
	Trade	4,000		11/19/09	09/20/10	1,134.47	807.86	326.61		Y
	Trade	2,000		11/24/09	09/20/10	567.24	408.94	158.30		Y
	Trade	1,000		11/24/09	11/04/10	318.55	204.47	114.08		Y
Sub-Total:		18,000				4,955.22	3,297.49	1,657.73		
ASIAINFO-LINKAGE INC	Trade	20,000		08/20/09	08/12/10	406.67	358.18	48.49		Y
	Trade	18,000		08/21/09	08/12/10	325.33	298.98	26.35		Y
	Trade	11,000		08/21/09	08/13/10	225.56	205.55	20.01		Y
	Trade	15,000		01/29/10	08/13/10	307.58	361.90	(54.32)		Y
	Trade	8,000		02/01/10	08/13/10	164.04	199.77	(35.73)		Y
	Trade	5,000		02/17/10	08/13/10	102.53	122.63	(20.10)		Y
	Trade	27,000		07/01/10	08/13/10	553.64	577.80	(24.16)		Y
	Trade	34,000		07/01/10	08/16/10	696.98	727.80	(30.82)		Y
	Trade	34,000		07/01/10	08/17/10	712.17	727.60	(15.43)		Y
Sub-Total:		170,000				3,494.50	3,580.01	(85.51)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AUTOLIV INC \$0.20	Trade	16,000		05/28/09	01/15/10	708.52	414.88	293.64		Y
	Trade	10,000		05/28/09	01/19/10	430.99	259.30	171.69		Y
	Trade	3,000		05/28/09	01/20/10	126.56	77.79	48.77		Y
	Trade	10,000		06/03/09	01/20/10	422.70	279.01	143.69		Y
	Trade	19,000		06/03/09	01/20/10	801.52	530.12	271.40		Y
	Sub-Total:	58,000				2,490.29	1,561.10	929.19		
AUXILIUM PHARMACEUTICALS INC	Trade	38,000		08/05/10	09/20/10	1,039.28	969.00	70.28		Y
	Trade	24,000		08/06/10	09/20/10	656.39	630.43	25.96		Y
	Trade	10,000		08/06/10	11/02/10	240.22	262.68	(22.46)		Y
	Trade	1,000		08/11/10	11/02/10	24.02	24.64	(.62)		Y
	Trade	20,000		08/11/10	11/03/10	476.24	492.80	(16.56)		Y
	Trade	9,000		08/11/10	11/03/10	216.21	221.78	(5.55)		Y
	Trade	4,000		08/11/10	11/04/10	88.39	98.58	(10.17)		Y
	Trade	20,000		08/12/10	11/04/10	442.65	493.85	(51.20)		Y
	Trade	21,000		08/12/10	11/04/10	464.08	518.54	(54.48)		Y
	Trade	5,000		09/24/10	11/04/10	110.66	130.75	(20.09)		Y
	Sub-Total:	152,000				3,758.12	3,843.01	(84.89)		
AVIS BUDGET GROUP INC	Trade	29,000		04/06/10	09/20/10	328.03	388.25	(60.22)		Y
	Trade	29,000		04/06/10	09/20/10	328.03	380.83	(52.80)		Y
	Trade	24,000		04/07/10	09/20/10	271.47	323.24	(51.77)		Y
	Trade	14,000		04/07/10	09/20/10	158.36	196.00	(37.64)		Y
	Trade	41,000		04/15/10	09/20/10	463.77	616.55	(152.78)		Y
	Trade	3,000		07/01/10	09/20/10	33.93	28.80	5.13		Y
	Trade	100,000		07/01/10	11/17/10	1,337.36	959.90	377.46		Y
	Trade	7,000		07/01/10	11/18/10	94.31	67.19	27.12		Y
	Trade	82,000		07/29/10	11/18/10	1,104.72	948.11	156.61		Y
	Trade	10,000		09/24/10	11/18/10	134.72	108.70	26.02		Y
	Sub-Total:	339,000				4,254.70	4,017.57	237.13		
AVON PRODUCTS INC	Trade	12,000		07/30/09	04/14/10	395.57	386.78	8.81		Y
	Trade	14,000		07/30/09	04/14/10	457.79	451.22	6.57		Y
	Trade	17,000		07/30/09	04/16/10	570.51	547.91	22.60		Y
	Trade	23,000		07/30/09	04/20/10	776.54	741.29	35.25		Y
	Trade	8,000		10/26/09	04/20/10	202.58	207.12	(4.54)		Y
	Trade	9,000		10/27/09	04/20/10	303.86	309.98	(6.12)		Y
	Trade	5,000		10/29/09	04/20/10	168.81	163.50	5.31		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AVON PRODUCTS INC	Trade	5,000		12/29/09	04/20/10	168.81	161.72	7.09		Y
	Sub-Total:	91,000				3,044.47	2,969.50	74.97		
BANK OF AMER CORP	Trade	65,000		05/28/09	04/14/10	1,260.94	716.95	543.99		Y
	Trade	89,000		11/20/09	07/19/10	1,198.81	1,439.00	(240.19)		Y
	Trade	130,000		07/01/10	07/19/10	1,751.07	1,827.54	(76.47)		Y
	Sub-Total:	284,000				4,210.82	3,983.49	227.33		
BAXTER INTL INC	Trade	30,000		05/28/09	01/27/10	1,743.20	1,499.10	244.10		Y
	Trade	5,000		10/05/09	01/27/10	290.53	282.80	7.73		Y
	Sub-Total:	35,000				2,033.73	1,781.90	251.83		
BEMIS CO INC	Trade	62,000		07/23/10	09/20/10	1,935.60	1,868.20	69.40		Y
BJ'S WHSL CLUB INC	Trade	12,000		09/21/09	07/07/10	512.52	437.63	74.89		Y
	Trade	15,000		09/22/09	07/07/10	840.84	547.50	93.14		Y
	Trade	9,000		09/22/09	09/20/10	389.18	328.50	60.68		Y
	Trade	12,000		10/07/09	09/20/10	518.91	439.37	79.54		Y
	Trade	8,000		10/14/09	09/20/10	259.48	217.07	42.39		Y
	Trade	5,000		07/01/10	09/20/10	216.21	215.07	1.14		Y
	Trade	14,000		07/01/10	09/24/10	604.41	802.18	2.23		Y
	Trade	15,000		07/01/10	09/27/10	631.50	645.20	(13.70)		Y
	Trade	16,000		07/01/10	09/28/10	681.57	688.21	(26.64)		Y
	Sub-Total:	104,000				4,434.40	4,120.73	313.67		
BORG WARNER INC	Trade	22,000		04/29/10	09/20/10	1,065.08	989.75	95.33		Y
	Trade	33,000		04/29/10	09/20/10	1,597.81	1,440.03	157.58		Y
	Sub-Total:	55,000				2,662.89	2,409.78	252.91		
BROADCOM CORP CL A	Trade	17,000		11/19/09	03/30/10	566.80	490.26	76.54		Y
	Trade	19,000		11/19/09	09/18/10	866.97	554.52	112.45		Y
	Trade	10,000		11/19/09	09/16/10	351.04	288.39	62.65		Y
	Trade	30,000		11/20/09	09/16/10	1,053.11	855.54	197.57		Y
	Trade	9,000		01/14/10	09/16/10	315.93	274.50	41.43		Y
	Trade	7,000		01/14/10	09/17/10	242.82	213.50	29.32		Y
	Trade	60,000		07/01/10	09/17/10	2,081.29	1,980.48	100.81		Y
	Sub-Total:	152,000				5,277.96	4,857.19	620.77		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BRUKER CORP	Trade	22,000		01/07/10	04/15/10	335.71	299.20	36.51		Y
	Trade	9,000		01/08/10	04/15/10	137.34	121.58	15.78		Y
	Trade	1,000		01/08/10	04/29/10	15.39	13.51	1.88		Y
	Trade	5,000		01/11/10	04/29/10	76.94	68.22	8.72		Y
	Trade	27,000		01/12/10	04/29/10	415.50	357.13	58.37		Y
	Trade	3,000		01/12/10	04/29/10	46.17	39.84	6.33		Y
	Trade	25,000		01/12/10	08/05/10	338.83	332.00	6.83		Y
	Trade	20,000		01/13/10	08/05/10	271.08	263.55	7.51		Y
	Trade	32,000		01/13/10	08/05/10	433.70	420.07	13.63		Y
	Trade	13,000		01/13/10	09/20/10	180.09	171.30	8.79		Y
	Trade	33,000		02/01/10	09/20/10	457.18	419.43	37.73		Y
	Trade	36,000		06/15/10	09/20/10	498.72	472.78	25.96		Y
	Trade	28,000		08/16/10	09/20/10	387.89	378.06	9.83		Y
	Trade	3,000		07/01/10	09/20/10	41.58	35.12	6.44		Y
Sub-Total:		257,000				3,636.06	3,391.75	244.31		
CITRIX SYSTEMS INC	Trade	15,000		08/04/10	09/20/10	1,052.41	871.49	180.92		Y
	Trade	18,000		08/05/10	09/20/10	1,122.57	920.44	202.13		Y
	Trade	18,000		08/06/10	09/20/10	1,122.57	927.86	194.71		Y
	Trade	2,000		08/09/10	09/20/10	140.32	118.35	23.97		Y
Sub-Total:		49,000				3,437.87	2,836.14	601.73		
COMMSCOPE INC ***MERGER EFF: 01/2011***	Trade	9,000		04/16/10	08/17/10	185.31	278.90	(93.59)		Y
	Trade	3,000		04/16/10	08/17/10	61.77	91.83	(30.06)		Y
	Trade	8,000		04/16/10	08/18/10	164.00	247.91	(83.91)		Y
	Trade	13,000		04/19/10	08/18/10	268.49	388.93	(122.44)		Y
	Trade	18,000		04/22/10	08/18/10	368.99	552.39	(183.40)		Y
	Trade	6,000		04/22/10	08/19/10	120.63	184.13	(63.50)		Y
	Trade	27,000		04/22/10	08/19/10	542.83	847.86	(305.03)		Y
	Trade	10,000		04/30/10	08/19/10	201.05	328.74	(127.69)		Y
	Trade	7,000		04/30/10	08/20/10	140.00	230.12	(90.12)		Y
	Trade	36,000		07/01/10	08/20/10	719.98	843.84	(123.86)		Y
	Trade	44,000		07/01/10	08/24/10	820.35	1,031.36	(211.01)		Y
	Sub-Total:		181,000			3,591.40	5,026.01	(1,434.61)		
CONCHO RESOURCES INC	Trade	8,000		08/08/09	06/04/10	434.59	251.18	183.43		Y
	Trade	1,000		06/09/09	06/04/10	54.32	31.58	22.74		Y
	Trade	12,000		01/27/10	09/20/10	777.11	530.74	246.37		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Safe Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CONCHO RESOURCES INC										
Trade	Trade	20,000		02/02/10	09/20/10	1,295.19	929.51	365.68		Y
Trade	Trade	1,000		02/02/10	09/22/10	62.75	46.48	16.27		Y
Trade	Trade	5,000		03/11/10	09/22/10	313.75	249.38	84.37		Y
Trade	Trade	7,000		07/01/10	09/22/10	439.24	383.81	55.43		Y
Trade	Trade	18,000		07/01/10	12/13/10	1,520.34	986.94	533.40		Y
Sub-Total:		72,000				4,897.29	3,409.60	1,487.69		
CONCUR TECHNOLOGIES INC										
Trade	Trade	19,000		08/12/10	09/20/10	990.01	848.31	141.70		Y
Trade	Trade	20,000		08/13/10	09/20/10	1,042.12	896.34	145.78		Y
Trade	Trade	8,000		08/16/10	09/20/10	416.85	360.42	56.43		Y
Trade	Trade	10,000		08/18/10	10/07/10	469.97	450.53	19.44		Y
Sub-Total:		57,000				2,918.95	2,555.60	363.35		
CONOCOPHILLIPS										
Trade	Trade	22,000		07/30/10	09/20/10	1,254.89	1,214.52	40.37		Y
Trade	Trade	21,000		07/30/10	09/20/10	1,197.85	1,160.45	37.40		Y
Trade	Trade	12,000		08/02/10	09/20/10	684.49	674.22	10.27		Y
Sub-Total:		55,000				3,137.23	3,049.19	88.04		
COVIDIEN PLC										
Trade	Trade	10,000		01/20/10	09/20/10	394.30	518.58	(124.28)		Y
Trade	Trade	19,000		01/20/10	09/20/10	749.17	981.67	(232.50)		Y
Trade	Trade	7,000		01/27/10	09/20/10	276.01	355.30	(79.29)		Y
Trade	Trade	6,000		08/15/10	09/20/10	236.58	252.59	(16.01)		Y
Trade	Trade	10,000		08/15/10	09/29/10	401.91	420.99	(19.08)		Y
Trade	Trade	7,000		08/15/10	09/30/10	283.02	294.89	(11.87)		Y
Trade	Trade	4,000		07/01/10	09/30/10	161.72	158.64	3.08		Y
Trade	Trade	12,000		07/01/10	10/01/10	488.13	475.92	10.21		Y
Trade	Trade	29,000		07/01/10	10/04/10	1,151.02	1,150.14	.88		Y
Sub-Total:		104,000				4,139.86	4,608.52	(468.66)		
CTRIPO.COM INTL LTD ADS										
Trade	Trade	25,000		07/08/10	08/09/10	1,074.98	879.98	195.00		Y
Trade	Trade	13,000		07/09/10	08/09/10	558.99	468.03	90.96		Y
Trade	Trade	11,000		07/09/10	08/25/10	428.48	396.03	32.45		Y
Trade	Trade	24,000		07/12/10	08/25/10	934.88	854.41	80.47		Y
Sub-Total:		73,000				2,997.33	2,598.45	398.88		
CUMMINS INC										
Trade	Trade	15,000		07/07/10	09/20/10	1,322.22	1,008.11	314.11		Y
Trade	Trade	15,000		07/08/10	09/20/10	1,322.22	1,019.04	303.18		Y
Trade	Trade	5,000		07/09/10	09/20/10	440.74	350.47	90.27		Y
Sub-Total:		35,000				3,085.18	2,377.62	707.56		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
DAIMLER AG ORD EUR	Trade	4,000		03/16/10	09/20/10	238.28	187.44	50.84		Y
	Trade	17,000		03/18/10	09/20/10	1,012.67	798.05	214.62		Y
	Trade	7,000		03/18/10	09/20/10	416.98	329.00	87.98		Y
	Trade	6,000		03/19/10	09/20/10	357.41	282.00	75.41		Y
	Trade	11,000		03/19/10	09/20/10	855.26	513.69	141.57		Y
	Trade	8,000		03/19/10	09/20/10	476.55	373.09	103.46		Y
	Trade	10,000		03/22/10	09/20/10	595.69	462.47	133.22		Y
	Trade	5,000		04/14/10	10/14/10	333.56	248.89	84.67		Y
	Trade	4,000		04/15/10	10/14/10	286.84	198.24	88.60		Y
	Trade	5,000		04/15/10	10/26/10	338.17	250.83	87.34		Y
	Trade	1,000		04/15/10	10/26/10	67.63	49.58	18.07		Y
	Trade	3,000		04/18/10	10/26/10	202.90	150.60	52.30		Y
	Trade	7,000		07/01/10	10/26/10	473.44	355.46	117.98		Y
	Trade	17,000		07/01/10	10/28/10	1,110.68	863.26	247.42		Y
	Sub-Total:	105,000				6,546.06	5,062.58	1,483.48		
DANA HOLDING CORP	Trade	18,000		09/24/09	02/22/10	195.29	122.39	72.90		Y
	Trade	16,000		09/24/09	02/23/10	171.04	108.80	62.24		Y
	Trade	17,000		09/24/09	02/23/10	180.90	115.59	65.31		Y
	Trade	17,000		09/24/09	02/23/10	181.23	115.59	65.64		Y
	Trade	17,000		09/24/09	02/23/10	182.42	115.59	66.83		Y
	Trade	2,000		09/24/09	02/24/10	21.69	13.60	8.09		Y
	Trade	40,000		09/25/09	02/24/10	433.88	270.00	163.88		Y
	Trade	62,000		09/25/09	03/05/10	732.09	418.50	313.59		Y
	Trade	26,000		09/25/09	03/16/10	302.99	175.50	127.49		Y
	Trade	28,000		09/30/09	03/16/10	331.59	181.66	149.93		Y
	Trade	50,000		09/30/09	03/16/10	582.86	324.40	258.26		Y
	Trade	55,000		12/01/09	03/16/10	651.33	440.00	211.33		Y
	Sub-Total:	348,000				3,967.11	2,401.62	1,565.49		
DAVITA INC	Trade	7,000		11/23/09	07/30/10	403.48	416.66	(13.18)		Y
	Trade	2,000		11/24/09	07/30/10	115.28	120.25	(4.97)		Y
	Trade	4,000		11/24/09	07/30/10	232.23	240.51	(8.28)		Y
	Trade	8,000		11/24/09	08/02/10	344.27	361.79	(17.52)		Y
	Trade	1,000		11/24/09	08/02/10	57.38	60.13	(2.75)		Y
	Trade	7,000		11/25/09	08/02/10	401.65	418.75	(17.10)		Y
	Trade	5,000		11/27/09	08/02/10	290.07	297.52	(7.45)		Y
	Trade	1,000		11/27/09	08/02/10	57.38	59.50	(2.12)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
DAVITA INC	Trade	10,000		07/01/10	08/02/10	580.15	613.08	(32.93)		Y
	Trade	15,000		07/01/10	08/03/10	911.72	919.62	(7.90)		Y
	Sub-Total:	58,000				3,393.61	3,507.81	(114.20)		
DELTA AIR LINES INC DELA NEW	Trade	94,000		12/02/09	09/20/10	1,087.64	863.94	223.70		Y
	Trade	10,000		12/02/09	09/20/10	114.33	91.91	22.42		Y
	Trade	51,000		12/04/09	09/20/10	590.10	495.72	94.38		Y
	Trade	37,000		12/15/09	09/20/10	428.11	408.19	21.92		Y
	Trade	43,000		04/30/10	12/22/10	528.89	517.58	11.31		Y
	Sub-Total:	235,000				2,749.07	2,375.34	373.73		
DICK'S SPORTING GOODS INC	Trade	34,000		08/02/10	09/20/10	949.28	927.14	22.12		Y
	Trade	23,000		08/03/10	09/20/10	642.15	613.02	29.13		Y
	Sub-Total:	57,000				1,591.41	1,540.16	51.25		
DISCOVERY COMMUNICATIONS INC CL A	Trade	29,000		05/28/09	02/23/10	913.57	633.56	280.01		Y
	Trade	29,000		05/28/09	02/23/10	933.73	633.56	300.17		Y
	Sub-Total:	58,000				1,847.30	1,267.12	580.18		
DOLLAR GEN CORP NEW	Trade	14,000		04/15/10	05/26/10	429.99	378.00	51.99		Y
	Trade	5,000		04/15/10	08/04/10	149.94	135.00	14.94		Y
	Trade	4,000		04/16/10	08/04/10	119.96	113.78	6.18		Y
	Trade	2,000		04/16/10	06/09/10	60.00	58.89	3.11		Y
	Trade	5,000		04/19/10	08/09/10	149.99	143.48	6.51		Y
	Trade	9,000		04/19/10	08/10/10	270.62	258.26	12.36		Y
	Trade	11,000		04/20/10	08/10/10	330.78	313.80	16.96		Y
	Trade	9,000		04/21/10	08/10/10	270.62	252.87	17.75		Y
	Trade	2,000		04/22/10	06/10/10	80.14	56.13	4.01		Y
	Trade	9,000		04/22/10	06/15/10	289.99	263.44	6.55		Y
	Trade	8,000		04/22/10	06/15/10	180.00	168.39	11.61		Y
	Sub-Total:	76,000				2,292.01	2,140.04	151.97		
DOLLAR THRIFTY AUTOMOTIVE GROUP INC	Trade	18,000		10/30/09	04/21/10	636.78	337.19	299.57		Y
	Trade	20,000		10/30/09	05/07/10	988.18	374.66	613.52		Y
	Trade	11,000		10/30/09	06/04/10	500.51	208.06	294.45		Y
	Trade	1,000		10/30/09	08/14/10	45.27	18.73	26.54		Y
	Trade	9,000		10/30/09	06/14/10	407.43	175.42	232.01		Y
	Trade	13,000		10/30/09	07/07/10	554.69	253.38	301.31		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
DOLLAR THRIFTY AUTOMOTIVE GROUP INC	Trade	11,000		11/17/09	07/07/10	489.38	209.95	259.41		Y
	Trade	14,000		11/17/09	09/16/10	707.72	267.21	440.51		Y
	Trade	11,000		12/23/09	09/16/10	556.06	296.54	259.52		Y
	Trade	8,000		07/01/10	09/16/10	404.41	332.16	72.25		Y
	Trade	13,000		07/01/10	09/20/10	660.34	539.78	120.58		Y
	Trade	19,000		07/01/10	09/23/10	998.96	788.88	210.08		Y
	Sub-Total:	148,000				6,929.69	3,799.94	3,129.75		
DREAMWORKS ANIMATION SKG CL A	Trade	12,000		03/22/10	03/30/10	474.00	502.98	(28.98)		Y
	Trade	12,000		03/23/10	03/30/10	474.00	502.12	(28.12)		Y
	Trade	11,000		03/24/10	03/30/10	434.50	461.84	(27.34)		Y
	Trade	11,000		03/25/10	03/30/10	434.50	484.68	(50.18)		Y
	Sub-Total:	46,000				1,817.00	1,951.60	(134.60)		
EATON CORP	Trade	12,000		07/22/10	09/20/10	976.07	900.30	75.77		Y
	Trade	22,000		07/23/10	09/20/10	1,789.48	1,673.03	116.43		Y
	Sub-Total:	34,000				2,765.53	2,573.33	192.20		
EBAY INC	Trade	24,000		08/06/09	01/20/10	535.77	525.06	10.71		Y
	Trade	17,000		08/07/09	01/20/10	379.50	387.58	(8.08)		Y
	Trade	26,000		08/10/09	01/20/10	580.41	587.01	(6.60)		Y
	Trade	8,000		08/10/09	06/15/10	176.99	180.62	(3.63)		Y
	Trade	23,000		08/10/09	06/15/10	508.88	513.48	(4.62)		Y
	Trade	5,000		10/08/09	08/15/10	110.82	118.70	(8.08)		Y
	Trade	14,000		10/08/09	06/16/10	312.04	332.36	(20.32)		Y
	Trade	21,000		11/19/09	06/16/10	468.06	486.92	(18.86)		Y
	Trade	5,000		02/17/10	08/16/10	111.44	113.29	(1.85)		Y
	Sub-Total:	143,000				3,183.69	3,245.02	(61.33)		
EMC CORP MASS	Trade	96,000		01/27/10	09/20/10	2,004.51	1,669.01	335.50		Y
	Trade	29,000		01/27/10	09/20/10	605.53	509.69	95.84		Y
	Trade	17,000		01/28/10	09/20/10	354.97	287.96	67.01		Y
	Trade	19,000		01/28/10	10/01/10	388.64	321.84	64.80		Y
	Trade	9,000		06/30/10	10/01/10	183.15	187.58	(4.43)		Y
	Sub-Total:	170,000				3,534.80	2,956.08	578.72		
EQUINIX INC NEW	Trade	1,000		02/17/10	09/20/10	98.34	96.17	2.17		Y
	Trade	4,000		04/27/10	11/23/10	322.82	412.80	(89.98)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
EQUINIX INC NEW										
Trade		3,000		04/27/10	11/23/10	242.04	309.60	(67.56)		Y
Trade		1,000		07/01/10	11/23/10	80.70	79.15	1.55		Y
Trade		3,000		07/01/10	11/24/10	245.39	237.45	7.94		Y
Trade		2,000		07/01/10	11/28/10	159.99	158.30	1.69		Y
Trade		5,000		07/01/10	11/29/10	403.90	395.75	8.15		Y
Trade		18,000		07/01/10	12/22/10	1,489.31	1,424.70	44.61		Y
Sub-Total:		37,000				3,022.49	3,113.92	(91.43)		
EXPEDIA INC (DELA)										
Trade		20,000		05/28/09	02/17/10	437.79	318.16	119.63		Y
Trade		1,000		05/29/09	02/17/10	21.89	16.50	5.39		Y
Trade		20,000		05/29/09	02/18/10	449.85	329.98	119.87		Y
Trade		1,000		08/01/09	02/18/10	22.49	17.50	4.99		Y
Trade		8,000		08/01/09	02/19/10	181.71	140.00	41.71		Y
Trade		20,000		07/10/09	02/19/10	454.28	290.56	163.72		Y
Trade		25,000		11/19/09	02/19/10	587.85	615.37	(47.52)		Y
Trade		20,000		11/19/09	02/19/10	454.28	491.49	(37.21)		Y
Sub-Total:		115,000				2,590.14	2,219.56	370.58		
EXPRESS SCRIPTS INC										
Trade		9,000		02/23/10	08/22/10	463.04	395.15	87.89		Y
Trade		12,000		02/23/10	07/22/10	512.09	526.86	(14.77)		Y
Trade		1,000		02/23/10	07/23/10	42.81	43.91	(1.30)		Y
Trade		20,000		02/23/10	09/20/10	953.23	878.10	75.13		Y
Trade		10,000		02/25/10	09/20/10	476.82	474.82	1.80		Y
Trade		10,000		02/25/10	09/20/10	476.92	478.42	(1.80)		Y
Trade		7,000		03/05/10	09/20/10	333.63	346.88	(13.25)		Y
Sub-Total:		69,000				3,257.84	3,144.14	113.70		
FEDEX CORP										
Trade		17,000		03/17/10	09/20/10	1,412.50	1,517.25	(104.75)		Y
Trade		7,000		03/19/10	09/20/10	581.92	635.85	(54.23)		Y
Trade		3,000		03/19/10	11/01/10	261.39	272.51	(11.12)		Y
Trade		4,000		03/29/10	11/01/10	348.52	369.22	(20.70)		Y
Trade		2,000		03/29/10	11/02/10	173.25	184.61	(11.36)		Y
Trade		7,000		07/01/10	11/02/10	808.36	497.35	109.01		Y
Trade		9,000		07/01/10	11/03/10	785.72	639.45	146.27		Y
Trade		9,000		07/01/10	11/04/10	798.31	639.45	158.86		Y
Sub-Total:		58,000				4,967.67	4,755.69	211.98		
FINISAR CORP COM NEW										
Trade		26,000		10/14/10	12/09/10	639.33	539.57	99.76		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FINISAR CORP COM NEW	Trade	2,000		10/14/10	12/10/10	51.48	41.51	9.97		Y
	Trade	24,000		10/15/10	12/10/10	617.78	504.00	113.78		Y
	Sub-Total:	52,000				1,308.59	1,085.08	223.51		
FOSTER WHEELER AG CHF	Trade	19,000		05/28/09	02/05/10	508.34	496.98	11.38		Y
	Trade	11,000		05/28/09	02/08/10	284.39	287.71	(3.32)		Y
	Trade	12,000		05/28/09	02/09/10	311.88	313.87	(1.99)		Y
	Trade	13,000		05/28/09	02/09/10	341.19	340.02	1.17		Y
Sub-Total:		55,000				1,445.80	1,438.56	7.24		
	Trade	21,000		10/02/09	01/28/10	1,435.70	1,370.22	65.48		Y
FREEPORT-MCMORAN COPPER & GOLD INC	Trade	8,000		10/21/09	04/08/10	503.95	335.00	168.95		Y
	Trade	3,000		10/21/09	09/24/10	315.95	125.63	190.32		Y
F5 NETWORKS INC	Trade	5,000		10/22/09	09/24/10	526.59	239.30	287.29		Y
	Trade	6,000		11/20/09	09/24/10	631.91	281.50	350.41		Y
GARTNER INC	Trade	10,000		02/03/10	09/24/10	1,053.18	505.24	547.94		Y
	Trade	4,000		02/03/10	11/15/10	482.09	202.09	280.00		Y
Sub-Total:		47,000				4,921.29	2,481.23	2,440.06		
	Trade	13,000		01/07/10	09/20/10	367.24	283.36	103.88		Y
Sub-Total:		111,000				3,194.54	2,352.94	841.60		
	Trade	3,000		01/11/10	09/20/10	84.75	61.44	23.31		Y
Sub-Total:		27,000		01/12/10	09/20/10	762.74	552.96	209.78		Y
	Trade	8,000		01/13/10	09/20/10	226.00	167.20	58.80		Y
Sub-Total:		7,000		01/14/10	09/20/10	197.75	153.85	43.90		Y
	Trade	8,000		01/15/10	09/20/10	228.00	177.19	48.81		Y
Sub-Total:		17,000		01/19/10	09/20/10	226.00	178.47	47.53		Y
	Trade	3,000		01/20/10	09/20/10	480.24	369.88	110.36		Y
Sub-Total:		6,000		01/20/10	10/29/10	84.75	65.83	18.92		Y
	Trade	7,000		02/09/10	10/29/10	221.97	146.99	74.98		Y
Sub-Total:		4,000		02/10/10	10/29/10	126.84	84.11	42.73		Y
	Sub-Total:	111,000				3,194.54	2,352.94	841.60		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GENTIVA HEALTH SERVICES INC	Trade	19,000		05/24/10	07/26/10	370.50	550.29	(179.79)		Y
	Trade	1,000		05/28/10	07/26/10	19.50	28.51	(9.01)		Y
	Trade	19,000		05/26/10	07/26/10	371.63	541.65	(170.02)		Y
	Trade	17,000		05/28/10	07/27/10	333.55	484.63	(151.08)		Y
	Trade	10,000		05/26/10	07/27/10	197.59	285.08	(87.49)		Y
	Trade	9,000		07/01/10	07/27/10	177.84	219.15	(41.31)		Y
	Trade	19,000		07/01/10	07/28/10	370.49	462.65	(92.16)		Y
	Trade	27,000		07/01/10	07/29/10	530.80	657.45	(126.65)		Y
	Sub-Total:	121,000				2,371.90	3,229.41	(857.51)		
	Trade	27,000		05/28/09	03/30/10	1,230.65	1,139.34	91.31		Y
GILEAD SCIENCES INC	Trade	5,000		10/05/09	03/30/10	227.90	224.50	3.40		Y
	Sub-Total:	32,000				1,458.55	1,363.84	94.71		
	Trade	1,000		11/19/09	09/03/10	471.04	571.60	(100.56)		Y
GOOGLE INC CL A	Trade	2,000		11/20/09	09/15/10	960.10	1,140.85	(180.75)		Y
	Trade	2,000		03/30/10	09/15/10	960.10	1,127.36	(167.26)		Y
	Trade	8,000		07/01/10	09/15/10	2,880.31	2,624.64	255.67		Y
	Sub-Total:	11,000				5,271.55	5,464.45	(192.90)		
	Trade	1,000		10/30/09	03/25/10	26.99	16.92	10.07		Y
HHGREGG INC	Trade	10,000		11/02/09	03/25/10	269.88	170.53	99.35		Y
	Trade	9,000		11/03/09	03/25/10	242.89	152.73	90.16		Y
	Trade	18,000		11/04/09	03/30/10	452.32	314.06	138.26		Y
	Trade	7,000		11/04/09	04/22/10	193.63	122.13	71.50		Y
	Trade	10,000		11/04/09	04/22/10	276.81	169.80	106.81		Y
	Trade	9,000		11/05/09	04/22/10	248.95	167.20	81.75		Y
	Trade	16,000		11/05/09	04/22/10	442.78	297.25	145.53		Y
	Trade	11,000		12/01/09	04/22/10	304.41	219.88	84.55		Y
	Trade	11,000		12/02/09	04/22/10	304.41	230.95	73.46		Y
	Trade	5,000		12/03/09	04/22/10	138.37	105.06	33.31		Y
	Trade	10,000		12/03/09	04/22/10	276.74	208.73	68.01		Y
	Sub-Total:	117,000				3,177.98	2,175.22	1,002.76		
HOSPIRA INC	Trade	7,000		06/09/10	09/20/10	394.30	360.50	33.80		Y
	Trade	8,000		06/10/10	09/20/10	450.63	420.21	30.42		Y
	Trade	7,000		06/11/10	09/20/10	394.30	370.96	23.34		Y
	Trade	11,000		06/11/10	09/20/10	619.62	587.48	32.14		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HOSPIRA INC	Trade	3,000		06/11/10	12/03/10	169.65	180.22	9.43		Y
	Trade	9,000		06/15/10	12/03/10	508.95	487.74	21.21		Y
	Trade	2,000		07/01/10	12/03/10	113.10	113.81	(.71)		Y
	Trade	16,000		07/01/10	12/06/10	903.36	910.50	(7.14)		Y
	Trade	17,000		07/01/10	12/07/10	940.96	987.40	(28.44)		Y
Sub-Total:		80,000				4,494.87	4,378.82	116.05		
HUNT J B TRANS SVCS INC	Trade	60,000		06/04/10	09/24/10	2,131.18	2,015.39	115.77		Y
	Trade	12,000		06/04/10	10/29/10	432.71	403.08	29.83		Y
	Trade	7,000		07/01/10	10/29/10	252.42	229.32	23.10		Y
	Trade	53,000		07/01/10	12/06/10	2,088.35	1,736.28	332.07		Y
	Trade	28,000		09/20/10	12/06/10	1,092.71	987.15	105.56		Y
Sub-Total:		160,000				5,977.35	5,371.22	606.13		
ILLINOIS TOOL WORKS INC	Trade	14,000		11/12/09	07/22/10	593.30	681.53	(88.23)		Y
	Trade	23,000		11/12/09	07/22/10	970.12	1,119.68	(149.54)		Y
	Trade	17,000		04/15/10	07/22/10	720.43	843.67	(123.24)		Y
	Trade	7,000		07/01/10	07/22/10	296.85	290.11	6.54		Y
	Trade	33,000		07/01/10	07/23/10	1,419.26	1,367.65	51.61		Y
	Trade	29,000		07/14/10	07/26/10	1,252.80	1,281.49	(28.69)		Y
Sub-Total:		123,000				5,252.56	5,584.11	(331.55)		
INTEL CORP	Trade	47,000		01/12/10	01/28/10	928.33	960.82	(32.49)		Y
	Trade	1,000		01/13/10	01/28/10	19.75	20.59	(.84)		Y
	Trade	23,000		01/13/10	03/09/10	483.45	473.57	9.88		Y
	Trade	1,000		01/14/10	03/09/10	21.02	21.23	(.21)		Y
	Trade	43,000		01/14/10	07/30/10	892.58	920.81	(28.05)		Y
	Trade	67,000		01/14/10	07/30/10	1,390.74	1,422.21	(31.47)		Y
	Trade	90,000		07/01/10	07/30/10	1,868.16	1,730.70	137.46		Y
Sub-Total:		272,000				5,604.01	5,549.73	54.28		
INTERNET BRANDS INC	Trade	23,000		08/06/09	01/27/10	181.59	170.66	10.93		Y
MERGER EFF: 12/10	Trade	22,000		08/07/09	01/27/10	173.69	165.90	7.79		Y
CL A	Trade	23,000		08/07/09	01/27/10	181.59	174.90	6.69		Y
	Trade	1,000		08/10/09	01/27/10	7.90	7.60	.30		Y
	Trade	22,000		08/10/09	01/27/10	173.83	167.30	6.53		Y
	Trade	5,000		08/11/09	01/27/10	39.51	38.52	.99		Y
	Trade	14,000		08/11/09	01/28/10	105.70	107.87	(2.17)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
INTERNET BRANDS INC ***MERGER EFF: 12/10*** CL A	Trade	21,000		08/12/09	01/28/10	158.55	182.45	(3.90)		Y
	Trade	22,000		08/13/09	01/28/10	166.10	169.58	(3.48)		Y
	Trade	18,000		08/14/09	01/28/10	135.90	139.51	(3.61)		Y
	Trade	39,000		11/12/09	01/28/10	294.44	304.18	(9.74)		Y
	Sub-Total:	210,000				1,618.80	1,608.47	10.33		
INVESCO LTD	Trade	105,000		07/02/09	08/08/10	1,862.67	1,799.97	62.70		Y
	Trade	20,000		02/03/10	08/08/10	354.79	396.74	(41.95)		Y
	Sub-Total:	125,000				2,217.46	2,196.71	20.75		
IRIDIUM COMMUNICATIONS INC COM	Trade	85,000		10/05/09	07/18/10	850.93	898.75	(45.82)		Y
	Trade	33,000		10/05/09	09/20/10	311.96	348.15	(38.19)		Y
	Trade	48,000		10/08/09	09/20/10	434.85	477.48	(42.63)		Y
	Trade	30,000		10/07/09	09/20/10	283.60	276.11	7.49		Y
	Trade	10,000		10/22/09	09/20/10	94.53	97.43	(2.90)		Y
	Trade	19,000		10/23/09	09/20/10	179.61	185.94	(6.33)		Y
	Trade	14,000		10/26/09	09/20/10	132.35	138.26	(5.91)		Y
	Trade	5,000		11/17/09	09/20/10	47.27	43.13	4.14		Y
	Trade	10,000		12/29/09	09/20/10	94.53	81.84	12.69		Y
	Trade	25,000		03/09/10	09/20/10	236.33	212.45	23.88		Y
	Trade	13,000		03/10/10	09/20/10	122.89	111.06	11.83		Y
	Sub-Total:	290,000				2,788.85	2,868.60	(79.75)		
ITAU UNIBANCO HLDG SA ADR	Trade	96,000		06/08/10	09/20/10	2,183.98	1,744.32	439.64		Y
	Trade	21,000		08/08/10	10/08/10	534.26	381.57	152.69		Y
	Sub-Total:	117,000				2,718.22	2,125.89	592.33		
JO-ANN STORES INC	Trade	12,000		04/08/10	09/20/10	538.80	503.98	34.82		Y
	Trade	7,000		04/12/10	09/20/10	314.30	305.45	8.85		Y
	Trade	10,000		04/13/10	09/20/10	449.00	430.19	18.81		Y
	Trade	9,000		04/13/10	09/20/10	404.10	394.48	9.64		Y
	Trade	10,000		04/14/10	09/20/10	449.00	433.95	15.05		Y
	Trade	5,000		04/28/10	09/20/10	224.50	224.06	.44		Y
	Trade	3,000		05/12/10	09/20/10	134.70	138.19	(1.49)		Y
	Trade	2,000		05/12/10	12/23/10	120.67	90.79	29.88		Y
	Trade	48,000		07/01/10	12/23/10	2,896.07	1,859.52	1,036.55		Y
	Sub-Total:	106,000				5,531.14	4,378.59	1,152.55		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost/ Basis	Purchase date/ Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
JOY GLOBAL INC	Trade	3,000		06/05/09	04/21/10	182.10	120.60	61.50		Y
	Trade	3,000		06/08/09	04/21/10	182.10	116.75	65.35		Y
	Trade	6,000		06/10/09	04/21/10	384.19	243.75	120.44		Y
	Trade	20,000		08/12/09	04/21/10	1,213.98	833.93	380.05		Y
	Trade	1,000		08/13/09	04/21/10	60.70	42.97	17.73		Y
	Sub-Total:	33,000				2,003.07	1,358.00	645.07		
JPMORGAN CHASE & CO	Trade	17,000		07/14/10	09/20/10	701.07	683.90	17.17		Y
	Trade	21,000		07/14/10	09/20/10	888.02	839.33	26.69		Y
	Trade	12,000		07/14/10	11/02/10	444.95	482.75	(37.80)		Y
	Trade	29,000		07/14/10	11/02/10	1,075.30	1,188.94	(93.64)		Y
	Trade	10,000		07/16/10	11/02/10	370.79	392.78	(21.99)		Y
	Sub-Total:	89,000				3,458.13	3,567.70	(109.57)		
JUNIPER NETWORKS INC	Trade	29,000		10/20/09	03/16/10	869.69	794.80	74.89		Y
	Trade	8,000		10/20/09	03/24/10	243.12	219.28	23.86		Y
	Trade	9,000		10/20/09	03/24/10	276.78	246.66	30.12		Y
	Trade	5,000		10/20/09	03/25/10	153.74	137.04	16.70		Y
	Trade	4,000		11/12/09	03/25/10	123.00	98.46	24.54		Y
KENNAMETAL INC	Trade	31,000		11/12/09	09/20/10	943.93	763.09	180.84		Y
	Trade	35,000		11/19/09	09/20/10	1,065.73	885.15	180.58		Y
	Sub-Total:	121,000				3,675.99	3,144.46	531.53		
KENNAMETAL INC	Trade	23,000		12/17/09	07/07/10	582.88	606.42	(23.74)		Y
	Trade	24,000		12/18/09	07/07/10	608.01	636.78	(28.77)		Y
	Trade	24,000		12/21/09	07/07/10	608.01	648.43	(40.42)		Y
	Trade	4,000		01/13/10	07/07/10	101.34	113.33	(11.99)		Y
	Trade	27,000		01/13/10	07/14/10	733.32	764.96	(31.64)		Y
LAUDER ESTEE COS CL A	Trade	15,000		05/03/10	07/14/10	407.40	503.32	(95.92)		Y
	Trade	95,000		07/01/10	07/14/10	2,580.21	2,375.29	204.92		Y
	Sub-Total:	212,000				5,620.97	5,648.53	(27.56)		
LAUDER ESTEE COS CL A	Trade	3,000		04/13/10	08/02/10	190.50	196.96	(6.46)		Y
	Trade	4,000		04/14/10	08/02/10	254.01	264.52	(10.51)		Y
	Trade	1,000		04/15/10	08/02/10	83.50	66.62	(3.12)		Y
	Trade	2,000		04/15/10	08/03/10	125.59	133.24	(7.65)		Y
	Trade	2,000		04/16/10	08/03/10	125.59	135.46	(9.87)		Y
	Sub-Total:	3,000		04/19/10	08/03/10	188.38	201.95	(13.57)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LAUDER ESTEE COS CL A	Trade	2,000		04/19/10	08/12/10	115.30	134.63	(19.33)		Y
	Trade	3,000		04/20/10	08/12/10	172.94	203.76	(30.82)		Y
	Trade	4,000		04/21/10	08/12/10	230.59	269.08	(38.49)		Y
	Trade	3,000		04/21/10	08/12/10	172.94	203.05	(30.11)		Y
	Trade	5,000		04/22/10	08/12/10	288.24	334.99	(46.75)		Y
	Trade	4,000		04/22/10	08/13/10	230.76	274.84	(44.08)		Y
	Trade	8,000		04/27/10	08/13/10	461.52	530.86	(69.34)		Y
	Trade	3,000		05/07/10	08/13/10	173.07	178.84	(5.77)		Y
	Trade	3,000		07/01/10	08/13/10	173.07	169.59	3.48		Y
	Trade	18,000		07/01/10	08/18/10	1,028.13	1,017.54	8.59		Y
LHC GROUP INC	Trade	18,000		07/01/10	08/17/10	1,090.60	1,074.07	16.53		Y
	Sub-Total:	87,000				5,082.73	5,390.00	(307.27)		
	Trade	16,000		09/17/09	02/03/10	489.10	515.13	(26.03)		Y
	Trade	17,000		09/17/09	02/04/10	509.53	547.33	(37.80)		Y
	Trade	4,000		09/17/09	02/04/10	120.60	128.78	(8.18)		Y
	Trade	11,000		09/18/09	02/04/10	331.66	347.52	(15.86)		Y
	Trade	10,000		09/18/09	02/05/10	293.32	315.93	(22.61)		Y
	Trade	5,000		10/07/09	02/05/10	146.66	149.15	(2.49)		Y
	Trade	1,000		10/07/09	02/08/10	29.84	29.83	(.01)		Y
	Trade	8,000		10/08/09	02/08/10	237.15	241.83	(4.68)		Y
LIFE TECHNOLOGIES CORP	Trade	5,000		11/17/09	02/08/10	148.22	152.99	(4.77)		Y
	Trade	4,000		11/17/09	02/09/10	118.97	122.40	(3.43)		Y
	Trade	11,000		11/18/09	02/09/10	327.18	336.00	(8.82)		Y
	Sub-Total:	92,000				2,752.03	2,886.89	(134.86)		
	Trade	5,000		05/28/09	01/13/10	263.01	189.23	73.78		Y
	Trade	5,000		05/28/09	01/13/10	263.42	189.23	74.19		Y
	Trade	5,000		05/28/09	01/13/10	263.45	189.23	74.22		Y
	Trade	11,000		05/28/09	01/14/10	570.13	416.30	153.83		Y
	Trade	11,000		05/28/09	01/14/10	570.32	416.30	154.02		Y
	Trade	11,000		05/28/09	01/15/10	562.47	416.30	146.17		Y
LINCARE HLDGS INC	Sub-Total:	48,000				2,492.80	1,816.59	676.21		
	Trade	13,000		01/14/10	05/08/10	601.83	501.42	100.41		Y
	Trade	8,000		01/15/10	05/08/10	370.35	299.65	70.70		Y
	Cash In lieu	.500		01/15/10	06/16/10	16.30	12.21	4.09		Y
	Trade	7,000		01/15/10	06/17/10	223.99	170.87	53.12		Y
	Sub-Total:	28,500				1,212.47	884.15	328.32		
	Trade	1,000		01/14/10	05/08/10	501.83	501.42	0.41		Y
	Trade	8,000		01/15/10	05/08/10	370.35	299.65	70.70		Y
	Cash In lieu	.500		01/15/10	06/16/10	16.30	12.21	4.09		Y
	Trade	7,000		01/15/10	06/17/10	223.99	170.87	53.12		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
LINCARE HLDGS INC	Trade	11,000		01/15/10	06/17/10	353.10	288.51	84.59		Y
	Trade	19,000		01/15/10	06/17/10	607.99	488.94	121.05		Y
	Trade	27,000		01/27/10	06/17/10	866.70	652.44	214.26		Y
	Trade	80,000		07/01/10	07/20/10	1,986.38	2,542.34	(555.96)		Y
	Sub-Total:	165,500				5,026.64	4,934.38	92.26		
LONGTOP FINANCIAL TECHNOLOGIES LTD ADR	Trade	12,000		03/03/10	09/20/10	451.43	384.04	67.39		Y
	Trade	12,000		03/04/10	09/20/10	451.43	384.00	67.43		Y
	Trade	12,000		03/05/10	09/20/10	451.43	393.52	57.91		Y
	Trade	11,000		03/09/10	09/20/10	413.81	399.76	14.05		Y
	Trade	9,000		03/09/10	09/20/10	338.57	319.59	18.98		Y
MACY'S INC	Trade	2,000		03/25/10	09/20/10	75.24	61.75	13.49		Y
	Sub-Total:	58,000				2,181.91	1,942.66	239.25		
MARRIOTT INTL INC NEW CL A	Trade	57,000		08/13/10	09/20/10	1,262.87	1,155.90	106.77		Y
	Trade	18,000		08/16/10	09/20/10	354.43	323.05	31.38		Y
	Sub-Total:	73,000				1,617.10	1,478.95	138.15		
MARVELL TECHNOLOGY GROUP LTD	Trade	25,000		06/14/10	09/20/10	911.50	858.00	53.50		Y
	Trade	22,000		08/15/10	09/20/10	802.12	759.68	42.44		Y
	Trade	24,000		08/16/10	09/20/10	875.04	844.75	30.29		Y
	Trade	10,000		07/01/10	09/20/10	364.60	299.70	64.90		Y
	Trade	15,000		07/01/10	10/29/10	554.40	449.55	104.85		Y
MARVELL TECHNOLOGY GROUP LTD	Trade	19,000		07/01/10	12/21/10	802.57	569.43	233.14		Y
	Sub-Total:	115,000				4,310.23	3,781.11	529.12		
MARVELL TECHNOLOGY GROUP LTD	Trade	17,000		09/17/09	05/13/10	328.02	273.42	54.60		Y
	Trade	33,000		09/17/09	05/14/10	602.20	530.78	71.44		Y
	Trade	4,000		10/05/09	05/14/10	72.99	81.00	11.99		Y
	Trade	26,000		10/05/09	05/14/10	480.24	398.47	83.77		Y
	Trade	12,000		10/28/09	05/14/10	221.65	165.96	55.69		Y
MARVELL TECHNOLOGY GROUP LTD	Trade	14,000		10/28/09	07/28/10	218.54	193.62	22.92		Y
	Trade	50,000		11/19/09	07/28/10	773.36	755.35	18.01		Y
	Trade	9,000		12/29/09	07/28/10	139.21	182.39	(43.18)		Y
	Trade	16,000		12/29/09	07/29/10	240.00	324.25	(84.25)		Y
	Trade	26,000		03/30/10	07/29/10	389.99	536.64	(146.65)		Y
MARVELL TECHNOLOGY GROUP LTD	Trade	28,000		07/01/10	07/29/10	419.99	453.31	(33.32)		Y
	Trade	72,000		07/01/10	07/30/10	1,067.72	1,165.64	(97.92)		Y
	Sub-Total:	307,000				4,951.91	5,038.81	(86.90)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MCAFEY INC	Trade	20,000		05/28/09	02/23/10	797.59	780.00	17.59		Y
	Trade	4,000		05/28/09	03/23/10	163.17	156.00	7.17		Y
	Trade	13,000		05/28/09	03/23/10	529.08	507.00	22.08		Y
	Trade	9,000		09/04/09	03/23/10	367.14	368.28	(1.12)		Y
	Trade	12,000		12/08/09	03/24/10	489.37	454.53	34.84		Y
	Trade	13,000		12/08/09	03/24/10	524.36	492.40	31.96		Y
	Sub-Total:	71,000				2,870.71	2,758.19	112.52		
MCKESSON CORP	Trade	3,000		03/28/10	07/07/10	202.35	195.39	6.96		Y
	Trade	3,000		03/30/10	07/07/10	202.35	195.58	6.79		Y
	Trade	3,000		03/31/10	07/07/10	202.35	197.00	5.35		Y
	Trade	3,000		04/01/10	07/07/10	202.35	198.58	3.77		Y
	Trade	1,000		04/05/10	07/07/10	67.45	68.85	.60		Y
	Trade	10,000		04/05/10	07/22/10	648.27	688.53	(20.28)		Y
	Trade	12,000		04/06/10	07/22/10	777.92	798.20	(18.28)		Y
	Trade	1,000		04/06/10	07/23/10	66.43	66.35	.08		Y
	Trade	2,000		04/06/10	09/20/10	126.18	132.70	(6.54)		Y
	Trade	4,000		04/21/10	09/20/10	252.31	262.05	(9.74)		Y
	Trade	5,000		04/22/10	09/20/10	315.39	327.64	(12.25)		Y
	Trade	10,000		05/04/10	09/20/10	830.79	868.49	(37.70)		Y
	Trade	5,000		05/12/10	09/20/10	315.39	337.88	(22.47)		Y
	Trade	5,000		07/01/10	09/20/10	315.39	331.73	(16.34)		Y
	Sub-Total:	67,000				4,324.80	4,444.93	(120.03)		
MEDCO HEALTH SOLUTIONS INC	Trade	15,000		11/05/09	06/15/10	924.57	880.26	44.31		Y
	Trade	11,000		11/05/09	06/15/10	678.02	636.91	41.11		Y
	Trade	5,000		11/17/09	06/15/10	308.19	307.84	.35		Y
	Trade	5,000		12/23/09	06/15/10	308.19	322.64	(14.45)		Y
	Trade	5,000		12/29/09	06/15/10	308.19	324.53	(16.34)		Y
	Sub-Total:	41,000				2,527.16	2,472.18	54.98		
MEDNAX INC	Trade	4,000		06/28/09	02/03/10	234.59	183.59	71.00		Y
	Trade	3,000		06/28/09	02/04/10	173.78	122.69	51.09		Y
	Trade	3,000		06/28/09	02/04/10	174.97	122.69	52.28		Y
	Trade	2,000		06/28/09	03/19/10	115.08	81.79	33.29		Y
	Trade	8,000		08/05/09	03/19/10	480.32	401.98	58.34		Y
	Trade	5,000		08/07/09	03/19/10	287.70	251.75	35.95		Y
	Trade	3,000		10/07/09	03/19/10	172.82	184.10	(8.52)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MEDNAX INC	Trade	4,000		10/08/09	03/19/10	232.55	220.40	12.15		Y
	Sub-Total:	32,000				1,851.61	1,528.99	322.62		
MICRON TECHNOLOGY INC	Trade	53,000		05/28/09	03/22/10	546.95	255.89	291.06		Y
	Trade	44,000		05/28/09	03/31/10	461.33	212.44	248.89		Y
	Trade	67,000		11/19/09	07/29/10	534.05	471.85	62.20		Y
	Trade	56,000		07/01/10	07/29/10	446.37	466.37	(20.00)		Y
	Trade	169,000		07/01/10	07/30/10	1,237.88	1,407.43	(169.75)		Y
	Sub-Total:	389,000				3,226.38	2,813.98	412.40		
MICROSOFT CORP	Trade	26,000		10/23/09	06/30/10	607.65	727.51	(119.86)		Y
	Trade	13,000		10/28/09	06/30/10	303.83	365.51	(61.68)		Y
	Trade	5,000		11/17/09	08/30/10	116.86	149.95	(33.09)		Y
	Trade	10,000		12/29/09	06/30/10	233.71	313.38	(79.67)		Y
	Sub-Total:	54,000				1,262.05	1,556.35	(294.30)		
MOTOROLA INC **REVERSE SPLIT EFF: 01/2011**	Trade	35,000		09/14/09	01/29/10	214.89	307.14	(92.25)		Y
	Trade	49,000		09/15/09	01/29/10	300.85	440.29	(139.44)		Y
	Trade	29,000		09/16/09	01/29/10	178.06	265.32	(87.26)		Y
	Trade	23,000		09/16/09	01/29/10	141.22	211.14	(69.92)		Y
	Trade	22,000		09/16/09	02/02/10	140.89	201.96	(61.27)		Y
	Trade	81,000		09/17/09	02/02/10	518.00	724.22	(206.22)		Y
	Trade	57,000		10/16/09	02/02/10	384.52	447.37	(62.85)		Y
	Sub-Total:	296,000				1,858.23	2,597.44	(739.21)		
NETAPP INC	Trade	35,000		08/12/09	08/02/10	1,542.32	829.10	713.22		Y
	Trade	3,000		08/13/09	08/02/10	132.20	72.00	60.20		Y
	Trade	14,000		08/13/09	08/03/10	609.03	335.99	273.04		Y
	Trade	21,000		08/17/09	08/03/10	913.55	473.97	439.58		Y
	Trade	3,000		12/03/09	09/20/10	149.50	94.98	54.52		Y
	Trade	7,000		12/03/09	09/20/10	348.83	221.81	127.02		Y
	Trade	31,000		07/01/10	09/20/10	1,544.81	1,168.70	376.11		Y
	Trade	13,000		07/01/10	10/01/10	631.99	490.10	141.89		Y
	Sub-Total:	127,000				5,872.23	3,686.65	2,185.58		
NETLOGIC MICROSYSTEMS INC	Trade	12,000		05/28/09	03/23/10	361.47	195.80	165.67		Y
	Trade	18,000		05/28/09	03/25/10	530.67	293.70	236.97		Y
	Trade	10,000		05/28/09	03/30/10	296.20	163.17	133.03		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NETLOGIC MICROSYSTEMS										
INC	Trade	23,000		06/02/09	03/30/10	681.26	419.93	261.33		Y
	Trade	7,000		06/02/09	04/06/10	218.12	127.80	90.32		Y
	Trade	8,000		12/22/09	04/06/10	249.28	190.83	58.45		Y
	Trade	52,000		12/22/09	09/20/10	1,370.88	1,240.40	130.48		Y
	Trade	30,000		12/23/09	09/20/10	790.89	710.90	79.99		Y
	Trade	38,000		12/24/09	09/20/10	949.07	862.96	86.11		Y
	Trade	1,000		07/01/10	09/20/10	26.38	26.74	(.38)		Y
	Sub-Total:	197,000				5,474.20	4,232.23	1,241.97		
NEUTRAL TANDEM INC										
	Trade	2,000		07/10/09	01/06/10	46.22	55.00	(8.78)		Y
	Trade	7,000		08/07/09	01/08/10	161.75	207.36	(45.61)		Y
	Trade	6,000		08/07/09	01/11/10	129.31	177.74	(48.43)		Y
	Trade	15,000		10/01/09	01/11/10	323.28	339.09	(15.81)		Y
	Trade	18,000		10/01/09	01/12/10	378.56	408.91	(28.35)		Y
	Trade	4,000		10/01/09	01/20/10	69.54	90.42	(20.88)		Y
	Trade	10,000		10/14/09	01/20/10	173.85	225.30	(51.45)		Y
	Trade	15,000		11/17/09	01/20/10	260.77	357.04	(96.27)		Y
	Trade	20,000		11/17/09	01/21/10	319.84	476.06	(156.22)		Y
	Trade	18,000		11/19/09	01/21/10	287.85	415.09	(127.24)		Y
	Trade	7,000		11/19/09	02/02/10	106.41	161.42	(55.01)		Y
	Trade	1,000		11/19/09	02/03/10	14.88	23.04	(8.16)		Y
	Trade	20,000		11/19/09	02/03/10	299.21	480.72	(161.51)		Y
	Trade	9,000		11/19/09	02/03/10	133.94	207.54	(73.60)		Y
	Trade	20,000		11/20/09	02/03/10	301.36	472.46	(171.10)		Y
	Trade	1,000		11/20/09	02/03/10	14.96	23.62	(8.66)		Y
	Sub-Total:	173,000				3,021.73	4,098.81	(1,077.08)		
NEWFIELD EXPLORATION COS										
	Trade	10,000		03/30/10	09/20/10	540.16	505.78	34.38		Y
	Trade	9,000		03/31/10	09/20/10	486.14	465.47	20.67		Y
	Trade	10,000		04/01/10	09/20/10	540.16	533.42	6.74		Y
	Trade	3,000		04/01/10	09/20/10	162.05	161.69	.36		Y
	Sub-Total:	32,000				1,728.51	1,666.36	62.15		
NOBLE CORP CHF										
	Trade	44,000		05/28/09	03/30/10	1,806.61	1,436.35	370.26		Y
NOBLE ENERGY INC										
	Trade	5,000		08/16/10	11/12/10	413.70	329.55	84.15		Y
	Trade	3,000		07/01/10	11/12/10	248.22	183.93	64.29		Y
	Trade	18,000		07/01/10	12/13/10	1,472.76	1,103.58	369.18		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

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Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NOBLE ENERGY INC	Trade	19,000		07/01/10	12/14/10	1,552.95	1,164.89	388.06		Y
	Sub-Total:	45,000				3,687.63	2,781.95	905.68		
NVIDIA CORP	Trade	22,000		01/12/10	04/22/10	363.94	388.30	(24.36)		Y
	Trade	15,000		01/14/10	04/22/10	248.14	264.68	(16.54)		Y
	Trade	18,000		01/14/10	04/30/10	284.09	317.62	(33.53)		Y
	Trade	26,000		02/03/10	04/30/10	410.35	435.99	(25.64)		Y
	Trade	23,000		02/04/10	04/30/10	363.00	370.28	(7.28)		Y
	Trade	26,000		02/11/10	04/30/10	410.35	446.14	(35.79)		Y
	Trade	25,000		02/17/10	04/30/10	394.56	445.47	(50.91)		Y
	Trade	29,000		03/09/10	04/30/10	457.69	509.24	(51.55)		Y
	Sub-Total:	184,000				2,932.12	3,177.72	(245.60)		
ODYSSEY HEALTHCARE INC	Trade	19,000		02/03/10	03/30/10	351.49	268.17	83.32		Y
"MERGER EFF: 08/2010"	Trade	13,000		02/04/10	03/30/10	240.50	186.44	54.06		Y
	Trade	10,000		02/10/10	03/30/10	185.00	146.20	38.80		Y
	Trade	10,000		02/11/10	03/30/10	185.00	150.28	34.72		Y
	Trade	6,000		02/12/10	03/30/10	111.00	90.53	20.47		Y
	Trade	8,000		02/12/10	04/08/10	111.00	90.53	20.47		Y
	Trade	11,000		02/16/10	04/06/10	203.50	172.33	31.17		Y
	Trade	2,000		02/17/10	04/06/10	37.20	33.04	4.16		Y
	Trade	8,000		02/17/10	04/06/10	148.00	132.16	15.84		Y
	Trade	9,000		02/18/10	04/06/10	167.40	153.41	13.99		Y
	Trade	11,000		02/18/10	04/06/10	204.60	186.96	17.64		Y
	Trade	6,000		02/19/10	04/06/10	111.80	101.92	9.88		Y
	Sub-Total:	111,000				2,056.29	1,711.97	344.32		
ORACLE CORP	Trade	97,000		09/15/10	09/24/10	2,842.53	2,486.37	156.16		Y
	Trade	53,000		09/15/10	09/24/10	1,443.85	1,359.49	84.36		Y
	Sub-Total:	150,000				4,086.38	3,845.86	240.52		
ORION MARINE GROUP INC	Trade	25,000		08/14/09	05/07/10	418.62	549.92	(131.30)		Y
	Trade	1,000		08/20/09	05/07/10	16.75	21.83	(5.08)		Y
	Trade	9,000		08/20/09	05/10/10	152.70	196.47	(43.77)		Y
	Trade	5,000		10/05/09	05/10/10	84.83	99.45	(14.62)		Y
	Trade	12,000		10/07/09	05/10/10	203.60	252.00	(48.40)		Y
	Trade	14,000		10/07/09	05/10/10	238.18	294.00	(55.82)		Y
	Trade	4,000		01/29/10	05/10/10	68.05	78.40	(8.35)		Y

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Short-Term Gain/Loss - continued

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Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ORION MARINE GROUP INC	Trade	10,000		01/29/10	05/11/10	166.25	191.00	(24.75)		Y
	Trade	10,000		02/01/10	05/11/10	166.25	189.99	(23.74)		Y
	Trade	5,000		02/17/10	05/11/10	83.13	91.00	(7.87)		Y
	Sub-Total:	95,000				1,598.36	1,962.06	(363.70)		
PACIFIC BIOSCIENCES OF CALIF	Trade	33,000		10/27/10	12/02/10	428.99	536.25	(107.26)		Y
	Trade	2,000		10/27/10	12/06/10	26.50	32.50	(6.00)		Y
	Trade	17,000		11/02/10	12/06/10	225.24	276.25	(51.01)		Y
	Trade	25,000		11/02/10	12/10/10	339.94	406.25	(66.31)		Y
	Trade	16,000		11/02/10	12/14/10	223.69	260.00	(36.31)		Y
	Trade	19,000		11/02/10	12/17/10	265.96	308.75	(42.79)		Y
	Trade	37,000		11/02/10	12/20/10	525.21	601.25	(76.04)		Y
	Sub-Total:	149,000				2,035.53	2,421.25	(385.72)		
PANERA BREAD COMP CL A	Trade	6,000		10/21/09	02/16/10	440.32	327.59	112.73		Y
	Trade	10,000		10/21/09	03/23/10	781.14	545.98	235.16		Y
	Trade	3,000		10/22/09	03/23/10	235.43	167.05	68.38		Y
	Trade	1,000		10/22/09	03/23/10	78.11	55.68	22.43		Y
	Trade	5,000		11/17/09	03/23/10	392.38	310.73	81.65		Y
	Trade	13,000		11/18/09	03/23/10	1,020.20	830.70	189.50		Y
	Sub-Total:	38,000				2,947.58	2,237.73	709.85		
PARKER HANNIFIN CORP	Trade	8,000		02/24/10	07/07/10	453.85	468.00	(14.15)		Y
	Trade	3,000		02/25/10	07/07/10	170.19	172.92	(2.73)		Y
	Trade	5,000		02/25/10	07/08/10	286.19	288.20	(2.01)		Y
	Trade	7,000		03/01/10	07/08/10	400.67	433.09	(32.42)		Y
	Trade	2,000		03/02/10	07/08/10	114.48	124.14	(9.66)		Y
	Trade	8,000		03/02/10	07/09/10	343.62	372.42	(28.80)		Y
	Trade	8,000		03/16/10	07/09/10	458.17	514.74	(56.57)		Y
	Trade	7,000		03/16/10	07/12/10	393.67	450.40	(56.73)		Y
	Trade	7,000		07/01/10	07/12/10	393.67	385.45	8.22		Y
	Trade	14,000		07/01/10	07/13/10	805.86	770.90	34.96		Y
	Trade	14,000		07/01/10	07/14/10	804.57	770.90	33.67		Y
	Sub-Total:	81,000				4,524.84	4,751.16	(126.22)		
PEABODY ENERGY CORP	Trade	4,000		08/06/09	04/08/10	184.00	138.57	45.43		Y
	Trade	11,000		08/07/09	04/08/10	506.01	391.49	114.52		Y
	Trade	23,000		08/12/09	04/08/10	1,058.02	802.50	255.52		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PEABODY ENERGY CORP	Trade	12,000		08/13/09	04/08/10	552.01	440.40	111.61		Y
	Sub-Total:	50,000				2,300.04	1,772.96	527.08		
PENSKE AUTOMOTIVE GROUP INC	Trade	35,000		06/10/09	03/30/10	514.26	573.87	(59.61)		Y
	Trade	10,000		06/10/09	04/08/10	152.08	163.96	(11.88)		Y
	Trade	23,000		06/11/09	04/08/10	349.79	368.91	(19.12)		Y
	Trade	3,000		06/11/09	04/19/10	44.88	48.12	(3.24)		Y
	Trade	11,000		06/15/09	04/19/10	164.56	170.50	(5.94)		Y
	Trade	12,000		06/15/09	04/19/10	179.85	186.00	(6.15)		Y
	Trade	17,000		06/19/09	04/19/10	254.78	274.55	(19.77)		Y
	Trade	10,000		07/10/09	04/19/10	149.87	151.06	(1.19)		Y
	Trade	27,000		10/02/09	04/19/10	404.66	437.40	(32.74)		Y
	Trade	2,000		10/07/09	04/19/10	29.98	30.81	(.83)		Y
	Trade	9,000		10/07/09	04/19/10	134.89	138.64	(3.75)		Y
	Trade	11,000		10/08/09	04/19/10	184.91	175.48	(10.57)		Y
	Sub-Total:	170,000				2,544.51	2,719.30	(174.79)		
PETROHAWK ENERGY CORP	Trade	37,000		11/19/09	07/16/10	630.34	769.21	(138.87)		Y
	Trade	44,000		11/19/09	07/16/10	749.80	927.90	(178.30)		Y
	Trade	70,000		07/01/10	07/16/10	1,192.54	1,179.50	13.04		Y
	Sub-Total:	151,000				2,572.48	2,875.61	(304.13)		
PRECISION CASTPARTS CORP	Trade	2,000		04/21/10	09/20/10	257.76	253.81	3.95		Y
	Trade	3,000		04/22/10	09/20/10	386.63	397.63	(11.00)		Y
	Trade	3,000		04/22/10	09/20/10	386.83	398.89	(12.26)		Y
	Trade	4,000		04/23/10	09/20/10	515.51	530.17	(14.66)		Y
	Trade	8,000		04/27/10	09/20/10	1,031.02	1,057.45	(26.43)		Y
	Trade	4,000		05/08/10	09/20/10	515.51	498.00	19.51		Y
	Trade	2,000		07/01/10	09/20/10	257.76	203.78	53.98		Y
	Sub-Total:	26,000				3,350.82	3,337.73	13.09		
PRICELINE.COM INC NEW	Trade	1,000		05/29/09	03/29/10	254.52	109.70	144.82		Y
	Trade	1,000		07/10/09	03/29/10	254.52	106.46	148.06		Y
	Trade	3,000		11/08/09	09/20/10	1,043.89	514.42	529.47		Y
	Trade	1,000		11/09/09	09/20/10	347.98	173.25	174.71		Y
	Sub-Total:	6,000				1,900.89	903.83	997.06		
QUALCOMM INC	Trade	41,000		05/28/09	01/29/10	1,613.18	1,769.49	(156.31)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
QUALCOMM INC	Trade	23,000		05/28/09	02/03/10	904.88	992.64	(87.78)		Y
	Trade	17,000		11/19/09	02/03/10	868.82	763.30	(94.48)		Y
	Trade	12,000		11/19/09	02/03/10	472.11	541.53	(69.42)		Y
	Sub-Total:	93,000				3,658.99	4,066.96	(407.97)		
RED HAT INC	Trade	88,000		03/30/10	09/20/10	3,430.18	2,533.65	896.53		Y
	Trade	3,000		07/01/10	09/20/10	116.94	87.08	29.86		Y
	Trade	24,000		07/01/10	09/23/10	991.49	696.82	294.67		Y
	Trade	8,000		07/01/10	10/05/10	329.47	232.21	97.26		Y
	Trade	14,000		07/01/10	11/23/10	589.85	406.36	183.49		Y
	Trade	10,000		07/01/10	12/08/10	489.20	290.26	178.94		Y
	Trade	11,000		07/01/10	12/13/10	533.84	319.29	214.55		Y
	Trade	4,000		07/30/10	12/13/10	194.12	127.09	67.03		Y
	Sub-Total:	162,000				6,655.09	4,692.56	1,962.53		
RUBICON TECHNOLOGY INC	Trade	18,000		06/29/10	09/20/10	426.23	535.84	(109.61)		Y
	Trade	13,000		06/29/10	09/20/10	307.83	365.30	(57.47)		Y
	Trade	19,000		06/30/10	09/20/10	449.91	561.49	(111.58)		Y
	Trade	1,000		07/01/10	09/20/10	23.68	29.20	(5.52)		Y
	Trade	39,000		07/01/10	10/08/10	779.35	1,138.66	(359.31)		Y
	Trade	31,000		07/01/10	10/11/10	622.33	905.09	(282.76)		Y
	Trade	5,000		09/24/10	10/11/10	100.38	109.30	(8.92)		Y
	Sub-Total:	126,000				2,709.71	3,644.88	(935.17)		
RYDER SYSTEM INC	Trade	2,000		07/30/09	02/01/10	73.92	68.79	5.13		Y
	Trade	5,000		07/31/09	02/01/10	184.81	178.36	6.45		Y
	Trade	4,000		08/03/09	02/01/10	147.85	142.47	5.38		Y
	Trade	8,000		08/03/09	02/02/10	292.05	284.95	7.10		Y
	Trade	3,000		08/04/09	02/02/10	111.14	112.68	(1.52)		Y
	Trade	4,000		08/04/09	02/02/10	146.02	150.22	(4.20)		Y
	Trade	9,000		08/05/09	02/02/10	333.42	332.58	.84		Y
	Trade	2,000		08/05/09	02/02/10	74.22	73.91	.31		Y
	Trade	10,000		08/20/09	02/02/10	371.10	386.08	(14.98)		Y
	Sub-Total:	47,000				1,734.53	1,728.02	6.51		
SALESFORCE.COM INC	Trade	14,000		06/30/10	09/20/10	1,724.77	1,220.06	504.71		Y
	Trade	6,000		07/01/10	09/20/10	739.19	510.60	228.59		Y
	Trade	4,000		07/01/10	11/30/10	581.31	340.40	220.91		Y
	Sub-Total:	24,000				3,025.27	2,071.06	954.21		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

C12G508967-X

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SAPIENT CORP										
Trade		32,000		03/18/10	07/14/10	345.36	302.40	42.96		Y
Trade		21,000		03/19/10	07/14/10	227.68	202.73	24.95		Y
Trade		6,000		03/19/10	07/14/10	64.76	57.92	6.84		Y
Trade		18,000		03/22/10	07/14/10	195.15	173.11	22.04		Y
Trade		30,000		03/22/10	09/20/10	359.48	293.33	66.15		Y
Trade		15,000		03/22/10	09/20/10	179.74	144.26	35.48		Y
Trade		30,000		03/23/10	09/20/10	359.48	295.82	63.66		Y
Trade		31,000		03/24/10	09/20/10	371.47	306.08	65.39		Y
Trade		30,000		03/24/10	09/20/10	359.48	287.99	71.49		Y
Trade		54,000		03/25/10	09/20/10	647.07	525.94	121.13		Y
Trade		17,000		03/26/10	09/20/10	203.71	163.68	40.03		Y
Trade		27,000		03/26/10	10/08/10	331.56	259.96	71.60		Y
Trade		19,000		07/01/10	10/08/10	233.32	186.18	47.14		Y
Trade		18,000		07/01/10	10/29/10	237.82	176.38	61.44		Y
Trade		43,000		07/01/10	10/29/10	584.13	421.36	142.77		Y
Trade		92,000		07/01/10	12/06/10	1,191.90	901.51	290.39		Y
Trade		78,000		07/01/10	12/07/10	1,011.94	764.32	247.62		Y
Trade		15,000		09/24/10	12/07/10	194.60	178.80	15.80		Y
Sub-Total:		576,000				7,078.65	5,641.77	1,436.88		
SCRIPPS NETWORKS INTERACT INC CL A										
Trade		5,000		03/23/10	09/20/10	232.70	208.54	24.16		Y
Trade		4,000		03/24/10	09/20/10	186.16	170.08	16.08		Y
Trade		5,000		03/25/10	09/20/10	232.70	217.33	15.37		Y
Trade		11,000		03/26/10	09/20/10	511.93	478.27	33.66		Y
Trade		9,000		03/26/10	09/20/10	418.85	395.06	23.79		Y
Trade		11,000		03/29/10	09/20/10	511.93	485.75	26.18		Y
Trade		14,000		03/30/10	09/20/10	651.55	622.86	28.69		Y
Trade		12,000		03/30/10	09/20/10	558.47	532.74	25.73		Y
Trade		19,000		03/30/10	12/16/10	988.31	845.31	123.00		Y
Trade		14,000		07/01/10	12/16/10	713.50	561.53	151.97		Y
Sub-Total:		104,000				4,986.10	4,517.47	468.63		
SEAGATE TECHNOLOGY *MERGER EFF:07/10*										
Trade		21,000		02/22/10	03/31/10	386.85	432.66	(45.81)		Y
Trade		2,000		02/22/10	05/28/10	30.84	41.21	(10.37)		Y
Trade		22,000		02/23/10	05/26/10	339.28	446.58	(107.30)		Y
Trade		22,000		02/23/10	05/26/10	339.28	447.04	(107.76)		Y
Trade		25,000		03/09/10	05/26/10	385.54	501.74	(116.20)		Y
Trade		24,000		03/10/10	05/26/10	370.12	474.68	(104.56)		Y
Sub-Total:		116,000				1,851.91	2,343.91	(492.00)		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SINCLAIR BROADCAST GROUP										
INC CL A	Trade	34,000		04/13/10	09/20/10	243.20	210.80	32.40		Y
	Trade	18,000		04/14/10	09/20/10	128.75	117.00	11.75		Y
	Trade	14,000		04/14/10	09/20/10	100.43	91.00	9.43		Y
	Trade	44,000		04/15/10	09/20/10	315.63	294.51	21.12		Y
	Trade	24,000		04/16/10	09/20/10	172.18	160.69	11.47		Y
	Trade	1,000		04/19/10	09/20/10	7.17	8.66	.51		Y
	Trade	60,000		04/19/10	09/20/10	430.40	400.24	30.16		Y
	Trade	47,000		04/20/10	09/20/10	337.15	322.75	14.40		Y
	Trade	73,000		04/21/10	09/20/10	523.66	533.40	(9.74)		Y
	Trade	17,000		05/06/10	09/20/10	121.95	114.05	7.90		Y
	Trade	10,000		05/06/10	09/20/10	72.29	87.09	5.20		Y
	Trade	45,000		05/06/10	09/21/10	323.99	301.89	22.10		Y
	Trade	13,000		07/01/10	09/21/10	93.60	72.24	21.36		Y
	Trade	26,000		07/01/10	10/18/10	215.79	144.48	71.31		Y
	Trade	77,000		07/01/10	10/27/10	801.11	427.89	173.22		Y
	Trade	204,000		07/01/10	10/28/10	1,596.27	1,133.63	462.64		Y
	Trade	10,000		09/01/10	10/28/10	78.25	62.37	15.88		Y
	Sub-Total:	717,000				5,361.80	4,460.69	901.11		
SIRONA DENTAL SYSTEMS										
INC	Trade	28,000		08/28/10	09/20/10	1,042.42	836.91	205.51		Y
	Trade	19,000		08/27/10	09/20/10	707.36	581.89	125.47		Y
	Sub-Total:	47,000				1,749.78	1,418.80	330.98		
SOLERA HOLDINGS INC										
	Trade	5,000		05/28/09	01/07/10	176.24	115.42	60.82		Y
	Trade	5,000		05/28/09	01/08/10	174.75	115.42	59.33		Y
	Trade	5,000		05/28/09	01/08/10	173.52	115.42	58.10		Y
	Trade	5,000		05/28/09	01/11/10	174.28	115.42	58.84		Y
	Trade	6,000		05/28/09	01/11/10	209.88	138.51	71.37		Y
	Trade	5,000		05/28/09	01/12/10	173.05	115.42	57.63		Y
	Trade	6,000		05/28/09	01/12/10	207.91	138.51	69.40		Y
	Trade	11,000		05/28/09	01/13/10	388.42	253.93	134.49		Y
	Trade	6,000		05/28/09	01/13/10	209.41	138.51	70.90		Y
	Sub-Total:	54,000				1,887.44	1,246.58	640.88		
SUCCESSFACTORS INC										
	Trade	12,000		10/16/09	09/20/10	302.08	187.20	114.88		Y
	Trade	11,000		10/19/09	09/20/10	276.90	174.43	102.47		Y
	Trade	12,000		10/20/09	09/20/10	302.08	192.02	110.06		Y
	Trade	23,000		10/21/09	09/20/10	578.98	382.90	196.08		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SUCCESSFACTORS INC	Trade	8,000		10/22/09	09/20/10	201.38	131.58	69.80		Y
	Sub-Total:	66,000				1,661.42	1,068.13	593.29		
SUNCOR ENERGY INC NEW CAD	Trade	55,000		05/28/09	01/27/10	1,810.12	1,909.60	(99.48)		Y
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	Trade	8,000		05/28/09	03/29/10	382.48	278.81	103.67		Y
	Trade	35,000		07/01/10	07/27/10	1,749.62	1,824.78	(75.16)		Y
	Sub-Total:	41,000				2,132.10	2,103.59	28.51		
TEXAS INSTRUMENTS	Trade	26,000		11/25/09	01/12/10	643.49	659.85	(16.36)		Y
	Trade	11,000		11/25/09	01/12/10	273.46	279.17	(5.71)		Y
	Trade	12,000		11/27/09	01/12/10	298.31	303.95	(5.64)		Y
	Trade	48,000		11/27/09	01/13/10	1,188.47	1,215.79	(29.32)		Y
	Trade	11,000		11/27/09	01/14/10	270.62	278.82	(8.00)		Y
	Trade	23,000		11/30/09	01/14/10	565.85	577.84	(11.99)		Y
	Trade	16,000		12/01/09	01/14/10	393.63	415.16	(21.53)		Y
	Sub-Total:	147,000				3,631.83	3,730.38	(98.55)		
TEXAS ROADHOUSE INC CL A	Trade	16,000		10/11/10	12/13/10	285.27	244.00	41.27		Y
	Trade	15,000		10/12/10	12/13/10	287.44	228.90	38.54		Y
	Trade	15,000		10/13/10	12/13/10	267.44	227.36	40.08		Y
	Trade	8,000		10/14/10	12/13/10	142.63	122.65	19.98		Y
	Trade	8,000		10/14/10	12/14/10	140.18	122.65	17.53		Y
	Trade	15,000		10/15/10	12/14/10	262.84	228.75	34.09		Y
	Trade	16,000		10/18/10	12/14/10	280.36	244.48	35.88		Y
	Trade	15,000		10/19/10	12/14/10	262.84	228.94	33.90		Y
	Trade	1,000		10/19/10	12/15/10	17.60	15.26	2.34		Y
	Trade	14,000		10/20/10	12/15/10	246.33	212.10	34.23		Y
	Trade	17,000		10/21/10	12/15/10	299.12	262.32	36.80		Y
	Trade	23,000		10/22/10	12/15/10	404.69	353.91	50.78		Y
	Sub-Total:	163,000				2,876.74	2,491.32	385.42		
THE DIRECTV GROUP CL A	Trade	28,000		05/28/09	03/01/10	958.79	649.83	308.96		Y
	Trade	31,000		05/28/09	03/03/10	1,060.86	719.45	341.41		Y
	Trade	11,000		05/28/09	03/10/10	381.72	255.29	126.43		Y
	Trade	12,000		05/28/09	03/19/10	399.60	278.50	121.10		Y
	Trade	21,000		11/19/09	03/19/10	699.31	650.52	48.79		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

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Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
THE DIRECTV GROUP CL A	Trade	15,000		11/19/09	03/19/10	499.51	468.91	32.60		Y
	Trade	10,000		12/23/09	03/19/10	333.00	338.18	(3.18)		Y
	Sub-Total:	128,000				4,332.79	3,356.68	976.11		
TUX COS INC NEW	Trade	50,000		01/29/10	09/20/10	2,159.06	1,921.28	237.80		Y
	Trade	12,000		02/02/10	09/20/10	518.17	469.65	48.52		Y
	Trade	10,000		02/08/10	11/04/10	468.99	380.03	88.96		Y
	Trade	12,000		02/08/10	11/05/10	563.99	456.04	107.95		Y
	Trade	5,000		07/01/10	11/05/10	234.99	213.20	21.79		Y
	Trade	22,000		07/01/10	11/08/10	1,011.98	938.08	73.90		Y
	Trade	21,000		07/01/10	11/09/10	957.17	895.44	61.73		Y
	Trade	17,000		07/01/10	11/10/10	766.34	724.88	41.46		Y
	Trade	5,000		09/24/10	11/10/10	225.39	224.30	1.09		Y
	Sub-Total:	154,000				6,906.08	6,222.88	683.20		
TRW AUTOMOTIVE HOLDINGS CORP	Trade	30,000		08/12/09	03/16/10	813.69	564.00	249.69		Y
	Trade	11,000		08/12/09	04/21/10	360.38	206.80	153.58		Y
	Trade	2,000		08/13/09	04/21/10	65.52	38.23	29.29		Y
	Trade	16,000		03/04/10	09/20/10	584.90	430.57	154.33		Y
	Trade	8,000		03/04/10	11/29/10	373.05	215.29	157.76		Y
	Trade	5,000		07/01/10	11/29/10	233.16	136.05	97.11		Y
	Trade	11,000		07/01/10	12/03/10	556.93	299.31	257.62		Y
	Sub-Total:	83,000				2,987.61	1,888.25	1,099.36		
	Trade	18,000		11/17/09	05/12/10	704.01	606.30	97.71		Y
	Trade	42,000		11/17/09	09/20/10	1,438.05	1,414.71	23.34		Y
URBAN OUTFITTERS INC	Trade	10,000		11/20/09	09/20/10	342.39	322.68	19.71		Y
	Trade	40,000		07/01/10	11/11/10	1,316.45	1,401.20	(84.75)		Y
	Trade	16,000		08/30/10	11/11/10	528.58	492.93	33.65		Y
	Trade	14,000		08/31/10	11/11/10	480.78	434.97	25.79		Y
	Trade	5,000		09/24/10	11/11/10	164.56	171.48	(6.92)		Y
	Sub-Total:	145,000				4,952.80	4,844.27	108.53		
	Trade	13,000		05/03/10	09/20/10	408.72	411.94	(3.22)		Y
	Trade	7,000		05/04/10	09/20/10	220.08	219.78	.30		Y
	Trade	16,000		05/08/10	09/20/10	503.04	497.28	5.76		Y
	Trade	30,000		05/10/10	09/20/10	943.20	940.69	2.51		Y
	Trade	7,000		05/11/10	09/20/10	220.08	218.19	1.89		Y
VALSPAR CORP	Trade	13,000		05/03/10	09/20/10	408.72	411.94	(3.22)		Y
	Trade	7,000		05/04/10	09/20/10	220.08	219.78	.30		Y
	Trade	16,000		05/08/10	09/20/10	503.04	497.28	5.76		Y
VALSPAR CORP	Trade	30,000		05/10/10	09/20/10	943.20	940.69	2.51		Y
	Trade	7,000		05/11/10	09/20/10	220.08	218.19	1.89		Y
	Trade	13,000		05/11/10	09/20/10	408.72	411.94	(3.22)		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
VALSPAR CORP	Trade	6,000		05/12/10	09/20/10	188.64	189.45	(.81)		Y
	Trade	9,000		05/12/10	10/12/10	283.55	284.18	(.63)		Y
	Trade	3,000		05/28/10	10/12/10	94.52	93.21	1.31		Y
	Trade	6,000		05/26/10	10/12/10	189.03	187.52	1.51		Y
	Trade	3,000		07/01/10	10/12/10	94.52	90.24	4.28		Y
	Trade	21,000		07/01/10	10/13/10	687.24	631.68	35.56		Y
	Trade	12,000		07/01/10	10/13/10	380.48	360.96	19.50		Y
	Trade	29,000		07/01/10	10/14/10	918.13	872.32	45.81		Y
	Trade	25,000		07/01/10	10/14/10	797.90	752.00	45.90		Y
	Trade	5,000		09/24/10	10/14/10	159.58	158.38	1.20		Y
Sub-Total:		192,000				6,068.69	5,907.82	160.87		
VARIAN MEDICAL SYSTEMS INC	Trade	13,000		08/26/10	09/20/10	777.69	693.31	84.38		Y
	Trade	13,000		08/27/10	09/20/10	777.69	695.83	81.86		Y
	Trade	4,000		08/30/10	09/20/10	239.29	216.15	23.14		Y
Sub-Total:		30,000				1,794.67	1,605.29	189.38		
VEECO INSTRUMENTS INC DELAWARE	Trade	15,000		10/09/09	03/09/10	548.11	360.00	188.11		Y
	Trade	7,000		10/09/09	03/10/10	265.88	168.00	97.88		Y
	Trade	2,000		10/12/09	03/10/10	75.97	48.00	27.97		Y
	Trade	3,000		10/28/09	03/10/10	113.95	72.71	41.24		Y
	Trade	13,000		10/28/09	03/11/10	510.98	315.06	195.90		Y
	Trade	23,000		10/28/09	03/19/10	870.83	557.41	313.42		Y
	Trade	14,000		10/28/09	03/24/10	601.85	339.29	262.56		Y
	Trade	3,000		10/29/09	03/24/10	128.97	71.77	57.20		Y
	Trade	11,000		10/29/09	03/25/10	493.73	263.17	230.56		Y
	Trade	12,000		10/29/09	03/30/10	511.50	287.09	224.41		Y
	Trade	10,000		10/29/09	04/15/10	499.99	239.24	260.75		Y
	Trade	7,000		10/29/09	04/26/10	364.10	167.47	196.63		Y
	Trade	13,000		10/29/09	04/27/10	680.28	311.01	369.27		Y
	Trade	25,000		01/05/10	04/27/10	1,308.22	882.88	425.36		Y
	Trade	14,000		01/14/10	04/27/10	732.60	478.12	254.48		Y
Sub-Total:		172,000				7,706.94	4,561.20	3,145.74		
VOLCANO CORP	Trade	9,000		09/24/10	12/13/10	244.71	220.39	24.32		Y
	Trade	3,000		09/27/10	12/13/10	81.57	74.73	6.84		Y
	Trade	7,000		09/27/10	12/14/10	188.61	174.37	14.24		Y
	Trade	7,000		09/28/10	12/14/10	188.61	173.71	14.90		Y
Sub-Total:		26,000				703.50	643.20	60.30		

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Corrected 02/27/11

C12G508972-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WERNER ENTERPRISES INC	Trade	27,000		05/18/10	09/13/10	574.84	633.15	(58.51)		Y
	Trade	59,000		05/18/10	09/13/10	1,252.45	1,383.55	(131.10)		Y
	Trade	8,000		05/26/10	09/13/10	170.28	181.73	(11.47)		Y
	Trade	4,000		05/26/10	09/13/10	85.13	90.79	(5.66)		Y
	Trade	20,000		07/01/10	09/13/10	425.68	444.50	(18.84)		Y
	Trade	58,000		07/01/10	09/14/10	1,230.81	1,289.05	(58.24)		Y
	Trade	17,000		07/01/10	09/15/10	354.44	377.83	(23.39)		Y
	Trade	30,000		07/02/10	09/15/10	625.48	688.70	(43.22)		Y
	Trade	10,000		08/11/10	09/15/10	208.49	215.73	(7.24)		Y
	Sub-Total:	233,000				4,927.36	5,285.03	(357.67)		
WHIRLPOOL CORP	Trade	17,000		07/07/10	09/20/10	1,357.66	1,528.59	(170.93)		Y
	Trade	23,000		07/07/10	10/14/10	1,957.45	2,068.09	(110.64)		Y
	Sub-Total:	40,000				3,315.11	3,596.68	(281.57)		
Total Short-Term Gain/Loss							434,863.52	392,540.29	42,323.23	

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALEXION PHARMACEUTICALS INC	Trade	25,000		05/28/09	09/20/10	1,534.89	894.45	640.44		Y
	Trade	3,000		08/05/09	09/20/10	193.77	158.64	35.13		Y
	Trade	7,000		08/08/09	09/20/10	452.12	393.39	58.73		Y
	Trade	7,000		08/07/09	09/20/10	452.12	399.03	53.09		Y
ALLIANCE DATA SYSTEMS CORP	Trade	11,000		08/07/09	09/20/10	710.48	628.83	81.65		Y
	Sub-Total:	28,000				1,808.49	1,579.89	228.60		
AMAZON.COM INC	Trade	9,000		06/03/09	06/24/10	1,058.95	763.17	295.78		Y
	Trade	6,000		06/03/09	06/24/10	705.98	507.88	198.10		Y
	Trade	3,000		06/03/09	07/19/10	353.54	253.93	99.61		Y
	Trade	5,000		07/09/09	07/19/10	589.23	392.62	196.61		Y
AMER EXPRESS CO	Sub-Total:	23,000				2,707.68	1,917.58	790.10		
	Trade	24,000		07/30/09	09/03/10	991.18	682.05	309.13		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMER EXPRESS CO	Trade	13,000		07/30/09	09/14/10	525.03	369.44	155.59		Y
	Trade	9,000		08/08/09	09/14/10	363.48	282.54	80.94		Y
	Trade	5,000		08/08/09	09/20/10	216.16	156.96	59.20		Y
	Sub-Total:	51,000				2,095.85	1,490.99	604.86		
APPLE INC	Trade	3,000		05/28/09	06/02/10	789.73	404.88	384.85		Y
	Trade	14,000		05/28/09	09/20/10	3,970.66	1,889.48	2,081.20		Y
	Sub-Total:	17,000				4,760.39	2,294.34	2,466.05		
ASIAINFO-LINKAGE INC	Trade	22,000		05/28/09	07/29/10	438.63	452.87	(14.24)		Y
	Trade	10,000		05/28/09	08/11/10	217.33	205.85	11.48		Y
	Trade	4,000		05/28/09	08/12/10	81.33	82.34	(1.01)		Y
	Trade	2,000		07/15/09	08/12/10	40.67	34.76	5.91		Y
	Sub-Total:	38,000				777.96	775.92	2.14		
BANK OF AMER CORP	Trade	62,000		05/28/09	07/14/10	964.39	683.86	280.53		Y
	Trade	8,000		05/28/09	07/19/10	107.78	88.24	19.52		Y
	Sub-Total:	70,000				1,072.15	772.10	300.05		
CONCHO RESOURCES INC	Trade	29,000		06/09/09	09/13/10	1,880.40	915.78	964.64		Y
	Trade	1,000		06/09/09	09/20/10	64.76	31.58	33.18		Y
	Trade	28,000		08/19/09	09/20/10	1,683.74	760.64	923.10		Y
	Sub-Total:	56,000				3,628.90	1,707.98	1,920.92		
DELTA AIR LINES INC DELA NEW	Trade	4,000		12/15/09	12/22/10	49.20	43.91	5.29		Y
EQUINIX INC NEW	Trade	40,000		05/28/09	09/20/10	3,933.53	2,928.24	1,005.29		Y
GOOGLE INC CL A	Trade	4,000		05/28/09	06/04/10	2,000.44	1,844.60	355.84		Y
	Trade	3,000		05/28/09	07/13/10	1,475.18	1,233.45	241.73		Y
	Sub-Total:	7,000				3,475.62	2,878.05	597.57		
MICRON TECHNOLOGY INC	Trade	170,000		05/28/09	07/28/10	1,393.97	820.79	573.18		Y
	Trade	46,000		05/28/09	07/29/10	366.66	222.10	144.56		Y
	Sub-Total:	216,000				1,760.63	1,042.89	717.74		
MICROSOFT CORP	Trade	18,000		05/28/09	06/14/10	484.68	371.16	93.50		Y

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Long-Term Gain/Loss - continued

C12G508974-X

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MICROSOFT CORP	Trade	51,000		05/28/09	08/30/10	1,191.94	1,051.62	140.32		Y
	Sub-Total:	69,000				1,656.60	1,422.78	233.82		
NETAPP INC	Trade	12,000		08/17/09	09/20/10	597.99	270.84	327.15		Y
NOBLE ENERGY INC	Trade	37,000		05/28/09	09/20/10	2,782.06	2,187.00	595.06		Y
	Trade	4,000		05/28/09	11/11/10	341.67	238.43	105.24		Y
	Trade	1,000		05/28/09	11/12/10	82.74	59.11	23.63		Y
	Sub-Total:	42,000				3,206.47	2,482.54	723.93		
PRICELINE.COM INC NEW	Trade	3,000		07/10/09	08/13/10	891.98	319.39	572.57		Y
	Trade	2,000		07/10/09	09/13/10	860.29	212.93	447.36		Y
	Trade	3,000		07/10/09	09/20/10	1,043.89	319.39	724.50		Y
	Sub-Total:	8,000				2,596.14	851.71	1,744.43		
SBA COMMUNICATIONS CORP CLA	Trade	82,000		05/28/09	09/20/10	3,193.84	2,083.26	1,110.58		Y
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	Trade	47,000		05/28/09	07/27/10	2,349.49	2,183.99	165.50		Y
TRW AUTOMOTIVE HOLDINGS CORP	Trade	20,000		08/13/09	09/14/10	705.06	382.31	342.75		Y
	Trade	5,000		08/20/09	09/14/10	176.26	95.85	80.41		Y
	Trade	45,000		08/20/09	09/20/10	1,845.04	882.83	782.41		Y
	Sub-Total:	70,000				2,526.36	1,320.79	1,205.57		
Total Long-Term Gain/Loss						43,732.18	28,942.15	14,790.03		

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UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12L454551-X

2010 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase		Sale		Gains		Losses		Net	
Short-term Gain/Loss:	\$	20,473.48	\$	26,136.51	\$	5,878.61	\$	(215.58)	\$	5,663.03
Long-term Gain/Loss:		107,049.76		124,296.83		21,889.34		(4,642.27)		17,247.07
Sub Total:	\$	127,523.24	\$	150,433.34	\$	27,767.95	\$	(4,857.85)	\$	22,910.10
Total:	\$	127,523.24	\$	150,433.34	\$	27,767.95	\$	(4,857.85)	\$	22,910.10

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099
BAE SYSTEMS PLC SPON ADR	Trade	150,000		06/02/10	12/10/10	3,098.54	2,890.50	208.04		
CADBURY PLC **MERGER EFF: 04/2010** SPON ADR	Trade	85,000		05/28/09	01/25/10	4,548.68	3,000.29	1,548.39		Y
NOBLE CORP CHF	Trade	60,000		06/02/10	09/20/10	2,121.58	1,685.88	435.70		Y
	Trade	15,000		06/02/10	12/23/10	524.01	421.47	102.54		Y
Sub-Total:		75,000				2,645.59	2,107.35	538.24		
POTASH CORP SASK INC CANADA CAD	Trade	15,000		06/02/10	09/20/10	2,227.51	1,480.94	746.57		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
RWE AG ADR	Trade	30,000		06/02/10	12/09/10	1,950.12	2,165.70	(215.58)		Y
TRANSOCEAN LTD CHF	Trade	65,000		06/02/10	09/20/10	3,910.56	3,130.38	780.18		Y
	Trade	60,000		06/02/10	12/23/10	4,170.37	2,889.58	1,280.79		Y
Sub-Total:		125,000				8,080.93	6,019.96	2,060.97		
WEATHERFORD INTL LTD CHF	Trade	195,000		06/02/10	09/20/10	3,359.95	2,657.54	702.41		Y
YARA INTL ASA SPON ADR	Trade	5,000		06/02/10	09/20/10	229.19	151.20	77.99		Y
Total Short-Term Gain/Loss						26,136.51	20,473.48	5,663.03		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALLIANZ SE ADR	Trade	250,000		05/28/09	09/20/10	2,769.95	2,431.65	338.30		Y
AXA ADR	Trade	85,000		05/28/09	09/20/10	1,461.12	1,568.21	(107.09)		Y
BAE SYSTEMS PLC SPON ADR	Trade	100,000		05/28/09	09/20/10	2,072.98	2,235.00	(162.04)		Y
BASF SE SPON ADR	Trade	45,000		05/28/09	09/20/10	2,677.45	1,883.25	794.20		Y
BHP BILLITON LTD SPON ADR	Trade	90,000		05/28/09	09/20/10	8,666.18	4,877.01	1,789.17		Y
BRITISH AMER TOBACCO PLC GB SPON ADR	Trade	45,000		05/28/09	09/20/10	3,369.54	2,473.97	895.57		Y
BROOKFIELD ASSET MGMT INC LTD VTG SHS CL A	Trade	55,000		05/28/09	09/20/10	1,528.42	949.82	578.60		Y
CANADIAN PAC RAILWAY LTD CAD	Trade	70,000		05/28/09	09/20/10	4,363.02	2,703.89	1,659.13		Y
CANADIAN NAT RESOURCES LTD CAD	Trade	40,000		05/28/09	09/20/10	1,350.11	1,163.50	186.61		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CDN NATL RAILWAY CO CAD	Trade	45,000		05/28/09	09/20/10	2,909.97	1,892.25	1,017.72		Y
COOPER INDUSTRIES PLC NEW CL A	Trade	45,000		05/28/09	09/20/10	2,155.01	1,430.89	724.12		Y
CORE LABORATORIES N V EUR	Trade	25,000		05/28/09	09/20/10	2,212.96	1,175.93	1,037.03		
DIAGEO PLC NEW GB SPON ADR	Trade	50,000		05/28/09	09/20/10	3,416.94	2,690.33	726.61		Y
ENSGN ENERGY SERVICES INC CAD	Trade	45,000		05/28/09	09/20/10	534.01	663.62	(129.61)		Y
INGERSOLL-RAND PLC	Trade	60,000		05/28/09	09/20/10	2,143.78	1,202.30	941.46		Y
MANULIFE FINANCIAL CORP CAD	Trade	40,000		05/28/09	09/20/10	526.13	827.99	(301.86)		Y
NABORS INDUSTRIES LTD NEW (BERMUDA)	Trade	225,000		05/28/09	09/20/10	3,987.29	3,999.49	(12.20)		Y
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	Trade	120,000		05/28/09	09/20/10	6,314.29	4,360.80	1,953.49		Y
NOBLE CORP CHF	Trade	290,000		05/28/09	09/20/10	10,254.28	9,456.61	797.67		
NOVARTIS AG SPON ADR	Trade	65,000		05/28/09	09/20/10	3,683.06	2,583.04	1,100.02		Y
PARTNERRE LTD ORD	Trade	20,000		05/28/09	09/20/10	1,599.51	1,254.97	344.54		Y
POTASH CORP SASK INC CANADA CAD	Trade	60,000		05/28/09	09/20/10	8,910.06	6,885.42	2,024.64		Y
RIO TINTO PLC SPON ADR	Trade	155,000		05/28/09	09/20/10	8,742.35	6,858.67	1,883.68		Y
RWE AG ADR	Trade	15,000		05/28/09	09/20/10	1,001.98	1,259.85	(257.87)		Y

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Long-Term Gain/Loss - continued

C12L45454-X

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
RWE AG ADR	Trade	5,000		05/28/09	12/09/10	325.02	419.95	(94.93)		Y
	Sub-Total:	20,000				1,327.00	1,679.80	(352.80)		
SCHLUMBERGER LTD NETHERLANDS ANTILLES	Trade	105,000		05/28/09	09/20/10	6,196.02	5,912.50	283.52		Y
SUNCOR ENERGY INC NEW CAD	Trade	190,000		05/28/09	09/20/10	6,184.69	6,552.15	(367.46)		Y
TALISMAN ENERGY INC CAD	Trade	65,000		05/28/09	09/20/10	1,071.83	1,056.88	14.95		Y
TENARIS S.A. ADS	Trade	115,000		05/28/09	09/20/10	4,285.22	3,494.58	790.66		Y
TRANSOCEAN LTD CHF	Trade	120,000		05/28/09	09/20/10	7,219.50	9,332.22	(2,112.72)		Y
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	Trade	100,000		05/28/09	09/20/10	2,870.30	2,407.96	462.34		Y
VALE SA-SP SPON ADR	Trade	160,000		05/28/09	09/20/10	4,487.92	3,075.20	1,412.72		Y
WEATHERFORD INTL LTD CHF	Trade	380,000		05/28/09	09/20/10	6,547.59	7,644.08	(1,096.49)		Y
YARA INTL ASA SPON ADR	Trade	10,000		05/28/09	09/20/10	458.39	325.80	132.59		Y
Total Long-Term Gain/Loss						124,296.83	107,049.76	17,247.07		

Form 8868
(Rev. January 2011)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization Irene W. & C.B. Pennington Foundation	Employer identification number 720938097
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. Box 1083	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Baton Rouge, LA 70821	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ Entity

Telephone No. ▶ _____ FAX No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until August 15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☒ calendar year 20 10 or
- ▶ ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ <u>100</u>

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization		Employer identification number
	<i>Irene W. & C.B. Pennington Foundation</i>		720938097
	Number, street, and room or suite no. If a P.O. box, see instructions.		
	P.O. Box 1083		
City, town or post office, state, and ZIP code. For a foreign address, see instructions.			
Baton Rouge, LA 70821			

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

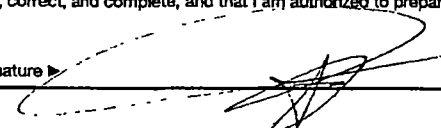
- The books are in the care of ☒ **Entity**
 Telephone No. ☐ FAX No. ☐
 • If the organization does not have an office or place of business in the United States, check this box ☐
 • If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until November 15, 20 11.
 5 For calendar year January 1, 20 10, and ending December 31, 20 10.
 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
 7 State in detail why you need the extension Taxpayer's accountants have not had sufficient time to gather the information necessary to complete an accurate return.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$ <u>5</u> ✓
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$ <u>5</u> ✓

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title CPA Date 8/11/11
 Form **8868** (Rev. 1-2011)