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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2010

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

, 2010, and ending

G Check all that apply:  Initial return  Initial Return of a former public charity  Final return  
 Amended return  Address change  Name change

THE PETER AND PATRICIA GRUBER FOUNDATION  
P.O. BOX 502820  
ST. THOMAS, VI 00805

H Check type of organization.  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) J Accounting method:  Cash  Accrual  
 Other (specify)   
 ► \$ 87,923,551. (Part I, column (d) must be on cash basis)

A Employer identification number  
 65-1230485  
 B Telephone number (see the instructions)  
 (340) 775-4430  
 C If exemption application is pending, check here ►   
 D 1 Foreign organizations, check here ►   
 2 Foreign organizations meeting the 85% test, check here and attach computation ►   
 E If private foundation status was terminated under section 507(b)(1)(A), check here ►   
 F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ►

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 C ► <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	71,987.	71,987.	N/A	
	4 Dividends and interest from securities	264,045.	264,045.		
	5a Gross rents b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a	2,122,195.			
	7 Capital gain net income (from Part IV, line 2)		2,122,195.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	SEE STATEMENT 1	595,078.	595,078.		
	12 Total. Add lines 1 through 11	3,053,305.	3,053,305.		
ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	148,542.		148,542.	
	14 Other employee salaries and wages	172,324.		172,324.	
	15 Pension plans, employee benefits	77,929.		77,929.	
	16a Legal fees (attach schedule) SEE ST 2 b Accounting fees (attach sch) SEE ST 3 c Other prof fees (attach sch)	56,482.		53,438.	
	17 Interest	16,871.		27,546.	
	18 Taxes (attach schedule)(see instr) SEE STM 4	61,066.			
	19 Depreciation (attach sch) and depletion	11,957.			
	20 Occupancy	78,491.		78,814.	
	21 Travel, conferences, and meetings	77,863.		76,867.	
	22 Printing and publications	7,647.		7,647.	
	23 Other expenses (attach schedule) SEE STATEMENT 5	331,413.		336,332.	
	24 Total operating and administrative expenses. Add lines 13 through 23	1,040,585.		979,439.	
	25 Contributions, gifts, grants paid PART XV	3,137,704.		3,067,704.	
	26 Total expenses and disbursements. Add lines 24 and 25	4,178,289.	0.	4,047,143.	
	27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) c Adjusted net income (if negative, enter -0-)	-1,124,984.	3,053,305.		

G14

7

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing	1,606.	202.	202.
	2	Savings and temporary cash investments	11,890,398.	18,335,311.	18,335,494.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	205,919.	160,450.	160,450.
	10a	Investments – U.S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) <b>STATEMENT 6</b>	5,610,711.	7,195,324.	7,813,777.
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments – mortgage loans				
13	Investments – other (attach schedule) <b>STATEMENT 7</b>	71,044,895.	61,632,138.	61,234,905.	
14	Land, buildings, and equipment: basis ►	72,088.			
	Less: accumulated depreciation (attach schedule) <b>SEE STMT 8</b> ►	67,150.	12,758.	4,938.	
15	Other assets (describe ► <b>SEE STATEMENT 9</b> )	15,590.	373,785.	373,785.	
16	<b>Total assets</b> (to be completed by all filers – see instructions Also, see page 1, item I)	88,781,877.	87,702,148.	87,923,551.	
LIABILITIES	17	Accounts payable and accrued expenses	27,112.	14,497.	
	18	Grants payable	60,000.	130,000.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ► <b>SEE STATEMENT 10</b> )	13,136.	1,006.	
	23	<b>Total liabilities</b> (add lines 17 through 22)	100,248.	145,503.	
NET ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ► <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ► <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund	22,404,978.	22,404,978.	
	29	Retained earnings, accumulated income, endowment, or other funds	66,276,651.	65,151,667.	
	30	<b>Total net assets or fund balances</b> (see the instructions)	88,681,629.	87,556,645.	
	31	<b>Total liabilities and net assets/fund balances</b> (see the instructions)	88,781,877.	87,702,148.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	88,681,629.
2	Enter amount from Part I, line 27a	2	-1,124,984.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	87,556,645.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	87,556,645.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
<b>1a SEE STATEMENT 11</b>				
b				
c				
d				
e				
<b>(e) Gross sales price</b>		<b>(f) Depreciation allowed (or allowable)</b>	<b>(g) Cost or other basis plus expense of sale</b>	<b>(h) Gain or (loss) (e) plus (f) minus (g)</b>
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				<b>(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))</b>
<b>(i) Fair Market Value as of 12/31/69</b>		<b>(j) Adjusted basis as of 12/31/69</b>	<b>(k) Excess of column (i) over column (j), if any</b>	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]		2 2,122,195.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		[ If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8 ]		3 461,873.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (column (b) divided by column (c))
2009	4,101,565.	79,487,772.	0.051600
2008	4,128,189.	92,234,969.	0.044757
2007	3,988,418.	91,622,371.	0.043531
2006	3,437,704.	26,037,177.	0.132031
2005	1,230,871.	21,698,904.	0.056725

2 Total of line 1, column (d)

2 0.328644

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 0.065729

4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5

4 84,461,049.

5 Multiply line 4 by line 3

5 5,551,540.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 30,533.

7 Add lines 5 and 6

7 5,582,073.

8 Enter qualifying distributions from Part XII, line 4

8 4,047,143.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter 'N/A' on line 1.	
	Date of ruling or determination letter. _____ (attach copy of letter if necessary – see instr.)	
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b	1 61,066.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2 0.
3	Add lines 1 and 2	3 61,066.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4 0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5 61,066.
6	Credits/Payments:	
a	2010 estimated tax pmts and 2009 overpayment credited to 2010	6a 204,078.
b	Exempt foreign organizations – tax withheld at source	6b
c	Tax paid with application for extension of time to file (Form 8868)	6c
d	Backup withholding erroneously withheld	6d
7	Total credits and payments Add lines 6a through 6d	7 204,078.
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9 0.
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10 143,012.
11	Enter the amount of line 10 to be <b>Credited to 2011 estimated tax</b> ► 143,012.   <b>Refunded</b>	11 0.

**Part VII-A Statements Regarding Activities**

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

	Yes	No
1a	X	

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?

	Yes	No
1b	X	

If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

	Yes	No
1c	X	

c Did the foundation file **Form 1120-POL** for this year?

	Yes	No
1c	X	

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

	Yes	No
1d		0.

(1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.

	Yes	No
1d		0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.

	Yes	No
1e		0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

	Yes	No
2	X	

If 'Yes,' attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes

	Yes	No
3	X	

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

	Yes	No
4a	X	

b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?

	Yes	No
4b	N/A	

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

	Yes	No
5	X	

If 'Yes,' attach the statement required by General Instruction T

	Yes	No
5	X	

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

	Yes	No
6	X	

• By language in the governing instrument, or

	Yes	No
6	X	

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

	Yes	No
6	X	

7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV

	Yes	No
7	X	

8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ►

	Yes	No
8a		

VI DE NY

	Yes	No
8a	X	

b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation

	Yes	No
8b	X	

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If 'Yes,' complete Part XIV

	Yes	No
9	X	

10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses

	Yes	No
10	X	

**Part VII-A Statements Regarding Activities (Continued)**

11		X
12		X
13	X	
14	A. SARAH HREHA 140 WEST 57TH STREET NEW YORK NY	Telephone no ► (212) 247-8484 ZIP + 4 ► 10019
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A	
16	Yes X	No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
1b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>			
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
2a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years ► 20__, 20__, 20__, 20__			
2b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see the instructions.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3b	If 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
4b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes  No  
 Yes  No  
 Yes  No  
 Yes  No  
 Yes  No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here.

► 

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A  Yes  No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes  No

6b X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes  No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PETER J. GRUBER P.O. BOX 502820 ST. THOMAS, VI 00805	CHAIRMAN 0	0.	0.	0.
PATRICIA M. GRUBER P.O. BOX 502820 ST. THOMAS, VI 00805	PRESIDENT 40.00	50,000.	0.	0.
AMY SARAH HREHA 140 WEST 57TH STREET NEW YORK, NY 10019	VP, TREAS, SEC 40.00	98,542.	0.	0.
-----	-----	-----	-----	-----

**2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'**

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BERNETIA AKIN P.O. BOX 502820 ST. THOMAS, VI 00805	DIR OF GRANTS 40	61,417.	0.	0.
DEBORAH A WADSWORTH P.O. BOX 502820 ST. THOMAS, VI 00805	EXEC. ASS'T 40	51,417.	0.	0.
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----

Total number of other employees paid over \$50,000

►

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 12		206,382.
-----		
-----		
-----		
-----		
-----		
-----		
<b>Total</b> number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2	-----	
3	-----	
4	-----	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2	-----	
3	-----	
All other program-related investments. See instructions		
<b>Total.</b> Add lines 1 through 3		0.

BAA

Form 990-PF (2010)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:

a Average monthly fair market value of securities

b Average of monthly cash balances

c Fair market value of all other assets (see instructions)

d **Total** (add lines 1a, b, and c)

e Reduction claimed for blockage or other factors reported on lines 1a and 1c  
(attach detailed explanation)

1e | 0.

2 Acquisition indebtedness applicable to line 1 assets

3 Subtract line 2 from line 1d

4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3  
(for greater amount, see instructions)

5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4

6 **Minimum investment return.** Enter 5% of line 5

1a	74,308,731.
1b	11,561,402.
1c	
1d	85,870,133.
2	122,875.
3	85,747,258.
4	1,286,209.
5	84,461,049.
6	4,223,052.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6

2a Tax on investment income for 2010 from Part VI, line 5

2a | 61,066.

b Income tax for 2010. (This does not include the tax from Part VI.)

2b |

c Add lines 2a and 2b

2c | 61,066.

3 Distributable amount before adjustments Subtract line 2c from line 1

3 | 4,161,986.

4 Recoveries of amounts treated as qualifying distributions

4 |

5 Add lines 3 and 4

5 | 4,161,986.

6 Deduction from distributable amount (see instructions)

6 |

7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1

7 | 4,161,986.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes  
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26

1a | 4,047,143.

b Program-related investments – total from Part IX-B

1b |

2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes

2 |

3 Amounts set aside for specific charitable projects that satisfy the.

a Suitability test (prior IRS approval required)

3a |

b Cash distribution test (attach the required schedule)

3b |

4 **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4

4 | 4,047,143.

5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income  
Enter 1% of Part I, line 27b (see instructions)

5 |

6 **Adjusted qualifying distributions.** Subtract line 5 from line 4

6 | 4,047,143.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				4,161,986.
2 Undistributed income, if any, as of the end of 2010.			0.	
a Enter amount for 2009 only		0.		
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006	1,491,444.			
c From 2007				
d From 2008				
e From 2009	132,731.			
f Total of lines 3a through e	1,624,175.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 4,047,143.			0.	
a Applied to 2009, but not more than line 2a		0.		
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2010 distributable amount				4,047,143.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	114,843.			114,843.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	1,509,332.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		0.		
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)		0.		
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	1,509,332.			
10 Analysis of line 9				
a Excess from 2006	1,376,601.			
b Excess from 2007				
c Excess from 2008				
d Excess from 2009	132,731.			
e Excess from 2010				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling				►	
b	Check box to indicate whether the foundation is a private operating foundation described in section				<input type="checkbox"/> 4942(j)(3) or	4942(j)(5)
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	'Assets' alternative test -- enter:					
(1)	Value of all assets					
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	'Endowment' alternative test -- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	'Support' alternative test -- enter:					
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3)	Largest amount of support from an exempt organization					
(4)	Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.

**SEE STATEMENT 13**

b The form in which applications should be submitted and information and materials they should include

**SEE STATEMENT FOR LINE 2A**

c Any submission deadlines:

**SEE STATEMENT FOR LINE 2A**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**SEE STATEMENT FOR LINE 2A**

**Part XV** **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> SEE STATEMENT 14				
<b>Total</b>				► 3a 3,037,704.
<i>b Approved for future payment</i> GENETICS SOCIETY OF AMERICA 9650 ROCKVILLE PIKE BETHESDA, MD 20814	N/A	PUBLIC	TWO ANNUAL INSTALLMENTS OF \$50K FOR THE ROSALIND FRANKLIN AWARD	100,000.
<b>Total</b>				► 3b 100,000.

## Part XVI-A Analysis of Income-Producing Activities

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies.					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	71,987.	
4 Dividends and interest from securities			14	264,045.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	594,177.	
8 Gain or (loss) from sales of assets other than inventory			18	2,122,195.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a CREDIT CARD REBATE			1	901.	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				3,053,305.	
13 Total Add line 12, columns (b), (d), and (e)					3,053,305.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions )
N/A	

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
- (2) Other assets

**b Other transactions:**

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitation

§ Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If 'Yes.' complete the following schedule.

<b>(a) Name of organization</b>	<b>(b) Type of organization</b>	<b>(c) Description of relationship</b>
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

**Sign  
Here**

Palmira M. Gruber

Signature of officer or trustee

| Nov, 9 2011

Date \_\_\_\_\_

PRESIDENT

---

**Title**

Signature of filer or trustee		Date	File
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date
	MARCELINE H. OBERLY	<i>Marceline H. Oberly</i>	10/24/11
	Firm's name ► MARCELINE H. OBERLY, CPA		Firm's EIN ► N/A
Firm's address ► 225 FOREST HILLS DR BRISTOL, TN 37620		Phone no 423-573-4690	
		Check if <input checked="" type="checkbox"/> if self-employed	PTIN N/A

**BAA**

**Form 990-PF (2010)**

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**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 11**  
**OTHER INCOME**

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CREDIT CARD REBATE	\$ 901.		
OTHER INVESTMENT INCOME	\$ 594,177.	\$ 595,078.	
<b>TOTAL</b>	<b>\$ 595,078.</b>	<b>\$ 595,078.</b>	<b>0.</b>

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16A**  
**LEGAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RESEARCH, YALE AGREEMENT, COMPLIANCE	DOC'S			
	\$ 56,482.			\$ 53,438.
<b>TOTAL</b>	<b>\$ 56,482.</b>	<b>\$ 0.</b>	<b>\$ 53,438.</b>	

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 16B**  
**ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND TAX PREPARATION	\$ 16,871.			\$ 27,546.
<b>TOTAL</b>	<b>\$ 16,871.</b>	<b>\$ 0.</b>	<b>\$ 27,546.</b>	

**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX BASED ON INVESTMENT INCOME	\$ 61,066.			
<b>TOTAL</b>	<b>\$ 61,066.</b>	<b>\$ 0.</b>	<b>\$ 0.</b>	

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**STATEMENT 5**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE EXPENSES	\$ 7,783.			\$ 7,783.
ADP FEES - PAYROLL	4,320.			4,320.
ADVERTISING & MEDIA	148,378.			148,378.
ANNUAL & FRANCHISE REPORTS	1,929.			1,929.
BANK SERVICE CHARGES	395.			395.
BANQUETS	25,903.			27,535.
CONSULTING & CONTRACT LABOR	350.			184.
DUES AND SUBSCRIPTIONS	1,406.			1,406.
ESSAY CONTEST EXPENSES	194.			168.
HONORARIUMS	76,000.			80,000.
INSURANCE	6,161.			6,161.
MEALS & ENTERTAINMENT	6,512.			6,512.
MEDALS	13,001.			13,001.
OFFICE EXPENSE	8,174.			8,174.
PHOTOGRAPHY	5,146.			5,146.
POSTAGE, SHIPPING & DELIVERY	1,475.			1,475.
STAFF DEVELOPMENT	647.			647.
SUPPLIES	2,652.			2,652.
TELEPHONE & INTERNET	19,610.			19,089.
WORKERS COMPENSATION INSURANCE	1,377.			1,377.
<b>TOTAL</b>	<b>\$ 331,413.</b>	<b>\$ 0.</b>		<b>\$ 336,332.</b>

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
JPMORGAN CHASE & CO	MKT VAL	\$ 1,588,561.	\$ 2,125,980.
JPMORGAN STRATEGIC INCOME OPPT FUND	MKT VAL	3,118,763.	3,190,677.
JP MORGAN LEVERAGED LOANS LTD	MKT VAL	0.	0.
GREEN DOT CORP CLASS A	MKT VAL	488,000.	453,920.
JPM CHASE CAPITAL XXIX	MKT VAL	2,000,000.	2,043,200.
<b>TOTAL</b>	<b>\$ 7,195,324.</b>		<b>\$ 7,813,777.</b>

**STATEMENT 7**  
**FORM 990-PF, PART II, LINE 13**  
**INVESTMENTS - OTHER**

<u>OTHER INVESTMENTS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
SFC FOX RIDGE INVESTORS, LP	COST	\$ 4,683.	\$ 4,683.
SFC PARK KNOLLS INVESTORS, LP	COST	11,699.	11,699.
SFC PHEASANT RUN INVESTORS, LP	COST	11,946.	11,946.
AIF VII PRIVATE INVESTORS, LLC	MKT VAL	2,180,749.	2,869,863.
JPM GREATER CHINA PROPERTY FUND, LLC	MKT VAL	3,278,654.	3,483,263.

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**STATEMENT 7 (CONTINUED)**  
**FORM 990-PF, PART II, LINE 13**  
**INVESTMENTS - OTHER**

OTHER INVESTMENTS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
JPMORGAN GLOBAL ACCESS PORTFOLIOS, LLC	MKT VAL	\$ 0.	\$ 0.
HIGHBRIDGE PS MEZZANINE OFFSHORE PI, LP	MKT VAL	1,132,753.	1,113,420.
CPI ASIA PRIVATE INVESTORS OFFSHORE LP	MKT VAL	475,420.	405,247.
JPMORGAN CHASE CIGAR CLS 040810	MKT VAL	0.	0.
FINE TROY OZ UNALLOCATED GOLD	MKT VAL	0.	0.
ALTIMA ONE WORLD AGRICULTURE FUND LTD	MKT VAL	3,015,000.	2,646,100.
JPM GLOBAL ACCESS BALANCED PLUS SP	MKT VAL	45,000,000.	43,833,201.
JPM 1YR AUD/BRL/NOK BREN MD 101410	MKT VAL	0.	0.
CS 15M SPX OPT ENTRY REN 120210	MKT VAL	0.	0.
BARC 18M EWW MKT PLS NOTE 031711	MKT VAL	0.	0.
JP MORGAN SECONDARY PRIVATE EQUITY, LP	MKT VAL	248,239.	349,469.
GOLDENTREE CLO DEBT INVESTMENT	MKT VAL	1,015,000.	1,045,419.
GLOBAL ACCESS HEDGE FUND STRATEGIES, LTD	MKT VAL	5,025,000.	5,232,010.
WILLIAMS PARTNERS LP	MKT VAL	232,995.	228,585.
		TOTAL \$ 61,632,138.	\$ 61,234,905.

**STATEMENT 8**  
**FORM 990-PF, PART II, LINE 14**  
**LAND, BUILDINGS, AND EQUIPMENT**

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
FURNITURE AND FIXTURES	\$ 8,019.	\$ 6,984.	\$ 1,035.	\$ 1,035.
MACHINERY AND EQUIPMENT	20,945.	17,042.	3,903.	3,903.
MISCELLANEOUS	43,124.	43,124.	0.	0.
TOTAL \$ 72,088.	\$ 67,150.	\$ 4,938.	\$ 4,938.	

**STATEMENT 9**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

	BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS RECEIVABLE	\$ 9,170.	\$ 9,170.
DUE FROM EMPLOYEES	134.	134.
SALE PROCEEDS RECEIVABLE	348,765.	348,765.
SECURITY DEPOSITS	15,648.	15,648.
STONESFAIR DISTRIBUTION RECEIVABLE	68.	68.
TOTAL \$ 373,785.	\$ 373,785.	

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**STATEMENT 10**  
**FORM 990-PF, PART II, LINE 22**  
**OTHER LIABILITIES**

PAYROLL LIABILITIES	\$	1,006.
	TOTAL \$	<u>1,006.</u>

**STATEMENT 11**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	5,000,000 JPMORGAN CHASE CIGAR CLS 4/8/10	PURCHASED	4/02/2008	4/08/2010
2	4,000 NAVIOS MARITIME PARTNERS LP	PURCHASED	4/30/2010	4/30/2010
3	2,000 PAA NATURAL GAS STORAGE LP	PURCHASED	4/29/2010	4/30/2010
4	1,000 KINDER MORGAN ENERGY PARTNERSHIP LP	PURCHASED	5/04/2010	5/04/2010
5	125 ENTERTAINMENT PROPERTIES TRUST	PURCHASED	5/06/2010	5/06/2010
6	4,000 IMMUNOGEN INC	PURCHASED	5/06/2010	5/07/2010
7	1,000 TELENAV INC	PURCHASED	5/13/2010	5/13/2010
8	2,000 NUSTAR ENERGY LP	PURCHASED	5/14/2010	5/18/2010
9	5,000 STRATEGIC HOTELS & RESORTS INC	PURCHASED	5/13/2010	5/18/2010
10	250 REACHLOCAL INC	PURCHASED	5/19/2010	5/20/2010
11	500 VITAMIN SHOPPE INC	PURCHASED	5/19/2010	5/20/2010
12	250 ACCRETIVE HEALTH INC	PURCHASED	5/20/2010	5/20/2010
13	4,000 RESOURCE CAPITAL CORP	PURCHASED	5/19/2010	5/20/2010
14	2,000 GETTY REALTY CORP	PURCHASED	5/13/2010	5/26/2010
15	500 ARTIO GLOBAL INVESTORS INC	PURCHASED	6/04/2010	6/04/2010
16	4,000 MF GLOBAL HOLDINGS LTD	PURCHASED	6/03/2010	6/04/2010
17	1,000 NU SKIN ENTERPRISES INC	PURCHASED	6/03/2010	6/04/2010
18	2,000 CENTERPOINT ENERGY INC	PURCHASED	6/09/2010	6/10/2010
19	250 TEHLA MOTORS INC	PURCHASED	6/29/2010	6/29/2010
20	4,000 MAGELLAN MIDSTREAM PARTNERS LP	PURCHASED	7/14/2010	7/14/2010
21	1,500 QLIK TECHNOLOGIES INC	PURCHASED	7/15/2010	7/16/2010
22	1,750 REALD INC	PURCHASED	7/15/2010	7/16/2010
23	1,000,000 BARC EWW MKT PLS NOTE 3/17/11	PURCHASED	9/11/2009	7/22/2010
24	1,500,000 CS SPX OPT ENTRY REN 12/02/10	PURCHASED	8/13/2009	7/22/2010
25	750 GREEN DOT CORP CLASS A	PURCHASED	7/21/2010	7/22/2010
26	2,250 APACHE CORP	PURCHASED	7/23/2010	7/23/2010
27	2,000,000 JPM 1YR AUD/BRL/NOK BREN MD 10/14/10	PURCHASED	9/28/2009	7/23/2010
28	1,500 APACHE CORP CV PFD 6%	PURCHASED	7/23/2010	7/23/2010
29	13,000 MOLYCORP INC	PURCHASED	7/29/2010	8/02/2010
30	8,000 XCEL ENERGY INC	PURCHASED	8/03/2010	8/05/2010
31	2,000 AMBOW EDUCATION HOLDING	PURCHASED	8/04/2010	8/05/2010
32	3,000 TARGA RESOURCES PARTNERS LP	PURCHASED	8/10/2010	8/10/2010
33	1,500 COTT CORPORATION	PURCHASED	8/12/2010	8/12/2010
34	3,000 GRUPO AEROPORTUARIO DEL SURESTE	PURCHASED	8/12/2010	8/12/2010
35	3,000 EV ENERGY PARTNER LP	PURCHASED	8/11/2010	8/13/2010
36	750 SUN HEALTHCARE GROUP INC	PURCHASED	8/13/2010	8/13/2010
37	4,000 REGENCY ENERGY PARTNERS LP	PURCHASED	8/11/2010	8/16/2010
38	2,500 ALLSCRIPTS HEALTHCARE SOLUTIONS INC	PURCHASED	8/16/2010	8/17/2010
39	750 AMERICAN CAMPUS COMMUNITIES INC	PURCHASED	8/16/2010	8/17/2010
40	411 MOLINA HEALTHCARE INC	PURCHASED	8/10/2010	8/17/2010
41	4,000 ENERGY TRANSFER PARTNERS LP	PURCHASED	8/18/2010	8/18/2010
42	1,089 MOLINA HEALTHCARE INC	PURCHASED	8/10/2010	8/18/2010
43	6,250 PENNANTPARK INVESTMENT CORP	PURCHASED	8/18/2010	8/18/2010
44	6,365 FINE TROY OUNCES OF UNALLOCATED GOLD	PURCHASED	11/30/2007	8/20/2010

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**STATEMENT 11 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
45	2,000 SUNOCO LOGISTICS PARTNERS LP	PURCHASED	8/24/2010	8/24/2010
46	2,500 EXTERAN PARTNERS LP	PURCHASED	9/08/2010	9/09/2010
47	4,000 INERGY LP	PURCHASED	9/08/2010	9/09/2010
48	2,500 NISOURCE INC	PURCHASED	9/08/2010	9/09/2010
49	12,500 APPOLLO COMMERCIAL REAL ESTATE FINANCE I	PURCHASED	9/16/2010	9/20/2010
50	1,500 DIGITALGLOBE INC	PURCHASED	9/15/2010	9/20/2010
51	500 TOWERS WATSON & COMPANY	PURCHASED	9/16/2010	9/20/2010
52	6,624 UIL HOLDINGS CORP	PURCHASED	9/16/2010	9/20/2010
53	750 WORLD FUEL SERVICE CORP	PURCHASED	9/15/2010	9/20/2010
54	1,500 HEALTH CARE REIT INC	PURCHASED	9/21/2010	9/21/2010
55	3,500 ASPEN TECHNOLOGY INC	PURCHASED	9/23/2010	9/23/2010
56	4,400 REALTY INCOME CORP	PURCHASED	9/23/2010	9/27/2010
57	750 ALEXANDRIA REAL ESTATE EQUITIES INC	PURCHASED	9/22/2010	9/30/2010
58	500 AMYRIS INC	PURCHASED	9/27/2010	9/30/2010
59	7,000 ELSTER GROUP SE	PURCHASED	9/30/2010	9/30/2010
60	2,500 ENTROPIC COMMUNICATIONS INC	PURCHASED	9/29/2010	9/30/2010
61	3,500 VERISK ANALYTICS INC	PURCHASED	9/27/2010	9/30/2010
62	2,750 WILLIAMS PARTNERS LP	PURCHASED	9/23/2010	9/30/2010
63	4,000 NAVIOS MARITIME PARTNERS LP	PURCHASED	10/08/2010	10/08/2010
64	5,000 TEEKAY TANKERS LTD	PURCHASED	10/01/2010	10/08/2010
65	2,000 CHESAPEAKE LODGING TRUST	PURCHASED	10/06/2010	10/08/2010
66	2,000 SECUBE LEASING LT	PURCHASED	10/27/2010	10/28/2010
67	500 NXP SEMICONDUCTORS NV	PURCHASED	8/05/2010	10/28/2010
68	29,000 FLAGSTAR BANCORP INC	PURCHASED	10/28/2010	10/28/2010
69	7,500 PACIFIC BIOSCIENCE OF CALIF	PURCHASED	10/26/2010	10/28/2010
70	10,000 PROLOGIS	PURCHASED	10/26/2010	10/27/2010
71	3,550 FLAGSTAR BANCORP INC	PURCHASED	10/28/2010	10/29/2010
72	7,000 CORPORATE OFFICE PROPERTIES TRUST	PURCHASED	11/02/2010	11/02/2010
73	75,000 DEVELOPERS DIVERSIFIED	PURCHASED	11/02/2010	11/02/2010
74	750 STANLEY BLACK & DECKER	PURCHASED	11/01/2010	11/02/2010
75	1,500 HCP, INC	PURCHASED	11/03/2010	11/03/2010
76	1,250 SODASTREAM INTERNATIONAL LTD	PURCHASED	11/03/2010	11/03/2010
77	2,250 FRESH MARKET INC	PURCHASED	11/04/2010	11/05/2010
78	3,000 WESTAR ENERGY INC	PURCHASED	11/04/2010	11/05/2010
79	5,500 SUNSTONE HOTEL INVESTORS-W/D	PURCHASED	11/09/2010	11/09/2010
80	3,000 ENBRIDGE ENERGY PARTNERS LP	PURCHASED	11/10/2010	11/11/2010
81	5,500 SUNSTONE HOTEL INVESTORS-W/D	PURCHASED	11/09/2010	11/10/2010
82	2,000 WESTPORT INNOVATIONS INC	PURCHASED	11/09/2010	11/10/2010
83	3,000 APPROACH RESOURCES INC	PURCHASED	11/10/2010	11/11/2010
84	3,500 BLACK HILLS CORP	PURCHASED	11/10/2010	11/11/2010
85	1,000 NOAH HOLDINGS LTD SPON ADS	PURCHASED	11/09/2010	11/10/2010
86	4,000 WATSON PHARMACEUTICALS INC	PURCHASED	11/10/2010	11/11/2010
87	56,388 GENERAL MOTORS CO	PURCHASED	11/18/2010	11/19/2010
88	20,000 HEALTH CARE REIT INC	PURCHASED	12/01/2010	12/01/2010
89	22,292 ENTERPRISE PRODUCTS PARTNERS LP	PURCHASED	12/01/2010	12/02/2010
90	13,000 FXCM INC	PURCHASED	12/01/2010	12/02/2010
91	708 ENTERPRISE PRODUCTS PARTNERS LP	PURCHASED	12/01/2010	12/03/2010
92	3,800 QLIK TECHNOLOGIES INC	PURCHASED	12/02/2010	12/03/2010
93	4,000 REALTY INCOME CORP	PURCHASED	12/03/2010	12/03/2010
94	3,500 VITAMIN SHOPPE INC	PURCHASED	12/01/2010	12/02/2010
95	200 QLIK TECHNOLOGIES INC	PURCHASED	12/02/2010	12/06/2010
96	25,000 GERON CORP	PURCHASED	12/07/2010	12/07/2010
97	4,000 REALD INC	PURCHASED	12/06/2010	12/07/2010
98	48,000 TARGA RESOURCES CORP	PURCHASED	12/06/2010	12/07/2010
99	1,600 FIRST REPUBLIC BANK SAN FRAN	PURCHASED	12/08/2010	12/09/2010

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**STATEMENT 11 (CONTINUED)**  
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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
100	4,000 BONA FILM GROUP LTD	PURCHASED	12/08/2010	12/09/2010
101	3,000 DOLLAR GENERAL CORP	PURCHASED	12/08/2010	12/09/2010
102	50,000 HEARTWARE INTERNATIONAL	PURCHASED	12/10/2010	12/10/2010
103	500 HEARTWARE INTERNATIONAL	PURCHASED	12/10/2010	12/10/2010
104	4,000 STARWOOD PROPERTY TRUST INC	PURCHASED	12/09/2010	12/10/2010
105	12,000 STILLWATER MINING CO	PURCHASED	12/07/2010	12/10/2010
106	5,000 FIRST HORIZON NATIONAL CORPORATION	PURCHASED	12/14/2010	12/14/2010
107	2,500 ISOFTSTONE HOLDINGS LIMITED	PURCHASED	12/13/2010	12/14/2010
108	5,100 WILLIAMS PARTNERS LP	PURCHASED	12/14/2010	12/14/2010
109	12,000 HCP, INC	PURCHASED	12/14/2010	12/15/2010
110	5,000 FLEETCOR TECHNOLOGIES INC	PURCHASED	12/14/2010	12/15/2010
111	20,000 QR ENERGY LP	PURCHASED	12/16/2010	12/17/2010
112	16,000 SPECTRA ENERGY PARTNERS LP	PURCHASED	12/02/2010	12/22/2010
113	5,750 BIOMIMETIC THERAPEUTICS INC	PURCHASED	7/14/2010	7/26/2010
114	JP MORGAN LEVERAGED LOANS, LTD	PURCHASED	4/29/2009	8/13/2010
115	JP MORGAN GLOBAL ACCESS PORTFOLIOS, LLC	PURCHASED	5/28/2008	10/29/2010

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	3699453.		5000000.	-1300547.				\$ -1300547.
2	70,639.		71,360.	-721.				-721.
3	46,299.		43,000.	3,299.				3,299.
4	65,649.		66,250.	-601.				-601.
5	5,025.		5,125.	-100.				-100.
6	31,600.		32,000.	-400.				-400.
7	9,650.		8,000.	1,650.				1,650.
8	112,256.		113,100.	-844.				-844.
9	26,183.		23,000.	3,183.				3,183.
10	3,621.		3,250.	371.				371.
11	11,743.		11,750.	-7.				-7.
12	3,340.		3,000.	340.				340.
13	20,480.		21,000.	-520.				-520.
14	43,799.		44,000.	-201.				-201.
15	8,300.		8,665.	-365.				-365.
16	28,866.		28,400.	466.				466.
17	26,690.		27,000.	-310.				-310.
18	26,200.		25,800.	400.				400.
19	5,342.		4,250.	1,092.				1,092.
20	189,197.		186,600.	2,597.				2,597.
21	19,047.		15,000.	4,047.				4,047.
22	34,387.		28,000.	6,387.				6,387.
23	1127000.		1000000.	127,000.				127,000.
24	1587900.		1500000.	87,900.				87,900.
25	32,149.		27,000.	5,149.				5,149.
26	205,062.		198,000.	7,062.				7,062.
27	2000000.		2000000.	0.				0.
28	77,279.		75,000.	2,279.				2,279.
29	167,957.		182,000.	-14,043.				-14,043.
30	173,677.		172,000.	1,677.				1,677.
31	19,800.		20,000.	-200.				-200.
32	74,099.		74,400.	-301.				-301.
33	8,850.		8,400.	450.				450.
34	134,374.		134,400.	-26.				-26.
35	101,848.		101,910.	-62.				-62.

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**STATEMENT 11 (CONTINUED)**  
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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
36	5,900.		5,813.	87.				\$ 87.
37	94,798.		95,200.	-402.				-402.
38	42,899.		42,625.	274.				274.
39	20,857.		20,250.	607.				607.
40	11,038.		11,097.	-59.				-59.
41	185,197.		184,880.	317.				317.
42	29,299.		29,403.	-104.				-104.
43	62,625.		63,438.	-813.				-813.
44	7804763.		4999708.	2805055.				2805055.
45	149,197.		148,900.	297.				297.
46	53,899.		54,000.	-101.				-101.
47	149,557.		142,400.	7,157.				7,157.
48	42,377.		41,250.	1,127.				1,127.
49	205,265.		200,000.	5,265.				5,265.
50	47,675.		45,375.	2,300.				2,300.
51	22,555.		23,000.	-445.				-445.
52	174,788.		170,568.	4,220.				4,220.
53	18,767.		18,750.	17.				17.
54	70,471.		68,625.	1,846.				1,846.
55	34,334.		31,500.	2,834.				2,834.
56	147,070.		146,960.	110.				110.
57	52,099.		51,938.	161.				161.
58	8,485.		8,000.	485.				485.
59	97,368.		91,000.	6,368.				6,368.
60	24,050.		24,250.	-200.				-200.
61	97,298.		95,375.	1,923.				1,923.
62	116,005.		116,600.	-595.				-595.
63	70,199.		70,600.	-401.				-401.
64	59,599.		60,750.	-1,151.				-1,151.
65	32,499.		32,500.	-1.				-1.
66	21,800.		20,000.	1,800.				1,800.
67	6,275.		7,000.	-725.				-725.
68	35,959.		29,000.	6,959.				6,959.
69	125,698.		120,000.	5,698.				5,698.
70	129,098.		123,000.	6,098.				6,098.
71	86,712.		71,000.	15,712.				15,712.
72	253,148.		239,750.	13,398.				13,398.
73	75,356.		75,000.	356.				356.
74	77,805.		75,000.	2,805.				2,805.
75	53,304.		52,875.	429.				429.
76	30,563.		25,000.	5,563.				5,563.
77	74,027.		49,500.	24,527.				24,527.
78	76,499.		76,620.	-121.				-121.
79	56,219.		56,375.	-156.				-156.
80	180,147.		180,360.	-213.				-213.
81	55,604.		56,375.	-771.				-771.
82	35,099.		35,000.	99.				99.
83	52,171.		48,750.	3,421.				3,421.
84	103,773.		104,125.	-352.				-352.
85	14,900.		12,000.	2,900.				2,900.
86	198,997.		199,000.	-3.				-3.
87	1868482.		1860804.	7,678.				7,678.
88	903,385.		875,000.	28,385.				28,385.

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
89	917,746.		919,545.	-1,799.				\$ -1,799.
90	194,110.		182,000.	12,110.				12,110.
91	29,105.		29,205.	-100.				-100.
92	87,019.		87,400.	-381.				-381.
93	134,398.		134,800.	-402.				-402.
94	103,073.		101,500.	1,573.				1,573.
95	4,500.		4,600.	-100.				-100.
96	127,998.		125,000.	2,998.				2,998.
97	114,598.		111,000.	3,598.				3,598.
98	47,799.		44,000.	3,799.				3,799.
99	44,799.		40,800.	3,999.				3,999.
100	33,599.		34,000.	-401.				-401.
101	92,698.		91,500.	1,198.				1,198.
102	51,745.		50,000.	1,745.				1,745.
103	41,649.		40,655.	994.				994.
104	80,399.		78,920.	1,479.				1,479.
105	236,036.		234,000.	2,036.				2,036.
106	54,249.		52,500.	1,749.				1,749.
107	42,499.		32,500.	9,999.				9,999.
108	242,042.		242,505.	-463.				-463.
109	401,033.		384,000.	17,033.				17,033.
110	129,998.		115,000.	14,998.				14,998.
111	396,393.		400,000.	-3,607.				-3,607.
112	524,637.		525,920.	-1,283.				-1,283.
113	48,718.		48,875.	-157.				-157.
114	1151922.		1000000.	151,922.				151,922.
115	4671459.		4667567.	3,892.				3,892.
							TOTAL \$	<u>2122195.</u>

**STATEMENT 12**  
**FORM 990-PF, PART VIII, LINE 3**  
**COMPENSATION OF FIVE HIGHEST PAID CONTRACTORS**

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
PATTERSON BELKNAP WEBB & TYLER LLP 1133 AVENUE OF THE AMERICAS NEW YORK, NY 10036-6710	LEGAL	55,949.
LUNCHBOX COMMUNICATIONS, LLC 161 LEVERINGTON AVE., SUITE 101 PHILADELPHIA, PA 19127	ADVERTISING & PR	150,433.
		TOTAL \$ <u>206,382.</u>

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**STATEMENT 13**  
**FORM 990-PF, PART XV, LINE 2A-D**  
**APPLICATION SUBMISSION INFORMATION**

NAME OF GRANT PROGRAM: GRUBER PRIZES  
NAME: WWW.GRUBERPRIZES.ORG  
CARE OF: THE PETER AND PATRICIA GRUBER FOUNDATION  
STREET ADDRESS: PO BOX 502820  
CITY, STATE, ZIP CODE: ST. THOMAS, VI 00805  
TELEPHONE: 340-775-4430  
FORM AND CONTENT: PRIZE NOMINATION REQUIREMENTS:

NOMINATIONS FOR THE COSMOLOGY PRIZE ARE INVITED ANNUALLY FROM THE FIELDS OF ASTRONOMY, COSMOLOGY, MATHEMATICS, AND THE PHILOSOPHY OF SCIENCE. INDIVIDUALS, ORGANIZATIONS, AND INSTITUTIONS THAT ARE ACTIVE IN OR HAVE AN APPRECIATION FOR CONTEMPORARY COSMOLOGICAL RESEARCH AND STUDY MAY SUBMIT NOMINATIONS. NOMINATION MUST INCLUDE A COVER LETTER EXPLAINING WHAT THE NOMINEE HAS DONE AND WHY IT IS IMPORTANT IN COSMOLOGY (BROADLY INTERPRETED), A COMPLETED NOMINATION FORM, A CURRENT CURRICULUM VITAE WITHOUT A FULL LIST OF PUBLICATIONS, AND A LETTER OF SUPPORT FROM AN INDIVIDUAL OUTSIDE OF THE NOMINEE'S OWN INSTITUTION OR RESEARCH GROUP.

NOMINATIONS FOR THE GENETICS PRIZE ARE INVITED ANNUALLY FROM THE FIELD OF GENETICS. NOMINATIONS MAY BE SUBMITTED BY INDIVIDUALS, ORGANIZATIONS, AND INSTITUTIONS THAT ARE ACTIVE IN OR HAVE AN APPRECIATION FOR CONTEMPORARY GENETIC RESEARCH OR PROBLEMS. NOMINATION MUST INCLUDE A LIST OF SCIENTIFIC ACHIEVEMENTS (PLEASE LIMIT TO 250 WORDS), A COMPLETED NOMINATION FORM, A CURRENT CURRICULUM VITAE WITHOUT A FULL LIST OF PUBLICATIONS, AND AT LEAST TWO LETTERS OF SUPPORT FROM INDIVIDUALS OUTSIDE OF THE NOMINEE'S OWN INSTITUTION OR RESEARCH GROUP.

NOMINATIONS FOR THE NEUROSCIENCE PRIZE ARE INVITED ANNUALLY AND MAY BE SUBMITTED BY INDIVIDUALS, ORGANIZATIONS, AND INSTITUTIONS THAT ARE ACTIVE IN OR HAVE AN APPRECIATION FOR CONTEMPORARY NEUROSCIENCE RESEARCH AND STUDY. NOMINATIONS MUST INCLUDE A LIST OF SCIENTIFIC ACHIEVEMENTS, INCLUDING REASON FOR NOMINATION (PLEASE LIMIT TO 250 WORDS), A COMPLETED NOMINATION FORM, AND A CURRENT CURRICULUM VITAE WITHOUT A FULL LIST OF PUBLICATIONS.

NOMINATIONS FOR THE JUSTICE PRIZE ARE INVITED ANNUALLY AND MAY BE SUBMITTED BY INDIVIDUALS, ORGANIZATIONS, AND INSTITUTIONS THAT ARE ACTIVE IN OR HAVE AN APPRECIATION FOR THE THEORY AND PRACTICE OF JUSTICE. NOMINATIONS MUST INCLUDE A COMPLETED NOMINATION FORM, AND A CURRENT CURRICULUM VITAE WITHOUT A FULL LIST OF PUBLICATIONS IF AN INDIVIDUAL IS NOMINATED. IF THE NOMINEE IS AN ORGANIZATION, A PROFILE MAY BE ATTACHED INSTEAD, BUT IS NOT REQUIRED. THE NOMINATION FORM MUST INCLUDE A DESCRIPTION OF HOW MUCH OF THE NOMINEE'S PROFESSIONAL CAREER HAS BEEN INVOLVED IN THE PURSUIT OF JUSTICE, AND IN WHAT CAPACITIES AND A LIST OF RECOGNITIONS AND AWARDS RECEIVED.

NOMINATIONS FOR THE WOMEN'S RIGHTS PRIZE ARE INVITED ANNUALLY AND MAY BE SUBMITTED BY INDIVIDUALS, ORGANIZATIONS, AND INSTITUTIONS THAT ARE ACTIVE IN OR HAVE

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**STATEMENT 13 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 2A-D**  
**APPLICATION SUBMISSION INFORMATION**

AN APPRECIATION FOR CONTEMPORARY EVENTS IN THE FIELD OF WOMEN'S RIGHTS. NOMINATIONS MUST BE ACCCOMPANIED BY A COMPLETED NOMINATION FORM, AND A CURRICULUM VITAE WITHOUT A FULL LIST OF PUBLICATIONS, IF AN INDIVIDUAL IS NOMINATED. IF THE NOMINEE IS AN ORGANIZATION, A PROFILE MAY BE ATTACHED INSTEAD, BUT IS NOT REQUIRED. THE NOMINATION FORM MUST INCLUDE A DESCRIPTION OF MAJOR CONTRIBUTIONS, INCLUDING PUBLICATIONS AND RELATED ACHIEVEMENTS WHERE APPROPRIATE, AND REASON FOR THE NOMINATION (PLEASE LIMIT TO 250 WORDS).

**SUBMISSION DEADLINES:** PRIZE NOMINATION DEADLINES ARE DECEMBER 15, 11:59 PM EST  
**RESTRICTIONS ON AWARDS:** SELF-NOMINATIONS FOR PRIZES ARE NOT ACCEPTED.

**STATEMENT 14**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
ARTS ALIVE TILLET GARDENS, 4126 ANNA'S RETREAT ST. THOMAS, VI 00802	N/A	PUBLIC	SUPPORT FOR CULTURAL PROGRAMS	\$ 1,500.
PISTARCKLE THEATER TILLET GARDENS, 4126 ANNA'S RETREAT ST. THOMAS, VI 00802	N/A	PUBLIC	YOUTH SUMMER CAMP IN THEATER	2,000.
GOOD HOPE SCHOOL 170 ESTATE WHIM FREDERICKSTED, VI 00804	N/A	PUBLIC	SCHOLARSHIP FOR 2010-11 SCHOOL YEAR	4,850.
STS PETER & PAUL CATHOLIC SCHOOL P. O. BOX 301706 ST. THOMAS, VI 00803	N/A	PUBLIC	SCHOLARSHIPS FOR THE 2010-11 SCHOOL YEAR	12,000.
COMMUNITY FOUNDATION OF THE VI P.O. BOX 11790 ST. THOMAS, VI 00801	N/A	PUBLIC	PROMOTING AND COORDINATING EDUCATIONAL AND SOCIAL PROGRAMS	5,000.
TIBETAN BUDDHIST RESOURCE CENTER 115 FIFTH AVENUE, 7TH FLOOR NEW YORK, NY 10003	N/A	PUBLIC	SUPPORT FOR PRESERVATION OF TIBETAN CULTURE	200,000.

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**RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
CATHOLIC CHARITIES OF THE VI P.O. BOX 10736 ST. THOMAS, VI 00801	N/A	PUBLIC	SUPPORT FOR HOMELESS AND THE NEEDY	\$ 2,000.
CAMBRIDGE IN AMERICA 100 AVENUE OF AMERICAS NEW YORK, NY 10013	N/A	PUBLIC	5TH OF 5 ANNUAL GRANTS TO A. CHAMBLIN MEMORIAL LECTURE	5,000.
SOCIETY FOR NEUROSCIENCE P.O. BOX 630394 BALTIMORE, MD 21263	N/A	PUBLIC	DONATION TO HELP DEFRAY ADMINISTRATIVE COSTS ASSOCIATED WITH SFN'S INVOLVEMENT WITH THE NEUROSCIENCE PRIZE	15,000.
INTERNATIONAL ASTRONOMICAL UNION 98-BIS BLVD ARAGO F-75414 PARIS, FRANCE	N/A	PUBLIC	FELLOWSHIP	50,000.
SOCIETY FOR NEUROSCIENCE P.O. BOX 630394 BALTIMORE, MD 21263	N/A	PUBLIC	DONATION FOR 2010 NEUROSCIENCE INTERNATIONAL RESEARCH AWARD	50,000.
THE FORUM P.O. BOX 12030 ST. THOMAS, VI 00801	N/A	PUBLIC	SUPPORTING CULTURAL PERFORMANCES AND EDUCATIONAL LECTURES	5,000.
NATIONAL COUNCIL FOR RESEARCH ON WOMAN 11 HANOVER SQUARE, 24TH FLOOR NEW YORK, NY 10005	N/A	PUBLIC	DONATION FOR WOMEN'S RIGHTS WORKS	340.
COMMUNITY FOUNDATION OF THE VI P.O. BOX 11790 ST. THOMAS, VI 00801	N/A	PUBLIC	LAWS OF LIFE GRANT	32,654.
COMMUNITY FOUNDATION OF THE VI P.O. BOX 11790 ST. THOMAS, VI 00801	N/A	PUBLIC	COLLEGE SCHOLARSHIP PROGRAM	1,000.

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**STATEMENT 14 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
UNIVERSITY OF THE VIRGIN ISLANDS #2 JOHN BREWER'S BAY ST. THOMAS, VI 00802	N/A	PUBLIC	DONATION TO THE ANNUAL GIVING CAMPAIGN & SCHOLARSHIPS	\$ 1,000.
GENETICS SOCIETY OF AMERICA 9650 ROCKVILLE PIKE BETHESDA, MD 20814	N/A	PUBLIC	ANNUAL INSTALLMENT FOR THE ROSALIND FRANKLIN AWARD	50,000.
INT'L CENTER FOR RESEARCH ON WOMEN 1120 20TH ST NW, SUITE 500 NORTH WASHINGTON, DC 20036	N/A	PUBLIC	DONATION FOR WOMEN'S RESEARCH	150.
EQUAL JUSTICE INITIATIVE 122 COMMERCE STREET MONTGOMERY, AL 36104	N/A	PUBLIC	DONATION FOR JUSTICE INITIATIVE	210.
WOMEN'S ENEWS 6 BARCLAY STREET, SIXTH FLOOR NEW YORK , NY 10007	N/A	PUBLIC	2010 WOMEN'S ENEWS GALA TABLE SPONSORSHIP	10,000.
MISS VI SCHOLARSHIP ORGANIZATION PO BOX 303120 ST. THOMAS, VI 00802	N/A	PUBLIC	COLLEGE SCHOLARSHIP SUPPORT	1,000.
FOUNDATIONAL QUESTIONS INSTITUTE PO BOX 3022 NEW YORK, NY 10163	N/A	PUBLIC	ESSAY CONTEST DONATION	25,000.
WNET.ORG 825 EIGHTH AVENUE NEW YORK, NY 10019	N/A	PUBLIC	SUPPORT FOR "WOMEN, WAR, AND PEACE"	50,000.
UNITED NATIONS ASSOCIATION (AMICC) 801 SECOND AVENUE NEW YORK, NY 10017	N/A	PUBLIC	DONATION TO INTERNATIONAL CRIMINAL COURT	3,000.
ICMS AUSTRALASIA PTY LTD 234 GEORGE STREET SYDNEY, NSW 2000 AUSTRALIA	N/A	PUBLIC	SENT SAKENA YACOOBI TO UN CONFERENCE IN AUSTRALIA	4,000.

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**STATEMENT 14 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
REICHHOLD CENTER FOR THE ARTS #2 JOHN BREWERS BAY ST. THOMAS, VI 00802	N/A	PUBLIC	SUPPORT FOR CULTURAL PROGRAMS	\$ 1,500.
UNITED WAY ST. THOMAS & ST. JOHN 8000 NISKY CENTER, SUITE 220 ST. THOMAS, VI 00802	N/A	PUBLIC	PROJECT HOMELESS CONNECT 2010	300.
KIDSCOPE, INC. 1826 KONGENS GADE, SUITE 7 ST. THOMAS, VI 00802	N/A	PUBLIC	CHILD ADVOCACY, PUMPKIN CUP FUNDRAISER	300.
UNITED WAY ST. THOMAS & ST. JOHN 8000 NISKY CENTER, SUITE 220 ST. THOMAS, VI	N/A	PUBLIC	COMMUNITY PROGRAMS	300.
FAMILY RESOURCE CENTER 2317 COMMANDANT GRADE, 16B & 17 ST. THOMAS, VI 00802	N/A	PUBLIC	COMBATING DOMESTIC VIOLENCE	300.
UNITED WAY OF ST. CROIX PO BOX 3010 CHRISTIANSTED, VI 00822	N/A	PUBLIC	COMMUNITY PROGRAMS	300.
CAMBRIDGE IN AMERICA 100 AVENUE OF AMERICAS NEW YORK, NY 10013	N/A	PUBLIC	SCIENCE LECTURE SERIES	3,000.
WOMEN FOR WOMEN INTERNATIONAL 4455 CONNECTICUT AVE., SUITE 200 WASHINGTON, DC 20008	N/A	PUBLIC	TO HELP WOMEN SURVIVORS OF WAR	1,000.
CHARLES C. STEIDEL 230 S. ARROYO BLVD. PASADENA, CA 91105	NONE	N/A	COSMOLOGY ACHIEVEMENT AWARD RECIPIENT	500,000.
GERALD FINK 40 ASTON ROAD CHESTNUT HILL, MA 02467	NONE	N/A	GENETICS ACHIEVEMENT AWARD RECIPIENT	500,000.
INDIAN LAW RESOURCE CENTER 602 NORTH EWING STREET HELENA, MT 59601	N/A	PUBLIC	JUSTICE ACHIEVEMENT AWARD RECIPIENT	166,667.

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**STATEMENT 14 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
MICHAEL KIRBY LVL 7 195 MACQUARIE STREET SYDNEY, NSW, 2000 AUSTRALIA	NONE	N/A	JUSTICE ACHIEVEMENT AWARD RECIPIENT	\$ 166,667.
JOHN DUGARD JOSEPH ISRAELSPLEIN 24 2596 AT THE HAGUE, NETHERLANDS	NONE	N/A	JUSTICE ACHIEVEMENT AWARD RECIPIENT	166,666.
ROBERT WURTZ 4907 CUMBERLAND AVE. CHEVY CHASE, MD 20815	NONE	N/A	NEUROSCIENCE ACHIEVEMENT AWARD RECIPIENT	500,000.
CLADEM CARRERA 35 #53 A86 BOGOTÁ, BARRIO NICOLS DE FED COLOMBIA	N/A	PUBLIC	WOMEN'S RIGHTS ACHIEVEMENT AWARD RECIPIENT	250,000.
CENTER FOR REPRODUCTIVE RIGHTS 120 WALL STREET NEW YORK, NY 10005	N/A	PUBLIC	WOMEN'S RIGHTS ACHIEVEMENT AWARD RECIPIENT	250,000.

TOTAL \$ 3,037,704.