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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 01-01-2010 , and ending 12-31-2010

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Name of foundation
ALICE BUSCH GRONEWALDT FOUNDATION INC

A Employer identification number
65-0212289

Number and street (or P O box number if mail is not delivered to street address)
C/O CALDWELL PACETTI 250 SOUTH AUST

Room/suite

B Telephone number (see page 10 of the instructions)
(561) 655-0620

City or town, state, and ZIP code
WEST PALM BEACH, FL 33401

C If exemption application is pending, check here ☐
D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 9,337,470

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	133,164	133,164		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a ________				
	7	Capital gain net income (from Part IV, line 2)		334,590		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	51,089	51,089		
	12	**Total.** Add lines 1 through 11	184,253	518,843		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	42,038			
	b	Accounting fees (attach schedule)	22,232			
	c	Other professional fees (attach schedule)	18,321	3,808		
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	2,157	170		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	47,142	47,081		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	131,890	51,059		0
	25	Contributions, gifts, grants paid	546,783			546,783
	26	**Total expenses and disbursements.** Add lines 24 and 25	678,673	51,059		546,783
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-494,420			
	b	**Net investment income** (if negative, enter -0-)		467,784		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	14,588	275,394	275,394
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	740,566		
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	8,342,306	8,653,294	9,056,065
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	0	6,011	6,011
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	9,097,460	8,934,699	9,337,470
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted	8,138,960	8,140,254	
25	Temporarily restricted	958,500	794,445	
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)	9,097,460	8,934,699	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	9,097,460	8,934,699	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,097,460
2	Enter amount from Part I, line 27a	2	-494,420
3	Other increases not included in line 2 (itemize) ▶	3	334,590
4	Add lines 1, 2, and 3	4	8,937,630
5	Decreases not included in line 2 (itemize) ▶	5	2,931
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,934,699

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	334,590
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	479,856	7,774,454	0 061722
2008	703,948	10,296,944	0 068365
2007	724,151	12,367,795	0 058551
2006	709,385	11,515,770	0 061601
2005	682,969	10,911,396	0 062592

2	**Total** of line 1, column (d).	2	0 312831
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 062566
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5.	4	7,435,490
5	Multiply line 4 by line 3.	5	465,209
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	4,678
7	Add lines 5 and 6.	7	469,887
8	Enter qualifying distributions from Part XII, line 4.	8	546,783

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	4,678
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	4,678
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	4,678
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 5,696		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	5,696
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,018
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 1,018 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b		No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶CALDWELL & PACETTI Telephone no ▶(561) 655-0620

Located at ▶250 SOUTH AUSTRAILIAN AVE BEACH FL ZIP+4 ▶33480

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)		2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data TableSee Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	7,132,585
b	Average of monthly cash balances	1b	416,136
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	7,548,721
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,548,721
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	113,231
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,435,490
6	**Minimum investment return.** Enter 5% of line 5	6	371,775

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	371,775
2a	Tax on investment income for 2010 from Part VI, line 5	2a	4,678		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	4,678
3	Distributable amount before adjustments Subtract line 2c from line 1			3	367,097
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	367,097
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	367,097

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	546,783
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	546,783
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	4,678
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	542,105

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				367,097
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only.				
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2010				
a From 2005. 148,684				
b From 2006. 159,692				
c From 2007. 152,013				
d From 2008. 191,818				
e From 2009. 93,908				
f **Total** of lines 3a through e.	746,115			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 546,783				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d Applied to 2010 distributable amount.				367,097
e Remaining amount distributed out of corpus	179,686			
5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	925,801			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	148,684			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	777,117			
10 Analysis of line 9				
a Excess from 2006. . . . 159,692				
b Excess from 2007. . . . 152,013				
c Excess from 2008. . . . 191,818				
d Excess from 2009. . . . 93,908				
e Excess from 2010. . . . 179,686				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NOT APPLICABLE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NOT APPLICABLE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ANDREW REGAN
115 EAST 69TH STREET
NEW YORK, NY 10021

b The form in which applications should be submitted and information and materials they should include

NOT APPLICABLE

c Any submission deadlines

NOT APPLICABLE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NOT APPLICABLE

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				546,783
b *Approved for future payment* See Additional Data Table				
Total . . . . ▶ **3b**				794,445

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	133,164	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	51,089	
8 Gain or (loss) from sales of assets other than inventory			18	334,590	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				518,843	

13 Total. Add line 12, columns (b), (d), and (e) **13** 518,843

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2011-11-15	******
Signature of officer or trustee	Date	Title

Paid Preparer's Use Only

Preparer's Signature ▶ KENNETH MILLER	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶ LMI SERVICES INC		Firm's EIN ▶	
Firm's address ▶ 115 EAST 69TH STREET NEW YORK, NY 10021		Phone no	

Additional Data

Software ID:
Software Version:
EIN: 65-0212289
Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
A	From Sanford Bernstein - See Attached	P	2010-01-01	2010-12-31
B	376 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-02-08
C	Capital Gain Distribution - Pimco	P	2010-01-01	2010-12-31
D	78166 sh William Blair Inst Int'l Eq	P	2010-01-01	2010-12-31
E	1856 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-01-11
F	115327 Sh JP Morgan Core Bond Fd	P	2010-01-01	2010-12-31
G	HHT I LLC - STCG	P	2010-01-01	2010-12-31
H	HHT I LLC - LTCG	P	2010-01-01	2010-12-31
I	ABG Partners - STCG	P	2010-01-01	2010-12-31
J	ABG Partners - LTCG	P	2010-01-01	2010-12-31
K	ABG Partners - Sec 1231	P	2010-01-01	2010-12-31
L	6369 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-01-13
M	214 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-01-20
N	6355 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-01-26
O	4157 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-02-16
P	509 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-04-09
Q	130 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-04-13
R	2768 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-04-14
S	3510 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-04-14
T	5324 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-06-22
U	25169 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-06-22
V	24215 Sh SSGA S&P 500 Fund	P	2003-04-07	2010-06-22
W	3798 Sh Artisan Fund	P	2010-06-30	2010-11-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

	(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
A	1,192,510	0	1,127,642	64,868
B	6,548	0	9,430	-2,882
C	30,983	0	0	30,983
D	842,628	0	1,001,809	-159,181
E	35,000	0	46,506	-11,506
F	1,352,791	0	1,268,133	84,658
G	93,770	0	0	93,770
H	366,078	0	0	366,078
I	425	0	0	425
J	32,897	0	0	32,897
K	0	0	294	-294
L	120,000	0	159,618	-39,618
M	4,000	0	5,355	-1,355
N	114,200	0	130,683	-16,483
O	75,000	0	104,185	-29,185
P	10,000	0	12,753	-2,753
Q	2,550	0	3,245	-695
R	55,110	0	56,919	-1,809
S	69,890	0	87,969	-18,079
T	97,431	0	109,483	-12,052
U	460,588	0	479,465	-18,877
V	443,142	0	479,465	-36,323
W	79,500	0	67,497	12,003

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
A	0	0	0	64,868
B	0	0	0	-2,882
C	0	0	0	30,983
D	0	0	0	-159,181
E	0	0	0	-11,506
F	0	0	0	84,658
G	0	0	0	93,770
H	0	0	0	366,078
I	0	0	0	425
J	0	0	0	32,897
K	0	0	0	-294
L	0	0	0	-39,618
M	0	0	0	-1,355
N	0	0	0	-16,483
O	0	0	0	-29,185
P	0	0	0	-2,753
Q	0	0	0	-695
R	0	0	0	-1,809
S	0	0	0	-18,079
T	0	0	0	-12,052
U	0	0	0	-18,877
V	0	0	0	-36,323
W	0	0	0	12,003

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
LOUIS B HAGER JR 7 RAILROAD AVE ONEONTA, NY 13820	President 0	0	0	0
MARY THOMAS 4108 CRICKET LANE DURHAM, NC 27707	Director 0	0	0	0
ALICE HOLBROOK 12 MEAD POINT DRIVE GREENWICH, CT 06830	Director 0	0	0	0
ANDREW REGAN 599 LEXINGTON AVE NEW YORK, NY 10022	Director/Secy 0	0	0	0
MANLEY CALDWELL 250 SOUTH AUSTRALIAN AVE WEST PALM BEACH, FL 33401	Asst Secy 0	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NYS HISTORICAL SOCIETY 170 CENTRAL PRK WEST NEW YORK, NY 10024		NA	Indian Village	15,000
MT VERNON LADIES ASSOC PO BOX 110 MOUNT VERNON, VA 22121		NA	Pledge Payment	10,000
BASSETT HOSPITAL ONE ATWELL ROAD COOPERSTOWN, NY 13326		NA	Pledge Payment	10,000
HYDE HALL 1527 CO HWY 31 COOPERSTWON, NY 13326		NA	General Fund	5,300
HILLTOPPER ATHLETIC FDN 1906 COLLEGE HEIGHTS BLVD BOWLING GREEN, KY 42101		NA	Tennis Porgram	5,000
DUKE UNIVERSITY 700 W MAIN ST DURHAM, NC 27708		NA	The Iron Dukes	37,845
PALM BEACH ACADEMY 1220A 10TH ST LAKE PARK, FL 33403		NA	General Fund	1,000
HISTORICAL SOCIETY OF GREENWICH STRICKLAND RD, CT 06807		NA	General Fund	7,500
FAMILY CENTER INC 366 SOMERVILLE AVENUE SOMMERVILLE, MA 02143		NA	General Fund	16,000
PALM BEACH INFECTIOUS DISEASE INSTITUTE N FLAGLER DR, FL 33401		NA	General Fund	5,000
TRINITY SCHOOL 4011 PICKETT ROAD DURHAM, NC 27705		NA	Tennis Courts	5,000
RONALD MCDONALD HOUSE 506 ALEXANDER AVE DURHAM, NC 27705		NA	General Fund	2,500
HARTWICK COLLEGE PO BOX 4020 ONEONTA, NY 13820		NA	Golisano HallPledge	10,000
ST LAWRENCE UNIVERSITY 27 ROMODA DR CANTON, NY 13617		NA	St Lawrence Fund	10,000
BASSET HOSPITAL ONE ATWELL ROAD COOPERSTWON, NY 13326		NA	Cardiovascular ServicesPledge	70,000
Total . . . . ▶ **3a**				546,783

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PALM BEACH ZOO 1301 SUMMIT BLVD WEST PALM BEACH, FL 33405		NA	Animal Wellness Center	28,000
GREENWICH COUNTRY DAY SCHOOL 401 OLD CHURCH RD GREENWICH, CT 06830		NA	Pledge Payment	10,000
GREENWICH COUNTRY DAY SCHOOL 401 OLD CHURCH RD GREENWICH, CT 06830		NA	General Fund	50,000
MILLBROOK SCHOOL 131 MILLBROOK SCHOOL RD MILLBROOK, NY 12545		NA	Pledge Payment	50,000
THE HILL CENTER 3200 PICKETT ROAD DURHAM, NC 27705		NA	The Auction	5,000
FRIENDS OF MUKHANYO 50016 BROGDEN CHAPEL HILL, NC 27517		NA	General Fund	2,500
REFORMED UNIVERSITY 1700 NORTH BROWN RD LAWRENCEVILLE, GA 30043		NA	Fellowship Gift	6,988
BARBARA BUSH FOUNDATION 1201 15TH STREET NW SUITE 420 WASHINGTON, DC 20005		NA	Literacy Gift	2,500
BATH & TENNIS HISTORIC BUILDING FOUNDATION 1120 S OCEAN BLVD PALM BEACH, FL 33480		NA	General Fund	5,000
BOYS TOWN OF SOUTH FLORIDA 3111 S DIXIE HIGHWAY STE 200 WEST PALM BEACH, FL 33405		NA	Gala	2,500
CHURCH OF GOOD SHEPHERD 240 EAST 31ST STREET NEW YORK, NY 10016		NA	Pledge Payment	30,000
DOCTORS WITHOUT BORDERS 333 7TH AVENUE 2ND FLOOR NEW YORK, NY 10001		NA	Haiti Relief	5,000
DURHAM CARES 801 GILBERT STREET STE 213 DURHAM, NC 27701		NA	General Fund	5,000
EVERGLADES FOUNDATION 18001 OLD CUTLER ROAD STE 625 PALMETTO BAY, FL 33157		NA	Benefit	1,500
MEMORIAL PRESBYTERIAN CHURCH 1300 SOUTH OLIVE AVENUE WEST PALM BEACH, FL 33401		NA	In Honor of Pastor William Stepp	2,500
Total . . . . ▶ **3a**				546,783

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MISSION TO NORTH AMERICA 1700 N BROWN ROAD SUITE 101 LAWRENCEVILLE, GA 30043		NA	King PresbyterianFor Christ theChurch	2,000
OCCA 101 MAIN STREET COOPERSTOWN, NY 13326		NA	Lake Challenge	30,000
PALM BEACH FIRE DEPARTMENT 301 NORTH OLIVE AVENUE WEST PALM BEACH, FL 33401		NA	General Fund	1,000
PALM BEACH POLICE DEPARTMENT 345 S COUNTY ROAD PALM BEACH, FL 33480		NA	General Fund	1,000
ST JUDE'S CHILDREN'S HOSPITAL 363 7TH AVENUE 18 NEW YORK, NY 10001		NA	PB Dinner	1,000
THIRD MILLENIUM MINISTRIES PO BOX 300769 FERN PARK, FL 32730		NA	General Fund	10,000
SUSQUEHANNA SPCA 4841 STATE HIGHWAY 28 COOPERSTOWN, NY 13326		NA	General Fund	5,950
COOPERSTOWN FIRE DEPARTMENT 24 CHESTNUT STREET COOPERSTOWN, NY 13326		NA	Equipment Fund	5,000
MILLBROOK SCHOOL 131 MILLBROOK SCHOOL RD MILLBROOK, NY 12545		NA	General Fund	20,000
TRINITY SCHOOL 4011 PICKETT ROAD DURHAM, NC 27705		NA	Pledge Payment	20,000
BASSETT HOSPITAL ONE ATWELL ROAD COOPERSTOWN, NY 13326		NA	Cardiac RehabProgram	14,200
BASSETT HOSPITAL ONE ATWELL ROAD COOPERSTOWN, NY 13326		NA	Birthing Center	20,000
Total . . . . ▶ **3a**				546,783

Form 990PF Part XV Line 3b - Grants and Contributions Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
b *Approved for future payment*				
GREENWICH COUNTRY DAY401 OLD CHURCH ROAD GREENWICH, CT 06830		na	Capital Campaign	50,000
MILBROOK SCHOOL131 MILLBROOK SCHOOLD ROAD MILLBROOK, NY 12545		na	Math & ScienceCenter	100,000
OCCA101 MAIN STREET COOPERSTOWN, NY 13326		NA	Lake Challenge	30,000
ST LAWRENCE UNIVERSITY23 ROMODA DRIVE CANTON, NY 13617		NA	St LawrenceFund	10,000
BASSETT HOSPITALONE ATWELL ROAD COOPERTOWN, NY 13326		NA	CardiovascularServices	140,000
HARTWICK COLLEGEPO BOX 4020 ONEONTA, NY 13820		NA	Golisano Hall	10,000
MT VERNON LADIES ASSOCPO BOX 110 MOUNT VERNON, VA 22121		NA	General Operating	10,000
TRINITY SCHOOL4011 PICKETT ROAD DURHAM, NC 27705		NA	Capital Campaign	40,000
GREENWICH COUNTRY DAY401 OLD CHURCH ROAD GREENWICH, CT 06830		NA	Annual Fund	5,000
PALM BEACH ZOO1301 SUMMIT BLVD WEST PALM BEACH, FL 33405		NA	General Fund	10,000
PALM BEACH DAY ACADEMY1220A 10TH ST LAKE PARK, FL 33403		NA	General Fund	250,000
CHURCH OF GOOD SHEPHERD240 EAST 31ST STREET NEW YORK, NY 10016		NA	General Fund	90,000
ROSARIAN ACADEMY807 NORTH FLAGLER DRIVE WEST PALM BEACH, FL 33401		NA	Sister JeanScholarship	12,000
ROSARIAN ACADEMY807 NORTH FLAGLER DRIVE WEST PALM BEACH, FL 33401		NA	Duke for IronDukes	37,445
Total . . . . ▶ **3b**				794,445

TY 2010 Accounting Fees Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LMI Services, Inc Accounting and tax preparation	22,232			

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491319002321

TY 2010 General Explanation Attachment

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Identifier	Return Reference	Explanation
Question 8b Part VII - A		THE FOUNDATION DOES NOT FURNISH A COPY OF FORM 990PF TO THE ATTORNEY GENERAL OF FLORIDA BECAUSE IT IS NOT REQUIRED TO FOR THIS STATE

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491319002321

TY 2010 Investments - Other Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ABG Partners		200,495	257,758
HHT I LLC		4,137,532	4,431,503
Private Advisors Stable Value Fund		1,800,000	1,905,308
PIMCO Total Return Fund		2,127,790	1,986,779
Artisan International Fund		387,477	474,717

TY 2010 Legal Fees Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Shearman & Sterling Various legal services	39,100			
Cadwell & Pacetti Various legal services	2,938			

TY 2010 Other Assets Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Dividends Receivable		6,011	6,011

TY 2010 Other Assets Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Dividends Receivable		6,011	6,011

TY 2010 Other Assets Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Dividends Receivable		6,011	6,011

TY 2010 Other Decreases Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Description	Amount
Excess Invest. Exp over Invest Income - ABG	2,931

TY 2010 Other Expenses Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Custodian Fees	7,976	7,976		
Filing Fees	61			
HHT I LLC - Investment Exp	32,145	32,145		
ABG Prts - Investment Exp	6,950	6,950		
Sanford Bernstein - Investment Exp	10	10		

TY 2010 Other Income Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Gross Income - HHT I LLC	42,443	42,443	
Class action proceeds	1,696	1,696	
Gross Income - ABG Prts	6,950	6,950	

TY 2010 Other Increases Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Description	Amount
Capital Gains Realized	334,590

TY 2010 Other Professional Fees Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Alliance Capital Management Investment advisory fees	3,808	3,808		
Landmark Management, Inc Investment advisory fees	10,234			
Clearbrook Investment advisory fees	4,279			

TY 2010 Taxes Schedule

Name: ALICE BUSCH GRONEWALDT FOUNDATION INC

EIN: 65-0212289

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal Excise Tax	1,987			
Foreign taxes paid on dividends	170	170		

Forms 1099 for 2010

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

The proceeds from each of the sales shown below in Box 2 are reported to the Internal Revenue Service on Forms 1099-B. In addition, we report security description, cusip #, and units. We do not report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital

A schedule of your net gains and losses is shown below Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully when preparing your return

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
NEWS CORP	65248E104	200	04/20/09	01/05/10	$2,775 53	$1,574 76	$1,200 77
NEWS CORP	65248E104	100	07/09/09	01/05/10	$1,387 76	$833 05	$554 71
ACE LIMITED	H0023R105	55	03/12/09	01/08/10	$2,618 33	$1,850 38	$767 95
HOME DEPOT INC	437076102	100	02/25/09	01/12/10	$2,817 20	$2,007 50	$809 70
CATERPILLAR INC	149123101	65	07/09/09	01/12/10	$4,027 61	$2,009 10	$2,018 51
GOODRICH CORPORATION	382388106	40	05/26/09	01/15/10	$2,664 36	$1,849 51	$814 85
US BANCORP DEL NEW	902973304	10	05/21/09	01/19/10	$243 95	$179 61	$64 34
US BANCORP DEL NEW	902973304	140	05/11/09	01/19/10	$3,415 34	$2,520 00	$895 34
INGERSOLL-RAND PLC	G47791101	50	07/21/09	01/22/10	$1,793 12	$1,159 34	$633 78
LOWES COS INC	548661107	145	07/08/09	01/22/10	$3,256 03	$2,715 68	$540 35
BUNGE LIMITED	G16962105	85	04/28/09	01/25/10	$5,327 89	$3,950 00	$1,377 89
GOODRICH CORPORATION	382388106	100	05/26/09	01/29/10	$6,243 36	$4,623 77	$1,619 59
TYCO ELECTRONICS LTD F	H8912P106	40	08/10/09	02/04/10	$991 39	$885 80	$105 59
TYCO ELECTRONICS LTD F	H8912P106	150	11/16/09	02/04/10	$3,717 70	$3,655 49	$62 21

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
CORNING INC	219350105	50	10/02/09	02/09/10	$889 11	$738 80	$150 31
MASCO CORP	574599106	325	05/15/09	02/11/10	$4,445.10	$3,094 62	$1,350 48
DU PONT E I DE NEMOURS & CO	263534109	170	05/27/09	02/12/10	$5,441.15	$4,779 57	$661.58
NORTHROP GRUMMAN CORP	666807102	100	08/18/09	02/16/10	$5,922.90	$4,739 21	$1,183 69
SYMANTEC CORP	871503108	150	02/24/09	02/22/10	$2,536 69	$2,121 72	$414 97
SYMANTEC CORP	871503108	100	03/24/09	02/22/10	$1,691 13	$1,433 17	$257 96
SYMANTEC CORP	871503108	100	10/29/09	02/22/10	$1,691 13	$1,742 00	$-50 87
PENNEY J C INC	708160106	130	01/20/10	02/26/10	$3,587 93	$3,354 85	$233 08
LOWES COS INC	548661107	80	07/08/09	03/05/10	$1,923 38	$1,498 30	$425 08
SUPERVALU INC	868536103	125	10/14/09	03/17/10	$2,176 81	$1,938.93	$237 88
JPMORGAN CHASE & CO	46625H100	50	12/31/09	03/17/10	$2,164 65	$2,103.40	$61 25
JPMORGAN CHASE & CO	46625H100	25	12/23/09	03/17/10	$1,082 32	$1,042 25	$40 07
MERCK & CO INC NEW	58933Y105	210 44	05/26/09	03/23/10	$8,087 08	$5,558 92	$2,528 16
CONOCOPHILLIPS	20825C104	50	11/06/09	03/24/10	$2,636 47	$2,595 14	$41 33
CONOCOPHILLIPS	20825C104	15	12/04/09	03/24/10	$790 94	$776 00	$14 94
RRI ENERGY	74971X107	350	05/19/09	03/24/10	$1,434 14	$1,640 24	$-206 10
CONOCOPHILLIPS	20825C104	100	11/05/09	03/24/10	$5,272 94	$5,189 44	$83.50
CONOCOPHILLIPS	20825C104	50	03/01/10	03/26/10	$2,559 12	$2,421 52	$137.60
CONOCOPHILLIPS	20825C104	35	12/04/09	03/26/10	$1,791 38	$1,810 66	$-19 28
CONOCOPHILLIPS	20825C104	75	10/02/09	03/26/10	$3,838 67	$3,503 48	$335 19
VALERO ENERGY CORP	91913Y100	100	08/19/09	03/26/10	$1,966 58	$1,764.98	$201 60
VALERO ENERGY CORP	91913Y100	125	07/30/09	03/26/10	$2,458 22	$2,262.46	$195 76
VALERO ENERGY CORP	91913Y100	175	08/03/09	03/26/10	$3,441 51	$3,253 01	$188 50
SUPERVALU INC	868536103	225	10/14/09	03/30/10	$3,760 49	$3,490.07	$270 42
SUPERVALU INC	868536103	25	11/23/09	03/30/10	$417 83	$377.81	$40 02
AETNA INC-NEW	00817Y108	75	10/12/09	04/08/10	$2,530 86	$1,982.53	$548 33
AETNA INC-NEW	00817Y108	300	09/08/09	04/08/10	$10,123 42	$8,593 74	$1,529.68

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost		Net gain or loss
MERCK & CO INC NEW	58933Y105	64 56	05/26/09	04/12/10	$2,381 35	$1,705 48		$675 87
DU PONT E I DE NEMOURS & CO	263534109	5	06/30/09	04/12/10	$194 06	$129 00		$65 06
DU PONT E I DE NEMOURS & CO	263534109	10	05/27/09	04/12/10	$388 12	$281 15		$106 97
DU PONT E I DE NEMOURS & CO	263534109	170	04/14/09	04/12/10	$6,598 02	$4,540 25		$2,057 77
SPRINT NEXTEL CORP	852061100	325	07/30/09	04/12/10	$1,350 93	$1,306 96		$43 97
GOLDMAN SACHS GROUP INC	38141G104	20	11/23/09	04/16/10	$3,231 93	$3,435 15		$-203 22
GOLDMAN SACHS GROUP INC	38141G104	30	08/31/09	04/16/10	$4,847 90	$4,938 32		$-90 42
DU PONT E I DE NEMOURS & CO	263534109	150	06/22/09	04/26/10	$6,127 68	$3,654 76		$2,472 92
DU PONT E I DE NEMOURS & CO	263534109	70	06/30/09	04/26/10	$2,859 58	$1,806 06		$1,053 52
DELL INC	24702R101	325	11/23/09	04/26/10	$5,539 01	$4,768 82		$770 19
DELL INC	24702R101	250	08/14/09	04/26/10	$4,260 78	$3,523 98		$736.80
DELL INC	24702R101	25	07/15/09	04/26/10	$426 08	$309 82		$116 26
AK STL HLDG CORP	001547108	225	08/21/09	04/29/10	$3,841 60	$4,692 04	*	$-850 44
SPRINT NEXTEL CORP	852061100	750	07/30/09	05/12/10	$3,106 44	$3,016 05		$90 39
MOTOROLA INC	620076109	675	01/06/10	05/12/10	$4,734 83	$5,382 92		$-648 09
FREEPORT-MCMORAN COPPER & GOLD INC	35671D857	50	02/22/10	05/12/10	$3,627 17	$3,852 08		$-224 91
ROYAL CARRIBEAN CRUISE	V7780T103	110	01/14/10	05/12/10	$3,650 31	$2,958 17		$692 14
HUNTSMAN CORP	447011107	350	01/12/10	05/12/10	$3,604 58	$4,206 30		$-601 72
FREEPORT-MCMORAN COPPER & GOLD INC	35671D857	40	02/10/10	05/12/10	$2,901 73	$2,843 92		$57 81
NOKIA CORPORATION - ADR	654902204	245	03/29/10	05/13/10	$2,610 94	$3,771 43		$-1,160 49
AMERIPRISE FINL INC	03076C106	110	10/30/09	05/13/10	$5,043 59	$3,859 46		$1,184 13
UNUM GROUP	91529Y106	110	04/14/10	05/17/10	$2,469 61	$2,849 23		$-379 62
NOBLE CORPORATION	H5833N103	80	02/18/10	05/18/10	$2,740 50	$3,413 54		$-673 04
ROWAN COS INC	779382100	75	03/29/10	05/18/10	$1,894 53	$2,076.66		$-182 13
ROWAN COS INC	779382100	95	03/25/10	05/18/10	$2,399 74	$2,516 67		$-116 93
CIMAREX ENERGY CO	171798101	40	11/16/09	05/19/10	$2,707 87	$1,910 82		$797 05
CIMAREX ENERGY CO	171798101	10	10/13/09	05/19/10	$676 97	$448 72		$228 25

Short term gains and losses

This category includes all assets held 12 months or less.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
AMERIPRISE FINL INC	03076C106	90	10/30/09	05/20/10	$3,535 23	$3,157 74	$377 49
SPRINT NEXTEL CORP	852061100	375	07/30/09	05/20/10	$1,648 95	$1,508 03	$140 92
DEVON ENERGY CORPORATION	25179M103	70	11/16/09	05/24/10	$4,370 38	$4,970 18	$-599 80
TRANSOCEAN LTD	H8817H100	40	04/14/10	06/09/10	$1,877 85	$3,460 05	$-1,582 20
TRANSOCEAN LTD	H8817H100	45	03/09/10	06/09/10	$2,112 59	$3,830 36	$-1,717 77
STEEL DYNAMICS INC COM	858119100	250	11/13/09	06/10/10	$3,538.87	$3,739 96	$-201 09
GENERAL ELECTRIC CO	369604103	400	10/13/09	06/14/10	$6,192 81	$6,600 00	$-407 19
CIMAREX ENERGY CO	171798101	20	10/13/09	06/14/10	$1,605 65	$897 43	$708 22
PRINCIPAL FINANCIAL GROUP	74251V102	100	03/24/10	06/16/10	$2,636 95	$2,856.87	$-219.92
PRINCIPAL FINANCIAL GROUP	74251V102	200	01/27/10	06/16/10	$5,273 91	$4,692.62	$581 29
AT & T INC	00206R102	125	06/29/09	06/21/10	$3,169 99	$3,137 78	$32 21
AT & T INC	00206R102	100	06/30/09	06/21/10	$2,536 00	$2,508 60	$27 40
AT & T INC	00206R102	100	07/17/09	06/21/10	$2,536 00	$2,376 75	$159 25
TIME WARNER CABLE INC	88732J207	100	10/13/09	06/21/10	$5,471 96	$4,151 53	$1,320 43
COMCAST CORP CLASS A	20030N101	225	03/24/10	06/21/10	$4,110 50	$4,081 21	$29 29
COMCAST CORP CLASS A	20030N101	475	02/26/10	06/21/10	$8,677 72	$7,741 98	$935 74
MOTOROLA INC	620076109	350	01/06/10	06/21/10	$2,501 23	$2,791.15	$-289 92
MOTOROLA INC	620076109	300	01/29/10	06/21/10	$2,143 91	$1,911 00	$232 91
MOTOROLA INC	620076109	1000	02/02/10	06/21/10	$7,146 38	$6,440.00	$706 38
TYCO ELECTRONICS LTD F	H8912P106	85	08/10/09	06/21/10	$2,487 05	$1,882 33	$604 72
THE DIRECTV GROUP	25490A101	175	04/27/10	06/21/10	$6,521 25	$6,344.61	$176 64
CABLEVISION NY GROUP CLASS A	12686C109	250	04/28/10	06/21/10	$6,258.07	$6,596 03	$-337 96
PFIZER INC	717081103	350	08/13/09	06/21/10	$5,260 41	$5,547 57	$-287 16
TRANSOCEAN LTD	H8817H100	40	03/02/10	06/21/10	$2,149 97	$3,286 52	$-1,136 55
TRANSOCEAN LTD	H8817H100	30	04/30/10	06/21/10	$1,612.47	$2,221 08	$-608 61
TRANSOCEAN LTD	H8817H100	10	03/09/10	06/21/10	$537 49	$851 19	$-313 70
BUNGE LIMITED	G16962105	160	06/07/10	06/21/10	$8,632 75	$7,806 94	$825 81

Short term gains and losses

This category includes all assets held 12 months or less.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
DEAN FOODS COMPANY	242370104	200	10/02/09	06/21/10	$2,126 22	$3,690 16	$-1,563 94
GENERAL ELECTRIC CO	369604103	375	01/27/10	06/21/10	$6,090 68	$6,087 86	$2 82
GENERAL ELECTRIC CO	369604103	100	02/11/10	06/21/10	$1,624 18	$1,576 18	$48 00
GENERAL ELECTRIC CO	369604103	100	03/08/10	06/21/10	$1,624 18	$1,640 14	$-15 96
GENERAL ELECTRIC CO	369604103	525	10/13/09	06/21/10	$8,526 95	$8,662 50	$-135 55
RELIANCE STL & ALUM CO COM	759509102	85	04/22/10	06/21/10	$3,715 86	$4,279 42	$-563 56
ROSS STORES INC	778296103	80	03/10/10	06/21/10	$4,519 98	$4,155 86	$364 12
ROSS STORES INC	778296103	80	03/01/10	06/21/10	$4,519 98	$3,901 20	$618 78
FORD MOTOR COMPANY	345370860	425	11/13/09	06/21/10	$4,937 86	$3,559 93	$1,377 93
FORD MOTOR COMPANY	345370860	375	04/20/10	06/21/10	$4,356 94	$5,222 81	$-865 87
FORD MOTOR COMPANY	345370860	500	11/06/09	06/21/10	$5,809 25	$3,864 10	$1,945 15
GARMIN LTD	G37260109	95	01/13/10	06/21/10	$3,038 50	$3,255 64	$-217 14
GARMIN LTD	G37260109	75	01/20/10	06/21/10	$2,398 81	$2,690 16	$-291 35
GARMIN LTD	G37260109	50	03/24/10	06/21/10	$1,599 21	$1,896 71	$-297 50
GARMIN LTD	G37260109	130	11/13/09	06/21/10	$4,157 94	$3,886 16	$271 78
DEVON ENERGY CORPORATION	25179M103	5	11/16/09	06/21/10	$345 79	$355 01	$-9 22
DEVON ENERGY CORPORATION	25179M103	55	07/14/09	06/21/10	$3,803 64	$2,894 78	$908 86
ASTRAZENECA PLC SPONS ADR	046353108	185	05/24/10	06/21/10	$8,322 97	$7,704 94	$618 03
ASTRAZENECA PLC SPONS ADR	046353108	190	05/14/10	06/21/10	$8,547 92	$8,031 17	$516 75
FREEPORT-MCMORAN COPPER & GOLD INC	35671D857	15	02/10/10	06/21/10	$1,028 33	$1,066 47	$-38 14
FREEPORT-MCMORAN COPPER & GOLD INC	35671D857	70	02/05/10	06/21/10	$4,798 87	$4,693 68	$105 19
FREEPORT-MCMORAN COPPER & GOLD INC	35671D857	95	06/14/10	06/21/10	$6,512 76	$6,363 21	$149 55
MARATHON OIL CORP	565849106	250	05/17/10	06/21/10	$8,544 13	$7,815 13	$729 00
MARATHON OIL CORP	565849106	100	06/17/10	06/21/10	$3,417 65	$3,317 91	$99 74
NEWFIELD EXPL CO COM	651290108	15	02/18/10	06/21/10	$844 78	$759 94	$84 84
NEWFIELD EXPL CO COM	651290108	130	02/17/10	06/21/10	$7,321 45	$6,624 30	$697 15
NEXEN INC	65334H102	225	01/26/10	06/21/10	$4,996 89	$4,838 07	$158 82

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
WELLS FARGO & CO	949746101	125	11/16/09	06/21/10	$3,510 94	$3,551 55	$-40 61
WELLS FARGO & CO	949746101	125	01/20/10	06/21/10	$3,510 94	$3,513 34	$-2 40
WELLS FARGO & CO	949746101	100	04/19/10	06/21/10	$2,808 75	$3,263 66	$-454.91
WELLS FARGO & CO	949746101	75	01/21/10	06/21/10	$2,106 56	$2,096 27	$10.29
WELLS FARGO & CO	949746101	75	02/10/10	06/21/10	$2,106 56	$2,026 95	$79.61
SPRINT NEXTEL CORP	852061100	500	08/10/09	06/21/10	$2,230 86	$1,856 35	$374 51
SPRINT NEXTEL CORP	852061100	375	07/30/09	06/21/10	$1,673 15	$1,508 02	$165.13
SPRINT NEXTEL CORP	852061100	1200	11/06/09	06/21/10	$5,354 06	$3,420 48	$1,933 58
DELL INC	24702R101	200	07/15/09	06/22/10	$2,795 95	$2,478.52	$317 43
Total short term gain/loss: Report on Form 1040, Schedule D, line 1, Column d, e and f					$781,598 44	$745,402 83	$36,195 61
adjustment					-0-	15.75	(15.75)
					781598.44	745418.58	36179.86

Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
SPRINT NEXTEL CORP	852061100	75	04/08/08	01/05/10	$311 99	$499 91	$-187 92
SPRINT NEXTEL CORP	852061100	375	08/08/08	01/05/10	$1,513 43	$3,082 72	$-1,569.29
SPRINT NEXTEL CORP	852061100	475	04/08/08	01/05/10	$1,917 01	$3,166 11	$-1,249 10
NEWS CORP	65248E104	175	12/05/08	01/05/10	$2,428 59	$1,365 46	$1,063.13
HOME DEPOT INC	437076102	150	10/22/08	01/12/10	$4,225 81	$2,944 78	$1,281 03
CHEVRON CORP	166764100	105	12/19/03	01/22/10	$7,877 65	$4,375 98	$3,501 67
WESTERN DIGITAL CORP	958102105	50	08/29/08	01/22/10	$2,108 06	$1,374 82	$733 24
TIME WARNER INC	887317303	98	07/06/04	01/25/10	$2,655 69	$3,569 43	$-913 74
TIME WARNER INC	887317303	2	09/05/08	01/25/10	$54 20	$64 92	$-10 72
PFIZER INC	717081103	190	07/27/07	01/25/10	$3,593.74	$4,577.10	$-983 36
MERCK & CO INC NEW	58933Y105	120	08/10/05	01/25/10	$4,676 54	$3,734 85	$941 69

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
PROCTER & GAMBLE CO	742718109	120	05/20/10	06/21/10	$7,348 00	$7,450 63	$-102 63
PROCTER & GAMBLE CO	742718109	130	05/14/10	06/21/10	$7,960 34	$8,158 46	$-198 12
PROCTER & GAMBLE CO	742718109	75	06/17/10	06/21/10	$4,592 50	$4,598 71	$-6 21
SMITHFIELD FOODS INC	832248108	225	05/24/10	06/21/10	$3,475 56	$3,848 33	$-372 77
SMITHFIELD FOODS INC	832248108	225	06/29/09	06/21/10	$3,475 56	$3,087 99	$387 57
OFFICE DEPOT INC	676220106	550	12/04/09	06/21/10	$2,781 58	$3,583 80	$-802 22
OFFICE DEPOT INC	676220106	550	10/09/09	06/21/10	$2,781 58	$3,981 84	$-1,200 26
SARA LEE CORP	803111103	275	05/04/10	06/21/10	$4,044 85	$3,863 17	$181 68
SARA LEE CORP	803111103	225	05/17/10	06/21/10	$3,309 42	$3,320.80	$-11 38
SARA LEE CORP	803111103	325	03/31/10	06/21/10	$4,780 28	$4,550 56	$229 72
SARA LEE CORP	803111103	175	06/15/10	06/21/10	$2,574 00	$2,590 46	$-16 46
CONSTELLATION BRANDS INC	21036P108	150	03/02/10	06/21/10	$2,450 99	$2,320 67	$130 32
CONSTELLATION BRANDS INC	21036P108	125	01/19/10	06/21/10	$2,042 49	$2,072 31	$-29 82
CONSTELLATION BRANDS INC	21036P108	250	10/13/09	06/21/10	$4,084 98	$4,163 58	$-78 60
ROYAL CARRIBEAN CRUISE	V7780T103	35	01/14/10	06/21/10	$1,048 41	$941 23	$107 18
ROYAL CARRIBEAN CRUISE	V7780T103	155	01/13/10	06/21/10	$4,642 94	$4,008 33	$634 61
SUPERVALU INC	868536103	125	12/31/09	06/21/10	$1,558 15	$1,615 24	$-57 09
SUPERVALU INC	868536103	125	11/23/09	06/21/10	$1,558 15	$1,889 04	$-330 89
SPX CORP	784635104	65	07/28/09	06/21/10	$3,817 25	$3,529 32	$287 93
PEPCO HOLDINGS INC	713291102	425	11/23/09	06/21/10	$7,043 07	$6,764 13	$278 94
TEXTRON INC	883203101	195	07/13/09	06/21/10	$3,943 43	$1,756 31	$2,187 12
PULTE GRP INC	745867101	300	08/03/09	06/21/10	$2,789 71	$3,512 34	$-722 63
SAFEWAY INC NEW	786514208	150	04/14/10	06/21/10	$3,063 10	$3,920 25	$-857 15
SAFEWAY INC NEW	786514208	525	03/25/10	06/21/10	$10,720 84	$12,820 24	$-2,099 40
XL CAPITAL LTD - CL A	G98255105	175	07/06/09	06/21/10	$3,129 84	$1,959.79	$1,170 05
WELLS FARGO & CO	949746101	150	12/17/09	06/21/10	$4,213 13	$3,883 92	$329 21
WELLS FARGO & CO	949746101	150	12/21/09	06/21/10	$4,213 13	$4,101 00	$112 13

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
BB&T CORP COM	054937107	115	09/08/09	06/21/10	$3,396 44	$3,047 20	$349.24
CAPITAL ONE FINANCIAL CORP	14040H105	40	05/05/10	06/21/10	$1,752 93	$1,784 64	$-31.71
CAPITAL ONE FINANCIAL CORP	14040H105	80	05/03/10	06/21/10	$3,505 87	$3,644.40	$-138 53
CONSTELLATION ENERGY GROUP INC	210371100	180	05/03/10	06/21/10	$6,567 61	$6,423 59	$144 02
BANK AMER CORP	060505104	300	12/09/09	06/21/10	$4,767 67	$4,644 00	$123.67
BANK AMER CORP	060505104	300	03/25/10	06/21/10	$4,767 67	$5,360 25	$-592 58
BANK AMER CORP	060505104	300	04/27/10	06/21/10	$4,767 67	$5,331 48	$-563.81
BANK AMER CORP	060505104	275	12/14/09	06/21/10	$4,370 36	$4,287 25	$83 11
BANK AMER CORP	060505104	175	12/10/09	06/21/10	$2,781.14	$2,663 50	$117 64
BANK AMER CORP	060505104	550	01/25/10	06/21/10	$8,740 73	$8,265 30	$475 43
BANK AMER CORP	060505104	100	06/08/10	06/21/10	$1,589 22	$1,501.71	$87 51
BANK AMER CORP	060505104	200	06/15/10	06/21/10	$3,178 45	$3,107 64	$70 81
DELTA AIR LINES INC	247361702	300	03/25/10	06/21/10	$4,074 14	$4,287.69	$-213 55
DELTA AIR LINES INC	247361702	325	03/16/10	06/21/10	$4,413 65	$4,195.85	$217 80
JPMORGAN CHASE & CO	46625H100	110	12/14/09	06/21/10	$4,293 27	$4,537.50	$-244 23
JPMORGAN CHASE & CO	46625H100	25	12/23/09	06/21/10	$975 74	$1,042 25	$-66 51
JPMORGAN CHASE & CO	46625H100	100	06/07/10	06/21/10	$3,902 97	$3,784 20	$118 77
JPMORGAN CHASE & CO	46625H100	100	06/15/10	06/21/10	$3,902 97	$3,771 68	$131 29
FIFTH THIRD BANCORP	316773100	575	06/15/10	06/21/10	$7,814 34	$7,996 24	$-181 90
GAP INC	364760108	150	03/02/10	06/21/10	$3,158 29	$3,224 06	$-65 77
GAP INC	364760108	150	03/30/10	06/21/10	$3,158 29	$3,490 12	$-331 83
GAP INC	364760108	225	02/18/10	06/21/10	$4,737 43	$4,483 62	$253 81
MORGAN STANLEY	617446448	75	01/27/10	06/21/10	$1,932 68	$2,052 90	$-120 22
MORGAN STANLEY	617446448	140	10/13/09	06/21/10	$3,607 66	$4,384 97	$-777 31
NVR INC COM	62944T105	3	08/21/09	06/21/10	$2,020.95	$2,010 03	$10 92
NVR INC COM	62944T105	3	09/21/09	06/21/10	$2,020 95	$1,996 71	$24 24
NVR INC COM	62944T105	6	07/28/09	06/21/10	$4,041 90	$3,680 18	$361 72

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
DELL INC	24702R101	300	07/15/09	06/21/10	$4,169 92	$3,717 78	$452 14
ROWAN COS INC	779382100	155	02/22/10	06/21/10	$3,939 69	$3,885 08	$54 61
GOLDMAN SACHS GROUP INC	38141G104	22	08/31/09	06/21/10	$3,044 42	$3,621 43	$-577 01
GOLDMAN SACHS GROUP INC	38141G104	45	01/25/10	06/21/10	$6,227 22	$7,059 43	$-832 21
COMERICA INC	200340107	40	05/14/10	06/21/10	$1,568 33	$1,697 89	$-129 56
COMERICA INC	200340107	110	05/13/10	06/21/10	$4,312 91	$4,824 28	$-511 37
INGERSOLL-RAND PLC	G47791101	125	04/01/10	06/21/10	$5,022 48	$4,453 70 *	$568 78
INGERSOLL-RAND PLC	G47791101	75	07/21/09	06/21/10	$3,013 49	$1,728 51 *	$1,284 98
INGERSOLL-RAND PLC	G47791101	75	04/14/10	06/21/10	$3,013 49	$2,789 45 *	$224 04
CIMAREX ENERGY CO	171798101	15	10/13/09	06/21/10	$1,177 03	$673 07	$503 96
CIMAREX ENERGY CO	171798101	80	07/21/09	06/21/10	$6,277 49	$2,655 62	$3,621 87
EXXON MOBIL CORPORATION	30231G102	125	05/20/10	06/21/10	$7,935 87	$7,621 28	$314 59
EXXON MOBIL CORPORATION	30231G102	125	05/13/10	06/21/10	$7,935 87	$8,148 19	$-212 32
VALERO ENERGY CORP	91913Y100	125	08/19/09	06/21/10	$2,275 90	$2,206 23	$69 67
VALERO ENERGY CORP	91913Y100	275	07/17/09	06/21/10	$5,006 97	$4,759 18	$247 79
HEWLETT PACKARD CO	428236103	165	05/25/10	06/21/10	$7,859 19	$7,420 97	$438 22
CONOCOPHILLIPS	20825C104	50	10/02/09	06/21/10	$2,798 33	$2,335 65	$462 68
COMMERCIAL METALS CO	201723103	300	04/21/10	06/21/10	$4,714 12	$4,613 46	$100 66
COMMUNITY HEALTH SYSTEMS	203668108	110	04/12/10	06/21/10	$3,838 32	$4,338 35	$-500 03
COMMUNITY HEALTH SYSTEMS	203668108	115	04/14/10	06/21/10	$4,012 78	$4,528 91	$-516 13
GILEAD SCIENCES INC	375558103	185	04/09/10	06/21/10	$6,696 89	$8,447 08	$-1,750 19
ENSCO INTERNATIONAL PLC ADR	29358Q109	90	02/12/10	06/21/10	$3,649 35	$3,606 63	$42 72
ENSCO INTERNATIONAL PLC ADR	29358Q109	90	04/21/10	06/21/10	$3,649 35	$4,358.88	$-709.53
ENSCO INTERNATIONAL PLC ADR	29358Q109	50	06/04/10	06/21/10	$2,027 42	$1,766 27	$261 15
AOL INC	00184X105	85	02/17/10	06/21/10	$1,950 30	$2,047 45	$-97 15
BB&T CORP COM	054937107	110	09/17/09	06/21/10	$3,248 77	$3,239 74	$9 03
BB&T CORP COM	054937107	75	11/05/09	06/21/10	$2,215 07	$1,810 25	$404 82

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
TIME WARNER INC	887317303	100	05/30/08	01/25/10	$2,709 89	$3,362 17	$-652 28
BUNGE LIMITED	G16962105	10	12/22/08	01/25/10	$626 81	$442 00	$184 81
CORNING INC	219350105	65	11/25/08	02/09/10	$1,155 84	$579 05	$576 79
METLIFE INC	59156R108	105	10/23/02	02/09/10	$3,693 76	$2,537 85	$1,155 91
NEWS CORP	65248E104	225	12/05/08	02/10/10	$2,850 12	$1,755 58	$1,094 54
TIME WARNER INC	887317303	64 67	09/05/08	02/11/10	$1,761 34	$2,099.12	$-337 78
TIME WARNER INC	887317303	15 33	01/06/09	02/11/10	$417 52	$352 55	$64.97
WESTERN DIGITAL CORP	958102105	10	09/11/08	02/17/10	$420 77	$238 77	$182 00
WESTERN DIGITAL CORP	958102105	30	08/29/08	02/17/10	$1,262.30	$824 89	$437 41
AT & T INC	00206R102	100	09/25/07	02/18/10	$2,522 08	$4,234 00	$-1,711 92
AT & T INC	00206R102	125	09/17/07	02/18/10	$3,152 60	$5,032 50	$-1,879 90
AT & T INC	00206R102	100	10/22/07	02/18/10	$2,522 08	$4,129 00	$-1,606.92
WESTERN DIGITAL CORP	958102105	90	09/11/08	02/22/10	$3,831 81	$2,148 95	$1,682 86
CORNING INC	219350105	10	11/25/08	02/24/10	$173 96	$89 09	$84 87
CORNING INC	219350105	85	11/19/08	02/24/10	$1,478 66	$703 10	$775 56
AT & T INC	00206R102	275	08/23/07	02/25/10	$6,807 10	$10,997 31	$-4,190 21
PENNEY J C INC	708160106	30	11/10/08	02/26/10	$827 98	$595 65	$232 33
LOWES COS INC	548661107	80	10/22/08	03/05/10	$1,923 38	$1,465 29	$458 09
SYMANTEC CORP	871503108	115	10/23/08	03/05/10	$1,942 69	$1,606 31	$336 38
SYMANTEC CORP	871503108	10	02/24/09	03/05/10	$168 93	$141 45	$27 48
DEVON ENERGY CORPORATION	25179M103	100	08/07/08	03/05/10	$6,985 31	$9,427.59	$-2,442 28
CBS CORP NEW	124857202	180	03/10/06	03/15/10	$2,597 56	$4,351 62	$-1,754 06
SYMANTEC CORP	871503108	100	03/12/09	03/17/10	$1,704.81	$1,358 92	$345 89
SYMANTEC CORP	871503108	125	03/13/09	03/17/10	$2,131 01	$1,703 96	$427.05
LOWES COS INC	548661107	95	10/22/08	03/17/10	$2,387.08	$1,740 04	$647 04

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
MERCK & CO INC NEW	58933Y105	54 56	08/10/05	03/23/10	$2,096 71	$1,698 19	$398 52
RRI ENERGY	74971X107	325	09/11/08	03/24/10	$1,331 70	$4,761 84	$-3,430 14
PENNEY J C INC	708160106	140	11/10/08	04/09/10	$4,404 26	$2,779 68	$1,624 58
SPRINT NEXTEL CORP	852061100	550	04/08/08	04/12/10	$2,286 20	$3,666 03	$-1,379 83
MERCK & CO INC NEW	58933Y105	10 44	03/24/09	04/12/10	$385 09	$253 36	$131 73
GOLDMAN SACHS GROUP INC	38141G104	5	06/30/08	04/16/10	$807 98	$878 90	$-70 92
DEUTSCHE BANK AG REG SHS	D18190898	50	03/20/08	04/16/10	$3,710 19	$5,539 35	$-1,829 16
DEUTSCHE BANK AG REG SHS	D18190898	50	02/07/08	04/16/10	$3,710 19	$5,534 62	$-1,824 43
DEUTSCHE BANK AG REG SHS	D18190898	25	11/21/07	04/16/10	$1,855 09	$3,043 09	$-1,188 00
DEUTSCHE BANK AG REG SHS	D18190898	20	08/28/07	04/16/10	$1,484 07	$2,454 41	$-970 34
ARCHER DANIELS MIDLAND CO COM	039483102	155	01/08/09	04/29/10	$4,346 56	$4,321 21	$25 35
ARCHER DANIELS MIDLAND CO COM	039483102	75	01/29/09	04/29/10	$2,103.17	$2,052 13	$51 04
ARCHER DANIELS MIDLAND CO COM	039483102	100	01/23/09	04/29/10	$2,804 23	$2,689 91	$114 32
XL CAPITAL LTD - CL A	G98255105	100	08/29/08	04/30/10	$1,791 96	$2,021 81	$-229 85
MERCK & CO INC NEW	58933Y105	2 77	04/14/09	05/03/10	$97 59	$63 90	$33 69
MERCK & CO INC NEW	58933Y105	47 23	03/24/09	05/03/10	$1,664 02	$1,146 50	$517 52
DEUTSCHE BANK AG REG SHS	D18190898	80	05/06/09	05/12/10	$5,205 89	$4,455 24	$750 65
NEWS CORP	65248E104	225	12/05/08	05/12/10	$3,249 03	$1,755 59	$1,493 44
NOKIA CORPORATION - ADR	654902204	175	12/02/08	05/13/10	$1,864 96	$2,329 25	$-464 29
NOKIA CORPORATION - ADR	654902204	180	02/06/09	05/13/10	$1,918 24	$2,396.50	$-478 26
NOKIA CORPORATION - ADR	654902204	125	02/04/09	05/13/10	$1,332 11	$1,579 66	$-247.55
UNUM GROUP	91529Y106	190	04/29/09	05/17/10	$4,265 69	$3,005 05	$1,260 64
MACY'S INC	55616P104	200	05/08/07	05/18/10	$4,397 96	$8,759 06	$-4,361 10
MACY'S INC	55616P104	25	05/23/07	05/18/10	$549 75	$974 86	$-425 11
MACY'S INC	55616P104	50	03/07/07	05/18/10	$1,099 49	$2,201 29	$-1,101 80

Forms 1099 for 2010

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

The proceeds from each of the sales shown below in Box 2 are reported to the Internal Revenue Service on Forms 1099-B. In addition, we report security description, cusip #, and units. We do not report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital

A schedule of your net gains and losses is shown below Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully when preparing your return

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
NEWS CORP	65248E104	200	04/20/09	01/05/10	$2,775 53	$1,574 76	$1,200 77
NEWS CORP	65248E104	100	07/09/09	01/05/10	$1,387 76	$833 05	$554 71
ACE LIMITED	H0023R105	55	03/12/09	01/08/10	$2,618 33	$1,850 38	$767 95
HOME DEPOT INC	437076102	100	02/25/09	01/12/10	$2,817 20	$2,007 50	$809 70
CATERPILLAR INC	149123101	65	07/09/09	01/12/10	$4,027 61	$2,009 10	$2,018 51
GOODRICH CORPORATION	382388106	40	05/26/09	01/15/10	$2,664 36	$1,849 51	$814 85
US BANCORP DEL NEW	902973304	10	05/21/09	01/19/10	$243 95	$179 61	$64 34
US BANCORP DEL NEW	902973304	140	05/11/09	01/19/10	$3,415 34	$2,520 00	$895 34
INGERSOLL-RAND PLC	G47791101	50	07/21/09	01/22/10	$1,793 12	$1,159 34	$633 78
LOWES COS INC	548661107	145	07/08/09	01/22/10	$3,256 03	$2,715 68	$540 35
BUNGE LIMITED	G16962105	85	04/28/09	01/25/10	$5,327 89	$3,950 00	$1,377 89
GOODRICH CORPORATION	382388106	100	05/26/09	01/29/10	$6,243 36	$4,623 77	$1,619 59
TYCO ELECTRONICS LTD F	H8912P106	40	08/10/09	02/04/10	$991 39	$885 80	$105 59
TYCO ELECTRONICS LTD F	H8912P106	150	11/16/09	02/04/10	$3,717 70	$3,655 49	$62 21

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost		Net gain or loss
MERCK & CO INC NEW	58933Y105	64 56	05/26/09	04/12/10	$2,381 35	$1,705 48		$675 87
DU PONT E I DE NEMOURS & CO	263534109	5	06/30/09	04/12/10	$194 06	$129 00		$65 06
DU PONT E I DE NEMOURS & CO	263534109	10	05/27/09	04/12/10	$388 12	$281 15		$106 97
DU PONT E I DE NEMOURS & CO	263534109	170	04/14/09	04/12/10	$6,598 02	$4,540 25		$2,057 77
SPRINT NEXTEL CORP	852061100	325	07/30/09	04/12/10	$1,350 93	$1,306 96		$43 97
GOLDMAN SACHS GROUP INC	38141G104	20	11/23/09	04/16/10	$3,231 93	$3,435 15		$-203 22
GOLDMAN SACHS GROUP INC	38141G104	30	08/31/09	04/16/10	$4,847 90	$4,938 32		$-90 42
DU PONT E I DE NEMOURS & CO	263534109	150	06/22/09	04/26/10	$6,127 68	$3,654 76		$2,472 92
DU PONT E I DE NEMOURS & CO	263534109	70	06/30/09	04/26/10	$2,859 58	$1,806 06		$1,053 52
DELL INC	24702R101	325	11/23/09	04/26/10	$5,539 01	$4,768 82		$770 19
DELL INC	24702R101	250	08/14/09	04/26/10	$4,260 78	$3,523 98		$736.80
DELL INC	24702R101	25	07/15/09	04/26/10	$426 08	$309 82		$116 26
AK STL HLDG CORP	001547108	225	08/21/09	04/29/10	$3,841 60	$4,692 04	*	$-850 44
SPRINT NEXTEL CORP	852061100	750	07/30/09	05/12/10	$3,106 44	$3,016 05		$90 39
MOTOROLA INC	620076109	675	01/06/10	05/12/10	$4,734 83	$5,382 92		$-648 09
FREEPORT-MCMORAN COPPER & GOLD INC	35671D857	50	02/22/10	05/12/10	$3,627 17	$3,852 08		$-224 91
ROYAL CARRIBEAN CRUISE	V7780T103	110	01/14/10	05/12/10	$3,650 31	$2,958 17		$692 14
HUNTSMAN CORP	447011107	350	01/12/10	05/12/10	$3,604 58	$4,206 30		$-601 72
FREEPORT-MCMORAN COPPER & GOLD INC	35671D857	40	02/10/10	05/12/10	$2,901 73	$2,843 92		$57 81
NOKIA CORPORATION - ADR	654902204	245	03/29/10	05/13/10	$2,610 94	$3,771 43		$-1,160 49
AMERIPRISE FINL INC	03076C106	110	10/30/09	05/13/10	$5,043 59	$3,859 46		$1,184 13
UNUM GROUP	91529Y106	110	04/14/10	05/17/10	$2,469 61	$2,849 23		$-379 62
NOBLE CORPORATION	H5833N103	80	02/18/10	05/18/10	$2,740 50	$3,413 54		$-673 04
ROWAN COS INC	779382100	75	03/29/10	05/18/10	$1,894 53	$2,076.66		$-182 13
ROWAN COS INC	779382100	95	03/25/10	05/18/10	$2,399 74	$2,516 67		$-116 93
CIMAREX ENERGY CO	171798101	40	11/16/09	05/19/10	$2,707 87	$1,910 82		$797 05
CIMAREX ENERGY CO	171798101	10	10/13/09	05/19/10	$676 97	$448 72		$228 25

Short term gains and losses

This category includes all assets held 12 months or less.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
DEAN FOODS COMPANY	242370104	200	10/02/09	06/21/10	$2,126 22	$3,690 16	$-1,563 94
GENERAL ELECTRIC CO	369604103	375	01/27/10	06/21/10	$6,090 68	$6,087 86	$2 82
GENERAL ELECTRIC CO	369604103	100	02/11/10	06/21/10	$1,624 18	$1,576 18	$48 00
GENERAL ELECTRIC CO	369604103	100	03/08/10	06/21/10	$1,624 18	$1,640 14	$-15 96
GENERAL ELECTRIC CO	369604103	525	10/13/09	06/21/10	$8,526 95	$8,662 50	$-135 55
RELIANCE STL & ALUM CO COM	759509102	85	04/22/10	06/21/10	$3,715 86	$4,279 42	$-563 56
ROSS STORES INC	778296103	80	03/10/10	06/21/10	$4,519 98	$4,155 86	$364 12
ROSS STORES INC	778296103	80	03/01/10	06/21/10	$4,519 98	$3,901 20	$618 78
FORD MOTOR COMPANY	345370860	425	11/13/09	06/21/10	$4,937 86	$3,559 93	$1,377 93
FORD MOTOR COMPANY	345370860	375	04/20/10	06/21/10	$4,356 94	$5,222 81	$-865 87
FORD MOTOR COMPANY	345370860	500	11/06/09	06/21/10	$5,809 25	$3,864 10	$1,945 15
GARMIN LTD	G37260109	95	01/13/10	06/21/10	$3,038 50	$3,255 64	$-217 14
GARMIN LTD	G37260109	75	01/20/10	06/21/10	$2,398 81	$2,690 16	$-291 35
GARMIN LTD	G37260109	50	03/24/10	06/21/10	$1,599 21	$1,896 71	$-297 50
GARMIN LTD	G37260109	130	11/13/09	06/21/10	$4,157 94	$3,886 16	$271 78
DEVON ENERGY CORPORATION	25179M103	5	11/16/09	06/21/10	$345 79	$355 01	$-9 22
DEVON ENERGY CORPORATION	25179M103	55	07/14/09	06/21/10	$3,803 64	$2,894 78	$908 86
ASTRAZENECA PLC SPONS ADR	046353108	185	05/24/10	06/21/10	$8,322 97	$7,704 94	$618 03
ASTRAZENECA PLC SPONS ADR	046353108	190	05/14/10	06/21/10	$8,547 92	$8,031 17	$516 75
FREEPORT-MCMORAN COPPER & GOLD INC	35671D857	15	02/10/10	06/21/10	$1,028 33	$1,066 47	$-38 14
FREEPORT-MCMORAN COPPER & GOLD INC	35671D857	70	02/05/10	06/21/10	$4,798 87	$4,693 68	$105 19
FREEPORT-MCMORAN COPPER & GOLD INC	35671D857	95	06/14/10	06/21/10	$6,512 76	$6,363 21	$149 55
MARATHON OIL CORP	565849106	250	05/17/10	06/21/10	$8,544 13	$7,815 13	$729 00
MARATHON OIL CORP	565849106	100	06/17/10	06/21/10	$3,417 65	$3,317 91	$99 74
NEWFIELD EXPL CO COM	651290108	15	02/18/10	06/21/10	$844 78	$759 94	$84 84
NEWFIELD EXPL CO COM	651290108	130	02/17/10	06/21/10	$7,321 45	$6,624 30	$697 15
NEXEN INC	65334H102	225	01/26/10	06/21/10	$4,996 89	$4,838 07	$158 82

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
PROCTER & GAMBLE CO	742718109	120	05/20/10	06/21/10	$7,348 00	$7,450 63	$-102 63
PROCTER & GAMBLE CO	742718109	130	05/14/10	06/21/10	$7,960 34	$8,158 46	$-198 12
PROCTER & GAMBLE CO	742718109	75	06/17/10	06/21/10	$4,592 50	$4,598 71	$-6 21
SMITHFIELD FOODS INC	832248108	225	05/24/10	06/21/10	$3,475 56	$3,848 33	$-372 77
SMITHFIELD FOODS INC	832248108	225	06/29/09	06/21/10	$3,475 56	$3,087 99	$387 57
OFFICE DEPOT INC	676220106	550	12/04/09	06/21/10	$2,781 58	$3,583 80	$-802 22
OFFICE DEPOT INC	676220106	550	10/09/09	06/21/10	$2,781 58	$3,981 84	$-1,200 26
SARA LEE CORP	803111103	275	05/04/10	06/21/10	$4,044 85	$3,863 17	$181 68
SARA LEE CORP	803111103	225	05/17/10	06/21/10	$3,309 42	$3,320.80	$-11 38
SARA LEE CORP	803111103	325	03/31/10	06/21/10	$4,780 28	$4,550 56	$229 72
SARA LEE CORP	803111103	175	06/15/10	06/21/10	$2,574 00	$2,590 46	$-16 46
CONSTELLATION BRANDS INC	21036P108	150	03/02/10	06/21/10	$2,450 99	$2,320 67	$130 32
CONSTELLATION BRANDS INC	21036P108	125	01/19/10	06/21/10	$2,042 49	$2,072 31	$-29 82
CONSTELLATION BRANDS INC	21036P108	250	10/13/09	06/21/10	$4,084 98	$4,163 58	$-78 60
ROYAL CARRIBEAN CRUISE	V7780T103	35	01/14/10	06/21/10	$1,048 41	$941 23	$107 18
ROYAL CARRIBEAN CRUISE	V7780T103	155	01/13/10	06/21/10	$4,642 94	$4,008 33	$634 61
SUPERVALU INC	868536103	125	12/31/09	06/21/10	$1,558 15	$1,615 24	$-57 09
SUPERVALU INC	868536103	125	11/23/09	06/21/10	$1,558 15	$1,889 04	$-330 89
SPX CORP	784635104	65	07/28/09	06/21/10	$3,817 25	$3,529 32	$287 93
PEPCO HOLDINGS INC	713291102	425	11/23/09	06/21/10	$7,043 07	$6,764 13	$278 94
TEXTRON INC	883203101	195	07/13/09	06/21/10	$3,943 43	$1,756 31	$2,187 12
PULTE GRP INC	745867101	300	08/03/09	06/21/10	$2,789 71	$3,512 34	$-722 63
SAFEWAY INC NEW	786514208	150	04/14/10	06/21/10	$3,063 10	$3,920 25	$-857 15
SAFEWAY INC NEW	786514208	525	03/25/10	06/21/10	$10,720 84	$12,820 24	$-2,099 40
XL CAPITAL LTD - CL A	G98255105	175	07/06/09	06/21/10	$3,129 84	$1,959.79	$1,170 05
WELLS FARGO & CO	949746101	150	12/17/09	06/21/10	$4,213 13	$3,883 92	$329 21
WELLS FARGO & CO	949746101	150	12/21/09	06/21/10	$4,213 13	$4,101 00	$112 13

Short term gains and losses

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost		Net gain or loss
DELL INC	24702R101	300	07/15/09	06/21/10	$4,169 92	$3,717 78		$452 14
ROWAN COS INC	779382100	155	02/22/10	06/21/10	$3,939 69	$3,885 08		$54 61
GOLDMAN SACHS GROUP INC	38141G104	22	08/31/09	06/21/10	$3,044 42	$3,621 43		$-577 01
GOLDMAN SACHS GROUP INC	38141G104	45	01/25/10	06/21/10	$6,227 22	$7,059 43		$-832 21
COMERICA INC	200340107	40	05/14/10	06/21/10	$1,568 33	$1,697 89		$-129 56
COMERICA INC	200340107	110	05/13/10	06/21/10	$4,312 91	$4,824 28		$-511 37
INGERSOLL-RAND PLC	G47791101	125	04/01/10	06/21/10	$5,022 48	$4,453 70	*	$568 78
INGERSOLL-RAND PLC	G47791101	75	07/21/09	06/21/10	$3,013 49	$1,728 51	*	$1,284 98
INGERSOLL-RAND PLC	G47791101	75	04/14/10	06/21/10	$3,013 49	$2,789 45	*	$224 04
CIMAREX ENERGY CO	171798101	15	10/13/09	06/21/10	$1,177 03	$673 07		$503 96
CIMAREX ENERGY CO	171798101	80	07/21/09	06/21/10	$6,277 49	$2,655 62		$3,621 87
EXXON MOBIL CORPORATION	30231G102	125	05/20/10	06/21/10	$7,935 87	$7,621 28		$314 59
EXXON MOBIL CORPORATION	30231G102	125	05/13/10	06/21/10	$7,935 87	$8,148 19		$-212 32
VALERO ENERGY CORP	91913Y100	125	08/19/09	06/21/10	$2,275 90	$2,206 23		$69 67
VALERO ENERGY CORP	91913Y100	275	07/17/09	06/21/10	$5,006 97	$4,759 18		$247 79
HEWLETT PACKARD CO	428236103	165	05/25/10	06/21/10	$7,859 19	$7,420 97		$438 22
CONOCOPHILLIPS	20825C104	50	10/02/09	06/21/10	$2,798 33	$2,335 65		$462 68
COMMERCIAL METALS CO	201723103	300	04/21/10	06/21/10	$4,714 12	$4,613 46		$100 66
COMMUNITY HEALTH SYSTEMS	203668108	110	04/12/10	06/21/10	$3,838 32	$4,338 35		$-500 03
COMMUNITY HEALTH SYSTEMS	203668108	115	04/14/10	06/21/10	$4,012 78	$4,528 91		$-516 13
GILEAD SCIENCES INC	375558103	185	04/09/10	06/21/10	$6,696 89	$8,447 08		$-1,750 19
ENSCO INTERNATIONAL PLC ADR	29358Q109	90	02/12/10	06/21/10	$3,649 35	$3,606 63		$42 72
ENSCO INTERNATIONAL PLC ADR	29358Q109	90	04/21/10	06/21/10	$3,649 35	$4,358.88		$-709.53
ENSCO INTERNATIONAL PLC ADR	29358Q109	50	06/04/10	06/21/10	$2,027 42	$1,766 27		$261 15
AOL INC	00184X105	85	02/17/10	06/21/10	$1,950 30	$2,047 45		$-97 15
BB&T CORP COM	054937107	110	09/17/09	06/21/10	$3,248 77	$3,239 74		$9 03
BB&T CORP COM	054937107	75	11/05/09	06/21/10	$2,215 07	$1,810 25		$404 82

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
MERCK & CO INC NEW	58933Y105	54 56	08/10/05	03/23/10	$2,096 71	$1,698 19	$398 52
RRI ENERGY	74971X107	325	09/11/08	03/24/10	$1,331 70	$4,761 84	$-3,430 14
PENNEY J C INC	708160106	140	11/10/08	04/09/10	$4,404 26	$2,779 68	$1,624 58
SPRINT NEXTEL CORP	852061100	550	04/08/08	04/12/10	$2,286 20	$3,666 03	$-1,379 83
MERCK & CO INC NEW	58933Y105	10 44	03/24/09	04/12/10	$385 09	$253 36	$131 73
GOLDMAN SACHS GROUP INC	38141G104	5	06/30/08	04/16/10	$807 98	$878 90	$-70 92
DEUTSCHE BANK AG REG SHS	D18190898	50	03/20/08	04/16/10	$3,710 19	$5,539 35	$-1,829 16
DEUTSCHE BANK AG REG SHS	D18190898	50	02/07/08	04/16/10	$3,710 19	$5,534 62	$-1,824 43
DEUTSCHE BANK AG REG SHS	D18190898	25	11/21/07	04/16/10	$1,855 09	$3,043 09	$-1,188 00
DEUTSCHE BANK AG REG SHS	D18190898	20	08/28/07	04/16/10	$1,484 07	$2,454 41	$-970 34
ARCHER DANIELS MIDLAND CO COM	039483102	155	01/08/09	04/29/10	$4,346 56	$4,321 21	$25 35
ARCHER DANIELS MIDLAND CO COM	039483102	75	01/29/09	04/29/10	$2,103.17	$2,052 13	$51 04
ARCHER DANIELS MIDLAND CO COM	039483102	100	01/23/09	04/29/10	$2,804 23	$2,689 91	$114 32
XL CAPITAL LTD - CL A	G98255105	100	08/29/08	04/30/10	$1,791 96	$2,021 81	$-229 85
MERCK & CO INC NEW	58933Y105	2 77	04/14/09	05/03/10	$97 59	$63 90	$33 69
MERCK & CO INC NEW	58933Y105	47 23	03/24/09	05/03/10	$1,664 02	$1,146 50	$517 52
DEUTSCHE BANK AG REG SHS	D18190898	80	05/06/09	05/12/10	$5,205 89	$4,455 24	$750 65
NEWS CORP	65248E104	225	12/05/08	05/12/10	$3,249 03	$1,755 59	$1,493 44
NOKIA CORPORATION - ADR	654902204	175	12/02/08	05/13/10	$1,864 96	$2,329 25	$-464 29
NOKIA CORPORATION - ADR	654902204	180	02/06/09	05/13/10	$1,918 24	$2,396.50	$-478 26
NOKIA CORPORATION - ADR	654902204	125	02/04/09	05/13/10	$1,332 11	$1,579 66	$-247.55
UNUM GROUP	91529Y106	190	04/29/09	05/17/10	$4,265 69	$3,005 05	$1,260 64
MACY'S INC	55616P104	200	05/08/07	05/18/10	$4,397 96	$8,759 06	$-4,361 10
MACY'S INC	55616P104	25	05/23/07	05/18/10	$549 75	$974 86	$-425 11
MACY'S INC	55616P104	50	03/07/07	05/18/10	$1,099 49	$2,201 29	$-1,101 80

WELLS FARGO

GRON-FDN-SCB

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
MERCK & CO INC NEW	58933Y105	165	04/14/09	06/03/10	$5,689 61	$3,809 46	$1,880 15
MACY'S INC	55616P104	175	05/23/07	06/03/10	$3,931 31	$6,824 00	$-2,892 69
"LIMITED BRANDS, INC"	532716107	200	01/06/09	06/03/10	$5,252 75	$2,202 48	$3,050 27
MORGAN STANLEY	617446448	100	07/31/08	06/14/10	$2,602.48	$3,953 91	$-1,351 43
MORGAN STANLEY	617446448	100	08/01/08	06/14/10	$2,602 48	$3,976.54	$-1,374 06
MORGAN STANLEY	617446448	10	01/10/08	06/14/10	$260 25	$485 50	$-225 25
US BANCORP DEL NEW	902973304	140	05/21/09	06/14/10	$3,203 59	$2,514 61	$688 98
US BANCORP DEL NEW	902973304	185	05/14/09	06/14/10	$4,233 32	$3,303 42	$929 90
WELLS FARGO & CO	949746101	300	05/08/09	06/21/10	$8,426.26	$6,600 00	$1,826 26
AT & T INC	00206R102	100	04/24/08	06/21/10	$2,536 00	$3,875.92	$-1,339 92
AT & T INC	00206R102	75	08/23/07	06/21/10	$1,902 00	$2,999 26	$-1,097 26
AT & T INC	00206R102	75	05/26/09	06/21/10	$1,902 00	$1,796 16	$105 84
AT & T INC	00206R102	125	08/30/07	06/21/10	$3,169 99	$4,977 50	$-1,807 51
TIME WARNER CABLE INC	88732J207	67	04/03/09	06/21/10	$3,666 21	$1,746 32	$1,919 89
TIME WARNER CABLE INC	88732J207	57 6	07/06/04	06/21/10	$3,151 85	$2,947 00	$204 85
TIME WARNER CABLE INC	88732J207	25 1	05/30/08	06/21/10	$1,373 46	$1,185 56	$187 90
TIME WARNER CABLE INC	88732J207	25 1	01/06/09	06/21/10	$1,373 46	$810 77	$562 69
TIME WARNER CABLE INC	88732J207	16 73	09/05/08	06/21/10	$915 46	$763 08	$152.38
TIME WARNER CABLE INC	88732J207	16 73	11/24/08	06/21/10	$915 46	$400 08	$515.38
TIME WARNER CABLE INC	88732J207	16 73	03/24/09	06/21/10	$915 46	$425 02	$490 44
TIME WARNER CABLE INC	88732J207	100	04/14/09	06/21/10	$5,471 96	$2,772 61	$2,699 35
VODAFONE GROUP PLC NEW ADR	92857W209	150	04/29/09	06/21/10	$3,187.94	$2,760 89	$427 05
VODAFONE GROUP PLC NEW ADR	92857W209	175	05/05/09	06/21/10	$3,719 26	$3,360 80	$358 46
CORNING INC	219350105	215	11/19/08	06/21/10	$3,940 04	$1,778 44	$2,161 60
NOKIA CORPORATION - ADR	654902204	175	02/04/09	06/21/10	$1,522 07	$2,211 53	$-689 46

Long term gains and losses

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost		Net gain or loss
NOKIA CORPORATION - ADR	654902204	200	02/26/09	06/21/10	$1,739 50	$1,943 20		$-203 70
MOTOROLA INC	620076109	575	09/24/08	06/21/10	$4,109 17	$4,308 36		$-199 19
MOTOROLA INC	620076109	400	12/03/08	06/21/10	$2,858 55	$1,720 32		$1,138 23
TYCO ELECTRONICS LTD F	H8912P106	175	05/13/09	06/21/10	$5,120 39	$2,842 93		$2,277 46
TYCO ELECTRONICS LTD F	H8912P106	350	04/30/09	06/21/10	$10,240 79	$6,200 95		$4,039 84
PFIZER INC	717081103	600	06/13/06	06/21/10	$9,017 85	$13,902 00		$-4,884 15
PFIZER INC	717081103	60	07/27/07	06/21/10	$901 78	$1,445 40		$-543 62
PFIZER INC	717081103	600	05/26/06	06/21/10	$9,017 85	$14,418 00		$-5,400 15
BUNGE LIMITED	G16962105	10	12/22/08	06/21/10	$539 55	$442 00		$97 55
BUNGE LIMITED	G16962105	80	11/06/08	06/21/10	$4,316 37	$3,426 65		$889 72
D R HORTON INC COM	23331A109	325	06/18/09	06/21/10	$3,461 29	$3,015 32		$445 97
DEVON ENERGY CORPORATION	25179M103	50	03/20/09	06/21/10	$3,457 86	$2,382 02		$1,075 84
DEVON ENERGY CORPORATION	25179M103	45	01/29/09	06/21/10	$3,112 07	$2,846 46		$265 61
DEVON ENERGY CORPORATION	25179M103	25	02/09/09	06/21/10	$1,728 93	$1,480 78		$248 15
NEXEN INC	65334H102	155	05/26/09	06/21/10	$3,442 30	$3,461 75		$-19 45
NEXEN INC	65334H102	145	05/14/09	06/21/10	$3,220 22	$2,899 87		$320 35
GOLDMAN SACHS GROUP INC	38141G104	43	07/11/06	06/21/10	$5,950 46	$6,350 24		$-399 78
GOLDMAN SACHS GROUP INC	38141G104	35	09/12/08	06/21/10	$4,843 40	$5,455 68		$-612 28
INGERSOLL-RAND PLC	G47791101	110	06/17/09	06/21/10	$4,419 78	$2,363 52	*	$2,056 26
INGERSOLL-RAND PLC	G47791101	190	06/12/09	06/21/10	$7,634 16	$4,303 94	*	$3,330 22
CONOCOPHILLIPS	20825C104	65	05/26/09	06/21/10	$3,637 83	$2,887 53		$750 30
CONOCOPHILLIPS	20825C104	285	01/14/99	06/21/10	$15,950 47	$5,842 13		$10,108 34
ENSCO INTERNATIONAL PLC ADR	29358Q109	95	05/19/09	06/21/10	$3,852 09	$4,006 63		$-154 54
MERCK & CO INC NEW	58933Y105	129 76	03/27/08	06/21/10	$4,561 44	$2,482 68		$2,078 76
MERCK & CO INC NEW	58933Y105	5 24	04/14/09	06/21/10	$184 20	$121 05		$63 15

Long term gains and losses

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Units (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
CBS CORP NEW	124857202	170	03/10/06	06/21/10	$2,541 51	$4,109 87	$-1,568 36
CBS CORP NEW	124857202	250	01/29/09	06/21/10	$3,737 51	$1,525 55	$2,211.96
AOL INC	00184X105	21 18	07/06/04	06/21/10	$485 97	$595 87	$-109.90
AOL INC	00184X105	17 45	05/26/09	06/21/10	$400 39	$294 84	$105 55
AOL INC	00184X105	9 09	05/30/08	06/21/10	$208 57	$236 07	$-27.50
AOL INC	00184X105	9 09	01/06/09	06/21/10	$208 57	$161 44	$47 13
AOL INC	00184X105	6 06	09/05/08	06/21/10	$139 05	$151 94	$-12 89
AOL INC	00184X105	6 06	11/24/08	06/21/10	$139 05	$79 66	$59 39
AOL INC	00184X105	6 06	03/24/09	06/21/10	$139 05	$84 63	$54 42
JPMORGAN CHASE & CO	46625H100	100	06/19/06	06/21/10	$3,902 97	$4,021 00	$-118.03
JPMORGAN CHASE & CO	46625H100	100	06/20/06	06/21/10	$3,902 97	$4,079 00	$-176.03
JPMORGAN CHASE & CO	46625H100	50	06/19/09	06/21/10	$1,951 49	$1,714 35	$237.14
JPMORGAN CHASE & CO	46625H100	115	05/27/09	06/21/10	$4,488 42	$4,173 29	$315.13
NEWS CORP	65248E104	75	12/05/08	06/21/10	$1,040 25	$585 19	$455 06
NEWS CORP	65248E104	900	01/29/09	06/21/10	$12,483 06	$6,158 97	$6,324.09
NORTHROP GRUMMAN CORP	666807102	35	04/16/09	06/21/10	$2,154 84	$1,601 82	$553 02
NORTHROP GRUMMAN CORP	666807102	90	04/03/09	06/21/10	$5,541 02	$4,091 43	$1,449.59
TIME WARNER INC	887317303	84 67	01/06/09	06/21/10	$2,780 85	$1,946 74	$834 11
TIME WARNER INC	887317303	66 67	11/24/08	06/21/10	$2,189 67	$1,134 60	$1,055 07
TIME WARNER INC	887317303	66 67	03/24/09	06/21/10	$2,189 67	$1,205 31	$984 36
TIME WARNER INC	887317303	192	05/26/09	06/21/10	$6,305 92	$4,199 03	$2,106 89
XL CAPITAL LTD - CL A	G98255105	150	10/03/08	06/21/10	$2,682 72	$2,460 70	$222 02
TRAVELERS COS INC	89417E109	150	11/05/02	06/21/10	$7,701 82	$4,878 91	$2,822 91
Total long term gain/loss: Report on Form 1040, Schedule D, line 8, Column d, e, and f					$406,678 54	$379,554 75	$27,123 79
Met Life Ins Co		120	10/23/02	1/1/10	4233.35	2900.40	1332.95
				adjustment	—	(231.72)	231.72
					410911.89	382223.43	28688.46