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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2010**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☒ Address change ☒ Name change

Name of foundation

A Employer identification number

THE HERBERT AND PEGGY STOCKHAM FOUNDATION

63-1254357

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

1525 WEST WT HARRIS BLVD

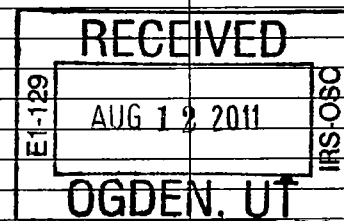
(336) 747-8162

City or town, state, and ZIP code

CHARLOTTE, NC 28288-5709

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,781,296.  
J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis.)C If exemption application is pending, check here . . . . . ☐  
D 1 Foreign organizations, check here . . . . . ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . ☐  
E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . ☐  
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . . ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B . . . . .				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities . . . . .	50,739.	48,591.		STMT 1
5a Gross rents . . . . .				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	20,449.			
b Gross sales price for all assets on line 6a 651,949.				
7 Capital gain net income (from Part IV, line 2)		20,449.		
8 Net short-term capital gain . . . . .				
9 Income modifications . . . . .				
10 a Gross sales less returns and allowances . . . . .				
b Less Cost of goods sold . . . . .				
c Gross profit or (loss) (attach schedule) . . . . .				
11 Other income (attach schedule) . . . . .				
12 Total. Add lines 1 through 11 . . . . .	71,188.	69,040.		
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc . . . . .	NONE	NONE	NONE	NONE
14 Other employee salaries and wages . . . . .		NONE	NONE	
15 Pension plans, employee benefits . . . . .		NONE	NONE	
16a Legal fees (attach schedule) . . . . .				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) . . . . .	17,904.	17,904.		
17 Interest . . . . .				
18 Taxes (attach schedule) (see page 14 of the instructions)	1,161.	676.		
19 Depreciation (attach schedule) and depletion . . . . .				
20 Occupancy . . . . .				
21 Travel, conferences, and meetings . . . . .		NONE	NONE	
22 Printing and publications . . . . .		NONE	NONE	
23 Other expenses (attach schedule) . . . . .				
24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	19,065.	18,580.	NONE	NONE
25 Contributions, gifts, grants paid . . . . .	74,000.			74,000.
26 Total expenses and disbursements Add lines 24 and 25	93,065.	18,580.	NONE	74,000.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements . . . . .	-21,877.			
b Net investment income (if negative, enter -0-)		50,460.		
c Adjusted net income (if negative, enter -0-)				



For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year		
				(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing . . . . .		NONE			
	2	Savings and temporary cash investments . . . . .			75,564.	75,564.	
	3	Accounts receivable ▶ . . . . .					
		Less: allowance for doubtful accounts ▶ . . . . .					
	4	Pledges receivable ▶ . . . . .					
		Less: allowance for doubtful accounts ▶ . . . . .					
	5	Grants receivable . . . . .					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .					
		Less: allowance for doubtful accounts ▶ . . . . .	NONE	NONE			
	8	Inventories for sale or use . . . . .					
	9	Prepaid expenses and deferred charges . . . . .					
	10 a	Investments - U.S. and state government obligations (attach schedule) . . . . .		NONE			
	b	Investments - corporate stock (attach schedule) . . . . .	STMT 6.	NONE	1,682,649.	1,705,732.	
	c	Investments - corporate bonds (attach schedule) . . . . .		NONE			
	Liabilities	11	Investments - land, buildings, and equipment basis . . . . .				
		Less: accumulated depreciation (attach schedule) ▶ . . . . .		NONE			
12		Investments - mortgage loans . . . . .		NONE			
13		Investments - other (attach schedule) . . . . .		1,710,438.			
14		Land, buildings, and equipment basis . . . . .					
		Less: accumulated depreciation (attach schedule) ▶ . . . . .					
15		Other assets (describe ▶ . . . . .)		NONE			
16		<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .		1,710,438.	1,758,213.	1,781,296.	
17		Accounts payable and accrued expenses . . . . .					
18		Grants payable . . . . .					
Net Assets or Fund Balances	19	Deferred revenue . . . . .					
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .					
	21	Mortgages and other notes payable (attach schedule) . . . . .					
	22	Other liabilities (describe ▶ . . . . .)					
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .						
Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.	24	Unrestricted . . . . .					
	25	Temporarily restricted . . . . .					
	26	Permanently restricted . . . . .					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>	27	Capital stock, trust principal, or current funds . . . . .		1,710,438.	1,758,213.	
		28	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .				
		29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
		30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .		1,710,438.	1,758,213.	
		31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .		1,710,438.	1,758,213.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	1,710,438.
2	Enter amount from Part I, line 27a . . . . .	2	-21,877.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9 . . . . .	3	69,652.
4	Add lines 1, 2, and 3 . . . . .	4	1,758,213.
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	1,758,213.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV DETAIL					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) <span style="float:right">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>			<b>2</b>	20,449.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. . . . .			<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	60,000.	1,473,894.	0.04070849057
2008	87,000.	1,718,009.	0.05064001411
2007	100,000.	2,050,817.	0.04876105474
2006	96,000.	1,889,299.	0.05081249712
2005	90,989.	1,800,929.	0.05052336877
<b>2</b> Total of line 1, column (d) . . . . .			<b>2</b> 0.24144542531
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			<b>3</b> 0.04828908506
<b>4</b> Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 . . . . .			<b>4</b> 1,670,768.
<b>5</b> Multiply line 4 by line 3 . . . . .			<b>5</b> 80,680.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			<b>6</b> 505.
<b>7</b> Add lines 5 and 6 . . . . .			<b>7</b> 81,185.
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			<b>8</b> 74,000.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .			
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	1,009.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	1,009.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	1,009.
6 Credits/Payments.			
a 2010 estimated tax payments and 2009 overpayment credited to 2010 . . . . .	6a	664.	
b Exempt foreign organizations-tax withheld at source . . . . .	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	345.	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	1,009.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10		
11 Enter the amount of line 10 to be Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> . . . . .	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV . . . . .	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ► _____ STMT 10		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . .	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> WELLS FARGO BANK, NA Telephone no. <input type="checkbox"/> (336) 747-8162			
	Located at <input type="checkbox"/> 1 WEST 4TH STREET, WINSTON SALEM, NC ZIP + 4 <input type="checkbox"/> 27101-3818			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .	15		<input type="checkbox"/>
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country <input type="checkbox"/>				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly).		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . .	1b	
Organizations relying on a current notice regarding disaster assistance check here . . . . .		<input type="checkbox"/>
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions) . . . . .	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? . . . . .	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** ☐Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d).**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ ☒ X  
If "Yes" to 6b, file Form 8870.**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		- 0 -	- 0 -	- 0 -

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		NONE	NONE	NONE

**Total number of other employees paid over \$50,000** ☐ **NONE**

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services . . . . . **NONE**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	

Total. Add lines 1 through 3 . . . . .

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,560,648.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	135,563.
<b>c</b>	Fair market value of all other assets (see page 25 of the instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	1,696,211.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	1,696,211.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	25,443.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,670,768.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	83,538.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	83,538.
<b>2a</b>	Tax on investment income for 2010 from Part VI, line 5	<b>2a</b>	1,009.
<b>b</b>	Income tax for 2010. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	1,009.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	82,529.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	82,529.
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	82,529.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	74,000.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	74,000.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	74,000.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7 . . . . .				82,529.
2 Undistributed income, if any, as of the end of 2010:				
a Enter amount for 2009 only . . . . .			NONE	
b Total for prior years: 20 08, 20 , 20		NONE		
3 Excess distributions carryover, if any, to 2010				
a From 2005 . . . . .	3,476.			
b From 2006 . . . . .	10,305.			
c From 2007 . . . . .	5,802.			
d From 2008 . . . . .	1,940.			
e From 2009 . . . . .	NONE			
f Total of lines 3a through e . . . . .	21,523.			
4 Qualifying distributions for 2010 from Part XII, line 4 ► \$ 74,000.				
a Applied to 2009, but not more than line 2a . . .			NONE	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .		NONE		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .	NONE			
d Applied to 2010 distributable amount . . . . .				74,000.
e Remaining amount distributed out of corpus . . .	NONE			
5 Excess distributions carryover applied to 2010 . (If an amount appears in column (d), the same amount must be shown in column (a).)	8,529.			8,529.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	12,994.			
b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions . . . . .		NONE		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions . . . . .			NONE	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .	NONE			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	NONE			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a . . . . .	12,994.			
10 Analysis of line 9				
a Excess from 2006 . . . . .	5,252.			
b Excess from 2007 . . . . .	5,802.			
c Excess from 2008 . . . . .	1,940.			
d Excess from 2009 . . . . .	NONE			
e Excess from 2010 . . . . .	NONE			

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year

Prior 3 years

(e) Total

(a) 2010

(b) 2009

(c) 2008

(d) 2007

**b** 85% of line 2a . . . . .

**c** Qualifying distributions from Part XII, line 4 for each year listed . . . . .

**d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .

**e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test - enter

(1) Value of all assets . . . . .

(2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .

**b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .

**c** "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

(3) Largest amount of support from an exempt organization . . . . .

(4) Gross investment income . . . . .

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

SEE ATTACHED DETAIL

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

SEE ATTACHED DETAIL

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed.

SEE STATEMENT 14

**b** The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

**c** Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
<b>a Paid during the year</b>  SEE STATEMENT 22				
<b>Total</b> .....			<b>3a</b>	74,000.
<b>b Approved for future payment</b>				
<b>Total</b> .....			<b>3b</b>	

Enter gross amounts unless otherwise indicated.

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions.)

NOT APPLICABLE

## 25

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS				10,779.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS				4,981.	
4,759.00		261. TD AMERITRADE HLDG CORP PROPERTY TYPE: SECURITIES 4,777.00				07/27/2009 -18.00	01/15/2010
110,484.00		100000. FED NATL MTG ASSN 5.000% 3/1 PROPERTY TYPE: SECURITIES 99,499.00				09/14/2006 10,985.00	01/20/2010
52,717.00		50000. FED NATL MTG ASSN 5.125% 4/15 PROPERTY TYPE: SECURITIES 49,895.00				05/02/2006 2,822.00	02/04/2010
56,680.00		50000. U S TREASURY NOTES 5.125% 5/15 PROPERTY TYPE: SECURITIES 50,297.00				05/25/2006 6,383.00	02/04/2010
4,131.00		318. DISCOVER FINANCIAL SERVICES PROPERTY TYPE: SECURITIES 5,072.00				09/24/2009 -941.00	02/09/2010
4,746.00		125. HEWITT ASSOC INC CL A PROPERTY TYPE: SECURITIES 5,255.00				12/03/2009 -509.00	02/09/2010
6,332.00		156. WATSON PHARMACEUTICALS INC COM PROPERTY TYPE: SECURITIES 4,378.00				01/27/2009 1,954.00	03/18/2010
5,310.00		126. ACCENTURE PLC PROPERTY TYPE: SECURITIES 4,063.00				01/27/2009 1,247.00	03/18/2010
62,296.00		1307.096 DREYFUS/THE BOSTON CO S/C - I # PROPERTY TYPE: SECURITIES 65,000.00				01/16/2009 -2,704.00	03/30/2010
63,606.00		2996.032 DREYFUS/THE BOSTON CA S/C - I # PROPERTY TYPE: SECURITIES 65,000.00				01/16/2009 -1,394.00	03/30/2010

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
16,000.00		1431.127 EVERGREEN INTL BOND FUND CL I (PROPERTY TYPE: SECURITIES 15,342.00				05/24/2007 658.00	03/30/2010
16,167.00		180. ISHARES MIDCAP INDEX FD (PROPERTY TYPE: SECURITIES 19,737.00				05/24/2007 -3,570.00	03/30/2010
16,000.00		1503.76 PIMCO EMERG MKTS BD-INST #137 (PROPERTY TYPE: SECURITIES 16,799.00				05/24/2007 -799.00	03/30/2010
24,000.00		1563.518 ROWE T PRICE REAL ESTATE FD COM (PROPERTY TYPE: SECURITIES 37,403.00				05/24/2007 -13,403.00	03/30/2010
24,000.00		1212.121 SSGA EMERGING MARKETS FD S #151 (PROPERTY TYPE: SECURITIES 31,552.00				05/24/2007 -7,552.00	03/30/2010
4,311.00		152. ARCHER DANIELS MIDLAND CO COM (PROPERTY TYPE: SECURITIES 4,258.00				01/28/2009 53.00	04/16/2010
1,328.00		19. BUCYRUS INTL INC NEW (PROPERTY TYPE: SECURITIES 1,000.00				12/03/2009 328.00	04/16/2010
1,831.00		73. GAP INC COM (PROPERTY TYPE: SECURITIES 1,413.00				09/10/2008 418.00	04/16/2010
4,342.00		257. PFIZER INC COM W/RTS ATTACHED EXP 1 (PROPERTY TYPE: SECURITIES 4,680.00				01/27/2009 -338.00	04/16/2010
6,365.00		77. PRAXAIR INC COM (PROPERTY TYPE: SECURITIES 4,270.00				01/27/2009 2,095.00	05/04/2010
4,803.00		111. STATE STR CORP COM (PROPERTY TYPE: SECURITIES 3,913.00				12/15/2008 890.00	05/04/2010



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
3,697.00		80. BUCYRUS INTL INC NEW PROPERTY TYPE: SECURITIES 4,212.00				12/03/2009 -515.00	05/25/2010
6,057.00		114. KELLOGG CO COM PROPERTY TYPE: SECURITIES 5,629.00				01/27/2009 428.00	06/23/2010
1,618.00		130. AVX CORP NEW COM PROPERTY TYPE: SECURITIES 1,830.00				03/18/2010 -212.00	07/12/2010
4,761.00		169. AGILENT TECHNOLOGIES INC COM PROPERTY TYPE: SECURITIES 6,503.00				05/22/2007 -1,742.00	07/12/2010
3,754.00		86. BAXTER INTL INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES 4,921.00				01/27/2009 -1,167.00	07/12/2010
4,661.00		249. CA INC PROPERTY TYPE: SECURITIES 4,281.00				01/27/2009 380.00	07/12/2010
4,947.00		171. KRAFT FOODS INC-A COM PROPERTY TYPE: SECURITIES 4,796.00				07/27/2009 151.00	07/12/2010
5,464.00		79. MCDONALDS CORP COM PROPERTY TYPE: SECURITIES 4,175.00				01/27/2009 1,289.00	07/12/2010
5,850.00		175. VIACOM INC NEW PROPERTY TYPE: SECURITIES 5,187.00				12/03/2009 663.00	07/12/2010
3,161.00		250. AVX CORP NEW COM PROPERTY TYPE: SECURITIES 3,517.00				03/19/2010 -356.00	07/13/2010
5,170.00		140. BMC SOFTWARE INC COM RTS EXP 05/12/ PROPERTY TYPE: SECURITIES 4,167.00				01/27/2009 1,003.00	08/26/2010

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
5,820.00		166. GENERAL MILLS INC COM W/RTS EXP 02/ PROPERTY TYPE: SECURITIES 5,160.00					01/27/2009 660.00	08/26/2010
61,696.00		4617.968 VANGUARD INFLAT PROTECT SEC FD PROPERTY TYPE: SECURITIES 55,000.00					05/24/2007 6,696.00	09/28/2010
6,967.00		163. CENTURYTEL INC COM PROPERTY TYPE: SECURITIES 4,177.00					02/12/2009 2,790.00	11/04/2010
5,381.00		55. FLOWSERVE CORP COM PROPERTY TYPE: SECURITIES 4,803.00					08/10/2009 578.00	11/04/2010
1,639.00		105. FORD MTR CO DEL COM PROPERTY TYPE: SECURITIES 1,158.00					07/12/2010 481.00	11/04/2010
3,852.00		96. COVIDIEN PLC PROPERTY TYPE: SECURITIES 5,259.00					09/10/2008 -1,407.00	11/04/2010
3,214.00		90. NOBLE CORPORATION PROPERTY TYPE: SECURITIES 5,936.00					06/11/2008 -2,722.00	11/04/2010
5,171.00		31. GOLDMAN SACHS GRP INC COM PROPERTY TYPE: SECURITIES 5,167.00					12/03/2009 4.00	11/10/2010
3,101.00		37. NIKE INC CL B COM PROPERTY TYPE: SECURITIES 2,019.00					05/24/2007 1,082.00	11/10/2010

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN (LOSS) .....							----- 20,449. =====	

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
AVX CORP NEW COM	17.	17.
AMERIPRISE FINANCIAL INC	93.	93.
ARCHER DANIELS MIDLAND CO COM	23.	23.
ARTIO INTERNATIONAL EQ FD 3# 1529	1,732.	1,732.
BAXTER INTL INC COM W/RIGHTS ATTACHED EX	75.	75.
BRISTOL-MYERS SQUIBB CO COM	239.	239.
BUCYRUS INTL INC NEW	4.	4.
CVS CORP COM	15.	15.
CA INC	20.	20.
CARDINAL HLTH INC COM	85.	85.
CENTURYTEL INC COM	355.	355.
CHEVRONTXACO CORP COM	210.	210.
CIMAREX ENERGY CO	11.	11.
CONOCOPHILLIPS COM	185.	185.
CONSTELLATION ENERGY GRP INC COM	73.	73.
CORNING INC COM W/RTS EXP 07/15/2006	28.	28.
DISCOVER FINANCIAL SERVICES	6.	6.
DODGE & COX INT'L STOCK FD # 1048	1,223.	1,223.
DODGE & COX INCOME FD COM	1,771.	1,771.
EII INTERNATIONAL PROPERTY-I #30	1,154.	1,154.
EVERGREEN INTL BOND FUND CL I (FD #460)	1,523.	1,523.
EVERGREEN TREASURY MONEY MARKET FUND CL	7.	7.
EXXON MOBIL CORP COM	101.	101.
FED NATL MTG ASSN 5.000% 3/15/16	2,023.	2,023.
FED NATL MTG ASSN 5.125% 4/15/11	791.	783.
FLOWERVE CORP COM	63.	63.
GAP INC COM	102.	102.
GENERAL ELEC CO COM	72.	72.
GENERAL MILLS INC COM W/RTS EXP 02/01/20	128.	128.
GOLDMAN SACHS GRP INC COM	33.	33.
HALLIBURTON CO COM W/RIGHTS EXP 12/15/20	15.	15.
HEWLETT-PACKARD CO COM	43.	43.

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## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
HOME DEPOT INC COM	170.	170.
INTEL CORP COM	152.	152.
INTL BUSINESS MACHINES CORP COM	103.	103.
INTL FLAVORS & FRAGRANCES INC COM	57.	57.
ISHARES MIDCAP INDEX FD	1,575.	1,575.
JP MORGAN CHASE & CO COM	28.	28.
JOHNSON & JOHNSON COM	158.	158.
JPMORGAN HIGH YIELD FUND SS #3580	7,610.	7,610.
KELLOGG CO COM	86.	86.
KRAFT FOODS INC-A COM	149.	149.
MCDONALDS CORP COM	87.	87.
MCKESSON HBOC INC COM	51.	51.
MICROSOFT CORP COM	109.	109.
MUNDER MIDCAP CORE GROWTH FD-Y #32	12.	12.
NIKE INC CL B COM	132.	132.
OCCIDENTAL PETE CORP COM	109.	109.
ORACLE CORP COM	11.	11.
PIMCO FDS PAC INVT MGMT SER LOW DURATION	2,775.	2,775.
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN	4,763.	4,763.
PIMCO EMERG MKTS BD-INST #137	3,038.	2,325.
PNC FINL SVCS GROUP INC COM W/RIGHTS ATT	18.	18.
PFIZER INC COM W/RTS ATTACHED EXP 10/15/	46.	46.
PIMCO COMMODITY REAL RET STRAT-I#45	7,659.	7,659.
PRAXAIR INC COM	35.	35.
PROCTER & GAMBLE CO COM	185.	185.
ROSS STORES INC COM	72.	72.
ROWE T PRICE REAL ESTATE FD COM	2,816.	1,389.
SSGA EMERGING MARKETS FD S #1510	2,219.	2,219.
SEALED AIR CORP NEW COM	112.	112.
STATE STR CORP COM	2.	2.
TEXAS INSTRS INC COM	101.	101.
3M CO COM	141.	141.
TYSON FOODS INC COM CL A	23.	23.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
U S TREASURY NOTES 5.125% 5/15/16	580.	580.
UNITED TECHNOLOGIES CORP COM	143.	143.
UNUMPROVIDENT CORP COM	83.	83.
VANGUARD INFLAT PROTECT SEC FD #119	679.	679.
VIACOM INC NEW	26.	26.
WAL MART STORES INC COM	31.	31.
WELLS FARGO ADV INTL BOND-IS #4705	1,661.	1,661.
COVIDIEN PLC	71.	71.
PARTNERRE LTD COM	150.	150.
ACE LIMITED	151.	151.
NOBLE CORPORATION	80.	80.
TYCO INTERNATIONAL LTD NEW	127.	127.
TYCO ELECTRONICS LTD F	126.	126.
EVERGREEN TREAS MM I CL #749	2.	2.
WF ADV TREAS PLUS MM FD-SVC #454	5.	5.
	-----	-----
TOTAL	50,739.	48,591.
	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
WELLS FARGO FEE	17,407.	17,407.
GOLDEN CAPITAL MANAGEMENT	497.	497.
TOTALS	17,904.	17,904.
	=====	=====

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES		7.
FEDERAL ESTIMATES - PRINCIPAL	485.	
FOREIGN TAXES ON QUALIFIED FOR	524.	524.
FOREIGN TAXES ON NONQUALIFIED	145.	145.
	-----	-----
TOTALS	1,161.	676.
	=====	=====



## FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
256210105 DODGE&COX INCOME FD	35,000.	36,318.
4812C0803 JPMORGAN HI YL #3580	92,618.	95,267.
693390304 PIMCO LOW DURATN #36	170,000.	169,348.
693390700 PIMCO TOT RTN FD #35	231,280.	224,696.
94985D582 WELLS FARGO FD #4705	59,658.	63,943.
693391559 PIMCO EMERG MKT #137	58,218.	57,905.
464287499 ISHARES MIDCAP INDEX	113,485.	105,311.
626124242 MUNDER MIDCAP #32	25,000.	41,208.
780905451 ROYCE PREMIER FD#566	47,000.	54,726.
784924425 SSGA EMERG MKT #1510	88,448.	98,268.
94985D244 WELLS FARGO FD #4132	46,000.	54,526.
04315J837 ARTIO INTL FD #1529	105,000.	78,766.
256206103 DODGE&COX INTL FD	105,000.	77,872.
26852M105 EII INTERNATL FD #30	78,000.	54,794.
722005667 PIMCO COMMOD FD #45	66,000.	90,141.
779919109 TROWE RE FD #122	120,956.	110,831.
345370860 FORD MOTOR CO	3,958.	6,028.
364760108 GAP INC	4,240.	5,092.
437076102 HOME DEPOT INC	4,173.	6,311.
654106103 NIKE INC CL B	3,519.	5,638.
778296103 ROSS STORES INC	3,908.	7,147.
126650100 CVS CAREMARK CORP	4,800.	5,946.
742718109 PROCTER & GAMBLE CO	5,940.	6,304.
902494103 TYSON FOODS INC CL A	5,050.	4,942.
931142103 WALMART STORES INC	5,193.	5,501.
166764100 CHEVRON CORP	6,287.	6,753.
171798101 CIMAREX ENERGY CO	4,983.	5,932.
20825C104 CONOCOPHILLIPS	5,540.	5,857.
30231G102 EXXON MOBIL CORP	4,826.	4,241.

## THE HERBERT AND PEGGY STOCKHAM FOUNDATION

63-1254357

## FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
406216101 HALLIBURTON CO	5,582.	6,941.
674599105 OCCIDENTAL PETE CORP	4,582.	7,554.
03076C106 AMERIPRISE FINL INC	4,959.	7,539.
172967101 CITIGROUP INC	5,458.	5,931.
46625H100 JPMORGAN CHASE & CO	4,369.	5,981.
693475105 PNC FINANCIAL SVCS	5,509.	5,343.
91529Y106 UNUM GROUP	5,057.	5,764.
031162100 AMGEN INC	4,415.	4,227.
110122108 BRISTOL MYERS SQUIBB	4,313.	4,952.
14149Y108 CARDINAL HEALTH INC	5,336.	5,747.
345838106 FOREST LABS INC	5,417.	5,117.
478160104 JOHNSON & JOHNSON	827.	4,639.
58155Q103 MCKESSON CORP	5,211.	5,982.
58405U102 MEDCO HEALTH SOLUTNS	5,071.	5,453.
235851102 DANAHER CORP	5,503.	5,802.
369604103 GENERAL ELECTRIC CO	5,051.	5,963.
907818108 UNION PACIFIC CORP	5,524.	5,652.
913017109 UNITED TECHNOLOGIES	2,394.	6,612.
88579Y101 3M CO	5,136.	5,782.
037833100 APPLE INC	4,956.	6,129.
17275R102 CISCO SYSTEMS INC	4,557.	4,795.
219350105 CORNING INC	4,939.	5,468.
428236103 HEWLETT PACKARD CO	2,100.	4,547.
44919P508 IAC INTERACTIVE CORP	4,822.	5,597.
458140100 INTEL CORP	6,394.	5,068.
459200101 IBM CORP	3,437.	6,017.
594918104 MICROSOFT CORP	4,844.	5,526.
68389X105 ORACLE CORP	5,018.	6,730.
882508104 TEXAS INSTRUMENTS	4,476.	6,695.

THE HERBERT AND PEGGY STOCKHAM FOUNDATION

63-1254357

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
459506101 INTERNATL FLAVORS	5,530.	6,059.
81211K100 SEALED AIR CORP	4,596.	5,701.
92343V104 VERIZON COMMUNIC	5,441.	5,832.
210371100 CONSTELLATION ENERGY	5,470.	4,656.
H0023R105 ACE LIMITED	6,983.	7,346.
G6852T105 PARTNERRE LTD COM	5,360.	5,866.
H8912P106 TYCO ELECTRONICS LTD	4,927.	6,974.
H89128104 TYCO INTERNATL LTD	5,005.	6,133.
	-----	-----
TOTALS	1,682,649.	1,705,732.
	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES  
=====DESCRIPTION  
-----AMOUNT  
-----

CHANGE OF RECORD KEEPING FROM BOOK TO COST VALUE

69,652.

TOTAL

-----  
69,652.  
=====

THE HERBERT AND PEGGY STOCKHAM FOUNDATION

63-1254357

-----  
STATE(S) WHERE THE FOUNDATION IS REGISTERED  
=====

AL

STATEMENT 10

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

HERBERT STOCKHAM

ADDRESS:

2940 ARGYLE ROAD

BIRMINGHAM, AL 35213

TITLE:

PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

OFFICER NAME:

KATE STOCKHAM

ADDRESS:

6 PEACHTREE STREET

BIRMINGHAM, AL 35213

TITLE:

SECRETARY & TREASURE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

OFFICER NAME:

PEGGY STOCKHAM

ADDRESS:

2940 ARGYLE ROAD

BIRMINGHAM, AL 35213

TITLE:

BOARD MEMBER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

OFFICER NAME:

CAROLINE S. THOMAS

ADDRESS:

3257 ROCKLEDGE ROAD

BIRMINGHAM, AL 35213

TITLE:

BOARD MEMBER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

MARGARET S MILLER

ADDRESS:

501 SEVEN OAKS PARK

BIRMINGHAM, AL 35242

TITLE:

BOARD MEMBER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

THE HERBERT AND PEGGY STOCKHAM FOUNDATION

63-1254357

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:

NONE

STATEMENT 13



THE HERBERT AND PEGGY STOCKHAM FOUNDATION  
FORM 990PF, PART XV - LINES 2a - 2d

63-1254357

=====

RECIPIENT NAME:

HERBERT STOCKHAM

ADDRESS:

2940 ARGYLE ROAD

BIRMINGHAM, AL 35213

FORM, INFORMATION AND MATERIALS:

LETTER FORM

SUBMISSION DEADLINES:

NONE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

NONE

STATEMENT 14

THE HERBERT AND PEGGY STOCKHAM FOUNDATION  
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

63-1254357

RECIPIENT NAME:

MITCHELLS PLACE INC

ADDRESS:

4778 OVERTON ROAD

IRONDALE, AL 35210

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:

CAMP WINNATASKA

ADDRESS:

260 WINNATASKA DRIVE

PELL CITY, AL 35128

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

LITTLE TRAVERSE

CONSERVANCY

ADDRESS:

3264 POWELL ROAD

HARBOR SPRINGS, MI 49740

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 3,000.

STATEMENT 15

RECIPIENT NAME:  
HERITAGE FOUNDATION  
ADDRESS:  
214 MASSACHUSETTS AVE NE  
WASHINGTON, DC 20002  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
CHARITABLE  
FOUNDATION STATUS OF RECIPIENT:  
EXEMPT  
AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:  
INDEPENDENT PRESBYTERIAN  
CHURCH  
ADDRESS:  
3100 HIGHLAND AVE S  
BIRMINGHAM, AL 35205  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
RELIGIOUS  
FOUNDATION STATUS OF RECIPIENT:  
EXEMPT  
AMOUNT OF GRANT PAID ..... 17,000.

RECIPIENT NAME:  
BIG OAK RANCH  
ADDRESS:  
200 BROAD ST. 3RD FLOOR  
GADSDEN, AL 35901  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
CHARITABLE  
FOUNDATION STATUS OF RECIPIENT:  
EXEMPT  
AMOUNT OF GRANT PAID ..... 3,000.

THE HERBERT AND PEGGY STOCKHAM FOUNDATION  
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

63-1254357

RECIPIENT NAME:

HILLSDALE COLLEGE

ADDRESS:

33 E COLLEGE ST

HILLSDALE, MI 49242

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EDUCATIONAL

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

HARBOR SPRINGS AREA

HISTORICAL SOCIETY

ADDRESS:

PO BOX 812

HARBOR SPRINGS, MI 49740

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

MEDIA RESEARCH CENTER

ADDRESS:

325 S PATRICK ST

ALEXANDRIA, VA 22314

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 3,000.

STATEMENT 17

THE HERBERT AND PEGGY STOCKHAM FOUNDATION  
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

63-1254357

RECIPIENT NAME:

SOUTHEASTERN LEGAL  
FOUNDATION

ADDRESS:

2255 SEWELL MILL ROAD  
MARIETTA, GA 30062

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:

NATIONAL RIGHT TO WORK  
LEGAL DEFENSE

ADDRESS:

8001 BRADDOCK RD 600  
SPRINGFIELD, VA 22160

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 5,000.

RECIPIENT NAME:

BIRMINGHAM SOUTHERN  
COLLEGE

ADDRESS:

900 ARKADELPHIA ROAD  
BIRMINGHAM, AL 35254

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EDUCATIONAL

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 4,000.

STATEMENT 18

THE HERBERT AND PEGGY STOCKHAM FOUNDATION  
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

63-1254357

RECIPIENT NAME:

GATEWAY

ADDRESS:

1401 20TH ST S

BIRMINGHAM, AL 35205

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

NON-EXEMPT

AMOUNT OF GRANT PAID ..... 4,000.

RECIPIENT NAME:

YWCA OF BIRMINGHAM

ADDRESS:

309 NORHT 23RD ST

BIRMINGHAM, AL 35203

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

ALTAMONT SCHOOL

ADDRESS:

PO BOX 131429

BIRMINGHAM, AL 35213

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 1,000.

STATEMENT 19

THE HERBERT AND PEGGY STOCKHAM FOUNDATION  
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

63-1254357

RECIPIENT NAME:

PLANNED PARENTHOOD OF  
ALABAMA

ADDRESS:

1211 27TH PLACE SOUTH  
BIRMINGHAM, AL 35205

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 3,000.

RECIPIENT NAME:

FRIENDS OF THE ALABAMA  
ARCHIVES

ADDRESS:

PO BOX 300100  
MONTGOMERY, AL 36130

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:

CORNERSTONE SCHOOLS OF  
ALABAMA

ADDRESS:

6715 W GROVER CLEVELAND BLVD  
HOMOSASSA, FL 34446

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

EDUCATIONAL

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 4,000.

STATEMENT 20

THE HERBERT AND PEGGY STOCKHAM FOUNDATION  
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

63-1254357

RECIPIENT NAME:

INDIAN SPRINGS SCHOOL

ADDRESS:

190 WOODWARD DRIVE

INDIAN SPRINGS, AL 35124

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 4,000.

RECIPIENT NAME:

BETTER BASICS

ADDRESS:

200 BEACON PARKWAY WEST, STE 200

BIRMINGHAM, AL 35209

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

FIRST LIGHT

ADDRESS:

2230 FOURTH AVENUE N

BIRMINGHAM, AL 35203

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 3,000.

STATEMENT 21



THE HERBERT AND PEGGY STOCKHAM FOUNDATION  
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

63-1254357

RECIPIENT NAME:

JARROW MONTESSORI SCHOOL

ADDRESS:

3900 ORANGE COURT

BOULDER, CO 80304

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 2,000.

RECIPIENT NAME:

AGING SERVICES FOUNDATION

OF BOULDER COUNTY

ADDRESS:

PO BOX 471

BOULDER, CO 80306

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 1,000.

RECIPIENT NAME:

HOOVER INSTITUTE

ADDRESS:

STANFORD UNIVERSITY

STANFORD, CA 94305

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

EXEMPT

AMOUNT OF GRANT PAID ..... 2,000.

TOTAL GRANTS PAID:

74,000.

=====

STATEMENT 22

**SCHEDULE D**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

**2010**

Name of estate or trust

THE HERBERT AND PEGGY STOCKHAM FOUNDATION

Employer identification number

63-1254357

**Note:** Form 5227 filers need to complete *only* Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>1a</b> SHORT-TERM CAPITAL GAIN DIVIDENDS		STMT 1			10,779.

<b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .	<b>1b</b>	-924.
<b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .	<b>2</b>	
<b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>3</b>	
<b>4</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet . . . . .	<b>4</b>	( )
<b>5</b> <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back . . . . . ▶	<b>5</b>	9,855.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>6a</b> LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			4,981.

<b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .	<b>6b</b>	5,613.
<b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .	<b>7</b>	
<b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>8</b>	
<b>9</b> Capital gain distributions . . . . .	<b>9</b>	
<b>10</b> Gain from Form 4797, Part I . . . . .	<b>10</b>	
<b>11</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet . . . . .	<b>11</b>	( )
<b>12</b> <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back . . . . . ▶	<b>12</b>	10,594.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
<b>Caution: Read the instructions before completing this part.</b>				
13 Net short-term gain or (loss)	13			9,855.
14 Net long-term gain or (loss):				
a Total for year	14a			10,594.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c 28% rate gain	14c			
15 Total net gain or (loss). Combine lines 13 and 14	15			20,449.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	16 ( )
a The loss on line 15, column (3) or	
b \$3,000	

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

### Part V Tax Computation Using Maximum Capital Gains Rates

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20 Add lines 18 and 19	20	
21 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-	21	
22 Subtract line 21 from line 20. If zero or less, enter -0-	22	
23 Subtract line 22 from line 17. If zero or less, enter -0-	23	
24 Enter the smaller of the amount on line 17 or \$2,300	24	
25 Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23	25	
26 Subtract line 25 from line 24	26	
27 Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27	
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29 Subtract line 28 from line 27	29	
30 Multiply line 29 by 15% (.15)	30	
31 Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32 Add lines 30 and 31	32	
33 Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2010

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2010

Name of estate or trust

Employer identification number

THE HERBERT AND PEGGY STOCKHAM FOUNDATION

63-1254357

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

<b>1b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 1b	-924.00
---	---------

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

Schedule D-1 (Form 1041) 2010

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

THE HERBERT AND PEGGY STOCKHAM FOUNDATION

63-1254357

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 100000. FED NATL MTG ASSN 5.000% 3/15/16	09/14/2006	01/20/2010	110,484.00	99,499.00	10,985.00
50000. FED NATL MTG ASSN 5.125% 4/15/11	05/02/2006	02/04/2010	52,717.00	49,895.00	2,822.00
50000. U S TREASURY NOTES 5.125% 5/15/16	05/25/2006	02/04/2010	56,680.00	50,297.00	6,383.00
156. WATSON PHARMACEUTICAL S INC COM	01/27/2009	03/18/2010	6,332.00	4,378.00	1,954.00
126. ACCENTURE PLC	01/27/2009	03/18/2010	5,310.00	4,063.00	1,247.00
1307.096 DREYFUS/THE BOSTON CO S/C - I #6941	01/16/2009	03/30/2010	62,296.00	65,000.00	-2,704.00
2996.032 DREYFUS/THE BOSTON CA S/C - I #6944	01/16/2009	03/30/2010	63,606.00	65,000.00	-1,394.00
1431.127 EVERGREEN INTL BOND FUND CL I (FD #460)	05/24/2007	03/30/2010	16,000.00	15,342.00	658.00
180. ISHARES MIDCAP INDEX FD	05/24/2007	03/30/2010	16,167.00	19,737.00	-3,570.00
1503.76 PIMCO EMERG MKTS BD-INST #137	05/24/2007	03/30/2010	16,000.00	16,799.00	-799.00
1563.518 ROWE T PRICE REAL ESTATE FD COM	05/24/2007	03/30/2010	24,000.00	37,403.00	-13,403.00
1212.121 SSGA EMERGING MARKETS FD S #1510	05/24/2007	03/30/2010	24,000.00	31,552.00	-7,552.00
152. ARCHER DANIELS MIDLAND CO COM	01/28/2009	04/16/2010	4,311.00	4,258.00	53.00
73. GAP INC COM	09/10/2008	04/16/2010	1,831.00	1,413.00	418.00
257. PFIZER INC COM W/RTS ATTACHED EXP 10/15/2007	01/27/2009	04/16/2010	4,342.00	4,680.00	-338.00
77. PRAXAIR INC COM	01/27/2009	05/04/2010	6,365.00	4,270.00	2,095.00
111. STATE STR CORP COM	12/15/2008	05/04/2010	4,803.00	3,913.00	890.00
114. KELLOGG CO COM	01/27/2009	06/23/2010	6,057.00	5,629.00	428.00
169. AGILENT TECHNOLOGIES INC COM	05/22/2007	07/12/2010	4,761.00	6,503.00	-1,742.00
86. BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/	01/27/2009	07/12/2010	3,754.00	4,921.00	-1,167.00
249. CA INC	01/27/2009	07/12/2010	4,661.00	4,281.00	380.00
79. MCDONALDS CORP COM	01/27/2009	07/12/2010	5,464.00	4,175.00	1,289.00
140. BMC SOFTWARE INC COM RTS EXP 05/12/2005	01/27/2009	08/26/2010	5,170.00	4,167.00	1,003.00
166. GENERAL MILLS INC COM W/RTS EXP 02/01/2006	01/27/2009	08/26/2010	5,820.00	5,160.00	660.00
4617.968 VANGUARD INFLAT PROTECT SEC FD #119	05/24/2007	09/28/2010	61,696.00	55,000.00	6,696.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2010

Employer identification number

63-1254357

[illegible]

Schedule D-1 (Form 1041) 2010

FEDERAL CAPITAL GAIN DIVIDENDS  
=====SHORT-TERM CAPITAL GAIN DIVIDENDS  
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JPMORGAN HIGH YIELD FUND SS #3580	167.00
PIMCO FDS PAC INVT MGMT SER LOW DURATION FD I	2,589.00
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD I	7,663.00
WELLS FARGO ADV INTL BOND-IS #4705	360.00

TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS	10,779.00
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LONG-TERM CAPITAL GAIN DIVIDENDS  
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## 15% RATE CAPITAL GAIN DIVIDENDS

JPMORGAN HIGH YIELD FUND SS #3580	17.00
PIMCO FDS PAC INVT MGMT SER LOW DURATION FD I	157.00
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD I	516.00
ROYCE PREMIER FUND W CLASS #566	3,504.00
WELLS FARGO ADV INTL BOND-IS #4705	720.00
	67.00

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS	4,981.00
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## TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

4,981.00

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Form **8868**

(Rev. January 2011)

Department of the Treasury  
Internal Revenue Service**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.***Type or  
print**File by the  
due date for  
filing your  
return See  
instructionsName of exempt organization **THE HERBERT AND PEGGY STOCKHAM  
FOUNDATION**Employer identification number  
**63-1254357**

Number, street, and room or suite no. If a P.O. box, see instructions

**1525 WEST WT HARRIS BLVD**

City, town or post office, state, and ZIP code. For a foreign address, see instructions

**CHARLOTTE, NC 28288-5709**Enter the Return code for the return that this application is for (file a separate application for each return)  

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ **WELLS FARGO BANK, NA**

Telephone No. ▶ **(336) 747-8162**FAX No. ▶ **336-747-8722**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)  . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **08/15**, 20 **11**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☒ **X** calendar year **2010** or
- ▶ ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a \$	1,009.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	664.
c <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	345.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.**For Paperwork Reduction Act Notice, see Instructions.**Form **8868** (Rev 1-2011)