



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , and ending

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation THE MICK FOUNDATION		A Employer identification number 62-1491417
Number and street (or P O box number if mail is not delivered to street address) 9230 OLD SMYRNA ROAD	Room/suite	B Telephone number 615-373-2470
City or town, state, and ZIP code BRENTWOOD, TN 37027		C If exemption application is pending, check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,089,400. (Part I, column (d) must be on cash basis)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		628.	628.		STATEMENT 1
4 Dividends and interest from securities		78,070.	78,070.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		135,587.			
b Gross sales price for all assets on line 6a 1,676,533.					
7 Capital gain net income (from Part IV, line 2)			135,587.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		5,502.	5,502.		STATEMENT 3
12 Total Add lines 1 through 11		219,787.	219,787.		
13 Compensation of officers, directors, trustees, etc.		70,000.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		4,200.	0.		0.
c Other professional fees STMT 5		11,206.	11,206.		0.
17 Interest					
18 Taxes STMT 6		6,143.	776.		0.
19 Depreciation and depletion					
20 Occupancy		10,108.	0.		0.
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		787.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		102,444.	11,982.		0.
25 Contributions, gifts, grants paid		170,000.			170,000.
26 Total expenses and disbursements Add lines 24 and 25		272,444.	11,982.		170,000.
27 Subtract line 26 from line 12		-52,657.			
a Excess of revenue over expenses and disbursements			207,805.		
b Net investment income (if negative enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		124,839.	137,263.	137,263.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
		b Investments - corporate stock STMT 8		1,426,414.	1,341,523.	1,675,203.
		c Investments - corporate bonds				
Liabilities	11	Investments - land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other STMT 9		2,094,026.	2,119,056.	2,276,934.
	14	Land, buildings, and equipment, basis ▶				
		Less: accumulated depreciation ▶				
	15	Other assets (describe ▶)				
Net Assets or Fund Balances	16	Total assets (to be completed by all filers)		3,645,279.	3,597,842.	4,089,400.
	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
Net Assets or Fund Balances	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ STATEMENT 10)		0.	5,440.	
	23	Total liabilities (add lines 17 through 22)		0.	5,440.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		3,645,279.	3,592,402.		
30	Total net assets or fund balances		3,645,279.	3,592,402.		
31	Total liabilities and net assets/fund balances		3,645,279.	3,597,842.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,645,279.
2	Enter amount from Part I, line 27a	2	-52,657.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	3,592,622.
5	Decreases not included in line 2 (itemize) ▶ ACCRUED INCOME TIMING DIFFERENCE	5	220.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,592,402.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a JP MORGAN - SEE ATTACHED STATEMENT	P	VARIOUS	VARIOUS
b JP MORGAN - SEE ATTACHED STATEMENT	P	VARIOUS	VARIOUS
c JP MORGAN - CAPITAL GAIN DISTRIBUTIONS	P		
d SPDR GOLD TRUST - 5,940 SHS	P	VARIOUS	VARIOUS
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,121,790.		1,032,694.	89,096.
b 551,437.		508,021.	43,416.
c 3,075.			3,075.
d 231.		231.	0.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			89,096.
b			43,416.
c			3,075.
d			0.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	135,587.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	74,800.	3,602,288.	.020765
2008	97,280.	4,290,677.	.022672
2007	254,293.	5,215,249.	.048760
2006	256,630.	5,061,841.	.050699
2005	249,897.	4,950,166.	.050483

2 Total of line 1, column (d)	2	.193379
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.038676
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	3,923,575.
5 Multiply line 4 by line 3	5	151,748.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,078.
7 Add lines 5 and 6	7	153,826.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	170,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	2,078.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	2,078.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	2,078.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a 1,274.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 5,500.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	6,774.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	6.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	4,690.
11 Enter the amount of line 10 to be Credited to 2011 estimated tax	4,690. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) TN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.MICKFOUNDATION.COM	13	X	
14	The books are in care of ► JOHN MICK Telephone no ► (615) 373-2470 Located at ► 9230 OLD SMYRNA RD., , BRENTWOOD, TN ZIP+4 ► 37027			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROGER E MICK 9230 OLD SMYRNA ROAD BRENTWOOD, TN 37027	DIR/PRESIDENT 1.00	0.	0.	0.
BARBARA D MICK 9230 OLD SMYRNA ROAD BRENTWOOD, TN 37027	DIR/SECRETARY 1.00	0.	0.	0.
JOHN R MICK 9230 OLD SMYRNA ROAD BRENTWOOD, TN 37027	DIR/VICE PRESIDENT 40.00	70,000.	0.	0.
JENNIFER MICK MCKENZIE 9230 OLD SMYRNA ROAD BRENTWOOD, TN 37027	DIR/VICE PRESIDENT 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2010)

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
	0.
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,957,653.
b	Average of monthly cash balances	1b	25,672.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,983,325.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,983,325.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	59,750.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,923,575.
6	Minimum investment return. Enter 5% of line 5	6	196,179.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	196,179.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	2,078.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,078.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	194,101.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	194,101.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	194,101.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	170,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	170,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,078.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	167,922.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				194,101.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			152,591.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 170,000.				
a Applied to 2009, but not more than line 2a			152,591.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				17,409.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				176,692.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

The Mick Foundation

EIN: 62-1491417

12/31/2010

ATTACHMENT: Foundation Grants Paid

Name	Purpose	Amount
Agape	CHARITABLE	5,000
Best Buddies	CHARITABLE	5,000
Brentwood Blaze - Brentwood, Tennessee	CHARITABLE	2,500
Brentwood Library Foundation	CHARITABLE	5,000
Camp Sycamore Creek	CHARITABLE	5,000
Franklin Road Academy	CHARITABLE	16,450
Hands on Nashville	CHARITABLE	5,000
Historic Brentwood	CHARITABLE	5,000
Hope Clinic	CHARITABLE	5,000
Hospital Hospitality House	CHARITABLE	5,000
Interfaith Dental Clinic	CHARITABLE	5,000
Junior Achievement	CHARITABLE	20,000
Kyle Hutchison Foundation	CHARITABLE	2,500
March of Dimes	CHARITABLE	5,000
Nashville Children's Alliance	CHARITABLE	5,000
Nashville Inner City Ministries	CHARITABLE	10,000
Nite of Jade	CHARITABLE	8,000
Oasis Center	CHARITABLE	5,000
STARS	CHARITABLE	550
St. George's	CHARITABLE	10,000
TN Golf Foundation	CHARITABLE	10,000
University of Kentucky - Lexington, Kentucky	CHARITABLE	25,000
Y E S	CHARITABLE	5,000
Total Grants paid for 2010		170,000

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
JP MORGAN - INTEREST	32.
JP MORGAN - OID INCOME	596.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	628.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JP MORGAN	78,070.	0.	78,070.
TOTAL TO FM 990-PF, PART I, LN 4	78,070.	0.	78,070.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN - NON-DIVIDEND DISTRIBUTIONS	602.	602.	
JP MORGAN - OTHER ORDINARY INCOME	4,900.	4,900.	
TOTAL TO FORM 990-PF, PART I, LINE 11	5,502.	5,502.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREP FEES	4,200.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	4,200.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
-------------	-------------------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	11,206.	11,206.		0.
TO FORM 990-PF, PG 1, LN 16C	11,206.	11,206.		0.

FORM 990-PF	TAXES	STATEMENT	6
-------------	-------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL & LOCAL TAXES	5,354.	0.		0.
FOREIGN TAX WITHHELD	776.	776.		0.
STATE & LOCAL TAXES	13.	0.		0.
TO FORM 990-PF, PG 1, LN 18	6,143.	776.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
-------------	----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TELEPHONE	572.	0.		0.
MISCELLANEOUS	75.	0.		0.
WEBSITE HOSTING FEE	140.	0.		0.
TO FORM 990-PF, PG 1, LN 23	787.	0.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
JP MORGAN - SEE STATEMENT	1,341,523.	1,675,203.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,341,523.	1,675,203.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
-------------	-------------------	-----------	---

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
JP MORGAN BOND FUNDS - SEE STATEMENT	FMV	1,598,205.	1,717,109.
JP MORGAN STRUCTURED INVESTMENTS - SEE STATEMENT	FMV	495,851.	535,137.
JP MORGAN OTHER INVESTMENTS - SEE STATEMENT	FMV	25,000.	24,688.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,119,056.	2,276,934.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	10
-------------	-------------------	-----------	----

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL TAX PAYABLE	0.	5,440.
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	5,440.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3 month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3 month automatic extension of time to file (6 months for a corporation required to file Form 990 T), or an additional (not automatic) 3 month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990 T and requesting an automatic 6 month extension check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization THE MICK FOUNDATION	Employer identification number 62-1491417
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P O box, see instructions 9230 OLD SMYRNA ROAD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions BRENTWOOD, TN 37027	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

JOHN MICK

- The books are in the care of ► **9230 OLD SMYRNA RD, - BRENTWOOD, TN 37027**

Telephone No ► **(615) 373-2470**

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3 month (6 months for a corporation required to file Form 990 T) extension of time until **AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension

is for the organization's return for

► ☒ calendar year **2010** or

► ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

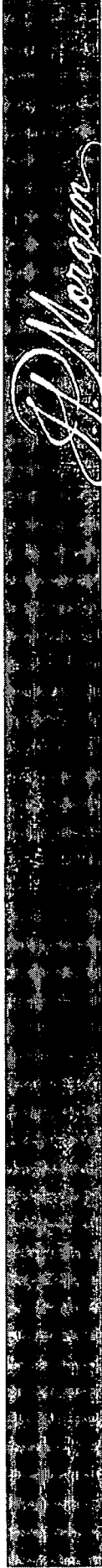
3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	6,774.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1,274.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	5,500.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8-153 EO and Form 8879 EO for payment instructions.

LHA For Paperwork Reduction Act Notice, see Instructions

Form 8868 (Rev. 1-2011)

COPY



THE MICK FOUNDATION

EIN: 62-1491417

12/31/10

Capital Gain and Loss Schedule

THE MICK FOUNDATION

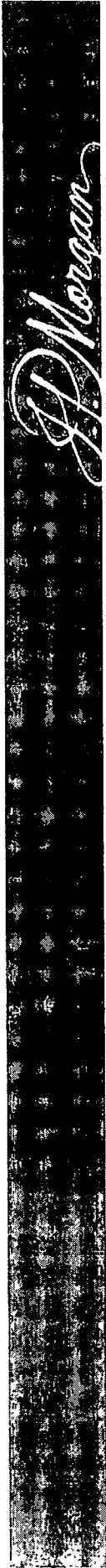
Account Number: A 22677-00-8

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
AOL INC CASH IN LIEU OF FRACTIONAL SHARES	0.180	00/00/00	01/06/10	4.22	00	L 4.22
CHEVRON CORP @ 79 6343 4,778.06 BROKERAGE 1.80 TAX &/OR SEC 13 BARCLAYS CAPITAL LE	60.000	07/08/09	01/06/10	4,776.13	3,990.18	S 785.95
NATIONAL SEMICONDUCTOR CORP @ 15 0226 976.47 BROKERAGE 1.95 TAX &/OR SEC 03 BARCLAYS CAPITAL LE	65.000	04/03/09	01/06/10	974.49	768.18	S 206.31
URBAN OUTFITTERS INC @ 35 0618 876.55 BROKERAGE 0.75 TAX &/OR SEC 03 MERRILL LYNCH PROF CLEARING CORP	25.000	01/14/09	01/06/10	875.77	360.97	S 514.80

JP Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
NETAPP INC						
@ 33 0792	35 000	03/23/09	01/08/10	1 156 69	543 62	S 613 07
BROKERAGE	1.157 77					
TAX &/OR SEC	1 05 03					
BARCLAYS CAPITAL LE						
URBAN OUTFITTERS INC						
@ 34 0436	20 000	01/14/09	01/08/10	680 25	288 77	S 391.48
BROKERAGE	680 87					
TAX &/OR SEC	0 60 02					
MERRILL LYNCH PIERCE FENNER & SMIT						
PAYCHEX INC						
@ 31 5664	30 000	07/01/09	01/13/10	946 08	774 09	S 171 99
BROKERAGE	946 99					
TAX &/OR SEC	0 90 02					
MORGAN STANLEY & CO INCORPORATED						

J.P.Morgan



THE MICK FOUNDATION
Account Number: A 22677-00-8

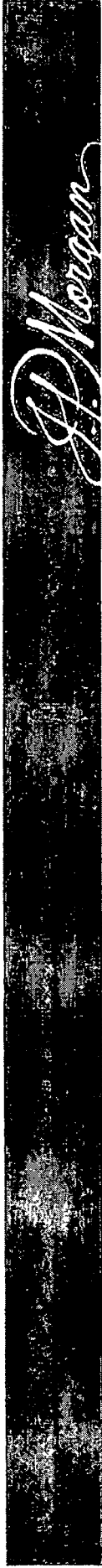
Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Symbol, Description	Qty	Acq Date	Sold Date	Net Proceeds	Tax Cost	Gain/Loss
BRISTOL MYERS SQUIBB CO @ 24 7254 741 76	30 000	07/22/09	01/12/10	740 84	618 38	S 122 46
BROKERAGE 0 90 TAX &/OR SEC 01 LOOP CAPITAL MARKETS LLC						
CATERPILLAR INC @ 57 2143 1 144 29	20 000	04/21/09	01/21/10	1,143 67	519 61	S 624 06
BROKERAGE 0 60 TAX &/OR SEC 02 GOLDMAN SACHS & CO						
GILEAD SCIENCES INC @ 47 655 953 10	20 000	01/07/08	01/27/10	952 68	938 17	L 14 51
BROKERAGE 0 40 TAX &/OR SEC 02 LIQUIDNET INC						

JP Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
APPLIED MATERIALS INC @ 12 431 621 55 BROKERAGE 1 00 TAX &/OR SEC 01 CITIGROUP GLOBAL MKTS INC	50 000	12/15/09	02/01/10	620 54	677 00	S -56 46
CORNING INC @ 18 2002 637 01 BROKERAGE 1 05 TAX &/OR SEC 01 COLLINS STEWART INC	35 000	07/13/04	02/01/10	635 95	419 89	L 216 06
APPLIED MATERIALS INC @ 11 8137 886 03 BROKERAGE 2 25 TAX &/OR SEC 02 GOLDMAN SACHS & CO	75 000	12/11/09	02/04/10	883 76	993 91	S -110 15

JP Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

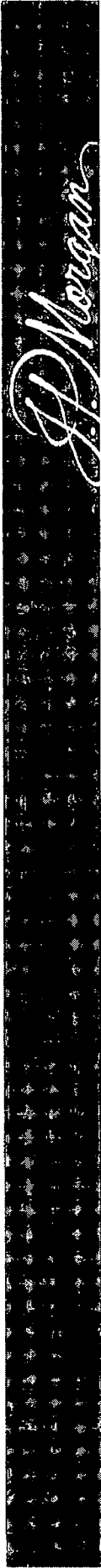
Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BOEING CO						
@ 58 3002 1,457 51	25 000	09/28/05	02/08/10	1,456 74	1,678 08	L -221 34
BROKERAGE 0 75						
TAX &/OR SEC 02						
MORGAN STANLEY & CO INCORPORATED						
WELLPOINT INC						
@ 59 95 899 25	15 000	11/13/09	02/11/10	898 78	787 49	S 111 29
BROKERAGE 0 45						
TAX &/OR SEC 02						
MORGAN STANLEY & CO INCORPORATED						
GILEAD SCIENCES INC						
@ 48 9382 1,957 53	40 000	12/04/08	02/19/10	1,956 70	1 874 32	L 82 38
BROKERAGE 0 80						
TAX &/OR SEC 03						
LIQUIDNET INC						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

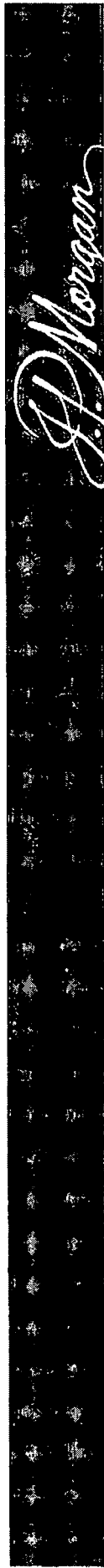
Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Exch Date	Net Proceeds	Tax Cost	Total Gain/Loss
PHILIP MORRIS INTERNATIONAL @ 50 9473 1,018 95 BROKERAGE 0 60 TAX &/OR SEC 02 BROOKVIEW CAPITAL LLC	20 000	05/22/09	03/05/10	1,018 33	857 65	S 160 68
BARC 9M RTY TACTICAL NOTE 07/29/2010 (80% CONTIN BARRIER- 2 0% PMT -7% CAP) INITIAL LEVEL-RTY 10/22/09 605 11 ENTIRE ISSUE CALLED	80,000 000	10/22/09	03/11/10	85,600 00	80,000 00	S 5,600 00
BARC 9M RTY TACTICAL NOTE 07/01/2010 (79% CONTIN BARRIER- 2 0% PMT -7% CAP) INITIAL LEVEL-RTY 09/23/09 613 37 ENTIRE ISSUE CALLED	40,000 000	09/23/09	03/11/10	42 800 00	40,000 00	S 2,800 00

JP Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
GOOGLE INC CL A	4 000	09/19/07	03/16/10	2,265 61	2,176 51	L 89 10
@ 566 4303 BROKERAGE 0 08 TAX &/OR SEC 03						
DEVON ENERGY CORP @ 67 8737 BROKERAGE 0 60 TAX &/OR SEC 02	20,000	02/19/09	03/16/10	1,356 86	1,125 25	L 231 61
SJ LEVINSON & SONS LLC						
COOPER INDUSTRIES PLC CL - A @ 46 0559 BROKERAGE 1 50 TAX &/OR SEC 03	50 000	09/02/09	03/19/10	2,301 27	1,671.08	S 630.19
UBS SECURITIES LLC						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Net Gain/Loss
DEVON ENERGY CORP	30 000	03/17/09	03/19/10	1,927 14	1,419 63	L 507 51
@ 64 2887	1,928 06					
BROKERAGE	0 90					
TAX &/OR SEC	03					
BARCLAYS CAPITAL LE						
CELGENE CORPORATION	25 000	02/05/09	03/23/10	1,606 83	1,330 53	L 276 30
@ 64 3046	1,607 51					
BROKERAGE	0 75					
TAX &/OR SEC	03					
MORGAN STANLEY & CO INCORPORATED						
APPLIED MATERIALS INC	100 000	12/01/09	03/24/10	1,301 27	1,292 95	S 8 32
@ 13 0428	1,304 28					
BROKERAGE	3 00					
TAX &/OR SEC	02					
BARCLAYS CAPITAL LE						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
XILINX CORP	50 000	12/11/07	03/24/10	1,313 50	1,138 29	L 175 21
@ 26 3003	50 000	07/23/09	03/24/10	1,313 50	1,022 52	S 290 98
BROKERAGE	2,630 03					
TAX &/OR SEC	3 00					
UBS SECURITIES LLC	04					
MORGAN STANLEY	25 000	07/16/08	03/25/10	736 95	975 12	L -238 17
@ 29 5083	737 71					
BROKERAGE	0 75					
TAX &/OR SEC	01					
ABEL NOSER CORP						
PEPSICO INC	20 000	01/09/08	03/25/10	1,332 38	1,578 25	L -245 87
@ 66 65	1,333 00					
BROKERAGE	0 60					
TAX &/OR SEC	02					
DEUTSCHE BANC ALEX BROWN INC						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
PROCTER & GAMBLE CO @ 63 79 318 95 BROKERAGE 0 15 TAX &/OR SEC 01 DEUTSCHE BANC ALEX BROWN INC	5 000	01/10/08	03/25/10	318 79	362 68	L -43 89
AOL TIME WARNER INC REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE AOL TIME WARNER INC CLASS ACTION DUE A22677008 THE MICK FOUNDATION ACQ	0 000	00/00/00	00/00/00	82 51	00	L 82 51
PRAXAIR INC @ 83 9695 839 69 BROKERAGE 0 30 TAX &/OR SEC 02 UBS SECURITIES LLC	10 000	10/19/05	04/07/10	839 37	462 07	L 377 30

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note. S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sale Date	Net Proceeds	Tax Cost	Total Capital Loss
STARWOOD HOTELS & RESORTS @ 48 3025 1,449 08 BROKERAGE 0 90 TAX &/OR SEC 03 CITIGROUP GLOBAL MKTS INC	30 000	07/01/09	04/07/10	1,448 15	646 75	S 801 40
TRANSOCEAN INC @ 85 6423 1,284 63 BROKERAGE 0 45 TAX &/OR SEC 03 CREDIT SUISSE FIRST BOSTON LLC	15 000	11/20/09	04/12/10	1,284 15	1,263 95	S 20 20
BARC 9M EAFE NOTE 09/14/2010 (83 25% CONTIN BARRIER- 2% PMT -7% CAP) INITIAL LEVEL-12/04/09 SX5E 2910 33 UKX.5322 36 TPX.889 58	40,000 000	12/04/09	04/20/10	42,800 00	40,000 00	S 2,800.00

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Sec. #, Description	Units	Acq. Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BRISTOL MYERS SQUIBB CO	50 000	06/26/08	04/15/10	1,272 56	993 69	L 278 87
@ 25 4818 1,783 73	20 000	07/22/09	04/15/10	509 03	407 40	S 101 63
BROKERAGE 2 10						
TAX &/OR SEC 04						
UBS SECURITIES LLC						
JPMORGAN INTREPID INTERNATIONAL FUND	3,049 170	02/07/06	04/23/10	49,183 19	60,467 25	L -11,284 06
SELECT SHARE CLASS						
FUND # 1321						
JP MORGAN CHASE BANK						
AS SHAREHOLDER SERVICING AGENT						
AT&T INC						
@ 26 20 1,310 00	50 000	01/10/08	04/22/10	1,308 47	1,942 76	L -634 29
BROKERAGE 1 50						
TAX &/OR SEC 03						
MORGAN STANLEY & CO INCORPORATED						

JP Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

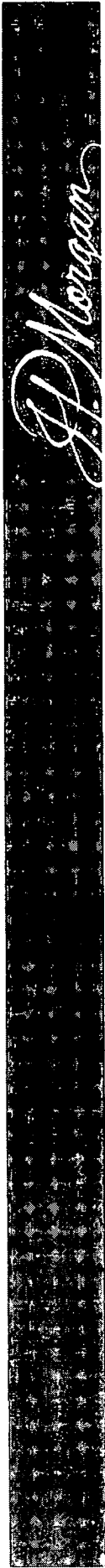
Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Initial	Acq. Date	Sale Date	Net Proceeds	Tax Cost	Total Gain/Loss
PRINCIPAL FINANCIAL GROUP @ 29 6555 889 67 BROKERAGE 0 90 TAX &/OR SEC 02 BARCLAYS CAPITAL LE	30 000	01/08/10	04/22/10	888 75	765 71	S 123 04
WAL MART STORES INC @ 54 0087 1,350 22 BROKERAGE 0 75 TAX &/OR SEC 03 GARDNER RICH & COMPANY	25 000	08/26/98	04/23/10	1,349 44	1,144 94	L 204 50
PRAXAIR INC @ 84 1655 2,104 14 BROKERAGE 0 75 TAX &/OR SEC 04 UBS SECURITIES LLC	25 000	10/19/05	04/28/10	2,103 35	1 155 16	L 948 19

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ANADARKO PETROLEUM CORP @ 64 7133 1,294 27 BROKERAGE 0 60 TAX &/OR SEC 03 BARCLAYS CAPITAL LE	20 000	03/18/10	04/30/10	1,293 64	1,427 22	S -133 58
ANADARKO PETROLEUM CORP @ 64 4259 644 26 BROKERAGE 0 30 TAX &/OR SEC 02 LAZARD FRERES & CO	10 000	03/16/10	04/30/10	643 94	574 52	S 69 42
CREDIT SUISSE BREN AIB 5/06/10 10%BUFFER 2XLEV 13 7%CAP 27 4%MAXPMT DD 04/24/09 TO REDEMPTION	45,000 000	04/24/09	05/06/10	57,330 00	45,000.00	L 12,330 00

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ANADARKO PETROLEUM CORP @ 56 5277 1 130 55 BROKERAGE 0 60 TAX &/OR SEC 02	20 000	04/09/09	05/12/10	1 129 93	862 36	L 267 57
MERRILL LYNCH PIERCE FENNER & SMIT						
MONSANTO CO @ 56 9102 1 422 76 BROKERAGE 0 75 TAX &/OR SEC 03	15 000 10 000	01/03/08 09/10/09	05/12/10 05/12/10	853 19 568 79	1 757 90 784 64	L -904 71 S -215 85
GOLDMAN SACHS & CO						
CORNING INC @ 18 6999 935 00 BROKERAGE 1 50 TAX &/OR SEC 02	50 000	07/13/04	05/13/10	933 48	599 85	L 333 63
FIRST UNION CAPITAL MARKETS						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

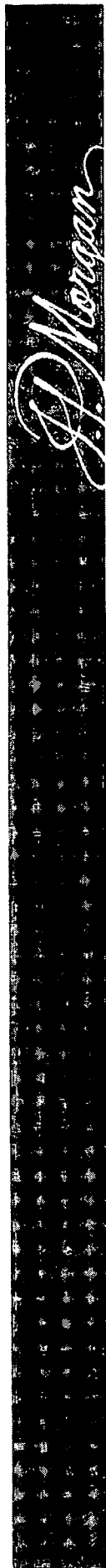
Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	First Purchase	Last Pct.	Realized Gain/Loss
STARWOOD HOTELS & RESORTS	5 000	05/06/09	05/13/10	264.54	104.75	L 159.79
@ 52 9385	20 000	07/01/09	05/13/10	1,058.14	428.34	S 629.80
BROKERAGE						
TAX &/OR SEC						
S G COWEN & CO						
WAL MART STORES INC	25 000	08/26/98	05/13/10	1,315.38	867.00	L 448.38
@ 52 6462						
BROKERAGE						
TAX &/OR SEC						
DEUTSCHE BANC ALEX BROWN INC						
COVIDIEN PLC	25 000	12/17/08	05/21/10	1,039.10	942.25	L 96.85
@ 41 5948						
BROKERAGE						
TAX &/OR SEC						
BARCLAYS CAPITAL LE						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
AFLAC INC	20 000	10/13/09	05/21/10	860 95	902 58	S -41 63
@ 43 0787	861 57					
BROKERAGE	0 60					
TAX &/OR SEC	02					
MERRILL LYNCH PIERCE FENNER & SMIT						
DOW CHEMICAL CO	50 000	03/16/10	05/21/10	1,308 42	1,193 43	S 114 99
@ 26 199	1,309 95					
BROKERAGE	1 50					
TAX &/OR SEC	03					
UBS SECURITIES LLC						
KB HOME	75 000	04/07/10	05/21/10	1,122 33	1,252 24	S -129 91
@ 14 9946	1,124 60					
BROKERAGE	2 25					
TAX &/OR SEC	02					
CREDIT SUISSE FIRST BOSTON LLC						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BAKER HUGHES INC @ 40 2777 604 17 BROKERAGE 0 45 TAX &/OR SEC 02 BLAYLOCK & CO INC	15 000	01/06/10	05/27/10	603.70	688 47	S -84 77
WAL MART STORES INC @ 50 4442 252 22 BROKERAGE 0 15 TAX &/OR SEC 01 BLAYLOCK & CO INC	5 000	08/26/98	05/27/10	252 06	173 40	L 78 66
SCHLUMBERGER LTD @ 56 8775 1,421 94 BROKERAGE 0 75 TAX &/OR SEC 03 DEUTSCHE BANC ALEX BROWN INC	25 000	04/07/10	05/28/10	1,421 16	1,694 20	S -273.04

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
DOW CHEMICAL CO @ 25 7295 2,572 95 BROKERAGE 2 00 TAX &/OR SEC 05 SANFORD C BERNSTEIN & CO INC (SCB	100 000	05/22/09	06/01/10	2,570 90	1 304 66	L 1,266 24
DOW CHEMICAL CO @ 26 1224 130 61 BROKERAGE 0 15 TAX &/OR SEC 01 FIRST UNION CAPITAL MARKETS	5 000	04/22/09	06/02/10	130 45	64 14	L 66 31
JPMORGAN INTERNATIONAL VALUE FUND SELECT SHARE CLASS FUND 1296 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT	6,685 760	10/16/08	06/08/10	70,000 00	89,801 65	L -19,801 65

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
PHILIP MORRIS INTERNATIONAL @ 44 369 1,109 23 BROKERAGE 0 75 TAX &/OR SEC 02 ABEL NOSER CORP	25 000	05/22/09	06/04/10	1,108 46	893 34	L 215 12
ISHARES BARCLAYS TIPS BOND FUND @ 105 85 39,693 75 BROKERAGE 7 50 TAX &/OR SEC 68 J P MORGAN SECURITIES INC	375 000	04/08/09	06/08/10	39,685 57	37,858 30	L 1,827.27
CVS/CAREMARK CORPORATION @ 30 8632 1,543 16 BROKERAGE 1 50 TAX &/OR SEC 03 LAZARD FRERES & CO	25 000 25 000	01/28/09 11/05/09	06/09/10 06/09/10	770 81 770 82	685 35 740 07	L 85 46 S 30 75

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
AFLAC INC	25 000	11/17/09	06/09/10	1,058 69	1 092 61	S -33 92
@ 42 3785	1,059 46					
BROKERAGE	0 75					
TAX &/OR SEC	02					
COLLINS STEWART INC						
CORNING INC	110 000	01/08/09	06/16/10	2,001 05	1,291 19	L 709 86
@ 18 2217	2,004 39					
BROKERAGE	3 30					
TAX &/OR SEC	04					
CREDIT SUISSE FIRST BOSTON LLC						
BAXTER INTERNATIONAL INC						
@ 42 2589	1,056 47					
BROKERAGE	0 75					
TAX &/OR SEC	02					
CREDIT SUISSE FIRST BOSTON LLC	25 000	07/01/09	06/18/10	1 055 70	1,323 61	S -267 91

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq. Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
EMERSON ELECTRIC CO @ 44 6478 2,232 39	50 000	06/08/09	06/24/10	2,230 85	1,741 58	L 489 27
BROKERAGE 1 50						
TAX &/OR SEC 04						
GOLDMAN SACHS & CO						
GOOGLE INC.	2 000	09/07/07	06/24/10	957 20	1,039 37	L -82 17
CL A						
@ 478 6317 957 26						
BROKERAGE 0 04						
TAX &/OR SEC 02						
QWEST COMMUNICATIONS INTERNATIONAL INC	0 000	00/00/00	00/00/00	139.83	00	L 139 83
REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE QWEST COMMUNICATIONS INTERNATIONAL CLASS						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
NASDAQ OMX GROUP INC @ 17 6919 884 60 BROKERAGE 1 50 TAX &/OR SEC 02 FRIEDMAN BILLINGS & RAMSEY	50 000	01/15/10	07/01/10	883 08	1,013.85	S -130 77
NORFOLK SOUTHERN CORP @ 52 9413 1,058 83 BROKERAGE 0 60 TAX &/OR SEC 02 UBS SECURITIES LLC	20 000	01/12/09	07/01/10	1,058 21	850 29	L 207 92
WORLDCOM INC-MCI GROUP REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE WORLDCOM INC-MCI GROUP CLASS ACTION DUE A22677008 THE MICK FOUNDATION ACQ	0 000	00/00/00	00/00/00	20 14	.00	L 20 14

J.P.Morgan



THE MICK FOUNDATION
Account Number: A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
TOLL BROTHERS INC @ 16 4789 411 97 BROKERAGE 0 75 TAX &/OR SEC 01 GOLDMAN SACHS & CO	25 000	05/21/10	07/08/10	411 21	514.38	S -103 17
BAXTER INTERNATIONAL INC @ 43 8336 1,095 84 BROKERAGE 0 75 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT	25 000	06/05/09	07/09/10	1,095 07	1,191.53	L -96 46
FRONTIER COMMUNICATIONS CORPORATION @ 7 2736 269 12 BROKERAGE 1 11 TAX &/OR SEC 01 GOLDMAN SACHS & CO	37 000	07/07/97	07/09/10	268 00	336 90	L -68 90
FRONTIER COMMUNICATIONS CORPORATION CASH IN LIEU OF FRACTIONAL SHARES	0 210	00/00/00	07/22/10	1 50	00	L 1 50

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sol Date	Net Proceeds	Tax Cost	Total Gain/Loss
EDISON INTERNATIONAL @ 32 9954 1,649 77 BROKERAGE 1 50 TAX &/OR SEC 03 GOLDMAN SACHS & CO	50 000	03/23/06	07/20/10	1 648 24	2,145 73	L -497 49
SYSCO CORP @ 30 2801 1,514 01 BROKERAGE 1 50 TAX &/OR SEC 03 MORGAN STANLEY & CO INCORPORATED	25 000 25 000	05/02/08 04/26/10	07/20/10 07/20/10	756 24 756 24	790 35 794 47	L -34 11 S -38.23
RENAISSANCERE HOLDING LTD REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE RENAISSANCERE HOLDING LTD CLASS ACTION DUE A22677008 THE MICK	0 000	00/00/00	00/00/00	31 86	00	L 31 86

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BANK OF NEW YORK MELLON CORP @ 25 4116 1,270 58 BROKERAGE 1 50 TAX &/OR SEC 03 CREDIT SUISSE FIRST BOSTON LLC	50 000	01/08/08	07/23/10	1,269 05	2,438 93	L -1,169 88
EOG RESOURCES INC @ 101 8942 509 47 BROKERAGE 0 10 TAX &/OR SEC 01 UBS SECURITIES LLC	5 000	04/12/10	07/23/10	509 36	534 01	S -24 65
EDISON INTERNATIONAL @ 33 6925 1,684 63 BROKERAGE 1 50 TAX &/OR SEC 03 DAIN RAUSCHER INCORPORATED	25 000 25 000	05/13/09 09/10/09	07/28/10 07/28/10	841 55 841 55	732 78 837 86	L 108 77 S 3 69

JP Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note: S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BARCLAYS BREN GSCI OIL 8/05/10	30,000 000	02/02/09	08/05/10	43,533 05	30,000 00	L 13,533 05
GSCITM CRUDE OIL EXCESS RETURN INDEX, 15% BUFFER, 1 66X LEVERAGE, 58 10% MAX RET, DD 02/05/09						
HEWLETT-PACKARD CO @ 42 8445 2 142 23 BROKERAGE 1 50 TAX &/OR SEC 04 CREDIT SUISSE FIRST BOSTON LLC	50 000	03/18/09	08/09/10	2,140 69	1,913 40	L 227 29
BARCLAYS BREN S&P GSCI AG 4/28/11	35,000 000	04/16/09	08/11/10	39,217 50	35 000 00	L 4 217 50
S&P GSCI AG EXCESS RET INDEX BUFFER 20%, MAX RETURN 38 75% DOWNSIDE LEVERAGE 1 25, DD 04/22/09						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq. Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
DEERE & CO	15 000	04/28/10	08/11/10	979 44	814 37	S 165 07
@ 65 3271						
BROKERAGE						
TAX &/OR SEC						
GOLDMAN SACHS & CO						
EXXON MOBIL CORP	25 000	01/06/10	08/11/10	1,510 29	1,753 25	S -242 96
@ 60 4426						
BROKERAGE						
TAX &/OR SEC						
BARCLAYS CAPITAL LE						
SOUTHWESTERN ENERGY CO	25 000	06/08/09	08/11/10	881 53	1,069 97	L -188 44
@ 35.2921						
BROKERAGE						
TAX &/OR SEC						
CITIGROUP GLOBAL MKTS INC						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

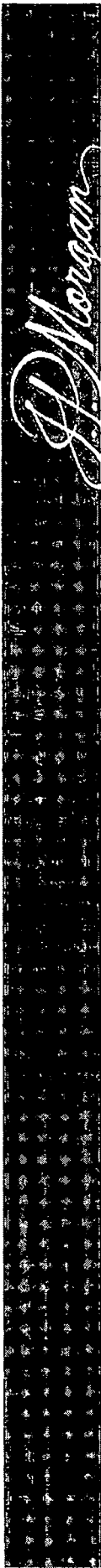
Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
GOOGLE INC						
CL A						
@ 489 0857	3 000	09/07/07	08/13/10	1,467 14	1,559 06	L -91 92
BROKERAGE						
TAX &/OR SEC						
HEWLETT-PACKARD CO						
@ 40 4456	35 000	03/18/09	08/13/10	1,414 52	969 61	L 444 91
BROKERAGE						
TAX &/OR SEC						
CITIGROUP GLOBAL MKTS INC						
NYSE EURONEXT						
@ 29 1743	50 000	07/01/10	08/18/10	1,457 19	1,373 16	S 84.03
BROKERAGE						
TAX &/OR SEC						
MERRILL LYNCH PIERCE FENNER & SMIT						

J.P.Morgan



THE MICK FOUNDATION
Account Number: A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceed*	Tax Cost	Total Gain/Loss
WALMART STORES INC @ 51 00 1.275 00 BROKERAGE 0 75 TAX &/OR SEC 03 MORGAN STANLEY & CO INCORPORATED	25 000	08/26/98	08/18/10	1,274 22	867 00	L 407 22
DB SPX BREN 08/26/10 (10%BUFFER- 2XLEV-8 13%CAP-16 26%MAXPYMT) INITIAL LEVEL-8/07/09.SPX 1010 48 TO REDEMPTION	40,000 000	08/07/09	08/26/10	45,525 92	40,000 00	L 5,525 92
EATON VANCE SPL INVT TR VALUE FD CL I	9,536 780	10/17/08	09/10/10	155,831 07	140,000 00	L 15,831 07
IVY LARGE CAP GROWTH FUND - I	7,011 860	04/22/09	09/10/10	80,426 10	65,000 00	L 15,426 10

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

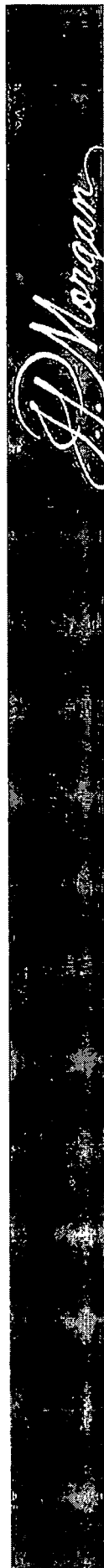
Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sell Date	Acq Price	Sell Price	Tax Cost	Realized Gain/Loss
JPMORGAN ASIA EQUITY FUND SELECT SHARE CLASS FUND 1134	3,017.580	04/11/07	09/10/10	99,610.61		93,046.27	L 6,564.34
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT							
DEERE & CO	10,000	09/01/09	09/10/10	677.25		427.26	L 249.99
@ 67.7561	5,000	10/15/09	09/10/10	338.62		218.46	S 120.16
BROKERAGE							
TAX &/OR SEC							
GOLDMAN SACHS & CO							
HEWLETT-PACKARD CO	25,000	08/18/05	09/10/10	953.98		666.07	L 287.91
@ 38.19							
BROKERAGE							
TAX &/OR SEC							
STIFEL NICOLAUS & CO INC							

JP Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ISHARES RUSSELL MIDCAP INDEX FUND @ 87 0656 60.162 33	691 000	09/11/09	09/10/10	60,140 58	53,307 81	S 6,832 77
BROKERAGE 20 73						
TAX &/OR SEC 1 02						
SANFORD C BERNSTEIN & CO INC (SCB						
HSBC EAFE BREN 09/23/10	50,000 000	09/04/09	09/23/10	52,254 77	50,000 00	L 2,254 77
(10%BUFFER- 2XLEV-7%CAP-14%MAXPYMT)						
INITIAL LEVEL-9/10/09 SX5E 2733 54						
UKX 4851 70 TPX 935 74						
INTERNATIONAL GAME TECHNOLOGY @ 15 1139 755 70	50 000	06/24/09	09/21/10	754 18	773 62	L -19 44
BROKERAGE 1 50						
TAX &/OR SEC 02						
GOLDMAN SACHS & CO.						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note: S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
INTERSIL CORP CL A	50 000	05/27/10	09/21/10	550 75	671 40	S -120 65
@ 11 0452 BROKERAGE TAX &/OR SEC	552 26 1 50 01					
KIMBERLY-CLARK CORP @ 66 3108	25 000	03/25/10	09/21/10	1,656 99	1,566 25	S 90 74
BROKERAGE TAX &/OR SEC UBS SECURITIES LLC	1,657 77 0 75 03					
MONSANTO CO @ 54 2073	20 000	07/01/10	09/21/10	1,083.53	921 41	S 162 12
BROKERAGE TAX &/OR SEC DEUTSCHE BANK ALEX BROWN INC	1,084 15 0 60 02					

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
NVR INC @ 641 9907 BROKERAGE 0 03 TAX &/OR SEC 02 GOLDMAN SACHS & CO	1 000	05/21/10	09/21/10	641 94	659 70	S -17 76
BARC SPX BREN 09/29/10 (10%BUFFER- 2XLEV-8 45%CAP-16 9%MAXPYMT) INITIAL LEVEL-9/11/09 SPX 1042 73 TO REDEMPTION	45,000 000	09/11/09	09/29/10	52,605 00	45,000 00	L 7,605 00
MS 12M ASIA BSKT BREN 09/29/10 (10%BUFFER- 2XLEV-9 7%CAP-19 4%MAXPYMT) INITIAL LEVEL-9/11/09 ASIA 100 00 TO REDEMPTION	25,000 000	09/11/09	09/29/10	29,182.50	25,000 00	L 4,182 50

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

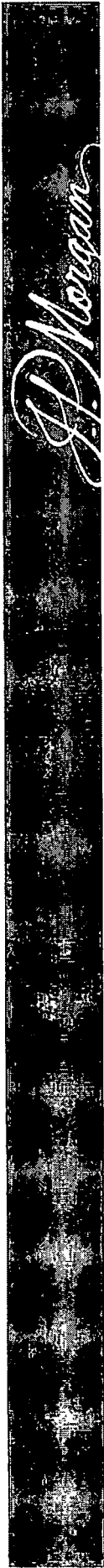
Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Disposition Date	Acq Date	Settle Date	Net Proceeds	Tax Cost	Realized Gain/Loss
COCA-COLA CO @ 59 0523 BROKERAGE 1 05 TAX &/OR SEC 04 MERRILL LYNCH PROF CLEARING CORP	35 000 11/20/09	09/28/10	2,065 74	2,009.22	S 56 52
TYCO INTERNATIONAL LTD REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE TYCO INTERNATIONAL LTD CLASS ACTION DUE A22677008 FUTURE DISBURSEMENTS MAY	0 000 00/00/00	00/00/00	125 32	00	L 125 32
CS MARKET PLUS SPX 10/08/10 (30% CONTIN BARRIER-5%PMT-UNCAPPED UPSIDE) INITIAL LEVEL-SPX 04/02/09 834 38 TO REDEMPTION	30,000 000 04/02/09	10/08/10	41 734 50	30,000 00	L 11,734 50

JP Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sale Date	Net Proceeds	Tax Cost	Total Gain/Loss
MERCK AND CO INC	10 000	09/14/09	10/12/10	363 89	327 42	L 36 47
@ 36 4195	40 000	06/09/10	10/12/10	1,455 55	1,452 56	S 2 99
BROKERAGE						
TAX &/OR SEC						
CREDIT SUISSE FIRST BOSTON LLC						
BANK OF NEW YORK MELLON CORP	75 000	04/29/09	10/14/10	1,946 24	2,371 33	L -425 09
@ 25 9804						
BROKERAGE						
TAX &/OR SEC						
FIRST UNION CAPITAL MARKETS						
FREEPORT-MCMORAN COPPER & GOLD INC	15 000	01/10/08	10/18/10	1,448 93	1,434 00	L 14 93
CL B						
@ 96 6275						
BROKERAGE						
TAX &/OR SEC						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

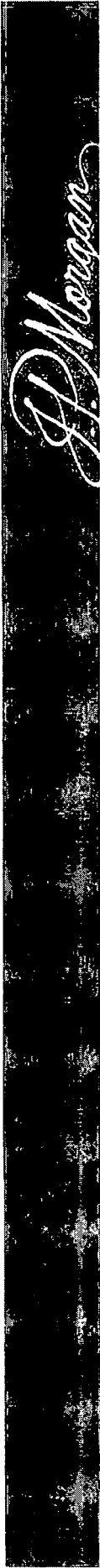
Capital Gain and Loss Schedule

Transactions

Note: S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Symbol, Qty, Date, %/100	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
OCCIDENTAL PETROLEUM CORP @ 80 6396 1,612 79	20 000	04/25/08	10/20/10	1 612 16	1,725 07	L -112 91
BROKERAGE 0 60						
TAX &/OR SEC 03						
OPPENHEIMER & CO INC						
DEERE & CO	10 000	09/01/09	10/22/10	769 79	427 27	L 342 52
@ 77 0107 770 11						
BROKERAGE 0 30						
TAX &/OR SEC 02						
MERRILL LYNCH PIERCE FENNER & SMIT						
NABORS INDUSTRIES LTD						
@ 20 8485 521 21	25 000	05/28/10	11/02/10	520 45	483 98	S 36 47
BROKERAGE 0 75						
TAX &/OR SEC 01						
CITIGROUP GLOBAL MKTS INC						

JP Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
WALT DISNEY CO @ 36 3003 3,630.03 BROKERAGE 3.00 TAX &/OR SEC 08 BARCLAYS CAPITAL LE	100.000	01/09/08	11/02/10	3,626.95	3,042.24	L 584.71
US BANCORP DEL @ 23 8794 1,193.97 BROKERAGE 1.50 TAX &/OR SEC 03 GOLDMAN SACHS & CO	50.000	12/20/07	11/02/10	1,192.44	1,580.32	L -387.88
RENAISSANCE HOLDING LTD REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE RENAISSANCE HOLDING LTD CLASS ACTION DUE A22677008 THE MICK	0.000	00/00/00	00/00/00	42.45	00	L 42.45

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
AETNA INC	25 000	07/28/09	11/04/10	770 98	1,321 42	L -550 44
@ 30 87	25 000	02/11/10	11/04/10	770 99	720 11	S 50 88
BROKERAGE 1 50						
TAX &/OR SEC 03						
CREDIT SUISSE FIRST BOSTON LLC						
BARC GSCI OIL PART ORN 08/15/11	40,000 000	08/06/10	11/15/10	41,853 28	40,000 00	S 1,853 28
LNKD TO SPGCCLP INDEX,						
STRIKE \$509 6554						
8%CPN, 15%BUFFER, 24% MAX RET						
08/06/10						
CS RTY NOTE 04/01/2011						
(78 4% CONTIN BARRIER-0% PMT-7% CAP)	130,000 000	03/11/10	11/15/10	139,100 00	130,000 00	S 9,100 00
INITIAL LEVEL-RTY.03/11/10 677 22						
ENTIRE ISSUE CALLED @ 107 00						
DEERE & CO	10 000	09/01/09	11/10/10	783 93	406 57	L 377 36
@ 78 4246						
BROKERAGE 0 30						
TAX &/OR SEC 02						
CITATION GROUP/BCC CLRG						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
PARKER-HANNIFIN CORP @ 81 0161 810 16 BROKERAGE 0 30 TAX &/OR SEC 02 UBS SECURITIES LLC	10 000	07/08/09	11/11/10	809 84	398 19	L 411 65
V F CORP @ 80 0796 2,001 99 BROKERAGE 0 75 TAX &/OR SEC 04 CITATION GROUP/BCC CLRG	25 000	06/17/09	11/10/10	2,001 20	1,430 71	L 570 49
DEERE & CO @ 77 7706 1 166 56 BROKERAGE 0 45 TAX &/OR SEC 02 UBS SECURITIES LLC	15 000	04/15/09	11/12/10	1,166 09	578 80	L 587 29

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HEWLETT-PACKARD CO @ 42 1507 843 01 BROKERAGE 0 60 TAX &/OR SEC 02 UBS SECURITIES LLC	20 000	08/18/05	11/12/10	842 39	532 86	L 309 53
CENDANT CORP 7 50% CV PFD (INCOME PRIDES) TOPRS REPRESENTS PRO RATA SHARE OF THE HSBC SPX BREN 11/24/10 (10%BUFF -2XLEV-7 68% CAP-15 36%MXPYMT) INITIAL LEVEL-SPX:11/06/09 1059 30 TO REDEMPTION	0 000	00/00/00	00/00/00	10 89	00	L 10 89
	85,000 000	11/06/09	11/24/10	98,047 50	85,000 00	L 13 047 50

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note. S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
DEERE & CO @ 77 1631 1,543 26 BROKERAGE 0 60 TAX &/OR SEC 03 UBS SECURITIES LLC	20 000	02/27/09	11/22/10	1,542 63	568 29	L 974 34
INTERNATIONAL BUSINESS MACHINES CORP @ 142 8909 1,428 91 BROKERAGE 0 30 TAX &/OR SEC 03 CREDIT SUISSE FIRST BOSTON LLC	10 000	05/13/10	11/23/10	1,428 58	1,326 17	S 102 41
SCHLUMBERGER LTD @ 74 942 3,747 10 BROKERAGE 1 50 TAX &/OR SEC 08 CITIGROUP GLOBAL MKTS INC	45 000 5 000	01/27/09 04/07/10	11/23/10 11/23/10	3,370 97 374 55	1,805 21 331 63	L 1,565 76 S 42 92

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

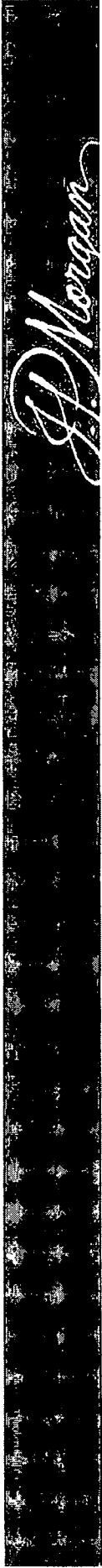
Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Long Gain/Loss
AETNA INC @ 29 9898 BROKERAGE 2 10 TAX &/OR SEC 04 CITIGROUP GLOBAL MKTS INC	70 000	07/28/09	12/01/10	2,097 15	1,797 75	L 299 40
APOLLO GROUP INC CL A @ 34 60 BROKERAGE 0 50 TAX &/OR SEC 02	25 000	08/18/10	12/01/10	864 48	1,050 45	S -185 97
BERKSHIRE HATHAWAY INC DEL CL B @ 80 7366 BROKERAGE 0 90 TAX &/OR SEC 05 KEEFE BRUYETTE & WOODS INC	30 000	06/04/10	12/07/10	2,421 15	2,154.38	S 266 77

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
JPMORGAN TRI HIGHBRIDGE STATISTICAL MARKET NEUTRAL FUND SELECT SHARES FUND # 1011	5,600.490	07/23/08	12/15/10	84,903.55	90,000.00	L -5,096.45
JP MORGAN CHASE BANK						
CELGENE CORPORATION @ 57.427 861.41	15.000	04/15/10	12/14/10	860.94	907.90	S -46.96
BROKERAGE 0.45 TAX &/OR SEC 02						
RAYMOND JAMES & ASSOCIATES INC						
HEWLETT-PACKARD CO @ 41.8791 1,046.98	25.000	08/18/05	12/17/10	1,046.21	666.07	L 380.14
BROKERAGE 0.75 TAX &/OR SEC 02						
RBC CAPITAL MARKETS CORPORATION						

JP Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Symbol	Quantity	Acq. Date	Disposal Date	Net Proceeds	Tax Cost	Term Cap. Gain/Loss
HEWLETT-PACKARD CO @ 41 5822	2,079 11	50 000 08/18/05	12/22/10	2,077 57	1,332 14	L 745 43
BROKERAGE	1 50					
TAX &/OR SEC	04					
CREDIT LYONNAIS SECURITIES (USA) I						
SPDR S&P 500 ETF TRUST @ 125 7004	79,819 75	635 000 09/10/10	12/30/10	79 805 70	70,745 67	S 9,060 03
BROKERAGE	12 70					
TAX &/OR SEC	1 35					
J P MORGAN SECURITIES LLC						

J.P.Morgan



THE MICK FOUNDATION
Account Number A 22677-00-8

Capital Gain and Loss Schedule

Transactions

Note S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
45 000	07/12/10	12/31/10	1,427 32	1,506 06	S -78.74
PUBLIC SERVICE ENTERPRISE GROUP					
@ 31 7389	1,426 25				
BROKERAGE	0 90				
TAX &/OR SEC	03				
JEFFERIES & COMPANY					
Total Gain/Loss				1,032,694.11	L 89,095.39
				551,437 23	S 43,416.58

J.P.Morgan

ATTACHMENT - JP MORGAN EQUITIES

THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/10 to 12/31/10

Note P indicates position adjusted for Pending Trade Activity.

Equity Detail

	Quantity	Price	Market Value	Tax Cost Adjusted Original	Unrealized Gain/Loss	Estimated Annual Income Accrued Dividends	Yield
US Large Cap							
ABBOTT LABORATORIES 002824-10-0 ABT	130 000	47 91	6,228 30	6,118 02	110 28	228.80	3 67 %
ADVANCED AUTO PARTS INC 00751Y-10-6 AAP	30 000	66.15	1,984 50	1,060 03	924.47	7.20 1 80	0 36 %
ALEXION PHARMACEUTICALS INC 015351-10-9 ALXN	25 000	80 55	2,013 75	1,413 86	599 89		
AMAZON COM INC 023135-10-6 AMZN	30 000	180 00	5,400 00	3,531 76	1,868 24		
AMERICAN EXPRESS CO 025816-10-9 AXP	25 000	42 92	1,073 00	1,058 90	14 10	18 00	1 68 %
APACHE CORP 037411-10-5 APA	60 000	119 23	7,153 80	5,706 43	1,447 37	36 00	0 50 %
APPLE INC. 037833-10-0 AAPL	41 000	322 56	13,224 96	5,425 62	7,799 34		
AT&T INC 00206R-10-2 T	90 000	29 38	2,644 20	3,454 46	(810 26)	154.80	5 85 %
BAKER HUGHES INC 057224-10-7 BHI	55,000	57.17	3,144 35	2,792 69	351 66	33.00	1 05 %
BANK OF AMERICA CORP 060505-10-4 BAC	411 000	13 34	5,482 74	8,763 85	(3,281 11)	16 44	0 30 %

J.P.Morgan

THE MICK FOUNDATION ACCT A2677008 For the Period 12/1/10 to 12/31/10

	Quantity	Price	Market Value	Tax Cost		Unrealized Gain/Loss	Estimated Annual Income		Yield
				Adjusted Original			Accrued Dividends		
US Large Cap									
BB & T CORP 054937-10-7 BBT	55 000	26 29	1,445 95	1,028 21		417 74	33 00	2 28 %	
BIOMED IDEC INC 09062X-10-3 BIIB	35,000	67.05	2,346.75	1,998 15		348.60			
CAMPBELL SOUP COMPANY 134429-10-9 CPB	50 000	34 75	1,737 50	1,821 67		(84.17)	58 00 14 50	3 34 %	
CARDINAL HEALTH INC 14149Y-10-8 CAH	75 000	38 31	2,873 25	2,212 14		661.11	58 50 14 63	2 04 %	
CELGENE CORPORATION 151020-10-4 CELG	60 000	59 14	3,548 40	2,988.11		560.29			
CISCO SYSTEMS INC 17275R-10-2 CSCO	402,000	20 23	8,132 46	3,884 70		4,247 76			
CITIGROUP INC 172967-10-1 C	1,225,000	4 73	5,794 25	5,200 62		593 63			
CITRIX SYSTEMS INC 177376-10-0 CTXS	20 000	68 41	1,368 20	1,373 85		(5.65)			
CME GROUP INC CLASS A COMMON STOCK 12572Q-10-5 CME	6 000	321 75	1,930 50	1,730 10		200.40	27 60	1 43 %	
COACH INC 189754-10-4 COH	55 000	55 31	3,042.05	2,087 60		954 45	33 00 8 25	1 08 %	
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A 192446-10-2 CTSH	50 000	73 29	3,664 50	2,542 10		1,122 40			

J.P.Morgan

THE MICK FOUNDATION ACCT A2677008 For the Period 12/1/10 to 12/31/10

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income		Yield
				Adjusted Original		Accrued Dividends		
US Large Cap								
COLGATE PALMOLIVE CO 194162-10-3 CL	30 000	80 37	2,411 10	2,357 06	54 04	63 60	2 64 %	
COMCAST CORP CL A	100 000	21 97	2,197 00	1,692 13	504 87	37 80	1 72 %	
20030N-10-1 CMCS A								
CONOCOPHILLIPS 20825C-10-4 COP	80 000	68 10	5,448 00	3,834 56	1,613 44	176 00	3 23 %	
CVS/CAREMARK CORPORATION 126650-10-0 CVS	115 000	34 77	3,998 55	3,095 86	902 69	40 25	1 01 %	
DEVON ENERGY CORP 25179M-10-3 DVN	55 000	78 51	4,318 05	3,692 61	625 44	35 20	0 82 %	
DOW CHEMICAL CO 260543-10-3 DOW	110 000	34 14	3,755 40	3,242 85	512 55	66 00 16 50	1 76 %	
E I DU PONT DE NEMOURS & CO 263534-10-9 DD	170 000	49 88	8,479 60	6,565 43	1,914 17	278 80	3 29 %	
E M C CORP MASS 268648-10-2 EMC	100 000	22 90	2,290 00	2,042 07	247 93			
EOG RESOURCES INC 26875P-10-1 EOG	55 000	91 41	5,027 55	4,989 28	38 27	34 10	0 68 %	
EXXON MOBIL CORP 30231G-10-2 XOM	169 000	73 12	12,357 28	7,241 85	5,115 43	297 44	2 41 %	
FREEMPORT-MCMORAN COPPER & GOLD INC CL B	65 000	120 09	7,805 85	3,797 16	4,008 69	130 00	1 67 %	
35671D-85-7 FCX								

J.P.Morgan

THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/10 to 12/31/10

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	
				Adjusted Original		Accrued Dividends	Yield
US Large Cap							
GENERAL ELECTRIC CO 369604-10-3 GE	150.000	18 29	2,743 50	2,302 28	441 22	84 00 21 00	3 06 %
GENERAL MILLS INC 370334-10-4 GIS	50.000	35 59	1,779 50	1,341 69	437 81	56 00	3 15 %
GENERAL MOTORS CO 37045V-10-0 GM	90.000	36 86	3,317 40	3,122 80	194 60		
GOLDMAN SACHS GROUP INC 38141G-10-4 GS	35.000	168 16	5,885 60	3,849 52	2,036 08	49 00	0 83 %
GOOGLE INC CL A 38259P-50-8 GOOG	5 000	593 97	2,969 85	1,485 91	1,483 94		
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	90.000	53 16	4,784 40	3,772 88	1,011 52	108 90	2 28 %
INTERNATIONAL BUSINESS MACHINES CORP 459200-10-1 IBM	38.000	146 76	5,576 88	1,622 31	3,954 57	98 80	1 77 %
JOHNSON CONTROLS INC 478366-10-7 JCI	180 000	38 20	6,876 00	2,847 10	4,028 90	115 20 28 80	1 68 %
JPMORGAN INTREPID AMERICA FUND SELECT SHARE CLASS FUND 1206 4812A2-10-8 JPJA X	4,129 673	22 93	94,693 40	95,973 60	(1,280 20)	925 04	0 98 %
JPMORGAN RESEARCH MARKET NEUTRAL FUND - SEL 48121A-71-2 JMNS X	5,508 749	15 44	85,055 08	85,000 00	55 08		
JUNIPER NETWORKS INC 48203R-10-4 JNPR	110 000	36 92	4,061 20	2,268 77	1,792 43		

J.P.Morgan

THE MICK FOUNDATION ACCT A22677008 For the Period 12/1/10 to 12/31/10

	Quantity	Price	Market Value	Tax Cost Adjusted Original	Unrealized Gain/Loss	Estimated		Yield
						Annual Income	Accrued Dividends	
US Large Cap								
KELLOGG CO 487836-10-8 K	25 000	51 08	1,277 00	1,215 57	61.43	40.50		3 17 %
LENNAR CORP 526057-10-4 LEN	50 000	18 75	937 50	774 46	163.04	8 00		0 85 %
LOWES COMPANIES INC 548661-10-7 LOW	100 000	25 08	2,508.00	2,305 20	202.80	44 00		1 75 %
MASTERCARD INC - CLASS A 57636Q-10-4 MA	11 000	224 11	2,465 21	2,196 48	268.73	6 60		0 27 %
MERCK AND CO INC 58933Y-10-5 MRK	185 000	36 04	6,667 40	5,864 91	802.49	281 20 70 30		4.22 %
METLIFE INC 59156R-10-8 MET	75 000	44 44	3,333 00	2,841.87	491.13	55 50		1 67 %
MICROSOFT CORP 594918-10-4 MSFT	470 000	27 91	13,117 70	11,094 99	2,022.71	300 80		2.29 %
MORGAN STANLEY 617446-44-8 MS	95 000	27 21	2,584 95	2,392 01	192 94	19.00		0 74 %
NATIONAL-OILWELL VARCO INC 637071-10-1 NOV	25 000	67 25	1,681 25	1,516 75	164.50	11 00		0 65 %
NEXTERA ENERGY INC 65339F-10-1 NEE	45 000	51 99	2,339 55	2,262 52	77.03	90.00		3 85 %
NIKE INC B 654106-10-3 NKE	30 000	85 42	2,562 60	1,713.66	848 94	37 20		1 45 %
NORFOLK SOUTHERN CORP 655844-10-8 NSC	140 000	62.82	8,794 80	5,534 85	3,259 95	201 60		2 29 %

J.P.Morgan

THE MICK FOUNDATION ACCT A22677008 For the Period 12/1/10 to 12/31/10

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income		Yield
				Adjusted Original		Accrued Dividends		
US Large Cap								
NOVELLUS SYSTEMS INC 670008-10-1 NVLS	75 000	32.32	2,424.00	1,909.82	514.18			
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	80 000	98.10	7,848.00	5,522.83	2,325.17	121.60 30.40		1.55%
ORACLE CORP 68389X-10-5 ORCL	200 000	31.30	6,260.00	4,587.12	1,672.88	40.00		0.64%
PACCAR INC 693718-10-8 PCAR	100 000	57.34	5,734.00	3,627.93	2,106.07	48.00		0.84%
PARKER-HANNIFIN CORP 701094-10-4 PH	10 000	86.30	863.00	398.18	464.82	11.60		1.34%
PEPSICO INC 713448-10-8 PEP	55.000	65.33	3,593.15	3,299.20	293.95	105.60 26.40		2.94%
PFIZER INC 717081-10-3 PFE	490.000	17.51	8,579.90	7,459.88	1,120.02	392.00		4.57%
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	45 000	58.53	2,633.85	364.09	2,269.76	115.20 28.80		4.37%
POWERSHARES DB COMMODITY INDEX TRACKING FUND 73935S-10-5 DBC	757 000	27.55	20,855.35	20,001.98	853.37			
PROCTER & GAMBLE CO 742718-10-9 PG	130.000	64.33	8,362.90	4,031.32	4,331.58	250.51		3.00%
PRUDENTIAL FINANCIAL INC 744320-10-2 PRU	70 000	58.71	4,109.70	2,852.22	1,257.48	80.50		1.96%
PUBLIC SERVICE ENTERPRISE GROUP 744573-10-6 PEG		31.81			N/A			4.31%

J.P.Morgan

THE MICK FOUNDATION ACCT A22677008 For the Period 12/1/10 to 12/31/10

	Quantity	Price	Market Value	Tax Cost Adjusted Original	Unrealized Gain/Loss	Estimated Annual Income Accrued Dividends	Yield
US Large Cap							
QUALCOMM INC 747525-10-3 OCOM	130 000	49 49	6,433 70	3,265 64	3,168 06	98 80	1 54 %
SANDISK CORP 80004C-10-1 SNOK	30 000	49 86	1,495 80	1,290 71	205 09		
SEMPRA ENERGY 816851-10-9 SRE	30 000	52 48	1,574 40	1,571 72	2 68	46 80	2 97 %
SOUTHERN CO 842587-10-7 SO	75 000	38 23	2,867 25	2,394 79	532 46	136 50	4 76 %
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	967 000	125 75	121,600 25	103,982 16	17,618 09	2,192 18	1 80 %
SPRINT NEXTEL CORP 852061-10-0 S	475,000	4 23	2,009 25	2,019 73	(10 48)		
STAPLES INC 855030-10-2 SPLS	170 000	22 77	3,870 90	3,802 10	68 80	61 20 15 30	1 58 %
STARWOOD HOTELS & RESORTS 85590A-40-1 HOT	20 000	60 78	1,215 60	419 02	796 58	6 00	0 49 %
STATE STREET CORP 857477-10-3 STT	30 000	46 34	1,390 20	1,190 01	200 19	1 20 0 30	0 09 %
SYSICO CORP 871829-10-7 SYV	50 000	29 40	1,470 00	1,580 69	(110 69)	52 00	3 54 %
TD AMERITRADE HOLDING CORPORATION 87236Y-10-8 AMTD	100 000	18 99	1,899 00	1,609 89	289 11	20 00	1 05 %
TIME WARNER INC NEW 887317-30-3 TWX	256 000	32 17	8,235 52	6,968 94	1,266 58	217 60	2 64 %

J.P.Morgan

THE MICK FOUNDATION ACCT. A22677008
For the Period 12/1/10 to 12/31/10

	Quantity	Price	Market Value	Tax Cost Adjusted Original	Unrealized Gain/Loss	Estimated Annual Income Accrued Dividends	Yield
US Large Cap							
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	90 000	78 72	7,084 80	5,088 16	1,996 64	153 00	2.16%
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	45 000	36 11	1,624 95	1,690 53	(65 58)	22 50	1.38%
US BANCORP DEL 902973-30-4 USB	115 000	26 97	3,101 55	2,977 87	123 68	23 00 5 75	0.74%
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	155 000	35 78	5,545 90	4,998 06	547 84	302 25	5.45%
WALT DISNEY CO 254687-10-6 DIS	115 000	37 51	4,313 65	2,683 67	1,629 98	46 00 46 00	1.07%
WELLPOINT INC 94973V-10-7 WLP	15 000	56 86	852 90	831 33	21 57		
WELLS FARGO & CO 949746-10-1 WFC	215 000	30 99	6,662 85	5,318 05	1,344 80	43 00	0.65%
XILINX CORP 983919-10-1 XLNX	75 000	28 98	2,173 50	2,145 10	28 40	48 00	2.21%
YUM BRANDS INC 988498-10-1 YUM	110 000	49 05	5,395 50	3,946 48	1,449 02	110 00	2.04%
3M CO 88579Y-10-1 MMM	25 000	86 30	2,157 50	2,159 05	(1 55)	52 50	2.43%
Total US Large Cap	20,921,422		\$672,572.38	\$567,972.13	\$104,600.25	\$9,193.41 \$328.73	1.37%

J.P.Morgan

THE MICK FOUNDATION ACCT A22677008 For the Period 12/1/10 to 12/31/10

	Quantity	Pnce	Market Value	Tax Cost Adjusted Original	Unrealized Gain/Loss	Estimated Annual Income Accrued Dividends	Yield
US Mid Cap/Small Cap							
ISHARES RUSSELL MIDCAP INDEX FUND 464287-49-9 IWR	755,000	101.75	76,821.25	56,904.87	19,916.38	1,115.89	1.45%
ISHARES S&P MIDCAP 400 INDEX FUND 464287-50-7 IJH	781,000	90.69	70,828.89	70,079.99	748.90	747.41	1.06%
JPMORGAN MARKET EXPANSION INDEX FUND SELECT SHARE CLASS FUND 3708 4812C1-63-7 PGMI X	10,124,611	10.76	108,940.81	65,000.00	43,940.81	850.46	0.78%
Total US Mid Cap/Small Cap	11,660,611		\$256,590.95	\$191,984.86	\$64,606.09	\$2,713.76	1.06%
Non US Equity							
ACE LTD NEW H0023R-10-5 ACE	35,000	62.25	2,178.75	1,614.25	564.50	46.20 9.82	2.12%
COVIDIEN PLC G2554F-10-5 COV	45,000	45.66	2,054.70	1,647.04	407.66	36.00	1.75%
INVESCO LTD G491BT-10-8 IVZ	75,000	24.06	1,804.50	1,466.76	337.74	33.00	1.83%
JPMORGAN INTERNATIONAL VALUE FUND SELECT SHARE CLASS FUND 1296 4812AO-56-5 JIES X	12,933,408	13.40	173,307.67	118,728.51	54,579.16	5,742.43	3.31%
MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND 563821-54-5 EXWA X	12,562,814	8.61	108,165.83	100,000.00	8,165.83	979.89	0.91%

J.P.Morgan

THE MICK FOUNDATION ACCT A22677008 For the Period 12/1/10 to 12/31/10

	Quantity	Price	Market Value	Tax Cost Adjusted Original	Unrealized Gain/Loss	Estimated		Yield
						Annual Income	Accrued Dividends	
Non US Equity								
MARVELL TECHNOLOGY GROUP LTD G5876H-10-5 MRVL	100.000	18 55	1,855 00	2,051 58	(196 58)			
MATTHEWS PACIFIC TIGER FD 577130-10-7 MAPT X	6,488 305	23 44	151,617 07	100,000 00	51,617 07	504 52		0 33%
SPDR GOLD TRUST 78463V-10-7 GLD	495 000	138 72	68,666 40	44,828 44	23,837 96			
T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND 77956H-50-0 PRAS X	4,930.153	19 18	94,560 53	85,000 00	9,560 53	443.71		0 47%
TYCO INTERNATIONAL LTD H89128-10-4 TYC	50.000	41 44	2,072 00	1,822 72	249 28	42.00		2 03%
VANGUARD EMERGING MARKET ETF 922042-85-8 VWO	2,810 000	48 15	135,290 26	120,552.93	14,737 33	2,290 15		1 69%
Total Non US Equity	40,504.890		\$741,572.71	\$577,712.23	\$163,860.48	\$10,117.90	\$9.82	1.36%
Emerging Markets								
BAIDU INC SPONS ADR 056752-10-8 BIDU	20.000	96 53	1,930 60	1,840 98	89 62			
CARNIVAL CORPORATION 143658-30-0 CCL	55 000	46 11	2,536 05	2,013 02	523 03	22 00		0 87%
Total Emerging Markets	75.000		\$4,466.65	\$3,854.00	\$612.65	\$22.00		0.49%

J.P.Morgan

ATTACHMENT - JP MORGAN BOND FUNDS

THE MICK FOUNDATION ACCT A2677008
For the Period 12/1/10 to 12/31/10

Fixed Income Detail

	Quantity	Price	Market Value	Tax Cost		Unrealized Gain/Loss	Estimated Annual Income		Yield
				Adjusted	Original		Accrued Interest	Interest	
US Fixed Income - Taxable									
GOLDMAN SACHS TRUST	15,091 048	7 30	110,164 65	78,956 41		31,208 24	8,511 35		7 73%
HIGH YIELD FUND INSTITUTIONAL SHS 38141W-67-9									
ISHARES BARCLAYS TIPS BOND FUND 464287-17-6	1,810 000	107 52	194,611 20	182,058 14		12,553 06	4,874 33		2 50%
EATON VANCE MUT FDS TR FLT RT CL I 277911-49-1	14,329 061	8 96	128,388 39	105,000 00		23,388 39	5,000 84 444 96		3 90%
JP MORGAN INTERNATIONAL CURRENCY INCOME FUND 30-Day Annualized Yield 1.28% 4812A3-29-6	2,293 578	11 25	25,802 75	25,000 00		802 75	272 93 1 83		1 06%
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND 3844 30-Day Annualized Yield 2.95% 4812A4-35-1	10,442 571	11 83	123,535 61	110,000 00		13,535 61	3,686 22 355 05		2 98%
JP MORGAN HIGH YIELD BOND FUND SELECT CLASS FUND 3580 30-Day Annualized Yield 6.94% 4812C0-80-3	15,562 604	8 15	126,835 22	121,267 48		5,567 74	10,271 31 871 51		8 10%
JP MORGAN SHORT DURATION BOND FUND SELECT CLASS FUND 3133 30-Day Annualized Yield 1.09% 4812C1-33-0	77,198 878	10 97	846,871 69	830,922 51		15,949 18	15,671 37 1,235 18		1 85%

J.P.Morgan

THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/10 to 12/31/10

	Quantity	Price	Market Value	Tax Cost Adjusted Original	Unrealized Gain/Loss	Estimated Annual Income Accrued Interest	Yield
US Fixed Income - Taxable							
VANGUARD FIXED INCOME SECS F INTR TRM CP PT (FUND 71) 922031-88-5	11,655 012	9 92	115 617 72	100,000 00	15,617 72	5,524 47 452 42	4 78 %
Total US Fixed Income - Taxable	148,382.752		\$1,671,827.23	\$1,553,204.54	\$118,622.69	\$53,812.82 \$3,360.95	3.22 %
Non-US Fixed Income							
DREYFUS/LAUREL FDS TR PRM EMRGN MK I 261980-49-4	3,131 524	14 46	45,281 84	45,000 00	281 84	1,067 84	2 36 %

J.P.Morgan ATTACHMENT - STRUCTURED INVESTMENTS

THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/10 to 12/31/10

Note O - Bonds purchased at a discount show accretion

Other Assets Detail

	Quantity	Price	Estimated Value	Cost Adjusted Original	Estimated Gain/Loss	Accruals
Structured Investments						
BARC ASIA BREN 05/26/11 (10%BUFFER- 2XLEV-9 05%CAP-18 1%MAXPYMT) INITIAL LEVEL-ASIA BASKET 05/07/10 100 06740L-TC-9	55,000 000	115 48	63,514 00	55,000 00	8,514 00	
BARC BREN SPX 12/7/11 10%BUFFER-2XLEV-6 15%CAP- 12 3%MAXRTN INITIAL LEVEL- 11/19/10 SPX 1199 73 06740P-N4-4	95,000 000	102.35	97,232 50	95,000 00	2,232 50	
BARC EAFE NOTE 05/27/11 (80%KO BAR -0%CPN-7%CAP) INITIAL LEVEL- 4/23/10 SXSE 2918 11 UKX.978 20 TPX 5723 65 06740L-PM-1	40,000 000	98 02	39,208 00	40,000 00	(792 00)	
BARC SPX MKT PLS NOTE 03/31/11 (85% CONTIN BARRIER-3 75%PMT- UNCAPPED) INITIAL LEVEL-SPX 09/25/09 1044 38 06739J-XK-4	30,000,000	120.22	36,066 00	30,000 00	6,066 00	

J.P.Morgan

THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/10 to 12/31/10

	Quantity	Price	Estimated Value	Cost Adjusted Original	Estimated Gain/Loss	Accruals
Structured Investments						
GS BREN EAFE 10/13/11 10%BUFFER-2XLEV-7 11%CAP- 14 22%MAXRTN INITIAL LEVEL-9/24/10 SX5E 2792 75 UKX:5598 48 TPX 838 41 38143U-NB-3	50,000 000	101.03	50,514 00	50,000 00	514 00	
GS CONT BUFF EQ SPX 04/20/12 75% CONTIN BARRIER - 12 75%CPN- 30%CAP INITIAL LEVEL-10/08/10 SPX 1,165 15 38143U-NJ-6	40,000 000	106 43	42,572 40	40,000 00	2,572 40	
GS 98% PP BRIC BASKET 07/25/11 BRL,RUB,INR,CNY VS USD MAX RETURN 24 70% UPSIDE PART 178% DD 07/17/09 38143U-DB-4	20,000 000	109.25	21,850 00	20,851 35 20,000 00	998 65	
HSBC 18M SPX MKT PLS NOTE 02/10/11 (70% CONTIN BARRIER-2 5%PMT-UNCAPED) INITIAL LEVEL-SPX 08/07/09 1010 48 4042K0-YN-9	40,000 000	123 29	49,316 00	40,000 00	9,316 00	
JPM BREN ASIA V EUR DIGIPLUS 3/1/12 EUR VS KRW IDR, SGD BUFFER 5%, MAX LOSS 100% STRIKE 100% CPN 16 75% (AT LEAST 15%) DD 02/26/10 48124A-HU-4	30,000 000	109.42	32,826 00	30,000 00	2,826 00	

J.P.Morgan

THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/10 to 12/31/10

	Quantity	Price	Estimated Value	Cost Adjusted Original	Estimated Gain/Loss	Accruals
Structured Investments						
JPM BREN SPX 09/19/11 10%BUFFER-2XLEV-8 4%CAP- 16 80%MAXRTRN INITIAL LEVEL-8/27/10:SPX 1064 59 48124A-A5-6	45,000 000	110.18	49,581 00	45,000.00	4,581 00	
MS BREN SPX 10/13/11 10%BUFFER-2XLEV-7 03%CAP- 14 06%MAXRTRN INITIAL LEVEL-9/24/10 SPX 1148 67 617482-NQ-3	50,000 000	104 92	52,457 50	50,000 00	2,457 50	
Total Structured Investments	495,000.000		\$535,137.40	\$495,851.35 \$495,000 nn	\$39,286.05	

J.P.Morgan

ATTACHMENT - JP MORGAN OTHER INVESTMENTS

THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/10 to 12/31/10

	Quantity	Price	Estimated Value	Cost		Estimated Gain/Loss	Accruals
				Adjusted	Original		
Other							
EATON VANCE MUT FDS TR	2,403,846	10.27	24,687.50	25,000.00		(312.50)	100.44
GLOBAL MACHRO - I							
277923-72-8 EIGM X							