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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2010

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

|                                                                                                                                                                                                                                                   |                                                                                                                                                  |                                                                                                                                                                              |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>THE NORTON FOUNDATION, INC.</b>                                                                                                                                                                                          |                                                                                                                                                  | A Employer identification number<br><b>61-6024040</b>                                                                                                                        |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>4350 BROWNSBORO ROAD</b>                                                                                                                                   | Room/suite<br><b>133</b>                                                                                                                         | B Telephone number<br><b>(502) 893-9549</b>                                                                                                                                  |
| City or town, state, and ZIP code<br><b>LOUISVILLE, KY 40207</b>                                                                                                                                                                                  |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation |                                                                                                                                                  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| I Fair market value of all assets at end of year<br>(from Part II, col. (c), line 16)<br><b>\$ 13,337,795.</b>                                                                                                                                    | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| (Part I, column (d) must be on cash basis)                                                                                                                                                                                                        |                                                                                                                                                  | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                     |  |                                    |                           | <b>N/A</b>              |                                                             |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B                                                      |  |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                               |  |                                    |                           |                         |                                                             |
| 4 Dividends and interest from securities                                                                                                           |  | <b>268,101.</b>                    | <b>268,101.</b>           |                         | <b>STATEMENT 1</b>                                          |
| 5a Gross rents                                                                                                                                     |  |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                      |  |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                           |  | <b>680,926.</b>                    |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a <b>8,778,933.</b>                                                                                    |  |                                    |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                   |  |                                    | <b>680,926.</b>           |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                      |  |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                             |  |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                        |  |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                          |  |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                           |  |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                    |  | <b>-763.</b>                       | <b>-763.</b>              |                         | <b>STATEMENT 2</b>                                          |
| 12 Total. Add lines 1 through 11                                                                                                                   |  | <b>948,264.</b>                    | <b>948,264.</b>           |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc                                                                                              |  | <b>98,421.</b>                     | <b>0.</b>                 |                         | <b>98,421.</b>                                              |
| 14 Other employee salaries and wages                                                                                                               |  |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                                                                                |  | <b>26,797.</b>                     | <b>13,398.</b>            |                         | <b>13,399.</b>                                              |
| 16a Legal fees                                                                                                                                     |  |                                    |                           |                         |                                                             |
| b Accounting fees                                                                                                                                  |  |                                    |                           |                         |                                                             |
| c Other professional fees                                                                                                                          |  | <b>90,986.</b>                     | <b>79,678.</b>            |                         | <b>11,308.</b>                                              |
| 17 Interest                                                                                                                                        |  |                                    |                           |                         |                                                             |
| 18 Taxes                                                                                                                                           |  | <b>12,275.</b>                     | <b>1,715.</b>             |                         | <b>10,560.</b>                                              |
| 19 Depreciation and depletion                                                                                                                      |  | <b>171.</b>                        | <b>0.</b>                 |                         |                                                             |
| 20 Occupancy                                                                                                                                       |  | <b>25,794.</b>                     | <b>12,897.</b>            |                         | <b>12,897.</b>                                              |
| 21 Travel, conferences, and meetings                                                                                                               |  | <b>2,020.</b>                      | <b>0.</b>                 |                         | <b>2,020.</b>                                               |
| 22 Printing and publications                                                                                                                       |  |                                    |                           |                         |                                                             |
| 23 Other expenses                                                                                                                                  |  | <b>16,154.</b>                     | <b>3,766.</b>             |                         | <b>12,388.</b>                                              |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                            |  | <b>272,618.</b>                    | <b>111,454.</b>           |                         | <b>160,993.</b>                                             |
| 25 Contributions, gifts, grants paid                                                                                                               |  | <b>754,700.</b>                    |                           |                         | <b>754,700.</b>                                             |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                           |  | <b>1,027,318.</b>                  | <b>111,454.</b>           |                         | <b>915,693.</b>                                             |
| 27 Subtract line 26 from line 12:                                                                                                                  |  |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                |  | <b>-79,054.</b>                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                   |  |                                    | <b>836,810.</b>           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                     |  |                                    |                           | <b>N/A</b>              |                                                             |

SCANNED NOV 29 2011

Operating and Administrative Expenses



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2

| Part II Balance Sheets      |                                                                                                                                          | Attached schedules and amounts in the description column should be for end-of-year amounts only |             | Beginning of year | End of year    |                       |
|-----------------------------|------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|-------------|-------------------|----------------|-----------------------|
|                             |                                                                                                                                          |                                                                                                 |             | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1                                                                                                                                        | Cash - non-interest-bearing                                                                     |             | 103,009.          | 19,807.        | 19,807.               |
|                             | 2                                                                                                                                        | Savings and temporary cash investments                                                          |             | 786,348.          | 1,490,361.     | 1,490,361.            |
|                             | 3                                                                                                                                        | Accounts receivable ▶                                                                           |             |                   |                |                       |
|                             |                                                                                                                                          | Less: allowance for doubtful accounts ▶                                                         |             |                   |                |                       |
|                             | 4                                                                                                                                        | Pledges receivable ▶                                                                            |             |                   |                |                       |
|                             |                                                                                                                                          | Less: allowance for doubtful accounts ▶                                                         |             |                   |                |                       |
|                             | 5                                                                                                                                        | Grants receivable                                                                               |             |                   |                |                       |
|                             | 6                                                                                                                                        | Receivables due from officers, directors, trustees, and other disqualified persons              |             |                   |                |                       |
|                             | 7                                                                                                                                        | Other notes and loans receivable ▶                                                              |             |                   |                |                       |
|                             |                                                                                                                                          | Less: allowance for doubtful accounts ▶                                                         |             |                   |                |                       |
|                             | 8                                                                                                                                        | Inventories for sale or use                                                                     |             |                   |                |                       |
|                             | 9                                                                                                                                        | Prepaid expenses and deferred charges                                                           |             |                   |                |                       |
|                             | 10a                                                                                                                                      | Investments - U.S. and state government obligations STMT 8                                      |             | 1,340,124.        | 764,957.       | 800,974.              |
|                             | b                                                                                                                                        | Investments - corporate stock STMT 9                                                            |             | 4,262,940.        | 7,543,571.     | 8,443,175.            |
|                             | c                                                                                                                                        | Investments - corporate bonds STMT 10                                                           |             | 1,606,338.        | 1,031,579.     | 1,031,044.            |
| 11                          | Investments - land, buildings, and equipment: basis ▶                                                                                    |                                                                                                 |             |                   |                |                       |
|                             | Less: accumulated depreciation ▶                                                                                                         |                                                                                                 |             |                   |                |                       |
| 12                          | Investments - mortgage loans                                                                                                             |                                                                                                 |             |                   |                |                       |
| 13                          | Investments - other STMT 11                                                                                                              |                                                                                                 | 3,970,036.  | 1,149,450.        | 1,551,963.     |                       |
| 14                          | Land, buildings, and equipment: basis ▶ 74,257.                                                                                          |                                                                                                 |             |                   |                |                       |
|                             | Less: accumulated depreciation STMT 7 ▶ 73,786.                                                                                          |                                                                                                 | 642.        | 471.              | 471.           |                       |
| 15                          | Other assets (describe ▶)                                                                                                                |                                                                                                 |             |                   |                |                       |
| 16                          | <b>Total assets</b> (to be completed by all filers)                                                                                      |                                                                                                 | 12,069,437. | 12,000,196.       | 13,337,795.    |                       |
| Liabilities                 | 17                                                                                                                                       | Accounts payable and accrued expenses                                                           |             |                   |                |                       |
|                             | 18                                                                                                                                       | Grants payable                                                                                  |             |                   |                |                       |
|                             | 19                                                                                                                                       | Deferred revenue                                                                                |             |                   |                |                       |
|                             | 20                                                                                                                                       | Loans from officers, directors, trustees, and other disqualified persons                        |             |                   |                |                       |
|                             | 21                                                                                                                                       | Mortgages and other notes payable                                                               |             |                   |                |                       |
|                             | 22                                                                                                                                       | Other liabilities (describe ▶)                                                                  |             |                   |                |                       |
| 23                          | <b>Total liabilities</b> (add lines 17 through 22)                                                                                       |                                                                                                 | 0.          | 0.                |                |                       |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                                                                                                 |             |                   |                |                       |
|                             | 24                                                                                                                                       | Unrestricted                                                                                    |             | 12,069,437.       | 12,000,196.    |                       |
|                             | 25                                                                                                                                       | Temporarily restricted                                                                          |             |                   |                |                       |
|                             | 26                                                                                                                                       | Permanently restricted                                                                          |             |                   |                |                       |
|                             | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.                         |                                                                                                 |             |                   |                |                       |
|                             | 27                                                                                                                                       | Capital stock, trust principal, or current funds                                                |             |                   |                |                       |
|                             | 28                                                                                                                                       | Paid-in or capital surplus, or land, bldg., and equipment fund                                  |             |                   |                |                       |
|                             | 29                                                                                                                                       | Retained earnings, accumulated income, endowment, or other funds                                |             |                   |                |                       |
|                             | 30                                                                                                                                       | <b>Total net assets or fund balances</b>                                                        |             | 12,069,437.       | 12,000,196.    |                       |
|                             | 31                                                                                                                                       | <b>Total liabilities and net assets/fund balances</b>                                           |             | 12,069,437.       | 12,000,196.    |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |                                                                                                                                                               |   |                    |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--------------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 12,069,437.        |
| 2 | Enter amount from Part I, line 27a                                                                                                                            | 2 | -79,054.           |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6                                                                                            | 3 | 9,813.             |
| 4 | Add lines 1, 2, and 3                                                                                                                                         | 4 | 12,000,196.        |
| 5 | Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.                 |
| 6 | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30                                                  | 6 | <b>12,000,196.</b> |

**Part IV Capital Gains and Losses for Tax on Investment Income**

|                                                                                                                                                                                 |                                            |                                                                                   |                                                                                                 |                                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)                                              |                                            | (b) How acquired<br>P - Purchase<br>D - Donation                                  | (c) Date acquired<br>(mo., day, yr.)                                                            | (d) Date sold<br>(mo., day, yr.) |
| 1a                                                                                                                                                                              |                                            |                                                                                   |                                                                                                 |                                  |
| b SEE ATTACHED STATEMENT                                                                                                                                                        |                                            |                                                                                   |                                                                                                 |                                  |
| c                                                                                                                                                                               |                                            |                                                                                   |                                                                                                 |                                  |
| d                                                                                                                                                                               |                                            |                                                                                   |                                                                                                 |                                  |
| e                                                                                                                                                                               |                                            |                                                                                   |                                                                                                 |                                  |
| (e) Gross sales price                                                                                                                                                           | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale                                   | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                    |                                  |
| a                                                                                                                                                                               |                                            |                                                                                   |                                                                                                 |                                  |
| b                                                                                                                                                                               |                                            |                                                                                   |                                                                                                 |                                  |
| c                                                                                                                                                                               |                                            |                                                                                   |                                                                                                 |                                  |
| d                                                                                                                                                                               |                                            |                                                                                   |                                                                                                 |                                  |
| e 8,778,933.                                                                                                                                                                    |                                            | 8,098,007.                                                                        | 680,926.                                                                                        |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                     |                                            |                                                                                   | (I) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |                                  |
| (i) F.M.V. as of 12/31/69                                                                                                                                                       | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any                                   |                                                                                                 |                                  |
| a                                                                                                                                                                               |                                            |                                                                                   |                                                                                                 |                                  |
| b                                                                                                                                                                               |                                            |                                                                                   |                                                                                                 |                                  |
| c                                                                                                                                                                               |                                            |                                                                                   |                                                                                                 |                                  |
| d                                                                                                                                                                               |                                            |                                                                                   |                                                                                                 |                                  |
| e                                                                                                                                                                               |                                            |                                                                                   | 680,926.                                                                                        |                                  |
| 2 Capital gain net income or (net capital loss)                                                                                                                                 |                                            | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 |                                                                                                 | 2 680,926.                       |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 |                                            | {                                                                                 |                                                                                                 | 3 N/A                            |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                    | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2009                                                                                                                                                                                                                    | 723,582.                                 | 10,951,733.                                  | .066070                                                     |
| 2008                                                                                                                                                                                                                    | 938,362.                                 | 14,395,009.                                  | .065187                                                     |
| 2007                                                                                                                                                                                                                    | 899,253.                                 | 17,159,381.                                  | .052406                                                     |
| 2006                                                                                                                                                                                                                    | 940,509.                                 | 16,753,158.                                  | .056139                                                     |
| 2005                                                                                                                                                                                                                    | 886,620.                                 | 16,549,986.                                  | .053572                                                     |
| 2 Total of line 1, column (d)                                                                                                                                                                                           |                                          |                                              | 2 .293374                                                   |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                          |                                          |                                              | 3 .058675                                                   |
| 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5                                                                                                                                          |                                          |                                              | 4 12,669,575.                                               |
| 5 Multiply line 4 by line 3                                                                                                                                                                                             |                                          |                                              | 5 743,387.                                                  |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                            |                                          |                                              | 6 8,368.                                                    |
| 7 Add lines 5 and 6                                                                                                                                                                                                     |                                          |                                              | 7 751,755.                                                  |
| 8 Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.<br>See the Part VI instructions. |                                          |                                              | 8 915,693.                                                  |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2010 estimated tax payments and 2009 overpayment credited to 2010

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed**10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**11 Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ☒5,964. Refunded ☒**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year?

If "Yes," complete Part II, col (c), and Part XV.

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒

KY

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

8,368.

0.

8,368.

0.

8,368.

2,518.

12,000.

14,518.

186.

5,964.

0.

Yes No

1a X

1b X

1c X

2 X

3 X

4a X

4b

5 X

6 X

7 X

8b X

9 X

10 X

N/A

**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                              |    |     |    |
|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                                      | 11 |     | X  |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                                                                                                                                                                        | 12 |     | X  |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <u>N/A</u>                                                                                                                                                                                          | 13 | X   |    |
| 14 | The books are in care of ► <u>LUCY CRAWFORD, EXECUTIVE DIRECTOR</u> Telephone no. ► <u>(502) 893-9549</u><br>Located at ► <u>4350 BROWNSBORO ROAD, SUITE 133, LOUISVILLE, KY</u> ZIP+4 ► <u>40207</u>                                                                                                                                        |    |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                                       | 15 | N/A |    |
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► | 16 | Yes | No |
|    |                                                                                                                                                                                                                                                                                                                                              |    |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                     | Yes | No |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|-----|----|
| <b>1a</b> During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                     |     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                               | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                          | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| <b>b</b> If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                       | N/A<br>► <input type="checkbox"/>                                   | 1b  |    |
| <b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?                                                                                                                                                                                                                                                                                                         |                                                                     | 1c  | X  |
| <b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                  |                                                                     |     |    |
| <b>a</b> At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?<br>If "Yes," list the years ► _____, _____, _____                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| <b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                | N/A                                                                 | 2b  |    |
| <b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>► _____, _____, _____                                                                                                                                                                                                                                                                                                                                                       |                                                                     |     |    |
| <b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| <b>b</b> If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) | N/A                                                                 | 3b  |    |
| <b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                |                                                                     | 4a  | X  |
| <b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?                                                                                                                                                                                                                                                               |                                                                     | 4b  | X  |

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

**5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b****X**

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**7b****Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address                                                  | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-----------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| JANE NORTON NEWTON<br>4115 CRESTVIEW<br>LOUISVILLE, KY 40207          | PRESIDENT<br>0.00                                         | 0.                                        | 0.                                                                    | 0.                                    |
| ROBERT W. DULANEY<br>18 AUTUMN HILL CT<br>PROSPECT, KY 40059          | VP<br>0.00                                                | 0.                                        | 0.                                                                    | 0.                                    |
| RICHARD H.C. CLAY<br>2500 NATIONAL CITY TOWER<br>LOUISVILLE, KY 40202 | DIRECTOR<br>0.00                                          | 0.                                        | 0.                                                                    | 0.                                    |
| LUCY CRAWFORD<br>12103 HAZELWOOD ROAD<br>ANCHORAGE, KY 40223          | EXECUTIVE DIRECTOR<br>40.00                               | 98,421.                                   | 18,092.                                                               | 0.                                    |

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                             |           |             |
|----------|-------------------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |           |             |
| <b>a</b> | Average monthly fair market value of securities                                                             | <b>1a</b> | 8,705,058.  |
| <b>b</b> | Average of monthly cash balances                                                                            | <b>1b</b> | 1,741,943.  |
| <b>c</b> | Fair market value of all other assets                                                                       | <b>1c</b> | 2,415,512.  |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                       | <b>1d</b> | 12,862,513. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> | 0.          |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>  | 0.          |
| <b>3</b> | Subtract line 2 from line 1d                                                                                | <b>3</b>  | 12,862,513. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>  | 192,938.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>  | 12,669,575. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | <b>6</b>  | 633,479.    |

**Part XI Distributable Amount** (see instructions) (Section 4942(i)(3) and (i)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |          |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 633,479. |
| <b>2a</b> | Tax on investment income for 2010 from Part VI, line 5                                                    | <b>2a</b> | 8,368.   |
| <b>b</b>  | Income tax for 2010. (This does not include the tax from Part VI.)                                        | <b>2b</b> |          |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 8,368.   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 625,111. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  | 0.       |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 625,111. |
| <b>6</b>  | Deduction from distributable amount (see instructions)                                                    | <b>6</b>  | 0.       |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 625,111. |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                   |           |          |
|----------|-----------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |           |          |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | <b>1a</b> | 915,693. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                | <b>1b</b> | 0.       |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | <b>2</b>  |          |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                              |           |          |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                    | <b>3a</b> |          |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                             | <b>3b</b> |          |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | <b>4</b>  | 915,693. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | <b>5</b>  | 8,368.   |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                             | <b>6</b>  | 907,325. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2009 | (c)<br>2009 | (d)<br>2010 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2010 from Part XI, line 7                                                                                                                       |               |                            |             | 625,111.    |
| <b>2</b> Undistributed income, if any, as of the end of 2010                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2009 only                                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2010:                                                                                                                         |               |                            |             |             |
| <b>a</b> From 2005                                                                                                                                                                | 88,323.       |                            |             |             |
| <b>b</b> From 2006                                                                                                                                                                | 119,719.      |                            |             |             |
| <b>c</b> From 2007                                                                                                                                                                | 48,715.       |                            |             |             |
| <b>d</b> From 2008                                                                                                                                                                | 219,876.      |                            |             |             |
| <b>e</b> From 2009                                                                                                                                                                | 182,161.      |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 658,794.      |                            |             |             |
| <b>4</b> Qualifying distributions for 2010 from Part XII, line 4: ► \$                                                                                                            | 915,693.      |                            |             |             |
| <b>a</b> Applied to 2009, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)-                                                                                   |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2010 distributable amount                                                                                                                                     |               |                            |             | 625,111.    |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 290,582.      |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 949,376.      |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011                                                              |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2005 not applied on line 5 or line 7                                                                                                 | 88,323.       |                            |             |             |
| <b>9</b> Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a                                                                                              | 861,053.      |                            |             |             |
| <b>10</b> Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| <b>a</b> Excess from 2006                                                                                                                                                         | 119,719.      |                            |             |             |
| <b>b</b> Excess from 2007                                                                                                                                                         | 48,715.       |                            |             |             |
| <b>c</b> Excess from 2008                                                                                                                                                         | 219,876.      |                            |             |             |
| <b>d</b> Excess from 2009                                                                                                                                                         | 182,161.      |                            |             |             |
| <b>e</b> Excess from 2010                                                                                                                                                         | 290,582.      |                            |             |             |



**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                           |  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount   |
|-------------------------------------|--|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|----------|
| Name and address (home or business) |  |                                                                                                           |                                |                                  |          |
| a Paid during the year              |  |                                                                                                           |                                |                                  |          |
| SEE STATEMENT 13                    |  |                                                                                                           |                                |                                  |          |
| Total                               |  |                                                                                                           |                                | ► 3a                             | 754,700. |
| b Approved for future payment       |  |                                                                                                           |                                |                                  |          |
| NONE                                |  |                                                                                                           |                                |                                  |          |
| Total                               |  |                                                                                                           |                                | ► 3b                             | 0.       |





**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |  | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a RIVER ROAD- SEE ATTACHED                                                                                                          |  | P                                                | VARIOUS                              | VARIOUS                          |
| b FIDELITY 767 - SEE ATTACHED                                                                                                        |  | P                                                | VARIOUS                              | VARIOUS                          |
| c FIDELITY 997 - SEE ATTACHED                                                                                                        |  | P                                                | VARIOUS                              | VARIOUS                          |
| d SMITH BARNEY - SEE ATTACHED                                                                                                        |  | P                                                | VARIOUS                              | VARIOUS                          |
| e COMMUNITY PRGM LN TR BD CL RETURN OF PRINCIPAL                                                                                     |  | P                                                | VARIOUS                              | VARIOUS                          |
| f CAPITAL GAINS DIVIDENDS                                                                                                            |  |                                                  |                                      |                                  |
| g                                                                                                                                    |  |                                                  |                                      |                                  |
| h                                                                                                                                    |  |                                                  |                                      |                                  |
| i                                                                                                                                    |  |                                                  |                                      |                                  |
| j                                                                                                                                    |  |                                                  |                                      |                                  |
| k                                                                                                                                    |  |                                                  |                                      |                                  |
| l                                                                                                                                    |  |                                                  |                                      |                                  |
| m                                                                                                                                    |  |                                                  |                                      |                                  |
| n                                                                                                                                    |  |                                                  |                                      |                                  |
| o                                                                                                                                    |  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 3,818,786.          |                                            | 3,199,416.                                      | 619,370.                                     |
| b 2,159,212.          |                                            | 2,137,327.                                      | 21,885.                                      |
| c 1,971,561.          |                                            | 1,984,275.                                      | -12,714.                                     |
| d 824,579.            |                                            | 774,940.                                        | 49,639.                                      |
| e 2,049.              |                                            | 2,049.                                          | 0.                                           |
| f 2,746.              |                                            |                                                 | -2,746.                                      |
| g                     |                                            |                                                 |                                              |
| h                     |                                            |                                                 |                                              |
| i                     |                                            |                                                 |                                              |
| j                     |                                            |                                                 |                                              |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                         |
| a                                                                                           |                                      |                                                 | 619,370.                                                                                                |
| b                                                                                           |                                      |                                                 | 21,885.                                                                                                 |
| c                                                                                           |                                      |                                                 | -12,714.                                                                                                |
| d                                                                                           |                                      |                                                 | 49,639.                                                                                                 |
| e                                                                                           |                                      |                                                 | 0.                                                                                                      |
| f                                                                                           |                                      |                                                 | 2,746.                                                                                                  |
| g                                                                                           |                                      |                                                 |                                                                                                         |
| h                                                                                           |                                      |                                                 |                                                                                                         |
| i                                                                                           |                                      |                                                 |                                                                                                         |
| j                                                                                           |                                      |                                                 |                                                                                                         |
| k                                                                                           |                                      |                                                 |                                                                                                         |
| l                                                                                           |                                      |                                                 |                                                                                                         |
| m                                                                                           |                                      |                                                 |                                                                                                         |
| n                                                                                           |                                      |                                                 |                                                                                                         |
| o                                                                                           |                                      |                                                 |                                                                                                         |

|                                                                                                                                                                                     |  |   |          |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---|----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                               |  | 2 | 680,926. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 } |  | 3 | N/A      |

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|             |                                        |           |   |
|-------------|----------------------------------------|-----------|---|
| FORM 990-PF | DIVIDENDS AND INTEREST FROM SECURITIES | STATEMENT | 1 |
|-------------|----------------------------------------|-----------|---|

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| SOURCE                           | GROSS AMOUNT | CAPITAL GAINS<br>DIVIDENDS | COLUMN (A)<br>AMOUNT |
|----------------------------------|--------------|----------------------------|----------------------|
| TRUST INVESTMENTS                | 270,847.     | 2,746.                     | 268,101.             |
| TOTAL TO FM 990-PF, PART I, LN 4 | 270,847.     | 2,746.                     | 268,101.             |

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|             |              |           |   |
|-------------|--------------|-----------|---|
| FORM 990-PF | OTHER INCOME | STATEMENT | 2 |
|-------------|--------------|-----------|---|

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| DESCRIPTION                                 | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| LOSS FROM WHAT IF FUND, LLD                 | -4,229.                     | -4,229.                           |                               |
| LOSS FROM WHAT IF FUND, LLD                 | -16,624.                    | -16,624.                          |                               |
| LOSS FROM MAINSTREAM ACTIVE VALUE<br>FD, LP | -58,396.                    | -58,396.                          |                               |
| LOSS FROM HIGHWATER GLOBAL FUND LLC         | 79,335.                     | 79,335.                           |                               |
| LOSS FROM POWERSHARES DB                    | -849.                       | -849.                             |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11       | -763.                       | -763.                             |                               |

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|             |                         |           |   |
|-------------|-------------------------|-----------|---|
| FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT | 3 |
|-------------|-------------------------|-----------|---|

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| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| PROFESSIONAL FEES            | 22,616.                      | 11,308.                           |                               | 11,308.                       |
| TRUST FEES                   | 68,370.                      | 68,370.                           |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C | 90,986.                      | 79,678.                           |                               | 11,308.                       |

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| FORM 990-PF                 | TAXES                        |                                   | STATEMENT                     | 4                             |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| PAYROLL TAXES               | 7,529.                       | 0.                                |                               | 7,529.                        |
| OTHER TAXES AND FEES        | 3,031.                       | 0.                                |                               | 3,031.                        |
| FOREIGN TAX EXPENSE         | 1,715.                       | 1,715.                            |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 12,275.                      | 1,715.                            |                               | 10,560.                       |

| FORM 990-PF                 | OTHER EXPENSES                |                                   | STATEMENT                     | 5                             |
|-----------------------------|-------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | -(A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| SUPPLIES AND POSTAGE        | 1,652.                        | 1,652.                            |                               | 0.                            |
| DUES AND SUBSCRIPTIONS      | 5,190.                        | 0.                                |                               | 5,190.                        |
| INSURANCE                   | 7,198.                        | 0.                                |                               | 7,198.                        |
| MISCELLANEOUS               | 2,114.                        | 2,114.                            |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 23 | 16,154.                       | 3,766.                            |                               | 12,388.                       |

| FORM 990-PF                                       | OTHER INCREASES IN NET ASSETS OR FUND BALANCES |  | STATEMENT | 6      |
|---------------------------------------------------|------------------------------------------------|--|-----------|--------|
| DESCRIPTION                                       |                                                |  |           | AMOUNT |
| BASIS ADJUSTMENT OF TRANSFERRED INVESTMENT ASSETS |                                                |  |           | 9,813. |
| TOTAL TO FORM 990-PF, PART III, LINE 3            |                                                |  |           | 9,813. |

| FORM 990-PF               | DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT |                             | STATEMENT  | 7                    |
|---------------------------|------------------------------------------------|-----------------------------|------------|----------------------|
| DESCRIPTION               | COST OR<br>OTHER BASIS                         | ACCUMULATED<br>DEPRECIATION | BOOK VALUE | FAIR MARKET<br>VALUE |
| OFFICE FURNITURE          | 68,093.                                        | 0.                          | 68,093.    | 68,093.              |
| LEASEHOLD IMPROVEMENTS    | 6,164.                                         | 0.                          | 6,164.     | 6,164.               |
| ACCUMULATED DEPRECIATION  | 0.                                             | 73,786.                     | -73,786.   | -73,786.             |
| TO 990-PF, PART II, LN 14 | 74,257.                                        | 73,786.                     | 471.       | 471.                 |

|             |                                            |           |   |
|-------------|--------------------------------------------|-----------|---|
| FORM 990-PF | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS | STATEMENT | 8 |
|-------------|--------------------------------------------|-----------|---|

| DESCRIPTION                                      | U.S.<br>GOV'T | OTHER<br>GOV'T | BOOK VALUE | FAIR MARKET<br>VALUE |
|--------------------------------------------------|---------------|----------------|------------|----------------------|
| U.S. GOVERNMENT OBLIGATIONS                      | X             |                | 764,957.   | 800,974.             |
| TOTAL U.S. GOVERNMENT OBLIGATIONS                |               |                | 764,957.   | 800,974.             |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS |               |                |            |                      |
| TOTAL TO FORM 990-PF, PART II, LINE 10A          |               |                | 764,957.   | 800,974.             |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 9 |
|-------------|-----------------|-----------|---|

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| CORPORATE STOCK                         | 7,543,571. | 8,443,175.           |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 7,543,571. | 8,443,175.           |

|             |                 |           |    |
|-------------|-----------------|-----------|----|
| FORM 990-PF | CORPORATE BONDS | STATEMENT | 10 |
|-------------|-----------------|-----------|----|

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| CORPORATE BONDS                         | 1,031,579. | 1,031,044.           |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 1,031,579. | 1,031,044.           |

|             |                   |           |    |
|-------------|-------------------|-----------|----|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 11 |
|-------------|-------------------|-----------|----|

| DESCRIPTION                            | VALUATION<br>METHOD | BOOK VALUE | FAIR MARKET<br>VALUE |
|----------------------------------------|---------------------|------------|----------------------|
| MORTGAGE/DEBT BACKED SECURITIES        | COST                | 0.         | 0.                   |
| INVESTMENT IN PARTNERSHIPS             | COST                | 862,135.   | 1,264,194.           |
| FOREIGN CURRENCIES                     | COST                | 62,315.    | 62,044.              |
| COMMUNITY INVESTMENT FUNDS             | COST                | 225,000.   | 225,725.             |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                     | 1,149,450. | 1,551,963.           |

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LUCY CRAWFORD  
4350 BROWNSBORO ROAD, SUITE 133  
LOUISVILLE, KY 40207

TELEPHONE NUMBER

FORM AND CONTENT OF APPLICATIONS

THE APPLICATION MUST INCLUDE AN OUTLINE OF THE PROJECT, A BUDGET OF ALL INCOME & EXPENSES- AND A COPY OF THE IRS DETERMININATION LETTER VERIFYING THE ORGANIZATION'S EXEMPT STATUS. THE APPLICATIONS ARE FILED IN TRIPPLICATE AND ARE MAINTAINED BY THE FOUNDATION'S EXECUTIVE DIRECTOR.

ANY SUBMISSION DEADLINES

QUARTERLY

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO GRANTS ARE MADE TO INDIVIDUALS OR PRIVATE FOUNDATIONS. LOCAL CHARITABLE NEEDS ARE GIVEN FIRST PRIORITY.

FORM 990-PF

GRANTS AND CONTRIBUTIONS  
PAID DURING THE YEAR

STATEMENT 13

| RECIPIENT NAME AND ADDRESS                                                           | RECIPIENT RELATIONSHIP<br>AND PURPOSE OF GRANT | RECIPIENT<br>STATUS | AMOUNT  |
|--------------------------------------------------------------------------------------|------------------------------------------------|---------------------|---------|
| ACTORS THEATRE OF LOUISVILLE<br>316 W. MAIN ST. LOUISVILLE, KY<br>40202              | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION     | EXEMPT              | 10,000. |
| AMERICANA COMMUNITY CENTER<br>4801 SOUTHSIDE DR LOUISVILLE,<br>KY 40214              | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION     | EXEMPT              | 10,000. |
| BLUE APPLE PLAYERS<br>625 E. MARKET ST. LOUISVILLE,<br>KY 40204                      | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION     | EXEMPT              | 10,000. |
| CABBAGE PATCH SETTLEMENT HOUSE,<br>INC.<br>1413 S. SIXTH ST. LOUISVILLE,<br>KY 40208 | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION     | EXEMPT              | 10,000. |
| COURT APPOINTED SPECIAL ADVOCATE<br>514 W. LIBERTY ST. LOUISVILLE,<br>KY 40202       | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION     | EXEMPT              | 5,000.  |
| FAMILY & CHILDREN FIRST<br>2303 RIVER RD, STE. 200<br>LOUISVILLE, KY 40206           | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION     | EXEMPT              | 55,000. |
| FOOD LITERACY PROJECT AT OXMOOR<br>FARM<br>9001 LIMEHOUSE LN LOUISVILLE,<br>KY 40222 | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION     | EXEMPT              | 20,000. |
| KENTUCKY CENTER FOR THE ARTS<br>501 W. MAIN ST. LOUISVILLE, KY<br>40202              | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION     | EXEMPT              | 10,000. |

|                                                                                             |                                             |        |          |
|---------------------------------------------------------------------------------------------|---------------------------------------------|--------|----------|
| KENTUCKY MUSEUM OF ART AND CRAFT<br>715 W. MAIN ST. LOUISVILLE, KY<br>40202                 | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 10,000.  |
| KENTUCKY SHAKESPEARE FESTIVAL<br>1387 S. FOURTH ST. LOUISVILLE,<br>KY 40202                 | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 8,000.   |
| LEGAL AID SOCIETY<br>416 W. MUHAMMAD ALI BLVD, STE.<br>300 LOUISVILLE, KY 40202             | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 30,000.  |
| LOUISVILLE VISUAL ART ASSN<br>3005 RIVER RD. LOUISVILLE, KY<br>40207                        | TO BE USED WITHIN<br>-THEIR EXEMPT FUNCTION | EXEMPT | 20,000.  |
| LOUISVILLE CENTRAL COMMUNITY<br>CENTER<br>1300 W. MUHAMMAD ALI BLVD<br>LOUISVILLE, KY 40203 | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 30,000.  |
| MARYHURST<br>5227 BARDSTOWN RD. LOUISVILLE,<br>KY 40291                                     | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 45,000.  |
| NEIGHBORHOOD HOUSE<br>201 N. 25TH ST. LOUISVILLE, KY<br>40212                               | TO BE USED WITHIN<br>-THEIR EXEMPT FUNCTION | EXEMPT | 15,000.  |
| SPEED ART MUSEUM<br>2035 S. THIRD ST. LOUISVILLE,<br>KY 40208                               | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 65,000.  |
| THE LIGHTHOUSE PROMISE<br>5312 SHELPHERDSVILLE RD<br>LOUISVILLE, KY 40228                   | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 6,000.   |
| WALDORF SCHOOL OF LOUISVILLE<br>8005 NEW LAGRANGE RD<br>LOUISVILLE, KY 40222                | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 124,000. |

|                                                                                              |                                            |        |         |
|----------------------------------------------------------------------------------------------|--------------------------------------------|--------|---------|
| YMCA SAFE PLACE SERVICES<br>2400 CRITTENDEN DR LOUISVILLE,<br>KY 40217                       | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION | EXEMPT | 20,000. |
| MEREDITH DUNN SCHOOL<br>3023 MELBOURNE AVE LOUISIVLLE,<br>KY 40220                           | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION | EXEMPT | 60,000. |
| RIVER CITY DRUM CORP<br>3308 CHAUNCEY AVE LOUISIVLLE,<br>KY 40211                            | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION | EXEMPT | 20,000. |
| 21ST CENTURY PARKS<br>471 W. MAIN STREET SUITE 202<br>LOUISIVLLE, KY 40202                   | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION | EXEMPT | 25,000. |
| BOYS AND GIRLS CLUB<br>PO BOX 4989 LOUISIVLLE, KY<br>40204                                   | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION | EXEMPT | 10,000. |
| STAGE ONE<br>323 W. BROADWAY, STE. 600<br>LOUISIVLLE, KY 40202                               | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION | EXEMPT | 10,000. |
| LOUISVILLE COMMUNITY DESIGN<br>CENTER<br>610 S. 4TH STREET SUITE 701<br>LOUISIVLLE, KY 40204 | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION | EXEMPT | 10,000. |
| BIG BROTHERS AND BIG SISTERS<br>1519 GARDNER LANE SUITE B<br>LOUISIVLLE, KY 40218            | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION | EXEMPT | 15,000. |
| THE MORTON CENTER<br>1028 BARRET AVE LOUISIVLLE, KY<br>40204                                 | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION | EXEMPT | 10,000. |
| BELLEWOOD PRESBYTERIAN HOMES FOR<br>CHILDREN<br>11103 PARK ROAD LOUISVILLE, KY<br>40223      | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION | EXEMPT | 15,000. |

|                                                                                |                                             |        |         |
|--------------------------------------------------------------------------------|---------------------------------------------|--------|---------|
| SOMALI COMMUNITY OF LOUISVILLE<br>4801 SOUTHSIDE DR LOUISIVLLE,<br>KY 40214    | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 10,000. |
| WEST END SCHOOL<br>23628 VIRGINIA AVE LOUISIVLLE,<br>KY 40211                  | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 19,200. |
| FAMILY SCHOLAR HOUSE<br>403 REG SMITH CIRCLE<br>LOUISIVLLE, KY 40208           | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 15,000. |
| SHAKESPEARE BEHIND BARS<br>PO BOX 33293 LOUISIVLLE, KY<br>40232                | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION- | EXEMPT | 5,000.  |
| PRESBYTERIAN COMMUNITY CENTER<br>701 S. HANCOCK STREET<br>LOUISIVLLE, KY 40203 | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 10,000. |
| YMCA ARCIADIA PROGRAM<br>5930 SIX MILE LANE LOUISIVLLE,<br>KY 40218            | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 10,000. |
| FOOD STUDIES INSTITUTE<br>60 CAYUGA STREET TRUMANSBURG,<br>NY 14866            | TO BE USED WITHIN<br>THEIR EXEMPT FUNCTION  | EXEMPT | 7,500.  |

TOTAL TO FORM 990-PF, PART XV, LINE 3A

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754,700.

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Baldwin Brothers, Inc.  
**REALIZED GAINS AND LOSSES**  
*The Norton Foundation Inc*  
**646-435767**  
**61-6024040**  
*From 01-01-10 Through 12-31-10*

| Open<br>Date | Close<br>Date | Quantity | Security                                                              | Cost<br>Basis | Proceeds   | Gain Or Loss |            |
|--------------|---------------|----------|-----------------------------------------------------------------------|---------------|------------|--------------|------------|
|              |               |          |                                                                       |               |            | Short Term   | Long Term  |
| 07-22-08     | 02-08-10      | 1,500    | Powershares DB Mult Sec Tr<br>Power                                   | 35,087.00     | 37,784.96  |              | 2,697.96   |
| 03-24-08     | 03-03-10      | 1,098    | Waters Corp                                                           | 57,447.36     | 68,650.25  |              | 11,202.89  |
| 01-12-07     | 03-03-10      | 2,186    | Landstar System, Inc                                                  | 89,254.34     | 85,312.37  |              | -3,941.97  |
| 02-04-09     | 03-11-10      | 1,000    | iShares iBoxx \$ InvesTop                                             | 98,700.00     | 105,526.85 |              | 6,826.85   |
| 10-02-09     | 03-30-10      | 1,000    | Solar Millennium AG                                                   | 31,330.00     | 22,619.61  | -8,710.39    |            |
| 07-22-08     | 04-30-10      | 1,000    | Gilead Sciences                                                       | 51,069.30     | 39,729.42  |              | -11,339.88 |
| 07-06-04     | 05-20-10      | 61       | CIT Group Inc.                                                        | 2,216.01      | 2,145.33   |              | -70.68     |
| 01-01-99     | 05-20-10      | 887      | Pepsico Inc                                                           | 43,596.06     | 57,548.11  |              | 13,952.05  |
| 07-06-04     | 06-04-10      | 2,499    | CIT Group Inc Secd Note A<br>7.000% Due 05-01-17                      | 2,614.86      | 2,161.64   |              | -453.22    |
| 07-06-04     | 06-04-10      | 1,785    | CIT Group Inc Secd Note A<br>7.000% Due 05-01-16                      | 1,912.50      | 1,579.73   |              | -332.77    |
| 07-06-04     | 06-04-10      | 1,071    | CIT Group Inc Secd Note A<br>7.000% Due 05-01-15                      | 1,184.40      | 958.55     |              | -225.85    |
| 07-06-04     | 06-04-10      | 1,071    | CIT Group Inc Secd Note A<br>7.000% Due 05-01-14                      | 1,228.03      | 979.97     |              | -248.06    |
| 07-06-04     | 06-04-10      | 714      | CIT Group Inc Secd Note A<br>7.000% Due 05-01-13                      | 832.10        | 667.59     |              | -164.51    |
| 07-22-08     | 06-04-10      | 700      | ABB Ltd                                                               | 19,957.00     | 11,570.94  |              | -8,386.06  |
| 07-22-08     | 06-04-10      | 1,300    | ABB Ltd                                                               | 37,063.00     | 21,491.23  |              | -15,571.77 |
| 02-22-10     | 06-04-10      | 900      | ABB Ltd                                                               | 18,143.91     | 14,878.55  | -3,265.36    |            |
| 02-22-10     | 06-04-10      | 100      | ABB Ltd                                                               | 2,015.99      | 1,652.98   | -363.01      |            |
| 01-01-99     | 06-04-10      | 460      | Johnson & Johnson                                                     | 22,935.60     | 26,725.82  |              | 3,790.22   |
| 01-01-99     | 06-04-10      | 100      | Johnson & Johnson                                                     | 4,986.00      | 5,809.97   |              | 823.97     |
| 01-01-99     | 06-04-10      | 400      | Johnson & Johnson                                                     | 19,944.00     | 23,239.88  |              | 3,295.88   |
| 09-30-09     | 06-04-10      | 100      | McAfee Inc                                                            | 4,383.00      | 3,236.14   | -1,146.86    |            |
| 09-30-09     | 06-04-10      | 475      | McAfee Inc                                                            | 20,819.25     | 15,366.94  | -5,452.31    |            |
| 07-06-04     | 06-09-10      | 15,000   | Daimler Chrysler North Amer<br>HL Sr Note<br>6.500% Due 11-15-13      | 15,556.05     | 16,425.00  |              | 868.95     |
| 07-06-04     | 06-09-10      | 20,000   | US Bk Nat'l Assn Minn Sub<br>MTNBE<br>6.375% Due 08-01-11             | 20,118.40     | 20,900.00  |              | 781.60     |
| 07-06-04     | 06-09-10      | 10,000   | Bank One Corp SB Note<br>5.250% Due 01-30-13                          | 9,921.90      | 10,514.10  |              | 592.20     |
| 07-06-04     | 06-09-10      | 15,000   | Credit Suisse FB USA notes<br>6.130% Due 11-15-11                     | 14,849.40     | 15,750.00  |              | 900.60     |
| 07-06-04     | 06-09-10      | 10,000   | HSBC Finance<br>6.750% Due 05-15-11                                   | 9,979.30      | 10,343.60  |              | 364.30     |
| 07-06-04     | 06-09-10      | 15,000   | JP Morgan Chase & Co<br>6.750% Due 02-01-11                           | 15,990.30     | 15,419.55  |              | -570.75    |
| 07-06-04     | 06-09-10      | 5,000    | SBC Communications Inc<br>Glbl Note Make Whole<br>6.250% Due 03-15-11 | 5,122.53      | 5,176.90   |              | 54.37      |
| 07-17-09     | 06-16-10      | 3,000    | Nokia Corp Sponsored ADR                                              | 39,330.00     | 26,759.54  | -12,570.46   |            |

Baldwin Brothers, Inc.  
**REALIZED GAINS AND LOSSES**

*The Norton Foundation Inc*

**646-435767**

**61-6024040**

*From 01-01-10 Through 12-31-10*

| Open<br>Date             | Close<br>Date | Quantity    | Security                                                           | Cost<br>Basis | Proceeds     | Gain Or Loss |            |
|--------------------------|---------------|-------------|--------------------------------------------------------------------|---------------|--------------|--------------|------------|
|                          |               |             |                                                                    |               |              | Short Term   | Long Term  |
| 07-06-04                 | 08-18-10      | 45,000      | First Union Nat'l Bk Charlotte<br>MTNBE<br>7.800% Due 08-18-10     | 51,378.15     | 45,000.00    |              | -6,378.15  |
| 01-01-99                 | 08-20-10      | 2,600       | General Electric Company                                           | 40,248.00     | 38,949.15    |              | -1,298.85  |
| 01-01-99                 | 08-20-10      | 400         | General Electric Company                                           | 6,192.00      | 5,991.89     |              | -200.11    |
| 06-01-09                 | 10-07-10      | 500         | Brazil iShares MSCI                                                | 28,828.00     | 39,048.27    |              | 10,220.27  |
| 09-30-10                 | 10-15-10      | 115 5790    | Pimco Total Return Instl                                           | 1,340.72      | 1,348.72     | 8.00         |            |
| 08-31-10                 | 10-15-10      | 112.8530    | Pimco Total Return Instl                                           | 1,302.32      | 1,316.91     | 14.59        |            |
| 07-30-10                 | 10-15-10      | 122 7180    | Pimco Total Return Instl                                           | 1,398.99      | 1,432.03     | 33.04        |            |
| 06-30-10                 | 10-15-10      | 67.2390     | Pimco Total Return Instl                                           | 757.11        | 784.63       | 27.52        |            |
| 06-22-10                 | 10-15-10      | 22,321 4290 | Pimco Total Return Instl                                           | 250,035.00    | 260,473.97   | 10,438.97    |            |
| 06-04-10                 | 10-15-10      | 13,452.9150 | Pimco Total Return Instl                                           | 150,035.00    | 156,985.21   | 6,950.21     |            |
| 05-28-10                 | 10-15-10      | 14.6940     | Pimco Total Return Instl                                           | 163.10        | 171.47       | 8.37         |            |
| 08-05-09                 | 10-15-10      | 9,469 6970  | Pimco Total Return Instl                                           | 100,000.00    | 110,504.10   |              | 10,504.10  |
| 08-31-10                 | 12-03-10      | 128.1440    | Vanguard Bond Index Total<br>Market                                | 1,398.05      | 1,368.90     | -29.15       |            |
| 10-29-10                 | 12-03-10      | 127.3940    | Vanguard Bond Index Total<br>Market                                | 1,387.32      | 1,360.88     | -26.44       |            |
| 09-30-10                 | 12-03-10      | 124.8010    | Vanguard Bond Index Total<br>Market                                | 1,357.83      | 1,333.18     | -24.65       |            |
| 11-30-10                 | 12-03-10      | 124.0520    | Vanguard Bond Index Total<br>Market                                | 1,339.76      | 1,325.18     | -14.58       |            |
| 07-30-10                 | 12-03-10      | 132 0360    | Vanguard Bond Index Total<br>Market                                | 1,423.35      | 1,410.47     | -12.88       |            |
| 06-30-10                 | 12-03-10      | 34.9280     | Vanguard Bond Index Total<br>Market                                | 374.08        | 373.12       | -0.96        |            |
| 06-22-10                 | 12-03-10      | 261.4810    | Vanguard Bond Index Total<br>Market                                | 2,782.35      | 2,793.27     | 10.92        |            |
| 07-06-04                 | 12-16-10      | 150,000     | GE Global Ins Hldg Corp<br>Note<br>6.450% Due 03-01-19             | 160,339.50    | 156,792.00   |              | -3,547.50  |
| 05-29-08                 | 12-16-10      | 50,000      | Hewlett-Packard Co Notes<br>Call Make Whole<br>5.500% Due 03-01-18 | 50,000.00     | 55,439.50    |              | 5,439.50   |
| 12-08-08                 | 12-16-10      | 100,000     | Venzon Communications<br>5.500% Due 02-15-18                       | 88,210.00     | 108,441.00   |              | 20,231.00  |
| 06-22-10                 | 12-30-10      | 46,731.0000 | Vanguard Bond Index Total<br>Market                                | 497,252.65    | 493,444.51   | -3,808.14    |            |
| 12-27-10                 | 12-30-10      | 70 8720     | Vanguard Bond Index Total<br>Market                                | 747.70        | 748.36       | 0.66         |            |
| 12-27-10                 | 12-30-10      | 137.3140    | Vanguard Bond Index Total<br>Market                                | 1,448.66      | 1,449.93     | 1.27         |            |
| TOTAL GAINS              |               |             |                                                                    |               |              | 17,493.55    | 92,546.71  |
| TOTAL LOSSES             |               |             |                                                                    |               |              | -35,425.19   | -52,730.13 |
|                          |               |             |                                                                    | 2,137,327.23  | 2,159,212.17 | -17,931.64   | 39,816.58  |
| TOTAL REALIZED GAIN/LOSS |               |             | 21,884.94                                                          |               |              |              |            |

Baldwin Brothers, Inc.  
**REALIZED GAINS AND LOSSES**  
*The Norton Foundation Inc - HW*  
**646-461997**  
**61-6024040**  
*From 01-01-10 Through 12-31-10*

| Open<br>Date | Close<br>Date | Quantity | Security                                 | Cost<br>Basis | Proceeds  | Gain Or Loss |           |
|--------------|---------------|----------|------------------------------------------|---------------|-----------|--------------|-----------|
|              |               |          |                                          |               |           | Short Term   | Long Term |
| 05-12-10     | 06-11-10      | 496      | Phoenix Sonnenstrom                      | 18,397.28     | 17,537.37 | -859.91      |           |
| 05-21-10     | 06-11-10      | 367      | Phoenix Sonnenstrom                      | 12,771.60     | 12,976.24 | 204.64       |           |
| 06-03-10     | 06-15-10      | 25       | Apple                                    | 6,571.90      | 6,438.89  | -133.01      |           |
| 06-03-10     | 06-15-10      | 178      | Adobe Systems Inc.                       | 5,871.49      | 5,750.01  | -121.48      |           |
| 05-07-10     | 06-15-10      | 100      | Cisco Systems Inc                        | 2,506.93      | 2,295.03  | -211.90      |           |
| 05-07-10     | 06-15-10      | 312      | Cisco Systems Inc                        | 7,820.59      | 7,160.50  | -660.09      |           |
| 06-03-10     | 06-15-10      | 167      | ENERNOC, INC                             | 4,743.25      | 4,741.98  | -1.27        |           |
| 06-03-10     | 06-15-10      | 386      | Ford Motor Company                       | 4,620.30      | 4,446.91  | -173.39      |           |
| 05-07-10     | 06-15-10      | 43       | Honda Motor Co. Ltd. ADR                 | 1,387.61      | 1,287.57  | -100.04      |           |
| 05-07-10     | 06-15-10      | 100      | Honda Motor Co. Ltd ADR                  | 3,226.70      | 2,994.36  | -232.34      |           |
| 05-07-10     | 06-15-10      | 11       | Honda Motor Co. Ltd ADR                  | 354.89        | 329.38    | -25.51       |           |
| 06-03-10     | 06-15-10      | 124      | Koninklijke Philips<br>Electronics NV    | 3,720.00      | 3,964.72  | 244.72       |           |
| 06-08-10     | 06-15-10      | 139      | PowerSecure International,<br>Inc.       | 1,292.70      | 1,353.83  | 61.13        |           |
| 06-08-10     | 06-15-10      | 400      | PowerSecure International,<br>Inc.       | 3,720.00      | 3,895.97  | 175.97       |           |
| 06-03-10     | 06-15-10      | 207      | Red Hat Inc.                             | 6,114.03      | 6,559.71  | 445.68       |           |
| 06-03-10     | 06-15-10      | 157      | Vivo Participacoes S.A.                  | 4,371.85      | 4,568.62  | 196.77       |           |
| 05-27-10     | 06-15-10      | 50       | Vivo Participacoes S.A.                  | 1,360.73      | 1,454.97  | 94.24        |           |
| 05-21-10     | 06-16-10      | 144      | Phoenix Sonnenstrom                      | 5,011.20      | 5,386.71  | 375.51       |           |
| 06-04-10     | 06-16-10      | 214      | Phoenix Sonnenstrom                      | 7,254.60      | 8,005.26  | 750.66       |           |
| 05-07-10     | 06-16-10      | 1,020    | Canadian Solar Inc.                      | 14,174.74     | 11,820.47 | -2,354.27    |           |
| 05-20-10     | 06-16-10      | 300      | Canadian Solar Inc.                      | 3,527.97      | 3,476.61  | -51.36       |           |
| 05-20-10     | 06-16-10      | 332      | Canadian Solar Inc.                      | 3,902.13      | 3,847.45  | -54.68       |           |
| 06-04-10     | 06-17-10      | 383      | Phoenix Sonnenstrom                      | 12,983.70     | 14,369.88 | 1,386.18     |           |
| 05-07-10     | 06-21-10      | 233      | Akeena Solar, Inc.                       | 228.34        | 177.09    | -51.25       |           |
| 05-07-10     | 06-21-10      | 300      | Akeena Solar, Inc.                       | 294.00        | 228.59    | -65.41       |           |
| 05-07-10     | 06-21-10      | 1,093    | Akeena Solar, Inc.                       | 1,071.14      | 830.66    | -240.48      |           |
| 06-03-10     | 06-21-10      | 411      | Banco Bradesco                           | 6,995.22      | 7,246.62  | 251.40       |           |
| 05-07-10     | 06-21-10      | 100      | Energy Recovery, Inc                     | 464.99        | 384.99    | -80.00       |           |
| 05-07-10     | 06-21-10      | 823      | Energy Recovery, Inc                     | 3,826.87      | 3,168.57  | -658.30      |           |
| 05-27-10     | 06-21-10      | 28       | First Solar Inc                          | 3,215.61      | 3,407.57  | 191.96       |           |
| 05-07-10     | 06-21-10      | 2,700    | Hyflux Ltd.                              | 5,940.00      | 6,237.00  | 297.00       |           |
| 06-03-10     | 06-21-10      | 62       | Life Technologies Corp                   | 3,136.58      | 3,168.80  | 32.22        |           |
| 05-20-10     | 06-21-10      | 216      | Natura Cosmeticos SA                     | 4,473.17      | 4,939.58  | 466.41       |           |
| 05-07-10     | 06-21-10      | 100      | Trina Solar Limited<br>Sponsored ADR CMN | 1,896.88      | 1,925.34  | 28.46        |           |
| 05-07-10     | 06-21-10      | 111      | Trina Solar Limited<br>Sponsored ADR CMN | 2,105.46      | 2,137.12  | 31.66        |           |
| 06-03-10     | 06-23-10      | 1,264    | Adobe Systems Inc.                       | 41,694.18     | 38,242.93 | -3,451.25    |           |
| 05-07-10     | 06-23-10      | 585      | Adobe Systems Inc                        | 18,906.85     | 17,699.46 | -1,207.39    |           |
| 05-20-10     | 06-25-10      | 422      | Canadian Solar Inc.                      | 4,959.93      | 4,380.71  | -579.22      |           |
| 06-03-10     | 06-25-10      | 1,229    | Canadian Solar Inc.                      | 12,639.65     | 12,758.03 | 118.38       |           |
| 05-21-10     | 06-30-10      | 67       | Novozymes AS CMN Class B                 | 7,273.89      | 7,082.00  | -191.89      |           |
| 06-03-10     | 07-09-10      | 440      | Life Technologies Corp                   | 22,259.60     | 20,486.63 | -1,772.97    |           |
| 05-07-10     | 07-09-10      | 204      | Life Technologies Corp                   | 10,139.61     | 9,498.34  | -641.27      |           |

Baldwin Brothers, Inc.  
**REALIZED GAINS AND LOSSES**  
*The Norton Foundation Inc - HW*  
**646-461997**  
**61-6024040**  
*From 01-01-10 Through 12-31-10*

| Open<br>Date | Close<br>Date | Quantity | Security                                      | Cost<br>Basis | Proceeds  | Gain Or Loss |           |
|--------------|---------------|----------|-----------------------------------------------|---------------|-----------|--------------|-----------|
|              |               |          |                                               |               |           | Short Term   | Long Term |
| 07-19-10     | 07-20-10      | 179      | Trina Solar Limited<br>Sponsored ADR CMN      | 4,161.40      | 4,197.78  | 36.38        |           |
| 07-08-10     | 07-20-10      | 582      | Trina Solar Limited<br>Sponsored ADR CMN      | 12,000.84     | 13,648.65 | 1,647.81     |           |
| 07-20-10     | 07-20-10      | 116      | Red Hat Inc.                                  | 3,673.77      | 3,624.52  | -49.25       |           |
| 07-08-10     | 07-20-10      | 931      | Red Hat Inc.                                  | 28,579.09     | 29,089.90 | 510.81       |           |
| 06-03-10     | 07-20-10      | 1,476    | Red Hat Inc.                                  | 43,595.73     | 46,118.91 | 2,523.18     |           |
| 05-20-10     | 07-20-10      | 101      | Red Hat Inc.                                  | 2,877.29      | 3,155.83  | 278.54       |           |
| 07-08-10     | 07-21-10      | 1        | Banco Bradesco                                | 0.08          | 10.56     | 10.48        |           |
| 05-07-10     | 07-22-10      | 391      | Akeena Solar, Inc.                            | 383.18        | 310.11    | -73.07       |           |
| 06-03-10     | 07-23-10      | 698      | Cepheid Inc.                                  | 12,836.15     | 11,288.42 | -1,547.73    |           |
| 05-20-10     | 07-23-10      | 599      | Cepheid Inc.                                  | 10,457.52     | 9,687.34  | -770.18      |           |
| 05-07-10     | 07-23-10      | 580      | Cepheid Inc.                                  | 9,898.34      | 9,380.07  | -518.27      |           |
| 07-08-10     | 07-23-10      | 300      | Cepheid Inc.                                  | 4,505.27      | 4,851.76  | 346.49       |           |
| 05-07-10     | 07-23-10      | 3,459    | Akeena Solar, Inc.                            | 3,389.82      | 2,787.55  | -602.27      |           |
| 05-07-10     | 07-27-10      | 1,695    | Akeena Solar, Inc.                            | 1,661.10      | 1,311.22  | -349.88      |           |
| 05-12-10     | 07-27-10      | 1        | Gamesa Corp                                   | 6.23          | 3.79      | -2.44        |           |
| 07-02-10     | 07-27-10      | 3,919    | Gamesa Technologica SA<br>Allocation exp 7/16 | 0.00          | 0.00      | 0.00         |           |
| 05-07-10     | 07-30-10      | 3,717    | Akeena Solar, Inc.                            | 3,642.66      | 2,893.63  | -749.03      |           |
| 06-03-10     | 07-30-10      | 4,519    | Akeena Solar, Inc.                            | 4,262.39      | 3,517.98  | -744.41      |           |
| 06-03-10     | 08-03-10      | 6,864    | Akeena Solar, Inc.                            | 6,474.22      | 5,379.22  | -1,095.00    |           |
| 06-03-10     | 08-05-10      | 1,229    | Akeena Solar, Inc.                            | 1,159.21      | 997.35    | -161.86      |           |
| 06-03-10     | 08-17-10      | 498      | Akeena Solar, Inc.                            | 469.72        | 371.64    | -98.08       |           |
| 05-20-10     | 08-17-10      | 400      | Akeena Solar, Inc.                            | 363.16        | 298.50    | -64.66       |           |
| 05-20-10     | 08-17-10      | 941      | Akeena Solar, Inc.                            | 854.43        | 702.23    | -152.20      |           |
| 05-07-10     | 08-23-10      | 357      | Lime Energy                                   | 1,293.55      | 1,179.49  | -114.06      |           |
| 05-07-10     | 08-24-10      | 308      | Lime Energy                                   | 1,116.01      | 1,031.78  | -84.23       |           |
| 05-20-10     | 08-24-10      | 107      | Lime Energy                                   | 383.06        | 358.44    | -24.62       |           |
| 08-17-10     | 08-25-10      | 432      | Vesta Wind Systems<br>DKK1.00                 | 23,650.40     | 16,558.21 | -7,092.19    |           |
| 07-08-10     | 09-01-10      | 363      | Trina Solar Limited<br>Sponsored ADR CMN      | 7,485.06      | 9,791.65  | 2,306.59     |           |
| 07-13-10     | 09-01-10      | 588      | Trina Solar Limited<br>Sponsored ADR CMN      | 12,079.64     | 15,860.85 | 3,781.21     |           |
| 05-07-10     | 09-01-10      | 409      | Trina Solar Limited<br>Sponsored ADR CMN      | 7,757.95      | 11,032.47 | 3,274.52     |           |
| 05-07-10     | 09-01-10      | 5        | Trina Solar Limited<br>Sponsored ADR CMN      | 94.84         | 134.87    | 40.03        |           |
| 05-07-10     | 09-01-10      | 100      | Trina Solar Limited<br>Sponsored ADR CMN      | 1,896.84      | 2,697.42  | 800.58       |           |
| 05-07-10     | 09-01-10      | 100      | Trina Solar Limited<br>Sponsored ADR CMN      | 1,896.50      | 2,697.42  | 800.92       |           |
| 06-03-10     | 09-01-10      | 159      | Trina Solar Limited<br>Sponsored ADR CMN      | 2,809.94      | 4,288.91  | 1,478.97     |           |
| 05-20-10     | 09-01-10      | 52       | Trina Solar Limited<br>Sponsored ADR CMN      | 918.65        | 1,402.66  | 484.01       |           |

Baldwin Brothers, Inc.  
**REALIZED GAINS AND LOSSES**  
*The Norton Foundation Inc - HW*

646-461997

61-6024040

From 01-01-10 Through 12-31-10

| Open<br>Date | Close<br>Date | Quantity | Security                                      | Cost<br>Basis | Proceeds  | Gain Or Loss |           |
|--------------|---------------|----------|-----------------------------------------------|---------------|-----------|--------------|-----------|
|              |               |          |                                               |               |           | Short Term   | Long Term |
| 05-07-10     | 09-02-10      | 559      | Ford Motor Company                            | 6,255.21      | 6,529.06  | 273.85       |           |
| 05-07-10     | 09-02-10      | 606      | Ford Motor Company                            | 6,781.14      | 7,078.02  | 296.88       |           |
| 05-07-10     | 09-02-10      | 1,000    | Ford Motor Company                            | 11,189.50     | 11,679.90 | 490.40       |           |
| 05-07-10     | 09-02-10      | 400      | Ford Motor Company                            | 4,475.92      | 4,671.96  | 196.04       |           |
| 05-20-10     | 09-02-10      | 537      | Ford Motor Company                            | 5,917.36      | 6,272.11  | 354.75       |           |
| 05-07-10     | 09-08-10      | 558      | Adobe Systems Inc.                            | 18,034.22     | 16,345.44 | -1,688.78    |           |
| 05-20-10     | 09-08-10      | 215      | Lime Energy                                   | 769.70        | 753.98    | -15.72       |           |
| 07-19-10     | 09-15-10      | 697      | PowerSecure International,<br>Inc.            | 6,666.60      | 6,419.74  | -246.86      |           |
| 07-15-10     | 09-15-10      | 203      | PowerSecure International,<br>Inc.            | 1,934.31      | 1,869.74  | -64.57       |           |
| 07-15-10     | 09-16-10      | 533      | PowerSecure International,<br>Inc.            | 5,078.74      | 4,779.65  | -299.09      |           |
| 07-19-10     | 09-16-10      | 93       | PowerSecure International,<br>Inc.            | 884.43        | 833.97    | -50.46       |           |
| 05-07-10     | 09-16-10      | 687      | Sims Metal Management Ltd<br>Sponsored ADR CM | 12,570.31     | 11,437.80 | -1,132.51    |           |
| 05-07-10     | 09-22-10      | 54       | Adobe Systems Inc.                            | 1,745.25      | 1,423.53  | -321.72      |           |
| 05-20-10     | 09-22-10      | 1,237    | Adobe Systems Inc.                            | 39,591.42     | 32,609.36 | -6,982.06    |           |
| 07-20-10     | 09-22-10      | 50       | Adobe Systems Inc.                            | 1,362.50      | 1,318.08  | -44.42       |           |
| 07-08-10     | 09-22-10      | 399      | Adobe Systems Inc.                            | 10,585.48     | 10,518.30 | -67.18       |           |
| 07-08-10     | 09-22-10      | 403      | Nordex AG                                     | 4,065.63      | 3,788.08  | -277.55      |           |
| 06-03-10     | 09-22-10      | 979      | Nordex AG                                     | 9,134.07      | 9,202.31  | 68.24        |           |
| 05-12-10     | 09-22-10      | 690      | Nordex AG                                     | 6,400.17      | 6,485.79  | 85.62        |           |
| 08-17-10     | 09-22-10      | 520      | Vesta Wind Systems<br>DKK1.00                 | 28,468.08     | 19,683.67 | -8,784.41    |           |
| 05-07-10     | 09-22-10      | 126      | Vesta Wind Systems<br>DKK1.00                 | 6,369.67      | 4,769.50  | -1,600.17    |           |
| 07-19-10     | 09-27-10      | 16       | First Solar Inc                               | 2,112.80      | 2,365.49  | 252.69       |           |
| 07-08-10     | 09-27-10      | 127      | First Solar Inc                               | 16,333.15     | 18,776.10 | 2,442.95     |           |
| 05-27-10     | 09-27-10      | 49       | First Solar Inc                               | 5,627.32      | 7,244.32  | 1,617.00     |           |
| 09-10-10     | 09-27-10      | 3,641    | JA Solar Holdgins ADS                         | 26,758.80     | 30,044.65 | 3,285.85     |           |
| 09-08-10     | 09-27-10      | 30       | JA Solar Holdgins ADS                         | 216.65        | 247.55    | 30.90        |           |
| 05-12-10     | 09-27-10      | 88       | Nordex AG                                     | 816.25        | 819.83    | 3.58         |           |
| 05-21-10     | 09-27-10      | 759      | Nordex AG                                     | 6,810.28      | 7,071.08  | 260.80       |           |
| 05-20-10     | 09-27-10      | 1,373    | Akeena Solar, Inc                             | 1,246.68      | 843.56    | -403.12      |           |
| 06-03-10     | 09-29-10      | 172      | Apple                                         | 45,214.67     | 49,662.89 | 4,448.22     |           |
| 06-15-10     | 09-29-10      | 463      | Christ Water Technology                       | 1,713.10      | 625.14    | -1,087.96    |           |
| 05-07-10     | 09-29-10      | 256      | Christ Water Technology                       | 941.60        | 345.65    | -595.95      |           |
| 05-21-10     | 09-29-10      | 207      | Christ Water Technology                       | 734.15        | 279.49    | -454.66      |           |
| 05-20-10     | 10-01-10      | 228      | Lime Energy                                   | 816.24        | 808.61    | -7.63        |           |
| 05-20-10     | 10-01-10      | 100      | Lime Energy                                   | 354.99        | 354.65    | -0.34        |           |
| 06-03-10     | 10-01-10      | 228      | Lime Energy                                   | 800.26        | 808.61    | 8.35         |           |
| 09-30-10     | 10-05-10      | 165      | First Solar Inc                               | 24,910.88     | 23,502.69 | -1,408.19    |           |
| 07-08-10     | 10-05-10      | 557      | Koninklijke Philips<br>Electronics NV         | 17,465.51     | 17,620.67 | 155.16       |           |

Baldwin Brothers, Inc.  
**REALIZED GAINS AND LOSSES**  
*The Norton Foundation Inc - HW*

646-461997

61-6024040

From 01-01-10 Through 12-31-10

| Open<br>Date | Close<br>Date | Quantity | Security                                      | Cost<br>Basis | Proceeds  | Gain Or Loss |           |
|--------------|---------------|----------|-----------------------------------------------|---------------|-----------|--------------|-----------|
|              |               |          |                                               |               |           | Short Term   | Long Term |
| 09-03-10     | 10-05-10      | 546      | Koninklijke Philips<br>Electronics NV         | 16,647.98     | 17,272.69 | 624.71       |           |
| 07-20-10     | 10-05-10      | 69       | Koninklijke Philips<br>Electronics NV         | 2,101.73      | 2,182.81  | 81.08        |           |
| 06-03-10     | 10-05-10      | 444      | Koninklijke Philips<br>Electronics NV         | 13,320.00     | 14,045.93 | 725.93       |           |
| 05-07-10     | 10-06-10      | 20,000   | Chaoda Modern Agriculture<br>Hldgs            | 22,400.00     | 16,719.48 | -5,680.52    |           |
| 06-04-10     | 10-06-10      | 1,257    | Chaoda Modern Agriculture<br>Hldgs            | 1,336.57      | 1,050.82  | -285.75      |           |
| 05-20-10     | 10-12-10      | 8,522    | Akeena Solar, Inc.                            | 7,737.98      | 4,779.62  | -2,958.36    |           |
| 06-03-10     | 10-12-10      | 611      | Lime Energy                                   | 2,144.55      | 2,125.93  | -18.62       |           |
| 06-04-10     | 10-13-10      | 40,743   | Chaoda Modern Agriculture<br>Hldgs            | 43,322.03     | 32,952.94 | -10,369.09   |           |
| 07-08-10     | 10-18-10      | 91       | Ormat Technologies Inc.                       | 2,646.73      | 2,664.10  | 17.37        |           |
| 05-07-10     | 10-18-10      | 175      | Ormat Technologies Inc.                       | 4,931.76      | 5,123.27  | 191.51       |           |
| 06-03-10     | 10-18-10      | 216      | Ormat Technologies Inc.                       | 6,004.80      | 6,323.58  | 318.78       |           |
| 05-20-10     | 10-18-10      | 177      | Ormat Technologies Inc.                       | 4,852.03      | 5,181.83  | 329.80       |           |
| 07-19-10     | 10-18-10      | 100      | PowerSecure International,<br>Inc.            | 970.00        | 976.65    | 6.65         |           |
| 07-19-10     | 10-18-10      | 470      | PowerSecure International,<br>Inc.            | 4,495.41      | 4,590.27  | 94.86        |           |
| 05-07-10     | 10-20-10      | 8,584    | Hansen Transmissions Intl<br>NV               | 12,017.60     | 5,761.75  | -6,255.85    |           |
| 06-04-10     | 10-20-10      | 7,474    | Hansen Transmissions Intl<br>NV               | 10,258.81     | 5,016.70  | -5,242.11    |           |
| 05-13-10     | 10-21-10      | 13,000   | China High-Speed<br>Transmission              | 32,251.70     | 28,016.21 | -4,235.49    |           |
| 06-22-10     | 10-21-10      | 15,000   | China High-Speed<br>Transmission              | 36,220.50     | 32,326.39 | -3,894.11    |           |
| 07-09-10     | 10-21-10      | 6,461    | China High-Speed<br>Transmission              | 13,772.27     | 13,924.05 | 151.78       |           |
| 06-04-10     | 10-21-10      | 2,861    | Hansen Transmissions Intl<br>NV               | 3,927.01      | 1,863.48  | -2,063.53    |           |
| 05-21-10     | 10-21-10      | 8,857    | Hansen Transmissions Intl<br>NV               | 11,518.53     | 5,768.92  | -5,749.61    |           |
| 07-08-10     | 10-21-10      | 4,444    | Hansen Transmissions Intl<br>NV               | 4,996.39      | 2,894.56  | -2,101.83    |           |
| 05-07-10     | 10-21-10      | 315      | Sims Metal Management Ltd<br>Sponsored ADR CM | 5,763.68      | 4,970.39  | -793.29      |           |
| 06-03-10     | 10-21-10      | 1,003    | Sims Metal Management Ltd<br>Sponsored ADR CM | 16,474.97     | 15,826.37 | -648.60      |           |
| 05-07-10     | 10-21-10      | 252      | Vesta Wind Systems<br>DKK1.00                 | 12,739.33     | 9,353.59  | -3,385.74    |           |
| 05-21-10     | 10-21-10      | 390      | Vesta Wind Systems<br>DKK1.00                 | 18,810.90     | 14,475.78 | -4,335.12    |           |

Baldwin Brothers, Inc.  
**REALIZED GAINS AND LOSSES**  
*The Norton Foundation Inc - HW*  
**646-461997**  
**61-6024040**  
*From 01-01-10 Through 12-31-10*

| Open<br>Date | Close<br>Date | Quantity | Security                                      | Cost<br>Basis | Proceeds  | Gain Or Loss |           |
|--------------|---------------|----------|-----------------------------------------------|---------------|-----------|--------------|-----------|
|              |               |          |                                               |               |           | Short Term   | Long Term |
| 06-03-10     | 10-21-10      | 455      | Vesta Wind Systems<br>DKK1.00                 | 21,680.75     | 16,888.42 | -4,792.33    |           |
| 07-08-10     | 10-21-10      | 196      | Vesta Wind Systems<br>DKK1 00                 | 8,955.64      | 7,275.01  | -1,680.63    |           |
| 06-03-10     | 10-22-10      | 203      | Sims Metal Management Ltd<br>Sponsored ADR CM | 3,334.42      | 3,159.71  | -174.71      |           |
| 05-20-10     | 10-22-10      | 100      | Sims Metal Management Ltd<br>Sponsored ADR CM | 1,597.99      | 1,556.50  | -41.49       |           |
| 05-20-10     | 10-22-10      | 532      | Sims Metal Management Ltd<br>Sponsored ADR CM | 8,494.98      | 8,280.61  | -214.37      |           |
| 05-20-10     | 10-22-10      | 105      | Sims Metal Management Ltd<br>Sponsored ADR CM | 1,675.79      | 1,634.33  | -41.46       |           |
| 05-20-10     | 10-25-10      | 95       | Sims Metal Management Ltd<br>Sponsored ADR CM | 1,516.19      | 1,515.74  | -0.45        |           |
| 05-20-10     | 10-25-10      | 3        | Sims Metal Management Ltd<br>Sponsored ADR CM | 47.79         | 47.87     | 0.08         |           |
| 05-20-10     | 10-25-10      | 200      | Sims Metal Management Ltd<br>Sponsored ADR CM | 3,185.98      | 3,191.02  | 5.04         |           |
| 07-08-10     | 10-25-10      | 520      | Sims Metal Management Ltd<br>Sponsored ADR CM | 7,585.81      | 8,296.66  | 710.85       |           |
| 10-20-10     | 10-26-10      | 683      | American Superconductor<br>Corp               | 23,702.42     | 23,837.03 | 134.61       |           |
| 10-20-10     | 10-26-10      | 196      | American Superconductor<br>Corp               | 6,801.87      | 6,840.50  | 38.63        |           |
| 10-21-10     | 10-26-10      | 683      | American Superconductor<br>Corp               | 23,539.25     | 23,837.03 | 297.78       |           |
| 09-30-10     | 10-29-10      | 8        | First Solar Inc                               | 1,207.80      | 1,111.76  | -96.04       |           |
| 05-27-10     | 10-29-10      | 520      | First Solar Inc                               | 59,718.46     | 72,264.44 | 12,545.98    |           |
| 06-03-10     | 10-29-10      | 21       | First Solar Inc                               | 2,361.24      | 2,918.37  | 557.13       |           |
| 08-27-10     | 11-08-10      | 285      | Natura Cosméticos SA                          | 6,971.75      | 8,002.47  | 1,030.72     |           |
| 05-07-10     | 11-11-10      | 2,365    | Cisco Systems Inc                             | 59,281.09     | 48,634.22 | -10,646.87   |           |
| 05-20-10     | 11-11-10      | 2,866    | Cisco Systems Inc                             | 67,780.90     | 58,936.86 | -8,844.04    |           |
| 06-03-10     | 11-11-10      | 97       | Cisco Systems Inc                             | 2,283.96      | 1,994.72  | -289.24      |           |
| 07-20-10     | 11-17-10      | 2,481    | SSL International PLC                         | 33,781.05     | 46,185.94 | 12,404.89    |           |
| 06-15-10     | 11-17-10      | 4,397    | SSL International PLC                         | 55,946.55     | 81,853.93 | 25,907.38    |           |
| 05-12-10     | 11-17-10      | 4,165    | SSL International PLC                         | 52,566.47     | 77,535.05 | 24,968.58    |           |
| 05-21-10     | 11-17-10      | 4,298    | SSL International PLC                         | 48,914.68     | 80,010.96 | 31,096.28    |           |
| 06-03-10     | 11-18-10      | 440      | Koninklijke Philips<br>Electronics NV         | 13,200.00     | 13,786.15 | 586.15       |           |
| 09-07-10     | 11-18-10      | 386      | Koninklijke Philips<br>Electronics NV         | 11,545.77     | 12,094.22 | 548.45       |           |
| 05-20-10     | 11-18-10      | 864      | Koninklijke Philips<br>Electronics NV         | 25,409.55     | 27,071.00 | 1,661.45     |           |
| 05-07-10     | 11-18-10      | 836      | Koninklijke Philips<br>Electronics NV         | 24,073.79     | 26,193.69 | 2,119.90     |           |
| 05-07-10     | 12-03-10      | 958      | Energy Recovery, Inc.                         | 4,454.60      | 3,537.25  | -917.35      |           |
| 05-07-10     | 12-03-10      | 817      | Energy Recovery, Inc.                         | 3,799.05      | 3,016.64  | -782.41      |           |

Baldwin Brothers, Inc.  
**REALIZED GAINS AND LOSSES**  
*The Norton Foundation Inc - HW*  
**646-461997**  
**61-6024040**  
*From 01-01-10 Through 12-31-10*

| Open<br>Date                   | Close<br>Date | Quantity   | Security                                    | Cost<br>Basis | Proceeds  | Gain Or Loss        |                     |
|--------------------------------|---------------|------------|---------------------------------------------|---------------|-----------|---------------------|---------------------|
|                                |               |            |                                             |               |           | Short Term          | Long Term           |
| 07-08-10                       | 12-09-10      | 1,430      | EDP Renovais SA Oviedo<br>shs isin# ES01277 | 9,374.08      | 7,905.12  | -1,468.96           |                     |
| 05-07-10                       | 12-09-10      | 2,763      | EDP Renovais SA Oviedo<br>shs isin# ES01277 | 17,545.05     | 15,274.03 | -2,271.02           |                     |
| 05-21-10                       | 12-09-10      | 975        | EDP Renovais SA Oviedo<br>shs isin# ES01277 | 5,723.25      | 5,389.86  | -333.39             |                     |
| 05-12-10                       | 12-09-10      | 1,211      | Gamesa Corp                                 | 13,214.48     | 7,950.13  | -5,264.35           |                     |
| 05-21-10                       | 12-09-10      | 937        | Gamesa Corp                                 | 9,330.86      | 6,151.34  | -3,179.52           |                     |
| 11-02-10                       | 12-13-10      | 523        | American Superconductor<br>Corp             | 17,993.81     | 15,922.83 | -2,070.98           |                     |
| 12-01-10                       | 12-15-10      | 80         | Apple                                       | 25,295.14     | 25,778.12 | 482.98              |                     |
| 06-03-10                       | 12-15-10      | 2          | Apple                                       | 525.75        | 644.45    | 118.70              |                     |
| 07-08-10                       | 12-15-10      | 3          | Apple                                       | 770.17        | 966.68    | 196.51              |                     |
| 05-07-10                       | 12-15-10      | 669        | Energy Recovery, Inc.                       | 3,110.85      | 2,539.61  | -571.24             |                     |
| 05-07-10                       | 12-15-10      | 200        | Energy Recovery, Inc.                       | 928.00        | 759.23    | -168.77             |                     |
| 05-07-10                       | 12-15-10      | 1,795      | Energy Recovery, Inc.                       | 8,310.85      | 6,814.06  | -1,496.79           |                     |
| 07-19-10                       | 12-15-10      | 3          | PowerSecure International,<br>Inc.          | 28.69         | 24.43     | -4.26               |                     |
| 07-19-10                       | 12-15-10      | 83         | PowerSecure International,<br>Inc.          | 789.33        | 675.90    | -113.43             |                     |
| 06-08-10                       | 12-15-10      | 2,531      | PowerSecure International,<br>Inc.          | 23,538.30     | 20,610.84 | -2,927.46           |                     |
| 11-02-10                       | 12-20-10      | 1,047      | American Superconductor<br>Corp             | 36,022.01     | 30,466.55 | -5,555.46           |                     |
|                                | 12-21-10      | 396,065.39 | Hong Kong Dollar - dollarized               | 51,027.55     | 50,946.46 | -81.09*             |                     |
| 05-07-10                       | 12-22-10      | 205        | Energy Recovery, Inc.                       | 949.15        | 733.35    | -215.80             |                     |
| 05-07-10                       | 12-22-10      | 614        | Energy Recovery, Inc.                       | 2,836.68      | 2,196.48  | -640.20             |                     |
| 08-02-10                       | 12-22-10      | 766        | Energy Recovery, Inc.                       | 3,184.03      | 2,740.24  | -443.79             |                     |
| 07-08-10                       | 12-22-10      | 103        | Energy Recovery, Inc.                       | 426.87        | 368.47    | -58.40              |                     |
| 07-08-10                       | 12-23-10      | 1,752      | Energy Recovery, Inc.                       | 7,260.99      | 6,340.73  | -920.26             |                     |
| 07-08-10                       | 12-27-10      | 964        | Energy Recovery, Inc.                       | 3,995.20      | 3,451.06  | -544.14             |                     |
| TOTAL GAINS                    |               |            |                                             |               |           | 155,899.34          | 0.00                |
| TOTAL LOSSES                   |               |            |                                             |               |           | -168,613.80         | 0.00                |
|                                |               |            |                                             |               |           | <b>1,984,275.21</b> | <b>1,971,560.75</b> |
| TOTAL REALIZED GAIN/LOSS       |               |            |                                             |               |           | -12,714.46          | 0.00                |
| NO CAPITAL GAINS DISTRIBUTIONS |               |            |                                             |               |           |                     |                     |

An "\*" denotes an average cost transaction.

MorganStanley  
SmithBarney

# Client Statement 2010 Year End Summary

Ref 00011642 00079393

NORTON FOUNDATION

Account Number 13D-15707-19 003

## Details of Long Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure

| Reference number | Quantity | Security Description                                                                        | Opening Trade Date | Closing Trade Date | Sale Proceeds | Original Cost Adjusted Cost    | Original Gain/(Loss) Adjusted Gain/(Loss) | Ordinary Income Capital Gain/(Loss) |
|------------------|----------|---------------------------------------------------------------------------------------------|--------------------|--------------------|---------------|--------------------------------|-------------------------------------------|-------------------------------------|
| 125000010        | 40,000   | BELLSOUTH CORP BK/ENTRY<br>DTD 11/15/2004<br>DUE 11/15/2034 RATE 6.000<br>YTM 6.147         | 07/06/04           | 04/19/10           | \$ 39,250.80  | \$ 39,457.60 e<br>\$ 39,457.60 | (\$ 206.80)<br>(\$ 206.80)                | \$ 0.00<br>(\$ 206.80)              |
| 125000020        | 30,000   | FEDERAL EXPRESS CORP<br>DTD 07/07/98<br>DUE 01/15/2022 RATE 6.720<br>YTM 6.607              | 07/06/04           | 04/19/10           | 20,132.22     | 19,090.16 e<br>19,090.16       | 1,042.06<br>1,042.06                      | 0.00<br>1,042.06                    |
| 125000030        | 50,000   | INTL BUSINESS MACHINESS CORP<br>DEBS-REG-CALLABLE<br>DUE 01/15/2028 RATE 6.500<br>YTM 5.498 | 07/06/04           | 04/19/10           | 55,616.00     | 51,799.16 e<br>51,555.50       | 3,816.84<br>4,060.50                      | 0.00<br>4,060.50                    |
| 125000040        | 10,000   | QUEBEC PROVINCE-USD<br>DTD 09/24/1999<br>DUE 09/15/2029 RATE 7.500<br>YTM 5.073             | 07/06/04           | 04/19/10           | 12,967.00     | 11,036.50 e<br>10,922.80       | 1,930.50<br>2,044.20                      | 0.00<br>2,044.20                    |
| 125000050        | 75,000   | U S TREASURY BONDS<br>DTD 2/15/1996<br>DUE 02/15/2026 RATE 6.000<br>YIELD 4.431MTY          | 07/06/04           | 04/19/10           | 88,274.42     | 81,046.88 e<br>85,718.25       | 7,227.54<br>2,556.17                      | 0.00<br>2,556.17                    |
| 125000060        | 130,000  | U S TREASURY BONDS-<br>DTD 8/17/1998<br>DUE 08/15/2028 RATE 5.500<br>YIELD 4.534MTY         | 07/06/04           | 04/19/10           | 145,508.60    | 138,495.31 e<br>137,267.00     | 7,013.29<br>8,241.60                      | 0.00<br>8,241.60                    |
| 125000070        | 115,000  | U S TREASURY BONDS<br>DTD 11/16/1998<br>DUE 11/15/2028 RATE 5.250<br>YIELD 4.526MTY         | 07/06/04           | 04/19/10           | 125,381.45    | 120,403.52 e<br>119,629.90     | 4,977.93<br>5,751.55                      | 0.00<br>5,751.55                    |
| 125000080        | 250,000  | U S TREASURY BONDS<br>DTD 02/16/1999<br>DUE 02/15/2029 RATE 5.250<br>YIELD 4.554MTY         | 07/06/04           | 04/19/10           | 271,816.41    | 252,921.50 e<br>252,515.00     | 18,894.91<br>19,301.41                    | 0.00<br>19,301.41                   |

2 0 1 0 Y E A R E N D S U M M A R Y

MorganStanley  
SmithBarney

# Client Statement 2010 Year End Summary

Ref 00011642 00079394

NORTON FOUNDATION

Account Number 13D-15707-19 003

## Details of Long Term Gain (Loss) 2010 - continued

| Reference number | Quantity | Security Description                                                            | Opening Trade Date | Closing Trade Date | Sale Proceeds | Original Cost Adjusted Cost    | Original Gain/(Loss) Adjusted Gain/(Loss) | Ordinary Income Capital Gain/(Loss) |
|------------------|----------|---------------------------------------------------------------------------------|--------------------|--------------------|---------------|--------------------------------|-------------------------------------------|-------------------------------------|
| 125000090        | 45,000   | VERIZON GLOBAL FDG<br>DTD 12/12/2000<br>DUE 12/01/2030 RATE 7.750<br>YTM 6.154  | 07/06/04           | 04/19/10           | \$ 53,313.15  | \$ 48,000.62 e<br>\$ 47,721.15 | \$ 5,312.53<br>\$ 5,592.00                | \$ 0.00<br>\$ 5,592.00              |
| 125000100        | 10,000   | WAL MART STORES INC<br>DTD 02/15/2000<br>DUE 02/15/2030 RATE 7.550<br>YTM 5.593 | 07/06/04           | 04/19/10           | 12,318.80     | 11,190.94 e<br>11,062.80       | 1,127.86<br>1,256.00                      | 0.00<br>1,256.00                    |
| Total            |          |                                                                                 |                    |                    | \$ 824,578.85 | \$ 773,442.19<br>\$ 774,940.18 | \$ 51,136.66<br>\$ 49,638.69              | \$ 0.00<br>\$ 49,638.69             |

e Based on information supplied by Client or other financial institution, not verified by us

## Details of Accrued Income 2010

This section shows your accrued income paid or received as a result of purchases and sales.

| Reference number | Transaction Description                                                       | Trade Date | Interest Paid | Interest Received |
|------------------|-------------------------------------------------------------------------------|------------|---------------|-------------------|
| 120000100        | BELLSOUTH CORP BK/ENTRY<br>DTD 11/15/2004<br>DUE 11/15/2034 RATE 6.000        | 04/19/10   |               | \$ 1,046.67       |
| 120000400        | FEDERAL EXPRESS CORP<br>DTD 07/07/98<br>DUE 01/15/2022 RATE 6.720             | 04/19/10   |               | 361.39            |
| 120000500        | INTL BUSINESS MACHINES CORP<br>DEBS-REG-CALLABLE<br>DUE 01/15/2028 RATE 6.500 | 04/19/10   |               | 875.69            |
| 120000600        | QUEBEC PROVINCE-USD<br>DTD 09/24/1999<br>DUE 09/15/2028 RATE 7.500            | 04/19/10   |               | 77.08             |
| 120000700        | U S TREASURY BONDS<br>DTD 2/15/1996<br>DUE 02/15/2026 RATE 6.000              | 04/19/10   |               | 795.58            |

2 0 1 0 Y E A R E N D S U M M A R Y

# The Norton Foundation, Inc. (River Road Asset Management)

Account#: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnolley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security        | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|--------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Res-Care Inc common            | 760943100 | 60 000000  | 06/09/2009 | 01/05/2010 | 210       | 0 00   | 686 86    | -861 89    | -175 03   |
| Res-Care Inc common            | 760943100 | 60 000000  | 06/10/2009 | 01/06/2010 | 210       | 0 00   | 673 86    | -850 20    | -176 34   |
| Res-Care Inc common            | 760943100 | 80 000000  | 06/09/2009 | 01/06/2010 | 211       | 0 00   | 898 48    | -1,149 19  | -250 71   |
| Res-Care Inc common            | 760943100 | 90 000000  | 06/10/2009 | 01/07/2010 | 211       | 0 00   | 988 62    | -1,275 31  | -286 69   |
| Martek Biosciences             | 572901106 | 100 000000 | 07/10/2009 | 01/11/2010 | 185       | 0 00   | 1,898 43  | -2,158 81  | -260 38   |
| Martek Biosciences             | 572901106 | 10 000000  | 06/09/2009 | 01/12/2010 | 217       | 0 00   | 187 98    | -212 56    | -24 58    |
| CKX Inc                        | 12562M106 | 120 000000 | 06/05/2009 | 01/19/2010 | 228       | 0 00   | 597 75    | -971 33    | -373 58   |
| CKX Inc                        | 12562M106 | 280 000000 | 06/09/2009 | 01/20/2010 | 225       | 0 00   | 1,394 94  | -2,153 71  | -758 77   |
| CKX Inc                        | 12562M106 | 70 000000  | 06/05/2009 | 01/20/2010 | 229       | 0 00   | 348 73    | -566 61    | -217 88   |
| Hillenbrand Corp               | 431571108 | 50 000000  | 08/13/2009 | 01/20/2010 | 160       | 0 00   | 901 03    | -972 70    | -71 67    |
| Hillenbrand Corp               | 431571108 | 50 000000  | 08/14/2009 | 01/20/2010 | 159       | 0 00   | 901 03    | -1,002 77  | -101 74   |
| Brinks Home Security Holdings  | 109699108 | 90 000000  | 06/01/2009 | 01/21/2010 | 234       | 0 00   | 3,723 15  | -2,689 92  | 1,033 23  |
| CKX Inc                        | 12562M106 | 10 000000  | 06/09/2009 | 01/21/2010 | 226       | 0 00   | 49 90     | -76 92     | -27 02    |
| Hillenbrand Corp               | 431571108 | 30 000000  | 08/12/2009 | 01/21/2010 | 162       | 0 00   | 537 71    | -575 67    | -37 96    |
| Hillenbrand Corp               | 431571108 | 70 000000  | 08/13/2009 | 01/21/2010 | 161       | 0 00   | 1,254 66  | -1,361 79  | -107 13   |
| Brinks Home Security Holdings  | 109699108 | 100 000000 | 04/22/2009 | 01/22/2010 | 275       | 0 00   | 4,131 37  | -2,422 87  | 1,708 50  |
| Brinks Home Security Holdings  | 109699108 | 90 000000  | 04/24/2009 | 01/22/2010 | 273       | 0 00   | 3,718 23  | -2,494 20  | 1,224 03  |
| Brinks Home Security Holdings  | 109699108 | 280 000000 | 05/29/2009 | 01/22/2010 | 238       | 0 00   | 11,567 83 | -8,013 37  | 3,554 46  |
| Brinks Home Security Holdings  | 109699108 | 60 000000  | 06/01/2009 | 01/22/2010 | 235       | 0 00   | 2,478 82  | -1,793 28  | 685 54    |
| CKX Inc                        | 12562M106 | 40 000000  | 06/09/2009 | 01/22/2010 | 227       | 0 00   | 187 56    | -307 67    | -120 11   |
| Hillenbrand Corp               | 431571108 | 60 000000  | 08/12/2009 | 01/22/2010 | 163       | 0 00   | 1,076 35  | -1,151 33  | -74 98    |
| CKX Inc                        | 12562M106 | 90 000000  | 06/09/2009 | 01/25/2010 | 230       | 0 00   | 391 13    | -692 26    | -301 13   |
| CKX Inc                        | 12562M106 | 150 000000 | 08/13/2009 | 01/26/2010 | 166       | 0 00   | 598 01    | -1,116 36  | -518 35   |
| CKX Inc                        | 12562M106 | 10 000000  | 06/09/2009 | 01/26/2010 | 231       | 0 00   | 39 87     | -76 92     | -37 05    |
| CKX Inc                        | 12562M106 | 30 000000  | 08/12/2009 | 01/27/2010 | 168       | 0 00   | 123 06    | -210 60    | -87 54    |
| CKX Inc                        | 12562M106 | 40 000000  | 06/17/2009 | 01/27/2010 | 224       | 0 00   | 164 09    | -282 42    | -118 33   |
| CKX Inc                        | 12562M106 | 40 000000  | 06/18/2009 | 01/27/2010 | 223       | 0 00   | 164 08    | -287 46    | -123 38   |
| CKX Inc                        | 12562M106 | 30 000000  | 08/13/2009 | 01/27/2010 | 167       | 0 00   | 123 07    | -223 27    | -100 20   |
| CKX Inc                        | 12562M106 | 40 000000  | 05/29/2009 | 01/28/2010 | 244       | 0 00   | 165 20    | -277 45    | -112 25   |
| CKX Inc                        | 12562M106 | 200 000000 | 08/12/2009 | 01/28/2010 | 169       | 0 00   | 825 96    | -1,404 00  | -578 04   |
| CKX Inc                        | 12562M106 | 80 000000  | 05/29/2009 | 01/29/2010 | 245       | 0 00   | 327 37    | -554 91    | -227 54   |
| CKX Inc                        | 12562M106 | 50 000000  | 06/16/2009 | 02/01/2010 | 230       | 0 00   | 201 54    | -343 10    | -141 56   |
| CKX Inc                        | 12562M106 | 30 000000  | 05/29/2009 | 02/01/2010 | 248       | 0 00   | 120 93    | -208 09    | -87 16    |
| CKX Inc                        | 12562M106 | 210 000000 | 06/16/2009 | 02/02/2010 | 231       | 0 00   | 836 57    | -1,441 00  | -604 43   |
| Provident Energy Trust - Units | 74386K104 | 360 000000 | 11/09/2009 | 02/02/2010 | 85        | 0 00   | 2,894 36  | -2,485 73  | 408 63    |
| CKX Inc                        | 12562M106 | 40 000000  | 06/15/2009 | 02/03/2010 | 233       | 0 00   | 161 27    | -270 89    | -109 62   |
| CKX Inc                        | 12562M106 | 190 000000 | 05/27/2009 | 02/03/2010 | 252       | 0 00   | 766 03    | -1,287 29  | -521 26   |
| CKX Inc                        | 12562M106 | 110 000000 | 08/11/2009 | 02/03/2010 | 176       | 0 00   | 443 49    | -745 61    | -302 12   |
| CKX Inc                        | 12562M106 | 60 000000  | 06/16/2009 | 02/03/2010 | 232       | 0 00   | 241 91    | -411 71    | -169 80   |

# The Norton Foundation, Inc. (River Road Asset Management).

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| Short-Term                     |           |            |            |            |           |        |           |            |           |
|--------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security        | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| Provident Energy Trust - Units | 74386K104 | 170 000000 | 11/05/2009 | 02/03/2010 | 90        | 0 00   | 1,357 92  | -1,138 63  | 219 29    |
| Provident Energy Trust - Units | 74386K104 | 220 000000 | 11/09/2009 | 02/03/2010 | 86        | 0 00   | 1,757 31  | -1,519 05  | 238 26    |
| CKX Inc                        | 12562M106 | 20 000000  | 06/15/2009 | 02/04/2010 | 234       | 0 00   | 79 72     | -135 44    | -55 72    |
| CKX Inc                        | 12562M106 | 10 000000  | 06/15/2009 | 02/05/2010 | 235       | 0 00   | 39 87     | -67 72     | -27 85    |
| CKX Inc                        | 12562M106 | 50 000000  | 05/28/2009 | 02/08/2010 | 256       | 0 00   | 199 13    | -336 93    | -137 80   |
| CKX Inc                        | 12562M106 | 10 000000  | 06/15/2009 | 02/08/2010 | 238       | 0 00   | 39 83     | -67 72     | -27 89    |
| CKX Inc                        | 12562M106 | 80 000000  | 05/28/2009 | 02/09/2010 | 257       | 0 00   | 318 40    | -539 10    | -220 70   |
| CKX Inc                        | 12562M106 | 100 000000 | 05/28/2009 | 02/10/2010 | 258       | 0 00   | 398 08    | -673 87    | -275 79   |
| Provident Energy Trust - Units | 74386K104 | 150 000000 | 11/05/2009 | 02/10/2010 | 97        | 0 00   | 1,196 51  | -1,004 67  | 191 84    |
| CKX Inc                        | 12562M106 | 60 000000  | 09/21/2009 | 02/11/2010 | 143       | 0 00   | 239 11    | -401 59    | -162 48   |
| CKX Inc                        | 12562M106 | 130 000000 | 09/22/2009 | 02/11/2010 | 142       | 0 00   | 518 07    | -873 21    | -355 14   |
| Provident Energy Trust - Units | 74386K104 | 490 000000 | 11/06/2009 | 02/11/2010 | 97        | 0 00   | 3,929 99  | -3,257 66  | 672 33    |
| Provident Energy Trust - Units | 74386K104 | 10 000000  | 11/05/2009 | 02/11/2010 | 98        | 0 00   | 80 21     | -66 98     | 13 23     |
| CKX Inc                        | 12562M106 | 40 000000  | 05/21/2009 | 02/16/2010 | 271       | 0 00   | 159 20    | -247 55    | -88 35    |
| CKX Inc                        | 12562M106 | 50 000000  | 05/22/2009 | 02/16/2010 | 270       | 0 00   | 198 99    | -318 55    | -119 56   |
| CKX Inc                        | 12562M106 | 10 000000  | 09/21/2009 | 02/16/2010 | 148       | 0 00   | 39 80     | -66 93     | -27 13    |
| Provident Energy Trust - Units | 74386K104 | 230 000000 | 12/07/2009 | 02/16/2010 | 71        | 0 00   | 1,873 80  | -1,515 93  | 357 87    |
| Provident Energy Trust - Units | 74386K104 | 120 000000 | 11/06/2009 | 02/16/2010 | 102       | 0 00   | 977 64    | -797 80    | 179.84    |
| CKX Inc                        | 12562M106 | 180 000000 | 05/20/2009 | 02/17/2010 | 273       | 0 00   | 716 48    | -1,085 91  | -369 43   |
| CKX Inc                        | 12562M106 | 80 000000  | 05/21/2009 | 02/17/2010 | 272       | 0 00   | 318 43    | -495 09    | -176 66   |
| Provident Energy Trust - Units | 74386K104 | 90 000000  | 10/19/2009 | 02/17/2010 | 121       | 0 00   | 733 12    | -587 31    | 145 81    |
| Provident Energy Trust - Units | 74386K104 | 230 000000 | 11/04/2009 | 02/17/2010 | 105       | 0 00   | 1,873 53  | -1,509 24  | 364 29    |
| Provident Energy Trust - Units | 74386K104 | 30 000000  | 12/07/2009 | 02/17/2010 | 72        | 0 00   | 244 38    | -197 73    | 46 65     |
| CKX Inc                        | 12562M106 | 40 000000  | 05/20/2009 | 02/18/2010 | 274       | 0 00   | 159 20    | -241 31    | -82 11    |
| Provident Energy Trust - Units | 74386K104 | 90 000000  | 10/21/2009 | 02/18/2010 | 120       | 0 00   | 729 49    | -584 67    | 144 82    |
| Provident Energy Trust - Units | 74386K104 | 270 000000 | 10/19/2009 | 02/18/2010 | 122       | 0 00   | 2,188 49  | -1,761 94  | 426 55    |
| Zenith National Insurance Corp | 989390109 | 150 000000 | 07/15/2009 | 02/18/2010 | 218       | 0 00   | 5,660 19  | -3,259 47  | 2,400 72  |
| Zenith National Insurance Corp | 989390109 | 90 000000  | 06/05/2009 | 02/18/2010 | 258       | 0 00   | 3,396 12  | -2,106 97  | 1,289 15  |
| Zenith National Insurance Corp | 989390109 | 60 000000  | 03/31/2009 | 02/18/2010 | 324       | 0 00   | 2,264 08  | -1,457 30  | 806 78    |
| Zenith National Insurance Corp | 989390109 | 70 000000  | 03/25/2009 | 02/18/2010 | 330       | 0 00   | 2,641 42  | -1,768 71  | 872 71    |
| CKX Inc                        | 12562M106 | 20 000000  | 05/20/2009 | 02/19/2010 | 275       | 0 00   | 79 44     | -120 66    | -41 22    |
| Provident Energy Trust - Units | 74386K104 | 80 000000  | 12/04/2009 | 02/19/2010 | 77        | 0 00   | 640 32    | -518 80    | 121 52    |
| Provident Energy Trust - Units | 74386K104 | 300 000000 | 10/21/2009 | 02/19/2010 | 121       | 0 00   | 2,401 20  | -1,948 92  | 452 28    |
| Forrester Research             | 346563109 | 10 000000  | 09/28/2009 | 02/19/2010 | 144       | 0 00   | 295 95    | -258 51    | 37 44     |
| Forrester Research             | 346563109 | 20 000000  | 01/12/2010 | 02/19/2010 | 38        | 0 00   | 591 89    | -529 64    | 62 25     |
| Forrester Research             | 346563109 | 20 000000  | 01/13/2010 | 02/19/2010 | 37        | 0 00   | 591 89    | -544 03    | 47 86     |
| Forrester Research             | 346563109 | 30 000000  | 01/15/2010 | 02/19/2010 | 35        | 0 00   | 887 84    | -838 21    | 49 63     |
| Forrester Research             | 346563109 | 30 000000  | 01/14/2010 | 02/19/2010 | 36        | 0 00   | 887 84    | -839 33    | 48 51     |
| CKX Inc                        | 12562M106 | 80 000000  | 05/14/2009 | 02/22/2010 | 284       | 0 00   | 316 56    | -457 84    | -141 28   |

# The Norton Foundation, Inc. (River Road Asset Management)

Account#: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| Short-Term                     |           |            |            |            |           |        |           |            |           |
|--------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security        | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| CKX Inc                        | 12562M106 | 110 000000 | 05/18/2009 | 02/22/2010 | 280       | 0 00   | 435 27    | -636 36    | -201 09   |
| CKX Inc                        | 12562M106 | 60 000000  | 05/19/2009 | 02/22/2010 | 279       | 0 00   | 237 43    | -351 19    | -113 76   |
| CKX Inc                        | 12562M106 | 20 000000  | 05/20/2009 | 02/22/2010 | 278       | 0 00   | 79 14     | -120 65    | -41 51    |
| CKX Inc                        | 12562M106 | 150 000000 | 05/15/2009 | 02/23/2010 | 284       | 0 00   | 593 81    | -854 79    | -260 98   |
| CKX Inc                        | 12562M106 | 20 000000  | 05/14/2009 | 02/23/2010 | 285       | 0 00   | 79 18     | -114 46    | -35 28    |
| Provident Energy Trust - Units | 74386K104 | 230 000000 | 12/04/2009 | 03/01/2010 | 87        | 0 00   | 1,837 67  | -1,491 55  | 346 12    |
| Provident Energy Trust - Units | 74386K104 | 40 000000  | 12/10/2009 | 03/02/2010 | 82        | 0 00   | 320 12    | -259 16    | 60 96     |
| Provident Energy Trust - Units | 74386K104 | 100 000000 | 12/04/2009 | 03/02/2010 | 88        | 0 00   | 800 33    | -648 50    | 151 83    |
| Forrester Research             | 346563109 | 10 000000  | 09/28/2009 | 03/05/2010 | 158       | 0 00   | 310 33    | -258 51    | 51 82     |
| Forrester Research             | 346563109 | 80 000000  | 12/10/2009 | 03/08/2010 | 88        | 0 00   | 2,477 91  | -2,064 87  | 413 04    |
| Forrester Research             | 346563109 | 10 000000  | 09/28/2009 | 03/08/2010 | 161       | 0 00   | 309 74    | -258 50    | 51 24     |
| Forrester Research             | 346563109 | 30 000000  | 09/25/2009 | 03/10/2010 | 166       | 0 00   | 955 10    | -762 30    | 192 80    |
| Forrester Research             | 346563109 | 20 000000  | 12/09/2009 | 03/10/2010 | 91        | 0 00   | 636 73    | -511 98    | 124 75    |
| Forrester Research             | 346563109 | 40 000000  | 12/10/2009 | 03/10/2010 | 90        | 0 00   | 1,273 46  | -1,032 44  | 241 02    |
| OfficeMax                      | 67622P101 | 40 000000  | 04/09/2009 | 03/29/2010 | 354       | 0 00   | 679 47    | -160 83    | 518 64    |
| OfficeMax                      | 67622P101 | 90 000000  | 04/09/2009 | 03/30/2010 | 355       | 0 00   | 1,530 83  | -361 87    | 1,168 96  |
| Cornell Companies Inc          | 219141108 | 60 000000  | 12/08/2009 | 04/19/2010 | 132       | 0 00   | 1,443 71  | -1,293 25  | 150 46    |
| Cornell Companies Inc          | 219141108 | 30 000000  | 12/11/2009 | 04/19/2010 | 129       | 0 00   | 721 86    | -648 53    | 73 33     |
| Cornell Companies Inc          | 219141108 | 40 000000  | 09/25/2009 | 04/19/2010 | 206       | 0 00   | 962 47    | -869 18    | 93 29     |
| Cornell Companies Inc          | 219141108 | 90 000000  | 03/11/2010 | 04/19/2010 | 39        | 0 00   | 2,165 56  | -1,958 64  | 206 92    |
| Cornell Companies Inc          | 219141108 | 40 000000  | 03/12/2010 | 04/19/2010 | 38        | 0 00   | 962 47    | -878 95    | 83 52     |
| Cornell Companies Inc          | 219141108 | 10 000000  | 09/28/2009 | 04/19/2010 | 203       | 0 00   | 240 62    | -222 83    | 17 79     |
| Cornell Companies Inc          | 219141108 | 50 000000  | 09/29/2009 | 04/19/2010 | 202       | 0 00   | 1,203 09  | -1,130 74  | 72 35     |
| Cornell Companies Inc          | 219141108 | 40 000000  | 10/09/2009 | 04/19/2010 | 192       | 0 00   | 962 47    | -908 18    | 54 29     |
| Cornell Companies Inc          | 219141108 | 80 000000  | 10/12/2009 | 04/19/2010 | 189       | 0 00   | 1,924 94  | -1,817 29  | 107 65    |
| Cornell Companies Inc          | 219141108 | 50 000000  | 10/29/2009 | 04/19/2010 | 172       | 0 00   | 1,203 09  | -1,153 01  | 50 08     |
| Cornell Companies Inc          | 219141108 | 20 000000  | 10/30/2009 | 04/19/2010 | 171       | 0 00   | 481 23    | -461 67    | 19 56     |
| Cornell Companies Inc          | 219141108 | 20 000000  | 01/19/2010 | 04/19/2010 | 90        | 0 00   | 481 23    | -490 40    | -9 17     |
| Cornell Companies Inc          | 219141108 | 90 000000  | 01/13/2010 | 04/19/2010 | 96        | 0 00   | 2,165 56  | -2,215 43  | -49 87    |
| Cornell Companies Inc          | 219141108 | 50 000000  | 01/20/2010 | 04/19/2010 | 89        | 0 00   | 1,203 09  | -1,231 95  | -28 86    |
| Cornell Companies Inc          | 219141108 | 90 000000  | 01/14/2010 | 04/19/2010 | 95        | 0 00   | 2,165 56  | -2,247 88  | -82 32    |
| Cornell Companies Inc          | 219141108 | 10 000000  | 01/15/2010 | 04/19/2010 | 94        | 0 00   | 240 62    | -250 08    | -9 46     |
| Cornell Companies Inc          | 219141108 | 20 000000  | 03/10/2010 | 04/21/2010 | 42        | 0 00   | 513 76    | -417 37    | 96 39     |
| Cornell Companies Inc          | 219141108 | 50 000000  | 09/22/2009 | 04/21/2010 | 211       | 0 00   | 1,284 40  | -1,057 06  | 227 34    |
| Cornell Companies Inc          | 219141108 | 10 000000  | 12/09/2009 | 04/21/2010 | 133       | 0 00   | 256 88    | -212 73    | 44 15     |
| Cornell Companies Inc          | 219141108 | 80 000000  | 12/10/2009 | 04/21/2010 | 132       | 0 00   | 2,055 02  | -1,704 72  | 350 30    |
| Cornell Companies Inc          | 219141108 | 40 000000  | 09/23/2009 | 04/21/2010 | 210       | 0 00   | 1,027 51  | -860 75    | 166 76    |
| Cornell Companies Inc          | 219141108 | 40 000000  | 09/24/2009 | 04/21/2010 | 209       | 0 00   | 1,027 51  | -862 06    | 165 45    |
| Cornell Companies Inc          | 219141108 | 50 000000  | 12/08/2009 | 04/21/2010 | 134       | 0 00   | 1,284 40  | -1,077 71  | 206 69    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnolley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| <u>Short-Term</u>                 |           |            |            |            |           |        |           |            |           |
|-----------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security           | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| Provident Energy Trust - Units    | 74386K104 | 80 000000  | 12/09/2009 | 04/21/2010 | 133       | 0 00   | 638 46    | -516 53    | 121 93    |
| Provident Energy Trust - Units    | 74386K104 | 140 000000 | 12/10/2009 | 04/21/2010 | 132       | 0 00   | 1,117 31  | -907 08    | 210 23    |
| Cornell Companies Inc             | 219141108 | 60 000000  | 03/23/2010 | 04/22/2010 | 30        | 0 00   | 1,547 72  | -1,152 78  | 394 94    |
| Cornell Companies Inc             | 219141108 | 80 000000  | 03/22/2010 | 04/22/2010 | 31        | 0 00   | 2,063 64  | -1,556 04  | 507 60    |
| Cornell Companies Inc             | 219141108 | 90 000000  | 02/02/2010 | 04/22/2010 | 79        | 0 00   | 2,321 59  | -1,835 29  | 486 30    |
| Cornell Companies Inc             | 219141108 | 120 000000 | 10/07/2009 | 04/22/2010 | 197       | 0 00   | 3,095 46  | -2,491 85  | 603 61    |
| Cornell Companies Inc             | 219141108 | 20 000000  | 03/10/2010 | 04/22/2010 | 43        | 0 00   | 515 91    | -417 36    | 98 55     |
| Provident Energy Trust - Units    | 74386K104 | 160 000000 | 10/15/2009 | 04/22/2010 | 189       | 0 00   | 1,297 11  | -983 41    | 313 70    |
| Provident Energy Trust - Units    | 74386K104 | 210 000000 | 10/16/2009 | 04/22/2010 | 188       | 0 00   | 1,702 46  | -1,310 90  | 391 56    |
| Provident Energy Trust - Units    | 74386K104 | 400 000000 | 11/03/2009 | 04/22/2010 | 170       | 0 00   | 3,242 78  | -2,574 80  | 667 98    |
| Provident Energy Trust - Units    | 74386K104 | 60 000000  | 12/09/2009 | 04/22/2010 | 134       | 0 00   | 486 42    | -387 39    | 99 03     |
| West Pharmaceutical Services, Inc | 955306105 | 40 000000  | 04/27/2009 | 04/23/2010 | 361       | 0 00   | 1,699 01  | -1,324 68  | 374 33    |
| West Pharmaceutical Services, Inc | 955306105 | 40 000000  | 04/29/2009 | 04/23/2010 | 359       | 0 00   | 1,699 01  | -1,345 87  | 353 14    |
| Forrester Research                | 346563109 | 20 000000  | 09/25/2009 | 04/23/2010 | 210       | 0 00   | 654 40    | -508 19    | 146 21    |
| West Pharmaceutical Services, Inc | 955306105 | 50 000000  | 04/27/2009 | 04/26/2010 | 364       | 0 00   | 2,123 18  | -1,655 85  | 467 33    |
| Forrester Research                | 346563109 | 10 000000  | 09/25/2009 | 04/26/2010 | 213       | 0 00   | 324 27    | -254 10    | 70 17     |
| BJS Whsl Club Inc                 | 05548J106 | 140 000000 | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 5,431 52  | -5,318 31  | 113 21    |
| BJS Whsl Club Inc                 | 05548J106 | 120 000000 | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 4,655 60  | -4,695 77  | -40 17    |
| Big Lots Inc                      | 089302103 | 110 000000 | 06/25/2009 | 04/27/2010 | 306       | 0 00   | 4,438 92  | -2,299 42  | 2,139 50  |
| Big Lots Inc                      | 089302103 | 170 000000 | 06/26/2009 | 04/27/2010 | 305       | 0 00   | 6,860 15  | -3,624 18  | 3,235 97  |
| Big Lots Inc                      | 089302103 | 200 000000 | 07/30/2009 | 04/27/2010 | 271       | 0 00   | 8,070 76  | -4,672 76  | 3,398 00  |
| Big Lots Inc                      | 089302103 | 120 000000 | 08/10/2009 | 04/27/2010 | 260       | 0 00   | 4,842 46  | -2,958 58  | 1,883 88  |
| Big Lots Inc                      | 089302103 | 150 000000 | 09/21/2009 | 04/27/2010 | 218       | 0 00   | 6,053 08  | -3,743 35  | 2,309 73  |
| Bigbands Networks INC             | 089750509 | 400 000000 | 02/01/2010 | 04/27/2010 | 85        | 0 00   | 1,343 70  | -1,229 28  | 114 42    |
| Bigbands Networks INC             | 089750509 | 110 000000 | 01/29/2010 | 04/27/2010 | 88        | 0 00   | 369 51    | -340 11    | 29 40     |
| Bigbands Networks INC             | 089750509 | 310 000000 | 12/07/2009 | 04/27/2010 | 141       | 0 00   | 1,041 36  | -1,093 59  | -52 23    |
| Bigbands Networks INC             | 089750509 | 320 000000 | 12/08/2009 | 04/27/2010 | 140       | 0 00   | 1,074 96  | -1,158 85  | -83 89    |
| Bigbands Networks INC             | 089750509 | 370 000000 | 11/03/2009 | 04/27/2010 | 175       | 0 00   | 1,242 92  | -1,356 34  | -113 42   |
| Bigbands Networks INC             | 089750509 | 160 000000 | 11/04/2009 | 04/27/2010 | 174       | 0 00   | 537 48    | -663 46    | -125 98   |
| Bigbands Networks INC             | 089750509 | 240 000000 | 10/20/2009 | 04/27/2010 | 189       | 0 00   | 806 22    | -1,000 78  | -194 56   |
| Bigbands Networks INC             | 089750509 | 550 000000 | 10/12/2009 | 04/27/2010 | 197       | 0 00   | 1,847 59  | -2,337 17  | -489 58   |
| Bigbands Networks INC             | 089750509 | 170 000000 | 10/16/2009 | 04/27/2010 | 193       | 0 00   | 571 07    | -727 29    | -156 22   |
| Bigbands Networks INC             | 089750509 | 560 000000 | 10/21/2009 | 04/27/2010 | 188       | 0 00   | 1,881 18  | -2,409 34  | -528 16   |
| Bigbands Networks INC             | 089750509 | 180 000000 | 10/15/2009 | 04/27/2010 | 194       | 0 00   | 604 66    | -778 32    | -173 66   |
| Bigbands Networks INC             | 089750509 | 290 000000 | 10/14/2009 | 04/27/2010 | 195       | 0 00   | 974 18    | -1,263 96  | -289 78   |
| Bigbands Networks INC             | 089750509 | 140 000000 | 10/13/2009 | 04/27/2010 | 196       | 0 00   | 470 29    | -615 44    | -145 15   |
| Bob Evans Farms Inc               | 096761101 | 40 000000  | 12/16/2009 | 04/27/2010 | 132       | 0 00   | 1,343 30  | -1,100 55  | 242 75    |
| Bob Evans Farms Inc               | 096761101 | 120 000000 | 12/17/2009 | 04/27/2010 | 131       | 0 00   | 4,029 90  | -3,310 15  | 719.75    |
| Bob Evans Farms Inc               | 096761101 | 110 000000 | 01/06/2010 | 04/27/2010 | 111       | 0 00   | 3,694 07  | -3,140 10  | 553 97    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account#: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Bob Evans Farms Inc     | 096761101 | 40 000000  | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 1,343 30  | -1,170 78  | 172 52    |
| Bob Evans Farms Inc     | 096761101 | 90 000000  | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 3,022 42  | -2,642 69  | 379 73    |
| Bob Evans Farms Inc     | 096761101 | 30 000000  | 12/30/2009 | 04/27/2010 | 118       | 0 00   | 1,007 47  | -885 14    | 122 33    |
| Bob Evans Farms Inc     | 096761101 | 60 000000  | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 2,014 95  | -1,777 56  | 237 39    |
| Bob Evans Farms Inc     | 096761101 | 50 000000  | 01/19/2010 | 04/27/2010 | 98        | 0 00   | 1,679 12  | -1,489 80  | 189 32    |
| Bob Evans Farms Inc     | 096761101 | 30 000000  | 12/31/2009 | 04/27/2010 | 117       | 0 00   | 1,007 47  | -894 76    | 112 71    |
| Bob Evans Farms Inc     | 096761101 | 80 000000  | 12/23/2009 | 04/27/2010 | 125       | 0 00   | 2,686 59  | -2,399 03  | 287 56    |
| Bob Evans Farms Inc     | 096761101 | 40 000000  | 03/29/2010 | 04/27/2010 | 29        | 0 00   | 1,343 30  | -1,252 07  | 91 23     |
| Bob Evans Farms Inc     | 096761101 | 70 000000  | 03/25/2010 | 04/27/2010 | 33        | 0 00   | 2,350 77  | -2,207 26  | 143 51    |
| Bob Evans Farms Inc     | 096761101 | 50 000000  | 03/24/2010 | 04/27/2010 | 34        | 0 00   | 1,679 12  | -1,578 23  | 100 89    |
| Bob Evans Farms Inc     | 096761101 | 80 000000  | 03/11/2010 | 04/27/2010 | 47        | 0 00   | 2,686 59  | -2,528 14  | 158 45    |
| Bob Evans Farms Inc     | 096761101 | 60 000000  | 03/30/2010 | 04/27/2010 | 28        | 0 00   | 2,014 95  | -1,904 30  | 110 65    |
| Bob Evans Farms Inc     | 096761101 | 70 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 2,350 77  | -2,237 87  | 112 90    |
| Bob Evans Farms Inc     | 096761101 | 30 000000  | 04/16/2010 | 04/27/2010 | 11        | 0 00   | 1,007 47  | -962 21    | 45 26     |
| Bob Evans Farms Inc     | 096761101 | 10 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 335 82    | -322 04    | 13 78     |
| Bob Evans Farms Inc     | 096761101 | 90 000000  | 03/18/2010 | 04/27/2010 | 40        | 0 00   | 3,022 42  | -2,904 20  | 118 22    |
| Bob Evans Farms Inc     | 096761101 | 50 000000  | 04/19/2010 | 04/27/2010 | 8         | 0 00   | 1,679 12  | -1,623 83  | 55 29     |
| Bob Evans Farms Inc     | 096761101 | 80 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 2,686 59  | -2,672 29  | 14 30     |
| Brinks Co               | 109696104 | 190 000000 | 03/22/2010 | 04/27/2010 | 36        | 0 00   | 5,416 35  | -5,387 77  | 28 58     |
| Brinks Co               | 109696104 | 150 000000 | 03/23/2010 | 04/27/2010 | 35        | 0 00   | 4,276 07  | -4,255 80  | 20 27     |
| Brinks Co               | 109696104 | 150 000000 | 03/25/2010 | 04/27/2010 | 33        | 0 00   | 4,276 07  | -4,263 50  | 12 57     |
| Brinks Co               | 109696104 | 90 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 2,565 64  | -2,570 55  | -4 91     |
| Brinks Co               | 109696104 | 110 000000 | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 3,135 79  | -3,174 20  | -38 41    |
| Brinks Co               | 109696104 | 110 000000 | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 3,135 79  | -3,207 22  | -71 43    |
| Brinks Co               | 109696104 | 110 000000 | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 3,135 79  | -3,220 92  | -85 13    |
| Churchill Downs Inc Com | 171484108 | 140 000000 | 11/12/2009 | 04/27/2010 | 166       | 0 00   | 5,509 97  | -4,327 87  | 1,182 10  |
| Churchill Downs Inc Com | 171484108 | 30 000000  | 11/13/2009 | 04/27/2010 | 165       | 0 00   | 1,180 70  | -928 43    | 252 27    |
| Churchill Downs Inc Com | 171484108 | 20 000000  | 11/17/2009 | 04/27/2010 | 161       | 0 00   | 787 14    | -628 86    | 158 28    |
| Churchill Downs Inc Com | 171484108 | 40 000000  | 11/16/2009 | 04/27/2010 | 162       | 0 00   | 1,574 28  | -1,268 68  | 305 60    |
| Churchill Downs Inc Com | 171484108 | 40 000000  | 11/18/2009 | 04/27/2010 | 160       | 0 00   | 1,574 27  | -1,297 05  | 277 22    |
| Churchill Downs Inc Com | 171484108 | 40 000000  | 11/19/2009 | 04/27/2010 | 159       | 0 00   | 1,574 27  | -1,304 21  | 270 06    |
| Churchill Downs Inc Com | 171484108 | 20 000000  | 11/20/2009 | 04/27/2010 | 158       | 0 00   | 787 14    | -672 05    | 115 09    |
| Churchill Downs Inc Com | 171484108 | 40 000000  | 11/30/2009 | 04/27/2010 | 148       | 0 00   | 1,574 28  | -1,364 67  | 209 61    |
| Churchill Downs Inc Com | 171484108 | 10 000000  | 11/27/2009 | 04/27/2010 | 151       | 0 00   | 393 57    | -342 36    | 51 21     |
| Churchill Downs Inc Com | 171484108 | 20 000000  | 11/24/2009 | 04/27/2010 | 154       | 0 00   | 787 14    | -686 35    | 100 79    |
| Churchill Downs Inc Com | 171484108 | 40 000000  | 11/25/2009 | 04/27/2010 | 153       | 0 00   | 1,574 28  | -1,375 86  | 198 42    |
| Churchill Downs Inc Com | 171484108 | 50 000000  | 11/23/2009 | 04/27/2010 | 155       | 0 00   | 1,967 85  | -1,727 88  | 239 97    |
| Churchill Downs Inc Com | 171484108 | 40 000000  | 12/03/2009 | 04/27/2010 | 145       | 0 00   | 1,574 28  | -1,401 33  | 172 95    |
| Churchill Downs Inc Com | 171484108 | 30 000000  | 12/04/2009 | 04/27/2010 | 144       | 0 00   | 1,180 71  | -1,065 71  | 115 00    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security     | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-----------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Churchill Downs Inc Com     | 171484108 | 70 000000  | 12/07/2009 | 04/27/2010 | 141       | 0 00   | 2,754 98  | -2,489 17  | 265 81    |
| Churchill Downs Inc Com     | 171484108 | 10 000000  | 12/08/2009 | 04/27/2010 | 140       | 0 00   | 393 57    | -356 30    | 37 27     |
| Daktronics Inc              | 234264109 | 100 000000 | 04/16/2010 | 04/27/2010 | 11        | 0 00   | 893 43    | -815 38    | 78 05     |
| Daktronics Inc              | 234264109 | 140 000000 | 04/19/2010 | 04/27/2010 | 8         | 0 00   | 1,250 81  | -1,151 28  | 99 53     |
| Daktronics Inc              | 234264109 | 90 000000  | 04/20/2010 | 04/27/2010 | 7         | 0 00   | 804 10    | -745 68    | 58 42     |
| Freds Inc Cl A              | 356108100 | 220 000000 | 03/25/2010 | 04/27/2010 | 33        | 0 00   | 3,039 38  | -2,554 31  | 485 07    |
| Freds Inc Cl A              | 356108100 | 130 000000 | 04/13/2010 | 04/27/2010 | 14        | 0 00   | 1,795 99  | -1,605 50  | 190 49    |
| Freds Inc Cl A              | 356108100 | 220 000000 | 03/26/2010 | 04/27/2010 | 32        | 0 00   | 3,039 38  | -2,717 26  | 322 12    |
| Freds Inc Cl A              | 356108100 | 30 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 414 46    | -375 90    | 38 56     |
| Freds Inc Cl A              | 356108100 | 70 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 967 07    | -886 71    | 80 36     |
| Freds Inc Cl A              | 356108100 | 30 000000  | 04/16/2010 | 04/27/2010 | 11        | 0 00   | 414 46    | -380 02    | 34 44     |
| Freds Inc Cl A              | 356108100 | 100 000000 | 10/01/2009 | 04/27/2010 | 208       | 0 00   | 1,381 54  | -1,274 67  | 106 87    |
| Freds Inc Cl A              | 356108100 | 210 000000 | 09/30/2009 | 04/27/2010 | 209       | 0 00   | 2,901 23  | -2,687 27  | 213 96    |
| Freds Inc Cl A              | 356108100 | 20 000000  | 04/19/2010 | 04/27/2010 | 8         | 0 00   | 276 31    | -261 53    | 14 78     |
| GEO Group Inc               | 36159R103 | 40 000000  | 05/11/2009 | 04/27/2010 | 351       | 0 00   | 867 19    | -686 50    | 180 69    |
| GEO Group Inc               | 36159R103 | 360 000000 | 06/01/2009 | 04/27/2010 | 330       | 0 00   | 7,804 71  | -6,270 62  | 1,534 09  |
| GEO Group Inc               | 36159R103 | 100 000000 | 05/08/2009 | 04/27/2010 | 354       | 0 00   | 2,167 97  | -1,743 61  | 424 36    |
| GEO Group Inc               | 36159R103 | 200 000000 | 06/05/2009 | 04/27/2010 | 326       | 0 00   | 4,335 95  | -3,620 20  | 715 75    |
| GEO Group Inc               | 36159R103 | 290 000000 | 03/12/2010 | 04/27/2010 | 46        | 0 00   | 6,287 12  | -5,649 23  | 637 89    |
| GEO Group Inc               | 36159R103 | 90 000000  | 01/25/2010 | 04/27/2010 | 92        | 0 00   | 1,951 18  | -1,801 52  | 149 66    |
| GEO Group Inc               | 36159R103 | 130 000000 | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 2,818 36  | -2,605 46  | 212 90    |
| GEO Group Inc               | 36159R103 | 430 000000 | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 9,322 28  | -8,996 76  | 325 52    |
| Hillenbrand Corp            | 431571108 | 140 000000 | 06/30/2009 | 04/27/2010 | 301       | 0 00   | 3,452 61  | -2,313 50  | 1,139.11  |
| Hillenbrand Corp            | 431571108 | 110 000000 | 05/29/2009 | 04/27/2010 | 333       | 0 00   | 2,712 76  | -1,871 41  | 841 35    |
| Hillenbrand Corp            | 431571108 | 40 000000  | 04/27/2009 | 04/27/2010 | 365       | 0 00   | 986 46    | -682 85    | 303 61    |
| Hillenbrand Corp            | 431571108 | 90 000000  | 07/01/2009 | 04/27/2010 | 300       | 0 00   | 2,219 53  | -1,545 51  | 674 02    |
| Hillenbrand Corp            | 431571108 | 70 000000  | 06/08/2009 | 04/27/2010 | 323       | 0 00   | 1,726 30  | -1,209 21  | 517 09    |
| Hillenbrand Corp            | 431571108 | 60 000000  | 06/05/2009 | 04/27/2010 | 326       | 0 00   | 1,479 69  | -1,041 03  | 438 66    |
| Hillenbrand Corp            | 431571108 | 50 000000  | 04/29/2009 | 04/27/2010 | 363       | 0 00   | 1,233 07  | -879 34    | 353 73    |
| Hillenbrand Corp            | 431571108 | 40 000000  | 06/01/2009 | 04/27/2010 | 330       | 0 00   | 986 46    | -706 54    | 279 92    |
| Hillenbrand Corp            | 431571108 | 20 000000  | 04/30/2009 | 04/27/2010 | 362       | 0 00   | 493 23    | -365 06    | 128 17    |
| Hillenbrand Corp            | 431571108 | 10 000000  | 08/12/2009 | 04/27/2010 | 258       | 0 00   | 246 62    | -191 89    | 54 73     |
| International Speedway Corp | 460335201 | 60 000000  | 04/30/2009 | 04/27/2010 | 362       | 0 00   | 1,855 92  | -1,396 35  | 459 57    |
| International Speedway Corp | 460335201 | 190 000000 | 03/25/2010 | 04/27/2010 | 33        | 0 00   | 5,877 08  | -4,987 61  | 889 47    |
| International Speedway Corp | 460335201 | 110 000000 | 08/17/2009 | 04/27/2010 | 253       | 0 00   | 3,402 52  | -3,009 37  | 393 15    |
| International Speedway Corp | 460335201 | 180 000000 | 08/14/2009 | 04/27/2010 | 256       | 0 00   | 5,567 76  | -4,935 46  | 632 30    |
| International Speedway Corp | 460335201 | 30 000000  | 08/13/2009 | 04/27/2010 | 257       | 0 00   | 927 95    | -833 44    | 94 51     |
| International Speedway Corp | 460335201 | 170 000000 | 10/08/2009 | 04/27/2010 | 201       | 0 00   | 5,258 44  | -4,723 03  | 535 41    |
| International Speedway Corp | 460335201 | 130 000000 | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 4,021 16  | -3,742.51  | 278 65    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account#: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| <u>Short-Term</u>            |           |            |            |            |           |        |           |            |           |
|------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security      | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| International Speedway Corp  | 460335201 | 10 000000  | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 309 32    | -291 94    | 17 38     |
| International Speedway Corp  | 460335201 | 40 000000  | 01/25/2010 | 04/27/2010 | 92        | 0 00   | 1,237 28  | -1,175 01  | 62 27     |
| International Speedway Corp  | 460335201 | 120 000000 | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 3,711 84  | -3,533 92  | 177 92    |
| International Speedway Corp  | 460335201 | 30 000000  | 01/26/2010 | 04/27/2010 | 91        | 0 00   | 927 95    | -901 13    | 26 82     |
| Lincoln Educational Services | 533535100 | 170 000000 | 05/04/2009 | 04/27/2010 | 358       | 0 00   | 4,507 06  | -2,453 61  | 2,053 45  |
| Lincoln Educational Services | 533535100 | 150 000000 | 04/30/2009 | 04/27/2010 | 362       | 0 00   | 3,976 81  | -2,513 97  | 1,462 84  |
| Lincoln Educational Services | 533535100 | 120 000000 | 06/15/2009 | 04/27/2010 | 316       | 0 00   | 3,181 45  | -2,054 86  | 1,126 59  |
| Lincoln Educational Services | 533535100 | 110 000000 | 05/06/2009 | 04/27/2010 | 356       | 0 00   | 2,916 33  | -1,892 73  | 1,023 60  |
| Lincoln Educational Services | 533535100 | 40 000000  | 06/16/2009 | 04/27/2010 | 315       | 0 00   | 1,060 48  | -690 00    | 370 48    |
| Lincoln Educational Services | 533535100 | 100 000000 | 05/29/2009 | 04/27/2010 | 333       | 0 00   | 2,651 21  | -1,866 99  | 784 22    |
| Lincoln Educational Services | 533535100 | 40 000000  | 07/15/2009 | 04/27/2010 | 286       | 0 00   | 1,060 48  | -768 27    | 292 21    |
| Lincoln Educational Services | 533535100 | 90 000000  | 07/16/2009 | 04/27/2010 | 285       | 0 00   | 2,386 08  | -1,768 36  | 617 72    |
| Lincoln Educational Services | 533535100 | 60 000000  | 07/30/2009 | 04/27/2010 | 271       | 0 00   | 1,590 72  | -1,212 14  | 378 58    |
| Lincoln Educational Services | 533535100 | 120 000000 | 06/26/2009 | 04/27/2010 | 305       | 0 00   | 3,181 45  | -2,454 93  | 726 52    |
| Lincoln Educational Services | 533535100 | 60 000000  | 07/31/2009 | 04/27/2010 | 270       | 0 00   | 1,590 72  | -1,234 32  | 356 40    |
| Lincoln Educational Services | 533535100 | 110 000000 | 12/30/2009 | 04/27/2010 | 118       | 0 00   | 2,916 33  | -2,381 63  | 534 70    |
| Lincoln Educational Services | 533535100 | 30 000000  | 12/29/2009 | 04/27/2010 | 119       | 0 00   | 795 36    | -649 86    | 145 50    |
| Lincoln Educational Services | 533535100 | 60 000000  | 08/17/2009 | 04/27/2010 | 253       | 0 00   | 1,590 72  | -1,317 92  | 272 80    |
| Lincoln Educational Services | 533535100 | 80 000000  | 09/25/2009 | 04/27/2010 | 214       | 0 00   | 2,120 96  | -1,764 37  | 356 59    |
| Lincoln Educational Services | 533535100 | 100 000000 | 09/21/2009 | 04/27/2010 | 218       | 0 00   | 2,651 21  | -2,209 61  | 441 60    |
| Lincoln Educational Services | 533535100 | 20 000000  | 08/18/2009 | 04/27/2010 | 252       | 0 00   | 530 24    | -447 64    | 82 60     |
| Lincoln Educational Services | 533535100 | 50 000000  | 11/19/2009 | 04/27/2010 | 159       | 0 00   | 1,325 60  | -1,129 60  | 196 00    |
| Lincoln Educational Services | 533535100 | 80 000000  | 08/14/2009 | 04/27/2010 | 256       | 0 00   | 2,120 96  | -1,809 61  | 311 35    |
| Lincoln Educational Services | 533535100 | 60 000000  | 11/17/2009 | 04/27/2010 | 161       | 0 00   | 1,590 72  | -1,371 17  | 219 55    |
| Lincoln Educational Services | 533535100 | 80 000000  | 11/18/2009 | 04/27/2010 | 160       | 0 00   | 2,120 96  | -1,837 23  | 283 73    |
| Lincoln Educational Services | 533535100 | 40 000000  | 08/10/2009 | 04/27/2010 | 260       | 0 00   | 1,060 48  | -922 34    | 138 14    |
| Lincoln Educational Services | 533535100 | 20 000000  | 08/13/2009 | 04/27/2010 | 257       | 0 00   | 530 24    | -462 35    | 67 89     |
| Lincoln Educational Services | 533535100 | 100 000000 | 08/11/2009 | 04/27/2010 | 259       | 0 00   | 2,651 21  | -2,365 04  | 286 17    |
| Monarch Casino & Resort Inc  | 609027107 | 110 000000 | 12/09/2009 | 04/27/2010 | 139       | 0 00   | 1,298 44  | -789 39    | 509 05    |
| Monarch Casino & Resort Inc  | 609027107 | 40 000000  | 11/19/2009 | 04/27/2010 | 159       | 0 00   | 472 16    | -287 37    | 184 79    |
| Monarch Casino & Resort Inc  | 609027107 | 140 000000 | 12/10/2009 | 04/27/2010 | 138       | 0 00   | 1,652 56  | -1,021 58  | 630 98    |
| Monarch Casino & Resort Inc  | 609027107 | 50 000000  | 12/11/2009 | 04/27/2010 | 137       | 0 00   | 590 20    | -376 12    | 214 08    |
| Monarch Casino & Resort Inc  | 609027107 | 20 000000  | 12/14/2009 | 04/27/2010 | 134       | 0 00   | 236 08    | -150 64    | 85 44     |
| Monarch Casino & Resort Inc  | 609027107 | 150 000000 | 11/20/2009 | 04/27/2010 | 158       | 0 00   | 1,770 60  | -1,182 00  | 588 60    |
| Monarch Casino & Resort Inc  | 609027107 | 100 000000 | 11/23/2009 | 04/27/2010 | 155       | 0 00   | 1,180 40  | -801 58    | 378 82    |
| Monarch Casino & Resort Inc  | 609027107 | 60 000000  | 03/17/2010 | 04/27/2010 | 41        | 0 00   | 708 24    | -491 49    | 216 75    |
| Monarch Casino & Resort Inc  | 609027107 | 10 000000  | 03/18/2010 | 04/27/2010 | 40        | 0 00   | 118 04    | -84 78     | 33 26     |
| Monarch Casino & Resort Inc  | 609027107 | 50 000000  | 03/19/2010 | 04/27/2010 | 39        | 0 00   | 590 20    | -425 16    | 165 04    |
| Monarch Casino & Resort Inc  | 609027107 | 10 000000  | 03/22/2010 | 04/27/2010 | 36        | 0 00   | 118 04    | -90 03     | 28 01     |

# The Norton Foundation, Inc. (River Road Asset Management).

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security     | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-----------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Monarch Casino & Resort Inc | 609027107 | 30 000000  | 08/11/2009 | 04/27/2010 | 259       | 0 00   | 354 12    | -272 18    | 81 94     |
| Monarch Casino & Resort Inc | 609027107 | 10 000000  | 07/31/2009 | 04/27/2010 | 270       | 0 00   | 118 04    | -90 96     | 27 08     |
| Monarch Casino & Resort Inc | 609027107 | 30 000000  | 08/17/2009 | 04/27/2010 | 253       | 0 00   | 354 12    | -273 14    | 80 98     |
| Monarch Casino & Resort Inc | 609027107 | 60 000000  | 08/14/2009 | 04/27/2010 | 256       | 0 00   | 708 24    | -551 23    | 157 01    |
| Monarch Casino & Resort Inc | 609027107 | 20 000000  | 08/03/2009 | 04/27/2010 | 267       | 0 00   | 236 08    | -185 52    | 50 56     |
| Monarch Casino & Resort Inc | 609027107 | 30 000000  | 08/12/2009 | 04/27/2010 | 258       | 0 00   | 354 12    | -278 39    | 75 73     |
| Monarch Casino & Resort Inc | 609027107 | 70 000000  | 08/06/2009 | 04/27/2010 | 264       | 0 00   | 826 28    | -650 93    | 175 35    |
| Monarch Casino & Resort Inc | 609027107 | 30 000000  | 08/18/2009 | 04/27/2010 | 252       | 0 00   | 354 12    | -279 17    | 74 95     |
| Monarch Casino & Resort Inc | 609027107 | 10 000000  | 08/13/2009 | 04/27/2010 | 257       | 0 00   | 118 04    | -93 47     | 24 57     |
| Monarch Casino & Resort Inc | 609027107 | 50 000000  | 08/05/2009 | 04/27/2010 | 265       | 0 00   | 590 20    | -472 69    | 117 51    |
| Monarch Casino & Resort Inc | 609027107 | 10 000000  | 08/19/2009 | 04/27/2010 | 251       | 0 00   | 118 04    | -95 01     | 23 03     |
| Monarch Casino & Resort Inc | 609027107 | 80 000000  | 08/10/2009 | 04/27/2010 | 260       | 0 00   | 944 32    | -766 38    | 177 94    |
| Monarch Casino & Resort Inc | 609027107 | 40 000000  | 08/07/2009 | 04/27/2010 | 263       | 0 00   | 472 16    | -383 50    | 88 66     |
| Monarch Casino & Resort Inc | 609027107 | 30 000000  | 08/04/2009 | 04/27/2010 | 266       | 0 00   | 354 12    | -289 87    | 64 25     |
| Monarch Casino & Resort Inc | 609027107 | 40 000000  | 08/20/2009 | 04/27/2010 | 250       | 0 00   | 472 16    | -389 69    | 82 47     |
| Monarch Casino & Resort Inc | 609027107 | 70 000000  | 08/21/2009 | 04/27/2010 | 249       | 0 00   | 826 28    | -705 87    | 120 41    |
| Monarch Casino & Resort Inc | 609027107 | 20 000000  | 10/09/2009 | 04/27/2010 | 200       | 0 00   | 236 08    | -203 94    | 32 14     |
| Monarch Casino & Resort Inc | 609027107 | 40 000000  | 10/13/2009 | 04/27/2010 | 196       | 0 00   | 472 16    | -410 36    | 61 80     |
| Monarch Casino & Resort Inc | 609027107 | 30 000000  | 10/12/2009 | 04/27/2010 | 197       | 0 00   | 354 12    | -309 70    | 44 42     |
| Monarch Casino & Resort Inc | 609027107 | 100 000000 | 10/20/2009 | 04/27/2010 | 189       | 0 00   | 1,180 40  | -1,039 01  | 141 39    |
| Monarch Casino & Resort Inc | 609027107 | 30 000000  | 10/15/2009 | 04/27/2010 | 194       | 0 00   | 354 12    | -312 81    | 41 31     |
| Monarch Casino & Resort Inc | 609027107 | 30 000000  | 10/16/2009 | 04/27/2010 | 193       | 0 00   | 354 12    | -313 78    | 40 34     |
| Monarch Casino & Resort Inc | 609027107 | 20 000000  | 10/19/2009 | 04/27/2010 | 190       | 0 00   | 236 08    | -210 70    | 25 38     |
| Monarch Casino & Resort Inc | 609027107 | 10 000000  | 10/14/2009 | 04/27/2010 | 195       | 0 00   | 118 04    | -105 36    | 12 68     |
| Monarch Casino & Resort Inc | 609027107 | 20 000000  | 09/16/2009 | 04/27/2010 | 223       | 0 00   | 236 08    | -221 83    | 14 25     |
| Monarch Casino & Resort Inc | 609027107 | 30 000000  | 09/17/2009 | 04/27/2010 | 222       | 0 00   | 354 12    | -337 71    | 16 41     |
| Monarch Casino & Resort Inc | 609027107 | 200 000000 | 09/18/2009 | 04/27/2010 | 221       | 0 00   | 2,360 80  | -2,430 78  | -69 98    |
| Papa Johns Intl Inc Com     | 698813102 | 140 000000 | 12/09/2009 | 04/27/2010 | 139       | 0 00   | 3,954 90  | -3,052 80  | 902 10    |
| Papa Johns Intl Inc Com     | 698813102 | 60 000000  | 10/29/2009 | 04/27/2010 | 180       | 0 00   | 1,694 96  | -1,392 69  | 302 27    |
| Papa Johns Intl Inc Com     | 698813102 | 80 000000  | 10/23/2009 | 04/27/2010 | 186       | 0 00   | 2,259 94  | -1,882 62  | 377 32    |
| Papa Johns Intl Inc Com     | 698813102 | 70 000000  | 10/22/2009 | 04/27/2010 | 187       | 0 00   | 1,977 46  | -1,655 81  | 321 65    |
| Papa Johns Intl Inc Com     | 698813102 | 110 000000 | 09/21/2009 | 04/27/2010 | 218       | 0 00   | 3,107 43  | -2,736 51  | 370 92    |
| Papa Johns Intl Inc Com     | 698813102 | 20 000000  | 03/29/2010 | 04/27/2010 | 29        | 0 00   | 564 99    | -508 67    | 56 32     |
| Papa Johns Intl Inc Com     | 698813102 | 110 000000 | 03/30/2010 | 04/27/2010 | 28        | 0 00   | 3,107 43  | -2,832 70  | 274 73    |
| PetMed Express Inc          | 716382106 | 170 000000 | 05/28/2009 | 04/27/2010 | 334       | 0 00   | 3,964 19  | -2,444 38  | 1,519 81  |
| PetMed Express Inc          | 716382106 | 210 000000 | 05/27/2009 | 04/27/2010 | 335       | 0 00   | 4,896 95  | -3,038 85  | 1,858 10  |
| PetMed Express Inc          | 716382106 | 170 000000 | 05/29/2009 | 04/27/2010 | 333       | 0 00   | 3,964 19  | -2,466 00  | 1,498 19  |
| PetMed Express Inc          | 716382106 | 200 000000 | 06/15/2009 | 04/27/2010 | 316       | 0 00   | 4,663 76  | -2,928 98  | 1,734 78  |
| PetMed Express Inc          | 716382106 | 140 000000 | 06/01/2009 | 04/27/2010 | 330       | 0 00   | 3,264 64  | -2,077 42  | 1,187 22  |

# The Norton Foundation, Inc. (River Road Asset Management)

Account#: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnolley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security   | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|---------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| PetMed Express Inc        | 716382106 | 50 000000  | 06/08/2009 | 04/27/2010 | 323       | 0 00   | 1,165 94  | -766 31    | 399 63    |
| PetMed Express Inc        | 716382106 | 120 000000 | 06/05/2009 | 04/27/2010 | 326       | 0 00   | 2,798 25  | -1,851 77  | 946 48    |
| Petsmart Inc              | 716768106 | 200 000000 | 09/22/2009 | 04/27/2010 | 217       | 0 00   | 6,903 02  | -4,369 92  | 2,533 10  |
| Petsmart Inc              | 716768106 | 190 000000 | 09/28/2009 | 04/27/2010 | 211       | 0 00   | 6,557 87  | -4,159 43  | 2,398 44  |
| Petsmart Inc              | 716768106 | 190 000000 | 09/25/2009 | 04/27/2010 | 214       | 0 00   | 6,557 87  | -4,187 98  | 2,369 89  |
| Petsmart Inc              | 716768106 | 130 000000 | 10/08/2009 | 04/27/2010 | 201       | 0 00   | 4,486 96  | -2,870 36  | 1,616 60  |
| Petsmart Inc              | 716768106 | 140 000000 | 10/07/2009 | 04/27/2010 | 202       | 0 00   | 4,832 12  | -3,100 64  | 1,731 48  |
| Petsmart Inc              | 716768106 | 120 000000 | 10/09/2009 | 04/27/2010 | 200       | 0 00   | 4,141 82  | -2,680 00  | 1,461 82  |
| Petsmart Inc              | 716768106 | 190 000000 | 10/13/2009 | 04/27/2010 | 196       | 0 00   | 6,557 87  | -4,324 57  | 2,233 30  |
| Petsmart Inc              | 716768106 | 120 000000 | 10/12/2009 | 04/27/2010 | 197       | 0 00   | 4,141 82  | -2,741 80  | 1,400 02  |
| Rent-a-Center Inc         | 76009N100 | 270 000000 | 12/09/2009 | 04/27/2010 | 139       | 0 00   | 7,541 51  | -4,870 02  | 2,671 49  |
| Rent-a-Center Inc         | 76009N100 | 210 000000 | 09/21/2009 | 04/27/2010 | 218       | 0 00   | 5,865 62  | -4,010 33  | 1,855 29  |
| Unifirst Corp             | 904708104 | 40 000000  | 06/01/2009 | 04/27/2010 | 330       | 0 00   | 2,033 18  | -1,360 63  | 672 55    |
| Unifirst Corp             | 904708104 | 70 000000  | 06/02/2009 | 04/27/2010 | 329       | 0 00   | 3,558 06  | -2,446 08  | 1,111 98  |
| Unifirst Corp             | 904708104 | 40 000000  | 06/03/2009 | 04/27/2010 | 328       | 0 00   | 2,033 18  | -1,416 83  | 616 35    |
| Unifirst Corp             | 904708104 | 100 000000 | 04/27/2009 | 04/27/2010 | 365       | 0 00   | 5,082 94  | -3,685 84  | 1,397 10  |
| Unifirst Corp             | 904708104 | 40 000000  | 04/29/2009 | 04/27/2010 | 363       | 0 00   | 2,033 17  | -1,532 69  | 500 48    |
| Universal Electronics Inc | 913483103 | 70 000000  | 11/05/2009 | 04/27/2010 | 173       | 0 00   | 1,574 82  | -1,472 64  | 102 18    |
| Universal Electronics Inc | 913483103 | 60 000000  | 12/04/2009 | 04/27/2010 | 144       | 0 00   | 1,349 85  | -1,268 48  | 81 37     |
| Universal Electronics Inc | 913483103 | 50 000000  | 12/07/2009 | 04/27/2010 | 141       | 0 00   | 1,124 87  | -1,075 50  | 49 37     |
| Universal Electronics Inc | 913483103 | 10 000000  | 11/06/2009 | 04/27/2010 | 172       | 0 00   | 224 98    | -216 55    | 8 43      |
| Universal Electronics Inc | 913483103 | 30 000000  | 12/09/2009 | 04/27/2010 | 139       | 0 00   | 674 93    | -662 57    | 12 36     |
| Universal Electronics Inc | 913483103 | 10 000000  | 11/20/2009 | 04/27/2010 | 158       | 0 00   | 224 98    | -222 60    | 2 38      |
| Universal Electronics Inc | 913483103 | 40 000000  | 11/19/2009 | 04/27/2010 | 159       | 0 00   | 899 90    | -892 08    | 7 82      |
| Universal Electronics Inc | 913483103 | 110 000000 | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 2,474 73  | -2,476 97  | -2 24     |
| Universal Electronics Inc | 913483103 | 100 000000 | 11/18/2009 | 04/27/2010 | 160       | 0 00   | 2,249 75  | -2,258 06  | -8 31     |
| Universal Electronics Inc | 913483103 | 120 000000 | 11/24/2009 | 04/27/2010 | 154       | 0 00   | 2,699 70  | -2,725 82  | -26 12    |
| Universal Electronics Inc | 913483103 | 110 000000 | 11/17/2009 | 04/27/2010 | 161       | 0 00   | 2,474 73  | -2,511 64  | -36 91    |
| Universal Electronics Inc | 913483103 | 100 000000 | 11/23/2009 | 04/27/2010 | 155       | 0 00   | 2,249 75  | -2,291 50  | -41 75    |
| Universal Electronics Inc | 913483103 | 140 000000 | 03/10/2010 | 04/27/2010 | 48        | 0 00   | 3,149 65  | -3,208 94  | -59 29    |
| Universal Electronics Inc | 913483103 | 20 000000  | 11/12/2009 | 04/27/2010 | 166       | 0 00   | 449 95    | -458 53    | -8 58     |
| Universal Electronics Inc | 913483103 | 30 000000  | 11/13/2009 | 04/27/2010 | 165       | 0 00   | 674 93    | -688 66    | -13 73    |
| Universal Electronics Inc | 913483103 | 20 000000  | 12/10/2009 | 04/27/2010 | 138       | 0 00   | 449 95    | -459 42    | -9 47     |
| Universal Electronics Inc | 913483103 | 10 000000  | 11/16/2009 | 04/27/2010 | 162       | 0 00   | 224 98    | -230 26    | -5 28     |
| Universal Electronics Inc | 913483103 | 20 000000  | 03/11/2010 | 04/27/2010 | 47        | 0 00   | 449 95    | -464 62    | -14 67    |
| Universal Electronics Inc | 913483103 | 60 000000  | 01/07/2010 | 04/27/2010 | 110       | 0 00   | 1,349 85  | -1,407 26  | -57 41    |
| Universal Electronics Inc | 913483103 | 20 000000  | 12/11/2009 | 04/27/2010 | 137       | 0 00   | 449 95    | -469 24    | -19 29    |
| Universal Electronics Inc | 913483103 | 30 000000  | 01/06/2010 | 04/27/2010 | 111       | 0 00   | 674 93    | -705 26    | -30 33    |
| Universal Electronics Inc | 913483103 | 70 000000  | 01/05/2010 | 04/27/2010 | 112       | 0 00   | 1,574 82  | -1,650 62  | -75 80    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security   | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|---------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Universal Electronics Inc | 913483103 | 10 000000  | 01/04/2010 | 04/27/2010 | 113       | 0 00   | 224 98    | -237 21    | -12.23    |
| Universal Electronics Inc | 913483103 | 110 000000 | 03/22/2010 | 04/27/2010 | 36        | 0 00   | 2,474 73  | -2,618 47  | -143 74   |
| Universal Electronics Inc | 913483103 | 20 000000  | 01/08/2010 | 04/27/2010 | 109       | 0 00   | 449 95    | -476 83    | -26 88    |
| Universal Electronics Inc | 913483103 | 50 000000  | 01/25/2010 | 04/27/2010 | 92        | 0 00   | 1,124 87  | -1,242 09  | -117 22   |
| Universal Electronics Inc | 913483103 | 54 000000  | 01/26/2010 | 04/27/2010 | 91        | 0 00   | 1,214 87  | -1,345 28  | -130 41   |
| Universal Electronics Inc | 913483103 | 20 000000  | 01/11/2010 | 04/27/2010 | 106       | 0 00   | 449 95    | -498 51    | -48 56    |
| Universal Electronics Inc | 913483103 | 16 000000  | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 359 96    | -399 51    | -39.55    |
| Universal Electronics Inc | 913483103 | 50 000000  | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 1,124 87  | -1,252 52  | -127 65   |
| Universal Electronics Inc | 913483103 | 80 000000  | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 1,799 80  | -2,018 36  | -218 56   |
| Universal Electronics Inc | 913483103 | 20 000000  | 01/12/2010 | 04/27/2010 | 105       | 0 00   | 449 95    | -516 84    | -66 89    |
| Universal Electronics Inc | 913483103 | 20 000000  | 01/13/2010 | 04/27/2010 | 104       | 0 00   | 449 95    | -528 39    | -78 44    |
| Volt Info Sciences, Inc   | 928703107 | 40 000000  | 07/13/2009 | 04/27/2010 | 288       | 0 00   | 516 29    | -257 23    | 259 06    |
| Volt Info Sciences, Inc   | 928703107 | 70 000000  | 07/14/2009 | 04/27/2010 | 287       | 0 00   | 903 49    | -455 93    | 447 56    |
| Volt Info Sciences, Inc   | 928703107 | 180 000000 | 05/29/2009 | 04/27/2010 | 333       | 0 00   | 2,323 26  | -1,220 40  | 1,102 86  |
| Volt Info Sciences, Inc   | 928703107 | 180 000000 | 05/13/2009 | 04/27/2010 | 349       | 0 00   | 2,323 26  | -1,252 53  | 1,070 73  |
| Volt Info Sciences, Inc   | 928703107 | 210 000000 | 05/08/2009 | 04/27/2010 | 354       | 0 00   | 2,710 46  | -1,466 41  | 1,244 05  |
| Volt Info Sciences, Inc   | 928703107 | 190 000000 | 06/02/2009 | 04/27/2010 | 329       | 0 00   | 2,452 33  | -1,327 61  | 1,124 72  |
| Volt Info Sciences, Inc   | 928703107 | 50 000000  | 06/01/2009 | 04/27/2010 | 330       | 0 00   | 645 35    | -350 55    | 294 80    |
| Volt Info Sciences, Inc   | 928703107 | 50 000000  | 07/15/2009 | 04/27/2010 | 286       | 0 00   | 645 35    | -351 27    | 294 08    |
| Volt Info Sciences, Inc   | 928703107 | 200 000000 | 06/05/2009 | 04/27/2010 | 326       | 0 00   | 2,581 40  | -1,429 96  | 1,151 44  |
| Volt Info Sciences, Inc   | 928703107 | 40 000000  | 05/12/2009 | 04/27/2010 | 350       | 0 00   | 516 28    | -286 75    | 229 53    |
| Volt Info Sciences, Inc   | 928703107 | 70 000000  | 05/14/2009 | 04/27/2010 | 348       | 0 00   | 903 48    | -504 01    | 399 47    |
| Volt Info Sciences, Inc   | 928703107 | 110 000000 | 05/11/2009 | 04/27/2010 | 351       | 0 00   | 1,419 77  | -795 65    | 624 12    |
| Volt Info Sciences, Inc   | 928703107 | 60 000000  | 07/16/2009 | 04/27/2010 | 285       | 0 00   | 774 42    | -435 45    | 338 97    |
| Volt Info Sciences, Inc   | 928703107 | 90 000000  | 05/15/2009 | 04/27/2010 | 347       | 0 00   | 1,161 63  | -653 22    | 508.41    |
| Volt Info Sciences, Inc   | 928703107 | 10 000000  | 07/17/2009 | 04/27/2010 | 284       | 0 00   | 129 07    | -73 02     | 56 05     |
| Volt Info Sciences, Inc   | 928703107 | 150 000000 | 06/10/2009 | 04/27/2010 | 321       | 0 00   | 1,936 05  | -1,106 79  | 829 26    |
| Volt Info Sciences, Inc   | 928703107 | 110 000000 | 06/09/2009 | 04/27/2010 | 322       | 0 00   | 1,419 77  | -841 86    | 577 91    |
| Volt Info Sciences, Inc   | 928703107 | 90 000000  | 07/30/2009 | 04/27/2010 | 271       | 0 00   | 1,161 63  | -721 33    | 440 30    |
| Volt Info Sciences, Inc   | 928703107 | 60 000000  | 08/06/2009 | 04/27/2010 | 264       | 0 00   | 774 42    | -481 80    | 292 62    |
| Volt Info Sciences, Inc   | 928703107 | 20 000000  | 08/05/2009 | 04/27/2010 | 265       | 0 00   | 258 13    | -160 86    | 97 27     |
| Volt Info Sciences, Inc   | 928703107 | 70 000000  | 07/31/2009 | 04/27/2010 | 270       | 0 00   | 903 48    | -563 79    | 339 69    |
| Volt Info Sciences, Inc   | 928703107 | 40 000000  | 08/03/2009 | 04/27/2010 | 267       | 0 00   | 516 28    | -325 61    | 190 67    |
| Volt Info Sciences, Inc   | 928703107 | 30 000000  | 08/10/2009 | 04/27/2010 | 260       | 0 00   | 387 21    | -251 99    | 135.22    |
| Volt Info Sciences, Inc   | 928703107 | 50 000000  | 08/07/2009 | 04/27/2010 | 263       | 0 00   | 645 35    | -423 06    | 222 29    |
| Volt Info Sciences, Inc   | 928703107 | 50 000000  | 08/11/2009 | 04/27/2010 | 259       | 0 00   | 645 35    | -426 15    | 219 20    |
| Volt Info Sciences, Inc   | 928703107 | 60 000000  | 08/12/2009 | 04/27/2010 | 258       | 0 00   | 774 42    | -536 23    | 238.19    |
| Volt Info Sciences, Inc   | 928703107 | 60.000000  | 08/13/2009 | 04/27/2010 | 257       | 0 00   | 774 42    | -546 23    | 228 19    |
| Volt Info Sciences, Inc   | 928703107 | 60 000000  | 08/14/2009 | 04/27/2010 | 256       | 0 00   | 774 42    | -550 93    | 223 49    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account#: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security   | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|---------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Volt Info Sciences, Inc   | 928703107 | 50 000000  | 08/17/2009 | 04/27/2010 | 253       | 0 00   | 645 35    | -460 08    | 185 27    |
| Volt Info Sciences, Inc   | 928703107 | 30 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 387 21    | -326 44    | 60 77     |
| Volt Info Sciences, Inc   | 928703107 | 30 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 387 21    | -341 14    | 46 07     |
| Volt Info Sciences, Inc   | 928703107 | 50 000000  | 04/16/2010 | 04/27/2010 | 11        | 0 00   | 645 35    | -582 16    | 63 19     |
| Volt Info Sciences, Inc   | 928703107 | 20 000000  | 04/19/2010 | 04/27/2010 | 8         | 0 00   | 258 13    | -233 00    | 25 13     |
| Volt Info Sciences, Inc   | 928703107 | 30 000000  | 04/20/2010 | 04/27/2010 | 7         | 0 00   | 387 21    | -362 30    | 24 91     |
| Volt Info Sciences, Inc   | 928703107 | 20 000000  | 04/21/2010 | 04/27/2010 | 6         | 0 00   | 258 13    | -248 56    | 9 57      |
| Volt Info Sciences, Inc   | 928703107 | 30 000000  | 04/22/2010 | 04/27/2010 | 5         | 0 00   | 387 21    | -381 80    | 5 41      |
| Volt Info Sciences, Inc   | 928703107 | 30 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 387 21    | -394 29    | -7 08     |
| Volt Info Sciences, Inc   | 928703107 | 40 000000  | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 516 28    | -526 21    | -9 93     |
| J&J Snack Foods Corp      | 466032109 | 40 000000  | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 1,878 14  | -1,584 83  | 293 31    |
| J&J Snack Foods Corp      | 466032109 | 40 000000  | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 1,878 14  | -1,661 07  | 217 07    |
| J&J Snack Foods Corp      | 466032109 | 70 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 3,286 74  | -3,026 45  | 260 29    |
| Ruddick Corp common       | 781258108 | 190 000000 | 09/17/2009 | 04/27/2010 | 222       | 0 00   | 6,321 44  | -5,241 49  | 1,079 95  |
| Ruddick Corp common       | 781258108 | 400 000000 | 09/18/2009 | 04/27/2010 | 221       | 0 00   | 13,308 29 | -11,055 04 | 2,253 25  |
| Seneca Foods Cl A         | 817070501 | 130 000000 | 11/19/2009 | 04/27/2010 | 159       | 0 00   | 4,233 11  | -2,862 60  | 1,370 51  |
| Seneca Foods Cl A         | 817070501 | 120 000000 | 11/20/2009 | 04/27/2010 | 158       | 0 00   | 3,907 49  | -2,683 85  | 1,223 64  |
| Seneca Foods Cl A         | 817070501 | 60 000000  | 11/27/2009 | 04/27/2010 | 151       | 0 00   | 1,953 74  | -1,367 38  | 586 36    |
| Seneca Foods Cl A         | 817070501 | 100 000000 | 12/17/2009 | 04/27/2010 | 131       | 0 00   | 3,256 24  | -2,302 00  | 954 24    |
| Seneca Foods Cl A         | 817070501 | 10 000000  | 11/24/2009 | 04/27/2010 | 154       | 0 00   | 325 63    | -230 54    | 95 09     |
| Seneca Foods Cl A         | 817070501 | 30 000000  | 11/30/2009 | 04/27/2010 | 148       | 0 00   | 976 87    | -697 55    | 279 32    |
| Seneca Foods Cl A         | 817070501 | 10 000000  | 12/09/2009 | 04/27/2010 | 139       | 0 00   | 325 62    | -232 79    | 92 83     |
| Seneca Foods Cl A         | 817070501 | 60 000000  | 11/25/2009 | 04/27/2010 | 153       | 0 00   | 1,953 74  | -1,408 63  | 545 11    |
| Seneca Foods Cl A         | 817070501 | 70 000000  | 11/23/2009 | 04/27/2010 | 155       | 0 00   | 2,279 36  | -1,649 74  | 629 62    |
| Seneca Foods Cl A         | 817070501 | 30 000000  | 12/10/2009 | 04/27/2010 | 138       | 0 00   | 976 87    | -715 97    | 260 90    |
| Seneca Foods Cl A         | 817070501 | 20 000000  | 12/01/2009 | 04/27/2010 | 147       | 0 00   | 651 25    | -478 57    | 172 68    |
| Seneca Foods Cl A         | 817070501 | 40 000000  | 12/11/2009 | 04/27/2010 | 137       | 0 00   | 1,302 49  | -958 58    | 343 91    |
| Seneca Foods Cl A         | 817070501 | 100 000000 | 01/08/2010 | 04/27/2010 | 109       | 0 00   | 3,256 24  | -2,405 21  | 851 03    |
| Seneca Foods Cl A         | 817070501 | 20 000000  | 12/04/2009 | 04/27/2010 | 144       | 0 00   | 651 25    | -483 61    | 167 64    |
| Seneca Foods Cl A         | 817070501 | 120 000000 | 12/07/2009 | 04/27/2010 | 141       | 0 00   | 3,907 48  | -2,918 68  | 988 80    |
| Seneca Foods Cl A         | 817070501 | 10 000000  | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 325 62    | -250 07    | 75 55     |
| Seneca Foods Cl A         | 817070501 | 10 000000  | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 325 62    | -250 17    | 75 45     |
| Seneca Foods Cl A         | 817070501 | 50 000000  | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 1,628 11  | -1,253 29  | 374 82    |
| Seneca Foods Cl A         | 817070501 | 20 000000  | 01/25/2010 | 04/27/2010 | 92        | 0 00   | 651 25    | -524 49    | 126 76    |
| Seneca Foods Cl A         | 817070501 | 10 000000  | 01/26/2010 | 04/27/2010 | 91        | 0 00   | 325 62    | -264 18    | 61 44     |
| Seneca Foods Cl A         | 817070501 | 30 000000  | 02/01/2010 | 04/27/2010 | 85        | 0 00   | 976 87    | -810 19    | 166 68    |
| Seneca Foods Cl A         | 817070501 | 50 000000  | 01/29/2010 | 04/27/2010 | 88        | 0 00   | 1,628 11  | -1,350 99  | 277 12    |
| Seneca Foods Cl A         | 817070501 | 20 000000  | 02/02/2010 | 04/27/2010 | 84        | 0 00   | 651 25    | -553 49    | 97 76     |
| Village Super Market-Cl A | 927107409 | 10 000000  | 04/08/2010 | 04/27/2010 | 19        | 0 00   | 275 40    | -279 78    | -4 38     |

# The Norton Foundation, Inc. (River Road Asset Management).

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security    | Cusip     | Shares/Par   | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|----------------------------|-----------|--------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Frontier Oil Corp          | 35914P105 | 220 000000   | 07/13/2009 | 04/27/2010 | 288       | 0 00   | 3,086 66  | -2,725 45  | 361 21    |
| Frontier Oil Corp          | 35914P105 | 210 000000   | 06/30/2009 | 04/27/2010 | 301       | 0 00   | 2,946 36  | -2,747 64  | 198 72    |
| Frontier Oil Corp          | 35914P105 | 230 000000   | 08/11/2009 | 04/27/2010 | 259       | 0 00   | 3,226 96  | -3,103 14  | 123 82    |
| Frontier Oil Corp          | 35914P105 | 60 000000    | 09/25/2009 | 04/27/2010 | 214       | 0 00   | 841 82    | -841 27    | 0 55      |
| Frontier Oil Corp          | 35914P105 | 200 000000   | 07/30/2009 | 04/27/2010 | 271       | 0 00   | 2,806 05  | -2,849 56  | -43 51    |
| Gastar Exploration Ltd New | 367299203 | 4,610 000000 | 12/10/2009 | 04/27/2010 | 138       | 0 00   | 24,270 77 | -19,730 80 | 4,539 97  |
| Gastar Exploration Ltd New | 367299203 | 390 000000   | 02/18/2010 | 04/27/2010 | 68        | 0 00   | 2,053 28  | -1,937 29  | 115 99    |
| Gastar Exploration Ltd New | 367299203 | 60 000000    | 02/19/2010 | 04/27/2010 | 67        | 0 00   | 315 88    | -309 40    | 6 48      |
| UniSource Energy Corp      | 909205106 | 100 000000   | 03/02/2010 | 04/27/2010 | 56        | 0 00   | 3,293 91  | -3,004 83  | 289 08    |
| UniSource Energy Corp      | 909205106 | 120 000000   | 03/05/2010 | 04/27/2010 | 53        | 0 00   | 3,952 68  | -3,690 93  | 261 75    |
| UniSource Energy Corp      | 909205106 | 100 000000   | 03/08/2010 | 04/27/2010 | 50        | 0 00   | 3,293 90  | -3,077 62  | 216 28    |
| UniSource Energy Corp      | 909205106 | 20 000000    | 02/17/2010 | 04/27/2010 | 69        | 0 00   | 658 78    | -628 05    | 30 73     |
| UniSource Energy Corp      | 909205106 | 20 000000    | 03/11/2010 | 04/27/2010 | 47        | 0 00   | 658 78    | -628 31    | 30 47     |
| UniSource Energy Corp      | 909205106 | 20 000000    | 03/17/2010 | 04/27/2010 | 41        | 0 00   | 658 78    | -630 35    | 28 43     |
| UniSource Energy Corp      | 909205106 | 80 000000    | 03/18/2010 | 04/27/2010 | 40        | 0 00   | 2,635 12  | -2,531 28  | 103 84    |
| UniSource Energy Corp      | 909205106 | 80 000000    | 02/18/2010 | 04/27/2010 | 68        | 0 00   | 2,635 12  | -2,546 69  | 88 43     |
| UniSource Energy Corp      | 909205106 | 60 000000    | 03/12/2010 | 04/27/2010 | 46        | 0 00   | 1,976 35  | -1,912 95  | 63 40     |
| UniSource Energy Corp      | 909205106 | 180 000000   | 02/19/2010 | 04/27/2010 | 67        | 0 00   | 5,929 03  | -5,775 54  | 153.49    |
| Avatar Hldgs Inc           | 053494100 | 70 000000    | 01/06/2010 | 04/27/2010 | 111       | 0 00   | 1,607 13  | -1,172 31  | 434.82    |
| Avatar Hldgs Inc           | 053494100 | 60 000000    | 01/05/2010 | 04/27/2010 | 112       | 0 00   | 1,377 53  | -1,014 34  | 363 19    |
| Avatar Hldgs Inc           | 053494100 | 20 000000    | 02/02/2010 | 04/27/2010 | 84        | 0 00   | 459 18    | -341 79    | 117 39    |
| Avatar Hldgs Inc           | 053494100 | 50 000000    | 02/19/2010 | 04/27/2010 | 67        | 0 00   | 1,147 96  | -857 63    | 290 33    |
| Avatar Hldgs Inc           | 053494100 | 40 000000    | 01/28/2010 | 04/27/2010 | 89        | 0 00   | 918 36    | -688 37    | 229 99    |
| Avatar Hldgs Inc           | 053494100 | 100 000000   | 01/29/2010 | 04/27/2010 | 88        | 0 00   | 2,295 89  | -1,728 45  | 567 44    |
| Avatar Hldgs Inc           | 053494100 | 12 000000    | 02/08/2010 | 04/27/2010 | 78        | 0 00   | 275 50    | -207 87    | 67 63     |
| Avatar Hldgs Inc           | 053494100 | 20 000000    | 01/26/2010 | 04/27/2010 | 91        | 0 00   | 459 18    | -346 96    | 112 22    |
| Avatar Hldgs Inc           | 053494100 | 128 000000   | 02/09/2010 | 04/27/2010 | 77        | 0 00   | 2,938 74  | -2,231 96  | 706 78    |
| Avatar Hldgs Inc           | 053494100 | 10 000000    | 01/27/2010 | 04/27/2010 | 90        | 0 00   | 229 58    | -174 57    | 55 01     |
| Avatar Hldgs Inc           | 053494100 | 10 000000    | 01/07/2010 | 04/27/2010 | 110       | 0 00   | 229 58    | -174 73    | 54 85     |
| Avatar Hldgs Inc           | 053494100 | 50 000000    | 02/18/2010 | 04/27/2010 | 68        | 0 00   | 1,147 95  | -875 62    | 272 33    |
| Avatar Hldgs Inc           | 053494100 | 20 000000    | 02/03/2010 | 04/27/2010 | 83        | 0 00   | 459 18    | -357 07    | 102 11    |
| Avatar Hldgs Inc           | 053494100 | 50 000000    | 02/04/2010 | 04/27/2010 | 82        | 0 00   | 1,147 95  | -907 03    | 240 92    |
| Avatar Hldgs Inc           | 053494100 | 10 000000    | 01/25/2010 | 04/27/2010 | 92        | 0 00   | 229 58    | -182 94    | 46 64     |
| Avatar Hldgs Inc           | 053494100 | 60 000000    | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 1,377 54  | -1,100 12  | 277 42    |
| Avatar Hldgs Inc           | 053494100 | 10 000000    | 01/15/2010 | 04/27/2010 | 102       | 0 00   | 229 58    | -183 94    | 45.64     |
| Avatar Hldgs Inc           | 053494100 | 20 000000    | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 459 18    | -369 57    | 89 61     |
| Avatar Hldgs Inc           | 053494100 | 10 000000    | 01/08/2010 | 04/27/2010 | 109       | 0 00   | 229 58    | -185 46    | 44 12     |
| Avatar Hldgs Inc           | 053494100 | 20 000000    | 01/19/2010 | 04/27/2010 | 98        | 0 00   | 459 18    | -373 24    | 85 94     |
| Avatar Hldgs Inc           | 053494100 | 30 000000    | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 688 77    | -564 23    | 124 54    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security       | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Avatar Hldgs Inc              | 053494100 | 30 000000  | 01/11/2010 | 04/27/2010 | 106       | 0 00   | 688 77    | -572 09    | 116 68    |
| BancorpSouth Inc              | 059692103 | 150 000000 | 06/26/2009 | 04/27/2010 | 305       | 0 00   | 3,335 86  | -3,083 58  | 252 28    |
| BancorpSouth Inc              | 059692103 | 80 000000  | 07/13/2009 | 04/27/2010 | 288       | 0 00   | 1,779 12  | -1,645 86  | 133 26    |
| BancorpSouth Inc              | 059692103 | 260 000000 | 06/05/2009 | 04/27/2010 | 326       | 0 00   | 5,782 14  | -5,606 12  | 176 02    |
| Bank of Hawaii Corp           | 062540109 | 150 000000 | 07/13/2009 | 04/27/2010 | 288       | 0 00   | 7,944 73  | -5,260 05  | 2,684 68  |
| Capital Southwest Corporation | 140501107 | 10 000000  | 02/08/2010 | 04/27/2010 | 78        | 0 00   | 936 09    | -814 37    | 121 72    |
| Capital Southwest Corporation | 140501107 | 10 000000  | 02/11/2010 | 04/27/2010 | 75        | 0 00   | 936 09    | -827 62    | 108 47    |
| Capital Southwest Corporation | 140501107 | 10 000000  | 02/10/2010 | 04/27/2010 | 76        | 0 00   | 936 09    | -827 63    | 108 46    |
| Capital Southwest Corporation | 140501107 | 10 000000  | 02/16/2010 | 04/27/2010 | 70        | 0 00   | 936 08    | -839 73    | 96 35     |
| Capital Southwest Corporation | 140501107 | 10 000000  | 02/22/2010 | 04/27/2010 | 64        | 0 00   | 936 08    | -860 57    | 75 51     |
| Capital Southwest Corporation | 140501107 | 10 000000  | 02/26/2010 | 04/27/2010 | 60        | 0 00   | 936 08    | -867 00    | 69 08     |
| Capital Southwest Corporation | 140501107 | 10 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 936 08    | -936 91    | -0 83     |
| Capital Southwest Corporation | 140501107 | 10 000000  | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 936 08    | -937 81    | -1 73     |
| Capital Southwest Corporation | 140501107 | 10 000000  | 03/24/2010 | 04/27/2010 | 34        | 0 00   | 936 08    | -950 04    | -13 96    |
| Dime Community Bancshares Inc | 253922108 | 20 000000  | 07/22/2009 | 04/27/2010 | 279       | 0 00   | 272 23    | -203 51    | 68 72     |
| Dime Community Bancshares Inc | 253922108 | 90 000000  | 07/16/2009 | 04/27/2010 | 285       | 0 00   | 1,225 03  | -931 41    | 293 62    |
| Dime Community Bancshares Inc | 253922108 | 170 000000 | 07/17/2009 | 04/27/2010 | 284       | 0 00   | 2,313 93  | -1,785 78  | 528 15    |
| Dime Community Bancshares Inc | 253922108 | 40 000000  | 07/23/2009 | 04/27/2010 | 278       | 0 00   | 544 45    | -420 84    | 123 61    |
| Dime Community Bancshares Inc | 253922108 | 30 000000  | 09/01/2009 | 04/27/2010 | 238       | 0 00   | 408 34    | -331 02    | 77 32     |
| Dime Community Bancshares Inc | 253922108 | 40 000000  | 09/02/2009 | 04/27/2010 | 237       | 0 00   | 544 45    | -441 47    | 102 98    |
| Dime Community Bancshares Inc | 253922108 | 100 000000 | 12/09/2009 | 04/27/2010 | 139       | 0 00   | 1,361 14  | -1,119 54  | 241 60    |
| Dime Community Bancshares Inc | 253922108 | 550 000000 | 12/10/2009 | 04/27/2010 | 138       | 0 00   | 7,486 25  | -6,208 18  | 1,278 07  |
| Dime Community Bancshares Inc | 253922108 | 20 000000  | 12/29/2009 | 04/27/2010 | 119       | 0 00   | 272 23    | -229 14    | 43 09     |
| Dime Community Bancshares Inc | 253922108 | 50 000000  | 12/30/2009 | 04/27/2010 | 118       | 0 00   | 680 57    | -575 18    | 105 39    |
| Dime Community Bancshares Inc | 253922108 | 50 000000  | 01/08/2010 | 04/27/2010 | 109       | 0 00   | 680 57    | -581 08    | 99 49     |
| Dime Community Bancshares Inc | 253922108 | 70 000000  | 01/12/2010 | 04/27/2010 | 105       | 0 00   | 952 79    | -814 38    | 138 41    |
| Dime Community Bancshares Inc | 253922108 | 70 000000  | 01/11/2010 | 04/27/2010 | 106       | 0 00   | 952 79    | -819 28    | 133 51    |
| Dime Community Bancshares Inc | 253922108 | 210 000000 | 11/12/2009 | 04/27/2010 | 166       | 0 00   | 2,858 39  | -2,461 20  | 397 19    |
| Dime Community Bancshares Inc | 253922108 | 90 000000  | 01/13/2010 | 04/27/2010 | 104       | 0 00   | 1,225 03  | -1,058 67  | 166 36    |
| Dime Community Bancshares Inc | 253922108 | 80 000000  | 12/31/2009 | 04/27/2010 | 117       | 0 00   | 1,088 91  | -958 18    | 130 73    |
| FPIC Insurance Group, Inc     | 302563101 | 46 310000  | 12/10/2009 | 04/27/2010 | 138       | 0 00   | 1,266 63  | -1,084 76  | 181 87    |
| FPIC Insurance Group, Inc     | 302563101 | 61 740000  | 12/17/2009 | 04/27/2010 | 131       | 0 00   | 1,688 66  | -1,451 74  | 236 92    |
| FPIC Insurance Group, Inc     | 302563101 | 15 440000  | 12/11/2009 | 04/27/2010 | 137       | 0 00   | 422 29    | -366 05    | 56 24     |
| FPIC Insurance Group, Inc     | 302563101 | 61 740000  | 12/23/2009 | 04/27/2010 | 125       | 0 00   | 1,688 67  | -1,482 26  | 206 41    |
| FPIC Insurance Group, Inc     | 302563101 | 61 740000  | 12/14/2009 | 04/27/2010 | 134       | 0 00   | 1,688 67  | -1,485 66  | 203 01    |
| FPIC Insurance Group, Inc     | 302563101 | 15 440000  | 12/28/2009 | 04/27/2010 | 120       | 0 00   | 422 30    | -375 44    | 46 86     |
| FPIC Insurance Group, Inc     | 302563101 | 46 300000  | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 1,266 36  | -1,131 90  | 134 46    |
| FPIC Insurance Group, Inc     | 302563101 | 15 430000  | 03/04/2010 | 04/27/2010 | 54        | 0 00   | 422 03    | -378 89    | 43 14     |
| FPIC Insurance Group, Inc     | 302563101 | 30 870000  | 01/29/2010 | 04/27/2010 | 88        | 0 00   | 844 33    | -758 72    | 85 61     |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnolley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| Short-Term                |           |            |            |            |           |        |           |            |           |
|---------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security   | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| FPIC Insurance Group, Inc | 302563101 | 77 170000  | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 2,110 69  | -1,902 54  | 208.15    |
| FPIC Insurance Group, Inc | 302563101 | 15 430000  | 02/01/2010 | 04/27/2010 | 85        | 0 00   | 422 03    | -381 05    | 40 98     |
| FPIC Insurance Group, Inc | 302563101 | 30 870000  | 02/02/2010 | 04/27/2010 | 84        | 0 00   | 844 33    | -763 15    | 81 18     |
| FPIC Insurance Group, Inc | 302563101 | 15 440000  | 12/29/2009 | 04/27/2010 | 119       | 0 00   | 422 30    | -383 34    | 38 96     |
| FPIC Insurance Group, Inc | 302563101 | 15 430000  | 01/26/2010 | 04/27/2010 | 91        | 0 00   | 422 03    | -383 30    | 38 73     |
| FPIC Insurance Group, Inc | 302563101 | 61 740000  | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 1,688 67  | -1,537 14  | 151 53    |
| FPIC Insurance Group, Inc | 302563101 | 30 870000  | 12/30/2009 | 04/27/2010 | 118       | 0 00   | 844 33    | -773 24    | 71 09     |
| FPIC Insurance Group, Inc | 302563101 | 77 170000  | 01/07/2010 | 04/27/2010 | 110       | 0 00   | 2,110 69  | -1,949 97  | 160.72    |
| FPIC Insurance Group, Inc | 302563101 | 15 430000  | 01/06/2010 | 04/27/2010 | 111       | 0 00   | 422 03    | -390 84    | 31 19     |
| FPIC Insurance Group, Inc | 302563101 | 15 440000  | 12/31/2009 | 04/27/2010 | 117       | 0 00   | 422 30    | -391 14    | 31.16     |
| FPIC Insurance Group, Inc | 302563101 | 80 000000  | 03/15/2010 | 04/27/2010 | 43        | 0 00   | 2,188 10  | -2,049 56  | 138 54    |
| FPIC Insurance Group, Inc | 302563101 | 40 000000  | 03/12/2010 | 04/27/2010 | 46        | 0 00   | 1,094 05  | -1,033 91  | 60 14     |
| FPIC Insurance Group, Inc | 302563101 | 10 000000  | 03/10/2010 | 04/27/2010 | 48        | 0 00   | 273 52    | -263 61    | 9 91      |
| FPIC Insurance Group, Inc | 302563101 | 10 000000  | 03/09/2010 | 04/27/2010 | 49        | 0 00   | 273 52    | -269 72    | 3 80      |
| FPIC Insurance Group, Inc | 302563101 | 10 000000  | 03/05/2010 | 04/27/2010 | 53        | 0 00   | 273 52    | -385 02    | -111 50   |
| FPIC Insurance Group, Inc | 302563101 | 30 000000  | 03/08/2010 | 04/27/2010 | 50        | 0 00   | 820 54    | -1,168 18  | -347 64   |
| First Citizens BCSHS      | 31946M103 | 30 000000  | 05/15/2009 | 04/27/2010 | 347       | 0 00   | 6,196 62  | -3,842 45  | 2,354 17  |
| First Citizens BCSHS      | 31946M103 | 20 000000  | 09/22/2009 | 04/27/2010 | 217       | 0 00   | 4,131 08  | -3,056 05  | 1,075 03  |
| First Citizens BCSHS      | 31946M103 | 20 000000  | 09/25/2009 | 04/27/2010 | 214       | 0 00   | 4,131 08  | -3,057 61  | 1,073 47  |
| First Citizens BCSHS      | 31946M103 | 10 000000  | 09/28/2009 | 04/27/2010 | 211       | 0 00   | 2,065 54  | -1,561 88  | 503 66    |
| First Citizens BCSHS      | 31946M103 | 5 000000   | 09/29/2009 | 04/27/2010 | 210       | 0 00   | 1,032 78  | -787 00    | 245 78    |
| Hilltop Holdings Corp     | 432748101 | 20 000000  | 04/27/2009 | 04/27/2010 | 365       | 0 00   | 238 82    | -229 45    | 9 37      |
| Hilltop Holdings Corp     | 432748101 | 210 000000 | 04/30/2009 | 04/27/2010 | 362       | 0 00   | 2,507 59  | -2,420 02  | 87 57     |
| Hilltop Holdings Corp     | 432748101 | 190 000000 | 05/06/2009 | 04/27/2010 | 356       | 0 00   | 2,268 77  | -2,209 57  | 59 20     |
| Hilltop Holdings Corp     | 432748101 | 110 000000 | 04/29/2009 | 04/27/2010 | 363       | 0 00   | 1,313 50  | -1,280 36  | 33 14     |
| Hilltop Holdings Corp     | 432748101 | 350 000000 | 12/28/2009 | 04/27/2010 | 120       | 0 00   | 4,179 32  | -4,100 18  | 79 14     |
| Knight Capital Group Inc  | 499005106 | 230 000000 | 04/19/2010 | 04/27/2010 | 8         | 0 00   | 3,538 35  | -3,375 62  | 162 73    |
| Knight Capital Group Inc  | 499005106 | 410 000000 | 04/21/2010 | 04/27/2010 | 6         | 0 00   | 6,307 49  | -6,125 56  | 181 93    |
| Knight Capital Group Inc  | 499005106 | 540 000000 | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 8,307 44  | -8,086 71  | 220.73    |
| Knight Capital Group Inc  | 499005106 | 210 000000 | 04/22/2010 | 04/27/2010 | 5         | 0 00   | 3,230 66  | -3,176 17  | 54 49     |
| Knight Capital Group Inc  | 499005106 | 240 000000 | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 3,692 20  | -3,670 20  | 22 00     |
| Max capital Group LTD     | G6052F103 | 200 000000 | 11/04/2009 | 04/27/2010 | 174       | 0 00   | 4,609 25  | -4,226 46  | 382 79    |
| Max capital Group LTD     | G6052F103 | 90 000000  | 09/17/2009 | 04/27/2010 | 222       | 0 00   | 2,074 16  | -1,926 09  | 148 07    |
| Max capital Group LTD     | G6052F103 | 60 000000  | 11/05/2009 | 04/27/2010 | 173       | 0 00   | 1,382 77  | -1,304 56  | 78 21     |
| Max capital Group LTD     | G6052F103 | 20 000000  | 01/19/2010 | 04/27/2010 | 98        | 0 00   | 460 93    | -447 58    | 13 35     |
| Max capital Group LTD     | G6052F103 | 120 000000 | 11/12/2009 | 04/27/2010 | 166       | 0 00   | 2,765 54  | -2,693 32  | 72 22     |
| Max capital Group LTD     | G6052F103 | 90 000000  | 11/16/2009 | 04/27/2010 | 162       | 0 00   | 2,074 15  | -2,033 84  | 40 31     |
| Max capital Group LTD     | G6052F103 | 110 000000 | 12/09/2009 | 04/27/2010 | 139       | 0 00   | 2,535 09  | -2,501 13  | 33 96     |
| Max capital Group LTD     | G6052F103 | 100 000000 | 12/28/2009 | 04/27/2010 | 120       | 0 00   | 2,304 62  | -2,292 60  | 12 02     |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security  | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|--------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Max capital Group LTD    | G6052F103 | 130 000000 | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 2,996 01  | -2,981 30  | 14 71     |
| Max capital Group LTD    | G6052F103 | 40 000000  | 11/17/2009 | 04/27/2010 | 161       | 0 00   | 921 84    | -920 22    | 1 62      |
| Navigators Group Inc     | 638904102 | 120 000000 | 05/29/2009 | 04/27/2010 | 333       | 0 00   | 4,952 47  | -5,170 20  | -217 73   |
| Navigators Group Inc     | 638904102 | 70 000000  | 06/05/2009 | 04/27/2010 | 326       | 0 00   | 2,888 94  | -3,173 65  | -284 71   |
| Onebeacon Ins            | G67742109 | 110 000000 | 02/18/2010 | 04/27/2010 | 68        | 0 00   | 1,841 42  | -1,618 61  | 222 81    |
| Onebeacon Ins            | G67742109 | 210 000000 | 02/22/2010 | 04/27/2010 | 64        | 0 00   | 3,515 45  | -3,154 18  | 361 27    |
| Onebeacon Ins            | G67742109 | 30 000000  | 03/05/2010 | 04/27/2010 | 53        | 0 00   | 502 22    | -454 75    | 47 47     |
| Onebeacon Ins            | G67742109 | 60 000000  | 02/26/2010 | 04/27/2010 | 60        | 0 00   | 1,004 41  | -909 70    | 94 71     |
| Onebeacon Ins            | G67742109 | 20 000000  | 03/01/2010 | 04/27/2010 | 57        | 0 00   | 334 80    | -303 99    | 30 81     |
| Onebeacon Ins            | G67742109 | 20 000000  | 03/08/2010 | 04/27/2010 | 50        | 0 00   | 334 80    | -307 99    | 26 81     |
| Onebeacon Ins            | G67742109 | 30 000000  | 03/04/2010 | 04/27/2010 | 54        | 0 00   | 502 21    | -465 52    | 36 69     |
| Onebeacon Ins            | G67742109 | 50 000000  | 03/03/2010 | 04/27/2010 | 55        | 0 00   | 837 01    | -775 87    | 61 14     |
| Onebeacon Ins            | G67742109 | 80 000000  | 03/09/2010 | 04/27/2010 | 49        | 0 00   | 1,339 22  | -1,242 13  | 97 09     |
| Onebeacon Ins            | G67742109 | 70 000000  | 03/10/2010 | 04/27/2010 | 48        | 0 00   | 1,171 82  | -1,105 92  | 65 90     |
| Onebeacon Ins            | G67742109 | 10 000000  | 03/11/2010 | 04/27/2010 | 47        | 0 00   | 167 40    | -159 41    | 7 99      |
| Onebeacon Ins            | G67742109 | 10 000000  | 03/12/2010 | 04/27/2010 | 46        | 0 00   | *167 40   | -160 99    | 6 41      |
| Onebeacon Ins            | G67742109 | 10 000000  | 03/17/2010 | 04/27/2010 | 41        | 0 00   | 167 40    | -161 29    | 6 11      |
| Onebeacon Ins            | G67742109 | 10 000000  | 03/18/2010 | 04/27/2010 | 40        | 0 00   | 167 40    | -162 73    | 4 67      |
| Onebeacon Ins            | G67742109 | 10 000000  | 03/19/2010 | 04/27/2010 | 39        | 0 00   | 167 40    | -163 02    | 4 38      |
| Onebeacon Ins            | G67742109 | 10 000000  | 03/22/2010 | 04/27/2010 | 36        | 0 00   | 167 40    | -163 37    | 4 03      |
| Onebeacon Ins            | G67742109 | 30 000000  | 03/23/2010 | 04/27/2010 | 35        | 0 00   | 502 21    | -491 12    | 11 09     |
| Onebeacon Ins            | G67742109 | 40 000000  | 04/09/2010 | 04/27/2010 | 18        | 0 00   | 669 61    | -658 37    | 11 24     |
| Onebeacon Ins            | G67742109 | 60 000000  | 04/13/2010 | 04/27/2010 | 14        | 0 00   | 1,004 41  | -995 41    | 9 00      |
| Onebeacon Ins            | G67742109 | 50 000000  | 04/12/2010 | 04/27/2010 | 15        | 0 00   | 837 01    | -832 51    | 4 50      |
| Onebeacon Ins            | G67742109 | 30 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 502 21    | -510 34    | -8 13     |
| Onebeacon Ins            | G67742109 | 20 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 334 80    | -343 67    | -8 87     |
| Republic Bancorp KY Cl A | 760281204 | 70 000000  | 02/11/2010 | 04/27/2010 | 75        | 0 00   | 1,627 23  | -1,073 29  | 553 94    |
| Republic Bancorp KY Cl A | 760281204 | 20 000000  | 02/12/2010 | 04/27/2010 | 74        | 0 00   | 464 93    | -311 09    | 153 84    |
| Republic Bancorp KY Cl A | 760281204 | 20 000000  | 02/16/2010 | 04/27/2010 | 70        | 0 00   | 464 93    | -316 03    | 148 90    |
| Republic Bancorp KY Cl A | 760281204 | 30 000000  | 02/17/2010 | 04/27/2010 | 69        | 0 00   | 697 38    | -477 77    | 219 61    |
| Republic Bancorp KY Cl A | 760281204 | 10 000000  | 02/18/2010 | 04/27/2010 | 68        | 0 00   | 232 45    | -161 63    | 70 82     |
| Republic Bancorp KY Cl A | 760281204 | 20 000000  | 02/19/2010 | 04/27/2010 | 67        | 0 00   | 464 92    | -332 21    | 132 71    |
| Republic Bancorp KY Cl A | 760281204 | 10 000000  | 02/23/2010 | 04/27/2010 | 63        | 0 00   | 232 46    | -169 24    | 63 22     |
| Republic Bancorp KY Cl A | 760281204 | 10 000000  | 02/22/2010 | 04/27/2010 | 64        | 0 00   | 232 46    | -169 56    | 62 90     |
| Republic Bancorp KY Cl A | 760281204 | 20 000000  | 04/21/2010 | 04/27/2010 | 6         | 0 00   | 464 92    | -417 37    | 47 55     |
| Republic Bancorp KY Cl A | 760281204 | 10 000000  | 04/22/2010 | 04/27/2010 | 5         | 0 00   | 232 46    | -219 99    | 12 47     |
| Republic Bancorp KY Cl A | 760281204 | 70 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 1,627 23  | -1,629 26  | -2 03     |
| Student Loan Corp        | 863902102 | 60 000000  | 04/22/2010 | 04/27/2010 | 5         | 0 00   | 1,763 97  | -1,830 64  | -66 67    |
| Student Loan Corp        | 863902102 | 20 000000  | 03/30/2010 | 04/27/2010 | 28        | 0 00   | 587 99    | -699 34    | -111 35   |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security             | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-------------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Student Loan Corp                   | 863902102 | 10 000000  | 03/26/2010 | 04/27/2010 | 32        | 0 00   | 294 00    | -349 81    | -55 81    |
| Student Loan Corp                   | 863902102 | 100 000000 | 04/19/2010 | 04/27/2010 | 8         | 0 00   | 2,939 95  | -3,506 24  | -566 29   |
| Student Loan Corp                   | 863902102 | 30 000000  | 03/29/2010 | 04/27/2010 | 29        | 0 00   | 881 99    | -1,054 03  | -172 04   |
| Student Loan Corp                   | 863902102 | 60 000000  | 03/31/2010 | 04/27/2010 | 27        | 0 00   | 1,763 97  | -2,121 67  | -357 70   |
| Student Loan Corp                   | 863902102 | 20 000000  | 04/01/2010 | 04/27/2010 | 26        | 0 00   | 587 99    | -717 58    | -129 59   |
| Student Loan Corp                   | 863902102 | 30 000000  | 04/05/2010 | 04/27/2010 | 22        | 0 00   | 881 98    | -1,093 21  | -211 23   |
| Student Loan Corp                   | 863902102 | 20 000000  | 04/07/2010 | 04/27/2010 | 20        | 0 00   | 587 99    | -730 45    | -142 46   |
| Student Loan Corp                   | 863902102 | 40 000000  | 04/09/2010 | 04/27/2010 | 18        | 0 00   | 1,175 98  | -1,463 28  | -287 30   |
| Student Loan Corp                   | 863902102 | 50 000000  | 04/08/2010 | 04/27/2010 | 19        | 0 00   | 1,469 97  | -1,834 62  | -364 65   |
| Student Loan Corp                   | 863902102 | 40 000000  | 04/06/2010 | 04/27/2010 | 21        | 0 00   | 1,175 98  | -1,472 99  | -297 01   |
| Student Loan Corp                   | 863902102 | 110 000000 | 04/12/2010 | 04/27/2010 | 15        | 0 00   | 3,233 94  | -4,098 94  | -865 00   |
| UMB Finl Corp Com                   | 902788108 | 70 000000  | 06/25/2009 | 04/27/2010 | 306       | 0 00   | 3,028 22  | -2,653 16  | 375 06    |
| UMB Finl Corp Com                   | 902788108 | 70 000000  | 06/15/2009 | 04/27/2010 | 316       | 0 00   | 3,028 22  | -2,707 99  | 320.23    |
| UMB Finl Corp Com                   | 902788108 | 10 000000  | 07/22/2009 | 04/27/2010 | 279       | 0 00   | 432 60    | -388 69    | 43 91     |
| UMB Finl Corp Com                   | 902788108 | 100 000000 | 06/05/2009 | 04/27/2010 | 326       | 0 00   | 4,326 04  | -3,908 00  | 418 04    |
| UMB Finl Corp Com                   | 902788108 | 30 000000  | 06/10/2009 | 04/27/2010 | 321       | 0 00   | 1,297 81  | -1,172 47  | 125 34    |
| UMB Finl Corp Com                   | 902788108 | 40 000000  | 06/09/2009 | 04/27/2010 | 322       | 0 00   | 1,730 41  | -1,573 98  | 156 43    |
| UMB Finl Corp Com                   | 902788108 | 100 000000 | 05/27/2009 | 04/27/2010 | 335       | 0 00   | 4,326 04  | -3,984 06  | 341 98    |
| UMB Finl Corp Com                   | 902788108 | 70 000000  | 09/21/2009 | 04/27/2010 | 218       | 0 00   | 3,028 23  | -2,823 40  | 204 83    |
| UMB Finl Corp Com                   | 902788108 | 60 000000  | 07/23/2009 | 04/27/2010 | 278       | 0 00   | 2,595 63  | -2,426 07  | 169 56    |
| UMB Finl Corp Com                   | 902788108 | 70 000000  | 01/25/2010 | 04/27/2010 | 92        | 0 00   | 3,028 23  | -2,855 07  | 173 16    |
| UMB Finl Corp Com                   | 902788108 | 20 000000  | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 865 20    | -816 89    | 48 31     |
| UMB Finl Corp Com                   | 902788108 | 50 000000  | 07/24/2009 | 04/27/2010 | 277       | 0 00   | 2,163 02  | -2,051 26  | 111 76    |
| UMB Finl Corp Com                   | 902788108 | 10 000000  | 01/26/2010 | 04/27/2010 | 91        | 0 00   | 432 60    | -412 03    | 20 57     |
| UMB Finl Corp Com                   | 902788108 | 50 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 2,163 02  | -2,101 83  | 61 19     |
| UMB Finl Corp Com                   | 902788108 | 50 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 2,163 02  | -2,113 19  | 49 83     |
| UMB Finl Corp Com                   | 902788108 | 30 000000  | 04/21/2010 | 04/27/2010 | 6         | 0 00   | 1,297 81  | -1,291 15  | 6 66      |
| UMB Finl Corp Com                   | 902788108 | 40 000000  | 04/22/2010 | 04/27/2010 | 5         | 0 00   | 1,730 41  | -1,750 57  | -20 16    |
| White Mountain Insurance Group, Ltd | G9618E107 | 8 000000   | 06/05/2009 | 04/27/2010 | 326       | 0 00   | 2,835 81  | -1,721 91  | 1,113 90  |
| White Mountain Insurance Group, Ltd | G9618E107 | 14 000000  | 07/16/2009 | 04/27/2010 | 285       | 0 00   | 4,962 64  | -3,359 12  | 1,603 52  |
| White Mountain Insurance Group, Ltd | G9618E107 | 8 000000   | 07/30/2009 | 04/27/2010 | 271       | 0 00   | 2,835 80  | -1,921 50  | 914 30    |
| Ensign Group Inc                    | 29358P101 | 10 000000  | 10/01/2009 | 04/27/2010 | 208       | 0 00   | 176 59    | -139 54    | 37 05     |
| Ensign Group Inc                    | 29358P101 | 30 000000  | 10/02/2009 | 04/27/2010 | 207       | 0 00   | 529 77    | -421 10    | 108 67    |
| Ensign Group Inc                    | 29358P101 | 50 000000  | 10/05/2009 | 04/27/2010 | 204       | 0 00   | 882 93    | -710 19    | 172 74    |
| Ensign Group Inc                    | 29358P101 | 130 000000 | 12/04/2009 | 04/27/2010 | 144       | 0 00   | 2,295 63  | -1,857 05  | 438 58    |
| Ensign Group Inc                    | 29358P101 | 20 000000  | 10/06/2009 | 04/27/2010 | 203       | 0 00   | 353 17    | -289 52    | 63 65     |
| Ensign Group Inc                    | 29358P101 | 40 000000  | 10/29/2009 | 04/27/2010 | 180       | 0 00   | 706 35    | -579 74    | 126 61    |
| Ensign Group Inc                    | 29358P101 | 50 000000  | 10/30/2009 | 04/27/2010 | 179       | 0 00   | 882 92    | -730 06    | 152 86    |
| Ensign Group Inc                    | 29358P101 | 40 000000  | 11/03/2009 | 04/27/2010 | 175       | 0 00   | 706 35    | -587 52    | 118 83    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account#: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Ensign Group Inc        | 29358P101 | 20 000000  | 10/07/2009 | 04/27/2010 | 202       | 0 00   | 353 17    | -298 96    | 54 21     |
| Ensign Group Inc        | 29358P101 | 80 000000  | 10/08/2009 | 04/27/2010 | 201       | 0 00   | 1,412 69  | -1,202 34  | 210 35    |
| Ensign Group Inc        | 29358P101 | 140 000000 | 11/04/2009 | 04/27/2010 | 174       | 0 00   | 2,472 20  | -2,109 54  | 362 66    |
| Ensign Group Inc        | 29358P101 | 60 000000  | 10/09/2009 | 04/27/2010 | 200       | 0 00   | 1,059 51  | -907 06    | 152 45    |
| Ensign Group Inc        | 29358P101 | 50 000000  | 10/13/2009 | 04/27/2010 | 196       | 0 00   | 882 92    | -758 45    | 124 47    |
| Ensign Group Inc        | 29358P101 | 40 000000  | 10/23/2009 | 04/27/2010 | 186       | 0 00   | 706 35    | -607 72    | 98 63     |
| Ensign Group Inc        | 29358P101 | 50 000000  | 10/15/2009 | 04/27/2010 | 194       | 0 00   | 882 92    | -761 63    | 121 29    |
| Ensign Group Inc        | 29358P101 | 70 000000  | 10/12/2009 | 04/27/2010 | 197       | 0 00   | 1,236 10  | -1,066 37  | 169 73    |
| Ensign Group Inc        | 29358P101 | 20 000000  | 10/20/2009 | 04/27/2010 | 189       | 0 00   | 353 17    | -304 96    | 48 21     |
| Ensign Group Inc        | 29358P101 | 20 000000  | 10/16/2009 | 04/27/2010 | 193       | 0 00   | 353 17    | -305 20    | 47 97     |
| Ensign Group Inc        | 29358P101 | 10 000000  | 10/22/2009 | 04/27/2010 | 187       | 0 00   | 176 59    | -152 61    | 23 98     |
| Ensign Group Inc        | 29358P101 | 30 000000  | 10/21/2009 | 04/27/2010 | 188       | 0 00   | 529 76    | -457 95    | 71 81     |
| Ensign Group Inc        | 29358P101 | 20 000000  | 10/19/2009 | 04/27/2010 | 190       | 0 00   | 353 17    | -305 55    | 47 62     |
| Ensign Group Inc        | 29358P101 | 20 000000  | 01/06/2010 | 04/27/2010 | 111       | 0 00   | 353 17    | -322 72    | 30 45     |
| Ensign Group Inc        | 29358P101 | 20 000000  | 01/08/2010 | 04/27/2010 | 109       | 0 00   | 353 17    | -327 71    | 25 46     |
| Ensign Group Inc        | 29358P101 | 80 000000  | 01/07/2010 | 04/27/2010 | 110       | 0 00   | 1,412 69  | -1,312 59  | 100 10    |
| Haemonetics Corp        | 405024100 | 30 000000  | 03/11/2010 | 04/27/2010 | 47        | 0 00   | 1,719 60  | -1,653 62  | 65 98     |
| Haemonetics Corp        | 405024100 | 40 000000  | 03/10/2010 | 04/27/2010 | 48        | 0 00   | 2,292 79  | -2,208 36  | 84 43     |
| Haemonetics Corp        | 405024100 | 10 000000  | 03/17/2010 | 04/27/2010 | 41        | 0 00   | 573 20    | -560 73    | 12 47     |
| Haemonetics Corp        | 405024100 | 60 000000  | 03/12/2010 | 04/27/2010 | 46        | 0 00   | 3,439 19  | -3,366 29  | 72 90     |
| Haemonetics Corp        | 405024100 | 10 000000  | 03/15/2010 | 04/27/2010 | 43        | 0 00   | 573 20    | -561 46    | 11 74     |
| Haemonetics Corp        | 405024100 | 50 000000  | 04/09/2010 | 04/27/2010 | 18        | 0 00   | 2,866 00  | -2,808 46  | 57 54     |
| Haemonetics Corp        | 405024100 | 50 000000  | 03/18/2010 | 04/27/2010 | 40        | 0 00   | 2,866 00  | -2,831 17  | 34 83     |
| Haemonetics Corp        | 405024100 | 50 000000  | 03/24/2010 | 04/27/2010 | 34        | 0 00   | 2,866 00  | -2,842 36  | 23 64     |
| Haemonetics Corp        | 405024100 | 30 000000  | 04/12/2010 | 04/27/2010 | 15        | 0 00   | 1,719 60  | -1,707 15  | 12 45     |
| Haemonetics Corp        | 405024100 | 60 000000  | 03/25/2010 | 04/27/2010 | 33        | 0 00   | 3,439 19  | -3,431 43  | 7 76      |
| Haemonetics Corp        | 405024100 | 40 000000  | 03/29/2010 | 04/27/2010 | 29        | 0 00   | 2,292 80  | -2,293 65  | -0 85     |
| Haemonetics Corp        | 405024100 | 10 000000  | 03/30/2010 | 04/27/2010 | 28        | 0 00   | 573 20    | -574 05    | -0 85     |
| Haemonetics Corp        | 405024100 | 60 000000  | 04/13/2010 | 04/27/2010 | 14        | 0 00   | 3,439 19  | -3,463 44  | -24 25    |
| ICU Medical, Inc        | 44930G107 | 120 000000 | 02/02/2010 | 04/27/2010 | 84        | 0 00   | 4,198 05  | -3,958 02  | 240 03    |
| ICU Medical, Inc        | 44930G107 | 20 000000  | 04/22/2010 | 04/27/2010 | 5         | 0 00   | 699 68    | -683 23    | 16 45     |
| ICU Medical, Inc        | 44930G107 | 40 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 1,399 36  | -1,378 94  | 20 42     |
| ICU Medical, Inc        | 44930G107 | 80 000000  | 12/10/2009 | 04/27/2010 | 138       | 0 00   | 2,798 71  | -2,764 28  | 34 43     |
| ICU Medical, Inc        | 44930G107 | 90 000000  | 04/09/2010 | 04/27/2010 | 18        | 0 00   | 3,148 54  | -3,121 77  | 26 77     |
| ICU Medical, Inc        | 44930G107 | 10 000000  | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 349 84    | -348 10    | 1 74      |
| ICU Medical, Inc        | 44930G107 | 50 000000  | 04/13/2010 | 04/27/2010 | 14        | 0 00   | 1,749 20  | -1,752 58  | -3 38     |
| ICU Medical, Inc        | 44930G107 | 90 000000  | 03/12/2010 | 04/27/2010 | 46        | 0 00   | 3,148 54  | -3,157 90  | -9 36     |
| ICU Medical, Inc        | 44930G107 | 40 000000  | 12/11/2009 | 04/27/2010 | 137       | 0 00   | 1,399 36  | -1,406 91  | -7 55     |
| ICU Medical, Inc        | 44930G107 | 90 000000  | 12/28/2009 | 04/27/2010 | 120       | 0 00   | 3,148 54  | -3,170 82  | -22 28    |

# The Norton Foundation, Inc. (River Road Asset Management).

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnolley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| <u>Short-Term</u>             |           |            |            |            |           |        |           |            |           |
|-------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security       | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| ICU Medical, Inc              | 44930G107 | 40 000000  | 12/17/2009 | 04/27/2010 | 131       | 0 00   | 1,399 35  | -1,425 66  | -26 31    |
| ICU Medical, Inc              | 44930G107 | 10 000000  | 12/14/2009 | 04/27/2010 | 134       | 0 00   | 349 83    | -356 52    | -6 69     |
| ICU Medical, Inc              | 44930G107 | 40 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 1,399 35  | -1,431 42  | -32 07    |
| ICU Medical, Inc              | 44930G107 | 40 000000  | 12/29/2009 | 04/27/2010 | 119       | 0 00   | 1,399 35  | -1,432 87  | -33 52    |
| ICU Medical, Inc              | 44930G107 | 30 000000  | 12/23/2009 | 04/27/2010 | 125       | 0 00   | 1,049 51  | -1,075 32  | -25 81    |
| ICU Medical, Inc              | 44930G107 | 40 000000  | 12/18/2009 | 04/27/2010 | 130       | 0 00   | 1,399 35  | -1,439 78  | -40 43    |
| ICU Medical, Inc              | 44930G107 | 20 000000  | 12/30/2009 | 04/27/2010 | 118       | 0 00   | 699 68    | -722 42    | -22 74    |
| ICU Medical, Inc              | 44930G107 | 100 000000 | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 3,498 38  | -3,645 98  | -147 60   |
| ICU Medical, Inc              | 44930G107 | 80 000000  | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 2,798 70  | -2,917 83  | -119 13   |
| ICU Medical, Inc              | 44930G107 | 50 000000  | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 1,749 19  | -1,840 36  | -91 17    |
| ICU Medical, Inc              | 44930G107 | 20 000000  | 12/31/2009 | 04/27/2010 | 117       | 0 00   | 699 68    | -736 64    | -36 96    |
| ICU Medical, Inc              | 44930G107 | 40 000000  | 01/08/2010 | 04/27/2010 | 109       | 0 00   | 1,399 35  | -1,479 79  | -80 44    |
| ICU Medical, Inc              | 44930G107 | 40 000000  | 01/19/2010 | 04/27/2010 | 98        | 0 00   | 1,399 35  | -1,481 50  | -82.15    |
| ICU Medical, Inc              | 44930G107 | 20 000000  | 01/11/2010 | 04/27/2010 | 106       | 0 00   | 699 68    | -746 65    | -46 97    |
| ICU Medical, Inc              | 44930G107 | 10 000000  | 01/12/2010 | 04/27/2010 | 105       | 0 00   | 349 83    | -374 37    | -24 54    |
| Kindred Healthcare Inc common | 494580103 | 250 000000 | 12/07/2009 | 04/27/2010 | 141       | 0 00   | 4,569 57  | -3,865 80  | 703.77    |
| Kindred Healthcare Inc common | 494580103 | 130 000000 | 11/17/2009 | 04/27/2010 | 161       | 0 00   | 2,376 18  | -2,021 02  | 355 16    |
| Kindred Healthcare Inc common | 494580103 | 160 000000 | 11/12/2009 | 04/27/2010 | 166       | 0 00   | 2,924 53  | -2,517 31  | 407 22    |
| Kindred Healthcare Inc common | 494580103 | 210 000000 | 10/08/2009 | 04/27/2010 | 201       | 0 00   | 3,838 44  | -3,357 04  | 481 40    |
| Kindred Healthcare Inc common | 494580103 | 40 000000  | 10/16/2009 | 04/27/2010 | 193       | 0 00   | 731 13    | -640 96    | 90.17     |
| Kindred Healthcare Inc common | 494580103 | 100 000000 | 10/13/2009 | 04/27/2010 | 196       | 0 00   | 1,827 83  | -1,607 39  | 220 44    |
| Kindred Healthcare Inc common | 494580103 | 130 000000 | 09/24/2009 | 04/27/2010 | 215       | 0 00   | 2,376 18  | -2,094 94  | 281 24    |
| Kindred Healthcare Inc common | 494580103 | 110 000000 | 09/25/2009 | 04/27/2010 | 214       | 0 00   | 2,010 61  | -1,787 17  | 223 44    |
| Kindred Healthcare Inc common | 494580103 | 140 000000 | 10/15/2009 | 04/27/2010 | 194       | 0 00   | 2,558 96  | -2,280 96  | 278 00    |
| Kindred Healthcare Inc common | 494580103 | 110 000000 | 09/23/2009 | 04/27/2010 | 216       | 0 00   | 2,010 61  | -1,803 06  | 207 55    |
| Kindred Healthcare Inc common | 494580103 | 100 000000 | 10/14/2009 | 04/27/2010 | 195       | 0 00   | 1,827 83  | -1,645 55  | 182 28    |
| Kindred Healthcare Inc common | 494580103 | 170 000000 | 09/29/2009 | 04/27/2010 | 210       | 0 00   | 3,107 31  | -2,852 36  | 254 95    |
| Kindred Healthcare Inc common | 494580103 | 80 000000  | 09/28/2009 | 04/27/2010 | 211       | 0 00   | 1,462 26  | -1,348 98  | 113 28    |
| Kindred Healthcare Inc common | 494580103 | 360 000000 | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 6,580 18  | -6,090 73  | 489 45    |
| Kindred Healthcare Inc common | 494580103 | 40 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 731 13    | -691 26    | 39 87     |
| Kindred Healthcare Inc common | 494580103 | 30 000000  | 04/22/2010 | 04/27/2010 | 5         | 0 00   | 548 35    | -532 82    | 15 53     |
| Kindred Healthcare Inc common | 494580103 | 230 000000 | 04/12/2010 | 04/27/2010 | 15        | 0 00   | 4,204 01  | -4,098 92  | 105 09    |
| Kindred Healthcare Inc common | 494580103 | 250 000000 | 04/21/2010 | 04/27/2010 | 6         | 0 00   | 4,569 57  | -4,490 23  | 79 34     |
| Kindred Healthcare Inc common | 494580103 | 250 000000 | 04/09/2010 | 04/27/2010 | 18        | 0 00   | 4,569 57  | -4,504 63  | 64 94     |
| Kindred Healthcare Inc common | 494580103 | 70 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 1,279 48  | -1,261 58  | 17 90     |
| Kindred Healthcare Inc common | 494580103 | 100 000000 | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 1,827 83  | -1,815 32  | 12 51     |
| Kindred Healthcare Inc common | 494580103 | 30 000000  | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 548 35    | -555 11    | -6 76     |
| Kindred Healthcare Inc common | 494580103 | 10 000000  | 01/19/2010 | 04/27/2010 | 98        | 0 00   | 182 79    | -186 77    | -3 98     |
| Martek Biosciences            | 572901106 | 70 000000  | 04/29/2009 | 04/27/2010 | 363       | 0 00   | 1,578 01  | -1,224 18  | 353 83    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping  
 Dates Open: 10/22/2007 to Present  
 Trust Year End: December  
 Date Printed: 02/23/2011

Admin Officer: Christopher A Nunnolley  
 Invest Officer: External Manager - River Road As...  
 Tax State: Kentucky  
 Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security  | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|--------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Martek Biosciences       | 572901106 | 120 000000 | 04/27/2009 | 04/27/2010 | 365       | 0 00   | 2,705 15  | -2,102 89  | 602 26    |
| Martek Biosciences       | 572901106 | 130 000000 | 04/30/2009 | 04/27/2010 | 362       | 0 00   | 2,930 58  | -2,386 76  | 543 82    |
| Martek Biosciences       | 572901106 | 110 000000 | 05/06/2009 | 04/27/2010 | 356       | 0 00   | 2,479 72  | -2,142 71  | 337 01    |
| Martek Biosciences       | 572901106 | 30 000000  | 05/07/2009 | 04/27/2010 | 355       | 0 00   | 676 29    | -585 91    | 90 38     |
| Martek Biosciences       | 572901106 | 220 000000 | 06/05/2009 | 04/27/2010 | 326       | 0 00   | 4,959 45  | -4,555 94  | 403 51    |
| Martek Biosciences       | 572901106 | 60 000000  | 07/09/2009 | 04/27/2010 | 292       | 0 00   | 1,352 58  | -1,258 96  | 93 62     |
| Martek Biosciences       | 572901106 | 120 000000 | 06/09/2009 | 04/27/2010 | 322       | 0 00   | 2,705 15  | -2,550 73  | 154 42    |
| Martek Biosciences       | 572901106 | 50 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 1,127 14  | -1,128 63  | -1 49     |
| Martek Biosciences       | 572901106 | 110 000000 | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 2,479 72  | -2,499 11  | -19 39    |
| Martek Biosciences       | 572901106 | 90 000000  | 04/13/2010 | 04/27/2010 | 14        | 0 00   | 2,028 87  | -2,077 43  | -48 56    |
| Martek Biosciences       | 572901106 | 40 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 901 71    | -932 75    | -31 04    |
| Martek Biosciences       | 572901106 | 40 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 901 71    | -942 13    | -40 42    |
| National Healthcare Corp | 635906100 | 10 000000  | 01/11/2010 | 04/27/2010 | 106       | 0 00   | 355 55    | -363 91    | -8 36     |
| National Healthcare Corp | 635906100 | 20 000000  | 01/14/2010 | 04/27/2010 | 103       | 0 00   | 711 10    | -743 98    | -32 88    |
| National Healthcare Corp | 635906100 | 10 000000  | 01/13/2010 | 04/27/2010 | 104       | 0 00   | 355 55    | -372 37    | -16 82    |
| National Healthcare Corp | 635906100 | 40 000000  | 01/15/2010 | 04/27/2010 | 102       | 0 00   | 1,422 21  | -1,494 85  | -72 64    |
| National Healthcare Corp | 635906100 | 40 000000  | 12/10/2009 | 04/27/2010 | 138       | 0 00   | 1,422 21  | -1,496 40  | -74 19    |
| National Healthcare Corp | 635906100 | 70 000000  | 01/19/2010 | 04/27/2010 | 98        | 0 00   | 2,488 86  | -2,626 40  | -137 54   |
| National Healthcare Corp | 635906100 | 40 000000  | 12/11/2009 | 04/27/2010 | 137       | 0 00   | 1,422 21  | -1,503 24  | -81 03    |
| National Healthcare Corp | 635906100 | 20 000000  | 08/31/2009 | 04/27/2010 | 239       | 0 00   | 711 10    | -753 57    | -42 47    |
| National Healthcare Corp | 635906100 | 20 000000  | 09/01/2009 | 04/27/2010 | 238       | 0 00   | 711 11    | -760 22    | -49 11    |
| National Healthcare Corp | 635906100 | 20 000000  | 09/22/2009 | 04/27/2010 | 217       | 0 00   | 711 11    | -761 20    | -50 09    |
| National Healthcare Corp | 635906100 | 10 000000  | 09/15/2009 | 04/27/2010 | 224       | 0 00   | 355 55    | -381 18    | -25 63    |
| National Healthcare Corp | 635906100 | 10 000000  | 09/14/2009 | 04/27/2010 | 225       | 0 00   | 355 55    | -382 19    | -26 64    |
| National Healthcare Corp | 635906100 | 40 000000  | 09/21/2009 | 04/27/2010 | 218       | 0 00   | 1,422 21  | -1,535 38  | -113 17   |
| National Healthcare Corp | 635906100 | 10 000000  | 09/03/2009 | 04/27/2010 | 236       | 0 00   | 355 55    | -384 18    | -28 63    |
| National Healthcare Corp | 635906100 | 20 000000  | 09/18/2009 | 04/27/2010 | 221       | 0 00   | 711 11    | -768 47    | -57 36    |
| National Healthcare Corp | 635906100 | 10 000000  | 08/18/2009 | 04/27/2010 | 252       | 0 00   | 355 55    | -384 24    | -28 69    |
| National Healthcare Corp | 635906100 | 10 000000  | 09/09/2009 | 04/27/2010 | 230       | 0 00   | 355 55    | -384 33    | -28 78    |
| National Healthcare Corp | 635906100 | 10 000000  | 09/10/2009 | 04/27/2010 | 229       | 0 00   | 355 55    | -384 34    | -28 79    |
| National Healthcare Corp | 635906100 | 10 000000  | 08/17/2009 | 04/27/2010 | 253       | 0 00   | 355 55    | -384 42    | -28 87    |
| National Healthcare Corp | 635906100 | 10 000000  | 09/16/2009 | 04/27/2010 | 223       | 0 00   | 355 55    | -384 42    | -28 87    |
| National Healthcare Corp | 635906100 | 10 000000  | 09/02/2009 | 04/27/2010 | 237       | 0 00   | 355 55    | -384 69    | -29 14    |
| National Healthcare Corp | 635906100 | 40 000000  | 08/14/2009 | 04/27/2010 | 256       | 0 00   | 1,422 21  | -1,538 89  | -116 68   |
| National Healthcare Corp | 635906100 | 20 000000  | 08/19/2009 | 04/27/2010 | 251       | 0 00   | 711 11    | -771 95    | -60 84    |
| National Healthcare Corp | 635906100 | 10 000000  | 08/20/2009 | 04/27/2010 | 250       | 0 00   | 355 55    | -386 77    | -31 22    |
| National Healthcare Corp | 635906100 | 10 000000  | 08/26/2009 | 04/27/2010 | 244       | 0 00   | 355 55    | -394 31    | -38 76    |
| National Healthcare Corp | 635906100 | 10 000000  | 08/25/2009 | 04/27/2010 | 245       | 0 00   | 355 55    | -394 92    | -39 37    |
| Odyssey Health Care Inc  | 67611V101 | 50 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 985 02    | -935 96    | 49 06     |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| <u>Short-Term</u>       |           |            |            |            |           |        |           |            |           |
|-------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| Odyssey Health Care Inc | 67611V101 | 100 000000 | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 1,970 04  | -1,900 40  | 69 64     |
| Odyssey Health Care Inc | 67611V101 | 60 000000  | 04/16/2010 | 04/27/2010 | 11        | 0 00   | 1,182 02  | -1,145 08  | 36 94     |
| Odyssey Health Care Inc | 67611V101 | 230 000000 | 04/19/2010 | 04/27/2010 | 8         | 0 00   | 4,531 08  | -4,425 98  | 105 10    |
| Odyssey Health Care Inc | 67611V101 | 30 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 591 01    | -588 59    | 2 42      |
| Odyssey Health Care Inc | 67611V101 | 140 000000 | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 2,758 05  | -2,748 97  | 9 08      |
| Stens Corp Com          | 859152100 | 140 000000 | 05/29/2009 | 04/27/2010 | 333       | 0 00   | 4,853 96  | -3,226 06  | 1,627 90  |
| Stens Corp Com          | 859152100 | 120 000000 | 04/27/2009 | 04/27/2010 | 365       | 0 00   | 4,160 53  | -2,793 36  | 1,367 17  |
| Stens Corp Com          | 859152100 | 60 000000  | 04/29/2009 | 04/27/2010 | 363       | 0 00   | 2,080 27  | -1,397 40  | 682 87    |
| Stens Corp Com          | 859152100 | 80 000000  | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 2,773 69  | -2,158 35  | 615 34    |
| Stens Corp Com          | 859152100 | 180 000000 | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 6,240 80  | -4,957 88  | 1,282 92  |
| Stens Corp Com          | 859152100 | 20 000000  | 01/19/2010 | 04/27/2010 | 98        | 0 00   | 693 42    | -553 83    | 139 59    |
| eHealth, Inc            | 28238P109 | 100 000000 | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 1,468 55  | -1,465 38  | 3 17      |
| eHealth, Inc            | 28238P109 | 100 000000 | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 1,468 55  | -1,492 63  | -24 08    |
| eHealth, Inc            | 28238P109 | 190 000000 | 04/09/2010 | 04/27/2010 | 18        | 0 00   | 2,790 23  | -2,870 73  | -80 50    |
| eHealth, Inc            | 28238P109 | 160 000000 | 04/13/2010 | 04/27/2010 | 14        | 0 00   | 2,349 67  | -2,419 36  | -69 69    |
| eHealth, Inc            | 28238P109 | 220 000000 | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 3,230 80  | -3,422 81  | -192 01   |
| eHealth, Inc            | 28238P109 | 90 000000  | 02/18/2010 | 04/27/2010 | 68        | 0 00   | 1,321 69  | -1,527 46  | -205 77   |
| eHealth, Inc            | 28238P109 | 60 000000  | 02/19/2010 | 04/27/2010 | 67        | 0 00   | 881 12    | -1,041 70  | -160 58   |
| eHealth, Inc            | 28238P109 | 90 000000  | 03/05/2010 | 04/27/2010 | 53        | 0 00   | 1,321 69  | -1,566 39  | -244 70   |
| eHealth, Inc            | 28238P109 | 40 000000  | 03/12/2010 | 04/27/2010 | 46        | 0 00   | 587 42    | -707 94    | -120 52   |
| eHealth, Inc            | 28238P109 | 80 000000  | 03/08/2010 | 04/27/2010 | 50        | 0 00   | 1,174 84  | -1,417 84  | -243 00   |
| eHealth, Inc            | 28238P109 | 10 000000  | 03/09/2010 | 04/27/2010 | 49        | 0 00   | 146 86    | -178 77    | -31 91    |
| eHealth, Inc            | 28238P109 | 70 000000  | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 1,027 98  | -1,259 91  | -231 93   |
| eHealth, Inc            | 28238P109 | 30 000000  | 02/08/2010 | 04/27/2010 | 78        | 0 00   | 440 56    | -542 05    | -101 49   |
| eHealth, Inc            | 28238P109 | 110 000000 | 03/24/2010 | 04/27/2010 | 34        | 0 00   | 1,615 40  | -1,988 34  | -372 94   |
| eHealth, Inc            | 28238P109 | 50 000000  | 03/15/2010 | 04/27/2010 | 43        | 0 00   | 734 28    | -903 91    | -169 63   |
| eHealth, Inc            | 28238P109 | 180 000000 | 03/22/2010 | 04/27/2010 | 36        | 0 00   | 2,643 39  | -3,260 92  | -617 53   |
| eHealth, Inc            | 28238P109 | 30 000000  | 01/29/2010 | 04/27/2010 | 88        | 0 00   | 440 56    | -545 92    | -105 36   |
| eHealth, Inc            | 28238P109 | 80 000000  | 03/16/2010 | 04/27/2010 | 42        | 0 00   | 1,174 84  | -1,463 67  | -288 83   |
| eHealth, Inc            | 28238P109 | 120 000000 | 02/09/2010 | 04/27/2010 | 77        | 0 00   | 1,762 25  | -2,198 92  | -436 67   |
| eHealth, Inc            | 28238P109 | 60 000000  | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 881 12    | -1,099 49  | -218 37   |
| eHealth, Inc            | 28238P109 | 40 000000  | 02/01/2010 | 04/27/2010 | 85        | 0 00   | 587 42    | -738 55    | -151 13   |
| eHealth, Inc            | 28238P109 | 130 000000 | 01/25/2010 | 04/27/2010 | 92        | 0 00   | 1,909 11  | -2,402 92  | -493 81   |
| eHealth, Inc            | 28238P109 | 40 000000  | 02/02/2010 | 04/27/2010 | 84        | 0 00   | 587 42    | -740 95    | -153 53   |
| eHealth, Inc            | 28238P109 | 60 000000  | 03/18/2010 | 04/27/2010 | 40        | 0 00   | 881 12    | -1,111 54  | -230 42   |
| eHealth, Inc            | 28238P109 | 80 000000  | 03/19/2010 | 04/27/2010 | 39        | 0 00   | 1,174 84  | -1,487 74  | -312 90   |
| eHealth, Inc            | 28238P109 | 30 000000  | 02/03/2010 | 04/27/2010 | 83        | 0 00   | 440 56    | -558 48    | -117 92   |
| Administaff             | 007094105 | 160 000000 | 02/11/2010 | 04/27/2010 | 75        | 0 00   | 3,646 32  | -2,891 36  | 754 96    |
| Administaff             | 007094105 | 160 000000 | 03/18/2010 | 04/27/2010 | 40        | 0 00   | 3,646 32  | -3,372 73  | 273 59    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security   | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|---------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Administaff               | 007094105 | 60 000000  | 04/12/2010 | 04/27/2010 | 15        | 0 00   | 1,367 37  | -1,351 20  | 16 17     |
| Administaff               | 007094105 | 50 000000  | 04/09/2010 | 04/27/2010 | 18        | 0 00   | 1,139 48  | -1,126 04  | 13 44     |
| Administaff               | 007094105 | 30 000000  | 02/08/2010 | 04/27/2010 | 78        | 0 00   | 683 69    | -677 36    | 6 33      |
| Administaff               | 007094105 | 120 000000 | 04/13/2010 | 04/27/2010 | 14        | 0 00   | 2,734 74  | -2,721 66  | 13 08     |
| Administaff               | 007094105 | 20 000000  | 01/26/2010 | 04/27/2010 | 91        | 0 00   | 455 79    | -458 27    | -2 48     |
| Administaff               | 007094105 | 100 000000 | 02/09/2010 | 04/27/2010 | 77        | 0 00   | 2,278 95  | -2,296 85  | -17 90    |
| Administaff               | 007094105 | 10 000000  | 02/01/2010 | 04/27/2010 | 85        | 0 00   | 227 89    | -229 82    | -1 93     |
| Administaff               | 007094105 | 60 000000  | 01/29/2010 | 04/27/2010 | 88        | 0 00   | 1,367 37  | -1,379 20  | -11 83    |
| Administaff               | 007094105 | 120 000000 | 02/03/2010 | 04/27/2010 | 83        | 0 00   | 2,734 74  | -2,760 49  | -25 75    |
| Administaff               | 007094105 | 60 000000  | 02/02/2010 | 04/27/2010 | 84        | 0 00   | 1,367 37  | -1,381 21  | -13 84    |
| Administaff               | 007094105 | 60 000000  | 01/28/2010 | 04/27/2010 | 89        | 0 00   | 1,367 37  | -1,381 33  | -13 96    |
| Administaff               | 007094105 | 40 000000  | 01/27/2010 | 04/27/2010 | 90        | 0 00   | 911 58    | -923 91    | -12 33    |
| Administaff               | 007094105 | 50 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 1,139 48  | -1,192 68  | -53 20    |
| Air Methods Corp          | 009128307 | 50 000000  | 04/27/2009 | 04/27/2010 | 365       | 0 00   | 1,578 72  | -1,208 52  | 370 20    |
| Air Methods Corp          | 009128307 | 50 000000  | 04/28/2009 | 04/27/2010 | 364       | 0 00   | 1,578 72  | -1,275 23  | 303 49    |
| Air Methods Corp          | 009128307 | 100 000000 | 11/03/2009 | 04/27/2010 | 175       | 0 00   | 3,157 45  | -2,820 40  | 337 05    |
| Air Methods Corp          | 009128307 | 40 000000  | 11/04/2009 | 04/27/2010 | 174       | 0 00   | 1,262 98  | -1,205 79  | 57 19     |
| Air Methods Corp          | 009128307 | 180 000000 | 01/26/2010 | 04/27/2010 | 91        | 0 00   | 5,683 40  | -5,560 67  | 122 73    |
| Air Methods Corp          | 009128307 | 30 000000  | 11/05/2009 | 04/27/2010 | 173       | 0 00   | 947 23    | -942 89    | 4 34      |
| Air Methods Corp          | 009128307 | 30 000000  | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 947 23    | -1,014 14  | -66 91    |
| Air Methods Corp          | 009128307 | 60 000000  | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 1,894 47  | -2,038 73  | -144 26   |
| Air Methods Corp          | 009128307 | 80 000000  | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 2,525 96  | -2,726 04  | -200 08   |
| Air Methods Corp          | 009128307 | 10 000000  | 01/19/2010 | 04/27/2010 | 98        | 0 00   | 315 75    | -347 12    | -31 37    |
| Ambassadors Group Inc     | 023177108 | 30 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 374 07    | -360 66    | 13 41     |
| Ambassadors Group Inc     | 023177108 | 60 000000  | 04/19/2010 | 04/27/2010 | 8         | 0 00   | 748 15    | -735 47    | 12 68     |
| Ambassadors Group Inc     | 023177108 | 120 000000 | 04/22/2010 | 04/27/2010 | 5         | 0 00   | 1,496 29  | -1,471 38  | 24 91     |
| Ambassadors Group Inc     | 023177108 | 40 000000  | 04/16/2010 | 04/27/2010 | 11        | 0 00   | 498 76    | -492 99    | 5 77      |
| Ambassadors Group Inc     | 023177108 | 30 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 374 07    | -371 66    | 2 41      |
| Ambassadors Group Inc     | 023177108 | 90 000000  | 04/20/2010 | 04/27/2010 | 7         | 0 00   | 1,122 22  | -1,118 38  | 3 84      |
| Ambassadors Group Inc     | 023177108 | 30 000000  | 04/21/2010 | 04/27/2010 | 6         | 0 00   | 374 07    | -374 99    | -0 92     |
| Ambassadors Group Inc     | 023177108 | 110 000000 | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 1,371 60  | -1,375 98  | -4 38     |
| Ambassadors Group Inc     | 023177108 | 30 000000  | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 374 07    | -377 36    | -3 29     |
| Bristow Group, Inc        | 110394103 | 80 000000  | 02/19/2010 | 04/27/2010 | 67        | 0 00   | 3,054 35  | -2,862 22  | 192 13    |
| Bristow Group, Inc        | 110394103 | 20 000000  | 02/22/2010 | 04/27/2010 | 64        | 0 00   | 763 59    | -718 03    | 45 56     |
| Chemed Corp Common        | 16359R103 | 100 000000 | 02/03/2010 | 04/27/2010 | 83        | 0 00   | 5,533 85  | -4,706 12  | 827 73    |
| Chemed Corp Common        | 16359R103 | 10 000000  | 02/02/2010 | 04/27/2010 | 84        | 0 00   | 553 39    | -471 25    | 82 14     |
| Chemed Corp Common        | 16359R103 | 20 000000  | 02/04/2010 | 04/27/2010 | 82        | 0 00   | 1,106 77  | -943 48    | 163 29    |
| Corporate Executive Board | 21988R102 | 80 000000  | 07/31/2009 | 04/27/2010 | 270       | 0 00   | 2,212 18  | -1,514 15  | 698 03    |
| Corporate Executive Board | 21988R102 | 70 000000  | 08/03/2009 | 04/27/2010 | 267       | 0 00   | 1,935 66  | -1,391 34  | 544 32    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnolley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security   | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|---------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Corporate Executive Board | 21988R102 | 100 000000 | 08/04/2009 | 04/27/2010 | 266       | 0 00   | 2,765 22  | -2,179 67  | 585 55    |
| Corporate Executive Board | 21988R102 | 10 000000  | 08/05/2009 | 04/27/2010 | 265       | 0 00   | 276 52    | -229 37    | 47 15     |
| Corporate Executive Board | 21988R102 | 90 000000  | 08/10/2009 | 04/27/2010 | 260       | 0 00   | 2,488 70  | -2,163 54  | 325 16    |
| Corporate Executive Board | 21988R102 | 190 000000 | 11/10/2009 | 04/27/2010 | 168       | 0 00   | 5,253 92  | -4,576 87  | 677 05    |
| Corporate Executive Board | 21988R102 | 90 000000  | 09/16/2009 | 04/27/2010 | 223       | 0 00   | 2,488 70  | -2,182 06  | 306 64    |
| Corporate Executive Board | 21988R102 | 70 000000  | 08/11/2009 | 04/27/2010 | 259       | 0 00   | 1,935 66  | -1,714 05  | 221 61    |
| Corporate Executive Board | 21988R102 | 110 000000 | 08/20/2009 | 04/27/2010 | 250       | 0 00   | 3,041 75  | -2,708 02  | 333 73    |
| Corporate Executive Board | 21988R102 | 40 000000  | 08/12/2009 | 04/27/2010 | 258       | 0 00   | 1,106 09  | -1,017 00  | 89 09     |
| Corporate Executive Board | 21988R102 | 160 000000 | 08/14/2009 | 04/27/2010 | 256       | 0 00   | 4,424 35  | -4,087 94  | 336 41    |
| Corporate Executive Board | 21988R102 | 50 000000  | 08/13/2009 | 04/27/2010 | 257       | 0 00   | 1,382 61  | -1,279 97  | 102 64    |
| Tutor Perini Corp         | 901109108 | 30 000000  | 01/05/2010 | 04/27/2010 | 112       | 0 00   | 727 18    | -567 02    | 160 16    |
| Tutor Perini Corp         | 901109108 | 60 000000  | 01/29/2010 | 04/27/2010 | 88        | 0 00   | 1,454 37  | -1,143 90  | 310 47    |
| Tutor Perini Corp         | 901109108 | 70 000000  | 02/01/2010 | 04/27/2010 | 85        | 0 00   | 1,696 76  | -1,353 28  | 343 48    |
| Tutor Perini Corp         | 901109108 | 100 000000 | 01/06/2010 | 04/27/2010 | 111       | 0 00   | 2,423 94  | -1,944 84  | 479 10    |
| Tutor Perini Corp         | 901109108 | 140 000000 | 01/25/2010 | 04/27/2010 | 92        | 0 00   | 3,393 51  | -2,727 34  | 666 17    |
| Tutor Perini Corp         | 901109108 | 50 000000  | 01/26/2010 | 04/27/2010 | 91        | 0 00   | 1,211 97  | -975 05    | 236 92    |
| Tutor Perini Corp         | 901109108 | 20 000000  | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 484 79    | -391 31    | 93 48     |
| Tutor Perini Corp         | 901109108 | 30 000000  | 01/07/2010 | 04/27/2010 | 110       | 0 00   | 727 18    | -591 85    | 135 33    |
| Tutor Perini Corp         | 901109108 | 50 000000  | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 1,211 97  | -986 85    | 225 12    |
| Tutor Perini Corp         | 901109108 | 60 000000  | 01/19/2010 | 04/27/2010 | 98        | 0 00   | 1,454 36  | -1,190 32  | 264 04    |
| Tutor Perini Corp         | 901109108 | 60 000000  | 02/02/2010 | 04/27/2010 | 84        | 0 00   | 1,454 36  | -1,200 01  | 254 35    |
| Tutor Perini Corp         | 901109108 | 130 000000 | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 3,151 12  | -2,606 76  | 544 36    |
| Tutor Perini Corp         | 901109108 | 140 000000 | 01/13/2010 | 04/27/2010 | 104       | 0 00   | 3,393 51  | -2,845 25  | 548 26    |
| Tutor Perini Corp         | 901109108 | 160 000000 | 03/12/2010 | 04/27/2010 | 46        | 0 00   | 3,878 30  | -3,257 25  | 621 05    |
| Tutor Perini Corp         | 901109108 | 120 000000 | 01/08/2010 | 04/27/2010 | 109       | 0 00   | 2,908 73  | -2,510 62  | 398 11    |
| Tutor Perini Corp         | 901109108 | 10 000000  | 01/11/2010 | 04/27/2010 | 106       | 0 00   | 242 40    | -210 39    | 32 01     |
| Tutor Perini Corp         | 901109108 | 80 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 1,939 15  | -1,856 56  | 82 59     |
| DST Systems Inc           | 233326107 | 80 000000  | 11/30/2009 | 04/27/2010 | 148       | 0 00   | 3,511 73  | -3,369 90  | 141 83    |
| DST Systems Inc           | 233326107 | 60 000000  | 11/27/2009 | 04/27/2010 | 151       | 0 00   | 2,633 79  | -2,531 49  | 102 30    |
| DST Systems Inc           | 233326107 | 70 000000  | 12/09/2009 | 04/27/2010 | 139       | 0 00   | 3,072 75  | -2,992 72  | 80 03     |
| DST Systems Inc           | 233326107 | 50 000000  | 12/17/2009 | 04/27/2010 | 131       | 0 00   | 2,194 82  | -2,141 51  | 53 31     |
| DST Systems Inc           | 233326107 | 30 000000  | 12/08/2009 | 04/27/2010 | 140       | 0 00   | 1,316 90  | -1,290 66  | 26 24     |
| DST Systems Inc           | 233326107 | 90 000000  | 12/04/2009 | 04/27/2010 | 144       | 0 00   | 3,950 68  | -3,873 88  | 76 80     |
| DST Systems Inc           | 233326107 | 100 000000 | 12/07/2009 | 04/27/2010 | 141       | 0 00   | 4,389 65  | -4,324 83  | 64 82     |
| DST Systems Inc           | 233326107 | 60 000000  | 12/10/2009 | 04/27/2010 | 138       | 0 00   | 2,633 78  | -2,620 63  | 13 15     |
| DST Systems Inc           | 233326107 | 60 000000  | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 2,633 78  | -2,667 29  | -33 51    |
| DST Systems Inc           | 233326107 | 70 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 3,072 75  | -3,113 23  | -40 48    |
| DST Systems Inc           | 233326107 | 50 000000  | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 2,194 82  | -2,226 94  | -32 12    |
| DST Systems Inc           | 233326107 | 50 000000  | 01/06/2010 | 04/27/2010 | 111       | 0 00   | 2,194 82  | -2,231 66  | -36 84    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security  | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|--------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| DST Systems Inc          | 233326107 | 40 000000  | 01/25/2010 | 04/27/2010 | 92        | 0 00   | 1,755 86  | -1,794 67  | -38 81    |
| DST Systems Inc          | 233326107 | 60 000000  | 01/08/2010 | 04/27/2010 | 109       | 0 00   | 2,633 78  | -2,696 90  | -63 12    |
| DST Systems Inc          | 233326107 | 80 000000  | 01/29/2010 | 04/27/2010 | 88        | 0 00   | 3,511 72  | -3,627 88  | -116 16   |
| DST Systems Inc          | 233326107 | 100 000000 | 01/21/2010 | 04/27/2010 | 96        | 0 00   | 4,389 65  | -4,540 25  | -150 60   |
| DST Systems Inc          | 233326107 | 30 000000  | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 1,316 90  | -1,373 95  | -57 05    |
| DST Systems Inc          | 233326107 | 40 000000  | 01/19/2010 | 04/27/2010 | 98        | 0 00   | 1,755 86  | -1,839 91  | -84 05    |
| DST Systems Inc          | 233326107 | 60 000000  | 01/14/2010 | 04/27/2010 | 103       | 0 00   | 2,633 78  | -2,771 96  | -138 18   |
| Forrester Research       | 346563109 | 130 000000 | 09/03/2009 | 04/27/2010 | 236       | 0 00   | 4,201 45  | -2,940 10  | 1,261 35  |
| Forrester Research       | 346563109 | 20 000000  | 09/04/2009 | 04/27/2010 | 235       | 0 00   | 646 38    | -458 46    | 187 92    |
| Forrester Research       | 346563109 | 60 000000  | 09/21/2009 | 04/27/2010 | 218       | 0 00   | 1,939 12  | -1,385 12  | 554 00    |
| Forrester Research       | 346563109 | 110 000000 | 09/16/2009 | 04/27/2010 | 223       | 0 00   | 3,555 07  | -2,554 45  | 1,000 62  |
| Forrester Research       | 346563109 | 30 000000  | 08/31/2009 | 04/27/2010 | 239       | 0 00   | 969 56    | -704 34    | 265 22    |
| Forrester Research       | 346563109 | 20 000000  | 08/28/2009 | 04/27/2010 | 242       | 0 00   | 646 38    | -470 32    | 176 06    |
| Forrester Research       | 346563109 | 40 000000  | 09/01/2009 | 04/27/2010 | 238       | 0 00   | 1,292 76  | -940 73    | 352 03    |
| Forrester Research       | 346563109 | 60 000000  | 08/27/2009 | 04/27/2010 | 243       | 0 00   | 1,939 12  | -1,412 01  | 527 11    |
| Forrester Research       | 346563109 | 130 000000 | 09/22/2009 | 04/27/2010 | 217       | 0 00   | 4,201 45  | -3,083 13  | 1,118 32  |
| Forrester Research       | 346563109 | 40 000000  | 09/23/2009 | 04/27/2010 | 216       | 0 00   | 1,292 76  | -975 95    | 316 81    |
| Forrester Research       | 346563109 | 20 000000  | 09/24/2009 | 04/27/2010 | 215       | 0 00   | 646 38    | -495 63    | 150 75    |
| Telecommunication System | 87929J103 | 130 000000 | 07/17/2009 | 04/27/2010 | 284       | 0 00   | 1,029 80  | -902 38    | 127 42    |
| Telecommunication System | 87929J103 | 240 000000 | 07/20/2009 | 04/27/2010 | 281       | 0 00   | 1,901 17  | -1,717 39  | 183 78    |
| Telecommunication System | 87929J103 | 170 000000 | 07/23/2009 | 04/27/2010 | 278       | 0 00   | 1,346 67  | -1,244 20  | 102 47    |
| Telecommunication System | 87929J103 | 260 000000 | 08/20/2009 | 04/27/2010 | 250       | 0 00   | 2,059 61  | -1,910 35  | 149 26    |
| Telecommunication System | 87929J103 | 150 000000 | 07/24/2009 | 04/27/2010 | 277       | 0 00   | 1,188 24  | -1,113 32  | 74 92     |
| Telecommunication System | 87929J103 | 40 000000  | 07/27/2009 | 04/27/2010 | 274       | 0 00   | 316 86    | -299 58    | 17 28     |
| Telecommunication System | 87929J103 | 120 000000 | 07/30/2009 | 04/27/2010 | 271       | 0 00   | 950 58    | -909 25    | 41 33     |
| Telecommunication System | 87929J103 | 160 000000 | 09/17/2009 | 04/27/2010 | 222       | 0 00   | 1,267 45  | -1,242 25  | 25 20     |
| Telecommunication System | 87929J103 | 200 000000 | 08/24/2009 | 04/27/2010 | 246       | 0 00   | 1,584 31  | -1,557 44  | 26 87     |
| Telecommunication System | 87929J103 | 140 000000 | 08/25/2009 | 04/27/2010 | 245       | 0 00   | 1,109 02  | -1,104 04  | 4 98      |
| Telecommunication System | 87929J103 | 200 000000 | 09/21/2009 | 04/27/2010 | 218       | 0 00   | 1,584 31  | -1,597 06  | -12 75    |
| Telecommunication System | 87929J103 | 220 000000 | 08/13/2009 | 04/27/2010 | 257       | 0 00   | 1,742 74  | -1,807 21  | -64 47    |
| Telecommunication System | 87929J103 | 410 000000 | 07/31/2009 | 04/27/2010 | 270       | 0 00   | 3,247 85  | -3,371 35  | -123 50   |
| Telecommunication System | 87929J103 | 120 000000 | 09/22/2009 | 04/27/2010 | 217       | 0 00   | 950 58    | -988 78    | -38 20    |
| Telecommunication System | 87929J103 | 100 000000 | 08/12/2009 | 04/27/2010 | 258       | 0 00   | 792 16    | -833 07    | -40 91    |
| Telecommunication System | 87929J103 | 150 000000 | 08/06/2009 | 04/27/2010 | 264       | 0 00   | 1,188 24  | -1,297 06  | -108 82   |
| Telecommunication System | 87929J103 | 200 000000 | 08/04/2009 | 04/27/2010 | 266       | 0 00   | 1,584 31  | -1,770 86  | -186 55   |
| Telecommunication System | 87929J103 | 380 000000 | 12/07/2009 | 04/27/2010 | 141       | 0 00   | 3,010 20  | -3,420 68  | -410 48   |
| Telecommunication System | 87929J103 | 240 000000 | 08/05/2009 | 04/27/2010 | 265       | 0 00   | 1,901 18  | -2,160 48  | -259 30   |
| Telecommunication System | 87929J103 | 170 000000 | 12/29/2009 | 04/27/2010 | 119       | 0 00   | 1,346 67  | -1,640 93  | -294 26   |
| Telecommunication System | 87929J103 | 350 000000 | 12/30/2009 | 04/27/2010 | 118       | 0 00   | 2,772 54  | -3,422 58  | -650 04   |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| Short-Term                   |           |            |            |            |           |        |           |            |           |
|------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security      | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| Telecommunication System     | 87929J103 | 30 000000  | 01/19/2010 | 04/27/2010 | 98        | 0 00   | 237 65    | -306 65    | -69 00    |
| Telecommunication System     | 87929J103 | 50 000000  | 01/20/2010 | 04/27/2010 | 97        | 0 00   | 396 08    | -513 69    | -117 61   |
| Stepan Co                    | 858586100 | 10 000000  | 03/30/2010 | 04/27/2010 | 28        | 0 00   | 765 91    | -550 55    | 215 36    |
| Stepan Co                    | 858586100 | 20 000000  | 04/01/2010 | 04/27/2010 | 26        | 0 00   | 1,531 81  | -1,105 94  | 425 87    |
| Stepan Co                    | 858586100 | 20 000000  | 04/05/2010 | 04/27/2010 | 22        | 0 00   | 1,531 81  | -1,119 91  | 411 90    |
| Stepan Co                    | 858586100 | 50 000000  | 03/31/2010 | 04/27/2010 | 27        | 0 00   | 3,829 55  | -2,818 56  | 1,010 99  |
| Stepan Co                    | 858586100 | 10 000000  | 04/06/2010 | 04/27/2010 | 21        | 0 00   | 765 91    | -571 43    | 194 48    |
| Stepan Co                    | 858586100 | 20 000000  | 04/07/2010 | 04/27/2010 | 20        | 0 00   | 1,531 82  | -1,150 30  | 381 52    |
| Stepan Co                    | 858586100 | 10 000000  | 04/08/2010 | 04/27/2010 | 19        | 0 00   | 765 92    | -581 33    | 184 59    |
| Stepan Co                    | 858586100 | 10 000000  | 04/09/2010 | 04/27/2010 | 18        | 0 00   | 765 92    | -592 90    | 173 02    |
| Stepan Co                    | 858586100 | 10 000000  | 04/12/2010 | 04/27/2010 | 15        | 0 00   | 765 92    | -598 91    | 167 01    |
| Stepan Co                    | 858586100 | 20 000000  | 04/13/2010 | 04/27/2010 | 14        | 0 00   | 1,531 82  | -1,206 23  | 325 59    |
| Stepan Co                    | 858586100 | 30 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 2,297 73  | -1,832 84  | 464 89    |
| Stepan Co                    | 858586100 | 20 000000  | 04/19/2010 | 04/27/2010 | 8         | 0 00   | 1,531 82  | -1,224 09  | 307.73    |
| Stepan Co                    | 858586100 | 30 000000  | 04/16/2010 | 04/27/2010 | 11        | 0 00   | 2,297 73  | -1,842 29  | 455 44    |
| Stepan Co                    | 858586100 | 10 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 765 92    | -614 60    | 151 32    |
| Stepan Co                    | 858586100 | 40 000000  | 04/21/2010 | 04/27/2010 | 6         | 0 00   | 3,063 65  | -2,870 25  | 193 40    |
| Stepan Co                    | 858586100 | 30 000000  | 04/22/2010 | 04/27/2010 | 5         | 0 00   | 2,297 73  | -2,195 51  | 102 22    |
| Stepan Co                    | 858586100 | 20 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 1,531 82  | -1,484 49  | 47 33     |
| Sterling Construction Co Inc | 859241101 | 120.000000 | 06/25/2009 | 04/27/2010 | 306       | 0 00   | 2,083 94  | -1,706 14  | 377 80    |
| Sterling Construction Co Inc | 859241101 | 90 000000  | 06/15/2009 | 04/27/2010 | 316       | 0 00   | 1,562 95  | -1,308 45  | 254 50    |
| Sterling Construction Co Inc | 859241101 | 30 000000  | 06/16/2009 | 04/27/2010 | 315       | 0 00   | 520 99    | -455 72    | 65 27     |
| Sterling Construction Co Inc | 859241101 | 30 000000  | 06/26/2009 | 04/27/2010 | 305       | 0 00   | 520 99    | -458 72    | 62 27     |
| Sterling Construction Co Inc | 859241101 | 160 000000 | 06/11/2009 | 04/27/2010 | 320       | 0 00   | 2,778 59  | -2,455 33  | 323 26    |
| Sterling Construction Co Inc | 859241101 | 180 000000 | 06/08/2009 | 04/27/2010 | 323       | 0 00   | 3,125 92  | -3,130 78  | -4 86     |
| Sterling Construction Co Inc | 859241101 | 80 000000  | 06/05/2009 | 04/27/2010 | 326       | 0 00   | 1,389 30  | -1,396 18  | -6 88     |
| Sterling Construction Co Inc | 859241101 | 40 000000  | 06/04/2009 | 04/27/2010 | 327       | 0 00   | 694 65    | -700 43    | -5 78     |
| Sterling Construction Co Inc | 859241101 | 60 000000  | 06/02/2009 | 04/27/2010 | 329       | 0 00   | 1,041 97  | -1,059 77  | -17 80    |
| NTELOS                       | 67020Q107 | 100 000000 | 03/31/2010 | 04/27/2010 | 27        | 0 00   | 1,999 12  | -1,784 84  | 214 28    |
| NTELOS                       | 67020Q107 | 80 000000  | 04/01/2010 | 04/27/2010 | 26        | 0 00   | 1,599 30  | -1,439 84  | 159 46    |
| NTELOS                       | 67020Q107 | 80 000000  | 04/07/2010 | 04/27/2010 | 20        | 0 00   | 1,599 30  | -1,455 48  | 143.82    |
| NTELOS                       | 67020Q107 | 30 000000  | 04/08/2010 | 04/27/2010 | 19        | 0 00   | 599 74    | -549 92    | 49 82     |
| NTELOS                       | 67020Q107 | 40 000000  | 04/09/2010 | 04/27/2010 | 18        | 0 00   | 799 65    | -744 12    | 55.53     |
| NTELOS                       | 67020Q107 | 50 000000  | 04/12/2010 | 04/27/2010 | 15        | 0 00   | 999 56    | -936 19    | 63 37     |
| NTELOS                       | 67020Q107 | 120 000000 | 04/13/2010 | 04/27/2010 | 14        | 0 00   | 2,398 95  | -2,262 00  | 136.95    |
| NTELOS                       | 67020Q107 | 40 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 799 65    | -764 56    | 35 09     |
| NTELOS                       | 67020Q107 | 30 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 599 74    | -594 05    | 5 69      |
| NTELOS                       | 67020Q107 | 160 000000 | 04/19/2010 | 04/27/2010 | 8         | 0 00   | 3,198 60  | -3,171 79  | 26 81     |
| NTELOS                       | 67020Q107 | 40 000000  | 04/23/2010 | 04/27/2010 | 4         | 0 00   | 799 65    | -802 10    | -2 45     |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Dates Open: 10/22/2007 to Present

Trust Year End: December

Date Printed: 02/23/2011

Admin Officer: Christopher A Nunnolley

Invest Officer: External Manager - River Road As...

Tax State: Kentucky

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Short-Term

| Description of Security      | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal    | Cost Basis    | Gain/Loss  |
|------------------------------|-----------|------------|------------|------------|-----------|--------|--------------|---------------|------------|
| NTELOS                       | 67020Q107 | 60 000000  | 04/26/2010 | 04/27/2010 | 1         | 0 00   | 1,199 48     | -1,212 42     | -12 94     |
| j2 Global Communications Inc | 46626E205 | 210 000000 | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 5,066 66     | -4,164 07     | 902 59     |
| j2 Global Communications Inc | 46626E205 | 90 000000  | 01/25/2010 | 04/27/2010 | 92        | 0 00   | 2,171 42     | -1,790 94     | 380 48     |
| j2 Global Communications Inc | 46626E205 | 70 000000  | 01/26/2010 | 04/27/2010 | 91        | 0 00   | 1,688 89     | -1,398 15     | 290 74     |
| j2 Global Communications Inc | 46626E205 | 100 000000 | 01/29/2010 | 04/27/2010 | 88        | 0 00   | 2,412 70     | -2,052 86     | 359 84     |
| j2 Global Communications Inc | 46626E205 | 70 000000  | 01/27/2010 | 04/27/2010 | 90        | 0 00   | 1,688 89     | -1,448 06     | 240 83     |
| j2 Global Communications Inc | 46626E205 | 20 000000  | 02/01/2010 | 04/27/2010 | 85        | 0 00   | 482 54       | -415 99       | 66 55      |
| j2 Global Communications Inc | 46626E205 | 80 000000  | 02/19/2010 | 04/27/2010 | 67        | 0 00   | 1,930 16     | -1,697 27     | 232 89     |
| j2 Global Communications Inc | 46626E205 | 50 000000  | 03/10/2010 | 04/27/2010 | 48        | 0 00   | 1,206 35     | -1,168 31     | 38 04      |
| j2 Global Communications Inc | 46626E205 | 120 000000 | 03/29/2010 | 04/27/2010 | 29        | 0 00   | 2,895 24     | -2,810 17     | 85 07      |
| j2 Global Communications Inc | 46626E205 | 150 000000 | 04/09/2010 | 04/27/2010 | 18        | 0 00   | 3,619 05     | -3,535 52     | 83 53      |
| j2 Global Communications Inc | 46626E205 | 30 000000  | 03/30/2010 | 04/27/2010 | 28        | 0 00   | 723 81       | -709 58       | 14 23      |
| j2 Global Communications Inc | 46626E205 | 90 000000  | 03/11/2010 | 04/27/2010 | 47        | 0 00   | 2,171 43     | -2,135 79     | 35 64      |
| j2 Global Communications Inc | 46626E205 | 70 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 1,688 89     | -1,711 03     | -22 14     |
| j2 Global Communications Inc | 46626E205 | 60 000000  | 04/15/2010 | 04/27/2010 | 12        | 0 00   | 1,447 62     | -1,491 95     | -44 33     |
| j2 Global Communications Inc | 46626E205 | 40 000000  | 04/16/2010 | 04/27/2010 | 11        | 0 00   | 965 08       | -997 67       | -32 59     |
| Avista Corp                  | 05379B107 | 150 000000 | 11/03/2009 | 04/27/2010 | 175       | 0 00   | 3,249 16     | -2,825 10     | 424 06     |
| Avista Corp                  | 05379B107 | 130 000000 | 11/05/2009 | 04/27/2010 | 173       | 0 00   | 2,815 94     | -2,516 58     | 299 36     |
| Avista Corp                  | 05379B107 | 140 000000 | 11/10/2009 | 04/27/2010 | 168       | 0 00   | 3,032 55     | -2,780 94     | 251 61     |
| Avista Corp                  | 05379B107 | 80 000000  | 11/17/2009 | 04/27/2010 | 161       | 0 00   | 1,732 89     | -1,612 86     | 120 03     |
| Avista Corp                  | 05379B107 | 140 000000 | 11/20/2009 | 04/27/2010 | 158       | 0 00   | 3,032 56     | -2,828 56     | 204 00     |
| Avista Corp                  | 05379B107 | 70 000000  | 10/15/2009 | 04/27/2010 | 194       | 0 00   | 1,516 28     | -1,438 18     | 78 10      |
| Avista Corp                  | 05379B107 | 90 000000  | 10/16/2009 | 04/27/2010 | 193       | 0 00   | 1,949 51     | -1,854 67     | 94 84      |
| Avista Corp                  | 05379B107 | 140 000000 | 10/08/2009 | 04/27/2010 | 201       | 0 00   | 3,032 56     | -2,887 92     | 144 64     |
| Avista Corp                  | 05379B107 | 80 000000  | 04/13/2010 | 04/27/2010 | 14        | 0 00   | 1,732 89     | -1,666 63     | 66 26      |
| Avista Corp                  | 05379B107 | 120 000000 | 12/04/2009 | 04/27/2010 | 144       | 0 00   | 2,599 34     | -2,504 46     | 94 88      |
| Avista Corp                  | 05379B107 | 140 000000 | 10/09/2009 | 04/27/2010 | 200       | 0 00   | 3,032 56     | -2,923 55     | 109 01     |
| Avista Corp                  | 05379B107 | 80 000000  | 01/22/2010 | 04/27/2010 | 95        | 0 00   | 1,732 89     | -1,673 86     | 59 03      |
| Avista Corp                  | 05379B107 | 20 000000  | 01/25/2010 | 04/27/2010 | 92        | 0 00   | 433 22       | -418 89       | 14 33      |
| Avista Corp                  | 05379B107 | 90 000000  | 04/14/2010 | 04/27/2010 | 13        | 0 00   | 1,949 51     | -1,886 79     | 62 72      |
| Avista Corp                  | 05379B107 | 20 000000  | 12/09/2009 | 04/27/2010 | 139       | 0 00   | 433 22       | -420 22       | 13 00      |
| Avista Corp                  | 05379B107 | 50 000000  | 12/10/2009 | 04/27/2010 | 138       | 0 00   | 1,083 06     | -1,064 86     | 18 20      |
| Short-Term Total             |           |            |            |            |           | 0 00   | 1,662,830 12 | -1,461,817 05 | 201,013 07 |

#### Long-Term

| Description of Security | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Pike Electric Corp      | 721283109 | 10 000000  | 12/03/2007 | 01/04/2010 | 763       | 0 00   | 93 54     | -155 23    | -61 69    |
| Res-Care Inc common     | 760943100 | 70 000000  | 11/06/2008 | 01/04/2010 | 424       | 0 00   | 804 27    | -987 95    | -183 68   |
| Res-Care Inc common     | 760943100 | 10 000000  | 10/13/2008 | 01/04/2010 | 448       | 0 00   | 114 90    | -150 31    | -35 41    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnolley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Long-Term

| Description of Security    | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|----------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Res-Care Inc common        | 760943100 | 60 000000  | 10/14/2008 | 01/04/2010 | 447       | 0 00   | 689 38    | -922 92    | -233 54   |
| Res-Care Inc common        | 760943100 | 20 000000  | 10/15/2008 | 01/04/2010 | 446       | 0 00   | 229 79    | -314 39    | -84.60    |
| WCA Waste Corp             | 92926K103 | 160 000000 | 07/04/2004 | 01/04/2010 | 2,010     | 0 00   | 693 53    | -1,099 59  | -406 06   |
| National Beverage Corp Com | 635017106 | 20 000000  | 10/23/2006 | 01/05/2010 | 1,170     | 0 00   | 248 35    | -199 44    | 48 91     |
| Pike Electric Corp         | 721283109 | 10 000000  | 01/24/2008 | 01/05/2010 | 712       | 0 00   | 92 53     | -152 74    | -60 21    |
| Pike Electric Corp         | 721283109 | 40 000000  | 01/25/2008 | 01/05/2010 | 711       | 0 00   | 370 10    | -616 53    | -246 43   |
| Pike Electric Corp         | 721283109 | 20 000000  | 05/28/2008 | 01/05/2010 | 587       | 0 00   | 185 04    | -309 70    | -124 66   |
| Res-Care Inc common        | 760943100 | 70 000000  | 11/06/2008 | 01/05/2010 | 425       | 0 00   | 801 34    | -987 94    | -186 60   |
| WCA Waste Corp             | 92926K103 | 30 000000  | 07/04/2004 | 01/05/2010 | 2,011     | 0 00   | 130 00    | -206 17    | -76 17    |
| Jos A Bank Clothier        | 480838101 | 70 000000  | 09/25/2008 | 01/06/2010 | 468       | 0 00   | 3,151 73  | -2,340 00  | 811 73    |
| Unifirst Corp              | 904708104 | 150 000000 | 06/15/2004 | 01/06/2010 | 2,031     | 0 00   | 7,698 86  | -6,371 50  | 1,327 36  |
| Unifirst Corp              | 904708104 | 50 000000  | 09/24/2008 | 01/06/2010 | 469       | 0 00   | 2,566 29  | -2,313 54  | 252 75    |
| Unifirst Corp              | 904708104 | 30 000000  | 09/25/2008 | 01/06/2010 | 468       | 0 00   | 1,539 77  | -1,401 32  | 138 45    |
| National Beverage Corp Com | 635017106 | 30 000000  | 10/23/2006 | 01/06/2010 | 1,171     | 0 00   | 365 61    | -299 15    | 66 46     |
| Pike Electric Corp         | 721283109 | 50 000000  | 05/23/2008 | 01/06/2010 | 593       | 0 00   | 463 09    | -752 98    | -289 89   |
| Pike Electric Corp         | 721283109 | 40 000000  | 05/22/2008 | 01/06/2010 | 594       | 0 00   | 370 47    | -605 47    | -235 00   |
| Pike Electric Corp         | 721283109 | 10 000000  | 11/12/2007 | 01/06/2010 | 786       | 0 00   | 92 62     | -151 44    | -58 82    |
| Pike Electric Corp         | 721283109 | 60 000000  | 01/24/2008 | 01/06/2010 | 713       | 0 00   | 555 71    | -916 45    | -360 74   |
| Bristow Group, Inc         | 110394103 | 40 000000  | 08/22/2008 | 01/06/2010 | 502       | 0 00   | 1,609 05  | -1,583 38  | 25 67     |
| Bristow Group, Inc         | 110394103 | 10 000000  | 07/17/2008 | 01/06/2010 | 538       | 0 00   | 402 26    | -443 12    | -40 86    |
| WCA Waste Corp             | 92926K103 | 160 000000 | 07/04/2004 | 01/06/2010 | 2,012     | 0 00   | 664 53    | -1,099 59  | -435 06   |
| Pike Electric Corp         | 721283109 | 70 000000  | 11/12/2007 | 01/07/2010 | 787       | 0 00   | 646 45    | -1,050 64  | -404 19   |
| Pike Electric Corp         | 721283109 | 10 000000  | 05/23/2008 | 01/07/2010 | 594       | 0 00   | 92 35     | -150 60    | -58 25    |
| Unifirst Corp              | 904708104 | 60 000000  | 06/15/2004 | 01/08/2010 | 2,033     | 0 00   | 3,210 51  | -2,548 60  | 661 91    |
| National Beverage Corp Com | 635017106 | 10 000000  | 10/23/2006 | 01/08/2010 | 1,173     | 0 00   | 119 89    | -99 72     | 20 17     |
| Pike Electric Corp         | 721283109 | 60 000000  | 01/14/2008 | 01/08/2010 | 725       | 0 00   | 544 88    | -882 35    | -337 47   |
| Pike Electric Corp         | 721283109 | 80 000000  | 01/15/2008 | 01/08/2010 | 724       | 0 00   | 726 50    | -1,180 78  | -454 28   |
| Pike Electric Corp         | 721283109 | 150 000000 | 11/12/2007 | 01/08/2010 | 788       | 0 00   | 1,362 19  | -2,251 38  | -889 19   |
| Bristow Group, Inc         | 110394103 | 10 000000  | 08/22/2008 | 01/08/2010 | 504       | 0 00   | 399 96    | -395 85    | 4.11      |
| Unifirst Corp              | 904708104 | 10 000000  | 06/15/2004 | 01/11/2010 | 2,036     | 0 00   | 547 77    | -424 77    | 123 00    |
| Pike Electric Corp         | 721283109 | 100 000000 | 12/12/2007 | 01/11/2010 | 761       | 0 00   | 911 81    | -1,419 36  | -507 55   |
| Pike Electric Corp         | 721283109 | 150 000000 | 09/30/2008 | 01/11/2010 | 468       | 0 00   | 1,367 71  | -2,167 61  | -799 90   |
| Pike Electric Corp         | 721283109 | 20 000000  | 01/14/2008 | 01/11/2010 | 728       | 0 00   | 182 36    | -294 12    | -111 76   |
| Unifirst Corp              | 904708104 | 40 000000  | 06/15/2004 | 01/12/2010 | 2,037     | 0 00   | 2,176 65  | -1,699 07  | 477 58    |
| Pike Electric Corp         | 721283109 | 30 000000  | 12/12/2007 | 01/12/2010 | 762       | 0 00   | 273 16    | -425 81    | -152 65   |
| Dress Barn Inc             | 261570105 | 40 000000  | 10/25/2007 | 01/13/2010 | 811       | 0 00   | 998 72    | -621 30    | 377 42    |
| Dress Barn Inc             | 261570105 | 170 000000 | 06/17/2008 | 01/13/2010 | 575       | 0 00   | 4,244 54  | -2,653 32  | 1,591 22  |
| Unifirst Corp              | 904708104 | 60 000000  | 06/15/2004 | 01/13/2010 | 2,038     | 0 00   | 3,258 71  | -2,548 60  | 710 11    |
| Pike Electric Corp         | 721283109 | 70 000000  | 12/13/2007 | 01/13/2010 | 762       | 0 00   | 637 35    | -992 03    | -354 68   |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Dates Open: 10/22/2007 to Present

Trust Year End: December

Date Printed: 02/23/2011

Admin Officer: Christopher A Nunnelley

Invest Officer: External Manager - River Road As...

Tax State: Kentucky

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Long-Term

| Description of Security       | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Pike Electric Corp            | 721283109 | 40 000000  | 12/12/2007 | 01/13/2010 | 763       | 0 00   | 364 19    | -567 74    | -203 55   |
| WCA Waste Corp                | 92926K103 | 10 000000  | 07/04/2004 | 01/13/2010 | 2,019     | 0 00   | 41 30     | -68 72     | -27 42    |
| Dress Barn Inc                | 261570105 | 60 000000  | 10/25/2007 | 01/14/2010 | 812       | 0 00   | 1,502 48  | -931 96    | 570 52    |
| Unifirst Corp                 | 904708104 | 10 000000  | 06/15/2004 | 01/14/2010 | 2,039     | 0 00   | 538 40    | -424 77    | 113 63    |
| Pike Electric Corp            | 721283109 | 110 000000 | 12/13/2007 | 01/14/2010 | 763       | 0 00   | 999 40    | -1,558 90  | -559 50   |
| Unifirst Corp                 | 904708104 | 30 000000  | 06/15/2004 | 01/15/2010 | 2,040     | 0 00   | 1,601 29  | -1,274 30  | 326 99    |
| Pike Electric Corp            | 721283109 | 30 000000  | 03/03/2008 | 01/15/2010 | 683       | 0 00   | 266 66    | -397 37    | -130 71   |
| Pike Electric Corp            | 721283109 | 30 000000  | 12/13/2007 | 01/15/2010 | 764       | 0 00   | 266 65    | -425 15    | -158 50   |
| WCA Waste Corp                | 92926K103 | 10 000000  | 07/04/2004 | 01/15/2010 | 2,021     | 0 00   | 41 09     | -68 72     | -27 63    |
| Brinks Home Security Holdings | 109699108 | 460 000000 | 11/07/2006 | 01/19/2010 | 1,169     | 0 00   | 19,097 75 | -12,952 49 | 6,145 26  |
| Brinks Home Security Holdings | 109699108 | 120 000000 | 01/31/2008 | 01/19/2010 | 719       | 0 00   | 4,982 03  | -3,407 77  | 1,574 26  |
| Brinks Home Security Holdings | 109699108 | 210 000000 | 09/24/2008 | 01/19/2010 | 482       | 0 00   | 8,718 55  | -6,275 86  | 2,442 69  |
| Brinks Home Security Holdings | 109699108 | 160 000000 | 09/25/2008 | 01/19/2010 | 481       | 0 00   | 6,642 70  | -4,813 57  | 1,829 13  |
| Brinks Home Security Holdings | 109699108 | 50 000000  | 02/25/2008 | 01/19/2010 | 694       | 0 00   | 2,075 84  | -1,598 58  | 477 26    |
| Brinks Home Security Holdings | 109699108 | 50 000000  | 08/12/2008 | 01/19/2010 | 525       | 0 00   | 2,075 84  | -1,662 83  | 413 01    |
| Pike Electric Corp            | 721283109 | 20 000000  | 03/04/2008 | 01/19/2010 | 686       | 0 00   | 177 49    | -261 97    | -84 48    |
| Pike Electric Corp            | 721283109 | 60 000000  | 03/03/2008 | 01/19/2010 | 687       | 0 00   | 532 46    | -794 73    | -262 27   |
| WCA Waste Corp                | 92926K103 | 100 000000 | 07/04/2004 | 01/19/2010 | 2,025     | 0 00   | 413 28    | -687 25    | -273 97   |
| Brinks Home Security Holdings | 109699108 | 360 000000 | 11/07/2006 | 01/20/2010 | 1,170     | 0 00   | 14,882 86 | -10,136 74 | 4,746 12  |
| Unifirst Corp                 | 904708104 | 60 000000  | 06/15/2004 | 01/20/2010 | 2,045     | 0 00   | 3,139 45  | -2,548 60  | 590 85    |
| Pike Electric Corp            | 721283109 | 60 000000  | 11/13/2008 | 01/20/2010 | 433       | 0 00   | 519 72    | -599 17    | -79 45    |
| Pike Electric Corp            | 721283109 | 20 000000  | 03/04/2008 | 01/20/2010 | 687       | 0 00   | 173 25    | -261 97    | -88 72    |
| WCA Waste Corp                | 92926K103 | 20 000000  | 07/04/2004 | 01/20/2010 | 2,026     | 0 00   | 84 90     | -137 45    | -52 55    |
| Brinks Home Security Holdings | 109699108 | 30 000000  | 10/16/2008 | 01/21/2010 | 462       | 0 00   | 1,241 05  | -669 81    | 571 24    |
| Brinks Home Security Holdings | 109699108 | 420 000000 | 11/07/2006 | 01/21/2010 | 1,171     | 0 00   | 17,374 72 | -11,826 19 | 5,548 53  |
| Unifirst Corp                 | 904708104 | 30 000000  | 06/15/2004 | 01/21/2010 | 2,046     | 0 00   | 1,551 32  | -1,274 30  | 277 02    |
| Pike Electric Corp            | 721283109 | 60 000000  | 11/13/2008 | 01/21/2010 | 434       | 0 00   | 506 69    | -599 17    | -92 48    |
| WCA Waste Corp                | 92926K103 | 30 000000  | 07/04/2004 | 01/21/2010 | 2,027     | 0 00   | 123 97    | -206 17    | -82 20    |
| Unifirst Corp                 | 904708104 | 20 000000  | 06/15/2004 | 01/22/2010 | 2,047     | 0 00   | 1,023 69  | -849 53    | 174 16    |
| Pike Electric Corp            | 721283109 | 40 000000  | 11/13/2008 | 01/22/2010 | 435       | 0 00   | 332 78    | -399 44    | -66 66    |
| WCA Waste Corp                | 92926K103 | 20 000000  | 07/04/2004 | 01/22/2010 | 2,028     | 0 00   | 83 04     | -137 45    | -54 41    |
| WCA Waste Corp                | 92926K103 | 10 000000  | 07/04/2004 | 01/25/2010 | 2,031     | 0 00   | 41 37     | -68 72     | -27 35    |
| Amerco common                 | 023586100 | 20 000000  | 03/23/2006 | 01/29/2010 | 1,408     | 0 00   | 755 90    | -1,396 91  | -641 01   |
| Amerco common                 | 023586100 | 10 000000  | 03/23/2006 | 02/01/2010 | 1,411     | 0 00   | 371 17    | -698 46    | -327 29   |
| Amerco common                 | 023586100 | 10 000000  | 03/23/2006 | 02/02/2010 | 1,412     | 0 00   | 365 10    | -698 46    | -333 36   |
| Viad Corp                     | 92552R406 | 10 000000  | 08/12/2008 | 02/08/2010 | 545       | 0 00   | 188 70    | -323 39    | -134 69   |
| Portland General Electric     | 736508847 | 30 000000  | 03/12/2006 | 02/08/2010 | 1,429     | 0 00   | 561 03    | -857 61    | -296 58   |
| Viad Corp                     | 92552R406 | 10 000000  | 08/12/2008 | 02/09/2010 | 546       | 0 00   | 182 58    | -323 39    | -140 81   |
| Portland General Electric     | 736508847 | 110 000000 | 03/12/2006 | 02/09/2010 | 1,430     | 0 00   | 2,045 86  | -3,144 56  | -1,098 70 |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Long-Term

| Description of Security           | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-----------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Viad Corp                         | 92552R406 | 10 000000  | 08/11/2008 | 02/10/2010 | 548       | 0 00   | 176 61    | -320 46    | -143.85   |
| Viad Corp                         | 92552R406 | 30 000000  | 08/12/2008 | 02/10/2010 | 547       | 0 00   | 529 84    | -970 18    | -440 34   |
| Portland General Electric         | 736508847 | 140 000000 | 03/12/2006 | 02/10/2010 | 1,431     | 0 00   | 2,619 36  | -4,002 17  | -1,382 81 |
| Viad Corp                         | 92552R406 | 10 000000  | 09/02/2008 | 02/11/2010 | 527       | 0 00   | 176 37    | -315 90    | -139 53   |
| Viad Corp                         | 92552R406 | 20 000000  | 08/11/2008 | 02/11/2010 | 549       | 0 00   | 352 72    | -640 93    | -288 21   |
| Viad Corp                         | 92552R406 | 50 000000  | 09/02/2008 | 02/12/2010 | 528       | 0 00   | 888 45    | -1,579 51  | -691 06   |
| Viad Corp                         | 92552R406 | 70 000000  | 08/05/2008 | 02/16/2010 | 560       | 0 00   | 1,251 88  | -2,208 13  | -956 25   |
| Viad Corp                         | 92552R406 | 30 000000  | 09/02/2008 | 02/16/2010 | 532       | 0 00   | 536 52    | -947 70    | -411.18   |
| Ituran Location and Co            | M6158M104 | 40 000000  | 07/19/2006 | 02/16/2010 | 1,308     | 0 00   | 575 14    | -506 11    | 69 03     |
| Viad Corp                         | 92552R406 | 50 000000  | 02/12/2008 | 02/17/2010 | 736       | 0 00   | 898 21    | -1,569 10  | -670 89   |
| Coca Cola Bottling Co Cons common | 191098102 | 10 000000  | 07/04/2004 | 02/17/2010 | 2,054     | 0 00   | 517 32    | -481 25    | 36 07     |
| Portland General Electric         | 736508847 | 190 000000 | 03/12/2006 | 02/17/2010 | 1,438     | 0 00   | 3,629 77  | -5,431 52  | -1,801 75 |
| Ituran Location and Co            | M6158M104 | 120 000000 | 07/19/2006 | 02/17/2010 | 1,309     | 0 00   | 1,802 96  | -1,518 33  | 284 63    |
| Portland General Electric         | 736508847 | 10 000000  | 03/12/2006 | 02/18/2010 | 1,439     | 0 00   | 190 35    | -285 87    | -95 52    |
| Ituran Location and Co            | M6158M104 | 100 000000 | 07/19/2006 | 02/18/2010 | 1,310     | 0 00   | 1,545 79  | -1,265 28  | 280 51    |
| Industrias Bachoco                | 456463108 | 40 000000  | 07/04/2004 | 02/22/2010 | 2,059     | 0 00   | 792 69    | -572 83    | 219 86    |
| Ituran Location and Co            | M6158M104 | 20 000000  | 07/19/2006 | 02/22/2010 | 1,314     | 0 00   | 318 64    | -253 06    | 65 58     |
| Cass Information Systems          | 14808P109 | 10 000000  | 02/06/2009 | 02/23/2010 | 382       | 0 00   | 309 97    | -259 64    | 50 33     |
| Ituran Location and Co            | M6158M104 | 10 000000  | 07/19/2006 | 02/23/2010 | 1,315     | 0 00   | 156 74    | -126 53    | 30 21     |
| Cass Information Systems          | 14808P109 | 10 000000  | 07/04/2004 | 02/24/2010 | 2,061     | 0 00   | 311 11    | -180 04    | 131 07    |
| Cass Information Systems          | 14808P109 | 10 000000  | 02/05/2009 | 02/24/2010 | 384       | 0 00   | 311 10    | -248 91    | 62 19     |
| Cass Information Systems          | 14808P109 | 30 000000  | 02/06/2009 | 02/24/2010 | 383       | 0 00   | 933 31    | -778 93    | 154 38    |
| Ituran Location and Co            | M6158M104 | 20 000000  | 07/19/2006 | 02/24/2010 | 1,316     | 0 00   | 311 86    | -253 06    | 58 80     |
| Ituran Location and Co            | M6158M104 | 20 000000  | 07/19/2006 | 02/25/2010 | 1,317     | 0 00   | 306 16    | -253 06    | 53 10     |
| Industrias Bachoco                | 456463108 | 20 000000  | 07/04/2004 | 02/26/2010 | 2,063     | 0 00   | 433 44    | -286 41    | 147 03    |
| Ituran Location and Co            | M6158M104 | 10 000000  | 07/19/2006 | 02/26/2010 | 1,318     | 0 00   | 154 46    | -126 53    | 27 93     |
| Ituran Location and Co            | M6158M104 | 60 000000  | 07/19/2006 | 03/01/2010 | 1,321     | 0 00   | 920 03    | -759 17    | 160 86    |
| Jos A Bank Clothier               | 480838101 | 70 000000  | 09/25/2008 | 03/02/2010 | 523       | 0 00   | 3,143 92  | -2,339 99  | 803 93    |
| OfficeMax                         | 67622P101 | 120 000000 | 09/25/2008 | 03/02/2010 | 523       | 0 00   | 1,942 11  | -1,368 75  | 573 36    |
| OfficeMax                         | 67622P101 | 70 000000  | 09/17/2008 | 03/02/2010 | 531       | 0 00   | 1,132 90  | -924 45    | 208 45    |
| Cass Information Systems          | 14808P109 | 10 000000  | 07/04/2004 | 03/02/2010 | 2,067     | 0 00   | 309 94    | -180 04    | 129 90    |
| Navigators Group Inc              | 638904102 | 30 000000  | 06/13/2008 | 03/02/2010 | 627       | 0 00   | 1,115 64  | -1,577 15  | -461 51   |
| Navigators Group Inc              | 638904102 | 40 000000  | 09/03/2008 | 03/02/2010 | 545       | 0 00   | 1,487 53  | -2,110 01  | -622 48   |
| Ituran Location and Co            | M6158M104 | 20 000000  | 07/19/2006 | 03/02/2010 | 1,322     | 0 00   | 305 88    | -253 06    | 52 82     |
| Cass Information Systems          | 14808P109 | 20 000000  | 07/04/2004 | 03/03/2010 | 2,068     | 0 00   | 620 04    | -360 07    | 259 97    |
| Ituran Location and Co            | M6158M104 | 20 000000  | 07/19/2006 | 03/03/2010 | 1,323     | 0 00   | 307 49    | -253 06    | 54 43     |
| Ituran Location and Co            | M6158M104 | 10 000000  | 07/19/2006 | 03/04/2010 | 1,324     | 0 00   | 153 30    | -126 53    | 26 77     |
| Jos A Bank Clothier               | 480838101 | 10 000000  | 09/25/2008 | 03/05/2010 | 526       | 0 00   | 479 59    | -334 29    | 145 30    |
| OfficeMax                         | 67622P101 | 210 000000 | 09/25/2008 | 03/05/2010 | 526       | 0 00   | 3,467 51  | -2,395 32  | 1,072 19  |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| <u>Long-Term</u>           |           |            |            |            |           |        |           |            |           |  |
|----------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|--|
| Description of Security    | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |  |
| Portland General Electric  | 736508847 | 160 000000 | 03/12/2006 | 03/05/2010 | 1,454     | 0 00   | 3,025 10  | -4,573 91  | -1,548 81 |  |
| Cass Information Systems   | 14808P109 | 20 000000  | 07/04/2004 | 03/05/2010 | 2,070     | 0 00   | 620 13    | -360 07    | 260 06    |  |
| Ituran Location and Co     | M6158M104 | 20 000000  | 07/19/2006 | 03/05/2010 | 1,325     | 0 00   | 310 88    | -253 05    | 57 83     |  |
| Korn/Ferry International   | 500643200 | 30 000000  | 02/25/2008 | 03/05/2010 | 739       | 0 00   | 540 86    | -483 57    | 57 29     |  |
| Korn/Ferry International   | 500643200 | 80 000000  | 09/24/2008 | 03/05/2010 | 527       | 0 00   | 1,442 29  | -1,356 87  | 85 42     |  |
| Jos A Bank Clothier        | 480838101 | 50 000000  | 02/07/2008 | 03/08/2010 | 760       | 0 00   | 2,407 68  | -1,184 69  | 1,222 99  |  |
| Jos A Bank Clothier        | 480838101 | 10 000000  | 09/25/2008 | 03/08/2010 | 529       | 0 00   | 481 53    | -334 28    | 147 25    |  |
| OfficeMax                  | 67622P101 | 140 000000 | 09/22/2008 | 03/08/2010 | 532       | 0 00   | 2,279 75  | -1,519 43  | 760 32    |  |
| OfficeMax                  | 67622P101 | 70 000000  | 09/23/2008 | 03/08/2010 | 531       | 0 00   | 1,139 88  | -772 14    | 367 74    |  |
| OfficeMax                  | 67622P101 | 50 000000  | 09/25/2008 | 03/08/2010 | 529       | 0 00   | 814 19    | -570 32    | 243 87    |  |
| Cass Information Systems   | 14808P109 | 10 000000  | 07/04/2004 | 03/08/2010 | 2,073     | 0 00   | 310 16    | -180 04    | 130 12    |  |
| Ituran Location and Co     | M6158M104 | 30 000000  | 07/19/2006 | 03/08/2010 | 1,328     | 0 00   | 468 37    | -379 58    | 88 79     |  |
| Korn/Ferry International   | 500643200 | 60 000000  | 02/25/2008 | 03/08/2010 | 742       | 0 00   | 1,078 67  | -967 14    | 111 53    |  |
| Jos A Bank Clothier        | 480838101 | 90 000000  | 02/07/2008 | 03/09/2010 | 761       | 0 00   | 4,336 02  | -2,132 45  | 2,203 57  |  |
| Cass Information Systems   | 14808P109 | 40 000000  | 07/04/2004 | 03/09/2010 | 2,074     | 0 00   | 1,242 27  | -720 15    | 522 12    |  |
| Big Lots Inc               | 089302103 | 30 000000  | 02/06/2009 | 03/10/2010 | 397       | 0 00   | 1,072 12  | -431 23    | 640 89    |  |
| OfficeMax                  | 67622P101 | 150 000000 | 09/22/2008 | 03/10/2010 | 534       | 0 00   | 2,464 47  | -1,627 97  | 836 50    |  |
| Unifirst Corp              | 904708104 | 20 000000  | 06/15/2004 | 03/10/2010 | 2,094     | 0 00   | 1,094 90  | -849 53    | 245 37    |  |
| Cass Information Systems   | 14808P109 | 70 000000  | 07/04/2004 | 03/10/2010 | 2,075     | 0 00   | 2,194 18  | -1,260 26  | 933 92    |  |
| Navigators Group Inc       | 638904102 | 50 000000  | 09/29/2008 | 03/10/2010 | 527       | 0 00   | 1,930 15  | -2,590 86  | -660 71   |  |
| Navigators Group Inc       | 638904102 | 10 000000  | 06/13/2008 | 03/10/2010 | 635       | 0 00   | 386 02    | -525 71    | -139 69   |  |
| Ituran Location and Co     | M6158M104 | 30 000000  | 07/19/2006 | 03/10/2010 | 1,330     | 0 00   | 479 02    | -379 58    | 99 44     |  |
| Korn/Ferry International   | 500643200 | 10 000000  | 01/31/2008 | 03/10/2010 | 769       | 0 00   | 181 87    | -160 04    | 21 83     |  |
| Korn/Ferry International   | 500643200 | 110 000000 | 06/13/2008 | 03/10/2010 | 635       | 0 00   | 2,000 52  | -1,768 95  | 231 57    |  |
| Korn/Ferry International   | 500643200 | 40 000000  | 02/25/2008 | 03/10/2010 | 744       | 0 00   | 727 46    | -644 76    | 82 70     |  |
| Jos A Bank Clothier        | 480838101 | 50 000000  | 04/07/2008 | 03/11/2010 | 703       | 0 00   | 2,390 73  | -1,151 52  | 1,239 21  |  |
| Jos A Bank Clothier        | 480838101 | 20 000000  | 02/07/2008 | 03/11/2010 | 763       | 0 00   | 956 30    | -473 88    | 482 42    |  |
| Unifirst Corp              | 904708104 | 20 000000  | 06/15/2004 | 03/11/2010 | 2,095     | 0 00   | 1,084 81  | -849 54    | 235 27    |  |
| Viad Corp                  | 92552R406 | 10 000000  | 09/08/2008 | 03/11/2010 | 549       | 0 00   | 207 59    | -310 12    | -102 53   |  |
| Viad Corp                  | 92552R406 | 30 000000  | 08/08/2008 | 03/11/2010 | 580       | 0 00   | 622 78    | -933 59    | -310 81   |  |
| Viad Corp                  | 92552R406 | 10 000000  | 08/06/2008 | 03/11/2010 | 582       | 0 00   | 207 60    | -313 29    | -105 69   |  |
| Viad Corp                  | 92552R406 | 20 000000  | 02/12/2008 | 03/11/2010 | 758       | 0 00   | 415 18    | -627 64    | -212 46   |  |
| National Beverage Corp Com | 635017106 | 20 000000  | 10/23/2006 | 03/11/2010 | 1,235     | 0 00   | 229 42    | -199 43    | 29 99     |  |
| Frontier Oil Corp          | 35914P105 | 50 000000  | 07/16/2008 | 03/11/2010 | 603       | 0 00   | 709 95    | -949 03    | -239 08   |  |
| Frontier Oil Corp          | 35914P105 | 250 000000 | 07/10/2008 | 03/11/2010 | 609       | 0 00   | 3,549 79  | -4,750 87  | -1,201 08 |  |
| Frontier Oil Corp          | 35914P105 | 30 000000  | 07/15/2008 | 03/11/2010 | 604       | 0 00   | 425 97    | -572 32    | -146 35   |  |
| Portland General Electric  | 736508847 | 200 000000 | 03/12/2006 | 03/11/2010 | 1,460     | 0 00   | 3,825 09  | -5,717 39  | -1,892 30 |  |
| Cass Information Systems   | 14808P109 | 30 000000  | 07/04/2004 | 03/11/2010 | 2,076     | 0 00   | 931 55    | -540 11    | 391 44    |  |
| Ituran Location and Co     | M6158M104 | 130 000000 | 07/19/2006 | 03/11/2010 | 1,331     | 0 00   | 2,087 29  | -1,644 86  | 442 43    |  |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Long-Term

| Description of Security           | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-----------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Unifirst Corp                     | 904708104 | 10 000000  | 06/15/2004 | 03/12/2010 | 2,096     | 0 00   | 542 43    | -424 77    | 117 66    |
| Viad Corp                         | 92552R406 | 160 000000 | 02/07/2008 | 03/12/2010 | 764       | 0 00   | 3,299 96  | -4,961 39  | -1,661 43 |
| Viad Corp                         | 92552R406 | 40 000000  | 09/08/2008 | 03/12/2010 | 550       | 0 00   | 824 99    | -1,240 48  | -415 49   |
| Portland General Electric         | 736508847 | 40 000000  | 03/12/2006 | 03/12/2010 | 1,461     | 0 00   | 762 98    | -1,143 48  | -380 50   |
| Cass Information Systems          | 14808P109 | 10 000000  | 07/04/2004 | 03/12/2010 | 2,077     | 0 00   | 310 32    | -180 04    | 130 28    |
| Ituran Location and Co            | M6158M104 | 80 000000  | 07/19/2006 | 03/12/2010 | 1,332     | 0 00   | 1,285 00  | -1,012 22  | 272 78    |
| Jos A Bank Clothier               | 480838101 | 80 000000  | 04/07/2008 | 03/15/2010 | 707       | 0 00   | 3,838 89  | -1,842 43  | 1,996 46  |
| OfficeMax                         | 67622P101 | 180 000000 | 09/22/2008 | 03/16/2010 | 540       | 0 00   | 3,028 26  | -1,953 56  | 1,074 70  |
| Viad Corp                         | 92552R406 | 10 000000  | 02/06/2008 | 03/16/2010 | 769       | 0 00   | 210 01    | -308 04    | -98 03    |
| Viad Corp                         | 92552R406 | 40 000000  | 02/08/2008 | 03/16/2010 | 767       | 0 00   | 840 05    | -1,239 97  | -399 92   |
| Viad Corp                         | 92552R406 | 20 000000  | 02/07/2008 | 03/16/2010 | 768       | 0 00   | 420 02    | -620 17    | -200 15   |
| Coca Cola Bottling Co Cons common | 191098102 | 10 000000  | 07/04/2004 | 03/16/2010 | 2,081     | 0 00   | 590 03    | -481 24    | 108 79    |
| Industrias Bachoco                | 456463108 | 80 000000  | 07/04/2004 | 03/16/2010 | 2,081     | 0 00   | 1,757 96  | -1,145 66  | 612 30    |
| Ituran Location and Co            | M6158M104 | 90 000000  | 07/19/2006 | 03/16/2010 | 1,336     | 0 00   | 1,443 13  | -1,138 75  | 304 38    |
| Viad Corp                         | 92552R406 | 40 000000  | 07/31/2008 | 03/17/2010 | 594       | 0 00   | 839 72    | -1,229 49  | -389.77   |
| Viad Corp                         | 92552R406 | 40 000000  | 02/06/2008 | 03/17/2010 | 770       | 0 00   | 839 73    | -1,232 17  | -392 44   |
| Coca Cola Bottling Co Cons common | 191098102 | 50 000000  | 07/04/2004 | 03/17/2010 | 2,082     | 0 00   | 2,951 83  | -2,406 23  | 545 60    |
| National Beverage Corp Com        | 635017106 | 10 000000  | 10/23/2006 | 03/17/2010 | 1,241     | 0 00   | 114 68    | -99 72     | 14 96     |
| Industrias Bachoco                | 456463108 | 30 000000  | 07/04/2004 | 03/17/2010 | 2,082     | 0 00   | 670 91    | -429 62    | 241 29    |
| National Beverage Corp Com        | 635017106 | 10 000000  | 10/23/2006 | 03/18/2010 | 1,242     | 0 00   | 114 78    | -99 72     | 15 06     |
| Industrias Bachoco                | 456463108 | 10 000000  | 07/04/2004 | 03/18/2010 | 2,083     | 0 00   | 222 85    | -143 21    | 79 64     |
| Industrias Bachoco                | 456463108 | 10 000000  | 07/04/2004 | 03/19/2010 | 2,084     | 0 00   | 222 69    | -143 21    | 79 48     |
| Dollar Tree Inc                   | 256746108 | 80 000000  | 09/24/2008 | 03/22/2010 | 544       | 0 00   | 4,749 64  | -2,914 43  | 1,835 21  |
| Unifirst Corp                     | 904708104 | 50 000000  | 06/15/2004 | 03/22/2010 | 2,106     | 0 00   | 2,768 80  | -2,123 83  | 644 97    |
| Viad Corp                         | 92552R406 | 40 000000  | 08/01/2008 | 03/22/2010 | 598       | 0 00   | 866 61    | -1,229 06  | -362 45   |
| Cass Information Systems          | 14808P109 | 24 000000  | 07/04/2004 | 03/22/2010 | 2,087     | 0 00   | 734 75    | -432 09    | 302 66    |
| Industrias Bachoco                | 456463108 | 10 000000  | 07/04/2004 | 03/22/2010 | 2,087     | 0 00   | 224 06    | -143 21    | 80 85     |
| OfficeMax                         | 67622P101 | 100 000000 | 09/24/2008 | 03/23/2010 | 545       | 0 00   | 1,698 54  | -1,065 31  | 633 23    |
| OfficeMax                         | 67622P101 | 160 000000 | 09/22/2008 | 03/23/2010 | 547       | 0 00   | 2,717 65  | -1,736 49  | 981 16    |
| Viad Corp                         | 92552R406 | 40 000000  | 07/30/2008 | 03/23/2010 | 601       | 0 00   | 858 37    | -1,226 36  | -367.99   |
| Viad Corp                         | 92552R406 | 10 000000  | 08/01/2008 | 03/23/2010 | 599       | 0 00   | 214 60    | -307 26    | -92.66    |
| Industrias Bachoco                | 456463108 | 20 000000  | 07/04/2004 | 03/23/2010 | 2,088     | 0 00   | 449 62    | -286 41    | 163 21    |
| OfficeMax                         | 67622P101 | 100 000000 | 09/23/2008 | 03/24/2010 | 547       | 0 00   | 1,673 71  | -1,028 21  | 645 50    |
| OfficeMax                         | 67622P101 | 260 000000 | 09/24/2008 | 03/24/2010 | 546       | 0 00   | 4,351 64  | -2,769 81  | 1,581 83  |
| Unifirst Corp                     | 904708104 | 10 000000  | 11/30/2007 | 03/24/2010 | 845       | 0 00   | 544 12    | -376 86    | 167 26    |
| Unifirst Corp                     | 904708104 | 10 000000  | 06/15/2004 | 03/24/2010 | 2,108     | 0 00   | 544 12    | -424 77    | 119 35    |
| Viad Corp                         | 92552R406 | 10 000000  | 08/04/2008 | 03/24/2010 | 597       | 0 00   | 213 49    | -306 04    | -92 55    |
| Viad Corp                         | 92552R406 | 30 000000  | 07/30/2008 | 03/24/2010 | 602       | 0 00   | 640 48    | -919 77    | -279.29   |
| Navigators Group Inc              | 638904102 | 20 000000  | 06/03/2008 | 03/24/2010 | 659       | 0 00   | 788 77    | -1,020 09  | -231 32   |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| <u>Long-Term</u>                  |           |            |            |            |           |        |           |            |           |
|-----------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security           | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| Navigators Group Inc              | 638904102 | 20 000000  | 09/29/2008 | 03/24/2010 | 541       | 0 00   | 788 77    | -1,036 35  | -247 58   |
| Industrias Bachoco                | 456463108 | 20 000000  | 07/04/2004 | 03/24/2010 | 2,089     | 0 00   | 448 91    | -286 41    | 162 50    |
| West Pharmaceutical Services, Inc | 955306105 | 30 000000  | 02/17/2009 | 03/24/2010 | 400       | 0 00   | 1,269 06  | -1,023 77  | 245 29    |
| WCA Waste Corp                    | 92926K103 | 70 000000  | 07/04/2004 | 03/24/2010 | 2,089     | 0 00   | 358 44    | -481 07    | -122 63   |
| Dollar Tree Inc                   | 256746108 | 30 000000  | 09/24/2008 | 03/25/2010 | 547       | 0 00   | 1,768 10  | -1,092 91  | 675 19    |
| OfficeMax                         | 67622P101 | 340 000000 | 09/23/2008 | 03/25/2010 | 548       | 0 00   | 5,667 70  | -3,495 92  | 2,171 78  |
| Unifirst Corp                     | 904708104 | 10 000000  | 11/30/2007 | 03/25/2010 | 846       | 0 00   | 540 11    | -376 86    | 163 25    |
| Viad Corp                         | 92552R406 | 10 000000  | 07/23/2008 | 03/25/2010 | 610       | 0 00   | 210 86    | -281 46    | -70 60    |
| Viad Corp                         | 92552R406 | 10 000000  | 07/24/2008 | 03/25/2010 | 609       | 0 00   | 210 86    | -285 31    | -74 45    |
| Viad Corp                         | 92552R406 | 20 000000  | 08/04/2008 | 03/25/2010 | 598       | 0 00   | 421 72    | -612 07    | -190 35   |
| Navigators Group Inc              | 638904102 | 40 000000  | 06/03/2008 | 03/25/2010 | 660       | 0 00   | 1,563 01  | -2,040 17  | -477 16   |
| Industrias Bachoco                | 456463108 | 40 000000  | 07/04/2004 | 03/25/2010 | 2,090     | 0 00   | 893 40    | -572 83    | 320 57    |
| West Pharmaceutical Services, Inc | 955306105 | 30 000000  | 02/17/2009 | 03/25/2010 | 401       | 0 00   | 1,256 84  | -1,023 77  | 233 07    |
| WCA Waste Corp                    | 92926K103 | 80 000000  | 07/04/2004 | 03/25/2010 | 2,090     | 0 00   | 406 54    | -549 80    | -143 26   |
| OfficeMax                         | 67622P101 | 40 000000  | 10/03/2008 | 03/26/2010 | 539       | 0 00   | 670 41    | -329 93    | 340 48    |
| OfficeMax                         | 67622P101 | 160 000000 | 10/02/2008 | 03/26/2010 | 540       | 0 00   | 2,681 64  | -1,325 20  | 1,356 44  |
| OfficeMax                         | 67622P101 | 10 000000  | 09/23/2008 | 03/26/2010 | 549       | 0 00   | 167 61    | -102 82    | 64 79     |
| Unifirst Corp                     | 904708104 | 10 000000  | 11/30/2007 | 03/26/2010 | 847       | 0 00   | 535 44    | -376 86    | 158 58    |
| Viad Corp                         | 92552R406 | 20 000000  | 07/23/2008 | 03/26/2010 | 611       | 0 00   | 419 27    | -562 92    | -143 65   |
| Industrias Bachoco                | 456463108 | 30 000000  | 07/04/2004 | 03/26/2010 | 2,091     | 0 00   | 662 19    | -429 62    | 232 57    |
| OfficeMax                         | 67622P101 | 140 000000 | 10/03/2008 | 03/29/2010 | 542       | 0 00   | 2,378 14  | -1,154 76  | 1,223 38  |
| Viad Corp                         | 92552R406 | 20 000000  | 10/30/2008 | 03/29/2010 | 515       | 0 00   | 419 31    | -391 30    | 28 01     |
| Viad Corp                         | 92552R406 | 30 000000  | 10/31/2008 | 03/29/2010 | 514       | 0 00   | 628 98    | -614 86    | 14 12     |
| Viad Corp                         | 92552R406 | 30 000000  | 07/23/2008 | 03/29/2010 | 614       | 0 00   | 628 98    | -844 39    | -215 41   |
| Ituran Location and Co            | M6158M104 | 60 000000  | 07/19/2006 | 03/29/2010 | 1,349     | 0 00   | 960 07    | -759 17    | 200 90    |
| Viad Corp                         | 92552R406 | 40 000000  | 10/30/2008 | 03/30/2010 | 516       | 0 00   | 840 18    | -782 60    | 57 58     |
| Industrias Bachoco                | 456463108 | 40 000000  | 07/04/2004 | 03/30/2010 | 2,095     | 0 00   | 884 28    | -572 83    | 311 45    |
| Ituran Location and Co            | M6158M104 | 30 000000  | 07/19/2006 | 03/30/2010 | 1,350     | 0 00   | 481 59    | -379 58    | 102 01    |
| Viad Corp                         | 92552R406 | 70 000000  | 10/29/2008 | 03/31/2010 | 518       | 0 00   | 1,467 73  | -1,303 79  | 163 94    |
| Industrias Bachoco                | 456463108 | 10 000000  | 07/04/2004 | 03/31/2010 | 2,096     | 0 00   | 221 25    | -143 21    | 78 04     |
| Industrias Bachoco                | 456463108 | 10 000000  | 07/04/2004 | 04/01/2010 | 2,097     | 0 00   | 220 76    | -143 21    | 77 55     |
| Eastern American Natural Gas      | 276217106 | 10 000000  | 01/14/2008 | 04/01/2010 | 808       | 0 00   | 233 31    | -265 58    | -32 27    |
| Eastern American Natural Gas      | 276217106 | 30 000000  | 01/23/2008 | 04/01/2010 | 799       | 0 00   | 699 93    | -802 88    | -102 95   |
| Eastern American Natural Gas      | 276217106 | 10 000000  | 01/18/2008 | 04/01/2010 | 804       | 0 00   | 233 30    | -267 79    | -34 49    |
| Eastern American Natural Gas      | 276217106 | 20 000000  | 01/17/2008 | 04/01/2010 | 805       | 0 00   | 466 62    | -537 01    | -70 39    |
| Eastern American Natural Gas      | 276217106 | 10 000000  | 01/22/2008 | 04/01/2010 | 800       | 0 00   | 233 31    | -270 66    | -37 35    |
| Viad Corp                         | 92552R406 | 40 000000  | 10/28/2008 | 04/05/2010 | 524       | 0 00   | 839 40    | -694 14    | 145 26    |
| Viad Corp                         | 92552R406 | 110 000000 | 10/27/2008 | 04/05/2010 | 525       | 0 00   | 2,308 38  | -1,978 48  | 329 90    |
| Viad Corp                         | 92552R406 | 30 000000  | 10/24/2008 | 04/05/2010 | 528       | 0 00   | 629 56    | -550 57    | 78 99     |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnolley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| Long-Term                            |           |              |            |            |           |        |           |            |           |
|--------------------------------------|-----------|--------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security              | Cusip     | Shares/Par   | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| Viad Corp                            | 92552R406 | 10 000000    | 10/29/2008 | 04/05/2010 | 523       | 0 00   | 209 86    | -186 25    | 23 61     |
| Eastern American Natural Gas         | 276217106 | 10 000000    | 01/14/2008 | 04/05/2010 | 812       | 0 00   | 233 04    | -265 58    | -32 54    |
| Ituran Location and Co               | M6158M104 | 120 000000   | 07/19/2006 | 04/06/2010 | 1,357     | 0 00   | 1,935 76  | -1,518 33  | 417 43    |
| Eastern American Natural Gas         | 276217106 | 10 000000    | 09/19/2006 | 04/06/2010 | 1,295     | 0 00   | 232 81    | -258 69    | -25 88    |
| Eastern American Natural Gas         | 276217106 | 10 000000    | 01/15/2008 | 04/06/2010 | 812       | 0 00   | 232 81    | -264 49    | -31 68    |
| Cracker Barrel Old Country Store Inc | 22410J106 | 120 000000   | 05/22/2006 | 04/07/2010 | 1,416     | 0 00   | 6,046 41  | -4,716 07  | 1,330 34  |
| Steris Corp Com                      | 859152100 | 10 000000    | 03/25/2009 | 04/07/2010 | 378       | 0 00   | 359 91    | -225 45    | 134 46    |
| Steris Corp Com                      | 859152100 | 100 000000   | 01/23/2009 | 04/07/2010 | 439       | 0 00   | 3,599 15  | -2,347 75  | 1,251 40  |
| Steris Corp Com                      | 859152100 | 90 000000    | 01/26/2009 | 04/07/2010 | 436       | 0 00   | 3,239 24  | -2,161 48  | 1,077 76  |
| Eastern American Natural Gas         | 276217106 | 40 000000    | 09/19/2006 | 04/07/2010 | 1,296     | 0 00   | 931 21    | -1,034 77  | -103 56   |
| Eastern American Natural Gas         | 276217106 | 10 000000    | 09/19/2006 | 04/08/2010 | 1,297     | 0 00   | 232 80    | -258 69    | -25 89    |
| Casey's General Store                | 147528103 | 2,490 000000 | 05/09/2006 | 04/09/2010 | 1,431     | 0 00   | 95,721 70 | -57,431 93 | 38,289 77 |
| Eastern American Natural Gas         | 276217106 | 30 000000    | 09/19/2006 | 04/12/2010 | 1,301     | 0 00   | 692 98    | -776 08    | -83 10    |
| Eastern American Natural Gas         | 276217106 | 50 000000    | 09/19/2006 | 04/13/2010 | 1,302     | 0 00   | 1,151 52  | -1,293 46  | -141 94   |
| Frisch's Restaurants Inc common      | 358748101 | 180 000000   | 07/04/2004 | 04/14/2010 | 2,110     | 0 00   | 3,866 33  | -4,199 34  | -333 01   |
| OfficeMax                            | 67622P101 | 50 000000    | 04/09/2009 | 04/14/2010 | 370       | 0 00   | 848 98    | -201 04    | 647 94    |
| Chindex International Inc            | 169467107 | 30 000000    | 08/07/2008 | 04/14/2010 | 615       | 0 00   | 414 39    | -465 87    | -51 48    |
| Chindex International Inc            | 169467107 | 130 000000   | 08/01/2008 | 04/14/2010 | 621       | 0 00   | 1,795 71  | -2,106 17  | -310 46   |
| Eastern American Natural Gas         | 276217106 | 100 000000   | 09/19/2006 | 04/14/2010 | 1,303     | 0 00   | 2,297 82  | -2,586 92  | -289 10   |
| Cracker Barrel Old Country Store Inc | 22410J106 | 60 000000    | 05/22/2006 | 04/15/2010 | 1,424     | 0 00   | 3,157 55  | -2,358 04  | 799 51    |
| Hillenbrand Corp                     | 431571108 | 60 000000    | 04/03/2009 | 04/15/2010 | 377       | 0 00   | 1,444 91  | -1,022 06  | 422 85    |
| Chindex International Inc            | 169467107 | 30 000000    | 07/31/2008 | 04/15/2010 | 623       | 0 00   | 405 54    | -463 75    | -58 21    |
| Chindex International Inc            | 169467107 | 40 000000    | 08/07/2008 | 04/15/2010 | 616       | 0 00   | 540 72    | -621 16    | -80 44    |
| Eastern American Natural Gas         | 276217106 | 40 000000    | 09/19/2006 | 04/15/2010 | 1,304     | 0 00   | 916 77    | -1,034 77  | -118 00   |
| Cracker Barrel Old Country Store Inc | 22410J106 | 50 000000    | 05/22/2006 | 04/16/2010 | 1,425     | 0 00   | 2,590 47  | -1,965 03  | 625 44    |
| Hillenbrand Corp                     | 431571108 | 30 000000    | 04/02/2009 | 04/16/2010 | 379       | 0 00   | 721 97    | -504 16    | 217 81    |
| Hillenbrand Corp                     | 431571108 | 20 000000    | 04/03/2009 | 04/16/2010 | 378       | 0 00   | 481 31    | -340 69    | 140 62    |
| Chindex International Inc            | 169467107 | 50 000000    | 07/31/2008 | 04/16/2010 | 624       | 0 00   | 660 98    | -772 91    | -111 93   |
| Eastern American Natural Gas         | 276217106 | 20 000000    | 09/19/2006 | 04/16/2010 | 1,305     | 0 00   | 456 55    | -517 38    | -60 83    |
| Cracker Barrel Old Country Store Inc | 22410J106 | 50 000000    | 05/22/2006 | 04/19/2010 | 1,428     | 0 00   | 2,568 87  | -1,965 03  | 603 84    |
| Hillenbrand Corp                     | 431571108 | 70 000000    | 04/02/2009 | 04/19/2010 | 382       | 0 00   | 1,681 88  | -1,176 37  | 505 51    |
| Eastern American Natural Gas         | 276217106 | 10 000000    | 09/19/2006 | 04/19/2010 | 1,308     | 0 00   | 226 33    | -258 69    | -32 36    |
| Cracker Barrel Old Country Store Inc | 22410J106 | 10 000000    | 05/22/2006 | 04/20/2010 | 1,429     | 0 00   | 514 98    | -393 01    | 121 97    |
| WCA Waste Corp                       | 92926K103 | 140 000000   | 07/04/2004 | 04/20/2010 | 2,116     | 0 00   | 712 04    | -962 14    | -250 10   |
| Eastern American Natural Gas         | 276217106 | 20 000000    | 09/19/2006 | 04/20/2010 | 1,309     | 0 00   | 451 62    | -517 38    | -65 76    |
| Cracker Barrel Old Country Store Inc | 22410J106 | 50 000000    | 05/22/2006 | 04/21/2010 | 1,430     | 0 00   | 2,594 80  | -1,965 03  | 629 77    |
| Hillenbrand Corp                     | 431571108 | 60 000000    | 04/02/2009 | 04/21/2010 | 384       | 0 00   | 1,480 15  | -1,008 32  | 471 83    |
| Bank of Hawaii Corp                  | 062540109 | 90 000000    | 12/31/2008 | 04/21/2010 | 476       | 0 00   | 4,776 56  | -3,973 07  | 803 49    |
| Bank of Hawaii Corp                  | 062540109 | 10 000000    | 01/02/2009 | 04/21/2010 | 474       | 0 00   | 530 73    | -451 15    | 79 58     |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnolley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Long-Term

| Description of Security              | Cusip     | Shares/Par   | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|--------------------------------------|-----------|--------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Chindex International Inc            | 169467107 | 20 000000    | 07/31/2008 | 04/21/2010 | 629       | 0 00   | 261 96    | -309 16    | -47 20    |
| West Pharmaceutical Services, Inc    | 955306105 | 10 000000    | 03/25/2009 | 04/21/2010 | 392       | 0 00   | 443 66    | -331 97    | 111 69    |
| West Pharmaceutical Services, Inc    | 955306105 | 120 000000   | 02/18/2009 | 04/21/2010 | 427       | 0 00   | 5,323 92  | -4,048 86  | 1,275 06  |
| West Pharmaceutical Services, Inc    | 955306105 | 10 000000    | 02/17/2009 | 04/21/2010 | 428       | 0 00   | 443 66    | -341 25    | 102 41    |
| Eastern American Natural Gas         | 276217106 | 10 000000    | 09/19/2006 | 04/21/2010 | 1,310     | 0 00   | 225 19    | -258 69    | -33 50    |
| Cracker Barrel Old Country Store Inc | 22410J106 | 70 000000    | 05/22/2006 | 04/22/2010 | 1,431     | 0 00   | 3,700 95  | -2,751 04  | 949 91    |
| Hillenbrand Corp                     | 431571108 | 100 000000   | 04/02/2009 | 04/22/2010 | 385       | 0 00   | 2,451 32  | -1,680 53  | 770 79    |
| OfficeMax                            | 67622P101 | 130 000000   | 04/09/2009 | 04/22/2010 | 378       | 0 00   | 2,055 12  | -522 71    | 1,532 41  |
| Casey's General Store                | 147528103 | 170 000000   | 05/09/2006 | 04/22/2010 | 1,444     | 0 00   | 6,679 62  | -3,921 06  | 2,758 56  |
| Bank of Hawaii Corp                  | 062540109 | 40 000000    | 12/09/2008 | 04/22/2010 | 499       | 0 00   | 2,126 94  | -1,679 11  | 447 83    |
| Bank of Hawaii Corp                  | 062540109 | 30 000000    | 12/08/2008 | 04/22/2010 | 500       | 0 00   | 1,595 20  | -1,310 04  | 285 16    |
| Bank of Hawaii Corp                  | 062540109 | 20 000000    | 12/31/2008 | 04/22/2010 | 477       | 0 00   | 1,063 47  | -882 90    | 180 57    |
| Chindex International Inc            | 169467107 | 30 000000    | 07/31/2008 | 04/22/2010 | 630       | 0 00   | 384 99    | -463 75    | -78 76    |
| West Pharmaceutical Services, Inc    | 955306105 | 100 000000   | 03/25/2009 | 04/22/2010 | 393       | 0 00   | 4,333 87  | -3,319 73  | 1,014 14  |
| WCA Waste Corp                       | 92926K103 | 270 000000   | 07/04/2004 | 04/22/2010 | 2,118     | 0 00   | 1,369 79  | -1,855 56  | -485 77   |
| Eastern American Natural Gas         | 276217106 | 10 000000    | 09/19/2006 | 04/22/2010 | 1,311     | 0 00   | 223 86    | -258 69    | -34 83    |
| Hillenbrand Corp                     | 431571108 | 30 000000    | 04/15/2009 | 04/23/2010 | 373       | 0 00   | 732 83    | -481 12    | 251 71    |
| OfficeMax                            | 67622P101 | 450 000000   | 04/09/2009 | 04/23/2010 | 379       | 0 00   | 7,239 75  | -1,809 36  | 5,430 39  |
| Casey's General Store                | 147528103 | 140 000000   | 05/09/2006 | 04/23/2010 | 1,445     | 0 00   | 5,478 17  | -3,229 10  | 2,249 07  |
| Chindex International Inc            | 169467107 | 20 000000    | 08/04/2008 | 04/23/2010 | 627       | 0 00   | 255 18    | -304 06    | -48 88    |
| Chindex International Inc            | 169467107 | 40 000000    | 07/31/2008 | 04/23/2010 | 631       | 0 00   | 510 38    | -618 33    | -107 95   |
| West Pharmaceutical Services, Inc    | 955306105 | 40 000000    | 03/10/2009 | 04/23/2010 | 409       | 0 00   | 1,699 02  | -1,168 91  | 530 11    |
| West Pharmaceutical Services, Inc    | 955306105 | 50 000000    | 03/25/2009 | 04/23/2010 | 394       | 0 00   | 2,123 78  | -1,659 87  | 463 91    |
| WCA Waste Corp                       | 92926K103 | 20 000000    | 07/04/2004 | 04/23/2010 | 2,119     | 0 00   | 99 82     | -137 45    | -37 63    |
| Casey's General Store                | 147528103 | 140 000000   | 05/09/2006 | 04/26/2010 | 1,448     | 0 00   | 5,479 50  | -3,229 10  | 2,250 40  |
| Chindex International Inc            | 169467107 | 20 000000    | 08/04/2008 | 04/26/2010 | 630       | 0 00   | 268 29    | -304 06    | -35 77    |
| WCA Waste Corp                       | 92926K103 | 10 000000    | 07/04/2004 | 04/26/2010 | 2,122     | 0 00   | 50 07     | -68 72     | -18 65    |
| Eastern American Natural Gas         | 276217106 | 10 000000    | 09/19/2006 | 04/26/2010 | 1,315     | 0 00   | 223 55    | -258 69    | -35 14    |
| Big Lots Inc                         | 089302103 | 110 000000   | 02/05/2009 | 04/27/2010 | 446       | 0 00   | 4,438 92  | -1,521 74  | 2,917 18  |
| Big Lots Inc                         | 089302103 | 390 000000   | 01/16/2009 | 04/27/2010 | 466       | 0 00   | 15,737 99 | -5,568 65  | 10,169 34 |
| Big Lots Inc                         | 089302103 | 140 000000   | 02/17/2009 | 04/27/2010 | 434       | 0 00   | 5,649 53  | -2,005 92  | 3,643 61  |
| Brinks Co                            | 109696104 | 30 000000    | 10/16/2008 | 04/27/2010 | 558       | 0 00   | 855 21    | -740 62    | 114 59    |
| Brinks Co                            | 109696104 | 1,240 000000 | 11/07/2006 | 04/27/2010 | 1,267     | 0 00   | 35,348 82 | -38,606 20 | -3,257 38 |
| Brinks Co                            | 109696104 | 120 000000   | 01/31/2008 | 04/27/2010 | 817       | 0 00   | 3,420 85  | -3,767 99  | -347 14   |
| Brinks Co                            | 109696104 | 210 000000   | 09/24/2008 | 04/27/2010 | 580       | 0 00   | 5,986 49  | -6,939 25  | -952 76   |
| Brinks Co                            | 109696104 | 160 000000   | 09/25/2008 | 04/27/2010 | 579       | 0 00   | 4,561 14  | -5,322 40  | -761 26   |
| Brinks Co                            | 109696104 | 50 000000    | 02/25/2008 | 04/27/2010 | 792       | 0 00   | 1,425 35  | -1,767 56  | -342 21   |
| Brinks Co                            | 109696104 | 50 000000    | 08/12/2008 | 04/27/2010 | 623       | 0 00   | 1,425 35  | -1,838 60  | -413 25   |
| Copart Inc                           | 217204106 | 130 000000   | 02/05/2009 | 04/27/2010 | 446       | 0 00   | 4,616 66  | -3,320 24  | 1,296 42  |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Long-Term

| Description of Security              | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|--------------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Copart Inc                           | 217204106 | 120 000000 | 02/06/2009 | 04/27/2010 | 445       | 0 00   | 4,261 53  | -3,124 80  | 1,136 73  |
| Copart Inc                           | 217204106 | 80 000000  | 01/15/2009 | 04/27/2010 | 467       | 0 00   | 2,841 01  | -2,106 90  | 734 11    |
| Copart Inc                           | 217204106 | 180 000000 | 12/31/2008 | 04/27/2010 | 482       | 0 00   | 6,392 29  | -4,901 74  | 1,490 55  |
| Copart Inc                           | 217204106 | 40 000000  | 03/10/2009 | 04/27/2010 | 413       | 0 00   | 1,420 50  | -1,096 46  | 324 04    |
| Copart Inc                           | 217204106 | 40 000000  | 01/02/2009 | 04/27/2010 | 480       | 0 00   | 1,420 50  | -1,119 43  | 301 07    |
| Cracker Barrel Old Country Store Inc | 22410J106 | 150 000000 | 10/28/2008 | 04/27/2010 | 546       | 0 00   | 7,844 13  | -2,486 35  | 5,357 78  |
| Cracker Barrel Old Country Store Inc | 22410J106 | 170 000000 | 10/22/2008 | 04/27/2010 | 552       | 0 00   | 8,890 02  | -3,116 20  | 5,773 82  |
| Cracker Barrel Old Country Store Inc | 22410J106 | 950 000000 | 05/22/2006 | 04/27/2010 | 1,436     | 0 00   | 49,679 50 | -37,335 55 | 12,343 95 |
| Dollar Tree Inc                      | 256746108 | 150 000000 | 02/26/2008 | 04/27/2010 | 791       | 0 00   | 9,280 66  | -3,927 58  | 5,353 08  |
| Dollar Tree Inc                      | 256746108 | 80 000000  | 12/28/2007 | 04/27/2010 | 851       | 0 00   | 4,949 69  | -2,098 30  | 2,851 39  |
| Dollar Tree Inc                      | 256746108 | 90 000000  | 01/31/2008 | 04/27/2010 | 817       | 0 00   | 5,568 39  | -2,364 46  | 3,203 93  |
| Dollar Tree Inc                      | 256746108 | 100 000000 | 12/27/2007 | 04/27/2010 | 852       | 0 00   | 6,187 10  | -2,644 66  | 3,542.44  |
| Dollar Tree Inc                      | 256746108 | 90 000000  | 05/22/2008 | 04/27/2010 | 705       | 0 00   | 5,568 39  | -2,987 27  | 2,581 12  |
| Dollar Tree Inc                      | 256746108 | 70 000000  | 02/05/2009 | 04/27/2010 | 446       | 0 00   | 4,330 98  | -2,474 04  | 1,856 94  |
| Dollar Tree Inc                      | 256746108 | 40 000000  | 09/24/2008 | 04/27/2010 | 580       | 0 00   | 2,474 84  | -1,457 22  | 1,017.62  |
| Dress Barn Inc                       | 261570105 | 160 000000 | 01/16/2008 | 04/27/2010 | 832       | 0 00   | 4,835 94  | -1,595 52  | 3,240 42  |
| Dress Barn Inc                       | 261570105 | 200 000000 | 01/17/2008 | 04/27/2010 | 831       | 0 00   | 6,044 91  | -2,118 84  | 3,926 07  |
| Dress Barn Inc                       | 261570105 | 120 000000 | 01/29/2008 | 04/27/2010 | 819       | 0 00   | 3,626 95  | -1,395 42  | 2,231 53  |
| Dress Barn Inc                       | 261570105 | 60 000000  | 01/31/2008 | 04/27/2010 | 817       | 0 00   | 1,813 48  | -745 18    | 1,068 30  |
| Dress Barn Inc                       | 261570105 | 140 000000 | 12/27/2007 | 04/27/2010 | 852       | 0 00   | 4,231 44  | -1,825 90  | 2,405 54  |
| Dress Barn Inc                       | 261570105 | 160 000000 | 11/09/2007 | 04/27/2010 | 900       | 0 00   | 4,835 94  | -2,220 40  | 2,615 54  |
| Dress Barn Inc                       | 261570105 | 130 000000 | 11/12/2007 | 04/27/2010 | 897       | 0 00   | 3,929 19  | -1,858 72  | 2,070 47  |
| Dress Barn Inc                       | 261570105 | 150 000000 | 06/13/2008 | 04/27/2010 | 683       | 0 00   | 4,533 68  | -2,256 55  | 2,277 13  |
| Dress Barn Inc                       | 261570105 | 120 000000 | 06/03/2008 | 04/27/2010 | 693       | 0 00   | 3,626 95  | -1,826 87  | 1,800 08  |
| Dress Barn Inc                       | 261570105 | 270 000000 | 10/25/2007 | 04/27/2010 | 915       | 0 00   | 8,160 64  | -4,193 80  | 3,966 84  |
| Electro Rent Corp                    | 285218103 | 10 000000  | 05/09/2008 | 04/27/2010 | 718       | 0 00   | 146 29    | -129 44    | 16 85     |
| Electro Rent Corp                    | 285218103 | 20 000000  | 05/08/2008 | 04/27/2010 | 719       | 0 00   | 292 58    | -259 41    | 33.17     |
| Electro Rent Corp                    | 285218103 | 20 000000  | 05/12/2008 | 04/27/2010 | 715       | 0 00   | 292 59    | -263 05    | 29 54     |
| Electro Rent Corp                    | 285218103 | 30 000000  | 03/04/2008 | 04/27/2010 | 784       | 0 00   | 438 88    | -402 86    | 36 02     |
| Electro Rent Corp                    | 285218103 | 170 000000 | 02/22/2008 | 04/27/2010 | 795       | 0 00   | 2,486 96  | -2,286 13  | 200 83    |
| Electro Rent Corp                    | 285218103 | 30 000000  | 05/22/2008 | 04/27/2010 | 705       | 0 00   | 438 88    | -404 08    | 34 80     |
| Electro Rent Corp                    | 285218103 | 20 000000  | 05/23/2008 | 04/27/2010 | 704       | 0 00   | 292 59    | -269.75    | 22 84     |
| Electro Rent Corp                    | 285218103 | 50 000000  | 04/30/2008 | 04/27/2010 | 727       | 0 00   | 731 46    | -675 97    | 55 49     |
| Electro Rent Corp                    | 285218103 | 20 000000  | 05/01/2008 | 04/27/2010 | 726       | 0 00   | 292 59    | -270 89    | 21 70     |
| Electro Rent Corp                    | 285218103 | 20 000000  | 02/15/2008 | 04/27/2010 | 802       | 0 00   | 292 58    | -271 87    | 20 71     |
| Electro Rent Corp                    | 285218103 | 140 000000 | 05/02/2008 | 04/27/2010 | 725       | 0 00   | 2,048 08  | -1,906 78  | 141 30    |
| Electro Rent Corp                    | 285218103 | 50 000000  | 02/12/2008 | 04/27/2010 | 805       | 0 00   | 731 46    | -681 53    | 49 93     |
| Electro Rent Corp                    | 285218103 | 50 000000  | 02/06/2008 | 04/27/2010 | 811       | 0 00   | 731 46    | -682 09    | 49 37     |
| Electro Rent Corp                    | 285218103 | 70 000000  | 02/14/2008 | 04/27/2010 | 803       | 0 00   | 1,024 04  | -957 95    | 66 09     |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Long-Term

| Description of Security | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Electro Rent Corp       | 285218103 | 10 000000  | 02/27/2008 | 04/27/2010 | 790       | 0 00   | 146 30    | -137 19    | 9 11      |
| Electro Rent Corp       | 285218103 | 30 000000  | 05/27/2008 | 04/27/2010 | 700       | 0 00   | 438 87    | -411 99    | 26 88     |
| Electro Rent Corp       | 285218103 | 60 000000  | 02/05/2008 | 04/27/2010 | 812       | 0 00   | 877 74    | -824 97    | 52 77     |
| Electro Rent Corp       | 285218103 | 40 000000  | 03/05/2008 | 04/27/2010 | 783       | 0 00   | 585 17    | -550 71    | 34 46     |
| Electro Rent Corp       | 285218103 | 120 000000 | 02/26/2008 | 04/27/2010 | 791       | 0 00   | 1,755 50  | -1,656 89  | 98 61     |
| Electro Rent Corp       | 285218103 | 120 000000 | 01/30/2008 | 04/27/2010 | 818       | 0 00   | 1,755 50  | -1,657 45  | 98 05     |
| Electro Rent Corp       | 285218103 | 60 000000  | 01/28/2008 | 04/27/2010 | 820       | 0 00   | 877 74    | -830 02    | 47 72     |
| Electro Rent Corp       | 285218103 | 60 000000  | 02/13/2008 | 04/27/2010 | 804       | 0 00   | 877 74    | -830 14    | 47 60     |
| Electro Rent Corp       | 285218103 | 70 000000  | 03/06/2008 | 04/27/2010 | 782       | 0 00   | 1,024 04  | -971 30    | 52 74     |
| Electro Rent Corp       | 285218103 | 80 000000  | 05/28/2008 | 04/27/2010 | 699       | 0 00   | 1,170 33  | -1,113 03  | 57 30     |
| Electro Rent Corp       | 285218103 | 80 000000  | 01/29/2008 | 04/27/2010 | 819       | 0 00   | 1,170 33  | -1,114 74  | 55 59     |
| Electro Rent Corp       | 285218103 | 90 000000  | 02/07/2008 | 04/27/2010 | 810       | 0 00   | 1,316 63  | -1,255 63  | 61 00     |
| Electro Rent Corp       | 285218103 | 30 000000  | 02/08/2008 | 04/27/2010 | 809       | 0 00   | 438 87    | -418 90    | 19 97     |
| Electro Rent Corp       | 285218103 | 30 000000  | 04/15/2008 | 04/27/2010 | 742       | 0 00   | 438 87    | -420 03    | 18 84     |
| Electro Rent Corp       | 285218103 | 50 000000  | 02/04/2008 | 04/27/2010 | 813       | 0 00   | 731 46    | -700 32    | 31 14     |
| Electro Rent Corp       | 285218103 | 80 000000  | 04/14/2008 | 04/27/2010 | 743       | 0 00   | 1,170 33  | -1,121 67  | 48 66     |
| Electro Rent Corp       | 285218103 | 10 000000  | 05/13/2008 | 04/27/2010 | 714       | 0 00   | 146 30    | -140 36    | 5 94      |
| Electro Rent Corp       | 285218103 | 60 000000  | 02/01/2008 | 04/27/2010 | 816       | 0 00   | 877 74    | -842 93    | 34 81     |
| Electro Rent Corp       | 285218103 | 40 000000  | 04/22/2008 | 04/27/2010 | 735       | 0 00   | 585 17    | -563 55    | 21 62     |
| Electro Rent Corp       | 285218103 | 20 000000  | 01/31/2008 | 04/27/2010 | 817       | 0 00   | 292 58    | -282 03    | 10 55     |
| Electro Rent Corp       | 285218103 | 30 000000  | 04/18/2008 | 04/27/2010 | 739       | 0 00   | 438 87    | -423 88    | 14 99     |
| Electro Rent Corp       | 285218103 | 30 000000  | 04/11/2008 | 04/27/2010 | 746       | 0 00   | 438 87    | -424 15    | 14 72     |
| Electro Rent Corp       | 285218103 | 50 000000  | 05/14/2008 | 04/27/2010 | 713       | 0 00   | 731 46    | -710 74    | 20 72     |
| Electro Rent Corp       | 285218103 | 20 000000  | 04/10/2008 | 04/27/2010 | 747       | 0 00   | 292 58    | -284 58    | 8 00      |
| Electro Rent Corp       | 285218103 | 100 000000 | 04/21/2008 | 04/27/2010 | 736       | 0 00   | 1,462 91  | -1,452 21  | 10 70     |
| Electro Rent Corp       | 285218103 | 80 000000  | 04/07/2008 | 04/27/2010 | 750       | 0 00   | 1,170 33  | -1,205 82  | -35 49    |
| Electro Rent Corp       | 285218103 | 130 000000 | 04/08/2008 | 04/27/2010 | 749       | 0 00   | 1,901 79  | -1,965 81  | -64 02    |
| Freds Inc Cl A          | 356108100 | 170 000000 | 01/24/2008 | 04/27/2010 | 824       | 0 00   | 2,348 61  | -1,501 44  | 847 17    |
| Freds Inc Cl A          | 356108100 | 210 000000 | 01/25/2008 | 04/27/2010 | 823       | 0 00   | 2,901 23  | -1,865 07  | 1,036 16  |
| Freds Inc Cl A          | 356108100 | 100 000000 | 01/31/2008 | 04/27/2010 | 817       | 0 00   | 1,381 54  | -951 65    | 429 89    |
| Freds Inc Cl A          | 356108100 | 250 000000 | 02/05/2008 | 04/27/2010 | 812       | 0 00   | 3,453 84  | -2,385 00  | 1,068 84  |
| Freds Inc Cl A          | 356108100 | 300 000000 | 12/12/2007 | 04/27/2010 | 867       | 0 00   | 4,144 61  | -2,920 47  | 1,224 14  |
| Freds Inc Cl A          | 356108100 | 120 000000 | 12/07/2007 | 04/27/2010 | 872       | 0 00   | 1,657 84  | -1,198 27  | 459 57    |
| Freds Inc Cl A          | 356108100 | 30 000000  | 12/06/2007 | 04/27/2010 | 873       | 0 00   | 414 46    | -303 85    | 110 61    |
| Freds Inc Cl A          | 356108100 | 220 000000 | 10/18/2007 | 04/27/2010 | 922       | 0 00   | 3,039 38  | -2,261 60  | 777 78    |
| Freds Inc Cl A          | 356108100 | 690 000000 | 11/29/2007 | 04/27/2010 | 880       | 0 00   | 9,532 60  | -7,252 59  | 2,280 01  |
| Freds Inc Cl A          | 356108100 | 20 000000  | 09/06/2006 | 04/27/2010 | 1,329     | 0 00   | 276 31    | -211 92    | 64 39     |
| Freds Inc Cl A          | 356108100 | 150 000000 | 10/16/2008 | 04/27/2010 | 558       | 0 00   | 2,072 30  | -1,607 49  | 464 81    |
| Freds Inc Cl A          | 356108100 | 90 000000  | 10/14/2008 | 04/27/2010 | 560       | 0 00   | 1,243 39  | -967 39    | 276 00    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| Long-Term                      |           |            |            |            |           |        |           |            |           |
|--------------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security        | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| Freds Inc Cl A                 | 356108100 | 330 000000 | 11/30/2007 | 04/27/2010 | 879       | 0 00   | 4,559 07  | -3,604 46  | 954 61    |
| Freds Inc Cl A                 | 356108100 | 190 000000 | 05/22/2008 | 04/27/2010 | 705       | 0 00   | 2,624 91  | -2,094 05  | 530 86    |
| Freds Inc Cl A                 | 356108100 | 90 000000  | 10/13/2008 | 04/27/2010 | 561       | 0 00   | 1,243 39  | -996 02    | 247 37    |
| Frischs Restaurants Inc common | 358748101 | 850 000000 | 07/04/2004 | 04/27/2010 | 2,123     | 0 00   | 19,235 77 | -19,830 19 | -594 42   |
| GEO Group Inc                  | 36159R103 | 100 000000 | 02/23/2009 | 04/27/2010 | 428       | 0 00   | 2,167 97  | -1,118.15  | 1,049 82  |
| GEO Group Inc                  | 36159R103 | 150 000000 | 02/24/2009 | 04/27/2010 | 427       | 0 00   | 3,251 96  | -1,808 83  | 1,443 13  |
| GEO Group Inc                  | 36159R103 | 150 000000 | 10/28/2008 | 04/27/2010 | 546       | 0 00   | 3,251 96  | -2,092 28  | 1,159 68  |
| GEO Group Inc                  | 36159R103 | 90 000000  | 04/02/2009 | 04/27/2010 | 390       | 0 00   | 1,951 18  | -1,260 11  | 691 07    |
| GEO Group Inc                  | 36159R103 | 180 000000 | 11/13/2008 | 04/27/2010 | 530       | 0 00   | 3,902 35  | -2,647 60  | 1,254 75  |
| GEO Group Inc                  | 36159R103 | 210 000000 | 10/24/2008 | 04/27/2010 | 550       | 0 00   | 4,552 74  | -3,092 31  | 1,460 43  |
| GEO Group Inc                  | 36159R103 | 30 000000  | 10/23/2008 | 04/27/2010 | 551       | 0 00   | 650 39    | -447 70    | 202 69    |
| GEO Group Inc                  | 36159R103 | 120 000000 | 10/29/2008 | 04/27/2010 | 545       | 0 00   | 2,601 57  | -1,858 00  | 743 57    |
| GEO Group Inc                  | 36159R103 | 30 000000  | 10/30/2008 | 04/27/2010 | 544       | 0 00   | 650 39    | -481 61    | 168 78    |
| GEO Group Inc                  | 36159R103 | 200 000000 | 11/20/2008 | 04/27/2010 | 523       | 0 00   | 4,335 95  | -3,268 02  | 1,067 93  |
| GEO Group Inc                  | 36159R103 | 90 000000  | 10/30/2008 | 04/27/2010 | 544       | 0 00   | 1,951 18  | -1,481 35  | 469 83    |
| GEO Group Inc                  | 36159R103 | 160 000000 | 04/24/2009 | 04/27/2010 | 368       | 0 00   | 3,468 76  | -2,648 58  | 820 18    |
| GEO Group Inc                  | 36159R103 | 30 000000  | 01/15/2009 | 04/27/2010 | 467       | 0 00   | 650 39    | -528 95    | 121 44    |
| GEO Group Inc                  | 36159R103 | 140 000000 | 11/03/2008 | 04/27/2010 | 540       | 0 00   | 3,035 16  | -2,557 73  | 477 43    |
| Hillenbrand Corp               | 431571108 | 340 000000 | 03/25/2009 | 04/27/2010 | 398       | 0 00   | 8,384 90  | -5,267 45  | 3,117 45  |
| Hillenbrand Corp               | 431571108 | 140 000000 | 03/27/2009 | 04/27/2010 | 396       | 0 00   | 3,452 61  | -2,209 91  | 1,242 70  |
| Hillenbrand Corp               | 431571108 | 180 000000 | 04/07/2009 | 04/27/2010 | 385       | 0 00   | 4,439 07  | -2,853 25  | 1,585.82  |
| Hillenbrand Corp               | 431571108 | 50 000000  | 04/15/2009 | 04/27/2010 | 377       | 0 00   | 1,233 07  | -801 86    | 431 21    |
| Hillenbrand Corp               | 431571108 | 40 000000  | 04/24/2009 | 04/27/2010 | 368       | 0 00   | 986 46    | -667 55    | 318 91    |
| International Speedway Corp    | 460335201 | 50 000000  | 02/05/2009 | 04/27/2010 | 446       | 0 00   | 1,546 59  | -1,194 29  | 352 30    |
| International Speedway Corp    | 460335201 | 70 000000  | 10/24/2008 | 04/27/2010 | 550       | 0 00   | 2,165 24  | -1,941 56  | 223 68    |
| International Speedway Corp    | 460335201 | 60 000000  | 10/23/2008 | 04/27/2010 | 551       | 0 00   | 1,855 92  | -1,666 47  | 189 45    |
| International Speedway Corp    | 460335201 | 50 000000  | 10/28/2008 | 04/27/2010 | 546       | 0 00   | 1,546 59  | -1,401 25  | 145 34    |
| International Speedway Corp    | 460335201 | 60 000000  | 10/29/2008 | 04/27/2010 | 545       | 0 00   | 1,855 92  | -1,766 87  | 89 05     |
| International Speedway Corp    | 460335201 | 70 000000  | 11/06/2008 | 04/27/2010 | 537       | 0 00   | 2,165 24  | -2,090 26  | 74 98     |
| International Speedway Corp    | 460335201 | 80 000000  | 10/30/2008 | 04/27/2010 | 544       | 0 00   | 2,474 56  | -2,459 08  | 15 48     |
| International Speedway Corp    | 460335201 | 20 000000  | 10/31/2008 | 04/27/2010 | 543       | 0 00   | 618 64    | -629 51    | -10 87    |
| Nathans Famous Inc             | 632347100 | 10 000000  | 03/25/2008 | 04/27/2010 | 763       | 0 00   | 152 30    | -129 41    | 22 89     |
| Nathans Famous Inc             | 632347100 | 20 000000  | 03/24/2008 | 04/27/2010 | 764       | 0 00   | 304 60    | -261 38    | 43 22     |
| Nathans Famous Inc             | 632347100 | 20 000000  | 03/26/2008 | 04/27/2010 | 762       | 0 00   | 304 60    | -261 71    | 42 89     |
| Nathans Famous Inc             | 632347100 | 100 000000 | 03/28/2008 | 04/27/2010 | 760       | 0 00   | 1,523 00  | -1,386 36  | 136.64    |
| Nathans Famous Inc             | 632347100 | 362 000000 | 12/11/2006 | 04/27/2010 | 1,233     | 0 00   | 5,513 27  | -5,131 38  | 381 89    |
| Nathans Famous Inc             | 632347100 | 10 000000  | 02/28/2008 | 04/27/2010 | 789       | 0 00   | 152 30    | -155 45    | -3.15     |
| Nathans Famous Inc             | 632347100 | 10 000000  | 02/29/2008 | 04/27/2010 | 788       | 0 00   | 152 30    | -157 52    | -5 22     |
| Nathans Famous Inc             | 632347100 | 20 000000  | 03/03/2008 | 04/27/2010 | 785       | 0 00   | 304 60    | -318 88    | -14 28    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Dates Open: 10/22/2007 to Present

Trust Year End: December

Date Printed: 02/23/2011

Admin Officer: Christopher A Nunnelley

Invest Officer: External Manager - River Road As...

Tax State: Kentucky

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Long-Term

| Description of Security           | Cusip     | Shares/Par   | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-----------------------------------|-----------|--------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Nathans Famous Inc                | 632347100 | 20 000000    | 02/01/2008 | 04/27/2010 | 816       | 0 00   | 304 60    | -320 53    | -15 93    |
| Nathans Famous Inc                | 632347100 | 10 000000    | 01/31/2008 | 04/27/2010 | 817       | 0 00   | 152 30    | -160 43    | -8 13     |
| Nathans Famous Inc                | 632347100 | 20 000000    | 03/04/2008 | 04/27/2010 | 784       | 0 00   | 304 60    | -321 71    | -17 11    |
| Nathans Famous Inc                | 632347100 | 90 000000    | 01/24/2008 | 04/27/2010 | 824       | 0 00   | 1,370 71  | -1,456 20  | -85 49    |
| Nathans Famous Inc                | 632347100 | 30 000000    | 02/04/2008 | 04/27/2010 | 813       | 0 00   | 456 90    | -492 50    | -35 60    |
| Nathans Famous Inc                | 632347100 | 30 000000    | 11/30/2007 | 04/27/2010 | 879       | 0 00   | 456 90    | -513 03    | -56 13    |
| Nathans Famous Inc                | 632347100 | 10 000000    | 12/03/2007 | 04/27/2010 | 876       | 0 00   | 152 30    | -175 97    | -23 67    |
| Nathans Famous Inc                | 632347100 | 20 000000    | 12/04/2007 | 04/27/2010 | 875       | 0 00   | 304 60    | -353 74    | -49 14    |
| Papa Johns Intl Inc Com           | 698813102 | 30 000000    | 10/28/2008 | 04/27/2010 | 546       | 0 00   | 847 47    | -580 71    | 266 76    |
| Papa Johns Intl Inc Com           | 698813102 | 80 000000    | 10/29/2008 | 04/27/2010 | 545       | 0 00   | 2,259 94  | -1,608 06  | 651 88    |
| Papa Johns Intl Inc Com           | 698813102 | 110 000000   | 12/27/2007 | 04/27/2010 | 852       | 0 00   | 3,107 43  | -2,495 57  | 611 86    |
| Papa Johns Intl Inc Com           | 698813102 | 20 000000    | 12/28/2007 | 04/27/2010 | 851       | 0 00   | 564 99    | -456 23    | 108 76    |
| Papa Johns Intl Inc Com           | 698813102 | 80 000000    | 11/27/2007 | 04/27/2010 | 882       | 0 00   | 2,259 94  | -1,825 26  | 434 68    |
| Papa Johns Intl Inc Com           | 698813102 | 40 000000    | 11/29/2007 | 04/27/2010 | 880       | 0 00   | 1,129 97  | -920 82    | 209 15    |
| Papa Johns Intl Inc Com           | 698813102 | 100 000000   | 01/24/2008 | 04/27/2010 | 824       | 0 00   | 2,824 93  | -2,350 88  | 474 05    |
| Papa Johns Intl Inc Com           | 698813102 | 110 000000   | 11/28/2007 | 04/27/2010 | 881       | 0 00   | 3,107 43  | -2,587 00  | 520 43    |
| Papa Johns Intl Inc Com           | 698813102 | 150 000000   | 11/30/2007 | 04/27/2010 | 879       | 0 00   | 4,237 40  | -3,543 36  | 694 04    |
| Papa Johns Intl Inc Com           | 698813102 | 90 000000    | 12/12/2007 | 04/27/2010 | 867       | 0 00   | 2,542 44  | -2,127 01  | 415 43    |
| PetMed Express Inc                | 716382106 | 110 000000   | 04/14/2008 | 04/27/2010 | 743       | 0 00   | 2,565 07  | -1,214 97  | 1,350 10  |
| PetMed Express Inc                | 716382106 | 40 000000    | 04/15/2008 | 04/27/2010 | 742       | 0 00   | 932 75    | -443 00    | 489 75    |
| PetMed Express Inc                | 716382106 | 30 000000    | 05/01/2008 | 04/27/2010 | 726       | 0 00   | 699 57    | -334 75    | 364 82    |
| PetMed Express Inc                | 716382106 | 120 000000   | 04/30/2008 | 04/27/2010 | 727       | 0 00   | 2,798 25  | -1,353 02  | 1,445 23  |
| PetMed Express Inc                | 716382106 | 80 000000    | 02/05/2009 | 04/27/2010 | 446       | 0 00   | 1,865 51  | -1,167 03  | 698 48    |
| PetMed Express Inc                | 716382106 | 80 000000    | 02/06/2009 | 04/27/2010 | 445       | 0 00   | 1,865 51  | -1,229 98  | 635 53    |
| Rent-a-Center Inc                 | 76009N100 | 230 000000   | 11/29/2007 | 04/27/2010 | 880       | 0 00   | 6,424 25  | -3,233 13  | 3,191 12  |
| Rent-a-Center Inc                 | 76009N100 | 170 000000   | 11/28/2007 | 04/27/2010 | 881       | 0 00   | 4,748 36  | -2,395 18  | 2,353 18  |
| Rent-a-Center Inc                 | 76009N100 | 150 000000   | 11/30/2007 | 04/27/2010 | 879       | 0 00   | 4,189 73  | -2,132 39  | 2,057 34  |
| Rent-a-Center Inc                 | 76009N100 | 270 000000   | 12/28/2007 | 04/27/2010 | 851       | 0 00   | 7,541 51  | -4,008 47  | 3,533 04  |
| Rent-a-Center Inc                 | 76009N100 | 140 000000   | 12/12/2007 | 04/27/2010 | 867       | 0 00   | 3,910 42  | -2,221 45  | 1,688 97  |
| Rent-a-Center Inc                 | 76009N100 | 190 000000   | 10/18/2007 | 04/27/2010 | 922       | 0 00   | 5,306 99  | -3,211 00  | 2,095 99  |
| Rent-a-Center Inc                 | 76009N100 | 1,070 000000 | 08/21/2005 | 04/27/2010 | 1,710     | 0 00   | 29,886 73 | -20,299 84 | 9,586 89  |
| Unifirst Corp                     | 904708104 | 80 000000    | 11/30/2007 | 04/27/2010 | 879       | 0 00   | 4,066 36  | -3,014 92  | 1,051 44  |
| Casey's General Store             | 147528103 | 190 000000   | 05/09/2006 | 04/27/2010 | 1,449     | 0 00   | 7,432 61  | -4,382 36  | 3,050 25  |
| Coca Cola Bottling Co Cons common | 191098102 | 400 000000   | 07/04/2004 | 04/27/2010 | 2,123     | 0 00   | 21,728 83 | -19,249 80 | 2,479 03  |
| HQ Sustainable Maritime           | 40426A208 | 50 000000    | 11/15/2007 | 04/27/2010 | 894       | 0 00   | 294 12    | -474 49    | -180 37   |
| HQ Sustainable Maritime           | 40426A208 | 20 000000    | 11/16/2007 | 04/27/2010 | 893       | 0 00   | 117 65    | -190 20    | -72 55    |
| HQ Sustainable Maritime           | 40426A208 | 110 000000   | 11/26/2007 | 04/27/2010 | 883       | 0 00   | 647 09    | -1,080 19  | -433 10   |
| HQ Sustainable Maritime           | 40426A208 | 10 000000    | 11/23/2007 | 04/27/2010 | 886       | 0 00   | 58 83     | -98 20     | -39 37    |
| HQ Sustainable Maritime           | 40426A208 | 210 000000   | 11/27/2007 | 04/27/2010 | 882       | 0 00   | 1,235 35  | -2,062 31  | -826 96   |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| Long-Term                       |           |              |            |            |           |        |           |            |           |
|---------------------------------|-----------|--------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security         | Cusip     | Shares/Par   | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| HQ Sustainable Maritime         | 40426A208 | 10 000000    | 01/28/2008 | 04/27/2010 | 820       | 0 00   | 58 83     | -99 59     | -40 76    |
| HQ Sustainable Maritime         | 40426A208 | 10 000000    | 01/29/2008 | 04/27/2010 | 819       | 0 00   | 58 83     | -100 10    | -41 27    |
| HQ Sustainable Maritime         | 40426A208 | 40 000000    | 01/25/2008 | 04/27/2010 | 823       | 0 00   | 235 30    | -401 98    | -166 68   |
| HQ Sustainable Maritime         | 40426A208 | 1,480 000000 | 05/02/2005 | 04/27/2010 | 1,821     | 0 00   | 8,706 24  | -15,044 30 | -6,338 06 |
| HQ Sustainable Maritime         | 40426A208 | 20 000000    | 02/01/2008 | 04/27/2010 | 816       | 0 00   | 117 65    | -215 01    | -97 36    |
| HQ Sustainable Maritime         | 40426A208 | 10 000000    | 01/31/2008 | 04/27/2010 | 817       | 0 00   | 58 83     | -107.74    | -48 91    |
| HQ Sustainable Maritime         | 40426A208 | 20 000000    | 05/06/2008 | 04/27/2010 | 721       | 0 00   | 117 65    | -253 91    | -136 26   |
| HQ Sustainable Maritime         | 40426A208 | 40 000000    | 05/07/2008 | 04/27/2010 | 720       | 0 00   | 235 30    | -516 53    | -281 23   |
| Harbinger Group Inc             | 41146A106 | 4,640 000000 | 04/07/2006 | 04/27/2010 | 1,481     | 0 00   | 31,026 22 | -31,368 35 | -342 13   |
| J&J Snack Foods Corp            | 466032109 | 130 000000   | 01/29/2008 | 04/27/2010 | 819       | 0 00   | 6,103 96  | -3,327 82  | 2,776 14  |
| J&J Snack Foods Corp            | 466032109 | 395 000000   | 07/04/2004 | 04/27/2010 | 2,123     | 0 00   | 18,546 63 | -10,927 89 | 7,618 74  |
| Mac-Gray Corp common            | 554153106 | 4,080 000000 | 07/04/2004 | 04/27/2010 | 2,123     | 0 00   | 49,800 45 | -33,175 41 | 16,625 04 |
| National Beverage Corp Com      | 635017106 | 584 000000   | 10/23/2006 | 04/27/2010 | 1,282     | 0 00   | 6,699 24  | -5,823 50  | 875 74    |
| Ruddick Corp common             | 781258108 | 2,590 000000 | 06/22/2006 | 04/27/2010 | 1,405     | 0 00   | 86,171 21 | -68,133.46 | 18,037 75 |
| Ruddick Corp common             | 781258108 | 220 000000   | 01/11/2008 | 04/27/2010 | 837       | 0 00   | 7,319 56  | -7,196 27  | 123 29    |
| Village Super Market-CI A       | 927107409 | 1,754 000000 | 07/04/2004 | 04/27/2010 | 2,123     | 0 00   | 48,305 21 | -25,851 94 | 22,453 27 |
| Evolution Petroleum Corporation | 30049A107 | 5,388 000000 | 07/05/2006 | 04/27/2010 | 1,392     | 0 00   | 31,565 06 | -15,719 81 | 15,845 25 |
| Frontier Oil Corp               | 35914P105 | 100 000000   | 10/13/2008 | 04/27/2010 | 561       | 0 00   | 1,403 03  | -1,192 95  | 210 08    |
| Frontier Oil Corp               | 35914P105 | 120 000000   | 01/15/2009 | 04/27/2010 | 467       | 0 00   | 1,683 63  | -1,553 38  | 130 25    |
| Frontier Oil Corp               | 35914P105 | 110 000000   | 07/30/2008 | 04/27/2010 | 636       | 0 00   | 1,543 32  | -2,055 13  | -511 81   |
| Frontier Oil Corp               | 35914P105 | 170 000000   | 07/23/2008 | 04/27/2010 | 643       | 0 00   | 2,385 15  | -3,221 09  | -835 94   |
| Frontier Oil Corp               | 35914P105 | 50 000000    | 07/16/2008 | 04/27/2010 | 650       | 0 00   | 701 51    | -949 03    | -247 52   |
| Portland General Electric       | 736508847 | 780 000000   | 03/12/2006 | 04/27/2010 | 1,507     | 0 00   | 15,530 47 | -22,297 81 | -6,767 34 |
| BancorpSouth Inc                | 059692103 | 70 000000    | 03/12/2009 | 04/27/2010 | 411       | 0 00   | 1,556 73  | -1,318 58  | 238 15    |
| BancorpSouth Inc                | 059692103 | 150 000000   | 01/15/2009 | 04/27/2010 | 467       | 0 00   | 3,335 85  | -2,876 31  | 459 54    |
| BancorpSouth Inc                | 059692103 | 110 000000   | 02/05/2009 | 04/27/2010 | 446       | 0 00   | 2,446 30  | -2,191 52  | 254 78    |
| BancorpSouth Inc                | 059692103 | 70 000000    | 12/16/2008 | 04/27/2010 | 497       | 0 00   | 1,556 73  | -1,460 59  | 96 14     |
| BancorpSouth Inc                | 059692103 | 110 000000   | 02/06/2009 | 04/27/2010 | 445       | 0 00   | 2,446 30  | -2,302 28  | 144 02    |
| BancorpSouth Inc                | 059692103 | 100 000000   | 12/24/2008 | 04/27/2010 | 489       | 0 00   | 2,223 90  | -2,114 47  | 109.43    |
| BancorpSouth Inc                | 059692103 | 100 000000   | 12/17/2008 | 04/27/2010 | 496       | 0 00   | 2,223 90  | -2,156 63  | 67 27     |
| BancorpSouth Inc                | 059692103 | 230 000000   | 12/31/2008 | 04/27/2010 | 482       | 0 00   | 5,114 97  | -5,267 18  | -152 21   |
| BancorpSouth Inc                | 059692103 | 10 000000    | 01/02/2009 | 04/27/2010 | 480       | 0 00   | 222 39    | -235 69    | -13.30    |
| Bank of Hawaii Corp             | 062540109 | 60 000000    | 03/12/2009 | 04/27/2010 | 411       | 0 00   | 3,177 90  | -1,824 86  | 1,353 04  |
| Bank of Hawaii Corp             | 062540109 | 30 000000    | 02/05/2009 | 04/27/2010 | 446       | 0 00   | 1,588 94  | -1,113 29  | 475 65    |
| Bank of Hawaii Corp             | 062540109 | 20 000000    | 01/15/2009 | 04/27/2010 | 467       | 0 00   | 1,059 30  | -745 09    | 314 21    |
| Bank of Hawaii Corp             | 062540109 | 140 000000   | 12/04/2008 | 04/27/2010 | 509       | 0 00   | 7,415 08  | -5,748 20  | 1,666 88  |
| Bank of Hawaii Corp             | 062540109 | 50 000000    | 12/05/2008 | 04/27/2010 | 508       | 0 00   | 2,648 25  | -2,074 41  | 573 84    |
| Bank of Hawaii Corp             | 062540109 | 50 000000    | 12/24/2008 | 04/27/2010 | 489       | 0 00   | 2,648 25  | -2,088 82  | 559 43    |
| Bank of Hawaii Corp             | 062540109 | 20 000000    | 12/09/2008 | 04/27/2010 | 504       | 0 00   | 1,059 30  | -839 56    | 219 74    |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Long-Term

| Description of Security | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|-------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| First Citizens BCSHS    | 31946M103 | 5 000000   | 12/05/2008 | 04/27/2010 | 508       | 0 00   | 1,032 78  | -681 54    | 351 24    |
| First Citizens BCSHS    | 31946M103 | 20 000000  | 12/04/2008 | 04/27/2010 | 509       | 0 00   | 4,131 07  | -2,735 46  | 1,395 61  |
| First Citizens BCSHS    | 31946M103 | 10 000000  | 12/31/2008 | 04/27/2010 | 482       | 0 00   | 2,065 53  | -1,481 64  | 583 89    |
| First Citizens BCSHS    | 31946M103 | 10 000000  | 01/05/2009 | 04/27/2010 | 477       | 0 00   | 2,065 53  | -1,499 26  | 566 27    |
| First Citizens BCSHS    | 31946M103 | 10 000000  | 01/07/2009 | 04/27/2010 | 475       | 0 00   | 2,065 53  | -1,500 40  | 565 13    |
| First Citizens BCSHS    | 31946M103 | 10 000000  | 10/29/2008 | 04/27/2010 | 545       | 0 00   | 2,065 53  | -1,507 71  | 557 82    |
| First Citizens BCSHS    | 31946M103 | 10 000000  | 11/03/2008 | 04/27/2010 | 540       | 0 00   | 2,065 53  | -1,513 30  | 552 23    |
| First Citizens BCSHS    | 31946M103 | 35 000000  | 09/04/2008 | 04/27/2010 | 600       | 0 00   | 7,229 38  | -5,346 61  | 1,882 77  |
| First Citizens BCSHS    | 31946M103 | 10 000000  | 11/04/2008 | 04/27/2010 | 539       | 0 00   | 2,065 53  | -1,536 06  | 529 47    |
| First Citizens BCSHS    | 31946M103 | 15 000000  | 09/16/2008 | 04/27/2010 | 588       | 0 00   | 3,098 31  | -2,363 21  | 735 10    |
| First Citizens BCSHS    | 31946M103 | 10 000000  | 10/15/2008 | 04/27/2010 | 559       | 0 00   | 2,065 53  | -1,622 12  | 443 41    |
| First Citizens BCSHS    | 31946M103 | 10 000000  | 10/16/2008 | 04/27/2010 | 558       | 0 00   | 2,065 53  | -1,632 54  | 432 99    |
| First Citizens BCSHS    | 31946M103 | 10 000000  | 10/06/2008 | 04/27/2010 | 568       | 0 00   | 2,065 53  | -1,692 42  | 373 11    |
| First Citizens BCSHS    | 31946M103 | 10 000000  | 10/03/2008 | 04/27/2010 | 571       | 0 00   | 2,065 53  | -1,757 55  | 307 98    |
| Hilltop Holdings Corp   | 432748101 | 80 000000  | 01/23/2009 | 04/27/2010 | 459       | 0 00   | 955 27    | -754 58    | 200 69    |
| Hilltop Holdings Corp   | 432748101 | 270 000000 | 01/22/2009 | 04/27/2010 | 460       | 0 00   | 3,224 04  | -2,548 34  | 675 70    |
| Hilltop Holdings Corp   | 432748101 | 110 000000 | 01/26/2009 | 04/27/2010 | 456       | 0 00   | 1,313 50  | -1,103 35  | 210 15    |
| Hilltop Holdings Corp   | 432748101 | 210 000000 | 01/30/2009 | 04/27/2010 | 452       | 0 00   | 2,507 59  | -2,107 64  | 399 95    |
| Hilltop Holdings Corp   | 432748101 | 60 000000  | 01/29/2009 | 04/27/2010 | 453       | 0 00   | 716 46    | -602 38    | 114 08    |
| Hilltop Holdings Corp   | 432748101 | 20 000000  | 01/27/2009 | 04/27/2010 | 455       | 0 00   | 238 81    | -200 80    | 38 01     |
| Hilltop Holdings Corp   | 432748101 | 10 000000  | 02/04/2009 | 04/27/2010 | 447       | 0 00   | 119 41    | -101 53    | 17 88     |
| Hilltop Holdings Corp   | 432748101 | 110 000000 | 02/06/2009 | 04/27/2010 | 445       | 0 00   | 1,313 50  | -1,131 55  | 181 95    |
| Hilltop Holdings Corp   | 432748101 | 210 000000 | 02/05/2009 | 04/27/2010 | 446       | 0 00   | 2,507 59  | -2,160 52  | 347 07    |
| Hilltop Holdings Corp   | 432748101 | 90 000000  | 02/17/2009 | 04/27/2010 | 434       | 0 00   | 1,074 68  | -959 83    | 114 85    |
| Hilltop Holdings Corp   | 432748101 | 100 000000 | 03/12/2009 | 04/27/2010 | 411       | 0 00   | 1,194 09  | -1,118 38  | 75 71     |
| Hilltop Holdings Corp   | 432748101 | 210 000000 | 04/15/2009 | 04/27/2010 | 377       | 0 00   | 2,507 59  | -2,356 48  | 151 11    |
| Hilltop Holdings Corp   | 432748101 | 90 000000  | 03/13/2009 | 04/27/2010 | 410       | 0 00   | 1,074 68  | -1,024 23  | 50 45     |
| Hilltop Holdings Corp   | 432748101 | 220 000000 | 04/22/2009 | 04/27/2010 | 370       | 0 00   | 2,626 99  | -2,514 58  | 112 41    |
| Hilltop Holdings Corp   | 432748101 | 220 000000 | 04/21/2009 | 04/27/2010 | 371       | 0 00   | 2,626 99  | -2,514 82  | 112 17    |
| Hilltop Holdings Corp   | 432748101 | 110 000000 | 04/16/2009 | 04/27/2010 | 376       | 0 00   | 1,313 50  | -1,259 53  | 53 97     |
| Hilltop Holdings Corp   | 432748101 | 90 000000  | 04/24/2009 | 04/27/2010 | 368       | 0 00   | 1,074 68  | -1,031 29  | 43 39     |
| Max capital Group LTD   | G6052F103 | 40 000000  | 10/13/2008 | 04/27/2010 | 561       | 0 00   | 921 84    | -736 22    | 185 62    |
| Max capital Group LTD   | G6052F103 | 90 000000  | 10/14/2008 | 04/27/2010 | 560       | 0 00   | 2,074 16  | -1,710 78  | 363 38    |
| Max capital Group LTD   | G6052F103 | 90 000000  | 09/24/2008 | 04/27/2010 | 580       | 0 00   | 2,074 16  | -2,262 24  | -188 08   |
| Max capital Group LTD   | G6052F103 | 260 000000 | 08/05/2008 | 04/27/2010 | 630       | 0 00   | 5,992 02  | -6,564 53  | -572 51   |
| Max capital Group LTD   | G6052F103 | 60 000000  | 08/25/2008 | 04/27/2010 | 610       | 0 00   | 1,382 78  | -1,521 22  | -138 44   |
| Max capital Group LTD   | G6052F103 | 70 000000  | 08/22/2008 | 04/27/2010 | 613       | 0 00   | 1,613 23  | -1,782 14  | -168 91   |
| Max capital Group LTD   | G6052F103 | 180 000000 | 09/04/2008 | 04/27/2010 | 600       | 0 00   | 4,148 32  | -4,687 51  | -539 19   |
| Max capital Group LTD   | G6052F103 | 90 000000  | 08/08/2008 | 04/27/2010 | 627       | 0 00   | 2,074 16  | -2,345 41  | -271 25   |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnolley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| Long-Term                           |           |              |            |            |           |        |           |            |           |
|-------------------------------------|-----------|--------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Description of Security             | Cusip     | Shares/Par   | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
| Max capital Group LTD               | G6052F103 | 50 000000    | 09/03/2008 | 04/27/2010 | 601       | 0 00   | 1,152 31  | -1,314 45  | -162 14   |
| Max capital Group LTD               | G6052F103 | 40 000000    | 08/11/2008 | 04/27/2010 | 624       | 0 00   | 921 84    | -1,053 86  | -132 02   |
| Medallion Financial Corp            | 583928106 | 4,110 000000 | 07/04/2004 | 04/27/2010 | 2,123     | 0 00   | 32,939 45 | -38,533 21 | -5,593 76 |
| Navigators Group Inc                | 638904102 | 80 000000    | 07/30/2008 | 04/27/2010 | 636       | 0 00   | 3,301 65  | -3,749 23  | -447 58   |
| Navigators Group Inc                | 638904102 | 10 000000    | 08/04/2008 | 04/27/2010 | 631       | 0 00   | 412 70    | -478 62    | -65 92    |
| Navigators Group Inc                | 638904102 | 30 000000    | 08/05/2008 | 04/27/2010 | 630       | 0 00   | 1,238 12  | -1,465 37  | -227 25   |
| Navigators Group Inc                | 638904102 | 20 000000    | 05/16/2008 | 04/27/2010 | 711       | 0 00   | 825 42    | -982 88    | -157.46   |
| Navigators Group Inc                | 638904102 | 70 000000    | 08/14/2008 | 04/27/2010 | 621       | 0 00   | 2,888 94  | -3,446 10  | -557 16   |
| Navigators Group Inc                | 638904102 | 10 000000    | 05/20/2008 | 04/27/2010 | 707       | 0 00   | 412 70    | -495 53    | -82.83    |
| Navigators Group Inc                | 638904102 | 80 000000    | 05/19/2008 | 04/27/2010 | 708       | 0 00   | 3,301 65  | -3,978 26  | -676 61   |
| Navigators Group Inc                | 638904102 | 40 000000    | 05/23/2008 | 04/27/2010 | 704       | 0 00   | 1,650 82  | -2,002 26  | -351 44   |
| Navigators Group Inc                | 638904102 | 20 000000    | 05/22/2008 | 04/27/2010 | 705       | 0 00   | 825 42    | -1,002 23  | -176.81   |
| Navigators Group Inc                | 638904102 | 100 000000   | 06/09/2008 | 04/27/2010 | 687       | 0 00   | 4,127 06  | -5,024 00  | -896 94   |
| Navigators Group Inc                | 638904102 | 10 000000    | 06/03/2008 | 04/27/2010 | 693       | 0 00   | 412 70    | -510 04    | -97.34    |
| UMB Finl Corp Com                   | 902788108 | 60 000000    | 10/28/2008 | 04/27/2010 | 546       | 0 00   | 2,595 63  | -2,413 76  | 181 87    |
| UMB Finl Corp Com                   | 902788108 | 40 000000    | 11/13/2008 | 04/27/2010 | 530       | 0 00   | 1,730 41  | -1,662 90  | 67.51     |
| UMB Finl Corp Com                   | 902788108 | 40 000000    | 10/29/2008 | 04/27/2010 | 545       | 0 00   | 1,730 41  | -1,752 58  | -22 17    |
| UMB Finl Corp Com                   | 902788108 | 40 000000    | 12/24/2008 | 04/27/2010 | 489       | 0 00   | 1,730 41  | -1,769 07  | -38 66    |
| UMB Finl Corp Com                   | 902788108 | 50 000000    | 12/04/2008 | 04/27/2010 | 509       | 0 00   | 2,163 02  | -2,239 75  | -76 73    |
| UMB Finl Corp Com                   | 902788108 | 50 000000    | 11/03/2008 | 04/27/2010 | 540       | 0 00   | 2,163 02  | -2,285 99  | -122 97   |
| UMB Finl Corp Com                   | 902788108 | 60 000000    | 12/31/2008 | 04/27/2010 | 482       | 0 00   | 2,595 63  | -2,865 47  | -269 84   |
| UMB Finl Corp Com                   | 902788108 | 30 000000    | 10/13/2008 | 04/27/2010 | 561       | 0 00   | 1,297 81  | -1,477 35  | -179 54   |
| UMB Finl Corp Com                   | 902788108 | 20 000000    | 01/02/2009 | 04/27/2010 | 480       | 0 00   | 865 20    | -987 06    | -121 86   |
| UMB Finl Corp Com                   | 902788108 | 50 000000    | 10/16/2008 | 04/27/2010 | 558       | 0 00   | 2,163 02  | -2,616 43  | -453 41   |
| UMB Finl Corp Com                   | 902788108 | 10 000000    | 10/14/2008 | 04/27/2010 | 560       | 0 00   | 432 60    | -524 09    | -91 49    |
| UMB Finl Corp Com                   | 902788108 | 30 000000    | 09/29/2008 | 04/27/2010 | 575       | 0 00   | 1,297 81  | -1,579 12  | -281 31   |
| UMB Finl Corp Com                   | 902788108 | 60 000000    | 09/30/2008 | 04/27/2010 | 574       | 0 00   | 2,595 63  | -3,206 82  | -611 19   |
| White Mountain Insurance Group, Ltd | G9618E107 | 9 000000     | 03/31/2009 | 04/27/2010 | 392       | 0 00   | 3,190 28  | -1,542 44  | 1,647 84  |
| White Mountain Insurance Group, Ltd | G9618E107 | 14 000000    | 03/25/2009 | 04/27/2010 | 398       | 0 00   | 4,962 64  | -2,500 71  | 2,461.93  |
| White Mountain Insurance Group, Ltd | G9618E107 | 5 000000     | 02/06/2009 | 04/27/2010 | 445       | 0 00   | 1,772 37  | -1,155 25  | 617.12    |
| White Mountain Insurance Group, Ltd | G9618E107 | 8 000000     | 02/05/2009 | 04/27/2010 | 446       | 0 00   | 2,835 80  | -1,854 11  | 981 69    |
| White Mountain Insurance Group, Ltd | G9618E107 | 18 000000    | 12/31/2008 | 04/27/2010 | 482       | 0 00   | 6,380 54  | -4,771 28  | 1,609 26  |
| White Mountain Insurance Group, Ltd | G9618E107 | 5 000000     | 01/15/2009 | 04/27/2010 | 467       | 0 00   | 1,772 37  | -1,379 34  | 393 03    |
| White Mountain Insurance Group, Ltd | G9618E107 | 5 000000     | 01/05/2009 | 04/27/2010 | 477       | 0 00   | 1,772 37  | -1,379 47  | 392 90    |
| Industrias Bachoco                  | 456463108 | 1,720 000000 | 07/04/2004 | 04/27/2010 | 2,123     | 0 00   | 35,924 86 | -24,631 64 | 11,293 22 |
| Ituran Location and Co              | M6158M104 | 20 000000    | 04/10/2008 | 04/27/2010 | 747       | 0 00   | 308 54    | -214 09    | 94 45     |
| Ituran Location and Co              | M6158M104 | 30 000000    | 04/11/2008 | 04/27/2010 | 746       | 0 00   | 462 81    | -323 21    | 139 60    |
| Ituran Location and Co              | M6158M104 | 100 000000   | 04/14/2008 | 04/27/2010 | 743       | 0 00   | 1,542 69  | -1,103 88  | 438 81    |
| Ituran Location and Co              | M6158M104 | 20 000000    | 04/15/2008 | 04/27/2010 | 742       | 0 00   | 308 53    | -224 02    | 84.51     |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Dates Open: 10/22/2007 to Present

Trust Year End: December

Date Printed: 02/23/2011

Admin Officer: Christopher A Nunnelley

Invest Officer: External Manager - River Road As...

Tax State: Kentucky

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Long-Term

| Description of Security   | Cusip     | Shares/Par | Acquired   | Date Sold  | Days Held | Income | Principal | Cost Basis | Gain/Loss |
|---------------------------|-----------|------------|------------|------------|-----------|--------|-----------|------------|-----------|
| Ituran Location and Co    | M6158M104 | 220 000000 | 02/22/2008 | 04/27/2010 | 795       | 0 00   | 3,393 93  | -2,541 00  | 852 93    |
| Ituran Location and Co    | M6158M104 | 20 000000  | 04/17/2008 | 04/27/2010 | 740       | 0 00   | 308 54    | -233 07    | 75 47     |
| Ituran Location and Co    | M6158M104 | 20 000000  | 04/16/2008 | 04/27/2010 | 741       | 0 00   | 308 54    | -234 84    | 73 70     |
| Ituran Location and Co    | M6158M104 | 670 000000 | 07/19/2006 | 04/27/2010 | 1,378     | 0 00   | 10,336 05 | -8,477 34  | 1,858 71  |
| Oppenheimer Holdings Inc  | 683797104 | 790 000000 | 07/04/2004 | 04/27/2010 | 2,123     | 0 00   | 21,895 82 | -16,595 94 | 5,299 88  |
| Oppenheimer Holdings Inc  | 683797104 | 30 000000  | 09/24/2008 | 04/27/2010 | 580       | 0 00   | 831 49    | -757 82    | 73 67     |
| Oppenheimer Holdings Inc  | 683797104 | 110 000000 | 09/25/2008 | 04/27/2010 | 579       | 0 00   | 3,048 79  | -2,792 83  | 255 96    |
| Oppenheimer Holdings Inc  | 683797104 | 10 000000  | 05/23/2008 | 04/27/2010 | 704       | 0 00   | 277 16    | -310 28    | -33 12    |
| Oppenheimer Holdings Inc  | 683797104 | 40 000000  | 05/22/2008 | 04/27/2010 | 705       | 0 00   | 1,108 65  | -1,256 54  | -147 89   |
| Oppenheimer Holdings Inc  | 683797104 | 30 000000  | 05/27/2008 | 04/27/2010 | 700       | 0 00   | 831 49    | -952 64    | -121 15   |
| Oppenheimer Holdings Inc  | 683797104 | 10 000000  | 05/28/2008 | 04/27/2010 | 699       | 0 00   | 277 16    | -328 05    | -50 89    |
| Oppenheimer Holdings Inc  | 683797104 | 20 000000  | 01/24/2008 | 04/27/2010 | 824       | 0 00   | 554 32    | -748 39    | -194 07   |
| Oppenheimer Holdings Inc  | 683797104 | 20 000000  | 01/25/2008 | 04/27/2010 | 823       | 0 00   | 554 32    | -763 66    | -209 34   |
| Oppenheimer Holdings Inc  | 683797104 | 20 000000  | 01/28/2008 | 04/27/2010 | 820       | 0 00   | 554 32    | -776 06    | -221 74   |
| Oppenheimer Holdings Inc  | 683797104 | 40 000000  | 01/29/2008 | 04/27/2010 | 819       | 0 00   | 1,108 65  | -1,558 27  | -449 62   |
| Oppenheimer Holdings Inc  | 683797104 | 30 000000  | 01/17/2008 | 04/27/2010 | 831       | 0 00   | 831 49    | -1,172 14  | -340 65   |
| Oppenheimer Holdings Inc  | 683797104 | 10 000000  | 01/16/2008 | 04/27/2010 | 832       | 0 00   | 277 16    | -392 80    | -115 64   |
| Oppenheimer Holdings Inc  | 683797104 | 40 000000  | 01/30/2008 | 04/27/2010 | 818       | 0 00   | 1,108 65  | -1,717 06  | -608 41   |
| Chindex International Inc | 169467107 | 180 000000 | 09/09/2008 | 04/27/2010 | 595       | 0 00   | 2,381 58  | -1,887 53  | 494 05    |
| Chindex International Inc | 169467107 | 90 000000  | 09/11/2008 | 04/27/2010 | 593       | 0 00   | 1,190 79  | -945 91    | 244 88    |
| Chindex International Inc | 169467107 | 200 000000 | 09/08/2008 | 04/27/2010 | 596       | 0 00   | 2,646 19  | -2,119 32  | 526 87    |
| Chindex International Inc | 169467107 | 140 000000 | 09/15/2008 | 04/27/2010 | 589       | 0 00   | 1,852 34  | -1,489 14  | 363 20    |
| Chindex International Inc | 169467107 | 30 000000  | 09/12/2008 | 04/27/2010 | 592       | 0 00   | 396 92    | -333 12    | 63 80     |
| Chindex International Inc | 169467107 | 90 000000  | 08/21/2008 | 04/27/2010 | 614       | 0 00   | 1,190 79  | -1,045 83  | 144 96    |
| Chindex International Inc | 169467107 | 70 000000  | 08/22/2008 | 04/27/2010 | 613       | 0 00   | 926 16    | -820 67    | 105 49    |
| Chindex International Inc | 169467107 | 130 000000 | 08/20/2008 | 04/27/2010 | 615       | 0 00   | 1,720 03  | -1,529 67  | 190 36    |
| Chindex International Inc | 169467107 | 120 000000 | 08/25/2008 | 04/27/2010 | 610       | 0 00   | 1,587 71  | -1,433 36  | 154 35    |
| Chindex International Inc | 169467107 | 48 000000  | 08/26/2008 | 04/27/2010 | 609       | 0 00   | 635 09    | -581 78    | 53 31     |
| Chindex International Inc | 169467107 | 160 000000 | 08/14/2008 | 04/27/2010 | 621       | 0 00   | 2,116 95  | -2,151 79  | -34 84    |
| Chindex International Inc | 169467107 | 140 000000 | 08/15/2008 | 04/27/2010 | 620       | 0 00   | 1,852 34  | -1,893 05  | -40 71    |
| Chindex International Inc | 169467107 | 100 000000 | 08/05/2008 | 04/27/2010 | 630       | 0 00   | 1,323 10  | -1,486 07  | -162 97   |
| Chindex International Inc | 169467107 | 90 000000  | 08/06/2008 | 04/27/2010 | 629       | 0 00   | 1,190 79  | -1,355 57  | -164 78   |
| Chindex International Inc | 169467107 | 110 000000 | 08/04/2008 | 04/27/2010 | 631       | 0 00   | 1,455 41  | -1,672 35  | -216 94   |
| Martek Biosciences        | 572901106 | 160 000000 | 03/25/2009 | 04/27/2010 | 398       | 0 00   | 3,606 87  | -2,804 63  | 802 24    |
| Martek Biosciences        | 572901106 | 70 000000  | 04/24/2009 | 04/27/2010 | 368       | 0 00   | 1,578 00  | -1,236 65  | 341 35    |
| Martek Biosciences        | 572901106 | 70 000000  | 04/16/2009 | 04/27/2010 | 376       | 0 00   | 1,578 00  | -1,293 86  | 284 14    |
| Martek Biosciences        | 572901106 | 70 000000  | 04/15/2009 | 04/27/2010 | 377       | 0 00   | 1,578 00  | -1,309 95  | 268 05    |
| Martek Biosciences        | 572901106 | 100 000000 | 03/26/2009 | 04/27/2010 | 397       | 0 00   | 2,254 29  | -1,945 03  | 309 26    |
| Martek Biosciences        | 572901106 | 30 000000  | 03/27/2009 | 04/27/2010 | 396       | 0 00   | 676 29    | -587 53    | 88 76     |

# The Norton Foundation, Inc. (River Road Asset Management).

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnelley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

#### Long-Term

| Description of Security  | Cusip     | Shares/Par   | Acquired   | Date Sold  | Days Held | Income | Principal  | Cost Basis | Gain/Loss |
|--------------------------|-----------|--------------|------------|------------|-----------|--------|------------|------------|-----------|
| Steris Corp Com          | 859152100 | 100 000000   | 03/10/2009 | 04/27/2010 | 413       | 0 00   | 3,467 11   | -1,999 06  | 1,468 05  |
| Steris Corp Com          | 859152100 | 80 000000    | 03/12/2009 | 04/27/2010 | 411       | 0 00   | 2,773 69   | -1,656 38  | 1,117 31  |
| Steris Corp Com          | 859152100 | 90 000000    | 03/04/2009 | 04/27/2010 | 419       | 0 00   | 3,120 40   | -1,903 34  | 1,217 06  |
| Steris Corp Com          | 859152100 | 250 000000   | 03/25/2009 | 04/27/2010 | 398       | 0 00   | 8,667 78   | -5,636 17  | 3,031 61  |
| Steris Corp Com          | 859152100 | 60 000000    | 04/24/2009 | 04/27/2010 | 368       | 0 00   | 2,080 27   | -1,374 34  | 705 93    |
| Steris Corp Com          | 859152100 | 130 000000   | 04/07/2009 | 04/27/2010 | 385       | 0 00   | 4,507 24   | -3,142 88  | 1,364 36  |
| Air Methods Corp         | 009128307 | 110 000000   | 04/20/2009 | 04/27/2010 | 372       | 0 00   | 3,473 19   | -2,122 76  | 1,350 43  |
| Air Methods Corp         | 009128307 | 10 000000    | 04/17/2009 | 04/27/2010 | 375       | 0 00   | 315 75     | -195 13    | 120 62    |
| Air Methods Corp         | 009128307 | 110 000000   | 04/23/2009 | 04/27/2010 | 369       | 0 00   | 3,473 19   | -2,442 52  | 1,030 67  |
| Air Methods Corp         | 009128307 | 110 000000   | 04/24/2009 | 04/27/2010 | 368       | 0 00   | 3,473 19   | -2,522 17  | 951 02    |
| Amerco common            | 023586100 | 690 000000   | 03/23/2006 | 04/27/2010 | 1,496     | 0 00   | 43,191 95  | -48,193 40 | -5,001 45 |
| Aptar Group Inc          | 038336103 | 3,120 000000 | 04/10/2006 | 04/27/2010 | 1,478     | 0 00   | 134,368 32 | -84,184 60 | 50,183 72 |
| Bristow Group, Inc       | 110394103 | 50 000000    | 08/13/2008 | 04/27/2010 | 622       | 0 00   | 1,908 97   | -1,878 50  | 30 47     |
| Bristow Group, Inc       | 110394103 | 90 000000    | 08/11/2008 | 04/27/2010 | 624       | 0 00   | 3,436 14   | -3,390 26  | 45 88     |
| Bristow Group, Inc       | 110394103 | 100 000000   | 08/20/2008 | 04/27/2010 | 615       | 0 00   | 3,817 93   | -3,791 32  | 26 61     |
| Bristow Group, Inc       | 110394103 | 80 000000    | 08/08/2008 | 04/27/2010 | 627       | 0 00   | 3,054 35   | -3,039 05  | 15 30     |
| Bristow Group, Inc       | 110394103 | 50 000000    | 08/21/2008 | 04/27/2010 | 614       | 0 00   | 1,908 97   | -1,957 37  | -48 40    |
| Bristow Group, Inc       | 110394103 | 10 000000    | 08/22/2008 | 04/27/2010 | 613       | 0 00   | 381 79     | -395 84    | -14 05    |
| FirstService Inc         | 33761N109 | 60 000000    | 01/25/2008 | 04/27/2010 | 823       | 0 00   | 1,458 62   | -1,289 05  | 169 57    |
| FirstService Inc         | 33761N109 | 20 000000    | 02/12/2008 | 04/27/2010 | 805       | 0 00   | 486 20     | -432 96    | 53 24     |
| FirstService Inc         | 33761N109 | 870 000000   | 01/05/2007 | 04/27/2010 | 1,208     | 0 00   | 21,149 85  | -18,909 42 | 2,240 43  |
| Korn/Ferry International | 500643200 | 90 000000    | 02/05/2008 | 04/27/2010 | 812       | 0 00   | 1,592 30   | -1,413 04  | 179 26    |
| Korn/Ferry International | 500643200 | 80 000000    | 02/04/2008 | 04/27/2010 | 813       | 0 00   | 1,415 39   | -1,277 13  | 138 26    |
| Korn/Ferry International | 500643200 | 60 000000    | 06/16/2008 | 04/27/2010 | 680       | 0 00   | 1,061 54   | -958 33    | 103 21    |
| Korn/Ferry International | 500643200 | 140 000000   | 02/01/2008 | 04/27/2010 | 816       | 0 00   | 2,476 92   | -2,236 35  | 240 57    |
| Korn/Ferry International | 500643200 | 390 000000   | 01/31/2008 | 04/27/2010 | 817       | 0 00   | 6,900 00   | -6,241 56  | 658 44    |
| WCA Waste Corp           | 92926K103 | 1,740 000000 | 07/04/2004 | 04/27/2010 | 2,123     | 0 00   | 8,409 44   | -11,958 08 | -3,548 64 |
| Computer Svcs Inc        | 20539A105 | 10 000000    | 12/27/2007 | 04/27/2010 | 852       | 0 00   | 399 80     | -331 40    | 68 40     |
| Computer Svcs Inc        | 20539A105 | 30 000000    | 04/18/2008 | 04/27/2010 | 739       | 0 00   | 1,199 38   | -1,000 87  | 198 51    |
| Computer Svcs Inc        | 20539A105 | 10 000000    | 05/19/2008 | 04/27/2010 | 708       | 0 00   | 399 79     | -339 05    | 60 74     |
| Computer Svcs Inc        | 20539A105 | 270 000000   | 04/02/2006 | 04/27/2010 | 1,486     | 0 00   | 10,794 42  | -9,242 08  | 1,552 34  |
| Computer Svcs Inc        | 20539A105 | 10 000000    | 05/20/2008 | 04/27/2010 | 707       | 0 00   | 399 79     | -342 90    | 56 89     |
| Computer Svcs Inc        | 20539A105 | 10 000000    | 05/05/2008 | 04/27/2010 | 722       | 0 00   | 399 79     | -357 70    | 42 09     |
| Computer Svcs Inc        | 20539A105 | 10 000000    | 05/29/2008 | 04/27/2010 | 698       | 0 00   | 399 79     | -359 20    | 40.59     |
| Ingram Micro Inc         | 457153104 | 90 000000    | 04/16/2009 | 04/27/2010 | 376       | 0 00   | 1,672 92   | -1,233 14  | 439 78    |
| Ingram Micro Inc         | 457153104 | 230 000000   | 09/24/2008 | 04/27/2010 | 580       | 0 00   | 4,275 24   | -3,866 00  | 409 24    |
| Ingram Micro Inc         | 457153104 | 150 000000   | 05/22/2008 | 04/27/2010 | 705       | 0 00   | 2,788 19   | -2,620 39  | 167 80    |
| Ingram Micro Inc         | 457153104 | 1,330 000000 | 07/04/2004 | 04/27/2010 | 2,123     | 0 00   | 24,722 02  | -23,855 13 | 866.89    |
| Glatfelter               | 377316104 | 2,850 000000 | 10/11/2006 | 04/27/2010 | 1,294     | 0 00   | 42,664 34  | -41,586 41 | 1,077 93  |

# The Norton Foundation, Inc. (River Road Asset Management)

Account #: 1620655

Tax Worksheet: From 1/1/2010 to 12/31/2010

Trust Category: Cust. Safekeeping

Admin Officer: Christopher A Nunnolley

Dates Open: 10/22/2007 to Present

Invest Officer: External Manager - River Road As...

Trust Year End: December

Tax State: Kentucky

Date Printed: 02/23/2011

Tax ID: 61-6024040

## Capital Gains and Losses

### Individual Transactions

| <u>Long-Term</u>              |           |              |            |            |           |        |              |               |            |  |
|-------------------------------|-----------|--------------|------------|------------|-----------|--------|--------------|---------------|------------|--|
| Description of Security       | Cusip     | Shares/Par   | Acquired   | Date Sold  | Days Held | Income | Principal    | Cost Basis    | Gain/Loss  |  |
| Eastern American Natural Gas  | 276217106 | 140 000000   | 09/19/2006 | 04/27/2010 | 1,316     | 0 00   | 3,131 45     | -3,621 70     | -490 25    |  |
| SJW Corp Common               | 784305104 | 60 000000    | 09/24/2008 | 04/27/2010 | 580       | 0 00   | 1,629 13     | -1,720 03     | -90 90     |  |
| SJW Corp Common               | 784305104 | 30 000000    | 09/26/2008 | 04/27/2010 | 578       | 0 00   | 814 57       | -868 81       | -54 24     |  |
| SJW Corp Common               | 784305104 | 40 000000    | 09/25/2008 | 04/27/2010 | 579       | 0 00   | 1,086 08     | -1,167 06     | -80 98     |  |
| SJW Corp Common               | 784305104 | 70 000000    | 03/03/2008 | 04/27/2010 | 785       | 0 00   | 1,900 65     | -2,046 69     | -146 04    |  |
| SJW Corp Common               | 784305104 | 120 000000   | 03/04/2008 | 04/27/2010 | 784       | 0 00   | 3,258 25     | -3,679 21     | -420 96    |  |
| SJW Corp Common               | 784305104 | 1,220 000000 | 05/17/2005 | 04/27/2010 | 1,806     | 0 00   | 33,125 61    | -38,372 58    | -5,246 97  |  |
| Gyrodyne Co of America Inc    | 403820103 | 250 000000   | 07/04/2004 | 04/28/2010 | 2,124     | 0 00   | 10,010 33    | -10,788 88    | -778 55    |  |
| Long-Term Total               |           |              |            |            |           | 0 00   | 2,155,955 55 | -1,737,598 95 | 418,356 60 |  |
| Individual Transactions Total |           |              |            |            |           | 0.00   | 3,818,785 67 | -3,199,416 00 | 619,369 67 |  |

# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

|                                                               |                                                                                         |                                |
|---------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------|
| Type or print                                                 | Name of exempt organization                                                             | Employer identification number |
|                                                               | THE NORTON FOUNDATION, INC.                                                             | 61-6024040                     |
| File by the due date for filing your return. See instructions | Number, street, and room or suite no. If a P.O. box, see instructions                   |                                |
|                                                               | 4350 BROWNSBORO ROAD, NO. 133                                                           |                                |
|                                                               | City, town or post office, state, and ZIP code. For a foreign address, see instructions |                                |
|                                                               | LOUISVILLE, KY 40207                                                                    |                                |

Enter the Return code for the return that this application is for (file a separate application for each return)

04

| Application Is For                       | Return Code | Application Is For       | Return Code |
|------------------------------------------|-------------|--------------------------|-------------|
| Form 990                                 | 01          | Form 990-T (corporation) | 07          |
| Form 990-BL                              | 02          | Form 1041-A              | 08          |
| Form 990-EZ                              | 03          | Form 4720                | 09          |
| Form 990-PF                              | 04          | Form 5227                | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                | 12          |

LUCY CRAWFORD, EXECUTIVE DIRECTOR

- The books are in the care of ► 4350 BROWNSBORO ROAD, SUITE 133 - LOUISVILLE, KY 40207  
Telephone No. ► (502) 893-9549 FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2011, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
► ☒ calendar year 2010 or  
► ☐ tax year beginning , and ending

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return  
☐ Change in accounting period

|                                                                                                                                                                                      |    |    |         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----|---------|
| 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                  | 3a | \$ | 14,518. |
| b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit | 3b | \$ | 2,518.  |
| c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions      | 3c | \$ | 12,000. |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2011)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

|                                                                                                               |                                                                                                                  |                                |
|---------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|--------------------------------|
| Type or<br>print<br><br>File by the<br>extended<br>due date for<br>filing your<br>return. See<br>instructions | Name of exempt organization                                                                                      | Employer identification number |
|                                                                                                               | THE NORTON FOUNDATION, INC.                                                                                      | 61-6024040                     |
|                                                                                                               | Number, street, and room or suite no. If a P.O. box, see instructions<br>4350 BROWNSBORO ROAD, NO. 133           |                                |
|                                                                                                               | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>LOUISVILLE, KY 40207 |                                |

Enter the Return code for the return that this application is for (file a separate application for each return)

04

| Application<br>Is For                    | Return<br>Code | Application<br>Is For | Return<br>Code |
|------------------------------------------|----------------|-----------------------|----------------|
| Form 990                                 | 01             |                       |                |
| Form 990-BL                              | 02             | Form 1041-A           | 08             |
| Form 990-EZ                              | 03             | Form 4720             | 09             |
| Form 990-PF                              | 04             | Form 5227             | 10             |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05             | Form 6069             | 11             |
| Form 990-T (trust other than above)      | 06             | Form 8870             | 12             |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

LUCY CRAWFORD, EXECUTIVE DIRECTOR

• The books are in the care of ☒ 4350 BROWNSBORO ROAD, SUITE 133 - LOUISVILLE, KY 40207

Telephone No ☒ (502) 893-9549

FAX No. ☐

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2011.

5 For calendar year 2010, or other tax year beginning                     , and ending                     

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

7 State in detail why you need the extension

**ADDITIONAL TIME IS NEEDED TO GATHER INFORMATION TO PREPARE A COMPLETE AND ACCURATE RETURN.**

|                                                                                                                                                                                                                                           |           |    |         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----|---------|
| <b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                                | <b>8a</b> | \$ | 14,518. |
| <b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 | <b>8b</b> | \$ | 14,518. |
| <b>c</b> <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions                                                    | <b>8c</b> | \$ | 0.      |

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☒ Karen Miles Title ☒ CPA

Date ☒ 8-10-11