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Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation ALLAN B. COPLEY CHART. FOUNDATION		A Employer identification number 61-1458325
Number and street (or P O box number if mail is not delivered to street address) 1015 S HIGBY	Room/suite	B Telephone number (see the instructions) 517-784-0610
City or town, state, and ZIP code JACKSON MI 49203		C If exemption application is pending, check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,527,692.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), & (d) may not necessarily equal the amounts in column (a) (see instr.))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,400.			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temp. cash investments				
	4 Dividends and interest from securities	43,155.	43,056.		
	5a Gross rents				
	b (Net rental income or (loss))				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		65,516.		
	8 Net short-term capital gain			46,700.	
	9 Income modifications				
	10a Gross sales less rtns. & allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	45,555.	108,572.	46,700.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	10,000.			10,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	21,867.	21,867.		
	17 Interest	1,217.	1,217.		
	18 Taxes (attach schedule) (see instructions)	642.	642.		
	19 Depreciation (attach sch.) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	423.	423.		
	24 Total operating and administrative expenses. Add lines 13 through 23	34,149.	24,149.		10,000.
	25 Contributions, gifts, grants paid	79,500.			79,500.
26 Total exp. & disbursements. Add lines 24 and 25	113,649.	24,149.		89,500.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	(68,094.)				
b Net investment income (if neg., enter -0-)		84,423.			
c Adjusted net income (if neg., enter -0-)			46,700.		

For Paperwork Reduction Act Notice, see the instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See inst.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing			2,331.	2,331.
	2	Savings and temporary cash investments	176,975.	107,619.		107,619.
	3	Accounts receivable ▶				
		Less allowance for doubtful accts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state govt obligations (attach schedule)	101,481.	137,140.		141,941.
	b	Investments - corporate stock (attach schedule) ..	960,021.	1,010,626.		1,180,237.
	c	Investments - corporate bonds (attach schedule) ..	114,876.	92,106.		95,564.
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,353,353.	1,349,822.		1,527,692.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable		5,000.		
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule) ...				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		5,000.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, ck. here ☒ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	1,353,353.	1,349,822.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund ..				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see the instructions)	1,353,353.	1,349,822.			
31	Total liabilities and net assets/fund balances (see the instructions)	1,353,353.	1,354,822.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,353,353.
2	Enter amount from Part I, line 27a	2	(68,094.)
3	Other increases not included in line 2 (itemize) ▶ GAINS	3	69,563.
4	Add lines 1, 2, and 3	4	1,354,822.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,354,822.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SHORT TERM - SEE ATTACHED	P	VA/RI/OUS	
b LONG TERM - SEE ATTACHED	P	VA/RI/OUS	
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 550,064.		503,364.	46,700.
b 233,928.		215,112.	18,816.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			46,700.
b			18,816.
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	65,516.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8		3	46,700.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beg in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	80,712.	1,326,687.	0.0608
2008	74,865.	1,372,276.	0.0546
2007	79,150.	1,325,657.	0.0597
2006	29,300.	422,741.	0.0693
2005	14,000.	259,960.	0.0539

2 Total of line 1, column (d)	2	0.2983
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0597
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	1,472,961.
5 Multiply line 4 by line 3	5	87,936.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	844.
7 Add lines 5 and 6	7	88,780.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	89,500.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ & enter "N/A" on line 1. ... Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	844.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3 Add lines 1 and 2	3	844.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	844.
6 Credits/Payments		
a 2010 estimated tax payments and 2009 overpayment credited to 2010... 6a		
b Exempt foreign organizations - tax withheld at source. 6b		
c Tax paid with application for extension of time to file (Form 8868) 6c		
d Backup withholding erroneously withheld 6d		
7 Total credits and payments. Add lines 6a through 6d	7	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	844.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ▶ MI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV in instructions)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes" attach schedule (see instructions) If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13		X
14	The books are in care of ▶ KATHLEEN OCONNELL Located at ▶ 1015 S HIGBY MI JACKSON	Telephone no ▶ 517-784-0610 ZIP+4 ▶ 49203		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ▶ 20 __, 20 __, 20 __, 20 __		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see the instructions)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 __, 20 __, 20 __, 20 __		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see the instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred comp	(e) Expense account, other allowances
KATHLEEN M OCONNELL 1015 S HIGBY	CO-TRUSTEE 2	10,000.		
EILEEN IDZIAK 711 CEDAR	CO-TRUSTEE 1	0		

2 Compensation of five highest-paid employees (other than those included on line 1 - see the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical info such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3	
Total Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	
a	Average monthly fair market value of securities	1a 1,351,929.
b	Average of monthly cash balances	1b 143,463.
c	Fair market value of all other assets (see the instructions)	1c
d	Total (add lines 1a, b, and c)	1d 1,495,392.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2	Acquisition indebtedness applicable to line 1 assets	2
3	Subtract line 2 from line 1d	3 1,495,392.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see the instructions)	4 22,431.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 1,472,961.
6	Minimum investment return. Enter 5% of line 5	6 73,648.

Part XI Distributable Amount (see the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 73,648.
2a	Tax on investment income for 2010 from Part VI, line 5	2a 844.
2b	Income tax for 2010 (This does not include the tax from Part VI)	2b
2c	Add lines 2a and 2b	2c 844.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 72,804.
4	Recoveries of amounts treated as qualifying distributions	4
5	Add lines 3 and 4	5 72,804.
6	Deduction from distributable amount (see the instructions)	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 72,804.

Part XII Qualifying Distributions (see the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	
a	Expenses, contributions, gifts, etc.-total from Part I, column (d), line 26	1a 89,500.
b	Program-related investments-total from Part IX-B	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 89,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see the instructions)	5 844.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 88,656.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				72,804.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only				
b Total for prior yrs. 20__, 20__, 20__				
3 Excess distrib. carryover, if any, to 2010				
a From 2005 .. 710.				
b From 2006 .. 8,163.				
c From 2007 .. 12,867.				
d From 2008 .. 6,251.				
e From 2009 .. 14,378.				
f Total of lines 3a through e	42,369.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 89,500.				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see the instr.)				
c Treated as distributions out of corpus (Election required - see the instructions)				
d Applied to 2010 distributable amount				72,804.
e Remaining amt. distributed out of corpus	16,696.			
5 Excess distrib. carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in col. (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, & 4e. Subtract line 5	59,065.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see the instructions				
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see the instructions				
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see the instructions)	710.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	58,355.			
10 Analysis of line 9:				
a Excess from 2006 .. 8,163.				
b Excess from 2007 .. 12,867.				
c Excess from 2008 .. 6,251.				
d Excess from 2009 .. 14,378.				
e Excess from 2010 .. 16,696.				

Part XIV Private Operating Foundations (see the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling. ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2010

(b) 2009

(c) 2008

(d) 2007

(e) Total

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying

under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

MS KATHLEEN M OCONNELL 1015 S HIGBY JACKSON MI 49203-2830

b The form in which applications should be submitted and information and materials they should include

INFORMATION ABOUT REQUESTING ORGANIZATION AND AMOUNT OF GRANT REQUEST

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GENERALLY IN THE SOUTHERN MICHIGAN AREA

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED				74,500.
Total			3a	74,500.
b Approved for future payment JACKSON SYMPHONY ORCHESTRA				5,000.
Total			3b	5,000.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE				74,500.
Total			▶ 3a	74,500.
b Approved for future payment JACKSON				5,000.
Total			▶ 3b	5,000.

PART XV

3. GRANTS AND CONTRIBUTIONS PAID DURING YEAR

a. PAID DURING THE YEAR

LUMEN CHRISTI HIGH SCHOOL JACKSON, MI	\$5,500
NORTHWEST COMMUNITY SCHOOLS JACKSON, MI	\$8,000
DECATUR LIBRARY DECATUR, MI	\$5,000
THE LINGAP CENTER JACKSON, MI	\$5,000
FOOD BANK OF SOUTH CENTRAL MICHIGAN BATTLE CREEK, MI	\$5,000
JOHN GEORGE HOME JACKSON, MI	\$5,000
OPERATION SMILE NORFOLK, VA	\$2,000
TRAVERSE HEALTH CLINIC TRAVERSE CITY, MI	\$5,000
NORTHWEST MICHIGAN HEALTH SERVICES TRAVERSE CITY, MI	\$5,000
CHILD & FAMILY SERVICE OF NORTHWEST MICHIGAN TRAVERSE CITY, MI	\$5,000
CARRITHERS MIDDLE SCHOOL LOUISVILLE, KY	\$2,000
HOMESTRETCH FALLS CHURCH, VA	\$5,000

DOCTORS WITHOUT BORDERS NEW YORK, NY	\$5,000
JACKSON KIDS.ORG JACKSON, MI	\$5,000
JACKSON INTERFAITH SHELTER JACKSON, MI	\$2,000
AWARE SHELTER JACKSON, MI	\$2,000
JOEY'S JUNCTION	\$3,000
TOTAL	\$74,500

Detail Sheet

2010

Name: ALLAN B. COPLEY CHART. FOUNDATION

ID: 61-1458325

Description: PART I, LINE 23 OTHER EXPENSES

[illegible]

Detail Sheet

2010

Name: ALLAN B. COPLEY CHART. FOUNDATION

ID: 61-1458325

Description: PART I, LINE 16C-OTHER PROFESSIONAL FEES

[illegible]

Detail Sheet

2010

Name: ALLAN B. COPLEY CHART. FOUNDATION

ID: 61-1458325

Description: PART I, LINE 18, TAXES

[illegible]

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Additional Summary Information 2010

12-B1

Rebate Payments

The following details show how we derived 12-B1 rebate payments

Reference number	Description	Amount	Federal Income tax withheld	NRA tax withheld
245000100	RYDEX SGI MANAGED FUTURES STRATEGY FUND CLASS H	\$ 65.22		
Totals		\$ 65.22		

Details of Short Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000010	28	ACCENTURE PLC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	01/12/10	\$ 1,201.29	\$ 1,021.15 \$ 1,021.15	\$ 180.14 \$ 180.14	\$ 0.00 \$ 0.00
125000020	1	BUNGE LIMITED MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	07/23/10	54.96	64.76 64.76	(9.80) (9.80)	0.00 0.00
125000040	4	CREDICORP LTD -USD MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	06/04/10	356.51	286.16 286.16	70.35 70.35	0.00 0.00
125000050	1	CREDICORP LTD -USD MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	07/23/10	96.73	71.54 71.54	25.19 25.19	0.00 0.00
125000070	6	COVIDIEN PLC DUBLIN-USD MorganStanley SmithBarney LLC acted as your agent in this transaction	01/25/10	06/04/10	252.33	307.16 307.16	(54.83) (54.83)	0.00 0.00



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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000080	8	COVIDIEN PLC DUBLIN-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/25/10	07/23/10	\$ 312.41	\$ 409.54 \$ 409.54	(\$ 97.13) (\$ 97.13)	\$ 0.00 \$ 0.00
125000090	5	COVIDIEN PLC DUBLIN-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/25/10	07/29/10	185.74	255.97 255.97	(70.23) (70.23)	0.00 0.00
	3	acted as your agent in this transaction.	01/29/10		111.45	152.04 152.04	(40.59) (40.59)	0.00 0.00
125000130	22	CHECK POINT SOFTWARE TECH MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/12/10	764.09	611.82 611.82	152.27 152.27	0.00 0.00
125000140	7	CHECK POINT SOFTWARE TECH MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	214.48	194.67 194.67	19.81 19.81	0.00 0.00
125000150	5	QIAGEN-EUR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	96.32	105.05 105.05	(8.73) (8.73)	0.00 0.00
125000170	3	COPA HOLDINGS SA CL A USD MorganStanley SmithBarney LLC acted as your agent	03/22/10	06/04/10	148.79	170.40 170.40	(21.61) (21.61)	0.00 0.00
125000180	1	COPA HOLDINGS SA CL A USD MorganStanley SmithBarney LLC acted as your agent	03/22/10	07/23/10	49.99	56.80 56.80	(6.81) (6.81)	0.00 0.00
125000190	1	COPA HOLDINGS SA CL A USD MorganStanley SmithBarney LLC acted as your agent	03/22/10	11/19/10	55.31	56.80 56.80	(1.49) (1.49)	0.00 0.00
125000210	14	AT&T INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/12/09	06/04/10	339.35	348.41 348.41	(9.06) (9.06)	0.00 0.00

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MorganStanley SmithBarney

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000270	6	ADOBE SYSTEMS INC (DE) MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/06/10	\$ 224.22	\$ 189.54 \$ 189.54	\$ 34.68 \$ 34.68	\$ 0.00 \$ 0.00
125000280	24	ADOBE SYSTEMS INC (DE) MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/08/10	879.78	758.15 758.15	121.63 121.63	0.00 0.00
125000290	6	ADOBE SYSTEMS INC (DE) MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	192.89	189.54 189.54	3.35 3.35	0.00 0.00
125000300	190	ADVANCED INFO SERVICES PUB CO LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	427.49	503.50 503.50	(76.01) (76.01)	0.00 0.00
125000310	29	ADVANCED INFO SERVICES PUB CO LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	72.49	76.85 76.85	(4.36) (4.36)	0.00 0.00
125000330	3	AETNA INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/24/10	06/04/10	92.00	104.27 104.27	(12.27) (12.27)	0.00 0.00
125000340	26	AETNA INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/24/10	06/14/10	766.09	903.71 903.71	(137.62) (137.62)	0.00 0.00
125000350	.5432	AIR LIQUIDE ADR CASH IN LIEU OF 86653	08/19/09	07/02/10	11.43	10.57 10.57	.86 .86	0.00 0.00
	.009	RECORD 06/10/10 PAY 07/02/10	08/21/09		.19	.18 18	.01 .01	0.00 0.00
	3143		06/04/10		6.62	6.01 6.01	.61 .61	0.00 0.00
125000360	22	AIR LIQUIDE ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	493.50	427.97 427.97	65.53 65.53	0.00 0.00

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MorganStanley SmithBarney

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

2 0 1 0 Y E A R E N D S U M M A R Y									
Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)	
125000380	4288	AKBANK TURK ANONIM Sirketi-ADR	08/19/09	04/29/10	\$ 3.83	\$ 4.96	(\$ 1.13)	\$ 0.00	
	0722	CASH IN LIEU OF 66666 RECORD 04/23/10 PAY 04/29/10	08/21/09		65	86	(.21)	0.00	
						86	(.21)	0.00	
125000390	19	AKBANK TURK ANONIM Sirketi-ADR	08/19/09	06/04/10	187.15	219.55	(32.40)	0.00	
		MorganStanley SmithBarney LLC acted as your agent				219.55	(32.40)	0.00	
125000400	4	AKBANK TURK ANONIM Sirketi-ADR	08/19/09	07/23/10	44.59	46.22	(1.63)	0.00	
		MorganStanley SmithBarney LLC acted as your agent				46.22	(1.63)	0.00	
125000420	5	ALLEGHENY TECHNOLOGIES INC MorganStanley SmithBarney LLC	05/04/10	06/04/10	249.13	259.69	(10.56)	0.00	
		acted as your agent in this transaction				259.69	(10.56)	0.00	
125000430	1	ALLERGAN INC MorganStanley SmithBarney LLC	10/30/09	06/04/10	58.86	56.25	2.61	0.00	
		acted as your agent in this transaction				56.25	2.61	0.00	
125000440	5	ALLERGAN INC MorganStanley SmithBarney LLC	10/30/09	07/29/10	302.66	281.23	21.43	0.00	
		acted as your agent in this transaction				281.23	21.43	0.00	
125000460	9	ALLSTATE CORP MorganStanley SmithBarney LLC	08/19/09	04/21/10	317.35	246.33	71.02	0.00	
		acted as your agent in this transaction				246.33	71.02	0.00	
125000470	5	ALLSTATE CORP MorganStanley SmithBarney LLC	08/19/09	06/04/10	147.39	136.85	10.54	0.00	
		acted as your agent in this transaction				136.85	10.54	0.00	
125000480	5	ALLSTATE CORP MorganStanley SmithBarney LLC	08/19/09	07/23/10	140.06	136.85	3.21	0.00	
		acted as your agent in this transaction				136.85	3.21	0.00	



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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000490	17	ALUMINUM CORP OF CHINA ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	\$ 329.34	\$ 475.11 \$ 475.11	(\$ 145.77) (\$ 145.77)	\$ 0.00 \$ 0.00
125000500	4	ALUMINUM CORP OF CHINA ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	85.31	111.79 111.79	(26.48) (26.48)	0.00 0.00
125000540	2	AMERICA MOVIL S.A B DE CV SER L SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	101.12	90.51 90.51	10.61 10.61	0.00 0.00
125000560	5	AMERICAN ELECTRIC POWER CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/27/10	06/04/10	157.59	159.31 159.31	(1.72) (1.72)	0.00 0.00
125000570	10	AMERICAN ELECTRIC POWER CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/27/10	07/23/10	356.40	318.61 318.61	37.79 37.79	0.00 0.00
125000590	4	AMERICAN TOWER CORP-CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/14/10	06/04/10	168.09	166.99 166.99	1.10 1.10	0.00 0.00
125000620	32	ANALOG DEVICES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/25/09	01/29/10	887.99	908.02 908.02	(20.03) (20.03)	0.00 0.00
125000630	1	APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	90.06	86.88 86.88	3.18 3.18	0.00 0.00
125000640	3	APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	268.55	260.64 260.64	7.91 7.91	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000650	4	APPLE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	\$ 1,037.35	\$ 656.20 \$ 656.20	\$ 381.15 \$ 381.15	\$ 0.00 \$ 0.00
125000660	3	ARCHER-DANIELS-MIDLAND CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/30/10	07/23/10	82.78	77.81 77.81	4.97 4.97	0.00 0.00
125000670	1	ARCHER-DANIELS-MIDLAND CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/30/10	11/19/10	29.69	25.94 25.94	3.75 3.75	0.00 0.00
125000680	3	ARM HOLDINGS PLC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/28/10	07/23/10	48.27	33.65 33.65	14.62 14.62	0.00 0.00
125000690	102	ATLAS COPCO AB SPONS ADR RPSTG SER A -USD MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/21/10	1,639.98	1,244.40 1,244.40	395.58 395.58	0.00 0.00
125000700	4	ATLAS COPCO AB SPONS ADR RPSTG SER A -USD MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	66.87	48.80 48.80	18.07 18.07	0.00 0.00
125000750	1,000	BP CAPITAL MARKETS PLC DTD 11/07/08 DUE 11/07/2013 RATE 5.250 YTM 2.432	12/15/09	01/14/10	1,101.59	1,102.50 1,100.25	(.91) 1.34	0.00 1.34
125000780	6173	BANCO BRADESCO SPONS ADR (NEW) .0827 CASH IN LIEU OF .70000 RECORD 01/22/10 PAY 01/26/10	08/19/09	01/26/10	10.70	9.03 9.03	1.67 1.67	0.00 0.00
125000790	63	BANCO BRADESCO SPONS ADR (NEW) MorganStanley SmithBarney LLC acted as your agent	08/19/09	03/22/10	1,092.93	922.01 922.01	170.92 170.92	0.00 0.00

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Client Statement
2010 Year End Summary

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000800	11	BANCO BRADESCO SPONS ADR (NEW) MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	\$ 181.38	\$ 160.98	\$ 20.40	\$ 0.00
125000810	.3737	BANCO BRADESCO SPONS ADR (NEW) MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/21/10	6.61	4.97	1.64	0.00
125000820	8	BANCO BRADESCO SPONS ADR (NEW) MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	140.69	106.44	34.25	0.00
125000830	1	BANCO SANTANDER-CHILE-CLP ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	01/14/10	68.19	51.15	17.04	0.00
125000840	4	BANCO SANTANDER-CHILE-CLP ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	251.63	204.60	47.03	0.00
125000850	1	BANCO SANTANDER-CHILE-CLP ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	78.86	51.15	27.71	0.00
125000860	3	BANCO SANTANDER-CHILE-CLP ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	08/19/10	247.07	153.45	93.62	0.00
125000880	20	BANCOLOMBIA SA BANCOLOMBIA C-COP MorganStanley SmithBarney LLC acted as your agent	08/19/09	01/14/10	902.03	686.00	216.03	0.00
125000890	3	BANCOLOMBIA SA BANCOLOMBIA C-COP MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	143.62	102.90	40.72	0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

2 0 1 0 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000900	2	BANCOLOMBIA SA BANCOLOMBIA C-COP MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	\$ 117.41	\$ 68.60 \$ 68.60	\$ 48.81 \$ 48.81	\$ 0.00 \$ 0.00
125000960	21	BANK NEW YORK MELLON CORP MorganStanley SmithBarney LLC acted as your agent	09/21/09	05/13/10	632.27	619.22 619.22	13.05 13.05	0.00 0.00
	11	acted as your agent in this transaction	11/12/09		331.19	308.11 308.11	23.08 23.08	0.00 0.00
	15		01/06/10		451.63	423.80 423.80	27.83 27.83	0.00 0.00
125000970	1	BANK OF NOVA SCOTIA EURO#19279 MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	47.84	40.46 40.46	7.38 7.38	0.00 0.00
125000980	4	BANK OF NOVA SCOTIA EURO#19279 MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	192.40	161.84 161.84	30.56 30.56	0.00 0.00
125000990	22	BAXTER INTL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	04/14/10	1,292.52	1,233.51 1,233.51	59.01 59.01	0.00 0.00
125001000	12	BECTON DICKINSON & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	04/22/10	927.85	786.00 786.00	141.85 141.85	0.00 0.00
125001010	3	BELLE INTL UNSPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/12/10	06/04/10	188.39	185.55 185.55	2.84 2.84	0.00 0.00
125001020	1	BELLE INTL UNSPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/12/10	11/19/10	92.09	61.85 61.85	30.24 30.24	0.00 0.00
125001030	2	BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/06/10	81.64	72.54 72.54	9.10 9.10	0.00 0.00



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Ref: 00000082_00010266

KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001040	20	BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/04/10	\$ 744.02	\$ 725 35 \$ 725 35	\$ 18 67 \$ 18 67	\$ 0.00 \$ 0.00
125001050	3	BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	118 58	108 80 108 80	9 78 9 78	0.00 0.00
125001060	2	BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/16/09	07/23/10	79 06	76 89 76 89	2 17 2 17	0.00 0.00
125001070	3	BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	422 00	430 57 430 57	(8 57) (8 57)	0.00 0.00
125001080	5	BHP BILLITON LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	354 47	307 55 307 55	46 92 46 92	0.00 0.00
125001120	3	BOEING CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/27/10	06/04/10	185 71	194 32 194 32	(8 61) (8 61)	0.00 0.00
125001130	3	BOEING CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/27/10	11/19/10	193 34	194 32 194 32	(98) (98)	0.00 0.00
125001140	1	BORGWARNER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/14/10	11/19/10	59 28	42 42 42 42	16 86 16 86	0.00 0.00
125001150	6	BRISTOL MYERS SQUIBB CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	134 99	133 08 133 08	1 91 1 91	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001160	12	BRISTOL MYERS SQUIBB CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	\$ 297.31	\$ 266.15 \$ 266.15	\$ 31.16 \$ 31.16	\$ 0.00 \$ 0.00
125001180	5	CSL LTD-AUD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/26/10	11/19/10	84.74	70.15 70.15	14.59 14.59	0.00 0.00
125001210	6	CAMECO CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	142.62	170.04 170.04	(27.42) (27.42)	0.00 0.00
125001220	5	CAMECO CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	122.17	141.70 141.70	(19.53) (19.53)	0.00 0.00
125001230	2	CANADIAN NATL RAILWAY CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/28/09	06/04/10	115.32	99.20 99.20	16.12 16.12	0.00 0.00
125001240	2	CANADIAN NATL RAILWAY CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/28/09	07/23/10	123.61	99.20 99.20	24.41 24.41	0.00 0.00
125001250	4	CANADIAN NATURAL RES LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/11/09	01/27/10	262.43	212.18 212.18	50.25 50.25	0.00 0.00
125001260	2	CANON INC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/22/10	07/23/10	79.78	91.40 91.40	(11.62) (11.62)	0.00 0.00
125001270	3	CANON INC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/22/10	11/19/10	144.36	137.11 137.11	7.25 7.25	0.00 0.00



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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001280	3	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC acted as your agent	03/04/10	06/04/10	\$ 107.51	\$ 108.15	(\$.64)	\$ 0.00
125001290	4	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC acted as your agent	03/04/10	07/23/10	134.99	144.20	(9.21)	0.00
125001300	14	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC acted as your agent	03/04/10	11/12/10	592.97	504.72	88.25	0.00
125001310	5	CATERPILLAR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	01/21/10	283.97	225.69	58.28	0.00
125001320	16	CATERPILLAR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	02/08/10	831.78	722.23	109.55	0.00
125001330	3	CATERPILLAR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	06/04/10	176.44	135.42	41.02	0.00
125001340	15	CATERPILLAR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	06/15/10	951.98	677.08	274.90	0.00
125001350	4	CATERPILLAR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	07/23/10	273.10	180.56	92.54	0.00
125001360	3	CELGENE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/06/10	06/04/10	157.83	177.91	(20.08)	0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001370	3	CELGENE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/08/10	12/09/10	\$ 171.11	\$ 177.91 \$ 177.91	(\$ 6.80) (\$ 6.80)	\$ 0.00 \$ 0.00
125001380	21	CEMEX S.A.B DE C.V SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent	05/27/10	06/04/10	218.44	233.07 233.07	(14.63) (14.63)	0.00 0.00
125001390	.52	CEMEX S.A.B DE C.V SPONS ADR NEW CASH IN LIEU OF 52000 RECORD 06/04/10 PAY 06/09/10	05/27/10	06/09/10	5.75	5.77 5.77	(.02) (.02)	0.00 0.00
125001400	6	CEMEX S.A.B DE C.V SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent	05/27/10	07/23/10	58.73	66.60 66.60	(7.87) (7.87)	0.00 0.00
125001410	90	CEMEX S.A.B DE C.V SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent	05/27/10	10/14/10	729.47	999.03 999.03	(269.56) (269.56)	0.00 0.00
125001420	29	CENOVUS ENERGY INC MorganStanley SmithBarney LLC acted as your agent	08/19/09	08/09/10	797.98	731.10 731.10	66.88 66.88	0.00 0.00
	13	acted as your agent in this transaction.	06/04/10		357.71	357.74 357.74	(.03) (.03)	0.00 0.00
125001430	19	CENTRAIS ELETRICAS BRASILEIRAS SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	232.98	274.30 274.30	(41.32) (41.32)	0.00 0.00
125001440	1	CENTRAIS ELETRICAS BRASILEIRAS SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	12.93	14.44 14.44	(1.51) (1.51)	0.00 0.00
125001450	56	CENTRAIS ELETRICAS BRASILEIRAS SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	08/19/10	710.60	808.44 808.44	(97.84) (97.84)	0.00 0.00
	5	acted as your agent	08/21/09		63.45	73.78 73.78	(10.33) (10.33)	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125001460	10	CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	\$ 719.38	\$ 681.18 \$ 681.18	\$ 38.20 \$ 38.20	\$ 0.00 \$ 0.00
125001470	7	CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	512.63	476.83 476.83	35.80 35.80	0.00 0.00
125001480	5	CHINA CONSTR BK CORP-CNY MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/12/10	06/04/10	196.54	207.50 207.50	(10.96) (10.96)	0.00 0.00
125001490	1	CHINA CONSTR BK CORP-CNY MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/12/10	07/23/10	42.13	41.50 41.50	.63 63	0.00 0.00
125001500	27	CHINA CONSTR BK CORP-CNY SALE OF RTS ON 27.0000 SHS	12/10/10	12/10/10	32.28	.01 .01	32.27 32.27	0.00 0.00
125001510	14	CHINA RES ENTERPRISES LTD ADR MorganStanley SmithBarney LLC acted as your agent	10/23/09	07/23/10	106.25	91.38 91.38	14.87 14.87	0.00 0.00
125001530	21	CHINA MOBILE LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	984.99	1,130.81 1,130.81	(145.82) (145.82)	0.00 0.00
125001540	5	CHINA MOBILE LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	252.35	269.24 269.24	(16.89) (16.89)	0.00 0.00
125001570	6	CHINA PETROLEUM&CHEM ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	456.07	523.32 523.32	(67.25) (67.25)	0.00 0.00
125001580	1	CHINA PETROLEUM&CHEM ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	07/23/10	79.52	87.22 87.22	(7.70) (7.70)	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001600	4	CHINA SHENHUA ENERGY CO LTD-CNY MorganStanley SmithBarney LLC acted as your agent	03/12/10	06/04/10	\$ 154.99	\$ 197.32 \$ 197.32	(\$ 42.33) (\$ 42.33)	\$ 0.00 \$ 0.00
125001610	5	CHINA SHENHUA ENERGY CO LTD-CNY MorganStanley SmithBarney LLC acted as your agent	03/12/10	07/23/10	197.39	246.65 246.65	(49.26) (49.26)	0.00 0.00
125001620	20	CHINA SHENHUA ENERGY CO LTD-CNY MorganStanley SmithBarney LLC acted as your agent	03/12/10	12/10/10	766.22	986.60 986.60	(220.38) (220.38)	0.00 0.00
	20	MorganStanley SmithBarney LLC acted as your agent	08/19/10		766.22	768.60 768.60	(2.38) (2.38)	0.00 0.00
	10		11/10/10		383.11	484.35 484.35	(101.24) (101.24)	0.00 0.00
125001680	13	CISCO SYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/22/10	12/02/10	249.61	339.20 339.20	(89.59) (89.59)	0.00 0.00
	5		06/16/10		96.00	116.56 116.56	(20.56) (20.56)	0.00 0.00
125001690	5	CITRIX SYSTEMS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/09/09	06/04/10	221.30	207.84 207.84	13.46 13.46	0.00 0.00
125001700	5	CITRIX SYSTEMS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/09/09	10/04/10	338.17	207.84 207.84	130.33 130.33	0.00 0.00
125001710	2	CLOROX COMPANY DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	126.66	115.36 115.36	11.30 11.30	0.00 0.00
125001720	3	CLOROX COMPANY DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	195.47	173.04 173.04	22.43 22.43	0.00 0.00
125001730	8	COACH INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/28/10	04/22/10	345.84	279.06 279.06	66.78 66.78	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125001740	1	COACH INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/28/10	11/19/10	\$ 53.86	\$ 34.88	\$ 18.98	\$ 0.00
125001760	3	COCHLEAR LIMITED MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/22/10	11/19/10	106.34	97.70	8.64	0.00
125001770	6	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	466.10	432.00	34.10	0.00
125001810	2	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/25/10	12/08/10	157.30	161.99	(4.69)	0.00
125001830	1,000	COMCAST CORP DTD 03/01/2010 DUE 03/01/2020 RATE 5.150 YTM 4.811	03/11/10	06/03/10	1,026.02	1,005.47	20.55	0.00
125001840	7	COMCAST CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/09/09	06/04/10	126.83	122.46	4.37	0.00
125001850	7	COMCAST CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/09/09	06/15/10	130.75	122.46	8.29	0.00
125001860	16	COMCAST CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/09/09	06/30/10	282.80	279.91	2.89	0.00
125001870	7	COMCAST CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/09/09	07/23/10	132.00	122.46	9.54	0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)	
125001880	6	COMCAST CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/09/09	11/12/10	\$ 123.47	\$ 104.97 \$ 104.97	\$ 18.50 \$ 18.50	\$ 0.00 \$ 0.00	
125001890	1	COMCAST CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/09/09	11/19/10	20.36	17.49 17.49	2.87 2.87	0.00 0.00	
125001900	62	COMPANHIA SIDERURGICA NACIONAL SPONSORED ADR REPSTG ORD SHS -USD- MorganStanley SmithBarney LLC	08/19/09	05/27/10	932.45	816.18 816.18	116.27 116.27	0.00 0.00	
125001910	19	COMPANHIA SIDERURGICA NACIONAL SPONSORED ADR REPSTG ORD SHS -USD- MorganStanley SmithBarney LLC	08/19/09	06/04/10	274.16	250.12 250.12	24.04 24.04	0.00 0.00	
125001930	.0926	COMPANHIA ENERGETICA DE MINAS SP ADR 0074 CASH IN LIEU OF .10000 RECORD 05/04/10 PAY 05/10/10	08/19/09	05/10/10	1.39	1.24 1.24	.15 .15	0.00 0.00	
125001940	25	COMPANHIA ENERGETICA DE MINAS SP ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	337.74	333.80 333.80	3.94 3.94	0.00 0.00	
125001950	4	COMPANHIA ENERGETICA DE MINAS SP ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	58.39	53.41 53.41	4.98 4.98	0.00 0.00	
125001960	5	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	03/12/10	484.89	370.35 370.35	114.54 114.54	0.00 0.00	
125001970	14	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR SALE OF RTS ON 14.0000 SHS RECORD 05/28/10 PAY 06/07/10	05/28/10	05/28/10	.05	.01 .01	.04 .04	0.00 0.00	



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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001980	1	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	\$ 95.74	\$ 74.07 \$ 74.07	\$ 21.67 \$ 21.67	\$ 0.00 \$ 0.00
125001990	1	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	108.83	74.07 74.07	34.76 34.76	0.00 0.00
125002010	6	COMPANIA DE MINAS BUENAVENTURA S A SPONSORED ADR REPSTG SER B SHS-USD MorganStanley SmithBarney LLC	03/22/10	06/04/10	219.23	185.82 185.82	33.41 33.41	0.00 0.00
125002020	2	COMPANIA DE MINAS BUENAVENTURA S A SPONSORED ADR REPSTG SER B SHS-USD MorganStanley SmithBarney LLC	03/22/10	07/23/10	79.23	61.94 61.94	17.29 17.29	0.00 0.00
125002030	2	COMPANIA DE MINAS BUENAVENTURA S A SPONSORED ADR REPSTG SER B SHS-USD MorganStanley SmithBarney LLC	03/22/10	11/19/10	107.19	61.94 61.94	45.25 45.25	0.00 0.00
125002040	9	CONAGRA FOODS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/19/10	06/04/10	219.31	220.93 220.93	(1.62) (1.62)	0.00 0.00
125002050	49	CONAGRA FOODS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/19/10	08/09/10	1,084.36	1,202.84 1,202.84	(118.48) (118.48)	0.00 0.00
	15		07/23/10		331.95	356.92 356.92	(24.97) (24.97)	0.00 0.00
125002060	4,000	CONOCOPHILLIPS BK/ENTRY	07/15/10	10/14/10	4,495.24	4,394.12 4,373.28	101.12 121.96	0.00 121.96
	2,000	DTD 05/21/2009 DUE 01/15/2015 RATE 4.600	08/20/10		2,247.62	2,246.06 2,237.92	1.56 9.70	0.00 9.70
125002070	6	CONOCOPHILLIPS MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	304.72	258.30 258.30	46.42 46.42	0.00 0.00

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KATHLEEN M. O'CONNELL

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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002080	9	CONOCOPHILLIPS MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	\$ 479.24	\$ 387.45 \$ 387.45	\$ 91.79 \$ 91.79	\$ 0.00 \$ 0.00
125002100	1,000	CONOCO FUNDING CO DTD 10/11/01 DUE 10/15/2011 RATE 6.350 YTM .884	03/24/10	07/15/10	1,067.03	1,079.11 1,063.41	(12.08) 3.62	0.00 3.62
125002110	1	CONSOL ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	36.89	38.68 38.68	(1.79) (1.79)	0.00 0.00
125002120	3	CONSOL ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	117.88	116.04 116.04	1.84 1.84	0.00 0.00
125002130	52	CORNING INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/17/09	04/09/10	1,027.14	969.74 969.74	57.40 57.40	0.00 0.00
125002140	15	CORNING INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/01/10	06/04/10	246.26	253.80 253.80	(7.54) (7.54)	0.00 0.00
125002150	40	CORNING INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/01/10	08/19/10	645.98	676.79 676.79	(30.81) (30.81)	0.00 0.00
125002170	1,000	CREDIT SUISSE FIRST BOSTON USA NOTES-BK/ENTRY DTD 08/15/2003 DUE 08/15/2013 RATE 5.500	03/24/10	09/24/10	1,109.51	1,095.20 1,081.60	14.31 27.91	0.00 27.91
125002180	4	CUMMINS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/27/10	182.58	177.76 177.76	4.82 4.82	0.00 0.00

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002190	5	CUMMINS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	05/07/10	\$ 335.02	\$ 222.20 \$ 222.20	\$ 112.82 \$ 112.82	\$ 0.00 \$ 0.00
125002200	6	CUMMINS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	401.77	266.64 266.64	135.13 135.13	0.00 0.00
125002240	6	DANAHER CORP DE WITH DUE BILL SPLT 2:1 MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	473.96	360.36 360.36	113.60 113.60	0.00 0.00
125002250	2	DANAHER CORP DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	75.17	60.06 60.06	15.11 15.11	0.00 0.00
125002270	3	DASSAULT SYSTEMS SA ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	201.74	150.45 150.45	51.29 51.29	0.00 0.00
125002290	25	DATANG INTL PWR GENERATION SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/14/10	223.74	291.25 291.25	(67.51) (67.51)	0.00 0.00
125002300	28	DATANG INTL PWR GENERATION SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	212.79	326.20 326.20	(113.41) (113.41)	0.00 0.00
125002310	14	DATANG INTL PWR GENERATION SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	121.37	163.10 163.10	(41.73) (41.73)	0.00 0.00
125002330	4	DEERE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	231.40	174.19 174.19	57.21 57.21	0.00 0.00

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2010 - continued

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002340	4	DEERE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	\$ 250.71	\$ 174.19 \$ 174.19	\$ 76.52 \$ 76.52	\$ 0.00 \$ 0.00
125002350	1	DENBURY RES INC NEW (HOLDING COMPANY) MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/10/10	06/04/10	16.19	15.85 15.85	.34 34	0.00 0.00
125002360	3	DENBURY RES INC NEW (HOLDING COMPANY) MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/10/10	11/19/10	54.75	47.54 47.54	7.21 7.21	0.00 0.00
125002370	39	DESARROLLADORA HOMEX S.A DE C V ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/10	03/12/10	1,066.25	1,421.15 1,421.15	(354.90) (354.90)	0.00 0.00
125002380	7	DESARROLLADORA HOMEX S.A DE C V ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/22/10	06/04/10	178.19	182.87 182.87	(4.68) (4.68)	0.00 0.00
125002390	3	DESARROLLADORA HOMEX S.A DE C V ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/22/10	07/23/10	83.81	78.37 78.37	5.44 5.44	0.00 0.00
125002400	1	DESARROLLADORA HOMEX S.A DE C V ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/22/10	11/19/10	33.06	26.12 26.12	6.94 6.94	0.00 0.00
125002410	4	DIAGEO PLC SPON ADR-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	250.00	249.66 249.66	34 34	0.00 0.00
125002420	3	DIAGEO PLC SPON ADR-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	210.03	187.25 187.25	22.78 22.78	0.00 0.00



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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002430	7	DIAMOND OFFSHORE DRILLING INC MorganStanley SmithBarney LLC acted as your agent In this transaction	08/19/09	04/28/10	\$ 593.41	\$ 609.91 \$ 609.91	(\$ 16.50) (\$ 16.50)	\$ 0.00 \$ 0.00
125002440	1	DIAMOND OFFSHORE DRILLING INC MorganStanley SmithBarney LLC acted as your agent In this transaction	05/04/10	06/04/10	60.83	77.27 77.27	(16.44) (16.44)	0.00 0.00
125002450	2	DIAMOND OFFSHORE DRILLING INC MorganStanley SmithBarney LLC acted as your agent In this transaction	05/04/10	07/23/10	122.01	154.54 154.54	(32.53) (32.53)	0.00 0.00
125002470	29	DIRECTV CL A MorganStanley SmithBarney LLC acted as your agent In this transaction	09/10/09	01/29/10	894.73	724.83 724.83	169.90 169.90	0.00 0.00
125002480	2	DIRECTV CL A MorganStanley SmithBarney LLC acted as your agent In this transaction	09/10/09	06/04/10	77.50	49.99 49.99	27.51 27.51	0.00 0.00
125002490	5	DIRECTV CL A MorganStanley SmithBarney LLC acted as your agent In this transaction	07/23/10	11/15/10	210.74	182.30 182.30	28.44 28.44	0.00 0.00
125002500	125	DOCTOR REDDY'S LABORATORY LIMITED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	01/14/10	3,401.09	2,026.56 2,026.56	1,374.53 1,374.53	0.00 0.00
125002510	9	DOCTOR REDDY'S LABORATORY LIMITED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	269.70	145.91 145.91	123.79 123.79	0.00 0.00
125002520	5	DOCTOR REDDY'S LABORATORY LIMITED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	144.34	81.06 81.06	63.28 63.28	0.00 0.00

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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002540	5	DOMINION RESOURCES INC VA NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	\$ 197.84	\$ 166.49 \$ 166.49	\$ 31.35 \$ 31.35	\$ 0.00 \$ 0.00
125002550	6	DOMINION RESOURCES INC VA NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	254.35	199.79 199.79	54.56 54.56	0.00 0.00
125002580	30	EMC CORP-MASS MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/27/10	521.99	452.34 452.34	69.65 69.65	0.00 0.00
125002590	12	EMC CORP-MASS MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	220.49	180.94 180.94	39.55 39.55	0.00 0.00
125002610	2	EQT CORPORATION INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	80.63	80.40 80.40	23 23	0.00 0.00
125002620	2	EQT CORPORATION INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	74.83	80.40 80.40	(5.57) (5.57)	0.00 0.00
125002630	2,411.815	EATON VANCE INCOME FUND OF BOSTON CLASS I CONFIRM #500001551846283 MorganStanley SmithBarney LLC	08/21/09	06/04/10	13,288.00	12,323.35 12,323.35	964.65 964.65	0.00 0.00
125002640	150.531	EATON VANCE INCOME FUND OF BOSTON CLASS I CONFIRM #500002041666257 MorganStanley SmithBarney LLC	08/21/09	07/23/10	850.50	769.21 769.21	81.29 81.29	0.00 0.00
125002650	58	EBAY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/12/09	05/19/10	1,271.69	1,387.73 1,387.73	(116.04) (116.04)	0.00 0.00

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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002660	9	ECOPETROL SA ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	\$ 249.11	\$ 240.75 \$ 240.75	\$ 8.36 \$ 8.36	\$ 0.00 \$ 0.00
125002670	2	ECOPETROL SA ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	66.98	53.50 53.50	13.48 13.48	0.00 0.00
125002700	25	EMPRESAS ICA S A DE C.V SPONSORED ADR-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	229.71	192.45 192.45	37.26 37.26	0.00 0.00
125002710	4	EMPRESAS ICA S A DE C.V SPONSORED ADR-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	38.99	30.79 30.79	8.20 8.20	0.00 0.00
125002730	2	ENBRIDGE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	92.29	75.34 75.34	16.95 16.95	0.00 0.00
125002740	3	ENBRIDGE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	149.75	113.01 113.01	36.74 36.74	0.00 0.00
125002760	44	ENERGIS S A. SPONSORED ADR -USD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/14/10	1,028.53	795.51 795.51	233.02 233.02	0.00 0.00
125002770	9	ENERGIS S A. SPONSORED ADR -USD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	170.82	162.72 162.72	8.10 8.10	0.00 0.00
125002780	5	ENERGIS S.A. SPONSORED ADR -USD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	107.39	90.40 90.40	16.99 16.99	0.00 0.00

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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002800	1	ENTERGY CORPORATION-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	\$ 73.05	\$ 79.86 \$ 79.86	(\$ 6.81) (\$ 6.81)	\$ 0.00 \$ 0.00
125002810	4	ENTERGY CORPORATION-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	312.40	319.44 319.44	(7.04) (7.04)	0.00 0.00
125002830	78	ERICSSON L M TEL CO CL B ADR NEW -USD-	12/11/09	03/22/10	836.95	740.79 740.79	96.16 96.16	0.00 0.00
	87	MorganStanley SmithBarney LLC acted as your agent	02/05/10		933.52	832.09 832.09	101.43 101.43	0.00 0.00
125002840	9	ERSTE GROUP BANK AG SPON ADR AUSTRIA MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	174.14	171.90 171.90	2.24 2.24	0.00 0.00
125002850	3	EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	113.99	147.63 147.63	(33.64) (33.64)	0.00 0.00
125002860	1	EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	40.75	49.21 49.21	(8.46) (8.46)	0.00 0.00
125002880	2	EXPRESS SCRIPTS INC COMMON MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/14/10	07/29/10	89.99	106.70 106.70	(16.71) (16.71)	0.00 0.00
125002890	2	EXPRESS SCRIPTS INC COMMON MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/14/10	07/30/10	89.55	106.70 106.70	(17.15) (17.15)	0.00 0.00
125002900	1	EXPRESS SCRIPTS INC COMMON MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/14/10	11/19/10	52.97	53.35 53.35	(.38) (.38)	0.00 0.00

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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002970	24,000	FEDERAL HOME LN MTG CORP DISC BDS BOOK/ENTRY DTD 09/08/2010 DUE 09/08/2011	09/22/10	12/10/10	\$ 23,950.68	\$ 23,934.67 \$ 23,934.67	\$ 16.01 \$ 16.01	\$ 15.17 \$ 84
125003000	11,000	FEDERAL NATL MTG ASSN MED TERM NTS BK/ENTRY	02/02/10	08/11/10	11,087.92	11,070.40 11,058.19	17.52 29.73	0.00 29.73
	2,000	DTD 01/25/2010 DUE 01/25/2013 RATE 2.125	03/24/10		2,015.99	2,012.50 2,010.84	3.49 5.15	0.00 5.15
125003010	2,000	FEDERAL NATL MTG ASSN DEBS BK/ENTRY DTD 08/15/08 DUE 08/15/2011 RATE 3.625	09/17/09	06/03/10	2,070.68	2,097.50 2,061.48	(26.82) 9.20	0.00 9.20
125003020	1	F5 NETWORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/17/10	11/19/10	120.56	85.61 85.61	34.95 34.95	0.00 0.00
125003030	4	F5 NETWORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/17/10	12/15/10	525.21	342.44 342.44	182.77 182.77	0.00 0.00
125003040	14	FIBRIA CELLULOSE S A ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	05/27/10	06/04/10	212.93	229.19 229.19	(16.26) (16.26)	0.00 0.00
125003050	6	FIBRIA CELLULOSE S A ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	05/27/10	11/19/10	98.70	98.23 98.23	.47 .47	0.00 0.00
125003060	1	FIRSTENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	06/04/10	35.29	43.84 43.84	(8.55) (8.55)	0.00 0.00
125003070	4	FIRSTENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	07/23/10	151.19	175.36 175.36	(24.17) (24.17)	0.00 0.00

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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003080	15	FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	03/12/10	\$ 693.14	\$ 546.75 \$ 546.75	\$ 146.39 \$ 146.39	\$ 0.00 \$ 0.00
125003090	26	FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR	08/19/09	03/22/10	1,195.72	947.70 947.70	248.02 248.02	0.00 0.00
	3	MorganStanley SmithBarney LLC acted as your agent	08/21/09		137.97	116.12 116.12	21.85 21.85	0.00 0.00
125003110	1,588.941	ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS CONFIRM #500001552281324 MorganStanley SmithBarney LLC	08/21/09	06/04/10	16,795.11	16,111.86 16,111.86	683.25 683.25	0.00 0.00
125003190	.2742	FRONTIER COMMUNICATIONS CORP CASH IN LIEU OF 88345 RECORD 06/07/10 PAY 07/01/10 FROM: 92343V104000 (SPINOFF)	08/19/09	07/02/10	2.03	2.15 2.15	(.12) (.12)	0.00 0.00
125003200	6.2069	FRONTIER COMMUNICATIONS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/13/10	44.38	48.71 48.71	(4.33) (4.33)	0.00 0.00
125003210	8	GAFISA S A SPON ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/10	06/04/10	92.87	123.60 123.60	(30.73) (30.73)	0.00 0.00
125003220	6	GAFISA S A SPON ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/10	07/23/10	87.17	92.70 92.70	(5.53) (5.53)	0.00 0.00
125003230	7	GAFISA S A SPON ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/10	11/19/10	107.30	108.15 108.15	(.85) (.85)	0.00 0.00
125003240	10	GAZPROM OAO SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	211.13	191.50 191.50	19.63 19.63	0.00 0.00

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003260	6	GENERAL DYNAMICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	\$ 398.98	\$ 332.76 \$ 332.76	\$ 66.22 \$ 66.22	\$ 0.00 \$ 0.00
125003270	7	GENERAL DYNAMICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	424.14	388.22 388.22	35.92 35.92	0.00 0.00
125003310	1,000	GENERAL ELECTRIC CAP CORP BOOK/ENTRY DTD 01/08/2010 DUE 01/08/2020 RATE 5.500	03/29/10	06/03/10	1,023.28	1,020.59 1,020.35	2.69 2.93	0.00 2.93
125003340	16	GILEAD SCIENCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/10/10	759.63	722.71 722.71	36.92 36.92	0.00 0.00
125003370	2	GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	292.75	317.72 317.72	(24.97) (24.97)	0.00 0.00
125003380	1	GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/21/10	12/01/10	158.21	159.90 159.90	(1.69) (1.69)	0.00 0.00
125003390	1,323.007	GOLDMAN SACHS ABSOLUTE RETURN TRACKER CLASS I CONFIRM #500001551736849 MorganStanley SmithBarney LLC	08/21/09	06/04/10	11,708.61	11,973.21 11,973.21	(264.60) (264.60)	0.00 0.00
125003440	1	GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent	12/31/09	09/14/10	483.80	624.64 624.64	(140.84) (140.84)	0.00 0.00
125003480	11	GRUPO FIN BANORTE SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/10	06/04/10	213.72	202.98 202.98	10.74 10.74	0.00 0.00

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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003490	6	GRUPO FIN BANORTE SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/10	07/23/10	\$ 116.57	\$ 110.71 \$ 110.71	\$ 5.86 \$ 5.86	\$ 0.00 \$ 0.00
125003500	3	GRUPO FIN BANORTE SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/10	11/19/10	65.27	55.36 55.36	9.91 9.91	0.00 0.00
125003510	10	HDFC BANK LTD ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	05/17/10	1,420.72	971.20 971.20	449.52 449.52	0.00 0.00
125003520	7	HDFC BANK LTD ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	983.55	679.84 679.84	303.71 303.71	0.00 0.00
125003540	3,000	HEWLETT PACKARD CO BOOK/ENTRY	03/12/09	03/11/10	3,169.65	3,059.28 3,039.78	110.37 129.87	0.00 129.87
	2,000	DTD 02/26/2009 DUE 02/24/2012 RATE + 250	10/15/09		2,113.10	2,110.96 2,092.10	2.14 21.00	0.00 21.00
125003590	9	HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	05/17/10	425.83	392.65 392.65	33.18 33.18	0.00 0.00
125003600	12	HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	555.59	523.53 523.53	32.06 32.06	0.00 0.00
125003610	25	HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	08/09/10	1,066.08	1,090.69 1,090.69	(24.61) (24.61)	0.00 0.00
125003630	3	HOME DEPOT INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/09/10	06/04/10	96.92	100.16 100.16	(3.24) (3.24)	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003640	5	HOME DEPOT INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/09/10	07/23/10	\$ 141.27	\$ 166.93 \$ 166.93	(\$ 25.66) (\$ 25.66)	\$ 0.00 \$ 0.00
125003690	7	ICICI BANK LTD-SPONS ADR- INR MorganStanley SmithBarney LLC acted as your agent	01/14/10	07/23/10	273.94	258.20 258.20	15.74 15.74	0.00 0.00
125003700	7	ICICI BANK LTD-SPONS ADR- INR MorganStanley SmithBarney LLC acted as your agent	01/14/10	11/19/10	356.29	258.20 258.20	98.09 98.09	0.00 0.00
125003710	79	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	01/14/10	2,286.20	1,976.66 1,976.66	309.54 309.54	0.00 0.00
125003720	12	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	279.47	300.25 300.25	(20.78) (20.78)	0.00 0.00
125003730	5	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	135.44	125.10 125.10	10.34 10.34	0.00 0.00
125003750	2	IMPERIAL OIL LTD NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	07/23/10	78.41	71.90 71.90	6.51 6.51	0.00 0.00
125003770	5	INDUSTRIAL & COML BK CHINA-CNY SPONS ADR MorganStanley SmithBarney LLC acted as your agent	03/12/10	06/04/10	180.29	191.00 191.00	(10.71) (10.71)	0.00 0.00
125003780	54	INDUSTRIAL & COML BK CHINA-CNY SPONS ADR SALE OF RTS ON 54.0000 SHS RECORD 12/17/10 PAY 12/27/10	12/17/10	12/17/10	38.60	01 01	38.59 38.59	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003790	44	INFOSYS TECHNOLOGIE SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/14/10	\$ 2,587.60	\$ 1,802.13 \$ 1,802.13	\$ 785.47 \$ 785.47	\$ 0.00 \$ 0.00
125003800	27	INFOSYS TECHNOLOGIE SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	04/13/10	1,705.82	1,105.85 1,105.85	599.97 599.97	0.00 0.00
125003810	6	INFOSYS TECHNOLOGIE SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	350.45	245.74 245.74	104.71 104.71	0.00 0.00
125003820	2	INFOSYS TECHNOLOGIE SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	119.53	81.91 81.91	37.62 37.62	0.00 0.00
125003890	2	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	04/12/10	256.57	236.79 236.79	19.78 19.78	0.00 0.00
125003900	2	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	252.64	236.79 236.79	15.85 15.85	0.00 0.00
125003910	4	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	510.48	473.59 473.59	36.89 36.89	0.00 0.00
125003930	1,177	ISHARES RUSSELL MIDCAP VALUE INDEX FD MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	44,419.46	38,205.42 38,205.42	6,214.04 6,214.04	0.00 0.00
125003950	972	ISHARES RUSSELL MIDCAP GROWTH INDEX FD MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	45,147.07	38,342.29 38,342.29	6,804.78 6,804.78	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003970	829	ISHARES TR RUSSELL 2000 GROWTH INDEX FUND MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	\$ 58,375.45	\$ 50,120.26 \$ 50,120.26	\$ 8,255.19 \$ 8,255.19	\$ 0.00 \$ 0.00
125003990	12	ITAU UNIBANCO BANCO HLDG S A ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	260.63	200.73 200.73	59.90 59.90	0.00 0.00
125004060	29	JSC MMC NORILSK NICKEL JSC SON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	448.62	298.70 298.70	149.92 149.92	0.00 0.00
125004070	6	JSC MMC NORILSK NICKEL JSC SON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	99.61	61.80 61.80	37.81 37.81	0.00 0.00
125004090	7	JSR CORPORATION MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/18/10	07/23/10	125.57	134.87 134.87	(9.30) (9.30)	0.00 0.00
125004100	32	JSR CORPORATION MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/18/10	09/10/10	506.32	616.55 616.55	(110.23) (110.23)	0.00 0.00
	24	acted as your agent in this transaction.	06/04/10		379.74	454.80 454.80	(75.06) (75.06)	0.00 0.00
125004110	168.804	JANUS FORTY FUND CL I CONFIRM #500001551124277 MorganStanley SmithBarney LLC acted as your agent	08/21/09	06/04/10	5,021.92	5,025.29 5,025.29	(3.37) (3.37)	0.00 0.00
125004170	2	JOHNSON & JOHNSON MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/29/09	07/27/10	116.36	119.54 119.54	(3.18) (3.18)	0.00 0.00
125004180	6	JOHNSON CONTROLS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	167.73	151.66 151.66	16.07 16.07	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004190	30	JOHNSON CONTROLS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/08/10	\$ 791.82	\$ 758.33 \$ 758.33	\$ 33.49 \$ 33.49	\$ 0.00 \$ 0.00
125004200	239	JOHNSON ELECTRIC HLDG SPON ADR -USD- MorganStanley SmithBarney LLC acted as your agent	08/19/09	03/22/10	1,484.17	1,006.64 1,006.64	477.53 477.53	0.00 0.00
125004210	41	JOHNSON ELECTRIC HLDG SPON ADR -USD- MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	204.75	172.69 172.69	32.06 32.06	0.00 0.00
125004220	6	JOHNSON ELECTRIC HLDG SPON ADR -USD- MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	26.63	25.27 25.27	1.36 1.36	0.00 0.00
125004240	20	JOY GLOBAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/18/09	01/22/10	1,039.29	946.56 946.56	92.73 92.73	0.00 0.00
125004250	12	JUPITER TELECOM UNSPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	04/06/10	908.19	719.40 719.40	188.79 188.79	0.00 0.00
125004270	7	KB FINANCIAL GROUP INC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/14/10	359.44	281.85 281.85	77.59 77.59	0.00 0.00
125004280	28	KB FINANCIAL GROUP INC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	05/27/10	1,118.98	1,127.42 1,127.42	(8.44) (8.44)	0.00 0.00
125004290	11	KB FINANCIAL GROUP INC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	463.53	442.92 442.92	20.61 20.61	0.00 0.00

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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004300	3	KB FINANCIAL GROUP INC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	\$ 129 02	\$ 120 79 \$ 120 79	\$ 8 23 \$ 8 23	\$ 0 00 \$ 0 00
125004340	15	KIMBERLY CLARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/10/10	10/14/10	992.16	941.49 941.49	50.67 50.67	0.00 0.00
125004350	1	KIMBERLY CLARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/10/10	11/19/10	61 98	62.77 62.77	(.79) (.79)	0.00 0.00
125004360	1	KOHL'S CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/15/10	06/04/10	50 59	57 75 57 75	(7.16) (7.16)	0.00 0.00
125004370	3	KOHL'S CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/15/10	07/23/10	144 21	173.25 173.25	(29.04) (29.04)	0.00 0.00
125004380	40	KOMATSU LTD ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/14/10	803.62	724 90 724 90	78 72 78 72	0.00 0.00
	4		08/21/09		80 36	71 45 71 45	8 91 8 91	0.00 0.00
	26		06/04/10		522 35	480 06 480 06	42 29 42 29	0.00 0.00
125004390	1	KUBOTA LTD ADR -USD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	39.22	41 49 41.49	(2.27) (2.27)	0.00 0.00
125004400	24	KUBOTA LTD ADR -USD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/10	08/23/10	986 49	977 86 977 86	8 63 8.63	0.00 0.00
125004410	24	LI & FUNG LTD-HKD MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/21/09	07/23/10	109.91	100 51 100 51	9 40 9 40	0.00 0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004420	17	LI & FUNG LTD-HKD MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/21/09	11/19/10	\$ 93.83	\$ 71.20 \$ 71.20	\$ 22.63 \$ 22.63	\$ 0.00 \$ 0.00
125004430	35	L OREAL CO ADR -USD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/05/10	744.83	591.50 591.50	153.33 153.33	0.00 0.00
125004440	6	L OREAL CO ADR -USD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	127.71	101.40 101.40	26.31 26.31	0.00 0.00
125004460	10	LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	239.40	180.50 180.50	58.90 58.90	0.00 0.00
125004490	2	LABORATORY CORP AMER HLDGS NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/19/10	06/04/10	152.77	154.60 154.60	(1.83) (1.83)	0.00 0.00
125004500	2	LABORATORY CORP AMER HLDGS NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/19/10	07/29/10	145.34	154.61 154.61	(9.27) (9.27)	0.00 0.00
125004510	1	LABORATORY CORP AMER HLDGS NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/19/10	11/19/10	82.65	77.30 77.30	5.35 5.35	0.00 0.00
125004520	4	LABORATORY CORP AMER HLDGS NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/19/10	12/16/10	353.55	309.21 309.21	44.34 44.34	0.00 0.00
125004530	7	ELI LILLY & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	227.70	230.22 230.22	(2.52) (2.52)	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004540	8	ELI LILLY & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	\$ 280.29	\$ 263.11 \$ 263.11	\$ 17.18 \$ 17.18	\$ 0.00 \$ 0.00
125004550	3	LORILLARD INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	217.91	219.06 219.06	(1.15) (1.15)	0.00 0.00
125004560	1	LORILLARD INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	76.06	73.02 73.02	3.04 3.04	0.00 0.00
125004570	4	LOWES COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/06/10	92.25	80.67 80.67	11.58 11.58	0.00 0.00
125004580	6	LOWES COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	141.95	121.01 121.01	20.94 20.94	0.00 0.00
125004590	36	LOWES COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/12/10	729.13	726.07 726.07	3.06 3.06	0.00 0.00
125004600	7	MARATHON OIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	219.72	214.33 214.33	5.39 5.39	0.00 0.00
125004610	14	MARATHON OIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	454.00	428.65 428.65	25.35 25.35	0.00 0.00
125004630	3	MCDONALDS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	201.07	166.73 166.73	34.34 34.34	0.00 0.00

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004670	1	MCKESSON CORPORATION MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	\$ 69.36	\$ 54.75 \$ 54.75	\$ 14.61 \$ 14.61	\$ 0.00 \$ 0.00
125004680	4	MCKESSON CORPORATION MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	261.42	219.00 219.00	42.42 42.42	0.00 0.00
125004700	4	MEAD JOHNSON NUTRITION CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/21/09	04/08/10	207.71	171.30 171.30	36.41 36.41	0.00 0.00
125004710	3	MEAD JOHNSON NUTRITION CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/21/09	06/04/10	150.56	128.48 128.48	22.08 22.08	0.00 0.00
125004720	5	MEADWESTVACO CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	116.22	106.90 106.90	9.32 9.32	0.00 0.00
125004730	5	MEADWESTVACO CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	121.62	106.90 106.90	14.72 14.72	0.00 0.00
125004740	8	MECHEL OAO SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/05/10	06/04/10	163.21	208.14 208.14	(44.93) (44.93)	0.00 0.00
125004750	3	MECHEL OAO SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/05/10	11/19/10	73.34	78.05 78.05	(4.71) (4.71)	0.00 0.00
125004770	3	MERCK & CO INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/05/10	07/23/10	104.64	111.72 111.72	(7.08) (7.08)	0.00 0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004780	1	MERCK & CO INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/05/10	11/19/10	\$ 35.08	\$ 37.24 \$ 37.24	(\$ 2.16) (\$ 2.16)	\$ 0.00 \$ 0.00
125004800	7	METLIFE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	04/21/10	325.27	253.40 253.40	71.87 71.87	0.00 0.00
125004810	16	METLIFE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	620.83	579.20 579.20	41.63 41.63	0.00 0.00
125004820	8	METLIFE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	315.91	289.60 289.60	26.31 26.31	0.00 0.00
125004830	6	METLIFE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	236.90	217.20 217.20	19.70 19.70	0.00 0.00
125004910	17	MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/16/10	11/15/10	446.90	427.54 427.54	19.36 19.36	0.00 0.00
125004920	7	MITSUBISHI EST CO LTD ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/01/10	1,057.51	1,116.08 1,116.08	(58.57) (58.57)	0.00 0.00
125004930	4242	MOBILE TELESYSTEMS OJSC SPON ADR	08/19/09	04/30/10	8.14	7.21 7.21	.93 .93	0.00 0.00
	0758	CASH IN LIEU OF .50000 RECORD 04/28/10 PAY 04/30/10	08/21/09		1.46	1.34 1.34	.12 .12	0.00 0.00
125004940	17	MOBILE TELESYSTEMS OJSC SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	334.82	288.73 288.73	46.09 46.09	0.00 0.00

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KATHLEEN M. O'CONNELL

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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004950	4	MOBILE TELESYSTEMS OJSC SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	\$ 86 63	\$ 67 94 \$ 67 94	\$ 18 69 \$ 18 69	\$ 0.00 \$ 0.00
125004970	2	MONSANTO CO NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/04/10	06/04/10	99.71	148.32 148.32	(48.61) (48.61)	0.00 0.00
125004980	11	MONSANTO CO NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/04/10	07/01/10	510.01	815 73 815 73	(305 72) (305 72)	0.00 0.00
125004990	41	MORGAN STANLEY MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/09/10	1,195 15	1,175 86 1,175 86	19 29 19 29	0.00 0.00
125005000	64	MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/04/10	434 29	466 56 466 56	(32 27) (32.27)	0.00 0.00
125005010	12	MTN GROUP LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	11/23/09	07/23/10	188 99	193.15 193 15	(4.16) (4.16)	0.00 0.00
125005020	7	MTN GROUP LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	11/23/09	11/19/10	129.91	112.67 112 67	17.24 17 24	0.00 0.00
125005030	46	NASPERS LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/14/10	1,812.69	1,357.00 1,357 00	455.69 455 69	0.00 0.00
125005040	4	NASPERS LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	147 47	118 00 118 00	29 47 29.47	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125005050	1	NASPERS LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	07/23/10	\$ 40.55	\$ 29.50 \$ 29.50	\$ 11.05 \$ 11.05	\$ 0.00 \$ 0.00
125005120	5	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	07/23/10	188.08	186.49 186.49	1.59 1.59	0.00 0.00
125005140	39	NEDBANK GROUP LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	01/14/10	1,263.72	1,041.30 1,041.30	222.42 222.42	0.00 0.00
125005150	56	NEDBANK GROUP LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	03/12/10	1,957.25	1,495.20 1,495.20	462.05 462.05	0.00 0.00
	4	MorganStanley SmithBarney LLC acted as your agent	08/21/09		139.80	112.60 112.60	27.20 27.20	0.00 0.00
125005180	27	NET SERVICOS DE COMUNICACA S A. NEW ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	269.99	292.68 292.68	(22.69) (22.69)	0.00 0.00
125005190	83	NET SERVICOS DE COMUNICACA S A NEW ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	08/19/10	1,065.16	899.71 899.71	165.45 165.45	0.00 0.00
	17	MorganStanley SmithBarney LLC acted as your agent	08/21/09		218.17	189.03 189.03	29.14 29.14	0.00 0.00
	30		01/14/10		385.00	399.90 399.90	(14.90) (14.90)	0.00 0.00
	2		07/23/10		25.66	21.34 21.34	4.32 4.32	0.00 0.00
125005200	1	NETAPP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/15/10	11/19/10	52.26	48.96 48.96	3.30 3.30	0.00 0.00
125005210	3	NETFLIX INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/10	09/27/10	490.32	342.49 342.49	147.83 147.83	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125005250	3	NIKE INC CL B MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	\$ 215.77	\$ 170.22	\$ 45.55	\$ 0.00
125005260	3	NIKE INC CL B MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/15/10	221.80	170.22	51.58	0.00
125005270	3	NIKE INC CL B MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/30/10	203.17	170.22	32.95	0.00
125005280	12	NIKE INC CL B MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/18/10	827.50	680.88	146.62	0.00
125005290	66	NOKIA CORP SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/10	10/15/10	715.41	636.24	79.17	0.00
125005300	93	NOMURA HOLDINGS INC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/04/10	666.50	792.36	(125.86)	0.00
125005310	4	NORTHEAST UTILITIES MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	103.51	94.56	8.95	0.00
125005320	5	NORTHEAST UTILITIES MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	138.59	118.20	20.39	0.00
125005330	2	NORTHROP GRUMMAN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/02/09	06/04/10	118.01	89.91	28.10	0.00

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Client Statement
2010 Year End Summary

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125005350	10	NOVO-NORDISK A S ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/13/10	\$ 849.75	\$ 599.40 \$ 599.40	\$ 250.35 \$ 250.35	\$ 0.00 \$ 0.00
125005400	4	OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/28/10	301.42	285.79 285.79	15.63 15.63	0.00 0.00
125005410	5	OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	02/05/10	373.43	357.24 357.24	16.19 16.19	0.00 0.00
125005420	13	OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	05/07/10	1,041.51	928.82 928.82	112.69 112.69	0.00 0.00
125005430	2	OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	160.14	142.89 142.89	17.25 17.25	0.00 0.00
125005440	2	OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	161.91	142.89 142.89	19.02 19.02	0.00 0.00
125005460	5	LUKOIL OIL SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	257.44	226.50 226.50	30.94 30.94	0.00 0.00
125005470	2	LUKOIL OIL SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	110.49	90.60 90.60	19.89 19.89	0.00 0.00
125005490	7	POLYUS GOLD ADR ADR USD MorganStanley SmithBarney LLC	08/19/09	06/04/10	170.79	144.20 144.20	26.59 26.59	0.00 0.00

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MorganStanley SmithBarney

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125005500	2	POLYUS GOLD ADR ADR USD	08/19/09	07/23/10	\$ 52.79	\$ 41.20 \$ 41.20	\$ 11.59 \$ 11.59	\$ 0.00 \$ 0.00
MorganStanley SmithBarney LLC								
125005520	26	ORACLE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/22/10	657.03	565.46 565.46	91.57 91.57	0.00 0.00
125005530	24	ORACLE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	537.83	521.96 521.96	15.87 15.87	0.00 0.00
125005550	2	PNC FINANCIAL SERVICES GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/09/10	06/04/10	118.88	112.41 112.41	6.47 6.47	0.00 0.00
125005560	5	PNC FINANCIAL SERVICES GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/09/10	07/23/10	299.15	281.04 281.04	18.11 18.11	0.00 0.00
125005570	1	PNC FINANCIAL SERVICES GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/09/10	12/13/10	60.25	56.21 56.21	4.04 4.04	0.00 0.00
125005580	5	POSCO SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/10/09	06/04/10	461.59	496.04 496.04	(34.45) (34.45)	0.00 0.00
125005630	13	PPL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	04/30/10	324.24	374.79 374.79	(50.55) (50.55)	0.00 0.00
125005640	1	PPL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	25.69	28.83 28.83	(3.14) (3.14)	0.00 0.00
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/21/09		102.76	123.51 123.51	(20.75) (20.75)	0.00 0.00

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125005650	10	PPL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/09	07/23/10	\$ 267.12	\$ 308.78 \$ 308.78	(\$ 41.66) (\$ 41.66)	\$ 0.00 \$ 0.00
125005660	54	PANASONIC CORP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	02/08/10	795.88	825.44 825.44	(29.56) (29.56)	0.00 0.00
125005670	49	PANASONIC CORP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	02/17/10	708.04	749.01 749.01	(40.97) (40.97)	0.00 0.00
125005680	3	PARKER-HANNIFIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/21/09	05/21/10	181.50	128.29 128.29	53.21 53.21	0.00 0.00
	15	acted as your agent in this transaction	09/15/09		907.51	810.55 810.55	96.96 96.96	0.00 0.00
125005690	5	PAYCHEX INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/21/10	06/04/10	142.30	146.33 146.33	(4.03) (4.03)	0.00 0.00
125005700	20	PAYCHEX INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/21/10	10/25/10	560.56	585.34 585.34	(24.78) (24.78)	0.00 0.00
125005710	22	PAYCHEX INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/21/10	11/04/10	621.24	643.87 643.87	(22.63) (22.63)	0.00 0.00
	8	acted as your agent in this transaction	07/23/10		225.91	211.63 211.63	14.28 14.28	0.00 0.00
125005720	4	PEABODY ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	07/23/10	181.36	137.68 137.68	43.68 43.68	0.00 0.00
125005740	6,000	PEPSICO INC BOOK/ENTRY DTD 01/14/2010 DUE 01/15/2015 RATE 3.100	01/14/10	05/13/10	6,122.22	6,051.96 6,048.60	70.26 73.62	0.00 73.62

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125005760	3	PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/10/09	04/23/10	\$ 194.05	\$ 172.74 \$ 172.74	\$ 21.31 \$ 21.31	\$ 0.00 \$ 0.00
125005770	6	PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/10/09	06/04/10	371.26	345.48 345.48	25.78 25.78	0.00 0.00
125005780	7	PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/10/09	06/16/10	446.40	403.06 403.06	43.34 43.34	0.00 0.00
125005790	4	PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/10/09	07/15/10	253.21	230.32 230.32	22.89 22.89	0.00 0.00
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/29/10		316.51	300.32 300.32	16.19 16.19	0.00 0.00
	3		04/29/10		189.91	195.48 195.48	(5.57) (5.57)	0.00 0.00
125005800	5	PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/29/10	07/23/10	323.35	325.80 325.80	(2.45) (2.45)	0.00 0.00
125005810	1	PT TELEKOMUNAKASI INDONESIA SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	36.12	33.00 33.00	3.12 3.12	0.00 0.00
125005820	20	PT TELEKOMUNAKASI INDONESIA SPONS ADR MorganStanley SmithBarney LLC acted as your agent	06/04/10	11/19/10	746.05	684.56 684.56	61.49 61.49	0.00 0.00
125005840	2	PETROCHINA CO LTD ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	216.07	220.10 220.10	(4.03) (4.03)	0.00 0.00
125005850	1	PETROCHINA CO LTD ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	114.57	110.05 110.05	4.52 4.52	0.00 0.00

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125005870	52	PETROBRAS MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/14/10	\$ 2,386 67	\$ 2,201.55 \$ 2,201.55	\$ 185 12 \$ 185 12	\$ 0 00 \$ 0 00
125005880	20	PETROBRAS MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/22/10	848 77	846.75 846 75	2.02 2 02	0.00 0.00
125005890	14	PETROBRAS MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	521 66	592.73 592 73	(71 07) (71 07)	0 00 0 00
125005900	1	PETROBRAS MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	36 12	42 34 42 34	(6 22) (6 22)	0 00 0 00
125005940	31	PFIZER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/16/09	07/23/10	451 75	546 27 546 27	(94 52) (94 52)	0 00 0 00
125005960	13	PFIZER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/16/09	10/01/10	223 89	229.08 229 08	(5 19) (5 19)	0 00 0 00
	55	MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/24/10		947 24	967.92 967 92	(20 68) (20 68)	0 00 0 00
125005970	5	PHILIP MORRIS INTL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	221 71	228 38 228 38	(6 67) (6 67)	0 00 0 00
125005980	29	PHILIP MORRIS INTL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/11/10	1,276 05	1,324 57 1,324 57	(48 52) (48 52)	0 00 0 00
125005990	7	PHILIP MORRIS INTL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	354 45	319 73 319 73	34 72 34 72	0 00 0 00

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KATHLEEN M. O'CONNELL

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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125006000	271 992	PIMCO FDS - EMERGING LOCAL BOND P CONFIRM #500002041231268 MorganStanley SmithBarney LLC	06/04/10	07/23/10	\$ 2,828.72	\$ 2,681.84 \$ 2,681.84	\$ 146.88 \$ 146.88	\$ 0.00 \$ 0.00
125006010	2,482.68	PIMCO FDS - EMERGING LOCAL BOND P CONFIRM #500003230147406 MorganStanley SmithBarney LLC	06/04/10	11/19/10	27,284.65	24,479.23 24,479.23	2,805.42 2,805.42	0.00 0.00
125006020	255 947	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P CONFIRM #500002041742488 MorganStanley SmithBarney LLC	06/04/10	07/23/10	1,950.32	1,868.41 1,868.41	81.91 81.91	0.00 0.00
125006030	10	PING AN INS GROUP CO CHINA LTD-CNY MorganStanley SmithBarney LLC	03/12/10	06/04/10	159.89	168.10 168.10	(8.21) (8.21)	0.00 0.00
125006040	4	POTASH CORP SASK INC- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/29/10	413.45	380.12 380.12	33.33 33.33	0.00 0.00
125006050	2	POTASH CORP SASK INC- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/04/10	230.09	190.06 190.06	40.03 40.03	0.00 0.00
125006060	7	POTASH CORP SASK INC- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/23/10	858.47	665.21 665.21	193.26 193.26	0.00 0.00
125006070	13	PRAXAIR INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/25/10	1,036.27	998.40 998.40	37.87 37.87	0.00 0.00
125006080	1	PRAXAIR INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	75.16	76.80 76.80	(1.64) (1.64)	0.00 0.00

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Details of Short Term Gain (Loss) 2010 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125006090	2	PRAXAIR INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	\$ 169.39	\$ 153.60 \$ 153.60	\$ 15.79 \$ 15.79	\$ 0.00 \$ 0.00
125006130	1	PRUDENTIAL FINANCIAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/10/10	11/19/10	53.98	57.00 57.00	(3.02) (3.02)	0.00 0.00
125006140	2	PT INDOSAT-ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	53.80	51.16 51.16	2.64 2.64	0.00 0.00
125006150	2	PT INDOSAT-ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	54.35	51.16 51.16	3.19 3.19	0.00 0.00
125006170	5	PUBLIC SERVICE ENTERPRISE GRP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	156.54	158.50 158.50	(1.96) (1.96)	0.00 0.00
125006190	29	QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/08/10	05/27/10	1,028.27	1,424.80 1,424.80	(396.53) (396.53)	0.00 0.00
125006200	24	QWEST COMMUNICATIONS INTL MorganStanley SmithBarney LLC acted as your agent in this transaction	01/05/10	07/23/10	134.88	99.99 99.99	34.89 34.89	0.00 0.00
125006210	10	QWEST COMMUNICATIONS INTL MorganStanley SmithBarney LLC acted as your agent in this transaction	01/05/10	11/19/10	68.69	40.86 40.86	27.83 27.83	0.00 0.00
125006220	1	RANGE RESOURCES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	52.96	49.77 49.77	3.19 3.19	0.00 0.00

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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125006260	16	RAYTHEON COMPANY NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/30/09	08/05/10	\$ 745.66	\$ 825.67 \$ 825.67	(\$ 80.01) (\$ 80.01)	\$ 0.00 \$ 0.00
125006280	4	RIO TINTO PLC-GBP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	181.61	153.92 153.92	27.69 27.69	0.00 0.00
125006290	3	RIO TINTO PLC-GBP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	152.83	115.44 115.44	37.39 37.39	0.00 0.00
125006320	4	ROCKWELL COLLINS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/05/10	06/04/10	228.89	239.02 239.02	(10.13) (10.13)	0.00 0.00
125006330	1	ROCKWELL COLLINS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/05/10	11/19/10	55.63	59.75 59.75	(4.12) (4.12)	0.00 0.00
125006340	1	ROYAL BANK OF CANADA -CAD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	51.58	46.11 46.11	5.47 5.47	0.00 0.00
125006350	2	ROYAL BANK OF CANADA -CAD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	100.36	92.22 92.22	8.14 8.14	0.00 0.00
125006360	3,342 139	ROYCE PREMIER FUND INV CLASS CONFIRM #500001551075511 MorganStanley SmithBarney LLC acted as your agent	08/21/09	06/04/10	55,045.03	50,132.08 50,132.08	4,912.95 4,912.95	0.00 0.00
125006380	9	SK TELECOM LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	143.72	142.29 142.29	1.43 1.43	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125006390	5	SK TELECOM LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	\$ 79.64	\$ 79.05 \$ 79.05	\$.59 \$.59	\$ 0.00 \$ 0.00
125006400	3	SK TELECOM LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/19/10	12/10/10	55.79	54.60 54.60	1.19 1.19	0.00 0.00
125006410	4	SAFEWAY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/29/09	04/15/10	105.60	79.99 79.99	25.61 25.61	0.00 0.00
	8	acted as your agent in this transaction.	06/17/09		211.21	163.79 163.79	47.42 47.42	0.00 0.00
125006420	4	SAFEWAY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/17/09	04/29/10	95.81	81.90 81.90	13.91 13.91	0.00 0.00
	38	acted as your agent in this transaction.	06/24/09		910.20	776.02 776.02	134.18 134.18	0.00 0.00
	10		10/12/09		239.53	213.60 213.60	25.93 25.93	0.00 0.00
125006430	19	ST JUDE MEDICAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/23/10	760.10	717.79 717.79	42.31 42.31	0.00 0.00
125006440	10	ST JUDE MEDICAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	367.64	377.79 377.79	(10.15) (10.15)	0.00 0.00
125006450	4	ST JUDE MEDICAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/09	11/01/10	151.36	137.56 137.56	13.80 13.80	0.00 0.00
125006460	1	SALESFORCE COM INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/21/10	06/04/10	94.74	79.26 79.26	15.48 15.48	0.00 0.00
125006470	2	SAP AG SPONS ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	96.12	93.20 93.20	2.92 2.92	0.00 0.00

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Ref: 00000082 00010307

KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125006490	35	SASOL LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/14/10	\$ 1,452.60	\$ 1,275.40 \$ 1,275.40	\$ 177.20 \$ 177.20	\$ 0.00 \$ 0.00
125006500	1	SASOL LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	39.23	36.44 36.44	2.79 2.79	0.00 0.00
125006530	8	SCHNEIDER ELECT SA UNSPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	91.19	72.64 72.64	18.55 18.55	0.00 0.00
125006550	1	SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/07/09	07/23/10	50.44	50.72 50.72	(.28) (.28)	0.00 0.00
125006570	10	SHOPRITE HOLDINGS UNSPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/20/10	06/04/10	200.99	180.00 180.00	20.99 20.99	0.00 0.00
125006580	7	SHOPRITE HOLDINGS UNSPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/20/10	11/19/10	204.74	126.00 126.00	78.74 78.74	0.00 0.00
125006590	4	SINOPEC SHANGHAI SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	141.71	181.12 181.12	(39.41) (39.41)	0.00 0.00
125006600	48	SOUTHERN CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	05/27/10	1,572.81	1,493.66 1,493.66	79.15 79.15	0.00 0.00
125006610	3	SOUTHERN CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	97.47	93.36 93.36	4.11 4.11	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)																	
125006620	4	SOUTHERN CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	07/23/10	\$ 142.35	\$ 124.47 \$ 124.47	\$ 17.88 \$ 17.88	\$ 0.00 \$ 0.00																	
125006630	2	SOUTHWESTERN ENERGY CO DEL MorganStanley SmithBarney LLC acted as your agent	12/23/09	06/04/10	85.52	99.20 99.20	(13.68) (13.68)	0.00 0.00																	
125006640	1	SOUTHWESTERN ENERGY CO DEL MorganStanley SmithBarney LLC acted as your agent	12/23/09	11/19/10	38.11	49.60 49.60	(11.49) (11.49)	0.00 0.00																	
125006650	42	STAPLES INC MorganStanley SmithBarney LLC acted as your agent	08/19/09	05/07/10	919.43	900.47 900.47	18.96 18.96	0.00 0.00																	
	10	acted as your agent in this transaction	03/04/10		218.91	228.54 228.54	(9.63) (9.63)	0.00 0.00																	
125006660	9	STARBUCKS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/07/10	06/04/10	237.50	232.08 232.08	5.42 5.42	0.00 0.00																	
125006670	14	STARBUCKS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/07/10	12/16/10	457.10	361.01 361.01	96.09 96.09	0.00 0.00																	
125006700	2	SWATCH GROUP AG UNSPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	09/22/10	11/19/10	39.27	36.67 36.67	2.60 2.60	0.00 0.00																	
125006740	4	TARGET CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/09/09	04/23/10	229.95	197.78 197.78	32.17 32.17	0.00 0.00																	
125006750	6	TARGET CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/09/09	06/04/10	317.21	296.68 296.68	20.53 20.53	0.00 0.00																	



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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125006760	1	TARGET CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/18/09	10/21/10	\$ 54.40	\$ 48.08 \$ 48.08	\$ 6.32 \$ 6.32	\$ 0.00 \$ 0.00
125006770	1	TARGET CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/22/10	11/19/10	55.19	50.75 50.75	4.44 4.44	0.00 0.00
125006780	101	TATA MOTORS LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent	01/14/10	02/25/10	1,494.84	1,758.34 1,758.34	(263.50) (263.50)	0.00 0.00
125006790	65	TELE NORTE LESTE PARTICIPACOEES *TLPP,-BRL SPONSORED ADR REPSTG PFD MorganStanley SmithBarney LLC	08/19/09	05/27/10	990.10	989.17 989.17	.93 .93	0.00 0.00
	6		08/21/09		91.39	94.32 94.32	(2.93) (2.93)	0.00 0.00
125006800	43	TELECOM ARGENTINA S.A ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	01/14/10	774.88	610.28 610.28	164.60 164.60	0.00 0.00
125006810	9	TELECOM ARGENTINA S.A ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	150.74	127.73 127.73	23.01 23.01	0.00 0.00
125006820	3	TELECOM ARGENTINA S.A ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	54.47	42.58 42.58	11.89 11.89	0.00 0.00
125006840	51	TELEFONOS DE MEXICO SP ADR SPONSORED ADR REPSTG SH SR L MorganStanley SmithBarney LLC acted as your agent	08/19/09	03/22/10	771.10	898.62 898.62	(127.52) (127.52)	0.00 0.00
	9		08/21/09		136.08	165.76 165.76	(29.68) (29.68)	0.00 0.00
125006850	6	TENARIS S A SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	215.88	175.98 175.98	39.90 39.90	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125006860	2	TENARIS S A SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	\$ 78.71	\$ 58.66 \$ 58.66	\$ 20.05 \$ 20.05	\$ 0.00 \$ 0.00
125006880	6	TENCENT HLDGS LTD-HKD MorganStanley SmithBarney LLC acted as your agent in this transaction	01/14/10	06/04/10	113.15	135.00 135.00	(21.85) (21.85)	0.00 0.00
125006890	1	TENCENT HLDGS LTD-HKD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/10	07/23/10	17.64	22.50 22.50	(4.86) (4.86)	0.00 0.00
125006900	4	TENCENT HLDGS LTD-HKD MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/14/10	11/19/10	90.59	90.00 90.00	59 59	0.00 0.00
125006910	3	TERNIUM S A SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	05/27/10	06/04/10	98.42	103.97 103.97	(5.55) (5.55)	0.00 0.00
125006920	2	TERNIUM S A SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/27/10	07/23/10	73.47	69.32 69.32	4.15 4.15	0.00 0.00
125006930	31	TERNIUM S A SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/27/10	08/19/10	1,016.53	1,074.41 1,074.41	(57.88) (57.88)	0.00 0.00
125006940	5	TESCO PLC SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	91.74	90.70 90.70	1.04 1.04	0.00 0.00
125007020	5	TIGER BRANDS LTD SPONSORED ADR NEW MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	112.04	94.45 94.45	17.59 17.59	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125007030	3	TIGER BRANDS LTD SPONSORED ADR NEW MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	\$ 73.82	\$ 56.67 \$ 56.67	\$ 17.15 \$ 17.15	\$ 0.00 \$ 0.00
125007050	7	TIME WARNER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	03/03/10	207.19	165.83 165.83	41.36 41.36	0.00 0.00
125007060	6	TIME WARNER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	182.63	142.14 142.14	40.49 40.49	0.00 0.00
125007070	4	TIME WARNER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/23/10	10/25/10	127.00	123.76 123.76	3.24 3.24	0.00 0.00
125007100	4,000 1,000	TRANSOCEAN SEDCO FOR DTD 12/11/2007 018 DUE 03/15/2018 RATE 6.000	01/06/10 09/22/10	10/19/10	4,331.84 1,082.91	4,327.08 4,301.08 1,034.15 1,033.85	4.56 30.56 48.76 49.06	0.00 30.56 0.00 49.06
125007130	4	US BANCORP DEL NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	06/04/10	92.11	85.98 85.98	6.13 6.13	0.00 0.00
125007140	4	US BANCORP DEL NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	07/23/10	95.50	85.98 85.98	9.52 9.52	0.00 0.00
125007190	6	UNION PACIFIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	01/29/10	374.72	357.76 357.76	16.96 16.96	0.00 0.00
125007200	4	UNION PACIFIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	02/24/10	265.62	238.51 238.51	27.11 27.11	0.00 0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125007210	17	UNION PACIFIC CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/19/09	03/02/10	\$ 1,145.89	\$ 1,013.67 \$ 1,013.67	\$ 132.22 \$ 132.22	\$ 0.00 \$ 0.00
125007250	6,000	U S TREASURY NOTES SER E-2012 DTD 11/15/2002 DUE 11/15/2012 RATE 4.000 YIELD .406MTY	03/29/10	09/22/10	6,459.85	6,410.15 6,338.16	49.70 121.69	0.00 121.69
125007280	1,000	U S TREASURY NOTES F-2019 DTD 11/15/2009 DUE 11/15/2019 RATE 3.375 YIELD 3.359MTY	12/10/09	06/03/10	1,001.25	992.66 992.66	8.59 8.59	0.00 8.59
125007360	4	V F CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/19/09	06/04/10	299.68	263.40 263.40	36.28 36.28	0.00 0.00
125007370	7	V F CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/19/09	06/30/10	504.10	460.95 460.95	43.15 43.15	0.00 0.00
125007380	30	VALE SA SPON ADR REPSTG 1 CLASS A PFD Morgan Stanley Smith Barney LLC acted as your agent	08/19/09	06/04/10	683.38	518.02 518.02	165.36 165.36	0.00 0.00
125007390	9	VALE SA SPON ADR REPSTG 1 CLASS A PFD Morgan Stanley Smith Barney LLC acted as your agent	08/19/09	07/23/10	213.56	155.41 155.41	58.15 58.15	0.00 0.00
125007410	264	VANGUARD REIT ETF Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	06/04/10	11/19/10	13,883.84	12,574.32 12,574.32	1,309.52 1,309.52	0.00 0.00
125007450	3	VISA INC COM CL A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/19/09	06/04/10	217.81	201.11 201.11	16.70 16.70	0.00 0.00



MorganStanley SmithBarney

Client Statement 2010 Year End Summary

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KATHLEEN M. O'CONNELL
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Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125007500	10	WAL-MART DE MEXICO SA DE CV SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	03/22/10	\$ 488.10	\$ 355.00	\$ 133.10	\$ 0.00
125007510	23	WAL-MART DE MEXICO SA DE CV SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	05/03/10	528.99	408.25	120.74	0.00
125007520	4	WAL-MART DE MEXICO SA DE CV SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	94.83	71.00	23.83	0.00
125007540	2	WAL-MART STORES INC MorganStanley SmithBarney LLC acted as your agent	08/19/09	04/29/10	107.68	103.08	4.60	0.00
1		acted as your agent in this transaction.	01/22/10		53.84	53.38	46	0.00
125007550	2	WAL-MART STORES INC MorganStanley SmithBarney LLC acted as your agent	01/22/10	06/04/10	101.74	106.76	(5.02)	0.00
125007560	4	WAL-MART STORES INC MorganStanley SmithBarney LLC acted as your agent	01/22/10	07/23/10	205.99	213.52	(7.53)	0.00
125007570	3	WALGREEN CO NEW MorganStanley SmithBarney LLC acted as your agent	02/25/10	06/04/10	93.96	105.89	(11.93)	0.00
125007580	17	WALGREEN CO NEW MorganStanley SmithBarney LLC acted as your agent	02/25/10	10/01/10	572.72	600.06	(27.34)	0.00
125007590	7	WALGREEN CO NEW MorganStanley SmithBarney LLC acted as your agent	02/25/10	11/01/10	240.46	247.08	(6.62)	0.00
24		acted as your agent in this transaction.	07/16/10		824.43	702.00	122.43	0.00
11			07/23/10		377.86	328.67	49.19	0.00
					328.67		49.19	0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125007610	12	WELLS FARGO & CO NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/12/09	07/23/10	\$ 325 72	\$ 363 61 \$ 363 61	(\$ 37 89) (\$ 37 89)	\$ 0 00 \$ 0 00
125007670	13	WYNN RESORTS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/28/09	02/25/10	807.68	927.21 927 21	(119.53) (119 53)	0 00 0 00
125007690	33	YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/27/09	01/29/10	505 63	439 64 439 64	65 99 65 99	0 00 0 00
125007700	62	YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/27/09	03/24/10	995 15	825 98 825 98	169 17 169 17	0 00 0 00
	6	acted as your agent in this transaction.	09/17/09		96 30	103 06 103 06	(6 76) (6 76)	0 00 0 00
125007710	16	YANZHOU COAL MINING CO LTD SPONS ADR REPSTG H SHS MorganStanley SmithBarney LLC acted as your agent	08/19/09	06/04/10	345 66	239 32 239 32	106 34 106 34	0 00 0 00
125007720	11	YANZHOU COAL MINING CO LTD SPONS ADR REPSTG H SHS MorganStanley SmithBarney LLC acted as your agent	08/19/09	07/23/10	236.55	164.53 164 53	72 02 72 02	0 00 0 00
Total					\$ 550,064 37	\$ 503,614.94 \$ 503,364.11	\$ 46,449.43 \$ 46,700 26	\$ 15 17 \$ 667 48

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000030	26	BUNGE LIMITED MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	09/09/10	\$ 1,482.23	\$ 1,683.76 \$ 1,683.76	(\$ 201.53) (\$ 201.53)	\$ 0.00 \$ 0.00
125000060	4	CREDICORP LTD -USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/12/10	479.95	286.16 286.16	193.79 193.79	0.00 0.00
125000100	11	RTS STANDARD CHARTERED RIGHTS TO UNDERLYING EXPIRY DATE TBA EXP 11/05/2010	Unavailable	10/28/10	94.04			
125000110	1	ALCON INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	162.73	130.53 130.53	32.20 32.20	0.00 0.00
125000120	11	ALCON INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/24/10	1,756.16	1,435.83 1,435.83	320.33 320.33	0.00 0.00
125000160	9	QIAGEN-EUR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	173.81	189.09 189.09	(15.28) (15.28)	0.00 0.00
125000200	1,000	AT&T INC BOOK/ENTRY DTD 02/01/2008 DUE 02/01/2018 RATE 5.500	01/28/09	06/03/10	1,080.28	1,024.23 1,021.19	56.05 59.09	0.00 59.09
125000220	19	AT&T INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/12/09	07/23/10	488.65	472.84 472.84	15.81 15.81	0.00 0.00

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2010 Year End Summary

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Ref. 00000082 00010316

KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000230	2	AT&T INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/12/09	11/19/10	\$ 56.39	\$ 49.77 \$ 49.77	\$ 6.62 \$ 6.62	\$ 0.00 \$ 0.00
125000240	35	ABBOTT LABORATORIES MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/24/09	05/19/10	1,678.28	1,509.69 1,509.69	168.59 168.59	0.00 0.00
125000250	2	ABBOTT LABORATORIES MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/24/09	06/04/10	93.45	86.27 86.27	7.18 7.18	0.00 0.00
125000260	5	ABBOTT LABORATORIES MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/24/09	07/23/10	244.78	215.67 215.67	29.11 29.11	0.00 0.00
125000320	71	ADVANCED INFO SERVICES PUB CO LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	215.18	188.15 188.15	27.03 27.03	0.00 0.00
125000370	10	AIR LIQUIDE ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	251.59	194.53 194.53	57.06 57.06	0.00 0.00
125000380	.1656	AKBANK TURK ANONIM SIRKETI-ADR CASH IN LIEU OF .66666 RECORD 04/23/10 PAY 04/29/10	Unavailable	04/29/10	1.48			
125000390	78	AKBANK TURK ANONIM SIRKETI-ADR MorganStanley SmithBarney LLC acted as your agent	Unavailable	06/04/10	768.28			
125000410	8	AKBANK TURK ANONIM SIRKETI-ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	96.47	92.44 92.44	4.03 4.03	0.00 0.00



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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000450	21	ALLIANZ SE ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	\$ 260.18	\$ 222.39 \$ 222.39	\$ 37.79 \$ 37.79	\$ 0.00 \$ 0.00
125000510	2	ALUMINUM CORP OF CHINA ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	45.59	55.90 55.90	(10.31) (10.31)	0.00 0.00
125000520	1	AMAZON COM INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/16/08	01/06/10	133.07	45.85 45.85	87.22 87.22	0.00 0.00
125000530	3	AMAZON COM INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/16/08	06/04/10	377.18	137.56 137.56	239.62 239.62	0.00 0.00
125000550	4	AMERICA MOVIL S.A.B DE CV SER L SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	230.03	181.02 181.02	49.01 49.01	0.00 0.00
125000580	31	AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	05/06/10	1,371.25	1,817.22 1,817.22	(445.97) (445.97)	0.00 0.00
125000600	6	AMGEN INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/15/08	06/04/10	328.62	258.95 258.95	69.67 69.67	0.00 0.00
125000610	10	AMGEN INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/15/08	07/23/10	526.99	431.59 431.59	95.40 95.40	0.00 0.00
125000710	5	ATLAS COPCO AB SPONS ADR RPSTG SER A -USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	109.84	61.00 61.00	48.84 48.84	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000720	2	BG GROUP PLC SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/05/09	11/19/10	\$ 194.79	\$ 132.96 \$ 132.96	\$ 61.83 \$ 61.83	\$ 0.00 \$ 0.00
125000730	2	BP PLC SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/08/08	06/04/10	76.35	127.76 127.76	(51.41) (51.41)	0.00 0.00
125000740	6	BP PLC SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/08/08	06/09/10	178.88	383.26 383.26	(204.38) (204.38)	0.00 0.00
	6	MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/06/09		178.87	240.61 240.61	(61.74) (61.74)	0.00 0.00
125000750	4,000	BP CAPITAL MARKETS PLC DTD 11/07/08 DUE 11/07/2013 RATE 5.250 YTM 2.432	11/19/08	01/14/10	4,406.36	4,044.52 4,034.84	361.84 371.52	0.00 371.52
125000760	10	BAKER HUGHES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/05/08	03/18/10	506.63	346.23 346.23	160.40 160.40	0.00 0.00
125000770	14	BAKER HUGHES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/05/08	05/04/10	672.04	484.72 484.72	187.32 187.32	0.00 0.00
125000870	1	BANCO SANTANDER-CHILE-CLP ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	93.42	51.15 51.15	42.27 42.27	0.00 0.00
125000910	8	BANCOLOMBIA SA BANCOLOMBIA C-COP MorganStanley SmithBarney LLC acted as your agent	08/19/09	10/14/10	526.78	274.40 274.40	252.38 252.38	0.00 0.00
125000920	1,000	BANK OF AMERICA CORP FDIC/TLGP BOOK ENTRY DTD 12/04/2008 DUE 06/15/2012 RATE 3.125	12/05/08	06/03/10	1,040.11	1,008.15 1,004.78	31.96 35.33	0.00 35.33

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000930	13	BANK OF AMERICA CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/07/09	06/04/10	\$ 201.49	\$ 181.95	\$ 19.54	\$ 0.00
125000940	3	BANK OF AMERICA CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/07/09	07/23/10	40.56	41.99	(1.43)	0.00
125000950	4	BANK OF AMERICA CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/12/09	11/19/10	108.17	98.04	10.13	0.00
125001090	1	BHP BILLITON LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	86.11	61.51	24.60	0.00
125001100	3	BOEING CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/08	01/12/10	180.97	188.86	(7.89)	0.00
125001110	22	BOEING CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/08	02/05/10	1,262.51	1,384.99	(122.48)	0.00
125001170	18	BROADCOM CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/07/07	01/08/10	552.68	596.70	(44.02)	0.00
125001190	5	CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/09/09	06/04/10	170.59	130.96	39.63	0.00
125001200	4	CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/09/09	07/23/10	122.08	104.77	17.31	0.00
	5	CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/28/09		152.61	146.74	5.87	0.00

2010 YEAR END SUMMARY



Client Statement
2010 Year End Summary

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001520	6	CHINA RES ENTERPRISES LTD ADR MorganStanley SmithBarney LLC acted as your agent	10/23/09	11/19/10	\$ 51.65	\$ 39.16 \$ 39.16	\$ 12.49 \$ 12.49	\$ 0.00 \$ 0.00
125001550	2	CHINA MOBILE LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	100.65	107.70 107.70	(7.05) (7.05)	0.00 0.00
125001560	28	CHINA MOBILE LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	12/10/10	1,401.94	1,507.74 1,507.74	(105.80) (105.80)	0.00 0.00
125001590	1	CHINA PETROLEUM&CHEM ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	93.99	87.22 87.22	6.77 6.77	0.00 0.00
125001630	4	CHUBB CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/05/08	06/04/10	199.77	207.82 207.82	(8.05) (8.05)	0.00 0.00
125001640	2	CHUBB CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/05/08	07/23/10	105.93	103.91 103.91	2.02 2.02	0.00 0.00
125001650	1 16	CISCO SYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/01/08 07/16/08	06/04/10	22.98 367.67	26.10 26.10 337.57 337.57	(3.12) (3.12) 30.10 30.10	0.00 0.00 0.00 0.00
125001660	35	CISCO SYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/16/08	11/11/10	720.42	738.43 738.43	(18.01) (18.01)	0.00 0.00
125001670	1	CISCO SYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/16/08	11/19/10	19.54	21.10 21.10	(1.56) (1.56)	0.00 0.00



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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001680	48	CISCO SYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/16/08	12/02/10	\$ 921.63	\$ 1,012.70 \$ 1,012.70	(\$ 91.07) (\$ 91.07)	\$ 0.00 \$ 0.00
125001750	5	COCA-COLA CO MorganStanley SmithBarney LLC acted as your agent in this transaction	02/02/07	06/04/10	259.14	241.00 241.00	18.14 18.14	0.00 0.00
125001780	4	MorganStanley SmithBarney LLC acted as your agent in this transaction	11/02/07		207.32	242.80 242.80	(35.48) (35.48)	0.00 0.00
125001790	2	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	09/02/10	149.86	144.00 144.00	5.86 5.86	0.00 0.00
125001790	4	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	11/09/10	308.76	288.00 288.00	20.76 20.76	0.00 0.00
125001800	1	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	11/19/10	78.32	72.00 72.00	6.32 6.32	0.00 0.00
125001810	4	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	12/08/10	314.60	288.00 288.00	26.60 26.60	0.00 0.00
125001820	5,000	COMCAST CORP DTD 03/02/2006 DUE 03/15/2016 RATE 5.900 YTM 4.103	05/05/08	03/11/10	5,473.40	5,105.60 5,084.90	367.80 388.50	0.00 388.50
125001920	3	COMPANHIA SIDERURGICA NACIONAL SPONSORED ADR REPSTG ORD SHS -USD- MorganStanley SmithBarney LLC	08/19/09	11/19/10	50.43	39.49 39.49	10.94 10.94	0.00 0.00
125002000	1	COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	140.65	74.07 74.07	66.58 66.58	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002090	1,000	CONOCO FUNDING CO DTD 10/11/01 DUE 10/15/2011 RATE 6.350 YTM 1.420	06/15/07	06/03/10	\$ 1,065.80	\$ 1,028.64 \$ 1,009.65	\$ 37.16 \$ 56.15	\$ 0.00 \$ 56.15
125002100	3,000	CONOCO FUNDING CO DTD 10/11/01	06/15/07	07/15/10	3,201.09	3,085.92 3,026.46	115.17 174.63	0.00 174.63
	1,000	DUE 10/15/2011 RATE 6.350 YTM .884	09/25/07		1,067.03	1,045.68 1,014.86	21.35 52.17	0.00 52.17
125002160	1,000	CREDIT SUISSE FIRST BOSTON USA NOTES-BK/ENTRY DTD 08/15/2003 DUE 08/15/2013 RATE 5.500	08/14/08	06/03/10	1,082.54	1,000.64 1,000.34	81.90 82.20	0.00 82.20
125002170	4,000	CREDIT SUISSE FIRST BOSTON USA NOTES-BK/ENTRY DTD 08/15/2003 DUE 08/15/2013 RATE 5.500	08/14/08	09/24/10	4,438.04	4,002.56 4,001.28	435.48 436.76	0.00 436.76
125002210	6	DBS GROUP HLDG LTD SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/11/09	07/23/10	250.22	184.24 184.24	65.98 65.98	0.00 0.00
125002220	35	DBS GROUP HLDG LTD SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/11/09	08/12/10	1,444.70	1,074.76 1,074.76	369.94 369.94	0.00 0.00
125002230	1	DBS GROUP HLDG LTD SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/11/09	11/19/10	42.61	30.71 30.71	11.90 11.90	0.00 0.00
125002260	3	DANAHER CORP DE MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	128.84	90.09 90.09	38.75 38.75	0.00 0.00
125002280	4	DASSAULT SYSTEMS SA ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	296.35	200.60 200.60	95.75 95.75	0.00 0.00



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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002320	7	DATANG INTL PWR GENERATION SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	\$ 51.44	\$ 81.55 \$ 81.55	(\$ 30.11) (\$ 30.11)	\$ 0.00 \$ 0.00
125002460	6,000	WALT DISNEY COMPANY DTD 2/28/02	06/15/07	03/29/10	6,569.70	6,180.36 6,079.20	389.34 490.50	0.00 490.50
	1,000	DUE 03/01/2012 RATE 6.375 YTM 1.340	09/25/07		1,094.95	1,049.04 1,022.56	45.91 72.39	0.00 72.39
125002490	6	DIRECTV CL A MorganStanley SmithBarney LLC acted as your agent	09/10/09	11/15/10	252.90	149.96 149.96	102.94 102.94	0.00 0.00
	4		09/22/09		168.60	107.55 107.55	61.05 61.05	0.00 0.00
	12		10/30/09		505.79	316.44 316.44	189.35 189.35	0.00 0.00
125002530	6	DOCTOR REDDYS LABORATORY LIMITED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	236.76	97.28 97.28	139.48 139.48	0.00 0.00
125002560	4	E I DU PONT DE NEMOURS & CO MorganStanley SmithBarney LLC acted as your agent	02/02/07	06/04/10	138.79	200.96 200.96	(62.17) (62.17)	0.00 0.00
125002570	7	E I DU PONT DE NEMOURS & CO MorganStanley SmithBarney LLC acted as your agent	02/02/07	07/23/10	264.90	351.68 351.68	(86.78) (86.78)	0.00 0.00
125002600	5	EMC CORP-MASS MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	107.99	75.39 75.39	32.60 32.60	0.00 0.00
125002680	26	ECOPETROL SA ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	10/14/10	1,204.90	695.50 695.50	509.40 509.40	0.00 0.00
	7		08/21/09		324.39	192.26 192.26	132.13 132.13	0.00 0.00
125002690	3	EMERSON ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent	08/17/07	06/04/10	137.40	138.03 138.03	(.63) (.63)	0.00 0.00

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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002720	1	EMPRESAS ICA S.A DE C.V SPONSORED ADR-NEW MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	\$ 10 51	\$ 7 70 \$ 7 70	\$ 2 81 \$ 2 81	\$ 0 00 \$ 0 00
125002750	3	ENCANA CORP-CAD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	84 57	80 31 80 31	4 26 4 26	0 00 0 00
125002790	46	ENERGIS S A. SPONSORED ADR -USD- MorganStanley SmithBarney LLC acted as your agent	08/19/09	10/14/10	1,096 82	831 66 831 66 200 52 200 52	265 16 265 16 61 76 61 76	0 00 0 00 0 00 0 00
125002820	1	ENERGY CORPORATION-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	73 18	79 86 79 86	(6 68) (6 68)	0 00 0 00
125002870	2	EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	79 49	98 42 98 42	(18 93) (18 93)	0 00 0 00
125002910	7	EXXON MOBIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	06/04/10	423 28	583 98 583 98	(160 70) (160 70)	0 00 0 00
125002920	22	EXXON MOBIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	06/30/10	1,259 09	1,835 36 1,835 36	(576 27) (576 27)	0 00 0 00
125002930	6	EXXON MOBIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	07/23/10	357 41	500 55 500 55	(143 14) (143 14)	0 00 0 00
125002940	4	FANUC LTD JAPAN-JPY MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	285 11	157 80 157 80	127 31 127 31	0 00 0 00



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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002950	2,000	FEDERAL HOME LOAN MTG CORP MEDIUM TERM NOTES-BK/ENTRY DTD 01/30/2004 DUE 01/30/2014 RATE 5.000	06/15/07	06/03/10	\$ 2,215.36	\$ 1,939.88 \$ 1,939.88	\$ 275.48 \$ 275.48	\$ 24.16 \$ 251.32
125002960	4,000	FEDERAL HOME LOAN MTG CORP MEDIUM TERM NOTES-BK/ENTRY DTD 01/30/2004 DUE 01/30/2014 RATE 5.000	06/15/07	09/15/10	4,503.80	3,879.75 3,879.75	624.05 624.05	53.44 570.61
125002980	9,000	FEDERAL NATL MTG ASSN BENCHMARK NOTES-BK/ENTRY DTD 05/25/2001 DUE 05/15/2011 RATE 6.000	06/15/07	02/02/10	9,622.98	9,180.11 9,062.37	442.87 560.61	0.00 560.61
	2,000		08/21/07		2,138.44	2,084.53	53.91	0.00
	2,000		11/07/07		2,138.44	2,030.54	107.90	107.90
						2,104.82	33.62	0.00
						2,039.80	98.64	98.64
125002990	2,000	FEDERAL NATL MTG ASSN GLOBAL DEBS-BK/ENTRY DTD 08/17/2006 DUE 09/15/2016 RATE 5.250	06/15/07	06/03/10	2,267.14	1,954.27 1,954.27	312.87 312.87	12.17 300.70
125003100	12	FOREST LABORATORIES INC MorganStanley SmithBarney LLC acted as your agent	11/02/07	01/06/10	382.28	464.04 464.04	(81.76) (81.76)	0.00 0.00
	10		12/26/07		318.57	370.00	(51.43)	0.00
						370.00	(51.43)	0.00
125003120	4	FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	01/20/09	02/24/10	292.84	94.83 94.83	198.01 198.01	0.00 0.00
125003130	4	FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	01/20/09	04/23/10	317.89	94.83 94.83	223.06 223.06	0.00 0.00
125003140	3	FREEPORT MCMORAN COPPER & GOLD CL B	01/20/09	05/07/10	209.79	71.13	138.66	0.00
	15	MorganStanley SmithBarney LLC acted as your agent	02/11/09		1,048.94	71.13 423.25	138.66 625.69	0.00 0.00
						423.25	625.69	0.00
125003150	3	FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	02/11/09	06/04/10	192.81	84.65 84.65	108.16 108.16	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003160	1	FREEMONT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	02/11/09	07/23/10	\$ 70.24	\$ 28.22 \$ 28.22	\$ 42.02 \$ 42.02	\$ 0.00 \$ 0.00
125003170	2	FREEMONT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	02/11/09	10/28/10	191.32	56.43 56.43	134.89 134.89	0.00 0.00
125003180	1	FRESENIUS MEDICAL CARE AG & CO KGAA SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	60.14	43.54 43.54	16.60 16.60	0.00 0.00
125003190	.3554	FRONTIER COMMUNICATIONS CORP CASH IN LIEU OF .88345	01/07/05	07/02/10	2.62	3.49 3.49	(.87) (.87)	0.00 0.00
	.2538	RECORD 06/07/10 PAY 07/01/10 FROM 92343V104000 (SPINOFF)	02/27/07		1.87	2.40 2.40	(.53) (.53)	0.00 0.00
125003200	8.046	FRONTIER COMMUNICATIONS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/07/05	07/13/10	57.52	79.12 79.12	(21.60) (21.60)	0.00 0.00
	5.7471		02/27/07		41.09	54.42 54.42	(13.33) (13.33)	0.00 0.00
125003250	8	GAZPROM OAO SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	176.39	153.20 153.20	23.19 23.19	0.00 0.00
125003280	6	GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/21/07	06/04/10	94.98	232.20 232.20	(137.22) (137.22)	0.00 0.00
	10		10/11/07		158.29	415.27 415.27	(256.98) (256.98)	0.00 0.00
125003290	26	GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/11/07	07/23/10	392.76	1,079.70 1,079.70	(686.94) (686.94)	0.00 0.00
125003300	6,000	GENERAL ELECTRIC CAP DTD 03/04/2005	06/15/07	03/29/10	6,288.72	5,654.64 5,654.64	634.08 634.08	107.52 526.56
	1,000	DUE 03/04/2015 RATE 4.875 YTM 3.794	09/25/07		1,048.12	967.75 967.75	80.37 80.37	9.47 70.90

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KATHLEEN M. O'CONNELL
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Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003320	3	GENERAL MILLS INC WITH DUE BILL SPLIT 2:1 MorganStanley SmithBarney LLC acted as your agent	01/17/08	06/04/10	\$ 221.54	\$ 163.65 \$ 163.65	\$ 57.89 \$ 57.89	\$ 0.00 \$ 0.00
125003330	2	GENERAL MILLS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/17/08	07/23/10	70.91	54.55 54.55	16.36 16.36	0.00 0.00
125003350	1,000	GLAXOSMITHKLINE CAP DTD 05/13/2008 DUE 05/15/2013 RATE 4.850 YTM 1.824	06/20/08	06/03/10	1,086.12	990.35 990.35	95.77 95.77	0.00 95.77
125003360	4,000	GLAXOSMITHKLINE CAP DTD 05/13/2008 DUE 05/15/2013 RATE 4.850 YTM 1.688	06/20/08	06/24/10	4,353.76	3,961.40 3,961.40	392.36 392.36	0.00 392.36
125003380	6	GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	12/01/10	949.28	953.16 953.16	(3.88) (3.88)	0.00 0.00
125003400	1,000	GOLDMAN SACHS GROUP INC FDIC/TLGP BOOK ENTRY DTD 12/01/08 DUE 06/15/2012 RATE 3.250	03/25/09	06/03/10	1,042.77 1,042.77	1,036.52 1,023.19	6.25 19.58	0.00 19.58
125003410	2	GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent	10/24/08	05/21/10	947.15	689.67 689.67	257.48 257.48	0.00 0.00
125003420	1	GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent	10/24/08	06/04/10	507.10	344.83 344.83	162.27 162.27	0.00 0.00
125003430	1	GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent	12/29/08	06/09/10	480.11	294.74 294.74	185.37 185.37	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003440	1	GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent	12/29/08	09/14/10	\$ 483.81	\$ 294.74 \$ 294.74	\$ 189.07 \$ 189.07	\$ 0.00 \$ 0.00
125003450	7	GRUPO TELEVISA SA DE CV SPON ADR MorganStanley SmithBarney LLC acted as your agent	04/03/08	06/04/10	129.35	172.43 172.43	(43.08) (43.08)	0.00 0.00
125003460	2	GRUPO TELEVISA SA DE CV SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/07/08	07/23/10	36.96	47.59 47.59	(10.63) (10.63)	0.00 0.00
125003470	5	GRUPO TELEVISA SA DE CV SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/07/08	07/23/10	93.14	118.99 118.99	(25.85) (25.85)	0.00 0.00
125003530	1	GRUPO TELEVISA SA DE CV SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/07/08	11/19/10	22.81	23.80 23.80	(.99) (.99)	0.00 0.00
125003540	8	HDFC BANK LTD ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/12/10	1,430.27	776.96 776.96	653.31 653.31	0.00 0.00
125003550	1	HSBC HLDG PLC SP ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/07/09	07/23/10	49.63	32.53 32.53	17.10 17.10	0.00 0.00
125003560	2	HSBC HLDG PLC SP ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/07/09	11/19/10	104.44	65.06 65.06	39.38 39.38	0.00 0.00
125003570	2	HALLIBURTON CO HOLDINGS CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/05/08	07/23/10	59.94	38.98 38.98	20.96 20.96	0.00 0.00
125003580	11	HALLIBURTON CO HOLDINGS CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/05/08	11/02/10	347.42	214.36 214.36	133.06 133.06	0.00 0.00



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KATHLEEN M. O'CONNELL
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Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003620	25	HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	09/14/10	\$ 994 63	\$ 1,090 69 \$ 1,090 69	(\$ 98.06) (\$ 96.06)	\$ 0.00 \$ 0.00
125003650	6	HOYA CORP SPONSORED ADR USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	143 09	131.64 131 64	11 45 11 45	0.00 0.00
125003660	18	HUANENG POWER INTL SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/15/08	06/04/10	377 30	467 08 467 08	(89 78) (89.78)	0.00 0.00
125003670	5	HUANENG POWER INTL SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/15/08	11/19/10	111.19	129.74 129.74	(18.55) (18.55)	0.00 0.00
125003680	43	HUANENG POWER INTL SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/15/08	12/10/10	953 15	1,115.79 1,115.79	(162 64) (162.64)	0.00 0.00
	4	acted as your agent in this transaction.	08/21/09		88 66	119 07 119 07	(30.41) (30.41)	0.00 0.00
125003740	5	IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	151 29	125 11 125 11	26.18 26 18	0.00 0.00
125003760	2	IMPERIAL OIL LTD NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	73 63	71 90 71.90	1 73 1.73	0.00 0.00
125003830	1	INFOSYS TECHNOLOGIE SP ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	65 65	40.96 40 96	24.69 24 69	0.00 0.00
125003840	26	INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/07	01/22/10	532.14	548 86 548 86	(16.72) (16.72)	0.00 0.00

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Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003850	9	INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/07	04/28/10	\$ 208.91	\$ 189.99	\$ 18.92	\$ 0.00
	10		11/02/07		232.12	\$ 189.99	\$ 18.92	\$ 0.00
	44		01/16/08		1,021.33	267.50	(35.38)	0.00
						267.50	(35.38)	0.00
						880.30	141.03	0.00
						880.30	141.03	0.00
125003860	13	INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/16/08	06/04/10	274.18	260.09	14.09	0.00
						260.09	14.09	0.00
125003870	24	INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/16/08	07/23/10	517.91	480.16	37.75	0.00
						480.16	37.75	0.00
125003880	4	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/16/07	04/08/10	510.73	438.74	71.99	0.00
						438.74	71.99	0.00
125003890	12	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/16/07	04/12/10	1,539.45	1,316.20	223.25	0.00
						1,316.20	223.25	0.00
125003920	3	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	09/02/10	375.20	355.19	20.01	0.00
						355.19	20.01	0.00
125003940	12	ISHARES RUSSELL MIDCAP VALUE INDEX FD MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	504.71	389.52	115.19	0.00
						389.52	115.19	0.00
125003960	35	ISHARES RUSSELL MIDCAP GROWTH INDEX FD MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	1,851.27	1,380.64	470.63	0.00
						1,380.64	470.63	0.00
125003980	27	ISHARES TR RUSSELL 2000 GROWTH INDEX FUND MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	2,159.90	1,632.39	527.51	0.00
						1,632.39	527.51	0.00



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Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004000	4	ITAU UNIBANCO BANCO HLDG S A ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	\$ 97.31	\$ 66.91 \$ 66.91	\$ 30.40 \$ 30.40	\$ 0.00 \$ 0.00
125004010	7	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	05/07/10	287.34	311.15 311.15	(23.81) (23.81)	0.00 0.00
125004020	27	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	06/04/10	1,030.84	1,200.15 1,200.15	(169.31) (169.31)	0.00 0.00
125004030	5	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	07/23/10	196.81	222.25 222.25	(25.44) (25.44)	0.00 0.00
125004040	2	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	11/19/10	78.65	88.90 88.90	(10.25) (10.25)	0.00 0.00
125004050	12	JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	12/16/10	478.63	533.40 533.40	(54.77) (54.77)	0.00 0.00
125004080	6	JSC MMC NORILSK NICKEL JSC SON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	119.39	61.80 61.80	57.59 57.59	0.00 0.00
125004120	23.241	JANUS FORTY FUND CL I CONFIRM #500003232130384 MorganStanley SmithBarney LLC acted as your agent	08/21/09	11/19/10	767.19	691.88 691.88	75.31 75.31	0.00 0.00
125004130	8	JOHNSON & JOHNSON MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/21/07	06/04/10	488.34	495.92 495.92	(27.58) (27.58)	0.00 0.00
	5		11/02/07		292.72	323.65 323.65	(30.93) (30.93)	0.00 0.00

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KATHLEEN M. O'CONNELL
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Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004140	11	JOHNSON & JOHNSON MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	06/10/10	\$ 643.70	\$ 712.03 \$ 712.03	(\$ 68.33) (\$ 68.33)	\$ 0.00 \$ 0.00
125004150	7	JOHNSON & JOHNSON MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	07/12/10	421.11	453.11 453.11	(32.00) (32.00)	0.00 0.00
125004160	1	JOHNSON & JOHNSON MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	07/23/10	57.00	64.73 64.73	(7.73) (7.73)	0.00 0.00
125004170	26	JOHNSON & JOHNSON MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	07/27/10	1,512.74	1,682.98 1,682.98	(170.24) (170.24)	0.00 0.00
125004230	7	JOHNSON ELECTRIC HLDG SPON ADR -USD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	42.62	29.48 29.48	13.14 13.14	0.00 0.00
125004260	1	JUPITER TELECOM UNSPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	67.89	59.95 59.95	7.94 7.94	0.00 0.00
125004310	1	KB FINANCIAL GROUP INC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	44.57	40.27 40.27	4.30 4.30	0.00 0.00
125004320	11	KIMBERLY CLARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/08	06/04/10	662.16	741.61 741.61	(79.45) (79.45)	0.00 0.00
125004330	17	KIMBERLY CLARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/08	09/28/10	1,103.34	1,146.11 1,146.11	(42.77) (42.77)	0.00 0.00



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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004340	10	KIMBERLY CLARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/08	10/14/10	\$ 661 44	\$ 674 19 \$ 674 19	(\$ 12.75) (\$ 12.75)	\$ 0.00 \$ 0.00
125004400	34	KUBOTA LTD ADR -USD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	08/23/10	1,397 52	1,410 66 1,410 66	(13.14) (13.14)	0.00 0.00
125004450	5	L OREAL CO ADR -USD- MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	118 94	84.50 84 50	34.44 34 44	0.00 0.00
125004470	74	LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	09/22/10	2,056 91	1,335.70 1,335.70	721 21 721.21	0.00 0.00
125004480	4	LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	129 95	72 20 72.20	57.75 57 75	0.00 0.00
125004620	2	MARATHON OIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	67 95	61 24 61 24	6.71 6.71	0.00 0.00
125004640	3	MCDONALDS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	10/27/10	231.18	166.73 166.73	64 45 64 45	0.00 0.00
125004650	1	MCDONALDS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	79.13	55 58 55 58	23.55 23.55	0.00 0.00
125004660	3	MCDONALDS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	12/09/10	234 34	166.73 166 73	67 61 67 61	0.00 0.00

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Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004690	1	MCKESSON CORPORATION MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	\$ 65.61	\$ 54.75 \$ 54.75	\$ 10.86 \$ 10.86	\$ 0.00 \$ 0.00
125004760	5	MERCK & CO INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/13/09	06/04/10	166.84	128.74 128.74	38.10 38.10	0.00 0.00
125004770	11	MERCK & CO INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/13/09	07/23/10	383.70	283.24 283.24	100.46 100.46	0.00 0.00
125004790	1,000	MERRILL LYNCH & CO I DTD 02/05/2008 DUE 02/05/2013 RATE 5.450 YTM 3.774	02/04/09	06/03/10	1,041.96	943.86 943.86	98.10 98.10	16.92 81.18
125004840	1	METLIFE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	38.95	36.20 36.20	2.75 2.75	0.00 0.00
125004850	32	MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	06/04/10	831.71	898.35 898.35	(66.64) (66.64)	0.00 0.00
125004860	17	MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	07/12/10	422.79	477.25 477.25	(54.46) (54.46)	0.00 0.00
125004870	25	MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	08/03/10	651.51	701.83 701.83	(50.32) (50.32)	0.00 0.00
125004880	18	MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	08/11/10	444.59	505.32 505.32	(60.73) (60.73)	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125004890	41	MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	08/17/10	\$ 1,012.68	\$ 1,151.00 \$ 1,151.00	(\$ 138.32) (\$ 138.32)	\$ 0.00 \$ 0.00
125004900	18	MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	09/09/10	433.79	505.32 505.32	(71.53) (71.53)	0.00 0.00
125004910	7	MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	11/15/10	184.02	196.51 196.51	(12.49) (12.49)	0.00 0.00
125004960	5	MOBILE TELESYSTEMS OJSC SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	106.49	84.92 84.92	21.57 21.57	0.00 0.00
125005060	1	NASPERS LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	52.34	29.50 29.50	22.84 22.84	0.00 0.00
125005070	2	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/07	01/06/10	93.49	143.24 143.24	(49.75) (49.75)	0.00 0.00
125005080	3	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/07	01/11/10	141.90	214.85 214.85	(72.95) (72.95)	0.00 0.00
	11		08/12/08		520.29	760.01 760.01	(239.72) (239.72)	0.00 0.00
125005090	3	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/12/08	01/22/10	131.49	207.27 207.27	(75.78) (75.78)	0.00 0.00
	4		10/24/08		175.31	96.22 96.22	79.09 79.09	0.00 0.00
125005100	22	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/24/08	01/29/10	946.01	529.24 529.24	416.77 416.77	0.00 0.00

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MorganStanley SmithBarney

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125005110	7	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/27/08	06/04/10	\$ 252.71	\$ 178.14 \$ 178.14	\$ 74.57 \$ 74.57	\$ 0.00 \$ 0.00
125005120	2	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/27/08	07/23/10	75.23	50.90 50.90	24.33 24.33	0.00 0.00
125005130	8	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	12/13/10	520.82	298.39 298.39	222.43 222.43	0.00 0.00
125005160	2	NESTLE S A SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/17/09	07/23/10	101.98	62.80 62.80	39.18 39.18	0.00 0.00
125005170	3	NESTLE S A SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/17/09	11/19/10	166.52	94.20 94.20	72.32 72.32	0.00 0.00
125005220	4	NEXTERA ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/16/08	06/04/10	197.27	149.85 149.85	47.42 47.42	0.00 0.00
125005230	3	NEXTERA ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/16/08	07/23/10	157.48	112.39 112.39	45.09 45.09	0.00 0.00
125005240	1	NEXTERA ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/16/08	11/19/10	51.98	37.46 37.46	14.52 14.52	0.00 0.00
125005290	80	NOKIA CORP SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/20/09	10/15/10	867.16	1,178.50 1,178.50	(311.34) (311.34)	0.00 0.00
	35	MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/05/09		379.38	517.67 517.67	(138.29) (138.29)	0.00 0.00



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KATHLEEN M. O'CONNELL

Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125005340	1	NORTHROP GRUMMAN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/02/09	07/23/10	\$ 58 29	\$ 44 96 \$ 44 96	\$ 13.33 \$ 13.33	\$ 0 00 \$ 0 00
125005360	22	NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/17/09	04/15/10	1,024.08	897 64 897 64	126 44 126 44	0.00 0.00
125005370	3	NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/17/09	06/04/10	125.21	122.41 122 41	2 80 2 80	0.00 0.00
125005380	2	NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/17/09	07/23/10	78.20	81 60 81 60	(3 40) (3 40)	0.00 0.00
125005390	6	NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/17/09	10/21/10	225 87	244.81 244 81	(18.94) (18 94)	0.00 0.00
125005450	1	OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	87 75	71 45 71 45	16 30 16 30	0.00 0.00
125005480	2	LUKOIL OIL SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	111 73	90 60 90 60	21 13 21 13	0.00 0.00
125005510	2	POLYUS GOLD ADR ADR USD MorganStanley SmithBarney LLC	08/19/09	11/19/10	69 09	41 20 41 20	27 89 27 89	0.00 0.00
125005540	14	ORACLE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	09/02/10	314.30	304 48 304 48	9.82 9 82	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125005590	1	POSCO SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/10/09	11/19/10	\$ 100.25	\$ 99.21 \$ 99.21	\$ 1.04 \$ 1.04	\$ 0.00 \$ 0.00
125005600	1	PPG INDUSTRIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/18/08	06/04/10	62.31	59.16 59.16	3.15 3.15	0.00 0.00
	3		03/10/09		186.91	94.87 94.87	92.04 92.04	0.00 0.00
125005610	3	PPG INDUSTRIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/10/09	07/23/10	200.40	94.87 94.87	105.53 105.53	0.00 0.00
125005620	1	PPG INDUSTRIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/10/09	10/28/10	76.50	31.63 31.63	44.87 44.87	0.00 0.00
125005730	1	PEABODY ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	57.93	34.42 34.42	23.51 23.51	0.00 0.00
125005750	8	PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/07	03/05/10	513.98	520.92 520.92	(6.94) (6.94)	0.00 0.00
125005760	2	PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/07	04/23/10	129.36	130.23 130.23	(.87) (.87)	0.00 0.00
125005820	38	PT TELEKOMUNAKASI INDONESIA SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	1,417.50	1,254.00 1,254.00	163.50 163.50	0.00 0.00
125005830	3	PT TELEKOMUNAKASI INDONESIA SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	111.65	99.00 99.00	12.65 12.65	0.00 0.00



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KATHLEEN M. O'CONNELL

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Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125005860	1	PETROLEO BRASILEIRO SA ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	\$ 30.25	\$ 35.44 \$ 35.44	(\$ 5.19) (\$ 5.19)	\$ 0.00 \$ 0.00
125005910	3	PETROLEO BRASILEIRO SA PETROBRAS MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	99.98	127.01 127.01	(27.03) (27.03)	0.00 0.00
125005920	17	PFIZER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	01/06/10	315.08	407.62 407.62	(92.54) (92.54)	0.00 0.00
125005930	44	PFIZER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	06/04/10	655.14	1,055.02 1,055.02	(399.88) (399.88)	0.00 0.00
125005940	43	PFIZER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	07/23/10	626.63	1,031.04 1,031.04	(404.41) (404.41)	0.00 0.00
125005950	1	PFIZER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	07/23/10	14.75	23.98 23.98	(9.23) (9.23)	0.00 0.00
125006100	12	PROCTER & GAMBLE CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/21/07	03/05/10	762.60	740.64 740.64	21.96 21.96	0.00 0.00
125006110	5	PROCTER & GAMBLE CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/21/07	06/04/10	304.94	308.60 308.60	(3.66) (3.66)	0.00 0.00
	7	MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07		426.92	483.35 483.35	(56.43) (56.43)	0.00 0.00
125006120	10	PROCTER & GAMBLE CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	06/10/10	618.54	690.50 690.50	(71.96) (71.96)	0.00 0.00

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KATHLEEN M. O'CONNELL
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Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125006160	4	PT INDOSAT-ADR-USD MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	11/19/10	\$ 127 99	\$ 102 32 \$ 102 32	\$ 25 67 \$ 25 67	\$ 0 00 \$ 0 00
125006180	2	PUBLIC SERVICE ENTERPRISE GRP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	11/19/10	61 01	63 40 63 40	(2 39) (2 39)	0 00 0 00
125006230	1	RANGE RESOURCES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	11/19/10	42 24	49 77 49 77	(7 53) (7 53)	0 00 0 00
125006240	8	RAYTHEON COMPANY NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	11/02/07	08/04/10	417 29	503 92 503 92	(86 63) (86 63)	0 00 0 00
125006250	11	RAYTHEON COMPANY NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	11/02/07	07/22/10	534 82	692 89 692 89	(158 07) (158 07)	0 00 0 00
125006260	5	RAYTHEON COMPANY NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	11/02/07	08/05/10	233 02	314 95 314 95	(81 93) (81 93)	0 00 0 00
125006270	5,000	RIO TINTO FINANCE PLCP BK/ENTRY DTD 04/17/2009 DUE 05/01/2014 RATE 8 950	05/07/09	10/25/10	6,213 85	5,431 75 5,319 55	782 10 894 30	0 00 894 30
125006300	2	ROCHE HLDG LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	10/09/08	07/23/10	64 95	68 30 68 30	(3 35) (3 35)	0 00 0 00
125006310	2	ROCHE HLDG LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	10/09/08	11/19/10	72 83	68 30 68 30	4 53 4 53	0 00 0 00



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Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125006370	112.205	ROYCE PREMIER FUND INV CLASS CONFIRM #500003232104975 MorganStanley SmithBarney LLC acted as your agent	08/21/09	11/19/10	\$ 2,152.09	\$ 1,683.08 \$ 1,683.08	\$ 469.01 \$ 469.01	\$ 0.00 \$ 0.00
125006400	37	SK TELECOM LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	12/10/10	688.05	584.97 584.97	103.08 103.08	0.00 0.00
	5	acted as your agent in this transaction	08/21/09		92.98	78.04 78.04	14.94 14.94	0.00 0.00
125006450	30	ST JUDE MEDICAL INC MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/01/10	1,135.22	1,133.35 1,133.35	1.87 1.87	0.00 0.00
	3	acted as your agent in this transaction.	10/16/09		113.52	101.18 101.18	12.34 12.34	0.00 0.00
125006480	4	SAP AG SPONS ADR-USD MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	199.39	186.40 186.40	12.99 12.99	0.00 0.00
		acted as your agent in this transaction						
125006510	25	SASOL LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	10/14/10	1,159.86	911.00 911.00	248.86 248.86	0.00 0.00
		acted as your agent in this transaction.						
125006520	1	SASOL LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	47.73	36.44 36.44	11.29 11.29	0.00 0.00
		acted as your agent in this transaction						
125006540	4	SCHNEIDER ELECT SA UNSPON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	60.55	36.32 36.32	24.23 24.23	0.00 0.00
		acted as your agent in this transaction						
125006560	2	SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent	10/07/09	11/19/10	100.75	101.44 101.44	(.69) (.69)	0.00 0.00
		acted as your agent in this transaction.						
125006680	3	STATE STREET CORP MorganStanley SmithBarney LLC acted as your agent	05/18/09	06/04/10	112.38	122.84 122.84	(10.46) (10.46)	0.00 0.00
		acted as your agent in this transaction.						

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125006690	9	STATE STREET CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/18/09	07/23/10	\$ 355.35	\$ 368.51 \$ 368.51	(\$ 13.16) (\$ 13.16)	\$ 0.00 \$ 0.00
125006710	47	TAIWAN SEMICONDUCTOR MFG CO LTD ADR MorganStanley SmithBarney LLC acted as your agent	08/17/07	07/23/10	487.86	443.58 443.58	44.28 44.28	0.00 0.00
125006720	11,5341 112,4659	TAIWAN SEMICONDUCTOR MFG CO LTD ADR MorganStanley SmithBarney LLC acted as your agent	08/17/07 01/14/08	08/10/10	114.47 1,116.14	108.86 108.86 1,002.83 1,002.83	5.61 5.61 113.31 113.31	0.00 0.00 0.00 0.00
125006730	18	TAIWAN SEMICONDUCTOR MFG CO LTD ADR MorganStanley SmithBarney LLC acted as your agent	01/14/08	11/19/10	197.63	160.50 160.50	37.13 37.13	0.00 0.00
125006760	9	TARGET CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/09/09	10/21/10	489.60	445.01 445.01	44.59 44.59	0.00 0.00
125006770	1	TARGET CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/18/09	11/19/10	55.19	48.08 48.08	7.11 7.11	0.00 0.00
125006830	2	TELECOM ARGENTINA S.A ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	49.03	28.39 28.39	20.64 20.64	0.00 0.00
125006870	1	TENARIS S A SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	44.46	29.33 29.33	15.13 15.13	0.00 0.00
125006950	1	TESCO PLC SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	11/19/10	20.50	18.14 18.14	2.36 2.36	0.00 0.00



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Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125006960	30	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as your agent	10/01/07	01/14/10	\$ 1,769.99	\$ 1,340.59 \$ 1,340.59	\$ 429.40 \$ 429.40	\$ 0.00 \$ 0.00
125006970	15	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as your agent	10/01/07	11/09/10	759.19	670.30 670.30	88.89 88.89	0.00 0.00
125006980	6	MorganStanley SmithBarney LLC acted as your agent	11/02/07		303.67	264.00 264.00	39.67 39.67	0.00 0.00
125006990	1	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as your agent	11/02/07	11/19/10	50.20	44.00 44.00	6.20 6.20	0.00 0.00
125007000	8	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as your agent	11/02/07	12/10/10	423.08	352.00 352.00	71.08 71.08	0.00 0.00
125007010	28	MorganStanley SmithBarney LLC acted as your agent	02/12/08		1,480.80	1,305.42 1,305.42	175.38 175.38	0.00 0.00
125007020	3	3M COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/08/08	06/04/10	230.72	242.41 242.41	(11.69) (11.69)	0.00 0.00
125007030	1	3M COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/08/08	11/19/10	85.12	80.80 80.80	4.32 4.32	0.00 0.00
125007040	4	TIGER BRANDS LTD SPONSORED ADR NEW MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	104.99	75.56 75.56	29.43 29.43	0.00 0.00
125007050	28	TIME WARNER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	10/25/10	889.03	663.30 663.30	225.73 225.73	0.00 0.00
125007060	3	TOTAL S.A SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/27/09	06/04/10	138.91	144.34 144.34	(5.43) (5.43)	0.00 0.00

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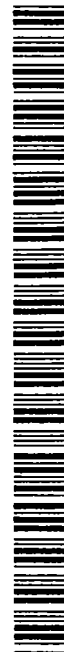
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KATHLEEN M. O'CONNELL
Account Number 221-80304-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125007090	5	TOTAL S A SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/27/09	07/23/10	\$ 242.89	\$ 240.57 \$ 240.57	\$ 2.32 \$ 2.32	\$ 0.00 \$ 0.00
125007110	9	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	06/04/10	444.14	467.82 467.82	(23.68) (23.68)	0.00 0.00
125007120	11	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/02/07	07/23/10	543.78	571.78 571.78	(28.00) (28.00)	0.00 0.00
125007150	3	UNILEVER PLC SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	07/23/10	90.26	90.17 90.17	.09 .09	0.00 0.00
125007160	21	UNILEVER PLC SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/07	08/25/10	547.95	631.15 631.15	(83.20) (83.20)	0.00 0.00
	4	acted as your agent in this transaction.	04/08/09		104.37	78.77 78.77	25.60 25.60	0.00 0.00
125007170	9	UNILEVER NV NY SHS-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/28/08	06/04/10	246.68	301.45 301.45	(54.77) (54.77)	0.00 0.00
125007180	9	UNILEVER NV NY SHS-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/28/08	07/23/10	275.59	301.46 301.46	(25.87) (25.87)	0.00 0.00
125007220	4	UNITED PARCEL SERVICE CL B MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/24/08	06/04/10	245.77	251.95 251.95	(6.18) (6.18)	0.00 0.00
125007230	14	UNITED PARCEL SERVICE CL B MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/24/08	06/08/10	818.46	881.83 881.83	(63.37) (63.37)	0.00 0.00



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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125007240	1,000	U S TREASURY NOTES SER E-2012 DTD 11/15/2002 DUE 11/15/2012 RATE 4.000 YIELD .978MTY	06/15/07	06/03/10	\$ 1,072.85	\$ 949.26 \$ 949.26	\$ 123.59 \$ 123.59	\$ 26.07 \$ 97.52
125007250	14,000	U S TREASURY NOTES SER E-2012 DTD 11/15/2002	06/15/07	09/22/10	15,072.97	13,289.61 13,289.61	1,783.36 1,783.36	404.91 1,378.45
	2,000	DUE 11/15/2012 RATE 4.000 YIELD .406MTY	08/21/07		2,153.28	1,974.22 1,974.22	179.06 179.06	14.46 164.60
125007260	2,000	U S TREASURY NOTES SER D-2013 DTD 8/15/03 DUE 08/15/2013 RATE 4.250 YIELD 1.372MTY	06/22/07	06/03/10	2,179.53	1,918.44 1,918.44	261.09 261.09	35.90 225.19
125007270	2,000	U S TREASURY NOTES SER E-2016 DTD 8/15/2006 DUE 08/15/2016 RATE 4.875 YIELD 2.531MTY	06/05/08	06/03/10	2,267.27	2,138.43 2,108.62	128.84 158.65	0.00 158.65
125007290	14	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/07	06/04/10	919.98	952.84 952.84	(32.86) (32.86)	0.00 0.00
125007300	5	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/07	06/08/10	318.02	340.30 340.30	(22.28) (22.28)	0.00 0.00
125007310	1	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/07	07/23/10	70.28	68.06 68.06	2.22 2.22	0.00 0.00
125007320	7	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/07	08/18/10	489.99	476.42 476.42	13.57 13.57	0.00 0.00
125007330	9	UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/02/07	10/21/10	676.93	612.54 612.54	64.39 64.39	0.00 0.00

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125007340	18	UNITEDHEALTH GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/01/09	06/04/10	\$ 557.99	\$ 372.46 \$ 372.46	\$ 185.53 \$ 185.53	\$ 0.00 \$ 0.00
125007350	16	UNITEDHEALTH GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/01/09	10/18/10	580.18	331.07 331.07	249.11 249.11	0.00 0.00
125007400	10	VALE SA SPON ADR REPSTG 1 CLASS A PFD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	290.49	172.68 172.68	117.81 117.81	0.00 0.00
125007420	18	VERIZON COMMUNICATIONS MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/07/05	06/04/10	490.67	685.15 685.15	(194.48) (194.48)	0.00 0.00
125007430	25	VERIZON COMMUNICATIONS MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/07/05	07/23/10	699.73	892.59 892.59	(192.86) (192.86)	0.00 0.00
125007440	6,000	VERIZON GLOBAL DTD 06/01/01 DUE 12/01/2010 RATE 7.250 YTM .511	06/15/07	03/19/10	6,276.54	6,305.82 6,064.92	(29.28) 211.62	0.00 211.62
125007460	1	VODAFONE GROUP PLC SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent	04/24/09	06/04/10	20.19	18.04 18.04	2.15 2.15	0.00 0.00
125007470	7	VODAFONE GROUP PLC SPONS ADR NEW MorganStanley SmithBarney LLC acted as your agent	04/24/09	07/23/10	162.12	126.25 126.25	35.87 35.87	0.00 0.00
125007480	5	WPP PLC ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/09	11/19/10	296.05	195.70 195.70	100.35 100.35	0.00 0.00



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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125007490	2,000	WACHOVIA CORP SUB NOTES BOOK ENTRY DD 7/22/04 DUE 08/01/2014 RATE 5.250	06/15/07	06/03/10	\$ 2,087.88	\$ 1,930.96 \$ 1,930.96	\$ 156.92 \$ 156.92	\$ 25.32 \$ 131.60
125007530	4	WAL-MART DE MEXICO SA DE CV SPON ADR MorganStanley SmithBarney LLC acted as your agent	08/19/09	11/19/10	110.55	71.00 71.00	39.55 39.55	0.00 0.00
125007540	18	WAL-MART STORES INC MorganStanley SmithBarney LLC acted as your agent	02/02/07	04/29/10	969.11	866.88 866.88	102.23 102.23	0.00 0.00
	5	acted as your agent in this transaction.	06/21/07		269.20	241.15 241.15	28.05 28.05	0.00 0.00
	10		03/13/08		538.39	496.13 496.13	42.26 42.26	0.00 0.00
125007600	14	WELLS FARGO & CO NEW MorganStanley SmithBarney LLC acted as your agent	02/02/07	06/04/10	391.99	502.88 502.88	(110.89) (110.89)	0.00 0.00
	2	acted as your agent in this transaction.	06/21/07		56.00	71.14 71.14	(15.14) (15.14)	0.00 0.00
125007610	3	WELLS FARGO & CO NEW MorganStanley SmithBarney LLC acted as your agent	06/21/07	07/23/10	81.43	106.71 106.71	(25.28) (25.28)	0.00 0.00
		acted as your agent in this transaction.						
125007620	4	WELLS FARGO & CO NEW MorganStanley SmithBarney LLC acted as your agent	10/12/09	11/19/10	109.04	121.21 121.21	(12.17) (12.17)	0.00 0.00
		acted as your agent in this transaction.						
125007630	4	WEYERHAEUSER CO MorganStanley SmithBarney LLC acted as your agent	05/19/09	06/04/10	164.46	133.87 133.87	30.59 30.59	0.00 0.00
		acted as your agent in this transaction.						
125007640	6	WEYERHAEUSER CO MorganStanley SmithBarney LLC acted as your agent	05/19/09	07/23/10	98.45	200.81 200.81	(102.36) (102.36)	0.00 0.00
		acted as your agent in this transaction.						
125007650	4	WEYERHAEUSER CO MorganStanley SmithBarney LLC acted as your agent	05/19/09	11/19/10	68.39	133.87 133.87	(65.48) (65.48)	0.00 0.00
		acted as your agent in this transaction.						

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KATHLEEN M. O'CONNELL
Account Number 221-8030A-19 027

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125007660	6,000	WYETH	07/20/07	04/27/10	\$ 6,656.63	\$ 5,926.08	\$ 730.55	\$ 0.00
		DTD 12/16/2003				\$ 5,926.08	\$ 730.55	\$ 730.55
	1,000	DUE 02/01/2014 RATE 5.500	09/25/07		1,109.44	993.58	115.86	0.00
		YTM 2.430				993.58	115.86	115.86
125007680	5,000	XTO ENERGY INC SR NOTES	07/08/08	01/06/10	5,706.90	5,105.05	601.85	0.00
		BOOK/ENTRY				5,091.20	615.70	615.70
		DD 7/19/2007						
		DUE 08/01/2017 RATE 6.250						
125007730	5	YANZHOU COAL MINING CO LTD	08/19/09	11/19/10	143.16	74.79	68.37	0.00
		SPONS ADR REPSTG H SHS				74.79	68.37	0.00
		MorganStanley SmithBarney LLC						
		acted as your agent						
125007735	.625	RTS STANDARD CHARTERED	Unavailable	10/20/10	6.66			
		RIGHTS TO UNDERLYING						
		EXPIRY DATE TBA						
		CASH IN LIEU OF 62500						
Total					\$ 233,928.07	\$ 216,034.42	\$ 17,023.19	\$ 730.34
						\$ 215,112.30	\$ 17,945.31	\$ 10,019.41

Details of Accrued Income 2010

This section shows your accrued income paid or received as a result of purchases and sales.

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120003800	AT&T INC	06/03/10		\$ 19.40 a
	BOOK/ENTRY			
	DTD 02/01/2008			
	DUE 02/01/2018 RATE 5.500			
120012900	BB&T CORPORATION	04/27/10	66	
	DTD 04/29/2010			
	DUE 04/29/2016 RATE 3.950			
120013400	BP CAPITAL MARKETS PLC	01/14/10		53.23 a
	DTD 11/07/08			
	DUE 11/07/2013 RATE 5.250			

