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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation SEGAL FAMILY FOUNDATION INC.		A Employer identification number 56-2446941
Number and street (or P O box number if mail is not delivered to street address) 776 MOUNTAIN BLVD, STE 202	Room/suite	B Telephone number 908-279-7881
City or town, state, and ZIP code WATCHUNG, NJ 07069		C If exemption application is pending, check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 54,435,179. (Part I, column (d) must be on cash basis.)	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		41,180,702.		N/A	
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		1,013,478.	1,013,478.		STATEMENT 2
5a Gross rents		1,832,380.	1,832,380.		STATEMENT 3
b Net rental income or (loss)	1,359,690.				STATEMENT 4
6a Net gain or (loss) from sale of assets not on line 10		1,408,264.			STATEMENT 1
b Gross sales price for all assets on line 6a	44,225,793.				
7 Capital gain net income (from Part IV, line 2)			1,401,522.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		142,907.	6,076.		STATEMENT 5
12 Total. Add lines 1 through 11		45,577,731.	4,253,456.		
13 Compensation of officers, directors, trustees, etc.		126,625.	0.		125,625.
14 Other employee salaries and wages		12,938.	0.		12,938.
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees	STMT 6	11,000.	0.		11,000.
c Other professional fees	STMT 7	150,949.	0.		150,949.
17 Interest		3,267.	3,267.		0.
18 Taxes	STMT 8	249,116.	222,876.		3,240.
19 Depreciation and depletion		234,280.	234,280.		
20 Occupancy					
21 Travel, conferences, and meetings		85,833.	0.		85,833.
22 Printing and publications		259.	0.		259.
23 Other expenses	STMT 9	172,846.	104,835.		68,533.
24 Total operating and administrative expenses. Add lines 13 through 23		1,047,113.	565,258.		458,377.
25 Contributions, gifts, grants paid		2,592,200.			2,592,200.
26 Total expenses and disbursements. Add lines 24 and 25		3,639,313.	565,258.		3,050,577.
27 Subtract line 26 from line 12		41,938,418.			
a Excess of revenue over expenses and disbursements			3,688,198.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	102,206.	146,122.	146,122.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶	139.		
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶	639,821.	0.	0.
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	2,949.	112,468.	112,468.
	10a	Investments - U S and state government obligations			
	b	Investments - corporate stock STMT 12	1,744,451.	16,070,928.	17,176,430.
	c	Investments - corporate bonds			
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 13	625,014.	17,745,693.	20,290,159.
	14	Land, buildings, and equipment basis ▶ 11,990,431.			
		Less accumulated depreciation ▶ 773,809.	6,863,249.	11,216,622.	16,710,000.
	15	Other assets (describe ▶ ORGANIZAIONS COSTS)	3,205.	0.	0.
	16	Total assets (to be completed by all filers)	9,981,034.	45,291,833.	54,435,179.
	17	Accounts payable and accrued expenses	2,061.	87,471.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ SECURITY DEPOSITS)	77,529.	153,925.	
	23	Total liabilities (add lines 17 through 22)	79,590.	241,396.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	9,901,444.	45,050,437.	
30	Total net assets or fund balances	9,901,444.	45,050,437.		
	31	Total liabilities and net assets/fund balances	9,981,034.	45,291,833.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,901,444.
2 Enter amount from Part I, line 27a	2	41,938,418.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 10	3	70,848.
4 Add lines 1, 2, and 3	4	51,910,710.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 11	5	6,860,273.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	45,050,437.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 44,225,793.		42,824,271.	1,401,522.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			1,401,522.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } **2** 1,401,522.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	1,635,550.	8,471,329.	.193069
2008	712,679.	1,688,368.	.422111
2007	187,950.	1,608,010.	.116884
2006	63,350.	1,136,807.	.055726
2005	88,850.	483,389.	.183806

2 Total of line 1, column (d)	2	.971596
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.194319
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	27,587,630.
5 Multiply line 4 by line 3	5	5,360,801.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	36,882.
7 Add lines 5 and 6	7	5,397,683.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	3,050,577.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	73,764.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		3	73,764.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		5	73,764.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	7,063.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	100,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	107,063.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	1,427.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	31,872.	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax ☐ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>SEGALFAMILYFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>BARRY SEGAL</u> Telephone no ► <u>908-279-7881</u> Located at ► <u>776 MOUNTAIN BLVD - SUITE 202, WATCHUNG, NJ</u> ZIP+4 ► <u>07069</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		126,625.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	11,800,251.
b	Average of monthly cash balances	1b	1,757,438.
c	Fair market value of all other assets	1c	14,450,057.
d	Total (add lines 1a, b, and c)	1d	28,007,746.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	28,007,746.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	420,116.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	27,587,630.
6	Minimum investment return. Enter 5% of line 5	6	1,379,382.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,379,382.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	73,764.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	984.
c	Add lines 2a and 2b	2c	74,748.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,304,634.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,304,634.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,304,634.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,050,577.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,050,577.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,050,577.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,304,634.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005	64,681.			
b From 2006	7,464.			
c From 2007	108,967.			
d From 2008	636,503.			
e From 2009	1,229,798.			
f Total of lines 3a through e	2,047,413.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$	3,050,577.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				1,304,634.
e Remaining amount distributed out of corpus	1,745,943.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	3,793,356.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	64,681.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	3,728,675.			
10 Analysis of line 9				
a Excess from 2006	7,464.			
b Excess from 2007	108,967.			
c Excess from 2008	636,503.			
d Excess from 2009	1,229,798.			
e Excess from 2010	1,745,943.			

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

Print/Type preparer's name

Preparer's signature

Date _____

Check	☐	if	PTIN
-------	--------------------------	----	------

STEVE KREINIK, CPA

Firm's name ► J.H. COHN LLP

Firm's address ► 1212 6TH AVENUE

NEW YORK, NY 10036

Phone no 212-297-0400

Form **990-PF** (2010)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

SEGAL FAMILY FOUNDATION INC.

Employer identification number

56-2446941

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

Employer identification number

SEGAL FAMILY FOUNDATION INC.

56-2446941

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	BAS PROPERTIES 776 MOUNTAIN BLVD, STE 202 WATCHUNG, NJ 07069	\$ 1,750,000.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
2	BAS PROPERTIES 776 MOUNTAIN BLVD, STE 202 WATCHUNG, NJ 07069	\$ 1,660,000.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
3	BARRY SEGAL C/O B&D HOLDINGS, INC. 776 MOUNTAIN BLVD. SUITE 202 WATCHUNG, NJ 07069	\$ 195,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
4	BAS PROPERTIES 776 MOUNTAIN BLVD, STE 202 WATCHUNG, NJ 07069	\$ 1,100,000.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
5	BAS PROPERTIES 776 MOUNTAIN BLVD, STE 202 WATCHUNG, NJ 07069	\$ 4,200,000.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
6	JOHN BENDALL RE/MAX, 3322 RT. 22 WEST BLDG. #9 BRANCHBURG, NJ 08876	\$ 1,030.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
SEGAL FAMILY FOUNDATION INC.	56-2446941

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	BARRY SEGAL C/O B&D HOLDINGS, INC. 776 MOUNTAIN BLVD. SUITE 202 WATCHUNG, NJ 07069	\$ 30,000,000.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
8	BARRY SEGAL C/O B&D HOLDINGS, INC. 776 MOUNTAIN BLVD. SUITE 202 WATCHUNG, NJ 07069	\$ 2,274,672.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

SEGAL FAMILY FOUNDATION INC.

56-2446941

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	BUILDING AND LAND LOCATED IN NORFOLK, VA	\$ 1,750,000.	06/15/10
2	BUILDING AND LAND LOCATED IN TOLEDO, OH	\$ 1,660,000.	03/25/10
4	BUILDING AND LAND LOCATED IN LAKELAND, FL	\$ 1,100,000.	03/15/10
5	BUILDING AND LAND LOCATED IN MALVERN, PA	\$ 4,200,000.	03/15/10
7	SENIOR SEC. NOTES - BRADCO SUPPLY	\$ 30,000,000.	VARIOUS
8	40000 SHS - BRADCO SUPPLY CORP	\$ 2,274,672.	VARIOUS

Name of organization

Employer identification number

SEGAL FAMILY FOUNDATION INC.

56-2446941

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BRADCO SUPPLY CORP	P	VARIOUS	06/30/10
b	SEE ATTACHED - UBS 33411	P	VARIOUS	VARIOUS
c	SEE ATTACHED - UBS 33411	P	VARIOUS	VARIOUS
d	SEE ATTACHED - UBS 45969	P	VARIOUS	VARIOUS
e	SEE ATTACHED - UBS 47813	P	VARIOUS	VARIOUS
f	SEE ATTACHED - AMERITRADE 146881	P	VARIOUS	VARIOUS
g	SEE ATTACHED - AMERITRADE 115664	P	VARIOUS	VARIOUS
h	THROUGH E-TRADE, 2,000 SHARES MICROSOFT CORP	P	VARIOUS	VARIOUS
i	SEE ATTACHED - GOLDMAN SACHS 27236	P	VARIOUS	VARIOUS
j	SEE ATTACHED - APS FINANCIAL	P	VARIOUS	VARIOUS
k	THROUGH UBS DISTRESSED PARTNERS LLC	P	VARIOUS	VARIOUS
l	THROUGH UBS DISTRESSED PARTNERS LLC	P	VARIOUS	VARIOUS
m	TAXED ON 990-T; UBS		VARIOUS	VARIOUS
n	DISPOSITION OF UNITED STATES OIL FUND LP	P	VARIOUS	VARIOUS
o	THROUGH BLACKSTONE GROUP LP	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,487,098.		1,219,297.	267,801.
b 9,153,229.		8,826,313.	326,916.
c 54,235.		47,784.	6,451.
d 11,669,541.		11,534,833.	134,708.
e 82,809.		103,216.	<20,407.>
f 19,856,177.		19,334,666.	521,511.
g 36,501.		36,477.	24.
h 50,769.		50,100.	669.
i 1,597,061.		1,563,048.	34,013.
j 104,789.		97,819.	6,970.
k 68,406.			68,406.
l 44,558.			44,558.
m		6,742.	<6,742.>
n		3,332.	<3,332.>
o 496.			496.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			267,801.
b			326,916.
c			6,451.
d			134,708.
e			<20,407.>
f			521,511.
g			24.
h			669.
i			34,013.
j			6,970.
k			68,406.
l			44,558.
m			<6,742.>
n			<3,332.>
o			496.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 }

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a DISPOSITION OF BLACKSTONE GROUP		P	VARIOUS	VARIOUS
b CAPITAL GAINS DIVIDENDS				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		644.	<644.>
b 20,124.			20,124.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			<644.>
b			20,124.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,401,522.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
BRADCO SUPPLY CORP	1,487,098.	1,219,297.	0.	PURCHASED	VARIOUS	06/30/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SEE ATTACHED - UBS 33411	9,153,229.	8,826,313.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SEE ATTACHED - UBS 33411	54,235.	47,784.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SEE ATTACHED - UBS 45969					
	11,669,541.	11,534,833.	0.	0.	134,708.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SEE ATTACHED - UBS 47813					
	82,809.	103,216.	0.	0.	<20,407.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SEE ATTACHED - AMERITRADE 146881					
	19,856,177.	19,334,666.	0.	0.	521,511.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SEE ATTACHED - AMERITRADE 115664					
	36,501.	36,477.	0.	0.	24.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
THROUGH E-TRADE, 2,000 SHARES MICROSOFT CORP	50,769.	50,100.	0.	0.	669.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SEE ATTACHED - GOLDMAN SACHS 27236	1,597,061.	1,563,048.	0.	0.	34,013.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SEE ATTACHED - APS FINANCIAL	104,789.	97,819.	0.	0.	6,970.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
THROUGH UBS DISTRESSED PARTNERS LLC	68,406.	0.	0.	0.	68,406.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
THROUGH UBS DISTRESSED PARTNERS LLC	44,558.	0.	0.	0.	44,558.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
TAXED ON 990-T; UBS	0.	0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
DISPOSITION OF UNITED STATES OIL FUND LP	0.	3,332.	0.	0.	<3,332.>	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
THROUGH BLACKSTONE GROUP LP	496.	0.	0.	0.	496.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
DISPOSITION OF BLACKSTONE GROUP	PURCHASED	VARIOUS	VARIOUS	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	644.	0.	0.	<644.>

CAPITAL GAINS DIVIDENDS FROM PART IV 20,124.

TOTAL TO FORM 990-PF, PART I, LINE 6A 1,408,264.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AMERITRADE - 115664	3,645.	0.	3,645.
AMERITRADE - 146881	71,393.	17,340.	54,053.
APS - SOUTHWEST	11,621.	0.	11,621.
BRADCO NOTE	740,502.	0.	740,502.
ETRADE	235.	0.	235.
GOLDMAN	8,688.	0.	8,688.
MERRILL LYNCH	29.	0.	29.
SCOTTRADE	732.	0.	732.
UBS JG 33411	29,301.	2,784.	26,517.
UBS JG 36252	16,857.	0.	16,857.
UBS JG 45969	140,775.	0.	140,775.
UBS JG 47813	9,824.	0.	9,824.
TOTAL TO FM 990-PF, PART I, LN 4	1,033,602.	20,124.	1,013,478.

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
RENTAL PROPERTY	1	1,832,380.
TOTAL TO FORM 990-PF, PART I, LINE 5A		1,832,380.

FORM 990-PF	RENTAL EXPENSES	STATEMENT	4
DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
MANAGEMENT FEES		7,951.	
GENERAL OFFICE & ADMINISTRATIVE EXPENSE		7,790.	
PROPERTY TAXES		222,669.	
DEPRECIATION & AMORTIZATION EXPENSE		234,280.	
- SUBTOTAL -	1		472,690.
TOTAL RENTAL EXPENSES			472,690.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			1,359,690.

FORM 990-PF	OTHER INCOME	STATEMENT	5
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS PASSTHROUGH INCOME	<6,287.>	<6,287.>	
TAXED ON 990-T; UBS	4,548.	0.	
US OIL FUND PASSTHROUGH INCOME	0.	0.	
US NATURAL GAS FUND PASSTHROUGH INCOME	8,748.	8,748.	
KINDER MORGAN ENERGY PARTNERS OPTION PREMIUMS	<20.>	<20.>	
OTHER INCOME	132,283.	0.	
BLACKSTONE GROUP PASSTHROUGH INCOME	2,400.	2,400.	
	1,235.	1,235.	
TOTAL TO FORM 990-PF, PART I, LINE 11	142,907.	6,076.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
J.H. COHN LLP	11,000.	0.		11,000.	
TO FORM 990-PF, PG 1, LN 16B	11,000.	0.		11,000.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CONSULTING FEES	949.	0.		949.	
MANAGEMENT FEES	150,000.	0.		150,000.	
TO FORM 990-PF, PG 1, LN 16C	150,949.	0.		150,949.	

FORM 990-PF	TAXES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES PAID	207.	207.		0.	
EXCISE TAX	23,000.	0.		0.	
PAYROLL TAXES	3,240.	0.		3,240.	
PROPERTY TAXES	222,669.	222,669.		0.	
TO FORM 990-PF, PG 1, LN 18	249,116.	222,876.		3,240.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK CHARGES	170.	0.		170.	
BOARD EXPENSES	30,091.	0.		30,091.	
FILING FEES	105.	0.		105.	
MEMBERSHIP & SUBSCRIPTION DUES	20,641.	0.		20,641.	
INSURANCE EXPENSE	4,273.	0.		4,273.	
POSTAGE AND DELIVERY	451.	0.		451.	
PRINTING	1,084.	0.		1,084.	
INVESTMENT PROGRAM FEES	91,094.	89,094.		0.	
MEALS & ENTERTAINMENT	7,819.	0.		7,819.	
WEB DESIGN	3,500.	0.		3,500.	
PAYROLL PROCESSING	399.	0.		399.	
MISCELLANEOUS	<2,522.>	0.		0.	
MANAGEMENT FEES	7,951.	7,951.		0.	
GENERAL OFFICE & ADMINISTRATIVE EXPENSE	7,790.	7,790.		0.	
TO FORM 990-PF, PG 1, LN 23	172,846.	104,835.		68,533.	

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 10

DESCRIPTION	AMOUNT
TO ADJUST BEGINNING RETAINED EARNINGS	70,848.
TOTAL TO FORM 990-PF, PART III, LINE 3	70,848.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 11

DESCRIPTION	AMOUNT
TAXABLE INCOME NOT ON BOOKS	353,787.
UNREALIZED APPRECIATION ON CONTRIBUTED PROPERTY	6,387,817.
ADJUSTMENT TO UNREALIZED GAIN ON SECURITIES	118,669.
TOTAL TO FORM 990-PF, PART III, LINE 5	6,860,273.

FORM 990-PF CORPORATE STOCK STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
TD AMERITRADE ACCT 6881	2,003,446.	2,030,013.
TD AMERITRADE ACCT 5664	5,972,240.	6,512,167.
UBS ACCT# JG334115A	7,590,918.	8,172,262.
APS FINANCIAL ACCT# 677263055	0.	0.
UBS ACCT# JG 45969	151,021.	150,177.
UBS ACCT# JG 47813	98,850.	101,296.
ETRADE	105,904.	107,161.
SCOTTRADE	148,549.	103,354.
TOTAL TO FORM 990-PF, PART II, LINE 10B	16,070,928.	17,176,430.

FORM 990-PF OTHER INVESTMENTS STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
U.S. TRUST BANK OF AMERICA ACCT# 148516	COST	0.	0.
UBS ACCT# JG362525A	COST	5,449,144.	5,728,138.
ABC ROOFING	COST	12,043,949.	14,309,421.
FANISI VENTURE FUND	COST	252,600.	252,600.
TOTAL TO FORM 990-PF, PART II, LINE 13		17,745,693.	20,290,159.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 14
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
BAS PROPERTIES	776 MOUNTAIN BLVD, STE 202 WATCHUNG, NJ 07069

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 15
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
BARRY SEGAL 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	PRESIDENT 10.00	0.	0.	0.
BRADLEY SEGAL 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	VICE-PRESIDENT 2.00	0.	0.	0.
JANIS SIMON 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	SECRETARY 2.00	0.	0.	0.
DOLLY SEGAL 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	0.	0.	0.
MARTIN SEGAL 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 10.00	15,625.	0.	0.
RICHARD SEGAL 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	0.	0.	0.
MAJORA CARTER 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	9,750.	0.	0.
MICHELLE THOMPSON 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	0.	0.	0.
PATTI R. BECKER 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	11,250.	0.	0.
JENNA ARNOLD 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	15,000.	0.	0.
MACDELLA COOPER 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	15,000.	0.	0.

CLET NIYIKIZA 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	0.	0.	0.
FLORIANE ROBINS-BROWN 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	15,000.	0.	0.
ELIZABETH SCHARPF 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	15,000.	0.	0.
ANTOINE CHIQUET 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	7,500.	0.	0.
DAVE HARRISON 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	7,500.	0.	0.
JEREMY GOLDBERG 776 MOUNTAIN BLVD - SUITE 202 WATCHUNG, NJ 07069	DIRECTOR 2.00	15,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		<u>126,625.</u>	<u>0.</u>	<u>0.</u>

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 16

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

SEGal FAMILY FOUNDATION
776 MOUNTAIN BLVD. STE. 202
WATCHUNG, NJ 07069

TELEPHONE NUMBER

908-279-7881

FORM AND CONTENT OF APPLICATIONS

NAME OF ORGANIZATION AND PROJECT
EXPLANATION OF WHAT THE PROJECT DOES
EXPLANATION OF WHY THESE EFFORTS REFLECT THE MISSION OF THE SEGAL FAMILY
FOUNDATION
EXPLANATION OF HOW RESOURCES WILL BE SPECIFICALLY USED
DESCRIPTION OF HOW YOU FOUND OUT ABOUT THE SEGAL FAMILY FOUNDATION
WHO TO CONTACT FOR MORE INFORMATION
(IT WOULD BE NICE IF REQUESTS ARE TWO PAGES OR LESS)

ANY SUBMISSION DEADLINES

RESTRICTIONS AND LIMITATIONS ON AWARDS

FORM 990-PF GRANTS AND CONTRIBUTIONS STATEMENT 17
PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
A CHILD FOR ALL 21 ARBOR LN. STAFFORD, VA 22554	NONE SOCIAL WELFARE	501(C)(3)	2,500.
AFRICAN CENTER FOR COMMUNITY EMPOWERMENT 111-20A FARMERS BLVD. ST ALBANS, NY 11412	NONE SOCIAL WELFARE	501(C)(3)	3,000.
ALL FOR AFRICA 245 PARK AVE, 38TH FLOOR NEW YORK, NY 10167	NONE SOCIAL WELFARE	501(C)(3)	120,000.
ANDRE AGASSI CHARITABLE FOUNDATION 3883 HOWARD HUGHES PKWY, 8TH FLOOR LAS VEGAS, NV 89169	NONE SOCIAL WELFARE	501(C)(3)	10,000.
ASYV - SPOT DONATION FOR LETTERS RCVD 711 THIRD AVENUE, 10TH FLOOR NEW YORK, NY 10017	NONE RELIGIOUS	501(C)(3)	160,000.
BEADS FOR EDUCATION 5501 VENTNOR AVENUE VENTNOR, NJ 08406	NONE EDUCATIONAL	501(C)(3)	58,000.
BENEBIKIRA SISTERS FOUNDATION 433 W. HARRISON PO BOX 7522 CHICAGO, IL 60680	NONE SOCIAL WELFARE	501(C)(3)	1,500.
BLUE MARBLE ICE CREAM 314 DEAN STREET BROOKLYN, NY 11218	NONE SOCIAL WELFARE	501(C)(3)	10,000.

BOYS & GIRLS CLUB OF STAMFORD 347 STILLWATER AVENUE, ATTN: NONA SNYDER STAMFORD, CT 06902	NONE SOCIAL WELFARE	501(C)(3)	3,500.
BRAC USA - S. SUDAN 11 EAST 44TH ST. SUITE 1600 NEW YORK, NY 10017	NONE EDUCATIONAL	501(C)(3)	100,000.
BROKEN HEARTS MINISTRIES P.O. BOX 2011 MATTHEWS, NC 28106	NONE SOCIAL WELFARE	501(C)(3)	5,000.
BUILDING COMMUNITY BRIDGES, INC. 244 5TH AVE., STE #E283 NEW YORK, NY 10001	NONE ENVIRONMENT	501(C)(3)	5,250.
CHARITY WATER.ORG 200 VARICK ST., STE. #201 NEW YORK, NY 10014	NONE ENVIRONMENT	501(C)(3)	5,000.
CHILDREN OF UGANDA(UK)- BSUF 3 FLEET HOUSE 6 VICTORY PLACE E14 8BG LONDON	NONE SOCIAL WELFARE	501(C)(3)	1,000.
CHITENJE MATERNITY TRUST ATTN: TAREK MEGUID PB 149 LILONGWE, MALAWI	NONE SOCIAL WELFARE	501(C)(3)	5,000.
CHRISTIAN RURAL EVANGELICAL FOUNDATION PO BOX 348 BUGURI, UGANDA	NONE SOCIAL WELFARE	501(C)(3)	5,000.
COMMUNITY LAB INCORPORATED 32 THOMPSON STREET RM 12 NEW YORK, NY 10013	NONE ENVIRONMENT	501(C)(3)	1,000.
CROSSROADS SPRINGS AFRICA INC. 6924 EAST QUAAKER ROAD ORCHARD PARK, NY 14127	NONE SOCIAL WELFARE	501(C)(3)	22,500.

CYEC - KENYA - ZAWADI FUND INTERNATIONALS CYEC 111 DEVONWOOD LANE DEVON, PA 19333	NONE SOCIAL WELFARE	501(C)(3)	20,000.
DEVELOPMENT IN GARDENING (DIG) PO BOX 18138 DENVER, CO 80218	NONE ENVIRONMENT	501(C)(3)	10,000.
DIAMOND EMPOWERMENT FUND 512 SEVENTH AVENUE, 43 RD FLOOR NEW YORK, NY 10018	NONE SOCIAL WELFARE	501(C)(3)	17,500.
EDUCATE! PO BOX 4847 BOULDER, CO 80306	NONE EDUCATIONAL	501(C)(3)	15,000.
ETHIOPIAN HEALTHCARE NETWORK 2401 VIRGINIA AVENUE NW WASHINGTON, DC 20037	NONE SOCIAL WELFARE	501(C)(3)	2,500.
FOUNDATION RWANDA 241 AVENUE OF THE AMERICAS SUITE 14-C NEW YORK, NY 10014	NONE SOCIAL WELFARE	501(C)(3)	40,700.
FRIENDS OF EDNA MATERNITY HOSPITAL P.O. BOX 49146 BLAINE, MN 55449	NONE SOCIAL WELFARE	501(C)(3)	2,500.
GARDENS FOR HEALTH INTERNATIONAL 9 AVON ST. CAMBRIDGE, MA 02138	NONE MEDICAL RESEARCH	501(C)(3)	10,000.
GENERATION RWANDA 16 HIGHLAND ST. CAMBRIDGE, MA 02138	NONE SOCIAL WELFARE	501(C)(3)	3,000.
GENOCIDE INTERVENTION NETWORK 1200 18TH STREET NW SUITE 320 WASHINGTON, DC 20036	NONE SOCIAL WELFARE	501(C)(3)	15,000.

GLOBAL HEALTH CORPS INC. 5 PENN PLAZA - 2ND FLOOR NEW YORK, NY 10001	NONE MEDICAL RESEARCH	501(C)(3)	20,000.
HABITAT FOR HUMANITY - KENYA 121 HABITAT STREET AMERICUS, GA 31709	NONE ENVIRONMENT	501(C)(3)	133,000.
HARRISON LEAF - ACCESS WIND ACCESS WIND BANK OF AMERICA 88 BROADWAY NEW HAVEN, CT 06511	NONE SOCIAL WELFARE	501(C)(3)	10,000.
HOPE FOR LIMPOPO 3 W GREEN STREET EASTHAMPTON, MA 01027	NONE SOCIAL WELFARE	501(C)(3)	7,500.
ICSEE 14 COTTAGE STREET # 2 CAMBRIDGE, MA 02139	NONE SOCIAL WELFARE	501(C)(3)	5,000.
INDEGO AFRICA 507 ARCHWOOD TRAIL HOUSTON, TX 77007	NONE SOCIAL WELFARE	501(C)(3)	40,000.
JDC-IDP; ASYV RWANDA MAILBOX 530 132 EAST 43RD ST NEW YORK, NY 10017	NONE SOCIAL WELFARE	501(C)(3)	1,300.
KARAMA CONNECTION ATTN: CONNIE NABER 3612 ZUMSTEIN AVE CINCINNATI, OH 45208	NONE SOCIAL WELFARE	501(C)(3)	5,000.
KIP KEINO FOUNDATION PO BOX 7532-30100 ELDORET, KENYA	NONE SOCIAL WELFARE	501(C)(3)	260,000.
KOMO LEARNING CENTRES (MPOMA INITIATIVE) 3151 NORTH HILL FARM DRIVE TUCSON, AZ 85712	NONE SOCIAL WELFARE	501(C)(3)	58,900.

LESC/BRIDGE2LIFE 80 MAIDEN LANE, 2ND FLOOR NEW YORK, NY 10038	NONE SOCIAL WELFARE	501(C)(3)	1,500.
LIFE PROJECT FOR AFRICA (HEIDI) 80 SOUTH HIGHLAND AVE OSSINING, NY 10562	NONE SOCIAL WELFARE	501(C)(3)	41,000.
LOWER EAST SIDE SERVICE CENTER 130 BOWERY NEW YORK, NY 10013	NONE SOCIAL WELFARE	501(C)(3)	2,000.
LWALA COMMUNITY ALLIANCE P.O. BOX 60688 NASHVILLE, TN 37206	NONE MEDICAL RESEARCH	501(C)(3)	75,000.
MA AFRIKA TIKKUN USA 535 EAST 72ND STREET #2A NEW YORK, NY 10021	NONE SOCIAL WELFARE	501(C)(3)	15,000.
MAJORA CARTER THE MAJORA CARTER GROUP, LLC 901 HUNTS POINT AVE. 2ND FL BRONX, NY 10474	NONE SOCIAL WELFARE	501(C)(3)	12,000.
MALAIKA FOR LIFE 200 E. 89TH ST., APT #26H NEW YORK, NY 10128	NONE SOCIAL WELFARE	501(C)(3)	5,000.
MANUP P.O. BOX 25164 BROOKLYN, NY 11202	NONE SOCIAL WELFARE	501(C)(3)	15,000.
NATIONAL MS SOCIETY NJ METRO CHAPTER 1 KALISA WAY SUITE 205 PARAMUS, NJ 10031	NONE MEDICAL RESEARCH	501(C)(3)	10,000.
NIBAKURE CHILDREN'S VILLAGE P.O. BOX 36 532 SPRUCE DRIVE HUDSON, WI 54016	NONE SOCIAL WELFARE	501(C)(3)	70,000.

NYAKA SCHOOL (MICRO FINANCE) PO BOX 339 EAST LANSING, MI 48824	NONE SOCIAL WELFARE	501(C)(3)	6,500.
NYAKA AIDS ORPHANS SCHOOL PO BOX 339 EAST LANSING, MI 48826	NONE SOCIAL WELFARE	501(C)(3)	70,000.
NYANSAKIA CHURCH OF CHRIST - ORPHANS - NYANSAKIA CHURCH OF CHRIST - ORPHANS, BARCLAYS BANK OF KENYA LTD. NAIROBI, KENYA	NONE SOCIAL WELFARE	501(C)(3)	1,000.
ORPHANS OF RWANDA INC 330 SEVENTH AVENUE, 21ST FLOOR NEW YORK, NY 10001	NONE SOCIAL WELFARE	501(C)(3)	6,000.
PARTNERSHIP FOR PUBLIC SERVICE 1100 NEW YORK AVENUE, NW, SUITE 1090 EAST WASHINGTON, DC 20005	NONE SOCIAL WELFARE	501(C)(3)	15,000.
PLANNED PARENTHOOD OF AMERICA 434 WEST 33RD STREET NEW YORK, NY 10001	NONE EDUCATIONAL	501(C)(3)	100,000.
POWERING POTENTIAL P.O. BOX 230913 NEW YORK, NY 10023	NONE EDUCATIONAL	501(C)(3)	20,000.
REFUGEES INTERNATIONAL 2001 S. STREET NW, SUITE 700 WASHINGTON, DC 20009	NONE SOCIAL WELFARE	501(C)(3)	10,000.
SAVE THE CHILDREN ATTN: ALISSA SPARGO, 54 WILTON ROAD WESTPORT, CT 06854	NONE SOCIAL WELFARE	501(C)(3)	50,000.
SAVE THE ELEPHANTS WILDLIFE CONSERVATION NETWORK 25745 BASSETT LANE LOS ALTOS, CA 94022	NONE SOCIAL WELFARE	501(C)(3)	6,000.

SAVING MOTHERS 1176 FIFTH AVE 9TH FLOOR, BOX 1170 NEW YORK, NY 10029	NONE SOCIAL WELFARE	501(C)(3)	1,000.
SOFT POWER HEALTH 2887 PURCHASE STREET PURCHASE, NY 10577	NONE SOCIAL WELFARE	501(C)(3)	10,000.
SPARKS MICRO GRANTS C/O COMMUNITY LAB 611 WEST 137TH ST APT. 43 NEW YORK, NY 10031	NONE MEDICAL RESEARCH	501(C)(3)	10,000.
SUSTAINABLE HEALTH-SHE (DONATIONS) 260 5TH AVE., 9TH FLOOR NEW YORK, NY 10001	NONE MEDICAL RESEARCH	501(C)(3)	40,000.
SUSTAINABLE SOUTH BRONX 890 GARRISON AVE #24 BRONX, NY 10474	NONE ENVIRONMENT	501(C)(3)	100,000.
TAIA PEACE FOUNDATION 200 PARK AVENUE SOUTH, 8TH FLOOR NEW YORK, NY 10003	NONE SOCIAL WELFARE	501(C)(3)	10,000.
TANAZANIAN CHILDREN'S FUND 45 EXCHANGE STREET PORTLAND, ME 04101	NONE SOCIAL WELFARE	501(C)(3)	85,000.
THE AFRI-CAN CAFE CO-OPERATIVE CHARITY 400 LEE STREET #3, ATTN: JESSICA DONNELLY EVANSTON, IL 60202	NONE SOCIAL WELFARE	501(C)(3)	20,000.
THE COMMUNITY SERVICE SOCIETY ATTN: SANDRA SIROTA 108 6TH AVENUE #2R NEW YORK, NY 11217	NONE SOCIAL WELFARE	501(C)(3)	4,000.
THE GONDOBAY MANGA FOUNDATION 1101 SOUTH FLOWER STREET SUITE 4 BURBANK, CA 91502	NONE SOCIAL WELFARE	501(C)(3)	50,000.

THE IMIBALA TRUST 9 BRIGHT STREET SOMERSET WEST 7130, SOUTH AFRICA	NONE SOCIAL WELFARE	501(C)(3)	2,000.
THE JACK BREWER FOUNDATION GLOBAL JUSTICE CENTER 25 E. 21ST. ST - 10TH FLOOR NEW YORK, NY 10010	NONE SOCIAL WELFARE	501(C)(3)	10,000.
THE LEON H. SULLIVAN FOUNDATION 1800 K. STREET, NW STE #1021 WASHINGTON, D.C. , DC 20006	NONE SOCIAL WELFARE	501(C)(3)	10,000.
THE MACDELLA COOPER FOUNDATION 152 WEST 57 STREET FLOOR 32 NEW YORK, NY 10019	NONE SOCIAL WELFARE	501(C)(3)	182,950.
THE NIAPELE PROJECT 3371 GLENDALE BLVD., UNIT 227 LOS ANGELES, CA 90039	NONE SOCIAL WELFARE	501(C)(3)	5,000.
THROUGH THE EYES OF CHILDREN 16531 N.E. 35TH AVE STE 2 NORTH MIAMI BEACH, FL 33160	NONE SOCIAL WELFARE	501(C)(3)	4,000.
TITAGYA SCHOOLS 1675 YORK AVENUE, #4C NEW YORK, NY 10128	NONE SOCIAL WELFARE	501(C)(3)	4,500.
TURKANA BASIN INSTITUTE (IKAL ANGELEII) - IKAL ANGELEII, TURKANA BASIN INSTITUTE PO BOX 24467-00502 NAIROBI, KENYA	NONE SOCIAL WELFARE	501(C)(3)	4,000.
UBUNTU EDUCATION FUND 32 BROADWAY STE 414 NEW YORK, NY 10004	NONE EDUCATIONAL	501(C)(3)	10,000.
UJA FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW YORK, NY 10022	NONE RELIGIOUS	501(C)(3)	131,100.

VALENTINO ACHAK DENG FOUNDATION 849 VALENCIA STREET SAN FRANCISCO, CA 94110	NONE SOCIAL WELFARE	501(C)(3)	3,000.
VILLAGE HEALTH WORKS 110 EAST 42ND ST., STE. 1419 NEW YORK, NY 10017	NONE SOCIAL WELFARE	501(C)(3)	20,000.
WE CARE SOLAR 3009 HILLEGASS AVENUE BERKELEY, CA 94705	NONE SOCIAL WELFARE	501(C)(3)	20,000.
WILLIAM J. CLINTON FOUNDATION DONATIONS DEPARTMENT 610 PRESIDENT CLINTON AVE. LITTLE ROCK, AR 72201	NONE SOCIAL WELFARE	501(C)(3)	10,000.
WNET.ORG 450 WEST 33RD STREET NEW YORK, NY 10001	NONE SOCIAL WELFARE	501(C)(3)	2,500.
WOMEN FOR WOMEN INTERNATIONAL 4455 CONNECTICUT AVE NW SUITE 200 WASHINGTON, DC 20008	NONE EDUCATIONAL	501(C)(3)	110,000.
WORLDWIDE ORPHANS FOUNDATION 511 VALLEY ST., STE #200 MAPLEWOOD, NJ 07940	NONE SOCIAL WELFARE	501(C)(3)	5,000.
ZAMBIAN INSTITUTE FOR SUSTAINABLE DEVELOP 251 10TH ST NW #E209 ATLANTA, GA 30318	NONE SOCIAL WELFARE	501(C)(3)	2,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

2,592,200.

Account Number JG 33411 5A
Your Financial Advisor or Contact
ALLEN I SAUNDERS
212-713-7800/800-225-2971

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UBS Financial Services Inc.

2010 Informational Tax Statement

C32L001318-X

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	\$ 8,826,312.69	\$ 9,153,229.17	\$ 409,345.94	\$ (82,429.46)	\$ 326,916.48
Long-term Gain/Loss:	47,784.42	54,235.33	6,450.91		6,450.91
Sub Total:	\$ 8,874,097.11	\$ 9,207,464.50	\$ 415,796.85	\$ (82,429.46)	\$ 333,367.39
Total:	\$ 8,874,097.11	\$ 9,207,464.50	\$ 415,796.85	\$ (82,429.46)	\$ 333,367.39

Short-Term Gain/Loss

This section includes securities held for less than one year and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ADOBE SYSTEMS INC (DELAWARE)	Trade	1,000.000		03/23/10	03/26/10	35,631.44	34,926.60	704.84		Y
APACHE CORP	Trade	500.000		02/09/10	03/23/10	51,337.09	49,423.00	1,914.09		Y
	Trade	500.000		03/26/10	03/29/10	49,490.76	49,123.60	367.16		Y
	Trade	1,000.000		06/11/10	06/14/10	95,613.55	92,853.60	2,759.95		Y
	Trade	1,000.000		06/17/10	06/30/10	84,705.69	96,308.85	(11,603.16)		Y
Sub-Total:		3,000.000				281,147.09	287,709.05	(6,561.96)		
APPLE INC	Trade	500.000		06/15/09	05/18/10	128,739.07	67,874.95	60,864.12		Y
	Trade	500.000		05/07/10	05/18/10	128,739.07	118,430.00	10,309.07		Y
	Trade	1,500.000		05/14/10	05/18/10	386,217.21	379,682.53	6,534.68		Y

Continued on page 11

2010 INFO / JG 33411 5A



Account Number JG 33411 5A
Your Financial Advisor or Contact
ALLEN I SAUNDERS
212-713-7800/800-225-2971

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UBS Financial Services Inc.

2010 Informational Tax Statement

C32L001319-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
APPLE INC	Trade	500,000		05/14/10	06/01/10	132,209.07	126,560.84	5,648.23		Y
	Trade	2,000,000		05/18/10	06/01/10	528,836.30	508,372.54	20,463.76		Y
	Trade	1,500,000		06/01/10	06/02/10	395,472.06	391,057.90	4,414.16		Y
	Trade	2,000,000		06/03/10	06/03/10	526,568.35	523,856.00	2,712.35		Y
	Trade	1,000,000		07/13/10	07/21/10	262,849.58	249,686.00	13,163.58		Y
	Trade	2,000,000		07/19/10	07/21/10	525,699.16	484,436.95	41,262.21		Y
	Trade	2,000,000		08/11/10	09/03/10	516,397.77	506,355.41	10,042.36		Y
	Trade	2,000,000		08/24/10	09/03/10	516,397.77	480,200.00	36,197.77		Y
	Sub-Total:	15,500,000				4,048,125.41	3,836,513.12	211,612.29		
BANK OF AMER CORP	Trade	1,000,000		04/19/10	05/10/10	17,224.71	18,438.40	(1,213.69)		Y
	Trade	1,000,000		04/27/10	05/10/10	17,224.71	17,727.00	(502.29)		Y
	Trade	2,000,000		05/06/10	05/10/10	34,449.42	34,933.60	(484.18)		Y
	Trade	15,000,000		06/07/10	06/10/10	229,046.12	230,246.40	(1,200.28)		Y
	Sub-Total:	19,000,000				297,944.96	301,345.40	(3,400.44)		
BERKSHIRE HATHAWAY INC CL A	Trade	5,000		11/23/10	12/02/10	608,494.71	596,305.00	12,189.71		Y
BLACKROCK INC	Trade	1,000,000		05/11/10	05/12/10	173,146.27	171,350.92	1,795.35		Y
BROCADE COMMUNICATIONS SYS INC NEW	Trade	10,000,000		04/12/10	07/06/10	50,599.14	64,698.87	(14,099.73)		Y
CHINA UNICOM HONG KONG LTD ADR	Trade	5,000,000		06/11/10	06/14/10	62,402.78	60,794.60	1,608.18		Y
CURRENCYSHARES EURO TRUST	Trade	500,000		06/09/10	06/09/10	60,155.93	60,034.20	121.73		Y
EOG RESOURCES INC	Trade	2,000,000		08/06/10	11/03/10	176,897.01	196,884.80	(19,987.79)		Y
	Trade	2,000,000		08/18/10	12/23/10	181,810.22	186,830.00	(5,019.78)		Y
	Sub-Total:	4,000,000				358,707.23	383,714.80	(25,007.57)		
FORD MOTOR CO COM NEW	Trade	2,000,000		03/31/10	05/25/10	21,329.63	25,338.60	(4,008.97)		Y
GOLDMAN SACHS GROUP INC	Trade	1,000,000		05/11/10	05/12/10	145,020.74	141,987.50	3,033.24		Y
	Trade	500,000		05/13/10	06/30/10	66,730.17	72,991.58	(6,261.41)		Y

Account Number JG 33411 5A
Your Financial Advisor or Contact
ALLEN I SAUNDERS
212-713-7800/800-225-2971

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UBS Financial Services Inc.

2010 Informational Tax Statement

C32L001320-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase Date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GOLDMAN SACHS GROUP INC	Trade	500,000		05/13/10	07/16/10	74,594.48	72,991.58	1,602.90		Y
	Trade	2,000,000		10/14/10	10/19/10	314,714.68	301,873.60	12,841.08		Y
	Sub-Total:	4,000,000				601,060.07	589,844.26	11,215.81		
INTEL CORP	Trade	1,000,000		04/13/10	05/10/10	22,433.12	22,727.50	(294.38)		Y
ISHARES FTSE CHINA 25 INDEX FUND ETF	Trade	2,000,000		04/27/09	02/09/10	76,319.03	62,552.60	13,766.43		Y
JOHNSON & JOHNSON COM	Trade	1,000,000		09/01/09	03/26/10	64,690.77	59,888.20	4,802.57		Y
JP MORGAN RUSSIA FUND CLASS A	Trade	6,353,240		08/06/09	05/24/10	63,468.87	50,000.00	13,468.87		Y
JPMORGAN CHASE & CO	Trade	2,500,000		06/16/10	06/21/10	98,360.83	96,667.00	1,693.83		Y
MARKET VECTORS STEEL INDEX ETF	Trade	2,000,000		06/04/10	06/09/10	110,347.73	109,010.00	1,337.73		Y
MARKET VECTORS INDONESIA IND	Trade	500,000		04/08/10	05/25/10	29,939.49	36,055.00	(6,115.51)		Y
METROPCS COMMUNICATIONS INC	Trade	3,556,000		10/19/09	03/26/10	24,856.12	25,027.13	(171.01)		Y
	Trade	6,444,000		10/21/09	03/26/10	45,042.99	42,398.72	2,644.27		Y
	Trade	9,556,000		10/21/09	04/26/10	74,743.65	62,874.33	11,869.32		Y
	Trade	10,000,000		06/02/10	06/03/10	86,827.02	84,700.00	2,127.02		Y
	Sub-Total:	29,556,000				231,469.78	215,000.18	16,469.60		
MF GLOBAL HLDGS LTD	Trade	3,000,000		03/29/10	04/06/10	26,650.05	25,404.00	1,246.05		Y
	Trade	3,000,000		03/31/10	04/06/10	26,650.05	24,174.00	2,476.05		Y
	Sub-Total:	6,000,000				53,300.10	49,578.00	3,722.10		
MONSANTO CO NEW	Trade	500,000		04/27/10	05/11/10	29,131.11	31,799.24	(2,668.13)		Y
	Trade	1,000,000		04/29/10	05/11/10	58,262.21	62,568.40	(4,306.19)		Y
	Sub-Total:	1,500,000				87,393.32	94,367.64	(6,974.32)		
NOBLE ENERGY INC	Trade	1,000,000		06/22/10	07/20/10	66,293.67	62,927.48	3,366.19		Y

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2010 INFO / JG 33411 5A



Account Number JG 33411 5A
Your Financial Advisor or Contact
ALLEN I SAUNDERS
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UBS Financial Services Inc.

2010 Informational Tax Statement

C32L001321-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PEPSICO INC	Trade	1,000,000		03/26/10	05/06/10	65,340.49	66,538.10	(1,197.61)		Y
PETROLEO BRASILEIRO SA SPON ADR	Trade	5,000,000		10/11/10	11/04/10	181,612.93	174,099.96	7,512.97		Y
POWERSHARES QQQ TRUST SER 1	Trade	3,000,000		06/29/10	08/24/10	131,322.78	131,394.60	(71.82)		Y
RACKSPACE HOSTING INC	Trade	10,000,000		08/11/10	08/25/10	194,288.42	190,068.99	4,219.43		Y
RESEARCH IN MOTION LTD CAD	Trade	1,500,000		12/02/09	04/05/10	101,729.72	91,540.80	10,188.92		Y
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	Trade	1,000,000		03/26/10	04/26/10	60,923.97	64,147.50	(3,223.53)		Y
UNITED PARCEL SERVICE INC CL B	Trade	2,000,000		06/11/10	06/11/10	122,204.33	120,916.59	1,287.74		Y
VISA INC CL A	Trade	2,000,000		06/30/10	11/02/10	155,589.01	143,493.90	12,095.11		Y
	Trade	2,000,000		08/05/10	11/02/10	155,589.01	143,353.60	12,235.41		Y
	Trade	4,000,000		08/06/10	11/02/10	311,178.02	287,815.67	23,362.35		Y
	Sub-Total:	8,000,000				622,356.04	574,663.17	47,692.87		
VMWARE INC CL A	Trade	1,000,000		06/24/10	09/13/10	85,344.56	68,187.16	17,157.40		Y
	Trade	1,000,000		07/16/10	09/13/10	85,344.56	73,406.80	11,937.76		Y
	Sub-Total:	2,000,000				170,689.12	141,593.96	29,095.16		
Total Short-Term Gain/Loss						9,153,229.17	8,826,312.69	326,916.48		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ISHARES MSCI TAIWAN INVESTS	Trade	4,500,000		05/05/09	05/06/10	54,235.33	47,784.42	6,450.91		Y
Total Long-Term Gain/Loss						54,235.33	47,784.42	6,450.91		



UBS Financial Services Inc
1285 AVE OF AMERICAS
15TH, 16TH, 17TH, 18TH & 20TH FLS
NEW YORK NY 10019

ENP7008537911 1210 X2 JG 0

2010 Year End Summary

Account name: SEGAL FAMILY FOUNDATION INC

Friendly account name: Segal Family 2

Account number: JG 45969 5A

Your Financial Advisor:

ALLEN I SAUNDERS

Phone 212-713-7800/800-225-2971

SEGAL FAMILY FOUNDATION INC

776 MOUNTAIN BLVD

SUITE 202

WATCHUNG NJ 07069-6269

Summary of gains and losses

Amount (\$)

Short term

134,708.45

Realized gains and losses

Estimated 2010 gains and losses for transactions with trade dates through 12/31/10 have been incorporated into this statement. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* on the last page of this statement for more information.

We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

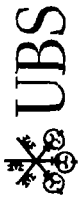
Short-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
ARTIO GLOBAL HIGH INCOME FUND CLASS A	FIFO	12,867 193	Aug 10, 10	Dec 16, 10	140,767 10	138,193 65		2,573 45	
	FIFO	728 579	Earnings	Dec 16, 10	7,970 65	7,892 18		78 47	
	FIFO	12,277 720	Aug 10, 10	Nov 24, 10	134,441 03	131,862 71		2,578 32	
	FIFO	7,909 091	Aug 10, 10	Nov 17, 10	87,000 00	84,943 64		2,056 36	
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Member SIPC

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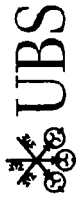
Friendly account name: Segal Family 2
Account number: JG 45969 5A

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
BLACKROCK STRATEGIC									
INCOME A	FIFO	56,623 310	Jul 07, 10	Dec 16, 10	569,064 27	552,077 27		16,987 00	1
	FIFO	2,501 836	Earnings	Dec 16, 10	25,143 46	25,240 17	-96 71		
	FIFO	16,932 271	Jul 07, 10	Dec 15, 10	170,000 00	165,089 64		4,910 36	1
	FIFO	49,923 289	Jul 02, 10	Nov 24, 10	505,722 92	486,252 83		19,470 09	1
	FIFO	18,752 111	Jul 07, 10	Nov 24, 10	189,958 88	182,833 08		7,125 80	1
	FIFO	42,479 175	Jul 02, 10	Aug 05, 10	422,243 00	413,747 16		8,495 84	1
DELAWARE LIMITED-TERM									
DIVERSIFIED INCOME FUND									
CL A	FIFO	90,064 895	Jul 07, 10	Aug 04, 10	806,981 46	802,478 21		4,503 25	
	FIFO	112 464	Earnings	Aug 04, 10	1,007 68	1,005 43		2 25	
	FIFO	101,010 101	Jul 02, 10	Aug 02, 10	904,040 41	900,000 00		4,040 41	
	FIFO	10,945 206	Jul 07, 10	Aug 02, 10	97,959 59	97,521 79		437 80	
GOLDMAN SACHS HIGH YIELD									
INCOME FUND CLASS A									
FIFO	FIFO	19,506 000	Aug 10, 10	Dec 16, 10	141,028 38	138,492 60		2,535 78	
	FIFO	1,141 756	Earnings	Dec 16, 10	8,254 90	8,192 50		62 40	
	FIFO	18,494 000	Aug 10, 10	Nov 24, 10	133,341 74	131,307 40		2,034 34	
	FIFO	12,000 000	Aug 10, 10	Nov 17, 10	87,000 00	85,200 00		1,800 00	
HARBOR HIGH YIELD									
BOND FUND INVESTOR CLASS									
FIFO	FIFO	16,888 807	Aug 10, 10	Dec 16, 10	186,928 69	184,088 00		2,840 69	
	FIFO	367 541	Earnings	Dec 16, 10	4,068 02	4,035 60		32 42	
	FIFO	15,680 000	Aug 10, 10	Nov 24, 10	172,814 12	170,912 00		1,902 12	
JP MORGAN HIGH YIELD									
CLASS A	FIFO	17,481 457	Aug 10, 10	Dec 16, 10	141,425 07	138,453 22		2,971 85	
	FIFO	1,065 148	Earnings	Dec 16, 10	8,617 04	8,533 66		83 38	
	FIFO	16,680 000	Aug 10, 10	Nov 24, 10	135,608 40	132,105 60		3,502 80	
	FIFO	10,661 765	Aug 10, 10	Nov 17, 10	87,000 00	84,441 18		2,558 82	
LORD ABBETT SHORT									
DURATION INCOME FUND									
CLASS A	FIFO	196,078 431	Jul 02, 10	Aug 04, 10	909,803 92	900,000 00		9,803 92	
	FIFO	195,652 174	Jul 07, 10	Aug 04, 10	907,826 09	900,000 00		7,826 09	
	FIFO	961 974	Earnings	Aug 04, 10	4,463 56	4,453 94		9 62	

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UBS Strategic Advisor

Account name: SEGAL FAMILY FOUNDATION INC
Friendly account name: Segal Family 2
Account number: JG 45969 5A

Your Financial Advisor:
ALLEN I SAUNDERS
212-713-7800/800-225-2971

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
PRUDENTIAL SHORT-TERM CORPORATE BOND FUND CLASS A									
	FIFO	15,455 710	Jul 07, 10	Oct 19, 10	180,677 25	177,431 55		3,245 70	
	FIFO	998 741	Earnings	Oct 19, 10	11,675 28	11,585 21		90 07	
	FIFO	22,528 582	Jul 02, 10	Oct 11, 10	263,584 41	258,177 55		5,406 86	
	FIFO	62,941 503	Jul 07, 10	Oct 11, 10	736,415 59	722,568 45		13,847 14	
	FIFO	21,533 161	Jul 02, 10	Sep 16, 10	250,000 00	246,770 03		3,229 97	
	FIFO	17,290 682	Jul 02, 10	Aug 05, 10	200,399 00	198,151 22		2,247 78	
	FIFO	17,181 606	Jul 02, 10	Aug 04, 10	198,963 00	196,901 20		2,061 80	
PUTNAM INCOME FUND CLASS A									
	FIFO	31,894 384	Aug 10, 10	Dec 16, 10	213,692 38	220,709 14	-7,016 76		
	FIFO	3,354 290	Earnings	Dec 16, 10	22,473 74	23,092 78	-619 04		
	FIFO	31,724 000	Aug 10, 10	Nov 24, 10	214,771 48	219,530 08	-4,758 60		
	FIFO	40,765 861	Jul 07, 10	Nov 18, 10	277,207 85	279,246 15	-2,038 30		
	FIFO	24,676 414	Aug 10, 10	Nov 18, 10	167,799 61	170,760 78	-2,961 17		
	FIFO	734 196	Earnings	Nov 18, 10	4,992 53	5,021 90	-29 37		
	FIFO	22,109 691	Jul 02, 10	Oct 19, 10	151,893 58	151,451 38		442 20	
	FIFO	17,628 300	Jul 07, 10	Oct 19, 10	121,106 42	120,753 86		352 56	
	FIFO	36,284 470	Jul 02, 10	Sep 16, 10	250,000 00	248,548 62		1,451 38	
WTS BANK OF AMER CORP EXP 10/28/18									
	FIFO	10,000 000	Oct 18, 10	Dec 16, 10	24,799 58	21,700 00		3,099 58	
BAC CAP TRUST II 7 00% TRUST PFD DUE 02/01/32 CALLABLE@ \$25 00									
	FIFO	202 000	Aug 10, 10	Dec 16, 10	4,870 39	4,936 84	-66 45		
	FIFO	3,626 000	Aug 11, 10	Dec 16, 10	87,425 86	88,821 00	-1,395 14		
	FIFO	3,480 000	Aug 10, 10	Nov 24, 10	83,588 18	85,050 50	-1,462 32		
	FIFO	2,668 000	Aug 10, 10	Nov 17, 10	65,002 05	65,205 39	-203 34		
DEUTSCHE BK CONTGNT CAP 7 600% PREFERRED CLBL PAR VALUE - 25 00 USD									
	FIFO	4,540 000	Aug 10, 10	Dec 16, 10	113,966 60	115,524 84	-1,558 24		
	FIFO	4,125 000	Aug 10, 10	Nov 24, 10	106,383 90	104,964 75		1,419 15	
	FIFO	2,515 000	Aug 10, 10	Nov 17, 10	64,994 61	63,996 69		997 92	

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Friendly account name: Segal Family 2
Account number: JG 45969 5A

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
ING GROEP N V 8 500%									
PREFERRED CLBL PAR VALUE									
- 25 00 USD	FIFO	4,638 000	Aug 10, 10	Dec 16, 10	115,575 72	115,787 67	-211 95		
	FIFO	4,215 000	Aug 10, 10	Nov 24, 10	108,570 26	105,227 48		3,342 78	
	FIFO	2,535 000	Aug 10, 10	Nov 17, 10	65,021 69	63,286 28		1,735 41	
JPMORGAN CHASE CAP XXIX									
6 700%									
DUE 04/02/40	FIFO	4,633 000	Aug 10, 10	Dec 16, 10	118,576 23	115,643 85		2,932 38	
	FIFO	4,210 000	Aug 10, 10	Nov 24, 10	107,437 38	105,085 39		2,351 99	
	FIFO	2,562 000	Aug 10, 10	Nov 17, 10	64,985 03	63,949 83		1,035 20	
MORGAN STANLEY									
6 600%									
DUE 02/01/46 CALLABLE	FIFO	4,651 000	Aug 11, 10	Dec 16, 10	114,095 56	115,255 55	-1,159 99		
	FIFO	488 000	Aug 10, 10	Nov 24, 10	11,909 93	12,035 50	-125 57		
	FIFO	3,739 000	Aug 11, 10	Nov 24, 10	91,252 48	92,655 45	-1,402 97		
	FIFO	2,662 000	Aug 10, 10	Nov 17, 10	64,953 51	65,652 64	-699 13		
Total					\$11,669,541.46	\$11,534,833.01	-\$25,805.05	\$160,513.50	\$134,708.45
Net capital gains/losses:									\$134,708.45

¹ Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.



UBS Financial Services Inc
1285 AVE OF AMERICAS
15TH, 16TH, 17TH, 18TH & 20TH FLS
NEW YORK NY 10019

ENP7008537915 1210 X2 JG 0

2010 Year End Summary

Account name: SEGAL FAMILY FOUNDATION INC

Friendly account name: SFF APS Assets

Account number: JG 47813 5A

Your Financial Advisor:

ALLEN I SAUNDERS

Phone 212-713-7800/800-225-2971

SEGAL FAMILY FOUNDATION INC

776 MOUNTAIN BLVD

SUITE 202

WATCHUNG NJ 07069-6269

Summary of gains and losses

	Amount (\$)
Short term	-20,406 90

Realized gains and losses

Estimated 2010 gains and losses for transactions with trade dates through 12/31/10 have been incorporated into this statement. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* on the last page of this statement for more information.

We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
NEWPAGE CORP									
10 000% 050112 DTD050205	FIFO	39,000 000	Jun 24, 10	Oct 06, 10	19,494 75	23,341 02	-3,846 27		
FC110105									
NEWPAGE CORP NTS B/E									
12 000% 050113 DTD050205	FIFO	100,000 000	Apr 09, 10	Oct 12, 10	26,744 75	40,652 25	-13,907 50		
FC110105									

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Friendly account name: SFF APS Assets
Account number: JG 47813 5A

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
NEWPAGE CORP NTS B/E									
11 375% 123114 DTD123109									
CALL@MW+50BP FC063010									
Original cost basis \$26,882 25	FIFO	28,000 000	Jun 24, 10	Sep 30, 10	25,264 75	26,935 38	-1,670 63		1
VERSO PAPER HLDGS NTS									
11 375% 080116 DTD020107									
FC080107 CALL@MW+50BP	FIFO	13,000 000	Jul 27, 10	Sep 29, 10	11,304 75	12,287 25	-982 50		1
Total					\$82,809.00	\$103,215.90	-\$20,406.90		-\$20,406.90
Net capital gains/losses									-\$20,406.90

¹ Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Segal Family Foundation

Td Ameritrade

Realized Gains - 1/1/2010-12/31/2010

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
AUTOMATIC DATA PROCESSING (ADP)	Sell	1,500	7/13/2010	41.18	61,777.00	7/15/2010	41.82	62,736.93	959.93	1.55	Short-term
AUTOMATIC DATA PROCESSING (ADP)	Sell	1,500	7/15/2010	41.29	61,939.00	11/15/2010	45.62	68,423.59	6,484.59	10.47	Short-term
AUTOMATIC DATA PROCESSING (ADP)	Sell	500	9/21/2010	42.06	21,032.00	11/15/2010	45.62	22,807.86	1,775.86	8.44	Short-term
MARKET VECTORS - AFRICA IND (AFK)	Sell	450	12/13/2010	34.59	15,563.46	12/14/2010	34.61	15,573.73	10.27	0.07	Short-term
APOLLO INV CORP (AINV)	Sell	5,000	7/28/2010	10.33	51,649.68	8/3/2010	10.59	52,942.10	1,292.42	2.50	Short-term
APOLLO INV CORP (AINV)	Sell	5,000	7/29/2010	10.38	51,900.00	8/4/2010	10.53	52,642.11	742.11	1.43	Short-term
APOLLO INV CORP (AINV)	Sell	300	8/5/2010	10.09	3,027.21	9/3/2010	10.18	3,052.94	25.73	0.85	Short-term
APOLLO INV CORP (AINV)	Sell	2,700	8/5/2010	10.09	27,244.89	9/3/2010	10.20	27,539.80	294.91	1.08	Short-term
APOLLO INV CORP (AINV)	Sell	700	8/5/2010	10.09	7,063.49	9/9/2010	10.30	7,210.29	146.80	2.08	Short-term
APOLLO INV CORP (AINV)	Sell	100	8/5/2010	10.09	1,009.07	9/9/2010	10.31	1,031.07	22.00	2.18	Short-term
APOLLO INV CORP (AINV)	Sell	1,100	8/5/2010	10.09	11,099.77	9/9/2010	10.31	11,341.02	241.25	2.17	Short-term
APOLLO INV CORP (AINV)	Sell	100	8/5/2010	10.09	1,009.07	9/9/2010	10.31	1,030.99	21.92	2.17	Short-term
APOLLO INV CORP (AINV)	Sell	600	8/5/2010	10.09	6,054.42	10/6/2010	10.40	6,238.89	184.47	3.05	Short-term
APOLLO INV CORP (AINV)	Sell	2,400	8/5/2010	10.09	24,217.68	10/6/2010	10.41	24,983.81	766.13	3.16	Short-term
APOLLO INV CORP (AINV)	Sell	2,000	8/5/2010	10.09	20,181.40	10/6/2010	10.38	20,752.64	571.24	2.83	Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Sell	1,000	12/8/2009	21.36	21,363.65	1/4/2010	19.86	19,859.49	(1,504.16)	(7.04)	Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Wash Sale Adj	1,000	12/28/2009		(1,504.16)	1/4/2010			1,504.16		Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Sell	1,000	12/8/2009	18.75	18,754.89	1/19/2010	19.07	19,069.86	314.97	1.68	Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Sell	1,000	12/28/2009	21.00	21,004.05	3/2/2010	17.36	17,357.28	(3,646.77)	(17.36)	Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Sell	1,000	1/11/2010	18.78	18,779.99	3/2/2010	17.36	17,357.28	(1,422.71)	(7.58)	Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Sell	2,000	2/10/2010	17.24	34,489.79	3/2/2010	17.36	34,714.56	224.77	0.65	Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Sell	3,000	9/20/2010	16.03	48,097.00	9/24/2010	16.28	48,832.47	735.47	1.53	Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Sell	2,900	9/30/2010	16.13	46,783.77	10/1/2010	16.33	47,349.19	565.42	1.21	Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Sell	100	9/30/2010	16.13	1,613.23	10/6/2010	16.35	1,634.81	21.58	1.34	Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Sell	500	10/4/2010	16.04	8,021.17	10/6/2010	16.35	8,174.02	152.85	1.91	Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Sell	2,400	10/4/2010	16.04	38,501.60	10/6/2010	16.36	39,263.57	761.97	1.98	Short-term
TD AMERITRADE HOLDING CORP (AMTD)	Sell	100	10/4/2010	16.04	1,604.23	10/11/2010	16.34	1,633.97	29.74	1.85	Short-term
ACME PACKET INC (APKT)	Sell	900	12/10/2010	53.68	48,309.30	12/10/2010	55.45	49,906.15	1,596.85	3.31	Short-term
ACME PACKET INC (APKT)	Sell	100	12/10/2010	53.68	5,367.70	12/10/2010	56.64	5,663.91	296.21	5.52	Short-term
ACME PACKET INC (APKT)	Sell	750	12/15/2010	52.30	39,225.71	12/16/2010	55.58	41,686.87	2,461.16	6.27	Short-term
ACME PACKET INC (APKT)	Sell	750	12/15/2010	53.21	39,904.95	12/23/2010	55.14	41,351.80	1,446.85	3.63	Short-term
ACME PACKET INC (APKT)	Sell	250	12/20/2010	56.33	14,082.48	12/23/2010	55.14	13,783.93	(298.55)	(2.12)	Short-term
ACME PACKET INC (APKT)	Sell	500	12/22/2010	54.94	27,469.95	12/23/2010	55.14	27,567.87	97.92	0.36	Short-term
ACME PACKET INC (APKT)	Sell	900	12/23/2010	55.00	49,497.05	12/23/2010	54.55	49,098.26	(398.79)	(0.81)	Short-term
ACME PACKET INC (APKT)	Wash Sale Adj	250	12/23/2010		(298.55)	12/23/2010			298.55		Short-term
BANK OF AMERICA CORP (BAC)	Sell	5,000	7/21/2010	13.64	68,206.50	8/2/2010	14.46	72,298.77	4,092.27	6.00	Short-term
BANK OF AMERICA CORP (BAC)	Sell	5,000	8/6/2010	13.93	69,660.50	10/25/2010	11.55	57,742.02	(11,918.48)	(17.11)	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
BANK OF AMERICA CORP (BAC)	Sell	4,050	8/6/2010	13.95	56,486.03	10/25/2010	11.17	45,230.73	(11,255.30)	(19.93)	Short-term
BANK OF AMERICA CORP (BAC)	Sell	950	8/6/2010	13.95	13,254.07	10/25/2010	11.17	10,611.42	(2,642.65)	(19.94)	Short-term
BANK OF AMERICA CORP (BAC)	Wash Sale Adj	2,000	10/8/2010		(4,766.69)	10/25/2010			4,766.69		Short-term
BANK OF AMERICA CORP (BAC)	Wash Sale Adj	3,000	10/20/2010		(7,151.79)	10/25/2010			7,151.79		Short-term
BANK OF AMERICA CORP (BAC)	Wash Sale Adj	4,050	10/22/2010		(11,255.30)	10/25/2010			11,255.30		Short-term
BANK OF AMERICA CORP (BAC)	Wash Sale Adj	950	10/22/2010		(2,642.65)	10/25/2010			2,642.65		Short-term
BANK OF AMERICA CORP (BAC)	Sell	2,000	8/6/2010	13.82	27,646.80	10/27/2010	11.58	23,156.81	(4,489.99)	(16.24)	Short-term
BANK OF AMERICA CORP (BAC)	Sell	3,000	8/9/2010	13.85	41,556.90	10/27/2010	11.58	34,735.21	(6,821.69)	(16.42)	Short-term
BANK OF AMERICA CORP (BAC)	Wash Sale Adj	5,000	10/25/2010		(11,311.68)	10/27/2010			11,311.68		Short-term
BANK OF AMERICA CORP (BAC)	Sell	2,000	8/9/2010	13.86	27,726.80	11/9/2010	12.55	25,096.97	(2,629.83)	(9.48)	Short-term
BANK OF AMERICA CORP (BAC)	Sell	3,000	8/10/2010	13.78	41,345.25	11/9/2010	12.55	37,645.46	(3,699.79)	(8.95)	Short-term
BANK OF AMERICA CORP (BAC)	Wash Sale Adj	5,000	10/25/2010		(6,329.62)	11/9/2010			6,329.62		Short-term
BANK OF AMERICA CORP (BAC)	Sell	5,000	8/10/2010	13.73	68,628.35	12/2/2010	11.62	58,092.01	(10,536.34)	(15.35)	Short-term
BANK OF AMERICA CORP (BAC)	Sell	500	10/8/2010	15.56	7,778.37	12/2/2010	11.59	5,792.95	(1,985.42)	(25.52)	Short-term
BANK OF AMERICA CORP (BAC)	Sell	1,500	10/8/2010	15.56	23,335.12	12/2/2010	11.63	17,442.52	(5,892.60)	(25.25)	Short-term
BANK OF AMERICA CORP (BAC)	Sell	3,000	10/20/2010	13.96	41,868.49	12/2/2010	11.63	34,885.04	(6,983.45)	(16.68)	Short-term
BANK OF AMERICA CORP (BAC)	Wash Sale Adj	5,000	11/5/2010		(10,536.34)	12/2/2010			10,536.34		Short-term
BANK OF AMERICA CORP (BAC)	Wash Sale Adj	500	11/5/2010		(1,985.42)	12/2/2010			1,985.42		Short-term
BANK OF AMERICA CORP (BAC)	Wash Sale Adj	4,500	11/5/2010		(12,876.05)	12/2/2010			12,876.05		Short-term
BANK OF AMERICA CORP (BAC)	Sell	5,000	10/22/2010	14.28	71,404.45	12/9/2010	12.36	61,792.45	(9,612.00)	(13.46)	Short-term
BANK OF AMERICA CORP (BAC)	Wash Sale Adj	5,000	11/9/2010		(9,612.00)	12/9/2010			9,612.00		Short-term
BANK OF AMERICA CORP (BAC)	Sell	5,000	10/25/2010	13.73	68,656.18	12/10/2010	12.75	63,742.42	(4,913.76)	(7.16)	Short-term
BANK OF AMERICA CORP (BAC)	Wash Sale Adj	5,000	12/23/2010		(4,913.76)	12/10/2010			4,913.76		Short-term
BANK OF AMERICA CORP (BAC)	Sell	5,000	10/25/2010	12.70	63,486.62	12/16/2010	12.52	62,593.94	(892.68)	(1.41)	Short-term
BANK OF AMERICA CORP (BAC)	Sell	5,000	10/29/2010	11.42	57,107.00	12/16/2010	12.52	62,598.94	5,491.94	9.62	Short-term
BANK OF AMERICA CORP (BAC)	Sell	5,000	11/1/2010	11.48	57,407.00	12/22/2010	13.24	66,194.38	8,787.38	15.31	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	7/21/2010	2.72	13,606.50	8/3/2010	3.03	15,142.74	1,536.24	11.29	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	7/22/2010	2.69	13,431.50	9/3/2010	2.90	14,496.25	1,064.75	7.93	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	7/26/2010	2.65	13,257.00	9/3/2010	2.90	14,496.25	1,239.25	9.35	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	7/26/2010	2.72	13,601.50	9/9/2010	2.95	14,746.25	1,144.75	8.42	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	7/29/2010	2.78	13,907.00	9/9/2010	2.95	14,746.25	839.25	6.03	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	10,000	8/5/2010	2.97	29,707.00	9/10/2010	2.95	29,492.50	(214.50)	(0.72)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	10,000	8/12/2010		(214.50)	9/10/2010			214.50		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	10,000	8/12/2010	2.63	26,321.50	9/15/2010	2.94	29,392.50	3,071.00	11.67	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	9/9/2010	2.89	14,453.50	10/25/2010	2.00	9,992.83	(4,460.67)	(30.86)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	5,000	9/27/2010		(4,460.67)	10/25/2010			4,460.67		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	9/9/2010	2.89	14,453.50	10/27/2010	2.08	10,392.82	(4,060.68)	(28.09)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	5,000	10/5/2010		(4,060.68)	10/27/2010			4,060.68		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	10,000	9/15/2010	2.89	28,907.00	11/1/2010	2.05	20,496.15	(8,410.85)	(29.10)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	10,000	9/16/2010	2.89	28,907.00	11/1/2010	2.05	20,496.15	(8,410.85)	(29.10)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	9/20/2010	2.88	14,403.89	11/1/2010	2.07	10,342.82	(4,061.07)	(28.19)	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	10,000	9/20/2010	2.80	27,970.11	11/1/2010	2.09	20,896.84	(7,073.27)	(25.29)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	10,000	9/21/2010	2.76	27,607.00	11/1/2010	2.09	20,896.85	(6,710.15)	(24.31)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	9/23/2010	2.40	12,007.00	11/1/2010	2.09	10,448.42	(1,558.58)	(12.98)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	2,850	9/23/2010	2.38	6,786.42	11/1/2010	2.10	5,977.89	(808.53)	(11.91)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	5,000	10/5/2010		(4,205.43)	11/1/2010			4,205.43		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	10,000	10/14/2010		(8,410.85)	11/1/2010			8,410.85		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	5,000	10/18/2010		(4,205.42)	11/1/2010			4,205.42		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	5,000	10/18/2010		(4,061.07)	11/1/2010			4,061.07		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	25,000	10/18/2010		(15,342.00)	11/1/2010			15,342.00		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	2,850	10/19/2010		(808.53)	11/1/2010			808.53		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	2,150	9/23/2010	2.38	5,119.58	11/2/2010	2.10	4,514.24	(605.34)	(11.82)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	10,000	9/24/2010	2.36	23,599.72	11/2/2010	2.10	20,996.49	(2,603.23)	(11.03)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	9/27/2010	3.29	16,467.67	11/2/2010	2.10	10,498.24	(5,969.43)	(36.25)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	10/5/2010	3.26	16,297.65	11/2/2010	2.10	10,498.24	(5,799.41)	(35.58)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	17,150	10/19/2010		(9,178.00)	11/2/2010			9,178.00		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	5,000	10/29/2010		(5,799.41)	11/2/2010			5,799.41		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	10/5/2010	3.31	16,538.48	11/3/2010	2.08	10,398.42	(6,140.06)	(37.13)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	10,000	10/14/2010	3.23	32,317.85	11/3/2010	2.08	20,796.85	(11,521.00)	(35.65)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	10,000	10/18/2010	2.96	29,573.49	11/3/2010	2.08	20,796.85	(8,776.64)	(29.68)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	25,000	11/1/2010		(26,437.70)	11/3/2010			26,437.70		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	15,000	10/18/2010	2.86	42,905.35	11/5/2010	2.41	36,142.38	(6,762.97)	(15.76)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	10,000	11/12/2010		(4,585.35)	11/5/2010			4,585.35		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	5,000	11/15/2010		(2,177.62)	11/5/2010			2,177.62		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	1,200	10/18/2010	2.85	3,421.22	11/8/2010	2.69	3,232.94	(188.28)	(5.50)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	8,800	10/18/2010	2.65	23,292.43	11/8/2010	2.69	23,671.59	379.16	1.63	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	1,200	11/15/2010		(188.28)	11/8/2010			188.28		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	3,800	11/15/2010		(612.03)	11/8/2010			612.03		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	10/19/2010	3.35	16,771.18	11/9/2010	2.58	12,892.78	(3,878.40)	(23.13)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	5,000	11/17/2010		(3,878.40)	11/9/2010			3,878.40		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	10/19/2010	2.44	12,215.62	11/12/2010	2.50	12,492.78	277.16	2.27	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	4,416	10/19/2010	2.42	10,698.98	11/12/2010	2.50	11,032.81	333.83	3.12	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	300	10/19/2010	2.43	729.20	11/12/2010	2.49	746.01	16.81	2.31	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	4,700	10/19/2010	2.42	11,370.71	11/12/2010	2.51	11,796.80	426.09	3.75	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	584	10/19/2010	2.41	1,407.84	11/12/2010	2.53	1,476.52	68.68	4.88	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	16	10/29/2010	3.18	50.90	11/12/2010	2.53	40.45	(10.45)	(20.53)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	284	10/29/2010	3.18	903.48	11/12/2010	2.51	711.50	(191.98)	(21.25)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	16	11/17/2010		(10.45)	11/12/2010			10.45		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	284	11/17/2010		(191.98)	11/12/2010			191.98		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	4,700	10/29/2010	3.18	14,952.03	11/15/2010	2.49	11,699.51	(3,252.52)	(21.75)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,300	11/2/2010	3.24	17,193.17	11/15/2010	2.49	13,193.06	(4,000.11)	(23.27)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	5,000	11/17/2010		(3,474.46)	11/15/2010			3,474.46		Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	3,300	11/19/2010		(2,491.84)	11/15/2010			2,491.84		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	3,300	11/2/2010	3.17	10,468.85	11/18/2010	2.27	7,483.87	(2,984.98)	(28.51)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	11/2/2010	3.17	15,861.91	12/2/2010	2.40	11,992.79	(3,869.12)	(24.39)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	11/2/2010	2.99	14,925.89	12/2/2010	2.41	12,042.79	(2,883.10)	(19.32)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	5,000	12/23/2010		(3,869.12)	12/2/2010			3,869.12		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	5,000	11/2/2010	2.89	14,457.97	12/9/2010	2.56	12,792.78	(1,665.19)	(11.52)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	1,400	11/2/2010	2.88	4,036.91	12/16/2010	2.56	3,582.54	(454.37)	(11.26)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	3,600	11/12/2010	2.99	10,774.80	12/16/2010	2.56	9,212.24	(1,562.56)	(14.50)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	5,000	1/10/2011		(2,016.93)	12/16/2010			2,016.93		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	2,200	11/12/2010	2.88	6,333.31	12/22/2010	2.70	5,935.11	(398.20)	(6.29)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Sell	2,800	11/12/2010	2.85	7,989.49	12/22/2010	2.70	7,559.87	(429.62)	(5.38)	Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	2,200	1/20/2011		(398.20)	12/22/2010			398.20		Short-term
BANK OF AMERICA CORP WTS (BAC+B)	Wash Sale Adj	2,800	1/20/2011		(429.62)	12/22/2010			429.62		Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	100	7/2/2010	17.24	1,724.00	7/8/2010	17.40	1,739.98	15.98	0.93	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	900	7/2/2010	17.25	15,527.99	7/8/2010	17.46	15,713.82	185.83	1.20	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	2,000	7/2/2010	17.30	34,609.89	7/13/2010	18.04	36,072.39	1,462.50	4.23	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	100	8/4/2010	16.37	1,637.23	8/18/2010	14.22	1,421.98	(215.25)	(13.15)	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	800	8/4/2010	16.37	13,097.87	8/18/2010	14.28	11,423.88	(1,673.99)	(12.78)	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	1,100	8/4/2010	16.37	18,009.57	8/18/2010	14.27	15,696.73	(2,312.84)	(12.84)	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Wash Sale Adj	100	8/5/2010		(215.25)	8/18/2010			215.25		Short-term
BEACON ROOFING SUPPLY INC (BECN)	Wash Sale Adj	800	8/5/2010		(1,673.99)	8/18/2010			1,673.99		Short-term
BEACON ROOFING SUPPLY INC (BECN)	Wash Sale Adj	1,100	8/5/2010		(2,312.84)	8/18/2010			2,312.84		Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	600	8/4/2010	16.37	9,823.40	9/2/2010	14.95	8,968.84	(854.56)	(8.70)	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	400	8/4/2010	16.37	6,548.93	9/2/2010	14.95	5,979.90	(569.03)	(8.69)	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	1,000	8/5/2010	17.15	17,153.00	9/2/2010	14.95	14,949.74	(2,203.26)	(12.84)	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Wash Sale Adj	600	8/5/2010		(854.56)	9/2/2010			854.56		Short-term
BEACON ROOFING SUPPLY INC (BECN)	Wash Sale Adj	1,400	8/5/2010		(2,772.29)	9/2/2010			2,772.29		Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	2,000	8/5/2010	17.03	34,061.44	10/15/2010	14.92	29,834.49	(4,226.95)	(12.41)	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Wash Sale Adj	2,000	9/17/2010		(4,226.95)	10/15/2010			4,226.95		Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	500	8/5/2010	17.69	8,845.01	10/28/2010	14.96	7,477.92	(1,367.09)	(15.46)	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	500	8/5/2010	17.69	8,845.49	10/28/2010	14.94	7,469.87	(1,375.62)	(15.55)	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Wash Sale Adj	500	10/28/2010		(1,367.09)	10/28/2010			1,367.09		Short-term
BEACON ROOFING SUPPLY INC (BECN)	Wash Sale Adj	500	10/28/2010		(1,375.62)	10/28/2010			1,375.62		Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	200	8/5/2010	15.50	3,100.00	11/9/2010	15.79	3,158.94	58.94	1.90	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	2,800	8/5/2010	15.50	43,406.89	11/9/2010	15.82	44,295.53	888.64	2.05	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	1,400	8/5/2010	15.52	21,724.60	11/18/2010	15.71	21,987.61	263.01	1.21	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	600	8/5/2010	15.56	9,337.05	11/18/2010	15.70	9,419.84	82.79	0.89	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	3,000	8/5/2010	15.56	46,685.25	11/18/2010	15.72	47,152.20	466.95	1.00	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	5,000	8/5/2010	15.40	77,000.84	11/29/2010	15.97	79,841.65	2,840.81	3.69	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	200	8/5/2010	15.07	3,014.47	11/30/2010	15.99	3,398.94	384.47	12.75	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	300	8/5/2010	15.07	4,521.70	11/30/2010	17.04	5,111.91	590.21	13.05	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
BEACON ROOFING SUPPLY INC (BECN)	Sell	1,500	8/5/2010	15 07	22,608 50	11/30/2010	17 02	25,529 56	2,921 06	12 92	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	3,000	8/5/2010	15 08	45,232 73	11/30/2010	17 04	51,112 13	5,879 40	13 00	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	400	8/5/2010	15 02	6,008 73	11/30/2010	17 01	6,804 88	796 15	13 25	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	1,200	8/5/2010	15 03	18,030 27	12/9/2010	18 33	22,000 62	3,970 35	22 02	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	2,419	8/5/2010	15 02	36,344 74	12/9/2010	18 32	44,321 52	7,976 78	21 95	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	981	8/5/2010	15 03	14,741 98	12/9/2010	18 30	17,951 99	3,210 01	21 77	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	400	8/12/2010	14 02	5,609 60	12/9/2010	18 30	7,319 88	1,710 28	30 49	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	1,600	8/12/2010	14 06	22,492 40	12/10/2010	18 34	29,341 26	6,848 86	30 45	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	2,000	8/19/2010	14 17	28,347 00	12/10/2010	18 34	36,676 58	8,329 58	29 38	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	1,400	9/3/2010	14 80	20,721 70	12/10/2010	18 34	25,673 61	4,951 91	23 90	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	600	9/3/2010	14 80	8,879 29	12/15/2010	18 36	11,015 21	2,135 92	24 06	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	3,000	9/17/2010	15 55	46,653 95	12/15/2010	18 36	55,076 07	8,422 12	18 05	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	1,000	10/28/2010	17 75	17,749 61	12/15/2010	18 36	18,358 68	609 07	3 43	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	400	12/1/2010	17 67	7,066 96	12/15/2010	18 36	7,343 48	276 52	3 91	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	200	12/9/2010	18 21	3,642 28	12/16/2010	18 33	3,666 93	24 65	0 68	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Sell	800	12/9/2010	18 21	14,569 12	12/17/2010	17 98	14,386 83	(182 29)	(1 25)	Short-term
BEACON ROOFING SUPPLY INC (BECN)	Wash Sale Adj	800	12/13/2010		(182 29)	12/17/2010		182 29			Short-term
BIGLARI HOLDINGS INC (BH)	Sell	100	11/1/2010	333 50	33,350 00	11/3/2010	349 06	34,906 40	1,556 40	4 67	Short-term
BUILDERS FIRSTSOURCE INC (BLDR)	Sell	1,800	3/26/2010	3 06	5,516 99	5/6/2010	3 66	6,595 89	1,078 90	19 56	Short-term
BUILDERS FIRSTSOURCE INC (BLDR)	Sell	700	3/26/2010	3 07	2,149 67	5/6/2010	3 67	2,569 02	419 35	19 51	Short-term
BUILDERS FIRSTSOURCE INC (BLDR)	Sell	2,500	3/26/2010	3 07	7,683 32	5/6/2010	3 65	9,121 10	1,437 78	18 71	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	1,000	8/17/2010	6 46	6,455 83	8/19/2010	6 97	6,972 88	517 05	8 01	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	600	8/17/2010	6 49	3,891 17	8/20/2010	6 97	4,180 92	289 75	7 45	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	277	8/17/2010	6 50	1,800 50	9/29/2010	7 37	2,042 76	242 26	13 46	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	123	8/17/2010	6 50	799 50	9/29/2010	7 30	897 88	98 38	12 31	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	150	8/26/2010	6 67	1,000 62	9/29/2010	7 30	1,094 98	94 36	9 43	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	500	8/26/2010	6 67	3,335 38	10/5/2010	7 89	3,943 18	607 80	18 22	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	1,000	9/2/2010	7 28	7,277 00	10/5/2010	7 89	7,886 37	609 37	8 37	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	500	9/3/2010	7 26	3,628 50	10/5/2010	7 89	3,943 18	314 68	8 67	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	1,000	9/3/2010	7 26	7,255 91	10/8/2010	7 88	7,882 86	626 95	8 64	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	25	9/3/2010	7 25	181 37	10/26/2010	7 81	195 24	13 87	7 65	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	925	9/3/2010	7 25	6,710 72	11/15/2010	8 03	7,430 23	719 51	10 72	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	50	9/7/2010	7 22	360 88	11/15/2010	8 03	401 63	40 75	11 29	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	350	9/7/2010	7 22	2,526 12	11/16/2010	8 13	2,846 56	320 44	12 69	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	675	9/9/2010	7 20	4,863 05	11/16/2010	8 13	5,489 79	626 74	12 89	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	350	9/9/2010	7 20	2,521 58	11/16/2010	7 84	2,743 95	222 37	8 82	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	525	9/9/2010	7 20	3,782 37	11/18/2010	8 14	4,275 00	492 63	13 02	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	475	9/17/2010	7 06	3,355 16	11/18/2010	8 14	3,867 86	512 70	15 28	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	500	9/17/2010	7 06	3,531 75	11/18/2010	8 14	4,069 93	538 18	15 24	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	550	9/17/2010	7 06	3,884 93	11/23/2010	8 23	4,524 92	639 99	16 47	Short-term
BRAZIL FAST FOOD CORP (BOBS)	Sell	100	9/17/2010	7 06	706 35	12/3/2010	8 17	816 98	110 63	15 66	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
BERKSHIRE HATHAWAY INC CL A (BRK A)	Sell	1	10/27/2010	120,550.00	120,550.00	11/1/2010	121,680.94	121,680.94	1,130.94	0.94	Short-term
BERKSHIRE HATHAWAY INC CL A (BRK A)	Sell	1	11/1/2010	120,698.00	120,698.00	11/3/2010	121,334.94	121,334.94	636.94	0.53	Short-term
BERKSHIRE HATHAWAY INC CL A (BRK A)	Sell	1	11/3/2010	120,903.00	120,903.00	11/5/2010	124,900.88	124,900.88	3,997.88	3.31	Short-term
BERKSHIRE HATHAWAY INC CL A (BRK A)	Sell	1	11/24/2010	119,431.00	119,431.00	11/30/2010	120,328.96	120,328.96	897.96	0.75	Short-term
BERKSHIRE HATHAWAY INC CL A (BRK A)	Sell	1	11/24/2010	119,450.00	119,450.00	12/1/2010	121,043.95	121,043.95	1,593.95	1.33	Short-term
BERKSHIRE HATHAWAY INC CL A (BRK A)	Sell	1	11/30/2010	119,645.00	119,645.00	12/2/2010	121,650.94	121,650.94	2,005.94	1.68	Short-term
BERKSHIRE HATHAWAY INC CL A (BRK A)	Sell	1	12/1/2010	120,545.00	120,545.00	12/13/2010	121,230.95	121,230.95	685.95	0.57	Short-term
BANCO SANTANDER BRASIL SA (BSBR)	Sell	1,000	8/4/2010	13.22	13,216.90	8/6/2010	13.11	13,112.87	(104.03)	(0.79)	Short-term
BLACKSTONE GROUP LP/THE (BX)	Sell	2,000	7/13/2010	10.58	21,164.00	8/24/2010	10.41	20,812.64	(351.36)	(1.66)	Short-term
BLACKSTONE GROUP LP/THE (BX)	Wash Sale Adj	2,000	8/18/2010		(351.36)	8/24/2010		351.36			Short-term
BLACKSTONE GROUP LP/THE (BX)	Sell	2,000	7/13/2010	10.58	21,159.90	9/16/2010	10.77	21,532.63	372.73	1.76	Short-term
BLACKSTONE GROUP LP/THE (BX)	Sell	1,000	8/18/2010	10.77	10,769.08	9/30/2010	12.43	12,432.78	1,663.70	15.45	Short-term
BLACKSTONE GROUP LP/THE (BX)	Sell	1,000	8/18/2010	10.77	10,765.08	10/5/2010	12.49	12,492.78	1,727.70	16.05	Short-term
BLACKSTONE GROUP LP/THE (BX)	Sell	2,700	8/18/2010	10.59	28,596.78	10/22/2010	13.60	36,712.37	8,115.59	28.38	Short-term
BLACKSTONE GROUP LP/THE (BX)	Sell	2,300	8/18/2010	10.59	24,360.02	10/22/2010	13.59	31,256.47	6,896.45	28.31	Short-term
BLACKSTONE GROUP LP/THE (BX)	Sell	3,000	8/18/2010	10.59	31,773.90	10/22/2010	13.62	40,856.68	9,082.78	28.59	Short-term
BLACKSTONE GROUP LP/THE (BX)	Sell	5,000	9/16/2010	10.72	53,611.47	10/22/2010	13.62	68,094.47	14,483.00	27.01	Short-term
BLACKSTONE GROUP LP/THE (BX)	Sell	1,700	9/16/2010	10.70	18,192.53	11/19/2010	13.19	22,420.24	4,227.71	23.24	Short-term
BLACKSTONE GROUP LP/THE (BX)	Sell	3,300	9/21/2010	11.03	36,406.00	11/19/2010	13.19	43,521.64	7,115.64	19.55	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	5,000	10/23/2009	3.09	15,456.87	1/19/2010	3.18	15,889.80	432.93	2.80	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	966	10/23/2009	3.05	2,949.52	1/19/2010	3.24	3,126.58	177.06	6.00	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	2,000	10/28/2009	2.97	5,931.15	1/19/2010	3.24	6,473.25	542.10	9.14	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	34	10/29/2009	3.14	106.76	1/19/2010	3.24	110.05	3.29	3.08	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	1,966	10/29/2009	3.07	6,029.87	1/26/2010	2.78	5,460.50	(569.37)	(9.44)	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	2,034	11/12/2009	4.22	8,579.16	1/26/2010	2.78	5,649.36	(2,929.80)	(34.15)	Short-term
BLUELIX HOLDINGS INC (BXC)	Wash Sale Adj	1,000	12/31/2009		(325.43)	1/26/2010		325.43			Short-term
BLUELIX HOLDINGS INC (BXC)	Wash Sale Adj	3,000	1/19/2010		(3,173.74)	1/26/2010		3,173.74			Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	900	11/12/2009	4.00	3,596.98	2/10/2010	2.93	2,636.06	(960.92)	(26.71)	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	100	11/12/2009	3.94	393.56	2/10/2010	2.99	298.63	(94.93)	(24.12)	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	999	11/13/2009	3.73	3,721.76	2/10/2010	2.99	2,983.33	(738.43)	(19.84)	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	1	11/13/2009	3.52	3.52	2/10/2010	2.99	2.99	(0.53)	(15.06)	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	1,000	12/1/2009	3.07	3,068.61	2/10/2010	2.99	2,989.96	(78.65)	(2.56)	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	676	12/9/2009	3.25	2,198.67	2/10/2010	2.99	2,021.21	(177.46)	(8.07)	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	624	12/9/2009	3.29	2,053.67	2/10/2010	2.93	1,830.84	(222.83)	(10.85)	Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	700	12/9/2009	3.36	2,351.01	2/10/2010	2.95	2,064.97	(286.04)	(12.17)	Short-term
BLUELIX HOLDINGS INC (BXC)	Wash Sale Adj	900	1/19/2010		(960.92)	2/10/2010		960.92			Short-term
BLUELIX HOLDINGS INC (BXC)	Wash Sale Adj	1,099	1/19/2010		(833.36)	2/10/2010		833.36			Short-term
BLUELIX HOLDINGS INC (BXC)	Wash Sale Adj	1,677	1/19/2010		(256.64)	2/10/2010		256.64			Short-term
BLUELIX HOLDINGS INC (BXC)	Wash Sale Adj	624	1/19/2010		(222.83)	2/10/2010		222.83			Short-term
BLUELIX HOLDINGS INC (BXC)	Wash Sale Adj	700	1/19/2010		(286.04)	2/10/2010		286.04			Short-term
BLUELIX HOLDINGS INC (BXC)	Sell	1,100	12/9/2009	3.37	3,707.79	2/17/2010	2.94	3,235.07	(472.72)	(12.75)	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
BLUELINX HOLDINGS INC (BXC)	Sell	1,900	12/9/2009	2.95	5,603.04	2/17/2010	2.99	5,677.13	74.09	1.32	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	3,000	12/15/2009	3.01	9,039.99	2/17/2010	2.99	8,963.89	(76.10)	(0.84)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	100	12/31/2009	3.52	352.24	2/17/2010	2.99	298.80	(53.44)	(15.17)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	700	12/31/2009	3.47	2,429.95	2/17/2010	2.99	2,095.98	(333.97)	(13.74)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	200	12/31/2009	3.42	683.59	2/17/2010	3.00	600.01	(83.58)	(12.23)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,000	1/19/2010	4.40	4,400.22	2/17/2010	3.00	3,000.06	(1,400.16)	(31.82)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	2,000	1/19/2010	3.94	7,878.95	2/17/2010	3.01	6,029.93	(1,849.02)	(23.47)	Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	1,100	1/25/2010		(472.72)	2/17/2010			472.72		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	3,100	1/25/2010		(129.54)	2/17/2010			129.54		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	700	1/25/2010		(333.97)	2/17/2010			333.97		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	100	1/25/2010		(44.42)	2/17/2010			44.42		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	1,100	2/12/2010		(1,439.32)	2/17/2010			1,439.32		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	2,000	2/12/2010		(1,849.02)	2/17/2010			1,849.02		Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	700	1/19/2010	4.10	2,867.12	2/25/2010	3.19	2,229.98	(637.14)	(22.22)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	500	1/19/2010	3.96	1,977.59	2/25/2010	3.15	1,574.97	(402.62)	(20.36)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,400	1/19/2010	3.52	4,921.70	2/25/2010	3.15	4,413.95	(507.75)	(10.32)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	195	1/19/2010	3.12	608.08	2/25/2010	3.19	621.80	13.72	2.26	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	600	1/19/2010	3.26	1,954.23	2/25/2010	3.17	1,903.98	(50.25)	(2.57)	Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	700	2/12/2010		(637.14)	2/25/2010			637.14		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	500	2/12/2010		(402.62)	2/25/2010			402.62		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	700	2/12/2010		(447.16)	2/25/2010			447.16		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	300	2/23/2010		(74.38)	2/25/2010			74.38		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	395	2/23/2010		(58.51)	2/25/2010			58.51		Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,605	1/19/2010	3.41	5,479.60	2/26/2010	3.24	5,194.79	(284.81)	(5.20)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,400	1/25/2010	3.09	4,320.48	2/26/2010	3.24	4,531.29	210.81	4.88	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,800	1/25/2010	2.82	5,068.91	2/26/2010	3.26	5,876.11	807.20	15.92	Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	1,605	2/23/2010		(284.81)	2/26/2010			284.81		Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,800	1/25/2010	2.67	4,801.25	3/4/2010	3.63	6,542.92	1,741.67	36.28	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	200	2/12/2010	4.45	889.19	3/4/2010	3.63	726.99	(162.20)	(18.24)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	900	2/12/2010	4.35	3,916.11	3/4/2010	3.54	3,185.05	(731.06)	(18.67)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	100	2/12/2010	4.36	435.73	3/4/2010	3.52	351.99	(83.74)	(19.22)	Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	200	2/23/2010		(162.20)	3/4/2010			162.20		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	700	2/23/2010		(567.28)	3/4/2010			567.28		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	200	3/25/2010		(163.78)	3/4/2010			163.78		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	100	3/25/2010		(83.74)	3/4/2010			83.74		Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	390	2/12/2010	4.36	1,698.98	3/17/2010	3.88	1,514.89	(184.09)	(10.84)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	610	2/12/2010	4.20	2,561.32	3/17/2010	3.90	2,379.98	(181.34)	(7.08)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,000	2/12/2010	3.76	3,756.49	3/17/2010	3.93	3,929.95	173.46	4.62	Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	390	3/25/2010		(184.09)	3/17/2010			184.09		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	444	3/25/2010		(194.65)	3/17/2010			194.65		Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,800	2/12/2010	3.32	5,977.43	3/30/2010	3.75	6,758.92	781.49	13.07	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
BLUELINX HOLDINGS INC (BXC)	Sell	200	2/23/2010	3.73	745.61	3/30/2010	3.75	750.99	5.38	0.72	Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	34	3/25/2010		(6.75)	3/30/2010			6.75		Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	3,000	2/23/2010	3.22	9,659.56	4/1/2010	3.89	11,663.81	2,004.25	20.75	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	2,000	3/25/2010	3.95	7,902.79	4/1/2010	3.89	7,775.87	(126.92)	(1.61)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,181	5/11/2010	3.70	4,371.67	5/12/2010	4.09	4,832.02	460.35	10.53	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	819	5/11/2010	3.70	3,031.66	5/12/2010	4.09	3,349.65	317.99	10.49	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	500	5/11/2010	3.70	1,850.83	5/13/2010	4.40	2,197.97	347.14	18.76	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	500	5/11/2010	3.70	1,850.84	5/13/2010	4.40	2,199.96	349.12	18.86	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,500	5/11/2010	3.70	5,552.49	7/22/2010	3.47	5,201.41	(351.08)	(6.32)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	500	5/11/2010	3.70	1,850.84	7/22/2010	3.47	1,735.02	(115.82)	(6.26)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,000	5/11/2010	3.70	3,701.66	7/22/2010	3.45	3,446.54	(255.12)	(6.89)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,000	5/13/2010	4.28	4,279.99	7/22/2010	3.45	3,446.54	(833.45)	(19.47)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,000	5/21/2010	3.51	3,507.92	7/22/2010	3.44	3,443.04	(64.88)	(1.85)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,000	5/21/2010	3.52	3,517.91	7/22/2010	3.48	3,476.44	(41.47)	(1.18)	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,000	6/21/2010	3.11	3,112.00	7/22/2010	3.48	3,476.44	364.44	11.71	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,000	6/21/2010	3.11	3,112.00	7/22/2010	3.57	3,573.93	461.93	14.84	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	2,000	6/21/2010	3.11	6,223.99	7/22/2010	3.57	7,139.87	915.88	14.72	Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	343	6/30/2010		(80.28)	7/22/2010			80.28		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	1,157	7/1/2010		(270.80)	7/22/2010			270.80		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	500	7/1/2010		(115.82)	7/22/2010			115.82		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	1,000	7/8/2010		(255.12)	7/22/2010			255.12		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	1,000	7/12/2010		(833.45)	7/22/2010			833.45		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	1,000	7/12/2010		(64.88)	7/22/2010			64.88		Short-term
BLUELINX HOLDINGS INC (BXC)	Wash Sale Adj	1,000	7/12/2010		(41.47)	7/22/2010			41.47		Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,000	6/21/2010	3.11	3,112.00	7/26/2010	3.90	3,899.93	787.93	25.32	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	343	6/30/2010	2.87	985.50	7/29/2010	3.64	1,248.50	263.00	26.69	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	657	7/1/2010	2.85	1,872.50	7/29/2010	3.64	2,391.43	518.93	27.71	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,000	7/1/2010	2.85	2,848.88	9/9/2010	3.38	3,378.42	529.54	18.59	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	1,000	7/8/2010	3.11	3,113.12	9/9/2010	3.38	3,378.42	265.30	8.52	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	2,600	7/12/2010	3.30	8,572.49	9/9/2010	3.38	8,783.89	211.40	2.47	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	400	7/12/2010	2.99	1,194.31	9/9/2010	3.40	1,359.15	164.84	13.80	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	3,000	7/15/2010	2.91	8,737.00	9/9/2010	3.40	10,193.65	1,456.65	16.67	Short-term
BLUELINX HOLDINGS INC (BXC)	Sell	5,000	12/16/2009	3.48	17,414.33	1/4/2010	3.39	16,939.57	(474.76)	(2.73)	Short-term
CITIGROUP INC (C)											
CITIGROUP INC (C)	Wash Sale Adj	1,000	12/16/2009		(141.98)	1/4/2010			141.98		Short-term
CITIGROUP INC (C)	Wash Sale Adj	4,000	12/18/2009		(332.78)	1/4/2010			332.78		Short-term
CITIGROUP INC (C)	Sell	5,000	12/16/2009	3.50	17,497.53	1/5/2010	3.46	17,289.56	(207.97)	(1.19)	Short-term
CITIGROUP INC (C)	Wash Sale Adj	5,000	12/18/2009		(207.97)	1/5/2010			207.97		Short-term
CITIGROUP INC (C)	Sell	5,000	12/18/2009	3.33	16,650.98	1/6/2010	3.55	17,739.55	1,088.57	6.54	Short-term
CITIGROUP INC (C)	Sell	5,000	12/18/2009	3.30	16,499.76	1/6/2010	3.64	18,189.54	1,689.78	10.24	Short-term
CITIGROUP INC (C)	Sell	10,000	12/21/2009	3.40	34,004.29	2/2/2010	3.34	33,389.58	(614.71)	(1.81)	Short-term
CITIGROUP INC (C)	Sell	10,000	12/28/2009	3.37	33,709.99	2/2/2010	3.35	33,489.58	(220.41)	(0.65)	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
CITIGROUP INC (C)	Wash Sale Adj	5,000	1/8/2010		(307.36)	2/2/2010			307.36		Short-term
CITIGROUP INC (C)	Sell	10,000	12/28/2009	3.37	33,705.00	2/17/2010	3.36	33,589.58	(115.42)	(0.34)	Short-term
CITIGROUP INC (C)	Sell	10,000	12/28/2009	3.37	33,704.99	2/19/2010	3.43	34,294.57	589.58	1.75	Short-term
CITIGROUP INC (C)	Sell	5,000	12/29/2009	3.38	16,909.99	2/19/2010	3.43	17,147.28	237.29	1.40	Short-term
CITIGROUP INC (C)	Sell	5,000	1/8/2010	3.60	18,017.35	2/19/2010	3.43	17,147.28	(870.07)	(4.83)	Short-term
CITIGROUP INC (C)	Sell	10,000	9/27/2010	3.89	38,907.00	9/29/2010	3.94	39,392.33	485.33	1.25	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	900	4/6/2010	1.56	1,405.80	4/27/2010	1.63	1,465.98	60.18	4.28	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	5,000	4/6/2010	1.56	7,800.57	8/23/2010	0.46	2,292.96	(5,507.61)	(70.61)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	8,767	4/6/2010	1.54	13,544.11	8/23/2010	0.46	4,026.61	(9,517.50)	(70.27)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	1,233	4/8/2010	1.52	1,876.47	8/23/2010	0.46	566.31	(1,310.16)	(69.82)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Wash Sale Adj	5,000	7/30/2010		(5,507.61)	8/23/2010			5,507.61		Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Wash Sale Adj	10,000	7/30/2010		(10,827.66)	8/23/2010			10,827.66		Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	4,100	4/8/2010	1.52	6,239.68	8/25/2010	0.46	1,883.10	(4,356.58)	(69.82)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	5,900	4/12/2010	1.63	9,624.37	8/25/2010	0.46	2,709.82	(6,914.55)	(71.84)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	856	4/12/2010	1.63	1,396.35	8/25/2010	0.45	386.75	(1,009.60)	(72.30)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Wash Sale Adj	5,000	7/30/2010		(5,411.34)	8/25/2010			5,411.34		Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	600	4/12/2010	1.63	978.75	8/27/2010	0.44	262.99	(715.76)	(73.13)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	644	4/12/2010	1.63	1,050.52	8/27/2010	0.45	289.86	(760.66)	(72.41)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	3,170	4/14/2010	1.61	5,106.87	8/27/2010	0.45	1,426.79	(3,680.08)	(72.06)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	6,830	4/14/2010	1.61	11,003.12	8/27/2010	0.47	3,205.26	(7,797.86)	(70.87)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	3,170	4/19/2010	1.50	4,762.04	8/27/2010	0.47	1,487.66	(3,274.38)	(68.76)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	600	4/19/2010	1.50	901.33	8/27/2010	0.43	255.55	(645.78)	(71.65)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	730	4/19/2010	1.50	1,096.62	8/27/2010	0.43	317.54	(779.08)	(71.04)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	2,500	4/21/2010	1.51	3,778.33	8/27/2010	0.43	1,087.48	(2,690.85)	(71.22)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	5,000	4/21/2010	1.51	7,556.66	8/27/2010	0.46	2,319.03	(5,237.63)	(69.31)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	900	5/4/2010	1.51	1,360.80	8/27/2010	0.46	417.42	(943.38)	(69.33)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	5,000	5/4/2010	1.51	7,558.17	8/30/2010	0.43	2,148.56	(5,409.61)	(71.57)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	5,000	5/7/2010	1.37	6,856.99	8/30/2010	0.43	2,148.56	(4,708.43)	(68.67)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	3,233	5/12/2010	1.38	4,471.53	8/30/2010	0.43	1,389.26	(3,082.27)	(68.93)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	1,767	5/13/2010	1.39	2,448.45	8/30/2010	0.43	759.31	(1,689.14)	(68.99)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	5,000	6/21/2010	0.84	4,209.99	8/30/2010	0.43	2,148.56	(2,061.43)	(48.97)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	5,000	7/2/2010	0.80	4,013.09	8/30/2010	0.43	2,148.56	(1,864.53)	(46.46)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	5,000	7/22/2010	0.71	3,535.16	8/30/2010	0.43	2,147.81	(1,387.35)	(39.24)	Short-term
CHINA CABLECOM HOLDINGS LTD (CABL)	Sell	20,000	7/30/2010	1.68	33,546.61	8/30/2010	0.43	8,591.25	(24,955.36)	(74.39)	Short-term
CHINA ARCHITECTURAL ENGINEER (CAEID)	Sell	836	11/19/2010	2.84	2,375.91	12/23/2010	1.79	1,499.85	(876.06)	(36.87)	Short-term
CHINA ARCHITECTURAL ENGINEER (CAEID)	Sell	3,664	11/19/2010	2.83	10,380.81	12/23/2010	2.02	7,396.15	(2,984.66)	(28.75)	Short-term
CHINA ARCHITECTURAL ENGINEER (CAEID)	Sell	2,000	11/19/2010	2.80	5,601.57	12/23/2010	1.75	3,495.14	(2,106.43)	(37.60)	Short-term
CHINA ARCHITECTURAL ENGINEER (CAEID)	Wash Sale Adj	836	12/3/2010		(876.06)	12/23/2010			876.06		Short-term
CHINA ARCHITECTURAL ENGINEER (CAEID)	Wash Sale Adj	3,664	12/3/2010		(2,984.66)	12/23/2010			2,984.66		Short-term
CHINA ARCHITECTURAL ENGINEER (CAEID)	Wash Sale Adj	500	12/3/2010		(526.61)	12/23/2010			526.61		Short-term
CHUBB CORP (CB)	Sell	1,000	4/5/2010	51.89	51,889.89	4/13/2010	51.67	51,669.13	(220.76)	(0.43)	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
CLEAN COAL TECHNOLOGIES INC (CCTC)	Sell	70,000	8/24/2010	0.06	4,114.00	12/23/2010	0.03	1,906.52	(2,207.48)	(53.66)	Short-term
CLEAN COAL TECHNOLOGIES INC (CCTC)	Sell	8,840	8/26/2010	0.06	514.78	12/23/2010	0.03	240.77	(274.01)	(53.23)	Short-term
CLEAN COAL TECHNOLOGIES INC (CCTC)	Sell	21,160	8/26/2010	0.06	1,232.22	12/23/2010	0.03	537.28	(694.94)	(56.40)	Short-term
CLEAN COAL TECHNOLOGIES INC (CCTC)	Sell	25,000	8/27/2010	0.05	1,311.50	12/23/2010	0.03	634.78	(676.72)	(51.60)	Short-term
CLEAN COAL TECHNOLOGIES INC (CCTC)	Sell	25,000	8/27/2010	0.05	1,292.50	12/27/2010	0.03	628.74	(663.76)	(51.35)	Short-term
CLEAN COAL TECHNOLOGIES INC (CCTC)	Sell	50,000	8/31/2010	0.05	2,534.00	12/27/2010	0.03	1,257.47	(1,276.53)	(50.38)	Short-term
CLEAN COAL TECHNOLOGIES INC (CCTC)	Sell	25,000	10/22/2010	0.05	1,297.00	12/27/2010	0.03	628.74	(668.26)	(51.52)	Short-term
CHINA MOBILE LTD (HK (CHL)	Sell	1,000	12/10/2009	46.27	46,265.88	5/3/2010	49.10	49,059.28	2,833.40	6.12	Short-term
CHINA NATURAL RESOURCES (CHNR)	Sell	250	1/6/2009	11.28	2,820.00	3/10/2010	12.40	3,099.97	279.97	9.93	Long-term
CHINA NATURAL RESOURCES (CHNR)	Sell	250	1/6/2009	11.28	2,819.99	5/6/2010	11.41	2,853.26	33.27	1.18	Long-term
CHINA NATURAL RESOURCES (CHNR)	Sell	22	4/2/2009	9.19	202.18	5/6/2010	11.41	251.09	48.91	24.19	Long-term
CHINA NATURAL RESOURCES (CHNR)	Sell	478	4/2/2009	9.11	4,356.77	10/20/2010	12.22	5,839.15	1,482.38	34.02	Long-term
CHINA NATURAL RESOURCES (CHNR)	Sell	522	4/14/2009	10.25	5,350.44	10/20/2010	12.22	6,376.64	1,026.20	19.18	Long-term
CHINA NATURAL RESOURCES (CHNR)	Sell	410	4/14/2009	10.25	4,202.46	10/21/2010	12.12	4,970.31	767.85	18.27	Long-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	9/15/2009	5.44	5,439.19	1/5/2010	4.70	4,699.88	(739.31)	(13.59)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	1,000	1/5/2010		(739.31)	1/5/2010			739.31		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	600	9/18/2009	5.52	3,313.99	1/8/2010	4.65	2,791.93	(522.06)	(15.75)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,400	9/18/2009	5.52	7,734.98	1/8/2010	4.65	6,509.83	(1,225.15)	(15.84)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	3,000	9/22/2009	5.38	16,140.29	1/8/2010	4.68	14,029.64	(2,110.65)	(13.08)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	12/1/2009	5.37	5,374.42	3/23/2010	5.71	5,709.93	335.51	6.24	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	12/1/2009	6.28	6,284.39	3/23/2010	5.64	5,644.64	(639.75)	(10.18)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	700	12/14/2009	5.54	3,875.53	3/23/2010	5.64	3,951.24	75.71	1.95	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,300	12/14/2009	5.43	7,054.57	3/23/2010	5.63	7,318.90	264.33	3.75	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	400	1/5/2010	5.31	2,123.72	3/23/2010	5.58	2,230.02	106.30	5.01	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	400	1/5/2010	5.31	2,123.72	3/23/2010	5.59	2,236.01	112.29	5.29	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	200	1/5/2010	5.31	1,061.86	3/23/2010	5.58	1,116.01	54.15	5.10	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	3/9/2010	5.89	5,893.00	3/23/2010	5.58	5,580.02	(312.98)	(5.31)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	1,000	3/9/2010		(639.75)	3/23/2010			639.75		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	1,000	3/9/2010		(312.98)	3/23/2010			312.98		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	100	3/9/2010	5.25	525.32	3/24/2010	6.28	628.01	102.69	19.55	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	200	3/9/2010	5.25	1,050.65	3/24/2010	6.37	1,273.98	223.33	21.26	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	300	3/9/2010	5.25	1,575.98	3/24/2010	6.36	1,908.00	332.02	21.07	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	2,400	3/9/2010	5.33	12,799.63	3/24/2010	6.35	15,240.04	2,440.41	19.07	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	3/9/2010	5.39	5,385.19	3/25/2010	6.17	6,166.59	781.40	14.51	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	2,000	3/25/2010	6.14	12,283.99	3/25/2010	6.17	12,333.18	49.19	0.40	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	3/25/2010	6.15	6,150.00	4/6/2010	6.11	6,107.90	(42.10)	(0.68)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	4,000	3/26/2010	6.13	24,529.99	4/6/2010	6.11	24,431.59	(98.40)	(0.40)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	2,000	7/9/2010	4.66	9,322.01	7/20/2010	4.81	9,611.17	289.16	3.10	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	7/12/2010	4.62	4,622.33	7/20/2010	4.81	4,805.58	183.25	3.96	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	2,000	7/12/2010	4.62	9,244.67	7/20/2010	4.84	9,677.04	432.37	4.68	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	7/14/2010	4.86	4,856.90	7/20/2010	4.84	4,838.51	(18.39)	(0.38)	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
CELLEX THERAPEUTICS INC (CLDX)	Sell	2,000	7/15/2010	4.85	9,703.00	7/20/2010	4.84	9,677.04	(25.96)	(0.27)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	2,000	7/15/2010	4.85	9,704.87	7/20/2010	4.91	9,812.83	107.96	1.11	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	2,000	7/15/2010		(96.87)	7/20/2010			96.87		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	100	7/16/2010	4.59	459.35	7/22/2010	4.71	471.00	11.65	2.54	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	900	7/16/2010	4.59	4,134.15	7/22/2010	4.77	4,293.01	158.86	3.84	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	600	7/16/2010	4.59	2,756.10	7/22/2010	4.77	2,859.01	102.91	3.73	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	100	7/16/2010	4.59	459.35	7/22/2010	4.76	476.00	16.65	3.62	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,300	7/16/2010	4.59	5,964.05	7/22/2010	4.76	6,187.89	223.84	3.75	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	2,000	7/16/2010	4.59	9,181.50	7/22/2010	4.78	9,552.83	371.33	4.04	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	5,000	7/16/2010	4.57	22,867.17	7/23/2010	4.88	24,399.58	1,532.41	6.70	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	7/20/2010	4.51	4,511.40	7/26/2010	5.00	4,999.91	488.51	10.83	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	7/20/2010	4.51	4,511.40	7/28/2010	5.08	5,080.01	568.61	12.60	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	7/20/2010	4.51	4,511.40	7/28/2010	5.03	5,030.01	518.61	11.50	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	200	7/20/2010	4.51	902.28	8/4/2010	5.42	1,083.10	180.82	20.04	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	300	7/20/2010	4.51	1,353.42	8/4/2010	5.45	1,635.03	281.61	20.81	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	500	7/20/2010	4.51	2,255.70	8/4/2010	5.45	2,725.00	469.30	20.81	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	7/20/2010	4.51	4,511.40	8/4/2010	5.39	5,392.90	881.50	19.54	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,100	7/21/2010	4.77	5,248.54	8/5/2010	5.07	5,580.90	332.36	6.33	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	900	7/21/2010	4.77	4,294.26	8/5/2010	5.08	4,572.01	277.75	6.47	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	7/21/2010	4.77	4,771.40	8/6/2010	4.97	4,973.11	201.71	4.23	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	2,000	7/21/2010	4.77	9,542.80	9/14/2010	3.93	7,855.20	(1,687.60)	(17.68)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	7/26/2010	5.11	5,109.90	9/14/2010	3.93	3,927.60	(1,182.30)	(23.14)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	2,000	8/4/2010	5.29	10,584.67	9/14/2010	3.90	7,792.86	(2,791.81)	(26.38)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	8/4/2010	5.29	5,292.33	9/14/2010	3.89	3,889.93	(1,402.40)	(26.50)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	3,000	9/3/2010		(2,869.90)	9/14/2010			2,869.90		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	1,000	9/3/2010		(1,395.91)	9/14/2010			1,395.91		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	1,000	9/8/2010		(1,395.90)	9/14/2010			1,395.90		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	1,000	9/8/2010		(1,402.40)	9/14/2010			1,402.40		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	3,000	8/11/2010	4.80	14,405.25	9/20/2010	3.92	11,752.80	(2,652.45)	(18.41)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	200	8/11/2010	4.80	960.35	9/20/2010	3.95	790.98	(169.37)	(17.64)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	400	8/11/2010	4.80	1,920.70	9/20/2010	3.98	1,591.97	(328.73)	(17.12)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	400	8/11/2010	4.80	1,920.70	9/20/2010	3.97	1,587.97	(332.73)	(17.32)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	9/3/2010	4.23	4,227.30	9/20/2010	3.97	3,969.93	(257.37)	(6.09)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	2,000	9/15/2010		(1,768.30)	9/20/2010			1,768.30		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	1,000	10/7/2010		(884.15)	9/20/2010			884.15		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	200	10/7/2010		(169.37)	9/20/2010			169.37		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	400	10/7/2010		(328.73)	9/20/2010			328.73		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Wash Sale Adj	400	10/7/2010		(332.73)	9/20/2010			332.73		Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	9/3/2010	4.23	4,227.30	10/5/2010	4.71	4,713.02	485.72	11.49	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	9/3/2010	4.78	4,775.70	10/6/2010	4.48	4,483.02	(292.68)	(6.13)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	964	9/3/2010	4.99	4,809.70	11/1/2010	4.31	4,157.40	(652.30)	(13.56)	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
CELLEX THERAPEUTICS INC (CLDX)	Sell	36	9/3/2010	4.99	179.61	11/1/2010	4.31	155.16	(24.45)	(13.61)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	9/8/2010	4.91	4,909.40	11/1/2010	4.31	4,309.92	(599.48)	(12.21)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,000	9/8/2010	4.92	4,915.90	11/1/2010	4.26	4,264.19	(651.71)	(13.26)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	200	9/15/2010	4.65	929.53	11/1/2010	4.26	852.84	(76.69)	(8.25)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	1,800	9/15/2010	4.65	8,365.77	11/1/2010	4.27	7,685.87	(679.90)	(8.13)	Short-term
CELLEX THERAPEUTICS INC (CLDX)	Sell	2,000	10/7/2010	5.24	10,481.98	11/2/2010	4.28	8,552.85	(1,929.13)	(18.40)	Short-term
CHINA INFORMATION TECHNOLOG (CNIT)	Sell	700	11/30/2010	5.24	3,670.45	12/6/2010	5.57	3,898.93	228.48	6.22	Short-term
CHINA INFORMATION TECHNOLOG (CNIT)	Sell	300	11/30/2010	5.24	1,573.05	12/10/2010	5.24	1,571.00	(2.05)	(0.13)	Short-term
CHINA INFORMATION TECHNOLOG (CNIT)	Wash Sale Adj	300	12/1/2010		(2.05)	12/10/2010			2.05		Short-term
CHIQUITA BRANDS INTL INC (CQB)	Sell	2,000	11/3/2010	12.40	24,805.30	11/3/2010	12.63	25,252.57	447.27	1.80	Short-term
CHIQUITA BRANDS INTL INC (CQB)	Sell	1,000	11/3/2010	12.40	12,399.00	12/9/2010	13.75	13,746.27	1,347.27	10.87	Short-term
CHIQUITA BRANDS INTL INC (CQB)	Sell	1,000	11/4/2010	12.41	12,412.33	12/9/2010	13.75	13,746.26	1,333.93	10.75	Short-term
CHIQUITA BRANDS INTL INC (CQB)	Sell	2,000	11/4/2010	12.41	24,824.67	12/20/2010	13.73	27,456.20	2,631.53	10.60	Short-term
CHIQUITA BRANDS INTL INC (CQB)	Sell	1,000	11/5/2010	12.46	12,462.33	12/20/2010	13.73	13,728.10	1,265.77	10.16	Short-term
CITIZENS REPUBLIC BANCORP I (CRBC)	Sell	5,000	9/28/2009	0.81	4,032.32	1/7/2010	0.72	3,597.91	(434.41)	(10.77)	Short-term
CITIZENS REPUBLIC BANCORP I (CRBC)	Sell	10,000	10/8/2009	0.69	6,893.86	1/7/2010	0.72	7,195.81	301.95	4.38	Short-term
CITIZENS REPUBLIC BANCORP I (CRBC)	Sell	10,000	11/9/2009	0.68	6,810.72	1/7/2010	0.72	7,195.82	385.10	5.65	Short-term
CRH PLC (CRH)	Sell	1,000	8/24/2010	14.96	14,964.12	8/30/2010	15.51	15,512.83	548.71	3.67	Short-term
CRH PLC (CRH)	Sell	1,000	8/24/2010	14.96	14,962.85	9/1/2010	16.16	16,162.72	1,199.87	8.02	Short-term
CRH PLC (CRH)	Sell	1,000	8/24/2010	15.10	15,103.50	9/9/2010	16.83	16,832.71	1,729.21	11.45	Short-term
CRH PLC (CRH)	Sell	1,100	8/24/2010	15.12	16,628.20	9/17/2010	16.61	18,265.69	1,637.49	9.85	Short-term
CRH PLC (CRH)	Sell	900	8/24/2010	15.25	13,722.30	9/17/2010	16.61	14,948.83	1,226.53	8.94	Short-term
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	100	8/31/2009	13.19	1,318.91	5/3/2010	14.14	1,413.98	95.07	7.21	Short-term
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	900	8/31/2009	13.19	11,870.19	9/23/2010	6.53	5,873.97	(5,996.22)	(50.51)	Long-term
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	100	9/21/2009	14.03	1,403.33	9/23/2010	6.53	652.66	(750.67)	(53.49)	Long-term
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	900	9/21/2009	14.01	12,606.45	9/23/2010	6.64	5,978.59	(6,627.86)	(52.58)	Long-term
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	100	5/6/2010	13.62	1,361.67	9/23/2010	6.64	664.29	(697.38)	(51.22)	Short-term
CHINA SKY ONE MEDICAL INC (CSKI)	Wash Sale Adj	360	9/3/2010		(2,398.49)	9/23/2010			2,398.49		Long-term
CHINA SKY ONE MEDICAL INC (CSKI)	Wash Sale Adj	640	9/8/2010		(4,348.40)	9/23/2010			4,348.40		Long-term
CHINA SKY ONE MEDICAL INC (CSKI)	Wash Sale Adj	1,000	9/8/2010		(7,325.24)	9/23/2010			7,325.24		Multiple
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	500	5/6/2010	13.62	6,808.32	9/30/2010	7.64	3,821.49	(2,986.83)	(43.87)	Short-term
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	500	7/15/2010	10.40	5,202.00	9/30/2010	7.64	3,821.48	(1,380.52)	(26.54)	Short-term
CHINA SKY ONE MEDICAL INC (CSKI)	Wash Sale Adj	1,000	10/8/2010		(4,367.35)	9/30/2010			4,367.35		Short-term
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	1,000	8/18/2010	10.69	10,693.50	10/25/2010	8.56	8,562.85	(2,130.65)	(19.92)	Short-term
CHINA SKY ONE MEDICAL INC (CSKI)	Wash Sale Adj	1,000	10/29/2010		(2,130.65)	10/25/2010			2,130.65		Short-term
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	2,000	8/18/2010	10.53	21,050.50	12/13/2010	6.81	13,612.76	(7,437.74)	(35.33)	Short-term
CHINA SKY ONE MEDICAL INC (CSKI)	Wash Sale Adj	2,000	12/3/2010		(7,437.74)	12/13/2010			7,437.74		Short-term
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	360	9/3/2010	16.21	5,836.29	12/17/2010	7.21	2,594.17	(3,242.12)	(55.55)	Long-term
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	940	9/8/2010	13.92	13,087.17	12/17/2010	7.21	6,773.67	(6,313.50)	(48.24)	Long-term
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	700	9/8/2010	14.31	10,016.31	12/30/2010	6.96	4,869.86	(5,146.45)	(51.38)	Multiple
CHINA SKY ONE MEDICAL INC (CSKI)	Sell	1,000	10/8/2010	13.64	13,635.36	12/30/2010	6.96	6,956.94	(6,678.42)	(48.98)	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
CHINA SKY ONE MEDICAL INC (CSK1)	Sell	1,000	10/29/2010	11.44	11,437.65	12/30/2010	7.02	7,017.38	(4,420.27)	(38.65)	Short-term
CHINA SKY ONE MEDICAL INC (CSK1)	Sell	1,000	12/3/2010	11.04	11,041.88	12/30/2010	7.02	7,017.38	(4,024.50)	(36.45)	Short-term
CHINA SKY ONE MEDICAL INC (CSK1)	Wash Sale Adj	1,700	1/25/2011		(11,824.87)	12/30/2010			11,824.87		Multiple
CHINA SKY ONE MEDICAL INC (CSK1)	Wash Sale Adj	300	1/25/2011		(1,326.08)	12/30/2010			1,326.08		Short-term
SPDR DJ GLOBAL TITANS ETF (DGT)	Sell	1,000	7/15/2010	51.57	51,567.00	7/23/2010	51.59	51,592.22	25.22	0.05	Short-term
DRYSHIPS INC (DRYS)	Sell	100	12/2/2009	6.27	626.67	1/5/2010	6.32	631.65	4.98	0.79	Short-term
DRYSHIPS INC (DRYS)	Sell	2,000	12/4/2009	6.29	12,589.79	1/5/2010	6.32	12,633.01	43.22	0.34	Short-term
DRYSHIPS INC (DRYS)	Sell	900	12/11/2009	6.11	5,499.90	1/5/2010	6.32	5,684.86	184.96	3.36	Short-term
DRYSHIPS INC (DRYS)	Sell	1,000	1/22/2010	6.04	6,041.00	1/25/2010	6.09	6,090.03	49.03	0.81	Short-term
DRYSHIPS INC (DRYS)	Sell	1,000	1/22/2010	6.04	6,041.00	2/16/2010	5.59	5,590.03	(450.97)	(7.47)	Short-term
DRYSHIPS INC (DRYS)	Wash Sale Adj	1,000	2/1/2010		(450.97)	2/16/2010			450.97		Short-term
DRYSHIPS INC (DRYS)	Sell	2,000	1/22/2010	6.04	12,082.00	3/8/2010	6.04	12,089.85	7.85	0.06	Short-term
DRYSHIPS INC (DRYS)	Sell	3,000	1/22/2010	6.04	18,122.99	4/5/2010	6.12	18,349.99	227.00	1.25	Short-term
DRYSHIPS INC (DRYS)	Sell	2,000	1/22/2010	6.04	12,082.00	4/6/2010	6.14	12,289.80	207.80	1.72	Short-term
DRYSHIPS INC (DRYS)	Sell	1,000	1/22/2010	6.04	6,041.00	5/10/2010	5.39	5,387.91	(653.09)	(10.81)	Short-term
DRYSHIPS INC (DRYS)	Sell	2,000	2/1/2010	5.86	11,720.76	5/10/2010	5.39	10,775.82	(944.94)	(8.06)	Short-term
DRYSHIPS INC (DRYS)	Sell	2,000	3/23/2010	5.83	11,669.79	5/10/2010	5.39	10,775.82	(893.97)	(7.66)	Short-term
DYNEX CAPITAL INC (DX)	Sell	5,000	11/24/2010	10.51	52,557.00	12/10/2010	10.58	52,892.10	335.10	0.64	Short-term
EMDEON INC (EM)	Sell	1,000	7/12/2010	12.28	12,277.00	7/26/2010	12.70	12,699.78	422.78	3.44	Short-term
EOG RESOURCES INC (EOG)	Sell	500	11/3/2010	87.16	43,578.45	11/9/2010	91.94	45,972.42	2,393.97	5.49	Short-term
EOG RESOURCES INC (EOG)	Sell	500	11/3/2010	87.16	43,578.45	11/11/2010	93.87	46,935.71	3,357.26	7.70	Short-term
EOG RESOURCES INC (EOG)	Sell	500	11/4/2010	89.51	44,757.00	11/11/2010	93.87	46,935.70	2,178.70	4.87	Short-term
EVERGREEN SOLAR INC (ESLR)	Sell	5,000	2/9/2010	1.29	6,459.99	2/16/2010	1.28	6,389.92	(70.07)	(1.08)	Short-term
EVERGREEN SOLAR INC (ESLR)	Wash Sale Adj	5,000	2/10/2010		(70.07)	2/16/2010			70.07		Short-term
EVERGREEN SOLAR INC (ESLR)	Sell	10,000	2/10/2010	1.22	12,239.55	2/25/2010	1.11	11,093.19	(1,146.36)	(9.37)	Short-term
EVERGREEN SOLAR INC (ESLR)	Sell	5,000	2/22/2010	1.22	6,109.99	2/25/2010	1.11	5,546.60	(563.39)	(9.22)	Short-term
ISHARES MSCI JAPAN INDEX FD (EWJ)	Sell	3,000	3/12/2010	10.24	30,729.69	3/16/2010	10.36	31,069.61	339.92	1.11	Short-term
ISHARES MSCI JAPAN INDEX FD (EWJ)	Sell	4,000	3/18/2010	10.31	41,249.99	3/23/2010	10.41	41,629.48	379.49	0.92	Short-term
ISHARES MSCI JAPAN INDEX FD (EWJ)	Sell	4,000	3/24/2010	10.32	41,287.99	3/29/2010	10.47	41,869.47	581.48	1.41	Short-term
ISHARES MSCI JAPAN INDEX FD (EWJ)	Sell	1,000	3/24/2010	10.32	10,322.00	3/31/2010	10.46	10,459.93	137.93	1.34	Short-term
ISHARES MSCI BRAZIL INDEX F (EWZ)	Sell	500	3/29/2010	71.23	35,615.00	4/15/2010	75.49	37,744.37	2,129.37	5.98	Short-term
ISHARES MSCI BRAZIL INDEX F (EWZ)	Sell	500	3/29/2010	71.23	35,614.99	4/15/2010	75.73	37,864.41	2,249.42	6.32	Short-term
FORD MOTOR CO (F)	Sell	1,000	9/16/2009	7.18	7,182.33	1/5/2010	10.97	10,970.41	3,788.08	52.74	Short-term
FORD MOTOR CO (F)	Sell	1,000	9/16/2009	7.18	7,182.33	2/1/2010	11.08	11,077.46	3,895.13	54.23	Short-term
FORD MOTOR CO (F)	Sell	2,000	9/18/2009	7.04	14,089.79	2/1/2010	11.08	22,154.92	8,065.13	57.24	Short-term
FORD MOTOR CO (F)	Sell	1,000	10/16/2009	7.45	7,449.89	2/1/2010	11.08	11,077.46	3,627.57	48.69	Short-term
FORD MOTOR CO (F)	Sell	40	9/15/2010	11.87	474.86	9/16/2010	12.25	490.19	15.33	3.23	Short-term
FORD MOTOR CO (F)	Sell	4,960	9/15/2010	11.87	58,882.14	9/16/2010	12.42	61,602.15	2,720.01	4.62	Short-term
FBL FINL GRP INC CL A (FFG)	Sell	300	7/9/2010	21.87	6,560.10	9/8/2010	25.04	7,510.87	950.77	14.49	Short-term
FBL FINL GRP INC CL A (FFG)	Sell	100	7/9/2010	21.87	2,186.70	9/8/2010	25.05	2,504.96	318.26	14.55	Short-term
FBL FINL GRP INC CL A (FFG)	Sell	600	7/9/2010	21.87	13,120.20	9/8/2010	25.05	15,029.98	1,909.78	14.56	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
FBL FINL GRP INC CL A (PFG)	Sell	1,000	7/14/2010	22.52	22,517.00	9/9/2010	25.12	25,122.57	2,605.57	11.57	Short-term
FBL FINL GRP INC CL A (PFG)	Sell	1,000	9/8/2010	24.77	24,767.00	9/17/2010	26.15	26,152.55	1,385.55	5.59	Short-term
FBL FINL GRP INC CL A (PFG)	Sell	1,000	9/17/2010	25.92	25,917.00	10/4/2010	26.07	26,072.65	155.65	0.60	Short-term
ISHARES FTSE/XINHUA CHINA (FXI)	Sell	1,000	1/11/2010	44.05	44,049.99	2/26/2010	39.35	39,349.51	(4,700.48)	(10.67)	Short-term
ISHARES FTSE/XINHUA CHINA (FXI)	Sell	1,000	7/15/2010	39.82	39,817.00	9/8/2010	40.85	40,845.91	1,028.91	2.58	Short-term
ISHARES FTSE/XINHUA CHINA (FXI)	Sell	1,000	7/27/2010	41.39	41,390.00	9/8/2010	40.85	40,845.90	(544.10)	(1.31)	Short-term
GREATER CHINA FD INC (GCH)	Sell	1,000	9/15/2009	12.67	12,670.74	4/13/2010	12.27	12,270.80	(399.94)	(3.16)	Short-term
GREATER CHINA FD INC (GCH)	Sell	1,000	9/17/2009	12.86	12,859.99	4/13/2010	12.27	12,270.80	(589.19)	(4.58)	Short-term
GREATER CHINA FD INC (GCH)	Wash Sale Adj	1,000	4/5/2010		(399.94)	4/13/2010		399.94			Short-term
GREATER CHINA FD INC (GCH)	Wash Sale Adj	1,000	4/26/2010		(589.19)	4/13/2010		589.19			Short-term
GREATER CHINA FD INC (GCH)	Sell	1,000	9/22/2009	12.62	12,619.75	5/6/2010	10.78	10,779.82	(1,839.93)	(14.58)	Short-term
GREATER CHINA FD INC (GCH)	Sell	1,000	4/5/2010	0.40	399.94	9/14/2010	12.19	12,185.23	11,785.29	2,946.76	Short-term
GREATER CHINA FD INC (GCH)	Sell	500	4/26/2010	0.59	294.60	9/14/2010	12.19	6,092.61	5,798.01	1,968.10	Short-term
GREATER CHINA FD INC (GCH)	Sell	500	4/26/2010	0.59	294.59	9/14/2010	12.22	6,107.61	5,813.02	1,973.26	Short-term
GREATER CHINA FD INC (GCH)	Sell	1,000	7/14/2010	11.23	11,227.00	9/14/2010	12.22	12,215.23	988.23	8.80	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	1,000	7/9/2010	49.93	49,927.00	7/9/2010	50.25	50,252.15	325.15	0.65	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	600	7/12/2010	50.17	30,104.10	7/13/2010	51.16	30,696.04	591.94	1.97	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	200	7/12/2010	50.17	10,034.70	7/13/2010	51.17	10,233.84	199.14	1.98	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	1,100	7/12/2010	50.17	55,190.85	7/13/2010	51.17	56,286.04	1,095.19	1.98	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	100	7/12/2010	50.17	5,017.35	7/13/2010	51.19	5,119.08	101.73	2.03	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	147	7/13/2010	50.77	7,463.60	7/13/2010	51.19	7,525.04	61.44	0.82	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	1,453	7/13/2010	50.77	73,772.88	7/13/2010	51.21	74,407.02	634.14	0.86	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	300	7/13/2010	50.77	15,231.84	7/13/2010	51.21	15,362.74	130.90	0.86	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	100	7/13/2010	50.77	5,077.28	7/21/2010	48.53	4,852.93	(224.35)	(4.42)	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Wash Sale Adj	100	7/13/2010		(224.35)	7/21/2010		224.35			Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	1,000	7/13/2010	50.78	50,777.80	8/18/2010	51.64	51,642.12	864.32	1.70	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	1,000	7/13/2010	50.78	50,782.80	10/5/2010	56.80	56,802.03	6,019.23	11.85	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	500	7/13/2010	50.78	25,391.40	10/20/2010	55.67	27,832.57	2,441.17	9.61	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	200	7/13/2010	50.78	10,156.56	10/22/2010	54.61	10,922.83	766.27	7.54	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	300	7/13/2010	51.53	15,459.19	10/22/2010	54.64	16,391.75	932.56	6.03	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	50	9/22/2010	56.36	2,818.00	10/22/2010	54.63	2,731.46	(86.54)	(3.07)	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	450	9/23/2010	56.22	25,297.00	10/22/2010	54.63	24,583.13	(713.87)	(2.82)	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	300	10/20/2010	54.81	16,442.10	10/22/2010	54.63	16,388.75	(53.35)	(0.32)	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	700	10/20/2010	55.95	39,165.31	10/22/2010	54.63	38,240.35	(924.96)	(2.36)	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Sell	1,000	10/21/2010	55.23	55,230.35	10/22/2010	54.63	54,629.08	(601.27)	(1.09)	Short-term
MARKET VECTORS GOLD MINERS (GDX)	Wash Sale Adj	500	10/20/2010		(800.41)	10/22/2010		800.41			Short-term
MARKET VECTORS GOLD MINERS (GDX)	Wash Sale Adj	300	10/21/2010		(53.35)	10/22/2010		53.35			Short-term
GENERAL MOTORS CO (GM)	Sell	1,000	12/10/2010	33.92	33,922.23	12/22/2010	34.28	34,284.52	362.29	1.07	Short-term
GENERAL MOTORS CO (GM)	Sell	600	12/10/2010	33.92	20,353.34	12/23/2010	34.93	20,958.64	605.30	2.97	Short-term
GENERAL MOTORS CO (GM)	Sell	1,400	12/10/2010	33.92	47,491.13	12/23/2010	34.94	48,915.31	1,424.18	3.00	Short-term
GOOGLE INC (GOOG)	Sell	100	10/14/2010	543.14	54,314.00	10/18/2010	600.47	60,046.98	5,732.98	10.56	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
GOOGLE INC (GOOG)	Sell	200	10/21/2010	607.61	121,521.00	10/22/2010	611.97	122,394.93	873.93	0.72	Short-term
CHINA GENTECH CORP LTD (GRRF)	Sell	1,000	8/20/2009	4.59	4,592.50	1/5/2010	3.79	3,789.91	(802.59)	(17.48)	Short-term
CHINA GENTECH CORP LTD (GRRF)	Sell	1,000	8/20/2009	4.59	4,592.49	1/6/2010	4.11	4,110.00	(482.49)	(10.51)	Short-term
CHINA GENTECH CORP LTD (GRRF)	Sell	1,000	8/20/2009	4.59	4,592.50	1/8/2010	4.24	4,240.00	(352.50)	(7.68)	Short-term
CHINA GENTECH CORP LTD (GRRF)	Sell	1,000	12/1/2009	3.56	3,559.69	2/22/2010	3.35	3,346.63	(213.06)	(5.99)	Short-term
CHINA GENTECH CORP LTD (GRRF)	Sell	1,000	12/3/2009	3.64	3,639.99	2/22/2010	3.35	3,346.62	(293.37)	(8.06)	Short-term
CHINA GENTECH CORP LTD (GRRF)	Sell	1,000	2/9/2010	3.29	3,289.99	2/22/2010	3.35	3,346.63	56.64	1.72	Short-term
CHINA GENTECH CORP LTD (GRRF)	Sell	510	5/12/2010	2.38	1,213.59	6/14/2010	1.85	943.54	(270.05)	(22.25)	Short-term
CHINA GENTECH CORP LTD (GRRF)	Sell	490	5/18/2010	2.20	1,078.19	6/14/2010	1.85	906.53	(171.66)	(15.92)	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	500	2/26/2010	156.61	78,304.44	3/8/2010	169.95	84,977.05	6,672.61	8.52	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	300	3/2/2010	157.86	47,357.96	3/8/2010	169.95	50,986.23	3,628.27	7.66	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	200	3/2/2010	157.86	31,571.98	3/8/2010	169.95	33,989.56	2,417.58	7.66	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	200	3/23/2010	174.09	34,817.97	3/25/2010	176.58	35,315.58	497.61	1.43	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	300	4/20/2010	161.06	48,318.21	7/9/2010	137.68	41,305.33	(7,012.88)	(14.51)	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	200	4/20/2010	161.03	32,205.98	7/9/2010	137.70	27,540.55	(4,665.43)	(14.49)	Short-term
GOLDMAN SACHS GRP INC (GS)	Wash Sale Adj	300	7/9/2010		(7,012.88)	7/9/2010			7,012.88		Short-term
GOLDMAN SACHS GRP INC (GS)	Wash Sale Adj	200	7/9/2010		(4,665.43)	7/9/2010			4,665.43		Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	200	4/20/2010	161.03	32,205.98	7/16/2010	149.22	29,844.47	(2,361.51)	(7.33)	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	300	4/20/2010	161.14	48,342.96	7/16/2010	149.30	44,788.55	(3,554.41)	(7.35)	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	500	7/9/2010	159.65	79,825.26	7/16/2010	149.30	74,647.58	(5,177.68)	(6.49)	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	500	7/12/2010	141.01	70,503.51	7/16/2010	149.30	74,647.58	4,144.07	5.88	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	500	7/14/2010	150.57	75,284.50	7/16/2010	149.25	74,623.73	(660.77)	(0.88)	Short-term
GOLDMAN SACHS GRP INC (GS)	Wash Sale Adj	200	7/12/2010		(2,361.51)	7/16/2010			2,361.51		Short-term
GOLDMAN SACHS GRP INC (GS)	Wash Sale Adj	500	7/14/2010		(5,632.50)	7/16/2010			5,632.50		Short-term
GOLDMAN SACHS GRP INC (GS)	Wash Sale Adj	300	7/15/2010		(3,099.59)	7/16/2010			3,099.59		Short-term
GOLDMAN SACHS GRP INC (GS)	Wash Sale Adj	500	7/15/2010		(660.77)	7/16/2010			660.77		Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	1,000	7/15/2010	143.79	143,787.36	7/20/2010	149.59	149,590.57	5,803.21	4.04	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	1,000	7/19/2010	146.39	146,394.02	7/20/2010	149.59	149,590.57	3,196.55	2.18	Short-term
GOLDMAN SACHS GRP INC (GS)	Wash Sale Adj	300	7/19/2010		(230.52)	7/20/2010			230.52		Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	1,000	7/19/2010	146.16	146,163.50	7/29/2010	148.84	148,837.48	2,673.98	1.83	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	1,000	7/21/2010	147.65	147,646.90	7/29/2010	148.84	148,837.48	1,190.58	0.81	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	200	7/22/2010	147.19	29,437.38	7/29/2010	152.34	30,467.48	1,030.10	3.50	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	300	7/22/2010	147.19	44,156.07	7/29/2010	152.34	45,702.72	1,546.65	3.50	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	500	7/22/2010	147.19	73,593.45	7/30/2010	152.36	76,178.71	2,585.26	3.51	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	2,000	7/29/2010	147.84	295,680.00	7/30/2010	152.36	304,714.85	9,034.85	3.06	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	500	7/29/2010	147.84	73,920.00	7/30/2010	152.73	76,363.76	2,443.76	3.31	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	500	7/30/2010	151.66	75,830.00	7/30/2010	152.73	76,363.75	533.75	0.70	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	500	7/30/2010	151.66	75,830.00	8/2/2010	152.72	76,361.70	531.70	0.70	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	100	8/4/2010	154.18	15,417.99	8/9/2010	155.22	15,521.74	103.75	0.67	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	735	10/4/2010	145.59	107,006.45	10/4/2010	145.98	107,293.90	287.45	0.27	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	165	10/4/2010	145.59	24,021.85	10/4/2010	145.98	24,086.29	64.44	0.27	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
GOLDMAN SACHS GRP INC (GS)	Sell	100	10/17/2010	145.59	14,558.70	10/5/2010	147.08	14,707.75	149.05	1.02	Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	1	10/19/2010	165.47	165.47	10/26/2010	149.59	149.59	(15.88)	(9.60)	Short-term
GOLDMAN SACHS GRP INC (GS)	Wash Sale Adj	1	10/29/2010		(15.88)	10/26/2010			15.88		Short-term
GOLDMAN SACHS GRP INC (GS)	Sell	200	10/29/2010	162.05	32,410.88	11/1/2010	161.72	32,344.47	(66.41)	(0.20)	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	100	8/23/2010	1.45	145.10	10/11/2010	1.64	163.99	18.89	13.02	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	4,900	8/23/2010	1.45	7,108.52	10/11/2010	1.71	8,379.34	1,270.82	17.88	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	2,000	8/24/2010	1.44	2,884.68	10/12/2010	1.79	3,572.93	688.25	23.86	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	3,000	8/24/2010	1.44	4,321.17	10/18/2010	1.91	5,722.90	1,401.73	32.44	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	1,441	8/25/2010	1.44	2,078.40	10/19/2010	1.93	2,774.08	695.68	33.47	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	559	8/25/2010	1.44	806.27	10/19/2010	1.92	1,073.26	266.99	33.11	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	1,000	8/25/2010	1.44	1,442.33	10/19/2010	1.92	1,916.63	474.30	32.88	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	1,100	8/27/2010	1.45	1,598.85	10/19/2010	1.92	2,108.30	509.45	31.86	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	900	8/27/2010	1.45	1,308.15	10/19/2010	1.91	1,718.97	410.82	31.40	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	3,000	8/27/2010	1.44	4,327.00	11/18/2010	1.58	4,735.72	408.72	9.45	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	2,000	8/31/2010	1.44	2,886.80	11/18/2010	1.58	3,157.14	270.34	9.36	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	3,000	9/2/2010	1.44	4,325.76	12/13/2010	1.36	4,075.73	(250.03)	(5.78)	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	2,000	9/8/2010	1.53	3,063.50	12/13/2010	1.36	2,717.15	(346.35)	(11.31)	Short-term
HANSEN MEDICAL INC (HNSN)	Wash Sale Adj	5,000	12/15/2010		(596.38)	12/13/2010			596.38		Short-term
HANSEN MEDICAL INC (HNSN)	Sell	5,000	9/8/2010	1.51	7,540.20	12/14/2010	1.36	6,794.88	(745.32)	(9.88)	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	5,000	9/9/2010	1.51	7,557.00	12/14/2010	1.39	6,944.88	(612.12)	(8.10)	Short-term
HANSEN MEDICAL INC (HNSN)	Wash Sale Adj	5,000	12/15/2010		(745.32)	12/14/2010			745.32		Short-term
HANSEN MEDICAL INC (HNSN)	Sell	5,000	10/20/2010	1.76	8,807.00	12/15/2010	1.45	7,247.38	(1,559.62)	(17.71)	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	5,000	11/5/2010	1.57	7,857.00	12/15/2010	1.45	7,247.37	(609.63)	(7.76)	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	5,000	12/15/2010	1.54	7,698.88	12/29/2010	1.39	6,944.88	(754.00)	(9.79)	Short-term
HANSEN MEDICAL INC (HNSN)	Sell	5,000	12/15/2010	1.57	7,847.82	12/29/2010	1.41	7,044.88	(802.94)	(10.23)	Short-term
HARBINGER GROUP INC (HRG)	Sell	100	8/25/2010	5.67	567.23	10/28/2010	5.12	512.00	(55.23)	(9.74)	Short-term
HARBINGER GROUP INC (HRG)	Sell	700	8/25/2010	5.67	3,970.64	10/28/2010	5.18	3,625.93	(344.71)	(8.68)	Short-term
HARBINGER GROUP INC (HRG)	Sell	200	8/25/2010	5.67	1,134.46	10/28/2010	5.14	1,027.98	(106.48)	(9.39)	Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	100	10/28/2010		(55.23)	10/28/2010			55.23		Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	700	10/28/2010		(344.71)	10/28/2010			344.71		Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	200	10/28/2010		(106.48)	10/28/2010			106.48		Short-term
HARBINGER GROUP INC (HRG)	Sell	2,000	8/25/2010	5.67	11,344.67	11/24/2010	4.74	9,477.04	(1,867.63)	(16.46)	Short-term
HARBINGER GROUP INC (HRG)	Sell	2,000	8/27/2010	5.73	11,455.00	11/24/2010	4.74	9,477.03	(1,977.97)	(17.27)	Short-term
HARBINGER GROUP INC (HRG)	Sell	1,000	9/17/2010	5.68	5,683.50	11/24/2010	4.74	4,738.52	(944.98)	(16.63)	Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	3,000	10/28/2010		(2,855.78)	11/24/2010			2,855.78		Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	2,000	11/5/2010		(1,934.80)	11/24/2010			1,934.80		Short-term
HARBINGER GROUP INC (HRG)	Sell	1,000	9/17/2010	5.68	5,683.50	11/26/2010	4.82	4,818.52	(864.98)	(15.22)	Short-term
HARBINGER GROUP INC (HRG)	Sell	4,000	10/28/2010	6.00	24,005.52	11/26/2010	4.82	19,274.07	(4,731.45)	(19.71)	Short-term
HARBINGER GROUP INC (HRG)	Sell	5,000	11/5/2010	6.01	30,069.37	11/26/2010	4.83	24,143.66	(5,925.71)	(19.71)	Short-term
HARBINGER GROUP INC (HRG)	Sell	904	11/9/2010	6.08	5,495.27	11/26/2010	4.83	4,365.17	(1,130.10)	(20.56)	Short-term
HARBINGER GROUP INC (HRG)	Sell	96	11/9/2010	6.08	583.58	11/26/2010	4.84	464.63	(118.95)	(20.38)	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	3,000	11/5/2010		(3,027.57)	11/26/2010			3,027.57		Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	2,000	11/9/2010		(2,568.86)	11/26/2010			2,568.86		Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	4,000	11/9/2010		(4,868.06)	11/26/2010			4,868.06		Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	1,904	11/16/2010		(2,187.75)	11/26/2010			2,187.75		Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	96	11/16/2010		(118.95)	11/26/2010			118.95		Short-term
HARBINGER GROUP INC (HRG)	Sell	900	11/9/2010	5.92	5,324.27	12/7/2010	4.72	4,250.01	(1,074.26)	(20.18)	Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	900	11/16/2010		(1,074.26)	12/7/2010			1,074.26		Short-term
HARBINGER GROUP INC (HRG)	Sell	3,056	11/9/2010	6.13	18,737.76	12/10/2010	4.88	14,906.02	(3,831.74)	(20.45)	Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	3,056	11/16/2010		(3,831.74)	12/10/2010			3,831.74		Short-term
HARBINGER GROUP INC (HRG)	Sell	1,044	11/9/2010	5.96	6,223.04	12/13/2010	5.03	5,247.47	(975.57)	(15.68)	Short-term
HARBINGER GROUP INC (HRG)	Sell	900	11/16/2010	5.66	5,097.25	12/13/2010	5.03	4,523.68	(573.57)	(11.25)	Short-term
HARBINGER GROUP INC (HRG)	Sell	5,000	11/16/2010	5.53	27,637.66	12/13/2010	5.16	25,792.56	(1,845.10)	(6.68)	Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	1,944	11/16/2010		(1,549.14)	12/13/2010			1,549.14		Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	3,039	11/16/2010		(1,405.20)	12/13/2010			1,405.20		Short-term
HARBINGER GROUP INC (HRG)	Wash Sale Adj	1,061	11/18/2010		(547.93)	12/13/2010			547.93		Short-term
HARBINGER GROUP INC (HRG)	Sell	3,000	11/16/2010	5.13	15,377.40	12/17/2010	5.83	17,484.70	2,107.30	13.70	Short-term
HARBINGER GROUP INC (HRG)	Sell	880	11/16/2010	5.34	4,695.45	12/17/2010	5.92	5,205.31	509.86	10.86	Short-term
HARBINGER GROUP INC (HRG)	Sell	700	11/16/2010	4.85	3,398.23	12/17/2010	5.92	4,143.92	745.69	21.94	Short-term
HARBINGER GROUP INC (HRG)	Sell	270	11/16/2010	4.71	1,272.73	12/17/2010	5.92	1,598.40	325.67	25.59	Short-term
HARBINGER GROUP INC (HRG)	Sell	50	11/16/2010	4.71	235.69	12/17/2010	5.82	290.99	55.30	23.46	Short-term
HARBINGER GROUP INC (HRG)	Sell	100	11/16/2010	4.71	471.39	12/17/2010	5.91	591.00	119.61	25.37	Short-term
HARBINGER GROUP INC (HRG)	Sell	39	11/16/2010	4.71	183.83	12/20/2010	5.94	231.62	47.79	26.00	Short-term
HARBINGER GROUP INC (HRG)	Sell	2,469	11/18/2010	4.57	11,295.08	12/20/2010	5.94	14,663.14	3,368.06	29.82	Short-term
HARBINGER GROUP INC (HRG)	Sell	2,492	11/19/2010	4.52	11,270.30	12/20/2010	5.94	14,799.73	3,529.43	31.32	Short-term
HARBINGER GROUP INC (HRG)	Sell	1,781	11/19/2010	4.43	7,888.70	12/27/2010	6.15	10,947.96	3,059.26	38.78	Short-term
HARBINGER GROUP INC (HRG)	Sell	1,319	11/19/2010	4.35	5,741.61	12/28/2010	6.15	8,109.66	2,368.05	41.24	Short-term
HARBINGER GROUP INC (HRG)	Sell	1,900	11/22/2010	4.45	8,458.03	12/28/2010	6.15	11,681.85	3,223.82	38.12	Short-term
INTERNATIONAL BUSINESS MACH (IBM)	Sell	500	3/9/2010	125.88	62,941.96	3/11/2010	127.14	63,569.25	627.29	1.00	Short-term
ABERDEEN INDONESIA FD INC (IF)	Sell	1,000	7/15/2009	8.36	8,359.75	5/6/2010	10.70	10,699.82	2,340.07	27.99	Short-term
ISHARES RUSSELL 1000 VALUE (IWD)	Sell	500	7/7/2010	54.83	27,414.94	8/20/2010	55.52	27,757.58	342.64	1.25	Short-term
ISHARES RUSSELL 1000 VALUE (IWD)	Sell	1,500	7/7/2010	55.09	82,633.85	9/14/2010	58.77	88,161.65	5,527.80	6.69	Short-term
ISHARES RUSSELL 1000 VALUE (IWD)	Sell	500	7/9/2010	55.98	27,991.95	10/7/2010	60.08	30,041.04	2,049.09	7.32	Short-term
ISHARES RUSSELL 1000 VALUE (IWD)	Sell	500	7/13/2010	57.29	28,646.95	10/7/2010	60.08	30,041.04	1,394.09	4.87	Short-term
ISHARES RUSSELL 1000 VALUE (IWD)	Sell	1,000	7/15/2010	56.63	56,632.41	11/17/2010	60.79	60,789.97	4,157.56	7.34	Short-term
ISHARES RUSSELL 1000 GROWTH (IWF)	Sell	500	7/7/2010	46.48	23,239.95	8/20/2010	47.48	23,737.64	497.69	2.14	Short-term
ISHARES RUSSELL 1000 GROWTH (IWF)	Sell	500	7/7/2010	46.48	23,239.94	9/15/2010	49.73	24,862.62	1,622.68	6.98	Short-term
ISHARES RUSSELL 1000 GROWTH (IWF)	Sell	1,000	7/7/2010	46.69	46,693.85	10/7/2010	51.95	51,952.22	5,258.37	11.26	Short-term
ISHARES RUSSELL 1000 GROWTH (IWF)	Sell	500	7/9/2010	47.47	23,736.95	10/7/2010	51.94	25,971.11	2,234.16	9.41	Short-term
ISHARES RUSSELL 1000 GROWTH (IWF)	Sell	500	7/13/2010	48.37	24,183.45	10/7/2010	51.94	25,971.11	1,787.66	7.39	Short-term
ISHARES RUSSELL 1000 GROWTH (IWF)	Sell	500	7/13/2010	48.37	24,183.45	11/17/2010	53.77	26,884.54	2,701.09	11.17	Short-term
ISHARES RUSSELL 2000 INDEX (IWM)	Sell	500	7/7/2010	60.28	30,139.94	8/20/2010	60.26	30,127.54	(12.40)	(0.04)	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
ISHARES RUSSELL 2000 INDEX (IWM)	Wash Sale Adj	500	7/21/2010		(12.40)	8/20/2010			12.40		Short-term
ISHARES RUSSELL 2000 INDEX (IWM)	Sell	500	7/7/2010	60.60	30,301.00	9/15/2010	64.41	32,202.50	1,901.50	6.28	Short-term
ISHARES RUSSELL 2000 INDEX (IWM)	Sell	500	7/7/2010	60.59	30,295.00	10/7/2010	68.60	34,300.97	4,005.97	13.22	Short-term
ISHARES RUSSELL 2000 INDEX (IWM)	Sell	500	7/9/2010	62.18	31,091.95	10/7/2010	68.60	34,300.97	3,209.02	10.32	Short-term
ISHARES RUSSELL 2000 INDEX (IWM)	Sell	500	7/12/2010	62.78	31,392.00	11/15/2010	72.40	36,202.38	4,810.38	15.32	Short-term
ISHARES RUSSELL 2000 INDEX (IWM)	Sell	500	7/13/2010	63.28	31,641.95	11/17/2010	70.86	35,430.95	3,789.00	11.97	Short-term
ISHARES RUSSELL 2000 INDEX (IWM)	Sell	500	7/15/2010	62.90	31,448.15	11/17/2010	70.86	35,430.95	3,982.80	12.66	Short-term
ISHS RUSSELL MIDCAP GROWTH (IWP)	Sell	500	7/7/2010	44.09	22,044.95	8/20/2010	45.26	22,627.66	582.71	2.64	Short-term
ISHS RUSSELL MIDCAP GROWTH (IWP)	Sell	500	7/7/2010	44.09	22,044.94	10/4/2010	49.74	24,867.62	2,822.68	12.80	Short-term
ISHS RUSSELL MIDCAP GROWTH (IWP)	Sell	500	7/7/2010	44.35	22,176.95	11/17/2010	51.08	26,039.55	3,862.60	17.42	Short-term
ISHS RUSSELL MIDCAP VALUE (IWS)	Sell	500	7/7/2010	36.74	18,369.95	8/20/2010	37.43	18,712.73	342.78	1.87	Short-term
ISHS RUSSELL MIDCAP VALUE (IWS)	Sell	500	7/7/2010	36.74	18,369.94	11/17/2010	41.74	20,869.64	2,499.70	13.61	Short-term
JETBLUE AIRWAYS CORP (JBLU)	Sell	2,000	2/1/2010	5.00	10,002.00	3/17/2010	5.52	11,049.86	1,047.86	10.48	Short-term
JETBLUE AIRWAYS CORP (JBLU)	Sell	3,000	2/1/2010	5.00	15,003.00	3/17/2010	5.53	16,590.08	1,587.08	10.58	Short-term
JETBLUE AIRWAYS CORP (JBLU)	Sell	5,000	2/1/2010	5.00	25,004.99	3/23/2010	5.50	27,494.66	2,489.67	9.96	Short-term
JETBLUE AIRWAYS CORP (JBLU)	Sell	5,000	3/11/2010	5.27	26,359.49	3/23/2010	5.50	27,494.65	1,135.16	4.31	Short-term
JETBLUE AIRWAYS CORP (JBLU)	Sell	2,000	11/9/2010	7.17	14,346.80	11/26/2010	6.97	13,936.96	(409.84)	(2.86)	Short-term
JETBLUE AIRWAYS CORP (JBLU)	Sell	3,000	11/18/2010	6.73	20,197.00	11/26/2010	6.97	20,905.45	708.45	3.51	Short-term
JETBLUE AIRWAYS CORP (JBLU)	Wash Sale Adj	2,000	11/19/2010		(409.84)	11/26/2010			409.84		Short-term
JOHNSON & JOHNSON (JNJ)	Sell	1,000	7/13/2010	60.60	60,597.00	8/30/2010	57.72	57,722.02	(2,874.98)	(4.74)	Short-term
JOHNSON & JOHNSON (JNJ)	Wash Sale Adj	1,000	8/30/2010		(2,874.98)	8/30/2010			2,874.98		Short-term
JOHNSON & JOHNSON (JNJ)	Sell	1,000	7/13/2010	60.78	60,776.90	9/23/2010	61.70	61,702.05	925.15	1.52	Short-term
JOHNSON & JOHNSON (JNJ)	Sell	1,000	7/27/2010	57.95	57,950.00	10/19/2010	63.04	63,042.03	5,092.03	8.79	Short-term
JOHNSON & JOHNSON (JNJ)	Sell	1,000	8/30/2010	60.30	60,301.88	10/19/2010	62.95	62,952.93	2,651.05	4.40	Short-term
JP MORGAN CHASE & CO (JPM)	Sell	5,000	10/22/2010	37.62	188,106.50	11/5/2010	40.82	204,090.05	15,983.55	8.50	Short-term
JP MORGAN CHASE & CO (JPM)	Sell	5,000	11/29/2010	37.42	187,107.00	11/29/2010	37.67	188,340.31	1,233.31	0.66	Short-term
JP MORGAN CHASE & CO (JPM)	Sell	4,000	11/30/2010	37.50	150,005.60	12/1/2010	37.79	151,150.44	1,144.84	0.76	Short-term
JP MORGAN CHASE & CO (JPM)	Sell	1,000	11/30/2010	37.50	37,501.40	12/3/2010	39.53	39,532.33	2,030.93	5.42	Short-term
CHINA FINANCE ONLINE CO LTD (JUC)	Sell	1,000	1/13/2010	8.54	8,539.99	1/14/2010	8.52	8,519.90	(20.09)	(0.24)	Short-term
CHINA FINANCE ONLINE CO LTD (JUC)	Sell	2,000	11/29/2010	7.93	15,851.03	12/17/2010	7.11	14,214.75	(1,636.28)	(10.32)	Short-term
LORILLARD INC (LO)	Sell	1,500	12/6/2010	81.55	122,332.00	12/10/2010	82.33	123,487.66	1,155.66	0.94	Short-term
LORILLARD INC (LO)	Sell	500	12/7/2010	82.36	41,181.95	12/10/2010	82.33	41,162.55	(19.40)	(0.05)	Short-term
LAPOLLA INDUSTRIES INC (LPAD)	Sell	200	9/22/2009	0.32	64.67	2/16/2010	0.49	98.00	33.33	51.54	Short-term
LEUCADIA NATL CORP (LUK)	Sell	1,000	7/13/2010	20.79	20,786.90	9/2/2010	22.30	22,302.62	1,515.72	7.29	Short-term
LEUCADIA NATL CORP (LUK)	Sell	1,000	7/28/2010	22.06	22,060.00	9/9/2010	23.29	23,292.60	1,232.60	5.59	Short-term
LEUCADIA NATL CORP (LUK)	Sell	843	8/4/2010	22.70	19,133.53	9/24/2010	23.22	19,575.55	442.02	2.31	Short-term
LEUCADIA NATL CORP (LUK)	Sell	1,157	8/4/2010	22.70	26,258.95	9/24/2010	23.22	26,865.08	606.13	2.31	Short-term
MCDONALDS CORP (MCD)	Sell	1,000	7/13/2010	70.45	70,447.00	8/11/2010	72.06	72,061.78	1,614.78	2.29	Short-term
MEDIA GEN INC CL A (MEG)	Sell	2,000	9/3/2010	8.61	17,213.00	9/17/2010	9.43	18,852.68	1,639.68	9.53	Short-term
MARKET VECTORS AGRIBUSINESS (MOO)	Sell	1,000	7/26/2010	41.02	41,019.00	7/30/2010	41.53	41,529.39	510.39	1.24	Short-term
MARKET VECTORS AGRIBUSINESS (MOO)	Sell	1,000	7/27/2010	41.15	41,150.00	8/5/2010	43.40	43,402.36	2,252.36	5.47	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
MICROSOFT CORP (MSFT)	Sell	2,000	9/13/2010	24.20	48,402.80	9/13/2010	24.38	48,752.17	349.37	0.72	Short-term
MICROSOFT CORP (MSFT)	Sell	3,000	9/13/2010	24.20	72,604.20	9/20/2010	25.29	75,861.71	3,257.51	4.49	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	10/7/2010	24.61	123,057.00	10/15/2010	25.34	126,691.35	3,634.35	2.95	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	10/7/2010	24.63	123,157.00	10/18/2010	25.58	127,890.83	4,733.83	3.84	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	10/7/2010	24.55	122,756.60	10/26/2010	25.31	126,541.36	3,784.76	3.08	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	10/7/2010	24.55	122,763.50	10/26/2010	25.41	127,040.85	4,277.35	3.48	Short-term
MICROSOFT CORP (MSFT)	Sell	3,000	10/11/2010	24.69	74,075.25	10/26/2010	25.63	76,881.70	2,806.45	3.79	Short-term
MICROSOFT CORP (MSFT)	Sell	1,000	10/11/2010	24.69	24,691.75	10/27/2010	25.98	25,976.16	1,284.41	5.20	Short-term
MICROSOFT CORP (MSFT)	Sell	1,000	10/12/2010	24.74	24,737.00	10/27/2010	25.98	25,976.16	1,239.16	5.01	Short-term
MICROSOFT CORP (MSFT)	Sell	2,000	10/15/2010	25.24	50,482.80	10/29/2010	27.14	54,272.08	3,789.28	7.51	Short-term
MICROSOFT CORP (MSFT)	Sell	3,000	10/15/2010	25.24	75,724.20	11/1/2010	26.78	80,334.44	4,610.24	6.09	Short-term
MICROSOFT CORP (MSFT)	Sell	2,000	10/19/2010	25.26	50,522.80	11/1/2010	26.78	53,556.29	3,033.49	6.00	Short-term
MICROSOFT CORP (MSFT)	Sell	3,000	10/19/2010	25.26	75,784.20	11/8/2010	26.82	80,456.54	4,672.34	6.17	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	10/26/2010	25.11	125,557.00	11/8/2010	26.82	134,094.23	8,537.23	6.80	Short-term
MICROSOFT CORP (MSFT)	Sell	2,000	10/29/2010	26.83	53,667.00	11/8/2010	26.82	53,637.69	(29.31)	(0.05)	Short-term
MICROSOFT CORP (MSFT)	Wash Sale Adj	2,000	11/9/2010		(29.31)	11/8/2010		29.31			Short-term
MICROSOFT CORP (MSFT)	Sell	9,000	11/9/2010	27.00	243,010.22	11/10/2010	27.03	243,258.88	248.66	0.10	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	11/9/2010	26.91	134,538.30	11/10/2010	27.02	135,090.71	552.41	0.41	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	11/9/2010	26.86	134,307.00	11/11/2010	26.60	132,991.25	(1,315.75)	(0.98)	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	11/10/2010	27.13	135,671.25	11/11/2010	26.59	132,940.75	(2,730.50)	(2.01)	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	11/11/2010	27.18	135,887.50	11/11/2010	26.64	133,190.74	(2,696.76)	(1.98)	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	11/11/2010	26.96	134,803.66	11/11/2010	26.69	133,440.74	(1,362.92)	(1.01)	Short-term
MICROSOFT CORP (MSFT)	Wash Sale Adj	5,000	11/10/2010		(1,315.75)	11/11/2010		1,315.75			Short-term
MICROSOFT CORP (MSFT)	Wash Sale Adj	5,000	11/11/2010		(2,730.50)	11/11/2010		2,730.50			Short-term
MICROSOFT CORP (MSFT)	Wash Sale Adj	5,000	11/11/2010		(2,696.76)	11/11/2010		2,696.76			Short-term
MICROSOFT CORP (MSFT)	Wash Sale Adj	5,000	11/11/2010		(1,362.92)	11/11/2010		1,362.92			Short-term
MICROSOFT CORP (MSFT)	Sell	10,000	11/11/2010	26.62	266,176.42	11/15/2010	26.35	263,488.54	(2,687.88)	(1.01)	Short-term
MICROSOFT CORP (MSFT)	Wash Sale Adj	10,000	11/12/2010		(2,687.88)	11/15/2010		2,687.88			Short-term
MICROSOFT CORP (MSFT)	Sell	10,000	11/12/2010	26.67	266,701.38	11/18/2010	25.96	259,588.61	(7,112.77)	(2.67)	Short-term
MICROSOFT CORP (MSFT)	Wash Sale Adj	10,000	11/15/2010		(7,112.77)	11/18/2010		7,112.77			Short-term
MICROSOFT CORP (MSFT)	Sell	10,000	11/15/2010	26.97	269,719.77	11/19/2010	25.71	257,088.65	(12,631.12)	(4.68)	Short-term
MICROSOFT CORP (MSFT)	Wash Sale Adj	10,000	11/16/2010		(12,631.12)	11/19/2010		12,631.12			Short-term
MICROSOFT CORP (MSFT)	Sell	10,000	11/16/2010	27.10	270,993.62	11/24/2010	25.44	254,391.03	(16,602.59)	(6.13)	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	11/17/2010	25.75	128,753.50	11/24/2010	25.44	127,195.52	(1,557.98)	(1.21)	Short-term
MICROSOFT CORP (MSFT)	Wash Sale Adj	5,000	11/17/2010		(8,819.15)	11/24/2010		8,819.15			Short-term
MICROSOFT CORP (MSFT)	Wash Sale Adj	10,000	11/19/2010		(9,341.42)	11/24/2010		9,341.42			Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	11/17/2010	27.51	137,572.65	12/1/2010	26.14	130,691.29	(6,881.36)	(5.00)	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	11/19/2010	27.33	136,638.37	12/3/2010	26.93	134,645.72	(1,992.65)	(1.46)	Short-term
MICROSOFT CORP (MSFT)	Sell	5,000	11/19/2010	26.08	130,381.09	12/13/2010	27.29	136,441.19	6,060.10	4.65	Short-term
MECHEL STL GRP AOOSP ADR (MTL)	Sell	1,000	7/2/2010	17.93	17,929.89	7/6/2010	18.43	18,429.69	499.80	2.79	Short-term
MECHEL STL GRP AOOSP ADR (MTL)	Sell	1,000	7/2/2010	17.98	17,977.99	7/7/2010	18.43	18,429.69	451.70	2.51	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
MECHEL STL GRP OOSP ADR (MTL)	Sell	500	7/6/2010	17 87	8,935 00	7/8/2010	18 81	9,402 89	467 89	5 24	Short-term
MECHEL STL GRP OOSP ADR (MTL)	Sell	500	7/6/2010	17 87	8,934 99	7/8/2010	18 81	9,404 84	469 85	5 26	Short-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	23	5/28/2009	27 00	621 06	5/27/2010	8 31	191 02	(430 04)	(69 24)	Long-term
MEXICO EQUITY & INCOME FD (MXE)	Wash Sale Adj	23	5/18/2010		(430 04)	5/27/2010			430 04		Long-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	500	5/28/2009	28 95	14,475 77	5/28/2010	8 63	4,314 93	(10,160 84)	(70 19)	Long-term
MEXICO EQUITY & INCOME FD (MXE)	Wash Sale Adj	500	5/18/2010		(10,160 84)	5/28/2010			10,160 84		Long-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	100	5/28/2009	29 36	2,935 84	6/2/2010	8 64	863 99	(2,071 85)	(70 57)	Long-term
MEXICO EQUITY & INCOME FD (MXE)	Wash Sale Adj	100	5/18/2010		(2,071 85)	6/2/2010			2,071 85		Long-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	877	5/28/2009	17 44	15,298 90	6/11/2010	8 73	7,654 86	(7,644 04)	(49 96)	Long-term
MEXICO EQUITY & INCOME FD (MXE)	Wash Sale Adj	377	5/18/2010		(9,213 32)	6/11/2010			9,213 32		Long-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	1,000	5/28/2009	5 58	5,579 94	6/14/2010	8 81	8,809 86	3,229 92	57 88	Long-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	500	5/28/2009	5 57	2,784 99	6/15/2010	9 01	4,504 93	1,719 94	61 76	Long-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	500	5/18/2010	29 07	14,534 12	6/15/2010	9 01	4,504 92	(10,029 20)	(69 00)	Long-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	500	5/18/2010	32 36	16,181 92	6/15/2010	9 04	4,519 98	(11,661 94)	(72 07)	Long-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	100	11/11/2010	11 15	1,114 70	12/3/2010	11 19	1,119 08	4 38	0 39	Short-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	100	11/11/2010	11 15	1,114 70	12/3/2010	11 24	1,123 98	9 28	0 83	Short-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	100	11/11/2010	11 15	1,114 70	12/3/2010	11 22	1,121 98	7 28	0 65	Short-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	100	11/11/2010	11 15	1,114 70	12/3/2010	11 20	1,119 98	5 28	0 47	Short-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	100	11/11/2010	11 15	1,114 70	12/3/2010	11 21	1,120 98	6 28	0 56	Short-term
MEXICO EQUITY & INCOME FD (MXE)	Sell	500	11/11/2010	11 15	5,573 50	12/3/2010	11 16	5,579 90	6 40	0 11	Short-term
NOVAGOLD RES INC (NG)	Sell	1,900	7/7/2010	6 10	11,599 49	7/8/2010	6 37	12,095 98	496 49	4 28	Short-term
NOVAGOLD RES INC (NG)	Sell	100	7/7/2010	6 11	610 50	7/9/2010	6 49	648 99	38 49	6 30	Short-term
PETROLEO BRASILEIRO SA ADR (PBR A)	Sell	1,000	5/7/2010	32 38	32,379 99	5/11/2010	33 95	33,949 43	1,569 44	4 85	Short-term
PETROLEO BRASILEIRO SA ADR (PBR A)	Sell	100	5/7/2010	32 78	3,277 80	6/14/2010	33 04	3,303 95	26 15	0 80	Short-term
PETROLEO BRASILEIRO SA ADR (PBR A)	Sell	900	5/7/2010	32 78	29,500 19	11/11/2010	31 79	28,614 11	(886 08)	(3 00)	Short-term
PETROLEO BRASILEIRO SA ADR (PBR A)	Sell	100	5/11/2010	33 33	3,333 43	11/11/2010	31 79	3,179 35	(154 08)	(4 62)	Short-term
PETROLEO BRASILEIRO SA ADR (PBR A)	Sell	900	5/11/2010	33 33	29,995 54	11/11/2010	31 80	28,622 30	(1,373 24)	(4 58)	Short-term
PETROLEO BRASILEIRO SA ADR (PBR A)	Sell	100	6/30/2010	30 01	3,000 99	11/11/2010	31 80	3,180 26	179 27	5 97	Short-term
PETROLEO BRASILEIRO SA ADR (PBR A)	Sell	1,000	10/19/2010	32 00	31,997 16	11/11/2010	31 78	31,782 56	(214 60)	(0 67)	Short-term
PETROLEO BRASILEIRO SA ADR (PBR A)	Wash Sale Adj	1,000	10/19/2010		(1,040 16)	11/11/2010			1,040 16		Short-term
METROPICS COMMUNICATIONS INC (PCS)	Sell	1,000	10/21/2009	6 65	6,650 63	1/4/2010	8 09	8,089 90	1,439 27	21 64	Short-term
METROPICS COMMUNICATIONS INC (PCS)	Sell	1,000	12/9/2009	8 69	8,690 45	1/5/2010	7 96	7,957 30	(733 15)	(8 44)	Short-term
METROPICS COMMUNICATIONS INC (PCS)	Sell	1,000	12/18/2009	8 75	8,751 29	1/5/2010	7 96	7,957 30	(793 99)	(9 07)	Short-term
METROPICS COMMUNICATIONS INC (PCS)	Sell	2,000	12/21/2009	8 78	17,564 79	1/5/2010	7 96	15,914 59	(1,650 20)	(9 39)	Short-term
METROPICS COMMUNICATIONS INC (PCS)	Wash Sale Adj	2,000	1/8/2010		(1,527 14)	1/5/2010			1,527 14		Short-term
METROPICS COMMUNICATIONS INC (PCS)	Wash Sale Adj	1,000	1/12/2010		(780 11)	1/5/2010			780 11		Short-term
METROPICS COMMUNICATIONS INC (PCS)	Wash Sale Adj	1,000	1/13/2010		(870 09)	1/5/2010			870 09		Short-term
METROPICS COMMUNICATIONS INC (PCS)	Sell	1,000	1/8/2010	8 05	8,050 14	1/11/2010	7 29	7,290 02	(760 12)	(9 44)	Short-term
METROPICS COMMUNICATIONS INC (PCS)	Wash Sale Adj	1,000	1/13/2010		(760 12)	1/11/2010			760 12		Short-term
METROPICS COMMUNICATIONS INC (PCS)	Sell	1,000	1/8/2010	8 19	8,194 15	3/12/2010	6 76	6,759 92	(1,434 23)	(17 50)	Short-term
METROPICS COMMUNICATIONS INC (PCS)	Sell	1,000	1/8/2010	7 73	7,734 30	3/31/2010	7 06	7,059 99	(674 31)	(8 72)	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
METROPCS COMMUNICATIONS INC (PCS)	Sell	1,000	1/8/2010	7.80	7,795.14	4/1/2010	7.13	7,129.88	(665.26)	(8.53)	Short-term
METROPCS COMMUNICATIONS INC (PCS)	Sell	1,000	1/8/2010	7.77	7,768.56	4/8/2010	7.18	7,179.88	(588.68)	(7.58)	Short-term
METROPCS COMMUNICATIONS INC (PCS)	Sell	1,000	1/8/2010	8.95	8,945.93	4/13/2010	7.54	7,359.98	(1,405.95)	(15.72)	Short-term
METROPCS COMMUNICATIONS INC (PCS)	Sell	2,000	1/8/2010	9.46	18,927.67	4/20/2010	7.46	14,915.75	(4,011.92)	(21.20)	Short-term
METROPCS COMMUNICATIONS INC (PCS)	Sell	1,000	1/12/2010	7.30	7,300.00	4/20/2010	7.46	7,457.87	157.87	2.16	Short-term
METROPCS COMMUNICATIONS INC (PCS)	Sell	2,000	1/13/2010	7.21	14,420.00	4/20/2010	7.46	14,915.75	495.75	3.44	Short-term
Pfizer INC (PFE)	Sell	3,000	4/29/2010	16.69	50,059.98	5/6/2010	17.18	51,529.13	1,469.15	2.93	Short-term
Pfizer INC (PFE)	Sell	1,000	5/6/2010	17.04	17,043.23	5/11/2010	16.98	16,979.72	(63.51)	(0.37)	Short-term
Pfizer INC (PFE)	Sell	2,000	5/6/2010	17.04	34,086.46	5/11/2010	17.04	34,089.63	3.17	0.01	Short-term
Pfizer INC (PFE)	Wash Sale Adj	1,000	5/13/2010		(63.51)	5/11/2010			63.51		Short-term
Pfizer INC (PFE)	Sell	800	5/13/2010	16.88	13,501.47	9/9/2010	16.76	13,409.57	(91.90)	(0.68)	Short-term
Pfizer INC (PFE)	Sell	1,200	5/13/2010	16.82	20,188.70	9/9/2010	16.77	20,123.77	(64.93)	(0.32)	Short-term
Pfizer INC (PFE)	Sell	1,000	5/13/2010	16.81	16,813.33	9/21/2010	17.25	17,247.37	434.04	2.58	Short-term
Pfizer INC (PFE)	Sell	2,000	5/18/2010	16.14	32,289.99	9/21/2010	17.25	34,494.75	2,204.76	6.83	Short-term
QIAGEN N V (QGEN)	Sell	500	4/29/2010	22.46	11,230.00	10/4/2010	17.55	8,772.90	(2,457.10)	(21.88)	Short-term
QIAGEN N V (QGEN)	Sell	500	4/29/2010	22.46	11,229.99	10/4/2010	17.51	8,752.95	(2,477.04)	(22.06)	Short-term
QIAGEN N V (QGEN)	Sell	1,000	7/23/2010	19.58	19,577.00	10/4/2010	17.43	17,432.80	(2,144.20)	(10.95)	Short-term
POWERSHARES QQQ NASDAQ 100 (QQQQ)	Sell	2,000	7/7/2010	43.53	87,066.69	7/9/2010	44.48	88,953.83	1,887.14	2.17	Short-term
POWERSHARES QQQ NASDAQ 100 (QQQQ)	Sell	1,000	7/8/2010	44.00	43,997.00	7/9/2010	44.48	44,476.91	479.91	1.09	Short-term
POWERSHARES QQQ NASDAQ 100 (QQQQ)	Sell	1,000	7/9/2010	44.33	44,333.30	7/14/2010	45.74	45,742.32	1,409.02	3.18	Short-term
POWERSHARES QQQ NASDAQ 100 (QQQQ)	Sell	2,000	7/9/2010	44.34	88,685.40	8/13/2010	44.81	89,613.81	928.41	1.05	Short-term
POWERSHARES QQQ NASDAQ 100 (QQQQ)	Sell	1,000	7/13/2010	45.14	45,143.50	8/13/2010	44.81	44,806.91	(336.59)	(0.75)	Short-term
POWERSHARES QQQ NASDAQ 100 (QQQQ)	Wash Sale Adj	1,000	7/14/2010		(336.59)	8/13/2010			336.59		Short-term
RACKSPACE HOSTING INC (RAX)	Sell	1,100	8/4/2010	19.55	21,507.89	8/5/2010	19.58	21,541.74	33.85	0.16	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	500	4/5/2010	68.89	34,444.94	4/9/2010	70.15	35,074.41	629.47	1.83	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	500	4/6/2010	69.27	34,636.97	4/9/2010	70.15	35,074.41	437.44	1.26	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	900	4/9/2010	69.76	62,783.90	4/12/2010	70.37	63,331.02	547.12	0.87	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	100	4/9/2010	69.76	6,975.99	4/12/2010	70.90	7,089.90	113.91	1.63	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	900	4/19/2010	70.72	63,646.99	4/20/2010	71.47	64,320.92	673.93	1.06	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	100	4/19/2010	70.71	7,071.00	4/20/2010	71.55	7,154.64	83.64	1.18	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	700	4/20/2010	71.09	49,763.77	4/20/2010	71.55	50,082.48	318.71	0.64	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	200	4/20/2010	71.09	14,218.22	4/21/2010	72.59	14,517.76	299.54	2.11	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	500	4/26/2010	70.40	35,200.00	4/27/2010	72.23	36,114.39	914.39	2.60	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	1,000	4/26/2010	69.73	69,729.93	4/27/2010	73.84	73,838.86	4,108.93	5.89	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	500	4/27/2010	71.97	35,984.84	5/10/2010	67.31	33,654.49	(2,330.35)	(6.48)	Short-term
RESEARCH IN MOTION LTD (RIMM)	Wash Sale Adj	500	5/10/2010		(2,330.35)	5/10/2010			2,330.35		Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	600	5/10/2010	70.54	42,324.28	5/11/2010	67.69	40,615.32	(1,708.96)	(4.04)	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	2,000	8/5/2010	52.88	105,768.00	8/9/2010	54.46	108,911.15	3,143.15	2.97	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	2,000	9/8/2010	45.17	90,333.80	9/27/2010	48.40	96,791.56	6,457.76	7.15	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	1,000	10/19/2010	47.12	47,116.90	10/20/2010	49.35	49,352.36	2,235.46	4.74	Short-term
RESEARCH IN MOTION LTD (RIMM)	Sell	900	10/22/2010	48.93	44,034.21	10/25/2010	49.97	44,974.32	940.11	2.13	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
RESEARCH IN MOTION LTD (RIMM)	Sell	100	10/22/2010	48 93	4,892 69	10/26/2010	53 37	5,336 91	444 22	9 08	Short-term
SANDISK CORP (SNDK)	Sell	1,000	9/27/2010	35 84	35,836 90	9/29/2010	36 14	36,135 89	298 99	0 83	Short-term
SANDISK CORP (SNDK)	Sell	1,000	9/28/2010	35 85	35,847 00	9/29/2010	36 14	36,135 88	288 88	0 81	Short-term
SASOL LTD SPONS ADR (SSL)	Sell	1,000	4/29/2010	40 45	40,449 99	9/3/2010	39 59	39,592 43	(857 56)	(2 12)	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	700	7/16/2009	14 25	9,975 16	2/16/2010	13 74	9,614 88	(360 28)	(3 61)	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	300	7/16/2009	14 25	4,275 00	2/16/2010	13 75	4,124 98	(150 02)	(3 51)	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	500	8/20/2009	13 12	6,559 95	2/16/2010	13 75	6,874 96	315 01	4 80	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	500	8/20/2009	13 12	6,559 94	2/16/2010	13 69	6,844 97	285 03	4 35	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	500	12/23/2009	14 03	7,014 94	2/16/2010	13 69	6,844 96	(169 98)	(2 42)	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Wash Sale Adj	700	2/25/2010		(360 28)	2/16/2010			360 28		Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Wash Sale Adj	300	2/25/2010		(150 02)	2/16/2010			150 02		Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Wash Sale Adj	500	2/25/2010		(169 98)	2/16/2010			169 98		Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	900	2/25/2010	14 05	12,641 90	2/26/2010	14 31	12,879 84	237 94	1 88	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	100	2/25/2010	14 03	1,403 00	2/26/2010	14 31	1,430 98	27 98	1 99	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	648	2/25/2010	13 79	8,937 36	2/26/2010	14 18	9,191 55	254 19	2 84	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	352	2/25/2010	13 53	4,762 52	2/26/2010	14 18	4,991 29	228 77	4 80	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	1,000	10/26/2010	12 30	12,303 50	10/27/2010	11 73	11,732 80	(570 70)	(4 64)	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	1,000	10/26/2010	12 30	12,303 50	10/27/2010	11 59	11,593 80	(709 70)	(5 77)	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Wash Sale Adj	1,000	10/26/2010		(570 70)	10/27/2010			570 70		Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Wash Sale Adj	1,000	10/26/2010		(709 70)	10/27/2010			709 70		Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	1,000	10/26/2010	12 81	12,814 98	10/28/2010	12 82	12,822 88	7 90	0 06	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	1,000	10/26/2010	12 67	12,672 38	10/29/2010	12 24	12,242 89	(429 49)	(3 39)	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Wash Sale Adj	600	10/26/2010		(426 65)	10/29/2010			426 65		Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Wash Sale Adj	400	10/28/2010		(2 84)	10/29/2010			2 84		Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	2,000	10/26/2010	12 61	25,210 53	11/30/2010	12 62	25,232 57	22 04	0 09	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Wash Sale Adj	1,000	11/3/2010		(344 25)	11/30/2010			344 25		Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	1,000	10/28/2010	12 57	12,569 84	12/10/2010	11 98	11,977 46	(592 38)	(4 71)	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Sell	2,000	11/3/2010	12 49	24,971 18	12/10/2010	11 98	23,954 93	(1,016 25)	(4 07)	Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Wash Sale Adj	2,000	11/30/2010		(1,274 54)	12/10/2010			1,274 54		Short-term
SAVIENT PHARMACEUTICALS, IN (SVNT)	Wash Sale Adj	1,000	12/3/2010		(334 09)	12/10/2010			334 09		Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	2,000	9/29/2010	30 90	61,804 67	9/30/2010	31 48	62,951 93	1,147 26	1 86	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	1,000	9/29/2010	30 90	30,902 33	10/1/2010	31 77	31,765 96	863 63	2 79	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	1,000	9/30/2010	31 26	31,263 50	10/1/2010	31 77	31,765 96	502 46	1 61	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	1,000	9/30/2010	31 26	31,263 50	10/4/2010	31 62	31,615 97	352 47	1 13	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	1,000	10/1/2010	31 42	31,424 67	10/4/2010	31 62	31,615 96	191 29	0 61	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	500	10/1/2010	31 42	15,712 33	10/7/2010	31 36	15,678 57	(33 76)	(0 21)	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	2,500	10/6/2010	31 24	78,094 28	10/7/2010	31 36	78,392 84	298 56	0 38	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Wash Sale Adj	1,000	10/6/2010		(41 94)	10/7/2010			41 94		Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Wash Sale Adj	500	10/15/2010		(8 19)	10/7/2010			8 19		Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Wash Sale Adj	600	10/29/2010		(9 81)	10/7/2010			9 81		Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Wash Sale Adj	400	11/4/2010		(6 55)	10/7/2010			6 55		Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	1,000	10/6/2010	30.74	30,736.51	10/12/2010	31.93	31,932.46	1,195.95	3.89	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	500	10/15/2010	34.02	17,010.14	10/27/2010	34.74	17,367.80	357.66	2.10	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	500	10/29/2010	34.20	17,099.01	11/3/2010	34.60	17,297.75	198.74	1.16	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	100	10/29/2010	34.20	3,419.80	11/5/2010	35.01	3,501.17	81.37	2.38	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	800	11/4/2010	34.14	27,308.77	11/5/2010	35.01	28,009.38	700.61	2.57	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	100	11/4/2010	34.13	3,412.78	11/9/2010	35.08	3,508.04	95.26	2.79	Short-term
ULTRASHORT LEHMAN 20+ YEAR (TBT)	Sell	1,000	12/10/2010	38.17	38,166.90	12/16/2010	39.95	39,954.42	1,787.52	4.68	Short-term
TEVA PHARMACEUTICAL INDUSTR (TEVA)	Sell	500	12/1/2010	50.04	25,021.49	12/23/2010	52.71	26,355.05	1,333.56	5.33	Short-term
TRINA SOLAR LTD ADR (TSL)	Sell	200	10/18/2010	27.91	5,581.20	10/18/2010	28.56	5,712.90	131.70	2.36	Short-term
TRINA SOLAR LTD ADR (TSL)	Sell	800	10/18/2010	27.91	22,324.80	10/18/2010	28.58	22,863.61	538.81	2.41	Short-term
TRINA SOLAR LTD ADR (TSL)	Sell	1,000	10/18/2010	27.99	27,986.90	10/25/2010	27.16	27,163.54	(823.36)	(2.94)	Short-term
TRINA SOLAR LTD ADR (TSL)	Sell	1,000	10/18/2010	28.87	28,865.31	10/25/2010	27.48	27,476.04	(1,389.27)	(4.81)	Short-term
TRINA SOLAR LTD ADR (TSL)	Sell	1,000	10/22/2010	26.13	26,130.60	10/25/2010	27.48	27,476.03	1,345.43	5.15	Short-term
TRINA SOLAR LTD ADR (TSL)	Wash Sale Adj	1,000	10/18/2010		(823.36)	10/25/2010			823.36		Short-term
TRINA SOLAR LTD ADR (TSL)	Wash Sale Adj	1,000	10/29/2010		(1,389.27)	10/25/2010			1,389.27		Short-term
TRINA SOLAR LTD ADR (TSL)	Sell	1,000	10/29/2010	27.99	27,986.27	11/1/2010	27.49	27,492.53	(493.74)	(1.76)	Short-term
TRINA SOLAR LTD ADR (TSL)	Sell	300	11/1/2010	26.31	7,894.03	11/1/2010	26.34	7,900.89	6.86	0.09	Short-term
TRINA SOLAR LTD ADR (TSL)	Sell	700	11/1/2010	26.31	18,416.62	11/1/2010	26.34	18,437.68	21.06	0.11	Short-term
TRINA SOLAR LTD ADR (TSL)	Wash Sale Adj	1,000	11/1/2010		(493.74)	11/1/2010			493.74		Short-term
TRINA SOLAR LTD ADR (TSL)	Sell	200	11/1/2010	25.97	5,193.40	11/2/2010	26.69	5,337.40	144.00	2.77	Short-term
TRINA SOLAR LTD ADR (TSL)	Sell	700	11/1/2010	25.97	18,176.90	11/2/2010	26.72	18,703.75	526.85	2.90	Short-term
TRINA SOLAR LTD ADR (TSL)	Sell	100	11/1/2010	25.97	2,596.70	11/5/2010	28.40	2,839.95	243.25	9.37	Short-term
UNITED STATES NATURAL GAS F (UNG)	Sell	5,000	11/5/2010	5.67	28,357.00	11/17/2010	5.73	28,642.51	285.51	1.01	Short-term
UNITED STATES NATURAL GAS F (UNG)	Sell	10,000	12/17/2010	5.56	55,605.00	12/20/2010	5.79	57,894.02	2,289.02	4.12	Short-term
UNITED STATES OIL FUND LP (USO)	Sell	1,000	7/16/2009	33.14	33,139.69	1/14/2010	39.24	39,239.61	6,099.92	18.41	Short-term
VISA INC (V)	Sell	1,000	7/16/2010	71.90	71,897.00	7/22/2010	73.14	73,141.76	1,244.76	1.73	Short-term
VISA INC (V)	Sell	1,000	7/29/2010	71.58	71,579.90	7/30/2010	72.54	72,538.77	958.87	1.34	Short-term
VERINT SYSTEMS INC (VRNT)	Sell	100	7/14/2010	22.01	2,200.70	7/22/2010	21.00	2,099.97	(100.73)	(4.58)	Short-term
VERINT SYSTEMS INC (VRNT)	Sell	400	7/14/2010	22.01	8,802.80	7/22/2010	21.07	8,427.85	(374.95)	(4.26)	Short-term
VERINT SYSTEMS INC (VRNT)	Sell	500	7/14/2010	22.01	11,003.50	7/22/2010	21.02	10,511.32	(492.18)	(4.47)	Short-term
VERINT SYSTEMS INC (VRNT)	Wash Sale Adj	100	7/20/2010		(100.73)	7/22/2010			100.73		Short-term
VERINT SYSTEMS INC (VRNT)	Wash Sale Adj	400	7/20/2010		(374.95)	7/22/2010			374.95		Short-term
VERINT SYSTEMS INC (VRNT)	Wash Sale Adj	500	7/20/2010		(492.18)	7/22/2010			492.18		Short-term
VERINT SYSTEMS INC (VRNT)	Sell	971	7/20/2010	21.21	20,599.05	7/23/2010	21.34	20,723.20	124.15	0.60	Short-term
VERINT SYSTEMS INC (VRNT)	Sell	29	7/20/2010	21.23	615.71	7/23/2010	21.25	616.23	0.52	0.08	Short-term
VERINT SYSTEMS INC (VRNT)	Sell	1,000	7/20/2010	20.22	20,217.00	8/6/2010	23.64	23,644.60	3,427.60	16.95	Short-term
VERINT SYSTEMS INC (VRNT)	Sell	100	7/20/2010	20.34	2,033.70	8/6/2010	23.63	2,362.95	329.25	16.19	Short-term
VERINT SYSTEMS INC (VRNT)	Sell	900	7/20/2010	20.34	18,303.30	8/6/2010	23.69	21,320.63	3,017.33	16.49	Short-term
VERINT SYSTEMS INC (VRNT)	Sell	720	7/21/2010	20.35	14,649.84	8/18/2010	22.63	16,293.52	1,643.68	11.22	Short-term
VERINT SYSTEMS INC (VRNT)	Sell	280	7/21/2010	20.35	5,697.16	8/19/2010	22.61	6,332.09	634.93	11.14	Short-term
VERIZON COMMUNICATIONS INC (VZ)	Sell	1,000	2/19/2010	29.07	29,069.97	3/29/2010	30.44	30,439.72	1,369.75	4.71	Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
VERIZON COMMUNICATIONS INC (VZ)	Sell	1,000	2/19/2010	29 06	29,059 90	3/29/2010	30 40	30,399 72	1,339 82	4 61	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	419	10/25/2010	38 04	15,937 50	10/25/2010	38 88	16,291 82	354 32	2 22	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	481	10/25/2010	38 04	18,295 80	10/26/2010	38 88	18,703 58	407 78	2 23	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	10	10/25/2010	38 04	380 37	10/27/2010	42 55	425 49	45 12	11 86	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	90	10/25/2010	38 04	3,423 33	10/27/2010	42 98	3,868 13	444 80	12 99	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	70	10/29/2010	41 99	2,939 65	11/1/2010	43 74	3,061 74	122 09	4 15	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	430	10/29/2010	42 01	18,066 35	11/2/2010	11 98	5,149 59	(12,916 76)	(71 50)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	70	11/2/2010	7 01	490 98	11/2/2010	11 98	838 30	347 32	70 74	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	430	11/2/2010		(12,916 76)	11/2/2010			12,916 76		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	400	11/2/2010	37 05	14,820 93	11/3/2010	15 38	6,152 89	(8,668 04)	(58 49)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	160	11/2/2010	33 55	5,367 65	11/3/2010	14 71	2,352 96	(3,014 69)	(56 16)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	140	11/2/2010	32 77	4,587 13	11/3/2010	14 90	2,085 96	(2,501 17)	(54 53)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	270	11/2/2010		(5,849 73)	11/3/2010			5,849 73		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	130	11/3/2010		(2,818 31)	11/3/2010			2,818 31		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	160	11/3/2010		(3,014 69)	11/3/2010			3,014 69		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	140	11/3/2010		(2,501 17)	11/3/2010			2,501 17		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	1,000	11/3/2010	22 93	22,925 87	11/5/2010	12 74	12,742 78	(10,183 09)	(44 42)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	1,000	11/3/2010		(10,183 09)	11/5/2010			10,183 09		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	1,000	11/3/2010	23 64	23,640 63	11/8/2010	10 49	10,492 82	(13,147 81)	(55 62)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	1,000	11/3/2010		(13,147 81)	11/8/2010			13,147 81		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	1,000	11/3/2010	20 86	20,855 79	11/10/2010	12 23	12,232 79	(8,623 00)	(41 35)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	1,000	11/3/2010		(8,623 00)	11/10/2010			8,623 00		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	1,000	11/3/2010	23 03	23,034 98	11/15/2010	12 13	12,132 79	(10,902 19)	(47 33)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	500	11/3/2010	17 57	8,783 76	11/15/2010	12 45	6,222 89	(2,560 87)	(29 15)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	1,000	11/5/2010		(10,902 19)	11/15/2010			10,902 19		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	500	11/11/2010		(2,560 87)	11/15/2010			2,560 87		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	500	11/3/2010	28 98	14,489 04	11/17/2010	13 13	6,562 88	(7,926 16)	(54 70)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	500	11/12/2010		(7,926 16)	11/17/2010			7,926 16		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	1,000	11/5/2010	22 66	22,659 19	11/19/2010	13 03	13,032 77	(9,626 42)	(42 48)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	700	11/19/2010		(7,959 74)	11/19/2010			7,959 74		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	300	11/24/2010		(1,666 68)	11/19/2010			1,666 68		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	400	11/11/2010	16 75	6,700 97	11/30/2010	12 42	4,968 91	(1,732 06)	(25 85)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	400	12/1/2010		(1,732 06)	11/30/2010			1,732 06		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	100	11/11/2010	18 47	1,846 90	12/1/2010	12 43	1,242 81	(604 09)	(32 71)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	500	11/12/2010	27 47	13,733 16	12/1/2010	12 43	6,214 06	(7,519 10)	(54 75)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	100	12/1/2010		(604 09)	12/1/2010			604 09		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	500	12/3/2010		(7,519 10)	12/1/2010			7,519 10		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	200	11/19/2010	37 89	7,578 39	12/2/2010	12 40	2,480 95	(5,097 44)	(67 26)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	300	11/19/2010	18 87	5,660 22	12/2/2010	12 47	3,740 93	(1,919 29)	(33 91)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	200	12/3/2010		(5,097 44)	12/2/2010			5,097 44		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	300	12/3/2010		(1,919 29)	12/2/2010			1,919 29		Short-term

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	200	11/19/2010	18 34	3,667 13	12/3/2010	22 85	4,570 37	903 24	24 63	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	300	11/24/2010	18 30	5,489 68	12/3/2010	22 85	6,855 55	1,365 87	24 88	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	400	12/1/2010	16 30	6,521 66	12/3/2010	22 85	9,140 73	2,619 07	40 16	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	100	12/1/2010	18 01	1,801 49	12/10/2010	17 32	1,731 64	(69 85)	(3 88)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	200	12/3/2010	49 94	9,988 44	12/10/2010	17 32	3,463 27	(6,525 17)	(65 33)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	270	12/9/2010		(6,625 56)	12/10/2010			6,625 56		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Sell	200	12/3/2010	26 58	5,315 11	12/22/2010	21 43	4,286 92	(1,028 19)	(19 34)	Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	130	12/9/2010		(668 32)	12/22/2010			668 32		Short-term
WASHINGTON MUTUAL INC 7 750 (WAMPQ)	Wash Sale Adj	70	12/22/2010		(359 87)	12/22/2010			359 87		Short-term
WARNER CHILCOTT PLC CL A (WCRX)	Sell	1,000	7/22/2010	23 87	23,866 90	7/30/2010	25 73	25,729 66	1,862 76	7 80	Short-term
WARNER CHILCOTT PLC CL A (WCRX)	Sell	1,000	7/29/2010	24 29	24,290 00	8/17/2010	29 22	29,222 50	4,932 50	20 31	Short-term
WELLS FARGO & CO (WFC)	Sell	300	9/27/2010	25 57	7,670 10	10/6/2010	26 36	7,906 92	236 82	3 09	Short-term
WELLS FARGO & CO (WFC)	Sell	700	9/27/2010	25 57	17,896 90	10/6/2010	26 38	18,465 76	568 86	3 18	Short-term
WELLS FARGO & CO (WFC)	Sell	2,435	10/5/2010	25 57	62,261 72	10/6/2010	26 38	64,234 45	1,972 73	3 17	Short-term
WELLS FARGO & CO (WFC)	Sell	1,565	10/5/2010	25 64	40,132 08	10/6/2010	26 49	41,449 14	1,317 06	3 28	Short-term
WELLS FARGO & CO (WFC)	Sell	2,000	10/7/2010	26 21	52,423 50	10/18/2010	24 49	48,972 37	(3,451 13)	(6 58)	Short-term
WELLS FARGO & CO (WFC)	Wash Sale Adj	2,000	10/18/2010		(3,451 13)	10/18/2010			3,451 13		Short-term
WELLS FARGO & CO (WFC)	Sell	1,000	10/7/2010	26 21	26,211 75	11/9/2010	28 99	28,992 50	2,780 75	10 61	Short-term
WEATHERFORD INTERNATIONAL L (WFT)	Sell	2,000	10/28/2010	17 04	34,087 00	11/1/2010	16 95	33,892 42	(194 58)	(0 57)	Short-term
WAL-MART STORES INC (WMT)	Sell	1,000	7/13/2010	50 44	50,436 90	8/25/2010	51 71	51,712 22	1,275 32	2 53	Short-term
WABASH NATL CORP (WNC)	Sell	100	10/19/2010	8 04	804 35	12/20/2010	12 13	1,212 98	408 63	50 80	Short-term
WABASH NATL CORP (WNC)	Sell	1,900	10/19/2010	8 04	15,282 65	12/20/2010	12 17	23,122 61	7,839 96	51 30	Short-term
WABASH NATL CORP (WNC)	Sell	1,000	10/26/2010	8 11	8,107 00	12/20/2010	12 17	12,169 79	4,062 79	50 11	Short-term
EXXON MOBIL CORP (XOM)	Sell	500	12/23/2009	68 39	34,194 94	1/6/2010	69 66	34,829 11	634 17	1 85	Short-term
EXXON MOBIL CORP (XOM)	Sell	400	12/29/2009	69 34	27,737 95	1/6/2010	69 66	27,863 28	125 33	0 45	Short-term
EXXON MOBIL CORP (XOM)	Sell	100	12/31/2009	68 84	6,883 98	1/6/2010	69 66	6,965 82	81 84	1 19	Short-term
EXXON MOBIL CORP (XOM)	Sell	500	2/17/2010	66 15	33,074 94	4/13/2010	68 21	34,104 48	1,029 54	3 11	Short-term
EXXON MOBIL CORP (XOM)	Sell	500	2/19/2010	65 72	32,859 95	4/13/2010	68 23	34,114 48	1,254 53	3 82	Short-term
EXXON MOBIL CORP (XOM)	Sell	500	2/19/2010	65 72	32,859 94	4/20/2010	68 89	34,444 47	1,584 53	4 82	Short-term
EXXON MOBIL CORP (XOM)	Sell	500	3/11/2010	67 14	33,569 95	4/20/2010	68 89	34,444 47	874 52	2 61	Short-term
EXXON MOBIL CORP (XOM)	Sell	500	3/11/2010	67 14	33,569 94	4/20/2010	68 86	34,431 97	862 03	2 57	Short-term
EXXON MOBIL CORP (XOM)	Sell	1,000	3/12/2010	66 83	66,829 89	4/20/2010	68 86	68,863 94	2,034 05	3 04	Short-term
EXXON MOBIL CORP (XOM)	Sell	400	3/19/2010	66 73	26,693 95	4/20/2010	68 86	27,545 58	851 63	3 19	Short-term
EXXON MOBIL CORP (XOM)	Sell	100	3/23/2010	66 66	6,665 98	4/20/2010	68 86	6,886 39	220 41	3 31	Short-term
SPDR S&P OIL & GAS EXPLORAT (XOP)	Sell	1,500	7/13/2010	40 82	61,237 00	12/14/2010	50 98	76,463 70	15,226 70	24 87	Short-term
ZEP INC (ZEP)	Sell	3,000	10/12/2010	17 37	52,098 10	11/2/2010	18 44	55,314 86	3,216 76	6 17	Short-term
ZEP INC (ZEP)	Sell	1,000	10/15/2010	17 70	17,697 00	11/2/2010	18 44	18,438 29	741 29	4 19	Short-term
ZEP INC (ZEP)	Sell	600	10/21/2010	17 38	10,429 00	11/2/2010	18 44	11,062 97	633 97	6 08	Short-term
ZEP INC (ZEP)	Sell	400	10/29/2010	18 57	7,427 00	11/2/2010	18 44	7,375 32	(51 68)	(0 70)	Short-term
Total					19,334,665 61			19,856,176 81	521,511 20	2 70	

Segal Family Foundation

Realized Gains

Year Ended 12/31/2010

Account # 862-115664

Description	Trans type	Qty	Open date	Adj cost per share	Adj cost	Close date	Adj proceeds per share	Adj proceeds	Adj gain(\$)	Adj gain(%)	Term
ISHARES FTSE CHINA 25 INDE (FXI)	Sell	280	11/30/2010	43.34	12,136.32	12/21/2010	43.22	12,100.49	(35.83)	(0.30)	Short-term
ISHARES FTSE CHINA 25 INDE (FXI)	Sell	95	12/9/2010	43.23	4,107.31	12/21/2010	43.22	4,105.52	(1.79)	(0.04)	Short-term
ISHARES FTSE CHINA 25 INDE (FXI)	Wash Sale Adj	185	12/9/2010		(23.67)	12/21/2010			23.67		Short-term
MEDTRONIC INC (MDT)	Sell	180	11/30/2010	33.59	6,045.98	12/6/2010	33.80	6,084.11	38.13	0.63	Short-term
SILVER WHEATON CORP (SLW)	Sell	300	12/10/2010	38.53	11,559.97	12/23/2010	35.53	10,658.09	(901.88)	(7.80)	Short-term
SILVER WHEATON CORP (SLW)	Sell	100	12/15/2010	37.76	3,775.83	12/23/2010	35.53	3,552.70	(223.13)	(5.91)	Short-term
SILVER WHEATON CORP (SLW)	Wash Sale Adj	300	12/15/2010		(901.88)	12/23/2010			901.88		Short-term
SILVER WHEATON CORP (SLW)	Wash Sale Adj	100	1/6/2011		(223.13)	12/23/2010			223.13		Short-term
Total					36,476.73			36,500.91	24.18	0.07	

Terminated -Segal Fam Fndn GS: Eq and FI 028-27236-7 (Closed)
From 01-Jan-2010 To 31-Dec-2010

Realized Gains/Losses
Base Currency : USD

Gain Type	Gains	Losses	Total
Short-Term	35,418.32	-1,405.08	34,013.24
Long-Term	0.00	0.00	0.00
Total Realized Gains/Losses:	35,418.32	-1,405.08	34,013.24

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
TELELN1	BNP PARIBAS LNKD TO BSKT OF TELECOM STOCKS 0% COUPON DUE 05/04/2011 STRUCTURED NOTE	14-Jul-2010	08-Oct-2010	105	110,189.10	99,236.550	10,952.55	86	Short-Term
TPXELN14	BNP PARIBAS LNKD TO TOPIX 0% COUPON DUE 03/13/2012 STRUCTURED NOTE	03-Sep-2010	22-Nov-2010	100	104,205.00	100,690.000	3,515.00	80	Short-Term
TPXELN12	EKSPORTFINANS ASA LINKED TO TPX INDEX 0% COUPON DUE 10/26/2011 STRUCTURED NOTE	14-Jul-2010	22-Nov-2010	116	98,916.68	99,901.520	-984.84	131	Short-Term
AG43NR34	EKSPORTFINANS ASA LNK TO INR IDR VS USD NZD 0% COUPON DUE 4/18/2011 STRUCTURED NOTE	15-Jul-2010	22-Nov-2010	100,000	108,350.00	106,597.265	1,752.73	130	Short-Term
GSHIX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	30-Jul-2010	22-Nov-2010	23.566	171.09	167.320	3.77	115	Short-Term
GSHIX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	14-Sep-2010	22-Nov-2010	5,594.406	40,615.39	40,000.000	615.39	69	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	14-Jul-2010	22-Nov-2010	8,559 201	62,139 80	60,000 000	2,139 80	131	Short-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	31-Aug-2010	22-Nov-2010	58 234	422 78	410 550	12 23	83	Short-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	20-Sep-2010	22-Nov-2010	4,184 1	30,376 57	30,000 000	376 57	63	Short-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	22-Sep-2010	22-Nov-2010	2,789 4	20,251 04	20,000 000	251 04	61	Short-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	30-Sep-2010	22-Nov-2010	91 452	663 94	657 540	6 40	53	Short-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	29-Oct-2010	22-Nov-2010	136 341	989 84	996 650	-6 81	24	Short-Term
GSSDX	GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A	14-Jul-2010	30-Jul-2010	26,819 923	280,000 00	279,999 998	0 00	16	Short-Term
GSSDX	GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A	14-Jul-2010	01-Sep-2010	4,789 272	50,000 00	50,000 000		49	Not Available
GSSDX	GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A	14-Jul-2010	14-Sep-2010	957 854	10,000 00	9,999 996	0 00	62	Short-Term
GSSDX	GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A	30-Jul-2010	20-Sep-2010	8 087	84 51	84 430	0 08	52	Short-Term
GSSDX	GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A	14-Jul-2010	20-Sep-2010	5,747 127	60,057 47	60,000 006	57 47	68	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
GSSDX	GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A	31-Aug-2010	20-Sep-2010	4 993	52 18	52 180	0.00	20	Short-Term
GARTX	GOLDMAN SACHS TRUST GOLDMAN SACHS ABSOLUTE RETURN TRACKER FD - CL A	10-Nov-2010	22-Nov-2010	13,071 895	119,607 84	120,000 000	-392 16	12	Short-Term
GAMDX	GS LOCAL EMERGING MKTS DEBT FD MUTUAL FUND - CL A	14-Jul-2010	22-Nov-2010	21,691 974	213,015 18	200,000 000	13,015.18	131	Short-Term
GAMDX	GS LOCAL EMERGING MKTS DEBT FD MUTUAL FUND - CL A	30-Jul-2010	22-Nov-2010	40 196	394 72	379 050	15 68	115	Short-Term
GAMDX	GS LOCAL EMERGING MKTS DEBT FD MUTUAL FUND - CL A	31-Aug-2010	22-Nov-2010	92 821	911 50	872 520	38 98	83	Short-Term
GAMDX	GS LOCAL EMERGING MKTS DEBT FD MUTUAL FUND - CL A	30-Sep-2010	22-Nov-2010	87 975	863 92	869 190	-5 28	53	Short-Term
GAMDX	GS LOCAL EMERGING MKTS DEBT FD MUTUAL FUND - CL A	29-Oct-2010	22-Nov-2010	84 143	826 28	842 270	-15 99	24	Short-Term
GSZAX	GS STRATEGIC INCOME FUND CLASS A	30-Jul-2010	01-Sep-2010	4,985 045	50,000 00	49,950 151	49 85	33	Short-Term
GSZAX	GS STRATEGIC INCOME FUND CLASS A	30-Jul-2010	19-Nov-2010	14,807 502	150,000 00	148,371 169	1,628 83	112	Short-Term
GSZAX	GS STRATEGIC INCOME FUND CLASS A	31-Aug-2010	22-Nov-2010	34 001	344 77	341 370	3 40	83	Short-Term
GSZAX	GS STRATEGIC INCOME FUND CLASS A	30-Jul-2010	22-Nov-2010	8,151 565	82,656 87	81,678 680	978 19	115	Short-Term
GSZAX	GS STRATEGIC INCOME FUND CLASS A	30-Sep-2010	22-Nov-2010	58 859	596 83	592 710	4 12	53	Short-Term

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
GSZAX	GS STRATEGIC INCOME FUND CLASS A	29-Oct-2010	22-Nov-2010	35 307	358 01	356 950	1 06	24	Short-Term
TOTAL					1,597,061.31		34,013.24		

APS FINANCIAL
 REALIZED GAINS/LOSSES 2010
 Both Accounts

Pcse	Sale	Quantity	Cost	Sales Price	Gain/(Loss)
SEGAL FAMILY FOUNDATION					
Yellow Roadway Corp.	12/31/09	01/13/10	3,914.0	27,523.00	4,124.82 (23,398.18)
YRC Worldwid Inc	02/19/10	07/07/10	102,650.0	-	11,173.66 11,173.66
Tronix Worldwide	10/16/09	03/24/10	45,000.0	30,150.00	46,347.75 16,197.75
Realogy Corp	02/26/10	06/22/10	53,000.0	40,146.44	43,143.06 2,996.62
Total 2010			204,564	97,819.44	104,789.29 6,969.85
BARRY SEGAL					
Visteon Corp	12/2/2009	1/15/2010	100,000	33,702.25	44,750.00 11,047.75
SSCC (Stone Container)	12/10/2009	7/6/2010	4,759	4,307.08	93,560.47 89,253.39
Realogy Corp	12/02/09	06/22/10	100,000.0	68,415.00	81,404.00 12,989.00
General Motors Global Notes	12/10/2009	7/23/2010	100,000	26,752	34,497.75 7,745.50
Total 2010			304,759	133,177	254,212 121,036

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3 month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization	Employer identification number
	SEGAL FAMILY FOUNDATION INC.	56-2446941
	Number, street, and room or suite no. If a P.O. box, see instructions 776 MOUNTAIN BLVD, STE 202	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions. WATCHUNG, NJ 07069	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

BARRY SEGAL

- The books are in the care of ► **776 MOUNTAIN BLVD - SUITE 202 - WATCHUNG, NJ 07069**
Telephone No. ► **908-279-7881** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2010** or
► ☐ tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$ 107,063.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$ 7,063.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$ 100,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1 2011)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3 month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II		Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)	
Type or print File by the extended due date for filing your return. See instructions	Name of exempt organization		Employer identification number
	SEGAL FAMILY FOUNDATION INC.		56-2446941
	Number, street, and room or suite no. If a P O box, see instructions		
	776 MOUNTAIN BLVD, STE 202		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	WATCHUNG, NJ 07069		

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

BARRY SEGAL

- The books are in the care of **776 MOUNTAIN BLVD - SUITE 202 - WATCHUNG, NJ 07069**

Telephone No **908-279-7881**

FAX No

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2011**

5 For calendar year **2010**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL INFORMATION THAT IS NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN HAS YET NOT BEEN RECEIVED BY THE TAXPAYER.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	107,063.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	107,063.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **[Signature]** Title **CRA**

Date **7/27/11**

Form 8868 (Rev. 1-2011)