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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation: The Neel Foundation

A Employer identification number: 54-0761588

B Telephone number (see page 10 of the instructions)

Number and street (or P O box number if mail is not delivered to street address): 32 Van Wyck Street | Room/suite

C If exemption application is pending, check here ▶ ☐

City or town, state, and ZIP code: Croton on Hudson NY 10520

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 1,681,194

J Accounting method [X] Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	7,913	7,913	7,913	
	4	Dividends and interest from securities	72,218	72,218	72,218	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 Stmt 1	52,879			
	b	Gross sales price for all assets on line 6a 77,475				
	7	Capital gain net income (from Part IV, line 2)		4,152		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns & allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	133,010	84,283	80,131	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 2	2,250	2,250		
	c	Other professional fees (attach schedule) Stmt 3	16,574	16,574		
	17	Interest	1	1		
	18	Taxes (attach schedule) (see page 14 of the instructions)				
	19	Depreciation (attach schedule) and depletion Stmt 4	4,216	4,216		
	20	Occupancy				
	21	Travel, conferences, and meetings	1,244	1,244		
	22	Printing and publications				
	23	Other expenses (att sch) Stmt 5	2,179	2,179		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	26,464	26,464	0	0
	25	Contributions, gifts, grants paid	86,682			86,682
	26	**Total expenses and disbursements.** Add lines 24 and 25	113,146	26,464	0	86,682
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	19,864			
	b	**Net investment income** (if negative, enter -0-)		57,819		
	c	**Adjusted net income** (if negative, enter -0-)			80,131	

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For Paperwork Reduction Act Notice, see page 30 of the instructions.

Form **990-PF** (2010)

DAA

P 13

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	76,546	80,277	80,277
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (att schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) See Stmt 6	970,466	1,212,326	1,213,464
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) See Statement 7	612,784	389,384	387,453
	14	Land, buildings, and equipment basis ▶ 11,923			
		Less accumulated depreciation (attach sch) ▶ Stmt 8 8,332	3,227	3,591	
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,663,023	1,685,578	1,681,194
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	444,469	444,469	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,218,554	1,241,109	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	1,663,023	1,685,578	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,663,023	1,685,578	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,663,023
2	Enter amount from Part I, line 27a	2	19,864
3	Other increases not included in line 2 (itemize) ▶ See Statement 9	3	2,691
4	Add lines 1, 2, and 3	4	1,685,578
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,685,578

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a LT Cap Gain Dividends			
b			
c			
d			
e			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,152			4,152
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				4,152
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	4,152
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	83,228	1,594,563	0.052195
2008	83,248	1,872,697	0.044454
2007	83,070	2,122,264	0.039142
2006	70,960	1,846,563	0.038428
2005	62,918	1,783,066	0.035286

2 **Total** of line 1, column (d)	2	0.209505
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.041901
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	1,776,125
5 Multiply line 4 by line 3	5	74,421
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	578
7 Add lines 5 and 6	7	74,999
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18	8	86,682

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	578
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	578
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	578
6	Credits/Payments			
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a 1,624		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1,624
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,046
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 1,046 **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ _____ **(2)** On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ None			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9	X	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ James Neel Telephone no ▶

32 Van Wyck St

Located at ▶ Croton on Hudson NY ZIP+4 ▶ 10520

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 22 of the instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	N/A	5b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 10				

2 Compensation of five highest-paid employees (other than those included on line 1 — see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	1,735,100
b	Average of monthly cash balances		1b	68,073
c	Fair market value of all other assets (see page 25 of the instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	1,803,173
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	1,803,173
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)		4	27,048
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,776,125
6	**Minimum investment return.** Enter 5% of line 5		6	88,806

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ [X] and do not complete this part)

1	Minimum investment return from Part X, line 6		1	
2a	Tax on investment income for 2010 from Part VI, line 5	2a		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	
3	Distributable amount before adjustments Subtract line 2c from line 1		3	
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	
6	Deduction from distributable amount (see page 25 of the instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	86,682
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	86,682
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	578
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	86,104

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1	Distributable amount for 2010 from Part XI, line 7				
2	Undistributed income, if any, as of the end of 2010				
a	Enter amount for 2009 only				
b	Total for prior years 20 ____ , 20 ____ , 20 ____				
3	Excess distributions carryover, if any, to 2010				
a	From 2005				
b	From 2006				
c	From 2007				
d	From 2008				
e	From 2009				
f	**Total** of lines 3a through e				
4	Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 86,682				
a	Applied to 2009, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d	Applied to 2010 distributable amount				
e	Remaining amount distributed out of corpus	86,682			
5	Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	86,682			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
e	Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f	Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9	**Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9				
a	Excess from 2006				
b	Excess from 2007				
c	Excess from 2008				
d	Excess from 2009				
e	Excess from 2010				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

		Tax year	Prior 3 years			(e) Total
		(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	80,131	79,728	93,635	102,565	356,059
b	85% of line 2a	68,111	67,769	79,590	87,180	302,650
c	Qualifying distributions from Part XII, line 4 for each year listed	86,682	83,821	84,031	83,070	337,604
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	86,682	83,821	84,031	83,070	337,604
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter (1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	59,204	53,152	62,423	70,742	245,521
c	"Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 11				86,682
Total			▶ 3a	86,682
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			25	7,913	
4 Dividends and interest from securities			25	72,218	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	4,152	48,727
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		84,283	48,727
13 **Total.** Add line 12, columns (b), (d), and (e)				13	133,010

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee — Date: 12 Nov 11 — ▶ Title: President

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
Kathleen Kearns	Kathleen Kearns	10/14/11	

Firm's name ▶ Kearns Accounting Corp. — PTIN P00172341

Firm's address ▶ 2 Clinton Ave, Ossining, NY 10562 — Firm's EIN ▶ 20-5042976 — Phone no 914-941-3178

NEEFOUND

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization

(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2010

Attachment Sequence No **67**

Name(s) shown on return: The Neel Foundation

Identifying number: 54-0761588

Business or activity to which this form relates: Indirect Depreciation

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	

8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2009 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2011 Add lines 9 and 10, less line 12 ▶	13	

Note: Do not use Part II or Part III below for listed property Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	2,290
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	1,468

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2010	17	0
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2010 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only–see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property		2,290	5.0	HY	200DB	458
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2010 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	4,216
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form **4562** (2010)

DAA

There are no amounts for Page 2

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description			How Received				
Whom Sold	Date Acquired	Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
See Attached	Various	Various	Purchase $ 14,883	$	$	$	$ 14,883
See Attached	Various	Various	Purchase 33,380				33,380
Cash in Lieu	Various	Various	Purchase 60				60
Loomis Sayles	1/12/05	10/26/10	Purchase 25,000	24,596			404
Total			$ 73,323	$ 24,596	$ 0	$ 0	$ 48,727

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Accounting Fees	$ 2,250	$ 2,250	$	$
Total	$ 2,250	$ 2,250	$ 0	$ 0

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Broker Fees	$ 16,574	$ 16,574	$	$
Total	$ 16,574	$ 16,574	$ 0	$ 0

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 19 - Depreciation

Description							
Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
Computer							
9/21/06	$ 2,944	$ 1,913	S/L	5	$ 589	$ 589	$
Computer Equip							
2/14/07	3,738	2,181	S/L	5	747	747	
Equipment							
10/31/09	661	22	S/L	5	132	132	
Computer							
5/11/10	4,580		200DB	5	2,748	2,748	
Total	$ 11,923	$ 4,116			$ 4,216	$ 4,216	$ 0

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
Office Expense	358	358		
Foreign Tax	689	689		
Computer exp	1,132	1,132		
Total	$ 2,179	$ 2,179	$ 0	$ 0

Federal Statements

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
See Attached	$ 970,466	$ 1,212,326	Cost	$ 1,213,464
Total	$ 970,466	$ 1,212,326		$ 1,213,464

Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Mutual Funds See Attached	$ 612,784	$ 389,384	Cost	$ 387,453
Total	$ 612,784	$ 389,384		$ 387,453

Statement 8 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
	$ 3,227	$ 11,923	$ 8,332	$
Total	$ 3,227	$ 11,923	$ 8,332	$ 0

Federal Statements

Statement 9 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
Voided outstanding checks	$ 1,500
accrued int	1,191
Total	$ 2,691

Federal Statements

Statement 10 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
James A Neel 32 Van Wyck St Croton on Hudson NY 10520	President	0.00	0	0	0
Mary Neel Gilbert 155 Anson Valley Rd New Vinyard ME 04956	V President	0.00	0	0	0
Wendy Neel Ellsworth 1378 Cobbler Road Quakertown PA 18951	Secretary	0.00	0	0	0
Kathryn Leeds Neel 32 Van Wyck St Croton on Hudson NY 10520	Treasurer	0.00	0	0	0
Sophia Neel Kountz 446Sugarland Run Drive Sterling VA 20164	Director	0.00	0	0	0
Amy Bowen Neel 26483 Dutcher Creek Road Cloverdale CA 95425	Director	0.00	0	0	0

Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name Address	Address Relationship	Status	Purpose	Amount
AFS	New York, NY			500
Alzheimer's Assn.	Chicago, Il			250
American Indian College F	Denver, CO			500
American University Visua	Washington, D.C.			8,500
American Red Cross	Greensboro, NC			1,000
AP Friend Memorial Librar	Beaverton, OR			507
BEADS for Education	Quakertown, PA			1,900
Bennett Conservatory of M	Croton-on-Hudson, NY			500
Blue Hill Heritage Trust	Blue Hill, ME			500
Briarcliff Congregational	Briarcliff Manor, NY			2,200
Brooklin Vol Fire Dept	Brooklin, ME			750
Cadet Activities Fund	West Point, NY			1,760
Caring Committee				250
CERF	Montpelier, VT			750
Charity Global Inc	New York, NY			300
Chocorua Chapel Assoc	Holderness, NH			250
Cloverdale Senior Center	Cloverdale, CA			2,500
Cloverdale Arts Alliance	Cloverdale, CA			250

Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name Address	Address Relationship	Status	Purpose	Amount
Cloverdale Performing Art	Cloverdale, CA			750
Compassion International	Colorado Springs, CO			1,000
Croton Lions Club	Croton-on-Hudson, NY			790
Croton Teen Theater	Croton-on-Hudson, NY			1,000
Dominican Sisters of Hope	Ossining, NY			250
Eaglebrook School	Deerfield, MA			250
Earth Sangha	Fairfax, VA			500
Earth Song Farm CCDI Inc	Portsmouth, VA			500
Edurelief	Eugene, OR			500
Electronic Frontier Found	San Francisco, CA			100
Forgotten Felines Inc	Valhalla, NY			500
Fountain Valley School	Colorado Springs, CO			250
Franklin County Animal Sh	Louisburg, NC			250
Franklin Memorial Hospita	Framington, ME			3,000
Frontier Nursing Service	Wendover, KY			1,500
Greenshire Arts Consort	Quakertown, PA			6,500
Healthcare Fdn N Sonoma	Sonoma County, CA			500
Heifer International	Little Rock, AR			500

Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name Address	Address Relationship	Status	Purpose	Amount
Institute for the Int'l E	Chicago, IL			1,250
International Mountain Bi	Boulder, CO			250
Kennebec Valley Comm Coll	Fairfield, ME			3,000
Lakes Region Conservation	Meredith, NH			250
Lions Club International	Oak Brook, IL			1,000
Little Orphan Animals	Peekskill, NY			1,000
Loon Preservation Committ	Moultonborough, NH			250
Love Inc of the Tri-Citie	Grand Haven, MI			2,000
Maine Public Broadcast Ne	Bangor, ME			250
Metropolitan Opera Nation	Seattle, WA			2,000
Middlebury College	Middlebury, VT			500
Nat'l Disaster Search Dog	Ojai, CA			500
National Foundation For C	Bethesda, MD			1,000
National Heritage Trust	Albany, NY			1,000
Nat'l Trust for Historic	Washington, D.C.			350
National Wasp WWII Museum	Sweetwater, TX			500
Nature's Best Foundation	McLean, VA			2,000
NY Botanical Garden	Bronx, NY			1,500

Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name Address	Address Relationship	Status	Purpose	Amount
NY Military Academy	Cornwall, NY			200
NY Zen Center for Contemp	New York, NY			500
Oak Hill Cemetary	Washington, D.C.			2,000
Pendleton Historic Founda	Pendleton, SC			1,000
Peppertree Dog Rescue	Latham, NY			500
Potomac School	McLean, VA			1,000
Santa Rosa Symphony Assn	Santa Rosa, CA			500
Soc of the Cincinnati, S.	Charleston, SC			500
Soc of the Cincinnati, .	Washington, D.C.			500
Sonoma County Library	Healdsburg, CA			1,500
Squam Lakes Association	Holderness, NH			500
SPCA of Westchester	Briarcliff Manor, NY			775
Steward of the Coast & Re	Duncans Mills, CA			250
Students Helping Honduras	Fredericksburg, VA			500
The Collings Foundation	Stow, MA			1,275
The Deerwood Foundation	Holderness, NH			1,500
The Maddux Foundation	McLean, VA			1,000
The Madeira School	Greenway, VA			250

Federal Statements

Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
The Nature Conservatory	Arlington, VA			200
The Rse Fdn Inc				350
The Tommy Fund	Briarcliff Manor, NY			1,500
The Yellowstone Assoc	West Yellowstone, MT			500
Tuck School of Business	Hanover, NH			500
Umoja Uaso Womens Fund In	Washington, D.C.			2,750
United Friends School	Quakertown, PA			250
United States Olympic Com	Colorado Springs, CO			2,600
US Rugby Football Foundat	San Diego, CA			350
University at Albany	Albany, NY			500
Washington Cathedral	Washington, D.C.			1,000
Womens Learning Partnersh	Bethesda, MD			500
Wildlife Conservation Soc	Bronx, NY			525
World Wildlife Federation	Washington, D.C.			500
Total				86,682

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2010

COMMON STOCKS

		Cost	Market Value
200	Abbott Laboratories	8,531.44	9,582.00
120	Adobe Systems	3,458.15	3,693.60
51	Adobe Systems	1,524.14	1,569.78
43	Affiliated Managers Grp	3,094.28	4,266.46
200	Air Products & Chemical	11,768.00	18,190 00
29	Agrium Inc	1,813.21	2,660.75
76	Akamai Tech Inc	3,816.28	3,575.80
70	Allergan Inc	4,090.73	4,806.90
23	Allergan Inc	1,708.59	1,579.41
186	Allegheny Tech Inc	9,017.23	10,263.48
2,000	Allied Capital exch to Ares 4/5/10	0.00	0 00
45	Allstate Corp.	2,459 82	1,434.60
5	Allstate Corp.	313 75	159.40
30	Allstate Corp.	1,481 97	956 40
90	Allstate Corp.	1,994 16	2,869.20
15	Allstate Corp.	451.03	478.20
66	Alpha Natural Resources	2,531 76	3,961.98
236	Altria Group Inc	4,030.82	5,810.32
450	Altria Group Inc	7,235.42	11,079 00
45	Amazon Com Inc	2,415.50	8,100.00
25	Amazon Com Inc	3,576.65	4,500 00
86	Ameren Corp	4,519 12	2,424.34
30	Ameren Corp	1,375.68	845.70
65	Ameren Corp	1,458.92	1,832.35
15	Ameren Corp	365 05	422.85
191	Ameriprise Financial Inc	8,415.70	10,992.05
180	American Tower Corp	7,513.25	9,295.20
95	Amgen Inc	5,083.75	5,215.50
546	Annualy Cap Mgmt	7,734.25	9,784.32
90	Annualy Cap Mgmt	1,534.58	1,612.80
4	Apple Computer Inc	379.53	1,290 24
15	Apple Inc	2,091 24	4,838.40
15	Apple Inc	1,472.28	4,838.40
15	Apple Inc	1,353.23	4,838.40
10	Apple Inc	1,009.76	3,225 60
5	Apple Inc	618.55	1,612.80
650	Ares Capital Corp exch from Allied Capital	39,555.00	10,712.00
128	Asahi Glass	1,300.42	1,491.20
70	Astrazeneca	3,550.79	3,233.30
161	AT&T	3,742.44	4,730.18
563	AT&T	12,107.75	16,540.94
40	AT&T	1,013.58	1,175.20
15	AT&T	369.34	440.70
116	Aust NW	2,437.15	2,788.64
76	Axis Capital Holdings	2,379.35	2,726.88
121	Bae Sys	2,413.95	2,522.85
132	Banco Bradesco	2,446.91	2,678 28
69	Barclays	1,273.50	1,139.88
117	Baxter International Inc	4,929 76	5,922.54
105	Boeing Co	6,806.26	6,852.30
36	British Amn Tobaco	2,486.52	2,797.20

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2010

COMMON STOCKS

			Cost	Market Value
	143	Centurylink Inc	3,622.43	6,602 31
	81	Check Point Software	2,998.50	3,747 06
	770	Chevron Texaco Corp	17,067.00	70,262 50
	53	Chevron Corp	3,071 46	4,836 25
	15	Chevron Corp	1,006 75	1,368.75
	90	Canadian Natl Res Ltd	2,192.64	3,997 80
	20	Canadian Natl Res Ltd	493.48	888 40
	40	Canadian Natl Res Ltd	1,206 55	1,776.80
	54	Canadian Natl Res Ltd	1,987.93	2,398.68
	146	Carefusion corp	3,359 77	3,752.20
	80	Caterpillar Inc	5,546 45	7,492.80
	73	Celgene Corp	4,565.55	4,317.22
	225	Chesapeake Energy	5,659 38	5,829 75
	25	Chesapeake Energy	526.00	647.75
	173	Cisco Systems Inc	4,317 12	3,499.79
	138	Citrix systems Inc	8,766 83	9,440.58
	16	CME Group	4,948.22	5,148 00
	120	Coach Inc	5,223.36	6,637 20
	139	Coca Cola	7,324.57	9,142 03
	31	Coca Cola	2,061.11	2,555 33
	130	Commercial Metals Co	3,719 87	4,179 24
	282	Compass Group	2,374 44	2,628.24
	101	Companhia D Snmnto	4,044 91	5,340.88
	337	Compuware Corp	3,442.54	3,932 79
	28	Conocco Phillips	1,703.46	1,906 80
	135	Conocco Phillips	6,634 31	9,193.50
	25	Conocco Phillips	1,241 56	1,702.50
	63	Cooper cos Inc	2,458 26	3,549 42
	42	Core Lab	3,432 82	3,740 10
	295	Corning Inc	5,399 95	5,699 40
	24	Copa Holdings	1,214.64	1,412 16
	13	Copel Parana	3,664 84	4,228 56
	168	Covidien Ltd	7,513 96	7,670.88
	80	Cummins Inc	2,878 78	8,800 80
	25	Cummins Inc	1,498 74	2,750 25
	1	Diamond Offshore Drilling	127.64	66 87
	135	Diamond Offshore Drilling	10,299.11	9,027 45
	20	Danaher Corp	544.79	943.40
	20	Danaher Corp	561.76	943 40
	60	Danaher Corp	2,134.53	2830.20
	10	Danaher Corp	301 79	471 70
	49	Delhaize Group	3,661.28	3611.79
	36	Diageo Plc	2,497.41	2675.88
	160	Edison Intl	4,362.49	6,176.00
	10	Edison Intl	321 68	386 00
	310	E M C Corp	4,546.00	7,099 00
	10	E M C Corp	185.06	229.00
	59	Emergency Medical Svs	3,040.13	3,811 99
	61	EOD Resources	6,127.33	5,576.01
0 /250		FPL Group Inc 525 stock div 3/21/05	0.00	0.00
	16	F5 Networks Inc	1,696.56	2,082.56
	172	France Telecom	3,590.74	3,625 76
	167	Freeport Mcmran	15,017.59	20,055 03
	37	Frontline Ltd	1,140 52	938 69
	21	Fuji Heavy Inds	1,151 39	1,638.00
	35	Fujitsu	1,192.10	1,225 70
	1,000	General Electric	33,780.00	18,290 00
	340	General Electric	5,489 33	6,218.60
	125	Gentex Corp	2,406 20	3,695 00

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2010

COMMON STOCKS

		Cost	Market Value
2	Glaxosmithkline	104.53	78.44
50	Glaxosmithkline	2,219.26	1,961.00
50	Glaxosmithkline	1,550.30	1,961.00
149	Glaxosmithkline	5,289.01	5,843.78
77	Guess Inc	2,745.82	3,643.64
115	Harris Corp	3,865.66	5,209.50
5	Harris Corp	235.29	226.50
48	Herbalife Ltd	2,659.40	3,281.76
63	Hospira Inc	3,337.43	3,508.47
54	Huaneng Power Intl	1,231.55	1,154.52
355	Hudson City Bancorp Inc	4,934.88	4,522.70
80	Hudson City Bancorp Inc	1,047.81	1,019.20
40	IBM	4,056.09	5,870.40
41	I H S INC	2,580.95	3,295.99
133	IAC Interactive Corp	3,331.28	3,817.10
255	Intel Corp	5,052.63	5,362.65
329	Intel Corp	6,017.39	6,918.87
70	Intuit Inc	2,794.79	3,451.00
65	ITC Holdings corp	3,959.53	4,028.70
51	JP Morgan Chase & Co	1,789.05	2,163.42
25	JP Morgan Chase & Co	1,157.52	1,060.50
200	Johnson & Johnson	12,460.00	12,370.00
70	Johnson & Johnson	4,032.69	4,329.50
20	Johnson & Johnson	1,302.72	1,237.00
90	Juniper Networks Inc	3,142.56	3,322.80
275	Kellogg Co PV 25ct	12,295.25	14,047.00
90	Kimberly Clark	5,557.58	5,673.60
311	Kraft Foods (Stk Div for Altria Group	7,425.80	9,799.61
145	Kraft Foods	4,811.55	4,568.95
35	Kraft Foods	778.75	1,102.85
101	Limited Brands	2,578.24	3,103.73
70	Lockheed Martin Corp	5,822.31	4,893.70
51	Lubrizol Corp	4,149.41	5,450.88
108	Marks & Spencer	1,181.26	1,242.00
64	Msc Indl Direct Inc	3,211.52	4,140.16
25	Metlife Inc	690.08	1,111.00
110	Metlife Inc	4,986.46	4,888.40
110	Marathon Oil Corp	2,697.19	4,073.30
45	Marathon Oil Corp	1,381.31	1,666.35
5	Marathon Oil Corp	154.08	185.15
97	MSCI Inc	3,214.46	3,779.12
55	Mead Johnson Nutrition	2,685.25	3,423.75
90	McDonalds	6,054.84	6,908.40
130	Medtronic Inc	4,215.69	4,821.70
10	Medtronic Inc	398.89	370.90

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2010

COMMON STOCKS

		Cost	Market Value
223	Microsoft Corp.	5,654.73	6,223.93
9	Mitsubishi	2,384.28	2,949.48
201	Mylan Inc	3,587.08	4,247.13
113	Nexen Inc	2,368.16	2,587.70
330	NY Cmnty Bancorp	4,127.25	6,220.60
25	NY Cmnty Bancorp	394.98	471.25
51	Netflix Com Inc	7,012.09	8,960.70
164	Netapp Inc	7,652.69	9,013.44
140	Nippon Yusen Kabushiki	1,250.75	1,226.40
250	Nextera Energy Inc (From FPL Group)	8,912.50	12,997.50
300	Nike Inc CL B	13,095.50	25,626.00
70	Nitto Denko Corp	2,763.68	3,323.60
134	Nokia Corp	1,244.48	1,382.88
85	Northrop Grumman Corp	4,332.72	5,506.30
5	Northrop Grumman Corp	304.14	323.90
65	Oracle Corp	1,373.69	2,034.50
65	Oracle Corp	1,313.36	2,034.50
30	Oracle Corp	598.19	939.00
223	Oracle Corp	5,943.33	6,979.90
92	Petsmart	3,068.58	3,663.44
160	Pearson	2,551.23	2,542.40
38	Panera Bread Co	2,950.32	3,845.98
68	Petrleo Bras	2,358.66	2,497.44
43	Parker Hannifin Corp	3,371.77	3,710.90
22	Posco	2,363.68	2,369.18
101	PNC Financial	5,754.85	6,132.72
79	Pfizer Inc	1,076.77	1,383.29
570	Pfizer Inc	9,755.09	9,980.70
118	Pitney Bowes Inc	2,871.51	2,853.24
33	Potash Corp	4,626.30	5,109.39
50	Precision Castparts	6,070.59	6,960.50
450	Philip Morris (Stk Div Altria Group)	16,487.26	26,338.50
225	Procter Gamble	12,449.00	14,474.25
70	Procter Gamble	3,733.60	4,503.30
25	Procter Gamble	1,559.32	1,608.25
40	R R Donnelley Sons	1,295.27	698.80
90	R R Donnelley Sons	3,016.87	1,572.30
55	R R Donnelley Sons	1,735.78	960.85
75	R R Donnelley Sons	1,190.59	1,310.25
35	R R Donnelley Sons	652.14	611.45
64	Renaissancere Holding Ltd	3,645.50	4,076.16
23	Rio Tinto Plc	1,179.25	1,648.18

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2010

COMMON STOCKS

		Cost	Market Value
50	Rockwell Collins Inc	2,918 01	2,913 00
144	Reynolds American Inc	2,711 52	4,697 28
40	Reynolds American Inc	1,091 84	1,304 80
12	Royal Dutch Shell	982 52	801.36
5	Royal Dutch Shell	400 62	333 90
20	Royal Dutch Shell	1,284 28	1,335 60
12	Royal Dutch Shell	584 20	801 36
65	Royal Dutch Shell	3,061 20	4,340 70
41	Royal Dutch Shell	2,201 62	2,737 98
72	Rovi Corp	3,169 70	4,464 72
51	Roper industries Inc	3,176 10	3,897 93
90	Sasol Ltd	3,592 44	4,684 50
51	Spx Corp	3,308 94	3,645 99
134	Sandisk Corp	6,170 10	6,681.24
153	SK Telecom	2,495 00	2,850 39
222	Sara Lee Corp	3,291 28	3,887 22
94	Schlumberger Ltd	6,179 27	7,849 00
20	Siemens Ag	1,303 91	2,485 00
5	Siemens Ag	438 68	621 25
175	Statoil Asa	3,630 92	4,159 75
111	Skyworks solutions Inc	2,839 89	3,177 93
162	Sanofi Aventis	5,708 14	5,221.26
1,200	Southern Company	19,522 53	45,876 00
78	Sage Group	1,173 52	1,342 38
63	Salesforce Com Inc	5,872 31	8,316 00
53	SM Energy Co	2,201 09	3,123 29
85	Southwestern Energy Co	4,160 11	3,181 55
5	Southwestern Energy Co	195 89	187 15
219	Starbucks corp	5,771 31	7,036 47
165	Svenska C	2,428 19	2,590 50
103	Terdata Corp	3,333 75	4,239 48
113	Taiwan S Manufacturing	1,267 52	1,417 02
57	Total S A	2,887 25	3,048 36
150	Total S A	8,175 46	8,022 00
83	Tractor Supply	3,460 93	4,024 67
26	Teva Pharmactcl	1,237 25	1,355 38
141	Texas Instruments	3,861 36	4,582 50
34	Transcanada Corp	1,181 31	1,293 36
167	Telstra Corp	2,431 52	2,396.45
29	Target Corp	1,491 56	1,743 77
55	Target Corp	2,840 43	3,307 15
82	Turkcell	1,185 32	1,404 66
55	3M Company	4,440 46	4,746 50
25	3M Company	1,930 72	2,157 50
90	Travelers Co Inc	3,319 21	5,013 90
15	Travelers Co Inc	758 67	835 65
5	Travelers Co Inc	245.44	278 55
187	Time Warner Inc	5,864 11	6,015 79
33	Toronto Dominion Bank	2,370 06	2,452 23
87	Unilever	2,489 76	2,686 56
209	United Health Group Inc	6,880 42	7,546 99
49	United Techs Corp	2,558 64	3,857 28
10	United Techs Corp	491 39	787 20
35	United Techs Corp	2,555 33	2,755 20
83	United Overseas Bk	2,426 92	2,353 88
50	V F Corp	2,672 38	4,309 00
10	V F Corp	733 91	861 80
5	V F Corp	332 44	430 90
5	V F Corp	390 01	430 90
87	Valspar Corp	2,749 20	2,999 76
10	Verizon Comm	286 61	357 80
110	Verizon Comm	2,899 99	3,935 80
30	Verizon Comm	808 27	1,073 40
25	Verizon Comm	672 27	894 50
50	Visa Inc	4,149 98	3,519 00
157	Zurich Financial Svcs	3,516 31	4,064.73

THE NEEL FOUNDATION
INVESTMENT ACCOUNTS
12/31/2010

COMMON STOCKS

		Cost	Market Value
1	Windstream Corp	8 62	13 94
99	Wyndham worldwide Corp	2,516.51	2,966 04
66	Wabco Holdings Inc	2,528.28	4,021.38
340	Xerox Corp	4,610.44	3,916.80
70	Xerox Corp	592.20	806.40
105	Xerox Corp	969 09	1,209.60
55	Yanzhou coal Mining	1,180.73	1,683.00
262	Yamana gold Inc	2,435.68	3,353.60
224	Wells Fargo & Co	5,308.30	6,941.76
35	Wynn Resorts Ltd	3,613.58	3,634.40
32	Woori Fin Holdings Co	1,204.73	1,329.60
		987,389 19	1,213,463.88

FIXED RATE SECURITIES

27,000	Federal Home Ln Mtg Corp	11,376.52	11,964.07
25,000	Federal Nat'l Mtge Assn 5% 2035 P735580	10,653.64	11,422.28
26,000	Federal Nat'l Mtge Assn 6% 2038 P889579	10,901 31	11,826.39
25,000	Federal Nat'l Mtge Assn 6% 2038 P889579	10,600.00	11,371.53
48,000	Federal Nat'l Mtge Assn 6% 2038 P889579	21,851.34	21,833.34
19,000	Federal Nat'l Mtge Assn 6% 2038 P992144	7,157.78	7,659.48
16,000	Federal Nat'l Mtge Assn 6% 2038	2,949.67	3,135 09
1,000	US Treasury Inflation Note 3 0%	1,232.75	1,292.01
3,000	US Treasury Inflation Note 3.0%	3,747.23	3,876.02
28,000	US Treasury Inflation Note 2.375%	30,979.16	31,126.69
3,000	US Treasury Inflation Note	3,747.23	3,876 02
5,000	US Treasury Inflation Note	6,416.37	6,460.03
3,000	US Treasury Note 4.0%	3,208.84	3,286.85
9,000	US Treasury Note	9,532.00	9,859.95
4,000	US Treasury Note	4,246 38	4,382.20
6,000	U S Treasury Note .875%	6,005.20	6,034.92
12,000	US Treasury Note	11,968 59	12,069.84
4,000	US Treasury Note	3,992.04	4,023 28
1,000	US Treasury Note	1,060.22	1,095 55
5,000	US Treasury Note 1.375	4,971.66	5,073.05
7,000	US Treasury Note 2.375	6,992.89	7,245 56
12,000	US Treasury Note	12,545.66	12,420.96
6,000	US Treasury Note 2.5%	6,192.44	5,977.50
22,000	US Treasury Note 2.375%	22,404.98	21,711.36
10,000	US Treasury Note	10,203.49	9,868 80
		224,937.39	$228,892.77

MUTUAL FUNDS

10881.393	Delaware Investments Diversified	100,000.00	100217.63
6,176	PIMCO Fixed Income Series C	74,508.75	78,311.68
7,954	PIMCO Fixed Income Series M	88,316.00	81,846.66
8,592.081	Loomis Sayles Strategic Income Fund	126,559.44	127,076 88
		389,384.19	$387,452.85

THE NEEL FOUNDATION

CAPITAL GAINS AND LOSSES

TAX YEAR 2010

						SHORT TERM		LONG TERM	
# of Shares	Stock	Sale Date	Sale	Purchase Date	Cost	Loss	Gain	Loss	Gain
120	Accenture	01/11/10	5,110 13	05/06/09	3,626 72		1,483.41		
14	AOL Inc	12/30/09	323.21	05/01/09	236 36		86 85		
140	Broadcom Corp	01/08/10	4,311 50	05/29/09	3,621 80		689.70		
65	Becton Dickinson Co	01/19/10	4,994.67	various	4,374 25				620.42
15	Becton Dickinson Co	01/20/10	1,145 55	04/24/09	960 84		184.71		
35	Chine Life Ins Co	01/15/10	2,473.80	05/26/09	1,889 99		583.81		
95	Caterpillar Inc	01/26/10	5,351.43	07/24/09	3,969 39		1,382.04		
45	Gilead Sciences Inc	12/31/09	1,955 44	various	2,255 54	(300.10)			
60	Gilead Sciences Inc	12/31/09	2,607.29	various	2,790 77	(183.48)			
150	Intel Corp	01/21/10	3,123 61	various	2,122 92				1,000 69
215	Microsoft Corp	01/11/10	6,518 78	various	6,400 32				118.46
102	Petrleo Bras	01/22/10	4,325 13	various	3,507.76				817.37
50	Praxair Inc	01/25/10	3,964 12	various	3,288 75		675.37		
20	Swiss Reins	01/22/10	877 01	09/16/08	1,006 69			(129 68)	
35	Swiss Reins	01/22/10	1,528 61	various	1,107.82		420 79		
50	Smith -Nphw	various	2,593 31	various	2,175 50		417.81		
15	Shinhan Finl Group	various	1,123 20	various	1,246 70	(123.50)			
55	Wynn Resorts Ltd	01/21/10	3,665.66	09/28/09	3,886.76	(221.10)			
20	Canadian Natural Resources	02/05/10	1,294 08	10/28/05	825.40				468.68
75	Direct TV	02/05/10	2,273 69	09/21/09	2,007.13		266 56		
95	EMC Corp	01/27/10	1,651.06	04/13/09	1,235 22		415.84		
40	ENI S P A	02/11/10	1,804 08	02/11/10	2,562 44			(758.36)	
20	ENI S P A	02/12/10	908.87	04/24/09	831 30		77.57		
20	Grupo Televisa	02/11/10	372 73	various	540 58			(167 85)	
15	Ing GP	02/08/10	126 36	09/03/08	457 69			(331.33)	
100	National-Oil Well	01/28/10	4,199 57	04/14/09	3,385 49		814.08		
20	Occidental Pete Corp	02/05/10	1,516.08	various	1,301.96				214 12
10	Sumitomo Mitsu	02/08/10	30.94	09/10/08	61.80			(30 86)	
80	UBS Ag	02/11/10	1,011 50	various	1,032 36			(20 86)	
25	Union Pacific	01/29/10	1,555.75	04/03/09	1,148 53		407.22		
275	Valero Energy Corp	02/12/10	4,887.64	various	5,619.10	(731.46)			
15	WPP	02/12/10	693.62	09/16/08	708 54			(14 92)	
95	Yahoo Inc	01/29/10	1,476.44	08/05/09	1,404.51		71 93		
55	Adobe Systems	03/19/10	1,933.55	various	1,501.43		432.12		
110	Best Buy Co inc	03/04/10	4,087 24	various	3,983.64		103 60		
30	Boeing Co	03/12/10	2,088 61	02/02/09	1,215 12				873 49
80	Boeing Co	03/15/10	5,542.29	04/27/09	3,079.92		2,462 37		
15	Colgate Palmolive	03/04/10	1,256.85	01/22/10	1,206 28		50 57		
140	Commercial Metals Co	03/05/10	2,289 43	05/03/06	4,029 83			(1,740.40)	
65	Commercial Metals Co	03/08/10	1,056.92	various	1,001.05		55.87		
45	Kimberly Clark	03/17/10	1,179 47	various	946 04				233 43
20	Kimberly Clark	various	525 48	04/24/09	372.00		153 48		
60	Microsoft Corp	03/23/10	1,770 44	10/28/08	1,391.12				379 32
50	Nexen Inc	02/25/10	1,080.32	04/16/09	976 69		103 63		
60	Oracle Corp	03/19/10	1,502 61	10/19/07	1,249.52				253 09
30	Petrleo Bras	02/25/10	1,219 74	04/24/09	1,000.18		219 56		
25	Procter & Gamble Co	03/05/10	1,582.31	05/19/09	1,327.94		254.37		
10	Total S.A	02/25/10	545.27	01/03/08	847.38			(302.11)	
41	Taiwan Manufacturing	03/26/10	427.49	12/18/08	327.95				99.54
19	Taiwan Manufacturing	03/26/10	198.11	04/24/09	172.13		25.98		
15	TNT N V	03/26/10	427 20	08/28/08	561 91			(134.71)	
70	Union Pacific	03/03/10	4,721.22	various	3,457 01		1,264.21		
250	Yahoo Inc	03/23/10	4,016.38	various	3,886.96		129 42		

SCHEDULE D SUPPORT

THE NEEL FOUNDATION

CAPITAL GAINS AND LOSSES

TAX YEAR 2010

1,000	US Treasury Inflation Note	04/12/10	1,127 87	03/26/09	1,107.63				20.24
8,000	FHLMC	04/13/10	4,531.00	01/15/08	4,351.00				180 00
4,000	FNMA 5%	04/13/10	2,228.69	05/16/08	2,121 69				107 00
9,000	FNMA 6%	04/13/10	6,002 42	10/24/08	5,743 04				259 38
4,000	FHLMC 6%	04/13/10	3,137 99	10/29/08	2,932 19				205 80
14,000	FNMA 6%	04/13/10	10,317.19	11/05/08	9,882.75				434.44
5,000	FNMA 6%	04/13/10	1,486 37	12/08/08	1,432 25				54.12
50	Altria Group	04/13/10	1,047.55	various	1,035.49				12.06
5	Amazon Com Inc	04/13/10	704 84	12/04/08	248 87				455.97
15	Akzo Nobel	04/16/10	877.24	09/16/08	867.44				9 80
25	America Movil	04/21/10	1,270 25	various	1,001 76				268.49
15	American Express	04/13/10	673 83	07/21/09	438 12		235 71		
5	Apple	04/13/10	1,211.68	04/25/07	474 40				737.28
15	Bank Hawaii Corp	04/13/10	711.59	08/17/07	790.80			(79.21)	
45	Baxter International Inc	04/13/10	2,647.42	various	2,499.86				147 56
35	Baxter International Inc	04/13/10	2,059.13	various	1,769 81		289.32		
75	Becton Dickinson Co	04/22/10	5,862.49	various	5,035 77		826.72		
15	Compass Minerals Intl	04/13/10	1,185 52	06/17/09	820 63		364 89		
20	Conoco Phillips	04/13/10	1,118 40	02/22/06	1,235 50			(117 10)	
60	Del Monte Foods Co	04/12/10	921 96	06/17/09	525 09		396 87		
180	Ebay Inc	04/08/10	4,757.97	11/12/09	4,316 35		441.62		
20	Frontline Ltd	04/12/10	718.43	03/09/10	566 87		151.56		
30	Harris Corp	04/13/10	1,476.78	02/06/09	1,310 39				166 39
20	Home Depot	04/13/10	684.99	01/18/08	544 09				140 90
30	Intel Corp	04/13/10	680 99	12/09/08	424.59				256.40
53	IBM	04/12/10	6,810.43	various	6,176.25				634 18
45	Jabil Circuit Inc	04/12/10	769.43	11/05/08	401 33				368 10
10	Lubrizol Corp	04/12/10	922.56	12/10/04	350 34				572 22
20	Metlife Inc	04/13/10	903.77	05/04/09	552 05		351.72		
175	Mitsubishi	04/16/10	961.28	06/26/09	1,130 34	(169.06)			
45	Mattel Inc	04/12/10	1,047.54	12/01/05	733 51				314.03
20	Medtronic Inc	04/13/10	905.00	02/23/09	694 24				210 76
125	NY Cmnty Bancorp	04/02/10	2,200.22	01/23/09	1,511.33				688.89
20	Northrop Grumman Corp	04/13/10	1,324.52	10/27/09	1,019 71		304 81		
25	Phillips Van Heusen	04/12/10	1,566 54	various	891 81				674.73
25	Royal Kpn	04/22/10	375 04	05/05/09	305.65		69.39		
15	Reynolds American Inc	04/13/10	811 21	12/10/04	564 90				246.31
200	Sumitomo Mitsu	04/16/10	699.99	09/10/08	1,238 79			(538.80)	
15	Siemens	04/15/10	1,509.05	03/03/08	1,849.39			(340.34)	
20	Teleflex Inc	04/13/10	1,266 41	01/28/09	1,060.50				205 91
25	TNT N V	04/16/10	758.83	08/28/08	921.64			(162.81)	
10	UPS	04/13/10	645.12	03/27/09	500.74				144 38
5	Universal Corp	04/12/10	273 56	12/10/04	236.78				36 78
10	V F Corp	04/13/10	840.64	12/10/04	534.47				306.17
35	Zurich Financial Svc	04/15/10	853 38	01/22/10	764.32		89 06		
20	Waste Management	04/13/10	697.24	01/24/08	596.28				100 96
100	Abbott Labs	05/19/10	4,790 62	various	5,087 60			(296 98)	
120	American Express	05/06/10	5,375.39	various	3,531 07		1,844.32		
15	Cummins Inc	05/07/10	992 83	04/30/09	512.48				480.35
70	Freeport McMran	05/07/10	4,683.51	07/20/09	4,081.16		602.35		
60	Grupo Televisa	05/06/10	1,122.07	various	1,165 77			(43.70)	
5	Google Inc	05/21/10	2,335.52	06/19/08	2,778.55			(443.03)	
42	GDF Suez	05/07/10	1,279.13	various	2,200.31			(921 18)	
20	GDF Suez	05/07/10	609.13	06/04/09	783 05	(173.92)			
45	Hewlett Packard Co	05/14/10	2,129.78	various	2,125.89				3 89
165	Home Depot Inc	05/18/10	5,691.85	various	4,692.72				999 13
10	Home Depot Inc	05/18/10	344.97	08/11/09	269.79		75.18		

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200	Intel Corp	04/28/10	4,622 10	various	2,979 15				1642 95
30	Intel Corp	04/28/10	693.32	11/11/09	594.42		98 90		
30	JP Morgan Chase	05/06/10	1,221 09	03/24/09	866.43				354.66
45	Occidental Pete Corp	05/07/10	3,563 66	various	2,638 86				924 80
5	Occidental Pete Corp	05/07/10	395.97	08/11/09	343.90		52 07		
35	Suncor Energy inc	05/20/10	988 12	09/03/09	1,049.75	(61 63)			
190	Staples Inc	05/07/10	4,166.82	various	4,380 40			(213 58)	
65	Talisman Energy Inc	05/20/10	1,040 26	02/25/10	1,160.65	(120.39)			
85	Wal-Mart Stores	04/29/10	4,582.70	various	4,785.12			(202.42)	
35	Wal-Mart Stores	04/29/10	1,887.01	various	1,784.04		102.97		
145	Aetna Inc	06/11/10	4,157 25	various	4,463.24	(305 99)			
4	Google Inc	06/09/10	1,966.59	various	2,213.40			(246.81)	
145	Holly Corp	06/25/10	4,057 22	various	3,676.61				380 61
40	Johnson & Johnson	06/10/10	2,337.74	05/04/09	2,142 66				195 08
90	Johnson & Johnson	06/08/10	2,329.26	various	2,986.44	(657 18)			
100	Metlife Inc	06/07/10	3,873 12	05/04/09	2,760.26				1112 86
115	Philip Morris Intl Inc	06/11/10	5,037 43	various	5,476.71	(439 28)			
22	Pepsico Inc	06/16/10	1,401 77	various	1,448 11			(46 34)	
45	Procter & Gamble Co	06/10/10	2,783 62	various	2,356.49		427 13		
85	Qualcomm Inc	05/27/10	3,008 30	01/08/10	4,202.23	(1,193.93)			
35	Reed Elsevier	06/10/10	998 23	05/11/09	1,150.75			(152.52)	
30	Symrise	06/03/10	610.44	09/08/09	514.56		95.88		
75	3M Company	06/03/10	5,845 85	10/23/08	4,895 55				950 30
90	UPS	06/08/10	5,233.90	various	4,691 08				542 82
30	Vodafone	06/03/10	605 68	various	920.88			(315 20)	
36	Covidien	07/23/10	1,394.81	01/22/10	1,830.43	(435.62)			
30	Johnson & Johnson	07/27/10	1,744.66	05/04/09	1,607 00				137 66
60	Monsanto Co	07/01/10	2,764.67	03/04/10	4,432.97	(1,668 30)			
175	Nokia Corp	07/20/10	1,565.43	various	2,602 90			(1,037 47)	
48	Pepsico Inc	07/15/10	3,037 88	various	3,011 77				26 11
45	Pepsico Inc	07/15/10	2,848.03	various	2,705 51		142 52		
189	Pfizer Inc	07/23/10	2,757 44	various	4,857.62			(2,100.18)	
95	Raytheon Co	07/23/10	4,663 77	various	4,975.43	(311.66)			
11	Roche Holding Ltd	07/09/10	388.36	01/09/08	511 76			(123.40)	
55	Total S.A	07/21/10	2,642 23	various	4,352 93			(1,710 70)	
14,000	US Treasury 1%	08/13/10	14,112.61	various	14,023.23		89 38		
14,000	US Treasury Inflation Note	various	18,034.03	various	17,446.13				587 90
85	Air Liquide	07/29/10	1,906.51	various	1,747.35				159 16
21	Air Liquide	07/29/10	471.03	various	407 25		63 78		
142	Aamerican Financial Group	07/29/10	4,223 02	various	3,889.06				333 96
35	Akzo Nobel	07/29/10	2,075.45	various	1,774.13				301 32
20	Akzo Nobel	07/29/10	1,185.99	various	1,074 15		111.84		
135	Atmos Energy Corp	07/29/10	3,957.16	various	3,801.36				155.80
45	BHP Billiton	07/29/10	2,783 90	various	1,957.20				826 70
80	Bank Hawaii Corp	07/29/10	4,008.43	various	3,933 20				75 23
32	Ban Colombia	08/03/10	1,865 01	07/29/10	1,868 12	(3 11)			
45	Bayer	07/29/10	2,594 21	various	2,947.06			(352 85)	
160	Brinks Co	07/29/10	3,504.42	various	4,202 09	(697 67)			
75	Barclays	07/29/10	1,573.73	various	1,253.74		319.99		
74	BP	07/29/10	2,846 32	07/21/10	2,697.62		148.70		
220	Barnes Group Inc	07/29/10	4,008 33	various	4,994 85			(986 52)	
15	BG Group	07/29/10	1,185.58	02/12/10	1,349.23	(163.65)			
95	BNP Paribas	07/29/10	3,320.19	various	3,912 30			(592.11)	
75	Bayerische	07/29/10	1,331 23	06/03/10	1,197 45		133.78		
145	Banco Santnder	07/29/10	1,923.08	various	1,875 01		48.07		
40	Bridgestone Corp	07/29/10	1,441.98	various	1,266.26				175.72
45	Credit Suisse	07/29/10	2,065.49	various	1,895.32		170.17		

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10	Cnooc Ltd	07/29/10	1,694 07	02/11/10	1,592 17		101 90		
84	China Unicom Hong Kong	07/29/10	1,106.58	various	1,143.32			(36 74)	
17	Coach	08/25/10	631.26	07/29/10	622.20		9.06		
45	Compass Minerals Intl	07/29/10	3,179.19	various	2,491 51				687 68
5	Compass Minerals Intl	07/29/10	353 25	08/11/09	258 62		94 63		
940	Chimera Investment	07/29/10	3,601 93	06/09/09	3,225.30				376.63
40	China Construction	07/29/10	1,697 57	various	1,713.18	(15 61)			
45	Church & Dwight Co	08/04/10	2,944.94	07/29/10	2,999 45	(54.51)			
31	Crane Co	08/06/10	1,126.63	07/29/10	1,099 30		27.33		
110	Curtiss Wright	07/29/10	3,404 57	various	3,059 15				345.42
16	Dassault Sys	07/29/10	1,059 18	07/20/10	1,072 48	(13.30)			
250	Del Monte Foods Co	07/29/10	3,421 17	06/17/09	2,261 73				1159 44
54	Digital Realty	various	3,240.95	07/29/10	3,348 46	(107.51)			
255	Deutsche Boerse	07/29/10	1,814 78	various	1,961.61	(146 83)			
110	Danone-Spons	07/29/10	1,255.08	04/27/09	1,011 14				243 94
55	EDP Energias	07/29/10	1,803 97	05/28/10	1,809 07	(5 10)			
230	Equity One	07/29/10	4,004.87	various	4,934.19			(929 32)	
65	E on	07/29/10	1,941 52	various	2,314.91			(373 39)	
135	Ericsson	07/29/10	1,475.88	various	1,371.10		104.78		
215	Exco Res Inc	07/29/10	3,144.22	06/25/10	3,389.20	(244.98)			
240	East Japan Ry Co	07/29/10	2,603 95	various	2,504.44				99.51
75	East Japan Ry Co	07/29/10	813.74	various	858.34	(44 60)			
125	Esprit Holding	07/29/10	1,531 22	various	1,769.45	(238.23)			
85	Energen Crp	07/29/10	3,837.55	various	2,637 07				1200 48
225	Ennis Inc	07/29/10	3,917.81	various	4,080 89			(163.08)	
165	Federated Investors	07/29/10	3,554.62	various	3,884.60			(329 98)	
83	Frontline Ltd	07/29/10	2,632.30	various	2,503 73		128.57		
46	Frontier Communications	08/13/10	348.02	various	340 40				7.62
20	Guess Inc	08/26/10	679.21	07/29/10	713 20	(33.99)			
22	Goldman Sachs	08/26/10	3,129 43	10/07/09	4,139.37	(1,009.94)			
208	Genworth Financial	08/02/10	2,829.37	07/29/10	3,328.00	(498 63)			
175	Healthcare Realty	07/29/10	4,132 66	various	5,299.36			(1,166.70)	
80	HSBC Holding	07/29/10	4,121 09	various	3,292.45				828 64
14	HDFC Bank	07/29/10	2,261.38	various	986.66				1274 72
105	Harsco Corp	07/29/10	2,444.35	various	2,819 37			(375.02)	
35	Harsco Corp	07/29/10	814.79	various	1,007 63	(192.84)			
100	Heineken	07/29/10	2,281 96	various	1,851 65				430.31
63	Hewlett Packard Co	08/09/10	2,687.53	various	2,354.01				333 52
70	Hutchisn Whampoa	07/29/10	2,292.46	various	2,538 16	(245.70)			
270	Iamgold Corp	07/29/10	4,205.05	various	1,784 42				2420 63
55	Icici Bank Ltd	07/29/10	2,158 86	various	1,971 01		187 85		
21	Intl power	08/16/10	1,190 53	various	1,188 60		1.93		
265	ING Group	07/29/10	2,664 13	various	3,044.79	(380.66)			
76	Jabil Circuit Inc	07/29/10	1,128.09	11/05/08	677.82				450 27
50	Johnson & Johnson	07/28/10	2,890.16	07/09/09	2,772 29				117.87
40	Kao	07/29/10	965.98	09/10/08	1,203.80			(237 82)	
20	Kao	07/29/10	483 00	05/24/10	445.80		37.20		
175	KBR Inc	07/29/10	3,883.67	various	3,310.89				572.78
25	Kddi Corp	07/29/10	1,243.48	04/23/10	1,233.03		10 45		
215	Keppel Ltd	07/29/10	2,919.65	various	1,885.49				1034.16
80	LVMH Moet	07/29/10	1,921.56	various	1,034 13				887.43
60	LVMH Moet	07/29/10	1,441.18	various	1,300.32		140.86		
88	Lazard Ltd	08/20/10	2,910.48	07/29/10	2,647 92		262.56		
40	Lubrizol Corp	07/29/10	3,773.53	12/10/04	1,401.39				2372.14
5	Lubrizol Corp	07/29/10	471.70	01/12/10	381 43		90.27		
45	Mitsubishi	07/29/10	1,944.42	various	1,968 02			(23 60)	
270	Man Ag Shs	07/29/10	2,443.46	various	2,350.41		93 05		

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18	McDonalds Corp	08/19/10	1,291.89	06/08/10	1,210.37		81 52		
41	Microsoft Corp	08/03/10	1,065.98	10/28/08	896.88				169 10
283	Nvidia	08/13/10	2,651 73	07/29/10	2,617.75		33 98		
165	Nomura Holding	07/29/10	927.51	various	1,222.69	(295.18)			
80	Nat Fuel Gas Co	07/29/10	3,879 13	various	2,788 68				1090.45
82	Nestle	07/29/10	4,063 85	various	3,358.76				705.09
127	Owens & Minor	07/29/10	3,393.25	12/10/04	2,399 90				993 35
72	Pearson	08/19/10	1,085.20	07/29/10	1,148 06	(62 86)			
24	Pt Indosat	07/29/10	647.75	06/25/10	674 28	(26 53)			
70	Phillips Van Heusen	07/29/10	3,614.50	various	2,550 90				1063 60
110	Royal Kpn	07/29/10	1,561.97	various	1,374 51				187.46
18	Royal Dutch Shell	07/29/10	1,011 04	various	1,479 53			(468.49)	
135	Reckitt Benckiser	07/29/10	1,339 18	various	1,081.35				257.83
40	Ricoh co Ltd	07/29/10	2,783.55	various	2,648.38				135 17
102	Roche Holding Ltd	07/29/10	3,338.40	various	3,693 36			(354.96)	
135	Sensient Tech Corp	07/29/10	4,018 66	various	2,922 67				1095 99
530	Sumitomo Mitsu	07/29/10	1,616.46	various	2,216 17			(599.71)	
330	Sumitomo Mitsu	07/29/10	1,006.50	various	1,043.89	(37 39)			
30	Swiss Reins	07/29/10	1,381 48	various	1,433.39	(51.91)			
63	Sara Lee Corp	08/05/10	948.24	07/29/10	934 70		13 54		
15	Siemens	07/29/10	1,462.78	various	1,430 01				32 77
30	Schlumberger	07/29/10	1,802 37	01/29/10	1,960.15	(157.78)			
31	Smith -Nphw	07/29/10	1,333 60	07/08/10	1,408 42	(74 82)			
90	Sanofi Aventis	07/29/10	2,645 31	various	3,377.51	(732.20)			
60	Symrise Ag	07/29/10	1,492 77	various	1,108.68		384.09		
35	Tech Resource Ltd	07/29/10	1,216.58	12/09/09	1,200.14		16.44		
70	Tesco Plc	07/29/10	1,304 08	various	1,447.32			(143.24)	
65	Teleflex Inc	07/29/10	3,722 57	various	2,997.74				724.83
45	Tokio Marine Holdings	07/29/10	1,234.33	various	1,232.59				1 74
246	Taiwan Manufacturing	07/29/10	2,521 93	various	2,563 93	(42 00)			
51	TNT N V	07/29/10	1,496.82	various	1,030 59				466 23
125	Tomkins Plc	07/29/10	2,529 41	various	1,307 95				1221 46
130	Ugi Corp	07/29/10	3,509 00	12/10/04	2,592 27				916.73
10	Ugi Corp	07/29/10	269.93	05/24/10	254 99		14.94		
16	United Techs Corp	08/18/10	1,119.85	10/13/08	835 48				284.37
70	Universal Corp	07/29/10	3,109.93	various	2,885 95				223 98
20	Universal Corp	07/29/10	888 56	various	818 36		70.20		
125	Vodafone	07/29/10	2,952 74	various	2,711 85				240 89
34	Virgin Media	07/29/10	724 87	06/24/10	593 84		131.03		
120	WD 40 Co	07/29/10	4,449 82	various	3,474 07				975.75
150	World Fuel Services	07/29/10	3,887 27	05/12/08	1,951 34				1935.93
538	Windstream Corp	various	6,167.94	various	6,772 86			(604.92)	
55	WPP Plc	07/29/10	2,943 20	various	2,152 98				790 22
110	Weis Markets Inc	07/29/10	3,919 56	various	3,851 35				68 21
15	Westpac Banking	07/29/10	1,624 17	various	1,594 03		30 14		
145	Wolverine World Wide	07/29/10	4,181.50	various	3,440 69				740 81
314	Altria Group	08/27/10	7,055.76	various	6,528 63				527 13
18	Goldman Sachs	08/27/10	2,505 24	various	3,039 49	(534 25)			
12	Google Inc	09/14/10	5,766 85	various	7,093 82	(1,326.97)			
67	Hewlett Packard Co	09/14/10	2,650 47	various	2,942 00	(291.53)			
204	Jabil Circuit Inc	09/08/10	2,402 00	various	1,824 83				577.17
255	Mattel Inc	09/01/10	5,407 34	various	4,477 88				929 46
131	Microsoft Corp	09/13/10	3,214 57	various	2,966 55				248 02
92	Owens Corning Inc	09/16/10	2,306 62	various	2,915 10	(608 48)			
11	Technip	09/24/10	849.97	07/29/10	749 10		100 87		
9	Agrium Inc	10/18/10	776.76	07/29/10	562 72		214 04		
54	Annaly Cap Mgmt	10/18/10	974.94	07/15/08	839 79				135 15

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29	Ameren Corp	10/18/10	851 57	09/12/07	1,473 62			(622 05)	
18	Baxter International Inc	10/18/10	881 54	05/19/10	771.44		110.10		
16	Coca Cola	10/18/10	1,317 58	07/29/10	1,063 80		253 78		
16	Centurylink Inc	10/18/10	638.47	02/27/09	413 38				225.09
7	Chevron Corp	10/18/10	588.03	11/15/05	405 67				182 36
21	Companhia	10/18/10	1,067 09	07/29/10	841 02		226.07		
27	Conoco Phillips	10/18/10	1,651 29	02/28/06	1,652 01			(0 72)	
23	Cisco Systems Inc	10/18/10	534 62	04/23/07	611.25			(76.63)	
53	Coca Cola	10/18/10	3,131.83	01/11/10	2,952 91		178 92		
83	Crane Co	10/06/10	3,198.44	07/29/10	2,943.28		255 16		
27	Diamond Offshore Drilling	10/18/10	1,947 74	various	3,898.82			(1,951.08)	
21	Freeport McMran	10/18/10	2,020.47	01/08/10	1,842.93		177 54		
69	Glaxosmith Kline	10/18/10	2,833.05	various	3,364.45			(531 40)	
10	Herbalife Ltd	10/14/10	649.36	08/04/10	554 04		95.32		
5	IBM	10/18/10	711 76	04/07/09	494 66				217.10
10	HIS Inc	10/22/10	719.18	07/29/10	629 50		89.68		
30	Kraft Foods Inc	10/18/10	949.93	05/01/07	1,004 57			(54 64)	
90	Kimberly Clark	10/15/10	5,920.45	various	5,648 09				272.36
35	Kimberly Clark	10/18/10	2,327.07	05/07/10	2,155 28		171.79		
14	Lubrizol Corp	10/18/10	1,587.01	01/15/10	1,092 46		494.55		
20	Marathon Oil	10/18/10	717.49	04/25/05	490 40				227.09
22	McDonalds Corp	10/15/10	1,698.08	06/08/10	1,479 34		218.74		
38	McGraw Hill	10/18/10	1,340.81	08/25/09	1,186 89				153.92
387	Pfizer Inc	10/18/10	6,695 27	various	8,456 98			(1,761.71)	
13	Reynolds American Inc	10/18/10	811.25	12/10/04	489 58				321.67
15	Royal Dutch Shell	10/18/10	957.86	10/02/07	1,228 16			(270.30)	
28	Total S A.	10/18/10	1,546.51	various	1,845 12			(298 61)	
25	Technip	09/28/10	1,946 24	07/29/10	1,702 50		243.74		
141	Time Warner Inc	10/26/10	4,444 03	05/01/09	2,966 65				1477.38
83	United Health Group	various	2,967.87	01/21/10	2,804 99		162 88		
20	Verizon Comm	10/18/10	652.10	02/08/06	573 21				78 89
29	Wyndham Worldwide	10/22/10	857.52	07/29/10	737 16		120 36		
25	Wabco Holdings Inc	10/25/10	1,070.20	07/29/10	957 68		112 52		
200	Walgreen Co	10/04/10	6,663 63	various	6,832 39	(168 76)			
90	Xerox Corp	10/18/10	1,009 18	various	1,210 62			(201 44)	
1,588	Fixed Income Shares	01/00/00	19,304 69	12/14/04	19,037 76				266 93
104	Amdocs Limited	11/08/10	2,800 75	07/29/10	2,850 35	(49 60)			
30	Accenture	11/03/10	1,358.77	07/29/10	1,185 15		173.62		
67	Banco Bilbao	11/12/10	779 15	07/29/10	921 66	(142 51)			
16	Coach	10/29/10	796.75	07/29/10	585 60		211.15		
115	Cisco Systems Inc	11/11/10	2,369.95	04/23/07	3,053 28			(683 33)	
17	Colgate Palmolive	11/09/10	1,313 15	01/02/10	1,367 12	(53.97)			
50	CRH	11/22/10	976.11	various	1,076 58	(100.47)			
84	Dresser Rand Group	11/17/10	3,157.04	07/29/10	3,110 51		46 53		
135	Directv	11/16/10	5,600 58	10/30/09	3,655 21				1945.37
104	Glaxosmith Kline	11/02/10	4,080 08	06/05/07	5,414 13			(1,334 05)	
73	Global Payments Inc	11/23/10	2,982.19	07/29/10	2,733 85		248 34		
72	Lennox Intl Inc	11/10/10	2,972 12	07/29/10	3,098 88	(126.76)			
23	Limited Brands	11/23/10	754 21	07/29/10	587.12		167.09		
162	McGraw Hill	11/19/10	5,800 85	various	5,069 97				730.88
253	Microsoft Corp	11/01/10	6,785 78	various	5,204.46				1581 32
167	St Jude Medical Inc	11/03/10	6,323.46	various	6,096.92				226.54
169	Siliconware Precsn	11/10/10	886.81	07/29/10	829 47		57.34		
72	Siliconware Precsn	11/19/10	367 20	07/29/10	353.38		13.82		
75	Teva Pharmactcl Ind	11/09/10	3,782.67	various	4,496.12	(713.45)			
56	Target Corp	11/04/10	3,121.49	10/14/09	2,880 25				241 24
64	Time Warner Inc	10/27/10	1,992.16	various	1,774.62		217.54		

THE NEEL FOUNDATION									
CAPITAL GAINS AND LOSSES									
TAX YEAR 2010									
36	Whirlpool Corp	11/12/10	2,694 18	07/29/10	3,043 80	(349.62)			
240	Banco Bilbao	various	1,793.51	07/29/10	2,340 99	(547.48)			
15	Cooper cos Inc	12/14/10	874.44	07/29/10	585 30		289.14		
117	Cisco Systems Inc	12/02/10	2,243.62	various	2,158 89				84 73
38	Cisco Systems Inc	12/02/10	728.72	03/19/10	995 21	(266 49)			
96	Coca Cola	12/07/10	6,175.54	various	5,228 49		947 05		
63	CRH Plc	12/13/10	1,222 89	07/29/10	1,356 49	(133.60)			
22	Diamond Offshore Drilling	12/15/10	1,414.28	various	3,006 61			(1,592 33)	
16	Emergency Medical	12/14/10	964 78	08/05/10	820 63		144 15		
13	F5 Networks	12/15/10	1,698 61	09/30/10	1,378 45		320 16		
19	Goldman Sachs	12/01/10	3,011 88	08/16/10	2,825 88		186 00		
35	Gentex corp	12/08/10	1,031 59	07/29/10	673 74		357.85		
39	JP Morgan Chase	12/16/10	1,555 34	03/24/09	1,214 72		340 62		
182	Newell Rubbermaid	12/06/10	3,200.43	07/29/10	2,849 48		350 95		
19	SM Energy	12/14/10	1,031.70	07/29/10	789 07		242 63		
64	Starbucks Corp	12/16/10	2,093 44	05/07/10	1,643 35		450 09		
50	Texas Instruments	12/09/10	1,686 79	10/04/10	1,369 27		317 52		
318	Teradyne Inc	12/15/10	4,253 33	7/29/10	3,394.76		858 57		
170	Waste Management	various	5,872.12	various	5,414 68		457 44		
			857,076.70		808,812.99	(18,088.07)	32,971.55	(29,839.59)	63,219.82
			Total Short Term Gains				**14,883.48**		
			Total Long Term Gains						**33,380.23**

SCHEDULE D SUPPORT