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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

**2010**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

, 2010, and ending

, 20

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☒ Amended return☐ Address change☐ Name change

Name of foundation

LEO M. BERNSTEIN FAMILY FOUNDATION

ATTN: AMI BECKER ARONSON

A Employer identification number

52-6041822

Number and street (or P O box number if mail is not delivered to street address)

C/O THE BERNSTEIN COMPANIES

3299 K STREET, N.W.

Room/suite

700

B Telephone number (see page 10 of the instructions)

(202) 333-9000

City or town, state, and ZIP code

WASHINGTON, DC 20007

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

J Accounting method ☒ Cash ☐ Accrual

of year (from Part II, col (c), line

16) ▶ \$ 8,034,586.

☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)E If private foundation status was terminated  
under section 507(b)(1)(A), check here ▶ ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ▶ ☐**Part I Analysis of Revenue and Expenses** (The  
total of amounts in columns (b), (c), and (d)  
may not necessarily equal the amounts in  
column (a) (see page 11 of the instructions))(a) Revenue and  
expenses per  
books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable  
purposes  
(cash basis only)

|                                       |      |                                                                                      |            |          |    |          |
|---------------------------------------|------|--------------------------------------------------------------------------------------|------------|----------|----|----------|
| Revenue                               | 1    | Contributions, gifts, grants, etc., received (attach schedule)                       | 1,018,853. |          |    |          |
|                                       | 2    | Check <input type="checkbox"/> if the foundation is not required to<br>attach Sch. B |            |          |    |          |
|                                       | 3    | Interest on savings and temporary cash investments                                   | 7,657.     | 7,657.   |    | ATCH 1   |
|                                       | 4    | Dividends and interest from securities                                               | 171,955.   | 171,955. |    | ATCH 2   |
|                                       | 5a   | Gross rents                                                                          |            |          |    |          |
|                                       | b    | Net rental income or (loss)                                                          |            |          |    |          |
|                                       | 6a   | Net gain or (loss) from sale of assets not on line 10                                | 23,014.    |          |    |          |
|                                       | b    | Gross sales price for all<br>assets on line 6a                                       | 4,028,572. |          |    |          |
|                                       | 7    | Capital gain net income (from Part IV, line 2)                                       |            | 23,014.  |    |          |
|                                       | 8    | Net short-term capital gain                                                          |            |          |    |          |
|                                       | 9    | Income modifications                                                                 |            |          |    |          |
|                                       | 10 a | Gross sales less returns<br>and allowances                                           |            |          |    |          |
| Operating and Administrative Expenses | b    | Less Cost of goods sold                                                              |            |          |    |          |
|                                       | c    | Gross profit or (loss) (attach schedule)                                             |            |          |    |          |
|                                       | 11   | Other income (attach schedule)                                                       | 12,385.    | 12,385.  |    | ATCH 3   |
|                                       | 12   | Total. Add lines 1 through 11                                                        | 1,233,864. | 215,011. |    |          |
|                                       | 13   | Compensation of officers, directors, trustees, etc.                                  | 15,000.    | 5,000.   |    | 10,000.  |
|                                       | 14   | Other employee salaries and wages                                                    | 224.       | 202.     |    | 22.      |
|                                       | 15   | Pension plans—employee benefits                                                      | 5,601.     |          |    | 5,601.   |
|                                       | 16a  | Legal fees (attach schedule)                                                         |            |          |    |          |
|                                       | b    | Accounting fees (attach schedule)                                                    | 7,659.     | 5,659.   | 0. | 2,000.   |
|                                       | c    | Other professional fees (attach schedule)                                            | 17,979.    | 9,979.   |    | 8,000.   |
|                                       | 17   | Interest                                                                             |            |          |    |          |
|                                       | 18   | Taxes (attach schedule) (see page 14 of the instructions)                            | 2,350.     | 2,350.   |    |          |
|                                       | 19   | Depreciation (attach schedule) and depletion                                         | 4,966.     |          |    |          |
|                                       | 20   | Occupancy                                                                            |            |          |    |          |
|                                       | 21   | Travel, conferences, and meetings                                                    | 1,360.     | 1,224.   |    | 136.     |
|                                       | 22   | Printing and publications                                                            | 3,956.     |          |    | 3,956.   |
|                                       | 23   | Other expenses (attach schedule) ATCH 5                                              | 91,693.    | 90,580.  |    | 1,113.   |
|                                       | 24   | Total operating and administrative expenses.<br>Add lines 13 through 23              | 150,788.   | 114,994. | 0. | 30,828.  |
|                                       | 25   | Contributions, gifts, grants paid                                                    | 290,223.   |          |    | 290,223. |
|                                       | 26   | Total expenses and disbursements. Add lines 24 and 25                                | 441,011.   | 114,994. | 0. | 321,051. |
|                                       | 27   | Subtract line 26 from line 12                                                        |            |          |    |          |
|                                       | a    | Excess of revenue over expenses and disbursements                                    | 792,853.   |          |    |          |
|                                       | b    | Net investment income (if negative, enter -0-)                                       |            | 100,017. |    |          |
|                                       | c    | Adjusted net income (if negative, enter -0-)                                         |            |          |    |          |

For Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA \*\* ATCH 4

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| Part II Balance Sheets                                                                           |                                                                                                                                          | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) |                | Beginning of year     | End of year |                 |
|--------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------|-----------------------|-------------|-----------------|
|                                                                                                  |                                                                                                                                          | (a) Book Value                                                                                                     | (b) Book Value | (c) Fair Market Value |             |                 |
| Assets                                                                                           | 1 Cash - non-interest-bearing                                                                                                            |                                                                                                                    |                | 81,482.               | 114,319.    | 114,319.        |
|                                                                                                  | 2 Savings and temporary cash investments                                                                                                 |                                                                                                                    |                | 17,550.               | 203,142.    | 203,142.        |
|                                                                                                  | 3 Accounts receivable                                                                                                                    |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | Less allowance for doubtful accounts                                                                                                     |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | 4 Pledges receivable                                                                                                                     |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | Less allowance for doubtful accounts                                                                                                     |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | 5 Grants receivable                                                                                                                      |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | 7 Other notes and loans receivable (attach schedule) *                                                                                   |                                                                                                                    |                | 986,950.              | 837,384.    | ATCH 6 550,000. |
|                                                                                                  | Less allowance for doubtful accounts                                                                                                     |                                                                                                                    |                |                       | 837,384.    |                 |
|                                                                                                  | 8 Inventories for sale or use                                                                                                            |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | 9 Prepaid expenses and deferred charges                                                                                                  |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | 10 a Investments - U.S. and state government obligations (attach schedule)                                                               |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | b Investments - corporate stock (attach schedule) ATCH 7                                                                                 |                                                                                                                    |                | 4,416,895.            | 5,806,718.  | 6,684,306.      |
|                                                                                                  | c Investments - corporate bonds (attach schedule)                                                                                        |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | Liabilities                                                                                                                              | 11 Investments - land, buildings, and equipment basis                                                              |                |                       | 68,365.     |                 |
| Less accumulated depreciation (attach schedule)                                                  |                                                                                                                                          |                                                                                                                    |                | 51,441.               | 16,924.     | 125,000.        |
| 12 Investments - mortgage loans                                                                  |                                                                                                                                          |                                                                                                                    |                |                       |             |                 |
| 13 Investments - other (attach schedule) ATCH 9                                                  |                                                                                                                                          |                                                                                                                    |                | 887,206.              | 359,108.    | 357,819.        |
| 14 Land, buildings, and equipment basis                                                          |                                                                                                                                          |                                                                                                                    |                |                       |             |                 |
| Less accumulated depreciation (attach schedule)                                                  |                                                                                                                                          |                                                                                                                    |                |                       |             |                 |
| 15 Other assets (describe )                                                                      |                                                                                                                                          |                                                                                                                    |                |                       |             |                 |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) |                                                                                                                                          |                                                                                                                    |                | 6,589,083.            | 7,337,595.  | 8,034,586.      |
| 17 Accounts payable and accrued expenses                                                         |                                                                                                                                          |                                                                                                                    |                | 75,025.               | 2,874.      |                 |
| 18 Grants payable                                                                                |                                                                                                                                          |                                                                                                                    |                |                       |             |                 |
| 19 Deferred revenue                                                                              |                                                                                                                                          |                                                                                                                    |                |                       |             |                 |
| 20 Loans from officers, directors, trustees, and other disqualified persons                      |                                                                                                                                          |                                                                                                                    |                |                       |             |                 |
| 21 Mortgages and other notes payable (attach schedule)                                           |                                                                                                                                          |                                                                                                                    |                |                       |             |                 |
| 22 Other liabilities (describe ATCH 10)                                                          |                                                                                                                                          |                                                                                                                    | 1,874,205.     | 1,874,205.            |             |                 |
| 23 Total liabilities (add lines 17 through 22)                                                   |                                                                                                                                          |                                                                                                                    | 1,949,230.     | 1,877,079.            |             |                 |
| Net Assets or Fund Balances                                                                      | Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.              |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | 24 Unrestricted                                                                                                                          |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | 25 Temporarily restricted                                                                                                                |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | 26 Permanently restricted                                                                                                                |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>                |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | 27 Capital stock, trust principal, or current funds                                                                                      |                                                                                                                    |                |                       |             |                 |
|                                                                                                  | 28 Paid-in or capital surplus, or land, bldg., and equipment fund                                                                        |                                                                                                                    |                | 408,113.              | 408,113.    |                 |
|                                                                                                  | 29 Retained earnings, accumulated income, endowment, or other funds                                                                      |                                                                                                                    |                | 4,231,740.            | 5,052,403.  |                 |
|                                                                                                  | 30 Total net assets or fund balances (see page 17 of the instructions)                                                                   |                                                                                                                    |                | 4,639,853.            | 5,460,516.  |                 |
|                                                                                                  | 31 Total liabilities and net assets/fund balances (see page 17 of the instructions)                                                      |                                                                                                                    |                | 6,589,083.            | 7,337,595.  |                 |

## Part III Analysis of Changes in Net Assets or Fund Balances

|                                                                                                                                                              |   |            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 4,639,853. |
| 2 Enter amount from Part I, line 27a                                                                                                                         | 2 | 792,853.   |
| 3 Other increases not included in line 2 (itemize) ATTACHMENT 11                                                                                             | 3 | 184,399.   |
| 4 Add lines 1, 2, and 3                                                                                                                                      | 4 | 5,617,105. |
| 5 Decreases not included in line 2 (itemize) ATTACHMENT 12                                                                                                   | 5 | 34,233.    |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                      | 6 | 5,582,872. |

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)                                                                                                        |                                            |                                                 | (b) How acquired<br>P-Purchase<br>D-Donation                                              | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a</b> SEE PART IV SCHEDULE                                                                                                                                                                                                          |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| (e) Gross sales price                                                                                                                                                                                                                   | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                              |                                      |                                  |
| <b>a</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                                                             |                                            |                                                 | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |                                      |                                  |
| (i) F M V as of 12/31/69                                                                                                                                                                                                                | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                           |                                      |                                  |
| <b>a</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                                                |                                            |                                                 |                                                                                           |                                      |                                  |
| <b>2</b> Capital gain net income or (net capital loss)<br>{ If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                                                                           |                                            |                                                 | <b>2</b>                                                                                  | 23,014.                              |                                  |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)<br>If (loss), enter -0- in Part I, line 8. . . . . |                                            |                                                 | <b>3</b>                                                                                  |                                      |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                                             | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2009                                                                                                                                                                                                                                             | 171,791.                                 | 4,999,165.                                   | 0.034364                                                    |
| 2008                                                                                                                                                                                                                                             | 151,294.                                 | 3,445,989.                                   | 0.043904                                                    |
| 2007                                                                                                                                                                                                                                             | 153,577.                                 | 2,692,733.                                   | 0.057034                                                    |
| 2006                                                                                                                                                                                                                                             | 120,946.                                 | 1,678,292.                                   | 0.072065                                                    |
| 2005                                                                                                                                                                                                                                             | 116,391.                                 | 1,634,542.                                   | 0.071207                                                    |
| <b>2</b> Total of line 1, column (d) . . . . .                                                                                                                                                                                                   |                                          |                                              | <b>2</b> 0.278574                                           |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .                                                  |                                          |                                              | <b>3</b> 0.055715                                           |
| <b>4</b> Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 . . . . .                                                                                                                                                  |                                          |                                              | <b>4</b> 5,540,181.                                         |
| <b>5</b> Multiply line 4 by line 3 . . . . .                                                                                                                                                                                                     |                                          |                                              | <b>5</b> 308,671.                                           |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .                                                                                                                                                                    |                                          |                                              | <b>6</b> 1,000.                                             |
| <b>7</b> Add lines 5 and 6 . . . . .                                                                                                                                                                                                             |                                          |                                              | <b>7</b> 309,671.                                           |
| <b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . .<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18. |                                          |                                              | <b>8</b> 321,051.                                           |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

|                                                                                                                                                              |            |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1                               |            |          |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b |            | 1 1,000. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                     |            |          |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                  |            | 2        |
| 3 Add lines 1 and 2                                                                                                                                          |            | 3 1,000. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                |            | 4 0.     |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                    |            | 5 1,000. |
| 6 Credits/Payments                                                                                                                                           |            |          |
| a 2010 estimated tax payments and 2009 overpayment credited to 2010                                                                                          | 6a 15,783. |          |
| b Exempt foreign organizations-tax withheld at source                                                                                                        | 6b 0.      |          |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                        | 6c 0.      |          |
| d Backup withholding erroneously withheld                                                                                                                    | 6d         |          |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                        | 7 15,783.  |          |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                          | 8          |          |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                              | 9          |          |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                 | 10 14,783. |          |
| 11 Enter the amount of line 10 to be Credited to 2011 estimated tax 14,783. Refunded                                                                         | 11         |          |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                         | Yes | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                                 |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                                  |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation \$ (2) On foundation managers \$                                                                                                                                                                                           |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$                                                                                                                                                                                                               |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                                          |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                            |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                          |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                                      |     | X  |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                                    |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?                     | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                                      | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions)                                                                                                                                                                                                                                    |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation ATTACHMENT 13                                                                                                                             |     | X  |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV                                                                                       |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                                   | X   |    |

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**Part VII-A Statements Regarding Activities (continued)**

|                            |                                                                                                                                                                                                                  |    |     |    |
|----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11                         | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . . | 11 |     | X  |
| 12                         | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .                                                                                  | 12 |     | X  |
| 13                         | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .                                                                                    | 13 | X   |    |
| Website address <b>N/A</b> |                                                                                                                                                                                                                  |    |     |    |
| 14                         | The books are in care of <b>THE FOUNDATION</b> Telephone no <b>202-333-9000</b>                                                                                                                                  |    |     |    |
|                            | Located at <b>3299 K STREET, N.W. WASHINGTON, DC</b> ZIP + 4 <b>20007</b>                                                                                                                                        |    |     |    |
| 15                         | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .                                                                                                    | 15 |     |    |
| 16                         | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .              | 16 | Yes | No |
|                            | See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <b>▶</b>                                                            |    |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Yes                                                                 | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . .                                                                                                                                                                                                                                                            | 1b                                                                  |    |
| Organizations relying on a current notice regarding disaster assistance check here. . . . . <b>▶</b> <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                              |                                                                     |    |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? . . . . .                                                                                                                                                                                                                                                                                                        | 1c                                                                  | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                            |                                                                     |    |
| a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? . . . . .                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| If "Yes," list the years <b>▶</b> . . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions) . . . . .                                                                                                                                                                        | 2b                                                                  |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>▶</b> . . . . .                                                                                                                                                                                                                                                                                                                                                                       |                                                                     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) . . . . . | 3b                                                                  |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .                                                                                                                                                                                                                                                                                                                                                                               | 4a                                                                  | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? . . . . .                                                                                                                                                                                                                                                              | 4b                                                                  | X  |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** ☐ Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| ATTACHMENT 14        |                                                           | 15,000.                                   | -0-                                                                   | -0-                                   |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000 ☐

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000                        | (b) Type of service | (c) Compensation |
|------------------------------------------------------------------------------------|---------------------|------------------|
| NOT APPLICABLE                                                                     |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
| Total number of others receiving over \$50,000 for professional services . . . . . |                     | NONE             |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 NOT APPLICABLE                                                                                                                                                                                                                                       |          |
| 2                                                                                                                                                                                                                                                      |          |
| 3                                                                                                                                                                                                                                                      |          |
| 4                                                                                                                                                                                                                                                      |          |

**Part IX-B Summary of Program-Related Investments** (see page 24 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1 NOT APPLICABLE                                                                                                 |        |
| 2                                                                                                                |        |
| All other program-related investments. See page 24 of the instructions.                                          |        |
| 3 NONE                                                                                                           |        |
| Total. Add lines 1 through 3 . . . . .                                                                           |        |

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

|   |                                                                                                                                         |    |            |
|---|-----------------------------------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes                              |    |            |
| a | Average monthly fair market value of securities                                                                                         | 1a | 5,416,302. |
| b | Average of monthly cash balances                                                                                                        | 1b | 208,247.   |
| c | Fair market value of all other assets (see page 25 of the instructions)                                                                 | 1c | 0.         |
| d | <b>Total</b> (add lines 1a, b, and c)                                                                                                   | 1d | 5,624,549. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) ATTACHMENT 15                 | 1e | 1,874,205. |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                                                    | 2  | 0.         |
| 3 | Subtract line 2 from line 1d                                                                                                            | 3  | 5,624,549. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions) ATTACHMENT 16 | 4  | 84,368.    |
| 5 | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4                             | 5  | 5,540,181. |
| 6 | <b>Minimum investment return.</b> Enter 5% of line 5                                                                                    | 6  | 277,009.   |

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

|    |                                                                                                           |    |          |
|----|-----------------------------------------------------------------------------------------------------------|----|----------|
| 1  | Minimum investment return from Part X, line 6                                                             | 1  | 277,009. |
| 2a | Tax on investment income for 2010 from Part VI, line 5                                                    | 2a | 1,000.   |
| b  | Income tax for 2010 (This does not include the tax from Part VI)                                          | 2b |          |
| c  | Add lines 2a and 2b                                                                                       | 2c | 1,000.   |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3  | 276,009. |
| 4  | Recoveries of amounts treated as qualifying distributions                                                 | 4  |          |
| 5  | Add lines 3 and 4                                                                                         | 5  | 276,009. |
| 6  | Deduction from distributable amount (see page 25 of the instructions)                                     | 6  |          |
| 7  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 276,009. |

**Part XII Qualifying Distributions** (see page 25 of the instructions)

|   |                                                                                                                                                                     |    |          |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                                           |    |          |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                                                       | 1a | 321,051. |
| b | Program-related investments - total from Part IX-B                                                                                                                  | 1b | 0.       |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                                           | 2  | 0.       |
| 3 | Amounts set aside for specific charitable projects that satisfy the                                                                                                 |    |          |
| a | Suitability test (prior IRS approval required)                                                                                                                      | 3a | 0.       |
| b | Cash distribution test (attach the required schedule)                                                                                                               | 3b | 0.       |
| 4 | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                                   | 4  | 321,051. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5  | 1,000.   |
| 6 | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                               | 6  | 320,051. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

|                                                                                                                                                                                             | (a)<br>Corpus | (b)<br>Years prior to 2009 | (c)<br>2009 | (d)<br>2010 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2010 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 276,009.    |
| <b>2</b> Undistributed income, if any, as of the end of 2010                                                                                                                                |               |                            |             |             |
| <b>a</b> Enter amount for 2009 only . . . . .                                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Total for prior years 20 08, 20 07, 20 06 . . . . .                                                                                                                                |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2010                                                                                                                                    |               |                            |             |             |
| <b>a</b> From 2005 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2006 . . . . .                                                                                                                                                                |               |                            |             | 35,646.     |
| <b>c</b> From 2007 . . . . .                                                                                                                                                                |               |                            |             | 21,634.     |
| <b>d</b> From 2008 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2009 . . . . .                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e . . . . .                                                                                                                                              | 57,280.       |                            |             |             |
| <b>4</b> Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 321,051.                                                                                                              |               |                            |             |             |
| <b>a</b> Applied to 2009, but not more than line 2a . . . . .                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .                                                                     |               |                            |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .                                                                             |               |                            |             |             |
| <b>d</b> Applied to 2010 distributable amount . . . . .                                                                                                                                     |               |                            |             | 276,009.    |
| <b>e</b> Remaining amount distributed out of corpus . . . . .                                                                                                                               | 45,042.       |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a) )                                                  |               |                            |             |             |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                             |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .                                                                                                                          | 102,322.      |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .                                                                                                          |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               |                            |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .                                                                                           |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .                                                             |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .                                                                |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .                   |               |                            |             |             |
| <b>8</b> Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .                                                               |               |                            |             |             |
| <b>9</b> Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | 102,322.      |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                                |               |                            |             |             |
| <b>a</b> Excess from 2006 . . . . .                                                                                                                                                         |               |                            |             | 35,646.     |
| <b>b</b> Excess from 2007 . . . . .                                                                                                                                                         |               |                            |             | 21,634.     |
| <b>c</b> Excess from 2008 . . . . .                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2009 . . . . .                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2010 . . . . .                                                                                                                                                         |               |                            |             | 45,042.     |

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**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

| Tax year                                                                                                                                                           | Prior 3 years |          |          |          | (e) Total |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|----------|----------|-----------|
|                                                                                                                                                                    | (a) 2010      | (b) 2009 | (c) 2008 | (d) 2007 |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                  |               |          |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                             |               |          |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                           |               |          |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |               |          |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon                                                                                                 |               |          |          |          |           |
| <b>a</b> "Assets" alternative test - enter                                                                                                                         |               |          |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                           |               |          |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .                                                                                     |               |          |          |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                              |               |          |          |          |           |
| <b>c</b> "Support" alternative test - enter                                                                                                                        |               |          |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |               |          |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .                                      |               |          |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization . . . . .                                                                                         |               |          |          |          |           |
| <b>(4)</b> Gross investment income . . . . .                                                                                                                       |               |          |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|----------|
| <i>a Paid during the year</i>                    |                                                                                                                    |                                      |                                     |          |
| ATTACHMENT 17                                    |                                                                                                                    |                                      |                                     |          |
| <b>Total</b> . . . . .                           |                                                                                                                    |                                      | <b>3a</b>                           | 290,223. |
| <i>b Approved for future payment</i>             |                                                                                                                    |                                      |                                     |          |
| <b>Total</b> . . . . .                           |                                                                                                                    |                                      | <b>3b</b>                           |          |

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## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated             | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See page 28 of<br>the instructions) |
|------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|-------------------------------------------------------------------------------------|
|                                                            | (a)<br>Business code      | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                                     |
| 1 Program service revenue                                  |                           |               |                                      |               |                                                                                     |
| a _____                                                    |                           |               |                                      |               |                                                                                     |
| b _____                                                    |                           |               |                                      |               |                                                                                     |
| c _____                                                    |                           |               |                                      |               |                                                                                     |
| d _____                                                    |                           |               |                                      |               |                                                                                     |
| e _____                                                    |                           |               |                                      |               |                                                                                     |
| f _____                                                    |                           |               |                                      |               |                                                                                     |
| g Fees and contracts from government agencies              |                           |               |                                      |               |                                                                                     |
| 2 Membership dues and assessments . . . . .                |                           |               |                                      |               |                                                                                     |
| 3 Interest on savings and temporary cash investments       |                           |               | 14                                   | 7,657.        |                                                                                     |
| 4 Dividends and interest from securities . . . . .         |                           |               | 14                                   | 171,955.      |                                                                                     |
| 5 Net rental income or (loss) from real estate             |                           |               |                                      |               |                                                                                     |
| a Debt-financed property . . . . .                         |                           |               |                                      |               |                                                                                     |
| b Not debt-financed property . . . . .                     |                           |               |                                      |               |                                                                                     |
| 6 Net rental income or (loss) from personal property .     |                           |               |                                      |               |                                                                                     |
| 7 Other investment income . . . . .                        |                           |               | 14                                   | 12,385.       |                                                                                     |
| 8 Gain or (loss) from sales of assets other than inventory |                           |               | 18                                   | 23,014.       |                                                                                     |
| 9 Net income or (loss) from special events . . . . .       |                           |               |                                      |               |                                                                                     |
| 10 Gross profit or (loss) from sales of inventory . .      |                           |               |                                      |               |                                                                                     |
| 11 Other revenue a _____                                   |                           |               |                                      |               |                                                                                     |
| b _____                                                    |                           |               |                                      |               |                                                                                     |
| c _____                                                    |                           |               |                                      |               |                                                                                     |
| d _____                                                    |                           |               |                                      |               |                                                                                     |
| e _____                                                    |                           |               |                                      |               |                                                                                     |
| 12 Subtotal. Add columns (b), (d), and (e) . . . . .       |                           |               |                                      | 215,011.      |                                                                                     |
| 13 Total. Add line 12, columns (b), (d), and (e) . . . . . |                           |               |                                      | 13            | 215,011.                                                                            |

(See worksheet in line 13 instructions on page 29 to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2010**

**Name of the organization**

LEO M. BERNSTEIN FAMILY FOUNDATION  
ATTN: AMI BECKER ARONSON

**Employer identification number**

52-6041822

**Organization type (check one)**

**Filers of:**

**Section:**

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization **LEO M. BERNSTEIN FAMILY FOUNDATION**  
**ATTN: AMI BECKER ARONSON**

Employer identification number  
**52-6041822**

**Part I Contributors** (see instructions)

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                        | (c)<br>Aggregate contributions | (d)<br>Type of contribution                                                                                                                                                 |
|------------|------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | ESTATE OF LEO BERNSTEIN<br>3299 K STREET, NW SUITE 700<br>WASHINGTON, DC 20007           | \$ 250,000.                    | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution) |
| 2          | WAYSIDE MUSEUM OF AMERICAN HISTORY & ART<br>33229 OLD VALLEY PIKE<br>STRASBURG, VA 22657 | \$ 752,898.                    | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution) |
| 3          | STUART BERNSTEIN<br>IL LUGANO-300 SEMINOLE AVE<br>PALM BEACH, FL 33480                   | \$ 5,000.                      | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution) |
| 4          | RICHARD BERNSTEIN<br>757 17TH STREET SE<br>FORT LAUDERDALE, FL 33316                     | \$ 5,000.                      | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution) |
| 5          | MISC DONATIONS<br>3299 K STREET, NW SUITE 700<br>WASHINGTON, DC 20007                    | \$ 5,955.                      | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution) |
|            |                                                                                          | \$                             | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution)            |

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

| <u>DESCRIPTION</u> | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> |
|--------------------|---------------------------------------------------|--------------------------------------|
| INTEREST INCOME    | 7,657.                                            | 7,657.                               |
| TOTAL              | <u>7,657.</u>                                     | <u>7,657.</u>                        |

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| <u>DESCRIPTION</u>                      | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> |
|-----------------------------------------|---------------------------------------------------|--------------------------------------|
| DIVIDENDS FROM STOCKS                   | 118,844.                                          | 118,844.                             |
| INTEREST FROM MORTGAGE LOANS            | 34,153.                                           | 34,153.                              |
| ESTATE OF LEO BERNSTEIN INTEREST INCOME | 18,835.                                           | 18,835.                              |
| ESTATE OF LEO BERNSTEIN DIVIDEND INCOME | 123.                                              | 123.                                 |
| TOTAL                                   | <u>171,955.</u>                                   | <u>171,955.</u>                      |

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION             | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|-------------------------|-----------------------------------------|-----------------------------|
| LATE FEES               | 615.                                    | 615.                        |
| MISCELLANEOUS           | 9,677.                                  | 9,677.                      |
| BROWN ADVISORY INCOME   | 2,074.                                  | 2,074.                      |
| ESTATE OF LEO BERNSTEIN | 19.                                     | 19.                         |
| TOTALS                  | <u>12,385.</u>                          | <u>12,385.</u>              |

ATTACHMENT 4FORM 990PF, PART I - TAXES

| <u>DESCRIPTION</u> | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> |
|--------------------|---------------------------------------------------|--------------------------------------|
| FOREIGN TAXES      | 2,350.                                            | 2,350.                               |
| TOTALS             | <u>2,350.</u>                                     | <u>2,350.</u>                        |

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION                | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME | CHARITABLE<br>PURPOSES |
|----------------------------|-----------------------------------------|-----------------------------|------------------------|
| COMPUTER SOFTWARE SUPPLIES | 1,435.                                  | 1,292.                      | 143.                   |
| OFFICE SUPPLIES            | 250.                                    | 225.                        | 25.                    |
| MEALS & ENTERTAINMENT      | 1,037.                                  | 933.                        | 104.                   |
| BANK CHARGES               | 91.                                     | 91.                         |                        |
| MISC                       | 4,995.                                  | 4,496.                      | 499.                   |
| TRAINING & EDUCATION       | 1,607.                                  | 1,446.                      | 161.                   |
| REAL ESTATE TAXES          | 4,211.                                  | 4,211.                      |                        |
| CLEANING                   | 420.                                    | 378.                        | 42.                    |
| INSURANCE                  | 4,273.                                  | 4,273.                      |                        |
| SEMINARS                   | 765.                                    | 689.                        | 76.                    |
| POSTAGE AND DELIVERY       | 223.                                    | 201.                        | 22.                    |
| BAD DEBT LOSS              | 39,122.                                 | 39,122.                     |                        |
| INVESTMENT EXPENSES        | 32,858.                                 | 32,858.                     |                        |
| PARKING                    | 56.                                     | 50.                         | 6.                     |
| COMPUTER SUPPORT           | 350.                                    | 315.                        | 35.                    |
| TOTALS                     | <u>91,693.</u>                          | <u>90,580.</u>              | <u>1,113.</u>          |

ATTACHMENT 6FORM 990PF, PART II - OTHER NOTES AND LOANS RECEIVABLE

BORROWER: DARREL BATES

BEGINNING BALANCE DUE ..... 100,000.

ENDING BALANCE DUE ..... 100,000.ENDING FAIR MARKET VALUE ..... 100,000.

BORROWER: J DRISCOLL

BEGINNING BALANCE DUE ..... 68,043.

ENDING BALANCE DUE ..... 66,630.ENDING FAIR MARKET VALUE ..... 66,630.

ATTACHMENT 6 (CONT'D)

BORROWER: FRENCH &amp; INDIAN WAR FOUNDATION

BEGINNING BALANCE DUE ..... 97,000.

ENDING BALANCE DUE ..... 0.

ENDING FAIR MARKET VALUE ..... 0.

BORROWER: DAVID MALBUFF

BEGINNING BALANCE DUE ..... 39,122.

ENDING BALANCE DUE ..... 0.

ENDING FAIR MARKET VALUE ..... 0.

ATTACHMENT 6 (CONT'D)

BORROWER: F&amp;CRABILL, INC

BEGINNING BALANCE DUE ..... 96,162.

ENDING BALANCE DUE ..... 95,257.

ENDING FAIR MARKET VALUE ..... 95,257.

BORROWER: D PITCOCK

BEGINNING BALANCE DUE ..... 458,735.

ENDING BALANCE DUE ..... 455,966.

ENDING FAIR MARKET VALUE ..... 168,582.

ATTACHMENT 6 (CONT'D)

BORROWER: V DANIELS

BEGINNING BALANCE DUE ..... 6,992.

ENDING BALANCE DUE ..... 0.

ENDING FAIR MARKET VALUE ..... 0.

BORROWER: E.RASKAUSAS

BEGINNING BALANCE DUE ..... 120,896.

ENDING BALANCE DUE ..... 119,531.

ENDING FAIR MARKET VALUE ..... 119,531.

TOTAL BEGINNING OTHER NOTES AND LOANS RECEIVABLE ..... 986,950.

TOTAL ENDING BOOK - OTHER NOTES AND LOANS RECEIVABLE ..... 837,384.

TOTAL ENDING FMV - OTHER NOTES AND LOANS RECEIVABLE ..... 550,000.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7DESCRIPTION

| <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|------------------------------|-----------------------|
|------------------------------|-----------------------|

## MANAGED PORTFOLIOS

|            |            |
|------------|------------|
| 5,806,718. | 6,684,306. |
|------------|------------|

## TOTALS

|                   |                   |
|-------------------|-------------------|
| <u>5,806,718.</u> | <u>6,684,306.</u> |
|-------------------|-------------------|

INVESTMENTS - LAND, BUILDINGS, EQUIPMENTATTACHMENT 8

## FIXED ASSET DETAIL

## ACCUMULATED DEPRECIATION DETAIL

| ASSET DESCRIPTION | METHOD/<br>CLASS | FIXED ASSET DETAIL   |           |           | ACCUMULATED DEPRECIATION DETAIL |                      |                |
|-------------------|------------------|----------------------|-----------|-----------|---------------------------------|----------------------|----------------|
|                   |                  | BEGINNING<br>BALANCE | ADDITIONS | DISPOSALS | ENDING<br>BALANCE               | BEGINNING<br>BALANCE | ADDITIONS      |
| OFFICE EQUIP.     | DDB              | 714.                 |           |           | 714.                            | 714.                 |                |
| STRASBURG LAND    | L                | 20,000.              |           |           | 20,000.                         |                      |                |
| WAREHOUSE         | M39              | 180,000.             |           |           | 180,000.                        | 18,275.              | 4,615.         |
| APPLE COMPUTER    | M5               | 3,048.               |           |           | 3,048.                          | 2,170.               | 351.           |
| TOTALS            |                  | <u>203,762.</u>      |           |           | <u>203,762.</u>                 | <u>21,159.</u>       | <u>26,125.</u> |

ATTACHMENT 9FORM 990PF, PART II - OTHER INVESTMENTS

| <u>DESCRIPTION</u>            | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|-------------------------------|------------------------------|-----------------------|
| ISRAEL STATE BONDS            | 1,000.                       | 1,000.                |
| CERTIFICATES OF DEPOSIT       | 269,324.                     | 269,324.              |
| BROWN ADVISORY INVESTORS, LLP | 88,784.                      | 87,495.               |
| TOTALS                        | <u>359,108.</u>              | <u>357,819.</u>       |

ATTACHMENT 10FORM 990PF, PART II - OTHER LIABILITIES

| <u>DESCRIPTION</u>                | <u>ENDING<br/>BOOK VALUE</u> |
|-----------------------------------|------------------------------|
| ASSETS SUBJECT TO CONTRACT CLAIMS | 1,874,205.                   |
| TOTALS                            | <u>1,874,205.</u>            |

ATTACHMENT 11FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

TAX EXEMPT INTEREST  
UNREALIZED GAIN

376.  
184,023.

TOTAL

184,399.

ATTACHMENT 12FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

FEDERAL TAXES

13,099.

TAXABLE INCOME NOT RECORDED ON BOOKS

21,134.

TOTAL

34,233.

FORM 990PF, PART VII-A, LINE 8B - EXPLANATION OF NON-FILING

DC DOES NOT REQUIRE THE ORGANIZATION TO SUBMIT ITS FORM 990-PF.

LEO M. BERNSTEIN FAMILY FOUNDATION

52-6041922

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14

| <u>NAME AND ADDRESS</u>                                                               | <u>TITLE AND AVERAGE HOURS PER<br/>WEEK DEVOTED TO POSITION</u> | <u>COMPENSATION</u> |
|---------------------------------------------------------------------------------------|-----------------------------------------------------------------|---------------------|
| RICHARD BERNSTEIN<br>1054 31ST STREET, N.W.<br>SUITE 1000<br>WASHINGTON, DC 20007     | VICE PRES.                                                      |                     |
| MAUREE JANE PERRY<br>2606 JACKSON STREET<br>SAN FRANCISCO, CA 94115                   | SECRETARY                                                       |                     |
| ADAM K BERNSTEIN<br>4409 KLINGLE STREET<br>WASHINGTON, DC 20016                       | TREASURER                                                       |                     |
| AMI BECKER ARONSON<br>6917 ANCHORAGE DRIVE<br>BETHESDA, MD 20817                      | MANAGING EXECUTIVE DIRECTOR                                     | 15,000.             |
| STUART BERNSTEIN<br>IL LUGANO - 300 SEMINOLE AVENUE<br>APT 3B<br>PALM BEACH, FL 33480 | PRESIDENT                                                       |                     |
| GRAND TOTALS                                                                          |                                                                 | <u>15,000.</u>      |

ATTACHMENT 15FORM 990PF, PART X - REDUCTION CLAIMED FOR BLOCKAGEDESCRIPTIONAMOUNT

ASSETS SUBJECT TO CONTRACT CLAIMS

1,874,205.

TOTAL

1,874,205.

FORM 990PF, PART X - CASH DEEMED HELD FOR CHARITABLE ACTIVITIES EXPL.

OTHER ASSETS REDUCED FROM \$1,701,313 TO ZERO; AVERAGE SECURITIES VALUE  
REDUCED BY \$172,892 TO PROVIDE FOR \$1,874,021 OF CONTRACT CLAIMS FROM  
REFUNDING AGREEMENT

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 17

| RECIPIENT NAME AND ADDRESS                                                                               | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |            | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT  |
|----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|------------|----------------------------------|---------|
|                                                                                                          |                                                                                  |            |                                  |         |
| WAYSIDE FOUNDATION OF AMERICAN HISTORY & ARTS<br>33229 OLD VALLEY PIKE<br>STRASBURG, VA 22657            | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE                | 48,723. |
| THE PRESIDENT'S COMMITTEE ON THE ARTS & HUMANITIES<br>1828 L ST NW<br>SUITE 1050<br>WASHINGTON, DC 20036 | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE                | 25,000. |
| GEORGETOWN UNIVERSITY<br>3700 O ST, NW<br>WASHINGTON, DC 20057                                           | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE.               | 5,000.  |
| GLEN ECHO PARK PARTNERSHIP<br>7300 MACARTHUR BLVD<br>GLEN ECHO, MD 20812                                 | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE                | 10,000. |
| SIXTH & I HISTORIC SYNAGOGUE<br>600 I STREET NW<br>WASHINGTON, DC 20001                                  | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE                | 10,000. |
| US HOLOCAUST MEMORIAL MUSEUM<br>100 RAOUL WALLENBERG PLACE SW<br>WASHINGTON, DC 20024                    | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE.               | 20,000. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 17 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                      | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT  |
|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|----------------------------------|---------|
|                                                                                 |                                                                                  |  |                                  |         |
| JEWISH HISTORICAL SOCIETY<br>701 4TH ST NW<br>WASHINGTON, DC 20001              | NOT APPLICABLE<br>CHARITABLE.                                                    |  | COMMUNITY SERVICE.               | 1,000.  |
| TRUST FOR THE NATIONAL MALL<br>PO BOX 96475<br>WASHINGTON, DC 20090             | NOT APPLICABLE<br>CHARITABLE                                                     |  | COMMUNITY SERVICE.               | 10,000. |
| SPECTRUM DANCE THEATER<br>800 LAKE WASHINGTON BLVD<br>SEATTLE, WA 98122         | NOT APPLICABLE<br>CHARITABLE                                                     |  | COMMUNITY SERVICE.               | 2,000.  |
| CULTURAL TOURISM DC<br>1250 H ST, NW<br>SUITE 1000<br>WASHINGTON, DC 20005      | NOT APPLICABLE<br>CHARITABLE                                                     |  | COMMUNITY SERVICE.               | 10,000. |
| JEWISH WOMEN INTERNATIONAL<br>2000 M ST NW<br>SUITE 720<br>WASHINGTON, DC 20036 | NOT APPLICABLE<br>CHARITABLE                                                     |  | COMMUNITY SERVICE                | 500.    |
| WITNESS<br>80 HANSON PLACE<br>5TH FLOOR<br>BROOKLYN, NY 11217                   | NOT APPLICABLE<br>CHARITABLE                                                     |  | COMMUNITY SERVICE                | 1,000.  |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 17 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                        | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |            | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT  |
|-----------------------------------------------------------------------------------|----------------------------------------------------------------------------------|------------|----------------------------------|---------|
|                                                                                   |                                                                                  |            |                                  |         |
| SILVER SPRINGS JEWISH COMMUNITY CENTER<br>6125 MONTROSE RD<br>ROCKVILLE, MD 20852 | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE                | 5,000.  |
| LUBAVITCH<br>700 13TH ST NW<br>WASHINGTON, DC 20005                               | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE                | 15,000. |
| GESHER JEWISH DAY SCHOOL<br>4700 SHIRLEY GATE RD<br>FAIRFAX, VA 22030             | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE                | 10,000. |
| HOPECAM<br>12110 SUNSE HILLS RD.<br>SUITE 100<br>RESTON, VA 20190                 | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE                | 500.    |
| ANTI-DEFAMATION LEAGUE<br>605 THIRD AVE<br>NEW YORK, NY 10158                     | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE                | 2,500.  |
| AUTISM SPEAKS<br>1 E 33RD ST<br>4TH FLOOR<br>NEW YORK, NY 10016                   | NOT APPLICABLE                                                                   | CHARITABLE | COMMUNITY SERVICE                | 1,000.  |

FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 17 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                     | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|----------------------------------|--------|
| BAY AREA JEWISH HEALING CENTER<br>3330 GEARY BLVD<br>3RD FLOOR WEST<br>SAN FRANCISCO, CA 94118 | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 3,000  |
| C&O CANAL TRUST<br>1850 DUAL HIGHWAY<br>SUITE 100<br>HAGERSTOWN, MD 21740                      | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 1,000. |
| CANCER SCHMANCER FOUNDATION<br>PO BOX 2760<br>RESTON, VA 20195                                 | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 2,500. |
| CHILDREN'S HOSPITAL FOUNDATION<br>111 MICHIGAN AVE, NW<br>WASHINGTON, DC 20010                 | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 500.   |
| HEBREW HOME OF GREATER WASHINGTON<br>6111 MONTROSE RD<br>ROCKVILLE, MD 20852                   | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 3,000. |
| HOPE FOR HYPOTHALAMIC HAMARTOMA<br>PO BOX 721<br>WADELLE, AZ 85355                             | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 500.   |

FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 17 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                           | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|--------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|----------------------------------|--------|
| JEWISH VOCATIONAL SERVICE<br>216 WEST JACKSON BLVD<br>SUITE 700<br>CHICAGO, IL 60606 | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 3,000. |
| KOLLEL VILNA<br>3299 K ST, NW<br>SUITE 700<br>WASHINGTON, DC 20007                   | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 6,000. |
| MERITUS COLLEGE FUND<br>PO BOX 29024<br>SAN FRANCISCO, CA 94129                      | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 6,000. |
| MOVERMOMS<br>7101 LOCH LOMOND DR<br>BETHESDA, MD 20817                               | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 500.   |
| SONOMA COMMUNITY CENTER<br>276 E NAPA ST<br>SONOMA, CA 95476                         | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 5,000. |
| SOS OUTREACH<br>910 NOTTINGHAM RD<br>N-12<br>AVON, CO 81620                          | NOT APPLICABLE<br>CHARITABLE                                                     | COMMUNITY SERVICE                | 2,000. |

FORM 990OFF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 17 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                                      | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND |  | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|-----------------------------------------------------------------------------------------------------------------|------------------------------------------------|--|----------------------------------|--------|
|                                                                                                                 | FOUNDATION STATUS OF RECIPIENT                 |  |                                  |        |
| STONE SOUP FILMS<br>3121 SOUTH ST, NW<br>WASHINGTON, DC 20007                                                   | NOT APPLICABLE<br>CHARITABLE                   |  | COMMUNITY SERVICE                | 1,000. |
| THE IDEAL SCHOOL OF MANHATTAN<br>4 W 76TH ST<br>NEW YORK, NY 10023                                              | NOT APPLICABLE<br>CHARITABLE                   |  | COMMUNITY SERVICE                | 500.   |
| THE SEED FOUNDATION<br>1776 MASSACHUSETTS AVE, NW<br>WASHINGTON, DC 20036                                       | NOT APPLICABLE<br>CHARITABLE                   |  | COMMUNITY SERVICE                | 2,000. |
| WOMEN FOR WOMEN INTERNATIONAL<br>4455 CONNECTICUT AVE, NW<br>SUITE 200<br>WASHINGTON, DC 20008                  | NOT APPLICABLE<br>CHARITABLE                   |  | COMMUNITY SERVICE                | 3,000. |
| WORLD DANCE THEATER<br>43-18 42 ST#2R<br>SUNNYSIDE, NY 11104                                                    | NOT APPLICABLE<br>CHARITABLE                   |  | COMMUNITY SERVICE                | 2,500. |
| PURKAL YOUTH DEVELOPMENT SOCIETY<br>PURKAL VILLAGE P.O. 248009<br>BHAGWANTPUR, DEHRADUN<br>UTTARAKHAND<br>INDIA | NOT APPLICABLE<br>CHARITABLE                   |  | COMMUNITY SERVICE                | 1,000. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 17 (CONT'D)

| RECIPIENT NAME AND ADDRESS                                                                | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT |  | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT  |
|-------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|--|----------------------------------|---------|
|                                                                                           |                                                                                  |  |                                  |         |
| SASHA BRUCE YOUTHWORK, INC.<br>741 8TH ST, SE<br>WASHINGTON, DC 20003                     | NOT APPLICABLE<br>CHARITABLE                                                     |  | COMMUNITY SERVICE                | 10,000. |
| OPEN DOR FOUNDATION<br>5502 WESTERN AVE.<br>CHEVY CHASE, MD 20815                         | NOT APPLICABLE<br>CHARITABLE                                                     |  | COMMUNITY SERVICE                | 10,000. |
| WASHINGTON PROJECT FOR THE ARTS<br>2023 MASSACHUSETTS AVE, NW<br>WASHINGTON, DC 20036     | NOT APPLICABLE<br>CHARITABLE                                                     |  | COMMUNITY SERVICE                | 15,000. |
| WASHINGTON AREA WOMEN'S FOUNDATION<br>14111 K ST, NW<br>SUITE 800<br>WASHINGTON, DC 20005 | NOT APPLICABLE<br>CHARITABLE                                                     |  | COMMUNITY SERVICE                | 10,000. |
| THE EXPERIMENT IN INTERNATIONAL LIVING<br>1 KIEPLING RD<br>BRATTLEBORO, VT 05302          | NOT APPLICABLE<br>CHARITABLE                                                     |  | COMMUNITY SERVICE                | 10,000. |
| SIBLEY MEMORIAL HOSPITAL FOUNDATION<br>5255 LOUGHBORO RD, NW<br>WASHINGTON, DC 20016      | NOT APPLICABLE<br>CHARITABLE                                                     |  | COMMUNITY SERVICE                | 10,000. |

FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 17 (CONT'D)

## RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENTRECIPIENT NAME AND ADDRESSPURPOSE OF GRANT OR CONTRIBUTIONAMOUNT

|                                                                              |                              |                   |        |
|------------------------------------------------------------------------------|------------------------------|-------------------|--------|
| THE ASPEN INSTITUTE<br>1 DUPONT CIR, NW<br>SUITE 700<br>WASHINGTON, DC 20036 | NOT APPLICABLE<br>CHARITABLE | COMMUNITY SERVICE | 5,000. |
|------------------------------------------------------------------------------|------------------------------|-------------------|--------|

TOTAL CONTRIBUTIONS PAID

290,223.

**SCHEDULE D**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for  
Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

**2010**

Name of estate or trust **LEO M. BERNSTEIN FAMILY FOUNDATION**  
**ATTN: AMI BECKER ARONSON**

Employer identification number  
**52-6041822**

**Note:** Form 5227 filers need to complete **only** Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|----------------------------------------------------------------------------|--------------------------------------|----------------------------------|-----------------|-----------------------------------------------|--------------------------------------------------------------------|
| <b>1a</b>                                                                  |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |

|                                                                                                                                                          |           |                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------------|
| <b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .                                                               | <b>1b</b> | <b>25,277.</b> |
| <b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .                                                               | <b>2</b>  |                |
| <b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .                                          | <b>3</b>  |                |
| <b>4</b> Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss<br>Carryover Worksheet . . . . .               | <b>4</b>  | ( )            |
| <b>5</b> <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f) Enter here and on line 13,<br>column (3) on the back . . . . . ► | <b>5</b>  | <b>25,277.</b> |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|----------------------------------------------------------------------------|--------------------------------------|----------------------------------|-----------------|-----------------------------------------------|--------------------------------------------------------------------|
| <b>6a</b>                                                                  |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |
|                                                                            |                                      |                                  |                 |                                               |                                                                    |

|                                                                                                                                                            |           |                 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------------|
| <b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .                                                                  | <b>6b</b> | <b>-15,293.</b> |
| <b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .                                                            | <b>7</b>  |                 |
| <b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .                                             | <b>8</b>  |                 |
| <b>9</b> Capital gain distributions . . . . .                                                                                                              | <b>9</b>  | <b>13,030.</b>  |
| <b>10</b> Gain from Form 4797, Part I . . . . .                                                                                                            | <b>10</b> |                 |
| <b>11</b> Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss<br>Carryover Worksheet . . . . .                | <b>11</b> | ( )             |
| <b>12</b> <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f) Enter here and on line 14a,<br>column (3) on the back . . . . . ► | <b>12</b> | <b>-2,263.</b>  |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

| <b>Part III Summary of Parts I and II</b>                          |                                                                      | (1) Beneficiaries' (see instr ) | (2) Estate's or trust's | (3) Total |
|--------------------------------------------------------------------|----------------------------------------------------------------------|---------------------------------|-------------------------|-----------|
| <b>Caution: Read the instructions before completing this part.</b> |                                                                      |                                 |                         |           |
| <b>13</b>                                                          | <b>Net short-term gain or (loss)</b> . . . . .                       | <b>13</b>                       |                         | 25,277.   |
| <b>14</b>                                                          | <b>Net long-term gain or (loss):</b>                                 |                                 |                         |           |
| a                                                                  | Total for year . . . . .                                             | <b>14a</b>                      |                         | -2,263.   |
| b                                                                  | Unrecaptured section 1250 gain (see line 18 of the wrksht) . . . . . | <b>14b</b>                      |                         |           |
| c                                                                  | 28% rate gain . . . . .                                              | <b>14c</b>                      |                         |           |
| <b>15</b>                                                          | <b>Total net gain or (loss).</b> Combine lines 13 and 14a . . . . .  | <b>15</b>                       |                         | 23,014.   |

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

#### Part IV Capital Loss Limitation

|           |                                                                                                                                                                                |           |     |
|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|
| <b>16</b> | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of<br>a The loss on line 15, column (3) or b \$3,000 . . . . . | <b>16</b> | ( ) |
|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

#### Part V Tax Computation Using Maximum Capital Gains Rates

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

|           |                                                                                                                                                                                                                                                         |           |  |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--|
| <b>17</b> | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .                                                                                                                                                                         | <b>17</b> |  |
| <b>18</b> | Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .                                                                                                                                                               | <b>18</b> |  |
| <b>19</b> | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .                                                                                    | <b>19</b> |  |
| <b>20</b> | Add lines 18 and 19 . . . . .                                                                                                                                                                                                                           | <b>20</b> |  |
| <b>21</b> | If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . . .                                                                                                                                               | <b>21</b> |  |
| <b>22</b> | Subtract line 21 from line 20. If zero or less, enter -0- . . . . .                                                                                                                                                                                     | <b>22</b> |  |
| <b>23</b> | Subtract line 22 from line 17. If zero or less, enter -0- . . . . .                                                                                                                                                                                     | <b>23</b> |  |
| <b>24</b> | Enter the <b>smaller</b> of the amount on line 17 or \$2,300 . . . . .                                                                                                                                                                                  | <b>24</b> |  |
| <b>25</b> | Is the amount on line 23 equal to or more than the amount on line 24?<br><input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26, go to line 27 and check the "No" box<br><input type="checkbox"/> <b>No.</b> Enter the amount from line 23 . . . . . | <b>25</b> |  |
| <b>26</b> | Subtract line 25 from line 24 . . . . .                                                                                                                                                                                                                 | <b>26</b> |  |
| <b>27</b> | Are the amounts on lines 22 and 26 the same?<br><input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> <b>No.</b> Enter the <b>smaller</b> of line 17 or line 22 . . . . .                                  | <b>27</b> |  |
| <b>28</b> | Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .                                                                                                                                                                                | <b>28</b> |  |
| <b>29</b> | Subtract line 28 from line 27 . . . . .                                                                                                                                                                                                                 | <b>29</b> |  |
| <b>30</b> | Multiply line 29 by 15% ( 15) . . . . .                                                                                                                                                                                                                 | <b>30</b> |  |
| <b>31</b> | Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .                                                                            | <b>31</b> |  |
| <b>32</b> | Add lines 30 and 31 . . . . .                                                                                                                                                                                                                           | <b>32</b> |  |
| <b>33</b> | Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .                                                                            | <b>33</b> |  |
| <b>34</b> | <b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .                                                                                          | <b>34</b> |  |

Schedule D (Form 1041) 2010

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2010**

Name of estate or trust

LEO M. BERNSTEIN FAMILY FOUNDATION

Employer identification number

52-6041822

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|---------------------------------------|--------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 1a 36.832 SHR EVERGREEN<br>SELECT ADJUSTABLE RATE FU                   | 10/22/2009                            | 01/05/2010                     | 333.                                                   | 332.                                                           | 1.                                          |
| 9918.92 SHR EVERGREEN<br>SELECT ADJUSTABLE RATE FU                     | 07/01/2009                            | 01/05/2010                     | 89,667.                                                | 89,270.                                                        | 397.                                        |
| 28 SHR IDEXX LABS INC                                                  | 10/22/2009                            | 01/08/2010                     | 1,567.                                                 | 1,441.                                                         | 126.                                        |
| 24 SHR IDEXX LABS INC                                                  | 10/12/2009                            | 01/08/2010                     | 1,343.                                                 | 1,204.                                                         | 139.                                        |
| 9 SHR INTUITIVE SURGICAL<br>INC                                        | 10/12/2009                            | 01/08/2010                     | 2,780.                                                 | 2,286.                                                         | 494.                                        |
| 931.099 SHR VANGUARD G N M<br>A FUND INV#36                            | 12/09/2009                            | 01/21/2010                     | 10,000.                                                | 10,102.                                                        | -102.                                       |
| 43 SHR CITRIX SYS INC                                                  | 10/12/2009                            | 01/28/2010                     | 1,824.                                                 | 1,855.                                                         | -31.                                        |
| 30 SHR MICROS SYS INC                                                  | 10/12/2009                            | 02/03/2010                     | 873.                                                   | 914.                                                           | -41.                                        |
| 57 SHR MICROS SYS INC                                                  | 10/22/2009                            | 02/03/2010                     | 1,659.                                                 | 1,586.                                                         | 73.                                         |
| 21 SHR MICROS SYS INC                                                  | 07/22/2009                            | 02/03/2010                     | 611.                                                   | 557.                                                           | 54.                                         |
| 28 SHR MICROS SYS INC                                                  | 07/22/2009                            | 02/03/2010                     | 818.                                                   | 742.                                                           | 76.                                         |
| 16 SHR MICROS SYS INC                                                  | 05/29/2009                            | 02/03/2010                     | 468.                                                   | 420.                                                           | 48.                                         |
| 7 SHR INTUITIVE SURGICAL<br>INC                                        | 10/22/2009                            | 02/04/2010                     | 2,273.                                                 | 1,764.                                                         | 509.                                        |
| 32 SHR MICROS SYS INC                                                  | 05/29/2009                            | 02/04/2010                     | 895.                                                   | 841.                                                           | 54.                                         |
| 106 SHR MICROS SYS INC                                                 | 05/29/2009                            | 02/04/2010                     | 2,926.                                                 | 2,786.                                                         | 140.                                        |
| 19 SHR MICROS SYS INC                                                  | 05/29/2009                            | 02/05/2010                     | 507.                                                   | 499.                                                           | 8.                                          |
| 5530.973 SHR EVERGREEN<br>SELECT ADJUSTABLE RATE FU                    | 07/01/2009                            | 02/09/2010                     | 50,000.                                                | 49,779.                                                        | 221.                                        |
| 9 SHR COSTCO WHSL CORP                                                 | 10/22/2009                            | 02/16/2010                     | 536.                                                   | 524.                                                           | 12.                                         |
| 9 SHR MILLIPORE CORP.                                                  | 10/12/2009                            | 02/16/2010                     | 622.                                                   | 645.                                                           | -23.                                        |
| 9 SHR MILLIPORE CORP.                                                  | 10/12/2009                            | 02/16/2010                     | 622.                                                   | 645.                                                           | -23.                                        |
| 10 SHR MILLIPORE CORP.                                                 | 10/12/2009                            | 02/16/2010                     | 688.                                                   | 717.                                                           | -29.                                        |
| 64 SHR TRIMBLE NAV LTD                                                 | 10/12/2009                            | 02/16/2010                     | 1,585.                                                 | 1,404.                                                         | 181.                                        |
| 64 SHR MILLIPORE CORP.                                                 | 05/29/2009                            | 02/22/2010                     | 5,482.                                                 | 4,019.                                                         | 1,463.                                      |
| 32 SHR MILLIPORE CORP.                                                 | 10/12/2009                            | 02/22/2010                     | 2,970.                                                 | 2,293.                                                         | 677.                                        |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

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**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2010**

Name of estate or trust

LEO M. BERNSTEIN FAMILY FOUNDATION

Employer identification number

52-6041822

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>1a</b>                                                              |                                         |                                  |                                                        |                                                                |                                             |
| 52 SHR MILLIPORE CORP.                                                 | 10/22/2009                              | 02/22/2010                       | 4,826.                                                 | 3,698.                                                         | 1,128.                                      |
| 63 SHR MILLIPORE CORP.                                                 | 05/29/2009                              | 02/22/2010                       | 5,847.                                                 | 3,956.                                                         | 1,891.                                      |
| 78 SHR MILLIPORE CORP.                                                 | 05/29/2009                              | 03/01/2010                       | 8,164.                                                 | 4,898.                                                         | 3,266.                                      |
| 5 SHR PETSMART INC                                                     | 10/22/2009                              | 03/08/2010                       | 149.                                                   | 125.                                                           | 24.                                         |
| 21 SHR PETSMART INC                                                    | 10/22/2009                              | 03/08/2010                       | 622.                                                   | 523.                                                           | 99.                                         |
| 63 SHR PETSMART INC                                                    | 10/22/2009                              | 03/08/2010                       | 1,884.                                                 | 1,569.                                                         | 315.                                        |
| 42 SHR PETSMART INC                                                    | 10/22/2009                              | 03/08/2010                       | 1,244.                                                 | 1,046.                                                         | 198.                                        |
| 17778.578 SHR VANGUARD G N<br>M A FUND INV#36                          | 12/09/2009                              | 03/10/2010                       | 192,009.                                               | 192,898.                                                       | -889.                                       |
| 35.102 SHR VANGUARD G N M<br>A FUND INV#36                             | 12/31/2009                              | 03/10/2010                       | 379.                                                   | 374.                                                           | 5.                                          |
| 91.266 SHR VANGUARD G N M<br>A FUND INV#36                             | 12/31/2009                              | 03/10/2010                       | 986.                                                   | 973.                                                           | 13.                                         |
| 38 SHR ACCENTURE PLC CL A                                              | 12/31/2009                              | 03/29/2010                       | 1,611.                                                 | 1,585.                                                         | 26.                                         |
| 27 SHR ACCENTURE PLC CL A                                              | 10/12/2009                              | 03/29/2010                       | 1,145.                                                 | 1,064.                                                         | 81.                                         |
| 2 SHR COGNIZANT TECH<br>SOLUTIONS CL A                                 | 10/22/2009                              | 03/29/2010                       | 102.                                                   | 81.                                                            | 21.                                         |
| 43 SHR COGNIZANT TECH<br>SOLUTIONS CL A                                | 10/12/2009                              | 03/29/2010                       | 2,198.                                                 | 1,696.                                                         | 502.                                        |
| 39 SHR AMETEK INC                                                      | 10/22/2009                              | 04/14/2010                       | 1,656.                                                 | 1,407.                                                         | 249.                                        |
| 28 SHR AMETEK INC                                                      | 10/22/2009                              | 04/14/2010                       | 1,188.                                                 | 1,010.                                                         | 178.                                        |
| 8 SHR AMETEK INC                                                       | 10/12/2009                              | 04/14/2010                       | 339.                                                   | 281.                                                           | 58.                                         |
| 28 SHR AMETEK INC                                                      | 10/12/2009                              | 04/15/2010                       | 1,185.                                                 | 983.                                                           | 202.                                        |
| 6 SHR INTUITIVE SURGICAL<br>INC                                        | 10/22/2009                              | 04/15/2010                       | 2,336.                                                 | 1,512.                                                         | 824.                                        |
| 20 SHR AMETEK INC                                                      | 10/12/2009                              | 04/15/2010                       | 847.                                                   | 702.                                                           | 145.                                        |
| 47 SHR AMETEK INC                                                      | 10/12/2009                              | 04/16/2010                       | 1,969.                                                 | 1,650.                                                         | 319.                                        |
| 5 SHR AMETEK INC                                                       | 07/28/2009                              | 04/16/2010                       | 209.                                                   | 166.                                                           | 43.                                         |
| 44 SHR AMETEK INC                                                      | 07/28/2009                              | 04/19/2010                       | 1,826.                                                 | 1,458.                                                         | 368.                                        |
| 1 SHR AMETEK INC                                                       | 07/31/2009                              | 04/20/2010                       | 42.                                                    | 33.                                                            | 9.                                          |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

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**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2010**

Name of estate or trust

LEO M. BERNSTEIN FAMILY FOUNDATION

Employer identification number

52-6041822

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|---------------------------------------|--------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>1a</b>                                                              |                                       |                                |                                                        |                                                                |                                             |
| 38 SHR AMETEK INC                                                      | 05/29/2009                            | 04/20/2010                     | 1,589.                                                 | 1,189.                                                         | 400.                                        |
| 5 SHR AMETEK INC                                                       | 07/28/2009                            | 04/20/2010                     | 209.                                                   | 166.                                                           | 43.                                         |
| 23 SHR AMETEK INC                                                      | 07/31/2009                            | 04/20/2010                     | 960.                                                   | 751.                                                           | 209.                                        |
| 20 SHR AMETEK INC                                                      | 07/31/2009                            | 04/20/2010                     | 836.                                                   | 653.                                                           | 183.                                        |
| 125 SHR AMETEK INC                                                     | 05/29/2009                            | 04/21/2010                     | 5,253.                                                 | 3,913.                                                         | 1,340.                                      |
| 12 SHR SALESFORCE COM INC                                              | 10/22/2009                            | 04/26/2010                     | 1,064.                                                 | 746.                                                           | 318.                                        |
| 16 SHR SALESFORCE COM INC                                              | 10/12/2009                            | 04/26/2010                     | 1,418.                                                 | 993.                                                           | 425.                                        |
| 7 SHR APPLE INC                                                        | 10/22/2009                            | 04/26/2010                     | 1,883.                                                 | 1,447.                                                         | 436.                                        |
| 5086.47 SHR FEDERATED A R<br>MS FUND #96 INSTL CL                      | 03/10/2010                            | 05/07/2010                     | 50,000.                                                | 49,644.                                                        | 356.                                        |
| 36 SHR SALESFORCE COM INC                                              | 10/12/2009                            | 05/10/2010                     | 3,049.                                                 | 2,235.                                                         | 814.                                        |
| 47 SHR TRIMBLE NAV LTD                                                 | 10/12/2009                            | 05/10/2010                     | 1,403.                                                 | 1,031.                                                         | 372.                                        |
| 37 SHR TRIMBLE NAV LTD                                                 | 10/12/2009                            | 05/10/2010                     | 1,094.                                                 | 812.                                                           | 282.                                        |
| 11 SHR PETSMART INC                                                    | 10/22/2009                            | 05/24/2010                     | 351.                                                   | 274.                                                           | 77.                                         |
| 115 SHR PETSMART INC                                                   | 10/12/2009                            | 05/24/2010                     | 3,670.                                                 | 2,619.                                                         | 1,051.                                      |
| 105 SHR PETSMART INC                                                   | 10/12/2009                            | 05/24/2010                     | 3,372.                                                 | 2,391.                                                         | 981.                                        |
| 15 SHR PETSMART INC                                                    | 10/09/2009                            | 05/24/2010                     | 482.                                                   | 335.                                                           | 147.                                        |
| 53 SHR PETSMART INC                                                    | 10/09/2009                            | 05/25/2010                     | 1,639.                                                 | 1,185.                                                         | 454.                                        |
| 252 SHR PETSMART INC                                                   | 05/29/2009                            | 05/25/2010                     | 7,795.                                                 | 5,090.                                                         | 2,705.                                      |
| 23 SHR PETSMART INC                                                    | 05/29/2009                            | 05/25/2010                     | 709.                                                   | 465.                                                           | 244.                                        |
| 214 SHR PETSMART INC                                                   | 05/29/2009                            | 05/26/2010                     | 6,660.                                                 | 4,323.                                                         | 2,337.                                      |
| 55 SHR NETAPP INC                                                      | 10/22/2009                            | 05/27/2010                     | 2,087.                                                 | 1,568.                                                         | 519.                                        |
| 90 SHR NETAPP INC                                                      | 10/12/2009                            | 05/27/2010                     | 3,415.                                                 | 2,563.                                                         | 852.                                        |
| 4893.964 SHR BROWN<br>ADVISORY CORE INTERNATIONAL                      | 10/12/2009                            | 05/28/2010                     | 25,742.                                                | 30,000.                                                        | -4,258.                                     |
| 16949.153 SHR BROWN<br>ADVISORY CORE INTERNATIONAL                     | 11/03/2009                            | 05/28/2010                     | 89,153.                                                | 100,000.                                                       | -10,847.                                    |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

OMB No 1545-0092

**2010**

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

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Employer identification number

52-6041822

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

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|------------------------------------------------------------------------|---------------------------------------|--------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>1a</b> 12149.533 SHR BROWN<br>ADVISORY CORE INTERNATIONAL           | 06/01/2009                            | 05/28/2010                     | 63,907.                                                | 65,000.                                                        | -1,093.                                     |
| 151 SHR ACCENTURE PLC CL A                                             | 10/12/2009                            | 06/02/2010                     | 5,516.                                                 | 5,952.                                                         | -436.                                       |
| 4 SHR ACCENTURE PLC CL A                                               | 10/22/2009                            | 06/02/2010                     | 146.                                                   | 152.                                                           | -6.                                         |
| 42 SHR COACH INC                                                       | 01/20/2010                            | 06/03/2010                     | 1,757.                                                 | 1,494.                                                         | 263.                                        |
| 10683.761 SHR GOLDMAN<br>SACHS TRUST HIGH YIELD CL                     | 03/10/2010                            | 06/30/2010                     | 72,982.                                                | 75,000.                                                        | -2,018.                                     |
| 14705.882 SHR GOLDMAN<br>SACHS TRUST HIGH YIELD CL                     | 11/30/2009                            | 06/30/2010                     | 100,457.                                               | 100,000.                                                       | 457.                                        |
| 24 SHR TRIMBLE NAV LTD                                                 | 10/12/2009                            | 07/13/2010                     | 709.                                                   | 527.                                                           | 182.                                        |
| 20 SHR TRIMBLE NAV LTD                                                 | 10/12/2009                            | 07/14/2010                     | 594.                                                   | 439.                                                           | 155.                                        |
| 6 SHR TRIMBLE NAV LTD                                                  | 10/22/2009                            | 07/14/2010                     | 178.                                                   | 130.                                                           | 48.                                         |
| 21 SHR TRIMBLE NAV LTD                                                 | 10/22/2009                            | 07/15/2010                     | 618.                                                   | 456.                                                           | 162.                                        |
| 27 SHR TRIMBLE NAV LTD                                                 | 10/22/2009                            | 07/16/2010                     | 770.                                                   | 586.                                                           | 184.                                        |
| 25 SHR TRIMBLE NAV LTD                                                 | 10/22/2009                            | 07/19/2010                     | 707.                                                   | 543.                                                           | 164.                                        |
| 24 SHR TRIMBLE NAV LTD                                                 | 10/22/2009                            | 07/20/2010                     | 670.                                                   | 521.                                                           | 149.                                        |
| 22 SHR TRIMBLE NAV LTD                                                 | 10/22/2009                            | 07/21/2010                     | 626.                                                   | 478.                                                           | 148.                                        |
| 28 SHR COGNIZANT TECH<br>SOLUTIONS CL A                                | 06/02/2010                            | 08/05/2010                     | 1,701.                                                 | 1,392.                                                         | 309.                                        |
| 34 SHR CITRIX SYS INC                                                  | 10/12/2009                            | 08/05/2010                     | 1,953.                                                 | 1,466.                                                         | 487.                                        |
| 16 SHR SALESFORCE COM INC                                              | 10/12/2009                            | 08/23/2010                     | 1,795.                                                 | 993.                                                           | 802.                                        |
| 8312.552 SHR LAUDUS<br>MONDRIAN INTL FI INS                            | 11/27/2009                            | 08/26/2010                     | 96,675.                                                | 100,000.                                                       | -3,325.                                     |
| 11.781 SHR LAUDUS MONDRIAN<br>INTL FI INS                              | 12/17/2009                            | 08/26/2010                     | 137.                                                   | 135.                                                           | 2.                                          |
| 6.037 SHR LAUDUS MONDRIAN<br>INTL FI INS                               | 12/17/2009                            | 08/26/2010                     | 70.                                                    | 69.                                                            | 1.                                          |
| 6613.757 SHR LAUDUS<br>MONDRIAN INTL FI INS                            | 03/10/2010                            | 08/26/2010                     | 76,918.                                                | 75,000.                                                        | 1,918.                                      |
| 4562.044 SHR LAUDUS<br>MONDRIAN INTL FI INS                            | 05/07/2010                            | 08/26/2010                     | 53,057.                                                | 50,000.                                                        | 3,057.                                      |
| 44 SHR FMC TECHNOLOGIES<br>INC                                         | 05/10/2010                            | 09/03/2010                     | 2,930.                                                 | 2,825.                                                         | 105.                                        |
| 55 SHR STERICYCLE INC                                                  | 03/02/2010                            | 09/03/2010                     | 3,671.                                                 | 3,084.                                                         | 587.                                        |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . .

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**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

OMB No 1545-0092

**2010**

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|------------------------------------------------------------------------|---------------------------------------|--------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>1a</b> 18 SHR STERICYCLE INC                                        | 02/25/2010                            | 09/03/2010                     | 1,201.                                                 | 994.                                                           | 207.                                        |
| 31 SHR DAVITA INC                                                      | 01/08/2010                            | 09/03/2010                     | 1,988.                                                 | 1,892.                                                         | 96.                                         |
| 14 SHR DAVITA INC                                                      | 01/11/2010                            | 09/03/2010                     | 898.                                                   | 853.                                                           | 45.                                         |
| 42 SHR CITRIX SYS INC                                                  | 10/12/2009                            | 09/03/2010                     | 2,609.                                                 | 1,811.                                                         | 798.                                        |
| 5 SHR CITRIX SYS INC                                                   | 10/22/2009                            | 09/03/2010                     | 311.                                                   | 193.                                                           | 118.                                        |
| 35832 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 09/07/2010                            | 09/09/2010                     | 35,832.                                                | 35,832.                                                        |                                             |
| 54148.8 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 09/07/2010                            | 09/09/2010                     | 54,149.                                                | 54,149.                                                        |                                             |
| 735.32 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 09/07/2010                            | 09/13/2010                     | 735.                                                   | 735.                                                           |                                             |
| 93041.14 SHR DWS CASH ACC<br>TAX EX PT CSH INST                        | 09/07/2010                            | 09/14/2010                     | 93,041.                                                | 93,041.                                                        |                                             |
| 438.84 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 09/07/2010                            | 09/15/2010                     | 439.                                                   | 439.                                                           |                                             |
| 315 SHR ULTRASHORT LEHMAN<br>7 10 YEAR                                 | 01/14/2010                            | 09/15/2010                     | 12,738.                                                | 16,578.                                                        | -3,840.                                     |
| 775 SHR ULTRASHORT LEHMAN<br>BROS 20 YR                                | 11/03/2009                            | 09/15/2010                     | 25,544.                                                | 35,635.                                                        | -10,091.                                    |
| 10141.988 SHR FEDERATED A<br>R MS FUND #96 INSTL CL                    | 03/10/2010                            | 09/16/2010                     | 100,000.                                               | 98,986.                                                        | 1,014.                                      |
| 30000 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 09/07/2010                            | 09/17/2010                     | 30,000.                                                | 30,000.                                                        |                                             |
| 197673.91 SHR DWS CASH ACC<br>TAX EX PT CSH INST                       | 09/07/2010                            | 09/23/2010                     | 197,674.                                               | 197,674.                                                       |                                             |
| 21.6 SHR DWS CASH ACC TAX<br>EX PT CSH INST                            | 09/07/2010                            | 09/23/2010                     | 22.                                                    | 22.                                                            |                                             |
| 1014.34 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 09/08/2010                            | 09/23/2010                     | 1,014.                                                 | 1,014.                                                         |                                             |
| 51290.15 SHR DWS CASH ACC<br>TAX EX PT CSH INST                        | 09/10/2010                            | 09/23/2010                     | 51,290.                                                | 51,290.                                                        |                                             |
| 33 SHR ALBERTO CULVER CO                                               | 10/02/2009                            | 09/27/2010                     | 1,240.                                                 | 910.                                                           | 330.                                        |
| 123 SHR ALBERTO CULVER CO                                              | 10/12/2009                            | 09/27/2010                     | 4,623.                                                 | 3,351.                                                         | 1,272.                                      |
| 1252.59 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 09/10/2010                            | 10/04/2010                     | 1,253.                                                 | 1,253.                                                         |                                             |
| 46246.952 SHR FEDERATED A<br>R MS FUND #96 INSTL CL                    | 03/10/2010                            | 10/04/2010                     | 455,070.                                               | 451,370.                                                       | 3,700.                                      |
| 59 SHR ALBERTO CULVER CO                                               | 10/12/2009                            | 10/05/2010                     | 2,213.                                                 | 1,607.                                                         | 606.                                        |
| 101 SHR ALBERTO CULVER CO                                              | 10/22/2009                            | 10/05/2010                     | 3,788.                                                 | 2,694.                                                         | 1,094.                                      |

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**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

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OMB No 1545-0092

**2010**

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|------------------------------------------------------------------------|----------------------------------------|---------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>1a</b>                                                              |                                        |                                 |                                                        |                                                                |                                             |
| 16 SHR ALBERTO CULVER CO                                               | 10/22/2009                             | 10/08/2010                      | 602.                                                   | 427.                                                           | 175.                                        |
| 1103.5 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 09/10/2010                             | 10/15/2010                      | 1,104.                                                 | 1,104.                                                         |                                             |
| 286.72 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 09/14/2010                             | 10/15/2010                      | 287.                                                   | 287.                                                           |                                             |
| 20 SHR CITRIX SYS INC                                                  | 10/22/2009                             | 10/19/2010                      | 1,139.                                                 | 771.                                                           | 368.                                        |
| 21857.923 SHR WELLS FARGO<br>ADJ RATE                                  | 10/04/2010                             | 10/20/2010                      | 200,000.                                               | 199,563.                                                       | 437.                                        |
| 10 SHR COGNIZANT TECH<br>SOLUTIONS CL A                                | 06/02/2010                             | 10/20/2010                      | 662.                                                   | 497.                                                           | 165.                                        |
| 50247.57 SHR DWS CASH ACC<br>TAX EX PT CSH INST                        | 09/14/2010                             | 10/21/2010                      | 50,248.                                                | 50,248.                                                        |                                             |
| 38281.95 SHR DWS CASH ACC<br>TAX EX PT CSH INST                        | 09/20/2010                             | 10/21/2010                      | 38,282.                                                | 38,282.                                                        |                                             |
| 1976.82 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 09/21/2010                             | 10/21/2010                      | 1,977.                                                 | 1,977.                                                         |                                             |
| 123.12 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 09/24/2010                             | 10/21/2010                      | 123.                                                   | 123.                                                           |                                             |
| 2260.61 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 09/27/2010                             | 10/21/2010                      | 2,261.                                                 | 2,261.                                                         |                                             |
| 5863.92 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 09/30/2010                             | 10/21/2010                      | 5,864.                                                 | 5,864.                                                         |                                             |
| 70.14 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 10/01/2010                             | 10/21/2010                      | 70.                                                    | 70.                                                            |                                             |
| 1633.06 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 10/05/2010                             | 10/21/2010                      | 1,633.                                                 | 1,633.                                                         |                                             |
| 4417.27 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 10/05/2010                             | 10/21/2010                      | 4,417.                                                 | 4,417.                                                         |                                             |
| 7.28 SHR DWS CASH ACC TAX<br>EX PT CSH INST                            | 10/06/2010                             | 10/21/2010                      | 7.                                                     | 7.                                                             |                                             |
| 103.65 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 10/07/2010                             | 10/21/2010                      | 104.                                                   | 104.                                                           |                                             |
| 932.83 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 10/07/2010                             | 10/21/2010                      | 933.                                                   | 933.                                                           |                                             |
| 42.97 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 10/08/2010                             | 10/21/2010                      | 43.                                                    | 43.                                                            |                                             |
| 1400.55 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 10/14/2010                             | 10/21/2010                      | 1,401.                                                 | 1,401.                                                         |                                             |
| 226.49 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 10/15/2010                             | 10/21/2010                      | 226.                                                   | 226.                                                           |                                             |
| 182.78 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 10/18/2010                             | 10/21/2010                      | 183.                                                   | 183.                                                           |                                             |
| 42228.99 SHR DWS CASH ACC<br>TAX EX PT CSH INST                        | 10/21/2010                             | 10/21/2010                      | 42,229.                                                | 42,229.                                                        |                                             |
| 193.56 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 10/21/2010                             | 10/22/2010                      | 194.                                                   | 194.                                                           |                                             |

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**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service -

**Continuation Sheet for Schedule D**  
**(Form 1041)**

OMB No 1545-0092

**2010**

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|------------------------------------------------------------------------|---------------------------------------|--------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 1a 30965.29 SHR DWS CASH ACC<br>TAX EX PT CSH INST                     | 10/21/2010                            | 10/25/2010                     | 30,965.                                                | 30,965.                                                        |                                             |
| 9022.07 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 10/21/2010                            | 10/26/2010                     | 9,022.                                                 | 9,022.                                                         |                                             |
| 97.53 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 10/21/2010                            | 10/29/2010                     | 98.                                                    | 98.                                                            |                                             |
| 31 SHR ALLERGAN INC                                                    | 03/02/2010                            | 10/29/2010                     | 2,228.                                                 | 1,871.                                                         | 357.                                        |
| 2 SHR ALLERGAN INC                                                     | 11/16/2009                            | 10/29/2010                     | 144.                                                   | 120.                                                           | 24.                                         |
| 424.09 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 10/21/2010                            | 11/03/2010                     | 424.                                                   | 424.                                                           |                                             |
| 2110 SHR IPATH DOW JONES<br>UBS COMMODITY                              | 01/05/2010                            | 11/19/2010                     | 92,404.                                                | 91,025.                                                        | 1,379.                                      |
| 700 SHR IPATH DOW JONES<br>UBS COMMODITY                               | 10/20/2010                            | 11/19/2010                     | 30,655.                                                | 30,807.                                                        | -152.                                       |
| 85000 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 10/21/2010                            | 11/22/2010                     | 85,000.                                                | 85,000.                                                        |                                             |
| 92 SHR JACOBS ENGR GROUP<br>INC                                        | 12/02/2009                            | 11/23/2010                     | 3,566.                                                 | 3,250.                                                         | 316.                                        |
| 1334.35 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 10/21/2010                            | 11/29/2010                     | 1,334.                                                 | 1,334.                                                         |                                             |
| 1693.28 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 10/21/2010                            | 12/01/2010                     | 1,693.                                                 | 1,693.                                                         |                                             |
| 2244.89 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 10/27/2010                            | 12/01/2010                     | 2,245.                                                 | 2,245.                                                         |                                             |
| 12.74 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 11/02/2010                            | 12/01/2010                     | 13.                                                    | 13.                                                            |                                             |
| 3559.03 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 11/03/2010                            | 12/01/2010                     | 3,559.                                                 | 3,559.                                                         |                                             |
| 1115.85 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 11/04/2010                            | 12/01/2010                     | 1,116.                                                 | 1,116.                                                         |                                             |
| 1032.14 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 11/05/2010                            | 12/01/2010                     | 1,032.                                                 | 1,032.                                                         |                                             |
| 65.43 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 11/09/2010                            | 12/01/2010                     | 65.                                                    | 65.                                                            |                                             |
| 17.7 SHR DWS CASH ACC TAX<br>EX PT CSH INST                            | 11/10/2010                            | 12/01/2010                     | 18.                                                    | 18.                                                            |                                             |
| 85.69 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 11/12/2010                            | 12/01/2010                     | 86.                                                    | 86.                                                            |                                             |
| 84.69 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 11/16/2010                            | 12/01/2010                     | 85.                                                    | 85.                                                            |                                             |
| 5482.456 SHR WELLS FARGO<br>ADJ RATE                                   | 10/04/2010                            | 12/06/2010                     | 50,000.                                                | 50,055.                                                        | -55.                                        |
| 33 SHR COACH INC                                                       | 01/20/2010                            | 12/06/2010                     | 1,841.                                                 | 1,174.                                                         | 667.                                        |
| 52 SHR URBAN OUTFITTERS<br>INC                                         | 05/26/2010                            | 12/06/2010                     | 1,966.                                                 | 1,920.                                                         | 46.                                         |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2010

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**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

► See instructions for Schedule D (Form 1041).  
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2010**

Name of estate or trust

LEO M. BERNSTEIN FAMILY FOUNDATION

Employer identification number

52-6041822

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|---------------------------------------|--------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>1a</b> 52 SHR URBAN OUTFITTERS<br>INC                               | 05/26/2010                            | 12/07/2010                     | 1,954.                                                 | 1,920.                                                         | 34.                                         |
| 168.66 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 11/16/2010                            | 12/09/2010                     | 169.                                                   | 169.                                                           |                                             |
| 102.16 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 11/17/2010                            | 12/09/2010                     | 102.                                                   | 102.                                                           |                                             |
| 347.49 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 11/23/2010                            | 12/09/2010                     | 347.                                                   | 347.                                                           |                                             |
| 13517.6 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 11/23/2010                            | 12/09/2010                     | 13,518.                                                | 13,518.                                                        |                                             |
| 599.06 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 11/23/2010                            | 12/10/2010                     | 599.                                                   | 599.                                                           |                                             |
| 16501.65 SHR WELLS FARGO<br>ADJ RATE                                   | 10/04/2010                            | 12/10/2010                     | 150,000.                                               | 150,660.                                                       | -660.                                       |
| 28734.86 SHR DWS CASH ACC<br>TAX EX PT CSH INST                        | 11/23/2010                            | 12/13/2010                     | 28,735.                                                | 28,735.                                                        |                                             |
| 1078.32 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 11/24/2010                            | 12/13/2010                     | 1,078.                                                 | 1,078.                                                         |                                             |
| 2389.48 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 11/26/2010                            | 12/13/2010                     | 2,389.                                                 | 2,389.                                                         |                                             |
| 9.14 SHR DWS CASH ACC TAX<br>EX PT CSH INST                            | 12/02/2010                            | 12/13/2010                     | 9.                                                     | 9.                                                             |                                             |
| 3516.59 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 12/03/2010                            | 12/13/2010                     | 3,517.                                                 | 3,517.                                                         |                                             |
| 1453.74 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 12/03/2010                            | 12/13/2010                     | 1,454.                                                 | 1,454.                                                         |                                             |
| 50000 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 12/07/2010                            | 12/13/2010                     | 50,000.                                                | 50,000.                                                        |                                             |
| 1018.63 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 12/07/2010                            | 12/13/2010                     | 1,019.                                                 | 1,019.                                                         |                                             |
| 84676.77 SHR DWS CASH ACC<br>TAX EX PT CSH INST                        | 12/13/2010                            | 12/13/2010                     | 84,677.                                                | 84,677.                                                        |                                             |
| 925 SHR ULTRASHORT LEHMAN<br>BROS 20 YR                                | 08/19/2010                            | 12/15/2010                     | 37,009.                                                | 29,304.                                                        | 7,705.                                      |
| 65 SHR ULTRASHORT LEHMAN 7<br>10 YEAR                                  | 01/14/2010                            | 12/15/2010                     | 2,855.                                                 | 3,421.                                                         | -566.                                       |
| 875 SHR ULTRASHORT LEHMAN<br>7 10 YEAR                                 | 08/19/2010                            | 12/15/2010                     | 38,432.                                                | 35,221.                                                        | 3,211.                                      |
| 419 SHR DUKE ENERGY CORP                                               | 09/21/2010                            | 09/22/2010                     | 7,538.                                                 | 7,465.                                                         | 73.                                         |
| 1108.26 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 09/24/2010                            | 09/28/2010                     | 1,108.                                                 | 1,108.                                                         |                                             |
| 98 SHR MAGELLAN MIDSTREAM<br>PARTNERS LP                               | 09/21/2010                            | 09/28/2010                     | 4,970.                                                 | 4,950.                                                         | 20.                                         |
| 2340.69 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 09/24/2010                            | 09/29/2010                     | 2,341.                                                 | 2,341.                                                         |                                             |
| 257.32 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 09/24/2010                            | 09/30/2010                     | 257.                                                   | 257.                                                           |                                             |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2010

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**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2010**

Name of estate or trust

LEO M. BERNSTEIN FAMILY FOUNDATION

Employer identification number

52-6041822

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|---------------------------------------|--------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 1a 34.09 SHR DWS CASH ACC TAX<br>EX PT CSH INST                        | 09/24/2010                            | 10/01/2010                     | 34.                                                    | 34.                                                            |                                             |
| 779.7 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 09/24/2010                            | 10/06/2010                     | 780.                                                   | 780.                                                           |                                             |
| 1657.6 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 09/24/2010                            | 10/07/2010                     | 1,658.                                                 | 1,658.                                                         |                                             |
| 1307.92 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 09/24/2010                            | 10/25/2010                     | 1,308.                                                 | 1,308.                                                         |                                             |
| 7537.68 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 09/27/2010                            | 10/25/2010                     | 7,538.                                                 | 7,538.                                                         |                                             |
| 91.44 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 10/08/2010                            | 10/25/2010                     | 91.                                                    | 91.                                                            |                                             |
| 80.91 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 10/14/2010                            | 10/25/2010                     | 81.                                                    | 81.                                                            |                                             |
| 102 SHR DWS CASH ACC TAX<br>EX PT CSH INST                             | 10/15/2010                            | 10/25/2010                     | 102.                                                   | 102.                                                           |                                             |
| 42.72 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 10/20/2010                            | 10/25/2010                     | 43.                                                    | 43.                                                            |                                             |
| 84.75 SHR DWS CASH ACC TAX<br>EX PT CSH INST                           | 10/21/2010                            | 10/25/2010                     | 85.                                                    | 85.                                                            |                                             |
| 135122.19 SHR DWS CASH ACC<br>TAX EX PT CSH INST                       | 10/21/2010                            | 10/25/2010                     | 135,122.                                               | 135,122.                                                       |                                             |
| 405.41 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 10/21/2010                            | 10/29/2010                     | 405.                                                   | 405.                                                           |                                             |
| 1854.5 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 10/21/2010                            | 11/02/2010                     | 1,855.                                                 | 1,855.                                                         |                                             |
| 161 SHR VERIZON<br>COMMUNICATIONS INC                                  | 09/21/2010                            | 11/09/2010                     | 5,330.                                                 | 5,152.                                                         | 178.                                        |
| 93 SHR VERIZON COMMUNICATI<br>ONS INC                                  | 10/20/2010                            | 11/09/2010                     | 3,079.                                                 | 3,033.                                                         | 46.                                         |
| 6224.68 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 10/21/2010                            | 11/17/2010                     | 6,225.                                                 | 6,225.                                                         |                                             |
| 1873.56 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 10/21/2010                            | 11/22/2010                     | 1,874.                                                 | 1,874.                                                         |                                             |
| 168 SHR AMERICAN EAGLE<br>OUTFITTERS INC                               | 09/21/2010                            | 11/23/2010                     | 2,851.                                                 | 2,483.                                                         | 368.                                        |
| 98 SHR AMERICAN EAGLE<br>OUTFITTERS INC                                | 10/20/2010                            | 11/23/2010                     | 1,663.                                                 | 1,605.                                                         | 58.                                         |
| 27 SHR EXXON MOBIL CORP                                                | 09/28/2010                            | 11/30/2010                     | 1,869.                                                 | 1,666.                                                         | 203.                                        |
| 83 SHR EXXON MOBIL CORP                                                | 10/20/2010                            | 11/30/2010                     | 5,746.                                                 | 5,471.                                                         | 275.                                        |
| 2 SHR EXXON MOBIL CORP                                                 | 09/21/2010                            | 11/30/2010                     | 138.                                                   | 123.                                                           | 15.                                         |
| 741.65 SHR DWS CASH ACC<br>TAX EX PT CSH INST                          | 10/21/2010                            | 12/06/2010                     | 742.                                                   | 742.                                                           |                                             |
| 2209.04 SHR DWS CASH ACC<br>TAX EX PT CSH INST                         | 10/21/2010                            | 12/08/2010                     | 2,209.                                                 | 2,209.                                                         |                                             |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2010

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**Continuation Sheet for Schedule D  
(Form 1041)**

OMB No 1545-0092

2010

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

Employer identification number

LEO M. BERNSTEIN FAMILY FOUNDATION

52-6041822

### Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

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|                                                                                                     |         |
|-----------------------------------------------------------------------------------------------------|---------|
| <b>1b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . | 25,277. |
|-----------------------------------------------------------------------------------------------------|---------|

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

Schedule D-1 (Form 1041) 2010

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co)                             | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|----------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>6a</b>                                                                                          |                                         |                                  |                                                        |                                                                |                                             |
| 20 SHR COSTCO WHSL CORP                                                                            | 06/25/2008                              | 02/16/2010                       | 1,192.                                                 | 1,400.                                                         | -208.                                       |
| 42 SHR CISCO SYS INC                                                                               | 06/23/2008                              | 02/16/2010                       | 1,004.                                                 | 1,035.                                                         | -31.                                        |
| 26 SHR SCHLUMBERGER LTD                                                                            | 06/23/2008                              | 05/10/2010                       | 1,717.                                                 | 2,786.                                                         | -1,069.                                     |
| 27 SHR TRIMBLE NAV LTD                                                                             | 05/29/2009                              | 07/21/2010                       | 768.                                                   | 513.                                                           | 255.                                        |
| 38 SHR TRIMBLE NAV LTD                                                                             | 05/29/2009                              | 07/22/2010                       | 1,097.                                                 | 722.                                                           | 375.                                        |
| 58 SHR TRIMBLE NAV LTD                                                                             | 05/29/2009                              | 07/29/2010                       | 1,647.                                                 | 1,101.                                                         | 546.                                        |
| 48570.93 SHR U S TREASURY<br>I P S 2.500% 7/15/16                                                  | 06/01/2009                              | 09/03/2010                       | 54,149.                                                | 53,542.                                                        | 607.                                        |
| 45688.5 SHR U S TREASURY I<br>P S 2.125% 1/15/19                                                   | 06/01/2009                              | 09/09/2010                       | 50,373.                                                | 46,821.                                                        | 3,552.                                      |
| 66 SHR ALBERTO CULVER CO                                                                           | 05/29/2009                              | 10/08/2010                       | 2,483.                                                 | 1,528.                                                         | 955.                                        |
| 18 SHR ALBERTO CULVER CO                                                                           | 05/29/2009                              | 10/08/2010                       | 678.                                                   | 417.                                                           | 261.                                        |
| 100 SHR ALBERTO CULVER CO                                                                          | 05/29/2009                              | 10/11/2010                       | 3,749.                                                 | 2,315.                                                         | 1,434.                                      |
| 100 SHR ALBERTO CULVER CO                                                                          | 05/29/2009                              | 10/13/2010                       | 3,761.                                                 | 2,315.                                                         | 1,446.                                      |
| 143 SHR ALBERTO CULVER CO                                                                          | 05/29/2009                              | 10/18/2010                       | 5,370.                                                 | 3,310.                                                         | 2,060.                                      |
| 4 SHR SALESFORCE COM INC                                                                           | 10/12/2009                              | 10/19/2010                       | 418.                                                   | 248.                                                           | 170.                                        |
| 6 SHR SALESFORCE COM INC                                                                           | 05/29/2009                              | 10/19/2010                       | 627.                                                   | 226.                                                           | 401.                                        |
| 14 SHR COGNIZANT TECH<br>SOLUTIONS CL A                                                            | 10/12/2009                              | 10/20/2010                       | 926.                                                   | 552.                                                           | 374.                                        |
| 30 SHR NETAPP INC                                                                                  | 10/12/2009                              | 10/20/2010                       | 1,565.                                                 | 854.                                                           | 711.                                        |
| 32 SHR SCHLUMBERGER LTD                                                                            | 06/23/2008                              | 10/29/2010                       | 2,219.                                                 | 3,430.                                                         | -1,211.                                     |
| 31 SHR COGNIZANT TECH<br>SOLUTIONS CL A                                                            | 10/12/2009                              | 10/29/2010                       | 2,042.                                                 | 1,223.                                                         | 819.                                        |
| 49 SHR NETAPP INC                                                                                  | 10/12/2009                              | 11/12/2010                       | 2,658.                                                 | 1,395.                                                         | 1,263.                                      |
| 1 SHR NETAPP INC                                                                                   | 05/29/2009                              | 11/12/2010                       | 54.                                                    | 19.                                                            | 35.                                         |
| 1160 SHR ULTRASHORT LEHMAN<br>BROS 20 YR                                                           | 11/03/2009                              | 11/18/2010                       | 43,058.                                                | 52,997.                                                        | -9,939.                                     |
| 6 SHR JACOBS ENGR GROUP<br>INC                                                                     | 10/22/2009                              | 11/18/2010                       | 248.                                                   | 275.                                                           | -27.                                        |
| 23 SHR JACOBS ENGR GROUP<br>INC                                                                    | 10/12/2009                              | 11/18/2010                       | 950.                                                   | 1,021.                                                         | -71.                                        |
| 24 SHR JACOBS ENGR GROUP<br>INC                                                                    | 01/12/2009                              | 11/18/2010                       | 981.                                                   | 1,171.                                                         | -190.                                       |
| <b>6b Total.</b> Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . |                                         |                                  |                                                        |                                                                |                                             |

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|------------------------------------------------------------------------|-----------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| 6a 49 SHR JACOBS ENGR GROUP<br>INC                                     | 10/22/2009                              | 11/18/2010                       | 2,003.                                                 | 2,247.                                                         | -244.                                       |
| 8 SHR JACOBS ENGR GROUP<br>INC                                         | 10/12/2009                              | 11/18/2010                       | 332.                                                   | 355.                                                           | -23.                                        |
| 37 SHR JACOBS ENGR GROUP<br>INC                                        | 10/12/2009                              | 11/19/2010                       | 1,490.                                                 | 1,642.                                                         | -152.                                       |
| 17 SHR JACOBS ENGR GROUP<br>INC                                        | 10/12/2009                              | 11/19/2010                       | 684.                                                   | 754.                                                           | -70.                                        |
| 29 SHR JACOBS ENGR GROUP<br>INC                                        | 05/29/2009                              | 11/19/2010                       | 1,168.                                                 | 1,235.                                                         | -67.                                        |
| 1 SHR JACOBS ENGR GROUP<br>INC                                         | 05/29/2009                              | 11/19/2010                       | 41.                                                    | 43.                                                            | -2.                                         |
| 130 SHR JACOBS ENGR GROUP<br>INC                                       | 05/29/2009                              | 11/22/2010                       | 5,121.                                                 | 5,538.                                                         | -417.                                       |
| 32 SHR JACOBS ENGR GROUP<br>INC                                        | 07/28/2009                              | 11/22/2010                       | 1,261.                                                 | 1,294.                                                         | -33.                                        |
| 1 SHR JACOBS ENGR GROUP<br>INC                                         | 11/18/2009                              | 11/22/2010                       | 39.                                                    | 39.                                                            |                                             |
| 48 SHR JACOBS ENGR GROUP<br>INC                                        | 11/18/2009                              | 11/22/2010                       | 1,877.                                                 | 1,873.                                                         | 4.                                          |
| 57 SHR JACOBS ENGR GROUP<br>INC                                        | 11/18/2009                              | 11/23/2010                       | 2,210.                                                 | 2,224.                                                         | -14.                                        |
| 49 SHR SALESFORCE COM INC                                              | 05/29/2009                              | 11/30/2010                       | 6,928.                                                 | 1,847.                                                         | 5,081.                                      |
| 2 SHR COACH INC                                                        | 10/12/2009                              | 12/06/2010                       | 112.                                                   | 69.                                                            | 43.                                         |
| 26 SHR COSTCO WHSL CORP                                                | 10/22/2009                              | 12/06/2010                       | 1,794.                                                 | 1,513.                                                         | 281.                                        |
| 26 SHR COSTCO WHSL CORP                                                | 10/22/2009                              | 12/07/2010                       | 1,809.                                                 | 1,513.                                                         | 296.                                        |
| 35 SHR COACH INC                                                       | 10/12/2009                              | 12/07/2010                       | 1,971.                                                 | 1,211.                                                         | 760.                                        |
| 240 SHR ULTRASHORT LEHMAN<br>BROS 20 YR                                | 11/03/2009                              | 12/15/2010                       | 9,602.                                                 | 11,035.                                                        | -1,433.                                     |
| 95 SHR CANADIAN NATURAL<br>RESOURCES LTD                               | 10/12/2009                              | 12/31/2010                       | 4,224.                                                 | 3,446.                                                         | 778.                                        |
| 10 SHR SCHLUMBERGER LTD                                                | 06/23/2008                              | 12/31/2010                       | 834.                                                   | 1,072.                                                         | -238.                                       |
| 25 SHR SCHLUMBERGER LTD                                                | 10/03/2008                              | 12/31/2010                       | 2,086.                                                 | 1,837.                                                         | 249.                                        |
| 7 SHR SCHLUMBERGER LTD                                                 | 10/22/2009                              | 12/31/2010                       | 584.                                                   | 477.                                                           | 107.                                        |
| 95 SHR CANADIAN NATURAL<br>RESOURCES LTD                               | 10/12/2009                              | 12/31/2010                       | 4,224.                                                 | 3,446.                                                         | 778.                                        |
| 10 SHR SCHLUMBERGER LTD                                                | 06/23/2008                              | 12/31/2010                       | 834.                                                   | 1,072.                                                         | -238.                                       |
| 25 SHR SCHLUMBERGER LTD                                                | 10/03/2008                              | 12/31/2010                       | 2,086.                                                 | 1,837.                                                         | 249.                                        |
| 7 SHR SCHLUMBERGER LTD                                                 | 10/22/2009                              | 12/31/2010                       | 584.                                                   | 477.                                                           | 107.                                        |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2010





FEDERAL FOOTNOTES

THIS RETURN IS BEING AMENDED TO ACCOUNT FOR THE SALE OF REAL PROPERTY  
DURING THE 2010 TAX YEAR.

## FORM 990-PF - PART IV

## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind or Property                       |                                | Description                                                                     |                    |                          |                              | Date acquired       | Date sold  |
|----------------------------------------|--------------------------------|---------------------------------------------------------------------------------|--------------------|--------------------------|------------------------------|---------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                                             | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)      |            |
|                                        |                                | TOTAL CAPITAL GAIN DISTRIBUTIONS                                                |                    |                          |                              | 13,030.             |            |
| 333.                                   |                                | 36.832 SHR EVERGREEN SELECT ADJUSTABLE R P<br>PROPERTY TYPE: SECURITIES<br>332. |                    |                          |                              | 10/22/2009<br>1.    | 01/05/2010 |
| 89,667.                                |                                | 9918.92 SHR EVERGREEN SELECT ADJUSTABLE<br>89,270.                              |                    |                          |                              | 07/01/2009<br>397.  | 01/05/2010 |
| 1,567.                                 |                                | 28 SHR IDEXX LABS INC<br>1,441.                                                 |                    |                          |                              | 10/22/2009<br>126.  | 01/08/2010 |
| 1,343.                                 |                                | 24 SHR IDEXX LABS INC<br>1,204.                                                 |                    |                          |                              | 10/12/2009<br>139.  | 01/08/2010 |
| 2,780.                                 |                                | 9 SHR INTUITIVE SURGICAL INC<br>2,286.                                          |                    |                          |                              | 10/12/2009<br>494.  | 01/08/2010 |
| 10,000.                                |                                | 931.099 SHR VANGUARD G N M A FUND INV#36<br>10,102.                             |                    |                          |                              | 12/09/2009<br>-102. | 01/21/2010 |
| 1,824.                                 |                                | 43 SHR CITRIX SYS INC<br>1,855.                                                 |                    |                          |                              | 10/12/2009<br>-31.  | 01/28/2010 |
| 873.                                   |                                | 30 SHR MICROS SYS INC<br>914.                                                   |                    |                          |                              | 10/12/2009<br>-41.  | 02/03/2010 |
| 1,659.                                 |                                | 57 SHR MICROS SYS INC<br>1,586.                                                 |                    |                          |                              | 10/22/2009<br>73.   | 02/03/2010 |
| 611.                                   |                                | 21 SHR MICROS SYS INC<br>557.                                                   |                    |                          |                              | 07/22/2009<br>54.   | 02/03/2010 |
| 818.                                   |                                | 28 SHR MICROS SYS INC<br>742.                                                   |                    |                          |                              | 07/22/2009<br>76.   | 02/03/2010 |
| 468.                                   |                                | 16 SHR MICROS SYS INC<br>420.                                                   |                    |                          |                              | 05/29/2009<br>48.   | 02/03/2010 |

**FORM 990-PF - PART IV****CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                         |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                           | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 2,273.                                       |                                       | 7 SHR INTUITIVE SURGICAL INC<br>1,764.              |                          |                                |                                    |              | 10/22/2009<br>509.   | 02/04/2010 |
| 895.                                         |                                       | 32 SHR MICROS SYS INC<br>841.                       |                          |                                |                                    |              | 05/29/2009<br>54.    | 02/04/2010 |
| 2,926.                                       |                                       | 106 SHR MICROS SYS INC<br>2,786.                    |                          |                                |                                    |              | 05/29/2009<br>140.   | 02/04/2010 |
| 507.                                         |                                       | 19 SHR MICROS SYS INC<br>499.                       |                          |                                |                                    |              | 05/29/2009<br>8.     | 02/05/2010 |
| 50,000.                                      |                                       | 5530.973 SHR EVERGREEN SELECT ADJUSTABLE<br>49,779. |                          |                                |                                    |              | 07/01/2009<br>221.   | 02/09/2010 |
| 1,192.                                       |                                       | 20 SHR COSTCO WHSL CORP<br>1,400.                   |                          |                                |                                    |              | 06/25/2008<br>-208.  | 02/16/2010 |
| 536.                                         |                                       | 9 SHR COSTCO WHSL CORP<br>524.                      |                          |                                |                                    |              | 10/22/2009<br>12.    | 02/16/2010 |
| 1,004.                                       |                                       | 42 SHR CISCO SYS INC<br>1,035.                      |                          |                                |                                    |              | 06/23/2008<br>-31.   | 02/16/2010 |
| 622.                                         |                                       | 9 SHR MILLIPORE CORP.<br>645.                       |                          |                                |                                    |              | 10/12/2009<br>-23.   | 02/16/2010 |
| 622.                                         |                                       | 9 SHR MILLIPORE CORP.<br>645.                       |                          |                                |                                    |              | 10/12/2009<br>-23.   | 02/16/2010 |
| 688.                                         |                                       | 10 SHR MILLIPORE CORP.<br>717.                      |                          |                                |                                    |              | 10/12/2009<br>-29.   | 02/16/2010 |
| 1,585.                                       |                                       | 64 SHR TRIMBLE NAV LTD<br>1,404.                    |                          |                                |                                    |              | 10/12/2009<br>181.   | 02/16/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                          |                          |                                 |                                     | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|------------------------------------------------------|--------------------------|---------------------------------|-------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                            | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj. basis |              | Gain<br>or<br>(loss) |            |
| 5,482.                                       |                                       | 64 SHR MILLIPORE CORP.<br>4,019.                     |                          |                                 |                                     |              | 05/29/2009<br>1,463. | 02/22/2010 |
| 2,970.                                       |                                       | 32 SHR MILLIPORE CORP.<br>2,293.                     |                          |                                 |                                     |              | 10/12/2009<br>677.   | 02/22/2010 |
| 4,826.                                       |                                       | 52 SHR MILLIPORE CORP.<br>3,698.                     |                          |                                 |                                     |              | 10/22/2009<br>1,128. | 02/22/2010 |
| 5,847.                                       |                                       | 63 SHR MILLIPORE CORP.<br>3,956.                     |                          |                                 |                                     |              | 05/29/2009<br>1,891. | 02/22/2010 |
| 8,164.                                       |                                       | 78 SHR MILLIPORE CORP.<br>4,898.                     |                          |                                 |                                     |              | 05/29/2009<br>3,266. | 03/01/2010 |
| 149.                                         |                                       | 5 SHR PETSMART INC<br>125.                           |                          |                                 |                                     |              | 10/22/2009<br>24.    | 03/08/2010 |
| 622.                                         |                                       | 21 SHR PETSMART INC<br>523.                          |                          |                                 |                                     |              | 10/22/2009<br>99.    | 03/08/2010 |
| 1,884.                                       |                                       | 63 SHR PETSMART INC<br>1,569.                        |                          |                                 |                                     |              | 10/22/2009<br>315.   | 03/08/2010 |
| 1,244.                                       |                                       | 42 SHR PETSMART INC<br>1,046.                        |                          |                                 |                                     |              | 10/22/2009<br>198.   | 03/08/2010 |
| 192,009.                                     |                                       | 17778.578 SHR VANGUARD G N M A FUND INV#<br>192,898. |                          |                                 |                                     |              | 12/09/2009<br>-889.  | 03/10/2010 |
| 379.                                         |                                       | 35.102 SHR VANGUARD G N M A FUND INV#36<br>374.      |                          |                                 |                                     |              | 12/31/2009<br>5.     | 03/10/2010 |
| 986.                                         |                                       | 91.266 SHR VANGUARD G N M A FUND INV#36<br>973.      |                          |                                 |                                     |              | 12/31/2009<br>13.    | 03/10/2010 |

**FORM 990-PF - PART IV****CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                    |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                      | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 1,611.                                       |                                       | 38 SHR ACCENTURE PLC CL A<br>1,585.            |                          |                                |                                    |              | 12/31/2009<br>26.    | 03/29/2010 |
| 1,145.                                       |                                       | 27 SHR ACCENTURE PLC CL A<br>1,064.            |                          |                                |                                    |              | 10/12/2009<br>81.    | 03/29/2010 |
| 102.                                         |                                       | 2 SHR COGNIZANT TECH SOLUTIONS CL A<br>81.     |                          |                                |                                    |              | 10/22/2009<br>21.    | 03/29/2010 |
| 2,198.                                       |                                       | 43 SHR COGNIZANT TECH SOLUTIONS CL A<br>1,696. |                          |                                |                                    |              | 10/12/2009<br>502.   | 03/29/2010 |
| 1,656.                                       |                                       | 39 SHR AMETEK INC<br>1,407.                    |                          |                                |                                    |              | 10/22/2009<br>249.   | 04/14/2010 |
| 1,188.                                       |                                       | 28 SHR AMETEK INC<br>1,010.                    |                          |                                |                                    |              | 10/22/2009<br>178.   | 04/14/2010 |
| 339.                                         |                                       | 8 SHR AMETEK INC<br>281.                       |                          |                                |                                    |              | 10/12/2009<br>58.    | 04/14/2010 |
| 1,185.                                       |                                       | 28 SHR AMETEK INC<br>983.                      |                          |                                |                                    |              | 10/12/2009<br>202.   | 04/15/2010 |
| 2,336.                                       |                                       | 6 SHR INTUITIVE SURGICAL INC<br>1,512.         |                          |                                |                                    |              | 10/22/2009<br>824.   | 04/15/2010 |
| 847.                                         |                                       | 20 SHR AMETEK INC<br>702.                      |                          |                                |                                    |              | 10/12/2009<br>145.   | 04/15/2010 |
| 1,969.                                       |                                       | 47 SHR AMETEK INC<br>1,650.                    |                          |                                |                                    |              | 10/12/2009<br>319.   | 04/16/2010 |
| 209.                                         |                                       | 5 SHR AMETEK INC<br>166.                       |                          |                                |                                    |              | 07/28/2009<br>43.    | 04/16/2010 |

## FORM 990-PF - PART IV

## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property                             |                                       | Description                                         |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                           | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 1,826.                                       |                                       | 44 SHR AMETEK INC<br>1,458.                         |                          |                                |                                    |              | 07/28/2009<br>368.   | 04/19/2010 |
| 42.                                          |                                       | 1 SHR AMETEK INC<br>33.                             |                          |                                |                                    |              | 07/31/2009<br>9.     | 04/20/2010 |
| 1,589.                                       |                                       | 38 SHR AMETEK INC<br>1,189.                         |                          |                                |                                    |              | 05/29/2009<br>400.   | 04/20/2010 |
| 209.                                         |                                       | 5 SHR AMETEK INC<br>166.                            |                          |                                |                                    |              | 07/28/2009<br>43.    | 04/20/2010 |
| 960.                                         |                                       | 23 SHR AMETEK INC<br>751.                           |                          |                                |                                    |              | 07/31/2009<br>209.   | 04/20/2010 |
| 836.                                         |                                       | 20 SHR AMETEK INC<br>653.                           |                          |                                |                                    |              | 07/31/2009<br>183.   | 04/20/2010 |
| 5,253.                                       |                                       | 125 SHR AMETEK INC<br>3,913.                        |                          |                                |                                    |              | 05/29/2009<br>1,340. | 04/21/2010 |
| 1,064.                                       |                                       | 12 SHR SALESFORCE COM INC<br>746.                   |                          |                                |                                    |              | 10/22/2009<br>318.   | 04/26/2010 |
| 1,418.                                       |                                       | 16 SHR SALESFORCE COM INC<br>993.                   |                          |                                |                                    |              | 10/12/2009<br>425.   | 04/26/2010 |
| 1,883.                                       |                                       | 7 SHR APPLE INC<br>1,447.                           |                          |                                |                                    |              | 10/22/2009<br>436.   | 04/26/2010 |
| 50,000.                                      |                                       | 5086.47 SHR FEDERATED A R MS FUND #96 IN<br>49,644. |                          |                                |                                    |              | 03/10/2010<br>356.   | 05/07/2010 |
| 3,049.                                       |                                       | 36 SHR SALESFORCE COM INC<br>2,235.                 |                          |                                |                                    |              | 10/12/2009<br>814.   | 05/10/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                       |                          |                                |                                    | P<br>or<br>D | Date<br>acquired      | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis         | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)  |            |
| 1,717.                                       |                                       | 26 SHR SCHLUMBERGER LTD<br>2,786. |                          |                                |                                    |              | 06/23/2008<br>-1,069. | 05/10/2010 |
| 1,403.                                       |                                       | 47 SHR TRIMBLE NAV LTD<br>1,031.  |                          |                                |                                    |              | 10/12/2009<br>372.    | 05/10/2010 |
| 1,094.                                       |                                       | 37 SHR TRIMBLE NAV LTD<br>812.    |                          |                                |                                    |              | 10/12/2009<br>282.    | 05/10/2010 |
| 351.                                         |                                       | 11 SHR PETSMART INC<br>274.       |                          |                                |                                    |              | 10/22/2009<br>77.     | 05/24/2010 |
| 3,670.                                       |                                       | 115 SHR PETSMART INC<br>2,619.    |                          |                                |                                    |              | 10/12/2009<br>1,051.  | 05/24/2010 |
| 3,372.                                       |                                       | 105 SHR PETSMART INC<br>2,391.    |                          |                                |                                    |              | 10/12/2009<br>981.    | 05/24/2010 |
| 482.                                         |                                       | 15 SHR PETSMART INC<br>335.       |                          |                                |                                    |              | 10/09/2009<br>147.    | 05/24/2010 |
| 1,639.                                       |                                       | 53 SHR PETSMART INC<br>1,185.     |                          |                                |                                    |              | 10/09/2009<br>454.    | 05/25/2010 |
| 7,795.                                       |                                       | 252 SHR PETSMART INC<br>5,090.    |                          |                                |                                    |              | 05/29/2009<br>2,705.  | 05/25/2010 |
| 709.                                         |                                       | 23 SHR PETSMART INC<br>465.       |                          |                                |                                    |              | 05/29/2009<br>244.    | 05/25/2010 |
| 6,660.                                       |                                       | 214 SHR PETSMART INC<br>4,323.    |                          |                                |                                    |              | 05/29/2009<br>2,337.  | 05/26/2010 |
| 2,087.                                       |                                       | 55 SHR NETAPP INC<br>1,568.       |                          |                                |                                    |              | 10/22/2009<br>519.    | 05/27/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                          |                    |                          |                              | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|------------------------------------------------------|--------------------|--------------------------|------------------------------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                  | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)         |            |
| 3,415.                                 |                                | 90 SHR NETAPP INC<br>2,563.                          |                    |                          |                              | 10/12/2009<br>852.     | 05/27/2010 |
| 25,742.                                |                                | 4893.964 SHR BROWN ADVISORY CORE INTERNA<br>30,000.  |                    |                          |                              | 10/12/2009<br>-4,258.  | 05/28/2010 |
| 89,153.                                |                                | 16949.153 SHR BROWN ADVISORY CORE INTERN<br>100,000. |                    |                          |                              | 11/03/2009<br>-10,847. | 05/28/2010 |
| 63,907.                                |                                | 12149.533 SHR BROWN ADVISORY CORE INTERN<br>65,000.  |                    |                          |                              | 06/01/2009<br>-1,093.  | 05/28/2010 |
| 5,516.                                 |                                | 151 SHR ACCENTURE PLC CL A<br>5,952.                 |                    |                          |                              | 10/12/2009<br>-436.    | 06/02/2010 |
| 146.                                   |                                | 4 SHR ACCENTURE PLC CL A<br>152.                     |                    |                          |                              | 10/22/2009<br>-6.      | 06/02/2010 |
| 1,757.                                 |                                | 42 SHR COACH INC<br>1,494.                           |                    |                          |                              | 01/20/2010<br>263.     | 06/03/2010 |
| 72,982.                                |                                | 10683.761 SHR GOLDMAN SACHS TRUST HIGH Y<br>75,000.  |                    |                          |                              | 03/10/2010<br>-2,018.  | 06/30/2010 |
| 100,457.                               |                                | 14705.882 SHR GOLDMAN SACHS TRUST HIGH Y<br>100,000. |                    |                          |                              | 11/30/2009<br>457.     | 06/30/2010 |
| 709.                                   |                                | 24 SHR TRIMBLE NAV LTD<br>527.                       |                    |                          |                              | 10/12/2009<br>182.     | 07/13/2010 |
| 594.                                   |                                | 20 SHR TRIMBLE NAV LTD<br>439.                       |                    |                          |                              | 10/12/2009<br>155.     | 07/14/2010 |
| 178.                                   |                                | 6 SHR TRIMBLE NAV LTD<br>130.                        |                    |                          |                              | 10/22/2009<br>48.      | 07/14/2010 |

**FORM 990-PF - PART IV****CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                          |                          |                                |                                    | P<br>or<br>D | Date<br>acquired      | Date sold  |
|----------------------------------------------|---------------------------------------|------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                            | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)  |            |
| 618.                                         |                                       | 21 SHR TRIMBLE NAV LTD<br>456.                       |                          |                                |                                    |              | 10/22/2009<br>162.    | 07/15/2010 |
| 770.                                         |                                       | 27 SHR TRIMBLE NAV LTD<br>586.                       |                          |                                |                                    |              | 10/22/2009<br>184.    | 07/16/2010 |
| 707.                                         |                                       | 25 SHR TRIMBLE NAV LTD<br>543.                       |                          |                                |                                    |              | 10/22/2009<br>164.    | 07/19/2010 |
| 670.                                         |                                       | 24 SHR TRIMBLE NAV LTD<br>521.                       |                          |                                |                                    |              | 10/22/2009<br>149.    | 07/20/2010 |
| 626.                                         |                                       | 22 SHR TRIMBLE NAV LTD<br>478.                       |                          |                                |                                    |              | 10/22/2009<br>148.    | 07/21/2010 |
| 768.                                         |                                       | 27 SHR TRIMBLE NAV LTD<br>513.                       |                          |                                |                                    |              | 05/29/2009<br>255.    | 07/21/2010 |
| 1,097.                                       |                                       | 38 SHR TRIMBLE NAV LTD<br>722.                       |                          |                                |                                    |              | 05/29/2009<br>375.    | 07/22/2010 |
| 1,647.                                       |                                       | 58 SHR TRIMBLE NAV LTD<br>1,101.                     |                          |                                |                                    |              | 05/29/2009<br>546.    | 07/29/2010 |
| 1,701.                                       |                                       | 28 SHR COGNIZANT TECH SOLUTIONS CL A<br>1,392.       |                          |                                |                                    |              | 06/02/2010<br>309.    | 08/05/2010 |
| 1,953.                                       |                                       | 34 SHR CITRIX SYS INC<br>1,466.                      |                          |                                |                                    |              | 10/12/2009<br>487.    | 08/05/2010 |
| 1,795.                                       |                                       | 16 SHR SALESFORCE COM INC<br>993.                    |                          |                                |                                    |              | 10/12/2009<br>802.    | 08/23/2010 |
| 96,675.                                      |                                       | 8312.552 SHR LAUDUS MONDRIAN INTL FI INS<br>100,000. |                          |                                |                                    |              | 11/27/2009<br>-3,325. | 08/26/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                         |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                           | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 137.                                         |                                       | 11.781 SHR LAUDUS MONDRIAN INTL FI INS<br>135.      |                          |                                |                                    |              | 12/17/2009<br>2.     | 08/26/2010 |
| 70.                                          |                                       | 6.037 SHR LAUDUS MONDRIAN INTL FI INS<br>69.        |                          |                                |                                    |              | 12/17/2009<br>1.     | 08/26/2010 |
| 76,918.                                      |                                       | 6613.757 SHR LAUDUS MONDRIAN INTL FI INS<br>75,000. |                          |                                |                                    |              | 03/10/2010<br>1,918. | 08/26/2010 |
| 53,057.                                      |                                       | 4562.044 SHR LAUDUS MONDRIAN INTL FI INS<br>50,000. |                          |                                |                                    |              | 05/07/2010<br>3,057. | 08/26/2010 |
| 2,930.                                       |                                       | 44 SHR FMC TECHNOLOGIES INC<br>2,825.               |                          |                                |                                    |              | 05/10/2010<br>105.   | 09/03/2010 |
| 3,671.                                       |                                       | 55 SHR STERICYCLE INC<br>3,084.                     |                          |                                |                                    |              | 03/02/2010<br>587.   | 09/03/2010 |
| 1,201.                                       |                                       | 18 SHR STERICYCLE INC<br>994.                       |                          |                                |                                    |              | 02/25/2010<br>207.   | 09/03/2010 |
| 1,988.                                       |                                       | 31 SHR DAVITA INC<br>1,892.                         |                          |                                |                                    |              | 01/08/2010<br>96.    | 09/03/2010 |
| 898.                                         |                                       | 14 SHR DAVITA INC<br>853.                           |                          |                                |                                    |              | 01/11/2010<br>45.    | 09/03/2010 |
| 2,609.                                       |                                       | 42 SHR CITRIX SYS INC<br>1,811.                     |                          |                                |                                    |              | 10/12/2009<br>798.   | 09/03/2010 |
| 311.                                         |                                       | 5 SHR CITRIX SYS INC<br>193.                        |                          |                                |                                    |              | 10/22/2009<br>118.   | 09/03/2010 |
| 54,149.                                      |                                       | 48570.93 SHR U S TREASURY I P S 2.500%<br>53,542.   |                          |                                |                                    |              | 06/01/2009<br>607.   | 09/03/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                              |                    |                          |                              | Date acquired          | Date sold  |
|----------------------------------------|--------------------------------|------------------------------------------|--------------------|--------------------------|------------------------------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                      | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)         |            |
| 35,832.                                |                                | 35832 SHR DWS CASH ACC TAX EX PT CSH INS | 35,832.            |                          |                              | 09/07/2010             | 09/09/2010 |
| 54,149.                                |                                | 54148.8 SHR DWS CASH ACC TAX EX PT CSH I | 54,149.            |                          |                              | 09/07/2010             | 09/09/2010 |
| 50,373.                                |                                | 45688.5 SHR U S TREASURY I P S 2.125%    | 46,821.            |                          |                              | 06/01/2009<br>3,552.   | 09/09/2010 |
| 735.                                   |                                | 735.32 SHR DWS CASH ACC TAX EX PT CSH IN | 735.               |                          |                              | 09/07/2010             | 09/13/2010 |
| 93,041.                                |                                | 93041.14 SHR DWS CASH ACC TAX EX PT CSH  | 93,041.            |                          |                              | 09/07/2010             | 09/14/2010 |
| 439.                                   |                                | 438.84 SHR DWS CASH ACC TAX EX PT CSH IN | 439.               |                          |                              | 09/07/2010             | 09/15/2010 |
| 12,738.                                |                                | 315 SHR ULTRASHORT LEHMAN 7 10 YEAR      | 16,578.            |                          |                              | 01/14/2010<br>-3,840.  | 09/15/2010 |
| 25,544.                                |                                | 775 SHR ULTRASHORT LEHMAN BROS 20 YR     | 35,635.            |                          |                              | 11/03/2009<br>-10,091. | 09/15/2010 |
| 100,000.                               |                                | 10141.988 SHR FEDERATED A R MS FUND #96  | 98,986.            |                          |                              | 03/10/2010<br>1,014.   | 09/16/2010 |
| 30,000.                                |                                | 30000 SHR DWS CASH ACC TAX EX PT CSH INS | 30,000.            |                          |                              | 09/07/2010             | 09/17/2010 |
| 197,674.                               |                                | 197673.91 SHR DWS CASH ACC TAX EX PT CSH | 197,674.           |                          |                              | 09/07/2010             | 09/23/2010 |
| 22.                                    |                                | 21.6 SHR DWS CASH ACC TAX EX PT CSH INST | 22.                |                          |                              | 09/07/2010             | 09/23/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                         |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|-----------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                           | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 1,014.                                       |                                       | 1014.34 SHR DWS CASH ACC TAX EX PT CSH I<br>1,014.  |                          |                                |                                    |              | 09/08/2010           | 09/23/2010 |
| 51,290.                                      |                                       | 51290.15 SHR DWS CASH ACC TAX EX PT CSH<br>51,290.  |                          |                                |                                    |              | 09/10/2010           | 09/23/2010 |
| 1,240.                                       |                                       | 33 SHR ALBERTO CULVER CO<br>910.                    |                          |                                |                                    |              | 10/02/2009<br>330.   | 09/27/2010 |
| 4,623.                                       |                                       | 123 SHR ALBERTO CULVER CO<br>3,351.                 |                          |                                |                                    |              | 10/12/2009<br>1,272. | 09/27/2010 |
| 1,253.                                       |                                       | 1252.59 SHR DWS CASH ACC TAX EX PT CSH I<br>1,253.  |                          |                                |                                    |              | 09/10/2010           | 10/04/2010 |
| 455,070.                                     |                                       | 46246.952 SHR FEDERATED A R MS FUND #96<br>451,370. |                          |                                |                                    |              | 03/10/2010<br>3,700. | 10/04/2010 |
| 2,213.                                       |                                       | 59 SHR ALBERTO CULVER CO<br>1,607.                  |                          |                                |                                    |              | 10/12/2009<br>606.   | 10/05/2010 |
| 3,788.                                       |                                       | 101 SHR ALBERTO CULVER CO<br>2,694.                 |                          |                                |                                    |              | 10/22/2009<br>1,094. | 10/05/2010 |
| 602.                                         |                                       | 16 SHR ALBERTO CULVER CO<br>427.                    |                          |                                |                                    |              | 10/22/2009<br>175.   | 10/08/2010 |
| 2,483.                                       |                                       | 66 SHR ALBERTO CULVER CO<br>1,528.                  |                          |                                |                                    |              | 05/29/2009<br>955.   | 10/08/2010 |
| 678.                                         |                                       | 18 SHR ALBERTO CULVER CO<br>417.                    |                          |                                |                                    |              | 05/29/2009<br>261.   | 10/08/2010 |
| 3,749.                                       |                                       | 100 SHR ALBERTO CULVER CO<br>2,315.                 |                          |                                |                                    |              | 05/29/2009<br>1,434. | 10/11/2010 |

## FORM 990-PF - PART IV

## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property                       |                                | Description                                        |                    |                          |                              | Date acquired        | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------|--------------------|--------------------------|------------------------------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)       |            |
| 3,761.                                 |                                | 100 SHR ALBERTO CULVER CO<br>2,315.                |                    |                          |                              | 05/29/2009<br>1,446. | 10/13/2010 |
| 1,104.                                 |                                | 1103.5 SHR DWS CASH ACC TAX EX PT CSH IN<br>1,104. |                    |                          |                              | 09/10/2010           | 10/15/2010 |
| 287.                                   |                                | 286.72 SHR DWS CASH ACC TAX EX PT CSH IN<br>287.   |                    |                          |                              | 09/14/2010           | 10/15/2010 |
| 5,370.                                 |                                | 143 SHR ALBERTO CULVER CO<br>3,310.                |                    |                          |                              | 05/29/2009<br>2,060. | 10/18/2010 |
| 418.                                   |                                | 4 SHR SALESFORCE COM INC<br>248.                   |                    |                          |                              | 10/12/2009<br>170.   | 10/19/2010 |
| 627.                                   |                                | 6 SHR SALESFORCE COM INC<br>226.                   |                    |                          |                              | 05/29/2009<br>401.   | 10/19/2010 |
| 1,139.                                 |                                | 20 SHR CITRIX SYS INC<br>771.                      |                    |                          |                              | 10/22/2009<br>368.   | 10/19/2010 |
| 200,000.                               |                                | 21857.923 SHR WELLS FARGO ADJ RATE<br>199,563.     |                    |                          |                              | 10/04/2010<br>437.   | 10/20/2010 |
| 662.                                   |                                | 10 SHR COGNIZANT TECH SOLUTIONS CL A<br>497.       |                    |                          |                              | 06/02/2010<br>165.   | 10/20/2010 |
| 926.                                   |                                | 14 SHR COGNIZANT TECH SOLUTIONS CL A<br>552.       |                    |                          |                              | 10/12/2009<br>374.   | 10/20/2010 |
| 1,565.                                 |                                | 30 SHR NETAPP INC<br>854.                          |                    |                          |                              | 10/12/2009<br>711.   | 10/20/2010 |
| 50,248.                                |                                | 50247.57 SHR DWS CASH ACC TAX EX PT CSH<br>50,248. |                    |                          |                              | 09/14/2010           | 10/21/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                        |                    |                          |                              | Date acquired  | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------|--------------------|--------------------------|------------------------------|----------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss) |            |
| 38,282.                                |                                | 38281.95 SHR DWS CASH ACC TAX EX PT CSH<br>38,282. |                    |                          |                              | 09/20/2010     | 10/21/2010 |
| 1,977.                                 |                                | 1976.82 SHR DWS CASH ACC TAX EX PT CSH I<br>1,977. |                    |                          |                              | 09/21/2010     | 10/21/2010 |
| 123.                                   |                                | 123.12 SHR DWS CASH ACC TAX EX PT CSH IN<br>123.   |                    |                          |                              | 09/24/2010     | 10/21/2010 |
| 2,261.                                 |                                | 2260.61 SHR DWS CASH ACC TAX EX PT CSH I<br>2,261. |                    |                          |                              | 09/27/2010     | 10/21/2010 |
| 5,864.                                 |                                | 5863.92 SHR DWS CASH ACC TAX EX PT CSH I<br>5,864. |                    |                          |                              | 09/30/2010     | 10/21/2010 |
| 70.                                    |                                | 70.14 SHR DWS CASH ACC TAX EX PT CSH INS<br>70.    |                    |                          |                              | 10/01/2010     | 10/21/2010 |
| 1,633.                                 |                                | 1633.06 SHR DWS CASH ACC TAX EX PT CSH I<br>1,633. |                    |                          |                              | 10/05/2010     | 10/21/2010 |
| 4,417.                                 |                                | 4417.27 SHR DWS CASH ACC TAX EX PT CSH I<br>4,417. |                    |                          |                              | 10/05/2010     | 10/21/2010 |
| 7.                                     |                                | 7.28 SHR DWS CASH ACC TAX EX PT CSH INST<br>7.     |                    |                          |                              | 10/06/2010     | 10/21/2010 |
| 104.                                   |                                | 103.65 SHR DWS CASH ACC TAX EX PT CSH IN<br>104.   |                    |                          |                              | 10/07/2010     | 10/21/2010 |
| 933.                                   |                                | 932.83 SHR DWS CASH ACC TAX EX PT CSH IN<br>933.   |                    |                          |                              | 10/07/2010     | 10/21/2010 |
| 43.                                    |                                | 42.97 SHR DWS CASH ACC TAX EX PT CSH INS<br>43.    |                    |                          |                              | 10/08/2010     | 10/21/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                        |                          |                                |                                    | P<br>or<br>D | Date<br>acquired      | Date sold  |
|----------------------------------------------|---------------------------------------|----------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                          | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)  |            |
| 1,401.                                       |                                       | 1400.55 SHR DWS CASH ACC TAX EX PT CSH I<br>1,401. |                          |                                |                                    |              | 10/14/2010            | 10/21/2010 |
| 226.                                         |                                       | 226.49 SHR DWS CASH ACC TAX EX PT CSH IN<br>226.   |                          |                                |                                    |              | 10/15/2010            | 10/21/2010 |
| 183.                                         |                                       | 182.78 SHR DWS CASH ACC TAX EX PT CSH IN<br>183.   |                          |                                |                                    |              | 10/18/2010            | 10/21/2010 |
| 42,229.                                      |                                       | 42228.99 SHR DWS CASH ACC TAX EX PT CSH<br>42,229. |                          |                                |                                    |              | 10/21/2010            | 10/21/2010 |
| 194.                                         |                                       | 193.56 SHR DWS CASH ACC TAX EX PT CSH IN<br>194.   |                          |                                |                                    |              | 10/21/2010            | 10/22/2010 |
| 30,965.                                      |                                       | 30965.29 SHR DWS CASH ACC TAX EX PT CSH<br>30,965. |                          |                                |                                    |              | 10/21/2010            | 10/25/2010 |
| 9,022.                                       |                                       | 9022.07 SHR DWS CASH ACC TAX EX PT CSH I<br>9,022. |                          |                                |                                    |              | 10/21/2010            | 10/26/2010 |
| 98.                                          |                                       | 97.53 SHR DWS CASH ACC TAX EX PT CSH INS<br>98.    |                          |                                |                                    |              | 10/21/2010            | 10/29/2010 |
| 2,219.                                       |                                       | 32 SHR SCHLUMBERGER LTD<br>3,430.                  |                          |                                |                                    |              | 06/23/2008<br>-1,211. | 10/29/2010 |
| 2,228.                                       |                                       | 31 SHR ALLERGAN INC<br>1,871.                      |                          |                                |                                    |              | 03/02/2010<br>357.    | 10/29/2010 |
| 144.                                         |                                       | 2 SHR ALLERGAN INC<br>120.                         |                          |                                |                                    |              | 11/16/2009<br>24.     | 10/29/2010 |
| 2,042.                                       |                                       | 31 SHR COGNIZANT TECH SOLUTIONS CL A<br>1,223.     |                          |                                |                                    |              | 10/12/2009<br>819.    | 10/29/2010 |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                       |                          |                                |                                    | P<br>or<br>D | Date<br>acquired      | Date sold  |
|----------------------------------------------|---------------------------------------|---------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|-----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                         | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)  |            |
| 424.                                         |                                       | 424.09 SHR DWS CASH ACC TAX EX PT CSH IN<br>424.  |                          |                                |                                    |              | 10/21/2010            | 11/03/2010 |
| 2,658.                                       |                                       | 49 SHR NETAPP INC<br>1,395.                       |                          |                                |                                    |              | 10/12/2009<br>1,263.  | 11/12/2010 |
| 54.                                          |                                       | 1 SHR NETAPP INC<br>19.                           |                          |                                |                                    |              | 05/29/2009<br>35.     | 11/12/2010 |
| 43,058.                                      |                                       | 1160 SHR ULTRASHORT LEHMAN BROS 20 YR<br>52,997.  |                          |                                |                                    |              | 11/03/2009<br>-9,939. | 11/18/2010 |
| 248.                                         |                                       | 6 SHR JACOBS ENGR GROUP INC<br>275.               |                          |                                |                                    |              | 10/22/2009<br>-27.    | 11/18/2010 |
| 950.                                         |                                       | 23 SHR JACOBS ENGR GROUP INC<br>1,021.            |                          |                                |                                    |              | 10/12/2009<br>-71.    | 11/18/2010 |
| 981.                                         |                                       | 24 SHR JACOBS ENGR GROUP INC<br>1,171.            |                          |                                |                                    |              | 01/12/2009<br>-190.   | 11/18/2010 |
| 2,003.                                       |                                       | 49 SHR JACOBS ENGR GROUP INC<br>2,247.            |                          |                                |                                    |              | 10/22/2009<br>-244.   | 11/18/2010 |
| 332.                                         |                                       | 8 SHR JACOBS ENGR GROUP INC<br>355.               |                          |                                |                                    |              | 10/12/2009<br>-23.    | 11/18/2010 |
| 1,490.                                       |                                       | 37 SHR JACOBS ENGR GROUP INC<br>1,642.            |                          |                                |                                    |              | 10/12/2009<br>-152.   | 11/19/2010 |
| 92,404.                                      |                                       | 2110 SHR IPATH DOW JONES UBS COMMODITY<br>91,025. |                          |                                |                                    |              | 01/05/2010<br>1,379.  | 11/19/2010 |
| 30,655.                                      |                                       | 700 SHR IPATH DOW JONES UBS COMMODITY<br>30,807.  |                          |                                |                                    |              | 10/20/2010<br>-152.   | 11/19/2010 |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                         |                    |                          |                              | Date acquired        | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------|--------------------|--------------------------|------------------------------|----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                 | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)       |            |
| 684.                                   |                                | 17 SHR JACOBS ENGR GROUP INC<br>754.                |                    |                          |                              | 10/12/2009<br>-70.   | 11/19/2010 |
| 1,168.                                 |                                | 29 SHR JACOBS ENGR GROUP INC<br>1,235.              |                    |                          |                              | 05/29/2009<br>-67.   | 11/19/2010 |
| 41.                                    |                                | 1 SHR JACOBS ENGR GROUP INC<br>43.                  |                    |                          |                              | 05/29/2009<br>-2.    | 11/19/2010 |
| 85,000.                                |                                | 85000 SHR DWS CASH ACC TAX EX PT CSH INS<br>85,000. |                    |                          |                              | 10/21/2010           | 11/22/2010 |
| 5,121.                                 |                                | 130 SHR JACOBS ENGR GROUP INC<br>5,538.             |                    |                          |                              | 05/29/2009<br>-417.  | 11/22/2010 |
| 1,261.                                 |                                | 32 SHR JACOBS ENGR GROUP INC<br>1,294.              |                    |                          |                              | 07/28/2009<br>-33.   | 11/22/2010 |
| 39.                                    |                                | 1 SHR JACOBS ENGR GROUP INC<br>39.                  |                    |                          |                              | 11/18/2009           | 11/22/2010 |
| 1,877.                                 |                                | 48 SHR JACOBS ENGR GROUP INC<br>1,873.              |                    |                          |                              | 11/18/2009<br>4.     | 11/22/2010 |
| 2,210.                                 |                                | 57 SHR JACOBS ENGR GROUP INC<br>2,224.              |                    |                          |                              | 11/18/2009<br>-14.   | 11/23/2010 |
| 3,566.                                 |                                | 92 SHR JACOBS ENGR GROUP INC<br>3,250.              |                    |                          |                              | 12/02/2009<br>316.   | 11/23/2010 |
| 1,334.                                 |                                | 1334.35 SHR DWS CASH ACC TAX EX PT CSH I<br>1,334.  |                    |                          |                              | 10/21/2010           | 11/29/2010 |
| 6,928.                                 |                                | 49 SHR SALESFORCE COM INC<br>1,847.                 |                    |                          |                              | 05/29/2009<br>5,081. | 11/30/2010 |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                        |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|----------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                          | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 1,693.                                       |                                       | 1693.28 SHR DWS CASH ACC TAX EX PT CSH I<br>1,693. |                          |                                |                                    |              | 10/21/2010           | 12/01/2010 |
| 2,245.                                       |                                       | 2244.89 SHR DWS CASH ACC TAX EX PT CSH I<br>2,245. |                          |                                |                                    |              | 10/27/2010           | 12/01/2010 |
|                                              |                                       | 0.03 SHR DWS CASH ACC TAX EX PT CSH INST           |                          |                                |                                    |              | 10/28/2010           | 12/01/2010 |
| 13.                                          |                                       | 12.74 SHR DWS CASH ACC TAX EX PT CSH INS<br>13.    |                          |                                |                                    |              | 11/02/2010           | 12/01/2010 |
| 3,559.                                       |                                       | 3559.03 SHR DWS CASH ACC TAX EX PT CSH I<br>3,559. |                          |                                |                                    |              | 11/03/2010           | 12/01/2010 |
| 1,116.                                       |                                       | 1115.85 SHR DWS CASH ACC TAX EX PT CSH I<br>1,116. |                          |                                |                                    |              | 11/04/2010           | 12/01/2010 |
| 1,032.                                       |                                       | 1032.14 SHR DWS CASH ACC TAX EX PT CSH I<br>1,032. |                          |                                |                                    |              | 11/05/2010           | 12/01/2010 |
| 65.                                          |                                       | 65.43 SHR DWS CASH ACC TAX EX PT CSH INS<br>65.    |                          |                                |                                    |              | 11/09/2010           | 12/01/2010 |
| 18.                                          |                                       | 17.7 SHR DWS CASH ACC TAX EX PT CSH INST<br>18.    |                          |                                |                                    |              | 11/10/2010           | 12/01/2010 |
| 86.                                          |                                       | 85.69 SHR DWS CASH ACC TAX EX PT CSH INS<br>86.    |                          |                                |                                    |              | 11/12/2010           | 12/01/2010 |
| 85.                                          |                                       | 84.69 SHR DWS CASH ACC TAX EX PT CSH INS<br>85.    |                          |                                |                                    |              | 11/16/2010           | 12/01/2010 |
| 50,000.                                      |                                       | 5482.456 SHR WELLS FARGO ADJ RATE<br>50,055.       |                          |                                |                                    |              | 10/04/2010<br>-55.   | 12/06/2010 |

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                         |                    |                          |                              | Date acquired      | Date sold  |
|----------------------------------------|--------------------------------|-----------------------------------------------------|--------------------|--------------------------|------------------------------|--------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                 | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)     |            |
| 1,841.                                 |                                | 33 SHR COACH INC<br>1,174.                          |                    |                          |                              | 01/20/2010<br>667. | 12/06/2010 |
| 112.                                   |                                | 2 SHR COACH INC<br>69.                              |                    |                          |                              | 10/12/2009<br>43.  | 12/06/2010 |
| 1,966.                                 |                                | 52 SHR URBAN OUTFITTERS INC<br>1,920.               |                    |                          |                              | 05/26/2010<br>46.  | 12/06/2010 |
| 1,794.                                 |                                | 26 SHR COSTCO WHSL CORP<br>1,513.                   |                    |                          |                              | 10/22/2009<br>281. | 12/06/2010 |
| 1,809.                                 |                                | 26 SHR COSTCO WHSL CORP<br>1,513.                   |                    |                          |                              | 10/22/2009<br>296. | 12/07/2010 |
| 1,954.                                 |                                | 52 SHR URBAN OUTFITTERS INC<br>1,920.               |                    |                          |                              | 05/26/2010<br>34.  | 12/07/2010 |
| 1,971.                                 |                                | 35 SHR COACH INC<br>1,211.                          |                    |                          |                              | 10/12/2009<br>760. | 12/07/2010 |
| 169.                                   |                                | 168.66 SHR DWS CASH ACC TAX EX PT CSH IN<br>169.    |                    |                          |                              | 11/16/2010         | 12/09/2010 |
| 102.                                   |                                | 102.16 SHR DWS CASH ACC TAX EX PT CSH IN<br>102.    |                    |                          |                              | 11/17/2010         | 12/09/2010 |
| 347.                                   |                                | 347.49 SHR DWS CASH ACC TAX EX PT CSH IN<br>347.    |                    |                          |                              | 11/23/2010         | 12/09/2010 |
| 13,518.                                |                                | 13517.6 SHR DWS CASH ACC TAX EX PT CSH I<br>13,518. |                    |                          |                              | 11/23/2010         | 12/09/2010 |
| 599.                                   |                                | 599.06 SHR DWS CASH ACC TAX EX PT CSH IN<br>599.    |                    |                          |                              | 11/23/2010         | 12/10/2010 |

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## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property                       |                                 | Description                                         |                    |                          |                              | P or D | Date acquired         | Date sold  |
|----------------------------------------|---------------------------------|-----------------------------------------------------|--------------------|--------------------------|------------------------------|--------|-----------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis                                 | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)        |            |
| 150,000.                               |                                 | 16501.65 SHR WELLS FARGO ADJ RATE<br>150,660.       |                    |                          |                              |        | 10/04/2010<br>-660.   | 12/10/2010 |
| 28,735.                                |                                 | 28734.86 SHR DWS CASH ACC TAX EX PT CSH<br>28,735.  |                    |                          |                              |        | 11/23/2010            | 12/13/2010 |
| 1,078.                                 |                                 | 1078.32 SHR DWS CASH ACC TAX EX PT CSH I<br>1,078.  |                    |                          |                              |        | 11/24/2010            | 12/13/2010 |
| 2,389.                                 |                                 | 2389.48 SHR DWS CASH ACC TAX EX PT CSH I<br>2,389.  |                    |                          |                              |        | 11/26/2010            | 12/13/2010 |
| 9.                                     |                                 | 9.14 SHR DWS CASH ACC TAX EX PT CSH INST<br>9.      |                    |                          |                              |        | 12/02/2010            | 12/13/2010 |
| 3,517.                                 |                                 | 3516.59 SHR DWS CASH ACC TAX EX PT CSH I<br>3,517.  |                    |                          |                              |        | 12/03/2010            | 12/13/2010 |
| 1,454.                                 |                                 | 1453.74 SHR DWS CASH ACC TAX EX PT CSH I<br>1,454.  |                    |                          |                              |        | 12/03/2010            | 12/13/2010 |
| 50,000.                                |                                 | 50000 SHR DWS CASH ACC TAX EX PT CSH INS<br>50,000. |                    |                          |                              |        | 12/07/2010            | 12/13/2010 |
| 1,019.                                 |                                 | 1018.63 SHR DWS CASH ACC TAX EX PT CSH I<br>1,019.  |                    |                          |                              |        | 12/07/2010            | 12/13/2010 |
| 84,677.                                |                                 | 84676.77 SHR DWS CASH ACC TAX EX PT CSH<br>84,677.  |                    |                          |                              |        | 12/13/2010            | 12/13/2010 |
| 9,602.                                 |                                 | 240 SHR ULTRASHORT LEHMAN BROS 20 YR<br>11,035.     |                    |                          |                              |        | 11/03/2009<br>-1,433. | 12/15/2010 |
| 37,009.                                |                                 | 925 SHR ULTRASHORT LEHMAN BROS 20 YR<br>29,304.     |                    |                          |                              |        | 08/19/2010<br>7,705.  | 12/15/2010 |

## FORM 990-PF - PART IV

## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property                             |                                       | Description                                        |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|----------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                          | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 2,855.                                       |                                       | 65 SHR ULTRASHORT LEHMAN 7 10 YEAR<br>3,421.       |                          |                                |                                    |              | 01/14/2010<br>-566.  | 12/15/2010 |
| 38,432.                                      |                                       | 875 SHR ULTRASHORT LEHMAN 7 10 YEAR<br>35,221.     |                          |                                |                                    |              | 08/19/2010<br>3,211. | 12/15/2010 |
| 4,224.                                       |                                       | 95 SHR CANADIAN NATURAL RESOURCES LTD<br>3,446.    |                          |                                |                                    |              | 10/12/2009<br>778.   | 12/31/2010 |
| 834.                                         |                                       | 10 SHR SCHLUMBERGER LTD<br>1,072.                  |                          |                                |                                    |              | 06/23/2008<br>-238.  | 12/31/2010 |
| 2,086.                                       |                                       | 25 SHR SCHLUMBERGER LTD<br>1,837.                  |                          |                                |                                    |              | 10/03/2008<br>249.   | 12/31/2010 |
| 584.                                         |                                       | 7 SHR SCHLUMBERGER LTD<br>477.                     |                          |                                |                                    |              | 10/22/2009<br>107.   | 12/31/2010 |
| 4,224.                                       |                                       | 95 SHR CANADIAN NATURAL RESOURCES LTD<br>3,446.    |                          |                                |                                    |              | 10/12/2009<br>778.   | 12/31/2010 |
| 834.                                         |                                       | 10 SHR SCHLUMBERGER LTD<br>1,072.                  |                          |                                |                                    |              | 06/23/2008<br>-238.  | 12/31/2010 |
| 2,086.                                       |                                       | 25 SHR SCHLUMBERGER LTD<br>1,837.                  |                          |                                |                                    |              | 10/03/2008<br>249.   | 12/31/2010 |
| 584.                                         |                                       | 7 SHR SCHLUMBERGER LTD<br>477.                     |                          |                                |                                    |              | 10/22/2009<br>107.   | 12/31/2010 |
| 7,538.                                       |                                       | 419 SHR DUKE ENERGY CORP<br>7,465.                 |                          |                                |                                    |              | 09/21/2010<br>73.    | 09/22/2010 |
| 1,108.                                       |                                       | 1108.26 SHR DWS CASH ACC TAX EX PT CSH I<br>1,108. |                          |                                |                                    |              | 09/24/2010           | 09/28/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                 | Description                                        |                    |                          |                              | Date acquired     | Date sold  |
|----------------------------------------|---------------------------------|----------------------------------------------------|--------------------|--------------------------|------------------------------|-------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis                                | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)    |            |
| 4,970.                                 |                                 | 98 SHR MAGELLAN MIDSTREAM PARTNERS LP<br>4,950.    |                    |                          |                              | 09/21/2010<br>20. | 09/28/2010 |
| 2,341.                                 |                                 | 2340.69 SHR DWS CASH ACC TAX EX PT CSH I<br>2,341. |                    |                          |                              | 09/24/2010        | 09/29/2010 |
| 257.                                   |                                 | 257.32 SHR DWS CASH ACC TAX EX PT CSH IN<br>257.   |                    |                          |                              | 09/24/2010        | 09/30/2010 |
| 34.                                    |                                 | 34.09 SHR DWS CASH ACC TAX EX PT CSH INS<br>34.    |                    |                          |                              | 09/24/2010        | 10/01/2010 |
| 780.                                   |                                 | 779.7 SHR DWS CASH ACC TAX EX PT CSH INS<br>780.   |                    |                          |                              | 09/24/2010        | 10/06/2010 |
| 1,658.                                 |                                 | 1657.6 SHR DWS CASH ACC TAX EX PT CSH IN<br>1,658. |                    |                          |                              | 09/24/2010        | 10/07/2010 |
| 1,308.                                 |                                 | 1307.92 SHR DWS CASH ACC TAX EX PT CSH I<br>1,308. |                    |                          |                              | 09/24/2010        | 10/25/2010 |
| 7,538.                                 |                                 | 7537.68 SHR DWS CASH ACC TAX EX PT CSH I<br>7,538. |                    |                          |                              | 09/27/2010        | 10/25/2010 |
|                                        |                                 | 0.33 SHR DWS CASH ACC TAX EX PT CSH INST           |                    |                          |                              | 10/04/2010        | 10/25/2010 |
| 91.                                    |                                 | 91.44 SHR DWS CASH ACC TAX EX PT CSH INS<br>91.    |                    |                          |                              | 10/08/2010        | 10/25/2010 |
| 81.                                    |                                 | 80.91 SHR DWS CASH ACC TAX EX PT CSH INS<br>81.    |                    |                          |                              | 10/14/2010        | 10/25/2010 |
| 102.                                   |                                 | 102 SHR DWS CASH ACC TAX EX PT CSH INST<br>102.    |                    |                          |                              | 10/15/2010        | 10/25/2010 |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                       |                    |                          |                              | Date acquired   | Date sold  |
|----------------------------------------|--------------------------------|---------------------------------------------------|--------------------|--------------------------|------------------------------|-----------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                               | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)  |            |
| 43.                                    |                                | 42.72 SHR DWS CASH ACC TAX EX PT CSH INS 43.      |                    |                          |                              | 10/20/2010      | 10/25/2010 |
| 85.                                    |                                | 84.75 SHR DWS CASH ACC TAX EX PT CSH INS 85.      |                    |                          |                              | 10/21/2010      | 10/25/2010 |
| 135,122.                               |                                | 135122.19 SHR DWS CASH ACC TAX EX PT CSH 135,122. |                    |                          |                              | 10/21/2010      | 10/25/2010 |
| 405.                                   |                                | 405.41 SHR DWS CASH ACC TAX EX PT CSH IN 405.     |                    |                          |                              | 10/21/2010      | 10/29/2010 |
| 1,855.                                 |                                | 1854.5 SHR DWS CASH ACC TAX EX PT CSH IN 1,855.   |                    |                          |                              | 10/21/2010      | 11/02/2010 |
| 5,330.                                 |                                | 161 SHR VERIZON COMMUNICATIONS INC 5,152.         |                    |                          |                              | 09/21/2010 178. | 11/09/2010 |
| 3,079.                                 |                                | 93 SHR VERIZON COMMUNICATIONS INC 3,033.          |                    |                          |                              | 10/20/2010 46.  | 11/09/2010 |
| 6,225.                                 |                                | 6224.68 SHR DWS CASH ACC TAX EX PT CSH I 6,225.   |                    |                          |                              | 10/21/2010      | 11/17/2010 |
| 1,874.                                 |                                | 1873.56 SHR DWS CASH ACC TAX EX PT CSH I 1,874.   |                    |                          |                              | 10/21/2010      | 11/22/2010 |
| 2,851.                                 |                                | 168 SHR AMERICAN EAGLE OUTFITTERS INC 2,483.      |                    |                          |                              | 09/21/2010 368. | 11/23/2010 |
| 1,663.                                 |                                | 98 SHR AMERICAN EAGLE OUTFITTERS INC 1,605.       |                    |                          |                              | 10/20/2010 58.  | 11/23/2010 |
| 1,869.                                 |                                | 27 SHR EXXON MOBIL CORP 1,666.                    |                    |                          |                              | 09/28/2010 203. | 11/30/2010 |

**FORM 990-PF - PART IV****CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                | Description                                        |                    |                          |                              | Date acquired      | Date sold  |
|----------------------------------------|--------------------------------|----------------------------------------------------|--------------------|--------------------------|------------------------------|--------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/allowable | Cost or other basis                                | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss)     |            |
| 5,746.                                 |                                | 83 SHR EXXON MOBIL CORP<br>5,471.                  |                    |                          |                              | 10/20/2010<br>275. | 11/30/2010 |
| 138.                                   |                                | 2 SHR EXXON MOBIL CORP<br>123.                     |                    |                          |                              | 09/21/2010<br>15.  | 11/30/2010 |
| 742.                                   |                                | 741.65 SHR DWS CASH ACC TAX EX PT CSH IN<br>742.   |                    |                          |                              | 10/21/2010         | 12/06/2010 |
| 2,209.                                 |                                | 2209.04 SHR DWS CASH ACC TAX EX PT CSH I<br>2,209. |                    |                          |                              | 10/21/2010         | 12/08/2010 |
| 1,569.                                 |                                | 1568.97 SHR DWS CASH ACC TAX EX PT CSH I<br>1,569. |                    |                          |                              | 10/21/2010         | 12/14/2010 |
| 443.                                   |                                | 443.1 SHR DWS CASH ACC TAX EX PT CSH INS<br>443.   |                    |                          |                              | 10/29/2010         | 12/14/2010 |
| 78.                                    |                                | 78.49 SHR DWS CASH ACC TAX EX PT CSH INS<br>78.    |                    |                          |                              | 11/01/2010         | 12/14/2010 |
| 51.                                    |                                | 51.15 SHR DWS CASH ACC TAX EX PT CSH INS<br>51.    |                    |                          |                              | 11/05/2010         | 12/14/2010 |
| 30.                                    |                                | 30.38 SHR DWS CASH ACC TAX EX PT CSH INS<br>30.    |                    |                          |                              | 11/08/2010         | 12/14/2010 |
| 101.                                   |                                | 101.46 SHR DWS CASH ACC TAX EX PT CSH IN<br>101.   |                    |                          |                              | 11/15/2010         | 12/14/2010 |
| 8,409.                                 |                                | 8409.34 SHR DWS CASH ACC TAX EX PT CSH I<br>8,409. |                    |                          |                              | 11/15/2010         | 12/14/2010 |
| 4,514.                                 |                                | 4513.91 SHR DWS CASH ACC TAX EX PT CSH I<br>4,514. |                    |                          |                              | 11/29/2010         | 12/14/2010 |

**FORM 990-PF - PART IV****CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|----------------------------------------------|---------------------------------------|------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                  | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 91.                                          |                                       | 90.72 SHR DWS CASH ACC TAX EX PT CSH INS<br>91.            |                          |                                |                                    |              | 11/30/2010           | 12/14/2010 |
| 187.                                         |                                       | 186.67 SHR DWS CASH ACC TAX EX PT CSH IN<br>187.           |                          |                                |                                    |              | 12/01/2010           | 12/14/2010 |
| 2.                                           |                                       | 1.65 SHR DWS CASH ACC TAX EX PT CSH INST<br>2.             |                          |                                |                                    |              | 12/02/2010           | 12/14/2010 |
| 124.                                         |                                       | 123.75 SHR DWS CASH ACC TAX EX PT CSH IN<br>124.           |                          |                                |                                    |              | 12/02/2010           | 12/14/2010 |
| 6,116.                                       |                                       | 6116.26 SHR DWS CASH ACC TAX EX PT CSH I<br>6,116.         |                          |                                |                                    |              | 12/03/2010           | 12/14/2010 |
| 398.                                         |                                       | 398.27 SHR DWS CASH ACC TAX EX PT CSH IN<br>398.           |                          |                                |                                    |              | 12/09/2010           | 12/14/2010 |
| 269.                                         |                                       | 268.58 SHR DWS CASH ACC TAX EX PT CSH IN<br>269.           |                          |                                |                                    |              | 12/10/2010           | 12/14/2010 |
| 110.                                         |                                       | 109.82 SHR DWS CASH ACC TAX EX PT CSH IN<br>110.           |                          |                                |                                    |              | 12/13/2010           | 12/14/2010 |
| 117,295.                                     |                                       | 117295.18 SHR DWS CASH ACC TAX EX PT CSH<br>117,295.       |                          |                                |                                    |              | 12/13/2010           | 12/14/2010 |
| 2,851.                                       |                                       | 2850.76 SHR DWS CASH ACC TAX EX PT CSH I<br>2,851.         |                          |                                |                                    |              | 12/13/2010           | 12/15/2010 |
| 2,157.                                       |                                       | ESTATE OF LEO BERNSTEIN LTCG<br>PROPERTY TYPE: OTHER       |                          |                                |                                    | P            | 09/30/2009           | 12/31/2010 |
|                                              |                                       |                                                            |                          |                                |                                    |              | 2,157.               |            |
| 150,801.                                     |                                       | 130 MANHUSSET ST<br>PROPERTY TYPE: REAL ESTATE<br>176,571. |                          |                                |                                    | P            | 01/15/2006           | 09/10/2010 |
|                                              |                                       |                                                            |                          |                                |                                    |              | -25,770.             |            |
| TOTAL GAIN (LOSS) .....                      |                                       |                                                            |                          |                                |                                    |              | <u>23,014.</u>       |            |