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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation ESSMAN FAMILY CHARITABLE FOUNDATION		A Employer identification number 43-6804331
Number and street (or P.O. box number if mail is not delivered to street address) 21 SOMERSET DOWNS	Room/suite	B Telephone number 314-993-7190
City or town, state, and ZIP code ST. LOUIS, MO 63124		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 798,010.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		4,644.	4,644.		STATEMENT 1
4 Dividends and interest from securities		17,687.	17,687.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-28,105.			
b Gross sales price for all assets on line 6a		534,365.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-1,917.	-1,917.		STATEMENT 3
12 Total. Add lines 1 through 11		-7,691.	20,414.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest			655.		0.
18 Taxes		7.	7.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		10,767.	10,767.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		11,429.	11,429.		0.
25 Contributions, gifts, grants paid		195,517.			195,517.
26 Total expenses and disbursements. Add lines 24 and 25		206,946.	11,429.		195,517.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-214,637.			
b Net investment income (if negative, enter -0-)			8,985.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets

1	Cash - non-interest-bearing		4,471.	16,845.	16,845.
2	Savings and temporary cash investments				
3	Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
4	Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U.S. and state government obligations STMT 6		542.	542.	542.
b	Investments - corporate stock STMT 7		902,116.	675,105.	780,623.
c	Investments - corporate bonds				
11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
12	Investments - mortgage loans				
13	Investments - other				
14	Land, buildings, and equipment: basis ▶				
	Less accumulated depreciation ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers)		907,129.	692,492.	798,010.

Liabilities

17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable				
22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.	

Net Assets or Fund Balances

	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31				
24	Unrestricted				
25	Temporarily restricted				
26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		907,129.	692,492.	
30	Total net assets or fund balances		907,129.	692,492.	
31	Total liabilities and net assets/fund balances		907,129.	692,492.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	907,129.
2	Enter amount from Part I, line 27a	2	-214,637.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	692,492.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	692,492.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a US BANK INVESTMENT ACCOUNT		P	VARIOUS	VARIOUS
b US BANK INVESTMENT ACCOUNT		P	VARIOUS	VARIOUS
c TD AMERITRADE INVESTMENT ACCOUNT		P	VARIOUS	VARIOUS
d TD AMERITRADE INVESTMENT ACCOUNT		P	VARIOUS	VARIOUS
e PRIOR YEAR REALIZED GAIN ADJUSTMENT		P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 21,869.		18,586.	3,283.
b 208,460.		191,217.	17,243.
c 111,047.		102,784.	8,263.
d 192,989.		242,792.	-49,803.
e		7,091.	-7,091.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			3,283.
b			17,243.
c			8,263.
d			-49,803.
e			-7,091.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	-28,105.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	197,901.	907,646.	.218038
2008	191,600.	1,135,677.	.168710
2007	196,743.	1,518,502.	.129564
2006	187,297.	1,610,354.	.116308
2005	154,737.	1,569,080.	.098616

2 Total of line 1, column (d)	2	.731236
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.146247
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	899,152.
5 Multiply line 4 by line 3	5	131,498.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	90.
7 Add lines 5 and 6	7	131,588.
8 Enter qualifying distributions from Part XII, line 4	8	195,517.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	90.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	90.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	90.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	90.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	90.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NONE</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>ALYN V. ESSMAN</u> Located at ► <u>21 SOMERSET DOWNS, ST. LOUIS, MO</u> Telephone no. ► <u>314-993-7190</u> ZIP+4 ► <u>63124</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b
		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	893,426.
b	Average of monthly cash balances	1b	19,419.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	912,845.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	912,845.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,693.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	899,152.
6	Minimum investment return. Enter 5% of line 5	6	44,958.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	44,958.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	90.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	90.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	44,868.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	44,868.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	44,868.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	195,517.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	195,517.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	90.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	195,427.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				44,868.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005	105,877.			
b From 2006	108,785.			
c From 2007	123,932.			
d From 2008	134,816.			
e From 2009	152,937.			
f Total of lines 3a through e	626,347.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$	195,517.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				44,868.
e Remaining amount distributed out of corpus	150,649.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	776,996.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	105,877.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	671,119.			
10 Analysis of line 9:				
a Excess from 2006	108,785.			
b Excess from 2007	123,932.			
c Excess from 2008	134,816.			
d Excess from 2009	152,937.			
e Excess from 2010	150,649.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2010	(b) 2009	(c) 2008	(d) 2007	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

ALYN V. ESSMAN

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
SEE STATEMENT 9					
Total				▶ 3a	195,517.
b Approved for future payment					
NONE					
Total				▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	4,644.	
4 Dividends and interest from securities			14	17,687.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	211110	-1,917.			
8 Gain or (loss) from sales of assets other than inventory					-28,105.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		-1,917.		22,331.	-28,105.
13 Total. Add line 12, columns (b), (d), and (e)				13	-7,691.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

NOT APPLICABLE

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----|--|-------|----|
| -1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

TRUSTEE

Title

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if
self-employed

PTIN

RICHARD G. EBERLE,
JR.

RICHARD G. EBERLE

06/02/11

**Paid
Preparer
Use Only**

Firm's name ► **MUELLER PROST PC**

Firm's EIN ▶

Firm's address ▶ 7733 FORSYTH BLVD., SUITE 1200
ST. LOUIS, MO 63105

Phone no. (314) 862-2070

Form **990-PF** (2010)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
AMERITRADE	3,342.
AMERITRADE - OID	1,301.
UNITED STATES NATURAL GAS FUND, LP - K-1	1.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	4,644.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AMERITRADE - DIVIDENDS	14,320.	0.	14,320.
U.S. BANK - DIVIDENDS	3,366.	0.	3,366.
UNITED STATES NATURAL GAS FUND, LP - K-1	1.	0.	1.
TOTAL TO FM 990-PF, PART I, LN 4	17,687.	0.	17,687.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BUSINESS INCOME - K-1	-22.	-22.	
UNITED STATES NATURAL GAS FUND, LP - K-1-STRADDLES	-860.	-860.	
UNITED STATES NATURAL GAS FUND, LP - K-1-OTHER INCOME	-1,035.	-1,035.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-1,917.	-1,917.	

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
AMERITRADE - FOREIGN TAXES PAID	7.	7.		0.	
TO FORM 990-PF, PG 1, LN 18	7.	7.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PROFESSIONAL FEES	1,900.	1,900.		0.	
EXCISE TAX	209.	209.		0.	
INVESTMENT FEES	1,732.	1,732.		0.	
MANAGEMENT FEES	6,926.	6,926.		0.	
TO FORM 990-PF, PG 1, LN 23	10,767.	10,767.		0.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
STATE OF ISREAL BOND	X		542.	542.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			542.	542.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			542.	542.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
INVESTMENTS	675,105.	780,623.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	675,105.	780,623.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	8
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ALYN V. ESSMAN 21 SOMERSET DOWNS ST LOUIS, MO 63124	TRUSTEE 0.70	0.	0.	0.
MARLYN R. ESSMAN 21 SOMERSET DOWNS ST LOUIS, MO 63124	TRUSTEE 0.01	0.	0.	0.
SHARYN M. ESSMAN 2809 CLAYTON FOREST ST LOUIS, MO 63131	TRUSTEE 0.01	0.	0.	0.
JUDY E. TAYLOR 19 SPOEDE LANE ST LOUIS, MO 63141	TRUSTEE 0.01	0.	0.	0.
TODD E. TAYLOR 19 SPOEDE LANE ST LOUIS, MO 63141	TRUSTEE 0.01	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF	GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	STATEMENT 9
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RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ALS ASSOCIATION 1275 K STREET NW, STE. 1050 WASHINGTON, DC 20005	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	500.
ARMDI 888 7TH STREET NEW YORK, NY 10106	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
BACKSTOPPERS 10411 CLAYTON ROAD, SUITE A5 ST LOUIS, MO 63131	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
BJ NURSING SCHOOL 4444 FOREST PARK AVE. ST LOUIS, MO 63108	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
CAMP FOR ALL KIDS P.O. BOX 50194 ST LOUIS, MO 63105	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
CAMP RAINBOW 14309 MILLBRIAR CIRCLE CHESTERFIELD, MO 63017	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
CHILDREN'S HOSPITAL ONE CHILDREN'S PLACE ST LOUIS, MO 63103	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
CITY ACADEMY 4174 N. KINGSHIGHWAY ST LOUIS, MO 63115	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	2,000.

ESSMAN FAMILY CHARITABLE FOUNDATION

43-6804331

COCA 524 TRINITY AVENUE ST LOUIS, MO 63130	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
COVENANT HOUSE 2801 ITASKA ST ST LOUIS, MO 63111	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	2,000.
DARWIN FOUNDATION 407 N WASHINGTON ST FALLS CHURCH, VA 22046	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,500.
DOCS W/O BORDERS 333 7TH AVENUE NEW YORK, NY 10001	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	2,000.
FORSYTH SCHOOL 6235 WYDOWN BOULEVARD ST LOUIS, MO 63105	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
HILLEL 6300 FORSYTH ST LOUIS, MO 63105	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
HOLOCAUST MUSEUM 12 MILLSTONE CAMPUS DRIVE ST LOUIS, MO 63146	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
JCC MILLSTONE 12 MILLSTONE CAMPUS DRIVE ST LOUIS, MO 63146	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	8,942.
JEWISH FEDERATION 12 MILLSTONE CAMPUS DRIVE ST LOUIS, MO 63146	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	47,000.
JFCS FOOD BANK 10950 SCHEUTZ ROAD ST LOUIS, MO 63146	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	5,000.

KIDSMART 12175 BRIDGETON SQUARE DRIVE ST LOUIS, MO 63044	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	2,000.
MARYVILLE UNIVERSITY 650 MARYVILLE UNIVERSITY DRIVE ST. LOUIS, MO 63141	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
LIFT FOR LIFE 1415 CASS AVENUE ST LOUIS, MO 63106	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	2,000.
MATHEWS DICKEY 4245 N. KINGSHIGHWAY ST LOUIS, MO 63115	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	2,000.
MISSOURI BOTANICAL GARDENS 4344 SHAW BOULEVARD ST LOUIS, MO 63304	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	3,500.
MISSOURI HISTORICAL SOCIETY P.O. BOX 11940 ST LOUIS, MO 63103	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,500.
NCJW SCHOOL STORE 8350 DELCREST DRIVE ST LOUIS, MO 63124	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
NISHMA 1740 OCEAN AVE., STE 8-P BROOKLYN, NY 11230	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
OXBOW 36 SOUTH WABASH AVENUE 12TH FLOOR CHICAGO, IL 60603	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
PLANNED PARENTHOOD 4251 FOREST PARK AVENUE ST LOUIS, MO 63108	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	2,000.

ESSMAN FAMILY CHARITABLE FOUNDATION

43-6804331

SALVATION ARMY 1130 HAMPTON AVENUE ST LOUIS, MO 63139	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	3,000.
SHAARE EMETH 11645 LADUE ROAD ST LOUIS, MO 63141	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	2,575.
SITEMAN CANCER CENTER 660 S. EUCLID AVE BOX 8100 ST LOUIS, MO 63110	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
SPRINGBOARD FOR LEARNING 634 GRAND BOULEVARD ST LOUIS, MO 63103	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	2,000.
ST. LOUIS ART MUSEUM ONE FINE ARTS DRIVE ST LOUIS, MO 63110	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	12,500.
ST. LOUIS EFFORT FOR AIDS 1027 S. VANDEVENTER ST LOUIS, MO 63110	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	2,000.
ST. LOUIS PUBLIC TV (KETC) 3655 OLIVE ST LOUIS, MO 63108	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
ST. LOUIS SCIENCE CENTER 5050 OAKLAND AVENUE ST LOUIS, MO 63110	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	2,500.
ST. LOUIS SYMPHONY ORCHESTRA 718 N. GRAND BOULEVARD ST LOUIS, MO 63103	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	15,000.
ST. LOUIS ZOO ONE GOVERNMENT DRIVE ST LOUIS, MO 63110	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	14,000.

ESSMAN FAMILY CHARITABLE FOUNDATION

43-6804331

TIBET RELIEF 6116 KINGSBURY AVE ST LOUIS, MO 63112	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
UNITED WAY 910 N. 11TH STREET ST LOUIS, MO 63101	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	10,000.
UNIVERISTY OF MISSOURI ST. LOUIS NATURAL BRIDGE ROAD ST LOUIS, MO 63121	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	13,000.
WASHINGTON UNIVERSITY ONE BROOKINGS DRIVE ST LOUIS, MO 63130	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	5,000.
WEBSTER UNIVERSITY 470 E. LOCKWOOD ST LOUIS, MO 63119	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	12,500.
WHITFIELD SCHOOL 175 S MASON RD CREVE COEUR, MO 63141	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,000.
WORLD WILDFIRE FUND 1250 TWENTY-FOURTH STREET, N.W. WASHINGTON, DC 20090	N/A GENERAL OPERATING GRANT	PUBLIC CHARITY	1,500.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

195,517.

2010 Tax Information Statement

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Account Number: 130009500800
 Recipient's Tax ID Number: 43-6804331
 Payer's Federal ID Number: 43-6300400

Recipient's Name and Address:
 ESSMAN FAMILY CHARITABLE FOUNDATION
 C/O ALYN V ESSMAN
 21 SOMERSET DOWNS
 SAINT LOUIS, MO 63124-1007

Payer's Name and Address:
 U.S. BANK N.A. TRUST SERVICES
 P O BOX 387
 ST LOUIS, MO 63166

☐ Corrected ☐ 2nd TIN notice

2010 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
25 0000	037833100	APPLE INC	05/04/2010	11/26/2010	7,927.86	6,461.25	1,466.61	0.00
10 0000	38259P508	GOOGLE INC CL A	05/04/2010	12/07/2010	5,898.40	5,066.00	832.40	0.00
100 0000	580135101	MCDONALDS CORP	05/04/2010	12/07/2010	8,042.86	7,059.00	983.86	0.00
Total Short Term Sales Reported on 1099-B						21,869.12	18,586.25	3,282.87
Long Term 15% Sales Reported on 1099-B								
220 0000	00206R102	ATT INC	08/07/2006	05/04/2010	5,665.49	6,804.60	-1,139.11	0.00
200 0000	17275R102	CISCO SYS INC	11/30/2005	05/04/2010	5,304.45	3,538.00	1,766.45	0.00
140 0000	20825C104	CONOCOPHILLIPS	12/15/2005	05/04/2010	8,094.26	8,177.40	-83.14	0.00
200 0000	565849106	MARATHON OIL CORPORATION	02/13/2007	05/04/2010	6,387.89	9,135.00	-2,747.11	0.00
100 0000	87612E106	TARGET CORPORATION	12/15/2005	05/04/2010	5,551.17	5,449.00	102.17	0.00
200 0000	17275R102	CISCO SYS INC	11/30/2005	11/26/2010	3,919.93	3,538.00	381.93	0.00
4619 2170	31853P743	NUVEEN INTL SELECT FD CL Y	02/13/2007	11/26/2010	43,605.41	47,531.74	-3,926.33	0.00
120 0000	806857108	SCHLUMBERGER LTD	02/13/2007	11/26/2010	9,243.44	7,729.20	1,514.24	0.00
200 0000	00206R102	ATT INC	08/07/2006	12/02/2010	5,711.90	6,186.00	-474.10	0.00
100 0000	037411105	APACHE CORP	01/12/2006	12/02/2010	11,425.80	7,228.00	4,197.80	0.00
100 0000	35671D857	FREEMONT MCMORAN COPPER GOLD	04/19/2007	12/02/2010	10,794.81	6,935.00	3,859.81	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2010 Tax Information Statement

Page 5 of 10

Account Number: 130009500800

Recipient's Tax ID Number: 43-6804331

Payer's Federal ID Number: 43-6300400

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
U.S. BANK N.A. TRUST SERVICES
P O BOX 387
ST LOUIS, MO 63166

Recipient's Name and Address:
ESSMAN FAMILY CHARITABLE FOUNDATION
C/O ALYN V ESSMAN
21 SOMERSET DOWNS
SAINT LOUIS, MO 63124-1007

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200 0000	478160104	JOHNSON JOHNSON	VARIOUS	12/02/2010	12,527 78	12,357 20	170.58	0.00
300 0000	594918104	MICROSOFT CORP	01/06/2006	12/02/2010	8,048 86	8,079 00	-30.14	0.00
100 0000	87612E106	TARGET CORPORATION	VARIOUS	12/02/2010	5,896 90	5,436.50	460.40	0.00
400.0000	68389X105	ORACLE CORPORATION	11/02/2005	12/07/2010	11,631 80	5,008 00	6,623.80	0.00
70 0000	931142103	WAL MART STORES INC	01/06/2006	12/07/2010	3,846.08	3,204 60	641.48	0.00
100 0000	166764100	CHEVRON CORPORATION	12/15/2005	12/10/2010	8,649.86	5,905.00	2,744.86	0.00
100 0000	191216100	COCA COLA COMPANY	01/12/2006	12/10/2010	6,450 90	4,154.00	2,296.90	0.00
50 0000	38141G104	GOLDMAN SACHS GROUP INC	10/25/2005	12/10/2010	8,395.86	6,182.50	2,213.36	0.00
200 0000	452308109	ILLINOIS TOOL WORKS	01/06/2006	12/10/2010	10,177 85	9,014 00	1,163.85	0.00
210 0000	585055106	MEDTRONIC INC	VARIOUS	12/10/2010	7,482.19	11,425.80	-3,943.61	0.00
200 0000	002824100	ABBOTT LABORATORIES	01/06/2006	12/20/2010	9,647 86	8,198.00	1,449.86	0.00
Total Long Term 15% Sales Reported on 1099-B					208,460.49	191,216.54	17,243.95	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Sterneck Capital Management, LLC
4510 Bellevue Avenue
Kansas City, MO 64111
(816) 531-2254

Realized Gains and Losses
Fiscal Year Ending 12/31/2010

Alyn Essman Foundation Acct # 901082834

Realized Gains and Losses in Non-Taxable or Tax Deferred Accounts

Account Number	Description	Quantity	Date Acquired	Date Sold	Cost	Net Proceeds	Short Term Gains	Total Long Gains	Total Gains
901082834	Alcoa Inc	300 000	09/19/2008	12/08/2010	7,956 96	4,246 96		-3,710 00	-3,710 00
901082834	AOL Time Warner Inc	30 000	06/09/2005	07/27/2010	823 53	627 79		-195 74	-195 74
901082834	BP PLC (ADR)	200 000	08/26/2008	06/09/2010	11,414 41	5,830 40		-5,584 01	-5,584 01
901082834	Cintas Corp	300 000	12/23/2009	10/14/2010	7,911 64	8,152 64	241 00		241 00
901082834	Citigroup Non-Inversion 30 01/28/2030 8 12.5%	10,000 000	01/11/2010	07/28/2010	9,812 00	10,000 00	188 00		188 00
901082834	Clorox Company Com	200 000	10/05/2009	09/22/2010	11,457 56	13,278 73	1,821 17		1,821 17
901082834	Coca Cola Enterprises	500 000	02/10/2010	02/26/2010	9,761 65	12,775 54	3,013 89		3,013 89
901082834	Consolidated Communicati	500 000	08/13/2007	03/22/2010	9,711 90	9,489 29		-222 61	-222 61
901082834	Constellation Brands Inc	200 000	04/03/2008	10/07/2010	3,909 81	3,730 02		-179 79	-179 79
901082834	Dell Computer Corp	400 000	11/24/2009	11/08/2010	5,787 28	5,705 95	-81 33		-81 33
901082834	Discover Financial Services	100 000	05/18/2005	02/22/2010	1,669 02	1,380 00		-289 02	-289 02
901082834	Eli Lilly & Co	300 000	12/11/2009	12/15/2010	10,487 98	10,525 86		37 88	37 88
901082834	EMC Corp MA	310 000	09/12/2007	12/13/2010	6,040 63	7,064 12		1,023 49	1,023 49
901082834	Foot Locker Inc	500 000	09/22/2006	06/29/2010	11,665 00	6,475 10		-5,189 90	-5,189 90
901082834	Forest Laboratories Inc	200 000	04/15/2008	12/07/2010	7,262 81	6,421 92		-840 89	-840 89
901082834	General Electric Inc	400 000	04/21/2005	12/15/2010	14,372 00	6,972 00		-7,400 00	-7,400 00
901082834	Halliburton Company	300 000	04/27/2007	01/08/2010	9,589 95	10,034 98		445 03	445 03

Realized Gains and Losses
Fiscal Year Ending 12/31/2010

Alyn Essman Foundation Acct # 901082834

Realized Gains and Losses in Non-Taxable or Tax Deferred Accounts

Account Number	Description	Quantity	Date Acquired	Date Sold	Cost	Net Proceeds	Short Term Gains	Total Long Gains	Total Gains
901082834	Helen Of Troy Ltd Shs	500 000	03/03/2010	12/09/2010	11,886 60	13,190 23	1,303 63		1,303 63
901082834	Hershey Co	200 000	11/28/2007	02/19/2010	7,879 70	7,954 02		74 32	74 32
901082834	Hess Corporation	200 000	07/02/2009	09/30/2010	9,939 89	11,809 83		1,869 94	1,869 94
901082834	Home Depot Inc	200 000	05/20/2008	09/28/2010	5,462 46	6,370 38		907 92	907 92
901082834	Huntington Bancshares Inc	500 000	12/27/2006	07/29/2010	11,857 70	3,040 00		-8,817 70	-8,817 70
901082834	Interpublic Group of Compa	800 000	05/18/2005	07/30/2010	9,968 00	7,333 96		-2,634 04	-2,634 04
901082834	Limited Brands Inc	500 000	05/15/2007	09/13/2010	12,911 50	12,854 79		-56 71	-56 71
901082834	McDonald's Corporation	200 000	07/27/2009	04/27/2010	11,184 55	14,151 79	2,967 24		2,967 24
901082834	Openwave Systems Inc	1,000 000	03/20/2007	10/29/2010	7,641 70	2,015 99		-5,625 71	-5,625 71
901082834	Proctor & Gamble Co	100 000	04/30/2009	12/13/2010	4,903 91	6,315 00		1,411 09	1,411 09
901082834	Qualcomm Inc	200 000	01/29/2010	12/07/2010	7,862 97	9,771 86	1,908 89		1,908 89
901082834	Quest Diagnostics Inc	200 000	10/25/2007	11/03/2010	10,500 00	10,133 85		-366 15	-366 15
901082834	St Joe CP	500 000	10/05/2010	12/07/2010	12,332 99	9,020 26	-3,312 73		-3,312 73
901082834	Time Warner Inc	333 000	06/09/2005	04/05/2010	11,835 40	10,606 21		-1,229 19	-1,229 19
901082834	TRC Companies	1,000 000	11/30/2007	12/17/2010	8,450 00	2,852 59		-5 597 41	-5,597 41
901082834	Tyco Intl Ltd	75 000	05/03/2005	03/30/2010	3,296 29	2,854 56		-441 73	-441 73
901082834	Tyco Intl Ltd	175 000	08/27/2007	03/30/2010	7,695 44	6,660 64		-1,034 80	-1,034 80
		250 000			10,991 73	9,515 20		-1,476 53	-1,476 53
901082834	United Technologies Inc	200 000	03/07/2007	08/10/2010	12,900 72	14,684 26		1,783 54	1,783 54
901082834	US Nat Gas Fund LP	500 000	06/09/2009	12/08/2010	6,964 94	3,115 00		-3,849 94	-3,849 94
901082834	WellPoint Inc	200 000	02/01/2008	11/08/2010	15,680 80	11,589 81		-4,090 99	-4,090 99

Realized Gains and Losses
Fiscal Year Ending 12/31/2010

Alyn Essman Foundation Acct # 901082834

Realized Gains and Losses in Non-Taxable or Tax Deferred Accounts

Account Number	Description	Quantity	Date Acquired	Date Sold	Cost	Net Proceeds	Short Term Gains	Total Long Gains	Total Gains
901082834	Wells Fargo Steepener CD 08/31/2019 9.00%	15,000.000	09/01/2009	08/31/2010	14,787.00	15,000.00	213.00		213.00
	Short Term Gains				102,784.24	111,047.00	8,262.76		
	Total Long Gains				242,792.45	192,989.33		-49,803.12	
	Total (Sales)				345,576.69	304,036.33	8,262.76	-49,803.12	-41,540.36
	Total Gains						8,262.76	-49,803.12	-41,540.36

This report is included for informational purposes only. For the most accurate data, recipients should consult related tax documents provided by the Custodian.

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3 month automatic extension of time to file (6 months for a corporation required to file Form 990 T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization ESSMAN FAMILY CHARITABLE FOUNDATION	Employer identification number 43-6804331
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. 21 SOMERSET DOWNS	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ST. LOUIS, MO 63124	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

ALYN V. ESSMAN

- The books are in the care of ► **21 SOMERSET DOWNS - ST. LOUIS, MO 63124**
Telephone No ► **314-993-7190** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2010** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	90.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	90.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2011)