Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

17

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Name of foundation
RAYMOND H. & FLORENCE SPONBERG FOUNDATION

A Employer identification number
41-6363938

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
152 SPRING OAKS DRIVE

B Telephone number
636-394-2514

City or town, state, and ZIP code
BALLWIN, MO 63011

C If exemption application is pending, check here ▶[ ]
D 1. Foreign organizations, check here ▶[ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶[ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶[ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 1,413,375.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶[ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check ▶[X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,389.	1,389.		STATEMENT 1
	4 Dividends and interest from securities	35,340.	32,189.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-3,274.			
	b Gross sales price for all assets on line 6a 145,324.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	33,455.	33,578.	0.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	1,263.	0.	0.	1,263.
	c Other professional fees STMT 4	10,620.	10,620.	0.	0.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	656.	0.	0.	656.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	12,539.	10,620.	0.	1,919.
	25 Contributions, gifts, grants paid	43,950.			43,950.
	26 **Total expenses and disbursements.** Add lines 24 and 25	56,489.	10,620.	0.	45,869.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-23,034.			
	b **Net investment income** (if negative, enter -0-)		22,958.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	50,298.	63,803.	63,803.
	2 Savings and temporary cash investments	70,873.	71,298.	71,298.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	811,730.	808,837.	950,274.
	c Investments - corporate bonds STMT 7	348,000.	328,000.	328,000.
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	1,280,901.	1,271,938.	1,413,375.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,280,901.	1,271,938.	
	30 **Total net assets or fund balances**	1,280,901.	1,271,938.	
	31 **Total liabilities and net assets/fund balances**	1,280,901.	1,271,938.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,280,901.
2	Enter amount from Part I, line 27a	2	-23,034.
3	Other increases not included in line 2 (itemize) ▶ CHANGE IN NET ASSETS	3	14,071.
4	Add lines 1, 2, and 3	4	1,271,938.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,271,938.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	145,324.		148,598.	-3,274.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				-3,274.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** -3,274.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	43,602.	747,098.	.058362
2008	82,676.	890,579.	.092834
2007	85,268.	1,423,623.	.059895
2006	80,265.	1,423,606.	.056381
2005	51,809.	1,425,012.	.036357

2	**Total** of line 1, column (d)	2	.303829
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.060766
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	904,945.
5	Multiply line 4 by line 3	5	54,990.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	230.
7	Add lines 5 and 6	7	55,220.
8	Enter qualifying distributions from Part XII, line 4	8	45,869.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	459.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	459.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	459.
6 Credits/Payments:				
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	784.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	784.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	325.
11 Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶	325.	Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MN			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ELAINE LARSON Telephone no. ▶ 636-394-2514
Located at ▶ 152 SPRING OAKS DRIVE, BALLWIN, MO ZIP+4 ▶ 63011

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	847,612.
b	Average of monthly cash balances	1b	71,114.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	918,726.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	918,726.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,781.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	904,945.
6	**Minimum investment return.** Enter 5% of line 5	6	45,247.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	45,247.
2a	Tax on investment income for 2010 from Part VI, line 5 2a 459.		
b	Income tax for 2010. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	459.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	44,788.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	44,788.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	44,788.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	45,869.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	45,869.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	45,869.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				44,788.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006 9,581.				
c From 2007 16,437.				
d From 2008 39,718.				
e From 2009 6,663.				
f Total of lines 3a through e	72,399.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 45,869.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				44,788.
e Remaining amount distributed out of corpus	1,081.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	73,480.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	73,480.			
10 Analysis of line 9:				
a Excess from 2006 9,581.				
b Excess from 2007 16,437.				
c Excess from 2008 39,718.				
d Excess from 2009 6,663.				
e Excess from 2010 1,081.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2010	Prior 3 years (b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SPONBERG FOUNDATION, 636-394-2514
152 SPRING OAKS DRIVE, BALLWIN, MO 63011

b The form in which applications should be submitted and information and materials they should include:

A LETTER DESCRIBING ORGANIZATION AND THE PURPOSE OF THE REQUEST.

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED LIST	NONE	501(C)(3)	VARIOUS	43,950.
Total			▶ 3a	43,950.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,389.	
4 Dividends and interest from securities			14	35,340.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-3,274.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		33,455.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 33,455.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Elaine M Sponberg Larson 3/3/11 TREASURER

Signature of officer or trustee — Date — Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	[signature]	3/3/11		

Firm's name ▶ LARSONALLEN LLP — Firm's EIN ▶

Firm's address ▶ 600 WASHINGTON AVENUE, SUITE 1800 ST. LOUIS, MO 63101 — Phone no. 314-925-4300

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORNING STAR MISSIONARY BAPTIST	P	05/21/96	06/28/10
b	AMERICAN CHURCH MORTGAGE CO	P	03/12/03	03/31/10
c	609.25 SHS ARTISAN INTERNAT'L INVESTOR	P	VARIOUS	03/23/10
d	82.423 SHS ARTISAN MID CAP VALUE	P	VARIOUS	03/23/10
e	239.975 SHS COLUMBIA VALUE & RESTRUCTURING	P	VARIOUS	03/23/10
f	331.815 SHS HARBOR INTERNATIONAL INVESTOR	P	VARIOUS	03/23/10
g	173.232 SHS NORTHERN SMALL CAP VALUE	P	VARIOUS	03/23/10
h	299.24 SHS QUANTITATIVE EMRG MKTS	P	VARIOUS	03/23/10
i	200.251 SHS TURNER MIDCAP GROWTH INV	P	VARIOUS	03/23/10
j	1,508.985 SHS SENTINEL SMALL CO	P	VARIOUS	03/25/10
k	5.899 SHS QUANTITATIVE EMRG MKTS	P	VARIOUS	09/27/10
l	1,668.624 SHS QUANTITATIVE EMRG MKTS	P	VARIOUS	09/27/10
m	COLUMBIA VALUE & RESTRUCTURING-WASH SALE	P	00/00/00	03/22/10
n	CAPITAL GAINS DIVIDENDS			
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,000.		10,000.	0.
b	30,000.		30,000.	0.
c	12,212.		15,676.	-3,464.
d	1,526.		1,072.	454.
e	10,649.		12,349.	-1,700.
f	17,941.		12,838.	5,103.
g	2,332.		2,328.	4.
h	6,212.		7,783.	-1,571.
i	5,926.		5,982.	-56.
j	10,122.		7,032.	3,090.
k	133.		153.	-20.
l	37,757.		43,395.	-5,638.
m			-10.	10.
n	514.			514.
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				0.
b				0.
c				-3,464.
d				454.
e				-1,700.
f				5,103.
g				4.
h				-1,571.
i				-56.
j				3,090.
k				-20.
l				-5,638.
m				10.
n				514.
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	-3,274.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MISSION INVESTMENT FUND #128767	281.
MISSION INVESTMENT FUND #174480	663.
STATE FARM BANK	371.
US BANK	67.
WELLS FARGO BANK	7.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1,389.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
EQUITY SERVICES	1.	0.	1.
EQUITY SERVICES	10,311.	514.	9,797.
EQUITY SERVICES	3,151.	0.	3,151.
INTEREST FROM BOND HOLDINGS	22,391.	0.	22,391.
TOTAL TO FM 990-PF, PART I, LN 4	35,854.	514.	35,340.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTANT FEES	1,263.	0.	0.	1,263.
TO FORM 990-PF, PG 1, LN 16B	1,263.	0.	0.	1,263.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	10,620.	10,620.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	10,620.	10,620.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MN ANNUAL REGISTRATION	25.	0.	0.	25.
BOARD MEETING EXPENSES	631.	0.	0.	631.
TO FORM 990-PF, PG 1, LN 23	656.	0.	0.	656.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITY SERVICES	808,837.	950,274.
TOTAL TO FORM 990-PF, PART II, LINE 10B	808,837.	950,274.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BONDS	328,000.	328,000.
TOTAL TO FORM 990-PF, PART II, LINE 10C	328,000.	328,000.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MIRIAM SPONBERG KAGOL 4240 HEATHCOAT ROAD DEEPHAVEN, MN 55391	PRESIDENT 0.50	0.	0.	0.
ANN K SPONBERG-PETERSON 312 UPPER BROADWAY DECORAH, IA 52105	SECRETARY 0.50	0.	0.	0.
ELAINE M. SPONBERG LARSON 152 SPRING OAKS DRIVE BALLWIN, MO 63011	TREASURER 1.00	0.	0.	0.
FLORENCE SPONBERG 40415 JUDSON BOTTOM ROAD MANKATO, MN 56003	FOUNDATION MANAGER 1.00	0.	0.	0.
MICHAEL SPONBERG 40415 JUDSON BOTTOM ROAD MANKATO, MN 56003	FOUNDATION MANAGER 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 9

NAME OF MANAGER

FLORENCE SPONBERG
MICHAEL SPONBERG

RAYMOND H. & FLORENCE SPONBERG FOUNDATION
#41-6363938
FORM 990-PF
SCHEDULE OF GRANTS PAID
DECEMBER 31, 2010

DATE	RECIPIENT NAME	PURPOSE	AMOUNT	web address	ADDRESS		
6/7/2010	All Saints Lutheran BGK	church	500.00	www.allsaintsmtka.org	15915 Excelsior Blvd	Minnetonka, MN	55345
6/7/2010	Project Hope/Spokane	peace and justice	1,000.00	projecthopespokane.org	1428 W.Broadway	Spokane, WA.	99201
6/7/2010	St Louis Public Library	education	1,000.00	slplfoundation.org	1415 Olive Street	St Louis, MO	63103
6/9/2010	Monteverde Consrvation League	peace and justice	1,000.00	MCLUS.org	242 Old Sulphur Spring	Manchester, MO	63021
6/9/2010	Mankato Area Foundation	honor/recognition	100.00	mankatoareafoundation.org	220 East Main	Mankato, MN	56001
6/12/2010	Cornerstone Chorale	church	1,000.00	cornerstonechorale.org	POBox 249	Florissant, MO	63032
6/12/2010	Trinity Lutheran	church	1,000.00	http://youmattertogod.org/	14088 Clayton Road	St Louis, MO	63017
7/19/2010	RebuildingTogether-StL	peace/justice	1,000.00	rebuildingtogether-stl.org	357 Marshall Avenue	Webster Groves, MO	63119
7/20/2010	KETC/Channel 9	education	500.00	ketc.org	3655 Olive St	St Louis, MO	63108
7/20/2010	KWMU St Louis Public Radio	education	500.00	kwmu.org	One University Ave	St Louis, MO	63121
7/21/2010	Habitat for Humanity	peace/ justice	1,000.00	www.habitat.org	121 Habitat St	Americus, GA	31709
7/21/2010	Link Media	education	5,000.00	linktv.org	901 Battery St	San Francisco, CA	94126
	Chesterfield Day School	education	1,000.00	CDSWEB.org	1100 White Road	Chesterfield, MO	63017
8/27/2010	Guthrie Theater	education	500.00		818 S. 2nd Street	Minneapolis, MN	55415
9/23/2010	Central Presbyterian	church/justice	1,500.00	centralpres.com	7700 Davis Dr	Clayton, MO	63105
9/27/2010	Channel One Food Bank	peace/justice	750.00	helpingfeedpeople.org	131 35th St	Rochester, MN	55904
9/27/2010	Books for Africa	peace/justice	750.00	www.booksforafrica.org	253 E 4th St	St Paul, MN	55101
9/27/2010	Second Harvest Food	peace/justice	750.00	www.2harvest.org	1140 Gervais Ave.	St. Paul, MN	55109
9/27/2010	The Emily Program	education	750.00	emilyprogram.org	2265 Como Ave	St Paul, MN	55108
9/27/2010	Gustavus Adolphus College	education	1,000.00	www.gustavusadolphuscollege.edu	800 W College Ave	St Peter, MN	56082
9/27/2010	Luther College	education	2,000.00	www.Luthercollege.edu	700 College DR	Decorah, IA	52101
9/27/2010	First Lutheran Church	church	1,500.00		West Broadway	Decorah, IA	52101
9/27/2010	Friends of Ngong Road	peace/justice	1,000.00	www.ngongroad.org	7739 Pondwood Drive	Edina, MN	55439
9/27/2010	Lutheran advocacy mission ban	peace/justice	200.00		3312 Silver Lake road	Minneapolis, MN	55418
9/27/2010	SLPPSF	education	1,000.00	slppsf.org	POBox 26393	St. Louis Park, MN	55426
9/27/2010	Dollars for Scholars	education	1,000.00	scholarshipamerica.org	One Scholarship Way	St. Peter, MN	56082
9/27/2010	Lutheran World Relief	peace/justice	500.00	LWR.org	700 Light ST	Baltimore, MD	21230
9/27/2010	Minnesota Public Radio	education	300.00	MPR.org	480 Cedar St	St Paul, MN	55101
9/27/2010	Our Saviour's Housing	peace/justice	500.00	www.osom-mn.org	2315 Chicago Ave. Sout	Minneapolis, MN	55404
9/27/2010	ICA Food Shelf	hunger	500.00	icafoodshelf.org	12990 St David's Rd	Minnetonka, MN	55305
9/27/2010	Gustavus Library Assoc	education	500.00	www.gustavusadolphuscollege.edu	College Drive	St Peter, MN	56082
9/27/2010	Gustavus Alumni Giving	education	500.00	www.gustavusadolphuscollege.edu	College Drive	St. Peter, MN	56082
10/5/2010	Our Place Ministries-Spokane	church	250.00		1509 West College	Spokane, WA	99201
10/5/2010	Emmaus Ministries	church	250.00	www.streets.org	921 W Wilson Ave	Chicago, IL	60640
10/5/2010	All Saints Lutheran	church	250.00		314 S Spruce St	Spokane, WA	99201
10/5/2010	Living Water Community Church	church	250.00	livingwatercommunitychurch.org	6808 N Ashland Blvd	Chicago, IL	60626
10/5/2010	Oregon Natural Desert Assoc.	education	250.00	www.onda.org	33 NW Irving Ave	Bend, OR	97701
10/5/2010	SALT World Relief Campaign	peace/justice	250.00		35 W Main	Spokane, WA	99201
10/5/2010	Holden Village	church	250.00	holdenvillage.org	HCO Box 2	Chelan, WA	98826
10/6/2010	Jeremiah Center	church	500.00		8330 212th St SW	Edmonds, WA	98026
10/6/2010	Chesterfield Day School	education	500.00	chesterfielddayschool.org	1100 White Road	Chesterfield, MO	63017
10/6/2010	Trinity Lutheran	church	500.00	trinitychesterfield.org	14088 Clayton Road	Chesterfield, MO	63017

RAYMOND H. & FLORENCE SPONBERG FOUNDATION
#41-6363938
FORM 990-PF
SCHEDULE OF GRANTS PAID
DECEMBER 31, 2010

DATE	RECIPIENT NAME	PURPOSE	AMOUNT	web address	ADDRESS		
#######	ECHO Food Shelf	poor/hungry	1,500.00		1014 So Front St	Mankato, MN	56002-321
#######	Habitat for Humanity	peace/justice	1,000.00		1751 Bassett Dr	Mankato, MN	56001
#######	Bethany Lutheran College	education/church	1,500.00	www.blc.edu	700 Luther Drive	Mankato, MN	56001
#######	Gustavua Adolphus College	education	1,000.00		College Ave	St Peter, MN	56082
#######	Grace Lutheran Church	church	1,000.00		Fourth and Main St	Mankato, MN	56001
#######	Loaves and Fishes	hunger	50.00	www.loavesandfishes-stl.org	2750 McKelvey Road	Maryland Heights, MO	63043
11/4/2010	St Louis County Library Founda	education	1,000.00	slcl.org	1640 Lindbergh Blvd	St Louis, MO	63131
#######	Books for Africa	education	500.00	www.booksforafrica.org	253 East 4th St, Ste 20(	St. Paul, MN	55101
12/1/2010	Augustana College	education	1,000.00	www.augustanacollege.edu	2001 S. Summit	Sioux Falls, SD	57197
12/1/2010	ICA Food Shelf	hungry	1,000.00	icafoodshelf.org	12990 St Davids Rd	Minnetonka, MN	55305
12/1/2010	STEP St Louis Park Emergency	education	1,000.00		6812 W Lake St	St Louis Park, MN	55426
#######	Gustavus Adolphus College	education	50.00	gustavus.edu	College Drive	St Peter, MN	56082
			$43,950.00				