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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation RAABE FOUNDATION		A Employer identification number 39-6589876
Number and street (or P.O. box number if mail is not delivered to street address) 1080 HAWTHORNE RIDGE DRIVE	Room/suite	B Telephone number (262) 797-9476
City or town, state, and ZIP code BROOKFIELD, WI 53045-4511		C If exemption application is pending, check here ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,151,843.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		265.		N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		13.	13.		STATEMENT 1
4 Dividends and interest from securities		18,730.	18,730.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		83,457.			
b Gross sales price for all assets on line 6a 955,502.					
7 Capital gain net income (from Part IV, line 2)			83,457.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		102,465.	102,200.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		6,683.	3,342.		3,341.
c Other professional fees STMT 4		8,407.	8,407.		0.
17 Interest					
18 Taxes STMT 5		228.	228.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		15,318.	11,977.		3,341.
25 Contributions, gifts, grants paid		357,500.			357,500.
26 Total expenses and disbursements. Add lines 24 and 25		372,818.	11,977.		360,841.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-270,353.			
b Net investment income (if negative, enter -0-)			90,223.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	-160,563.	58,725.	58,725.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	2,000.	1,839.	1,839.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	1,157,977.	669,181.	771,935.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	0.	319,344.	319,344.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	999,414.	1,049,089.	1,151,843.	
Liabilities	17 Accounts payable and accrued expenses	1,937.	2,070.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	1,937.	2,070.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	997,477.	1,047,019.		
30 Total net assets or fund balances	997,477.	1,047,019.		
31 Total liabilities and net assets/fund balances	999,414.	1,049,089.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 997,477.
2 Enter amount from Part I, line 27a	2 -270,353.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3 320,028.
4 Add lines 1, 2, and 3	4 1,047,152.
5 Decreases not included in line 2 (itemize) ▶ DEFERRED INCOME TAXES	5 133.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 1,047,019.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a JP MORGAN	P	VARIOUS	VARIOUS
b JP MORGAN	P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 266,612.		236,068.	30,544.
b 688,676.		635,977.	52,699.
c 214.			214.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			30,544.
b			52,699.
c			214.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	83,457.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1,712,564.	2,162,239.	.792033
2008	1,120,163.	4,827,321.	.232047
2007	824,471.	6,231,938.	.132298
2006	139,161.	2,826,964.	.049226
2005	44,966.	4,810,620.	.009347

2 Total of line 1, column (d)	2	1.214951
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.242990
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	1,172,140.
5 Multiply line 4 by line 3	5	284,818.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	902.
7 Add lines 5 and 6	7	285,720.
8 Enter qualifying distributions from Part XII, line 4	8	360,841.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	902.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	902.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	902.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	1,839.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,839.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	937.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☒ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► KENT A. RAABE Telephone no. ► (262) 797-9476 Located at ► 1080 HAWTHORNE RIDGE DRIVE, BROOKFIELD, WI ZIP+4 ► 53045-4511			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ► , , ,		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DARYL K. RAABE 10808 CORONADO COURT FRANKLIN, WI 53132	TRUSTEE 0.10	0.	0.	0.
KENT A. RAABE 1080 HAWTHORNE RIDGE DRIVE BROOKFIELD, WI 530454511	TRUSTEE 0.10	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	989,733.
b	Average of monthly cash balances	1b	40,585.
c	Fair market value of all other assets	1c	159,672.
d	Total (add lines 1a, b, and c)	1d	1,189,990.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,189,990.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,850.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,172,140.
6	Minimum investment return. Enter 5% of line 5	6	58,607.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	58,607.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	902.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	902.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	57,705.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	57,705.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	57,705.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	360,841.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	360,841.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	902.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	359,939.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				57,705.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006	5,147.			
c From 2007	529,197.			
d From 2008	878,847.			
e From 2009	1,604,774.			
f Total of lines 3a through e	3,017,965.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$	360,841.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				57,705.
e Remaining amount distributed out of corpus	303,136.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	3,321,101.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	3,321,101.			
10 Analysis of line 9:				
a Excess from 2006	5,147.			
b Excess from 2007	529,197.			
c Excess from 2008	878,847.			
d Excess from 2009	1,604,774.			
e Excess from 2010	303,136.			

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BANK ONE - ACCT #5573	2.
BANK ONE - ACCT #9598	1.
FIDELITY	2.
JP MORGAN CHASE BANK	8.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	13.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JP MORGAN	18,944.	214.	18,730.
TOTAL TO FM 990-PF, PART I, LN 4	18,944.	214.	18,730.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	6,683.	3,342.		3,341.
TO FORM 990-PF, PG 1, LN 16B	6,683.	3,342.		3,341.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	8,407.	8,407.		0.
TO FORM 990-PF, PG 1, LN 16C	8,407.	8,407.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	228.	228.		0.
TO FORM 990-PF, PG 1, LN 18	228.	228.		0.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
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DESCRIPTION	AMOUNT
INCREASE IN CSV OF LIFE INSURANCE POLICY	319,344.
CASH/ACCRUAL ADJUSTMENT	684.
TOTAL TO FORM 990-PF, PART III, LINE 3	320,028.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SECURITIES	669,181.	771,935.
TOTAL TO FORM 990-PF, PART II, LINE 10B	669,181.	771,935.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CSV OF LIFE INSURANCE POLICY	COST	319,344.	319,344.
TOTAL TO FORM 990-PF, PART II, LINE 13		319,344.	319,344.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 9

NAME OF MANAGER

DARYL K. RAABE
KENT A. RAABE



RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
AOL INC CASH IN LIEU OF FRACTIONAL SHARES	0.640	00/00/00	01/06/10	15.02	.00	L 15.02
EAGLE MID CAP STOCK FUND - A	516.120	04/27/09	01/05/10	12,000.00	8,990.97	S 3,009.03
JPMORGAN ASIA EQUITY FUND SELECT SHARE CLASS FUND 1134	525.170	08/03/07	01/05/10	17,000.00	19,442.09	L -2,442.09
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						
JPMORGAN INTREPID INTERNATIONAL FUND SELECT SHARE CLASS FUND # 1321	1,115.750	09/27/06	01/05/10	18,052.93	23,564.77	L -5,511.84
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						
JPMORGAN US LARGE CAP CORE PLUS FUND SELECT FUND 1002	3,771.550	01/24/07	01/05/10	70,000.00	72,715.52	L -2,715.52
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
JPMORGAN TR I HIGHBRIDGE STATISTICAL MARKET NEUTRAL FUND SELECT SHARES FUND # 1011	190.590	03/30/06	01/05/10	3,000.00	2,874.20	L 125.80
JP MORGAN CHASE BANK						
JPMORGAN TR I GROWTH ADVANTAGE FUND SELECT SHARE CLASS FUND 1567	2,845.520	10/29/08	01/05/10	21,000.00	15,109.75	L 5,890.25
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						
JPMORGAN US REAL ESTATE FUND SELECT SHARE CLASS FUND 3037	821.010	01/24/07	01/05/10	10,000.00	17,376.96	L -7,376.96
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
JPMORGAN HIGH YIELD BOND FUND SELECT CLASS FUND 3580 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT	2,820.510	03/05/08	01/05/10	22,000.00	21,435.90	L 584.10
JPMORGAN SHORT DURATION BOND FUND SELECT CLASS FUND 3133 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT	1,838.230	02/02/09	01/05/10	20,000.00	19,595.59	S 404.41
JPMORGAN MARKET EXPANSION INDEX FUND SELECT SHARE CLASS FUND 3708 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT	1,488.920	04/27/09	01/05/10	13,000.00	9,474.58	S 3,525.42

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RAABE FDN, D RAABE FAM FUND NP PCIAA

Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ISHARES BARCLAYS TIPS BOND FUND @ 104.37 13,046.25	125.000	11/28/05	01/05/10	13,042.16	12,900.40	L 141.76
BROKERAGE 3.75						
TAX &/OR SEC .34						
UNIVERSAL NETWORK EXCHANGE INC.						
ISHARES S&P MIDCAP 400 INDEX FUND @ 73.45 6,390.15	87.000	09/11/09	01/05/10	6,387.37	5,935.90	S 451.47
BROKERAGE 2.61						
TAX &/OR SEC .17						
UNIVERSAL NETWORK EXCHANGE INC.						
ISHARES RUSSELL 1000 GROWTH INDEX FUND @ 50.50 15,453.00	306.000	08/03/07	01/05/10	15,443.42	18,109.08	L -2,665.66
BROKERAGE 9.18						
TAX &/OR SEC .40						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
JPMORGAN INTREPID AMERICA FUND SELECT SHARE CLASS FUND 1206	385.170	11/17/05	01/12/10	8,000.00	9,271.07	L -1,271.07
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						
JPMORGAN SHORT DURATION BOND FUND SELECT CLASS FUND 3133	1,376.140	02/02/09	01/12/10	15,000.00	14,669.73	S 330.27
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						
NETAPP INC @ 33.0792	15.000	03/23/09	01/08/10	495.72	234.82	S 260.90
BROKERAGE						
TAX &/OR SEC						
BARCLAYS CAPITAL LE						



RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
URBAN OUTFITTERS INC @ 34.0436 510.65	15.000	04/02/09	01/08/10	510.18	235.60	S 274.58
BROKERAGE 0.45 TAX &/OR SEC .02						
MERRILL LYNCH PIERCE FENNER & SMIT						
BRISTOL MYERS SQUIBB CO @ 24.9087 498.17	20.000	07/22/09	01/13/10	497.76	425.51	S 72.25
BROKERAGE 0.40 TAX &/OR SEC .01						
GOLDMAN SACHS EXECUTION & CLEARING						
PAYCHEX INC @ 31.6512 633.02	20.000	07/01/09	01/13/10	632.42	527.58	S 104.84
BROKERAGE 0.60 TAX &/OR SEC .01						
MORGAN STANLEY & CO. INCORPORATED						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
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Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
CHEVRON CORP @ 78.8205 1,970.51 BROKERAGE 0.50 TAX &/OR SEC .03 INVESTMENT TECHNOLOGY GROUP (ITG)	25.000	07/08/09	01/15/10	1,969.98	1,865.45	S 304.53
NATIONAL SEMICONDUCTOR CORP @ 14.3848 431.54 BROKERAGE 0.60 TAX &/OR SEC .01 INVESTMENT TECHNOLOGY GROUP (ITG)	30.000	04/09/09	01/15/10	430.93	372.78	S 58.15
CATERPILLAR INC @ 56.6985 566.99 BROKERAGE 0.20 TAX &/OR SEC .01 UBS SECURITIES LLC	10.000	04/21/09	01/22/10	566.78	318.97	S 247.81

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
GILEAD SCIENCES INC @ 48.0063 480.06	10.000	12/05/07	01/27/10	479.75	466.66	L 13.09
BROKERAGE 0.30 TAX &/OR SEC .01 MORGAN STANLEY & CO. INCORPORATED						
APPLIED MATERIALS INC @ 12.431 248.62	20.000	12/01/09	02/01/10	248.21	258.42	S -10.21
BROKERAGE 0.40 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC						
CORNING INC @ 18.2002 273.00	15.000	11/01/07	02/01/10	272.54	360.15	L -87.61
BROKERAGE 0.45 TAX &/OR SEC .01 COLLINS STEWART INC						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
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Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
APPLIED MATERIALS INC @ 11.7204 410.21	35.000	12/01/09	02/04/10	409.15	452.24	S -43.09
BROKERAGE 1.05 TAX &/OR SEC .01 GOLDMAN SACHS & CO.						
BOEING CO @ 58.5391 585.39	10.000	01/21/09	02/09/10	585.08	419.42	L 165.66
BROKERAGE 0.30 TAX &/OR SEC .01 MORGAN STANLEY & CO. INCORPORATED						
WELLPOINT INC @ 59.82 299.10	5.000	11/16/09	02/11/10	298.94	266.76	S 32.18
BROKERAGE 0.15 TAX &/OR SEC .01 MORGAN STANLEY & CO. INCORPORATED						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
JPMORGAN ASIA EQUITY FUND	375.830	08/03/07	02/18/10	11,120.90	13,913.33	L -2,792.43
SELECT SHARE CLASS	1,449.100	07/22/09	02/18/10	42,879.10	31,284.86	S 11,594.24
FUND 1134						
JP MORGAN CHASE BANK						
AS SHAREHOLDER SERVICING AGENT						
JPMORGAN US LARGE CAP CORE PLUS FUND	877.250	01/24/07	02/18/10	15,816.89	16,913.46	L -1,096.57
SELECT						
FUND 1002						
JP MORGAN CHASE BANK						
AS SHAREHOLDER SERVICING AGENT						
JPMORGAN SHORT DURATION BOND FUND	1,649.860	02/02/09	02/18/10	18,000.00	17,587.54	L 412.46
SELECT CLASS						
FUND 3133						
JP MORGAN CHASE BANK						
AS SHAREHOLDER SERVICING AGENT						
VANGUARD FIXED INCOME SECS F	927.830	02/02/09	02/18/10	9,000.00	8,072.17	L 927.83
INTR TRM CP PT						
(FUND 71)						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
GOOGLE INC CL A	1.000	02/04/09	03/01/10	529.30	351.67	L 177.63
@ 529.33 BROKERAGE 0.02 TAX &/OR SEC .01						
GILEAD SCIENCES INC @ 47.50 902.50	19.000	12/05/07	03/02/10	902.10	886.64	L 15.46
BROKERAGE 0.38 TAX &/OR SEC .02 J.P. MORGAN SECURITIES INC.						
PHILIP MORRIS INTERNATIONAL @ 51.0271 510.27	10.000	02/04/09	03/05/10	509.96	361.00	L 148.96
BROKERAGE 0.30 TAX &/OR SEC .01 DEUTSCHE BANC ALEX BROWN INC						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
DEVON ENERGY CORP @ 67.4706 674.71	10.000	02/19/09	03/16/10	674.50	551.97	L 122.53
BROKERAGE 0.20 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC						
DEVON ENERGY CORP @ 64.2687 964.03	15.000	03/18/09	03/19/10	963.56	658.63	L 304.93
BROKERAGE 0.45 TAX &/OR SEC .02 BARCLAYS CAPITAL LE						
COOPER INDUSTRIES PLC CL - A @ 45.6435 912.87	20.000	09/02/09	03/22/10	912.45	668.43	S 244.02
BROKERAGE 0.40 TAX &/OR SEC .02 UBS SECURITIES LLC						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
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Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
APPLIED MATERIALS INC @ 12.956 583.02	45.000	12/16/09	03/24/10	581.67	607.63	S -25.96
BROKERAGE 1.35						
TAX &/OR SEC .01						
GOLDMAN SACHS & CO.						
XLINX CORP @ 25.8832 1,164.74	25.000	02/04/09	03/24/10	646.32	439.29	L 207.03
BROKERAGE 1.35	20.000	07/23/09	03/24/10	517.05	405.41	S 111.64
TAX &/OR SEC .02						
GOLDMAN SACHS & CO.						
CELGENE CORPORATION @ 63.0135 315.07	5.000	02/04/09	03/25/10	314.91	270.85	L 44.06
BROKERAGE 0.15						
TAX &/OR SEC .01						
MORGAN STANLEY & CO. INCORPORATED						



RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

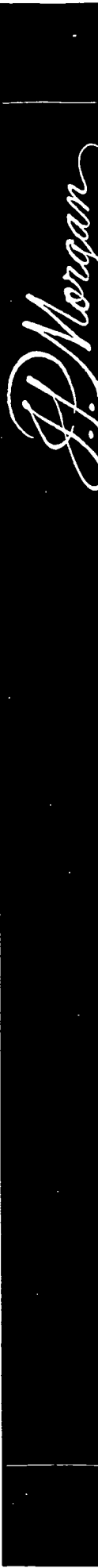
Transactions

Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
GOLDMAN SACHS GROUP INC @ 176.9735 BROKERAGE 0.03 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC	1.000	09/23/08	03/25/10	176.93	119.36	L 57.57
MORGAN STANLEY @ 29.2551 BROKERAGE 0.30 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC	10.000	02/04/09	03/25/10	292.24	224.70	L 67.54
PEPSICO INC @ 66.7944 BROKERAGE 0.15 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC	5.000	02/04/09	03/25/10	333.81	259.50	L 74.31

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
PROCTER & GAMBLE CO @ 63.8656 319.33 BROKERAGE 0.15 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC	5.000	02/04/09	03/25/10	319.17	271.20	L 47.97
BARC 9M RTY TACTICAL TRADE 10/11/2010 (78.95% CONTIN BARRIER-2.0% PMT -7% CAP) INITIAL LEVEL-RTY:1/08/10: 644.56	10,000.000	01/08/10	04/12/10	10,700.00	10,000.00	S 700.00
PRAXAIR INC @ 83.9695 419.85 BROKERAGE 0.15 TAX &/OR SEC .01 UBS SECURITIES LLC	5.000	02/04/09	04/07/10	419.69	324.70	L 94.99

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Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
STARWOOD HOTELS & RESORTS @ 48.3025 483.03	10.000	05/06/09	04/07/10	482.72	209.51	S 273.21
BROKERAGE 0.30						
TAX &/OR SEC .01						
CITIGROUP GLOBAL MKTS INC						
TRANSOCEAN INC	10.000	11/20/09	04/12/10	853.38	842.63	S 10.75
@ 85.37 853.70						
BROKERAGE 0.30						
TAX &/OR SEC .02						
CREDIT SUISSE FIRST BOSTON LLC						
JPMORGAN TR I	945.000	03/30/06	04/01/10	14,987.70	14,250.60	L 737.10
HIGHBRIDGE STATISTICAL						
MARKET NEUTRAL FUND SELECT SHARES						
FUND # 1011						
JP MORGAN CHASE BANK						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
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Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BRISTOL MYERS SQUIBB CO @ 25.4818 764.45	30.000	11/04/09	04/15/10	763.52	662.97	S 100.55
BROKERAGE 0.90						
TAX &/OR SEC .02						
UBS SECURITIES LLC						
AT&T INC @ 26.1927 523.85	20.000	08/11/08	04/22/10	523.24	626.39	L -103.15
BROKERAGE 0.60						
TAX &/OR SEC .01						
JEFFERIES & COMPANY						
PRINCIPAL FINANCIAL GROUP @ 29.6555 444.83	15.000	01/08/10	04/22/10	444.37	382.85	S 61.52
BROKERAGE 0.45						
TAX &/OR SEC .01						
BARCLAYS CAPITAL LE						

J.P.Morgan



RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

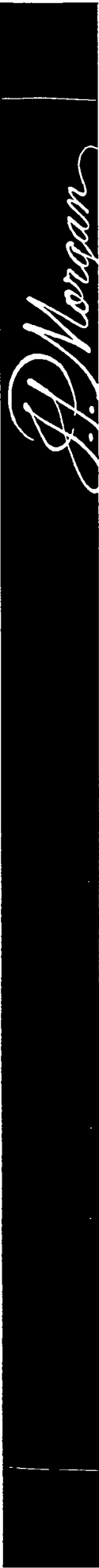
Transactions

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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ISHARES BARCLAYS TIPS BOND FUND @ 105.0484 8,088.73	77.000	11/28/05	04/27/10	8,086.28	7,990.66	L 95.62
BROKERAGE 2.31						
TAX &/OR SEC .14						
BMO NESBITT BURNS CORP						
WAL MART STORES INC @ 54.2037 542.04	10.000	02/04/09	04/23/10	541.73	473.30	L 68.43
BROKERAGE 0.30						
TAX &/OR SEC .01						
GARDNER RICH & COMPANY						
PRAXAIR INC @ 84.1655 841.65	10.000	02/04/09	04/28/10	841.33	649.40	L 191.93
BROKERAGE 0.30						
TAX &/OR SEC .02						
UBS SECURITIES LLC						

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Capital Gain and Loss Schedule

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L Indicates Long Term Realized Gain/Loss
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ANADARKO PETROLEUM CORP @ 84.7133 647.13	10.000	05/22/09	04/30/10	646.81	434.97	S 211.84
BROKERAGE 0.30						
TAX &/OR SEC .02						
BARCLAYS CAPITAL LE						
ANADARKO PETROLEUM CORP @ 84.5147 322.57	5.000	03/16/10	04/30/10	322.41	356.60	S -34.19
BROKERAGE 0.15						
TAX &/OR SEC .01						
FRIEDMAN BILLINGS & RAMSEY						
ANADARKO PETROLEUM CORP @ 56.5277 565.28	10.000	03/18/10	05/12/10	564.97	712.86	S -147.89
BROKERAGE 0.30						
TAX &/OR SEC .01						
MERRILL LYNCH PIERCE FENNER & SMIT						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
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Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
MONSANTO CO						
@ 56.9102	8.000	02/04/09	05/12/10	455.03	641.68	L -186.65
BROKERAGE					395.86	S -111.47
TAX &/OR SEC	5.000	09/10/09	05/12/10	284.39		
GOLDMAN SACHS & CO.						
CORNING INC						
@ 18.6999	20.000	11/01/07	05/13/10	373.39	480.20	L -106.81
BROKERAGE						
TAX &/OR SEC						
FIRST UNION CAPITAL MARKETS						
STARWOOD HOTELS & RESORTS						
@ 52.9385	5.000	05/22/09	05/13/10	264.53	108.86	S 155.67
BROKERAGE						
TAX &/OR SEC						
S G COWEN & CO						

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Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
WAL MART STORES INC @ 52.6462	10.000	02/04/09	05/13/10	526.15	473.30	L 52.85
BROKERAGE 0.30 TAX &/OR SEC .01						
DEUTSCHE BANC ALEX BROWN INC						
COVIDIEN PLC @ 41.5471	15.000	02/04/09	05/21/10	622.74	596.10	L 26.64
BROKERAGE 0.45 TAX &/OR SEC .02						
BARCLAYS CAPITAL LE						
KB HOME @ 14.9946	30.000	04/07/10	05/21/10	448.93	501.38	S -52.45
BROKERAGE 0.90 TAX &/OR SEC .01						
CREDIT SUISSE FIRST BOSTON LLC						

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Capital Gain and Loss Schedule

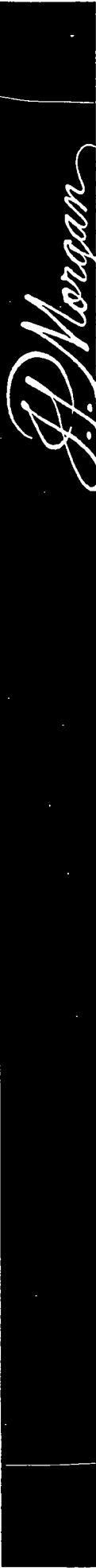
Transactions

Note: S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
AFIAC INC @ 42.8064 BROKERAGE 0.30 TAX &/OR SEC .01 BARCLAYS CAPITAL LE	10.000	10/07/09	05/24/10	427.75	429.38	S -1.63
DOW CHEMICAL CO @ 26.0611 BROKERAGE 0.45 TAX &/OR SEC .01 DEUTSCHE BANC ALEX BROWN INC	15.000	04/22/09	05/24/10	390.46	191.83	L 198.63
BAKER HUGHES INC @ 38.7689 BROKERAGE 0.15 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC	5.000	01/15/10	05/28/10	193.68	235.19	S -41.51

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Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
SCHLUMBERGER LTD @ 56.3284 563.28	10.000	02/04/09	05/28/10	562.97	427.60	L 135.37
BROKERAGE 0.30						
TAX &/OR SEC .01						
MERRILL LYNCH PIERCE FENNER & SMIT						
WAL MART STORES INC @ 50.7251 253.63	5.000	02/04/09	05/28/10	253.47	236.65	L 16.82
BROKERAGE 0.15						
TAX &/OR SEC .01						
LOOP CAPITAL MARKETS LLC						
DOW CHEMICAL CO @ 26.1224 1,306.12	45.000	05/22/09	06/02/10	1,174.13	645.91	L 528.22
BROKERAGE 1.50	5.000	03/16/10	06/02/10	130.46	152.20	S -21.74
TAX &/OR SEC .03						
FIRST UNION CAPITAL MARKETS						

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Capital Gain and Loss Schedule

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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
PHILIP MORRIS INTERNATIONAL @ 43.8487	10.000	02/04/09	06/04/10	438.18	361.00	L 77.18
BROKERAGE 0.30 TAX &/OR SEC .01						
DEUTSCHE BANC ALEX BROWN INC						
ARTIO INTERNATIONAL EQUITY II - I	5,133.080	03/05/09	06/10/10	54,000.00	38,960.08	L 15,039.92
AFLAC INC @ 41.9817	10.000	11/18/09	06/10/10	419.51	450.59	S -31.08
BROKERAGE 0.30 TAX &/OR SEC .01						
SANFORD C. BERNSTEIN & CO. INC.(SCB						
CVS/CAREMARK CORPORATION @ 31.1058	20.000	02/04/09	06/10/10	621.50	560.20	L 61.30
BROKERAGE 0.60 TAX &/OR SEC .02						
LOOP CAPITAL MARKETS LLC						

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Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ISHARES MSCI EAFE INDEX FUND @ 48.2767 8,979.47	186.000	02/18/10	06/10/10	8,973.58	9,919.38	S -945.80
BROKERAGE 5.58						
TAX &/OR SEC .31						
CANTOR FITZGERALD & CO INC						
BAXTER INTERNATIONAL INC @ 42.2589 211.29	5.000	06/08/09	06/18/10	211.13	235.27	L -24.14
BROKERAGE 0.15						
TAX &/OR SEC .01						
CREDIT SUISSE FIRST BOSTON LLC						
CORNING INC @ 18.0669 957.55	53.000	11/01/07	06/17/10	955.94	1,272.53	L -316.59
BROKERAGE 1.59						
TAX &/OR SEC .02						
PERSHING & COMPANY						

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Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
EMERSON ELECTRIC CO	20,000	06/08/09	06/24/10	896.35	696.63	L 199.72
@ 44.8483	5,000	10/15/09	06/24/10	224.09	198.66	S 25.43
BROKERAGE						
TAX &/OR SEC						
GOLDMAN SACHS & CO.						
GOOGLE INC	2,000	02/04/09	06/24/10	957.65	703.34	L 254.31
CL A						
@ 478.8829						
BROKERAGE						
TAX &/OR SEC						
NASDAQ OMX GROUP INC	30,000	01/15/10	07/02/10	521.86	608.31	S -86.45
@ 17.4258						
BROKERAGE						
TAX &/OR SEC						
BARCLAYS CAPITAL LE						

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Capital Gain and Loss Schedule

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Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
NORFOLK SOUTHERN CORP @ 52.3695 523.70	10.000	02/04/09	07/02/10	523.39	391.00	L 132.39
BROKERAGE 0.30						
TAX &/OR SEC .01						
BARCLAYS CAPITAL LE						
TOLL BROTHERS INC @ 16.4789 247.18	15.000	05/21/10	07/08/10	246.72	308.63	S -61.91
BROKERAGE 0.45						
TAX &/OR SEC .01						
GOLDMAN SACHS & CO.						
BAXTER INTERNATIONAL INC @ 43.7653 656.48	15.000	07/01/09	07/12/10	656.01	734.66	L -78.65
BROKERAGE 0.45						
TAX &/OR SEC .02						
MERRILL LYNCH PIERCE FENNER & SMIT						

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Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FRONTIER COMMUNICATIONS CORPORATION						
@ 7.3225	18.000	02/04/09	07/12/10	131.26	149.43	L -18.17
BROKERAGE						
TAX &/OR SEC						
GOLDMAN SACHS & CO.						
EDISON INTERNATIONAL						
@ 32.9954	20.000	02/04/09	07/20/10	659.29	655.40	L 3.89
BROKERAGE						
TAX &/OR SEC						
GOLDMAN SACHS & CO.						
SYSCO CORP						
@ 30.285	20.000	02/04/09	07/20/10	604.68	487.60	L 117.08
BROKERAGE						
TAX &/OR SEC						
MORGAN STANLEY & CO. INCORPORATED						

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Note S indicates Short Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BANK OF NEW YORK MELLON CORP @ 25.8016 774.05	30.000	02/04/09	07/22/10	773.13	828.60	L -55.47
BROKERAGE 0.90						
TAX &/OR SEC .02						
DEUTSCHE BANC ALEX BROWN INC						
EOG RESOURCES INC @ 101.8942 203.79	2.000	07/01/09	07/23/10	203.74	135.36	L 68.38
BROKERAGE 0.04						
TAX &/OR SEC 01						
UBS SECURITIES LLC						
EDISON INTERNATIONAL @ 33.7991 844.98	15.000	05/13/09	07/28/10	506.67	456.96	L 49.71
BROKERAGE 0.50	10.000	09/10/09	07/28/10	337.79	335.51	S 2.28
TAX &/OR SEC .02						
LIQUIDNET INC						

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Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HEWLETT-PACKARD CO @ 42.951 1,073.78	25.000	02/04/09	08/09/10	1,073.01	920.50	L 152.51
BROKERAGE 0.75 TAX &/OR SEC .02 CREDIT SUISSE FIRST BOSTON LLC						
DEERE & CO @ 65.3271 326.64	5.000	02/27/09	08/11/10	326.48	140.25	L 186.23
BROKERAGE 0.15 TAX &/OR SEC .01 GOLDMAN SACHS & CO.						
EXXON MOBIL CORP @ 60.4427 604.43	10.000	02/04/09	08/11/10	604.11	788.30	L -184.19
BROKERAGE 0.30 TAX &/OR SEC .02 BARCLAYS CAPITAL LE						

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Capital Gain and Loss Schedule

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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
SOUTHWESTERN ENERGY CO @ 34.9361 349.36	10.000	06/09/09	08/11/10	349.05	431.90	L -82.85
BROKERAGE 0.30						
TAX &/OR SEC .01						
BARCLAYS CAPITAL LE						
GOOGLE INC CL A	1.000	02/04/09	08/13/10	489.05	351.67	L 137.38
@ 489.0857 489.09						
BROKERAGE 0.03						
TAX &/OR SEC .01						
HEWLETT-PACKARD CO @ 40.4456 606.68	15.000	02/04/09	08/13/10	606.21	552.30	L 53.91
BROKERAGE 0.45						
TAX &/OR SEC .02						
CITIGROUP GLOBAL MKTS INC						

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Capital Gain and Loss Schedule

Transactions

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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
NYSE EURONEXT @ 28.8287 576.57 BROKERAGE 0.60 TAX &/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT	20.000	07/02/10	08/19/10	575.96	547.97	S 27.99
WALMART STORES INC @ 50.6537 506.54 BROKERAGE 0.30 TAX &/OR SEC .01 SANFORD C. BERNSTEIN & CO. INC.(SOB)	10.000	03/03/09	08/19/10	506.23	479.07	L 27.16
DEERE & CO @ 69.5605 347.80 BROKERAGE 0.15 TAX &/OR SEC .01 GOLDMAN SACHS & CO.	5.000	02/27/09	09/13/10	347.64	140.24	L 207.40

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HEWLETT-PACKARD CO @ 38.201 382.01	10.000	02/04/09	09/13/10	381.70	368.20	L 13.50
BROKERAGE 0.30 TAX &/OR SEC .01						
MERRILL LYNCH PIERCE FENNER & SMIT						
INTERNATIONAL GAME TECHNOLOGY @ 14.9539 299.08	20.000	06/24/09	09/21/10	298.47	304.20	L -5.73
BROKERAGE 0.60 TAX &/OR SEC .01						
GOLDMAN SACHS & CO.						
INTERSIL CORP CL A	20.000	05/28/10	09/21/10	224.06	266.15	S -42.09
@ 11.2333 224.67						
BROKERAGE 0.60 TAX &/OR SEC .01						

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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
KIMBERLY-CLARK CORP @ 86.499 684.99	10.000	03/25/10	09/21/10	664.67	631.85	\$ 32.82
BROKERAGE 0.30						
TAX &/OR SEC .02						
CREDIT RESEARCH & TRADING LLC						
MONSANTO CO @ 54.4332 544.33	10.000	07/02/10	09/21/10	544.02	463.84	\$ 80.18
BROKERAGE 0.30						
TAX &/OR SEC .01						
UBS SECURITIES LLC						
JPMORGAN TR I US LARGE CAP VALUE PLUS SELECT SHARE CLASS FUND 1513	1,657.000	01/12/10	09/27/10	19,536.04	20,000.00	\$ -463.96
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Note: S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ISHARES RUSSELL 1000 GROWTH INDEX FUND	593.000	08/03/07	09/27/10	30,550.19	35,093.74	L -4,543.55
@ 51.5389	30,562.57					
BROKERAGE	11.86					
TAX &/OR SEC	.52					
ISHARES RUSSELL 2000 INDEX FUND	222.000	01/12/10	09/27/10	14,864.88	14,097.00	S 767.88
@ 66.9801	14,869.58					
BROKERAGE	4.44					
TAX &/OR SEC	.26					
AUTOMATED TRADING DESK FINK SER TR						
ASTON FDS TAMRO S CAP I	932.400	04/27/09	09/30/10	17,482.52	12,000.00	L 5,482.52
COCA-COLA CO						
@ 58.6793	880.19					
BROKERAGE	0.45					
TAX &/OR SEC	.02					
CREDIT SUISSE FIRST BOSTON LLC	15.000	11/20/09	09/29/10	879.72	862.93	S 16.79

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F indicates Foreign Exchange Gain/Loss
on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
MERCK AND CO INC @ 36.4195 546.29 BROKERAGE 0.45 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC	15.000	09/14/09	10/12/10	545.83	482.58	L 63.25
BANK OF NEW YORK MELLON CORP @ 25.9804 779.41 BROKERAGE 0.90 TAX &/OR SEC .02 FIRST UNION CAPITAL MARKETS	30.000	04/29/09	10/14/10	778.49	807.61	L -29.12
FREEPORT-MCMORAN COPPER & GOLD INC CL B @ 96.4033 482.02 BROKERAGE 0.15 TAX &/OR SEC .01	5.000	02/04/09	10/18/10	481.86	138.60	L 343.26

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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
PARKER-HANNIFIN CORP @ 74.8446 523.91	7.000	07/08/09	10/19/10	523.69	282.11	L 241.58
BROKERAGE 0.21						
TAX &/OR SEC 01						
GOLDMAN SACHS & CO.						
OCCIDENTAL PETROLEUM CORP @ 80.7773 807.77	10.000	02/04/09	10/20/10	807.45	588.60	L 238.85
BROKERAGE 0.30						
TAX &/OR SEC .02						
UBS SECURITIES LLC						
DEERE & CO @ 76.7668 383.83	5.000	04/15/09	10/22/10	383.67	192.94	L 190.73
BROKERAGE 0.15						
TAX &/OR SEC .01						
MERRILL LYNCH PIERCE FENNER & SMIT						

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
NABORS INDUSTRIES LTD @ 20.73 310.95	15.000	05/28/10	11/03/10	310.49	288.00	S 22.49
BROKERAGE 0.45 TAX &/OR SEC .01 OPPENHEIMER & CO. INC.						
WALT DISNEY CO @ 36.22 1,629.90	45.000	02/04/09	11/03/10	1,628.52	896.39	L 732.13
BROKERAGE 1.35 TAX &/OR SEC .03 BARCLAYS CAPITAL LE						
US BANCORP DEL @ 23.8145 714.44	30.000	02/04/09	11/03/10	713.52	438.90	L 274.62
BROKERAGE 0.90 TAX &/OR SEC .02 OPPENHEIMER & CO. INC.						

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on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
AETNA INC @ 30.7509 615.02 BROKERAGE 0.60 TAX &/OR SEC .02 CREDIT SUISSE FIRST BOSTON LLC	20.000	02/27/09	11/04/10	614.40	622.81	L -8.41
DEERE & CO @ 78.7921 393.96 BROKERAGE 0.15 TAX &/OR SEC .01 MERRILL LYNCH PROF. CLEARING CORP.	5.000	04/15/09	11/10/10	393.80	192.93	L 200.87
PACCAR INC @ 53.8865 269.43 BROKERAGE 0.15 TAX &/OR SEC .01 UBS SECURITIES LLC	5.000	02/04/09	11/11/10	269.27	141.75	L 127.52

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O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
PARKER-HANNIFIN CORP @ 81.0161 243.05	3.000	07/08/09	11/11/10	242.95	120.90	L 122.05
BROKERAGE 0.09						
TAX &/OR SEC .01						
UBS SECURITIES LLC						
V F CORP @ 80.4758 804.76	10.000	06/17/09	11/10/10	804.44	573.05	L 231.39
BROKERAGE 0.30						
TAX &/OR SEC .02						
MERRILL LYNCH PROF. CLEARING CORP.						
DEERE & CO @ 77.7706 466.62	6.000	09/18/09	11/12/10	466.43	259.95	L 206.48
BROKERAGE 0.18						
TAX &/OR SEC .01						
UBS SECURITIES LLC						

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Note: S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HEWLETT-PACKARD CO @ 42.276 507.31	12,000	02/19/09	11/12/10	506.94	431.26	L 75.68
BROKERAGE 0.36						
TAX &/OR SEC .01						
UBS SECURITIES LLC						
JPMORGAN TRI HIGHBRIDGE STATISTICAL MARKET NEUTRAL FUND SELECT SHARES FUND # 1011	944,500	02/19/09	11/19/10	14,413.21	15,295.83	L -882.62
JP MORGAN CHASE BANK						
APOLLO GROUP INC CL A	10,000	08/18/10	11/19/10	353.89	410.62	S -56.73
@ 35.4196 354.20						
BROKERAGE 0.30						
TAX &/OR SEC .01						

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Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
DEERE & CO @ 77.1631 694.47 BROKERAGE 0.27 TAX &/OR SEC .02 UBS SECURITIES LLC	9.000	10/15/09	11/22/10	694.18	403.74	L 290.44
INTERNATIONAL BUSINESS MACHINES CORP @ 144.5007 578.00 BROKERAGE 0.12 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC	4.000	02/04/09	11/24/10	577.87	378.16	L 199.71
SCHLUMBERGER LTD @ 76.3504 1,908.76 BROKERAGE 0.75 TAX &/OR SEC .04 RBC CAPITAL MARKETS CORPORATION	10.000 15.000	02/06/09 04/07/10	11/24/10 11/24/10	763.18 1,144.79	433.45 1,017.32	L 329.73 S 127.47
ARBITRAGE FUNDS - I CL I	456.960	09/27/10	12/02/10	6,000.00	5,981.72	S 18.28
ARTIO INTERNATIONAL EQUITY II - I	1,990.440	03/05/09	12/02/10	25,000.00	15,107.48	L 9,892.52

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O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
EAGLE MID CAP STOCK FUND - A	298.730	04/27/09	12/02/10	8,000.00	5,203.87	L 2,796.13
EATON VANCE MUT FDS TR FLT RT CL I	1,795.730	02/19/09	12/02/10	16,000.00	12,013.47	L 3,986.53
EATON VANCE MUT FDS TR GLOBAL MACRO - I	582.520	04/27/10	12/02/10	6,000.00	5,896.27	S 103.73
GOLDMAN SACHS TRUST HIGH YIELD FUND INSTITUTIONAL SHS	2,351.310	03/10/09	12/02/10	17,000.00	11,639.01	L 5,360.99
JPMORGAN ASIA EQUITY FUND SELECT SHARE CLASS FUND 1134	447.130	07/22/09	12/02/10	17,000.00	12,291.69	L 4,708.31
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						
JPMORGAN INTERNATIONAL CURRENCY INCOME FUND	1,171.170	11/12/09	12/02/10	13,000.00	12,644.51	L 355.49
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						

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Transactions

Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
JPMORGAN TRI GROWTH ADVANTAGE FUND SELECT SHARE CLASS FUND 1567 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT	1,856.140	10/29/08	12/02/10	16,000.00	9,856.15	L 6,143.85
JPMORGAN US REAL ESTATE FUND SELECT SHARE CLASS FUND 3037 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT	912.640	01/24/07	12/02/10	14,000.00	21,713.20	L -7,713.20
JPMORGAN HIGH YIELD BOND FUND SELECT CLASS FUND 3580 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT	246.910	03/05/08	12/02/10	2,000.00	1,876.55	L 123.45

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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
JPMORGAN SHORT DURATION BOND FUND SELECT CLASS FUND 3133	3,909.090	02/02/09	12/02/10	43,000.00	41,670.91	L 1,329.09
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						
JPMORGAN MARKET EXPANSION INDEX FUND SELECT SHARE CLASS FUND 3708	767.010	04/27/09	12/02/10	8,000.00	4,947.27	L 3,052.73
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						
JPMORGAN RESEARCH MARKET NEUTRAL FUND - SEL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 15.47	452.480	04/01/10	12/02/10	7,000.00	7,095.03	S -95.03
THREADNEEDLE ASIA PACIFIC FUND - R5	848.050	02/18/10	12/02/10	12,000.00	9,922.27	S 2,077.73
T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND	1,292.650	02/18/10	12/02/10	25,000.00	20,062.05	S 4,937.95



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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
VANGUARD FIXED INCOME SECS F INTR TRM CP PT (FUND 71)	1,177.620	02/02/09	12/02/10	12,000.00	10,245.34	L 1,754.66
COVIDIEN PLC @ 42.80	10.000	06/08/09	12/02/10	427.79	378.11	L 49.68
BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR						
INVESCO LTD @ 22.6401	10.000	07/22/10	12/02/10	226.20	193.25	S 32.95
BROKERAGE 0.20 AUTOMATED TRADING DESK FINK SER TR TRADE DATE 12/02/10						
MARVELL TECHNOLOGY GROUP LTD @ 20.1401	15.000	03/05/10	12/02/10	301.80	300.65	S 1.15
BROKERAGE 0.30 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR						

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ACE LTD NEW @ 59.81 BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	10.000	04/29/09	12/02/10	597.89	492.75	L 105.14
TYCO INTERNATIONAL LTD @ 40.4301 BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	10.000	06/02/10	12/02/10	404.09	361.88	S 42.21
AT&T INC @ 28.5601 BROKERAGE 0.30 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	15.000	08/11/08	12/02/10	428.09	469.79	L -41.70

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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ABBOTT LABORATORIES @ 47.4901 949.80 BROKERAGE 0.40 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	20.000	02/04/09	12/02/10	949.38	1,138.60	L -189.22
ADVANCED AUTO PARTS INC @ 87.1802 335.90 BROKERAGE 0.10 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	5.000	02/04/09	12/02/10	335.79	167.70	L 168.09
AETNA INC @ 30.4602 913.81 BROKERAGE 0.60 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	20.000 10.000	07/28/09 02/11/10	12/02/10 12/02/10	608.80 304.39	544.99 298.83	L 63.81 S 5.56

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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ALEXION PHARMACEUTICALS INC @ 75.75 378.75 BROKERAGE 0.10 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	5.000	08/12/10	12/02/10	378.64	280.70	S 97.94
AMAZON COM INC @ 177.22 886.10 BROKERAGE 0.10 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	5.000	09/10/09	12/02/10	885.98	415.14	L 470.84
AMERICAN EXPRESS CO @ 44.97 224.86 BROKERAGE 0.10 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	5.000	11/03/10	12/02/10	224.75	211.39	S 13.36

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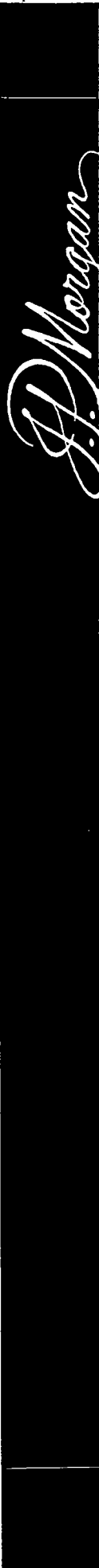
Transactions

Note: S Indicates Short Term Realized Gain/Loss
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on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
APACHE CORP @ 114.0305	10.000	02/04/09	12/02/10	1,140.09	761.70	L 378.39
BROKERAGE TAX &/OR SEC	0.20 .02					
AUTOMATED TRADING DESK FINK SER TR						
APPLE INC. @ 318.41	6.000	10/22/08	12/02/10	1,910.31	592.31	L 1,318.00
BROKERAGE TAX &/OR SEC	0.12 .03					
AUTOMATED TRADING DESK FINK SER TR						
BB & T CORP @ 24.4901	10.000	03/03/09	12/02/10	244.70	162.03	L 82.67
BROKERAGE AUTOMATED TRADING DESK FINK SER TR	0.20					
TRADE DATE 12/02/10						

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Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BAIDU INC	5.000	09/21/10	12/02/10	537.59	460.92	S 76.67
SPONS ADR						
@ 107.54						
BROKERAGE						
TAX &/OR SEC						
BAKER HUGHES INC	10.000	11/24/10	12/02/10	543.59	506.15	S 37.44
@ 54.38						
BROKERAGE						
TAX &/OR SEC						
AUTOMATED TRADING DESK FINK SER TR						
BANK OF AMERICA CORP	60.000	02/04/09	12/02/10	697.19	312.60	L 384.59
@ 11.6401						
BROKERAGE						
TAX &/OR SEC						
AUTOMATED TRADING DESK FINK SER TR						

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Capital Gain and Loss Schedule

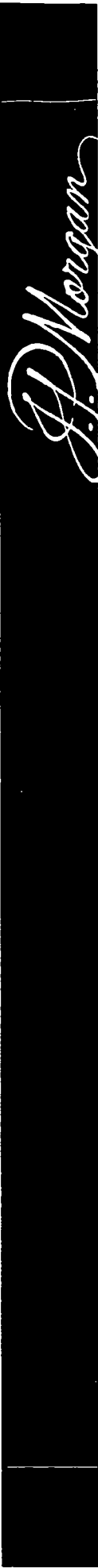
Transactions

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F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BERKSHIRE HATHAWAY INC DEL CL B @ 81.0001 405.00 BROKERAGE 0.10 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	5.000	05/21/10	12/02/10	404.89	364.36	S 40.53
BIOMED INC @ 66.72 333.60 BROKERAGE 0.10 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	5.000	03/22/10	12/02/10	333.49	299.20	S 34.29
CME GROUP INC CLASS A COMMON STOCK @ 312.75 312.75 BROKERAGE 0.02 TAX &/OR SEC .01	1.000	02/09/10	12/02/10	312.72	285.56	S 27.16

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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
CVS/CAREMARK CORPORATION @ 31.9801 639.60 BROKERAGE 0.40 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	20.000	02/04/09	12/02/10	639.19	560.20	L 78.99
CAMPBELL SOUP COMPANY @ 33.9901 339.91 BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	10.000	07/20/10	12/02/10	339.70	364.84	S -25.14
CARDINAL HEALTH INC @ 37.0501 555.75 BROKERAGE 0.30 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	15.000	09/17/09	12/02/10	555.44	355.22	L 200.22

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
CARNIVAL CORPORATION @ 43.10 431.00 BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	10.000	01/14/10	12/02/10	430.79	346.90	S 83.89
CELGENE CORPORATION @ 60.4602 906.91 BROKERAGE 0.30 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	15.000	02/04/09	12/02/10	906.59	812.55	L 94.04
CISCO SYSTEMS INC @ 19.2201 1,153.21 BROKERAGE 1.20 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	60.000	02/04/09	12/02/10	1,151.99	964.19	L 187.80

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
CITIGROUP INC @ 4.42 773.50	175.000	09/24/09	12/02/10	769.99	707.72	L 62.27
BROKERAGE 3.50						
TAX &/OR SEC .01						
KNIGHT EQUITY MARKETS L.P.						
COACH INC @ 56.8201 284.10	5.000	10/07/09	12/02/10	283.99	166.95	L 117.04
BROKERAGE 0.10						
TAX &/OR SEC .01						
AUTOMATED TRADING DESK FINK SER TR						
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	5.000	02/26/10	12/02/10	347.24	240.92	S 106.32
@ 69.4701 347.35						
BROKERAGE 0.10						
TAX &/OR SEC .01						

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
COLGATE PALMOLIVE CO @ 77.83 389.15 BROKERAGE 0.10 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	5.000	09/21/10	12/02/10	389.04	393.95	S -4.91
COMCAST CORP CL A @ 20.7301 310.95 BROKERAGE 0.30 TAX &/OR SEC .01	15.000	12/07/09	12/02/10	310.64	254.74	S 55.90
CONOCOPHILLIPS @ 63.6101 318.06 BROKERAGE 0.10 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	5.000	10/02/09	12/02/10	317.95	234.43	L 83.52

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
DEVON ENERGY CORP @ 73.0401 730.40 BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	10.000	05/12/10	12/02/10	730.19	697.74	S 32.45
WALT DISNEY CO @ 37.4701 749.40 BROKERAGE 0.40 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	20.000	02/17/09	12/02/10	748.99	388.75	L 360.24
DOW CHEMICAL CO @ 32.9301 658.60 BROKERAGE 0.40 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	20.000	07/14/10	12/02/10	658.19	537.69	S 120.50

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
E I DU PONT DE NEMOURS & CO @ 48.4701 1,211.75 BROKERAGE 0.50 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	25.000	05/12/10	12/02/10	1,211.23	995.72	S 215.51
E M C CORP MASS @ 22.0302 440.61 BROKERAGE 0.40 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	20.000	10/12/10	12/02/10	440.20	404.21	S 35.99
EOG RESOURCES INC @ 93.5804 935.61 BROKERAGE 0.20 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	8.000 2.000	07/07/09 03/18/10	12/02/10 12/02/10	748.32 187.07	513.19 187.21	L 235.13 S -.14

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
EXXON MOBIL CORP @ 71.2101 1,780.25 BROKERAGE 0.50 TAX &/OR SEC .03 AUTOMATED TRADING DESK FINK SER TR	25.000	02/04/09	12/02/10	1,779.72	1,970.75	L -191.03
FREEMONT-MCMORAN COPPER & GOLD INC CL B @ 107.3703 1,073.70 BROKERAGE 0.20 TAX &/OR SEC .02	10.000	02/09/09	12/02/10	1,073.48	287.92	L 785.56
GENERAL ELECTRIC CO @ 16.6102 415.26 BROKERAGE 0.50 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	25.000	06/24/10	12/02/10	414.75	382.53	S 32.22

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
GENERAL MILLS INC @ 35.7301	10.000	02/04/09	12/02/10	357.09	299.25	L 57.84
BROKERAGE TAX &/OR SEC AUTOMATED TRADING DESK FINK SER TR						
GOLDMAN SACHS GROUP INC @ 162.68	5.000	09/23/08	12/02/10	813.28	596.80	L 216.48
BROKERAGE TAX &/OR SEC AUTOMATED TRADING DESK FINK SER TR						
HEWLETT-PACKARD CO @ 43.0701	10.000	03/10/09	12/02/10	430.49	306.29	L 124.20
BROKERAGE TAX &/OR SEC AUTOMATED TRADING DESK FINK SER TR						

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Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HONEYWELL INTERNATIONAL INC @ 51.1901 767.85 BROKERAGE 0.30 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	15.000	12/16/09	12/02/10	767.54	615.74	S 151.80
INTERNATIONAL BUSINESS MACHINES CORP @ 145.22 871.32 BROKERAGE 0.12 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	6.000	02/04/09	12/02/10	871.18	567.24	L 303.94
ISHARES BARCLAYS TIPS BOND FUND @ 108.41 9,973.72 BROKERAGE 1.84 TAX &/OR SEC .17 AUTOMATED TRADING DESK FINK SER TR	92.000	11/28/05	12/02/10	9,971.71	9,547.28	L 424.43

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RAABE FDN, D RAABE FAM FUND NP PCIAA
Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ISHARES S&P MIDCAP 400 INDEX FUND @ 87.10 8,013.20 BROKERAGE 1.84 TAX &/OR SEC .15 AUTOMATED TRADING DESK FINK SER TR	92.000	09/11/09	12/02/10	8,011.21	6,277.04	L 1,734.17
JOHNSON CONTROLS INC @ 39.0501 1,171.50 BROKERAGE 0.60 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	30.000	02/04/09	12/02/10	1,170.88	375.60	L 795.28
JUNIPER NETWORKS INC @ 34.8601 522.90 BROKERAGE 0.30 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	15.000	03/23/09	12/02/10	522.59	245.53	L 277.06

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Account Number: V 27547-00-5

Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
KELLOGG CO @ 49.34 246.71	5.000	11/10/10	12/02/10	246.60	244.05	S 2.55
BROKERAGE 0.10						
TAX &/OR SEC .01						
AUTOMATED TRADING DESK FINK SER TR						
LENNAR CORP @ 16.87 84.35	5.000	11/04/10	12/02/10	84.25	77.45	S 6.80
BROKERAGE 0.10						
AUTOMATED TRADING DESK FINK SER TR						
TRADE DATE 12/02/10						
LOWES COMPANIES INC @ 24.7001 370.50	15.000	03/02/10	12/02/10	370.19	355.05	S 15.14
BROKERAGE 0.30						
TAX &/OR SEC .01						
AUTOMATED TRADING DESK FINK SER TR						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
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Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
MASTERCARD INC - CLASS A @ 247.09	1.000	05/05/09	12/02/10	247.06	182.81	L 64.25
BROKERAGE 0.02 TAX &/OR SEC .01 KNIGHT EQUITY MARKETS L.P.						
MERCK AND CO INC @ 35.0301	30.000	09/14/09	12/02/10	1,050.28	986.83	L 63.45
BROKERAGE 0.60 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR						
METLIFE INC @ 40.29	15.000	02/04/09	12/02/10	604.03	447.30	L 156.73
BROKERAGE 0.30 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR						

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Transactions

Note: S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
MICROSOFT CORP @ 26.76 2,007.00 BROKERAGE 1.50 TAX &/OR SEC .03 AUTOMATED TRADING DESK FINK SER TR	75.000	06/16/06	12/02/10	2,005.47	1,656.75	L 348.72
MORGAN STANLEY @ 25.7201 385.80 BROKERAGE 0.30 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	15.000	02/04/09	12/02/10	385.49	337.05	L 48.44
NATIONAL-OILWELL VARCO INC @ 62.45 312.25 BROKERAGE 0.10 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	5.000	11/24/10	12/02/10	312.14	314.04	S -1.90

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Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
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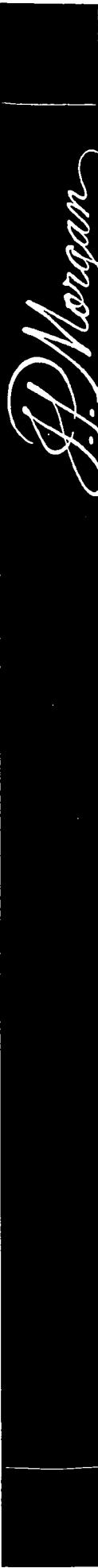
Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
NEXTERA ENERGY INC @ 50.99 509.90 BROKERAGE 0.20 TAX & OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	10.000	04/22/10	12/02/10	509.69	507.82	S 1.87
NIKE INC B @ 87.8101 439.05 BROKERAGE 0.10 TAX & OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	5.000	02/04/09	12/02/10	438.94	230.50	L 208.44
NORFOLK SOUTHERN CORP @ 62.7001 1,254.00 BROKERAGE 0.40 TAX & OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	20.000	02/04/09	12/02/10	1,253.58	782.00	L 471.58

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Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
NOVELLUS SYSTEMS INC @ 31.6101 316.11 BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	10.000	03/25/10	12/02/10	315.90	254.64	S 61.26
OCCIDENTAL PETROLEUM CORP @ 90.5701 1,358.55 BROKERAGE 0.30 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	15.000	10/15/09	12/02/10	1,358.23	979.95	L 378.28
ORACLE CORP @ 27.9301 698.25 BROKERAGE 0.50 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	25.000	10/13/09	12/02/10	697.74	524.22	L 173.52

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Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
PACCAR INC @ 56.4401 BROKERAGE TAX &/OR SEC AUTOMATED TRADING DESK FINK SER TR	15.000	02/04/09	12/02/10	846.29	425.25	L 421.04
PEPSICO INC @ 64.8001 BROKERAGE TAX &/OR SEC AUTOMATED TRADING DESK FINK SER TR	10.000	02/04/09	12/02/10	647.79	519.00	L 128.79
PFIZER INC @ 16.6301 BROKERAGE TAX &/OR SEC AUTOMATED TRADING DESK FINK SER TR	75.000	02/17/09	12/02/10	1,245.74	1,073.80	L 171.94

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Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
PHILIP MORRIS INTERNATIONAL @ 57.77	10.000	02/27/09	12/02/10	577.49	340.70	L 236.79
BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR						
PROCTER & GAMBLE CO @ 62.3201	25.000	02/04/09	12/02/10	1,557.48	1,356.00	L 201.48
BROKERAGE 0.50 TAX &/OR SEC .03 AUTOMATED TRADING DESK FINK SER TR						
PRUDENTIAL FINANCIAL INC @ 53.49	10.000	04/02/09	12/02/10	534.69	228.50	L 306.19
BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR						

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Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
PUBLIC SERVICE ENTERPRISE GROUP						
@ 31.46	5.000	07/12/10	12/02/10	157.20	167.34	S -10.14
BROKERAGE	157.31					
TAX &/OR SEC	0.10					
AUTOMATED TRADING DESK FINK SER TR						
QUALCOMM INC						
@ 48.3801	20.000	02/04/09	12/02/10	967.19	695.80	L 271.39
BROKERAGE	967.61					
TAX &/OR SEC	0.40					
AUTOMATED TRADING DESK FINK SER TR						
SANDISK CORP						
@ 47.99	5.000	11/24/10	12/02/10	239.85	218.38	S 21.47
BROKERAGE	239.96					
TAX &/OR SEC	0.10					
AUTOMATED TRADING DESK FINK SER TR						

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Capital Gain and Loss Schedule

Transactions

Note: S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
F Indicates Foreign Exchange Gain/Loss
on Capital Transactions
O Indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
SOUTHERN CO @ 38.0401 380.40 BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	10.000	05/14/09	12/02/10	380.19	285.33	L 94.86
SPRINT NEXTEL CORP @ 3.9201 313.61 BROKERAGE 1.80 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	80.000	07/30/09	12/02/10	312.00	323.97	L -11.97
STAPLES INC @ 22.9801 574.50 BROKERAGE 0.50 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	25.000	02/04/09	12/02/10	573.99	407.25	L 166.74

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Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
STARWOOD HOTELS & RESORTS @ 58.63 293.16 BROKERAGE 0.10 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	5.000	05/22/09	12/02/10	293.05	108.85	L 184.20
STATE STREET CORP @ 45.51 227.55 BROKERAGE 0.10 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	5.000	10/14/10	12/02/10	227.44	198.84	S 28.60
SYSCO CORP @ 28.9501 289.51 BROKERAGE 0.20 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	10.000	02/04/09	12/02/10	289.30	243.80	L 45.50

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Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
TD AMERITRADE HOLDING CORPORATION @ 18.1101 271.66	15.000	02/04/09	12/02/10	271.35	183.00	L 88.35
BROKERAGE 0.30						
TAX &/OR SEC .01						
AUTOMATED TRADING DESK FINK SER TR						
3M CO 434.65	5.000	11/12/10	12/02/10	434.54	431.81	S 2.73
@ 86.93						
BROKERAGE 0.10						
TAX &/OR SEC .01						
AUTOMATED TRADING DESK FINK SER TR						
TIME WARNER INC NEW 1,220.41	40.000	02/04/09	12/02/10	1,219.59	815.03	L 404.56
@ 30.5102						
BROKERAGE 0.80						
TAX &/OR SEC .02						

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RAABE FDN, D RAABE FAM FUND NP PCIAA
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Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
US BANCORP DEL @ 24.8601 389.91 BROKERAGE 0.30 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	15.000	02/04/09	12/02/10	369.60	219.45	L 150.15
UNITED TECHNOLOGIES CORP @ 78.9401 1,184.10 BROKERAGE 0.30 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	15.000	02/04/09	12/02/10	1,183.78	730.65	L 453.13
VANGUARD EMERGING MARKET ETF @ 47.05 13,032.85 BROKERAGE 5.54 TAX &/OR SEC .23 AUTOMATED TRADING DESK FINK SER TR	277.000	09/27/10	12/02/10	13,027.08	12,413.84	S 613.24

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Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
VERIZON COMMUNICATIONS INC @ 32.5501 813.75 BROKERAGE 0.50 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	25.000	02/04/09	12/02/10	813.24	738.94	L 74.30
WELLS FARGO & CO @ 28.6101 1,001.36 BROKERAGE 0.70 TAX &/OR SEC .02 AUTOMATED TRADING DESK FINK SER TR	35.000	03/16/09	12/02/10	1,000.64	619.60	L 381.04
YUM BRANDS INC @ 51.2101 768.15 BROKERAGE 0.30 TAX &/OR SEC .01 AUTOMATED TRADING DESK FINK SER TR	15.000	02/04/09	12/02/10	767.84	429.30	L 338.54

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Capital Gain and Loss Schedule

Transactions

Note: S Indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BERKSHIRE HATHAWAY INC DEL CL B	10.000	06/04/10	12/07/10	800.28	719.02	S 81.26
@ 80.0597	800.60					
BROKERAGE	0.30					
TAX &/OR SEC	.02					
DEUTSCHE BANC ALEX BROWN INC						
CELGENE CORPORATION	3.000	02/04/09	12/14/10	172.43	162.51	L 9.92
@ 57.5103	172.53					
BROKERAGE	0.09					
TAX &/OR SEC	.01					
MORGAN STANLEY & CO. INCORPORATED						
INTERNATIONAL BUSINESS MACHINES CORP	2.000	02/04/09	12/14/10	291.03	189.08	L 101.95
@ 145.5519	291.10					
BROKERAGE	0.06					
TAX &/OR SEC	.01					
CREDIT LYONNAIS SECURITIES (USA) I						

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Capital Gain and Loss Schedule

Transactions

Note: S Indicates Short Term Realized Gain/Loss
L Indicates Long Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HEWLETT-PACKARD CO @ 41.8988 335.19	8.000	03/10/09	12/17/10	334.94	216.24	L 118.70
BROKERAGE 0.24						
TAX &/OR SEC .01						
RBC CAPITAL MARKETS CORPORATION						
DODGE & COX INTERNATIONAL STOCK	56.320	09/10/09	12/23/10	2,000.00	1,753.87	L 246.13
JPMORGAN INTREPID AMERICA FUND SELECT SHARE CLASS	130.830	11/17/05	12/23/10	3,000.00	3,149.15	L -149.15
FUND 1206						
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						
JPMORGAN TR I						
GROWTH ADVANTAGE FUND SELECT SHARE CLASS FUND 1567	113.120	10/29/08	12/23/10	1,000.00	600.68	L 399.32
JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT						

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Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HEWLETT-PACKARD CO @ 41.5534 623.30	15.000	03/17/09	12/22/10	622.83	416.50	L 206.33
BROKERAGE 0.45						
TAX &/OR SEC .02						
CREDIT LYONNAIS SECURITIES (USA) I						
Total Gain/Loss				688,676.46	635,977.32	L 52,699.14
				266,611.59	236,067.95	S 30,543.64

Note: Your capital gain and loss schedule will show an N/A for the gain or loss for sales where we have incomplete or missing tax cost.
Please consult your tax advisor for appropriate tax reporting on these sales.

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