



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation VINE AND BRANCHES FOUNDATION, INC. C/O THE LEGACY GROUP, INC.		A Employer identification number 39-1827808
Number and street (or P O box number if mail is not delivered to street address) 300 N. CORPORATE DR., SUITE 205		B Telephone number (see page 10 of the instructions) (262) 754-4485
City or town, state, and ZIP code BROOKFIELD, WI 53045		C If exemption application is pending, check here ☐ D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 27,236,205.		
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b) (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))				
	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (attach schedule)	0.			
2 Check ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	9,379.	9,379.		ATCH 1
4 Dividends and interest from securities	311,446.	311,446.		ATCH 2
5a Gross rents				
b Net rental income or (loss)	958,119.			
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a	7,791,276.			
7 Capital gain net income (from Part IV, line 2)		958,120.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	107,875.	6,364.		ATCH 3
12 Total. Add lines 1 through 11	1,386,819.	1,285,309.		
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	24,500.	0.	0.	24,500.
c Other professional fees (attach schedule)	553,268.	244,448.		309,000.
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	126,567.	25,046.		10.
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	2,275.			2,275.
22 Printing and publications	570.			570.
23 Other expenses (attach schedule) ATCH 6	18,501.	13,945.		4,556.
24 Total operating and administrative expenses. Add lines 13 through 23	725,681.	283,439.	0.	340,911.
25 Contributions, gifts, grants paid	1,837,030.			1,837,030.
26 Total expenses and disbursements. Add lines 24 and 25	2,562,711.	283,439.	0.	2,177,941.
27 Subtract line 26 from line 12	-1,175,892.			
a Excess of revenue over expenses and disbursements		1,001,870.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see page 30 of the instructions.

* ATCH 4 JSA ** ATCH 5

Form 990-PF (2010)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	895,747.	981,937.	981,937.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule),			
	b Investments - corporate stock (attach schedule) ATCH 7	6,252,818.	3,373,971.	4,447,691.
	c Investments - corporate bonds (attach schedule) ATCH 8	1,263,063.	1,381,373.	1,378,363.
	11 Investments - land, buildings and equipment basis ▶ 966,421.			
Less accumulated depreciation (attach schedule) ▶	966,421.	966,421.	3,500,000.	
12 Investments - mortgage loans				
13 Investments - other (attach schedule) ATCH 9	15,183,877.	15,476,050.	16,928,214.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	24,561,926.	22,179,752.	27,236,205.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)		0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment or other funds	24,561,926.	22,179,752.	
30 Total net assets or fund balances (see page 17 of the instructions)	24,561,926.	22,179,752.		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	24,561,926.	22,179,752.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	24,561,926.
2 Enter amount from Part I, line 27a	2	-1,175,892.
3 Other increases not included in line 2 (itemize) ▶ ATTACHMENT 10	3	38,000.
4 Add lines 1, 2, and 3	4	23,424,034.
5 Decreases not included in line 2 (itemize) ▶ ATTACHMENT 11	5	1,244,282.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	22,179,752.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	958,120.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	2,383,210.	24,327,341.	0.097964
2008	2,037,747.	31,270,360.	0.065165
2007	2,845,557.	37,822,417.	0.075235
2006	3,002,851.	37,199,320.	0.080723
2005	1,403,623.	37,111,316.	0.037822
2 Total of line 1, column (d)			2 0.356909
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.071382
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4 25,642,792.
5 Multiply line 4 by line 3			5 1,830,434.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 10,019.
7 Add lines 5 and 6			7 1,840,453.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			8 2,177,941.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2) check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	10,019.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2	3	10,019.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	10,019.
6 Credits/Payments		
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	101,511.
b Exempt foreign organizations-tax withheld at source	6b	0.
c Tax paid with application for extension of time to file (Form 8868)	6c	0.
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	101,511.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	91,492.
11 Enter the amount of line 10 to be Credited to 2011 estimated tax ☐ 91,492. Refunded ☐ 11	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1 b		X
c Did the foundation file Form 1120-POL for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4 a	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	4 b	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XIV	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ WI, _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address WWW.VINEANDBRANCHESFOUNDATION.ORG				
14	The books are in care of THE LEGACY GROUP, INC. Telephone no (262) 754-4485			
	Located at BROOKFIELD, WI ZIP + 4 53045			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country _____				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here.		☐
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No	
If "Yes," list the years _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed answer "No" and attach statement - see page 22 of the instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here _____		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10- 15- or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	X
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 12		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE VINE & BRANCHES FOUNDATION INC IS ORGANIZED AND OPERATED FOR THE SOLE PURPOSE OF MAKING CASH CONTRIBUTIONS TO QUALIFYING PUBLIC CHARITIES. THE FOUNDATION DOES NOT ENGAGE	
2 IN ANY OTHER DIRECT CHARITABLE ACTIVITIES.	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	

Total. Add lines 1 through 3

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	21,803,569.
b	Average of monthly cash balances	1b	729,722.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	3,500,000.
d	Total (add lines 1a, b, and c)	1d	26,033,291.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	26,033,291.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	390,499.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	25,642,792.
6	Minimum investment return. Enter 5% of line 5	6	1,282,140.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,282,140.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	10,019.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	10,019.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,272,121.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,272,121.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,272,121.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,177,941.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,177,941.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	10,019.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,167,922.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				1,272,121.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only				
b Total for prior years 20 08, 20 07, 20 06				
3 Excess distributions carryover, if any, to 2010				
a From 2005 0.				
b From 2006 1,259,777.				
c From 2007 985,860.				
d From 2008 475,705.				
e From 2009 1,167,350.				
f Total of lines 3a through e	3,888,692.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 2,177,941.				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2010 distributable amount				1,272,121.
e Remaining amount distributed out of corpus	905,820.			
5 Excess distributions carryover applied to 2010 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,794,512.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	4,794,512.			
10 Analysis of line 9				
a Excess from 2006 1,259,777.				
b Excess from 2007 985,860.				
c Excess from 2008 475,705.				
d Excess from 2009 1,167,350.				
e Excess from 2010 905,820.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JOHN W. FINDLEY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 13

b The form in which applications should be submitted and information and materials they should include

ATTACHMENT 14

c Any submission deadlines

ATTACHMENT 15

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE STATEMENT 16				1,837,030.
Total			▶ 3a	1,837,030.
b <i>Approved for future payment</i> SEE STATEMENT 17				75,000.
Total			▶ 3b	75,000.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|--|------------|-----------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <p>Yes</p> | <p>No</p> |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b.** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here: John Smalley Date: 11/11/11 Title: PRESIDENT

Signature of officer or trustee

Paid Preparer Use Only	Print/Type preparer's name STEVEN A. STEGER	Preparer's signature <i>SA Steger</i>	Date 11/8/11	Check ☐ if self-employed	PTIN P00351093
	Firm's name ▶ PRICEWATERHOUSECOOPERS LLP			Firm's EIN ▶ 13-4008324	
	Firm's address ▶ 100 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202			Phone no.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis	Gain or (loss)	
2,452,912.		SEE STATEMENT 18 - GOLDMAN SACHS SHORT T				VARIOUS	VARIOUS
		2,207,065.				245,847.	
3,377,400.		SEE STATEMENT 18 - GOLDMAN SACHS LONG TE				VARIOUS	VARIOUS
		2,938,758.				438,642.	
		DYNAMIC EQUITY MANAGERS: PORTFOLIO 2 SHO				VARIOUS	VARIOUS
						3,931.	
		DYNAMIC EQUITY MANAGERS: PORTFOLIO 2 LON				VARIOUS	VARIOUS
						66,269.	
206,150.		EKSPORTFINALS LINKED TO KRW VS EUR, JP,				VARIOUS	VARIOUS
		217,000.				-10,850.	
955,785.		GS GLOBAL STRATEGIC COMMODITIEIES FUND				VARIOUS	VARIOUS
		1,000,000.				-44,215.	
		GS CAPITAL PARTNERS VI PARALLEL LP				VARIOUS	VARIOUS
						109,719.	
45,654.		GS WEST STREET PARTNERS 2007				VARIOUS	VARIOUS
		46,943.				-1,289.	
62,973.		GS LIBERTY HARBOR I LONG TERM				VARIOUS	VARIOUS
		35,223.				27,750.	
306,314.		GS WEST STREET PARTNERS II				VARIOUS	VARIOUS
		315,263.				-8,949.	
82,340.		GS PRIVATE CONCENTRATED ENERGY FUND, LP				VARIOUS	VARIOUS
		68,492.				13,848.	
15,214.		THL EQUITY FUND VI - GS ACESS, LP				VARIOUS	VARIOUS
		15,214.					

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
163,345.		GS MEZZANINE PARTNERS V LP 73,092.					VARIOUS 90,253.	VARIOUS
123,190.		GS WLR OPPORTUNITIES FUND 96,088.					VARIOUS 27,102.	VARIOUS
		GS CAPITAL PARTNERS VI PARALLEL, LP - SE					VARIOUS 62.	VARIOUS
TOTAL GAIN (LOSS)							<u>958,120.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
GOLDMAN SACHS INTEREST	9,379.	9,379.
TOTAL	<u>9,379.</u>	<u>9,379.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
GOLDMAN SACHS - DIVIDENDS	148,093.	148,093.
GS PRIVATE EQUITY CONC. ENERGY FUND INT	42,433.	42,433.
GS WLR OPPORTUNITIES FUND INTEREST	25,870.	25,870.
THL EQUITY FUND VI - GS ACCESS, LP INT	314.	314.
GSCP VI PARALLEL AIV DIVIDEND	5.	5.
GS CAP PARTNERS VI PARALLEL DIVIDENDS	29,993.	29,993.
GS CAP PARTNERS VI PARALLEL INTEREST	42,372.	42,372.
DYNAMIC EQUITY MANAGERS: PORTFOLIO 2 INT	2,028.	2,028.
DYNAMIC EQUITY MANAGERS: PORTFOLIO 2 DIV	20,338.	20,338.
TOTAL	<u>311,446.</u>	<u>311,446.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
2009 OVERPAYMENT APPLIED	101,511.	0.
OTHER MISCELLANEOUS INCOME		
DYNAMIC EQUITY MANAGERS - PORTFOLIO 2		
IRC SECTION 988 LOSS	-20.	-20.
OTHER ORDINARY INCOME	41.	41.
GS CAP PARTNERS VI PARALLEL		
IRC SECTION 988 GAIN	12,111.	12,111.
OTHER INCOME	-1,797.	-1,797.
MERRILL LYNCH, PIERCE, FENNER, AND SMITH		
OTHER INCOME	3,246.	3,246.
MEDIQUEST HOLDINGS LLC		
OTHER INCOME	-7,217.	-7,217.
TOTALS	<u>107,875.</u>	<u>6,364.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
GSTE CONCENTRATED ENERGY FUND MANAGEMENT & INVESTMENT FEES	16,573.	16,753.	
THL EQUITY FUND VI - GS ACCESS MANAGEMENT & INVESTMENT FEES	496.	496.	
GOLDMAN SACHS MANAGEMENT FEES	112,051.	112,051.	
LEGACY GROUP MANAGEMENT FEES	309,000.		309,000.
GS CAP PARTNERS VI PARALLEL MANAGEMENT & INVESTMENT FEES	38,715.	38,715.	
GSCP VI PARALLEL AIV LP MANAGEMENT & INVESTMENT FEES	2,529.	2,529.	
GS MEZZANINE PARTNERS V LP MANAGEMENT & INVESTMENT FEES	23,325.	23,325.	
GS WLR OPPORTUNITIES FUND MANAGEMENT & INVESTMENT FEES	36,917.	36,917.	
DYNAMIC EQUITY MANAGERS PORT 2 MANAGEMENT & INVESTMENT FEES	13,662.	13,662.	
TOTALS	<u>553,268.</u>	<u>244,448.</u>	<u>309,000.</u>

ATTACHMENT 5

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
PROPERTY TAXES ON INVESTMENT	23,264.	23,264.	
OVERPAYMENT APPLIED	101,511.	0.	
FOREIGN TAX PAID ON INVESTMENT	1,782.	1,782.	
OTHER TAXES	10.		10.
TOTALS	126,567.	25,046.	10.

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INSURANCE	3,609.		3,609.
OFFICE SUPPLIES	69.		69.
DUES & SUBSCRIPTIONS	40.		40.
IT EXPENSES	723.		723.
POSTAGE	115.		115.
GS OTHER EXPENSES	1,000.	1,000.	
GS CAPITAL PARTNERS VI PARALLE	283.	283.	
GSCP VI PARALLEL AIV, LP	85.	85.	
DYNAMIC EQUITY MANAGERS PORT 2	12,577.	12,577.	
TOTALS	<u>18,501.</u>	<u>13,945.</u>	<u>4,556.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MEDIQUEST THERAPEUTICS	0.	0.
GOLDMAN SACHS A/C 52585-3	647,059.	893,155.
GOLDMAN SACHS A/C 39499-5	0.	0.
GOLDMAN SACHS A/C 39493-8	41,899.	0.
GOLDMAN SACHS A/C 41212-8	1,379,117.	2,141,785.
GOLDMAN SACHS A/C 39494-6	1,305,896.	1,412,751.
TOTALS	<u>3,373,971.</u>	<u>4,447,691.</u>

ATTACHMENT 8FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GOLDMAN SACHS A/C 39482-1	1,381,373.	1,378,363.
TOTALS	<u>1,381,373.</u>	<u>1,378,363.</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
MEDIQUEST HOLDINGS	0.	0.
SPDR KBW BANK ETF	254,032.	259,100.
DONALD SMITH SMALL CAP VALUE	1,600,000.	2,341,562.
DYNAMIC EQUITY MANAGERS:PORT.2	1,500,000.	1,661,279.
VONTOBEL NON-US EQUITY	1,500,000.	1,229,177.
WILLIAM BLAIR NON-US EQUITY	750,000.	1,367,172.
GS EMERGING MARKETS FUND	624,676.	436,596.
GS WEST STREET PARTNERS 2007	908,560.	969,184.
GS WEST STREET PARTNERS II	530,852.	509,611.
THL EQUITY FUND VI - GS ACCESS	1,008,735.	923,612.
GS CAP PARTNERS VI PARALLEL LP	2,585,733.	2,425,237.
GS MEZZANINE PARTNERS V	814,223.	999,429.
GS WLR OPP FUND OFFSHORE	765,641.	924,974.
GS PRIVATE CONCENTRATED ENERGY	986,162.	948,760.
GS GLOBAL STRATEGIC COMM FUND	0.	0.
GS LIBERTY HARBOR I	-3,121.	55,562.
EKSPORTFINALS LINKED TO S&P500	200,000.	226,254.
BNP PARIBAS LNK TO BRIC+ IT	0.	0.
MSCI JAPAN INDEX FUND ISHARES	430,224.	454,183.
AB SVENSK EXPORTKREDIT PUBL	900,000.	1,076,189.
GSCP VI PARALLEL AIV	120,333.	120,333.
TOTALS	<u>15,476,050.</u>	<u>16,928,214.</u>

ATTACHMENT 10FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
OUTSTANDING CHECKS	38,000.
TOTAL	<u>38,000.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

PY OUTSTANDING CHECKS	59,710.
UNREALIZED GAIN/LOSS & RECONCILIATION ADJUSTMENT	1,184,572.
TOTAL	<u>1,244,282.</u>

VINE AND BRANCHES FOUNDATION, INC.

39-1827808

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

JOHN W. FINDLEY
300 N CORPORATE DR, SUITE 205
PRESIDENT/TREASURER

BARBARA R. FINDLEY
300 N CORPORATE DR, SUITE 205
VICE-PRESIDENT

RICHARD J. BLISS
C/O GODFREY & KAHN, S.C.
780 NORTH WATER STREET
SECRETARY

GRAND TOTALS

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

PAT WOEHRER V&B C/O THE LEGACY GRP
300 N CORPORATE DR, SUITE 205
BROOKFIELD, WI 53045
262-754-4485

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

A PRELIMINARY APPLICATION FORM WITH A BRIEF LETTER OF INQUIRY INCLUDING A DESCRIPTION OF YOUR ORGANIZATION AND THE WORK FOR WHICH FUNDS WILL BE APPLIED MUST FIRST BE SUBMITTED. THE FORM IS AVAILABLE ON THE WEBSITE WWW.VINEANDBRANCHESFOUNDATION.ORG

990PF, PART XV - SUBMISSION DEADLINES

TELEPHONE FOR CURRENT SCHEDULE OF DEADLINE DATES AS APPLICATION
PROCESS SHOULD BE COMPLETED SIX WEEKS PRIOR TO EACH OF TWO TO THREE
DIRECTORS MEETINGS PER YEAR.

Contributions Paid Between 1-1-10 and 12-31-10

Vine and Branches Foundation, Inc.

39-1827808

Organization Name/Address	Date Paid	Amount	Purpose	Title	Exempt	Relation
Acts 1-8 Ministry Incorporated 2121 S Oneida St Green Bay, WI 54304	05/24/2010	\$25,000.00	Religious	Youth Evangelism Program	Yes	No
Camp Manitowish YMCA, Inc P. O. Box 246 Boulder Junction, WI 54512	12/20/2010	\$3,000.00	Charitable	Summer camp program	Yes	No
Campus Way, Ltd 308 E Oak Crest Dr Wales, WI 53183	05/24/2010	\$30,000.00	Religious	Campus Way	Yes	No
Christian Stewardship Foundation/NCF 150 N Sunnyslope Road, Suite 360 Brookfield, WI 53005	10/08/2010	\$360,000.00	Charitable	Vine & Branches Fund-CSF	Yes	No
Christian Stewardship Foundation/NCF 150 N Sunnyslope Road, Suite 360 Brookfield, WI 53005	11/17/2010	\$60,000.00	Charitable	Vine & Branches Fund-CSF	Yes	No
City on a Hill, Inc 2224 W. Kilbourn Avenue Milwaukee, WI 53233-1614	12/06/2010	\$50,000.00	Charitable	Youth Evangelism and Discipleship	Yes	No
Compel Them to Come, Inc 1915 N. Dr Martin Luther King Drive Milwaukee, WI 53212	09/13/2010	\$20,000.00	Charitable	National/Milwaukee Black Marriage Day	Yes	No
Essentials in Education 122 W. 14th Street, PMB 332 Front Royal, VA 22630	12/13/2010	\$20,000.00	Charitable	Let's Strengthen Marriage Campaign Ad	Yes	No
Family Life Office, Catholic Diocese of Rockford IL 7708 E McGregor Road Rockford, IL 61102	05/24/2010	\$50,000.00	Religious	Marriage-Building 2011	Yes	No
Fellowship of Christian Athletes Eastern Wisconsin Office 135 West Broadway Waukesha, WI 53186	12/06/2010	\$15,000.00	Charitable	Couples Getaway marriage retreat	Yes	No

Vine and Branches Foundation, Inc.**39-1827808**

Organization Name/Address	Date Paid	Amount	Purpose	Title	Exempt	Relation
Florida Family Policy Council 4853 S Orange Ave . Suite C Orlando, FL 32806	12/01/2010	\$75,000 00	Charitable	Strong Marriages Florida Campaign	Yes	No
Georgia Family Education and Research Council 3500 Parkway Lane, Suite 460 Norcross, GA 30092	05/24/2010	\$50,000 00	Charitable	General Operations	Yes	No
Good News Communications, Inc 1151 Avenida Acaso Camarillo, CA 93012	12/20/2010	\$1,000.00	Religious	Christian Film and Television Commission	Yes	No
Headwaters Christian Youth, Inc P O Box 662 Rhinelander, WI 54501-0662	09/13/2010	\$15,000 00	Religious	General operations	Yes	No
Hideaway Foundation 1800 S. Washington St . Suite 215 Amarillo, TX 79102	12/06/2010	\$8,000.00	Educational	Strong Marriages/Successful Ministries	Yes	No
Institute for Creation Research P O Box 59029 Dallas, TX 75229	12/15/2010	\$5,000 00	Educational	General program activities	Yes	No
It's My Community Initiative, Inc. 2505 Lakeview Dr Suite 201 Amarillo, TX 79109	12/13/2010	\$40,000.00	Charitable	High Plains Coalition Membership campaign	Yes	Yes
Leadership Network 2626 Cole Ave. Suite 900 Dallas, TX 75204	09/13/2010	\$200,000 00	Religious	Next Generation Pastors and Rapid Growth Churches	Yes	No
Lighthouse Youth Center 5641 N 68th St. Milwaukee, WI 53218	09/13/2010	\$35,000.00	Religious	After school mentoring and Bible instruction	Yes	No
Marriage and Family Foundation, Inc 5200 Buflington Rd. Atlanta, GA 30349	01/18/2010	\$100,000 00	Charitable	Community Mobilization Demonstration Initiative	Yes	No

Vine and Branches Foundation, Inc.**39-1827808**

Organization Name/Address	Date Paid	Amount	Purpose	Title	Exempt	Relation
Marriage and Family Foundation, Inc 5200 Buffington Rd Atlanta, GA 30349	09/13/2010	\$60,000.00	Charitable	Strengthening Marriage in America donor education event	Yes	No
Military Community Youth Ministries P O Box 2486 Colorado Springs, CO 80901	12/06/2010	\$10,000.00	Religious	Project START	Yes	No
Milwaukee Rescue Mission 830 North 19th Street Milwaukee, WI 53233	12/15/2010	\$2,000.00	Charitable	Joy House	Yes	No
Minority Christian Coaches Association 510 E. Burleigh St Milwaukee, WI 53212	05/24/2010	\$60,000.00	Religious	Christian programs for inner-city children	Yes	No
National Network of Youth Ministries 12335 World Trade Drive Suite 17 San Diego, CA 92128-3791	05/24/2010	\$50,000.00	Religious	Building Communities of Hope – through Youth Ministry Networking in Wisconsin, the Great Lakes and beyond	Yes	No
National Organization for Marriage Education 663 S Rancho Santa Fe Road Suite 222 San Marcos, CA 92078	06/16/2010	\$50,000.00	Charitable	Movies for Marriage Project	Yes	No
Nativity Jesuit Middle School 1515 S. 29th Street Milwaukee, WI 53215-1912	12/20/2010	\$5,000.00	Educational	Marquette University High School scholarship fund for Nativity students	Yes	No
Neighborhood House of Milwaukee, Inc. 2819 W Richardson Place Milwaukee, WI 53208-3546	12/15/2010	\$5,000.00	Charitable	General operations	Yes	No
New Beginnings Are Possible, Inc 3717 W. Fond Du Lac Avenue Milwaukee, WI 53216	05/24/2010	\$10,000.00	Charitable	Summer Enrichment Program	Yes	No
New Life Community Church, Assemblies of God, Inc. N9590 County Road ES Mukwonago, WI 53149	12/15/2010	\$3,100.00	Religious	Equipment and curriculum	Yes	No

Vine and Branches Foundation, Inc.**39-1827808**

Organization Name/Address	Date Paid	Amount	Purpose	Title	Exempt	Relation
Opportunity International, Inc 2122 York Road Suite 150 Oak Brook, IL 60523	12/20/2010	\$1,000.00	Charitable	General fund	Yes	No
Pastoral Leadership Institute 12300 Ford Road Suite #450 Dallas, TX 75234-8108	09/13/2010	\$70,000.00	Religious	Wellness Retreats for PLI participating pastoral couples	Yes	No
Pastoral Reflections Institute P O Box 181313 Dallas, TX 75218	12/20/2010	\$1,000.00	Religious	General operations	Yes	No
Saint Anthony on the Lake Catholic Church W280 N2101 Hwy SS Pewaukee, WI 53072	12/20/2010	\$3,000.00	Religious	Marriage Enrichment team	Yes	No
Sky light Opera Theatre Corp 158 N Broadway Milwaukee, WI 53202	12/15/2010	\$5,000.00	Charitable	General operations	Yes	No
SOAR. Sports Outdoor and Recreation Park The Gordon Hartman Family Foundation 1202 W. Bitters. Bldg 1. Suite 1200 San Antonio, TX 78216	12/20/2010	\$1,000.00	Charitable	Morgan's Wonderland	Yes	No
Stronger Families P O Box 40584 Bellevue, WA 98015-4584	05/24/2010	\$50,000.00	Charitable	The A.R.T. of Marriage and Family	Yes	No
Teen Challenge International, Inc - Milwaukee WI P O Box 250771 Milwaukee, WI 53225	12/15/2010	\$2,400.00	Charitable	Undesignated	Yes	No
The Association of Marriage & Family Ministries, Inc 8283 N Hayden Rd, Suite 258 Scottsdale, AZ 85258	09/13/2010	\$50,000.00	Charitable	General operations	Yes	No
The Foundation for a Great Marriage. Inc. 1270 Main Street, Suite 254 Green Bay, WI 54302	08/04/2010	\$50,000.00	Charitable	General Operations	Yes	No

Vine and Branches Foundation, Inc.**39-1827808**

Organization Name/Address	Date Paid	Amount	Purpose	Title	Exempt	Relation
The Foundation for a Great Marriage, Inc. 1270 Main Street, Suite 254 Green Bay, WI 54302	12/15/2010	\$50,000.00	Charitable	1 Million Love Letters campaign	Yes	No
The Refuge at River Falls 6600 White Bluff Trail Amarillo, TX 79118	12/15/2010	\$10,000.00	Charitable	Pastoral couple marriage intensives	Yes	No
Waukesha County Community Foundation, Inc. 300 Washington Avenue Mukwonago, WI 53149	12/06/2010	\$7,500.00	Charitable	Mukwonago Library expansion/renovation fund	Yes	No
Wisconsin and Northern Michigan Council of the Assemblies of God P O Box 309 1340 Berlin Street Waupaca, WI 54981	12/06/2010	\$20,030.00	Religious	Youth Alive: Project Connect	Yes	No
Wisconsin Family Council, Inc P O Box 2075 Madison, WI 53701-2075	09/13/2010	\$35,000.00	Educational	Community Marriage Agreement/Marriage Ministry program development	Yes	No
Youth for Christ USA Inc P.O. Box 081041 Racine, WI 53408-1041	05/24/2010	\$40,000.00	Religious	3-Story program	Yes	No
Youth for Christ USA Inc 303 East 9th Street Fond du Lac, WI 54935	09/13/2010	\$24,000.00	Religious	3-Story Evangelism	Yes	No

\$1,837,030.00

*Contributions approved for payment in future years, as of 12/31/10***Vine and Branches Foundation, Inc.****39-1827808**

Organization	Amount to be Paid	Purpose	Exempt	Relation
---------------------	--------------------------	----------------	---------------	-----------------

Florida Family Policy Council Orlando, FL				
---	--	--	--	--

	Charitable	Yes	No
--	------------	-----	----

	\$75,000.00		
--	-------------	--	--

Total carried forward	<u>\$75,000.00</u>		
------------------------------	--------------------	--	--

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for
Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2010

Name of estate or trust **VINE AND BRANCHES FOUNDATION, INC.**
C/O THE LEGACY GROUP, INC.

Employer identification number
39-1827808

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	249,778.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet	4	(106,578.)
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ►	5	143,200.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	708,342.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet	11	(2,422,541.)
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ►	12	-1,714,199.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

Part III Summary of Parts I and II**Caution:** Read the instructions *before* completing this part

	(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		143,200.
14 Net long-term gain or (loss):			
a Total for year	14a		-1,714,199.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14	15		-1,570,999.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16	(3,000)
a The loss on line 15, column (3) or b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20 Add lines 18 and 19	20	
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21	
22 Subtract line 21 from line 20. If zero or less, enter -0-	22	
23 Subtract line 22 from line 17. If zero or less, enter -0-	23	
24 Enter the smaller of the amount on line 17 or \$2,300	24	
25 Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23.	25	
26 Subtract line 25 from line 24.	26	
27 Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31. ☐ No. Enter the smaller of line 17 or line 22	27	
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29 Subtract line 28 from line 27	29	
30 Multiply line 29 by 15% (15)	30	
31 Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32 Add lines 30 and 31	32	
33 Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2010

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2010

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

Employer identification number

VINE AND BRANCHES FOUNDATION, INC.

39-1827808

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	249,778.
--	----------

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
OF 1221 1 000

86631F 2249 11/8/2011 3:09:49 PM V 10-8 2

PAGE 35

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a SEE STATEMENT 18 - GOLDMAN SACHS LONG TERM	VARIOUS	VARIOUS	3,377,400.	2,938,758.	438,642.
DYNAMIC EQUITY MANAGERS: PORTFOLIO 2 LONG TERM	VARIOUS	VARIOUS			66,269.
EKS PORTFOLIO LINKED TO KRW VS EUR, JP, USD	VARIOUS	VARIOUS	206,150.	217,000.	-10,850.
GS GLOBAL STRATEGIC COMMODITIES FUND	VARIOUS	VARIOUS	955,785.	1,000,000.	-44,215.
GS CAPITAL PARTNERS VI PARALLEL LP	VARIOUS	VARIOUS			109,719.
GS WEST STREET PARTNERS 2007	VARIOUS	VARIOUS	45,654.	46,943.	-1,289.
GS LIBERTY HARBOR I LONG TERM	VARIOUS	VARIOUS	62,973.	35,223.	27,750.
GS WEST STREET PARTNERS II	VARIOUS	VARIOUS	306,314.	315,263.	-8,949.
GS PRIVATE CONCENTRATED ENERGY FUND, LP	VARIOUS	VARIOUS	82,340.	68,492.	13,848.
THL EQUITY FUND VI - GS ACCESS, LP	VARIOUS	VARIOUS	15,214.	15,214.	
GS MEZZANINE PARTNERS V LP	VARIOUS	VARIOUS	163,345.	73,092.	90,253.
GS WLR OPPORTUNITIES FUND	VARIOUS	VARIOUS	123,190.	96,088.	27,102.
GS CAPITAL PARTNERS VI PARALLEL, LP - SECTION 12	VARIOUS	VARIOUS			62.
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					708,342.

Base Currency USD

Realized Gains/Losses Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
HAL	HALLIBURTON COMPANY CMN	20-Nov-2008	4-Jan-2010	505	15,576.48	7,429.86	8,146.62	410 Long-Term	
AVT	AVNET INC CMN	6-Nov-2008	4-Jan-2010	180	5,466.88	3,017.81	2,449.07	424 Long-Term	
AVT	AVNET INC CMN	6-Nov-2008	8-Jan-2010	430	12,698.65	7,209.21	5,489.44	428 Long-Term	
	XL CAPITAL LTD CL-A CMN CLASS A	16-May-2008	3-Feb-2010	240	4,125.83	8,450.90	-4,325.07	628 Long-Term	
	XL CAPITAL LTD CL-A CMN CLASS A	16-May-2008	8-Feb-2010	122	2,035.37	4,295.88	-2,260.50	633 Long-Term	
	XL CAPITAL LTD CL-A CMN CLASS A	14-Oct-2008	8-Feb-2010	735	12,262.29	8,141.52	4,120.77	482 Long-Term	
TRV	THE TRAVELERS COMPANIES, INC CMN	14-Oct-2008	24-Feb-2010	350	18,464.29	12,919.83	5,544.46	498 Long-Term	
TRV	THE TRAVELERS COMPANIES, INC CMN	28-Oct-2008	24-Feb-2010	295	15,562.76	11,048.13	4,516.63	484 Long-Term	
UNM	UNUM GROUP CMN	13-Jul-2006	4-May-2010	925	21,914.07	15,327.25	6,586.82	1,391 Long-Term	
UNM	UNUM GROUP CMN	13-Jul-2006	5-May-2010	755	17,859.22	12,510.35	5,348.87	1,392 Long-Term	
RIG	TRANSOCEAN LTD CMN	26-Dec-2008	2-Jun-2010	100	4,790.56	4,314.52	476.04	522 Long-Term	
RIG	TRANSOCEAN LTD CMN	18-Dec-2008	2-Jun-2010	140	6,706.78	7,075.18	-368.4	530 Long-Term	
RIG	TRANSOCEAN LTD CMN	16-Jan-2009	2-Jun-2010	55	2,634.81	2,740.30	-105.49	501 Long-Term	
RIG	TRANSOCEAN LTD CMN	12-Jan-2009	2-Jun-2010	75	3,592.92	3,843.92	-251	505 Long-Term	
RIG	TRANSOCEAN LTD CMN	20-Jan-2009	2-Jun-2010	50	2,395.28	2,408.45	-13.17	497 Long-Term	
GPS	GAP INC CMN	13-Jul-2006	4-Jun-2010	420	8,806.66	7,081.20	1,725.46	1,422 Long-Term	
CYH	COMMUNITY HEALTH SYS INC CMN	10-May-2007	16-Jun-2010	600	22,896.51	22,461.48	435.03	1,133 Long-Term	
HERO	HERCULES OFFSHORE INC CMN	20-Jun-2007	16-Jun-2010	570	1,762.81	20,165.00	-18,402.19	1,092 Long-Term	
HERO	HERCULES OFFSHORE INC CMN	20-Jun-2007	16-Jun-2010	940	2,907.09	33,074.56	-30,167.47	1,092 Long-Term	
HERO	HERCULES OFFSHORE INC CMN	24-Sep-2007	16-Jun-2010	480	1,484.47	13,029.79	-11,545.32	996 Long-Term	
HERO	HERCULES OFFSHORE INC CMN	14-Oct-2008	16-Jun-2010	605	1,871.05	5,312.02	-3,440.97	610 Long-Term	
HERO	HERCULES OFFSHORE INC CMN	14-Oct-2008	17-Jun-2010	45	138.5	395.109	-256.61	611 Long-Term	
HERO	HERCULES OFFSHORE INC CMN	14-Oct-2008	18-Jun-2010	115	354.33	1,009.72	-655.39	612 Long-Term	
OCR	OMNICARE INC CMN	2-Jul-2007	9-Aug-2010	55	1,142.45	2,003.63	-861.18	1,134 Long-Term	
OCR	OMNICARE INC CMN	5-Jul-2007	9-Aug-2010	530	11,009.08	19,699.62	-8,690.54	1,131 Long-Term	
OCR	OMNICARE INC CMN	5-Jul-2007	10-Aug-2010	90	1,786.51	3,345.22	-1,558.71	1,132 Long-Term	
OCR	OMNICARE INC CMN	5-Jul-2007	11-Aug-2010	125	2,489.57	4,046.14	-2,156.57	1,133 Long-Term	
OCR	OMNICARE INC CMN	25-Sep-2007	11-Aug-2010	270	5,377.47	8,599.69	-3,222.22	1,130 Long-Term	
BAC	BANK OF AMERICA CORP CMN	13-Jul-2006	15-Oct-2010	260	3,111.99	12,669.80	-9,557.81	1,555 Long-Term	
BAC	BANK OF AMERICA CORP CMN	20-Apr-2009	15-Oct-2010	905	10,832.12	8,027.26	2,804.86	543 Long-Term	
BAC	BANK OF AMERICA CORP CMN	20-May-2009	15-Oct-2010	650	7,779.98	7,758.21	21.77	513 Long-Term	
BAC	BANK OF AMERICA CORP CMN	4-May-2007	15-Oct-2010	171	2,046.73	8,758.62	-6,711.89	1,260 Long-Term	
BAC	BANK OF AMERICA CORP CMN	25-Sep-2007	15-Oct-2010	105	1,256.77	5,256.30	-3,999.53	1,116 Long-Term	
BAC	BANK OF AMERICA CORP CMN	11-Feb-2008	15-Oct-2010	140	1,675.69	5,862.93	-4,187.24	976 Long-Term	
BAC	BANK OF AMERICA CORP CMN	22-Jul-2008	15-Oct-2010	400	4,787.68	12,520.40	-7,732.72	815 Long-Term	
BAC	BANK OF AMERICA CORP CMN	20-Sep-1999	15-Oct-2010	209	2,501.56	5,884.60	-3,383.04	4,043 Long-Term	
BAC	BANK OF AMERICA CORP CMN	12-Jan-2006	15-Oct-2010	165	1,974.92	7,573.01	-5,598.09	1,736 Long-Term	
A	AGILENT TECHNOLOGIES, INC CMN	13-Jul-2006	1-Nov-2010	175	6,067.65	4,742.09	1,325.56	1,572 Long-Term	
DD	E I DU PONT DE NEMOURS AND C CMN	13-Jul-2006	1-Nov-2010	90	4,218.86	3,593.04	625.82	1,572 Long-Term	
ETN	EATON CORPORATION CMN	11-Aug-2009	1-Nov-2010	15	1,331.48	802.622	528.86	447 Long-Term	
WCC	WESCO INTERNATIONAL INC CMN	28-Mar-2008	4-Nov-2010	29	1,318.98	1,045.50	273.48	951 Long-Term	
WCC	WESCO INTERNATIONAL INC CMN	20-Mar-2008	4-Nov-2010	130	5,912.66	4,605.34	1,307.32	959 Long-Term	
WCC	WESCO INTERNATIONAL INC CMN	25-Mar-2008	4-Nov-2010	261	11,870.81	9,711.15	2,159.65	954 Long-Term	
WCC	WESCO INTERNATIONAL INC CMN	28-Mar-2008	19-Nov-2010	118	5,604.67	4,254.09	1,350.57	966 Long-Term	
WCC	WESCO INTERNATIONAL INC CMN	31-Mar-2008	19-Nov-2010	78	3,704.78	2,790.73	914.05	963 Long-Term	
ETN	EATON CORPORATION CMN	11-Aug-2009	19-Nov-2010	175	16,772.99	9,363.92	7,409.07	465 Long-Term	
WCC	WESCO INTERNATIONAL INC CMN	8-Apr-2008	19-Nov-2010	134	6,364.62	5,076.88	1,287.75	955 Long-Term	
ETN	EATON CORPORATION CMN	11-Aug-2009	8-Dec-2010	60	5,975.56	3,210.49	2,765.07	484 Long-Term	
CYH	COMMUNITY HEALTH SYS INC CMN	10-May-2007	10-Dec-2010	225	8,274.57	8,423.05	-148.48	1,310 Long-Term	
WCC	WESCO INTERNATIONAL INC CMN	2-Feb-2009	10-Dec-2010	70	3,539.69	1,272.65	2,267.04	676 Long-Term	
WCC	WESCO INTERNATIONAL INC CMN	8 Apr-2008	10-Dec-2010	40	2,022.68	1,515.49	507.2	976 Long-Term	
WCC	WESCO INTERNATIONAL INC CMN	30-Jan-2009	10-Dec-2010	160	8,090.72	2,942.56	5,148.16	679 Long-Term	
CYH	COMMUNITY HEALTH SYS INC CMN	24-Sep-2007	23-Dec-2010	345	12,847.58	11,284.02	1,563.56	1,186 Long-Term	
CYH	COMMUNITY HEALTH SYS INC CMN	30-Oct-2008	23-Dec-2010	320	11,916.60	6,292.80	5,623.80	784 Long-Term	
CYH	COMMUNITY HEALTH SYS INC CMN	10-May-2007	23-Dec-2010	50	1,861.97	1,871.79	-9.82	1,323 Long-Term	
CYH	COMMUNITY HEALTH SYS INC CMN	31-Oct-2008	23-Dec-2010	60	2,234.36	1,151.23	1,083.13	783 Long-Term	
HAL	HALLIBURTON COMPANY CMN	21-Apr-2009	4-Jan-2010	25	771.11	495.1	276.01	258 Short-Term	
HAL	HALLIBURTON COMPANY CMN	5-Feb-2009	4-Jan-2010	160	4,935.12	3,043.89	1,891.23	333 Short-Term	
AVT	AVNET INC CMN	10-Mar-2009	8-Jan-2010	180	5,315.71	2,785.25	2,530.46	304 Short-Term	
AVT	AVNET INC CMN	10-Mar-2009	8-Feb-2010	50	1,341.14	773.68	567.46	335 Short-Term	
HAL	HALLIBURTON COMPANY CMN	21-Apr-2009	8-Feb-2010	650	18,568.38	12,872.60	5,695.78	293 Short-Term	
AVT	AVNET INC CMN	24-Apr-2009	8-Feb-2010	135	3,621.06	2,499.75	1,121.31	290 Short-Term	
AVT	AVNET INC CMN	24-Apr-2009	9-Feb-2010	385	10,271.55	7,128.93	3,142.62	291 Short-Term	
AVT	AVNET INC CMN	27-Apr-2009	9-Feb-2010	75	2,000.95	1,488.32	512.63	288 Short-Term	
AEO	AMERICAN EAGLE OUTFITTERS INC (NEW)	29-May-2009	11-May-2010	230	3,718.44	3,355.98	362.46	347 Short-Term	
AEO	AMERICAN EAGLE OUTFITTERS INC (NEW)	4-Jun-2009	11-May-2010	105	1,697.55	1,553.55	144	341 Short-Term	
AEO	AMERICAN EAGLE OUTFITTERS INC (NEW)	5 Jun-2009	11-May-2010	425	6,871.03	6,254.00	617.03	340 Short-Term	
AEO	AMERICAN EAGLE OUTFITTERS INC (NEW)	20-Aug-2009	11-May-2010	555	8,972.76	7,913.08	1,059.68	264 Short-Term	
AEO	AMERICAN EAGLE OUTFITTERS INC (NEW)	20-Aug-2009	12-May-2010	295	4,713.37	4,206.05	507.32	265 Short-Term	
NUE	NUCOR CORPORATION CMN	3-Nov-2009	16-Sep-2010	315	12,280.25	12,301.54	-21.29	316 Short-Term	
BA	BOEING COMPANY CMN	3-Nov-2009	1-Nov-2010	20	1,416.25	962.234	454.02	362 Short-Term	
ETN	EATON CORPORATION CMN	11-May-2010	8-Dec-2010	70	6,971.48	5,251.57	1,719.91	211 Short-Term	
TOTAL					457,477.49		-25,222.90		

Please note that the ability to download this information to an excel spreadsheet is solely for informational purposes as a convenience to you. In connection with any information you download, please carefully review the important information and notes at the bottom of each screen on the Website, which may substantially effect your positions, transactions, gains or losses and any other information that you download.

Vine and Branches - GS US PCP 020-52583-3
 From 01-Jan-2010 To 31-Dec-2010
 Separate Account Strategy GS US Private Client Portfolio

Base Currency USD

Realized Gains/Losses										
Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type	
HPQ	HEWLETT-PACKARD CO CMN	20-Mar-2009	13-Apr-2010	298	15,455.31	8,454.82	7,000.49	399	Long-Term	
TGT	TARGET CORPORATION CMN	20-Mar-2009	13-Apr-2010	225	12,833.53	7,084.49	5,749.04	399	Long-Term	
T	AT&T INC CMN	20-Mar-2009	12-May-2010	898	23,030.68	23,154.93	-124.05	418	Long-Term	
T	AT&T INC CMN	4-May-2009	12-May-2010	98	2,513.39	2,608.76	-95.37	373	Long-Term	
BEN	FRANKLIN RESOURCES INC CMN	20-Mar-2009	12-May-2010	152	17,895.26	7,756.96	10,138.28	418	Long-Term	
T	AT&T INC CMN	20-Mar-2009	18-May-2010	901	22,893.57	23,232.29	-338.72	424	Long-Term	
BEN	FRANKLIN RESOURCES INC CMN	20-Mar-2009	18-May-2010	157	18,722.45	8,954.05	10,778.41	424	Long-Term	
BEN	FRANKLIN RESOURCES INC CMN	20-Mar-2009	9-Jun-2010	190	17,118.77	9,097.69	8,021.08	448	Long-Term	
HPQ	HEWLETT-PACKARD CO CMN	4-May-2009	9-Jun-2010	80	3,693.13	2,969.60	726.53	401	Long-Term	
HPQ	HEWLETT-PACKARD CO CMN	20-Mar-2009	9-Jun-2010	390	18,018.63	11,419.23	6,599.40	446	Long-Term	
HPQ	HEWLETT-PACKARD CO CMN	20-Mar-2009	15-Jun-2010	643	30,327.93	18,676.55	11,431.38	452	Long-Term	
AAPL	APPLE INC CMN	6-Jul-2009	8-Jul-2010	7	1,616.38	950.03	856.35	367	Long-Term	
PK	PRAXAIR INC CMN SERIES	20-Mar-2009	13-Jul-2010	57	4,755.42	3,869.57	1,085.85	480	Long-Term	
TRV	THE TRAVELERS COMPANIES, INC CMN	20-Mar-2009	13-Jul-2010	84	4,312.48	3,287.89	1,024.59	480	Long-Term	
CSCO	CISCO SYSTEMS, INC CMN	20-Mar-2009	13-Jul-2010	52	1,208.38	853.84	352.54	480	Long-Term	
MSFT	MICROSOFT CORPORATION CMN	20-Mar-2009	13-Jul-2010	8	200.73	139.84	60.89	480	Long-Term	
BA	BOEING COMPANY CMN	20-Mar-2009	13-Jul-2010	48	3,118.02	1,692.88	1,525.14	490	Long-Term	
AAPL	APPLE INC CMN	6-Jul-2009	13-Jul-2010	11	2,748.21	1,508.82	1,237.59	372	Long-Term	
FCX	FREEMONT-MCMORAN COPPER & GOLD CMN	9-Jun-2009	13-Jul-2010	22	1,416.33	1,283.50	132.83	399	Long-Term	
JPM	JPMORGAN CHASE & CO CMN	20-Mar-2009	13-Jul-2010	130	5,238.91	3,098.60	2,142.31	480	Long-Term	
EMR	EMERSON ELECTRIC CO CMN	1-Jun-2009	13-Jul-2010	70	3,278.74	2,379.14	899.60	407	Long-Term	
BIIB	BIOMGEN IDEC INC CMN	12-May-2009	13-Jul-2010	59	3,118.09	2,859.84	258.25	427	Long-Term	
PG	PROCTER & GAMBLE COMPANY (THE) CMN	20-Mar-2009	13-Jul-2010	9	563.84	421.74	142.10	480	Long-Term	
OXY	OCCIDENTAL PETROLEUM CORP CMN	20-Mar-2009	13-Jul-2010	5	411.24	269.655	141.59	480	Long-Term	
TMO	THERMO FISHER SCIENTIFIC INC CMN	20-Mar-2009	13-Jul-2010	82	3,083.36	2,121.14	962.22	480	Long-Term	
V	VISA INC CMN CLASS A	20-Mar-2009	13-Jul-2010	27	2,055.74	1,431.88	623.86	480	Long-Term	
XOM	EXXON MOBIL CORPORATION CMN	20-Mar-2009	13-Jul-2010	56	3,322.98	3,856.72	-533.74	480	Long-Term	
JNJ	JOHNSON & JOHNSON CMN	4-May-2009	13-Jul-2010	82	4,988.61	4,399.30	589.31	435	Long-Term	
EMR	EMERSON ELECTRIC CO CMN	4-May-2009	13-Jul-2010	42	1,987.25	1,538.78	438.47	435	Long-Term	
CSCO	CISCO SYSTEMS, INC CMN	4-May-2009	13-Jul-2010	282	8,542.28	5,498.80	3,043.48	435	Long-Term	
MSFT	MICROSOFT CORPORATION CMN	4-May-2009	13-Jul-2010	232	5,821.28	4,679.44	1,141.82	435	Long-Term	
EOG	EOG RESOURCES INC CMN	4-May-2009	13-Jul-2010	15	1,618.82	1,049.25	569.57	435	Long-Term	
OXY	OCCIDENTAL PETROLEUM CORP CMN	4-May-2009	13-Jul-2010	41	3,372.19	2,470.25	901.94	435	Long-Term	
PG	PROCTER & GAMBLE COMPANY (THE) CMN	4-May-2009	13-Jul-2010	43	3,007.14	2,433.12	574.02	435	Long-Term	
COST	COSTCO WHOLESALE CORPORATION CMN	4-May-2009	13-Jul-2010	55	3,114.04	2,619.10	494.94	435	Long-Term	
TGT	TARGET CORPORATION CMN	20-Mar-2009	13-Jul-2010	195	9,707.75	6,139.89	3,567.86	480	Long-Term	
TGT	TARGET CORPORATION CMN	20-Mar-2009	13-Jul-2010	45	2,240.25	1,416.60	823.65	480	Long-Term	
ORCL	ORACLE CORPORATION CMN	28-Apr-2009	13-Jul-2010	127	3,021.27	2,522.83	498.44	441	Long-Term	
EOG	EOG RESOURCES INC CMN	14-Apr-2009	13-Jul-2010	11	1,185.87	579.235	506.63	455	Long-Term	
COST	COSTCO WHOLESALE CORPORATION CMN	12-May-2009	13-Jul-2010	29	1,641.95	1,345.04	296.91	427	Long-Term	
AAPL	APPLE INC CMN	6-Jul-2009	21-Jul-2010	57	14,698.78	7,817.38	6,881.37	380	Long-Term	
EOG	EOG RESOURCES INC CMN	14-Apr-2009	25-Aug-2010	182	15,872.30	11,238.24	4,634.06	498	Long-Term	
TGT	TARGET CORPORATION CMN	20-Mar-2009	25-Aug-2010	451	23,140.82	14,200.46	8,940.36	523	Long-Term	
PX	PRAXAIR INC CMN SERIES	20-Mar-2009	21-Sep-2010	229	20,148.40	14,742.65	5,405.75	650	Long-Term	
TMO	THERMO FISHER SCIENTIFIC INC CMN	20-Mar-2009	24-Sep-2010	462	22,150.53	16,805.94	5,344.59	553	Long-Term	
ORCL	ORACLE CORPORATION CMN	6-Apr-2009	24-Sep-2010	67	1,807.63	1,266.85	540.68	538	Long-Term	
BA	BOEING COMPANY CMN	20-Mar-2009	24-Sep-2010	223	14,402.17	7,400.23	7,001.94	553	Long-Term	
AAPL	APPLE INC CMN	6-Jul-2009	24-Sep-2010	127	37,127.82	17,417.69	19,710.13	445	Long-Term	
QCOM	QUALCOMM INC CMN	20-Mar-2009	24-Sep-2010	578	25,818.82	21,632.34	4,186.48	553	Long-Term	
TRV	THE TRAVELERS COMPANIES, INC CMN	20-Mar-2009	24-Sep-2010	509	27,081.34	19,923.07	7,158.27	553	Long-Term	
AFL	AFLAC INCORPORATED CMN	18-Sep-2009	24-Sep-2010	464	24,159.36	19,669.60	4,489.77	373	Long-Term	
JPM	JPMORGAN CHASE & CO CMN	20-Mar-2009	24-Sep-2010	778	30,802.95	18,484.32	12,318.63	553	Long-Term	
JNJ	JOHNSON & JOHNSON CMN	20-Mar-2009	24-Sep-2010	477	29,587.80	24,446.25	5,141.55	553	Long-Term	
PG	PROCTER & GAMBLE COMPANY (THE) CMN	20-Mar-2009	24-Sep-2010	359	22,149.92	16,822.74	5,327.18	553	Long-Term	
SLB	SCHLUMBERGER LTD CMN	20-Mar-2009	24-Sep-2010	146	8,815.11	6,299.71	2,515.40	553	Long-Term	
SCHW	CHARLES SCHWAB CORPORATION CMN	1-Jun-2009	24-Sep-2010	1,228	17,188.71	22,501.02	-5,312.31	480	Long-Term	
PEP	PEPSICO INC CMN	20-Mar-2009	24-Sep-2010	412	27,274.60	20,577.59	6,697.01	553	Long-Term	
CSCO	CISCO SYSTEMS, INC CMN	20-Mar-2009	24-Sep-2010	1,448	31,992.72	23,776.16	8,216.56	553	Long-Term	
MSFT	MICROSOFT CORPORATION CMN	20-Mar-2009	24-Sep-2010	1,098	27,037.35	19,193.04	7,844.31	553	Long-Term	
OXY	OCCIDENTAL PETROLEUM CORP CMN	20-Mar-2009	24-Sep-2010	232	17,540.68	13,964.01	3,576.67	553	Long-Term	
PX	PRAXAIR INC CMN SERIES	20-Mar-2009	24-Sep-2010	193	17,285.49	12,425.03	4,860.45	553	Long-Term	
FCX	FREEMONT-MCMORAN COPPER & GOLD CMN	9-Jun-2009	24-Sep-2010	214	18,493.56	12,484.99	6,008.57	472	Long-Term	
V	VISA INC CMN CLASS A	20-Mar-2009	24-Sep-2010	140	10,153.18	7,425.05	2,728.13	553	Long-Term	
COST	COSTCO WHOLESALE CORPORATION CMN	21-Apr-2009	24-Sep-2010	75	4,758.07	3,398.33	1,359.74	521	Long-Term	
BIIB	BIOMGEN IDEC INC CMN	12-May-2009	24-Sep-2010	328	18,481.58	15,801.81	2,679.77	500	Long-Term	
HON	HONEYWELL INTL INC CMN	28-Apr-2009	24-Sep-2010	215	9,434.57	6,311.85	3,122.72	514	Long-Term	
SLB	SCHLUMBERGER LTD CMN	25-Aug-2009	24-Sep-2010	324	19,582.31	18,334.02	1,248.29	395	Long-Term	
COST	COSTCO WHOLESALE CORPORATION CMN	12-May-2009	24-Sep-2010	188	11,928.40	8,719.55	3,208.84	500	Long-Term	
COST	COSTCO WHOLESALE CORPORATION CMN	29-Jul-2009	24-Sep-2010	223	14,149.11	10,659.25	3,489.86	423	Long-Term	
HON	HONEYWELL INTL INC CMN	4-May-2009	24-Sep-2010	95	4,207.81	2,866.30	1,341.51	508	Long-Term	
EOG	EOG RESOURCES INC CMN	14-Apr-2009	24-Sep-2010	148	13,858.49	9,138.79	4,719.70	528	Long-Term	
HON	HONEYWELL INTL INC CMN	28-Jul-2009	24-Sep-2010	225	9,988.09	7,649.39	2,338.70	423	Long-Term	
EMR	EMERSON ELECTRIC CO CMN	30-Mar-2009	24-Sep-2010	346	18,418.88	9,550.57	8,868.31	543	Long-Term	
EOG	EOG RESOURCES INC CMN	6-Apr-2009	24-Sep-2010	68	6,390.95	3,969.88	2,421.07	636	Long-Term	
XOM	EXXON MOBIL CORPORATION CMN	20-Mar-2009	24-Sep-2010	259	18,042.18	17,637.33	404.85	533	Long-Term	
BAX	BAXTER INTERNATIONAL INC CMN	20-Mar-2009	24-Sep-2010	375	18,525.00	16,896.87	1,628.13	553	Long-Term	
TGT	TARGET CORPORATION CMN	20-Mar-2009	24-Sep-2010	157	8,623.66	4,943.40	3,680.26	553	Long-Term	
EMR	EMERSON ELECTRIC CO CMN	1-Jun-2009	24-Sep-2010	303	18,129.81	10,298.27	7,831.54	480	Long-Term	
ORCL	ORACLE CORPORATION CMN	28-Apr-2009	24-Sep-2010	862	17,880.48	13,150.50	4,729.98	514	Long-Term	
ORCL	ORACLE CORPORATION CMN	4-May-2009	24-Sep-2010	163	4,837.26	3,511.77	1,325.49	508	Long-Term	
QCOM	QUALCOMM INC CMN	4-May-2009	24-Sep-2010	58	2,501.48	2,440.49	60.99	508	Long-Term	
TGT	TARGET CORPORATION CMN	20-Mar-2009	28-Sep-2010	218	11,334.44	6,864.09	4,470.35	557	Long-Term	
EOG	EOG RESOURCES INC CMN	6-Apr-2009	13-Oct-2010	280	27,949.00	16,641.63	10,807.37	555	Long-Term	
EMR	EMERSON ELECTRIC CO CMN	30-Mar-2009	13-Oct-2010	173	9,289.65	4,775.28	4,514.37	582	Long-Term	
HON	HONEYWELL INTL INC CMN	28-Apr-2009	19-Oct-2010	148	8,885.20	4,385.70	4,499.50	538	Long-Term	
HON	HONEYWELL INTL INC CMN	20-Mar-2009	19-Oct-2010	87	4,035.63	2,437.87	1,597.76	578	Long-Term	
NIKE	NIKE CLASS-B CMN CLASS B	20-Oct-2009	30-Nov-2010	154	13,232.82	10,036.07	3,196.75	408	Long-Term	
FCX	FREEMONT-MCMORAN COPPER & GOLD CMN	6-Jul-2009	9-Dec-2010	9	894.12	415.738	478.38	521	Long-Term	
JNJ	JOHNSON & JOHNSON CMN	20-Mar-2009	9-Dec-2010	229	14,147.38	11,726.25	2,421.13	629	Long-Term	
SCHW	CHARLES SCHWAB CORPORATION CMN	1-Jun-2009	9-Dec-2010	580	9,597.43	10,644.88	-1,047.45	556	Long-Term	
PEP	PEPSICO INC CMN	20-Mar-2009	9-Dec-2010	274	17,875.44	13,685.08	4,190.36	625	Long-Term	
SLB	SCHLUMBERGER LTD CMN	20-Mar-2009	9-Dec-2010	207	16,777.00	8,931.78	7,845.22	629	Long-Term	
PG	PROCTER & GAMBLE COMPANY (THE) CMN	20-Mar-2009	9-Dec-2010	183	11,473.90	8,575.38	2,898.52	629	Long-Term	
NIKE	NIKE CLASS-B CMN CLASS B	20-Oct-2009	9-Dec-2010	62	5,414.09	4,040.50	1,373.59	415	Long-Term	
NIKE	NIKE CLASS-B CMN CLASS B	20-Nov-2009	9-Dec-2010	80	6,987.08	5,076.14	1,910.94	384	Long-Term	
CSCO	CISCO SYSTEMS, INC CMN	20-Mar-2009	9-Dec-2010	627	12,357.96	10,295.34	2,062.62	629	Long-Term	
MSFT	MICROSOFT CORPORATION CMN	20-Mar-2009	9-Dec-2010	480	13,234.87	8,585.20	4,649.67	629	Long-Term	

STATEMENT 18

ORCL	ORACLE CORPORATION CMN	20-Oct-2009	9-Dec-2010	38	1,106.58	839.284	270.32	415 Long-Term
ORCL	ORACLE CORPORATION CMN	6-Apr-2009	9-Dec-2010	385	11,241.81	7,280.24	3,961.57	612 Long-Term
BA	BOEING COMPANY CMN	20-Mar-2009	9-Dec-2010	192	12,339.55	6,371.50	6,018.05	629 Long-Term
AAPL	APPLE INC CMN	6-Jul-2009	9-Dec-2010	58	18,535.32	7,954.54	10,580.78	521 Long-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	20-Mar-2009	9-Dec-2010	205	18,821.88	12,285.88	6,336.00	629 Long-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	20-Mar-2009	9-Dec-2010	205	10,510.62	7,013.48	3,597.18	629 Long-Term
QCOM	QUALCOMM INC CMN	20-Mar-2009	9-Dec-2010	29	1,272.16	875.78	298.38	629 Long-Term
QCOM	QUALCOMM INC CMN	20-Oct-2009	9-Dec-2010	167	8,171.17	8,820.93	1,250.24	415 Long-Term
AFL	AFLAC INCORPORATED CMN	18-Sep-2009	9-Dec-2010	48	2,561.56	2,655.48	605.08	449 Long-Term
FCX	FREEMONT-MCMORAN COPPER & GOLD CMN	9-Jun-2009	9-Dec-2010	95	10,483.52	5,542.40	4,951.11	549 Long-Term
PX	PRAXAIR, INC CMN SERIES	20-Mar-2009	9-Dec-2010	95	8,878.54	6,115.95	2,762.59	629 Long-Term
HON	HONEYWELL INTL INC CMN	20-Nov-2009	9-Dec-2010	301	15,447.05	11,429.30	4,017.75	384 Long-Term
COST	COSTCO WHOLESALE CORPORATION CMN	21-Apr-2009	9-Dec-2010	213	15,029.02	9,645.58	5,383.44	557 Long-Term
EMR	EMERSON ELECTRIC CO CMN	20-Oct-2009	9-Dec-2010	234	13,241.63	9,276.00	3,965.63	415 Long-Term
HON	HONEYWELL INTL INC CMN	20-Mar-2009	9-Dec-2010	26	1,334.30	728.499	605.8	629 Long-Term
JPM	JPMORGAN CHASE & CO CMN	20-Mar-2009	9-Dec-2010	369	15,021.73	8,769.53	6,232.15	629 Long-Term
BIIB	BIogen IDEC INC CMN	12-May-2009	9-Dec-2010	134	8,859.59	6,495.22	2,363.37	579 Long-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	20-Mar-2009	9-Dec-2010	227	12,398.26	8,885.14	3,511.12	629 Long-Term
AFL	AFLAC INCORPORATED CMN	25-Aug-2009	9-Dec-2010	207	11,477.96	8,682.04	2,815.92	471 Long-Term
BAX	BAXTER INTERNATIONAL INC CMN	20-Mar-2009	9-Dec-2010	302	15,096.14	15,232.40	-223.26	629 Long-Term
XOM	EXXON MOBIL CORPORATION CMN	20-Mar-2009	9-Dec-2010	123	8,827.58	8,471.01	356.55	629 Long-Term
V	VISA INC CMN CLASS A	20-Mar-2009	9-Dec-2010	124	9,742.51	8,578.48	3,166.03	629 Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	4-May-2009	6-Jan-2010	11	711.69	824.89	-113	247 Short-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	20-Mar-2009	6-Jan-2010	383	24,796.81	18,350.98	8,435.85	262 Short-Term
TGT	TARGET CORPORATION CMN	4-May-2009	12-Jan-2010	88	4,320.76	3,586.00	734.75	253 Short-Term
TGT	TARGET CORPORATION CMN	20-Mar-2009	12-Jan-2010	176	8,641.52	5,541.64	3,099.88	298 Short-Term
BEN	FRANKLIN RESOURCES INC CMN	20-Mar-2009	12-Jan-2010	39	15,223.61	6,655.88	8,567.73	298 Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	20-Mar-2009	25-Jan-2010	1,524	23,898.58	20,210.98	3,975.58	312 Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	20-Mar-2009	25-Jan-2010	504	15,827.53	9,348.83	6,260.70	312 Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	4-May-2009	25-Jan-2010	22	582.15	459.14	223.01	267 Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	4-May-2009	25-Jan-2010	239	3,745.99	3,953.08	-207.07	267 Short-Term
BEN	FRANKLIN RESOURCES INC CMN	20-Mar-2009	25-Jan-2010	124	12,605.86	5,937.44	6,668.42	312 Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	20-Mar-2009	2-Feb-2010	1,609	29,177.18	23,990.60	5,186.56	319 Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	20-Mar-2009	2-Mar-2010	479	14,630.81	8,883.20	5,747.71	347 Short-Term
BA	BOEING COMPANY CMN	4-May-2009	9-Mar-2010	47	3,155.85	1,971.18	1,224.67	309 Short-Term
BA	BOEING COMPANY CMN	20-Mar-2009	9-Mar-2010	159	10,811.48	5,278.40	5,535.08	354 Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	20-Mar-2009	9-Mar-2010	514	15,911.43	9,532.28	6,379.15	354 Short-Term
AAPL	APPLE, INC CMN	13-Apr-2010	8-Jul-2010	44	11,417.28	10,851.87	785.4	86 Short-Term
ORCL	ORACLE CORPORATION CMN	20-Oct-2009	8-Jul-2010	672	15,506.80	14,841.73	665.07	281 Short-Term
LOW	LOWES COMPANIES INC CMN	13-Jul-2010	13-Jul-2010	70	1,477.67	1,480.16	-2.49	0 Short-Term
GIS	GENERAL MILLS INC CMN	13-Jul-2010	13-Jul-2010	97	3,532.68	3,591.76	-59.08	56 Short-Term
QCOM	QUALCOMM INC CMN	20-Oct-2009	13-Jul-2010	95	3,433.88	3,678.50	544.64	286 Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	9-Dec-2009	13-Jul-2010	105	4,625.17	5,839.14	-1,213.97	215 Short-Term
MCD	MC DONALDS CORP CMN	2-Feb-2010	13-Jul-2010	38	2,694.15	2,435.21	258.94	132 Short-Term
NKE	NIKE CLASS-B CMN CLASS B	6-Jan-2010	13-Jul-2010	65	4,590.87	4,259.50	331.37	187 Short-Term
BK	THE BANK OF NY MELLON CORP CMN	12-May-2010	13-Jul-2010	70	1,830.56	2,173.09	-312.53	62 Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	5-Aug-2009	13-Jul-2010	220	3,207.34	3,689.20	-481.86	342 Short-Term
SLB	SCHLUMBERGER LTD CMN	25-Aug-2009	13-Jul-2010	72	4,295.96	4,074.23	211.73	322 Short-Term
SPLS	STAPLES, INC CMN	28-Jan-2010	13-Jul-2010	175	3,548.33	4,234.14	-687.81	167 Short-Term
BAC	BANK OF AMERICA CORP CMN	12-Jan-2010	13-Jul-2010	202	3,153.16	3,332.17	-179.01	181 Short-Term
AFL	AFLAC INCORPORATED CMN	13-Apr-2010	13-Jul-2010	93	4,578.31	5,128.59	-550.28	91 Short-Term
HON	HONEYWELL INTL INC CMN	9-Mar-2010	13-Jul-2010	155	6,509.88	8,559.68	-49.8	125 Short-Term
PEP	PEPSICO INC CMN	12-Jan-2010	13-Jul-2010	96	6,120.85	5,914.69	206.16	181 Short-Term
GOOG	GOOGLE, INC CMN CLASS A	15-Jun-2010	13-Jul-2010	7	3,418.60	3,430.60	-12	28 Short-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	26-Aug-2010	24-Sep-2010	192	14,515.41	13,964.03	552.33	30 Short-Term
GOOG	GOOGLE, INC CMN CLASS A	15-Jun-2010	24-Sep-2010	38	19,885.44	18,821.25	1,262.19	101 Short-Term
SPLS	STAPLES, INC CMN	13-Apr-2010	24-Sep-2010	11	225.43	262.436	-37	164 Short-Term
BAC	BANK OF AMERICA CORP CMN	2-Feb-2010	24-Sep-2010	10	133.9	156.24	-22.34	233 Short-Term
BK	THE BANK OF NY MELLON CORP CMN	12-May-2010	24-Sep-2010	820	15,983.30	19,247.40	-3,264.10	135 Short-Term
MCD	MC DONALDS CORP CMN	26-Jan-2010	24-Sep-2010	52	3,900.45	3,325.20	575.25	240 Short-Term
NKE	NIKE CLASS-B CMN CLASS B	20-Oct-2009	24-Sep-2010	220	17,789.78	14,337.24	3,449.52	339 Short-Term
GIS	GENERAL MILLS INC CMN	9-Jul-2010	24-Sep-2010	234	8,692.16	8,583.03	109.13	78 Short-Term
LOW	LOWES COMPANIES INC CMN	13-Jul-2010	24-Sep-2010	778	17,227.91	16,408.80	819.11	73 Short-Term
GOOG	GOOGLE, INC CMN CLASS A	9-Jun-2010	24-Sep-2010	16	8,372.62	7,756.52	616.1	107 Short-Term
MCD	MC DONALDS CORP CMN	2-Mar-2010	24-Sep-2010	178	13,351.53	11,407.06	1,944.49	205 Short-Term
PEP	PEPSICO INC CMN	9-Oct-2009	24-Sep-2010	173	11,452.68	10,435.14	1,017.54	350 Short-Term
PEP	PEPSICO INC CMN	12-Jan-2010	24-Sep-2010	48	3,177.82	2,657.34	220.28	254 Short-Term
NKE	NIKE CLASS-B CMN CLASS B	9-Jan-2010	24-Sep-2010	192	15,522.99	12,581.89	2,941.10	260 Short-Term
HON	HONEYWELL INTL INC CMN	6-Jan-2010	24-Sep-2010	249	11,029.14	10,081.81	947.33	250 Short-Term
HON	HONEYWELL INTL INC CMN	9-Mar-2010	24-Sep-2010	109	4,828.02	4,612.93	215.08	198 Short-Term
V	VISA INC CMN CLASS A	21-Jul-2010	24-Sep-2010	151	10,897.00	11,004.61	-107.61	65 Short-Term
BA	BOEING COMPANY CMN	21-Sep-2010	24-Sep-2010	197	12,722.99	12,562.14	160.85	3 Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	27-Oct-2009	24-Sep-2010	209	10,309.63	11,488.27	-1,178.64	332 Short-Term
GIS	GENERAL MILLS INC CMN	18-May-2010	24-Sep-2010	363	13,483.98	13,441.33	42.66	129 Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	9-Dec-2009	24-Sep-2010	111	5,475.45	6,172.81	-697.36	288 Short-Term
SPLS	STAPLES, INC CMN	26-Jan-2010	24-Sep-2010	746	15,288.48	17,548.97	-2,260.49	240 Short-Term
SPLS	STAPLES, INC CMN	2-Feb-2010	24-Sep-2010	743	15,227.00	17,778.36	-2,551.36	233 Short-Term
AFL	AFLAC INCORPORATED CMN	13-Apr-2010	24-Sep-2010	127	6,812.58	7,003.55	-190.97	184 Short-Term
BAC	BANK OF AMERICA CORP CMN	12-Jan-2010	24-Sep-2010	1,182	15,826.70	19,499.16	-3,672.46	254 Short-Term
MCD	MC DONALDS CORP CMN	26-Jan-2010	9-Dec-2010	91	7,047.83	5,819.11	1,228.72	317 Short-Term
SPLS	STAPLES, INC CMN	13-Apr-2010	9-Dec-2010	678	15,003.89	18,175.59	-3,171.71	240 Short-Term
BAC	BANK OF AMERICA CORP CMN	2-Feb-2010	9-Dec-2010	631	7,918.91	9,858.74	-1,939.83	310 Short-Term
BK	THE BANK OF NY MELLON CORP CMN	12-May-2010	9-Dec-2010	63	1,808.18	1,555.78	252.40	211 Short-Term
BK	THE BANK OF NY MELLON CORP CMN	18-May-2010	9-Dec-2010	337	9,661.82	9,982.21	-320.39	205 Short-Term
GIS	GENERAL MILLS INC CMN	8-Jul-2010	9-Dec-2010	248	8,821.21	9,098.64	-275.33	154 Short-Term
LOW	LOWES COMPANIES INC CMN	13-Jul-2010	9-Dec-2010	193	4,832.64	4,081.00	751.63	149 Short-Term
SWN	SOUTHWESTERN ENERGY CO CMN	13-Oct-2010	9-Dec-2010	167	7,069.36	6,936.13	224.18	57 Short-Term
QCOM	QUALCOMM INC CMN	28-Sep-2010	9-Dec-2010	98	4,697.20	4,236.05	460.25	72 Short-Term
LOW	LOWES COMPANIES INC CMN	28-Sep-2010	9-Dec-2010	230	5,759.10	5,133.81	625.29	72 Short-Term
GE	GENERAL ELECTRIC CO CMN	13-Oct-2010	9-Dec-2010	775	13,236.77	13,544.13	-307.36	57 Short-Term
GOOG	GOOGLE, INC CMN CLASS A	9-Jun-2010	9-Dec-2010	25	14,770.25	12,119.55	2,650.69	183 Short-Term
TOTAL					2,022,391.31		453,014.12	

Please note that the ability to download this information to an excel spreadsheet is solely for informational purposes as a convenience to you. In connection with any information you download, please carefully review the important information and notes at the bottom of each screen on the Website, which may substantially affect your positions, transactions, gains or losses and any other information that you download.

Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	29-May-2009	29-Sep-2010	18,059.88	175,000.00	147,549.02	27,450.98	438	Long-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	30-Jun-2009	3-Dec-2010	116.589	1,108.66	980.6	128.25	521	Long-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	29-May-2009	3-Dec-2010	9,602.32	81,318.08	76,450.98	12,867.11	553	Long-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	31-Jul-2009	3-Dec-2010	119.075	1,132.40	1,041.91	90.49	490	Long-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	31-Aug-2009	3-Dec-2010	112.544	1,070.29	987.14	73.15	458	Long-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	30-Sep-2009	3-Dec-2010	108.145	1,037.98	961.22	56.76	428	Long-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	30-Oct-2009	3-Dec-2010	110.866	1,054.34	1,001.12	53.22	399	Long-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	30-Nov-2009	3-Dec-2010	109.120	1,037.79	997.41	40.38	368	Long-Term
GSHIX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	23-Feb-2009	3-Mar-2010	15,993.64	111,475.68	83,948.83	27,526.85	373	Long-Term
AG4CWD8	EKSPORTFINANS ASA LINK TO KRW VS EUR, JPY USD 0% COUPON DU	8-Feb-2010	26-May-2010	217.000	206,150.00	217,000.00	-10,850.00	106	Short-Term
XLK	TECHNOLOGY SELECT INDEX 'SPDR'	2-Jun-2009	23-Apr-2010	8.000	142,947.57	109,444.80	33,502.77	375	Short-Term
XLK	TECHNOLOGY SELECT INDEX 'SPDR'	9-Feb-2010	23-Apr-2010	5.000	119,122.97	107,023.00	12,099.97	72	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	3-Mar-2010	3-Dec-2010	21,857.92	207,868.85	200,000.00	7,868.85	275	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	31-Mar-2010	3-Dec-2010	174.562	1,860.08	1,585.50	274.58	247	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	30-Apr-2010	3-Dec-2010	191.436	1,820.56	1,778.53	42.03	217	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	28-May-2010	3-Dec-2010	192.01	1,826.02	1,754.97	71.04	189	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	30-Jun-2010	3-Dec-2010	168.703	1,834.37	1,585.56	248.81	156	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	31-Dec-2009	3-Dec-2010	130.201	1,238.21	1,173.11	65.1	337	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	29-Jan-2010	3-Dec-2010	108.781	1,034.51	996.43	38.08	308	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	25-Feb-2010	3-Dec-2010	112.85	1,073.20	1,032.58	40.62	280	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	30-Nov-2010	3-Dec-2010	112.064	1,065.73	1,075.81	-10.08	3	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	31-Aug-2010	3-Dec-2010	158.077	1,503.31	1,525.44	-22.13	94	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	29-Oct-2010	3-Dec-2010	113.093	1,075.51	1,099.26	-23.75	35	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	30-Jul-2010	3-Dec-2010	152.727	1,452.43	1,444.80	7.63	128	Short-Term
GSGAX	GS INVESTMENT GRADE CREDIT FUND A	30-Sep-2010	3-Dec-2010	163.345	1,553.41	1,588.08	-34.67	64	Short-Term
GSHIX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	13-Nov-2009	3-Mar-2010	12,700.76	88,524.32	85,746.22	2,778.11	110	Short-Term
GAMDX	GS LOCAL EMERGING MKTS DEBT FD MUTUAL FUND - CL A	5-Apr-2010	20-Oct-2010	9,462.69	95,100.00	88,354.63	6,245.37	199	Short-Term
TOTAL					1,260,356.48		119,215.53		

Please note that the ability to download this information to an excel spreadsheet is solely for informational purposes as a convenience to you. In connection with any information you download, please carefully review the important information and notes at the bottom of each screen on the Website which may substantially effect your positions, transactions, gains or losses and any other information that you download.

Vine and Branches - Aletheia 020-39499-5 (Closed)
From 01-Jan-2010 To 31-Dec-2010

Base Currency USD

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
SPWRA	SUNPOWER CORPORATION CMN CLASS A	17-Nov-2008	25-Jan-2010	460	9,662.82	12,362.32	-2,699.50	434	Long-Term
GG	GOLDCORP INC CMN	4-May-2007	26-Jan-2010	170	6,188.29	4,253.40	1,944.89	998	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	29-Nov-2007	26-Jan-2010	90	6,302.03	7,351.39	-1,049.36	789	Long-Term
CME	CME GROUP INC CMN CLASS A	10-Oct-2008	26-Jan-2010	20	5,774.36	7,225.45	-1,451.09	473	Long-Term
BMV	BRISTOL-MYERS SQUIBB COMPANY CMN	28-Aug-2008	26-Jan-2010	510	12,393.66	10,975.47	1,418.19	516	Long-Term
DELL	DELL INC CMN	7-Jul-2008	26-Jan-2010	267	3,639.22	6,071.42	-2,432.20	568	Long-Term
DELL	DELL INC CMN	9-Jul-2008	26-Jan-2010	865	11,789.97	19,164.88	-7,374.71	566	Long-Term
DELL	DELL INC CMN	8-Jul-2008	26-Jan-2010	208	2,835.04	4,648.76	-1,813.72	567	Long-Term
BQI	OILSANDS QUEST INC CMN	6-Aug-2007	12-Feb-2010	893	620.36	3,704.70	-3,084.34	921	Long-Term
BQI	OILSANDS QUEST INC CMN	29-Aug-2007	12-Feb-2010	120	83.36	607.14	-523.78	898	Long-Term
BQI	OILSANDS QUEST INC CMN	29-Aug-2007	12-Feb-2010	1,222	849.64	6,182.71	-5,333.07	898	Long-Term
BQI	OILSANDS QUEST INC CMN	30-Aug-2007	12-Feb-2010	268	186.34	1,362.03	-1,175.69	697	Long-Term
BQI	OILSANDS QUEST INC CMN	7-Jul-2008	12-Feb-2010	10	6.95	54.45	-47.5	585	Long-Term
BQI	OILSANDS QUEST INC CMN	25-Jul-2007	12-Feb-2010	405	279.85	1,594.85	-1,315.00	933	Long-Term
BQI	OILSANDS QUEST INC CMN	26-Jul-2007	12-Feb-2010	1,020	704.81	4,140.38	-3,435.57	932	Long-Term
BQI	OILSANDS QUEST INC CMN	3-Aug-2007	12-Feb-2010	772	536.3	3,303.46	-2,767.16	924	Long-Term
BQI	OILSANDS QUEST INC CMN	3-Aug-2007	12-Feb-2010	360	248.76	1,540.48	-1,291.72	924	Long-Term
BGC	GENERAL CABLE CORP CMN	25-Apr-2008	18-Feb-2010	225	5,522.10	16,036.81	-10,514.70	662	Long-Term
GLD	SPDR GOLD TRUST ETF	13-Jul-2006	16-Feb-2010	10	1,095.48	650.6	444.88	1,314	Long-Term
BGC	GENERAL CABLE CORP CMN	1-Jul-2008	16-Feb-2010	25	613.57	1,490.05	-876.48	595	Long-Term
SU	SUNCOR ENERGY INC CMN	13-Jul-2006	16-Feb-2010	25	754.59	990.469	-235.88	1,314	Long-Term
CNQ	CANADIAN NATURAL RESOURCES CMN	20-Feb-2008	16-Feb-2010	35	2,415.67	2,377.35	38.32	727	Long-Term
NG	NOVAGOLD RESOURCES INC CMN	23-Jul-2008	16-Feb-2010	515	3,128.79	4,733.78	-1,604.98	573	Long-Term
GG	GOLDCORP INC CMN	4-May-2007	16-Feb-2010	15	576.05	375.3	200.75	1,019	Long-Term
BGC	GENERAL CABLE CORP CMN	1-Jul-2008	17-Feb-2010	215	5,271.67	12,814.39	-7,542.72	566	Long-Term
IBM	INTL BUSINESS MACHINES CORP CMN	11-Oct-2007	23-Feb-2010	15	1,900.92	1,815.25	85.67	866	Long-Term
MUR	MURPHY OIL CORPORATION CMN	3-Jan-2007	23-Feb-2010	60	3,110.14	2,935.21	174.93	1,147	Long-Term
IBM	INTL BUSINESS MACHINES CORP CMN	9-Oct-2007	23-Feb-2010	10	1,267.28	1,181.30	85.98	868	Long-Term
CME	CME GROUP INC CMN CLASS A	10-Oct-2008	23-Feb-2010	20	5,545.96	7,225.45	-1,679.50	501	Long-Term
CME	CME GROUP INC CMN CLASS A	19-Feb-2009	23-Feb-2010	20	5,545.96	3,663.09	1,882.86	369	Long-Term
WFM	WHOLE FOODS MARKET INC CMN	19-Feb-2009	25-Feb-2010	435	15,279.70	5,518.19	9,761.51	371	Long-Term
EMR	EMERSON ELECTRIC CO CMN	15-Feb-2006	25-Feb-2010	50	2,381.12	2,098.25	282.87	1,471	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	20-Nov-2007	25-Feb-2010	60	4,118.41	4,900.93	-782.52	819	Long-Term
	VALEANT PHARMACEUTICALS INTL CMN	3-Feb-2009	5-Mar-2010	63	2,429.11	1,397.77	1,031.34	395	Long-Term
NDAQ	NASDAQ OMX GROUP, INC CMN	15-Apr-2008	5-Mar-2010	160	3,204.93	6,143.07	-2,938.14	689	Long-Term
JNJ	JOHNSON & JOHNSON CMN	12-Jan-2009	5-Mar-2010	100	6,401.94	5,872.53	529.41	417	Long-Term
KO	COCA-COLA COMPANY (THE) CMN	4-Jan-2006	5-Mar-2010	20	1,092.78	816.98	275.8	1,521	Long-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	23-May-2006	5-Mar-2010	50	3,180.33	2,728.81	451.52	1,382	Long-Term
IBM	INTL BUSINESS MACHINES CORP CMN	11-Oct-2007	5-Mar-2010	25	3,182.89	3,025.41	157.48	876	Long-Term
MUR	MURPHY OIL CORPORATION CMN	3-Jan-2007	5-Mar-2010	120	6,460.34	5,870.41	589.93	1,157	Long-Term
	VALEANT PHARMACEUTICALS INTL CMN	4-Feb-2009	5-Mar-2010	22	848.26	484.692	363.57	394	Long-Term
GLD	SPDR GOLD TRUST ETF	3-Jan-2007	5-Mar-2010	15	1,663.15	955.268	707.88	1,157	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	29-Nov-2007	5-Mar-2010	45	3,142.43	3,675.69	-533.26	827	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	29-Nov-2007	11-Mar-2010	10	721.49	816.821	-95.33	833	Long-Term
MDR	MCDERMOTT INTL CMN	16-Mar-2009	30-Mar-2010	125	3,312.75	1,721.05	1,591.70	379	Long-Term
CNQ	CANADIAN NATURAL RESOURCES CMN	20-Feb-2008	30-Mar-2010	45	3,252.15	3,056.59	195.56	768	Long-Term
NDAQ	NASDAQ OMX GROUP, INC CMN	19-Feb-2009	16-Apr-2010	220	4,724.35	4,584.56	139.79	420	Long-Term
NDAQ	NASDAQ OMX GROUP, INC CMN	15-Apr-2008	16-Apr-2010	260	5,583.33	9,982.50	-4,399.17	731	Long-Term
GDX	MARKET VECTORS ETF TRUST GOLD MINERS INDEX FD ETF FUND	3-Feb-2009	16-Apr-2010	360	16,744.79	11,871.43	4,873.36	436	Long-Term
EMR	EMERSON ELECTRIC CO CMN	15-Feb-2006	16-Apr-2010	65	3,359.34	2,727.73	631.62	1,520	Long-Term
SU	SUNCOR ENERGY INC CMN	13-Jul-2006	18-Apr-2010	210	7,030.45	8,319.94	-1,289.49	1,373	Long-Term
WMT	WAL MART STORES INC CMN	19-Sep-2005	16-Apr-2010	65	3,526.35	2,870.35	656	1,670	Long-Term
	VALEANT PHARMACEUTICALS INTL CMN	4-Feb-2009	16-Apr-2010	85	3,571.37	1,872.68	1,698.69	435	Long-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	23-May-2006	19-Apr-2010	110	6,910.08	6,003.39	906.68	1,427	Long-Term
BKS	BARNES & NOBLE, INC CMN	7-Jan-2009	19-Apr-2010	610	13,877.81	10,419.59	3,458.22	466	Long-Term
SLW	SILVER WHEATON CORP CMN	4-May-2007	19-Apr-2010	110	1,885.77	1,317.80	567.97	1,081	Long-Term
SLW	SILVER WHEATON CORP CMN	14-Mar-2008	19-Apr-2010	30	514.3	578.71	-64.41	766	Long-Term
SLW	SILVER WHEATON CORP CMN	28-Apr-2007	19-Apr-2010	40	685.74	444.37	241.37	1,089	Long-Term
SLW	SILVER WHEATON CORP CMN	27-Sep-2007	19-Apr-2010	625	10,714.63	8,703.94	2,010.69	935	Long-Term
WRSPQ	WORLDSpace INC CMN CLASS A	4-May-2007	19-Apr-2010	1,480	22.2	5,328.00	-5,305.80	1,081	Long-Term
WRSPQ	WORLDSpace INC CMN CLASS A	13-Jul-2006	19-Apr-2010	3,685	55.25	13,374.71	-13,319.45	1,376	Long-Term
GLD	SPDR GOLD TRUST ETF	4-May-2007	19-Apr-2010	150	16,680.33	10,218.00	6,462.33	1,081	Long-Term
GLD	SPDR GOLD TRUST ETF	3-Jan-2007	19-Apr-2010	200	22,240.44	12,736.90	9,503.54	1,201	Long-Term
GLD	SPDR GOLD TRUST ETF	26-Dec-2008	19-Apr-2010	45	5,004.10	3,840.75	1,163.35	478	Long-Term
SU	SUNCOR ENERGY INC CMN	4-May-2007	19-Apr-2010	380	12,443.65	15,690.20	-3,246.55	1,081	Long-Term
SU	SUNCOR ENERGY INC CMN	13-Jul-2006	19-Apr-2010	740	24,232.37	29,317.88	-5,085.50	1,376	Long-Term
SU	SUNCOR ENERGY INC CMN	20-Oct-2009	19-Apr-2010	295	9,660.20	7,470.58	2,189.62	546	Long-Term
AEM	AGNICO EAGLE MINES LTD CMN	14-Nov-2008	19-Apr-2010	135	7,995.91	4,579.28	3,416.63	520	Long-Term
AES	AES CORP CMN	23-May-2007	19-Apr-2010	360	4,173.16	8,608.07	-4,434.91	1,062	Long-Term
AEM	AGNICO EAGLE MINES LTD CMN	28-Dec-2008	19-Apr-2010	50	2,961.45	2,418.90	542.55	478	Long-Term
AES	AES CORP CMN	4-May-2007	19-Apr-2010	70	811.45	1,578.50	-767.05	1,081	Long-Term
AES	AES CORP CMN	13-Nov-2007	19-Apr-2010	885	10,259.01	19,059.89	-8,800.88	887	Long-Term
NG	NOVAGOLD RESOURCES INC CMN	24-Jul-2008	19-Apr-2010	475	3,567.95	4,339.17	-771.22	634	Long-Term
ABX	BARRICK GOLD CORPORATION CMN	26-Dec-2008	19-Apr-2010	315	12,187.14	11,280.21	906.93	478	Long-Term
ABX	BARRICK GOLD CORPORATION CMN	11-Mar-2009	19-Apr-2010	210	8,124.76	5,812.97	2,311.79	404	Long-Term
NG	NOVAGOLD RESOURCES INC CMN	23-Jul-2008	19-Apr-2010	665	4,995.13	6,112.55	-1,117.42	635	Long-Term
NG	NOVAGOLD RESOURCES INC CMN	22-Jan-2009	19-Apr-2010	588	4,416.75	1,110.79	3,305.96	451	Long-Term
NG	NOVAGOLD RESOURCES INC CMN	23-Jan-2009	19-Apr-2010	1,027	7,714.28	2,174.98	5,539.30	450	Long-Term
PBR	PETROLEO BRASILEIRO S.A. - PET SPONSORED ADR CMN	2-Jan-2008	19-Apr-2010	10	423.97	590.887	-166.92	837	Long-Term
PBR	PETROLEO BRASILEIRO S.A. - PET SPONSORED ADR CMN	8-Feb-2008	19-Apr-2010	340	14,414.98	18,833.79	-4,418.82	800	Long-Term
PBR	PETROLEO BRASILEIRO S.A. - PET SPONSORED ADR CMN	5-Feb-2009	19-Apr-2010	105	4,451.68	2,946.73	1,504.95	437	Long-Term
PBR	PETROLEO BRASILEIRO S.A. - PET SPONSORED ADR CMN	6-Aug-2008	19-Apr-2010	195	8,267.41	10,047.57	-1,780.16	621	Long-Term
GG	GOLDCORP INC CMN	4-May-2007	19-Apr-2010	210	8,124.26	5,254.20	2,870.06	1,081	Long-Term
EMR	EMERSON ELECTRIC CO CMN	1-Nov-2006	19-Apr-2010	230	11,872.39	9,658.00	2,214.39	1,264	Long-Term
CAT	CATERPILLAR INC (DELAWARE) CMN	9-Oct-2007	19-Apr-2010	125	8,446.11	10,301.43	-1,855.32	923	Long-Term
CAT	CATERPILLAR INC (DELAWARE) CMN	5-Mar-2008	19-Apr-2010	130	8,783.95	9,329.50	-545.55	774	Long-Term
MCD	MC DONALDS CORP CMN	3-Jun-2005	19-Apr-2010	485	33,896.75	13,810.91	20,085.84	1,781	Long-Term
CAT	CATERPILLAR INC (DELAWARE) CMN	12-Nov-2007	19-Apr-2010	225	15,202.99	15,776.35	-573.36	888	Long-Term
WYNN	WYNN RESORTS, LIMITED CMN	28-Aug-2005	19-Apr-2010	150	12,653.78	11,512.50	1,141.28	1,330	Long-Term

STATEMENT 18

WMT	WAL MART STORES INC CMN	4-May-2007	19-Apr-2010	90	4,679.72	4,336.20	543.52	1,081 Long-Term
MGM	MGM MIRAGE CMN	6-Aug-2008	19-Apr-2010	695	9,926.52	23,699.29	-13,772.77	621 Long-Term
MGM	MGM MIRAGE CMN	27-Aug-2008	19-Apr-2010	119	1,699.65	3,673.57	-1,973.92	600 Long-Term
MGM	MGM MIRAGE CMN	28-Aug-2008	19-Apr-2010	16	228.52	523.37	-294.85	599 Long-Term
AXP	AMERICAN EXPRESS CO CMN	19-Feb-2009	19-Apr-2010	430	19,392.67	5,625.22	13,767.45	423 Long-Term
WYNN	WYNN RESORTS, LIMITED CMN	9-Aug-2006	19-Apr-2010	75	6,326.89	5,440.61	886.28	1,349 Long-Term
EMR	EMERSON ELECTRIC CO CMN	15-Feb-2006	19-Apr-2010	15	774.29	629.475	144.81	1,523 Long-Term
MGM	MGM MIRAGE CMN	9-Jul-2008	19-Apr-2010	80	1,142.62	2,469.77	-1,327.15	649 Long-Term
	VALEANT PHARMACEUTICALS INTL CMN	4-Feb-2009	19-Apr-2010	22	509.41	484.692	424.72	438 Long-Term
NEM	NEWMONT MINING CORPORATION CMN	20-Oct-2008	19-Apr-2010	155	8,055.12	4,443.25	3,611.87	546 Long-Term
ALNY	ALNYLAM PHARMACEUTICALS, INC CMN	13-Jul-2008	19-Apr-2010	1,040	17,664.31	13,318.87	4,345.44	1,376 Long-Term
MUR	MURPHY OIL CORPORATION CMN	4-May-2007	19-Apr-2010	30	1,790.66	1,721.70	68.96	1,081 Long-Term
FLR	FLUOR CORPORATION CMN	6-Oct-2008	19-Apr-2010	315	16,052.12	13,429.55	2,622.57	560 Long-Term
	VALEANT PHARMACEUTICALS INTL CMN	5-Feb-2009	19-Apr-2010	133	5,497.79	3,033.50	2,464.29	437 Long-Term
SLV	ISHARES SILVER TRUST ETF	19-Feb-2009	19-Apr-2010	1,320	22,917.85	18,246.49	4,671.36	423 Long-Term
HES	HESS CORPORATION CMN	12-Jan-2009	19-Apr-2010	300	18,935.68	15,638.43	3,297.24	461 Long-Term
HES	HESS CORPORATION CMN	27-Jan-2009	19-Apr-2010	35	2,209.16	2,085.91	123.25	446 Long-Term
HES	HESS CORPORATION CMN	16-Mar-2009	19-Apr-2010	45	2,840.35	2,661.74	178.61	399 Long-Term
HES	HESS CORPORATION CMN	24-Mar-2009	19-Apr-2010	45	2,840.35	2,937.46	-97.11	391 Long-Term
JNJ	JOHNSON & JOHNSON CMN	12-Jan-2009	19-Apr-2010	165	10,787.51	9,689.67	1,097.84	461 Long-Term
JNJ	JOHNSON & JOHNSON CMN	3-Feb-2009	19-Apr-2010	65	4,249.63	3,770.12	479.51	439 Long-Term
EMR	EMERSON ELECTRIC CO CMN	4-May-2007	19-Apr-2010	90	4,645.72	4,205.70	440.02	1,081 Long-Term
ICD	MC DONALDS CORP CMN	3-Feb-2005	19-Apr-2010	35	2,446.16	2,043.34	402.82	439 Long-Term
IBM	INTL BUSINESS MACHINES CORP CMN	5-Mar-2008	19-Apr-2010	105	13,816.72	12,100.60	1,716.12	774 Long-Term
MGM	MGM MIRAGE CMN	25-Jun-2008	19-Apr-2010	440	6,284.41	17,099.15	-10,814.74	663 Long-Term
IBM	INTL BUSINESS MACHINES CORP CMN	11-Oct-2007	19-Apr-2010	135	17,764.35	16,337.21	1,427.13	921 Long-Term
MGM	MGM MIRAGE CMN	1-Jul-2008	19-Apr-2010	475	8,764.31	15,239.47	-8,455.15	657 Long-Term
WMT	WAL MART STORES INC CMN	29-Oct-2007	19-Apr-2010	155	8,403.96	8,964.69	-1,439.27	903 Long-Term
WMT	WAL MART STORES INC CMN	13-Jul-2006	19-Apr-2010	50	2,710.95	2,198.00	512.95	1,376 Long-Term
WMT	WAL MART STORES INC CMN	19-Sep-2005	19-Apr-2010	120	6,506.29	5,299.12	1,207.17	1,673 Long-Term
WMT	WAL MART STORES INC CMN	19-Feb-2009	19-Apr-2010	180	9,759.43	9,026.12	733.31	423 Long-Term
MUR	MURPHY OIL CORPORATION CMN	3-Jan-2007	19-Apr-2010	365	21,786.33	17,855.84	3,930.50	1,201 Long-Term
KO	COCA-COLA COMPANY (THE) CMN	4-May-2007	19-Apr-2010	90	4,400.07	4,260.00	140.07	1,081 Long-Term
KO	COCA-COLA COMPANY (THE) CMN	4-Jan-2006	19-Apr-2010	340	18,700.30	13,888.68	4,811.64	1,565 Long-Term
KO	COCA-COLA COMPANY (THE) CMN	13-Jul-2006	19-Apr-2010	675	37,125.59	29,031.75	8,093.84	1,376 Long-Term
DE	DEERE & COMPANY CMN	22-May-2008	19-Apr-2010	75	4,568.17	6,074.92	-1,506.75	897 Long-Term
DE	DEERE & COMPANY CMN	1-Jul-2008	19-Apr-2010	160	9,745.43	11,557.66	-1,812.23	657 Long-Term
DE	DEERE & COMPANY CMN	6-Aug-2008	19-Apr-2010	175	10,659.07	11,827.66	-1,168.59	621 Long-Term
NEM	NEWMONT MINING CORPORATION CMN	20-Feb-2008	19-Apr-2010	320	16,629.93	15,993.98	635.94	788 Long-Term
NEM	NEWMONT MINING CORPORATION CMN	20-Oct-2008	19-Apr-2010	245	12,732.29	7,535.00	5,196.29	546 Long-Term
NEM	NEWMONT MINING CORPORATION CMN	14-Nov-2008	19-Apr-2010	285	14,811.03	7,256.16	7,554.87	520 Long-Term
CNQ	CANADIAN NATURAL RESOURCES CMN	27-Aug-2008	19-Apr-2010	165	12,504.23	14,202.69	-1,698.46	600 Long-Term
CNQ	CANADIAN NATURAL RESOURCES CMN	20-Feb-2008	19-Apr-2010	220	16,672.30	14,943.35	1,728.96	788 Long-Term
CNQ	CANADIAN NATURAL RESOURCES CMN	28-Aug-2008	19-Apr-2010	55	4,168.08	4,693.52	-525.44	599 Long-Term
MDR	MCDERMOTT INTL CMN	16-Mar-2009	19-Apr-2010	410	10,996.01	5,645.04	5,350.97	339 Long-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	4-May-2007	19-Apr-2010	60	3,769.13	3,729.60	39.53	1,081 Long-Term
SPWRA	SUNPOWER CORPORATION CMN CLASS A	25-Jun-2009	25-Jan-2010	126	2,646.77	3,328.57	-681.8	214 Short-Term
SLV	ISHARES SILVER TRUST ETF	19-Feb-2009	26-Jan-2010	390	6,411.67	5,391.01	1,020.66	341 Short-Term
SPWRA	SUNPOWER CORPORATION CMN CLASS A	25-Jun-2009	26-Jan-2010	259	5,673.97	6,842.05	-1,168.09	215 Short-Term
SPWRA	SUNPOWER CORPORATION CMN CLASS A	30-Jul-2009	26-Jan-2010	180	3,505.15	5,289.04	-1,783.89	180 Short-Term
AXP	AMERICAN EXPRESS CO CMN	19-Feb-2009	16-Feb-2010	45	1,762.35	588.685	1,173.66	352 Short-Term
ES	ENERGYSOLUTIONS, INC CMN	27-Mar-2009	19-Feb-2010	232	1,448.36	2,016.43	-570.07	329 Short-Term
ES	ENERGYSOLUTIONS, INC CMN	17-Mar-2009	19-Feb-2010	358	2,231.88	3,109.16	-877.28	325 Short-Term
ES	ENERGYSOLUTIONS, INC CMN	7-Apr-2009	19-Feb-2010	370	2,306.70	3,645.76	-1,339.05	318 Short-Term
AKAM	AKAMAI TECHNOLOGIES INC CMN	5-Aug-2009	25-Feb-2010	95	2,430.77	1,728.16	702.61	204 Short-Term
LLL	L-3 COMMUNICATIONS HLDGS INC CMN	25-Feb-2010	5-Mar-2010	54	5,028.65	4,907.90	120.75	8 Short-Term
LLL	L-3 COMMUNICATIONS HLDGS INC CMN	26-Feb-2010	5-Mar-2010	96	8,940.19	8,775.34	164.85	7 Short-Term
BAC	BANK OF AMERICA CORP CMN	11-Mar-2009	5-Mar-2010	170	2,845.20	850.884	1,994.31	359 Short-Term
MDR	MCDERMOTT INTL CMN	16-Mar-2009	5-Mar-2010	125	3,171.08	1,721.05	1,450.03	354 Short-Term
EBAY	EBAY INC CMN	26-Jan-2010	5-Mar-2010	130	3,200.20	3,132.77	67.43	38 Short-Term
BAC	BANK OF AMERICA CORP CMN	28-May-2009	5-Mar-2010	25	418.41	275.75	142.66	281 Short-Term
AKAM	AKAMAI TECHNOLOGIES INC CMN	5-Aug-2009	5-Mar-2010	85	2,481.39	1,546.25	935.14	212 Short-Term
ES	ENERGYSOLUTIONS, INC CMN	26-Oct-2009	9-Mar-2010	380	2,213.51	3,295.40	-1,081.89	134 Short-Term
ES	ENERGYSOLUTIONS, INC CMN	8-Apr-2009	9-Mar-2010	32	186.4	312.46	-126.06	335 Short-Term
ES	ENERGYSOLUTIONS, INC CMN	7-Apr-2009	9-Mar-2010	48	279.6	472.963	-193.36	336 Short-Term
ES	ENERGYSOLUTIONS, INC CMN	23-Oct-2009	9-Mar-2010	292	1,700.91	2,518.47	-817.56	137 Short-Term
ES	ENERGYSOLUTIONS, INC CMN	26-Oct-2009	10-Mar-2010	94	547.38	815.178	-267.8	135 Short-Term
ES	ENERGYSOLUTIONS, INC CMN	27-Oct-2009	10-Mar-2010	189	1,100.58	1,655.03	-554.45	134 Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	13-Oct-2009	11-Mar-2010	35	2,525.20	2,449.64	75.57	149 Short-Term
AKAM	AKAMAI TECHNOLOGIES INC CMN	5-Aug-2009	11-Mar-2010	260	8,097.77	4,729.71	3,368.06	218 Short-Term
BAC	BANK OF AMERICA CORP CMN	28-May-2009	11-Mar-2010	140	2,394.39	1,544.20	850.19	287 Short-Term
MON	MONSANTO COMPANY CMN	27-May-2009	9-Apr-2010	135	9,292.66	10,853.21	-1,560.55	317 Short-Term
AKAM	AKAMAI TECHNOLOGIES INC CMN	5-Aug-2009	16-Apr-2010	105	3,469.54	1,910.08	1,559.46	254 Short-Term
ANF	ABERCROMBIE & FITCH CO CLASS A COMMON STOCK	5-Mar-2010	16-Apr-2010	70	3,393.02	2,962.27	430.75	41 Short-Term
EBAY	EBAY INC CMN	26-Jan-2010	16-Apr-2010	135	3,554.62	3,253.26	301.36	79 Short-Term
BA	BOEING COMPANY CMN	5-Mar-2010	19-Apr-2010	285	20,267.85	19,341.90	925.95	44 Short-Term
MDR	MCDERMOTT INTL CMN	9-Sep-2009	19-Apr-2010	290	7,777.67	7,701.73	75.94	222 Short-Term
ALL	ALLSTATE CORPORATION COMMON STOCK	16-Apr-2010	19-Apr-2010	1,060	35,943.99	35,801.08	142.91	3 Short-Term
BKS	BARNES & NOBLE, INC CMN	24-Nov-2009	19-Apr-2010	613	13,946.06	13,598.62	437.44	145 Short-Term
BKS	BARNES & NOBLE, INC CMN	25-Jan-2010	19-Apr-2010	593	13,491.06	10,322.71	3,168.34	83 Short-Term
BKS	BARNES & NOBLE, INC CMN	26-Jan-2010	19-Apr-2010	288	6,506.65	5,539.39	967.28	82 Short-Term
MMR	MCMORAN EXPLORATION INC CMN	25-Feb-2010	19-Apr-2010	120	1,720.71	2,016.52	-295.81	52 Short-Term
BAC	BANK OF AMERICA CORP CMN	28-May-2009	19-Apr-2010	365	6,764.14	4,025.95	2,738.19	326 Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	13-Oct-2009	19-Apr-2010	200	13,003.78	13,997.92	-994.14	188 Short-Term
MMR	MCMORAN EXPLORATION INC CMN	25-Feb-2010	19-Apr-2010	1,040	14,912.82	17,800.64	-2,887.82	51 Short-Term
BAC	BANK OF AMERICA CORP CMN	27-Oct-2009	19-Apr-2010	1,000	18,531.88	15,351.80	3,180.08	174 Short-Term
AKAM	AKAMAI TECHNOLOGIES INC CMN	5-Aug-2009	19-Apr-2010	325	10,656.56	5,912.14	4,744.42	257 Short-Term
AKAM	AKAMAI TECHNOLOGIES INC CMN	13-Oct-2009	19-Apr-2010	110	3,606.84	2,291.72	1,315.12	188 Short-Term
VMW	VMWARE INC. CMN CLASS A	9-Apr-2010	19-Apr-2010	260	14,549.76	14,163.97	485.79	10 Short-Term
SAI	SAIC, INC CMN	16-Apr-2010	19-Apr-2010	1,005	18,173.10	17,970.31	202.79	3 Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	21-Apr-2009	19-Apr-2010	180	3,117.55	2,898.76	218.79	363 Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	22-Apr-2009	19-Apr-2010	595	10,305.22	9,950.01	355.21	362 Short-Term
SU	SUNCOR ENERGY INC CMN	11-Nov-2009	19-Apr-2010	285	8,677.81	9,283.35	-605.54	158 Short-Term
AEM	AGNICO EAGLE MINES LTD CMN	23-Feb-2010	19-Apr-2010	160	9,476.64	8,691.07	785.57	54 Short-Term
MDR	MCDERMOTT INTL CMN	10-Sep-2009	19-Apr-2010	143	3,388.83	3,916.45	-527.62	221 Short-Term
FCX	FREEMONT-MCMORAN COPPER & GOLD CMN	26-Oct-2009	19-Apr-2010	165	13,343.32	13,166.19	177.13	175 Short-Term
ABX	BARRICK GOLD CORPORATION CMN	26-Jan-2010	19-Apr-2010	175	6,770.64	6,328.89	441.74	82 Short-Term
ANF	ABERCROMBIE & FITCH CO CLASS A COMMON STOCK	5-Mar-2010	19-Apr-2010	121	5,831.34	5,120.49	710.85	44 Short-Term
ANF	ABERCROMBIE & FITCH CO CLASS A COMMON STOCK	8-Mar-2010	19-Apr-2010	194	9,349.42	8,304.64	1,044.78	41 Short-Term

STATEMENT 18

GG	GOLDCORP INC CMN	27-May-2009	19-Apr-2010	315	12,168 38	11,912 39	273 99	327 Short-Term
ATPG	ATP OIL & GAS CORP CMN	18-Sep-2009	19-Apr-2010	695	15,984 10	13,814 93	2,169 17	213 Short-Term
ATPG	ATP OIL & GAS CORP CMN	14-Dec-2009	19-Apr-2010	475	10,924 39	8,063 22	2 861 17	125 Short-Term
CAT	CATERPILLAR INC (DELAWARE) CMN	9-Sep-2009	19-Apr-2010	50	3,378 44	2,416 61	961 83	222 Short-Term
MGM	MGM MIRAGE CMN	14-Aug-2009	19-Apr-2010	2,100	29,993 79	18,581 43	11,412 36	248 Short-Term
MGM	MGM MIRAGE CMN	9-Sep-2009	19-Apr-2010	200	2 856 55	1,989 50	867 05	222 Short-Term
MOS	THE MOSAIC COMPANY CMN	16-Feb-2010	19-Apr-2010	189	10,090 54	11,379 71	-1,269 17	61 Short-Term
	VALEANT PHARMACEUTICALS INTL CMN	18-May-2009	19-Apr-2010	310	12,814 41	6,726 78	6,087 63	336 Short-Term
	VALEANT PHARMACEUTICALS INTL CMN	27-Jul-2009	19-Apr-2010	157	6,489 88	3,907 13	2,582 75	266 Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	24-Jul-2009	19-Apr-2010	139	6,158 89	4,341 08	1,817 81	269 Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	27-Jul-2009	19-Apr-2010	446	19,761 61	14,008 28	5,753 33	266 Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	30-Mar-2010	19-Apr-2010	165	7,310 91	5,799 55	511 36	20 Short-Term
GAPTQ	GREAT A&P TEA CO INC CMN	24-Nov-2009	19-Apr-2010	209	1 770 64	2,376 33	-805 69	145 Short-Term
EBAY	EBAY INC CMN	26-Jan-2010	19-Apr-2010	530	13,880 46	12,772 05	1 108 41	82 Short-Term
MUR	MURPHY OIL CORPORATION CMN	16-Jun-2009	19-Apr-2010	125	7,461 07	7,234 70	226 37	307 Short-Term
XOM	EXXON MOBIL CORPORATION CMN	5-Mar-2010	19-Apr-2010	295	20 071 46	19,609 30	462 16	44 Short-Term
	VALEANT PHARMACEUTICALS INTL CMN	24-Jul-2009	19-Apr-2010	178	7,357 95	4,462 82	2,895 13	269 Short-Term
MOS	THE MOSAIC COMPANY CMN	17-Feb-2010	19-Apr-2010	176	9,396 48	10,709 27	-1,312 79	60 Short-Term
GAPTQ	GREAT A&P TEA CO INC CMN	19-Oct-2009	19-Apr-2010	615	5,210 25	6,941 57	-1,731 32	182 Short-Term
CDE	COEUR D'ALENE MINES CORP CMN	30-Jul-2009	19-Apr-2010	1,015	16,424 45	13,260 98	3,163 48	263 Short-Term
GAPTQ	GREAT A&P TEA CO INC CMN	20-Oct-2009	19-Apr-2010	1,030	8,726 11	9,981 32	-1,255 21	181 Short-Term
GAPTQ	GREAT A&P TEA CO INC CMN	21-Oct-2009	19-Apr-2010	1,230	10,420 51	11,743 43	-1 322 82	180 Short-Term
GAPTQ	GREAT A&P TEA CO INC CMN	23-Nov-2009	19-Apr-2010	86	728 59	981 3	-252 71	146 Short-Term
MCD	MC DONALDS CORP CMN	23-Feb-2010	19-Apr-2010	175	12,230 78	11,362 59	868 2	54 Short-Term
IBM	INTL BUSINESS MACHINES CORP CMN	23-Sep-2009	19-Apr-2010	130	17,106 41	15,868 59	1,237 82	208 Short-Term
MGM	MGM MIRAGE CMN	25-Jan-2010	19-Apr-2010	376	5,370 32	4,379 72	990 6	83 Short-Term
MGM	MGM MIRAGE CMN	26-Jan-2010	19-Apr-2010	174	2,485 20	2,103 12	382 08	82 Short-Term
INTC	INTEL CORPORATION CMN	26-Jan-2010	19-Apr-2010	960	22,818 81	19,234 75	3,584 06	82 Short-Term
DE	DEERE & COMPANY CMN	11-Mar-2010	19-Apr-2010	290	17,663 60	16,643 94	1,019 66	38 Short-Term
NEM	NEWMONT MINING CORPORATION CMN	11-Nov-2009	19-Apr-2010	180	9,354 33	9,299 02	55 31	158 Short-Term
NEM	NEWMONT MINING CORPORATION CMN	30-Oct-2009	19-Apr-2010	205	10,653 55	8,763 09	1,890 46	171 Short-Term
AMD	ADVANCED MICRO DEVICES INC CMN	26-Jan-2010	19-Apr-2010	1,580	15,258 75	12,776 67	2,482 08	82 Short-Term
BKS	BARNES & NOBLE, INC CMN	23-Nov-2009	19-Apr-2010	117	2,661 81	2,712 94	-51 13	146 Short-Term
TOTAL					1,679,226 45		89,136 36	

Please note that the ability to download this information to an excel spreadsheet is solely for informational purposes as a convenience to you. In connection with any information you download, please carefully review the important information and notes at the bottom of each screen on the Website, which may substantially effect your positions, transactions, gains or losses and any other information that you download.

STATEMENT 18

Vine and Branches SouthernSun, SCC 020-41212-8
 From 01-Jan-2010 To 31-Dec-2010
 Separate Account Strategy SouthernSun, Small Cap Core

Base Currency USD

Realized Gains/Losses Symbol Description	Trade Date	Date Sold / Covered	Qty	Processor (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gmn Type
DAR DARLING INTERNATIONAL INC CMN	18-Jan-2008	5-Jan-2010	620	5,544.02	8,838.03	-1,094.01	718	Long-Term
DAR DARLING INTERNATIONAL INC CMN	18-Jul-2008	5-Jan-2010	115	1,028.33	514.096	514.23	1,267	Long-Term
AGCO AGCO CORP CMN	18-Jul-2008	5-Jan-2010	140	4,677.52	3,310.85	1,366.58	1,267	Long-Term
DAP DARLING INTERNATIONAL INC CMN	18-Sep-2008	5-Jan-2010	855	7,645.38	8,958.82	-2,311.43	473	Long-Term
NEWP NEWPORT CORP CMN	18-Jul-2008	13-Jan-2010	165	1,488.04	2,407.35	-921.31	1,275	Long-Term
NEWP NEWPORT CORP CMN	18-Jul-2008	14-Jan-2010	245	2,208.03	3,574.55	-1,366.52	1,276	Long-Term
COLM COLUMBIA SPORTSWEAR COMPANY COMMON STOCK	20-Jul-2008	2-Feb-2010	110	4,822.08	4,824.16	-2.08	1,283	Long-Term
CASC CASCADE CORP CMN	18-Jul-2008	18-Feb-2010	255	7,429.44	8,605.91	-2,176.48	1,311	Long-Term
NDSN NORDSON CORP CMN	12-Mar-2008	23-Feb-2010	85	4,225.89	3,485.80	761.09	713	Long-Term
SFD SMITHFIELD FOODS INC CMN	18-Jul-2008	23-Feb-2010	180	3,022.18	5,226.23	-2,204.07	1,316	Long-Term
NEWP NEWPORT CORP CMN	18-Jul-2008	23-Feb-2010	885	7,024.04	9,702.35	-2,678.31	1,316	Long-Term
AMG AFFILIATED MANAGERS GROUP INC CMN	10-Jun-2008	23-Feb-2010	55	3,862.60	5,462.29	-1,599.69	823	Long-Term
AGCO AGCO CORP CMN	18-Jul-2008	23-Feb-2010	40	1,353.58	945.984	407.6	1,316	Long-Term
ARJ ARCH CHEMICAL INC CMN	28-Sep-2007	23-Feb-2010	150	4,593.44	7,102.38	-2,508.92	679	Long-Term
DAR DARLING INTERNATIONAL INC CMN	18-Sep-2008	23-Feb-2010	100	821.88	1,164.54	-342.56	522	Long-Term
CASC CASCADE CORP CMN	18-Jul-2008	23-Feb-2010	55	2,625.76	3,443.63	-817.87	1,318	Long-Term
COLM COLUMBIA SPORTSWEAR COMPANY COMMON STOCK	20-Jul-2008	23-Feb-2010	65	2,959.41	2,650.64	108.77	1,314	Long-Term
NEWP NEWPORT CORP CMN	18-Jul-2008	11-Mar-2010	180	1,883.85	2,334.40	-470.75	1,332	Long-Term
TSCO TRACTOR SUPPLY CO CMN	10-Jun-2008	28-Mar-2010	25	1,454.78	832.435	622.34	654	Long-Term
TRN TRINITY INDUSTRIES INC (DEL) CMN	18-Jul-2008	28-Mar-2010	220	4,487.84	7,414.13	-2,926.19	1,347	Long-Term
URS URS CORPORATION CMN	7-Feb-2008	28-Mar-2010	80	2,385.16	2,881.28	-283.3	777	Long-Term
URS URS CORPORATION CMN	27-Feb-2008	28-Mar-2010	15	741.28	608.051	133.24	757	Long-Term
TSCO TRACTOR SUPPLY CO CMN	24-May-2008	28-Mar-2010	25	1,454.78	868.895	585.88	653	Long-Term
TSCO TRACTOR SUPPLY CO CMN	24-May-2008	28-Mar-2010	20	1,163.62	718.959	444.67	653	Long-Term
PIL POLARIS INDS INC CMN	24-Aug-2008	28-Mar-2010	30	1,543.03	1,088.47	454.56	1,510	Long-Term
CBJ CHICAGO BRIDGE & IRON COMPANY CMN SERIES	1-Oct-2008	28-Mar-2010	80	1,382.41	1,131.12	251.29	541	Long-Term
OGE OGE ENERGY CORP (HOLDING CO) CMN	18-Jul-2008	28-Mar-2010	100	3,788.95	3,585.00	203.95	1,347	Long-Term
AGCO AGCO CORP CMN	18-Jul-2008	28-Mar-2010	80	2,663.51	1,891.97	871.54	1,347	Long-Term
DAR DARLING INTERNATIONAL INC CMN	19-Sep-2008	28-Mar-2010	355	3,207.30	4,134.12	-926.82	553	Long-Term
PIL POLARIS INDS INC CMN	1-Mar-2007	28-Mar-2010	15	771.51	718.874	52.64	1,120	Long-Term
PIL POLARIS INDS INC CMN	1-Mar-2007	28-Mar-2010	30	1,543.03	1,437.75	105.28	1,120	Long-Term
CBJ CHICAGO BRIDGE & IRON COMPANY CMN SERIES	3-Oct-2008	28-Mar-2010	70	1,824.47	1,180.73	433.75	538	Long-Term
CBJ CHICAGO BRIDGE & IRON COMPANY CMN SERIES	3-Oct-2008	28-Mar-2010	60	1,382.41	1,020.82	371.70	538	Long-Term
TRN TRINITY INDUSTRIES INC (DEL) CMN	7-Sep-2008	13-Apr-2010	175	3,955.68	5,568.66	-1,612.98	1,314	Long-Term
SFD SMITHFIELD FOODS INC CMN	4-May-2007	13-Apr-2010	20	387.88	611.98	-224.12	1,075	Long-Term
SFD SMITHFIELD FOODS INC CMN	15-May-2007	13-Apr-2010	30	598.79	923.1	-324.31	1,084	Long-Term
SFD SMITHFIELD FOODS INC CMN	20-Jun-2007	13-Apr-2010	35	696.26	1,120.27	-424.01	1,028	Long-Term
SFD SMITHFIELD FOODS INC CMN	12-Jul-2007	13-Apr-2010	35	836.29	1,053.50	-217.21	1,006	Long-Term
SFD SMITHFIELD FOODS INC CMN	18-Jul-2008	13-Apr-2010	120	2,387.18	3,434.15	-1,046.97	1,365	Long-Term
TRN TRINITY INDUSTRIES INC (DEL) CMN	18-Jul-2008	13-Apr-2010	290	6,555.14	9,773.17	-3,218.04	1,355	Long-Term
TSCO TRACTOR SUPPLY CO CMN	10-Jun-2008	27-Apr-2010	55	3,827.19	1,631.38	1,995.81	886	Long-Term
PIL POLARIS INDS INC CMN	15-May-2007	27-Apr-2010	50	3,080.08	2,497.88	582.22	1,078	Long-Term
TRN TRINITY INDUSTRIES INC (DEL) CMN	8-Aug-2007	27-Apr-2010	80	1,575.20	2,141.40	-566.2	992	Long-Term
TRN TRINITY INDUSTRIES INC (DEL) CMN	29-Jun-2007	27-Apr-2010	40	1,050.13	1,658.03	-607.9	1,016	Long-Term
TRN TRINITY INDUSTRIES INC (DEL) CMN	8-Aug-2007	27-Apr-2010	10	262.53	341.25	-78.72	995	Long-Term
TRN TRINITY INDUSTRIES INC (DEL) CMN	7-Sep-2008	27-Apr-2010	185	4,956.86	5,864.78	-907.92	1,328	Long-Term
PIL POLARIS INDS INC CMN	1-Mar-2007	27-Apr-2010	40	2,484.03	1,017.00	1,467.03	1,152	Long-Term
PIL POLARIS INDS INC CMN	30-Mar-2007	27-Apr-2010	5	308.01	230.9	64.11	1,124	Long-Term
PIL POLARIS INDS INC CMN	11-Sep-2007	24-May-2010	80	4,742.01	3,528.00	1,214.01	988	Long-Term
PIL POLARIS INDS INC CMN	15-May-2007	24-May-2010	15	889.13	749.358	139.77	1,105	Long-Term
PIL POLARIS INDS INC CMN	8-Aug-2007	24-May-2010	25	1,481.88	1,174.08	307.82	1,018	Long-Term
PIL POLARIS INDS INC CMN	11-Sep-2007	25-May-2010	55	3,137.44	2,425.50	711.94	987	Long-Term
AGCO AGCO CORP CMN	18-Jul-2008	8-Aug-2010	135	4,944.96	3,192.70	1,752.26	1,480	Long-Term
CBJ CHICAGO BRIDGE & IRON COMPANY CMN SERIES	3-Oct-2008	8-Aug-2010	170	3,830.50	2,891.77	1,038.73	675	Long-Term
TSCO TRACTOR SUPPLY CO CMN	10-Jun-2008	17-Aug-2010	210	14,923.33	6,982.45	7,930.88	796	Long-Term
OGE OGE ENERGY CORP (HOLDING CO) CMN	18-Jul-2008	17-Aug-2010	45	1,621.05	1,613.25	277.8	1,491	Long-Term
AGCO AGCO CORP CMN	18-Jul-2008	17-Aug-2010	135	4,912.82	3,162.70	1,750.12	1,491	Long-Term
AMG AFFILIATED MANAGERS GROUP INC CMN	10-Jun-2008	17-Aug-2010	15	1,043.28	1,489.72	-446.46	798	Long-Term
AMG AFFILIATED MANAGERS GROUP INC CMN	11-Jun-2008	17-Aug-2010	10	885.51	963.65	-78.15	797	Long-Term
AMG AFFILIATED MANAGERS GROUP INC CMN	25-Nov-2008	17-Aug-2010	60	4,173.04	1,370.21	2,802.82	628	Long-Term
CBJ CHICAGO BRIDGE & IRON COMPANY CMN SERIES	3-Oct-2008	1-Sep-2010	210	4,723.74	3,572.18	1,151.56	898	Long-Term
NDSN NORDSON CORP CMN	12-Mar-2008	27-Sep-2010	75	5,385.01	3,999.00	1,386.01	929	Long-Term
COLM COLUMBIA SPORTSWEAR COMPANY COMMON STOCK	20-Jul-2008	27-Sep-2010	20	1,152.36	877.12	275.24	1,530	Long-Term
TSCO TRACTOR SUPPLY CO CMN	10-Jun-2008	27-Sep-2010	195	5,663.78	2,590.55	3,073.23	839	Long-Term
DAR DARLING INTERNATIONAL INC CMN	25-Nov-2008	30-Nov-2010	740	8,653.41	3,247.05	5,406.36	725	Long-Term
DAR DARLING INTERNATIONAL INC CMN	22-Sep-2008	30-Nov-2010	205	2,397.22	2,427.30	-30.08	799	Long-Term
CASC CASCADE CORP CMN	18-Jul-2008	3-Dec-2010	170	5,372.81	4,349.84	1,022.97	1,599	Long-Term
COLM COLUMBIA SPORTSWEAR COMPANY COMMON STOCK	11-Sep-2008	27-Sep-2010	105	6,049.91	5,945.80	104.11	1,112	Long-Term
COLM COLUMBIA SPORTSWEAR COMPANY COMMON STOCK	26-Sep-2007	27-Sep-2010	15	684.27	842.783	-158.51	1,097	Long-Term
TRN TRINITY INDUSTRIES INC (DEL) CMN	11-Sep-2007	20-Oct-2010	30	724.07	1,078.02	-353.95	1,135	Long-Term
TRN TRINITY INDUSTRIES INC (DEL) CMN	8-Aug-2007	20-Oct-2010	115	2,775.80	4,104.35	-1,328.55	1,168	Long-Term
NEWP NEWPORT CORP CMN	17-Apr-2007	2-Nov-2010	43	635.18	728.787	-93.61	1,295	Long-Term
DAR DARLING INTERNATIONAL INC CMN	22-Sep-2008	29-Nov-2010	610	7,289.12	7,222.69	66.43	798	Long-Term
TRN TRINITY INDUSTRIES INC (DEL) CMN	12-Dec-2007	20-Oct-2010	295	7,120.03	8,189.35	-1,069.32	1,042	Long-Term
NEWP NEWPORT CORP CMN	18-Jul-2008	28-Oct-2010	715	8,599.78	10,431.85	-1,832.07	1,561	Long-Term
CBJ CHICAGO BRIDGE & IRON COMPANY CMN SERIES	3-Oct-2008	29-Oct-2010	230	5,765.22	3,812.39	1,952.83	755	Long-Term
NEWP NEWPORT CORP CMN	18-Jul-2008	29-Oct-2010	315	4,563.65	4,585.65	-22.00	1,584	Long-Term
NEWP NEWPORT CORP CMN	18-Jul-2008	1-Nov-2010	115	1,842.31	1,677.65	164.66	1,587	Long-Term
NEWP NEWPORT CORP CMN	17-Apr-2007	1-Nov-2010	70	989.89	1,135.19	-145.30	1,294	Long-Term
NEWP NEWPORT CORP CMN	27-Apr-2007	2-Nov-2010	90	1,266.35	1,415.14	-148.79	1,285	Long-Term
NDSN NORDSON CORP CMN	12-Mar-2008	23-Nov-2010	105	8,272.45	5,598.60	2,673.85	988	Long-Term
JRCC JAMES RIVER COAL COMPANY CMN	15-Aug-2008	23-Nov-2010	235	4,648.27	8,401.84	-3,753.57	630	Long-Term
NDSN NORDSON CORP CMN	12-Mar-2008	24-Nov-2010	50	4,028.52	2,666.00	1,362.52	987	Long-Term
NDSN NORDSON CORP CMN	24-Jun-2008	24-Nov-2010	25	2,013.28	1,502.50	1,110.79	883	Long-Term
NDSN NORDSON CORP CMN	22-Aug-2008	24-Nov-2010	40	3,221.22	2,179.66	1,041.56	624	Long-Term
JRCC JAMES RIVER COAL COMPANY CMN	15-Aug-2008	24-Nov-2010	235	4,880.02	8,401.84	-3,521.82	631	Long-Term
DAR DARLING INTERNATIONAL INC CMN	18-Sep-2008	26-Nov-2010	80	1,072.48	1,048.09	24.39	801	Long-Term
BRNK BRINK'S HOME SECURITY HOLDINGS CMN	18-Mar-2009	18-Jan-2010	780	32,295.57	18,829.33	15,466.24	308	Short-Term
BRNK BRINK'S HOME SECURITY HOLDINGS CMN	17-Mar-2009	18-Jan-2010	265	10,972.21	8,043.36	2,928.85	308	Short-Term
BRNK BRINK'S HOME SECURITY HOLDINGS CMN	19-Mar-2009	18-Jan-2010	45	1,863.21	1,048.86	814.35	308	Short-Term
BRNK BRINK'S HOME SECURITY HOLDINGS CMN	4-May-2009	19-Jan-2010	80	2,484.27	1,615.17	869.1	260	Short-Term
BRNK BRINK'S HOME SECURITY HOLDINGS CMN	5-May-2009	19-Jan-2010	240	9,937.10	8,370.61	1,566.49	259	Short-Term
BRNK BRINK'S HOME SECURITY HOLDINGS CMN	14-May-2009	19-Jan-2010	50	2,070.23	1,333.45	736.78	250	Short-Term
BRNK BRINK'S HOME SECURITY HOLDINGS CMN	25-Sep-2009	19-Jan-2010	170	7,038.78	5,215.26	1,823.52	116	Short-Term
BRNK BRINK'S HOME SECURITY HOLDINGS CMN	24-Nov-2009	19-Jan-2010	60	2,070.23	1,628.45	441.78	56	Short-Term
BRNK BRINK'S HOME SECURITY HOLDINGS CMN	31-Dec-2009	19-Jan-2010	100	4,140.46	3,304.18	836.28	18	Short-Term
CHATT CHATTEME INC CMN	1-Oct-2009	10-Feb-2010	355	33,192.50	23,182.93	9,999.57	132	Short-Term
CHATT CHATTEME INC CMN	30-Oct-2009	10-Feb-2010	120	11,220.00	7,648.47	3,571.53	103	Short-Term
JAS JO-ANN STORES, INC CMN	9-Oct-2009	28-Mar-2010	75	3,238.71	2,227.02	1,011.69	168	Short-Term
MDO MIDDLEBY CORP CMN	21-Aug-2008	28-Mar-2010	50	2,681.88	2,513.84	168.04	217	Short-Term
TOTAL				410,359.53		48,345.87		

Please note that the ability to download this information to an excel spreadsheet is solely for informational purposes as a convenience to you. In connection with any information you download, please carefully review the important information and notes at the bottom of each screen on the Website which may substantially affect your positions, transactions, gains or losses and any other information that you download.