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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , **and ending**

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Name of foundation MAVERICK LLOYD FOUNDATION C/O ARTHUR BERNDT,	**A** Employer identification number 36-7093389
Number and street (or P O box number if mail is not delivered to street address) PO BOX 99	Room/suite **B** Telephone number (802) 763-8680
City or town, state, and ZIP code SHARON, VT 05065	**C** If exemption application is pending, check here ▶ ☐ **D** 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 4,840,154.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	12.			STATEMENT 1
	4 Dividends and interest from securities	65,542.	65,542.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	63,102.			
	b Gross sales price for all assets on line 6a 1,062,461.				
	7 Capital gain net income (from Part IV, line 2)		63,102.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	128,656.	128,644.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages	61,200.	0.		61,200.
	15 Pension plans, employee benefits	7,914.	0.		7,914.
	16a Legal fees				
	b Accounting fees STMT 3	5,921.	0.		5,921.
	c Other professional fees STMT 4	15,169.	0.		15,169.
	17 Interest				
	18 Taxes STMT	6,376.	613.		5,763.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	4,828.	0.		4,828.
	22 Printing and publications				
	23 Other expenses STMT 6	17,421.	12,353.		5,068.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	118,829.	12,966.		105,863.
	25 Contributions, gifts, grants paid	191,000.			191,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	309,829.	12,966.		296,863.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-181,173.			
	b **Net investment income** (if negative, enter -0-)		115,678.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	408,508.	40,823.	40,823.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 7	4,093,427.	4,217,671.	4,716,124.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	83,207.	83,207.	83,207.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	4,585,142.	4,341,701.	4,840,154.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	4,585,142.	4,341,701.	
	30 **Total net assets or fund balances**	4,585,142.	4,341,701.	
	31 **Total liabilities and net assets/fund balances**	4,585,142.	4,341,701.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,585,142.
2	Enter amount from Part I, line 27a	2	-181,173.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,403,969.
5	Decreases not included in line 2 (itemize) ▶ CUMULATIVE COST BASIS ADJUSTMENT	5	62,268.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,341,701.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,062,461.		999,359.	63,102.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				63,102.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	63,102.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	205,998.	3,757,273.	.054826
2008	247,954.	4,622,482.	.053641
2007	252,000.	5,467,827.	.046088
2006	335,405.	4,675,457.	.071737
2005	203,362.	3,964,885.	.051291

2	Total of line 1, column (d)	2	.277583
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055517
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	4,545,313.
5	Multiply line 4 by line 3	5	252,342.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,157.
7	Add lines 5 and 6	7	253,499.
8	Enter qualifying distributions from Part XII, line 4	8	296,863.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	1,157.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,157.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,157.
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 400.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 1,100.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	1,500.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	343.
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 343. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ MAVERICKLLOYD.ORG

14 The books are in care of ▶ ARTHUR BERNDT Telephone no ▶ (802) 763-8680

Located at ▶ PO BOX 99, SHARON, VT ZIP+4 ▶ 05065

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ BERMUDA	16	Yes X	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	N/A	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53.4945-5(d).*	N/A ☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*		6b	X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ARTHUR BERNDT PO BOX 99 SHARON, VT 05065	TRUSTEE 1.00	0.	0.	0.
ANNE BERNDT PO BOX 99 SHARON, VT 05065	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JENNIFER BERMAN 201 PLEASANT ST, HOLYOKE, MA 01040	40.00	61,200.	0.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	4,465,680.
b	Average of monthly cash balances		1b	65,644.
c	Fair market value of all other assets		1c	83,207.
d	**Total** (add lines 1a, b, and c)		1d	4,614,531.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	4,614,531.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	69,218.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	4,545,313.
6	**Minimum investment return.** Enter 5% of line 5		6	227,266.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	227,266.
2a	Tax on investment income for 2010 from Part VI, line 5	2a 1,157.		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	1,157.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	226,109.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	226,109.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	226,109.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	296,863.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	296,863.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	1,157.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	295,706.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				226,109.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005 11,394.				
b From 2006 106,502.				
c From 2007				
d From 2008 17,782.				
e From 2009 18,910.				
f **Total** of lines 3a through e	154,588.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 296,863.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				226,109.
e Remaining amount distributed out of corpus	70,754.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	225,342.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	11,394.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	213,948.			
10 Analysis of line 9				
a Excess from 2006 106,502.				
b Excess from 2007				
c Excess from 2008 17,782.				
d Excess from 2009 18,910.				
e Excess from 2010 70,754.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED LIST				191,000.
Total			▶ 3a	191,000.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	12.	
4 Dividends and interest from securities			14	65,542.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	63,102.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		128,656.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	128,656.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

X [signature] Signature of officer or trustee — X 6-29-11 Date — TRUSTEE Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Wendy C Gilwee	Wendy C Gilwee CPA	6/28/11		

Firm's name ▶ SULLIVAN, POWERS & COMPANY — Firm's EIN ▶

Firm's address ▶ 77 BARRE ST PO BOX 947 MONTPELIER, VT 05601 — Phone no 802-223-2352

Form **990-PF** (2010)

023622
04-26-11

MAVERICK LLOYD FOUNDATION
#36-7093389
2010

PART II

Cost Basis - Hedge Funds:

CRM Windridge Fund Ltd	$ 370,000
Whippoorwill Offshore Distressed Opportunity Fund, Ltd	$ 314,000
Raimius Value and Opportunity Fund, Ltd	$ 557,000
Meisrow Absolute Return Fund, Ltd	$ 669,000
Lighthouse Global Long/Short Fund Ltd	$ 612,000
Berens Global Value Fund, Ltd	$ 335,000
Subtotal	$ 2,857,000
Plus Cost basis Jeffries & Co (see attached)	$ 1,360,671
Total cost basis per Balance Sheet, Part II, line 10a	$ 4,217,671

Maverick Lloyd Foundation
Investments by month for Support schedule EIN 36-7093389
2010

Account #	F81-332666		643157		643165		643173	
	Cash	Equities	Cash	Equities	Cash	Equities	Cash	Equities
January	2 95	0 00	2,752 48	166,943 13	7,583 75	152,315 25	6,558 56	155,386 96
February	25,130 00	0 00	2,491 67	171,803 21	2,116 53	163,479 68	5,505 77	156,223 43
March	26,467 96	0 00	907 03	183,429 62	2,829 35	176,416 22	4,939 47	166,420 77
April	1,573 99	0 00	1,375 85	183,602 83	2,671 17	180,460 15	6,646 56	164,113 98
May	23 55	0 00	434 70	167,358 79	0 68	166,596 67	93 50	149,897 33
June	507 35	0 00	802 52	156,810 72	1,662 18	155,276 49	4,594 19	145,561 91
July	11,589 61	0 00	5,280 76	163,568 70	1,163 11	158,433 19	6,238 38	152,029 40
August	12,731 65	0 00	7 49	0 00	0 00	0 00	121 86	0 00
September	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
October	0 00	0 00	0 00	0 00	0 00	0 00	0.00	0 00
November	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
December	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
	78,027 06	0 00	14,052 50	1,193,517 00	18,026 77	1,152,977 65	34,698 29	1,089,633 78

Account #	643181		643238		001379		001665	
	Cash	Equities	Cash	Equities	Cash	Equities	Cash	Equities
January	1,187 53	837,135 96	9,853 52	159,797 77	0 00	0 00	0 00	0 00
February	2,961 65	792,365 01	5,027 32	169,506 81	0 00	0 00	0 00	0 00
March	5,355 72	804,974 59	3,590 03	180,222 11	0 00	0 00	0 00	0 00
April	7,925 02	811,700 38	2,534 03	185,508 43	0 00	0 00	0 00	0 00
May	359 70	789,476 03	1,744 90	171,550 85	0 00	0 00	0 00	0 00
June	3,525 28	787,760 72	5,092 72	161,158 62	0 00	0 00	0 00	0 00
July	406 97	778,039 09	3,319 38	170,625 93	0 00	0 00	0 00	0 00
August	370 48	0 00	10 50	0 00	3,179 95	782,256 25	2,989 01	161,816 70
September	0 00	0 00	0 00	0 00	1,264 34	793,456 16	3,756 20	176,772 68
October	0 00	0 00	0 00	0 00	1,114 16	746,181 36	210 66	0 00
November	0 00	0 00	0 00	0 00	1,082 44	737,595 87	0 00	0 00
December	0 00	0 00	0 00	0 00	19,862 32	724,061 09	44 28	0 00
	22,092 35	5,601,451 78	31,172 40	1,198,370 52	26,503 21	3,783,550 73	7,000 15	338,589 38

Account #	001855		1871		001889		29758	
	Cash	Equities	Cash	Equities	Cash	Equities	Cash	Equities
January	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
February	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
March	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00

April	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0.00
May	0 00	0 00	0 00	0 00	0.00	0 00	0 00	0.00
June	0 00	0 00	0 00	0 00	0 00	0 00	0 00	0 00
July	0 00	0.00	0 00	0 00	0 00	0 00	0 00	0 00
August	4,515 29	156,481 51	1,570 19	146,896 99	5,266 56	147,989 81	0 00	0 00
September	351 41	174,303 51	1,595 85	168,562 31	5,726 95	164,623 51	(0 20)	0 00
October	10,788 23	244,393 60	9,299 66	198,871 91	10,728 89	243,251 39	(0 11)	0 00
November	5,506 77	251,147 45	6,952 41	203,116 69	6,510 69	238,478 10	16 21	0.00
December	3,523 77	264,316 14	7,890 04	216,535 43	7,000 65	252,047 52	0 00	0 00
	24,685 47	1,090,642 21	27,308 15	933,983 33	35,233.74	1,046,390 33	15 90	0 00

Account #	Ledyard Bank Cash
January	90,187 55
February	24,465 63
March	11,447 26
April	15,564 03
May	33,473 16
June	14,374 66
July	37,864 30
August	6,706 99
September	25,076 03
October	12,107 14
November	11,292 43
December	2,502 18
	285,061 36

	Total Cash	Total Equities
January	**118,126.34**	**4,262,314.74**
February	**67,698.57**	**4,410,112.95**
March	**55,536.82**	**4,524,755.19**
April	**38,290.65**	**4,572,810.22**
May	**36,130.19**	**4,425,261.97**
June	**30,558.90**	**4,370,119.82**
July	**65,862.51**	**4,451,767.80**
August	**37,469.97**	**4,399,907.68**
September	**37,770.58**	**4,571,606.18**
October	**44,248.63**	**4,602,394.62**
November	**31,360.95**	**4,563,412.59**
December	**40,823.24**	**4,716,123.76**
	603,877.35	**53,870,587.52**
proof	*603,877 35*	*53,870,587 52*

Equity balance only	CRM	Berens	Lighthouse	Meisrow	Ramius	Whipporwill (2 stmts)	
January	336,735 60	363,991 00	566,508 27	663,606 55	582,362 00	277,532 25	
February	362,861 28	366,519 00	623,039 98	690,216 32	596,012 71	318,085 52	
March	354,775 61	385,635 00	634,665 61	698,545 83	617,397 14	322,272 69	
April	347,640 87	397,181 00	638,744 54	701,245 50	635,252 54	327,360 00	
May	342,217 31	377,540 00	623,256 80	689,005 72	622,406 47	325,956 00	
June	353,241 75	378,291 00	612,454 51	684,175 25	614,512 85	320,876 00	
July	347,022 50	387,257 00	622,835 68	686,707 46	663,373 85	321,875 00	
August	349,877 50	388,703 00	618,893 74	690,099 51	633,753 02	323,139 65	
September	352,731 99	413,212 00	626,974 82	698,251 77	677,079 30	325,638 13	
October	344,312 15	422,257 00	638,572 48	705,813 54	713,611 86	345,129 33	
November	333,315 52	422,933 71	639,500 46	706,769 68	684,771 63	345,783 48	
December	342,664 91	436,383 00	653,298 19	716,738 04	756,520 08	353,559 36	
	4,167,396 99	4,739,902 71	7,498,745 08	8,331,175 17	7,797,053 45	3,907,207 41	36,441,480 81

[redacted]

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 3.00% of Portfolio									
Cash Balance				0.00	6,755 57				
FDIC Insured Bank Deposits									
LIQUID INSURED DEPOSITS									
13,106.750	12/01/10	JWT001379	12/31/10	1,082.44	13,106.75	0.34	0.55	N/A	N/A
Total FDIC Insured Bank Deposits				**$1,082.44**	**$13,106.75**	**$0.34**	**$0.55**		
Total Cash, Money Funds, and FDIC Deposits				**$1,082.44**	**$19,862.32**	**$0.34**	**$0.55**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 97.00% of Portfolio								
Mutual Funds								
[3]HELIOS STRATEGIC MORTGAGE INCOME FD INC			*Security Identifier:* HSM					
Closed End Fund								
Dividend Option. Cash, Capital Gains Option: Cash								
800.000	09/16/09	6.4000	5,120.00	6.1900	4,952 00	-168.00	504.00	10.17%
875.000	09/16/09	6.4500	5,643.75	6.1900	5,416.25	-227.50	551.25	10.17%
1,000.000	09/16/09	6.4300	6,430.00	6.1900	6,190.00	-240.00	630.00	10.17%
1,200.000	09/16/09	6.4500	7,740.00	6.1900	7,428.00	-312.00	756.00	10.17%
300.000	10/02/09	6.4200	1,926.00	6.1900	1,857.00	-69.00	189.00	10.17%
1,100.000	10/02/09	6.3900	7,029.00	6.1900	6,809.00	-220.00	693.00	10.17%
645.000	10/20/09	5.9600	3,844.20	6.1900	3,992.55	148.35	406.35	10.17%
500.000	01/06/10	6.0000	3,000.00	6.1900	3,095.00	95.00	315.00	10.17%
6,420.000	**Total**		**$40,732.95**		**$39,739.80**	**-$993.15**	**$4,044.60**	
[3]*PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS			*Security Identifier:* PTTRX					
Open End Fund								
Dividend Option. Cash, Capital Gains Option: Cash								
6,912.442	09/16/09	10.8750	75,175.29	10.8500	75,000.00	-175.29	2,435.89	3.24%
14.179	09/30/09	10.8750	154.20	10.8500	153.84	-0.36	5.00	3.24%
2,470.265	10/02/09	10.8750	26,865.02	10.8500	26,802.38	-62.64	870.50	3.24%
1,056.725	10/20/09	10.8750	11,492.26	10.8500	11,465.47	-26.79	372.38	3.24%
39.696	10/30/09	10.8750	431.71	10.8500	430.70	-1.01	13.99	3.24%
35.379	11/30/09	10.8750	384.76	10.8500	383.86	-0.90	12.47	3.24%
23.368	12/09/09	10.8760	254.14	10.8500	253.54	-0.60	8.23	3.24%
84.007	12/09/09	10.8750	913.61	10.8500	911.48	-2.13	29.60	3.24%
34 159	12/31/09	10.8750	371.49	10.8500	370.63	-0.86	12.04	3.24%
1,657.459	01/06/10	10.8750	18,025.45	10.8500	17,983.42	-42.03	584.08	3.24%
12,327.679	**Total**		**$134,067.93**		**$133,755.32**	**-$312.61**	**$4,344.18**	

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
[3]RIDGEWORTH SEIX FLOATING RATE HIGH INCOME FUND CLASS I			*Security Identifier:* SAMBX					
Open End Fund								
Dividend Option. Cash, Capital Gains Option. Cash								
10,038.584	09/16/09	8.5250	85,582.16	8.9500	89,845.33	4,263.17	5,274.73	5.87%
26.623	09/30/09	8.5250	226.97	8.9500	238.28	11.31	13 99	5.87%
4,230.317	10/02/09	8.5250	36,064.82	8.9500	37,861.34	1,796.52	2,222.80	5.87%
1,801.170	10/20/09	8.5250	15,355.55	8 9500	16,120.47	764.92	946.42	5.87%
80 416	10/30/09	8.5250	685.57	8.9500	719.72	34.15	42.25	5.87%
88.658	11/30/09	8.5250	755.84	8.9500	793.49	37.65	46.59	5.87%
93.788	12/31/09	8.5250	799.57	8.9500	839.40	39.83	49.28	5.87%
1,722.158	01/06/10	8.5250	14,681.96	8.9500	15,413.31	731.35	904.90	5.87%
18,081.714	**Total**		**$154,152.44**		**$161,831.34**	**$7,678.90**	**$9,500.96**	
[3]TCW TOTAL RETURN BOND FUND CLASS I			*Security Identifier:* TGLMX					
Open End Fund								
Dividend Option. Cash, Capital Gains Option· Cash								
12,113.394	09/16/09	10.0460	121,686.77	9.9200	120,164.87	-1,521 90	9,206.17	7.66%
107 779	09/30/09	10.0460	1,082.71	9.9200	1,069.17	-13.54	81.91	7.66%
5,346.535	10/02/09	10.0460	53,709.35	9.9200	53,037.63	-671.72	4,063.36	7.66%
2,275.862	10/20/09	10.0460	22,862.49	9.9200	22,576.55	-285.94	1,729.65	7.66%
150.322	11/02/09	10.0460	1,510.08	9.9200	1,491.19	-18.89	114.24	7.66%
150.725	11/30/09	10.0460	1,514.13	9.9200	1,495.19	-18.94	114.55	7.66%
326.817	12/30/09	10.0460	3,283.08	9.9200	3,242.03	-41.05	248.40	7.66%
20,471.434	**Total**		**$205,648.61**		**$203,076.63**	**-$2,571.98**	**$15,558.28**	
[3]TEMPLETON GLOBAL BOND FUND ADVISOR CLASS			*Security Identifier:* TGBAX					
Open End Fund								
Dividend Option· Cash, Capital Gains Option· Cash								
8,064.516	09/16/09	12.4960	100,772.24	13.5600	109,354.84	8,582.60	4,946.77	4.52%
2,884.615	10/02/09	12.4960	36,045.45	13.5600	39,115.38	3,069.93	1,769.42	4.52%
41 103	10/19/09	12.4960	513.61	13.5600	557.36	43.75	25.21	4.52%
1,218.354	10/20/09	12.4960	15,224.26	13.5600	16,520.88	1,296.62	747.34	4.52%
45.615	11/18/09	12.4960	569.99	13.5600	618.54	48.55	27.98	4.52%

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#1 Brokerage Statement, 2009 2010
DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
TEMPLETON GLOBAL BOND FUND ADVISOR *(continued)*								
46.354	12/17/09	12.4960	579 23	13.5600	628.56	49.33	28.43	4.52%
1,391.036	01/06/10	12.4960	17,382.06	13.5600	18,862.44	1,480.38	853.27	4.52%
13,691.593	**Total**		**$171,086.84**		**$185,658.00**	**$14,571.16**	**$8,398.42**	
Total Mutual Funds			**$705,688.77**		**$724,061.09**	**$18,372.32**	**$41,846.44**	
Total Mutual Funds			**$705,688.77**		**$724,061.09**	**$18,372.32**	**$41,846.44**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$725,551.09**	**$743,923.41**	**$18,372.32**	**$0.00**	**$41,846.99**

[3] The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U S dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

[redacted]

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	-4,759 88	5,107.46	19,913.78
Long-Term Gain/Loss	0.00	14,850.19	3,596.90
Net Gain/Loss	**-4,759.88**	**19,957.65**	**23,510.68**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Professional: PH4
WENNER / EDWARDS
ONE MONTGOMERY STREET, 24TH FL
SAN FRANCISCO CA 94104-5524

Contact Information
Telephone Number: (415) 229-1550

Customer Service Information
Customer Service Telephone Number: (800) 727-0544
Web Site: www.jefferies.com

Portfolio Manager: ALETHEIA RESEARCH AND MANAGEMENT

Portfolio Investment Style: LARGE CAPITALIZATION GROWTH

As you requested, copies of this statement have been sent to:
ALETHEIA RESEARCH & MANAGEMENT,

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio									
Cash Balance				0.00	146.04				
FDIC Insured Bank Deposits									
LIQUID INSURED DEPOSITS									
3,377.730	12/01/10	JW4001855	12/31/10	5,506.77	3,377.73	0.15	0.82	N/A	N/A
Total FDIC Insured Bank Deposits				**$5,506.77**	**$3,377.73**	**$0.15**	**$0.82**		
Total Cash, Money Funds, and FDIC Deposits				**$5,506.77**	**$3,523.77**	**$0.15**	**$0.82**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 95.00% of Portfolio								
Common Stocks								
AOL INC COM			*Security Identifier:* AOL					
Dividend Option. Cash								
125 000	10/12/10	24.3790	3,047.35	23.7100	2,963.75	-83.60		
20.000	11/10/10	27.0660	541.31	23.7100	474.20	-67.11		
145.000	**Total**		**$3,588.66**		**$3,437.95**	**-$150.71**	**$0.00**	

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#1 Brokerage Statement, 2009 2010
DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ATP OIL & GAS CORP COM			*Security Identifier:* ATPG					
Dividend Option: Cash								
210.000	10/12/10	16.7580	3,519.18	16.7400	3,515.40	-3.78		
ADVANCED MICRO DEVICES INC COM			*Security Identifier:* AMD					
Dividend Option: Cash								
430.000	10/12/10	7.3090	3,142.66	8.1800	3,517.40	374.74		
ALLSTATE CORP			*Security Identifier:* ALL					
Dividend Option: Cash								
130.000	10/12/10	32.5090	4,226.14	31.8800	4,144.40	-81.74	104.00	2.50%
BABCOCK & WILCOX CO NEW COM			*Security Identifier:* BWC					
Dividend Option. Cash								
135.000	10/12/10	22.5600	3,045.59	25.5900	3,454.65	409.06		
[3]BANK OF AMERICA COM			*Security Identifier:* BAC					
Dividend Option Cash								
110.000	09/22/09	17.6400	1,940.40	13.3400	1,467.40	-473.00	4.40	0.29%
45.000	10/05/09	16.7800	755.10	13.3400	600.30	-154.80	1.80	0.29%
21.000	10/21/09	17.0000	357 00	13.3400	280.14	-76 86	0 84	0.29%
62.000	12/04/09	15.7800	978.36	13.3400	827.08	-151.28	2.48	0.29%
14.000	01/08/10	17.0100	238.14	13.3400	186.76	-51.38	0.56	0.29%
70.000	10/12/10	13.4900	944.30	13.3400	933.80	-10 50	2.80	0.29%
322.000	**Total**		**$5,213.30**		**$4,295.48**	**-$917.82**	**$12.88**	
BARNES & NOBLE INC COM			*Security Identifier:* BKS					
Dividend Option: Cash								
410.000	10/12/10	16.2690	6,670.21	14.1500	5,801.50	-868.71	410.00	7.06%
BARRICK GOLD CORP COM			*Security Identifier:* ABX					
ISIN#CA0679011084								
Dividend Option. Cash								
150.000	10/12/10	48.4890	7,273.32	53.1800	7,977.00	703.68		
[3]BOEING CO COM			*Security Identifier:* BA					
Dividend Option: Cash								
5.000	10/21/09	51.4400	257.20	65.2600	326.30	69.10	8.40	2.57%
25.000	07/22/10	66.5710	1,664.28	65.2600	1,631.50	-32.78	42.00	2.57%

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#1 Brokerage Statement
2009 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
BOEING CO COM *(continued)*								
13.000	07/23/10	68.1090	885.42	65.2600	848.38	-37.04	21.84	2.57%
6.000	07/26/10	68.5880	411.53	65.2600	391.56	-19.97	10.08	2.57%
3.000	07/28/10	67.6070	202.82	65.2600	195.78	-7.04	5.04	2.57%
3.000	07/30/10	67.9600	203.88	65.2600	195.78	-8.10	5.04	2.57%
55.000	**Total**		**$3,625.13**		**$3,589.30**	**-$35.83**	**$92.40**	
CANADIAN NATURAL RES LTD			*Security Identifier:* CNQ					
ISIN#CA1363851017								
Dividend Option: Cash								
150.000	10/12/10	37.5700	5,635.49	44.4200	6,663.00	1,027.51		
70.000	11/29/10	38.6410	2,704.87	44.4200	3,109.40	404.53		
220.000	**Total**		**$8,340.36**		**$9,772.40**	**$1,432.04**	**$0.00**	
CATERPILLAR INC			*Security Identifier:* CAT					
Dividend Option. Cash								
65.000	10/12/10	79.5200	5,168.80	93.6600	6,087.90	919.10	114.40	1.87%
CITIGROUP INC COM			*Security Identifier:* C					
Dividend Option. Cash								
595.000	10/12/10	4.2200	2,510.90	4.7300	2,814.35	303.45		
COCA COLA COMPANY			*Security Identifier:* KO					
Dividend Option. Cash								
175.000	10/12/10	59.6990	10,447.24	65.7700	11,509 75	1,062.51	308.00	2.67%
COEUR D ALENE MINES CORP IDAHO COM NEW			*Security Identifier:* CDE					
Dividend Option. Cash								
140.000	10/12/10	19.8280	2,775.92	27.3200	3,824.80	1,048.88		
CONTINENTAL RES INC COM			*Security Identifier:* CLR					
Dividend Option. Cash								
165.000	10/12/10	48.1400	7,943.08	58.8500	9,710.25	1,767.17		
CROWN CASTLE INTL CORP COM			*Security Identifier:* CCI					
Dividend Option: Cash								
105.000	12/27/10	42.9180	4,506.34	43.8300	4,602.15	95.81		
[3]DEERE & CO			*Security Identifier:* DE					
Dividend Option Cash								
42.000	09/22/09	46.0700	1,934.94	83.0500	3,488.10	1,553.16	58.80	1.68%
22.000	10/05/09	42.1200	926.64	83.0500	1,827.10	900.46	30.80	1.68%
4.000	10/21/09	46.9800	187.92	83.0500	332.20	144.28	5.60	1.68%
5.000	10/12/10	74.7400	373.70	83.0500	415.25	41.55	7.00	1.68%
73.000	**Total**		**$3,423.20**		**$6,062.65**	**$2,639.45**	**$102.20**	

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
DEVON ENERGY CORP NEW COM			*Security Identifier:* DVN					
Dividend Option. Cash								
35.000	10/12/10	66.9200	2,342.20	78.5100	2,747.85	405.65	22.40	0.81%
DOLE FOOD CO INC NEW			*Security Identifier:* DOLE					
Dividend Option Cash								
305.000	12/27/10	13.7020	4,179.00	13.5100	4,120.55	-58.45		
DR PEPPER SNAPPLE GROUP INC COM			*Security Identifier:* DPS					
ISIN#US26138E1091								
Dividend Option· Cash								
75.000	10/12/10	34.8600	2,614.50	35.1600	2,637.00	22.50	75 00	2.84%
[3]EBAY INC COM			*Security Identifier:* EBAY					
Dividend Option Cash								
104.000	08/18/10	22.8000	2,371.23	27.8300	2,894.32	523.09		
45.000	11/10/10	30.8390	1,387.76	27.8300	1,252.35	-135.41		
149.000	**Total**		**$3,758.99**		**$4,146.67**	**$387.68**	**$0.00**	
[3]EMERSON ELEC CO COM			*Security Identifier:* EMR					
Dividend Option Cash								
10.000	09/22/09	40.4200	404.20	57.1700	571.70	167.50	13 80	2.41%
19.000	10/05/09	38.4200	729.98	57.1700	1,086.23	356.25	26.22	2.41%
7.000	10/21/09	40.0200	280.14	57.1700	400.19	120.05	9.66	2.41%
7.000	11/16/09	42.8600	300.02	57.1700	400.19	100.17	9.66	2.41%
10 000	11/16/09	42.5920	425.92	57.1700	571.70	145.78	13.80	2.41%
10 000	01/07/10	43.3550	433.55	57.1700	571.70	138.15	13.80	2.41%
63.000	**Total**		**$2,573.81**		**$3,601.71**	**$1,027.90**	**$86.94**	
EXXON MOBIL CORP COM			*Security Identifier:* XOM					
Dividend Option. Cash								
160.000	10/12/10	64.7680	10,362.88	73.1200	11,699.20	1,336.32	281.60	2.40%
FLUOR CORP NEW COM			*Security Identifier:* FLR					
Dividend Option· Cash								
45.000	11/10/10	55.3650	2,491.44	66.2600	2,981.70	490.26	22.50	0.75%

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
GOLDCORP INC NEW COM ISIN#CA3809564097			*Security Identifier:* GG					
Dividend Option. Cash								
115.000	10/12/10	43.9880	5,058.62	45.9800	5,287.70	229 08		
HESS CORP COM			*Security Identifier:* HES					
Dividend Option: Cash								
75.000	10/12/10	62.7800	4,708.50	76.5400	5,740.50	1,032.00	30.00	0.52%
INTEL CORP COM			*Security Identifier:* INTC					
Dividend Option: Cash								
225.000	10/12/10	19.7880	4,452.30	21.0300	4,731.75	279.45	141.75	2.99%
90.000	12/27/10	20.7590	1,868.31	21.0300	1,892.70	24.39	56.70	2.99%
315.000	**Total**		**$6,320.61**		**$6,624.45**	**$303.84**	**$198.45**	
[3]INTERNATIONAL BUSINESS MACHS CORP COM			*Security Identifier* IBM					
Dividend Option Cash								
21.000	09/22/09	121.4500	2,550.45	146.7600	3,081.96	531.51	54.60	1.77%
7.000	10/05/09	118.9000	832.30	146.7600	1,027.32	195.02	18.20	1.77%
3.000	10/21/09	123.4100	370.23	146.7600	440.28	70.05	7.80	1.77%
3.000	01/07/10	129.6000	388.80	146.7600	440.28	51.48	7.80	1.77%
15.000	10/12/10	139.9700	2,099.55	146.7600	2,201.40	101.85	39.00	1.77%
49.000	**Total**		**$6,241.33**		**$7,191.24**	**$949.91**	**$127.40**	
IRIDIUM COMMUNICATIONS INC COM			*Security Identifier:* IRDM					
Dividend Option. Cash								
290 000	10/12/10	8.5900	2,490.98	8.2500	2,392.50	-98.48		
JOHNSON & JOHNSON COM			*Security Identifier:* JNJ					
Dividend Option. Cash								
55.000	10/12/10	63.3700	3,485.35	61.8500	3,401.75	-83.60	118.80	3.49%
[3]LAS VEGAS SANDS CORP COM			*Security Identifier:* LVS					
Dividend Option. Cash								
36.000	08/03/10	28.1600	1,013.75	45.9500	1,654 20	640.45		
29.000	08/04/10	28.7810	834.66	45.9500	1,332.55	497.89		
44.000	08/04/10	28.5700	1,257.08	45.9500	2,021.80	764.72		
5.000	10/12/10	39.0100	195.05	45.9500	229.75	34.70		
114.000	**Total**		**$3,300.54**		**$5,238.30**	**$1,937.76**	**$0.00**	
MGM RESORTS INTL COM			*Security Identifier:* MGM					
Dividend Option. Cash								
505.000	10/12/10	13 6480	6,892.24	14.8500	7,499.25	607.01		

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MCDONALDS CORP			*Security Identifier:* MCD					
Dividend Option: Cash								
100.000	10/12/10	75.7100	7,570.97	76.7600	7,676.00	105.03	244.00	3.17%
MCMORAN EXPLORATION CO COM			*Security Identifier:* MMR					
Dividend Option: Cash								
165.000	10/12/10	18.8580	3,111.57	17.1400	2,828.10	-283.47		
MERCK & CO INC NEW COM			*Security Identifier:* MRK					
Dividend Option: Cash								
110.000	11/29/10	34.3620	3,779.86	36.0400	3,964.40	184.54	167.20	4.21%
MOSAIC CO COM			*Security Identifier:* MOS					
Dividend Option: Cash								
55.000	10/12/10	68.5100	3,768.04	76.3600	4,199.80	431.76	11.00	0.26%
25.000	12/27/10	71.0720	1,776.81	76.3600	1,909.00	132.19	5.00	0.26%
80.000	**Total**		**$5,544.85**		**$6,108.80**	**$563.95**	**$16.00**	
NALCO HLDG CO COM			*Security Identifier:* NLC					
Dividend Option: Cash								
110.000	11/11/10	30.2970	3,332.68	31.9400	3,513.40	180.72	15.40	0.43%
NEWMONT MINING CORP (HLDG CO)			*Security Identifier:* NEM					
Dividend Option: Cash								
170.000	10/12/10	61.9890	10,538.05	61.4300	10,443.10	-94.95	102.00	0.97%
NOBLE ENERGY INC COM			*Security Identifier:* NBL					
Dividend Option: Cash								
30.000	10/12/10	77.0100	2,310.30	86.0800	2,582.40	272.10	21.60	0.83%
20.000	11/10/10	84.3780	1,687.55	86.0800	1,721.60	34.05	14.40	0.83%
50.000	**Total**		**$3,997.85**		**$4,304.00**	**$306.15**	**$36.00**	
NOVAGOLD RES INC COM NEW			*Security Identifier:* NG					
Dividend Option: Cash								
265.000	10/12/10	9.3000	2,464.47	14.2700	3,781.55	1,317.08		
165.000	10/29/10	11.2100	1,849.64	14.2700	2,354.55	504.91		
430.000	**Total**		**$4,314.11**		**$6,136.10**	**$1,821.99**	**$0.00**	

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NOVELLUS SYSTEM INC			*Security Identifier:* NVLS					
Dividend Option: Cash								
90.000	10/12/10	26.8500	2,416.50	32.3200	2,908.80	492.30		
40.000	11/10/10	30.3000	1,212.00	32.3200	1,292.80	80.80		
130.000	**Total**		**$3,628.50**		**$4,201.60**	**$573.10**	**$0.00**	
PROCTER & GAMBLE CO COM			*Security Identifier:* PG					
Dividend Option Cash								
40.000	10/12/10	62.0900	2,483.60	64.3300	2,573.20	89.60	77.08	2.99%
SAIC INC COM			*Security Identifier:* SAI					
Dividend Option Cash								
195.000	10/12/10	16.0190	3,123.61	15.8600	3,092.70	-30.91		
SILVER WHEATON CORP COM			*Security Identifier:* SLW					
ISIN#CA8283361076								
Dividend Option. Cash								
90.000	10/12/10	26.7500	2,407.50	39.0400	3,513.60	1,106.10		
[3]SUNCOR ENERGY INC NEW COM			*Security Identifier:* SU					
ISIN#CA8672241079								
Dividend Option: Cash								
19.000	10/05/09	33.1200	629.28	38.2900	727.51	98.23		
8.000	10/21/09	38.1300	305.04	38.2900	306.32	1.28		
7.000	01/07/10	37.6200	263 34	38.2900	268.03	4.69		
17.000	04/05/10	35.0100	595.17	38.2900	650.93	55.76		
90 000	10/12/10	34.7400	3,126.60	38.2900	3,446.10	319.50		
141.000	**Total**		**$4,919.43**		**$5,398.89**	**$479.46**	**$0.00**	
SUNPOWER CORP COM CL A			*Security Identifier:* SPWRA					
Dividend Option. Cash								
260.000	10/12/10	14.4000	3,743.90	12.8300	3,335.80	-408.10		
UNITED CONTL HLDGS INC COM			*Security Identifier:* UAL					
Dividend Option Cash								
90.000	10/12/10	27.0000	2,430.00	23.8200	2,143.80	-286.20		
VOLKSWAGEN A G ADR (SPONS)			*Security Identifier:* VLKAY					
Dividend Option Cash								
115.000	11/10/10	27.4500	3,156.75	28.3200	3,256.80	100.05	36.01	1.10%
WAL MART STORES INC			*Security Identifier:* WMT					
Dividend Option. Cash								
150.000	10/12/10	53.9990	8,099.78	53.9300	8,089.50	-10.28	181.50	2.24%
WESTERN UN CO COM			*Security Identifier:* WU					
Dividend Option. Cash								
105.000	10/12/10	17.7380	1,862.54	18.5700	1,949.85	87.31	29.40	1.50%

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#1 Brokerage Statement
2009 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
WYNN RESORTS LTD COM			*Security Identifier* WYNN					
Dividend Option: Cash								
15 000	10/12/10	102.3700	1,535.55	103 8400	1,557.60	22.05	7.50	0.48%
Total Common Stocks			**$231,822.12**		**$253,514.94**	**$21,692.82**	**$3,019.06**	
Total Equities	240,805.46		**$231,822.12**		**$253,514.94**	**$21,692.82**	**$3,019.06**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 4.00% of Portfolio								
Exchange-Traded Products								
ISHARES SILVER TR ISHARES			*Security Identifier:* SLV					
Dividend Option: Cash; Capital Gains Option: Cash								
220.000	10/12/10	22.8390	5,024.54	30.1800	6,639.60	1,615.06		
SPDR GOLD TR GOLD SHS			*Security Identifier:* GLD					
Dividend Option: Cash, Capital Gains Option. Cash								
30.000	10/12/10	131.9600	3,958.80	138 7200	4,161.60	202.80		
Total Exchange-Traded Products			**$8,983.34**		**$10,801.20**	**$1,817.86**	**$0.00**	
Total Exchange-Traded Products			**$8,983.34**		**$10,801.20**	**$1,817.86**	**$0.00**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$244,329.23**	**$267,839.91**	**$23,510.68**	**$0.00**	**$3,019.88**

[3] The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking

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Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	1,619.75	16,425.86	23,442.23
Long-Term Gain/Loss	0.00	5,967.55	499.84
Net Gain/Loss	**1,619.75**	**22,393.41**	**23,942.07**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Professional: PH4
WENNER / EDWARDS
ONE MONTGOMERY STREET, 24TH FL
SAN FRANCISCO CA 94104-5524

Contact Information
Telephone Number: (415) 229-1550

Customer Service Information
Customer Service Telephone Number: (800) 727-0544
Web Site: www.jefferies.com

Portfolio Manager: FRED ALGER MANAGEMENT

Portfolio Investment Style: SMALL CAPITALIZATION GROWTH

As you requested, copies of this statement have been sent to:
FRED ALGER MANAGEMENT, INC.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 4.00% of Portfolio									
Cash Balance				0.00	2.08				
FDIC Insured Bank Deposits									
LIQUID INSURED DEPOSITS									
7,887.960	12/01/10	JW4001871	12/31/10	6,952.41	7,887.96	0.23	0.71	N/A	N/A
Total FDIC Insured Bank Deposits				**$6,952.41**	**$7,887.96**	**$0.23**	**$0.71**		
Total Cash, Money Funds, and FDIC Deposits				**$6,952.41**	**$7,890.04**	**$0.23**	**$0.71**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 96.00% of Portfolio								
Common Stocks								
PLATINUM UNDERWRITERS HLDGS			*Security Identifier:* PTP					
LTD SHS								
Dividend Option: Cash								
26.000	10/21/10	42.8500	1,114.10	44.9700	1,169.22	55.12	8.32	0.71%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MELLANOX TECHNOLOGIES LTD			*Security Identifier:* MLNX					
Dividend Option: Cash								
71.000	10/21/10	19.8700	1,410.77	26.1700	1,858.07	447.30		
[3]VISTAPRINT NV SHS			*Security Identifier:* VPRT					
Dividend Option. Cash								
9.000	12/10/09	57.2100	514.89	46.0000	414.00	-100.89		
14.000	05/24/10	44.6100	624.54	46.0000	644.00	19.46		
11.000	06/16/10	47.4140	521.55	46.0000	506.00	-15.55		
9.000	12/15/10	43.4490	391.04	46.0000	414.00	22.96		
43.000	**Total**		**$2,052.02**		**$1,978.00**	**-$74.02**	**$0.00**	
AAR CORP COM			*Security Identifier:* AIR					
Dividend Option. Cash								
45.000	11/05/10	23.9680	1,078.54	27.4700	1,236.15	157.61		
14.000	11/12/10	23.2490	325.49	27.4700	384.58	59.09		
13.000	12/16/10	27.5000	357.50	27.4700	357.11	-0.39		
72.000	**Total**		**$1,761.53**		**$1,977.84**	**$216.31**	**$0.00**	
ACME PACKET INC COM			*Security Identifier:* APKT					
Dividend Option. Cash								
23.000	12/02/10	52.6380	1,210.68	53.1600	1,222.68	12.00		
ACORDA THERAPEUTICS INC COM			*Security Identifier:* ACOR					
Dividend Option: Cash								
18.000	10/21/10	25.7450	463.41	27.2600	490.68	27.27		
ACTUANT CORP CL A NEW			*Security Identifier:* ATU					
Dividend Option: Cash								
82.000	10/21/10	22.9180	1,879.28	26.6200	2,182.84	303.56	3.28	0.15%
15.000	10/25/10	23.3090	349.64	26.6200	399.30	49.66	0.60	0.15%
97.000	**Total**		**$2,228.92**		**$2,582.14**	**$353.22**	**$3.88**	
AECOM TECHNOLOGY CORP COM			*Security Identifier:* ACM					
Dividend Option. Cash								
52.000	10/21/10	25.5200	1,327.04	27.9700	1,454.44	127.40		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
AECOM TECHNOLOGY CORP COM *(continued)*								
10.000	11/03/10	27.0290	270 29	27.9700	279.70	9.41		
62.000	**Total**		**$1,597.33**		**$1,734.14**	**$136.81**	**$0.00**	
AEROFLEX HLDG CORP COM			*Security Identifier:* ARX					
Dividend Option Cash								
73.000	12/16/10	15.2180	1,110.94	16.4500	1,200 85	89.91		
AMERICAN PUB ED INC COM			*Security Identifier:* APEI					
Dividend Option: Cash								
39.000	10/21/10	32.5600	1,269.84	37.2400	1,452.36	182.52		
AMERIGROUP CORP COM			*Security Identifier:* AGP					
Dividend Option Cash								
49.000	10/21/10	42.6800	2,091.32	43.9200	2,152.08	60.76		
5.000	12/03/10	44.9900	224.95	43.9200	219.60	-5.35		
54.000	**Total**		**$2,316.27**		**$2,371.68**	**$55.41**	**$0.00**	
ANCESTRY COM INC COM			*Security Identifier:* ACOM					
Dividend Option· Cash								
30.000	11/24/10	27.2780	818.33	28.3200	849.60	31.27		
17.000	12/08/10	30.2640	514.49	28.3200	481.44	-33.05		
9.000	12/17/10	29.6200	266.58	28.3200	254.88	-11.70		
56.000	**Total**		**$1,599.40**		**$1,585.92**	**-$13.48**	**$0.00**	
ANN TAYLOR STORES CORP COM			*Security Identifier:* ANN					
Dividend Option. Cash								
97.000	10/21/10	20.8780	2,025.17	27.3900	2,656.83	631.66		
APPLIED MICRO CIRCUITS CORP COM NEW			*Security Identifier:* AMCC					
Dividend Option. Cash								
170.000	10/21/10	8.8300	1,501.03	10.6800	1,815.60	314.57		
ARTHROCARE CORP COM			*Security Identifier:* ARTC					
Dividend Option· Cash								
39.000	10/21/10	26.9700	1,051.83	31.0600	1,211.34	159.51		
ARUBA NETWORKS INC COM			*Security Identifier:* ARUN					
Dividend Option: Cash								
84.000	10/21/10	19.2400	1,616.16	20.8800	1,753.92	137.76		
ATHEROS COMMUNICATIONS INC COM			*Security Identifier:* ATHR					
Dividend Option· Cash								
53.000	10/21/10	26.3700	1,397.61	35.9200	1,903.76	506.15		
7.000	11/03/10	31.1600	218.12	35.9200	251.44	33 32		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ATHEROS COMMUNICATIONS INC *(continued)*								
9.000	12/16/10	33.7290	303.56	35.9200	323.28	19.72		
69.000	**Total**		**$1,919.29**		**$2,478.48**	**$559.19**	**$0.00**	
AUXILIUM PHARMACEUTICALS INC			*Security Identifier:* AUXL					
COM								
Dividend Option: Cash								
57.000	10/21/10	24.8000	1,413.60	21.1000	1,202.70	-210.90		
BARNES GROUP INC COM			*Security Identifier:* B					
Dividend Option. Cash								
74.000	11/15/10	19.6880	1,456.91	20.6700	1,529 58	72.67	23.68	1.54%
13.000	12/02/10	19.7580	256.85	20.6700	268.71	11.86	4.16	1.54%
87.000	**Total**		**$1,713.76**		**$1,798.29**	**$84.53**	**$27.84**	
BROADSOFT INC COM			*Security Identifier:* BSFT					
Dividend Option. Cash								
58.000	12/17/10	23.4100	1,357.78	23.8800	1,385.04	27.26		
BRUKER CORP COM			*Security Identifier:* BRKR					
Dividend Option. Cash								
124.000	10/21/10	14.8060	1,835.94	16.6000	2,058.40	222.46		
CADENCE DESIGN SYS INC			*Security Identifier:* CDNS					
Dividend Option: Cash								
199.000	10/21/10	7 7390	1,540.02	8.2600	1,643.74	103.72		
42 000	12/08/10	8.2100	344.82	8.2600	346.92	2.10		
38.000	12/16/10	8.3080	315.70	8.2600	313.88	-1.82		
279.000	**Total**		**$2,200.54**		**$2,304.54**	**$104.00**	**$0.00**	
CAL DIVE INTL INC DEL COM			*Security Identifier:* DVR					
Dividend Option: Cash								
158 000	10/21/10	5.3000	837.38	5.6700	895.86	58 48		
CARTER INC COM			*Security Identifier:* CRI					
Dividend Option: Cash								
41.000	10/21/10	25.2100	1,033.61	29.5100	1,209.91	176.30		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]CATALYST HEALTH SOLUTIONS INC COM			*Security Identifier:* CHSI					
Dividend Option: Cash								
22.000	08/05/10	40.3650	888.04	46.4900	1,022.78	134.74		
33.000	10/21/10	38.4500	1,268.85	46.4900	1,534.17	265.32		
2.000	12/03/10	45.9600	91.92	46.4900	92.98	1.06		
57.000	**Total**		**$2,248.81**		**$2,649.93**	**$401.12**	**$0.00**	
CHEESE CAKE FACTORY INC COM			*Security Identifier:* CAKE					
Dividend Option. Cash								
58.000	10/21/10	27.0700	1,570.06	30.6600	1,778.28	208.22		
CHILDRENS PLACE RETAIL STORES INC			*Security Identifier* PLCE					
Dividend Option Cash								
46.000	10/21/10	44.6800	2,055.28	49.6400	2,283.44	228.16		
1.000	10/25/10	44.5500	44.55	49.6400	49 64	5.09		
47.000	**Total**		**$2,099.83**		**$2,333.08**	**$233.25**	**$0.00**	
CIENA CORP COM NEW			*Security Identifier:* CIEN					
Dividend Option Cash								
57.000	12/17/10	21.4780	1,224.24	21.0500	1,199.85	-24.39		
CLARCOR INC			*Security Identifier:* CLC					
Dividend Option: Cash								
36.000	10/21/10	39.8100	1,433.16	42.8900	1,544.04	110.88	15.12	0.97%
CLEAN HBRS INC COM			*Security Identifier:* CLH					
Dividend Option: Cash								
24.000	10/21/10	69.1100	1,658.64	84.0800	2,017.92	359.28		
4.000	11/03/10	73.8600	295.44	84.0800	336.32	40.88		
28.000	**Total**		**$1,954.08**		**$2,354.24**	**$400.16**	**$0.00**	
CONCUR TECHNOLOGIES INC COM			*Security Identifier:* CNQR					
Dividend Option. Cash								
36.000	10/21/10	48.3200	1,739.52	51.9300	1,869.48	129.96		
6.000	11/08/10	54.0450	324.27	51.9300	311.58	-12.69		
42.000	**Total**		**$2,063.79**		**$2,181.06**	**$117.27**	**$0.00**	
CUBIST PHARMACEUTICALS INC			*Security Identifier:* CBST					
COM								
Dividend Option. Cash								
35.000	10/21/10	22.7300	795.55	21.4000	749.00	-46 55		
17.000	12/17/10	21.6100	367.37	21.4000	363.80	-3.57		
52.000	**Total**		**$1,162.92**		**$1,112.80**	**-$50.12**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
DANA HLDG CORP COM			*Security Identifier:* DAN					
Dividend Option: Cash								
161.000	10/21/10	13.3780	2,153.86	17.2100	2,770.81	616.95		
21.000	12/15/10	16.9650	356.27	17.2100	361.41	5.14		
182.000	**Total**		**$2,510.13**		**$3,132.22**	**$622.09**	**$0.00**	
DIAMOND FOODS INC COM			*Security Identifier:* DMND					
Dividend Option Cash								
32.000	10/21/10	43.1300	1,380.16	53.1800	1,701.76	321.60	5.76	0.33%
DRIL-QUIP INC COM			*Security Identifier:* DRQ					
Dividend Option Cash								
15.000	10/21/10	66.2600	993.90	77.7200	1,165.80	171.90		
ESTERLINE TECH CORP FRMLY ESTERLINE CORP			*Security Identifier:* ESL					
Dividend Option: Cash								
43.000	10/21/10	59.2400	2,547.32	68.5900	2,949.37	402.05		
FXCM INC COM CL A			*Security Identifier:* FXCM					
Dividend Option: Cash								
67.000	12/03/10	15.1430	1,014.60	13.2500	887.75	-126.85		
FINISAR CORP COM NEW			*Security Identifier:* FNSR					
Dividend Option. Cash								
102.000	10/21/10	18.4580	1,882.71	29.6900	3,028.38	1,145 67		
GEO GROUP INC COM			*Security Identifier:* GEO					
Dividend Option. Cash								
100.000	10/21/10	25.2590	2,525.88	24.6600	2,466.00	-59.88		
[3]GSI COMMERCE INC COM			*Security Identifier:* GSIC					
Dividend Option: Cash								
17.000	07/28/10	26.9790	458.64	23.2000	394.40	-64.24		
56.000	08/05/10	23.7210	1,328.35	23.2000	1,299.20	-29.15		
18 000	10/21/10	24.4900	440.82	23.2000	417.60	-23.22		
91.000	**Total**		**$2,227.81**		**$2,111.20**	**-$116.61**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
GAMMON GOLD INC COM COM			*Security Identifier:* GRS					
ISIN#CA3646T1066								
Dividend Option Cash								
114.000	10/21/10	6.7900	774.06	8.1900	933.66	159.60		
51.000	11/05/10	7.1760	366.00	8.1900	417.69	51.69		
165.000	**Total**		**$1,140.06**		**$1,351.35**	**$211.29**	**$0.00**	
GAYLORD ENTMT CO NEW COM			*Security Identifier:* GET					
Dividend Option. Cash								
65.000	10/21/10	32.2500	2,096.25	35.9400	2,336.10	239.85		
7.000	12/20/10	35.9900	251.93	35.9400	251.58	-0.35		
72.000	**Total**		**$2,348.18**		**$2,587.68**	**$239.50**	**$0.00**	
GENESEE & WYO INC CL A			*Security Identifier:* GWR					
Dividend Option. Cash								
53.000	10/21/10	45.0200	2,386.06	52.9500	2,806.35	420.29		
GENTIVA HEALTH SVCS INC COM			*Security Identifier:* GTIV					
Dividend Option: Cash								
58.000	10/21/10	23.5600	1,366.48	26.6000	1,542.80	176.32		
[3]GRAFTECH INTL LTD COM			*Security Identifier:* GTI					
Dividend Option: Cash								
84.000	08/05/10	15.8590	1,332.14	19.8400	1,666.56	334.42		
37.000	10/21/10	16.7300	619.01	19.8400	734.08	115.07		
121.000	**Total**		**$1,951.15**		**$2,400.64**	**$449.49**	**$0.00**	
[3]GREENHILL & CO INC COM			*Security Identifier:* GHL					
Dividend Option. Cash								
10.000	09/23/09	86.7300	867.30	81.6800	816.80	-50.50	18.00	2.20%
15.000	06/16/10	64.9110	973.67	81.6800	1,225.20	251.53	27.00	2.20%
1.000	10/21/10	77.5500	77.55	81.6800	81.68	4.13	1.80	2.20%
5.000	12/15/10	81.1500	405.75	81.6800	408.40	2.65	9.00	2.20%
31.000	**Total**		**$2,324.27**		**$2,532.08**	**$207.81**	**$55.80**	
HAIN CELESTIAL GROUP INC COM			*Security Identifier:* HAIN					
Dividend Option· Cash								
54.000	10/21/10	24.1000	1,301.40	27.0600	1,461.24	159.84		
ICF INTL INC COM			*Security Identifier:* ICFI					
Dividend Option Cash								
53.000	10/21/10	25.9200	1,373.76	25.7200	1,363.16	-10.60		
12.000	12/20/10	26.5000	318.00	25.7200	308.64	-9.36		
65.000	**Total**		**$1,691.76**		**$1,671.80**	**-$19.96**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
IGATE CORP COM			*Security Identifier:* IGTE					
Dividend Option: Cash								
48.000	12/01/10	19.9330	956.77	19.7100	946.08	-10.69	5.28	0.55%
INFORMATICA CORP			*Security Identifier:* INFA					
Dividend Option: Cash								
25.000	10/21/10	36.5000	912.50	44.0300	1,100.75	188.25		
INSULET CORP COM			*Security Identifier:* PODD					
Dividend Option: Cash								
110.000	10/21/10	16.0480	1,765.28	15.5000	1,705.00	-60 28		
INTERMUNE INC COM			*Security Identifier:* ITMN					
Dividend Option: Cash								
31.000	12/23/10	37.2970	1,156.21	36.4000	1,128.40	-27.81		
INTERVAL LEISURE GROUP INC COM			*Security Identifier:* IILG					
Dividend Option: Cash								
114.000	10/21/10	14.5940	1,663.72	16.1400	1,839.96	176.24		
13.000	11/18/10	16.0690	208.90	16.1400	209.82	0.92		
127.000	**Total**		**$1,872.62**		**$2,049.78**	**$177.16**	**$0.00**	
INVESTORS BANCORP INC COM			*Security Identifier:* ISBC					
Dividend Option. Cash								
95.000	10/21/10	12.2790	1,166.52	13.1200	1,246.40	79.88		
ITC HLDGS CORP COM			*Security Identifier:* ITC					
ISIN#US4656851056								
Dividend Option. Cash								
39.000	10/21/10	61.0400	2,380.56	61.9800	2,417.22	36 66	52.26	2.16%
KODIAK OIL & GAS CORP COM			*Security Identifier:* KOG					
ISIN#CA50015Q1000								
Dividend Option: Cash								
347.000	10/21/10	3.9100	1,356.73	6.6000	2,290.20	933.47		
KRATON PERFORMANCE POLYMERS INCCOM			*Security Identifier:* KRA					
Dividend Option: Cash								
53.000	10/21/10	32.8300	1,739.99	30.9500	1,640.35	-99.64		

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#1 Brokerage Statement
2009 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LKQ CORP COM			*Security Identifier:* LKQX					
Dividend Option Cash								
104.000	10/21/10	21.9190	2,279.59	22.7200	2,362.88	83.29		
LIFE TIME FITNESS INC COM			*Security Identifier:* LTM					
Dividend Option. Cash								
47.000	10/21/10	36.2300	1,702.81	40.9900	1,926.53	223.72		
LIFEPOINT HOSPS INC COM			*Security Identifier:* LPNT					
Dividend Option. Cash								
55.000	10/21/10	34.1800	1,879.90	36.7500	2,021.25	141.35		
LOGMEIN INC COM			*Security Identifier:* LOGM					
Dividend Option· Cash								
53.000	10/21/10	34.1400	1,809.42	44.3400	2,350.02	540.60		
LOUISIANA-PAC CORP COM			*Security Identifier:* LPX					
Dividend Option: Cash								
180.000	10/21/10	8.0880	1,455.84	9.4600	1,702.80	246.96		
LUFKIN INDUSTRIES INC			*Security Identifier:* LUFK					
Dividend Option Cash								
19.000	11/16/10	47.5300	903.07	62.3900	1,185.41	282.34	9.50	0.80%
MAKO SURGICAL CORP COM			*Security Identifier:* MAKO					
Dividend Option: Cash								
96.000	10/21/10	10.9200	1,048.32	15.2200	1,461.12	412.80		
MCCORMICK & SCHMICKS SEAFOOD RESTAURANTS INC			*Security Identifier:* MSSR					
Dividend Option. Cash								
119.000	11/03/10	8.9500	1,065.05	9.0900	1,081.71	16.66		
MEDASSETS INC COM			*Security Identifier:* MDAS					
Dividend Option. Cash								
70.000	10/21/10	21.8400	1,528.80	20.1900	1,413.30	-115.50		
19.000	11/03/10	17.6220	334.82	20.1900	383.61	48.79		
89.000	**Total**		**$1,863.62**		**$1,796.91**	**-$66.71**	**$0.00**	
MEDICIS PHARMACEUTICAL CORP CL A NEW			*Security Identifier:* MRX					
Dividend Option· Cash								
56.000	10/21/10	29.6600	1,660.96	26.7900	1,500.24	-160.72	13.44	0.89%
8.000	12/03/10	27.1260	217.01	26.7900	214.32	-2.69	1.92	0.89%
13.000	12/23/10	28.0000	364.00	26.7900	348.27	-15.73	3.12	0.89%
77.000	**Total**		**$2,241.97**		**$2,062.83**	**-$179.14**	**$18.48**	

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#1 Brokerage Statement
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Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MEDIDATA SOLUTIONS INC COM			*Security Identifier:* MDSO					
Dividend Option: Cash								
106.000	10/21/10	20.0360	2,123.86	23.8800	2,531.28	407.42		
MONOLITHIC PWR SYS INC COM			*Security Identifier:* MPWR					
Dividend Option: Cash								
70.000	10/21/10	14.8400	1,038.80	16.5200	1,156.40	117.60		
16.000	11/03/10	16.4500	263.20	16.5200	264.32	1.12		
86.000	**Total**		**$1,302.00**		**$1,420.72**	**$118.72**	**$0.00**	
[3]NETLOGIC MICROSYSTEMS INC COM			*Security Identifier:* NETL					
Dividend Option: Cash								
22.000	12/09/09	27.9700	615.34	31.4100	691.02	75.68		
28.000	06/29/10	27.6960	775.48	31.4100	879.48	104.00		
11.000	12/16/10	32.2820	355.10	31.4100	345.51	-9.59		
61.000	**Total**		**$1,745.92**		**$1,916.01**	**$170.09**	**$0.00**	
NICE SYSTEMS LTD SPONS ADR			*Security Identifier:* NICE					
Dividend Option. Cash								
73.000	10/21/10	32.2000	2,350.60	34.9000	2,547.70	197.10		
NOVELLUS SYSTEM INC			*Security Identifier:* NVLS					
Dividend Option. Cash								
91.000	10/21/10	26.7100	2,430.61	32.3200	2,941.12	510.51		
[3]OPENTABLE INC COM			*Security Identifier:* OPEN					
Dividend Option. Cash								
16.000	08/05/10	48.7490	779.98	70.4800	1,127.68	347.70		
22.000	10/21/10	56.8300	1,250.26	70.4800	1,550.56	300.30		
38.000	**Total**		**$2,030.24**		**$2,678.24**	**$648.00**	**$0.00**	
OPTIMER PHARMACEUTICALS INC			*Security Identifier:* OPTR					
COM								
Dividend Option: Cash								
146.000	10/21/10	10.0050	1,460.73	11.3100	1,651.26	190.53		

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Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]PAREXEL INTL CORP COM			*Security Identifier:* PRXL					
Dividend Option. Cash								
12.000	04/08/10	23.7100	284.52	21.2300	254.76	-29.76		
40.000	04/14/10	23.2500	930.00	21.2300	849.20	-80.80		
21.000	05/05/10	23.8080	499.97	21.2300	445.83	-54.14		
23.000	10/21/10	21.2600	488.98	21.2300	488.29	-0.69		
96.000	**Total**		**$2,203.47**		**$2,038.08**	**-$165.39**	**$0.00**	
PATRIOT COAL CORP COM			*Security Identifier:* PCX					
Dividend Option: Cash								
112.000	10/21/10	12.9200	1,447.03	19.3700	2,169.44	722.41		
QLIK TECHNOLOGIES INC COM			*Security Identifier:* QLIK					
Dividend Option. Cash								
58.000	10/21/10	22.7000	1,316.60	25.8100	1,496.98	180.38		
11.000	11/18/10	22.2500	244.75	25.8100	283.91	39.16		
16.000	12/09/10	23.1500	370.40	25.8100	412.96	42.56		
85.000	**Total**		**$1,931.75**		**$2,193.85**	**$262.10**	**$0.00**	
QUICKSILVER RES INC COM			*Security Identifier:* KWK					
Dividend Option. Cash								
57.000	10/21/10	15.0500	857.85	14.7400	840.18	-17.67		
RBC BEARINGS INC COM			*Security Identifier:* ROLL					
Dividend Option: Cash								
76.000	10/21/10	33.8500	2,572.60	39.0800	2,970.08	397.48		
REALPAGE INC COM			*Security Identifier:* RP					
Dividend Option Cash								
56.000	12/08/10	27.9480	1,565.09	30.9300	1,732.08	166.99		
RESOURCES CONNECTION INC COM			*Security Identifier* RECN					
Dividend Option: Cash								
93.000	10/21/10	15.9200	1,480.56	18.5900	1,728.87	248.31	14.88	0.86%
[3]RIVERBED TECHNOLOGY INC COM			*Security Identifier:* RVBD					
Dividend Option Cash								
71.000	08/05/10	18.2740	1,297.46	35.1700	2,497.07	1,199.61		
ROCKWOOD HLDGS INC COM			*Security Identifier:* ROC					
Dividend Option Cash								
63.000	10/21/10	34.0400	2,144.52	39.1200	2,464.56	320.04		
10.000	11/03/10	34.4200	344.20	39.1200	391.20	47.00		
73.000	**Total**		**$2,488.72**		**$2,855.76**	**$367.04**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]ROSETTA RES INC COM			*Security Identifier:* ROSE					
Dividend Option: Cash								
24.000	08/05/10	23.6420	567.41	37.6400	903.36	335 95		
15 000	10/21/10	23.5200	352.80	37.6400	564.60	211.80		
39.000	**Total**		**$920.21**		**$1,467.96**	**$547.75**	**$0.00**	
SM ENERGY CO COM			*Security Identifier:* SM					
Dividend Option· Cash								
21.000	11/24/10	48.8960	1,026.82	58.9300	1,237.53	210.71	2.10	0.16%
SRA INTL INC CL A COM			*Security Identifier:* SRX					
Dividend Option· Cash								
40.000	10/21/10	19.5300	781.20	20.4500	818.00	36.80		
STR HLDGS INC COM			*Security Identifier:* STRI					
Dividend Option Cash								
46 000	10/21/10	22.8200	1,049.72	20.0000	920.00	-129.72		
23 000	11/15/10	19.5900	450.57	20.0000	460.00	9.43		
69.000	**Total**		**$1,500.29**		**$1,380.00**	**-$120.29**	**$0.00**	
SAVIENT PHARMACEUTICALS INC			*Security Identifier:* SVNT					
COM								
Dividend Option Cash								
88.000	10/21/10	21.3500	1,878.80	11.1400	980.32	-898.48		
[3]SHUTTERFLY INC COM			*Security Identifier:* SFLY					
Dividend Option· Cash								
42.000	03/02/10	20.7650	872.14	35.0300	1,471.26	599.12		
15.000	07/28/10	25.7500	386.25	35.0300	525.45	139.20		
26.000	10/21/10	24.6500	640.90	35.0300	910.78	269.88		
83.000	**Total**		**$1,899.29**		**$2,907.49**	**$1,008.20**	**$0.00**	
SIGNATURE BK NEW YORK N Y COM			*Security Identifier:* SBNY					
Dividend Option Cash								
39 000	10/21/10	39.0800	1,524.12	50.0000	1,950.00	425.88		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SILGAN HLDGS INC COM			*Security Identifier:* SLGN					
Dividend Option: Cash								
47.000	10/21/10	33.6500	1,581.55	35.8100	1,683.07	101.52	19.74	1.17%
9.000	12/20/10	36.2390	326.15	35.8100	322.29	-3.86	3.78	1.17%
56.000	**Total**		**$1,907.70**		**$2,005.36**	**$97.66**	**$23.52**	
[3]SIRONA DENTAL SYS INC COM			*Security Identifier:* SIRO					
Dividend Option. Cash								
2.000	04/16/10	38.8650	77.73	41.7800	83.56	5.83		
26.000	06/16/10	35.4430	921.53	41.7800	1,086.28	164.75		
28.000	**Total**		**$999.26**		**$1,169.84**	**$170.58**	**$0.00**	
SOLERA HOLDING INC COM			*Security Identifier:* SLH					
Dividend Option. Cash								
48.000	10/21/10	45.7900	2,197.92	51.3200	2,463.36	265.44	14.40	0.58%
7.000	11/08/10	50.7700	355.39	51.3200	359.24	3.85	2.10	0.58%
55.000	**Total**		**$2,553.31**		**$2,822.60**	**$269.29**	**$16.50**	
SOLUTIA INC COM NEW			*Security Identifier:* SOA					
Dividend Option: Cash								
50.000	11/12/10	20.8000	1,040.00	23.0800	1,154.00	114.00		
13.000	11/26/10	21.8300	283.79	23.0800	300.04	16.25		
63.000	**Total**		**$1,323.79**		**$1,454.04**	**$130.25**	**$0.00**	
SONIC CORP			*Security Identifier:* SONC					
Dividend Option Cash								
104.000	10/28/10	9.0300	939.10	10.1200	1,052.48	113.38		
50.000	11/26/10	8.9600	447.98	10.1200	506.00	58.02		
154.000	**Total**		**$1,387.08**		**$1,558.48**	**$171.40**	**$0.00**	
[3]SOTHEBYS DE COM			*Security Identifier:* BID					
Dividend Option: Cash								
15.000	03/22/10	30.9240	463.86	45.0000	675.00	211.14	3.00	0.44%
23.000	06/16/10	31.8480	732.51	45.0000	1,035.00	302.49	4.60	0.44%
12.000	07/28/10	27.3200	327.84	45.0000	540.00	212.16	2.40	0.44%
50.000	**Total**		**$1,524.21**		**$2,250.00**	**$725.79**	**$10.00**	
STILLWATER MINING CO COMMON STOCK			*Security Identifier:* SWC					
Dividend Option: Cash								
55.000	12/10/10	20.0450	1,102.45	21.3500	1,174.25	71.80		
TALEO CORP COM CL A			*Security Identifier:* TLEO					
Dividend Option. Cash								
57.000	10/21/10	28.5500	1,627.35	27.6500	1,576.05	-51.30		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TALEO CORP COM CL A *(continued)*								
9.000	11/08/10	32.3480	291.13	27.6500	248.85	-42.28		
66.000	**Total**		**$1,918.48**		**$1,824.90**	**-$93.58**	**$0.00**	
TETRA TECH INC NEW			*Security Identifier:* TTEK					
Dividend Option Cash								
56.000	10/21/10	21.1200	1,182.72	25.0600	1,403.36	220.64		
15.000	12/09/10	24.6100	369.15	25.0600	375.90	6.75		
71.000	**Total**		**$1,551.87**		**$1,779.26**	**$227.39**	**$0.00**	
[3]THORATEC CORP COM NEW			*Security Identifier:* THOR					
Dividend Option Cash								
33.000	12/10/09	29.3350	968.07	28.3200	934.56	-33.51		
14.000	06/23/10	43.9400	615.16	28.3200	396.48	-218.68		
9.000	10/25/10	35.2100	316.89	28.3200	254.88	-62.01		
56.000	**Total**		**$1,900.12**		**$1,585.92**	**-$314.20**	**$0.00**	
TOWERS WATSON & CO CL A			*Security Identifier:* TW					
Dividend Option. Cash								
44.000	10/21/10	50.0200	2,200.88	52.0600	2,290.64	89.76	13.20	0.57%
TUPPERWARE BRANDS CORP COM			*Security Identifier:* TUP					
Dividend Option. Cash								
49.000	10/21/10	45.2400	2,216.76	47.6700	2,335.83	119.07	58.80	2.51%
[3]ULTA SALON COSMETICS & FRAGRANCE INC COM			*Security Identifier:* ULTA					
Dividend Option. Cash								
30.000	06/23/10	24.7140	741.43	34.0000	1,020.00	278.57		
46.000	10/21/10	30.8700	1,420.02	34.0000	1,564.00	143.98		
76.000	**Total**		**$2,161.45**		**$2,584.00**	**$422.55**	**$0.00**	
[3]ULTIMATE SOFTWARE GROUP INC COM			*Security Identifier:* ULTI					
Dividend Option· Cash								
12.000	02/26/10	31.2000	374.40	48.6300	583.56	209.16		
5.000	03/26/10	32.8600	164.30	48.6300	243.15	78.85		
28.000	06/16/10	36.3650	1,018.22	48.6300	1,361 64	343.42		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ULTIMATE SOFTWARE GROUP INC COM *(continued)*								
6.000	11/08/10	42.7100	256.26	48.6300	291.78	35 52		
51.000	**Total**		**$1,813.18**		**$2,480.13**	**$666.95**	**$0.00**	
[3]UNITED NAT FOODS INC COM			*Security Identifier:* UNFI					
Dividend Option: Cash								
11.000	08/05/10	35.2030	387.23	36.6800	403.48	16.25		
26.000	10/21/10	36.6400	952.64	36.6800	953.68	1.04		
10.000	12/09/10	35.2200	352.20	36.6800	366.80	14.60		
47.000	**Total**		**$1,692.07**		**$1,723.96**	**$31.89**	**$0.00**	
VALASSIS COMMUNICATIONS INC			*Security Identifier:* VCI					
Dividend Option Cash								
50.000	10/21/10	34.8300	1,741.50	32.3500	1,617.50	-124 00		
[3]VANCEINFO TECHNOLOGIES INC ADR			*Security Identifier:* VIT					
Dividend Option Cash								
19.000	09/23/09	17.5000	332.50	34.5400	656.26	323.76		
18.000	10/05/09	18.6900	336.42	34.5400	621.72	285.30		
6.000	01/07/10	20.1800	121.08	34 5400	207.24	86.16		
7.000	07/28/10	25.5160	178.61	34.5400	241.78	63.17		
50.000	**Total**		**$968.61**		**$1,727.00**	**$758.39**	**$0.00**	
VITAMIN SHOPPE INC COM			*Security Identifier* VSI					
Dividend Option Cash								
36 000	12/02/10	29.6170	1,066.21	33.6400	1,211.04	144.83		
12.000	12/17/10	33.1390	397.67	33.6400	403.68	6.01		
48.000	**Total**		**$1,463.88**		**$1,614.72**	**$150.84**	**$0.00**	
WMS INDS INC COM			*Security Identifier* WMS					
Dividend Option: Cash								
34.000	10/21/10	39.6000	1,346.40	45.2400	1,538.16	191.76		
WARNACO GROUP INC COM NEW			*Security Identifier:* WRC					
Dividend Option: Cash								
22.000	11/03/10	52.5320	1,155.70	55.0700	1,211.54	55.84		
6.000	11/08/10	54.4900	326.94	55.0700	330.42	3.48		
3.000	12/02/10	55.6100	166.83	55.0700	165.21	-1 62		
31.000	**Total**		**$1,649.47**		**$1,707.17**	**$57.70**	**$0.00**	
WASTE CONNECTIONS INC COM			*Security Identifier* WCN					
Dividend Option: Cash								
103.000	10/21/10	27.0070	2,781.69	27.5300	2,835.59	53.90	20.60	0.72%
WILLIAMS SONOMA INC COM			*Security Identifier:* WSM					
Dividend Option. Cash								
64.000	10/21/10	32.5000	2,080.00	35.6900	2,284.16	204.16	38.40	1.68%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
WILLIAMS SONOMA INC COM *(continued)*								
9.000	11/05/10	36.4470	328.02	35.6900	321.21	-6.81	5.40	1.68%
73.000	**Total**		**$2,408.02**		**$2,605.37**	**$197.35**	**$43.80**	
WOODWARD GOVERNOR CO			*Security Identifier:* WGOV					
Dividend Option Cash								
78.000	10/21/10	31.7700	2,478.06	37.5600	2,929.68	451 62	18.72	0.63%
WRIGHT EXPRESS CORP COM			*Security Identifier:* WXS					
Dividend Option. Cash								
55.000	10/21/10	37.6500	2,070.75	46.0000	2,530 00	459 25		
WRIGHT MED GROUP INC COM			*Security Identifier:* WMGI					
Dividend Option. Cash								
72.000	10/21/10	14.8100	1,066.32	15.5300	1,118.16	51.84		
Total Common Stocks			**$192,593.36**		**$216,535.43**	**$23,942.07**	**$424.36**	
Total Equities			**$192,593.36**		**$216,535.43**	**$23,942.07**	**$424.36**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$200,483.40**	**$224,425.47**	**$23,942.07**	**$0.00**	**$425.07**

[3] The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

[redacted]

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	-227.51	1,311.89	9,965.38
Long-Term Gain/Loss	-623.79	4,906.07	20,497 84
Net Gain/Loss	**-851.30**	**6,217.96**	**30,463.22**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Professional: PH4
WENNER / EDWARDS
ONE MONTGOMERY STREET, 24TH FL
SAN FRANCISCO CA 94104-5524

Contact Information
Telephone Number: (415) 229-1550

Customer Service Information
Customer Service Telephone Number: (800) 727-0544
Web Site: www.jefferies.com

Portfolio Manager: THORNBURG INVESTMENT MANAGEMENT

Portfolio Investment Style: INTL EQUITY BROAD MARKETS VALUE

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 3.00% of Portfolio									
Cash Balance				25.80	44.63				
FDIC Insured Bank Deposits									
LIQUID INSURED DEPOSITS									
6,956.020	12/01/10	JW4001889	12/31/10	6,484.89	6,956.02	0.21	1.16	N/A	N/A
Total FDIC Insured Bank Deposits				**$6,484.89**	**$6,956.02**	**$0.21**	**$1.16**		
Total Cash, Money Funds, and FDIC Deposits				**$6,510.69**	**$7,000.65**	**$0.21**	**$1.16**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 97.00% of Portfolio								
Common Stocks								
[3]LOGITECH INTERNATIONAL SA			*Security Identifier:* LOGI					
APPLES NAMEN AKT ISIN#CH0025751329								
Dividend Option: Cash								
33.000	09/23/09	19.2500	635.25	18.5500	612.15	-23.10		
14.000	09/23/09	17.4440	244.22	18.5500	259.70	15 48		
14.000	09/23/09	21.6540	303.16	18.5500	259.70	-43.46		
40.000	10/05/09	17.0800	683.20	18.5500	742.00	58.80		
18.000	10/21/09	18.5300	333.54	18.5500	333.90	0.36		

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#1 Brokerage Statement, 2009 2010
DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LOGITECH INTERNATIONAL SA *(continued)*								
17.000	01/07/10	17.5900	299.03	18.5500	315.35	16.32		
13.000	04/29/10	16.6830	216.88	18.5500	241.15	24.27		
84.000	10/11/10	18.2300	1,531.32	18.5500	1,558.20	26.88		
16.000	10/12/10	18.3980	294.36	18.5500	296.80	2.44		
249.000	**Total**		**$4,540.96**		**$4,618.95**	**$77.99**	**$0.00**	
[3]AMERICA MOVIL SAB DE C V SPONSORED ADR			*Security Identifier:* AMX					
REPSTG SER L SHS ISIN#US02364W1053								
Dividend Option: Cash								
29.000	09/23/09	43.9400	1,274.26	57.3400	1,662.86	388.60	14.78	0.88%
12.000	10/05/09	43.2500	519.00	57.3400	688.08	169.08	6.12	0.88%
5.000	10/21/09	48.3300	241.65	57.3400	286.70	45.05	2.55	0.88%
6.000	01/07/10	48.6400	291.84	57.3400	344.04	52.20	3.06	0.88%
25.000	10/11/10	55.7200	1,393.00	57.3400	1,433.50	40.50	12.74	0.88%
77.000	**Total**		**$3,719.75**		**$4,415.18**	**$695.43**	**$39.25**	
[3]ARM HLDGS PLC SPONSORED ADR			*Security Identifier:* ARMH					
Dividend Option: Cash								
30.000	10/05/09	6.6300	198.90	20.7500	622.50	423.60	3.12	0.50%
43.000	10/21/09	7.8200	336.26	20.7500	892.25	555.99	4.47	0.50%
28.000	01/07/10	9.2400	258.72	20.7500	581.00	322.28	2.91	0.50%
44.000	10/11/10	18.3400	806.96	20.7500	913.00	106.04	4.56	0.50%
145.000	**Total**		**$1,600.84**		**$3,008.75**	**$1,407.91**	**$15.06**	
ASSA ABLOY AB ADR			*Security Identifier:* ASAZY					
ISIN#US0453871073								
Dividend Option: Cash								
33.000	11/08/10	13.7730	454.51	14.0940	465.10	10.59	6.36	1.36%
60.000	11/09/10	13.7630	825.76	14.0940	845.64	19.88	11.57	1.36%
34.000	11/22/10	13.5250	459.84	14.0940	479.20	19.36	6.56	1.36%
63.000	11/23/10	13.2570	835.18	14.0940	887.92	52.74	12.15	1.36%
43.000	12/21/10	14.4080	619.53	14.0940	606.04	-13.49	8.29	1.36%
25.000	12/22/10	14.3260	358.16	14.0940	352.35	-5.81	4.82	1.36%
258.000	**Total**		**$3,552.98**		**$3,636.25**	**$83.27**	**$49.75**	

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]BG GROUP PLC ADR FINAL INSTALLMENT			*Security Identifier:* BRGYY					
NEW								
Dividend Option: Cash								
15.000	09/23/09	90.9000	1,363.50	101.4540	1,521.81	158.31	14.59	0.95%
7.000	10/05/09	84.2800	589.96	101.4540	710.18	120.22	6.81	0.95%
3.000	10/21/09	95.4400	286.32	101.4540	304.36	18.04	2.92	0.95%
2.000	01/07/10	95.5300	191.06	101.4540	202.91	11.85	1.95	0.95%
5.000	04/30/10	85.8060	429.03	101.4540	507.27	78.24	4.86	0.95%
17.000	10/11/10	91.6600	1,558.22	101.4540	1,724.72	166.50	16.54	0.95%
49.000	**Total**		**$4,418.09**		**$4,971.25**	**$553.16**	**$47.67**	
[3]BNP PARIBAS SPONSORED ADR REPSTG			*Security Identifier:* BNPQY					
.25 SHS								
Dividend Option: Cash								
35.000	09/23/09	41.6000	1,456.00	31.9350	1,117.72	-338.28	24.15	2.16%
12.000	09/23/09	40.2230	482.67	31.9350	383.22	-99.45	8.28	2.16%
16.000	10/05/09	38.1800	610.88	31.9350	510.96	-99.92	11.04	2.16%
7.000	10/21/09	43.1200	301.84	31.9350	223.54	-78.30	4.83	2.16%
7.000	01/07/10	41.8000	292.60	31.9350	223.54	-69.06	4.83	2.16%
3.000	05/25/10	27.8900	83.67	31.9350	95.80	12.13	2.07	2.16%
25.000	10/07/10	37.4100	935.25	31.9350	798.38	-136.87	17.25	2.16%
51.000	10/11/10	36.4300	1,857.93	31.9350	1,628.69	-229.24	35.19	2.16%
16.000	10/21/10	37.0010	592.01	31.9350	510.97	-81.04	11.05	2.16%
172.000	**Total**		**$6,612.85**		**$5,492.82**	**-$1,120.03**	**$118.69**	
[3]BRITISH SKY BROADCASTING GROUP			*Security Identifier:* BSYBY					
ADR ISIN#US1110131083								
Dividend Option: Cash								
18.000	09/23/09	36.3800	654.84	46.0930	829.67	174.83	21.60	2.60%
18.000	10/05/09	36.2200	651.96	46.0930	829.67	177.71	21.60	2.60%
8.000	10/21/09	37.9000	303.20	46.0930	368.74	65.54	9.60	2.60%
7.000	01/07/10	36.2300	253.61	46.0930	322.65	69.04	8.40	2.60%
11.000	04/26/10	38.1760	419.94	46.0930	507.02	87.08	13.20	2.60%
25.000	10/11/10	45.1600	1,129.00	46.0930	1,152.34	23.34	30.02	2.60%
87.000	**Total**		**$3,412.55**		**$4,010.09**	**$597.54**	**$104.42**	
[3]CNOOC LTD SPONSORED ADR			*Security Identifier:* CEO					
ISIN#US1261321095								
Dividend Option: Cash								
8.000	10/05/09	134.7030	1,077.62	238.3700	1,906.96	829.34	37.95	1.99%
1.000	10/21/09	159.3600	159.36	238.3700	238.37	79.01	4.74	1.99%
3.000	11/12/09	161.1970	483.59	238.3700	715.11	231.52	14.23	1.99%
2.000	01/07/10	167.9000	335.80	238.3700	476.74	140.94	9.49	1.99%
2.000	05/20/10	153.0900	306.18	238.3700	476.74	170.56	9.49	1.99%

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CNOOC LTD SPONSORED ADR *(continued)*								
8.000	10/11/10	214.4200	1,715.36	238.3700	1,906.96	191.60	37.95	1.99%
2.000	10/12/10	208.6200	417.24	238.3700	476.74	59.50	9.48	1.99%
26.000	**Total**		**$4,495.15**		**$6,197.62**	**$1,702.47**	**$123.33**	
3CANADIAN NATL RY CO COM			*Security Identifier:* CNI					
ISIN#CA1363751027								
Dividend Option: Cash								
33.000	09/23/09	49.8700	1,645.71	66.4700	2,193.51	547.80		
14.000	10/05/09	47.7700	668.78	66.4700	930.58	261.80		
7.000	10/21/09	51.6800	361.76	66.4700	465.29	103.53		
5.000	01/07/10	54.3500	271.75	66.4700	332.35	60.60		
29.000	10/11/10	65.9400	1,912.26	66.4700	1,927.63	15.37		
88.000	**Total**		**$4,860.26**		**$5,849.36**	**$989.10**	**$0.00**	
3CANADIAN NATURAL RES LTD			*Security Identifier:* CNQ					
ISIN#CA1363851017								
Dividend Option: Cash								
24.000	09/23/09	35.0850	842.04	44.4200	1,066.08	224.04		
14.000	10/05/09	31.9650	447.51	44.4200	621.88	174.37		
6.000	10/21/09	36.9050	221.43	44.4200	266.52	45.09		
6.000	01/07/10	36.0450	216.27	44.4200	266.52	50.25		
16.000	10/07/10	36.7800	588.48	44.4200	710.72	122.24		
27.000	10/11/10	37.5600	1,014.12	44.4200	1,199.34	185.22		
93.000	**Total**		**$3,329.85**		**$4,131.06**	**$801.21**	**$0.00**	
3CANON INC ADR REPSTG 5 SHS			*Security Identifier:* CAJ					
Dividend Option: Cash								
42.000	06/30/10	37.3730	1,569.68	51.3400	2,156.28	586.60	50 09	2.32%
9.000	07/02/10	37.3170	335.85	51.3400	462.06	126.21	10.73	2.32%
11.000	07/09/10	39.4480	433.93	51.3400	564.74	130.81	13.12	2.32%
7.000	10/07/10	46.5600	325.92	51.3400	359.38	33.46	8.35	2.32%
31.000	10/11/10	46.7200	1,448.32	51.3400	1,591.54	143.22	36.96	2.32%
100.000	**Total**		**$4,113.70**		**$5,134.00**	**$1,020.30**	**$119.25**	

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#1 Brokerage Statement
2009 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP & 1 TR SH BEN INT P&O PRINCESS SPL VTG TR ISIN#PA1436583006			*Security Identifier:* CCL					
Dividend Option: Cash								
59.000	09/23/09	33.5400	1,978.86	46.1100	2,720.49	741.63	23.60	0.86%
24.000	10/05/09	32.3800	777.12	46.1100	1,106.64	329 52	9.60	0.86%
9.000	10/21/09	33.7400	303.66	46.1100	414.99	111.33	3.60	0.86%
8.000	01/07/10	32.2900	258.32	46.1100	368.88	110 56	3.20	0.86%
15 000	01/13/10	33.7540	506.31	46.1100	691.65	185.34	6.00	0.86%
15.000	06/29/10	30.5230	457.85	46.1100	691.65	233.80	6.00	0.86%
52.000	10/11/10	40.1200	2,086.24	46.1100	2,397.72	311.48	20.80	0.86%
182.000	**Total**		**$6,368.36**		**$8,392.02**	**$2,023.66**	**$72.80**	
3COCA COLA HELLENIC BOTTLING CO S A SPONSORED ADR			*Security Identifier:* CCH					
Dividend Option: Cash								
33.000	09/23/09	23.4220	772.94	25.9000	854.70	81 76	10.97	1.28%
22.000	10/05/09	22.7620	500.77	25.9000	569.80	69.03	7.31	1.28%
7.000	10/21/09	25.2330	176.63	25.9000	181.30	4.67	2.33	1.28%
23.000	10/11/10	26.0000	598.00	25.9000	595.70	-2.30	7.65	1.28%
85.000	**Total**		**$2,048.34**		**$2,201.50**	**$153.16**	**$28.26**	
3DASSAULT SYS S A SPONSORED ADR ISIN#US2375451083			*Security Identifier:* DASTY					
Dividend Option Cash								
19.000	09/23/09	58.5500	1,112.45	75.6900	1,438.11	325.66	8.24	0.57%
8.000	10/05/09	54.4000	435.20	75.6900	605.52	170.32	3.47	0.57%
3.000	10/21/09	58.4500	175.35	75.6900	227.07	51.72	1.30	0.57%
13.000	10/11/10	71.7900	933.27	75.6900	983.97	50.70	5.63	0.57%
43.000	**Total**		**$2,656.27**		**$3,254.67**	**$598.40**	**$18.64**	
3FANUC LTD JAPAN ADR ISIN#US3073051027			*Security Identifier:* FANUY					
Dividend Option: Cash								
48.000	09/23/09	14.5670	699 20	25.6760	1,232.45	533.25	10 46	0.84%
39.000	10/05/09	13.9670	544.70	25.6760	1,001.36	456 66	8 50	0.84%
18.000	10/21/09	14.5430	261 78	25.6760	462.17	200.39	3.92	0.84%
24.000	01/07/10	15.4000	369.60	25.6760	616.22	246.62	5.23	0.84%
69 000	10/11/10	21.6830	1,496.15	25.6760	1,771.65	275 50	15.03	0.84%
198.000	**Total**		**$3,371.43**		**$5,083.85**	**$1,712.42**	**$43.14**	

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#1 Brokerage Statement
2009 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]FLSMIDTH & CO A S SPONS ADR			*Security Identifier* FLIDY					
ISIN#US3437931054								
Dividend Option: Cash								
97.000	09/23/09	5.8000	562.60	9.5770	928.97	366.37	5.25	0.56%
54.000	10/05/09	5.2000	280.80	9.5770	517.16	236.36	2.93	0.56%
52.000	10/21/09	5.9900	311.48	9.5770	498.00	186.52	2.82	0.56%
130.000	10/11/10	7.2600	943.80	9.5770	1,245.01	301.21	7.04	0.56%
333.000	**Total**		**$2,098.68**		**$3,189.14**	**$1,090.46**	**$18.04**	
[3]FRESENIUS MED CARE AKTIENGESELLSCHAFT			*Security Identifier:* FMS					
SPONSORED ADR REPSTG SHS								
ISIN#US3580291066								
Dividend Option: Cash								
34.000	09/23/09	49.9200	1,697.28	57.6900	1,961 46	264.18	18.21	0.92%
16.000	10/05/09	49.3800	790.08	57.6900	923.04	132.96	8.57	0.92%
7.000	10/21/09	49.6600	347.62	57.6900	403.83	56.21	3.75	0.92%
7.000	01/07/10	52.1200	364 84	57.6900	403.83	38.99	3.75	0.92%
36.000	10/11/10	62.4000	2,246.40	57.6900	2,076 84	-169 56	19.27	0.92%
100.000	**Total**		**$5,446.22**		**$5,769.00**	**$322.78**	**$53.55**	
[3]GAZPROM O A O SPON ADR REG S			*Security Identifier:* OGZPY					
RESTRICTION LIFTED ISIN#US3682872078								
Dividend Option Cash								
59.000	09/23/09	24.3900	1,439.01	25.0000	1,475.00	35 99	14.45	0.98%
22.000	10/05/09	22.8500	502.70	25.0000	550.00	47.30	5.39	0.98%
9.000	10/21/09	26.9300	242.37	25.0000	225.00	-17.37	2.21	0.98%
40.000	10/11/10	22.0500	882.00	25.0000	1,000.00	118.00	9.80	0.98%
130.000	**Total**		**$3,066.08**		**$3,250.00**	**$183.92**	**$31.85**	
[3]HANG LUNG PPTYS LTD SPONSORED ADR			*Security Identifier:* HLPPY					
ISIN#US41043M1045								
Dividend Option: Cash								
46.000	09/23/09	17.7100	814.66	23.3800	1,075.48	260.82	19 54	1.81%
31.000	10/05/09	18.3700	569.47	23.3800	724.78	155.31	13.17	1.81%
10.000	10/21/09	20.3500	203.50	23.3800	233.80	30.30	4.25	1.81%

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
HANG LUNG PPTYS LTD SPONSORED ADR *(continued)*								
16.000	01/07/10	20.5200	328.32	23.3800	374.08	45.76	6.79	1.81%
41.000	10/11/10	25.0000	1,025.00	23.3800	958.58	-66.42	17.41	1.81%
14.000	10/12/10	24.5000	343.00	23.3800	327.32	-15.68	5.94	1.81%
158.000	**Total**		**$3,283.95**		**$3,694.04**	**$410.09**	**$67.10**	
[3]HENNES & MAURITZ AB ADR			*Security Identifier:* HNNMY					
ISIN#US4258831050								
Dividend Option: Cash								
298 000	09/23/09	6 0150	1,792.47	6.6640	1,985.87	193.40	48.79	2.45%
130 000	10/05/09	5.5050	715.65	6.6640	866.32	150.67	21.28	2.45%
52.000	10/21/09	5.9550	309.66	6.6640	346.53	36.87	8.51	2.45%
74.000	12/22/09	5.5290	409.13	6.6640	493.14	84.01	12.11	2.45%
68 000	01/07/10	5.5250	375.70	6.6640	453.15	77.45	11.13	2.45%
302.000	10/11/10	7.1400	2,156.28	6.6640	2,012.53	-143.75	49.45	2.45%
924.000	**Total**		**$5,758.89**		**$6,157.54**	**$398.65**	**$151.27**	
[3]HONG KONG EXCHANGES & CLEARING LTD ADR			*Security Identifier:* HKXCY					
ISIN#US43858F1093								
Dividend Option: Cash								
85.000	09/23/09	19.2000	1,632.00	22.6790	1,927.71	295 71	39.89	2.06%
30.000	10/05/09	17.4900	524.70	22.6790	680.37	155.67	14.08	2.06%
14.000	10/21/09	18.8500	263.90	22.6790	317.51	53.61	6.57	2.06%
56.000	10/11/10	21.8000	1,220.80	22.6790	1,270.02	49.22	26.28	2.06%
12.000	10/12/10	21.6000	259.20	22.6790	272.15	12.95	5.64	2.06%
197.000	**Total**		**$3,900.60**		**$4,467.76**	**$567.16**	**$92.46**	
[3]INDL & COMM BK CHINA ADR			*Security Identifier:* IDCBY					
ISIN#US4558071076								
Dividend Option Cash								
37.000	09/23/09	39.2450	1,452.08	14.8960	551.15	-900.93	16.33	2.96%
15.000	10/05/09	37.4500	561.75	14.8960	223.44	-338.31	6.62	2.96%
5.000	10/21/09	41.1500	205.75	14.8960	74.48	-131 27	2.21	2.96%
6.000	01/07/10	41.4500	248.70	14.8960	89.38	-159.32	2.65	2.96%
10.000	05/20/10	35.1440	351.44	14.8960	148.96	-202.48	4.41	2.96%
25.000	10/11/10	38.6200	965.50	14.8960	372.40	-593.10	11.02	2.96%
98.000	**Total**		**$3,785.22**		**$1,459.81**	**-$2,325.41**	**$43.24**	
[3]INFOSYS TECHNOLOGIES LTD SPON ADR REPSTG			*Security Identifier:* INFY					
1 EQUITY SH								
Dividend Option Cash								
10 000	09/23/09	48.9000	489.00	76.0800	760.80	271.80	5.07	0.66%
10.000	09/23/09	50.5150	505.15	76.0800	760.80	255.65	5.07	0.66%
2.000	10/05/09	49.5050	99.01	76.0800	152.16	53.15	1.01	0.66%

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#1 Brokerage Statement, 2009 2010
DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
INFOSYS TECHNOLOGIES LTD SPON ADR REPSTG *(continued)*								
4.000	10/21/09	48.0400	192.16	76.0800	304.32	112.16	2.03	0.66%
13.000	10/11/10	68.8100	894 53	76.0800	989.04	94.51	6.58	0.66%
39.000	**Total**		**$2,179.85**		**$2,967.12**	**$787.27**	**$19.76**	
[3]KINGFISHER PLC SPONSORED ADR			*Security Identifier:* KGFHY					
Dividend Option. Cash								
222.000	09/23/09	7.0500	1,565.10	8.2480	1,831.05	265.95	32.78	1.79%
93 000	10/05/09	6.6600	619.38	8.2480	767.06	147.68	13 73	1.79%
42.000	10/21/09	7.8900	331.38	8.2480	346.42	15.04	6.20	1.79%
43.000	01/07/10	7.2800	313.04	8 2480	354.66	41.62	6.35	1.79%
189.000	10/11/10	7.3600	1,391.04	8.2480	1,558.87	167.83	27.90	1.79%
40.000	10/12/10	7.2700	290.80	8.2480	329.92	39.12	5.91	1.79%
49.000	10/22/10	7.8170	383.03	8.2480	404.16	21.13	7.23	1.79%
678.000	**Total**		**$4,893.77**		**$5,592.14**	**$698.37**	**$100.10**	
[3]KOMATSU LTD SPONSORED ADR NEW			*Security Identifier:* KMTUY					
Dividend Option: Cash								
81.000	09/23/09	19.6880	1,594.69	30.2940	2,453.81	859.12	4.96	0.20%
48.000	10/05/09	17.6600	847.68	30.2940	1,454.11	606.43	2.94	0.20%
8.000	10/21/09	20.2100	161.68	30.2940	242.35	80.67	0.49	0.20%
16.000	01/07/10	21.0550	336.88	30.2940	484.70	147.82	0.98	0.20%
62.000	10/11/10	23.3300	1,446 46	30.2940	1,878.24	431.78	3.80	0.20%
215.000	**Total**		**$4,387.39**		**$6,513.21**	**$2,125.82**	**$13.17**	
[3]LVMH MOET HENNESSY LOUIS VUITTON ADR			*Security Identifier:* LVMUY					
Dividend Option. Cash								
79.000	09/23/09	20.0300	1,582.37	33.0290	2,609.29	1,026.92	29.39	1.12%
47.000	10/05/09	19.4800	915.56	33.0290	1,552.36	636.80	17 48	1.12%
17.000	01/07/10	22.9000	389 30	33.0290	561.49	172.19	6.32	1.12%
75.000	10/11/10	30.5500	2,291.25	33.0290	2,477.18	185.93	27.90	1.12%
218.000	**Total**		**$5,178.48**		**$7,200.32**	**$2,021.84**	**$81.09**	
[3]LAFARGE SPONSORED ADR NEW			*Security Identifier:* LFRGY					
Dividend Option Cash								
62.000	01/28/10	19.2010	1,190.44	15.7360	975.63	-214.81	28.03	2.87%

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LAFARGE SPONSORED ADR NEW *(continued)*								
43.000	02/04/10	18.3760	790.17	15.7360	676.65	-113.52	19.44	2.87%
27.000	03/05/10	18.0120	486 32	15.7360	424.87	-61.45	12.21	2.87%
23.000	08/05/10	13.6590	314.15	15.7360	361.93	47.78	10.40	2.87%
40.000	10/07/10	14.5500	582 00	15.7360	629.44	47.44	18.08	2.87%
78.000	10/11/10	14.2400	1,110.72	15.7360	1,227.41	116 69	35.27	2.87%
273.000	**Total**		**$4,473.80**		**$4,295.93**	**-$177.87**	**$123.43**	
LULULEMON ATHLETICA INC COM			*Security Identifier:* LULU					
Dividend Option Cash								
14.000	10/07/10	44.9290	629.01	68.4200	957.88	328.87		
13.000	10/11/10	46.3100	602.03	68.4200	889.46	287.43		
27.000	**Total**		**$1,231.04**		**$1,847.34**	**$616.30**	**$0.00**	
[3]MAN GROUP PLC ADR			*Security Identifier:* MNGPY					
Dividend Option: Cash								
183.000	03/18/10	3.6650	670.77	4.6340	848.02	177.25	59.42	7.00%
55.000	03/18/10	3.6710	201.89	4.6340	254.87	52.98	17.86	7.00%
240 000	03/25/10	3.7230	893.62	4 6340	1,112.16	218.54	77.92	7.00%
63.000	05/12/10	3.4050	214.52	4.6340	291.94	77.42	20.45	7.00%
242.000	10/11/10	4.1500	1,004.30	4.6340	1,121.43	117 13	78.57	7.00%
90.000	10/12/10	4.0500	364.50	4.6340	417.06	52.56	29.22	7.00%
873.000	**Total**		**$3,349.60**		**$4,045.48**	**$695.88**	**$283.44**	
[3]MITSUBISHI UFJ FINL GROUP INC SPON ADR			*Security Identifier:* MTU					
Dividend Option· Cash								
141.000	09/23/09	5.9200	834.72	5.4100	762.81	-71.91	17.92	2.34%
93.000	10/05/09	5.1200	476.16	5.4100	503.13	26.97	11.82	2.34%
222.000	10/05/09	5.1200	1,136.64	5.4100	1,201.02	64.38	28.21	2.34%
52.000	10/21/09	5.3800	279.76	5.4100	281.32	1.56	6.61	2.34%
60.000	10/21/09	5.3900	323.40	5.4100	324.60	1.20	7 62	2.34%
72.000	12/30/09	4.9280	354.84	5.4100	389.52	34.68	9.15	2.34%
80.000	01/07/10	5.1500	412.00	5.4100	432.80	20.80	10.16	2.34%
720.000	**Total**		**$3,817.52**		**$3,895.20**	**$77.68**	**$91.49**	
[3]NESTLE SA SPONSORED ADRS REGISTERED			*Security Identifier.* NSRGY					
Dividend Option· Cash								
40 000	09/23/09	43.0500	1,722.00	58.7380	2,349.52	627.52	35.86	1.52%
18.000	10/05/09	42.0300	756.54	58.7380	1,057.28	300.74	16.14	1.52%
6.000	10/21/09	45.9700	275 82	58.7380	352.43	76.61	5.38	1.52%
9.000	01/07/10	47.1000	423 90	58.7380	528.64	104.74	8.07	1.52%
26.000	10/11/10	54.2200	1,409.72	58.7380	1,527.19	117.47	23.31	1.52%
99.000	**Total**		**$4,587.98**		**$5,815.06**	**$1,227.08**	**$88.76**	

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#1 Brokerage Statement
2009 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]NEW ORIENTAL ED & TECHNOLOGY GROUP INC SPONSORED ADR REPSTG COM			*Security Identifier:* EDU					
Dividend Option: Cash								
7.000	09/23/09	82.2500	575.75	105.2300	736.61	160.86		
4.000	10/05/09	77.8800	311.52	105.2300	420.92	109.40		
2.000	10/08/09	81.7900	163.58	105.2300	210.46	46.88		
2.000	10/21/09	74.9800	149.96	105.2300	210.46	60.50		
6.000	12/02/09	72.8250	436.95	105.2300	631.38	194.43		
9.000	10/11/10	91.5200	823.68	105.2300	947.07	123.39		
30.000	**Total**		**$2,461.44**		**$3,156.90**	**$695.46**	**$0.00**	
[3]NOVARTIS AG SPONSORED ADR			*Security Identifier:* NVS					
Dividend Option: Cash								
41.000	09/23/09	49.0500	2,011.05	58.9500	2,416.95	405.90	67.75	2.80%
15.000	10/05/09	49.7600	746.40	58.9500	884.25	137.85	24.79	2.80%
6.000	10/21/09	52.0700	312.42	58.9500	353.70	41.28	9.92	2.80%
6.000	01/07/10	52.0500	312.30	58.9500	353.70	41.40	9.92	2.80%
8.000	04/27/10	51.3910	411.13	58.9500	471.60	60.47	13.22	2.80%
27.000	10/11/10	58.4200	1,577.34	58.9500	1,591.65	14.31	44.62	2.80%
11.000	12/08/10	54.5850	600.44	58.9500	648.45	48.01	18.17	2.80%
114.000	**Total**		**$5,971.08**		**$6,720.30**	**$749.22**	**$188.39**	
[3]NOVO NORDISK A.S. ADR FORMERLY NOVO INDUSTRIE A.S. ADR SAME CUSIP			*Security Identifier:* NVO					
Dividend Option: Cash								
15.000	09/23/09	64.4500	966.75	112.5700	1,688.55	721.80	14.68	0.86%
12.000	10/05/09	62.3900	748.68	112.5700	1,350.84	602.16	11.74	0.86%
5.000	10/21/09	65.1700	325.85	112.5700	562.85	237.00	4.89	0.86%
6.000	01/07/10	64.9300	389.58	112.5700	675.42	285.84	5.87	0.86%
21.000	10/11/10	100.6000	2,112.60	112.5700	2,363.97	251.37	20.55	0.86%
59.000	**Total**		**$4,543.46**		**$6,641.63**	**$2,098.17**	**$57.73**	

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2009 2010
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FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]POTASH CORP OF SASKATCHEWAN INC			*Security Identifier:* POT					
COM ISIN#CA73755L1076								
Dividend Option: Cash								
3.000	10/05/09	85.0600	255.18	154.8300	464.49	209.31		
7.000	10/07/09	89.5040	626.53	154.8300	1,083 81	457.28		
3.000	10/21/09	104.4100	313.23	154.8300	464.49	151.26		
3.000	01/07/10	125.0500	375.15	154.8300	464.49	89.34		
7.000	10/11/10	147.4400	1,032.08	154 8300	1,083.81	51.73		
2.000	10/12/10	146.9500	293.90	154.8300	309.66	15.76		
25.000	**Total**		**$2,896.07**		**$3,870.75**	**$974.68**	**$0.00**	
[3]PUBLICIS S A NEW SPONS ADR			*Security Identifier:* PUBGY					
ISIN#US74463M1062								
Dividend Option. Cash								
13.000	05/20/10	20.6080	267.91	26.1600	340.08	72.17	3.85	1.13%
25.000	05/25/10	19.4090	485.22	26.1600	654.00	168.78	7.41	1.13%
34.000	06/16/10	21.4510	729 32	26.1600	889.44	160.12	10.07	1.13%
18.000	10/07/10	24.7700	445.86	26.1600	470.88	25.02	5.33	1.13%
39.000	10/11/10	24.9900	974.61	26.1600	1,020.24	45.63	11.55	1.13%
24.000	11/01/10	25.0990	602.38	26.1600	627.84	25.46	7.11	1.13%
153.000	**Total**		**$3,505.30**		**$4,002.48**	**$497.18**	**$45.32**	
[3]RECKITT BENCKISER GROUP PLC ADR			*Security Identifier:* RBGPY					
ISIN#US7562551058								
Dividend Option· Cash								
196.000	09/23/09	9.7600	1,912.96	11.2200	2,199.12	286.16	57.34	2.60%
72 000	10/05/09	9.6900	697.68	11.2200	807.84	110.16	21.06	2.60%
28.000	10/21/09	10.3300	289.24	11.2200	314.16	24.92	8.19	2.60%
52.000	11/12/09	10.0740	523.87	11.2200	583.44	59.57	15.21	2.60%
32.000	01/07/10	10.5100	336.32	11.2200	359.04	22.72	9.36	2.60%
32.000	06/24/10	9.2310	295.38	11.2200	359.04	63.66	9.36	2.60%
177.000	10/11/10	10.8700	1,923.99	11.2200	1,985.94	61.95	51.80	2.60%
589.000	**Total**		**$5,979.44**		**$6,608.58**	**$629.14**	**$172.32**	
[3]SABMILLER PLC SPONSORED ADR			*Security Identifier:* SBMRY					
ISIN#US78572M1053								
Dividend Option: Cash								
32.000	09/23/09	24.5500	785.60	35.3290	1,130.53	344.93	21.58	1.90%
21.000	10/05/09	24.0500	505.05	35.3290	741.91	236.86	14.16	1.90%
8.000	10/21/09	27.5500	220.40	35.3290	282.63	62.23	5.39	1.90%
14.000	01/07/10	29.4900	412.86	35.3290	494.61	81.75	9.44	1.90%
26.000	10/11/10	32.1300	835.38	35.3290	918.55	83 17	17.54	1.90%
101.000	**Total**		**$2,759.29**		**$3,568.23**	**$808.94**	**$68.11**	

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#1 Brokerage Statement
2009 2010
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Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]SAP AG SPONSORED ADR			*Security Identifier:* SAP					
ISIN#US8030542042								
Dividend Option. Cash								
46.000	09/23/09	50.4200	2,319.32	50.6100	2,328.06	8.74	19.31	0.82%
16.000	10/05/09	48.4300	774.88	50.6100	809.76	34.88	6.71	0.82%
6.000	10/21/09	52.4000	314.40	50.6100	303.66	-10.74	2 52	0.82%
11.000	10/29/09	46.7670	514.44	50.6100	556.71	42.27	4.62	0.82%
8.000	11/18/09	48.9450	391.56	50.6100	404.88	13.32	3.36	0.82%
9.000	01/07/10	48.9000	440.10	50.6100	455.49	15.39	3.78	0.82%
10.000	01/25/10	46.4100	464.10	50.6100	506.10	42.00	4.20	0.82%
40.000	10/11/10	51.1200	2,044.80	50.6100	2,024.40	-20.40	16.79	0.82%
12.000	12/09/10	48.9000	586.80	50.6100	607.32	20.52	5.02	0.82%
158.000	**Total**		**$7,850.40**		**$7,996.38**	**$145.98**	**$66.31**	
[3]SCHLUMBERGER LTD COM ISIN#AN8068571086			*Security Identifier:* SLB					
Dividend Option· Cash								
33.000	09/23/09	60.8500	2,008.05	83.5000	2,755.50	747.45	27.72	1.00%
13.000	10/05/09	57.8100	751.53	83.5000	1,085.50	333.97	10.92	1.00%
7.000	10/21/09	69.2000	484.40	83.5000	584.50	100.10	5.88	1.00%
5.000	01/07/10	69.2400	346.20	83.5000	417.50	71.30	4.20	1.00%
22.000	10/11/10	63.4100	1,395.02	83.5000	1,837.00	441.98	18.48	1.00%
80.000	**Total**		**$4,985.20**		**$6,680.00**	**$1,694.80**	**$67.20**	
[3]SMITH & NEPHEW P L C SPONSORED ADR NEW			*Security Identifier:* SNN					
Dividend Option. Cash								
30.000	09/23/09	46.5300	1,395.90	52.5500	1,576.50	180.60	21.49	1.36%
12.000	10/05/09	44.1800	530.16	52.5500	630.60	100.44	8.60	1.36%
9.000	01/07/10	50.4600	454.14	52.5500	472.95	18.81	6.45	1.36%
18.000	10/11/10	45.4600	818.28	52.5500	945.90	127.62	12.89	1.36%
69.000	**Total**		**$3,198.48**		**$3,625.95**	**$427.47**	**$49.43**	
[3]SOUTHERN COPPER CORP DEL COM			*Security Identifier:* SCCO					
Dividend Option Cash								
12.000	10/05/09	29.7700	357.24	48.7400	584.88	227.64	20.64	3.52%
6.000	10/21/09	35.7000	214.20	48.7400	292.44	78.24	10.32	3.52%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SOUTHERN COPPER CORP DEL COM *(continued)*								
10.000	01/07/10	35.7400	357.40	48.7400	487.40	130 00	17.20	3.52%
8.000	01/28/10	27.8740	222.99	48.7400	389.92	166.93	13.76	3.52%
24.000	10/11/10	38.6400	927.36	48.7400	1,169.76	242.40	41.28	3.52%
60.000	**Total**		**$2,079.19**		**$2,924.40**	**$845.21**	**$103.20**	
[3]TELEFONICA S A ADR SPONS ADR			*Security Identifier:* TEF					
ISIN#US8793822086								
Dividend Option: Cash								
25 000	09/23/09	83.7800	2,094.50	68.4200	1,710.50	-384.00	104.39	6.10%
6.000	09/23/09	84.5380	507.23	68.4200	410.52	-96.71	25 05	6.10%
10.000	10/05/09	84.0000	840.00	68.4200	684.20	-155.80	41.76	6.10%
5.000	10/21/09	85.9400	429.70	68.4200	342.10	-87.60	20.88	6.10%
6.000	01/07/10	83.7000	502.20	68.4200	410.52	-91.68	25.05	6.10%
26.000	10/11/10	79.1400	2,057.64	68.4200	1,778.92	-278.72	108.57	6.10%
78.000	**Total**		**$6,431.27**		**$5,336.76**	**-$1,094.51**	**$325.70**	
[3]TENCENT HLDGS LTD ADR			*Security Identifier:* TCEHY					
ISIN#US88032Q1094								
Dividend Option: Cash								
59.000	04/23/10	20.7430	1,223.81	21.7270	1,281.89	58.08	2.44	0.19%
24.000	04/26/10	21.2090	509.01	21.7270	521.45	12.44	0.99	0.19%
9 000	06/17/10	16.0140	144.13	21.7270	195.54	51.41	0.37	0.19%
35 000	10/11/10	22.7800	797.30	21.7270	760.45	-36.85	1.45	0.19%
127.000	**Total**		**$2,674.25**		**$2,759.33**	**$85.08**	**$5.25**	
[3]TESCO PLC SPON ADR			*Security Identifier:* TSCDY					
ISIN#US8815753020								
Dividend Option: Cash								
126.000	10/21/09	19.6490	2,475.73	19.9620	2,515.21	39.48	73.05	2.90%
20 000	01/07/10	19.7900	395.80	19.9620	399.24	3.44	11.60	2.90%
14.000	04/21/10	20.3640	285.10	19.9620	279.47	-5.63	8.12	2.90%
81.000	10/11/10	21.0400	1,704.24	19.9620	1,616.92	-87.32	46.96	2.90%
41.000	11/22/10	20.5730	843.51	19.9620	818.44	-25.07	23.77	2.90%
30.000	12/01/10	19.5130	585.38	19.9620	598.86	13.48	17.39	2.90%
312.000	**Total**		**$6,289.76**		**$6,228.14**	**-$61.62**	**$180.89**	
[3]TEVA PHARMACEUTICAL INDUSTRIES LTD ADR			*Security Identifier:* TEVA					
ISIN#US8816242098								
Dividend Option Cash								
55.000	09/23/09	50.8200	2,795.10	52.1300	2,867.15	72 05	36.69	1.27%
23.000	10/05/09	50.3200	1,157.36	52.1300	1,198.99	41.63	15.34	1.27%
10 000	10/21/09	51.6500	516.50	52.1300	521.30	4.80	6.67	1.27%
9.000	01/07/10	57.5000	517.50	52.1300	469.17	-48.33	6.00	1.27%

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA, NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR *(continued)*								
47.000	10/11/10	52.9400	2,488.18	52.1300	2,450.11	-38.07	31.37	1.27%
144.000	**Total**		**$7,474.64**		**$7,506.72**	**$32.08**	**$96.07**	
3TOYOTA MTR CO SPON ADR			*Security Identifier:* TM					
Dividend Option Cash								
16.000	09/23/09	82.4000	1,318.40	78.6300	1,258.08	-60.32	15.24	1.21%
5.000	09/23/09	89.4440	447.22	78.6300	393.15	-54.07	4.76	1.21%
8.000	10/05/09	74.5200	596.16	78.6300	629.04	32.88	7.62	1.21%
3.000	10/21/09	79.6500	238.95	78.6300	235.89	-3.06	2.86	1.21%
7.000	11/19/09	78.0430	546.30	78.6300	550.41	4.11	6.67	1.21%
4.000	12/02/09	82.0300	328.12	78.6300	314.52	-13.60	3.81	1.21%
4.000	01/15/10	90.8880	363.55	78.6300	314.52	-49.03	3.81	1.21%
2.000	08/04/10	74.4200	148.84	78.6300	157.26	8.42	1.90	1.21%
24.000	10/11/10	70.8900	1,701.36	78.6300	1,887.12	185.76	22.85	1.21%
73.000	**Total**		**$5,688.90**		**$5,739.99**	**$51.09**	**$69.52**	
3TURKIYE GARANTI BANKASI ADR			*Security Identifier:* TKGBY					
ISIN#US9001487019								
Dividend Option. Cash								
328.000	02/04/10	4.3350	1,421.72	5.0810	1,666.57	244.85	24.49	1.46%
110 000	03/16/10	4.1610	457.69	5.0810	558.91	101.22	8.21	1.46%
80 000	06/30/10	4.3030	344.21	5.0810	406.48	62.27	5.97	1.46%
56.000	10/07/10	5.9400	332.64	5.0810	284.54	-48.10	4.18	1.46%
217.000	10/11/10	6.2500	1,356.25	5.0810	1,102.58	-253.67	16.20	1.46%
70.000	10/12/10	6.3800	446.60	5.0810	355.67	-90.93	5.23	1 46%
57 000	12/01/10	5.7420	327.28	5.0810	289.61	-37.67	4.26	1.46%
918.000	**Total**		**$4,686.39**		**$4,664.36**	**-$22.03**	**$68.54**	
3VESTAS WIND SYS A/S UTD KINGDOM			*Security Identifier:* VWDRY					
UNSPONSORED ADR REPSTG 1 ORD SHS								
Dividend Option Cash								
57.000	09/23/09	24.5500	1,399.35	10.5570	601.75	-797.60		
29.000	10/05/09	22.5200	653 08	10.5570	306.15	-346.93		
9.000	10/21/09	22.8800	205.92	10.5570	95.01	-110.91		
22.000	12/17/09	20.3100	446.82	10.5570	232.25	-214.57		

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#1 Brokerage Statement
2009 2010
DALBAR RATED
FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
VESTAS WIND SYS A/S UTD KINGDOM *(continued)*								
18.000	12/30/09	20.1720	363.10	10.5570	190.03	-173.07		
16.000	01/07/10	20.5700	329.12	10.5570	168.91	-160.21		
80.000	10/11/10	12.6700	1,013.60	10.5570	844.57	-169.03		
231.000	**Total**		**$4,410.99**		**$2,438.67**	**-$1,972.32**	**$0.00**	
[3]VOLKSWAGEN AG SPONS ADR REPSTG PREF SHS			*Security Identifier:* VLKPY					
ISIN#US9286624021								
Dividend Option. Cash								
37.000	08/02/10	21.7310	804.06	32.6830	1,209.27	405.21	12.02	0.99%
32.000	10/07/10	24.3500	779.20	32.6830	1,045.86	266.66	10.40	0.99%
33.000	10/11/10	25.2000	831.60	32.6830	1,078.54	246.94	10.72	0.99%
102.000	**Total**		**$2,414.86**		**$3,333.67**	**$918.81**	**$33.14**	
[3]WAL MART DE MEXICO SA DE CV SPONS ADR			*Security Identifier:* WMMVY					
ISIN#US93114W1071 REPSTG SER V SHS								
Dividend Option. Cash								
53.000	09/23/09	17.9250	950 02	28.6120	1,516.44	566.42	30 36	2.00%
34.000	10/05/09	17.0150	578.51	28.6120	972.81	394.30	19.48	2.00%
16.000	10/21/09	18.8250	301.20	28.6120	457.79	156.59	9.16	2.00%
16.000	01/07/10	23.4000	374.40	28.6120	457.79	83.39	9.16	2.00%
58.000	10/11/10	25.1900	1,461.02	28.6120	1,659.50	198.48	33.22	2.00%
12.000	10/12/10	25.0500	300.60	28.6120	343.34	42.74	6.88	2.00%
189.000	**Total**		**$3,965.75**		**$5,407.67**	**$1,441.92**	**$108.26**	
Total Common Stocks			**$210,806.61**		**$239,767.37**	**$28,960.76**	**$3,744.39**	
Preferred Stocks *(Listed by expiration date)*								
[3]CHINA MERCAHNTS SHS			*Security Identifier:* CMHHY					
ISIN#US1694EN1030								
Dividend Option Cash								
19.000	10/12/09	32.5630	618.70	39.6300	752.97	134.27	13.18	1.74%
22.000	10/13/09	33.3870	734.52	39.6300	871.86	137.34	15.26	1.74%
20.000	10/20/09	34.9250	698.50	39.6300	792.60	94.10	13.87	1.74%
7.000	10/21/09	35.5000	248.50	39.6300	277.41	28.91	4.85	1.74%
11.000	10/07/10	36.4900	401.39	39.6300	435.93	34.54	7.63	1.74%
31.000	10/11/10	36.8400	1,142.04	39.6300	1,228.53	86.49	21.49	1.74%
110.000	**Total**		**$3,843.65**		**$4,359.30**	**$515.65**	**$76.28**	
EMBRAER S A SPONSORED ADR REPSTG			*Security Identifier:* ERJ					
PFD SHS ISIN#US29082A1079								
Dividend Option: Cash								
47.000	09/23/09	25.0900	1,179.23	29.4000	1,381.80	202.57	8 03	0.58%
23.000	10/05/09	22.9600	528.08	29.4000	676.20	148.12	3.93	0.58%
11.000	10/21/09	23.2600	255 86	29.4000	323.40	67.54	1.88	0.58%

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION
Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Preferred Stocks *(continued)*								
EMBRAER S A SPONSORED ADR REPSTG *(continued)*								
20.000	01/07/10	22.3200	446.40	29.4000	588.00	141.60	3.42	0.58%
36.000	10/11/10	29.4800	1,061.28	29.4000	1,058.40	-2.88	6.15	0.58%
14.000	10/12/10	29.0900	407.26	29.4000	411.60	4.34	2.38	0.58%
151.000	**Total**		**$3,878.11**		**$4,439.40**	**$561.29**	**$25.79**	
[3]ITAU UNIBANCO HLDG SA NS SPONSORED ADR			*Security Identifier:* ITUB					
REPSTG 500 PFD ISIN#US4655621062								
Dividend Option Cash								
42.000	09/23/09	18.9500	795.90	24.0100	1,008.42	212.52	3.46	0.34%
26.000	10/05/09	20.5200	533.52	24.0100	624.26	90.74	2.14	0.34%
14.000	10/21/09	21.0800	295.12	24.0100	336.14	41.02	1.15	0.34%
22.000	05/20/10	17.5960	387.12	24.0100	528.22	141.10	1.81	0.34%
41.000	10/11/10	25.4700	1,044.27	24.0100	984.41	-59.86	3.37	0.34%
145.000	**Total**		**$3,055.93**		**$3,481.45**	**$425.52**	**$11.93**	
Total Preferred Stocks			**$10,777.69**		**$12,280.15**	**$1,502.46**	**$114.00**	
Total Equities			**$221,584.30**		**$252,047.52**	**$30,463.22**	**$3,858.39**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$228,584.95**	**$259,048.17**	**$30,463.22**	**$0.00**	**$3,859.55**

[3] The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

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#1 Brokerage Statement, 2009 2010 DALBAR RATED FOR COMMUNICATION

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Pershing LLC member FINRA NYSE SIPC

Recipient's Name and Address

MAVERICK LLOYD FOUNDATION
PO BOX 99

Account Number: JWT-001379

Recipient's Identification Number: 36-7093389

2010 TAX and YEAR-END STATEMENT

Money Market and Bond Funds. Dividends paid on money market and bond funds are non qualified dividends As such, these dividends are reported in Box 1a, but not Box 1b, in this section of your Tax Information Statement

Box 2a–Total Capital Gain Distributions. This shows total capital gain distributions (long term) from a regulated investment company or REIT Report the amounts shown in Box 2a on Schedule D of IRS Form 1040, line 13 But, if no amount is shown in Boxes 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Box 2a on line 13 of IRS Form 1040 rather than Schedule D See IRS Form 1040 instructions

Box 2b–Unrecaptured Section 1250 Gain. This shows the portion of the amount in Box 2a that is unrecaptured Section 1250 gain from certain depreciable real property Use this amount when completing the Unrecaptured Section 1250 Gain Worksheet found in the line 19 instructions for Schedule D (IRS Form 1040)

Box 2c–Section 1202 Gain. This shows the portion of the amount in Box 2a that is a Section 1202 gain from certain small business stock that may be subject to a 50% exclusion See the Schedule D (IRS Form 1040) instructions

Box 2d–Collectibles (28%) Gain. This shows the 28% rate gain from sales or exchanges of collectibles If required, use this amount when completing the 28% Rate Gain Worksheet, line 18, in the instructions for Schedule D (IRS Form 1040)

Box 3–Nondividend Distributions. This shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis) You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your investment But if you have recovered all your cost (or other basis), report future nontaxable distributions as capital gains, even though this form shows them as nontaxable See *IRS Publication 550, Investment Income and Expenses*

Box 4–Federal Income Tax Withheld. Report federal income tax withheld (backup withholding) as a payment on IRS Form 1040 (U S. Individual Income Tax Return), line 61 Federal income tax withheld is 28% of dividends See the Additional Information section of these instructions

Box 5–Investment Expenses. Your share of a UIT's and WHFIT's expenses are reported in Box 5 You may deduct these expenses, subject to the 2% limit, on the "Other Expenses" line of IRS Form 1040, Schedule A, line 23 These expenses are included in Box 1a

Box 6–Foreign Tax Paid. This shows the foreign tax you may be able to claim as a deduction or a credit on IRS Form 1040 See the IRS Form 1040 instructions and the Foreign Tax Paid section of these instructions

Boxes 8 and 9–Cash and Noncash Liquidation Distributions. This shows cash and noncash liquidation distributions Generally, liquidation distributions are treated as amounts received from the sale or exchange of a capital asset and should be reported on IRS Form 1040, Schedule D

****Special Message for Owners of Mutual Funds, Unit Investment Trusts (UITs), Widely Held Fixed Investment Trusts (WHFITs), Widely Held Mortgage Trusts (WHMTs) and Real Estate Investment Trusts (REITs)** – If you held one or more of these investments, we may send you a revised Tax Information Statement if we did not receive reallocation information by February 4 Not all issuers make their final distribution information available until after January When we have not received the distribution information from the issuer, we have denoted the income with a symbol (**) on your Tax Information Statement Income and interest declared on these investments in October, November or December of 2010 is considered received on December 31, 2010, even if the income or interest was not actually paid until January (in the case of regulated investment companies (RICs) (or mutual funds) and REITs) or February (in the case of WHFITs and WHMTs) of 2011 Accordingly, these amounts are reported on your 2010 IRS Form 1099 UIT revisions will be mailed by March 15, 2011 Supplemental information regarding the percentages of tax-exempt income on municipal funds by state and the percentage of government agency, direct federal and foreign source for funds will be available by March 1, 2011, via a link at www mytaxhandbook.com.

PART 1

TRANSACTIONS WE DO NOT REPORT TO THE IRS

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
10/05/10	10/20/09	SELL	LORD ABBETT CONVERTIBLE FUND	LACFX	745 402	7,634 18	8,199 42	565.24
10/05/10	12/23/09	SELL	LORD ABBETT CONVERTIBLE FUND	LACFX	38.227	391.51	420.50	28 99
10/05/10	12/30/09	SELL	LORD ABBETT CONVERTIBLE FUND	LACFX	81.815	837 92	899.97	62 05
10/05/10	01/06/10	SELL	LORD ABBETT CONVERTIBLE FUND	LACFX	556 070	5,695 10	6,116.77	421.67
Total Short Term						**14,558.71** ✓	**15,636.66** ✓	**1,077.95** ✓

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MAVERICK LLOYD FOUNDATION
PO BOX 99

Account Number: JWT-001379

Recipient's Identification Number: 36-7093389

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
10/05/10	09/16/09	SELL	LORD ABBETT CONVERTIBLE FUND	LACFX	1,522 954	15,597 62	16,752 49	-1,154.87
10/05/10	09/30/09	SELL	LORD ABBETT CONVERTIBLE FUND	LACFX	45.033	461 21	495.36	34 15
10/05/10	10/02/09	SELL	LORD ABBETT CONVERTIBLE FUND	LACFX	1,807 229	18,509 08	19,879 52	1,370.44
Total Long Term						**34,567.91** ✓	**37,127.37** ✓	**2,559.46** ✓
Total Short Term and Long Term						**49,126.62**	**52,764.03**	**3,637.41**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes** - If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.
- **Corporate Actions** - For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action
- **Cost Basis Service Enrollment** - The Schedule of Realized Gains and Losses will only be displayed for closing transactions during the period the account was enrolled in the cost basis service However, proceeds will be reported on the 1099 for the full year.
- **Cost Basis Service Reenrollment** - The Schedule of Realized Gains and Losses provides you with the activity after your account was reactivated in the cost basis service. However, proceeds will be reported on the 1099 for the full year.

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Recipient's Name and Address:

MAVERICK LLOYD FOUNDATION
PO BOX 99

Account Number: JW4-001855

Recipient's Identification
Number 36-7093389

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SECURITIES PURCHASED *(Continued)*

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
WESTERN UN CO COM	959802109	10/12/2010	105	1,862.54		
WYNN RESORTS LTD COM	983134107	10/12/2010	15	1,535 55		
Total				**248,237.35** ✓	**0.00**	

Securities Purchased. Securities purchased through your account during 2010 are displayed in this section We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased Accrued interest purchased is reported separately in this section of your Tax Information Statement The cost of securities purchased during 2010 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
10/12/10	10/21/09	SELL	COVIDIEN PLC SHS	COV	7 000	306 67	286 64	(20 03)
10/12/10	01/07/10	SELL	COVIDIEN PLC SHS	COV	8 000	389 76	327 59	(62 17)
10/12/10	05/21/10	SELL	COVIDIEN PLC SHS	COV	10.000	411 04	409 49	(1 55)
10/12/10	05/24/10	SELL	COVIDIEN PLC SHS	COV	18.000	750 34	737 09	(13 25)
10/12/10	05/26/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	44 000	832 31	747 60	(84 71)
10/12/10	05/28/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	32 000	607 04	543 71	(63 33)
10/12/10	06/01/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	12 000	229.92	203 89	(26 03)
10/12/10	06/02/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	16.000	311 52	271 85	(39 67)
10/12/10	06/02/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	23.000	446 20	390 79	(55 41)
10/12/10	06/17/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	31 000	591 54	526 72	(64.82)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	06/18/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	14 000	266 42	237 87	(28 55)
10/12/10	08/12/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	27 000	393 31	458 75	65 44
10/12/10	08/12/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	33 000	476 19	560 71	84.52
10/12/10	11/24/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	3 000	71 25	74 94	3 69
10/12/10	11/25/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	4 000	96.76	99.93	3 17
10/12/10	11/30/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	6 000	145 94	149 89	3 95
10/12/10	11/30/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	6 000	146 64	149 89	3 25
10/12/10	12/01/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	6.000	153 19	149 89	(3.30)
10/12/10	12/01/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	8 000	200 57	199 85	(0 72)
10/12/10	12/02/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	5 000	129.95	124 91	(5 04)
10/12/10	12/02/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	5 000	130.55	124 91	(5 64)
10/12/10	12/02/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	6.000	156 06	149 89	(6 17)
10/12/10	12/03/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	3 000	77.76	74 94	(2 82)
10/12/10	12/03/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	4 000	103 68	99 93	(3 75)
10/12/10	12/04/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	6 000	154.60	149 89	(4 71)
10/12/10	12/04/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	6.000	155 78	149 89	(5 89)
10/12/10	12/08/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	8 000	202 50	199 85	(2 65)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	12/09/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	7.000	182.21	174 87	(7.34)
10/12/10	12/10/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	5.000	135 80	124 91	(10.89)
10/12/10	12/29/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	8.000	226 48	199.85	(26 63)
10/12/10	12/30/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	3.000	85 02	74 94	(10 08)
10/12/10	12/31/09	SELL	WARNER CHILCOTT PLC SHS A	WCRX	2.000	56 86	49 96	(6 90)
10/12/10	01/04/10	SELL	WARNER CHILCOTT PLC SHS A	WCRX	6 000	173.94	149 89	(24.05)
10/12/10	01/05/10	SELL	WARNER CHILCOTT PLC SHS A	WCRX	7.000	200 51	174 87	(25 64)
10/12/10	01/07/10	SELL	WARNER CHILCOTT PLC SHS A	WCRX	10 000	285 10	249 81	(35 29)
10/12/10	02/01/10	SELL	WARNER CHILCOTT PLC SHS A	WCRX	4.000	101 12	99 93	(1.19)
10/12/10	02/01/10	SELL	WARNER CHILCOTT PLC SHS A	WCRX	6.000	151.86	149 89	(1 97)
10/12/10	02/04/10	SELL	WARNER CHILCOTT PLC SHS A	WCRX	6 000	155 45	149 89	(5 56)
10/12/10	02/04/10	SELL	WARNER CHILCOTT PLC SHS A	WCRX	7 000	180 11	174 87	(5 24)
10/12/10	02/04/10	SELL	WARNER CHILCOTT PLC SHS A	WCRX	11.000	284 42	274 80	(9.62)
10/12/10	02/05/10	SELL	WARNER CHILCOTT PLC SHS A	WCRX	9 000	230 69	224 83	(5.86)
10/12/10	06/18/10	SELL	ALCON INC COM SHS ISIN#CH0013826497	ACL	2.000	300 52	336 53	36 01
10/12/10	06/21/10	SELL	ALCON INC COM SHS ISIN#CH0013826497	ACL	4 000	604 02	673 07	69 05
10/12/10	06/22/10	SELL	ALCON INC COM SHS ISIN#CH0013826497	ACL	2.000	301 53	336 53	35 00

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	06/22/10	SELL	ALCON INC COM SHS ISIN#CH0013826497	ACL	3 000	455 22	504 80	49 58
10/12/10	06/28/10	SELL	ALCON INC COM SHS ISIN#CH0013826497	ACL	2.000	304.38	336 53	32.15
10/12/10	06/28/10	SELL	ALCON INC COM SHS ISIN#CH0013826497	ACL	3 000	456 09	504 80	48 71
10/12/10	06/29/10	SELL	ALCON INC COM SHS ISIN#CH0013826497	ACL	3 000	448 06	504 80	56 74
10/12/10	06/29/10	SELL	ALCON INC COM SHS ISIN#CH0013826497	ACL	3.000	449 94	504 81	54 87
10/12/10	10/13/09	SELL	WEATHERFORD INTL LTD REG	WFT	21 000	405 30	378.24	(27 06)
10/12/10	10/13/09	SELL	WEATHERFORD INTL LTD REG	WFT	19.000	365.56	342.22	(23.34)
10/12/10	10/21/09	SELL	WEATHERFORD INTL LTD REG	WFT	14 000	275.24	252.16	(23 08)
10/12/10	10/22/09	SELL	WEATHERFORD INTL LTD REG	WFT	18.000	366 48	324.21	(42 27)
10/12/10	10/23/09	SELL	WEATHERFORD INTL LTD REG	WFT	21 000	420 63	378 24	(42.39)
10/12/10	01/07/10	SELL	WEATHERFORD INTL LTD REG	WFT	17 000	330 65	306.21	(24 44)
10/12/10	05/17/10	SELL	ABBOTT LABS COM	ABT	90.000	4,347.00	4,818 51	471 51
10/12/10	05/05/10	SELL	ADOBE SYS INC COM	ADBE	16 000	534 83	443 38	(91 45)
10/12/10	05/05/10	SELL	ADOBE SYS INC COM	ADBE	19 000	627 76	526 52	(101 24)
10/12/10	05/06/10	SELL	ADOBE SYS INC COM	ADBE	18 000	572 99	498 81	(74 18)
10/12/10	05/06/10	SELL	ADOBE SYS INC COM	ADBE	20 000	672 43	554 23	(118 20)
10/12/10	05/07/10	SELL	ADOBE SYS INC COM	ADBE	9 000	293 58	249 40	(44 18)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	07/01/10	SELL	ADOBE SYS INC COM	ADBE	30 000	801 00	831 34	30.34
10/12/10	03/02/10	SELL	AETNA US HEALTHCARE INC COM	AET	29.000	899.58	901 35	1.77
10/12/10	03/03/10	SELL	AETNA US HEALTHCARE INC COM	AET	43 000	1,339.36	1,336 48	(2.88)
10/12/10	03/04/10	SELL	AETNA US HEALTHCARE INC COM	AET	26.000	789 71	808 10	18 39
10/12/10	03/04/10	SELL	AETNA US HEALTHCARE INC COM	AET	19 000	590 77	590 54	(0 23)
10/12/10	02/22/10	SELL	AIR PRODS & CHEMS INC COM	APD	6 000	418 13	496 25	78 12
10/12/10	02/22/10	SELL	AIR PRODS & CHEMS INC COM	APD	9 000	627 06	744 38	117 32
10/12/10	02/23/10	SELL	AIR PRODS & CHEMS INC COM	APD	5 000	349 68	413 54	63 86
10/12/10	02/23/10	SELL	AIR PRODS & CHEMS INC COM	APD	5 000	350.51	413 54	63 03
10/12/10	02/24/10	SELL	AIR PRODS & CHEMS INC COM	APD	6.000	419 80	496 25	76 45
10/12/10	02/24/10	SELL	AIR PRODS & CHEMS INC COM	APD	8 000	559 20	661 67	102 47
10/12/10	02/24/10	SELL	AIR PRODS & CHEMS INC COM	APD	10 000	699 72	827 09	127 37
10/12/10	10/21/09	SELL	AMAZON COM INC	AMZN	1 000	95 38	156 87	61 49
10/12/10	12/28/09	SELL	AMAZON COM INC	AMZN	6 000	846 30	941 20	94 90
10/12/10	07/08/10	SELL	AMAZON COM INC	AMZN	3 000	350 31	470 60	120 29
10/12/10	07/29/10	SELL	AMAZON COM INC	AMZN	4 000	470 41	627 47	157 06
10/12/10	10/21/09	SELL	APPLE INC COM	AAPL	3.000	617 16	898 00	280.84

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	10/21/09	SELL	APPLE INC COM	AAPL	3 000	618 00	898 00	280 00
10/12/10	10/30/09	SELL	APPLE INC COM	AAPL	4 000	759.38	1,197 34	437 96
10/12/10	01/07/10	SELL	APPLE INC COM	AAPL	2.000	420 42	598 67	178 25
10/12/10	01/08/10	SELL	APPLE INC COM	AAPL	2.000	421 50	598 68	177 18
10/12/10	10/21/09	SELL	BANCO SANTANDER SA ADR	STD	8 000	139.44	102.56	(36.88)
10/12/10	11/06/09	SELL	BANCO SANTANDER SA ADR	STD	1.000	16 72	12 82	(3 90)
10/12/10	01/08/10	SELL	BANCO SANTANDER SA ADR	STD	33.000	565 62	423 06	(142 56)
10/12/10	05/26/10	SELL	BANCO SANTANDER SA ADR	STD	171.000	1,744.20	2,192.20	448 00
10/12/10	03/08/10	SELL	BANK OF NEW YORK MELLON CORP COM	BK	15 000	444 30	398.26	(46 04)
10/12/10	03/09/10	SELL	BANK OF NEW YORK MELLON CORP COM	BK	22.000	650 29	584 12	(66 17)
10/12/10	03/09/10	SELL	BANK OF NEW YORK MELLON CORP COM	BK	24.000	713.74	637.22	(76.52)
10/12/10	05/26/10	SELL	BANK OF NEW YORK MELLON CORP COM	BK	28 000	779 00	743.43	(35 57)
10/12/10	08/17/10	SELL	BANK OF NEW YORK MELLON CORP COM	BK	15.000	380.20	398.26	18.06
10/12/10	08/17/10	SELL	BANK OF NEW YORK MELLON CORP COM	BK	18.000	446 68	477.92	31 24
10/12/10	08/18/10	SELL	BANK OF NEW YORK MELLON CORP COM	BK	13 000	326.78	345.17	18 39
10/12/10	10/21/09	SELL	BAXTER INTL INC COM	BAX	14 000	777 14	691 87	(85.27)
10/12/10	10/21/09	SELL	BOEING CO COM	BA	5 000	257.20	351 94	94 74

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	08/02/10	SELL	BORGWARNER INC COM	BWA	9.000	412 86	479 96	67 10
10/12/10	08/03/10	SELL	BORGWARNER INC COM	BWA	10 000	456 61	533 29	76.68
10/12/10	08/04/10	SELL	BORGWARNER INC COM	BWA	19 000	872 15	1,013 25	141 10
10/12/10	08/05/10	SELL	BORGWARNER INC COM	BWA	10.000	468 72	533 29	64 57
10/12/10	08/06/10	SELL	BORGWARNER INC COM	BWA	9 000	420.78	479 96	59 18
10/12/10	08/06/10	SELL	BORGWARNER INC COM	BWA	12 000	560 76	639 95	79 19
10/12/10	08/09/10	SELL	BORGWARNER INC COM	BWA	4 000	189.07	213 32	24 25
10/12/10	08/10/10	SELL	BORGWARNER INC COM	BWA	2 000	91 08	106.66	15 58
10/12/10	07/13/10	SELL	CSX CORPORATION	CSX	6 000	310 67	344 39	33 72
10/12/10	07/14/10	SELL	CSX CORPORATION	CSX	13.000	681 06	746 19	65.13
10/12/10	07/15/10	SELL	CSX CORPORATION	CSX	14 000	716 82	803 58	86 76
10/12/10	07/26/10	SELL	CSX CORPORATION	CSX	6 000	323.59	344 39	20.80
10/12/10	07/26/10	SELL	CSX CORPORATION	CSX	9.000	484 27	516 59	32 32
10/12/10	07/27/10	SELL	CSX CORPORATION	CSX	8 000	424.62	459 19	34 57
10/12/10	07/27/10	SELL	CSX CORPORATION	CSX	8 000	425 03	459 20	34 17
10/12/10	10/21/09	SELL	CELGENE CORP	CELG	8 000	437 68	464 33	26 65
10/12/10	01/07/10	SELL	CELGENE CORP	CELG	8.000	448 08	464 33	16.25

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	07/23/10	SELL	CELGENE CORP	CELG	4.000	210 86	232.16	21 30
10/12/10	07/26/10	SELL	CELGENE CORP	CELG	12 000	652 79	696 49	43 70
10/12/10	10/21/09	SELL	CISCO SYSTEMS INC	CSCO	10 000	243 40	226 82	(16 58)
10/12/10	01/07/10	SELL	CISCO SYSTEMS INC	CSCO	9 000	218.61	204 13	(14 48)
10/12/10	01/08/10	SELL	CISCO SYSTEMS INC	CSCO	40 000	980 80	907 26	(73 54)
10/12/10	06/04/10	SELL	CISCO SYSTEMS INC	CSCO	34 000	791 18	771.17	(20 01)
10/12/10	06/09/10	SELL	CISCO SYSTEMS INC	CSCO	10 000	232 60	226 82	(5 78)
10/12/10	06/09/10	SELL	CISCO SYSTEMS INC	CSCO	19.000	438 33	430 95	(7 38)
10/12/10	06/29/10	SELL	CISCO SYSTEMS INC	CSCO	7.000	151.41	158 77	7 36
10/12/10	06/30/10	SELL	CISCO SYSTEMS INC	CSCO	9.000	194.85	204.13	9 28
10/12/10	07/01/10	SELL	CISCO SYSTEMS INC	CSCO	8 000	168 88	181 45	12 57
10/12/10	07/08/10	SELL	CISCO SYSTEMS INC	CSCO	10.000	223 20	226 83	3 63
10/12/10	10/21/09	SELL	CONAGRA FOODS INC COM	CAG	24 000	535.20	529.48	(5 72)
10/12/10	01/08/10	SELL	CONAGRA FOODS INC COM	CAG	15 000	346 05	330 93	(15 12)
10/12/10	10/21/09	SELL	CONOCOPHILLIPS COM	COP	3.000	161 10	179 31	18 21
10/12/10	01/08/10	SELL	CONOCOPHILLIPS COM	COP	10 000	526 80	597 69	70 89
10/12/10	10/21/09	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	6 000	392 34	426 65	34 31

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	10/16/09	SELL	DISNEY WALT CO DISNEY COM	DIS	12 000	348 96	414 49	65 53
10/12/10	10/16/09	SELL	DISNEY WALT CO DISNEY COM	DIS	12.000	352.44	414 49	62 05
10/12/10	10/16/09	SELL	DISNEY WALT CO DISNEY COM	DIS	12 000	353 59	414 49	60.90
10/12/10	10/19/09	SELL	DISNEY WALT CO DISNEY COM	DIS	12 000	353 52	414.49	60 97
10/12/10	10/21/09	SELL	DISNEY WALT CO DISNEY COM	DIS	6 000	178 62	207 25	28.63
10/12/10	10/29/09	SELL	DISNEY WALT CO DISNEY COM	DIS	7 000	195 86	241 79	45 93
10/12/10	10/30/09	SELL	DISNEY WALT CO DISNEY COM	DIS	6 000	164 69	207 25	42 56
10/12/10	11/03/09	SELL	DISNEY WALT CO DISNEY COM	DIS	1 000	27 69	34 54	6 85
10/12/10	11/03/09	SELL	DISNEY WALT CO DISNEY COM	DIS	4 000	110.12	138 16	28 04
10/12/10	01/07/10	SELL	DISNEY WALT CO DISNEY COM	DIS	4 000	127 04	138 16	11 12
10/12/10	02/08/10	SELL	DISNEY WALT CO DISNEY COM	DIS	29 000	862 59	1,001 69	139 10
10/12/10	05/26/10	SELL	DISNEY WALT CO DISNEY COM	DIS	20 000	663 08	690 81	27.73
10/12/10	10/21/09	SELL	DOMINION RES INC VA COM	D	13.000	457 73	577 08	119 35
10/12/10	02/25/10	SELL	DOW CHEM CO	DOW	142 000	4,004 33	4,237 37	233 04
10/12/10	10/21/09	SELL	EMC CORP (MASS) COM	EMC	26 000	462 28	526.30	64 02
10/12/10	01/08/10	SELL	EMC CORP (MASS) COM	EMC	36 000	631 08	728 72	97 64
10/12/10	02/11/10	SELL	EMC CORP (MASS) COM	EMC	17.000	291 72	344 12	52.40

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	02/12/10	SELL	EMC CORP (MASS) COM	EMC	40 000	679 93	809 69	129.76
10/12/10	02/12/10	SELL	EMC CORP (MASS) COM	EMC	41 000	700 28	829 94	129 66
10/12/10	02/16/10	SELL	EMC CORP (MASS) COM	EMC	30 000	521.39	607 28	85 89
10/12/10	11/10/09	SELL	EAST WEST BANCORP INC COM	EWBC	145 000	1,861 60	2,444 89	583.29
10/12/10	08/18/10	SELL	EBAY INC COM	EBAY	85 000	1,938 03	2,086 71	148 68
10/12/10	10/21/09	SELL	ELAN PLC ADR REPSTG SHS	ELN	29 000	190.24	172 58	(17 66)
10/12/10	01/07/10	SELL	ELAN PLC ADR REPSTG SHS	ELN	27.000	204 39	160 68	(43 71)
10/12/10	06/16/10	SELL	FEDEX CORP COM	FDX	21.000	1,706 69	1,849 23	142 54
10/12/10	06/16/10	SELL	FEDEX CORP COM	FDX	22 000	1,767.71	1,937 28	169.57
10/12/10	08/17/10	SELL	FEDEX CORP COM	FDX	4.000	337 31	352 23	14.92
10/12/10	08/18/10	SELL	FEDEX CORP COM	FDX	9 000	753 70	792 53	38 83
10/12/10	10/21/09	SELL	GENTEX CORP COM	GNTX	19 000	330.60	393 30	62 70
10/12/10	08/05/10	SELL	GILEAD SCIENCES INC	GILD	24.000	856 56	868 55	11 99
10/12/10	08/05/10	SELL	GILEAD SCIENCES INC	GILD	24.000	861 09	868 55	7 46
10/12/10	08/06/10	SELL	GILEAD SCIENCES INC	GILD	25 000	887 50	904.73	17.23
10/12/10	08/10/10	SELL	GILEAD SCIENCES INC	GILD	13 000	461 50	470 46	8 96
10/12/10	08/11/10	SELL	GILEAD SCIENCES INC	GILD	11 000	383 12	398 08	14 96

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	07/19/10	SELL	GOLDMAN SACHS GROUP INC COM	GS	15 000	2,201 48	2,328 71	127.23
10/12/10	07/20/10	SELL	GOLDMAN SACHS GROUP INC COM	GS	2 000	291 96	310 49	18 53
10/12/10	10/21/09	SELL	GOODRICH CORP	GR	5 000	276 75	382 55	105 80
10/12/10	10/29/09	SELL	GOODRICH CORP	GR	5.000	277 86	382.55	104 69
10/12/10	10/30/09	SELL	GOODRICH CORP	GR	3 000	162.78	229 53	66 75
10/12/10	11/03/09	SELL	GOODRICH CORP	GR	2 000	109 32	153 02	43.70
10/12/10	01/07/10	SELL	GOODRICH CORP	GR	7 000	466 27	535 55	69 28
10/12/10	10/19/09	SELL	GOOGLE INC CL A	GOOG	2 000	1,103 70	1,082 20	(21 50)
10/12/10	10/21/09	SELL	GOOGLE INC CL A	GOOG	1 000	558 84	541.10	(17.74)
10/12/10	01/07/10	SELL	GOOGLE INC CL A	GOOG	1 000	604 24	541 10	(63.14)
10/12/10	10/21/09	SELL	HALLIBURTON CO COM	HAL	9 000	281.70	314 38	32.68
10/12/10	12/17/09	SELL	HALLIBURTON CO COM	HAL	14 000	420 00	489 03	69 03
10/12/10	01/07/10	SELL	HALLIBURTON CO COM	HAL	11 000	352 66	384 24	31.58
10/12/10	06/16/10	SELL	HALLIBURTON CO COM	HAL	5.000	133 10	174 65	41.55
10/12/10	06/23/10	SELL	HALLIBURTON CO COM	HAL	5 000	128.85	174 65	45 80
10/12/10	06/24/10	SELL	HALLIBURTON CO COM	HAL	3 000	75 36	104 79	29.43
10/12/10	06/29/10	SELL	HALLIBURTON CO COM	HAL	10 000	246 00	349 32	103 32

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	05/06/10	SELL	HEINZ H J COMPANY	HNZ	83.000	3,851 75	4,003 85	152 10
10/12/10	01/04/10	SELL	HONEYWELL INTL INC COM	HON	20.000	805 93	911 58	105 65
10/12/10	01/04/10	SELL	HONEYWELL INTL INC COM	HON	21.000	846.48	957 16	110 68
10/12/10	01/05/10	SELL	HONEYWELL INTL INC COM	HON	6 000	241 80	273 48	31.68
10/12/10	01/05/10	SELL	HONEYWELL INTL INC COM	HON	13 000	524 58	592 53	67.95
10/12/10	01/06/10	SELL	HONEYWELL INTL INC COM	HON	14 000	565.28	638 11	72.83
10/12/10	01/07/10	SELL	HONEYWELL INTL INC COM	HON	7.000	283.85	319 05	35 20
10/12/10	01/29/10	SELL	HONEYWELL INTL INC COM	HON	7.000	270 30	319 06	48.76
10/12/10	10/21/09	SELL	ILLUMINA INC COM	ILMN	6 000	251 40	298.49	47 09
10/12/10	08/02/10	SELL	MANULIFE FINL CORP COM	MFC	10 000	162 12	123 12	(39 00)
10/12/10	08/03/10	SELL	MANULIFE FINL CORP COM	MFC	17 000	271.79	209 30	(62.49)
10/12/10	08/04/10	SELL	MANULIFE FINL CORP COM	MFC	27 000	424 12	332 42	(91 70)
10/12/10	08/04/10	SELL	MANULIFE FINL CORP COM	MFC	17 000	269 16	209 30	(59.86)
10/12/10	08/10/10	SELL	MANULIFE FINL CORP COM	MFC	12 000	163.29	147 74	(15 55)
10/12/10	08/11/10	SELL	MANULIFE FINL CORP COM	MFC	31 000	401 89	381.67	(20.22)
10/12/10	08/12/10	SELL	MANULIFE FINL CORP COM	MFC	23 000	283 22	283 17	(0 05)
10/12/10	08/17/10	SELL	MANULIFE FINL CORP COM	MFC	19 000	232 90	233 92	1.02

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	08/18/10	SELL	MANULIFE FINL CORP COM	MFC	24.000	292.78	295 48	2 70
10/12/10	08/19/10	SELL	MANULIFE FINL CORP COM	MFC	28 000	329 24	344 73	15 49
10/12/10	10/21/09	SELL	NATIONAL OILWELL VARCO INC	NOV	9.000	438 21	422 56	(15 65)
10/12/10	01/07/10	SELL	NATIONAL OILWELL VARCO INC	NOV	9 000	427 05	422 56	(4 49)
10/12/10	05/10/10	SELL	NIKE INC CLASS B	NKE	17.000	1,295 38	1,394 14	98 76
10/12/10	05/11/10	SELL	NIKE INC CLASS B	NKE	7 000	537 17	574 06	36 89
10/12/10	05/11/10	SELL	NIKE INC CLASS B	NKE	16.000	1,232.77	1,312 14	79.37
10/12/10	05/12/10	SELL	NIKE INC CLASS B	NKE	5 000	382 78	410 04	27 26
10/12/10	06/09/10	SELL	NIKE INC CLASS B	NKE	6 000	418.80	492 05	73 25
10/12/10	06/09/10	SELL	NIKE INC CLASS B	NKE	7 000	491 52	574 06	82.54
10/12/10	10/21/09	SELL	OCCIDENTAL PETE CORP COM	OXY	1 000	83 31	83 42	0 11
10/12/10	01/07/10	SELL	OCCIDENTAL PETE CORP COM	OXY	2 000	165 58	166 84	1 26
10/12/10	10/19/09	SELL	ORACLE CORP COM	ORCL	14 000	314 16	391.01	76.85
10/12/10	10/19/09	SELL	ORACLE CORP COM	ORCL	18 000	402 84	502 73	99 89
10/12/10	10/21/09	SELL	ORACLE CORP COM	ORCL	20 000	443 80	558 59	114 79
10/12/10	01/07/10	SELL	ORACLE CORP COM	ORCL	19 000	463 60	530 67	67 07
10/12/10	04/26/10	SELL	PEABODY ENERGY CORP COM	BTU	9 000	446.51	458 91	12.40

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	04/26/10	SELL	PEABODY ENERGY CORP COM	BTU	10.000	499 44	509 90	10.46
10/12/10	04/27/10	SELL	PEABODY ENERGY CORP COM	BTU	10 000	480 87	509 90	29.03
10/12/10	04/27/10	SELL	PEABODY ENERGY CORP COM	BTU	10 000	494 36	509 90	15 54
10/12/10	04/28/10	SELL	PEABODY ENERGY CORP COM	BTU	9 000	436.15	458 91	22.76
10/12/10	04/28/10	SELL	PEABODY ENERGY CORP COM	BTU	10 000	481.16	509 90	28.74
10/12/10	05/25/10	SELL	PEABODY ENERGY CORP COM	BTU	14 000	513.57	713 86	200.29
10/12/10	05/26/10	SELL	PEABODY ENERGY CORP COM	BTU	11.000	425.15	560.89	135 74
10/12/10	05/26/10	SELL	PEABODY ENERGY CORP COM	BTU	24 000	930 96	1,223.77	292 81
10/12/10	08/11/10	SELL	PEABODY ENERGY CORP COM	BTU	4 000	182 71	203.98	21.27
10/12/10	10/21/09	SELL	PEPSICO INC	PEP	6 000	372.06	397.31	25 25
10/12/10	12/09/09	SELL	PEPSICO INC	PEP	4 000	245.72	264.88	19.16
10/12/10	12/09/09	SELL	PEPSICO INC	PEP	6 000	370.14	397 31	27.17
10/12/10	01/07/10	SELL	PEPSICO INC	PEP	6.000	366.54	397 32	30.78
10/12/10	10/18/09	SELL	QUALCOMM INC	QCOM	8.000	356 70	358 55	1 85
10/12/10	10/21/09	SELL	QUALCOMM INC	QCOM	5 000	208 65	224 10	15.45
10/12/10	11/02/09	SELL	QUALCOMM INC	QCOM	19.000	792 89	851 56	58 67
10/12/10	01/07/10	SELL	QUALCOMM INC	QCOM	9 000	431 46	403 37	(28.09)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/12/10	04/23/10	SELL	QUALCOMM INC	QCOM	23 000	885.75	1,030 85	145 10
10/12/10	10/21/09	SELL	TARGET CORP	TGT	7.000	352 31	383 24	30.93
10/12/10	01/07/10	SELL	TARGET CORP	TGT	7.000	351 12	383 24	32.12
10/12/10	10/21/09	SELL	THERMO FISHER SCIENTIFIC INC	TMO	8 000	380 40	388 55	8 15
10/12/10	01/07/10	SELL	THERMO FISHER SCIENTIFIC INC	TMO	7 000	336.63	339 99	3 36
10/12/10	02/24/10	SELL	UNITED TECHNOLOGIES CORP COM	UTX	6.000	411.06	439 01	27 95
10/12/10	02/24/10	SELL	UNITED TECHNOLOGIES CORP COM	UTX	10.000	685 53	731 69	46 16
10/12/10	02/25/10	SELL	UNITED TECHNOLOGIES CORP COM	UTX	16 000	1,080 69	1,170 70	90.01
10/12/10	02/26/10	SELL	UNITED TECHNOLOGIES CORP COM	UTX	6 000	412 14	439 01	26 87
10/12/10	02/26/10	SELL	UNITED TECHNOLOGIES CORP COM	UTX	9.000	618 30	658 52	40.22
10/12/10	10/21/09	SELL	VIACOM INC CL B COM NEW	VIA B	8.000	236 08	299 77	63 69
10/12/10	01/07/10	SELL	VIACOM INC CL B COM NEW	VIA B	8 000	240 40	299 77	59 37
10/12/10	08/12/10	SELL	VIACOM INC CL B COM NEW	VIA B	12.000	391.07	449 65	58 58
10/12/10	08/12/10	SELL	VIACOM INC CL B COM NEW	VIA B	13 000	419.72	487.11	67.39
10/29/10	10/12/10	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	50.000	1,731 50	1,707 48	(24 02)
11/10/10	10/12/10	SELL	ADVANCED MICRO DEVICES INC COM	AMD	80 000	584 68	617 59	32 91
11/10/10	10/12/10	SELL	ALNYLAM PHARMACEUTICALS INC	ALNY	85 000	1,072.67	1,050.07	(22 60)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/10/10	10/12/10	SELL	APPLIED MATERIALS INC	AMAT	155 000	1,853.61	1,987 25	133.64
11/10/10	10/12/10	SELL	DR PEPPER SNAPPLE GROUP INC COM	DPS	10.000	348 60	365.50	16 90
11/10/10	10/12/10	SELL	GOLDCORP INC NEW COM ISIN#CA3809564097	GG	10 000	439 88	473 71	33 83
11/10/10	10/12/10	SELL	HESS CORP COM	HES	10.000	627 80	713.32	85 52
11/10/10	10/12/10	SELL	INTEL CORP COM	INTC	115 000	2,275 62	2,411 73	136 11
11/10/10	08/03/10	SELL	LAS VEGAS SANDS CORP COM	LVS	10 000	281 60	499 49	217 89
11/10/10	10/12/10	SELL	MCDONALDS CORP	MCD	15 000	1,135.65	1,191.30	55.65
11/10/10	10/12/10	SELL	MICROSOFT CORP COM	MSFT	100 000	2,487 80	2,694 07	206.27
11/10/10	10/12/10	SELL	MURPHY OIL CORP	MUR	5 000	325.45	338 49	13 04
11/29/10	10/12/10	SELL	AES CORP COM	AES	305 000	3,750 89	3,269 54	(481 35)
11/29/10	10/12/10	SELL	BARNES & NOBLE INC COM	BKS	15 000	244 03	224.18	(19 85)
11/29/10	10/12/10	SELL	COEUR D ALENE MINES CORP IDAHO COM NEW	CDE	5.000	99 14	116.35	17 21
11/29/10	10/12/10	SELL	GOLDCORP INC NEW COM ISIN#CA3809564097	GG	15.000	659 82	670.53	10 71
11/29/10	10/12/10	SELL	INTEL CORP COM	INTC	5.000	98 94	105 90	6 96
11/29/10	11/10/10	SELL	VOLKSWAGEN A G ADR (SPONS)	VLKAY	5 000	137 25	136 99	(0 26)
12/21/10	10/12/10	SELL	GREAT ATLANTIC & PAC TEA INC COM	GAPTQ	1,420 000	5,648 76	214 13	(5,434 63)
12/22/10	10/12/10	SELL	FREEPORT MCMORAN COPPER & GOLD INC	FCX	30 000	2,863.20	3,476 02	612 82

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/27/10	10/12/10	SELL	ATP OIL & GAS CORP COM	ATPG	10.000	167 58	161 39	(6 19)
12/27/10	10/12/10	SELL	ALNYLAM PHARMACEUTICALS INC	ALNY	110.000	1,388 15	1,079 88	(308 27)
12/27/10	10/12/10	SELL	BARNES & NOBLE INC COM	BKS	75.000	1,220 16	1,102 00	(118 16)
12/27/10	10/12/10	SELL	CATERPILLAR INC	CAT	5 000	397.60	467 34	69.74
12/27/10	10/12/10	SELL	COEUR D ALENE MINES CORP IDAHO COM NEW	CDE	10 000	198 28	262 20	63 92
12/27/10	10/12/10	SELL	MURPHY OIL CORP	MUR	40.000	2,603 60	2,964 49	360 89
Total Short Term						**153,561.41**	**158,668.87**	**5,107.46**
Long Term								
10/12/10	09/22/09	SELL	COVIDIEN PLC SHS	COV	17 000	696 66	696 14	(0 52)
10/12/10	10/05/09	SELL	COVIDIEN PLC SHS	COV	20 000	827 20	818 99	(8 21)
10/12/10	09/22/09	SELL	WEATHERFORD INTL LTD REG	WFT	77 000	1,741 74	1,386 90	(354 84)
10/12/10	10/05/09	SELL	WEATHERFORD INTL LTD REG	WFT	29 000	571 30	522 34	(48 96)
10/12/10	09/22/09	SELL	AMAZON COM INC	AMZN	11 000	1,028 83	1,725 54	696 71
10/12/10	10/05/09	SELL	AMAZON COM INC	AMZN	4.000	360 56	627 47	266 91
10/12/10	09/22/09	SELL	APPLE INC COM	AAPL	14.000	2,579 78	4,190.69	1,610 91
10/12/10	09/22/09	SELL	APPLE INC COM	AAPL	13 000	2,396 55	3,891 35	1,494 80
10/12/10	10/05/09	SELL	APPLE INC COM	AAPL	8 000	1,481 36	2,394 68	913 32
10/12/10	10/05/09	SELL	APPLE INC COM	AAPL	7 000	1,304 03	2,095 34	791 31

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/12/10	09/22/09	SELL	BANCO SANTANDER SA ADR	STD	56 000	924 56	717 91	(206.65)
10/12/10	10/05/09	SELL	BANCO SANTANDER SA ADR	STD	51.000	803 25	653 81	(149.44)
10/12/10	09/22/09	SELL	BAXTER INTL INC COM	BAX	51.000	2,970 24	2,520 37	(449.87)
10/12/10	10/05/09	SELL	BAXTER INTL INC COM	BAX	20.000	1,131 40	988.38	(143 02)
10/12/10	09/22/09	SELL	BOEING CO COM	BA	44.000	2,339 04	3,097 11	758 07
10/12/10	10/05/09	SELL	BOEING CO COM	BA	16.000	830 24	1,126 22	295 98
10/12/10	09/22/09	SELL	CELGENE CORP	CELG	57.000	3,093 96	3,308.34	214 38
10/12/10	10/05/09	SELL	CELGENE CORP	CELG	21 000	1,127 28	1,218 86	91 58
10/12/10	09/22/09	SELL	CISCO SYSTEMS INC	CSCO	65 000	1,522 95	1,474 30	(48 65)
10/12/10	10/05/09	SELL	CISCO SYSTEMS INC	CSCO	24 000	545 04	544 36	(0.68)
10/12/10	09/22/09	SELL	CONAGRA FOODS INC COM	CAG	121 000	2,634 17	2,669 45	35.28
10/12/10	10/05/09	SELL	CONAGRA FOODS INC COM	CAG	47.000	997 34	1,036 89	39.55
10/12/10	09/22/09	SELL	CONOCOPHILLIPS COM	COP	54.000	2,526 66	3,227.52	700 86
10/12/10	10/05/09	SELL	CONOCOPHILLIPS COM	COP	17 000	813 11	1,016 07	202.96
10/12/10	09/22/09	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	39 000	2,501 46	2,773 24	271 78
10/12/10	10/05/09	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	16 000	963.36	1,137 74	174 38
10/12/10	09/22/09	SELL	DOMINION RES INC VA COM	D	62.000	2,108.00	2,752 20	644 20

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/12/10	10/05/09	SELL	DOMINION RES INC VA COM	D	28 000	936 88	1,242 93	306 05
10/12/10	09/22/09	SELL	EMC CORP (MASS) COM	EMC	138 000	2,370 84	2,793 44	422.60
10/12/10	10/05/09	SELL	EMC CORP (MASS) COM	EMC	60 000	1,005 60	1,214 54	208 94
10/12/10	09/22/09	SELL	ELAN PLC ADR REPSTG SHS	ELN	141 000	1,015.20	839 11	(176 09)
10/12/10	10/05/09	SELL	ELAN PLC ADR REPSTG SHS	ELN	74 000	503 94	440 38	(63 56)
10/12/10	09/22/09	SELL	EMERSON ELEC CO COM	EMR	40.000	1,616 80	2,126 36	509 56
10/12/10	09/22/09	SELL	GENTEX CORP COM	GNTX	104 000	1,517 36	2,152 80	635.44
10/12/10	10/05/09	SELL	GENTEX CORP COM	GNTX	39 000	551 07	807 30	256.23
10/12/10	09/22/09	SELL	GOODRICH CORP	GR	13 000	724 88	994 63	269 75
10/12/10	09/29/09	SELL	GOODRICH CORP	GR	2.000	108 11	153 02	44.91
10/12/10	09/29/09	SELL	GOODRICH CORP	GR	4.000	217 75	306 04	88 29
10/12/10	09/30/09	SELL	GOODRICH CORP	GR	3.000	160.19	229 53	69 34
10/12/10	10/05/09	SELL	GOODRICH CORP	GR	12.000	632 16	918.12	285.96
10/12/10	09/22/09	SELL	GOOGLE INC CL A	GOOG	6 000	2,994 30	3,246 61	252.31
10/12/10	10/05/09	SELL	GOOGLE INC CL A	GOOG	2.000	974.66	1,082 20	107.54
10/12/10	09/22/09	SELL	HALLIBURTON CO COM	HAL	51 000	1,437 18	1,781 48	344 30
10/12/10	10/05/09	SELL	HALLIBURTON CO COM	HAL	23.000	605 82	803 41	197 59

Recipient's Name and Address:

MAVERICK LLOYD FOUNDATION
PO BOX 99

Account Number: JW4-001855

Recipient's Identification
Number 36-7093389

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/12/10	09/22/09	SELL	ILLUMINA INC COM	ILMN	23 000	922 53	1,144.23	221 70
10/12/10	09/22/09	SELL	ILLUMINA INC COM	ILMN	2 000	69 49	99.50	30 01
10/12/10	09/22/09	SELL	ILLUMINA INC COM	ILMN	3 000	103 25	149 25	46 00
10/12/10	10/05/09	SELL	ILLUMINA INC COM	ILMN	15 000	639.15	746 23	107 08
10/12/10	09/22/09	SELL	NATIONAL OILWELL VARCO INC	NOV	65 000	2,865 85	3,051 82	185 97
10/12/10	10/05/09	SELL	NATIONAL OILWELL VARCO INC	NOV	24.000	1,006 80	1,126 83	120 03
10/12/10	09/22/09	SELL	OCCIDENTAL PETE CORP COM	OXY	15.000	1,175 55	1,251.27	75.72
10/12/10	10/05/09	SELL	OCCIDENTAL PETE CORP COM	OXY	5 000	376 10	417 09	40 99
10/12/10	09/22/09	SELL	ORACLE CORP COM	ORCL	113 000	2,435.15	3,156 03	720 88
10/12/10	10/05/09	SELL	ORACLE CORP COM	ORCL	41 000	832.30	1,145.11	312 81
10/12/10	09/22/09	SELL	PEPSICO INC	PEP	30 000	1,755 30	1,986 56	231.26
10/12/10	10/05/09	SELL	PEPSICO INC	PEP	16 000	964 80	1,059 50	94 70
10/12/10	10/05/09	SELL	QUALCOMM INC	QCOM	10.000	416.60	448 19	31 59
10/12/10	09/22/09	SELL	TARGET CORP	TGT	46 000	2,219 04	2,518 46	299 42
10/12/10	10/05/09	SELL	TARGET CORP	TGT	22 000	1,021 90	1,204 48	182 58
10/12/10	09/22/09	SELL	THERMO FISHER SCIENTIFIC INC	TMO	39 000	1,800 63	1,894 19	93 56
10/12/10	10/05/09	SELL	THERMO FISHER SCIENTIFIC INC	TMO	20 000	887 20	971 38	84.18

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TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/12/10	09/22/09	SELL	VIACOM INC CL B COM NEW	VIA B	41 000	1,144 31	1,536 31	392 00
10/12/10	10/05/09	SELL	VIACOM INC CL B COM NEW	VIA B	22.000	610 50	824.36	213.86
11/29/10	09/22/09	SELL	SUNCOR ENERGY INC NEW COM	SU	54 000	1,992 60	1,806 81	(185.79)
11/29/10	10/05/09	SELL	SUNCOR ENERGY INC NEW COM	SU	1 000	33 12	33 46	0.34
Total Long Term						**81,464.98**	**96,315.17**	**14,850.19**
Total Short Term and Long Term						**235,026.39**	**254,984.04**	**19,957.65**

The Schedule of Realized Gains and Losses is not reported to the IRS This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes** - If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.
- **Corporate Actions** - For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action.
- **Cost Basis Service Enrollment** - The Schedule of Realized Gains and Losses will only be displayed for closing transactions during the period the account was enrolled in the cost basis service. However, proceeds will be reported on the 1099 for the full year.
- **Cost Basis Service Reenrollment** - The Schedule of Realized Gains and Losses provides you with the activity after your account was reactivated in the cost basis service. However, proceeds will be reported on the 1099 for the full year.

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SECURITIES PURCHASED (Continued)

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
WARNACO GROUP INC COM NEW	934390402	11/03/2010	22	1,155 70		
		11/08/2010	6	326 94		
		12/02/2010	3	166 83		
				1,649.47		
WASTE CONNECTIONS INC COM	941053100	10/21/2010	69	2,795 19		
WILLIAMS SONOMA INC COM	969904101	10/21/2010	67	2,177 50		
		11/05/2010	9	328.02		
				2,505.52		
WOODWARD GOVERNOR CO	980745103	10/21/2010	81	2,573 37		
WRIGHT EXPRESS CORP COM	98233Q105	10/21/2010	55	2,070 75		
WRIGHT MED GROUP INC COM	98235T107	10/21/2010	75	1,110 75		
Total				**202,193.15**	**0.00**	

Securities Purchased. Securities purchased through your account during 2010 are displayed in this section We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased Accrued interest purchased is reported separately in this section of your Tax Information Statement The cost of securities purchased during 2010 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D

1243.01

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
10/21/10	06/16/10	SELL	ORTHOFIX INTL NV ISIN#ANN6748L1027	OFIX	27 000	858 92	759 87	(99 05)
10/21/10	06/23/10	SELL	ORTHOFIX INTL NV ISIN#ANN6748L1027	OFIX	30 000	969 87	844 31	(125 56)
10/21/10	06/16/10	SELL	AEGEAN MARINE PETROLEUM NETWORK	ANW	45.000	1,017 08	752 83	(264.25)
10/21/10	08/05/10	SELL	ACME PACKET INC COM	APKT	54.000	1,680.25	1,956 92	276 67

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/21/10	06/16/10	SELL	ACUITY BRANDS INC COM	AYI	40.000	1,799 30	2,022.76	223 46
10/21/10	03/02/10	SELL	ACXIOM CORP COM	ACXM	29 000	539.11	519 10	(20 01)
10/21/10	06/16/10	SELL	ACXIOM CORP COM	ACXM	87.000	1,404 84	1,557.31	152 47
10/21/10	01/14/10	SELL	AFFILIATED MANAGERS GROUP INC COM	AMG	23 000	1,588 77	1,918 39	329 62
10/21/10	02/03/10	SELL	AFFILIATED MANAGERS GROUP INC COM	AMG	11 000	726 74	917 50	190.76
10/21/10	10/21/09	SELL	ALLIANCE DATA SYS CORP COM	ADS	6 000	410 82	377 16	(33 66)
10/21/10	04/09/10	SELL	ATLAS ENERGY INC COM	ATLS	37 000	1,388 62	1,116.27	(272.35)
10/21/10	06/29/10	SELL	ATLAS ENERGY INC COM	ATLS	28.000	751.66	844 74	93 08
10/21/10	06/23/10	SELL	BJS WHSL CLUB INC COM	BJ	16 000	624 48	673 11	48 63
10/21/10	08/05/10	SELL	BALDOR ELEC CO COM	057741100	33 000	1,297 55	1,360 59	63 04
10/21/10	05/03/10	SELL	BLACKBOARD INC COM	BBBB	5 000	219 97	199 60	(20 37)
10/21/10	06/16/10	SELL	BLACKBOARD INC COM	BBBB	51 000	2,125.41	2,035 88	(89 53)
10/21/10	06/29/10	SELL	BRIGHAM EXPL CO COM	BEXP	94 000	1,462 35	1,878 08	415 73
10/21/10	07/28/10	SELL	BRIGHAM EXPL CO COM	BEXP	5 000	85 20	99 90	14.70
10/21/10	08/05/10	SELL	BUCYRUS INTL INC NEW COM	BUCY	28 000	1,760 91	2,035 84	274 93
10/21/10	06/23/10	SELL	BUFFALO WILD WINGS INC COM	BWLD	31 000	1,169 65	1,472 47	302 82
10/21/10	08/05/10	SELL	BUFFALO WILD WINGS INC COM	BWLD	40 000	1,682 89	1,899 97	217 08

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/21/10	02/26/10	SELL	CAPELLA ED CO COM	CPLA	10 000	826 60	667.48	(159 12)
10/21/10	08/05/10	SELL	CAVIUM NETWORKS INC COM	CAVM	53 000	1,448 18	1,492.98	44 80
10/21/10	12/10/09	SELL	CHIPOTLE MEXICAN GRILL INC COM	CMG	6.000	515 88	1,076.86	560.98
10/21/10	02/22/10	SELL	CHIPOTLE MEXICAN GRILL INC COM	CMG	7.000	727 65	1,256 34	528 69
10/21/10	05/12/10	SELL	CINEMARK HOLDINGS INC COM	CNK	42 000	741 72	722.81	(18.91)
10/21/10	06/23/10	SELL	CINEMARK HOLDINGS INC COM	CNK	62 000	924 44	1,067 00	142 56
10/21/10	06/23/10	SELL	COINSTAR INC COM	CSTR	31 000	1,466 52	1,458.52	(8 00)
10/21/10	06/16/10	SELL	COMSCORE INC COM	SCOR	48.000	869 18	1,060 78	191 60
10/21/10	08/05/10	SELL	DG FASTCHANNEL INC COM	DGIT	31 000	1,178 26	649 43	(528 83)
10/21/10	08/05/10	SELL	DECKERS OUTDOOR CORP COM	DECK	16 000	797 66	829 90	32 24
10/21/10	08/05/10	SELL	EAGLE MATERIALS INC COM	EXP	49 000	1,217 06	1,173 04	(44 02)
10/21/10	02/22/10	SELL	EDWARDS LIFESCIENCES CORP COM	EW	18 000	821 34	1,180.78	359 44
10/21/10	02/26/10	SELL	EDWARDS LIFESCIENCES CORP COM	EW	16.000	731 03	1,049.58	318.55
10/21/10	04/05/10	SELL	EDWARDS LIFESCIENCES CORP COM	EW	12.000	618 60	787.18	168 58
10/21/10	02/03/10	SELL	EMULEX CORP COM NEW	ELX	57 000	694 26	611.64	(82.62)
10/21/10	02/22/10	SELL	EMULEX CORP COM NEW	ELX	10 000	130.35	107 31	(23 04)
10/21/10	02/26/10	SELL	EMULEX CORP COM NEW	ELX	69 000	853.97	740 41	(113 56)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/21/10	03/02/10	SELL	EMULEX CORP COM NEW	ELX	5.000	57 43	53 65	(3 78)
10/21/10	03/26/10	SELL	EMULEX CORP COM NEW	ELX	5 000	66 60	53 65	(12 95)
10/21/10	06/23/10	SELL	EMULEX CORP COM NEW	ELX	123.000	1,251 44	1,319 85	68 41
10/21/10	08/05/10	SELL	ENTROPIC COMMUNICATIONS INC	ENTR	133 000	1,075 20	1,017 56	(57 64)
10/21/10	06/23/10	SELL	EXPRESS INC COM	EXPR	24 000	414 75	347.75	(67.00)
10/21/10	06/23/10	SELL	EXPRESS INC COM	EXPR	18 000	300 42	260 82	(39 60)
10/21/10	07/08/10	SELL	EXPRESS INC COM	EXPR	35 000	551 28	507 14	(44 14)
10/21/10	01/14/10	SELL	FLOWSERVE CORP COM	FLS	8.000	847 84	900 22	52 38
10/21/10	01/20/10	SELL	FLOWSERVE CORP COM	FLS	12.000	1,241 38	1,350 34	108 96
10/21/10	02/22/10	SELL	FLOWSERVE CORP COM	FLS	14.000	1,391 40	1,575 39	183 99
10/21/10	06/16/10	SELL	GOODRICH PETE CORP COM NEW	GDP	58.000	835.92	779 50	(56.42)
10/21/10	05/05/10	SELL	GREEN MTN COFFEE ROASTERS INC COM	GMCR	30 000	746.50	930 58	184 08
10/21/10	06/16/10	SELL	GREEN MTN COFFEE ROASTERS INC COM	GMCR	48 000	1,266 48	1,488 93	222.45
10/21/10	12/10/09	SELL	GUESS INC COM	GES	5 000	220 55	204 70	(15 85)
10/21/10	07/28/10	SELL	GUESS INC COM	GES	6 000	214 62	245 63	31 01
10/21/10	12/10/09	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	33 000	1,348 88	2,139 02	790 14
10/21/10	04/14/10	SELL	HMS HOLDINGS CORP COM	HMSY	9 000	452 51	537 65	85 14

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/21/10	05/05/10	SELL	HMS HOLDINGS CORP COM	HMSY	14 000	759 52	836 34	76 82
10/21/10	10/21/09	SELL	HERSHEY CO COM	HSY	11 000	455 73	541.74	86 01
10/21/10	01/08/10	SELL	HERSHEY CO COM	HSY	15 000	545 70	738 74	193 04
10/21/10	06/28/10	SELL	HESS CORP COM	HES	62 000	3,278 95	3,883 61	604.66
10/21/10	06/29/10	SELL	HESS CORP COM	HES	23 000	1,175.99	1,440.70	264 71
10/21/10	08/05/10	SELL	HIBBETT SPORTS INC COM	HIBB	43 000	1,180.47	1,135 61	(44 86)
10/21/10	06/23/10	SELL	HIGHER ONE HLDGS INC COM	ONE	59.000	870.42	929.23	58 81
10/21/10	10/21/09	SELL	HOME DEPOT INC COM	HD	19 000	513 00	602 31	89 31
10/21/10	08/05/10	SELL	HOME INNS & HOTELS MGMT INC SPONS ADR	HMIN	24 000	1,039 27	1,199 73	160.46
10/21/10	10/21/09	SELL	HOSPIRA INC COM	HSP	7 000	327 88	412 99	85 11
10/21/10	08/05/10	SELL	HUB GROUP INC CL A	HUBG	26 000	837 12	841 34	4.22
10/21/10	02/26/10	SELL	IPC THE HOSPITALIST CO INC COM	IPCM	28 000	929 05	786 78	(142.27)
10/21/10	02/26/10	SELL	IPC THE HOSPITALIST CO INC COM	IPCM	5.000	174 34	140 50	(33 84)
10/21/10	04/06/10	SELL	IPC THE HOSPITALIST CO INC COM	IPCM	8.000	286 48	224 80	(61 68)
10/21/10	04/19/10	SELL	IPC THE HOSPITALIST CO INC COM	IPCM	13.000	455 16	365 29	(89 87)
10/21/10	05/05/10	SELL	IPC THE HOSPITALIST CO INC COM	IPCM	17 000	528 10	477.69	(50 41)
10/21/10	06/16/10	SELL	IDEX CORP	IEX	65 000	2,099 12	2,359 46	260 34

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/21/10	10/21/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	6 000	741 36	833 44	92.08
10/21/10	01/08/10	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	2.000	259 88	277 82	17 94
10/21/10	01/08/10	SELL	INTUIT INCORPORATED COM	INTU	23 000	708 86	1,053 20	344 34
10/21/10	03/25/10	SELL	ISHARES TR RUSSELL MIDCAP GROWTH INDEX	IWP	3.000	145 12	151.47	6 35
10/21/10	04/09/10	SELL	ISHARES TR RUSSELL MIDCAP GROWTH INDEX	IWP	1.000	50 88	50 49	(0 39)
10/21/10	05/12/10	SELL	ISHARES TR RUSSELL MIDCAP GROWTH INDEX	IWP	20 000	988.60	1,009 77	21 17
10/21/10	05/12/10	SELL	ISHARES TR RUSSELL 2000 GROWTH INDEX FD	IWO	7 000	533 26	533 46	0 20
10/21/10	05/27/10	SELL	ISHARES TR RUSSELL 2000 GROWTH INDEX FD	IWO	9 000	703 04	685 88	(17.16)
10/21/10	07/23/10	SELL	ISHARES TR RUSSELL 2000 GROWTH INDEX FD	IWO	3 000	208 79	228 62	19.83
10/21/10	12/10/09	SELL	MSCI INC CL A	MSCI	44.000	1,428 48	1,623 13	194 65
10/21/10	03/26/10	SELL	MSCI INC CL A	MSCI	5 000	176 95	184 45	7.50
10/21/10	07/28/10	SELL	MSCI INC CL A	MSCI	9 000	297 27	332 00	34 73
10/21/10	08/05/10	SELL	MSCI INC CL A	MSCI	19 000	627 74	700 90	73 16
10/21/10	08/05/10	SELL	MADDEN STEVEN LTD COM	SHOO	22 000	864 07	903 52	39 45
10/21/10	02/22/10	SELL	MARTIN MARIETTA MATLS INC COM	MLM	6 000	492 78	470 39	(22 39)
10/21/10	02/26/10	SELL	MARTIN MARIETTA MATLS INC COM	MLM	6 000	484 56	470 39	(14 17)
10/21/10	06/16/10	SELL	MARTIN MARIETTA MATLS INC COM	MLM	7 000	653 98	548 79	(105 19)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/21/10	06/29/10	SELL	MARTIN MARIETTA MATLS INC COM	MLM	7 000	600 00	548 79	(51 21)
10/21/10	06/16/10	SELL	MEDNAX INC COM	MD	29 000	1,730 95	1,647.17	(83 78)
10/21/10	02/26/10	SELL	MICRO SYS INC	MCRS	4.000	121.43	172 88	51 45
10/21/10	03/02/10	SELL	MICRO SYS INC	MCRS	30.000	916 50	1,296 61	380 11
10/21/10	03/26/10	SELL	MICRO SYS INC	MCRS	5 000	165 20	216 10	50.90
10/21/10	08/05/10	SELL	MICRO SYS INC	MCRS	18.000	661 07	777 96	116 89
10/21/10	06/16/10	SELL	MIDDLEBY CORP	MIDD	14 000	835 08	1,019 74	184.66
10/21/10	08/05/10	SELL	MIDDLEBY CORP	MIDD	21.000	1,269 06	1,529 61	260.55
10/21/10	05/05/10	SELL	MONSTER WORLDWIDE INC COM	MWW	32 000	555 51	443 23	(112 28)
10/21/10	06/16/10	SELL	MONSTER WORLDWIDE INC COM	MWW	93 000	1,278 98	1,288 12	9 14
10/21/10	08/05/10	SELL	NEKTAR THERAPEUTICS COM	NKTR	70 000	1,035 74	1,066 08	30 34
10/21/10	12/09/09	SELL	NETLOGIC MICROSYSTEMS INC COM	NETL	19 000	531 43	479.74	(51 69)
10/21/10	06/23/10	SELL	OASIS PETE INC NEW COM	OAS	60.000	908.52	1,230.58	322.06
10/21/10	08/05/10	SELL	OASIS PETE INC NEW COM	OAS	50 000	905.30	1,025.49	120 19
10/21/10	12/10/09	SELL	ONYX PHARMACEUTICALS INC COM	ONXX	31 000	900.05	839 15	(60 90)
10/21/10	07/28/10	SELL	ONYX PHARMACEUTICALS INC COM	ONXX	6 000	149.10	162 42	13 32
10/21/10	12/10/09	SELL	POLYCOM INC COM	PLCM	94.000	2,101.16	2,703.64	602 48

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/21/10	01/07/10	SELL	POLYCOM INC COM	PLCM	12 000	294 12	345 15	51 03
10/21/10	03/26/10	SELL	POLYCOM INC COM	PLCM	5.000	152 10	143 81	(8 29)
10/21/10	02/22/10	SELL	PORTFOLIO RECOVERY ASSOCS INC COM	PRAA	28 000	1,500.80	1,811 01	310.21
10/21/10	06/16/10	SELL	PORTFOLIO RECOVERY ASSOCS INC COM	PRAA	8.000	565 68	517 43	(48 25)
10/21/10	07/20/10	SELL	REALD INC COM	RLD	40.000	736.25	715 98	(20.27)
10/21/10	08/05/10	SELL	RIVERBED TECHNOLOGY INC COM	RVBD	13.000	475 12	582 13	107.01
10/21/10	03/26/10	SELL	ROBERT HALF INTL INC	RHI	5.000	155 15	134 15	(21 00)
10/21/10	12/10/09	SELL	ROPER INDUSTRIES INC NEW COM	ROP	39.000	2,093 17	2,677.30	584.13
10/21/10	01/07/10	SELL	ROPER INDUSTRIES INC NEW COM	ROP	5 000	257 90	343 24	85.34
10/21/10	03/26/10	SELL	ROPER INDUSTRIES INC NEW COM	ROP	5.000	284 65	343.25	58.60
10/21/10	05/12/10	SELL	ROVI CORP COM	ROVI	6.000	227.16	291 65	64 49
10/21/10	06/16/10	SELL	ROVI CORP COM	ROVI	43.000	1,659 02	2,090.19	431 17
10/21/10	10/21/09	SELL	ROWAN COS INC	RDC	9.000	237 24	288.89	51 65
10/21/10	03/26/10	SELL	ROWAN COS INC	RDC	5.000	134 30	160 50	26.20
10/21/10	08/05/10	SELL	RUE21 INC COM	RUE	37.000	1,047 85	1,007 49	(40 36)
10/21/10	01/07/10	SELL	SBA COMMUNICATIONS CORP CL A COM	SBAC	63.000	2,305.80	2,448.13	142.33
10/21/10	02/03/10	SELL	SBA COMMUNICATIONS CORP CL A COM	SBAC	9 000	298 94	349 73	50.79

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/21/10	07/28/10	SELL	SBA COMMUNICATIONS CORP CL A COM	SBAC	6 000	214 32	233 16	18 84
10/21/10	06/16/10	SELL	SXC HEALTH SOLUTIONS CORP COM	SXCI	42 000	1,585 48	1,592.19	6 71
10/21/10	07/20/10	SELL	SALIX PHARMACEUTICALS	SLXP	13 000	557.32	495.16	(62 16)
10/21/10	06/16/10	SELL	SEATTLE GENETICS INC COM	SGEN	65 000	884.20	1,091 33	207 13
10/21/10	04/16/10	SELL	SIRONA DENTAL SYS INC COM	SIRO	8 000	310 90	285 35	(25 55)
10/21/10	03/24/10	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	77.000	1,242.78	1,589 25	346 47
10/21/10	03/26/10	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	1.000	16 03	20 64	4 61
10/21/10	12/10/09	SELL	SOLUTIA INC COM NEW	SOA	12.000	136 07	212 40	76.33
10/21/10	01/07/10	SELL	SOLUTIA INC COM NEW	SOA	21 000	277 20	371 70	94 50
10/21/10	03/26/10	SELL	SOLUTIA INC COM NEW	SOA	5 000	79 75	88 50	8 75
10/21/10	06/16/10	SELL	SOLUTIA INC COM NEW	SOA	114 000	1,768 17	2,017 77	249 60
10/21/10	03/02/10	SELL	SOTHEBYS CL A	BID	18 000	503.37	700 54	197.17
10/21/10	12/10/09	SELL	STRAYER ED INC COM	STRA	10 000	2,027 98	1,275 87	(752 11)
10/21/10	02/26/10	SELL	TEMPUR-PEDIC INTL INC COM	TPX	50 000	1,425 00	1,675 47	250 47
10/21/10	07/23/10	SELL	TEMPUR-PEDIC INTL INC COM	TPX	25.000	789 37	837 73	48 36
10/21/10	08/05/10	SELL	TENNECO INC COM	TEN	50 000	1,397 77	1,625 97	228 20
10/21/10	12/10/09	SELL	THORATEC CORP COM NEW	THOR	7 000	205 35	238 06	32 71

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/21/10	10/21/09	SELL	TRANSDIGM GROUP INC COM	TDG	6.000	250 74	391 07	140.33
10/21/10	12/10/09	SELL	TRANSDIGM GROUP INC COM	TDG	25 000	1,170 25	1,629 47	459 22
10/21/10	01/07/10	SELL	TRANSDIGM GROUP INC COM	TDG	7 000	355 32	456 25	100.93
10/21/10	03/26/10	SELL	TRANSDIGM GROUP INC COM	TDG	5 000	263 30	325 90	62 60
10/21/10	01/14/10	SELL	ULTIMATE SOFTWARE GROUP INC COM	ULTI	24 000	733 94	945 58	211.64
10/21/10	02/01/10	SELL	ULTIMATE SOFTWARE GROUP INC COM	ULTI	30 000	902 89	1,181 98	279.09
10/21/10	02/26/10	SELL	ULTIMATE SOFTWARE GROUP INC COM	ULTI	12.000	374 40	472.79	98 39
10/21/10	08/05/10	SELL	UNDER ARMOUR INC CL A COM	UA	33 000	1,242 78	1,493.88	251.10
10/21/10	06/16/10	SELL	WATSCO INC CL A RECLASSIFIED AS COM	WSO	29.000	1,697 71	1,604.83	(92 88)
10/21/10	06/23/10	SELL	WESCO INTL INC COM	WCC	12.000	438 77	509.15	70 38
10/21/10	06/29/10	SELL	WESCO INTL INC COM	WCC	22.000	755 92	933 44	177 52
10/21/10	07/08/10	SELL	WESCO INTL INC COM	WCC	12.000	414 14	509 15	95 01
10/21/10	04/05/10	SELL	ZOLL MED CORP COM	ZOLL	21 000	574 77	690 89	116.12
10/21/10	04/14/10	SELL	ZOLL MED CORP COM	ZOLL	35 000	983 55	1,151 48	167 93
10/21/10	07/08/10	SELL	ZOLL MED CORP COM	ZOLL	21 000	549 34	690 88	141.54
10/25/10	10/21/10	SELL	ANN TAYLOR STORES CORP COM	ANN	28 000	584 58	616 60	32 02
10/25/10	08/05/10	SELL	RIVERBED TECHNOLOGY INC COM	RVBD	12 000	438 58	676 32	237.74

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/28/10	10/21/10	SELL	WMS INDS INC COM	WMS	12.000	475.20	506 39	31 19
11/03/10	10/21/10	SELL	BE AEROSPACE INC COM	BEAV	17 000	565 76	624 90	59 14
11/03/10	10/21/10	SELL	BRUKER CORP COM	BRKR	28 000	414.57	414.96	0.39
11/03/10	10/21/10	SELL	CARTER INC COM	CRI	17 000	428 57	435 87	7.30
11/03/10	10/21/10	SELL	DOLLAR THRIFTY AUTOMOTIVE GROUP INC	DTG	7.000	336 56	330.46	(6.10)
11/03/10	10/21/10	SELL	QLOGIC CORP	QLGC	25 000	420 72	435.27	14 55
11/05/10	10/21/10	SELL	AIRTRAN HLDGS INC COM	AAI	86 000	643 28	640 90	(2.38)
11/05/10	10/21/10	SELL	FTI CONSULTING INC COM	FCN	37.000	1,324 97	1,257 67	(67 30)
11/05/10	10/21/10	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	18.000	419 22	546 83	127.61
11/08/10	10/21/10	SELL	PEGASYSTEMS INC COM	PEGA	44 000	1,065 68	1,214 10	148.42
11/10/10	10/21/10	SELL	MELLANOX TECHNOLOGIES LTD	MLNX	6 000	119.22	143 51	24 29
11/10/10	10/21/10	SELL	ACTUANT CORP CL A NEW	ATU	3.000	68.75	69.95	1 20
11/10/10	10/21/10	SELL	AEROPOSTALE COM	ARO	3.000	75.12	74 06	(1.06)
11/10/10	10/21/10	SELL	AGA MED HLDGS INC C/A EFF 12/27/2010	008368102	55 000	1,153.35	1,141 80	(11.55)
11/10/10	10/21/10	SELL	AMERICAN PUB ED INC COM	APEI	3 000	97 68	101 63	3.95
11/10/10	10/21/10	SELL	ARUBA NETWORKS INC COM	ARUN	6 000	115.44	140 33	24 89
11/10/10	10/21/10	SELL	AUXILIUM PHARMACEUTICALS INC	AUXL	3 000	74 40	62 63	(11 77)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/10/10	10/21/10	SELL	BRUKER CORP COM	BRKR	3 000	44 42	46 10	1 68
11/10/10	10/21/10	SELL	CHEESE CAKE FACTORY INC COM	CAKE	3.000	81 21	88.16	6.95
11/10/10	10/21/10	SELL	DANA HLDG CORP COM	DAN	3.000	40 13	44 09	3 96
11/10/10	08/05/10	SELL	FINISAR CORP COM NEW	FNSR	3 000	50 89	56 87	5.98
11/10/10	10/21/10	SELL	GEO GROUP INC COM	GEO	3.000	75 78	76 43	0 65
11/10/10	10/21/10	SELL	GENTIVA HEALTH SVCS INC COM	GTIV	3.000	70 68	76 52	5 84
11/10/10	10/21/10	SELL	HAIN CELESTIAL GROUP INC COM	HAIN	3.000	72 30	78 98	6.68
11/10/10	10/21/10	SELL	INFORMATICA CORP	INFA	3.000	109 50	123 68	14.18
11/10/10	10/21/10	SELL	INSULET CORP COM	PODD	6 000	96 29	86.39	(9.90)
11/10/10	10/21/10	SELL	INTERVAL LEISURE GROUP INC COM	IILG	3 000	43 78	47 48	3.70
11/10/10	10/21/10	SELL	INVESTORS BANCORP INC COM	ISBC	6 000	73 68	75 17	1.49
11/10/10	10/21/10	SELL	KODIAK OIL & GAS CORP COM	KOG	12 000	46.92	51 23	4.31
11/10/10	10/21/10	SELL	LKQ CORP COM	LKQX	3 000	65 76	67 43	1.67
11/10/10	10/21/10	SELL	LIFE TIME FITNESS INC COM	LTM	6 000	217.38	232.31	14.93
11/10/10	10/21/10	SELL	LIFEPOINT HOSPS INC COM	LPNT	3 000	102 54	106 34	3 80
11/10/10	10/21/10	SELL	LOGMEIN INC COM	LOGM	3.000	102.42	122 87	20.45
11/10/10	10/21/10	SELL	MEDIDATA SOLUTIONS INC COM	MDSO	12 000	240 44	254.75	14 31

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/10/10	10/21/10	SELL	MONOLITHIC PWR SYS INC COM	MPWR	3.000	44 52	48 11	3.59
11/10/10	12/09/09	SELL	NETLOGIC MICROSYSTEMS INC COM	NETL	3.000	83 91	93.89	9 98
11/10/10	05/05/10	SELL	NUVASIVE INC COM	NUVA	3 000	123.69	74.27	(49 42)
11/10/10	10/21/10	SELL	OPTIMER PHARMACEUTICALS INC	OPTR	6 000	60 03	56 63	(3 40)
11/10/10	10/21/10	SELL	PATRIOT COAL CORP COM	PCX	6 000	77.52	91 85	14 33
11/10/10	10/21/10	SELL	QUICKSILVER RES INC COM	KWK	3 000	45.15	45 68	0 53
11/10/10	10/21/10	SELL	RBC BEARINGS INC COM	ROLL	3 000	101 55	107 87	6 32
11/10/10	10/21/10	SELL	RESOURCES CONNECTION INC COM	RECN	3 000	47.76	49 49	1.73
11/10/10	08/05/10	SELL	RIVERBED TECHNOLOGY INC COM	RVBD	3 000	54.82	88 67	33 85
11/10/10	10/21/10	SELL	ROCKWOOD HLDGS INC COM	ROC	3 000	102.12	112.31	10 19
11/10/10	08/05/10	SELL	ROSETTA RES INC COM	ROSE	3 000	70 93	95.93	25 00
11/10/10	03/26/10	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	3 000	48 09	71.36	23.27
11/10/10	10/21/10	SELL	TETRA TECH INC NEW	TTEK	3.000	63.36	64 94	1.58
11/10/10	10/21/10	SELL	VALASSIS COMMUNICATIONS INC	VCI	3.000	104.49	94 58	(9 91)
11/10/10	10/21/10	SELL	WILLIAMS SONOMA INC COM	WSM	3 000	97 50	105 71	8 21
11/10/10	10/21/10	SELL	WOODWARD INC COM	WWD	3 000	95 31	99 89	4 58
11/10/10	10/21/10	SELL	WRIGHT MED GROUP INC COM	WMGI	3.000	44.43	42 62	(1 81)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/12/10	04/16/10	SELL	SIRONA DENTAL SYS INC COM	SIRO	14.000	544 08	516 59	(27 49)
11/15/10	10/21/10	SELL	CLARCOR INC	CLC	13.000	517.53	520 90	3 37
11/15/10	10/21/10	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	51 000	1,187 79	1,549.90	362.11
11/17/10	10/21/10	TNDG	MARINER ENERGY INC *C/A* EFF 11/10/2010	56845T305	39 000	981 63	1,014 00	32 37
11/18/10	10/21/10	CLEU	WASTE CONNECTIONS INC COM	WCN	0 500	13 50	12 95	(0.55)
11/24/10	10/21/10	SELL	QUICKSILVER RES INC COM	KWK	20.000	301.00	291 29	(9 71)
11/24/10	03/26/10	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	1 000	16 03	25 83	9 80
11/24/10	04/20/10	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	5 000	88.15	129 15	41 00
11/24/10	06/23/10	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	20.000	351 34	516 58	165 24
11/26/10	10/21/10	SELL	AIRTRAN HLDGS INC COM	AAI	144 000	1,077.12	1,069 91	(7 21)
12/01/10	10/21/10	SELL	QLOGIC CORP	QLGC	20 000	336 58	361 20	24.62
12/01/10	10/21/10	SELL	SELECT MED HLDGS CORP COM	SEM	165 000	1,237.30	1,022 98	(214.32)
12/01/10	03/02/10	SELL	SOTHEBYS CL A	BID	1 000	27 96	40 03	12.07
12/01/10	03/22/10	SELL	SOTHEBYS CL A	BID	6.000	185 54	240 17	54.63
12/02/10	10/21/10	SELL	AEROPOSTALE COM	ARO	40 000	1,001 60	930 06	(71.54)
12/02/10	10/21/10	SELL	ARUBA NETWORKS INC COM	ARUN	15 000	288 60	342.92	54.32
12/02/10	10/21/10	SELL	OCWEN FINL CORP COM NEW	OCN	111 000	1,023 29	978 92	(44.37)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/03/10	05/05/10	SELL	NUVASIVE INC COM	NUVA	6 000	247 37	138 44	(108.93)
12/03/10	06/16/10	SELL	NUVASIVE INC COM	NUVA	22 000	899.83	507 62	(392.21)
12/03/10	10/21/10	SELL	NUVASIVE INC COM	NUVA	7 000	257 88	161 51	(96 37)
12/03/10	10/21/10	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	26 000	605 54	798 70	193 16
12/03/10	11/03/10	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	8 000	243 92	245 76	1 84
12/08/10	10/21/10	SELL	ANN TAYLOR STORES CORP COM	ANN	16 000	334 05	415.37	81 32
12/08/10	10/21/10	SELL	COLDWATER CREEK INC COM	CWTR	189.000	657 53	516.04	(141.49)
12/08/10	10/21/10	SELL	FLOWERS FOODS INC COM	FLO	50.000	1,229 50	1,298 07	68.57
12/08/10	10/21/10	SELL	INFORMATICA CORP	INFA	9.000	328 50	394 55	66 05
12/09/10	10/21/10	SELL	BE AEROSPACE INC COM	BEAV	14 000	465 92	529 96	64 04
12/10/10	10/21/10	SELL	ACTUANT CORP CL A NEW	ATU	17.000	389.61	462.73	73 12
12/10/10	10/21/10	SELL	DOLLAR THRIFTY AUTOMOTIVE GROUP INC	DTG	27 000	1,298 16	1,289 76	(8 40)
12/13/10	10/21/10	SELL	LIFEPOINT HOSPS INC COM	LPNT	6 000	205.08	219.95	14 87
12/13/10	06/23/10	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	15 000	263.51	414.82	151 31
12/13/10	08/05/10	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	2 000	35.80	55 31	19 51
12/15/10	10/21/10	SELL	INFORMATICA CORP	INFA	7 000	255 50	307.78	52 28
12/16/10	10/21/10	SELL	BE AEROSPACE INC COM	BEAV	32.000	1,064.96	1,183 38	118 42

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/16/10	08/05/10	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	48 000	859 11	1,325 27	466 16
12/17/10	08/05/10	SELL	FINISAR CORP COM NEW	FNSR	36 000	610 73	1,018 62	407.89
12/17/10	10/21/10	SELL	FINISAR CORP COM NEW	FNSR	7 000	129 21	198 06	68 85
12/17/10	10/21/10	SELL	QLOGIC CORP	QLGC	64.000	1,077 04	1,102.38	25 34
12/17/10	10/21/10	SELL	UNITED THERAPEUTICS CORP DEL COM	UTHR	23 000	1,246 14	1,457 52	211 38
12/17/10	10/25/10	SELL	UNITED THERAPEUTICS CORP DEL COM	UTHR	5 000	277 90	316 85	38 95
12/20/10	10/21/10	SELL	GRAND CANYON ED INC COM	LOPE	60 000	1,206 00	1,124 45	(81 55)
12/20/10	10/21/10	SELL	INFORMATICA CORP	INFA	13.000	474 50	584.68	110 18
12/29/10	10/21/10	SELL	INFORMATICA CORP	INFA	25.000	912 50	1,120 33	207 83
12/29/10	10/21/10	SELL	WMS INDS INC COM	WMS	34 000	1,346 40	1,538 62	192 22
Total Short Term						**164,173.60**	**180,599.46**	**16,425.86**
Long Term								
10/21/10	09/23/09	SELL	VISTAPRINT NV SHS	VPRT	8.000	396 08	296 95	(99.13)
10/21/10	10/05/09	SELL	VISTAPRINT NV SHS	VPRT	8 000	389.92	296 95	(92.97)
10/21/10	09/23/09	SELL	AFFILIATED MANAGERS GROUP INC COM	AMG	11.000	752 40	917 49	165.09
10/21/10	10/05/09	SELL	AFFILIATED MANAGERS GROUP INC COM	AMG	6 000	381 96	500.45	118 49
10/21/10	09/23/09	SELL	ALLIANCE DATA SYS CORP COM	ADS	29 000	1,815 69	1,822 90	7 21
10/21/10	10/05/09	SELL	ALLIANCE DATA SYS CORP COM	ADS	13 000	779.48	817.16	37 68

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/21/10	10/05/09	SELL	CHIPOTLE MEXICAN GRILL INC COM	CMG	2 000	156 86	358 95	202 09
10/21/10	09/23/09	SELL	GUESS INC COM	GES	25.000	937 00	1,023.48	86 48
10/21/10	10/05/09	SELL	GUESS INC COM	GES	12.000	428.88	491.27	62 39
10/21/10	10/05/09	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	3 000	144 03	194 46	50.43
10/21/10	09/22/09	SELL	HERSHEY CO COM	HSY	39.000	1,530 75	1,920 71	389 96
10/21/10	10/05/09	SELL	HERSHEY CO COM	HSY	20 000	779.60	984 98	205.38
10/21/10	09/22/09	SELL	HOME DEPOT INC COM	HD	82.000	2,258.28	2,599.48	341.20
10/21/10	10/05/09	SELL	HOME DEPOT INC COM	HD	41.000	1,072 56	1,299.74	227.18
10/21/10	09/22/09	SELL	HOSPIRA INC COM	HSP	52 000	2,273 96	3,067 95	793 99
10/21/10	10/05/09	SELL	HOSPIRA INC COM	HSP	17 000	736 95	1,002.98	266 03
10/21/10	09/23/09	SELL	HUB GROUP INC CL A	HUBG	21 000	520 80	679 55	158 75
10/21/10	09/23/09	SELL	HUB GROUP INC CL A	HUBG	5 000	138 84	161.80	22.96
10/21/10	10/05/09	SELL	HUB GROUP INC CL A	HUBG	13.000	307 06	420.67	113.61
10/21/10	09/22/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	29 000	3,523 79	4,028 32	504 53
10/21/10	10/05/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	10 000	1,196 20	1,389 07	192 87
10/21/10	10/12/09	SELL	INTUIT INCORPORATED COM	INTU	85 000	2,451 40	3,892.25	1,440 85
10/21/10	10/05/09	SELL	POLYCOM INC COM	PLCM	11 000	296 89	316 38	19 49

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/21/10	09/23/09	SELL	ROBERT HALF INTL INC	RHI	50.000	1,354 50	1,341 47	(13 03)
10/21/10	10/05/09	SELL	ROBERT HALF INTL INC	RHI	23 000	564 19	617.08	52 89
10/21/10	10/19/09	SELL	ROWAN COS INC	RDC	63 000	1,649 34	2,022 26	372.92
10/21/10	09/23/09	SELL	VANCEINFO TECHNOLOGIES INC ADR	VIT	8.000	140 00	270 15	130 15
11/10/10	10/05/09	SELL	VISTAPRINT NV SHS	VPRT	3.000	146 22	123 50	(22 72)
11/10/10	09/23/09	SELL	VANCEINFO TECHNOLOGIES INC ADR	VIT	3.000	52 50	110 99	58 49
11/15/10	09/23/09	SELL	VANCEINFO TECHNOLOGIES INC ADR	VIT	10.000	175 00	349 29	174 29
Total Long Term						**27,351.13**	**33,318.68**	**5,967.55**
Total Short Term and Long Term						**191,524.73**	**213,918.14**	**22,393.41**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes** - If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.

Recipient's Name and Address:

MAVERICK LLOYD FOUNDATION
PO BOX 99

Account Number: JW4-001889

Recipient's Identification Number. 36-7093389

2010 TAX and YEAR-END STATEMENT

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
10/07/10	10/21/09	SELL	CSL LTD ADR	CMXHY	11 000	162 69	177 98	15 29
10/07/10	10/21/09	SELL	CHINA LIFE INS CO LTD SPONS ADR REPSTG	LFC	4 000	284 56	253 28	(31 28)
10/07/10	01/07/10	SELL	CHINA LIFE INS CO LTD SPONS ADR REPSTG	LFC	6 000	446.70	379 91	(66 79)
10/07/10	05/07/10	SELL	CHINA LIFE INS CO LTD SPONS ADR REPSTG	LFC	6 000	387.64	379 91	(7.73)
10/11/10	10/21/09	SELL	WEATHERFORD INTL LTD REG	WFT	23.000	453.79	408.93	(44.86)
10/11/10	10/21/09	SELL	JP MORGAN CHASE & CO COM	JPM	7 000	322.28	278 18	(44 10)
10/11/10	01/08/10	SELL	JP MORGAN CHASE & CO COM	JPM	9 000	401 40	357 65	(43 75)
10/11/10	10/21/09	SELL	ELI LILLY & CO COM	LLY	7.000	239 89	260 41	20 52
10/11/10	01/08/10	SELL	ELI LILLY & CO COM	LLY	12.000	420 96	446 43	25.47
10/11/10	10/21/09	SELL	M & T BK CORP	MTB	2.000	137.30	154.62	17 32
10/11/10	10/21/09	SELL	MOLEX INC CL A	MOLXA	8 000	157.20	142 48	(14.72)
10/11/10	10/21/09	SELL	NEXTERA ENERGY INC COM	NEE	11 000	599.39	603 34	3 95
10/11/10	01/08/10	SELL	NEXTERA ENERGY INC COM	NEE	5 000	264 55	274 25	9 70
10/11/10	10/21/09	SELL	NORTHROP GRUMMAN CORP (PREVIOUSLY	NOC	12.000	612 48	744 35	131.87
10/11/10	10/21/09	SELL	ORACLE CORP COM	ORCL	17 000	378 25	473 30	95 05
10/11/10	10/21/09	SELL	PENNEY J C INC	JCP	6 000	218 40	199.02	(19.38)
10/11/10	01/08/10	SELL	PENNEY J C INC	JCP	34 000	908 82	1,127.81	218 99
10/11/10	10/21/09	SELL	POLO RALPH LAUREN CORP CL A	RL	5.000	390 90	468 34	77 44

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/11/10	01/08/10	SELL	QEP RES INC COM	QEP	13 000	372 99	392.46	19 47
10/11/10	01/08/10	SELL	QUESTAR CORP COM	STR	13 000	193 68	228 28	34 60
10/11/10	10/21/09	SELL	ROCHE HLDGS LTD SPONSORED ADR	RHHBY	7 000	283 22	252 21	(31 01)
10/11/10	01/07/10	SELL	ROCHE HLDGS LTD SPONSORED ADR	RHHBY	7 000	302 68	252.20	(50 48)
10/11/10	01/29/10	SELL	SPX CORPORATION	SPW	63 000	3,434 52	4,090 52	656 00
10/11/10	01/08/10	SELL	SAFEWAY INC COM NEW	SWY	26 000	547 56	544 98	(2.58)
10/11/10	10/21/09	SELL	SCHWAB CHARLES CORP NEW COM	SCHW	21 000	389 97	293 82	(96 15)
10/11/10	10/21/09	SELL	TEXAS INSTRUMENTS INC	TXN	16 000	372 32	459.22	86 90
10/11/10	02/16/10	SELL	TIME WARNER INC NEW COM NEW	TWX	138 000	3,953.84	4,334 71	380.87
10/11/10	10/21/09	SELL	UNILEVER NV NEW YORK SHS NEW	UN	9.000	283.23	267 04	(16 19)
10/11/10	01/08/10	SELL	UNILEVER NV NEW YORK SHS NEW	UN	14 000	441 42	415 39	(26 03)
10/11/10	10/21/09	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	25 000	561 75	648 79	87.04
10/11/10	01/08/10	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	19 000	417 81	493 07	75.26
10/11/10	10/21/09	SELL	ZIONS BANCORP COM	ZION	17.000	292 23	370 94	78.71
12/01/10	01/07/10	SELL	INTESA SANPAOLO S P A SPON ADR	ISNPY	13 000	351 52	208 61	(142 91)
12/02/10	01/13/10	SELL	INTESA SANPAOLO S P A SPON ADR	ISNPY	11 000	304 59	179 46	(125.13)
12/02/10	10/07/10	SELL	INTESA SANPAOLO S P A SPON ADR	ISNPY	16 000	325 44	261 03	(64 41)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/02/10	10/11/10	SELL	INTESA SANPAOLO S P A SPON ADR	ISNPY	45 000	918 45	734 13	(184 32)
12/13/10	10/07/10	SELL	LULULEMON ATHLETICA INC COM	LULU	11 000	494 22	783.48	289 26
Total Short Term						**21,028.64**	**22,340.53**	**1,311.89**
Long Term								
10/07/10	09/23/09	SELL	CSL LTD ADR	CMXHY	63 000	938 70	1,019 32	80 62
10/07/10	10/05/09	SELL	CSL LTD ADR	CMXHY	23 000	336 49	372 13	35 64
10/07/10	09/23/09	SELL	CHINA LIFE INS CO LTD SPONS ADR REPSTG	LFC	26 000	1,794 26	1,646 30	(147 96)
10/07/10	10/05/09	SELL	CHINA LIFE INS CO LTD SPONS ADR REPSTG	LFC	11 000	704 66	696 51	(8.15)
10/07/10	09/23/09	SELL	HANG LUNG PPTYS LTD SPONSORED ADR	HLPPY	26 000	460 46	636.20	175.74
10/07/10	09/23/09	SELL	LVMH MOET HENNESSY LOUIS VUITTON ADR	LVMUY	12.000	240 36	366 59	126 23
10/07/10	09/23/09	SELL	POTASH CORP OF SASKATCHEWAN INC	POT	3 000	281 46	422 72	141.26
10/07/10	10/05/09	SELL	POTASH CORP OF SASKATCHEWAN INC	POT	1 000	85 06	140.91	55 85
10/11/10	09/22/09	SELL	WEATHERFORD INTL LTD REG	WFT	103 000	2,333 98	1,831 32	(502 66)
10/11/10	10/05/09	SELL	WEATHERFORD INTL LTD REG	WFT	54 000	1,057 32	960 11	(97 21)
10/11/10	09/22/09	SELL	JP MORGAN CHASE & CO COM	JPM	70 000	3,227.70	2,781 76	(445.94)
10/11/10	10/05/09	SELL	JP MORGAN CHASE & CO COM	JPM	29.000	1,256 57	1,152.44	(104.13)
10/11/10	09/22/09	SELL	ELI LILLY & CO COM	LLY	61 000	1,997.75	2,269 32	271.57
10/11/10	10/05/09	SELL	ELI LILLY & CO COM	LLY	21.000	684.81	781 24	96.43

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TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/11/10	09/22/09	SELL	M & T BK CORP	MTB	21.000	1,365 63	1,623 48	257.85
10/11/10	10/05/09	SELL	M & T BK CORP	MTB	15.000	911.55	1,159 63	248 08
10/11/10	09/22/09	SELL	MITSUBISHI UFJ FINL GROUP INC SPON ADR	MTU	397 000	2,334.36	1,965 15	(369 21)
10/11/10	09/23/09	SELL	MITSUBISHI UFJ FINL GROUP INC SPON ADR	MTU	83 000	491 36	410 85	(80 51)
10/11/10	09/22/09	SELL	MOLEX INC CL A	MOLXA	82 000	1,588 34	1,460 46	(127.88)
10/11/10	10/05/09	SELL	MOLEX INC CL A	MOLXA	39 000	701 22	694 61	(6 61)
10/11/10	09/22/09	SELL	NEXTERA ENERGY INC COM	NEE	49 000	2,655.31	2,687.60	32 29
10/11/10	10/05/09	SELL	NEXTERA ENERGY INC COM	NEE	19 000	1,005 48	1,042 13	36 65
10/11/10	09/22/09	SELL	NORTHROP GRUMMAN CORP (PREVIOUSLY	NOC	34 000	1,748 62	2,108 98	360 36
10/11/10	10/05/09	SELL	NORTHROP GRUMMAN CORP (PREVIOUSLY	NOC	15.000	754.50	930 43	175 93
10/11/10	10/07/09	SELL	NORTHROP GRUMMAN CORP (PREVIOUSLY	NOC	17 000	861 34	1,054.49	193 15
10/11/10	09/22/09	SELL	ORACLE CORP COM	ORCL	139 000	2,994.06	3,869.97	875 91
10/11/10	10/05/09	SELL	ORACLE CORP COM	ORCL	58 000	1,194 80	1,614.81	420 01
10/11/10	09/22/09	SELL	PENNEY J C INC	JCP	54 000	1,824.12	1,791 21	(32.91)
10/11/10	10/05/09	SELL	PENNEY J C INC	JCP	19 000	640 49	630.24	(10 25)
10/11/10	09/22/09	SELL	POLO RALPH LAUREN CORP CL A	RL	31 000	2,399 40	2,903 72	504.32
10/11/10	10/05/09	SELL	POLO RALPH LAUREN CORP CL A	RL	14 000	1,050.56	1,311.36	260 80

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/11/10	09/22/09	SELL	QEP RES INC COM	QEP	47 000	1,152 67	1,418 91	266 24
10/11/10	10/05/09	SELL	QEP RES INC COM	QEP	17 000	406 18	513 22	107 04
10/11/10	09/22/09	SELL	QUESTAR CORP COM	STR	47 000	598 55	825 30	226 75
10/11/10	10/05/09	SELL	QUESTAR CORP COM	STR	17 000	210 92	298.51	87 59
10/11/10	09/23/09	SELL	ROCHE HLDGS LTD SPONSORED ADR	RHHBY	42 000	1,730.40	1,513.23	(217.17)
10/11/10	10/05/09	SELL	ROCHE HLDGS LTD SPONSORED ADR	RHHBY	15 000	599.25	540.44	(58 81)
10/11/10	09/22/09	SELL	SAFEWAY INC COM NEW	SWY	103 000	2,009 53	2,159 00	149 47
10/11/10	10/05/09	SELL	SAFEWAY INC COM NEW	SWY	32 000	638 40	670.76	32.36
10/11/10	09/22/09	SELL	SCHWAB CHARLES CORP NEW COM	SCHW	83.000	1,543.80	1,161.29	(382.51)
10/11/10	10/05/09	SELL	SCHWAB CHARLES CORP NEW COM	SCHW	24.000	448.56	335.80	(112 76)
10/11/10	09/22/09	SELL	TEXAS INSTRUMENTS INC	TXN	96 000	2,283 84	2,755 29	471 45
10/11/10	10/05/09	SELL	TEXAS INSTRUMENTS INC	TXN	41 000	938.90	1,176 74	237.84
10/11/10	09/22/09	SELL	UNILEVER NV NEW YORK SHS NEW	UN	64 000	1,813 12	1,898.92	85 80
10/11/10	10/05/09	SELL	UNILEVER NV NEW YORK SHS NEW	UN	27 000	760 86	801.11	40.25
10/11/10	09/22/09	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	83 000	1,944 69	2,153 98	209.29
10/11/10	10/05/09	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	38 000	853 48	986.16	132 68
10/11/10	09/22/09	SELL	ZIONS BANCORP COM	ZION	82 000	1,554 72	1,789 23	234 51

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/11/10	10/05/09	SELL	ZIONS BANCORP COM	ZION	38 000	633.84	829 16	195 32
10/12/10	09/23/09	SELL	LOGITECH INTERNATIONAL SA	LOGI	33 000	635 25	614 06	(21 19)
10/13/10	09/23/09	SELL	LOGITECH INTERNATIONAL SA	LOGI	12 000	231.00	224 38	(6 62)
10/13/10	09/23/09	SELL	INFOSYS TECHNOLOGIES LTD SPON ADR REPSTG	INFY	9.000	440 10	641 59	201 49
10/20/10	09/23/09	SELL	NOVO NORDISK A.S ADR FORMERLY NOVO	NVO	6 000	386.70	605.47	218 77
10/22/10	09/23/09	SELL	LVMH MOET HENNESSY LOUIS VUITTON ADR	LVMUY	22 000	440 66	690 79	250 13
11/04/10	09/23/09	SELL	SOUTHERN COPPER CORP DEL COM	SCCO	26 000	816 92	1,167 71	350 79
11/04/10	10/05/09	SELL	SOUTHERN COPPER CORP DEL COM	SCCO	1 000	29 77	44 91	15.14
11/09/10	09/23/09	SELL	LOGITECH INTERNATIONAL SA	LOGI	33 000	635 25	723 63	88 38
11/09/10	09/23/09	SELL	KOMATSU LTD SPONSORED ADR NEW	KMTUY	23 000	452.81	638.91	186 10
11/17/10	09/23/09	SELL	FLSMIDTH & CO A S SPONS ADR	FLIDY	74.000	429 20	555 46	126.26
12/01/10	09/23/09	SELL	INTESA SANPAOLO S P A SPON ADR	ISNPY	19 000	525 92	304 88	(221 04)
12/01/10	10/05/09	SELL	INTESA SANPAOLO S P A SPON ADR	ISNPY	30.000	757 20	481 39	(275 81)
12/01/10	10/21/09	SELL	INTESA SANPAOLO S P A SPON ADR	ISNPY	10.000	287.40	160 46	(126 94)
Total Long Term						**66,110.61**	**71,016.68**	**4,906.07**
Total Short Term and Long Term						**87,139.25**	**93,357.21**	**6,217.96**

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MAVERICK LLOYD FOUNDATION
WESTFIELD ACCOUNT
P O BOX 99
SHARON VT 05065

Customer Service 415-364-2500

Additional Information

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	Amount
ccount Fees	1,695 28
hort-Term Realized Gain/Loss	-988 69

Detail Information — Account Fees

Description	Date	Amount
This detail information is not reported to the IRS It may assist you in tax return preparation		
IGMT FEE Q1 2010	02/02/10	605 53
IGMT FEE JAN 10	02/18/10	52 40
IGMT FEE Q2 10	04/28/10	689 66
IGMT FEE Q3 2010	07/26/10	596 36
IGMT FEE AUG 10	09/23/10	-252 80
ANCO BRADESCO SA SPONS ADR EACH REPR 1	03/16/10	2 56
YNGENTA ADR EACH REP 1/5TH CHF0 10 LVL	06/07/10	1 57
	Total Account Fees	**1,695.28**

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes						
This detail information is not reported to the IRS It may assist you in tax return preparation						
ACCENTURE PLC CLS A USD0 0000225 / G1151C101						
09/22/09	03/08/10	12 000	497 23	439 92	f	57 31
various	03/09/10	11 000	458 03	403 28	f	54 75
09/23/09	05/26/10	13 000	495 01	477 57	f	17 44
various	05/28/10	11 000	414 42	400 12	f	14 30
various	05/28/10	20 000	755 88	750 00	f	5 88
various	05/28/10	23 000	863 83	914 46	f	-50 63
		USD Subtotal	**3,484.40**	**3,385.35**		
COVIDIEN PLC USD0 20 / G2554F105						
09/22/09	06/28/10	10 000	420 79	409 80	f	10 99
09/22/09	06/28/10	19 000	799 88	778 62	f	21 26
09/22/09	06/29/10	6 000	240 23	245 88	f	-5 65
09/22/09	07/01/10	3 000	118 40	122 94	f	-4 54
		USD Subtotal	**1,579.30**	**1,557.24**		

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WESTFIELD ACCOUNT
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Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes This detail information is not reported to the IRS It may assist you in tax return preparation.						
CHECK POINT SOFTWARE TECHNOLOGIES ORD / M22465104						
09/22/09	05/05/10	18 000	608 31	487 80	f	120 51
09/22/09	05/06/10	19.000	610.09	514 90	f	95 19
various	05/06/10	20 000	657 57	548 96	f	108 61
various	05/07/10	14 000	445 93	398.04	f	47 89
various	05/10/10	14 000	454 96	452 55	f	2 41
		USD Subtotal	**2,776.86**	**2,402.25**		
APPLE INC / 037833100						
09/22/09	05/18/10	3 000	759 70	552 81	f	206 89
APPLIED MATERIALS INC / 038222105						
04/12/10	05/10/10	32 000	415 35	436 48	f	-21 13
Wash Sale Disallowed Loss			0 00	-21 13		21 13
04/12/10	06/10/10	36 000	452 30	514 89	f	-62 59
04/13/10	06/10/10	32 000	396.47	438 08	f	-41 61
04/13/10	06/14/10	32 000	411 19	441 98	f	-30 79
04/13/10	06/15/10	33 000	435 93	456 06	f	-20 13
various	06/17/10	15 000	199 64	207 85	f	-8 21
04/30/10	06/18/10	11 000	146 73	152 57	f	-5 84
04/30/10	06/21/10	6 000	80 39	83 22	f	-2 83
		USD Subtotal	**2,538.00**	**2,710.00**		
BANCO BRADESCO SA SPONS ADR EACH REPR 1 / 059460303						
09/22/09	01/05/10	30 000	659 64	565 20	f	94 44
09/22/09	01/05/10	30 000	662.68	565 20	f	97 48
various	01/06/10	30 000	651 58	573 48	f	78 10
various	01/06/10	38 000	828 88	779 54	f	49 34
		USD Subtotal	**2,802.78**	**2,483.42**		
CME GROUP INC / 12572Q105						
09/22/09	02/22/10	4 000	1,162 38	1,245 96	f	-83 58
various	02/23/10	6 000	1,695 21	1,864 63	f	-169 42
		USD Subtotal	**2,857.59**	**3,110.59**		
CVS CAREMARK CORP / 126650100						
09/22/09	05/18/10	24 000	842 96	865 68	f	-22 72
09/22/09	05/18/10	27 000	958 20	973 89	f	-15 69
various	05/19/10	12 000	418 91	428 04	f	-9 13
10/05/09	05/19/10	13 000	454 72	458 51	f	-3 79
various	05/20/10	13 000	446 88	467 51	f	-20 63

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MAVERICK LLOYD FOUNDATION
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Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This detail information is not reported to the IRS. It may assist you in tax return preparation						
CVS CAREMARK CORP / 126650100						
11/16/09	05/26/10	13 000	445 50	397 21	f	48 29
various	06/03/10	24 000	841 81	761 31	f	80 50
		USD Subtotal	**4,408.98**	**4,352.15**		
COCA COLA CO / 191216100						
09/22/09	01/05/10	27 000	1,524 47	1,428 03	f	96 44
various	01/06/10	29 000	1,629 84	1,549 17	f	80 67
		USD Subtotal	**3,154.31**	**2,977.20**		
CORNING INC / 219350105						
09/22/09	01/19/10	33 000	644 79	516 12	f	128 67
09/22/09	01/19/10	13 000	254 53	203 32	f	51 21
09/22/09	01/28/10	11 000	206 13	172 04	f	34 09
09/22/09	01/28/10	17 000	314 83	265 88	f	48 95
09/22/09	01/29/10	3 000	54 26	46 92	f	7 34
various	04/06/10	23 000	470 11	346 66	f	123 45
10/05/09	04/07/10	25 000	500 49	365 00	f	135 49
various	04/27/10	46 000	932 34	728 77	f	203 57
various	04/28/10	24 000	479 40	389 22	f	90 18
various	04/30/10	23 000	454 01	440 79	f	13 22
05/18/10	06/28/10	16 000	276 63	295 68	f	-19 05
Wash Sale Disallowed Loss			0 00	-8 33		8 33
05/18/10	06/29/10	10 000	165 39	184 80	f	-19 41
05/18/10	08/09/10	11 000	206 79	203 29	f	3 50
various	08/10/10	17 000	314 52	308 57	f	5 95
05/19/10	08/13/10	23 000	391 24	405 95	f	-14 71
various	08/13/10	24 000	405 37	421 07	f	-15 70
various	08/13/10	25 000	422 29	427 56	f	-5 27
various	08/13/10	22 000	379 29	374 84	f	4 45
		USD Subtotal	**6,872.41**	**6,088.15**		
DANAHER CORP / 235851102						
09/22/09	02/26/10	7 000	517 40	477 89	f	39 51
09/22/09	03/01/10	7 000	527 15	477 89	f	49 26
09/22/09	03/01/10	11 000	825 20	750 97	f	74 23
various	05/21/10	7 000	555 53	466 88	f	88 65
various	05/24/10	16 000	1,272 43	1,103 96	f	168 47
		USD Subtotal	**3,697.71**	**3,277.59**		

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MAVERICK LLOYD FOUNDATION
WESTFIELD ACCOUNT
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Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This detail information is not reported to the IRS It may assist you in tax return preparation						
E M C CORP MASS / 268648102						
02/11/10	07/29/10	18.000	355 34	308 58	f	46 76
ELAN CORP ADR-EACH CNV INTO 1 ORD / 284131208						
09/22/09	04/26/10	54 000	377 31	388 80	f	-11 49
GILEAD SCIENCES INC / 375558103						
09/22/09	01/04/10	26 000	1,124 69	1,197 82	f	-73 13
Wash Sale Disallowed Loss			0 00	-8 44		8 44
various	05/26/10	20 000	714 11	925 41	f	-211 30
various	05/26/10	21 000	741 25	938 76	f	-197 51
		USD Subtotal	**2,580.05**	**3,053.55**		
GOLDCORP INC COM NPV ISIN #CA3809564097 / 380956409						
11/09/09	04/05/10	24 000	931 54	1,053 45	f	-121 91
11/09/09	04/05/10	12 000	465 35	525 81	f	-60 46
various	04/06/10	12 000	460 91	525 16	f	-64 25
various	04/06/10	12 000	464 37	513 14	f	-48 77
		USD Subtotal	**2,322.17**	**2,617.56**		
GOODRICH CORP FORMERLY GOODRICH B F CO / 382388106						
09/22/09	04/26/10	7 000	534 16	390 32	f	143 84
09/22/09	04/27/10	5 000	369 79	278 80	f	90 99
		USD Subtotal	**903.95**	**669.12**		
HALLIBURTON CO HOLDING CO FRMLY / 406216101						
09/22/09	01/14/10	11 000	372 59	309 98	f	62 61
HEWLETT-PACKARD CO DE / 428236103						
09/22/09	05/18/10	18 000	843 46	846 36	f	-2 90
various	07/22/10	31 000	1,427 52	1,454 54	f	-27 02
10/05/09	07/22/10	11 000	503 93	500 28	f	3 65
various	07/23/10	9 000	415 44	422 84	f	-7 40
various	07/23/10	9.000	416 08	460 26	f	-44 18
		USD Subtotal	**3,606.43**	**3,684.28**		
ITT CORP COM / 450911102						
09/22/09	01/04/10	8 000	398.08	419 92	f	-21 84
09/22/09	01/05/10	4 000	194 85	209 96	f	-15 11
09/22/09	01/05/10	6 000	293 69	314 94	f	-21 25
09/22/09	01/06/10	12 000	581 75	629 88	f	-48 13
09/22/09	01/07/10	3 000	147 76	157 47	f	-9 71
various	01/07/10	11.000	540 63	567 28	f	-26 65

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Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes This detail information is not reported to the IRS It may assist you in tax return preparation						
TT CORP COM / 450911102						
10/05/09	01/08/10	8 000	399 53	392 96	f	6 57
various	01/08/10	9 000	454 12	474 38	f	-20 26
		USD Subtotal	**3,010.41**	**3,166.79**		
LLUMINA INC / 452327109						
09/22/09	01/19/10	3 000	113 86	120 33	f	-6 47
Wash Sale Disallowed Loss			0 00	-6 47		6 47
09/22/09	01/20/10	6 000	225 76	240 66	f	-14 90
Wash Sale Disallowed Loss			0 00	-4 97		4 97
09/22/09	01/20/10	7 000	264 52	280 77	f	-16 25
		USD Subtotal	**604.14**	**630.32**		
NTEL CORP / 458140100						
various	04/26/10	43 000	1,028 54	857 79	f	170 75
various	08/04/10	51 000	1,055 77	1,035 85	f	19 92
various	08/04/10	83 000	1,717 31	1,625 07	f	92 24
		USD Subtotal	**3,801.62**	**3,518.71**		
IPMORGAN CHASE & CO / 46625H100						
01/05/10	08/09/10	23 000	918 18	996 17	f	-77 99
various	08/10/10	43 000	1,687 30	1,887 27	f	-199 97
various	08/10/10	44 000	1,731 77	1,802 91	f	-71 14
		USD Subtotal	**4,337.25**	**4,686.35**		
KOHLS CORP / 500255104						
09/22/09	07/19/10	29 000	1,339 37	1,607 76	f	-268 39
various	07/19/10	15 000	691 37	846 35	f	-154 98
various	07/20/10	21 000	966 51	1,153 93	f	-187 42
		USD Subtotal	**2,997.25**	**3,608.04**		
MICROSOFT CORP / 594918104						
09/22/09	07/01/10	35 000	811 28	898 80	f	-87 52
various	08/03/10	74 000	1,930 01	1,881 24	f	48 77
various	08/04/10	66 000	1,698 81	1,741 77	f	-42 96
		USD Subtotal	**4,440.10**	**4,521.81**		
MORGAN STANLEY / 617446448						
various	02/11/10	46 000	1,253 02	1,458 76	f	-205 74
various	02/11/10	50 000	1,352 14	1,624 35	f	-272 21
		USD Subtotal	**2,605.16**	**3,083.11**		

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MAVERICK LLOYD FOUNDATION
WESTFIELD ACCOUNT
P O BOX 99
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Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes *This detail information is not reported to the IRS It may assist you in tax return preparation*						
MOSAIC CO / 61945A107						
09/22/09	05/10/10	28 000	1,357 01	1,452 92	f	-95.91
various	05/11/10	16 000	761 91	792 14	f	-30 23
various	05/12/10	9 000	437 25	418.45	f	18 80
various	05/12/10	10 000	484 74	609 52	f	-124 78
		USD Subtotal	**3,040.91**	**3,273.03**		
PEPSICO INC / 713448108						
09/22/09	02/08/10	15 000	885 02	877 65	f	7 37
PFIZER INC / 717081103						
05/20/10	05/26/10	110 000	1,668 68	1,713 80	f	-45 12
Wash Sale Disallowed Loss			0 00	-44 71		44 71
05/20/10	05/26/10	5 000	75 85	76 65	f	-0 80
05/20/10 §§	05/26/10	109 000	1,670 95	1,715 68	f	-44 73
		USD Subtotal	**3,415.48**	**3,461.42**		
PRAXAIR INC / 74005P104						
09/22/09	02/24/10	9 000	683 41	734 76	f	-51 35
09/22/09	02/24/10	10.000	760 26	816 40	f	-56 14
09/22/09	02/25/10	7 000	524 68	571 48	f	-46 80
various	02/25/10	11 000	826 17	886 03	f	-59.86
various	02/26/10	5 000	375 37	410 83	f	-35.46
		USD Subtotal	**3,169.89**	**3,419.50**		
PROCTER & GAMBLE CO / 742718109						
09/25/09	03/08/10	14 000	885.76	814 66	f	71 10
various	06/16/10	21 000	1,281 53	1,221 92	f	59 61
various	06/16/10	21 000	1,290.21	1,192 74	f	97 47
various	06/16/10	16 000	985 56	939 82	f	45 74
		USD Subtotal	**4,443.06**	**4,169.14**		
QUALCOMM INC / 747525103						
09/22/09	07/23/10	17 000	661 99	755 99	f	-94 00
09/22/09	07/28/10	16 000	620 64	711.52	f	-90.88
Wash Sale Disallowed Loss			0 00	-45 44		45 44
10/05/09	07/28/10	5 000	193 95	209 74	f	-15 79
		USD Subtotal	**1,476.58**	**1,631.81**		
SYNGENTA ADR EACH REP 1/5TH CHF0 10 LVL / 87160A100						
09/22/09	07/02/10	5 000	221 61	241 25	f	-19 64
09/22/09	07/06/10	8.000	349 34	386 00	f	-36 66
09/22/09	07/07/10	9 000	390 63	434 25	f	-43.62

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MAVERICK LLOYD FOUNDATION
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Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
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SYNGENTA ADR EACH REP 1/5TH CHF0 10 LVL / 87160A100						
09/22/09	07/07/10	12 000	520 82	579 00	f	-58 18
various	07/08/10	33 000	1,442 17	1,592 34	f	-150 17
		USD Subtotal	**2,924.57**	**3,232.84**		
TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH / 881624209						
various	07/23/10	31 000	1,517 11	1,584 93	f	-67 82
various	07/26/10	31 000	1,551 68	1,688 23	f	-136 55
		USD Subtotal	**3,068.79**	**3,273.16**		
THERMO FISHER SCIENTIFIC INC / 883556102						
09/22/09	07/28/10	15 000	689 47	692 55	f	-3 08
VIACOM INC NEW CL B / 92553P201						
09/22/09	04/05/10	16 000	558 69	446 56	f	112 13
VISA INC COM CL A / 92826C839						
various	08/05/10	17 000	1,224 17	1,240 87	f	-16 70
various	08/05/10	24 000	1,717 37	1,830 82	f	-113 45
		USD Subtotal	**2,941.54**	**3,071.69**		
WAL-MART STORES INC / 931142103						
various	03/02/10	15 000	804 28	805 90	f	-1 62
11/12/09	03/03/10	16 000	854 22	853 69	f	0 53
various	07/26/10	33 000	1,682 69	1,759 95	f	-77 26
various	07/26/10	33 000	1,682 97	1,760 02	f	-77 05
		USD Subtotal	**5,024.16**	**5,179.56**		
				Short-Term Realized Gain		3,850 06
				Short-Term Realized Loss		-4,978 24
				Short-Term Realized Disallowed Loss		139 49
				Total Short-Term Realized Gain/Loss		**-988.69**

§§ - Adjusted due to previous wash sale disallowed loss
- FIFO (First-in, First-out)

98,884 99,873

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

Important Tax Return Document Enclosed.

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MAVERICK LLOYD FOUNDATION
COPPER ROCK ACCOUNT
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Customer Service 415-364-2500

Additional Information

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	Amount
Account Fees	1,623 50
Short-Term Realized Gain/Loss	8,537 42

Detail Information — Account Fees

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Description	Date	Amount
MGMT FEE Q1 2010	02/02/10	575.73
MGMT FEE JAN 10	02/18/10	34 93
MGMT FEE Q2 10	04/28/10	670 42
MGMT FEE Q3 2010	07/26/10	593 55
MGMT FEE AUG 10	09/23/10	-251.61
HOME INNS & HOTEL MANAGEMENT INC SPON	09/15/10	0 48
	Total Account Fees	**1,623.50**

Detail Information — Short-Term Realized Gain/Loss

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Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
ACME PACKET INC COM / 004764106						
01/14/10	02/03/10	32 000	438 39	353 28	f	85 11
various	02/22/10	107 000	1,610 32	1,240 83	f	369 49
		USD Subtotal	**2,048.71**	**1,594.11**		
ADVANCED ENERGY INDUSTRIES INC / 007973100						
various	05/12/10	71.000	927 53	1,050 28	f	-122 75
AFFILIATED MANAGERS GROUP / 008252108						
09/23/09	05/27/10	5 000	365 94	342 00	f	23.94
09/23/09	08/05/10	9 000	653 77	615 60	f	38 17
		USD Subtotal	**1,019.71**	**957.60**		
ALEXION PHARM INC / 015351109						
12/10/09	06/23/10	26 000	1,407 08	1,190 01	f	217 07
ALIGN TECHNOLOGY INC / 016255101						
various	04/27/10	75 000	1,274 64	1,283 39	f	-8 75

National Financial Services LLC

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MAVERICK LLOYD FOUNDATION
COPPER ROCK ACCOUNT
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Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
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ALLIANCE DATA SYS CORP / 018581108						
09/23/09	06/16/10	11 000	781 07	688 71	f	92 36
AMERN SUPERCONDUCTOR CORP / 030111108						
12/10/09	03/22/10	36 000	937 51	1,408 53	f	-471 02
12/10/09	04/14/10	58 000	1,685 12	2,269 30	f	-584 18
		USD Subtotal	**2,622.63**	**3,677.83**		
AMYLIN PHARM INC / 032346108						
various	03/09/10	75 000	1,510 25	1,041 58	f	468 67
ANSYS INC / 03662Q105						
11/16/09	01/20/10	9 000	384 20	368 09	f	16 11
various	01/25/10	39 000	1,588 93	1,580 70	f	8 23
		USD Subtotal	**1,973.13**	**1,948.79**		
ARENA RESOURCES INC / 040049108						
12/10/09	05/12/10	14 000	492 37	576 15	f	-83 78
12/10/09	05/17/10	26 000	887 59	1,070 00	f	-182 41
		USD Subtotal	**1,379.96**	**1,646.15**		
ATHENAHEALTH INC DELAWARE / 04685W103						
various	03/02/10	37 000	1,382 24	1,643 54	f	-261 30
AUXILIUM PHARMACEUTICALS INC / 05334D107						
12/10/09	06/08/10	23 000	578 67	761 89	f	-183 22
BJ S WHOLESALE CLUB INC / 05548J106						
various	08/05/10	27 000	1,217 48	1,053 85	f	163 63
BEACON ROOFING SUPPLY INC COM / 073685109						
various	07/08/10	95 000	1,662 26	1,797 99	f	-135 73
BECKMAN COULTER INC / 075811109						
09/23/09	04/05/10	6 000	374 75	419 40	f	-44 65
various	04/06/10	20 000	1,245 57	1,369 62	f	-124 05
		USD Subtotal	**1,620.32**	**1,789.02**		
BIOMARIN PHARMACEUTICAL INC / 09061G101						
various	06/16/10	56 000	1,136 01	979 61	f	156 40
BLACKBOARD INC / 091935502						
09/23/09	01/14/10	15 000	671 24	569 25	f	101 99
various	02/22/10	34 000	1,309 39	1,357 74	f	-48 35
various	08/05/10	38 000	1,434 97	1,662 66	f	-227 69
		USD Subtotal	**3,415.60**	**3,589.65**		
BLUE COAT SYS INC NEW / 09534T508						
various	01/08/10	54 000	1,582 53	1,240 56	f	341 97

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MAVERICK LLOYD FOUNDATION
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Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
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BUCYRUS INTL INC NEW COM / 118759109						
10/05/09	01/14/10	12 000	787 09	406 32	f	380 77
various	01/20/10	17 000	1,088 82	678 91	f	409 91
		USD Subtotal	**1,875.91**	**1,085.23**		
CAPELLA ED CO COM / 139594105						
02/26/10	07/28/10	6 000	564 11	495 96	f	68 15
CARTER INC FORMERLY CARTER HLDG INC TO / 146229109						
various	07/23/10	49 000	1,258 32	1,562.39	f	-304 07
CATALYST HEALTH SOLUTIONS INC COM / 14888B103						
12/10/09	06/16/10	32 000	1,243 57	1,128 30	f	115 27
various	06/23/10	22 000	789 64	931 75	f	-142 11
		USD Subtotal	**2,033.21**	**2,060.05**		
CERNER CORP / 156782104						
10/13/09	04/08/10	11 000	946 64	938 21	f	8 43
CHIPOTLE MEXICAN GRILL INC / 169656105						
various	02/10/10	13 000	1,300 15	1,059 29	f	240 86
CINEMARK HLDGS INC COM / 17243V102						
05/12/10	07/28/10	8 000	114 95	141 28	f	-26 33
COMMVAULT SYS INC COM / 204166102						
09/23/09	05/27/10	5 000	113 39	102 40	f	10 99
various	06/16/10	24 000	549 69	492 35	f	57 34
various	06/23/10	37 000	841 36	759 69	f	81 67
		USD Subtotal	**1,504.44**	**1,354.44**		
COMSTOCK RES INC / 205768203						
various	03/02/10	29 000	1,037 48	1,272 62	f	-235 14
CONCEPTUS INC / 206016107						
09/23/09	04/05/10	31.000	618 12	589 00	f	29 12
09/23/09	05/27/10	5 000	84 44	95 00	f	-10 56
various	05/28/10	86 000	1,442 95	1,603 93	f	-160 98
		USD Subtotal	**2,145.51**	**2,287.93**		
CONCHO RES INC / 20605P101						
various	06/16/10	22 000	1,330 12	858 89	f	471 23
CREE INC / 225447101						
02/22/10	05/05/10	18 000	1,278 51	1,203 97	f	74 54
CYBERSOURCE CORP / 23251J106						
03/02/10	04/27/10	33 000	847 09	609 43	f	237 66

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MAVERICK LLOYD FOUNDATION
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Detail Information — Short-Term Realized Gain/Loss

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CYBERONICS INC / 23251P102						
various	02/22/10	32 000	570 99	512 12	f	58 87
CYMER INC / 232572107						
09/23/09	01/20/10	25 000	887 21	962 75	f	-75 54
Wash Sale Disallowed Loss			0 00	-24 17		24 17
09/30/09	01/20/10	3 000	106 47	116 62	f	-10 15
various	01/27/10	25 000	848 48	992 24	f	-143 76
various	02/03/10	24 000	788 62	887 33	f	-98 71
		USD Subtotal	**2,630.78**	**2,934.77**		
DEALERTRACK HLDGS INC COM / 242309102						
various	03/26/10	79 000	1,363 76	1,548 08	f	-184 32
various	04/05/10	52 000	907 38	987 39	f	-80 01
		USD Subtotal	**2,271.14**	**2,535.47**		
DENDREON CORP / 24823Q107						
09/23/09	04/27/10	24 000	958 07	701 52	f	256 55
various	06/29/10	21 000	707 10	590 63	f	116 47
		USD Subtotal	**1,665.17**	**1,292.15**		
DEVRY INC / 251893103						
09/23/09	06/29/10	10 000	530 28	555 60	f	-25 32
various	08/05/10	46 000	2,356 85	2,649 57	f	-292 72
		USD Subtotal	**2,887.13**	**3,205.17**		
DIGITAL RLTY TR INC COM / 253868103						
02/26/10	06/16/10	17 000	1,056 30	873 63	f	182 67
DOLBY LABORATORIES INC CL A / 25659T107						
09/23/09	01/27/10	19 000	949 10	753 35	f	195 75
various	03/05/10	21 000	1,195 91	823 31	f	372 60
		USD Subtotal	**2,145.01**	**1,576.66**		
DRIL QUIP INC / 262037104						
02/26/10	05/03/10	9 000	542 96	486 81	f	56 15
02/26/10	05/05/10	9 000	512 32	486 81	f	25 51
		USD Subtotal	**1,055.28**	**973.62**		
EV3 INC CASH PAYMENT OF $22 50 PER SHARE / 26928A200						
01/27/10	05/27/10	5 000	93 79	72 20	f	21 59
EV3 INC (TENDERED) FR CUSIP 26928A200 / 269991899						
various	07/12/10	71 000	1,597 50	1,030 41	f	567 09

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MAVERICK LLOYD FOUNDATION
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Detail Information — Short-Term Realized Gain/Loss

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EMULEX CORP COM NEW / 292475209					
02/03/10	05/27/10	5 000	54 34	60 90 f	-6 56
Wash Sale Disallowed Loss			0 00	-6 56	6 56
02/03/10	08/05/10	61 000	541 70	742 98 f	-201 28
		USD Subtotal	**596.04**	**797.32**	
EXPRESS INC COM USD0.01 / 30219E103					
various	08/05/10	75 000	1,225 67	1,152 36 f	73 31
Wash Sale Disallowed Loss			0 00	-16 91	16 91
		USD Subtotal	**1,225.67**	**1,135.45**	
F5 NETWORKS INC / 315616102					
09/23/09	05/12/10	20 000	1,457 97	798 20 f	659 77
various	06/16/10	11 000	818 93	434 45 f	384 48
various	06/23/10	9 000	665 44	429 21 f	236 23
		USD Subtotal	**2,942.34**	**1,661.86**	
FINISAR CORP COM NEW / 31787A507					
various	05/12/10	115 000	1,743.51	1,563 42 f	180 09
FUEL SYS SOLUTIONS INC COM / 35952W103					
12/10/09	01/20/10	15 000	639 36	677 29 f	-37 93
GSI COMMERCE INC / 36238G102					
09/23/09	01/27/10	27 000	629 44	534 97 f	94 47
various	02/01/10	34 000	770.07	719 61 f	50 46
		USD Subtotal	**1,399.51**	**1,254.58**	
GARTNER INC COM / 366651107					
01/27/10	05/27/10	5 000	124 94	106 91 f	18 03
01/27/10	06/16/10	29 000	758 68	620 05 f	138 63
various	06/23/10	36 000	899 85	773 84 f	126 01
		USD Subtotal	**1,783.47**	**1,500.80**	
GREEN MTN COFFEE ROASTERS INC / 393122106					
various	02/01/10	23 000	1,915 69	1,577 94 f	337 75
10/05/09	05/27/10	5 000	120 84	116 97 f	3 87
10/05/09	07/28/10	9 000	257 30	210 54 f	46 76
various	08/05/10	127 000	3,902 98	2,913 56 f	989 42
		USD Subtotal	**6,196.81**	**4,819.01**	
GREENHILL & CO INC / 395259104					
09/23/09	07/28/10	5 000	345 04	433 65 f	-88 61

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Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
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GYMBOREE CORP CASH MERGER AT $65 40 PER / 403777105						
09/23/09	01/20/10	17 000	708 66	860 03	f	-151 37
various	07/28/10	6 000	266 63	295 80	f	-29 17
		USD Subtotal	**975.29**	**1,155.83**		
HMS HOLDINGS CORP / 40425J101						
various	08/05/10	15 000	811 76	766 93	f	44 83
HANGER ORTHOPEDIC GROUP INC COM NEW / 41043F208						
04/05/10	05/27/10	5 000	86 79	92 42	f	-5 63
various	06/16/10	60 000	1,022 98	1,124 42	f	-101 44
		USD Subtotal	**1,109.77**	**1,216.84**		
HOME INNS & HOTEL MANAGEMENT INC SPON / 43713W107						
12/10/09	02/26/10	17 000	579 69	613 48	f	-33 79
12/10/09	03/02/10	15 000	544 79	541 31	f	3 48
		USD Subtotal	**1,124.48**	**1,154.79**		
HUB GROUP INC CL A / 443320106						
09/23/09	02/01/10	14 000	328 04	347 20	f	-19 16
Wash Sale Disallowed Loss			0 00	-6 84		6 84
		USD Subtotal	**328.04**	**340.36**		
HUMAN GENOME SCIENCES INC / 444903108						
12/10/09	06/16/10	32 000	873 26	887 10	f	-13 84
IPC THE HOSPITALIST CO INC COM / 44984A105						
02/26/10	05/27/10	5 000	146 89	165 90	f	-19 01
Wash Sale Disallowed Loss			0 00	-19 01		19 01
		USD Subtotal	**146.89**	**146.89**		
INFORMATICA CORP / 45666Q102						
01/20/10	05/27/10	5 000	127 94	126 28	f	1 66
various	06/16/10	101 000	2,724 42	2,463 06	f	261 36
		USD Subtotal	**2,852.36**	**2,589.34**		
INVERNESS MED INNOVATIONS INC / 46126P106						
09/23/09	03/05/10	5 000	200 29	194 05	f	6 24
09/23/09	03/09/10	13 000	513 88	504 53	f	9 35
various	03/11/10	22 000	889 70	891 82	f	-2 12
		USD Subtotal	**1,603.87**	**1,590.40**		
ISHARES TR RUSSELL MIDCAP GROWTH INDEX / 464287481						
various	02/26/10	99 000	4,505 05	4,416 73	f	88 32
Wash Sale Disallowed Loss			0 00	-7 67		7 67
02/22/10	03/05/10	17 000	804 25	778 09	f	26 16

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MAVERICK LLOYD FOUNDATION
COPPER ROCK ACCOUNT
P O BOX 99
SHARON VT 05065

Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired		Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This detail information is not reported to the IRS It may assist you in tax return preparation							
ISHARES TR RUSSELL MIDCAP GROWTH INDEX / 464287481							
03/18/10	§§	05/05/10	29 000	1,417 49	1,422 00	f	-4 51
Wash Sale Disallowed Loss				0 00	-4 51		4 51
03/25/10	§§	07/08/10	24 000	1,079 74	1,190 05	f	-110 31
Wash Sale Disallowed Loss				0.00	-110 31		110 31
03/25/10	§§	08/05/10	5 000	237 50	247 93	f	-10 43
Wash Sale Disallowed Loss				0.00	-6 26		6 26
04/09/10	§§	08/05/10	23 000	1,092 52	1,170 29	f	-77 77
			USD Subtotal	**9,136.55**	**9,096.34**		
ISHARES TR RUSSELL 2000 GROWTH INDEX FD / 464287648							
various		02/26/10	36 000	2,452 36	2,395.98	f	56 38
02/22/10		05/05/10	6 000	447 17	410 76	f	36 41
05/12/10		07/08/10	9 000	608 94	685 62	f	-76 68
Wash Sale Disallowed Loss				0 00	-76 68		76 68
			USD Subtotal	**3,508.47**	**3,415.68**		
J CREW GROUP INC COM / 46612H402							
03/05/10		06/16/10	20 000	877 82	903 80	f	-25 98
various		08/05/10	19 000	674 97	887 68	f	-212 71
			USD Subtotal	**1,552.79**	**1,791.48**		
LINCARE HLDGS INC / 532791100							
10/20/09		07/20/10	29 000	709 32	633 36	f	75 96
various		07/23/10	46 000	1,080 99	1,246 53	f	-165 54
			USD Subtotal	**1,790.31**	**1,879.89**		
LIVE NATION ENTERTAINMENT INC COM / 538034109							
01/29/10		01/29/10	0 000	0 90	0.00	f	0 90
09/23/09		03/24/10	102 000	1,373 75	881 10	f	492 65
various		03/26/10	65 998	894 01	509 80	f	384 21
			USD Subtotal	**2,268.66**	**1,390.90**		
LUBRIZOL CORPORATION / 549271104							
09/23/09		02/01/10	13 000	897.97	944.19	f	-46.22
various		03/24/10	17 000	1,593 04	1,189 78	f	403 26
			USD Subtotal	**2,491.01**	**2,133.97**		
MSCI INC CL A / 55354G100							
09/23/09		01/07/10	40 000	1,353 56	1,168 00	f	185 56
various		01/14/10	42 000	1,391.78	1,212 12	f	179 66
various		01/20/10	22 000	706.63	601 12	f	105 51

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MAVERICK LLOYD FOUNDATION
COPPER ROCK ACCOUNT
P O BOX 99
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Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding penod information reported may not reflect all adjustments necessary for tax reporting purposes This detail information is not reported to the IRS It may assist you in tax return preparation						
MSCI INC CL A / 55354G100						
various	02/22/10	22 000	645 78	673 18	f	-27 40
12/10/09	04/27/10	41 000	1,475 60	1,331 08	f	144 52
		USD Subtotal	**5,573.35**	**4,985.50**		
MASSEY ENERGY CO / 576206106						
11/12/09	03/02/10	7 000	324 65	251 58	f	73 07
11/12/09	04/06/10	30 000	1,449 27	1,078 20	f	371 07
		USD Subtotal	**1,773.92**	**1,329.78**		
MICROS SYS INC / 594901100						
02/22/10	05/27/10	5 000	171 74	152 00	f	19 74
various	06/23/10	45 000	1,519 62	1,367 04	f	152 58
		USD Subtotal	**1,691.36**	**1,519.04**		
MILLER HERMAN INC / 600544100						
various	05/05/10	32 000	660 78	573 52	f	87 26
various	05/12/10	73 000	1,493 78	1,342 99	f	150 79
		USD Subtotal	**2,154.56**	**1,916.51**		
MONSTER WORLDWIDE INC / 611742107						
various	02/26/10	54 000	768 95	1,008 60	f	-239 65
various	03/02/10	47 000	669 27	833 89	f	-164 62
04/27/10	05/27/10	5 000	73 14	86 50	f	-13 36
Wash Sale Disallowed Loss			0 00	-13 36		13 36
various	08/05/10	120 000	1,654 31	2,110 93	f	-456 62
		USD Subtotal	**3,165.67**	**4,026.56**		
NETLOGIC MICROSYSTEMS INC / 64118B100						
11/11/09	01/27/10	24 000	1,044 49	995 97	f	48 52
various	02/01/10	31 000	1,292 39	1,342 78	f	-50 39
Wash Sale Disallowed Loss			0 00	-40 04		40 04
01/07/10	02/01/10	6 000	250 14	268 86	f	-18 72
		USD Subtotal	**2,587.02**	**2,567.57**		
NUANCE COMMUNICATIONS INC COM / 67020Y100						
11/16/09	02/22/10	77 000	1,116 48	1,079 53	f	36 95
various	02/26/10	69 000	989 77	1,118 93	f	-129 16
		USD Subtotal	**2,106.25**	**2,198.46**		
NUTRI SYS INC NEW COM / 67069D108						
various	08/05/10	69 000	1,318 93	1,604 39	f	-285 46
NUVASIVE INC / 670704105						
various	08/05/10	35 000	1,212 42	1,567 59	f	-355 17

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MAVERICK LLOYD FOUNDATION
COPPER ROCK ACCOUNT
P O BOX 99
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Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
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OSI PHARMACEUTICALS INC CASH PAYMENT OF / 671040103						
12/10/09	04/27/10	25 000	1,445 75	874 00	f	571 75
ORBITAL SCIENCES CORP / 685564106						
02/22/10	05/27/10	5 000	79 89	93 40	f	-13 51
various	08/05/10	67 000	948 60	1,252 22	f	-303 62
		USD Subtotal	**1,028.49**	**1,345.62**		
PARAMETRIC TECHNOLOGY CORP / 699173209						
various	06/23/10	32 000	535 67	621 17	f	-85 50
04/27/10	06/29/10	32 000	513 55	616 64	f	-103 09
		USD Subtotal	**1,049.22**	**1,237.81**		
PAREXEL INTL CORP / 699462107						
04/05/10	05/27/10	5 000	113 79	122 75	f	-8 96
Wash Sale Disallowed Loss			0 00	-8 96		8 96
04/05/10	07/28/10	12 000	267 71	294 61	f	-26 90
various	08/05/10	69 000	1,495 21	1,669 81	f	-174 60
		USD Subtotal	**1,876.71**	**2,078.21**		
PERRIGO CO / 714290103						
12/10/09	06/16/10	25 000	1,477 11	1,008 83	f	468 28
POLARIS INDS INC / 731068102						
12/10/09	04/09/10	31 000	1,688 25	1,349 81	f	338 44
POLYCOM INC / 73172K104						
09/23/09	03/11/10	10 000	291 99	268 90	f	23 09
09/23/09	03/22/10	22 000	676 04	591 58	f	84 46
09/23/09	05/27/10	5 000	154 24	134 45	f	19 79
various	06/16/10	78 000	2,394 35	2,138 38	f	255 97
		USD Subtotal	**3,516.62**	**3,133.31**		
PRICELINE COM INC COM NEW / 741503403						
various	02/22/10	11 000	2,532 01	1,811 82	f	720 19
10/05/09	05/05/10	5 000	1,235 45	839 10	f	396 35
		USD Subtotal	**3,767.46**	**2,650.92**		
RACKSPACE HOSTING INC COM / 750086100						
10/21/09	05/27/10	5 000	87 49	95 90	f	-8 41
various	06/16/10	87 000	1,659 87	1,693 48	f	-33 61
		USD Subtotal	**1,747.36**	**1,789.38**		
REGENERON PHARMACEUTICALS INC / 75886F107						
03/26/10	06/16/10	37 000	1,012 38	971 55	f	40 83

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MAVERICK LLOYD FOUNDATION
COPPER ROCK ACCOUNT
P O BOX 99
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Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
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ROBERT HALF INTL INC / 770323103						
09/23/09	05/27/10	5 000	127 44	135 45	f	-8 01
ROPER INDS INC / 776696106						
09/23/09	05/27/10	5 000	293 09	258 10	f	34 99
various	06/16/10	40 000	2,409 21	2,082 11	f	327 10
12/10/09	08/05/10	16 000	1,013 03	858 73	f	154 30
		USD Subtotal	**3,715.33**	**3,198.94**		
ROSETTA RES INC COM / 777779307						
05/05/10	06/16/10	51 000	1,304 68	1,228 66	f	76 02
ROVI CORP COM / 779376102						
various	02/22/10	56 000	1,861 41	1,799 93	f	61 48
05/12/10	08/05/10	18 000	789 87	681 48	f	108 39
		USD Subtotal	**2,651.28**	**2,481.41**		
ROWAN COS INC / 779382100						
10/19/09	05/27/10	5 000	130 19	130 90	f	-0 71
SBA COMMUNICATIONS CPRP CL A / 78388J106						
01/07/10	05/27/10	5 000	167 44	183 00	f	-15 56
SAIC INC COM / 78390X101						
12/10/09	03/05/10	30 000	577 49	546 30	f	31 19
12/10/09	05/27/10	5 000	85 99	91 05	f	-5 06
12/10/09	06/29/10	37 000	623 06	673 77	f	-50 71
various	08/05/10	98 000	1,673 88	1,792 18	f	-118 30
		USD Subtotal	**2,960.42**	**3,103.30**		
SEI INVESTMENT CO FRMLY SEI CORP / 784117103						
various	02/26/10	104 000	1,818 53	1,946 19	f	-127 66
SXC HEALTH SOLUTIONS CORP COM NPV ISIN / 78505P100						
various	08/05/10	24 000	1,788 09	1,643 84	f	144 25
SALIX PHARMACEUTICALS LTD / 795435106						
various	02/26/10	35 000	1,004 88	1,019 34	f	-14 46
SIGNATURE BK NEW YORK N Y / 82669G104						
02/22/10	07/28/10	9 000	353 24	327 51	f	25 73
various	08/05/10	31 000	1,204 24	1,173 07	f	31 17
		USD Subtotal	**1,557.48**	**1,500.58**		
SILICON LABORATORIES INC OC COM / 826919102						
02/22/10	06/23/10	13 000	569 25	601 64	f	-32 39
02/22/10	06/29/10	13 000	538 13	601 64	f	-63 51
		USD Subtotal	**1,107.38**	**1,203.28**		

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MAVERICK LLOYD FOUNDATION
COPPER ROCK ACCOUNT
P O BOX 99
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Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
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SKECHERS USA INC / 830566105						
04/27/10	06/16/10	19 000	827.24	767 51	f	59 73
04/27/10	07/23/10	14 000	467 74	565 53	f	-97 79
		USD Subtotal	**1,294.98**	**1,333.04**		
SKYWORKS SOLUTIONS INC COM / 83088M102						
03/24/10	05/27/10	5 000	80 39	80 70	f	-0 31
Wash Sale Disallowed Loss			0 00	-0 31		0 31
		USD Subtotal	**80.39**	**80.39**		
SOLARWINDS INC COM / 83416B109						
various	02/26/10	74 000	1,384 91	1,610.64	f	-225 73
SOLERA HLDGS INC / 83421A104						
various	02/26/10	23 000	786 16	777 37	f	8 79
SOLUTIA INC COM NEW / 834376501						
09/23/09	03/09/10	63 000	982 78	824 04	f	158 74
09/23/09	05/03/10	38 000	687 02	510 58	f	176 44
various	05/12/10	85 000	1,382 07	1,124 92	f	257 15
12/10/09	05/27/10	5 000	74 64	56 69	f	17 95
12/10/09	07/28/10	7 000	100 72	79 37	f	21 35
12/10/09	08/05/10	47 000	712 26	532 93	f	179 33
		USD Subtotal	**3,939.49**	**3,128.53**		
SUCCESSFACTORS INC COM / 864596101						
10/21/09	01/14/10	63 000	1,147 32	1,053 36	f	93 96
various	02/10/10	27 000	436 57	439 48	f	-2 91
various	05/12/10	66 000	1,434 18	1,075 72	f	358 46
		USD Subtotal	**3,018.07**	**2,568.56**		
SYKES ENTERPRISES INC / 871237103						
09/23/09	05/27/10	5.000	87 39	107 00	f	-19 61
various	08/05/10	106 000	1,235 18	2,569 32	f	-1,334 14
		USD Subtotal	**1,322.57**	**2,676.32**		
TEMPUR PEDIC INTL INC / 88023U101						
02/26/10	05/27/10	5.000	165 29	142 50	f	22 79
02/26/10	06/16/10	13 000	448.24	370 50	f	77 74
		USD Subtotal	**613.53**	**513.00**		

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MAVERICK LLOYD FOUNDATION
COPPER ROCK ACCOUNT
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Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
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TEREX CORP NEW / 880779103						
09/23/09	02/10/10	55 000	1,011 06	1,117 05	f	-105 99
various	02/26/10	89 000	1,714 77	1,796 88	f	-82 11
various	03/26/10	105 000	2,259 57	2,139 22	f	120 35
		USD Subtotal	**4,985.40**	**5,053.15**		
THORATEC CORP NEW FORMERLY THORATEC LABS / 885175307						
12/10/09	07/28/10	9 000	380 42	264 02	f	116 40
TIFFANY & CO NEW / 886547108						
10/13/09	01/20/10	16 000	687 83	652 74	f	35 09
various	08/05/10	39 000	1,668 44	1,600 94	f	67 50
		USD Subtotal	**2,356.27**	**2,253.68**		
TIVO INC / 888706108						
09/23/09	02/22/10	65 000	631 78	711 75	f	-79 97
Wash Sale Disallowed Loss			0 00	-79 97		79 97
10/05/09	02/22/10	32 000	311 03	316 80	f	-5 77
Wash Sale Disallowed Loss			0 00	-5 77		5 77
10/21/09	02/22/10	19 000	184 68	219 45	f	-34 77
Wash Sale Disallowed Loss			0 00	-12 81		12 81
10/04/09 §§	05/27/10	5 000	45 39	92 35	f	-46 96
various	06/07/10	104 000	899 58	1,880 26	f	-980 68
		USD Subtotal	**2,072.46**	**3,122.06**		
TRANSDIGM GROUP INC COM DELAWARE / 893641100						
09/23/09	05/27/10	5 000	264 29	244 90	f	19 39
09/23/09	06/16/10	58 000	3,075 62	2,868 90	f	206 72
various	08/05/10	28 000	1,555 65	1,265 06	f	290 59
		USD Subtotal	**4,895.56**	**4,378.86**		
ULTIMATE SOFTWARE GROUP INC / 90385D107						
01/14/10	05/27/10	5 000	171 04	152 91	f	18 13
UNITED THERAPEUTICS CORP DEL / 91307C102						
12/10/09	06/29/10	21 000	1,030 03	971 90	f	58 13
URBAN OUTFITTERS INC / 917047102						
11/16/09	02/03/10	13 000	415 60	448 00	f	-32 40
various	06/16/10	50 000	1,859 96	1,678 88	f	181 08
		USD Subtotal	**2,275.56**	**2,126.88**		
VCA ANTECH INC / 918194101						
12/10/09	04/05/10	52 000	1,447 62	1,252 04	f	195 58
12/10/09	04/14/10	64 000	1,734 77	1,540 98	f	193 79

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MAVERICK LLOYD FOUNDATION
COPPER ROCK ACCOUNT
P O BOX 99
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Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
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VCA ANTECH INC / 918194101						
12/10/09	05/27/10	5 000	131 59	120 39	f	11 20
various	06/29/10	46 000	1,144 92	1,154 26	f	-9 34
various	07/08/10	38 000	981 06	1,026 85	f	-45 79
		USD Subtotal	**5,439.96**	**5,094.52**		
VARIAN SEMICONDUCTOR EQUIP ASSOC INC / 922207105						
12/10/09	01/27/10	18 000	548 36	603 72	f	-55 36
12/10/09	02/10/10	16.000	474 63	536 64	f	-62 01
		USD Subtotal	**1,022.99**	**1,140.36**		
WALTER ENERGY INC / 93317Q105						
02/26/10	06/16/10	19 000	1,398 55	1,474 62	f	-76 07
WARNACO GROUP INC COM NEW / 934390402						
11/03/09	05/05/10	24 000	1,084 99	1,022 25	f	62 74
various	08/05/10	26 000	1,131 65	1,099 06	f	32 59
		USD Subtotal	**2,216.64**	**2,121.31**		
WHOLE FOODS MKT INC / 966837106						
various	06/16/10	53 000	2,150 35	1,976 07	f	174 28
				Short-Term Realized Gain		20,310 20
				Short-Term Realized Loss		-12,212 92
				Short-Term Realized Disallowed Loss		440 14
				Total Short-Term Realized Gain/Loss		**8,537.42**

§§ - Adjusted due to previous wash sale disallowed loss

f - FIFO (First-in, First-out)

208,556 200,018

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

Important Tax Return Document Enclosed.

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MAVERICK LLOYD FOUNDATION
THORNBURG INTL ACCOUNT
P O BOX 99
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Detail Information — Account Fees

Description	Date	Amount
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MAN GROUP UNSP ADR EACH REPR 1 ORD	08/04/10	10 82
MITSUBISHI UFJ FINANCIAL GROUP INC ADR	07/12/10	0 57
NESTLE SA SPON ADR EACH REPR 1 COM	05/26/10	0.26
NEW ORIENTAL ED & TECHNOLOGY GP INC	09/15/10	0.42
NOVARTIS AG ADR-EACH REPR 1 CHF0.5(REGD)	04/13/10	0 24
VERBUND (OEST ELEKTRIZITAET AG) ADR EACH	05/07/10	2 98
PUBLICIS GROUPE SA ADR EACH REPR 0 5 ORD	07/26/10	1 44
RECKITT BENCKISER GROUP PLC UNSP ADR	06/14/10	5 70
RECKITT BENCKISER GROUP PLC UNSP ADR	10/15/10	6.18
ROCHE HOLDINGS AG SPN ADR EACH REP 0 25	04/20/10	1.67
SABMILLER ADR EACH REP 1 ORD USD0 10	08/23/10	1.50
SAP AG SPON ADR EACH REP 1 ORD NPV	06/16/10	2.12
SMITH & NEPHEW ADR EACH REPR 5 USD0 20	05/12/10	1 02
TELEFONICA SA ADR EACH REPR 3 ORD EUR1	05/20/10	1 04
TENCENT HLDGS LIMITED UNSP ADR EACH REP	06/11/10	0 83
TESCO ADR EACH REPR 3 ORD (JPM)	07/16/10	3 54
TOYOTA MOTOR CORP ADR-EACH REP 2 ORD NPV	07/07/10	0 16
TURKCELL ILETISIM HIZMET ADS EACH REPR	05/27/10	2 66
TURKIYE GARANTI BANKASI ADS EACH 1 REPR	04/29/10	3 05
	Total Account Fees	**1,704.27**

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)
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LOGITECH INTERNATIONAL SA CHF0 25(REGD) / H50430232					
09/23/09	05/27/10	14.000	199 91	269 50 f	-69 59
Wash Sale Disallowed Loss			0 00	-69 59	69 59
		USD Subtotal	**199.91**	**199.91**	
AMERICA MOVIL SAB DE CV ADR EACH REP 20 / 02364W105					
09/23/09	05/27/10	6 000	288 05	263 64 f	24 41
ARM HOLDINGS PLC ADS EACH REP 3 ORD / 042068106					
09/23/09	01/07/10	24 000	225 60	165 60 f	60 00
09/23/09	01/08/10	41 000	378 85	282 90 f	95 95
09/23/09	02/01/10	46 000	414 95	317 40 f	97 55
09/23/09	04/12/10	40 000	437 93	276.00 f	161 93

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MAVERICK LLOYD FOUNDATION
THORNBURG INTL ACCOUNT
P O BOX 99
SHARON VT 05065

Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes This detail information is not reported to the IRS It may assist you in tax return preparation						
ARM HOLDINGS PLC ADS EACH REP 3 ORD / 042068106						
09/23/09	05/27/10	26 000	275 33	179 40	f	95 93
09/23/09	06/10/10	10 000	123 01	69 00	f	54 01
various	07/13/10	29 000	404 82	195 51	f	209 31
10/05/09	07/14/10	27 000	392 47	179 01	f	213 46
10/05/09	08/02/10	32 000	497 83	212 16	f	285 67
		USD Subtotal	**3,150.79**	**1,876.98**		
BG GROUP ADR REP 5 ORD GBP0 10 / 055434203						
09/23/09	07/27/10	4 000	330 19	363 60	f	-33 41
BNP PARIBAS ADR EACH REPR 1/2 ORD LVL / 05565A202						
09/23/09	05/27/10	12 000	351 23	499 20	f	-147 97
Wash Sale Disallowed Loss			0 00	-147 97		147 97
		USD Subtotal	**351.23**	**351.23**		
BRITISH SKY BROADCASTING GROUP ADR-EACH / 111013108						
09/23/09	06/16/10	21 000	871 92	763 98	f	107 94
09/23/09	06/30/10	9 000	376 84	327 42	f	49 42
		USD Subtotal	**1,248.76**	**1,091.40**		
CNOOC LIMITED ADS EACH REP 100 ORD / 126132109						
10/05/09	05/27/10	2 000	317 83	269 40	f	48 43
CANADIAN NATIONAL RAILWAYS CO COM NPV / 136375102						
09/23/09	07/27/10	6 000	372 41	299 22	f	73 19
CANADIAN NATURAL RESOURCES LTD COM NPV / 136385101						
09/23/09	07/27/10	10 000	353 82	350 85	f	2 97
CARNIVAL CORP COM STK USD0 01(PAIRED / 143658300						
09/23/09	05/27/10	5 000	182 14	167 70	f	14 44
CHINA LIFE INSURANCE CO ADR EACH REP 15 / 16939P106						
09/23/09	07/27/10	5 000	335 10	345 05	f	-9 95
COCA-COLA HBC S A SPONS ADR EACH REPR 1 / 1912EP104						
09/23/09	03/26/10	16 000	435 27	374 76	f	60 51
09/23/09	03/29/10	8 000	217 32	187 38	f	29 94
		USD Subtotal	**652.59**	**562.14**		
DEUTSCHE BOERSE AG UNSP ADR EACH REPR / 251542106						
09/23/09	02/03/10	70 000	455 66	611 10	f	-155 44
Wash Sale Disallowed Loss			0 00	-84 38		84 38
various	02/04/10	306 000	2,006 60	2,701 37	f	-694 77
		USD Subtotal	**2,462.26**	**3,228.09**		

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MAVERICK LLOYD FOUNDATION
THORNBURG INTL ACCOUNT
P O BOX 99
SHARON VT 05065

Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This detail information is not reported to the IRS. It may assist you in tax return preparation					
EMBRAER-EMPRESA BRAS DE AERONAUTICA SPON / 29081M102					
09/23/09	05/27/10	14.000	312 05	351 26 f	-39.21
FANUC LTD UNSP ADR EACH REPR 0 16666 ORD / 307305102					
09/23/09	04/28/10	12 000	713 89	524 40 f	189 49
09/23/09	07/27/10	6.000	349 79	262 20 f	87 59
		USD Subtotal	**1,063.68**	**786.60**	
FLSMIDTH & CO A/S ADR EACH REPR 0 1 ORD / 343793105					
09/23/09	01/05/10	60 000	440 22	348 00 f	92 22
FRESENIUS MEDICAL CARE AG & CO KGAA ADR / 358029106					
09/23/09	05/27/10	4 000	197 83	199 68 f	-1 85
09/23/09	07/27/10	6.000	335 99	299 52 f	36 47
		USD Subtotal	**533.82**	**499.20**	
HANG LUNG PROPERTIES SPON ADR-EACH 1 CNV / 41043M104					
09/23/09	07/27/10	15 000	323 99	265 65 f	58 34
HENNES & MAURITZ SPON ADR EACH REPR 0 20 / 425883105					
09/23/09	05/27/10	32 000	357 11	384 96 f	-27 85
INTESA SANPAOLO S P.A ADR EACH REPR 6 / 46115H107					
09/23/09	03/25/10	18 000	401 75	498 24 f	-96 49
09/23/09	04/26/10	20 000	429 73	553 60 f	-123 87
09/23/09	04/27/10	15 000	307 91	415.20 f	-107 29
		USD Subtotal	**1,139.39**	**1,467.04**	
KINGFISHER ADR EACH REPR 2 ORD / 495724403					
09/23/09	07/27/10	45 000	306 44	317 25 f	-10 81
LVMH MOET-HENNESSY LOUIS VUITTON ADR / 502441306					
09/23/09	05/27/10	16 000	342.39	320 48 f	21 91
LAFARGE SPNS ADR-REPR 1/4 ORD SHS EUR4 0 / 505861401					
01/28/10	05/20/10	23 000	327 29	441 62 f	-114 33
MAN GROUP UNSP ADR EACH REPR 1 ORD / 56164U107					
03/18/10	05/27/10	55 000	186 99	201 60 f	-14 61
Wash Sale Disallowed Loss			0 00	-14 61	14 61
		USD Subtotal	**186.99**	**186.99**	
MITSUBISHI UFJ FINANCIAL GROUP INC ADR / 606822104					
09/23/09	05/27/10	46 000	227 69	272 32 f	-44 63
NATIONAL BANK OF GREECE ADR EACH REP 1/5 / 633643408					
09/23/09	01/29/10	32.000	143 51	222 08 f	-78 57
Wash Sale Disallowed Loss			0 00	-78 57	78 57
09/23/09	02/01/10	53 000	232 29	367 82 f	-135 53

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MAVERICK LLOYD FOUNDATION
THORNBURG INTL ACCOUNT
P O BOX 99
SHARON VT 05065

Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes This detail information is not reported to the IRS It may assist you in tax return preparation						
NATIONAL BANK OF GREECE ADR EACH REP 1/5 / 633643408						
Wash Sale Disallowed Loss			0 00	-40 91		40 91
09/23/09	02/11/10	46 000	183 39	319 24	f	-135 85
09/23/09	02/12/10	36 000	134 58	249 84	f	-115 26
09/23/09	04/06/10	119 000	457 47	838 23	f	-380 76
various	04/09/10	128 000	489 39	914 97	f	-425 58
various	04/12/10	165 000	638 08	1,051 41	f	-413 33
		USD Subtotal	**2,278.71**	**3,844.11**		
NESTLE SA SPON ADR EACH REPR 1 COM / 641069406						
09/23/09	01/19/10	10 000	478 23	430 50	f	47 73
NOKIA OYJ ADR EACH REPR 1 ORD NPV / 654902204						
various	04/22/10	120 000	1,535 83	1,843 54	f	-307 71
NOVO-NORDISK AS ADR-EACH CNV INTO 1 / 670100205						
09/23/09	03/17/10	7 000	548 22	451 15	f	97 07
09/23/09	05/27/10	4 000	308 95	257 80	f	51 15
09/23/09	06/30/10	1 000	81 30	64 45	f	16 85
09/23/09	07/27/10	4 000	341 59	257 80	f	83 79
		USD Subtotal	**1,280.06**	**1,031.20**		
POTASH CORP OF SASKATCHEWAN COM NPV ISIN / 73755L107						
09/23/09	05/27/10	4 000	396 47	375 28	f	21 19
SABMILLER ADR EACH REP 1 ORD USD0 10 / 78572M105						
09/23/09	01/20/10	26 000	734 54	638 30	f	96 24
SCHLUMBERGER LIMITED COM STK USD0 01 / 806857108						
09/23/09	04/30/10	6 000	430 31	365 10	f	65 21
TELEFONICA SA ADR EACH REPR 3 ORD EUR1 / 879382208						
09/23/09	05/27/10	6 000	347 33	502 68	f	-155 35
Wash Sale Disallowed Loss			0 00	-155 35		155 35
		USD Subtotal	**347.33**	**347.33**		
TESCO ADR EACH REPR 3 ORD (JPM) / 881575302						
10/21/09	07/27/10	17 000	313 98	334 03	f	-20 05
TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH / 881624209						
09/23/09	07/27/10	9 000	451 61	457 38	f	-5 77
TOYOTA MOTOR CORP ADR-EACH REP 2 ORD NPV / 892331307						
09/23/09	02/03/10	5 000	382 48	412 00	f	-29 52
Wash Sale Disallowed Loss			0 00	-29 52		29 52
		USD Subtotal	**382.48**	**382.48**		

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MAVERICK LLOYD FOUNDATION
THORNBURG INTL ACCOUNT
P O BOX 99
SHARON VT 05065

Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes *This detail information is not reported to the IRS It may assist you in tax return preparation*						
TURKCELL ILETISIM HIZMET ADS EACH REPR / 900111204						
09/23/09	03/16/10	16 000	246 55	277 60	f	-31 05
09/23/09	03/25/10	28 000	408 29	485 80	f	-77 51
09/23/09	06/29/10	15.000	197 46	260.25	f	-62 79
09/23/09	06/30/10	6.000	77 98	104 10	f	-26 12
09/23/09	07/01/10	14 000	178 13	242 90	f	-64.77
09/23/09	07/02/10	6 000	77 00	104 10	f	-27.10
09/23/09	07/06/10	15 000	197 11	260 25	f	-63 14
various	07/07/10	17.000	222 83	294.23	f	-71 40
10/05/09	07/08/10	18.000	239 14	309 06	f	-69 92
10/05/09	07/09/10	6 000	79 06	103 02	f	-23 96
10/05/09	07/12/10	13 000	170 70	223 21	f	-52 51
10/21/09	07/13/10	23 000	303 94	414 00	f	-110 06
		USD Subtotal	**2,398.19**	**3,078.52**		
TURKIYE GARANTI BANKASI ADS EACH 1 REPR / 900148701						
02/04/10	07/27/10	70 000	368 89	303 41	f	65 48
VERBUND (OEST ELEKTRIZITAET AG) ADR EACH / 92336Y107						
09/23/09	06/30/10	48 000	295.38	513 60	f	-218.22
09/23/09	07/01/10	15.000	92 22	160 50	f	-68.28
various	07/01/10	36 000	222 30	382 47	f	-160 17
various	07/07/10	50 000	323 77	493.28	f	-169 51
		USD Subtotal	**933.67**	**1,549.85**		
VESTAS WIND SYSTEMS ADR EACH REPR / 925458101						
09/23/09	07/27/10	20 000	333 99	491 00	f	-157 01
WAL-MART DE MEXICO SAB DE CV ADR EACH / 93114W107						
09/23/09	01/13/10	7.000	338 75	250 95	f	87 80
09/23/09	01/14/10	4.000	192 39	143 40	f	48 99
09/23/09	04/21/10	6 000	304 52	215 10	f	89 42
09/23/09	07/27/10	13 000	311 34	233.03	f	78 31
		USD Subtotal	**1,147.00**	**842.48**		
				Short-Term Realized Gain		3,020 31
				Short-Term Realized Loss		-5,348.87
				Short-Term Realized Disallowed Loss		620 90
				Total Short-Term Realized Gain/Loss		**-1,707.66**

f - FIFO (First-in, First-out)

29,617 31,325

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

Important Tax Return Document Enclosed.

Envelope 9066 311466 06

MAVERICK LLOYD FOUNDATION
MUTUAL FUND CREDIT PORT AC
P O BOX 99
SHARON VT 05065

Customer Service 415-364-2500

Additional Information

This detail information is not reported to the IRS It may assist you in tax return preparation

	Amount
Account Fees	1,307 28
Short-Term Realized Gain/Loss	908 91

Detail Information — Account Fees

This detail information is not reported to the IRS It may assist you in tax return preparation.

Description	Date	Amount
MGMT FEE Q1 2010	02/02/10	478 14
MGMT FEE JAN 10	02/18/10	34 93
MGMT FEE FEB 10	03/18/10	-18 00
MGMT FEE Q2 10	04/28/10	507 05
MGMT FEE Q3 2010	07/26/10	500 56
MGMT FEE JUL 10	08/25/10	-10 96
MGMT FEE AUG 10	09/23/10	-184 44
	Total Account Fees	**1,307.28**

Detail Information — Short-Term Realized Gain/Loss

Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes.

This detail information is not reported to the IRS It may assist you in tax return preparation

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)
LORD ABBETT CONVERTIBLE FD CL A / 543916753					
09/16/09	02/01/10	967 118	10,000 00	9,903 29 a	96 71
09/16/09	07/26/10	2,383 222	25,000 00	24,404 19 a	595 81
		USD Subtotal	**35,000.00**	**34,307.48**	
RIDGEWORTH FLOATING RATE INCOME FD I / 76628T678					
09/16/09	02/01/10	1,726 122	15,000 00	14,723 82 a	276 18
TCW TOTAL RETURN BOND CLASS I / 87234N880					
09/16/09	02/01/10	2,495 010	25,000 00	25,074 85 a	-74 85
09/16/09	05/27/10	376 611	3,800 00	3,784 94 a	15 06
		USD Subtotal	**28,800.00**	**28,859.79**	
				Short-Term Realized Gain	983 76
				Short-Term Realized Loss	-74 85
				Short-Term Realized Disallowed Loss	0 00
				Total Short-Term Realized Gain/Loss	**908.91**

78,800 77,891

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MAVERICK LLOYD FOUNDATION
METROPOLITAN WEST AC
P O BOX 99
SHARON VT 05065

Customer Service 415-364-2500

Additional Information

This detail information is not reported to the IRS It may assist you in tax return preparation

	Amount
Account Fees	1,704 06
Short-Term Realized Gain/Loss	1,254 13

Detail Information — Account Fees

This detail information is not reported to the IRS It may assist you in tax return preparation

Description	Date	Amount
MGMT FEE Q1 2010	02/02/10	589 15
MGMT FEE JAN 10	02/18/10	52 40
MGMT FEE Q2 10	04/28/10	688 18
MGMT FEE Q3 2010	07/26/10	629 51
MGMT FEE AUG 10	09/23/10	-266 86
BANCO SANTANDER SA ADR EACH 1 REPR 1 ORD	02/08/10	0 54
BANCO SANTANDER SA ADR EACH 1 REPR 1 ORD	05/10/10	0 54
BANCO SANTANDER SA ADR EACH 1 REPR 1 ORD	08/09/10	0 80
L OREAL ADR EACH REP 1/5 ORD EUR0 20	05/26/10	3 82
MITSUBISHI UFJ FINANCIAL GROUP INC ADR	07/12/10	0 68
VODAFONE GROUP SPON ADR REP 10 ORD	02/05/10	1 64
VODAFONE GROUP SPON ADR REP 10 ORD	08/06/10	3 66
	Total Account Fees	**1,704.06**

Detail Information — Short-Term Realized Gain/Loss

Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes
This detail information is not reported to the IRS It may assist you in tax return preparation

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
AFLAC INC / 001055102						
various	02/16/10	64 000	3,081 58	2,768 08	f	313 50
ADOBE SYS INC / 00724F101						
09/22/09	03/08/10	63 000	2,218 40	2,084 04	f	134 36
various	06/25/10	67 000	2,017 53	2,289 88	f	-272 35
		USD Subtotal	**4,235.93**	**4,373.92**		
AIR PRODUCTS & CHEM / 009158106						
various	06/29/10	59 000	3,870 19	4,652 82	f	-782 63

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National Financial Services LLC

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MAVERICK LLOYD FOUNDATION
METROPOLITAN WEST AC
P O BOX 99
SHARON VT 05065

Customer Service 415-364-2500

Detail Information — Short-Term Realized Gain/Loss

Description/CUSIP / Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss(-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes This detail information is not reported to the IRS It may assist you in tax return preparation						
APPLE INC / 037833100						
09/22/09	04/30/10	8 000	2,097 53	1,474 80	f	622 73
BANCO SANTANDER SA ADR EACH 1 REPR 1 ORD / 05964H105						
09/22/09	07/27/10	68 000	915 48	1,122 68	f	-207 20
DEERE & COMPANY / 244199105						
09/22/09	05/28/10	7 000	410 82	322 49	f	88 33
DOMINION RESOURCES INC VA NEW / 25746U109						
09/22/09	07/27/10	14 000	604 36	476 00	f	128 36
E M C CORP MASS / 268648102						
09/22/09	07/27/10	34 000	694.43	584.12	f	110 31
HERSHEY CO / 427866108						
09/22/09	05/28/10	22 000	1,038 16	863 50	f	174 66
HOME DEPOT INC / 437076102						
09/22/09	05/28/10	10 000	343 29	275 40	f	67 89
INTUIT INC / 461202103						
10/12/09	05/28/10	10 000	355 99	288 40	f	67 59
KELLOGG COMPANY / 487836108						
various	05/06/10	90 000	4,930 42	4,417 58	f	512 84
L OREAL ADR EACH REP 1/5 ORD EUR0 20 / 502117203						
various	08/18/10	191 000	3,835.55	3,835 19	f	0 36
M & T BANK CORP / 55261F104						
09/22/09	05/24/10	11 000	913 81	715 33	f	198 48
NORTHROP GRUMMAN CORP HOLDING CO / 666807102						
09/22/09	05/28/10	7 000	426 36	360 01	f	66 35
UNILEVER NV EUR0 16(NEW YORK SHARES) / 904784709						
09/22/09	07/27/10	17 000	512 46	481 61	f	30 85
VODAFONE GROUP SPON ADR REP 10 ORD / 92857W209						
09/22/09	07/27/10	18 000	421 44	421 74	f	-0 30
				Short-Term Realized Gain		2,568 20
				Short-Term Realized Loss		-1,314 07
				Short-Term Realized Disallowed Loss		0 00
				Total Short-Term Realized Gain/Loss		**1,254.13**

f - FIFO (First-in, First-out)

28,688 27,434

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

Important Tax Return Document Enclosed.

Maverick Lloyd Foundation 2010 Giving # 36-7093389 Form 990PF, Part XV
TOTAL: ~~$241,000~~ $ 191,000

Center for Investigative Reporting
For the *Toward Freedom* project, supporting the work of investigative journalists.
Grant Amount: $6,000

Clean Up Vermont
A joint project of Toxics Action Center, the Vermont Law School's Environmental and Natural Resources Law Clinic (ENRLC) and Vermont Public Interest Research Group (VPIRG) to address the polluting problem of junkyards. Grant monies were allocated to support work on: 1) creating a funding source for the ANR Salvage Yard program; 2) protecting ground and surface water by creating industry-specific operating standards for junkyards; and 3) supporting town officials and community members in seeking local enforcement of the standards of the current junkyards law.
Grant Amount: $9,000 to Toxics Action Center, ~~$4,000~~ $5,000 to VPIREF, $1000 to the Natural Resources Clinic.

Connecticut Network to Abolish the Death Penalty
General operating support to help CNADP repeal Connecticut's death penalty during the General Assembly's 2011 session.
Grant Amount: $25,000

Vermont Law School
Energy Action Now. To support a comprehensive analysis of Vermont's energy system and the identification of leverage points for change that will help Vermont transition to 80% renewable energy by 2030.
Grant Amount: $50,000

Michigan State University
Grant monies were provided to the MSU College of Law to produce a rigorous litigation-ready study of the North Carolina capital punishment system. The study is a prerequisite to the full implementation of the North Carolina Racial Justice Act of 2009.
Grant Amount: $25,000

National Lawyers Guild
Grant Amount: $5,000 for support of Mumia Abu-Jamal film project

Texas Defender Services
General support funding to allow TDS to maintain its staffing level and continue to provide core services aimed at reducing the application of the death penalty in Texas.
Grant Amount: $25,000

Vermont Intercultural Semesters
Grant Amount: $10,000 capacity building for VIS program of study in Ladahk, India

Vermont League of Conservation Voters
General operating support.
Grant Amount: $10,000

Vermont Public Interest Research Education Fund
General operations and Salvage Yard Project (See info under grant "Clean Up Vermont
Grant Amount: ~~$25,000~~ $20,000

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	TWP #643157 SEE ATTACHED	P		
b	TWP #643165 SEE ATTACHED	P		
c	TWP #643173 SEE ATTACHED	P		
d	TWP #643181 SEE ATTACHED	P		
e	TWP #643238 SEE ATTACHED	P		
f	JEFFRIES #001379 SEE ATTACHED	P		
g	JEFFRIES #001379 SEE ATTACHED	P		
h	JEFFRIES #001855 SEE ATTACHED	P		
i	JEFFRIES #001855 SEE ATTACHED	P		
j	JEFFRIES #001871 SEE ATTACHED	P		
k	JEFFRIES #001871 SEE ATTACHED	P		
l	JEFFRIES #001889 SEE ATTACHED	P		
m	JEFFRIES #001889 SEE ATTACHED	P		
n	CAPITAL GAINS DIVIDENDS			
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	98,884.		99,873.	-989.
b	208,556.		200,018.	8,538.
c	29,617.		31,325.	-1,708.
d	78,800.		77,891.	909.
e	28,688.		27,434.	1,254.
f	15,637.		14,559.	1,078.
g	37,127.		34,568.	2,559.
h	158,669.		153,561.	5,108.
i	96,315.		81,465.	14,850.
j	180,599.		164,174.	16,425.
k	33,319.		27,351.	5,968.
l	22,341.		21,029.	1,312.
m	71,017.		66,111.	4,906.
n	2,892.			2,892.
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				-989.
b				8,538.
c				-1,708.
d				909.
e				1,254.
f				1,078.
g				2,559.
h				5,108.
i				14,850.
j				16,425.
k				5,968.
l				1,312.
m				4,906.
n				2,892.
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	63,102.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
TAX EXEMPT INTEREST	12.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	12.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JEFFRIES & COMPANY INC	34,820.	2,892.	31,928.
THOMAS WEISEL PARTNERS	33,614.	0.	33,614.
TOTAL TO FM 990-PF, PART I, LN 4	68,434.	2,892.	65,542.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	5,921.	0.		5,921.
TO FORM 990-PF, PG 1, LN 16B	5,921.	0.		5,921.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING	15,169.	0.		15,169.
TO FORM 990-PF, PG 1, LN 16C	15,169.	0.		15,169.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	613.	613.		0.
FEDERAL INCOME TAXES	308.	0.		308.
PAYROLL TAXES	5,455.	0.		5,455.
TO FORM 990-PF, PG 1, LN 18	6,376.	613.		5,763.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	12,353.	12,353.		0.
MEMBERSHIP	791.	0.		791.
OFFICE EXPENSE	202.	0.		202.
BANK CHARGES	45.	0.		45.
TELEPHONE	464.	0.		464.
MEALS AND ENTERTAINMENT	202.	0.		202.
MILEAGE	2,404.	0.		2,404.
FILING FEES	115.	0.		115.
INSURANCE	845.	0.		845.
TO FORM 990-PF, PG 1, LN 23	17,421.	12,353.		5,068.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	4,217,671.	4,716,124.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,217,671.	4,716,124.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NANA LTD-MUMIA	COST	83,207.	83,207.
TOTAL TO FORM 990-PF, PART II, LINE 13		83,207.	83,207.