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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation****2010**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation RICE FOUNDATION		A Employer identification number 36-6043160
Number and street (or P O box number if mail is not delivered to street address) 8600 GROSS POINT ROAD		B Telephone number (see page 10 of the instructions) 847-581-9999
City or town, state, and ZIP code SKOKIE ILLINOIS 60077-2151		C If exemption application is pending, check here ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 81,591,911		2. Foreign organizations meeting the 85% test, check here and attach computation ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	10,648	10,648		
	4 Dividends and interest from securities	2,227,181	2,227,181		
	5 a Gross rents	205,414	205,414		
	b Net rental income or (loss)	161,145			
	6 a Net gain or (loss) from sale of assets not on line 10	722,022			
	b Gross sales price for all assets on line 6a	0			
	7 Capital gain net income (from Part IV, line 2)		722,022		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10 a Gross sales less returns and allowances	0			
b Less Cost of goods sold	0				
c Gross profit or (loss) (attach schedule)	0				
11 Other income (attach schedule)	856,510	856,510			
12 Total. Add lines 1 through 11	4,021,775	4,021,775	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	260,520	83,954		176,566
	14 Other employee salaries and wages	114,720	16,002		98,718
	15 Pension plans, employee benefits	248,064	111,318		136,745
	16 a Legal fees (attach schedule)	710	249	0	462
	b Accounting fees (attach schedule)	79,999	61,411	0	18,588
	c Other professional fees (attach schedule)	278,479	276,824	0	1,655
	17 Interest	0	0		0
	18 Taxes (attach schedule) (see page 14 of the instructions)	81,941	45,817	0	0
	19 Depreciation (attach schedule) and depletion	77,569	30,065	0	
	20 Occupancy	71,459	17,865		53,594
	21 Travel, conferences, and meetings	2,942	736		2,207
	22 Printing and publications	0	0		0
	23 Other expenses (attach schedule)	32,777	10,676	0	22,101
	24 Total operating and administrative expenses. Add lines 13 through 23	1,249,180	654,917	0	510,636
	25 Contributions, gifts, grants paid	3,349,300			3,349,300
26 Total expenses and disbursements. Add lines 24 and 25	4,598,480	654,917	0	3,859,936	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-576,705				
b Net investment income (if negative, enter -0-)		3,366,858			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing		13,789	51,992	51,992
	2	Savings and temporary cash investments		3,662,487	868,278	868,278
	3	Accounts receivable	0			
		Less allowance for doubtful accounts	0	0	0	0
	4	Pledges receivable	0			
		Less allowance for doubtful accounts	0	0	0	0
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)		0	0	0
	7	Other notes and loans receivable (attach schedule)	0			
		Less allowance for doubtful accounts	0	0	0	0
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments—U S and state government obligations (attach schedule)		2,998,750	2,998,750	4,061,941
	b	Investments—corporate stock (attach schedule)		58,119,112	58,890,907	61,488,138
	c	Investments—corporate bonds (attach schedule)		0	0	0
	Liabilities	11	Investments—land, buildings, and equipment basis	0		
		Less accumulated depreciation (attach schedule)	0	7,120,000	7,120,000	8,586,901
12		Investments—mortgage loans				
13		Investments—other (attach schedule)		5,332,541	5,332,541	1,063,308
14		Land, buildings, and equipment basis	2,173,086			
		Less accumulated depreciation (attach schedule)	1,074,331	1,170,506	1,098,755	1,098,755
15		Other assets (describe SCHEDULE ATTACHED)		2,550,653	4,029,910	4,372,598
16		Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		80,967,838	80,391,133	81,591,911
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons		0	0	
	21	Mortgages and other notes payable (attach schedule)		0	0	
	22	Other liabilities (describe SECURITY DEPOSITS)		1,800	1,800	
	23	Total liabilities (add lines 17 through 22)		1,800	1,800	
	24	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	☐			
	25	Unrestricted				
Net Assets or Fund Balances	26	Temporarily restricted				
	27	Permanently restricted				
	28	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	☒			
	29	Capital stock, trust principal, or current funds		80,966,038	80,389,333	
	30	Paid-in or capital surplus, or land, bldg, and equipment fund				
	31	Retained earnings, accumulated income, endowment, or other funds				
	32	Total net assets or fund balances (see page 17 of the instructions)		80,966,038	80,389,333	
33	Total liabilities and net assets/fund balances (see page 17 of the instructions)		80,967,838	80,391,133		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	80,966,038
2	Enter amount from Part I, line 27a	2	-576,705
3	Other increases not included in line 2 (itemize)	3	0
4	Add lines 1, 2, and 3	4	80,389,333
5	Decreases not included in line 2 (itemize)	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	80,389,333

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHEDULES ATTACHED				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	0	0	0	
b	0	0	0	
c	0	0	0	
d	0	0	0	
e	0	0	0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a	0	0	0	
b	0	0	0	
c	0	0	0	
d	0	0	0	
e	0	0	0	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	0
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8			3	722,022

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	3,666,247	68,254,167	0.053715
2008	3,344,010	78,300,605	0.042707
2007	4,453,067	86,744,048	0.051336
2006	4,291,972	85,840,524	0.049999
2005	5,170,126	84,657,228	0.061071
2 Total of line 1, column (d)			2 0.258828
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.051766
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4 77,237,029
5 Multiply line 4 by line 3			5 3,998,252
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 33,669
7 Add lines 5 and 6			7 4,031,921
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			8 3,859,936

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments

a 2010 estimated tax payments and 2009 overpayment credited to 2010

b Exempt foreign organizations—tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2011 estimated tax 15,000 Refunded

Part VII-A Statements Regarding Activities

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

(1) On the foundation \$ 0 (2) On foundation managers \$ 0

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ ILLINOIS

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)?

If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address				
14	The books are in care of THE RICE FOUNDATION	Telephone no	847-581-9999	
	Located at 8600 GROSS POINT ROAD SKOKIE IL	ZIP+4	60077-2151	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No	
If "Yes," list the years 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)		N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?

5b

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SCHEDULE ATTACHED				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SCHEDULE ATTACHED				

Total number of other employees paid over \$50,000

NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SCHEDULES ATTACHED		

Total number of others receiving over \$50,000 for professional services ▶ NONE**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NO DIRECT CHARITABLE ACTIVITY ACTIVITIES WERE LIMITED TO MAKING GRANTS	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NO PROGRAM RELATED ACTIVITIES	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3 ▶	0 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	61,079,462
b	Average of monthly cash balances	1b	3,744,527
c	Fair market value of all other assets (see page 25 of the instructions)	1c	13,589,238
d	Total (add lines 1a, b, and c)	1d	78,413,227
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	78,413,227
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	1,176,198
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	77,237,029
6	Minimum investment return. Enter 5% of line 5	6	3,861,851

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	3,861,851
2a	Tax on investment income for 2010 from Part VI, line 5	2a	67,337	
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	642	
c	Add lines 2a and 2b	2c		67,979
3	Distributable amount before adjustments. Subtract line 2c from line 1	3		3,793,872
4	Recoveries of amounts treated as qualifying distributions	4		
5	Add lines 3 and 4	5		3,793,872
6	Deduction from distributable amount (see page 25 of the instructions)	6		
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7		3,793,872

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	3,859,936
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,859,936
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,859,936

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				3,793,872
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2010				
a From 2005	341,029			
b From 2006	68,683			
c From 2007	263,682			
d From 2008	0			
e From 2009	311,341			
f Total of lines 3a through e	984,735			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 3,859,936				
a Applied to 2009, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2010 distributable amount				3,793,872
e Remaining amount distributed out of corpus	66,064			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,050,799			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	341,029			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	709,770			
10 Analysis of line 9				
a Excess from 2006	68,683			
b Excess from 2007	263,682			
c Excess from 2008	0			
d Excess from 2009	311,341			
e Excess from 2010	66,064			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SCHEDULE ATTACHED	<u>N/A</u>	PUBLIC	GENERAL CHARITABLE PURPOSES	3,349,300
Total			3a	3,349,300
b <i>Approved for future payment</i> SCHEDULE ATTACHED	<u>N/A</u>	PUBLIC	GENERAL CHARITABLE PURPOSES	4,950,000
Total			3b	4,950,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.					
	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	2,237,829	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property	110000	5,283	16	155,862	
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			22	722,022	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a OIL & GAS ROYALTIES		0	15	354,303	0
b LITIGATION PROCEEDS		0	01	3,070	0
c ORD INCOME FROM SECURITIES		0	14	62,593	0
d ORD INCOME FROM PARTNERSHIPS		0	14	436,544	0
e		0		0	0
12 Subtotal Add columns (b), (d), and (e)		5,283		3,972,223	0
13 Total. Add line 12, columns (b), (d), and (e)				13	3,977,506

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

	Yes	No
		
1a(1)		X
1a(2)		X
		
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

a Transfers from the reporting foundation to a noncharitable exempt organization of

(1) Cash

(2) Other assets

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets

(4) Reimbursement arrangements

(5) Loans or loan guarantees

(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

PTIN

PTIN

BURTON WEITZMAN

Ernest

7/1/2011

self-employed

P00356992

Firm's name ▶ BURTON L WEITZMAN CPA

Firm's EIN ▶

Firm's address ► 100 W MONROE STE 900, CHICAGO, IL 60603

Phone no	(312) 782-6561
----------	----------------

RICE FOUNDATION
FORM 990-PF 2010
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RICE FOUNDATION

36-6043160

Part XVI-A, Line 13 (990-PF) - Total Verification

1	Amount from Part XVI-A, Line 13	1	3,977,506
2	Amount from Part I, Line 5b	2	161,145
3	Subtract Line 2 from Line 1	3	3,816,361
4	Amount from Part I, Line 1	4	0
5	Add Lines 3 and 4	5	3,816,361
6	Amount from Part I, Line 5a	6	205,414
7	Add Lines 5 and 6	7	4,021,775
8	Expenses of special events deducted in computing Part XVI-A, Line 9	8	
9	Add Lines 7 and 8 Must match amount on Line 12, Part I, column (a)	9	4,021,775

RICE FOUNDATION
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2010

PART I AND PART II SCHEDULES INCLUDED WITH OTHER PARTS OF FORM 990-PF

PART I

LINE 6	NET GAIN OR LOSS FROM SALE OF ASSETS NOT ON LINE 10	
	SEE SCHEDULE FOR PART IV	
LINE 13	COMPENSATION OF OFFICERS, DIRECTORS, TRUSTEES, ETC.	
	SEE SCHEDULE FOR PART VIII-LINE 1	260,520
LINE 14	OTHER EMPLOYEE SALARIES AND WAGES	
	SEE SCHEDULE FOR PART VIII-LINE 2	114,720
LINE 15	PENSION PLANS, EMPLOYEE BENEFITS	
	PER SCHEDULE FOR PART VIII-LINE 1	158,171
	PER SCHEDULE FOR PART VIII-LINE 2	89,892
	PER PART I-LINE 15	<u>248,064</u> =====
LINE 25	CONTRIBUTIONS, GIFTS, GRANTS PAID	
	SEE SCHEDULE FOR PART XV-LINE 3A	3,349,300

PART II

LINE 14	LAND, BUILDINGS AND EQUIPMENT	
	SEE SCHEDULE FOR PART I-- LINE 19	1,098,755

RICE FOUNDATION
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2010
PART I

LINE 11

OTHER INCOME

OIL AND GAS GROSS ROYALTIES	354,303
LITIGATION PROCEEDS	3,070
ORDINARY INCOME FROM SECURITIES	62,593
ORDINARY INCOME FROM PARTNERSHIPS	436,544
TOTAL TO TO LINE 11	<u>856,510</u>

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PART I--LINE 16 (A)-- LEGAL FEES

2010

LINE 16 (a)

PART I

SPERANZA & BATES

REVIEW OF LEGAL DOCUMENTS AND GENERAL LEGAL SERVICES

400

DOMMERMUTH, BRESTAL, COBINE AND WEST LTD

REVIEW OF ENGINEERING CORRESPONDENCE, LEGAL RESEARCH
AND OTHER LEGAL SERVICES

310

TOTAL

710

RICE FOUNDATION
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PART I--LINE 16 (B) --ACCOUNTING FEES

2009

LINE 16 (b)

PART I

JAY WELLER ZITE D/B/A
AC-U TAX
9253 N. LINDER
SKOKIE, IL

MONTHLY BOOKKEEPING AND ACCOUNTING, RECONCILIATION
OF BANK BALANCES, PREPARATION OF QUARTERLY AND
ANNUAL PAYROLL TAX RETURNS AND INFORMATION RETURNS

8,192

BURTON L. WEITZMAN, CERTIFIED PUBLIC ACCOUNTANT
100 W. MONRE ST. STE. 900
CHICAGO, IL.

MONTHLY ACCOUNTING, PREPARATION OF MONTHLY DETAILED
FINANCIAL STATEMENTS, MONTHLY DETAILED COMPARATIVE
INVESTMENT ANALYSES, FORM 990-PF, ANNUAL RETURN OF
A PRIVATE FOUNDATION AND FORM 990-IL, ILLINOIS
CHARITABLE ORGANIZATION ANNUAL REPORT

60,827

WAGNER, SIM & CO., CERTIFIED PUBLIC ACCOUNTANTS
ONE S. SUMMIT AVE., COURT E
OAKBROOK TERRACE, IL

ANNUAL CERTIFIED AUDIT

10,980

TOTAL TO LINE 16(b)

79,999

RICE FOUNDATION
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PART I--LINE 16 (C) --OTHER PROFESSIONAL FEES

SUMMARY

PAGE 1

2010

MANAGEMENT FEES-- PAGE 2

251,344

CONSULTING FEES--PAGE 3

27,135

TOTAL OTHER PROFESSIONAL FEES

278,479

RICE FOUNDATION
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2010

PART I--LINE 16 (C) -- OTHER PROFESSIONAL FEES--- MANAGEMENT FEES

PAGE 2

	MGT FEES	CUSTODY FEES	TRANSFER & PLACEMENT FEES	TOTALS
MET WEST LARGE VALUE	24,930		(134)	24,797
WELLS	14,356		20	14,376
FAYEZ	24,580		6	24,586
MDT	2,438			2,438
ADVISOR ACCOUNT	174,638			174,638
HEDGED FUNDS			10,000	10,000
CHASE BANK		629		629
AGENCY ACCOUNT			(119)	(119)
TOTALS	240,941	629	9,774	251,344

=====

RICE FOUNDATION
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PART I--LINE 16 (C)-- OTHER PROFESSIONAL FEES

PAGE 3

CONSULTING FEES
2010

OIL & GAS CONSULTANT-- PAUL J PFOHL	20,000
OIL & GAS CONSULTANTS--MINERACE RESOURCES, INC.	1,425
PENSION CONSULTANT-- EMJAY CORP	2,400
SOFTWARE CONSULTANT -- PARAGON CONSULTING	1,765
UNITED ALARMS--ALARM SYSTEMS	144
ATG TRUST CO	195
DENTAL REIMBURSEMENT ADMINISTRATION -- THOMAS J. STRIDDE & ASSOC	1,140
ALERT PROTECTIVE SERVICE	66
TOTAL	<u>27,135</u>

RICE FOUNDATION
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PART I--LINE 19 AND PART II--LINE 14

PAGE 1

DEPRECIATION SCHEDULE

FOR THE YEAR ENDED DECEMBER 31, 2010

<u>DEPRECIATION--ALL STRAIGHT LINE</u>			PRIOR	LIFE	2010	ACCUMULATED
	<u>ACQUIRED</u>	<u>COST</u>	<u>DEPRECIATION</u>	<u>IN YEARS</u>	<u>DEPRECIATION</u>	<u>DEPRECIATION</u>
						<u>12/31/10</u>
RICE BUILDING	1/1/94	1,248,080.00	666,967.54	31.5	39,621.59	706,589.13
RICE BLDG IMPROVEMENTS	1998	42,211.47	15,410.14	31.5	1,340.05	16,750.19
ALARM SYSTEM	2010	2,716.00	0.00	10	135.80	145.80
TOTALS--RICE BUILDING		<u>1,293,007.47</u>	<u>682,377.68</u>	-	<u>41,097.44</u>	<u>723,485.12</u>
FURNITURE & FIXTURES	2001	976.10	976.10	5	-	976.10
HIGH BACK CHAIR	2003	369.95	369.95	5	-	369.95
4 LATERAL FILING CABINETS	2003	680.00	680.00	5	-	680.00
LATERAL FILES	2003	1,587.96	1,587.96	5	-	1,587.96
COLOR WATERPROOF CAMERA	2005	3,793.00	3,413.70	5	379.30	3,793.00
PATH LIGHTING	2005	2,398.00	2,158.20	5	239.80	2,398.00
OFFICE CHAIR	2005	559.90	503.91	5	55.99	559.90
EXHAUST FAN	2005	1,230.00	1,107.00	5	123.00	1,230.00
COLOR WATERPROOF CAMERA	2005	555.00	499.50	5	55.50	555.00
CARPETING	2007	12,993.00	4,331.00	7.5	1,732.40	6,063.40
CANON COPIER	2207	4,800.00	2,400.00	5	960.00	3,360.00
32" SONY LCD TV	2008	1,449.13	434.74	5	289.83	724.57
UNICO AIR HANDLER	2009	3,633.14	363.31	5	726.63	1,089.94
SECURITY CAMERAS	2009	1,733.95	173.40	5	346.79	520.19
HIGH BACK CHAIR	2010	699.99		5	70.00	70.00
HIGH BACK MESH CHAIR		(369.95)				(369.95)
TOTALS-FURNITURE		<u>37,089.17</u>	<u>18,998.77</u>		<u>4,979.24</u>	<u>23,608.06</u>
OFFICE EQUIPMENT	2001	656.00	656.00	5	-	656.00
COMPUTER EQUIPMENT	2002	365.00	365.00	5	-	365.00
OFFICE EQUIPMENT	2002	23,242.00	23,242.00	5	-	23,242.00
OFFICE EQUIPMENT	2003	553.85	553.85	5	-	553.85
PHONE SYSTEM	2003	2,555.10	2,555.10	5	-	2,555.10
FELLOWS SHREDDER	2003	1,909.94	1,909.94	5	-	1,909.94
EQUIS DESKTOP	2004	1,720.00	1,720.00	5		1,720.00
NOBILIS PRO DESKTOP	2004	6,539.99	6,539.99	5		6,539.99
2 PC S	2005	1,800.00	1,620.00	5	180.00	1,800.00
OFFICE EQUIPMENT	2005	811.80	730.62	5	81.18	811.80
2HP LASERJET 2420	2005	1,333.02	1,199.70	5	133.32	1,333.02
LAPTOP COMPUTER	2006	2,171.23	1,519.87	5	434.25	1,954.12
COMPUTER ACCESSORIES	2007	776.58	388.29	5	155.32	543.61
NEW COMPUTER-PETERS	2009	1,265.31	126.53	5	253.06	379.59
NEW DVR FOR CAMERAS	2009	949.95	95.00	5	190.00	285.00
OFFICE EQUIPMENT		(656.00)				(656.00)
COMPUTER EQUIPMENT		(365.00)				(365.00)
OFFICE EQUIPMENT		(22,242.00)				(22,242.00)
OFFICE EQUIPMENT		(553.85)				(553.85)
TOTAL-COMPUTERS & EQUIP.		<u>22,832.92</u>	<u>43,221.89</u>		<u>1,427.13</u>	<u>20,832.17</u>

RICE FOUNDATION
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PART I--LINE 19 AND PART II--LINE 14
DEPRECIATION SCHEDULE
FOR THE YEAR ENDED DECEMBER 31, 2010

PAGE 2

<u>DEPRECIATION--ALL STRAIGHT LINE</u>			PRIOR	LIFE	2010	ACCUMULATED DEPRECIATION
	<u>ACQUIRED</u>	<u>COST</u>	<u>DEPRECIATION</u>	<u>IN YEARS</u>	<u>DEPRECIATION</u>	<u>12/31/10</u>
8236 FAIRVIEW -BLDG	1997	217,260.00	86,214.90	31.5	6,897.14	93,112.04
8236 FAIRVIEW BLDG	1998	6,435.00	2,348.72	31.5	204.29	2,553.01
8236 FAIRVIEW -BLDG IMPROV	2000	11,295.00	4,107.38	27.5	410.73	4,518.11
8236 FAIRVIEW BLDG IMPR	2002	1,248.00	340.28	27.5	45.38	385.66
BOILER	2005	4,840.00	2,904.00	7.5	645.33	3,549.33
BOILER	2006	2,500.00	1,166.66	7.5	333.33	1,499.99
TOTAL 8236 FAIRVIEW		<u>243,578.00</u>	<u>97,081.94</u>		<u>8,536.20</u>	<u>105,618.14</u>
8446 FAIRVIEW BUILDING	1999	173,590.00	66,279.16	27.5	6,312.36	72,591.52
8446 FAIRVIEW BUILDING	2001	690.00	213.54	27.5	25.09	238.63
8446 FAIRVIEW NEW ROOF	2003	3,526.51	833.56	27.5	128.24	961.80
8446 FAIRVIEW CARPETING	2003	988.94	857.09	7.5	131.86	988.95
8446 FAIRVIEW WATER HEATER	2008	859.17	171.84	7.5	114.56	286.40
8447 FAIRVIEW SEPTIC TANK	2009	3,500.00	233.33	7.5	466.67	700.00
TOTAL 8446 FAIRVIEW		<u>183,154.62</u>	<u>68,588.52</u>		<u>7,178.78</u>	<u>75,767.30</u>
8468 FAIRVIEW -BLDG	1991	90,000.00	52,857.31	33	2,727.27	55,584.58
8468 FAIRVIEW -NEW ROOF	2003	3,874.85	915.86	27.5	140.90	1,056.76
8468-PUMP CHECK VALVE	2004	1,344.55	268.9	27.5	48.89	317.79
8468-PRESSURE TANK & PIPING	2010	2,400.00		27.5	43.64	43.64
TOTAL 8468 FAIRVIEW		<u>97,619.40</u>	<u>54,042.07</u>		<u>2,960.70</u>	<u>57,002.77</u>
8468 FAIRVIEW BLDG APPL	1998	3,495.45	3,495.45	7.5		3,495.45
8468 FAIRVIEW APPLIANCES	1999	3,100.00	3,100.00	7.5		3,100.00
8468 FAIRVIEW APPLIANCES	2002	2,376.00	2376.00	7.5		2,376.00
CARPET AND VINYL FLOORING	2007	3,861.33	1,287.10	7.5	514.84	1,801.94
TOTAL 8468 APPLIANCES		<u>12,832.78</u>	<u>10,258.55</u>		<u>514.84</u>	<u>10,773.39</u>
8446 FAIRVIEW APPLIANCES	2001	525.17	525.17	7.5		525.17
8446 FAIRVIEW APPLIANCES	2002	371.00	371.00	7.5		371.00
CARPET AND VINYL	2006	3,299.50	1979.70	7.5	439.93	2,419.63
8446 FAIRVIEW APPLIANCES	2008	468.59	93.72	7.5	62.48	156.20
CARPET AND VINYL	2008	2,593.41	518.68	7.5	345.79	864.47
TOTAL 8446 APPLIANCES		<u>7,257.67</u>	<u>3,488.27</u>		<u>848.20</u>	<u>4,336.47</u>

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PART I--LINE 19 A D PART II--LINE 14
DEPRECIATION SCHEDULE
FOR THE YEAR ENDED DECEMBER 31, 2010

PAGE 3

<u>DEPRECIATION--ALL STRAIGHT LINE</u>			PRIOR	LIFE	2010	ACCUMULATED
	<u>ACQUIRED</u>	<u>COST</u>	<u>DEPRECIATION</u>	<u>IN YEARS</u>	<u>DEPRECIATION</u>	<u>DEPRECIATION</u>
						<u>12/31/10</u>
WOODFORD FARM-- BUILDING	2005	275,714.38	42,892.20	27 5	10,025.98	52,918.18

RECAP

<u>DEPRECIATION--ALL STRAIGHT LINE</u>			PRIOR	LIFE	2008	ACCUMULATED
	<u>ACQUIRED</u>	<u>COST</u>	<u>DEPRECIATION</u>	<u>IN YEARS</u>	<u>DEPRECIATION</u>	<u>DEPRECIATION</u>
						<u>12/31/08</u>
FURNITURE & FIXTURES		37,089.17	18,998.77		4,979.24	23,608.06
COMPUTER & OFFICE EQUIPMENT		22,832.92	43,221.89		1,427.13	20,832.17
8236 FAIRVIEW BLDG		243,578.00	97,081.94		8,536.20	105,618.14
8446 FAIRVIEW BLDG		183,154.62	68,588.52		7,178.78	75,767.30
8468 FAIRVIEW APPLIANCES		97,619.40	54,042.07		2,960.70	57,002.77
8446 FAIRVIEW APPLIANCES		12,832.78	10,258.55		514.84	10,773.39
8446 APPLIANCES		7,257.67	3,488.27		848.20	4,336.47
RICE BUILDING & IMPROVEMENTS		1,293,007.47	682,377.68		41,097.44	723,485.12
WOODFORD FARM-- BUILDING		275,714.38	42,892.20		10,025.98	52,918.18
TOTAL		2,173,086.41	1,020,949.89		77,568.51	1,074,341.60

COST -- AS ABOVE	2,173,086.41
LESS: PRIOR DEPRECIATION-- ABOVE	1,020,949.89
DISPOSAL ADJUSTMENT	(24,186.80)
CURRENT YEAR DEPRECIATION	77,568.51
ACCUMULATED DEPRECIATION	1,074,331.60
NET BOOK VALUE	1,098,754.81

RICE FOUNDATION
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2010
PART I
LINE 23--OTHER EXPENSES

GENERAL INSURANCE	15,952
OFFICE EXPENSE & POSTAGE	11,495
DUES AND SUBSCRIPTIONS	5,330
TOTAL	32,777
	=====

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE 1

SUMMARY OF MARKET VALUES OF INVESTMENTS

AS OF DECEMBER 31, 2010

	SCHED	COSTS	MARKET VALUES DEC. 31, 2010
	#		
AGENCY ACCOUNT	A	1,077,357	1,055,048
CHASE BANK	B	2,998,750	4,061,941
MET WEST LARGE VALUE (FORMERLY NFJ)	C	2,829,457	3,179,651
WELLS	D	1,544,823	1,973,377
FAYEZ	E	2,629,550	3,174,610
MDT	F	-	-
ADVISOR ACCOUNT	G	46,209,720	47,683,569
HEDGED FUNDS	H	4,600,000	4,421,884
TOTALS TO SCHEDULES 2 & 3		61,889,657	65,550,079

PART II PAGE 2--LINE 2 SAVINGS & TEMPORARY

CASH INVESTMENTS

	COST	MARKET VALUE
SAVINGS ACCOUNT	108,810	108,810
MONEY MARKET FUNDS	759,469	759,469
TOTALS	868,279	868,279

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE 2

ANALYSIS OF COSTS PER SCHEDULE 1
AND OTHER INVESTMENTS

DECEMBER 31, 2010

COSTS

	SCHED			
	#	GOV'T	CORP. STOCK	TOTAL
AGENCY ACCOUNT	A		1,077,357	1,077,357
CHASE BANK	B	2,998,750	-	2,998,750
MET WEST LARGE VALUE (FORMERLY NFJ)	C		2,829,457	2,829,457
WELLS	D		1,544,823	1,544,823
FAYEZ	E		2,629,550	2,629,550
MDT	F		-	0
ADVISOR ACCOUNT	G		46,209,720	46,209,720
HEDGED FUNDS	H		4,600,000	4,600,000
TOTALS PER SCHEDULE 1 CARRIED TO				
PART II PAGE 2 --LINES 10 (A), (B) AND (C)	1	2,998,750	58,890,907	61,889,657
		=====	=====	=====

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE 3

ANALYSIS OF MARKET VALUES PER SCHEDULE 1

DECEMBER 31, 2010

MARKET VALUES

	SCHED			
	#	GOV'T	CORP. STOCK	TOTAL
AGENCY ACCOUNT	A		1,055,048	1,055,048
CHASE BANK	B	4,061,941		4,061,941
MET WEST LARGE VALUE (FORMERLY NJF)	C		3,179,651	3,179,651
WELLS	D		1,973,377	1,973,377
FAYEZ	E		3,174,610	3,174,610
MDT	F		-	0
ADVISOR ACCOUNT	G		47,683,569	47,683,569
HEDGED FUNDS	H		4,421,884	4,421,884
TOTALS PER SCHEDULE 1 CARRIED TO				
PART II PAGE 2 --LINES 10 (A), (B) AND (C)		4,061,941	61,488,138	65,550,079
		=====	=====	=====

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE A

RICE FOUNDATION
SCHEDULE OF MARKET VALUE OF INVESTMENTS
AGENCY ACCOUNT
AS OF DECEMBER 31, 2010

	<u>COST</u>	<u>MARKET VALUE</u> DEC 31, 2010
9 QUENTRA NETWORKS	62	
12 AIG CONV,	300,000	300,000
3 EATON VANCE LTD INC FD	75,000	75,000
1 PIONEER FL RATE TR SERV	25,005	25,000
16,000 CABCO SER 2004-101 FL RT	380,365	299,840
4,722 BANK OF AMERICA	96,925	62,991
1,000 RESTRICTED CELTIC DEBENTURES	100,000	149,095
117,821 MANHATTAN REDEEM. NOTES	75,000	118,122
1 EATON VANCE FL RT INC TR PFD	25,000	25,000
TOTALS	<u>1,077,357</u> =====	<u>1,055,048</u> =====

RICE FOUNDATION
FORM 990-PF 2010
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE B

RICE FOUNDATION
SCHEDULE OF COST AND MARKET VALUE OF INVESTMENTS
CHASE BANK
DECEMBER 31, 2010

		COST	MARKET VALUE DEC. 31, 2010
U S. TREASURY NOTES AND BONDS			
3,000M DUE 2-15-16	9.250%	2,998,750	4,061,941

Noted C-Inst W&T

MorganStanley
SmithBarney

Reserved
Client Statement

December 1 - December 31, 2010

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Ref: 00018005 00101608

Account number 383-1595C-14 JDI

RICE FOUNDATION

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield (annualized)
3,284	WLATHI RFOHD INTERNATIONAL LTD CHH	WFT	03/18/10	\$ 55,954.76	\$ 17.038	\$ 22.80	\$ 74,875.20	\$ 18,320.44 ST	
353	ABBOTT LABORATORIES	ABT	05/14/10	17,061.73	48.333	47.91	16,912.23	(149.50) ST	
1,101			05/17/10	53,077.45	48.208	47.91	52,748.91	(328.54) ST	
1,454				70,139.18	48.239		69,661.14	(478.04)	3.673
259	APPL INC	AAPL	03/18/10	57,608.74	273.289	322.56	83,220.48	25,611.74 ST	
4,041	RANCO SANTANDER S A	STD	03/18/10	56,502.67	13.988	10.65	41,079.25	(15,423.42) ST	
768			05/24/10	8,008.93	10.428	10.65	8,179.20	170.27 ST	
2,023			05/25/10	20,241.03	10.01	10.65	21,534.30	1,293.27 ST	
87			10/14/10	1,014.42	11.66	10.65	926.55	(87.87) ST	6.046
6,922				85,847.05	12.402		73,719.30	(12,127.75)	4,457.77
4,190	BANK OF AMERICA CORP	BAC	03/18/10	71,697.19	17.111	13.34	55,894.60	(15,802.59) ST	299
1,432	BAXTER INTL INC	BAX	03/18/10	83,904.75	58.592	50.62	72,487.84	(11,416.91) ST	2,449
1,072	BOEING CO	BA	03/18/10	75,101.10	70.057	65.26	69,958.72	(5,142.38) ST	2,574
131	CONOCOPHILLIPS	COP	07/27/06	8,078.77	61.67	68.10	8,921.10	842.33 LT	
300			03/02/06	18,597.00	61.99	68.10	20,430.00	1,833.00 LT	
235			11/10/09	12,580.51	53.534	68.10	16,003.50	3,422.99 LT	
700			11/11/09	37,625.00	53.75	68.10	47,670.00	10,045.00 LT	
140			11/13/09	2,637.29	52.745	68.10	3,405.00	767.71 LT	
1,416				79,518.57	56.157		96,429.60	16,911.03	3.23
1,154	DEERE & CO	DE	03/18/10	68,857.00	59.494	83.05	95,839.70	27,182.70 ST	1,685
1,077	DIAGEO PLC SPON ADR NEW	DEO	03/18/10	71,855.50	66.718	74.33	80,053.41	8,197.91 ST	3.18
2,270	DOW CHEMICAL CO	DOW	03/18/10	66,490.89	30.172	34.14	77,497.80	10,906.91 ST	1,757
4,806	FMC CORP MASS	EMC	03/18/10	89,748.21	18.674	22.90	110,057.40	20,309.19 ST	
733	EAST WEST BANCORP INC	EWBC	05/07/10	12,571.24	17.15	19.55	14,330.15	1,758.91 ST	
682			05/10/10	11,782.64	17.276	19.55	13,333.10	1,550.46 ST	
607			08/19/10	9,556.06	15.743	19.55	11,866.85	2,310.79 ST	
2,022				33,909.94	16.77		39,630.10	5,620.16	204
3,042	EBAY INC	EBAY	08/20/10	70,607.86	23.211	27.83	84,658.86	14,051.00 ST	
1,362	H J HEINZ CO	HNZ	05/05/10	63,712.73	46.778	49.46	67,364.52	3,651.79 ST	3.639
1,536	HERSHEY COMPANY	HSY	03/18/10	65,856.77	42.875	47.15	72,422.40	6,565.63 ST	2.714

SCHEDULE

C

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE

C

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Reserved
Client Statement

December 1 - December 31, 2010

MorganStanley
SmithBarney

Ref 00018005 00101609

Account number 383-1595C-14 JDI

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
1,352	HESS CORP	HES	06/28/10	\$ 71,637.34	\$ 52.986	\$ 76.54	\$ 103,482.08	\$ 31,844.74 ST	52.2%	\$ 540.80
550	HOME DEPOT INC	HD	12/18/07	14,234.44	25.88	35.06	19,283.00	5,048.56 LT		
240			01/15/08	6,092.04	25.383	35.06	8,414.40	2,322.36 LT		
760			01/16/08	19,712.35	25.937	35.06	26,645.60	6,933.25 LT		
450			01/18/08	12,207.65	27.128	35.06	15,777.00	3,569.35 LT		
50			01/23/08	1,384.34	27.686	35.06	1,753.00	368.66 LT		
450			01/24/08	13,234.23	29.409	35.06	15,777.00	2,542.77 LT		
2,500				66,865.05	26.748		87,650.00	20,784.95	2.695	2,382.50
1,285	HOSPIRA INC	HSP	03/18/10	72,101.86	56.11	55.69	71,561.65	(540.21) ST		
100	INTL BUSINESS MACHINES CORP	IBM	04/02/09	9,918.47	99.184	146.76	14,676.00	4,757.53 LT		
100			04/07/09	9,913.78	99.137	146.76	14,676.00	4,762.22 LT		
83			04/23/09	8,395.25	101.147	146.76	12,181.08	3,785.83 LT		
159			04/27/09	15,802.05	100.013	146.76	23,188.08	7,386.03 LT		
332			03/18/10	42,479.53	127.95	146.76	48,724.32	6,244.79 ST		
773				86,509.08	111.913		113,445.48	26,936.40	1.771	2,009.80
1,812	INTUIT INC	INTU	03/18/10	62,871.69	34.697	49.30	89,331.60	26,459.91 ST		
1,988	JPMORGAN CHASIT & CO	JPM	03/18/10	86,856.48	43.471	42.42	84,755.18	(2,101.30) ST	471	399.60
1,600	ELI LILLY & CO	LLY	03/18/10	59,943.60	36.11	35.04	58,166.40	(1,777.20) ST	5.593	3,253.60
640	M & T BK CORP	MTB	03/18/10	53,321.79	83.315	87.05	55,712.00	2,390.21 ST		
208			10/06/10	21,106.42	78.755	87.05	23,329.40	2,222.98 ST		
908				74,428.21	81.969		79,041.40	4,613.19	3.216	2,542.40
11,397	MTSUBISHI UFJ FINANCIAL GROUP INC ADR	MTU	03/18/10	59,980.13	5.262	5.41	61,657.77	1,677.64 ST	2.347	1,447.42
1,373	NEXTERA ENERGY INC	NEE	03/18/10	65,730.59	47.873	51.99	71,382.27	5,651.68 ST	3.848	2,746.00
1,973	NORDSTROM INC	JWN	10/22/10	72,935.50	36.966	42.38	83,615.74	10,680.24 ST	1.887	1,578.40
818	NORTHERN TRUST CORP	NTRS	12/23/10	45,295.20	55.373	55.41	45,325.38	30.18 ST		
687			12/27/10	38,117.23	55.483	55.41	38,066.67	(50.56) ST		
1,505				83,412.43	55.424		83,392.05	(20.38)	2.021	1,685.60
1,100	NORTHROP GRUMMAN CORP	NOC	10/26/09	55,794.20	50.722	64.78	71,258.00	15,463.80 LT		
147			03/18/10	9,536.01	64.87	64.78	9,522.66	(13.35) ST		
1,247				65,330.21	52.39		80,780.88	15,450.67	2.902	2,344.36
3,535	ORACLE CORP	ORCL	03/18/10	90,067.58	25.478	31.30	110,645.50	20,577.94 ST	638	707.00
1,438	J C PENNEY CO INC	JCP	03/18/10	44,925.71	31.241	32.31	46,461.78	1,536.07 ST	2.476	1,150.40

p. The cost basis information provided is an estimate. We have requested and are awaiting receipt of the final cost basis allocation information from the issuer.

Reserved Client Statement

December 1 - December 31, 2010

Morgan Stanley Smith Barney

Ref: 00018143 00102548

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART II BALANCE SHEETS LINE 2 -- TEMPORARY INVESTMENTS LINE 10 OTHER INVESTMENTS

SCHEDULE

D

Account number 383-1631C-17 JD1

RICE FOUNDATION

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
58	DAIMLER AG	DAI	03/27/10	\$ 2,637.40	\$ 46.506	\$ 68.044	\$ 3,946.55	\$ 1,249.15	ST	
5	Exchange rate 1.3367000		03/29/10	236.25	47.25	68.044	340.22	103.97	ST	
72	Shares traded in		03/30/10	3,340.87	46.401	68.044	4,899.17	1,558.30	ST	
37	EURO Monetary Unit		03/30/10	1,726.70	46.667	68.044	2,517.63	790.93	ST	
73			03/31/10	3,413.54	46.76	68.044	4,967.21	1,553.67	ST	
78			04/14/10	3,890.80	49.882	68.044	5,307.43	1,416.63	ST	
90			04/15/10	4,521.01	50.233	68.044	6,173.96	1,652.95	ST	
79			04/15/10	3,944.92	49.535	68.044	5,375.48	1,430.56	ST	
105			04/16/10	5,248.65	49.987	68.044	7,144.62	1,895.97	ST	
597			29,020.14	48.61		40,622.27	11,602.13	1,171	475.81	
22	LYONDELLBASILL INDU CL A	LYR	10/08/10	550.00	25.00	34.40	756.80	206.80	ST	
333			10/11/10	8,700.28	26.217	34.40	11,455.20	2,724.91	ST	
157			10/12/10	4,069.82	25.922	34.40	5,400.80	1,330.98	ST	
171			10/13/10	4,625.46	27.049	34.40	5,882.40	1,256.94	ST	
203			10/15/10	5,414.07	26.67	34.40	6,983.20	1,569.13	ST	
886			23,389.64	26.399		30,478.40	7,088.76			
26	AGILENT TECHNOLOGIES INC	A	02/03/10	772.27	29.702	41.43	1,077.18	304.91	ST	
136			02/04/10	3,967.69	29.174	41.43	5,634.48	1,666.79	ST	
136			02/04/10	3,975.95	29.234	41.43	5,634.48	1,658.53	ST	
150			02/05/10	4,370.79	29.138	41.43	6,214.50	1,843.71	ST	
134			02/05/10	3,851.21	28.74	41.43	5,551.62	1,700.41	ST	
124			02/05/10	3,511.58	28.319	41.43	5,137.32	1,625.74	ST	
126			06/15/10	4,072.75	31.926	41.43	5,270.18	1,197.43	ST	
2			08/30/10	56.03	28.016	41.43	82.86	26.83	ST	
192			08/02/10	5,515.46	28.83	41.43	7,954.56	2,410.10	ST	
224			09/03/10	6,682.59	29.833	41.43	9,280.32	2,597.73	ST	
1,250			36,748.32	29.397		51,787.60	15,041.18			
68	ALLERGAN INC	AGN	02/05/10	3,954.93	58.16	68.67	4,669.56	714.63	ST	
6			02/05/10	345.78	57.564	68.67	4,120.2	66.64	ST	
66			02/08/10	3,848.69	58.313	68.67	4,532.22	683.53	ST	
65			02/09/10	3,830.72	58.934	68.67	4,403.55	632.83	ST	
21			02/10/10	1,232.67	58.698	68.67	1,442.07	209.40	ST	
1,1			03/01/10	7,213.22	59.613	68.67	8,309.07	1,095.85	ST	
48			03/29/10	3,086.91	64.518	68.67	3,206.16	199.25	ST	
1,4			03/30/10	3,488.79	64.607	68.67	3,708.18	219.39	ST	

Rebel P. Wells

MorganStanley
SmithBarney

Reserved
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Ref: 00018143 00102549

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE D

Account number 383-1631C-17 JDI

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
50	ALLERGAN INC	AGN	03/31/10	\$ 3,241.01	\$ 64.82	\$ 68.67	\$ 3,433.50	\$ 192.49 ST		
53			04/01/10	3,472.69	65.52	68.67	3,639.51	166.82 ST		
552				33,725.01	81.096		37,905.84	4,180.83	291	110.40
6	AMAZON COM INC	AMZN	07/14/09	488.36	81.393	180.00	1,060.00	591.64 LT		
24			10/23/09	2,820.70	117.529	180.00	4,320.00	1,499.30 LT		
18			10/26/09	2,229.99	123.888	180.00	3,240.00	1,010.01 LT		
25			10/30/09	2,976.29	119.051	180.00	4,500.00	1,523.71 LT		
2			11/11/09	260.20	130.102	180.00	360.00	99.80 LT		
10			01/27/10	1,226.43	122.643	180.00	1,800.00	573.57 ST		
44			01/28/10	5,489.85	124.564	180.00	7,970.00	2,439.15 ST		
14			02/01/10	1,634.85	116.775	180.00	2,520.00	885.15 ST		
120			07/27/10	14,013.42	116.778	180.00	21,600.00	7,586.58 ST		
34			08/25/10	4,258.26	125.242	180.00	6,170.00	1,861.74 ST		
04			09/01/10	8,283.65	129.432	180.00	11,520.00	3,236.35 ST		
361				43,673.00	120.978		64,980.00	21,307.00		
123	AMERICAN EXPRESS CO	AXP	08/06/09	3,838.77	31.209	42.92	5,279.16	1,440.39 LT		
244			08/07/09	8,021.40	32.874	42.92	10,472.48	2,451.08 LT		
111			07/02/10	4,340.37	38.753	42.92	4,807.04	466.67 ST		
121			03/29/10	5,035.02	41.611	42.92	5,193.32	158.30 ST		
110			03/30/10	4,552.74	41.388	42.92	4,721.20	168.46 ST		
113			03/31/10	4,637.62	41.04	42.92	4,849.95	212.34 ST		
183			04/23/10	9,109.54	48.455	42.92	8,068.96	(1,040.58) ST		
111			10/14/10	4,354.97	39.234	42.92	4,704.12	409.15 ST		
1,122				43,890.43	39.118		48,156.24	4,265.81	1,677	807.84
93	AMERICAN TOWER CORP CLASS A	AMT	01/25/08	3,379.06	36.334	51.64	4,802.52	1,423.46 LT		
134			02/06/08	5,061.49	37.772	51.64	6,919.76	1,858.27 LT		
138			07/07/08	5,528.40	40.06	51.64	7,126.32	1,597.92 LT		
156			09/25/08	5,537.53	38.061	51.64	8,055.84	2,118.31 LT		
102			09/26/08	7,247.56	37.747	51.64	9,914.88	2,667.32 LT		
70			09/26/08	1,119.18	37.306	51.64	1,549.20	430.02 LT		
71			10/03/08	2,484.99	35.14	51.64	3,666.44	1,171.45 LT		
49			10/10/08	1,385.14	28.268	51.64	2,530.36	1,145.22 LT		
146			03/29/10	6,232.08	42.685	51.64	7,538.44	1,307.36 ST		
176			03/30/10	5,176.63	42.671	51.64	6,506.64	1,330.01 ST		

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE

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Reserved
Client Statement
December 1 - December 31, 2010

MorganStanley
SmithBarney

Account number 383-7637C-17 JDI

RICE FOUNDATION

Common stocks & options (continued)

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
98	AMERICAN TOWER CORP CLASS A	AMT	11/07/10	\$ 5,084.59	\$ 51.883	\$ 51.64	\$ 5,000.72	(\$ 23.87) ST		
1,233				48,946.65	39.810		63,672.12	14,825.47		
54	APPLE INC	AAPL	06/17/08	9,797.99	181.444	322.56	17,418.24	7,620.25 LT		
32			07/02/08	5,574.90	174.215	322.56	10,321.92	4,747.02 LT		
29			07/09/08	5,140.57	177.261	322.56	9,354.24	4,213.67 LT		
27			01/27/09	2,432.23	90.082	322.56	8,709.12	6,276.89 LT		
27			01/28/09	2,551.62	94.504	322.56	8,709.12	6,157.50 LT		
27			01/29/09	2,518.86	93.291	322.56	8,709.12	6,190.26 LT		
62			03/18/09	6,299.78	101.609	322.56	19,998.72	13,698.94 LT		
71			04/29/09	3,145.06	125.802	322.56	8,064.00	4,918.94 LT		
50			05/27/09	6,666.60	133.331	322.56	16,128.00	9,461.40 LT		
41			10/15/09	9,311.32	190.026	322.56	15,805.44	6,494.12 LT		
39			10/21/09	8,043.04	206.231	322.56	12,579.84	4,536.80 LT		
2			02/01/10	387.61	193.802	322.56	645.12	257.51 ST		
2			09/20/10	560.95	280.476	322.56	645.12	84.17 ST		
2			11/04/10	637.26	318.628	322.56	645.12	7.86 ST		
427				63,067.79	147.70		137,733.12	74,665.33		
168	BAIDU INC SPON ADR RPTG	BIDU	05/28/10	12,286.71	73.135	96.53	16,217.04	3,930.33 ST		
37	ORD SHS CL A		05/28/10	2,702.42	73.038	96.53	3,571.61	869.19 ST		
107			06/15/10	7,971.53	74.50	96.53	10,328.71	2,357.18 ST		
29			12/30/10	2,854.98	98.447	96.53	2,799.37	(55.61) ST		
25			12/31/10	2,436.06	97.442	96.53	2,413.25	(22.81) ST		
368				28,251.70	77.19		35,329.98	7,078.28		
2,155	CITIGROUP INC	C	11/08/10	9,627.25	4.467	4.73	10,193.15	565.90 ST		
2,208			11/09/10	9,630.85	4.361	4.73	10,443.84	812.99 ST		
2,078			11/10/10	8,994.21	4.328	4.73	9,828.94	834.73 ST		
2,175			12/07/10	10,048.28	4.619	4.73	10,287.75	239.47 ST		
8,616				38,300.59	4.445		40,763.68	2,463.09		
72	CITRIX SYSTEMS INC	CTXS	08/13/10	4,112.88	57.123	68.41	4,925.52	812.64 ST		
142			08/16/10	8,152.77	57.413	68.41	9,714.22	1,561.45 ST		
142			08/17/10	8,291.81	58.393	68.41	9,714.22	1,422.41 ST		
124			08/18/10	7,253.85	58.498	68.41	8,482.84	1,228.99 ST		
149			08/24/10	8,710.02	58.456	68.41	10,193.09	1,483.07 ST		
88			09/08/10	5,595.23	63.582	68.41	6,020.08	424.85 ST		

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Morgan Stanley
Smith Barney

Reserved
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Ref 00018143 00102551

Account number 383-1631C-17 JD1

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
91	CITRIX SYST/MS INC	CTXS	08/09/10	\$ 5,753.33	\$ 63.223	\$ 68.41	\$ 55,275.28	\$ 471.98 ST		
808				47,869.89	59.245			7,405.39		
230	CONOCOPHILLIPS	COP	07/28/10	12,548.73	54.559	68.10	15,663.00	3,114.27 ST		
230			07/28/10	12,541.65	54.528	68.10	15,663.00	3,121.35 ST		
76			07/30/10	4,210.31	55.398	68.10	5,775.00	905.79 ST		
70			07/30/10	3,861.85	55.169	68.10	4,767.00	905.15 ST		
68			08/02/10	3,820.70	56.186	68.10	4,630.80	810.10 ST		
61			08/03/10	3,480.67	57.06	68.10	4,154.10	673.43 ST		
68			08/04/10	3,938.09	57.913	68.10	4,630.80	692.71 ST		
115			08/05/10	6,603.40	57.42	68.10	7,831.50	1,228.10 ST		
918				61,005.40	55.561		62,515.80	11,510.40	3.23	2,019.80
101	CUMMINS INC	CMI	07/07/10	6,767.97	67.009	110.01	11,111.01	4,343.04 ST		
93			07/08/10	6,338.96	68.16	110.01	10,230.93	3,891.97 ST		
100			07/09/10	7,088.84	70.888	110.01	11,001.00	3,912.16 ST		
85			07/12/10	5,999.71	70.584	110.01	9,350.85	3,351.14 ST		
102			07/13/10	7,379.72	72.35	110.01	11,221.02	3,841.30 ST		
76			07/14/10	5,629.68	74.074	110.01	8,360.76	2,731.08 ST		
557				39,204.88	70.388		61,275.57	22,070.69	954	584.85
410	DLL TA AIR LINES INC (NEW)	DAL	10/19/09	3,671.80	8.955	12.60	5,166.00	1,494.20 LT		
474			10/20/09	4,278.56	9.026	12.60	5,972.40	1,693.84 LT		
439			10/21/09	3,794.15	8.642	12.60	5,531.40	1,737.25 LT		
231			10/23/09	1,888.68	8.176	12.60	2,910.00	1,021.32 LT		
195			10/23/09	1,591.18	8.159	12.60	2,457.00	865.82 LT		
155			10/26/09	1,239.78	7.938	12.60	1,953.00	713.22 LT		
150			11/11/09	1,195.41	7.969	12.60	1,890.00	694.59 LT		
435			04/30/10	5,240.71	12.047	12.60	5,481.00	240.29 ST		
2,489				22,900.27	9.201		31,361.40	8,461.13		
232	WALT DISNEY CO	DIS	01/21/10	7,187.89	30.982	37.51	8,702.32	1,514.43 ST		
70			01/22/10	2,112.66	30.18	37.51	2,625.70	513.04 ST		
103			01/25/10	3,063.80	29.745	37.51	3,863.53	799.73 ST		
184			01/28/10	5,305.07	29.158	37.51	6,901.84	1,596.77 ST		
143			02/03/10	4,359.05	30.482	37.51	5,363.03	1,004.88 ST		
114			02/03/10	4,123.23	30.77	37.51	5,026.34	903.11 ST		
210			03/02/10	7,921.10	31.884	37.51	9,377.50	1,456.40 ST		
187			03/24/10	6,407.84	34.266	37.51	7,014.37	606.53 ST		

SCHEDULE

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RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

Richard J. Wells

Morgan Stanley
Smith Barney

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Ref: 00018143 00102552

Account number 383-1631C-17 JD1

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
199	WALT DISNEY CO	DIS	03/25/10	\$ 7,007.75	\$ 35.214	\$ 37.51	\$ 7,464.48	\$ 456.74	ST	
117			04/27/10	4,260.65	36.415	37.51	4,388.67	128.02	ST	
105			05/11/10	3,444.81	35.664	37.51	3,938.55	493.74	ST	
25			11/04/10	922.29	36.891	37.51	937.75	15.46	ST	
1,749				56,478.14	32.291		65,604.99	9,126.85		699.60
210	DIRECTV CL A	DIV	09/08/10	8,368.56	39.85	39.93	8,365.30	16.74	ST	
209			09/08/10	8,320.06	39.808	39.93	8,345.37	25.31	ST	
207			09/09/10	8,200.48	39.905	39.93	8,265.51	5.03	ST	
119			10/11/10	5,014.39	42.137	39.93	4,751.67	(262.72)	ST	
137			11/04/10	5,930.87	43.291	39.93	5,470.41	(460.46)	ST	
882				35,894.36	40.697		35,218.26	(676.10)		
180	LMC CORP MASS	EMC	08/12/09	2,755.96	15.31	22.90	4,122.00	1,366.04	LT	
21			11/11/09	428.75	17.15	22.90	572.50	143.75	LT	
100			12/04/09	3,245.56	17.081	22.90	4,351.00	1,105.44	LT	
171			12/07/09	2,972.95	16.908	22.90	4,007.50	1,034.55	LT	
400			01/28/10	8,418.40	17.18	22.90	11,271.00	2,852.60	ST	
225			02/01/10	3,812.40	16.944	22.90	5,152.50	1,340.10	ST	
180			06/30/10	3,345.07	18.583	22.90	4,122.00	776.93	ST	
1,465				24,979.09	17.051		33,548.50	8,569.41		
93	LATON CORP	ETN	07/22/10	6,753.01	75.033	101.51	9,135.90	2,382.89	ST	
187			07/23/10	14,247.60	76.19	101.51	18,982.37	4,734.77	ST	
88			07/26/10	6,814.22	77.434	101.51	8,932.88	2,118.66	ST	
166			07/27/10	12,937.14	77.934	101.51	16,850.66	3,913.52	ST	
56			07/30/10	4,363.22	77.914	101.51	5,084.56	1,321.34	ST	
587				45,115.19	76.857		58,588.37	14,473.18		1,361.84
85	EMERSON ELECTRIC CO	EMR	10/28/10	4,643.14	54.625	57.17	4,859.45	216.31	ST	
115			10/28/10	4,852.16	54.731	57.17	4,859.45	207.29	ST	
110			10/29/10	9,336.67	54.921	57.17	9,718.90	382.23	ST	
169			11/01/10	9,380.82	55.507	57.17	9,661.73	280.91	ST	
216			11/02/10	16,082.92	54.334	57.17	16,922.32	839.40	ST	
183			11/02/10	4,538.20	54.677	57.17	4,745.11	206.91	ST	
888				48,633.91	54.768		50,766.96	2,133.05		1,225.44
200	EXPRESS SCRIPTS INC COMMON	ESRX	11/10/09	11,640.83	43.274	54.05	14,539.45	2,898.62	LT	
94			01/08/10	4,205.65	45.698	54.05	5,080.70	785.05	ST	
152			01/11/10	2,395.87	46.074	54.05	2,810.60	414.73	ST	

SCHEDULE

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
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LINE 10 OTHER INVESTMENTS

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RICE FOUNDATION
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36-6043160

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE

D

Account number 383-1631C-17 JD1

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
50	LXPRESS SCRIPTS INC COMMON	ESRX	02/01/10	\$ 2,120.00	\$ 42.40	\$ 54.05	\$ 2,702.50	\$ 582.50 ST		
100			02/05/10	4,247.87	42.478	54.05	5,405.00	1,157.13 ST		
94			02/05/10	3,927.53	41.782	54.05	5,080.70	1,153.17 ST		
4			05/04/10	200.58	50.144	54.05	216.20	15.62 ST		
50			11/04/10	2,601.84	52.036	54.05	2,702.50	100.66 ST		
34			12/30/10	1,843.68	54.226	54.05	1,837.70	(5.98) ST		
46			12/31/10	2,480.54	53.924	54.05	2,486.30	5.76 ST		
793				35,754.39	45.088		42,861.65	7,107.26		
33	F5 NETWORKS INC	FFIV	06/22/10	2,466.62	74.745	130.16	4,295.28	1,828.66 ST		
60			06/23/10	4,330.42	73.007	130.16	7,809.60	3,479.18 ST		
99			06/30/10	6,935.43	70.054	130.16	12,885.84	5,950.41 ST		
58			10/22/10	5,705.96	98.378	130.16	7,549.28	1,843.32 ST		
36			10/25/10	3,651.16	101.421	130.16	4,685.76	1,034.60 ST		
286				23,139.59	80.908		37,225.76	14,086.17		
397	FORD MOTOR COMPANY	F	11/06/09	3,016.69	7.598	16.79	6,655.03	3,638.34 LT		
953			11/09/09	7,677.46	8.056	16.79	16,000.87	8,323.41 LT		
940			11/10/09	7,690.80	8.181	16.79	15,782.60	8,091.80 LT		
25			11/11/09	208.50	8.34	16.79	419.75	211.25 LT		
25			03/23/10	349.25	13.97	16.79	419.75	70.50 ST		
25			05/04/10	322.75	12.91	16.79	419.75	97.00 ST		
383			06/03/10	4,601.25	12.013	16.79	6,430.57	1,829.32 ST		
1,048			06/30/10	10,874.47	10.376	16.79	17,595.32	6,721.45 ST		
322			11/03/10	4,738.87	14.717	16.79	5,406.38	667.51 ST		
4,118				39,480.04	9.587		69,141.22	29,661.18		
57	GOOGLE INC	GOOG	10/18/10	35,197.59	617.501	593.97	33,856.29	(1,341.30) ST		
17	CLASS A		10/19/10	10,407.29	612.193	593.97	10,097.49	(309.80) ST		
74				45,604.88	616.282		43,953.78	(1,651.10)		
274	HALLIBURTON CO HOLDINGS CO	HAL	12/08/10	11,183.28	40.814	40.83	11,187.42	4.14 ST		
276			12/09/10	11,148.74	40.394	40.83	11,269.08	120.34 ST		
271			12/10/10	10,917.56	40.286	40.83	11,064.93	147.37 ST		
262			12/13/10	10,808.10	41.252	40.83	10,697.46	(110.64) ST		
1,083				44,057.68	40.681		44,218.89	161.21	881	389.88
331	ITAU UNIRANCO BANCO HLDG	ITUB	05/25/10	15,922.80	17.102	24.01	22,353.31	6,430.51 ST		
296	S A ADR		05/28/10	5,443.03	18.388	24.01	7,106.06	1,663.03 ST		

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
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SCHEDULE

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Ref: 000111/13 00102554

Account number 383-1631C-17 JD1

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
284	ITAU UNIBANCO BANCO ILDOG S A ADR	ITUB	05/28/10	\$ 5,223.27	\$ 18.391	\$ 24.01	\$ 6,818.84	\$ 1,595.57 ST	341	123.90
1,511				26,589.10	17.597		36,279.11	9,690.01		
19	JUNIPER NETWORKS INC	JNPR	10/23/09	515.46	27.129	36.92	701.48	186.02 LT		
149			10/30/09	1,807.35	25.552	36.92	5,501.08	1,693.73 LT		
391			11/05/09	10,165.14	25.997	36.92	14,435.72	4,270.58 LT		
275			11/10/09	6,888.20	25.048	36.92	10,153.00	3,264.80 LT		
171			11/13/09	4,456.07	26.058	36.92	6,313.32	1,857.25 LT		
100			05/28/10	4,299.68	26.873	36.92	5,907.20	1,607.52 ST		
158			11/03/10	5,164.44	37.686	36.92	5,833.36	668.92 ST		
1,323				35,296.34	26.679		48,845.16	13,548.82		
124	KOHL'S CORP	KSS	11/19/10	6,777.18	54.654	54.34	6,738.16	(39.02) ST		
120			11/23/10	6,692.38	55.686	54.34	6,520.80	(161.58) ST		
122			11/23/10	6,770.77	55.498	54.34	6,629.48	(141.29) ST		
110			11/24/10	6,261.08	56.926	54.34	5,977.40	(284.68) ST		
135			11/26/10	7,753.63	57.479	54.34	7,335.90	(417.73) ST		
611				34,251.90	56.059		33,201.74	(1,050.16)		
377	MACYS INC	M	08/12/10	7,625.31	20.226	25.30	9,538.10	1,912.79 ST		
402			08/13/10	8,176.17	20.339	25.30	10,170.60	1,994.28 ST		
437			08/16/10	8,848.94	20.249	25.30	11,056.10	2,207.16 ST		
385			10/11/10	9,649.37	25.063	25.30	9,740.50	91.13 ST		
1,601				34,299.94	21.424		40,505.30	6,205.36	79	320.20
161	MARRIOTT INTL INC NEW CL A	MAR	06/14/10	5,543.95	34.434	41.54	6,687.94	1,143.99 ST		
155			06/15/10	5,324.93	34.354	41.54	6,438.70	1,113.77 ST		
154			06/16/10	5,414.56	35.159	41.54	6,397.16	982.60 ST		
154			06/17/10	5,432.00	35.272	41.54	6,397.16	965.16 ST		
157			06/18/10	5,568.82	35.47	41.54	6,521.78	952.96 ST		
74			06/21/10	2,677.31	36.179	41.54	3,073.96	396.65 ST		
117			06/29/10	3,618.58	30.928	41.54	4,860.18	1,241.60 ST		
130			07/15/10	4,262.19	31.339	41.54	5,649.44	1,387.25 ST		
1,108				37,842.34	34.154		46,026.32	8,183.98	842	387.80
173	NETAPP INC	NTAP	12/09/09	5,802.98	33.543	54.96	9,588.08	3,785.10 LT		
115			02/18/10	3,551.65	30.883	54.96	6,320.40	2,768.75 ST		
115			05/28/10	4,323.36	37.594	54.96	6,320.40	1,997.04 ST		
48			06/18/10	1,988.44	41.425	54.96	2,638.08	649.64 ST		
63			06/21/10	2,631.19	41.764	54.96	3,462.48	831.29 ST		

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
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Smith Barney

Account number 383-1631C-17 JD1

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
87	NETAPP INC	NTAP	06/29/10	\$ 3,133.96	\$ 38.219	\$ 54.96	\$ 4,506.72	\$ 1,372.76 ST		
56			08/19/10	2,256.03	40.266	54.96	3,071.76	821.73 ST		
652				23,887.61	36.331		35,833.92	12,146.31		
84	NEWFIELD EXPLORATION COMPANY	NFX	02/24/10	4,115.36	48.992	72.11	6,057.24	1,941.88 ST		
81			02/25/10	4,038.65	49.859	72.11	5,940.91	1,802.26 ST		
71			02/26/10	4,017.95	50.796	72.11	5,606.69	1,683.74 ST		
85			03/01/10	4,498.63	52.309	72.11	6,201.46	1,702.83 ST		
62			03/29/10	3,083.24	49.729	72.11	4,470.82	1,387.58 ST		
33			03/30/10	1,667.29	50.523	72.11	2,379.63	712.34 ST		
54			03/31/10	2,788.86	51.845	72.11	3,893.94	1,105.08 ST		
59			04/01/10	3,149.51	53.361	72.11	4,254.49	1,104.98 ST		
538				27,354.49	50.845		36,795.18	11,440.69		
84	OCCIDENTAL PETROLEUM CORP DEL	OXY	08/19/10	6,317.09	75.203	98.10	8,240.40	1,923.31 ST		
108			08/20/10	8,077.65	74.793	98.10	10,594.80	2,517.15 ST		
107			08/20/10	8,064.68	75.37	98.10	10,496.70	2,432.02 ST		
123			08/23/10	9,345.15	75.976	98.10	12,066.30	2,721.15 ST		
82			10/11/10	6,861.09	83.671	98.10	8,044.20	1,183.11 ST		
45			10/14/10	3,806.18	84.581	98.10	4,414.50	608.32 ST		
549				42,471.84	77.362		53,855.90	11,385.06	1.549	834.48
410	ORACLE CORP	ORCL	09/15/10	11,553.48	25.674	31.30	14,085.00	2,531.52 ST		
449			09/15/10	11,526.59	25.671	31.30	14,053.70	2,527.11 ST		
454			09/16/10	11,574.59	25.494	31.30	14,210.20	2,635.61 ST		
702			09/17/10	18,927.75	26.962	31.30	21,972.60	3,044.85 ST		
280			09/30/10	7,601.86	27.149	31.30	8,764.00	1,162.14 ST		
128			10/25/10	3,713.24	29.009	31.30	4,006.40	293.16 ST		
2,463				64,897.51	26.349		77,091.90	12,194.39	638	492.60
15	PRECISION CASTPARTS CORP	PCP	04/12/10	1,908.44	127.279	139.21	2,088.15	179.71 ST		
28			04/22/10	3,774.80	133.028	139.21	3,897.88	173.08 ST		
27			04/22/10	3,573.85	132.364	139.21	3,758.67	184.82 ST		
32			04/23/10	4,248.74	132.773	139.21	4,454.72	205.98 ST		
34			04/26/10	4,588.01	134.941	139.21	4,733.14	145.13 ST		
35			04/27/10	4,618.07	131.944	139.21	4,872.35	254.28 ST		
34			04/28/10	4,450.35	130.892	139.21	4,733.14	282.79 ST		
22			04/28/10	2,864.30	130.169	139.21	3,062.62	198.33 ST		
64			04/30/10	8,262.76	129.105	139.21	8,909.44	646.68 ST		

Richard D. Wells

Morgan Stanley
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RICE FOUNDATION
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36-6043160

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE

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Account number 383-1631C-17 JDI

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/loss	Average % yield	Anticipated Income (annualized)
31	PRECISION CASTPARTS CORP	PCP	05/10/10	\$ 3,983.04	\$ 124.47	\$ 139.21	\$ 4,454.72	\$ 471.68 ST		
2			08/25/10	224.72	112.108	139.21	278.42	54.20 ST		
37			09/14/10	4,596.20	124.721	139.21	5,150.77	554.57 ST		
382				47,042.87	129.953		50,394.02	3,351.15	086	43.44
8	PRICELINE COM INC (NEW)	PCLN	11/02/09	1,286.78	160.848	399.55	3,106.40	1,900.62 LT		
39			11/05/09	6,608.50	169.448	399.55	15,582.45	8,973.95 LT		
35			11/06/09	5,987.47	171.07	399.55	13,984.25	7,996.78 LT		
36			11/09/09	6,305.28	175.146	399.55	14,383.80	8,078.52 LT		
2			11/11/09	395.21	197.604	399.55	799.10	403.89 LT		
120				20,583.24	171.527		47,946.00	27,362.76		
197	QUALCOMM INC	QCOM	11/04/10	9,553.53	48.495	49.49	9,749.53	196.00 ST		
202			11/05/10	9,732.12	48.178	49.49	9,996.98	264.86 ST		
148			11/05/10	7,132.03	48.189	49.49	7,324.52	192.49 ST		
256			11/10/10	12,215.04	47.715	49.49	12,669.44	454.40 ST		
241			11/11/10	11,446.83	47.497	49.49	11,927.09	480.26 ST		
1,044				50,079.55	47.989		51,667.56	1,588.01	1,535	793.44
60	SALESFORCE COM INC	CRM	06/30/10	5,219.35	86.969	137.00	7,920.00	2,700.65 ST		
111			07/01/10	9,404.03	84.721	132.00	14,652.00	5,247.97 ST		
2			09/20/10	242.43	121.212	132.00	264.00	21.57 ST		
17			10/12/10	5,944.36	104.287	132.00	7,524.00	1,579.64 ST		
230				20,810.17	90.479		30,360.00	9,549.83		
115	UNION PACIFIC CORP	UNP	11/01/10	10,256.42	89.186	92.66	10,655.90	399.48 ST		
111			11/02/10	9,921.37	89.381	92.66	10,285.26	363.89 ST		
114			11/03/10	10,342.18	90.72	92.66	10,563.24	221.06 ST		
100			11/04/10	9,186.91	91.869	92.66	9,266.00	79.09 ST		
40			12/00/10	3,680.26	92.006	92.66	3,706.40	26.14 ST		
27			12/31/10	2,503.28	92.714	92.66	2,501.82	(1.46) ST		
507				45,890.42	90.514		46,978.82	1,088.20	1.64	770.64
278	UNITEDHEALTH GROUP INC	UNH	02/05/10	8,928.64	32.117	36.11	10,038.58	1,109.94 ST		
82			02/05/10	2,661.65	32.459	36.11	2,961.02	299.37 ST		
119			02/08/10	3,877.98	32.588	36.11	4,297.09	419.11 ST		
249			02/09/10	8,149.55	32.729	36.11	8,991.39	841.84 ST		
120			02/09/10	3,903.14	32.526	36.11	4,333.20	430.06 ST		
203			11/30/10	7,495.88	36.925	36.11	7,330.33	(165.55) ST		
74			12/30/10	2,661.03	36.959	36.11	2,672.14	11.11 ST		

PART II BALANCE SHEETS
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December 1 - December 31, 2010

MorganStanley
SmithBarney

Ref 00018143 00102557

Account number 383-1631C-17 JD1

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
63	UNITEDHEALTH GROUP INC	UNH	12/31/10	\$ 2,271.74	\$ 36.059	\$ 36.11	\$ 2,274.93	\$ 3.19 ST		594.00
1,188				35,949.61	33.628		42,898.68	2,949.07	1.384	
53	VMWARE INC	VMW	10/01/10	4,531.80	85.505	88.91	4,712.23	180.43 ST		
53	CL A COM		10/04/10	4,374.68	82.541	88.91	4,712.23	337.55 ST		
52			10/04/10	4,363.60	83.015	88.91	4,623.32	259.72 ST		
52			10/04/10	4,277.09	82.251	88.91	4,623.32	346.23 ST		
57			10/11/10	4,383.46	76.902	88.91	5,007.87	684.41 ST		
97			10/25/10	7,543.99	77.773	88.91	8,624.27	1,080.28 ST		
61			11/03/10	4,732.23	77.577	88.91	5,423.51	691.28 ST		
123			12/08/10	10,542.26	85.709	88.91	10,935.93	393.67 ST		
548				44,749.11	81.659		48,722.68	3,973.57		
				\$ 1,544,823.02			\$ 1,973,376.67	\$ 255,825.08 ST	60	\$ 12,035.76
	Total common stocks and options							\$ 172,728.57 LT		
	Total portfolio value			\$ 1,583,308.38			\$ 2,011,862.03	\$ 255,825.08 ST	59	\$ 12,058.85
								\$ 172,728.57 LT		

Unsettled purchases/sales

This section only displays transactions that have not settled during this statement period. The "Portfolio details" section includes any securities purchased and units any securities sold on hold short as of the trade date.

Trade Date	Settlement Date	Activity	Description	Quantity	Price	Amount
12/31/10	01/05/11	Bought	BAIDU INC SPON ADR RPTNG ORD SHS CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	25	\$ 97.4424	\$ -2,436.00
			CGMI AND/OR ITS AFFILIATES			
12/31/10	01/05/11	Bought	EXPRESS SCRIPTS INC COMMON MorganStanley SmithBarney LLC acted as your agent in this transaction	46	\$ 53.9247	2,480.54
			CGMI AND/OR ITS AFFILIATES			
12/31/10	01/05/11	Bought	UNION PACIFIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	27	\$ 92.7141	-2,503.28

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MorganStanley
SmithBarney

Ref: 00018139 00102514

Account number 383-1630C-19 JD1

RICE FOUNDATION

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain(loss)	Average % yield	Anticipated income (annualized)
96	ABBOTT LABORATORIES	ABT	10/27/03	\$ 3,750.72	\$ 1.39 07	\$ 4.59 36	\$ 4,484.64	\$ 848.64	LT	
84			11/25/03	3,507.00	41.75	47.91	4,024.44	517.44	LT	
82			12/26/03	3,601.44	43.92	47.91	3,928.62	327.18	LT	
125			01/23/04	5,075.00	40.60	47.91	5,968.75	913.75	LT	
96			02/26/04	3,903.36	40.66	47.91	4,599.36	696.00	LT	
186			03/02/05	8,559.72	46.02	47.91	8,911.26	351.54	LT	
693			02/14/06	30,297.96	43.72	47.91	33,201.63	2,903.67	LT	
1,362				58,695.20	43.095	55,253.42	6,558.22	3,673	2,397.12	
186	AIR PRODUCTS & CHEMICALS INC	APD	10/21/10	15,692.50	84.368	90.95	16,916.70	1,224.20	ST	384.56
167	ALTRIA GROUP INC	MO	10/27/03	1,768.92	10.592	24.62	4,111.54	2,342.62	LT	
142			11/25/03	1,677.94	11.816	24.62	3,496.04	1,818.10	LT	
143			12/26/03	1,785.42	12.485	24.62	3,520.66	1,735.24	LT	
157			01/23/04	1,989.40	12.671	24.62	3,865.34	1,875.94	LT	
125			02/26/04	1,650.70	13.205	24.62	3,077.50	1,426.80	LT	
94			12/20/04	1,310.98	13.946	24.62	2,314.28	1,003.30	LT	
208			03/02/05	4,093.15	15.272	24.62	6,598.16	2,505.01	LT	
841			02/14/06	14,128.01	16.799	24.62	20,705.42	6,577.41	LT	
421			05/17/08	9,552.17	22.475	24.62	10,463.50	911.33	LT	
467			06/04/09	7,909.11	16.936	24.62	11,497.54	3,588.43	LT	
2,829				45,865.80	16.213	69,649.98	23,784.18	6,173	4,300.08	
362	AMERICAN EXPRESS CO	AXP	02/14/06	19,439.40	53.70	42.92	15,537.04	(3,902.36)	LT	260.64
180	APPLE INC	AAPL	07/23/07	25,887.73	143.82	322.56	58,060.80	32,173.07	LT	
125			02/04/08	16,463.26	131.706	322.56	40,320.00	23,856.74	LT	
79			10/78/08	7,511.91	95.112	322.56	25,482.24	17,968.33	LT	
70			06/02/09	9,702.30	139.89	322.56	22,579.20	12,866.90	LT	
454				59,657.20	131.404	146,442.24	86,785.04			
262	AUTOMATIC DATA PROCESSING INC	ADP	10/17/05	10,129.24	38.661	46.28	12,125.36	1,996.12	LT	
220			02/14/06	9,014.21	40.973	46.28	10,181.60	1,167.39	LT	
188			10/17/06	7,971.37	42.40	46.28	8,700.64	728.27	LT	
670				27,114.82	40.47	31,007.60	3,892.78	3,111	964.80	
177	BECTON DICKINSON & CO	BDX	06/02/09	12,210.65	68.986	84.52	14,960.04	2,749.39	LT	
78			07/14/09	5,300.21	68.977	84.52	6,502.56	1,212.35	LT	
255				17,590.86	68.984	21,552.60	3,961.74	1.94	418.20	
408	CATERPILLAR INC	CAT	07/11/06	29,828.88	73.11	93.66	38,213.28	8,384.40	LT	718.08

PART II BALANCE SHEETS
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MorganStanley
SmithBarney

Ref 00018139 00102515

Account number 383-1630C-19 JD1

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
87	CHEVRON CORP	CVX	11/01/04	\$4,617.96	\$53.08	\$91.25	\$4,738.75	\$3,320.79* LT		
187			03/02/05	11513.59	61.57	91.25	17,063.75	5,550.16* LT		
790			02/14/06	44,176.80	55.92	91.25	72,087.50	27,910.70* LT		
32			11/25/03	2,538.52	79.328	91.25	2,920.00	381.48 LT		
1,096				62,846.87	57.342		100,010.00	37,163.13	3.156	3,156.48
132	CISCO SYS INC	CSCO	10/31/07	4,360.92	33.037	20.23	2,670.36	(1,690.56) LT		
1,152			02/04/08	27,469.95	23.862	20.23	23,304.96	(4,164.99) LT		
1,284				31,850.87	24.806		25,975.32	(5,875.55)		
1,000	COCA-COLA CO	KO	09/13/09	55,000.00	55.00	65.77	65,770.00	10,770.00* LT		
98			10/27/03	4,443.32	45.34	65.77	6,445.46	2,002.14* LT		
109			11/25/03	7,883.65	46.65	65.77	11,115.13	3,231.28* LT		
140			12/26/03	7,400.00	50.00	65.77	9,733.96	2,333.96* LT		
163			01/23/04	9,109.74	49.78	65.77	12,035.91	2,926.17* LT		
170			02/26/04	8,430.30	49.59	65.77	11,180.90	2,750.60* LT		
207			03/02/05	12,788.82	43.06	65.77	19,533.69	6,744.87* LT		
81			02/14/06	3,433.71	41.37	65.77	5,458.91	2,025.20* LT		
2,148				108,489.74	50.507		141,273.96	32,784.22	2.675	3,780.48
70	CONOCOPHILLIPS	COP	06/07/04	2,638.30	37.69	68.10	4,767.00	2,128.70* LT		
78			11/01/04	3,766.64	41.88	68.10	5,311.80	1,545.16* LT		
123			12/20/04	5,635.84	44.03	68.10	8,716.80	3,080.96* LT		
80			03/02/05	4,372.80	54.66	68.10	5,448.00	1,075.20* LT		
140			04/21/05	7,226.80	51.62	68.10	9,534.00	2,307.20* LT		
8			08/31/05	523.12	65.39	68.10	544.80	21.68* LT		
758			02/14/06	44,335.42	58.49	68.10	51,619.80	7,284.38* LT		
171			05/07/09	14,165.15	44.128	68.10	21,860.10	7,694.95 LT		
1,583				82,164.07	51.904		107,802.30	25,638.23	3.23	3,482.60
646	WALT DISNEY CO	DIS	03/12/10	22,040.29	33.598	37.51	24,808.58	2,768.29 ST	1.066	282.40
76	TXCON MOBIL CORP	XOM	11/25/03	2,723.08	35.83	73.12	5,557.12	2,834.04* LT		
208			12/26/03	8,342.88	40.11	73.12	15,208.96	6,866.08* LT		
237			01/23/04	9,719.37	41.01	73.12	17,329.44	7,610.07* LT		
26			02/04/04	1,050.14	40.39	73.12	1,901.12	850.98* LT		
196			02/26/04	8,351.56	42.61	73.12	14,331.52	5,979.96* LT		
6			03/02/05	375.54	62.59	73.12	438.72	63.18* LT		
1,210			02/14/06	72,067.60	59.56	73.12	88,475.20	16,407.60* LT		
46			06/04/09	3,352.89	72.889	73.12	3,363.52	10.63 LT		

Richard E. Fugate

MorganStanley
SmithBarney

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Ref: 00018139 00102516

Account number 383-1630C-19 JD1

RICE FOUNDATION

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
198	EXXON MOBIL CORP	XOM	08/04/09	\$ 13,901.80	\$ 70.211	\$ 73.12	\$ 14,477.76	\$ 575.96 LT		
705			02/22/10	13,476.41	65.738	73.12	14,989.60	1,513.19 ST		
2,408				133,361.27	55.383		176,072.96	42,711.69	2.407	4,238.08
599	FONTECO ECONOMICO MEXICANO	FMX	04/23/08	26,248.48	43.82	55.92	33,496.08	7,247.60 LT		
7	S A B DE CV SPONS ADR		05/09/08	312.28	44.612	55.92	391.44	79.16 LT		
606				26,560.76	43.83		33,887.52	7,326.76	1.112	376.93
261	FRANKLIN RESOURCES INC	BLN	06/02/08	26,140.46	100.155	111.21	29,025.81	2,885.35 LT	899	261.00
343	FRIEPORT MEMORAN LOPPH R & GOLD	FCX	11/27/09	29,121.87	84.903	120.09	41,190.87	12,069.00 LT		
38	CL R		02/23/10	2,828.49	74.433	120.09	4,563.42	1,734.93 ST		
381				31,950.38	83.859		45,754.29	13,803.93	1.665	762.00
95	GENERAL DYNAMICS CORP	GD	05/08/08	8,665.81	91.219	70.96	6,741.20	(1,924.61) LT		
95			05/12/08	8,657.25	91.128	70.96	6,741.20	(1,916.05) LT		
95			05/16/08	8,780.57	92.427	70.96	6,741.20	(2,039.37) LT		
95			06/02/08	9,026.93	91.181	70.96	7,025.04	(2,001.89) LT		
47			05/07/09	2,535.81	53.953	70.96	3,335.12	799.31 LT		
431				37,668.37	87.393		30,583.76	(7,084.61)	2.367	724.08
388	GENERAL ELECTRIC CO	GE	03/02/05	13,812.80	35.60	18.29	7,096.52	(6,716.28) LT		
2,206			02/14/06	73,967.18	33.53	18.29	40,347.74	(33,619.44) LT		
2,594				87,779.98	33.84		47,444.26	(40,335.72)	3.061	1,452.64
63	HSDC HLDG PLC SP ADR NEW	HRC	10/04/04	5,089.14	80.78	51.04	3,215.52	(1,873.62) LT		
63			10/05/04	5,085.36	80.72	51.04	3,215.52	(1,869.84) LT		
63			12/20/04	5,369.49	85.23	51.04	3,215.52	(2,153.97) LT		
89			03/02/05	7,315.80	82.20	51.04	4,542.56	(2,773.24) LT		
5			07/07/05	393.35	78.67	51.04	255.20	(138.15) LT		
248			02/14/05	20,581.52	82.99	51.04	12,657.92	(7,923.60) LT		
221			04/08/09	4,085.74	18.487	51.04	11,279.84	7,194.10 LT		
752				47,920.40	63.724		38,382.08	(9,538.32)	3.33	1,278.40
404	HALLIBURTON CO HOLDINGS CO	HAL	06/09/06	14,899.52	36.88	40.83	16,495.32	1,595.80 LT		
470			07/11/06	15,527.40	76.97	40.83	17,148.60	1,621.20 LT		
369			02/05/08	13,352.27	34.324	40.83	15,882.87	2,530.60 LT		
1,213				43,779.19	36.092		49,526.79	5,747.60	881	436.68
249	INTEL CORP	INTC	05/03/99	7,788.72	31.28	21.03	5,236.47	(2,552.25) LT		
19			10/27/03	596.03	31.37	21.03	399.57	(196.46) LT		
216			11/25/03	7,586.82	33.57	21.03	4,752.78	(2,834.04) LT		
312			12/26/03	11,680.80	31.40	21.03	7,823.16	(3,857.64) LT		

SCHEDULE

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RICE FOUNDATION
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36-6043160

PART II BALANCE SHEETS
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Richard E. Tapp

Ref: 00018139 00102517

Morgan Stanley
Smith Barney

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RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
263	INTL CORP	INTC	01/23/04	\$ 8,347.62	\$ 31.74	\$ 21.03	\$ 5,530.89	(\$ 2,816.73)* LT		
364			02/26/04	10,814.44	29.71	21.03	7,654.92	(3,159.52)* LT		
454			03/02/05	11,191.10	24.65	21.03	9,547.62	(1,643.48)* LT		
1,044			02/14/06	22,352.04	21.41	21.03	21,955.32	(396.72)* LT		
2,991				80,357.57	26.866		62,900.73	(17,456.84)	2.995	1,884.33
376	INTL BUSINESS MACHINES CORP	IBM	01/10/10	50,270.30	133.697	146.76	55,181.76	4,911.46 ST		
82			03/12/10	10,486.26	127.881	146.76	12,034.32	1,548.06 ST		
458				60,756.56	132.656		67,216.08	6,459.52	1.771	1,190.80
5	INTUITIVE SURGICAL INC	ISRG	05/08/08	1,420.64	284.128	257.75	1,288.75	(131.88) LT		
30			05/12/08	8,599.56	286.652	257.75	7,732.50	(867.06) LT		
47			04/02/09	4,579.86	97.443	257.75	12,114.25	7,534.39 LT		
82				14,600.06	178.05		21,135.50	6,535.44		
66	JPMORGAN CHASE & CO	JPM	12/08/04	2,487.54	37.69	42.42	2,799.72	312.18* LT		
207			03/02/05	7,730.91	36.99	42.42	8,865.78	1,134.87* LT		
479			07/14/06	19,298.91	40.79	42.42	20,319.18	1,020.27* LT		
343			01/13/09	8,979.95	26.18	42.42	14,550.06	5,570.11 LT		
317			06/02/09	11,059.56	34.888	42.42	13,447.14	2,387.58 LT		
1,414				49,558.87	35.047		59,981.88	10,423.01	4.71	282.80
42	JOHNSON & JOHNSON	JNJ	11/25/03	2,133.18	50.79	61.85	2,597.70	464.52* LT		
173			12/26/03	8,765.91	50.67	61.85	10,700.05	1,934.14* LT		
143			01/23/04	7,581.86	53.02	61.85	8,844.55	1,262.69* LT		
149			02/26/04	8,074.31	54.19	61.85	9,215.65	1,141.34* LT		
10			03/02/04	528.90	52.80	61.85	618.50	89.60* LT		
174			03/02/05	11,661.48	67.02	61.85	10,761.90	(899.58)* LT		
805			02/14/06	47,527.20	59.04	61.85	49,789.25	2,262.05* LT		
74			03/31/09	3,946.95	53.337	61.85	4,576.90	629.95 LT		
179			06/04/09	9,974.99	55.726	61.85	11,071.15	1,096.16 LT		
1,749				100,194.78	67.287		108,175.65	7,980.87	3.492	3,777.84
972	KRAFT FOODS INC CLASS A	KFT	10/21/10	31,062.11	31.956	31.51	30,627.72	(434.39) ST	3.681	1,127.52
33	ESTEL LAUDER COS INC CL A	EL	02/26/04	1,377.75	41.75	80.70	2,663.10	1,285.35* LT		
97			03/02/05	4,149.66	42.78	80.70	7,827.90	3,678.24* LT		
40			07/07/05	1,518.80	37.97	80.70	3,228.00	1,709.20* LT		
383			02/14/06	14,492.72	37.84	80.70	30,308.10	16,415.38* LT		
553				21,538.93	38.949		44,627.10	23,088.17	9.29	414.75

SCHEDULE

E

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

Robert E. Fugge

Morgan Stanley
Smith Barney

Reserved
Client Statement
December 1 - December 31, 2010

Ref: 000181379 00102518

Account number 383-1630C-19 JD1

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/loss	Average % yield	Anticipated Income (annualized)
28	MCDONALD'S CORP	MCD	10/27/03	\$ 681.24	\$ 24.33	\$ 76.76	\$ 2,149.28	\$ 1,468.04* LT		
73			11/25/03	1,878.29	25.73	76.76	5,603.48	3,725.19* LT		
101			12/26/03	2,434.10	24.10	76.76	7,752.76	5,318.66* LT		
71			01/23/04	1,779.76	25.06	76.76	5,449.96	3,670.70* LT		
48			02/26/04	1,347.84	28.08	76.76	3,684.48	2,336.64* LT		
85			03/02/05	2,835.60	33.36	76.76	6,524.60	3,689.00* LT		
345			02/14/06	12,478.65	36.17	76.76	26,482.20	14,003.55* LT		
263			06/02/06	15,913.00	60.505	76.76	20,187.88	4,274.88 LT		
337			11/25/09	21,702.73	64.399	76.76	25,868.12	4,165.39 LT		
1,351				61,050.71	45.189		103,702.76	42,652.05	3.178	3,296.44
29	MCGRAW HILL COS INC	MHP	12/26/03	996.44	34.36	36.41	1,065.89	59.45* LT		
117			01/23/04	3,996.16	35.68	36.41	4,071.92	81.76* LT		
76			02/26/04	2,930.56	38.56	36.41	2,767.16	(163.40)* LT		
120			03/02/05	5,626.80	46.89	36.41	4,369.20	(1,257.60)* LT		
492			07/14/06	26,400.72	53.66	36.41	17,913.72	(8,487.00)* LT		
829				39,950.88	48.191		30,183.89	(9,766.78)	2.581	779.26
341	MEDTRONIC INC	MDT	07/23/07	17,893.91	52.474	37.09	12,647.69	(5,246.22) LT		
233			10/31/07	11,190.28	47.018	37.09	8,827.42	(2,362.86) LT		
579				29,084.19	50.232		21,475.11	(7,609.08)	2.426	521.10
94	MERCK & CO INC NEW	MRK	12/26/03	4,236.58	45.07	36.04	3,387.76	(848.82)* LT		
153			01/23/04	7,025.76	45.92	36.04	5,514.12	(1,511.64)* LT		
135			02/26/04	6,424.65	47.59	36.04	4,865.40	(1,559.25)* LT		
56			03/02/05	3,028.80	31.55	36.04	3,459.84	431.04* LT		
372			02/14/06	12,871.20	34.60	36.04	13,406.88	535.68* LT		
14			03/31/09	2,540.37	27.025	36.04	3,387.76	847.39 LT		
177			06/05/09	4,646.23	26.249	36.04	6,379.08	1,732.85 LT		
153			07/01/09	4,280.57	27.977	36.04	5,514.12	1,233.55 LT		
1,274				45,054.18	35.364		45,914.98	860.80	4.217	1,936.48
694	MICROSOFT CORP	MSFT	03/02/05	17,565.14	25.31	27.91	19,369.54	1,804.40* LT		
1,742			02/14/06	46,110.74	26.47	27.91	48,619.22	2,508.48* LT		
2,436				63,875.88	26.14		67,988.78	4,112.88	2.293	1,559.04
503	MICROCHIP TECHNOLOGY INC	MCHP	01/03/07	17,036.61	33.87	34.21	17,207.63	171.02* LT		
50	NESTLE S A SPONSORED ADR	NSRGY	01/23/04	1,343.00	26.86	58.82	2,941.00	1,598.00* LT		
140			03/26/04	3,712.80	26.52	58.82	8,234.80	4,522.00* LT		
135			03/02/05	9,313.00	27.80	58.82	19,704.70	10,391.70* LT		

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE

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Reserved
Client Statement
December 1 - December 31, 2010

MorganStanley
SmithBarney

Ref 0018139 00102519

RICE FOUNDATION Account number 383-1630C-19 JD1

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
910	NESTLE S A SPONSORED ADR	NSRGY	02/14/06	\$ 26,289.00	\$ 28.90	\$ 58.82	\$ 53,526.20	\$ 27,227.20* LT		
359			11/30/09	17,008.81	47.378	58.82	21,116.38	4,107.57 LT		1,609.22
1,794				57,676.61	32.15		105,523.08	47,846.47	1,524	
142	NEWS CORP CLASS A NEW	NWSA	10/27/03	2,037.70	14.35	14.56	2,096.64	47.52* LT		
144			11/25/03	2,049.12	14.23	14.56	2,096.64	47.52* LT		
142			12/26/03	2,037.70	14.35	14.56	2,096.64	47.52* LT		
74			01/23/04	1,233.58	16.67	14.56	1,077.44	(156.14)* LT		
146			02/26/04	2,382.72	16.32	14.56	2,125.76	(256.96)* LT		
201			1/20/04	3,716.49	18.49	14.56	2,926.56	(789.93)* LT		
543			03/02/05	9,095.25	16.75	14.56	7,906.08	(1,189.17)* LT		
15			07/07/05	239.55	15.97	14.56	218.40	(21.15)* LT		
1,363			02/14/06	21,848.89	16.03	14.56	19,845.28	(2,003.61)* LT		
2,770				44,641.00	16.116		40,331.20	(4,309.80)	1.03	415.50
244	NOVO NORDISK A S ADR	NVO	04/23/08	16,128.18	66.099	112.57	27,467.08	11,338.90 LT		
272			06/09/08	18,279.27	67.203	112.57	30,619.04	12,339.77 LT		
516				34,407.45	66.681		58,086.12	23,678.67	869	505.16
172	OCCIDENTAL PETROLEUM CORP DEL	OXY	10/22/04	4,982.84	28.97	98.10	16,873.20	11,890.36* LT		
16			12/08/04	434.40	27.15	98.10	1,569.60	1,135.20* LT		
90			12/20/04	2,628.90	29.21	98.10	8,829.00	6,200.10* LT		
54			03/02/05	1,912.14	35.41	98.10	5,297.40	3,385.26* LT		
58			10/11/05	2,295.64	39.58	98.10	5,689.80	3,394.16* LT		
276			02/14/06	12,066.72	43.72	98.10	27,075.60	15,008.88* LT		
51			11/27/09	4,377.80	81.07	98.10	5,297.40	919.60 LT		
720				28,698.44	39.859		70,632.00	41,933.56	1,549	1,094.40
94	PEPSICO INC	PEP	11/25/03	4,479.10	47.65	65.33	6,141.02	1,661.92* LT		
124			12/26/03	5,768.48	46.52	65.33	8,100.82	2,332.44* LT		
115			01/23/04	5,311.85	46.19	65.33	7,512.95	2,201.10* LT		
56			02/26/04	2,906.40	51.90	65.33	3,658.48	752.08* LT		
206			03/02/05	11,119.88	53.98	65.33	11,457.98	2,338.10* LT		
556			02/14/06	32,081.20	57.70	65.33	36,323.48	4,242.28* LT		
1,151				61,665.91	53.577		75,194.83	13,527.92	2,838	2,209.92
167	PHILIP MORRIS INTL INC	PM	10/27/03	4,030.82	24.136	58.53	9,774.51	5,743.69* LT		
142			11/25/03	3,823.51	26.926	58.53	8,311.26	4,487.75* LT		
143			12/26/03	4,068.43	28.45	58.53	8,369.79	4,301.36* LT		
157			01/23/04	4,533.21	28.873	58.53	9,189.21	4,656.00* LT		

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE

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Account number 383-1630C-19 JD1

RICE FOUNDATION

Common stocks & options continued									
Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield (annualized)
125	PHILIP MORRIS INTL INC	PM	02/26/04	\$ 3,761.42	\$ 30.091	\$ 58.53	\$ 7,310.25	\$ 3,554.83* LT	2,514.51* LT
94			12/20/04	2,987.31	31.779	58.53	5,501.82		
268			03/02/05	9,327.00	34.802	58.53	15,686.04	6,359.04* LT	
841			02/14/06	32,193.35	38.279	58.53	40,223.73	17,030.38* LT	
492			04/12/08	24,740.02	50.284	58.53	28,796.76	4,056.74 LT	
246			01/13/09	10,394.56	42.254	58.53	14,398.38	4,003.82 LT	
158			03/31/09	5,776.96	36.246	58.53	9,247.74	3,520.78 LT	
2,833				105,586.59	37.27		165,815.49	60,228.90	4,373 7,252.48
159	PRAXAIR INC	PX	03/10/05	7,598.61	47.79	95.47	15,179.73	7,581.12* LT	
126			07/14/06	6,706.98	53.23	95.47	12,029.22	5,322.24* LT	
110			03/21/06	6,286.50	57.15	95.47	10,501.70	4,215.20* LT	
139			05/15/06	7,763.15	55.85	95.47	13,270.33	5,507.18* LT	
534				28,355.24	53.10		50,980.98	22,625.74	1,885 961.20
176	PROCTER & GAMBLE CO	PG	12/26/03	8,650.40	49.15	64.33	11,327.08	2,671.68* LT	
150			01/23/04	7,377.00	49.18	64.33	9,040.50	2,272.50* LT	
128			02/26/04	6,583.04	51.43	64.33	8,234.24	1,651.20* LT	
290			03/02/05	15,558.50	53.65	64.33	18,655.70	3,097.20* LT	
611			02/14/06	36,525.58	59.78	64.33	39,305.63	2,780.05* LT	
1,355				74,694.52	55.125		87,167.15	12,472.63	2,995 2,611.09
270	PRUDENTIAL FINANCIAL INC	PRU	04/03/07	24,566.49	90.987	58.71	15,851.70	(8,714.79) LT	
27			08/15/07	2,301.19	85.229	58.71	1,585.17	(716.02) LT	
297				26,867.68	90.464		17,436.87	(9,430.81)	1,958 341.55
440	QUALCOMM INC	QCOM	12/01/06	15,901.60	36.14	49.49	21,775.00	5,874.00* LT	
319			05/23/08	14,976.70	46.948	49.49	15,787.31	810.61 LT	
759				30,878.30	40.683		37,562.91	6,684.61	1,535 576.84
475	RIO TINTO PLC GBP	RIO	10/21/10	30,759.48	64.756	71.66	34,038.50	3,279.02 ST	1,233 419.90
328	ROCHE HLDG LTD SPON ADR	RHHBY	01/20/10	14,579.67	44.45	36.65	12,021.20	(2,558.47) ST	
669			02/03/10	28,258.02	42.239	36.65	24,518.85	(3,739.17) ST	
997				42,837.69	42.967		36,540.05	(6,297.64)	3,165 1,156.52
45	ROYAL DUTCH SHELL PLC ADR	RDSA	10/27/03	2,004.75	44.55	66.78	3,005.10	1,000.35* LT	
41	CL A		11/25/03	1,963.72	44.03	66.78	2,938.32	974.60* LT	
32			12/26/03	1,639.68	51.24	66.78	2,136.96	497.28* LT	
52			01/23/04	2,407.04	48.02	66.78	3,472.56	975.52* LT	
42			02/26/04	2,068.92	49.26	66.78	2,904.16	735.84* LT	
711			03/01/05	1,771.56	63.77	66.78	1,960.84	189.28* LT	

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE E

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Client Statement
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MorganStanley
SmithBarney

Ref 00018139 00102521

Account number 383-1630C-19 JD1

RICE FOUNDATION

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
115	ROYAL DUTCH/SHELL PLC ADR	RDSA	06/27/05	\$ 7,406.00	\$ 64.40	\$ 66.78	\$ 7,679.70	\$ 273.70* LT		
341	CL A		02/14/06	21,210.20	62.20	66.78	22,771.98	1,561.78* LT		
202			10/28/08	9,705.43	48.046	66.78	13,489.56	3,784.13 LT		
155			03/31/09	6,901.89	44.528	66.78	10,350.90	3,449.01 LT		
108			02/22/10	6,022.06	55.759	66.78	7,212.24	1,190.18 ST		
1,164				63,191.25	54.288		77,731.92	14,540.67	4.276	3,324.38
335	SCHLUMBERGER LTD	SLB	08/27/08	32,710.17	97.642	83.50	27,972.50	(4,737.67) LT	1.005	281.40
147	SVSCO CORP	SVY	11/04/04	5,156.76	35.08	29.40	4,321.80	(834.96)* LT		
7			17/08/04	69.82	34.91	29.40	58.80	(11.02)* LT		
69			12/20/04	2,514.36	36.44	29.40	2,028.60	(485.76)* LT		
115			03/02/05	4,000.85	34.79	29.40	3,381.00	(619.85)* LT		
384			02/14/06	11,688.96	30.44	29.40	11,289.60	(399.36)* LT		
717				23,430.75	32.679		21,079.80	(2,350.95)	3.537	745.68
54	TARGET CORP	TGT	11/25/03	2,107.08	39.02	60.13	3,247.02	1,139.94* LT		
50			17/26/03	3,407.40	37.86	60.13	5,411.70	2,004.30* LT		
100			01/23/04	3,029.70	38.74	60.13	4,810.40	1,711.20* LT		
42			02/26/04	1,816.08	43.24	60.13	2,525.46	709.38* LT		
82			03/02/05	4,249.24	51.82	60.13	4,930.66	681.42* LT		
343			02/14/06	19,358.52	54.84	60.13	21,225.89	1,867.37* LT		
149			07/21/06	6,870.39	46.11	60.13	8,959.37	2,088.98* LT		
850				40,907.91	48.127		51,110.50	10,202.59	1.663	850.00
545	TEXAS INSTRUMENTS INC	TXN	12/01/06	15,761.40	28.92	32.50	17,712.50	1,951.10* LT		
404			08/28/07	15,804.12	34.06	32.50	15,080.00	(724.12) LT		
335			10/31/07	10,926.39	37.616	32.50	10,887.50	(38.89) LT		
107			10/20/10	8,599.41	28.011	32.50	9,977.50	1,378.09 ST		
1,051				51,091.32	30.948		53,657.50	2,566.18	1.60	858.52
182	TOTAL S.A SPONS ADR	TOT	02/28/05	10,606.96	58.28	53.48	9,733.36	(873.60)* LT		
78			03/02/05	4,542.72	58.24	53.48	4,171.44	(371.28)* LT		
130			04/21/05	7,230.60	55.62	53.48	6,952.40	(278.20)* LT		
20			10/11/05	1,280.60	64.03	53.48	1,039.60	(241.00)* LT		
10			12/07/05	998.88	62.43	53.48	855.68	(143.20)* LT		
368			02/14/06	23,235.52	63.14	53.48	19,680.64	(3,554.88)* LT		
123			10/28/08	5,774.63	46.948	53.48	6,578.04	803.35 LT		
51			03/31/09	2,498.33	48.986	53.48	2,727.48	229.15 LT		

Revised - Taylor

Morgan Stanley
Smith Barney

Ref: 00018178 00102572

**Reserved
Client Statement**

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RICE FOUNDATION
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36-6043160

**PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS**

SCHEDULE

E

Account number 383-1630C-19 JD1

RICE FOUNDATION

Common stocks & options *(continued)*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
143	TOTAL S.A SPONS ADR	TOT	02/22/10	\$ 8,333.60	\$ 58.276	\$ 53.48	\$ 7,647.64	(\$ 685.96) ST		
1,111				64,501.90	58.059		59,416.28	(5,085.62)	4.642	2,758.61
457	UNITED TECHNOLOGIES CORP	UTX	05/15/06	29,627.31	64.83	78.72	35,975.04	6,347.73* LT		
1*			06/22/06	922.95	61.53	78.72	1,080.80	257.85* LT		
244			10/31/07	18,454.48	75.033	78.72	19,207.68	753.20 LT		
716				49,004.74	68.442		56,363.52	7,358.78	2.159	1,217.20
549	WAL MART STORES INC	WMT	02/14/06	25,501.05	48.45	53.93	29,607.57	4,106.52* LT		
251			03/31/09	12,905.42	51.365	53.93	13,644.29	648.87 LT		
134			05/14/09	5,610.40	49.214	53.93	6,148.02	537.62 LT		
41			06/04/09	2,179.18	50.678	53.93	2,318.99	139.81 LT		
959				46,286.05	48.265		51,718.87	5,432.82	2.243	1,160.39
77	WALGREEN CO NEW	WAG	10/27/03	2,597.98	33.74	38.96	2,998.92	401.94* LT		
99			11/25/03	3,630.33	36.67	38.96	3,857.04	226.71* LT		
128			12/26/03	4,574.72	35.74	38.96	4,986.88	412.16* LT		
134			01/23/04	4,685.98	34.97	38.96	5,220.64	534.66* LT		
110			02/26/04	3,886.30	35.33	38.96	4,285.60	399.30* LT		
1			03/02/04	35.31	35.31	38.96	38.96	3.65* LT		
157			03/02/05	6,862.47	43.71	38.96	6,116.72	(745.75)* LT		
639			02/14/06	28,397.16	44.44	38.96	24,895.44	(3,501.72)* LT		
200			06/02/09	6,330.90	31.654	38.96	7,792.00	1,461.10 LT		
1,545				61,001.15	39.483		60,193.20	(807.95)	1.796	1,081.50
Total common stocks and options				\$ 2,629,549.55			\$ 3,174,609.51	\$ 11,927.41 ST	2.47	
Total portfolio value				\$ 2,768,030.95			\$ 3,301,090.91	\$ 11,927.41 ST	2.38	\$ 78,607.09
								\$ 533,132.55 LT		

*Based on information supplied by client or other financial institution, not verified by us

Ref: 000018155 (0010/484)

Yield is the current distribution annualized divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital.
Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. "Tax-Based Cost vs. Current Value" is being provided for information purposes only. "Cash Distributions (since inception)" when shown, may reflect distributions on positions no longer held in the account and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 11/1/89, asset transfers, recent adjustments made in your account. "Total Purchases vs. Current Value" is provided to assist you in comparing your "total purchases" including reinvested distributions with the current value of the fund's shares in your account. "Fund Value Increase/Decrease" reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
39,707 1632	INVESCO INTERNATIONAL GROWTH	AIYYX	04/25/07	\$ 1,300,000 00	\$ 32 739	\$ 27 60	\$ 1 085,917 70	(\$ 204 082 30)	LT		
39,707 / 1632	Total Purchases			1,300,000 00	32 74	27 60	1,085,917 70	(204,082 30)			
4,069 7338	Reinvestments to date			111,554 15	27 41	27 60	112,324 65	770 50	LT		
535 842	Reinvestments to date			14 494 53	27 05	27 60	14,789 24	294 71	ST		
44,312 739	Tax-based Cost vs Current Value			1,426,048 68	32 181		1,223,031 59	(203,017 09)		1 199	14,667 51
	Total Purchases vs Current Value			1,300,000 00			1,223,031 59	(76,968 41)			
	Fund Value Increase/Decrease							(76,968 41)			
57,721 693	BLACKROCK GLOBAL ALLOCATION FD	MALOX	04/24/07	1 107,403 00	19 185	19 50	1 125,573 01	18,170 01	LT		
98,237 2681	INC CL INSTITUTIONAL Rating CG RESEARCH AL		05/10/07	1,892,697 01	19 205	19 50	1,915,626 73	23,029 72	LT		
155,958 9611	Total Purchases			3,000,000 01	19 24	19 50	3,041,199 74	41,199 73			
23,220 7869	Reinvestments to date			408,725 24	17 601	19 50	452,805 34	44,080 10	LT		
2,007 456	Reinvestments to date			48 830 84	18 727	19 50	50 845 39	2,014 55	ST		
181,787 204	Tax based Cost vs Current Value			3,457,556 09	19 02		3,544,850 47	87,294 38		1 394	49,446 11
	Total Purchases vs Current Value			3,000,000 01			3,544,850 47	544,850 46			
	Fund Value Increase/Decrease							544,850 46			
96,618 357	FATON VANCE GLOBAL MACRO ABSOLUTE RETURN FD CL I Rating CG RESEARCH AL	EIGMX	10/01/10	1 000 000 00	10 35	10 27	992 270 53	(7,729 47)	ST		

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE

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Client Statement
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RICE FOUNDATION

MorganStanley
SmithBarney

Ref 00018135 001021485

Account number 383-1629C-11 JDT

Mutual funds	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/Decrease	Anticipated income (annualized)
continued										
EATON VANCE GLOBAL MACHRO		EIGMX								
96,618,357	Absolute Return Fd Cl I			\$ 1,000,000.00	\$ 10.35	\$ 10.27	\$ 992,270.53	(\$ 7,729.47)		
695,632	Total Purchases			7,175.77	10.315	10.27	7,144.14	(31.63)	ST	
97,313,989	Reinvestments to date			1,007,175.77	10.35		999,414.67	(7,761.10)	5,374	53,717.32
	Tax based Cost vs Current Value			1,000,000.00			999,414.67		(585.33)	
	Total Purchases vs Current Value								(585.33)	
	Fund Value Increase/Decrease								(585.33)	
32,076,921	FRANKLIN GOLD & PRECIOUS	FGADX	06/01/06	1,006,308.15	31.372	53.23	1,707,407.22	701,099.57*	LT	
1,234,893	METALS		12/05/06	41,190.34	33.355	53.23	65,733.53	24,543.19*	LT	
33,310,938	Rating CG RESEARCH AL									
8,676,306	Total Purchases			1,047,498.49	31.45	53.23	1,773,141.25	725,642.76		
6,520,046	Reinvestments to date			338,142.65	38.972	53.23	461,844.06	123,701.41	LT	
48,510,371	Reinvestments to date			333,001.50	51.05	53.23	347,221.74	14,220.24	ST	
	Tax based Cost vs Current Value			1,718,642.64	35.428		2,582,207.05	863,564.41	10,417	268,990.00
	Total Purchases vs Current Value			1,047,498.49			2,582,207.05		1,534,708.56	
	Fund Value Increase/Decrease								1,534,708.56	
50,730,519	JOHN HANCOCK LARGE CAP	JLVIX	03/25/10	1,250,000.00	24.64	26.84	1,361,607.13	111,607.13	ST	
50,730,519	EQUITY FUND CL I									
775,142	Total Purchases			1,250,000.00	24.64	26.84	1,361,607.13	111,607.13		
51,465,661	Reinvestments to date			19,444.51	26.45	26.84	19,731.21	286.70	ST	
	Tax based Cost vs Current Value			1,269,444.51	24.868		1,381,338.34	111,893.83	1,426	19,711.34
	Total Purchases vs Current Value			1,250,000.00			1,381,338.34		131,338.34	
	Fund Value Increase/Decrease								131,338.34	
25,321,8636	JANUS OVERSEAS FUND CL I	JIGFX	04/25/07	1,300,000.00	51.334	50.71	1,284,173.12	(15,826.88)	LT	
25,323,8636	Rating CG RESEARCH FL									
4,341,0304	Total Purchases			1,300,000.00	51.33	50.71	1,284,173.12	(15,826.88)		
78,827	Reinvestments to date			179,368.97	41.30	50.71	220,235.07	40,866.10	LT	
29,745,721	Reinvestments to date			3,968.94	50.35	50.71	3,997.32	28.38	ST	
	Tax based Cost vs Current Value			1,483,337.91	49.867		1,508,405.51	25,067.60	264	3,985.92
	Total Purchases vs Current Value			1,300,000.00			1,508,405.51		208,405.51	
	Fund Value Increase/Decrease								208,405.51	
1,475,205,437	NATIXIS FDS TR I	IIDPX	04/23/07	16,861,609.60	11.43	10.41	15,356,899.01	(1,504,710.59)	LT	
1,475,205,437	INCOME DIVERSIFIED PORT CL A									
288,261,278	Total Purchases			16,861,609.60	11.43	10.41	15,356,899.01	(1,504,710.59)		
66,996,607	Reinvestments to date			2,628,919.65	9.118	10.41	3,000,799.90	371,880.25	LT	
	Reinvestments to date			657,130.11	9.808	10.41	697,434.08	40,304.17	ST	

December 1 - December 31, 2010

Ref: 00018135 00102486

Morgan Stanley
Smith Barney

Account number 383-1629C-11 JD1

RICE FOUNDATION

SCHEDULE

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

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December 1 - December 31, 2010

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RICE FOUNDATION

Mutual funds	Description	Symbol	Date acquired	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/Decrease	Anticipated income (annualized)
71,622 3209	FUND CLAYSI Rating CG RESEARCH FL	JVXIX	06/16/08	\$ 26.087	\$ 22.33	\$ 1,599,326.43	(\$ 269,815.86) LT		
71,622 3209	Total Purchases			26.10	22.33	1,599,326.43	(269,815.86)		
1,901 9511	Reinvestments to date			18.234	22.33	42,515.23	7,798.40 LT		
1,272 126	Reinvestments to date			28.381 13	22.309	28,406.57	25.44 ST		
74,798 398	Tax-based Cost vs Current Value			25.833		1,670,248.23	(261,992.02)	1,728	28,872.18
	Total Purchases vs Current Value					1,670,248.23	(198,894.06)		
	Fund Value Increase/Decrease						(198,894.06)		
20,379 7652	WELLS FARGO ADVANTAGE PRECIOUS	EKWYX	02/03/06	1,051,495.63	51.595	1,797,087.70	745,591.07* LT		
1,113 5532	METALS INSTL CL		12/05/06	66,139.20	59.394	96,193.12	32,053.92* LT		
79 8261			12/05/06	4,742.04	- 59.404	7,039.07	2,297.03* LT		
21,573 1445	Total Purchases			1,122,377.87	52.03	1,902,319.89	779,942.02		
3,510 8335	Reinvestments to date			186,092.13 r	53.005	309,585.30	123,493.17 LT		
3,200 086	Reinvestments to date			284,898.55 r	89.011	282,236.49	(2,662.06) ST		
28,284 664	Tax-based Cost vs Current Value			1,593,368.55	56.333	2,494,141.68	900,773.13	4,855	121,114.93
	Total Purchases vs Current Value			1,122,377.87		2,494,141.68	1,371,763.81		
	Fund Value Increase/Decrease						1,371,763.81		
Total mutual funds (Tax based)				\$ 46,209,720.12		\$ 47,683,568.62	\$ 106,241.13 ST	3.55	\$ 1,694,861.32
Total Fund Value Increase/Decrease							\$ 1,387,607.37 LT		
Total portfolio value				\$ 46,675,642.48		\$ 48,149,490.96	\$ 106,241.13 ST	3.62	\$ 1,695,140.87
							\$ 1,387,607.37 LT		

*Based on information supplied by client or other financial institution, not verified by us

One or more reinvestments have been supplied by client or by your Financial Advisor

John H. - Head of Info

Ref: 000111166 00107317

MorganStanley
SmithBarney

Reserved
Client Statement

December 1 - December 31, 2010

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Account number 383-1632C-15 JD1

RICE FOUNDATION

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc (CGMI). In most cases, these values are as of 12/31/10, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com

We do not have a "hold" or "locked" or "restricted" status on the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Securities" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: interest-related (loss) is being shown for informational purposes only, and should not be used for tax preparation without the assistance of your tax advisor.

Alternative Investments

The value and prices assigned to the investments are estimates based on available information typically received from the funds or other sources. These values and prices may not be realized upon the sale or ultimate disposition of the investment and may not reflect more recent market volatility. Citigroup Global Markets Inc (CGMI) does not maintain custody of these investments. They are listed below solely as a service to the customer and are not covered by SIPC. If your investment is held in "nominee name" at your request, CGMI is the owner of record on the books and records of the issuer. The issuer will only recognize CGMI as the owner of the investment for all purposes including, but not limited to, communications, capital calls and distributions. CGMI is not required to take any action with respect to your investment unless valid instructions are received from you in a timely manner. Some positions reflected on your statement may not represent interests in the fund, but rather redemption proceeds withheld by the issuer pending fund valuations which are not subject to the investment performance of the fund and may or may not accrue interest for the length of the withholding.

Cash distributions from these investments are categorized as "other income" hereon and will not be reported as taxable income on your year-end Form 1099. You will receive either a Schedule K-1 or such other documentation from the fund each year for use in preparing your annual tax return. Cash distributions that are classified as income may in fact be a return of principal as a result of a sale of assets, particularly private equity and real estate funds. For more information regarding the source of a cash distribution, please consult the fund's annual reports, investment review or year-end tax report.

Due to delays in the availability of the quarterly estimated values for private equity and real estate funds and for certain hedge and fixed income alternatives that report off cycle quarterly estimated values, this report could contain valuation information specific to valuation dates that are inconsistent with other holdings and reflect your contributions and distributions subsequent to the valuation dates, if any, as approved to the actual valuation of underlying assets, which in most cases is going to be prior to the "as of" date on your statement.

The source on these investments designated "RPTG ONLY" is limited to performance reporting only. No investment advice or research is provided. If you have any questions, please contact your Financial Advisor.

SCHEDULE

H

Hedge Funds and Funds of Hedge Funds	Commitment/ Aggregate Investment	No. of Units	NAV	As of	Estimated value	Estimated value + Distributions + Redemptions
WORTH LAGSHP FUND LTD	\$ 1,200,000			11/30/10	\$ 1,293,678	\$ 1,293,678
HF KINETICS LTD	1,400,000			11/30/10	867,887	867,887

December 1 - December 31, 2010

Account number 383-1632C-15 JD1

RICE FOUNDATION

Alternative Investments (continued)					
Hedge Funds and Funds of Hedge Funds	Commitment/ Aggregate Investment	No. of Units	NAV	As of	Estimated value + Distributions + Redemptions
HF PAULSON ADV FUND LTD CL C	\$ 1,000,000			11/30/10	\$ 1,118,504
HF CHILTON GREEN SLTD	1,000,000			11/30/10	1,141,815
Total Alternative Investments					\$ 4,421,884.00

Message *Important information if you are a margin customer*

If you have a margin account with us, as permitted by law we may use certain securities in your account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection therewith.

Information regarding commissions and charges will be made available to you promptly upon request. Please advise Morgan Stanley Smith Barney of any material changes in your financial objectives or financial situation. All checks written and deposited to your account must be made payable to Citigroup Global Markets Inc. A Joint or Statement of CitiGroup Global Markets Inc. is available for your personal inspection at all offices, on a copy of it will be mailed upon your written request. If you believe there are any inaccuracies or discrepancies in your account, you must promptly contact Citigroup Global Markets Inc. at 212-723-9903 and the Manager of the branch servicing your account (see page 1 of statement for address and phone number). To protect your rights, you must have under the Securities Investor Protection Act (SIPITA) you should reconfirm all of communications with Morgan Stanley Smith Barney. Attention: Equity Dispute Resolution Group, 485 Lexington Avenue, 14th Floor, New York, NY 10017.

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART II--BALANCE SHEETS
2010

LINE 11--INVESTMENTS--LAND, BUILDINGS AND EQUIPMENT

	COST BASIS	ACCUM. DEPREC.	BOOK VALUE AT COST	FAIR MARKET VALUE
	<u> </u>	<u> </u>	<u> </u>	<u> </u>
RICE LAND	630,922	-	630,922	630,922
DE KALB LAND:				
8236 FAIRVIEW	55,000	-	55,000	55,000
8846 FAIRVIEW	67,000	-	67,000	67,000
8468 FAIRVIEW	11,452	-	11,452	11,452
FARM LAND	1,833,099	-	1,833,099	3,300,000
ANNIE GLIDDEN ROAD	4,161,686	-	4,161,686	4,161,686
WOODFORD FARM LAND	360,841	-	360,841	360,841
	<u> </u>	<u> </u>	<u> </u>	<u> </u>
TOTALS	7,120,000	-	7,120,000	8,586,901
	=====	=====	=====	=====

RICE FOUNDATION
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2010

PART II--BALANCE SHEETS

LINE 13--OTHER INVESTMENTS

	COST	FAIR MARKET VALUE
OIL & GAS PROPERTIES	5,332,541	1,063,308

RICE FOUNDATION
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2010

PART II--BALANCE SHEETS

LINE 15--OTHER ASSETS

	COST	FAIR MARKET VALUE
2009 FEDERAL EXCISE TAX REFUND RECEIVABLE	15,142	15,142
2009 ILLINOIS INCOME TAX REFUND RECEIVABLE	4,293	4,293
DEPOSIT OF ESTIMATED EXCISE TAX--YEAR 2010	70,000	70,000
DEPOSIT OF ESTIMATED STATE INCOME TAX--YEAR 2010	800	800
PARTNERSHIP INTEREST --BIRK, KENN, BACH I	2,232,418	2,470,136
PARTNERSHIP INTEREST --BIRK, KENN, BACH II	986,038	1,091,008
PARTNERSHIP INTEREST -- WESTMINSTER FUND PARTNERSHIP VI	293,370	293,370
PARTNERSHIP INTEREST -- WESTMINSTER FUND PARTNERSHIP VII	427,849	427,849
TOTALS	4,029,910	4,372,598

RICE FOUNDATION
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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE OF NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10
FOR THE YEAR ENDED DECEMBER 31, 2010

SCHEDULE 1

SCHEDULE 3--SECURITIES	159,185
CAPITAL GAIN DISTRIBUTIONS	562,837
TOTAL CAPITAL GAINS	<u>722,022</u>

RICE FOUNDATION
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PART IV
CAPITAL GAINS & LOSSES

NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10
FOR THE YEAR ENDED DECEMBER 31, 2010

SCHEDULE 2

NO TRANSACTIONS

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 3

RICE FOUNDATION
SUMMARY SCHEDULE OF NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10
FOR THE YEAR ENDED DECEMBER 31, 2010

SCHEDULE 4 -- AGENCY ACCOUNT	(88,217)
SCHEDULE 5-- CHASE BANK	-
SCHEDULE 6-- MET WEST LARGE VALUE (FORMERLY CALLED NFJ)	(34,858)
SCHEDULE 7 -- WELLS	119,931
SCHEDULE 8 -- FAYEZ	(60,890)
SCHEDULE 9 -- MDT	162,168
SCHEDULE 10 -- ADVISOR ACCOUNT	61,051
SCHEDULE 11 -- HEDGED FUNDS	-

TOTAL

159,185

RICE FOUNDATION
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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 4

SCHEDULE OF GAINS AND LOSSES ON SALE OF SECURITIES

AGENCY ACCOUNT
FOR THE YEAR ENDED DECEMBER 31, 2010

	DATE PURCHASED	DATE SOLD	COST	SELLING PRICE	GAIN (LOSS)
8,000 AEGON NV FLOATER	11/18/05	12/2/10	200,000.00	166,631.17	(33,368.83)
5,000 MANSFELDER METALS, LTD	2/15/00	12/2/10	35,212.63	302.24	(34,910.39)
4,000 CABCO SER 2004-101 FL RT	8/19/05	12/2/10	94,840.00	75,436.71	(19,403.29)
200,000 BANK OF CHINA--NEW YORK	4/26/10	12/2/10	200,000.00	199,466.00	(534.00)
TOTALS			530,052.63	441,836.12	(88,216.51)

**Reserved
Client Statement**

January 1 - January 31, 2010

MorganStanley
SmithBarney

Ref - Ref 6

Ref 00008783 00062017

Account number 383-1595C-14 JD1

RICE FOUNDATION

Other dividends	Description	Comment	Taxable	Non-taxable	Amount
01/25/10	ANNUAL CAPITAL MANAGEMENT INC	CASH DIV ON 6075 0000 SHS X/D 12/24/09 FOR TAX PURPOSES, THIS TRANS ACTION IS REPORTABLE IN 2009	\$ 4,556.25		\$ 4,556.25
Total other dividends credited to account					
Total other dividends earned 2009			\$ 4,556.25	\$ 0.00	\$ 4,556.25

Money fund earnings	Description	Comment	Taxable	Non-taxable	Amount
01/29/10	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 01/04/10 01/31/10 78 DAYS AVERAGE YIELD .07 %	\$ 7.27		\$ 7.27
Total earnings from money fund					
			\$ 7.27	\$ 0.00	\$ 7.27

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. If you specify instructions from your broker, we will adhere to the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your investment activity has been summarized. Single lots have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
BECTON DICKINSON & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	05/22/09	01/12/10	40	\$ 66.07	\$ 77.418	\$ 2,642.84	\$ 3,098.71	\$ 453.87 ST
		Sold						
	05/26/09	01/12/10	25	\$ 66.958	\$ 77.418	\$ 1,673.95	\$ 1,935.44	\$ 261.48 ST
BECTON DICKINSON & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	05/29/09	01/12/10	130	\$ 67.178	\$ 77.418	\$ 8,733.23	\$ 10,064.32	\$ 1,331.09 ST
		Sold						
	05/29/09	01/13/10	190	\$ 67.178	\$ 77.613	\$ 12,763.95	\$ 14,746.28	\$ 1,982.33 ST
BECTON DICKINSON & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	06/01/09	01/13/10	95	\$ 68.076	\$ 77.613	\$ 6,462.54	\$ 7,373.14	\$ 910.60 ST
		Sold						

SCHEDULE

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**PART IV
CAPITAL GAINS & LOSSES**

RICE FOUNDATION
FORM 990-PF 2010
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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

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Client Statement
January 1 - January 31, 2010
RICE FOUNDATION
Account number 383-1595C-14 JD1

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Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
BECTON DICKINSON & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	06/01/09	01/14/10 Sold	205	\$ 68,026	\$ 76,648	\$ 13,945.47	\$ 15,712.87	\$ 1,767.40 LT
Total			685			\$ 40,221.99	\$ 52,928.78	\$ 6,706.77
MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/08	01/08/10 Sold	575	\$ 24.327	\$ 30.741	\$ 13,988.20	\$ 17,675.96	\$ 3,687.76 LT
	10/28/08	01/08/10 Sold	525	21.842	30.741	11,467.47	16,138.92	4,671.45 LT
	11/03/08	01/08/10 Sold	675	22.773	30.741	15,372.18	20,750.04	5,377.86 LT
	11/11/08	01/08/10 Sold	75	20.915	30.741	1,568.63	2,305.57	736.94 LT
	11/11/08	01/12/10 Sold	550	20.915	30.031	11,503.25	16,516.84	5,013.59 LT
Total			2,400			\$ 53,899.73	\$ 73,387.33	\$ 19,487.60
Total Long Term this period								\$ 19,487.60
Total Short Term this period								\$ 6,706.77
Total realized gain or (loss) - this period						\$ 100,121.72	\$ 128,318.09	\$ 28,194.37
Total Long Term - year to-date								\$ 19,487.60
Total Short Term - year to-date								\$ 6,706.77
Total realized gain or (loss) - year-to-date						\$ 100,121.72	\$ 128,318.09	\$ 28,194.37

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account or if there have been any changes in your financial situation investment objectives requested restrictions or other instructions which might affect the services to be provided to you in the manner in which your assets should be invested.

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account. If you would like a copy, please contact your Financial Advisor.

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

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Client Statement
February 1 - February 28, 2010
MorganStanley
SmithBarney

Ref: 00009126 00052337

Account number 383-1595C-14 JD1

RICE FOUNDATION

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

EARNINGS DETAILS

Qualified dividends	Date	Description	Comment	Taxable	Non-taxable	Amount
	02/01/10	AT&T INC	CASH DIV ON 1,802,0000 SHS X/D 01/06/10	\$ 756.04		\$ 756.04
	02/01/10	EDISON INTERNATIONAL	CASH DIV ON 1375 0000 SHS X/D 12/29/09	433.13		433.13
	02/01/10	FREIGHTPORT MC MORAN COPPER & GOLD CL B	CASH DIV ON 620 0000 SHS X/D 01/13/10	93.00		93.00
	02/01/10	VERIZON COMMUNICATIONS	CASH DIV ON 1985 0000 SHS X/D 01/06/10	942.88		942.88
	02/17/10	NEW YORK COMMUNITY BANKCORP	CASH DIV ON 3650 0000 SHS X/D 02/03/10	912.50		912.50
Total qualified dividends earned				\$ 3,138.35	\$ 0.00	\$ 3,138.35

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
02/26/10	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 02/01/10 02/28/10 28 DAYS AVERAGE YIELD .07 %	\$ 7.04		\$ 7.04
Total earnings from money fund				\$ 7.04	\$ 7.04

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from your trader or advisor using the FIFO (first-in, first-out) method, Day traders should therefore not rely on this version for day trading results. Your investment activity has been summarized. Single line items have been designated to distinguish Short term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
VALERO ENERGY CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/14/09	02/12/10 Sold	235	\$ 20.532	\$ 17.65	\$ 4,825.07	\$ 4,147.76	(\$ 677.31) ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

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Client Statement

February 1 - February 28, 2010

Account number 383-1595C-14 JD1

RICE FOUNDATION

Ref: 00009120 00002338

MorganStanley
SmithBarney

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
VALERO ENERGY CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/14/09	02/16/10 Sold	315	\$ 20,532	\$ 17,91	\$ 6,467.64	\$ 5,641.83	(\$ 825.81) ST
	04/16/09	02/16/10 Sold	475	20,839	17,91	9,898.91	8,507.52	(1,391.39) ST
	04/20/09	02/16/10 Sold	625	19,962	17,91	12,476.81	11,194.11	(1,282.70) ST
	04/23/09	02/16/10 Sold	290	20,916	17,91	6,065.64	5,194.06	(871.58) ST
	04/23/09	02/17/10 Sold	160	20,916	17,984	3,946.56	2,877.45	(469.11) ST
VALERO ENERGY CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	Total		2,100			\$ 43,080.63	\$ 37,562.73	(\$ 5,517.90)
Total Short Term this period						\$ 43,080.63	\$ 37,562.73	(\$ 5,517.90)
Total Long Term - year-to-date								\$ 19,487.60
Total Short Term - year-to-date								\$ 1,188.87
Total realized gain or (loss) - year-to-date						\$ 143,202.35	\$ 163,878.82	\$ 20,676.47

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account, or if there have been any changes in your financial situation, investment objectives, requested restrictions or other instructions which might affect the services to be provided to you in the manner in which your assets should be invested. We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account. If you would like a copy, please contact your Financial Advisor.

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

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Client Statement
March 1 - March 31, 2010

MorganStanley
SmithBarney

Ref: 00020121 00105121

Account number 383-1595C-14 JDI

RICE FOUNDATION

Money fund earnings	Description	Comment	Taxable	Non-taxable	Amount
03/31/10	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 03/01/10-03/31/10 31 DAYS AVERAGE YIELD .07 %	\$ 8.33		\$ 8.33
Total earnings from money fund			\$ 8.33	\$ 0.00	\$ 8.33

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from your trades are allocated using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AT&T INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/01/05	03/18/10 Sold	677	\$ 25.18	\$ 25.916	\$ 17,046.86	\$ 17,545.45	\$ 498.59* LT
	02/15/06	03/18/10 Sold	1,125	28.31	25.916	31,848.75	29,156.02	(2,692.73)* LT
Total			1,802			\$ 48,895.61	\$ 46,701.47	(\$ 2,194.14)
ALLSTATE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/02/06	03/18/10 Sold	315	\$ 54.48	\$ 31.281	\$ 17,161.20	\$ 9,853.67	(\$ 7,307.53)* LT
	03/08/06	03/18/10 Sold	285	54.31	31.281	15,478.35	8,915.23	(6,563.12)* LT
	03/10/06	03/18/10 Sold	195	54.57	31.281	10,641.15	6,095.89	(4,545.26)* LT
	03/10/06	03/18/10 Sold	110	54.32	31.281	5,975.20	3,440.96	(2,534.24)* LT
	03/17/06	03/18/10 Sold	335	54.81	31.281	18,361.35	10,479.30	(7,882.05)* LT
	05/05/08	03/18/10 Sold	300	51.206	31.281	15,361.95	9,384.45	(5,977.50)* LT
	11/13/08	03/18/10 Sold	375	25.435	31.281	9,536.46	11,700.17	2,163.71 LT
Total			1,915			\$ 92,617.66	\$ 69,904.07	(\$ 22,713.59)

PART IV
CAPITAL GAINS & LOSSES

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Client Statement
March 1 - March 31, 2010

MorganStanley
SmithBarney

Ref: 0020121 00106172

Account number 383-1595C-14 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ALTRIA GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/16/04	03/18/10 Sold	180	\$ 11 042	\$ 20 403	\$ 1 987 /23	\$ 3,672 49	\$ 1,684 76* LT
	03/01/05	03/18/10 Sold	270	15 40	20 403	4,158 19	5,508 74	1,350 55* LT
	02/15/06	03/18/10 Sold	465	17 012	20 403	7 910 94	9,487 27	1,576 33* LT
	04/20/07	03/18/10 Sold	300	21 151	20 403	6 345 52	6,120 82	(224 70) LT
	04/10/08	03/18/10 Sold	910	21 855	20 403	19 706 41	18 566 49	(1,139 92) LT
	04/11/08	03/18/10 Sold	550	21 342	20 403	12,592 25	12,037 62	(554 63) LT
	04/16/08	03/18/10 Sold	850	21 518	20 403	18 290 47	17 342 33	(948 14) LT
	03/11/09	03/18/10 Sold	500	16 404	20 403	8,202 35	10,201 37	1,999 02 LT
	03/13/09	03/18/10 Sold	675	16 452	20 403	11,105 10	13,771 86	2,666 76 LT
Total			4,740			\$ 80,298 98	\$ 98,708 99	\$ 8,410 03
AMERIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/27/07	03/18/10 Sold	400	\$ 50 112	\$ 25 671	\$ 20 044 80	\$ 10,268 47	(\$ 9,776 33) LT
	09/17/07	03/18/10 Sold	85	51 944	25 671	4,415 27	2 182 05	(2,233 22) LT
	09/18/07	03/18/10 Sold	315	52 72	25 671	16 606 93	8 086 42	(8,520 51) LT
	10/01/07	03/18/10 Sold	145	53 305	25 671	7,729 28	3,722 32	(4,006 96) LT
	10/02/07	03/18/10 Sold	255	53 377	25 671	13 611 34	6,546 15	(7 065 19) LT
	10/15/07	03/18/10 Sold	105	53 602	25 671	5,628 26	2,695 47	(2,932 79) LT
	10/16/07	03/18/10 Sold	295	53 845	25 671	15 884 30	7,573 00	(8,311 30) LT
	05/05/08	03/18/10 Sold	100	46 745	25 671	4 674 50	2 567 11	(2 107 39) LT
Total			1,700			\$ 88,594 68	\$ 43,640 99	(\$ 44,953 69)

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MorganStanley
SmithBarney

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March 1 - March 31, 2010

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Ref 00020121 00105123

RICE FOUNDATION Account number 383-1595C-14 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ANALY CAPITAL MANAGEMENT INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/27/08	03/18/10 Sold	1015	\$ 15 445	\$ 18 722	\$ 15 676 78	\$ 19 002 59	\$ 3 325 81 LT
	06/30/08	03/18/10 Sold	185	15 673	18 722	2 899 60	3 463 53	563 93 LT
	01/08/08	03/18/10 Sold	1 150	15 286	18 722	17 579 25	21 530 03	3 950 78 LT
	07/16/08	03/18/10 Sold	1 250	14 261	18 722	17 820 38	23 402 70	5 575 82 LT
	07/17/08	03/18/10 Sold	1 150	14 567	18 722	16 752 17	21 530 03	4 777 86 LT
	03/24/09	03/18/10 Sold	475	14 334	18 722	6 808 70	8 892 84	2 084 14 ST
	04/03/09	03/18/10 Sold	850	14 12	18 722	12 002 34	15 913 48	3 911 14 ST
Total			8,075			\$ 89,545 22	\$ 113,734 70	\$ 24,189 48
BOEING CO MorganStanley SmithBarney LLC acted as your agent in this transaction	01/30/09	03/11/10 Sold	6/5	\$ 41 551	\$ 69 90	\$ 28 047 26	\$ 47 182 30	\$ 19,135 04 LT
	02/03/09	03/11/10 Sold	265	41 605	69 90	11 025 46	18 523 42	7 497 96 LT
BOEING CO MorganStanley SmithBarney LLC acted as your agent in this transaction	02/03/09	03/12/10 Sold	160	41 605	69 722	6 656 88	11,155 42	4 498 54 LT
Total			1,100			\$ 45,729 60	\$ 76,861 14	\$ 31,131 54
CENTURYTEL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/20/09	03/18/10 Sold	267	\$ 25 135	\$ 34 892	\$ 6,707 47	\$ 9,316 04	\$ 2 608 57 LT
	07/23/09	03/18/10 Sold	136 9231	24 828	34 892	3 397 74	4,777 46	1 379 72 LT
	03/02/09	03/18/10 Sold	342 3077	24 642	34 892	8,430 49	11 943 65	3,513 16 LT
	03/04/09	03/18/10 Sold	157 4615	25 007	34 892	3,935 47	5 494 08	1,558 61 LT
	03/05/09	03/18/10 Sold	205 3846	24 663	34 892	5,062 74	7 166 19	2,103 45 LT

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION
FORM 990-PF 2010
36-6043160

West - 6/26/06

Morgan Stanley
Smith Barney

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Ref: 00000121 0105124

Account number 383-1595C-14 JDI

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
CENTURYTEL INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/12/09	03/18/10	109 5385	\$ 25 568	\$ 24 892	\$ 2,799.13	\$ 3,821.97	\$ 1,022.84 LT
	03/13/09	03/18/10	294 3846	24 367	34 892	7,175.21	10,271.53	3,096.32 LT
	Total		1,513			\$ 37,508.26	\$ 62,790.92	\$ 16,282.67
CHESAPEAKE ENERGY CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	11/11/09	03/18/10	1,130	\$ 25 623	\$ 24 351	\$ 28,954.67	\$ 27,516.28	(\$ 1,438.39) ST
	11/12/09	03/18/10	145	24 714	24 351	3,583.63	3 530.85	(52.78) ST
	11/13/09	03/18/10	950	25 015	24 351	23,764.92	23,133.16	(631.76) ST
Total			2,225			\$ 56,303.22	\$ 54,180.29	(\$ 2,122.93)
CHEVRON CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/01/05	03/18/10	100	\$ 60.85	\$ 74.78	\$ 6,085.00	\$ 7,477.90	\$ 1,392.90* LT
	07/15/06	03/18/10	695	55.69	74.78	38,704.55	51 971.44	13 266.89* LT
	Total		795			\$ 44,789.55	\$ 59,449.34	\$ 14,659.79
CONOCOPHILLIPS Morgan Stanley Smith Barney LLC acted as your agent in this transaction	02/17/06	03/18/10	290	\$ 60.66	\$ 52.525	\$ 17 591.40	\$ 15,232.09	(\$ 2,359.31)* LT
	02/23/06	03/18/10	235	61.32	52.525	14,410.20	12,343.24	(2 066.96)* LT
	02/27/06	03/18/10	149	61.67	52.525	9 188.83	7 826.14	(1,362.69)* LT
Total			674			\$ 41,190.43	\$ 35,401.47	(\$ 5,788.96)
DIAMOND OFFSHORE DRILLING INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	12/19/07	03/18/10	200	\$ 129.069	\$ 86.151	\$ 25,813.86	\$ 17,230.14	(\$ 8 583.72) LT
	12/26/07	03/18/10	100	148.197	86.151	14,819.70	8 615.07	(6,204.63) LT
	01/02/08	03/18/10	150	141.143	86.151	21,171.47	12,922.61	(8,248.86) LT
01/07/08	03/18/10		150	133.998	86.151	20,099.81	12,922.61	(7,177.20) LT
01/12/09	03/18/10		250	58.245	86.151	14 561.45	21 537.68	6 976.23 LT

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

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Ref: 000-0121-00106125

Morgan Stanley
Smith Barney

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

Account number 383-1595C-14 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
DIAMOND OFFSHORE DRILLING INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	07/27/09	03/18/10 Sold	225	\$ 92.614	\$ 86.151	\$ 20,838.31	\$ 19,383.91	(\$ 1,454.40) ST
	07/29/09	03/18/10 Sold	50	90.30	86.151	4,515.01	4,307.52	(207.49) ST
	Total		1,125			\$ 121,819.61	\$ 96,919.54	(\$ 24,900.07)
						\$ 8,567.10	\$ 5,583.77	(\$ 2,983.33) * LT
H H DONNELLY & SONS CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/25/05	03/18/10 Sold	270	\$ 31.73	\$ 20.68			
	02/15/05	03/18/10 Sold	260	32.56	20.68	8,465.60	5,376.97	(3,088.63) * LT
	03/30/05	03/18/10 Sold	220	32.73	20.68	7,200.60	4,549.74	(2,650.86) * LT
	04/06/05	03/18/10 Sold	310	33.12	20.68	10,267.20	6,411.00	(3,856.20) * LT
	04/27/05	03/18/10 Sold	245	33.07	20.68	8,102.15	5,066.76	(3,035.39) * LT
	05/05/05	03/18/10 Sold	300	33.66	20.68	10,098.00	6,204.19	(3,893.81) * LT
	05/16/05	03/18/10 Sold	135	33.30	20.68	4,495.50	2,791.89	(1,703.61) * LT
	05/17/05	03/18/10 Sold	65	33.22	20.68	2,159.30	1,344.24	(815.06) * LT
	06/07/05	03/18/10 Sold	195	31.34	20.68	6,111.30	4,032.72	(2,078.58) * LT
	06/09/05	03/18/10 Sold	150	30.81	20.68	4,621.50	3,102.09	(1,519.41) * LT
	Total		2,150			\$ 70,088.25	\$ 44,463.37	(\$ 25,624.88)
						\$ 9,392.40	\$ 12,882.44	\$ 3,490.04
EDISON INTERNATIONAL Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/04/09	03/18/10 Sold	375	\$ 25.046	\$ 34.353			
	03/10/09	03/18/10 Sold	325	24.719	34.353	8,033.68	11,164.78	3,131.10
	03/17/09	03/18/10 Sold	300	28.16	34.353	8,448.03	10,305.95	1,857.92
	03/20/09	03/18/10 Sold	375	28.098	34.353	10,874.48	12,882.43	2,007.95
Total			1,375			\$ 38,748.69	\$ 47,235.60	\$ 10,487.01

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MorganStanley
SmithBarney

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Ref: 002020121 00105126

RICE FOUNDATION Account number 383-1595C-14 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
FREEMONT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	01/11/10	03/18/10 Sold	620	\$ 88,733	\$ 81,386	\$ 55,014.83	\$ 50,458.67	(\$ 4,556.16) ST
	01/13/10	03/18/10 Sold	60	84,645	81,386	5,078.73	4,883.10	(195.63) ST
Total			680			\$ 60,093.56	\$ 55,341.77	(\$ 4,751.79)
GLAXOSMITHKLINE PLC 5% ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	02/15/06	03/18/10 Sold	540	\$ 51.23	\$ 38,743	\$ 27,664.20	\$ 20,920.95	(\$ 6,743.25) LT
	05/30/07	03/18/10 Sold	595	52.47	38,743	31,220.13	23,051.79	(8,168.34) LT
	05/31/07	03/18/10 Sold	205	51.908	38,743	10,641.41	7,942.21	(2,699.20) LT
	06/06/07	03/18/10 Sold	400	51.05	38,743	20,420.08	15,497.00	(4,923.08) LT
	06/12/07	03/18/10 Sold	400	52.042	38,743	20,817.16	15,497.00	(5,320.16) LT
	06/14/07	03/18/10 Sold	155	52.085	38,743	8,073.19	6,005.09	(2,068.10) LT
	06/15/07	03/18/10 Sold	245	52.35	38,743	12,825.97	9,491.93	(3,334.04) LT
Total			2,540			\$ 131,852.14	\$ 98,405.97	(\$ 33,256.17)
GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/06/09	03/18/10 Sold	281	\$ 186.942	\$ 176,661	\$ 52,530.90	\$ 49,641.24	(\$ 2,889.66) ST
HARRIS CORP DELAWARE MorganStanley SmithBarney LLC acted as your agent in this transaction	01/20/09	03/18/10 Sold	180	40.451	46,722	7,281.20	8,409.85	1,128.65 LT
	01/21/09	03/18/10 Sold	120	41.002	46,722	4,992.30	5,606.57	614.27 LT
	01/28/09	03/18/10 Sold	275	44.669	46,722	10,050.55	10,512.32	461.77 LT
	02/09/09	03/18/10 Sold	250	43.92	46,722	10,980.10	11,680.35	700.25 LT
	02/17/09	03/18/10 Sold	245	40.865	46,722	10,011.95	11,446.74	1,434.79 LT
Total			1,020			\$ 43,316.10	\$ 47,856.83	\$ 4,339.73

SCHEDULE 6

PART IV
CAPITAL GAINS & LOSSES

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**Reserved
Client Statement**
March 1 - March 31, 2010

MorganStanley
SmithBarney

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Account number 383-1595C-14 JD1

RICE FOUNDATION

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
HOME DEPOT INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/18/07	03/18/10 Sold	250	\$ 25.88	\$ 32.304	\$ 6,470.20	\$ 8,075.94	\$ 1,605.74 LT
HUDSON CITY BANCORP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/06/09	03/18/10 Sold	950	13.318	14.032	12,652.86	13,330.23	677.37 ST
	07/08/09	03/18/10 Sold	1,225	13.267	14.032	16,252.81	17,188.98	936.17 ST
	07/09/09	03/18/10 Sold	1,150	13.509	14.032	15,536.04	16,136.59	600.55 ST
Total			3,325			\$ 44,441.71	\$ 48,655.80	\$ 2,214.09
INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	11/11/09	03/18/10 Sold	770	\$ 19.873	\$ 22.07	\$ 15,302.83	\$ 16,993.84	\$ 1,691.01 ST
	11/12/09	03/18/10 Sold	2,000	20.09	22.07	40,180.80	44,139.83	3,959.03 ST
Total			2,770			\$ 55,483.63	\$ 61,133.67	\$ 5,650.04
JOHNSON & JOHNSON MorganStanley SmithBarney LLC acted as your agent in this transaction	05/15/09	03/18/10 Sold	825	\$ 55.289	\$ 64.613	\$ 45,614.09	\$ 53,305.05	\$ 7,690.96 ST
KIMBERLY CLARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/01/05	03/18/10 Sold	150	66.79	60.991	10,018.50	9,148.67	(869.83)* LT
	02/15/06	03/18/10 Sold	685	57.63	60.991	39,476.55	41,778.92	2,302.37* LT
Total			835			\$ 49,495.05	\$ 50,927.59	\$ 1,432.54
KRAIT FOODS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/23/07	03/18/10 Sold	3	\$ 33.27	\$ 29.823	\$ 99.81	\$ 89.47	(\$ 10.34) LT
	04/24/07	03/18/10 Sold	405	33.349	29.823	13,506.55	12,078.16	(1,428.39) LT
	05/02/07	03/18/10 Sold	440	33.519	29.823	14,748.58	13,121.95	(1,626.63) LT
	05/03/07	03/18/10 Sold	160	33.391	29.823	5,342.62	4,771.62	(571.00) LT
	05/09/07	03/18/10 Sold	750	32.669	29.823	24,501.98	22,366.96	(2,135.02) LT
Total			1,758			\$ 58,199.54	\$ 52,428.16	(\$ 5,771.38)

West - Pehala

MorganStanley
SmithBarney

Ref: 002021 0006128

Reserved Client Statement

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RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART IV CAPITAL GAINS & LOSSES

SCHEDULE 6

Account number 363-1595C-14 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
LOCKHEED MARTIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/16/10	03/18/10	420	\$ 84,466	\$ 85,111	\$ 35,476.06	\$ 35,746.20	\$ 270.14 ST
	03/17/10	03/18/10	275	84,786	85,111	23,316.15	23,405.25	89.10 ST
Total			695			\$ 58,792.21	\$ 59,151.45	\$ 359.24
LUBRIZOL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/13/10	03/18/10	150	\$ 76,822	\$ 89,534	\$ 11,523.38	\$ 13,429.97	\$ 1,906.59 ST
	01/21/10	03/18/10	355	83,465	89,534	29,630.15	31,784.27	2,154.12 ST
	01/22/10	03/18/10	145	81,433	89,534	11,807.89	12,982.31	1,174.42 ST
	01/27/10	03/18/10	50	80,466	89,534	4,023.35	4,476.66	453.31 ST
Total			700			\$ 56,984.77	\$ 62,673.21	\$ 5,688.44
MARATHON OIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/15/06	03/18/10	1,700	\$ 33,585	\$ 32,231	\$ 57,094.50	\$ 54,782.85	(\$ 2,301.65) LT
MATTEL INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction	12/12/05	03/18/10	205	16.80	23.042	3,444.00	4,773.69	1,279.69* LT
	12/15/05	03/18/10	560	16.79	23.042	9,402.40	12,903.75	3,501.35* LT
	02/15/06	03/18/10	2,175	17.25	23.042	37,518.75	50,117.23	12,598.48* LT
Total			2,940			\$ 50,365.15	\$ 67,744.87	\$ 17,379.62
MCGRAW HILL COS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/28/09	03/18/10	1,070	\$ 33,582	\$ 36,221	\$ 35,933.17	\$ 36,756.40	\$ 2,823.23 ST
	06/31/09	03/18/10	5	33,458	36,221	167.29	181.10	13.81 ST
	08/31/09	03/18/10	470	33.27	36.221	15,637.23	17,023.84	1,386.61 ST
Total			1,545			\$ 51,737.69	\$ 55,981.34	\$ 4,223.65
MEDTRONIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/17/09	03/18/10	325	\$ 34,704	\$ 44,943	\$ 11,278.93	\$ 14,606.29	\$ 3,327.36 LT
	02/20/09	03/18/10	275	34,003	44,943	9,350.96	12,359.17	3,008.21 LT

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SmithBarney
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RICE FOUNDATION
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36-6043160

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

Account number 383-1595C-14 JDI

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
MOTRONIC INC. MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09	03/18/10	240	\$ 34,558	\$ 44,943	\$ 8,639.63	\$ 11,235.61	\$ 2,595.98 LT
	02/26/09	03/18/10	375	32,708	44,943	12,288.11	16,853.41	4,565.30 LT
		Sold						
	Total		1,225			\$ 41,567.63	\$ 55,054.48	\$ 13,486.86
METLIFE INC. MorganStanley SmithBarney LLC acted as your agent in this transaction	04/23/09	03/18/10	120	\$ 27,933	\$ 42,606	\$ 3,352.04	\$ 5,112.71	\$ 1,760.67 ST
	04/24/09	03/18/10	275	28.74	42,606	7,903.64	11,716.64	3,813.00 ST
		Sold						
	05/04/09	03/18/10	885	27.414	42,606	24,261.83	37,706.27	13,444.44 ST
NEW YORK COMMUNITY RANCORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/15/09	03/18/10	225	30.526	42,606	6,868.35	9,586.34	2,717.99 ST
	Total		1,505			\$ 42,385.88	\$ 64,121.96	\$ 21,736.10
	01/20/09	03/18/10	1,000	\$ 12.643	\$ 17,224	\$ 12,643.30	\$ 17,224.28	\$ 4,580.98 LT
		Sold						
PFIZER INC. MorganStanley SmithBarney LLC acted as your agent in this transaction	01/26/09	03/18/10	505	12.569	17,224	7,101.94	9,731.72	2,629.78 LT
	01/27/09	03/18/10	335	11.366	17,224	3,807.81	5,770.13	1,962.32 LT
		Sold						
	01/28/09	03/18/10	375	13.032	17,224	4,887.04	6,459.11	1,572.07 LT
PFIZER INC. MorganStanley SmithBarney LLC acted as your agent in this transaction	01/29/09	03/18/10	425	13.145	17,224	5,566.75	7,320.32	1,753.57 LT
	02/04/09	03/18/10	775	12.146	17,224	9,413.62	13,348.82	3,935.20 LT
		Sold						
	02/06/09	03/18/10	175	12.722	17,224	2,226.39	3,014.25	787.86 LT
PFIZER INC. MorganStanley SmithBarney LLC acted as your agent in this transaction	Total		3,650			\$ 45,668.85	\$ 62,868.63	\$ 17,201.78
	03/01/05	03/18/10	725	\$ 26.54	\$ 17,231	\$ 19,241.50	\$ 12,492.53	\$ 6,748.97* LT
		Sold						
	02/15/06	03/18/10	1,400	25.58	17,231	37,346.80	25,157.38	112,189.42* LT
PFIZER INC. MorganStanley SmithBarney LLC acted as your agent in this transaction	04/24/06	03/18/10	830	24.76	17,231	20,550.80	14,301.80	16,249.00* LT
		Sold						
	04/27/06	03/18/10	735	25.15	17,231	18,485.25	12,664.84	15,820.41* LT
		Sold						

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PART IV CAPITAL GAINS & LOSSES

SCHEDULE 6

Account number 383-1595C-14 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
PFIZER INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/01/06	03/18/10	665	\$ 25.30	\$ 17.23	\$ 16,824.50	\$ 11,458.67	(\$ 5,365.83) * LT
		Sold						
	05/03/06	03/18/10	745	25.43	17.23	18,945.35	12,837.15	(6,108.20) * LT
		Sold						
REYNOLDS AMERICAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/20/07	03/18/10	650	26.97	17.23	17,530.50	11,200.20	(6,330.30) LT
		Sold						
	Total		5,810			\$ 148,924.70	\$ 100,112.57	(\$ 48,812.13)
	08/16/04	03/18/10	105	\$ 35.52	\$ 53.256	\$ 3,729.60	\$ 5,591.88	\$ 1,862.28 * LT
ROYAL DUTCH SHELL PLC ADR CL A MorganStanley SmithBarney LLC acted as your agent	03/01/05	03/18/10	470	41.51	53.256	19,509.70	25,030.33	5,520.63 * LT
		Sold						
	02/15/06	03/18/10	540	52.21	53.256	28,193.40	28,758.25	564.85 * LT
		Sold						
Total			1,115			\$ 51,432.70	\$ 68,380.48	\$ 16,947.78
3M COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	09/07/07	03/18/10	250	\$ 80.75	\$ 59.83	\$ 20,187.70	\$ 14,957.46	(\$ 5,230.24) LT
		Sold						
	09/25/07	03/18/10	250	83.617	59.83	20,904.25	14,957.46	(5,946.79) LT
		Sold						
TOTAL S A SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	10/01/07	03/18/10	250	83.352	59.83	20,838.20	14,957.46	(5,880.74) LT
		Sold						
	10/05/07	03/18/10	300	79.83	59.83	23,949.09	17,948.95	(6,000.14) LT
		Sold						
Total			1,050			\$ 85,879.24	\$ 62,821.33	(\$ 23,057.91)
TOTAL S A SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	05/29/08	03/18/10	225	\$ 77.421	\$ 82.803	\$ 17,419.81	\$ 18,630.44	\$ 1,210.63 LT
		Sold						
	06/04/08	03/18/10	250	77.101	82.803	19,275.40	20,700.49	1,425.09 LT
		Sold						
TOTAL S A SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	06/09/08	03/18/10	300	75.653	82.803	22,696.02	24,840.58	2,144.56 LT
		Sold						
	Total		775			\$ 59,391.23	\$ 84,171.51	\$ 24,780.28
	12/13/07	03/18/10	260	\$ 83.167	\$ 58.022	\$ 21,620.92	\$ 15,085.66	(\$ 6,535.26) LT
TOTAL S A SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	12/24/07	03/18/10	25	80.915	58.022	2,022.88	1,450.54	(572.34) LT
		Sold						
	12/26/07	03/18/10	225	81.729	58.022	18,389.03	13,054.90	(5,334.13) LT
		Sold						

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
TOTAL S.A. SPONS. ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/03/08	03/18/10	200	\$ 85.203	\$ 58.022	\$ 17,040.70	\$ 11,604.35	(\$ 5,436.35) LT
	01/08/08	03/18/10	40	85.616	58.022	3,424.66	2,320.87	(1,103.79) LT
	01/09/08	03/18/10	164	85.186	58.022	13,851.86	9,283.48	(4,570.38) LT
	02/16/10	03/18/10	360	58.429	58.022	21,034.62	20,887.83	(146.79) ST
	02/17/10	03/18/10	520	58.283	58.022	30,307.47	30,171.32	(136.15) ST
	02/18/10	03/18/10	125	58.312	58.022	7,289.05	7,252.72	(36.33) ST
Total			1,915			\$ 134,983.19	\$ 111,111.67	(\$ 23,871.52)
TRAVELERS COMPANIES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/16/04	03/18/10	295	\$ 33.55	\$ 53.041	\$ 9,897.25	\$ 15,647.01	\$ 5,749.76* LT
	03/01/05	03/18/10	505	38.51	53.041	19,447.55	26,785.56	7,338.01* LT
	02/15/06	03/18/10	700	43.96	53.041	30,772.00	37,128.51	6,356.51* LT
Total			1,500			\$ 60,116.80	\$ 79,561.08	\$ 19,444.28
V.F. CORP. Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/01/05	03/18/10	320	\$ 60.38	\$ 80.226	\$ 19,321.60	\$ 25,672.09	\$ 6,350.49* LT
	02/15/06	03/18/10	660	56.26	80.226	37,131.60	52,948.68	15,817.08* LT
Total			980			\$ 56,453.20	\$ 78,620.77	\$ 22,167.57
VERIZON COMMUNICATIONS Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/16/04	03/18/10	250	\$ 37.624	\$ 30.21	\$ 9,406.14	\$ 7,552.58	(\$ 1,853.56)* LT
	03/01/05	03/18/10	600	34.667	30.21	20,800.53	18,126.19	(2,674.34)* LT
	02/15/06	03/18/10	1,135	32.706	30.21	37,121.52	34,788.70	(2,332.82)* LT
Total			1,985			\$ 67,328.19	\$ 59,967.47	(\$ 7,360.72)
WASTE MGMT INC DEL Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/14/08	03/18/10	650	\$ 32.008	\$ 34.063	\$ 20,805.20	\$ 22,140.67	\$ 1,335.47 LT
	01/23/08	03/18/10	600	28.681	34.063	17,208.96	20,437.54	3,228.58 LT

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Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
WASTE MGMT INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction	01/31/08	03/18/10	375	\$ 32,363	\$ 34,063	\$ 12,136.20	\$ 12,773.46	\$ 637.26 LT
	02/05/08	03/18/10	175	32,834	34,063	5,746.00	5,960.95	214.95 LT
	02/07/08	03/18/10	500	32,129	34,063	16,064.50	17,031.28	966.78 LT
	Total		2,300			\$ 71,960.86	\$ 78,343.90	\$ 6,383.04
WINDSTREAM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/16/04	03/18/10	191	\$ 9.42	\$ 11.202	\$ 1,799.22	\$ 2,139.55	\$ 340.33 LT
	03/01/05	03/18/10	351	10.12	11.202	3,552.12	3,931.85	379.73 LT
	02/15/06	03/18/10	569	10.93	11.202	6,219.17	6,373.86	154.69 LT
	08/04/06	03/18/10	305	13.05	11.202	3,980.25	3,416.57	(563.68) LT
	08/09/06	03/18/10	1,380	12.91	11.202	17,815.80	15,458.56	(2,357.24) LT
	08/21/06	03/18/10	1,075	13.04	11.202	14,018.00	12,042.00	(1,976.00) LT
	08/25/06	03/18/10	395	12.97	11.202	5,123.15	4,424.73	(698.42) LT
	08/31/06	03/18/10	1,780	13.14	11.202	16,819.20	14,336.38	(2,482.82) LT
	Total		5,646			\$ 69,326.91	\$ 62,125.50	\$ 7,201.41
	06/23/08	03/18/10	1,400	\$ 13.666	\$ 9.78	\$ 19,133.36	\$ 13,692.95	\$ 5,440.43 LT
XEROX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/26/08	03/18/10	390	13.622	9.78	5,312.85	3,614.46	(1,698.39) LT
	06/27/08	03/18/10	800	13.448	9.78	10,758.64	7,824.54	(2,934.10) LT
	07/07/08	03/18/10	1,450	13.467	9.78	19,528.17	14,181.98	(5,346.19) LT

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RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
XEROX CORP	07/15/08	03/18/10	1,060	\$ 13.197	\$ 9.78	\$ 13,989.35	\$ 10,367.52	(\$ 3,621.83)
Morgan Stanley Smith Barney LLC acted as your agent in this transaction		Sold						
	07/16/08	03/18/10	290	13.163	9.78	3,817.50	2,830.39	(981.11)
		Sold						
Total			5,390			\$ 72,539.89	\$ 62,717.84	(\$ 19,822.05)
Total Long Term this period								(\$ 95,212.73)
Total Short Term this period								\$ 43,820.21
Total realized gain or (loss) - this period								\$ 2,642,885.80
Total Long Term - year-to-date								(\$ 51,392.52)
Total Short Term - year-to-date								(\$ 75,725.13)
Total realized gain or (loss) - year-to-date								\$ 45,008.08
								-\$ 2,837,460.47
								\$ 2,808,744.42
								(\$ 30,718.05)

*Based on information supplied by client or other financial institution, not verified by us

Please notify your Financial Advisor if you would like to improve any reasonable restrictions on the management of your account or if there have been any changes in your financial situation or investment objectives requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account. If you would like a copy, please contact your Financial Advisor.

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

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RICE FOUNDATION

GAIN/LOSS DETAILS

Please note: this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax adviser. Absent specific instructions from you, trader are allowed using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your investment activity has been summarized. Single lines have been designated to distinguish Short term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1999 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
APPLE INC	03/19/10	04/30/10	107	\$ 223.289	\$ 261.542	\$ 23,892.00	\$ 27,984.57	\$ 4,092.57
MorganStanley SmithBarney LLC acted as your agent in this transaction		Sold						\$ 4,092.57
Total Short Term this period								\$ 4,092.57
Total realized gain or (loss) this period								\$ 4,092.57
Total Long Term - year to date								(\$ 75,725.13)
Total Short Term - year to date								\$ 49,101.65
Total realized gain or (loss) - year-to-date								(\$ 26,623.48)

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account, or if there have been any changes in your financial situation investment objectives, required investments or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested.

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account. If you would like a copy, please contact your Financial Advisor.

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CAPITAL GAINS & LOSSES

SCHEDULE 6

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MorganStanley
SmithBarney

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RICE FOUNDATION

Other dividends	Date	Description	Comment	Taxable	Non-taxable	Amount
	05/26/10	L. ORTEL CO ADR USD	FOREIGN TAX W/HELD \$ 319 18 CASH DIV ON 3352 0000 SHS TAX HELD BY FGN GOVTS 319 18 XID 04/27/10	\$ 1,276 72		\$ 957 54
Total other dividends credited to account						
						\$ 957 54
FRGN tax withheld						
						319 18
Total other dividends earned						
				\$ 1,276 72	\$ 0 00	\$ 1,276 72

Money fund earnings	Date	Description	Comment	Taxable	Non-taxable	Amount
	05/26/10	WESTLERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 05/03/10 05/31/10 79 DAYS AVERAGE YIELD .06 %	\$ 7 30		\$ 7 30
Total earnings from money fund						
				\$ 7 30	\$ 0 00	\$ 7 30

GAINLOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, traders are allocated using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
KELLOGG CO MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/10	05/05/10 Sold	1,414	\$ 52 931	\$ 54 679	\$ 74 845 42	\$ 77,315 93	\$ 2,470 51 ST

PART IV
CAPITAL GAINS & LOSSES

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Realized gain or loss <i>continued</i>									
Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	ST
M & T BK CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/18/10	05/21/10 Sold	113	\$ 83,315	\$ 82,042	\$ 9,414.03	\$ 9,303.64	(\$ 30.99)	ST
Total Short Term this period									\$ 2,439.52
Total realized gain or (loss) this period									\$ 2,439.52
Total Long Term year to date									(\$ 75,725.13)
Total Short Term year to date									\$ 51,541.17
Total realized gain or (loss) - year-to-date									\$ 2,945,612.52 -- \$ 2,921,428.56 (\$ 24,183.96)

Please notify your Investment Advisor if there have been any changes in your financial situation or investment objectives or if you wish to impose any reasonable restrictions on the management of your Investment Advisor's account or to reasonably modify existing restrictions.

For a copy of the applicable SFC Form ADV Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Advisor with whom we contract to manage your investment advisory account, please contact your Investment Advisor. These Disclosure Documents contain important information about advisory programs.

Morgan Stanley
Smith Barney

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Money fund earnings			
Date	Description	Comment	Amount
04/30/10	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 06/01/10-06/30/10 30 DAYS AVERAGE YIELD .06 %	\$ 4.70
Total earnings from money fund			\$ 4.70

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, traders are allocated using the FIFO (first-in/first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single line(s) have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1999 Year-end summary.

PART IV CAPITAL GAINS & LOSSES

Description	Original Infr. Date	Closing Infr. Date	Quantity	Purchase Price	Sale Price	Cost Basis	Proceeds	Realized Gain or (Loss)
ADOBE SYSTEMS INC (DE) MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/10	06/25/10 Sold	1,091	\$ 35,734	\$ 30,29	\$ 38,995.01	\$ 33,045.83	(\$ 5,940.18) ST
RAIR PRODUCTS & CHEMICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/10	06/28/10 Sold	1,005	74,312	67,905	74,684.36	68,243.37	(6,440.99) ST
GENENTEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/10	06/28/10 Sold	503	20,709	18,903	10,416.83	9,508.39	(908.44) ST
Total Short Term this period								(\$ 13,289.61)
Total realized gain or (loss) - this period								(\$ 13,289.61)
Total Long Term - year-to-date								(\$ 75,725.13)
Total Short Term - year-to-date								\$ 39,251.58
Total realized gain or (loss) - year-to-date								\$ 3,032,226.16 (\$ 37,473.67) ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

Reserved
Client Statement
August 1 - August 31, 2010

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Morgan Stanley
Smith Barney

11/11 00001152 00015 11/11

RICE FOUNDATION Account number 383-1595C-14 JD1

Money fund earnings	Description	Comment	Taxable	Non taxable	Amount
08/31/10	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 08/02/10 08/31/10 70 DAYS AVERAGE YIELD .70 %	\$ 21.28		\$ 21.28
Total earnings from money fund					\$ 21.28

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
LOREAL CO ADR USD Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/18/10	08/20/10 Sold	3.352	\$ 21.599	\$ 19.241	\$ 72,401.86	\$ 64,495.74	(\$ 7,905.12)
Total Short Term this period								(\$ 7,905.12)
Total realized gain or (loss) - this period								(\$ 7,905.12)
Total Long Term year-to-date								(\$ 75,725.13)
Total Short Term year-to-date								\$ 30,348.44
Total realized gain or (loss) - year-to-date								\$ 3,142,101.58

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to irrevocably modify existing restrictions.

For a copy of the applicable SLC Form ADV Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Advisor with whom we contract to manage your investment advisory account, please contact your Financial Advisor. These Disclosures contain important information about advisory programs.

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

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Reserved
Client Statement
October 1 - October 31, 2010

MorganStanley
SmithBarney

Ref: 00000000000000000000

Account number 383-1595C-14 JD1

RICE FOUNDATION

GAIN/LOSS DETAILS

Please note: this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Advent specific instructions from you, trades are allocated using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
DOMINION RESOURCES INC VA NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/10	10/22/10 Sold	1,856	\$ 40.315	\$ 44.435	\$ 74,825.01	\$ 82,471.07	\$ 7,646.06 ST
GENTEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/10	10/05/10 Sold	816	20.709	20.082	16,898.87	16,387.20	(511.67) ST
GENTEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/10	10/06/10 Sold	1,281	20.709	20.058	26,528.74	25,694.63	(834.11) ST
Total			2,097			\$ 43,427.61	\$ 42,081.83	(\$ 1,345.78)
Total Short Term this period								\$ 6,300.28
Total realized gain or (loss) this period								\$ 6,300.28
Total Long Term - year to-date						\$ 118,252.62	\$ 124,552.90	(\$ 75,725.13)
Total Short Term - year to-date								\$ 36,646.72
Total realized gain or (loss) - year to-date						\$ 3,260,354.20	\$ 3,221,276.76	(\$ 39,078.41)

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions.

For a copy of the applicable SIPC Form ADI, Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Adviser with whom we contract to manage your investment advisory account, please contact your Financial Advisor. These Disclosure Documents contain important information about advisory programs.

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 6

Reserved
Client Statement
December 1 - December 31, 2010
RICE FOUNDATION
Account number 383-1595C-14 JD1

MorganStanley
SmithBarney

Ref 00018005 00101614

Money fund earnings	Date	Description	Comment	Taxable	Non-taxable	Amount
	12/31/10	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 12/01/10 01/02/11 33 DAYS AVERAGE YIELD .06 %	\$ 10 13		\$ 10 13
Total earnings from money fund				\$ 10 13	\$ 0 00	\$ 10 13

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, trades are allocated using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment in this has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
CONAGRA FOODS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/10	12/28/10 Sold	1 180	\$ 25 842	\$ 22 381	\$ 30,493 80	\$ 26,409 84	(\$ 4,083 96) ST
CONAGRA FOODS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/10	12/29/10 Sold	1 956	25 842	22 538	50,547 34	44 085 34	(6,462 00) ST
Total			3,136			\$ 81,041 14	\$ 70,495 18	(\$ 10,545 96)
Total Short Term this period						\$ 81,041 14	\$ 70,495 18	(\$ 10,545 96)
Total realized gain or (loss) this period						\$ 81,041 14	\$ 70,495 18	(\$ 10,545 96)
Total Long Term - year-to-date								(\$ 75,725 13)
Total Short Term - year-to-date								\$ 40,867 60
Total realized gain or (loss) - year-to-date						\$ 3,376,875 11	\$ 3,341,817 58	(\$ 34,857 53)

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives or if you wish to impose any reasonable restrictions on the management of your Investment Advisor's accounts, or to reasonably modify existing restrictions.

For a copy of the applicable SFC Form 401 Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Adviser with whom we contract to manage your investment advisor's account, please contact your Financial Advisor. These Disclosure Documents contain important information about advisory programs.

PART IV
CAPITAL GAINS & LOSSES

Wells Fargo
Morgan Stanley
Smith Barney
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Client Statement
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RICE FOUNDATION
Account number 383-1631C-17 JD1

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

EARNINGS DETAILS

Qualified dividends	Description	Comment	Taxable	Non-taxable	Amount
01/12/10	ILLINOIS TOOL WORKS INC	CASH DIV ON 901 0000 SHS	\$ 279.31		\$ 279.31
		X/D 12/29/09			
01/26/10	BEST BUY INC	CASH DIV ON 884 0000 SHS	123.76		123.76
		X/D 12/31/09			
Total qualified dividends earned			\$ 403.07	\$ 0.00	\$ 403.07

Money fund earnings

Money fund earnings	Description	Comment	Taxable	Non-taxable	Amount
01/29/10	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 01/04/10 01/31/10	\$ 1.09		\$ 1.09
		28 DAYS AVERAGE YIELD .07 %			
Total earnings from money fund			\$ 1.09	\$ 0.00	\$ 1.09

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from your broker, we allow and using the FIFO (first-in, first-out) method. Do not rely on this section for day trading or other short-term trading. Your tax status of activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year end in your 1099 Year-end Summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
BEST BUY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	09/18/09	01/27/10 Sold	116	\$ 38.037	\$ 37.161	\$ 4,412.34	\$ 4,310.63	(101.71) ST
BEST BUY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	09/18/09	01/27/10 Sold	31	38.037	36.957	1,179.16	1,145.66	(33.50) ST
BEST BUY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	09/21/09	01/27/10 Sold	123	38.338	36.957	4,715.02	4,545.68	(169.34) ST
BEST BUY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	09/21/09	01/28/10 Sold	22	38.338	36.593	843.45	805.05	(38.40) ST
BEST BUY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	09/22/09	01/28/10 Sold	127	38.539	36.593	4,894.48	4,647.31	(247.17) ST

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PART IV
CAPITAL GAINS & LOSSES

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Walden Ashby
MorganStanley
SmithBarney
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RICE FOUNDATION Account number 383-1631C-17 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/22/09	01/29/10 Sold	11	\$ 38 539	\$ 36 841	\$ 423 93	\$ 405 25	(\$ 18 68)
BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/23/09	01/29/10 Sold	146	38 583	36 841	5 639 20	5 378 77	(254 43)
BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/23/09	01/29/10 Sold	4	38 583	36 81	154 33	147 24	(7 09)
BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/24/09	01/29/10 Sold	150	37 909	36 81	5 686 41	5 521 42	(164 99)
Total			730			\$ 27 942 92	\$ 26 907 01	(\$ 1 035 91)
DELTA AIR LINES INC (NEW) MorganStanley SmithBarney LLC acted as your agent in this transaction	10/15/09	01/08/10 Sold	373	\$ 9 04	\$ 12 121	\$ 3 372 10	\$ 4 521 08	\$ 1 148 99
DIRECTV CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	01/29/08	01/08/10 Sold	138	22 776	34 034	3 143 13	4 686 66	1 543 53
DIRECTV CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	02/06/08	01/08/10 Sold	91	22 798	34 034	2 074 68	3 087 08	1 022 40
Total			229			\$ 5 217 81	\$ 7 793 74	\$ 2 576 93
EBAY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/09	01/20/10 Sold	346	\$ 22 113	\$ 22 267	\$ 7 651 37	\$ 7 704 59	\$ 53 22
EBAY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	01/20/10 Sold	314	22 687	22 267	7 127 02	6 992 03	(134 99)
EBAY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/09	01/20/10 Sold	187	22 507	22 267	4 208 94	4 164 05	(44 89)
Total			847			\$ 18 987 33	\$ 18 860 67	(\$ 126 66)
FORD MOTOR COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	11/05/09	01/07/10 Sold	335	\$ 7 448	\$ 11 548	\$ 2 495 35	\$ 3 868 68	\$ 1 373 33
FREEPORT-MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent in this transaction	07/28/09	01/28/10 Sold	69	58 14	68 745	4 011 09	4 743 36	731 67
FREEPORT-MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent in this transaction	10/01/09	01/28/10 Sold	30	65 779	68 745	1 971 39	2 062 33	89 94
FREEPORT-MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent in this transaction	10/02/09	01/28/10 Sold	142	65 54	68 745	9 306 69	9 761 71	455 02
Total			241			\$ 15,291 77	\$ 16,567 40	\$ 1,275 63

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MorganStanley
SmithBarney

Ref 00009861 00002714

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CAPITAL GAINS & LOSSES

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Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction	01/15/09	01/09/10 Sold	481	\$ 9 173	\$ 7 805	\$ 4 412 31	\$ 3,754 40	(\$ 657 91) ST
	09/16/09	01/09/10 Sold	63	9 194	7 805	579 24	401 74	(87 50) ST
MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	01/29/10 Sold	424	9 194	6 107	3 898 38	2 589 59	(1 308 79) ST
	09/16/09	01/29/10 Sold	509	9 135	6 107	4,649 82	3 108 73	(1,541 09) ST
	09/17/09	01/29/10 Sold	555	8 568	6 107	4 477 24	3 389 67	(1,087 57) ST
Total			2,032			\$ 18,516 99	\$ 13,334 13	(\$ 5,182 86)
SUNCOR ENERGY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	05/19/09	01/21/10 Sold	114	\$ 30 455	\$ 34 217	\$ 3,471 90	\$ 3,900 70	\$ 428 80 ST
SUNCOR ENERGY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	05/19/09	01/22/10 Sold	17	30 455	33 643	517 74	571 93	54 19 ST
	05/20/09	01/22/10 Sold	44	31 907	33 643	1,403 95	1,480 30	76 35 ST
	05/21/09	01/22/10 Sold	55	30 589	33 643	1,682 41	1,850 37	167 96 ST
SUNCOR ENERGY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	05/21/09	01/25/10 Sold	165	30 589	33 392	5,047 21	5,509 08	462 47 ST
SUNCOR ENERGY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	05/21/09	01/26/10 Sold	54	30 589	32 693	1,651 82	1,765 41	113 59 ST
	05/22/09	01/26/10 Sold	56	31 771	32 693	1,779 18	1,830 80	51 62 ST
	06/05/09	01/26/10 Sold	104	34 567	32 693	3 595 07	3,400 05	(195 02) ST
	06/05/09	01/26/10 Sold	98	34 47	32 693	3 378 08	3 203 89	(174 19) ST
Total			707			\$ 22,527 36	\$ 23,513 13	\$ 985 77
TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/25/09	01/12/10 Sold	103	\$ 25 372	\$ 24 881	\$ 2,613 41	\$ 2,562 73	(\$ 50 68) ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

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Client Statement
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RICE FOUNDATION

MorganStanley
SmithBarney

Ref 00008861 00002715

Account number 383-1631C-17 JD1

Realized gain or loss continued									
Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	ST
TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/25/09	01/12/10 Sold	275	\$ 25.372	\$ 24.877	\$ 6,977.55	\$ 6,841.33	(\$ 136.22)	ST
TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/27/09	01/12/10 Sold	155	25.305	24.877	3,922.32	3,856.03	(66.29)	ST
TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/27/09	01/13/10 Sold	444	25.305	24.961	11,235.55	11,082.94	(152.61)	ST
TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/30/09	01/13/10 Sold	38	25.225	24.961	958.57	948.54	(10.03)	ST
TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/30/09	01/14/10 Sold	178	25.225	24.656	4,490.12	4,388.85	(101.27)	ST
TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/09	01/14/10 Sold	148	25.877	24.656	3,829.80	3,649.16	(180.64)	ST
TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/02/09	01/14/10 Sold	185	25.955	24.656	4,801.68	4,561.44	(240.24)	ST
Total			1,526			\$ 38,829.00	\$ 37,851.02	(\$ 977.98)	
YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/15/09	01/29/10 Sold	474	\$ 16.43	\$ 15.04	\$ 7,788.15	\$ 7,129.10	(\$ 659.05)	ST
YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	01/29/10 Sold	60	16.941	15.04	1,016.49	902.42	(114.07)	ST
Total			534			\$ 8,804.64	\$ 8,031.52	(\$ 773.12)	
Total Long Term this period								\$ 2,575.93	
Total Short Term this period								(\$ 3,272.81)	
Total realized gain or (loss) - this period								(\$ 696.88)	
Total Long Term year-to-date								\$ 2,575.93	
Total Short Term year-to-date								(\$ 3,272.81)	
Total realized gain or (loss) - year-to-date								(\$ 696.88)	

Please notify your Financial Advisor if you would like to improve any reasonable restrictions on the management of your account or if there have been any changes in your financial situation investment objectives, requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested.

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account. If you would like a copy, please contact your Financial Advisor.

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

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Client Statement
February 1 - February 28, 2010

Account number 383-1631C-17 JD1

RICE FOUNDATION

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
INGERSOLL RAND PUBLIC LTD CO	07/30/09	02/24/10 Sold	55	\$ 28.788	\$ 32.125	\$ 1,583.34	\$ 1,706.88	\$ 183.54 ST
MorganStanley SmithBarney LLC acted as your agent	07/31/09	02/24/10 Sold	103	29.027	32.125	2,989.85	3,308.88	319.03 ST
INGERSOLL RAND PUBLIC LTD CO	07/31/09	02/25/10 Sold	15	29.027	32.00	435.41	480.00	44.59 ST
MorganStanley SmithBarney LLC acted as your agent	08/03/09	02/25/10 Sold	126	29.083	32.00	3,604.55	4,031.98	367.43 ST
	08/04/09	02/25/10 Sold	101	29.011	32.00	2,930.13	3,231.99	301.86 ST
INGERSOLL RAND PUBLIC LTD CO	08/04/09	02/26/10 Sold	41	29.011	32.00	1,189.46	1,311.98	122.52 ST
MorganStanley SmithBarney LLC acted as your agent	08/05/09	02/26/10 Sold	25	28.795	32.00	719.88	799.29	80.11 ST
Total			468			\$ 13,512.62	\$ 14,931.70	\$ 1,419.08
AIR PRODUCTS & CHEMICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/06/09	02/18/10 Sold	50	\$ 77.384	\$ 69.91	\$ 3,802.23	\$ 3,495.45	(\$ 306.78) ST
	10/08/09	02/18/10 Sold	33	78.985	69.91	2,606.52	2,307.00	(299.52) ST
	10/09/09	02/18/10 Sold	24	79.736	69.91	1,913.67	1,677.82	(235.85) ST
AIR PRODUCTS & CHEMICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/09/09	02/22/10 Sold	42	79.736	70.002	3,348.91	2,940.06	(408.85) ST
	10/12/09	02/22/10 Sold	29	81.345	70.002	2,359.03	2,030.04	(328.99) ST
	10/13/09	02/22/10 Sold	21	81.663	70.002	1,714.93	1,470.04	(244.89) ST
AIR PRODUCTS & CHEMICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/13/09	02/23/10 Sold	6	81.663	70.264	489.98	421.58	(68.40) ST
	10/14/09	02/23/10 Sold	16	83.083	70.264	1,329.33	1,124.21	(205.12) ST

PART IV
CAPITAL GAINS & LOSSES

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Client Statement
February 1 - February 28, 2010

MorganStanley
SmithBarney

Ref 0009204 00063006

Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AIR PRODUCTS & CHEMICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/14/09	02/24/10 Sold	20	\$ 83,083	\$ 69,901	\$ 1,661.67	\$ 1,398.01	(\$ 263.66) ST
	10/15/09	02/24/10 Sold	49	83,071	69,901	4,070.52	3,425.12	(645.40) ST
	10/16/09	02/24/10 Sold	45	82,836	69,901	3,727.66	3,145.52	(582.14) ST
AIR PRODUCTS & CHEMICALS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/16/09	02/25/10 Sold	15	82,836	69,906	1,242.55	1,034.48	(208.07) ST
	10/20/09	02/25/10 Sold	17	82,607	68,986	1,404.32	1,172.41	(231.91) ST
	10/21/09	02/25/10 Sold	83	82,473	68,906	6,845.27	5,724.11	(1,121.16) ST
Total			450			\$ 36,583.59	\$ 31,365.85	(\$ 5,217.74)
BANK OF AMERICA CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/06/09	02/01/10 Sold	25	\$ 12,578	\$ 15.31	\$ 314.45	\$ 382.74	\$ 68.29 ST
BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/24/09	02/01/10 Sold	17	37,909	36,786	644.46	625.36	(19.10) ST
	10/20/09	02/01/10 Sold	28	39,854	36,786	1,115.92	1,030.01	(85.91) ST
	10/21/09	02/01/10 Sold	109	39,76	36,786	4,333.93	4,009.69	(324.24) ST
Total			154			\$ 8,094.31	\$ 5,865.06	(\$ 429.25)
CISCO SYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/01/06	02/01/10 Sold	11	\$ 21.97	\$ 22.641	\$ 241.67	\$ 249.05	\$ 7.38* LT
	01/24/08	02/01/10 Sold	14	24,945	22,641	349.24	316.97	(32.27) LT
Total			25			\$ 590.91	\$ 566.02	(\$ 24.89)
DELTA AIR LINES INC (NEW) MorganStanley SmithBarney LLC acted as your agent in this transaction	10/15/09	02/01/10 Sold	50	\$ 9.04	\$ 12.493	\$ 452.03	\$ 624.65	\$ 172.62 ST
FORD MOTOR COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	11/05/09	02/01/10 Sold	50	7.448	11.07	372.44	554.49	182.05 ST

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CAPITAL GAINS & LOSSES

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Client Statement
February 1 - February 28, 2010

Account number 383-1631C-17 JD1

RICE FOUNDATION

Ref: 00000004 00063007

MorganStanley
SmithBarney

continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/11/09	02/01/10 Sold	25	\$ 19 171	\$ 28 09	\$ 479 29	\$ 702 26	\$ 222 97 ST
MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction	09/17/09	02/01/10 Sold	98	8 969	6 298	878 86	617 21	(261 65) ST
	09/18/09	02/01/10 Sold	481	8 873	6 298	4 268 20	3 029 35	(1 238 85) ST
	09/29/09	02/01/10 Sold	865	8 399	6 298	7 205 31	5 447 79	(1 817 52) ST
	09/30/09	02/01/10 Sold	853	8 367	6 298	5 464 11	4 112 61	(1 351 50) ST
	10/01/09	02/01/10 Sold	140	8 544	6 298	1 196 26	881 71	(314 55) ST
	10/01/09	02/02/10 Sold	600	8 544	6 348	5 126 82	3 809 29	(1 317 53) ST
	10/02/09	02/02/10 Sold	718	8 105	6 348	5 863 04	4 598 45	(1 304 59) ST
	10/06/09	02/02/10 Sold	435	8 50	6 348	3 697 80	2 761 73	(936 07) ST
	10/07/09	02/02/10 Sold	495	8 644	6 348	4 270 03	3 142 66	(1 136 37) ST
	11/11/09	02/02/10 Sold	125	8 683	6 348	1 085 44	793 61	(291 83) ST
Total			4,610			\$ 39,124 87	\$ 29,154 41	(\$ 9,970 46)
QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/22/08	02/01/10 Sold	25	\$ 47 272	\$ 38 862	\$ 1 181 81	\$ 971 55	(\$ 210 26) LT
QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/22/08	02/01/10 Sold	88	47 272	39 827	4,159 97	3,504 79	(655 18) LT
	11/07/08	02/01/10 Sold	66	35 849	39 827	2,306 09	2,628 59	262 50 LT
	03/18/09	02/01/10 Sold	81	37 985	39 827	3 076 80	3 226 00	149 20 ST
	03/18/09	02/01/10 Sold	82	37 805	39 827	3,100 05	3,265 83	165 78 ST

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MorganStanley
SmithBarney

Ref 00009204 00063008

Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/09	02/05/10 Sold	159	\$ 37,805	\$ 37,616	\$ 6,011.07	\$ 5,980.99	(130.08) ST
QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/09	02/08/10 Sold	3	37,805	37,73	113.42	113.19	(123) ST
QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/05/09	02/08/10 Sold	240	43,687	37,73	10,484.90	9,055.25	(1,429.65) ST
QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/11/09	02/08/10 Sold	75	44,448	37,73	3,333.65	2,829.76	(503.89) ST
Total			819			\$ 33,827.76	\$ 31,575.95	(12,251.81)
YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	02/01/10 Sold	155	\$ 16,941	\$ 14,965	\$ 2,025.95	\$ 2,310.65	(1,306.30) ST
YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/17/09	02/01/10 Sold	219	17,325	14,965	3,794.18	3,277.44	(516.74) ST
YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/18/09	02/01/10 Sold	422	16,973	14,965	7,162.61	6,315.44	(847.17) ST
YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/18/09	02/01/10 Sold	166	17,215	14,965	2,857.74	2,484.28	(373.46) ST
YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/18/09	02/02/10 Sold	60	17,215	15,086	1,032.92	905.16	(127.76) ST
YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/21/09	02/02/10 Sold	339	17,076	15,086	5,789.07	5,114.15	(674.92) ST
YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/24/09	02/02/10 Sold	13	16,75	15,086	217.75	196.12	(21.63) ST
YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/06/09	02/02/10 Sold	304	17,102	15,086	5,199.07	4,586.14	(612.93) ST

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Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
YAHOO INC	10/07/09	02/02/10	221	\$ 17.316	\$ 15.086	\$ 3,827.03	\$ 3,334.01	(\$ 493.02)
MorganStanley SmithBarney LLC	11/11/09	02/02/10	25	16.078	15.086	401.95	377.15	(24.80)
acted as your agent in this transaction		Sold				\$ 32,908.27	\$ 28,909.64	(\$ 3,998.73)
		Sold						(\$ 627.83)
Total			1,924					(\$ 19,200.04)
Total Long Term this period								(\$ 19,200.04)
Total Short Term this period								(\$ 19,827.87)
Total realized gain or (loss) - this period								\$ 1,948.10
Total Long Term - year-to-date								(\$ 22,472.85)
Total Short Term - year-to-date								(\$ 20,524.75)
Total realized gain or (loss) - year-to-date								(\$ 306,721.06)

*Based on information supplied by client or other financial institution not verified by us

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account or if there have been any changes in your financial situation.

investment objectives, requested restrictions or other instructions which might affect the services to be provided to you in the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account. If you would like a copy, please contact your Financial Advisor

**PART IV
CAPITAL GAINS & LOSSES**

SCHEDULE 7

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
COVIDIEN PLC DUKIN USD	10/15/09	03/23/10	25	\$ 43.366	\$ 50.853	\$ 1,084.67	\$ 1,271.31	\$ 186.64
MorganStanley Smith Barney LLC acted as your agent in this transaction		Sold						ST
INGERSOLL RAND PUBLIC LTD CO	08/05/09	03/01/10	203	28.795	32.50	\$,817.01	6,597.58	R 780.57
		Sold						ST
MorganStanley Smith Barney LLC acted as your agent in this transaction	08/01/09	03/01/10	104	29.037	32.50	3,005.32	3,380.04	R 374.72
		Sold						ST

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RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
INGERSOLL RAND PUBLIC LTD CO MorganStanley SmithBarney LLC acted as your agent	08/05/09	03/01/10 Sold	128	\$ 29,037	\$ 32,653	\$ 3,698.85	\$ 4,179.59	\$ 480.74 ST
Total			435			\$ 12,621.18	\$ 14,157.21	\$ 1,638.03
SEAGATE TECHNOLOGY USD MorganStanley SmithBarney LLC acted as your agent	02/23/10	03/29/10 Sold	197	\$ 20,238	\$ 18,912	\$ 3,987.04	\$ 3,725.63	\$ (261.41) ST
Total			99	20,226	18,912	2,002.40	1,872.27	(130.13) ST
SEAGATE TECHNOLOGY USD MorganStanley SmithBarney LLC acted as your agent	02/23/10	03/30/10 Sold	106	20,226	18,585	2,143.99	1,970.06	(173.93) ST
Total			189	21,313	18,585	4,028.29	3,512.65	(515.64) ST
Total			591			\$ 12,161.72	\$ 11,080.61	\$ (1,081.11)
TRANSOCEAN LTD SWITZERLAND NEW MorganStanley SmithBarney LLC acted as your agent	03/30/07	03/29/10 Sold	118	\$ 81,898	\$ 83,199	\$ 9,664.01	\$ 9,817.36	\$ 153.35 LT
Total			125	81,898	83,864	10,237.30	10,482.86	245.56 LT
TRANSOCEAN LTD SWITZERLAND NEW MorganStanley SmithBarney LLC acted as your agent	03/30/07	03/30/10 Sold	47	81,898	83,701	3,849.23	3,933.92	84.69 LT
Total			34	122,558	83,701	4,165.98	2,845.81	(1,321.17) LT
TRANSOCEAN LTD SWITZERLAND NEW MorganStanley SmithBarney LLC acted as your agent	02/06/08	03/30/10 Sold	41	141,436	83,701	5,798.89	3,431.72	(2,367.17) LT
Total			365			\$ 33,716.41	\$ 30,511.87	\$ (3,204.74)
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	03/17/09	03/16/10 Sold	110	\$ 19,718	\$ 37,127	\$ 2,169.02	\$ 4,084.00	\$ 1,914.98 ST
Total			69	19,718	37,154	1,360.57	2,503.65	1,203.08 ST

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION
Account number 383-1631C-17 JD1

MorganStanley
SmithBarney

Ref: 007/0752 00106053

Realized gain or loss continued									
Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	ST
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	03/17/09	03/17/10 Sold	82	\$ 19 718	\$ 37 347	\$ 1 616 91	\$ 3 062 45	\$ 1 445 54	ST
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/09	03/17/10 Sold	25	20 155	37 347	503 88	933 67	429 79	ST
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/09	03/17/10 Sold	116	20 155	36 925	2 337 98	4 283 25	1 945 27	ST
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/09	03/17/10 Sold	116	20 155	36 781	2 337 98	4 266 62	1 928 64	ST
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/09	03/18/10 Sold	109	20 155	36 725	2 196 89	4 003 04	1 806 15	ST
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/09	03/18/10 Sold	103	20 155	36 687	2 075 97	3 778 78	1 702 81	ST
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	03/26/09	03/18/10 Sold	110	20 685	36 687	2 275 41	4 035 60	1 760 19	ST
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	03/26/09	03/19/10 Sold	44	20 685	36 619	910 17	1 611 25	701 08	ST
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	04/22/09	03/19/10 Sold	145	23 055	36 619	3 343 11	5 309 78	1 966 67	ST
Total			1 029			\$ 21 127 89	\$ 37 932 09	\$ 16 804 20	
AMAZON COM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/03/09	03/23/10 Sold	2	\$ 84 508	\$ 129 074	\$ 169 02	\$ 258 14	\$ 89 12	ST
AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09	03/23/10 Sold	25	29 482	40 991	737 05	1 024 77	287 72	ST
APPLE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/30/07	03/23/10 Sold	2	93 418	225 118	186 84	450 23	263 39	LT

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MorganStanley
SmithBarney

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RICE FOUNDATION
FORM 990-PF 2010
36-6043160

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CAPITAL GAINS & LOSSES

SCHEDULE 7

Account number 383-1631C-17 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
APPLE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/30/07	03/31/10 Sold	23	\$ 93.418	\$ 236.032	\$ 2,148.61	\$ 5,428.66	\$ 3,280.05 LT
	03/19/08	03/31/10 Sold	32	131.789	236.032	4,217.25	7,552.91	3,335.66 LT
Total			57			\$ 6,562.70	\$ 13,431.80	\$ 6,879.10
DROADCOM CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/05/09	03/30/10 Sold	257	\$ 23.857	\$ 33.306	\$ 6,131.30	\$ 8,559.59	\$ 2,428.29 ST
DLL1A AIR LINE S INC (NEW) MorganStanley SmithBarney LLC acted as your agent in this transaction	10/15/09	03/29/10 Sold	24	9.04	14.541	216.97	348.99	132.02 ST
	10/16/09	03/29/10 Sold	417	9.086	14.541	3,789.07	6,063.77	2,274.70 ST
Total			441			\$ 4,006.04	\$ 6,412.76	\$ 2,406.72
DIRECTV CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	02/06/08	03/01/10 Sold	131	\$ 22.798	\$ 34.234	\$ 2,986.63	\$ 4,484.59	\$ 1,497.96 LT
	02/13/08	03/01/10 Sold	92	24.736	34.234	2,275.79	3,169.48	873.69 LT
	02/14/08	03/01/10 Sold	6	25.086	34.234	150.52	205.40	54.88 LT
	11/07/08	03/01/10 Sold	57	22.065	34.234	1,257.74	1,951.32	693.58 LT
Total			122			2,692.00	4,232.31	1,540.31 LT
DIRECTV CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	11/10/08	03/16/10 Sold	6	21.978	34.691	131.87	208.15	76.28 LT
	11/10/08	03/17/10 Sold	56	21.978	34.445	1,230.77	1,928.01	698.14 LT
	11/11/08	03/17/10 Sold	65	21.497	34.445	1,397.33	2,238.02	841.59 LT
	01/14/09	03/17/10 Sold	91	20.885	34.445	1,500.56	3,134.49	1,233.93 LT
Total			60			1,253.12	1,998.01	744.80 LT
DIRECTV CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	03/06/09	03/19/10 Sold	139	19.393	33.30	2,695.74	4,628.72	1,932.98 LT

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MorganStanley
SmithBarney

Ref 00020352 00100055

Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
DIRECTV CL A	05/04/09	03/19/10	266	\$ 23,744	\$ 33.30	\$ 6,315.93	\$ 8,857.04	\$ 2,541.91 ST
MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/09	03/19/10	40	25.013	33.30	1,000.54	1,332.01	331.47 ST
DIRECTV CL A	08/06/09	03/29/10	111	25.013	33.041	2,776.48	3,667.56	891.08 ST
MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/09	03/29/10	144	24.941	33.041	3,591.55	4,757.91	1,166.36 ST
DIRECTV CL A	10/05/09	03/29/10	65	27.06	33.041	1,728.96	2,147.67	388.71 ST
MorganStanley SmithBarney LLC acted as your agent in this transaction	10/05/09	03/30/10	34	27.06	33.006	920.07	1,122.20	202.13 ST
DIRECTV CL A	10/06/09	03/30/10	141	27.531	33.006	3,881.94	4,653.83	771.89 ST
MorganStanley SmithBarney LLC acted as your agent in this transaction	02/01/10	03/30/10	148	31.154	33.006	4,610.90	4,884.86	273.96 ST
Total			1,774		\$ 18.67	\$ 42,828.44	\$ 59,584.18	\$ 16,755.74
EMC CORP MASS	08/07/09	03/23/10	50	\$ 15.261		\$ 763.05	\$ 933.49	\$ 170.44 ST
MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/09	03/29/10	186	22.507	27.211	4,186.43	5,061.23	874.80 ST
EBAY INC	10/30/09	03/23/10	8	80.358	101.991	642.87	815.92	173.05 ST
MorganStanley SmithBarney LLC acted as your agent in this transaction	11/05/09	03/18/10	324	7.448	14.093	2,413.41	4,566.14	2,152.73 ST
FORD MOTOR COMPANY	11/05/09	03/29/10	232	7.448	13.53	1,728.12	3,138.94	1,410.82 ST
MorganStanley SmithBarney LLC acted as your agent in this transaction	11/06/09	03/29/10	311	7.598	13.53	2,363.19	4,207.80	1,844.61 ST
Total			867			\$ 6,504.72	\$ 11,912.88	\$ 5,408.16

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RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
GOOGLE INC CLASS A Morgan Stanley Smith Barney LLC acted as your agent	09/17/08	03/23/10 Sold	1	\$ 417,684	\$ 546,378	\$ 417,68	\$ 546,37	\$ 128,69
	09/19/08	03/23/10 Sold	1	450,037	546,378	450,70	546,37	95,67
Total			2			\$ 868,38	\$ 1,092,74	\$ 224,36
INTEL CORP Morgan Stanley Smith Barney LLC acted as your agent	01/12/10	03/09/10 Sold	131	\$ 20,456	\$ 20,927	\$ 2,679,84	\$ 2,741,49	\$ 61,65
	01/12/10	03/09/10 Sold	46	20,539	20,927	944,82	962,66	17,84
Total			25	20,539	22,52	513,49	562,99	49,50
INTEL CORP Morgan Stanley Smith Barney LLC acted as your agent	01/12/10	03/23/10 Sold	25	20,539	22,52	513,49	562,99	49,50
Total			202			\$ 4,138,15	\$ 4,287,14	\$ 128,99
JUNIPER NETWORKS INC Morgan Stanley Smith Barney LLC acted as your agent	10/20/09	03/24/10 Sold	196	\$ 27,664	\$ 30,562	\$ 5,422,18	\$ 5,990,25	\$ 568,07
Total			196					
JUNIPER NETWORKS INC Morgan Stanley Smith Barney LLC acted as your agent	10/20/09	03/24/10 Sold	101	27,664	30,383	2,794,09	3,068,71	274,62
	10/21/09	03/24/10 Sold	80	27,946	30,383	2,235,74	2,430,67	194,93
Total			181					
JUNIPER NETWORKS INC Morgan Stanley Smith Barney LLC acted as your agent	10/21/09	03/25/10 Sold	60	27,946	30,736	1,676,81	1,844,17	167,36
	10/22/09	03/25/10 Sold	112	27,519	30,736	3,082,14	3,442,46	360,32
Total			549			\$ 15,210,96	\$ 16,776,26	\$ 1,565,30
MASTERCARD INC Morgan Stanley Smith Barney LLC acted as your agent	11/05/09	03/23/10 Sold	2	\$ 228,809	\$ 245,662	\$ 457,62	\$ 491,31	\$ 33,69
Total			2					
ORACLE CORP Morgan Stanley Smith Barney LLC acted as your agent	10/20/09	03/23/10 Sold	25	22,124	25,71	553,12	642,75	89,63

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RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
PRICELINE COM INC (NEW)	10/30/09	03/29/10	24	\$ 158,453	\$ 255,606	\$ 3,802.88	\$ 6,134.47	\$ 2,331.59
MorganStanley SmithBarney LLC acted as your agent in this transaction	11/02/09	03/29/10	12	160,848	255,606	1,930.18	3,067.23	1,137.05
Total			36			\$ 5,733.06	\$ 9,201.70	\$ 3,468.64
TEVA PHARMACEUTICAL INDS LTD ADR	02/07/08	03/29/10	147	\$ 46,126	\$ 63,702	\$ 6,780.66	\$ 9,364.15	\$ 2,583.49
MorganStanley SmithBarney LLC acted as your agent								
TEVA PHARMACEUTICAL INDS LTD ADR	02/07/08	03/30/10	31	46,126	63,629	1,429.93	1,972.48	542.55
MorganStanley SmithBarney LLC acted as your agent	02/08/08	03/30/10	100	46,519	63,629	4,651.99	6,362.84	1,710.85
Total			278			\$ 12,862.58	\$ 17,699.47	\$ 4,836.89
Total Long Term this period								\$ 18,923.84
Total Short Term this period								\$ 41,237.82
Total realized gain or (loss) - this period								\$ 60,161.66
Total Long Term - year to-date								\$ 20,871.94
Total Short Term - year to-date								\$ 18,830.21
Total realized gain or (loss) - year to-date								\$ 39,702.15

R The basis for this tax lot has been adjusted due to a recalculation of income

Please notify your financial advisor if you would like to improve any reasonable expectations on the management of your account, or if there have been any changes in your financial situation investment objectives requested extra terms or other information which might affect the services to be provided to you in the manner in which your assets should be invested

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

PART IV
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July 1 - July 31, 2010

Account number 383-1631C-17 JD1

RICE FOUNDATION

Money fund earnings	Description	Comment	Taxable	Non-taxable	Amount
07/30/10	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 07/01/10 08/01/10 32 DAYS AVERAGE YIELD 1.05 %	\$ 26.84		\$ 26.84
Total earnings from money fund			\$ 26.84	\$ 0.00	\$ 26.84

GAIN/LOSS DETAILS

Please note: this material is being prepared for informational purposes only, and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, trades are allocated using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated as Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
MARVELL TECHNOLOGY GROUP LTD-USD	12/14/09	07/28/10	27	\$ 18.801	\$ 15.413	\$ 507.64	\$ 416.17	(\$ 91.47) ST
Morgan Stanley Smith Barney LLC acted as your agent	12/15/09	07/28/10	155	18.896	15.413	2,928.94	2,389.11	(539.83) ST
	12/16/09	07/28/10	184	19.527	15.413	3,592.99	2,836.11	(756.88) ST
	12/16/09	07/28/10	145	19.597	15.413	2,841.65	2,234.97	(606.68) ST
	12/16/09	07/29/10	158	19.597	15.01	3,096.42	2,371.63	(724.79) ST
	12/17/09	07/29/10	100	19.343	15.01	1,934.35	1,501.03	(433.32) ST
	01/20/10	07/29/10	136	19.855	15.01	2,700.29	2,041.41	(658.88) ST
	03/29/10	07/29/10	103	20.483	15.01	2,109.81	1,546.07	(563.74) ST
	03/29/10	07/30/10	106	20.483	14.894	2,171.26	1,577.74	(593.52) ST
	03/30/10	07/30/10	174	20.752	14.884	3,610.97	2,589.87	(1,021.10) ST

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Ref: 00000000000000000000

MorganStanley
SmithBarney

Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss continued									
Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	ST
MARVILL TECHNOLOGY GROUP LTD USD MorganStanley SmithBarney LLC acted as your agent	06/18/10	07/30/10 Sold	155	\$ 10,051	\$ 14,884	\$ 2,553.03	\$ 2,307.07	(\$ 645.96)	ST
	06/21/10	07/30/10 Sold	83	19,215	14,884	1,594.91	1,235.40	(359.51)	ST
Total			1,526			\$ 30,042.26	\$ 23,040.58	(\$ 6,995.68)	
ALLI ORGAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/05/10	07/06/10 Sold	62	\$ 57,564	\$ 62,546	\$ 3,568.97	\$ 3,877.81	\$ 308.84	ST
EXPRESS SCRIPTS INC COMMON MorganStanley SmithBarney LLC acted as your agent in this transaction	11/02/09	07/22/10 Sold	192	41,231	42,163	7,916.36	8,095.29	178.93	ST
	11/03/09	07/22/10 Sold	138	41,021	42,163	5,771.37	5,818.49	47.12	ST
	11/05/09	07/22/10 Sold	9	41,983	42,163	377.85	379.46	1.61	ST
	11/05/09	07/23/10 Sold	229	41,983	42,051	9,614.21	9,629.67	15.46	ST
	11/10/09	07/23/10 Sold	101	43,274	42,051	4,370.72	4,247.15	(123.57)	ST
Total			669			\$ 28,050.51	\$ 28,170.06	\$ 119.55	
ILLINOIS TOOL WORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/07/09	07/22/10 Sold	36	\$ 43,877	\$ 42,497	\$ 1,579.58	\$ 1,529.89	(\$ 49.69)	ST
	10/07/09	07/22/10 Sold	54	44,044	42,497	2,378.41	2,294.84	(83.57)	ST
	10/08/09	07/22/10 Sold	41	45,051	42,497	1,847.10	1,742.30	(104.72)	ST
	10/08/09	07/22/10 Sold	02	44,349	42,497	3,605.83	3,404.76	(201.07)	ST
	10/09/09	07/22/10 Sold	82	44,901	42,497	3,681.91	3,484.76	(197.15)	ST
	10/09/09	07/22/10 Sold	3	44,901	42,210	134.70	126.65	(8.05)	ST
	10/12/09	07/22/10 Sold	72	45,382	42,210	3,267.53	3,049.71	(227.82)	ST
	10/13/09	07/22/10 Sold	70	44,463	42,210	3,112.44	2,955.27	(157.17)	ST
	10/14/09	07/22/10 Sold	46	45,174	42,210	2,078.02	1,942.04	(135.98)	ST

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MorganStanley
SmithBarney

Ref: 00008918 00064942

Account number 383-1637C-17 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ILLINOIS TOOL WORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/14/09	07/23/10 Sold	35	\$ 45.174	\$ 43.139	\$ 1,581.11	\$ 1,509.84	(\$ 71.27) ST
	10/15/09	07/23/10 Sold	72	45.237	43.139	3,257.10	3,105.95	(151.15) ST
	10/16/09	07/23/10 Sold	69	45.148	43.139	3,115.27	2,976.54	(138.73) ST
	10/19/09	07/23/10 Sold	4	46.106	43.139	184.79	172.55	(12.24) ST
ILLINOIS TOOL WORKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/19/09	07/26/10 Sold	58	46.196	43.161	2,679.39	2,503.29	(176.10) ST
	10/20/09	07/26/10 Sold	84	47.767	43.161	4,012.50	3,625.46	(387.04) ST
	10/21/09	07/26/10 Sold	93	48.895	43.161	4,547.25	4,013.90	(533.35) ST
	01/29/10	07/26/10 Sold	84	44.593	43.161	3,745.81	3,625.47	(120.34) ST
Total			985			\$ 44,888.74	\$ 42,133.30	(\$ 2,755.44)
INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/14/10	07/30/10 Sold	304	\$ 21.336	\$ 20.845	\$ 8,194.14	\$ 8,004.38	(\$ 189.76) ST
	01/14/10	07/30/10 Sold	421	21.465	20.845	9,036.93	8,775.63	(261.30) ST
	01/27/10	07/30/10 Sold	221	19.952	20.845	4,409.55	4,606.69	197.14 ST
Total			1,026			\$ 21,640.62	\$ 21,386.70	(\$ 253.92)
MLKESON CORPORATION MorganStanley SmithBarney LLC acted as your agent in this transaction	03/29/10	07/22/10 Sold	49	\$ 65.316	\$ 64.621	\$ 3,200.51	\$ 3,166.39	(\$ 34.12) ST
	03/30/10	07/22/10 Sold	48	65.267	64.621	3,132.84	3,101.77	(31.07) ST
	03/31/10	07/22/10 Sold	45	65.085	64.621	2,955.83	2,907.91	(47.92) ST
	04/01/10	07/22/10 Sold	50	66.177	64.621	3,308.86	3,231.01	(77.85) ST
	04/05/10	07/22/10 Sold	94	66.809	64.621	6,280.13	6,074.31	(205.82) ST
	04/06/10	07/22/10 Sold	23	66.539	64.621	1,530.40	1,486.28	(44.12) ST

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Morgan Stanley
Smith Barney

Rel (00013113) 00064943

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RICE FOUNDATION

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
MCKESSON CORPORATION MorganStanley v Smith Barney LLC acted as your agent in this transaction	01/06/10	07/23/10 Sold	40	\$ 66 539	\$ 65 154	\$ 2,661 56	\$ 2,606 12	ST (\$ 55 44)
Total			349			\$ 23,070 13	\$ 22,573 79	(\$ 496 34)
PARKER HANNIFIN CORP MorganStanley v Smith Barney v LLC acted as your agent in this transaction	02/24/10	07/07/10 Sold	69	\$ 58 499	\$ 56 729	\$ 4,036 45	\$ 3,914 29	ST (\$ 122 16)
	07/25/10	07/07/10 Sold	6	58 25	56 729	349 50	340 37	ST (9 13)
PARKER HANNIFIN CORP MorganStanley Smith Barney LLC acted as your agent in this transaction	02/25/10	07/08/10 Sold	63	58 25	56 89	3,669 70	3 594 05	ST (85 71)
	03/01/10	07/08/10 Sold	18	61 766	56 89	1,111 79	1,024 02	ST (87 77)
PARKER HANNIFIN CORP MorganStanley Smith Barney LLC acted as your agent in this transaction	03/01/10	07/09/10 Sold	47	61 766	57 266	2,903 00	2 691 48	ST (211 52)
	03/02/10	07/09/10 Sold	37	62 129	57 266	2,298 80	2 118 83	ST (179 97)
PARKER HANNIFIN CORP MorganStanley Smith Barney v LLC acted as your agent in this transaction	03/02/10	07/12/10 Sold	80	62 129	56 328	4,970 36	4,506 19	ST (464 17)
PARKER HANNIFIN CORP MorganStanley Smith Barney LLC acted as your agent in this transaction	03/02/10	07/13/10 Sold	11	62 129	57 319	681 43	630 50	ST (52 93)
	03/23/10	07/13/10 Sold	70	66 122	57 319	4,628 57	4 012 28	ST (616 29)
PARKER HANNIFIN CORP MorganStanley Smith Barney LLC acted as your agent in this transaction	03/23/10	07/14/10 Sold	90	66 122	57 111	5,289 79	4,568 81	ST (720 98)
Total			481			\$ 29,941 45	\$ 27,390 82	(\$ 2,550 63)
TTVA PHARMACEUTICALS INC LTD ADR MorganStanley v Smith Barney LLC acted as your agent	02/08/09	07/26/10 Sold	75	\$ 46 519	\$ 49 983	\$ 3,488 99	\$ 3,748 68	LT \$ 259 69
	02/12/08	07/26/10 Sold	62	46 746	49 983	2,898 28	3,008 91	LT 200 63
	02/13/08	07/26/10 Sold	72	47 554	49 983	3,423 94	3,508 73	LT 174 79
	02/06/09	07/26/10 Sold	3	42 116	49 983	126 35	149 94	LT 23 59

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RICE FOUNDATION									
Realized gain or loss continued									
Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TEVA PHARMACEUTICAL INDS	02/06/09	07/27/10	24	\$ 42.116	\$ 50.14	\$ 1,010.80	\$ 1,203.36	\$ 192.56	LT
LTD ADR		Sold							
Morgan Stanley Smith Barney LLC	02/06/09	07/27/10	91	41.951	50.14	3,817.57	4,562.73	745.16	LT
acted as your agent		Sold							
	04/23/09	07/27/10	152	43.806	50.14	6,658.65	7,621.27	962.62	LT
		Sold							
	01/08/10	07/27/10	70	58.907	50.14	4,127.71	3,509.79	(617.92)	ST
		Sold							
	01/11/10	07/27/10	41	58.778	50.14	2,409.91	2,055.74	(354.17)	ST
		Sold							
	02/01/10	07/27/10	25	56.636	50.14	1,415.92	1,253.50	(162.42)	ST
		Sold							
Total			615			\$ 29,378.12	\$ 30,802.65	\$ 1,424.53	
Total Long Term this period								\$ 2,559.04	
Total Short Term this period								(\$ 13,768.13)	
Total realized gain or (loss) this period								\$ 199,381.71	
Total Long Term year to date								\$ 52,678.81	
Total Short Term year to date								\$ 21,063.76	
Total realized gain or (loss) year to date								\$ 1,104,043.00	
								\$ 1,238,380.23	
								\$ 73,742.57	

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives or if you wish to impose any reasonable restriction on the management of your Investment Advisor's accounts or to reasonably modify existing restrictions.
I am a copy of the applicable SEC Form 171. This form is not for Morgan Stanley Smith Barney LLC, or for any Investment Advisor with whom we contract to manage your investment advisor's account please contact your Financial Advisor. These Disclosures Documents contain important information about advisory programs.

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MorganStanley
SmithBarney

Ref 00008220 00058452

Account number 383-1631C-17 JD1

RICE FOUNDATION

Qualified dividends continued	Description	Comment	Taxable	Non-taxable	Amount
08/27/10	EATON CORP	CASH DIV ON 587 0000 SHS XID 08/05/10	\$ 340.46		\$ 340.46
08/30/10	ITAU UNIBANCO BANCO HLDG S A ADR	FOREIGN TAX WITHHELD \$ 42.27 CASH DIV ON 2017 0000 SHS TAX HELD BY FGN GOVTS 42.27 XID 08/11/10	281.77		239.50
Total qualified dividends credited to account					\$ 1,231.10
FRGN tax withheld					42.27
Total qualified dividends earned					\$ 1,273.37

Money fund earnings	Description	Comment	Taxable	Non-taxable	Amount
08/31/10	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 08/02/10 08/31/10 30 DAYS AVERAGE YIELD 20 %	\$ 5.55		\$ 5.55
Total earnings from money fund					\$ 5.55

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/29/10	08/18/10 Sold	86	\$ 71.517	\$ 52.012	\$ 6,150.52	\$ 4,473.00	(\$ 1,677.52) ST
ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/29/10	08/18/10 Sold	7	71.517	52.278	500.02	305.94	(134.08) ST
	03/30/10	08/18/10 Sold	71	72.118	52.278	5,120.39	3,711.71	(1,408.68) ST

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MorganStanley
SmithBarney

Ref: 00008270 00074553

Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/30/10	08/19/10 Sold	20	\$ 72.118	\$ 50.773	\$ 1,442.36	\$ 1,015.45	(\$ 426.91) ST
	03/31/10	08/19/10 Sold	51	72.028	50.773	3,714.25	2,580.30	(1,124.87) ST
ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/31/10	08/19/10 Sold	37	72.028	50.119	2,694.65	1,854.38	(840.27) ST
	04/01/10	08/19/10 Sold	42	74.101	50.119	3,114.70	2,104.97	(1,009.82) ST
ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/01/10	08/20/10 Sold	64	74.161	49.124	4,746.35	3,144.53	(1,601.82) ST
	04/14/10	08/20/10 Sold	8	73.702	49.134	589.62	393.07	(196.55) ST
ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/14/10	08/23/10 Sold	78	73.702	48.953	5,748.75	3,810.11	(1,938.64) ST
ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/14/10	08/24/10 Sold	29	73.702	45.662	2,137.36	1,324.10	(813.18) ST
	05/04/10	08/24/10 Sold	52	63.882	45.662	3,321.87	2,374.40	(947.47) ST
ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/04/10	08/24/10 Sold	62	63.882	46.533	3,950.60	2,909.80	(1,050.89) ST
	05/11/10	08/24/10 Sold	24	57.064	46.933	1,369.54	1,126.37	(243.17) ST
Total	10/10/09	08/25/10 Sold	631	\$ 0.086	\$ 9.637	\$ 44,611.76	\$ 31,208.29	(\$ 13,403.47)
DELTA AIR LINES INC (N W) MorganStanley SmithBarney LLC acted as your agent	10/19/09	08/25/10 Sold	25	8.955	9.637	223.89	240.93	17.04 ST
Total	11/06/09	08/25/10 Sold	50	\$ 7.598	\$ 11.096	\$ 451.05	\$ 481.87	\$ 30.82
FORD MOTOR COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	04/13/10	08/02/10 Sold	43	65.701	63.499	2,825.17	2,730.41	(94.76) ST
ESTEC LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/14/10	08/02/10 Sold	19	66.375	63.499	1,261.12	1,206.16	(54.96) ST

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Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss	Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/14/10	08/03/10 Sold	25	\$ 66,375	\$ 67,385	\$ 1,659.38	\$ 1,559.60	(\$ 99.78) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/15/10	08/03/10 Sold	43	67,211	67,385	2,890.07	2,682.50	(207.57) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/15/10	08/12/10 Sold	1	67,211	57,784	67.21	57.78	(9.43) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/16/10	08/12/10 Sold	43	67,817	57,784	2,916.13	2,484.67	(431.46) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/19/10	08/12/10 Sold	37	67,936	57,784	2,513.65	2,137.97	(375.68) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/20/10	08/12/10 Sold	12	67,579	57,784	810.95	603.40	(117.55) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/20/10	08/13/10 Sold	32	67,579	58,022	2,162.53	1,850.68	(305.85) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/21/10	08/13/10 Sold	41	67,691	58,022	2,775.35	2,378.88	(396.47) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/21/10	08/13/10 Sold	28	67,37	58,022	1,886.37	1,624.60	(261.77) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/21/10	08/16/10 Sold	14	67,37	57,339	943.19	802.73	(140.46) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/22/10	08/16/10 Sold	55	67,543	57,339	3,714.90	3,153.60	(561.30) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/22/10	08/16/10 Sold	35	68,668	57,339	2,403.30	2,006.84	(396.55) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/22/10	08/17/10 Sold	19	68,668	57,441	1,304.70	1,001.37	(303.33) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/27/10	08/17/10 Sold	40	66,803	57,441	2,672.12	2,207.63	(464.49) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/04/10	08/17/10 Sold	25	65,148	57,441	1,628.71	1,436.02	(192.69) ST
	ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/11/10	08/17/10 Sold	23	62,867	57,441	1,445.96	1,321.14	(124.82) ST
	Total			535			\$ 35,880.90	\$ 31,522.28	(\$ 4,358.62)
	NETAPP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/04/09	08/02/10 Sold	105	\$ 32,687	\$ 44,066	\$ 3,432.20	\$ 4,626.86	\$ 1,194.66 ST
	NETAPP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/07/09	08/02/10 Sold	68	33,194	44,066	2,257.21	2,906.45	739.24 ST

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MorganStanley
SmithBarney

Ref: C0000220 0006R455

Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss

continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
NETAPP INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	12/07/09	08/03/10	29	\$ 33.194	\$ 43.341	\$ 962.63	\$ 1,256.89	\$ 294.26 ST
		Sold						
	12/08/09	08/03/10	185	33.358	43.341	6,171.23	8,018.07	1,846.84 ST
		Sold						
Total			387			\$ 12,823.27	\$ 18,898.27	\$ 4,076.00
PRICELINE COM INC (NEW) Morgan Stanley Smith Barney LLC acted as your agent in this transaction	11/02/09	08/13/10	17	\$ 100.848	\$ 297.716	\$ 2,734.43	\$ 5,061.09	\$ 2,326.66 ST
		Sold						
	06/30/10	08/25/10	2	86.989	110.275	173.98	220.54	46.56 ST
		Sold						
Total			2			173.98	220.54	46.56
Total Short Term this period								
Total realized gain or (loss) - this period								(\$ 10,872.94)
Total Long Term year-to-date								
							\$ 98,005.10	(\$ 10,872.94)
Total Short Term year-to-date								
							\$ 52,678.81	\$ 10,390.82
Total realized gain or (loss) year-to-date								
							\$ 1,262,648.82	\$ 1,325,718.45 - \$ 63,069.63

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives or if you wish to impose any reasonable restrictions on the management of your Investment Advisor's account, or to reasonably modify existing restrictions.

For a copy of the applicable SFC Form 497 Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Advisor with whom we contract to manage your investment advisory account, please contact your Financial Advisor. These Disclosure Documents contain important information about advisory programs.

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

Reserved
Client Statement
September 1 - September 30, 2010
RICE FOUNDATION
Account number 383-1631C-17 JDI

MorganStanley
SmithBarney

Ref: 00010090 00101137

GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, traders are allowed using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AMAZON.COM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/13/09	09/20/10 Sold	8	\$ 81.362	\$ 149.908	\$ 650.90	\$ 1,199.44	\$ 547.54 LT
AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09	09/03/10 Sold	109	29.482	41.381	3,213.56	4,510.51	1,296.95 LT
AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/27/09	09/03/10 Sold	124	29.436	41.381	3,650.14	5,131.23	1,481.09 LT
AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/27/09	09/07/10 Sold	170	29.436	40.655	5,004.22	6,911.37	1,907.15 LT
AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/09	09/07/10 Sold	48	31.28	40.655	1,501.46	1,951.44	449.98 LT
AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/09	09/14/10 Sold	202	31.28	40.434	6,318.67	8,167.65	1,848.98 LT
AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/09	09/14/10 Sold	10	31.209	40.434	312.09	404.34	92.25 LT
Total			663			\$ 20,000.14	\$ 27,076.54	\$ 7,076.40
BROADCOM CORP. CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/06/09	09/16/10 Sold	24	\$ 24.155	\$ 35.099	\$ 579.73	\$ 842.36	\$ 262.63 LT
BROADCOM CORP. CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/07/09	09/16/10 Sold	203	22.994	35.099	4,667.82	7,124.99	2,457.17 LT
BROADCOM CORP. CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/07/09	09/16/10 Sold	229	27.689	35.099	5,195.92	8,047.55	2,841.63 LT
BROADCOM CORP. CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	11/05/09	09/16/10 Sold	35	27.203	35.099	952.11	1,228.46	276.35 ST
BROADCOM CORP. CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	11/05/09	09/17/10 Sold	125	27.203	34.659	3,400.40	4,332.40	932.00 ST
BROADCOM CORP. CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	11/05/09	09/17/10 Sold	145	27.014	34.659	3,917.07	5,025.58	1,108.51 ST
BROADCOM CORP. CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	06/15/10	09/17/10 Sold	111	35.498	34.659	4,011.38	3,916.49	(94.89) ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

Reserved
Client Statement
September 1 - September 30, 2010

MorganStanley
SmithBarney

Ref: 00010010 00101130

Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
BROADCOM CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	06/18/10	06/17/10 Sold	66	\$ 35,488	\$ 34,659	\$ 2,342.27	\$ 2,287.51	(\$ 54.76) ST
	06/21/10	09/17/10 Sold	50	36,019	34,659	1,800.98	1,732.06	(68.92) ST
Total			990			\$ 26,867.08	\$ 34,528.30	\$ 7,660.62
CISCO SYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/24/08	09/01/10 Sold	395	\$ 24,945	\$ 20,583	\$ 9,853.63	\$ 8,130.18	(\$ 1,723.45) LT
WALT DISNEY CO MorganStanley SmithBarney LLC acted as your agent in this transaction	01/21/10	09/20/10 Sold	25	30,982	34,856	774.56	871.40	96.84 ST
EMC CORP MASS MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	09/23/10 Sold	90	15,261	20,749	1,373.49	1,867.43	493.94 LT
	08/10/09	09/23/10 Sold	347	15,144	20,749	5,255.28	7,199.99	1,944.71 LT
Total			437			\$ 0,020.77	\$ 9,067.42	\$ 2,438.65
GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/29/09	09/09/10 Sold	13	\$ 393,308	\$ 478,883	\$ 5,113.01	\$ 6,225.18	\$ 1,112.37 LT
	07/24/09	09/09/10 Sold	19	447,487	478,883	8,502.27	9,098.63	596.36 LT
GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09	09/15/10 Sold	11	447,487	480,184	4,922.36	5,281.94	359.58 LT
	10/19/09	09/15/10 Sold	17	551,598	480,184	9,377.17	8,163.00	(1,214.17) ST
	10/20/09	09/15/10 Sold	7	549,093	480,184	3,843.66	3,361.23	(482.43) ST
	10/21/09	09/15/10 Sold	17	556,198	480,184	9,455.37	8,163.00	(1,292.37) ST
Total			84			\$ 41,213.84	\$ 40,293.18	(\$ 920.66)
OCCIDENTAL PETROLEUM CORP DEL MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/10	09/20/10 Sold	25	\$ 75,203	\$ 76,882	\$ 1,880.09	\$ 1,922.03	\$ 41.94 ST

Reserved
Client Statement

September 1 - September 30, 2010

Morgan Stanley
Smith Barney

Ref: 00019090 00101339

PART IV

CAPITAL GAINS & LOSSES

SCHEDULE

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE

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Client Statement
October 1 - October 31, 2010

MorganStanley
SmithBarney

Ref: 00010010-00001170

Account number 383-1631C-17 JD1

RICE FOUNDATION

Money fund earnings	Description	Comment	Taxable	Non-taxable	Amount
10/29/10	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 10/01/10-10/31/10 31 DAYS AVERAGE YIELD .06 %	\$ 3.04		\$ 3.04
Total earnings from money fund			\$ 3.04	\$ 0.00	\$ 3.04

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
DAIMLER AG MorganStanley SmithBarney LLC acted as principal in this transaction	03/16/10	10/14/10	37	\$ 46.896	\$ 66.674	\$ 1,735.16	\$ 2,466.91	\$ 731.75 ST
	03/16/10	10/14/10	28	46.978	66.674	1,315.38	1,866.85	551.47 ST
	03/16/10	10/28/10	5	46.978	67.319	234.89	336.59	101.70 ST
DAIMLER AG MorganStanley SmithBarney LLC acted as principal in this transaction	03/17/10	10/26/10	85	46.907	67.319	3,987.13	5,722.08	1,734.95 ST
	03/18/10	10/26/10	26	46.984	67.319	1,221.58	1,750.28	528.70 ST
	03/19/10	10/26/10	97	46.725	67.319	4,532.39	6,529.90	1,997.51 ST
	03/19/10	10/28/10	16	46.725	65.08	747.61	1,041.27	293.66 ST
DAIMLER AG MorganStanley SmithBarney LLC acted as principal in this transaction	03/19/10	10/28/10	74	46.505	65.08	3,441.39	4,815.87	1,374.48 ST
	03/19/10	10/28/10	92	46.804	65.08	4,306.02	5,987.30	1,681.28 ST
	03/22/10	10/28/10	39	46.506	65.08	1,813.77	2,538.10	724.33 ST
	Total		499			\$ 23,335.32	\$ 33,055.15	\$ 9,719.83

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CAPITAL GAINS & LOSSES

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Reserved
Client Statement
October 1 - October 31, 2010

Ref 00010034 00068171

MorganStanley
SmithBarney

Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	10/15/09	10/18/10 Sold	49	\$ 43 386	\$ 40 837	\$ 2,125 94	\$ 2,000 99	(\$ 124 95) LT
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	10/16/09	10/19/10 Sold	74	43 984	40 006	3,254 85	2,960 42	(294 43) LT
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	10/19/09	10/19/10 Sold	56	44 698	40 006	2,503 12	2,240 32	(262 80) ST
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	10/19/09	10/20/10 Sold	13	44 698	39 715	581 08	516 29	(64 79) LT
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	10/20/09	10/20/10 Sold	74	44 12	39 715	3,264 91	2,938 87	(326 04) ST
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	10/20/09	10/20/10 Sold	72	44 302	39 715	3,189 74	2,859 44	(330 30) ST
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/09	10/20/10 Sold	92	43 841	39 715	4,033 38	3 653 73	(379 65) ST
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/09	10/20/10 Sold	25	43 677	39 715	1,091 93	992 85	(99 08) ST
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/09	10/21/10 Sold	220	43 677	39 896	9,008 96	8,777 06	(231 90) ST
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/09	10/22/10 Sold	46	43 677	39 729	2,009 15	1,827 52	(181 63) LT
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	01/08/10	10/22/10 Sold	85	48 073	39 720	4,154 21	3,376 94	(777 27) ST
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	01/11/10	10/22/10 Sold	49	49 053	39 729	2,403 61	1,946 71	(456 90) ST
COVIDIEN PLC DUBLIN USD MorganStanley SmithBarney LLC acted as your agent in this transaction	02/01/10	10/22/10 Sold	50	50 264	39 729	2,513 21	1,986 43	(526 78) ST
Total			905			\$ 40,734 09	\$ 36,077 57	(\$ 4,656 52)
AGILENT TECHNOLOGIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/02/10	10/12/10 Sold	21	\$ 29 502	\$ 33 579	\$ 619 56	\$ 705 15	\$ 85 59 ST
AGILENT TECHNOLOGIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/02/10	10/12/10 Sold	85	29 702	33 579	2,524 71	2 854 16	329 45 ST
Total			106			\$ 3,144 27	\$ 3,559 31	\$ 415 04

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

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Reserved
Client Statement
October 1 - October 31, 2010

MorganStanley
SmithBarney

Ref: 00010014 00004172

RICE FOUNDATION Account number 383-1631C-17 JDI

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AMAZON.COM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/13/09	10/25/10 Sold	4	\$ 81.362	\$ 169.474	\$ 325.45	\$ 677.88	\$ 352.43 LT
	07/14/09	10/25/10 Sold	9	81.393	169.474	732.54	1,525.24	792.70 LT
Total			13			\$ 1,057.99	\$ 2,203.12	\$ 1,145.13
BAIDU INC SPON ADR RPTING ORD SHS CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/28/10	10/08/10 Sold	128	\$ 73.038	\$ 98.582	\$ 9,348.90	\$ 12,618.39	\$ 3,269.49 ST
CISCO SYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/24/08	10/01/10 Sold	127	24.945	21.865	3,168.13	2,776.87	(391.26) LT
	05/01/08	10/01/10 Sold	221	26.738	21.865	5,909.30	4,832.19	(1,077.11) LT
	05/01/08	10/05/10 Sold	212	26.738	21.949	5,668.64	4,653.17	(1,015.47) LT
	05/07/09	10/05/10 Sold	101	18.966	21.949	3,053.64	3,533.77	480.13 LT
	05/07/09	10/05/10 Sold	40	18.776	21.949	751.07	877.96	126.89 LT
CISCO SYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/07/09	10/29/10 Sold	131	18.776	22.976	2,459.76	3,009.88	550.12 LT
	07/20/09	10/29/10 Sold	174	21.133	22.976	3,677.30	3,997.86	320.56 LT
	07/21/09	10/29/10 Sold	131	21.19	22.976	2,775.92	3,009.88	233.96 LT
	07/21/09	10/29/10 Sold	362	21.506	22.976	7,785.50	8,317.39	531.89 LT
	08/06/09	10/29/10 Sold	581	22.285	22.976	12,947.64	13,349.18	401.54 LT
	11/11/09	10/29/10 Sold	25	23.95	22.976	598.75	574.41	(24.34) ST
Total			2,165			\$ 48,795.65	\$ 48,932.56	\$ 136.91
FMC CORP MALS MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/09	10/01/10 Sold	178	\$ 15.144	\$ 20.361	\$ 2,695.79	\$ 3,624.33	\$ 928.54 LT
	08/10/09	10/01/10 Sold	224	15.094	20.361	3,381.15	4,500.96	1,119.81 LT

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

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Received
Client Statement
October 1 - October 31, 2010

MorganStanley
SmithBarney

Ref: 00010031 00000173

Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
EMC CORP MASS MorganStanley SmithBarney LLC acted as your agent in this transaction	08/11/00	10/01/10 Sold	18	\$ 15,041	\$ 20,361	\$ 270.74	\$ 366.51	\$ 95.77 LT
	08/12/09	10/01/10 Sold	20	15.31	20.361	306.22	407.23	101.01 LT
Total			440			\$ 6,653.90	\$ 8,969.03	\$ 2,305.13
ITAU UNIBANCO BANCO HLDG S A ADR MorganStanley SmithBarney LLC acted as your agent	05/25/10	10/09/10 Sold	124	\$ 16,712	\$ 25,431	\$ 2,072.36	\$ 3,153.43	\$ 1,081.07 ST
ITAU UNIBANCO BANCO HLDG S A ADR MorganStanley SmithBarney LLC acted as your agent	05/25/10	10/14/10 Sold	334	16.712	25.453	5,592.01	8,501.25	2,919.24 ST
	05/25/10	10/14/10 Sold	48	17.102	25.453	820.94	1,221.74	400.80 ST
Total			506			\$ 8,475.31	\$ 12,876.42	\$ 4,401.11
JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	05/28/10	10/09/10 Sold	295	\$ 39,875	\$ 39,578	\$ 11,763.30	\$ 11,675.55	(\$ 87.75) ST
JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	05/28/10	10/11/10 Sold	311	39.875	39.736	12,401.31	12,357.81	(\$ 43.50) ST
JPMORGAN CHASE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	05/28/10	10/12/10 Sold	315	39.875	39.946	12,560.82	12,582.86	22.04 ST
Total			921			\$ 36,725.43	\$ 36,616.22	(\$ 109.21)

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

Wells-Peterson
Ref: 00010074 (0006174)
MorganStanley
SmithBarney
Reserved
Client Statement
October 1 - October 31, 2010
RICE FOUNDATION
Account number 383-1631C-17 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
NETAPP INC	12/08/09	10/01/10	61	\$ 33,358	\$ 48,672	\$ 2,034.84	\$ 2,968.96	\$ 934.12
MorganStanley SmithBarney LLC		Sold						
acted as your agent	12/09/09	10/01/10	20	33,543	48,672	670.06	973.43	302.57
in this transaction		Sold						
Total			81			\$ 2,705.70	\$ 3,942.39	\$ 1,236.69
Total Long Term this period								\$ 2,946.71
Total Short Term this period								\$ 14,917.89
Total realized gain or (loss) - this period						\$ 180,976.58	\$ 198,840.16	\$ 17,863.00
Total Long Term - year-to-date								\$ 71,593.40
Total Short Term - year-to-date								\$ 25,143.33
Total realized gain or (loss) - year-to-date						\$ 1,591,728.89	\$ 1,688,465.72	\$ 96,736.73

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions.

For a copy of the applicable SEC Form ADV Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Adviser with whom we contract to manage your investment advisory account, please contact your Financial Advisor. These Disclosure Documents contain important information about advisory programs.

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE

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Ref 00002695 000338C3
MorganStanley
SmithBarney
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Account number 383-1631C-17 JD1

RICE FOUNDATION

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AGILENT TECHNOLOGIES INC MorganStanley SmithBarney LLC acted as your agent In this transaction	02/03/10	11/04/10 Sold	25	\$ 29,702	\$ 35,807	\$ 742.56	\$ 895.16	\$ 152.80 ST
AMAZON.COM INC MorganStanley SmithBarney LLC acted as your agent In this transaction	07/14/09	11/04/10 Sold	4	81.393	170.676	325.57	682.68	357.11 LT
BAIDU INC SPON ADR RPTNG ORD SHS CL A MorganStanley SmithBarney LLC acted as your agent In this transaction	05/28/10	11/04/10 Sold	4	73.038	111.255	292.15	445.01	152.86 ST
EQUINIX INC MorganStanley SmithBarney LLC acted as your agent In this transaction	07/30/10	11/22/10 Sold	30	92.998	82.217	2,789.94	2,466.49	(323.45) ST
EQUINIX INC MorganStanley SmithBarney LLC acted as your agent In this transaction	07/30/10	11/22/10 Sold	14	92.998	81.973	1,301.97	1,147.60	(154.37) ST
EQUINIX INC MorganStanley SmithBarney LLC acted as your agent In this transaction	07/30/10	11/22/10 Sold	9	93.00	81.973	837.00	737.75	(99.25) ST
EQUINIX INC MorganStanley SmithBarney LLC acted as your agent In this transaction	07/30/10	11/23/10 Sold	8	93.00	81.408	744.00	651.26	(82.74) ST
EQUINIX INC MorganStanley SmithBarney LLC acted as your agent In this transaction	08/02/10	11/23/10 Sold	37	92.998	81.408	3,440.96	3,012.05	(428.91) ST
EQUINIX INC MorganStanley SmithBarney LLC acted as your agent In this transaction	08/02/10	11/23/10 Sold	35	92.998	80.742	3,254.96	2,825.94	(429.02) ST
EQUINIX INC MorganStanley SmithBarney LLC acted as your agent In this transaction	08/03/10	11/23/10 Sold	35	93.978	80.742	3,289.26	2,825.93	(463.33) ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

Ref. 00002095 00093804
Paul 7- Wells MorganStanley SmithBarney
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Account number 303-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
EQUINIX INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/03/10	11/24/10	12	\$ 93 978	\$ 81 334	\$ 1,127 75	\$ 975 99	(\$ 151 76) ST
		Sold						
	08/05/10	11/24/10	43	93 999	81 334	4,041 99	3,497 31	(544 68) ST
		Sold						
EQUINIX INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/10	11/24/10	15	93 998	81 334	1,409 98	1,219 99	(189 99) ST
		Sold						
	08/06/10	11/26/10	66	93 998	79 944	6,203 93	5,276 21	(927 72) ST
		Sold						
EQUINIX INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/10	11/29/10	14	93 998	79 205	1,315 99	1,108 85	(207 14) ST
		Sold						
	08/09/10	11/29/10	46	93 72	79 205	4,311 14	3,643 37	(667 77) ST
		Sold						
EQUINIX INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/10	11/29/10	13	93 431	79 205	1,214 61	1,029 65	(184 96) ST
		Sold						
	Total		377	\$ 35,283 48	\$ 87 170	\$ 4,342 97	\$ 4,271 68	(\$ 71 29) ST
FEDEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/16/10	11/01/10	49	\$ 88 632	87 178	4,393 09	4,271 68	(121 41) S
		Sold						
	03/17/10	11/01/10	49	89 654	87 178	716 34	697 41	(18 93) ST
		Sold						
FEDEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/17/10	11/02/10	40	89 542	86 404	3,581 70	3,456 13	(125 57) ST
		Sold						
	03/17/10	11/02/10	77	89 314	86 404	6,877 25	6,653 05	(224 20) ST
		Sold						
FEDEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/17/10	11/03/10	12	89 314	87 846	1,071 78	1,051 74	(20 04) ST
		Sold						
	03/18/10	11/03/10	110	90 592	87 846	9,965 21	9,640 93	(324 28) ST
		Sold						
FEDEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/10	11/04/10	46	92 289	88 395	4,245 34	4,066 13	(179 21) ST
		Sold						
	03/29/10	11/04/10	38	92 312	88 395	3,507 88	3,359 97	(148 91) ST
		Sold						
Total	05/11/10	11/04/10	40	88 064	88 395	3,522 56	3,535 76	13 20 ST
		Sold						
Total			490			\$ 40 724 19	\$ 41 007 48	\$ 283 29

Ref 00002635 00003805

MorganStanley
SmithBarney

Reserved
Client Statement
November 1 - November 30, 2010

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Account number 383-1631C-17 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
F5 NETWORKS INC MorganStanley SmithBarney LLC acted as your agent In this transaction	06/18/10	11/15/10 Sold	34	\$ 73,798	\$ 119,657	\$ 2,509.15	\$ 4,068.29	\$ 1,559.14 ST
	06/21/10	11/15/10 Sold	34	75,751	119,657	2,575.54	4,060.29	1,492.75 ST
	06/21/10	11/15/10 Sold	7	74,128	119,657	518.90	837.60	318.70 ST
Total			75			\$ 5,603.59	\$ 8,974.18	\$ 3,370.59
MACYS INC MorganStanley SmithBarney LLC acted as your agent In this transaction	08/12/10	11/04/10 Sold	25	\$ 20,226	\$ 24,995	\$ 505.66	\$ 624.88	\$ 119.22 ST
MCKESSON CORPORATION MorganStanley SmithBarney LLC acted as your agent In this transaction	04/06/10	11/05/10 Sold	64	66,539	66,251	4,258.49	4,239.99	(18.50) ST
	04/21/10	11/05/10 Sold	24	65.51	66,251	1,572.25	1,590.00	17.75 ST
	04/22/10	11/05/10 Sold	20	66,836	66,251	1,336.74	1,325.00	(11.74) ST
MCKESSON CORPORATION MorganStanley SmithBarney LLC acted as your agent In this transaction	04/22/10	11/09/10 Sold	10	66,836	65,312	668.37	653.11	(15.26) ST
	04/22/10	11/09/10 Sold	27	66,745	65,312	1,802.12	1,763.41	(38.71) ST
	04/23/10	11/09/10 Sold	56	67.46	65,312	3,777.80	3,657.43	(120.37) ST
	04/23/10	11/09/10 Sold	22	67,916	65,312	1,494.16	1,436.85	(57.31) ST
MCKESSON CORPORATION MorganStanley SmithBarney LLC acted as your agent In this transaction	04/23/10	11/10/10 Sold	43	67,916	64,423	2,320.41	2,770.18	(150.23) ST
	05/04/10	11/10/10 Sold	39	66,885	64,423	2,608.52	2,512.49	(96.03) ST
MCKESSON CORPORATION MorganStanley SmithBarney LLC acted as your agent In this transaction	05/04/10	11/10/10 Sold	73	66,885	64,985	4,882.62	4,743.87	(138.75) ST
	05/10/10	11/10/10 Sold	10	66,179	64,985	661.80	649.85	(11.95) ST
MCKESSON CORPORATION MorganStanley SmithBarney LLC acted as your agent In this transaction	05/10/10	11/11/10 Sold	80	66,179	64,711	5,294.36	5,176.83	(117.53) ST
Total			468			\$ 31,277.64	\$ 30,519.01	(\$ 758.63)

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

Reserved
Client Statement
November 1 - November 30, 2010

MorganStanley
SmithBarney

Ref: 00002095 00093866

RICE FOUNDATION Account number 383-1631C-17 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
NOBLE ENERGY INC MorganStanley SmithBarney LLC acted as your agent In this transaction	04/07/09	11/11/10 Sold	32	\$ 58,187	\$ 85,418	\$ 1,862.01	\$ 2,733.33	\$ 871.32 LT
NOBLE ENERGY INC MorganStanley SmithBarney LLC acted as your agent In this transaction	04/08/09	11/11/10 Sold	9	59,498	85,418	535.48	768.75	233.27 L
NOBLE ENERGY INC MorganStanley SmithBarney LLC acted as your agent In this transaction	04/08/09	11/12/10 Sold	61	59,498	82,392	3,629.39	5,025.82	1,396.43 LT
NOBLE ENERGY INC MorganStanley SmithBarney LLC acted as your agent In this transaction	04/15/09	11/12/10 Sold	70	57,842	82,392	4,048.98	5,767.34	1,718.36 LT
NOBLE ENERGY INC MorganStanley SmithBarney LLC acted as your agent In this transaction	04/23/09	11/12/10 Sold	16	58,509	82,392	936.15	1,318.25	382.10 LT
Total			188		\$ 11,012.01		\$ 15,613.49	\$ 4,601.48
PRICELINE COM INC (NEW) MorganStanley SmithBarney LLC acted as your agent In this transaction	11/02/09	11/04/10 Sold	2	\$ 160,848	\$ 382,482	\$ 321.70	\$ 764.94	\$ 443.24 LT
SALESFORCE COM INC MorganStanley SmithBarney LLC acted as your agent In this transaction	06/30/10	11/04/10 Sold	14	86,989	115,805	1,217.85	1,621.25	403.40 ST
SALESFORCE.COM INC MorganStanley SmithBarney LLC acted as your agent In this transaction	06/30/10	11/30/10 Sold	32	86,989	140,146	2,783.66	4,484.60	1,700.94 ST
Total			46		\$ 4,001.51		\$ 6,105.85	\$ 2,104.34
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent In this transaction	07/16/09	11/04/10 Sold	13	\$ 34,388	\$ 46,901	\$ 447.05	\$ 609.71	\$ 162.66 LT
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent In this transaction	07/17/09	11/04/10 Sold	69	34,784	46,901	2,400.09	3,236.13	836.04 LT
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent In this transaction	07/17/09	11/05/10 Sold	24	34,784	47,005	834.82	1,128.12	293.30 LT
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent In this transaction	07/17/09	11/05/10 Sold	145	34,915	47,005	5,062.73	6,815.74	1,753.01 LT
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent In this transaction	07/17/09	11/08/10 Sold	14	34,915	46,003	488.82	644.03	155.21 LT
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent In this transaction	07/17/09	11/08/10 Sold	63	35,035	40,003	2,207.22	2,898.14	690.92 LT

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MorganStanley
SmithBarney

Ref 00002695 00033867

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PART IV
CAPITAL GAINS & LOSSES

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RICE FOUNDATION Account number 383-1631C-17 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09	11/08/10 Sold	94	\$ 35 887	\$ 46,003	\$ 3,373.44	\$ 4,324 21	\$ 950.77 LT
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	07/27/09	11/08/10 Sold	40	35 85	46 003	1,434 00	1,840 10	406 10 LT
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	07/27/09	11/09/10 Sold	41	35 85	45 479	1,469 85	1,864 61	394 76 LT
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	07/27/09	11/09/10 Sold	97	35 604	45 479	3,453 64	4,411 40	957 76 LT
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	07/28/09	11/09/10 Sold	63	35 855	45,479	2,258 91	2,865 13	606 22 LT
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	07/28/09	11/10/10 Sold	64	35 855	45 09	2,294.76	2,885 71	590 95 LT
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	01/29/10	11/10/10 Sold	146	38 357	45 09	5,600 20	6,583 04	982 84 ST
Total -			873			\$ 31,325 53	\$ 40,106 07	\$ 8,780 54
Total Long Term this period								\$ 13,195 53
Total Short Term this period								\$ 30 09
Total realized gain or (loss) - this period							\$ 176,153.14	\$ 13,227 62
Total Long Term - year-to-date								\$ 84,792 83
Total Short Term - year-to-date								\$ 25,181 42
Total realized gain or (loss) - year-to-date							\$ 1,754,644 51	\$ 1,864,618 85
								\$ 109,974 35

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions

For a copy of the applicable SEC Form ADV Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Adviser with whom we contract to manage your investment advisory account, please contact your Financial Advisor. These Disclosure Documents contain important information about advisory programs.

Feb 27 - Wells

Reserved Client Statement

December 1 - December 31, 2010

Morgan Stanley Smith Barney

RAE (0001)HVA3 00102467

Account number 383-1631C-17 JD1

RICE FOUNDATION

GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from your trades are allocated using the FIFO (first-in, first-out) method. Do not rely on this section for day trading results. Your reinvestment in this has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
APPLE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/17/08	12/28/10 Sold	5	\$ 181,444	\$ 325,768	\$ 907,22	\$ 1,628,81	\$ 721,59 LT
EQUINIX INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/10/10	11/30/10 Sold	31	93,431	78,239	3,083,25	2,581,85	(501,40) ST
EQUINIX INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/10/10	12/01/10 Sold	1	93,431	79,689	93,43	79,69	(13,74) ST
EQUINIX INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/11/10	12/01/10 Sold	38	92,984	70,089	3,533,41	3,028,15	(505,26) ST
Total			72			\$ 6,710,09	\$ 5,689,69	(\$ 1,020,40)
F5 NETWORKS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/21/10	12/08/10 Sold	19	\$ 74,128	\$ 130,29	\$ 1,408,44	\$ 2,589,47	\$ 1,181,03 ST
F5 NETWORKS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/22/10	12/08/10 Sold	41	74,745	136,79	3,064,58	5,587,80	2,523,22 ST
Total			60			\$ 4,473,02	\$ 8,177,27	\$ 3,704,25
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	04/23/09	12/08/10 Sold	43	\$ 58,509	\$ 83,619	\$ 2,515,90	\$ 3,595,56	\$ 1,079,66 LT
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	04/24/09	12/08/10 Sold	28	60,832	83,619	1,703,30	2,341,29	637,99 LT
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	04/27/09	12/08/10 Sold	21	58,428	83,619	1,227,01	1,755,97	528,96 LT
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	04/30/09	12/08/10 Sold	37	50,337	83,619	2,084,48	3,093,85	1,009,37 LT
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	04/30/09	12/09/10 Sold	19	56,337	82,672	1,070,41	1,570,76	500,35 LT
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/21/10	12/09/10 Sold	51	77,106	82,672	3,932,44	4,216,25	283,81 ST

PART IV CAPITAL GAINS & LOSSES

SCHEDULE

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

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RICE FOUNDATION

Morgan Stanley
Smith Barney

Ref: 00018143 00107164

Account number 383-1631C-17 JD1

Realized gain or loss (continued)

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/22/10	12/09/10 Sold	28	\$ 76.713	\$ 82.672	\$ 2,147.97	\$ 2,314.80	\$ 166.83 ST
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/25/10	12/09/10 Sold	29	78.147	82.672	2,266.20	2,307.47	131.19 ST
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/25/10	12/10/10	11	78.147	81.914	859.62	901.04	41.42 ST
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/26/10	12/10/10	120	78.125	81.914	9,375.01	9,829.52	454.51 ST
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/26/10	12/13/10 Sold	11	78.125	82.03	859.38	902.12	42.94 ST
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/27/10	12/13/10 Sold	58	74.166	82.03	4,301.65	4,757.67	456.02 ST
NOBLE ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/16/10	12/13/10 Sold	62	65.083	82.03	4,097.16	5,085.79	988.63 ST
Total			518			\$ 36,440.61	\$ 42,762.29	\$ 6,321.68
SALESFORCE COM INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/30/10	12/28/10 Sold	5	\$ 86.989	\$ 132.937	\$ 434.95	\$ 664.67	\$ 229.72 ST
Total Long Term this period								\$ 4,477.92
Total Short Term this period								\$ 5,478.92
Total realized gain or (loss) - this period								\$ 9,956.84
Total Long Term - year-to-date								\$ 89,270.85
Total Short Term - year-to-date								\$ 30,660.34
Total realized gain or (loss) - year-to-date								\$ 119,931.19

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisor's account, or to reasonably modify existing restrictions.

For a copy of the applicable SEC Form 4D, Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Advisor with whom we contract to manage your investment advisor's account, please contact your Financial Advisor. The Disclosure Documents contain important information about advisory programs.

PART IV
CAPITAL GAINS & LOSSES

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January 1 - January 31, 2010

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RICE FOUNDATION

GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, traders are allocated using the FIFO (first-in/first-out) method. Day traders should therefore not rely on this section for day trading results. Your investment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
TRANSOCEAN LTD SWITZERLAND NEW	07/11/06	01/15/10 Sold	54,3279	\$ 78,768	\$ 91,176	\$ 4,279.34	\$ 4,953.37	\$ 674.03* LT
MorganStanley SmithBarney LLC acted as your agent	07/23/07	01/15/10 Sold	81,6721	116,399	91,176	9,506.60	7,446.49	(2,060.11) LT
	02/05/08	01/15/10 Sold	120	123,478	91,176	14,817.37	10,941.05	(3,876.32) LT
Total			258			\$ 28,603.31	\$ 23,340.91	(\$ 5,262.40)
EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/04/08	01/15/10 Sold	213	\$ 79,635	\$ 48,855	\$ 16,962.28	\$ 10,406.11	(\$ 6,556.17) LT
	05/23/08	01/15/10 Sold	182	89,783	48,855	16,340.62	8,891.60	(7,449.02) LT
Total			395			\$ 33,302.90	\$ 19,297.71	(\$ 14,005.19)
GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction	02/26/04	01/25/10 Sold	266	\$ 32.73	\$ 16.50	\$ 8,706.18	\$ 4,388.94	(\$ 4,317.24)* LT
	03/02/05	01/25/10 Sold	200	35.60	16.50	7,120.00	3,299.96	(3,820.04)* LT
Total			466			\$ 15,826.18	\$ 7,688.90	(\$ 8,137.28)
ESTEE LAUDER COS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/03	01/21/10 Sold	55	\$ 36.61	\$ 52.991	\$ 2,013.55	\$ 2,914.49	\$ 900.94* LT
	11/25/03	01/21/10 Sold	51	37.54	52.991	1,914.54	2,702.53	787.99* LT
	12/26/03	01/21/10 Sold	50	39.42	52.991	1,971.00	2,649.54	678.54* LT

PART IV
CAPITAL GAINS & LOSSES

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MorganStanley
SmithBarney

Ref: 00009858 00062674

Account number 383-1630C-19 JDT

RICE FOUNDATION

Realized gain or loss	Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
	ESTEE LAUDER COS INC CL A	01/23/04	01/21/10	50	\$ 40.48	\$ 52.991	\$ 2,024.00	\$ 2,640.54	\$ 625.54* LT
	MorganStanley SmithBarney LLC acted as your agent in this transaction	02/26/04	01/21/10	14	41.75	52.991	584.50	741.86	157.36* LT
	Total			220			\$ 8,507.59	\$ 11,857.96	\$ 3,160.37
	Total Long Term this period								(\$ 24,254.50)
	Total realized gain or (loss) - this period						\$ 86,239.98	\$ 61,985.48	(\$ 24,254.50)
	Total Long Term - year-to-date								(\$ 24,254.50)
	Total Short Term - year-to-date								\$ 0.00
	Total realized gain or (loss) - year-to-date						\$ 86,239.98	\$ 61,985.48	(\$ 24,254.50)

*Based on information supplied by client or other financial institution not verified by us

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account, or if there have been any changes in your financial situation investment objectives, requested restrictions or other instructions which might affect the services to be provided to you or the manner in which our assets should be invested.

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account. If you would like a copy, please contact your Financial Advisor.

PART IV
CAPITAL GAINS AND LOSSES

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RICE FOUNDATION

GAIN/LOSS DETAILS

Please note: this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, traders are allocated using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your investment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ENTERGY CORPORATION-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	02/04/08	02/02/10 Sold	149	\$ 112.394	\$ 78.826	\$ 16,746.75	\$ 11,744.98	(\$ 5,001.77) LT
	05/23/08	02/02/10 Sold	142	120.996	78.826	17,181.43	11,193.20	(\$ 5,988.23) LT
Total			291			\$ 33,928.18	\$ 22,938.18	(\$ 10,990.00)
MCGRAW HILL COS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/25/03	02/22/10 Sold	47	\$ 34.31	\$ 34.619	\$ 1,612.57	\$ 1,627.11	\$ 14.54* LT
	12/26/03	02/22/10 Sold	93	34.36	34.619	3,195.48	3,219.61	24.13* LT
Total			140			\$ 4,808.05	\$ 4,846.72	\$ 38.67
PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/03	02/22/10 Sold	104	\$ 47.74	\$ 62.482	\$ 4,964.96	\$ 6,498.12	\$ 1,533.16* LT
	11/25/03	02/22/10 Sold	11	47.65	62.482	524.15	687.30	163.15* LT
Total			115			\$ 5,489.11	\$ 7,185.42	\$ 1,696.31
PROCTER & GAMBLE CO MorganStanley SmithBarney LLC acted as your agent in this transaction	11/25/03	02/22/10 Sold	103	\$ 48.34	\$ 63.557	\$ 4,979.02	\$ 6,546.30	\$ 1,567.28* LT
	12/26/03	02/22/10 Sold	14	49.15	63.557	688.10	889.79	201.69* LT
Total			117			\$ 5,667.12	\$ 7,436.09	\$ 1,768.97

PART IV
CAPITAL GAINS & LOSSES

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RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
TARGET CORP	10/27/03	02/22/10	78	\$ 38.98	\$ 50.768	\$ 3,040.44	\$ 3,959.88	\$ 919.44* LT
Morgan Stanley Smith Barney LLC acted as your agent in this transaction	11/25/03	02/22/10	23	39.02	50.768	897.46	1,167.66	270.20* LT
Total			101			\$ 3,937.90	\$ 5,127.54	\$ 1,189.64 (\$ 6,298.41)
Total Long Term this period						\$ 53,830.36	\$ 47,533.95	(\$ 6,296.41)
Total realized gain or (loss) - this period								(\$ 30,550.91)
Total Long Term - year-to-date								\$ 0.00
Total Short Term - year-to-date								
Total realized gain or (loss) - year-to-date						\$ 140,070.34	\$ 109,519.43	(\$ 30,550.91)

*Based on information supplied by client or other financial institution, not verified by us

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account or if there have been any changes in your financial situation investment objectives requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes their own investment advisory services and those of any other investment advisors managing your account. If you would like a copy, please contact your Financial Advisor.

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MorganStanley
SmithBarney

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RICE FOUNDATION

GAIN/LOSS DETAILS

Please note: this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Allent specific instructions from your broker are attached using the TIF (first-in, first-out) method. Day trader's should therefore not rely on this section for day trading results. Your reinvestment in this transaction has been designated as Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	01/23/04	03/12/10	25	\$ 43.78	\$ 40.81	\$ 1,094.50	\$ 1,020.25	(\$ 74.25)* LT
	02/26/04	03/12/10	50	46.79	40.81	2,700.61	2,407.80	(352.81)* LT
	03/02/05	03/12/10	170	48.03	40.81	8,165.10	6,937.73	(1,227.37)* LT
	02/14/06	03/12/10	121	53.70	40.81	6,497.70	4,938.03	(1,559.67)* LT
Total			376			\$ 18,517.91	\$ 15,303.81	(\$ 3,214.10)
APPLE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/23/07	03/12/10	72	\$ 143.82	\$ 226.742	\$ 10,355.04	\$ 16,325.26	\$ 5,970.17 LT
INTUITIVE SURGICAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/08	03/12/10	25	284.128	356.312	7,103.20	8,907.69	1,804.49 LT
Total Long Term this period								\$ 4,580.58
Total realized gain or (loss) - this period							\$ 36,978.20	\$ 40,638.78
Total Long Term year-to-date								(\$ 25,117.35)
Total Short Term year-to-date								\$ 0.00
Total realized gain or (loss) - year to date							\$ 175,173.54	\$ 150,058.19
								(\$ 25,117.35)

*Based on information supplied by client or other financial institution not verified by us

PART IV CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART IV
CAPITAL GAINS & LOSSES

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Client Statement
October 1 - October 31, 2010

MorganStanley
SmithBarney

R-1 00010031 0000133

Account number 383-1630C-19 JD1

RICE FOUNDATION

Qualified dividends	continued	Description	Comment	Taxable	Non-taxable	Amount
10/12/10		SVSCO CORP	CASH DIV ON 717 0000 SHS X/D 09/29/10	\$ 179 25		\$ 179 25
10/25/10		GENERAL ELECTRIC CO	CASH DIV ON 2594 0000 SHS X/D 09/16/10	311 28		311 28
10/29/10		MEDTRONIC INC	CASH DIV ON 579 0000 SHS X/D 10/06/10	130 28		130 28
Total qualified dividends earned				\$ 6,126.41	\$ 0.00	\$ 6,126.41
Money fund earnings		Description	Comment	Taxable	Non-taxable	Amount
10/29/10		WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 10/01/10 10/31/10 31 DAYS AVERAGE YIELD .06 %	\$ 5.74		\$ 5.74
Total earnings from money fund				\$ 5.74	\$ 0.00	\$ 5.74

GAIN/LOSS DETAILS

Please note: this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from your broker, we allocated using the FIFO (first-in, first-out) method. Don't forget to report on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
BANK OF AMERICA CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	11/05/03	10/20/10 Sold	52	\$ 37.74	\$ 11.50	\$ 1,962.48	\$ 598.00	(\$ 1,364.48) * LT
	11/25/03	10/20/10 Sold	56	37.58	11.50	2,104.48	644.00	(1,460.48) * LT
	12/26/03	10/20/10 Sold	124	39.78	11.50	4,932.72	1,426.00	(3,506.72) * LT
	01/13/04	10/20/10 Sold	8	39.26	11.50	314.08	92.00	(222.08) * LT
	01/23/04	10/20/10 Sold	66	40.85	11.50	2,696.10	759.00	(1,937.10) * LT
	02/26/04	10/20/10 Sold	80	40.91	11.50	3,272.80	920.00	(2,352.80) * LT

Realized gain or loss

PART IV
CAPITAL GAINS & LOSSES

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MorganStanley
SmithBarney

Ref: 000100011 00000134

Account number 383-1630C-19 JDI

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
BANK OF AMERICA CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/02/05	10/20/10 Sold	103	\$ 46 65	\$ 11 50	\$ 4,804 95	\$ 1,184 50	(\$ 3,620 45)* LT
	02/14/06	10/20/10 Sold	512	44 28	11 50	22,671 36	5,888 00	(10,783 36)* LT
	10/17/06	10/20/10 Sold	171	53 56	11 50	9,158 76	1,566 50	(7,592 26)* LT
	11/24/09	10/20/10 Sold	988	16 13	11 50	15,936 84	11,362 01	(4,574 83) ST
	Total		2,160			\$ 67,854 57	\$ 24,840 01	(\$ 43,014 56)
FLUOR CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	05/05/06	10/20/10 Sold	320	\$ 46 715	\$ 49 895	\$ 14,948 80	\$ 15,966 15	\$ 1,017 35* LT
	06/22/06	10/20/10 Sold	14	43 745	49 895	612 43	698 52	86 09* LT
	02/04/08	10/20/10 Sold	70	61 881	49 895	4,331 70	3,492 60	(839 10) LT
	Total		404			\$ 19,892 93	\$ 20,157 27	\$ 264 34
	01/23/04	10/20/10 Sold	34	\$ 28 49	\$ 25 236	\$ 968 66	\$ 858 03	(\$ 110 63)* LT
MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/17/04	10/20/10 Sold	74	26 97	25 236	1,995 78	1,867 48	(128 30)* LT
	02/26/04	10/20/10 Sold	262	26 52	25 236	6,948 24	6,611 90	(336 34)* LT
	03/02/05	10/20/10 Sold	56	25 31	25 236	1,417 36	1,413 23	(4 13)* LT
	Total		426			\$ 11,330 04	\$ 10,760 64	(\$ 569 40)

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CAPITAL GAINS & LOSSES

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MorganStanley
SmithBarney

Ref: 070100111 0008R175

Account number 383-1630C-19 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
PEABODY ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/07/07	10/20/10 Sold	400	\$ 38,331	\$ 52,181	\$ 15,236.59	\$ 20,872.12	\$ 5,635.53* LT
	07/23/07	10/20/10 Sold	187	42,622	52,181	7,925.62	9,757.72	1,832.10 LT
	08/15/07	10/20/10 Sold	7	39,688	52,181	276.14	365.26	89.12 LT
Total			594			\$ 23,438.35	\$ 30,995.10	\$ 7,556.75 (\$ 31,198.04)
Total Long Term this period								
Total Short Term this period								
Total realized gain or (loss) - this period								
Total Long Term year-to-date								
Total Short Term year-to-date								
Total realized gain or (loss) - year-to-date								
*Based on information supplied by client or other financial institution not verified by us								

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts or to reasonably modify existing restrictions.

For a copy of the applicable SEC Form ADV, Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Advisor with whom we contract to manage your investment advisory account, please contact your Financial Advisor. These Disclosure Documents contain important information about advisory programs.

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CAPITAL GAINS AND LOSSES

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MorganStanley
SmithBarney

Ref 00020179 00105189

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RICE FOUNDATION

Qualified dividends		continued			
Date	Description	Comment	Taxable	Non-taxable	Amount
03/31/10	PUBLIC SERVICE ENTERPRISE GRP	CASH DIV ON 246 0000 SHS X/D 03/08/10	\$ 84.26		\$ 84.26
03/31/10	TRAVELERS COMPANIES INC	CASH DIV ON 876 0000 SHS X/D 03/08/10	289.08		289.08
Total qualified dividends earned			\$ 3,218.71	\$ 0.00	\$ 3,218.71

Other dividends					
Date	Description	Comment	Taxable	Non-taxable	Amount
03/02/10	NOBLE CORPORATION CHF	RET OF CAP ON 70 0000 SHS	\$ 3.24		\$ 3.24
Return of capital earned			\$ 3.24		\$ 3.24

Money fund earnings					
Date	Description	Comment	Taxable	Non-taxable	Amount
03/25/10	WESTERN ASSET MONEY MARKET FUND CLASS A	ACCURED DIVIDEND	\$ 6.88		\$ 6.88
Total earnings from money fund			\$ 6.88	\$ 0.00	\$ 6.88

GAIN/LOSS DETAILS

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Realized gain or loss									
Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	ST
ALLIED WORLD ASSURANCE CO HLDGS LTD MorganStanley SmithBarney LLC acted as your agent	07/15/09	03/16/10 Sold	27	\$ 41.783	\$ 44.704	\$ 1,128.15	\$ 1,207.01	\$ 78.86	ST
ALLIED WORLD ASSURANCE CO HLDGS LTD MorganStanley SmithBarney LLC acted as your agent	07/15/09	03/17/10 Sold	13	41.783	44.912	543.18	583.85	40.67	ST
ALLIED WORLD ASSURANCE CO HLDGS LTD MorganStanley SmithBarney LLC acted as your agent	07/16/09	03/17/10 Sold	31	42.428	44.912	1,315.29	1,392.26	76.97	ST

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MorganStanley
Smith Barney

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RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or loss
ALLIED WORLD ASSURANCE CO HLDGS LTD MorganStanley Smith Barney LLC acted as your agent	07/29/09	03/17/10 Sold	36	\$ 43,292	\$ 44,912	\$ 1,558.53	\$ 1,616.82	\$ 58.29 ST
	07/30/09	03/17/10 Sold	26	43,367	44,912	1,127.55	1,167.70	40.15 ST
Total			133			\$ 6,672.70	\$ 6,987.64	\$ 294.94
AXIS CAPITAL HOLDINGS LTD USD MorganStanley Smith Barney LLC acted as your agent	03/03/10	03/17/10 Sold	48	\$ 31.29	\$ 31.993	\$ 1,501.95	\$ 1,535.67	\$ 33.72 ST
	03/16/10	03/17/10 Sold	93	31.931	31.993	2,969.65	2,975.35	5.70 ST
Total			141			\$ 4,471.60	\$ 4,511.02	\$ 39.42
ENDURANCE SPECIALTY HOLDINGS L USD MorganStanley Smith Barney LLC acted as your agent	08/07/09	03/17/10 Sold	65	\$ 32.161	\$ 37.95	\$ 2,090.51	\$ 2,468.71	\$ 376.20 ST
	09/14/09	03/16/10 Sold	12	84.515	81.619	1,014.19	979.41	(34.78) ST
EVEREST REINSURANCE GP LTD MorganStanley Smith Barney LLC acted as your agent in this transaction	09/18/09	03/16/10 Sold	11	86.281	81.619	949.10	897.80	(51.30) ST
	09/18/09	03/17/10 Sold	2	86.281	82.26	172.56	164.51	(8.05) ST
Total			25			\$ 2,135.85	\$ 2,041.72	(\$ 94.13)
FRESH DEL MONTE PRODUCE MorganStanley Smith Barney LLC acted as your agent in this transaction	09/16/09	03/16/10 Sold	12	\$ 24.169	\$ 20.576	\$ 290.03	\$ 246.91	(\$ 43.12) ST
	09/25/09	03/16/10 Sold	44	22.485	20.576	989.36	905.35	(84.01) ST
FRESH DEL MONTE PRODUCE MorganStanley Smith Barney LLC acted as your agent in this transaction	09/25/09	03/17/10 Sold	5	22.485	20.578	112.43	102.89	(9.54) ST
	09/29/09	03/17/10 Sold	47	22.75	20.578	1,069.27	967.18	(102.09) ST
Total			108			\$ 2,461.09	\$ 2,222.33	(\$ 238.76)
MONTPELLIER RE HOLDINGS LTD USD MorganStanley Smith Barney LLC acted as your agent	07/16/09	03/17/10 Sold	67	\$ 14.264	\$ 17.331	\$ 955.71	\$ 1,161.16	\$ 205.45 ST
	07/30/09	03/17/10 Sold	66	15.653	17.331	1,033.11	1,143.83	110.72 ST
Total			133			\$ 1,988.82	\$ 2,304.99	\$ 316.17

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CAPITAL GAINS & LOSSES

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Morgan Stanley
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RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
PARTNERRE LTD -BMD Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/12/10	03/02/10 Sold	15	\$ 72,747	\$ 79,008	\$ 1,091.21	\$ 1,185.10	\$ 93.89 ST
PARTNERRE LTD -BMD Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/22/10	03/03/10 Sold	15	73,491	78,397	1,102.38	1,175.94	73.56 ST
PARTNERRE LTD -BMD Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/22/10	03/08/10 Sold	1	73,491	79,08	73.49	79.07	5.58 ST
Total			31			\$ 2,267.08	\$ 2,440.11	\$ 173.03
RENAISSANCE RE HLDGS LTD Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/13/09	03/16/10 Sold	21	\$ 51.61	\$ 55.868	\$ 1,063.81	\$ 1,173.21	\$ 89.40 ST
RENAISSANCE RE HLDGS LTD Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/13/09	03/17/10 Sold	30	51.61	55.821	1,548.30	1,674.60	126.30 ST
Total			51			\$ 2,632.11	\$ 2,847.81	\$ 215.70
XL CAPITAL LTD CLASS A Morgan Stanley Smith Barney LLC acted as your agent in this transaction	11/03/09	03/17/10 Sold	131	\$ 16.145	\$ 19.422	\$ 2,115.11	\$ 2,544.36	\$ 429.25 ST
12/10/09	03/17/10	Sold	81	18.033	19.422	1,460.72	1,573.23	112.51 ST
01/07/10	03/17/10	Sold	63	18.332	19.422	1,154.94	1,223.63	68.69 ST
03/02/10	03/17/10	Sold	64	18.613	19.422	1,204.04	1,243.05	39.01 ST
Total			339			\$ 5,934.81	\$ 6,584.27	\$ 649.46
NOBLE CORPORATION CHF Morgan Stanley Smith Barney LLC acted as your agent in this transaction	11/03/09	03/16/10 Sold	70	\$ 41.504	\$ 43.145	\$ 2,902.07	\$ 3,020.12	\$ 118.05 ST
AT&T INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	02/06/10	03/17/10 Sold	258	25.142	25.921	6,486.84	6,667.66	200.82 ST
02/08/10	03/17/10	Sold	44	25.169	25.921	1,107.44	1,140.53	33.09 ST

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CAPITAL GAINS & LOSSES

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Client Statement
March 1 - March 31, 2010

Morgan Stanley
Smith Barney

Ref 0020129 00105202

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RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AT&T INC	02/09/10	03/17/10	430	\$ 25 247	\$ 25 921	\$ 10,856 55	\$ 11 146 11	\$ 289 56 ST
Morgan Stanley Smith Barney LLC acted as your agent in this transaction	02/11/10	03/17/10	55	25 143	25 921	1,382 90	1,425 66	42 76 ST
	02/19/10	03/17/10	108	25 121	25 921	2,713 08	2,799 49	86 41 ST
	03/11/10	03/17/10	75	25 508	25 921	1,913 14	1,944 09	30 95 ST
Total			970			\$ 24,459 95	\$ 25,143 64	\$ 683 69
AUOBC SYSTEMS INC (DE)	01/22/10	03/17/10	117	\$ 35 244	\$ 35 723	\$ 4 123 59	\$ 4 179 54	\$ 55 95 ST
Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/25/10	03/17/10	36	34 213	35 723	1,231 67	1,286 01	54 34 ST
Total			153			\$ 5,355 26	\$ 6,465 55	\$ 110 29
ALASKA AIR GROUP INC	09/18/09	03/17/10	41	\$ 20 208	\$ 40 375	\$ 1 074 56	\$ 1 655 35	\$ 580 79 ST
Morgan Stanley Smith Barney LLC acted as your agent in this transaction	09/25/09	03/17/10	41	27 315	40 375	1,119 93	1 655 35	535 42 ST
Total			82			\$ 2,194 49	\$ 3,310 70	\$ 1,116 21
AMAZON COM INC	03/30/09	03/17/10	44	\$ 70 781	\$ 132 252	\$ 3,114 38	\$ 5 919 03	\$ 2 704 65 ST
Morgan Stanley Smith Barney LLC acted as your agent in this transaction	04/07/09	03/17/10	13	76 209	132 252	990 72	1 719 26	728 54 ST
	05/18/09	03/17/10	15	74 065	132 252	1 110 98	1 983 76	872 78 ST
	05/26/09	03/17/10	23	77 923	132 252	1,792 23	3 041 77	1,249 54 ST
	06/05/09	03/17/10	32	87 607	132 252	2,803 43	4,232 03	1,428 60 ST
	06/08/09	03/17/10	17	85 747	132 252	1,028 97	1 587 01	558 04 ST
	06/11/09	03/17/10	54	86 609	132 252	4,576 90	7,141 54	2,464 64 ST
Total			93	130 53	132 252	12 139 33	12 299 32	159 99 ST

PART IV
CAPITAL GAINS & LOSSES

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Account number 383-1597C-10 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AMAZON COM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/23/09	03/17/10 Sold	92	\$ 132,284	\$ 132,252	\$ 12,170.20	\$ 12,167.07	(\$ 3.13) ST
	11/30/09	03/17/10 Sold	27	134,303	132,252	3,626.20	3,570.78	(55.42) ST
Total			405			\$ 43,453.34	\$ 53,561.57	\$ 10,108.23
AMERICAN FINL GROUP INC OHIO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/29/09	03/17/10 Sold	69	\$ 24.89	\$ 27.634	\$ 1,717.43	\$ 1,906.72	\$ 189.29 ST
AMERIPRISE FINANCIAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	03/17/10 Sold	145	32.467	44.266	4,707.76	6,418.49	1,710.73 ST
	10/09/09	03/17/10 Sold	107	36.882	44.266	3,946.42	4,736.40	789.98 ST
	10/14/09	03/17/10 Sold	100	37.133	44.266	3,713.39	4,426.54	713.15 ST
	10/20/09	03/17/10 Sold	84	36.163	44.266	3,037.69	3,718.30	680.61 ST
	10/23/09	03/17/10 Sold	94	37.191	44.266	3,495.95	4,160.95	665.00 ST
	10/29/09	03/17/10 Sold	77	35.147	44.266	2,706.33	3,408.44	702.11 ST
	11/03/09	03/17/10 Sold	36	35.233	44.266	1,268.42	1,593.56	325.14 ST
	11/04/09	03/17/10 Sold	52	37.109	44.266	1,929.68	2,301.80	372.12 ST
	11/10/09	03/17/10 Sold	53	38.734	44.266	2,052.92	2,346.07	293.15 ST
	11/18/09	03/17/10 Sold	49	38.451	44.266	1,884.11	2,169.01	284.90 ST
	11/23/09	03/17/10 Sold	29	38.313	44.266	1,111.08	1,283.70	172.62 ST
	01/22/10	03/17/10 Sold	102	40.384	44.266	4,119.23	4,515.06	395.83 ST
Total			928			\$ 33,972.98	\$ 41,078.32	\$ 7,105.34
AMPHENOL CORP CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	11/17/09	03/17/10 Sold	54	\$ 43.212	\$ 44.785	\$ 2,333.49	\$ 2,418.40	\$ 84.91 ST
	12/29/09	03/17/10 Sold	33	46.134	44.785	1,522.43	1,477.91	(44.52) ST

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CAPITAL GAINS & LOSSES

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MorganStanley
SmithBarney

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RICE FOUNDATION Account number 383-1597C-10 JDT

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AMPHENOL CORP CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	01/05/10	03/17/10 Sold	25	\$ 45 195	\$ 44 785	\$ 1,128 90	\$ 1,119 63	(\$ 10 27) ST
	01/22/10	03/17/10 Sold	50	41 238	44 785	2,061 91	2 239 26	177 35 ST
Total			162			\$ 7,047 73	\$ 7,255 20	\$ 207 47
APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	03/17/10 Sold	128	\$ 09 208	\$ 105 235	\$ 8 858 73	\$ 13,469 97	\$ 4,611 24 ST
	07/10/09	03/17/10 Sold	98	67 736	105 235	6,638 22	10 312 94	3 674 72 ST
Total			226			\$ 15,496 95	\$ 23,782 91	\$ 8,285 96
ARCHER DANIELS MIDLAND CO MorganStanley SmithBarney LLC acted as your agent in this transaction	11/17/09	03/17/10 Sold	72	\$ 32 008	\$ 28 711	\$ 2,304 64	\$ 2,067 21	(\$ 237 43) ST
	12/01/09	03/17/10 Sold	65	31 792	28 711	2 066 53	1,866 23	(200 30) ST
	12/10/09	03/17/10 Sold	202	30 373	28 711	6 135 43	5,799 67	(335 76) ST
	12/11/09	03/17/10 Sold	180	30 619	28 711	5,511 44	5,168 02	(343 42) ST
	12/15/09	03/17/10 Sold	169	30 882	28 711	5 219 18	4,852 20	(366 98) ST
	12/29/09	03/17/10 Sold	216	31 745	28 711	6 857 05	6 201 63	(655 42) ST
	12/30/09	03/17/10 Sold	162	31 631	28 711	5,124 27	4 651 22	(473 05) ST
	01/04/10	03/17/10 Sold	144	31 567	28 711	4,545 72	4 134 42	(411 30) ST
	01/05/10	03/17/10 Sold	107	31 618	28 711	3 383 15	3 072 10	(311 05) ST
	01/07/10	03/17/10 Sold	83	31 334	28 711	2 600 77	2,383 03	(217 74) ST
	01/12/10	03/17/10 Sold	53	30 449	28 711	1 613 84	1 521 70	(92 14) ST
	01/22/10	03/17/10 Sold	149	30 714	28 711	4,576 51	4 277 97	(298 54) ST
	01/25/10	03/17/10 Sold	105	29 837	28 711	3 132 90	3,014 68	(118 22) ST

Schedule

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 9

Reserved
Client Statement
March 1 - March 31, 2010

MorganStanley
SmithBarney

Ref 00020129 00105205

Account number 383-1597C-10 JDI

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ARCHER DANIELS MIDLAND CO MorganStanley SmithBarney LLC acted as your agent in this transaction	01/26/10	03/17/10 Sold	74	\$ 29,654	\$ 28,711	\$ 2,194.45	\$ 2,124.63	(\$ 69.82) ST
	02/08/10	03/17/10 Sold	39	30,326	28,711	1,182.72	1,119.73	(62.99) ST
Total			1,820			\$ 59,448.60	\$ 52,254.44	(\$ 4,194.16)
ARROW ELECTRONICS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/17/10	03/17/10 Sold	55	\$ 28,379	\$ 29,584	\$ 1,560.86	\$ 1,627.13	\$ 66.27 ST
	03/03/10	03/17/10 Sold	68	28,905	29,584	1,969.66	2,011.72	42.06 ST
	03/11/10	03/17/10 Sold	47	29,267	29,584	1,375.58	1,390.45	14.87 ST
Total			170			\$ 4,908.10	\$ 5,029.30	\$ 123.20
AUTOZONE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	03/17/10 Sold	8	\$ 160,103	\$ 169,04	\$ 1,280.82	\$ 1,352.30	\$ 71.48 ST
	10/23/09	03/17/10 Sold	9	139,078	169,04	1,251.71	1,521.34	269.63 ST
	10/29/09	03/17/10 Sold	14	138,921	169,04	1,944.90	2,366.53	421.63 ST
Total			31			\$ 4,477.43	\$ 5,240.17	\$ 762.74
AVNET INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/17/10	03/17/10 Sold	77	\$ 27,737	\$ 28,953	\$ 2,135.78	\$ 2,229.39	\$ 93.61 ST
	03/03/10	03/17/10 Sold	47	28,53	28,953	1,340.95	1,360.79	19.84 ST
Total			124			\$ 3,476.73	\$ 3,590.18	\$ 113.45
BANK NEW YORK MELLON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/25/10	03/17/10 Sold	200	\$ 29,771	\$ 30,311	\$ 5,954.32	\$ 6,062.28	\$ 107.96 ST
	01/26/10	03/17/10 Sold	140	29,671	30,311	4,153.98	4,243.60	89.62 ST
	01/29/10	03/17/10 Sold	63	29,354	30,311	1,840.35	1,909.62	69.27 ST
Total			403			\$ 11,957.66	\$ 12,215.50	\$ 257.85

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Morgan Stanley
Smith Barney

Ref: 00020129 00105206

Account number 383-1597C-10 JD1

RICE FOUNDATION

continued

Realized gain or loss	Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
	C I G N A CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	07/29/09	03/17/10 Sold	37	\$ 27,981	\$ 34,716	\$ 1,035.33	\$ 1,284.47	\$ 249.14 ST
		11/10/09	03/17/10 Sold	41	30,855	34,716	1,265.09	1,423.34	158.25 ST
		11/17/09	03/17/10 Sold	49	30,562	34,716	1,497.57	1,701.06	203.49 ST
	Total			127			\$ 3,797.99	\$ 4,408.87	\$ 610.88
	CMS ENERGY CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	10/30/08	03/17/10 Sold	48	\$ 10,323	\$ 15,723	\$ 491.19	\$ 754.73	\$ 263.54 LT
		12/29/08	03/17/10 Sold	100	9,376	15,723	937.62	1,572.35	634.73 LT
	Total			148			\$ 1,428.81	\$ 2,327.08	\$ 898.27
	CNA FINANCIAL CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/07/09	03/17/10 Sold	122	\$ 20,938	\$ 26,707	\$ 2,554.50	\$ 3,258.27	\$ 703.77 ST
		09/18/09	03/17/10 Sold	44	25,896	26,707	1,139.46	1,175.12	35.66 ST
	Total			166			\$ 3,693.96	\$ 4,433.39	\$ 739.43
	CVS CAREMARK CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/10/09	03/17/10 Sold	64	\$ 34,627	\$ 34,871	\$ 2,216.15	\$ 2,231.75	\$ 15.60 ST
		09/16/09	03/17/10 Sold	83	36,624	34,871	3,039.85	2,894.30	(145.55) ST
		09/18/09	03/17/10 Sold	148	36,123	34,871	5,346.26	5,160.92	(185.34) ST
		11/17/09	03/17/10 Sold	121	29,938	34,871	3,622.57	4,219.40	596.83 ST
		11/17/09	03/17/10 Sold	136	30,249	34,871	4,113.96	4,742.46	628.50 ST
		11/18/09	03/17/10 Sold	77	30,505	34,871	2,348.95	2,685.06	336.11 ST
	Total			629			\$ 20,687.74	\$ 21,933.89	\$ 1,246.15
	CA INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	07/02/09	03/17/10 Sold	92	\$ 17,019	\$ 23,292	\$ 1,565.76	\$ 2,142.83	\$ 577.07 ST
		07/15/09	03/17/10 Sold	59	17,621	23,292	1,039.69	1,374.21	334.52 ST
	Total			151			\$ 2,605.46	\$ 3,517.04	\$ 911.59

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MorganStanley
SmithBarney

Ref 00020129 00105207

Account number 383-1597C-10 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
CHESAPEAKE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	03/11/10 Sold	123	\$ 24,827	\$ 25,513	\$ 3,053.77	\$ 3,138.06	\$ 84.29 ST
	08/21/09	03/17/10 Sold	114	23,762	25,513	2,708.87	2,908.44	199.57 ST
	09/16/09	03/17/10 Sold	102	28,711	25,513	2,928.57	2,602.29	(326.28) ST
	09/18/09	03/17/10 Sold	205	27,835	25,513	5,706.32	5,230.10	(476.22) ST
	09/21/09	03/17/10 Sold	106	27,575	25,513	2,922.95	2,704.34	(218.61) ST
	09/25/09	03/17/10 Sold	117	27,575	25,513	3,226.32	2,984.98	(241.34) ST
	10/02/09	03/17/10 Sold	81	26,512	25,513	2,147.50	2,066.53	(80.97) ST
	10/05/09	03/17/10 Sold	64	27,432	25,513	1,755.69	1,632.81	(122.88) ST
	10/09/09	03/17/10 Sold	168	28,454	25,513	4,780.36	4,286.13	(494.23) ST
	10/14/09	03/17/10 Sold	124	28,753	25,513	3,565.43	3,163.57	(401.86) ST
	10/23/09	03/17/10 Sold	154	27,195	25,513	4,188.05	3,928.95	(259.10) ST
	10/29/09	03/17/10 Sold	135	25,802	25,513	3,483.30	3,444.21	(39.09) ST
	11/10/09	03/17/10 Sold	130	25,221	25,513	3,278.76	3,316.65	37.89 ST
	01/25/10	03/17/10 Sold	116	27,099	25,513	3,143.59	2,959.47	(184.12) ST
	01/26/10	03/17/10 Sold	43	26,857	25,513	1,154.89	1,097.04	(57.85) ST
	02/08/10	03/17/10 Sold	212	24,565	25,513	5,207.91	5,408.70	200.79 ST
	Total		1,994			\$ 53,262.28	\$ 50,872.27	(\$ 2,390.01)
CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/04/08	03/17/10 Sold	107	\$ 81,965	\$ 74,345	\$ 8,770.33	\$ 7,954.61	(\$ 815.52) LT
	02/05/09	03/17/10 Sold	170	71,837	74,345	12,212.29	12,638.49	426.20 LT

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MorganStanley
SmithBarney

Ref 00020129 00105208

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RICE FOUNDATION
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36-6043160

PART IV
CAPITAL GAINS & LOSSES

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RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/06/09	03/17/10	156	\$ 74.338	\$ 74.345	\$ 11,596.74	\$ 11,597.67	\$ 93 LT
		Sold						
	02/12/09	03/17/10	120	69.038	74.345	8,264.58	8,921.29	636.71 LT
		Sold						
	04/20/09	03/17/10	88	64.072	74.345	5,638.39	6,542.28	903.89 ST
12/15/09	03/17/10		117	77.692	74.345	9,090.07	8,698.25	(391.82) ST
		Sold						
	12/17/09	03/17/10	92	77.03	74.345	7,086.77	6,839.65	(247.12) ST
		Sold						
	Total		850			\$ 62,679.17	\$ 63,192.44	\$ 513.27
CHILDRENS PLACE RETAIL STORES MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	03/17/10	43	\$ 25.273	\$ 43.198	\$ 1,086.75	\$ 1,857.49	\$ 770.74 ST
		Sold						
	07/10/09	03/17/10	37	25.783	43.198	953.97	1,598.30	644.33 ST
		Sold						
	Total		80			\$ 2,040.72	\$ 3,455.79	\$ 1,415.07
CHUBB CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/09/08	03/03/10	3	\$ 50.407	\$ 51.295	\$ 151.22	\$ 153.88	\$ 2.66 LT
		Sold						
	04/24/08	03/03/10	31	52.645	51.295	1,632.00	1,590.14	(41.86) LT
		Sold						
	04/29/08	03/03/10	30	53.482	51.295	1,604.48	1,538.85	(65.63) LT
04/30/08	03/03/10		29	53.298	51.295	1,545.66	1,487.55	(58.11) LT
		Sold						
	05/08/09	03/03/10	33	39.988	51.295	1,319.95	1,692.74	372.79 ST
		Sold						
	05/08/09	03/11/10	87	39.988	51.11	3,479.86	4,446.57	966.71 ST
CHUBB CORP MorganStanley SmithBarney LLC acted as your agent in this transaction		Sold						
	05/08/09	03/17/10	90	39.988	50.288	3,599.85	4,525.86	926.01 ST
		Sold						
	07/29/09	03/17/10	75	45.547	50.288	3,416.07	3,771.55	355.48 ST
		Sold						
Total			378			\$ 16,749.09	\$ 19,207.14	\$ 2,458.05

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CAPITAL GAINS & LOSSES

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MorganStanley
SmithBarney

Ref: 00070120 00105209

Account number 383-1597C-10 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
COMERICA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/30/09	03/02/10 Sold	30	\$ 21,761	\$ 35,91	\$ 652.86	\$ 1,077.31	\$ 424.45 ST
COMERICA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/12/09	03/02/10 Sold	2	21,512	35,91	43.02	71.82	28.90 ST
COMERICA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/12/09	03/03/10 Sold	32	21,512	35,658	688.39	1,141.04	452.65 ST
COMERICA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/12/09	03/08/10 Sold	15	21,512	36,484	322.69	547.25	224.56 ST
Total			79			\$ 1,708.96	\$ 2,837.42	\$ 1,130.46
CONOCOPHILLIPS MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	03/17/10 Sold	427	\$ 41,187	\$ 52,582	\$ 17,587.06	\$ 22,452.31	\$ 4,865.25 ST
CONOCOPHILLIPS MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	03/17/10 Sold	332	41,517	52,582	13,783.64	17,457.07	3,673.43 ST
CONOCOPHILLIPS MorganStanley SmithBarney LLC acted as your agent in this transaction	07/16/09	03/17/10 Sold	80	42,079	52,582	3,366.38	4,206.52	840.14 ST
CONOCOPHILLIPS MorganStanley SmithBarney LLC acted as your agent in this transaction	07/29/09	03/17/10 Sold	235	42,782	52,582	10,063.79	12,356.66	2,302.87 ST
CONOCOPHILLIPS MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/09	03/17/10 Sold	230	44,232	52,582	10,173.36	12,093.75	1,920.39 ST
Total			1,304			\$ 54,964.23	\$ 68,568.31	\$ 13,602.08
CONSTELLATION ENERGY GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/10/09	03/17/10 Sold	39	\$ 33,138	\$ 36,328	\$ 1,292.39	\$ 1,416.80	\$ 124.41 ST
CONSTELLATION ENERGY GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/18/09	03/17/10 Sold	60	35,07	36,328	2,104.24	2,179.69	75.45 ST
CONSTELLATION ENERGY GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/05/10	03/17/10 Sold	33	34,731	36,328	1,146.14	1,198.83	52.69 ST
CONSTELLATION ENERGY GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/07/10	03/17/10 Sold	33	34,498	36,328	1,138.44	1,198.83	60.39 ST
CONSTELLATION ENERGY GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/22/10	03/17/10 Sold	38	33,133	36,328	1,259.08	1,380.47	121.39 ST

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Ref 00020129 00105210

Account number 383-1597C-10 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
CONSTELLATION ENERGY GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/25/10	03/17/10 Sold	34	\$ 33,246	\$ 36,328	\$ 1,130.38	\$ 1,235.16	\$ 104.78 ST
	01/26/10	03/17/10 Sold	34	33,076	36,328	1,124.61	1,235.17	110.56 ST
	Total		271		\$ 9,195.28	\$ 9,844.96	\$ 649.67	\$ 798.33 ST
	08/19/09	03/17/10 Sold	262	\$ 15,684	\$ 18,732	\$ 4,109.39	\$ 4,907.72	245.95 ST
CORNING INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/21/09	03/17/10 Sold	92	16,058	18,732	1,477.37	1,723.32	1,763.05 ST
	09/16/09	03/17/10 Sold	553	15,543	18,732	8,595.61	10,358.66	1,207.63 ST
	09/18/09	03/17/10 Sold	366	15,432	18,732	5,648.19	6,855.82	1,044.09 ST
	09/25/09	03/17/10 Sold	267	14,821	18,732	3,957.29	5,001.38	305.26 ST
	10/06/09	03/17/10 Sold	99	15,648	18,732	1,549.18	1,854.44	1,802.33 ST
	11/04/09	03/17/10 Sold	465	14,855	18,732	6,907.95	8,710.28	\$ 7,168.64
	Total		2,104		\$ 32,244.98	\$ 39,411.62	\$ 7,168.64	(\$ 125.44) ST
DEVON ENERGY CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	03/12/10	03/17/10 Sold	44	\$ 71,089	\$ 68.25	\$ 3,128.40	\$ 3,002.96	10.85 ST
	03/16/10	03/17/10 Sold	23	67,777	68.25	1,558.88	1,569.73	(\$ 114.59) LT
	Total		67		\$ 4,687.28	\$ 4,672.69	\$ 14.59	\$ 418.35 ST
	03/13/08	03/17/10 Sold	18	\$ 43,797	\$ 67.04	\$ 788.35	\$ 1,206.70	916.08 ST
DEVRY INC (DELI) MorganStanley SmithBarney LLC acted as your agent in this transaction	05/07/09	03/17/10 Sold	36	41,592	67.04	1,497.33	2,413.41	\$ 1,334.43
	Total		54		\$ 2,285.68	\$ 3,620.11	\$ 1,334.43	\$ 706.54 ST
	01/22/10	03/17/10 Sold	232	\$ 31,639	\$ 34,685	\$ 7,340.46	\$ 8,047.00	622.92 ST
	01/25/10	03/17/10 Sold	175	31,125	34,685	5,447.02	6,069.94	423.11 ST
DIRECTV CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	01/26/10	03/17/10 Sold	110	30,838	34,685	3,392.28	3,815.39	

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PART IV
CAPITAL GAINS & LOSSES

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Smith BarneyReserved
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RICE FOUNDATION
Account number 383-1597C-10 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
DIRECTV CL A Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/27/10	03/17/10 Sold	93	\$ 31,043	\$ 34,685	\$ 2,887.03	\$ 3,225.74	\$ 338.71 ST
	01/28/10	03/17/10 Sold	72	30,695	34,685	2,210.05	2,497.35	287.30 ST
	01/29/10	03/17/10 Sold	52	30,774	34,685	1,600.28	1,803.64	203.36 ST
	02/04/10	03/17/10 Sold	36	30,733	34,685	1,106.41	1,248.67	142.26 ST
Total			770			\$ 23,963.63	\$ 26,707.73	\$ 2,724.20
EDISON INTERNATIONAL Morgan Stanley Smith Barney LLC acted as your agent in this transaction	12/24/08	03/17/10 Sold	76	\$ 31,079	\$ 34,303	\$ 2,362.01	\$ 2,607.03	\$ 245.02 LT
	12/29/08	03/17/10 Sold	81	30,604	34,303	2,478.94	2,778.55	299.61 LT
	12/30/08	03/17/10 Sold	29	31,077	34,303	901.24	994.79	93.55 LT
	02/12/09	03/17/10 Sold	53	30,944	34,303	1,640.04	1,818.06	178.02 LT
	02/19/09	03/17/10 Sold	57	28,524	34,303	1,625.92	1,955.28	329.36 LT
Total			296			\$ 9,008.15	\$ 10,163.71	\$ 1,145.66
ENTERGY CORPORATION NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction	05/06/09	03/17/10 Sold	13	\$ 71,955	\$ 80,074	\$ 906.17	\$ 1,040.95	\$ 134.78 ST
	08/10/09	03/17/10 Sold	19	77,435	80,074	1,442.78	1,521.39	78.61 ST
	08/21/09	03/17/10 Sold	21	80,59	80,074	1,676.64	1,681.53	4.89 ST
	09/16/09	03/17/10 Sold	21	79,593	80,074	1,655.71	1,681.53	25.82 ST
	01/22/10	03/17/10 Sold	25	79,332	80,074	1,983.32	2,001.82	18.50 ST
Total			99			\$ 7,684.62	\$ 7,927.22	\$ 242.60
EXELON CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	12/29/09	03/17/10 Sold	111	\$ 49,425	\$ 45,352	\$ 5,486.24	\$ 5,034.01	\$ (452.23) ST
	12/30/09	03/17/10 Sold	67	49,369	45,352	3,307.78	3,038.54	(269.24) ST
	01/05/10	03/17/10 Sold	29	48,044	45,352	1,393.29	1,315.19	(78.10) ST

PART IV
CAPITAL GAINS & LOSSES

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PART IV
CAPITAL GAINS & LOSSES

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MorganStanley
SmithBarney

Ref 00020129 00105212

RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/15/10	03/17/10 Sold	48	\$ 48,603	\$ 45,352	\$ 2,332.95	\$ 2,176.87	(\$ 156.08) ST
	01/22/10	03/17/10 Sold	79	47,018	45,352	3,714.43	3,582.76	(131.67) ST
Total			334			\$ 10,234.69	\$ 16,147.37	(\$ 1,087.32)
EXPRESS SCRIPTS INC COMMON MorganStanley SmithBarney LLC acted as your agent in this transaction	11/23/09	03/15/10 Sold	31	\$ 87,434	\$ 99,095	\$ 2,710.47	\$ 3,071.91	\$ 361.44 ST
EXXON MOBIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/15/10	03/17/10 Sold	96	66,192	66,952	6,354.47	6,427.31	72.84 ST
	03/16/10	03/17/10 Sold	183	66,351	66,952	12,142.38	12,252.06	109.68 ST
Total			279			\$ 18,496.85	\$ 18,679.37	\$ 182.52
GILEAD SCIENCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/22/08	03/16/10 Sold	79	\$ 45,538	\$ 47,436	\$ 3,597.50	\$ 3,747.41	\$ 149.91 LT
	09/23/08	03/16/10 Sold	33	45,213	47,436	1,492.03	1,565.38	73.35 LT
	09/30/08	03/16/10 Sold	38	45,225	47,436	1,718.55	1,802.55	84.00 LT
	10/08/08	03/16/10 Sold	3	41,111	47,436	123.33	142.31	18.98 LT
	10/08/08	03/17/10 Sold	52	41,111	47,10	2,137.74	2,449.18	311.44 LT
	12/17/08	03/17/10 Sold	62	48,128	47,10	2,983.95	2,920.17	(63.78) LT
	12/24/08	03/17/10 Sold	53	50,47	47,10	2,574.92	2,496.28	(178.64) LT
	12/30/08	03/17/10 Sold	37	51,309	47,10	1,698.44	1,742.68	(155.76) LT
	01/20/09	03/17/10 Sold	248	47,908	47,10	11,881.46	11,690.70	(200.76) LT
	01/28/09	03/17/10 Sold	261	50,389	47,10	13,151.63	12,292.99	(858.64) LT
	02/03/09	03/17/10 Sold	141	52,081	47,10	7,343.42	6,641.05	(702.37) LT
Total			1,007			\$ 49,002.97	\$ 47,480.70	(\$ 1,522.27)

**Reserved
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MorganStanley
SmithBarney

Ref 00020129 00105213

RICE FOUNDATION
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**PART IV
CAPITAL GAINS & LOSSES**

SCHEDULE 9

Account number 383-1597C-10 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	03/17/10 Sold	144	\$ 145 063	\$ 177 137	\$ 20 889 19	\$ 25 507 53	\$ 4,618 34 ST
	07/10/09	03/17/10 Sold	147	142 262	177 137	20 912 60	26,038 94	5,126 34 ST
	07/16/09	03/17/10 Sold	49	155 779	177 137	7,633 18	8,679 65	1,046 47 ST
	08/12/09	03/17/10 Sold	31	160 806	177 137	4,984 99	5,491 20	506 21 ST
	12/29/09	03/17/10 Sold	41	164 276	177 137	6,735 34	7,262 56	527 22 ST
Total			412			\$ 61,155 30	\$ 72,979 88	\$ 11,824 58
HARTFORD FINL SVCS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/22/10	03/17/10 Sold	79	\$ 25 048	\$ 28 583	\$ 1 978 83	\$ 2,258 03	\$ 279 20 ST
	01/25/10	03/17/10 Sold	71	24 678	28 583	1,752 14	2,029 37	277 23 ST
	01/26/10	03/17/10 Sold	58	25 018	28 583	1,451 08	1,657 79	206 71 ST
	01/29/10	03/17/10 Sold	61	24 374	28 583	1,486 87	1,743 54	256 67 ST
	02/22/10	03/17/10 Sold	49	24 472	28 583	1,199 15	1,400 55	201 40 ST
	03/03/10	03/17/10 Sold	239	25 443	28 583	6,080 88	6,831 24	750 36 ST
Total			557			\$ 13,948 95	\$ 15,920 52	\$ 1,971 57
HESS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/26/10	03/17/10 Sold	41	\$ 58 758	\$ 62 578	\$ 2,409 08	\$ 2,565 67	\$ 156 59 ST
	03/03/10	03/17/10 Sold	47	60 807	62 578	2,857 96	2,941 13	83 17 ST
	03/11/10	03/17/10 Sold	69	60 899	62 578	4,202 04	4,317 83	115 79 ST
	03/16/10	03/17/10 Sold	45	61 238	62 578	2,755 75	2,815 98	60 23 ST
Total			202			\$ 12,224 83	\$ 12,640 61	\$ 415 78
HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/09	03/17/10 Sold	33	\$ 43 617	\$ 52 382	\$ 1,439 37	\$ 1,728 58	\$ 289 21 ST
	08/19/09	03/17/10 Sold	29	43 047	52 382	1,248 39	1,519 06	270 67 ST

MJD - *added* MorganStanley
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CAPITAL GAINS & LOSSES

SCHEDULE

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Account number 383-1597C-10 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/21/09	03/17/10	34	\$ 44 019	\$ 52 382	\$ 1,496 68	\$ 1,780 96	\$ 284 28 ST
	11/18/09	03/17/10	105	50 481	52 382	5,300 51	5,500 04	199 53 ST
	Total		201			\$ 6,797 19	\$ 7,281 00	\$ 483 81
ITT EDUCATIONAL SERVICES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/08	03/17/10	13	\$ 76 701	\$ 113 878	\$ 997 12	\$ 1,480 39	\$ 483 27 LT
	10/27/08	03/17/10	12	76 375	113 878	916 51	1,366 52	450 01 LT
	10/28/08	03/17/10	12	76 248	113 878	914 98	1,366 52	451 54 LT
	10/30/08	03/17/10	14	82 678	113 878	1,157 50	1,594 27	436 77 LT
	11/13/08	03/17/10	14	80 821	113 878	1,131 51	1,594 27	462 76 LT
	11/17/08	03/17/10	12	81 759	113 878	981 12	1,366 52	385 40 LT
	12/02/08	03/17/10	20	90 688	113 878	1,813 77	2,277 53	463 76 LT
	12/05/08	03/17/10	19	87 879	113 878	1,669 72	2,163 65	493 93 LT
	12/11/08	03/17/10	13	85 535	113 878	1,111 96	1,480 39	368 43 LT
	12/29/08	03/17/10	26	91 129	113 878	2,369 37	2,960 79	591 42 LT
	12/31/08	03/17/10	20	95 263	113 878	1,905 27	2,277 53	372 26 LT
	01/08/09	03/17/10	16	94 843	113 878	1,517 49	1,822 02	304 53 LT
	01/20/09	03/17/10	18	113 721	113 878	2,046 99	2,049 78	2 79 LT
	02/02/09	03/17/10	47	127 283	113 878	5,082 32	5,352 21	(630 11) LT
	Total		256			\$ 24,616 63	\$ 29,162 39	\$ 4,636 76

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PART IV
CAPITAL GAINS & LOSSES

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RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
INGRAM MICRO INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	11/10/09	03/17/10	65	\$ 18,783	\$ 18,372	\$ 1,220.90	\$ 1,194.16	(\$ 26.74) ST
		Sold						
	12/03/09	03/17/10	05	17.191	18.372	1,117.44	1,104.10	76.72 ST
		Sold						
	12/16/09	03/17/10	133	17.341	18.372	2,306.39	2,443.44	137.05 ST
		Sold						
	12/18/09	03/17/10	70	17.274	18.372	1,209.19	1,286.02	76.83 ST
		Sold						
	01/22/10	03/17/10	76	17.571	18.372	1,335.45	1,396.27	60.82 ST
		Sold						
Total			409			\$ 7,189.37	\$ 7,514.05	\$ 324.68
INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	03/17/10	256	\$ 102.403	\$ 128.601	\$ 26,215.32	\$ 32,921.54	\$ 6,706.22 ST
		Sold						
	07/10/09	03/17/10	274	100.546	128.601	27,549.74	35,236.33	7,686.59 ST
		Sold						
	Total		530			\$ 53,765.06	\$ 68,157.87	\$ 14,392.81
INTUITIVE SURGICAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/18/09	03/17/10	10	\$ 278.822	\$ 356.81	\$ 2,788.23	\$ 3,568.05	\$ 779.82 ST
		Sold						
	11/23/09	03/17/10	8	281.248	356.81	2,249.99	2,854.44	604.45 ST
		Sold						
	12/03/09	03/17/10	12	292.268	356.81	3,507.22	4,281.66	774.44 ST
		Sold						
	01/25/10	03/17/10	6	339.018	356.81	2,034.11	2,140.84	106.73 ST
		Sold						
Total			36			\$ 10,579.55	\$ 12,844.99	\$ 2,265.44
J CREW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/17/09	03/17/10	42	\$ 42.86	\$ 45.73	\$ 1,800.15	\$ 1,920.63	\$ 120.48 ST
		Sold						
	11/19/09	03/17/10	27	40.865	45.73	1,103.38	1,234.65	131.31 ST
		Sold						
	Total		69			\$ 2,903.53	\$ 3,155.32	\$ 251.78
JOHNSON CONTROLS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/18/09	03/17/10	104	\$ 27.858	\$ 31.965	\$ 2,897.23	\$ 3,324.39	\$ 427.16 ST
		Sold						

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MorganStanley
SmithBarney

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Account number 383-1597C-10 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
KIMBERLY CLARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/23/10	03/17/10	71	\$ 60.18	\$ 60.416	\$ 4,272.78	\$ 4,289.48	\$ 16.70 ST
		Sold						
	03/11/10	03/17/10	62	59.451	60.416	3,685.96	3,745.74	59.78 ST
		Sold						
Total			133			\$ 7,958.74	\$ 8,035.22	\$ 76.48
LEXMARK INTL GROUP INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	06/17/09	03/16/10	41	\$ 15.21	\$ 35.518	\$ 623.65	\$ 1,456.25	\$ 832.60 ST
		Sold						
	06/17/09	03/17/10	17	15.21	35.738	258.58	607.54	348.96 ST
		Sold						
Total			58			\$ 882.23	\$ 2,063.79	\$ 1,181.56
LINCOLN NATIONAL CORP. IND MorganStanley SmithBarney LLC acted as your agent in this transaction	12/03/09	03/17/10	53	\$ 22.948	\$ 29.492	\$ 1,216.28	\$ 1,563.10	\$ 346.82 ST
		Sold						
	12/29/09	03/17/10	119	25.208	29.492	2,999.82	3,509.61	509.79 ST
		Sold						
Total			45	24.909	29.492	1,120.93	1,327.16	206.23 ST
LINEAR TECHNOLOGY CORPORATION MorganStanley SmithBarney LLC acted as your agent in this transaction	01/05/10	03/17/10	44	26.387	29.492	1,161.03	1,297.68	136.65 ST
		Sold						
	08/07/09	03/17/10	66	\$ 26.873	\$ 28.54	\$ 1,773.63	\$ 1,883.61	\$ 109.98 ST
		Sold						
Total			115			\$ 3,086.10	\$ 3,282.05	\$ 195.95
LORILLARD INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/12/10	03/17/10	37	\$ 77.424	\$ 77.165	\$ 2,864.70	\$ 2,855.09	(\$ 9.61) ST
		Sold						
	01/22/10	03/17/10	59	74.879	77.165	4,417.87	4,552.72	134.85 ST
		Sold						
Total			96			\$ 7,282.57	\$ 7,407.81	\$ 125.24
MARATHON OIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/11/10	03/17/10	163	\$ 31.647	\$ 31.933	\$ 5,158.46	\$ 5,205.12	\$ 46.66 ST
		Sold						

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MorganStanley
SmithBarney

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 9

Account number 383-1597C-10 JDI

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
MASTECARD INC MorganStanley v SmithBarney LLC acted as your agent in this transaction	08/04/09	03/17/10	10	\$ 1,094.32	\$ 250.795	\$ 1,904.33	\$ 2,507.92	\$ 513.59 ST
	11/18/09	03/17/10	38	227.876	250.795	8,057.41	9,530.09	872.68 ST
	11/20/09	03/17/10	29	230.23	250.795	6,076.69	7,272.96	596.27 ST
	11/23/09	03/17/10	22	235.205	250.795	5,174.52	5,517.42	342.90 ST
	12/10/09	03/17/10	25	244.021	250.795	6,100.54	6,209.79	109.25 ST
	12/22/09	03/17/10	8	256.172	250.795	2,049.38	2,006.33	(43.05) ST
	12/28/09	03/17/10	5	254.439	250.795	1,272.20	1,253.96	(18.24) ST
	01/22/10	03/17/10	27	255.808	250.795	6,906.82	6,771.38	(135.44) ST
	Total		164			\$ 38,831.89	\$ 41,129.85	\$ 2,297.96
MEDCO HEALTH SOLUTIONS INC MorganStanley v SmithBarney LLC acted as your agent in this transaction	08/07/09	03/17/10	136	\$ 52.367	\$ 64.107	\$ 7,121.95	\$ 8,718.50	\$ 1,596.55 ST
	08/10/09	03/17/10	105	52.379	64.107	5,499.80	6,731.19	1,231.39 ST
	08/20/09	03/17/10	228	55.63	64.107	17,603.75	14,616.30	(2,987.45) ST
	09/03/09	03/17/10	53	54.404	64.107	2,803.43	3,397.65	594.22 ST
	09/16/09	03/17/10	114	55.722	64.107	6,352.35	7,308.15	955.80 ST
	Total		636			\$ 34,541.28	\$ 40,771.79	\$ 6,230.51
METLIFE INC MorganStanley v SmithBarney LLC acted as your agent in this transaction	09/18/09	03/17/10	87	\$ 39.991	\$ 42.913	\$ 3,479.25	\$ 3,733.45	\$ 254.20 ST
	09/25/09	03/17/10	99	37.069	42.913	3,609.83	4,248.41	638.58 ST
	09/30/09	03/17/10	161	38.367	42.913	6,177.09	6,909.09	731.94 ST
	10/02/09	03/17/10	109	35.702	42.913	6,033.74	7,252.34	1,218.60 ST
	Total		466			\$ 19,299.91	\$ 22,149.29	\$ 2,849.38 ST

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CAPITAL GAINS & LOSSES

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MorganStanley
SmithBarney

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Account number 383-1597C-10 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
METLIFE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/05/09	03/17/10 Sold	154	\$ 36,971	\$ 42,913	\$ 5,693.67	\$ 6,608.64	\$ 914.97 ST
	10/09/09	03/17/10 Sold	126	38,275	42,913	4,822.66	5,407.07	584.41 ST
	12/30/09	03/17/10 Sold	48	35,086	42,913	1,684.16	2,059.84	375.68 ST
	01/04/10	03/17/10 Sold	59	36,07	42,913	2,128.10	2,531.88	403.72 ST
	01/05/10	03/17/10 Sold	52	36,827	42,913	1,915.02	2,231.49	316.47 ST
	01/07/10	03/17/10 Sold	80	37,726	42,913	3,018.12	3,433.06	414.94 ST
	03/16/10	03/17/10 Sold	30	42,357	42,913	1,270.73	1,287.40	106.7 ST
Total			1,065			\$ 39,892.43	\$ 45,702.61	\$ 5,810.18
MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	11/18/09	03/12/10 Sold	109	\$ 29,995	\$ 29,204	\$ 1,269.53	\$ 3,183.27	(1,862.6) ST
MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	11/18/09	03/15/10 Sold	11	29,995	29,162	329.95	320.77	(91.8) ST
MICRON TECHNOLOGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction			120			\$ 3,599.48	\$ 3,504.04	(95.44) ST
	01/22/10	03/17/10 Sold	212	\$ 9,348	\$ 10,291	\$ 1,981.97	\$ 2,101.77	\$ 199.80 ST
	01/25/10	03/17/10 Sold	221	9,327	10,291	2,061.42	2,274.39	212.97 ST
	01/26/10	03/17/10 Sold	204	9,613	10,291	1,961.17	2,089.44	138.27 ST
	01/28/10	03/17/10 Sold	114	9,309	10,291	1,061.31	1,173.22	111.91 ST
	01/29/10	03/17/10 Sold	133	8,956	10,291	1,191.25	1,368.75	177.50 ST
	02/01/10	03/17/10 Sold	124	9,13	10,291	1,132.22	1,276.13	143.91 ST

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Morgan Stanley
Smith Barney

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 9

Account number 383-1597C-10 JDI

RICE FOUNDATION									
Realized gain or loss	Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
	MICRON TECHNOLOGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	02/04/10	03/17/10 Sold	122	\$ 8,659	\$ 10,291	\$ 1,056.48	\$ 1,255.55	\$ 199.07 ST
		02/10/10	03/17/10 Sold	118	8,438	10,291	995.79	1,214.37	218.58 ST
	Total			1,248			\$ 11,441.01	\$ 12,843.02	\$ 1,402.01
	MOLSON COORS BREWING CO CL B Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/22/10	03/17/10 Sold	44	\$ 43.423	\$ 43.40	\$ 1,910.65	\$ 1,909.57	(\$ 1.08) ST
	MORGAN STANLEY Morgan Stanley Smith Barney LLC acted as your agent in this transaction	10/23/09	03/17/10 Sold	87	35.239	30.432	3,065.85	2,647.55	(418.30) ST
		10/29/09	03/17/10 Sold	42	33.397	30.432	1,402.08	1,278.13	(124.55) ST
		11/10/09	03/17/10 Sold	59	33.612	30.432	1,983.11	1,795.46	(187.65) ST
		11/17/09	03/17/10 Sold	469	33.453	30.432	15,689.64	14,272.42	(1,417.22) ST
		11/18/09	03/17/10 Sold	39	33.144	30.432	1,282.62	1,166.84	(105.78) ST
	Total			696			\$ 23,433.90	\$ 21,180.40	(\$ 2,253.50)
	MURPHY OIL CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	09/29/09	03/03/10 Sold	44	\$ 57.873	\$ 53.722	\$ 2,546.43	\$ 2,363.75	(\$ 182.68) ST
		12/03/09	03/03/10 Sold	20	56.349	53.722	1,126.99	1,074.43	(52.56) ST
		12/17/09	03/03/10 Sold	51	54.087	53.722	2,758.44	2,739.80	(18.64) ST
		12/17/09	03/11/10 Sold	32	54.087	53.695	1,730.78	1,718.23	(12.55) ST
		01/22/10	03/11/10 Sold	60	53.712	53.695	3,222.76	3,221.68	(1.08) ST
		01/25/10	03/11/10 Sold	47	52.934	53.695	2,487.92	2,523.65	35.73 ST
		01/26/10	03/11/10 Sold	38	52.834	53.695	2,007.72	2,040.40	32.68 ST
	Total			292			\$ 15,881.04	\$ 16,081.94	(\$ 199.10)

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Morgan Stanley
Smith Barney

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 9

Account number 383-1597C-10 JD1

Realized gain or loss (continued)

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
NETAPP INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	11/23/09	03/17/10	71	\$ 30,833	\$ 33,192	\$ 2,189.20	\$ 2,356.00	\$ 167.40 ST
		Sold						
	12/01/09	03/17/10	56	31,581	33,192	1,768.57	1,858.73	90.16 ST
		Sold						
NETFLIX INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	12/03/09	03/17/10	49	31,742	33,192	1,523.66	1,593.19	69.53 ST
		Sold						
	Total		175			\$ 5,481.43	\$ 5,808.92	\$ 327.09
	02/06/09	03/17/10	3	\$ 36,548	\$ 71,164	\$ 109.64	\$ 213.49	\$ 103.85 LT
NORTHROP GRUMMAN CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	02/12/09	03/17/10	40	37,256	71,164	1,490.24	2,846.52	1,356.28 LT
		Sold						
	02/18/09	03/17/10	38	37,114	71,164	1,410.35	2,704.20	1,293.85 LT
		Sold						
OMNICARE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	02/19/09	03/17/10	33	37,474	71,164	1,236.64	2,348.38	1,111.74 LT
		Sold						
	03/03/09	03/17/10	24	36,312	71,164	871.50	1,707.91	836.41 LT
		Sold						
NORTHROP GRUMMAN CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/05/09	03/17/10	20	37,89	71,164	757.80	1,423.26	665.46 LT
		Sold						
	03/09/09	03/17/10	19	39,173	71,164	744.29	1,352.10	607.81 LT
		Sold						
OMNICARE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/25/09	03/17/10	24	42,861	71,164	1,028.69	1,707.91	679.22 ST
		Sold						
	Total		201			\$ 7,649.16	\$ 14,303.77	\$ 6,654.62
	08/10/09	03/17/10	29	\$ 47,475	\$ 64.89	\$ 1,376.79	\$ 1,881.79	\$ 505.00 ST
NORTHROP GRUMMAN CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/21/09	03/17/10	37	48,079	64.89	1,778.92	2,400.90	621.98 ST
		Sold						
	09/16/09	03/17/10	33	49,495	64.89	1,633.34	2,141.34	508.00 ST
		Sold						
OMNICARE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	09/18/09	03/17/10	25	51,017	64.89	1,275.45	1,622.23	346.78 ST
		Sold						
	Total		124			\$ 6,064.50	\$ 8,046.26	\$ 1,981.76
	02/03/09	03/17/10	30	\$ 28,313	\$ 29.16	\$ 849.40	\$ 874.80	\$ 25.40 LT
OMNICARE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	02/06/09	03/17/10	31	29,075	29.16	901.33	903.96	2.63 LT
		Sold						

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MorganStanley
SmithBarney

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 9

Account number 383-1597C-10 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
OMNICARE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/12/09	03/17/10	33	\$ 28 06	\$ 29 16	\$ 925 99	\$ 902 28	\$ 36 29 LT
		Sold						
	03/03/09	03/17/10	36	22 243	29 16	800 75	1,048 76	249 01 LT
		Sold						
	03/09/09	03/17/10	30	23 319	29 16	699 58	874 80	175 22 LT
		Sold						
	03/13/09	03/17/10	33	24 701	29 16	815 15	962 28	147 13 LT
		Sold						
	03/25/09	03/17/10	38	24 085	29 16	915 26	1,108 08	192 82 ST
		Sold						
	05/12/09	03/17/10	45	28 396	29 16	1,277 82	1,312 23	34 41 ST
		Sold						
	Total		276		\$ 25 222	\$ 7,185 28	\$ 8,048 19	\$ 882 91
	03/02/10	03/17/10	46	\$ 24 763	\$ 25 222	\$ 1,139 10	\$ 1,100 20	\$ 21 10 ST
ORACLE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction		Sold						
	03/03/10	03/17/10	170	24 533	25 222	4 170 04	4 287 08	117 04 ST
		Sold						
	Total		216			\$ 5,309 74	\$ 5,447 88	\$ 138 14
	07/02/09	03/17/10	123	\$ 43 481	\$ 51 43	\$ 5,348 19	\$ 6 325 82	\$ 977 63 ST
		Sold						
	07/06/09	03/17/10	1	43 527	51 43	43 53	51 43	7 90 ST
PHILIP MORRIS INTL INC MorganStanley SmithBarney LLC acted as your agent in this transaction		Sold						
	07/16/09	03/17/10	49	43 228	51 43	2 118 17	2,520 04	401 87 ST
		Sold						
	07/20/09	03/17/10	23	44 047	51 43	1,013 09	1 182 88	169 79 ST
		Sold						
	07/27/09	03/17/10	51	47 093	51 43	2,401 76	2 622 90	221 14 ST
		Sold						
PRICELINE COM INC (NEW) MorganStanley SmithBarney LLC acted as your agent in this transaction	07/29/09	03/17/10	31	46 24	51 43	1,433 44	1,594 31	160 87 ST
		Sold						
	Total		278		\$ 12,358 18	\$ 14,297 38	\$ 1,939 20	
	07/29/09	03/17/10	19	\$ 122 48	\$ 242 27	\$ 2,327 13	\$ 4,603 07	\$ 2,275 94 ST
		Sold						
	11/18/09	03/17/10	14	208 149	242 27	2 914 09	3,391 74	477 65 ST
		Sold						
11/23/09	03/17/10		13	211 30	242 27	2,746 91	3,149 47	402 56 ST
		Sold						

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MorganStanley
SmithBarney

Ref: 00020129 00105222

Account number 383-1597C-10 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
PRICELINE COM INC (NEW) MorganStanley SmithBarney LLC acted as your agent in this transaction	12/03/09	03/17/10 Sold	14	\$ 222.331	\$ 242.27	\$ 3,112.65	\$ 3,391.74	\$ 279.09 ST
	01/14/10	03/17/10 Sold	8	213.576	242.27	1,708.61	1,938.13	229.52 ST
Total			68			\$ 12,809.39	\$ 16,474.16	\$ 3,664.78
PRUDENTIAL FINANCIAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/23/09	03/17/10 Sold	23	\$ 49.19	\$ 57.67	\$ 1,131.38	\$ 1,326.39	\$ 195.01 ST
PUBLIC SERVICE ENTERPRISE GRP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/31/09	03/17/10 Sold	93	29.509	31.074	2,744.34	2,889.89	145.55 ST
	04/07/09	03/17/10 Sold	31	29.777	31.074	923.09	963.30	40.21 ST
	04/14/09	03/17/10 Sold	32	28.95	31.074	926.41	994.37	67.96 ST
	04/24/09	03/17/10 Sold	49	28.043	31.074	1,374.14	1,522.63	148.49 ST
	04/30/09	03/17/10 Sold	41	29.675	31.074	1,216.70	1,274.04	57.34 ST
Total			246			\$ 7,184.68	\$ 7,644.23	\$ 459.55
RAYTHEON COMPANY NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	03/17/10 Sold	22	\$ 43.527	\$ 56.054	\$ 957.61	\$ 1,246.37	\$ 288.76 ST
	07/07/09	03/17/10 Sold	24	42.526	56.054	1,020.63	1,359.68	339.05 ST
	09/25/09	03/17/10 Sold	57	47.342	56.054	2,698.49	3,229.24	530.75 ST
	10/09/09	03/17/10 Sold	57	45.911	56.054	2,616.08	3,229.24	612.26 ST
	10/23/09	03/17/10 Sold	53	46.70	56.054	2,475.11	3,002.62	527.51 ST
	10/29/09	03/17/10 Sold	27	45.287	56.054	1,222.77	1,529.63	306.86 ST
Total			240			\$ 10,991.69	\$ 13,586.78	\$ 2,605.19
RCD HAT INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/11/09	03/03/10 Sold	64	\$ 18.063	\$ 29.461	\$ 1,156.03	\$ 1,885.48	\$ 729.45 ST

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MorganStanley
SmithBarney

Ref 00020129 00105223

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RICE FOUNDATION

Realized gain or loss (continued)

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
RED HAT INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/01/09	03/11/10 Sold	56	\$ 18 063	\$ 30 429	\$ 1011 53	\$ 1,703 99	\$ 692 46 ST
RED HAT INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/09/09	03/11/10 Sold	22	18 079	30 429	415 34	669 43	254 09 ST
RED HAT INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/09/09	03/17/10 Sold	97	18 079	30 602	1,831 27	2 974 17	1,142 90 ST
Total			239			\$ 4,414 17	\$ 7,233 07	\$ 2,818 90
REINSURANCE GROUP OF AMERICA MorganStanley SmithBarney LLC acted as your agent in this transaction	09/25/09	03/17/10 Sold	24	\$ 44 42	\$ 50 84	\$ 1,066 08	\$ 1 220 14	\$ 154 06 ST
SPX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/02/09	03/17/10 Sold	25	43 079	50 84	1,076 98	1,270 98	194 00 ST
Total			49			\$ 2,143 08	\$ 2,491 12	\$ 348 08
SEARS HOLDINGS CORPORATION MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	03/17/10 Sold	24	\$ 57 344	\$ 62 388	\$ 1,376 26	\$ 1,497 29	\$ 121 03 ST
SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction	08/28/09	03/17/10 Sold	19	57 281	62 388	1,088 34	1,185 35	97 01 ST
Total			33			2 097 82	2,058 78	(39 04) ST
SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction	10/09/09	03/17/10 Sold	76	\$ 67 856	\$ 105 487	\$ 1,696 43	\$ 2,037 15	\$ 940 72 ST
SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction	11/03/09	03/17/10 Sold	108	67 661	105 487	7 307 49	11,392 51	4 085 02 ST
Total			133			\$ 9 003 92	\$ 14,029 68	\$ 5,025 74
SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction	09/11/08	03/17/10 Sold	17	\$ 57 442	\$ 50 036	\$ 976 52	\$ 850 60	(\$ 125 92) LT
SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction	09/18/08	03/17/10 Sold	29	51 749	50 036	1 500 74	1,451 02	(49 72) LT
SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction	10/03/08	03/17/10 Sold	40	50 306	50 036	2 012 25	2 001 41	(10 84) LT
SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/08	03/17/10 Sold	58	41 60	50 036	2 412 83	2,502 05	489 22 LT
SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction	10/23/08	03/17/10 Sold	41	39 164	50 036	1,605 74	2 051 45	445 71 LT

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RICE FOUNDATION
Account number 383-1597C-10 JDT

Realized gain or loss (continued)

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
SEMPRA ENERGY MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/08	03/17/10	38	\$ 37,393	\$ 50,036	\$ 1,420.96	\$ 1,901.34	\$ 480.38 LT
		Sold						
	10/30/08	03/17/10	29	41,286	50,036	1,197.31	1,451.02	253.71 LT
		Sold						
	12/19/08	03/17/10	23	41,445	50,036	963.25	1,150.81	197.56 LT
	12/24/08	03/17/10	22	39,731	50,036	874.10	1,100.78	226.68 LT
		Sold						
	12/29/08	03/17/10	46	40,292	50,036	1,853.44	2,301.63	448.19 LT
		Sold						
	12/30/08	03/17/10	22	41,464	50,036	912.23	1,100.79	188.56 LT
SOUTHERN COPPER CORP DEL MorganStanley SmithBarney LLC acted as your agent in this transaction	Total		365		\$ 15,719.37		\$ 18,282.90	\$ 2,543.53
	01/07/10	03/16/10	58	\$ 35,498	\$ 31,733	\$ 2,068.93	\$ 1,840.51	\$ (218.42) ST
		Sold						
	01/07/10	03/17/10	2	35,498	32,455	71.00	64.90	(6.10) ST
		Sold						
SOUTHERN UNION COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	Total		60		\$ 2,129.93		\$ 1,906.41	\$ (224.52)
	07/29/09	03/17/10	96	\$ 19,197	\$ 25,577	\$ 1,842.92	\$ 2,455.41	\$ 612.49 ST
		Sold						
	09/18/09	03/17/10	70	20,79	25,577	1,455.31	1,790.40	335.09 ST
		Sold						
STATE STREET CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	Total		166		\$ 3,298.23		\$ 4,245.81	\$ 947.58
	01/25/10	03/17/10	76	\$ 43,824	\$ 45,495	\$ 3,330.68	\$ 3,457.63	\$ 126.95 ST
		Sold						
	01/26/10	03/17/10	61	43,996	45,495	2,683.81	2,775.21	91.40 ST
		Sold						
	01/28/10	03/17/10	48	43,907	45,405	2,107.56	2,183.77	76.21 ST
		Sold						
	01/29/10	03/17/10	25	43,568	45,495	1,089.21	1,137.38	48.17 ST
		Sold						
Total			210		\$ 9,211.26		\$ 9,553.99	\$ 342.73

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SmithBarney

Ref: 00020129 00105225

Account number 383-1597C-10 JDI

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
STRAVER EDUCATION INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/17/08	03/03/10 Sold	4	\$ 217,860	\$ 235,067	\$ 871.48	\$ 940.26	\$ 68.78 LT
	03/18/09	03/03/10 Sold	1	158.41	235,067	158.44	235.06	76.62 ST
STRAVER EDUCATION INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/18/09	03/16/10 Sold	5	158.44	243,193	792.20	1,215.94	423.74 ST
	11/23/09	03/16/10 Sold	2	192,546	243,193	385.09	486.38	101.29 ST
STRAVER EDUCATION INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/23/09	03/17/10 Sold	4	192,546	244,803	770.19	979.19	208.00 ST
Total			16		\$ 2,977.40	\$ 3,858.83	\$ 879.43	
SYMANTEC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	03/17/10 Sold	132	\$ 15,585	\$ 17,232	\$ 2,057.26	\$ 2,274.59	\$ 217.33 ST
	08/26/09	03/17/10 Sold	124	15,416	17,232	1,911.58	2,136.74	225.16 ST
	09/17/09	03/17/10 Sold	71	15,939	17,232	1,131.67	1,223.45	91.78 ST
Total			327		\$ 5,100.61	\$ 5,834.78	\$ 734.27	
SYNNEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/02/09	03/17/10 Sold	45	\$ 29,325	\$ 30,992	\$ 1,319.63	\$ 1,394.64	\$ 75.01 ST
	10/05/09	03/17/10 Sold	36	29,781	30,992	1,072.12	1,115.71	43.59 ST
Total			81		\$ 2,391.75	\$ 2,510.35	\$ 118.60	
3M COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	11/12/09	03/17/10 Sold	19	\$ 77,529	\$ 82.04	\$ 1,473.06	\$ 1,558.75	\$ 85.69 ST
	11/16/09	03/17/10 Sold	33	78,023	82.04	2,574.77	2,707.30	132.53 ST
	11/18/09	03/17/10 Sold	20	77,62	82.04	1,552.41	1,640.79	88.38 ST
Total			72		\$ 5,600.24	\$ 5,908.84	\$ 308.60	
TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/08/08	03/17/10 Sold	8	\$ 61.25	\$ 53.027	\$ 490.00	\$ 424.21	\$ 65.79 LT
	10/21/08	03/17/10 Sold	42	37,247	53.027	1,564.38	2,227.12	662.74 LT

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MorganStanley
SmithBarney

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Account number 383-1597C-10 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/23/08	03/17/10 Sold	38	\$ 35 965	\$ 53 027	\$ 1,366 70	\$ 2,015 02	\$ 648 32 LT
	10/24/08	03/17/10 Sold	34	36 003	53 027	1 727 18	1,802 91	575 73 LT
	10/21/08	03/17/10 Sold	27	37 054	53 027	1,000 47	1,431 72	431 25 LT
	10/28/08	03/17/10 Sold	24	36 150	53 027	867 84	1,272 64	404 80 LT
	10/30/08	03/17/10 Sold	25	39 427	53 027	985 69	1,325 67	339 98 LT
	11/11/08	03/17/10 Sold	23	37 175	53 027	855 03	1,219 61	364 58 LT
	02/12/09	03/17/10 Sold	38	28 27	53 027	1,074 27	2,015 02	940 75 LT
	Total		259			\$ 9,431 56	\$ 13,733 92	\$ 4,302 36
	11/28/07	03/17/10 Sold	38	\$ 51 60	\$ 52 991	\$ 1 960 82	\$ 2,013 05	\$ 52 83 LT
	12/06/07	03/17/10 Sold	35	54 006	52 991	1,893 39	1,854 68	(38 71) LT
TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/26/08	03/17/10 Sold	32	49 519	52 991	1,584 62	1 695 71	111 09 LT
	04/09/08	03/17/10 Sold	92	49 288	52 991	4,534 51	4,875 16	340 65 LT
	01/28/09	03/17/10 Sold	113	40 793	52 991	4,609 05	5,987 96	1 378 31 LT
	10/29/09	03/17/10 Sold	71	51 731	52 991	3,644 50	3,762 35	117 85 ST
	11/04/09	03/17/10 Sold	32	51 041	52 991	1,633 34	1,695 71	62 37 ST
	11/10/09	03/17/10 Sold	49	53 913	52 991	2 641 78	2,596 55	(45 23) ST
	11/18/09	03/17/10 Sold	21	53 154	52 991	1,116 23	1,112 81	(3 42) ST
	11/20/09	03/17/10 Sold	22	52 498	52 991	1,154 96	1,165 80	10 84 ST
	12/03/09	03/17/10 Sold	27	52 734	52 991	1,423 82	1,430 75	6 93 ST
	Total							

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RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/16/09	03/17/10 Sold	39	\$ 50,696	\$ 52,991	\$ 1,971.17	\$ 2,066.64	\$ 89.47 ST
	12/29/09	03/17/10 Sold	41	49,921	52,991	2,046.79	2,172.02	125.83 ST
	01/04/10	03/17/10 Sold	64	50,066	52,991	3,204.22	3,391.41	187.19 ST
	01/05/10	03/17/10 Sold	31	48,794	52,991	1,512.63	1,642.72	130.00 ST
	01/20/10	03/17/10 Sold	109	52,827	52,991	8,926.99	8,955.44	28.45 ST
Total			876			\$ 43,885.42	\$ 48,419.96	\$ 2,554.54
ULTRA PETROLEUM CORP CAD MorganStanley SmithBarney LLC acted as your agent in this transaction	12/16/09	03/17/10 Sold	66	\$ 50,739	\$ 48,444	\$ 3,348.82	\$ 3,197.26	\$ 151.56 ST
	12/30/09	03/17/10 Sold	71	50,665	48,444	3,597.24	3,439.48	(157.76) ST
Total			137			\$ 6,946.06	\$ 6,636.74	\$ 309.32
UNITED PARCEL SERVICE CL B MorganStanley SmithBarney LLC acted as your agent in this transaction	01/20/10	03/17/10 Sold	52	\$ 60,962	\$ 62.87	\$ 3,170.07	\$ 3,269.19	\$ 99.12 ST
	01/14/10	03/15/10 Sold	42	72,293	71,667	3,036.32	3,010.01	(26.31) ST
UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/07/09	03/17/10 Sold	83	25.68	32.832	2,131.46	2,725.06	593.60 ST
	07/28/09	03/17/10 Sold	177	29,003	32,832	5,133.69	5,811.28	677.59 ST
	07/29/09	03/17/10 Sold	219	29,013	32,832	6,353.87	7,190.22	836.35 ST
	08/09/09	03/17/10 Sold	41	27,973	32,832	1,146.91	1,346.12	199.21 ST
	09/16/09	03/17/10 Sold	111	28.79	32,832	3,195.78	3,644.36	448.58 ST
Total			631			\$ 17,981.71	\$ 20,717.04	\$ 2,755.33

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MorganStanley
SmithBarney

Ref: 00020129 01/05/2010

RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
UNIVERSAL CORP VA MorganStanley SmithBarney LLC acted as your agent in this transaction	11/10/09	03/17/10 Sold	26	\$ 44,958	\$ 55,048	\$ 1,168.92	\$ 1,431.23	\$ 262.31 ST
	11/18/09	03/17/10 Sold	25	45,657	55,048	1,141.43	1,376.18	234.75 ST
	11/23/09	03/17/10 Sold	25	45,994	55,048	1,149.87	1,376.18	226.31 ST
Total			76			\$ 3,480.22	\$ 4,183.59	\$ 723.37
UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/30/08	03/17/10 Sold	28	\$ 15,098	\$ 24.80	\$ 422.76	\$ 694.39	\$ 271.63 LT
VISA INC COM CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	02/17/10	03/17/10 Sold	147	86,217	92,081	12,673.93	13,535.75	861.82 ST
	02/22/10	03/17/10 Sold	92	87,447	92,081	8,045.12	8,471.35	426.23 ST
	02/24/10	03/17/10 Sold	26	86,293	92,081	2,243.63	2,394.08	150.45 ST
Total			265			\$ 22,962.68	\$ 24,401.18	\$ 1,438.50
WELLPONT INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/11/09	03/17/10 Sold	85	\$ 45,844	\$ 61,732	\$ 3,879.79	\$ 5,247.15	\$ 1,367.36 ST
	07/02/09	03/17/10 Sold	89	49,96	61,732	4,446.49	5,494.08	1,047.59 ST
	07/16/09	03/17/10 Sold	24	49,486	61,732	1,187.67	1,481.55	293.88 ST
	08/07/09	03/17/10 Sold	24	51,299	61,732	1,231.18	1,481.55	250.37 ST
	08/19/09	03/17/10 Sold	44	52,921	61,732	2,328.53	2,716.17	387.64 ST
	08/21/09	03/17/10 Sold	23	55,156	61,732	1,268.60	1,419.82	151.22 ST
	08/26/09	03/17/10 Sold	26	55,395	61,732	1,440.28	1,605.01	164.73 ST
Total			315			\$ 16,782.64	\$ 18,445.33	\$ 3,662.79
WHIRLPOOL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/10/09	03/17/10 Sold	- 18	\$ 75,746	\$ 88,648	\$ 1,363.43	\$ 1,595.64	\$ 232.21 ST
	12/15/09	03/17/10 Sold	18	77.11	88,648	1,387.98	1,595.64	207.66 ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 9

Reserved
Client Statement
March 1 - March 31, 2010

Morgan Stanley
Smith Barney

Ref 00000179 00105229

Account number 383-1597C-10 JD1

RICE FOUNDATION

Realized gain or loss continued

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
WHIRLPOOL CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	12/29/09	03/17/10	31	\$ 82.648	\$ 88.648	\$ 2,562.09	\$ 2,748.05	\$ 185.96
	01/14/10	03/17/10	18	83.208	88.648	1,497.74	1,595.05	97.91
		Sold						
		Sold						
Total			85			\$ 6,811.24	\$ 7,534.98	\$ 723.74
Total Long Term this period								\$ 21,303.60
Total Short Term this period								\$ 140,079.14
Total realized gain or (loss) this period						\$ 1,322,273.18	\$ 1,483,855.92	\$ 161,582.74
Total Long Term - year to date								\$ 20,700.76
Total Short Term - year to date								\$ 141,585.34
Total realized gain or (loss) - year to date						\$ 1,579,852.26	\$ 1,738,938.36	\$ 162,286.10

R The basis for this tax lot has been adjusted due to a reclassification of income

Message Consolidated Statement of Financial Condition (in thousands of dollars)

At December 31, 2009 Citigroup Global Markets Inc. had net capital of \$10,886,418 which exceeded the Securities and Exchange Commission's minimum requirement by 10,217,725. A copy of the Citigroup Global Markets Inc. Consolidated Statement of Financial Condition can be viewed online at www.smithbarney.com/pdfs/2436.pdf or may be mailed to you at no cost by calling (877) 936-2737.

Information regarding commissions and charges will be made available to you promptly upon request. Please advise Morgan Stanley Smith Barney of any material change in your financial objectives or financial situation. All checks written and deposited to your account must be made payable to Citigroup Global Markets Inc. A financial statement of Citigroup Global Markets Inc. is available for your personal inspection at its offices or a copy of it will be mailed upon your written request. If you believe there are any inaccuracies or discrepancies in your account, you must promptly contact Citigroup Global Markets Inc. at 212-723-9903 and the Manager of the branch servicing your account (see page 1 of statement for address and phone number). To protect your rights, including any rights you may have under the Securities Investor Protection Act (SIPA), you should reconfirm all oral communication in writing to Morgan Stanley Smith Barney, Attention: Emily Dispute Resolution Group, 485 Lexington Avenue, 14th Floor, New York, NY 10017.

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

Page 9 of 10

Reserved
Client Statement
March 1 - March 31, 2010

MorganStanley
SmithBarney

Ref: 00070245, 00105971

Account number 383-1629C-11 JDI

RICE FOUNDATION

GAIN/LOSS DETAILS

Please note: this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from your tax advisor, we are allocating using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Such losses have been accounted in distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
EVERGREEN PRECIOUS METALS FUND CL 1	02/03/06	03/17/10 Sold	4,525.686	\$ 51.595	\$ 73.58	\$ 233,503.36	\$ 333,000.00	\$ 99,496.64* LT
CONFIRM #50000070058 808								
FRANKLIN GOLD & PRECIOUS METALS	06/01/06	03/17/10 Sold	7,767.67	31.372	42.87	243,691.83	333,000.00	89,308.17* LT
CONFIRM #50000070059 910								
LOOMIS SAYLES STRATEGIC INCOME FUND CL A	02/07/06	03/16/10 Sold	422,237.861	14.21	14.30	6,000,000.00	6,038,001.41	38,001.41* LT
CONFIRM #50000075019 1616								
	03/30/07	03/16/10 Sold	1,887.238	14.99	14.30	28,327.44	26,987.50	(1,339.94)* LT
Reinvestments	03/16/10	03/16/10 Sold	91,448.428	13.416	14.30	1,226,940.35	1,307,712.49	80,772.14 LT
Reinvestments	03/16/10	03/16/10 Sold	33,049.173	12.603	14.30	416,541.26	472,603.21	56,061.95 ST
Total			548,622.7			\$ 7,671,809.05	\$ 7,845,304.61	\$ 173,495.66
OPPERHEIMER GOLD AND SPECIAL MINERALS FUND CLASS A	04/24/07	03/17/10 Sold	8,940.656	\$ 32.05	\$ 37.78	\$ 283,343.02	\$ 334,000.00	\$ 50,656.98 LT
CONFIRM #50000076004 140								
Total Long Term this period								\$ 358,895.40
Total Short Term this period								\$ 56,061.95
Total realized gain or (loss) this period							\$ 8,432,347.26	\$ 8,445,304.61
Total Long Term - year to date								\$ 358,895.40
Total Short Term - year to date								\$ 56,061.95
Total realized gain or (loss) - year to date							\$ 8,432,347.26	\$ 8,445,304.61

*Based on information supplied by client or other financial institution, not verified by us

One or more reinvestments have been supplied by client or by your Financial Advisor

PART IV
CAPITAL GAINS & LOSSES

Page 9 of 10

Reserved
Client Statement
October 1 - October 31, 2010

MorganStanley
SmithBarney

Account number 383-1629C-11 JD1

RICE FOUNDATION

GAIN/LOSS DETAILS

Please note: this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, trades are allocated using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single line items have been designated as Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1999 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	LT
NALIXIS FUS TR F INCOME DIVIDEND PORT CL A CONFIRM #500002/44412480	04/27/07	10/01/10 Sold	293,255.132	\$ 11.43	\$ 10.23	\$ 3,351,906.15	\$ 3,000,000.00	(\$ 351,906.15)	
Total Long Term this period								(\$ 351,906.15)	
Total realized gain or (loss) - this period							\$ 3,000,000.00	(\$ 351,906.15)	
Total Long Term - year-to-date								\$ 4,989.26	
Total Short Term - year-to-date								\$ 58,081.95	
Total realized gain or (loss) - year to date							\$ 11,845,304.81	\$ 87,051.20	

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions.

I'm a copy of the applicable SEC Form ADI Disclosure Document for Morgan Stanley Smith Barney LLC or for any Investment Adviser with whom we contract to manage your investment advisory account, please contact your Financial Advisor. These Disclosure Documents contain important information about advisory programs.

SCHEDULE 1 0

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

2010

PART VIII--OFFICERS, DIRECTORS & EMPLOYEES
LINE 1-- OFFICERS, DIRECTORS COMPENSATION, ETC

NAME AND ADDRESS	TITLE & AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTION TO EMPLOYEE BENEFIT PLANS & DEFERRED COMPENSATION	EXPENSE ACCOUNT & OTHER ALLOWANCES
PETER G. NOLAN 715 ECHO LANE, GLENVIEW, IL	PRESIDENT AVERAGE 60 HRS/WEEK	193,008	101,153	0
ROBIN G. NOLAN 1 LILAC LANE BOX 25, GOLF, IL	VICE-PRESIDENT AVERAGE 40 HRS/WEEK	67,512	57,018	0
TOTALS		260,520	158,171	0

(OFFICERS ARE ALSO DIRECTORS)

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

2010

PART VIII-OFFICERS, DIRECTORS AND EMPLOYEES
LINE 2--EMPLOYEES

NAME AND ADDRESS	TITLE & AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTION TO EMPLOYEE BENEFIT PLANS & DEFERRED COMPENSATION	EXPENSE ACCOUNT & OTHER ALLOWANCES
CECELIA A. PERSSON 1709 S GRACE PARK RIDGE IL	SECRETARY AVERAGE 40 HRS/WEEK	45,720	24,737	0
DAVID S. RICH 9542 N. KOSTNER SKOKIE, IL	GRANTS CONSULTANT AVERAGE 40 HRS/WEEK	69,000	65,155	0
TOTALS		114,720	89,892	0

RICE FOUNDATION
FORM 990-PF – 2010

36-6043160

PART XV—LINES 2a, 2b, 2c & 2d

FORM AND INFORMATION REQUIRED FOR GRANT REQUESTS

Applications for grants should be addressed to Mr. Peter Nolan, President, Rice Foundation (The Foundation), 8600 Gross Point Road, Skokie, Illinois 60077-2151. The telephone number of the Foundation is (847) 581-9999

Applications submitted should include a statement describing the applicant and its activities, the particular purpose of the grant requested, the amount of the grant being requested and a copy of the letter issued to the applicant by the Internal Revenue Service determining that the applicant is exempt from income tax pursuant to the provisions of Section 501 (c) (3) of the Internal Revenue Code (The "Code")

The Foundation does not award any grants to individuals, but limits its grants to organizations described in Section 501 (c) (3) of the Code, and in general to organizations which are classified as non-private foundations

RICE FOUNDATION
FORM 990-PF 2010
36-6043160PART XV--GRANTS PAID DURING
THE YEAR
LINE 3A PAGE 1

5/26/2011

Payee Name	Amount
ACCESS (Community Health Network)	\$5,000
Achievement Rewards for College Scientists Foundation	\$10,000
Alliance for the Great Lakes	\$5,000
American Brain Tumor Association	\$4,500
Anixter Center	\$5,000
ARK	\$5,000
Avenues to Independence	\$5,000
Benedictine University	\$250,000
Big Apple Circus	\$5,000
Big Shoulders Fund	\$25,000
Boys and Girls Clubs of Chicago	\$2,500
Breakthrough Urban Ministries	\$5,000
By the Hand Club for Kids	\$50,000
Cabrini-Green Tutoring Program, Inc.	\$5,000
Canine Therapy Corps	\$10,000
Career Transitions Center of Chicago	\$10,000
Catholic Charities	\$10,000
Center of Concern	\$10,000
Chicago Botanic Garden	\$68,000
Chicago Botanic Garden	\$1,000,000
Chicago Chamber Musicians	\$40,000
Chicago Children's Advocacy Center	\$5,000

RICE FOUNDATION
FORM 990-PF 2010
36-6043160PART XV--GRANTS PAID DURING
THE YEAR
LINE 3A PAGE 2

Payee Name	Amount
Chicago History Museum	\$50,000
Chicago House	\$5,000
Chicago Shakespeare Theater	\$10,000
Children's Home & Aid Society	\$25,000
Children's Oncology Services, Inc.	\$5,000
Christopher House	\$5,000
Community Health	\$5,000
Connections for the Homeless	\$10,000
Cornerstone Academy	\$4,000
De La Salle Institute	\$5,000
Epilepsy Services for Northeastern Illinois	\$3,500
Equestrian Connection	\$10,000
Erie Family Health Center	\$5,000
Facets Multimedia, Inc.	\$5,000
Field Museum	\$25,000
Franciscan Outreach Association	\$10,000
Geneva Foundation - Presbyterian Homes	\$5,000
Gilda's Club Chicago	\$10,000
Glen View Club Scholarship Foundation	\$30,000
Glenview Hangar One Foundation	\$5,000
Hadley School for the Blind	\$5,000
Harbour, Inc.	\$7,500
Harris Theater for Music and Dance	\$10,000

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART XV--GRANTS PAID DURING
THE YEAR
LINE 3A PAGE 3

Payee Name	Amount
Hephzibah Children's Association	\$10,000
Housing Opportunities and Maintenance for the Elderly	\$5,000
Hull House Association	\$10,000
Illinois Conservation Foundation	\$40,000
Illinois Foundation of Dentistry for the Handicapped	\$5,000
Illinois Institute of Technology	\$2,500
Illinois Institute of Technology	\$2,500
Infant Welfare Society of Chicago	\$5,000
Interfaith House	\$5,000
Jewish Council for Youth Services	\$2,000
Joseph Academy Foundation	\$50,000
Juvenile Protective Association	\$5,000
Ladder Up Financial Liberation	\$5,000
Lakeview Pantry	\$12,000
Lambs Farm	\$5,800
Life Span	\$5,000
Lincoln Park Zoo	\$25,000
Little Brothers - Friends of the Elderly	\$5,000
Little Sisters of the Poor - St. Mary's Home	\$10,000
Lydia Chicago	\$10,000
Make-A-Wish Foundation	\$5,000
Marine Military Academy	\$30,000
Max McGraw Wildlife Foundation	\$400,000

RICE FOUNDATION
FORM 990-PF 2010
36-6043160PART XV--GRANTS PAID DURING
THE YEAR
LINE 3A PAGE 4

Payee Name	Amount
McGaw YMCA	\$5,000
Metropolitan Family Services	\$10,000
Midtown Educational Foundation	\$20,000
Midwest Palliative & Hospice CareCenter	\$5,000
Nature Conservancy of Illinois	\$50,000
New Foundation Center	\$25,000
Night Ministry	\$5,000
Oakton Community College Educational Foundation	\$10,000
Oley Foundation	\$5,000
Oley Foundation	\$11,000
Olive Branch Mission	\$5,000
Operation North Pole ORD, Inc.	\$5,000
Operation North Pole ORD, Inc.	\$40,000
PAWS Chicago	\$100
PAWS Chicago	\$100
PAWS Chicago	\$100
PAWS Chicago	\$50,000
Project Education Plus	\$5,000
Providence-St. Mel	\$5,000
Putnam Christian Church & Parsonage	\$100
Putnam Christian Church & Parsonage	-\$100
Putnam Christian Church & Parsonage	\$100
Rainbows	\$20,000

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART XV--GRANTS PAID DURING
THE YEAR
LINE 3A PAGE 5

Payee Name	Amount
Ravinia	\$20,000
Rehabilitation Institute of Chicago	\$250,000
Resurrection Development Foundation	\$15,000
Safer Foundation	\$5,000
San Miguel School	\$5,000
Senior Home Sharing, Inc.	\$5,000
Skokie Valley Orchestral Association	\$12,000
Southwest Chicago P.A.D.S.	\$10,000
Special Ed Advocacy Center	\$2,500
Special Olympics Illinois	\$10,000
St. Bernard Hospital and Health Center	\$12,000
St. Scholastica Academy	\$5,000
St. Scholastica Academy	\$115,300
St. Vincent de Paul Center	\$5,000
Teen Living Programs	\$7,500
Thresholds	\$20,000
Timber Wolf Preservation Society	\$21,500
Touched by an Animal	\$100
Touched by an Animal	\$100
Touched by an Animal	\$100
Touched by an Animal	\$15,000
Tuesday's Child	\$5,000
Turning Point Behavioral Health Care Center	\$10,000

RICE FOUNDATION
FORM 990-PF 2010
36-6043160

PART XV--GRANTS PAID DURING
THE YEAR
LINE 3A PAGE 6

Payee Name	Amount
UIC Eye Center (MC 648)	\$23,500
Union League Boys and Girls Clubs	\$5,000
Village of Skokie	\$1,000
Wetlands Initiative	\$12,500
Willow House	\$25,000
Young Women's Leadership Charter School of Chicago	\$5,000
Youth Job Center of Evanston, Inc.	\$5,000
Grand Totals (121 items)	\$3,349,300

RICE FOUNDATION
FORM 990-PF 2010

PART XV--3B
GRANTS APPROVED FOR FUTURE PAYMENT

CHICAGO BOTANIC GARDEN	2,000,000
REHABILITATION INSTITUTE OF CHICAGO	250,000
BENEDICTINE UNIVERSITY	250,000
ILLINOIS CONSERVATION FOUNDATION	100,000
THE ART INSTITUTE OF CHICAGO	1,250,000
NOTRE DAME COLLEGE PREP	1,000,000
NORTHERN ILLINOIS PUBLIC SAFETY TRAINING ACADEMY	100,000
TOTAL	<u>4,950,000</u>

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization RICE FOUNDATION	Employer identification number 36-6043160
	Number, street, and room or suite no. If a P.O. box, see instructions. 8600 GROSS POINT ROAD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SKOKIE, IL 60077-2151	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► **THE FOUNDATION**

Telephone No. ► **847-581-9999** FAX No. ► **847-581-1234**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15**, 20 **11**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 20 **11** or
- ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	85,000.00
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	70,000.00
c	Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	15,000.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.