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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation Retirement Research Foundation		A Employer identification number 36-2429540
Number and street (or P.O. box number if mail is not delivered to street address) 8765 West Higgins Road	Room/suite 430	B Telephone number (773) 714-8080
City or town, state, and ZIP code Chicago, IL 60631-4170		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 123,220,720.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		4,382.	4,382.		Statement 1
4 Dividends and interest from securities		2,671,894.	2,671,894.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		9,143,728.			
b Gross sales price for all assets on line 6a		9,143,728.			
7 Capital gain net income (from Part IV, line 2)			9,143,728.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<5,128,353.>	74,474.		Statement 3
12 Total. Add lines 1 through 11		6,691,651.	11,894,478.		
13 Compensation of officers, directors, trustees, etc		351,263.	57,503.		293,760.
14 Other employee salaries and wages		550,415.	11,982.		538,433.
15 Pension plans, employee benefits		291,983.	14,358.		277,626.
16a Legal fees Stmt 4		1,606.	0.		1,606.
b Accounting fees Stmt 5		61,040.	53,790.		7,250.
c Other professional fees Stmt 6		2,029,881.	1,900,107.		129,774.
17 Interest		549,379.	549,379.		0.
18 Taxes Stmt 7		279,404.	40,796.		0.
19 Depreciation and depletion		12,754.	1,275.		
20 Occupancy		104,473.	10,447.		94,026.
21 Travel, conferences, and meetings		32,069.	780.		31,290.
22 Printing and publications		2,009.	201.		1,808.
23 Other expenses Stmt 8		96,617.	3,121.		93,496.
24 Total operating and administrative expenses. Add lines 13 through 23		4,362,893.	2,643,739.		1,469,069.
25 Contributions, gifts, grants paid		4,024,660.			4,411,364.
26 Total expenses and disbursements. Add lines 24 and 25		8,387,553.	2,643,739.		5,880,433.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<1,695,902.>			
b Net investment income (if negative, enter -0-)			9,250,739.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	177,998.	1,267,579.	1,267,579.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	62,646.	8,460.	8,460.
	10a Investments - U.S. and state government obligations Stmt 9	4,300,892.	1,612,647.	1,612,647.
	b Investments - corporate stock Stmt 10	10,035,414.	5,842,929.	5,842,929.
	c Investments - corporate bonds Stmt 11	0.	870,046.	870,046.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 12	99,358,980.	113,603,038.	113,603,038.	
14 Land, buildings, and equipment basis ▶ 223,204.				
Less accumulated depreciation Stmt 13 ▶ 207,183.	23,316.	16,021.	16,021.	
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	113,959,246.	123,220,720.	123,220,720.	
Liabilities	17 Accounts payable and accrued expenses	201,703.	190,772.	
	18 Grants payable	2,219,390.	1,832,686.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	2,421,093.	2,023,458.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	111,538,153.	121,197,262.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	111,538,153.	121,197,262.		
31 Total liabilities and net assets/fund balances	113,959,246.	123,220,720.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	111,538,153.
2 Enter amount from Part I, line 27a	2	<1,695,902.>
3 Other increases not included in line 2 (itemize) ▶ <u>Unrealized Security Appreciation</u>	3	11,355,011.
4 Add lines 1, 2, and 3	4	121,197,262.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	121,197,262.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statement			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 9,143,728.			9,143,728.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			9,143,728.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	9,143,728.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	8,380,409.	105,671,344.	.079306
2008	9,734,268.	145,634,248.	.066841
2007	10,607,232.	172,969,464.	.061324
2006	9,410,234.	165,256,781.	.056943
2005	8,836,547.	160,025,172.	.055220

2 Total of line 1, column (d)	2	.319634
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.063927
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	113,459,220.
5 Multiply line 4 by line 3	5	7,253,108.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	92,507.
7 Add lines 5 and 6	7	7,345,615.
8 Enter qualifying distributions from Part XII, line 4	8	5,885,346.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.

See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	185,015.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	185,015.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	185,015.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	184,673.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d	342.	
7 Total credits and payments. Add lines 6a through 6d	7	185,015.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL, MI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address http://www.rrf.org	13	X	
14	The books are in care of Irene Frye Telephone no. 773-714-8080 Located at 8765 W. Higgins Road, Suite 430, Chicago, IL ZIP+4 60631			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country 16 Yes No X			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here 1b X		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? 1c X		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 2a N/A		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 2b N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 2c N/A		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) 3b N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? 4b X		

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

See Statement 15

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 14		351,263.	23,444.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Nancy Zweibel PHD - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Program Officer 40.00	144,598.	21,690.	0.
Julie Kaufman PHD - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Program Officer 40.00	126,462.	18,969.	0.
Kathy Stanko - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Office Mgr 40.00	71,173.	10,676.	0.
Mary O'Donnell - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Program Officer 40.00	68,348.	10,252.	0.
Sheila Zerwer - c/o RRF 8765 W. Higgins #430, Chicago, IL 60631	Exec Asst 40.00	59,908.	8,986.	0.

Total number of other employees paid over \$50,000

2

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Global Endowment Management 100 N Tryon St, Charlotte, NC 28202	Investment Management	1,871,561.
Naomi M Stanhaus 1847 N Howe Street, Chicago, IL 606145125	Program Consultant	99,180.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 None	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 None	
	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	114,564,678.
b	Average of monthly cash balances	1b	622,347.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	115,187,025.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	115,187,025.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,727,805.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	113,459,220.
6	Minimum investment return. Enter 5% of line 5	6	5,672,961.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	5,672,961.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	185,015.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	59,622.
c	Add lines 2a and 2b	2c	244,637.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	5,428,324.
4	Recoveries of amounts treated as qualifying distributions	4	89,154.
5	Add lines 3 and 4	5	5,517,478.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,517,478.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,880,433.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	4,913.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,885,346.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,885,346.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				5,517,478.
2 Undistributed income, if any, as of the end of 2010			0.	
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				3,569,810.
d From 2008				8,795,657.
e From 2009				3,156,668.
f Total of lines 3a through e	15,522,135.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 5,885,346.			0.	
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				5,517,478.
e Remaining amount distributed out of corpus	367,868.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	15,890,003.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	15,890,003.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	15,890,003.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				3,569,810.
c Excess from 2008				8,795,657.
d Excess from 2009				3,156,668.
e Excess from 2010				367,868.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Grants Paid for General Funding Interests See Attachment A	None	All are Pub Charity or Gov't	See attachment A	4200732.
Matching Gifts Program See Attachment B	None	All are Pub Charity or Gov't	See attachment B	210,632.
Total			▶ 3a	4411364.
b Approved for future payment				
Grants Payable to General Funding Interests See Attachment C	None	All are Pub Charity or Gov't	See attachment C	1832686.
Total			▶ 3b	1832686.

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2010 DEPRECIATION AND AMORTIZATION REPORT

Form 990-PF Page 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
	Computer Equipment											
6	Computer Equipment	063002SL		5.00	16	2,096.			2,096.	2,096.		0.
8	Computer Equipment	063003SL		5.00	16	2,728.			2,728.	2,728.		0.
15	Computer Equipment	VariesSL		5.00	16	11,970.			11,970.	11,970.		0.
17	Computer Equipment	VariesSL		5.00	16	51,302.			51,302.	46,192.		5,110.
19	Laser Jet Printer	041006SL		5.00	16	1,351.			1,351.	944.		270.
22	Eaton Battery	080108SL		5.00	16	1,173.			1,173.	333.		235.
24	CDW Color Printer	020510SL		5.00	16	1,307.			1,307.			151.
	* 990-PF Pg 1 Total					71,927.		0.	71,927.	64,263.	0.	5,766.
	- Computer Equipme											
	Furniture &											
	Fixtures											
	Furniture &											
2	Fixtures	VariesSL		5.00	16	79,085.		3,872.	75,213.	75,213.		0.
25	New Office Chairs	070610SL		5.00	16	4,152.			4,152.			415.
	* 990-PF Pg 1 Total					83,237.		3,872.	79,365.	75,213.	0.	415.
	- Furniture & Fixt											
	Office Equipment											
50	Office Equipment	VariesSL		5.00	16	7,815.			7,815.	7,815.		0.
20	Copy Machine	010606SL		5.00	16	20,295.			20,295.	14,206.		4,059.
	Toshiba Strategy											
23	Phone System	040208SL		5.00	16	8,623.			8,623.	2,587.		1,725.

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05-01-10

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2010 DEPRECIATION AND AMORTIZATION REPORT

Form 990-PF Page 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
	* 990-PF Pg 1 Total					36,733.		0.	36,733.	24,608.	0.	5,784.
	- Office Equipment											
	Software											
3	Software	Varies	SL	5.00	16	13,953.			13,953.	13,953.		0.
16	Software	070804	SL	5.00	16	11,188.			11,188.	11,188.		0.
18	Software	Varies	SL	5.00	16	4,440.			4,440.	3,905.		535.
21	Software-Retrospect	080706	SL	5.00	16	1,726.			1,726.	1,299.		254.
	* 990-PF Pg 1 Total					31,307.		0.	31,307.	30,345.	0.	789.
	- Software											
	* Grand Total					223,204.		3,872.	219,332.	194,429.	0.	12,754.
	990-PF Pg 1 Depr											

Sales of Business Property
(Also Involuntary Conversions and Recapture Amounts
Under Sections 179 and 280F(b)(2))

▶ Attach to your tax return.

▶ See separate instructions.

OMB No 1545-0184

2010Attachment
Sequence No **27**

Name(s) shown on return

Identifying number

Retirement Research Foundation

36-2429540

- 1** Enter the gross proceeds from sales or exchanges reported to you for 2010 on Form(s) 1099-B or 1099-S (or substitute statement) that you are including on line 2, 10, or 20 (see instructions)

1

Part I Sales or Exchanges of Property Used in a Trade or Business and Involuntary Conversions From Other Than Casualty or Theft—Most Property Held More Than 1 Year (see instructions)

2	(a) Description of property	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Gross sales price	(e) Depreciation allowed or allowable since acquisition	(f) Cost or other basis, plus improvements and expense of sale	(g) Gain or (loss) Subtract (f) from the sum of (d) and (e)
	From K-1 Global Endowment F3						47181

- 3** Gain, if any, from Form 4684, line 42

3

- 4** Section 1231 gain from installment sales from Form 6252, line 26 or 37.

4

- 5** Section 1231 gain or (loss) from like-kind exchanges from Form 8824

5

- 6** Gain, if any, from line 32, from other than casualty or theft

6

- 7** Combine lines 2 through 6. Enter the gain or (loss) here and on the appropriate line as follows:

7

47181

Partnerships (except electing large partnerships) and S corporations. Report the gain or (loss) following the instructions for Form 1065, Schedule K, line 10, or Form 1120S, Schedule K, line 9. Skip lines 8, 9, 11, and 12 below.

Individuals, partners, S corporation shareholders, and all others. If line 7 is zero or a loss, enter the amount from line 7 on line 11 below and skip lines 8 and 9. If line 7 is a gain and you did not have any prior year section 1231 losses, or they were recaptured in an earlier year, enter the gain from line 7 as a long-term capital gain on the Schedule D filed with your return and skip lines 8, 9, 11, and 12 below

- 8** Nonrecaptured net section 1231 losses from prior years (see instructions)

8

- 9** Subtract line 8 from line 7. If zero or less, enter -0-. If line 9 is zero, enter the gain from line 7 on line 12 below. If line 9 is more than zero, enter the amount from line 8 on line 12 below and enter the gain from line 9 as a long-term capital gain on the Schedule D filed with your return (see instructions)

9

47181

Part II Ordinary Gains and Losses (see instructions)

- 10** Ordinary gains and losses not included on lines 11 through 16 (include property held 1 year or less):

- 11** Loss, if any, from line 7

11

- 12** Gain, if any, from line 7 or amount from line 8, if applicable

12

- 13** Gain, if any, from line 31

13

- 14** Net gain or (loss) from Form 4684, lines 34 and 41a

14

- 15** Ordinary gain from installment sales from Form 6252, line 25 or 36

15

- 16** Ordinary gain or (loss) from like-kind exchanges from Form 8824

16

- 17** Combine lines 10 through 16

17

- 18** For all except individual returns, enter the amount from line 17 on the appropriate line of your return and skip lines a and b below. For individual returns, complete lines a and b below:

- a** If the loss on line 11 includes a loss from Form 4684, line 38, column (b)(ii), enter that part of the loss here. Enter the part of the loss from income-producing property on Schedule A (Form 1040), line 28, and the part of the loss from property used as an employee on Schedule A (Form 1040), line 23. Identify as from "Form 4797, line 18a." See instructions

18a

- b** Redetermine the gain or (loss) on line 17 excluding the loss, if any, on line 18a. Enter here and on Form 1040, line 14

18b

Part III Gain From Disposition of Property Under Sections 1245, 1250, 1252, 1254, and 1255
(see instructions)

19 (a) Description of section 1245, 1250, 1252, 1254, or 1255 property:		(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)
A			
B			
C			
D			

These columns relate to the properties on lines 19A through 19D. ▶		Property A	Property B	Property C	Property D
20	Gross sales price (Note: See line 1 before completing.)	20			
21	Cost or other basis plus expense of sale	21			
22	Depreciation (or depletion) allowed or allowable	22			
23	Adjusted basis Subtract line 22 from line 21.	23			
24	Total gain. Subtract line 23 from line 20	24			
25	If section 1245 property:				
a	Depreciation allowed or allowable from line 22	25a			
b	Enter the smaller of line 24 or 25a	25b			
26	If section 1250 property: If straight line depreciation was used, enter -0- on line 26g, except for a corporation subject to section 291.				
a	Additional depreciation after 1975 (see instructions)	26a			
b	Applicable percentage multiplied by the smaller of line 24 or line 26a (see instructions)	26b			
c	Subtract line 26a from line 24. If residential rental property or line 24 is not more than line 26a, skip lines 26d and 26e	26c			
d	Additional depreciation after 1969 and before 1976	26d			
e	Enter the smaller of line 26c or 26d	26e			
f	Section 291 amount (corporations only)	26f			
g	Add lines 26b, 26e, and 26f.	26g			
27	If section 1252 property: Skip this section if you did not dispose of farmland or if this form is being completed for a partnership (other than an electing large partnership).				
a	Soil, water, and land cleaning expenses	27a			
b	Line 27a multiplied by applicable percentage (see instructions)	27b			
c	Enter the smaller of line 24 or 27b	27c			
28	If section 1254 property:				
a	Intangible drilling and development costs, expenditures for development of mines and other natural deposits, mining exploration costs, and depletion (see instructions)	28a			
b	Enter the smaller of line 24 or 28a	28b			
29	If section 1255 property:				
a	Applicable percentage of payments excluded from income under section 126 (see instructions)	29a			
b	Enter the smaller of line 24 or 29a (see instructions)	29b			

Summary of Part III Gains. Complete property columns A through D through line 29b before going to line 30.

30	Total gains for all properties. Add property columns A through D, line 24	30	
31	Add property columns A through D, lines 25b, 26g, 27c, 28b, and 29b Enter here and on line 13	31	
32	Subtract line 31 from line 30 Enter the portion from casualty or theft on Form 4684, line 36 Enter the portion from other than casualty or theft on Form 4797, line 6	32	

Part IV Recapture Amounts Under Sections 179 and 280F(b)(2) When Business Use Drops to 50% or Less
(see instructions)

	(a) Section 179	(b) Section 280F(b)(2)
33	Section 179 expense deduction or depreciation allowable in prior years	33
34	Recomputed depreciation (see instructions)	34
35	Recapture amount Subtract line 34 from line 33. See the instructions for where to report	35

Global Endowment Fund II, LP
EIN: 28-1741307

IRC SECTION 6038B REQUIREMENTS

The following information is being furnished to you for disclosure pursuant to Treasury Regulation Section 1.6038B-(c).

During 2010, Global Endowment Fund II, LP indirectly transferred property to one or more non-U.S. entities treated as corporations for U.S. tax purposes. As a partner of Global Endowment Fund II, LP you are treated as having made indirect transfer(s) to these corporations. To determine your allocable proportion, please multiply the amount below by your ending capital percentage. Please consult with your tax advisor regarding potential filing requirements.

Name & Address of Transferee	Tax ID	Country	Amount (\$)	Date	Type of Property	Type of Non-recognition Transfer	Line 11-15b	Line 18	Line 17
SHUAN PHARMACEUTICAL HOLDINGS 847 CITY INTERNATIONAL TOWER HAIKOU, 570105 CHINA	FOREIGNUS	CHINA	\$ 6,830	10/22/2010	Cash	Section 351	No	Yes	No
CHINA SUNTREN GREEN ENERGY - H 9 YUNHUA WEST ROAD SHUJIAHUANG HEBEI PROVINCE, CHINA	FOREIGNUS	CHINA	\$ 5,767	10/7/2010	Cash	Section 351	No	Yes	No
AGRICULTURAL BANK OF CHINA - H DONGCHENG DISTRICT BEIJING, 100005 CHINA	FOREIGNUS	CHINA	\$ 650,158	7/8/2010	Cash	Section 351	No	Yes	No
PANDORA A/S 2800 GLOSTRUP, DENMARK	FOREIGNUS	DENMARK	\$ 24,945	10/6/2010	Cash	Section 351	No	Yes	No
AVA GROUP LTD 1 CONNAUGHT ROAD CENTRAL HONG KONG, HONG KONG (SAR)	FOREIGNUS	HONG KONG	\$ 803,589	10/22/2010	Cash	Section 351	No	Yes	No
OTSUKA HOLDINGS CO LTD, MINATO-KU, TOKYO, 101-0046 JAPAN	FOREIGNUS	JAPAN	\$ 942,371	12/6/2010	Cash	Section 351	No	Yes	No
BOSHIMA INTERNATIONAL HOLDINGS 15 QUEENS ROAD CENTRAL HONG KONG, HONG KONG (SAR)	FOREIGNUS	CAYMAN ISLANDS	\$ 6,853	9/23/2010	Cash	Section 351	No	Yes	No
GODSABAY INTERNATIONAL HOLDINGS P. O. BOX 2891, GRAND CAYMAN KY-1-111, CAYMAN ISLANDS	FOREIGNUS	CAYMAN ISLANDS	\$ 3,628	11/19/2010	Cash	Section 351	No	Yes	No
TRONY SOLAR HOLDINGS CO LTD NO 5 SCIENCE PARK WEST AVE	FOREIGNUS	CAYMAN ISLANDS	\$ 4,885	9/30/2010	Cash	Section 351	No	Yes	No
SPRINGLAND INTERNATIONAL HOLDINGS NO 1 XIANGJIAN EAST STREET WUXI, JIANGSU CHINA	FOREIGNUS	CAYMAN ISLANDS	\$ 8,805	10/14/2010	Cash	Section 351	No	Yes	No
MICROPORT SCIENTIFIC CORP	FOREIGNUS	CAYMAN ISLANDS	\$ 4,085	9/20/2010	Cash	Section 351	No	Yes	No

Name & Address of Transferee	Tax ID	Country	Amount (\$)	Date	CFC	Property	Non-recognition Transfer	Line 11-15b	Line 16	Line 17
ZHANGJIANG HI-TECH PARK SHANGHAI, CHINA										
SITC INTERNATIONAL HOLDINGS CONVENTION PLAZA 1 HARBOUR RD WANCHAI, HONG KONG (SAR)	FOREIGNUS	CAYMAN ISLANDS	\$ 58,448	9/27/2010	No	Cash	Section 351	No	Yes	No
BESUNYEN HOLDINGS CO DOUDIAN TOWN FANGSHAN BEIJING, 102433 CHINA	FOREIGNUS	CAYMAN ISLANDS	\$ 6,981	9/22/2010	No	Cash	Section 351	No	Yes	No
LEOCH INTERNATIONAL TECH NO. 2081, NANHAJ AVENUE SHENZHEN, CHINA	FOREIGNUS	CAYMAN ISLANDS	\$ 4,750	11/8/2010	No	Cash	Section 351	No	Yes	No
LOCCTANE INTERNATIONAL SA LUXEMBOURG, L-2419 LUXEMBOURG	FOREIGNUS	LUXEMBOURG	\$ 32,101	4/30/2010	No	Cash	Section 351	No	Yes	No
PETRONAS CHEMICALS GROUP BHD KUALA LUMPUR CITY KUALA LAMPUR, 50088 MALAYSIA	FOREIGNUS	MALAYSIA	\$ 53,866	11/15/2010	No	Cash	Section 351	No	Yes	No
STATOIL FUEL AND RETAIL AS PO BOX 1178 SENTRUM OSLO, 0107 NORWAY	FOREIGNUS	NORWAY	\$ 57,908	10/22/2010	No	Cash	Section 351	No	Yes	No
AMTEK ENGINEERING LTD SINGAPORE, 628818 SINGAPORE	FOREIGNUS	SINGAPORE	\$ 4,088	11/24/2010	No	Cash	Section 351	No	Yes	No
GLOBAL LOGISTIC PROPERTIES L 37-28 SINGAPORE LAND TOWER SINGAPORE, 048623 SINGAPORE	FOREIGNUS	SINGAPORE	\$ 15,228	11/11/2010	No	Cash	Section 351	No	Yes	No
WINISWAY COKING COAL HOLDINGS 2 QUEENS ROAD CENTRAL HONG KONG, HONG KONG (SAR)	FOREIGNUS	BRITISH VIRGIN ISLANDS	\$ 91,065	9/30/2010	No	Cash	Section 351	No	Yes	No
ATLAS REINSURANCE PLC C/O MARCH MANAGEMENT SERVICES (DUBLIN) LIMITED 4TH FLOOR, 25-28 ADELAIDE ROAD, DUBLIN 2, IRELAND	FOREIGNUS	IRELAND	\$ 19,324	VARIOUS	No	Cash	Section 351	No	Yes	No
ATLAS VI CAPITAL LTD 25-28 ADELAIDE ROAD, DUBLIN 2, IRELAND	FOREIGNUS	IRELAND	\$ 4,322	9/1/2010	No	Cash	Section 351	No	Yes	No
AVALON RE TRANCHE B C/O HSBC FINANCIAL SERVICES (CAYMAN) LIMITED STRATHVALE HOUSE, NORTH CHURCH STREET GEORGE TOWN, GRAND CAYMAN,	FOREIGNUS	CAYMAN ISLANDS	\$ 57,210	6/7/2010	No	Cash	Section 351	No	Yes	No
AVALON RE TRANCHE C C/O HSBC FINANCIAL SERVICES (CAYMAN) LIMITED STRATHVALE HOUSE, NORTH CHURCH STREET GEORGE TOWN, GRAND CAYMAN,	FOREIGNUS	CAYMAN ISLANDS	\$ 55,377	6/7/2010	No	Cash	Section 351	No	Yes	No
CARILLON LTD C/O HSBC FINANCIAL SERVICES (CAYMAN) LIMITED PO BOX 1109, 2F STRATHVALE HOUSE NORTH CHURCH STREET, GEORGE TOWN GRAND CAYMAN, CAYMAN ISLANDS	FOREIGNUS	CAYMAN ISLANDS	\$ 21,249	VARIOUS	No	Cash	Section 351	No	Yes	No
KAMP M&C CORPORATE SERVICES LIMITED P.O. BOX 398 GT, UGLAND HOUSE SOUTH CHURCH STREET, GEORGE TOWN GRAND CAYMAN, CAYMAN ISLANDS	FOREIGNUS	CAYMAN ISLANDS	\$ 4,079	12/14/2010	No	Cash	Section 351	No	Yes	No

Name & Address of Transferee	Tax ID	Country	Amount (\$)	Date	CFC	Property	Non-recognition Transfer	Line 11-16b	Line 16	Line 17
	FOREIGNUS	CAYMAN ISLANDS	10,719	VARIOUS	No	Cash	Section 351	No	Yes	No
MULTICAT MEX DB C/O WILMINGTON TRUST (CAYMAN), LTD., 4TH FLOOR CENTURY YARD, CRICKET SQUARE, ELGIN AVENUE GEORGE TOWN, P.O. BOX 32322 GRAND CAYMAN KY1-1209, CJ										
QUEN STREET C/O HSBC BANK (CAYMAN) LIMITED STRATHVALE HOUSE, 90 NORTH CHURCH STREET PO BOX 1109, GRAND CAYMAN KY1-1102 CAYMAN ISLANDS	FOREIGNUS	CAYMAN ISLANDS	\$ 22,552	VARIOUS	No	Cash	Section 351	No	Yes	No
SUCCESSOR (I CLASS C C/O HSBC FINANCIAL SERVICES (CAYMAN) LIMITED STRATHVALE HOUSE, NORTH CHURCH STREET P.O. BOX 1109, GEORGE TOWN GRAND CAYMAN, CAYMAN ISLANDS	FOREIGNUS	CAYMAN ISLANDS	\$ 17,163	4/6/2010	No	Cash	Section 351	No	Yes	No
TOWER VISION MAURITIUS LIMITED C/O INTERNATIONAL MANAGEMENT (MAURITIUS) LTD LES CASCADES, EDITH CAVELL STREET PORT LOUIS, MAURITIUS	FOREIGNUS	MAURITIUS	\$ 3,352	3/15/2010	No	Cash	Section 351	No	Yes	No
C. P. POKPHAND CO. LTD. 21/F., FAR EAST FINANCE CENTRE 18 HARDCOURT ROAD, HONG KONG, CHINA	FOREIGNUS	CHINA	\$ 50,480	VARIOUS	No	Cash	Section 351	No	Yes	No
D. E. SHAWRE (BERMUDA), LTD. 44 CHURCH STREET WEST HAMILTON HM 12, BERMUDA	FOREIGNUS	BERMUDA	\$ 28,325	VARIOUS	Yes	Cash	Section 351	No	Yes	No
NEW RUSSIA GENERATION LTD DOREY COURT, ADMIRAL PARK ST PETER PORT, GUERNSEY GY1 3B CHANNEL ISLANDS	FOREIGNUS	CHANNEL ISLAND	\$ 9,618	3/1/2010	No	Cash	Section 351	No	Yes	No
PAROS HOLDING LTD. C/O WALKERS CORPORATE SERVICES LIMITED WALKER HOUSE, 87 MARY STREET GEORGE TOWN, GRAND CAYMAN KY1-9005 CAYMAN ISLANDS	98-0652055	CAYMAN ISLANDS	\$ 3,792	12/9/2010	No	Cash	Section 351	No	Yes	No
HYDROCHILE S.A. AV ISIDORA GOYENECHEA 3365, PISO 19, LAS CONDES 7550120, SANTIAGO, CHILE	FOREIGNUS	CHILE	\$ 3,951	10/31/2010	No	Cash	Section 351	No	Yes	No
RUCH SA RUCH SA W WARSZAWIE ODDZIAŁ KATOWICE UL DĄBROWKI 16, KATOWICE 40-081, POLAND	FOREIGNUS	POLAND	\$ 180,636	4/19/2010	No	Cash	Section 351	No	Yes	No
LARGO LIMITED 65 QUEEN STREET WEST, SUITE 820 P.O. BOX 71, TORONTO, ONTARIO CANADA M5H 2M5	FOREIGNUS	CANADA	\$ 37,394	12/9/2010	No	Cash	Section 351	No	Yes	No
JSW INFRASTRUCTURE LIMITED JINDAL MANSION SA, DR. G. DESHMUKH MARG, MUMBAI - 400026, INDIA	FOREIGNUS	INDIA	\$ 67,562	12/13/2010	No	Cash	Section 351	No	Yes	No
CRAFT CLO 2010-1, LTD. C/O DEUTSCHE BANK (CAYMAN) LIMITED P.O. BOX 1094, BOUNDARY HALL CRICKET SQUARE, 171 ELGIN AVENUE GRAND CAYMAN KY1-1104, CJ	FOREIGNUS	CAYMAN ISLANDS	\$ 58,328	8/15/2010	No	Cash	Section 351	No	Yes	No
CRAFT CLO 2010-2, LTD.	FOREIGNUS	CAYMAN ISLANDS	\$ 50,729	8/18/2010	No	Cash	Section 351	No	Yes	No

Name & Address of Transferee	Tax ID	Country	Amount (\$)	Date	CFC	Property	Non-recognition Transfer	Line 11-15b	Line 16	Line 17
CIO DEUTSCHE BANK (CAYMAN) LIMITED P.O. BOX 1094, BOUNDARY HALL CRICKET SQUARE, 171 ELGIN AVENUE GRAND CAYMAN KY1-1104, CJ		CAYMAN ISLANDS	\$ 150,612	9/30/2010	No	Cash	Section 351	No	Yes	No
CRAFT CLO 2010-4, LTD. CIO DEUTSCHE BANK (CAYMAN) LIMITED P.O. BOX 1094, BOUNDARY HALL CRICKET SQUARE, 171 ELGIN AVENUE GRAND CAYMAN KY1-1104, CJ		CAYMAN ISLANDS	\$ 60,436	9/1/2010	No	Cash	Section 351	No	Yes	No
MEIN VENTURE TL LTD. CIO MAGNETAR FINANCIAL LLC, 1903 ORRINGTON AVE., 13TH FLOOR EVANSTON, IL 60201		CAYMAN ISLANDS	\$ 98,139	12/31/2010	No	Cash	Section 351	No	Yes	No
SYMPHONY CREDIT OPPORTUNITIES FUND, LTD. C/O MAYLES FS LIMITED, P.O. BOX 1093 QUEENSGATE HOUSE, SOUTH CHURCH STREET GEORGE TOWN, GRAND CAYMAN KY1-1102, CJ		CAYMAN ISLANDS	\$ 117,357	VARIOUS	No	Cash	Section 351	No	Yes	No
OCTAVIAN MARITIME HOLDINGS, INC. TRUST COMPANY COMPLEX, AJELTAKE ROAD AJELTAKE ISLAND, MAJURO MARSHALL ISLANDS MH169890	68-0744277	MARSHALL ISLANDS	\$ 4,379	5/18/2010	No	Cash	Section 351	No	Yes	No
GIF REALTY PARTICIPACOES S.A. RUA AMAURI 253, 7 ANDAR-PARTE, ITAMBI SAO PAULO 01448-000, BRAZIL		BRAZIL	\$ 4,192	3/23/2010	No	Cash	Section 351	No	Yes	No
DAL-CHI LIFE INSURANCE CHITODA-KU, TOKYO, 100-8411 JAPAN		JAPAN	\$ 19,298	3/6/2010	No	Cash	Section 351	No	Yes	No
KOREA LIFE INSURANCE YEONGDEUNGPO-GU, SEOUL KOREA, REPUBLIC OF (SOUTH)		KOREA	\$ 12,547	3/22/2010	No	Cash	Section 351	No	Yes	No
ZHONGSHENG GROUP HOLDINGS 18 ZHONGHUA WEST ROAD, DALIAN LIAONING, 116031 CHINA		CHINA	\$ 28,929	3/18/2010	No	Cash	Section 351	No	Yes	No
OSX BRASIL S.A. 68 - 14 ANDAR PARTE, RIO DE JANEIRO RJ 2210-083 BRAZIL		BRAZIL	\$ 160,700	12/20/2010	No	Cash	Section 351	No	Yes	No
LARGO LIMITED OGIER HOUSE, ST. JULIANS AVENUE ST. PETER PORT, GUERNSEY GY1 1WA		GUERNSEY	\$ 53,749	3/10/2010	No	Cash	Section 351	No	Yes	No
SKIPPER TOPCO S.A.R.L. 53, AVENUE PASTEUR, L-2311 LUXEMBOURG	98-08555410	LUXEMBOURG	\$ 78,883	VARIOUS	No	Cash	Section 351	No	Yes	No
BRAZILIAN RENEWABLE ENERGY CO. LTD. C/O BRESCO HOLDING S.A., AV. PEDROSO DE MORAIS 1153, 8 ANDAR, SAO PAULO, SP, BRAZIL		BRAZIL	\$ 163,329	VARIOUS	No	Cash	Section 351	No	Yes	No
OMEGA ENERGIA RENOVÁVEL S.A. RUA RIO GRANDE DO NORTE, 684 - CJ 301, CEP 30130-130, BELO HORIZONTE, MG, BRAZIL		BRAZIL	\$ 91,189	10/29/2010	Yes	Cash	Section 351	No	Yes	No
AIRCRAFT SOLUTIONS LUX V1 S.A.R.L.		LUXEMBOURG								

Name & Address of Transferee	Tax ID	Country	Amount (\$)	Date	CFC	Property	Non-recognition Transfer	Line 11-16b	Line 16	Line 17
50, RUE EUGENE RUPPERT, L-2453 LUXEMBOURG										
COPPER DEVELOPMENT CORPORATION VIKING HOUSE, NELSON STREET, DOUGLAS ISLE OF MAN, IM1 2AH	FOREIGNUS	ISLE OF MAN	\$ 214,253	12/8/2010	No	Cash	Section 351	No	Yes	No
RED GLOBE HOLDINGS, LTD VANTERPOOL PLAZA, 2ND FLOOR, WICKHAMS CAY 1 ROAD TOWN, TORTOLA, BRITISH VIRGIN ISLANDS	FOREIGNUS	BRITISH VIRGIN ISLANDS	\$ 60,480	VARIOUS	No	Cash	Section 351	No	Yes	No
OC OERLIKON CORPORATION AG, PFAFFIKON CHURERSTRASSE 120, CH-8808 PFAFFIKON SZ	FOREIGNUS	SWAZILAND	\$ 7,055	4/1/2010	No	Debt	Section 351	No	No	No
LYONDELBASSELL INDUSTRIES N.V. GROOT HANDELSGEBOUY, MEENA 737 3013 AM ROTTERDAM, THE NETHERLANDS	FOREIGNUS	NETHERLANDS	\$ 107,490	4/28/2010	No	Cash	Section 351	No	Yes	No
TOP ELITE LIMITED (CAYMAN) P.O. BOX 813T, 4TH FLOOR HARBOUR CENTRE GEORGE TOWN, GRAND CAYMAN KY-1-1107 CAYMAN ISLANDS	FOREIGNUS	CAYMAN ISLANDS	\$ 3,885	12/31/2010	No	Cash	Section 351	No	Yes	No
WUHAN KANGSHUN AUTO SERVICE COMPANY LIMITED NO. 3 JILONG AVENUE PANLONG ECONOMIC DEVELOPMENT ZONE WUHAN, CHINA	FOREIGNUS	CHINA	\$ 5,529	11/18/2010	No	Cash	Section 351	No	Yes	No
IVRCL ASSETS & HOLDINGS LIMITED M-22/3RT VIJAYA NAGAR COLONY HYDERABAD - 500 057, INDIA	FOREIGNUS	INDIA	\$ 8,778	11/30/2010	No	Cash	Section 351	No	Yes	No
GREENSTONE AVIATION HOLDINGS LTD CLARENDON HOUSE, 2 CHURCH STREET HAMILTON HM11, BERMUDA	FOREIGNUS	BERMUDA	\$ 27,520	9/2/2010	Yes	Cash	Section 351	No	Yes	No
SUNBORNE ENERGY DEVELOPMENT HOLDINGS LTD. C/O GENERAL CATALYST, 20 UNIVERSITY ROAD, CAMBRIDGE, MA 02138	FOREIGNUS	NORTHERN MARIANA ISLANDS	\$ 922,811	VARIOUS	No	Cash	Section 351	No	Yes	No
AVANTOR PERFORMANCE MATERIALS HOLDINGS S.A. 85, BOULEVARD GRANDE-DUCHESSE CHARLOTTE L-1331 LUXEMBOURG, GRAND DUCHY OF LUXEMBOURG	98-0871611	LUXEMBOURG	\$ 354,730	9/27/2010	Yes	Cash	Section 351	No	Yes	No
ANKIMO LTD MAPLES CORPORATE SERVICES LTD PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104, CAYMAN ISLANDS	98-0685343	CAYMAN ISLANDS	\$ 165,828	VARIOUS	No	Cash	Section 351	No	Yes	No
EBI LTD MAPLES CORPORATE SERVICES LTD PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104, CAYMAN ISLANDS	98-0598878	RWANDA	\$ 37,198	VARIOUS	No	Cash	Section 351	No	Yes	No
HIRAME LTD MAPLES CORPORATE SERVICES LTD PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104, CAYMAN ISLANDS	98-0685404	CAYMAN ISLANDS	\$ 189,238	VARIOUS	No	Cash	Section 351	No	Yes	No
KUJU WATT LTD PLOT 8714 NYARUTARAMA, KIGALI, RWANDA	98-0670256	RWANDA	\$ 41,333	VARIOUS	No	Cash	Section 351	No	Yes	No
COTOURGLOBAL WORLDWIDE HOLDINGS LTD 5783 LINE WALL ROAD, GIBRALTAR	98-0678683	GIBRALTAR	\$ 213,858	VARIOUS	No	Cash	Section 351	No	Yes	No
SACKVILLE DEVELOPMENTS (READING) LIMITED NORFOLK HOUSE, 31 ST JAMES' SQUARE	98-0683889	UNITED KINGDOM	\$ 35,842	12/15/2010	No	Cash	Section 351	No	Yes	No

Name & Address of Transferee	Tax ID	Country	Amount (\$)	Date	CFC	Property	Non-recognition Transfer	Line 11-15b	Line 16	Line 17
LONDON SW1Y 4JJ, UNITED KINGDOM										
CORAL PARTNERS S.A.R.L. 2-4 AVENUE MARIE-TERESE L-2132 LUXEMBOURG LUXEMBOURG	FOREIGNUS	LUXEMBOURG	\$ 24,961	4/23/2010	No	Cash	Section 351	No	Yes	No
OALYPER INVESTMENTS (CAYMAN) LTD. WALKER HOUSE, 87 MARY STREET GEORGE TOWN, GRAND CAYMAN CAYMAN ISLANDS KY1-9005	FOREIGNUS	CAYMAN ISLANDS	\$ 21,180	4/7/2010	No	Cash	Section 351	No	Yes	No
QORVUS INVESTMENTS LTD WALKER HOUSE, 87 MARY STREET GEORGE TOWN, GRAND CAYMAN CAYMAN ISLANDS KY1-9005	FOREIGNUS	CAYMAN ISLANDS	\$ 111,196	4/13/2010	No	Cash	Section 351	No	Yes	No
QARAVEL INVESTMENTS (CAYMAN) LTD. WALKER HOUSE, 87 MARY STREET GEORGE TOWN, GRAND CAYMAN CAYMAN ISLANDS KY1-9005	FOREIGNUS	CAYMAN ISLANDS	\$ 52,950	1/21/2010	No	Cash	Section 351	No	Yes	No
QUINTESSA INVESTMENTS (CAYMAN) LTD. WALKER HOUSE, 87 MARY STREET GEORGE TOWN, GRAND CAYMAN CAYMAN ISLANDS KY1-9005	FOREIGNUS	CAYMAN ISLANDS	\$ 609,213	6/8/2010	No	Cash	Section 351	No	Yes	No
QUIVER INVESTMENTS LTD. WALKER HOUSE, 87 MARY STREET GEORGE TOWN, GRAND CAYMAN CAYMAN ISLANDS KY1-9005	FOREIGNUS	CAYMAN ISLANDS	\$ 31,770	4/29/2010	No	Cash	Section 351	No	Yes	No
OCEAN MARINE ENERGY LIMITED WALKER HOUSE, 87 MARY STREET GEORGE TOWN, GRAND CAYMAN CAYMAN ISLANDS KY1-9005	FOREIGNUS	CAYMAN ISLANDS	\$ 148,261	4/7/2010	No	Cash	Section 351	No	Yes	No
CONCORDIA INVESTMENTS (CAYMAN) LTD. WALKER HOUSE, 87 MARY STREET GEORGE TOWN, GRAND CAYMAN CAYMAN ISLANDS KY1-9005	FOREIGNUS	CAYMAN ISLANDS	\$ 74,130	9/4/2010	No	Cash	Section 351	No	Yes	No
DESPEGAR ONLINE S.R.L. RUTA 8 KM 17500 ZONAMERICA, ED. SYNERGIA OF 101 TEL. 25184469, USUARIO ZFM 241-14, CP 91600, URUGUAY	FOREIGNUS	URUGUAY	\$ 33,155	VARIOUS	No	Cash	Section 351	No	Yes	No
IMOVELWEB S.A. RUA JANDAIA, NO. 86, SALA A, 01320-900 SAO PAULO, SAO PAULO BRAZIL	FOREIGNUS	BRAZIL	\$ 17,647	VARIOUS	No	Cash	Section 351	No	Yes	No
PRIVATE PROPERTY HOLDINGS (PTY) LTD SUITE 302/304 GATEVIEW, 3 SUGAR CLOSE, UMHLANGA RIDGE, KWAZULU NATAL 4150, SOUTH AFRICA	FOREIGNUS	SOUTH AFRICA	\$ 6,965	VARIOUS	No	Cash	Section 351	No	Yes	No
ANYWAYANYDAY LIMITED STASANDROU, 8, 3RD FLOOR, FLAT/OFFICE 301 P.O. 1060, NICOSIA, CYPRUS	FOREIGNUS	CYPRUS	\$ 15,265	VARIOUS	No	Cash	Section 351	No	Yes	No
VAJES BIDA, S.A. DE D.V. AV. BONAMPAK MZA. 2 LOTE. 7 SIM. 10 CANCUN QUINTANA ROO, MEXICO	FOREIGNUS	MEXICO	\$ 188,251	VARIOUS	No	Cash	Section 351	No	Yes	No
LETAO, INC. 10/F MACAU CENTER, NO.9 WANGFUJING EAST STREET DONGCHENG DISTRICT, BEIJING, CHINA	FOREIGNUS	CHINA	\$ 5,801	VARIOUS	No	Cash	Section 351	No	Yes	No
MYNTRA PRIVATE LIMITED	FOREIGNUS	INDIA	\$ 27,019	VARIOUS	No	Cash	Section 351	No	Yes	No

Name & Address of Transferee	Tax ID	Country	Amount (\$)	Date	CFC	Property	Non-recognition Transfer	Line 15-18b	Line 16	Line 17
154647, 18TH MAIN, SECTOR 1, HSR LAYOUT BANGALORE - 560102, INDIA										
DSM GRUP DANISMANLIK I ETISIM VE SATIS- TICARET A. AYAZAGO MAH. KEMERBURGAZ CAD. NO. 101 SISLI ISTANBUL, TURKEY	FOREIGNUS	TURKEY	\$ 26,632	VARIOUS	No	Cash	Section 351	No	Yes	No
VANCL CORPORATION 8TH FLOOR, 2 FUPENG ROAD, FENGTAI DISTRICT BEIJING, CHINA	FOREIGNUS	CHINA	\$ 209,070	VARIOUS	No	Cash	Section 351	No	Yes	No
LLC WIKIMART BOLSHAYA POCHTOVAYA STR., 26B STROTENIYE 2, MOSCOW, RUSSIA	FOREIGNUS	RUSSIA	\$ 19,244	VARIOUS	No	Cash	Section 351	No	Yes	No
CARRICK INSTITUTE OF EDUCATION & CARRICK HIGHER, EDUCATION MELBOURNE CAMPUS MIDTOWN TOWER LEVEL 4, 246 BOURKE STREET MELBOURNE VIC 300, AUSTRALIA	FOREIGNUS	AUSTRALIA	\$ 4,530	VARIOUS	No	Cash	Section 351	No	Yes	No
T2 HOME ENTERTAINMENT CC CREATION PARK 3526, COMPUTER ROAD MONTAGUE GARDENS, CAPE TOWN, SOUTH AFRICA 7441	FOREIGNUS	SOUTH AFRICA	\$ 18,468	VARIOUS	Yes	Cash	Section 351	No	Yes	No
WEGO PTE LTD. 230 VICTORIA STREET, 04-01 BUGIS JUNCTION TOWERS 188024 SINGAPORE	FOREIGNUS	SINGAPORE	\$ 60,208	VARIOUS	No	Cash	Section 351	No	Yes	No
WAKANOW.COM DBA ZEEP TRAVEL NETWORK LTD. SHARONS COURT, OBA YESUFU WAY, ONIRU ESTATE LAGOS, NIGERIA	FOREIGNUS	NIGERIA	\$ 10,967	VARIOUS	No	Cash	Section 351	No	Yes	No
LETAD INC. ROOM 6808, BUILDING A, XIE ZI PARK NO.11 XINGHUO ROAD, FENGTAI DISTRICT, BEIJING PRC, 100070	FOREIGNUS	CHINA	\$ 87,124	VARIOUS	No	Cash	Section 351	No	Yes	No
VOSTU LTD. PO BOX 309, UGLAND HOUSE, GRAND CAYMAN CAYMAN ISLANDS KY1-1104	FOREIGNUS	CAYMAN ISLANDS	\$ 108,570	VARIOUS	Yes	Cash	Section 351	No	Yes	No
JOBS DB INC. OFFSHORE INCORPORATIONS (CAYMAN) LTD, SCOTIA CENTRE, 4TH FL, PO BOX 2804 GRAND CAYMAN KY1-1112, CJ	FOREIGNUS	CAYMAN ISLANDS	\$ 95,463	VARIOUS	No	Cash	Section 351	No	Yes	No
LETSBUY 75-76, 1ST FLOOR, ADICHINI, AUROBINDO MARG NEW DELHI, INDIA - 110017	FOREIGNUS	INDIA	\$ 5,335	VARIOUS	No	Cash	Section 351	No	Yes	No
FIDES FOOD SYSTEMS COOP U.A. (DOMINOS PIZZA) CAO FORTIS INTERTRUST AMSTERDAM PRINS BERNHARDPLEIN 200, 1097 JB, AMSTERDAM THE NETHERLANDS	FOREIGNUS	NETHERLANDS	\$ 104,542	8/25/2010	No	Cash	Section 351	No	Yes	No

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
Urban Partnership Bank	4,382.
Total to Form 990-PF, Part I, line 3, Column A	4,382.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Global Endowment Fund II LP	2,433,406.	0.	2,433,406.
Global Endowment Fund II LP	815.	0.	815.
Mid-Continent Capital	237,673.	0.	237,673.
Total to Fm 990-PF, Part I, ln 4	2,671,894.	0.	2,671,894.

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Returned Grants	89,154.	0.	
Unrelated Business Taxable Income	234,004.	0.	
Net Ordinary and Other Portfolio Income and (Losses) from K-1	74,474.	74,474.	
Non Tax Net Losses on Books From Passthroughs Not Reflected on K-1	<5,525,985.>	0.	
Total to Form 990-PF, Part I, line 11	<5,128,353.>	74,474.	

Form 990-PF	Legal Fees	Statement	4
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
General legal fees relating to foundation program activity: Quarles & Brady 300 N LaSalle St Chicago IL 60654	0. 1,606.	0. 0.		0. 1,606.
To Fm 990-PF, Pg 1, ln 16a	1,606.	0.		1,606.

Form 990-PF	Accounting Fees	Statement	5
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Auditing&consulting fees: Murray, Grishaber LLC 3365 N Arlington Heights Rd Arlington Heights IL 60004	0. 14,500.	0. 12,000.		0. 2,500.
Bookkeeping, Payroll and Tax Services: Varey & Vaccariello CPAs PC 617 E Golf Road Arlington Heights IL 60005	0. 46,540.	0. 41,790.		0. 4,750.
To Form 990-PF, Pg 1, ln 16b	61,040.	53,790.		7,250.

Form 990-PF	Other Professional Fees	Statement	6
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment management services: Mid Continent Capital LLC 150 S Wacker Drive Chicago IL 60606	0. 0. 28,546.	0. 0. 28,546.		0. 0. 0.
Investment management services: Global Endowment Fund II	0.	0.		0.

100 N Tryon St Charlotte
NC 28202
Program Grant Evaluation
Consultants

1,871,561.	1,871,561.	0.
129,774.	0.	129,774.
2,029,881.	1,900,107.	129,774.

To Form 990-PF, Pg 1, ln 16c

Form 990-PF	Taxes	Statement	7
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise tax	184,673.	0.		0.
Tax on Foreign Dividends	40,796.	40,796.		0.
Unrelated Business Income Taxes	53,935.	0.		0.
To Form 990-PF, Pg 1, ln 18	279,404.	40,796.		0.

Form 990-PF	Other Expenses	Statement	8
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Computer expense	52,996.	0.		52,996.
Insurance	18,357.	1,836.		16,521.
Office Supplies and Expense	9,257.	645.		8,612.
Dues and Memberships	8,710.	0.		8,710.
Postage and Shipping	6,403.	640.		5,763.
Organizational Capacity				
Building Initiative Expenses	894.	0.		894.
To Form 990-PF, Pg 1, ln 23	96,617.	3,121.		93,496.

Form 990-PF	U.S. and State/City Government Obligations	Statement	9
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Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
Mid-Continent Capital-ETF's	X		1,612,647.	1,612,647.
Total U.S. Government Obligations			1,612,647.	1,612,647.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			1,612,647.	1,612,647.

Form 990-PF	Corporate Stock	Statement	10
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Description	Book Value	Fair Market Value
Mid-Continent Capital-ETF's	5,842,929.	5,842,929.
Total to Form 990-PF, Part II, line 10b	5,842,929.	5,842,929.

Form 990-PF	Corporate Bonds	Statement	11
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Description	Book Value	Fair Market Value
Mid-Continent Capital-ETF's	870,046.	870,046.
Total to Form 990-PF, Part II, line 10c	870,046.	870,046.

Form 990-PF	Other Investments	Statement	12
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Description	Valuation Method	Book Value	Fair Market Value
Global Endowment Fund II LP	FMV	113,603,038.	113,603,038.
Total to Form 990-PF, Part II, line 13		113,603,038.	113,603,038.

Form 990-PF Depreciation of Assets Not Held for Investment Statement 13

Description	Cost or Other Basis	Accumulated Depreciation	Book Value
Furniture & Fixtures	79,085.	75,213.	3,872.
Software	13,953.	13,953.	0.
Office Equipment	7,815.	7,815.	0.
Computer Equipment	2,096.	2,096.	0.
Computer Equipment	2,728.	2,728.	0.
Computer Equipment	11,970.	11,970.	0.
Software	11,188.	11,188.	0.
Computer Equipment	51,302.	51,302.	0.
Software	4,440.	4,440.	0.
Laser Jet Printer	1,351.	1,214.	137.
Copy Machine	20,295.	18,265.	2,030.
Software-Retrospect	1,726.	1,553.	173.
Eaton Battery Backup	1,173.	568.	605.
Toshiba Strategy Phone System	8,623.	4,312.	4,311.
CDW Color Printer	1,307.	151.	1,156.
New Office Chairs	4,152.	415.	3,737.
Total To Fm 990-PF, Part II, ln 14	223,204.	207,183.	16,021.

Form 990-PF Part VIII - List of Officers, Directors Statement 14
 Trustees and Foundation Managers

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account	
Nathaniel McParland, MD c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Chairman/Trustee 6.00	34,000.	0.	0.
Edward J. Kelly c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 4.00	21,500.	0.	0.
Sister Stella Louise Slomka c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 3.00	20,000.	0.	0.
Marvin Meyerson c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 6.00	24,500.	0.	0.
Ruth Ann Watkins c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Secretary/Trustee 3.00	21,500.	0.	0.
Bart T. Murphy c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Vice Chairman/Trustee 0.50	2,000.	0.	0.
Richard J. Kissel c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 3.00	21,500.	0.	0.
Irene Frye c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Executive Director 40.00	157,763.	23,444.	0.
Downey R. Varey c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Treasurer/Trustee 6.00	11,500.	0.	0.
Robert T. Brehm c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 6.00	17,000.	0.	0.
Marilyn Hennessy c/o RRF 8765 W Higgins #430 Chicago, IL 606314170	Trustee 6.00	20,000.	0.	0.

Totals included on 990-PF, Page 6, Part VIII

351,263. 23,444. 0.

Form 990-PF

Officers, Directors, Trustees and
Foundation Managers Compensation Explanation
Part VIII, Line 1

Statement 15

Person's Name

Downey R Varey Trustee

Compensation Explanation

Mr Varey's compensation as Trustee for the year ended 12/31/10 totaled \$11,500. Mr. Varey also is a partner in the accounting firm of Varey & Vaccariello CPAs PC, which the Foundation paid accounting, consulting and tax related fees totaling \$46,540 for the year ended 12/31/10. All of those services to the Foundation were provided by Mr. Varey, and he was compensated by the accounting firm for them.

Form 990-PF

Grant Application Submission Information
Part XV, Lines 2a through 2d

Statement 16

Name and Address of Person to Whom Applications Should be Submitted

Irene Frye, Executive Director, Retirement Research Foundation
8765 W Higgins RD Ste 430
Chicago IL

Telephone Number

773-714-8080

Form and Content of Applications

See Attachment 1: General Program
See Attachment 2: Occupational Capacity Building Program
See Attachment 3: Accessible Faith Grant Program

Any Submission Deadlines

February 1, May 1, and August 1

Restrictions and Limitations on Awards

See Attachment 4: Guidelines

Form 990-PF

Other Revenue

Statement 17

Description	Bus Code	Unrelated Business Inc	Excl Code	Excluded Amount	Related or Exempt Func- tion Income
Returned Grants					89,154.
Unrelated Business Taxable Income	523000	234,004.			
Net Ordinary and Other Portfolio Income and (Losses) from K-1			14	74,474.	
Non Tax Net Losses on Books From Passthroughs Not Reflected on K-1			14	<5525985.>	
Total to Form 990-PF, Pg 11, ln 11		234,004.		<5451511.>	89,154.

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, ILLINOIS**

**FORM 990 PF
DECEMBER 31, 2010
36-2429540**

**GENERAL PROGRAM
ATTACHMENT 1
Part XV Page 10 Line 2b
Pages 1 and 2**

THE RETIREMENT RESEARCH FOUNDATION

(773) 714-8080

ABOUT RRF

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APPLY

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Grants

Grants

OCB Programs

Accessible Faith Program

[Home > Grants & Programs > Grants](#)

Grants

APPLY

PURPOSE

Funding for RRF's general program of grantmaking is given to efforts that support the Foundation's **funding interests**:

1. Improve the availability and quality of community-based and long-term services and supports.
2. Provide new and expanded opportunities for older adults to engage in meaningful roles in society.
3. Seek causes and solutions to significant problems of older adults
4. Increase the number of professionals and paraprofessionals adequately prepared to serve the elder population.

Click here to get more detail about the Foundation's funding interests.

Grantseekers should also review exceptions—projects that are **not funded** by RRF.

ELIGIBILITY

Nonprofit organizations throughout the United States that focus on advocacy, research, or continuing education and training related to older adults. Direct service requests will be considered *only* from organizations in these seven states: Illinois, Indiana, Iowa, Kentucky, Missouri, Wisconsin and Florida.

KEY CHARACTERISTICS

The Foundation gives priority to organizations that embody the following characteristics for success:

Nonprofit best practices, such as strong leadership, responsible governance, fiscal responsibility and viability, and ongoing evaluation.

Early planning and implementation of strategies to sustain projects for the future.

True understanding of, and commitment to, person-centered care that promotes the dignity of older persons and their freedom to make life choices.

Implementation of proven models and approaches that address clearly identified needs and gaps.

Use of clearly focused, collaborative efforts to address systemic problems.

Forging strategic partnerships with organizations in the field and funders to achieve better use of resources and sharing of knowledge.

DEADLINES

Proposals are due February 1, May 1, and August 1. Decisions are usually made four months after each deadline.

RELATED LINKS

[Application Package](#)

[Case Studies](#)

[Mission](#)

[Funding Policies](#)

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**RETIREMENT RESEARCH FOUNDATION
CHICAGO, ILLINOIS
FORM 990 PF
DECEMBER 31, 2010
36-2429540**

**ORGANIZATIONAL CAPACITY BUILDING INITIATIVE
ATTACHMENT 2
Part XV Page 10 Line 2b
Pages 1 and 2**

THE RETIREMENT RESEARCH FOUNDATION

(773) 714-8080

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OCB Programs

[APPLY](#)

PURPOSE

The Organizational Capacity Building (OCB) Program supports metropolitan Chicago-area nonprofit organizations in making improvements in their governance, management, and organizational capacity. RRF recognizes that as stronger organizations, these nonprofits can further enhance and sustain their services to older persons.

Two types of funding are available to Chicago-area organizations through RRF's OCB Program:

Standard OCB Grants are awarded to help organizations make long-term improvements in their management, governance, or organizational capacity.

Flexible OCB Funds are available to help organizations set their capacity-building priorities or get short-term technical assistance to weather tough times.

GRANT USE

OCB grants have funded such activities as:

Planning (strategic, business, and financial plans) to steer an organization through changes.

Evaluations to develop outcome measurements and strengthen accountability to constituents and funding sources.

Public relations, communications, and marketing to increase awareness and use of services.

Financial management and resource development to improve financial systems, explore revenue options, and develop a stronger funding base.

Human resources management for professional development, team building, and improving the working environment.

Information systems management, including technology enhancements, to improve efficiency and accountability.

Restructuring and building relations among organizations to strengthen service delivery, reduce costs, share resources, or achieve other benefits.

Board development including assessment, recruitment, training, and structuring to strengthen governance.

EXCLUSIONS

RRF does not provide funding for renovations or capital improvements,

emergency needs, deficits, or one-time events that do not build long-term capacity, i.e., fundraising events or one-time conferences.

ELIGIBILITY

Applying organizations must:

Be a nonprofit organization, but not a unit of government.

Be located in Cook, DuPage, Kane, Kendall, Lake or McHenry County, Illinois.

Provide services to elders.

Intentionally give a high priority to older persons.

RELATED LINKS

[Standard OCB Grant Application Package](#)

[Flexible OCB Funds Application Package](#)

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, ILLINOIS
FORM 990 PF
DECEMBER 31, 2010
36-2429540**

**ACCESSIBLE FAITH GRANT PROGRAM
ATTACHMENT 3
Part XV Page 10 Line 2b
Pages 1 and 2**

THE RETIREMENT RESEARCH FOUNDATION

(773) 714-8080

[ABOUT RRF](#)[GRANTS](#)[APPLY](#)[NEWS](#)[CONTACT US](#)[AA SEARCH](#)[Grants](#)[Grants](#)[OCB Programs](#)[Accessible Faith Program](#)[Home](#) > [Grants & Programs](#) > [Accessible Faith Program](#)

Accessible Faith Program

[APPLY](#)

PURPOSE

The Accessible Faith Program is designed to assist eligible Chicago-area houses of worship to improve physical access that will enable greater participation by older adults in the congregation's programs, services, and activities. Grants are awarded for up to 50 percent of a project's total cost, to a maximum amount of \$30,000.

The deadline for submission of your **Application Package** is June 1.

GRANT USE

Construction projects: Installing elevators, platform lifts, or inclined platform lifts, constructing permanent ramps; or renovating restrooms for accessibility in existing buildings. These projects generally require a building permit.

Purchase and installation of accessibility-related equipment such as assistive listening systems, automatic door openers, etc.

EXCLUSIONS

Grants support stand-alone accessibility improvements, not projects that are part of major new construction or overall large-scale renovation. Grants cannot be used for:

Chairlifts because they do not provide accessibility for all people who have difficulty climbing stairs

Used equipment because of the possible lack of required maintenance or warranty

The purchase of accessible vehicles or individual mobility aids, such as wheelchairs or walkers

Projects that are already completed

ELIGIBILITY

The faith-based congregation must be:

A nonprofit organization that owns the facility where improvements will be made

Located in these six Illinois counties: Cook, DuPage, Kane, Kendall, Lake, and McHenry Counties

Offering programs beyond worship services that benefit older adults (age 65 or older)

Able to demonstrate financial need as well as the ability to raise sufficient additional funds to complete the project

RELATED LINKS

[Application Package](#)

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**RETIREMENT RESEARCH FOUNDATION
CHICAGO, ILLINOIS
FORM 990 PF
DECEMBER 31, 2010
36-2429540**

**APPLICATION PROCEDURES
ATTACHMENT 4
Part XV Page 10 Line 2d
Pages 1 through 8**

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[ABOUT RRF](#)[GRANTS](#)[APPLY](#)[NEWS](#)[CONTACT US](#)[AA](#)[SEARCH](#)[Apply](#)**Are You Eligible?****Apply: Grants**[Application Package](#)[Case Studies](#)**Apply: Standard OCB**[Application Package](#)[Case Studies](#)**Apply: Flexible OCB Funds****Apply: Accessible Faith**[Application Package](#)[Case Studies](#)[Resources](#)**Evaluation Guidelines****Terms to Know**[Home](#) > [Apply](#) > [Apply: Standard OCB](#) > [Application Package](#)

Application Package

This section contains the following information to assist you in applying for a standard OCB grant:

Proposal Evaluation Criteria**Application Instructions****Proposal Summary Instructions****Proposal Narrative Instructions****Requirements for Proposal Attachments****Cover Sheet**

Proposal Evaluation Criteria

The Foundation will use the following criteria in evaluating proposals for standard OCB grants:

There is evidence that the organization provides credible services, is respected by its community, and intentionally gives a high priority to the elderly.

The organization clearly identifies the management and/or governance area that an OCB grant would address and its effect on the agency's ability to serve the elderly more efficiently and effectively.

The anticipated outcomes of the OCB grant are clearly described and will positively change the organization and its services to the elderly.

The capacity building activities and time frame are logical and realistic.

The organization outlines a reasonable plan to evaluate the outcomes of an OCB grant.

The organization identifies team members from its management, staff, board, or others that are appropriate to the nature of the proposed organizational capacity building effort.

If the organization requests a coach, it identifies potential benefits and demonstrates a willingness to accept such guidance.

The proposed use of OCB funds is reasonable and realistically fits the scope of the project.

The organization has sufficient financial stability to undertake and sustain the capacity building project.

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Application Instructions

Please follow these instructions in preparing a proposal for the OCB Program. Download and complete the cover sheet which is at the end of this information. Submit an original and one copy of the cover sheet, your summary, proposal, and attachments. Mail (no fax or e-mail) the application package to:

Mary O'Donnell
Program Officer
The Retirement Research Foundation
8765 West Higgins Road – Suite 430
Chicago, Illinois 60631
(773) 714-8080

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Proposal Summary Instructions

Provide a one to two page, single-spaced summary in 12-point type.

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Proposal Narrative Instructions

Respond to these questions in the order listed here. Please number each response and do not skip any questions. Please number the pages.

1. Organization's Background

Describe your organization, the community, and senior population it serves. What services does your organization provide for the elderly? What evidence can you provide that your organization has intentionally designed services for the elderly and that older adults are a high priority for your organization?

Describe your board of directors, i.e., number of members and percentage over age 60; affiliations including business, community and other organizations; and total financial contribution from your board for last year.

2. Description of Project Area

Describe the organizational capacity building area that you wish to address. How does this area currently affect your organization and its ability to provide services for older adults?

If your organization has already taken some steps to address this area, describe them.

What efforts have been made to gain staff and board support for working on these areas and to prepare them for changes that may occur as a result?

3. Project Outcomes

What are the goals and/or outcomes that your organization expects as a

result of this organizational capacity building effort? How will the outcomes change your organization?

How will the proposed organizational capacity building project impact the elders served by your organization?

4. Work Plan and Timetable

Describe the organizational capacity building activities that your organization will undertake over the course of the grant. Put the activities in priority order.

Provide a timetable for these activities.

5. Evaluation

How will your organization evaluate whether the outcomes have been achieved?

What quantifiable indicators will be used to provide evidence that the outcomes are achieved?

6. Capacity Building Team/Organizational Commitment

Generally, capacity building involves a working team of staff, board, and volunteers to ensure that changes will be long-term for the organization.

Who will serve on your organization's project team and what responsibility will they assume? Who will lead the team?

What current and new staff will be needed for the project? If your organization plans to hire new staff, include a job description.

How will existing staff who are expected to be involved in capacity building handle these activities in addition to their current ones?

Note: If you do not plan to use a team, please justify your decision.

7. Coaching Services

The services of a coach may be available during the course of your grant. For a description of the role a coach plays, **click here**. If your organization would be interested in a coach, please respond to the following questions:

Why do you think your organization would benefit from the services of a coach?

What are the particular needs that a coach could help address?

If you have concerns about working with a coach, please indicate them.

Note: If you are not interested in coaching services, please indicate so.

8. Budget Justification

OCB funds generally support consulting and training fees, hardware and software related to information management, and staff and other costs directly related to capacity building activities.

Provide a line item budget for each year of funding.

Provide a narrative justification, with an explanation and calculation of each line item on the budget.

If the project costs more than the amount requested, indicate whether and how much your organization will contribute to the project and/or whether it is seeking funds from other sources. If the balance of funds is not received, indicate how the project will accomplish its objectives with fewer funds or how it will scale back but still accomplish something substantive with less funding.

If your organization is seeking other funds for this project, identify them and indicate the anticipated decision dates.

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9. Future Financial Support

If there are capacity building activities that will require funding to sustain them after the grant ends, indicate how your organization will continue to support them.

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Requirements for Proposal Attachments

Please attach the following items to the original and all copies:

Your organization's current operating budget showing income and expenses.

A line item budget that shows how the organizational capacity building grant will be used (include an explanation of costs in the narrative section – see #8 above).

Most current audit (only one copy required) or financial statements if your organization does not have an audit (only one copy required).

Resume(s) of principal staff to be involved in the project.

If consultant(s) for the project has been identified, include resume(s) and/or information on his/her experience.

IRS letter stating 501(c)3 designation.

Board list showing names and affiliations.

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Cover Sheet

Complete the **cover sheet (click here to download)** and attach it to the summary of your proposal.

Note: If the project is a collaboration between or among other organizations, please provide the attachments required for all participating organizations.

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THE RETIREMENT RESEARCH FOUNDATION

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Application Package

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Evaluation Guidelines

Terms to Know

1. Download the application in either **Word format**, **pdf format** or call 773.714.8080 to request a copy by mail.
2. The information in the application may be typed or printed. If printed, please use black or blue ink. If typed or prepared by computer, please use 12-point type.
3. Provide one signed original and one copy of the application form as well as the original and one copy of attachments or supporting materials.
4. For construction projects, include two sets of several "before" photos with the application. These should be glossy photos, not photos on a CD or sent by email. Put your congregation's name and the current date on the back of each photo.
5. Include copies of your congregation's letter of tax-exempt status under section 501(c)(3) of the IRS. NOTE: This is NOT the Illinois Department of Revenue tax-exempt status letter. The Foundation requires evidence of both your congregation's federal tax-exempt status under section 501(c)(3) of the IRS and your congregation's 509(a) status (which became a requirement in 2006). If your congregation is covered under a group ruling, submit both a copy of the IRS group ruling letter and a copy of the page from the official directory showing that your congregation is a member. If you are unclear about this information, discuss it with officials from your judicatory. You may also contact The Retirement Research Foundation if you have questions.
6. Do not submit the application in a ring binder, folder, with pages in plastic sleeves, or any other binding or cover.
7. Deliver or send the application and supporting materials to: The Retirement Research Foundation
ATTN: Accessible Faith Grant Program
8765 W. Higgins Rd.
Suite 430
Chicago, IL 60631
8. The application must be received or postmarked by June 1.

THE RETIREMENT RESEARCH FOUNDATION

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Application Package

Grant Application Procedures

Applications for Foundation Grants are normally considered three times a year. Deadlines for receipt of requests are February 1, May 1, and August 1. Submissions may be postmarked with the deadline date. We acknowledge, by letter, receipt of all proposals within two weeks. If the date falls on a weekend, proposals postmarked the next business day will be accepted. Decisions are usually made four months after each deadline.

1. Cover Sheet

The cover sheet is the first required element of your application. It is now a form to be completed electronically, printed out, and included with your proposal. The cover sheet is intended to ensure that you include all information that may strengthen your case for support, and to help the Foundation systematically gather information it needs to assess your proposal. The form is available for download below.

2. Checklist

This form is for your internal use only and does not need to be submitted to the Foundation. It will ensure that you have included all the required components and necessary forms in your application packet. To download the checklist, [click here](#).

Although the Retirement Research Foundation does not use a standard application form, proposals for funds should include the following elements, preferably in this order:

1. Cover Sheet

To download the cover sheet, [click here](#).

Note: You may need the latest version of Adobe Reader to fill in the form.

To download Adobe Reader 9.3, [click here](#).

2. Executive Summary

A separate two- to three-page executive summary that includes project goals, significance, proposed methods, and cost.

3. Project Significance

The importance and relevance of the proposed project should be explained.

Background information on the issue(s) to be addressed, existing knowledge and gaps, and the anticipated contribution of the project should be detailed.

4. Statement of Objectives

Specific measurable project objectives should be identified clearly, concisely, and completely. The anticipated outcomes and/or accomplishments should be defined.

5. Description of Methods

Proposals to the Foundation fall into four general categories — model projects and service, advocacy for system change, education and training, and research — and each should include the following specific elements:

Models Projects and Service: description of program design, target audience(s), change(s) to be effected, resources and method of delivery, activity schedule, and timeline to meet objectives, and **evaluation criteria and methods to evaluate project outcomes.**

Advocacy for System Change: description of strategies planned, list of partners and stakeholders to be organized, identification of decision-makers targeted, scheduling and coordination of activities, methods for outreach and dissemination, and the **nature of evidence to be collected about impact.**

Education and Training: target group description; educational needs to be met; content, methods, sequence, and location of educational experience; and **evaluation criteria and methods.**

Research: description of experimental design, procedures to accomplish objectives, sequence of the investigation, types of data to be obtained; the means by which it will be analyzed and interpreted; and ethical issues regarding the use of human subjects.

6. Dissemination

Describe plans for disseminating project outcomes and findings. The dissemination plan should be appropriate for both the type and scope of the proposed project. Describe projects to be disseminated and appropriate target audiences for each.

7. Budget, Narrative, and Timetable

A timetable and line item budget for the project should include both grant funds requested from The Retirement Research Foundation and funds available from other sources for the project. Where project personnel are engaged in other projects, the percent of time in and compensation from other projects should be identified, and narrative should accompany the budget. The Foundation normally will not authorize computer purchases to conduct funded projects. The Foundation limits payment of overhead rates to a maximum of

10 percent of project costs.

8. Plans for Continued Support

If the project is expected to operate beyond the period for which grant funds are requested, describe plans for continued support.

9. Personnel

Include resumes or curricula vitae (each not to exceed eight pages) for project director(s) and key personnel.

10. Applicant Organization

Detail qualifications of applicant organization to implement project. Include a brief history, accomplishments, financial reports, and an annual report.

11. Tax-Exempt Status

Provide evidence of tax exempt status under Section 501 (c)(3) of the Internal Revenue Code. Applicants must be designated as a public charity under section 509 (a)(1), 509 (a)(2), or 509 (a)(3) Organizations classified as private foundation are not eligible for support.

IMPORTANT INSTRUCTIONS REGARDING APPLICATION SUBMISSION:

1. Requests for funding must be signed by the chief executive officer of the applicant organization.
2. An original and one copy of the proposal and attachments must be submitted (applicants for research funding should submit an original and two copies). Only one copy of the annual report and financials/audit are needed.

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, ILLINOIS
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DECEMBER 31, 2010
36-2429540**

**GRANTS PAID
ATTACHMENT A
PART XV
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DETAILS TO LINE 3a
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RETIREMENT RESEARCH FOUNDATION CHICAGO, IL
36-2429540 FORM 990PF PART XV LINE 3a FOR THE YEAR ENDED 12-31-2010

Attachment A

Organization Name Address	Tax Status	Project Description	Amount
AgeOptions, Inc 1048 West Lake Street, Suite 300 Oak Park, Illinois 60301-1102	509(a)(1)	One-year grant as matching funds for participation in a National Council on Aging initiative to test economic case management for low-income elderly	\$25,000
Alivio Medical Center 966 West 21st Street Chicago, Illinois 60608	509(a)(1)	Three-year grant to design and implement a chronic disease self-management program for seniors	\$42,500
Alivio Medical Center 966 West 21st Street Chicago, Illinois 60608	509(a)(1)	Three-year grant to design and implement a chronic disease self-management program for seniors	\$42,500
Alliance for Aging Research 2021 K Street, N W, Suite 305 Washington, DC 20006-1003	509(a)(1)	One-year grant for continued program support	\$30,000
Alzheimer's Association Greater Illinois Chapter 8430 W Bryn Mawr, Ste 800 Chicago, Illinois 60631	509(a)(1)	Thirty-month grant to replicate a model of palliative care for people with advanced dementia in two skilled care facilities and Rainbow Hospice in order to improve quality of life based on comfort rather than traditional medical care	\$55,535
Alzheimer's Association Greater Illinois Chapter 8430 W Bryn Mawr, Ste 800 Chicago, Illinois 60631	509(a)(1)	Thirty-month grant to replicate a model of palliative care for people with advanced dementia in two skilled care facilities and Rainbow Hospice in order to improve quality of life based on comfort rather than traditional medical care	\$28,236
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health as selected by the Grantee	\$6,000
Archbishop Thomas J. Murphy High School 12911 39th Avenue S E Everett, Washington 98208	509(a)(1)	Grant honoring Trustee Ementus Murphy to establish a restricted endowed scholarship program whose income will create financial aid awards	\$75,000
Avila Institute of Gerontology, Inc Saint Teresa's Motherhouse 600 Woods Road Germantown, New York 12526-5639	509(a)(1)	One-year grant to complete research evaluating the impact of a computer-assisted program for promoting person-centered care on depression, agitation, and quality of life of frail elders in skilled care nursing homes	\$49,900
Bellarmino Jesuit Retreat House 420 West County Line Road Barrington, Illinois 60010-4043	509(a)(1)	One-year grant for AC unit	\$25,000
Boca Raton Regional Hospital Foundation, Inc 745 Meadows Road Boca Raton, Florida 33486	509(a)(1)	One-year grant to support scholarships, awards, leadership opportunities, and marketing to assure nursing excellence for this community with many retirees	\$25,000
Brown University 164 Angell Street, Box 1929 Providence, Rhode Island 02912	509(a)(1)	Three year grant to study how widespread the adoption of long-term care culture change is nationally, and to assess its quality of life and quality of care outcomes	\$61,306
Brown University 164 Angell Street, Box 1929 Providence, Rhode Island 02912	509(a)(1)	Three year grant to study how widespread the adoption of long-term care culture change is nationally, and to assess its quality of life and quality of care outcomes	\$61,307
Cambodian Association of Illinois 2831 West Lawrence Avenue Chicago, Illinois 60625	509(a)(1)	One-year grant to build capacity in leadership succession planning and strategic planning	\$20,020
Career Resource Center 40 East Old Mill Road, Suite 105 Lake Forest, Illinois 60045	509(a)(1)	Three-year grant to develop and implement a marketing and communications program	\$12,000
Catholic Community Connection 2351 East 22nd Street Cleveland, Ohio 44115	509(a)(1)	Two-year grant for a training program for nurses on whole-person care	\$28,787
Catholic Community Connection 2351 East 22nd Street Cleveland, Ohio 44115	509(a)(1)	Two-year grant for a training program for nurses on whole-person care	\$28,787
Center for Disability and Elder Law 79 West Monroe Street, Suite 919 Chicago, Illinois 60603	509(a)(1)	Three-year grant for website development, improved database for client management, Board development, and increased staffing	\$15,000
Center for Medicare Advocacy, Inc 1025 Connecticut Avenue NW, Suite 709 Washington, DC 20036	509(a)(1)	Two-year grant to improve the capacity of Medicare advocates and beneficiaries to handle complex Medicare appeals processes, resulting in greater access to needed health care	\$37,500
Center for Medicare Advocacy, Inc 1025 Connecticut Avenue NW, Suite 709 Washington, DC 20036	509(a)(1)	Two-year grant to improve the capacity of Medicare advocates and beneficiaries to handle complex Medicare appeals processes, resulting in greater access to needed health care	\$37,500
Center of Concern 1580 N Northwest Highway, Suite 310 Park Ridge, Illinois 60068-1469	509(a)(1)	One-year grant to support mental health counseling services for low- and moderate- income seniors in Chicago's northwestern suburbs	\$30,000
Center of Concern 1580 N Northwest Highway, Suite 310 Park Ridge, Illinois 60068-1469	509(a)(1)	Six-month grant for succession planning to ensure a strong transition of organizational leadership	\$8,000
Chicago Alliance to End Homelessness 205 W Wacker Drive, Suite 1321 Chicago, Illinois 60606	509(a)(1)	One-year grant for an initiative to improve conditions for homeless older persons	\$25,000

RETIREMENT RESEARCH FOUNDATION CHICAGO, IL
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Attachment A

Chicago Lights 126 East Chestnut Street Chicago, Illinois 60611	509(a)(2)	Three-year grant to continue to develop and implement member-driven programs and marketing strategies that will attract and serve more older adults, especially recent retirees in the 60 to 70 age group	\$3,500
Children's Home + Aid Society of Illinois 125 S Wacker Chicago, Illinois 60606	509(a)(1)	One-year grant to support the development of a statewide coalition to advocate for high quality, responsible, and sustainable approaches to providing human service in Illinois	\$7,500
Chinese Mutual Aid Association 1016 West Argyle Street Chicago, Illinois 60640	509(a)(2)	Three-year grant in partial support to establish the Golden Age Club, a senior program providing comprehensive senior services to ethnically diverse immigrant and refugee communities in Uptown neighborhood of Chicago	\$55,000
Church of the Holy Nativity 275 South Richmond Avenue Clarendon Hills, Illinois 60514		Eighteen-month grant to install a LULA (Limited Use/Limited Application) elevator	\$30,000
CJE SeniorLife 3003 West Touhy Avenue Chicago, Illinois 60645	509(a)(1)	Three-year grant to adapt, implement, and evaluate an aging in place service model for Orthodox Jewish elderly	\$50,000
Coalition of Limited English Speaking Elderly 53 W Jackson Boulevard, Suite 1301 Chicago, Illinois 60604-3702	509(a)(1)	Six-month grant to conduct an organizational assessment that will help the organization understand and prioritize its various capacity building opportunities	\$4,000
Community Harvest Food Bank of Northeast Indiana, Inc 999 East Tillman Road Fort Wayne, Indiana 46816	509(a)(1)	One-year grant to expand the SeniorPak Program, which delivers healthful groceries to 1,100 low-income homebound elderly in northeast Indiana	\$25,000
Community Renewal Society 332 S Michigan Ave, Suite 500 Chicago, Illinois 60604	509(a)(1)	One year grant to address racial disparities of Chicago area nursing home care	\$15,000
Council on Foundations 2121 Crystal Drive, Suite 700 Arlington, Virginia 22202	509(a)(1)	For support of programs	\$17,460
Dental Lifeline Network - Illinois P O Box 211 Northbrook, Illinois 60065	509(a)(2)	One-year grant to replace a van that transports portable dental equipment required to deliver oral health to frail elders and persons with disabilities	\$24,000
Donors Forum 208 South LaSalle Street, Suite 1540 Chicago, Illinois 60604	509(a)(1)	For support of programs	\$12,130
Economic Opportunity Institute 1900 North Northlake Way, Suite 237 Seattle, Washington 98103	509(a)(1)	One-year grant to continue project to establish a universal retirement savings account program in several states that will provide a low-cost, portable defined contribution account for every worker and enable all workers to save for retirement	\$37,500
Elderday Center, Inc 8 South Lincoln Batavia, Illinois 60510	509(a)(1)	One-year grant to develop and begin implementing a realistic fundraising plan	\$11,000
ElderPoint Ministries of Greater Lakeland Inc 1111 S Florida Ave Lakeland, Florida 33813	509(a)(1)	One-year grant to implement a county-wide volunteer transportation program	\$10,000
Families USA Foundation 1201 New York Avenue, NW, Suite 1100 Washington, DC 20005	509(a)(1)	Two-year grant to improve capacity of State Health Insurance and Assistance Programs to resolve systemic Medicare problems and to build the capacity of Health Assistance Partnership re Medicare improvements	\$75,073
Families USA Foundation 1201 New York Avenue, NW, Suite 1100 Washington, DC 20005	509(a)(1)	One-year grant to continue to work with the State Health Insurance and Assistance Programs to identify and resolve systemic issues within the Medicare Program as implementation of health reform legislation begins	\$50,000
Family Alliance, Inc 2028 North Seminary Avenue Woodstock, Illinois 60098	509(a)(1)	One-year grant to train clinical staff on PEARLS, an evidence-based intervention for seniors with depression, and to provide the intervention to 50 older adults	\$30,000
Family Caregiver Alliance 180 Montgomery Street, Suite 900 San Francisco, California 94105	509(a)(1)	Eighteen-month grant to facilitate advocacy around state and federal policies designed to assist family caregivers through organization and dissemination of policy and resources	\$41,100
Florida Atlantic University 777 Glades Rd Boca Raton, Florida 33431	509(a)(1)	One-year grant to further develop and evaluate a distance-learning curriculum for nursing home professional staff to help reduce unnecessary transfer of residents to acute care hospitals	\$62,500
Franciscan Sisters of the Sacred Heart Office of Congregational Advancement 9201 West Saint Francis Road Frankfort, Illinois 60423-8335	509(a)(1)	One-year grant to install an accessible entrance at a residence for elderly women religious	\$4,042
GALATA, Inc 916 N Flagler Avenue Homestead, Florida 33030	509(a)(1)	Two-year grant to expand health, education, recreation, and social services to immigrant and minority elderly in Florida's Deep South	\$30,000
Grace Community Christian Reformed Church 10415 South Kedvale Avenue Chicago, Illinois 60453	509(a)(1)	Eighteen-month grant to construct two new accessible single occupancy restrooms and one new accessible family restroom	\$30,000

RETIREMENT RESEARCH FOUNDATION CHICAGO, IL
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Attachment A

GRANDFamilies Program of Chicago 400 West 76th Street, Suite 112 Chicago, Illinois 60620	509(a)(1)	Three-month grant to receive short-term consultation services regarding financial management and cost allocations to strengthen organizational effectiveness	\$1,500
Grantmakers in Aging 7333 Paragon Road, Suite 220 Dayton, Ohio 45459-4157	509(a)(1)	One-year partial support of 2010 Annual Conference 'Seize the Moment Embracing the Winds of Change'	\$10,000
Grantmakers in Health 1100 Connecticut Ave, N W, Suite 1200 Washington, DC 20036	509(a)(1)	For support of programs	\$12,000
Greater Bethesda Missionary Baptist Church 109 East 53rd Street Chicago, Illinois 60615	509(a)(1)	Eighteen-month grant to install a ramp and renovate one restroom for accessibility	\$25,000
Greater Chicago Food Depository 4100 West Ann Lane Place Chicago, Illinois 60632	509(a)(1)	One-year grant to identify and address the hunger needs of older adults in Cook County, Illinois through food distribution	\$125,000
Hamdard Center for Health and Human Services 1542 W Devon Avenue Chicago, Illinois 60660	509(a)(2)	Two-year grant in partial support for a full-time position to manage the adult day program located on the far north side of Chicago	\$20,000
Hanul Family Alliance 5008 North Kedzie Avenue Chicago, Illinois 60625	509(a)(1)	Six-month grant to engage in an assessment process to identify and prioritize areas of growth in organizational capacity building	\$4,000
Hearth, Inc 1640 Washington Street Boston, Massachusetts 02118	509(a)(1)	One-year grant to advance a national dialogue on the impending crisis of homelessness among the elderly	\$29,700
Hebrew SeniorLife (HSL) 1200 Centre Street Roslindale, Massachusetts 02131-1097	509(a)(1)	Three-year grant to examine and understand the processes and procedures of the Hospital Elder Life Program (HELP), a model of care to prevent delirium in hospitalized older adults, in order to develop guidelines for replication in additional sites	\$36,835
Hebrew SeniorLife (HSL) 1200 Centre Street Roslindale, Massachusetts 02131-1097	509(a)(1)	Three-year grant to examine and understand the processes and procedures of the Hospital Elder Life Program (HELP), a model of care to prevent delirium in hospitalized older adults, in order to develop guidelines for replication in additional sites	\$38,884
Honzon Hospice & Palliative Care 833 West Chicago Avenue Chicago, Illinois 60622	509(a)(1)	One-year OCB grant to support the organization's training and management needs during its transition to Electronic Medical Records for improved organizational effectiveness and enhanced capacity for quality improvement through updated technology	\$33,741
Housing Opportunities and Maintenance for the Elderly 1419 West Carroll Avenue, FL 2 Chicago, Illinois 60607-1149	509(a)(1)	Three-year grant to implement H O M E 's first major gift campaign to meet the growing demand for their senior services	\$38,514
Iowa Citizens for Community Improvement 2001 Forest Avenue Des Moines, Iowa 50311	509(a)(1)	One-year grant to continue to empower rural elderly to improve their quality of life and protect their property from the negative impact of factory farms	\$40,000
ITN Bluegrass Inc 1206 N Limestone St Lexington, Kentucky 40505	509(a)(1)	One-year grant to provide safe, dignified and affordable automobile transportation to people age 60 and over and visually-impaired adults	\$30,000
Jewish Family and Children's Services of Sarasota-Manatee 2688 Fruitville Rd Sarasota, Florida 34237	509(a)(1)	Two-year grant to launch two aging- in-place programs for low-income seniors in an underserved area of southern Sarasota County	\$40,253
Jewish Vocational Service and Employment Center 216 West Jackson Blvd, Suite 700 Chicago, Illinois 60606-6921	509(a)(1)	One-year grant to improve job placement for low-income seniors	\$49,580
Korean American Community Services 4300 North California Avenue Chicago, Illinois 60618-1514	509(a)(2)	One-year to expand ROCKS, a program that links low-income Korean American seniors to needed programs and services	\$25,000
Lairty in Support of Retired Priests, Inc 4140 Bayhead Drive, Suite 206 Bonita Springs, Florida 34134	509(a)(1)	Eighteen-month grant to define a model retirement program for U S Diocesan priests	\$15,000
Lairty in Support of Retired Priests, Inc 4140 Bayhead Drive, Suite 206 Bonita Springs, Florida 34134	509(a)(1)	One-year continuation of a project promoting effective retirement policy within the Catholic Church to support the economic security of retired priests	\$40,000
Lake Street Church of Evanston 607 Lake Street Evanston, Illinois 60201	509(a)(1)	Eighteen-month grant to install a platform lift and renovate two restrooms for accessibility	\$29,997
Little Sisters of the Poor, St Joseph's Home for the Elderly 80 West Northwest Highway Palatine, Illinois 60067	509(a)(1)	Eighteen-month grant to implement hosted software to integrate clinical and financial systems	\$50,000
Loyola University Medical Center 2160 South First Avenue Maywood, Illinois 60153	509(a)(1)	One-year grant to develop, implement, and evaluate an online program to educate nurse practitioners that prepares them to direct and deliver high-quality palliative care for nursing home residents and their families	\$38,248
Loyola University Medical Center 2160 South First Avenue Maywood, Illinois 60153	509(a)(1)	One-year grant to continue the development, implementation, and evaluation of an online educational program for nurse practitioners on palliative care for nursing home residents	\$46,073

RETIREMENT RESEARCH FOUNDATION CHICAGO, IL
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Attachment A

Lynic Opera of Chicago 20 North Wacker Drive, Suite 860 Chicago, Illinois 60606	509(a)(1)	In partial support of the Senior Matinee	\$7,500
Mae Volen Senior Center, Inc 1515 West Palmetto Park Road Boca Raton, Florida 33486	509(a)(2)	One-year grant to support programs offering emergency financial assistance, food, and case management services to low-income elderly	\$26,917
Manillac Social Center 212 S Francisco Avenue Chicago, Illinois 60612	509(a)(1)	One-year grant to expand case management services to at-risk seniors on Chicago's west side	\$16,500
Medicare Rights Center 520 Eighth Avenue North Wing, Third Floor New York, New York 10018	509(a)(1)	One-year grant to develop and disseminate training materials on Medicare Part B transition issues	\$15,000
Memorial Medical Center 701 North 1st Street Springfield, Illinois 62781-0001	509(a)(1)	One-year grant to provide delirium education for inpatient nursing staff	\$30,000
Metropolitan Tenants Organization 2150 S Canalport, Suite 2B2 Chicago, Illinois 60608	509(a)(1)	One-year grant to engage seniors in 10 subsidized housing sites to learn how to become tenant advocates	\$30,000
Mount Sinai School of Medicine One Gustave L Levy Place Box 1075 New York, New York 10029-6594	509(a)(1)	Two-year grant for a study on the cost-effectiveness of home-based medical care for homebound older adults	\$83,842
Mount Sinai School of Medicine One Gustave L Levy Place Box 1075 New York, New York 10029-6594	509(a)(1)	Two-year grant for a study on the cost-effectiveness of home-based medical care for homebound older adults	\$83,841
Muslim Women Resource Center 6349 North Western Avenue, 2nd Floor Chicago, Illinois 60659	509(a)(1)	Second-year funding for continuing work to connect older immigrants and refugees to services in Chicago	\$25,080
National Academy of Social Insurance 1776 Massachusetts Avenue, NW - Suite 400 Washington, DC 20036-1904	509(a)(1)	Three-year grant to continue an internship and mentoring program to develop young research leaders, focusing on long-term care and disability issues as key components in aging policies	\$37,837
National Center for State Courts 300 Newport Avenue Williamsburg, Virginia 23185-4147	509(a)(1)	Two-year grant to launch the Center for Elders and the Courts to serve as the primary resource for judiciary and court management on issues related to aging	\$38,276
National Committee for Responsive Philanthropy (NCRP) 1331 H Street, N W, Suite 200 Washington, DC 20005	509(a)(2)	For program support	\$15,000
National Council on Aging, Inc 1901 L Street, N W, 4th Floor Washington, DC 20036	509(a)(2)	Three-year grant to to replicate and evaluate two effective evidence-based programs for older adults	\$40,550
National Council on Aging, Inc 1901 L Street, N W, 4th Floor Washington, DC 20036	509(a)(2)	Three-year grant to to replicate and evaluate two effective evidence-based programs for older adults	\$36,612
National Public Radio 635 Massachusetts Avenue, N W Washington, DC 20001-3753	509(a)(1)	One-year grant to educate national audience about economic security issues affecting American older adults	\$25,000
National Senior Citizens Law Center 1444 Eye Street, NW, 11th Floor Washington, DC 20005	509(a)(1)	One-year grant for advocacy to protect low-income elderly from limitations in eligibility or benefits under Medicaid as states rework budgets to reduce their long-term care expenditures	\$49,239
North Shore Senior Center 161 Northfield Road Northfield, Illinois 60093	509(a)(1)	One-year grant to support the Red Tape Cutters Program, which helps eligible vulnerable older adults access public benefits	\$30,000
North Side Housing and Supportive Services (NSHSS) 3340 N Clark St, Suite 203 Chicago, Illinois 60657	509(a)(1)	One-year grant to provide case management and health services for homeless men age 50 and older	\$10,000
Northwest Side Housing Center 5007 W Addison Street Chicago, Illinois 60641	509(a)(1)	Three-year grant to improve marketing and resource development and purchase new technology	\$27,000
Norwood Seniors Network Association 6009 N Nina Avenue Chicago, Illinois 60631-2411	509(a)(3)	One-year grant to develop a business plan that will guide the growth and development of a new senior center	\$2,500
Old Saint Patrick's Church 711 West Monroe Street Chicago, Illinois 60661	509(a)(1)	Eighteen-month grant to install an elevator	\$30,000
Organization of New City 5411 S Carpenter Avenue Chicago, Illinois 60609	509(a)(1)	Three-year grant to help low-income elderly families cope with safety, utility, and housing issues	\$7,500
Organization of New City 5411 S Carpenter Avenue Chicago, Illinois 60609	509(a)(1)	Three-year grant to help low-income elderly families cope with safety, utility, and housing issues	\$42,500

RETIREMENT RESEARCH FOUNDATION CHICAGO, IL
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Attachment A

Our Lady of Mercy 4432 N Troy Street Chicago, Illinois 60625	509(a)(1)	Eighteen-month grant to install an inclined platform lift	\$11,150
Our Lady of Sorrows Basilica Pansh 3121 W Jackson Boulevard Chicago, Illinois 60612	509(a)(1)	Eighteen-month grant to install a platform lift	\$22,500
Partners for Sacred Places 1700 Sansom Street, Tenth Floor Philadelphia, Pennsylvania 19103	509(a)(1)	Two-year grant for start-up of a Chicago regional program that will build the capacity of local religious congregations to sustain their buildings and services for the elderly and other populations in need	\$37,500
Partners for Sacred Places 1700 Sansom Street, Tenth Floor Philadelphia, Pennsylvania 19103	509(a)(1)	One-year grant to continue building the capacity of the Chicago regional office to assist local religious congregations sustain their buildings and improve their programs	\$30,000
PEER Services, Inc 906 Davis Street Evanston, Illinois 60201	509(a)(1)	Second-year support for a substance abuse program for older adults	\$38,500
Pension Rights Center 1350 Connecticut Avenue, NW, Suite 206 Washington, DC 20036-1739	509(a)(1)	One-year grant to support pension counseling and information projects in 29 states	\$50,000
Pioneer Network in Culture Change 35 E Wacker Drive, Suite 850 Chicago, Illinois 60601	509(a)(2)	One-year grant to develop, demonstrate, and disseminate a low-cost replicable process for implementing incremental environmental changes to help nursing homes move toward person-centered care	\$49,456
Planning for Elders in the Central City 965 Mission Street, Suite 550 San Francisco, California 94103	509(a)(1)	Three-year grant for education and advocacy to promote safer hospital-to-home transitions for older adults	\$35,052
Planning for Elders in the Central City 965 Mission Street, Suite 550 San Francisco, California 94103	509(a)(1)	Three-year grant for education and advocacy to promote safer hospital-to-home transitions for older adults	\$35,052
Quinn Chapel A M E Church 2401 South Wabash Avenue Chicago, Illinois 60616	509(a)(1)	Eighteen-month grant to install an elevator	\$30,000
RefugeeONE 4753 North Broadway Suite 401 Chicago, Illinois 60640	509(a)(1)	Three-year grant to develop services for two new immigrant populations of senior refugees and add a mentoring component to the program	\$50,000
Research Triangle Institute 3040 Cornwallis Road PO Box 12194 Research Triangle Park, North Carolina 27709-2194	509(a)(3)	Two-year grant for research using new data from the National Assistant Survey to examine 1) the link between workforce variables and patient care outcomes, and 2) characteristics of the immigrant workforce in institutional long-term care (LTC) settings	\$44,315
ROTARY/One Foundation, Inc 65 West Jackson Boulevard, Suite 911 Chicago, Illinois 60604	509(a)(2)	Six month grant for job preparedness training and summer internships for high school students in nonprofit agencies serving the elderly	\$13,600
Ruth Rales Jewish Family Service of South Palm Beach County, Inc 21300 Ruth & Baron Coleman Boulevard Boca Raton, Florida 33428-1757	509(a)(1)	One-year grant to fund transportation services for seniors through the development of a voucher and enhanced volunteer driver program	\$9,407
Saint Adrian Church 7000 S Fairfield Avenue Chicago, Illinois 60629	509(a)(1)	Eighteen-month grant to install an exterior ramp and renovate one restroom for accessibility	\$25,948
Saint Gertrude Pansh 9613 Schiller Boulevard Franklin Park, Illinois 60131	509(a)(1)	Eighteen-month grant to build a ramp for the chapel and for reconstruction of stairs for accessibility	\$20,385
Saint John United Church of Christ 308 North Evergreen Avenue Arlington Heights, Illinois 60004	509(a)(1)	Eighteen-month grant to renovate a single occupancy restroom for accessibility	\$30,000
Saint Leonard's Home, Inc 601 N Montgomery Street Hollidaysburg, Pennsylvania 16648	509(a)(1)	Six-month grant to improve heating and lighting within this personal-care residence	\$40,000
Saint Louis University 221 N Grand Boulevard St Louis, Missouri 63103	509(a)(1)	One-year grant to develop and deliver a training curriculum on mobility counseling for providers of aging services	\$26,938
Salvation Army 5040 North Pulaski Chicago, Illinois 60630	509(a)(1)	One-year grant to replicate PEARLS, a model program designed to reduce depressive symptoms among older adults	\$27,000
Sargent Shriver National Center on Poverty Law, Inc 50 E Washington Street, Suite 500 Chicago, Illinois 60602	509(a)(1)	Three-month grant to develop a strategic plan for implementing health care reform law in Illinois	\$5,000
Seniors Assistance Center 7774 West Irving Park Road Norridge, Illinois 60706	509(a)(1)	Six-month grant to engage in an assessment process to identify and prioritize potential areas of growth in organizational capacity building	\$3,000
Services for Adults Staying in Their Homes (SASI) 1123 Emerson, #200 Evanston, Illinois 60201	509(a)(1)	Two-year grant to build organizational capacity in the areas of marketing and resource development	\$29,902
Skokie Valley Agudath Jacob Synagogue 8825 N East Prairie Road Skokie, Illinois 60076	509(a)(1)	Eighteen-month grant to renovate two restrooms for accessibility	\$17,250

RETIREMENT RESEARCH FOUNDATION CHICAGO, IL
36-2429540 FORM 990PF PART XV LINE 3a FOR THE YEAR ENDED 12-31-2010

Attachment A

The Cradle 2049 Ridge Avenue Evanston, Illinois 60201	509(a)(1)	One-year grant to build capacity to serve older adults who either placed a child for adoption, adopted children, or were adopted themselves	\$30,000
The Foundation Center 79 Fifth Avenue, 4th Fl New York, New York 10003	509(a)(1)	For support of programs	\$12,000
The Generations Project One North Capitol Avenue, Suite 1025 Indianapolis, Indiana 46204	509(a)(1)	One-year grant to continue advocacy to fix the Medicaid home and community-based services system in Indiana	\$37,500
The Judge David L. Bazelon Center for Mental Health Law 1101 Fifteenth Street N W Suite 1212 Washington, DC 20005-5002	509(a)(1)	One-year grant in support of the Williams vs Quinn Case, litigation challenging Illinois' segregation of individuals with mental illnesses who reside in intermediate nursing care facilities known as IMDs	\$5,000
Touchette Regional Hospitals, Inc 5900 Bond Avenue Centerville, Illinois 62207	509(a)(1)	One-year grant to support a Patient Navigation program that connects low-income seniors to resources and services that will improve quality of life and independent living	\$35,000
TRC Senior Village I NFP c/o The Renaissance Collaborative, Inc 3757 S Wabash Avenue Chicago, Illinois 60653	509(a)(1)	One year grant to engage in a collaborative planning process with senior service providers for a new affordable housing facility	\$12,000
Treasure Coast Hospice 1201 S E Indian Street Stuart, Florida 34997	509(a)(1)	Two-year grant to establish, in collaboration with community hospitals, a regional Palliative Care program for seniors with chronic illnesses	\$61,500
Trinity United Lutheran Church 651 Grand Avenue Waukegan, Illinois 60085	509(a)(1)	Eighteen-month grant to convert a closet into an accessible unisex single occupancy restroom	\$12,500
University of California, Los Angeles Los Angeles, California 90095-1406	509(a)(1)	One-year grant to study the quality of care for vulnerable community-dwelling elderly who transition directly from receiving care in the hospital emergency department to their homes	\$50,000
University of California, Los Angeles Los Angeles, California 90095-1406	509(a)(1)	One-year grant to study the quality of care for vulnerable community-dwelling elderly who transition directly from receiving care in the hospital emergency department to their homes	\$50,000
University of Illinois at Chicago Office of the Chancellor 2833 University Hall 601 South Morgan Chicago, Illinois 60607-7128	509(a)(1)	Two-year grant to study factors associated with successful implementation and maintenance of Chronic Disease Self Management (CDSMP) program replications in Illinois, and how well participants continue the learned health management behaviors over several months	\$49,693
University of Miami Office of the President PO Box 248006 Coral Gables, Florida 33124-4600	509(a)(1)	Two-year grant to implement, evaluate, and disseminate a multi-component psychosocial intervention designed to reduce adverse health effects, enhance work performance, and improve quality of life of working caregivers of older adults	\$55,530
University of Minnesota Office of Sponsored Projects Administration 450 McNamara Alumni Center 200 Oak Street S E Minneapolis, Minnesota 55455-2070	509(a)(1)	Eighteen-month grant to classify different models of existing small house nursing homes to determine the most successful way to operate these homes and learn to configure residential long-term care facilities to provide the best care for its residents	\$50,206
Washington University in St Louis Campus Box 1196 One Brookings Drive St Louis, Missouri 63110-4899	509(a)(1)	Two-year grant to determine the impact of home modifications on physical activity and community participation of elderly with chronic diseases and mobility impairments	\$32,499
Washington University in St Louis Campus Box 1196 One Brookings Drive St Louis, Missouri 63110-4899	509(a)(1)	Two-year grant to determine the impact of home modifications on physical activity and community participation of elderly with chronic diseases and mobility impairments	\$32,499
Wayne State University Office of Research and Sponsored Programs 656 West Kirby Detroit, Michigan 48202	509(a)(1)	Two-year grant for a randomized controlled trial of a project to bring continuing education on mental health and aging to occupational therapists	\$72,363
Wheat Ridge Ministries One Pierce Place, Suite 205E Itasca, Illinois 60143	509(a)(1)	Six-month grant for a presentation to faith and philanthropic leaders on how to work together to serve communities	\$3,000
Women's Institute for a Secure Retirement 1146 19th Street NW, Suite 700 Washington, DC 20036	509(a)(1)	One-year grant to educate Latinas on increasing and strengthening their personal financial security	\$32,785
		Total Form 990PF Part XV Line 3a	\$4,200,732

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, ILLINOIS
FORM 990 PF
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**MATCHING GIFTS
ATTACHMENT B
Part XV Page 11
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**RETIREMENT RESEARCH FOUNDATION
CHICAGO, IL**

Attachment B

**36-2429540 FORM 990PF PART XV LINE 3b FOR THE YEAR ENDED 12-31-2010
MATCHING GIFTS PROGRAM**

Name	Address	City, State, Zip			Tax Status	Amount
AARP Foundation	Haiti Relief Fund, P O Box 93207	Long Beach	CA	90809	509(a)(1)	\$ 750
Aging Care Connections	111 W. Hams Ave	LaGrange	IL	60525	509(a)(1)	\$ 375
AIDS Foundation of Chicago	200 W. Jackson Blvd , Ste. 2200	Chicago	IL	60606	509(a)(1)	\$ 375
Alumni Assoc Bronx High School-Science	Jerome Ave Station, P O Box 145	Bronx	NY	10468-0145	509(a)(1)	\$ 210
American Cancer Society, Inc	250 Williams Street	Atlanta	GA	30303	509(a)(1)	\$ 150
American Occupational Therapy Found	4720 Montgomery Lane Ste 202	Bethesda	MD	20814	509(a)(3)	\$ 300
American Occupational Therapy Found	4720 Montgomery Lane Ste 202	Bethesda	MD	20814	509(a)(3)	\$ 300
American Occupational Therapy Found.	4720 Montgomery Lane Ste 202	Bethesda	MD	20814	509(a)(3)	\$ 3,000
American Red Cross	2025 E Street NW	Washington	DC	20006	509(a)(1)	\$ 750
Art Institute of Chicago	111 S Michigan Avenue	Chicago	IL	60603	509(a)(1)	\$ 600
Avila Institute of Gerontology, Inc	600 Woods Road	Germantown	NY	12526-5639	509(a)(1)	\$ 750
Benedictine Sisters of Chicago	St Scholastica Monastery, 7430 N Ridge Blvd	Chicago	IL	60645-1913	509(a)(1)	\$ 900
Benedictine Sisters of Chicago	St Scholastica Monastery, 7430 N Ridge Blvd	Chicago	IL	60645-1913	509(a)(1)	\$ 300
Bishop Abramowicz Seminary	750 N Wabash Ave.	Chicago	IL	60611	509(a)(1)	\$ 150
Breast Cancer Network of Strength	135 S LaSalle, #2000	Chicago	IL	60603	509(a)(1)	\$ 375
Broward Performing Arts Foundation, Inc	201 S W 5th Avenue	Fort Lauderdale	FL	33312	509(a)(1)	\$ 563
Catholic Bishop of Chicago	835 N Rush Street	Chicago	IL	60611	509(a)(1)	\$ 4,500
Catholic Charities-Archdiocese of Chicago	721 N LaSalle St.-4th Floor	Chicago	IL	60654-3503	509(a)(1)	\$ 1,500
Catholic Charities-Archdiocese of Chicago	721 N LaSalle St -4th Floor	Chicago	IL	60654-3503	509(a)(1)	\$ 3,000
Catholic Church Extension Society of USA	150 S Wacker Drive-20th Floor	Chicago	IL	60606	509(a)(1)	\$ 3,900
Central DuPage Health Foundation	27W353 Jewell Road	Winfield	IL	60190	509(a)(1)	\$ 375
Chicago Public Library Foundation	20 N Michigan Ave -Suite 204	Chicago	IL	60602	509(a)(1)	\$ 1,500
Chicago Symphony Orchestra	220 S Michigan Ave	Chicago	IL	60604	509(a)(1)	\$ 900
Chinese American Service League, Inc.	2141 S Tan Court	Chicago	IL	60616	509(a)(1)	\$ 150
City at Peace - National	The Possibility Project, 104 W 27th St , 12th Fl	New York	NY	10001	509(a)(1)	\$ 9,000
Community Players of Streator Inc	P O Box 883	Streator	IL	61364	509(a)(2)	\$ 150
Community Players of Streator Inc	P O Box 883	Streator	IL	61364	509(a)(2)	\$ -
Crossroads Fund	3411 W Diversey, Ste 20	Chicago	IL	60647	509(a)(1)	\$ 375
Deborah's Place	2822 W Jackson Blvd	Chicago	IL	60612	509(a)(1)	\$ 375
Deborah's Place	2822 W Jackson Blvd	Chicago	IL	60612	509(a)(1)	\$ 900
Doctors Without Borders USA Inc	333 Seventh Ave , 2nd Floor	New York	NY	10001	509(a)(1)	\$ 450
Doctors Without Borders USA Inc	333 Seventh Ave , 2nd Floor	New York	NY	10001	509(a)(1)	\$ 750
Doctors Without Borders USA Inc	333 Seventh Ave., 2nd Floor	New York	NY	10001	509(a)(1)	\$ 1,500
Education Foundation of Barneveld, Inc	P O Box 66	Barneveld	WI	53507	509(a)(1)	\$ 300
Feed My Starving Children	401 93rd Avenue NW	Coon Rapids	MN	55433	509(a)(1)	\$ 900
Fidelity Investments Chantable Gift Fund	Rbt N Butler Chantable Fund, 3211 Homewood Rd	Davidsonville	MD	21035	509(a)(1)	\$ 375
Generations Incorporated	25 Kingston St , 4th Floor	Boston	MA	02111	509(a)(1)	\$ 3,000
Genatric Mental Health Foundation	7910 Woodmont Ave , Ste 1050	Bethesda	MD	20814	509(a)(1)	\$ 375
God's Awesome Army Ministries	4810 El Salvador	Houston	TX	77066	509(a)(1)	\$ 7,500
Grand Traverse Regional Community Fdn	250 E Front Street, Ste 310	Traverse City	MI	49684	509(a)(1)	\$ 150

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, IL**

Attachment B

**36-2429540 FORM 990PF PART XV LINE 3b FOR THE YEAR ENDED 12-31-2010
MATCHING GIFTS PROGRAM**

Guild for the Blind	180 N Michigan Ave., Ste. 1700	Chicago	IL	60601-7463	509(a)(1)	\$	375
Herfer Project International	1 World Avenue	Little Rock	AR	72202	509(a)(1)	\$	180
Holy Cross College	54515 SR 933 N	Notre Dame	IN	46656	509(a)(1)	\$	3,900
Holy Family Institute	8235 Ohio River Boulevard	Pittsburgh	PA	15202	509(a)(1)	\$	300
Holy Trinity Lutheran Church	1215 W Addison Street	Chicago	IL	60613	509(a)(1)	\$	375
Housing Opportunities & Maintenance for the E	1419 W Carroll Avenue, FL 2	Chicago	IL	60607-1149	509(a)(1)	\$	1,500
Housing Opportunities & Maintenance for the E	1419 W Carroll Avenue, FL 2	Chicago	IL	60607-1149	509(a)(1)	\$	1,050
Housing Opportunities & Maintenance for the E	1419 W Carroll Avenue, FL 2	Chicago	IL	60607-1149	509(a)(1)	\$	999
Jewish Federation of South Palm Beach Cty	9901 Donna Klein Blvd	Boca Raton	FL	33428	509(a)(1)	\$	900
Kolbe House	2434 S California Ave	Chicago	IL	60608	509(a)(1)	\$	3,900
Lincoln Park Village, Inc	2502 N Clark Street	Chicago	IL	60614	509(a)(2)	\$	3,000
Little Brothers-Friends of the Elderly	355 N Ashland Avenue	Chicago	IL	60607	509(a)(1)	\$	150
Little Brothers-Friends of the Elderly	355 N Ashland Avenue	Chicago	IL	60607	509(a)(1)	\$	1,500
Little Brothers-Friends of the Elderly	355 N Ashland Avenue	Chicago	IL	60607	509(a)(1)	\$	900
MayaWorks, Inc	1732 W Hubbard St , Suite 1A	Chicago	IL	60622	509(a)(2)	\$	150
Midwest Palliative & Hospice CareCenter	2050 Claire Court	Glenview	IL	60025	509(a)(1)	\$	150
Mount Mary College	29 N. Menomonee River Pkw	Milwaukee	WI	53222	509(a)(1)	\$	1,500
National Able Network, Inc	180 N Wabash, Ste 600	Chicago	IL	60601	509(a)(1)	\$	300
Northern Suburban Special Recreation Fdn	3105 MacArthur Blvd	Northbrook	IL	60062	509(a)(1)	\$	150
Northwestern University	Matching Gifts, 2020 Ridge Ave , Ste 230	Evanston	IL	60208-4305	509(a)(1)	\$	150
Notre Dame High School for Girls	3115 N Mason Avenue	Chicago	IL	60634	509(a)(1)	\$	3,000
Olympia Waldorf School	P O Box 130	East Olympia	WA	98540	509(a)(1)	\$	3,900
Our Saviour's Lutheran Church	1234 N Arlington Hts. Rd	Arlington Hts	IL	60004	509(a)(1)	\$	2,475
Our Saviour's Lutheran Church	1234 N Arlington Hts Rd	Arlington Hts	IL	60004	509(a)(1)	\$	2,700
Oxfam America	226 Causway St , 5th Floor	Boston	MA	02114	509(a)(1)	\$	150
People's Resource Center	201 S Naperville Rd	Wheaton	IL	60187	509(a)(1)	\$	1,500
People's Resource Center	201 S Naperville Rd	Wheaton	IL	60187	509(a)(1)	\$	1,500
Pillars Community Services	333 N. LaGrange Rd , Suite One	LaGrange Park	IL	60526	509(a)(2)	\$	3,000
Play for P I N K , Inc	175 E 74th St , Suite 17B	New York	NY	10021-3213	509(a)(1)	\$	150
Ravinia Festival Association	418 Sherdan Road	Highland Park	IL	60035	509(a)(1)	\$	4,500
Saint Raymond Church	301 S I-Oka	Mt Prospect	IL	60056	509(a)(1)	\$	12,000
Saint Viator High School	1213 E Oakton Street	Arlington Heights	IL	60004	509(a)(1)	\$	3,900
Seniors Action Service, Inc (SASI)	1123 Emerson Street, Ste 200	Evanston	IL	60201	509(a)(1)	\$	150
Seniors Action Service, Inc (SASI)	1123 Emerson Street, Ste 200	Evanston	IL	60201	509(a)(1)	\$	1,500
Seniors Action Service, Inc (SASI)	1123 Emerson Street, Ste 200	Evanston	IL	60201	509(a)(1)	\$	1,500
Seniors Action Service, Inc (SASI)	1123 Emerson Street, Ste 200	Evanston	IL	60201	509(a)(1)	\$	1,500
Services for Adults Staying in Their Homes	(SASI), 1123 Emerson St , Ste 200	Evanston	IL	60201	509(a)(1)	\$	1,500
Sharing Connections, Inc	5111 Chase Avenue	Downers Grove	IL	60515	509(a)(2)	\$	750
Shore Community Services, Inc	4232 Dempster Street	Skokie	IL	60076	509(a)(1)	\$	150
Sickle Cell Fdn-Palm Beach Cty, Inc	1600 N Australian Ave	West Palm Beach	FL	33407-5621	509(a)(1)	\$	150
Sisters of the Holy Family of Nazareth	310 N River Road	Des Plaines	IL	60016	509(a)(1)	\$	375
Sisters of the Holy Family of Nazareth	310 N River Road	Des Plaines	IL	60016	509(a)(1)	\$	7,500
St Gabriel Catholic Church	731 N Ocean Blvd	Pompano Beach	FL	33062	509(a)(1)	\$	900
St John Brebeuf Catholic Church	8307 N Harlem Avenue	Niles	IL	60714	509(a)(1)	\$	30,000
St Jude Children's Research Hospital	501 St Jude Place	Memphis	TN	38105	509(a)(1)	\$	180

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, IL**

Attachment B

**36-2429540 FORM 990PF PART XV LINE 3b FOR THE YEAR ENDED 12-31-2010
MATCHING GIFTS PROGRAM**

St Jude Children's Research Hospital	501 St Jude Place	Memphis	TN	38105	509(a)(1)	\$	150
St Luke's Episcopal Church	939 Hinman Avenue	Evanston	IL	60202	509(a)(1)	\$	4,500
St Luke's Episcopal Church	939 Hinman Avenue	Evanston	IL	60202	509(a)(1)	\$	3,000
St Luke's Episcopal Church	939 Hinman Avenue	Evanston	IL	60202	509(a)(1)	\$	3,000
St Luke's Episcopal Church	939 Hinman Avenue	Evanston	IL	60202	509(a)(1)	\$	3,000
St Luke's Episcopal Church	939 Hinman Avenue	Evanston	IL	60202	509(a)(1)	\$	3,000
St Luke's Episcopal Church	939 Hinman Avenue	Evanston	IL	60202	509(a)(1)	\$	3,000
St Luke's Episcopal Church	939 Hinman Avenue	Evanston	IL	60202	509(a)(1)	\$	1,500
St Luke's Episcopal Church	939 Hinman Avenue	Evanston	IL	60202	509(a)(1)	\$	3,000
St Luke's Episcopal Church	939 Hinman Avenue	Evanston	IL	60202	509(a)(1)	\$	3,000
St Michael in Old Town	1633 N Cleveland Ave	Chicago	IL	60614	509(a)(1)	\$	600
St Scholastica Academy	7416 N Ridge Blvd	Chicago	IL	60645	509(a)(1)	\$	900
St Thomas of Canterbury School	4827 N Kenmore Avenue	Chicago	IL	60640	509(a)(1)	\$	1,500
Susan G Komen Breast Cancer Foundation	8765 W Higgins Ave , Ste 401	Chicago	IL	60631	509(a)(2)	\$	150
The Cara Program	237 S Desplaines	Chicago	IL	60661	509(a)(1)	\$	3,600
The Cedars of Mann	P O Box 947	Ross	CA	94957	509(a)(1)	\$	375
The Humane Society of the United States	2100 L Street NW	Washington	DC	20037	509(a)(1)	\$	900
The Rev John P Smyth Standing Tall Fdn	1100 N River Road	Des Plaines	IL	60016	509(a)(1)	\$	300
The Rev John P Smyth Standing Tall Fdn	1100 N River Road	Des Plaines	IL	60016	509(a)(1)	\$	300
University Club Foundation	76 E Monroe Street	Chicago	IL	60603	509(a)(1)	\$	150
University of Chicago	5801 S Ellis Ave	Chicago	IL	60637	509(a)(1)	\$	600
University of Illinois Foundation	1305 West Green Street	Urbana	IL	61801-2962	509(a)(1)	\$	300
University of Illinois Foundation	1305 West Green Street	Urbana	IL	61801-2962	509(a)(1)	\$	150
University of Notre Dame	731 Grace Hall	Notre Dame	IN	46556	509(a)(1)	\$	9,000
Waterwell Productions, Inc	515 W. 46th St , 2nd Floor	New York	NY	10036	509(a)(1)	\$	600
Wellness Place	1619 W Colonial Pkwy	Palatine	IL	60067	509(a)(1)	\$	150
White Crane Wellness Center	1657 W Foster Avenue	Chicago	IL	60640	509(a)(1)	\$	375
Wildflowers Institute	1144 Pacific Avenue	San Francisco	CA	94133-4212	509(a)(1)	\$	1,500
Working in the Schools	27 E Monroe, Suite 1400	Chicago	IL	60603	509(a)(1)	\$	3,900
	Total Form 990PF Part XV Line 3a Matching Gifts					\$	210,632

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, ILLINOIS
FORM 990 PF
DECEMBER 31, 2010
36-2429540**

**GRANTS APPROVED FOR FUTURE PAYMENT
ATTACHMENT C
Part XV Page 11
Details to Line 3b
Pages 1 through 3**

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, IL**

Attachment C

36-2429540 FORM 990PF PART XV LINE 3b FOR THE YEAR ENDED 12-31-2010

Recipient	Tax Status	Purpose of Grant	Amount
AgeOptions, Inc 1048 West Lake Street, Suite 300 Oak Park, Illinois 60301-1102	509(a)(1)	One-year grant to enable the Make Medicare Work Coalition to continue building the capacity of Illinois service providers to assist consumers with enrollment in Medicare and state programs, and to advocate for needed system changes	\$58,481
AgeOptions, Inc 1048 West Lake Street, Suite 300 Oak Park, Illinois 60301-1102	509(a)(1)	One-year grant to enable the Make Medicare Work Coalition to continue building the capacity of Illinois service providers to assist consumers with enrollment in Medicare and state programs, and to advocate for needed system changes	\$58,480
Alivio Medical Center 966 West 21st Street Chicago, Illinois 60608	509(a)(1)	Three-year grant to design and implement a chronic disease self-management program for seniors.	\$47,500
Alivio Medical Center 966 West 21st Street Chicago, Illinois 60608	509(a)(1)	Three-year grant to design and implement a chronic disease self-management program for seniors	\$47,500
Alzheimer's Association Greater Illinois Chapter 8430 W Bryn Mawr, Ste 800 Chicago, Illinois 60631	509(a)(1)	Thirty-month grant to replicate a model of palliative care for people with advanced dementia in two skilled care facilities and Rainbow Hospice in order to improve quality of life based on comfort rather than traditional medical care	\$28,237
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health	\$6,000
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health as selected by the Grantee	\$6,000
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health as selected by the Grantee	\$6,000
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health as selected by the Grantee	\$6,000
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health as selected by the Grantee	\$6,000
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health as selected by the Grantee	\$6,000
American Public Health Association 800 I Street, N W Washington, DC 20001-3710	509(a)(2)	Ten-year grant (\$6,000 each year) for awards to six students annually for research excellence in gerontological health as selected by the Grantee	\$6,000
Aurora Family Service, Inc 3200 West Highland Boulevard Milwaukee, Wisconsin 53208	509(a)(2)	One-year grant to refine and incorporate an aging-focused curriculum at The Family Therapy Training Institute, a post-graduate professional school	\$49,524
Chicago Alliance to End Homelessness 205 W Wacker Drive, Suite 1321 Chicago, Illinois 60606	509(a)(1)	One-year grant for an initiative to improve conditions for homeless older persons	\$25,000
Chinese American Service League, Inc 2141 South Tan Court Chicago, Illinois 60616	509(a)(1)	One year grant to implement a Mandarin-language version of Healthy IDEAS to screen immigrant Chinese seniors for depression and help them learn to manage their symptoms using behavioral activation	\$65,000
CJE SeniorLife 3003 West Touhy Avenue Chicago, Illinois 60645	509(a)(1)	Three-year grant to adapt, implement, and evaluate an aging in place service model for Orthodox Jewish elderly	\$50,000
DuPage Senior Citizens Council 1919 South Highland Avenue Building A, Suite 210 Lombard, Illinois 60148	509(a)(1)	One-year grant to build capacity for resource development	\$32,840

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, IL**

Attachment C

36-2429540 FORM 990PF PART XV LINE 3b FOR THE YEAR ENDED 12-31-2010

Recipient	Tax Status	Purpose of Grant	Amount
Families USA Foundation 1201 New York Avenue, NW, Suite 1100 Washington, DC 20005	509(a)(1)	One-year grant to continue to work with the State Health Insurance and Assistance Programs to identify and resolve systemic issues within the Medicare Program as implementation of health reform legislation begins	\$50,000
Florida Atlantic University 777 Glades Rd Boca Raton, Florida 33431	509(a)(1)	One-year grant to further develop and evaluate a distance-learning curriculum for nursing home professional staff to help reduce unnecessary transfer of residents to acute care hospitals	\$62,500
Hebrew SeniorLife (HSL) 1200 Centre Street Roslindale, Massachusetts 02131-1097	509(a)(1)	Three-year grant to examine and understand the processes and procedures of the Hospital Elder Life Program (HELP), a model of care to prevent delirium in hospitalized older adults, in order to develop guidelines for replication in additional sites	\$36,834
Hospice Care Plus, Inc. 208 Kidd Drive Berea, Kentucky 40403	509(a)(1)	Requests \$30,000 for the first six months of support for a hospice nurse practitioner making home visits to older patients in rural Kentucky	\$30,000
Illinois Pioneer Coalition 639 York Street Quincy, Illinois 62301	509(a)(2)	Requests \$50,300 over one year to educate long-term care providers on topics for advancing person-centered care	\$50,300
Independent Living Community Services, Inc 5201 Blue Lagoon Drive, #270 Miami, Florida 33126	509(a)(2)	Requests \$25,000 over one year to continue and expand the Matter of Balance falls prevention program for 300 Miami-Dade English and Spanish-speaking seniors.	\$25,000
Korean American Community Services 4300 North California Avenue Chicago, Illinois 60618-1514	509(a)(2)	One-year to expand ROCKS, a program that links low-income Korean American seniors to needed programs and services	\$20,000
Loyola University Medical Center 2160 South First Avenue Maywood, Illinois 60153	509(a)(1)	One-year grant to continue the development, implementation, and evaluation of an online educational program for nurse practitioners on palliative care for nursing home residents	\$46,073
Maplewood Housing for the Visually Impaired dba Friedman Place 5527 North Maplewood Avenue Chicago, Illinois 60625	509(a)(2)	Requests \$27,500 over one year to develop and implement enhanced program evaluation strategies	\$27,500
Memorial Medical Center 701 North 1st Street Springfield, Illinois 62781-0001	509(a)(1)	One-year grant to provide delirium education for inpatient nursing staff	\$15,079
Moultrie County Counseling Center 12 West Harrison Street P O Box 163 Sullivan, Illinois 61951	509(a)(1)	One-year grant to launch IMPACT, an evidence-based integrated behavioral health care model for treating depression in a rural primary care setting	\$55,658
Mount Sinai School of Medicine One Gustave L Levy Place Box 1075 New York, New York 10029-6594	509(a)(1)	Two-year grant for a study on the cost-effectiveness of home-based medical care for homebound older adults	\$78,658
Mount Sinai School of Medicine One Gustave L Levy Place Box 1075 New York, New York 10029-6594	509(a)(1)	Two-year grant for a study on the cost-effectiveness of home-based medical care for homebound older adults	\$78,658
National Council on Aging, Inc 1901 L Street, N W , 4th Floor Washington, DC 20036	509(a)(2)	Three-year grant to to replicate and evaluate two effective evidence-based programs for older adults	\$40,549
National Public Radio 635 Massachusetts Avenue, N W Washington, DC 20001-3753	509(a)(1)	One-year grant to educate national audience about economic security issues affecting American older adults	\$25,000
Partners for Sacred Places 1700 Sansom Street, Tenth Floor Philadelphia, Pennsylvania 19103	509(a)(1)	One-year grant to continue building the capacity of the Chicago regional office to assist local religious congregations sustain their buildings and improve their programs	\$30,000
Planning for Elders in the Central City 965 Mission Street, Suite 550 San Francisco, California 94103	509(a)(1)	Three-year grant for education and advocacy to promote safer hospital-to-home transitions for older adults	\$35,052

**RETIREMENT RESEARCH FOUNDATION
CHICAGO, IL**

Attachment C

36-2429540 FORM 990PF PART XV LINE 3b FOR THE YEAR ENDED 12-31-2010

Recipient	Tax Status	Purpose of Grant	Amount
RefugeeONE 4753 North Broadway Suite 401 Chicago, Illinois 60640	509(a)(1)	Three-year grant to develop services for two new immigrant populations of senior refugees and add a mentoring component to the program	\$50,000
Saint Louis University 221 N Grand Boulevard St Louis, Missouri 63103	509(a)(1)	One-year grant to develop and deliver a training curriculum on mobility counseling for providers of aging services	\$26,939
Salvation Army 5040 North Pulaski Chicago, Illinois 60630	509(a)(1)	One-year grant to replicate PEARLS, a model program designed to reduce depressive symptoms among older adults	\$27,000
Senior Services Associates, Inc 101 South Grove Avenue Elgin, Illinois 60120	509(a)(1)	Requests \$22,473 over one year to expand a senior companion and volunteer program serving isolated seniors	\$22,473
Shawnee Health Service & Development Corp 109 California St Carterville, Illinois 62918	509(a)(1)	Requests \$48,018 over one year to test a social work transitional care model in a rural area, designed to enable frail elders to return home successfully after a hospital stay	\$48,018
Thresholds 4101 N Ravenswood Avenue Chicago, Illinois 60613	509(a)(1)	Requests \$53,445 over 14 months to continue research on an integrated mental and physical health care intervention for older adults with severe and persistent mental illness	\$53,445
University of California, Los Angeles Los Angeles, California 90095-1406	509(a)(1)	One-year grant to study the quality of care for vulnerable community-dwelling elderly who transition directly from receiving care in the hospital emergency department to their homes	\$63,775
University of Minnesota Office of Sponsored Projects Administration 450 McNamara Alumni Center 200 Oak Street S E Minneapolis, Minnesota 55455-2070	509(a)(1)	Eighteen-month grant to classify different models of existing small house nursing homes to determine the most successful way to operate these homes and learn to configure residential long-term care facilities to provide the best care for its residents	\$49,793
University of Minnesota Office of Sponsored Projects Administration 450 McNamara Alumni Center 200 Oak Street S E Minneapolis, Minnesota 55455-2070	509(a)(1)	Eighteen-month grant to classify different models of existing small house nursing homes to determine the most successful way to operate these homes and learn to configure residential long-term care facilities to provide the best care for its residents	\$50,000
Wayne State University Office of Research and Sponsored Programs 656 West Kirby Detroit, Michigan 48202	509(a)(1)	Two-year grant for a randomized controlled trial of a project to bring continuing education on mental health and aging to occupational therapists	\$31,911
Wayne State University Office of Research and Sponsored Programs 656 West Kirby Detroit, Michigan 48202	509(a)(1)	Two-year grant for a randomized controlled trial of a project to bring continuing education on mental health and aging to occupational therapists	\$72,363
Wayne State University Office of Research and Sponsored Programs 656 West Kirby Detroit, Michigan 48202	509(a)(1)	Two-year grant for a randomized controlled trial of a project to bring continuing education on mental health and aging to occupational therapists	\$31,000
Wishard Memorial Foundation, Inc 1001 W Tenth Street Indianapolis, Indiana 46202	509(a)(3)	One-year grant to replicate a model program in which trained Volunteers serve as limited guardians for vulnerable, ill older adults with diminished capacity	\$47,274
Wishard Memorial Foundation, Inc 1001 W. Tenth Street Indianapolis, Indiana 46202	509(a)(3)	One-year grant to replicate a model program in which trained Volunteers serve as limited guardians for vulnerable, ill older adults with diminished capacity	\$47,273
Total Form 990PF Part XV Line 3b			\$1,832,687

Part IV Capital Gains and Losses for Tax on Retirement Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a From MCC Inv Mgmt (See Attached Continuation Page)		P	Various	Various
b From Global Endowment Fund II LP (26-1741307)		P	Various	Various
c From Global Endowment Fund II LP (26-1741307)		P	Various	Various
d From Form 4797 (Global Endwmt Fund II LP)		P	Various	Various
e From Form 6781 (Global Endwmt Fund II LP)		P	Various	Various
f From Form 6781 (Global Endwmt Fund II LP)		P	Various	Various
g Litigation Proceeds in Excess of Basis		P	Various	Various
h From MCC Inv Mgmt (See Attached Continuation Page)		P	Various	Various
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 104,121.			104,121.
b 3,558,949.			3,558,949.
c 4,242,157.			4,242,157.
d 47,181.			47,181.
e 348,153.			348,153.
f 522,230.			522,230.
g 131,477.			131,477.
h 189,460.			189,460.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			104,121.
b			3,558,949.
c			4,242,157.
d			47,181.
e			348,153.
f			522,230.
g			131,477.
h			189,460.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	9,143,728.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }		3	N/A



Account No.
16H-57976

Taxpayer No.
36-2429540

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THE RETIREMENT RESEARCH

2010 ANNUAL STATEMENT SUMMARY

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and the preparation of your tax return. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount (OID) obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

Data from Forms 1099 (reportable Dividend and Interest) is also repeated in this summary for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer nor tax advisor. Please see your tax advisor for more information.

2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
ISHARES TRUST S&P MIDCAP	1000.0000	09/16/09	06/01/10			76,250.71	70,422.00	5,828.71
ISHARES MSCI EMERGING	300.0000	09/21/09	03/23/10			12,401.84	11,507.67	894.17
	1000.0000	09/23/09	09/23/10			43,619.36	39,000.00	4,619.36
	500.0000	09/30/09	09/23/10			21,809.68	19,424.80	2,384.88
	500.0000	09/30/09	09/30/10			22,360.62	19,424.80	2,935.82
	1000.0000	10/01/09	09/30/10			44,721.24	38,040.00	6,681.24
	100.0000	10/05/09	09/30/10			4,472.13	3,877.00	595.13
	275.0000	10/05/09	10/01/10			12,402.59	10,661.75	1,740.84
		Security Subtotal				161,787.46	141,936.02	19,851.44
ISHARES BARCLAYS AGGRGT	1000.0000	10/01/09	06/01/10			105,642.71	104,560.00	1,082.71
ISHARES BARCLAYS TIPS BO	300.0000	09/11/09	02/04/10			31,535.60	30,690.00	845.60
	700.0000	09/11/09	06/29/10			74,779.73	71,610.00	3,169.73
	500.0000	09/14/09	06/29/10			53,414.10	51,095.00	2,319.10
		Security Subtotal				159,729.43	153,395.00	6,334.43



Account No.
16H-57976

Taxpayer No.
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THE RETIREMENT RESEARCH

2010 ANNUAL STATEMENT SUMMARY
2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
POWERSHARES ETF FTSE	1200.0000	09/24/09	03/22/10			61,443.18	55,920.00	5,523.18
	300.0000	09/24/09	03/23/10			15,436.90	13,980.00	1,456.90
	700.0000	09/25/09	03/23/10			36,019.45	32,361.00	3,658.45
	1000.0000	09/25/09	06/30/10			45,993.23	45,940.00	53.23
	200.0000	10/05/09	09/30/10			10,081.86	9,258.82	823.04
	200.0000	10/05/09	10/01/10			10,069.83	9,258.82	811.01
		Security Subtotal				179,044.45	166,718.64	12,325.81
POWERSHARES FTSE RAFI US	250.0000	09/21/09	03/24/10			14,074.82	12,552.50	1,522.32
	300.0000	09/21/09	06/30/10			15,188.74	15,063.00	125.74
						29,263.56	27,615.50	1,648.06
ISHARES BARCLAYS 1-3 YEA	1000.0000	09/11/09	02/02/10			104,238.68	104,038.49	200.19
	500.0000	09/11/09	02/04/10			52,114.44	52,019.25	95.19
	1500.0000	09/25/09	08/05/10			156,856.84	156,525.00	331.84
	1000.0000	09/25/09	08/05/10			104,571.23	104,320.00	251.23
	1000.0000	09/25/09	08/05/10			104,571.23	104,330.00	241.23
	1000.0000	10/01/09	08/05/10			104,571.23	104,020.00	551.23
	900.0000	10/05/09	08/05/10			94,114.12	93,510.00	604.12
	100.0000	10/05/09	09/30/10			10,494.82	10,390.00	104.82
	125.0000	10/08/09	09/30/10			13,118.53	12,991.25	127.28
	50.0000	10/08/09	10/01/10			5,246.41	5,196.50	49.91
		Security Subtotal				749,897.53	747,340.49	2,557.04
ISHARES BARCLAYS INTERME ISHARES BARCLAY INTAMEDT	1000.0000	09/11/09	02/02/10			103,708.68	103,179.99	528.69
	800.0000	09/11/09	06/29/10			86,646.53	84,964.96	1,681.57
	1500.0000	09/14/09	06/29/10			162,462.25	159,060.00	3,402.25
	100.0000	09/22/09	06/29/10			10,830.81	10,579.00	251.81
	600.0000	09/23/09	06/29/10			64,984.92	63,474.00	1,510.92
	500.0000	10/01/09	09/30/10			55,260.42	53,215.00	2,045.42
	300.0000	10/01/09	10/01/10			33,067.99	31,929.00	1,138.99
		Security Subtotal				413,252.92	403,221.96	10,030.96
ISHARES BARCLAYS GOVERNMI	250.0000	09/11/09	03/23/10			26,516.29	26,507.50	8.79
	750.0000	09/11/09	06/01/10			80,173.94	79,522.50	651.44
	500.0000	09/16/09	06/01/10			53,449.30	52,825.00	624.30
		Security Subtotal				160,139.53	158,855.00	1,284.53
POWERSHARES FTSE RAFI	475.0000	12/10/09	11/24/10			18,296.68	18,170.27	126.41
	900.0000	12/17/09	11/24/10			34,667.42	34,002.00	665.42
		Security Subtotal				52,964.10	52,172.27	791.83

THE RETIREMENT RESEARCH

2010 ANNUAL STATEMENT SUMMARY 2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
POWERSHARES FTSE RAFE	1000.0000	09/21/09	03/22/10		22,409.81	21,539.99	869.82
	500.0000	09/21/09	03/23/10		11,264.86	10,770.00	494.86
		Security Subtotal			33,674.67	32,309.99	1,364.68
ISHARES S&P 500 INDEX	400.0000	09/15/09	03/22/10		46,836.04	42,479.60	4,356.44
	600.0000	09/15/09	03/23/10		70,445.10	63,719.40	6,725.70
	1000.0000	09/16/09	03/23/10		117,408.51	106,480.00	10,928.51
	400.0000	09/21/09	03/23/10		46,963.41	42,868.00	4,095.41
	600.0000	09/21/09	06/01/10		66,424.88	64,302.00	2,122.88
	1700.0000	10/01/09	06/30/10		177,954.02	177,220.07	733.95
	3300.0000	10/01/09	09/30/10		378,989.02	344,015.43	34,973.59
	400.0000	10/02/09	09/30/10		45,938.07	41,168.00	4,770.07
	600.0000	10/02/09	10/01/10		68,722.83	61,752.00	6,970.83
	25.0000	10/06/09	10/01/10		2,863.46	2,651.75	211.71
		Security Subtotal			1,022,545.34	946,656.25	75,889.09
ISHARES TR RUSSELL 2000	600.0000	09/21/09	03/23/10		41,058.80	37,074.00	3,984.80
	400.0000	09/21/09	06/30/10		24,899.58	24,716.00	183.58
	200.0000	09/24/09	06/30/10		12,449.79	12,008.00	441.79
		Security Subtotal			78,408.17	73,798.00	4,610.17
		Short Term Capital Gains Subtotal			3,326,309.26	3,182,181.11	144,128.15
SHORT TERM CAPITAL LOSSES							
ISHARES MSCI EAFE INDEX	2000.0000	09/15/09	06/30/10		94,180.81	109,560.00	(15,379.19)
	1000.0000	09/15/09	08/05/10		53,291.09	54,780.00	(1,488.91)
	250.0000	09/24/09	08/05/10		13,322.78	13,687.50	(364.72)
		Security Subtotal			160,794.68	178,027.50	(17,232.82)
ISHARES MSCI EMERGING POWERSHARES ETF FTSE	2700.0000	09/21/09	06/30/10		102,169.51	103,569.03	(1,399.52)
	1000.0000	09/21/09	02/04/10		46,229.41	47,114.99	(885.58)
	2000.0000	09/21/09	02/05/10		90,698.85	94,230.01	(3,531.16)
	300.0000	09/25/09	06/30/10		13,797.96	13,869.00	(71.04)
		Security Subtotal			150,726.22	155,214.00	(4,487.78)
ISHARES BARCLAYS 1-3 YEA	1500.0000	09/11/09	06/01/10		155,138.62	156,057.76	(919.14)
	500.0000	09/16/09	06/01/10		51,712.88	52,000.00	(287.12)
	500.0000	09/16/09	06/04/10		51,604.13	52,000.00	(395.87)
	500.0000	09/25/09	06/04/10		51,604.13	52,175.00	(570.87)
		Security Subtotal			310,059.76	312,232.76	(2,173.00)

THE RETIREMENT RESEARCH

2010 ANNUAL STATEMENT SUMMARY
2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES BARCLAYS SHORT	300.0000	10/01/09	02/04/10		33,047.58	33,063.00	(15.42)
	700.0000	10/01/09	02/05/10		77,111.02	77,147.00	(35.98)
	1000.0000	10/05/09	05/21/10		110,148.14	110,240.00	(91.86)
		Security Subtotal			220,306.74	220,450.00	(143.26)
POWERSHARES FTSE RAFI	1000.0000	09/25/09	03/22/10		37,609.52	38,840.00	(1,230.48)
	500.0000	09/25/09	03/22/10		18,804.76	19,414.95	(610.19)
	1000.0000	09/25/09	03/23/10		37,740.62	38,829.90	(1,089.28)
	300.0000	09/25/09	06/30/10		9,549.23	11,648.97	(2,099.74)
	425.0000	12/10/09	09/30/10		15,973.10	16,257.61	(284.51)
	100.0000	12/10/09	10/01/10		3,774.94	3,825.32	(50.38)
		Security Subtotal			123,452.17	128,816.75	(5,364.58)
POWERSHARES FTSE RAFI	800.0000	09/21/09	06/30/10		16,563.72	17,232.01	(668.29)
ISHARES S AND P/CITI 1-3	200.0000	10/06/09	02/05/10		20,269.74	21,530.00	(1,260.26)
	200.0000	10/06/09	03/23/10		20,261.74	21,530.00	(1,268.26)
	600.0000	10/06/09	04/26/10		59,734.99	64,590.00	(4,855.01)
		Security Subtotal			100,266.47	107,650.00	(7,383.53)
ISHARES S&P 500 INDEX	1500.0000	09/24/09	06/30/10		157,018.24	157,560.00	(541.76)
	1000.0000	09/25/09	06/30/10		104,678.83	104,800.00	(121.17)
	1000.0000	09/25/09	06/30/10		104,678.83	105,170.00	(491.17)
		Security Subtotal			366,375.90	367,530.00	(1,154.10)
NET SHORT TERM CAPITAL GAIN (LOSS)					1,550,715.17	1,590,722.05	(40,006.88)
							104,121.25
LONG TERM CAPITAL GAINS							
ISHARES TRUST S&P MIDCAP	500.0000	09/17/09	09/23/10		39,259.34	35,105.00	4,154.34
	500.0000	09/17/09	09/30/10		40,228.32	35,105.00	5,123.32
	250.0000	09/23/09	09/30/10		20,114.16	17,460.00	2,654.16
	125.0000	09/23/09	10/01/10		9,994.83	8,730.00	1,264.83
	125.0000	09/23/09	11/24/10		10,691.06	8,730.00	1,961.06
	500.0000	09/23/09	11/24/10		42,764.28	35,080.00	7,684.28
	275.0000	09/25/09	11/24/10		23,520.36	18,683.50	4,836.86
		Security Subtotal			186,572.35	158,893.50	27,678.85

THE RETIREMENT RESEARCH

2010 ANNUAL STATEMENT SUMMARY
2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES MSCI EAFE INDEX	250.0000	09/24/09	09/30/10			13,739.76	13,687.50	52.26
	500.0000	09/24/09	09/30/10			27,479.53	27,300.00	179.53
	1000.0000	09/25/09	09/30/10			54,959.07	54,290.00	669.07
	850.0000	09/25/09	09/30/10			46,715.22	46,172.00	543.22
	450.0000	09/25/09	10/01/10			24,794.63	24,444.00	350.63
	700.0000	09/25/09	11/24/10			39,291.31	38,024.00	1,267.31
	1000.0000	10/01/09	11/24/10			56,130.45	54,191.50	1,938.95
	1000.0000	10/01/09	11/24/10			56,130.45	53,570.00	2,560.45
	1000.0000	10/02/09	11/24/10			56,130.46	52,910.00	3,220.46
		Security Subtotal				375,370.88	364,589.00	10,781.88
ISHARES MSCI EMERGING	1625.0000	10/05/09	11/24/10			74,537.48	63,001.25	11,536.23
	25.0000	10/28/09	11/24/10			1,146.74	946.00	200.74
		Security Subtotal				75,684.22	63,947.25	11,736.97
POWERSHARES ETF FTSE	1000.0000	09/25/09	09/30/10			50,409.24	46,400.00	4,009.24
	1600.0000	10/05/09	11/24/10			83,925.78	74,070.56	9,855.22
	50.0000	10/26/09	11/24/10			2,622.69	2,338.30	284.39
		Security Subtotal				136,957.71	122,808.86	14,148.85
POWERSHARES FTSE RAFI US	225.0000	09/21/09	09/30/10			12,482.79	11,297.25	1,185.54
	25.0000	09/21/09	10/01/10			1,381.23	1,255.25	125.98
	200.0000	09/21/09	11/24/10			12,041.79	10,042.00	1,999.79
	150.0000	09/24/09	11/24/10			9,031.35	7,384.50	1,646.85
		Security Subtotal				34,937.16	29,979.00	4,958.16
ISHARES BARCLAYS 1-3 YEA	275.0000	10/08/09	11/23/10			28,855.26	28,580.75	274.51
	550.0000	10/08/09	12/06/10			57,513.24	57,161.50	351.74
	1000.0000	10/09/09	12/06/10			104,569.54	103,610.00	959.54
		Security Subtotal				190,938.04	189,352.25	1,585.79
ISHARES BARCLAYS INTERME	1000.0000	09/11/09	09/30/10			108,278.17	103,180.01	5,098.16
	175.0000	09/11/09	10/01/10			18,827.93	18,056.50	771.43
	825.0000	09/11/09	11/23/10			88,677.75	85,123.50	3,554.25
	900.0000	09/14/09	11/23/10			96,739.37	92,673.00	4,066.37
		Security Subtotal				312,523.22	299,033.01	13,490.21
ISHARES BARCLAY INTEMEDT	300.0000	09/23/09	09/30/10			33,156.25	31,737.00	1,419.25
	1000.0000	09/25/09	09/30/10			110,520.83	106,280.00	4,240.83
	1200.0000	10/01/09	11/23/10			131,903.81	127,716.00	4,187.81
	75.0000	10/05/09	11/23/10			8,243.99	7,986.75	257.24
		Security Subtotal				283,824.88	273,719.75	10,105.13

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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES BARCLAYS GOVERN	150.0000	09/16/09	09/30/10			16,691.38	15,847.50	843.88
	25.0000	09/16/09	10/01/10			2,773.70	2,641.25	132.45
		Security Subtotal				19,465.08	18,488.75	976.33
POWERSHARES FTSE RAFE	500.0000	09/21/09	09/30/10			11,951.80	10,770.00	1,181.80
	75.0000	09/21/09	10/01/10			1,808.97	1,615.50	193.47
	125.0000	09/21/09	11/24/10			3,101.19	2,692.50	408.69
	775.0000	09/24/09	11/24/10			19,227.43	16,437.75	2,789.68
		Security Subtotal				36,089.39	31,515.75	4,573.64
ISHARES S&P 500 INDEX	975.0000	10/06/09	11/18/10			117,476.73	103,418.25	14,058.48
	25.0000	10/13/09	11/18/10			3,012.23	2,688.67	323.56
	975.0000	10/13/09	11/24/10			116,767.73	104,858.13	11,909.60
	1000.0000	10/26/09	11/24/10			119,761.77	107,190.00	12,571.77
	1000.0000	10/26/09	11/24/10			119,761.77	107,270.00	12,491.77
	1000.0000	10/28/09	11/24/10			119,761.78	104,890.00	14,871.78
	25.0000	11/12/09	11/24/10			2,994.05	2,729.99	264.06
	475.0000	11/12/09	12/16/10			59,468.99	51,869.76	7,599.23
		Security Subtotal				659,005.05	584,914.80	74,090.25
ISHARES TR RUSSELL 2000	300.0000	09/24/09	09/30/10			20,333.65	18,012.00	2,321.65
	250.0000	09/25/09	09/30/10			16,944.72	14,985.00	1,959.72
	100.0000	09/25/09	10/01/10			6,727.89	5,994.00	733.89
	650.0000	09/25/09	11/24/10			47,520.69	38,961.00	8,559.69
	150.0000	09/30/09	11/24/10			10,966.32	8,958.00	2,008.32
		Security Subtotal				102,493.27	86,910.00	15,583.27
		Long Term Capital Gains Subtotal				2,413,861.25	2,224,151.92	189,709.33

POWERSHARES FTSE RAFI	200.0000	09/25/09	09/30/10	7,516.75	7,765.98	(249.23)
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NET LONG TERM CAPITAL GAIN (LOSS)

7.516.75	7.765.98	(249.23
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7,516.75	7,765.98	(249.23)
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189,460.10



Account No.
16H-57976

Taxpayer No.
36-2429540

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THE RETIREMENT RESEARCH

2010 ANNUAL STATEMENT SUMMARY
2010 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
OTHER TRANSACTIONS								
NORTEL NETWORKS CORP	434.0000	12/14/09	08/03/10			12.01	N/A	N/A
Other Transactions Subtotal								
						7,298,414.44		
						7,298,414.44	7,004,821.06	293,581.37
						0.00		**
TOTAL CAPITAL GAINS AND LOSSES								
TOTAL REPORTABLE GROSS PROCEEDS								
DIFFERENCE								

Note: Capital gains and losses in this statement are not reported to the Internal Revenue Service.

** Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received)

N/A Results which cannot be calculated because of insufficient data in the Capital Gain (or Loss) Column and are not included in the Realized Capital Gain and Loss summary.



Account No.
16H-57976

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THE RETIREMENT RESEARCH

2010 ANNUAL STATEMENT SUMMARY

2010 REALIZED CAPITAL GAIN AND LOSS SUMMARY

293581.37

	SHORT TERM GAINS	SHORT TERM LOSSES	LONG TERM GAINS	LONG TERM LOSSES
TOTAL	144,128.15	(40,006.88)	189,709.33	(249.23)

Form **6781**Department of the Treasury
Internal Revenue Service**Gains and Losses From Section 1256
Contracts and Straddles**

▶ Attach to your tax return.

OMB No 1545-0644

2010Attachment
Sequence No **82**

Name(s) shown on tax return

Identifying number

Retirement Research Foundation

36-2429540

Check all applicable boxes (see instructions)

☐ A Mixed straddle election☐ C Mixed straddle account election☐ B Straddle-by-straddle identification election☐ D Net section 1256 contracts loss election**Part I Section 1256 Contracts Marked to Market**

(a) Identification of account	(b) (Loss)	(c) Gain
1 From Form K-1 Global Endowment Fund II LP (26-1741307)		870383
2 Add the amounts on line 1 in columns (b) and (c)	2 ()	870383
3 Net gain or (loss) Combine line 2, columns (b) and (c)	3	870383
4 Form 1099-B adjustments. See instructions and attach schedule	4	
5 Combine lines 3 and 4	5	870383
Note: If line 5 shows a net gain, skip line 6 and enter the gain on line 7. Partnerships and S corporations, see instructions.		
6 If you have a net section 1256 contracts loss and checked box D above, enter the amount of loss to be carried back. Enter the loss as a positive number	6	
7 Combine lines 5 and 6	7	870383
8 Short-term capital gain or (loss). Multiply line 7 by 40% (40) Enter here and include on the appropriate line of Schedule D (see instructions)	8	348153
9 Long-term capital gain or (loss). Multiply line 7 by 60% (60) Enter here and include on the appropriate line of Schedule D (see instructions)	9	522230

Part II Gains and Losses From Straddles. Attach a separate schedule listing each straddle and its components**Section A—Losses From Straddles**

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Loss. If column (e) is more than (d), enter difference. Otherwise, enter -0-	(g) Unrecognized gain on offsetting positions	(h) Recognized loss. If column (f) is more than (g), enter difference. Otherwise, enter -0-
10							
11a Enter the short-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)							11a ()
b Enter the long-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)							11b ()

Section B—Gains From Straddles

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Gain. If column (d) is more than (e), enter difference. Otherwise, enter -0-
12					
13a Enter the short-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)					13a
b Enter the long-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)					13b

Part III Unrecognized Gains From Positions Held on Last Day of Tax Year. Memo Entry Only (see instructions)

(a) Description of property	(b) Date acquired	(c) Fair market value on last business day of tax year	(d) Cost or other basis as adjusted	(e) Unrecognized gain. If column (c) is more than (d), enter difference. Otherwise, enter -0-
14				