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**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2010**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation

GRAHAM FOUNDATION FOR ADVANCED  
STUDIES IN THE FINE ARTS

A Employer identification number

36-2356089

Number and street (or P O box number if mail is not delivered to street address)

4 WEST BURTON

Room/suite

B Telephone number (see page 10 of the instructions)

(312) 787-4071

City or town, state, and ZIP code

CHICAGO, IL 60610-1416

H Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 42,774,447.  
J Accounting method ☐ Cash ☒ Accrual  
☐ Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis)C If exemption application is pending, check here ▶ ☐D 1 Foreign organizations, check here ▶ ☐2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	1,300.			
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	979.	979.	0.	
4 Dividends and interest from securities	444,872.	704,567.	0.	
5 a Gross rents				
b Net rental income or (loss)				
6 a Net gain or (loss) from sale of assets not on line 10	1,374,346.			
b Gross sales price for all assets on line 6a	9,476,053.			
7 Capital gain net income (from Part IV, line 2)		1,968,482.		
8 Net short-term capital gain			0.	
9 Income modifications				
10 a Gross sales less returns and allowances	7,254.			
b Less Cost of goods sold	4,098.			
c Gross profit or (loss) (attach schedule)	3,156.			
11 Other income (attach schedule)	5,827.	38,046.	0.	ATCH 1
12 Total. Add lines 1 through 11	1,830,480.	2,712,074.	0.	
13 Compensation of officers, directors, trustees, etc.	146,394.	0.	0.	146,394.
14 Other employee salaries and wages	313,589.	0.	0.	313,589.
15 Pension plans, employee benefits	147,264.	0.	0.	149,426.
16 a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH 2	36,601.	0.	0.	36,601.
17 c Other professional fees (attach schedule) *	386,122.	363,856.	0.	22,266.
18 Interest	0.	1,225.	0.	0.
19 Taxes (attach schedule) (see page 11 of the instructions) **	34,280.	4,481.	0.	32,444.
20 Depreciation (attach schedule) and depletion	72,152.	0.	0.	
21 Occupancy	35,879.	0.	0.	35,000.
22 Travel, conferences, and meetings	33,485.	0.	0.	33,485.
23 Printing and publications	7,850.	0.	0.	7,850.
24 Other expenses (attach schedule) ATCH 5	224,774.	138,508.	0.	223,229.
25 Total operating and administrative expenses. Add lines 13 through 23	1,438,390.	508,070.	0.	1,000,284.
26 Contributions, gifts, grants paid	955,890.			1,011,750.
27 Total expenses and disbursements. Add lines 24 and 25	2,394,280.	508,070.	0.	2,012,034.
28 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-563,800.			
b Net investment income (if negative, enter -0-)		2,204,004.		
c Adjusted net income (if negative, enter -0-)			0.	

For Paperwork Reduction Act Notice, see page 30 of the instructions.

\* ATCH 3 JSA \*\* ATCH 4

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .	1,071,067.	13,065.	13,065.
	2	Savings and temporary cash investments . . . . .	118,628.	77,448.	77,448.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use . . . . .	0.	5,329.	5,329.
	9	Prepaid expenses and deferred charges . . . . .	81,401.	125,252.	125,252.
	10 a	Investments - U S and state government obligations (attach schedule) . .			
	b	Investments - corporate stock (attach schedule) <b>ATCH 6</b> . .	8,175,393.	8,132,974.	8,132,974.
	c	Investments - corporate bonds (attach schedule) <b>ATCH 7</b> . .	16,755,722.	18,454,319.	18,454,319.
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) <b>ATCH 8</b> . .	12,987,544.	15,139,614.	15,139,614.
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶	1,991,453. 1,165,007.		
15	Other assets (describe ▶) . . . . .	697,753.	826,446.	826,446.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	31,694.	0.	0.	
Liabilities	17	Accounts payable and accrued expenses . . . . .	39,919,202.	42,774,447.	42,774,447.
	18	Grants payable . . . . .	6,534.	15,530.	
	19	Deferred revenue . . . . .	30,000.	0.	
	20	Loans from officers, directors, trustees, and other disqualified persons . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶) . . . . .			
23	Total liabilities (add lines 17 through 22) . . . . .	36,534.	15,530.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .	37,326,304.	40,002,951.	
	25	Temporarily restricted . . . . .	1,506,364.	1,705,966.	
	26	Permanently restricted . . . . .	1,050,000.	1,050,000.	
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . .			
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	39,882,668.	42,758,917.	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	39,919,202.	42,774,447.	

### Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	39,882,668.
2	Enter amount from Part I, line 27a . . . . .	2	-563,800.
3	Other increases not included in line 2 (itemize) ▶ ATTACHMENT 9 . . . . .	3	3,443,874.
4	Add lines 1, 2, and 3 . . . . .	4	42,762,742.
5	Decreases not included in line 2 (itemize) ▶ ATTACHMENT 10 . . . . .	5	3,825.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	42,758,917.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>			<b>2</b>	1,968,482.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. . . . .			<b>3</b>	0.	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	1,700,109.	34,301,029.	0.049564
2008	2,556,661.	40,434,424.	0.063230
2007	1,299,329.	43,932,314.	0.029576
2006	2,039,123.	39,240,372.	0.051965
2005	1,689,151.	35,896,955.	0.047056

<b>2</b> Total of line 1, column (d) . . . . .	<b>2</b>	0.241391
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	<b>3</b>	0.048278
<b>4</b> Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 . . . . .	<b>4</b>	39,014,240.
<b>5</b> Multiply line 4 by line 3 . . . . .	<b>5</b>	1,883,529.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .	<b>6</b>	22,040.
<b>7</b> Add lines 5 and 6 . . . . .	<b>7</b>	1,905,569.
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18	<b>8</b>	2,012,034.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		1	22,040.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	22,040.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	22,040.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	13,853.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	13,853.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	8,187.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2011 estimated tax 0. Refunded	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) IL,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>WWW.GRAHAMFOUNDATION.ORG</b>	13	X	
14	The books are in care of <b>SARAH HERDA</b> Telephone no <b>312-787-4071</b> Located at <b>4 WEST BURTON, CHICAGO, IL</b> ZIP + 4 <b>60610-1416</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> - Check here	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b** ☒ X  
Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒ X  
If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b** ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		135,550.	10,844.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 12		235,250.	18,657.	0.

Total number of other employees paid over \$50,000 ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 13		76,765.
Total number of others receiving over \$50,000 for professional services		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	39,059,225.
b	Average of monthly cash balances	1b	549,140.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	<b>Total</b> (add lines 1a, b, and c)	1d	39,608,365.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	39,608,365.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	594,125.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	39,014,240.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	1,950,712.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,950,712.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	22,040.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	22,040.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,928,672.
4	Recoveries of amounts treated as qualifying distributions	4	35,860.
5	Add lines 3 and 4	5	1,964,532.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,964,532.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,012,034.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,012,034.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	22,040.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	1,989,994.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7 . . . . .				1,964,532.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only . . . . .			75,608.	
b Total for prior years 20 08, 20 07, 20 06 . . . . .		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005 . . . . .	0.			
b From 2006 . . . . .	0.			
c From 2007 . . . . .	0.			
d From 2008 . . . . .	0.			
e From 2009 . . . . .	0.			
f Total of lines 3a through e . . . . .	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 2,012,034.				
a Applied to 2009, but not more than line 2a . . . . .			75,608.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .				
d Applied to 2010 distributable amount . . . . .				1,936,426.
e Remaining amount distributed out of corpus . . . . .	0.			
5 Excess distributions carryover applied to 2010 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	0.			
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .				28,106.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .				
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a . . . . .	0.			
10 Analysis of line 9				
a Excess from 2006 . . . . .				
b Excess from 2007 . . . . .	0.			
c Excess from 2008 . . . . .	0.			
d Excess from 2009 . . . . .	0.			
e Excess from 2010 . . . . .	0.			

Form 990-PF (2010)

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years				(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 14

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT

c Any submission deadlines

SEE ATTACHED STATEMENT

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED STATEMENT

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b> SEE ATTACHED STATEMENT				955,890.
<b>Total</b> . . . . .			▶ <b>3a</b>	955,890.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			▶ <b>3b</b>	

Form 990-PF (2010)

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
<b>1</b> Program service revenue						
<b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>f</b> _____						
<b>g</b> Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments . . . . .						
<b>3</b> Interest on savings and temporary cash investments			14	979.		
<b>4</b> Dividends and interest from securities . . . . .			14	444,872.		
<b>5</b> Net rental income or (loss) from real estate						
<b>a</b> Debt-financed property . . . . .						
<b>b</b> Not debt-financed property . . . . .						
<b>6</b> Net rental income or (loss) from personal property .						
<b>7</b> Other investment income . . . . .						
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	1,374,346.		
<b>9</b> Net income or (loss) from special events . . .						
<b>10</b> Gross profit or (loss) from sales of inventory . .						3,156.
<b>11</b> Other revenue <b>a</b> _____						
<b>b</b> ATTACHMENT 15		0.		4,538.		1,289.
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .		0.		1,824,735.		4,445.
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .					13	1,829,180.

(See worksheet in line 13 instructions on page 29 to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

Form 990-PF (2010)

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					332,850.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					261,286.	
9,476,053.		GAIN FROM SALE OF SECURITIES - LT PROPERTY TYPE: SECURITIES 8,101,707.				P	VAR 1,374,346.	VAR
TOTAL GAIN (LOSS) .....							<u>1,968,482.</u>	

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INCOME FROM FORMS K-1	0.	38,046.	0.
SECURITIES MERGER LITIGATION	4,538.	0.	0.
OTHER	1,289.	0.	0.
TOTALS	<u>5,827.</u>	<u>38,046.</u>	<u>0.</u>



ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	36,601.	0.	0.	36,601.
TOTALS	<u>36,601.</u>	<u>0.</u>	<u>0.</u>	<u>36,601.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES	271,934.	271,934.	0.	0.
CUSTODIAL FEES	91,922.	91,922.	0.	0.
IT & GRAPHIC DESIGN FEES	7,675.	0.	0.	7,675.
CONSULTANT FEES	14,591.	0.	0.	14,591.
TOTALS	<u>386,122.</u>	<u>363,856.</u>	<u>0.</u>	<u>22,266.</u>

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
PAYROLL TAX	34,280.	0.	0.	32,444.
FOREIGN TAXES PAID	0.	4,481.	0.	0.
TOTALS	<u>34,280.</u>	<u>4,481.</u>	<u>0.</u>	<u>32,444.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
WEBSITE/INTERNET	21,134.	0.	0.	21,134.
STIPENDS/ HONORARIA SPEAKERS	21,813.	0.	0.	21,956.
INSURANCE	23,713.	0.	0.	23,919.
POSTAGE	23,525.	225.	0.	23,516.
ADMINISTRATION FEES	15,000.	0.	0.	15,000.
SUPPLIES	7,885.	0.	0.	11,491.
GRANT MAKING DATABASE FEES	10,870.	0.	0.	4,483.
INSTALLATION	8,156.	0.	0.	8,120.
DUES & SUBSCRIPTIONS	4,506.	0.	0.	6,117.
BANK, CREDIT CARD & LATE FEES	5,822.	200.	0.	5,597.
MAINTENANCE	6,148.	0.	0.	5,698.
PR ANNOUNCEMENTS	19,028.	0.	0.	19,028.
RECEPTION & HOSPITALITY	9,391.	0.	0.	9,391.
A/V & DOCUMENTATION	8,497.	0.	0.	8,497.
OTHER	4,739.	0.	0.	4,735.
AUTO	2,853.	0.	0.	2,853.
WRITE-OFF OF COLLECTIONS	31,694.	0.	0.	31,694.
OTHER EXPENSES FROM K-1'S	0.	138,083.	0.	0.
TOTALS	<u>224,774.</u>	<u>138,508.</u>	<u>0.</u>	<u>223,229.</u>

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
LARGE & SMALL CAP EQUITY	8,175,393.	8,132,974.	8,132,974.
TOTALS	<u>8,175,393.</u>	<u>8,132,974.</u>	<u>8,132,974.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ABSOLUTE RETURN & FIXED INCOME	16,755,722.	18,454,319.	18,454,319.
TOTALS	<u>16,755,722.</u>	<u>18,454,319.</u>	<u>18,454,319.</u>

ATTACHMENT 8FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INTERNATIONAL EQUITY	6,073,218.	6,107,350.	6,107,350.
HEDGED EQUITY	4,357,629.	4,839,636.	4,839,636.
PRIVATE EQUITY	2,556,697.	4,192,628.	4,192,628.
TOTALS	<u>12,987,544.</u>	<u>15,139,614.</u>	<u>15,139,614.</u>

ATTACHMENT 9FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN ON INVESTMENTS	3,440,049.
IN-KIND CONTRIBUTION	3,825.
TOTAL	<u>3,443,874.</u>



ATTACHMENT 10FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

IN-KIND CONTRIBUTIONS

3,825.

TOTAL

3,825.

# GRAHAM FOUNDATION FOR ADVANCED

36-2356089

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

### ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS		EXPENSE ACCT AND OTHER ALLOWANCES
			TO EMPLOYEE BENEFIT PLANS		
JOHN SYVERTSEN 4 WEST BURTON CHICAGO, IL 60610-1416	PRESIDENT 1.00	0.	0.		0.
LINDA SEARL 4 WEST BURTON CHICAGO, IL 60610-1416	VICE PRESIDENT 1.00	0.	0.		0.
JEFFREY JAHNS 4 WEST BURTON CHICAGO, IL 60610-1416	TREASURER 1.00	0.	0.		0.
ELIZABETH SMITH 4 WEST BURTON CHICAGO, IL 60610-1416	SECRETARY 1.00	0.	0.		0.
SAMUEL ASSEFA 4 WEST BURTON CHICAGO, IL 60610-1416	TRUSTEE 1.00	0.	0.		0.
SUSAN BIELSTEIN 4 WEST BURTON CHICAGO, IL 60610-1416	TRUSTEE 1.00	0.	0.		0.

GRAHAM FOUNDATION FOR ADVANCED

36-2356089

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JEANNE GANG 4 WEST BURTON CHICAGO, IL 60610-1416	TRUSTEE 1.00	0.	0.	0.
BRUCE SAGAN 4 WEST BURTON CHICAGO, IL 60610-1416	TRUSTEE 1.00	0.	0.	0.
HAMZA WALKER 4 WEST BURTON CHICAGO, IL 60610-1416	TRUSTEE 1.00	0.	0.	0.
ROBERT WISLOW 4 WEST BURTON CHICAGO, IL 60610-1416	TRUSTEE 1.00	0.	0.	0.
SARAH HERDA 4 WEST BURTON CHICAGO, IL 60610-1416	EXECUTIVE DIRECTOR 40.00	135,550.	10,844.	0.
GRAND TOTALS		135,550.	10,844.	0.

## GRAHAM FOUNDATION FOR ADVANCED

36-2356089

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
STEPHANIE WHITLOCK 4 WEST BURTON CHICAGO, IL 60610-1416	PROGRAM OFFICER 40.00	66,950.	5,356. 0.
CAROLYN KELLY 4 WEST BURTON CHICAGO, IL 60610-1416	GRANTS MANAGER 40.00	56,650.	4,532. 0.
MAUREEN KAUCHER 4 WEST BURTON CHICAGO, IL 60610-1416	FINANCE MANAGER 32.00	56,650.	4,400. 0.
RONALD KONOW 4 WEST BURTON CHICAGO, IL 60610-1416	FACILITIES MANAGER 40.00	55,000.	4,369. 0.
	TOTAL COMPENSATION	<u>235,250.</u>	<u>18,657.</u> <u>0.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
CONVEXITY CAPITAL OFFSHORE LP 78 SIR JOHN ROGERSON'S QUAY 2 DUBLIN IRELAND	INVESTMENT FEES	76,765.
TOTAL COMPENSATION		<u>76,765.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SARAH HERDA, GRAHAM FOUNDATION  
4 WEST BURTON  
CHICAGO, IL 60610-1416  
312-787-4071

GRAHAM FOUNDATION FOR ADVANCED

36-2356089

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 15

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	RELATED OR EXEMPT FUNCTION INCOME	
				AMOUNT	
SECURITIES LITIGATION MERGER	0.	01		4,538.	0.
OTHER	0.			0.	1,289.
TOTALS		0.		4,538.	1,289.

FEDERAL FOOTNOTES

FORM 990PF, PART VII-B, 5C

FOUNDED IN 1956, THE GRAHAM FOUNDATION FOR ADVANCED STUDIES IN THE FINE ARTS MAKES PROJECT-BASED GRANTS TO INDIVIDUALS AND ORGANIZATIONS AND PRODUCES PUBLIC PROGRAMS TO FOSTER THE DEVELOPMENT AND EXCHANGE OF DIVERSE AND CHALLENGING IDEAS ABOUT ARCHITECTURE AND ITS ROLE IN THE ARTS, CULTURE, AND SOCIETY.

THE GRAHAM FOUNDATION OFFERS TWO TYPES OF GRANTS: (1) PRODUCTION AND PRESENTATION GRANTS TO INDIVIDUALS AND ORGANIZATIONS, AND (2) RESEARCH AND DEVELOPMENT GRANTS TO INDIVIDUALS. THE GRANT PERIOD OF A PRODUCTION AND PRESENTATION GRANT IS TWO YEARS, AND THE GRANT PERIOD OF A RESEARCH AND DEVELOPMENT GRANT IS ONE YEAR. WITHIN THE GRANT PERIOD, GRANTEEES ARE EXPECTED TO PLAN, IMPLEMENT, CLOSE OUT THEIR PROJECT BY SUBMITTING PROGRESS REPORTS, AND ACKNOWLEDGE GRAHAM FOUNDATION SUPPORT IN ALL MEDIA.

ALL GRANTEEES MUST AGREE TO AND SIGN AN AWARD CONTRACT BEFORE GRANT FUNDS ARE ISSUED. THE AWARD CONTRACT STIPULATES:

A) GRANT FUNDS MUST BE USED FOR AND ONLY FOR THE SPECIFIC PURPOSE OF THE GRANT, AS STATED IN THE GRANTEE'S APPLICATION AND WILL BE USED ONLY FOR THE CHARITABLE, SCIENTIFIC, LITERARY OR EDUCATIONAL PURPOSES OF THE GRANTEE. THE GRANT PURPOSE IS SUBJECT TO MODIFICATION ONLY WITH THE WRITTEN APPROVAL OF THE FOUNDATION.

B) ANY EXTENSION OF THE PROJECT TERMS MUST BE REQUESTED IN WRITING TO THE GRAHAM FOUNDATION FOR APPROVAL.

C) ANY FUNDS NOT EXPENDED IN ACCORDANCE WITH THE PURPOSE OF THE GRANT MUST BE RETURNED TO THE FOUNDATION. GRANTEEES ACKNOWLEDGE THAT THE FULL AMOUNT OF THE GRANT WILL BE RETURNED IF FAILURE TO COMPLY WITH THE AWARD CONTRACT CONDITIONS OCCURS. ANY UNUSED FUNDS MUST BE RETURNED TO THE FOUNDATION.

D) GRANTEEES AGREE TO FURNISH WRITTEN PROGRESS REPORTS TO THE FOUNDATION AT LEAST ONCE A YEAR. PROGRESS REPORTS FOR INDIVIDUAL GRANTEEES ARE DUE ON APRIL 30 OF EVERY YEAR, AND PROGRESS REPORTS FOR ORGANIZATIONAL GRANTEEES ARE DUE ON OCTOBER 31 OF EVERY YEAR.

E) UPON PROJECT COMPLETION, GRANTEEES SUBMIT A FINAL SUMMARY REPORT AND FINAL PROJECT PRODUCTS, SUCH AS PUBLICATIONS, EXHIBITION CATALOGUES, CONFERENCE PROCEEDINGS, NEW MEDIA (FILMS, DVDS, PODCASTS), PROJECT IMAGES, AND OTHER PRINTED MEDIA SUCH AS PRESS RELEASES, REVIEWS, AND ANNOUNCEMENTS.

F) THE FOUNDATION RETAINS THE RIGHT TO MONITOR AND CONDUCT EVALUATIONS OF GRANTS, WHICH MAY INCLUDE A VISIT BY FOUNDATION PERSONNEL TO OBSERVE GRANTEEES' PROGRAMS, DISCUSSION OF THE PROJECT WITH GRANTEEES, AND REVIEW OF GRANTEEES' FINANCIAL AND OTHER RECORDS AND MATERIALS CONNECTED WITH THE ACTIVITIES FINANCED BY THIS GRANT.



FEDERAL FOOTNOTES

THE INTERIM AND FINAL REPORTS OUTLINE THE MANNER IN WHICH THE GRANT FUNDS WERE SPENT AND THE PROGRESS MADE IN ACCOMPLISHING THE GRANT'S PURPOSES, INCLUDING BUT NOT LIMITED TO: A CURRENT PROJECT BUDGET THAT ACCOUNTS FOR HOW GRAHAM FOUNDATION GRANT FUNDS WERE EXPENDED FOR THE GRANT'S PURPOSES; A DESCRIPTION OF THE PROGRESS TO DATE TOWARD THE PROJECT'S OBJECTIVES, E.G. MAJOR ACTIVITIES AND THEIR OUTCOMES OR FACTORS THAT HINDERED OR ACCELERATED THE PROJECT PROGRESS; A DESCRIPTION OF ANY COLLATERAL RESEARCH OR ACTIVITY WHICH HAS RESULTED FROM THE AWARD; A DESCRIPTION OF THE CURRENT WORK PLAN AND SCHEDULE.

REPORTING REQUIREMENTS ARE MONITORED BY FOUNDATION STAFF AND TRACKED IN A GRANT MANAGEMENT DATABASE. INTERIM REPORTS, FINAL REPORTS, AND FINAL PRODUCTS ARE REVIEWED BY THE FOUNDATION'S PROGRAM OFFICER AND EXECUTIVE DIRECTOR AND ARE ARCHIVED AT THE GRAHAM FOUNDATION IN EACH GRANTEE'S FILE.

# Grants 2010

Grant #	Grantee Name and Address	Project Title	Approved		Approved		Tax Status
			Prior to 2010	Paid 2010	2010	Paid 2010	
00000	Society of Architectural Historians Chicago, IL	2010 Gala Benefit			5,000	5,000	501(c)(3)
04001	Princeton Architectural Press New York, NY	New Voices in Architecture	60,000	20,000			501(c)(3)
2009-0062	Extension Gallery for Architecture Chicago, IL	Exhibition program	21,000	16,000			501(c)(3)
5115	University of Pittsburgh Press Pittsburgh, PA	Ruins: The Beauties of Devastation by George Hersey					501(c)(3)
6097	North Atlantic Rim Research Collaborative	Landscape. Form & Settlement					501(c)(3)
2008-0139	Richview, Clonskeagh, Dublin Fourthwall Books	Book series on Southern African Architecture	*	*			*
2009-0003	Johannesburg, South Africa Heyden, Mathias/Schaber, Ines Berlin, Germany	Imagining Participatory Design Part 1	**	**			Individual
2010-0001	Adams, Robert Ann Arbor, MI	Spontaneous Mutations, Genetic Deletions, Adaptive Environments and Assistive Technology in the Compression of Developmental Time, or Crawling Sticks and Other Architectural Accoutrements, Actants and Apparatus			10,000	10,000	Individual
2010-0002	Agrest, Diana New York, NY	The Making of an Avant-Garde. The Institute for Architecture and Urban Studies, 1967-1984			12,500	12,500	Individual
2010-0003	Akcan, Esra Chicago, IL	Modernity in Translation. Intertwined Histories of Residential Architecture			7,500	7,500	Individual
2010-0004	Ault, Julie	Freedom Club: Two Cabins by James Benning			10,000	10,000	Individual

# Grants 2010

Grant #	Grantee Name and Address	Project Title	Approved		Approved		Tax Status
			Prior to 2010	Paid 2010	2010	Paid 2010	
2010-0005	New York, NY Balmori, Diana	Curiosity and Conviction A Landscape Manifesto			10,000	10,000	Individual
2010-0006	New York, NY Basar, Shumon	World World World!			10,000	10,000	Individual
2010-0007	London, England Belanger, Zackery/Flohr, Julie	Arête Space			7,500	7,500	Individual
2010-0008	Chicago, IL Bluestone, Daniel	Buildings, Landscapes, and Memory. Case Studies in Historic Preservation			7,500	7,500	Individual
2010-0009	Brooklyn, NY Borysevicz, Mathieu Aleksandr	Learning from Hangzhou			5,000	5,000	Individual
2010-0010	Shanghai, China Bremner, Lindsay	Writing the City into Being:			15,000	15,000	Individual
	Philadelphia, PA	Essays on Johannesburg, 1998-2008					
2010-0011	Carbone, Cristina Marie Louisville, KY	After the Fair. Surviving Architecture from America's Nineteenth Century World's Fairs			5,000	5,000	Individual
2010-0012	Carroll, Mary Ellen New York, NY	prototype 180			10,000	10,000	Individual
2010-0013	Craig, Patsy/Nicholls, Jonathan London, England	The Chandigarh Catalogues			6,500	6,500	Individual
2010-0014	DiCarlo, Tina M London, England	Exhibition ism			6,000	6,000	Individual
2010-0015	Doering, Frank Lexington, KY	Landscape Lab: Shaping Terrain and Attitudes after Coal Mining			7,500	7,500	Individual
2010-0016	Douglas, Samuel Wainwright Austin, TX	Citizen Architect. Samuel Mockbee and the Spirit of the Rural Studio			4,500	4,500	Individual
2010-0017	Fischer, Lars/Forster,	Arts for Living: Public			7,500	7,500	Individual

# Grants 2010

Grant #	Grantee Name and Address	Project Title	Approved		Approved		Tax Status
			Prior to 2010	Paid 2010	2010	Paid 2010	
	Kim/Ibañez, Maria/Rouhe, Todd New York, NY	Architecture and Architectural Education					
2010-0018	Friedman, Alice T. Wellesley, MA	Spatial Installations. Midcentury Modern Sculpture and the Poetics of Architecture	5,000	5,000	5,000	5,000	Individual
2010-0019	Friedman, David Jamaica Plain, MA	Mapping the City. The "Libri di Case" (Estate books) of Roman Religious Institutions and the Description of Urban Space in the Sixteenth through Eighteenth Centuries	7,500	7,500	7,500	7,500	Individual
2010-0020	Gandy, Matthew London, England	The Fabric of Space: Water, Modernity, and the Urban Imagination	7,500	7,500	7,500	7,500	Individual
2010-0021	Gardner, Robert Cambridge, MA	Josep Lluis Sert. Architect to the Arts	10,000	10,000	10,000	10,000	Individual
2010-0022	Goldman, Jasper Benjamin Brooklyn, NY	Havana Documentary	10,000	10,000	10,000	10,000	Individual
2010-0023	Gonzalez, Robert Alexander El Paso, TX	Designing Pan-America: U.S. Architectural Visions for the Western Hemisphere	5,000	5,000	5,000	5,000	Individual
2010-0024	Grima, Joseph Brooklyn, NY	Supermayors	10,000	10,000	10,000	10,000	Individual
2010-0025	Harris, Richard Hamilton, ON	The Rise of the Home Improvement Industry, 1918-1960	7,500	7,500	7,500	7,500	Individual
2010-0026	Hession, Jane King Alexandria, VA	The Houses of Lisl Close. A Life in Modern Architecture	5,000	5,000	5,000	5,000	Individual
2010-0027	Jones, Christine Anne	China in France: The	4,500	4,500	4,500	4,500	Individual

# Grants 2010

Grant #	Grantee Name and Address	Project Title	Approved		Approved		Tax Status
			Prior to 2010	Paid 2010	2010	Paid 2010	
2010-0028	Salt Lake City, UT Jones, Peter Lloyd/Sabin, Jenny E	Geo-Politics of Design on the Trianon de porcelaine LabBook			10,000	10,000	Individual
2010-0029	Philadelphia, PA Katan, Roger/Shiffman, Ronald	Building Together			7,500	7,500	Individual
2010-0030	Sauve, France Klein, Norman M	The Imaginary 20th Century			10,000	10,000	Individual
2010-0031	Valencia, CA Krishnamurthy, Prem	Klaus Wittkugel and East			7,500	7,500	Individual
2010-0032	New York, NY Lamster, Mark	German Design			10,000	10,000	Individual
2010-0033	Brooklyn, NY Lupkin, Paula	Philip Johnson: A Biography			7,500	7,500	Individual
2010-0034	Fort Worth, TX Mackey, Bill	The Great Southwest.					
2010-0035	Tucson, AZ Manaque Benton, Caroline	Trade, Territory, and Regional Architecture			4,000	4,000	Individual
2010-0036	Paris, France McGuirk, Justin Lawrence	French Encounters with the American Counter-Culture			6,000	6,000	Individual
2010-0037	London, England Miss, Mary	1960-1980 Learning from Latin America			6,500	6,500	Individual
2010-0038	New York, NY Mitnick, Keith	Broadway: 1000 Steps			10,000	10,000	Individual
2010-0039	Ann Arbor, MI Monteyne, David	Rainy Sea Architecture			7,500	7,500	Individual
2010-0040	Calgary, AB Morris, James	Fallout Shelter: Designing for Civil Defense in the Cold War			6,500	6,500	Individual
2010-0041	Llanbedr Pont Steffan, Ceredigion, United Kingdom	A Landscape of Wales			7,500	7,500	Individual
	Murphy, Ben	Dispossessed: A Photographic			7,500	7,500	Individual

## Grants 2010

Grant #	Grantee Name and Address	Project Title	Approved		Approved		Tax Status
			Prior to 2010	Paid 2010	2010	Paid 2010	
	London, England	Exploration of the Temporary Makeshift Dwellings and Environments Created by Homeless People in the West Coast States of America Patterns and Structure Selected Writings 1972-2008 Chicago's Historic Hyde Park Weaving America's Urban Fabric The Outdoor Office			10,000	10,000	Individual
2010-0042	Nordenson, Guy New York, NY				5,000	5,000	Individual
2010-0043	O'Connor, Susan A Chicago, IL				7,500	7,500	Individual
2010-0044	Olivares, Jonathan Boston, MA				4,500	4,500	Individual
2010-0045	Osman, Suleiman Washington, DC						
2010-0046	Pare, Richard Montclair, NJ				10,000	10,000	Individual
2010-0047	Pelkonen, Eeva-Liisa New Haven, CT				10,000	10,000	Individual
2010-0048	Rohan, Timothy M. Amherst, MA				10,000	10,000	Individual
2010-0049	Rozen, Andrei Oakland, CA				10,000	10,000	Individual
2010-0050	Ruchala, Frank Brooklyn, NY				5,000	5,000	Individual
2010-0051	Sen, Arijit H				6,000	6,000	Individual

# Grants 2010

Grant #	Grantee Name and Address	Project Title	Approved		Approved		Tax Status
			Prior to 2010	Paid 2010	2010	Paid 2010	
2010-0052	Milwaukee, WI	Performance of Immigrant World Making					
	Shaw, Diane	The Rural Awakening			5,000	5,000	Individual
	Pittsburgh, PA	Village Improvement Movement in Early					
		20th-Century Vermont					
	Sheridan, Michael	Light Years: The Golden Age of the Danish House			7,500	7,500	Individual
2010-0053	Brooklyn, NY	Beth Shalom: Frank Lloyd Wright and Modern Religious Architecture			7,500	7,500	Individual
	Siry, Joseph Michael						
2010-0054	Middletown, CT						
	Solomonson, Katherine	Cass Gilbert in the West.			10,000	10,000	Individual
2010-0055	Minneapolis, MN	Architect of Intersecting Worlds					
2010-0056	Stratigakos, Despina Maria	Hitler at Home			7,500	7,500	Individual
	Buffalo, NY						
2010-0057	Suh, Do-Ho	A Perfect Home. The			12,500	12,500	Individual
	New York, NY	Bridge Project					
2010-0058	Urbach, Henry	Philip Johnson. Beyond			10,000	10,000	Individual
	San Francisco, CA	Original					
2010-0059	Van Duzer, Eric/Van Duzer, Leslie	Architecture and the Art of Deception			5,000	5,000	Individual
2010-0060	Vancouver, BC, Canada	Richard Haag. Urban Ecological			12,500	12,500	Individual
	Way, Thaisa	Design as Pedagogy, Activism, and Design					
2010-0061	Washington, DC	Forensic Architecture			15,000	15,000	Individual
	Weizman, Eyal						
2010-0062	London, England						
	Weizman, Ines Anette	Architecture's Dissidents,			7,500	7,500	Individual

# Grants 2010

Grant #	Grantee Name and Address	Project Title	Approved		Approved		Tax Status
			Prior to 2010	Paid 2010	2010	Paid 2010	
2010-0063	London, England Renaissance Society at the University of Chicago Chicago, IL	Critical Practices during the Melt-down of the Soviet Bloc The Seductiveness of the Interval			20,000	20,000	501(c)(3)
2010-0064	Serpentine Gallery London, England	The Serpentine Gallery Pavilion 2010			20,000	20,000	Other
2010-0065	306090 Inc. New York, NY	306090 Books			5,000	5,000	501(c)(3)
2010-0066	Archeworks Chicago, IL	Mobile Food Collective: Bringing People to the Table			10,000	10,000	501(c)(3)
2010-0067	Architect Pasadena, CA	Bracket 2 [Soft Systems]			5,000	5,000	pending
2010-0068	The Architecture Foundation London, England	Critical Infrastructures. New American Approaches to Research and Education as Civic Activism			10,000	10,000	Other
2010-0069	AREA Chicago Chicago, IL	Grid City A Blog about Urban Planning in Chicago			10,000	10,000	Other
2010-0070	The Art Institute of Chicago Chicago, IL	Hyper Links between Architecture and Design			15,000	15,000	501(c)(3)
2010-0071	Artists Space New York, NY	Sean Snyder			5,000	5,000	501(c)(3)
2010-0072	Association of Collegiate Schools of Architecture, Inc Washington, DC	Architecture School Three Centuries of Architectural Education in North America			15,000	15,000	501(c)(3)
2010-0073	Bard Graduate Center New York, NY	Knoll Textiles, 1945-2010			10,000	10,000	501(c)(3)
2010-0074	California Institute of the Arts Valencia, CA	Decolonizing Architecture			10,000	10,000	501(c)(3)
2010-0075	Chicago Architecture	Architecture is			10,000	10,000	501(c)(3)



# Grants 2010

Grant #	Grantee Name and Address	Project Title	Approved		Approved		Tax Status
			Prior to 2010	Paid 2010	2010	Paid 2010	
2010-0076	Foundation Chicago, IL Chicago Cultural Center Foundation Chicago, IL	Global Citizen: The Architecture of Moshe Safdie			15,000	15,000	501c(3)
2010-0077	Columbia University-Graduate School of Architecture, Planning and Preservation New York, NY	Environments Counter Environments: Experimental Media in Italy. The New Domestic Landscape, MoMA, 1972			10,000	10,000	501c(3)
2010-0078	Cubitt Artists Ltd London, England	Design Research Unit			5,000	5,000	Other
2010-0079	The Drawing Center New York, NY	Paul Rudolph: Lower Manhattan Expressway			10,000	10,000	501c(3)
2010-0080	Frank Lloyd Wright Preservation Trust Oak Park, IL	Projecting Modern			5,000	5,000	501c(3)
2010-0081	Harvard University-Graduate School of Design Cambridge, MA	Design Politics Reader A Cambridge Talks Handbook for Architectural Studies			15,000	15,000	501c(3)
2010-0082	Laumeier Sculpture Park St Louis, MO	Camp Out: Finding Home in an Unstable World			10,000	10,000	501c(3)
2010-0083	LAXART Los Angeles, CA	Chromatic Circus Santiago Borja, Edgar Orlaneta, Tilman Wendland, and Necorita			7,500	7,500	501c(3)
2010-0084	Los Angeles Forum for Architecture and Urban Design Los Angeles, CA	Los Angeles Forum Retrospective			10,000	10,000	501c(3)
2010-0085	MacDowell Colony, Inc Peterborough, NH	Graham Foundation Fellowship for Architects at The MacDowell Colony			8,000	8,000	501c(3)

# Grants 2010

Grant #	Grantee Name and Address	Project Title	Approved		Approved		Tax Status
			Prior to 2010	Paid 2010	2010	Paid 2010	
2010-0086	Midway Contemporary Art Minneapolis, MN	Karthik Pandian: Before the Sun			5,000	5,000	501c(3)
2010-0087	Mills College-Mills College Art Museum Oakland, CA	Sarah Oppenheimer			15,000	15,000	501c(3)
2010-0088	Nevada Museum of Art Reno, NV	Landscape Futures: Instruments, Devices, and Architectural Inventions			10,000	10,000	501c(3)
2010-0089	New York Foundation for Architecture-Center for Architecture Foundation New York, NY	Jugaad Urbanism: Resourceful Strategies for Indian Cities			10,000	10,000	501c(3)
2010-0090	Providence Productions International Brooklyn, NY	Nancy Holt: Sightlines			10,000	10,000	501c(3)
2010-0091	The Art Institute of Chicago-School of the Art Institute of Chicago Chicago, IL	Chicago Makes Modernism			10,000	10,000	501c(3)
2010-0092	Smithsonian Institution-Hirshhorn Museum and Sculpture Garden Washington, DC	Go Forth and Inflate: The Pavilion for Cultural Dialogue at the Hirshhorn Museum and Sculpture Garden			10,000	10,000	Other
2010-0093	Syracuse University-School of Architecture Syracuse, NY	Formerly Urban: Projecting Rust Belt Futures			10,000	10,000	501c(3)
2010-0094	University of California-University of California Press Berkeley, CA	Schindler, Kings Road and Southern California Modernism			7,500	7,500	501c(3)
2010-0095	University of Oregon-Jordan	Giuseppe Vasi's Rome: Lasting			15,000	15,000	Other

# Grants 2010

Grant #	Grantee Name and Address	Project Title	Approved		Approved		Tax Status
			Prior to 2010	Paid 2010	2010	Paid 2010	
2010-0096	Schnitzer Museum of Art Eugene, OR	Impressions from the Age of the Grand Tour					
	University of Pennsylvania-Institute of Contemporary Art Philadelphia, PA	Anne Tyng			20,000	20,000	501c(3)
	University of Texas at Austin-School of Architecture Austin, TX	CENTER 16: Space + Psyche			7,500	7,500	501c(3)
2010-0098	University of Texas at Austin-Harry Ransom Center Austin, TX	I Have Seen the Future: Norman Bel Geddes Designs America			15,000	15,000	Other
2010-0099	Washington University in St Louis-Mildred Lane Kemper Art Museum	Tomás Saraceno. Cloud-Specific			10,000	10,000	501c(3)
	Saint Louis, MO						
2010-0100	Yale University-Yale Center for British Art New Haven, CT	James Frazer Stirling. Notes from the Archive			15,000	15,000	501c(3)
2010-0101	Betsky, Aaron Cincinnati, OH	What Is Modern: An Opinated History of Modernism			6,250	6,250	Individual
	Sunwoo, Irene New York, NY	Alvin Boyarsky's 'Well-Laid Table' Experiments in Architectural Pedagogy			20,000	20,000	Individual
2010-0103	Cheng, Irene Chun-I Syracuse, NY	Forms of Function Self-Culture, Geometry, and Octagon Architecture in Antebellum America			15,000	15,000	Individual
2010-0104	Guy, Richard John	First Spaces of Colonialism: The			1,000	1,000	Individual

# Grants 2010

Grant #	Grantee Name and Address	Project Title	Approved		Approved		Tax Status
			Prior to 2010	Paid 2010	2010	Paid 2010	
2010-0105	Elmira, NY  Lopez, John Fabian Cambridge, MA	Architecture of the Dutch East India Company Ship, 1602-1783					
		The Hydrographic City: Mapping Mexico City's Urban Form in Relation to its Aquatic Condition, 1521-1700			1,000	1,000	Individual
2010-0106	Renner, Andrea New York, NY	The Design of Development U S Housing Programs in Latin America and the Caribbean, 1949-1973			1,000	1,000	Individual
2010-0107	Veneskey, Laura Elizabeth Emmaus, PA	Alternative Topographies: 'Loca Sancta' Surrogates and Site Circulation in Byzantium Mies Larger than Life			1,000	1,000	Individual
2010-0108	Mertins, Detlef Philadelphia, PA				15,000	15,000	Individual
Grand Totals			81,000	36,000	975,750	975,750	
		Total Grants paid in 2010				1,011,750	
		Grants cancelled/returned				(35,860)	
		Grants paid in 2010, approved prior to 2010				(20,000)	
		2010 Grant Expense				955,890	

Graham Foundation  
for Advanced Studies in the Fine Arts  
36-2356089

### **How to Apply For a Grant**

The Graham Foundation makes project-based grants to individuals and organizations doing innovative work that will impact architecture and related fields and will lead to the public dissemination of ideas in the form of independent research, publications, exhibitions, public programming and other activities.

The Foundation has an open submission policy.

There are two application deadlines a year: spring and fall.

Application guidelines and forms are available on our website:  
[www.grahamfoundation.org](http://www.grahamfoundation.org)

Awards are announced within 150 days of the application deadline.

Tax-exempt organizations must provide a copy of the IRS determination letter which indicates the particular paragraph of the Internal Revenue Code that governs their exempt activities.

Information on awarded projects (grantees, project information and award amounts) is available on our website: [www.grahamfoundation.org](http://www.grahamfoundation.org)

### **General Restrictions:**

The Graham Foundation will not fund:

- Endowment
- Annual general operating expenses
- Construction or professional fees in connection with construction
- Scholarship aid for students in pursuit of academic degrees
- Debt or expenses incurred prior to the date of grant request

For more information please see our website: [www.grahamfoundation.org](http://www.grahamfoundation.org) or contact our staff at [info@grahamfoundation.org](mailto:info@grahamfoundation.org) or 312-787-4071.

We may also be reached by mail at:

Graham Foundation  
for Advanced Studies in the Fine Arts  
4 West Burton Place  
Chicago, IL 60610

# Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file)** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of exempt organization	GRAHAM FOUNDATION FOR ADVANCED STUDIES IN THE FINE ARTS	Employer identification number	36-2356089
	Number, street, and room or suite no. If a P.O. box, see instructions			
	4 WEST BURTON			
	City, town or post office, state, and ZIP code. For a foreign address, see instructions			
CHICAGO, IL 60610-1416				

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► SARAH HERDA

Telephone No. ► 312 787-4071

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 20 10 or
- ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$
c <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2011)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box. ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization GRAHAM FOUNDATION FOR ADVANCED STUDIES IN THE FINE ARTS	Employer identification number 36-2356089
	Number, street, and room or suite no. If a P.O. box, see instructions 4 WEST BURTON	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions CHICAGO, IL 60610-1416	

Enter the Return code for the return that this application is for (file a separate application for each return)  

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**


- The books are in the care of ☒ SARAH HERDA  
Telephone No.  FAX No.
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until 11/15, 2011
- 5 For calendar year 2010, or other tax year beginning                     , 20           , and ending                     , 20
- 6 If the tax year entered in line 5 is for less than 12 months, check reason. ☐ Initial return ☐ Final return  
☐ Change in accounting period
- 7 State in detail why you need the extension ADDITIONAL TIME IS REQUIRED TO GATHER THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	0.
c <b>Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	0.

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title CPA Date 7-12-11

Form 8868 (Rev. 1-2011)

INTERNAL REVENUE SERVICE  
WEL-FIELD ASSISTANCE  
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