Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation THE BABCOX FAMILY FOUNDATION, INC.	**A Employer identification number** 34-1787178
Number and street (or P O box number if mail is not delivered to street address) 3550 EMBASSY PARKWAY / Room/suite	B Telephone number 330-762-9785
City or town, state, and ZIP code AKRON, OH 44333	C If exemption application is pending, check here ▶☐
	D 1. Foreign organizations, check here ▶☐
	2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (*from Part II, col (c), line 16*) ▶$ 1,474,519.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (*Part I, column (d) must be on cash basis.*)

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	10.	10.		STATEMENT 1
	4	Dividends and interest from securities	43,458.	43,458.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	18,781.			
	b	Gross sales price for all assets on line 6a 423,650.				
	7	Capital gain net income (from Part IV, line 2)		18,781.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	62,249.	62,249.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 3	2,324.	577.		116.
	c	Other professional fees STMT 4	5,295.	5,295.		0.
	17	Interest				
	18	Taxes STMT 5	428.	428.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 6	200.	0.		0.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	8,247.	6,300.		116.
	25	Contributions, gifts, grants paid	63,000.			63,000.
	26	**Total expenses and disbursements.** Add lines 24 and 25	71,247.	6,300.		63,116.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	<8,998.>			
	b	**Net investment income** (if negative, enter -0-)		55,949.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	77,088.	33,897.	33,897.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 7	1,338,966.	1,373,159.	1,440,622.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	1,416,054.	1,407,056.	1,474,519.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	1,416,054.	1,407,056.	
	30	**Total net assets or fund balances**	1,416,054.	1,407,056.	
	31	**Total liabilities and net assets/fund balances**	1,416,054.	1,407,056.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,416,054.
2	Enter amount from Part I, line 27a	2	<8,998.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,407,056.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,407,056.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	423,650.		404,869.	18,781.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				18,781.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	18,781.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	70,719.	1,267,615.	.055789
2008	81,552.	1,445,251.	.056428
2007	78,897.	1,686,327.	.046786
2006	77,517.	1,631,866.	.047502
2005	78,066.	1,601,021.	.048760

2 Total of line 1, column (d)	2	.255265
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051053
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	1,396,913.
5 Multiply line 4 by line 3	5	71,317.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	559.
7 Add lines 5 and 6	7	71,876.
8 Enter qualifying distributions from Part XII, line 4	8	63,116.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,119.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	1,119.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,119.
6	Credits/Payments:				
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	459.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,220.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	1,679.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	560.
11	Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ 560.	**Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BOBER, MARKEY, FEDOROVICH & COMPANY Telephone no. ▶ (330) 762-9785

Located at ▶ 3421 RIDGEWOOD ROAD SUITE 300, AKRON, OH ZIP+4 ▶ 44333-3119

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0.
2	
All other program-related investments. See instructions. 3 NONE	0.
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,362,693.
b	Average of monthly cash balances	1b	55,493.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,418,186.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,418,186.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	21,273.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,396,913.
6	**Minimum investment return.** Enter 5% of line 5	6	69,846.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	69,846.
2a	Tax on investment income for 2010 from Part VI, line 5 2a 1,119.		
b	Income tax for 2010. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	1,119.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	68,727.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	68,727.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	68,727.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	63,116.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	63,116.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	63,116.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				68,727.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			62,781.	
b Total for prior years: _____ , _____ , _____		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 63,116.				
a Applied to 2009, but not more than line 2a			62,781.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				335.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				68,392.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 9				
Total			▶ 3a	63,000.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	10.	
4 Dividends and interest from securities			14	43,458.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	18,781.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		62,249.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 62,249.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

x

Signature of officer or trustee

1x 6/27/11

Date

TRUSTEE

Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
[illegible] L. Fedorovich		5/31/2011		P00403148

Firm's name ▶ BOBER, MARKEY, FEDOROVICH & COMPANY

Firm's EIN ▶ 34-1523030

Firm's address ▶ 3421 RIDGEWOOD ROAD, SUITE 300
AKRON, OH 44333

Phone no. (330)762-9785

Form 990-PF (2010)

THE BABCOX FAMILY FOUNDATION, INC.

CONTINUATION FOR 990-PF, PART IV
34-1787178 PAGE 1 OF 1

Part IV **Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NWQ ACCT # AEX-942899 SEE ATTACHMENT	P	01/01/10	12/31/10
b	NWQ ACCT # AEX-942899 SEE ATTACHMENT	P	01/01/00	12/31/10
c	DBAB/MANAGED SELECT ACCT # 5XM-870815 SEE ATTACHM	P	01/01/00	12/31/10
d	NFJ ACCT # AEX-942881 SEE ATTACHMENT	P	01/01/10	12/31/10
e	NFJ ACCT # AEX-942881 SEE ATTACHMENT	P	01/01/00	12/31/10
f	SMITH ACCT # AEX-942907 SEE ATTACHMENT	P	01/01/10	12/31/10
g	SMITH ACCT # AEX-942907 SEE ATTACHMENT	P	01/01/00	12/31/10
h	WELLS FARGO ACCT # AEX-942915 SEE ATTACHMENT	P	01/01/10	12/31/10
i	WELLS FARGO ACCT # AEX-942915 SEE ATTACHMENT	P	01/01/00	12/31/10
j	CAPITAL GAINS DIVIDENDS			
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,970.		3,921.	1,049.
b	15,681.		15,410.	271.
c	20,055.		19,344.	711.
d	27,200.		27,894.	<694.>
e	102,530.		107,641.	<5,111.>
f	65,097.		63,273.	1,824.
g	36,497.		28,663.	7,834.
h	124,581.		120,349.	4,232.
i	21,623.		18,374.	3,249.
j	5,416.			5,416.
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				1,049.
b				271.
c				711.
d				<694.>
e				<5,111.>
f				1,824.
g				7,834.
h				4,232.
i				3,249.
j				5,416.
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	18,781.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

023591
05-01-10

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
FIRST MERIT	10.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	10.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MANAGED-DB 5XM-870815	38,188.	5,375.	32,813.
NFJ-DB AEX-942881	6,591.	41.	6,550.
NWQ-DBAEX-942899	1,903.	0.	1,903.
SMITH-DB AEX-942907	1,807.	0.	1,807.
WELLS-DB AEX-942915	385.	0.	385.
TOTAL TO FM 990-PF, PART I, LN 4	48,874.	5,416.	43,458.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,300.	65.		65.
BOOKKEEPING SERVICES	1,024.	512.		51.
TO FORM 990-PF, PG 1, LN 16B	2,324.	577.		116.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	5,295.	5,295.		0.
TO FORM 990-PF, PG 1, LN 16C	5,295.	5,295.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	428.	428.		0.
TO FORM 990-PF, PG 1, LN 18	428.	428.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEE	200.	0.		0.
TO FORM 990-PF, PG 1, LN 23	200.	0.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
WACHOVIA SECURITIES	1,373,159.	1,440,622.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,373,159.	1,440,622.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM EDWARD BABCOX 3550 EMBASSY PARKWAY AKRON, OH 44333	TRUSTEE 0.00	0.	0.	0.
MARY REBECCA BABCOX 3550 EMBASSY PARKWAY AKRON, OH 44333	TRUSTEE 0.00	0.	0.	0.
SARAH BABCOX HARRITY 3550 EMBASSY PARKWAY AKRON, OH 44333	TRUSTEE 0.00	0.	0.	0.
LUCY B. MORRIS 3550 EMBASSY PARKWAY AKRON, OH 44333	TRUSTEE 0.00	0.	0.	0.
TOM B BABCOX, JR 3550 EMBASSY PARKWAY AKRON, OH 44333	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

STATEMENT 9

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ACCESS INC PO BOX 1007 AKRON, OH 44309	NONE COMMUNITY SERVICE	PUBLIC CHARITY	5,000.
AKRON GENERAL DEVELOPMENT FOUNDATION 400 WABASH AVENUE AKRON, OH 44307	NONE COMMUNITY SERVICE	PUBLIC CHARITY	5,000.
AKRON TRI-COUNTY ALZHEIMER'S ASSOCIATION 1815 W MARKET STREET SUITE 300 AKRON, OH 44313	NONE MEDICAL	PUBLIC CHARITY	8,000.
BATTERED WOMEN'S SHELTER 759 W MARKET STREET AKRON, OH 44303	NONE COMMUNITY SERVICE	PUBLIC CHARITY	5,000.
BOYS AND GIRLS CLUB 888 ELLER AVENUE AKRON, OH 44306	NONE COMMUNITY SERVICE	PUBLIC CHARITY	5,500.
EVANT INC. 2251 FRONT STREET SUITE 200 AKRON, OH 44221	NONE EDUCATION	PUBLIC CHARITY	5,000.
FIRST CONGREGATION CHURCH 292 E MARKET STREET AKRON, OH 44308	NONE RELIGIOUS	CHURCH	3,000.
PLANNED PARENTHOOD 444 W EXCHANGE STREET AKRON, OH 44302	NONE COMMUNITY SERVICE	PUBLIC CHARITY	3,500.

SALVATION ARMY 190 S MAPLE STREET AKRON, OH 44302	NONE COMMUNITY SERVICE	PUBLIC CHARITY	5,500.
STAN HYWET HALL & GARDENS 714 N PORTAGE PATH AKRON, OH 44303	NONE COMMUNITY SERVICE	PUBLIC CHARITY	3,000.
VICTIM ASSISTANCE PROGRAM 150 FURNACE STREET AKRON, OH 44304	NONE COMMUNITY SERVICE	PUBLIC CHARITY	4,500.
NATIONAL ATAXIA FOUNDATION 2600 FERNBROOK LANE, SUITE 119 MINNEAPOLIS, MN 55447	NONE MEDICAL	PUBLIC CHARITY	1,000.
DEPARTMENT OF NEUROLOGY - DEVELOPMENT OFFICE 1500 EAST MEDICAL CENTER DRIVE ANN ARBOR, MI 48109	NONE MEDICAL	PUBLIC CHARITY	9,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			63,000.

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/08/10	02/13/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	10.000	428.99	520.99	92.00
01/12/10	02/13/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	7.000	300.30	367.93	67.63
01/12/10	04/14/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	1.000	36.78	52.56	15.78
01/14/10	04/14/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	7.000	257.45	370.79	113.34
01/20/10	04/14/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	5.000	183.90	267.46	83.56
01/20/10	05/08/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	9.000	342.44	481.43	138.99
03/25/10	08/03/09	SELL	NINTENDO LTD ADR	NTDOY	6.000	203.70	247.31	43.61
05/18/10	10/28/09	SELL	REXAM PLC SPONSORED ADR NEW	REXMY	16.000	362.85	364.51	1.66
05/20/10	04/30/10	SELL	BP PLC SPONS ADR	BP	4.000	211.88	179.20	(32.68)
07/01/10	01/11/10	SELL	ASTRAZENECA PLC SPONSORED ADR	AZN	4.000	190.53	187.58	(2.95)
07/15/10	06/22/10	SELL	BP PLC SPONS ADR	BP	8.000	238.73	298.47	59.74
08/04/10	06/22/10	SELL	BP PLC SPONS ADR	BP	11.000	328.26	434.49	106.23
11/03/10	06/22/10	SELL	BP PLC SPONS ADR	BP	9.000	268.58	379.65	111.07
11/09/10	06/22/10	SELL	BP PLC SPONS ADR	BP	10.000	298.42	430.22	131.80
11/10/10	06/22/10	SELL	BP PLC SPONS ADR	BP	9.000	268.57	386.91	118.34
Total Short Term						3,921.38	4,969.50	1,048.12

Recipient's Name and Address:

THE BABCOX FAMILY FOUNDATION
NWQ

Account Number: AEX-942899

Recipient's Identification
Number: 34-1787178

2010 TAX and
YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
01/21/10	02/03/06	SELL	KOREA ELEC PWR CO SPONSORED ADR RPSTG	KEP	9.000	192.96	161.41	(31.55)
02/22/10	10/30/08	SELL	TECHNIP SPONS ADR ISIN#US8785462099	TKPPY	11.000	308.45	805.98	497.53
03/05/10	02/03/06	SELL	FUJIFILM HLDGS CORP ADR 2 ORD	FUJIY	16.000	532.96	515.25	(17.71)
03/05/10	02/08/06	SELL	SHISEIDO LTD SPON ADR	SSDOY	11.000	192.36	246.59	54.23
04/08/10	11/29/06	SELL	TOPPAN PRTG LTD ADR	TONPY	18.000	948.49	808.18	(140.31)
04/22/10	10/21/08	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	1.000	34.69	66.16	31.47
04/22/10	12/11/08	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	11.000	353.01	727.72	374.71
05/07/10	04/30/08	SELL	BARRICK GOLD CORP COM	ABX	9.000	343.57	387.21	43.64
05/10/10	06/05/08	SELL	MAGNA INTERNATIONAL INC COM	MGA	6.000	417.12	434.20	17.08
05/13/10	12/24/08	SELL	SEVEN & I HLDGS CO LTD ADR	SVNDY	2.000	126.09	100.50	(25.59)
05/13/10	01/07/09	SELL	SEVEN & I HLDGS CO LTD ADR	SVNDY	5.000	295.09	251.24	(43.85)
05/13/10	01/08/09	SELL	SEVEN & I HLDGS CO LTD ADR	SVNDY	1.000	59.17	50.25	(8.92)
05/20/10	02/15/08	SELL	AEGON N V ORD AMER REG	AEG	29.000	399.20	171.73	(227.47)
05/20/10	10/17/08	SELL	AEGON N V ORD AMER REG	AEG	24.000	120.45	142.12	21.67
05/20/10	02/20/09	SELL	AEGON N V ORD AMER REG	AEG	74.000	306.09	438.22	132.13
05/20/10	02/26/07	SELL	BP PLC SPONS ADR	BP	13.000	836.49	582.39	(254.10)
05/20/10	06/01/07	SELL	BP PLC SPONS ADR	BP	18.000	1,214.74	806.38	(408.36)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
06/01/10	02/28/08	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	8.000	269.96	335.15	65.19
06/22/10	02/08/06	SELL	UNITED UTILS GROUP PLC SPON ADR	UUGRY	21.000	663.10	324.94	(338.16)
06/22/10	12/02/08	SELL	UNITED UTILS GROUP PLC SPON ADR	UUGRY	42.000	722.83	649.88	(72.95)
07/06/10	12/14/06	SELL	SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM	SGAMY	111.000	735.17	404.34	(330.83)
07/15/10	05/23/06	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	24.000	168.68	402.04	233.36
07/15/10	05/23/06	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	3.000	21.09	50.26	29.17
07/16/10	02/08/06	SELL	SHISEIDO LTD SPON ADR	SSDOY	17.000	297.27	379.10	81.83
07/20/10	02/08/06	SELL	SWISSCOM SPON ADR	SCMWY	12.000	368.61	440.45	71.84
08/10/10	10/20/08	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	10.000	113.22	118.55	5.33
08/10/10	01/15/09	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	9.000	73.55	106.70	33.15
09/13/10	06/23/08	SELL	MAGNA INTERNATIONAL INC COM	MGA	3.000	196.13	236.59	40.46
09/27/10	06/23/08	SELL	MAGNA INTERNATIONAL INC COM	MGA	2.000	130.75	159.52	28.77
09/28/10	07/08/09	SELL	KINROSS GOLD CORP COM NO PAR	KGC	18.000	323.30	341.68	18.38
10/05/10	02/03/06	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS B	7.000	477.33	416.72	(60.61)
10/08/10	02/08/06	SELL	ALUMINA LTD SPONSORED ADR	AWC	24.000	520.32	187.28	(333.04)
10/18/10	06/23/08	SELL	MAGNA INTERNATIONAL INC COM	MGA	1.000	65.38	87.10	21.72
10/18/10	07/10/08	SELL	MAGNA INTERNATIONAL INC COM	MGA	2.000	112.60	174.21	61.61

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/18/10	12/14/06	SELL	SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM	SGAMY	27.000	178.83	105.22	(73.61)
10/18/10	01/16/07	SELL	SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM	SGAMY	130.000	914.08	506.63	(407.45)
10/18/10	05/15/07	SELL	SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM	SGAMY	124.000	595.19	483.24	(111.95)
10/28/10	07/11/06	SELL	NEWCREST MINING LTD ADR	NCMGY	6.000	92.56	229.87	137.31
11/02/10	05/24/06	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	5.000	121.91	137.85	15.94
11/02/10	08/03/06	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	4.000	87.52	110.28	22.76
11/10/10	10/28/09	SELL	EMBRAER EMPRESA N/C EFF 11/23/10	29081M102	13.000	295.11	386.76	91.65
11/11/10	05/23/06	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	31.000	217.93	805.65	587.72
11/26/10	08/07/08	SELL	CAMECO CORP COM ISIN#CA13321L1085	CCJ	12.000	394.42	443.53	49.11
11/26/10	09/11/08	SELL	CAMECO CORP COM ISIN#CA13321L1085	CCJ	9.000	215.40	332.64	117.24
12/06/10	04/30/08	SELL	BARRICK GOLD CORP COM	ABX	2.000	76.35	109.02	32.67
12/06/10	09/16/08	SELL	BARRICK GOLD CORP COM	ABX	4.000	110.73	218.04	107.31
12/13/10	07/10/08	SELL	MAGNA INTERNATIONAL INC COM	MGA	6.000	168.89	302.64	133.75
Total Long Term						**15,409.14**	**15,681.41**	**272.27**
Total Short Term and Long Term						**19,330.52**	**20,650.91**	**1,320.39**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

Recipient's Name and Address:

THE BABCOX FAMILY FOUNDATION INC
278 HOLLYWOOD AVE

Account Number: 5XM-870815

Recipient's Identification
Number: 34-1787178

2010 TAX and
YEAR-END STATEMENT

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
12/08/10	02/21/06	SELL	ISHARES TR BARCLAYS TIPS BD FD	TIP	94.000	9,644.40	10,055.95	411.55
12/08/10	02/21/06	SELL	*PIMCO TOTAL RETURN FUND INSTITUTIONAL	PTTRX	142.003	1,483.93	1,533.63	49.70
12/08/10	02/28/06	SELL	*PIMCO TOTAL RETURN FUND INSTITUTIONAL	PTTRX	783.923	8,215.51	8,466.37	250.86
Total Long Term						**19,343.84**	**20,055.95**	**712.11**
Total Short Term and Long Term						**19,343.84**	**20,055.95**	**712.11**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes** - If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.
- **Corporate Actions** - For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action.
- **Cost Basis Service Enrollment** - The Schedule of Realized Gains and Losses will only be displayed for closing transactions during the period the account was enrolled in the cost basis service. However, proceeds will be reported on the 1099 for the full year.
- **Cost Basis Service Reenrollment** - The Schedule of Realized Gains and Losses provides you with the activity after your account was reactivated in the cost basis service. However, proceeds will be reported on the 1099 for the full year.
- **Fixed Income** - Maturities and Redemptions for short-term instruments (maturities of less than one year) are included in the Schedule of Realized Gains and Losses. However, this income is reported to the IRS on Form 1099-INT.

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SECURITIES PURCHASED

(Continued)

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
				1,829.64		
Total				53,142.05	0.00	

Securities Purchased. Securities purchased through your account during 2010 are displayed in this section. We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities The net cost displayed includes commissions and fees. If the security purchased is a debt instrument, the net cost does not include accrued interest purchased. Accrued interest purchased is reported separately in this section of your Tax Information Statement. The cost of securities purchased during 2010 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/11/10	05/28/09	SELL	BECTON DICKINSON & CO	BDX	45.000	3,016.79	3,510.56	493.77
02/16/10	04/14/09	SELL	VALERO ENERGY CORP NEW COM	VLO	15.000	308.23	267.47	(40.76)
02/16/10	04/16/09	SELL	VALERO ENERGY CORP NEW COM	VLO	50.000	1,084.22	891.58	(192.64)
02/16/10	04/21/09	SELL	VALERO ENERGY CORP NEW COM	VLO	35.000	715.56	624.11	(91.45)
02/16/10	04/23/09	SELL	VALERO ENERGY CORP NEW COM	VLO	35.000	752.32	624.11	(128.21)
03/30/10	10/28/09	SELL	NORTHROP GRUMMAN CORP (PREVIOUSLY	NOC	15.000	762.31	980.83	218.52
07/13/10	05/20/10	SELL	BAXTER INTL INC COM	BAX	42.000	1,760.09	1,841.24	81.15
07/13/10	11/13/09	SELL	CHESAPEAKE ENERGY CORP	CHK	57.000	1,424.48	1,231.17	(193.31)
07/13/10	06/02/10	SELL	COCA COLA COMPANY	KO	34.000	1,756.80	1,793.80	37.00
07/13/10	01/11/10	SELL	FREEPORT MCMORAN COPPER & GOLD INC	FCX	14.000	1,252.78	902.70	(350.08)

Recipient's Name and Address:

THE BABCOX FAMILY FOUNDATION
NFJ

Account Number: AEX-942881

Recipient's Identification
Number: 34-1787178

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	10/07/09	SELL	GOLDMAN SACHS GROUP INC COM	GS	8.000	1,511.25	1,128.86	(382.39)
07/13/10	11/11/09	SELL	INTEL CORP COM	INTC	89.000	1,760.05	1,870.74	110.69
07/13/10	03/17/10	SELL	LOCKHEED MARTIN CORP COM	LMT	20.000	1,699.06	1,510.57	(188.49)
07/13/10	01/27/10	SELL	LUBRIZOL CORP COM	LZ	24.000	1,936.68	2,141.96	205.28
07/13/10	08/26/09	SELL	MCGRAW HILL COMPANIES INC	MHP	43.000	1,375.65	1,323.08	(52.57)
07/13/10	10/28/09	SELL	NORTHROP GRUMMAN CORP (PREVIOUSLY	NOC	20.000	1,016.41	1,103.58	87.17
07/13/10	02/11/10	SELL	TOTAL S A SPONSORED ADR	TOT	14.000	790.99	694.93	(96.06)
08/27/10	10/07/09	SELL	GOLDMAN SACHS GROUP INC COM	GS	10.000	1,889.07	1,391.44	(497.63)
08/27/10	03/30/10	SELL	GOLDMAN SACHS GROUP INC COM	GS	4.000	686.16	556.58	(129.58)
09/23/10	01/11/10	SELL	FREEPORT MCMORAN COPPER & GOLD INC	FCX	6.000	536.90	506.70	(30.20)
12/06/10	06/02/10	SELL	COCA COLA COMPANY	KO	31.000	1,601.78	1,984.29	382.51
12/06/10	06/04/10	SELL	COCA COLA COMPANY	KO	5.000	256.49	320.05	63.56
Total Short Term						27,894.07	27,200.35	(693.72)
Long Term								
01/11/10	10/21/08	SELL	MICROSOFT CORP COM	MSFT	35.000	852.23	1,058.39	206.16
01/11/10	10/28/08	SELL	MICROSOFT CORP COM	MSFT	35.000	766.74	1,058.39	291.65
01/11/10	11/03/08	SELL	MICROSOFT CORP COM	MSFT	45.000	1,021.77	1,360.79	339.02
01/11/10	11/11/08	SELL	MICROSOFT CORP COM	MSFT	40.000	837.04	1,209.58	372.54

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/15/10	01/29/09	SELL	BOEING CO COM	BA	5.000	204.00	345.25	141.25
03/15/10	02/02/09	SELL	BOEING CO COM	BA	65.000	2,663.25	4,488.22	1,824.97
03/30/10	12/18/07	SELL	HOME DEPOT INC COM	HD	25.000	662.26	817.04	154.78
03/30/10	01/16/08	SELL	HOME DEPOT INC COM	HD	10.000	258.96	326.81	67.85
03/30/10	02/03/06	SELL	MATTEL INC	MAT	80.000	1,287.87	1,841.57	553.70
03/30/10	09/07/07	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	15.000	1,203.63	862.78	(340.85)
03/30/10	02/03/06	SELL	TRAVELERS COS INC COM	TRV	40.000	1,794.00	2,159.56	365.56
05/18/10	01/16/08	SELL	HOME DEPOT INC COM	HD	55.000	1,424.29	1,939.82	515.53
05/18/10	01/18/08	SELL	HOME DEPOT INC COM	HD	30.000	801.54	1,058.08	256.54
05/18/10	01/24/08	SELL	HOME DEPOT INC COM	HD	30.000	873.51	1,058.09	184.58
06/03/10	05/28/08	SELL	3M CO COM	MMM	15.000	1,147.74	1,189.50	41.76
06/03/10	06/03/08	SELL	3M CO COM	MMM	20.000	1,526.74	1,586.00	59.26
06/03/10	06/09/08	SELL	3M CO COM	MMM	15.000	1,131.50	1,189.50	58.00
07/08/10	02/03/06	CLEU	FRONTIER COMMUNICATIONS CORP	FTR	0.404	3.16	2.86	(0.30)
07/13/10	02/03/06	SELL	AT&T INC COM	T	45.000	1,201.95	1,124.98	(76.97)
07/13/10	10/30/06	SELL	AT&T INC COM	T	24.000	817.44	599.99	(217.45)
07/13/10	02/27/06	SELL	ALLSTATE CORP	ALL	10.000	549.48	295.00	(254.48)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/13/10	03/07/06	SELL	ALLSTATE CORP	ALL	10.000	543.18	295.00	(248.18)
07/13/10	03/10/06	SELL	ALLSTATE CORP	ALL	15.000	818.70	442.49	(376.21)
07/13/10	03/16/06	SELL	ALLSTATE CORP	ALL	10.000	549.13	295.00	(254.13)
07/13/10	10/30/06	SELL	ALLSTATE CORP	ALL	15.000	924.73	442.48	(482.25)
07/13/10	02/03/06	SELL	ALTRIA GROUP INC COM	MO	15.000	249.59	319.52	69.93
07/13/10	10/30/06	SELL	ALTRIA GROUP INC COM	MO	35.000	663.99	745.55	81.56
07/13/10	04/25/07	SELL	ALTRIA GROUP INC COM	MO	20.000	427.35	426.03	(1.32)
07/13/10	04/10/08	SELL	ALTRIA GROUP INC COM	MO	105.000	2,278.28	2,236.66	(41.62)
07/13/10	04/16/08	SELL	ALTRIA GROUP INC COM	MO	11.000	238.12	234.32	(3.80)
07/13/10	08/28/07	SELL	AMEREN CORP COM	AEE	30.000	1,495.23	752.99	(742.24)
07/13/10	09/13/07	SELL	AMEREN CORP COM	AEE	14.000	723.31	351.39	(371.92)
07/13/10	06/27/08	SELL	ANNALY CAP MANAGEMENT INC	NLY	15.000	227.22	274.07	46.85
07/13/10	07/09/08	SELL	ANNALY CAP MANAGEMENT INC	NLY	75.000	1,204.21	1,370.37	166.16
07/13/10	07/15/08	SELL	ANNALY CAP MANAGEMENT INC	NLY	80.000	1,149.78	1,461.72	311.94
07/13/10	07/17/08	SELL	ANNALY CAP MANAGEMENT INC	NLY	62.000	900.65	1,132.84	232.19
07/13/10	02/24/09	SELL	CENTURYLINK INC COM	CTL	26.500	661.29	921.39	260.10
07/13/10	03/02/09	SELL	CENTURYLINK INC COM	CTL	13.500	333.33	469.38	136.05

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/13/10	05/19/05	SELL	CHEVRON CORP NEW COM	CVX	5.000	260.45	366.89	106.44
07/13/10	02/03/06	SELL	CHEVRON CORP NEW COM	CVX	14.000	805.28	1,027.30	222.02
07/13/10	02/16/06	SELL	CONOCOPHILLIPS COM	COP	5.000	297.08	267.70	(29.38)
07/13/10	02/22/06	SELL	CONOCOPHILLIPS COM	COP	10.000	622.07	535.39	(86.68)
07/13/10	02/27/06	SELL	CONOCOPHILLIPS COM	COP	10.000	620.97	535.39	(85.58)
07/13/10	03/01/06	SELL	CONOCOPHILLIPS COM	COP	10.000	613.04	535.39	(77.65)
07/13/10	09/21/06	SELL	CONOCOPHILLIPS COM	COP	29.000	1,690.18	1,552.63	(137.55)
07/13/10	12/20/07	SELL	DIAMOND OFFSHORE DRILLING INC COM	DO	10.000	1,293.41	654.29	(639.12)
07/13/10	12/24/07	SELL	DIAMOND OFFSHORE DRILLING INC COM	DO	5.000	711.30	327.14	(384.16)
07/13/10	12/31/07	SELL	DIAMOND OFFSHORE DRILLING INC COM	DO	10.000	1,420.90	654.29	(766.61)
07/13/10	01/07/08	SELL	DIAMOND OFFSHORE DRILLING INC COM	DO	10.000	1,343.47	654.29	(689.18)
07/13/10	01/12/09	SELL	DIAMOND OFFSHORE DRILLING INC COM	DO	8.000	466.18	523.43	57.25
07/13/10	02/03/06	SELL	R R DONNELLEY & SONS CO COM	RRD	20.000	643.40	343.59	(299.81)
07/13/10	03/30/06	SELL	R R DONNELLEY & SONS CO COM	RRD	10.000	326.82	171.80	(155.02)
07/13/10	04/11/06	SELL	R R DONNELLEY & SONS CO COM	RRD	10.000	328.79	171.80	(156.99)
07/13/10	04/27/06	SELL	R R DONNELLEY & SONS CO COM	RRD	10.000	331.20	171.80	(159.40)
07/13/10	05/08/06	SELL	R R DONNELLEY & SONS CO COM	RRD	4.000	133.95	68.71	(65.24)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/13/10	03/04/09	SELL	EDISON INTERNATIONAL	EIX	25.000	625.42	828.49	203.07
07/13/10	03/10/09	SELL	EDISON INTERNATIONAL	EIX	20.000	498.77	662.79	164.02
07/13/10	03/16/09	SELL	EDISON INTERNATIONAL	EIX	7.000	201.93	231.97	30.04
07/13/10	10/30/06	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	20.000	1,066.99	715.79	(351.20)
07/13/10	05/30/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	50.000	2,601.09	1,789.47	(811.62)
07/13/10	06/06/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	18.000	918.90	644.20	(274.70)
07/13/10	01/21/09	SELL	HARRIS CORP DEL	HRS	20.000	814.71	881.78	67.07
07/13/10	01/29/09	SELL	HARRIS CORP DEL	HRS	15.000	653.82	661.34	7.52
07/13/10	02/09/09	SELL	HARRIS CORP DEL	HRS	2.000	88.17	88.18	0.01
07/13/10	07/02/09	SELL	HUDSON CITY BANCORP INC	HCBK	115.000	1,510.56	1,467.59	(42.97)
07/13/10	04/02/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	5.000	507.54	653.89	146.35
07/13/10	04/07/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	5.000	496.81	653.89	157.08
07/13/10	04/23/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	3.000	300.37	392.33	91.96
07/13/10	05/15/09	SELL	JOHNSON & JOHNSON COM	JNJ	24.000	1,326.39	1,453.89	127.50
07/13/10	02/03/06	SELL	KIMBERLY CLARK CORP	KMB	15.000	859.95	937.18	77.23
07/13/10	10/30/06	SELL	KIMBERLY CLARK CORP	KMB	9.000	596.43	562.31	(34.12)
07/13/10	04/23/07	SELL	KRAFT FOODS INC CL A	KFT	13.000	429.22	383.23	(45.99)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/13/10	05/02/07	SELL	KRAFT FOODS INC CL A	KFT	35.000	1,173.20	1,031.78	(141.42)
07/13/10	10/30/06	SELL	MARATHON OIL CORP COM	MRO	62.000	2,677.00	2,046.58	(630.42)
07/13/10	02/03/06	SELL	MATTEL INC	MAT	75.000	1,207.38	1,726.46	519.08
07/13/10	10/30/06	SELL	MATTEL INC	MAT	7.000	158.83	161.14	2.31
07/13/10	02/18/09	SELL	MEDTRONIC INC	MDT	20.000	687.61	759.59	71.98
07/13/10	02/23/09	SELL	MEDTRONIC INC	MDT	10.000	346.09	379.79	33.70
07/13/10	04/23/09	SELL	METLIFE INC COM	MET	25.000	689.89	1,024.98	335.09
07/13/10	05/04/09	SELL	METLIFE INC COM	MET	23.000	638.17	942.98	304.81
07/13/10	01/20/09	SELL	NEW YORK CMNTY BANCORP INC COM	NYB	65.000	815.34	1,092.10	276.76
07/13/10	01/27/09	SELL	NEW YORK CMNTY BANCORP INC COM	NYB	46.000	502.45	772.87	270.42
07/13/10	08/19/03	SELL	PFIZER INC COM	PFE	62.000	1,948.66	918.32	(1,030.34)
07/13/10	04/21/06	SELL	PFIZER INC COM	PFE	30.000	746.10	444.35	(301.75)
07/13/10	04/25/06	SELL	PFIZER INC COM	PFE	30.000	743.41	444.35	(299.06)
07/13/10	04/28/06	SELL	PFIZER INC COM	PFE	25.000	624.57	370.29	(254.28)
07/13/10	05/02/06	SELL	PFIZER INC COM	PFE	25.000	629.54	370.29	(259.25)
07/13/10	10/30/06	SELL	PFIZER INC COM	PFE	8.000	218.71	118.49	(100.22)
07/13/10	02/03/06	SELL	REYNOLDS AMERN INC COM	RAI	30.000	1,518.00	1,663.47	145.47

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/13/10	10/30/06	SELL	REYNOLDS AMERN INC COM	RAI	6.000	382.19	332.69	(49.50)
07/13/10	09/07/07	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	5.000	401.21	274.75	(126.46)
07/13/10	09/24/07	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	15.000	1,258.80	824.24	(434.56)
07/13/10	10/02/07	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	10.000	807.35	549.48	(257.87)
07/13/10	12/13/07	SELL	TOTAL S A SPONSORED ADR	TOT	15.000	1,211.05	744.59	(466.46)
07/13/10	12/21/07	SELL	TOTAL S A SPONSORED ADR	TOT	15.000	1,203.64	744.59	(459.05)
07/13/10	01/03/08	SELL	TOTAL S A SPONSORED ADR	TOT	15.000	1,273.67	744.59	(529.08)
07/13/10	01/08/08	SELL	TOTAL S A SPONSORED ADR	TOT	15.000	1,297.84	744.59	(553.25)
07/13/10	02/03/06	SELL	TRAVELERS COS INC COM	TRV	15.000	672.75	769.49	96.74
07/13/10	10/30/06	SELL	TRAVELERS COS INC COM	TRV	18.000	906.12	923.38	17.26
07/13/10	02/03/06	SELL	V F CORP	VFC	25.000	1,386.25	1,905.47	519.22
07/13/10	10/30/06	SELL	V F CORP	VFC	5.000	381.79	381.09	(0.70)
07/13/10	02/03/06	SELL	VERIZON COMMUNICATIONS COM	VZ	40.000	1,135.43	1,077.58	(57.85)
07/13/10	01/11/08	SELL	WASTE MGMT INC DEL COM	WM	20.000	626.83	662.19	35.36
07/13/10	01/24/08	SELL	WASTE MGMT INC DEL COM	WM	40.000	1,226.02	1,324.38	98.36
07/13/10	02/01/08	SELL	WASTE MGMT INC DEL COM	WM	7.000	229.56	231.76	2.20
07/13/10	02/03/06	SELL	WINDSTREAM CORP COM	WIN	41.000	428.00	461.73	33.73

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/13/10	08/04/06	SELL	WINDSTREAM CORP COM	WIN	10.000	130.32	112.62	(17.70)
07/13/10	08/09/06	SELL	WINDSTREAM CORP COM	WIN	55.000	717.27	619.39	(97.88)
07/13/10	08/21/06	SELL	WINDSTREAM CORP COM	WIN	55.000	712.62	619.39	(93.23)
07/13/10	08/30/06	SELL	WINDSTREAM CORP COM	WIN	50.000	647.20	563.08	(84.12)
07/13/10	10/30/06	SELL	WINDSTREAM CORP COM	WIN	21.000	291.87	236.50	(55.37)
07/13/10	06/23/08	SELL	XEROX CORPORATION	XRX	90.000	1,223.48	778.66	(444.82)
07/13/10	06/26/08	SELL	XEROX CORPORATION	XRX	34.000	463.36	294.16	(169.20)
08/02/10	10/30/06	SELL	WINDSTREAM CORP COM	WIN	169.000	2,348.85	1,956.15	(392.70)
08/13/10	02/03/06	SELL	FRONTIER COMMUNICATIONS CORP	FTR	9.197	71.90	69.62	(2.28)
08/13/10	10/30/06	SELL	FRONTIER COMMUNICATIONS CORP	FTR	16.803	155.58	127.19	(28.39)
08/27/10	04/16/08	SELL	ALTRIA GROUP INC COM	MO	44.000	952.47	991.84	39.37
08/27/10	03/11/09	SELL	ALTRIA GROUP INC COM	MO	30.000	493.95	676.26	182.31
08/27/10	03/13/09	SELL	ALTRIA GROUP INC COM	MO	22.000	359.35	495.92	136.57
08/27/10	10/30/06	SELL	MATTEL INC	MAT	83.000	1,883.27	1,762.38	(120.89)
10/28/10	06/06/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	12.000	612.60	474.41	(138.19)
10/28/10	06/12/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	30.000	1,560.60	1,186.03	(374.57)
10/28/10	06/15/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	11.000	578.15	434.88	(143.27)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
11/12/10	08/26/09	SELL	MCGRAW HILL COMPANIES INC	MHP	62.000	1,983.50	2,274.99	291.49
11/30/10	02/01/08	SELL	WASTE MGMT INC DEL COM	WM	28.000	918.22	958.42	40.20
11/30/10	02/07/08	SELL	WASTE MGMT INC DEL COM	WM	30.000	966.64	1,026.87	60.23
12/14/10	01/12/09	SELL	DIAMOND OFFSHORE DRILLING INC COM	DO	7.000	407.90	461.33	53.43
12/14/10	07/28/09	SELL	DIAMOND OFFSHORE DRILLING INC COM	DO	2.000	186.12	131.81	(54.31)
Total Long Term						**107,641.42**	**102,529.87**	**(5,111.55)**
Total Short Term and Long Term						**135,535.49**	**129,730.22**	**(5,805.27)**

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- **Account Number Changes** - If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.
- **Corporate Actions** - For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action.
- **Cost Basis Service Enrollment** - The Schedule of Realized Gains and Losses will only be displayed for closing transactions during the period the account was enrolled in the cost basis service. However, proceeds will be reported on the 1099 for the full year.

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SECURITIES PURCHASED

(Continued)

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
				1,295.85		
Total				144,322.97	0.00	

Securities Purchased. Securities purchased through your account during 2010 are displayed in this section We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities. The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased Accrued interest purchased is reported separately in this section of your Tax Information Statement. The cost of securities purchased during 2010 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D.

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/06/10	03/25/09	SELL	GOLDMAN SACHS GROUP INC COM	GS	12.000	1,357.74	2,091.55	733.81
02/19/10	10/19/09	SELL	AVERY DENNISON CORP COM	AVY	55.000	2,104.45	1,740.16	(364.29)
02/19/10	06/08/09	SELL	CONOCOPHILLIPS COM	COP	42.000	1,876.56	2,040.07	163.51
02/19/10	08/19/09	SELL	VALEANT PHARMACEUTICALS INTL	91911X104	14.000	381.52	516.03	134.51
02/19/10	08/21/09	SELL	WESTERN DIGITAL CORP DELAWARE	WDC	11.000	362.65	474.35	111.70
03/09/10	12/30/09	SELL	GRAFTECH INTL LTD COM	GTI	136.000	2,140.37	1,820.10	(320.27)
04/13/10	08/05/09	SELL	ABBOTT LABS COM	ABT	44.000	1,946.55	2,300.39	353.84
04/13/10	08/19/09	SELL	FREEPORT MCMORAN COPPER & GOLD INC	FCX	5.000	302.62	419.60	116.98
04/13/10	08/19/09	SELL	WHOLE FOODS MKT INC COM	WFMI	10.000	276.62	384.75	108.13
05/11/10	08/19/09	SELL	VALEANT PHARMACEUTICALS INTL	91911X104	10.000	272.52	469.43	196.91

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/07/10	08/21/09	SELL	WESTERN DIGITAL CORP DELAWARE	WDC	48.000	1,582.48	1,442.20	(140.28)
07/12/10	08/19/09	SELL	VALEANT PHARMACEUTICALS INTL	91911X104	6.000	163.51	308.05	144.54
07/12/10	08/20/09	SELL	VALEANT PHARMACEUTICALS INTL	91911X104	40.000	1,078.16	2,053.67	975.51
07/20/10	08/19/09	SELL	WMS INDS INC COM	WMS	45.000	1,849.29	1,692.79	(156.50)
07/20/10	07/19/10	SELL	WMS INDS INC COM	WMS	35.000	1,362.55	1,316.61	(45.94)
07/21/10	07/24/09	SELL	AMERICAN EXPRESS COMPANY	AXP	9.000	264.48	379.88	115.40
07/21/10	07/27/09	SELL	AMERICAN EXPRESS COMPANY	AXP	18.000	531.00	759.77	228.77
07/21/10	08/06/09	SELL	AMERICAN EXPRESS COMPANY	AXP	15.000	475.80	633.14	157.34
07/21/10	08/06/09	SELL	AMERICAN EXPRESS COMPANY	AXP	8.000	250.40	337.67	87.27
07/21/10	08/07/09	SELL	AMERICAN EXPRESS COMPANY	AXP	14.000	457.47	590.93	133.46
07/21/10	10/30/09	SELL	AMERICAN EXPRESS COMPANY	AXP	19.000	681.22	801.98	120.76
07/21/10	12/04/09	SELL	NETAPP INC COM	NTAP	6.000	195.41	240.90	45.49
07/21/10	12/07/09	SELL	NETAPP INC COM	NTAP	6.000	195.66	240.90	45.24
07/21/10	12/08/09	SELL	NETAPP INC COM	NTAP	8.000	264.55	321.19	56.64
07/21/10	12/08/09	SELL	NETAPP INC COM	NTAP	7.000	231.54	281.04	49.50
07/21/10	12/09/09	SELL	NETAPP INC COM	NTAP	12.000	400.79	481.79	81.00
07/21/10	02/18/10	SELL	NETAPP INC COM	NTAP	2.000	61.20	80.30	19.10

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/21/10	12/28/09	SELL	NEWFIELD EXPL CO COMMON	NFX	13.000	649.66	660.38	10.72
08/03/10	08/19/09	SELL	LENDER PROCESSING SVCS INC COM	LPS	30.000	1,020.34	971.54	(48.80)
08/03/10	08/20/09	SELL	LENDER PROCESSING SVCS INC COM	LPS	26.000	886.14	842.00	(44.14)
08/03/10	07/19/10	SELL	LENDER PROCESSING SVCS INC COM	LPS	35.000	1,202.25	1,133.46	(68.79)
08/03/10	07/21/10	SELL	LENDER PROCESSING SVCS INC COM	LPS	10.000	343.50	323.85	(19.65)
08/19/10	07/20/10	SELL	AEROPOSTALE COM	ARO	122.000	3,494.24	2,882.44	(611.80)
08/19/10	07/19/10	SELL	CISCO SYSTEMS INC	CSCO	39.000	895.83	872.34	(23.49)
08/19/10	07/21/10	SELL	CISCO SYSTEMS INC	CSCO	15.000	342.00	335.51	(6.49)
08/19/10	07/19/10	SELL	WAL MART STORES INC	WMT	12.000	595.68	608.03	12.35
08/19/10	07/21/10	SELL	WAL MART STORES INC	WMT	6.000	305.76	304.01	(1.75)
09/07/10	01/14/10	SELL	INTEL CORP COM	INTC	23.000	487.14	419.36	(67.78)
09/07/10	01/14/10	SELL	INTEL CORP COM	INTC	25.000	534.25	455.82	(78.43)
09/07/10	01/27/10	SELL	INTEL CORP COM	INTC	14.000	280.84	255.26	(25.58)
09/07/10	07/19/10	SELL	INTEL CORP COM	INTC	32.000	683.52	583.45	(100.07)
09/28/10	01/06/10	SELL	WHIRLPOOL CORP	WHR	27.000	2,191.61	2,073.67	(117.94)
09/28/10	07/19/10	SELL	WHIRLPOOL CORP	WHR	8.000	705.28	614.42	(90.86)
09/28/10	07/21/10	SELL	WHIRLPOOL CORP	WHR	5.000	427.70	384.02	(43.68)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/04/10	08/03/10	SELL	MICROSOFT CORP COM	MSFT	138.000	3,628.99	3,302.11	(326.88)
10/04/10	07/07/10	SELL	TIBCO SOFTWARE INC COM	TIBX	60.000	737.25	1,066.57	329.32
10/06/10	12/28/09	SELL	NEWFIELD EXPL CO COMMON	NFX	30.000	1,499.23	1,731.68	232.45
10/06/10	02/24/10	SELL	NEWFIELD EXPL CO COMMON	NFX	5.000	242.47	288.61	46.14
10/06/10	02/25/10	SELL	NEWFIELD EXPL CO COMMON	NFX	5.000	240.00	288.61	48.61
10/06/10	03/01/10	SELL	NEWFIELD EXPL CO COMMON	NFX	10.000	516.30	577.23	60.93
10/06/10	03/29/10	SELL	NEWFIELD EXPL CO COMMON	NFX	4.000	197.89	230.89	33.00
10/06/10	03/30/10	SELL	NEWFIELD EXPL CO COMMON	NFX	2.000	100.24	115.45	15.21
10/06/10	03/31/10	SELL	NEWFIELD EXPL CO COMMON	NFX	3.000	155.35	173.17	17.82
10/06/10	04/01/10	SELL	NEWFIELD EXPL CO COMMON	NFX	4.000	212.69	230.89	18.20
10/06/10	07/19/10	SELL	NEWFIELD EXPL CO COMMON	NFX	20.000	998.80	1,154.44	155.64
10/07/10	12/30/09	SELL	BROWN FORMAN CORP CL B	BF B	40.000	2,167.43	2,446.36	278.93
10/07/10	07/19/10	SELL	BROWN FORMAN CORP CL B	BF B	12.000	732.36	733.91	1.55
10/07/10	07/21/10	SELL	BROWN FORMAN CORP CL B	BF B	4.000	249.20	244.64	(4.56)
11/01/10	04/13/10	SELL	AUTOLIV INC COM	ALV	13.000	698.47	926.18	227.71
11/01/10	12/03/09	SELL	PNC FINL SVCS GROUP INC COM	PNC	37.000	1,992.34	1,965.35	(26.99)
11/01/10	07/19/10	SELL	PNC FINL SVCS GROUP INC COM	PNC	17.000	983.45	903.00	(80.45)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/01/10	07/21/10	SELL	PNC FINL SVCS GROUP INC COM	PNC	6.000	357.84	318.71	(39.13)
11/01/10	07/07/10	SELL	TIBCO SOFTWARE INC COM	TIBX	5.000	61.44	96.59	35.15
11/01/10	04/13/10	SELL	TYSON FOODS INC CL A	TSN	114.000	2,261.48	1,762.55	(498.93)
11/01/10	07/19/10	SELL	TYSON FOODS INC CL A	TSN	67.000	1,158.43	1,035.89	(122.54)
11/01/10	07/21/10	SELL	TYSON FOODS INC CL A	TSN	22.000	382.80	340.14	(42.66)
11/15/10	10/07/10	SELL	ENERGIZER HLDGS INC COM	ENR	55.000	3,842.97	3,872.25	29.28
11/29/10	04/13/10	SELL	JABIL CIRCUIT INC COM	JBL	133.000	2,239.51	1,913.72	(325.79)
11/29/10	07/19/10	SELL	JABIL CIRCUIT INC COM	JBL	78.000	1,173.12	1,122.33	(50.79)
11/29/10	07/21/10	SELL	JABIL CIRCUIT INC COM	JBL	22.000	330.00	316.55	(13.45)
Total Short Term						63,272.90	65,096.62	1,823.72
Long Term								
01/13/10	07/30/08	SELL	APOLLO GROUP INC CL A	APOL	25.000	1,553.81	1,481.73	(72.08)
02/19/10	12/01/08	SELL	STRAYER ED INC COM	STRA	8.000	1,819.56	1,706.43	(113.13)
03/09/10	02/02/06	SELL	EXPRESS SCRIPTS INC COM	ESRX	1.000	45.41	98.64	53.23
04/13/10	08/05/08	SELL	MCDONALDS CORP	MCD	32.000	1,970.75	2,190.87	220.12
04/13/10	11/04/08	SELL	PACTIV CORP COM *C/A* EFF 11/16/2010	695257105	77.000	2,016.41	1,962.71	(53.70)
04/13/10	03/20/09	SELL	ROSS STORES INC (STATE OF INC CHGD	ROST	4.000	135.49	224.64	89.15
04/13/10	10/24/08	SELL	SAIC INC COM	SAI	99.000	1,756.37	1,717.90	(38.47)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
05/11/10	11/20/08	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	88.000	1,782.35	2,133.69	351.34
05/12/10	06/17/08	SELL	APPLE INC COM	AAPL	2.000	362.83	518.59	155.76
05/12/10	07/02/08	SELL	APPLE INC COM	AAPL	2.000	348.80	518.59	169.79
05/12/10	07/09/08	SELL	APPLE INC COM	AAPL	1.000	179.03	259.29	80.26
05/12/10	11/14/08	SELL	MCAFEE INC	MFE	46.000	1,323.86	1,565.11	241.25
06/02/10	01/29/07	SELL	COLGATE PALMOLIVE CO	CL	30.000	2,004.35	2,309.16	304.81
06/17/10	12/05/07	SELL	CHURCH & DWIGHT CO INC	CHD	34.000	1,917.05	2,293.66	376.61
06/17/10	02/02/09	SELL	RED HAT INC COM	RHT	14.000	206.20	447.59	241.39
06/17/10	02/03/09	SELL	RED HAT INC COM	RHT	63.000	944.75	2,014.14	1,069.39
07/21/10	01/27/09	SELL	APPLE INC COM	AAPL	2.000	179.89	513.35	333.46
07/21/10	01/28/09	SELL	APPLE INC COM	AAPL	1.000	94.54	256.68	162.14
07/21/10	01/29/09	SELL	APPLE INC COM	AAPL	2.000	187.10	513.35	326.25
07/21/10	03/18/09	SELL	APPLE INC COM	AAPL	4.000	401.62	1,026.70	625.08
07/21/10	04/16/09	SELL	APPLE INC COM	AAPL	12.000	1,450.26	3,080.10	1,629.84
07/21/10	04/27/09	SELL	INTEL CORP COM	INTC	30.000	466.61	643.48	176.87
08/19/10	04/16/09	SELL	APPLE INC COM	AAPL	3.000	362.57	750.44	387.87
08/19/10	04/29/09	SELL	APPLE INC COM	AAPL	1.000	126.23	250.14	123.91

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/19/10	05/11/09	SELL	CISCO SYSTEMS INC	CSCO	98.000	1,798.64	2,192.03	393.39
08/19/10	07/01/08	SELL	WAL MART STORES INC	WMT	51.000	2,907.04	2,584.12	(322.92)
09/07/10	04/27/09	SELL	INTEL CORP COM	INTC	87.000	1,353.16	1,586.26	233.10
11/15/10	08/19/09	SELL	FREEPORT MCMORAN COPPER & GOLD INC	FCX	16.000	968.37	1,657.27	688.90
Total Long Term						**28,663.05**	**36,496.66**	**7,833.61**
Total Short Term and Long Term						**91,935.95**	**101,593.28**	**9,657.33**

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There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes** - If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.
- **Corporate Actions** - For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action.
- **Cost Basis Service Enrollment** - The Schedule of Realized Gains and Losses will only be displayed for closing transactions during the period the account was enrolled in the cost basis service. However, proceeds will be reported on the 1099 for the full year.
- **Cost Basis Service Reenrollment** - The Schedule of Realized Gains and Losses provides you with the activity after your account was reactivated in the cost basis service. However, proceeds will be reported on the 1099 for the full year.

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/07/10	11/05/09	SELL	FORD MOTOR CO DEL COM PAR	F	18.000	134.64	207.35	72.71
01/08/10	10/15/09	SELL	DELTA AIRLINES INC COM NEW	DAL	22.000	199.08	274.00	74.92
01/08/10	09/15/09	SELL	MOTOROLA INC COM *R/S*	620076109	32.000	294.40	251.83	(42.57)
01/08/10	09/16/09	SELL	MOTOROLA INC COM *R/S*	620076109	1.000	9.14	7.87	(1.27)
01/12/10	11/25/09	SELL	TEXAS INSTRUMENTS INC	TXN	7.000	177.30	173.67	(3.63)
01/12/10	11/25/09	SELL	TEXAS INSTRUMENTS INC	TXN	16.000	405.25	398.10	(7.15)
01/12/10	11/27/09	SELL	TEXAS INSTRUMENTS INC	TXN	10.000	253.41	248.81	(4.60)
01/13/10	11/27/09	SELL	TEXAS INSTRUMENTS INC	TXN	26.000	658.85	649.26	(9.59)
01/13/10	11/30/09	SELL	TEXAS INSTRUMENTS INC	TXN	3.000	75.71	74.91	(0.80)
01/14/10	11/30/09	SELL	TEXAS INSTRUMENTS INC	TXN	10.000	252.35	246.81	(5.54)
01/14/10	12/01/09	SELL	TEXAS INSTRUMENTS INC	TXN	9.000	231.38	222.13	(9.25)
01/14/10	12/02/09	SELL	TEXAS INSTRUMENTS INC	TXN	12.000	314.63	296.17	(18.46)
01/20/10	08/06/09	SELL	EBAY INC COM	EBAY	21.000	458.64	467.24	8.60
01/20/10	08/07/09	SELL	EBAY INC COM	EBAY	19.000	432.79	422.74	(10.05)
01/20/10	08/10/09	SELL	EBAY INC COM	EBAY	12.000	270.00	267.00	(3.00)
01/21/10	05/19/09	SELL	SUNCOR ENERGY INC NEW COM	SU	7.000	213.19	239.68	26.49
01/22/10	05/19/09	SELL	SUNCOR ENERGY INC NEW COM	SU	2.000	60.91	67.99	7.08
01/22/10	05/20/09	SELL	SUNCOR ENERGY INC NEW COM	SU	2.000	63.12	67.99	4.87

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
01/22/10	05/21/09	SELL	SUNCOR ENERGY INC NEW COM	SU	3.000	92.16	101.97	9.81
01/25/10	05/21/09	SELL	SUNCOR ENERGY INC NEW COM	SU	10.000	307.21	335.66	28.45
01/26/10	05/21/09	SELL	SUNCOR ENERGY INC NEW COM	SU	4.000	122.89	132.55	9.66
01/26/10	05/22/09	SELL	SUNCOR ENERGY INC NEW COM	SU	3.000	94.98	99.41	4.43
01/26/10	06/05/09	SELL	SUNCOR ENERGY INC NEW COM	SU	6.000	206.09	198.82	(7.27)
01/26/10	06/05/09	SELL	SUNCOR ENERGY INC NEW COM	SU	6.000	208.65	198.83	(9.82)
01/27/10	09/18/09	SELL	BEST BUY COMPANY INC	BBY	7.000	265.51	260.25	(5.26)
01/27/10	09/18/09	SELL	BEST BUY COMPANY INC	BBY	2.000	75.86	74.12	(1.74)
01/27/10	09/21/09	SELL	BEST BUY COMPANY INC	BBY	8.000	302.08	296.49	(5.59)
01/28/10	09/21/09	SELL	BEST BUY COMPANY INC	BBY	1.000	37.76	36.72	(1.04)
01/28/10	09/22/09	SELL	BEST BUY COMPANY INC	BBY	8.000	310.15	293.79	(16.36)
01/28/10	07/28/09	SELL	FREEPORT MCMORAN COPPER & GOLD INC	FCX	5.000	294.10	343.93	49.83
01/28/10	10/01/09	SELL	FREEPORT MCMORAN COPPER & GOLD INC	FCX	2.000	131.30	137.57	6.27
01/28/10	10/02/09	SELL	FREEPORT MCMORAN COPPER & GOLD INC	FCX	8.000	521.42	550.29	28.87
01/29/10	09/23/09	SELL	BEST BUY COMPANY INC	BBY	9.000	344.52	329.59	(14.93)
01/29/10	09/24/09	SELL	BEST BUY COMPANY INC	BBY	10.000	378.60	370.73	(7.87)
01/29/10	09/16/09	SELL	MOTOROLA INC COM *R/S*	620076109	29.000	265.06	177.47	(87.59)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
01/29/10	09/16/09	SELL	MOTOROLA INC COM *R/S*	620076109	31.000	283.96	189.71	(94.25)
01/29/10	09/17/09	SELL	MOTOROLA INC COM *R/S*	620076109	30.000	268.80	183.59	(85.21)
01/29/10	09/15/09	SELL	YAHOO INC COM	YHOO	30.000	489.88	455.99	(33.89)
01/29/10	09/16/09	SELL	YAHOO INC COM	YHOO	2.000	33.54	30.40	(3.14)
02/01/10	10/20/09	SELL	BEST BUY COMPANY INC	BBY	2.000	79.42	73.62	(5.80)
02/01/10	10/21/09	SELL	BEST BUY COMPANY INC	BBY	7.000	281.04	257.69	(23.35)
02/01/10	11/05/09	SELL	FORD MOTOR CO DEL COM PAR	F	5.000	37.40	55.35	17.95
02/01/10	02/11/09	SELL	MICROSOFT CORP COM	MSFT	5.000	96.42	140.52	44.10
02/01/10	09/17/09	SELL	MOTOROLA INC COM *R/S*	620076109	9.000	80.64	56.82	(23.82)
02/01/10	09/18/09	SELL	MOTOROLA INC COM *R/S*	620076109	29.000	252.01	183.09	(68.92)
02/01/10	09/29/09	SELL	MOTOROLA INC COM *R/S*	620076109	53.000	449.97	334.61	(115.36)
02/01/10	09/30/09	SELL	MOTOROLA INC COM *R/S*	620076109	39.000	331.50	246.22	(85.28)
02/01/10	10/01/09	SELL	MOTOROLA INC COM *R/S*	620076109	6.000	51.22	37.89	(13.33)
02/01/10	03/18/09	SELL	QUALCOMM INC	QCOM	9.000	335.93	356.66	20.73
02/01/10	09/16/09	SELL	YAHOO INC COM	YHOO	11.000	184.47	165.33	(19.14)
02/01/10	09/17/09	SELL	YAHOO INC COM	YHOO	13.000	229.84	195.39	(34.45)
02/01/10	09/18/09	SELL	YAHOO INC COM	YHOO	26.000	441.47	390.77	(50.70)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/01/10	09/18/09	SELL	YAHOO INC COM	YHOO	9.000	152.82	135.26	(17.56)
02/02/10	10/01/09	SELL	MOTOROLA INC COM *R/S*	620076109	39.000	332.94	246.86	(86.08)
02/02/10	10/02/09	SELL	MOTOROLA INC COM *R/S*	620076109	43.000	352.17	272.18	(79.99)
02/02/10	10/06/09	SELL	MOTOROLA INC COM *R/S*	620076109	27.000	227.07	170.91	(56.16)
02/02/10	10/07/09	SELL	MOTOROLA INC COM *R/S*	620076109	30.000	260.70	189.90	(70.80)
02/02/10	11/11/09	SELL	MOTOROLA INC COM *R/S*	620076109	5.000	44.10	31.65	(12.45)
02/02/10	09/18/09	SELL	YAHOO INC COM	YHOO	5.000	84.90	75.55	(9.35)
02/02/10	09/21/09	SELL	YAHOO INC COM	YHOO	20.000	340.20	302.19	(38.01)
02/02/10	09/25/09	SELL	YAHOO INC COM	YHOO	23.000	385.25	347.52	(37.73)
02/02/10	10/07/09	SELL	YAHOO INC COM	YHOO	10.000	173.00	151.10	(21.90)
02/05/10	03/18/09	SELL	QUALCOMM INC	QCOM	6.000	223.95	226.07	2.12
02/05/10	03/18/09	SELL	QUALCOMM INC	QCOM	4.000	152.61	150.72	(1.89)
02/08/10	11/05/09	SELL	QUALCOMM INC	QCOM	14.000	610.82	532.50	(78.32)
02/08/10	11/11/09	SELL	QUALCOMM INC	QCOM	5.000	223.13	190.18	(32.95)
02/18/10	10/06/09	SELL	AIR PRODS & CHEMS INC COM	APD	3.000	232.53	210.51	(22.02)
02/18/10	10/08/09	SELL	AIR PRODS & CHEMS INC COM	APD	3.000	237.00	210.51	(26.49)
02/22/10	10/09/09	SELL	AIR PRODS & CHEMS INC COM	APD	3.000	238.92	210.00	(28.92)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/22/10	10/12/09	SELL	AIR PRODS & CHEMS INC COM	APD	2.000	163.02	140.00	(23.02)
02/22/10	10/13/09	SELL	AIR PRODS & CHEMS INC COM	APD	1.000	80.41	70.00	(10.41)
02/22/10	10/14/09	SELL	AIR PRODS & CHEMS INC COM	APD	1.000	82.91	69.99	(12.92)
02/24/10	07/30/09	SELL	INGERSOLL RAND PLC SHS	IR	4.000	114.88	130.32	15.44
02/24/10	07/31/09	SELL	INGERSOLL RAND PLC SHS	IR	6.000	174.52	195.48	20.96
02/24/10	10/14/09	SELL	AIR PRODS & CHEMS INC COM	APD	2.000	165.81	140.18	(25.63)
02/24/10	10/15/09	SELL	AIR PRODS & CHEMS INC COM	APD	3.000	249.65	210.27	(39.38)
02/24/10	10/16/09	SELL	AIR PRODS & CHEMS INC COM	APD	2.000	166.91	140.18	(26.73)
02/25/10	07/31/09	SELL	INGERSOLL RAND PLC SHS	IR	1.000	29.09	32.00	2.91
02/25/10	08/03/09	SELL	INGERSOLL RAND PLC SHS	IR	7.000	202.93	224.00	21.07
02/25/10	08/04/09	SELL	INGERSOLL RAND PLC SHS	IR	7.000	202.46	223.99	21.53
02/25/10	10/16/09	SELL	AIR PRODS & CHEMS INC COM	APD	1.000	83.45	68.75	(14.70)
02/25/10	10/20/09	SELL	AIR PRODS & CHEMS INC COM	APD	1.000	82.34	68.75	(13.59)
02/25/10	10/21/09	SELL	AIR PRODS & CHEMS INC COM	APD	5.000	416.25	343.75	(72.50)
02/26/10	08/04/09	SELL	INGERSOLL RAND PLC SHS	IR	2.000	57.84	64.00	6.16
02/26/10	08/05/09	SELL	INGERSOLL RAND PLC SHS	IR	13.000	372.20	415.99	43.79
03/01/10	08/05/09	SELL	INGERSOLL RAND PLC SHS	IR	1.000	28.63	32.49	3.86

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/01/10	08/05/09	SELL	INGERSOLL RAND PLC SHS	IR	6.000	174.18	194.94	20.76
03/01/10	08/05/09	SELL	INGERSOLL RAND PLC SHS	IR	8.000	232.24	262.17	29.93
03/09/10	01/12/10	SELL	INTEL CORP COM	INTC	8.000	164.32	168.87	4.55
03/09/10	01/12/10	SELL	INTEL CORP COM	INTC	3.000	61.32	63.33	2.01
03/16/10	03/17/09	SELL	TYCO INTL LTD SHS	TYC	6.000	117.77	223.33	105.56
03/16/10	03/17/09	SELL	TYCO INTL LTD SHS	TYC	4.000	78.51	148.60	70.09
03/17/10	03/17/09	SELL	TYCO INTL LTD SHS	TYC	5.000	98.14	187.06	88.92
03/17/10	03/18/09	SELL	TYCO INTL LTD SHS	TYC	2.000	39.70	74.83	35.13
03/17/10	03/18/09	SELL	TYCO INTL LTD SHS	TYC	7.000	138.95	258.09	119.14
03/17/10	03/18/09	SELL	TYCO INTL LTD SHS	TYC	7.000	138.95	257.59	118.64
03/18/10	03/18/09	SELL	TYCO INTL LTD SHS	TYC	7.000	138.95	258.58	119.63
03/18/10	03/18/09	SELL	TYCO INTL LTD SHS	TYC	6.000	119.10	219.78	100.68
03/18/10	03/26/09	SELL	TYCO INTL LTD SHS	TYC	7.000	145.39	256.42	111.03
03/18/10	11/05/09	SELL	FORD MOTOR CO DEL COM PAR	F	18.000	134.64	254.33	119.69
03/19/10	03/26/09	SELL	TYCO INTL LTD SHS	TYC	2.000	41.54	73.86	32.32
03/19/10	04/22/09	SELL	TYCO INTL LTD SHS	TYC	9.000	206.51	332.38	125.87
03/19/10	05/04/09	SELL	DIRECTV COM CL A	DTV	17.000	400.86	571.30	170.44

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/19/10	08/06/09	SELL	DIRECTV COM CL A	DTV	6.000	152.46	201.63	49.17
03/24/10	10/20/09	SELL	JUNIPER NETWORKS INC COM	JNPR	12.000	330.59	369.64	39.05
03/24/10	10/20/09	SELL	JUNIPER NETWORKS INC COM	JNPR	6.000	165.29	183.48	18.19
03/24/10	10/21/09	SELL	JUNIPER NETWORKS INC COM	JNPR	5.000	139.84	152.90	13.06
03/25/10	10/21/09	SELL	JUNIPER NETWORKS INC COM	JNPR	4.000	111.88	122.69	10.81
03/25/10	10/22/09	SELL	JUNIPER NETWORKS INC COM	JNPR	6.000	167.80	184.04	16.24
03/29/10	02/23/10	SELL	SEAGATE TECHNOLOGY SHS C/A EFF 7/6/10 1	G7945J104	12.000	241.29	227.37	(13.92)
03/29/10	02/23/10	SELL	SEAGATE TECHNOLOGY SHS C/A EFF 7/6/10 1	G7945J104	6.000	121.19	113.69	(7.50)
03/29/10	10/15/09	SELL	DELTA AIRLINES INC COM NEW	DAL	5.000	45.25	72.53	27.28
03/29/10	10/16/09	SELL	DELTA AIRLINES INC COM NEW	DAL	24.000	216.47	348.13	131.66
03/29/10	08/06/09	SELL	DIRECTV COM CL A	DTV	3.000	76.23	99.68	23.45
03/29/10	08/06/09	SELL	DIRECTV COM CL A	DTV	9.000	224.28	299.03	74.75
03/29/10	10/05/09	SELL	DIRECTV COM CL A	DTV	7.000	189.28	232.58	43.30
03/29/10	08/10/09	SELL	EBAY INC COM	EBAY	10.000	225.00	272.09	47.09
03/29/10	11/05/09	SELL	FORD MOTOR CO DEL COM PAR	F	16.000	119.68	216.01	96.33
03/29/10	11/06/09	SELL	FORD MOTOR CO DEL COM PAR	F	15.000	113.10	202.50	89.40
03/29/10	10/30/09	SELL	PRICELINE COM INC COM NEW	PCLN	1.000	157.49	255.40	97.91

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/29/10	11/02/09	SELL	PRICELINE COM INC COM NEW	PCLN	2.000	324.86	510.79	185.93
03/30/10	02/23/10	SELL	SEAGATE TECHNOLOGY SHS C/A EFF 7/6/10 1	G7945J104	18.000	363.57	334.82	(28.75)
03/30/10	05/05/09	SELL	BROADCOM CORP CL A	BRCM	16.000	379.08	532.98	153.90
03/30/10	10/05/09	SELL	DIRECTV COM CL A	DTV	3.000	81.12	98.97	17.85
03/30/10	10/06/09	SELL	DIRECTV COM CL A	DTV	8.000	218.08	263.93	45.85
03/30/10	02/01/10	SELL	DIRECTV COM CL A	DTV	9.000	279.70	296.92	17.22
04/08/10	05/05/09	SELL	BROADCOM CORP CL A	BRCM	1.000	23.69	33.57	9.88
04/08/10	05/06/09	SELL	BROADCOM CORP CL A	BRCM	7.000	164.85	234.98	70.13
04/08/10	10/22/09	SELL	JUNIPER NETWORKS INC COM	JNPR	2.000	55.93	61.72	5.79
04/08/10	10/23/09	SELL	JUNIPER NETWORKS INC COM	JNPR	6.000	165.60	185.15	19.55
04/08/10	11/02/09	SELL	PRICELINE COM INC COM NEW	PCLN	1.000	162.43	257.31	94.88
04/14/10	06/03/09	SELL	AMAZON COM INC	AMZN	1.000	84.17	144.07	59.90
04/14/10	10/15/09	SELL	AVON PRODS INC COM	AVP	5.000	170.04	163.40	(6.64)
04/14/10	10/15/09	SELL	AVON PRODS INC COM	AVP	2.000	68.02	65.96	(2.06)
04/14/10	10/16/09	SELL	AVON PRODS INC COM	AVP	6.000	205.37	197.88	(7.49)
04/14/10	05/06/09	SELL	BANK AMER CORP COM	BAC	45.000	559.90	871.18	311.28
04/15/10	10/16/09	SELL	AVON PRODS INC COM	AVP	1.000	34.23	33.40	(0.83)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/15/10	10/19/09	SELL	AVON PRODS INC COM	AVP	7.000	238.41	233.79	(4.62)
04/15/10	10/20/09	SELL	AVON PRODS INC COM	AVP	1.000	34.40	33.40	(1.00)
04/15/10	10/20/09	SELL	ORACLE CORP COM	ORCL	22.000	485.54	576.17	90.63
04/15/10	10/20/09	SELL	ORACLE CORP COM	ORCL	9.000	199.08	235.70	36.62
04/15/10	10/20/09	SELL	ORACLE CORP COM	ORCL	13.000	287.56	341.50	53.94
04/15/10	10/21/09	SELL	ORACLE CORP COM	ORCL	17.000	380.29	446.58	66.29
04/16/10	10/20/09	SELL	AVON PRODS INC COM	AVP	7.000	240.79	235.21	(5.58)
04/16/10	10/20/09	SELL	AVON PRODS INC COM	AVP	1.000	34.20	33.60	(0.60)
04/16/10	10/21/09	SELL	ORACLE CORP COM	ORCL	5.000	111.85	131.25	19.40
04/16/10	10/22/09	SELL	ORACLE CORP COM	ORCL	12.000	263.12	314.99	51.87
04/16/10	10/23/09	SELL	ORACLE CORP COM	ORCL	11.000	245.30	288.74	43.44
04/16/10	10/26/09	SELL	ORACLE CORP COM	ORCL	2.000	44.44	52.50	8.06
04/16/10	10/26/09	SELL	ORACLE CORP COM	ORCL	14.000	311.08	365.42	54.34
04/16/10	10/30/09	SELL	ORACLE CORP COM	ORCL	9.000	189.99	234.91	44.92
04/16/10	11/05/09	SELL	ORACLE CORP COM	ORCL	7.000	149.73	182.71	32.98
04/20/10	10/20/09	SELL	AVON PRODS INC COM	AVP	6.000	205.19	201.73	(3.46)
04/20/10	10/21/09	SELL	AVON PRODS INC COM	AVP	3.000	104.57	100.86	(3.71)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/21/10	10/21/09	SELL	AVON PRODS INC COM	AVP	11.000	383.43	369.61	(13.82)
04/22/10	10/22/09	SELL	AVON PRODS INC COM	AVP	12.000	423.83	394.69	(29.14)
04/23/10	10/22/09	SELL	AVON PRODS INC COM	AVP	1.000	35.32	33.23	(2.09)
04/23/10	10/23/09	SELL	AVON PRODS INC COM	AVP	9.000	319.64	299.08	(20.56)
04/23/10	10/26/09	SELL	AVON PRODS INC COM	AVP	1.000	35.37	33.23	(2.14)
04/26/10	10/26/09	SELL	AVON PRODS INC COM	AVP	3.000	106.10	101.04	(5.06)
04/26/10	10/29/09	SELL	AVON PRODS INC COM	AVP	4.000	126.55	134.72	8.17
04/26/10	11/05/09	SELL	AVON PRODS INC COM	AVP	4.000	133.03	134.73	1.70
04/27/10	02/02/10	SELL	AGILENT TECHNOLOGIES INC COM	A	7.000	206.91	256.76	49.85
04/27/10	08/07/09	SELL	EMC CORP (MASS) COM	EMC	14.000	214.06	272.74	58.68
04/30/10	01/12/10	SELL	NVIDIA CORP COM	NVDA	14.000	247.10	221.20	(25.90)
04/30/10	01/20/10	SELL	NVIDIA CORP COM	NVDA	15.000	256.20	237.00	(19.20)
04/30/10	02/01/10	SELL	NVIDIA CORP COM	NVDA	31.000	506.15	489.78	(16.37)
05/03/10	02/01/10	SELL	NVIDIA CORP COM	NVDA	2.000	32.66	31.46	(1.20)
05/03/10	02/02/10	SELL	NVIDIA CORP COM	NVDA	26.000	431.08	409.02	(22.06)
05/03/10	02/02/10	SELL	NVIDIA CORP COM	NVDA	2.000	33.16	30.82	(2.34)
05/03/10	02/18/10	SELL	NVIDIA CORP COM	NVDA	15.000	250.50	231.16	(19.34)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/03/10	03/09/10	SELL	NVIDIA CORP COM	NVDA	13.000	229.58	200.34	(29.24)
05/05/10	11/05/09	SELL	MASTERCARD INC CL A COM	MA	2.000	456.10	482.55	26.45
05/07/10	11/05/09	SELL	MASTERCARD INC CL A COM	MA	1.000	228.05	227.78	(0.27)
05/07/10	11/06/09	SELL	MASTERCARD INC CL A COM	MA	1.000	228.47	227.77	(0.70)
05/10/10	11/06/09	SELL	MASTERCARD INC CL A COM	MA	1.000	228.47	233.40	4.93
05/10/10	11/06/09	SELL	MASTERCARD INC CL A COM	MA	1.000	228.46	234.05	5.59
05/10/10	11/09/09	SELL	MASTERCARD INC CL A COM	MA	2.000	479.32	468.10	(11.22)
05/10/10	11/10/09	SELL	MASTERCARD INC CL A COM	MA	2.000	475.86	463.02	(12.84)
05/10/10	11/10/09	SELL	MASTERCARD INC CL A COM	MA	2.000	477.48	463.01	(14.47)
05/11/10	01/12/10	SELL	INTEL CORP COM	INTC	14.000	286.16	314.02	27.86
05/11/10	01/13/10	SELL	INTEL CORP COM	INTC	25.000	514.25	560.75	46.50
05/11/10	01/14/10	SELL	INTEL CORP COM	INTC	2.000	42.36	44.86	2.50
05/13/10	12/09/09	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	10.000	181.10	194.19	13.09
05/14/10	12/09/09	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	1.000	18.11	18.50	0.39
05/14/10	12/10/09	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	11.000	203.17	203.49	0.32
05/14/10	12/11/09	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	9.000	165.78	166.50	0.72
05/14/10	12/11/09	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	12.000	221.04	217.45	(3.59)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/14/10	12/14/09	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	10.000	185.19	181.21	(3.98)
05/28/10	06/16/09	SELL	INVESCO LTD ORD SHS	IVZ	9.000	159.75	169.11	9.36
05/28/10	06/16/09	SELL	INVESCO LTD ORD SHS	IVZ	4.000	71.00	74.72	3.72
05/28/10	06/16/09	SELL	INVESCO LTD ORD SHS	IVZ	12.000	206.08	224.15	18.07
05/28/10	06/26/09	SELL	INVESCO LTD ORD SHS	IVZ	2.000	33.98	37.36	3.38
05/28/10	05/29/09	SELL	BANK AMER CORP COM	BAC	18.000	201.42	287.10	85.68
05/28/10	06/05/09	SELL	BANK AMER CORP COM	BAC	18.000	217.44	287.09	69.65
06/01/10	06/26/09	SELL	INVESCO LTD ORD SHS	IVZ	5.000	84.95	93.36	8.41
06/01/10	06/29/09	SELL	INVESCO LTD ORD SHS	IVZ	3.000	52.43	56.01	3.58
06/02/10	06/29/09	SELL	INVESCO LTD ORD SHS	IVZ	4.000	69.90	73.28	3.38
06/02/10	06/30/09	SELL	INVESCO LTD ORD SHS	IVZ	9.000	158.58	164.89	6.31
06/02/10	06/30/09	SELL	INVESCO LTD ORD SHS	IVZ	1.000	17.62	18.57	0.95
06/02/10	07/13/09	SELL	INVESCO LTD ORD SHS	IVZ	7.000	116.78	130.00	13.22
06/02/10	07/15/09	SELL	INVESCO LTD ORD SHS	IVZ	5.000	85.31	92.86	7.55
06/03/10	07/15/09	SELL	INVESCO LTD ORD SHS	IVZ	2.000	34.12	37.60	3.48
06/03/10	03/29/10	SELL	INVESCO LTD ORD SHS	IVZ	5.000	107.15	94.01	(13.14)
06/03/10	03/30/10	SELL	INVESCO LTD ORD SHS	IVZ	4.000	85.99	75.21	(10.78)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/03/10	03/31/10	SELL	INVESCO LTD ORD SHS	IVZ	3.000	64.71	56.41	(8.30)
06/04/10	03/31/10	SELL	INVESCO LTD ORD SHS	IVZ	2.000	43.14	36.50	(6.64)
06/04/10	04/01/10	SELL	INVESCO LTD ORD SHS	IVZ	11.000	243.32	200.77	(42.55)
06/04/10	04/15/10	SELL	DOLLAR GEN CORP NEW COM	DG	3.000	81.00	89.99	8.99
06/09/10	04/15/10	SELL	DOLLAR GEN CORP NEW COM	DG	4.000	108.00	121.15	13.15
06/09/10	04/15/10	SELL	DOLLAR GEN CORP NEW COM	DG	3.000	81.00	90.02	9.02
06/09/10	04/16/10	SELL	DOLLAR GEN CORP NEW COM	DG	8.000	228.00	240.04	12.04
06/10/10	04/16/10	SELL	DOLLAR GEN CORP NEW COM	DG	3.000	85.50	90.01	4.51
06/10/10	04/20/10	SELL	DOLLAR GEN CORP NEW COM	DG	5.000	143.19	150.02	6.83
06/10/10	04/21/10	SELL	DOLLAR GEN CORP NEW COM	DG	3.000	85.19	90.01	4.82
06/15/10	04/21/10	SELL	DOLLAR GEN CORP NEW COM	DG	2.000	56.80	60.08	3.28
06/15/10	04/22/10	SELL	DOLLAR GEN CORP NEW COM	DG	4.000	114.46	120.16	5.70
06/15/10	04/22/10	SELL	DOLLAR GEN CORP NEW COM	DG	5.000	146.72	150.20	3.48
06/15/10	08/10/09	SELL	EBAY INC COM	EBAY	4.000	90.00	88.65	(1.35)
06/15/10	08/10/09	SELL	EBAY INC COM	EBAY	10.000	223.60	221.61	(1.99)
06/15/10	10/06/09	SELL	EBAY INC COM	EBAY	10.000	236.50	221.61	(14.89)
06/16/10	10/06/09	SELL	EBAY INC COM	EBAY	19.000	449.35	423.53	(25.82)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/16/10	02/01/10	SELL	EBAY INC COM	EBAY	5.000	116.20	111.46	(4.74)
06/22/10	10/30/09	SELL	EXPRESS SCRIPTS INC COM	ESRX	6.000	240.35	312.01	71.66
06/22/10	11/02/09	SELL	EXPRESS SCRIPTS INC COM	ESRX	5.000	207.07	260.01	52.94
06/22/10	10/20/09	SELL	MICROSOFT CORP COM	MSFT	15.000	393.15	395.87	2.72
06/24/10	07/09/09	SELL	AMAZON COM INC	AMZN	3.000	234.80	355.04	120.24
06/30/10	10/16/09	SELL	DELTA AIRLINES INC COM NEW	DAL	3.000	27.06	35.46	8.40
06/30/10	10/19/09	SELL	DELTA AIRLINES INC COM NEW	DAL	2.000	17.96	23.64	5.68
06/30/10	10/20/09	SELL	MICROSOFT CORP COM	MSFT	4.000	104.84	93.52	(11.32)
06/30/10	10/21/09	SELL	MICROSOFT CORP COM	MSFT	27.000	719.82	631.24	(88.58)
06/30/10	10/23/09	SELL	MICROSOFT CORP COM	MSFT	16.000	450.56	374.07	(76.49)
06/30/10	10/23/09	SELL	MICROSOFT CORP COM	MSFT	16.000	449.12	374.07	(75.05)
06/30/10	04/16/10	SELL	MICROSOFT CORP COM	MSFT	20.000	617.00	467.59	(149.41)
07/02/10	02/05/10	SELL	ALLERGAN INC COM	AGN	3.000	172.25	188.73	16.48
07/07/10	02/24/10	SELL	PARKER HANNIFIN CORP COM	PH	2.000	116.88	113.63	(3.25)
07/08/10	02/24/10	SELL	PARKER HANNIFIN CORP COM	PH	2.000	116.88	113.60	(3.28)
07/08/10	02/25/10	SELL	PARKER HANNIFIN CORP COM	PH	3.000	173.28	170.40	(2.88)
07/09/10	02/25/10	SELL	PARKER HANNIFIN CORP COM	PH	1.000	57.76	57.38	(0.38)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/09/10	03/01/10	SELL	PARKER HANNIFIN CORP COM	PH	4.000	247.14	229.52	(17.62)
07/12/10	03/02/10	SELL	PARKER HANNIFIN CORP COM	PH	5.000	310.10	285.50	(24.60)
07/13/10	03/16/10	SELL	DAIMLER AG REG SHS	DDAIF	2.000	94.04	110.94	16.90
07/13/10	03/16/10	SELL	DAIMLER AG REG SHS	DDAIF	6.000	281.79	332.81	51.02
07/13/10	03/17/10	SELL	DAIMLER AG REG SHS	DDAIF	5.000	234.04	277.34	43.30
07/13/10	03/18/10	SELL	DAIMLER AG REG SHS	DDAIF	3.000	141.00	166.41	25.41
07/13/10	03/19/10	SELL	DAIMLER AG REG SHS	DDAIF	2.000	93.96	110.94	16.98
07/13/10	03/19/10	SELL	DAIMLER AG REG SHS	DDAIF	4.000	186.45	221.88	35.43
07/13/10	03/19/10	SELL	DAIMLER AG REG SHS	DDAIF	6.000	279.50	332.81	53.31
07/13/10	03/22/10	SELL	DAIMLER AG REG SHS	DDAIF	6.000	274.92	332.81	57.89
07/13/10	03/29/10	SELL	DAIMLER AG REG SHS	DDAIF	2.000	94.36	110.94	16.58
07/13/10	03/30/10	SELL	DAIMLER AG REG SHS	DDAIF	3.000	138.89	166.41	27.52
07/13/10	03/30/10	SELL	DAIMLER AG REG SHS	DDAIF	2.000	93.34	110.94	17.60
07/13/10	03/31/10	SELL	DAIMLER AG REG SHS	DDAIF	4.000	187.28	221.88	34.60
07/13/10	04/14/10	SELL	DAIMLER AG REG SHS	DDAIF	5.000	248.53	277.34	28.81
07/13/10	04/15/10	SELL	DAIMLER AG REG SHS	DDAIF	5.000	248.59	277.34	28.75
07/13/10	04/15/10	SELL	DAIMLER AG REG SHS	DDAIF	5.000	250.49	277.34	26.85

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	04/16/10	SELL	DAIMLER AG REG SHS	DDAIF	7.000	349.71	388.29	38.58
07/13/10	10/15/09	SELL	COVIDIEN PLC SHS	COV	5.000	216.85	204.85	(12.00)
07/13/10	10/16/09	SELL	COVIDIEN PLC SHS	COV	4.000	175.22	163.88	(11.34)
07/13/10	10/19/09	SELL	COVIDIEN PLC SHS	COV	4.000	178.60	163.88	(14.72)
07/13/10	10/20/09	SELL	COVIDIEN PLC SHS	COV	5.000	219.93	204.85	(15.08)
07/13/10	10/20/09	SELL	COVIDIEN PLC SHS	COV	4.000	176.63	163.88	(12.75)
07/13/10	10/21/09	SELL	COVIDIEN PLC SHS	COV	6.000	264.31	245.82	(18.49)
07/13/10	10/21/09	SELL	COVIDIEN PLC SHS	COV	17.000	741.06	696.48	(44.58)
07/13/10	01/08/10	SELL	COVIDIEN PLC SHS	COV	5.000	244.26	204.85	(39.41)
07/13/10	01/11/10	SELL	COVIDIEN PLC SHS	COV	3.000	146.49	122.88	(23.61)
07/13/10	12/14/09	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	1.000	18.52	17.21	(1.31)
07/13/10	12/15/09	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	10.000	189.30	172.10	(17.20)
07/13/10	12/16/09	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	11.000	213.07	189.31	(23.76)
07/13/10	12/16/09	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	25.000	489.25	430.24	(59.01)
07/13/10	01/20/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	8.000	157.42	137.68	(19.74)
07/13/10	03/29/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	13.000	265.06	223.73	(41.33)
07/13/10	03/30/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	10.000	207.70	172.10	(35.60)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	06/18/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	10.000	190.48	172.10	(18.38)
07/13/10	06/21/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	5.000	95.10	86.03	(9.07)
07/13/10	02/02/10	SELL	AGILENT TECHNOLOGIES INC COM	A	1.000	29.56	28.94	(0.62)
07/13/10	02/03/10	SELL	AGILENT TECHNOLOGIES INC COM	A	9.000	266.75	260.46	(6.29)
07/13/10	02/04/10	SELL	AGILENT TECHNOLOGIES INC COM	A	8.000	234.46	231.52	(2.94)
07/13/10	02/04/10	SELL	AGILENT TECHNOLOGIES INC COM	A	8.000	232.78	231.52	(1.26)
07/13/10	02/05/10	SELL	AGILENT TECHNOLOGIES INC COM	A	8.000	230.12	231.52	1.40
07/13/10	02/05/10	SELL	AGILENT TECHNOLOGIES INC COM	A	8.000	229.99	231.52	1.53
07/13/10	02/05/10	SELL	AGILENT TECHNOLOGIES INC COM	A	9.000	261.44	260.46	(0.98)
07/13/10	06/15/10	SELL	AGILENT TECHNOLOGIES INC COM	A	8.000	255.04	231.49	(23.55)
07/13/10	02/05/10	SELL	ALLERGAN INC COM	AGN	1.000	57.42	65.96	8.54
07/13/10	02/05/10	SELL	ALLERGAN INC COM	AGN	4.000	232.03	263.84	31.81
07/13/10	02/08/10	SELL	ALLERGAN INC COM	AGN	4.000	231.44	263.84	32.40
07/13/10	02/09/10	SELL	ALLERGAN INC COM	AGN	4.000	235.63	263.84	28.21
07/13/10	02/10/10	SELL	ALLERGAN INC COM	AGN	4.000	231.91	263.84	31.93
07/13/10	03/01/10	SELL	ALLERGAN INC COM	AGN	5.000	298.79	329.79	31.00
07/13/10	03/29/10	SELL	ALLERGAN INC COM	AGN	3.000	193.37	197.88	4.51

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	03/30/10	SELL	ALLERGAN INC COM	AGN	3.000	193.94	197.88	3.94
07/13/10	03/31/10	SELL	ALLERGAN INC COM	AGN	3.000	194.64	197.88	3.24
07/13/10	04/01/10	SELL	ALLERGAN INC COM	AGN	3.000	196.70	197.85	1.15
07/13/10	07/13/09	SELL	AMAZON COM INC	AMZN	1.000	81.29	122.96	41.67
07/13/10	07/14/09	SELL	AMAZON COM INC	AMZN	1.000	80.87	122.96	42.09
07/13/10	10/23/09	SELL	AMAZON COM INC	AMZN	1.000	117.24	122.96	5.72
07/13/10	10/26/09	SELL	AMAZON COM INC	AMZN	1.000	123.00	122.96	(0.04)
07/13/10	10/30/09	SELL	AMAZON COM INC	AMZN	2.000	237.44	245.91	8.47
07/13/10	01/27/10	SELL	AMAZON COM INC	AMZN	1.000	122.83	122.96	0.13
07/13/10	01/28/10	SELL	AMAZON COM INC	AMZN	2.000	249.30	245.91	(3.39)
07/13/10	02/01/10	SELL	AMAZON COM INC	AMZN	1.000	119.45	122.95	3.50
07/13/10	03/29/10	SELL	AMERICAN TOWER CORP CL A	AMT	9.000	383.58	410.57	26.99
07/13/10	03/30/10	SELL	AMERICAN TOWER CORP CL A	AMT	8.000	341.02	364.95	23.93
07/13/10	03/29/10	SELL	ANADARKO PETE CORP	APC	6.000	427.37	281.99	(145.38)
07/13/10	03/30/10	SELL	ANADARKO PETE CORP	APC	5.000	359.09	234.99	(124.10)
07/13/10	03/31/10	SELL	ANADARKO PETE CORP	APC	6.000	436.85	281.99	(154.86)
07/13/10	04/01/10	SELL	ANADARKO PETE CORP	APC	6.000	443.14	281.99	(161.15)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	04/14/10	SELL	ANADARKO PETE CORP	APC	7.000	517.21	328.99	(188.22)
07/13/10	05/04/10	SELL	ANADARKO PETE CORP	APC	8.000	515.55	376.01	(139.54)
07/13/10	05/28/10	SELL	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	10.000	729.71	736.89	7.18
07/13/10	05/28/10	SELL	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	11.000	803.59	810.57	6.98
07/13/10	06/15/10	SELL	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	6.000	445.42	442.13	(3.29)
07/13/10	11/05/09	SELL	BROADCOM CORP CL A	BRCM	10.000	271.89	370.89	99.00
07/13/10	11/06/09	SELL	BROADCOM CORP CL A	BRCM	9.000	243.89	333.80	89.91
07/13/10	06/15/10	SELL	BROADCOM CORP CL A	BRCM	7.000	247.02	259.63	12.61
07/13/10	06/18/10	SELL	BROADCOM CORP CL A	BRCM	4.000	141.83	148.36	6.53
07/13/10	06/21/10	SELL	BROADCOM CORP CL A	BRCM	3.000	107.18	111.27	4.09
07/13/10	07/20/09	SELL	CISCO SYSTEMS INC	CSCO	11.000	231.66	254.12	22.46
07/13/10	07/21/09	SELL	CISCO SYSTEMS INC	CSCO	8.000	167.84	184.81	16.97
07/13/10	07/21/09	SELL	CISCO SYSTEMS INC	CSCO	22.000	467.94	508.23	40.29
07/13/10	08/06/09	SELL	CISCO SYSTEMS INC	CSCO	35.000	776.65	808.55	31.90
07/13/10	07/07/10	SELL	CUMMINS ENGINE INC	CMI	6.000	398.67	443.99	45.32
07/13/10	07/08/10	SELL	CUMMINS ENGINE INC	CMI	6.000	407.74	443.99	36.25
07/13/10	07/09/10	SELL	CUMMINS ENGINE INC	CMI	6.000	422.44	443.99	21.55

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	07/12/10	SELL	CUMMINS ENGINE INC	CMI	5.000	357.71	369.99	12.28
07/13/10	07/13/10	SELL	CUMMINS ENGINE INC	CMI	6.000	439.25	444.00	4.75
07/13/10	10/19/09	SELL	DELTA AIRLINES INC COM NEW	DAL	24.000	215.47	288.04	72.57
07/13/10	10/20/09	SELL	DELTA AIRLINES INC COM NEW	DAL	29.000	261.87	348.05	86.18
07/13/10	10/21/09	SELL	DELTA AIRLINES INC COM NEW	DAL	27.000	246.77	324.04	77.27
07/13/10	10/22/09	SELL	DELTA AIRLINES INC COM NEW	DAL	13.000	107.77	156.02	48.25
07/13/10	10/23/09	SELL	DELTA AIRLINES INC COM NEW	DAL	13.000	106.86	156.02	49.16
07/13/10	10/26/09	SELL	DELTA AIRLINES INC COM NEW	DAL	17.000	134.64	204.03	69.39
07/13/10	04/30/10	SELL	DELTA AIRLINES INC COM NEW	DAL	26.000	314.58	312.04	(2.54)
07/13/10	01/20/10	SELL	DISNEY WALT CO DISNEY COM	DIS	19.000	588.81	652.67	63.86
07/13/10	01/22/10	SELL	DISNEY WALT CO DISNEY COM	DIS	4.000	121.88	137.40	15.52
07/13/10	01/25/10	SELL	DISNEY WALT CO DISNEY COM	DIS	6.000	179.93	206.11	26.18
07/13/10	01/28/10	SELL	DISNEY WALT CO DISNEY COM	DIS	8.000	232.16	274.81	42.65
07/13/10	02/03/10	SELL	DISNEY WALT CO DISNEY COM	DIS	9.000	274.58	309.16	34.58
07/13/10	02/03/10	SELL	DISNEY WALT CO DISNEY COM	DIS	8.000	246.15	274.81	28.66
07/13/10	03/02/10	SELL	DISNEY WALT CO DISNEY COM	DIS	16.000	503.04	549.62	46.58
07/13/10	03/24/10	SELL	DISNEY WALT CO DISNEY COM	DIS	19.000	651.30	652.67	1.37

Recipient's Name and Address:

THE BABCOX FAMILY FOUNDATION
278 HOLLYWOOD AVE

Account Number: AEX-942915

Recipient's Identification
Number: 34-1787178

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	03/25/10	SELL	DISNEY WALT CO DISNEY COM	DIS	4.000	137.99	137.40	(0.59)
07/13/10	04/27/10	SELL	DISNEY WALT CO DISNEY COM	DIS	7.000	255.14	240.46	(14.68)
07/13/10	05/11/10	SELL	DISNEY WALT CO DISNEY COM	DIS	6.000	212.81	206.12	(6.69)
07/13/10	08/07/09	SELL	EMC CORP (MASS) COM	EMC	2.000	30.58	39.88	9.30
07/13/10	08/10/09	SELL	EMC CORP (MASS) COM	EMC	32.000	484.47	638.13	153.66
07/13/10	08/10/09	SELL	EMC CORP (MASS) COM	EMC	15.000	226.05	299.12	73.07
07/13/10	08/11/09	SELL	EMC CORP (MASS) COM	EMC	2.000	30.10	39.88	9.78
07/13/10	08/12/09	SELL	EMC CORP (MASS) COM	EMC	15.000	225.60	299.12	73.52
07/13/10	12/04/09	SELL	EMC CORP (MASS) COM	EMC	13.000	220.48	259.24	38.76
07/13/10	12/07/09	SELL	EMC CORP (MASS) COM	EMC	11.000	187.66	219.36	31.70
07/13/10	01/28/10	SELL	EMC CORP (MASS) COM	EMC	42.000	718.18	837.55	119.37
07/13/10	06/30/10	SELL	EMC CORP (MASS) COM	EMC	10.000	185.68	199.42	13.74
07/13/10	11/02/09	SELL	EXPRESS SCRIPTS INC COM	ESRX	13.000	538.37	625.55	87.18
07/13/10	11/03/09	SELL	EXPRESS SCRIPTS INC COM	ESRX	4.000	166.52	192.48	25.96
07/13/10	11/05/09	SELL	EXPRESS SCRIPTS INC COM	ESRX	14.000	587.99	673.67	85.68
07/13/10	11/10/09	SELL	EXPRESS SCRIPTS INC COM	ESRX	26.000	1,118.50	1,251.10	132.60
07/13/10	01/08/10	SELL	EXPRESS SCRIPTS INC COM	ESRX	6.000	273.56	288.71	15.15

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	01/11/10	SELL	EXPRESS SCRIPTS INC COM	ESRX	4.000	184.26	192.48	8.22
07/13/10	02/05/10	SELL	EXPRESS SCRIPTS INC COM	ESRX	8.000	333.75	384.95	51.20
07/13/10	02/05/10	SELL	EXPRESS SCRIPTS INC COM	ESRX	6.000	253.82	288.71	34.89
07/13/10	03/16/10	SELL	FEDEX CORP COM	FDX	3.000	265.70	229.89	(35.81)
07/13/10	03/17/10	SELL	FEDEX CORP COM	FDX	3.000	268.70	229.89	(38.81)
07/13/10	03/17/10	SELL	FEDEX CORP COM	FDX	3.000	268.85	229.89	(38.96)
07/13/10	03/17/10	SELL	FEDEX CORP COM	FDX	5.000	447.29	383.14	(64.15)
07/13/10	03/18/10	SELL	FEDEX CORP COM	FDX	7.000	629.08	536.40	(92.68)
07/13/10	03/18/10	SELL	FEDEX CORP COM	FDX	2.000	183.34	153.26	(30.08)
07/13/10	03/29/10	SELL	FEDEX CORP COM	FDX	3.000	276.89	229.89	(47.00)
07/13/10	05/11/10	SELL	FEDEX CORP COM	FDX	2.000	174.27	153.24	(21.03)
07/13/10	06/18/10	SELL	F5 NETWORKS INC COM	FFIV	2.000	147.77	153.84	6.07
07/13/10	06/21/10	SELL	F5 NETWORKS INC COM	FFIV	2.000	152.59	153.84	1.25
07/13/10	06/21/10	SELL	F5 NETWORKS INC COM	FFIV	1.000	74.65	76.92	2.27
07/13/10	06/22/10	SELL	F5 NETWORKS INC COM	FFIV	5.000	379.44	384.59	5.15
07/13/10	06/23/10	SELL	F5 NETWORKS INC COM	FFIV	4.000	291.32	307.67	16.35
07/13/10	06/30/10	SELL	F5 NETWORKS INC COM	FFIV	6.000	421.18	461.51	40.33

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	11/06/09	SELL	FORD MOTOR CO DEL COM PAR	F	39.000	294.06	450.91	156.85
07/13/10	11/09/09	SELL	FORD MOTOR CO DEL COM PAR	F	57.000	453.15	659.02	205.87
07/13/10	11/10/09	SELL	FORD MOTOR CO DEL COM PAR	F	57.000	465.12	659.02	193.90
07/13/10	06/03/10	SELL	FORD MOTOR CO DEL COM PAR	F	25.000	301.45	289.04	(12.41)
07/13/10	06/30/10	SELL	FORD MOTOR CO DEL COM PAR	F	63.000	652.56	728.38	75.82
07/13/10	07/24/09	SELL	GOOGLE INC CL A	GOOG	2.000	896.10	980.64	84.54
07/13/10	10/19/09	SELL	GOOGLE INC CL A	GOOG	1.000	552.11	490.32	(61.79)
07/13/10	10/21/09	SELL	GOOGLE INC CL A	GOOG	1.000	555.12	490.32	(64.80)
07/13/10	10/07/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	2.000	87.06	88.08	1.02
07/13/10	10/07/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	3.000	132.17	132.12	(0.05)
07/13/10	10/08/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	3.000	134.78	132.12	(2.66)
07/13/10	10/08/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	5.000	225.39	220.20	(5.19)
07/13/10	10/09/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	5.000	224.24	220.20	(4.04)
07/13/10	10/12/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	4.000	181.95	176.16	(5.79)
07/13/10	10/13/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	5.000	223.24	220.20	(3.04)
07/13/10	10/14/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	5.000	224.64	220.20	(4.44)
07/13/10	10/15/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	4.000	180.59	176.16	(4.43)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	10/16/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	4.000	180.44	176.16	(4.28)
07/13/10	10/19/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	4.000	183.96	176.16	(7.80)
07/13/10	10/20/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	5.000	235.10	220.20	(14.90)
07/13/10	10/21/09	SELL	ILLINOIS TOOL WORKS INC COM	ITW	6.000	294.95	264.24	(30.71)
07/13/10	01/29/10	SELL	ILLINOIS TOOL WORKS INC COM	ITW	5.000	222.29	220.15	(2.14)
07/13/10	05/25/10	SELL	ITAU UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	28.000	463.12	594.76	131.64
07/13/10	05/25/10	SELL	ITAU UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	59.000	1,010.51	1,253.25	242.74
07/13/10	05/28/10	SELL	ITAU UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	18.000	329.40	382.35	52.95
07/13/10	05/28/10	SELL	ITAU UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	18.000	330.56	382.34	51.78
07/13/10	05/28/10	SELL	JP MORGAN CHASE & CO COM	JPM	56.000	2,231.13	2,257.88	26.75
07/13/10	10/23/09	SELL	JUNIPER NETWORKS INC COM	JNPR	5.000	138.00	133.95	(4.05)
07/13/10	10/30/09	SELL	JUNIPER NETWORKS INC COM	JNPR	8.000	203.68	214.32	10.64
07/13/10	11/05/09	SELL	JUNIPER NETWORKS INC COM	JNPR	23.000	596.81	616.16	19.35
07/13/10	11/10/09	SELL	JUNIPER NETWORKS INC COM	JNPR	15.000	375.88	401.84	25.96
07/13/10	11/13/09	SELL	JUNIPER NETWORKS INC COM	JNPR	10.000	257.38	267.89	10.51
07/13/10	05/28/10	SELL	JUNIPER NETWORKS INC COM	JNPR	10.000	267.24	267.89	0.65
07/13/10	04/13/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	3.000	197.16	191.61	(5.55)

Recipient's Name and Address:

THE BABCOX FAMILY FOUNDATION
278 HOLLYWOOD AVE

Account Number: AEX-942915

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2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	04/14/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	2.000	132.24	127.74	(4.50)
07/13/10	04/15/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	3.000	200.00	191.61	(8.39)
07/13/10	04/16/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	3.000	202.46	191.61	(10.85)
07/13/10	04/19/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	2.000	135.22	127.74	(7.48)
07/13/10	04/20/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	3.000	202.91	191.61	(11.30)
07/13/10	04/21/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	2.000	135.60	127.74	(7.86)
07/13/10	04/21/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	3.000	202.07	191.61	(10.46)
07/13/10	04/22/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	3.000	201.32	191.61	(9.71)
07/13/10	04/22/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	3.000	206.12	191.61	(14.51)
07/13/10	04/27/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	3.000	198.98	191.61	(7.37)
07/13/10	05/11/10	SELL	ESTEE LAUDER COMPANIES INC CL A	EL	2.000	125.07	127.70	2.63
07/13/10	06/14/10	SELL	MARRIOTT INTL INC NEW CL A	MAR	10.000	344.48	320.99	(23.49)
07/13/10	06/15/10	SELL	MARRIOTT INTL INC NEW CL A	MAR	9.000	310.74	288.89	(21.85)
07/13/10	06/16/10	SELL	MARRIOTT INTL INC NEW CL A	MAR	10.000	352.77	320.99	(31.78)
07/13/10	06/17/10	SELL	MARRIOTT INTL INC NEW CL A	MAR	9.000	317.86	288.89	(28.97)
07/13/10	06/18/10	SELL	MARRIOTT INTL INC NEW CL A	MAR	10.000	353.98	320.99	(32.99)
07/13/10	06/21/10	SELL	MARRIOTT INTL INC NEW CL A	MAR	10.000	359.08	321.01	(38.07)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	03/29/10	SELL	MCKESSON CORP COM	MCK	3.000	195.53	201.48	5.95
07/13/10	03/30/10	SELL	MCKESSON CORP COM	MCK	3.000	195.53	201.48	5.95
07/13/10	03/31/10	SELL	MCKESSON CORP COM	MCK	3.000	197.21	201.48	4.27
07/13/10	04/01/10	SELL	MCKESSON CORP COM	MCK	3.000	198.21	201.48	3.27
07/13/10	04/05/10	SELL	MCKESSON CORP COM	MCK	5.000	333.03	335.79	2.76
07/13/10	04/06/10	SELL	MCKESSON CORP COM	MCK	8.000	533.31	537.27	3.96
07/13/10	04/21/10	SELL	MCKESSON CORP COM	MCK	2.000	131.08	134.32	3.24
07/13/10	04/22/10	SELL	MCKESSON CORP COM	MCK	1.000	66.31	67.16	0.85
07/13/10	04/22/10	SELL	MCKESSON CORP COM	MCK	2.000	133.40	134.32	0.92
07/13/10	04/23/10	SELL	MCKESSON CORP COM	MCK	3.000	200.36	201.48	1.12
07/13/10	04/23/10	SELL	MCKESSON CORP COM	MCK	4.000	270.67	268.64	(2.03)
07/13/10	05/04/10	SELL	MCKESSON CORP COM	MCK	10.000	667.89	671.59	3.70
07/13/10	05/10/10	SELL	MCKESSON CORP COM	MCK	3.000	197.18	201.45	4.27
07/13/10	01/21/10	SELL	NOBLE ENERGY INC COM	NBL	5.000	382.89	335.84	(47.05)
07/13/10	01/22/10	SELL	NOBLE ENERGY INC COM	NBL	2.000	155.88	134.34	(21.54)
07/13/10	01/25/10	SELL	NOBLE ENERGY INC COM	NBL	2.000	156.28	134.34	(21.94)
07/13/10	01/26/10	SELL	NOBLE ENERGY INC COM	NBL	8.000	625.42	537.35	(88.07)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	01/27/10	SELL	NOBLE ENERGY INC COM	NBL	2.000	149.28	134.34	(14.94)
07/13/10	06/16/10	SELL	NOBLE ENERGY INC COM	NBL	4.000	263.55	268.65	5.10
07/13/10	03/02/10	SELL	PARKER HANNIFIN CORP COM	PH	3.000	186.06	174.30	(11.76)
07/13/10	03/03/10	SELL	PARKER HANNIFIN CORP COM	PH	2.000	126.40	116.20	(10.20)
07/13/10	03/03/10	SELL	PARKER HANNIFIN CORP COM	PH	5.000	315.99	291.74	(24.25)
07/13/10	04/21/10	SELL	PRECISION CASTPARTS CORP	PCP	1.000	127.24	109.82	(17.42)
07/13/10	04/22/10	SELL	PRECISION CASTPARTS CORP	PCP	2.000	265.16	219.64	(45.52)
07/13/10	04/22/10	SELL	PRECISION CASTPARTS CORP	PCP	1.000	133.47	109.82	(23.65)
07/13/10	04/23/10	SELL	PRECISION CASTPARTS CORP	PCP	2.000	265.22	219.64	(45.58)
07/13/10	04/26/10	SELL	PRECISION CASTPARTS CORP	PCP	2.000	270.00	219.64	(50.36)
07/13/10	04/27/10	SELL	PRECISION CASTPARTS CORP	PCP	2.000	265.12	219.64	(45.48)
07/13/10	04/27/10	SELL	PRECISION CASTPARTS CORP	PCP	2.000	261.84	219.64	(42.20)
07/13/10	04/28/10	SELL	PRECISION CASTPARTS CORP	PCP	3.000	392.55	329.45	(63.10)
07/13/10	04/30/10	SELL	PRECISION CASTPARTS CORP	PCP	3.000	387.23	329.45	(57.78)
07/13/10	05/10/10	SELL	PRECISION CASTPARTS CORP	PCP	2.000	248.45	219.62	(28.83)
07/13/10	11/02/09	SELL	PRICELINE COM INC COM NEW	PCLN	2.000	324.87	428.11	103.24
07/13/10	11/05/09	SELL	PRICELINE COM INC COM NEW	PCLN	2.000	340.44	428.11	87.67

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	11/06/09	SELL	PRICELINE COM INC COM NEW	PCLN	2.000	342.53	428.11	85.58
07/13/10	11/09/09	SELL	PRICELINE COM INC COM NEW	PCLN	3.000	519.33	642.17	122.84
07/13/10	06/30/10	SELL	SALESFORCE.COM INC COM STOCK	CRM	7.000	608.49	653.44	44.95
07/13/10	07/01/10	SELL	SALESFORCE.COM INC COM STOCK	CRM	7.000	602.97	653.43	50.46
07/13/10	07/17/09	SELL	TJX COMPANIES INC (NEW)	TJX	6.000	208.87	255.54	46.67
07/13/10	07/17/09	SELL	TJX COMPANIES INC (NEW)	TJX	10.000	350.20	425.89	75.69
07/13/10	07/17/09	SELL	TJX COMPANIES INC (NEW)	TJX	3.000	105.11	127.77	22.66
07/13/10	07/24/09	SELL	TJX COMPANIES INC (NEW)	TJX	6.000	214.53	255.54	41.01
07/13/10	07/27/09	SELL	TJX COMPANIES INC (NEW)	TJX	6.000	213.84	255.54	41.70
07/13/10	07/27/09	SELL	TJX COMPANIES INC (NEW)	TJX	5.000	178.10	212.95	34.85
07/13/10	07/28/09	SELL	TJX COMPANIES INC (NEW)	TJX	8.000	285.79	340.71	54.92
07/13/10	01/29/10	SELL	TJX COMPANIES INC (NEW)	TJX	9.000	345.84	383.29	37.45
07/13/10	05/05/10	SELL	TARGET CORP	TGT	5.000	276.34	249.95	(26.39)
07/13/10	05/06/10	SELL	TARGET CORP	TGT	4.000	220.07	199.96	(20.11)
07/13/10	05/07/10	SELL	TARGET CORP	TGT	9.000	484.40	449.90	(34.50)
07/13/10	05/10/10	SELL	TARGET CORP	TGT	11.000	617.66	549.88	(67.78)
07/13/10	05/17/10	SELL	TARGET CORP	TGT	7.000	387.22	349.92	(37.30)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/13/10	05/17/10	SELL	TARGET CORP	TGT	5.000	279.04	249.94	(29.10)
07/13/10	01/08/10	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	3.000	174.23	162.78	(11.45)
07/13/10	01/11/10	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	2.000	117.54	108.51	(9.03)
07/13/10	02/05/10	SELL	UNITEDHEALTH GROUP INC COM	UNH	17.000	543.98	512.71	(31.27)
07/13/10	02/05/10	SELL	UNITEDHEALTH GROUP INC COM	UNH	5.000	162.19	150.80	(11.39)
07/13/10	02/08/10	SELL	UNITEDHEALTH GROUP INC COM	UNH	7.000	227.36	211.12	(16.24)
07/13/10	02/09/10	SELL	UNITEDHEALTH GROUP INC COM	UNH	7.000	228.05	211.12	(16.93)
07/13/10	02/09/10	SELL	UNITEDHEALTH GROUP INC COM	UNH	15.000	487.92	452.38	(35.54)
Total Short Term						120,348.75	124,580.69	4,231.94
Long Term								
01/08/10	02/14/08	SELL	DIRECTV COM CL A	DTV	13.000	323.45	443.30	119.85
02/01/10	09/11/08	SELL	QUALCOMM INC	QCOM	1.000	48.29	39.63	(8.66)
02/01/10	09/22/08	SELL	QUALCOMM INC	QCOM	7.000	335.08	277.40	(57.68)
02/01/10	11/07/08	SELL	QUALCOMM INC	QCOM	4.000	140.51	158.52	18.01
03/01/10	02/14/08	SELL	DIRECTV COM CL A	DTV	9.000	223.93	310.24	86.31
03/01/10	11/07/08	SELL	DIRECTV COM CL A	DTV	9.000	197.62	310.24	112.62
03/16/10	11/07/08	SELL	DIRECTV COM CL A	DTV	2.000	43.92	69.20	25.28
03/16/10	11/10/08	SELL	DIRECTV COM CL A	DTV	4.000	87.12	138.40	51.28

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/16/10	11/11/08	SELL	DIRECTV COM CL A	DTV	1.000	21.89	34.60	12.71
03/17/10	11/11/08	SELL	DIRECTV COM CL A	DTV	3.000	65.66	103.68	38.02
03/17/10	01/14/09	SELL	DIRECTV COM CL A	DTV	9.000	187.98	311.05	123.07
03/17/10	03/06/09	SELL	DIRECTV COM CL A	DTV	1.000	19.23	34.56	15.33
03/19/10	03/06/09	SELL	DIRECTV COM CL A	DTV	8.000	153.84	268.85	115.01
03/29/10	11/30/06	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	1.502	169.22	124.98	(44.24)
03/29/10	07/20/07	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	0.700	90.52	58.21	(32.31)
03/29/10	08/10/07	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	2.798	362.08	232.86	(129.22)
03/29/10	01/14/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	2.000	282.00	166.43	(115.57)
03/29/10	02/07/08	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	6.000	277.22	382.61	105.39
03/30/10	01/14/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	1.000	141.00	83.96	(57.04)
03/30/10	01/18/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	3.000	377.70	251.88	(125.82)
03/30/10	01/25/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	2.000	256.46	167.92	(88.54)
03/30/10	02/06/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	2.000	245.22	167.93	(77.29)
03/30/10	02/20/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	5.000	685.80	418.50	(267.30)
03/30/10	07/08/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	2.000	284.11	167.40	(116.71)
03/30/10	02/07/08	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	5.000	231.02	318.51	87.49

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/30/10	02/08/08	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	3.000	139.41	191.10	51.69
03/31/10	04/22/08	SELL	APPLE INC COM	AAPL	2.000	325.06	471.20	146.14
03/31/10	04/24/08	SELL	APPLE INC COM	AAPL	2.000	335.21	471.19	135.98
04/08/10	04/29/08	SELL	APPLE INC COM	AAPL	1.000	174.79	239.41	64.62
05/12/10	09/17/08	SELL	GOOGLE INC CL A	GOOG	1.000	414.92	503.74	88.82
05/28/10	05/06/09	SELL	BANK AMER CORP COM	BAC	43.000	535.02	685.85	150.83
05/28/10	05/08/09	SELL	BANK AMER CORP COM	BAC	15.000	210.00	239.25	29.25
05/28/10	05/14/09	SELL	BANK AMER CORP COM	BAC	18.000	203.64	287.10	83.46
05/28/10	05/26/09	SELL	BANK AMER CORP COM	BAC	14.000	153.99	223.30	69.31
05/28/10	09/22/08	SELL	GOOGLE INC CL A	GOOG	1.000	441.58	485.74	44.16
05/28/10	12/12/08	SELL	GOOGLE INC CL A	GOOG	1.000	308.35	485.74	177.39
05/28/10	02/11/09	SELL	MICROSOFT CORP COM	MSFT	1.000	19.28	25.87	6.59
05/28/10	02/11/09	SELL	MICROSOFT CORP COM	MSFT	10.000	192.09	258.70	66.61
05/28/10	03/09/09	SELL	MICROSOFT CORP COM	MSFT	11.000	172.15	284.57	112.42
05/28/10	03/09/09	SELL	MICROSOFT CORP COM	MSFT	11.000	168.63	284.57	115.94
05/28/10	03/10/09	SELL	MICROSOFT CORP COM	MSFT	12.000	194.28	310.42	116.14
06/16/10	03/10/09	SELL	MICROSOFT CORP COM	MSFT	7.000	113.33	183.82	70.49

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
06/16/10	04/24/09	SELL	MICROSOFT CORP COM	MSFT	20.000	404.40	525.19	120.79
06/16/10	05/01/09	SELL	MICROSOFT CORP COM	MSFT	13.000	259.22	341.37	82.15
06/22/10	05/01/09	SELL	MICROSOFT CORP COM	MSFT	5.000	99.70	131.96	32.26
06/24/10	06/03/09	SELL	AMAZON COM INC	AMZN	2.000	168.35	236.69	68.34
06/24/10	06/03/09	SELL	AMAZON COM INC	AMZN	8.000	675.00	946.78	271.78
07/13/10	01/25/08	SELL	AMERICAN TOWER CORP CL A	AMT	5.000	185.40	228.10	42.70
07/13/10	02/06/08	SELL	AMERICAN TOWER CORP CL A	AMT	8.000	302.90	364.95	62.05
07/13/10	09/25/08	SELL	AMERICAN TOWER CORP CL A	AMT	18.000	670.30	821.14	150.84
07/13/10	09/26/08	SELL	AMERICAN TOWER CORP CL A	AMT	2.000	74.20	91.24	17.04
07/13/10	09/26/08	SELL	AMERICAN TOWER CORP CL A	AMT	12.000	449.69	547.43	97.74
07/13/10	10/03/08	SELL	AMERICAN TOWER CORP CL A	AMT	4.000	141.75	182.48	40.73
07/13/10	10/10/08	SELL	AMERICAN TOWER CORP CL A	AMT	3.000	85.02	136.86	51.84
07/13/10	05/06/09	SELL	BROADCOM CORP CL A	BRCM	1.000	23.55	37.09	13.54
07/13/10	05/07/09	SELL	BROADCOM CORP CL A	BRCM	12.000	271.82	445.07	173.25
07/13/10	05/07/09	SELL	BROADCOM CORP CL A	BRCM	14.000	324.04	519.25	195.21
07/13/10	01/24/08	SELL	CISCO SYSTEMS INC	CSCO	33.000	823.02	762.35	(60.67)
07/13/10	05/01/08	SELL	CISCO SYSTEMS INC	CSCO	26.000	695.24	600.64	(94.60)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/13/10	05/07/09	SELL	CISCO SYSTEMS INC	CSCO	10.000	189.84	231.01	41.17
07/13/10	05/07/09	SELL	CISCO SYSTEMS INC	CSCO	10.000	189.50	231.01	41.51
07/13/10	04/29/09	SELL	GOOGLE INC CL A	GOOG	1.000	394.49	490.32	95.83
07/13/10	04/07/09	SELL	NOBLE ENERGY INC COM	NBL	2.000	115.98	134.34	18.36
07/13/10	04/08/09	SELL	NOBLE ENERGY INC COM	NBL	4.000	229.03	268.68	39.65
07/13/10	04/15/09	SELL	NOBLE ENERGY INC COM	NBL	4.000	232.59	268.68	36.09
07/13/10	04/23/09	SELL	NOBLE ENERGY INC COM	NBL	4.000	233.30	268.68	35.38
07/13/10	04/24/09	SELL	NOBLE ENERGY INC COM	NBL	2.000	121.45	134.34	12.89
07/13/10	04/27/09	SELL	NOBLE ENERGY INC COM	NBL	1.000	58.73	67.17	8.44
07/13/10	04/30/09	SELL	NOBLE ENERGY INC COM	NBL	3.000	168.46	201.51	33.05
07/13/10	02/08/08	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	7.000	325.30	379.81	54.51
07/13/10	02/12/08	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	4.000	187.10	217.04	29.94
07/13/10	02/13/08	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	4.000	190.16	217.04	26.88
07/13/10	02/06/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	2.000	84.60	108.52	23.92
07/13/10	02/06/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	5.000	210.79	271.29	60.50
07/13/10	04/23/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	10.000	436.70	542.59	105.89
Total Long Term						18,374.22	21,623.05	3,248.83