Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation

THE AHUJA FOUNDATION

A Employer identification number 34-1685088

Number and street (or P O box number if mail is not delivered to street address) | Room/suite

81 SEAGATE DRIVE #2101

B Telephone number (see page 10 of the instructions) (239) 403-7940

City or town, state, and ZIP code

NAPLES, FL 34103

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 13,417,948.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc., received (attach schedule)	66,666.			
2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments	105,451.	105,451.		ATCH 1
4	Dividends and interest from securities	34,071.	34,071.		ATCH 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	825,043.			
b	Gross sales price for all assets on line 6a 5,383,830.				
7	Capital gain net income (from Part IV, line 2)		825,043.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	2,618.	2,579.		ATCH 3
12	**Total.** Add lines 1 through 11	1,033,849.	967,144.		
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc	0.			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH 4	2,500.	0.	0.	2,500.
c	Other professional fees (attach schedule) *	27,425.	27,425.		
17	Interest ATTACHMENT 6	71.	71.		
18	Taxes (attach schedule) (see page 14 of the instructions) **	1,435.	1,410.		25.
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 8	217.	217.		
24	**Total operating and administrative expenses.** Add lines 13 through 23	31,648.	29,123.	0.	2,525.
25	Contributions, gifts, grants paid	530,382.			530,382.
26	**Total expenses and disbursements** Add lines 24 and 25	562,030.	29,123.	0.	532,907.
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	471,819.			
b	**Net investment income** (if negative, enter -0-)		938,021.		
c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	1,270,965.	522,407.	522,407.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule) ATCH 9	10,379,313.	11,917,138.	11,884,484.
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ ATCH 10)	1,129,141.	1,129,141.	1,011,057.
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	12,779,419.	13,568,686.	13,417,948.
Liabilities 17	Accounts payable and accrued expenses	0.	317,448.	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0.	317,448.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
27	Capital stock, trust principal, or current funds	12,779,419.	13,251,238.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30	**Total net assets or fund balances** (see page 17 of the instructions)	12,779,419.	13,251,238.	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	12,779,419.	13,568,686.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,779,419.
2	Enter amount from Part I, line 27a	2	471,819.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	13,251,238.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	13,251,238.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	825,043.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	423,185.	11,878,618.	0.035626
2008	611,725.	13,482,049.	0.045373
2007	2,327,513.	15,127,388.	0.153861
2006	360,100.	14,234,078.	0.025298
2005	183,500.	4,375,828.	0.041935

2	**Total** of line 1, column (d)	2	0.302093
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.060419
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	12,791,312.
5	Multiply line 4 by line 3	5	772,838.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	9,380.
7	Add lines 5 and 6	7	782,218.
8	Enter qualifying distributions from Part XII, line 4	8	532,907.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	18,760.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	18,760.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	18,760.
6	Credits/Payments			
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a 9,511.		
b	Exempt foreign organizations-tax withheld at source	6b 0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c 10,000.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	19,511.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached		8	175.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	576.
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 576. **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶$ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ OH,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MONTE AHUJA Telephone no ▶ 239-403-7940

Located at ▶ 81 SEAGATE DRIVE #2101 NAPLES, FL ZIP + 4 ▶ 34103

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		5b	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53 4945-5(d)*	☐ Yes ☐ No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*		6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	10,852,974.
b	Average of monthly cash balances	1b	1,121,051.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	1,012,079.
d	**Total** (add lines 1a, b, and c)	1d	12,986,104.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,986,104.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	194,792.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	12,791,312.
6	**Minimum investment return.** Enter 5% of line 5	6	639,566.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	639,566.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	18,760.		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	18,760.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	620,806.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	620,806.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	620,806.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	532,907.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	532,907.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	532,907.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7					620,806.
2 Undistributed income, if any, as of the end of 2010					
a Enter amount for 2009 only					
b Total for prior years 20 08 ,20 07 ,20 06					
3 Excess distributions carryover, if any, to 2010					
a From 2005					
b From 2006					
c From 2007	1,160,567.				
d From 2008					
e From 2009					
f **Total** of lines 3a through e		1,160,567.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 532,907.					
a Applied to 2009, but not more than line 2a					
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)					
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)					
d Applied to 2010 distributable amount					532,907.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		87,899.			87,899.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,072,668.			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions					
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions					
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)					
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a		1,072,668.			
10 Analysis of line 9					
a Excess from 2006					
b Excess from 2007	1,072,668.				
c Excess from 2008					
d Excess from 2009					
e Excess from 2010					

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year (a) 2010	Prior 3 years (b) 2009	(c) 2008	(d) 2007	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 12				
Total ▶ 3a				530,382.
b *Approved for future payment*				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See page 28 of the instructions.)
1 Program service revenue					
a ATTACHMENT 13				66,666.	
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	105,451.	
4 Dividends and interest from securities			14	34,071.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	2,618.	
8 Gain or (loss) from sales of assets other than inventory			18	825,043.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				1,033,849.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 1,033,849.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions.)
N/A	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11/14/11 Title: Trustee

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Jeffrey Timen	[signature]	11-10-11		P01345584

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP Firm's EIN ▶ 13-4008324

Firm's address ▶ 200 PUBLIC SQUARE, 18TH FLOOR
CLEVELAND, OH 44114-2301 Phone no 216-875-3000

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2010

Name of the organization
THE AHUJA FOUNDATION

Employer identification number
34-1685088

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE AHUJA FOUNDATION

Employer identification number 34-1685088

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	NEIL & MANISHA SETHI 28159 RED RAVEN ROAD PEPPER PIKE, OH 44124	$ 33,333.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
2	RITU AHUJA 230 W. 56TH STREET NEW YORK, NY 10019	$ 33,333.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					6.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					27,439.	
		GOLDMAN SACHS #83778 ST - SEE STATEMENT				P	VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES						
359,684.		351,965.					7,719.	
		GOLDMAN SACHS #83778 LT - SEE STATEMENT				P	VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES						
150,864.		149,609.					1,255.	
		GOLDMAN SACHS #37134 ST - SEE STATEMENT				P	VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES						
1,175,978.		1,060,626.					115,352.	
		GOLDMAN SACHS #37134 LT - SEE STATEMENT				P	VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES						
2,321,645.		1,700,000.					621,645.	
		GOLDMAN SACHS #16883 LT - SEE STATEMENT				P	VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES						
228,642.		206,664.					21,978.	
		GOLDMAN SACHS #50397 ST - SEE STATEMENT				P	VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES						
417,575.		395,198.					22,377.	
		GOLDMAN SACHS #50397 LT - SEE STATEMENT				P	VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES						
175,993.		148,494.					27,499.	
		GOLDMAN SACHS #50399 ST - SEE STATEMENT				P	VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES						
124,854.		121,294.					3,560.	
		GOLDMAN SACHS #50399 LT - SEE STATEMENT				P	VARIOUS	VARIOUS
		PROPERTY TYPE: SECURITIES						
401,150.		424,937.					-23,787.	
TOTAL GAIN(LOSS)							825,043.	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GOLDMAN SACHS & CO.	106,639.	106,639.
GOLDMAN SACHS & CO ACCRUED INTEREST PAID	-1,188.	-1,188.
TOTAL	105,451.	105,451.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GOLDMAN, SACHS & CO.		34,071.	34,071.
	TOTAL	34,071.	34,071.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GOLDMAN SACHS OTHER INCOME	2,618.	2,579.
TOTALS	2,618.	2,579.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
PRICEWATERHOUSECOOPERS FEES	2,500.	0.	0.	2,500.
TOTALS	2,500.	0.	0.	2,500.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GOLDMAN, SACHS & CO. MANAGEMEN	27,425.	27,425.
TOTALS	27,425.	27,425.

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GOLDMAN, SACHS & CO.	71.	71.
TOTALS	71.	71.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
FOREIGN TAXES PAID	1,410.	1,410.	
STATE OF OHIO FILING FEE	25.		25.
TOTALS	1,435.	1,410.	25.

ATTACHMENT 8

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MISCELLANEOUS EXPENSE	217.	217.
TOTALS	217.	217.

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
GS #16883 SEE STMT 9-A	1,996,109.	2,089,085.
GS #37134 SEE STMT 9-B	8,775,803.	8,620,651.
GS #83778 SEE STMT 9-C	5,167.	5,432.
GS #50397 SEE STMT 9-D	477,021.	491,001.
GS #50399 SEE STMT 9-E	663,038.	678,315.
TOTALS	11,917,138.	11,884,484.

ATTACHMENT 10

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
INSURANCE POLICY	1,129,141.	1,011,057.
TOTALS	1,129,141.	1,011,057.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MONTE AHUJA 81 SEAGATE DRIVE, UNIT 2101 NAPLES, FL 34103	TRUSTEE	0.	0.	0.
VIR K. SONDHI 7350 YOUNG DRIVE CLEVELAND, OH 44146	TRUSTEE	0.	0.	0.
USHA AHUJA 81 SEAGATE DRIVE, UNIT 2101 NAPLES, FL 34103	TRUSTEE	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AIPNO 1627 ELBUR AVENUE LAKEWOOD, OH 44107	501(C)(3)	GENERAL SUPPORT	7,900.
YOUNG LIFE CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	1,000.
TRI C FOUNDATION 700 CARNEGIE AVENUE CLEVELAND, OH 44115	501(C)(3)	GENERAL SUPPORT	1,000.
F.I.C.A. 12412 CEDAR ROAD CLEVELAND HEIGHTS, OH 44106	501(C)(3)	GENERAL SUPPORT	500.
MUSICAL ARTS ASSOCIATION THE CLEVELAND ORCHESTRA 11001 EUCLID AVENUE CLEVELAND, OH 44106	501(C)(3)	GENERAL SUPPORT	9,000.
SMILE TRAIN CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE CLEVELAND FOUNDATION CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	2,500.
EKAL VIDYALAYA FOUNDATION OF USA HOUSTON, TX	501(C)(3)	GENERAL SUPPORT	7,500.
HATHAWAY BROWN SCHOOL 19600 NORTH PARK BLVD SHAKER HEIGHTS, OH 44122	501(C)(3)	GENERAL SUPPORT	100,000.
NAPLES CHILDREN AND EDUCATION FOUNDATION NAPLES, FL	501(C)(3)	GENERAL SUPPORT	93,000.
RAINBOW BABIES AND CHILDREN'S HOSPITAL 11100 EUCLID AVENUE CLEVELAND, OH 44106	501(C)(3)	GENERAL SUPPORT	17,650.
PLAYHOUSE SQUARE FOUNDATION CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	3,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RONALD MCDONALD HOUSE OF CLEVELAND CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	15,000.
UNITED WAY OF GREATER CLEVELAND CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	30,082.
HIRAM HOUSE CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	1,000.
THE SALVATION ARMY CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	2,000.
GREAT LAKES SCIENCE CENTER CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	5,000.
NORTH COAST COMMUNITY HOMES CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	250.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WALK MS MANHATTAN NEW YORK, NY	501(C)(3)	GENERAL SUPPORT	750.
NICKLAUS CHILDREN'S HEALTH CARE FUND CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	10,000.
CLEVELAND RAPE CRISIS CENTER CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	500.
B'NAI B'RITH CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	1,000.
MAKE IT HAPPEN CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	500.
AMERICAN HEART ASSOCIATION CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	250.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CLEVELAND SIGHT CENTER CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	1,000.
UNIVERSITY SCHOOL CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	1,000.
JOHN CARROLL UNIVERSITY UNIVERSITY HEIGHTS, OH	501(C)(3)	GENERAL SUPPORT	1,500.
THE ARTHRITIS FOUNDATIUON CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	1,000.
CLEVELAND DEVELOPMENT FOUNDATION CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	1,500.
ROCK AND ROLL HALL OF FAME CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	10,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY HOSPITALS CLEVELAND, OH	501(C)(3)	GENERAL SUPPORT	200,000.
		TOTAL CONTRIBUTIONS PAID	530,382.

THE AHUJA FOUNDATION 34-1685088

FORM 990-PF, PART XVI-A - ANALYSIS OF PROGRAM SERVICE REVENUE

ATTACHMENT 13

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
CONTRIBUTIONS INTO FOUNDATION			13	66,666.	
TOTALS				66,666.	

FIXED INCOME

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GS GOVERNMENT/CORPORATE FIXED INCOME								
GOLDMAN SACHS BANK DEPOSIT (BDA) Not Rated[14]	94,310 35	1 0000	94,310 35	1 0000	94,310 35		0 1679	158 32

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
MCDONALD'S CORPORATION 6 000000 04/15/2011 CALLABLE @ M-W+20BP S&P A /Moody's A2	100,000 00	101 5790	101,579 00 1,266 67	101 1477 107 25	101,147 73 107,250 00	431 27 (5,671 00)	1.9818	6,000 00
SBC COMMUNICATIONS INC CPN 5 8750 02/01/2012 S&P A- /Moody's A2	100,000 00	105 2760	105,276 00 2,431 60	101 9734 104 94	101,973 36 104,940 00	3,302 64 336 00	4 0005	5,875 00
PFIZER INC 4 45% 03/15/2012 SR LIEN M-W+50 00BP S&P AA /Moody's A1	100,000 00	104 3460	104,346 00 1,310 28	102 0692 104 86	102,069 22 104,860 00	2,276 78 (514 00)	2 6913	4,450 00
HEWLETT-PACKARD COMPANY 6 5% 07/01/2012 S&P A /Moody's A2	100,000.00	108 2480	108,248 00 3,250 00	105 0600 110 30	105,059 98 110,302 00	3,188.02 (2,054 00)	3 0301	6,500 00
CAMPBELL SOUP COMPANY 5 000000 12/03/2012 S&P A /Moody's A2	100,000 00	107 8460	107,846 00 388 89	103 5542 106 50	103,554 23 106,500 00	4,291 77 1,346 00	3 0818	5,000 00
GENERAL DYNAMICS CORPORATION CPN 4 2500 05/15/2013 M-W+25 00BP S&P A /Moody's A2	100,000 00	107 4790	107,479 00 543 06	102 3454 103 86	102,345 38 103,860 00	5,133 62 3,619 00	3 2152	4,250 00
PHILIP MORRIS INTERNATIONAL IN 4 875% 05/16/2013 SR LIEN S&P A /Moody's A2	100,000 00	108 2550	108,255 00 609 38	102 0768 103 43	102,076 84 103,430 00	6,178 16 4,825 00	3 9502	4,875 00
NOVARTIS CAPITAL CORP 4 125% 02/10/2014 M-W+40 00BP S&P AA- /Moody's Aa2	100,000 00	106 7190	106,719 00 1,615 63	102 5717 103 86	102,571 74 103,860 00	4,147 26 2,859 00	3 2491	4,125 00
UNILEVER CAPITAL CORPORATION 3 65% 02/15/2014 SR LIEN M-W+30 00BP S&P A+ /Moody's A1	100,000 00	105 1650	105,165 00 1,378 89	100 7348 101 10	100,734 76 101,102 00	4,430 24 4,063 00	3 4001	3,650 00
MICROSOFT CORPORATION 2 95% 06/01/2014 SR LIEN S&P AAA /Moody's Aaa	100,000 00	104 0980	104,098 00 245 83	99 9020	99,902 00	4,196 00	2 9709	2,950 00
PROCTER & GAMBLE COMPANY (THE) 3 5% 02/15/2015 M-W+30 00BP S&P AA- /Moody's Aa3	100,000 00	104 9920	104,992 00 1,322 22	100 5358 100 73	100,535 84 100,730 00	4,456 16 4,262 00	3 3598	3,500 00
CISCO SYSTEMS, INC 5 5% 02/22/2016 M-W+20 00BP S&P A+ /Moody's A1	100,000 00	114 1110	114,111 00 1,970 83	104 8856 106 23	104,885 58 106,230 00	9,225 42 7,881 00	4 4274	5,500 00
BOTTLING GROUP, LLC 5 5% 04/01/2016 SR LIEN M-W+15 00BP S&P A /Moody's Aa3	100,000 00	113 9600	113,960 00 1,375 00	104 8213 106 13	104,821 35 106,130 00	9,138 65 7,830 00	4 4596	5,500 00

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

STATEMENT 9-A

TAF GS GOV/CORP FI
Holdings (Continued)

Period Ended December 31, 2010

FIXED INCOME (Continued)

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GS GOVERNMENT/CORPORATE FIXED INCOME								
INTL BUSINESS MACHINES CORP 5 7% 09/14/2017 SR LIEN M-W+20 00BP S&P A+ /Moody's Aa3	100,000 00	114 7750	114,775 00 1,694 17	103 6755 104 44	103,675 54 104,440 00	11,099 46 10,335 00	5 0466	5,700 00
VERIZON COMMUNICATIONS INC 5 5% 02/15/2018 M-W+30 00BP S&P A- /Moody's A3	100,000.00	109 8940	109,894 00 2,077 78	96 8329 96 07	96,832 88 96,070 00	13,061 12 13,824 00	6 0800	5,500 00
WAL-MART STORES, INC 5 8% 02/15/2018 S&P AA /Moody's Aa2	100,000 00	114 9040	114,904 00 2,191 11	108 2913 109 85	108,291 33 109,850 00	6,612 67 5,054 00	4 4301	5,800 00
ORACLE CORPORATION 5 75% 04/15/2018 SR LIEN M-W+35 00BP S&P A /Moody's A2	100,000 00	114 3950	114,395 00 1,213 89	107 4141 108 82	107,414 14 108,820 00	6,980 86 5,575 00	4 5434	5,750 00
JPMORGAN CHASE & CO 4 4% 07/22/2020 SR LIEN S&P A+ /Moody's Aa3	100,000 00	98 4250	98,425 00 1,943 33	102 4206 102 47	102,420 58 102,470 00	(3,995 58) (4,045 00)	4 0914	4,400 00
COCA-COLA COMPANY (THE) 3 15% 11/15/2020 SR LIEN M-W+10 00BP S&P A+ /Moody's Aa3	125,000 00	93 8290	117,286 25 503 13	92 3113 92 29	115,389 15 115,362 50	1,897 10 1,923 75	4 1053	3,937 50
TOTAL GS GOVERNMENT/CORPORATE FIXED INCOME			2,156,063 60 27,331 69		2,060,011 98 2,090,418 85	96,051 62 65,644 75	3 8205	93,420 82

			Market Value		Adjusted Cost /[6] Original Cost	Unrealized Gain (Loss)		Estimated Annual Income
TOTAL PORTFOLIO			**2,183,395.29**		**2,060,011.98** **2,090,418.85**	**96,051.62** **65,644.75**		**93,420.82**
		LESS CASH:	(94,310)		(94,310)			
		NET INVESTMENTS:	2,089,085		1,996,109			

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

STATEMENT 9-A

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2010

Name of estate or trust: THE AHUJA FOUNDATION

Employer identification number: 34-1685088

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	149,008.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	6.
4	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	149,014.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	648,590.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	27,439.
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	676,029.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II

Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			149,014.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			676,029.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			825,043.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *on page 7 of the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if*

- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20 If zero or less, enter -0-			22			
23	Subtract line 22 from line 17 If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,300			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the **smaller** of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust: THE AHUJA FOUNDATION

Employer identification number: 34-1685088

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a GOLDMAN SACHS #83778 ST - SEE STATEMENT D-1	VARIOUS	VARIOUS	359,684.	351,965.	7,719.
GOLDMAN SACHS #37134 ST - SEE STATEMENT D-2	VARIOUS	VARIOUS	1,175,978.	1,060,626.	115,352.
GOLDMAN SACHS #50397 ST - SEE STATEMENT D-4	VARIOUS	VARIOUS	417,575.	395,198.	22,377.
GOLDMAN SACHS #50399 ST - SEE STATEMENT D-5	VARIOUS	VARIOUS	124,854.	121,294.	3,560.

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . 149,008.

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a GOLDMAN SACHS #83778 LT - SEE STATEMENT D-1	VARIOUS	VARIOUS	150,864.	149,609.	1,255.
GOLDMAN SACHS #37134 LT - SEE STATEMENT D-2	VARIOUS	VARIOUS	2,321,645.	1,700,000.	621,645.
GOLDMAN SACHS #16883 LT - SEE STATEMENT D-3	VARIOUS	VARIOUS	228,642.	206,664.	21,978.
GOLDMAN SACHS #50397 LT - SEE STATEMENT D-4	VARIOUS	VARIOUS	175,993.	148,494.	27,499.
GOLDMAN SACHS #50399 LT - SEE STATEMENT D-5	VARIOUS	VARIOUS	401,150.	424,937.	-23,787.

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . 648,590.

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

Net Short Term Gains (Losses)	7,719 36	Net Long Term Gains (Losses)	1,254 50	Net Ordinary Gains (Losses)	0 00
Net Miscellaneous Short Term Gains (Losses)	0 00	Net Miscellaneous Long Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short Term Gains (Losses)	0 00	Net Regulated Futures Contract Long Term Gains (Losses)	0 00		
Total Short Term Gains (Losses)	**7,719.36**	**Total Long Term Gains (Losses)**	**1,254.50**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
BROADCOM CORP CL-A CMN CLASS A (111320107)	10/28/2009	01/05/2010	98 00	3,104 62	0 00	2,647 18	457 44
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	05/07/2009	01/05/2010	33 00	2,751 74	0 00	1,708 26	1,043 48
DOW CHEMICAL CO CMN (260543103)	05/11/2009	01/07/2010	131 00	4,065 02	0 00	2,244 05	1,820 97
UNITED STATES STEEL CORP CMN (912909108)	08/07/2009	01/14/2010	68 00	4,310 43	0 00	3,054 76	1,255 67
CHEVRON CORPORATION CMN (166764100)	10/29/2009	01/15/2010	74 00	5,828 73	0 00	5,732 75	95 98
HALLIBURTON COMPANY CMN (406216101)	09/10/2009	01/20/2010	74 00	2,464 53	0 00	1,901 15	563 38
HALLIBURTON COMPANY CMN (406216101)	10/01/2009	01/20/2010	5 00	166 52	0 00	133 62	32 90
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	05/07/2009	01/21/2010	35 00	2,731 46	0 00	1,811 80	919 66
UNITED STATES STEEL CORP CMN (912909108)	08/07/2009	01/21/2010	48 00	2,782 56	0 00	2,156 30	626 26
AFLAC INCORPORATED CMN (001055102)	05/08/2009	02/02/2010	11 00	547 24	0 00	397 69	149 55
BANK OF AMERICA CORP CMN (060505104)	04/13/2009	02/02/2010	56 00	874 70	0 00	612 14	262 56
CISCO SYSTEMS, INC. CMN (17275R102)	08/19/2009	02/02/2010	23 00	527 84	0 00	490 20	37 64
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	04/23/2009	02/02/2010	27 00	510 29	0 00	357 07	153 22
EMERSON ELECTRIC CO CMN (291011104)	03/19/2009	02/02/2010	13 00	597 47	0 00	360 33	237 14
GENERAL ELECTRIC CO CMN (369604103)	11/23/2009	02/02/2010	27 00	456 02	0 00	432 00	24 02
GENERAL ELECTRIC CO CMN (369604103)	11/24/2009	02/02/2010	9 00	152 01	0 00	144 53	7 48
NEWFIELD EXPLORATION CO CMN (651290108)	10/05/2009	02/02/2010	12 00	634 31	0 00	524 60	109 71
BP P L C SPONSORED ADR CMN (055622104)	11/03/2009	02/04/2010	47 00	2,532 63	0 00	2,706 16	(173 53)
LAM RESEARCH CORP CMN (512807108)	09/11/2009	02/04/2010	117 00	3,783 42	0 00	4,049 41	(265 99)
BP P L C SPONSORED ADR CMN (055622104)	11/03/2009	02/08/2010	22 00	1,164 08	0 00	1,266 71	(102 64)
BP P L C SPONSORED ADR CMN (055622104)	11/10/2009	02/08/2010	21 00	1,111 16	0 00	1,234 68	(123 51)

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
THE MOSAIC COMPANY CMN (61945A107)	01/08/2010	02/19/2010	32 00	1,944 00	0 00	2,126 87	(182 87)
THE MOSAIC COMPANY CMN (61945A107)	01/11/2010	02/19/2010	35 00	2,126 25	0 00	2,356 23	(229 98)
BP P L C SPONSORED ADR CMN (055622104)	11/10/2009	02/24/2010	29 00	1,549 86	0 00	1,705 03	(155 17)
BP P L C SPONSORED ADR CMN (055622104)	12/03/2009	02/24/2010	74 00	3,954 81	0 00	4,304 84	(350 03)
STAPLES, INC CMN (855030102)	04/23/2009	03/01/2010	119 00	3,073 28	0 00	2,497 11	576 17
APACHE CORP CMN (037411105)	10/08/2009	03/03/2010	27 00	2,862 63	0 00	2,696 42	166 21
DIRECTV CMN (25490A101)	10/08/2009	03/04/2010	33 00	1,130 70	0 00	900 65	230 05
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	01/29/2010	03/05/2010	40 00	2,402 42	0 00	1,611 63	790 79
BECTON DICKINSON & CO CMN (075887109)	12/31/2009	03/08/2010	44 00	3,431 34	0 00	3,489 07	(57 73)
BECTON DICKINSON & CO CMN (075887109)	12/31/2009	03/09/2010	28 00	2,183 75	0 00	2,220 32	(36 57)
STATE STREET CORPORATION (NEW) CMN (857477103)	04/23/2009	03/22/2010	29 00	1,325 47	0 00	1,029 20	296 27
STATE STREET CORPORATION (NEW) CMN (857477103)	05/19/2009	03/22/2010	36 00	1,645 41	0 00	1,566 37	79 04
APACHE CORP CMN (037411105)	10/08/2009	03/26/2010	28 00	2,734 93	0 00	2,796 28	(61 35)
APACHE CORP CMN (037411105)	10/21/2009	03/26/2010	27 00	2,637 26	0 00	2,820 39	(183 13)
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	01/29/2010	04/07/2010	23 00	1,664 82	0 00	926 69	738 14
EXXON MOBIL CORPORATION CMN (30231G102)	04/12/2010	04/16/2010	60 00	4,109 80	0 00	4,137 00	(27 20)
CISCO SYSTEMS, INC CMN (17275R102)	08/19/2009	04/28/2010	161 00	4,361 59	0 00	3,431 43	930 17
CISCO SYSTEMS, INC CMN (17275R102)	09/30/2009	04/28/2010	103 00	2,790 34	0 00	2,443 37	346 97
ORACLE CORPORATION CMN (68389X105)	12/14/2009	04/28/2010	186 00	4,807 39	0 00	4,319 53	487 86
ORACLE CORPORATION CMN (68389X105)	12/24/2009	04/28/2010	60 00	1,550 77	0 00	1,493 97	56 80
HEWLETT-PACKARD CO CMN (428236103)	04/12/2010	04/29/2010	55 00	2,903 09	0 00	2,967 80	(64 71)
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	01/29/2010	04/30/2010	41 00	2,633 63	0 00	1,651 92	981 72
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	02/23/2010	04/30/2010	42 00	2,697 87	0 00	2,221 22	476 65
KRAFT FOODS INC CMN CLASS A (50075N104)	02/09/2010	04/30/2010	41 00	1,230 10	0 00	1,187 11	42 99
ANADARKO PETROLEUM CORP CMN (032511107)	03/26/2010	05/03/2010	25 00	1,531 20	0 00	1,734 78	(203 58)
ANADARKO PETROLEUM CORP CMN (032511107)	03/29/2010	05/03/2010	44 00	2,694 91	0 00	3,131 77	(436 86)
KRAFT FOODS INC CMN CLASS A (50075N104)	02/09/2010	05/03/2010	53 00	1,590 05	0 00	1,534 56	55 49

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	02/23/2010	05/04/2010	42 00	2,335 20	0 00	2,221 22	113 99
CLIFFS NATURAL RESOURCES INC CMN (18683K101)	04/12/2010	05/04/2010	60 00	3,336 01	0 00	4,474 20	(1,138 19)
DIRECTV CMN (25490A101)	10/08/2009	05/04/2010	119 00	4,205 83	0 00	3,247 81	958 02
DIRECTV CMN (25490A101)	11/20/2009	05/04/2010	3 00	106 03	0 00	94 99	11 04
KRAFT FOODS INC CMN CLASS A (50075N104)	02/09/2010	05/04/2010	96 00	2,843 68	0 00	2,779 57	64 11
KRAFT FOODS INC CMN CLASS A (50075N104)	02/16/2010	05/04/2010	50 00	1,481 09	0 00	1,433 93	47 16
ANADARKO PETROLEUM CORP CMN (032511107)	03/29/2010	05/05/2010	18 00	1,141 62	0 00	1,281 18	(139 56)
ANADARKO PETROLEUM CORP CMN (032511107)	04/12/2010	05/05/2010	42 00	2,663 79	0 00	3,084 90	(421 11)
ANADARKO PETROLEUM CORP CMN (032511107)	04/16/2010	05/05/2010	16 00	1,014 78	0 00	1,190 04	(175 27)
KRAFT FOODS INC CMN CLASS A (50075N104)	02/16/2010	05/05/2010	41 00	1,214 83	0 00	1,175 82	39 01
ANADARKO PETROLEUM CORP CMN (032511107)	04/16/2010	05/06/2010	103 00	6,422 31	0 00	7,660 91	(1,238 60)
DOW CHEMICAL CO CMN (260543103)	05/11/2009	05/07/2010	31 00	808 34	0 00	531 03	277 31
DOW CHEMICAL CO CMN (260543103)	06/02/2009	05/07/2010	119 00	3,102 99	0 00	2,178 14	924 85
DOW CHEMICAL CO CMN (260543103)	09/11/2009	05/07/2010	69 00	1,799 21	0 00	1,606 72	192 49
HESS CORPORATION CMN (42809H107)	12/23/2009	05/07/2010	66 00	3,782 03	0 00	3,911 34	(129 31)
HESS CORPORATION CMN (42809H107)	12/23/2009	05/10/2010	32 00	1,875 19	0 00	1,896 41	(21 22)
HESS CORPORATION CMN (42809H107)	01/04/2010	05/10/2010	37 00	2,168 18	0 00	2,335 60	(167 42)
KRAFT FOODS INC CMN CLASS A (50075N104)	02/16/2010	05/11/2010	11 00	334 51	0 00	315 46	19 05
KRAFT FOODS INC CMN CLASS A (50075N104)	04/12/2010	05/11/2010	47 00	1,429 29	0 00	1,426 45	2 84
KRAFT FOODS INC CMN CLASS A (50075N104)	04/12/2010	05/12/2010	94 00	2,846 45	0 00	2,852 90	(6 45)
HESS CORPORATION CMN (42809H107)	01/04/2010	05/13/2010	4 00	233 31	0 00	252 50	(19 19)
HESS CORPORATION CMN (42809H107)	01/27/2010	05/13/2010	56 00	3,266 30	0 00	3,255 74	10 56
HESS CORPORATION CMN (42809H107)	04/12/2010	05/13/2010	88 00	5,132 76	0 00	5,741 12	(608 36)
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F105)	09/30/2009	05/24/2010	96 00	4,010 58	0 00	4,146 30	(135 73)
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F105)	10/28/2009	05/24/2010	93 00	3,885 25	0 00	3,936 04	(50 80)
COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F105)	04/12/2010	05/24/2010	88 00	3,676 36	0 00	4,478 32	(801 96)
SCHLUMBERGER LTD CMN (806857108)	07/10/2009	05/27/2010	68 00	4,034 97	0 00	3,412 53	622 44

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
SCHLUMBERGER LTD CMN (806857108)	10/14/2009	05/27/2010	1 00	59 34	0 00	65 40	(6 06)
SCHLUMBERGER LTD CMN (806857108)	10/14/2009	06/01/2010	47 00	2,484 02	0 00	3,073 56	(589 54)
SCHLUMBERGER LTD CMN (806857108)	03/08/2010	06/01/2010	43 00	2,272 61	0 00	2,767 64	(495 03)
SCHLUMBERGER LTD CMN (806857108)	04/12/2010	06/01/2010	77 00	4,069 57	0 00	5,121 27	(1,051 71)
EOG RESOURCES INC CMN (26875P101)	07/02/2009	06/14/2010	12 00	1,313 62	0 00	776 09	537 53
PHILIP MORRIS INTL INC CMN (718172109)	04/12/2010	06/15/2010	50 00	2,281 03	0 00	2,647.50	(366 47)
BED BATH & BEYOND INC CMN (075896100)	04/05/2010	06/17/2010	22 00	932 22	0 00	990 37	(58 15)
BED BATH & BEYOND INC CMN (075896100)	04/06/2010	06/17/2010	86 00	3,644 14	0 00	3,843 52	(199 38)
EOG RESOURCES INC CMN (26875P101)	07/02/2009	06/17/2010	24 00	2,636 33	0 00	1,552 18	1,084 15
EOG RESOURCES INC CMN (26875P101)	02/24/2010	06/17/2010	11 00	1,208 32	0 00	1,015 53	192 79
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	02/02/2010	06/18/2010	83 00	2,173 84	0 00	2,009 88	163 96
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	02/03/2010	06/18/2010	104 00	2,723 85	0 00	2,445 96	277 89
DIRECTV CMN (25490A101)	11/20/2009	06/22/2010	110 00	4,024 77	0 00	3,482 84	541 93
DIRECTV CMN (25490A101)	04/12/2010	06/22/2010	113 00	4,134 54	0 00	4,031 11	103 43
GENERAL ELECTRIC CO CMN (369604103)	11/24/2009	06/22/2010	270 00	4,311 55	0 00	4,335 95	(24 40)
HALLIBURTON COMPANY CMN (406216101)	10/01/2009	06/22/2010	93 00	2,490 83	0 00	2,485 35	5 49
HALLIBURTON COMPANY CMN (406216101)	10/12/2009	06/22/2010	2 00	53 57	0 00	57 26	(3 69)
HALLIBURTON COMPANY CMN (406216101)	10/12/2009	06/23/2010	42 00	1,081 60	0 00	1,202 44	(120 84)
EMC CORPORATION MASS CMN (268648102)	04/30/2010	06/25/2010	213 00	4,043 47	0 00	4,121 81	(78 34)
RANGE RESOURCES CORPORATION CMN (75281A109)	01/15/2010	06/25/2010	20 00	870 76	0 00	1,053 03	(182 27)
RANGE RESOURCES CORPORATION CMN (75281A109)	01/19/2010	06/25/2010	35 00	1,523 83	0 00	1,841 29	(317 46)
EOG RESOURCES INC CMN (26875P101)	02/24/2010	06/29/2010	16 00	1,636 85	0 00	1,477 13	159 72
EOG RESOURCES INC CMN (26875P101)	04/12/2010	06/29/2010	18 00	1,841 45	0 00	1,883 34	(41 89)
HALLIBURTON COMPANY CMN (406216101)	10/12/2009	06/29/2010	52 00	1,292 87	0 00	1,488.73	(195.86)
HALLIBURTON COMPANY CMN (406216101)	10/16/2009	06/29/2010	71 00	1,765 27	0 00	2,182 71	(417 44)
HALLIBURTON COMPANY CMN (406216101)	10/16/2009	06/30/2010	30 00	750 02	0 00	922 27	(172 25)
BED BATH & BEYOND INC CMN (075896100)	04/06/2010	07/02/2010	28 00	1,012 68	0 00	1,251 38	(238 70)

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STATEMENT D-1

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
BED BATH & BEYOND INC CMN (075896100)	04/12/2010	07/02/2010	66 00	2,387 04	0 00	3,031 38	(644.34)
VIACOM INC CMN CLASS B (92553P201)	09/11/2009	07/02/2010	104 00	3,165 90	0 00	2,729 10	436 81
VIACOM INC CMN CLASS B (92553P201)	10/28/2009	07/02/2010	90 00	2,739 72	0 00	2,485 45	254 27
VIACOM INC CMN CLASS B (92553P201)	04/12/2010	07/02/2010	88 00	2,678 84	0 00	3,163 41	(484 57)
STAPLES, INC CMN (855030102)	08/17/2009	07/12/2010	133 00	2,606 02	0 00	2,822 35	(216 33)
STAPLES, INC CMN (855030102)	04/12/2010	07/12/2010	4 00	78 38	0 00	97 24	(18 86)
QUALCOMM INC CMN (747525103)	10/09/2009	07/16/2010	102 00	3,672 45	0 00	4,211 34	(538 89)
QUALCOMM INC CMN (747525103)	04/12/2010	07/16/2010	47 00	1,692 21	0 00	1,982 93	(290 72)
BROADCOM CORP CL-A CMN CLASS A (111320107)	10/28/2009	07/23/2010	101 00	3,803 50	0 00	2,728 22	1,075 29
BROADCOM CORP CL-A CMN CLASS A (111320107)	02/04/2010	07/23/2010	15 00	564 88	0 00	422 11	142 76
EOG RESOURCES INC CMN (26875P101)	04/12/2010	08/16/2010	42 00	3,917 33	0 00	4,394 46	(477 13)
EOG RESOURCES INC CMN (26875P101)	05/13/2010	08/16/2010	39 00	3,637 52	0 00	4,245 41	(607 89)
EATON CORPORATION CMN (278058102)	05/04/2010	08/19/2010	87 00	6,390 45	0 00	6,561 51	(171 06)
BROADCOM CORP CL-A CMN CLASS A (111320107)	02/04/2010	09/14/2010	36 00	1,282 95	0 00	1,013 07	269 88
BROADCOM CORP CL-A CMN CLASS A (111320107)	04/12/2010	09/14/2010	74 00	2,637 18	0 00	2,499 72	137 46
TJX COMPANIES INC (NEW) CMN (872540109)	04/12/2010	09/14/2010	54 00	2,268 28	0 00	2,437 56	(169 28)
ARCHER DANIELS MIDLAND CO CMN (039483102)	05/12/2010	09/21/2010	136 00	4,456 47	0 00	3,724 29	732 18
CBS CORPORATION CMN CLASS B (124857202)	11/18/2009	09/28/2010	274 00	4,369 87	0 00	3,728 87	641 00
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	03/08/2010	10/12/2010	10 00	823 23	0 00	741 94	81 29
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	03/09/2010	10/12/2010	49 00	4,033 81	0 00	3,630 62	403 19
AIR PRODUCTS & CHEMICALS INC CMN (009158106)	04/12/2010	10/12/2010	29 00	2,387 36	0 00	2,178 19	209.17
FIRSTENERGY CORP CMN (337932107)	04/12/2010	10/12/2010	85 00	3,260 37	0 00	3,392 35	(131 98)
RANGE RESOURCES CORPORATION CMN (75281A109)	01/19/2010	10/12/2010	58 00	2,077 22	0 00	3,051 27	(974 06)
RANGE RESOURCES CORPORATION CMN (75281A109)	02/25/2010	10/12/2010	19 00	680 47	0 00	967 01	(286 54)
RANGE RESOURCES CORPORATION CMN (75281A109)	02/26/2010	10/12/2010	34 00	1,217 68	0 00	1,725 24	(507 56)
RANGE RESOURCES CORPORATION CMN (75281A109)	04/12/2010	10/12/2010	6 00	214 89	0 00	301 68	(86 80)
THE MOSAIC COMPANY CMN (61945A107)	07/20/2010	10/12/2010	135 00	9,143 22	0 00	6,150 54	2,992 68

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STATEMENT D-1

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
ORACLE CORPORATION CMN (68389X105)	12/24/2009	10/22/2010	47 00	1,360 33	0 00	1,170 28	190 05
ORACLE CORPORATION CMN (68389X105)	12/28/2009	10/22/2010	103 00	2,981 14	0 00	2,565 77	415 38
PPL CORPORATION CMN (69351T106)	07/07/2010	10/22/2010	193 00	5,196 21	0 00	5,042 98	153 23
PPL CORPORATION CMN (69351T106)	07/07/2010	10/25/2010	34 00	917 19	0 00	888 40	28 79
BMC SOFTWARE INC CMN (055921100)	04/29/2010	10/26/2010	123 00	5,414 37	0 00	4,938 07	476 30
HALLIBURTON COMPANY CMN (406216101)	04/12/2010	11/01/2010	133 00	4,244 05	0 00	4,238 74	5 31
HALLIBURTON COMPANY CMN (406216101)	04/30/2010	11/01/2010	7 00	223 37	0 00	208 93	14 45
STAPLES, INC CMN (855030102)	04/12/2010	11/05/2010	103 00	2,141 44	0 00	2,503 93	(362 49)
STAPLES, INC CMN (855030102)	05/04/2010	11/05/2010	208 00	4,324 47	0 00	4,807 71	(483 24)
AMGEN INC CMN (031162100)	08/18/2010	11/11/2010	115 00	6,281 32	0 00	6,190 46	90 86
JOHNSON & JOHNSON CMN (478160104)	04/12/2010	11/15/2010	15 00	962 70	0 00	975 00	(12 30)
CISCO SYSTEMS, INC CMN (17275R102)	04/12/2010	11/18/2010	233 00	4,582 38	0 00	6,207 12	(1,624 74)
DIGITAL REALTY TRUST, INC CMN (253868103)	07/07/2010	11/18/2010	52 00	2,689 01	0 00	3,057 16	(368 15)
MERCK & CO , INC CMN (58933Y105)	12/16/2009	11/18/2010	131 00	4,615 21	0 00	4,948 17	(332 96)
DIGITAL REALTY TRUST, INC CMN (253868103)	07/07/2010	11/19/2010	16 00	817 77	0 00	940 67	(122 90)
DIGITAL REALTY TRUST, INC CMN (253868103)	07/08/2010	11/19/2010	33 00	1,686 64	0 00	1,967 05	(280 41)
AMERICAN ELECTRIC POWER INC CMN (025537101)	04/12/2010	12/03/2010	17 00	610 50	0 00	582 93	27 57
NET SHORT TERM GAINS (LOSSES)				**359,683.63**	**0.00**	**351,964.29**	**7,719.36**

LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
EOG RESOURCES INC CMN (26875P101)	06/20/2008	01/27/2010	16 00	1,466 30	0 00	2,108 17	(641 86)
EOG RESOURCES INC CMN (26875P101)	06/23/2008	01/27/2010	10 00	916 44	0 00	1,344 01	(427 57)
EOG RESOURCES INC CMN (26875P101)	06/26/2008	01/27/2010	4 00	366 58	0 00	507 95	(141 37)
BAXTER INTERNATIONAL INC CMN (071813109)	01/11/2008	02/02/2010	11 00	643 49	0 00	700 34	(56 85)
BOEING COMPANY CMN (097023105)	07/25/2008	02/02/2010	10 00	612 59	0 00	633 78	(21 19)

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STATEMENT D-1

REALIZED GAINS AND LOSSES (Continued)

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LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
HEWLETT-PACKARD CO CMN (428236103)	04/18/2007	02/02/2010	4 00	194 92	0 00	163 48	31 44
HEWLETT-PACKARD CO CMN (428236103)	01/09/2008	02/02/2010	9 00	438 56	0 00	392 67	45 90
HONEYWELL INTL INC CMN (438516106)	09/18/2008	02/02/2010	15 00	591 44	0 00	652 95	(61 51)
JOHNSON & JOHNSON CMN (478160104)	08/16/2007	02/02/2010	11 00	703 55	0 00	674 43	29 12
JPMORGAN CHASE & CO CMN (46625H100)	05/12/2006	02/02/2010	22 00	891 42	0 00	980 54	(89 12)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/06/2008	02/02/2010	10 00	804 48	0 00	948 51	(144 03)
PRUDENTIAL FINANCIAL INC CMN (744320102)	12/29/2006	02/02/2010	11 00	568 80	0 00	945 56	(376 76)
PRUDENTIAL FINANCIAL INC CMN (744320102)	07/31/2007	02/02/2010	1 00	51 71	0 00	91 45	(39 74)
THE TRAVELERS COMPANIES, INC CMN (89417E109)	11/19/2007	02/02/2010	4 00	204 04	0 00	206 00	(1 96)
THE TRAVELERS COMPANIES, INC CMN (89417E109)	02/01/2008	02/02/2010	6 00	306 05	0 00	294 31	11 74
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	01/23/2008	02/16/2010	24 00	720 14	0 00	726 01	(5 87)
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	02/01/2008	02/16/2010	74 00	2,220 43	0 00	2,452 64	(232 21)
BOEING COMPANY CMN (097023105)	07/25/2008	02/22/2010	38 00	2,436 76	0 00	2,408 36	28 40
BOEING COMPANY CMN (097023105)	09/03/2008	02/22/2010	29 00	1,859 64	0 00	1,911 25	(51 61)
JOHNSON & JOHNSON CMN (478160104)	12/17/2007	02/23/2010	50 00	3,168 15	0 00	3,382 80	(214 65)
JOHNSON & JOHNSON CMN (478160104)	08/16/2007	02/23/2010	20 00	1,267 26	0 00	1,226 24	41 02
JOHNSON & JOHNSON CMN (478160104)	01/22/2008	02/23/2010	17 00	1,077 17	0 00	1,117 54	(40 36)
HEWLETT-PACKARD CO CMN (428236103)	01/09/2008	03/22/2010	31 00	1,629 26	0 00	1,352 51	276 75
HEWLETT-PACKARD CO CMN (428236103)	01/14/2008	03/22/2010	15 00	788 35	0 00	699 25	89 10
HEWLETT-PACKARD CO CMN (428236103)	02/01/2008	03/22/2010	48 00	2,522 73	0 00	2,104 32	418 41
TARGET CORPORATION CMN (87612E106)	09/04/2008	03/24/2010	90 00	4,801 71	0 00	4,944 69	(142 98)
FRANKLIN RESOURCES INC CMN (354613101)	10/22/2008	03/26/2010	31 00	3,429 38	0 00	1,987 32	1,442 06
HEWLETT-PACKARD CO CMN (428236103)	02/01/2008	03/31/2010	17 00	905 50	0 00	745 28	160 22
HEWLETT-PACKARD CO CMN (428236103)	02/11/2008	03/31/2010	35 00	1,864 26	0 00	1,484 13	380 13
HEWLETT-PACKARD CO CMN (428236103)	05/01/2008	03/31/2010	17 00	905 50	0 00	816 68	88 82
BOEING COMPANY CMN (097023105)	09/03/2008	04/06/2010	17 00	1,230 06	0 00	1,120 39	109 67
BOEING COMPANY CMN (097023105)	09/04/2008	04/06/2010	25 00	1,808 91	0 00	1,618 04	190 87

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STATEMENT D-1

REALIZED GAINS AND LOSSES (Continued)

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LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
BOEING COMPANY CMN (097023105)	10/13/2008	04/06/2010	5 00	361 78	0 00	225 57	136 21
THE TRAVELERS COMPANIES, INC CMN (89417E109)	02/01/2008	04/08/2010	14 00	733 00	0 00	686 73	46 27
THE TRAVELERS COMPANIES, INC CMN (89417E109)	07/11/2008	04/08/2010	43 00	2,251 35	0 00	1,884 05	367 31
EXXON MOBIL CORPORATION CMN (30231G102)	10/01/2008	04/13/2010	7 00	478 85	0 00	533 23	(54 38)
EXXON MOBIL CORPORATION CMN (30231G102)	10/06/2008	04/13/2010	58 00	3,967 58	0 00	4,431 63	(464 05)
EXXON MOBIL CORPORATION CMN (30231G102)	10/06/2008	04/16/2010	6 00	410 98	0 00	458 44	(47 46)
EXXON MOBIL CORPORATION CMN (30231G102)	01/20/2009	04/16/2010	30 00	2,054 90	0 00	2,322 89	(267 99)
EXXON MOBIL CORPORATION CMN (30231G102)	01/26/2009	04/16/2010	31 00	2,123 40	0 00	2,444 02	(320 62)
FRANKLIN RESOURCES INC CMN (354613101)	10/22/2008	04/20/2010	11 00	1,307 69	0 00	705.18	602 51
FRANKLIN RESOURCES INC CMN (354613101)	11/19/2008	04/20/2010	26 00	3,090 90	0 00	1,320 63	1,770 27
HEWLETT-PACKARD CO CMN (428236103)	05/01/2008	04/29/2010	8 00	422 27	0 00	384 32	37 95
HEWLETT-PACKARD CO CMN (428236103)	04/07/2009	04/29/2010	105 00	5,542 27	0 00	3,477 60	2,064 67
JOHNSON & JOHNSON CMN (478160104)	01/22/2008	05/18/2010	43 00	2,708 78	0 00	2,826 71	(117 93)
JOHNSON & JOHNSON CMN (478160104)	02/01/2008	05/18/2010	30 00	1,889 84	0 00	1,897 86	(8 02)
EOG RESOURCES INC CMN (26875P101)	06/26/2008	06/14/2010	12 00	1,313 62	0 00	1,523 84	(210 23)
EOG RESOURCES INC CMN (26875P101)	09/18/2008	06/14/2010	24 00	2,627 24	0 00	2,154 67	472 57
EOG RESOURCES INC CMN (26875P101)	10/29/2008	06/14/2010	26 00	2,846 17	0 00	1,912 74	933 43
PHILIP MORRIS INTL INC CMN (718172109)	05/01/2008	06/15/2010	10 00	456 21	0 00	507 60	(51 39)
PHILIP MORRIS INTL INC CMN (718172109)	05/20/2008	06/15/2010	100 00	4,562 06	0 00	5,322 79	(760 73)
PRUDENTIAL FINANCIAL INC CMN (744320102)	02/01/2008	06/16/2010	15 00	881 36	0 00	1,263 90	(382 55)
PRUDENTIAL FINANCIAL INC CMN (744320102)	03/13/2008	06/16/2010	10 00	587 57	0 00	694 99	(107 42)
PRUDENTIAL FINANCIAL INC CMN (744320102)	04/24/2008	06/16/2010	6 00	352 54	0 00	448 97	(96 43)
PRUDENTIAL FINANCIAL INC CMN (744320102)	04/29/2008	06/16/2010	15 00	881 36	0 00	1,137 19	(255 83)
PRUDENTIAL FINANCIAL INC CMN (744320102)	07/31/2007	06/16/2010	19 00	1,116 38	0 00	1,737 45	(621 06)
PRUDENTIAL FINANCIAL INC CMN (744320102)	06/03/2009	06/16/2010	16 00	940 11	0 00	653 92	286 20
HONEYWELL INTL INC CMN (438516106)	09/18/2008	06/24/2010	87 00	3,613 95	0 00	3,787 08	(173 13)
HONEYWELL INTL INC CMN (438516106)	02/12/2009	06/24/2010	6 00	249 24	0 00	187 87	61 37

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

STATEMENT D-1

LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/06/2008	07/01/2010	5 00	384 27	0 00	474 26	(89 99)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/11/2008	07/01/2010	22 00	1,690 80	0 00	2,023 95	(333 16)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	09/22/2008	07/01/2010	33 00	2,536 19	0 00	2,708 26	(172 07)
STAPLES, INC CMN (855030102)	04/23/2009	07/12/2010	87 00	1,704 69	0 00	1,825 62	(120 93)
SPRINT NEXTEL CORPORATION CMN (852061100)	11/08/2006	07/23/2010	173 00	811 54	0 00	3,384 45	(2,572 91)
SPRINT NEXTEL CORPORATION CMN (852061100)	02/16/2007	07/23/2010	185 00	867 83	0 00	3,517 24	(2,649 41)
SPRINT NEXTEL CORPORATION CMN (852061100)	02/26/2007	07/23/2010	102 00	478 48	0 00	1,979 50	(1,501 02)
SPRINT NEXTEL CORPORATION CMN (852061100)	08/13/2007	07/23/2010	115 00	539 46	0 00	2,247 74	(1,708 28)
SPRINT NEXTEL CORPORATION CMN (852061100)	01/10/2008	07/23/2010	47 00	220 48	0 00	604 25	(383 78)
JOHNSON CONTROLS INC CMN (478366107)	04/17/2009	08/12/2010	138 00	3,862 01	0 00	2,394 14	1,467 87
AFLAC INCORPORATED CMN (001055102)	05/08/2009	08/13/2010	77 00	3,689 10	0 00	2,783 86	905 24
EMERSON ELECTRIC CO CMN (291011104)	03/19/2009	08/17/2010	102 00	5,014 68	0 00	2,827 22	2,187 46
TJX COMPANIES INC (NEW) CMN (872540109)	04/07/2009	09/14/2010	127 00	5,334 66	0 00	3,274 49	2,060 17
EMERSON ELECTRIC CO CMN (291011104)	04/21/2009	09/28/2010	20 00	1,052 20	0 00	636 54	415 66
EMERSON ELECTRIC CO CMN (291011104)	07/10/2009	09/28/2010	66 00	3,472 25	0 00	2,040 08	1,432 17
FIRSTENERGY CORP CMN (337932107)	02/01/2008	10/12/2010	25 00	958 93	0 00	1,798 25	(839 32)
FIRSTENERGY CORP CMN (337932107)	04/04/2008	10/12/2010	20 00	767 15	0 00	1,449 77	(682 63)
FIRSTENERGY CORP CMN (337932107)	05/01/2008	10/12/2010	15 00	575 36	0 00	1,147 05	(571 69)
FIRSTENERGY CORP CMN (337932107)	06/03/2008	10/12/2010	10 00	383 57	0 00	778 03	(394 46)
FIRSTENERGY CORP CMN (337932107)	05/12/2006	10/12/2010	58 00	2,224 72	0 00	3,009 04	(784 32)
FIRSTENERGY CORP CMN (337932107)	03/26/2007	10/12/2010	21 00	805 50	0 00	1,388 43	(582 93)
FIRSTENERGY CORP CMN (337932107)	03/27/2007	10/12/2010	5 00	191 79	0 00	331 82	(140 03)
FIRSTENERGY CORP CMN (337932107)	03/29/2007	10/12/2010	27 00	1,035 65	0 00	1,798 04	(762 39)
FIRSTENERGY CORP CMN (337932107)	03/23/2007	10/12/2010	6 00	230 14	0 00	395 65	(165 51)
AFLAC INCORPORATED CMN (001055102)	05/08/2009	10/25/2010	52 00	2,906.10	0 00	1,880 01	1,026 09
AFLAC INCORPORATED CMN (001055102)	08/26/2009	10/25/2010	24 00	1,341 28	0 00	987 65	353 63
HALLIBURTON COMPANY CMN (406216101)	10/16/2009	11/01/2010	2 00	63 82	0 00	61 49	2 34

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you The information in this statement is not yet determined pursuant to such new legislation

Tax Year	Account No	Legal Name
2010	001-83778-0	THE AHUJA FOUNDATION

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
JOHNSON & JOHNSON CMN (478160104)	02/01/2008	11/15/2010	5 00	320 90	0 00	316 31	4 59
JOHNSON & JOHNSON CMN (478160104)	05/01/2008	11/15/2010	20 00	1,283 60	0 00	1,352 20	(68 60)
JOHNSON & JOHNSON CMN (478160104)	10/27/2008	11/15/2010	61 00	3,914 98	0 00	3,785 04	129 94
CISCO SYSTEMS, INC CMN (17275R102)	09/30/2009	11/18/2010	181 00	3,559 70	0 00	4,293 68	(733 98)
HONEYWELL INTL INC CMN (438516106)	02/12/2009	11/18/2010	66 00	3,290 92	0 00	2,066 57	1,224 35
HONEYWELL INTL INC CMN (438516106)	07/15/2009	11/18/2010	34 00	1,695 32	0 00	1,100 67	594 65
AMERICAN ELECTRIC POWER INC CMN (025537101)	04/07/2009	12/03/2010	125 00	4,489 00	0 00	3,274 80	1,214 20
NET LONG TERM GAINS (LOSSES)				**150,864.05**	**0.00**	**149,609.57**	**1,254.50**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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STATEMENT D-1

Tax Year	Account No	Legal Name
2010	001-37134-3	THE AHUJA FOUNDATION

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

Net Short Term Gains (Losses)	115,352 06	Net Long Term Gains (Losses)	621 645 00	Net Ordinary Gains (Losses)	0 00
Net Miscellaneous Short Term Gains (Losses)	0 00	Net Miscellaneous Long Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short Term Gains (Losses)	0 00	Net Regulated Futures Contract Long Term Gains (Losses)	0 00		
Total Short Term Gains (Losses)	**115,352 06**	**Total Long Term Gains (Losses)**	**621,645.00**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
ISHARES MSCI EMERGING MKT INDEX FUND ETF (464287234)	04/07/2010	12/08/2010	9,200 00	426,968 45	0 00	398,544 00	28,424 45
ISHARES TR-ISHARES MSCI EAFE INDEX FUND ETF (464287465)	04/07/2010	12/08/2010	10,600 00	605,461 75	0 00	598,081 68	7,380 07
YOUKU COM INC SPONSORED ADR CMN SERIES (98742U100)	12/08/2010	12/08/2010	5,000 00	143,547 54	0 00	64,000 00	79,547 54
NET SHORT TERM GAINS (LOSSES)				**1,175,977.74**	**0.00**	**1,060,625.68**	**115,352.06**

LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
THE GOLDMAN SACHS GROUP, INC LINKED TO S&P 500 0% COUPON DUE 06/24/2010 STRUCTURED NOTE (38145K861)	12/10/2008	02/05/2010	500 00	682,500 00	0 00	500,000 00	182,500 00
THE GOLDMAN SACHS GROUP, INC LINKED TO S&P 500 0% COUPON DUE 06/24/2010 STRUCTURED NOTE (38145K861)	12/10/2008	03/01/2010	500 00	719,245 00	0 00	500,000 00	219,245 00
THE GOLDMAN SACHS GROUP, INC LINKED TO THE S&P 500 0% COUPON DUE 11/16/2010 STRUCTURED NOTE (38145K622)	03/25/2009	11/16/2010	150 00	195,000 00	0 00	150,000 00	45,000 00
THE GOLDMAN SACHS GROUP, INC LINKED TO MSCI EAFE 0% COUPON DUE 11/16/2010 STRUCTURED NOTE (38145K416)	03/25/2009	11/16/2010	550 00	724,900 00	0 00	550,000 00	174,900 00
NET LONG TERM GAINS (LOSSES)				**2,321,645.00**	**0.00**	**1,700,000.00**	**621,645.00**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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STATEMENT D-2

Tax Year	Account No	Legal Name
2010	028-16883-9	THE AHUJA FOUNDATION

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

Net Short Term Gains (Losses)	0 00	Net Long Term Gains (Losses)	21 977 82	Net Ordinary Gains (Losses)	0 00
Net Miscellaneous Short Term Gains (Losses)	0 00	Net Miscellaneous Long Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short Term Gains (Losses)	0 00	Net Regulated Futures Contract Long Term Gains (Losses)	0 00		
Total Short Term Gains (Losses)	**0 00**	**Total Long Term Gains (Losses)**	**21,977.82**	**Total Ordinary Gains (Losses)**	**0 00**

LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
THE WALT DISNEY COMPANY MTN 4 5% 12/15/2013 USD SER D SR LIEN M-W+50 00BP (254687AW6)	04/03/2009	09/22/2010	100,000 00	110,735 00	(611 73)	101,478 27	9,256 73
COCA-COLA COMPANY (THE) 5 35% 11/15/2017 SR LIEN M-W+15 00BP (191216AK6)	04/07/2009	12/15/2010	100,000 00	117,907 00	(1,029 09)	105,185 91	12,721 09
NET LONG TERM GAINS (LOSSES)				**228,642.00**	**(1,640.82)**	**206,664.18**	**21,977.82**

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

028 16883 9_USTR_4547659_2011-02 10_17 41 30_V110207

Goldman Sachs

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you The information in this statement is not yet determined pursuant to such new legislation

STATEMENT D-3

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

REALIZED GAIN AND LOSS SUMMARY

Net Short Term Gains (Losses)	22,377 73	Net Long Term Gains (Losses)	27,498 80	Net Ordinary Gains (Losses)	0 00
Net Miscellaneous Short Term Gains (Losses)	0 00	Net Miscellaneous Long Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short Term Gains (Losses)	0 00	Net Regulated Futures Contract Long Term Gains (Losses)	0 00		
Total Short Term Gains (Losses)	**22,377 73**	**Total Long Term Gains (Losses)**	**27,498.80**	**Total Ordinary Gains (Losses)**	**0.00**

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
AFLAC INCORPORATED CMN (001055102)	04/12/2010	12/08/2010	112 00	6,059 94	0 00	6,259 68	(199 74)
AFLAC INCORPORATED CMN (001055102)	06/16/2010	12/08/2010	102 00	5,518 87	0 00	4,511 53	1,007 34
ALLSTATE CORPORATION COMMON STOCK (020002101)	06/23/2010	12/08/2010	202 00	6,173 01	0 00	6,148 33	24 68
BANK OF AMERICA CORP CMN (060505104)	04/12/2010	12/08/2010	731 00	8,574 48	0 00	13,640 00	(5,065 52)
BMC SOFTWARE INC CMN (055921100)	04/29/2010	12/08/2010	48 00	2,216 12	0 00	1,927 05	289 07
BMC SOFTWARE INC CMN (055921100)	06/16/2010	12/08/2010	111 00	5,124 78	0 00	4,157 72	967 06
COMCAST CORPORATION CMN CLASS A VOTING (20030N101)	06/22/2010	12/08/2010	404 00	8,392 96	0 00	7,425 04	967 92
COMCAST CORPORATION CMN CLASS A VOTING (20030N101)	07/02/2010	12/08/2010	335 00	6,959 51	0 00	5,823 94	1,135 57
COMCAST CORPORATION CMN CLASS A VOTING (20030N101)	08/12/2010	12/08/2010	257 00	5,339 09	0 00	4,654 15	684 94
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	04/12/2010	12/08/2010	273 00	5,066 80	0 00	5,877 69	(810 90)
DOW CHEMICAL CO CMN (260543103)	04/12/2010	12/08/2010	196 00	6,592 03	0 00	6,034 84	557 19
DOW CHEMICAL CO CMN (260543103)	10/12/2010	12/08/2010	179 00	6,020 28	0 00	5,300 02	720 26
ENTERGY CORPORATION CMN (29364G103)	04/12/2010	12/08/2010	61 00	4,290 06	0 00	5,028 84	(738 78)
FRANKLIN RESOURCES INC CMN (354613101)	04/12/2010	12/08/2010	30 00	3,554 94	0 00	3,441 60	113 34
FRANKLIN RESOURCES INC CMN (354613101)	06/18/2010	12/08/2010	56 00	6,635 88	0 00	5,205 62	1,430 26
FREEPORT-MCMORAN COPPER & GOLD CMN (35671D857)	10/12/2010	12/08/2010	92 00	10,078 42	0 00	8,711 09	1,367 33
GENERAL MILLS INC CMN (370334104)	01/22/2010	12/08/2010	94 00	3,307 20	0 00	3,356 37	(49 17)
GENERAL MILLS INC CMN (370334104)	04/12/2010	12/08/2010	110 00	3,870 13	0 00	3,874 20	(4 07)
GENERAL MILLS INC CMN (370334104)	04/28/2010	12/08/2010	94 00	3,307 20	0 00	3,301 65	5 55
GENERAL MILLS INC CMN (370334104)	04/29/2010	12/08/2010	142 00	4,995 98	0 00	5,045 79	(49 81)
HALLIBURTON COMPANY CMN (406216101)	04/30/2010	12/08/2010	160 00	6,541 41	0 00	4,775 43	1,765 98

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Goldman Sachs

STATEMENT D-4

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
HALLIBURTON COMPANY CMN (406216101)	06/02/2010	12/08/2010	167 00	6,827 59	0 00	3,862 68	2,964 91
INVESCO LTD CMN (G491BT108)	04/12/2010	12/08/2010	162 00	3,724 32	0 00	3,613 82	110 50
MARSH & MCLENNAN CO INC CMN (571748102)	04/12/2010	12/08/2010	78 00	2,071 64	0 00	1,898 52	173 12
ORACLE CORPORATION CMN (68389X105)	12/28/2009	12/08/2010	13 00	377 45	0 00	323 83	53 62
ORACLE CORPORATION CMN (68389X105)	04/12/2010	12/08/2010	184 00	5,342 31	0 00	4,761 92	580 39
PRUDENTIAL FINANCIAL INC CMN (744320102)	12/23/2009	12/08/2010	55 00	3,006 25	0 00	2,860 84	145 41
PRUDENTIAL FINANCIAL INC CMN (744320102)	04/12/2010	12/08/2010	116 00	6,340 45	0 00	7,442 56	(1,102 11)
PRUDENTIAL FINANCIAL INC CMN (744320102)	10/25/2010	12/08/2010	92 00	5,028 63	0 00	4,916 82	111 81
FORD MOTOR COMPANY CMN (345370860)	04/12/2010	12/09/2010	250 00	4,180 65	0 00	3,185 00	995 65
HARTFORD FINANCIAL SRVCS GROUP CMN (416515104)	03/26/2010	12/09/2010	161 00	4,042 64	0 00	4,511 35	(468 71)
HARTFORD FINANCIAL SRVCS GROUP CMN (416515104)	04/12/2010	12/09/2010	78 00	1,958 55	0 00	2,186 34	(227 80)
HARTFORD FINANCIAL SRVCS GROUP CMN (416515104)	06/23/2010	12/09/2010	165 00	4,143 08	0 00	4,045 73	97 35
OCCIDENTAL PETROLEUM CORP CMN (674599105)	04/12/2010	12/09/2010	124 00	11,233 34	0 00	10,757 00	476 34
OCCIDENTAL PETROLEUM CORP CMN (674599105)	05/03/2010	12/09/2010	46 00	4,167 21	0 00	4,152 85	14 36
OCCIDENTAL PETROLEUM CORP CMN (674599105)	05/27/2010	12/09/2010	46 00	4,167 21	0 00	3,752 88	414 33
P G & E CORPORATION CMN (69331C108)	08/19/2010	12/09/2010	163 00	7,616 86	0 00	7,325 89	290 97
P G & E CORPORATION CMN (69331C108)	10/12/2010	12/09/2010	125 00	5,841 15	0 00	5,812 99	28 16
PNC FINANCIAL SERVICES GROUP CMN (693475105)	09/28/2010	12/09/2010	123 00	7,507 79	0 00	6,428 04	1,079 75
PNC FINANCIAL SERVICES GROUP CMN (693475105)	10/19/2010	12/09/2010	27 00	1,648 05	0 00	1,430 79	217 26
PNC FINANCIAL SERVICES GROUP CMN (693475105)	10/20/2010	12/09/2010	54 00	3,296 10	0 00	2,833 96	462 14
CBS CORPORATION CMN CLASS B (124857202)	03/08/2010	12/10/2010	90 00	1,619 97	0 00	1,323 88	296 09
CBS CORPORATION CMN CLASS B (124857202)	04/12/2010	12/10/2010	195 00	3,509 94	0 00	2,952 30	557 64
EMC CORPORATION MASS CMN (268648102)	04/30/2010	12/10/2010	368 00	8,160 55	0 00	7,121 26	1,039 29
EMC CORPORATION MASS CMN (268648102)	07/23/2010	12/10/2010	252 00	5,588 21	0 00	5,098 18	490 03
EMC CORPORATION MASS CMN (268648102)	11/30/2010	12/10/2010	192 00	4,257 68	0 00	4,124 42	133 26
GOOGLE, INC CMN CLASS A (38259P508)	03/31/2010	12/10/2010	10 00	5,926 39	0 00	5,676 02	250 37
GOOGLE, INC CMN CLASS A (38259P508)	04/12/2010	12/10/2010	6 00	3,555 84	0 00	3,422 46	133 38

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
ILLINOIS TOOL WORKS CMN (452308109)	08/19/2010	12/10/2010	144 00	7,319 39	0 00	6,069 20	1,250 19
JOHNSON & JOHNSON CMN (478160104)	04/12/2010	12/10/2010	67 00	4,161 68	0 00	4,355 00	(193 32)
JOHNSON & JOHNSON CMN (478160104)	06/25/2010	12/10/2010	129 00	8,012 79	0 00	7,631 79	381 00
NEWELL RUBBERMAID INC CMN (651229106)	04/12/2010	12/10/2010	163 00	2,854 18	0 00	2,686 24	167 94
NEWFIELD EXPLORATION CO CMN (651290108)	04/12/2010	12/10/2010	95 00	6,837 98	0 00	5,236 40	1,601 58
NEWFIELD EXPLORATION CO CMN (651290108)	07/06/2010	12/10/2010	75 00	5,398 41	0 00	3,609 04	1,789 37
NEXTERA ENERGY INC CMN (65339F101)	10/14/2010	12/10/2010	119 00	6,181 94	0 00	6,592 22	(410 28)
PEPSICO INC CMN (713448108)	05/07/2010	12/10/2010	98 00	6,360 15	0 00	6,277 01	83 14
PEPSICO INC CMN (713448108)	07/01/2010	12/10/2010	156 00	10,124 32	0 00	9,539 21	585 11
THE BANK OF NY MELLON CORP CMN (064058100)	04/12/2010	12/10/2010	115 00	3,306 40	0 00	3,701 85	(395 45)
AMERICAN ELECTRIC POWER INC CMN (025537101)	04/12/2010	12/14/2010	36 00	1,300 73	0 00	1,234 44	66 29
AMERICAN ELECTRIC POWER INC CMN (025537101)	06/10/2010	12/14/2010	148 00	5,347 45	0 00	4,796 81	550 64
AMERICAN ELECTRIC POWER INC CMN (025537101)	06/15/2010	12/14/2010	40 00	1,445 26	0 00	1,331 26	114 00
AMERICAN ELECTRIC POWER INC CMN (025537101)	06/16/2010	12/14/2010	152 00	5,491 97	0 00	5,114 50	377 47
BIOGEN IDEC INC CMN (09062X103)	04/12/2010	12/14/2010	90 00	5,921 00	0 00	5,038 20	882 80
BOEING COMPANY CMN (097023105)	04/12/2010	12/14/2010	53 00	3,441 23	0 00	3,841 44	(400 21)
BOEING COMPANY CMN (097023105)	05/24/2010	12/14/2010	77 00	4,999 52	0 00	4,929 25	70 27
JOHNSON CONTROLS INC CMN (478366107)	04/12/2010	12/14/2010	87 00	3,337 26	0 00	2,813 58	523 68
JOHNSON CONTROLS INC CMN (478366107)	05/18/2010	12/14/2010	146 00	5,600 46	0.00	4,304 11	1,296 35
KOHL'S CORP (WISCONSIN) CMN (500255104)	11/05/2010	12/14/2010	131 00	7,055 54	0 00	6,944 83	110 71
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	08/18/2010	12/14/2010	135 00	8,577 51	0 00	8,171 79	405 72
ADOBE SYSTEMS INC CMN (00724F101)	10/28/2010	12/21/2010	67 00	2,052 18	0 00	1,881 21	170 97
ADOBE SYSTEMS INC CMN (00724F101)	10/29/2010	12/21/2010	58 00	1,776 51	0 00	1,633 20	143 31
ADOBE SYSTEMS INC CMN (00724F101)	11/01/2010	12/21/2010	160 00	4,900 72	0 00	4,511 05	389 67
JPMORGAN CHASE & CO CMN (46625H100)	04/12/2010	12/21/2010	302 00	12,334 53	0 00	13,898 04	(1,563 51)
LYONDELLBASELL INDUSTRIES N V CMN CLASS A (N53745100)	11/05/2010	12/22/2010	265 00	8,662 70	0 00	7,475 04	1,187 66
GENERAL MOTORS COMPANY CMN (37045V100)	11/18/2010	12/28/2010	251 00	8,920 38	0 00	8,919 52	0 86

4 Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

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STATEMENT D-4

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
MERCK & CO , INC CMN (58933Y105)	02/23/2010	12/29/2010	154 00	5,587 06	0 00	5,621 19	(34 14)
MERCK & CO , INC CMN (58933Y105)	03/26/2010	12/29/2010	91 00	3,301 44	0 00	3,440 53	(139 09)
MERCK & CO , INC CMN (58933Y105)	04/12/2010	12/29/2010	230 00	8,344 30	0 00	8,498 50	(154 20)
MERCK & CO , INC CMN (58933Y105)	06/28/2010	12/29/2010	134 00	4,861 46	0 00	4,778 27	83 19
NET SHORT TERM GAINS (LOSSES)				**417,575.39**	**0.00**	**395,197.63**	**22,377.73**

LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
AFLAC INCORPORATED CMN (001055102)	08/26/2009	12/08/2010	39 00	2,110 16	0 00	1,604 93	505 23
AFLAC INCORPORATED CMN (001055102)	08/27/2009	12/08/2010	24 00	1,298 56	0 00	987 02	311 54
BANK OF AMERICA CORP CMN (060505104)	04/13/2009	12/08/2010	354 00	4,152 35	0 00	3,869 57	282 78
BANK OF AMERICA CORP CMN (060505104)	05/01/2009	12/08/2010	584 00	6,850 20	0 00	5,130 38	1,719 82
BANK OF AMERICA CORP CMN (060505104)	05/08/2009	12/08/2010	36 00	422 27	0 00	520 14	(97 87)
BANK OF AMERICA CORP CMN (060505104)	06/02/2009	12/08/2010	251 00	2,944 18	0 00	2,879 82	64 36
BANK OF AMERICA CORP CMN (060505104)	12/07/2009	12/08/2010	221 00	2,592 29	0 00	3,521 36	(929 08)
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	04/23/2009	12/08/2010	234 00	4,342 97	0 00	3,094 63	1,248 34
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	05/19/2009	12/08/2010	104 00	1,930 21	0 00	1,794 83	135 38
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	05/20/2009	12/08/2010	18 00	334 07	0 00	316 70	17 37
DISH NETWORK CORPORATION CMN CLASS A (25470M109)	10/28/2009	12/08/2010	165 00	3,062 35	0 00	2,855 10	207 25
DOW CHEMICAL CO CMN (260543103)	09/11/2009	12/08/2010	61 00	2,051 60	0 00	1,420 44	631 16
DOW CHEMICAL CO CMN (260543103)	11/11/2009	12/08/2010	152 00	5,112 19	0 00	4,054 73	1,057 46
ENTERGY CORPORATION CMN (29364G103)	02/01/2008	12/08/2010	35 00	2,461 51	0 00	3,810 38	(1,348 87)
ENTERGY CORPORATION CMN (29364G103)	05/01/2008	12/08/2010	15 00	1,054 93	0 00	1,707 15	(652 22)
ENTERGY CORPORATION CMN (29364G103)	05/12/2006	12/08/2010	88 00	6,188 93	0 00	6,015 68	173 25
FRANKLIN RESOURCES INC CMN (354613101)	11/19/2008	12/08/2010	24 00	2,843 95	0 00	1,219 04	1,624 91
GENERAL MILLS INC CMN (370334104)	12/12/2008	12/08/2010	50 00	1,759 15	0 00	1,513 00	246 15

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
GENERAL MILLS INC CMN (370334104)	12/15/2008	12/08/2010	100 00	3,518 30	0 00	3,014 48	503 82
INVESCO LTD CMN (G491BT108)	12/14/2007	12/08/2010	50 00	1,149 48	0 00	1,409 53	(260 05)
INVESCO LTD CMN (G491BT108)	12/17/2007	12/08/2010	10 00	229 90	0 00	279 17	(49 27)
INVESCO LTD CMN (G491BT108)	02/22/2008	12/08/2010	64 00	1,471 34	0 00	1,633 08	(161 75)
INVESCO LTD CMN (G491BT108)	03/20/2008	12/08/2010	70 00	1,609 27	0 00	1,680 39	(71 12)
INVESCO LTD CMN (G491BT108)	07/18/2008	12/08/2010	40 00	919 58	0 00	923 28	(3 70)
INVESCO LTD CMN (G491BT108)	07/21/2008	12/08/2010	69 00	1,586 28	0 00	1,616 02	(29 74)
INVESCO LTD CMN (G491BT108)	07/22/2008	12/08/2010	45 00	1,034 53	0 00	1,042 56	(8 03)
MARSH & MCLENNAN CO INC CMN (571748102)	09/10/2009	12/08/2010	175 00	4,647 92	0 00	4,074 12	573 80
PRUDENTIAL FINANCIAL INC CMN (744320102)	06/03/2009	12/08/2010	87 00	4,755 34	0 00	3,555 68	1,199 66
FORD MOTOR COMPANY CMN (345370860)	10/28/2009	12/09/2010	558 00	9,331 22	0 00	3,997 07	5,334 15
OCCIDENTAL PETROLEUM CORP CMN (674599105)	09/22/2008	12/09/2010	7 00	634 14	0 00	574 48	59 66
OCCIDENTAL PETROLEUM CORP CMN (674599105)	02/26/2009	12/09/2010	40 00	3,623 66	0 00	2,115 80	1,507 86
OCCIDENTAL PETROLEUM CORP CMN (674599105)	03/27/2009	12/09/2010	38 00	3,442 48	0 00	2,206 26	1,236 21
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/25/2009	12/09/2010	29 00	2,627 15	0 00	1,889 21	737 94
OCCIDENTAL PETROLEUM CORP CMN (674599105)	06/26/2009	12/09/2010	34 00	3,080 11	0 00	2,199 16	880 95
OCCIDENTAL PETROLEUM CORP CMN (674599105)	08/13/2009	12/09/2010	36 00	3,261 29	0 00	2,535 32	725 97
CBS CORPORATION CMN CLASS B (124857202)	11/18/2009	12/10/2010	36 00	647 99	0 00	489 92	158 07
NEWELL RUBBERMAID INC CMN (651229106)	09/28/2009	12/10/2010	358 00	6,268 68	0 00	5,613 34	655 34
NEWFIELD EXPLORATION CO CMN (651290108)	10/05/2009	12/10/2010	82 00	5,902 26	0 00	3,584 78	2,317 48
NEWFIELD EXPLORATION CO CMN (651290108)	10/06/2009	12/10/2010	98 00	7,053 92	0 00	4,525 39	2,528 53
THE BANK OF NY MELLON CORP CMN (064058100)	10/22/2009	12/10/2010	115 00	3,306 40	0 00	3,329 50	(23 10)
THE BANK OF NY MELLON CORP CMN (064058100)	10/23/2009	12/10/2010	34 00	977 54	0 00	1,001 02	(23 48)
THE BANK OF NY MELLON CORP CMN (064058100)	10/28/2009	12/10/2010	105 00	3,018 89	0 00	2,907 72	111 17
BIOGEN IDEC INC CMN (09062X103)	01/21/2009	12/14/2010	64 00	4,210 49	0 00	3,210 08	1,000 41
BIOGEN IDEC INC CMN (09062X103)	03/10/2009	12/14/2010	67 00	4,407 85	0 00	3,069 44	1,338 41
BIOGEN IDEC INC CMN (09062X103)	08/03/2009	12/14/2010	26 00	1,710 51	0 00	1,251 36	459 15

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LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
BIOGEN IDEC INC CMN (09062X103)	08/04/2009	12/14/2010	42 00	2,763 13	0 00	2,034 75	728 38
BOEING COMPANY CMN (097023105)	10/13/2008	12/14/2010	38 00	2,467 30	0 00	1,714 35	752 95
BOEING COMPANY CMN (097023105)	10/14/2008	12/14/2010	19 00	1,233 65	0 00	912 90	320 75
BOEING COMPANY CMN (097023105)	11/18/2009	12/14/2010	52 00	3,376 30	0 00	2,719 78	656 52
JOHNSON CONTROLS INC CMN (478366107)	04/17/2009	12/14/2010	56 00	2,148 12	0 00	971 53	1,176 59
JPMORGAN CHASE & CO CMN (46625H100)	02/01/2008	12/21/2010	80 00	3,267 42	0 00	3,831 20	(563 78)
JPMORGAN CHASE & CO CMN (46625H100)	05/01/2008	12/21/2010	15 00	612 64	0 00	738 75	(126 11)
JPMORGAN CHASE & CO CMN (46625H100)	11/14/2008	12/21/2010	84 00	3,430 80	0 00	2,975 24	455 56
JPMORGAN CHASE & CO CMN (46625H100)	05/12/2006	12/21/2010	67 00	2,736 47	0 00	2,986 19	(249 72)
JPMORGAN CHASE & CO CMN (46625H100)	06/15/2006	12/21/2010	85 00	3,471 64	0 00	3,445 54	26 10
JPMORGAN CHASE & CO CMN (46625H100)	10/15/2007	12/21/2010	70 00	2,859 00	0 00	3,241 04	(382 04)
JPMORGAN CHASE & CO CMN (46625H100)	10/31/2007	12/21/2010	125 00	5,105 35	0 00	5,912 20	(806 85)
JPMORGAN CHASE & CO CMN (46625H100)	09/30/2009	12/21/2010	75 00	3,063 21	0 00	3,311 13	(247 92)
MERCK & CO , INC CMN (58933Y105)	12/16/2009	12/29/2010	1 00	36 28	0 00	37 77	(1 49)
MERCK & CO , INC CMN (58933Y105)	12/17/2009	12/29/2010	96 00	3,482 84	0 00	3,618 28	(135 44)
NET LONG TERM GAINS (LOSSES)				**175,992.54**	**0.00**	**148,493.71**	**27,498.80**

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STATEMENT D-4

REALIZED GAIN AND LOSS SUMMARY

Net Short Term Gains (Losses)	3,559 74	Net Long Term Gains (Losses)	(23,786 66)	Net Ordinary Gains (Losses)	0 00
Net Miscellaneous Short Term Gains (Losses)	0 00	Net Miscellaneous Long Term Gains (Losses)	0 00	Net Miscellaneous Ordinary Gains (Losses)	0 00
Net Regulated Futures Contract Short Term Gains (Losses)	0 00	Net Regulated Futures Contract Long Term Gains (Losses)	0 00		
Total Short Term Gains (Losses)	**3,559 74**	**Total Long Term Gains (Losses)**	**(23,786.66)**	**Total Ordinary Gains (Losses)**	**0 00**

SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
EVEREST RE GROUP LTD CMN (G3223R108)	04/12/2010	12/08/2010	51 00	4,326 77	0 00	4,159 05	167 72
POLO RALPH LAUREN CORPORATION CLASS A COMMON STOCK (731572103)	10/25/2010	12/08/2010	56 00	6,259 01	0 00	5,328 40	930 61
RANGE RESOURCES CORPORATION CMN (75281A109)	04/12/2010	12/08/2010	76 00	3,380 42	0 00	3,821 28	(440 86)
RANGE RESOURCES CORPORATION CMN (75281A109)	04/15/2010	12/08/2010	90 00	4,003 13	0 00	4,593 66	(590 53)
RANGE RESOURCES CORPORATION CMN (75281A109)	05/10/2010	12/08/2010	80 00	3,558 34	0 00	3,826 95	(268 61)
SCHLUMBERGER LTD CMN (806857108)	10/27/2010	12/08/2010	96 00	7,779 71	0 00	6,626 53	1,153 18
SCHLUMBERGER LTD CMN (806857108)	11/01/2010	12/08/2010	62 00	5,024 39	0 00	4,334 36	690 03
SLM CORPORATION CMN (78442P106)	01/19/2010	12/08/2010	370 00	4,525 02	0 00	4,199 58	325 44
SLM CORPORATION CMN (78442P106)	04/12/2010	12/08/2010	376 00	4,598 40	0 00	4,993 28	(394 88)
SPRINT NEXTEL CORPORATION CMN (852061100)	04/12/2010	12/08/2010	1,297 00	5,499 19	0 00	5,382 55	116 64
STATE STREET CORPORATION (NEW) CMN (857477103)	12/15/2009	12/08/2010	67 00	3,069 22	0 00	2,670 16	399 06
STATE STREET CORPORATION (NEW) CMN (857477103)	04/12/2010	12/08/2010	44 00	2,015 61	0 00	2,099 24	(83 64)
STATE STREET CORPORATION (NEW) CMN (857477103)	05/18/2010	12/08/2010	102 00	4,672 54	0 00	4,139 80	532 74
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	02/03/2010	12/08/2010	47 00	1,202 71	0 00	1,105 39	97 32
SUNTRUST BANKS INC $1 00 PAR CMN (867914103)	04/12/2010	12/08/2010	113 00	2,891 62	0 00	3,300 73	(409 11)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	11/11/2010	12/08/2010	88 00	4,291 69	0 00	4,464 03	(172 34)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	11/12/2010	12/08/2010	134 00	6,535 07	0 00	6,758 29	(223 22)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	11/15/2010	12/08/2010	129 00	6,291 22	0 00	6,551 75	(260 53)
TEXAS INSTRUMENTS INC CMN (882508104)	06/25/2010	12/08/2010	250 00	8,252 36	0 00	6,031 02	2,221 34
THE TRAVELERS COMPANIES, INC CMN (89417E109)	04/12/2010	12/08/2010	76 00	4,161 69	0 00	3,966 44	195 25
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	04/12/2010	12/08/2010	81 00	2,514 20	0 00	2,524 77	(10 57)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
WELLPOINT, INC CMN (94973V107)	04/12/2010	12/08/2010	101 00	5,668 02	0 00	6,221 60	(553 58)
CLOROX CO (THE) (DELAWARE) CMN (189054109)	12/08/2010	12/17/2010	280 00	17,477 92	0 00	17,444 62	33 30
COCA-COLA COMPANY (THE) CMN (191216100)	12/08/2010	12/17/2010	105 00	6,855 18	0 00	6,750 20	104 98
NET SHORT TERM GAINS (LOSSES)				**124,853.43**	**0.00**	**121,293.68**	**3,559.74**

LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
EVEREST RE GROUP LTD CMN (G3223R108)	02/01/2008	12/08/2010	5 00	424 19	0 00	512 70	(88 51)
EVEREST RE GROUP LTD CMN (G3223R108)	04/22/2008	12/08/2010	25 00	2,120 96	0 00	2,303 06	(182 10)
EVEREST RE GROUP LTD CMN (G3223R108)	04/24/2008	12/08/2010	15 00	1,272 58	0 00	1,375 29	(102 71)
EVEREST RE GROUP LTD CMN (G3223R108)	02/14/2007	12/08/2010	15 00	1,272 58	0 00	1,453 41	(180 83)
EVEREST RE GROUP LTD CMN (G3223R108)	01/21/2009	12/08/2010	45 00	3,817 73	0 00	3,095 10	722 63
GOLDMAN SACHS CONCENTRATED GROWTH INS MUTUAL FUND INSTL (38142Y153)	04/23/2008	12/08/2010	26,119 40	350,000 00	0 00	363,843 28	(13,843 28)
SLM CORPORATION CMN (78442P106)	03/11/2008	12/08/2010	25 00	305 75	0 00	443 78	(138 04)
SLM CORPORATION CMN (78442P106)	04/22/2008	12/08/2010	25 00	305 75	0 00	438 62	(132 88)
SLM CORPORATION CMN (78442P106)	05/23/2008	12/08/2010	28 00	342 43	0 00	662 36	(319 93)
SLM CORPORATION CMN (78442P106)	05/27/2008	12/08/2010	80 00	978 38	0 00	1,880 94	(902 56)
SLM CORPORATION CMN (78442P106)	08/22/2008	12/08/2010	112 00	1,369 74	0 00	1,587 11	(217 37)
SLM CORPORATION CMN (78442P106)	08/25/2008	12/08/2010	190 00	2,323 66	0 00	2,714 04	(390 38)
SPRINT NEXTEL CORPORATION CMN (852061100)	01/10/2008	12/08/2010	118 00	500 31	0 00	1,517 06	(1,016 75)
SPRINT NEXTEL CORPORATION CMN (852061100)	02/01/2008	12/08/2010	265 00	1,123 58	0 00	2,756 00	(1,632 42)
SPRINT NEXTEL CORPORATION CMN (852061100)	04/24/2008	12/08/2010	410 00	1,738 37	0 00	3,235 23	(1,496 86)
SPRINT NEXTEL CORPORATION CMN (852061100)	05/01/2008	12/08/2010	165 00	699 59	0 00	1,313 40	(613 81)
SPRINT NEXTEL CORPORATION CMN (852061100)	05/06/2008	12/08/2010	430 00	1,823 17	0 00	3,726 85	(1,903 68)
SPRINT NEXTEL CORPORATION CMN (852061100)	06/03/2008	12/08/2010	65 00	275 60	0 00	631 34	(355 75)

4 Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you The information in this statement is not yet determined pursuant to such new legislation

STATEMENT D-5

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG TERM

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds [5]	Total Accretion (Amortization)	Cost Basis [4]	Total Gain (Loss)
SPRINT NEXTEL CORPORATION CMN (852061100)	06/12/2008	12/08/2010	225 00	953 98	0 00	1,841 15	(887 17)
SPRINT NEXTEL CORPORATION CMN (852061100)	07/28/2009	12/08/2010	573 00	2,429 48	0 00	2,624 17	(194 69)
STATE STREET CORPORATION (NEW) CMN (857477103)	05/19/2009	12/08/2010	25 00	1,145 23	0 00	1,087 75	57 48
THE TRAVELERS COMPANIES, INC CMN (89417E109)	07/11/2008	12/08/2010	52 00	2,847 47	0 00	2,278 38	569 09
THE TRAVELERS COMPANIES, INC CMN (89417E109)	01/21/2009	12/08/2010	105 00	5,749 70	0 00	4,088 13	1,661 57
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	02/01/2008	12/08/2010	91 00	2,824 59	0 00	3,016 09	(191 50)
UNILEVER N V NY SHS (NEW) ADR CMN (904784709)	05/01/2008	12/08/2010	75 00	2,327 96	0 00	2,507 25	(179 29)
WELLPOINT, INC CMN (94973V107)	02/01/2008	12/08/2010	20 00	1,122 38	0 00	1,575 20	(452 82)
WELLPOINT, INC CMN (94973V107)	06/05/2008	12/08/2010	45 00	2,525 36	0 00	2,547 00	(21 64)
WELLPOINT, INC CMN (94973V107)	09/03/2008	12/08/2010	37 00	2,076 40	0 00	1,953 55	122 85
WELLPOINT, INC CMN (94973V107)	09/04/2008	12/08/2010	25 00	1,402 98	0 00	1,299 71	103 27
WELLPOINT, INC CMN (94973V107)	04/27/2006	12/08/2010	4 00	224 48	0 00	266 90	(42 42)
WELLPOINT, INC CMN (94973V107)	05/12/2006	12/08/2010	50 00	2,805 95	0 00	3,598 50	(792 55)
WELLPOINT, INC CMN (94973V107)	01/09/2007	12/08/2010	36 00	2,020 29	0 00	2,763 89	(743 61)
NET LONG TERM GAINS (LOSSES)				**401,150.62**	**0.00**	**424,937.24**	**(23,786.66)**

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

STATEMENT D-5

Form **8868** (Rev January 2011) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www.irs gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization THE AHUJA FOUNDATION	**Employer identification number** 34-1685088
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 81 SEAGATE DRIVE #2101	
	City, town or post office, state, and ZIP code For a foreign address, see instructions NAPLES, FL 34103	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . [0|4]

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ MONTE AHUJA

Telephone No ▶ 239 403-7940 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 , 20 11 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ [X] calendar year 20 10 or
▶ [ ] tax year beginning ______, 20___, and ending ______, 20___

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return
[ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 19,511.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 9,511.
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 10,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print	Name of exempt organization THE AHUJA FOUNDATION	**Employer identification number** 34-1685088
File by the extended due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 81 SEAGATE DRIVE #2101	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NAPLES, FL 34103	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . [0 4]

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ MONTE AHUJA
  Telephone No ▶ 239 403-7940 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15, 20 11

5 For calendar year 2010, or other tax year beginning ______, 20____, and ending ______, 20____

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ATTACHMENT 1

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 19,511.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 19,511.
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ Date ▶