Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

2010

For calendar year 2010, or tax year beginning , 2010, and ending ,

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
Rice Family Foundation

Number and street (or P.O. box number if mail is not delivered to street address)
4471 Jutland Drive

Room/suite

City or town
San Diego

State CA ZIP code 92117

A Employer identification number
33-0592384

B Telephone number (see the instructions)
(858) 273-3165

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)*
▶$ 6,746,439.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

		Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)*	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (att sch)				
	2	Ck ▶ ☒ if the foundn is not req to att Sch B				
	3	Interest on savings and temporary cash investments	500.	500.		
	4	Dividends and interest from securities	157,375.	157,375.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit/(loss) (att sch)				
	11	Other income (attach schedule) See Line 11 Stmt	262,298.	262,298.		
	12	**Total.** Add lines 1 through 11	420,173.	420,173.		
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc	49,000.	24,000.		25,000.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch) L-16b Stmt	4,000.			4,000.
	c	Other prof fees (attach sch) L-16c Stmt	45,903.	38,403.		7,500.
	17	Interest				
	18	Taxes (attach schedule)(see instr) See Line 18 Stmt	8,308.	4,986.		
	19	Depreciation (attach sch) and depletion				
	20	Occupancy	3,600.	1,800.		1,800.
	21	Travel, conferences, and meetings	2,542.	723.		1,819.
	22	Printing and publications				
	23	Other expenses (attach schedule) See Line 23 Stmt	7,402.	3,604.		3,798.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	120,755.	73,516.		43,917.
	25	Contributions, gifts, grants paid	331,523.			331,523.
	26	**Total expenses and disbursements.** Add lines 24 and 25	452,278.	73,516.		375,440.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-32,105.			
	b	**Net investment income** (if negative, enter -0-)		346,657.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	1,186,402.	297,054.	297,054.
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) L-10b Stmt	2,649,455.	3,048,063.	3,384,054.
	c	Investments – corporate bonds (attach schedule) L-10c Stmt	1,549,959.	1,867,639.	1,969,365.
	11	Investments – land, buildings, and equipment: basis ► 1,096,959.			
		Less: accumulated depreciation (attach schedule) L-11 Stmt ► 0.	1,096,959.	1,096,959.	1,095,966.
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment: basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers – see instructions. Also, see page 1, item I)	6,482,775.	6,309,715.	6,746,439.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	6,482,775.	6,309,715.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see the instructions)	6,482,775.	6,309,715.	
	31	**Total liabilities and net assets/fund balances** (see the instructions)	6,482,775.	6,309,715.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,482,775.
2	Enter amount from Part I, line 27a	2	-32,105.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	6,450,670.
5	Decreases not included in line 2 (itemize) ► Adjustment to book values-Invstmts	5	140,955.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	6,309,715.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	RBC Acct 231:Fixed Sch B-1	P	various	various
b	RBC Acct 234: Equitites Sch B-2	P	various	various
c	2110 shares Energy Transfer Ptrs Sch B-4	P	07/28/09	05/06/10
d	3257 shares Powershares DB US Dlr Index Sch B-4	P	05/25/10	08/04/10
e	See Columns (a) thru (d)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,483,751.		1,489,276.	-5,525.
b	5,535,404.		5,646,831.	-111,427.
c	92,016.		80,073.	11,943.
d	76,636.		83,971.	-7,335.
e	See Columns (e) thru (h)		0.	6,207.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				-5,525.
b				-111,427.
c				11,943.
d				-7,335.
e	See Columns (i) thru (l)	0.	0.	6,207.

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-106,137.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009	309,931.	6,515,384.	0.047569
2008	350,159.	6,370,028.	0.054970
2007	344,870.	6,988,472.	0.049348
2006	285,617.	6,472,588.	0.044127
2005	156,737.	5,736,460.	0.027323

2	**Total** of line 1, column (d)	2	0.223337
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044667
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	6,441,937.
5	Multiply line 4 by line 3	5	287,742.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,467.
7	Add lines 5 and 6	7	291,209.
8	Enter qualifying distributions from Part XII, line 4	8	375,440.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary – see instr.)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	3,467.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	3,467.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	3,467.
6	Credits/Payments:			
a	2010 estimated tax pmts and 2009 overpayment credited to 2010	6a 3,312.		
b	Exempt foreign organizations – tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	3,312.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	155.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	0.
11	Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ 0. **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see the instructions) ▶ CA - California			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV.*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses.*	10		X

Part VII-A Statements Regarding Activities *(Continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Lisa Wilson Telephone no. ► (858) 273-3165

Located at ► 4471 Jutland Drive San Diego CA ZIP + 4 ► 92117

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions.)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)*		3b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? 5b

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b — X (No)

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John Hamel 4471 Jutland Drive San Diego CA 92117	Dir/Pres/CEO 3.00	0.	0.	0.
Shar Salter 4471 Jutland Drive San Diego CA 92117	Director/VP 2.00	3,000.	0.	0.
Lisa Wilson 4471 Jutland Drive San Diego CA 92117	Dir/Treas/CFO 25.00	42,000.	0.	0.
Michelle Brookman 4471 Jutland Drive San Diego CA 92117	Dir/Secty 2.00	4,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A				
0				
0				
0				
0				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
None		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ►	None

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a Average monthly fair market value of securities	1a	5,335,442.
b Average of monthly cash balances	1b	108,630.
c Fair market value of all other assets (see instructions)	1c	1,095,966.
d **Total** (add lines 1a, b, and c)	1d	6,540,038.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) **1e**		
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	6,540,038.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	98,101.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,441,937.
6 **Minimum investment return.** Enter 5% of line 5	6	322,097.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6			1	322,097.
2a Tax on investment income for 2010 from Part VI, line 5	2a	3,467.		
b Income tax for 2010. (This does not include the tax from Part VI.)	2b			
c Add lines 2a and 2b			2c	3,467.
3 Distributable amount before adjustments. Subtract line 2c from line 1			3	318,630.
4 Recoveries of amounts treated as qualifying distributions			4	
5 Add lines 3 and 4			5	318,630.
6 Deduction from distributable amount (see instructions)			6	
7 **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	318,630.

Part XII **Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	375,440.
b Program-related investments – total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	375,440.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	3,467.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	371,973.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7					318,630.
2 Undistributed income, if any, as of the end of 2010:					
a Enter amount for 2009 only				101,405.	
b Total for prior years: 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2010:					
a From 2005	0.				
b From 2006	0.				
c From 2007	0.				
d From 2008	0.				
e From 2009	0.				
f **Total** of lines 3a through e		0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► $ 375,440.					
a Applied to 2009, but not more than line 2a				101,405.	
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2010 distributable amount					274,035.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount – see instructions			0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount – see instructions				0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011					44,595.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)		0.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a		0.			
10 Analysis of line 9:					
a Excess from 2006	0.				
b Excess from 2007	0.				
c Excess from 2008	0.				
d Excess from 2009	0.				
e Excess from 2010	0.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
The Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)(3)	Title 1 school Transportation	5,000.
The Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)(3)	Zoo Express Program	4,000.
The Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)(3)	Wild Animal Park Educator Preview	8,000.
The Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)(3)	Animal Express Program	13,000.
Rolling Readers USA 2911 Adams Ave #17 San Diego CA 92116		501(c)(3)	Read Aloud/Book Giveaway Program	5,000.
ArtsBusXpress 2665 Ariane Drive, 202A San Diego CA 92117		501(c)(3)	Student Transporation To the Arts	5,000.
Horton Elementary 5050 Guyman Street San Diego CA 92102		501(c)(3)	Field trip Admissions	3,500.
Horton Elementary 5050 Guyman Street San Diego CA 92102		501(c)(3)	Student After school Clubs	1,500.
Horton Elementary 5050 Guyman Street San Diego CA 92102		501(c)(3)	School Assemblies Attendance Incentive	5,000.
See Line 3a statement				281,523.
Total ► **3a**				331,523.
b Approved for future payment				
Total ► **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	500.	
4 Dividends and interest from securities			14	157,375.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a Lease Income			14	261,349.	
b Royalty Acct Heber			14	946.	
c Misc Income			14	3.	
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				420,173.	
13 **Total.** Add line 12, columns (b), (d), and (e)				13	420,173.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See the instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	**1a(1)**		X
(2) Other assets	**1a(2)**		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		X
(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		X
(3) Rental of facilities, equipment, or other assets	**1b(3)**		X
(4) Reimbursement arrangements	**1b(4)**		X
(5) Loans or loan guarantees	**1b(5)**		X
(6) Performance of services or membership or fundraising solicitations	**1b(6)**		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Lisa Wilson 5-8-11 ▶ CFO

Signature of officer or trustee Date Title

Paid Preparer Use Only

Print/Type preparer's name | Preparer's signature | Date | Check if ☐ if self-employed | PTIN

Firm's name ▶ Self Prepared Firm's EIN ▶

Firm's address ▶

Phone no

Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
Lease income	261,349.	261,349.	
Royalty Acct Heber	946.	946.	
Misc. Income	3.	3.	
Total	262,298.	262,298.	

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
2010 Est. Taxes	3,312.			
Property Taxes	4,469.	4,469.		
State 2010 CA 199 Filing Fee	10.			
Foreign Taxes Paid	517.	517.		
Total	8,308.	4,986.		

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
San Diego Grantmakers dues	650.			650.
Education seminars	590.	295.		295.
Insurance	2,068.			2,068.
Postage	128.			128.
Property Repairs	3,309.	3,309.		
Supplies	433.			433.
RRF-1 2010: Tax Filing Fee	75.			75.
Bank Charges	20.			20.
Misc Other	129.			129.
Total	7,402.	3,604.		3,798.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	**(b)** How acquired P-Purchase D-Donation	**(c)** Date acquired (month, day, year)	**(d)** Date sold (month, day, year)
RBC Acct 231: Capital Gains Sch B-5	P	various	various
RBC Acct 234: Capital Gains Sch B-6	P	various	various

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (e) thru (h)

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
0.		0.	68.
0.		0.	6,139.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Continued
Columns (e) thru (h)

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
Total		0.	6,207.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
(i) Fair Market Value as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of column (i) over column (j), if any	
0.	0.	0.	68.
0.	0.	0.	6,139.
Total	0.	0.	6,207.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Family Days at Museum Program	Person or ☐ Business ☒ 5,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Nature-to-You Loan Library	Person or ☐ Business ☒ 10,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Fossil Mysteries Exhibit	Person or ☐ Business ☒ 5,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Museum on Wheels Program	Person or ☐ Business ☒ 10,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Magic School Bus Program	Person or ☐ Business ☒ 15,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Museum Access Fund	Person or ☐ Business ☒ 10,000.
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Challenger Mission Program Support	Person or ☐ Business ☒ 15,405.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Teacher Workshop Supplies	Person or ☐ Business ☒ 520.
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Teacher Stipeds for workshops	Person or ☐ Business ☒ 3,840.
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Micronaut Challenger Missions Program Support	Person or ☐ Business ☒ 9,875.
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Challenger Program Teacher Supplies	Person or ☐ Business ☒ 360.
Cuyamaca College 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	Student Scholarships	Person or ☐ Business ☒ 2,500.
Cuyamaca College 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	Outreach Program Horticultural Dept	Person or ☐ Business ☒ 5,725.
Cuyamaca College 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	Morgan Rice Internship Program	Person or ☐ Business ☒ 39,275.
Kimbrough Elementary School 321 Hoitt Street San Diego CA 92102		501(c)(3)	Field Trips/ trolly fares to Museums	Person or ☐ Business ☒ 5,000.
Kimbrough Elementary School 321 Hoitt Street San Diego CA 92102		501(c)(3)	Disaster Preparedness Resources	Person or ☐ Business ☒ 5,000.
Edison Elementary School 4077 35th Street San Diego CA 92104		501(c)(3)	Computer Equipment & Lab Tech	Person or ☐ Business ☒ 10,000.
Cuyamaca College Botanical Society 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	Awards Banquet Donation	Person or ☐ Business ☒ 225.
Elementary Institute of Science 608 51st Street San Diego CA 92114		501(c)(3)	Energy Initiative Program Support	Person or ☐ Business ☒ 30,000.
Elementary Institute of Science 608 51st Street San Diego CA 92114		501(c)(3)	Energy Inititive Scholarships	Person or ☐ Business ☒ 6,000.
Euclid Elementary 4166 Euclid Ave. San Diego CA 92105		501(c)(3)	School equipment	Person or ☐ Business ☒ 10,000.
Garfield Elementary 4487 Oregon Street San Diego CA 92116		501(c)(3)	Literacy Software program	Person or ☐ Business ☒ 10,000.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
Grossmont College 8800 Grossmont College Dr. El Cajon CA 92020		501(c)(3)	Theatre Arts Dept Play Support	Person or ☐ Business ☒ 2,998.
Franklin Elementary school 4481 Copeland Svenue San Diego CA 92116		501(c)(3)	Instructional Programs	Person or ☐ Business ☒ 5,500.
Franklin Elementary school 4481 Copeland Svenue San Diego CA 92116		501(c)(3)	Push-In Teacher support	Person or ☐ Business ☒ 4,500.
Baker Elementary 4041 T. Street San Diego CA 92113		501(c)(3)	Classroom Materials	Person or ☐ Business ☒ 6,000.
Baker Elementary 4041 T. Street San Diego CA 92113		501(c)(3)	Educational Subscription for classrooms	Person or ☐ Business ☒ 2,000.
Baker Elementary 4041 T. Street San Diego CA 92113		501(c)(3)	Family Wellness, Uniform support, Emergency supplies	Person or ☐ Business ☒ 2,000.
Balboa Elementary 1844 S. 40th Street San Diego CA 92113		501(c)(3)	Practice Development	Person or ☐ Business ☒ 2,000.
Balboa Elementary 1844 S. 40th Street San Diego CA 92113		501(c)(3)	Uniform Support	Person or ☐ Business ☒ 1,200.
Balboa Elementary 1844 S. 40th Street San Diego CA 92113		501(c)(3)	Staff Coordinator School club program	Person or ☐ Business ☒ 2,050.
Balboa Elementary 1844 S. 40th Street San Diego CA 92113		501(c)(3)	Assemblies. rallys, Attendance incentives	Person or ☐ Business ☒ 4,750.
John Marshall Elementary School 3550 Altadena Avenue San Diego CA 92105		501(c)(3)	Computer Equipment	Person or ☐ Business ☒ 10,000.
Wester Academy of Science of Research 4801 Elm Street San Diego CA 92102		501(c)(3)	Science Lab Materials/ Supplies	Person or ☐ Business ☒ 3,000.
Wester Academy of Science of Research 4801 Elm Street San Diego CA 92102		501(c)(3)	Technology Software	Person or ☐ Business ☒ 2,000.
Wester Academy of Science of Research 4801 Elm Street San Diego CA 92102		501(c)(3)	Field trips/assemblies	Person or ☐ Business ☒ 2,000.
Wester Academy of Science of Research 4801 Elm Street San Diego CA 92102		501(c)(3)	Professional Development	Person or ☐ Business ☒ 3,000.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
John Marshall Elementary School 3550 Altadena Avenue San Diego CA 92105		501(c)(3)	Computer & Technology Equipment	Person or ☐ Business ☒ 10,000.
SAY San Diego 8755 Aero Dr. #100 San Diego CA 92123		501(c)(3)	General Support School Programs	Person or ☐ Business ☒ 100.
Heritage Of the Americas Museum 12100 Cuyamaca College Dr.West El Cajon CA 92019		501(c)(3)	Education Programs	Person or ☐ Business ☒ 4,000.
Leukemia & Lymphona Society 1311 Mamaroneck Ave White Plains NY 10605		501(c)(3)	General Support Research	Person or ☐ Business ☒ 500.
East County Youth Symphony 339 Hart Drive El Cajon CA 92021		501(c)(3)	General Support Music Programs	Person or ☐ Business ☒ 1,500.
Marston Middle School 3799 Clairemont Drive San Diego CA 92117		501(c)(3)	General Support programs	Person or ☐ Business ☒ 500.
Anaheim Outdoor Science Ed Foundation PO Box 25108 Anaheim CA 92825		501(c)(3)	General Support Programs	Person or ☐ Business ☒ 800.
MD Anderson Cancer Center PO Box 301439 Houston TX 77230		501(c)(3)	General Support Cancer Research Ctr	Person or ☐ Business ☒ 500.
MD Anderson Cancer Center PO Box 301439 Houston TX 77230		501(c)(3)	General Support Lymphona Research	Person or ☐ Business ☒ 500.
Idol Gives Back Foundation 92 Coprorate Park, #C, Box 324 Irvine CA 92606		501(c)(3)	General Support	Person or ☐ Business ☒ 100.
Susan G. Kromen 3-Day for the Cure 205 N. Michigan Ave, #2640 Chicago IL 60601		501(c)(3)	General Support Cancer Research	Person or ☐ Business ☒ 100.
Stand Up to Cancer 1201 W. 5th Street Ste T-700 Los Angeles CA 90017		501(c)(3)	General Support Cancer Research	Person or ☐ Business ☒ 500.
Avon Foundation for Women PO Box 1073 Rye NY 10580		501(c)(3)	General Support Breast Cancer Research	Person or ☐ Business ☒ 100.
Tubbs Romp to Stomp out Breast Cnacer 4201 6th Ave. S Seattle WA 98108		501(c)(3)	General Support Breast Cancer Research	Person or ☐ Business ☒ 100.
UC Del Sol Girls Softball League PO Box 17669 San Diego CA 92117		501(c)(3)	General Support Athletics	Person or ☐ Business ☒ 500.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
Total				281,523.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
L. Wilson	Prep 990PF	4,000.			4,000.
Total		4,000.			4,000.

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RBC Dain Rauscher	Investment Svcs	30,903.	30,903.		
Hamel & Assoc.	Consulting Svcs	15,000.	7,500.		7,500.
Total		45,903.	38,403.		7,500.

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	**End of Year** **Book Value**	 **Fair Market Value**
Misc Securities RBC Wealth Management Acct 234, Sch A-1	3,048,063.	3,384,054.
Total	3,048,063.	3,384,054.

Form 990-PF, Page 2, Part II, Line 10c

L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
Misc Fixed Income:RBC Wealth Management Acct 231, Sch A-2	1,867,639.	1,969,365.
Total	1,867,639.	1,969,365.

Form 990-PF, Page 2, Part II, Line 11

L-11 Stmt

Line 11b - Description of Investments Land, Buildings and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
Parcel 330-111-01-00 (1/2 Interest)	50,619.	0.	50,619.
Parcel 585-090-45-00	98,000.	0.	98,000.
Parcel 585-090-44-00	146,000.	0.	146,000.
Value of leased-fee estate,585-090-45-00	802,340.	0.	802,340.
Total	1,096,959.	0.	1,096,959.

Supporting Statement of:

Form 990-PF, p2/Line 2(a)

Description	Amount
RBC: Acct 230	252,844.
RBC: Acct 231	339,210.
RBC: Acct 234	170,660.
RBC: Acct 490	174,939.
La Jolla Bank CD	248,749.
Total	1,186,402.

Supporting Statement of:

Form 990-PF, p2/Line 2(b)

Description	Amount
RBC Acct 230	79,749.
RBC Acct 231	163,618.
RBC Acct 234	53,687.
Total	297,054.

Supporting Statement of:

Form 990-PF, p2/Line 2(c)

Description	Amount
RBC Acct 230	79,749.
RBC Acct 231	163,618.
RBC Acct 234	53,687.
Total	297,054.

Supporting Statement of:

Form 990-PF, p2/Line 11(c)

Description	Amount
Parcel 330-111-01-00 (1/2 interest)	49,626.
Parcel 585-090-45-00	98,000.
Parcel 585-090-44-00	146,000.
Value of leased-fee estate 585-090-45-00	802,340.
Total	1,095,966.

Supporting Statement of:

Form 990-PF,p12/Line 3 Column (d)

Description	Amount
Onewest Bank	500.
Total	500.

Supporting Statement of:

Form 990-PF,p12/Line 4 Column (d)

Description	Amount
Dividend Income: K-1's	14.
Interest Income: K-1's	47.
Ordinary Dividends-Investments	77,551.
Interest Income: Investments	74,185.
Interest on US Treasury	3,254.
1099-OID	325.
1099-Other periodic interest	1,999.
Total	157,375.

THE RICE FAMILY FOUNDATION
ATTN LISA WILSON
33-0592384

ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

** The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvestedAdditionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data Please see "About Your Statement" on page 2 for further information.*

Your Financial Consultant has elected to display Asset Detail with the following options:asset purchases (tax lots) consolidated.

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS YEAR-END STATEMENT MARKET VALUE
CASH				$2,396.15	
PRIME MONEY MARKET FUND RBC SELECT CLASS	TKSXX	51,290.690	$1.000	$51,290.69	$169,035.32
TOTAL CASH AND MONEY MARKET				**$53,686.84**	

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
AMERICAN ELECTRIC POWER CO INC	AEP	2,000.000	$35.980	$71,960.00	$73,536.60	-$1,576.60	$3,680.00
ANNALY CAPITAL MANAGEMENT INC	NLY	4,200.000	$17.920	$75,264.00	$74,592.00	$672.00	$10,752.00
BERKSHIRE HATHAWAY INC DEL CL B NEW	BRKB	1,800.000	$80.110	$144,198.00	$133,482.00	$10,716.00	
CHEVRON CORPORATION	CVX	1,500.000	$91.250	$136,875.00	$127,977.75	$8,897.25	$4,320.00
CONOCOPHILLIPS	COP	2,000.000	$68.100	$136,200.00	$121,422.97	$14,777.03	$4,400.00
COSTCO WHOLESALE CORP-NEW	COST	2,000.000	$72.210	$144,420.00	$121,541.58	$22,878.42	$1,640.00
DUKE ENERGY CORPORATION (HOLDING COMPANY) NEW	DUK	7,100.000	$17.810	$126,451.00	$125,452.64	$998.36	$6,958.00
E I DU PONT DE NEMOURS & CO	DD	3,400.000	$49.880	$169,592.00	$150,954.25	$18,637.75	$5,576.00
FIRST TRUST MID CAP CORE ALPHADEX FUND	FNX	2,000.000	$32.510	$65,020.00	$62,360.00	$2,660.00	$290.00
GENERAL MILLS INC	GIS	5,100.000	$35.590	$181,509.00	$184,259.85	-$2,750.85	$5,712.00
ISHARES TR S&P GLOBAL INDUSTRIALS INDEX ETF	EXI	1,200.000	$53.853	$64,623.84	$61,403.89	$3,219.95	$957.60
ISHARES TRUST DOW JONES US TELECOMM SECTOR INDEX FUND	IYZ	4,750.000	$23.370	$111,007.50	$94,477.23	$16,530.27	$3,334.50
MCDONALDS CORP	MCD	2,070.000	$76.760	$158,893.20	$139,713.60	$19,179.60	$5,050.80

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INVESTMENT ACCESS ACCOUNT STATEMENT WITH PORTFOLIO FOCUS 2010 ANNUAL STATEMENT

US EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
POWERSHARES S&P SMALLCAP ENERGY PORTFOLIO	XLES	2,000.000	$33.550	$67,100.00	$66,178.40	$921.60	$3,332.00
QUESTAR CORP	STR	2,600.000	$17.410	$45,266.00	$44,483.40	$782.60	$1,456.00
ROYAL GOLD INC	RGLD	900.000	$54.630	$49,167.00	$45,425.78	$3,741.22	$396.00
RYDEX ETF TRUST S&P 500 EQUAL WEIGHTED INDEX	RSP	3,885.000	$47.310	$183,799.35	$166,993.05	$16,806.30	$2,327.12
SELECT SECTOR SPDR AMEX UTILITIES SELECT INDEX	XLU	2,900.000	$31.340	$90,886.00	$88,130.75	$2,755.25	$3,685.90
SOUTHERN CO	SO	4,670.000	$38.230	$178,534.10	$150,647.20	$27,886.90	$8,499.40
TOTAL US EQUITIES				**$2,200,765.99**	**$2,033,032.94**	**$167,733.05**	**$72,367.32**

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
CAMECO CORP	CCJ	2,500.000	$40.380	$100,950.00	$93,063.75	$7,886.25	$697.50
GUGGENHEIM CANADIAN ENERGY INCOME ETF	ENY	5,000.000	$20.150	$100,750.00	$93,767.00	$6,983.00	$2,885.00
WISDOMTREE TRUST PACIFIC EX-JAPAN EQUITY INCOME FUND ETF	DNH	1,000.000	$60.412	$60,412.00	$58,759.95	$1,652.05	$2,812.00
TOTAL INTERNATIONAL EQUITIES				**$262,112.00**	**$245,590.70**	**$16,521.30**	**$6,394.50**

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST •	UNREALIZED GAIN/LOSS •	ESTIMATED ANNUALIZED INCOME
PNC ULTRA SHORT BOND CLASS I	PNCIX	2,476.000	$10.020	$24,809.52	$24,883.80	-$74.28	$329.31
TOTAL TAXABLE FIXED INCOME		**2,476.000**		**$24,809.52**	**$24,883.80**	**-$74.28**	**$329.31**

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST •	UNREALIZED GAIN/LOSS •
AVALONBAY COMMUNITIES INC	AVB	750.000	$112.550	$84,412.50	$82,683.38	$1,729.12
FEDERATED EQUITY FDS PRUDENT BEAR FD CL A SHS	BEARX	7,152.000	$4.730	$33,828.96	$38,763.84	-$4,934.88

THE RICE FAMILY FOUNDATION
ATTN LISA WILSON

OTHER ASSETS
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS *
HOSPITALITY PROPERTIES TRUST SBI	HPT	3,400.000	$23.040	$78,336.00		$81,324.60	-$2,988.60
JPMORGAN CHASE & CO ALERIAN MLP INDEX ETN BASED ON WAP LEVEL OF INDEX	AMJ	2,900.000	$36.350	$105,415.00		$98,404.83	$7,010.17
MARKET VECTORS ETF TR JR GOLD MINES	GDXJ	1,200.000	$39.890	$47,868.00		$47,734.33	$133.67
POWERSHARES DB MULTI-SECTOR COMDTY TR AGRICULTURE FUND ETF	DBA	3,000.000	$32.350	$97,050.00		$86,249.70	$10,800.30
SPDR SER TR S&P METALS & MINING ETF	XME	2,300.000	$68.780	$158,194.00		$127,074.12	$31,119.88
TOCQUEVILLE GOLD FUND	TGLDX	3,367.189	$86.500	$291,261.85		$182,320.51	$108,941.34
					Purchase	*$150,071.35*	*$83,997.65*
					Reinvest	*$32,249.16*	*$24,943.68*
TOTAL OTHER ASSETS				$896,366.31		$744,555.31	$151,811.00

THE RICE FAMILY FOUNDATION
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ASSET DETAIL

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CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS YEAR-END STATEMENT MARKET VALUE
PRIME MONEY MARKET FUND RBC SELECT CLASS	TKSXX	163,617.770	$1.000	$163,617.77	$339,210.20
TOTAL CASH AND MONEY MARKET				**$163,617.77**	

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ISHARES TR BARCLAYS 1-3 YR CREDIT BOND FUND ETF	CSJ	699.000	$104.280	$72,891.72	$73,010.55	-$118.83	$1,809.01
SPDR SER TR SPDR BARCLAYS CAP CONV SEC ETF	CWB	1,200.000	$41.050	$49,260.00	$49,079.00	$181.00	$2,114.40
GENERAL ELECTRIC CAPITAL CORP PUBLIC INCOME NTES 6.625% DUE 6/28/2032 PINES BOOK ENTRY	GEA	1,500.000	$25.480	$38,220.00	$37,499.00	$721.00	$2,484.00
ISHARES IBOXX $ HIGH YIELD CORPORATE BOND FUND	HYG	1,180.000	$90.290	$106,542.20	$100,728.52	$5,813.68	$8,792.18
LOOMIS SAYLES FDS II STRATEGIC INCOME FD CL A	NEFZX	7,038.000	$14.790	$104,092.02	$100,009.98	$4,082.04	$5,813.39
HSBC FIN CORP MOODY A3 S&P A	40429CCX8 CPN: 5.250% DUE 01/14/2011 DTD: 11/29/2005 BOOK ENTRY ONLY	150,000.000	$100.101	$150,151.95 $3,653.13	$147,813.00	$2,338.95	$7,875.00
BANCORP COM BK DE CD 0.350%11 C/D FDIC INS TO LIMITS MOODY N/R S&P N/R	05968VJC5 CPN: .350% DUE 01/24/2011 DTD: 12/22/2010 FC: 01/24/2011 BOOK ENTRY ONLY	125,000.000	$100.000	$125,000.00 $10.79	$125,000.00	$0.00	$437.50

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INVESTMENT ACCESS ACCOUNT STATEMENT WITH PORTFOLIO FOCUS 2010 ANNUAL STATEMENT

TAXABLE FIXED INCOME

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
GENERAL ELEC CAP CORP MEDIUM TERM NOTE PAR CALL 01/22/2011 MOODY AA2 S&P AA+	36962GX82 CPN: 5.720% DUE 08/22/2011 DTD: 08/22/2006 BOOK ENTRY ONLY	75,000.000	$102.795	$77,095.95 $1,894.75	$75,037.98	$2,057.97	$4,290.00
GENERAL ELEC CAP CORP MEDIUM TERM NTS PAR CALL 05/15/2011 MOODY AA2 S&P AA+	36962GZ56 CPN: 5.500% DUE 11/15/2011 DTD: 11/15/2006 BOOK ENTRY ONLY	75,000.000	$101.417	$76,062.68 $527.08	$75,530.34	$532.34	$4,125.00
J P MORGAN CHASE & CO GLOBAL SUB NOTE MOODY A1 S&P A	46625HAT7 CPN: 5.750% DUE 01/02/2013 DTD: 11/25/2002 BOOK ENTRY ONLY	150,000.000	$108.407	$162,610.65 $4,288.54	$149,958.00	$12,652.65	$8,625.00
GENERAL ELEC CAP CORP MEDIUM TERM NOTES MOODY AA2 S&P AA+	36962G3T9 CPN: 4.800% DUE 05/01/2013 DTD: 04/21/2008 BOOK ENTRY ONLY	25,000.000	$106.968	$26,741.98 $200.00	$24,975.00	$1,766.98	$1,200.00
ALCOA INC NOTES MOODY BAA3 S&P BBB-	013817AR2 CPN: 6.000% DUE 07/15/2013 DTD: 07/15/2008 BOOK ENTRY ONLY	40,000.000	$110.107	$44,042.60 $1,106.67	$39,200.00	$4,842.60	$2,400.00
HOME DEPOT INC SR NT MOODY BAA1 S&P BBB+	437076AR3 CPN: 5.250% DUE 12/16/2013 DTD: 12/19/2006 BOOK ENTRY ONLY	25,000.000	$109.830	$27,457.55 $54.69	$21,158.45	$6,299.10	$1,312.50
BANK AMER FDG CORP SR NT MOODY A2 S&P A	06051GDY2 CPN: 7.375% DUE 05/15/2014 DTD: 05/13/2009 BOOK ENTRY ONLY	35,000.000	$111.242	$38,934.53 $329.83	$36,144.80	$2,789.73	$2,581.25
GENWORTH FINANCIAL INC NOTE MOODY BAA3 S&P BBB	37247DAE6 CPN: 5.750% DUE 06/15/2014 DTD: 06/15/2004 BOOK ENTRY ONLY	150,000.000	$103.730	$155,595.45 $383.33	$149,859.00	$5,736.45	$8,625.00

THE RICE FAMILY FOUNDATION
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TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
NEW YORK TIMES CO MOODY B1 S&P B+	650111AE7 CPN: 5.000% DUE 03/15/2015 DTD: 03/17/2005 BOOK ENTRY ONLY	35,000.000	$97.788	$34,225.77 $515.28	$32,649.05	$1,576.72	$1,750.00
WACHOVIA BK NATL ASSN MEDIUM TERM SUB BK NTS MOODY AA3 S&P AA-	92976GAE1 CPN: 5.600% DUE 03/15/2016 DTD: 03/09/2006 BOOK ENTRY ONLY	125,000.000	$108.933	$136,166.13 $2,061.11	$123,413.75	$12,752.38	$7,000.00
GOLDMAN SACHS GROUP INC STEP CPN 3%-12/12,4%-12/14,5% 12/15,6%-12/16,7%-12/17 PAR CALL 06/22/2011 MOODY A1 S&P A	38143UQL8 CPN: 3.000% DUE 12/22/2017 DTD: 12/22/2010 FC: 06/22/2011 BOOK ENTRY ONLY	75,000.000	$100.000	$75,000.00 $56.25	$74,062.50	$937.50	$2,250.00
AMERICAN EXPRESS CO NT MOODY A3 S&P BBB+	025816AY5 CPN: 7.000% DUE 03/19/2018 DTD: 03/19/2008 BOOK ENTRY ONLY	50,000.000	$116.477	$58,238.50 $991.67	$51,319.00	$6,919.50	$3,500.00
MORGAN STANLEY GROUP INC 5-7/13,6-7/16,8-7/19,10-7/22 12-7/25 PAR CALL 07/09/2011 MOODY A2 S&P A	61745EV81 CPN: 5.000% DUE 07/09/2025 DTD: 07/09/2010 FC: 01/09/2011 BOOK ENTRY ONLY	50,000.000	$99.466	$49,733.00 $1,194.44	$49,000.00	$733.00	$2,500.00
FNMA GTD PASS THRU POOL#828378 DELAY DAYS 24 FACTOR = .36962473 CURRENT BALANCE = 70,229 MOODY N/R S&P N/R	31407EJX2 CPN: 6.000% DUE 06/01/2030 DTD: 06/01/2005 BOOK ENTRY ONLY	190,000.000	$110.160	$77,363.93 $351.14	$69,965.34	$7,398.59	$4,213.72
MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-6 9-A-1-FIXED RT DELAY DAYS 24 FACTOR = .06345340 CURRENT BALANCE = 12,247 MOODY N/R S&P AAA	55265KZA0 CPN: 4.250% DUE 07/25/2033 DTD: 06/25/2003 BOOK ENTRY ONLY	193,000.000	$99.983	$12,244.42 $43.37	$12,277.12	-$32.70	$520.48

TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 DELAY DAYS 24 FACTOR = .16375787 CURRENT BALANCE = 12,282 MOODY AAA S&P AAA	251563CG5 CPN: 5.500% DUE 09/25/2033 DTD: 01/28/2004 BOOK ENTRY ONLY	75,000.000	$103.437	$12,703.97 $56.29	$11,391.41	$1,312.56	$675.50
CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 DELAY DAYS 24 FACTOR = .30975020 CURRENT BALANCE = 18,585 MOODY AAA S&P AAA	16162WAY5 CPN: 5.000% DUE 10/25/2033 DTD: 10/24/2003 BOOK ENTRY ONLY	60,000.000	$103.092	$19,159.66 $77.44	$18,399.17	$760.49	$929.25
MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT DELAY DAYS 24 FACTOR = .32071914 CURRENT BALANCE = 16,998 MOODY N/R S&P AAA	55265KQ28 CPN: 5.500% DUE 10/25/2033 DTD: 09/25/2003 BOOK ENTRY ONLY	53,000.000	$96.000	$16,318.19 $77.91	$17,253.09	-$934.90	$934.90
BANC AMER MTG SECS INC SERIES 2004-4 CLASS 2-A-2 DELAY DAYS 24 FACTOR = 1.00000000 CURRENT BALANCE = 31,000 MOODY N/R S&P AAA	05949AEV8 CPN: 5.500% DUE 05/25/2034 DTD: 04/28/2004 BOOK ENTRY ONLY	31,000.000	$103.735	$32,157.85 $142.08	$28,985.00	$3,172.85	$1,705.00
FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED DELAY DAYS 24 FACTOR = .22801872 CURRENT BALANCE = 91,207 MOODY AA3 S&P AAA	32051D6Y3 CPN: 6.250% DUE 10/25/2034 DTD: 08/25/2004 BOOK ENTRY ONLY	400,000.000	$100.125	$91,321.50 $475.04	$90,751.45	$570.05	$5,700.47
ROYAL BANK OF CANADA 6.05% CALLABLE 06/28/2012 PAR CALL 06/12/2012 MOODY AA1 S&P AA-	78008EKA4 CPN· 6.050% DUE 06/12/2037 DTD: 06/12/2007 BOOK ENTRY ONLY	20,000.000	$102.739	$20,547.80 $63.86	$20,246.92	$300.88	$1,210.00
TOTAL TAXABLE FIXED INCOME **ESTIMATED ACCRUED BOND INTEREST**		2,218,617.000		$1,889,880.00 $18,554.69	$1,804,717.42	$85,162.58	$95,373.55

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *
PROSHARES TR II PROSHARES ULTRASHORT EURO	EUO	3,000.000	$20.310	$60,930.00	$62,921.83	-$1,991.83
TOTAL OTHER ASSETS				**$60,930.00**	**$62,921.83**	**-$1,991.83**

THE RICE FAMILY FOUNDATION
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Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides cost basis information as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Short-Term

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
125,000.000	ALLY BK MIDVALE UTAH CD 0.050% C/D FDIC INS TO LIMITS 0 050% DUE 05/12/2010 CUSIP: 02004MMH3	02/09/10	05/12/10	125,000.00	125,000.00	0.00
150,000.000	BANCO BILBAO VIZCAYA P R C/D FDIC INS TO LIMITS 0.350% DUE 04/20/2010 CUSIP: 059457ST9	03/10/10	04/20/10	150,000.00	150,000.00	0.00
50,000.000	BANK AMERICA CORP SUB NOTE 7 800% DUE 02/15/2010 ORIGINAL COST: 50,500.00, PREMIUM AMORTIZED: 500.00, ORIGINAL PRICE: 101.000 CUSIP: 060505AD6	04/22/09	02/16/10	50,000.00	50,000.00	0.00
60,000.000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5.000% DUE 10/25/2033 ADJUSTED QUANTITY: 2,971.440, ORIGINAL COST: 2,941.73, PURCHASE FACTOR: 0.049524, SALE FACTOR: 0.049524 CUSIP: 16162WAY5	09/10/10	10/25/10	2,971.44	2,941.73	29.71
60,000.000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5.000% DUE 10/25/2033 ADJUSTED QUANTITY: 1,282.030, ORIGINAL COST: 1,269.21, PURCHASE FACTOR: 0.02136717, SALE FACTOR: 0.02136717 CUSIP: 16162WAY5	09/10/10	11/25/10	1,282.03	1,269.21	12.82
60,000 000*	CHASE MORTGAGE FINANCE TRUST SERIES 2003-S11 CLASS 1A1 5 000% DUE 10/25/2033 ADJUSTED QUANTITY: 1,578.530, ORIGINAL COST: 1,562.75, PURCHASE FACTOR: 0.02630883, SALE FACTOR: 0.02630883 CUSIP: 16162WAY5	09/10/10	12/25/10	1,578.53	1,562.74	15.79
75,000.000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5.500% DUE 09/25/2033 ADJUSTED QUANTITY: 654.000, ORIGINAL COST: 606.59, PURCHASE FACTOR: 0.00872, SALE FACTOR: 0.00872 CUSIP: 251563CG5	06/16/09	01/25/10	654.00	606.59	47.42
75,000.000*	DEUTSCHE MTG SECS INC MTG LN	06/16/09	02/25/10	39.12	36.28	2.84

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Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY: 39.120, ORIGINAL COST: 36.28, PURCHASE FACTOR: 0.0005216, SALE FACTOR: 0.0005216 CUSIP: 251563CG5					
75,000.000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5.500% DUE 09/25/2033 ADJUSTED QUANTITY: 46.850, ORIGINAL COST: 43.45, PURCHASE FACTOR: 0.00062467, SALE FACTOR: 0.00062467 CUSIP: 251563CG5	06/16/09	03/25/10	46.85	43.45	3.40
75,000.000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5.500% DUE 09/25/2033 ADJUSTED QUANTITY: 1,138.440, ORIGINAL COST: 1,055.90, PURCHASE FACTOR: 0.0151792, SALE FACTOR: 0.0151792 CUSIP: 251563CG5	06/16/09	04/25/10	1,138.44	1,055.90	82.54
75,000.000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5.500% DUE 09/25/2033 ADJUSTED QUANTITY: 460.960, ORIGINAL COST: 427.54, PURCHASE FACTOR: 0.00614613, SALE FACTOR: 0.00614613 CUSIP: 251563CG5	06/16/09	05/25/10	460.96	427.54	33.42
400,000.000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6.250% DUE 10/25/2034 ADJUSTED QUANTITY: 789.572, ORIGINAL COST: 785.62, PURCHASE FACTOR: 0.00197393, SALE FACTOR: 0.00197393 CUSIP: 32051D6Y3	08/17/10	09/25/10	789.57	785.62	3.95
400,000.000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6.250% DUE 10/25/2034 ADJUSTED QUANTITY: 712.340, ORIGINAL COST: 708.78, PURCHASE FACTOR: 0.00178085, SALE FACTOR: 0.00178085 CUSIP: 32051D6Y3	08/17/10	10/25/10	712.34	708.78	3.56
400,000.000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES 2004-FA1 I-A-1-FIXED 6.250% DUE 10/25/2034 ADJUSTED QUANTITY: 771.160, ORIGINAL COST: 767.30, PURCHASE FACTOR: 0.0019279, SALE FACTOR: 0.0019279 CUSIP: 32051D6Y3	08/17/10	11/25/10	771.16	767.30	3.86
400,000.000*	FIRST HORIZON ALTERNATIVE MORTGAGE SECURMTGPC/SERIES	08/17/10	12/25/10	1,647.77	1,639.53	8.24

Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	2004-FA1 I-A-1-FIXED 6.250% DUE 10/25/2034 ADJUSTED QUANTITY: 1,647.772, ORIGINAL COST: 1,639.53, PURCHASE FACTOR: 0.00411943, SALE FACTOR: 0.00411943 CUSIP: 32051D6Y3					
700.000	ISHARES BARCLAYS MBS BOND FD ETF SYMBOL: MBB	06/01/10	12/09/10	73,828.31	75,739.93	-1,911.62
500.000	ISHARES TR JPMORGAN USD EMERGING MKTS BD FD ETF SYMBOL: EMB	10/28/10	11/16/10	54,574.07	56,324.20	-1,750.13
788.000	ISHARES TRUST BARCLAYS CREDIT BOND FUND ETF SYMBOL: CFT	09/28/10	12/16/10	80,929.54	85,032.89	-4,103.35
185.000	ISHARES TRUST BARCLAYS CREDIT BOND FUND ETF SYMBOL: CFT	09/29/10	12/16/10	18,999.96	20,013.28	-1,013.32
230.000	ISHARES TRUST IBOXX $ INVESTOP INVESTMENT GRADE CORP BOND FD SYMBOL: LQD	09/29/10	11/16/10	25,100.99	25,946.28	-845.29
650.000	ISHARES TRUST IBOXX $ INVESTOP INVESTMENT GRADE CORP BOND FD SYMBOL: LQD	07/23/10	11/16/10	70,937.59	71,291.94	-354.35
193,000.000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-6 9-A-1-FIXED RT 4.250% DUE 07/25/2033 ADJUSTED QUANTITY: 1,128.100, ORIGINAL COST: 1,130.92, PURCHASE FACTOR: 0.00584508, SALE FACTOR: 0.00584508 CUSIP: 55265KZA0	06/23/10	07/25/10	1,128.10	1,130.92	-2.82
193,000 000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-6 9-A-1-FIXED RT 4.250% DUE 07/25/2033 ADJUSTED QUANTITY: 5,270.029, ORIGINAL COST: 5,283.21, PURCHASE FACTOR: 0.02730585, SALE FACTOR: 0.02730585 CUSIP: 55265KZA0	06/23/10	08/25/10	5,270.03	5,283.20	-13.17
193,000.000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-6 9-A-1-FIXED RT 4.250% DUE 07/25/2033 ADJUSTED QUANTITY: 1,026.241, ORIGINAL COST: 1,028.81, PURCHASE FACTOR: 0.00531731, SALE FACTOR: 0.00531731 CUSIP: 55265KZA0	06/23/10	09/25/10	1,026.24	1,028.81	-2.57
193,000.000*	MASTR ASSET SECURITIZATION	06/23/10	10/25/10	1,462.43	1,466.09	-3.66

Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	TRUST MTGPC/SERIES 2003-6 9-A-1-FIXED RT 4.250% DUE 07/25/2033 ADJUSTED QUANTITY: 1,462.430, ORIGINAL COST: 1,466.09, PURCHASE FACTOR: 0.00757736, SALE FACTOR: 0.00757736 CUSIP: 55265KZA0					
193,000.000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-6 9-A-1-FIXED RT 4.250% DUE 07/25/2033 ADJUSTED QUANTITY: 4,115.549, ORIGINAL COST: 4,125.84, PURCHASE FACTOR: 0.02132409, SALE FACTOR: 0.02132409 CUSIP: 55265KZA0	06/23/10	11/25/10	4,115.55	4,125.84	-10.29
193,000.000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-6 9-A-1-FIXED RT 4.250% DUE 07/25/2033 ADJUSTED QUANTITY: 3,799.340, ORIGINAL COST: 3,808.84, PURCHASE FACTOR: 0.0196857, SALE FACTOR: 0.0196857 CUSIP: 55265KZA0	06/23/10	12/25/10	3,799.34	3,808.84	-9.50
53,000.000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5.500% DUE 10/25/2033 ADJUSTED QUANTITY: 435.630, ORIGINAL COST: 442.16, PURCHASE FACTOR: 0.00821943, SALE FACTOR: 0.00821943 CUSIP: 55265KQ28	07/28/10	09/25/10	435.63	442.16	-6.53
53,000.000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5.500% DUE 10/25/2033 ADJUSTED QUANTITY: 483.500, ORIGINAL COST: 490.75, PURCHASE FACTOR: 0.00912264, SALE FACTOR: 0.00912264 CUSIP: 55265KQ28	07/28/10	10/25/10	483.50	490.75	-7.25
53,000.000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5.500% DUE 10/25/2033 ADJUSTED QUANTITY: 413.060, ORIGINAL COST: 419.26, PURCHASE FACTOR: 0.00779358, SALE FACTOR: 0.00779358 CUSIP: 55265KQ28	07/28/10	11/25/10	413.06	419.26	-6.20
53,000.000*	MASTR ASSET SECURITIZATION TRUST MTGPC/SERIES 2003-9 2-A-7-FIXED RT 5.500% DUE 10/25/2033 ADJUSTED QUANTITY: 1,217.540, ORIGINAL COST: 1,235.80,	07/28/10	12/25/10	1,217.54	1,235.80	-18.26

Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	PURCHASE FACTOR: 0.02297245, SALE FACTOR: 0.02297245 CUSIP: 55265KQ28					
75,000.000	MEDALLION BK SALT LAKE CITY UTAH C/D FDIC INS TO LIMITS 0.500% DUE 07/06/2010 CUSIP: 58403BQW9	03/24/10	07/06/10	75,000.00	75,000.00	0.00
150,000.000	MONROE BK BLOOMINGTON IND C/D FDIC INS TO LIMITS 0.250% DUE 06/30/2010 CUSIP: 61031PBZ1	04/21/10	06/30/10	150,000.00	150,000.00	0.00
75,000.000	MORGAN STANLEY 4.000% DUE 01/15/2010 ORIGINAL COST: 75,786.00, PREMIUM AMORTIZED: 786.00, ORIGINAL PRICE: 101.048 CUSIP: 61746SBC2	09/02/09	01/15/10	75,000.00	75,000.00	0.00
* 3,257 000 K-1	POWERSHARES DB U S DLR INDEX TR PS DB US$ INDEX BULLISH FD COM UNIT SYMBOL: UUP	05/25/10	08/04/10	76,636.12	82,955.79	-6,319.67 *
50,000.000	RIVERHILLS BK NEW RICHMOND OHIO C/D FDIC INS TO LIMITS 0.200% DUE 08/09/2010 CUSIP: 768807AD1	07/01/10	08/09/10	50,000.00	50,000.00	0.00
100,000.000	FEDERAL HOME LOAN BANK STEP CPN 3 000% DUE 10/15/2014 CUSIP: 3133XUYV9	09/17/09	01/15/10	100,000.00	99,900.00	100.00
	Short-Term Subtotal			**1,207,450.21**		**-16,030.43**

Long-Term

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
50,000.000	AMERICAN EXPRESS CR CORP MEDIUM TERM NTS 1.646% DUE 05/27/2010 CUSIP: 0258M0CV9	05/07/09	05/27/10	50,000.00	47,982.00	2,018.00
50,000.000	DEERE JOHN CAP CORP MEDIUM TERM NTS 0.960% DUE 08/19/2010 CUSIP: 24422EQT9	12/11/08	08/19/10	50,000.00	46,000.00	4,000.00
75,000.000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5.500% DUE 09/25/2033 ADJUSTED QUANTITY: 662.560, ORIGINAL COST: 614.52, PURCHASE FACTOR: 0.00883413, SALE FACTOR: 0.00883413 CUSIP: 251563CG5	06/16/09	06/25/10	662.56	614.52	48.04

Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
75,000.000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5 500% DUE 09/25/2033 ADJUSTED QUANTITY: 933.010, ORIGINAL COST: 865.37, PURCHASE FACTOR: 0.01244013, SALE FACTOR: 0.01244013 CUSIP: 251563CG5	06/16/09	07/25/10	933.01	865.37	67.64
75,000.000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5.500% DUE 09/25/2033 ADJUSTED QUANTITY: 38.810, ORIGINAL COST: 36.00, PURCHASE FACTOR: 0.00051747, SALE FACTOR: 0.00051747 CUSIP: 251563CG5	06/16/09	08/25/10	38.81	36.00	2.81
75,000.000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5.500% DUE 09/25/2033 ADJUSTED QUANTITY: 410.770, ORIGINAL COST: 380.99, PURCHASE FACTOR: 0.00547693, SALE FACTOR: 0.00547693 CUSIP: 251563CG5	06/16/09	09/25/10	410.77	380.99	29.78
75,000 000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5.500% DUE 09/25/2033 ADJUSTED QUANTITY: 2,278.620, ORIGINAL COST: 2,113.42, PURCHASE FACTOR: 0.0303816, SALE FACTOR: 0.0303816 CUSIP: 251563CG5	06/16/09	10/25/10	2,278.62	2,113.42	165.20
75,000.000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5.500% DUE 09/25/2033 ADJUSTED QUANTITY: 1,119.770, ORIGINAL COST: 1,038.59, PURCHASE FACTOR: 0.01493027, SALE FACTOR: 0.01493027 CUSIP: 251563CG5	06/16/09	11/25/10	1,119.77	1,038.59	81.18
75,000.000*	DEUTSCHE MTG SECS INC MTG LN TRUST SERIES 2004-1 CL I-A-1 5.500% DUE 09/25/2033 ADJUSTED QUANTITY: 1,104.930, ORIGINAL COST: 1,024.82, PURCHASE FACTOR: 0.0147324, SALE FACTOR: 0.0147324 CUSIP: 251563CG5	06/16/09	12/25/10	1,104.93	1,024.82	80.11
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 20,005.581, ORIGINAL COST: 19,930.56, PURCHASE FACTOR: 0.10529253, SALE FACTOR: 0.10529253 CUSIP: 31407EJX2	05/03/06	01/25/10	20,005.58	19,930.56	75.02
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 226.790, ORIGINAL COST: 225.94, PURCHASE FACTOR: 0.00119363, SALE FACTOR: 0.00119363 CUSIP: 31407EJX2	05/03/06	02/25/10	226.79	225.94	0.85

Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 222.959, ORIGINAL COST: 222.12, PURCHASE FACTOR: 0.00117347, SALE FACTOR: 0.00117347 CUSIP: 31407EJX2	05/03/06	03/25/10	222.96	222.12	0.84
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 219.100, ORIGINAL COST: 218.28, PURCHASE FACTOR: 0.00115316, SALE FACTOR: 0.00115316 CUSIP: 31407EJX2	05/03/06	04/26/10	219.10	218.28	0.82
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 16,639.970, ORIGINAL COST: 16,577.57, PURCHASE FACTOR: 0.08757879, SALE FACTOR: 0.08757879 CUSIP: 31407EJX2	05/03/06	05/25/10	16,639.97	16,577.57	62.40
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 187.141, ORIGINAL COST: 186.44, PURCHASE FACTOR: 0.00098495, SALE FACTOR: 0.00098495 CUSIP: 31407EJX2	05/03/06	06/25/10	187.14	186.44	0.70
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 189.430, ORIGINAL COST: 188.72, PURCHASE FACTOR: 0.000997, SALE FACTOR: 0.000997 CUSIP: 31407EJX2	05/03/06	07/26/10	189.43	188.72	0.71
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 189.801, ORIGINAL COST: 189.09, PURCHASE FACTOR: 0.00099895, SALE FACTOR: 0.00099895 CUSIP: 31407EJX2	05/03/06	08/25/10	189.80	189.09	0.71
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 14,429.810, ORIGINAL COST: 14,375.70, PURCHASE FACTOR: 0.07594637, SALE FACTOR: 0.07594637 CUSIP: 31407EJX2	05/03/06	09/27/10	14,429.81	14,375.70	54.11
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 4,337.069, ORIGINAL COST: 4,320.81, PURCHASE FACTOR: 0.02282668, SALE FACTOR: 0.02282668 CUSIP: 31407EJX2	05/03/06	10/25/10	4,337.07	4,320.81	16.26
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 153.490, ORIGINAL COST: 152.91, PURCHASE FACTOR: 0.00080784, SALE FACTOR: 0.00080784 CUSIP: 31407EJX2	05/03/06	11/26/10	153.49	152.91	0.58

Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
190,000.000*	FNMA GTD PASS THRU POOL#828378 6.000% DUE 06/01/2030 ADJUSTED QUANTITY: 155.650, ORIGINAL COST: 155.07, PURCHASE FACTOR: 0.00081921, SALE FACTOR: 0.00081921 CUSIP: 31407EJX2	05/03/06	12/27/10	155.65	155.07	0.58
50,000.000	HOME DEPOT INC 4.625% DUE 08/15/2010 CUSIP: 437076AM4	04/21/08	08/16/10	50,000.00	49,596.50	403.50
910.000	ISHARES TRUST ISHARES BARCLAYS TREAS INFLATION PROTECTED SECS FD SYMBOL: TIP	03/04/08	12/10/10	96,740.46	99,817.81	-3,077.35
41,000.000	ALCOA INC NOTES 6.500% DUE 06/01/2011 ORIGINAL COST: 42,537.50, PREMIUM AMORTIZED: 0.00, ORIGINAL PRICE: 103.750 CUSIP: 013817AD3	07/30/09	09/27/10	42,690.84	42,537.50	153.34
	Long-Term Subtotal			**352,936.56**		**4,185.83**
Total Gains and Losses				**1,560,386.77**		**-11,844.60**
	Short-Term					**-16,030.43**
	Long-Term					**4,185.83**
	Term Unknown					**0.00**

* Return of principal. Quantity represents face value.

Schedule of Realized Gains and Losses Account 231

	Net Proceeds	Net Cost	Realized Gain/Loss
Short-Term	1,207,450.21	1,223,480.64	(16,030 43)
Long-Term	352,936.56	348,750.73	4,185.83
	1,560,386.77	1,572,231.37	(11,844 60)
* Deduct K-1 sold: UUP (reported separately)	-76,636.12	-82,955.79	6,319.67 *
Amount reported on Tax Return	**1,483,750.65**	**1,489,275.58**	**(5,524.93)**

THE RICE FAMILY FOUNDATION
ATTN LISA WILSON
4471 JUTLAND DRIVE
SAN DIEGO CA 92117-3646

AGE
16 of 21
RECIPIENT'S ACCOUNT NUMBER
234

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33-0592384

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides cost basis information as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Short-Term

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
8,175.000	ALTRIA GROUP INC SYMBOL: MO	03/03/10	05/06/10	160,881.28	166,921.24	-6,039.96
1,100 000	BANK OF MONTREAL SYMBOL: BMO	02/19/10	05/06/10	61,563.24	59,036.51	2,526.73
1,425.000	BANK OF MONTREAL SYMBOL: BMO	02/19/10	05/06/10	79,752.38	76,525.75	3,226.63
1,600.000	BANK OF MONTREAL SYMBOL: BMO	06/09/10	07/01/10	84,798.56	93,195.41	-8,396.85
1,850.000	BERKSHIRE HATHAWAY INC DEL CL B NEW SYMBOL: BRK B	07/28/09	05/21/10	130,651.83	115,104.69	15,547.14
1,060.000	BOEING CO SYMBOL: BA	12/23/09	04/06/10	77,412.80	58,542.21	18,870.59
2,300.000	BP P L C SPONSORED ADR (FRM BP AMOCO PLC) SYMBOL: BP	04/06/10	04/30/10	118,453.46	136,389.50	-17,936.04
1,225.000	BUCYRUS INTERNATIONAL INC SYMBOL: BUCY	12/22/09	01/22/10	70,034.31	72,195.99	-2,161.68
2,350.000	BUCYRUS INTERNATIONAL INC SYMBOL: BUCY	03/12/10	05/04/10	137,970.03	152,632.62	-14,662.59
200.000	BUCYRUS INTERNATIONAL INC SYMBOL: BUCY	03/12/10	05/06/10	10,247.82	12,990.01	-2,742.19
875.000	CATERPILLAR INC SYMBOL: CAT	10/22/09	02/08/10	44,195.68	50,118.69	-5,923.01
1,500.000	CATERPILLAR INC SYMBOL: CAT	02/12/10	05/06/10	89,648.48	84,186.20	5,462.28
2,030.000	COCA COLA CO SYMBOL: KO	07/29/09	05/21/10	103,081.85	99,867.88	3,213.97
245,000.000	COMMUNITY FIRST BK FAIRVIEW HEIGHTS ILL C/D FDIC INS TO LIMITS 0.100% DUE 09/30/2010 CUSIP: 203653CB4	08/25/10	09/30/10	245,000.00	245,000.00	0.00
3,030.000	CORNING INC SYMBOL: GLW	12/23/09	05/06/10	54,569.37	58,506.88	-3,937.51

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THE RICE FAMILY FOUNDATION
ATTN LISA WILSON
4471 JUTLAND DRIVE
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Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
880.000	COSTCO WHOLESALE CORP-NEW SYMBOL: COST	10/05/09	05/06/10	49,306.58	49,920.29	-613.71
885.000	COSTCO WHOLESALE CORP-NEW SYMBOL: COST	09/28/09	05/06/10	49,586.74	50,037.37	-450.63
2,360.000	DEERE & CO SYMBOL: DE	02/18/10	05/06/10	129,299.46	134,330.96	-5,031.50
3,535.000	DOW CHEMICAL CO. SYMBOL: DOW	10/06/09	02/04/10	93,579.26	88,535.12	5,044.14
* 2,110.000 K-1	ENERGY TRANSFER PARTNERS L P UNIT LTD PARTNERSHIP INT SYMBOL: ETP K-1	07/28/09	05/06/10	92,015.54	93,806.70	-1,791.16 *
1,100.000	EXXON MOBIL CORP SYMBOL: XOM	12/22/09	05/06/10	70,305.34	75,635.45	-5,330.11
15,000.000	FEDERATED EQUITY FDS PRUDENT BEAR FD CL A SHS SYMBOL: BEARX	08/25/10	09/10/10	78,900.00	81,300.00	-2,400.00
7,000.000	FEDERATED EQUITY FDS PRUDENT BEAR FD CL A SHS SYMBOL: BEARX	08/25/10	12/21/10	33,040.00	37,940.00	-4,900.00
200,000.000	FIRST ST BK WEST VA CD 0.25%10 C/D FDIC INS TO LIMITS 0.250% DUE 08/09/2010 CUSIP: 33648FFR1	06/29/10	08/09/10	200,000.00	200,000.00	0.00
3,200.000	FORD MOTOR CO PAR $0.01 SYMBOL: F	09/17/10	11/17/10	53,535.40	39,964.80	13,570.60
725.000	FREEPORT MCMORAN COPPER & GOLD INC SYMBOL: FCX	12/23/09	01/22/10	52,938.82	58,593.78	-5,654.96
3,140.000	GENERAL ELECTRIC CO SYMBOL: GE	12/14/09	05/06/10	51,055.53	50,076.72	978.81
200,000.000	GRAND BK N A HAMILTON N J CD 0 C/D FDIC INS TO LIMITS 0.150% DUE 08/11/2010 CUSIP: 38523ADQ5	06/29/10	08/11/10	200,000.00	200,000.00	0.00
245,000.000	GRAND BK N A HAMILTON N J CD 0 C/D FDIC INS TO LIMITS 0.150% DUE 10/12/2010 CUSIP: 38523AEA9	08/25/10	10/12/10	245,000.00	245,000.00	0.00
3,125.000	HEWLETT PACKARD CO SYMBOL: HPQ	02/19/10	05/06/10	151,383.44	158,679.69	-7,296.25
250,000.000	HOMEBANC NATL ASSN LAKE MARY	07/02/10	08/24/10	250,000.00	250,000.00	0.00

Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	FLA C/D FDIC INS TO LIMITS 0.250% DUE 08/24/2010 CUSIP: 43738ABM7					
3,200.000	INTEL CORP SYMBOL: INTC	05/13/10	08/27/10	57,078.55	73,788.80	-16,710.25
460.000	ISHARES TR DOW JONES US OIL & GAS EXPL & PRODUCTION INDEX FD SYMBOL: IEO	10/22/09	05/06/10	23,988.59	25,050.36	-1,061.77
1,500 000	ISHARES TRUST BARCLAYS 20+YEAR TREASURY BD FD SYMBOL: TLT	06/29/10	09/16/10	151,902.43	150,689.85	1,212.58
5,030.000	ISHARES TRUST DOW JONES US TELECOMM SECTOR INDEX FUND SYMBOL: IYZ	12/14/09	05/06/10	84,767.06	99,944.57	-15,177.51
1,830.000	ISHARES TRUST DOW JONES US BASIC MATERIALS INDEX FUND SYMBOL: IYM	10/06/09	02/04/10	99,733.73	100,735.80	-1,002.07
1,360.000	ISHARES TRUST S&P GLOBAL ENERGY SECTOR INDEX FUND SYMBOL: IXC	10/22/09	05/06/10	44,892.84	50,098.44	-5,205.60
1,030.000	ISHARES TRUST S&P GLOBAL FINLS SECTOR INDEX FUND SYMBOL: IXG	10/22/09	02/04/10	43,846.54	49,988.78	-6,142.24
1,900.000	ISHARES TRUST S&P GLOBAL INFO TECHNOLOGY SECTOR INDEX FUND SYMBOL: IXN	10/22/09	05/20/10	98,799.34	100,477.60	-1,678.26
7,750.000	KEYCORP NEW SYMBOL: KEY	06/09/10	11/17/10	60,073.11	61,600.88	-1,527.77
8.027	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	01/04/10	03/11/10	80.59	78.58	2.01
45 090	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	09/28/09	03/11/10	452.70	407.61	45.09
37.059	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	03/30/09	03/11/10	372.07	265.71	106.36
81.548	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	06/26/09	03/11/10	818.74	640.97	177.77
1,575.000	MERCK & CO INC	12/23/09	05/06/10	54,094.75	58,604.96	-4,510.21

Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NEW SYMBOL. MRK					
220,000.000	NATIONAL REP BK CHICAGO ILL C/D FDIC INS TO LIMITS 0.250% DUE 10/22/2010 CUSIP: 63736QNG2	09/17/10	10/22/10	220,000.00	220,000.00	0.00
1,090 000	PHILIP MORRIS INTERNATIONAL INC SYMBOL: PM	07/28/09	05/06/10	51,603.60	50,204.86	1,398.74
2,640.000	PINNACLE WEST CAPITAL CORP SYMBOL: PNW	12/14/09	05/06/10	93,137.62	99,968.22	-6,830.60
2,500.000	PNC ULTRA SHORT BOND CLASS I SYMBOL: PNCIX	07/23/10	12/20/10	25,050.00	25,125.00	-75.00
1,500.000	PROSHARES SHORT S&P500 ETF SYMBOL: SH	06/29/10	07/26/10	76,033.86	81,089.85	-5,055.99
200,000.000	RIVERHILLS BK NEW RICHMOND OHIO C/D FDIC INS TO LIMITS 0.200% DUE 08/09/2010 CUSIP: 768807AD1	06/29/10	08/09/10	200,000.00	200,000.00	0.00
2,645.000	RYDEX ETF TRUST S&P 500 EQUAL WEIGHTED INDEX SYMBOL: RSP	09/18/09	05/06/10	108,769.43	100,007.45	8,761.98
1,585.000	SELECT SECTOR SPDR FUND SHS BEN INT HEALTH CARE SYMBOL: XLV	12/14/09	06/30/10	45,060.78	50,053.67	-4,992.89
1,585.000	SELECT SECTOR SPDR FUND SHS BEN INT HEALTH CARE SYMBOL: XLV	12/14/09	07/01/10	43,796.76	50,053.67	-6,256.91
650.000	SIMON PROPERTY GROUP INC SYMBOL: SPG	12/14/09	01/25/10	45,710.91	50,301.23	-4,590.32
830.000	SPDR SER TR S&P OIL & GAS EQUIPMENT & SERVICES ETF SYMBOL: XES	10/22/09	02/05/10	22,177.31	25,048.78	-2,871.47
1,700.000	TRANSOCEAN LTD US LISTED SYMBOL: RIG	02/17/10	04/30/10	126,154.86	142,596.00	-16,441.14
1,350.000	V F CORP SYMBOL: VFC	11/05/10	11/16/10	106,262.10	114,394.28	-8,132.18
1,760.000	VERIZON COMMUNICATIONS SYMBOL: VZ	12/23/09	05/06/10	48,597.41	58,462.80	-9,865.39

Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,010.000	WAL-MART STORES INC SYMBOL: WMT	09/28/09	05/21/10	51,236.43	50,186.38	1,050.05
1,020.000	WAL-MART STORES INC SYMBOL: WMT	10/05/09	05/21/10	51,743.72	50,029.47	1,714.25
975.000	WELLPOINT INC SYMBOL: WLP	12/23/09	04/30/10	53,975.08	58,665.26	-4,690.18
	Short-Term Subtotal			**5,358,418.11**		**-135,176.18**

Long-Term

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
5,411.000	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	02/16/07	03/11/10	54,326.44	58,276.47	-3,950.03
284.451	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	12/03/07	03/11/10	2,855.89	3,214.30	-358.41
99.234	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	12/31/07	03/11/10	996.31	1,118.37	-122.06
81.620	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	10/01/07	03/11/10	819.47	932.92	-113.45
95.272	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	06/30/08	03/11/10	956.53	1,041.32	-84.79
72.716	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	03/31/08	03/11/10	730.07	804.24	-74.17
64.515	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	07/02/07	03/11/10	647.73	718.05	-70.32
45.933	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	04/02/07	03/11/10	461.17	489.65	-28.48
8.883	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	12/03/07	03/11/10	89.19	100.38	-11.19
90.576	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	09/29/08	03/11/10	909.38	889.46	19.92

CORRECTED COPY: March 15, 2011

Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
207.214	LEUTHOLD FDS INC ASSET ALLOCATIO FD SYMBOL: LAALX	01/02/09	03/11/10	2,080.43	1,556.18	524.25
1,490.000	NSTAR SYMBOL: NST	01/15/09	05/25/10	50,075.15	50,101.60	-26.45
1,225.000	PHILIP MORRIS INTERNATIONAL INC SYMBOL: PM	01/15/09	05/06/10	57,994.87	50,212.14	7,782.73
2,000.000	SEMPRA ENERGY SYMBOL: SRE	10/13/08	02/17/10	96,058.78	77,588.00	18,470.78
	Long-Term Subtotal			**269,001.41**		**21,958.33**
Total Gains and Losses				**5,627,419.52**		**-113,217.85**
	Short-Term					**-135,176.18**
	Long-Term					**21,958.33**
	Term Unknown					**0.00**

Schedule of Realized Gains and Losses Account 234

	Net Proceeds	Net Cost	Realized Gain/Loss
Short-Term	5,358,418.11	5,493,594.29	(135,176.18)
Long-Term	269,001.41	247,043.08	21,958.33
	5,627,419.52	5,740,637.37	(113,217.85)
* Deduct K-1 sold: ETP (reported separately)	-92,015.54	-93,806.70	1,791.16 *
Amount reported on Tax Return	**5,535,403.98**	**5,646,830.67**	**(111,426.69)**

2010

Energy Transfer Partners, LP (ETP)

								Gain or Loss Classification		
		(3)	(4)	(5)	(6)		(7)	(8)	(9)	(10)
Units Disposed	Acquisition Date	Disposition Date	Sales Proceeds	Purchase Amt	Adjustments to Tax Basis	Basis	Total Gain/Loss (4 Less 5&6)	Ordinary Gain	Capital Gain/Loss (Subtract 8 from 7)	Alt Min Tax Basis Adjustment
2110	7/28/2009	5/6/2010	92,016	93,807	-13,734	80,073	11,943	10,125	1,818	-485
									0	
Totals			92,016	93,807	-13,734		11,943	10,125	1,818	-485

Reported Realized Gain/Loss (4 minus 5)	-1,791
Less: Adjustments to Basis	13,734
	11,943
AMT Basis Adjustment	-485
Gain/Loss to Report on Tax Return	11,458

Ordinary Gain (Less AMT Adj)			9,640
Captial Gain/Loss			1,818
Total Gain/Loss			11,458

Sch B-3

2010

Powershares DB US Dollar Index Bullish Fund (UUP)

								Gain or Loss Classification	
		(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
Units Disposed	Acquisition Date	Disposition Date	Sales Proceeds	Purchase Amt	Adjustments to Basis	Total Basis	Total Gain/Loss (4 Less 7)	Short-Term Capital Gain/Loss	Long Term Capital Gain/Loss
3257	5/25/2010	8/4/2010	76,636	82,956	1,015	83,971	-7,335		
Totals			76,636	82,956	1,015		-7,335		
Total Gain								0	

Reported Realized Gain/Loss (4 minus 5)	-6,320
Less: Adjustments to Basis	-1,015
	-7,335
AMT Basis Adjustment	
Gain/Loss to Report on Tax Return	-7,335

Sch B-4

THE RICE FAMILY FOUNDATION
ATTN LISA WILSON
4471 JUTLAND DRIVE
SAN DIEGO CA 92117-3646

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RECIPIENT'S ACCOUNT NUMBER
7231

1099-DIV (cont) RBC Capital Markets, LLC - Dividends

33-0592384

BOX 2a – Total capital gain distributions

SECURITY ID & DESCRIPTION

DATE	NOTES	AMOUNT	DATE	NOTES	AMOUNT
464288588 ISHARES BARCLAYS MBS BOND FD ETF					
12/07/10	C M	$12.52			
			TOTAL		**$12.52**
464288646 ISHARES TR BARCLAYS 1-3 YR CREDIT BOND FUND ETF					
12/07/10	C F	$29.48			
			TOTAL		**$29.48**
464288620 ISHARES TRUST BARCLAYS CREDIT BOND FUND ETF					
12/07/10	C F	$26.23			
			TOTAL		**$26.23**

Box 2a Total Amount $68.23

Sch B-5

THE RICE FAMILY FOUNDATION
ATTN LISA WILSON
4471 JUTLAND DRIVE
SAN DIEGO CA 92117-3646

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RECIPIENT'S ACCOUNT NUMBER
7234

CORRECTED COPY: March 15, 2011

33-0592384

1099-DIV (cont) RBC Capital Markets, LLC - Dividends

BOX 2a – Total capital gain distributions

SECURITY ID & DESCRIPTION

DATE	NOTES	AMOUNT	DATE	NOTES	AMOUNT
035710409	ANNALY CAPITAL MANAGEMENT INC				
10/28/10	C M	$335.99	01/27/11	* C M	$125.99
			TOTAL		**$461.98**
053484101	AVALONBAY COMMUNITIES INC				
10/15/10	C M	$73.63	01/18/11	* C M	$73.63
			TOTAL		**$147.26**
053484101	AVALONBAY COMMUNITIES INC				
10/15/10	M X	$100.40	01/18/11	* M X	$100.40
			TOTAL		**$200.80**
888894862	TOCQUEVILLE GOLD FUND				
12/14/10	C	$5,329.04			
			TOTAL		**$5,329.04**

Box 2a Total Amount $6,139.08

sch B-6