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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052 2010

Department of the Treasury Internal Revenue Service Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For ca	lendar	year 2010, or ta	x year beginning	9	, 2010), and ending				
G Ch	eck all	that apply:	Initial return	Initi	al Return of a former p	ublic charity			Fina	al return
		1	Amended retu	urn .	Address	change	Name o	change	_	
							IA	Employer Identific	atton nun	iber
HARR	TS &	FRANCES I	BLOCK FOUN	отта	N. TNC.		'	31-17842	46	
		Y CHODORKO			., 1		В	Telephone numbe		instructions)
		S HILL RO					-	802-426-		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
		LD, VT 050					<u> </u>			
		,					C			pending, check here
						 	الا ك	1 Foreign organizat	•	
H		type of organiza			(c)(3) exempt private f			here and attach c		ing the 85% test, check
			nonexempt char			private foundatio	— -	If private foundat	•	LI
I F	air mark	et value of all asset	ts at end of year	J Acc	لبسا	Cash X Accru	al -	under section 507	(b)(1)(A)	, check here
		t II, column (c), line			Other (specify)		_ J F	If the foundation	is in a 60	month termination
> 2		5, 948		(Part I,	column (d) must be or	n cash basis)		under section 507	(Б)(1)(В)	, check here
Part		nalysis of Re			(a) Revenue and	(b) Net invest	ment	(c) Adjusted	net	(d) Disbursements
	E	(penses (The	total of amounts	s in	expenses per books	income		income		for charitable
			and (d) may not i mounts in columi							purposes (cash basis only)
		ee the instruction		. (-)					-	(casir basis offly)
	1	Contributions, gifts,	grants, etc, received	(att sch)	520,730.					·
	2		foundn is not req to a			<u> </u>				
	3		nngs and tempor	ary						
	1.	cash investmen			00 000	1	000	 	000	
	4		rest from securities		88,983.	88,	983.	88,	983.	
		Gross rents				<u> </u>		 		
_		Net rental income or (loss)			155,960.		***********	ļ		
K		i Net gain/(loss) from) Gross sales price fo	n sale of assets not or		155, 960.	<u> </u>		 		
R E V	I _	assets on line 6a				155	960.	·		·····
E	7	Capital gain net inc	come (from Part IV, Ju	20-2)		155	, 960.	E 6	056.	
E N U	8 9	Net short-term		.1		<u> </u>		30,	. 036.	
Ě	_	Gross sales less		ပ္ကု		 				
	'"	returns and allowances .	l \	<u> </u>						
<u>r</u>	b	goods sold MAY	17 2011	S						
i di	١,	Gross profit/(loss)	(att-sch)	 -						
Z.	11	Other promet	attach schedule	5						
<u> </u>	'		SEE STATEM		1,541.	.1		ļ		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
REALERIE	12	Total. Add line			767,214.	244	, 943.	145	039.	
	13	Compensation of of	fficers, directors, trus	tees, etc	26,000.		,400.			23,600.
N A R	14	Other employee sal	laries and wages							
₹	15	Pension plans,	, employee bene	fits						
at A	16 a	Legal fees (attach	schedule).							
		Accounting fees (a	attach sch) SEE	ST 2	2,635.	. 2	, 635.			
ے ا		Other prof fees (at		ST 3	30,642.	30	,642.		,	
⊋o ï	17	Interest								
Ë Î	18	Taxes (attach schedule)((see instr.) SEE S	STM 4	3,261.		173.			1,838.
OPSET RATE	19	Depreciation (a	attach		500					
1 1	200	sch) and deple	euon		568.	•		+		
N Ý G E	20	Occupancy	ences, and meet	inac	7 201	+				7 201
	41	Printing and pu		iii iys	7,281.	+		+		7,281.
A E	23		ublications es (attach schedt	ıle)		+		1		
2 6		Said expense	SEE STATEM	ENT 5	1,933.	.1				1
N S	24		g and administra					1		
E		expenses. Add	d lines 13 throug	h 23	72,320.		, 850.	.]		32,719.
•	25		s, grants paid PAŘ		248,535		<u></u>			248,535.
	26	Total expense	s and disbursen	nents.		Ĭ				
		Add lines 24 a	and 25		320,855	. 35	<u>, 850 .</u>		0.	281,254.
	27									1
	'	Excess of reve and disbursen	enue over expen	ses	446,359					1 ·
	,			ar () \	440,333		, 093	· •	***********	
			come (if negative, enter me (if negative, enter			209	, 093		,039.	
RAA			tion Act Notice,		instructions		TE	EA0504L 07/23/10	, 000.	Form 990-PF (2010)
	. VI F#	IPSI WOIN NOUNC	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	~ UIC			151			FOR SOUTH (AUTU)

Form	990-1	PF (2010) HARRIS & FRANCES BLOCK FOUNDATION,	, INC.	31-1784246 Page 2			
Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End (of year			
FAIL		(See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value		
	1	Cash — non-interest-bearing		·			
	2	Savings and temporary cash investments	515,501.	877,067.	877,067.		
	3	Accounts receivable					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)					
_	7	Other notes and loans receivable (attach sch) .	-				
Ą		Less: allowance for doubtful accounts ▶					
A S E T	8	Inventories for sale or use					
Ę	9	Prepaid expenses and deferred charges					
Ś	10 a	Investments – U.S. and state government					
		obligations (attach schedule) . STATEMENT 6	247,428.	547,902.	547,902.		
	Ŀ	nvestments — corporate stock (attach schedule) STATEMENT 7	3,125,769.	3,873,618.	3,873,618.		
	C	: Investments — corporate bonds (attach schedule) STATEMENT 8	285,402.	171,033.	171,033.		
	11	Investments land, buildings, and equipment basis.					
		Less: accumulated depreciation (attach schedule)			[
	12	Investments – mortgage loans					
	13	Investments - other (attach schedule) STATEMENT 9	868,165.	473,025.	473,025.		
	14	Land, buildings, and equipment: basis ► 3, 402.					
		l ess, accumulated depreciation			Ī		
		(attach schedule) SEE STMT 10 ►609.	2,399.	2,793.	2,793.		
	15		7,504.	3,063.	3,062.		
	16	Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	5,052,168.	5,948,501.	5,948,500.		
\overline{L}	17	Accounts payable and accrued expenses	144.	3,340,301.	3,340,300.		
Ī	18	Grants payable			1		
A B	19	Deferred revenue			1		
Ţ	20	Loans from officers, directors, trustees, & other disqualified persons			1		
'n	21	Mortgages and other notes payable (attach schedule)			1		
Ţ	22	Other liabilities (describe			1		
É					1		
<u>s</u>	23	Total liabilities (add lines 17 through 22)	144.	0.			
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.					
ΝF	24	Unrestricted	5,052,024.	5,948,501.	1		
N F E U T N	25	Temporarily restricted	=, ===, ==.	2,0.0,001.	1		
' N	ľ	Permanently restricted.	-		†		
A		Foundations that do not follow SFAS 117, check here			1		
A S S A E L		and complete lines 27 through 31.					
E L T A	27	Capital stock, trust principal, or current funds					
ŚÑ	28	Paid-in or capital surplus, or land, building, and equipment fund		 	1		
O E	29	Retained earnings, accumulated income, endowment, or other funds			1		
RS	30	Total net assets or fund balances (see the instructions)	5,052,024.	5,948,501.	1		
		Total liabilities and net assets/fund balances					
	- 10KBT	(see the instructions)	5,052,168.	5,948,501.			
rar	E HII	Analysis of Changes in Net Assets or Fund Balanc	:es		<u> </u>		
1	Total end-	l net assets or fund balances at beginning of year — Part II, colum of-year figure reported on prior year's return)	nn (a), line 30 (must agre	ee with	5,052,024.		
2		r amount from Part I, line 27a		2	446,359.		
		increases not included in line 2 (itemize) <u>SEE STATEMENT</u>	12	3	450,118.		
4	Add	lines 1, 2, and 3		4	5,948,501.		
5	Decre	ases not included in line 2 (itemize)		5			
6	Tota	net assets or fund balances at end of year (line 4 minus line 5) -	- Part II, column (b), line	e 30 6	5,948,501.		

Part IV Capital Gains and	Losses for Tax on Investment	Income			
(a) List and describ	(b) How acquired P — Purchase	(C) Date acquired (month, day, year)	(d) Date sold (month, day, year)		
	D - Donate				
1a SEE STATEMENT 13					
b					
C					
d					
e				L	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (e) plus (f) m	
<u>a</u>					
<u>b</u>					
С	ļ . · · - ·				
d					
					
	ng gain in column (h) and owned by th		(2)	(I) Gains (Column	
(1) Fair Market Value as of 12/31/69	Adjusted basis as of 12/31/69	(k) Excess of column (over column (j), if any		gain minus column (k ian -0-) or Losses (fr	
					
a b				-	
c	1			 	
d				_	
•					
	☐ If gain, also	onter in Part I line 7			
2 Capital gain net income or (net	capital loss).	enter in Part I, line 7 er -0- in Part I, line 7	2		155,960.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) an	nd (6)			
If gain, also enter in Part I, line	8, column (c) (see the instructions)	f (loss) optor 0			
in Part I, line 8	o, column (c) (see the instructions) in	(loss), enter -o-	3		56,056.
Part V Qualification Unde	r Section 4940(e) for Reduced	Tax on Net Investme	nt Income		
If 'Yes,' the foundation does not qual	tion 4942 tax on the distributable amou ify under section 4940(e). Do not comp	plete this part		Yes	X No
· · · · · · · · · · · · · · · · · · ·	n each column for each year, see the i		y entries		 ,
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use asse	ets (col	(d) Distributior lumn (b) divided	
2009	251,726.	3,791	,509.		0.066392
2008	161,855.	2,565			0.063087
2007	136,319.	1,541	,016.		0.088460
2006	89,731.	1,030			0.087057
2005	67,726.	829	,740.	•	0.081623
2 Total of line 1, column (d)			2		0.386619
	e 5-year base period – divide the total i has been in existence if less than 5 y		3		0.077324
number of years the loundation	Thas been in existence it less than 5 y	ears	3	_	
4 Enter the net valué of nonchar	table-use assets for 2010 from Part X,	line 5	4		5,080,472.
5 Multiply line 4 by line 3			. 5	<u> </u>	392,842.
6 Enter 1% of net investment inc					
	ome (1% of Part I, line 27b)		6		2,091.
7 Add lines 5 and 6	ome (1% of Part I, line 27b)		7		2,091. 394,933.
7 Add lines 5 and 68 Enter qualifying distributions from the following of the following of			7		394,933.
8 Enter qualifying distributions from		ne 1b, and complete that part	7	ax rate See the	

Form	990-PF (2010) HARRIS & FRANCES BLOCK FOUNDATION, INC. 31-1784246		P	age 4
Parl	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see the instruction	ons)		
1a	Exempt operating foundations described in section 4940(d)(2), check here			
	Date of ruling or determination letter (attach copy of letter if necessary — see instr.)			
	Domestic foundations that meet the section 4940(e) requirements in Part V,		4,1	182.
	check here ☐ and enter 1% of Part I, line 27b			
С	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable			
	foundations only Others enter -0-)			0.
_	Add lines 1 and 2		4, 1	<u> 182.</u>
	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			0.
	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		4,1	<u> 182.</u>
	Credits/Payments:			
	2010 estimated tax pmts and 2009 overpayment credited to 2010 6a 1,088.			
	Exempt foreign organizations – tax withheld at source 6b			
	Tax paid with application for extension of time to file (Form 8868)			
	Backup withholding erroneously withheld 6d			
	Total credits and payments Add lines 6a through 6d		1,0)88 <u>.</u>
	Enter any penalty for underpayment of estimated tax. Check here X If Form 2220 is attached			2.
	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		3,0	<u> </u>
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		•	
	Enter the amount of line 10 to be. Credited to 2011 estimated tax			
	VII-A Statements Regarding Activities			
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No X
h	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes			
	(see the instructions for definition)?	1b		Х
	If the answer is 'Yes' to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
	Did the foundation file Form 1120-POL for this year?	1c		X
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation (2) On foundation managers (3)			
	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on			
	foundation managers \bigs \\$ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		x
	If 'Yes,' attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles			
•	of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3		Х
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on Form 990-T for this year?	4b	N	/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		Х
	If 'Yes,' attach the statement required by General Instruction T			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
	By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Х	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	7	Х	
	Enter the states to which the foundation reports or with which it is registered (see the instructions)			
	VT			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General			
	(or designate) of each state as required by General Instruction G? If 'No,' attach explanation SEE STATEMENT 14	8Ь		X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If 'Yes,' complete Part XIV	9		Х
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses	10		х

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	990-PF (2010) HARRIS & FRANCES BLOCK FOUNDATION, INC.	31-17842	16	F	² age 5
Par	VII-A Statements Regarding Activities (Continued)				
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)		11		х
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?		12		х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption Website address N/A	application?	13	X	
14		e no. ► 802-4	<u>26-3</u>	806	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here		N/A		
16	and enter the amount of tax-exempt interest received or accrued during the year. At any time during calendar year 2010, did the foundation have an interest in or a signature or other au	► 15 thority over a		Yes	N/A No
	bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the na		16		Х
Par	foreign country ► tVII-B Statements Regarding Activities for Which Form 4720 May Be Required				<u> </u>
i an			F	V	l Na
1.	File Form 4720 If any Item is checked in the 'Yes' column, unless an exception applies.		-	Yes	No
18	During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	Yes X No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	Yes X No X Yes No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available				
	for the benefit or use of a disqualified person)?	Yes X No			
	(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	Yes X No			
t	olf any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructio	ns)?	1b		х
	Organizations relying on a current notice regarding disaster assistance check here	▶ 🗌			l
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		<u>1c</u>		Х
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
E	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	Yes X No			
	If 'Yes,' list the years ► 20, 20, 20				
ł	• Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a all years listed, answer 'No' and attach statement — see the instructions.)		2b	N	/A
C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years he 20, 20, 20	ere.			
38	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	□vas VINa			
		Yes X No			
	olf 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to	I			
	determine if the foundation had excess business holdings in 2010)		<u>3b</u>	N	/A
48	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		х
ı	Did the foundation make any investment in a prior year (but after December 31, 1969) that could		,		
	jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		Х

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Part VII-B Statements Regarding Activit		n 4720 May Be Red	uired (continued)		
5a During the year did the foundation pay or incur	-				
(1) Carry on propaganda, or otherwise attemp	t to influence legislation	n (section 4945(e))?	∐ Yes X	No	
(2) Influence the outcome of any specific publi on, directly or indirectly, any voter registra	tion drive?		Yes X	No	
(3) Provide a grant to an individual for travel,	study, or other similar p	ourposes?	Yes X	No	
(4) Provide a grant to an organization other the in section 509(a)(1), (2), or (3), or section (4)	an a charitable, etc, or 4940(d)(2)? (see instruc	ganization described ctions)	Yes X	No	
(5) Provide for any purpose other than religious educational purposes, or for the prevention			Yes X	No	
b If any answer is 'Yes' to 5a(1)-(5), did any of the described in Regulations section 53.4945 or in (see instructions)?	ne transactions fail to q a current notice regard	ualify under the exception ing disaster assistance	ons	5ь	N/A
Organizations relying on a current notice regar	ding disaster assistance	e check here	▶ 🗌		
c If the answer is 'Yes' to question 5a(4), does to tax because it maintained expenditure respons	ne foundation claim exe sibility for the grant?	emption from the	N/A Yes	No	
If 'Yes,' attach the statement required by Regu	ılatıons section 53 4945	-5(d)			
6a Did the foundation, during the year, receive an on a personal benefit contract?	y funds, directly or indi	rectly, to pay premiums	Yes X	No	
b Did the foundation, during the year, pay premi	ums, directly or indirect	ly, on a personal benefit	t contract?	6ь	X
If 'Yes' to 6b, file Form 8870			, a []v. [v]	.	
7a At any time during the tax year, was the found b If yes, did the foundation receive any proceeds				No 7b	-
Part VIII Information About Officers, D					96
and Contractors		, i carrageon mana	igors, riiging raid	Linpioyo	J.,
1 List all officers, directors, trustees, foundation	managers and their co	ompensation (see instru	ctions).	 · ·	
(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expens other allo	
NANCY M. SLUYS	VICE PRESIDE	1,000.	0.		0
197 MINGLEWOOD ROAD	1.00				
WESTFIELD, NC 27053					
BETSY M. CHODORKOFF 491 ENNIS HILL ROAD	TREASURER 25.00	24,000.	0.		0
MARSHFIELD, VT 05658					
_DIANE_MAURER_SCHATZ	SECRETARY	1,000.	0.		0
2261 JASMINE STREET DENVER, CO 80207	1.00				
				{	
	1				
2 Compensation of five highest-paid employees	, ' 			1	
(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expens other all	e account, owances
NONE					
				<u> </u>	<u> </u>
			_		
Total number of other employees paid over \$50,000		· _	•	I	(
BAA	TEEA0306L	07/23/10		Form 99	0-PF (2010

and Contractors (continued) 3 Five highest-paid independent contractors for professional services (see instructions)	tions). If none	
3 Five highest-paid independent contractors for professional services (see instruct enter NONE.	uons, ii none,	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	İ	
]	
Total number of others receiving over \$50,000 for professional services	>	0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical info	rmation such as the number of	
organizations and other beneficiaries served, conferences convened, research papers produced, etc.	, matter of the control of the contr	Expenses
1 <u>N/A</u>		
2		
3		
		
4		
D. Alway C	\	
Part IX-B Summary of Program-Related Investments (see instruction	ons)	
Describe the two largest program-related investments made by the foundation during to	the tax year on lines 1 and 2	Amount
1 <u>N/A</u>		
2		
All other program-related investments. See instructions.		
3		
7 A.1 Adabas 1 Harris 2		
Total. Add lines 1 through 3	· · · · · · · · · · · · · · · · · · ·	0.
BAA		Form 990-PF (2010

31-1784246

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Form 990-PF (2010) HARRIS & FRANCES BLOCK FOUNDATION, INC.

	L-17842	
Part X Minimum Investment Return (All domestic foundations must complete this part. F see instructions.)	oreign	foundations,
1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes: a Average monthly fair market value of securities	1a	4,801,454.
b Average of monthly cash balances	1ь	356,386.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	5,157,840.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c		
(attach detailed explanation) 1e 0.]	
2 Acquisition indebtedness applicable to line 1 assets	_2	0.
3 Subtract line 2 from line 1d	3	5,157,840.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) SEE STATEMENT 15	4	77,368.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,080,472.
6 Minimum investment return. Enter 5% of line 5	6	254,024.
Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private ope	rating fo	
and certain foreign organizations check here ► and do not complete this p		
1 Minimum investment return from Part X, line 6	1	254,024.
2a Tax on investment income for 2010 from Part VI, line 5 2a 4,182.		
b Income tax for 2010. (This does not include the tax from Part VI)	1	
c Add lines 2a and 2b.	2c	4,182.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	249,842.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	249,842.
6 Deduction from distributable amount (see instructions).	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	249,842.
Part XII Qualifying Distributions (see instructions)		
1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	281,254.
b Program-related investments — total from Part IX-B	1b	201,234.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3Ь	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	281,254.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	281,254.

Form **990-PF** (2010)

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI line 7	,			249,842.
2 Undistributed income, if any, as of the end of 2010				243,042.
a Enter amount for 2009 only	H-H-HHHH-i-i		0.	:
b Total for prior years 20, 20, 20		0.		
3 Excess distributions carryover, if any, to 2010 a From 2005 27.07	70			
a From 2005 27, 07 b From 2006 38, 40				
c From 2007 61,72				
d From 2008 . 34,50				
e From 2009 63, 23				
f Total of lines 3a through e .	224,939.			
4 Qualifying distributions for 2010 from Part	`		-	
XII, line 4: ► \$ 281, 254.	- i			
a Applied to 2009, but not more than line 2a			0.	,
b Applied to undistributed income of prior ye (Election required — see instructions)	ears	0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			· ·
d Applied to 2010 distributable amount				249,842.
e Remaining amount distributed out of corpu	ıs 31,412.			
5 Excess distributions carryover applied to 2010 .	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a	a))			
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	256,351.			
b Prior years' undistributed income. Subtract	•			
line 4b from line 2b.		0.		
c Enter the amount of prior years' undistribu	it-			
ed income for which a notice of deficiency has been issued, or on which the section				
4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
	H-111111111111111111111111111111111111	0.		
 Undistributed income for 2009. Subtract line 4a from line 2a Taxable amount — see instructions 			0.	
			·	
f Undistributed income for 2010. Subtract lift 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		i i		
(see instructions)	0.			H-11-1-11-11-11-11-11-11-11-11-11-11-11-
8 Excess distributions carryover from 2005 in applied on line 5 or line 7 (see instructions	not 27,070.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	229,281.		:	
10 Analysis of line 9:				
a Excess from 2006 38, 4				
b Excess from 2007. 61, 7				
c Excess from 2008 34, 5				
d Excess from 2009 63, 2			ļ	
• Excess from 2010 . 31, 4	12.	L	<u> </u>	

Form 990-PF (2010) HARRIS & FRANCES				31-1784246	5 Page 10
Part XIV Private Operating Foundati					N/A
1a If the foundation has received a ruling or d is effective for 2010, enter the date of the r	ruling			_ ` •	
b Check box to indicate whether the foundation		rating foundation des		4942(j)(3) or	4942(j)(5)
2a Enter the lesser of the adjusted net income from Part I or the minimum	Tax year	4. \ 0000	Prior 3 years	C-D 2007	7-3 T-4-1
investment return from Part X for each year listed	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
b 85% of line 2a	<u> </u>				
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities.					
 Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c 					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
 Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 					
512(a)(5)), or royalties)		ļ		<u> </u>	
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					
Part XV Supplementary Information assets at any time during the	(Complete this	s part only if the	organization I	had \$5,000 or mo	ore in
	_ 	iistracuons.)			
Information Regarding Foundation Managers a List any managers of the foundation who had close of any tax year (but only if they have NONE	ave contributed me	ore than 2% of the to than \$5,000). (See s	otal contributions re section 507(d)(2).)	eceived by the founda	ation before the
	····				
b List any managers of the foundation who ca partnership or other entity) of which the NONE	own 10% or more o foundation has a 10	f the stock of a corpo 0% or greater interes	oration (or an equa st.	lly large portion of th	e ownership of
2 Information Regarding Contribution, Gran	t Cift Loon Schol	ambia eta Danguan			
Check here I If the foundation only needlests for funds. If the foundation make	nakes contributions	to preselected char	itable organizations		
a The name, address, and telephone number	er of the person to	whom applications of	hould be addresses		
a The Hame, address, and telephone humbe	or the person to	whom applications s	nould be addressed	1	
SEE STATEMENT 16					
b The form in which applications should be	submitted and infor	mation and material	s they should include	de:	
SEE STATEMENT FOR LINE 2A					
c Any submission deadlines:					
SEE STATEMENT FOR LINE 2A					
d Any restrictions or limitations on awards, s	such as by geograp	hical areas, charitat	ole fields, kinds of ii	nstitutions, or other f	actors:
SEE STATEMENT FOR LINE 2A					
ВАА	T	EEA0310L 02/16/11	_		Form 990-PF (2010)

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3 Grants and Contributions Paid During the Ye	ar or Approved for Futu	re Payment		
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	substantial contributor	recipient		
Name and address (home or business) a Paid during the year SEE STATEMENT 17	any foundation manager or substantial contributor	status of recipient	contribution	Amount
Total			► 3a	248,535.
b Approved for future payment				
Total		-	► 3b	-

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Part XVI-A Analysis of Income-Producing Activities

inter gross amounts unless otherwise indicated	Unrelated	business income	Excluded	by section 512, 513, or 514	(e)	
Program service revenue	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	Related or exempt function income (see the instructions)	
a			\bot			
b						
c						
d						
e						
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments			<u> </u>			
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	88,983.		
5 Net rental income or (loss) from real estate			1			
a Debt-financed property						
b Not debt-financed property					- 	
6 Net rental income or (loss) from personal property						
7 Other investment income	L					
8 Gain or (loss) from sales of assets other than inventory					155,960.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory				· · · · · · · · · · · · · · · · · · ·		
11 Other revenue						
a OTHER REVENUE					1,541.	
b						
с				<u> </u>		
d						
e						
12 Subtotal Add columns (b), (d), and (e)				88,983.	157,501.	
13 Total. Add line 12, columns (b), (d), and (e)	·		_	13	246,484.	
(See worksheet in line 13 instructions to verify calculat	ions.)					

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼		Explain be accomplish	low hor	w each a of the fo	activity undat	y for white ion's exe	ch income is empt purpos	s report es (oth	ted in d er thar	column (e) of P n by providing f	art XVI-A contr unds for such p	ibuted urpos	I importantly to thes) (See the ins	ne tructions)
88	11A	INCOME	WAS	USED	ТО	GIVE	GRANTS	FOR	THE	PURPOSES	OUTLINED	IN	STATEMENT	17
													 -	· · · · · · · · · · · · · · · · · · ·
		-												
				-				_		 				
											····			
														· · · · · · · · · · · · · · · · · · ·
				_										
_		 										-		
_														
														· · · · · · · · · · · · · · · · · · ·
	-						<u> </u>							

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations Part XVII

				<u> </u>						Yes	No
des	cribed	in section 501(c	c) of the Code (o	ngage in any of t ther than section	he following with 501(c)(3) organi	any othe zations) (er organization or in section 527	7,			
		political organiz		a noncharitable	ovemet ergenize	tion of					
	Cash	from the report	ng roundation to	a nonchantable	exempt organiza	uon or.			1a(1)		Х
• •		assets	•						1a (2)		X
		assets sactions:							14 (2)		
			ioncharitable eve	empt organization	•				16(1)		Х
				able exempt orga					1b(2)		X
			upment, or othe		ilization				1b(3)		X
, ,		oursement arran		1 455612					1 b (4)		X
		or loan quaran	•	•					1 b (5)		X
		•		nip or fundraising	collectations				1b(5)		X
				sts, other assets,		AC.			1 c		X
€ Sile	aring o	r racilities, equip	ment, maining is	sis, Outer assets,	or paid employe	C3				لـــــا	
the	goods	s, other assets, o	or services given	by the reporting	foundation. If the	e foundat	tion received les	ys show the fair mass than fair market received	value in	e of	
a) Line n		(b) Amount involved		of noncharitable exem				sfers, transactions, and		gement	<u> </u>
I/A											
			•				_				
		 -		<u> </u>							
									_		
		·									
des	scribed	l in section 501(c) of the Code (o	ated with, or rela other than section	ated to, one or m i 501(c)(3)) or in	ore tax-e section 5	exempt organiza 527?	tions	. Yes	s X	No
n II		omplete the folk		(b) Tue	e of organization		10	Description of rel	ationship		
I/A	(a)	Name of organia	EGUO!!	W 1 y p	e of organization	<u> </u>		Description of rel	adol iship		
•/ ••			.	†							•
				 							^
				1							
				†							
T	Jnder pe	nalties of penjury, I d	leclare that I have exa	imined this return, incl	uding accompanying	schedules a	nd statements, and t	to the best of my knowled	ige and belie	f, it is tn	Je,
ign lere	correcti, a	and complète Déclar	ation of preparer (other	er than taxpayer or fide	uciany) is based on all	information	of which preparer h	as any knowledge	eto	7	
	Sign	ature of officer or tru			l T	Date	1 3/	There			
		Print/Type preparer	s name	Preparer's	Penathr Mr.	1 100	Date	Check if if	PTIN		
aid		E. LELA MCCA	FFREY, CPA	E-//LEL	a mceatther,	deh'	5/13/11	self-employed	P004764	86	
repar	er	Firm's name	FOTHERGILL	SEGALE & VALL	EY, CPAS			Firm's EIN ► 03-03	00841		
Jse Oı		Firm's address	143 BARRE S								
		s address	MONTPELIER,	VT 05602				Phone no (802)	223-6261	<u> </u>	
BAA									Form 9	90-PF	(2010)

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

2010

Name of the organization HARRIS &	FRANCES BLOCK FOUNDATION, INC.	Employer identification number
C/O BETSY	CHODORKOFF CHODORKOFF	31-1784246
Organization type (check one):		
Filers of:	Section:	
Form 990 or 990-EZ	501(c)() (enter number) organizatio 4947(a)(1) nonexempt charitable trust not 527 political organization	
Form 990-PF	X 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treat 501(c)(3) taxable private foundation	ted as a private foundation
Check if your organization is cover Note. Only a section 501(c)(7), (8)	red by the General Rule or a Special Rule . ,, or (10) organization can check boxes for both the General F	Rule and a Special Rule See instructions.
General Rule		
	n 990, 990-EZ, or 990-PF that received, during the year, $$5,00$ and $11.)$	00 or more (in money or property) from any one
Special Rules		
509(a)(1) and $170(b)(1)(A)(vi)$,	zation filing Form 990 or 990-EZ, that met the 33-1/3% suppor , and received from any one contributor, during the year, a co orm 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complet	ontribution of the greater of (1) \$5,000 or
aggregate contributions of mo	r (10) organization filing Form 990 or 990-EZ, that received from the standard of the form of the file of the standard of the	om any one contributor, during the year, ientific, literary, or educational purposes, or
ontributions for use exclusive if this box is checked, enter he purpose. Do not complete any	r (10) organization filing Form 990 or 990-EZ, that received from 1990 or 990-EZ, that received from 1990 or religious, charitable, etc., purposes, but these contributions that were received during the year or the parts unless the General Rule applies to this organization.	ions did not aggregate to more than \$1,000 for an exclusively religious, charitable, etc, thou because it received nonexclusively
religious, charitable, etc, contr	ributions of \$5,000 or more during the year	▶ \$
990-PF) but it must answer 'No' or	ot covered by the General Rule and/or the Special Rules doe in Part IV, line 2 of their Form 990, or check the box on line F meet the filing requirements of Schedule B (Form 990, 990-E	l of its Form 990-EZ, or on line 2 of its Form
BAA For Paperwork Reduction A 990EZ, or 990-PF.	Act Notice, see the Instructions for Form 990,	Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

	· · ·	•	•
	B (Form 990, 990-EZ, or 990-PF) (2010)	Page 1	of 1 of Part I
Name of org HARRIS	& FRANCES BLOCK FOUNDATION, INC.		r identification number 784246
Part I	Contributors (see instructions.)		
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ESTATE OF CAROL MAURER 5578 ALKIRE STREET ARVADA, CO 80002	\$ 520,730.	Person X Payroli Noncash (Complete Part II if there is a noncash contribution.)
(a) Number	(b) Name, addr e ss, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
	 		Person Payroli

Schedule **B** (Form 990, 990-EZ, or 990-PF) (2010)

Noncash

(Complete Part II if there is a noncash contribution.)

of 1

of Part II

Name of organization
HARRIS & FRANCES BLOCK FOUNDATION, INC.

Employer Identification number 31–1784246

Part II	Noncash Property (see instructions)		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No, from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
BAA	Sche	dule B (Form 990, 990-E	<u>J</u> Z, or 990-PF) (2010

Employer identification number

	& FRANCES BLOCK FOUNDATION,	INC.		31-1784246							
Part III	Exclusively religious, charitable, e organizations aggregating more th	etc, individual contribution from \$1,000 for the year.Co	ons to sect	ion 501(c)(7), (8), or (10)) g line entry.						
	For organizations completing Part III, enter contributions of \$1,000 or less for the year.	Enter this information once. Se	aritable, etc, e instructions	<u> </u>	N/A						
(a) No. from	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift	ic held						
Part I		- Ose or girt		Description of now gift	is neid						
	N/A				<u> </u>						
				· , , , , , , , , , , , , ,							
	Transferee's name, addres	Transfer of gift s. and ZIP + 4	Rela	itionship of transferor to transf	feree						
					"						
(a)	(b)	(c)		(d)							
No. from	Purpose of gift	Use of gift		Description of how gift	is held						
Part I		-		-							
	(e)										
	(e) Transfer of gift										
	Transferee's name, addres	Rela	tionship of transferor to transf	feree							
					· · · · · · · · · · · · · · · · · · ·						
	· · · · · · · · · · · · · · · · · · ·										
(a)	(b)	(c)		(d)							
No. from Part I	Purpose of gift	Use of gift		Description of how gift	is held						
		(e) Transfer of gift									
	Transferee's name, addres	Rela	ationship of transferor to trans	feree							
				addistrip of daristoror to daris							
(a)	(b)	(c)	<u> </u>	(d)							
No. from	Purpose of gift	Use of gift		Description of how gift	is held						
Part I											
		<u> </u>									
		(e) Transfer of gift									
	Transferee's name, addres		Rela	ationship of transferor to trans	feree						
	i .		I								

2010	FEDERAL STATEMENTS HAPPIS & EDANCES BLOCK FOLINDATION INC	PAGE 1
CLIENT 6378	HARRIS & FRANCES BLOCK FOUNDATION, INC. C/O BETSY CHODORKOFF	31-1784246
5/13/11		09.24AM
STATEMENT 1 FORM 990-PF, PART I, LINE OTHER INCOME	E 11	
	(A) (B) NET REVENUE INVESTMENT PER BOOKS INCOME	(C) ADJUSTED NET INCOME
OTHER REVENUE	TOTAL \$ 1,541. \$ 1,541.\$ 0.8	\$ 0.
STATEMENT 2 FORM 990-PF, PART I, LINE ACCOUNTING FEES	E 16B	
	(A) (B) NET (C) EXPENSES INVESTMENT ADJUSTED	(D) CHARITABLE
	PER BOOKS INCOME NET INCOME	PURPOSES
	TOTAL $\frac{$}{2,635}$. $\frac{$}{2,635}$. $\frac{$}{2,635}$. $\frac{$}{2,635}$. $\frac{$}{2,635}$.	<u>\$</u> 0.
STATEMENT 3 FORM 990-PF, PART I, LINE OTHER PROFESSIONAL FE	E 16C EES	
INVESTMENT ADVISOR	(A) (B) NET (C) EXPENSES INVESTMENT ADJUSTED PER BOOKS INCOME NET INCOME \$ 30,642. \$ 30,642. TOTAL \$ 30,642. \$ 30,642. \$ 0	
STATEMENT 4 FORM 990-PF, PART I, LINE TAXES	E 18	
	(A) (B) NET (C) EXPENSES INVESTMENT ADJUSTED PER BOOKS INCOME NET INCOME	(D) CHARITABLE PURPOSES
ESTIMATED TAX FOREIGN TAX WITHHELD PAYROLL TAXES PRIOR YEAR EXCISE TAX-	\$ 1,088. 173. \$ 173. 1,838. -BALANCE DUE 162.	\$ 1,838.
INTOK IBAK BACISE IMA.		<u>\$ 1,838.</u>

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IENT 6378	HARRIS & FRANCES BLOCK FOUNDATION, INC. C/O BETSY CHODORKOFF 31-17	842
13/11		9:24
STATEMENT 5 FORM 990-PF, PART I, LII OTHER EXPENSES	NE 23	
	(A) (B) NET (C) (D EXPENSES INVESTMENT ADJUSTED CHARITA PER BOOKS INCOME NET INCOME PURPOS	BLE
DUES & MEMBERSHIPS MISCELLANEOUS	\$ 1,438. 4.	
OFFICE EXPENSES	491.	
	TOTAL \$ 1,933. \$ 0. \$ 0.	0
U.S. GOVERNMENT OBLI US GOV'T BONDS	<u>GATIONS METHOD VALUE VALUE</u> MKT VAL \$ 547,902. \$ 547,9	02
TI S COVERNMENT ORI.T	VALUATION BOOK FAIR MARK CATIONS METHOD VALUE VALUE	ΕT
US GOV'T BONDS	MKT VAL \$ 547,902. \$ 547,9 \$ 547,902. \$ 547,9	02.
		· •
	TOTAL & 547 902 & 547 0	<u> </u>
	TOTAL \$ 547,902. \$ 547,9	02
STATEMENT 7 FORM 990-PF, PART II, L INVESTMENTS - CORPO	INE 10B RATE STOCKS	
FORM 990-PF, PART II, L INVESTMENTS - CORPO	INE 10B RATE STOCKS VALUATION BOOK FAIR MARK METHOD VALUE VALUE	ET
FORM 990-PF, PART II, L INVESTMENTS - CORPO	INE 10B RATE STOCKS VALUATION BOOK FAIR MARK	ET
FORM 990-PF, PART II, L INVESTMENTS - CORPO	VALUATION	ET
FORM 990-PF, PART II, L INVESTMENTS - CORPO CORPORATE STOCKS CORPORATE STOCK STATEMENT 8 FORM 990-PF, PART II, L INVESTMENTS - CORPO	VALUATION	ET 518 518
FORM 990-PF, PART II, L INVESTMENTS - CORPO CORPORATE STOCKS CORPORATE STOCK STATEMENT 8 FORM 990-PF, PART II, L	VALUATION	ET

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FEDERAL STATEMENTS

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CLIENT 6378

HARRIS & FRANCES BLOCK FOUNDATION, INC. C/O BETSY CHODORKOFF

31-1784246

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STATEMENT 9 FORM 990-PF, PART II, LINE 13 INVESTMENTS - OTHER

OTHER INVESTMENTS	VALUATION	BOOK	FAIR MARKET
	METHOD	VALUE	VALUE
CERTIFICATES OF DEPOSIT MATURITY > 3 MOS	MKT VAL S	\$ 393,025.	\$ 393,025.
HIGH MOWING	MKT VAL	50,000.	50,000.
VCLF LOAN	MKT VAL	30,000.	30,000.
	TOTAL 3	\$ 473,025.	\$ 473,025.

STATEMENT 10 FORM 990-PF, PART II, LINE 14 LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	_	BASIS	_	ACCUM. DEPREC.	 BOOK VALUE	F	TAIR MARKET VALUE
MACHINERY AND EQUIPMENT TOTAL	\$	3,402.	\$	609.	\$ 2,793.	\$	2,793.
	\$	3,402.	\$	609.	\$ 2,793.	\$	2,793.

STATEMENT 11 FORM 990-PF, PART II, LINE 15 OTHER ASSETS

		BOOK	VALUE	FA]	R MARKET VALUE
ACCRUED INTEREST ROUNDING		\$	3,062. 1.	\$	3,062.
	TOTAL	\$	3,063.	\$	3,062.

STATEMENT 12 FORM 990-PF, PART III, LINE 3 OTHER INCREASES

UNREALIZED GAIN ON INVESTMENTS

	\$ 450,118.
TOTAL	\$ 450,118.

STATEMENT 13 FORM 990-PF, PART IV, LINE 1 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM (A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE _ACOUIRED	(D) DATE SOLD
1 85000 DISCOVER BANK GREENWOOD 2 4000 POWERSHARES ETF TRUST	PURCHASED PURCHASED	8/08/2008 VARIOUS	2/16/2010 2/18/2010
3 4000 WHOLE FOODS MARKET INC	PURCHASED	VARIOUS	2/18/2010

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STATEMENT 13 (CONTINUED) FORM 990-PF, PART IV, LINE 1 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

						HOW	(C) DATE	(D) DATE
ITEM_		(A) DES	CRIPTION		<u>ACQU</u>	IRED	<u>ACQUIRED </u>	SOLD
4	80000 ADVA	NTA BANK CO	RP DRAPER		PURCH	IASED	11/12/2008	4/21/2010
5	2000 TENNAM	NT CO			PURCH	IASED	VARIOUS	5/04/2010
6	100000 FEDE	ERAL HOME I	LOAN BANK		PURCH	IASED	2/18/2010	5/19/2010
7	5236 WAINWI	RIGHT BANK	& TRUST		PURCH	LASED	VARIOUS	7/21/2010
8	115000 NAT	IONAL RURAI	UTILITIES	COOP	PURCH	IASED	2/12/2009	8/15/2010
9	150000 FEDI				PURCH	IASED	9/17/2009	9/17/2010
10	50000 FEDER	RAL HOME LO	DAN BANK		PURCH	IASED	5/21/2010	10/01/2010
11	2610 EOT CO	ORP			PURCH	IASED	VARIOUS	12/13/2010
12	200000 AME	RICAN NATIO	NAL BK FOX	CITY	PURCH	LASED	7/21/2009	12/20/2010
	(E)	(F)	(G)	(H)	(I)	(J)	(K)	(L)
	GROSS	DEPRÉC.	COST	GÀÍN	FMV	ADJ. BA		GÀIN
ITEM	SALES	ALLOWED	BASIS	(LOSS)	12/31/69	12/31/6	59 <u>(I)-(J)</u>	(LOSS)
1	85,000.		85,000.	0.				\$ 0.
2	39,194.		48,300.	-9,106.				-9,106.
3	135,189.		96,684.	38,505.				38,505.
4	80,000.		80,000.	0.				0.
_	60,000		40'000	27 222				27 222 1

40,809. 27,223. 27,223. 68,032. 100,000. 97,798. 99,995. 41,787. 6 7 56,011. 56,011. 0. 8 115,000. 115,000. 0. 9 150,000. 149,955. 45. 45. -5. 10 0. 5. -5. 69,851. 43,282. 43,282. 11 113,133. 200,000. 200,000. 0. 0. 12 TOTAL \$ 155,960.

STATEMENT 14 FORM 990-PF, PART VII-A, LINE 8B COPIES OF FORM 990-PF TO STATE OFFICIALS

VT DOES NOT REQUIRE IT TO BE FILED.

STATEMENT 15 FORM 990-PF, PART X, LINE 4 EXPLANATION OF CASH DEEMED HELD FOR CHARITABLE ACTIVITIES

CASH CONSISTS PRIMARILY OF MONEY MARKET FUNDS, WHICH ARE HELD SHORT TERM PENDING THE END OF ADVERSE MARKET CONDITIONS.

STATEMENT 16 FORM 990-PF, PART XV, LINE 2A-D APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME:

HARRIS & FRANCES BLOCK FOUNDATION

CARE OF: BE STREET ADDRESS: 49

BETSY CHODORKOFF 491 ENNIS HILL ROAD

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STATEMENT 16 (CONTINUED) FORM 990-PF, PART XV, LINE 2A-D APPLICATION SUBMISSION INFORMATION

CITY, STATE, ZIP CODE:

MARSHFIELD, VT 05658

TELEPHONE:

FORM AND CONTENT:

LETTERS OF INQUIRY SHOULD BE SUBMITTED VIA A TYPE-WRITTEN

LETTER AND INCLUDE COMPLETE DETAILS.

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS:

HIGHEST PRIORITY CONSIDERATION IS GIVEN TO THE AREAS OF ECONOMIC JUSTICE, ENVIRONMENTAL PROTECTION, ARMS CONTROL,

COMMUNITY DEVELOPMENT, AND HISTORIC PRESERVATION.

STATEMENT 17 FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
SUSTAINABLE TALLAHASSEE, INC. PO BOX 765 TALLAHASSEE, FL 32302	NONE	501 (C)	ENVIRONMENTAL SUSTAINABILITY	\$ 10,000.
INSTITUTE FOR SOCIAL ECOLOGY 1118 MAPLE HILL ROAD PLAINFIELD, VT 05667	NONE	501 (C)	GENERAL SUPPORT OF EDUCATIONAL PROGRAMS	10,000.
FRIENDS OF BURLINGTON GARDEN 33 TRACY DRIVE BURLINGTON, VT 05401	NONE	501 (C)	OUTREACH LOW INCOME RESIDENTS	10,000.
TOXICS ACTION CENTER 29 TEMPLE PLACE BOSTON, MA 02111	NONE	501 (C)	SUPPORT FOR COMMUNITY ORGANIZING EFFORT REGARDING TOXIC WASTE IN THE LOCAL ENVIRONMENT	10,000.
DOGWOOD ALLIANCE P.O. 7645 ASHEVILLE, NC 28802	NONE	501 (C)	PROTECT SOUTHERN FORESTS	10,000.
NEW ENGLAND GRASSROOTS ENV. FU P.O. BOX 1057 MONTPELIER, VT 05601	NONE	501 (C)	ENVIRONMENTAL ISSUES	10,000.

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STATEMENT 17 (CONTINUED) FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
MINUTEMAN MEDIA-COOP AMERICA 32 ALLEN ROAD NORWALK, CT 06851	NONE	501 (C)	SUPPORT PUBLIC EDUCATION PROJECT	\$ 7,500.
CT LEAGUE OF CNSRVTION ED FUND 645 FARMINGTON AVENUE HARTFORD, CT 06105	NONE	501 (C)	NATURAL RESOURCES CONSERVATION	8,000.
GREEN MOUNTAIN CLUB 4711 WATERBURY-STOWE ROAD WATERBURY CENTER, VT 05677	NONE	501 (C)	SUPPORT FOR COMMUNITY ORGANIZING EFFORT FOR VERMONT	5,000.
FOOD WORKS AT 2 RIVERS CENTER 64 MAIN STREET MONTPELIER, VT 05602	NONE	501 (C)	EDUCATION RE SUSTAINABLE FOOD PRODUCTION	10,000.
GLOBAL JUSTICE ECOLOGY PROJECT INC. BOX 412 HINESBURG, VT 05461	NONE	501 (C)	ENVIRONMENTAL ADVOCACY	5,000.
VT PARTNERSHIP FOR FAIRNESS & DIVERSITY 214 MAIN STREET BRATTLEBORO, VT 05301	NONE	501 (C)	STRENGTHEN FAIRNESS AND DIVERSITY	4,000.
LAMBI FUND OF HAITI PO BOX 18955 WASHINGTON, DC 20036	NONE	501 (C)	STRENGTHEN CIVIL SOCIETY IN HAITI	10,000.
COMPOSTING ASSOCIATION OF VT P.O. BOX 112 TROY, VT 05868	NONE	501 (C)	PROMOTE ORGANICS RECYCLING THAT PROTECT THE ENVIRONMENT	10,000.
TOXIC FREE NORTH CAROLINA 206 NEWBERN PLACE RALEIGH, NC 27601	NONE	501 (C)	FIGHTING PESTICIDE POLLUTION	8,000.
INTERVALE CENTER 180 INTERVALE ROAD BURLINGTON, VT 05401	NONE	501 (C)	HEALTHY CITY PROGRAM	5,000.
SEEDS PO BOX 2895 VASHON, WA 98070	NONE	501 (C)	SUPORT SOCIAL ECOLOGY AND EDUCATION	6,535.

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STATEMENT 17 (CONTINUED) FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	 AMOUNT
CITIZENS AWARENESS NETWORK P.O. BOX 83 SHELBURNE FALLS, MA 01370	NONE	501 (C)	USE OF SUSTAINABLE, RELIABLE AND AFFORDABLE ENERGY GENERATION	\$ 10,000.
RIVERLINK INC 170 LYMAN STREET ASHEVILLE, NC 28801	NONE	501 (C)	ECONOMIC AND ENVIRONMENTAL REVITALIZATION OF FRENCH BROAD RIVER	6,000.
VT COMMUNITY FOUNDATION PO BOX 30 MIDDLEBURY, VT 05753	NONE	501 (C)	VT FOOD FUNDERS NETWORK	3,000.
VT PUBLIC INTEREST RESEARCH GROUP 141 MAIN ST, STE 6 MONTPELIER, VT 05602	NONE	501 (C)	SUPPORT PUBLIC INTEREST RESEARCH	10,000.
ALLEHGENY FOUNDATION 301 GRANT STREET SUITE 3900 PITTSBURG, PA 15219	NONE	501 (C)	JUNIOR APPALACIAN MUSICIANS	5,000.
VIRGINIA ORGANIZING PROJECT 703 CONCORD AVENUE CHARLOTTESVILLE, VA 22903	NONE	501 (C)	MAINTAIN AND RESTORE WOODLANDS ACROSS VIRGINIA	16,000.
VERMONT NATURAL RESOURCES COUNCIL 9 BAILEY AVE MONTPELIER, VT 05602	NONE	501 (C)	PROTECT NATURAL RESOURCES	10,000.
INSTITUTE FOR RESPONSIBLE TECHNOLOGY PO BOX 469 FAIRFIELD, IA 52556	NONE	501 (C)	SUPPORT CONSUMER SAFETY IN FOOD	10,000.
GLOBAL GREENGRANTS FUND 2840 WILDERNESS PLACE, SUITE A BOULDER, CO 80301	NONE	501 (C)	RESPONSIBLE, ENVIRONMENTAL GRANTMAKING	10,000.
GREYSTON FOUNDATION INC. 21 PARK AVENUE YONKERS, NY 10703	NONE	501 (C)	COMMUNITY SUPPORT	4,000.

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STATEMENT 17 (CONTINUED) FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	. <u></u>	AMOUNT
HIGHFIELDS CENTER FOR COMPOSTING PO BOX 503 HARDWICK, VT 05843	NONE	501 (C)	COMMUNITY COMPOSTING SUPPORT AND RESEARCH	\$	7,500.
VERMONT LAW SCHOOL PO BOX 96 SOUTH ROYALTON, VT 05068	NONE	501 (C)	ENERGY ACTION NOW		10,000.
PUTNEY HISTORICAL SOCIETY PO BOX 233 PUTNEY, VT 05346	NONE	501 (C)	COMMUNITY PERFORMING ARTS CENTER		8,000.
			TOTAL	\$	248,535.