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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 01-01-2010 , and ending 12-31-2010

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
THE ROBERT AND DOROTHY GOLDBERG
CHARITABLE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
C/O BSSN PO BOX 9729

City or town, state, and ZIP code
PORTLAND, ME 04104

A Employer identification number
31-1622560

B Telephone number (see page 10 of the instructions)
(207) 774-1200

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,416,788

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	8	8	8	
	4 Dividends and interest from securities.	53,403	53,403	53,403	
	5a Gross rents	226,622	226,622	226,622	
	b Net rental income or (loss) 87,585				
	6a Net gain or (loss) from sale of assets not on line 10	32,933			
	b Gross sales price for all assets on line 6a 439,075				
	7 Capital gain net income (from Part IV , line 2)		32,933		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	15			
	12 Total. Add lines 1 through 11	312,981	312,966	280,033	
	13 Compensation of officers, directors, trustees, etc	27,613			19,146
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	13,627			1,734
	b Accounting fees (attach schedule)	1,500	750		750
	c Other professional fees (attach schedule)				
	17 Interest	10	10		
	18 Taxes (attach schedule) (see page 14 of the instructions)	2,426	2,426		
	19 Depreciation (attach schedule) and depletion	24,872	24,872		
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	126,789	125,663		396
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	196,837	153,721		22,026
	25 Contributions, gifts, grants paid	184,000			184,000
	26 Total expenses and disbursements. Add lines 24 and 25	380,837	153,721		206,026
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-67,856			
	b Net investment income (if negative, enter -0-)		159,245		
	c Adjusted net income (if negative, enter -0-)			280,033	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2,129		
	2	Savings and temporary cash investments	81,499	110,938	110,938
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 20,852 Less allowance for doubtful accounts ▶ _____	27,746	20,852	20,852
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	10,251	11,072	18,078
	b	Investments—corporate stock (attach schedule)	1,443,678	1,403,759	1,704,113
	c	Investments—corporate bonds (attach schedule).	460,267	435,965	434,747
	11	Investments—land, buildings, and equipment basis ▶ 1,838,915 Less accumulated depreciation (attach schedule) ▶ 274,628	1,589,159	1,564,287	2,128,060
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,614,729	3,546,873	4,416,788	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	3,614,729	3,546,873	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	3,614,729	3,546,873	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,614,729	3,546,873	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 3,614,729
2	Enter amount from Part I, line 27a	2 -67,856
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 3,546,873
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 3,546,873

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	see attached schedule	P	2001-01-01	2010-12-31
b	see attached schedule	P	2010-01-01	2010-12-31
c	EL PASO CORP litigation proceeds	P	2001-01-01	2010-11-29
d	CARDINAL HEALTH litigation proceeds	P	2001-01-01	2010-11-16
e	lucent tech litigation proceeds	P	2001-01-01	2010-03-05

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 402,769		367,524	35,245
b 35,920		38,618	-2,698
c 47			47
d 321			321
e 18			18

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			35,245
b			-2,698
c			47
d			321
e			18

2 Capital gain net income or (net capital loss)	<div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div>	2	32,933
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) <div>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8</div>		3	-2,698

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	220,575	4,157,761	0 05305
2008	223,443	4,496,168	0 04970
2007	216,946	4,562,525	0 04755
2006	209,477	4,437,201	0 04721
2005	209,664	4,339,410	0 04832

2 Total of line 1, column (d).	2	0 24582
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 04916
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5.	4	4,278,388
5 Multiply line 4 by line 3.	5	210,343
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	1,592
7 Add lines 5 and 6.	7	211,935
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18	8	206,026

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1aExempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
bDomestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	3,185
cAll other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3Add lines 1 and 2.		3	3,185
4Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	3,185
6Credits/Payments			
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	1,720
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7Total credits and payments Add lines 6a through 6d.		7	1,720
8Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	1,465
10Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11Enter the amount of line 10 to be Credited to 2011 estimated tax 0 Refunded		11	

Part VII-AStatements Regarding Activities				
1aDuring the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?			Yes	No
bDid it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		1a		No
cDid the foundation file Form 1120-POL for this year?.		1b		No
dEnter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		1c		No
eEnter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$				
2Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		2		No
3Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3		No
4aDid the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a		No
bIf "Yes," has it filed a tax return on Form 990-T for this year?.		4b		No
5Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		5		No
6Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes	
7Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		7	Yes	
8aEnter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NH, ME				
bIf the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes	
9Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		9		No
10Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of LEONARD M NELSON ESQ Telephone no (207) 774-1200 Located at BERNSTEIN SHUR SAWYER NELSON Portland ME ZIP+4 041045029			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country.				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.</i>)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.		5b		No
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?				
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?				
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
michael s king	Trustee	6,440		
c/o BSSN PO BOX 9729 Portland, ME 041045029	1 00			
leonard m nelson esquire	Trustee	21,173		
c/o BSSN PO BOX 9729 portland, ME 041045029	2 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,131,737
b	Average of monthly cash balances.	1b	83,744
c	Fair market value of all other assets (see page 24 of the instructions).	1c	2,128,060
d	Total (add lines 1a, b, and c).	1d	4,343,541
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	4,343,541
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	65,153
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,278,388
6	Minimum investment return. Enter 5% of line 5.	6	213,919

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	213,919
2a	Tax on investment income for 2010 from Part VI, line 5.	2a	3,185
b	Income tax for 2010 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,185
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	210,734
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	210,734
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	210,734

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	206,026
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	206,026
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	206,026
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1	Distributable amount for 2010 from Part XI, line 7			
2	Undistributed income, if any, as of the end of 2010			
a	Enter amount for 2009 only. 204,742			
b	Total for prior years 20____, 20____, 20____			
3	Excess distributions carryover, if any, to 2010			
a	From 2005.			
b	From 2006.			
c	From 2007.			
d	From 2008.			
e	From 2009.			
f	Total of lines 3a through e.			
4	Qualifying distributions for 2010 from Part XII, line 4 ➤ \$ 206,026			
a	Applied to 2009, but not more than line 2a 204,742			
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). 0			
d	Applied to 2010 distributable amount. 1,284			
e	Remaining amount distributed out of corpus			
5	Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6	Enter the net total of each column as indicated below:			
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b	Prior years' undistributed income Subtract line 4b from line 2b.			
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions.			
e	Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.			
f	Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011. 209,450			
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).			
8	Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions).			
9	Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a.			
10	Analysis of line 9			
a	Excess from 2006.			
b	Excess from 2007.			
c	Excess from 2008.			
d	Excess from 2009.			
e	Excess from 2010.			

Part XIV

	Tax year	Prior 3 years			(e) Total	
		(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed						
b 85% of line 2a						
c Qualifying distributions from Part XII, line 4 for each year listed						
d Amounts included in line 2c not used directly for active conduct of exempt activities						
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c						
3 Complete 3a, b, or c for the alternative test relied upon						
a "Assets" alternative test—enter						
(1) Value of all assets						
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)						
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .						
c "Support" alternative test—enter						
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)						
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .						
(3) Largest amount of support from an exempt organization						
(4) Gross investment income						

1 Information Regarding Foundation Managers:

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

d	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors See Attached Grant Application
---	--

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE STATEMENT ATTACHED C/O BSSN PO BOX 9729 PORTLAND, ME 04104		Public	See Statement Attached	184,000
Total			3a	184,000
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2010)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
--------------------------	--------------------------	---------------------------------

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2011-04-26

Date

Title

Paid Preparer's Use Only

Preparer's Signature

Constance Bingham

Firm's name

Macdonald Page & Co LLC

Firm's address

30 Long Creek Dr
South Portland, ME 04106

Date

Check if self-employed

PTIN

Firm's EIN

Phone no (207) 774-5701

Form 990-PF (2010)

TY 2010 Accounting Fees Schedule

Name: THE ROBERT AND DOROTHY GOLDBERG
CHARITABLE FOUNDATION

EIN: 31-1622560

Software ID: 10000105

Software Version: 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Macdonald Page & Co	1,500	750	0	750

TY 2010 Investments - Land Schedule

Name: THE ROBERT AND DOROTHY GOLDBERG
CHARITABLE FOUNDATION

EIN: 31-1622560

Software ID: 10000105

Software Version: 2010v3.2

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	868,915		868,915	63,060
Buildings	970,000	274,628	695,372	2,065,000

TY 2010 Legal Fees Schedule

Name: THE ROBERT AND DOROTHY GOLDBERG
CHARITABLE FOUNDATION

EIN: 31-1622560

Software ID: 10000105

Software Version: 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BSSN-non-trustee	13,627	0	0	1,734

TY 2010 Other Expenses Schedule

Name: THE ROBERT AND DOROTHY GOLDBERG
CHARITABLE FOUNDATION

EIN: 31-1622560

Software ID: 10000105

Software Version: 2010v3.2

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ubs AND BANK OF AMERICA account fees	300	300		
storage-msk	300			
Rental Expenses	114,165	114,165		
office expense	240			
nh filing fee	75			
investment fees	11,198	11,198		
bsn expenses	501			396
Bank test fee	10			

TY 2010 Other Income Schedule

Name: THE ROBERT AND DOROTHY GOLDBERG
CHARITABLE FOUNDATION

EIN: 31-1622560

Software ID: 10000105

Software Version: 2010v3.2

Description	Revenue And Expenses Per Books	Net Invest ment Income	Adjusted Net Income
MISCELLANEOUS INCOME	15		

TY 2010 Taxes Schedule

Name: THE ROBERT AND DOROTHY GOLDBERG
CHARITABLE FOUNDATION

EIN: 31-1622560

Software ID: 10000105

Software Version: 2010v3.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
foreign taxes paid	1,218	1,218		
990-PF	1,208	1,208		

NET GAINS / (LOSSES)

Shares	Name	Date Sold	Date Bought	Sale Proceeds	Cost Basis	Net Gain/(Loss)	Long-term (LT)/ Short-term (ST)
Capital Gain Distributions:							
	FT Templeton Foreign A					0 00	LT
	Fidelity Adv Div Intl Fd					0 00	LT
	FT Templeton Foreign A					0 00	LT
						0 00	LT
						Total Capital Gain Distributions.	0.00
Short-term Trades :							
340	Transocean Ltd	05/05/10	03/05/10	25,358 06	(28,836 05)	(3,477 99)	ST
250	JPMorgan Chase & Co [Settled in 2011]	12/29/10	01/22/10	10,562 07	(9,782 00)	780 07	ST
						Total Short-term Gain/Loss	(2,697 92)
Long-term Trades (chronological order) :							
750	ConocoPhillips	03/05/10	05/12/06	37,621 77	(49,377 75)	(11,755 98)	LT
800	Exxon Mobil Corp	03/05/10	09/13/99	53,002 07	(31,609 67)	21,392 40	LT
200	Nike Inc Cl B	03/25/10	02/27/09	14,688 06	(8,386 31)	6,301 75	LT
150	Pepsico Inc	03/25/10	02/21/02	9,948 86	(7,420 22)	2,528 64	LT
250	Schein Henry Inc	03/25/10	04/28/06	14,439 59	(11,767 36)	2,672 23	LT
250	BHP Billiton Ltd	07/20/10	05/15/09	17,207 35	(12,341 32)	4,866 03	LT
1830	Fidelity Adv Div Intl Fd	07/20/10	Various	25,000 00	(41,220 25)	(16,220 25)	LT
675	Comcast Corp New Cl A	07/20/10	12/21/06	12,227 41	(19,328 25)	(7,100 84)	LT
25	Comcast Corp New Cl A	09/22/10	07/12/06	452 87	(543 10)	(90 23)	LT
175	Nike Inc Cl B	09/22/10	02/27/09	13,497 39	(7,338 02)	6,159 37	LT
225	3M Co	09/22/10	11/12/08	19,490 93	(13,447 16)	6,043 77	LT
50000	SBC Comm 5 3%	11/15/10	10/24/06	50,000 00	(49,980 75)	19 25	LT
325	Bard CR Inc	12/22/10	12/21/06	30,275 30	(27,215 35)	3,059 95	LT
225	Pepsico Inc	12/22/10	02/21/02	14,718 95	(11,130 33)	3,588 62	LT
225	Procter & Gamble Co	12/22/10	07/08/04	14,552 45	(12,324 56)	2,227 89	LT
1000	Thermo Fisher Scientific Inc	12/22/10	11/18/09	55,285 81	(46,213 25)	9,072 56	LT
	[Continued]						

NET GAINS / (LOSSES)

<u>Shares</u>	<u>Name</u>	<u>Date Sold</u>	<u>Date Bought</u>	<u>Sale Proceeds</u>	<u>Cost Basis</u>	<u>Net Gain/(Loss)</u>	<u>Long-term [LT]/ Short-term [ST]</u>
	The following trades settled on 01/03/2011						
60	Goldman Sachs Grp Inc	12/29/10	12/21/09	10,069.77	(9,971.91)	97.86	LT
600	Schwab Charles Corp New	12/29/10	02/27/09	10,290.57	(7,908.21)	2,382.36	LT
	Plus one Short-term trade listed on First Page						
						Total Long-term Gain/Loss :	35,245.38
						Total Net Gain / (Losses) :	32,547.46
			Column Totals	438,689.28	(406,141.82)		
			ST	35,920	(38,618)		
			LT	402,769	(367,524)		

*** Mv cost basis differs slightly from that of RMDavis

**SCHEDULE C
DISTRIBUTIONS AND GRANTS**

2010 Grants				
Summary:				
	May 28, 2010		(\$43,500 00)	
	November 1, 2010		(\$10,000 00)	
	November 19, 2010		(\$97,500 00)	
	December 3, 2010		(\$31,000 00)	
	December 30, 2010		(\$2,000 00)	
			\$0 00	
	TOTAL SCHEDULE C:		(\$184,000.00)	
Details:				
05/28/10				
Amount	Grantee	Address		Purpose
(4,000 00)	Denmark Arts Center	PO Box 813, Denmark ME 04022		General Operating/Programming Costs
(5,000 00)	Freeport Shakespeare Festival	PO Box 13, Freeport ME 04032		August Production of The Tempest
(3,000 00)	Int'l Musical Arts Institute	1330 Beacon St, Waban MA 02468		2 Summer Fellowships for 2010
(5,300 00)	Kismet Rock Foundation	PO Box 1744, N Conway NH 03860		4 Summer Scholarships for 2010
(6,200 00)	No Conway Community Center	PO Box 487, N Conway NH 03860		General Operating & Grounds Maintenance
(5,000 00)	The Iris Network	189 Park Ave, Portland ME 04102		Expansion of Services
(5,000 00)	White Mtn Community Health Center	PO Box 2800, Conway NH 03818		General Operating Expenses
(10,000 00)	White Mtn Musical Arts	PO Box 2753, N Conway NH 03860		General Operating Expenses
0 00				
(43,500 00)				
11/01/10				
(\$10,000 00)	Starting Point	PO Box 1972, Conway NH 03818		Phase 3 of Capital Campaign
(\$10,000 00)				
	(continued)			

**SCHEDULE C
DISTRIBUTIONS AND GRANTS**

Amount	Grantee	Address	Purpose
11/19/10			
(\$5,000 00)	Childrens Museum/Theatre of Maine	PO Box 4041, Portland ME 04101	1 1 Endowment Challenge Grant
(\$3,000 00)	Community Counseling Center	343 Forest Ave, Portland ME 04101	Relocation to new Facility
(\$3,000 00)	Conway's Arts in Motion	PO Box 553, Conway NH 03818	General operating expenses
(\$5,000 00)	Dollars/Scholars of Mt Wash Valley	PO Box 646, Conway NH 03818	Renewal of Goldberg Scholarship Fd
(\$2,000 00)	Dress for Success So Maine	565 Congress St, Portland ME 04101	General operating expenses
(\$3,000 00)	1st Church of Christ, Congregational	PO Box 401, No Conway NH 03860	Phase 2 Moeller Pipe Organ upgrade
(\$5,000 00)	Friends of Casco Bay	43 Slocum Dr, So Portland ME 04106	General operating expenses
(\$10,000 00)	Gibson Center for Senior Svcs Inc	PO Box 655, No Conway NH 03860	Assistance new van and bldg repairs
(\$5,000 00)	Jackson Historical Society	PO Box 8, Jackson NH 03846	Phase 1 "Museum of Wh Mtn Art"
(\$3,000 00)	Lovell Historical Society	PO Box 166, Lovell, ME 04051	Restoration K-S Barn Museum
(\$5,000 00)	Maine Museum of Photographic Arts	240 Concord St, W, Portland ME 04103	Inaugural traveling exhibit
(\$6,000 00)	Mt Washington Valley Habitat/Humanity	2 Common Ct, No Conway NH 03860	Assistance in building new home
(\$5,000 00)	Mt Washington Valley Skating Club	PO Box 1961, Conway NH 03818	Increasing program accessibility
(\$6,000 00)	Mountain Top Music Center	PO Box 1228, Conway NH 03818	Scholarship and education
(\$5,000 00)	New Hampshire Historical Society	30 Park St, Concord NH 03301	Matching grant C Campbell collection
(\$6,000 00)	Pequawket Kids Assn, c/o MSAD #72	124 Portland St, Fryeburg ME 04037	Afterschool & summer programs
(\$5,000 00)	Rippleffect Inc	PO Box 441, Portland ME 04112	Funding of guide staff
(\$5,000 00)	Sail Maine	58 Fore St, Portland ME 04101	Replacement float system
(\$3,000 00)	St Joseph's College of Maine	278 Whites Bridge Rd, Standish ME 04084	Empower low-income/food & education
(\$3,500 00)	Seeds of Peace Inc	370 Lexington Ave, #21, NY, NY 10017	Leadership development program
(\$4,000 00)	White Mtn Musical Arts	PO Box 2753, No Conway NH 03860	General operating expenses
(\$97,500 00)			
12/03/10			
(15,000 00)	Fryeburg Academy	745 Main St, Fryeburg ME 04037	Matching Grant, future perm endowment
(4,000 00)	Preble Street Resource Center	PO Box 1459, Portland ME 04104	Food assistance in Portland, ME
(3,000 00)	Southwest Oxford Co Nutrition Inc	52 Ridge Rd, Brownfield ME 04010	Food pantry assistance in Fryeburg ME
(3,000 00)	Conway Village Congregational Church	PO Box 333, Conway NH 03818	Food assistance in Mt Wash Valley
(3,000 00)	Gibson Center for Senior Svcs Inc	PO Box 655, No Conway NH 03860	Food delivery services in Mt Wash Valley
(3,000 00)	Vaughan Center	PO Box 401, No Conway NH 03860	Food assistance in No Conway, NH
(31,000 00)			
12/30/10			
(2,000 00)	Dollars/Scholars of Mt Wash Valley	PO Box 646, Conway NH 03818	Add'l grant Renewal Goldberg Sch Fd
(2,000 00)			

Last Revised: July, 2003

GRANT APPLICATION PACKAGE

The Robert and Dorothy Goldberg Charitable Foundation

GRANT APPLICATION PACKAGE

THE ROBERT AND DOROTHY GOLDBERG CHARITABLE FOUNDATION

Established 1999

The Robert and Dorothy Goldberg Charitable Foundation (called "The Goldberg Foundation"), has been funded by Robert and Dorothy Goldberg and first became operational in mid 1999 following Mrs. Goldberg's death on May 1, 1997 and Mr. Goldberg's death on August 10, 1997. The Trustees of The Goldberg Foundation are Leonard M. Nelson, the senior partner of Bernstein, Shur, Sawyer & Nelson in Portland, Maine, the Goldberg family attorney for thirty years, and Michael S. King of Conway, New Hampshire, the son of Mrs. Goldberg's niece, Barbara Ann King, also of North Conway. Mr. King was formerly the Town Clerk/Tax Collector for the Town of Conway (New Hampshire) and is currently in private business in the Conway area. Mr. King was close to Mr. and Mrs. Goldberg for most of their lives. Mr. Nelson, in addition to his professional responsibilities as an attorney, has been the President of the Board of Trustees of both the Portland Symphony Orchestra and the Portland Museum of Art. He also served as the Chairman of the Maine Arts and Humanities Commission for eight years.

The Goldberg Foundation is a tax-exempt charitable organization. The Trustees are responsible for managing The Goldberg Foundation's assets and for approving requests for grants to be used for charitable purposes. There are two grant application cycles annually. The semi-annual deadlines for submission of grant applications are March 30 and September 30. It is unlikely that any specific grant will exceed \$10,000 for the immediate future except in very special circumstances.

The Goldberg Foundation has a broad mission to assist charitable organizations in their activities, especially those in geographical locations and activities that were of interest to Mr. and Mrs. Goldberg during their lifetimes. It is the intention of the Trustees to achieve this mission by favoring: (1) a broad variety of tax-exempt organizations located in the Conway, Bartlett, Jackson, Eaton, Madison, Albany and Chatham municipalities in New Hampshire and in Fryeburg, Stow, Lovell and Brownfield municipalities in Maine, and (2) a narrower range of tax-exempt endeavors undertaken elsewhere in New Hampshire and in the general geographic area between Conway and Portland, Maine, which involve activities that were of interest to Mr. and Mrs. Goldberg during their lifetimes; such as the collection and preservation of antique furniture and buildings, the display of fine art (particularly visual arts dealing with the White Mountain region of New Hampshire and adjacent Maine areas), the collection and preservation of art and artifacts that are of historic significance to New Hampshire and Maine, and activities pertaining to social welfare and to the general welfare of children temporarily or permanently in either state.

ABOUT THE DONORS

Robert A. Goldberg

Robert A. Goldberg was born in Conway, New Hampshire on July 31, 1918. He graduated from Kennett High School in Conway in 1936, then matriculated at Duke University, earning a Bachelor's Degree in 1940. On June 4, 1940, the day after his graduation, Mr. Goldberg married Dorothy Huffman. He next served in the U.S. Army Air Corps during the World War II and attained the rank of Captain. Following the war, he and Dorothy returned to Duke University where he earned his law degree in 1949. Although Mr. Goldberg obtained a law degree, he never intended to practice law, but only to use his legal skills in his business career. For the next five decades, through Gralyn Enterprises, Gralyn Antiques and Gralyn Furniture (which included canoe sales and rentals), Mr. Goldberg established the business framework which led to his financial success and enabled him to pursue his passion — paintings of the White Mountains and surroundings. The Goldbergs gave a major portion of their White Mountain Collection to Dartmouth College's Hood Museum in 1987, and they frequently loaned their remaining White Mountain Collection to museums and historical societies for exhibitions. Mr. Goldberg's other collection passions included antique American furniture, colonial lighting devices, antique coins and watches, and pre-Columbian sculpture.

Mr. Goldberg's furniture business store and the related antiques business store were full of paintings and antiques that were always on display, often more as a private museum than as a business. Visiting Bob Goldberg at Gralyn Furniture or Gralyn Antiques was similar to visiting the home of a good friend who collected art. He loved to explain the history of any painting with friends and customers. He shared his passion with all who would listen and learn.

Dorothy H. Goldberg

Dorothy Huffman Goldberg was born in Ashville, North Carolina in 1917. She graduated from high school in Ashville in 1935. She met Robert Goldberg as an undergraduate at Duke University, and she graduated from Duke with a bachelor's degree in 1939. Following graduation, she taught elementary school in North Carolina. Robert and Dorothy were married on June 4, 1940, one day after Robert's graduation. While Mr. Goldberg served in the Army Air Corps, Mrs. Goldberg taught school in Texas. Following Mr. Goldberg's discharge as a Captain with the U.S. Army Air Corps, they moved back to Durham, North Carolina, so Mr. Goldberg could pursue a law degree at Duke University. After relocating to Conway, New Hampshire, Mrs. Goldberg continued to teach school in Redstone. Mrs. Goldberg earned her LPN Certificate during World War II, and she practiced that career while in North Conway. She was a Charter Member of the Mount Washington Valley American Association of University Women.

ADVISORY COMMITTEE

In evaluating applications for grants, the Trustees are assisted by an Advisory Committee of volunteers who are located in the Mount Washington Valley region of New Hampshire. Current Committee members are:

Glenn R. Saunders, CEO, Secretary, White Mountain Oil & Propane, Inc.
P.O. Box 690, North Conway, NH 03860 (Use for mailings)
Telephone: 603-356-6386; Fax: 603-356-4572
Residence: 822 Kearsage Road, North Conway, NH 03 860-0690
Telephone: 603-356-2384.

Mr. Saunders was born and grew up in North Conway, New Hampshire; he graduated from Bowdoin College and then served in the U.S. Army as a Captain from 1965-1968. Since 1968 he has been affiliated with White Mountain Oil & Propane, Inc. and currently serves as the Chief Executive Officer of that company. He has served on numerous community boards over the years and is a past president of the North Conway Community Center, Inc. and was chairman of the Conway Planning Board

Gail Paine
Box 40, Intervale, NH 03845
Telephone: 603-356-5159
E-mail: g_paine@UNHF.edu

Gail Paine believes in working to provide children with resources and opportunities for learning. Since 1961 she has lived in New Hampshire and worked in many capacities for the betterment of the lives of children in the State of New Hampshire. She is the past chairman of the Bartlett School Board, SAU #9 and presently serves on the State Board of Education and is chairman of the New Hampshire Juvenile Parole Board. She was a member of the New Hampshire Commission of Human Rights from 1964-1996 and served as the chairman for three terms. She has served as a Director of the New Hampshire Electric Cooperative, the National Association of Rural Electric Cooperatives, the Northern New Hampshire Charitable Foundation and as a past director and president of the Memorial Hospital in North Conway and the Intervale Preschool. Mrs. Paine is the Program Coordinator of the University System of New Hampshire College for Lifelong Learning in Carroll County. She is a graduate of the University of Michigan with a Bachelor of Science degree in Nursing.

Carl Lindblade
Business: P.O. Box 995, North Conway, NH 03860
Telephone: 603-356-5143
Residence: Route 113, Stow, ME 04058
Telephone: 207-697-2353 Fax: 207-697-2155

Mr. Lindblade has been a resident of New Hampshire for 30 years and is now also a resident of Maine. He is the manager of a 10-physician clinic in Conway, New Hampshire, and maintains a tourism consulting practice in a private capacity. He previously worked in various hotels in the state, including The Balsams, culminating in his service as General Manager of the Red Jacket in North Conway. Following service at the Red Jacket, Mr. Lindblade became the

Director of Tourism for the State of New Hampshire. He has served as past president of the Memorial Hospital in North Conway, past president of the Mount Washington Valley Chamber of Commerce, and past president of the New Hampshire Lodging and Restaurant Association. Mr. Lindblade is currently the Vice Chair of the New Hampshire Travel Council and Moderator of the First Church of Christ, Congregational, North Conway. He is a graduate of Tufts University.

APPLYING FOR GRANTS

Application forms are attached to this summary of The Goldberg Foundation. When a request for a grant is for a special project, the experience and demonstrated ability of the requesting organization to successfully carry out the submitted proposal will be an important criteria by which the proposal will be judged. Other important criteria will be whether other funds (including matching funds) have been obtained or will be sought to finance the proposed charitable activity. Grants will not exceed \$10,000, except for unusually merit-worthy applications. Grants are awarded semi-annually, but in extraordinary circumstances, individual grant application will be considered outside the normal semi-annual cycle.

Application deadlines are March 30 and September 30 for the semi-annual grant awards. Applicants must be non-profit organizations recognized as tax-exempt under Section 501(c)(3) and as a public charity under Section 509(a) of the Internal Revenue Code in order to be considered for a grant. Applications must be submitted on the standard form provided and must be executed by a duly authorized officer of the applicant organization. SIX (6) DUPLICATE COPIES OF THE APPLICATION AND ENCLOSURES MUST BE SUBMITTED. If you have any questions about the purposes of The Goldberg Foundation or the application process, please submit your written questions to The Goldberg Foundation, Attn: Leonard M. Nelson and Michael S. King, Co-Trustees, c/o Bernstein, Shur, Sawyer & Nelson, 100 Middle St., P.O. Box 9729, Portland, ME 04104-5029.

THE ROBERT AND DOROTHY GOLDBERG CHARITABLE FOUNDATION

Attn: Leonard M. Nelson and Michael S. King, Trustees

c/o Bernstein, Shur, Sawyer & Nelson

100 Middle Street, P.O. Box 9729

Portland, ME 04104-5029

Phone: (207) 774-1200 • Fax: (207) 774-1127

Application for Grant

Date of this Application: _____ (for March or September cycle – circle one)

Full Legal Name of Applicant Organization: _____

Address: _____

Tel. No: _____ Fax No: _____ E-mail: _____

Nature of Request: General Operating Purposes _____ (or) Special Project _____

Amount Sought From Foundation (generally not to exceed \$10,000): _____

Officers of Your Organization:

President or Executive Director: _____

Address: _____

Treasurer: _____

Address: _____

Other Officer (*indicate title*): _____

Address: _____

Other Officer (*indicate title*): _____

Address: _____

Board of Directors (or Board of Trustees) of Applicant Organization:

(*Attach separate schedule if necessary.*)

Full Name: *Address:*

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Applicant's Accountant: *(If you do not have an accountant, specify below who prepares your organization's financial information.)*

Name: _____

Address: _____

Tel. No.: _____

Narrative description of purpose for which grant is sought. *(If needed, attach additional pages up to a maximum of four.)* _____

You must attach to this Application the information specified below. Applications which do not have the requested information attached will not be considered for grants.

- a) A proposed budget for the project for which the grant is sought. The proposed budget must show all sources of expected income and include a detailed description of the anticipated expenditures that will be made with the requested grant. The proposed budget should not exceed a maximum of four pages.
- b) A copy of the most recent letter from the Internal Revenue Service which recognizes your organization as tax exempt under Section 501(c)(3) or other provision of the Internal Revenue Code; and a copy of your most recent tax return; i.e., Form 990.
- c) A general description of your organization's mission and history, its geographic service area and its perceived constituency.

Send SIX (6) SETS of this completed application with attachments to Leonard M. Nelson and Michael S. King, Trustees, at the address appearing at the top of this application.

The applicant represents that all information in this application is true and accurate.

Print or type name of Applicant Organization

By: _____

Print or type name of signing officer

Title of Officer: _____