Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , 2010, and ending ,

G Check all that apply: [ ] Initial return [ ] Initial Return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Name of foundation: RIFKIN FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address): 5642 COVENTRY LANE | Room/suite:

City or town: FORT WAYNE | State: IN | ZIP code: 46804

A Employer identification number: 31-1192429

B Telephone number (see the instructions): (260) 432-2233

C If exemption application is pending, check here ► [ ]

D 1 Foreign organizations, check here ► [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 8,415,914.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify) ____________
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc, received (att sch)	223,000.			
2 Ck ► [ ] if the foundn is **not** req to att Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	291,924.	269,027.	269,027.	
5a Gross rents				
b Net rental income or (loss)		L-6a Stmt		
6a Net gain/(loss) from sale of assets not on line 10	-82,754.			
b Gross sales price for all assets on line 6a 12,283,948.				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 **Total.** Add lines 1 through 11	432,170.	269,027.	269,027.	
OPERATING AND ADMINISTRATIVE EXPENSES				
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch)				
c Other prof fees (attach sch) L-16c Stmt	36,702.	36,702.	36,702.	
17 Interest				
18 Taxes (attach schedule)(see instr) See Line 18 Stmt	-3,146.			
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	256.			128.
22 Printing and publications				
23 Other expenses (attach schedule)				
24 **Total operating and administrative expenses.** Add lines 13 through 23	33,812.	36,702.	36,702.	128.
25 Contributions, gifts, grants paid	441,644.			441,644.
26 **Total expenses and disbursements.** Add lines 24 and 25	475,456.	36,702.	36,702.	441,772.
27 Subtract line 26 from line 12:				
a **Excess of revenue over expenses and disbursements**	-43,286.			
b **Net investment income** (if negative, enter -0-)		232,325.		
C **Adjusted net income** (if negative, enter -0-)			232,325.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)			
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) L-13 Stmt	8,468,528.	8,422,245.	8,385,914.
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe ► L-15 Stmt)	30,000.	30,000.	30,000.
	16 **Total assets** (to be completed by all filers – see instructions. Also, see page 1, item I)	8,498,528.	8,452,245.	8,415,914.
LIABILITIES	17 Accounts payable and accrued expenses	2,997.		
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	2,997.		
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► X and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	3,564,486.	3,312,030.	
	28 Paid-in or capital surplus, or land, building, and equipment fund	2,628,467.	2,920,391.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,302,578.	2,219,824.	
	30 **Total net assets or fund balances** (see the instructions)	8,495,531.	8,452,245.	
	31 **Total liabilities and net assets/fund balances** (see the instructions)	8,498,528.	8,452,245.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,495,531.
2 Enter amount from Part I, line 27a	2	-43,286.
3 Other increases not included in line 2 (itemize) ►	3	
4 Add lines 1, 2, and 3	4	8,452,245.
5 Decreases not included in line 2 (itemize) ►	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	8,452,245.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	UBS ACCOUNT 6092	P	Various	Various
b	UBS ACCOUNT 6094	P	Various	Various
c	UBS ACCOUNT 6096	P	Various	Various
d	77 SHARES HONEYWELL	P	12/30/08	01/22/10
e	See Columns (a) thru (d)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,737,303.		2,892,222.	-154,919.
b	834,532.		784,785.	49,747.
c	1,473,662.		1,419,922.	53,740.
d	3,311.		2,275.	1,036.
e	See Columns (e) thru (h)		7,267,498.	-32,358.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				-154,919.
b	0.	0.	0.	49,747.
c	0.	0.	0.	53,740.
d				1,036.
e	See Columns (i) thru (l)	0.	0.	-32,358.

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-82,754.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009	136,699.	8,007,651.	0.017071
2008	415,134.	7,450,389.	0.055720
2007	204,502.	7,621,474.	0.026832
2006	428,821.	7,698,678.	0.055701
2005	248,811.	7,012,581.	0.035481

2	**Total** of line 1, column (d)	2	0.190805
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.038161
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	8,245,852.
5	Multiply line 4 by line 3	5	314,670.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,323.
7	Add lines 5 and 6	7	316,993.
8	Enter qualifying distributions from Part XII, line 4	8	441,772.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary – see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b		1	2,323.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,323.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	2,323.
6 Credits/Payments			
a 2010 estimated tax pmts and 2009 overpayment credited to 2010	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 8,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	8,000.
8 Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached		8	63.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	5,614.
11 Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ► 2,324.	**Refunded** ►	11	3,290.

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ ________ **(2)** On foundation managers ► $ ________			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ________			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes.*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► IN - Indiana			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses.*	10		X

Part VII-A | Statements Regarding Activities *(Continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► JENNIFER L. WILSON Telephone no. ► (260) 432-2233

Located at ► 5642 COVENTRY LANE FORT WAYNE IN ZIP + 4 ► 46804-7140

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** –Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► | 15 |

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement –see the instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? 5b

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b X (No)

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DANIEL M. RIFKIN 5642 COVENTRY LANE FORT WAYNE IN 46804	TRUSTEE 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1-see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
0				
0				
0				
0				

Total number of other employees paid over \$50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ►	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a Average monthly fair market value of securities	1a	7,389,025.
b Average of monthly cash balances	1b	982,398.
c Fair market value of all other assets (see instructions)	1c	
d **Total** (add lines 1a, b, and c)	1d	8,371,423.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	8,371,423.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	125,571.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,245,852.
6 **Minimum investment return.** Enter 5% of line 5	6	412,293.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6			1	412,293.
2a Tax on investment income for 2010 from Part VI, line 5	2a	2,323.		
b Income tax for 2010. (This does not include the tax from Part VI)	2b			
c Add lines 2a and 2b			2c	2,323.
3 Distributable amount before adjustments. Subtract line 2c from line 1			3	409,970.
4 Recoveries of amounts treated as qualifying distributions			4	
5 Add lines 3 and 4			5	409,970.
6 Deduction from distributable amount (see instructions)			6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	409,970.

Part XII **Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a Expenses, contributions, gifts, etc –total from Part I, column (d), line 26.	1a	441,772.
b Program-related investments –total from Part IX-B.	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	441,772.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,323.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	439,449.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				409,970.
2 Undistributed income, if any, as of the end of 2010:				
a Enter amount for 2009 only			198,005.	
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2010:				
a From 2005 ... 0.				
b From 2006 ... 0.				
c From 2007 ... 0.				
d From 2008 ... 0.				
e From 2009 ... 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► $ 441,772.				
a Applied to 2009, but not more than line 2a			198,005.	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2010 distributable amount				243,767.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				166,203.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006 ... 0.				
b Excess from 2007 ... 0.				
c Excess from 2008 ... 0.				
d Excess from 2009 ... 0.				
e Excess from 2010 ... 0.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
BE A STAR FOUNDATION 1201 U S HIGHWAY 1 STE 350A NORTH PALM BEACH FL 33408		501(C)3	PEDIATRIC ONCOLOGY SUPPORT	5,000.
BLESSINGS IN A BACK PACK 111 EAST WAYNE ST STE 555 FORT WAYNE IN 46802		501(C)3	ASSISTANCE IN MEETING NUTRITIONAL NEEDS FOR CHILDREN	6,000.
CANTERBURY SCHOOL 3210 SMITH ROAD FORT WAYNE IN 46804		501(C)3	EDUCATION	2,000.
CONGREGATION ACHDUTH VESHOLOM 5200 OLD MILL ROAD FORT WAYNE IN 46807		501(C)3	RELIGIOUS	22,600.
CONGREGATION B'NAI JACOB 7227 BITTERSWEET MOORS DR FORT WAYNE IN 46814		501(C)3	RELIGIOUS	18,600.
ERIN'S HOUSE FOR CHILDREN 3811 ILLINOIS RD STE 205 FORT WAYNE IN 46804		501(C)3	BEREAVEMENT SUPPORT SERVICES	1,500.
FORT WAYNE CENTER FOR LEARNING 3310 MALLARD COVE LANE FORT WAYNE IN 46804		501(C)3	EDUCATION LEARNING DISADVANTAGED CHILDREN	263,350.
FRANCINE'S FRIENDS 709 CLAY ST #300 FORT WAYNE IN 46802		501(C)3	MAMMOGRAPHY SCREENING TO	1,000.
INDIANA GOLF FOUNDATION P O BOX 516 FRANKLIN IN 46131		501(C)3	JUNIOR GOLF ACADEMY	200.
See Line 3a statement				121,394.
Total			► 3a	441,644.
***b** Approved for future payment*				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	291,924.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-82,754.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				209,170.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 209,170.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See the instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a (1)		X
(2) Other assets	1a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b (2)		X
(3) Rental of facilities, equipment, or other assets	1b (3)		X
(4) Reimbursement arrangements	1b (4)		X
(5) Loans or loan guarantees	1b (5)		X
(6) Performance of services or membership or fundraising solicitations	1b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Daniel Rifkin — 8/9/2011 — TRUSTEE

Signature of officer or trustee — Date — Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check if ☐ if self-employed	PTIN

Firm's name ▶ — Firm's EIN ▶

Firm's address ▶ — Phone no.

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, 990-EZ, or 990-PF**

OMB No. 1545-0047

2010

Name of the organization
RIFKIN FAMILY FOUNDATION

Employer identification number
31-1192429

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)(____) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) $5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year . . . . ► $ ____________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: RIFKIN FAMILY FOUNDATION

Employer identification number: 31-1192429

Part I Contributors (see instructions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	DANIEL M RIFKIN 5642 COVENTRY LANE FORT WAYNE IN 46804	$ 213,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
2	ASSOCIATED MEDIA GROUP LLC 1620 26TH STREET STE 1060 SANTA MONICA CA 90404	$ 10,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Form 990-PF Part I, Line 6a | **Net Gain or Loss From Sale of Assets** | **2010**

Name: RIFKIN FAMILY FOUNDATION

Employer Identification Number: 31-1192429

Asset Information:

Description of Property: GOLDMAN SACHS HEDGE FUND OPPORTUNITIES
Date Acquired: Various How Acquired: Purchased
Date Sold: Various Name of Buyer:
Sales Price 932,185. Cost or other basis (do not reduce by depreciation) 1,000,000.
Sales Expense: Valuation Method:
Total Gain (Loss): -67,815. Accumulation Depreciation:

Description of Property: UBS INVESTMENT ACCOUNTS
Date Acquired Various How Acquired:
Date Sold: Various Name of Buyer:
Sales Price: 11,351,763. Cost or other basis (do not reduce by depreciation) 11,366,702.
Sales Expense: Valuation Method
Total Gain (Loss): -14,939. Accumulation Depreciation:

Description of Property:
Date Acquired: How Acquired:
Date Sold: Name of Buyer:
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method:
Total Gain (Loss): Accumulation Depreciation:

Description of Property:
Date Acquired: How Acquired:
Date Sold: Name of Buyer:
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method:
Total Gain (Loss): Accumulation Depreciation:

Description of Property:
Date Acquired: How Acquired:
Date Sold: Name of Buyer
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method:
Total Gain (Loss): Accumulation Depreciation:

Description of Property
Date Acquired: How Acquired:
Date Sold: Name of Buyer
Sales Price: Cost or other basis (do not reduce by depreciation).
Sales Expense: Valuation Method:
Total Gain (Loss): Accumulation Depreciation:

Description of Property:
Date Acquired: How Acquired:
Date Sold Name of Buyer:
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss): Accumulation Depreciation

Description of Property
Date Acquired: How Acquired:
Date Sold: Name of Buyer:
Sales Price: Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss): Accumulation Depreciation:

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
EXCISE TAX	1,345.			
REFUND EXCISE TAX	-5,000.			
FOREIGN TAX PAID	509.			
Total	-3,146.			

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	**(b)** How acquired P-Purchase D-Donation	**(c)** Date acquired (month, day, year)	**(d)** Date sold (month, day, year)
GOLDMAN SACHS HEDGE FUND OPPORTUNITIES	P	Various	Various
UBS ACCOUNT 6093	P	Various	Various
UBS ACCOUNT 6095	P	Various	Various
UBS ACCOUNT 6097	P	Various	Various

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (e) thru (h)

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
932,185.		1,000,000.	-67,815.
4,341,469.		4,357,745.	-16,276.
1,221,739.		1,203,313.	18,426.
739,747.		706,440.	33,307.
Total		7,267,498.	-32,358.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
(i) Fair Market Value as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of column (i) over column (j), if any	
			-67,815.
			-16,276.
0.	0.	0.	18,426.
0.	0.	0.	33,307.
Total	0.	0.	-32,358.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
INDIANA UNIVERSITY FOUNDATION P O BOX 2298 BLOOMINGTON IN 47402		501(C)3	EDUCATION	Person or Business X 5,400.
ITHACA COLLEGE 953 DANBY RD ITHACA NY 14850		501(C)3	MEN'S TENNIS FUND	Person or Business X 1,035.
JUNIOR ACHIEVEMENT OF NORTHERN INDIANA 601 NOBLE DRIVE FORT WAYNE IN 46825		501(C)3	EDUCATION SCHOLARSHIP FUND	Person or Business X 900.
THE LITERARY ALLIANCE 709 CLAY ST STE 100 FORT WAYNE IN 46802		501(C)3	ACADEMIC SERVICES	Person or Business X 5,000.
TURNSTONE CENTER 3320 NORTH CLINTON ST FORT WAYNE IN 46805		501(C)3	SERVICES FOR PEOPLE WITH PHYSICAL DISABILITIES	Person or Business X 1,000.
WFWA PBS-39 2501 EAST COLISEUM BLVD FORT WAYNE IN 46805		501(C)3	PUBLIC BROADCASTING	Person or Business X 403.
AVON FOUNDATION FOR WOMEN P.O. BOX 1073 RYE NY 10580-1073		501(C)3	SUPPORTS RESEARCH BREAST CANCER CURE	Person or Business X 300.
BIG BROTHERS BIG SISTERS 2439 FAIRFIELD AVENUE FORT WAYNE IN 46807		501(C)3	SUPPORTS CHILDREN IN COMMUNITY	Person or Business X 1,500.
CASA CHARITY GOLF OUTING 4531 LOWER HUNTINGTON RD FORT WAYNE IN 46809		501(C)3	PROVIDES LEGAL SERVICES ASSIST ABUSED & NEGLECTED CHILDREN	Person or Business X 500.
EASTER SEALS UNITED CEREBRAL PALSY 5171 GLENWOOD AVE STE 400 RALEIGH NC 27612-3266		501(C)3	REHABILITATIVE PROGRAMS FOR DISABLED	Person or Business X 250.
FORT WAYNE COUNTRY CLUB GOLF CHARITIES DAY 5221 COVINGTON ROAD FORT WAYNE IN 46804		501(C)3	CADDIE AND JUNIOR GOLF PROGRAMS	Person or Business X 200.
FORT WAYNE JEWISH FEDERATION 227 E. WASHINGTON BLVD FORT WAYNE IN 46802		501(C)3	RELIGIOUS	Person or Business X 90,000.
FORT WAYNE SPORTS FOUNDATION P.O. BOX 12445 FORT WAYNE IN 46863		501(C)3	PROVIDES SPORTS ACTIVITIES FOR YOUTH	Person or Business X 8,656.
INDIANA JEWISH HISTORICAL ASSOCIATION 6301 CONSTITUTION DRIVE FORT WAYNE IN 46804		501(C)3	RELIGIOUS EDUCATION	Person or Business X 5,000.
IPFW FOUNDATION 2101 COLISEUM BLVD FORT WAYNE IN 46805		501(C)3	COLLEGIATE ATHLETICS	Person or Business X 500.

Form 990-PF, Page 11, Part XV, line 3a Continued
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
IU DANCE MARATHON & RILEY HOSPITAL FOR C 4600 N. WASHINGTON RD. FORT WAYNE IN 46804		501(C)3	MEDICAL SERVICES FOR CHILDREN	Person or [ ] Business [X] 100.
MACULAR DEGENERATION RESEARCH 22512 GATEWAY CENTER DR CLARKSBURG MD 20871		501(C)3	MEDICAL RESEARCH MACULAR DEGENERATION	Person or [ ] Business [X] 50.
NATIONAL MULTIPLE SCLEROSIS SOCIETY P.O. BOX 4594 NEW YORK NY 10163-4594		501(C)3	MEDICAL RESEARCH MULTIPLE SCLEROSIS	Person or [ ] Business [X] 300.
SNIDER HIGH SCHOOL 4600 FAIRLAWN PASS FORT WAYNE IN 46815		501(C)3	HIGH SCHOOL ATHLETICS PROGRAM	Person or [ ] Business [X] 300.
Total				121,394.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UBS	INVESTMENT FUND MGR FE	36,702.	36,702.		
Total		36,702.	36,702.		

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	Fair Market Value
GOLDMAN SACHS ACCT 302-9	0.	0.
UBS ACCT 092	2,541,279.	2,579,323.
UBS ACCT 093	2,724,725.	2,666,686.
UBS ACCT 094	481,307.	475,798.
UBS ACCT 095	1,033,939.	1,033,939.
UBS ACCT 096	896,008.	886,182.
UBS ACCT 097	744,987.	743,986.
UBS ACCT 098	0.	0.
Total	8,422,245.	8,385,914.

Form 990-PF, Page 2, Part II, Line 15
Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year Book Value	End of Year Fair Market Value
STATE OF ISRAEL BONDS	30,000.	30,000.	30,000.
Total	30,000.	30,000.	30,000.

Supporting Statement of:

Form 990-PF, p1/Line 1(a)

Description	Amount
DANIEL M RIFKIN	213,000.
ASSOCIATD MEDIA GROUP	10,000.
Total	223,000.

Supporting Statement of:

Form 990-PF, p2/Line 17(a)

Description	Amount
MARGIN LOAN UBS	2,997.
Total	2,997.

UBS Financial Services Inc.

2010 Consolidated Form 1099

C12L358237-X

Activity	Security Description	Cusip number	* Days held	* Quantity/ Face amount	Payment Date	* Amortized acquisition premium	Amount
							$

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss	$ 105,722 03	$ 120,633.69	$ 16,329.81	$ (1,418.15)	$ 14,911.66
Long-term Gain/Loss:	2,786,500.54	2,616,669.65	105,286.57	(275,117 46)	(169,830.89)
Sub Total:	**$ 2,892,222.57**	**$ 2,737,303.34**	**$ 121,616.38**	**$ (276,535.61)**	**$ (154,919.23)**
Total:	**$ 2,892,222.57**	**$ 2,737,303.34**	**$ 121,616.38**	**$ (276,535.61)**	**$ (154,919.23)**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BROADCOM CORP CL A	Trade	266 000		06/09/09	02/16/10	7,320.71	7,281.14	39 57	1	
	Trade	134 000		07/24/09	02/16/10	3,687.87	3,532.74	155 13	1	
	Sub-Total:	**400.000**				**11,008.58**	**10,813.88**	**194.70**		

Continued on page 10

UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12L358238-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALL BROADCOM CORP CL A DUE 01/16/10 30.000 330523	Expired	(4.000)		01/19/10	11/25/09	467.40		467.40		
CALL FOSTER WHEELER AG DUE 01/16/10 33.000 1269S6	Expired	(2.000)		01/19/10	09/18/09	884.72		884.72		
CALL ST JUDE MEDICAL INC DUE 01/16/10 40.000 407453	Expired	(3.000)		01/19/10	09/21/09	589.73		589.73		
CALL TRINITY INDUSTRIES DUE 01/16/10 20.000 462918	Expired	(10.000)		01/19/10	09/22/09	1,394.71		1,394.71		
CHECK POINT SOFTWARE TECH LTD ILS	Trade	23.000		04/22/09	01/15/10	627.74	581.20	46.54 1		
	Trade	67.000		04/23/09	01/15/10	1,828.65	1,653.57	175.08 1		
	Trade	21.000		04/27/09	01/15/10	573.16	498.26	74.90 1		
	Trade	66.000		04/27/09	01/15/10	1,801.36	1,579.87	221.49 1		
	Trade	23.000		05/01/09	01/15/10	627.74	537.09	90.65 1		
	Sub-Total:	**200.000**				**5,458.65**	**4,849.99**	**608.66**		
COVIDIEN PLC	Trade	37.000		01/21/09	01/15/10	1,570.53	1,356.38	214.15 1		
	Trade	24.000		01/22/09	01/15/10	1,018.72	889.27	129.45 1		
	Trade	48.000		03/03/09	01/15/10	2,037.44	1,474.83	562.61 1		
	Sub-Total:	**109.000**				**4,626.69**	**3,720.48**	**906.21**		
DOMINION RESOURCES INC VA (NEW)	Trade	111.000		02/10/09	01/15/10	3,945.80	3,939.80	6.00 1		
	Trade	26.000		02/11/09	01/15/10	924.23	918.58	5.65 1		
	Trade	60.000		02/17/09	01/15/10	2,132.86	2,026.23	106.63 1		
	Trade	103.000		04/16/09	01/15/10	3,661.42	3,113.97	547.45 1		
	Sub-Total:	**300.000**				**10,664.31**	**9,998.58**	**665.73**		
DOUGLAS EMMETT INC	Trade	18.000		02/02/09	01/06/10	255.91	172.60	83.31 1		
	Trade	60.000		03/31/09	01/06/10	853.03	436.73	416.30 1		
	Trade	22.000		05/19/09	01/06/10	312.78	210.63	102.15 1		
	Sub-Total:	**100.000**				**1,421.72**	**819.96**	**601.76**		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
DUKE REALTY CORP NEW REITS	Trade	152.000		04/16/09	01/15/10	2,034.20	1,346.06	688.14 1		
	Trade	48.000		04/30/09	01/15/10	642.38	480.67	161.71 1		
	Sub-Total:	**200.000**				**2,676.58**	**1,826.73**	**849.85**		
EQUITY RESIDENTIAL SBI	Trade	21.000		05/11/09	01/15/10	698.18	484.50	213.68 1		
	Trade	72.000		07/31/09	01/15/10	2,393.77	1,726.21	667.56 1		
	Trade	19 000		08/06/09	01/15/10	631.68	545.09	86.59 1		
	Sub-Total:	**112.000**				**3,723.63**	**2,755.80**	**967.83**		
FOSTER WHEELER AG CHF	Trade	69.000		04/27/09	02/19/10	1,978.00	1,544.27	433.73 1		
GOLDMAN SACHS HIGH YIELD FUND I	Trade	260.652		08/31/09	01/28/10	1,819.32	1,678.60	140.72		Y
	Trade	250.799		09/30/09	01/28/10	1,750.54	1,692.89	57.65		Y
	Trade	272.362		10/30/09	01/28/10	1,901.05	1,857.51	43.54		Y
	Trade	279.738		11/30/09	01/28/10	1,952.53	1,907.81	44.72		Y
	Trade	371.725		12/31/09	01/28/10	2,594 59	2,583.49	11.10		Y
	Sub-Total:	**1,435.276**				**10,018.03**	**9,720.30**	**297.73**		
GOLDMAN SACHS INTL REAL ESTATE SECURITIES FUND A	Trade	3,770.457		12/14/09	01/28/10	21,566.42	22,509.63	(943.21)		Y
HCP INC	Trade	10.000		02/02/09	01/15/10	297.93	230.60	67.33 1		
	Trade	20.000		02/09/09	01/15/10	595.86	506.03	89.83 1		
	Trade	26.000		05/11/09	01/15/10	774.61	570.65	203.96 1		
	Trade	13.000		05/19/09	01/15/10	387.31	291.76	95.55 1		
	Sub-Total:	**69.000**				**2,055.71**	**1,599.04**	**456.67**		
INTL BUSINESS MACH	Trade	46.000		01/16/09	01/15/10	5,643.48	3,847.50	1,795.98 1		
JOHNSON CONTROLS INC	Trade	108.000		05/08/09	01/15/10	3,034.08	2,194.24	839.84 1		
	Trade	92.000		08/05/09	01/15/10	2,584.58	2,442.93	141.65 1		
	Sub-Total:	**200.000**				**5,618.66**	**4,637.17**	**981.49**		
ST JUDE MEDICAL INC	Trade	77.000		07/22/09	02/19/10	2,890.63	2,847.80	42.83 1		
STATE STREET CORP	Trade	200.000		05/19/09	01/15/10	8,311.84	8,702.04	(390.20) 1		
TRINITY INDUSTRIES INC	Trade	20.000		03/23/09	03/19/10	313.13	187.60	125.53 1		

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TRINITY INDUSTRIES INC	Trade	355.000		03/24/09	03/19/10	5,558.23	3,543.33	2,014.90 1		
	Trade	30.000		04/06/09	03/19/10	469.70	316.98	152.72 1		
	Trade	10.000		07/15/09	03/19/10	156.56	145.10	11.46 1		
	Sub-Total:	**415.000**				**6,497.62**	**4,193.01**	**2,304.61**		
USEC INC	Trade	425.000		03/24/09	03/05/10	2,224.36	2,246.04	(21.68) 1		Y
	Trade	275.000		04/06/09	03/05/10	1,439.29	1,491.49	(52.20) 1		Y
	Trade	40.000		05/15/09	03/05/10	209.35	180.71	28.64 1		Y
	Trade	230.000		06/18/09	03/05/10	1,203.77	1,214.63	(10.86) 1		Y
	Trade	545.000		08/03/09	03/05/10	2,852.42	2,322.63	529.79 1		Y
	Sub-Total:	**1,515.000**				**7,929.19**	**7,455.50**	**473.69**		
YAHOO INC	Trade	20.000		02/24/09	01/15/10	347.16	251.04	96.12 1		
	Trade	60.000		02/26/09	01/15/10	1,041.48	788.46	253.02 1		
	Trade	79.000		02/26/09	01/15/10	1,371.28	1,032.02	339.26 1		
	Trade	62.000		02/27/09	01/15/10	1,076.19	813.60	262.59 1		
	Trade	79.000		03/02/09	01/15/10	1,371.28	995.23	376.05 1		
	Sub-Total:	**300.000**				**5,207.39**	**3,880.35**	**1,327.04**		
Total Short-Term Gain/Loss						**120,633.69**	**105,722.03**	**14,911.66**		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AVERY DENNISON CORP	Trade	36.000		07/30/08	01/15/10	1,324.95	1,594.69	(269.74) 1		
	Trade	56.000		08/01/08	01/15/10	2,061.03	2,475.45	(414.42) 1		
	Trade	22.000		09/26/08	01/15/10	809.68	975.26	(165.58) 1		
	Trade	59.000		10/03/08	01/15/10	2,171.44	2,541.66	(370.22) 1		
	Trade	27.000		10/22/08	01/15/10	993.71	886.13	107.58 1		
	Sub-Total:	**200.000**				**7,360.81**	**8,473.19**	**(1,112.38)**		
CAISSE D AMORTISSEMENT 05.375% 071712 DTD071707 FC071708 ET	Trade	100,000.000		08/01/08	12/21/10	106,566.38	104,480.62	2,085.76 1		Y
	Trade	100,000.000		11/07/08	12/21/10	106,566.38	105,708.37	858.01 1		Y
	Sub-Total:	**200,000.000**				**213,132.76**	**210,188.99**	**2,943.77**		

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UBS Financial Services Inc.

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss		Remarks	Matches 1099-B
COACH INC	Trade	70.000		07/30/08	01/15/10	2,446.27	1,822.85	623.42	1		
	Trade	130.000		09/26/08	01/15/10	4,543.09	3,257.80	1,285.29	1		
	Sub-Total:	**200.000**				**6,989.36**	**5,080.65**	**1,908.71**			
CONAGRA FOOD INC	Trade	316.000		05/29/08	02/19/10	7,077.30	7,356.48	(279.18)	1		
	Trade	45.000		06/27/08	02/19/10	1,007.83	907.26	100.57	1		
	Trade	39.000		09/26/08	02/19/10	873.45	762.01	111.44	1		
	Sub-Total:	**400.000**				**8,958.58**	**9,025.75**	**(67.17)**			
COVIDIEN PLC	Trade	91.000		12/15/08	01/15/10	3,862.65	3,144.08	718.57	1		
DELL INC	Trade	345.000		10/09/08	01/15/10	4,995.26	4,700.62	294.64	1		
	Trade	155.000		10/10/08	01/15/10	2,244.23	2,050.06	194.17	1		
	Sub-Total:	**500.000**				**7,239.49**	**6,750.68**	**488.81**			
EKSPORTFINANS ASA RDMPTN LNK TO S&P HOME BUILDERS INDUSTRY INDEX	Redemption	70.000		08/04/08	09/21/10	70,000.00	70,000.00		1		Y
EQUITY RESIDENTIAL SBI	Trade	38.000		09/26/08	01/15/10	1,263.38	1,653.00	(389.62)	1		
	Trade	17.000		12/02/08	01/15/10	565.19	465.48	99.71	1		
	Trade	14.000		12/04/08	01/15/10	465.45	407.71	57.74	1		
	Trade	10.000		12/19/08	01/15/10	332.46	294.60	37.86	1		
	Trade	9.000		12/19/08	01/15/10	299.21	259.85	39.36	1		
	Sub-Total:	**88.000**				**2,925.69**	**3,080.64**	**(154.95)**			
FED HOME LOAN BANK 05.250 % DUE 061814 DTD 052704 FC 12182004	Trade	150,000.000		06/19/08	12/21/10	168,833.81	155,973.00	12,860.81	1		Y
FIRSTENERGY CORP	Trade	135.000		05/29/08	01/15/10	6,432.31	10,771.98	(4,339.67)	1		
	Trade	65.000		09/26/08	01/15/10	3,097.03	4,417.40	(1,320.37)	1		
	Sub-Total:	**200.000**				**9,529.34**	**15,189.38**	**(5,660.04)**			
FNMA - CALL NTS 05.000 % DUE 041515 DTD 033005 FC 04152005	Trade	200,000.000		07/03/08	12/21/10	223,869.75	206,720.00	17,149.75	1		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FOSTER WHEELER AG CHF	Trade	5.000		05/29/08	02/19/10	143.32	369.88	(226.56) 1		
	Trade	48.000		09/26/08	02/19/10	1,375.99	1,778.44	(402.45) 1		
	Trade	43.000		10/30/08	02/19/10	1,232.66	1,150.72	81.94 1		
	Trade	35.000		10/31/08	02/19/10	1,003.33	947.81	55.52 1		
	Sub-Total:	**131.000**				**3,755.30**	**4,246.85**	**(491.55)**		
FREDDIE MAC NTS 05.125 % DUE 101816 DTD 101306 FC 04182007	Trade	200,000.000		06/20/08	12/21/10	225,213.00	205,050.00	20,163.00 1		Y
FREDDIE MAC NTS 04.625 % DUE 102512 DTD 090707 FC 04252008	Trade	200,000.000		06/19/08	12/21/10	212,943.19	203,018.00	9,925.19 1		Y
GOLDMAN SACHS GROUP INC LKD TO MSCI INDEX	Redemption	130.000		05/30/08	06/14/10	92,013.00	130,000.00	(37,987.00) 1		Y
GOLDMAN SACHS GROUP INC LKD TO S&P 500 INDEX	Redemption	265.000		05/30/08	06/14/10	228,890.95	265,000.00	(36,109.05) 1		Y
GOLDMAN SACHS EMERGING MARKET FUND CLASS A	Trade	5,880.047		05/28/08	01/28/10	81,140.86	150,000.00	(68,859.14) 1		Y
	Trade	2,256.784		12/12/08	01/28/10	31,142.16	18,392.79	12,749.37 1		Y
	Sub-Total:	**8,136.831**				**112,283.02**	**168,392.79**	**(56,109.77)**		
GOLDMAN SACHS HIGH YIELD FUND I	Trade	30,232.083		05/28/08	01/28/10	211,015.98	225,000.00	(13,984.02) 1		Y
	Trade	195.658		06/30/08	01/28/10	1,365.67	1,393.44	(27.77) 1		Y
	Trade	208.400		07/31/08	01/28/10	1,454.60	1,450.79	3.81 1		Y
	Trade	214.542		08/29/08	01/28/10	1,497.48	1,491.41	6.07 1		Y
	Trade	7,540.261		09/25/08	01/28/10	52,630.03	49,999.99	2,630.04 1		Y
	Trade	236.180		09/30/08	01/28/10	1,648.51	1,514.07	134.44 1		Y
	Sub-Total:	**38,627.124**				**269,612.27**	**280,849.70**	**(11,237.43)**		
GOLDMAN SACHS INTL REAL ESTATE SECURITIES FUND A	Trade	18,756.170		05/28/08	01/28/10	107,282.32	190,000.00	(82,717.68) 1		Y
	Trade	195.287		06/27/08	01/28/10	1,117.01	1,706.81	(589.80) 1		Y
	Trade	10,400.000		09/25/08	01/28/10	59,486.35	78,000.00	(18,513.65) 1		Y
	Sub-Total:	**29,351.457**				**167,885.68**	**269,706.81**	**(101,821.13)**		
HCP INC	Trade	13.000		05/29/08	01/15/10	387.31	447.07	(59.76) 1		

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

2010 Consolidated Form 1099

.C12L358243-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
HCP INC	Trade	9.000		05/30/08	01/15/10	268.13	306.23	(38.10) 1		
	Trade	40.000		09/26/08	01/15/10	1,191.72	1,482.80	(291.08) 1		
	Trade	13.000		10/08/08	01/15/10	387.31	416.85	(29.54) 1		
	Trade	22.000		12/02/08	01/15/10	655.45	398.41	257.04 1		
	Trade	15.000		12/03/08	01/15/10	446.89	280.88	166.01 1		
	Trade	14.000		12/22/08	01/15/10	417.10	355.80	61.30 1		
	Trade	5.000		01/09/09	01/15/10	148.96	115.06	33.90 1		
	Sub-Total:	**131.000**				**3,902.87**	**3,803.10**	**99.77**		
HONEYWELL INTL INC	Trade	96.000		09/18/08	01/15/10	3,959.69	4,178.85	(219.16) 1		
	Trade	30.000		09/26/08	01/15/10	1,237.40	1,285.20	(47.80) 1		
	Trade	74.000		12/30/08	01/15/10	3,052.26	2,377.74	674.52 1		
	Sub-Total:	**200.000**				**8,249.35**	**7,841.79**	**407.56**		
ILLUMINA INC	Trade	22.000		09/15/08	03/05/10	843.83	889.75	(45.92) 1		Y
	Trade	18.000		09/16/08	03/05/10	690.41	728.53	(38.12) 1		Y
	Trade	56.000		09/18/08	03/05/10	2,147.93	2,184.99	(37.06) 1		Y
	Trade	52.000		09/19/08	03/05/10	1,994.51	2,229.81	(235.30) 1		Y
	Trade	53.000		09/26/08	03/05/10	2,032.86	2,190.87	(158.01) 1		Y
	Sub-Total:	**201.000**				**7,709.54**	**8,223.95**	**(514.41)**		
INTL BUSINESS MACH	Trade	19.000		09/25/08	01/15/10	2,330.99	2,297.52	33.47 1		
	Trade	12.000		09/26/08	01/15/10	1,472.21	1,425.00	47.21 1		
	Trade	23.000		01/12/09	01/15/10	2,821.73	1,978.47	843.26 1		
	Sub-Total:	**54.000**				**6,624.93**	**5,700.99**	**923.94**		
KREDIT FUR WEIDERAUFBAU 05.125% 031416 DTD031406 FC091406 NTS	Trade	100,000.000		08/13/08	12/21/10	112,329.75	105,410 00	6,919.75 1		Y
KREDITANSTALT FUR WIED 05.125% 032813 DTD041206 FC032807 MED TERM NTS	Trade	200,000.000		06/18/08	12/21/10	217,178.75	205,977.84	11,200.91 1		Y
ST JUDE MEDICAL INC	Trade	134.000		12/22/08	02/19/10	5,030.45	4,325.82	704.63 1		
	Trade	89.000		02/10/09	02/19/10	3,341.11	3,235 11	106.00 1		
	Sub-Total:	**223.000**				**8,371.56**	**7,560.93**	**810.63**		

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UBS Financial Services Inc.

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C12L358244-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SWEDISH EXP CRED NTS 04.000% 061510 DTD012705 FC061505 SER C B/E	Redemption	200,000.000		06/18/08	06/15/10	200,000.00	201,516.00	(1,516.00)1		Y
TRINITY INDUSTRIES INC	Trade	300.000		09/26/08	03/02/10	4,642.11	8,986.99	(4,344.88)1		
	Trade	25.000		09/30/08	03/02/10	387.27	635.07	(247.80)1		
	Trade	75.000		10/03/08	03/02/10	1,161.83	1,618.02	(456.19)1		
	Trade	60.000		10/03/08	03/19/10	939.42	1,294.42	(355.00)1		
	Trade	10.000		11/03/08	03/19/10	156.56	177.67	(21.11)1		
	Trade	55.000		11/25/08	03/19/10	861.13	683.30	177.83 1		
	Trade	15.000		12/02/08	03/19/10	234.85	195.48	39.37 1		
	Trade	45.000		12/18/08	03/19/10	704.56	715.27	(10.71)1		
	Sub-Total:	**585.000**				**9,087.73**	**14,306.22**	**(5,218.49)**		
UNITEDHEALTH GROUP INC	Trade	110.000		07/15/08	01/15/10	3,317.80	2,358.22	959.58 1		
	Trade	90.000		09/26/08	01/15/10	2,714.55	2,310.67	403.88 1		
	Sub-Total:	**200.000**				**6,032.35**	**4,668.89**	**1,363.46**		
USEC INC	Trade	115.000		09/26/08	03/05/10	601.89	599.55	2.34 1		Y
	Trade	85.000		11/25/08	03/05/10	444.87	273.19	171.68 1		Y
	Trade	160.000		12/31/08	03/05/10	837.41	727.58	109.83 1		Y
	Sub-Total:	**360.000**				**1,884.17**	**1,600.32**	**283.85**		
Total Long-Term Gain/Loss						**2,616,669.65**	**2,786,500.54**	**(169,830.89)**		

Your Financial Advisor or Contact
DAVID KINNEAR
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UBS Financial Services Inc.

2010 Consolidated Form 1099

C12G409674-X

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 701,394.42	$ 727,143.53	$ 33,114.48	$ (7,365.37)	$ 25,749.11
Long-term Gain/Loss:	83,390.50	107,388.21	27,431.10	(3,433.39)	23,997.71
Sub Total:	**$ 784,784.92**	**$ 834,531.74**	**$ 60,545.58**	**$ (10,798.76)**	**$ 49,746.82**
Total:	**$ 784,784.92**	**$ 834,531.74**	**$ 60,545.58**	**$ (10,798.76)**	**$ 49,746.82**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS	Trade	45.000		03/03/10	10/28/10	2,323.31	2,433.95	(110.64)		Y
ACCENTURE PLC IRELAND	Trade	80.000		03/03/10	10/28/10	3,591.26	3,257.91	333.35		Y
CL A	Trade	120.000		03/03/10	12/20/10	5,877.88	4,886.87	991.01		Y
	Sub-Total:	**200.000**				**9,469.14**	**8,144.78**	**1,324.36**		
ALLERGAN INC	Trade	65.000		03/03/10	10/28/10	4,730.72	3,883.00	847.72		Y
	Trade	85.000		03/03/10	12/20/10	5,990.69	5,077.76	912.93		Y
	Sub-Total:	**150.000**				**10,721.41**	**8,960.76**	**1,760.65**		

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UBS Financial Services Inc.

2010 Consolidated Form 1099

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C12G409675-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ALTRIA GROUP INC	Trade	2,000.000		01/26/10	09/02/10	2,634.78	2,502.72	132.06		Y
09.700% 111018 DTD111008	Trade	37,000.000		01/26/10	09/02/10	48,743.43	46,538.97	2,204.46		Y
FC051009	Trade	31,000.000		01/26/10	09/02/10	40,839.09	38,906.24	1,932.85		Y
	Sub-Total:	**70,000.000**				**92,217.30**	**87,947.93**	**4,269.37**		
AMERIPRISE FINANCIAL INC	Trade	85.000		10/28/10	12/20/10	4,664.89	4,514.35	150.54		Y
AMGEN INC	Trade	50.000		03/03/10	10/28/10	2,867.45	2,846.85	20.60		Y
APOLLO GROUP INC CL A	Trade	100.000		03/03/10	10/28/10	3,765.18	6,100.80	(2,335.62)		Y
APPLIED MATERIALS INC	Trade	350.000		03/03/10	10/28/10	4,277.00	4,342.45	(65.45)		Y
AUTOLIV INC $0.20	Trade	45.000		10/28/10	12/20/10	3,654.38	3,194.00	460.38		Y
AVON PRODUCTS INC	Trade	55.000		03/03/10	10/28/10	1,699.49	1,708.69	(9.20)		Y
BANK OF NEW YORK MELLON CORP	Trade	50.000		03/03/10	10/28/10	1,261.05	1,435.92	(174.87)		Y
BAXTER INTL INC	Trade	60.000		10/28/10	12/20/10	3,059.34	3,067.70	(8.36)		Y
CELGENE CORP	Trade	50.000		03/03/10	12/20/10	2,955.63	3,062.82	(107.19)		Y
CHEVRON CORP	Trade	50.000		10/28/10	12/20/10	4,470.42	4,231.46	238.96		Y
CHURCH & DWIGHT CO INC	Trade	35.000		03/03/10	10/28/10	2,326.43	2,338.32	(11.89)		Y
	Trade	65.000		03/03/10	12/20/10	4,518.72	4,342.60	176.12		Y
	Sub-Total:	**100.000**				**6,845.15**	**6,680.92**	**164.23**		
CISCO SYSTEMS INC	Trade	110.000		03/03/10	10/28/10	2,558.64	2,735.51	(176.87)		Y
COCA COLA CO COM	Trade	75.000		10/28/10	12/20/10	4,908.81	4,592.14	316.67		Y
COGNIZANT TECH SOLUTIONS CRP	Trade	100.000		03/03/10	12/20/10	7,249.96	4,980.75	2,269.21		Y
CONCHO RESOURCES INC	Trade	30.000		10/28/10	12/20/10	2,579.65	2,051.25	528.40		Y

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CVS CAREMARK CORP	Trade	150.000		03/03/10	10/28/10	4,612.58	5,218.15	(605.57)		Y
DANAHER CORP	Trade	100.000		03/03/10	12/20/10	4,670.07	3,768.95	901.12		Y
EMC CORP MASS	Trade	150.000		03/03/10	12/20/10	3,429.24	2,636.76	792.48		Y
EXPEDITORS INTL WASH INC	Trade	55.000		03/03/10	10/28/10	2,691.66	1,991.54	700.12		Y
	Trade	95.000		03/03/10	12/20/10	5,299.01	3,439.94	1,859.07		Y
	Sub-Total:	**150.000**				**7,990.67**	**5,431.48**	**2,559.19**		
EXXON MOBIL CORP	Trade	45.000		03/03/10	10/28/10	2,987.58	2,954.57	33.01		Y
	Trade	55.000		03/03/10	12/20/10	3,978.74	3,611.14	367.60		Y
	Sub-Total:	**100.000**				**6,966.32**	**6,565.71**	**400.61**		
FLUOR CORP NEW	Trade	50.000		03/03/10	12/20/10	3,193.94	2,168.40	1,025.54		Y
FREEPORT-MCMORAN COPPER & GOLD INC	Trade	5.000		03/03/10	10/28/10	480.44	397.63	82.81		Y
	Trade	45.000		03/03/10	12/20/10	5,149.71	3,578.69	1,571.02		Y
	Sub-Total:	**50.000**				**5,630.15**	**3,976.32**	**1,653.83**		
ISHARES BARCLAYS 1-3 YR CD BD FD	Trade	866.000		12/31/09	01/26/10	90,418.62	90,040.96	377.66		Y
	Trade	2,766.000		12/31/09	03/03/10	288,564.55	287,590.40	974.15		Y
	Sub-Total:	**3,632.000**				**378,983.17**	**377,631.36**	**1,351.81**		
MARVELL TECHNOLOGY GROUP LTD	Trade	195.000		03/03/10	10/28/10	3,750.76	3,893.57	(142.81)		Y
MEMC ELECTRONIC MATERIALS INC	Trade	70.000		03/03/10	10/28/10	891.22	865.09	26.13		Y
MICROSOFT CORP	Trade	250.000		03/03/10	12/20/10	6,980.62	7,126.75	(146.13)		Y
MOLSON COORS BREWING CO CL B	Trade	65.000		03/03/10	10/28/10	3,025.79	2,682.36	343.43		Y
	Trade	135.000		03/03/10	12/20/10	6,853.94	5,571.05	1,282.89		Y
	Sub-Total:	**200.000**				**9,879.73**	**8,253.41**	**1,626.32**		
MONSANTO CO NEW	Trade	50.000		03/03/10	10/28/10	2,949.28	3,674.90	(725.62)		Y

Continued on page 17

Your Financial Advisor or Contact
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UBS Financial Services Inc.

2010 Consolidated Form 1099

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NOBLE CORP CHF	Trade	100.000		03/03/10	10/28/10	3,425.02	4,414.80	(989.78)		Y
ORACLE CORP	Trade	250.000		03/03/10	12/20/10	7,977.56	6,133.25	1,844.31		Y
PEPSICO INC	Trade	25.000		03/03/10	10/28/10	1,621.01	1,602.66	18.35		Y
	Trade	75.000		03/03/10	12/20/10	4,967.31	4,807.99	159.32		Y
	Sub-Total:	**100.000**				**6,588.32**	**6,410.65**	**177.67**		
PHILIP MORRIS INTL INC	Trade	50.000		03/03/10	12/20/10	2,978.95	2,504.40	474.55		Y
PRECISION CASTPARTS CORP	Trade	15.000		03/03/10	10/28/10	2,058.71	1,749.35	309.36		Y
	Trade	35.000		03/03/10	12/20/10	4,936.59	4,081.83	854.76		Y
	Sub-Total:	**50.000**				**6,995.30**	**5,831.18**	**1,164.12**		
PROCTER & GAMBLE CO	Trade	100.000		03/03/10	12/20/10	6,514.05	6,357.80	156.25		Y
PRUDENTIAL FINANCIAL INC	Trade	35.000		03/03/10	10/28/10	1,863.36	1,852.42	10.94		Y
	Trade	65.000		03/03/10	12/20/10	3,797.23	3,440.20	357.03		Y
	Sub-Total:	**100.000**				**5,660.59**	**5,292.62**	**367.97**		
QUALCOMM INC	Trade	100.000		03/03/10	12/20/10	4,963.16	3,860.75	1,102.41		Y
RESEARCH IN MOTION LTD CAD	Trade	50.000		03/03/10	10/28/10	2,771.60	3,538.85	(767.25)		Y
SOWSTN ENERGY CO	Trade	65.000		03/03/10	10/28/10	2,167.84	2,763.70	(595.86)		Y
STATE STREET CORP	Trade	100.000		03/03/10	10/28/10	4,144 08	4,492.80	(348.72)		Y
SYMANTEC CORP	Trade	220.000		03/03/10	10/28/10	3,625.97	3,669.51	(43.54)		Y
	Trade	280.000		03/03/10	12/20/10	4,713.16	4,670.29	42.87		Y
	Sub-Total:	**500.000**				**8,339.13**	**8,339.80**	**(.67)**		
TECHNOLOGY SECTOR SPDR TRUST SHS	Trade	265.000		10/28/10	12/20/10	6,662.19	6,439 24	222.95		Y
THERMO FISHER SCIENTIFIC INC	Trade	50.000		03/03/10	12/20/10	2,779.05	2,454.80	324.25		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
UNION PACIFIC CORP	Trade	150.000		03/03/10	07/14/10	10,889.81	10,109.75	780.06		Y
	Trade	80.000		10/28/10	12/20/10	7,284.67	6,867.82	416.85		Y
	Sub-Total:	**230.000**				**18,174.48**	**16,977.57**	**1,196.91**		
WAL MART STORES INC	Trade	50.000		03/03/10	12/20/10	2,689.45	2,686.33	3.12		Y
WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade	120.000		03/03/10	10/28/10	4,369.22	3,797.64	571.58		Y
	Trade	180.000		03/03/10	12/20/10	6,712.44	5,696.46	1,015.98		Y
	Sub-Total:	**300.000**				**11,081.66**	**9,494.10**	**1,587.56**		
YUM! BRANDS INC	Trade	50.000		03/03/10	10/28/10	2,455.01	1,700.83	754.18		Y
	Trade	100.000		03/03/10	12/20/10	4,964.11	3,401.65	1,562.46		Y
	Sub-Total:	**150.000**				**7,419.12**	**5,102.48**	**2,316.64**		
3M CO	Trade	10.000		03/03/10	10/28/10	849.69	811.08	38.61		Y
	Trade	40.000		03/03/10	12/20/10	3,486.34	3,244.34	242.00		Y
	Sub-Total:	**50.000**				**4,336.03**	**4,055.42**	**280.61**		
Total Short-Term Gain/Loss						**727,143.53**	**701,394.42**	**25,749.11**		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
APPLE INC	Trade	4.000		07/10/09	12/20/10	1,291.50	553.06	738.44 1		Y
	Trade	27.000		08/10/09	12/20/10	8,717.61	4,459.74	4,257.87 1		Y
	Sub-Total:	**31.000**				**10,009.11**	**5,012.80**	**4,996.31**		
BANK OF NEW YORK MELLON CORP	Trade	51.000		09/26/08	10/28/10	1,286.28	1,744.21	(457.93) 1		Y
CELGENE CORP	Trade	16.000		05/14/09	10/28/10	982.58	651.26	331.32 1		Y
	Trade	3.000		05/14/09	10/28/10	184.23	122.44	61.79 1		Y
	Trade	26.000		05/14/09	10/28/10	1,596.69	1,056.38	540.31 1		Y
	Trade	9.000		05/14/09	12/20/10	532.01	366.34	165.67 1		Y
	Sub-Total:	**54.000**				**3,295.51**	**2,196.42**	**1,099.09**		

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UBS Financial Services Inc.

2010 Consolidated Form 1099

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CHESAPEAKE ENERGY CORP OKLA	Trade	31.000		08/04/09	10/28/10	659.69	721.03	(61.34) 1		Y
	Trade	47.000		08/05/09	10/28/10	1,000.18	1,113.09	(112.91) 1		Y
	Trade	47.000		08/06/09	10/28/10	1,000.18	1,132.88	(132.70) 1		Y
	Sub-Total:	**125.000**				**2,660.05**	**2,967.00**	**(306.95)**		
COGNIZANT TECH SOLUTIONS CRP	Trade	3.000		12/09/08	10/28/10	200.70	55.79	144.91 1		Y
	Trade	36.000		12/11/08	10/28/10	2,408.44	649.41	1,759.03 1		Y
	Trade	41.000		12/11/08	10/28/10	2,742.94	765.59	1,977.35 1		Y
	Trade	2.000		12/11/08	12/20/10	145.00	37.35	107.65 1		Y
	Trade	32.000		03/27/09	12/20/10	2,319.99	652.76	1,667.23 1		Y
	Sub-Total:	**114.000**				**7,817.07**	**2,160.90**	**5,656.17**		
CVS CAREMARK CORP	Trade	40.000		05/29/08	10/28/10	1,230.02	1,729.36	(499.34) 1		Y
	Trade	96.000		09/26/08	10/28/10	2,952.05	3,347.49	(395.44) 1		Y
	Sub-Total:	**136.000**				**4,182.07**	**5,076.85**	**(894.78)**		
DANAHER CORP	Trade	2.000		05/29/08	10/28/10	85.80	76.50	9.30 1		Y
	Trade	68.000		09/26/08	10/28/10	2,917.26	2,384.08	533.18 1		Y
	Trade	15.000		04/24/09	10/28/10	643.51	453.60	189.91 1		Y
	Trade	27.000		04/24/09	12/20/10	1,260.92	816.48	444.44 1		Y
	Sub-Total:	**112.000**				**4,907.49**	**3,730.66**	**1,176.83**		
EMC CORP MASS	Trade	26.000		09/26/08	10/28/10	544.97	326.93	218.04 1		Y
	Trade	94.000		01/28/09	10/28/10	1,970.26	1,085.31	884.95 1		Y
	Trade	49.000		01/28/09	12/20/10	1,120.22	565.74	554.48 1		Y
	Sub-Total:	**169.000**				**3,635.45**	**1,977.98**	**1,657.47**		
FLUOR CORP NEW	Trade	32.000		09/26/08	10/28/10	1,568.35	1,749.43	(181.08) 1		Y
	Trade	8.000		10/06/08	10/28/10	392.09	328.58	63.51 1		Y
	Trade	15.000		10/06/08	12/20/10	958.18	616.09	342.09 1		Y
	Sub-Total:	**55.000**				**2,918.62**	**2,694.10**	**224.52**		
GILEAD SCIENCES INC	Trade	8.000		06/30/09	10/28/10	318.58	375.17	(56.59) 1		Y
	Trade	77.000		07/24/09	10/28/10	3,066.36	3,700.29	(633.93) 1		Y
	Sub-Total:	**85.000**				**3,384.94**	**4,075.46**	**(690.52)**		
GOOGLE INC CL A	Trade	2.000		06/23/09	12/20/10	1,193.62	811.80	381.82 1		Y
	Trade	6.000		07/10/09	12/20/10	3,580.86	2,485.03	1,095.83 1		Y

Continued on page 20

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GOOGLE INC CL A	Trade	4.000		07/13/09	12/20/10	2,387.24	1,692.05	695.19 1		Y
	Sub-Total:	**12.000**				**7,161.72**	**4,988.88**	**2,172.84**		
MCDONALDS CORP	Trade	25.000		09/26/08	12/20/10	1,923.46	1,562.50	360.96 1		Y
MICROSOFT CORP	Trade	110.000		04/01/09	10/28/10	2,886.90	2,096.17	790.73 1		Y
	Trade	20.000		04/24/09	10/28/10	524.89	405.72	119.17 1		Y
	Trade	90.000		05/07/09	10/28/10	2,362.01	1,748.57	613.44 1		Y
	Trade	31.000		05/07/09	12/20/10	865.60	602.29	263.31 1		Y
	Sub-Total:	**251.000**				**6,639.40**	**4,852.75**	**1,786.65**		
OCCIDENTAL PETROLEUM CRP	Trade	1.000		07/30/09	12/20/10	95.42	71.16	24.26 1		Y
	Trade	33.000		08/13/09	12/20/10	3,148.80	2,324.05	824.75 1		Y
	Sub-Total:	**34.000**				**3,244.22**	**2,395.21**	**849.01**		
ORACLE CORP	Trade	108.000		04/24/09	10/28/10	3,141.94	2,170.37	971.57 1		Y
	Trade	112.000		05/18/09	10/28/10	3,258.30	2,087.62	1,170.68 1		Y
	Trade	24.000		05/18/09	12/20/10	765.85	447.35	318.50 1		Y
	Sub-Total:	**244.000**				**7,166.09**	**4,705.34**	**2,460.75**		
PHILIP MORRIS INTL INC	Trade	5.000		05/29/08	10/28/10	296.25	259.40	36.85 1		Y
	Trade	35.000		09/26/08	10/28/10	2,073.78	1,791.54	282.24 1		Y
	Trade	45.000		09/26/08	12/20/10	2,681.05	2,303.41	377.64 1		Y
	Sub-Total:	**85.000**				**5,051.08**	**4,354.35**	**696.73**		
PROCTER & GAMBLE CO	Trade	52.000		06/24/09	10/28/10	3,287.46	2,645.14	642.32 1		Y
	Trade	33.000		07/24/09	10/28/10	2,086.28	1,839.58	246.70 1		Y
	Trade	9.000		07/24/09	12/20/10	586.26	501.70	84.56 1		Y
	Sub-Total:	**94.000**				**5,960.00**	**4,986.42**	**973.58**		
QUALCOMM INC	Trade	85.000		09/26/08	10/28/10	3,792.93	3,866.65	(73.72) 1		Y
	Trade	20.000		09/26/08	12/20/10	992.63	909.80	82.83 1		Y
	Sub-Total:	**105.000**				**4,785.56**	**4,776.45**	**9.11**		
RESEARCH IN MOTION LTD CAD	Trade	19.000		12/22/08	10/28/10	1,053.21	795 60	257.61 1		Y
	Trade	48.000		02/20/09	10/28/10	2,660.74	1,937.45	723.29 1		Y
	Sub-Total:	**67.000**				**3,713.95**	**2,733.05**	**980.90**		

Continued on page 21

Your Financial Advisor or Contact
DAVID KINNEAR
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UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12G409681-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SCHWAB CHARLES CORP NEW	Trade	150.000		09/26/08	03/03/10	2,721.08	3,489.00	(767.92) 1		Y
	Trade	11.000		09/26/08	03/03/10	199.55	259.27	(59.72) 1		Y
	Sub-Total:	**161.000**				**2,920.63**	**3,748.27**	**(827.64)**		
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	Trade	13.000		09/26/08	10/28/10	678.72	593.32	85.40 1		Y
	Trade	27.000		05/22/09	10/28/10	1,409.65	1,224.13	185.52 1		Y
	Trade	41.000		05/26/09	10/28/10	2,140.58	1,889.82	250.76 1		Y
	Trade	44.000		05/26/09	10/28/10	2,297.21	2,047.98	249.23 1		Y
	Sub-Total:	**125.000**				**6,526.16**	**5,755.25**	**770.91**		
THERMO FISHER SCIENTIFIC INC	Trade	40.000		05/18/09	10/28/10	2,037.69	1,393.07	644.62 1		Y
	Trade	14.000		05/18/09	12/20/10	778.13	487.57	290.56 1		Y
	Sub-Total:	**54.000**				**2,815.82**	**1,880.64**	**935.18**		
TRANSOCEAN LTD CHF	Trade	25.000		03/26/09	10/28/10	1,612.28	1,613.05	(.77) 1		Y
WAL MART STORES INC	Trade	35.000		07/02/09	10/28/10	1,888.63	1,676.92	211.71 1		Y
	Trade	22.000		07/02/09	12/20/10	1,183.36	1,054.07	129.29 1		Y
	Trade	13.000		08/14/09	12/20/10	699.26	670.97	28.29 1		Y
	Sub-Total:	**70.000**				**3,771.25**	**3,401.96**	**369.29**		
Total Long-Term Gain/Loss						**107,388.21**	**83,390.50**	**23,997.71**		

Your Financial Advisor or Contact
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UBS Financial Services Inc.

2010 Consolidated Form 1099

P02L073777-X

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 1,258,537.79	$ 1,294,576.10	$ 57,344.65	$ (21,306.34)	$ 36,038.31
Long-term Gain/Loss:	161,384.10	179,086.18	22,916.24	(5,214.16)	17,702.08
Sub Total:	**$ 1,419,921.89**	**$ 1,473,662.28**	**$ 80,260.89**	**$ (26,520.50)**	**$ 53,740.39**
Total:	**$ 1,419,921.89**	**$ 1,473,662.28**	**$ 80,260.89**	**$ (26,520.50)**	**$ 53,740.39**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AFLAC INC	Trade	35.000		12/29/09	04/20/10	1,931.77	1,648.76	283.01		Y
	Trade	194.000		12/29/09	05/20/10	8,252.56	9,138.86	(886.30)		Y
	Trade	355.000		03/03/10	05/20/10	15,101.32	17,844.61	(2,743.29)		Y
	Sub-Total:	**584.000**				**25,285.65**	**28,632.23**	**(3,346.58)**		
ALTRIA GROUP INC 09.700% 111018 DTD111008 FC051009	Trade	180,000.000		03/04/10	09/02/10	237,130.20	228,236.40	8,893.80		Y
AUTOMATIC DATA PROCESSNG INC	Trade	30.000		10/02/09	04/20/10	1,349.75	1,165.49	184.26		Y
	Trade	225.000		10/02/09	08/12/10	8,984.12	8,741.18	242.94		Y
	Trade	445.000		03/03/10	08/12/10	17,768.60	18,685.30	(916.70)		Y
	Sub-Total:	**700.000**				**28,102.47**	**28,591.97**	**(489.50)**		

Continued on page 14

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BROADCOM CORP CL A	Trade	57.000		07/24/09	02/23/10	1,750.44	1,502.73	247.71		Y
CALAMOS CONVERTIBLE FUND CLASS A	Trade	55.416		10/02/09	08/27/10	1,019.65	1,003.03	16.62		Y
	Trade	5,516.687		03/03/10	12/20/10	107,244.39	104,320.55	2,923.84		Y
	Trade	22.979		04/20/10	12/20/10	446.71	444.87	1.84		Y
	Sub-Total:	**5,595.082**				**108,710.75**	**105,768.45**	**2,942.30**		
CHECK POINT SOFTWARE TECH LTD ILS	Trade	25.000		04/13/09	01/19/10	862.74	600.83	261.91		Y
	Trade	31.000		04/22/09	01/19/10	1,069.79	783.36	286.43		Y
	Sub-Total:	**56.000**				**1,932.53**	**1,384.19**	**548.34**		
CHEVRON CORP	Trade	345.000		08/12/10	12/20/10	30,860.00	26,747.76	4,112.24		Y
	Trade	36.000		08/27/10	12/20/10	3,220.17	2,677.97	542.20		Y
	Sub-Total:	**381.000**				**34,080.17**	**29,425.73**	**4,654.44**		
CHUBB CORP	Trade	200.000		10/02/09	05/07/10	10,035.97	9,904.00	131.97		Y
	Trade	375.000		03/03/10	05/07/10	18,817.43	19,172.44	(355.01)		Y
	Sub-Total:	**575.000**				**28,853.40**	**29,076.44**	**(223.04)**		
CLOROX CO	Trade	15.000		10/02/09	04/20/10	974.10	866.78	107.32		Y
	Trade	26.000		10/02/09	08/27/10	1,677.65	1,502.41	175.24		Y
	Trade	310.000		03/03/10	12/20/10	19,608.41	19,023.62	584.79		Y
	Sub-Total:	**351.000**				**22,260.16**	**21,392.81**	**867.35**		
COCA COLA CO COM	Trade	355.000		03/03/10	12/20/10	23,262.76	19,176.06	4,086.70		Y
	Trade	10.000		04/20/10	12/20/10	655.29	544.00	111.29		Y
	Sub-Total:	**365.000**				**23,918.05**	**19,720.06**	**4,197.99**		
COLGATE PALMOLIVE CO	Trade	220.000		03/03/10	12/20/10	17,776.40	18,446.70	(670.30)		Y
	Trade	5.000		04/20/10	12/20/10	404.01	421.23	(17.22)		Y
	Trade	28.000		08/27/10	12/20/10	2,262.45	2,077.85	184.60		Y
	Sub-Total:	**253.000**				**20,442.86**	**20,945.78**	**(502.92)**		
DOMINION RESOURCES INC VA (NEW)	Trade	43.000		02/10/09	01/19/10	1,691.29	1,526.23	165.06		Y
DUKE REALTY CORP NEW REITS	Trade	42.000		04/16/09	01/19/10	538.43	371.95	166.48		Y

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UBS Financial Services Inc.

2010 Consolidated Form 1099

P02L073779-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FOSTER WHEELER AG CHF	Trade	6.000		04/27/09	02/23/10	160.67	134.28	26.39 1		Y
HASBRO INC	Trade	45.000		05/07/10	08/27/10	1,834.16	1,755.00	79.16		Y
	Trade	696.000		05/07/10	12/20/10	34,361.77	27,144.00	7,217.77		Y
	Sub-Total:	**741.000**				**36,195.93**	**28,899.00**	**7,296.93**		
INTEL CORP	Trade	910.000		03/03/10	12/20/10	19,358.10	18,655.00	703.10		Y
	Trade	286.000		08/27/10	12/20/10	6,083.97	5,267 85	816.12		Y
	Sub-Total:	**1,196.000**				**25,442.07**	**23,922.85**	**1,519.22**		
ISHARES BARCLAYS 1-3 YR CD BD FD	Trade	10.000		12/31/09	01/25/10	1,045.18	1,039.41	5.77		Y
	Trade	746.000		12/29/09	03/03/10	77,826.88	77,612.63	214.25		Y
	Trade	1,624.000		12/31/09	03/03/10	169,424.74	168,800.18	624.56		Y
	Trade	24.000		12/31/09	08/27/10	2,519.23	2,494.58	24.65		Y
	Trade	35.000		12/31/09	12/20/10	3,648.23	3,637.94	10.29		Y
	Trade	320.000		01/04/10	12/20/10	33,355.27	33,266.24	89.03		Y
	Trade	5.000		04/20/10	12/20/10	521.18	521.89	(.71)		Y
	Sub-Total:	**2,764.000**				**288,340.71**	**287,372.87**	**967.84**		
ITT CORP	Trade	360.000		03/03/10	12/20/10	18,820.77	18,732.32	88.45		Y
	Trade	119.000		08/27/10	12/20/10	6,221.31	5,163.41	1,057.90		Y
	Sub-Total:	**479.000**				**25,042.08**	**23,895.73**	**1,146.35**		
JOHNSON CONTROLS INC	Trade	99.000		05/08/09	01/19/10	2,910.56	2,011.40	899.16 1		Y
JOHNSON & JOHNSON COM	Trade	290.000		03/03/10	12/20/10	18,130.95	18,396.59	(265.64)		Y
	Trade	33.000		08/27/10	12/20/10	2,063.18	1,897.39	165.79		Y
	Sub-Total:	**323.000**				**20,194.13**	**20,293.98**	**(99.85)**		
KIMCO REALTY CORP	Trade	64.000		04/06/09	03/03/10	896.81	583.96	312.85 1		Y
LOCKHEED MARTIN CORP	Trade	15.000		10/02/09	04/20/10	1,276.81	1,136.85	139.96		Y
MCDONALDS CORP	Trade	30.000		03/03/10	04/20/10	2,111.73	1,909.20	202.53		Y
	Trade	46.000		03/03/10	08/27/10	3,392.28	2,927.44	464.84		Y
	Trade	384.000		03/03/10	12/20/10	29,545.23	24,437.73	5,107.50		Y
	Sub-Total:	**460.000**				**35,049.24**	**29,274.37**	**5,774.87**		

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UBS Financial Services Inc.

2010 Consolidated Form 1099

Your Financial Advisor or Contact
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312-525-4500/800-621-0684

P02L073780-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MICROCHIP TECHNOLOGY INC	Trade	100.000		10/08/09	04/20/10	3,060.19	2,547.77	512.42		Y
	Trade	695.000		03/03/10	12/20/10	23,609.11	18,785.50	4,823.61		Y
	Trade	4.000		08/27/10	12/20/10	135.88	114.63	21.25		Y
	Sub-Total:	**799.000**				**26,805.18**	**21,447.90**	**5,357.28**		
NORTHROP GRUMMAN CORP	Trade	393.000		08/04/10	12/20/10	25,301.22	23,363.34	1,937.88		Y
	Trade	123.000		08/27/10	12/20/10	7,918.70	6,764.71	1,153.99		Y
	Sub-Total:	**516.000**				**33,219.92**	**30,128.05**	**3,091.87**		
PAYCHEX INC	Trade	350.000		10/02/09	04/20/10	10,980.37	9,876.13	1,104.24		Y
	Trade	615.000		03/03/10	04/20/10	19,294.08	18,697.85	596.23		Y
	Sub-Total:	**965.000**				**30,274.45**	**28,573.98**	**1,700.47**		
PEPSICO INC	Trade	285.000		03/03/10	12/20/10	18,858.70	18,270.35	588.35		Y
SANOFI-AVENTIS SA SPON ADR	Trade	275.000		10/02/09	08/27/10	7,942.45	9,985.25	(2,042.80)		Y
	Trade	505.000		03/03/10	08/27/10	14,585.23	18,997.86	(4,412.63)		Y
	Trade	40.000		04/20/10	08/27/10	1,155.27	1,465.06	(309.79)		Y
	Sub-Total:	**820.000**				**23,682.95**	**30,448.17**	**(6,765.22)**		
ST JUDE MEDICAL INC	Trade	28.000		07/22/09	02/23/10	1,076.30	1,035.56	40.74	1	Y
STATE STREET CORP	Trade	48.000		05/19/09	01/19/10	2,072.16	2,088.49	(16.33)	1	Y
SYSCO CORP	Trade	615.000		03/03/10	12/20/10	18,094.22	17,674.61	419.61		Y
	Trade	19.000		08/27/10	12/20/10	559.01	532.53	26.48		Y
	Sub-Total:	**634.000**				**18,653.23**	**18,207.14**	**446.09**		
TELEFLEX INC	Trade	439.000		05/20/10	08/04/10	23,382.43	24,703.36	(1,320.93)		Y
TELEFONICA S A SPON ADR	Trade	120.000		10/02/09	05/20/10	6,994.31	9,709.86	(2,715.55)		Y
	Trade	285.000		03/03/10	05/20/10	16,611.48	20,649.73	(4,038.25)		Y
	Trade	20.000		04/20/10	05/20/10	1,165.72	1,413.95	(248.23)		Y
	Trade	424.000		08/27/10	09/14/10	30,061.09	28,352.03	1,709.06		Y
	Sub-Total:	**849.000**				**54,832.60**	**60,125.57**	**(5,292.97)**		
TORONTO DOMINION BK NEW CANADA CAD	Trade	347.000		05/20/10	12/20/10	24,345.10	23,249.00	1,096.10		Y
	Trade	75.000		08/27/10	12/20/10	5,261.91	5,038.34	223.57		Y
	Sub-Total:	**422.000**				**29,607.01**	**28,287.34**	**1,319.67**		

Continued on page 17

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TRINITY INDUSTRIES INC	Trade	5.000		07/15/09	03/24/10	102.00	72.55	29.45 1		Y
	Trade	60.000		08/21/09	03/24/10	1,223.98	935.05	288.93 1		Y
	Sub-Total:	**65.000**				**1,325.98**	**1,007.60**	**318.38**		
VERIZON COMMUNICATIONS INC	Trade	330.000		10/02/09	03/03/10	9,616.36	9,898.91	(282.55)		Y
WAL MART STORES INC	Trade	345.000		03/03/10	12/20/10	18,543.99	18,535.64	8.35		Y
	Trade	5.000		04/20/10	12/20/10	268.75	273.04	(4.29)		Y
	Trade	7.000		08/27/10	12/20/10	376.25	356.57	19.68		Y
	Sub-Total:	**357.000**				**19,188.99**	**19,165.25**	**23.74**		
WEATHERFORD INTL LTD CHF	Trade	16.000		06/29/09	02/01/10	259.99	319.81	(59.82) 1		Y
YAHOO INC	Trade	53.000		02/24/09	01/19/10	886.67	665.27	221.40 1		Y
3M CO	Trade	350.000		04/20/10	12/20/10	30,453.75	29,903.83	549.92		Y
	Trade	2.000		08/27/10	12/20/10	174.02	160.95	13.07		Y
	Sub-Total:	**352.000**				**30,627.77**	**30,064.78**	**562.99**		
Total Short-Term Gain/Loss						**1,294,576.10**	**1,258,537.79**	**36,038.31**		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AVERY DENNISON CORP	Trade	16.000		07/30/08	01/19/10	639 67	708.76	(69.09) 1		Y
CALAMOS CONVERTIBLE FUND CLASS A	Trade	29.480		12/17/09	12/20/10	573.09	546.56	26.53		Y
	Trade	2,707.990		10/02/09	12/20/10	52,643.32	49,014.62	3,628.70		Y
	Sub-Total:	**2,737.470**				**53,216.41**	**49,561.18**	**3,655.23**		
CLOROX CO	Trade	129.000		10/02/09	12/20/10	8,159.63	7,454.27	705.36		Y
COACH INC	Trade	11.000		05/29/08	01/19/10	409.23	410.85	(1.62) 1		Y
	Trade	66.000		07/30/08	01/19/10	2,455.36	1,718.70	736.66 1		Y
	Sub-Total:	**77.000**				**2,864.59**	**2,129.55**	**735.04**		

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Your Financial Advisor or Contact
KINNEAR
312-525-4500/800-621-0684

UBS Financial Services Inc.
2010 Consolidated Form 1099

P02L073782-X--

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
COCA COLA CO COM	Trade	45.000		05/21/09	08/27/10	2,511.02	2,092.18	418.84 1		Y
	Trade	46.000		05/21/09	12/20/10	3,014.33	2,137.77	876.56 1		Y
	Trade	1.000		05/21/09	12/20/10	65.53	46.49	19.04 1		Y
	Trade	19.000		05/22/09	12/20/10	1,245.05	893.28	351.77 1		Y
	Trade	23.000		05/26/09	12/20/10	1,507.16	1,084.36	422.80 1		Y
	Trade	30.000		06/16/09	12/20/10	1,965.87	1,440.38	525.49 1		Y
	Trade	24.000		06/16/09	12/20/10	1,572.69	1,151.43	421.26 1		Y
	Sub-Total:	**188.000**				**11,881.65**	**8,845.89**	**3,035.76**		
COLGATE PALMOLIVE CO	Trade	49.000		05/13/09	12/20/10	3,959.29	3,138.84	820.45 1		Y
	Trade	64.000		05/14/09	12/20/10	5,171.32	4,061.27	1,110.05 1		Y
	Trade	16.000		05/14/09	12/20/10	1,292.83	1,015.51	277.32 1		Y
	Sub-Total:	**129.000**				**10,423.44**	**8,215.62**	**2,207.82**		
CONAGRA FOOD INC	Trade	67.000		09/26/08	02/23/10	1,633.43	1,309.09	324.34 1		Y
COVIDIEN PLC	Trade	31.000		12/11/08	01/19/10	1,528.28	1,098.01	430.27 1		Y
	Trade	46.000		12/15/08	01/19/10	2,267.77	1,589.32	678.45 1		Y
	Sub-Total:	**77.000**				**3,796.05**	**2,687.33**	**1,108.72**		
DELL INC	Trade	2.000		10/09/08	01/19/10	29.59	27.26	2.33 1		Y
EQUITY RESIDENTIAL SBI	Trade	24.000		05/29/08	01/19/10	820.79	1,016.40	(195.61) 1		Y
	Trade	10.000		09/03/08	01/19/10	341.99	431.12	(89.13) 1		Y
	Trade	2.000		09/26/08	01/19/10	68.40	87.00	(18.60) 1		Y
	Sub-Total:	**36.000**				**1,231.18**	**1,534.52**	**(303.34)**		
FIRSTENERGY CORP	Trade	80.000		05/29/08	01/19/10	3,757.55	6,383.41	(2,625.86) 1		Y
HCP INC	Trade	2.000		05/29/08	01/19/10	62.13	68.78	(6.65) 1		Y
INTEL CORP	Trade	50.000		04/01/09	04/20/10	1,205.60	760.89	444.71 1		Y
	Trade	79.000		04/01/09	04/20/10	1,904.85	1,189.46	715.39 1		Y
	Trade	51.000		04/08/09	04/20/10	1,229.71	781.13	448.58 1		Y
	Trade	72.000		04/08/09	12/20/10	1,531.63	1,102.76	428.87 1		Y
	Trade	270.000		10/02/09	12/20/10	5,743.61	5,150.71	592.90		Y
	Sub-Total:	**522.000**				**11,615.40**	**8,984.95**	**2,630.45**		

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UBS Financial Services Inc.

2010 Consolidated Form 1099

P02L073783-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
INTL BUSINESS MACH	Trade	4.000		09/25/08	01/19/10	532.87	483.70	49.17 1		Y
ITT CORP	Trade	30.000		05/29/08	04/20/10	1,699.22	1,975.20	(275.98) 1		Y
	Trade	95.000		05/29/08	12/20/10	4,966.59	6,254 79	(1,288.20) 1		Y
	Trade	40.000		09/26/08	12/20/10	2,091.20	2,192.80	(101.60) 1		Y
	Trade	39.000		04/24/09	12/20/10	2,038.92	1,634.17	404.75 1		Y
	Sub-Total:	**204.000**				**10,795.93**	**12,056.96**	**(1,261.03)**		
JOHNSON & JOHNSON COM	Trade	32.000		10/10/08	12/20/10	2,000.66	1,777.24	223.42 1		Y
	Trade	39.000		10/27/08	12/20/10	2,438.30	2,419.94	18.36 1		Y
	Trade	53.000		05/18/09	12/20/10	3,313.59	2,943.43	370.16 1		Y
	Trade	46.000		07/02/09	12/20/10	2,875.94	2,578.49	297.45 1		Y
	Sub-Total:	**170.000**				**10,628.49**	**9,719.10**	**909.39**		
KLA -TENCOR CORP	Trade	31.000		10/22/08	02/01/10	899.29	623.48	275.81 1		Y
MICROCHIP TECHNOLOGY INC	Trade	290.000		10/08/09	12/20/10	9,851.29	7,388.54	2,462.75		Y
PEPSICO INC	Trade	10.000		04/22/09	08/27/10	637.08	484.76	152.32 1		Y
	Trade	29.000		04/22/09	12/20/10	1,918.96	1,405.81	513.15 1		Y
	Trade	103.000		05/04/09	12/20/10	6,815.60	5,056.37	1,759.23 1		Y
	Trade	28.000		07/07/09	12/20/10	1,852.78	1,591 99	260.79 1		Y
	Sub-Total:	**170.000**				**11,224.42**	**8,538.93**	**2,685.49**		
SYSCO CORP	Trade	30.000		05/29/08	04/20/10	911.13	927 00	(15.87) 1		Y
	Trade	308.000		05/29/08	12/20/10	9,061.82	9,517.20	(455.38) 1		Y
	Trade	20.000		09/26/08	12/20/10	588.43	659.00	(70.57) 1		Y
	Trade	50.000		10/02/09	12/20/10	1,471.07	1,219.50	251.57		Y
	Sub-Total:	**408.000**				**12,032.45**	**12,322.70**	**(290.25)**		
UNITEDHEALTH GROUP INC	Trade	15.000		05/29/08	01/19/10	524.89	516.00	8.89 1		Y
	Trade	74.000		07/15/08	01/19/10	2,589.45	1,586.45	1,003.00 1		Y
	Sub-Total:	**89.000**				**3,114.34**	**2,102.45**	**1,011.89**		
WAL MART STORES INC	Trade	60.000		08/14/09	12/20/10	3,225.04	3,123.23	101.81 1		Y
	Trade	5.000		08/14/09	12/20/10	268.75	258.06	10.69 1		Y
	Trade	54.000		08/14/09	12/20/10	2,902.54	2,798.79	103.75 1		Y
	Trade	50.000		08/20/09	12/20/10	2,687.53	2,582.75	104.78 1		Y

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Your Financial Advisor or Contact
KINNEAR
312-525-4500/800-621-0684

UBS Financial Services Inc.
2010 Consolidated Form 1099

P02L073784-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WAL MART STORES INC	Trade	30.000		10/02/09	12/20/10	1,612.52	1,474.80	137.72		Y
	Sub-Total:	**199.000**				**10,696.38**	**10,237.63**	**458.75**		
Total Long-Term Gain/Loss						**179,086.18**	**161,384.10**	**17,702.08**		

Your Financial Advisor or Contact
DAVID KINNEAR
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UBS Financial Services Inc.

2010 Consolidated Form 1099

P02L311042-X

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 4,357,745.73	$ 4,341,469.29	$ 28,021.57	$ (44,298.01)	$ (16,276.44)
Sub Total:	**$ 4,357,745.73**	**$ 4,341,469.29**	**$ 28,021.57**	**$ (44,298.01)**	**$ (16,276.44)**
Total:	**$ 4,357,745.73**	**$ 4,341,469.29**	**$ 28,021.57**	**$ (44,298.01)**	**$ (16,276.44)**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABERDEEN EQUITY LONG SHORT FUND CLASS A	Trade	9,945.703		01/11/10	03/03/10	106,824.81	110,894.59	(4,069.78)		Y
	Trade	205.117		01/11/10	04/13/10	2,311.67	2,287.05	24.62		Y
	Trade	2,668.360		01/11/10	08/19/10	29,165.18	29,752.21	(587.03)		Y
	Trade	1,566.972		01/11/10	12/21/10	18,051.52	17,471.74	579.78		Y
	Trade	7,695.069		02/02/10	12/21/10	88,647.20	83,491.50	5,155.70		Y
	Trade	2,900.391		06/07/10	12/21/10	33,412.51	30,831.16	2,581.35		Y
	Sub-Total:	**24,981.612**				**278,412.89**	**274,728.25**	**3,684.64**		
CALAMOS MARKET NEUTRAL INCOME FUND CLASS A	Trade	2,392.585		01/04/10	03/03/10	27,706.13	27,849.69	(143.56)		Y
	Trade	146.424		01/04/10	04/13/10	1,724.88	1,704.38	20.50		Y
	Trade	7,211.655		01/04/10	08/19/10	82,645.57	83,943.66	(1,298.09)		Y
	Trade	2,485.913		01/04/10	12/21/10	29,731.52	28,936.03	795.49		Y
	Trade	1,496.075		01/11/10	12/21/10	17,893.06	17,489.12	403.94		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CALAMOS MARKET NEUTRAL INCOME FUND CLASS A	Trade	7,067.351		02/02/10	12/21/10	84,525.52	81,203.86	3,321.66		Y
	Trade	439.432		06/07/10	12/21/10	5,255.61	4,948.00	307 61		Y
	Sub-Total:	**21,239.435**				**249,482.29**	**246,074.74**	**3,407.55**		
DIAMOND HILL LONG-SHORT FUND CLASS A	Trade	5,371.562		01/11/10	06/07/10	80,036.27	89,114.21	(9,077.94)		Y
	Trade	2,741.737		02/02/10	06/07/10	40,851.88	45,046.74	(4,194.86)		Y
	Trade	4,992.502		03/03/10	06/07/10	74,388.28	81,078.23	(6,689.95)		Y
	Trade	212.246		04/13/10	06/07/10	3,162.47	3,410.79	(248.32)		Y
	Sub-Total:	**13,318.047**				**198,438.90**	**218,649.97**	**(20,211.07)**		
EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND A	Trade	881.020		01/04/10	06/07/10	9,171.42	9,092.13	79.29		Y
EATON VANCE TAX-MANAGED BOND STRATEGIES SHORT TERM FUND A	Trade	13,977.798		01/04/10	01/11/10	142,713.32	142,433.76	279.56		Y
FORWARD LONG/SHORT CREDIT ANALYSIS INV	Trade	450.091		01/04/10	04/13/10	3,789.77	3,654.74	135.03		Y
	Trade	801.034		01/04/10	06/07/10	6,736.70	6,504.40	232.30		Y
	Trade	441.328		01/04/10	08/19/10	3,786.59	3,583.58	203.01		Y
	Sub-Total:	**1,692.453**				**14,313.06**	**13,742.72**	**570.34**		
GABELLI ABC ADVISOR FUND	Trade	3,674.379		01/04/10	01/11/10	35,641.48	35,531.24	110.24		Y
	Trade	28.419		01/04/10	04/13/10	277.37	274 81	2.56		Y
	Trade	48.780		01/04/10	06/07/10	467.80	471.70	(3.90)		Y
	Trade	13,888.255		01/04/10	08/19/10	134,854.96	134,299.43	555.53		Y
	Sub-Total:	**17,639.833**				**171,241.61**	**170,577.18**	**664.43**		
GATEWAY FUND CLASS A	Trade	2,112.735		01/04/10	01/11/10	53,832.50	53,621.21	211.29		Y
	Trade	52.606		01/04/10	03/03/10	1,329.36	1,335.14	(5.78)		Y
	Trade	71.034		01/04/10	04/13/10	1,824.86	1,802.84	22.02		Y
	Trade	4,431.433		01/04/10	08/19/10	110,032.47	112,469.77	(2,437.30)		Y
	Trade	1,750.263		01/04/10	12/21/10	45,524.34	44,421.67	1,102.67		Y
	Trade	3,241.295		02/02/10	12/21/10	84,306.08	81,388.92	2,917.16		Y
	Trade	305.923		06/07/10	12/21/10	7,957.06	7,440.05	517.01		Y
	Sub-Total:	**11,965.289**				**304,806.67**	**302,479.60**	**2,327.07**		

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UBS Financial Services Inc.

2010 Consolidated Form 1099

P02L311044-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GOLDMAN SACHS ABSOLUTE RETURN TRACKER FUND CL A	Trade	43.764		01/04/10	01/11/10	392.00	398.25	(6.25)		Y
	Trade	8,719.867		01/04/10	03/03/10	78,566.00	79,350.79	(784.79)		Y
	Trade	122.905		01/04/10	04/13/10	1,118.44	1,118.44			Y
	Trade	6,162.654		01/04/10	08/19/10	54,785.99	56,080.15	(1,294.16)		Y
	Trade	4,515.887		01/04/10	12/21/10	41,681.64	41,094.57	587.07		Y
	Trade	9,964.714		02/02/10	12/21/10	91,974.31	89,782.07	2,192.24		Y
	Trade	408.093		06/07/10	12/21/10	3,766.70	3,583.06	183.64		Y
	Sub-Total:	**29,937.884**				**272,285.08**	**271,407.33**	**877.75**		
ISHARES BARCLAYS 1-3 YR CD BD FD	Trade	16,431.000		12/31/09	01/04/10	1,707,975.75	1,708,085.97	(110.22)		Y
	Trade	4.000		12/31/09	01/11/10	417.80	415.82	1.98		Y
	Trade	9.000		12/31/09	06/07/10	929.98	935.60	(5.62)		Y
	Trade	12.000		12/31/09	08/19/10	1,256.99	1,247.46	9.53		Y
	Sub-Total:	**16,456.000**				**1,710,580.52**	**1,710,684.85**	**(104.33)**		
JAMES MARKET NEUTRAL FUND	Trade	14,836.850		01/04/10	01/11/10	139,318.02	142,433.76	(3,115.74)		Y
JP MORGAN MARKET NEUTRAL FUND CLASS A	Trade	64.283		04/13/10	06/07/10	975.18	987.39	(12.21)		Y
	Trade	12,155.801		04/13/10	08/19/10	182,337.02	186,713.10	(4,376.08)		Y
	Sub-Total:	**12,220.084**				**183,312.20**	**187,700.49**	**(4,388.29)**		
JP MORGAN HIGHBRIDGE STAT MARKET NEUTRAL FUND A	Trade	1,699.637		01/04/10	03/03/10	26,888.25	26,582.32	305.93		Y
	Trade	7,407.380		01/04/10	04/13/10	117,036.61	115,851.42	1,185.19		Y
	Trade	1,180.491		01/11/10	04/13/10	18,651.76	18,427.46	224.30		Y
	Trade	4,876.625		02/02/10	04/13/10	77,050.68	76,758.08	292.60		Y
	Sub-Total:	**15,164.133**				**239,627.30**	**237,619.28**	**2,008.02**		
MANAGER AMG MANAGERS AMG FQ GLOBAL ALTERNATIVES FD CLASS A CL I	Trade	124.389		01/04/10	04/13/10	1,283.69	1,242.65	41.04		Y
RYDEX/SGI MANAGED FUTURES STRATEGY FUND A	Trade	3.347		01/04/10	01/11/10	89.63	90.77	(1.14)		Y
	Trade	990.261		01/04/10	03/03/10	25,489.33	26,855.88	(1,366.55)		Y
	Trade	2,288.881		01/04/10	04/13/10	58,503.80	62,074.45	(3,570.65)		Y
	Trade	1,832.836		02/02/10	04/13/10	46,847.29	47,745.38	(898.09)		Y
	Sub-Total:	**5,115.325**				**130,930.05**	**136,766.48**	**(5,836.43)**		

Continued on page 13

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TCW EMERGING MARKETS INCOME FUND CLASS N	Trade	26,459.469		08/19/10	12/21/10	295,552.27	292,112.54	3,439.73		Y
Total Short-Term Gain/Loss						4,341,469.29	4,357,745.73	(16,276.44)		

UBS Financial Services Inc.

2010 Consolidated Form 1099

P02L311058-X

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 1,203,312.70	$ 1,221,739.14	$ 19,328.21	$ (901.77)	$ 18,426.44
Sub Total:	**$ 1,203,312.70**	**$ 1,221,739.14**	**$ 19,328.21**	**$ (901.77)**	**$ 18,426.44**
Total:	**$ 1,203,312.70**	**$ 1,221,739.14**	**$ 19,328.21**	**$ (901.77)**	**$ 18,426.44**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
AMERICAN CENTURY	Trade	428.022		01/28/10	03/15/10	4,930.81	4,956.49	(25.68)		Y
INFLATION ADJUSTED BOND CLASS A	Trade	4,730.066		02/10/10	03/15/10	54,490.36	54,443.06	47.30		Y
	Sub-Total:	**5,158.088**				**59,421.17**	**59,399.55**	**21.62**		
DELAWARE DIVERSIFIED	Trade	1,056.823		01/28/10	03/15/10	9,986.98	9,913 00	73.98		Y
INCOME FUND CLASS A	Trade	11,726.539		02/10/10	03/15/10	110,815.79	108,939.55	1,876.24		Y
	Sub-Total:	**12,783.362**				**120,802.77**	**118,852.55**	**1,950.22**		
ISHARES BARCLAYS 1-3 YR	Trade	474.000		01/04/10	01/28/10	49,509.70	49,269.93	239.77		Y
CD BD FD	Trade	421.000		12/31/09	06/08/10	43,514.83	43,754.53	(239.70)		Y
	Trade	58.000		12/31/09	12/20/10	6,046.02	6,027 94	18.08		Y
	Trade	5.000		01/04/10	12/20/10	521.21	519.73	1.48		Y

Continued on page 9

UBS Financial Services Inc.

2010 Consolidated Form 1099

P02L311059-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ISHARES BARCLAYS 1-3 YR	Trade	52.000		02/10/10	12/20/10	5,420.57	5,411.39	9.18		Y
CD BD FD	Trade	520.000		03/15/10	12/20/10	54,205.70	54,293.09	(87.39)		Y
	Sub-Total:	**1,530.000**				**159,218.03**	**159,276.61**	**(58.58)**		
LORD ABBET FLOATING RATE	Trade	2.546		02/26/10	03/15/10	23.47	23.32	.15		Y
FUND A	Trade	109.000		01/28/10	03/15/10	1,004.98	1,001.71	3.27		Y
	Trade	1,187.621		02/10/10	03/15/10	10,949.87	10,878.61	71.26		Y
	Sub-Total:	**1,299.167**				**11,978.32**	**11,903.64**	**74.68**		
LORD ABBETT INCOME	Trade	110.724		02/26/10	03/15/10	305.60	304.49	1.11		Y
FUND CLASS A	Trade	3,617.887		01/28/10	03/15/10	9,985.37	9,913.01	72.36		Y
	Trade	40,039.364		02/10/10	03/15/10	110,508.64	108,907.07	1,601.57		Y
	Sub-Total:	**43,767.975**				**120,799.61**	**119,124.57**	**1,675.04**		
MANAGERS BOND FUND	Trade	4,831.236		03/15/10	12/20/10	123,293.14	120,491.03	2,802.11		Y
	Trade	422.828		06/08/10	12/20/10	10,790.57	10,562.24	228.33		Y
	Sub-Total:	**5,254.064**				**134,083.71**	**131,053.27**	**3,030.44**		
MFS RESEARCH BOND FUND	Trade	976.650		01/28/10	03/15/10	9,961.83	9,913.00	48.83		Y
CLASS A	Trade	10,747.786		02/10/10	03/15/10	109,627.42	108,875.07	752.35		Y
	Sub-Total:	**11,724.436**				**119,589.25**	**118,788.07**	**801.18**		
NATIXIS LOOMIS SAYLES	Trade	9,949.713		03/15/10	12/20/10	124,371.41	120,491.02	3,880.39		Y
CORE PLUS BOND FUND A	Trade	901.327		06/08/10	12/20/10	11,266.59	10,906.06	360.53		Y
	Sub-Total:	**10,851.040**				**135,638.00**	**131,397.08**	**4,240.92**		
PIONEER STRATEGIC INCOME	Trade	11,377.812		03/15/10	12/20/10	124,018.15	120,491.03	3,527.12		Y
FUND CLASS A	Trade	1,151.670		06/08/10	12/20/10	12,553.20	12,057.98	495.22		Y
	Sub-Total:	**12,529.482**				**136,571.35**	**132,549.01**	**4,022.34**		
PRINCIPAL	Trade	130.000		01/28/10	06/08/10	993.20	1,015.30	(22.10)		Y
HIGH YIELD FUND	Trade	1,414.116		02/10/10	06/08/10	10,803.85	10,874.55	(70.70)		Y
CLASS A	Trade	1,824.760		03/15/10	06/08/10	13,941.16	14,397.36	(456.20)		Y
	Trade	4,266.921		03/15/10	12/20/10	33,879.35	33,666.01	213.34		Y
	Sub-Total:	**7,635.797**				**59,617.56**	**59,953.22**	**(335.66)**		
PUTNAM CONVERTIBLE	Trade	144.084		01/28/10	03/15/10	2,629.53	2,478.24	151.29		Y
SECURITIES FUND CLASS A	Trade	1,594.436		02/10/10	03/15/10	29,098.46	27,232.97	1,865.49		Y
	Sub-Total:	**1,738.520**				**31,727.99**	**29,711.21**	**2,016.78**		

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Your Financial Advisor or Contact
DAVID KINNEAR
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UBS Financial Services Inc.

2010 Consolidated Form 1099

P02L311060-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
PUTNAM DIVERSIFIED	Trade	1,242.232		01/28/10	12/20/10	9,975.12	9,913.01	62.11		Y
INCOME TRUST CLASS A	Trade	13,660.015		02/10/10	12/20/10	109,689.92	108,870.32	819.60		Y
	Trade	215.801		03/15/10	12/20/10	1,732.88	1,722.09	10.79		Y
	Trade	1,356.595		06/08/10	12/20/10	10,893.46	10,798.50	94.96		Y
	Sub-Total:	**16,474.643**				**132,291.38**	**131,303.92**	**987.46**		
Total Short-Term Gain/Loss						**1,221,739.14**	**1,203,312.70**	**18,426.44**		

Your Financial Advisor or Contact
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Corrected 02/27/11

UBS Financial Services Inc.

2010 Consolidated Form 1099

C12L358258-X

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec.1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 706,439.88	$ 739,746.85	$ 33,306.97	$	$ 33,306.97
Sub Total:	**$ 706,439.88**	**$ 739,746.85**	**$ 33,306.97**	**$**	**$ 33,306.97**
Total:	**$ 706,439.88**	**$ 739,746.85**	**$ 33,306.97**	**$**	**$ 33,306.97**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BLACKROCK ENERGY &	Trade	249.010		01/07/10	12/21/10	9,574.44	8,600.81	973.63		Y
RESOURCES PORTFOLIO	Trade	531.946		03/16/10	12/21/10	20,453.32	17,969.15	2,484.17		Y
FUND CLASS A										
	Sub-Total:	**780.956**				**30,027.76**	**26,569.96**	**3,457.80**		
CALAMOS HIGH YIELD FUND CLASS A	Trade	3,379.838		01/07/10	01/11/10	32,818.23	32,683.03	135.20		Y
CALAMOS CONVERTIBLE	Trade	448.893		01/07/10	12/21/10	8,775.86	8,600.79	175.07		Y
FUND CLASS A	Trade	894.781		01/11/10	12/21/10	17,492.97	17,224.53	268.44		Y
	Trade	2,751.212		03/16/10	12/21/10	53,786.19	53,043.37	742.82		Y
	Sub-Total:	**4,094.886**				**80,055.02**	**78,868.69**	**1,186.33**		

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UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12L358259-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
DREYFUS EMERGING MARKETS FUND A	Trade	705.088		01/11/10	12/21/10	9,243.70	8,616.18	627.52		Y
	Trade	1,558.378		03/16/10	12/21/10	20,430.34	18,123.94	2,306.40		Y
	Sub-Total:	**2,263.466**				**29,674.04**	**26,740.12**	**2,933.92**		
FIRST EAGLE OVERSEAS FUND CLASS A	Trade	257.071		01/11/10	12/21/10	5,745.54	5,169.70	575.84		Y
	Trade	529.171		03/16/10	12/21/10	11,826.97	10,631.05	1,195.92		Y
	Sub-Total:	**786.242**				**17,572.51**	**15,800.75**	**1,771.76**		
FORWARD SELECT INCOMEFUND CLASS A	Trade	167.819		01/07/10	01/11/10	3,448.69	3,430.22	18.47		Y
	Trade	16.319		03/31/10	12/21/10	381.70	357.22	24.48		Y
	Trade	252.963		01/07/10	12/21/10	5,916.80	5,170.56	746.24		Y
	Trade	461.981		03/16/10	12/21/10	10,805.73	10,214.40	591.33		Y
	Sub-Total:	**899.082**				**20,552.92**	**19,172.40**	**1,380.52**		
FT MUTUAL EUROPEAN A	Trade	252.550		01/11/10	12/21/10	5,384.37	5,169.70	214.67		Y
	Trade	523.948		03/16/10	12/21/10	11,170.57	10,678.06	492.51		Y
	Sub-Total:	**776.498**				**16,554.94**	**15,847.76**	**707.18**		
FT-FRANKLIN CONVERTIBLE SEC A	Trade	639.463		01/07/10	01/11/10	8,651.93	8,600.78	51.15		Y
GABELLI ASSET FUND CLASS A	Trade	209.980		01/07/10	03/16/10	8,875.85	8,600.78	275.07		Y
GABELLI ENTERPRISE MERGERS & ACQUISITIONS FUND CLASS A	Trade	708.614		01/07/10	12/21/10	7,376.67	6,880.64	496.03		Y
	Trade	2,122.053		01/11/10	12/21/10	22,090.57	20,690.02	1,400.55		Y
	Trade	5,699.225		03/16/10	12/21/10	59,328.94	56,251.35	3,077.59		Y
	Sub-Total:	**8,529.892**				**88,796.18**	**83,822.01**	**4,974.17**		
ING GLOBAL REAL ESTATE FUND CLASS A	Trade	4.506		04/01/10	12/21/10	73.00	67.36	5.64		Y
	Trade	231.929		01/11/10	12/21/10	3,757.25	3,446.46	310.79		Y
	Trade	474.303		03/16/10	12/21/10	7,683.71	7,105.06	578.65		Y
	Sub-Total:	**710.738**				**11,513.96**	**10,618.88**	**895.08**		
ISHARES BARCLAYS 1-3 YR CD BD FD	Trade	1,886.000		12/31/09	01/07/10	196,417.61	196,011.98	405.63		Y

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

2010 Consolidated Form 1099

Corrected 02/27/11

C12L358260-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
JANUS HIGH YIELD FUND CLASS T	Trade	14.674		01/29/10	12/21/10	132.65	124.29	8.36		Y
	Trade	22.629		02/26/10	12/21/10	204.57	190.54	14.03		Y
	Trade	40.241		03/31/10	12/21/10	363.78	347.28	16.50		Y
	Trade	61.376		04/30/10	12/21/10	554.84	538.27	16.57		Y
	Trade	59.735		05/28/10	12/21/10	540.00	502.37	37.63		Y
	Trade	997.771		01/07/10	12/21/10	9,019.85	8,600.79	419.06		Y
	Trade	1,999.351		01/11/10	12/21/10	18,074.13	17,254.40	819.73		Y
	Trade	6,165.813		03/16/10	12/21/10	55,738.95	52,841.02	2,897.93		Y
	Sub-Total:	**9,361.590**				**84,628.77**	**80,398.96**	**4,229.81**		
LORD ABBET CONVERTIBLE FUND CLASS A	Trade	796.370		01/07/10	03/16/10	8,704.32	8,600.80	103.52		Y
MATTHEWS JAPAN FUND	Trade	459.939		01/11/10	12/21/10	5,602.06	5,169.71	432.35		Y
	Trade	958.542		03/16/10	12/21/10	11,675.04	10,678.16	996.88		Y
	Sub-Total:	**1,418.481**				**17,277.10**	**15,847.87**	**1,429.23**		
MATTHEWS INTERNATIONAL PACIFIC TIGER FUND	Trade	349.271		01/07/10	12/21/10	8,005.29	6,880.64	1,124.65		Y
	Trade	88.894		01/11/10	12/21/10	2,037.45	1,758.32	279.13		Y
	Trade	920.766		03/16/10	12/21/10	21,103.96	17,853.65	3,250.31		Y
	Sub-Total:	**1,358.931**				**31,146.70**	**26,492.61**	**4,654.09**		
PERMANENT PORTFOLIO FUND INC	Trade	217.797		01/07/10	01/11/10	8,672.68	8,600.80	71.88		Y
PIONEER GLOBAL HIGH YIELD FD CL A	Trade	880.328		01/07/10	01/11/10	8,644.82	8,600.80	44.02		Y
TEMPLETON EMERGING MARKETS SMALL CAP A	Trade	690.133		01/07/10	12/21/10	8,385.12	6,880.63	1,504.49		Y
	Trade	160.388		01/11/10	12/21/10	1,948.71	1,647.18	301.53		Y
	Trade	1,661.801		03/16/10	12/21/10	20,190.88	17,432.29	2,758.59		Y
	Sub-Total:	**2,512.322**				**30,524.71**	**25,960.10**	**4,564.61**		
VAN KAMPEN GLOBAL FRANCHISE FUND CLASS A	Trade	450.068		01/07/10	01/11/10	8,636.80	8,600.80	36.00		Y
Total Short-Term Gain/Loss						**739,746.85**	**706,439.88**	**33,306.97**		

Form **8868**
(Rev January 2011)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization	Employer identification number
	RIFKIN FAMILY FOUNDATION	31-1192429
File by the due date for filing your return See instructions	Number, street, and room or suite number If a P O. box, see instructions	
	5642 COVENTRY LANE	
	City, town or post office, state, and ZIP code For a foreign address, see instructions	
	FORT WAYNE IN 46804	

Enter the Return code for the return that this application is for (file a separate application for each return) 01

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► JENNIFER L. WILSON

  Telephone No. ► (260) 432-2233 FAX No. ► (260) 436-3047
- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ► [ ] . If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► [X] calendar year 20 10 or
► [ ] tax year beginning ________, 20 ___, and ending ________, 20 ___.

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	$ 8,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	$ 8,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions