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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Name of foundation <b>THE DAYTON POWER AND LIGHT COMPANY FOUNDATION</b>		A Employer identification number <b>31-1138883</b>
Number and street (or P O box number if mail is not delivered to street address) <b>1065 WOODMAN DRIVE</b>	Room/suite	B Telephone number <b>937-259-7925</b>
City or town, state, and ZIP code <b>DAYTON, OH 45432</b>		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>26,649,598.</b> (Part I, column (d) must be on cash basis)	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		114.	114.		STATEMENT 1
4 Dividends and interest from securities		788,803.	788,803.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		95,834.			
b Gross sales price for all assets on line 6a		1,170,315.			
7 Capital gain net income (from Part IV, line 2)			95,834.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		884,751.	884,751.		
13 Compensation of officers, directors, trustees, etc		59,978.	5,998.		53,980.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		23,735.	11,867.		11,868.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		16,008.	3,258.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		1,369.	594.		775.
24 Total operating and administrative expenses. Add lines 13 through 23		101,090.	21,717.		66,623.
25 Contributions, gifts, grants paid		1,219,315.			1,169,315.
26 Total expenses and disbursements. Add lines 24 and 25		1,320,405.	21,717.		1,235,938.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-435,654.			
b Net investment income (if negative, enter -0-)			863,034.		
c Adjusted net income (if negative, enter -0-)				N/A	

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<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	38,803.	122,924.	122,924.
	2 Savings and temporary cash investments	102,754.	11.	11.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,606,765.	1,606,765.	1,799,000.
	c Investments - corporate bonds STMT 7	24,417,855.	24,046,677.	24,724,263.
<b>Liabilities</b>	11 Investments - land, buildings, and equipment basis ▶ 3,400.			
	Less accumulated depreciation ▶	3,400.	3,400.	3,400.
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 <b>Total assets</b> (to be completed by all filers)	26,169,577.	25,779,777.	26,649,598.
	17 Accounts payable and accrued expenses	12,625.	8,479.	
	18 Grants payable	220,000.	270,000.	
<b>Net Assets or Fund Balances</b>	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 <b>Total liabilities</b> (add lines 17 through 22)	232,625.	278,479.	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
and complete lines 27 through 31				
27 Capital stock, trust principal, or current funds	43,145,469.	43,145,469.		
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	-17,208,517.	-17,644,171.		
30 <b>Total net assets or fund balances</b>	25,936,952.	25,501,298.		
31 <b>Total liabilities and net assets/fund balances</b>	26,169,577.	25,779,777.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	25,936,952.
2 Enter amount from Part I, line 27a	2	-435,654.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	25,501,298.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	25,501,298.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a VANGUARD INTERMEDIATE - TERM INDEX FUND</b>			
<b>b SIGNAL SHARES</b>	<b>P</b>	<b>VARIOUS</b>	<b>12/23/10</b>
c			
d			
e			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b 1,170,315.		1,074,481.	95,834.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			95,834.
c			
d			
e			

  

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	95,834.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1,220,973.	24,684,864.	.049462
2008	1,233,168.	27,356,483.	.045078
2007	1,337,451.	29,480,815.	.045367
2006	1,414,837.	26,231,384.	.053937
2005	1,416,156.	28,525,561.	.049645

  

2 Total of line 1, column (d)	2	.243489
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048698
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	26,058,319.
5 Multiply line 4 by line 3	5	1,268,988.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,630.
7 Add lines 5 and 6	7	1,277,618.
8 Enter qualifying distributions from Part XII, line 4	8	1,235,938.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	17,261.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	17,261.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	17,261.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	13,752.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	4,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	17,752.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	49.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	442.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <b>442.</b> Refunded	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <b>\$ 0.</b> (2) On foundation managers. <b>\$ 0.</b>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <b>\$ 0.</b>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <b>OH</b>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.DPANDL.COM/COMMUNITY.PHP</u>	13	X	
14	The books are in care of ► <u>GINNY STRAUSBURG</u> Telephone no. ► <u>937-259-7925</u> Located at ► <u>1065 WOODMAN DRIVE, DAYTON, OH</u> ZIP+4 ► <u>45432</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes X	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ► _____, _____, _____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		59,978.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

0

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**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

**Total number of others receiving over \$50,000 for professional services**

## Expenses

Amount**Total.** Add lines 1 through 3Form **990-PF** (2010)



**THE DAYTON POWER AND LIGHT  
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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
<b>a</b> Average monthly fair market value of securities		<b>1a</b>	26,340,676.
<b>b</b> Average of monthly cash balances		<b>1b</b>	111,070.
<b>c</b> Fair market value of all other assets		<b>1c</b>	3,400.
<b>d</b> Total (add lines 1a, b, and c)		<b>1d</b>	26,455,146.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>		0.
<b>2</b> Acquisition indebtedness applicable to line 1 assets		<b>2</b>	0.
<b>3</b> Subtract line 2 from line 1d		<b>3</b>	26,455,146.
<b>4</b> Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		<b>4</b>	396,827.
<b>5</b> Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4		<b>5</b>	26,058,319.
<b>6</b> Minimum investment return. Enter 5% of line 5		<b>6</b>	1,302,916.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6		<b>1</b>	1,302,916.
<b>2a</b> Tax on investment income for 2010 from Part VI, line 5	<b>2a</b>		17,261.
<b>b</b> Income tax for 2010. (This does not include the tax from Part VI.)	<b>2b</b>		
<b>c</b> Add lines 2a and 2b		<b>2c</b>	17,261.
<b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1		<b>3</b>	1,285,655.
<b>4</b> Recoveries of amounts treated as qualifying distributions		<b>4</b>	0.
<b>5</b> Add lines 3 and 4		<b>5</b>	1,285,655.
<b>6</b> Deduction from distributable amount (see instructions)		<b>6</b>	0.
<b>7</b> Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		<b>7</b>	1,285,655.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
<b>a</b> Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26		<b>1a</b>	1,235,938.
<b>b</b> Program-related investments - total from Part IX-B		<b>1b</b>	0.
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		<b>2</b>	
<b>3</b> Amounts set aside for specific charitable projects that satisfy the:			
<b>a</b> Suitability test (prior IRS approval required)		<b>3a</b>	
<b>b</b> Cash distribution test (attach the required schedule)		<b>3b</b>	
<b>4</b> Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4		<b>4</b>	1,235,938.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b		<b>5</b>	0.
<b>6</b> Adjusted qualifying distributions. Subtract line 5 from line 4		<b>6</b>	1,235,938.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, - line 7				1,285,655.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			86,251.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 1,235,938.				
a Applied to 2009, but not more than line 2a			86,251.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				1,149,687.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				135,968.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶  
 b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

1 **Information Regarding Foundation Managers:**  
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)  
**NONE**  
 b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.  
**NONE**

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.  
 a The name, address, and telephone number of the person to whom applications should be addressed:  
**GINNY STRAUSBURG, EXECUTIVE DIRECTOR, 937-259-7925**  
**1065 WOODMAN DRIVE, DAYTON, OH 45432**  
 b The form in which applications should be submitted and information and materials they should include:  
**SEE ATTACHED GUIDELINES**  
 c Any submission deadlines:  
**SEE ATTACHED GUIDELINES**  
 d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
**SEE ATTACHED GUIDELINES**

**THE DAYTON POWER AND LIGHT  
COMPANY FOUNDATION**

**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ARTS & CULTURE - SEE ATTACHED SCHEDULE FOR DETAILS				230,000.
CIVIC & COMMUNITY - SEE ATTACHED SCHEDULE FOR DETAILS				357,500.
EDUCATION - SEE ATTACHED SCHEDULE FOR DETAILS				237,815.
HEALTH & HUMAN SERVICES - SEE ATTACHED SCHEDULE FOR DETAILS				161,000.
UNITED WAY - SEE ATTACHED SCHEDULE FOR DETAILS				183,000.
<b>Total</b>			<b>► 3a</b>	<b>1,169,315.</b>
<b>b Approved for future payment</b>				
UNITED WAY OF THE GREATER DAYTON AREA 33 WEST FIRST STREET DAYTON, OH 45402				170,000.
DAYTON DEVELOPMENT COALITION 40 NORTH MAIN STREET DAYTON, OH 45402				50,000.
DAYTON MONTGOMERY COUNTRY SCHOLARSHIP FUND C/O THE DAYTON FOUNDATION - 500 KETTERING TOWER DAYTON,				50,000.
<b>Total</b>			<b>► 3b</b>	<b>270,000.</b>



**THE DAYTON POWER AND LIGHT  
COMPANY FOUNDATION**

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
<b>b</b>	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: Kenny Strassburg Date: 11-1-11 Title: Exec. Dir. DP+L Fdn.

<b>Paid Preparer Use Only</b>	Print/Type preparer's name <u>KAREN O CRIM</u>	Preparer's signature <u>Karen O. Crim</u>	Date <u>8/24/11</u>	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶ <u>BATTELLE &amp; BATTELLE LLP</u>			Firm's EIN ▶	
	Firm's address ▶ <u>2000 WEST DOROTHY LANE DAYTON, OH 45439</u>			Phone no. <u>937 298-0201</u>	

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MORGAN STANLEY	114.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	114.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AMERICAN ELECTRIC POWER	85,500.	0.	85,500.
VANGUARD ADMIRAL FUND	382,628.	0.	382,628.
VANGUARD INDEX PLUS FUND	183,106.	0.	183,106.
VANGUARD INT TERM BOND INDEX SIG	137,569.	0.	137,569.
TOTAL TO FM 990-PF, PART I, LN 4	788,803.	0.	788,803.

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	23,735.	11,867.		11,868.
TO FORM 990-PF, PG 1, LN 16B	23,735.	11,867.		11,868.

## FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
REAL ESTATE TAX	3,258.	3,258.		0.
FEDERAL EXCISE TAX	12,750.	0.		0.
TO FORM 990-PF, PG 1, LN 18	16,008.	3,258.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OHIO FILING FEE	200.	0.		200.	
MEMBERSHIP DUES	575.	0.		575.	
BANK SERVICE FEES	594.	594.		0.	
TO FORM 990-PF, PG 1, LN 23	1,369.	594.		775.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
AMERICAN ELECTRIC POWER COMMON STOCK	1,606,765.	1,799,000.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,606,765.	1,799,000.		

FORM 990-PF	CORPORATE BONDS		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
VANGUARD SHORT-TERM TREASURY FUND	12,664,431.	12,494,742.		
VANGUARD INSTITUTIONAL INDEX FUND	9,256,852.	9,940,201.		
VANGUARD INTERMEDIATE-TERM BOND INDEX FUND	2,125,394.	2,289,320.		
TOTAL TO FORM 990-PF, PART II, LINE 10C	24,046,677.	24,724,263.		



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FORM 990-PF                      PART VIII - LIST OF OFFICERS, DIRECTORS                      STATEMENT      8  
    TRUSTEES AND FOUNDATION MANAGERS

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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DANIEL J. MCCABE 1065 WOODMAN DRIVE DAYTON, OH 45432	PRESIDENT 0.25	0.	0.	0.
JOE MULPAS 1065 WOODMAN DRIVE DAYTON, OH 45432	TREASURER 0.25	0.	0.	0.
SCOTT J. KELLY 1065 WOODMAN DRIVE DAYTON, OH 45432	DIRECTOR 0.25	0.	0.	0.
NED J. SIFFERLEN 1065 WOODMAN DRIVE DAYTON, OH 45432	DIRECTOR 0.25	0.	0.	0.
PAUL R. BISHOP 1065 WOODMAN DRIVE DAYTON, OH 45432	DIRECTOR 0.25	0.	0.	0.
TIM RICE 1065 WOODMAN DRIVE DAYTON, OH 45432	DIRECTOR 0.25	0.	0.	0.
GINNY STRAUSBURG 1065 WOODMAN DRIVE DAYTON, OH 45432	EXECUTIVE DIRECTOR/SECRETARY 12.00	59,978.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		59,978.	0.	0.

## To all who Support our Community - Thank You

Countless volunteers and community organizations are the real power behind the success of West Central Ohio. To help these efforts, The DP&L Foundation annually donates more than \$1 million to a variety of organizations including: The Schuster Performing Arts Center, The Dayton Franchise, The Dayton Urban League, as well as our local colleges and universities.

## Submit Requests for Funding to:

### The Dayton Power and Light Company Foundation

1065 Woodman Drive  
Dayton, Ohio 45432  
Tel: (937) 259-7925  
Fax: (937) 259-7923

# The Power of Community

*Investing in West Central Ohio*



The Dayton Power and Light  
Company Foundation



THE UNIVERSITY  
OF DAYTON  
ESTABLISHED 1850

## Investing in West Central Ohio

The Dayton Power and Light Company has a long history of serving the Miami Valley and the surrounding communities of West Central Ohio. In 1985, DP&L established The Dayton Power and Light Company Foundation as a means to reinvest in the communities it serves and contribute to the region's overall quality of life. As such, The DP&L Foundation supports a variety of civic, cultural, and youth organizations, with a particular emphasis on those that have an educational focus.



## Contributing to the Community

Each year, The DP&L Foundation is faced with making difficult decisions about contributing its limited resources among the many worthwhile community projects and organizations. In reviewing each request, the Foundation is guided by the following criteria:

1. Value and benefit to the community.
2. Overall community support.
3. Financial viability and health of the organization.
4. Past DP&L Foundation support.

The Foundation does not generally fund:

1. Individuals.
2. Religious organizations.
3. National organizations outside of West Central Ohio.
4. Other foundations.
5. Capital campaigns.
6. Endowment or developmental funds.
7. Fraternal, labor or veteran organizations.
8. Hospital operating needs.
9. Sports leagues.
10. Telephone or mass mail solicitation.

Contributions are planned annually so submitting a request as far in advance as possible is recommended.

## Applying for DP&L Foundation Support

There is no formal application form.

Requests should be made in writing and include:

- A description of the organization's history, structure, purpose and the program or project for which support is needed.
- Status and results of programs previously funded by The DP&L Foundation.
- A list of other donors and the level of support received or requested.
- A copy of the IRS ruling classifying the organization as tax exempt [section 501 (c) (3)] and not a private foundation [section 509 (a)] of the 1954 IRS Code as amended.
- A copy of the organization's most recent Form 990.
- An independent financial audit.
- A financial budget.
- Sources of income.
- Expenditures by program, administration and fundraising.

Organization	
<b>Arts &amp; Culture:</b>	
Beavercreek Community Theatre	5,000 00
CityFolk	10,000 00
Darke County Center For The Arts	5,000 00
Dayton Art Institute	25,000.00
Dayton Ballet	15,000 00
Dayton Contemporary Dance	15,000.00
Dayton Opera	15,000 00
Dayton Philharmonic Orchestra	15,000 00
Dayton Visual Arts Center	15,000 00
Human Race Theatre Company	15,000 00
K 12 Gallery	20,000 00
Mad River Theater Works	5,000 00
Muse Machine	25,000 00
Victoria Theatre	35,000 00
Aullwood Audubon Center and Farm	10,000 00
<b>Total Arts &amp; Culture:</b>	<b>230,000.00</b>

<b>Civic &amp; Community Affairs:</b>	
Dayton Development Coalition	50,000 00
Big Brothers/Big Sisters of Greater Dayton	25,000 00
Big Brothers/Big Sisters Mercer Auglaize	5,000 00
Big Brothers/Big Sisters Shelby/ Darke Co	5,000.00
Boonshoft Museum Of Discovery Dayton	20,000 00
Boy Scouts of America - Miami Valley Council	25,000.00
Boy Scouts of America - Tecumseh Council	10,000 00
Boys and Girls Club of Dayton	5,000 00
Boys and Girls Club of Maysville	5,000 00
Camp Fire USA	8,000 00
Cox Arboretum Foundation	20,000.00
Dayton Development Coalition	50,000 00
Dayton History	20,000 00
East End Community Services	20,000.00
Habitat for Humanity Darke County	5,000.00
Habitat for Humanity-Limestone	5,000 00
Habitat for Humanity-Miami County	5,000 00
Junior Achievement of Dayton and Miami	5,000.00
National Conference for Community and Justice	25,000 00
Preble County Historical Society	5,000 00
Rebuilding Together Dayton	20,000 00
YMCA Clinton County	1,000.00
YMCA Champaign County	1,000 00
YMCA Fayette County	2,500 00
YMCA Greater Dayton	10,000 00
YMCA Maysville	1,000.00

YMCA Logan Co Hilliker	1,000 00
YMCA Preble County	2,000 00
YMCA Sidney-Shelby County	1,000 00
<b>Total Civic &amp; Community Affairs:</b>	<b>357,500.00</b>

<b>Education:</b>	
Ohio Grantmakers Forum	1,814 76
Central State University	10,000 00
Crayons to Classrooms	15,000 00
Dayton Fnd Mont Co Scholarship Fund	50,000 00
Kids in New Directions	6,000 00
Learning Tree Farm	5,000 00
Project Read	10,000 00
Sinclair Foundation	25,000 00
Think TV Network	10,000.00
University of Dayton	25,000 00
Wilberforce University	20,000 00
Wilmington College	20,000 00
Wright State University Foundation	20,000 00
Urbana University	10,000 00
Girl Scouts of Western Ohio	10,000 00
<b>Total Education:</b>	<b>237,814.76</b>

<b>Health &amp; Human Services:</b>	
American Red Cross Clinton County	5,000 00
American Red Cross	10,000 00
American Red Cross of Mason County	5,000 00
American Red Cross Northern Miami Vall	5,000 00
Artemis Center for Alternatives to Domestic	15,000 00
Children's Hunger Alliance	8,000 00
Community Blood Center	8,000 00
Daybreak	20,000 00
Family Services Association	5,000 00
Foodbank	15,000 00
Family Viol Prev Ctr Greene Co	10,000 00
Hospice of Hope	5,000 00
Linda Vista Project	5,000 00
Prevent Blindness Ohio	10,000 00
St Vincent de Paul	15,000 00
United Rehabilitation Services	15,000.00
Women's Crisis Center Buffalo Trace	5,000 00
<b>Total Health &amp; Human Services:</b>	<b>161,000.00</b>

<b>United Way:</b>	
United Way of Greater Dayton Area	170,000 00
United Way of Clinton County	2,000 00
United Way of Darke County	1,000 00
United way of Fayette County	1,000.00
United Way of Logan County	1,000 00
United Way of Mason County	3,000 00
United Way of Troy	2,000 00
United Way of Shelby County	2,000 00
United Way of Union County	1,000 00
<b>Total United Way:</b>	<b>183,000.00</b>

<b>TOTAL:</b>	<b>1,169,314.76</b>
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