Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
ENGLISH FAMILY FOUNDATION
C/O AMIE BROOKS

A Employer identification number
31-0921799

Number and street (or P O box number if mail is not delivered to street address)
7321 RIVERSIDE DRIVE

Room/suite

B Telephone number
740-973-4717

City or town, state, and ZIP code
POWELL, OH 43065

C If exemption application is pending, check here ▶☐

D 1. Foreign organizations, check here ▶☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 1,919,999.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received			N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	51,434.	51,434.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	122,600.			
	b	Gross sales price for all assets on line 6a 1,165,059.				
	7	Capital gain net income (from Part IV, line 2)		122,600.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	298.	298.		STATEMENT 2
	12	**Total** Add lines 1 through 11	174,332.	174,332.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 3	2,200.	1,980.		1,980.
	c	Other professional fees STMT 4	16,399.	16,399.		0.
	17	Interest				
	18	Taxes STMT 5	223.	223.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 6	65.	65.		0.
	24	**Total operating and administrative expenses** Add lines 13 through 23	18,887.	18,667.		1,980.
	25	Contributions, gifts, grants paid	85,900.			85,900.
	26	**Total expenses and disbursements** Add lines 24 and 25	104,787.	18,667.		87,880.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	69,545.			
	b	**Net investment income** (if negative enter -0-)		155,665.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

RECEIVED
MAY 17 2011
OGDEN, UT
IRS-OSC

SCANNED MAY 18 2011

023501
12-07-10 LHA **For Paperwork Reduction Act Notice, see the instructions**

Form **990-PF** (2010)

13

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	3.	3.	3.
	2	Savings and temporary cash investments	251,115.	149,743.	149,743.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 7	1,605,002.	1,770,253.	1,770,253.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	1,856,120.	1,919,999.	1,919,999.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31**			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	1,856,120.	1,919,999.	
	30	**Total net assets or fund balances**	1,856,120.	1,919,999.	
	31	**Total liabilities and net assets/fund balances**	1,856,120.	1,919,999.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,856,120.
2	Enter amount from Part I, line 27a	2	69,545.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,925,665.
5	Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSS ON INVESTMENTS	5	5,666.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,919,999.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SHORT TERM - SEE ATTACHED SHEET	P		
b	LONG TERM - SEE ATTACHED SHEET	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	539,050.		523,474.	15,576.
b	626,009.		518,985.	107,024.
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				15,576.
b				107,024.
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	122,600.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009			
2008			
2007			
2006			
2005			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	3,113.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	3,113.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-			5	3,113.
6	Credits/Payments:				
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	866.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	866.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	2,247.
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If "Yes," attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If "Yes," attach the statement required by General Instruction T			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year?	7	X	
	If "Yes," complete Part II, col (c), and Part XV			
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Form **990-PF** (2010)

023531
12-07-10

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ AMIE BROOKS Telephone no. ▶ 614-212-8159

Located at ▶ 7321 RIVERSIDE DRIVE, POWELL, OH ZIP+4 ▶ 43065

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Yes	No
16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

		Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A	1b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
If "Yes," list the years ▶ ______, ______, ______, ______			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)* N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Form **990-PF** (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELLEN JULIAN 1300 FOUNTAINE DR. COLUMBUS, OH 43221	TRUSTEE 1.00	0.	0.	0.
AMIE BROOKS 7321 RIVERSIDE DR POWELL, OH 43065	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Form **990-PF** (2010)

023551
12-07-10

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	1,667,318.
b	Average of monthly cash balances			1b	199,943.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	1,867,261.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	1,867,261.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	28,009.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	1,839,252.
6	**Minimum investment return** Enter 5% of line 5			6	91,963.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	91,963.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	3,113.		
b	Income tax for 2010 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3,113.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	88,850.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	88,850.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	88,850.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	87,880.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	87,880.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	87,880.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form **990-PF** (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				88,850.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			77,496.	
b Total for prior years: _____ , _____ , _____		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 87,880.				
a Applied to 2009, but not more than line 2a			77,496.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				10,384.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				78,466.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2011** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

AMIE BROOKS, 614-451-6434
7321 RIVERSIDE DRIVE, POWELL, OH 43065

b The form in which applications should be submitted and information and materials they should include:

LETTER FORM

c Any submission deadlines.

MAY 1 AND OCTOBER 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

FRANKLIN AND DELAWARE COUNTIES IN OHIO - EDUCATIONAL PURPOSES

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 8				
Total			▶ 3a	85,900.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	51,434.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	298.	
8 Gain or (loss) from sales of assets other than inventory			18	122,600.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		174,332.	0.

13 **Total** Add line 12, columns (b), (d), and (e) 13 174,332.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Amie E. Brooks | 5-11-2011 | TRUSTEE

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
T.J. CONGER, CPA	TJ Conger CPA	5-9-11		

Firm's name ▶ JOHN GERLACH & COMPANY LLP | Firm's EIN ▶

37 W BROAD ST STE 530

Firm's address ▶ COLUMBUS, OH 43215 | Phone no 614-224-2164

Form **990-PF** (2010)

023622
03-04-11

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
UBS	51,174.	0.	51,174.
UBS	260.	0.	260.
TOTAL TO FM 990-PF, PART I, LN 4	51,434.	0.	51,434.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
EXCHANGE TRANSACTION	272.	272.	
OTHER INCOME	26.	26.	
TOTAL TO FORM 990-PF, PART I, LINE 11	298.	298.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,200.	1,980.		1,980.
TO FORM 990-PF, PG 1, LN 16B	2,200.	1,980.		1,980.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBS	16,249.	16,249.		0.
UBS	150.	150.		0.
TO FORM 990-PF, PG 1, LN 16C	16,399.	16,399.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	23.	23.		0.
OHIO FILING FEE	200.	200.		0.
TO FORM 990-PF, PG 1, LN 18	223.	223.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER EXPENSES	65.	65.		0.
TO FORM 990-PF, PG 1, LN 23	65.	65.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MARKET CORPORATE STOCKS HELD BY UBS AS CUSTODIAN	1,770,253.	1,770,253.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,770,253.	1,770,253.

FORM 990-PF GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR STATEMENT 8

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
BALLET MET 322 MT. VERNON AVE COLUMBUS, OH 43215	NONE OPERATING FUNDS	PUBLIC CHARITY	4,000.
BEXLEY EDUCATION FOUNDATION 348 SOUTH CASSINGHAM ROAD COLUMBUS, OH 43209	NONE OPERATING FUNDS	PUBLIC CHARITY	1,500.
BIG BROTHERS BIG SISTERS OF CENTRAL OHIO 1855 EAST DUBLIN-GRANVILLE RD COLUMBUS, OH 43229	NONE OPERATING FUNDS	PUBLIC CHARITY	5,000.
CANINE COMPANIONS FOR INDEPENDENCE 4969 STATE ROUTE 37 DELAWARE, OH 43015	NONE OPERATING FUNDS	PUBLIC CHARITY	6,000.
CAPITAL AREA HUMANE SOCIETY 3015 SCIOTO DARBY HILLIARD, OH 43026	NONE OPERATING FUNDS & SAFE HAVEN FOR PETS	PUBLIC CHARITY	5,000.
COSI 333 WEST BROAD STREET COLUMBUS, OH 43215	NONE OPERATING FUNDS	PUBLIC CHARITY	33,400.
EASTER SEALS OF CENTRAL OHIO 565 CHILDREN'S DRIVE WEST COLUMBUS, OH 43205	NONE OPERATING FUNDS	PUBLIC CHARITY	5,000.
EMPLOYMENT FOR SENIORS INC 4500 EAST BROAD STREET COLUMBUS, OH 43213	NONE OPERATING FUNDS	PUBLIC CHARITY	1,000.

FRANKLIN PARK CONSERVATORY 1777 E BROAD ST COLUMBUS, OH 43203	NONE OPERATING FUNDS	PUBLIC CHARITY	3,500.
GOODWILL COLUMBUS 1331 EDGEHILL ROAD COLUMBUS, OH 43212	NONE OPERATING FUNDS	PUBLIC CHARITY	2,000.
JUNIOR LEAGUE OF COLUMBUS 586 EAST TOWN STREET COLUMBUS, OH 43215	NONE OPERATING FUNDS	PUBLIC CHARITY	2,000.
LAW ENFORCEMENT FOUNDATION 6277 RIVERSIDE DRIVE DUBLIN, OH 43017	NONE OPERATING FUNDS	PUBLIC CHARITY	3,000.
LIFE CARE ALLIANCE 1699 W MOUND ST COLUMBUS, OH 43223	NONE OPERATING FUNDS	PUBLIC CHARITY	1,000.
NEW DIRECTIONS CAREER CENTER 370 S FIFTH ST COLUMBUS, OH 43215	NONE OPERATING FUNDS	PUBLIC CHARITY	5,500.
OHIO WILDLIFE CENTER 6131 COOK ROAD POWELL, OH 43065	NONE OPERATING FUNDS	PUBLIC CHARITY	500.
STRATFORD ECOLOGICAL CENTER 3083 LIBERTY ROAD DELAWARE, OH 43015	NONE SERVICE GARDEN	PUBLIC CHARITY	1,500.
GREEN LAWN CEMETARY 1000 GREEN LAWN AVENUE COLUMBUS, OH 43223	NONE OPERATING FUNDS	PUBLIC CHARITY	1,000.
BETTER BUSINESS BUREAU EDUCATION FOUNDATION 1169 DUBLIN ROAD COLUMBUS, OH 43215	NONE OPERATING FUNDS	PUBLIC CHARITY	3,000.

POWELL ANIMAL WELFARE P.O. BOX 211 POWELL, OH 43065	NONE OPERATING FUNDS	PUBLIC CHARITY	1,000.
PROMUSICA CHAMBER ORCHESTRA 243 NORTH FIFTH STREET COLUMBUS, OH 43215	NONE OPERATING FUNDS	PUBLIC CHARITY	1,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			85,900.

English Family Foundation

Year ended 12/31/2010

	Short Term			Long Term			Total
	Basis	Proceeds	Gain/Loss	Basis	Proceeds	Gain/Loss	Gain/Loss
ACCT 02229	248,484	264,050	15,566	518,985	626,009	107,024	122,590
ACCT 03952	274,990	275,000	10	-	-	-	10
	523,474	539,050	15,576	518,985	626,009	107,024	122,600 122,600

UNREAL. GAIN/LOSS

02229	116,949
03952	(15)
TOTAL UNREAL per statements	116,934
TOTAL REAL	122,600
UNREALIZED	(5,666)

Checks (continued)

Check number	Date cleared	Description	Amount ($)	Check number	Date cleared	Description	Amount ($)
				Total			
				Total Checks			**$88,300.00**

Realized gains and losses

Estimated 2010 gains and losses for transactions with trade dates through 12/31/10 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Undassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
ALLY BANK UT US PT 00 5000% MAT 02/19/10 FIXED RATE CD	FIFO	150,000 000	Aug 13, 09	Feb 19, 10	150,000 00	150,000 00			
COLUMBUS B&T CO GA US PT 00 8000% MAT 09/09/10 FIXED RATE CD	FIFO	25,000 000	May 18 10	Sep 09, 10	25,000 00	25,040 00	-40 00		
COMPASS BK BRMGM AL US RT 00 2500% MAT 11/22/10 FIXED RATE CD	FIFO	25,000 000	May 18, 10	Nov 22, 10	25,000 00	25,000 00			
DORAL BANK PR RT 00 5500% MAT 01/19/10 FIXED RATE CD	FIFO	50,000 000	Sep 28, 09	Jan 19, 10	50,000 00	49,950 00		50 00	
GRAND BK NA NJ US RT 00 1500% MAT 06/28/10 FIXED RATE CD	FIFO	25,000 000	May 18 10	Jun 28, 10	25,000 00	25,000 00			
Total				ST	**$275,000 00**	**$274,990.00**	**-$40.00**	**$50.00**	**$10.00**
Net capital gains/losses·									**$10.00**

APZ20003001396770 PZ2000150153 00002 1210 000000000 1 UE KM

End of statement for account number UE 03952 KM

UBS Financial Services Inc
5007 HORIZONS DR
SUITE 200
COLUMBUS OH 43220-5262

CPZ2001873655 1210 UE 1

2010 Year End Summary

Duplicate statement for the account of:
THE ENGLISH FAMILY FOUNDATION
ATTN AMIE ENGLISH BROOKS
7321 RIVERSIDE DRIVE
POWELL OH 43065-8565
Account number UE 02229 KM

JOHN GERLACH & COMPANY LLP
C/O MONICA ASHBROOK, CPA
37 W BROAD ST 5TH FLOOR
COLUMBUS OH 43215-4132

Summary of gains and losses

	Amount ($)
Short term	15,566.55
Long term	107,233 52
Total	**$122,800.07**

Realized gains and losses

Estimated 2010 gains and losses for transactions with trade dates through 12/31/10 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AETNA INC	FIFO	8 000	Feb 12, 10	Nov 09, 10	251 35	228 04		23.31	
ALLERGAN INC	FIFO	18 000	Mar 09, 09	Jan 06, 10	1,124 53	666 18		458 35	
ALLIANT TECHSYSTEMS INC	FIFO	5 000	May 07, 10	Nov 09, 10	383 49	388 84	-5 35		

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AMDOCS LTD GBP	FIFO	38 000	Mar 06, 09	Jan 22, 10	1,119 75	608 84		510 91	
APOLLO GROUP INC CL A	FIFO	24 000	Dec 03, 09	Oct 14, 10	888 57	1,320 42	-431 85		
	FIFO	7 000	Feb 12, 10	Oct 14, 10	259 17	411 61	-152 44		
BIOGEN IDEC INC	FIFO	7 000	Apr 14, 10	Nov 09, 10	442 97	383 34		59 63	
CAMERON INTL CORP	FIFO	8 000	Jun 03, 10	Nov 09, 10	368 33	272 68		95 65	
CARDINAL HEALTH INC	FIFO	10 000	Mar 05, 09	Jan 13, 10	320 06	219 16		100 90	
CARTER'S INC	FIFO	11 000	Sep 15 10	Nov 09, 10	323 65	275 45		48 20	
CHESAPEAKE ENERGY CORP OKLA	FIFO	47 000	Mar 06, 09	Jan 06, 10	1,354 47	647 10		707 37	
COMCAST CORP NEW SPECIAL CL A	FIFO	200 000	Mar 05, 09	Feb 11, 10	2,900 74	2,237 64		663 10	
CONOCOPHILLIPS	FIFO	15 000	Mar 05, 09	Jan 26, 10	752 90	529 47		223 43	
COOPER COMPANIES INC NEW	FIFO	17 000	Aug 03, 09	Apr 14 10	660 26	467 71		192 55	
	FIFO	11 000	Oct 30, 09	Apr 14, 10	427 22	308 63		118 59	
	FIFO	9 000	Aug 03, 09	Feb 12 10	340 71	247 61		93 10	
DEL MONTE FOODS CO	FIFO	20 000	Mar 06 09	Mar 04, 10	265 85	138 78		127 07	
DRESSER RAND GROUP INC	FIFO	8 000	Nov 02, 10	Nov 09, 10	311 97	279 79		32 18	
EDISON INTL	FIFO	6 000	Jun 03, 10	Nov 09, 10	225 42	196 50		28 92	
EXPEDITORS INTL WASH INC	FIFO	6 000	Apr 14, 10	Nov 09, 10	304 13	225 80		78 33	
	FIFO	8 000	Apr 14, 10	Oct 11, 10	380 93	301 07		79 86	
FURIEX PHARMACEUTICALS INC COM	FIFO	1 000	Sep 17, 09	Jun 22, 10	10 57	9 42		1 15	
	FIFO	2 000	Dec 03, 09	Jun 22, 10	21 15	18 21		2 94	
GENZYME CORP GEN DIV	FIFO	1 000	Jan 06, 10	Nov 09, 10	70 89	48 94		21 95	
	FIFO	3 000	Apr 14, 10	Nov 09, 10	212 66	157 47		55 19	
	FIFO	11 000	Jan 06, 10	Aug 30, 10	770 95	538 39		232 56	
	FIFO	11 000	Jan 06, 10	Jul 29, 10	773 24	538 39		234 85	
H & R BLOCK INC	FIFO	13 000	Oct 30, 09	Oct 18, 10	144 46	239 13	-94 67		
	FIFO	17 000	Jan 06, 10	Oct 18, 10	188 91	375 14	-186 23		
	FIFO	27 000	Mar 18, 10	Oct 18, 10	300 03	458 32	-158 29		
	FIFO	5 000	Jul 02, 10	Oct 18, 10	55 56	76 69	-21 13		
	FIFO	56 000	Mar 05, 09	Feb 02, 10	1,212 22	972 55		239 67	

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	12 000	Sep 17, 09	Feb 02, 10	259 76	211 55		48 21	
HASBRO INC	FIFO	10 000	Sep 21, 09	Jun 03, 10	397 23	285 39		111 84	
	FIFO	19 000	Oct 30, 09	Jun 03, 10	754 74	518 15		236 59	
	FIFO	17 000	Sep 21, 09	Feb 12, 10	605 88	485 17		120.71	
HOLOGIC INC	FIFO	41 000	Jan 28, 10	Nov 09, 10	685 09	619 77		65 32	
HOSPIRA INC	FIFO	11 000	Mar 06, 09	Jan 28, 10	561 46	241 45		320 01	
INTL FLAVORS&FRGRNCS	FIFO	10 000	Mar 06, 09	Jan 22, 10	414 29	251 50		162 79	
KROGER COMPANY	FIFO	18 000	Jan 06, 10	Nov 09, 10	408 77	363 12		45 65	
LAUDER ESTEE COS CL A	FIFO	24 000	Mar 06, 09	Jan 28, 10	1,274 91	492 24		782 67	
MOLEX INC	FIFO	52 000	Mar 06, 09	Jan 22, 10	1,083 04	515 73		567 31	
MOODYS CORP	FIFO	12 000	Mar 06, 09	Jan 22, 10	329 71	190 30		139 41	
NEWFIELD EXPLORATION COS	FIFO	11 000	Mar 06, 09	Jan 29, 10	562 13	210 76		351 37	
NEWS CORP INC DELA CL A	FIFO	17 000	Jun 30, 10	Sep 21, 10	234 83	207 90		26.93	
	FIFO	51 000	Jul 02, 10	Sep 21, 10	704 50	596 60		107 90	
NTHN TRUST CORP	FIFO	9 000	May 07, 10	Nov 09, 10	455 97	467 39	-11 42		
PENN WEST ENERGY TRUST UNIT ORD CAD	FIFO	28 000	Mar 06, 09	Feb 12, 10	505 71	200 40		305 31	
PICO HOLDINGS INC NEW	FIFO	5 000	Jun 30, 10	Nov 09, 10	159 90	150 32		9 58	
PRECISION CASTPARTS CORP	FIFO	5 000	Mar 06, 09	Feb 12, 10	540 90	240 10		300 80	
SARA LEE CORP	FIFO	78 000	Jun 16, 09	Apr 13, 10	1,109.86	684 06		425 80	
	FIFO	20 000	Jun 16, 09	Mar 04, 10	278 96	175 40		103 56	
	FIFO	29 000	Jun 16, 09	Feb 12, 10	374 65	254 33		120 32	
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	6 000	Oct 30, 09	Sep 03, 10	342 51	276 44		66 07	
	FIFO	12 000	Jan 22, 10	Sep 03, 10	685 02	494 34		190 68	
SK TELECOM CO LTD ADR	FIFO	66 000	Mar 06, 09	Feb 12, 10	1,104 25	850 74		253 51	
SMITH INTL INC **MERGER EFF 08/2010**	FIFO	18 000	Oct 30, 09	Apr 13, 10	792 30	497 60		294 70	
SOWSTN ENERGY CO	FIFO	4 000	Jan 22, 10	Apr 13, 10	166 05	186 04	-19 99		
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	FIFO	5 000	Apr 14, 10	Nov 09, 10	252 54	308 22	-55 68		

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
UNITED PARCEL SERVICE INC CL B	FIFO	18 000	Feb 04 10	Jul 23, 10	1,145 65	1,039 73		105 92	
	FIFO	10 000	Jul 02, 10	Jul 23, 10	636 48	565 47		71 01	
VALERO ENERGY CORP NEW	FIFO	19 000	Sep 15, 10	Nov 09, 10	364 41	327 98		36 43	
VARIAN MEDICAL SYSTEMS INC	FIFO	7 000	Mar 06, 09	Mar 04, 10	352 50	191 17		161 33	
VCA ANTECH INC	FIFO	13 000	Oct 22 10	Nov 09, 10	286 77	259 99		26 78	
VULCAN MATERIALS CO NEW (HOLDING COMPANY)	FIFO	5 000	Jul 02, 10	Dec 02, 10	212 55	216 40	-3 85		
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	35 000	Dec 21, 09	Oct 06, 10	1,180 08	1,134 00		46 08	
	FIFO	7 000	Jun 30, 10	Oct 06, 10	236 02	226 24		9 78	
	FIFO	15 000	Jul 02, 10	Oct 06, 10	505 75	462 43		43 32	
	FIFO	20 000	Dec 21, 09	Sep 01, 10	670 78	648 00		22 78	
WESTERN UNION CO	FIFO	17 000	Feb 12, 10	Nov 09, 10	314 49	274 95		39 54	
	FIFO	40 000	Feb 12, 10	Sep 03, 10	658 10	646 95		11 15	
WISC ENERGY CORP	FIFO	11 000	Mar 06, 09	Jan 28, 10	537 81	405 02		132 79	
XEROX CORP	FIFO	112 000	Mar 09, 09	Jan 22, 10	1,030 53	467 04		563 49	
ZIMMER HOLDINGS INC	FIFO	11 000	Mar 06, 09	Mar 04, 10	644 54	349 03		295 51	
	FIFO	8 000	Mar 06, 09	Jan 22, 10	492 76	253 84		238 92	
ISHARES IBOXX $ INVT GRADE CORPORATE BOND FUND	FIFO	16 000	Oct 23, 09	Jun 30, 10	1,732 06	1,681 53		50 53	
	FIFO	611 000	Oct 30, 09	Jun 30, 10	66,143 05	64,370 38		1,772 67	
	FIFO	16 000	Jan 07, 10	Jun 30, 10	1,732 06	1,680 96		51 10	
	FIFO	11 000	Apr 14, 10	Jun 30, 10	1,190 79	1 164 12		26 67	
	FIFO	8 000	Jun 09, 10	Jun 30, 10	866 03	849 56		16 47	
	FIFO	14 000	Mar 05, 09	Feb 16, 10	1,456 72	1,306 63		150 09	
ISHARES TRUST S&P SMALLCAP 600 INDEX FUND	FIFO	10 000	Mar 05, 09	Feb 16, 10	541 29	310 80		230 49	
SPDR S&P DIVID ETF	FIFO	57 000	Mar 05, 09	Feb 16, 10	2,627 20	1,574 46		1,052 74	
SPDR S&P WORLD EX-US ETF	FIFO	41 000	Mar 05, 09	Feb 16, 10	935 19	565 79		369 40	

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
VANGUARD INTL EQUITY INDEX FD EMERGING MARKETS ETF	FIFO	843 000	Oct 30, 09	Aug 30, 10	34,530 04	32,326 94		2,203 10	
	FIFO	14 000	Jan 07, 10	Aug 30, 10	573 45	592 86	-19 41		
	FIFO	65 000	Oct 30, 09	Jun 30, 10	2,502 45	2,492 59		9.86	
	FIFO	11 000	Oct 30, 09	Feb 16, 10	431 41	421 82		9 59	
AMERICAN CENTURY EQUITY MARKET NEUTRAL CL A	FIFO	28 246	Sep 01, 10	Nov 09, 10	282 74	274 27		8 47	
CREDIT SUISSE COMMODITY RETURN STRATEGY FUND CLASS A	FIFO	95 156	Mar 05, 09	Feb 16, 10	787 89	651 82		136 07	
DIAMOND HILL LONG-SHORT FUND CLASS A	FIFO	57 829	Mar 05, 09	Feb 16, 10	940 88	655 78		285 10	
FT TEMPLETON GLOBAL BOND A	FIFO	6,135 224	Feb 18, 10	Jun 30, 10	79,205 74	79,328.45	-122 71		
	FIFO	88 378	Earnings	Jun 30, 10	1,140 95	1,171 92	-30 97		
HARTFORD FLOATING RATE FUND CLASS A	FIFO	45 740	Oct 30, 09	Feb 16, 10	390 16	379 64		10 52	
INVESCO GLOBAL REAL ESTATE FUND CLASS A	FIFO	346 140	Oct 30, 09	Aug 30, 10	3,257 56	3,111 80		155 76	
	FIFO	47 477	Oct 30, 09	Feb 16, 10	420 65	426 82	-6 17		
JAMES MARKET NEUTRAL FUND	FIFO	1,577 204	Oct 30, 09	Aug 30, 10	14,431 42	15,992 85	-1,561.43		
	FIFO	95 175	Mar 05, 09	Feb 16, 10	870 85	1,071 67	-200 82		
OPPENHEIMER INTL BOND FD CL A	FIFO	452 295	Oct 30, 09	Aug 30, 10	2,958 01	2,958 01			
	FIFO	117 756	Oct 30, 09	Feb 16, 10	748 93	770 12	-21 19		
ROYCE VALUE INV	FIFO	90 374	Mar 05, 09	Feb 16, 10	916 39	512 42		403 97	
THORNBURG INTERNATIONAL VALUE FUND CLASS A	FIFO	39 847	Mar 05, 09	Feb 16, 10	945 18	609 26		335 92	
Total				ST	**$264,050.38**	**$248,483.83**	**-$3,103.60**	**$18,670.15**	**$15,566.55**

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AXIS CAPITAL HOLDINGS LTD SHS	FIFO	45 000	Mar 06, 09	Apr 13, 10	1,420 36	832 05		588 31	
BAKER HUGHES INC	FIFO	6 000	Mar 06, 09	Nov 09, 10	301 43	136 16		165 27	
	FIFO	10 000	Mar 06, 09	Nov 01, 10	488 55	226 93		261 62	
	Adjustment	0 435	Mar 10, 09	Apr 28, 10	21 81	10 13		11 68	
BERKSHIRE HATHAWAY INC NEW CL B	FIFO	42 000	Mar 05 09	Jun 30, 10	3,377 23	1,944 60		1,432 63	
	FIFO	12 000	Mar 05, 09	Apr 26, 10	953 68	555 60		398 08	
BJ SERVICES CO **MERGER EFF 04/2010**	Adjustment	61 000	Mar 06, 09	Apr 28, 10	708 72	544 63		164 09	
	Adjustment	40 000	Mar 10, 09	Apr 28, 10	490 39	382 79		107 60	
BOSTON SCIENTIFIC CORP	FIFO	86 000	Aug 03, 09	Nov 09, 10	579 68	943 64	-363 96		
	FIFO	49 000	Oct 30, 09	Nov 09, 10	330 29	400 50	-70 21		
CADBURY PLC **MERGER EFF 04/2010** SPON ADR	FIFO	14 000	Mar 06, 09	Mar 16, 10	420 71	398 56		22 15	
	FIFO	20 000	Mar 09, 09	Mar 16, 10	601 02	561 91		39 11	
CARDINAL HEALTH INC	FIFO	29 000	Mar 05, 09	Jun 17, 10	1,040 07	635 57		404 50	
CAREFUSION CORP	FIFO	19 000	Mar 05, 09	Jun 30, 10	432 66	319 35		113 31	
CONOCOPHILLIPS	FIFO	19 000	Mar 05, 09	Jun 30, 10	948 87	670 66		278 21	
DEL MONTE FOODS CO	FIFO	83 000	Mar 06, 09	Mar 19, 10	1,174 55	575 94		598 61	
DUN & BRADSTREET CORP NEW	FIFO	3 000	Sep 17, 09	Nov 09, 10	227 75	223 67		4 08	
	FIFO	7 000	Aug 11, 09	Oct 11, 10	527 53	519 65		7 88	
	FIFO	1 000	Sep 17, 09	Oct 11, 10	75 36	74 56		0 80	
ENERGIZER HOLDINGS INC	FIFO	18 000	Mar 06, 09	Apr 13, 10	1,120 90	689 04		431.86	
FURIEX PHARMACEUTICALS INC COM	FIFO	4 000	Apr 22, 09	Jun 22, 10	42 30	32 35		9 95	
	FIFO	1 000	Apr 22, 09	Jun 22, 10	10 57	7 75		2 82	
	FIFO	0 249	Apr 22, 09	Jun 14, 10	3 03	2 05		0 98	
GENUINE PARTS CO	FIFO	4 000	Mar 06, 09	Nov 09, 10	190 15	100 88		89 27	
	FIFO	8 000	Mar 06, 09	Apr 13, 10	345 32	201 76		143 56	
GOODRICH CORP	FIFO	19 000	Mar 06, 09	Oct 28, 10	1,553 85	579 50		974 35	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	6 000	Mar 06, 09	Jun 07, 10	382 79	183 00		199.79	
GOOGLE INC CL A	FIFO	1 000	Jul 09, 08	Jan 14, 10	588 74	549 69		39 05	1
	FIFO	2 000	Jul 11, 08	Jan 14, 10	1,177 48	1,064 75		112 73	1
H & R BLOCK INC	FIFO	43 000	Sep 17, 09	Oct 18, 10	477 82	758 06	-280.24		
HEWLETT PACKARD CO	FIFO	2 000	Sep 17, 08	Sep 01, 10	78 20	94 40	-16.20		1
	FIFO	13 000	Sep 24, 08	Sep 01, 10	508 32	609 44	-101 12		1
HOSPIRA INC	FIFO	21 000	Mar 06, 09	Oct 27, 10	1,240 65	460 95		779 70	
INTL FLAVORS&FRGRNCS	FIFO	5 000	Mar 06, 09	Nov 09, 10	265 89	125 75		140 14	
	FIFO	14 000	Mar 06, 09	Mar 18, 10	636 60	352 10		284 50	
ITT CORP	FIFO	13 000	Mar 06, 09	Nov 09, 10	624 27	427 96		196 31	
JOHNSON & JOHNSON COM	FIFO	4 000	Jun 07, 04	Jun 30, 10	237 12	225 54		11 58	1
	FIFO	1 000	Sep 22, 04	Jun 30, 10	59 28	57 27		2 01	1
JPMORGAN CHASE & CO	FIFO	23 000	Mar 05, 09	Jul 27, 10	937 28	389 62		547 66	
	FIFO	34 000	Mar 05, 09	May 26, 10	1,329 50	575 96		753 54	
	FIFO	30 000	Mar 05, 09	May 24, 10	1,187 95	508 20		679 75	
	FIFO	10 000	Oct 23, 08	Mar 10, 10	433 81	381 51		52 30	1
	FIFO	10 000	Mar 05, 09	Mar 10, 10	433 81	169 40		264.41	
LEGG MASON INC	FIFO	21 000	Mar 06, 09	Nov 09, 10	717 55	228 62		488.93	
	FIFO	19 000	Mar 06, 09	Jun 07, 10	571 67	206 85		364.82	
LINEAR TECHNOLOGY CORP (DELAWARE)	FIFO	9 000	Mar 06, 09	Nov 09, 10	297 80	192 22		105 58	
MARSH & MCLENNAN COS INC	FIFO	11 000	Aug 26, 08	Nov 09, 10	277 63	346 17	-68 54		1
	FIFO	29 000	Aug 26, 08	Jul 29, 10	672 63	912 63	-240.00		1
MICROSOFT CORP	FIFO	50 000	Mar 05, 09	Jun 11, 10	1,269 56	775 89		493 67	
MOODYS CORP	FIFO	55 000	Mar 06, 09	Sep 15, 10	1,364 18	872 19		491 99	
	FIFO	18 000	Mar 06, 09	Mar 18, 10	532 12	285 44		246 68	
MOTOROLA INC	FIFO	86 000	Mar 06, 09	Nov 09, 10	707 07	263 16		443 91	
	FIFO	96 000	Mar 06, 09	Sep 03, 10	759 95	293 76		466 19	
NEWFIELD EXPLORATION COS	FIFO	4 000	Mar 06, 09	Nov 09, 10	260 18	76 64		183 54	
	FIFO	8 000	Mar 06, 09	Apr 13, 10	428 89	153 28		275 61	
NEWS CORP INC DELA CL A	FIFO	206 000	Mar 05, 09	Sep 21, 10	2,845 63	1,103 79		1,741 84	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	20 000	Mar 05, 09	Mar 16, 10	281 55	107 16		174 39	
OCCIDENTAL PETROLEUM CRP	FIFO	15 000	Mar 05, 09	Sep 01, 10	1,148 70	751 78		396 92	
PARKER HANNIFIN CORP	FIFO	3 000	Mar 06, 09	Nov 09, 10	240 62	84 63		155 99	
	FIFO	9 000	Mar 06, 09	Jun 07, 10	513 87	253 89		259 98	
PENN WEST ENERGY TRUST UNIT ORD CAD	FIFO	58 000	Mar 06, 09	Sep 03, 10	1,093 86	415 11		678 75	
PEOPLE'S UNITED FINANCIAL INC	FIFO	44 000	Mar 06, 09	Nov 09, 10	555 27	728 54	-173 27		
PHARMACEUTICAL PRODUCT DEVELOPMENT INC	FIFO	2 000	Apr 22, 09	Nov 09, 10	51 86	35 60		16 26	
	FIFO	9 000	Apr 29, 09	Nov 09, 10	233 36	168 63		64 73	
	FIFO	21 000	Apr 22, 09	Jun 28 10	554 92	373 83		181 09	
	Adjustment	10 000	Mar 06, 09	Jun 08, 10	242 70	205 24		37 46	
	Adjustment	19 000	Apr 22, 09	Jun 08, 10	461 13	338 22		122 91	
	FIFO	16 000	Mar 06, 09	May 07 10	411 66	340 30		71 36	
PIONEER NAT RES CO	FIFO	3 000	Mar 06 09	Nov 09, 10	232 22	37 86		194 36	
	FIFO	20 000	Mar 06, 09	Apr 13, 10	1,234 25	252 40		981 85	
PITNEY BOWES INC	FIFO	13 000	Aug 03, 09	Nov 09, 10	304 32	267 47		36 85	
	FIFO	18 000	Aug 03, 09	Oct 27, 10	386 60	370 34		16 26	
PRECISION CASTPARTS CORP	FIFO	2 000	Mar 06, 09	Jun 07, 10	211 70	96 04		115 66	
PROGRESSIVE CORP OHIO	FIFO	16 000	Mar 05, 09	Nov 09, 10	344 02	169 89		174 13	
	FIFO	29 000	Mar 05, 09	May 11, 10	589 71	307 93		281 78	
QUEST DIAGNOSTICS INC	FIFO	8 000	Mar 06, 09	Nov 09, 10	416 34	348 64		67 70	
REPUBLIC SERVICES INC	FIFO	12 000	Mar 06, 09	Nov 09, 10	344 87	195 84		149 03	
SAFEWAY INC	FIFO	30 000	Jun 16, 09	Nov 09, 10	686 38	621 54		64 84	
SEALED AIR CORP NEW	FIFO	15 000	Mar 05, 09	Nov 09, 10	350 99	161 24		189 75	
SIGMA ALDRICH CORP	FIFO	4 000	Mar 06, 09	Nov 09, 10	260 15	129 34		130 81	
	FIFO	10 000	Mar 06, 09	Oct 11, 10	604 99	323 36		281 63	
	FIFO	5 000	Mar 06, 09	May 07, 10	272 81	161 68		111 13	
SOWSTN ENERGY CO	FIFO	20 000	Mar 09, 09	Apr 13, 10	830 24	563 98		266 26	
SYMANTEC CORP	FIFO	47 000	Mar 06 09	Nov 09, 10	828 75	603 48		225 27	
	FIFO	50 000	Mar 06, 09	Oct 11, 10	760 97	642 00		118 97	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
TECHNE CORP MINN	FIFO	5 000	Mar 06, 09	Nov 09, 10	302 00	230 70		71 30	
TEXAS INSTRUMENTS	FIFO	15 000	Mar 05, 09	Jun 30, 10	355 21	223 17		132 04	
TYCO INTL LTD CHF	FIFO	7 000	Mar 06, 09	Nov 09, 10	274 83	124.32		150 51	
	FIFO	17 000	Mar 06, 09	Sep 03, 10	660 40	301 92		358 48	
UGI CORP NEW	FIFO	12 000	Mar 06, 09	Nov 09, 10	365 27	257 74		107.53	
	FIFO	18 000	Mar 06, 09	Apr 13, 10	474 86	386 61		88.25	
VARIAN MEDICAL SYSTEMS INC	FIFO	5 000	Mar 06, 09	Apr 14, 10	276 69	136 55		140 14	
	FIFO	17 000	Mar 09, 09	Apr 14, 10	940 74	466 69		474 05	
VULCAN MATERIALS CO NEW (HOLDING COMPANY)	FIFO	12 000	Mar 05, 09	Dec 02, 10	510 13	443 76		66 37	
	FIFO	10 000	Mar 05, 09	Jun 30, 10	440 89	369 80		71 09	
WATERS CORP	FIFO	3 000	Mar 06, 09	Nov 09, 10	233 16	98 13		135 03	
WILLIAMS COS INC (DEL)	FIFO	16 000	Mar 10, 09	Nov 09, 10	366 42	176 07		190 35	
WISC ENERGY CORP	FIFO	5 000	Mar 06, 09	Nov 09, 10	295 00	184 10		110 90	
ZIMMER HOLDINGS INC	FIFO	5 000	Mar 06, 09	Nov 09, 10	260 59	158 65		101 94	
	FIFO	2 000	Mar 09, 09	Nov 09, 10	104 24	64 22		40 02	
ISHARES BARCLAYS AGGREGATE BOND FUND	FIFO	411 000	Aug 17, 07	Nov 09, 10	44,420 78	40,610 95		3,809 83	1
	FIFO	909 000	Aug 17, 07	Feb 16, 10	94,701 14	89,818 38		4,882 76	1
ISHARES BARCLAYS TIPS BOND FUND	FIFO	432 000	Aug 17, 07	Nov 09, 10	47,899 44	43,408 27		4,491 17	1
	FIFO	40 000	Aug 17, 07	Jun 30, 10	4,273 94	4,019 28		254.66	1
	FIFO	8.000	Aug 17, 07	Feb 16, 10	834 83	803 86		30 97	1
ISHARES IBOXX $ INVT GRA DE CORPORATE BOND FUND	FIFO	614 000	Mar 05, 09	Jun 30, 10	66,467 81	57,305 05		9,162 76	
ISHARES TRUST S&P SMALLCAP 600 INDEX FUND	FIFO	86.000	Mar 05, 09	Nov 09, 10	5,526 26	2,672 88		2,853 38	
	FIFO	18 000	Mar 05, 09	Jun 30, 10	985 72	559 44		426.28	
SPDR S&P DIVID ETF	FIFO	56 000	Mar 05, 09	Aug 30, 10	2,617 98	1,546 84		1,071.14	
	FIFO	44 000	Mar 05, 09	Jun 30, 10	2,002 84	1,215 37		787 47	
SPDR S&P WORLD EX-US ETF	FIFO	838 000	Mar 05, 09	Nov 09, 10	21,607 29	11,564 15		10,043.14	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	150 000	Mar 05, 09	Aug 30, 10	3,292 38	2,069 96		1,222 42	
	FIFO	1,293 000	Mar 05, 09	Jun 30, 10	26,870 41	17,843 01		9,027 40	
CREDIT SUISSE COMMODITY RETURN STRATEGY FUND CLASS A	FIFO	679 292	Mar 05, 09	Nov 09, 10	6,528 00	4,653 15		1,874 85	
	FIFO	1,958 735	Mar 05, 09	Aug 30 10	15,963 69	13,417 33		2,546 36	
	FIFO	1,317 793	Mar 05, 09	Jun 30, 10	10,199 72	9,026 88		1,172 84	
DIAMOND HILL LONG-SHORT FUND CLASS A	FIFO	3,337 642	Mar 05, 09	Aug 30, 10	49,931 13	37,848 86		12,082 27	
HARTFORD FLOATING RATE FUND CLASS A	FIFO	54 199	Oct 30 09	Nov 09, 10	480 20	449 85		30 35	
INVESCO GLOBAL REAL ESTATE FUND CLASS A	FIFO	281 290	Oct 30, 09	Nov 09, 10	3,032 31	2,528 80		503 51	
JAMES MARKET NEUTRAL FUND	FIFO	7,675 475	Mar 05, 09	Aug 30 10	70,230 59	86,425 85	-16,195 26		
	FIFO	32 200	Mar 05, 09	Jun 30, 10	295 92	362 57	-66.65		
ROYCE VALUE INV	FIFO	3,333 975	Mar 05, 09	Jun 30, 10	32,539 60	18,903.64		13,635 96	
THORNBURG INTERNATIONAL VALUE FUND CLASS A	FIFO	924 303	Mar 05, 09	Nov 09, 10	25,723 36	14,132 59		11,590 77	
	FIFO	50 845	Mar 05, 09	Aug 30, 10	1,213 16	777 42		435 74	
	FIFO	1,253 562	Mar 05, 09	Jun 30, 10	28,618 83	19,166 96		9,451 87	
Total					**$625,257.72**	**$518,024.20**	**-$17,575.45**	**$124,808.97**	**$107,233.52**
Net capital gains/losses.					751.50	960 47	(208.97)		~~$122,800.07~~
				LT	626,009	518,985	(17,784)	124809 net	107,025 122,591

Gains and losses not calculated

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
KRAFT FOODS INC CL A		25 000		May 07, 10	751 50	0 00 960	---This information was unavailable---		

¹ Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Kraft/Cadbury merged early in 2010.