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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

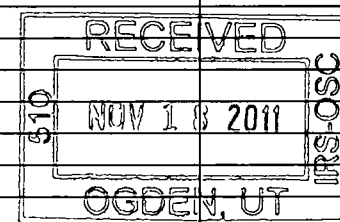
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation MAKO FOUNDATION		A Employer identification number 30-0286945
Number and street (or P.O. box number if mail is not delivered to street address) 191 NORTH WACKER DRIVE	Room/suite 1500	B Telephone number 312-621-0590
City or town, state, and ZIP code CHICAGO, IL 60606-1899		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,929,540.	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		114.	114.		STATEMENT 2
4 Dividends and interest from securities		73,370.	73,370.		STATEMENT 3
5a Gross rents		<2,634.>	<2,634.>		STATEMENT 4
b Net rental income or (loss)		<2,634.>			
6a Net gain or (loss) from sale of assets not on line 10		278,538.			STATEMENT 1
b Gross sales price for all assets on line 6a		307,378.			
7 Capital gain net income (from Part IV, line 2)			267,481.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		76,903.	76,283.		STATEMENT 5
12 Total. Add lines 1 through 11		426,291.	414,614.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes STMT 6		6,301.	1,015.		15.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		86,631.	82,740.		3,593.
24 Total operating and administrative expenses. Add lines 13 through 23		92,932.	83,755.		3,608.
25 Contributions, gifts, grants paid		202,158.			202,158.
26 Total expenses and disbursements. Add lines 24 and 25		295,090.	83,755.		205,766.
27 Subtract line 26 from line 12		131,201.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			330,859.		
c Adjusted net income (if negative, enter -0-)				N/A	



Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	413,252.	372,947.	372,947.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	3,925,404.	3,993,161.	4,454,434.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 9)	5,083.	102,159.	102,159.	
16 Total assets (to be completed by all filers)	4,343,739.	4,468,267.	4,929,540.	
Liabilities	17 Accounts payable and accrued expenses		1,327.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)	8,000.	0.	
	23 Total liabilities (add lines 17 through 22)	8,000.	1,327.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	4,335,739.	4,466,940.	
	30 Total net assets or fund balances	4,335,739.	4,466,940.	
	31 Total liabilities and net assets/fund balances	4,343,739.	4,468,267.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,335,739.
2 Enter amount from Part I, line 27a	2	131,201.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,466,940.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,466,940.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 307,378.		39,897.	267,481.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			267,481.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	267,481.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	229,527.	4,115,734.	.055768
2008	188,433.	5,016,460.	.037563
2007	119,663.	5,500,813.	.021754
2006	161,489.	4,990,786.	.032357
2005	140,824.	4,701,019.	.029956

2 Total of line 1, column (d)	2	.177398
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.035480
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	4,666,462.
5 Multiply line 4 by line 3	5	165,566.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,309.
7 Add lines 5 and 6	7	168,875.
8 Enter qualifying distributions from Part XII, line 4	8	205,766.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	3,309.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,309.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,309.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	15,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	5,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	20,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	16,691.	
11 Enter the amount of line 10 to be credited to 2011 estimated tax <input checked="" type="checkbox"/> 6,000. Refunded <input checked="" type="checkbox"/>	11	10,691.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WILLIAM HARRIS INVESTORS, INC. Telephone no ► 312-621-0590 Located at ► 191 N. WACKER DRIVE, SUITE 1500, CHICAGO, IL ZIP+4 ► 60606-1899			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000☐ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	134,216.
b	Average of monthly cash balances	1b	451,722.
c	Fair market value of all other assets	1c	4,151,587.
d	Total (add lines 1a, b, and c)	1d	4,737,525.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,737,525.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	71,063.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,666,462.
6	Minimum investment return. Enter 5% of line 5	6	233,323.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	233,323.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	3,309.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	909.
c	Add lines 2a and 2b	2c	4,218.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	229,105.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	229,105.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	229,105.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	205,766.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	205,766.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,309.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	202,457.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				229,105.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			198,127.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 205,766.				
a Applied to 2009, but not more than line 2a			198,127.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				7,639.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				221,466.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

(a) 2010

(b) 2009

Prior 3 years

(c) 2008

(d) 2007

(e) Total

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NANCY MEYER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV	Supplementary Information (continued)
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3 Grants and Contributions Paid During the Year or Approved for Future Payment

[illegible]

MAKO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	LONG TERM CAPITAL GAIN FROM HARRIS VENTURE FUND K	P	VARIOUS	VARIOUS
b	LONG TERM CAPITAL LOSS FROM WHI FIXED INCOME FUND	P	VARIOUS	VARIOUS
c	LONG TERM CAPITAL GAIN FROM WHI FIXED INCOME FUND	P	VARIOUS	VARIOUS
d	LONG TERM CAPITAL LOSS FROM WHI FIXED INCOME FUND	P	VARIOUS	VARIOUS
e	LONG TERM CAPITAL GAIN FROM WHI GROWTH FUND K-1	P	VARIOUS	VARIOUS
f	LONG TERM CAPITAL LOSS FROM WHI NFP FUND K-1	P	VARIOUS	VARIOUS
g	LONG TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY MA	P	VARIOUS	VARIOUS
h	LONG TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY MA	P	VARIOUS	VARIOUS
i	LONG TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY MA	P	VARIOUS	VARIOUS
j	LONG TERM CAPITAL LOSS FROM WHI REALTY FUND K-1	P	VARIOUS	VARIOUS
k	LONG TERM CAPITAL GAIN FROM WHI REALTY FUND II K-	P	VARIOUS	VARIOUS
l	SECTION 1231 GAIN FROM WHI FIXED INCOME FUND III	P	VARIOUS	VARIOUS
m	SECTION 1231 LOSS FROM WHI PRIVATE EQUITY MANAGER	P	VARIOUS	VARIOUS
n	SECTION 1231 LOSS FROM WHI PRIVATE EQUITY MANAGER	P	VARIOUS	VARIOUS
o	SECTION 1231 LOSS FROM WHI PRIVATE EQUITY MANAGER	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	233.		233.
b		2,739.	<2,739.>
c	60,938.		60,938.
d		3,772.	<3,772.>
e	131,857.		131,857.
f		10,087.	<10,087.>
g	649.		649.
h	12,866.		12,866.
i	6,476.		6,476.
j		561.	<561.>
k	1,310.		1,310.
l		3.	<3.>
m		140.	<140.>
n		5.	<5.>
o		41.	<41.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			233.
b			<2,739.>
c			60,938.
d			<3,772.>
e			131,857.
f			<10,087.>
g			649.
h			12,866.
i			6,476.
j			<561.>
k			1,310.
l			<3.>
m			<140.>
n			<5.>
o			<41.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

MAKO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SECTION 1231 GAIN FROM WHI REALTY FUND II K-1	P	VARIOUS	VARIOUS
b	SECTION 1231 GAIN FROM WHI REALTY FUND K-1	P	VARIOUS	VARIOUS
c	SHORT TERM CAPITAL GAIN FROM WHI FIXED INCOME FUN	P	VARIOUS	VARIOUS
d	SHORT TERM CAPITAL GAIN FROM WHI FIXED INCOME FUN	P	VARIOUS	VARIOUS
e	SHORT TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY M	P	VARIOUS	VARIOUS
f	SHORT TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY M	P	VARIOUS	VARIOUS
g	SHORT TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY M	P	VARIOUS	VARIOUS
h	SHORT TERM CAPITAL GAIN FROM WHI REALTY FUND K-1	P	VARIOUS	VARIOUS
i	SHORT TERM CAPITAL LOSS FROM WHI REALTY FUND II K	P	VARIOUS	VARIOUS
j	SHORT TERM CAPITAL GAIN FROM WHI GROWTH FUND K-1	P	VARIOUS	VARIOUS
k	SHORT TERM CAPITAL GAIN FROM WHI NFP FUND K-1	P	VARIOUS	VARIOUS
l	SHORT TERM CAPITAL GAIN FROM WHI REAL ESTATE PART	P	VARIOUS	VARIOUS
m	SHORT TERM CAPITAL GAIN FROM WHI MORULA FUND II K	P	VARIOUS	VARIOUS
n	SECTION 1256 LOSS FROM WHI PRIVATE EQUITY MANAGER	P	VARIOUS	VARIOUS
o	SECTION 1256 GAIN FROM WHI REALTY FUND LLC K-1	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 153.			153.
b 1,757.			1,757.
c 36.			36.
d 8,113.			8,113.
e 1,307.			1,307.
f 13,287.			13,287.
g 942.			942.
h 59.			59.
i		246.	<246.>
j 48,039.			48,039.
k 6,535.			6,535.
l 56.			56.
m 61.			61.
n		5.	<5.>
o 3.			3.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			153.
b			1,757.
c			36.
d			8,113.
e			1,307.
f			13,287.
g			942.
h			59.
i			<246.>
j			48,039.
k			6,535.
l			56.
m			61.
n			<5.>
o			3.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

MAKO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBI CAPITAL LOSS FROM WHI FIXED INCOME FUND II K-	P	VARIOUS	VARIOUS
b	UBI CAPITAL GAIN FROM WHI FIXED INCOME FUND III K	P	VARIOUS	VARIOUS
c	UBI CAPITAL GAIN FROM WHI FIXED INCOME FUND K-1	P	VARIOUS	VARIOUS
d	UBI CAPITAL GAIN FROM WHI NFP FUND K-1	P	VARIOUS	VARIOUS
e	UBI CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS	P	VARIOUS	VARIOUS
f	UBI CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS	P	VARIOUS	VARIOUS
g	UBI CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS	P	VARIOUS	VARIOUS
h	UBI CAPITAL GAIN FROM WHI REALTY FUND II K-1	P	VARIOUS	VARIOUS
i	UBI CAPITAL GAIN FROM WHI REALTY FUND K-1	P	VARIOUS	VARIOUS
j	UBI RECLASS	P	VARIOUS	VARIOUS
k	BERNSTEIN CAPITAL GAIN DISTRIBUTION	P	VARIOUS	VARIOUS
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0.
b	160.	160.	0.
c	247.	247.	0.
d	167.	167.	0.
e	260.	260.	0.
f	7,052.	7,052.	0.
g	467.	467.	0.
h	133.	133.	0.
i	2,755.	2,755.	0.
j		11,057.	<11,057.>
k	1,460.		1,460.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			0.
b			0.
c			0.
d			0.
e			0.
f			0.
g			0.
h			0.
i			0.
j			<11,057.>
k			1,460.
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	267,481.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LONG TERM CAPITAL GAIN FROM HARRIS VENTURE FUND K-1	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
233.	0.	0.	0.	233.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LONG TERM CAPITAL LOSS FROM WHI FIXED INCOME FUND II K-1	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	2,739.	0.	<2,739.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LONG TERM CAPITAL GAIN FROM WHI FIXED INCOME FUND III K-1	PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
60,938.	0.	0.	0.	60,938.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
LONG TERM CAPITAL LOSS FROM WHI FIXED INCOME FUND K-1	0.	0.	3,772.	0.		VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
LONG TERM CAPITAL GAIN FROM WHI GROWTH FUND K-1	131,857.	0.	0.	0.	131,857.	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
LONG TERM CAPITAL LOSS FROM WHI NFP FUND K-1	0.	0.	10,087.	0.	<10,087.>	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
LONG TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS FUND III K-1	649.	0.	0.	0.	649.	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
LONG TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS FUND IV K-1	12,866.	0.	0.	0.	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
LONG TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	6,476.	0.	0.	0.	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
LONG TERM CAPITAL LOSS FROM WHI REALTY FUND K-1	0.	0.	561.	0.	<561.>	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
LONG TERM CAPITAL GAIN FROM WHI REALTY FUND II K-1	1,310.	0.	0.	0.	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SECTION 1231 GAIN FROM WHI FIXED INCOME FUND III K-1	0.	0.	3.	PURCHASED	VARIOUS	VARIOUS
						<3.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SECTION 1231 LOSS FROM WHI PRIVATE EQUITY MANAGERS FUND III K-1	0.	0.	140.	PURCHASED	VARIOUS	VARIOUS
						<140.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SECTION 1231 LOSS FROM WHI PRIVATE EQUITY MANAGERS FUND IV K-1	0.	0.	5.	PURCHASED	VARIOUS	VARIOUS
						<5.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SECTION 1231 LOSS FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	0.	0.	41.	PURCHASED	VARIOUS	VARIOUS
						<41.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SECTION 1231 GAIN FROM WHI REALTY FUND II K-1	153.	0.	0.	0.	153.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SECTION 1231 GAIN FROM WHI REALTY FUND K-1	1,757.	0.	0.	0.	1,757.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SHORT TERM CAPITAL GAIN FROM WHI FIXED INCOME FUND II K-1	36.	0.	0.	0.	36.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SHORT TERM CAPITAL GAIN FROM WHI FIXED INCOME FUND III K-1	8,113.	0.	0.	0.	8,113.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SHORT TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS FUND III K-1				PURCHASED	VARIOUS	VARIOUS
	1,307.	0.	0.	0.		1,307.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SHORT TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS FUND IV K-1				PURCHASED	VARIOUS	VARIOUS
	13,287.	0.	0.	0.		13,287.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SHORT TERM CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS FUND K-1				PURCHASED	VARIOUS	VARIOUS
	942.	0.	0.	0.		942.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SHORT TERM CAPITAL GAIN FROM WHI REALTY FUND K-1				PURCHASED	VARIOUS	VARIOUS
	59.	0.	0.	0.		59.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SHORT TERM CAPITAL LOSS FROM WHI REALTY FUND II K-1	0.	0.	246.	0.		VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SHORT TERM CAPITAL GAIN FROM WHI GROWTH FUND K-1	48,039.	0.	0.	0.	48,039.	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SHORT TERM CAPITAL GAIN FROM WHI NFP FUND K-1	6,535.	0.	0.	0.	6,535.	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
SHORT TERM CAPITAL GAIN FROM WHI REAL ESTATE PARTNERS I-F K-1	56.	0.	0.	0.	56.	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SHORT TERM CAPITAL GAIN FROM WHI MORULA FUND II K-1	61.	0.	0.	0.	61.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SECTION 1256 LOSS FROM WHI PRIVATE EQUITY MANAGERS FUND LLC K-1	0.	0.	5.	0.	<5.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SECTION 1256 GAIN FROM WHI REALTY FUND LLC K-1	3.	0.	0.	0.	3.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
UBI CAPITAL LOSS FROM WHI FIXED INCOME FUND II K-1	0.	0.	184.	0.	<184.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
UBI CAPITAL GAIN FROM WHI FIXED INCOME FUND III K-1	160.	0.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
UBI CAPITAL GAIN FROM WHI FIXED INCOME FUND K-1	247.	0.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
UBI CAPITAL GAIN FROM WHI NFP FUND K-1	167.	0.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
UBI CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS FUND III K-1	260.	0.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
UBI CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS FUND IV K-1	7,052.	0.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
UBI CAPITAL GAIN FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	467.	0.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
UBI CAPITAL GAIN FROM WHI REALTY FUND II K-1	133.	0.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
UBI CAPITAL GAIN FROM WHI REALTY FUND K-1	2,755.	0.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
UBI RECLASS	0.	0.	11,057.		0.	<11,057.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
BERNSTEIN CAPITAL GAIN DISTRIBUTION	1,460.	0.	0.	0.	1,460.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	278,538.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	AMOUNT
OTHER INTEREST INCOME	17.
WHI AGENCY INTEREST	97.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	114.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND INCOME FROM JP MORGAN CHASE	85.	0.	85.
DIVIDEND INCOME FROM SANFORD BERSTEIN	5,271.	0.	5,271.
DIVIDEND INCOME FROM WHI FIXED INCOME FUND II K-1	108.	0.	108.

DIVIDEND INCOME FROM WHI FIXED INCOME FUND K-1	1.	0.	1.
DIVIDEND INCOME FROM WHI FIXED INCOME III LLC K-1	329.	0.	329.
DIVIDEND INCOME FROM WHI GROWTH FUND, LP K-1	14,125.	0.	14,125.
DIVIDEND INCOME FROM WHI NFP FUND, LLC K-1	2,489.	0.	2,489.
DIVIDEND INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND III LP K-1	596.	0.	596.
DIVIDEND INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND IV K-1	318.	0.	318.
DIVIDEND INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	1,616.	0.	1,616.
DIVIDEND INCOME FROM WHI REALTY FUND II, LLC K-1	512.	0.	512.
DIVIDEND INCOME FROM WHI REALTY FUND, LLC K-1	156.	0.	156.
INTEREST FROM U.S. OBLIGATIONS FROM WHI FIXED INCOME III LLC K-1	328.	0.	328.
INTEREST FROM U.S. OBLIGATIONS FROM WHI PRIVATE EQUITY MANAGERS FUND IVK-1	49.	0.	49.
INTEREST INCOME FROM WHI FIXED INCOME FUND II K-1	3,442.	0.	3,442.
INTEREST INCOME FROM WHI FIXED INCOME FUND III LLC K-1	16,957.	0.	16,957.
INTEREST INCOME FROM WHI FIXED INCOME FUND K-1	1,463.	0.	1,463.
INTEREST INCOME FROM WHI MORULA FUND II, LLC K-1	955.	0.	955.
INTEREST INCOME FROM WHI NFP FUND, LLC K-1	7,206.	0.	7,206.
INTEREST INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND III LP K-1	1,094.	0.	1,094.
INTEREST INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND IV LP K-1	10,740.	0.	10,740.
INTEREST INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	1,523.	0.	1,523.
INTEREST INCOME FROM WHI REAL ESTATE PARTNERS, LP K-1	456.	0.	456.
INTEREST INCOME FROM WHI REALTY FUND II, LP K-1	754.	0.	754.
INTEREST INCOME FROM WHI REALTY FUND III, LP K-1	238.	0.	238.
INTEREST INCOME FROM WHI REALTY FUND, LLC K-1	296.	0.	296.
INTEREST INCOME FROM WHI VENTURE FUND I, LLC K-1	2.	0.	2.
SECTION 988 INCOME FROM WHI FIXED INCOME FUND II, LLC K-1	93.	0.	93.
SECTION 988 INCOME FROM WHI FIXED INCOME FUND III, LLC K-1	478.	0.	478.

SECTION 988 INCOME FROM WHI NFP FUND, LLC K-1	1,070.	0.	1,070.
SECTION 988 INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND III, LP K-1	52.	0.	52.
SECTION 988 INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND IV, LP K-1	24.	0.	24.
SECTION 988 INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND, LLC K-1	263.	0.	263.
SECTION 988 INCOME FROM WHI REALTY FUND II, LP K-1	159.	0.	159.
SECTION 988 INCOME FROM WHI REALTY FUND, LLC K-1	122.	0.	122.
TOTAL TO FM 990-PF, PART I, LN 4	73,370.	0.	73,370.

FORM 990-PF	RENTAL INCOME	STATEMENT	4
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KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
RENTAL INCOME FROM WHI NFP FUND K-1	1	<39.>
RENTAL INCOME FROM WHI REAL ESTATE PARTNERS I-F K-1	2	<45.>
RENTAL INCOME FROM WHI REALTY FUND II, L.P. K-1	3	<422.>
RENTAL INCOME FROM WHI REALTY FUND, LLC K-1	4	<955.>
OTHER RENTAL INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	5	<132.>
RENTAL INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND III K-1	6	3.
OTHER RENTAL INCOME FROM WHI NFP FUND K-1	7	<77.>
OTHER RENTAL INCOME FROM WHI PRIVATE EQUITY MNGRS FUND IV K-1	9	<213.>
RENTAL FROM WHI FIXED INCOME FUND III, LP	10	<11.>
RENTAL INCOME FROM WHI PRIVATE EQUITY MNGRS FUND IV LP	11	<98.>
RENTAL INCOME FROM WHI PRIVATE EQUITY MNGRS FUND, LLC K-1	12	10.
RENTAL INCOME FROM WHI REALTY FUND III, L.P. K-1	13	<657.>
OTHER RENTAL INCOME FROM WHI REALTY FUND II K-I	14	1.
OTHER RENTAL INCOME FROM WHI REALTY FUND K-I	15	1.
TOTAL TO FORM 990-PF, PART I, LINE 5A		<2,634.>

FORM 990-PF

OTHER INCOME

STATEMENT 5

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTY INCOME FROM WHI NFP FUND LLC K-1	8.	8.	
ROYALTY INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND IV K-1	5.	5.	
PASSIVE INCOME FROM WHI MORULA FUND II, K-1	<14.>	<14.>	
PASSIVE INCOME FROM WHI NFP FUND, LLC K-1	<132.>	<132.>	
PASSIVE INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND III K-1	<2,477.>	<2,477.>	
PASSIVE INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND IV K-1	<1,799.>	<1,799.>	
PASSIVE INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	779.	779.	
PASSIVE INCOME FROM WHI REALTY FUND III, LP K-1	<334.>	<334.>	
PASSIVE INCOME FROM WHI REALTY FUND II, LP K-1	2,209.	2,209.	
PASSIVE INCOME FROM WHI REALTY FUND, LLC K-1	<1,338.>	<1,338.>	
PASSIVE INCOME FROM WHI FIXED INCOME FUND II K-1	<3.>	<3.>	
PASSIVE INCOME FROM WHI FIXED INCOME FUND III K-1	135.	135.	
PASSIVE INCOME FROM WHI VENTURE FUND I K-1	<55.>	<55.>	
OTHER PORTFOLIO INCOME FROM WHI NFP FUND, LLC K-1	66,217.	66,217.	
OTHER PORTFOLIO INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND III K-1	47.	47.	
OTHER PORTFOLIO INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND IV K-1	1,885.	1,885.	
OTHER PORTFOLIO INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	623.	623.	
OTHER PORTFOLIO INCOME FROM WHI FIXED INCOME FUND K-1	5.	5.	
OTHER PORTFOLIO INCOME FROM WHI FIXED INCOME FUND II K-1	105.	105.	
OTHER PORTFOLIO INCOME FROM WHI FIXED INCOME FUND III K-1	4,700.	4,700.	
OTHER PORTFOLIO INCOME FROM WHI REALTY FUND II, LP K-1	527.	527.	
OTHER PORTFOLIO INCOME FROM WHI REALTY FUND, LLC K-1	238.	238.	
OTHER PORTFOLIO INCOME FROM WHI GROWTH FUND K-1	997.	997.	

OTHER INCOME FROM WHI NFP FUND, LLC K-1	92.	92.
OTHER INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND III K-1	194.	194.
OTHER INCOME FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	549.	549.
OTHER INCOME FROM WHI REALTY FUND II, LLC K-1	<6.>	<6.>
OTHER INCOME FROM WHI REALTY FUND, LLC K-1	712.	712.
UBI OTHER INCOME FROM WHI NFP FUND, LLC K-1	<942.>	0.
UBI OTHER LOSS FROM WHI PRIVATE EQUITY MANAGERS FUND III K-1	<2,050.>	0.
UBI OTHER LOSS FROM WHI PRIVATE EQUITY MANAGERS FUND IV K-1	1,306.	0.
UBI OTHER LOSS FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	<809.>	0.
UBI OTHER INCOME FROM WHI FIXED INCOME FUND II K-1	<125.>	0.
UBI OTHER INCOME FROM WHI FIXED INCOME FUND III K-1	1,477.	0.
UBI OTHER INCOME FROM WHI FIXED INCOME FUND K-1	75.	0.
UBI OTHER INCOME FROM WHI REALTY FUND III, LP K-1	<663.>	0.
UBI OTHER INCOME FROM WHI REALTY FUND II, LP K-1	2,921.	0.
UBI OTHER LOSS FROM WHI REALTY FUND, LLC K-1	<1,111.>	0.
UBI OTHER INCOME RECLASS NONTAXABLE INCOME	<79.> 541.	<79.> 0.
OTHER INCOME FROM WHI FIXED INCOME FUND III, LP K-1	2,493.	2,493.
TOTAL TO FORM 990-PF, PART I, LINE 11	76,903.	76,283.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES FROM WHI GROWTH FUND, LP K-1	200.	200.		0.
FOREIGN TAXES FROM WHI NFP FUND, LLC K-1	434.	434.		0.
FOREIGN TAXES FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	120.	120.		0.
FOREIGN TAXES FROM WHI PRIVATE EQUITY MANAGERS FUND III K-1	76.	76.		0.

FOREIGN TAXES FROM WHI PRIVATE EQUITY MANAGERS FUND IV K-1	132.	132.	0.
FOREIGN TAXES FROM WHI FIXED INCOME FUND II K-1	16.	16.	0.
FOREIGN TAXES FROM WHI FIXED INCOME FUND III K-1	5.	5.	0.
FOREIGN TAXES FROM WHI REALTY FUND, LLC K-1	28.	28.	0.
FOREIGN TAXES FROM WHI REALTY FUND II, K-1	4.	4.	0.
STATE TAX PAYMENTS	3,015.	0.	15.
FEDERAL TAX PAYMENTS	2,205.	0.	0.
TAXES PAID THROUGH PASSTHROUGH	66.	0.	0.
TO FORM 990-PF, PG 1, LN 18	6,301.	1,015.	15.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX ADVISORY FEES	7,165.	3,582.		3,583.
FILING FEES	10.	0.		10.
INVESTMENT ADVISORY FEES	4,299.	4,299.		0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM HARRIS VENTURE FUND LLC K-1	27.	27.		0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI GROWTH FUND, LP K-1	18,568.	18,568.		0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI FIXED INCOME FND II K-1	1,287.	1,287.		0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI FIXED INCOME III K-1	7,499.	7,499.		0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI FIXED INCOME FUND K-1	296.	296.		0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI MORULA FUND II, LLC K-1	2,335.	2,335.		0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI NFP FUND, LLC K-1	18,703.	18,703.		0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI PRIVATE EQUITY K-1	3,557.	3,557.		0.

DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI PRIVATE EQUITY III K-1	3,327.	3,327.	0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI PRIVATE EQUITY IV K-1	6,751.	6,751.	0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI REALTY FUND III, LP K-1	281.	281.	0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI REALTY FUND II, LP K-1	2,379.	2,379.	0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI REALTY FUND, LLC K-1	628.	628.	0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI VENTURE FUND I K-1	20.	20.	0.
DEDUCTIONS RELATED TO PORTFOLIO INCOME - 2% FROM WHI REAL ESTATE PARTNERS I-	200.	200.	0.
DEDUCTIONS MISCELLANEOUS - NON 2% FROM WHI PRIVATE EQUITY III K-1	2.	2.	0.
DEDUCTIONS MISCELLANEOUS - NON 2% FROM WHI PRIVATE EQUITY K-1	26.	26.	0.
DEDUCTIONS MISCELLANEOUS - NON 2% FROM WHI PRIVATE EQUITY IV K-1	9.	9.	0.
DEDUCTIONS MISCELLANEOUS - NON 2% FROM WHI VENTURE FUND I K-1	1.	1.	0.
DEDUCTIONS MISCELLANEOUS - NON 2% FROM WHI NFP FUND K-1	698.	698.	0.
DEDUCTIONS MISCELLANEOUS - NON 2% FROM WHI REALTY FUND III, LP K-1	4.	4.	0.
DEDUCTIONS MISCELLANEOUS - NON 2% FROM WHI REALTY FUND II, LP K-1	1.	1.	0.
DEDUCTIONS MISCELLANEOUS - NON 2% FROM WHI REALTY FUND, LLC K-1	131.	131.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI GROWTH FUND K-1	274.	274.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI FIXED INC II K-1	514.	514.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI FIXED INC III K-1	2,069.	2,069.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI FIXED INCOME K-1	51.	51.	0.

INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI MORULA FUND, LP K-1	886.	886.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI NFP FUND, LLC K-1	1,605.	1,605.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI PRIVATE IV K-1	1,039.	1,039.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI PRIVATE III K-1	369.	369.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI PRIVATE K-1	543.	543.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI REALTY III K-1	40.	40.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI REALTY FUND II K-1	234.	234.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI REALTY FUND K-1	31.	31.	0.
INTEREST EXPENSE ON INVESTMENT DEBTS - FROM WHI REAL ESTATE PARTNERS I-F K-1	9.	9.	0.
SECTION 179 EXPENSE FROM WHI PRIVATE EQUITY MANAGERS FUND FUND K-1	2.	2.	0.
CHARITABLE CONTRIBUTION - 50% FROM WHI FIXED INC III K-1	1.	1.	0.
CHARITABLE CONTRIBUTION - 50% FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	4.	4.	0.
CHARITABLE CONTRIBUTION - 50% FROM WHI PRIVATE EQUITY MANAGERS FUND III K-1	2.	2.	0.
CHARITABLE CONTRIBUTION - 50% FROM WHI REAL ESTATE PARTNERS I-F K-1	1.	1.	0.
CHARITABLE CONTRIBUTION - 50% FROM WHI REALTY FUND III K-1	4.	4.	0.
CHARITABLE CONTRIBUTION - 50% FROM WHI REALTY FUND II K-1	2.	2.	0.
CHARITABLE CONTRIBUTION - 30% FROM WHI PRIVATE EQUITY MANAGERS FUND K-1	39.	39.	0.
SECTION 59(E)(2) EXPENDITURES FROM WHI PRIVATE EQUITY MNGRS III K-1	8.	8.	0.

SECTION 59(E)(2)			
EXPENDITURES FROM WHI			
PRIVATE EQUITY MANAGERS FUND	2.	2.	0.
SECTION 59(E)(2)			
EXPENDITURES FROM WHI			
PRIVATE EQUITY MANAGERS FUND	3.	3.	0.
SECTION 988 LOSS FROM WHI			
FIXED INCOME FUND K-1	225.	225.	0.
SECTION 988 LOSS FROM WHI			
PRIVATE EQUITY MANAGERS FUND			
III K-1	167.	167.	0.
SECTION 988 LOSS FROM WHI			
NFP, LLC K-1	5.	5.	0.
NONDEDUCTIBLE EXPENSES FROM			
WHI MORULA FUND II, LLC K-1	1.	0.	0.
NONDEDUCTIBLE EXPENSES FROM			
WHI NFP FUND K-1	1.	0.	0.
NONDEDUCTIBLE EXPENSES FROM			
WHI FIXED INCOME FUND III			
K-1	26.	0.	0.
NONDEDUCTIBLE EXPENSES FROM			
WHI REALTY FUND III K-1	2.	0.	0.
NONDEDUCTIBLE EXPENSES FROM			
WHI REALTY FUND II K-1	124.	0.	0.
NONDEDUCTIBLE EXPENSES FROM			
WHI REALTY FUND K-1	8.	0.	0.
NONDEDUCTIBLE EXPENSES FROM			
WHI PRIVATE EQUITY MANAGERS			
FUND III K-1	63.	0.	0.
NONDEDUCTIBLE EXPENSES FROM			
WHI PRIVATE EQUITY MANAGERS			
FUND IV K-1	5.	0.	0.
NONDEDUCTIBLE EXPENSES FROM			
WHI PRIVATE EQUITY MANAGERS			
FUND I K-1	66.	0.	0.
NONDEDUCTIBLE EXPENSES FROM			
WHI VENTURE FUND I K-1	2.	0.	0.
TO FORM 990-PF, PG 1, LN 23	86,631.	82,740.	3,593.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SANFORD BERNSTEIN	FMV	129,071.	137,242.
WHI REALTY FUND, LLC	FMV	45,845.	51,228.
WHI REALTY FUND II, L.P.	FMV	178,640.	167,372.
WHI REALTY FUND III, L.P.	FMV	17,211.	15,417.
WHI VENTURE FUND I, LLC	FMV	2,702.	3,923.
WHI PRIVATE EQUITY MANAGERS FUND, LLC	FMV	88,537.	111,045.

MAKO FOUNDATION

30-0286945

WHI GROWTH FUND, LP	FMV	1,598,957.	1,871,196.
WHI FIXED INCOME FUND, LLC	FMV	10,573.	8,372.
WHI FIXED INCOME FUND II, LLC	FMV	34,836.	23,475.
WHI NFP, LLC	FMV	1,285,956.	1,512,673.
HARRIS VENTURE PARTNERS, L.L.C.	FMV	5,869.	3,979.
WHI PRIVATE EQUITY MANAGERS FUND III, L.P.	FMV	83,030.	90,510.
WHI FIXED INCOME FUND III, L.P.	FMV	262,642.	203,963.
WHI PRIVATE EQUITY MANAGERS FUND IV, L.P.	FMV	191,247.	200,109.
WHI MORULA FUND II, L.P.	FMV	47,152.	42,802.
WHI REAL ESTATE PARTNERS I-F, L.P.	FMV	10,893.	11,128.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,993,161.	4,454,434.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS RECEIVABLE	166.	159.	159.
ACCOUNTS RECEIVABLE	4,917.	100,000.	100,000.
ADVANCES DUE FROM RELATED ENTITIES	0.	2,000.	2,000.
TO FORM 990-PF, PART II, LINE 15	5,083.	102,159.	102,159.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
THOMAS W. MEYER 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 606061899	PRESIDENT / DIRECTOR 1.00	0.	0.	0.
JACK POLSKY 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 606061899	VICE PRESIDENT 1.00	0.	0.	0.
JULIE STEVENSON 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 606061899	TREASURER / SECRETARY / DI 1.00	0.	0.	0.
MICHAEL SETH RESNICK 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 606061899	ASSISTANT TREASURER 1.00	0.	0.	0.
NANCY MEYER 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 606061899	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR – 2010

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Amount
<u>Mako Foundation</u>						
FEIN # 30-0286945						
Buckley Country Day School	2 IU Willets Road Roslyn, NY 11576	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$1,000
Catskill Animal Sanctuary	316 Old Stage Rd Saugerties, NY 12477	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$1,500
City Harvest	575 8th Ave , 4th Floor New York, NY 10018	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$2,500
Community School Association	900 Lay Road St Louis, MO 63124	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$1,000
Concerned Citizens Of Montauk, Inc.	P O Box 915 Montauk, NY 11954	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$5,000
Ellen P. Hermanson Foundation	130 W 42nd St , 22nd Floor New York, NY 10036	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$2,500
Elting Library	93 Main Street New Paltz, NY 12561	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$1,000
Equine Rescue	PO Box 392 Walden, NY 12586-0392	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$1,000
Friends Academy	270 Duck Pond Road Locust Valley, NY 11560	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$2,000
Gardiner Library	133 Farmers Turnpike Gardiner, NY 12525	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$500
Hampshire CollegeTrustees	Hampshire College 893 West Street Amherst, MA 01002	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$5,000
Hudson River Sloop Clearwater, Inc.	724 Wolcott Ave Beacon, NY 12508	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$2,500
Huguenot Historical Society New Paltz NY Inc.	18 Broadhead Avenue New Paltz, NY 12561-1403	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$1,000
International Medical Corps	1919 Santa Monica Blvd , Suite 400 Santa Monica, CA 90404	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$5,000
John Burroughs School	755 South Price Road St Louis, MO 63124	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$3,000
Mid-Hudson Children's Museum	75 N Water St Poughkeepsie, NY 12601	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$500
Nation Institute	116 E 16th Street, 8th Floor New York, NY 10003	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$5,000
Nature Conservancy- Eastern NY Branch	265 Chestnut Ridge Road Mount Kisco, NY 10549	N/A	Public	General Operating Support/Annual Gift	12/2/2010	\$1,408
Northern California Community Loan Fund	870 Market Street Suite 677 San Francisco, CA 94102	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$10,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR - 2010

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Amount
Planned Parenthood Federation of America, Inc.	434 West 33rd Street New York, NY 10001	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$5,000
Planned Parenthood of the Mid-Hudson Valley	178 Church St Poughkeepsie, NY 12601	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$5,000
Poughkeepsie Day School Inc.	260 Boardman Road Poughkeepsie, NY 12603	N/A	Public	General Operating Support/Annual Gift	12/27/2010	\$15,000
Poughkeepsie Day School Inc.	260 Boardman Road Poughkeepsie, NY 12603	N/A	Public	General Operating Support/Annual Gift	12/27/2010	\$20,000
Poughkeepsie Highland Railroad Bridge Co. (aka Walkway Over the Hudson)	PO Box 889 Poughkeepsie, NY 12602	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$500
Project Vote, Inc.	737 1/2 8th St, SE Washington, District of Columbia 20003-2802	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$5,000
Queens Galley	254 Washington Ave Kingston, NY 12401	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$2,500
Regional Food Bank	965 Albany-Shaker Road Latham, NY 12110	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$2,000
San Francisco School	300 Gaven Street San Francisco, CA 94134	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$15,000
Share Our Strength, Inc.	1730 M Street N W , Suite 700 Washington, DC 20036	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$10,000
Southern Poverty Law Center, Inc.	400 Washington Avenue Montgomery, AL 36104	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$20,000
StreetSquash	40 W 116th Street New York, NY 10026	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$20,000
SUNY New Paltz Foundation	1 Hawk Drive New Paltz, NY 12561	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$1,250
Theatre for a New Audience, Inc.	154 Christopher St , # 3 D New York, NY 10014	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$5,000
Unison Learning Center Inc.	68 Mountain Rest Road New Paltz, NY 12561	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$2,500
University of Michigan, Regents of the - NELP	435 South State St 3187 Angell Hall Ann Arbor, MI 48109-1003	N/A	Public	General Operating Support/Annual Gift	12/27/2010	\$5,000
University of Vermont	Development Office 411 Main Street Burlington, VT 05401	N/A	Public	General Operating Support/Annual Gift	12/27/2010	\$5,000
Walkill Valley Land Trust	PO Box 208 New Paltz, NY 12561	N/A	Public	General Operating Support/Annual Gift	12/27/2010	\$5,000
WAMC	318 Central Ave Albany, NY 12206	N/A	Public	General Operating Support/Annual Gift	12/27/2010	\$5,000
World Learning	1 Kipling Road PO Box 676 Brattleboro, VT 05302	N/A	Public	General Operating Support/Annual Gift	12/20/2010	\$2,000

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR - 2010

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Amount
Total Mako Foundation (39 items)						\$202,158

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒ **X**
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization MAKO FOUNDATION	Employer identification number 30-0286945
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 191 N. WACKER DRIVE, NO. 1500	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. CHICAGO, IL 60606-1899	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

WILLIAM HARRIS INVESTORS, INC. - 191 NORTH WACKER DRIVE,

- The books are in the care of ► **SUITE 1500 - CHICAGO, IL 60606-1899**

Telephone No. ► **(312) 621-0590**

FAX No. ► ☐

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension

is for the organization's return for:

► ☒ calendar year **2010** or

► ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	20,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	15,000.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	5,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2011)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	MAKO FOUNDATION	30-0286945
	Number, street, and room or suite no. If a P.O. box, see instructions. 191 N. WACKER DRIVE, NO. 1500	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. CHICAGO, IL 60606-1899	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

WILLIAM HARRIS INVESTORS, INC. - 191 NORTH WACKER DRIVE,

• The books are in the care of **SUITE 1500 - CHICAGO, IL 60606-1899**

Telephone No. **(312) 621-0590**

FAX No.

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2011.**

5 For calendar year **2010**, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

ALL INFORMATION NEEDED TO FILE A COMPLETE AND ACCURATE RETURN HAS NOT BEEN RECEIVED. AN EXTENSION OF TIME TO FILE IS HEREBY REQUESTED.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	20,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	20,000.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature **[Signature]** Title **CPA**

Date **8/11/11**

Form 8868 (Rev. 1-2011)