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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation NEW YORK STATE HEALTH FOUNDATION		A Employer identification number 30-0127892
Number and street (or P.O. box number if mail is not delivered to street address) 1385 BROADWAY, 23RD FLOOR	Room/suite	B Telephone number 212-664-7656
City or town, state, and ZIP code NEW YORK, NY 10018-6013		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 286,941,994. (Part I, column (d) must be on cash basis.)	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		370,000.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		4,346,662.	8,748,646.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		4,139,664.			
b Gross sales price for all assets on line 6a	57,858,995.				
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		742,154.	10,761.		STATEMENT 2
12 Total. Add lines 1 through 11		9,598,480.	8,759,407.		
13 Compensation of officers, directors, trustees, etc.		400,000.	0.		400,000.
14 Other employee salaries and wages		2,146,577.	313,388.		1,777,806.
15 Pension plans, employee benefits		463,477.	57,037.		411,581.
16a Legal fees STMT 3		34,814.	4,080.		30,324.
b Accounting fees STMT 4		62,156.	0.		59,156.
c Other professional fees STMT 5		1,026,767.	558,828.		649,923.
17 Interest					
18 Taxes STMT 6		85,000.	71,024.		0.
19 Depreciation and depletion		151,951.	0.		
20 Occupancy		691,103.	34,555.		262,960.
21 Travel, conferences, and meetings		111,096.	3,141.		91,155.
22 Printing and publications		14,481.	0.		12,705.
23 Other expenses STMT 7		185,221.	4,146.		188,985.
24 Total operating and administrative expenses. Add lines 13 through 23		5,372,643.	1,046,199.		3,884,595.
25 Contributions, gifts, grants paid		9,736,509.			12,216,000.
26 Total expenses and disbursements. Add lines 24 and 25		15,109,152.	1,046,199.		16,100,595.
27 Subtract line 26 from line 12		-5,510,672.			
a Excess of revenue over expenses and disbursements			7,713,208.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	7,815,635.	2,661,024.	2,661,024.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable		190,000.	190,000.
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	215,103.	82,476.	82,476.
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	257,209,647.	281,154,105.	281,154,105.
	14 Land, buildings, and equipment basis ▶ 1,137,343.			
	Less accumulated depreciation ▶ 306,186.	413,323.	831,157.	831,157.
	15 Other assets (describe ▶ STATEMENT 9)	1,884,846.	2,023,232.	2,023,232.
	16 Total assets (to be completed by all filers)	267,538,554.	286,941,994.	286,941,994.
	17 Accounts payable and accrued expenses	356,963.	670,991.	
	18 Grants payable	14,093,640.	11,054,245.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 10)	34,303.	714,404.	
	23 Total liabilities (add lines 17 through 22)	14,484,906.	12,439,640.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	253,053,648.	274,244,250.	
	25 Temporarily restricted		258,104.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	253,053,648.	274,502,354.	
	31 Total liabilities and net assets/fund balances	267,538,554.	286,941,994.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	253,053,648.
2 Enter amount from Part I, line 27a	2	-5,510,672.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	3	27,232,756.
4 Add lines 1, 2, and 3	4	274,775,732.
5 Decreases not included in line 2 (itemize) ▶ DEFERRED FEDERAL EXCISE TAX	5	273,378.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	274,502,354.

Form 990-PF (2010)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 57,858,995.		55,838,046.	-513,453.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			-513,453.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-513,453.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	16,577,550.	265,726,299.	.062386
2008	13,858,855.	287,159,627.	.048262
2007	10,753,787.	291,746,752.	.036860
2006	2,496,540.	236,585,647.	.010552
2005	0.	68,219,805.	.000000

2 Total of line 1, column (d)	2	.158060
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.031612
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	268,239,596.
5 Multiply line 4 by line 3	5	8,479,590.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	77,132.
7 Add lines 5 and 6	7	8,556,722.
8 Enter qualifying distributions from Part XII, line 4	8	16,670,380.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	77,132.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	77,132.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	77,132.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a 120,304.	7	120,304.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	43,172.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be credited to 2011 estimated tax	43,172.	Refunded	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.NYSHEALTH.ORG</u>	13	X	
14	The books are in care of ► <u>THE FOUNDATION</u> Telephone no ► <u>212-664-7656</u> Located at ► <u>1385 BROADWAY, 23RD FLOOR, NEW YORK, NY</u> ZIP+4 ► <u>10018-6013</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		400,000.	19,467.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NICHOLAS SMIRENSKY - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	CHIEF INVESTMENT OFFICER 34.00	312,825.	28,526.	0.
DAVID SANDMAN - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR VICE PRESIDENT 40.00	228,331.	24,010.	0.
BENJAMIN PRAYZ - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	DIRECTOR OF FINANCE & OPERATIONS 40.00	181,950.	8,682.	0.
JACQUELINE MARTINEZ - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR PROGRAM DIRECTOR 40.00	163,950.	17,666.	0.
MAUREEN COZINE - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	COMMUNICATIONS DIRECTOR 40.00	124,500.	18,964.	0.
Total number of other employees paid over \$50,000				9

Form 990-PF (2010)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATLANTIC GROUP - 1156 SIXTH AVENUE, 9TH FLOOR, NEW YORK, NY 10036	FURNITURE/CONSTRUCTION	151,044.
RABEN GROUP - 1640 RHODE ISLAND AVENUE, SUITE 600, WASHINGTON, DC 20036	STRATEGIC CONSULTANTS	111,896.
TYDAS - 134 W. 29TH STREET, SUITE 901, NEW YORK, NY 10001	COMPUTER CONSULTANTS	107,546.
CAMBRIDGE ASSOCIATES LLC P.O. BOX 10317, UNIONDALE, NY 11555-0317	INVESTMENT MANAGEMENT	62,349.
SKDKNICKERBOCKER - 1818 N. STREET NW, SUITE 450, WASHINGTON, DC 20036	MEDIA CONSULTANTS	61,161.
Total number of others receiving over \$50,000 for professional services		1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE NEW YORK STATE DIABETES CAMPAIGN - SEE ATTACHMENT D	
	219,250.
2 HEALTH CARE COST CONFERENCE - SEE ATTACHMENT D	
	64,741.
3 EVALUATION INITIATIVE - SEE ATTACHMENT D	
	74,750.
4	
	0.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	264,834,206.
b	Average of monthly cash balances	1b	7,490,257.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	272,324,463.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	272,324,463.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,084,867.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	268,239,596.
6	Minimum investment return. Enter 5% of line 5	6	13,411,980.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	13,411,980.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	77,132.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	77,132.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	13,334,848.
4	Recoveries of amounts treated as qualifying distributions	4	102,732.
5	Add lines 3 and 4	5	13,437,580.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	13,437,580.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	16,100,595.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	569,785.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	16,670,380.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	77,132.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	16,593,248.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				13,437,580.
2 Undistributed Income, if any, as of the end of 2010				
a Enter amount for 2009 only			9,616,769.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 16,670,380.				
a Applied to 2009, but not more than line 2a			9,616,769.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				7,053,611.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				6,383,969.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 3** Complete 3a, b, or c for the alternative test relied upon
 - a** "Assets" alternative test - enter
 - (1) Value of all assets
 - (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
 - b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
 - c** "Support" alternative test - enter
 - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
 - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
 - (3) Largest amount of support from an exempt organization
 - (4) Gross investment income

2010.04030 NEW YORK STATE HEALTH FOUND 620245 1

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHMENT B				12216000.
Total			3a	12216000.
b <i>Approved for future payment</i> SEE ATTACHMENT C				11054245.
Total			3b	11054245.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue.					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	4,346,662.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	10,761.	
8 Gain or (loss) from sales of assets other than inventory			18	4,139,664.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a GRANT REFUND			01	102,732.	
b GRANT REDUCTIONS			01	557,403.	
c RENTAL INCOME			16	71,258.	
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		9,228,480.	0.
13 Total. Add line 12, columns (b), (d), and (e)				9,228,480.	

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

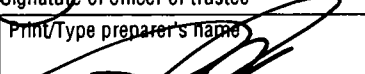
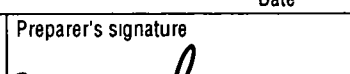
Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here ▶	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.				
	 Signature of officer or trustee		10/26/11 Date		President & CEO Title
Paid Preparer Use Only	 Print/Type preparer's name		 Preparer's signature		Date 10/13/11
	Firm's name CONNOR DAVIES MUNNS & DOBBINS, LLP		Check ☐ if self-employed		PTIN
Firm's address ▶ 60 EAST 42ND STREET NEW YORK, NY 10165			Firm's EIN ▶ Phone no 212-286-2600		

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

NEW YORK STATE HEALTH FOUNDATION

Employer identification number

30-0127892

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

Employer identification number

NEW YORK STATE HEALTH FOUNDATION

30-0127892

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	W.K. KELLOGG FOUNDATION ONE MICHIGAN AVENUE EAST BATTLE CREEK , MI 49017	\$ 370,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

NEW YORK STATE HEALTH FOUNDATION

30-0127892

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

NEW YORK STATE HEALTH FOUNDATION

30-0127892

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

NEW YORK STATE HEALTH FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a ATTACHMENT A		P	VARIOUS	VARIOUS
b OAKTREE HIGH YIELD FUND, LP		P	VARIOUS	VARIOUS
c 7-10 YEAR TREASURY INDEX FUND B		P	VARIOUS	VARIOUS
d ACWI EX-US SUPERFUND B		P	VARIOUS	VARIOUS
e MSCI EMERGING MARKETS FREE FUND B		P	VARIOUS	VARIOUS
f RUSSELL 1000 INDEX FUND B		P	VARIOUS	VARIOUS
g US TREASURY INFLATION PROTECTED SECURITIES FUND B		P	VARIOUS	VARIOUS
h WELLINGTON TRUST COMPANY, GLOBAL NATURAL RESOURCE		P	VARIOUS	VARIOUS
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 57,858,995.		55,838,046.	2,020,949.
b			42,281.
c			11,778.
d			-3,196,343.
e			67,494.
f			251,577.
g			47,338.
h			241,473.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			2,020,949.
b			42,281.
c			11,778.
d			-3,196,343.
e			67,494.
f			251,577.
g			47,338.
h			241,473.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) (If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7)	2	-513,453.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	3,284,977.	0.	3,284,977.
INTEREST	1,061,685.	0.	1,061,685.
TOTAL TO FM 990-PF, PART I, LN 4	4,346,662.	0.	4,346,662.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PROGRAM RELATED INVESTMENT INTEREST	10,761.	10,761.	
GRANT REFUND	102,732.	0.	
GRANT REDUCTIONS	557,403.	0.	
RENTAL INCOME	71,258.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	742,154.	10,761.	

FORM 990-PF	LEGAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	34,814.	4,080.		30,324.
TO FM 990-PF, PG 1, LN 16A	34,814.	4,080.		30,324.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	62,156.	0.		59,156.	
TO FORM 990-PF, PG 1, LN 16B	62,156.	0.		59,156.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CONSULTING FEES	734,655.	62,349.		649,923.	
INVESTMENT MANAGEMENT	292,112.	496,479.		0.	
TO FORM 990-PF, PG 1, LN 16C	1,026,767.	558,828.		649,923.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL EXCISE TAX	85,000.	0.		0.	
FOREIGN TAXES PAID	0.	71,024.		0.	
TO FORM 990-PF, PG 1, LN 18	85,000.	71,024.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSE	66,564.	3,328.		62,841.	
TELECOMMUNICATIONS	35,710.	0.		40,602.	
INSURANCE	45,424.	0.		44,019.	
POSTAGE	5,793.	28.		5,517.	
OUTREACH AND PUBLIC EVENTS	23,545.	790.		27,821.	

MISCELLANEOUS	8,185.	0.	8,185.
TO FORM 990-PF, PG 1, LN 23	185,221.	4,146.	188,985.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT E	FMV	281,154,105.	281,154,105.
TOTAL TO FORM 990-PF, PART II, LINE 13		281,154,105.	281,154,105.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME	470,185.	755,798.	755,798.
DEPOSITS	54,842.	54,842.	54,842.
PREPAID FEDERAL EXCISE TAX	25,000.	40,000.	40,000.
PROGRAM RELATED INVESTMENTS	1,188,592.	1,172,592.	1,172,592.
OTHER ASSETS	146,227.	0.	0.
TO FORM 990-PF, PART II, LINE 15	1,884,846.	2,023,232.	2,023,232.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	10
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DEFERRED FEDERAL EXCISE TAX	1,134.	274,512.
DEFERRED RENT	33,169.	405,072.
OTHER LIABILITIES	0.	34,820.
TOTAL TO FORM 990-PF, PART II, LINE 22	34,303.	714,404.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES R. KNICKMAN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	PRESIDENT & CEO 40.00	400,000.	19,467.	0.
PAUL BADER 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JO-ANN COSTANTINO 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
ROBERT G. SMITH 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
LARAY BROWN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
CHARLES STRAIN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
CARL WEISBROD 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
ANGELA DIAZ 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
LUCY N. FRIEDMAN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JOHN L PALMER 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		400,000.	19,467.	0.

New York State Health Foundation
EIN 30-0127892
Form 990-PF: Part IV - Capital Gains and Losses
December 31, 2010

Name of Security	Date Sold	No of Shares	Cost	Sales Proceeds	Realized Gains (Losses)
iShares 20+ Year Treasury Index Fund	3/12/2010	29,000	2,428,735	2,624,736	196,001
iShares 20+ Year Treasury Index Fund	3/24/2010	39,000	3,294,954	3,516,000	221,047
iShares 20+ Year Treasury Index Fund	5/17/2010	93,000	7,902,506	8,812,540	910,035
iShares 20+ Year Treasury Index Fund	6/18/2010	5,700	486,932	557,496	70,564
iShares 20+ Year Treasury Index Fund	7/22/2010	7,500	640,700	757,162	116,463
iShares 20+ Year Treasury Index Fund	7/27/2010	8,100	691,955	799,711	107,755
iShares 20+ Year Treasury Index Fund	8/16/2010	50,000	4,271,487	5,215,172	943,685
iShares Russell 1000 Index Fund	1/6/2010	106,000	7,297,161	6,635,875	(661,286)
iShares Russell 1000 Index Fund	2/18/2010	69,500	4,739,579	4,228,326	(511,252)
iShares Russell 1000 Index Fund	3/12/2010	40,800	2,756,118	2,598,972	(157,147)
iShares Russell 1000 Index Fund	4/23/2010	92,000	6,166,218	6,166,361	143
iShares Russell 1000 Index Fund	6/18/2010	8,900	595,055	552,238	(42,817)
iShares Russell 1000 Index Fund	3/2/2343	28,500	1,926,419	1,701,712	(224,708)
iShares TIPS Fund	9/15/2010	19,700	1,955,619	2,123,512	167,893
iShares TIPS Fund	9/16/2010	15,500	1,538,795	1,665,208	126,413
iShares TIPS Fund	10/6/2010	32,100	3,186,901	3,583,583	396,683
iShares TIPS Fund	12/22/2010	7,500	744,603	804,679	60,076
Computer Associates 5 375% 1-Dec-2019	11/4/2010	1,000,000	991,620	1,091,150	99,530
Goldman Sachs 5 5% SRN 15-Feb-2019	4/19/2010	1,000,000	1,164,140	1,139,790	(24,350)
Kroger 6 15% 1/15/2020	8/2/2010	1,000,000	1,049,430	1,167,360	117,930
News America Inc 5 65% 15Aug2020	6/15/2010	1,000,000	1,011,160	1,073,040	61,880
Verizon Communications 6 4% 2/15/38	4/14/2010	1,000,000	997,960	1,044,370	46,410
TOTAL			55,838,046	57,858,995	2,020,949

2010 Grant Payments

Request Number	Organization Name	Primary Address Line 1	Primary City	State	Zip Code	Organization Type 1	Project Title	Awarded Amount	Payment Date	Amount
10-00209	Active Minds, Inc	2647 Connecticut Ave, NW #200	Washington	DC	20008	Non-Profit	Changing the Conversation about Mental Health on New York State College Campuses	\$125,000 00	6/17/2010	\$62,500 00
1944512	Adirondack Medical Center	PO Box 471	Saranac Lake	NY	12983-0471	Non-Profit	Northern Adirondack Health Exchange	\$138,700 00	1/19/2010	\$27,740 00
2533626	American Cancer Society, Eastern Division Inc	19 Dove Street, Suite 103	Albany	NY	12210	Non-Profit	Consumer Voices for Coverage	\$300,053 00	3/18/2010	\$75,013 00
1882379	Beth Israel Medical Center	First Avenue & 16th Street	New York	NY	10003	Non-Profit	ADEPT Action for Diabetes Education Programs and Treatment	\$255,496 00	4/12/2010	\$41,464 00
3015457	Better World Advertising, Inc	731 Market Street Suite 220	San Francisco	CA	94103	Profit	New York State Diabetes Social Marketing Campaign	\$1,399,941 00	2/24/2010	\$174,994 00
3015457	Better World Advertising, Inc	731 Market Street Suite 220	San Francisco	CA	94103	Profit	New York State Diabetes Social Marketing Campaign	\$1,399,941 00	5/24/2010	\$349,986 00
3923259	Brooklyn Community Foundation	45 Main Street, Suite 409	Brooklyn	NY	11201	Non-Profit	New York City Haitian Community Hope and Healing Fund	\$100,000 00	7/13/2010	\$80,000 00
10-02373	Center for Excellence in Health Care Journalism	10 Neff Hall	Columbia	MO	65211	Non-Profit	New York State Health Care Journalism Fellowships	\$25,001 00	12/31/2010	\$20,000 00
2814903	Center for Health Care Strategies Inc	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit	Internal Evaluation Technical Assistance for NYSHF Grantees	\$253,892 00	3/9/2010	\$50,521 00
1905622	Center for Health Care Strategies Inc	200 American Metro Blvd., Suite 119	Hamilton	NJ	08619-2311	Non-Profit	Rethinking Care for New York's High-Opportunity Patients	\$322,563 00	2/22/2010	\$80,641 00
1907181	Center for Independence of the Disabled in New York	841 Broadway, Suite 301	New York	NY	10003-4704	Non-Profit	Policies to Maximize Immigrants' Enrollment in Existing and Prospective Insurance Programs	\$107,477 00	4/12/2010	\$21,495 00
10-02435	Center to Promote Healthcare Access, Inc	1333 Broadway, Suite 1020	Oakland	CA	94612	Non-Profit	Eligibility and Enrollment Systems Inventory and Plan for New York State - Phase One	\$349,878 00	12/31/2010	\$209,926 00
10-00201	Coalition of Voluntary Mental Health, Mental Retardation & Alcoholism	90 Broad Street, 8th Floor	New York	NY	10004	Non-Profit	Reengineering the Business Model for Mental Health Clinics	\$350,456 00	7/8/2010	\$175,228 00
10-02050	Columbia University - College of Dental Medicine	630 West 168th Street, P&S Box 20	New York	NY	10032	Non-Profit	Integrating Diabetes Screening in Dental Care Settings	\$200,065 00	12/30/2010	\$160,052 00
2987543	Columbia University - Mailman School of Public Health	722 West 168th Street	New York	NY	10032	Non-Profit	Advancing and Sustaining the Community Health Worker Field in New York State	\$490,363 00	1/19/2010	\$245,182 00
3109008	Common Good Institute, Inc., The	One Metrotech Center #1703	Brooklyn	NY	11201	Non-Profit	Designing an Arbitration-Based Medical Injury Dispute Resolution System for New York Health Systems	\$151,980 00	7/26/2010	\$50,660 00
10-01649	Community Funds, Inc		Syosset	NY	11791	Non-Profit	Long Island Haitian Support Fund	\$25,000 00	7/13/2010	\$20,000 00
10-02021	Community Health Care Association of New York State	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Ensuring New York's Fair Share: Providing Technical Assistance to FQHC New Access Point Applicants	\$398,562 00	8/18/2010	\$318,848 00
10-01836	Community Health Care Association of New York State	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Improving Diabetes Clinical Care at New York's Community Health Centers	\$217,258 00	8/5/2010	\$173,807 00
2494866	Community Health Care Association of New York State	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Diabetes Leadership Campaign	\$252,577 00	2/16/2010	\$49,233 42
3252615	Community Health Care Association of New York State	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Improving Clinical Care at New York's Community Health Centers	\$188,686 00	10/8/2010	\$13,999 00
3252615	Community Health Care Association of New York State	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Improving Clinical Care at New York's Community Health Centers	\$188,686 00	3/24/2010	\$150,949 00
10-02018	Community Service Society of New York	105 E 22nd Street	New York	NY	10010	Non-Profit	Exploring the Role of the Basic Health Plan Option in New York State	\$290,750 00	10/20/2010	\$232,600 00
3143136	Community Service Society of New York	105 E 22nd Street	New York	NY	10010	Non-Profit	Family Health Plus Buy-In A Practical Solution for Small Businesses	\$210,000 00	11/9/2010	\$50,000 00
2705752	Dairyalea Cooperative, Inc	5001 Brittonfield Parkway	East Syracuse	NY	13057	Profit	Increasing Health Insurance for New York's Agricultural Sector	\$510,000 00	2/16/2010	\$63,750 00
2763026	Demos A Network for Ideas and Action	115 West 30th Street, Suite 1213	New York	NY	10001	Non-Profit	Coverage Reform Options Assessing the Impact on New York's Small Businesses	\$152,211 00	4/12/2010	\$19,388 00
1896102	Dr Martin Luther King, Jr Health Center, Inc	1265 Franklin Avenue	Bronx	NY	10456-3402	Non-Profit	The Intensive Wellness Program A Health and Mental Health Intervention for High Cost Patients	\$340,631 00	10/8/2010	\$85,157 75

2010 Grant Payments

Request Number	Organization Name	Primary Address Line 1	Primary City	State	Zip Code	Organization Type 1	Project Title	Awarded Amount	Payment Date	Amount
1920995	Dr Martin Luther King, Jr Health Center, Inc	1265 Franklin Avenue	Bronx	NY	10456-3402	Non-Profit	The Dr Martin Luther King, Jr Health Center Diabetes Management Initiative Implementing Health Reform Planning for the Navigator and Consumer Assistance Programs	\$214,210.00	10/8/2010	\$53,552.00
10-02075	Empire Justice Center, Inc	1 West Main Street, 2nd Floor	Rochester	NY	14614-1418	Non-Profit	Ready, Set, Parent! Statewide Expansion	\$128,739.00	12/30/2010	\$102,991.00
2533920	EPIC - Every Person Influences Children Inc	1000 Main Street	Buffalo	NY	14202-1102	Non-Profit	Ready, Set, Parent! Statewide Expansion	\$570,310.00	2/16/2010	\$142,578.00
2533920	EPIC - Every Person Influences Children Inc	1000 Main Street	Buffalo	NY	14202-1102	Non-Profit	Ready, Set, Parent! Statewide Expansion	\$570,310.00	8/18/2010	\$71,289.00
2519928	Excellus Health Plan, Inc	165 Court Street	Rochester	NY	14647	Non-Profit	Evaluating Patient-Centered Medical Home Pilot Project	\$300,000.00	7/26/2010	\$75,000.00
1920881	Family Health Network of Central New York, Inc	17 Main Street, Suite 302	Cortland	NY	13045-6615	Non-Profit	Taking Charge of Diabetes A Collaborative Approach	\$251,148.00	8/5/2010	\$49,993.65
2528169	Floating Hospital, Inc, The	Grand Central Station PO Box 3391	New York	NY	10163-3391	Non-Profit	Expanding Primary Care Access in Long Island City	\$213,950.00	9/28/2010	\$21,395.00
2528169	Floating Hospital, Inc, The	Grand Central Station PO Box 3391	New York	NY	10163-3391	Non-Profit	Expanding Primary Care Access in Long Island City	\$213,950.00	6/17/2010	\$21,395.00
10-01932	Floating Hospital, Inc, The	Grand Central Station PO Box 3391	New York	NY	10163-3391	Non-Profit	Expanding Primary Care Access for Public Housing Residents	\$238,524.00	12/23/2010	\$190,820.00
1935308	Foundation for Long Term Care, Inc	150 State Street, Suite 301	Albany	NY	12207-1626	Non-Profit	Research to Practice Long Term Care Charge Nurse Peer Mentoring	\$164,782.00	5/24/2010	\$25,853.58
10-01785	Fund for Public Health in New York	291 Broadway, Floor 17	New York	NY	10007-1855	Non-Profit	NYC Nurse-Family Partnership Medicaid Billing Project	\$131,195.00	12/29/2010	\$104,956.00
10-01978	Grantmakers for Effective Organizations	1725 DeSales Street, NW, Suite 404	Washington	DC	20036	Non-Profit	Annual Membership Grant July 1, 2010 - June 30, 2011	\$5,750.00	7/19/2010	\$5,750.00
10-01977	Grantmakers in Health	1100 Connecticut Avenue, NW	Washington	DC	20036	Non-Profit	Annual Membership Grant July 1, 2010 - June 30, 2011	\$10,000.00	7/19/2010	\$10,000.00
3430388	Greater New York Hospital Foundation, Inc	555 West 57th Street	New York	NY	10019	Non-Profit	Promoting a Medical Malpractice Early Settlement Model	\$24,200.00	7/15/2010	\$4,840.00
10-00215	Greater New York Hospital Foundation, Inc	555 West 57th Street	New York	NY	10019	Non-Profit	Planning Grant Strengthening Care Management in Hospital-based Ambulatory Teaching Sites	\$65,000.00	6/17/2010	\$52,000.00
10-00364	Greenwich House	224 West 30th Street	New York	NY	10001	Non-Profit	Strategic Alliance between Greenwich House and The Caring Community	\$99,935.00	8/5/2010	\$79,948.00
10-01998	Haitian American Cultural and Social Organization Inc	PO Box 477	Spring Valley	NY	10977-0477	Non-Profit	Haitian Support Project	\$25,000.00	8/30/2010	\$20,000.00
2847321	Health Research, Inc	Riverview Center, 150 Broadway, Suite 560	Menands	NY	12204	Non-Profit	Assessment of Certified Diabetes Educators in New York State	\$124,586.00	6/17/2010	\$24,567.24
10-00206	Home Aide Services of Eastern NY	433 River Street, Suite 3000	Troy	NY	12180	Non-Profit	Transforming Home-Based Chronic Care Management	\$269,426.00	12/29/2010	\$215,541.00
10-02650	Hospital Educational and Research Fund, Inc	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Improving Diabetes Outcomes in New York State Hospital Outpatient Clinics	\$10,000.00	12/29/2010	\$10,000.00
10-01847	Hospital Educational and Research Fund, Inc	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics	\$582,005.00	8/5/2010	\$286,003.00
2531737	Hospital Educational and Research Fund, Inc	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Electronic Fetal Monitoring Improving Birth Outcomes in New York State	\$477,710.00	6/17/2010	\$119,427.00
3014648	Hospital Educational and Research Fund, Inc	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Improving Diabetes Outcomes in New York State Hospital Outpatient Clinics	\$319,550.00	3/9/2010	\$79,888.00
10-00329	Hudson River HealthCare, Inc	1037 Main Street	Peekskill	NY	10566-2913	Non-Profit	Preserving Community Based Primary Care & Residency Training in Yonkers	\$150,000.00	7/26/2010	\$120,000.00
2946029	Hudson River HealthCare, Inc	1037 Main Street	Peekskill	NY	10566-2913	Non-Profit	Yonkers Health Access Project	\$250,000.00	7/15/2010	\$50,000.00
2595040	IM Research and Service Corporation	744 Broadway	Albany	NY	12207-2331	Non-Profit	Improving Diabetes Care Among New York's Internal Medicine Physicians	\$259,105.00	3/9/2010	\$64,776.00
3922590	IM Research and Service Corporation	744 Broadway	Albany	NY	12207-2331	Non-Profit	Improving Diabetes Care Among New York's Internal Medicine Physicians	\$97,158.00	6/17/2010	\$24,290.00

2010 Grant Payments

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3922590	IMI Research and Service Corporation	744 Broadway	Albany	NY	12207-2331	Non-Profit	Improving Diabetes Care Among New York's Internal Medicine Physicians	\$97,158.00	11/9/2010	\$24,289.00
10-01896	Institute for Community Living	c/o The Urban Institute for Behavioral Health	New York	NY	10006	Non-Profit	Integrated Wellness: Improving the Assessment and Management of Type II Diabetes in Adults with Serious Mental Illness	\$9,915.00	6/14/2010	\$9,915.00
2369789	Institute for Family Health	16 East 16th Street	New York	NY	10003	Non-Profit	Development and Leadership of a New York State Diabetes Campaign	\$593,371.00	8/18/2010	\$148,342.75
3014479	Institute for Family Health	16 East 16th Street	New York	NY	10003	Non-Profit	New York State Diabetes Campaign Leadership	\$868,825.00	8/30/2010	\$205,517.68
3429484	Institute for Leadership Inc	2576 Broadway, Suite 272	New York	NY	10025	Non-Profit	New York State Faith-Based Diabetes Initiative	\$466,324.00	4/23/2010	\$89,081.00
3429484	Institute for Leadership Inc	2576 Broadway, Suite 272	New York	NY	10025	Non-Profit	New York State Faith-Based Diabetes Initiative	\$466,324.00	7/9/2010	\$89,081.00
3429484	Institute for Leadership Inc	2576 Broadway, Suite 272	New York	NY	10025	Non-Profit	New York State Faith-Based Diabetes Initiative	\$466,324.00	10/20/2010	\$71,264.80
1896999	Jencho Road Ministries	184 Barton Street	Buffalo	NY	14213	Non-Profit	Diabetes Center of Community Excellence	\$460,000.00	5/20/2010	\$86,250.00
2155130	Jewish Board of Family and Children's Services, Inc	120 West 57th Street	New York	NY	10019	Non-Profit	Home Again: Veterans & Families Readjustment and Reintegration	\$372,442.00	9/28/2010	\$55,867.00
2933797	Joseph P. Addabbo Family Health Center, Inc	6200 Beach Channel Drive	Arverne	NY	11692	Non-Profit	Preserving and Enhancing Primary Care Access in Central Queens	\$250,000.00	7/26/2010	\$50,000.00
10-01876	Joseph P. Addabbo Family Health Center, Inc	6200 Beach Channel Drive	Arverne	NY	11692	Non-Profit	Expanding Primary Care Capacity in Queens	\$275,000.00	10/20/2010	\$220,000.00
3429224	Joslyn Levy & Associates, LLC	501 Fifth Avenue, Suite 1702	New York	NY	10017	Profit	Evaluation of the NYSHealth Setting the Standard Initiative	\$179,998.00	1/29/2010	\$45,000.00
3429224	Joslyn Levy & Associates, LLC	501 Fifth Avenue, Suite 1702	New York	NY	10017	Profit	Evaluation of the NYSHealth Setting the Standard Initiative	\$179,998.00	11/23/2010	\$44,999.00
3429224	Joslyn Levy & Associates, LLC	501 Fifth Avenue, Suite 1702	New York	NY	10017	Profit	Evaluation of the NYSHealth Setting the Standard Initiative	\$179,998.00	8/5/2010	\$45,000.00
3034743	Lewin Group, Inc. The	3130 Fairview Park Drive, Suite 800	Falls Church	VA	22042	Profit	Bending the Cost Curve in New York	\$399,981.00	6/21/2010	\$99,995.00
10-01793	Low Income Investment Fund	350 Broadway, Suite 701	New York	NY	10013	Non-Profit	Developing Supermarkets in Low Income Communities	\$300,000.00	10/20/2010	\$240,000.00
10-01752	Manatt Health Solutions	7 Times Square	New York	NY	10036	Profit	Federal Health Reform: Impact and Opportunities for New York State	\$75,000.00	6/14/2010	\$60,000.00
10-01752	Manatt Health Solutions	7 Times Square	New York	NY	10036	Profit	Federal Health Reform: Impact and Opportunities for New York State	\$75,000.00	11/3/2010	\$15,000.00
10-02433	Manhattan Institute for Policy Research, Inc	52 Vanderbilt Avenue	New York	NY	10017-3808	Non-Profit	Developing a Framework for New York's Insurance Exchange	\$88,550.00	12/29/2010	\$70,840.00
10-00389	Manhattan Institute for Policy Research, Inc	52 Vanderbilt Avenue	New York	NY	10017-3808	Non-Profit	Assessing Retail Health Clinics as a Cost Containment Strategy in New York	\$100,221.00	5/24/2010	\$80,177.00
2372703	Manufacturers Association of the Southern Tier, Inc	512 Falconer Street	Jamesstown	NY	14701	Non-Profit	Small Business Access Health Insurance Initiative	\$99,223.00	7/26/2010	\$19,520.00
10-02099	Mathematica Policy Research, Inc	600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512	Profit	Controlling Cost and Improving Care for New York State's Medicare and Medicaid Dual Eligibles	\$146,454.00	12/29/2010	\$117,164.00
2547600	MDRC	16 East 34 Street	New York	NY	10016	Non-Profit	Evaluation of Chronic Illness Demonstration Project (CIDP)	\$250,000.00	8/30/2010	\$100,000.00
3345520	Medicare Rights Center, Inc	520 8th Avenue, North Wing, 3rd Floor	New York	NY	10018	Non-Profit	Saving State Dollars by Expanding Enrollment in Medicare Savings Programs Phase 2	\$84,841.00	11/9/2010	\$16,968.00
3922100	Mental Health Association of New York City, Inc	50 Broadway, 19th Floor	New York	NY	10004	Non-Profit	A Voice for Improving Mental Health Care for Veterans and Their Families	\$125,000.00	6/17/2010	\$62,500.00
3845137	Montefiore Medical Center - The Care Management Company of Montefiore Medical Center (CMO)	200 Corporate Boulevard South	Yonkers	NY	10701	Non-Profit	Bronx Collaborative Care Transitions Program Providers & Payers Working to Improve Quality & Reduce Medical Expenditures	\$574,090.00	6/17/2010	\$287,045.00
3106911	Montefiore Medical Center - The Care Management Company of Montefiore Medical Center (CMO)	200 Corporate Boulevard South	Yonkers	NY	10701	Non-Profit	Establishing a Bronx Collaborative for Health Improvement Planning Grant	\$75,000.00	6/17/2010	\$13,439.00

2010 Grant Payments										
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3099506	Mount Sinai School of Medicine - Center to Advance Palliative Care	1255 Fifth Avenue, Suite C-2	New York	NY	10029	Non-Profit	Providing Technical Assistance to Expand Palliative Care in New York State Hospitals	\$500,000.00	11/23/2010	\$125,000.00
2505002	Nassau Health Care Corporation, The	2201 Hempstead Turnpike	East Meadow	NY	11554	Public/Government	NuCare Coordinated Care for the Medically Underserved	\$239,950.00	5/24/2010	\$59,987.00
10-02646	National Committee for Quality Assurance	1100 13th Street, NW, Suite 1000	Washington	DC	20005		Underwriting Fees for the National Diabetes Recognition Programs	\$150,000.00	12/30/2010	\$120,000.00
3376029	National Urban Fellows, Inc	102 West 38th Street, 7th Floor	New York	NY	10018	Non-Profit	Internships of the National Urban Fellows, Class of 2010	\$126,000.00	6/17/2010	\$25,200.00
10-01960	National Urban Fellows, Inc	102 West 38th Street, 7th Floor	New York	NY	10018	Non-Profit	Internships of the National Urban Fellows, Class of 2011	\$140,000.00	9/16/2010	\$112,000.00
2411314	National Urban League	120 Wall Street, 8th Floor	New York	NY	10005	Non-Profit	Community Healthcare Navigator Project	\$201,065.00	3/9/2010	\$41,065.00
2496857	NDRI State, Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	People First Center of Excellence for the Integration of Care for Individuals with Co-Occurring Mental Health & Substance	\$3,201,644.00	2/26/2010	\$800,360.00
2495842	New York Blood Center Inc	310 East 67th Street	New York	NY	10065	Non-Profit	Diversity Outreach Initiative	\$223,945.00	8/30/2010	\$52,487.00
1909890	New York City Health and Hospitals Corporation - Bellevue Hospital Center	462 First Avenue	New York	NY	10016	Public/Government	Improving Care and Reducing Hospital Admissions and Costs for Patients at High Risk of Frequent Hospital Admissions	\$500,000.00	7/26/2010	\$125,000.00
1897541	New York Health Plan Association Council, Inc	90 State Street, #825	Albany	NY	12207	Non-Profit	NYQA Physician Portal	\$354,040.00	8/30/2010	\$88,419.08
2310292	New York Health Purchasing Alliance, Inc	61 Broadway, Suite 2705	New York	NY	10006	Non-Profit	HealthPass-Healthy NY Integration	\$103,190.00	4/12/2010	\$25,796.00
10-01857	New York Legal Assistance Group, Inc	450 West 33rd Street, 11th Floor	New York	NY	10001-2603	Non-Profit	Statewide Replication of Medical-Legal Partnerships	\$275,000.00	11/23/2010	\$220,000.00
1944713	New York Legal Assistance Group, Inc	450 West 33rd Street, 11th Floor	New York	NY	10001-2603	Non-Profit	LegalHealth Technical Assistance Project	\$115,000.00	1/19/2010	\$23,000.00
10-01905	New York State Unified Court System	25 Beaver Street, 8th Floor	New York	NY	10004	Public/Government	Statewide Veteran's Curriculum and Training The Buffalo Model	\$241,118.00	8/5/2010	\$192,895.00
3193947	New York State Unified Court System	25 Beaver Street, 8th Floor	New York	NY	10004	Public/Government	Process Evaluation of Buffalo Veterans Treatment Court	\$19,350.00	6/17/2010	\$3,870.00
3878459	New York State YMCA Foundation	33 Elk Street, Suite 200	Albany	NY	12207-1062	Non-Profit	Implementing the YMCA Diabetes Prevention Program Across New York State	\$373,999.00	6/21/2010	\$120,750.00
3878459	New York State YMCA Foundation	33 Elk Street, Suite 200	Albany	NY	12207-1062	Non-Profit	Implementing the YMCA Diabetes Prevention Program Across New York State	\$373,999.00	8/5/2010	\$132,500.00
10-00139	New York University - College of Nursing	726 Broadway, 10th Floor	NY	NY	10003	Non-Profit	Expanding the Nurses Improving Care for Health System Elders (NICHE) Program to Rural New York State Hospitals	\$305,545.00	7/14/2010	\$152,773.00
3866376	New York University - Robert F Wagner Graduate School of Public Service	295 Lafayette Street, 2nd Floor	New York	NY	10012-7455	Non-Profit	Improving Care and Reducing Costs for High Cost Medicaid Patients Phase 2	\$170,648.00	6/21/2010	\$85,324.00
3939874	Nonprofit Finance Fund	70 West 36th Street	New York	NY	10018	Non-Profit	Financial Management Technical Assistance for NYHealth Grantees	\$5,196.00	11/23/2010	\$1,039.00
3939874	Nonprofit Finance Fund	70 West 36th Street	New York	NY	10018	Non-Profit	Financial Management Technical Assistance for NYHealth Grantees	\$5,196.00	7/26/2010	\$4,157.00
10-01979	Philanthropy New York	79 Fifth Avenue, 4th Floor	New York	NY	10003-3076	Non-Profit	Annual Membership Grant July 1, 2010 - June 30, 2011	\$15,100.00	7/19/2010	\$15,100.00
1945159	Project Renewal, Inc	200 VARICK STREET	NEW YORK	NY	10014-4810	Non-Profit	Use of the ScanVan for Screening for Tuberculosis and Breast Cancer among Poor and Homeless New Yorkers	\$230,000.00	4/30/2010	\$46,000.00
2007319	Queens Library Foundation, Inc	89-11 Merrick Boulevard	Jamaica	NY	11432-5242	Non-Profit	Know Better-Feel Better Queens Library's Health Literacy Program	\$200,000.00	6/14/2010	\$40,000.00
3920345	Research & Education Foundation of the New York State Academy of Family Physicians	260 Osborne Road	Loudonville	NY	12211-1822	Non-Profit	Improving Diabetes Care Among New York's Family Physicians	\$74,487.00	6/17/2010	\$59,589.00

2010 Grant Payments										
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2405826	Research Foundation for Mental Hygiene, Inc	1051 Riverside Drive, Unit 33, Suite 1914	New York	NY	10032	Non-Profit	Critical Time Intervention for Frequent Users of the Comprehensive Psychiatric Emergency Programs	\$444,921 00	1/19/2010	\$111,230 00
2397396	RotaCare, Inc	C/O A Holly Patterson, 875 Jerusalem Ave	Unondale	NY	11553	Non-Profit	The Medical Altruism Project	\$100,000 00	6/17/2010	\$21,911 00
10-00348	Rural Health Network of South Central New York, Inc	2663 Main Street	Whitney Point	NY	13862	Non-Profit	Rural Services Project	\$200,000 00	12/31/2010	\$160,000 00
2615864	S2AY Rural Health Network Inc	PO Box 97	Coming	NY	14830-0097	Non-Profit	Making it Easy Planning a Rural Strategy to Increase Enrollment in Healthy NY	\$97,635 00	1/19/2010	\$19,468 02
1895443	Seton Health System, Inc	1300 Massachusetts Avenue	Troy	NY	12180	Non-Profit	DEFY DIABETES!	\$564,808 00	5/24/2010	\$138,948 00
2496306	Southern Tier Health Care System, Inc	1 Bluebird Square	Olean	NY	14760-2500	Non-Profit	Establishing a Southern Tier Child Advocacy Center	\$116,446 00	2/16/2010	\$29,111 00
2496306	Southern Tier Health Care System, Inc	1 Bluebird Square	Olean	NY	14760-2500	Non-Profit	Establishing a Southern Tier Child Advocacy Center	\$116,446 00	10/8/2010	\$29,111 00
10-00363	Sunset Park Health Council, Inc	150 55th Street, Station 20	Brooklyn	NY	11220	Non-Profit	New York Methodist Hospital Ambulatory Care Clinic Acquisition	\$300,000 00	7/26/2010	\$240,000 00
10-01950	Taconnic Health Information Network and Community, Inc (THINC)	300 Westage Business Center Drive, Ste 320	Fishkill	NY	12524	Non-Profit	Planning Grant Supporting the Development of Accountable Care Organizations in the Hudson Valley	\$131,342 00	11/3/2010	\$105,074 00
1998388	Transition Network, Inc	333 W 57th Street, Apt 8C	New York	NY	10019-3120	Non-Profit	A Healthcare Collaborative Grass Roots Caring Communities Connecting with Health Providers	\$144,325 00	4/30/2010	\$36,060 00
1919558	UB Family Medicine, Inc	462 Grider Street	Buffalo	NY	14215-3021	Non-Profit	Improving Diabetes Outcomes in Behavioral Health Care Recipients	\$352,052 00	3/9/2010	\$53,824 00
10-02024	United Hospital Fund of New York	Empire State Building, 350 Fifth Avenue, 23rd Floor	New York	NY	10118-2300	Non-Profit	Implementing Health Reform Immediate Issues and Options in Designing New York's Insurance Exchange	\$496,000 00	10/20/2010	\$248,000 00
2533931	United Hospital Fund of New York	Empire State Building, 350 Fifth Avenue, 23rd Floor	New York	NY	10118-2300	Non-Profit	Expanding Health Insurance Expansion in New York State, Phase II	\$547,352 00	5/24/2010	\$273,676 00
2044111	United Hospital Fund of New York	Empire State Building, 350 Fifth Avenue, 23rd Floor	New York	NY	10118-2300	Non-Profit	Promoting the Expansion of Health Insurance in New York State	\$776,725 00	1/19/2010	\$116,509 00
10-00248	United Neighborhood Houses of New York, Inc	70 West 36th Street, 5th Floor	New York	NY	10018	Non-Profit	Cooking for Healthy Communities	\$75,000 00	6/30/2010	\$60,000 00
1599471	United Way of Long Island, Inc	819 Grand Blvd	Deer Park	NY	11729	Non-Profit	Mobile Dental Services for At-Risk Populations	\$979,637 00	3/18/2010	\$189,927 40
10-01880	Metropolitan Community Churches	446 West 36th Street	New York	NY	10018	Non-Profit	Preserving and Transitioning Homeless Youth and Adolescent Services	\$50,920 00	12/3/2010	\$12,730 00
2142689	Veterans Outreach Center, Inc	459 South Avenue	Rochester	NY	14620-1020	Non-Profit	Operation Welcome Home and Recovery	\$372,335 00	6/17/2010	\$93,083 00
2022285	Westfield Memorial Hospital	189 East Main Street	Westfield	NY	14787	Non-Profit	Amish Midwifery Care Program	\$114,000 00	11/24/2010	\$22,800 00
1948967	What To Expect Foundation	211 West 80th Street, Lower Level	New York	NY	10024	Non-Profit	Baby Basics Prenatal Health Literacy Program at MIC Women's Health Centers	\$299,919 00	2/24/2010	\$59,984 00
2055387	Working Today, Inc	20 Jay Street, Suite 700	Brooklyn	NY	11201	Non-Profit	Independent Workers Employment Benefits System	\$500,000 00	3/9/2010	\$125,000 00
										\$12,216,000.37

Audit Report - Grants Payable as of December 31, 2010											
Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Amount	Organization AKA Name	Street	City	State	Zip/Postal Code	Organization Type 1	Project Title
10-00209	Active Minds, Inc	\$125,000 00	5/31/2011	\$31,250	Active Minds	2647 Connecticut Ave, NW #200	Washington	DC	20008	Non-Profit	Changing the Conversation about Mental Health on New York State College Campuses
10-00209	Active Minds, Inc	\$125,000 00	6/29/2012	\$31,250	Active Minds	2647 Connecticut Ave, NW #200	Washington	DC	20008	Non-Profit	Changing the Conversation about Mental Health on New York State College Campuses
2511422	Actors Fund of America	\$218,994.00	2/28/2011	\$43,798		729 7th Avenue, 10th Floor	New York	NY	10019-6895	Non-Profit	Expanding Services for Artists at a Free Health Care Clinic
3300533	AIDS Community Services of Western New York, Inc	\$161,184 00	12/14/2010	\$32,236		206 S Elmwood Avenue	Buffalo	NY	14201-2313	Non-Profit	Four Agency Financial and Data Management Systems Consolidation
2925157	Albany Medical College	\$242,620 00	2/28/2011	\$48,524		43 New Scotland Avenue, MC 119	ALBANY	NY	12208	Non-Profit	Pediatric Mental Health Services in the Capital Region
2533626	American Cancer Society, Eastern Division Inc	\$300,053 00	9/30/2011	\$75,013		19 Dove Street, Suite 103	Albany	NY	12210	Non-Profit	Consumer Voices for Coverage
2976249	Associated Community Action of the Northeast Adirondack Region, Inc	\$50,000 00	10/31/2010	\$10,000	Smith House Health Care Center	39 Farrell Road	Willsboro	NY	12996-3904	Non-Profit	Creating Primary Care Access in Dannemora
3015457	Better World Advertising, Inc	\$1,399,941 00	1/1/2011	\$174,991		731 Market Street Suite 220	San Francisco	CA	94103	Profit	New York State Diabetes Social Marketing Campaign
3015457	Better World Advertising, Inc	\$1,399,941 00	3/31/2011	\$174,991		731 Market Street Suite 220	San Francisco	CA	94103	Profit	New York State Diabetes Social Marketing Campaign
3015457	Better World Advertising, Inc	\$1,399,941 00	7/31/2011	\$174,991		731 Market Street Suite 220	San Francisco	CA	94103	Profit	New York State Diabetes Social Marketing Campaign

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2579841	Bridges To Excellence, Inc	\$257,716 00	11/30/2010	\$64,429		13 Sugar Street	Newtown	CT	06470-2046	Non-Profit	Developing a Statewide Diabetes Care Physician Incentive Program Among Payers
3100395	Bridges To Excellence, Inc	\$495,594 00	5/1/2010	\$123,899		13 Sugar Street	Newtown	CT	06470-2046	Non-Profit	From Potentially Avoidable Complications to Potentially Achievable Savings A Payment Reform Initiative For New York Sta
3100395	Bridges To Excellence, Inc	\$495,594 00	9/30/2011	\$123,898		13 Sugar Street	Newtown	CT	06470-2046	Non-Profit	From Potentially Avoidable Complications to Potentially Achievable Savings A Payment Reform Initiative For New York Sta
3100395	Bridges To Excellence, Inc	\$495,594 00	1/1/2011	\$123,898		13 Sugar Street	Newtown	CT	06470-2046	Non-Profit	From Potentially Avoidable Complications to Potentially Achievable Savings A Payment Reform Initiative For New York Sta
3923259	Brooklyn Community Foundation	\$100,000 00	6/30/2011	\$20,000		45 Main Street, Suite 409	Brooklyn	NY	11201	Non-Profit	New York City Haitian Community Hope and Healing Fund
3259083	Brownsville Community Development Corporation	\$249,800 00	7/31/2011	\$49,960		592 ROCKAWAY AVE	BROOKLYN	NY	11212-5539	Non-Profit	Preserving and Expanding Primary Care Access in Brooklyn
3272264	Catholic Charities of Onondaga County	\$111,000 00	2/28/2011	\$22,200		1654 West Onondaga Street	Syracuse	NY	13204	Non-Profit	Restructuring for Results
10-02373	Center for Excellence in Health Care Journalism	\$25,001 00	6/30/2011	\$5,001		10 Neff Hall	Columbia	MO	65211	Non-Profit	New York State Health Care Journalism Fellowships

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3403962	Center for Health Care Strategies Inc.	\$217,043 00	2/28/2011	\$43,408		200 American Metro Blvd , Suite 119	Hamilton	NJ	08619-2311	Non-Profit	Evaluation Technical Assistance for NYSHealth Grantees and Applicants Phase 2
1905622	Center for Health Care Strategies Inc	\$322,563 00	6/30/2012	\$80,640		200 American Metro Blvd , Suite 119	Hamilton	NJ	08619-2311	Non-Profit	Rethinking Care for New York's High-Opportunity Patients
10-02435	Center to Promote Healthcare Access, Inc	\$349,878 00	6/1/2011	\$139,952	Social Interest Solutions	1333 Broadway, Suite 1020	Oakland	CA	94612	Non-Profit	Eligibility and Enrollment Systems Inventory and Plan for New York State - Phase One
1898319	Charles B Wang Community Health Center, Inc	\$230,000.00	2/28/2010	\$46,000		268 Canal St	New York	NY	10013	Non-Profit	Chinatown Diabetes ACTION (Accelerating Collaboration To Improve Health Outcomes Now)
10-00201	Coalition of Voluntary Mental Health, Mental Retardation & Alcoholism	\$350,456 00	12/31/2012	\$87,614	Coalition of Behavioral Health Agencies, Inc	90 Broad Street, 8th Floor	New York	NY	10004	Non-Profit	Reengineering the Business Model for Mental Health Clinics
10-00201	Coalition of Voluntary Mental Health, Mental Retardation & Alcoholism	\$350,456 00	7/30/2011	\$87,614	Coalition of Behavioral Health Agencies, Inc	90 Broad Street, 8th Floor	New York	NY	10004	Non-Profit	Reengineering the Business Model for Mental Health Clinics
10-02050	Columbia University - College of Dental Medicine	\$200,065 00	6/29/2012	\$40,013		630 West 168th Street, P&S Box 20	New York	NY	10032	Non-Profit	Integrating Diabetes Screening in Dental Care Settings
2553196	Columbia University - Mailman School of Public Health	\$196,709 00	4/28/2013	\$39,341	Mailman School of Public Health	722 West 168th Street	New York	NY	10032	Non-Profit	First Steps to Healthy Living Evaluation of New York State Early Childhood Obesity Prevention Programs
2967543	Columbia University - Mailman School of Public Health	\$490,363 00	1/31/2012	\$122,590	Mailman School of Public Health	722 West 168th Street	New York	NY	10032	Non-Profit	Advancing and Sustaining the Community Health Worker Field in New York State

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2967543	Columbia University - Mailman School of Public Health	\$490,363 00	12/31/2010	\$122,591	Mailman School of Public Health	722 West 168th Street	New York	NY	10032	Non-Profit	Advancing and Sustaining the Community Health Worker Field in New York State
3109008	Common Good Institute, Inc., The	\$151,980 00	5/15/2011	\$50,660		One Metrotech Center #1703	Brooklyn	NY	11201	Non-Profit	Designing an Arbitration-Based Medical Injury Dispute Resolution System for New York Health Systems
10-01649	Community Funds, Inc	\$25,000 00	8/31/2011	\$5,000	Long Island Community Foundation		Syosset	NY	11791	Non-Profit	Long Island Haitian Support Fund
10-02021	Community Health Care Association of New York State	\$398,562 00	1/31/2011	\$79,714	CHCANYS	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Ensuring New York's Fair Share Providing Technical Assistance to FQHC New Access Point Applicants
10-01836	Community Health Care Association of New York State	\$217,258 00	8/31/2011	\$43,451	CHCANYS	535 Eighth Avenue, 8th Floor	New York	NY	10018	Non-Profit	Improving Diabetes Clinical Care at New York's Community Health Centers
2532276	Community Health Care Services Foundation, Inc	\$249,980 00	3/31/2011	\$49,996		99 Troy Rd Suite 200	East Greenbush	NY	12061	Non-Profit	Improving Retention of the Home Care Workforce
2948889	Community Health Center of Buffalo, Inc	\$204,624 00	10/31/2011	\$40,925		462 GRIDER ST	BUFFALO	NY	14215-3021	Non-Profit	Increasing Access to Primary Care in Niagara Falls
2934164	Community Health Project, Inc.	\$300,000 00	4/15/2010	\$75,000	dba Callen-Lorde Community Health Center	356 West 18th Street	New York	NY	10011-4401	Non-Profit	Establishing On-Site Pharmacy Access at a Community Health Center
2934164	Community Health Project, Inc	\$300,000 00	6/30/2010	\$75,000	dba Callen-Lorde Community Health Center	356 West 18th Street	New York	NY	10011-4401	Non-Profit	Establishing On-Site Pharmacy Access at a Community Health Center
10-02018	Community Service Society of New York	\$290,750 00	11/16/2011	\$58,150	CSS	105 E 22nd Street	New York	NY	10010	Non-Profit	Exploring the Role of the Basic Health Plan Option in New York State

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1896102	Dr Martin Luther King, Jr. Health Center, Inc	\$340,631 00	2/28/2012	\$85,158		1265 Franklin Avenue	Bronx	NY	10456-3402	Non-Profit	The Intensive Wellness Program A Health and Mental Health Intervention for High Cost Patients
10-02075	Empire Justice Center, Inc	\$128,739 00	10/31/2011	\$25,748		1 West Main Street, 2nd Floor	Rochester	NY	14614-1418	Non-Profit	Implementing Health Reform Planning for the Navigator and Consumer Assistance Programs
2533920	EPIC - Every Person Influences Children Inc	\$570,310 00	2/28/2011	\$71,288		1000 Main Street	Buffalo	NY	14202-1102	Non-Profit	Ready, Set, Parent! Statewide Expansion
2519928	Excellus Health Plan, Inc	\$300,000 00	6/30/2011	\$75,000		165 Court Street	Rochester	NY	14647	Non-Profit	Evaluating Patient-Centered Medical Home Pilot Project
2519928	Excellus Health Plan, Inc	\$300,000 00	2/28/2013	\$75,000		165 Court Street	Rochester	NY	14647	Non-Profit	Evaluating Patient-Centered Medical Home Pilot Project
10-01932	Floating Hospital, Inc., The	\$238,524 00	2/19/2013	\$47,704	TFH	Grand Central Station PO Box 3391	New York	NY	10163-3391	Non-Profit	Expanding Primary Care Access for Public Housing Residents
1882036	Foundation for Long Term Care, Inc	\$354,958 00	10/29/2010	\$88,739		150 State Street, Suite 301	Albany	NY	12207-1626	Non-Profit	Efficient and Cost-Effective Care Planning
3021329	Fund for Public Health in New York	\$199,885 00	5/31/2011	\$39,977		291 Broadway, Floor 17	New York	NY	10007-1855	Non-Profit	A Falling Tide of Salt Lowers All Blood Pressures
10-01785	Fund for Public Health in New York	\$131,195 00	2/24/2012	\$26,239		291 Broadway, Floor 17	New York	NY	10007-1855	Non-Profit	NYC Nurse-Family Partnership Medicaid Billing Project
1918816	Glens Falls Hospital, Inc	\$172,771 00	5/31/2010	\$34,554		100 Park Street	Glens Falls	NY	12801-4413	Non-Profit	Sustainable Strategies for Diabetes Self Management
10-00215	Greater New York Hospital Foundation, Inc	\$65,000 00	6/30/2011	\$13,000	GNHYHA Foundation	555 West 57th Street 15th Floor	New York	NY	10019	Non-Profit	Planning Grant Strengthening Care Management in Hospital-based Ambulatory Teaching Sites

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10-00364	Greenwich House	\$99,935 00	8/31/2011	\$19,987	HACSO	224 West 30th Street	New York	NY	10001	Non-Profit	Strategic Alliance between Greenwich House and The Caring Community
10-01998	Haitian American Cultural and Social Organization Inc	\$25,000 00	8/31/2011	\$5,000	Community Center Inc	PO Box 477	Spring Valley	NY	10977-0477	Non-Profit	Haitian Support Project
2519738	Hartwick College	\$83,030 00	8/30/2010	\$16,606		1 HARTWICK DR	ONEONTA	NY	13820-4000	Non-Profit	Strengthening the Rural Nursing Workforce
3253454	Health Association of Niagara County, Inc	\$79,795 00	2/28/2011	\$15,959	HANCI	1302 Main Street	Niagara Falls	NY	14301-1118	Non-Profit	Merging Agencies to Advance Disease Prevention in Niagara County
10-00206	Home Aide Services of Eastern NY	\$269,426 00	1/31/2013	\$53,885	Eddy Visiting Nurse Association	433 River Street, Suite 3000	Troy	NY	12180	Non-Profit	Transforming Home-Based Chronic Care Management
10-01847	Hospital Educational and Research Fund, Inc	\$582,005 00	8/31/2011	\$143,000	Healthcare Association of New York State (HANYS)	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics
10-01847	Hospital Educational and Research Fund, Inc	\$582,005 00	1/4/2011	\$153,002	Healthcare Association of New York State (HANYS)	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Improving Diabetes Outcomes at New York State Hospital Outpatient Clinics
2531737	Hospital Educational and Research Fund, Inc	\$477,710 00	5/31/2011	\$119,427	Healthcare Educational and Research Fund/Healthcare Association of New York State (HANYS)	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Electronic Fetal Monitoring Improving Birth Outcomes in New York State

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Amount	Organization AKA Name	Street	City	State	Zip/Postal Code	Organization Type 1	Project Title
3014648	Hospital Educational and Research Fund, Inc	\$319,550 00	8/31/2010	\$79,887	Healthcare Educational and Research Fund/Healthcare Association of New York State (HANYs)	One Empire Drive	Rensselaer	NY	12144	Non-Profit	Improving Diabetes Outcomes in New York State Hospital Outpatient Clinics
2584737	Hudson Headwaters Health Network	\$494,946 00	9/30/2010	\$123,737		One Broad Street Plaza	Glens Falls	NY	12801	Non-Profit	Transitioning Elderly Patients from Hospital to Home
10-00329	Hudson River HealthCare, Inc	\$150,000 00	8/31/2011	\$30,000		1037 Main Street	Peekskill	NY	10566-2913	Non-Profit	Preserving Community Based Primary Care & Residency Training in Yonkers
3922590	IM Research and Service Corporation	\$97,158 00	6/30/2011	\$24,290	New York Chapter, American College of Physicians (ACP)	744 Broadway	Albany	NY	12207-2331	Non-Profit	Improving Diabetes Care Among New York's Internal Medicine Physicians
3922590	IM Research and Service Corporation	\$97,158 00	2/15/2011	\$24,289	New York Chapter, American College of Physicians (ACP)	744 Broadway	Albany	NY	12207-2331	Non-Profit	Improving Diabetes Care Among New York's Internal Medicine Physicians
1920882	Institute for Community Living	\$572,419 00	6/30/2011	\$143,104	c/o Integrated Wellness Partners	c/o The Urban Institute for Behavioral Health 40 Rector Street, 8th Floor	New York	NY	10006	Non-Profit	Integrated Wellness Improving the Assessment and Management of Type II Diabetes in Adults with Serious Mental Illness
3014479	Institute for Family Health	\$868,825 00	2/4/2011	\$11,688	Formerly the Institute for Urban Family Health	16 East 16th Street	New York	NY	10003	Non-Profit	New York State Diabetes Campaign Leadership
3429484	Institute for Leadership Inc	\$466,324 00	2/28/2011	\$17,816	IFL	2576 Broadway, Suite 272	New York	NY	10025	Non-Profit	New York State Faith-Based Diabetes Initiative

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2510979	Iraq and Afghanistan Veterans of America Inc	\$74,985 00	2/28/2011	\$14,997	IAVA	292 Madison Ave, 10th Floor	New York	NY	10017	Non-Profit	IAVA Veterans' Health Initiative
1919316	Jamaica Hospital	\$184,708 00	4/28/2010	\$46,177	Jamaica Hospital Medical Center	8900 Van Wyck Expressway	Jamaica	NY	11418-2897	Non-Profit	Initiating a Diabetes Management Program for Underserved Populations
1896999	Jencho Road Ministries	\$460,000 00	5/31/2010	\$28,750		184 Barton Street	Buffalo	NY	14213	Non-Profit	Diabetes Center of Community Excellence
10-01876	Joseph P. Addabbo Family Health Center, Inc	\$275,000 00	9/28/2012	\$55,000		6200 Beach Channel Drive	Arverne	NY	11692	Non-Profit	Expanding Primary Care Capacity in Queens
3429224	Joslyn Levy & Associates, LLC	\$179,998.00	12/31/2010	\$44,999		501 Fifth Avenue, Suite 1702	New York	NY	10017	Profit	Evaluation of the NYSH Health Setting the Standard Initiative
3034743	Lewin Group, Inc., The	\$399,981.00	12/31/2010	\$99,995		3130 Fairview Park Drive, Suite 800	Falls Church	VA	22042	Profit	Bending the Cost Curve in New York
10-01793	Low Income Investment Fund	\$300,000 00	11/29/2013	\$60,000	Low Income Investment Fund	350 Broadway, Suite 701	New York	NY	10013	Non-Profit	Developing Supermarkets in Low Income Communities
10-00389	Manhattan Institute for Policy Research, Inc	\$100,221 00	2/28/2011	\$20,044	MI	52 Vanderbilt Avenue	New York	NY	10017-3808	Non-Profit	Assessing Retail Health Clinics as a Cost Containment Strategy in New York
10-02433	Manhattan Institute for Policy Research, Inc	\$88,550 00	7/15/2011	\$17,710	MI	52 Vanderbilt Avenue	New York	NY	10017-3808	Non-Profit	Developing a Framework for New York's Insurance Exchange
10-02099	Mathematica Policy Research, Inc	\$146,454 00	10/31/2011	\$29,290	Mathematica	600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512	Profit	Controlling Cost and Improving Care for New York State's Medicaid Dual Eligibles
3107261	Mathematica Policy Research, Inc	\$321,820 00	7/31/2011	\$80,455		600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512	Profit	Reducing Hospital Readmissions in New York State
3107261	Mathematica Policy Research, Inc	\$321,820 00	11/30/2010	\$80,455		600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512	Profit	Reducing Hospital Readmissions in New York State

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3107261	Mathematica Policy Research, Inc	\$321,820 00	2/28/2011	\$80,455		600 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512	Profit	Reducing Hospital Readmissions in New York State
2547600	MDRC	\$250,000 00	11/30/2012	\$50,000		16 East 34 Street	New York	NY	10016	Non-Profit	Evaluation of Chronic Illness Demonstration Project (CIDP)
10-02055	Medicare Rights Center, Inc	\$195,595 00	8/31/2012	\$39,119		520 8th Avenue, North Wing, 3rd Floor	New York	NY	10018	Non-Profit	Creating Seamless Coverage for Elderly and Disabled New Yorkers
10-02055	Medicare Rights Center, Inc	\$195,595 00	1/5/2011	\$156,476		520 8th Avenue, North Wing, 3rd Floor	New York	NY	10018	Non-Profit	Creating Seamless Coverage for Elderly and Disabled New Yorkers
3922100	Mental Health Association of New York City, Inc	\$125,000 00	5/31/2011	\$31,250	mhaofnyc	50 Broadway, 19th Floor	New York	NY	10004	Non-Profit	A Voice for Improving Mental Health Care for Veterans and Their Families
3922100	Mental Health Association of New York City, Inc	\$125,000 00	6/29/2012	\$31,250	mhaofnyc	50 Broadway, 19th Floor	New York	NY	10004	Non-Profit	A Voice for Improving Mental Health Care for Veterans and Their Families
3845137	Montefiore Medical Center - The Care Management Company of Montefiore Medical Center (CMO)	\$574,090 00	12/30/2011	\$143,522	CMO, The Care Management Company	200 Corporate Boulevard South	Yonkers	NY	10701	Non-Profit	Bronx Collaborative Care Transitions Program Providers & Payers Working to Improve Quality & Reduce Medical Expenditures
3845137	Montefiore Medical Center - The Care Management Company of Montefiore Medical Center (CMO)	\$574,090 00	12/31/2010	\$143,523	CMO, The Care Management Company	200 Corporate Boulevard South	Yonkers	NY	10701	Non-Profit	Bronx Collaborative Care Transitions Program Providers & Payers Working to Improve Quality & Reduce Medical Expenditures
3099506	Mount Sinai School of Medicine - Center to Advance Palliative Care	\$500,000 00	9/30/2011	\$125,000	Center to Advance Palliative Care (CAPC)	1255 Fifth Avenue, Suite C-2	New York	NY	10029	Non-Profit	Providing Technical Assistance to Expand Palliative Care in New York State Hospitals

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2505002	Nassau Health Care Corporation, The	\$239,950 00	2/28/2011	\$59,987		2201 Hempstead Turnpike	East Meadow	NY	11554	Public/Government	NuCare. Coordinated Care for the Medically Underserved
3235651	National Center on Addiction and Substance Abuse at Columbia University	\$429,906 00	7/31/2012	\$107,476	CASA	633 3RD Avenue, 19th Floor	New York	NY	10017-8155	Non-Profit	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments in
3235651	National Center on Addiction and Substance Abuse at Columbia University	\$429,906 00	3/31/2011	\$107,477	CASA	633 3RD Avenue, 19th Floor	New York	NY	10017-8155	Non-Profit	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments in
10-02646	National Committee for Quality Assurance	\$150,000 00	5/31/2011	\$30,000	NCQA	1100 13th Street, NW, Suite 1000	Washington	DC	20005		Underwriting Fees for the National Diabetes Recognition Programs
3254348	National Multiple Sclerosis Society, New York City Chapter	\$97,750 00	12/14/2010	\$19,550		733 Third Avenue, 3rd Floor	New York	NY	10017-3288	Non-Profit	Merger of the New York City (NYC) and Southern New York Chapters of the National Multiple Sclerosis Society
3153808	National Opinion Research Center	\$200,000 00	10/29/2010	\$40,000	NORC	4350 East West Highway	Bethesda	MD	20814	Non-Profit	Survey of Employer Health Benefits in New York, 2009
10-01960	National Urban Fellows, Inc	\$140,000 00	5/31/2011	\$28,000	NUF	102 West 38th Street, 7th Floor	New York	NY	10018	Non-Profit	Internships of the National Urban Fellows, Class of 2011

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3426912	NDRI State, Inc	\$442,571 00	12/1/2011	\$110,642	National Development and Research Institutes Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	Expanding Technical Assistance Support and Reach of NYSHealth Center for Excellence in Integrated Care (CEIC)
3426912	NDRI State, Inc	\$442,571 00	12/15/2012	\$110,642	National Development and Research Institutes Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	Expanding Technical Assistance Support and Reach of NYSHealth Center for Excellence in Integrated Care (CEIC)
3426912	NDRI State, Inc	\$442,571 00	12/1/2010	\$110,643	National Development and Research Institutes Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	Expanding Technical Assistance Support and Reach of NYSHealth Center for Excellence in Integrated Care (CEIC)
2496857	NDRI State, Inc	\$3,201,644 00	12/1/2010	\$800,360	National Development and Research Institutes Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	People First Center of Excellence for the Integration of Care for Individuals with Co-Occurring Mental Health & Substan
2496857	NDRI State, Inc	\$3,201,644 00	12/1/2011	\$720,387	National Development and Research Institutes Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	People First Center of Excellence for the Integration of Care for Individuals with Co-Occurring Mental Health & Substan
2496857	NDRI State, Inc	\$3,201,644 00	12/15/2012	\$80,042	National Development and Research Institutes Inc	71 West 23rd Street, 8th Floor	New York	NY	10010-3509	Non-Profit	People First Center of Excellence for the Integration of Care for Individuals with Co-Occurring Mental Health & Substan
2354531	New York City Alliance Against Sexual Assault	\$177,074 00	1/30/2010	\$35,414		27 Christopher Street, 3rd Floor	New York	NY	10014	Non-Profit	SAFE NYC. Quality Care for Assault Victims

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Amount	Organization AKA Name	Street	City	State	Zip/Postal Code	Organization Type 1	Project Title
1909890	New York City Health and Hospitals Corporation - Bellevue Hospital Center	\$500,000 00	6/30/2011	\$125,000	Bellevue Hospital Center	462 First Avenue	New York	NY	10016	Public/Government	Improving Care and Reducing Hospital Admissions and Costs for Patients at High Risk of Frequent Hospital Admissions
1909890	New York City Health and Hospitals Corporation - Bellevue Hospital Center	\$500,000 00	7/30/2012	\$125,000	Bellevue Hospital Center	462 First Avenue	New York	NY	10016	Public/Government	Improving Care and Reducing Hospital Admissions and Costs for Patients at High Risk of Frequent Hospital Admissions
2530706	New York City Health and Hospitals Corporation - Elmhurst Hospital Center	\$156,027 00	1/30/2010	\$31,205	Elmhurst Hospital Center	79-01 Broadway	Elmhurst	NY	11373	Public/Government	New Mothers Breastfeeding Education and Support
2492249	New York City Health and Hospitals Corporation - Harlem Hospital Center	\$309,672 00	11/30/2009	\$77,418	Harlem Hospital Center	506 Lenox Avenue	New York	NY	10037	Public/Government	Muslim Health Clinic
2492249	New York City Health and Hospitals Corporation - Harlem Hospital Center	\$309,672 00	1/30/2010	\$77,418	Harlem Hospital Center	506 Lenox Avenue	New York	NY	10037	Public/Government	Muslim Health Clinic
10-01857	New York Legal Assistance Group, Inc	\$275,000 00	5/31/2012	\$55,000	NYLAG	450 West 33rd Street, 11th Floor	New York	NY	10001-2603	Non-Profit	Statewide Replication of Medical-Legal Partnerships
3176349	New York State Dental Foundation	\$225,000 00	7/29/2011	\$45,000		20 Corporate Woods Boulevard, Suite 602	Albany	NY	12211	Non-Profit	Donated Dental Services
10-01905	New York State Unified Court System	\$241,118 00	2/15/2012	\$48,223	Buffalo Veterans Treatment Court	25 Beaver Street, 8th Floor	New York	NY	10004	Public/Government	Statewide Veteran's Curriculum and Training The Buffalo Model
3878459	New York State YMCA Foundation	\$373,999 00	8/31/2012	\$60,374	YMCA	33 Elk Street, Suite 200	Albany	NY	12207-1062	Non-Profit	Implementing the YMCA Diabetes Prevention Program Across New York State

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Amount	Organization AKA Name	Street	City	State	Zip/Postal Code	Organization Type 1	Project Title
3878459	New York State YMCA Foundation	\$373,999 00	4/29/2011		YMCA	33 Elk Street, Suite 200	Albany	NY	12207-1062	Non-Profit	Implementing the YMCA Diabetes Prevention Program Across New York State
2946572	New York University - College of Dentistry	\$197,682 00	7/30/2010		College of Dentistry	345 East 24th Street, 1037W	New York	NY	10010	Non-Profit	Columbia County Oral Health Intervention Program
10-00139	New York University - College of Nursing	\$305,545 00	6/1/2011		NICHE - NYU College of Nursing	726 Broadway, 10th Floor	NY	NY	10003	Non-Profit	Expanding the Nurses Improving Care for Health System Elders (NICHE) Program to Rural New York State Hospitals
10-00139	New York University - College of Nursing	\$305,545 00	6/29/2012		NICHE - NYU College of Nursing	726 Broadway, 10th Floor	NY	NY	10003	Non-Profit	Expanding the Nurses Improving Care for Health System Elders (NICHE) Program to Rural New York State Hospitals
3868376	New York University - Robert F Wagner Graduate School of Public Service	\$170,648 00	5/31/2012		Robert F Wagner Graduate School of Public Service	295 Lafayette Street, 2nd Floor	New York	NY	10012-7455	Non-Profit	Improving Care and Reducing Costs for High Cost Medicaid Patients Phase 2
3868376	New York University - Robert F Wagner Graduate School of Public Service	\$170,648 00	5/31/2013		Robert F. Wagner Graduate School of Public Service	295 Lafayette Street, 2nd Floor	New York	NY	10012-7455	Non-Profit	Improving Care and Reducing Costs for High Cost Medicaid Patients Phase 2
3281728	Northeast Health, Inc	\$200,000 00	2/28/2011			2212 Burdett Avenue	Troy	NY	12180-2401	Non-Profit	Merger of Northeast Health, St Peter's Health Care Services, and Seton Health
2534737	Northern New York Rural Behavioral Health Institute Inc	\$263,926 00	1/31/2011			P O Box 891	Saranac Lake	NY	12983-0891	Non-Profit	HealthNet Mental Health Services for North Country Elders

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Amount	Organization AKA Name	Street	City	State	Zip/Postal Code	Organization Type 1	Project Title
3254860	Northern Oswego County Health Services, Inc	\$400,000.00	6/14/2011	\$100,000		61 DELANO ST	PULASKI	NY	13142-1400	Non-Profit	Consolidating the Oswego Primary Care Safety Net
3254860	Northern Oswego County Health Services, Inc	\$400,000.00	10/15/2010	\$100,000		61 DELANO ST	PULASKI	NY	13142-1400	Non-Profit	Consolidating the Oswego Primary Care Safety Net
2533221	Northwest Buffalo Community Health Care Center, Inc	\$239,718.00	1/30/2011	\$47,943		155 Lawn Ave	Buffalo	NY	14207	Non-Profit	Integrating Mental Health Services into a Primary Care Setting
2947158	Our Lady of Lourdes Memorial Hospital, Inc	\$247,907.00		\$49,581		169 Riverside Drive	Binghamton	NY	13905-4246	Non-Profit	The Hope Dispensary of the Southern Tier
2491623	Planned Parenthood of New York City, Inc	\$53,538.00	1/30/2010	\$10,707		26 BLEECKER ST	NEW YORK	NY	10012-2413	Non-Profit	Expanding Access to Care on Staten Island for Young Adults
2403511	Primary Care Development Corporation	\$295,000.00	10/30/2009	\$59,000		22 Cortlandt Street, 12th Floor	New York	NY	10007-3107	Non-Profit	Assessment of the Financial Viability of NYS Health Centers
3036664	Public Health Solutions	\$75,000.00	9/30/2010	\$15,000	formerly Medical and Health Research Association of New York City, Inc.	40 Worth Street, Fifth Floor	New York	NY	10013-2988	Non-Profit	Applying Technology to Increase Health Insurance Enrollment
2753596	RAND Corporation	\$494,900.00	2/1/2011	\$284,950		P O Box 2138	Santa Monica	CA	90407-2138	Non-Profit	A Needs Assessment of Returning Veterans and Their Families in New York State
2608133	Research & Education Foundation of the New York State Academy of Family Physicians	\$156,975.00	1/31/2010	\$31,395	New York State Academy of Family Physicians (AFP)	260 Osborne Road	Loudonville	NY	12211-1822	Non-Profit	Improving Diabetes Care Among New York's Family Physicians
3920345	Research & Education Foundation of the New York State Academy of Family Physicians	\$74,487.00	5/31/2011	\$14,898	New York State Academy of Family Physicians (AFP)	260 Osborne Road	Loudonville	NY	12211-1822	Non-Profit	Improving Diabetes Care Among New York's Family Physicians

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Amount	Organization AKA Name	Street	City	State	Zip/Postal Code	Organization Type 1	Project Title
2405826	Research Foundation for Mental Hygiene, Inc.	\$444,921 00	11/30/2010	\$111,230	RFMH at New York State Psychiatric Institute	1051 Riverside Drive, Unit 33, Suite 1914	New York	NY	10032	Non-Profit	Critical Time Intervention for Frequent Users of the Comprehensive Psychiatric Emergency Programs
2044074	Research Foundation of City University of New York (CUNY)	\$300,000 00	8/31/2010	\$45,000	Hunter College of New York	425 E 25th St	New York	NY	10010	Non-Profit	CUNY Campaign Against Diabetes
2038428	Research Foundation of the City University of New York (CUNY)	\$510,039.00	1/31/2011	\$127,499	on behalf of Lehman College	250 Bedford Park Blvd West	Bronx	NY	10468	Non-Profit	CUNY-Community Partnership for Public Health (CCPPH)
2967183	Rochester General Hospital	\$172,628 00		\$33,526		1425 PORTLAND AVE	ROCHESTER	NY	14621-3001	Non-Profit	Refugee Healthcare Project
10-00348	Rural Health Network of South Central New York, Inc.	\$200,000 00	2/28/2014	\$40,000	RHNSCNY	2663 Main Street	Whitney Point	NY	13862	Non-Profit	Rural Services Project
1998118	Schenectady Inner City Ministry	\$115,000 00	5/31/2010	\$23,000		930 Albany Street	Schenectady	NY	12307-1514	Non-Profit	Spiritually Sound - Physically Fit
3253435	Sunset Park Health Council, Inc	\$200,000 00	1/31/2011	\$40,000	Lutheran Family Health Centers	150 55th Street, Station 20	Brooklyn	NY	11220	Non-Profit	Dental Clinic Preservation and Expansion
10-00363	Sunset Park Health Council, Inc	\$300,000 00	2/29/2012	\$60,000	Lutheran Family Health Centers	150 55th Street, Station 20	Brooklyn	NY	11220	Non-Profit	New York Methodist Hospital Ambulatory Care Clinic Acquisition
3343785	SUNY - University at Albany - The Nelson A Rockefeller Institute of Government	\$99,676 00	9/30/2010	\$19,935	Nelson A Rockefeller Institute of Government, Health Policy Research Center	411 State Street	Albany	NY	12203-1003	Non-Profit	Reducing Variation in Medicaid Asset Transfer Rates Phase 2
3426591	Syracuse University	\$278,881 00	5/31/2011	\$55,776	Maxwell School of Citizenship and Public Affairs	Office of Sponsored Programs, 113 Bowne Hall	SYRACUSE	NY	13244-1200	Non-Profit	Spreading Best Practices to Reduce Geographic Variation and Health Care Costs in New York State

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Amount	Organization AKA Name	Street	City	State	Zip/Postal Code	Organization Type 1	Project Title
10-01950	Taconic Health Information Network and Community, Inc (THINC)	\$131,342 00	12/30/2011	\$26,268	THINC, Inc	300 Westage Business Center Drive, Ste 320	Fishkill	NY	12524	Non-Profit	Planning Grant Supporting the Development of Accountable Care Organizations in the Hudson Valley
10-02024	United Hospital Fund of New York	\$496,000 00	5/2/2011	\$124,000		Empire State Building, 350 Fifth Avenue, 23rd Floor	New York	NY	10118-2300	Non-Profit	Implementing Health Reform Immediate Issues and Options in Designing New York's Insurance Exchange
10-02024	United Hospital Fund of New York	\$496,000 00	11/30/2011	\$124,000		Empire State Building, 350 Fifth Avenue, 23rd Floor	New York	NY	10118-2300	Non-Profit	Implementing Health Reform Immediate Issues and Options in Designing New York's Insurance Exchange
10-00248	United Neighborhood Houses of New York Inc	\$75,000 00	6/30/2011	\$15,000	UNH	70 West 36th Street, 5th Floor	New York	NY	10018	Non-Profit	Cooking for Healthy Communities
3272909	Unity House of Troy Inc.	\$250,551 00	9/30/2011	\$50,110		33 Second Street	Troy	NY	12180	Non-Profit	Hudson River Consortium
10-01880	Universal Fellowship of Metropolitan Community Churches	\$50,920 00	3/1/2011	\$12,730	Metropolitan Community Church of New York, MCCNY	446 West 36th Street	New York	NY	10018	Non-Profit	Preserving and Transitioning Homeless Youth and Adolescent Services
10-01880	Universal Fellowship of Metropolitan Community Churches	\$50,920 00	12/30/2011	\$12,730	Metropolitan Community Church of New York, MCCNY	446 West 36th Street	New York	NY	10018	Non-Profit	Preserving and Transitioning Homeless Youth and Adolescent Services
10-01880	Universal Fellowship of Metropolitan Community Churches	\$50,920 00	7/1/2011	\$12,730	Metropolitan Community Church of New York, MCCNY	446 West 36th Street	New York	NY	10018	Non-Profit	Preserving and Transitioning Homeless Youth and Adolescent Services

Request Number	Organization Name	Awarded Amount	Scheduled Payment Date	Amount	Organization AKA Name	Street	City	State	Zip/Postal Code	Organization Type 1	Project Title
2497383	University of Rochester	\$320,443.00	10/15/2009	\$79,536		601 Elmwood Ave	Rochester	NY	14642	Non-Profit	Health-e-Access Optimizing Access to Avoid Emergency Department Use
2497383	University of Rochester	\$320,443.00	2/28/2011	\$79,535		601 Elmwood Ave	Rochester	NY	14642	Non-Profit	Health-e-Access Optimizing Access to Avoid Emergency Department Use
3169858	University of Rochester - Starlight Pediatrics	\$300,141.00	2/28/2012	\$75,035	Starlight Pediatrics	601 Elmwood Avenue Box 777 Pediatrics	Rochester	NY	14642	Non-Profit	Fostering Connections
3169858	University of Rochester - Starlight Pediatrics	\$300,141.00	6/1/2011	\$75,035	Starlight Pediatrics	601 Elmwood Avenue Box 777 Pediatrics	Rochester	NY	14642	Non-Profit	Fostering Connections
3169858	University of Rochester - Starlight Pediatrics	\$300,141.00	10/1/2010	\$75,035	Starlight Pediatrics	601 Elmwood Avenue Box 777 Pediatrics	Rochester	NY	14642	Non-Profit	Fostering Connections
2403303	Visiting Nurse Service of New York, The	\$232,715.00	4/28/2011	\$46,543		1250 Broadway	New York	NY	10001-3701	Non-Profit	CHAMP Genatric Medication Management Program
3178829	William F Ryan Community Health Center Inc	\$200,001.00	1/31/2011	\$40,000		110 West 97th Street	New York	NY	10025-6450	Non-Profit	Thelma C Davidson Adair Community Health Center Acquisition

Grants Payable	\$11,114,315
Grant Discount	(\$60,070)
Net Grants Payable	<u>\$11,054,245</u>

Audit Report-Expenditure Responsibility FY 2010													
Request Number	Organization Name	Organization AKA Name	Tax Status	Organization Type 1	Organization Type 2	Project Title	Awarded Amount	Payment Date	Amount	Address	City	State	Zip/Postal Code
10-01905	New York State Unified Court System	Buffalo Veterans Treatment Court	Non Verifiable	Public/Government	Government Agency	Statewide Veteran's Curriculum and Training The Buffalo Model	\$241,118.00	8/5/2010	\$192,895.00	25 Beaver Street, 8th Floor	New York	NY	10004
2505002	Nassau Health Care Corporation, The		Non Verifiable	Public/Government	Other-fill in the field below	NuCare Coordinated Care for the Medically Underserved	\$239,950.00	5/24/2010	\$59,987.00	2201 Hempstead Turnpike	East Meadow	NY	11554
3193947	New York State Unified Court System	Buffalo Veterans Treatment Court	Non Verifiable	Public/Government	Government Agency	Process Evaluation of Buffalo Veterans Treatment Court	\$19,350.00	6/17/2010	\$3,870.00	25 Beaver Street, 8th Floor	New York	NY	10004
1909890	New York City Health and Hospitals Corporation - Bellevue Hospital Center	Bellevue Hospital Center	170(B)(1)(a)(ii)	Public/Government		Improving Care and Reducing Hospital Admissions and Costs for Patients at High Risk of Frequent Hospital Admissions	\$500,000.00	7/26/2010	\$125,000.00	462 First Avenue	New York	NY	10016
10-01752	Manatt Health Solutions	Manatt, Phelps & Phillips, LLP	Non Verifiable	Profit	Other	Federal Health Reform Impact and Opportunities for New York State	\$75,000.00	6/14/2010	\$60,000.00	7 Times Square	New York	NY	10036
3429224	Joslyn Levy & Associates, LLC		Non Verifiable	Profit		Evaluation of the NYSHHealth Setting the Standard Initiative	\$179,998.00	1/29/2010	\$45,000.00	501 Fifth Avenue, Suite 1702	New York	NY	10017
3429224	Joslyn Levy & Associates, LLC		Non Verifiable	Profit		Evaluation of the NYSHHealth Setting the Standard Initiative	\$179,998.00	11/23/2010	\$44,999.00	501 Fifth Avenue, Suite 1702	New York	NY	10017
3429224	Joslyn Levy & Associates, LLC		Non Verifiable	Profit		Evaluation of the NYSHHealth Setting the Standard Initiative	\$179,998.00	8/5/2010	\$45,000.00	501 Fifth Avenue, Suite 1702	New York	NY	10017
10-02099	Mathematica Policy Research, Inc	Mathematica	Non Verifiable	Profit	Other-fill in the field below	Controlling Cost and Improving Care for New York State's Medicare and Medicaid Dual Eligibles	\$146,454.00	12/29/2010	\$117,164.00	500 Maryland Avenue, SW, Suite 550	Washington	DC	20024-2512
2705752	Dairylea Cooperative, Inc		Non Verifiable	Profit	Other-fill in the field below	Increasing Health Insurance for New York's Agricultural Sector	\$510,000.00	2/16/2010	\$63,750.00	5001 Brittonfield Parkway	East Syracuse	NY	13057
3034743	Lewin Group, Inc., The		Non Verifiable	Profit	Other-fill in the field below	Bending the Cost Curve in New York	\$399,981.00	6/21/2010	\$99,995.00	3130 Fairview Park Drive, Suite 800	Falls Church	VA	22042
3015457	Better World Advertising, Inc		Non Verifiable	Profit		New York State Diabetes Social Marketing Campaign	\$1,399,941.00	2/24/2010	\$174,994.00	731 Market Street Suite 220	San Francisco	CA	94103
3015457	Better World Advertising, Inc		Non Verifiable	Profit		New York State Diabetes Social Marketing Campaign	\$1,399,941.00	5/24/2010	\$349,986.00	731 Market Street Suite 220	San Francisco	CA	94103
10-01752	Manatt Health Solutions	Manatt, Phelps & Phillips, LLP	Non Verifiable	Profit	Other	Federal Health Reform Impact and Opportunities for New York State	\$75,000.00	11/3/2010	\$15,000.00	7 Times Square	New York	NY	10036
TOTAL							\$5,546,729.00		\$1,397,640.00				

NEW YORK STATE HEALTH FOUNDATION

EIN #30-0127892

December 31, 2010

Form 990-PF

Part IX-A Summary of Direct Charitable Activities

The New York State Diabetes Campaign: Reversing the Epidemic: An initiative to reverse the growing diabetes epidemic in New York State by working to improve clinical care, mobilize communities, and promote policy to create better outcomes for patients with diabetes.

\$219,250

Health Care Cost Conference: Hosted by NYSHealth and attended by more than 300 participants from across New York State, the conference, "Paying for Health Reform: The Imperative of Cost Containment" explored models and strategies that could both improve health care and reduce costs in the wake of Federal health reform.

\$64,741

Evaluation Initiative: A project in which the foundation evaluates its grant making initiatives and develops reports that communicate the findings from the initiatives that NYSHealth has funded. The purpose of these reports is to make it possible for individuals in the health sector to learn from the foundation's work and to be able to apply these findings to improve the New York State health system.

\$74,750

New York State Health Foundation
 EIN 30-0127892
 Form 990-PF: Part II - Balance Sheet
 Schedule of Portfolio Holdings
 December 31, 2010

Type and Name of Security	Balance at December 31, 2010		
	No. of Shares	Cost	Market Value
Equities			
iShares Russell 1000 ETF	386,300	26,370,576	26,986,918
Blackrock Russell 1000 Index Fund	1,045,269	11,177,221	14,951,315
Blackrock ACWI ex-US Index Fund	1,910,626	37,742,640	42,510,717
Blackrock Emerging Markets Index Fund	171,924	5,190,016	6,056,788
Van Eck Global Hard Assets Fund	208,979	8,803,314	11,159,466
Wellington Natural Resources Fund	985,810	10,161,891	11,977,595
Equity Funds Subtotal		99,445,659	113,642,798
Hard Assets			
iShares COMEX Gold ETF	1,229,500	13,454,881	17,090,050
Subtotal Hard Assets		13,454,881	17,090,050
Fixed Income Funds			
iShares 20+ Year Treasury ETF	197,500	17,057,417	18,588,700
Blackrock 7-10 Year Treasury Index Fund	3,691,029	51,378,424	55,288,116
iShares TIPS ETF	336,680	33,483,200	36,199,834
Blackrock TIPS Fund	615,045	10,726,459	11,086,466
Oaktree High Yield Bond Fund	88,355	6,772,064	6,942,428
Subtotal: Fixed Income Funds		119,417,564	128,105,544
Type and Name of Bond			
	Par Value	Cost	Market Value
American Express Credit 5 125% BDS 8-25-2014	1,000,000	1,060,800	1,078,180
Blackrock 3 5% 1-Dec-2014	1,000,000	1,005,118	1,037,020
Cliffs Natural Resources 5 8% 15-Mar-2020	1,000,000	1,049,480	1,053,540
CVS Caremark 5 75% 6/1/2017	750,000	761,970	834,488
Discovery Comm 3 7% 1-JUN-2015	1,000,000	998,985	1,035,340
Dow Chemical 5 7% 5/15/2018	1,000,000	912,480	1,081,730
General Mills 5 65% NTS 10-Sep-2012	1,000,000	1,097,230	1,076,420
Goldman Sachs 3 7% 1-Aug-2015	1,000,000	1,001,194	1,018,910
Home Depot 5 25% 12/16/2013	1,000,000	1,037,780	1,097,550
JP Morgan 6 3% BDS 23-Apr-2019	1,000,000	1,092,690	1,138,260
Kraft Foods 6 5% BDS 09-Feb-2040	1,000,000	990,360	1,120,630
NASDAQ OMX Group 4% Nts 15-Jan-2015	650,000	648,560	661,590
Newmont Mining 5 125% 01/Oct/2019	500,000	497,510	549,610
Omnicom Group 6 15% 15-AUG-2020	1,000,000	1,001,598	978,530
Oracle 5 0% 7/8/19	1,000,000	998,050	1,085,000
Republic Services 5 25% Unsec Snr Nts 15-Nov-2021	1,000,000	1,001,788	1,053,880
Southern Copper Corp 6 4% BDS 15/FEB/2038	1,000,000	1,001,270	1,035,500
TD Ameritrade 4 15% 1-Dec-2014	1,000,000	1,005,901	1,034,090
Time Warner Cable 5 4% 7/2/12	1,000,000	1,044,990	1,061,630
Time Warner Inc 3 15% BDS 15-Jul-2015	240,000	239,714	243,845
Viacom 4 375% Sept 15 2014	1,000,000	996,485	1,064,620
Subtotal: Corporate Bonds	19,140,000	19,443,953	20,340,362
NYC Ser D-1 Build Amer Bds 4 749% 01-Dec-2019	1,000,000	1,000,000	981,090
Ohio State Hwy Cap Impt-Ser M BAB 01-May-2025	1,000,000	1,000,000	994,260
Subtotal: Taxable Municipal Bonds	2,000,000	2,000,000	1,975,350
TOTAL HOLDINGS		253,762,058	281,154,105

New York State Health Foundation
 EIN# 30-0127892
 Form 990-PF
 Part II - Balance Sheet
 Detail of Line 14

Property Description	(A)	(B)	
	Beginning of year	End of year	
	Book Vaule	Book Vaule	FMV
Leasehold Improvements	\$ 129,420	\$ 442,446	\$ 442,446
Leasehold Improvements - Accumulated Depreciation	(21,200)	(54,088)	(54,088)
Furniture Fixtures and Equipment	438,138	694,897	694,897
Furniture Fixtures and Equipment - Accumulated Depreciation	(133,035)	(252,098)	(252,098)
Total of Line 14 Column (A) and (B)	\$ 413,323	\$ 831,157	\$ 831,157

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization NEW YORK STATE HEALTH FOUNDATION	Employer identification number 30-0127892
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. 1385 BROADWAY, 23RD FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10018-6013	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE FOUNDATION

- The books are in the care of ► **1385 BROADWAY, 23RD FLOOR - NEW YORK, NY 10018-6013**
Telephone No. ► **212-664-7656** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2010** or
► ☐ tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 170,304.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 120,304.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 50,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2011)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II	Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)	
Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	NEW YORK STATE HEALTH FOUNDATION	30-0127892
	Number, street, and room or suite no. If a P.O. box, see instructions 1385 BROADWAY, 23RD FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10018-6013	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE FOUNDATION

- The books are in the care of **1385 BROADWAY, 23RD FLOOR - NEW YORK, NY 10018-6013**
Telephone No. **212-664-7656** FAX No.

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **NOVEMBER 15, 2011.**
- 5 For calendar year **2010**, or other tax year beginning , and ending
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension
ADDITIONAL TIME IS REQUIRED TO ACCUMULATE THE NECESSARY INFORMATION NEEDED TO FILE A COMPLETE AND ACCURATE RETURN

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	170,304.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	170,304.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **▶ Diana Macan** Title **▶ CPA** Date **▶ 8/2/11**