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Form **990-PF**

Department of the Treasury

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

— "			enue Service			_		use a copy of this	return to sat	isfy state repo	rting rec				
\sim	For c	alen	dar year 2010, d				<u>7,</u>	2010	, and e	nding	DEC	<u>31, 201</u>	10		
2 9 EE 0.	G C	heck	all that apply:	X	Initial retur	n		Initial return of a f	ormer public	charity		Final ret	turn		
പ്പവ				X	Amended r	eturn	[Address char	nge		Name c	hange			
	Nam	ne of	foundation								A Emp	loyer identificat	tion nu	ımber	
6 円											'	•			
~;⊃			LPL F	INANC	IAL C	HARITA	BLE	FOUNDATI	ON, IN	IC .	2	7-41719	78		
	Num	ber a	nd street (or PO be							Room/suite	1	phone number			
-124	Ì		75 ST	ATE S	TREET	, 24TH	FLC	OR				04-733-	382	4	
STS	City	or to	own, state, and Z			<u>, </u>						mption application			
36.5 NO.9	0.1.9	0, 10	BOSTO		021	0.9						oreign organizati			
ωŽ	H C	heck	type of organiza				emnt nri	vate foundation	.	 	2. F	oreign organizations neck here and attac	meetir	ng the 85% test,	,Ħ
ຕື	<u> </u>	_	ction 4947(a)(1)					cable private found	ation						
4	i Fa		arket value of all			 			Acc	rual		ıvate foundatıon er section 507(b		was terminated	
0			Part II, col (c),		ila oi yeai		her (spe		A00	uai	1	•		•	
	→		art II, cor (c),	2 125	000	(Part I. colu	mn (d) n	nust be on cash	hasis)	-		e foundation is ii er section 507(b		-month termination	
		irt I	Analysis of Re	evenue and	d Expenses			_			Т		<u> </u>	(d) Disbursement	<u>'</u>
			(The total of amor	unts in colun	nns (b), (c), ar	id (d) may not		Revenue and enses per books		nvestment ome	(0	Adjusted net income		for charitable purpo	Ses
		1	Contributions, o		•	• • • • • • • • • • • • • • • • • • • •		325,000.			1	N/A		(cash basis only)	'
					s, etc., rece ris not required t			323,000.	-		 	N/A			 ;
		2	Interest on saving	s and tempo		U ALLECTI SCH D		9.	-	9,			C	TATEMENT	1
		3	cash investments		m 000011110					9 (1		<u> </u>	IAIEMENI	
		4	Dividends and I	interest iro	m securille:	5							-		
			Gross rents					.				- 7.			
		_	Net rental income	· · · —					ļ						
	e		Net gain or (loss) for Gross sales price		assets not on	line 10									
	e	_ b	assets on line 6a								ļ				
	Revenue	7	, -						ļ	0	·			 	
5		8	Net short-term	, ,	П				<u> </u>		 				
Õ		9	Income modific Gross sales less re					· · · · · · · · · · · · · · · · · · ·			-				 ;
		10a		-		·			<u> </u>		ļ				
		l	Less Cost of good	_					 		 		+		
T		1	Gross profit or	(IOSS)			-			••	 				
		• •	Other income				_	225 000	 		-				
		12	Total Add line	s 1 through	ከ 11 ማጠማፎ 1	INIT	4,	325,009	· -	9.	-		-		
SCAM)	13	Compensation of Other employee	officers, e.g.	ECEIVE	₹ D .		0.	•	0 .	· 		-		0.
Ş	2	14	Other employee	e salaries a	ind wages	nes.			ļ						
يد	S	15	Pension plans,	employe	Geuffird 7	014				A STATE OF THE PARTY OF THE PAR			-		
Ź	nse		Legal fees	TP	R BRA	√CH				1	1	EU/ED			
	Expens		Accounting fee	5	ÒGDE	J						EIVED			
			Other profession	inal tees					 	- 	 		250-S		
שבנ	nistrative	17	Interest						<u> </u>	181 v	IDV 2	5 2014	ld!		
	štra	18	Taxes						<u> </u>	- 			S		
}	įį		Depreciation ar	nd depletion	n					10	COL	N ITT	<u>'= </u>		
=	- 73	20	Occupancy								GUI	14, 01			
2014	Υp	21	Travel, confere		meetings				ļ		ļ				
ې پ		22	Printing and pu								.				
	ing	23	Other expenses						 		1				
	Operating	24		-				•		_					_
	ď		expenses. Add		-			0,	· 	0	•				<u>0.</u>
	•	ı	Contributions,	-				200,000	,	·			_	200,0	<u>00.</u>
		26	Total expenses		ursements.			000 000		_					
			Add lines 24 ar					200,000	 	0	+		_	200,0	<u> 00.</u>
		27	Subtract line 26	6 from line	12:		_	448 44=							
		Ι.	Excess of revenue	•			<u></u>	125,009	·				-		
		i i	Net investmen				<u> </u>		1	9	·		-		
	0225		Adjusted net in				L		<u>.L</u>	.	1	N/A			
	02350	7-10	LHA For Pa	perwork R	eduction A	ct Notice, see	the instr	uctions.						Form 990-PF ((2010)

For	n 99	0-PF (2010) LPL FINANCIAL CHARITABL			<u>4171978 Page 2</u>
D.	art	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of	
	<u> </u>	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	0.	800,000.	
ı	2	Savings and temporary cash investments		1,325,009.	1,325,009.
	3	Accounts receivable ►			1
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ►			1
		Less; allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
	•	disqualified persons			
	7	Other notes and loans receivable			
		Less: allowance for doubtful accounts			
Ŋ	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges			
As	_	Investments - U.S. and state government obligations			
	ł	Investments - corporate stock			
		Investments - corporate bonds			
	11	Investments - land, buildings, and equipment, basis			
	''	Less accumulated depreciation	1	-	
	10	Investments - mortgage loans			
		Investments - other			
	13			8	^
	14	Land, buildings, and equipment: basis	• •		**
	4.5				
	15	Other assets (describe			
	16	Total assets (to be completed by all filers)	0.	2,125,009.	2,125,009.
_	17	Accounts payable and accrued expenses	<u> </u>	2/12/0051	2/220/0020
	18	Grants payable		-	
	1	Deferred revenue			
Liabilities	19				
Ē	20	Loans from officers, directors, trustees, and other disqualified persons			
Lia	21	Mortgages and other notes payable			
	22	Other liabilities (describe)			
	١,,	Total liabilities (add lines 17 through 22)	0.	0.	
_	23	Foundations that follow SFAS 117, check here		•	
		•			
S	0.4	and complete lines 24 through 26 and lines 30 and 31. Unrestricted			
Š	24	Temporarily restricted			
ala Sala	26	Permanently restricted			
ğ	20	Foundations that do not follow SFAS 117, check here		 	
Net Assets or Fund Balances		and complete lines 27 through 31.			į
ō	27	Capital stock, trust principal, or current funds	0.	0.	ĺ
ets	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.		
\ss	29	Retained earnings, accumulated income, endowment, or other funds	0.		
et/	30	Total net assets or fund balances	0.	2,125,009.	
Z	"	Total list assets of fully valances		2,123,003.	!
	31	Total liabilities and net assets/fund balances	0.	2,125,009.	;
_					,
LF	arl	Analysis of Changes in Net Assets or Fund I	Dalances		
1	Tota	al net assets or fund balances at beginning of year - Part II, column (a), lin	e 30		
	(mu	st agree with end-of-year figure reported on prior year's return)		1	0.
2	Ente	er amount from Part I, line 27a		2	2,125,009.
3		er increases not included in line 2 (itemize)		3	0.
4		lines 1, 2, and 3		4	2,125,009.
5	Dec	reases not included in line 2 (itemize)		5	0.
6	Tota	al net assets or fund balances at end of year (line 4 minus line 5) - Part II,	column (b), line 30	6	2,125,009.
					Farm 000-DE (2010)

17/00005 707770 7001705560

1a Exempt operating boundations described in section 4940(d)(2) check here	_	990-PF (2010) LPL FINANCIAL CHARITABLE FOUNDA rt VI Excise Tax Based on Investment Income (Section 4940(a				4171 - see ii			Page 4 1 S)
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ □ and enter 1% of Part I, line 27.0. (b). 2 Rax under section 51 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 2 Tax under section 51 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 O. 4 Substitu A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 O. 5 O. 6 Credistrayments: 2 20 To estimate tax payments and 2009 overpayment credited to 2010 6 Exampti foreign organizations: 2 20 To estimate tax payments and 2009 overpayment credited to 2010 6 Exampti foreign organizations: 2 20 To estimate tax payments and 2009 overpayment credited to 2010 6 Exampti foreign organizations: 2 20 To estimate tax payments and 2009 overpayment credited to 2010 6 Exampti foreign organizations. Add lines 6s attrough 66 6 Exiter any payments, Add lines 6s attrough 66 7 Total credits and payments, Add lines 6s attrough 66 6 Exiter any payments, derived foreign organizations of stimated tax. Check here □ if Form 2220 is attached 8 Tax date. If the total of lines 5 and 6 is more than line 7, enter amount overpaid 1 Enter the amount of line 1010 ber Credited to 2011 astimated tax ► 1 During the tax year, 4d the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any policial campagin? 1 During the tax year, 4d the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any policial campagine. 1 During the tax year, 4d the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any policial campagine. 1 During the tax year, 4d the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any policial campagine. 1 During the tax year, 4d the foundation or connection with the activitie	1a	Exempt operating foundations described in section 4940(d)(2), check here and enter	r "N/A" on line 1	i.)					
of Part, Line 27b • All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under action 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter 40-) 3 Add lines 1 and 2 3 .		Date of ruling or determination letter: (attach copy of letter if necess	sary-see instru	ictions)					;
e. All other domestic foundations enter 2% of the 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3	b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here	and enter	1%	1				0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3		of Part I, line 27b							
Add lines I and 2 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 6 Credish-Payments: 2 2010 estimated tax payments and 2009 overpayment credited to 2010 6 Exempt for group organizations - tax withheld at source 6 b 6 credish-Payments and 2009 overpayment credited to 2010 6 Exempt organizations - tax withheld at source 6 b 6 credish-Payments and payments and 2009 overpayment credited to 2010 8 creampt organizations - tax withheld at source 6 b 6 credish-Payments and payments and 2009 overpayment of 6 form 8658) 6 credish-Payments and payments. Add lines 6 at brough 6d 7 Total credits and payments. Add lines 6 at brough 6d 8 credish-Payment organizations - tax withheld at source 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount own and the sounce of the s	C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of	Part I, line 12,	col. (b).					•
Subtula A (income) bax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 6 CledisPyments: 2 010 testimated tax payments and 2009 overpayment credited to 2010 6 Exempt foreign organizations - tax withheld at source 6 Tax paid with application for extension of time to file (Form 8868) 6 Backup withholding erroneously withheld 7 O. 8 Enter any penalty for underpayment of restimated tax. Check here ☐ if Form 2220 is attached 8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 9 O. 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount ower paid 11 Enter the amount of line 10 to be: Credited to 2011 estimated tax 12 Enter the amount of line 10 to be: Credited to 2011 estimated tax 13 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 15 Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 16 If the answer is "Yes" to 1a or 1b, attach a defailed discorption of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 16 Enter the emiburisement (if any) paid by the foundation may not the activities. 17 O. 18 the foundation his form 1120-POL for this year? 18 Enter the reimbursement (if any) paid by the foundation managers. ▶ S O. 2 Enter the reimbursement (if any) paid by the foundation may not the activities. 18 Enter the reimbursement (if any) paid by the foundation may not the activities. 19 Life the foundation have unrelated business gross income of \$1,000 or more during the year? 10 If the statement required by General Instruction T 11 A Life the requirements of section 509(b) (relating to sections \$4941 through 4945) statisted either; 11 By st			rs enter -0-)		2				
5 Tax based on investment income. Subtract line 4 from line 3, if zero or less, enter-0- 6 Credis/Payments: 2 (2010 estimated tax payments and 2009 overpayment credited to 2010 6 Exempt foreign organizations - tax withheld at source 6 Tax pad with application for extension of time to file (form 8668) 6 Enter any penalty for underpayment of estimated tax. Check here	3	Add lines 1 and 2			3				
6 Credits/Payments: a 2010 estimated tax payments and 2009 overpayment credited to 2010 b Exampt foreign organizations - tax withheld at source c Tax paid with application for extension of time to file (Form 8868) 6 d 7 Total credits and payments. Add lines 6a through 6d 8 Entler any penalty for underpayment of estimated tax. Check here			ers enter -0-)						
a 2010 estimated tax payments and 2009 overpayment credited to 2010 b Exempl foreign organizations - tax withhelid at source c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withhelid 7 Total credits and payments. Add lines 6a through 6d 8 Entier any penalty for underpayment of estimated tax. Check here of Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount ower of 10 overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2011 estimated tax					5				<u>0.</u>
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c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld 8 Enter any penalty for underpayment of estimated tax. Check here I form 2220 is attached 8 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here I form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount owed 11 Enter the amount of line 10 to be; Credited to 2011 estimated tax. PREVIDENTIAL STATEMENT PROPERTY VII-A (III) 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 13 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 14 If the answer is "Yes" to 1 a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 15 Did it spenditures for 1120-POI, for tins year? 16 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: 17 On the foundation his form 1120-POI, for tins year? 18 Line the foundation engaged in any activities that have not previously been reported to the IRS? 19 Line the foundation and any changes, not previously reported to the IRS; 19 Line the foundation and any activities that have not previously been reported to the IRS? 2 Line the foundation managers. See See See See See See See See See Se									
d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 6d 8 Enter any peanity for underpayment of estimated tax. Check here if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Tax due. If the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be; Credited to 2011 estimated tax \rightary 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be; Credited to 2011 estimated tax \rightary 12 Darry Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be; Credited to 2011 estimated tax \rightary 12 Darry Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 13 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campain? 13 During the tax year, did the foundation and tempt to influence any national, state, or local legislation or did it participate or intervene in any political campain? 14 Did the spend more than \$100 during the year (either directly) or indirectly) for political purposes (see instructions for definition)? 15 Did it spend more than \$100 during the year (either directly) or indirectly									ı
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8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			6d		_				,
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ▶ Refunded ▶ 11 Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any optinical campagn? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any optinical campagn? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any optinical campagn? 1b If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 1c Did the foundation file Form 1120-POL for this year? 1d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: 11) On the foundation. Ps S O. (2) On foundation managers. ▶ \$ O. 2 Has the foundation on gaaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaxs, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 1f "Yes," attach the statement required by General Instruction T 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 9 by language in the governimation, dissolution, or substantial confraction during the year? 1f "Yes," complete Part II, col (c), and Part XV. 8a Enter the states to w	_	· · ·							<u> </u>
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be; Credited to 2011 estimated tax ▶ Refunded ▶ 11 Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 10 Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 11 If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 1a Did the foundation file Form 1120-PDL for this year? 1b Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year; 1c Did the foundation him \$\infty\$ \$\inf			ea	_					
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax		·			_	ļ			<u> </u>
Part VII-A Statements Regarding Activities		·		Defineded					
any political campaign? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (11) On the foundation. ► \$ 0 . (2) On foundation managers. ► \$ 0 . e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0 . 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4 Did the foundation have increlated business gross income of \$1,000 or more during the year? If "Yes," attach the statement required by General Instruction T Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? The states to which the foundation reports or with which it is registered (see instructions) MA b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the ta				Hetunded		!	-		
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	990-PF (2010) LPL FINANCIAL CHARITABLE FOUNDATION, INC 27-417	<u> 1978</u>	F	Page 5
~	rt VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of	,,		v
40	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		<u>X</u> _
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before	10		X
40	August 17, 2008?	12	х	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	_ A_]	
4.4	The books are in care of ► JIM HOOKS, TREASURER Telephone no. ► 704 - 7:	33-3	824	
14	Located at ► 4828 PARKWAY PLAZA BLVD., CHARLOTTE, NC ZIP+4 ►28			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	<i>32</i> ,1 <i>1</i>		\Box
10	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	_
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
10	securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of	'0		
	the foreign country			
Pa	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required	!		
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			,
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			,
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?			-
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			,
	(5) Transfer any income or assets to a disqualified person (or make any of either available			-
	for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	if the foundation agreed to make a grant to or to employ the official for a period after			. 4
	termination of government service, if terminating within 90 days.)			
t	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here			
(Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			, , <u>, , , , , , , , , , , , , , , , , </u>
	before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5)):			
ā	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? Yes X No			
	neme as a set			de company
	o Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach		1	
	statement - see instructions.) N/A	2b		
	of the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	20		
				:
3:	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			!
01	during the year?			
1	b if "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after			
•	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,		-	
	Form 4720, to determine if the foundation had excess business holdings in 2010.) N/A	3b		
4:	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
	b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	- 'u -		 -
	had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		x
		rm 99 0)-PF	

orm 990-PF (2010) LPL FINANCIAL CHARITABLE	FOUNDATION,	INC	<u> 27-41719</u>	78 Page 6
Part VII-B Statements Regarding Activities for Which F	orm 4/20 May Be F	sequirea (contin	ued)	
5a During the year did the foundation pay or incur any amount to:	4045(0)\9	□ v•	s X No	
 (1) Carry on propaganda, or otherwise attempt to influence legislation (section (2) Influence the outcome of any specific public election (see section 4955); or 			S A NO	
any voter registration drive?	to carry on, directly or maire		s X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?)		s X No	
(4) Provide a grant to an organization other than a charitable, etc., organization				
509(a)(1), (2), or (3), or section 4940(d)(2)?		Ye	s X No	
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or f			
the prevention of cruelty to children or animals?		☐ Ye	s X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	er the exceptions described i	n Regulations		
section 53.4945 or in a current notice regarding disaster assistance (see instruc			N/A	5b
Organizations relying on a current notice regarding disaster assistance check he				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr				
expenditure responsibility for the grant?		「/A	s No	
If "Yes," attach the statement required by Regulations section 53 4945	• •		İ	ļļį
6a Did the foundation, during the year, receive any funds, directly or indirectly, to p	ay premiums on		s X No	
a personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a pi	oreanal hanafit aantract?	T €		6b X
If "Yes" to 6b, file Form 8870.	ersonal Denent Contract?		-	00 A
7a At any time during the tax year, was the foundation a party to a prohibited tax si	helter transaction?	☐ Ye	s X No	
b If "Yes," did the foundation receive any proceeds or have any net income attribu			. –	7b
Port VIII Information About Officers, Directors, Truste		nagers, Highly		
Paid Employees, and Contractors			· · · · · · · · · · · · · · · · · · ·	
1 List all officers, directors, trustees, foundation managers and their		1.00	(4) 0	
(a) Name and address	(b) Title, and average hours per week devoted	(c) Compensation (if not paid,	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other
(a) name and accretion	to position	enter -0-)	compensation	allowances
SEE STATEMENT 4		0.	0.	0.
SEE STATEMENT 4	<u> </u>	0.	<u> </u>	
				L
2 Compensation of five highest-paid employees (other than those inc	(b) Title, and average	enter "NONE."	(d) Contributions to	(e) Expense
(a) Name and address of each employee paid more than \$50,000	` 'hours per week "	(c) Compensation	(d) Contributions to employee benefit plans and deferred	account, other
NONE	devoted to position		compensation	allowances
NONE				
				
· · · · · · · · · · · · · · · · · · ·			1	
		 		
			-	
			<u> </u>	
Total number of other employees paid over \$50,000			<u> </u>	0
			Form	990-PF (2010)

Form 990-PF (2010) LPL FINANCIAL CHARITABLE FOUNDATION, INC	27-417	1978 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Managers, High Paid Employees, and Contractors (continued)	ıy	
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."		
(a) Name and address of each person paid more than \$50,000 (b) Type of sei	rvice	(c) Compensation
NONE		
		
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1 N/A		
2		
3		· · · · · · · · · · · · · · · · · · ·
	-	
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1 <u>N/A</u>		
2		•
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3	•	0 .
	F	orm 990-PF (2010)

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P	art X Minimum Investment Return (All domestic foundations	must complete this p	art Foreign founda	ations, s	ee instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitat	ole, etc., purposes:			
а	Average monthly fair market value of securities			1a	0.
	Average of monthly cash balances		-	1b	2,125,009.
C	Fair market value of all other assets			1c	
d	Total (add lines 1a, b, and c)			1d	2,125,009.
	Reduction claimed for blockage or other factors reported on lines 1a and				
	1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	2,125,009.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amoun	t, see instructions)		4	31,875.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and of	on Part V, line 4		5	2,093,134.
6	Minimum investment return. Enter 5% of line 5 ADJUSTED FOR SH		RIOD	6	7,169.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) a foreign organizations check here ► and do not complete this par		ng foundations and	certain	
1	Minimum investment return from Part X, line 6			1	7,169.
2a	Tax on investment income for 2010 from Part VI, line 5	2a			
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b			
C	Add lines 2a and 2b			2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	7,169.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	7,169.
6	Deduction from distributable amount (see instructions)			6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Pal	rt XIII, line 1		7	7,169.
P	art XII Qualifying Distributions (see instructions)				
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., pu	rposes:	İ		
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26			1a	200,000.
b	Program-related investments - total from Part IX-B			1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charit	able, etc., purposes		2	
3	Amounts set aside for specific charitable projects that satisfy the:				
a	Suitability test (prior IRS approval required)		_	3a	
b	Cash distribution test (attach the required schedule)		_	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8,		<u> </u>	4	200,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net inv	estment/			_
	income. Enter 1% of Part I, line 27b		<u> </u>	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4			6	200,000.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years	when calculating wheth	er the foundation qu	alifies for	the section

Form **990-PF** (2010)

4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

				
	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI,				<u> </u>
line 7				7,169.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:				
		0.		
3 Excess distributions carryover, if any, to 2010:				į
a From 2005				
b From 2006				1
c From 2007 d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from				
Part XII, line 4: > \$ 200,000.		<		
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior				~
years (Election required - see instructions)		0.		į
c Treated as distributions out of corpus				
(Election required - see instructions)	0.		ś	
d Applied to 2010 distributable amount				7,169.
e Remaining amount distributed out of corpus	192,831.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount	0.			0.
must be shown in column (a)) 6 Enter the net total of each column as		, , ,		
indicated below:	400 004			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	192,831.		· · · · · · · · · · · · · · · · · · ·	
b Prior years' undistributed income. Subtract		•		*
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of				
deficiency has been issued, or on which				
the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		
e Undistributed income for 2009. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2011				0.
7 Amounts treated as distributions out of	!			
corpus to satisfy requirements imposed by	_			
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005	Ā			
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011.	100 001			
Subtract lines 7 and 8 from line 6a	192,831.			
10 Analysis of line 9:				
a Excess from 2006 b Excess from 2007				1
c Excess from 2008				
d Excess from 2009				
e Excess from 2010 192,831.				
			t	5 000 DE (0040)

	ANCIAL CHAR				.71978 Page 10
Part XIV Private Operating Fo	oundations (see ins	structions and Part VI	I-A, question 9)	N/A	
1 a If the foundation has received a ruling or	determination letter that	it is a private operating			
foundation, and the ruling is effective for	2010, enter the date of t	he ruling	▶		
b Check box to indicate whether the found	ation is a private operatir	ig foundation described	ın section	4942(j)(3) or 4	942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a				· -	
c Qualifying distributions from Part XII,				-	
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities				Ì	
e Qualifying distributions made directly			· ·		
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the					
alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
\ ''			-		
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return shown in Part X, line 6 for each year					
listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Info	rmation (Comple	te this part only	if the foundatio	n had \$5,000 or m	ore in assets
at any time during t	he year-see the	instructions.)			
1 Information Regarding Foundation	n Managers:				
a List any managers of the foundation wh	-	than 2% of the total cor	tributions received by th	e foundation before the cl	ose of any tax
year (but only if they have contributed in					,
LPL FINANCIAL LLC					
b List any managers of the foundation wh	o own 10% or more of the	ne stock of a corporation	(or an equally large por	tion of the ownership of a	partnership or
other entity) of which the foundation ha			(2) an equally large per	o omnoromp of a	
NONE					
2 Information Regarding Contribut	ion Grant Gift Loan	Scholarship etc. F	Programs:		
Check here ► X if the foundation of				not accent unsolicited rec	wests for funds. If
the foundation makes gifts, grants, etc.					
a The name, address, and telephone num	<u>` </u>				
ם דווכ וומוווכ, מטטוכסס, מווט ופופטווטוופ ווטווו	ing to the herson in Milo	m approauons snoute o	ง ผมนางงงธน.		
b The form in which applications should t	oe submitted and informa	ation and materials they	should include:	· · · · · · · · · · · · · · · · · · ·	·-··
-					
c Any submission deadlines:					
d Any restrictions or limitations on success	e cuch as by assessed	nal arage, shortable field	kinde of institutions of	r other factors:	
d Any restrictions or limitations on award	s, such as by geographic	ai areas, chantable field:	s, kinus oi institutions, 0	other factors:	

3 Grants and Contributions Paid During the Y		Payment	· · · · · · · · · · · · · · · · · · ·	
Recipient	If recipient is an individual,	1	_	
Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
- Poul during the year	Or Substantial Continuator	Toopient		
a Paid during the year OPERATION HOPE, INC		OTHER	DECCE NA CODER A MANG	200,000.
707 WILSHIRE BLVD. #3030		PUBLIC	PROGRAM/OPERATING	200,000.
		CHARITY	SUPPORT	
LOS ANGELES, CA 90017		CHARITI		
			1	
			i	
			1	
				1
Total		<u> </u>	▶ 3a	200,000.
b Approved for future payment				200,000
• Approved for factore payment				
NONE				
NONE				
				Į
Total	<u></u>	_ 	▶ 3b	0.
023611 12-07-10				orm 990-PF (2010)

Unrelated b (a) Business code	(b) Amount	Excluded b (C) Exclusion code	(d)	(e) Related or exemp
Business		sion		,
			Amount	function income
1 1				
1				
		- - 		- -
		14	9.	
				· · · · · · · · · · · · · · · · · · ·
				
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		0.	9.	
			13	
		to the Accomplishment of	to the Accomplishment of Exempt Po	0. 9.

023621 12-07-10

Form **990-PF** (2010)

. 120					<u> </u>
Part XVII	Information Regarding	Transfers To and	Transactions and I	Relationships With	Noncharitable
	Exempt Organizations				

1	Did the o	organization directly or indir	ectly engage in any of	the following	with any other organizatio	n described in secti	on 501(c) of		Yes	No	
	the Code	(other than section 501(c)	(3) organizations) or ir	n section 527	, relating to political organ	ızatıons?					
a	Transfers from the reporting foundation to a noncharitable exempt organization of:										
	(1) Cash										
	(2) Othe) Other assets									
b	Other tra	insactions:								1	
		s of assets to a noncharitat						1b(1)		<u>X</u>	
		chases of assets from a nor		anızatıon				1b(2)		<u>X</u>	
		tal of facilities, equipment, o	or other assets					1b(3) 1b(4)		X	
(4) Reimbursement arrangements											
(5) Loans or loan guarantees (6) Performance of convince or membership or fundamental collectations											
(6) Performance of services or membership or fundraising solicitationsc Sharing of facilities, equipment, mailing lists, other assets, or paid employees										X X	
	-				-	wave chow the fair n	narket value of the goods, ot	1c her ass	ote	Λ_	
ŭ				_	• •		or sharing arrangement, sh				
		(d) the value of the goods,				o III arry transaction	or onering arrangement, on				
(a)∟	ine no	(b) Amount involved			exempt organization	(d) Description	of transfers, transactions, and sh	aring an	angeme	nts	
				N/A							
			· · · · · · · · · · · · · · · · · · ·	···							
	_										
		<u> </u>									
								_			
	 -										
					·····	_					
			" -								
						_					
		· · · · · · · · · · · · · · · · · · ·									
2a	Is the fo	undation directly or indirec	ly affiliated with, or rel	ated to, one	or more tax-exempt organi	zations described					
	ın sectio	on 501(c) of the Code (other	than section 501(c)(3	3)) or in secti	on 527?			Yes	X	No	
b	If "Yes,"	complete the following sch		1		,					
		(a) Name of org	anization		(b) Type of organization		(c) Description of relationsh	ıp			
		N/A									
				-			_		-		
								_			
	Un	der penalties of perjury, I declare	that I have examined this	return, includir	ig accompanying schedules an	d statements, and to th	e best of my knowledge and belie	ef, it is tn	це, согте	ct,	
S	ign and	d complete Declaration of prepa	rer (other than taxpayer or	fiduciary) is ba	sed on all information of which	preparer has any knowl	edge				
	ere 📐	Hy () ()	terl.			26/У ⊾ тг	REASURER				
		Signature of officer or tru	Date		ritle						
	<u> </u>	Print/Type preparer's na	ıme I	Preparer's si	nature	Date	Check X If PTIN		_		
				10	/		self- employed				
	aid	ELIZABETH P. MURPHY 109/25/14									
	eparei										
U	se Only	· ————									
		Firm's address ▶ 65								_	
			ARLOTTE, N	IC 282	11		Phone no. 704 – 3				
							For	m 990)- 	(2010)	

023622 04-26-11

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

Employer identification number

	LPL FINANCIAL CHARITABLE FOUNDATION, INC 27-4171978
Organization type (ch	eck one)·
Filers of:	Section:
Form 990 or 990-EZ	501(c)() (enter number) organization
Filers of: Section: Form 990 or 990-EZ 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable 527 political organization Form 990-PF \$\times\$ 501(c)(3) exempt private foundat 4947(a)(1) nonexempt charitable 501(c)(3) taxable private foundat 501(c)(3) taxable private foundat Check if your organization is covered by the General Rule or a Special Rule \$\times\$ For an organization filing Form 990, 990-EZ, or 990-PF that contributor. Complete Parts I and II. Special Rules For a section 501(c)(3) organization filing Form 990 or 990-509(a)(1) and 170(b)(1)(A)(vi), and received from any one condition of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 100 and 100 and 100 for use exclusively to children or animals. Complete 100 For a section 501(c)(7), (8), or (10) organization filing Form 100 and 100 for use exclusively for religious, charitable, etc. If this box is checked, enter here the total contributions the purpose. Do not complete any of the parts unless the General Rule a Caution. An organization that is not covered by the General Rule a	4947(a)(1) nonexempt charitable trust not treated as a private foundation
	527 political organization
Form 990-PF	X 501(c)(3) exempt private foundation
	4947(a)(1) nonexempt charitable trust treated as a private foundation
	501(c)(3) taxable private foundation
General Rule X For an organ	ization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one Complete Parts I and II.
Special Rules	
509(a)(1) and	s 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections I 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% at on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
aggregate co	n 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, ontributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or on of cruelty to children or animals. Complete Parts I, II, and III.
contributions If this box is purpose. Do	n 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, is for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000 checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively aritable, etc., contributions of \$5,000 or more during the year.
but it must answer "N	ation that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Employer identification number

	•				
LPL	FINANCIAL	CHARITABLE	FOUNDATION,	INC	27-4171978

Part I	Contributors (see instructions)		
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	LPL FINANCIAL LLC 75 STATE STREET, 24TH FLOOR BOSTON , MA 02109	\$ 2,325,000.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)

023452 12-23-10

Name of organization

Employer identification number

of

LPL FINANCIAL CHARITABLE FOUNDATION, INC

27-4171978

	oncash Property (see instructions)		
(a)	11-3	(c)	/-1\
No.	(b)	FMV (or estimate)	(d)
rom Part I	Description of noncash property given	(see instructions)	Date received
	· · · · · · · · · · · · · · · · · · ·	\$	
(a)		(c)	
No.	(b)	FMV (or estimate)	(d)
rom	Description of noncash property given	(see instructions)	Date received
art I		(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
_			
		<u> </u>	
		\$	
(a)		(c)	
No.	(b)	FMV (or estimate)	(d)
from	Description of noncash property given	(see instructions)	Date received
Part I			
İ _			
		\$	
(a)		(2)	
No.	(b)	(c) FMV (or estimate)	(d)
from	Description of noncash property given	(see instructions)	Date received
Part I		(occ mod detache)	
-			
-		\$	
(a)			
No.	(b)	(c) FMV (or estimate)	(d)
from	Description of noncash property given	(see instructions)	Date received
Part I		(555 1158 558 515)	
-		\$	
(a)		(c)	
No.	(b)	FMV (or estimate)	(d)
from	Description of noncash property given	(see instructions)	Date received
Part I		(333 333 333 333 333 333 333 333 333 33	
_			
_ _			
		\$	990, 990-EZ, or 990-PF) (

of Part III

tion			Employer identification number					
NCIAL CHARITABLE FOUR	NDATION, INC		27-4171978					
xclusively religious, charitable, etc., inc nore than \$1,000 for the year. Complete Part III, enter the total of exclusively religio	dividual contributions to sectio columns (a) through (e) and the us, chantable, etc., contributions	following line entry For o	rganizations aggregating					
(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held					
	(e) Transfer of gift	<u> </u>						
Transferee's name, address, an	d ZIP + 4	Relationship of tra	ansferor to transferee					
(b) Purpose of gift	(c) Use of gift	(d) Des	cription of how gift is held					
(e) Transfer of gift								
Transferee's name, address, ar	nd ZIP + 4	Relationship of tra	ansferor to transferee					
(b) Purpose of gift (c) Use		f gift (d) Description of how gift						
	(e) Transfer of gif							
Transferee's name, address, a	nd ZIP + 4	Relationship of tr	ansferor to transferee					
(b) Purpose of gift	(c) Use of gift	(d) Des	cription of how gift is held					
(2). apoco o g	(6, 666 6. g.m.							
(e) Transfer of gift								
Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee						
	NCTAL CHARITABLE FOUR Exclusively religious, charitable, etc., innore than \$1,000 for the year. Complete art III, enter the total of exclusively religio 11,000 or less for the year. (Enter this info (b) Purpose of gift Transferee's name, address, and (b) Purpose of gift Transferee's name, address, and (b) Purpose of gift (b) Purpose of gift Transferee's name, address, and (b) Purpose of gift	NCIAL CHARITABLE FOUNDATION, INC Exclusively religious, charitable, etc., individual contributions to section rore than \$1,000 for the year. Complete columns (a) through (e) and the rart III, enter the total of exclusively religious, charitable, etc., contributions 1,000 or less for the year. (Enter this information once. See instructions.) (b) Purpose of gift (c) Use of gift (e) Transfer of gift Transferee's name, address, and ZIP + 4 (b) Purpose of gift (c) Use of gift (e) Transfer of gift Transferee's name, address, and ZIP + 4 (b) Purpose of gift (c) Use of gift (e) Transfer of gift Transferee's name, address, and ZIP + 4 (b) Purpose of gift (c) Use of gift (c) Use of gift (d) Use of gift (e) Transfer of gift (e) Transfer of gift (e) Transfer of gift (e) Use of gift	NCIAL CHARITABLE FOUNDATION, INC Ixclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) core than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For rat III, enter the total of exclusively religious, charitable, etc., contributions of 1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ (b) Purpose of gift (c) Use of gift (d) Desc. (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transfer of gift (c) Use of gift (d) Desc. (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transfer of gift (e) Transfer of gift (f) Desc.					

FORM 990-PF	INTEREST	ON SAVINGS	AND	TEMPORARY	CASH	INVESTMENTS	STATEMENT	1
SOURCE							AMOUNT	
LPL MONEY MA	RKET ACCOU	UNT						9.
TOTAL TO FOR	M 990-PF,	PART I, LI	NE 3	, COLUMN A				9.
			F.	OOTNOTES			STATEMENT	2

THIS RETURN IS BEING AMENDED TO CORRECTLY CLASSIFY THE FOUNDATION AS A PRIVATE NON-OPERATING FOUNDATION. THE ORIGINALLY FILED RETURN WAS PREPARED BASED ON AN OPERATING FOUNDATION STATUS. SEVERAL QUESTIONS HAVE BEEN CHANGED TO REFLECT THIS CLASSIFICATION, INCLUDING PART II, VII-A, X, XI XIII, XV, XVI-A & XVI-B.

	SUBSTANTIAL CONTRIBUTORS ART VII-A, LINE 10		STATE	EMENT	3
NAME OF CONTRIBUTOR	ADDRESS				
LPL FINANCIAL, LLC	75 STATE STREET, 24TH BOSTON, MA 02109	FLO	OOR		
	LIST OF OFFICERS, DIRECTORS AND FOUNDATION MANAGERS		STATI	EMENT	4
NAME AND ADDRESS	TITLE AND COMPENAVRG HRS/WK SATION		EMPLOYEE BEN PLAN CONTRIB		
KANDIS BATES	PRESIDENT & DIRECTOR 1.00	0.	0.		0.
JOY GOBLE	TREASURER & DIRECTOR 1.00	0.	0.		0.
PEGGY HO	CLERK & DIRECTOR 1.00	0.	0.		0.
HEATHER CARTER	ASSISTANT CLERK & DIRE	СТОI 0.	R 0.		0.
ESTHER STEARNS	DIRECTOR 1.00	0.	0.		0.
ROBERT MOORE	DIRECTOR 1.00	0.	0.		0.
DENISE ABOOD	DIRECTOR 1.00	0.	0.		0.
LPL FINANCIAL LLC	SOLE MEMBER 0.00	0.	0.		0.
TOTALS INCLUDED ON 990-PF, PA	GE 6, PART VIII	0.	0.		0.