Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation THE DON & JEAN WAGNER CHARITABLE FOUNDATION	**A Employer identification number** 26-6125236
Number and street (or P O box number if mail is not delivered to street address) 1050 W 54TH STREET — Room/suite	**B** Telephone number (see page 10 of the instructions) (816) 994-8600
City or town, state, and ZIP code KANSAS CITY, MO 64112	**C** If exemption application is pending, check here ▶ ☐ **D 1.** Foreign organizations, check here ▶ ☐ **2.** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 1,914,838. J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*	**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0.			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	165.	165.		ATCH 1
	4	Dividends and interest from securities	18,477.	18,477.		ATCH 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	363,675.			
	b	Gross sales price for all assets on line 6a 1,892,375.				
	7	Capital gain net income (from Part IV, line 2)		363,675.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	382,317.	382,317.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 3	145.			
	24	**Total operating and administrative expenses.** Add lines 13 through 23	145.			
	25	Contributions, gifts, grants paid	59,600.			59,600.
	26	**Total expenses and disbursements.** Add lines 24 and 25	59,745.			59,600.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	322,572.			
	b	**Net investment income** (if negative, enter -0-)		382,317.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,268,738.	1,183,939.	1,183,939.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 4	149,284.	555,905.	726,894.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ ATCH 5)	3,255.	4,005.	4,005.
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,421,277.	1,743,849.	1,914,838.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)		0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,421,277.	1,743,849.	
	30 **Total net assets or fund balances** (see page 17 of the instructions)	1,421,277.	1,743,849.	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,421,277.	1,743,849.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,421,277.
2 Enter amount from Part I, line 27a	2	322,572.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	1,743,849.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,743,849.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7	2	363,675.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	116,104.	1,276,246.	0.090973
2008	30,834.	2,039,778.	0.015116
2007	0.	2,922,968.	0.000000
2006			
2005			

2	**Total** of line 1, column (d)	2	0.106089
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.035363
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	1,654,663.
5	Multiply line 4 by line 3	5	58,514.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,823.
7	Add lines 5 and 6	7	62,337.
8	Enter qualifying distributions from Part XII, line 4	8	59,600.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	7,646.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	7,646.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	7,646.
6	Credits/Payments:		
a	2010 estimated tax payments and 2009 overpayment credited to 2010 — 6a: 674.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 11,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	11,674.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	4,028.
11	Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ 4,028. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ MO,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BRANDI HENDRIX Telephone no ▶ 816-305-7872

Located at ▶ PO BOX 907 LIBERTY, MO ZIP + 4 ▶ 64069

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)*	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** — No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** — No: X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	534,518.
b	Average of monthly cash balances	1b	1,145,343.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	1,679,861.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,679,861.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	25,198.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,654,663.
6	**Minimum investment return.** Enter 5% of line 5	6	82,733.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	82,733.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	7,646.		
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	7,646.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	75,087.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	75,087.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	75,087.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	59,600.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	59,600.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	59,600.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				75,087.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			44,848.	
b Total for prior years 20 08 ,20 07 ,20 06				
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4. ▶ $ 59,600.				
a Applied to 2009, but not more than line 2a			44,848.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2010 distributable amount				14,752.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				60,335.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010 0.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

ATTACHMENT 7

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ATTACHMENT 8				
Total ▶ 3a				59,600.
b Approved for future payment				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	165.	
4 Dividends and interest from securities			14	18,477.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	363,675.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				382,317.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 382,317.

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
0	NOT APPLICABLE

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

X [signature] | X 11/9/2011 | X Trustee

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JoAn Ketchum Harden	JoAn Ketchum Harden	11/9/2011		P00639309

Firm's name ▶ CBIZ MHM, LLC — Firm's EIN ▶ 34-1874260

Firm's address ▶ 11440 TOMAHAWK CREEK PARKWAY LEAWOOD, KS 66211 — Phone no 913.234.1000

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SALES OF SECURITIES - TD AMERITRADE				P	VAR	VAR
		PROPERTY TYPE: SECURITIES						
174,007.		131,137.					42,870.	
		SALES OF SECURITIES - TD AMERITRADE				P	VAR	VAR
		PROPERTY TYPE: SECURITIES						
1,718,368.		1,397,563.					320,805.	
TOTAL GAIN(LOSS)							363,675.	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
AMERITRADE - INTEREST		165.	165.
	TOTAL	165.	165.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
AMERITRADE - DIVIDENDS	18,477.	18,477.
TOTAL	18,477.	18,477.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
MISCELLANEOUS EXPENSES		145.
	TOTALS	145.

ATTACHMENT 4

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
PUBLICLY TRADED SECURITIES	555,905.	726,894.
TOTALS	555,905.	726,894.

ATTACHMENT 5

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
DUE FROM DON WAGNER	4,005.	4,005.
TOTALS	4,005.	4,005.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JEAN D. WAGNER 1050 W 54TH ST KANSAS CITY, MO 64112	TRUSTEE 5.00	0.	0.	0.
WILLIAM D. WAGNER 1050 W 54TH ST KANSAS CITY, MO 64112	TRUSTEE 5.00	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

JEAN D WAGNER TRUST UA DTD 5/27/92
WILLIAM D WAGNER

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 8

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
TEACH FOR AMERICA KANSAS CITY, MO 64111	NONE 501 (C) (3)	CHARITABLE DONATION	5,000.
BOYS & GIRLS CLUBS OF GREATER KANSAS CITY KANSAS CITY, MO 64131	NONE 501 (C) (3)	CHARITABLE DONATION	2,500.
THE PLAZA ACADEMY KANSAS CITY, MO 64171	NONE 501 (C) (3)	CHARITABLE DONATION	1,000.
MFCAA INDEPENDENCE, MO 64055	NONE 501 (C) (3)	CHARITABLE DONATION	250.
TURNING POINT SHAWNEE MISSION, KS 66206	NONE 501 (C) (3)	CHARITABLE DONATION	1,000.
KAUFFMAN CENTER FR PERFORMING ARTS KANSAS CITY, MO 64106	NONE 501 (C) (3)	CHARITABLE DONATION	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 8 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GILLIS CENTER KANSAS CITY, MO 64114	NONE 501 (C) (3)	CHARITABLE DONATION	5,000.
FAMILY HEALTH CARE KANSAS CITY, KS 66103	NONE 501 (C) (3)	CHARITABLE DONATION	2,450.
FOLLY THEATER KANSAS CITY, MO 64196	NONE 501 (C) (3)	CHARITABLE DONATION	2,500.
HARRY S. TRUMAN LIBRARY INSTITUTE INDEPENDENCE, MO 64050	NONE 501 (C) (3)	CHARITABLE DONATION	9,300.
CHILDREN'S TLC KANSAS CITY, MO 64111	NONE 501 (C) (3)	CHARITABLE DONATION	600.
FRIENDS OF THE ZOO KANSAS CITY, MO 64132	NONE 501 (C) (3)	CHARITABLE DONATION	25,000.
		TOTAL CONTRIBUTIONS PAID	59,600.

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2010

Name of estate or trust: THE DON & JEAN WAGNER CHARITABLE FOUNDATION

Employer identification number: 26-6125236

Note: *Form 5227 filers need to complete* ***only*** *Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	320,805.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	320,805.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a						

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	42,870.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	42,870.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II **Caution:** *Read the instructions* ***before*** *completing this part.*		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13			320,805.
14 Net long-term gain or (loss):				
a Total for year	14a			42,870.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c 28% rate gain	14c			
15 Total net gain or (loss). Combine lines 13 and 14a ▶	15			363,675.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *on page 7 of the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if:*
- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17		
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18				
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19				
20	Add lines 18 and 19	20				
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21				
22	Subtract line 21 from line 20. If zero or less, enter -0-			22		
23	Subtract line 22 from line 17 If zero or less, enter -0-			23		
24	Enter the **smaller** of the amount on line 17 or $2,300			24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box ☐ **No.** Enter the amount from line 23			25		
26	Subtract line 25 from line 24			26		
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30; go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28		
29	Subtract line 28 from line 27			29		
30	Multiply line 29 by 15% (15)				30	
31	Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)				31	
32	Add lines 30 and 31				32	
33	Figure the tax on the amount on line 17 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)				33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)				34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust: THE DON & JEAN WAGNER CHARITABLE FOUNDATION

Employer identification number: 26-6125236

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a SALES OF SECURITIES - TD AMERITRADE	VAR	VAR	1,718,368.	1,397,563.	320,805.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . 320,805.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

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Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	SALES OF SECURITIES - TD AMERITRADE	VAR	VAR	174,007.	131,137.	42,870.

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	42,870.

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain($)	Adj LT gain($)	
ABBOTT LABORATORIES (ABT)	**Assign Call**	**500.**	**4/17/2009**	**21,892.76**	**10/12/2010**	**24,989 57**		**3,096.81**	1
ABBOTT LABORATORIES (ABT)	Assign Call	500	4/17/2009		10/12/2010	597 23		597 23	
ABT Aug 21 2010 50 0 Call	Expire Short	500	5/26/2010	0 00	8/23/2010	592 23	592 23		
ABT Aug 21 2010 57 5 Call	Buy to Close	500	2/10/2010	57 75	5/25/2010	697 24	639 49		
ABT Aug 21 2010 49 0 Put	Buy to Close	500	5/17/2010	1,907 75	5/20/2010	1,497 22	(410 53)		
ABT Feb 19 2011 55 0 Call	Buy to Close	500	7/8/2010	22 75	12/31/2010	387 24	364 49		
ABT Feb 19 2011 42 5 Put	Buy to Close	500	6/21/2010	292 75	9/29/2010	917 23	624 48		
ABT Feb 20 2010 55 0 Call	Expire Short	500	11/16/2009	0 00	2/22/2010	792 22	792 22		
ABT May 21 2011 57 5 Call	Buy to Close	500	9/29/2010	87 75	11/18/2010	492 24	404 49		
ABT May 22 2010 46 0 Put	Buy to Close	500	10/1/2009	232 75	1/13/2010	1,367 21	1,134 46		
ABT May 22 2010 50 0 Put	Buy to Close	500	3/12/2010	967 75	5/17/2010	282 24	(685 51)		
ABT Nov 20 2010 55 0 Call	Expire Short	500	5/28/2010	0 00	11/22/2010	352 24	352 24		
ABT Nov 20 2010 52 5 Call	Expire Short	500	6/10/2010	0 00	11/22/2010	447 24	447 24		
ABT Nov 20 2010 57 5 Call	Buy to Close	500	5/6/2010	72 75	6/30/2010	402 24	329 49		
ABT Nov 20 2010 60 0 Call	Buy to Close	500	3/23/2010	67 75	6/2/2010	442 24	374 49		
ABT Nov 20 2010 47 0 Put	Expire Short	500	5/20/2010	0 00	11/22/2010	1,997 21	1,997 21		
ADP Aug 21 2010 43 0 Call	Expire Short	500	5/28/2010	0 00	8/23/2010	342 24	342 24		
ADP Aug 21 2010 42 0 Put	Buy to Close	500	3/19/2010	1,647 75	5/21/2010	617 24	(1,030 51)		
ADP Jan 22 2011 42 5 Put	Buy to Close	500	4/20/2010	307 75	11/8/2010	1,117 23	809 48		
ADP Jan 22 2011 42 5 Call	Buy to Close	500	9/1/2010	1,662 75	11/22/2010	342 24	(1,320 51)		
ADP Jan 22 2011 46 0 Call	Buy to Close	500	7/12/2010	607 75	12/6/2010	292 24	(315 51)		
ADP May 21 2011 44 0 Call	Buy to Close	500	11/22/2010	1,702 75	12/31/2010	1,527 22	(175 53)		
ADP May 22 2010 38 0 Put	Buy to Close	400	10/22/2009	47 20	3/19/2010	832 77	785 57		
ADP May 22 2010 45 0 Call	Expire Short	500	3/16/2010	0 00	5/24/2010	467 24	467 24		
ADP Nov 20 2010 42 0 Call	Buy to Close	500	8/27/2010	1,692 75	11/10/2010	242 24	(1,450 51)		
ADP Nov 20 2010 42 0 Put	Expire Short	1,000	3/22/2010	0 00	11/22/2010	1,797 22	1,797 22		
ADP Nov 20 2010 40 0 Put	Expire Short	500	5/21/2010	0 00	11/22/2010	1,537 22	1,537 22		
ADP Nov 20 2010 44 0 Put	Expire Short	500	10/28/2010	0 00	11/22/2010	297 24	297 24		
ADP Nov 20 2010 45 0 Put	Expire Short	500	4/5/2010	0 00	11/22/2010	1,592 22	1,592 22		
ADP Nov 20 2010 45 0 Call	Buy to Close	500	6/3/2010	32 75	8/31/2010	392 24	359 49		
ADP Nov 20 2010 47 0 Call	Buy to Close	500	3/22/2010	32 75	9/29/2010	694 74	661 99		
ADP Nov 20 2010 47 0 Call	Expire Short	500	3/22/2010	0 00	11/22/2010	694 74	694 74		
ANADARKO PETE CORP (APC)	**Assign Call**	**500.**	**12/4/2009**	**28,117 75**	**1/15/2010**	**27,489 65**	**(628.10)**		1
ANADARKO PETE CORP (APC)	Assign Call	500	12/4/2009		1/15/2010	5,842 09	5,842 09		
AZW Feb 20 2010 55 0 Put	Buy to Close	500	9/23/2009	107 75	1/25/2010	1,592 20	1,484 45		
AZW Jan 16 2010 60 0 Put	Expire Short	500	9/30/2009	0 00	1/19/2010	2,222 19	2,222 19		
AZW May 22 2010 50 0 Put	Buy to Close	500	11/19/2009	432 75	1/25/2010	1,492 21	1,059 46		
BOEING CO (BA)	**Assign Call**	**500.**	**11/2/2009**	**32,622.47**	**1/15/2010**	**27,489.65**	**(5,132.82)**		1
BOEING CO (BA)	Assign Call	500	11/2/2009		1/15/2010	617 23	617 23		
BOEING CO (BA)	**Assign Call**	**500.**	**11/2/2009**	**32,510.25**	**5/4/2010**	**24,989.57**	**(7,520.68)**		1
BOEING CO (BA)	Assign Call	500	11/2/2009		5/4/2010	3,542 15	3,542 15		
BA May 22 2010 48 0 Put	Expire Short	500	9/23/2009	0 00	5/24/2010	2,142 19	2,142 19		
BHI Apr 16 2011 42 0 Put	Buy to Close	500	10/7/2010	517 75	12/6/2010	2,097 21	1,579 46		
BHI Apr 16 2011 41 0 Put	Sell to Close	500	11/4/2010	737 75	11/16/2010	1,042 23	304 48		
BHI Apr 16 2011 45 0 Put	Buy to Close	500	10/26/2010	1,307 75	11/8/2010	1,942 21	634 46		
BHI Apr 16 2011 45 0 Put	Buy to Close	500	11/10/2010	1,242 75	11/26/2010	1,492 22	249 47		
BHI Apr 16 2011 44 0 Call	Buy to Close	500	10/26/2010	5,482 75	12/8/2010	2,972 20	(2,510 55)		
BHI Apr 16 2011 44 0 Call	Buy to Close	500	10/26/2010	6,267 75	12/13/2010	2,972 19	(3,295 56)		
BHI Apr 16 2011 50 0 Put	Buy to Close	500	12/10/2010	857 75	12/23/2010	1,472 22	614 47		
BHI Jan 22 2011 30 0 Put	Buy to Close	1,000	8/11/2010	520 50	9/29/2010	1,059 48	538 98		
BHI Jan 22 2011 40 0 Put	Buy to Close	500	10/4/2010	302 75	11/1/2010	1,207 22	904 47		
BHI Jan 22 2011 43 0 Put	Buy to Close	500	10/15/2010	362 75	11/4/2010	1,232 22	869 47		

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain($)	Adj LT gain($)	
BHI Jan 22 2011 42 0 Call	Buy to Close	1,000	10/4/2010	6,010 50	10/26/2010	3,999 43	(2,011 07)		
BHI Oct 16 2010 40 0 Call	Buy to Close	1,000	8/16/2010	3,410 50	10/4/2010	2,589 45	(821 05)		
BNI Jan 16 2010 70 0 Put	Expire Short	500	10/26/2009	0 00	1/19/2010	642 23	642 23		
BNI Jan 16 2010 80 0 Put	Expire Short	500	10/19/2009	0 00	1/19/2010	1,242 21	1,242 21		
BNN Apr 17 2010 70 0 Put	Expire Short	500	10/1/2009	0 00	2/19/2010	1,942 19	1,942 19		
CAMERON INTERNATIONAL CORP (CAM)	**Assign Call**	**500**	**11/20/2009**	**19,167.78**	**2/19/2010**	**19,989.74**	**821 96**		1
CAMERON INTERNATIONAL CORP (CAM)	Assign Call	500	11/20/2009		2/19/2010	1,317 21	1,317 21		
CAMERON INTERNATIONAL CORP (CAM)	**Assign Call**	**500.**	**1/15/2010**	**24,617.75**	**11/19/2010**	**19,989.66**	**(4,628 09)**		1
CAMERON INTERNATIONAL CORP (CAM)	Assign Call	500	1/15/2010		11/19/2010	1,017 23	1,017 23		
CAMERON INTERNATIONAL CORP (CAM)	**Assign Call**	**500**	**2/19/2010**	**21,024 95**	**11/19/2010**	**21,239 64**	**214 69**		1
CAMERON INTERNATIONAL CORP (CAM)	Assign Call	500	2/19/2010		11/19/2010	567 24	567 24		
CAM Aug 21 2010 42 5 Call	Expire Short	500	2/8/2010	0 00	8/23/2010	1,192 23	1,192 23		
CAM Jul 17 2010 40 0 Call	Buy to Close	500	5/24/2010	32 75	6/30/2010	617 23	584 48		
CAM May 22 2010 40 0 Call	Expire Short	500	12/7/2009	0 00	5/24/2010	1,692 20	1,692 20		
CAM Nov 20 2010 40 0 Call	Buy to Close	100	5/19/2010	335 55	6/21/2010	418 44	82 89		
CAM Nov 20 2010 40 0 Call	Buy to Close	400	5/19/2010	1,287 20	6/22/2010	1,673 77	386 57		
CAT Aug 21 2010 50 0 Put	Buy to Close	500	12/30/2009	972 75	1/11/2010	1,742 20	769 45		
CAT Aug 21 2010 50 0 Put	Buy to Close	500	3/15/2010	732 75	3/23/2010	1,082 23	349 48		
CAT Aug 21 2010 60 0 Put	Buy to Close	500	4/6/2010	3,477 75	5/20/2010	1,592 22	(1,885 53)		
CAT Aug 21 2010 65 0 Put	Buy to Close	500	5/4/2010	3,167 75	5/6/2010	2,342 21	(825 54)		
CAT Aug 21 2010 52 5 Put	Expire Short	500	3/26/2010	0 00	8/23/2010	992 23	992 23		
CAT Aug 21 2010 70 0 Call	Expire Short	500	1/29/2010	0 00	8/23/2010	392 24	392 24		
CAT Aug 21 2010 45 0 Put	Buy to Close	500	2/26/2010	582 75	3/12/2010	842 23	259 48		
CAT Aug 21 2010 72 5 Put	Buy to Close	500	8/10/2010	1,867 75	8/20/2010	1,142 23	(725 52)		
CAT Dec 18 2010 80 0 Put	Expire Short	500	11/15/2010	0 00	12/20/2010	1,067 23	1,067 23		
CAT Feb 19 2011 50 0 Put	Buy to Close	1,000	7/12/2010	1,550 50	8/9/2010	3,239 44	1,688 94		
CAT Feb 19 2011 85 0 Call	Buy to Close	500	7/7/2010	4,215 25	12/13/2010	567 24	(3,648 01)		
CAT Feb 19 2011 85 0 Call	Buy to Close	500	7/8/2010	4,215 25	12/13/2010	612 23	(3,603 02)		
CAT Feb 19 2011 85 0 Call	Short WS Adj	500	11/18/2010	(3,648 01)	12/13/2010		3,648 01		
CAT Feb 19 2011 85 0 Call	Buy to Close	500	11/18/2010	8,080 76	12/20/2010	1,962 21	(6,118 55)		
CAT Feb 20 2010 50 0 Put	Buy to Close	500	11/18/2009	122 75	1/19/2010	817 22	694 47		
CAT Jan 22 2011 80 0 Call	Buy to Close	500	7/6/2010	4,982 75	12/9/2010	797 23	(4,185 52)		
CAT Jan 22 2011 90 0 Call	Buy to Close	500	5/10/2010	307 75	6/30/2010	902 23	594 48		
CAT May 21 2011 65 0 Put	Buy to Close	500	10/4/2010	557 75	12/8/2010	2,117 21	1,559 46		
CAT May 21 2011 90 0 Call	Buy to Close	1,000	9/23/2010	8,390 50	12/28/2010	3,139 44	(5,251 06)		
CAT May 21 2011 90 0 Call	Short WS Adj	1,000	12/13/2010	(5,251 06)	12/28/2010		5,251 06		
CAT May 22 2010 50 0 Put	Buy to Close	1,000	12/2/2009	420 50	3/23/2010	2,989 42	2,568 92		
CAT May 22 2010 55 0 Put	Buy to Close	500	12/4/2009	407 75	3/25/2010	2,642 18	2,234 43		
CAT Nov 20 2010 60 0 Put	Expire Short	500	5/6/2010	0 00	11/22/2010	3,047 19	3,047 19		
CAT Nov 20 2010 65 0 Put	Buy to Close	500	4/27/2010	292 75	9/29/2010	2,092 21	1,799 46		
CAT Nov 20 2010 57 5 Put	Expire Short	500	3/30/2010	0 00	11/22/2010	2,242 22	2,242 22		
CAT Nov 20 2010 55 0 Put	Expire Short	500	5/20/2010	0 00	11/22/2010	3,317 19	3,317 19		
CAT Nov 20 2010 62 5 Put	Buy to Close	500	4/16/2010	2,107 75	4/29/2010	2,492 20	384 45		
CAT Nov 20 2010 80 0 Call	Buy to Close	500	5/27/2010	1,597 75	11/18/2010	522 24	(1,075 51)		
CAT Nov 20 2010 85 0 Call	Expire Short	500	4/22/2010	0 00	11/22/2010	517 24	517 24		
CAT Nov 20 2010 80 0 Put	Expire Short	500	9/29/2010	0 00	11/22/2010	2,092 21	2,092 21		
CAT Nov 20 2010 75 0 Call	Buy to Close	1,000	6/2/2010	4,710 50	9/23/2010	1,489 47	(3,221 03)		
CAT Nov 20 2010 67 5 Put	Buy to Close	500	8/20/2010	267 75	10/12/2010	2,212 21	1,944 46		
CARNIVAL CORP (CCL)	**Assign Call**	**500.**	**7/16/2010**	**16,667.77**	**10/15/2010**	**17,489.70**	**821.93**		1
CARNIVAL CORP (CCL)	Assign Call	500	7/16/2010		10/15/2010	1,117 23	1,117 23		
CCL Apr 16 2011 45 0 Call	Buy to Close	500	9/29/2010	1,822 75	12/31/2010	692 23	(1,130 52)		
CCL Apr 16 2011 41 0 Put	Buy to Close	500	10/12/2010	1,332 75	11/4/2010	2,342 21	1,009 46		

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain($)	Adj LT gain($)	
CCL Aug 21 2010 33 0 Call	Buy to Close	500	7/9/2010	1,267 75	8/3/2010	492 24	(775 51)		
CCL Jan 16 2010 20 0 Put	Expire Short	1,000	5/19/2009	0 00	1/19/2010	1,949 44	1,949 44		
CCL Jan 22 2011 40 0 Call	Buy to Close	500	8/9/2010	2,357 75	12/10/2010	767 23	(1,590 52)		
CCL Jan 22 2011 33 0 Put	Buy to Close	500	9/23/2010	132 75	11/4/2010	767 23	634 48		
CCL Jul 17 2010 28 0 Put	Buy to Close	500	12/16/2009	607 75	1/25/2010	842 22	234 47		
CCL Jul 17 2010 28 0 Put	Buy to Close	500	2/4/2010	207 75	3/8/2010	542 24	334 49		
CCL Jul 17 2010 38 0 Put	Sell to Close	500	4/30/2010	502 75	4/30/2010	567 24	64 49		
CCL Jul 17 2010 38 0 Put	Buy to Close	500	4/6/2010	482 75	4/29/2010	892 23	409 48		
CCL Jul 17 2010 40 0 Call	Buy to Close	500	1/8/2010	2,217 75	4/27/2010	397 23	(1,820 52)		
CCL Jul 17 2010 40 0 Call	Expire Short	500	6/10/2010	0 00	7/19/2010	242 24	242 24		
CCL Oct 16 2010 42 0 Call	Buy to Close	500	4/27/2010	82 75	6/30/2010	2,082 21	1,999 46		
CDT Feb 20 2010 50 0 Call	Expire Short	1,000	7/23/2009	0 00	2/22/2010	1,769 45	1,769 45		
CDT Feb 20 2010 50 0 Put	Buy to Close	500	12/7/2009	3,857 75	2/5/2010	1 692 20	(2,165 55)		
CDT Feb 20 2010 48 0 Put	Buy to Close	500	11/11/2009	2,882 75	2/5/2010	1,992 19	(890 56)		
CDT May 22 2010 41 0 Call	Buy to Close	500	12/8/2009	5,677 75	1/8/2010	4,517 13	(1,160 62)		
CDT May 22 2010 41 0 Call	Buy to Close	1 000	12/8/2009	10,900 50	3/22/2010	9,034 27	(1,866 23)		
CDT May 22 2010 43 0 Call	Buy to Close	500	10/23/2009	4,882 75	1/8/2010	2,492 18	(2,390 57)		
CDT May 22 2010 50 0 Put	Buy to Close	500	11/18/2009	4,107 75	2/10/2010	2,942 17	(1,165 58)		
COLGATE PALMOLIVE CO (CL)	**Assign Call**	**500**	**5/21/2010**	**39,267 84**	**7/16/2010**	**39,989.32**	**721.48**		1
COLGATE PALMOLIVE CO (CL)	Assign Call	500	5/21/2010		7/16/2010	767 23	767 23		
CLOROX CO (CLX)	**Assign Call**	**500**	**10/16/2009**	**28,792.79**	**2/19/2010**	**29,989 61**	**1,196 82**		1
CLOROX CO (CLX)	Assign Call	500	10/16/2009		2/19/2010	1,117 23	1,117 23		
CLX Apr 17 2010 65 0 Call	Buy to Close	500	10/22/2009	105 25	4/7/2010	392 23	286 98		
CLX Apr 17 2010 65 0 Call	Buy to Close	500	1/19/2010	105 25	4/7/2010	442 24	336 99		
CLX Apr 17 2010 55 0 Put	Buy to Close	1,000	9/3/2009	60 50	3/19/2010	3,089 42	3,028 92		
CLX Dec 18 2010 65 0 Call	Expire Short	500	11/15/2010	0 00	12/20/2010	367 24	367 24		
CLX Jan 16 2010 60 0 Call	Buy to Close	500	10/19/2009	977 75	1/14/2010	742 23	(235 52)		
CLX Jan 16 2010 65 0 Call	Expire Short	500	9/30/2009	0 00	1/19/2010	317 24	317 24		
CLX Jan 16 2010 60 0 Put	Expire Short	500	7/23/2009	0 00	1/19/2010	1,992 19	1,992 19		
CLX Jul 17 2010 65 0 Call	Buy to Close	500	1/29/2010	207 75	6/25/2010	494 74	286 99		
CLX Jul 17 2010 65 0 Call	Expire Short	500	1/29/2010	0 00	7/19/2010	494 74	494 74		
CLX Jul 17 2010 60 0 Put	Buy to Close	500	11/24/2009	287 75	4/27/2010	2,042 19	1,754 44		
CLX Nov 20 2010 65 0 Call	Expire Short	500	10/14/2010	0 00	11/22/2010	1,962 21	1,962 21		
CLX Oct 16 2010 65 0 Call	Buy to Close	500	3/8/2010	1,947 75	10/14/2010	817 23	(1,130 52)		
CLX Oct 16 2010 65 0 Put	Expire Short	500	4/22/2010	0 00	10/18/2010	1,942 21	1,942 21		
CL Aug 21 2010 85 0 Call	Expire Short	500	1/19/2010	0 00	8/23/2010	1,182 23	1,182 23		
CL Aug 21 2010 75 0 Put	Expire Short	500	1/19/2010	0 00	8/23/2010	1,632 22	1,632 22		
CL Feb 19 2011 90 0 Call	Buy to Close	500	6/25/2010	110 25	9/9/2010	592 23	481 98		
CL Feb 19 2011 90 0 Call	Buy to Close	500	7/7/2010	110 25	9/9/2010	667 23	556 98		
CL Feb 20 2010 75 0 Put	Expire Short	500	10/9/2009	0 00	2/22/2010	1,417 21	1,417 21		
CL Jan 22 2011 90 0 Call	Buy to Close	500	6/10/2010	67 75	9/9/2010	617 23	549 48		
CL May 22 2010 80 0 Call	Expire Short	500	5/21/2010	0 00	5/24/2010	142 24	142 24		
CL May 22 2010 85 0 Call	Expire Short	500	2/16/2010	0 00	5/24/2010	692 24	692 24		
CL May 22 2010 90 0 Call	Buy to Close	500	11/24/2009	232 75	2/12/2010	1,262 21	1,029 46		
CL Nov 20 2010 90 0 Call	Expire Short	500	3/26/2010	0 00	11/22/2010	1,142 23	1,142 23		
CL Nov 20 2010 80 0 Call	Expire Short	500	10/25/2010	0 00	11/22/2010	252 24	252 24		
CL Nov 20 2010 85 0 Call	Expire Short	500	5/26/2010	0 00	11/22/2010	992 23	992 23		
COP Feb 19 2011 55 0 Put	Buy to Close	500	9/9/2010	562 75	11/4/2010	2,267 21	1,704 46		
COP Jan 22 2011 50 0 Put	Buy to Close	1,000	8/11/2010	220 50	11/8/2010	2,309 46	2,088 96		
COP Jan 22 2011 62 5 Call	Buy to Close	1,000	8/31/2010	3,640 50	12/20/2010	529 49	(3,111 01)		
COP May 21 2011 52 5 Put	Buy to Close	1,000	9/21/2010	2,500 50	10/14/2010	3,789 43	1,288 93		
COP May 21 2011 65 0 Call	Buy to Close	1,000	12/20/2010	5,620 50	12/31/2010	3,874 43	(1,746 07)		

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain($)	Adj LT gain($)
CPB Aug 21 2010 32 5 Put	Expire Short	1,000	2/22/2010	0 00	8/23/2010	1,539 48	1,539 48	
CPB Feb 20 2010 30 0 Put	Expire Long	1,000	11/3/2009	1,060 50	2/22/2010		(1,060 50)	
CPB May 22 2010 32 5 Put	Expire Short	1,000	12/18/2009	0 00	5/24/2010	1,889 45	1,889 45	
CPB Nov 20 2010 32 5 Put	Expire Short	1,000	5/6/2010	0 00	11/22/2010	1,289 47	1,289 47	
CSX CORP (CSX)	**Assign Call**	**400**	**5/11/2009**	**11,374 22**	**8/20/2010**	**21,609.39**		**10,235.17**
CSX CORP (CSX)	**Assign Call**	**100.**	**5/15/2009**	**2,842.72**	**8/20/2010**	**880.22**		**(1,962.50)**
CSX CORP (CSX)	Assign Call	100	5/15/2009		8/20/2010	4,522 13		4,522 13
CSX CORP (CSX)	**Assign Call**	**500.**	**5/15/2009**	**14,213 60**	**9/17/2010**	**23,489.60**		**9,276 00**
CSX CORP (CSX)	Assign Call	500	5/15/2009		9/17/2010	3,477 19		3,477 19
CSX Aug 21 2010 45 0 Put	Buy to Close	500	2/5/2010	307 75	4/29/2010	3,092 21	2,784 46	
CSX Aug 21 2010 45 0 Call	Buy to Close	500	1/8/2010	4,377 75	8/9/2010	4,492 13	114 38	
CSX Aug 21 2010 48 0 Put	Buy to Close	500	2/10/2010	507 75	4/29/2010	3,867 20	3,359 45	
CSX Aug 21 2010 55 0 Call	Buy to Close	500	2/10/2010	1,757 75	5/5/2010	402 24	(1,355 51)	
CSX Feb 19 2011 47 5 Put	Buy to Close	500	6/21/2010	275 25	11/15/2010	1,442 22	1,166 97	
CSX Feb 19 2011 47 5 Put	Buy to Close	500	6/22/2010	275 25	11/15/2010	1,692 22	1,416 97	
CSX Jan 22 2011 60 0 Call	Buy to Close	1,000	8/27/2010	2,830 50	11/23/2010	939 48	(1,891 02)	
CSX May 21 2011 55 0 Put	Buy to Close	500	10/15/2010	1,212 75	11/4/2010	2,017 21	804 46	
CSX May 21 2011 55 0 Put	Buy to Close	500	11/23/2010	992 75	12/9/2010	1,617 22	624 47	
CSX May 21 2011 52 5 Put	Buy to Close	500	10/7/2010	732 75	12/10/2010	2,117 21	1,384 46	
CSX May 22 2010 48 0 Put	Buy to Close	500	2/5/2010	107 75	5/5/2010	3,467 20	3,359 45	
CSX Nov 20 2010 48 0 Call	Buy to Close	1,000	3/22/2010	11,660 50	10/15/2010	6,884 41	(4,776 09)	
CSX Nov 20 2010 50 0 Put	Expire Short	500	4/6/2010	0 00	11/22/2010	2,042 21	2,042 21	
CSX Nov 20 2010 60 0 Put	Expire Short	500	4/30/2010	0 00	11/22/2010	3,542 19	3,542 19	
CSX Nov 20 2010 65 0 Call	Buy to Close	500	6/10/2010	32 75	8/24/2010	477 24	444 49	
CVS CAREMARK CORP (CVS)	**Assign Call**	**500.**	**11/20/2009**	**15,585 27**	**2/19/2010**	**16,989.78**	**1,404 51**	
CVS CAREMARK CORP (CVS)	Assign Call	500	11/20/2009		2/19/2010	317 24	317 24	
CVS Aug 21 2010 35 0 Call	Buy to Close	1,000	12/21/2009	2,970 50	4/27/2010	2,089 44	(881 06)	
CVS Aug 21 2010 35 0 Call	Expire Short	1,000	2/8/2010	0 00	8/23/2010	1,609 47	1,609 47	
CVS Aug 21 2010 35 0 Put	Buy to Close	1,000	4/27/2010	3,070 50	5/21/2010	1,439 47	(1,631 03)	
CVS Aug 21 2010 36 0 Call	Buy to Close	500	2/8/2010	1,567 75	4/29/2010	637 24	(930 51)	
CVS Feb 19 2011 37 0 Call	Buy to Close	1,000	7/8/2010	90 50	11/16/2010	639 48	548 98	
CVS Feb 20 2010 35 0 Call	Expire Short	500	11/19/2009	0 00	2/22/2010	242 24	242 24	
CVS Feb 20 2010 34 0 Put	Buy to Close	500	8/14/2009	1,492 75	2/5/2010	1,367 21	(125 54)	
CVS Jan 22 2011 40 0 Call	Buy to Close	500	6/10/2010	47 75	9/29/2010	267 24	219 49	
CVS May 22 2010 35 0 Call	Sell to Close	500	11/23/2009	732 75	2/1/2010	567 24	(165 51)	
CVS May 22 2010 36 0 Call	Buy to Close	1,000	12/30/2009	1,260 50	4/5/2010	999 47	(261 03)	
CVS May 22 2010 33 0 Put	Expire Short	500	10/12/2009	0 00	5/24/2010	942 22	942 22	
CVS May 22 2010 33 0 Put	Expire Short	500	2/5/2010	0 00	5/24/2010	1,542 23	1,542 23	
CVS Nov 20 2010 35 0 Put	Buy to Close	1,000	5/5/2010	4,410 50	11/10/2010	2,589 45	(1,821 05)	
CVS Nov 20 2010 33 0 Call	Expire Short	500	8/3/2010	0 00	11/22/2010	482 24	482 24	
CVS Nov 20 2010 37 0 Call	Buy to Close	1,000	4/27/2010	200 50	8/3/2010	2,624 45	2,423 95	
CVS Nov 20 2010 38 0 Call	Buy to Close	500	4/29/2010	42 75	8/9/2010	1,382 22	1,339 47	
CVS Nov 20 2010 40 0 Call	Buy to Close	800	4/5/2010	49 40	8/9/2010	951 58	902 18	
CVS Nov 20 2010 40 0 Call	Buy to Close	200	4/5/2010	14 10	8/9/2010	237 89	223 79	
CXI Apr 17 2010 40 0 Call	Buy to Close	500	12/16/2009	57 75	2/5/2010	417 23	359 48	
DE Aug 21 2010 65 0 Call	Buy to Close	500	7/6/2010	1,547 75	8/3/2010	207 24	(1,340 51)	
DE Dec 18 2010 55 0 Put	Buy to Close	500	4/19/2010	282 75	9/29/2010	2,042 21	1,759 46	
DE Dec 18 2010 75 0 Call	Buy to Close	500	5/10/2010	2,932 75	11/4/2010	952 23	(1,980 52)	
DE Dec 18 2010 80 0 Put	Expire Short	500	10/20/2010	0 00	12/20/2010	2,792 20	2,792 20	
DE Dec 18 2010 62 5 Call	Buy to Close	1,000	8/24/2010	10,860 50	9/23/2010	5,189 41	(5,671 09)	
DE Dec 18 2010 67 5 Put	Expire Short	500	9/9/2010	0 00	12/20/2010	2,342 21	2,342 21	
DE Jan 22 2011 50 0 Put	Buy to Close	1,000	3/19/2010	860 50	9/13/2010	3,589 45	2,728 95	

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain($)	Adj LT gain($)	
DE Jan 22 2011 60 0 Put	Buy to Close	500	5/4/2010	387 75	10/20/2010	4,117 18	3,729 43		
DE Jan 22 2011 75 0 Call	Buy to Close	1,000	6/2/2010	7,140 50	12/16/2010	1,889 46	(5,251 04)		
DE Jan 22 2011 80 0 Call	Buy to Close	500	6/10/2010	1,687 75	12/20/2010	417 24	(1,270 51)		
DE Jan 22 2011 67 5 Call	Buy to Close	500	9/17/2010	5,457 75	11/1/2010	3,922 18	(1,535 57)		
DE Jan 22 2011 72 5 Call	Buy to Close	500	8/3/2010	3,677 75	11/18/2010	1,637 22	(2,040 53)		
DE Jul 17 2010 62 5 Call	Buy to Close	500	6/9/2010	77 75	6/29/2010	402 24	324 49		
DE Jun 18 2011 80 0 Call	Buy to Close	500	11/18/2010	4,150 25	12/31/2010	3,247 19	(903 06)		
DE Jun 18 2011 80 0 Call	Buy to Close	500	12/16/2010	4,150 25	12/31/2010	3,737 19	(413 06)		
DE Jun 18 2011 80 0 Call	Short WS Adj	500	12/16/2010	(903 06)	12/31/2010		903 06		
DE Jun 18 2011 65 0 Call	Buy to Close	1,000	12/8/2010	18,380 50	12/20/2010	17,089 21	(1,291 29)		
DE Jun 19 2010 43 0 Put	Buy to Close	500	11/19/2009	232 75	3/4/2010	1,642 20	1,409 45		
DE Jun 19 2010 60 0 Put	Buy to Close	500	3/12/2010	2,217 75	5/21/2010	2,392 21	174 46		
DE Mar 19 2011 50 0 Put	Buy to Close	500	7/19/2010	517 75	9/29/2010	1,842 21	1,324 46		
DE Mar 19 2011 62 5 Call	Buy to Close	1,000	9/23/2010	18,460 50	12/8/2010	12,339 29	(6,121 21)		
DE Mar 19 2011 67 5 Put	Buy to Close	500	10/4/2010	562 75	12/9/2010	3,042 19	2,479 44		
DE Mar 19 2011 80 0 Call	Buy to Close	500	11/4/2010	3,047 75	12/28/2010	2,717 20	(330 55)		
DE Sep 18 2010 55 0 Put	Expire Short	500	1/19/2010	0 00	9/20/2010	2,467 21	2,467 21		
DE Sep 18 2010 60 0 Put	Expire Short	500	3/31/2010	0 00	9/20/2010	2,742 20	2,742 20		
DE Sep 18 2010 60 0 Call	Buy to Close	500	2/10/2010	2,105 25	8/24/2010	1,057 23	(1,048 02)		
DE Sep 18 2010 60 0 Call	Buy to Close	500	3/23/2010	2,105 25	8/24/2010	3,132 21	1,026 96		
DE Sep 18 2010 65 0 Call	Buy to Close	500	6/25/2010	3,557 75	9/17/2010	899 22	(2,658 53)		
DE Sep 18 2010 70 0 Put	Expire Short	500	9/13/2010	0 00	9/20/2010	572 24	572 24		
DE Sep 18 2010 57 5 Put	Expire Short	500	5/21/2010	0 00	9/20/2010	3,087 19	3,087 19		
DGV Jun 19 2010 55 0 Call	Buy to Close	500	12/1/2009	4,112 75	3/23/2010	2,817 17	(1,295 58)		
DGV Jun 19 2010 50 0 Put	Buy to Close	500	11/25/2009	2,257 75	2/10/2010	2,517 18	259 43		
DGV Mar 20 2010 45 0 Put	Buy to Close	500	11/16/2009	272 75	2/12/2010	1,342 21	1,069 46		
DGV Mar 20 2010 60 0 Call	Expire Short	500	12/16/2009	0 00	3/22/2010	817 22	817 22		
DGV Mar 20 2010 55 0 Put	Buy to Close	500	12/4/2009	2,057 75	1/26/2010	2,317 19	259 44		
DHR Dec 18 2010 35 0 Put	Expire Short	1,000	7/28/2010	0 00	12/20/2010	1,289 47	1,289 47		
DHR Dec 18 2010 37 5 Put	Expire Long	500	11/4/2010	57 75	12/20/2010		(57 75)		
DIS Apr 17 2010 25 0 Put	Buy to Close	500	10/23/2009	37 75	3/1/2010	567 23	529 48		
DIS Apr 17 2010 23 0 Put	Buy to Close	600	8/24/2009	50 30	2/18/2010	891 67	841 37		
DIS Aug 21 2010 35 0 Call	Expire Short	500	7/7/2010	0 00	8/23/2010	232 24	232 24		
DIS Jan 16 2010 20 0 Put	Expire Short	1,000	6/15/2009	0 00	1/19/2010	1,289 46	1,289 46		
DIS Jan 16 2010 22 5 Put	Expire Short	1,000	6/5/2009	0 00	1/19/2010	2,039 44	2,039 44		
DIS Jan 22 2011 40 0 Call	Buy to Close	500	6/21/2010	159 42	9/13/2010	547 24	387 82		
DIS Jan 22 2011 40 0 Call	Buy to Close	1,000	7/8/2010	318 83	9/13/2010	739 48	420 65		
DIS Jul 17 2010 26 0 Put	Buy to Close	500	11/24/2009	87 75	3/22/2010	717 23	629 48		
DIS Jul 17 2010 34 0 Put	Buy to Close	500	4/5/2010	1,452 75	5/21/2010	577 24	(875 51)		
DIS Jul 17 2010 35 0 Call	Buy to Close	500	1/19/2010	1,222 75	4/27/2010	317 24	(905 51)		
DIS Jul 17 2010 37 0 Call	Buy to Close	500	6/3/2010	27 75	6/29/2010	247 24	219 49		
DIS Oct 16 2010 30 0 Put	Buy to Close	500	3/12/2010	632 75	5/10/2010	602 24	(30 51)		
DIS Oct 16 2010 32 0 Put	Buy to Close	500	5/21/2010	682 75	6/15/2010	1,492 22	809 47		
DIS Oct 16 2010 36 0 Call	Buy to Close	500	3/4/2010	27 75	9/29/2010	487 24	459 49		
DIS Oct 16 2010 37 0 Call	Expire Short	500	4/27/2010	0 00	10/18/2010	1,047 23	1,047 23		
DOVER CORP (DOV)	**Assign Call**	**100.**	**6/1/2010**	**5,101.56**	**9/17/2010**	**4,917.36**	**(184.20)**		1
DOVER CORP (DOV)	**Assign Call**	**400**	**6/18/2010**	**20,376 23**	**9/17/2010**	**17,572.25**	**(2,803.98)**		1
DOVER CORP (DOV)	Assign Call	400	6/18/2010		9/17/2010	2,097 21	2,097 21		
DOVER CORP (DOV)	Wash Sale Adj	300	8/27/2010	(537 59)	9/17/2010		537 59		
DOVER CORP (DOV)	Wash Sale Adj	200	9/17/2010	(353 38)	9/17/2010		353 38		
DOV Aug 21 2010 45 0 Call	Buy to Close	500	7/6/2010	2,072 75	8/9/2010	517 24	(1,555 51)		
DOV Dec 18 2010 50 0 Call	Buy to Close	500	7/19/2010	2,807 75	11/15/2010	492 24	(2,315 51)		

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain($)	Adj LT gain($)	
DOV Dec 18 2010 50 0 Put	Expire Short	500	5/4/2010	0 00	12/20/2010	2,092 21	2,092 21		
DOV Dec 18 2010 55 0 Call	Buy to Close	500	6/10/2010	537 75	11/16/2010	417 24	(120 51)		
DOV Jan 16 2010 35 0 Put	Expire Short	500	7/24/2009	0 00	1/19/2010	1,742 20	1,742 20		
DOV Jul 17 2010 45 0 Call	Expire Short	500	5/24/2010	0 00	7/19/2010	1,242 22	1,242 22		
DOV Jun 19 2010 45 0 Call	Buy to Close	500	12/30/2009	1,707 75	4/6/2010	907 22	(800 53)		
DOV Jun 19 2010 50 0 Call	Buy to Close	500	10/19/2009	107 75	5/25/2010	392 23	284 48		
DOV Jun 19 2010 35 0 Put	Buy to Close	500	10/19/2009	157 75	2/19/2010	1,067 22	909 47		
DOV Mar 20 2010 45 0 Put	Expire Short	500	11/11/2009	0 00	3/22/2010	2,642 18	2,642 18		
DOV Sep 18 2010 50 0 Call	Buy to Close	500	2/18/2010	207 75	6/29/2010	667 24	459 49		
DOV Sep 18 2010 40 0 Put	Buy to Close	500	2/22/2010	382 75	4/14/2010	817 23	434 48		
DOV Sep 18 2010 45 0 Put	Buy to Close	500	3/19/2010	57 75	9/3/2010	1 317 23	1,259 48		
DOV Sep 18 2010 50 0 Put	Buy to Close	500	4/6/2010	1,907 75	5/10/2010	2,342 21	434 46		
DQD Apr 17 2010 35 0 Call	Buy to Close	500	12/30/2009	52 75	2/18/2010	342 24	289 49		
EMERSON ELEC CO (EMR)	**Assign Call**	**500**	**2/24/2009**	**19,286 51**	**1/15/2010**	**19,489 75**	**203.24**		1
EMERSON ELEC CO (EMR)	Assign Call	500	2/24/2009		1/15/2010	1,192 21	1,192 21		
EMERSON ELEC CO (EMR)	**Assign Call**	**1,000.**	**11/9/2009**	**41,864 50**	**1/15/2010**	**40,989 47**	**(875 03)**		1
EMERSON ELEC CO (EMR)	Assign Call	1,000	11/9/2009		1/15/2010	2,254 44	2,254 44		
EMERSON ELEC CO (EMR)	**Assign Call**	**1,000**	**1/15/2010**	**39,320.56**	**3/17/2010**	**42,989 45**	**3,668.89**		1
EMERSON ELEC CO (EMR)	Assign Call	1,000	1/15/2010		3/17/2010	1,439 46	1,439 46		
EMR Dec 18 2010 55 0 Put	Expire Short	500	4/29/2010	0 00	12/20/2010	2,992 19	2,992 19		
EMR Dec 18 2010 55 0 Call	Buy to Close	500	5/26/2010	557 75	11/23/2010	717 23	159 48		
EMR Jan 22 2011 55 0 Call	Buy to Close	500	8/27/2010	1,207 75	11/26/2010	417 24	(790 51)		
EMR Jun 19 2010 36 0 Put	Buy to Close	500	11/11/2009	182 75	2/3/2010	1,042 22	859 47		
EMR Jun 19 2010 50 0 Call	Buy to Close	500	3/1/2010	1,527 75	4/22/2010	642 24	(885 51)		
EMR Jun 19 2010 45 0 Put	Buy to Close	500	2/26/2010	257 75	3/30/2010	867 23	609 48		
EMR Mar 20 2010 37 0 Put	Buy to Close	500	12/8/2009	57 75	2/10/2010	567 23	509 48		
EMR Sep 18 2010 48 0 Put	Buy to Close	500	3/12/2010	1,057 75	4/5/2010	1,842 22	784 47		
EMR Sep 18 2010 46 0 Put	Expire Short	500	2/3/2010	0 00	9/20/2010	1,892 22	1,892 22		
EMR Sep 18 2010 55 0 Call	Buy to Close	500	4/22/2010	55 25	7/19/2010	817 23	761 98		
EMR Sep 18 2010 55 0 Call	Buy to Close	500	6/15/2010	55 25	7/19/2010	192 24	136 99		
EMR Sep 18 2010 50 0 Put	Expire Short	500	4/8/2010	0 00	9/20/2010	1,592 22	1,592 22		
EXC Jan 16 2010 45 0 Put	Expire Short	1,000	7/30/2009	0 00	1/19/2010	2,089 44	2,089 44		
EXC Jan 22 2011 45 0 Call	Buy to Close	600	7/7/2010	38 30	11/29/2010	357 69	319 39		
EXC Nov 20 2010 43 0 Call	Expire Short	500	10/14/2010	0 00	11/22/2010	392 24	392 24		
EXC Oct 16 2010 50 0 Call	Buy to Close	500	3/1/2010	32 75	9/9/2010	392 24	359 49		
EXC Oct 16 2010 40 0 Put	Expire Short	500	3/1/2010	0 00	10/18/2010	822 23	822 23		
EXC Oct 16 2010 42 5 Call	Buy to Close	500	6/3/2010	307 75	10/14/2010	292 24	(15 51)		
FEDEX CORP (FDX)	**Assign Call**	**500.**	**12/18/2009**	**43,832 79**	**7/16/2010**	**34,989.40**	**(8,843.39)**		1
FEDEX CORP (FDX)	Assign Call	500	12/18/2009		7/16/2010	9,507 00	9,507 00		
FEDEX CORP (FDX)	**Assign Call**	**500.**	**7/16/2010**	**42,967.79**	**10/15/2010**	**42,489.28**	**(478.51)**		1
FEDEX CORP (FDX)	Assign Call	500	7/16/2010		10/15/2010	3,692 20	3,692 20		
FDX Apr 17 2010 80 0 Call	Buy to Close	500	9/21/2009	6,807 75	4/16/2010	2,882 17	(3,925 58)		
FDX Apr 17 2010 85 0 Put	Expire Short	500	11/11/2009	0 00	4/19/2010	4,442 13	4,442 13		
FDX Apr 17 2010 85 0 Put	Expire Short	500	12/4/2009	0 00	4/19/2010	3,097 17	3,097 17		
FDX Apr 17 2010 90 0 Put	Expire Short	500	12/3/2009	0 00	4/19/2010	4,642 13	4,642 13		
FDX Jan 22 2011 95 0 Call	Buy to Close	500	9/1/2010	1,157 75	10/7/2010	1,087 23	(70 52)		
FDX Jul 17 2010 80 0 Call	Buy to Close	500	12/1/2009	4,352 75	3/12/2010	6,107 09	1,754 34		
FDX Jul 17 2010 70 0 Put	Buy to Close	500	12/17/2009	242 75	3/30/2010	1,557 20	1,314 45		
FDX Jun 19 2010 90 0 Put	Buy to Close	500	4/20/2010	4,697 75	5/21/2010	1,542 22	(3,155 53)		
FDX Oct 16 2010 95 0 Call	Buy to Close	500	2/26/2010	57 75	9/20/2010	1,512 23	1,454 48		
FDX Oct 16 2010 70 0 Put	Expire Short	500	8/16/2010	0 00	10/18/2010	732 23	732 23		
FDX Oct 16 2010 90 0 Call	Expire Short	500	4/16/2010	0 00	10/18/2010	4,472 17	4,472 17		

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain($)	Adj LT gain($)
FDX Oct 16 2010 85 0 Put	Expire Short	500	5/21/2010	0 00	10/18/2010	5,138 26	5,138 26	
FDX Oct 16 2010 65 0 Put	Buy to Close	500	2/26/2010	492 75	3/19/2010	1,002 23	509 48	
FZJ May 22 2010 44 0 Put	Buy to Close	1,000	12/3/2009	910 50	4/5/2010	2,989 42	2,078 92	
GENERAL MILLS INC (GIS)	**Assign Call**	**500**	**12/18/2009**	**33,317 80**	**1/6/2010**	**29,989.22**	**(3,328.58)**	
GENERAL MILLS INC (GIS)	Assign Call	500	12/18/2009		1/6/2010	1,319 71	1,319 71	
GENERAL MILLS INC (GIS)	**Assign Call**	**500.**	**2/19/2010**	**34,942.79**	**2/19/2010**	**34,989.55**	**46 76**	
GENERAL MILLS INC (GIS)	Assign Call	500	2/19/2010		2/19/2010	217 24	217 24	
GENERAL MILLS INC (GIS)	**Assign Call**	**1,000.**	**4/16/2010**	**34,137.81**	**9/17/2010**	**35,989.39**	**1,851.58**	
GENERAL MILLS INC (GIS)	Assign Call	1,000	4/16/2010		9/17/2010	839 48	839 48	
GENERAL MILLS INC (GIS)	**Assign Call**	**1,000**	**4/16/2010**	**34,137 80**	**10/15/2010**	**36,244 39**	**2,106.59**	
GENERAL MILLS INC (GIS)	**Assign Call**	**1,000**	**7/16/2010**	**33,811 15**	**10/15/2010**	**36,244 39**	**2,433.24**	
GENERAL MILLS INC (GIS)	Assign Call	1,000	7/16/2010		10/15/2010	3,644 45	3,644 45	
GIS Apr 17 2010 70 0 Put	Expire Short	1,000	10/29/2009	0 00	4/19/2010	5,989 34	5,989 34	
GIS Aug 21 2010 36 0 Call	Expire Short	500	7/23/2010	0 00	8/23/2010	167 24	167 24	
GIS Dec 18 2010 39 0 Call	Buy to Close	1,000	10/18/2010	50 50	11/26/2010	739 48	688 98	
GIS Jan 16 2010 60 0 Put	Expire Short	1,000	7/23/2008	0 00	1/19/2010	4,289 47	4,289 47	
GIS Jan 16 2010 60 0 Put	Expire Short	1,000	7/2/2009	0 00	1/19/2010	5,089 36	5,089 36	
GIS Jan 16 2010 60 0 Put	Expire Short	500	9/17/2009	0 00	1/19/2010	1,642 20	1,642 20	
GIS Jul 17 2010 65 0 Call	Buy to Close	1,000	12/1/2009	6,610 50	1/26/2010	5,689 35	(921 15)	
GIS Jul 17 2010 70 0 Call	Buy to Close	500	12/8/2009	2,129 42	2/26/2010	1,512 21	(617 21)	
GIS Jul 17 2010 70 0 Call	Buy to Close	1,000	1/26/2010	4,258 83	2/26/2010	3,389 45	(869 38)	
GIS Oct 16 2010 33 75 Put	Expire Short	1,000	2/26/2010	0 00	10/18/2010	1,392 23	1,392 23	
GIS Oct 16 2010 36 25 Call	Buy to Close	1,000	2/26/2010	1,710 50	7/9/2010	1,822 23	111 73	
GIS Oct 16 2010 37 5 Call	Expire Short	1,000	4/29/2010	0 00	10/18/2010	717 23	717 23	
GR Apr 17 2010 70 0 Put	Expire Short	500	3/8/2010	0 00	4/19/2010	1,342 23	1,342 23	
GR Feb 19 2011 85 0 Call	Buy to Close	500	7/23/2010	2,347 75	12/14/2010	792 23	(1,555 52)	
GR Feb 20 2010 50 0 Call	Buy to Close	500	10/15/2009	5,707 75	1/28/2010	3,972 14	(1,735 61)	
GR Feb 20 2010 55 0 Put	Expire Short	500	10/15/2009	0 00	2/22/2010	1,992 19	1,992 19	
GR Feb 20 2010 60 0 Put	Buy to Close	500	1/26/2010	907 75	1/26/2010	1,042 23	134 48	
GR May 22 2010 55 0 Call	Buy to Close	500	1/26/2010	10,652 75	4/22/2010	3,842 20	(6,810 55)	
GR May 22 2010 50 0 Put	Buy to Close	500	9/23/2009	287 75	1/12/2010	1,792 20	1,504 45	
GR May 22 2010 60 0 Put	Expire Short	500	1/12/2010	0 00	5/24/2010	1,087 23	1,087 23	
GR Nov 20 2010 65 0 Call	Buy to Close	500	4/22/2010	10,057 75	11/10/2010	6,567 13	(3,490 62)	
GR Nov 20 2010 70 0 Put	Expire Short	500	4/27/2010	0 00	11/22/2010	2,242 21	2,242 21	
GR Nov 20 2010 65 0 Put	Expire Short	500	3/31/2010	0 00	11/22/2010	1,842 21	1,842 21	
GR Nov 20 2010 85 0 Put	Expire Short	500	11/1/2010	0 00	11/22/2010	1,692 22	1,692 22	
GWW Apr 16 2011 95 0 Put	Buy to Close	500	8/24/2010	732 75	11/1/2010	2,892 20	2,159 45	
GWW Apr 17 2010 90 0 Put	Expire Short	500	11/19/2009	0 00	4/19/2010	2,142 19	2,142 19	
GWW Apr 17 2010 110 0 Call	Buy to Close	500	2/3/2010	607 75	3/31/2010	492 24	(115 51)	
GWW Jan 22 2011 110 0 Put	Buy to Close	500	10/8/2010	157 75	12/6/2010	1,367 22	1,209 47	
GWW Jan 22 2011 90 0 Put	Buy to Close	500	7/29/2010	307 75	10/8/2010	1,167 23	859 48	
GWW Jan 22 2011 130 0 Call	Buy to Close	500	7/23/2010	2,507 75	12/8/2010	517 24	(1,990 51)	
GWW Jul 17 2010 115 0 Call	Buy to Close	500	2/5/2010	1,087 75	5/6/2010	592 24	(495 51)	
GWW Jul 17 2010 85 0 Put	Buy to Close	500	1/5/2010	582 75	2/18/2010	1,542 21	959 46	
GWW Jul 17 2010 90 0 Put	Expire Short	500	2/26/2010	0 00	7/19/2010	992 23	992 23	
GWW Jul 17 2010 120 0 Call	Expire Short	500	5/6/2010	0 00	7/19/2010	528 34	528 34	
GWW Oct 16 2010 95 0 Put	Expire Short	500	2/22/2010	0 00	10/18/2010	2,192 22	2,192 22	
GWW Oct 16 2010 125 0 Call	Expire Short	500	6/15/2010	0 00	10/18/2010	467 24	467 24	
HOME DEPOT INC (HD)	**Assign Call**	**1,000.**	**8/20/2010**	**28,490.52**	**9/17/2010**	**27,989.52**	**(501.00)**	
HOME DEPOT INC (HD)	Assign Call	1,000	8/20/2010		9/17/2010	739 48	739 48	
HD Aug 21 2010 33 0 Put	Buy to Close	1,000	3/30/2010	5,030 50	8/20/2010	2,019 47	(3,011 03)	
HD Aug 21 2010 33 0 Call	Buy to Close	1,000	2/18/2010	3,440 50	4/27/2010	739 49	(2,701 01)	

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain($)	Adj LT gain($)	
HD Aug 21 2010 33 0 Call	Buy to Close	1,000	3/8/2010	40 50	7/19/2010	1,174 48	1,133 98		
HD Aug 21 2010 35 0 Call	Expire Short	1,000	6/10/2010	0 00	8/23/2010	559 49	559 49		
HD Feb 19 2011 32 0 Put	Buy to Close	1,000	8/20/2010	330 50	12/23/2010	5,134 41	4,803 91		
HD Jan 16 2010 24 0 Put	Expire Short	1,000	10/9/2009	0 00	1/19/2010	739 48	739 48		
HD Jan 22 2011 32 0 Call	Buy to Close	1,000	7/20/2010	3,190 50	12/23/2010	539 49	(2,651 01)		
HD May 21 2011 36 0 Call	Buy to Close	1,000	9/29/2010	350 50	11/29/2010	989 48	638 98		
HD May 22 2010 31 0 Put	Expire Short	1,000	2/26/2010	0 00	5/24/2010	1,289 48	1 289 48		
HD Nov 20 2010 35 0 Put	Buy to Close	500	4/27/2010	1,807 75	11/10/2010	1,312 22	(495 53)		
HD Nov 20 2010 35 0 Call	Expire Short	1,000	4/27/2010	0 00	11/22/2010	2,664 45	2,664 45		
HNZ Dec 18 2010 47 0 Call	Buy to Close	500	7/20/2010	882 75	11/26/2010	467 24	(415 51)		
HNZ Dec 18 2010 47 0 Put	Expire Short	500	10/8/2010	0 00	12/20/2010	567 24	567 24		
HNZ Dec 18 2010 44 0 Put	Expire Short	500	7/16/2010	0 00	12/20/2010	1,092 23	1,092 23		
HNZ Dec 18 2010 49 0 Put	Expire Short	500	11/10/2010	0 00	12/20/2010	642 23	642 23		
HNZ Mar 19 2011 46 0 Put	Buy to Close	500	7/23/2010	257 75	12/14/2010	1,692 22	1,434 47		
HONEYWELL INTL INC (HON)	**Assign Call**	**500.**	**5/21/2010**	**22,042.77**	**12/17/2010**	**22,489 61**	**446 84**		1
HONEYWELL INTL INC (HON)	Assign Call	500	5/21/2010		12/17/2010	647 23	647 23		
HON Jun 19 2010 34 0 Put	Buy to Close	500	11/19/2009	77 75	3/30/2010	1,052 22	974 47		
HON Jun 19 2010 44 0 Call	Expire Short	500	1/26/2010	0 00	6/21/2010	467 24	467 24		
HON Mar 20 2010 36 0 Put	Buy to Close	800	10/12/2009	49 40	3/4/2010	2,230 54	2,181 14		
HON Sep 18 2010 45 0 Call	Expire Short	500	6/15/2010	0 00	9/20/2010	637 23	637 23		
INTC Apr 16 2011 17 0 Put	Buy to Close	1,000	9/17/2010	360 50	11/4/2010	979 48	618 98		
INTC Jan 22 2011 20 0 Put	Buy to Close	1,000	11/15/2010	180 50	12/8/2010	419 49	238 99		
INTC Oct 16 2010 19 0 Put	Expire Short	2,000	6/17/2010	0 00	10/18/2010	1,483 97	1,483 97		
IQ May 22 2010 60 0 Call	Buy to Close	500	11/24/2009	37 75	3/23/2010	467 23	429 48		
JBC Jun 19 2010 55 0 Call	Buy to Close	500	2/10/2010	2,877 75	4/20/2010	392 24	(2,485 51)		
JBC Mar 20 2010 47 0 Put	Expire Short	500	11/9/2009	0 00	3/22/2010	1,217 21	1,217 21		
JBC Mar 20 2010 55 0 Put	Expire Short	500	3/15/2010	0 00	3/22/2010	467 24	467 24		
JBC Mar 20 2010 49 0 Call	Buy to Close	500	12/3/2009	3,235 25	3/18/2010	2,497 18	(738 07)		
JBC Mar 20 2010 49 0 Call	Buy to Close	500	2/5/2010	3,235 25	3/18/2010	542 24	(2,693 01)		
JBC Sep 18 2010 55 0 Call	Buy to Close	500	2/16/2010	3,520 25	5/3/2010	992 23	(2,528 02)		
JBC Sep 18 2010 55 0 Call	Buy to Close	500	3/18/2010	3,520 25	5/3/2010	2,052 22	(1,468 03)		
JGL May 22 2010 29 0 Put	Buy to Close	1,000	12/8/2009	200 50	3/30/2010	2,989 42	2,788 92		
JGL May 22 2010 26 0 Put	Buy to Close	1,000	12/3/2009	220 50	3/4/2010	1,439 46	1,218 96		
JGL May 22 2010 31 0 Call	Buy to Close	1,000	1/5/2010	1,670 50	3/8/2010	849 47	(821 03)		
JNJ Apr 17 2010 60 0 Put	Buy to Close	1,000	10/13/2009	230 50	3/5/2010	3,029 42	2,798 92		
JNJ Jul 17 2010 70 0 Call	Buy to Close	500	2/16/2010	42 75	5/21/2010	207 24	164 49		
JNJ Oct 16 2010 62 5 Put	Expire Short	500	4/27/2010	0 00	10/18/2010	1,152 23	1,152 23		
JNJ Oct 16 2010 67 5 Call	Buy to Close	500	5/10/2010	22 75	9/9/2010	817 23	794 48		
KELLOGG CO (K)	**Assign Call**	**1,000.**	**9/18/2009**	**47,120 58**	**12/17/2010**	**49,989.15**		**2,868.57**	1
KELLOGG CO (K)	Assign Call	1,000	9/18/2009		12/17/2010	2,539 45		2,539 45	
KIMBERLY CLARK CORP (KMB)	**Assign Call**	**500.**	**7/16/2010**	**30,160.32**	**10/15/2010**	**32,489.45**	**2,329.13**		1
KIMBERLY CLARK CORP (KMB)	Assign Call	500	7/16/2010		10/15/2010	592 24	592 24		
KMB Aug 21 2010 62 5 Call	Buy to Close	500	7/12/2010	1,007 75	8/20/2010	542 24	(465 51)		
KMB Jul 17 2010 65 0 Call	Expire Short	500	2/8/2010	0 00	7/19/2010	342 24	342 24		
KMB Jul 17 2010 70 0 Call	Buy to Close	1,000	12/21/2009	160 50	2/12/2010	1,339 46	1,178 96		
K Dec 18 2010 55 0 Put	Buy to Close	500	4/22/2010	2,507 75	12/13/2010	1,942 21	(565 54)		
K Dec 18 2010 55 0 Call	Expire Short	500	7/7/2010	0 00	12/20/2010	542 24	542 24		
K Dec 18 2010 60 0 Call	Buy to Close	500	5/10/2010	57 75	9/9/2010	392 24	334 49		
K Jan 16 2010 45 0 Put	Expire Short	1,000	6/10/2009	0 00	1/19/2010	4,289 38	4,289 38		
K Jun 19 2010 55 0 Call	Buy to Close	500	11/16/2009	507 75	3/30/2010	999 44	491 69		
K Jun 19 2010 55 0 Call	Expire Short	500	11/16/2009	0 00	6/21/2010	999 00	999 00		
K Jun 19 2010 50 0 Put	Expire Short	1,000	11/3/2009	0 00	6/21/2010	3,289 41	3,289 41		

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain($)	Adj LT gain($)	
K Mar 20 2010 50 0 Put	Expire Short	500	7/21/2009	0 00	3/22/2010	2,772 17	2,772 17		
K Sep 18 2010 55 0 Call	Expire Short	500	2/12/2010	0 00	9/20/2010	742 24	742 24		
K Sep 18 2010 50 0 Put	Expire Short	500	2/12/2010	0 00	9/20/2010	1,117 23	1,117 23		
MCD Dec 18 2010 62 5 Put	Expire Short	1,000	7/16/2010	0 00	12/20/2010	1,869 46	1,869 46		
MCKESSON INC (MCK)	**Assign Call**	**1,000**	**8/20/2010**	**60,885.57**	**8/20/2010**	**54,989 07**	**(5,896 50)**		1
MCKESSON INC (MCK)	Assign Call	1,000	8/20/2010		8/20/2010	10,364 23	10,364 23		
MCKESSON INC (MCK)	**Assign Call**	**500**	**8/20/2010**	**33,017.79**	**11/19/2010**	**35,336 65**	**2,318 86**		1
MCKESSON INC (MCK)	**Assign Call**	**300**	**9/8/2010**	**19,484.68**	**11/19/2010**	**21,201 99**	**1,717.31**		1
MCKESSON INC (MCK)	**Assign Call**	**200**	**11/18/2010**	**12,987 12**	**11/19/2010**	**8,450.26**	**(4,536 86)**		1
MCKESSON INC (MCK)	Assign Call	200	11/18/2010		11/19/2010	5,684 40	5,684 40		
MCK Apr 17 2010 62 5 Call	Buy to Close	1,000	3/19/2010	2,460 50	4/14/2010	2,024 47	(436 03)		
MCK Feb 19 2011 65 0 Call	Buy to Close	1,000	9/9/2010	5,430 50	12/20/2010	2,139 46	(3,291 04)		
MCK Feb 20 2010 60 0 Put	Expire Short	1,000	11/11/2009	0 00	2/22/2010	2,039 44	2,039 44		
MCK Jan 16 2010 60 0 Put	Expire Short	1,000	10/15/2009	0 00	1/19/2010	2,739 42	2,739 42		
MCK Mar 20 2010 60 0 Call	Buy to Close	1,000	2/16/2010	3,690 50	3/19/2010	1,339 48	(2,351 02)		
MCK Mar 20 2010 60 0 Put	Expire Short	1,000	2/22/2010	0 00	3/22/2010	1,339 48	1,339 48		
MCK Mar 20 2010 62 5 Put	Expire Short	1,000	3/8/2010	0 00	3/22/2010	1,589 47	1,589 47		
MCK May 22 2010 60 0 Call	Buy to Close	1,000	10/26/2009	7,090 50	4/22/2010	5,289 36	(1,801 14)		
MCK May 22 2010 57 5 Put	Expire Short	500	9/21/2009	0 00	5/24/2010	2,492 18	2,492 18		
MCK May 22 2010 65 0 Put	Expire Short	500	11/19/2009	0 00	5/24/2010	3,042 17	3,042 17		
MCK May 22 2010 65 0 Put	Expire Short	500	3/30/2010	0 00	5/24/2010	1,092 23	1,092 23		
MCK Nov 20 2010 70 0 Put	Buy to Close	700	6/21/2010	3,158 85	11/19/2010	3,282 59	123 74		
MCK Nov 20 2010 70 0 Call	Buy to Close	1,000	4/14/2010	110 50	9/23/2010	2,589 45	2,478 95		
MCK Nov 20 2010 65 0 Put	Expire Short	500	4/6/2010	0 00	11/22/2010	2,142 21	2,142 21		
MMM Apr 17 2010 75 0 Put	Buy to Close	500	8/31/2009	707 75	1/26/2010	4,192 14	3,484 39		
MMM Aug 21 2010 85 0 Call	Expire Short	500	7/9/2010	0 00	8/23/2010	637 23	637 23		
MMM Jan 16 2010 80 0 Put	Expire Short	500	9/15/2009	0 00	1/19/2010	3,952 14	3,952 14		
MMM Jul 17 2010 80 0 Put	Expire Short	1,000	12/3/2009	0 00	7/19/2010	7,489 30	7,489 30		
MMM Jul 17 2010 85 0 Call	Expire Long	500	5/4/2010	3,050 80	7/19/2010		(3,050 80)		
MMM Oct 16 2010 85 0 Put	Expire Short	500	4/6/2010	0 00	10/18/2010	3,042 19	3,042 19		
MMM Oct 16 2010 90 0 Call	Expire Short	1,000	5/4/2010	0 00	10/18/2010	4,294 42	4,294 42		
MNZ Jul 17 2010 85 0 Call	Buy to Close	500	12/11/2009	1,107 75	4/8/2010	1,844 70	736 95		
MNZ Jul 17 2010 85 0 Call	Buy to Close	500	12/11/2009	2,477 75	5/4/2010	1,844 70	(633 05)		
MNZ Jul 17 2010 85 0 Call	Short WS Adj	500	5/4/2010	(633 05)	5/4/2010		633 05		
NOKIA CORP ADR (NOK)	**Assign Call**	**700.**	**1/18/2007**	**13,679 35**	**4/16/2010**	**9,789 83**		**(3,889 52)**	1
NOKIA CORP ADR (NOK)	Assign Call	700	1/18/2007		4/16/2010	691 13		691 13	
NSC Dec 18 2010 55 0 Put	Expire Short	500	6/21/2010	0 00	12/20/2010	1,592 22	1,592 22		
NSC Dec 18 2010 60 0 Call	Buy to Close	500	4/20/2010	1,230 25	10/8/2010	2,452 20	1,221 95		
NSC Dec 18 2010 60 0 Call	Buy to Close	500	5/3/2010	1,230 25	10/8/2010	2,517 21	1,286 96		
NSC Dec 18 2010 60 0 Call	Buy to Close	500	5/3/2010	1,205 25	10/8/2010	2,517 20	1,311 95		
NSC Dec 18 2010 60 0 Call	Buy to Close	500	5/21/2010	1,205 25	10/8/2010	1,952 21	746 96		
NSC Jun 19 2010 45 0 Put	Buy to Close	500	11/11/2009	157 75	3/30/2010	1,542 21	1,384 46		
NSC Sep 18 2010 40 0 Put	Buy to Close	500	2/12/2010	132 75	4/22/2010	917 23	784 48		
NSC Sep 18 2010 48 0 Put	Buy to Close	500	3/19/2010	507 75	5/13/2010	892 23	384 48		
NSC Sep 18 2010 55 0 Call	Buy to Close	500	3/18/2010	2,462 75	5/21/2010	2,052 22	(410 53)		
NSC Sep 18 2010 55 0 Put	Expire Short	500	4/7/2010	0 00	9/20/2010	1,692 22	1,692 22		
PAQ Feb 20 2010 26 0 Put	Buy to Close	1,000	7/24/2009	60 50	1/12/2010	1,669 45	1,608 95		
PAQ Jan 16 2010 35 0 Put	Expire Short	1,000	5/8/2008	0 00	1/19/2010	4,386 47	4,386 47		
PAQ Jan 16 2010 43 0 Call	Expire Short	500	10/26/2009	0 00	1/19/2010	617 23	617 23		
PCAR Feb 19 2011 45 0 Call	Buy to Close	500	7/6/2010	5,797 75	12/6/2010	1,442 22	(4,355 53)		
PCAR May 21 2011 46 7 Call	Buy to Close	500	12/6/2010	5,782 75	12/17/2010	5,337 15	(445 60)		
PCAR Nov 20 2010 40 0 Put	Expire Short	500	9/13/2010	0 00	11/22/2010	517 24	517 24		

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain($)	Adj LT gain($)	
PEP Oct 16 2010 60 0 Put	Expire Short	500	7/16/2010	0 00	10/18/2010	902 23	902 23		
PG Apr 17 2010 52 5 Put	Expire Short	500	8/27/2009	0 00	4/19/2010	2,042 19	2,042 19		
PG Apr 17 2010 62 5 Put	Expire Short	1,000	11/25/2009	0 00	4/19/2010	3,239 41	3,239 41		
PG Jan 16 2010 55 0 Put	Expire Short	500	10/26/2009	0 00	1/19/2010	742 23	742 23		
PG Jul 17 2010 67 5 Call	Expire Short	500	2/16/2010	0 00	7/19/2010	467 24	467 24		
PG Jul 17 2010 70 0 Call	Expire Short	1,000	12/21/2009	0 00	7/19/2010	989 47	989 47		
PG Oct 16 2010 60 0 Put	Expire Short	1,000	4/29/2010	0 00	10/18/2010	2,639 45	2,639 45		
PG Oct 16 2010 62 5 Put	Expire Short	500	2/26/2010	0 00	10/18/2010	1,917 22	1,917 22		
PG Oct 16 2010 67 5 Call	Expire Short	500	5/28/2010	0 00	10/18/2010	357 24	357 24		
PG Sep 18 2010 62 5 Call	Expire Short	500	7/20/2010	0 00	9/20/2010	567 24	567 24		
PXY Apr 17 2010 65 0 Call	Expire Short	1,000	11/16/2009	0 00	4/19/2010	1,839 45	1,839 45		
SMITH INTERNATIONAL INC (SII)	**Assign Call**	**100.**	**4/6/2009**	**2,433 05**	**1/15/2010**	**2,996 91**	**563.86**		1
SMITH INTERNATIONAL INC (SII)	**Assign Call**	**500.**	**7/16/2009**	**13,292 77**	**1/15/2010**	**13,492 88**	**200 11**		1
SMITH INTERNATIONAL INC (SII)	Assign Call	500	7/16/2009		1/15/2010	1,491 66	1,491 66		
JM SMUCKER CO (SJM)	**Assign Call**	**500**	**12/31/2008**	**20,013 17**	**4/16/2010**	**29,989 49**		9,976 32	1
JM SMUCKER CO (SJM)	Assign Call	500	12/31/2008		4/16/2010	1,442 21		1,442 21	
SJM Apr 17 2010 60 0 Put	Expire Short	500	12/14/2009	0 00	4/19/2010	1,392 21	1,392 21		
SJM Jan 16 2010 40 0 Put	Expire Short	1,000	6/16/2009	0 00	1/19/2010	2,489 43	2,489 43		
SJM Jan 22 2011 65 0 Call	Buy to Close	500	6/15/2010	642 75	12/21/2010	567 24	(75 51)		
SJM Jul 17 2010 65 0 Call	Expire Short	500	2/8/2010	0 00	7/19/2010	667 24	667 24		
SJM Jul 17 2010 60 0 Put	Expire Short	500	12/4/2009	0 00	7/19/2010	2,492 18	2,492 18		
SJM Oct 16 2010 55 0 Put	Expire Short	500	2/22/2010	0 00	10/18/2010	1,142 23	1,142 23		
SJM Oct 16 2010 60 0 Put	Expire Short	500	4/29/2010	0 00	10/18/2010	1,492 22	1,492 22		
SJM Oct 16 2010 65 0 Call	Expire Short	500	5/13/2010	0 00	10/18/2010	492 24	492 24		
SLB Aug 21 2010 55 0 Put	Expire Short	1,000	2/22/2010	0 00	8/23/2010	2,949 46	2,949 46		
SLB Nov 20 2010 47 5 Put	Buy to Close	1,000	6/15/2010	220 50	10/8/2010	2,149 46	1,928 96		
SLB Nov 20 2010 42 5 Put	Expire Short	500	6/3/2010	0 00	11/22/2010	1,137 23	1,137 23		
UNITED PARCEL SERVICE INC C (UPS)	**Assign Call**	**500.**	**7/16/2010**	**26,942 83**	**8/11/2010**	**31,239.47**	**4,296.64**		1
UNITED PARCEL SERVICE INC C (UPS)	Assign Call	500	7/16/2010		8/11/2010	447 24	447 24		
UNITED PARCEL SERVICE INC C (UPS)	**Assign Call**	**500.**	**10/15/2010**	**32,292 80**	**10/15/2010**	**33,739 42**	**1,446.62**		1
UNITED PARCEL SERVICE INC C (UPS)	Assign Call	500	10/15/2010		10/15/2010	1,597 22	1,597 22		
UPS Apr 17 2010 50 0 Put	Buy to Close	500	10/28/2009	37 75	3/12/2010	1,242 21	1,204 46		
UPS Apr 17 2010 55 0 Put	Buy to Close	500	10/28/2009	72 75	3/12/2010	2,242 19	2,169 44		
UPS Jan 16 2010 45 0 Put	Expire Short	1,000	6/8/2009	0 00	1/19/2010	3,439 41	3,439 41		
UPS Jan 22 2011 75 0 Call	Buy to Close	500	6/15/2010	157 75	11/15/2010	419 11	261 36		
UPS Jan 22 2011 75 0 Call	Buy to Close	300	6/15/2010	51 65	11/23/2010	251 47	199 82		
UPS Jul 17 2010 65 0 Call	Buy to Close	500	2/16/2010	1,782 75	4/27/2010	317 24	(1,465 51)		
UPS Jul 17 2010 70 0 Put	Buy to Close	500	5/4/2010	3,897 75	5/21/2010	1,917 21	(1,980 54)		
UPS Oct 16 2010 62 5 Put	Expire Short	500	3/19/2010	0 00	10/18/2010	1,767 22	1,767 22		
UPS Oct 16 2010 52 5 Put	Buy to Close	500	2/22/2010	457 75	3/30/2010	1,217 23	759 48		
UPS Oct 16 2010 67 5 Put	Expire Short	500	4/27/2010	0 00	10/18/2010	2,292 21	2,292 21		
UPS Oct 16 2010 67 5 Put	Expire Short	500	5/21/2010	0 00	10/18/2010	3,927 18	3,927 18		
UTX May 22 2010 60 0 Put	Buy to Close	500	12/31/2009	97 75	3/30/2010	667 23	569 48		
WAG Apr 17 2010 35 0 Put	Buy to Close	1,000	12/2/2009	110 50	3/30/2010	1,589 45	1,478 95		
WAG Apr 17 2010 32 0 Put	Expire Short	1,000	9/15/2009	0 00	4/19/2010	2,039 44	2,039 44		
WAG Jul 17 2010 37 0 Call	Expire Short	1,000	2/8/2010	0 00	7/19/2010	989 48	989 48		
WAG Oct 16 2010 33 0 Call	Buy to Close	1,000	7/8/2010	1,010 50	10/8/2010	269 49	(741 01)		
WAJ Apr 17 2010 43 0 Call	Expire Short	1,000	12/11/2009	0 00	4/19/2010	739 48	739 48		
WMT Dec 18 2010 45 0 Put	Expire Short	1,000	6/25/2010	0 00	12/20/2010	1,489 47	1,489 47		
WMT Mar 20 2010 45 0 Put	Buy to Close	1,000	7/30/2009	90 50	1/14/2010	2,239 44	2,148 94		
XOM Apr 17 2010 70 0 Call	Buy to Close	500	2/8/2010	72 75	3/30/2010	382 24	309 49		
XOM Jan 16 2010 65 0 Put	Expire Short	500	7/30/2009	0 00	1/19/2010	1,642 20	1,642 20		

Description	Trans type	Qty	Open date	Adj cost	Close date	Adj proceeds	Adj ST gain($)	Adj LT gain($)
XOM May 22 2010 70 0 Call	Expire Short	500	4/15/2010	0 00	5/24/2010	392 24	392 24	
XOM Oct 16 2010 70 0 Call	Buy to Close	500	5/13/2010	32 75	9/9/2010	767 23	734 48	
Total				**1,528,699.89**		**1,892,374.58**	**320,804.50**	**42,870.19**

1099-B Proceeds	Sum 1's =	1,067,692 69
Per 1099-B		1,110,181 97
Difference		42,489 28 **

**Difference is attributable to a short sale reported on the 1099-B for Goodrich Corp Com Per review of the TD Ameritrade gains and losses for 2011, this sale closed on 2/18/11 and therefore will be reported on the 2011 gain/loss report provided by TD Ameritrade

Form **8868**

(Rev January 2011)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file).* You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization THE DON & JEAN WAGNER CHARITABLE FOUNDATION	**Employer identification number** 26-6125236
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 1050 W 54TH STREET	
	City, town or post office, state, and ZIP code For a foreign address, see instructions KANSAS CITY, MO 64112	

Enter the Return code for the return that this application is for (file a separate application for each return) [0 4]

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ BRANDI HENDRIX

Telephone No ▶ 816 305-7872 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 11, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ [X] calendar year 20 10 or

▶ [ ] tax year beginning ______, 20___, and ending ______, 20___

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	**3a**	$ 11,000.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$ 0.
c	**Balance Due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3c**	$ 11,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Paperwork Reduction Act Notice, see Instructions. Form **8868** (Rev 1-2011)

JSA
0F8054 4 000

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Type or print	Name of exempt organization	Employer identification number
	THE DON & JEAN WAGNER CHARITABLE FOUNDATION	26-6125236
File by the extended due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 1050 W 54TH STREET	
	City, town or post office, state, and ZIP code For a foreign address, see instructions KANSAS CITY, MO 64112	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ BRANDI HENDRIX

Telephone No ▶ 816 305-7872 FAX No ▶

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15, 20 11

5 For calendar year 2010, or other tax year beginning ______, 20 ___, and ending ______, 20 ___

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ADDITIONAL TIME IS NEEDED IN ORDER TO GATHER THE INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 11,000.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 11,000.
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Jodi K Robinson Title ▶ Date ▶ 8/15/11

JSA

0F8055 3 000