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OMB No 1545-0052

2010

# Form 990-PF

Department of the Treasury

### Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust

**Treated as a Private Foundation** Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements Internal Revenue Service For calendar year 2010, or tax year beginning 01-01-2010 , and ending 12-31-2010 G Check all that apply Initial return Initial return of a former public charity Final return Amended return Name change Address change A Employer identification number Name of foundation John C Bock Foundation 26-6014448 B Telephone number (see page 10 of the instructions) Number and street (or P O box number if mail is not delivered to street address)

411 E Wisconsin Avenue 700 (414) 287-1235 City or town, state, and ZIP code **C** If exemption application is pending, check here Milwaukee, WI 53202 D 1. Foreign organizations, check here Section 501(c)(3) exempt private foundation **H** Check type of organization check here and attach computation Section 4947(a)(1) nonexempt charitable trust  $\Gamma$  Other taxable private foundation E If private foundation status was terminated F Cash F Accrual **J** Accounting method I Fair market value of all assets at end under section 507(b)(1)(A), check here

2. Foreign organizations meeting the 85% test, of year (from Part II, col. (c), Other (specify) F If the foundation is in a 60-month termination *line 16)*►\$ 6,061,900 (Part I, column (d) must be on cash basis.) under section 507(b)(1)(B), check here Part I Analysis of Revenue and Expenses (The (d) Disbursements (a) Revenue and (b) Net investment (c) Adjusted net for charitable total of amounts in columns (b), (c), and (d) may not expenses per necessarily equal the amounts in column (a) (see page 11 of income income purposes books (cash basis only) the instructions)) 1 Contributions, gifts, grants, etc , received (attach schedule) Check F if the foundation is **not** required to attach Sch. B 3 Interest on savings and temporary cash investments Dividends and interest from securities. . 138,709 138,709 Gross rents . . . . 5a Net rental income or (loss) \_ 卿 189,042 Net gain or (loss) from sale of assets not on line 10 6a Revenue Gross sales price for all assets on line 6a Capital gain net income (from Part IV, line 2) . 189,042 Net short-term capital gain . . Income modifications . . . 10a Gross sales less returns and allowances Less Cost of goods sold . . . ь Gross profit or (loss) (attach schedule) c 95 66,465 15,679 Other income (attach schedule) 11 394.219 343,433 Total. Add lines 1 through 11 12 13 Compensation of officers, directors, trustees, etc 61,250 6.125 55,125 14 Other employee salaries and wages Operating and Administrative Expenses 15 Pension plans, employee benefits . 37,008 3,701 33,307 Legal fees (attach schedule). . 16a Accounting fees (attach schedule). 夗 36,463 36,463 Other professional fees (attach schedule) **17** 蚎 3.814 753 18 Taxes (attach schedule) (see page 14 of the instructions) Depreciation (attach schedule) and depletion 20 Occupancy . . . . . . 21 Travel, conferences, and meetings. Printing and publications . . 22 2,997 284 2,553 Other expenses (attach schedule) . 夗 23 24 Total operating and administrative expenses. 90,985 Add lines 13 through 23 . . . . 141.532 47.326 176,824 176.824 25 Contributions, gifts, grants paid. . . . . 47,326 267,809 318,356 Total expenses and disbursements. Add lines 24 and 25 Subtract line 26 from line 12 27 Excess of revenue over expenses and disbursements 75,863

Net investment income (if negative, enter -0-)

Adjusted net income (if negative, enter -0-)

296,107

Cat No 11289X

Рa	rt II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year			nd of y		
			(a) Book Value 1,475	(b) Book		241	(c) Fair N	Market Value
	1	Cash—non-interest-bearing	·			_		3,241
	2	Savings and temporary cash investments	112,799		02,0	669		82,669
	3	Accounts receivable  Less allowance for doubtful accounts						
						+		
	4	Pledges receivable Less allowance for doubtful accounts						
	_					+		
	5 6	Receivable				+		
	6	disqualified persons (attach schedule) (see page 15 of the						
		instructions)						
	7	Other notes and loans receivable (attach schedule)				+		
	•	290,090						
ا ي		Less allowance for doubtful accounts 🟲	566,367	<b>%</b>	290,0	)90		290,090
Assets	8	Inventories for sale or use				$\bot$		
Æ	9	Prepaid expenses and deferred charges				$\perp$		
	10a	Investments—U S and state government obligations (attach schedule)		<b>19</b>	-	425		4,352
	_	Investments—corporate stock (attach schedule)	3,252,267	_	3,287,	589		3,825,705
	c	Investments—corporate bonds (attach schedule)	458,512	<b>%</b>	510,8	329		541,598
	11	Investments—land, buildings, and equipment basis 🕨						
		Less accumulated depreciation (attach schedule)				$\perp$		
	12	Investments—mortgage loans				$\bot$		
		Investments—other (attach schedule)	931,261	<b>%</b> ⊍	1,217,	116		1,310,351
	14	Land, buildings, and equipment basis						
		Less accumulated depreciation (attach schedule)	AET	AST .				
	15	Other assets (describe)	3,350	<b>195</b> J	3,8	894	<u> </u>	3,894
	16	Total assets (to be completed by all filers—see the						
		Instructions Also, see page 1, item I)	5,326,031		5,401,2	253		6,061,900
	17	Accounts payable and accrued expenses				_		
	18	Grants payable				_		
Ě	19	Deferred revenue				_		
Liabilit	20	Loans from officers, directors, trustees, and other disqualified persons  Mortgages and other notes payable (attach schedule)				_		
ස 	21					$\dashv$		
	22	Other liabilities (describe 🟲)				$\dashv$		
	23	Total liabilities (add lines 17 through 22)				0		
		Foundations that follow SFAS 117, check here						
		and complete lines 24 through 26 and lines 30 and 31.						
8	24	Unrestricted						
ä	25	Temporarily restricted						
Fund Balance	26	Permanently restricted						
딛		Foundations that do not follow SFAS 117, check here 🕨 🔽						
哥		and complete lines 27 through 31.						
5	27	Capital stock, trust principal, or current funds	5,326,031		5,401,	253		
	28	Paid-in or capital surplus, or land, bldg , and equipment fund						
Assets	29	Retained earnings, accumulated income, endowment, or other funds						
	30	Total net assets or fund balances (see page 17 of the						
₹		ınstructions)	5,326,031		5,401,	253		
	31	Total liabilities and net assets/fund balances (see page 17 of						
		the instructions)	5,326,031		5,401,	253		
Pa	rt II	Analysis of Changes in Net Assets or Fund Balances						
1		Total net assets or fund balances at beginning of year—Part II, column	(a), line 30 (must a	gree				
		with end-of-year figure reported on prior year's return)			1			5,326,031
2		Enter amount from Part I, line 27a			2			75,863
3		Other increases not included in line 2 (itemize)			3			
4		Add lines 1, 2, and 3			4			5,401,894
5		Decreases not included in line 2 (itemize) 🟲			5			641
6		Total net assets or fund balances at end of year (line 4 minus line 5)—l	Part II, column (b), l	ine 30	6			5,401,253

·	e kınd(s) of property sold (e g , re se, or common stock, 200 shs M		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	( <b>d)</b> Date sold (mo , day, yr )
1a Publically traded securi	tes				
<b>b</b> Capital gain distribution	1				
<b>c</b> Stock litigation settleme	ents				
d					
e					
(e) Gross sales price	<b>(f)</b> Depreciation allowe (or allowable)	1 10	t or other basıs xpense of sale		or (loss) ) mınus (g)
<b>a</b> 2,066,	,903		1,879,70	3	187,200
b	713				713
c 1,	,129				1,129
d					
е					
Complete only for assets sho	owing gain in column (h) and owne	·			(h) gain minus
(i) F M V as of 12/31/69	(j) Adjusted basis		cess of col (ı)		less than -0-) <b>or</b> om col (h))
	as of 12/31/69	over	col (j), if any	203303 (11	187,200
b					713
					1,129
d					1,123
e		Tf	D 1 1 7 1	<del> </del>	
2 Capital gain net income	or (net capital loss)	If gain, also enter If (loss), enter -0-			
- Not alread to an accordant	l		J	2	189,042
	jain or (loss) as defined in sectio			,	
If gain, also enter in Par If (loss), enter -0- in Pa	t I, line 8, column (c) (see pages rt I, line 8		instructions)	}	
2. (	,				
	der Section 4940(e) for R	leduced Tax or			
For optional use by domestic prives f section 4940(d)(2) applies, lead was the foundation liable for the some for the some for the some foundation does not question does not	vate foundations subject to the se ve this part blank ection 4942 tax on the distributa jualify under section 4940(e) Do	ection 4940(a) tax able amount of any o not complete this	on net investment year in the base p part	ent Income Income ) eriod?	「Yes <b>ド</b> No
For optional use by domestic prive free free free free free free free fr	vate foundations subject to the se ve this part blank ection 4942 tax on the distribute	ection 4940(a) tax able amount of any o not complete this	on net investment year in the base p part	ent Income Income ) eriod?	Γ Yes <b>Γ</b> Νο
For optional use by domestic prive f section 4940(d)(2) applies, lead Nas the foundation liable for the sof "Yes," the foundation does not quality and the second section (a)  Base period years Calendar	vate foundations subject to the se ve this part blank ection 4942 tax on the distributa jualify under section 4940(e) Do	ection 4940(a) tax able amount of any o not complete this	on net investment year in the base p part nstructions before	ent Income Income eriod? making any entries (d) Distributio	n ratio
For optional use by domestic priv f section 4940(d)(2) applies, lead Was the foundation liable for the s f "Yes," the foundation does not q 1 Enter the appropriate amount (a)	vate foundations subject to the serve this part blank ection 4942 tax on the distribute jualify under section 4940(e) Doc tin each column for each year, se	able amount of any not complete this	on net investment year in the base p part nstructions before	ent Income Income eriod? making any entries (d)	n ratio
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For optional use by domestic prive f section 4940(d)(2) applies, lead Was the foundation liable for the section for the section of the sectio	vate foundations subject to the serve this part blank ection 4942 tax on the distribute qualify under section 4940(e) Doctor in each column for each year, section 4940(e) Adjusted qualifying distributions	able amount of any not complete this	year in the base p part nstructions before	ent Income Income eriod? making any entries (d) Distributio	n ratio by col (c)) 000 048733
For optional use by domestic prive f section 4940(d)(2) applies, lead Vas the foundation liable for the section for the section of the sectio	ve this part blank ection 4942 tax on the distribute jualify under section 4940(e) Do t in each column for each year, so  (b) Adjusted qualifying distributions  235,669  358,125  199,000  333,662	able amount of any not complete this	year in the base p part nstructions before intable-use assets 4,835,930 5,488,124 6,155,585 5,727,495	ent Income Income eriod? making any entries (d) Distributio	n ratio by col (c)) 000 048733 000 065255 000 032328 000 058256
For optional use by domestic prive frequency f	ve this part blank ection 4942 tax on the distributa qualify under section 4940(e) Do tin each column for each year, se  (b) Adjusted qualifying distributions  235,669  358,125 199,000	able amount of any not complete this	year in the base p part nstructions before intable-use assets 4,835,930 5,488,124 6,155,585	ent Income Income eriod? making any entries (d) Distributio	n ratio by col (c)) 000 048733 000 065255 000 032328
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For optional use by domestic prive frequency f	ve this part blank ection 4942 tax on the distribute jualify under section 4940(e) Do t in each column for each year, so  (b) Adjusted qualifying distributions  235,669  358,125  199,000  333,662	able amount of any onot complete this ee page 18 of the i	year in the base p part nstructions before intable-use assets 4,835,930 5,488,124 6,155,585 5,727,495	ent Income Income eriod? making any entries (d) Distributio	n ratio by col (c)) 000 048733 000 065255 000 032328 000 058256 000 041657
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For optional use by domestic prive frequency f	rate foundations subject to the serve this part blank ection 4942 tax on the distribute qualify under section 4940(e). Do to in each column for each year, sec.  (b) Adjusted qualifying distributions  235,669  358,125  199,000  333,662  242,170  d)	able amount of any o not complete this ee page 18 of the i	year in the base p part nstructions before  4,835,930 5,488,124 6,155,585 5,727,495 5,813,495	ent Income Income Income)  eriod?  making any entries  (d) Distribution (col (b) divided	n ratio by col (c)) 000 048733 000 065255 000 032328 000 058256 000 041657
For optional use by domestic prive frequency f	rate foundations subject to the serve this part blank ection 4942 tax on the distribute jualify under section 4940(e). Do tin each column for each year, serve (b) Adjusted qualifying distributions  235,669 358,125 199,000 333,662 242,170  d)	able amount of any o not complete this ee page 18 of the i	year in the base p part nstructions before  4,835,930 5,488,124 6,155,585 5,727,495 5,813,495	ent Income Income Income  eriod?  making any entries  (d) Distribution (col (b) divided	n ratio by col (c)) 000 048733 000 065255 000 032328 000 058256 000 041657 000 246229 000 049246 5,462,494
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For optional use by domestic prive f section 4940(d)(2) applies, lead Was the foundation liable for the set in Yes," the foundation does not quality and the foundation does n	rate foundations subject to the serve this part blank ection 4942 tax on the distribute jualify under section 4940(e). Do to in each column for each year, serve (b) Adjusted qualifying distributions  235,669 358,125 199,000 333,662 242,170  d)	ection 4940(a) tax  able amount of any o not complete this ee page 18 of the i  Net value of noncha  vide the total on lire if less than 5 year	year in the base p part  nstructions before  1. A	ent Income Income Income)  eriod?  making any entries  (d) Distribution (col (b) divided)	n ratio by col (c)) 000 048733 000 065255 000 032328 000 058256
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1a	Exempt operating foundations described in section 4940(d)(2), check here T and enter "N/A" on line 1				
	Date of ruling or determination letter (attach copy of letter if necessary-see				
L	instructions)  Domestic foundations that meet the section 4940(e) requirements in Part V, check				F 022
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check  here Fand enter 1% of Part I, line 27b				5,922
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				
3	Add lines 1 and 2				5,922
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				- ,
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0				5,922
6	C redits/P ay ments				•
а	2010 estimated tax payments and 2009 overpayment credited to 2010   6a   533				
ь	Exempt foreign organizations—tax withheld at source 6b				
c	Tax paid with application for extension of time to file (Form 8868)				
d	Backup withholding erroneously withheld 6d				
7	Total credits and payments Add lines 6a through 6d				533
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here if Form 2220 is attached.				
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9				5,389
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid • 10				
11	Enter the amount of line 10 to be Credited to 2011 estimated tax Ref unded 11				
Par	t VII-A Statements Regarding Activities				
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did			Yes	No
	it participate or intervene in any political campaign?		1a		No
Ь	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of				
	the instructions for definition)?	- 1	1b		No
	If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials				
_	published or distributed by the foundation in connection with the activities.		1.		Na.
_	Did the foundation file Form 1120-POL for this year?	- 1	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  (1) On the foundation   (2) On foundation managers   \$\begin{align*} \\$ \\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \				
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed				
	on foundation managers > \$				
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	. ]	2		No
	If "Yes," attach a detailed description of the activities.	1			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles				
	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	.	4a		No
ь	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		No
	If "Yes," attach the statement required by General Instruction T.				
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
	By language in the governing instrument, or				
	By state legislation that effectively amends the governing instrument so that no mandatory directions				
	that conflict with the state law remain in the governing instrument?		6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the				
L	Instructions) WI	-			
Ь	•		ρL	Yes	
9	General (or designate) of each state as required by General Instruction G? If "No," attach explanation.  Is the foundation claiming status as a private operating foundation within the meaning of section 4942(1)(3)		8b	162	
9	or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on				
	page 27)? If "Yes," complete Part XIV		9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	]	10		No

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		No
4.7			-	
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12	.,	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
	Website address <b>▶</b> www bockfoundation org			
14	The books are in care of ▶von Briesen & Roper sc Telephone no ▶(414)	287-	1278	
	Located at ►411 E Wisconsin Avenue Ste 700 Milwaukee WI ZIP+4 ►53202			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here			▶┌
	and enter the amount of tax-exempt interest received or accrued during the year			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over			
	a bank, securities, or other financial account in a foreign country?	16		No
				110
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country			
Pai	t VIII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1 -	During the year did the foundation (either directly or indirectly)		1.00	
La	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes V No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?			
	(6) A gree to pay money or property to a government official? (Exception. Check "No"			
	if the foundation agreed to make a grant to or to employ the official for a period			
_	after termination of government service, if terminating within 90 days ) Yes V No			
Ь	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations			
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here			
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts,			
	that were not corrected before the first day of the tax year beginning in 2010?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private			
	operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
а	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d			
	and 6e, Part XIII) for tax year(s) beginning before 2010?			
	If "Yes," list the years 🕨 20, 20, 20			
Ь	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2)			
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2)			
	to <b>all</b> years listed, answer "No" and attach statement—see page 20 of the instructions )	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
	▶ 20, 20, 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business			
	enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation			
	or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved			
	by the Commissioner under section $4943(c)(7)$ ) to dispose of holdings acquired by gift or bequest, or (3)			
	the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine			
	ıf the foundation had excess business holdings in 2010.).	3b		L_
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			
	charitable numbers that had not been removed from joonardy before the first day of the tay year beginning in 20102	146		l No

Pa	rt VII-B	Statements Rega	rdin	a Activities for	· Wh	ich Form 4720	Max	Be Required (cont	inue	<u>d)</u>	
5a		e year did the foundation						201104411104 (00111		Ĩ	
		on propaganda, or other				islation (section 49	45(e	))?	- No		
	• • •	nce the outcome of any		·	_	•					
		rectly or indirectly, any							- No		
	•			•			•	Yes			
	• •	de a grant to an individua		, , , ,				·	NO		
		de a grant to an organiza				· -			<del>.</del>		
							struc	ctions) Tyes	/ No		
		de for any purpose other							_		
								Yes <b>·</b>			
Ь								exceptions described in			
								page 22 of the instruction		5b	
	O rganızat	tions relying on a current	noti	ce regarding disast	eras	ssistance check her	e		_		
c	If the ans	wer is "Yes" to question	5a(4	), does the foundate	ion c	laım exemptıon from	the				
	tax becau	tax because it maintained expenditure responsibility for the grant?									
	If "Yes," a	attach the statement requi	red b	y Regulations sectio	n 53.	4945-5(d).					
6a	Did the fo	undation, during the yea	r, rec	eive any funds, dire	ectly	or indirectly, to pay					
	premiums	on a personal benefit co	ntra	ct?				<b>  Y</b> es	- No		
ь	Did the fo	undation, during the yea	r, pay	y premiums, directl	y or ı	ndirectly, on a perso	onall	benefit contract?		6b	No
		o 6b, file Form 8870.			-						
7a			as th	e foundation a part	v to a	a prohibited tax shel	terti	ransaction? <b>TYes</b>	√ No		
								7ь			
								n Managers, Highly			lovees.
Pa	rt VIII	and Contractors	· • · · ·	iccis, bii ccioi.	٠,	astees, i sanat		ir rianagers, mgm,		Р	10,000,
1	List all off	icers, directors, trustees	, fou	ndation managers a	and t	heir compensation (	see p	page 22 of the instructio	ns).		
			(b)	Title, and average	(4	c) Compensation		(d) Contributions to	(a) F	vnanc	e account,
	<b>(a)</b> Nai	me and address		hours per week	(1	If not paid, enter		mployee benefit plans		•	owances
	A .   .	D-t- T-bl-	ae	voted to position	-	-0-)	and	deferred compensation			
See	Additional	Data Table									
	Compensat	tion of five highest-naid	emn	lovees (ot her t han	t hos	e included on line 1-	-see	page 23 of the instruction	nns).		
_	•	nter "NONE."	٩	, (				p. 30 20 01 1110 11101 1101	,,.		
				<b>(b)</b> Title, and aver	. 2 0 0			(d) Contributions to			
(a)		address of each employ	еe	hours per week	-	(c) Compensation	n	employee benefit	1 ' '		e account,
	paid r	nore than \$50,000		devoted to positi	on			plans and deferred compensation	ot	ner all	owances
								compensation			
	1	£		50.000		1		<u> </u>	<b>†</b>		

Part VIII Information About Officers, Directors, Trusto and Contractors (continued)		
3 Five highest-paid independent contractors for professional services (		, enter "NONE".
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>Total</b> number of others receiving over \$50,000 for professional services.		<b>•</b>
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include released organizations and other beneficiaries served, conferences convened, research papers prod		Expenses
1N/A		C
2		
3		
4		
Part IX-B Summary of Program-Related Investments (	see page 24 of the instructions)	
Describe the two largest program-related investments made by the foundation during		A mount
<b>1</b> N/A		
2		
All other program-related investments See page 24 of the instructions  3	5	
	_	

Form	990-PF (2010)		Page <b>8</b>
Pa	<b>Minimum Investment Return</b> (All domestic foundations must complete this part. For see page 24 of the instructions.)	reign	foundations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc ,		
	purposes		
а	A verage monthly fair market value of securities	1a	5,106,848
b	A verage of monthly cash balances	1b	137,229
C	Fair market value of all other assets (see page 24 of the instructions)	1c	301,602
d	Total (add lines 1a, b, and c)	1d	5,545,679
e	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,545,679
4	Cash deemed held for charitable activities $$ Enter 1 $$ 1/2 $$ % of line 3 (for greater amount, see page 25		
	of the instructions) $^{f z}$	4	83,185
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,462,494
6	Minimum investment return. Enter 5% of line 5	6	273,125
Par	<b>Distributable Amount</b> (see page 25 of the instructions) (Section 4942(j)(3) and (j)(		
	foundations and certain foreign organizations check here 🕨 🚺 and do not complete t		
1	Minimum investment return from Part X, line 6	1	273,125
2a	Tax on investment income for 2010 from Part VI, line 5 2a 5,922		
Ь	Income tax for 2010 (This does not include the tax from Part VI) 2b		
С	Add lines 2a and 2b	2c	5,922
3	Distributable amount before adjustments Subtract line 2c from line 1	3	267,203
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	267,203
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		
	line 1	7	267,203
Par	Qualifying Distributions (see page 25 of the instructions)		
1	A mounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
а	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 🕏	1a	267,809
b	Program-related investments—total from Part IX-B	1b	
2	A mounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc ,		
	purposes	2	
3	A mounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	267,809
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	267,809
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the section 4940(e) reduction of tax in those years	ner the	e foundation qualifies for

Ŀ	Undistributed Income (see page	26 of the instruct	ions)		
		<b>(a)</b> Corpus	(b) Years prior to 2009	<b>(c)</b> 2009	( <b>d</b> ) 2010
1	Distributable amount for 2010 from Part XI, line 7	·			267,203
	Undistributed income, if any, as of the end of 2010				
	Enter amount for 2009 only				
	Total for prior years 20, 20, 20				
	Excess distributions carryover, if any, to 2010				
	From 2005				
	From 2006				
	From 2007				
	From 2008				
	From 2009				
	Total of lines 3a through e	162,031			
	Qualifying distributions for 2010 from Part				
Ī	XII, line 4 🕨 \$				
а	Applied to 2009, but not more than line 2a				
	Applied to undistributed income of prior years				
_	(Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election				
	required—see page 26 of the instructions)				
d	Applied to 2010 distributable amount				105,172
е	Remaining amount distributed out of corpus	162,637			
5	Excess distributions carryover applied to 2010	162,031			162,031
	(If an amount appears ın column (d), the				
	same amount must be shown in column (a).)				
6					
	indicated below:	162 627			
	Corpus Add lines 3f, 4c, and 4e Subtract line 5	162,637			
b	Prior years' undistributed income Subtract line 4b from line 2b				
C	Enter the amount of prior years' undistributed				
	income for which a notice of deficiency has				
	been issued, or on which the section 4942(a)				
اء	tax has been previously assessed				
a	amount—see page 27 of the instructions				
e	Undistributed income for 2009 Subtract line				
_	4a from line 2a Taxable amount—see page 27				
	of the instructions				
f	Undistributed income for 2010 Subtract				
	lines 4d and 5 from line 1 This amount must				0
_	be distributed in 2011				+
/	A mounts treated as distributions out of corpus to satisfy requirements imposed by				
	section 170(b)(1)(F) or 4942(g)(3) (see page 27				
	of the instructions)				
8	Excess distributions carryover from 2005 not				
	applied on line 5 or line 7 (see page 27 of the				
_	instructions)				<del> </del>
9	Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	162,637			
10	Analysis of line 9	,			+
	Excess from 2006				
	Excess from 2007				
	Excess from 2008				
	Excess from 2009				
	Excess from 2010				
			L		l .

P	art XIV Private Operating Four	<b>ndations</b> (see p	page 27 of the in:	structions and Pa	rt VII-A, question	1 9)
1a	If the foundation has received a ruling or d foundation, and the ruling is effective for 2					
ь	Check box to indicate whether the organiz	ation is a private o	operating foundation	n described in sectio	on <b>「</b> 4942(j)(3) o	or <b>–</b> 4942(j)(5)
	Enter the lesser of the adjusted net	Tax year	<u> </u>	Prior 3 years	37( )	
	income from Part I or the minimum	(a) 2010	<b>(b)</b> 2009	(c) 2008	(d) 2007	(e) Total
	Investment return from Part X for each year listed	(-,	(-,	(-,	(,	
ь	85% of line 2a					
c	Qualifying distributions from Part XII,					
	line 4 for each year listed			1		
	Amounts included in line 2c not used directly for active conduct of exempt activities					
е	Qualifying distributions made directly for active conduct of exempt activities					
	Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the					
	alternative test relied upon					
а	"Assets" alternative test—enter					
	(1) Value of all assets					_
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3					
	of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test—enter					
	(1) Total support other than gross					
	investment income (interest,					
	dividends, rents, payments on securities loans (section					
	512(a)(5)), or royalties)					
	(2) Support from general public					
	and 5 or more exempt organizations as provided in					
	section 4942(j)(3)(B)(III)					
	(3) Largest amount of support					
	from an exempt organization  (4) Gross investment income			1		
Pa	rt XV Supplementary Information	n (Complete t	⊥ this part only if	 f the organizati	on had \$5,000 i	or more in
	assets at any time during					
1	Information Regarding Foundation Manage					
а	List any managers of the foundation who have before the close of any tax year (but only i					dation
	belove the close of any tax year (but omy )	rency nave contin	batta more than \$5	,000) (000 00000	30, (d)(L),	
b	List any managers of the foundation who or				lly large portion of t	he
	ownership of a partnership or other entity)	of which the found	iation has a 10% of	r greater interest		
2	Information Regarding Contribution, Gran	t, Gift, Loan, Schol	larship, etc., Progra	ms:		_
	Check here F if the foundation only ma	kes contributions	to preselected char	rıtable organızatıons	and does not acce	pt
	unsolicited requests for funds If the found		, grants, etc (see p	age 28 of the instru	ctions) to individua	ls or organizations
	under other conditions, complete items 2a	, b, c, and d				
а	The name, address, and telephone numbe	r of the person to v	whom applications	should be addressed	I	
_	Sally C Merrell Esq		аррисано ч		•	
	411 E Wisconsin Ave Ste 700 von Bri					
	Mılwaukee, WI 53202 (414) 276-1122					
— ь	The form in which applications should be s	submitted and info	rmation and materia	als they should incl	ude	
	See website located at www bockfoundation			· 		
c	Any submission deadlines					
	May 31st					
d	Any restrictions or limitations on awards, factors	such as by geogra	aphical areas, chari	table fields, kinds o	finstitutions, or oth	er
	See mission statement at the website					

3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Foundation Purpose of grant or show any relationship to status of A mount any foundation manager contribution recipient Name and address (home or business) or substantial contributor a Paid during the year See Additional Data Table 176,824 **b** Approved for future payment

	-PF (2010)					Page <b>12</b>
	I-A Analysis of Income-Produc			T		(e)
	s amounts unless otherwise indicated  m service revenue	(a) Business code	(b) A mount	(c) Exclusion code	(d) A mount	Related or exempt function income (See page 28 of
-		busiliess code	Amount	Exclusion code	Amount	the instructions )
f						
	and contracts from government agencies					
	ership dues and assessments					
	t on savings and temporary cash investments			14	129.700	
	ends and interest from securities			14	138,709	
	ntal income or (loss) from real estate					
	-financed property debt-financed property					
	atal income or (loss) from personal property					
	investment income			14	15,679	
8 Gain or	(loss) from sales of assets other than inventory			18	189,042	
9 Netin	come or (loss) from special events					
<b>10</b> Gross	profit or (loss) from sales of inventory					
<b>11</b> Other	Universal Technologies-dist revenue <b>a</b> on prior year	310000		01	50,000	
	non-dividend distributions			14	783	
<b>c</b> Baird	non-taxable dividends/interest			14	3	
d						
	tal Add columns (b), (d), and (e).				394,219	
	Add line 12, columns (b), (d), and (e).			1	L3	394,219
	worksheet in line 13 instructions on page 2 <b>I-B Relationship of Activities t</b>			Evemnt Durnes	A.C.	
	Explain below how each activity for wh					ntly to
Line No. ▼	the accomplishment of the organizatio page 28 of the instructions )					
N/A	0					
	_					
	+					
	I .					

Form 99	90-PF (2	010)							Рa	ge <b>13</b>
Part	XVII	Information Ro Noncharitable			ransac	tions and Relations	hips With			
1 Did t	he organiz			of the following with any other	er organız	ation described in section			Yes	No
501(	c) of the (	Code (other than section	501(c)(3) organ	nizations) or in section 527, re	lating to p	oolitical organizations?				
<b>a</b> Tra	nsfers fr	om the reporting four	ndation to a n	oncharitable exempt org	janızatıc	n of				
(1)	Cash.							1a(1)		No
(2)	Othera	ssets						1a(2)		No
<b>b</b> 0 th	er trans	actions								
(1)	Sales o	fassets to a noncha	ırıtable exemp	ot organization				1b(1)		No
(2)	Purcha	ses of assets from a	noncharitable	e exempt organization.				1b(2)		No
(3)	Rental	of facilities, equipme	nt, or other a	ssets				1b(3)		No
(4)	Reımbu	rsement arrangemer	nts					1b(4)		No
(5)	Loans	or loan guarantees.						1b(5)		No
(6)	Performa	ance of services or n	nembership o	r fundraising solicitation	ns			1b(6)		No
<b>c</b> Sha	ring of fa	icilities, equipment,	mailing lists,	other assets, or paid em	nployees			1c		No
of th	ne goods	, other assets, or se	rvices given	by the reporting foundat	ion Ifth	olumn <b>(b)</b> should always re foundation received les e goods, other assets, or	s than fair marke	et value		
(a) Line	No	(b) Amount involved	(c) Name of r	oncharitable exempt organiza	ation	(d) Description of transfers,	ransactions, and sha	ring arra	ngemer	nts
			<u> </u>							
			-							
			1							
des	cribed in 'es," cor	•	he Code (othe schedule	ed with, or related to, one or than section 501(c)(3 (b) Type of org	)) or in s		ns · · · · · · · · · · · · · · · · · · ·		es F	No
	l					ncluding accompanying s				
	based			s true, correct, and comp er has any knowledge	plete De	eclaration of preparer (otl	ner than taxpaye	r or fidu	ıcıary)	IS
	<u> </u>	nature of officer or t	rustee			Date	Title			
							1100	T		
<u>ਰ</u>						Date	Check if self-	PTIN		
Sign Here	ر س بـ	Preparer's Jean Signature	ine D Schoen			2011-05-11	employed •			
Š	Preparer's Use Only	Firm's name ▶		von Briesen and Roper	sc		Fırm's EIN ►	<u> </u>		
	<u>ব ঈ</u> \$			411 E Wisconsin Ave	700					
		Firm's address 🕨		Mılwaukee, WI 53202	!		Phone no (414	) 287-	1235	

#### **Additional Data**

**Software ID:** 10000149

**Software Version:** 2010.2.13

**EIN:** 26-6014448

Name: John C Bock Foundation

Part VI Line 7 - Tax Paid Original Return: 533

### Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Luke Seggelink	Trustee	12,250		
c/ovBR 411 E Wisconsin Ave Milwaukee, WI 53202	002 00			
Jeremy C Shea	Trustee	12,250		
One S Pinckney St 600 Madison, WI 53703	001 00			
Albert Goldstein	Trustee	12,250		
c/ovBR 411 E Wisconsin Av Milwaukee, WI 53202	001 00			
Sharon L Bock	Trustee	12,250		
c/ovBR 411 E Wisconsin Av Milwaukee, WI 53202	001 00			
Carl J Bock	Trustee	12,250		
c/ovBR 411 E Wisconsin Av Milwaukee, WI 53202	001 00			

#### Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	A mount
Name and address (home or business)	any foundation manager or substantial contributor	recipient		
<b>a</b> Paid during the year				
Aldo Leopold Nature Center 300 Fermite Drive Monona, WI 53716	None	Public	Charitable	10,000
Baraboo Range Preservation Assn PO Box 205 Baraboo,WI 53913	None	Public	Charitable	35,000
Bayfield Regional Conservancy PO Box 410 Bayfield, WI 54814	None	Public	Charitable	15,000
Door County Land Trust PO Box 65 Sturgeon Bay, WI 54235	None	Public	Charitable	15,000
Environmental Law Policy Center 35 E Wacker Dr Suite 1300 Chicago,IL 60601	None	Public	Charitable	7,500
Friends of Boerner Botanical Garden 9400 Boerner Drive Ste 1 Hales Corners, WI 53130	None	Public	Charitable	5,000
Lake Park Friends Ltd PO Box 71197 Milwaukee, WI 53211	None	Public	Charitable	10,000
Midwest Environmental Advocates 551 W Main Street Ste 200 Madison, WI 53703	None	Public	Charitable	2,500
Northeast WIsconsın Land Trust 14 Trı-Park Way Appleton, WI 54914	None	Public	Charitable	15,000
Northland College 1411 Ellis Avenue Ashland, WI 54806	None	Public	Charitable	25,000
Northwoods Land Trust PO Box 321 Eagle River, WI 54521	None	Public	Charitable	26,824
Wilderness Inquiry 808 14th Avenue SE Minneapolis, MN 55414	None	Public	Charitable	10,000
Total				176,824

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#### **TY 2010 General Explanation Attachment**

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149

ldentifier	Return Reference	Explanation
		Part VII-B, Line 1A4 The Foundation paid the sum of 61,250 in Trustee fees to the Trustees. These fees relate to the management of the investments and grants paid to qualifying charitable organizations. It is believed that the fees were necessary for the exempt purposes of the Foundation and were reasonable in amount for the services rendered. Accordingly, the payment of the fees is an excepted transaction within the meaning of IRC Sec. 4941D2E and Treas. Reg. Sec. 53 4941D-3C.

## **TY 2010 Investments Corporate Bonds Schedule**

Tenneco Packaging Deb 7.95% 12/15/2025

Name: John C Bock Foundation

**EIN:** 26-6014448 **Software ID:** 10000149

Software Version: 2010.2.13		
Name of Bond	End of Year Book Value	End of Year Fair Market Value
Balances beginning of year corp bonds		
Intl Lease Fin 4.95% 02/01/2011	14,908	15,000
Natl City Sr Note 4.00% 02/01/2011	24,140	25,031
Allied Waste North Am Sr 5.75% 02/15/2011	10,013	10,047
Genworth Fin Sr 5.65% 06/15/2012	18,579	20,853
Fed Realty Inv Tr Nt 6.00% 07/15/2012	9,833	10,612
Key Bank Med Term 5.70% 08/15/2012	9,777	10,576
Marshall Ilsley Sub Med Term 5.25% 09/04/2012	24,570	25,763
Amer Internati Grp Glbl Sr Nt 4.25% 05/15/2013	4,131	5,174
Duke Realty Ltd Pship Sr 6.25% 05/15/2013	19,363	21,491
United Dom Rity Tr Med Term 6.05% 06/01/2013	9,487	10,658
Alcoa Nt 6.00% 07/15/2013	19,970	22,008
Dow Chem Co Internotes 5.90% 08/15/2013	10,000	10,020
Dow Chem Co 7.60% 05/15/2014	10,071	11,534
Genworth Fin Nt 5.75% 06/15/2014	14,873	15,548
Citigrp Sub Nt 5.00% 09/15/2014	9,486	10,345
Gen Elec Cap Med Term 4.75% 09/15/2014	19,940	21,385
Constell En Nt 4.55% 06/15/2015	17,846	20,748
Bank America Nt 4.75% 08/01/2015	14,567	15,439
Bank America Nt 5.35% 09/15/2015	10,000	10,269
Prologis Nt 5.63% 11/15/2015	9,408	10,494
Home Depot Sr Nt 5.40% 03/01/2016	14,794	16,809
Horace Mann Educators Corp Sr Nt 6.85% 04/15/2016	14,973	15,969
Goldman Sachs Grp Med Term 6.00% 05/15/2016	10,000	10,444
Marriott Internati Nt H 6.20% 06/15/2016	19,382	21,867
Brandywine Op Pship LP Guar Nt 5.70% 05/01/2017	9,831	9,975
Unitrin Inc Sr Nt 6.00% 05/15/2017	14,910	14,820
HSBC Fin Internts 6.00% 12/15/2017	10,000	10,028
AMB Prop Gtd Nt 4.00% 01/15/2018	12,449	12,342
Amerenen Gen Co Sr Nt 7.00% 04/15/2018	18,833	19,715
Dow Chem Sr Nt 5.70% 05/15/2018	9,807	10,817
Genworth Fin Sr Nt 6.52% 05/22/2018	9,982	10,163
Alcoa Inc Nt 6.75% 07/15/2018	9,550	10,896
Marshall Ilsley Sub Minotes 5.50% 07/15/2018	10,852	10,443
Hartford Fin Serv Sr Nt 6.00% 01/15/2019	9,105	10,422
Gen El Cap Internts 5.50% 07/15/2019	16,241	15,938
Bk of America Internts 5.30% 05/15/2020	9,855	9,495
Gen El Cap Internts 5.00% 10/15/2021	9,977	9,776
Morgan Stan Dean Wittr Discv Med Term 5.00% 06/11/2025	10,190	9,930

9,136

8,754

#### **TY 2010 Investments Corporate Stock Schedule**

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149 Software Version: 2010.2.13

Software Version: 2010.2.13			
Name of Stock	End of Year Book Value	End of Year Fair Market Value	
Balances beginning of year stocks			
1000 shares of Natl City Cap Gtd Tr II Pfd	17,604	24,980	
1000 shares of KeyCorp Cap IX Enh Tr Pfd	15,920	24,000	
1000 shares of Duke Realty Corp Repstg 1/10th Pfd	17,136	22,400	
975 shares of Accenture PLC Ireland	41,015	47,278	
1900 shares of Arrow Elec	48,399	65,075	
2175 shares of Associated Banc Corp	27,502	32,951	
3550 shares of Boston Scientific	70,536	26,873	
3350 shares of Cambrex Corp	47,060	17,320	
3000 shares of Cisco Sys	66,829	60,690	
800 shares of Cytec Indus	29,633	42,448	
4200 shares of General Elec Co	91,001	76,818	
1400 shares of Hanesbrands Inc	35,070	35,560	
2725 shares of Intel Corp	50,839	57,307	
5400 shares of Interpublic Grp	41,025	57,348	
725 shares of Johnson Johnson	44,522	44,841	
3450 shares of Journal Communications	46,032	17,422	
825 shares of Kohls Corp	37,621	44,831	
2200 shares of Kraft Foods A	65,979	69,322	
1400 shares of Ladish Inc	10,500	68,068	
1500 shares of Medtronic	66,487	55,635	
1900 shares of Microsoft Corp	44,435	53,029	
2225 shares of Molex Inc A	39,761	41,986	
1500 shares of Owens Ill Inc	40,950	46,050	
850 shares of Procter Gamble Co	42,142	54,681	
825 shares of Range Resources	30,855	37,109	
3150 shares of Schwab Charles	54,048	53,896	
2075 shares of Sealed Air Corp	52,365	52,809	
550 shares of 3M Co	39,113	47,465	
1241 shares of Time Warner	40,848	39,923	

Name of Stock	End of Year Book Value	End of Year Fair Market Value
600 shares of United Parcel Serv B	38,092	43,548
2200 shares of US Bancorp	49,269	59,334
1900 shares of Wells Fargo Co	49,982	58,881
1233 shares of ABB Ltd	24,854	27,681
350 shares of Abbott Lab	17,493	16,768
247 shares of American Tower A	12,839	12,755
250 shares of Anadarko Petro	8,885	19,040
290 shares of Anheuser Busch	16,732	16,556
380 shares of Autodesk	12,536	14,516
507 shares of Boeing	33,018	33,087
232 shares of Chubb Corp	11,568	13,836
605 shares of Dr Pepper Snapple Grp	22,028	21,272
1619 shares of E M C Corp	23,592	37,075
135 shares of Ensco PLC	5,674	7,206
425 shares of Exelon Corp	17,765	17,697
678 shares of Exxon Mobil	46,799	49,575
1360 shares of Ford Motor Co	14,263	22,834
1897 shares of General Elec	26,531	34,696
99 shares of Google Inc	52,160	58,803
903 shares of Halliburton Co	21,218	36,869
377 shares of Ingersoll Rand PLC	15,769	17,753
545 shares of Invesco Ltd	10,147	13,113
973 shares of JPMorgan Chase	38,705	41,275
305 shares of Johnson Johnson	18,430	18,864
840 shares of Johnson Controls	23,797	32,088
780 shares of Kraft Foods A	23,262	24,578
1673 shares of Lowes Co	36,569	41,959
790 shares of Macys Inc	18,027	19,987
451 shares of Medtronic	16,190	16,728
747 shares of Merck Co	28,095	26,922

Name of Stock	End of Year Book Value	End of Year Fair Market Value
700 shares of Metlife	27,465	31,108
1415 shares of Microsoft	33,071	39,493
214 shares of Monsanto Co	11,467	14,903
885 shares of Morgan Stanley	25,387	24,081
290 shares of Nestle S A	14,084	17,058
536 shares of Nucor	19,477	23,487
1261 shares of Oracle Corp	24,218	39,469
252 shares of Paccar	12,853	14,450
515 shares of Paychex	15,927	15,919
2405 shares of Pfizer	38,072	42,112
927 shares of Qualcomm	34,898	45,877
350 shares of Raytheon Co	16,904	16,219
623 shares of Schlumberger	38,503	52,020
1915 shares of Schwab Charles	30,849	32,766
1280 shares of Southwest Airlines	12,618	16,614
1240 shares of Staples	27,295	28,235
290 shares of Travelers Co	14,925	16,156
561 shares of Target Co	21,662	33,733
511 shares of Toll Brothers	8,613	9,709
573 shares of United Parcel Serv B	29,209	41,588
265 shares of Waste Management	8,651	9,770
715 shares of Western Union	11,590	13,278
705 shares of Abaxıs	18,583	18,929
1220 shares of Allscripts Healthcare Solutions	24,474	23,509
1365 shares of Angiodynamics Inc	27,919	20,980
495 shares of Ansys	21,303	25,775
1180 shares of Beacon Roofing Supply	18,745	21,087
690 shares of Bio Reference Labs	10,329	15,304
395 shares of Cabot Microelectronics	11,635	16,373
226 shares of Capella Education	17,659	15,047

Name of Stock	End of Year Book Value	End of Year Fair Market Value
415 shares of Cass Info Sys	14,890	15,745
2280 shares of Cepheid Inc	21,723	51,870
910 shares of Cheesecake Factory	22,250	27,901
645 shares of Chemed	29,545	40,964
250 shares of Concur Tech	6,522	12,982
427 shares of Constant Contact	9,943	13,233
265 shares of Costar Group	13,727	15,253
820 shares of Dealertrack Holdings	15,270	16,457
1910 shares of Dıgı Internatl	23,771	21,201
425 shares of Dynamex	11,722	10,523
960 shares of Echelon Corp	6,465	9,782
402 shares of Echo Global Logistics	4,216	4,840
461 shares of Enernoc	15,745	11,023
425 shares of Faro Tech	15,043	13,957
555 shares of Forrester Research	14,096	19,586
440 shares of Forward Air	13,367	12,487
1620 shares of Gentex	26,339	47,887
767 shares of Grand Canyon Education	16,901	15,026
920 shares of Guidance Software	8,777	6,615
1760 shares of Innerworkings	18,470	11,528
455 shares of IPC The Hospitalist Co	8,711	17,749
1270 shares of LKQ Corp	14,544	28,854
1250 shares of Landec Corp	13,452	7,475
367 shares of Maximus	10,638	24,068
480 shares of Mednax	16,424	32,299
380 shares of Medtox Scientific	6,499	4,978
555 shares of Mobile Mini	12,375	10,928
715 shares of Napco Security Tech	3,635	1,258
1030 shares of National Instru	27,272	38,769
805 shares of Neogen Corp	6,593	33,029

Name of Stock	Value	Market Value
398 shares of Portfolio Recovery Assoc	16,168	29,930
635 shares of Power Integrations	11,598	25,502
202 shares of Quality Systems	12,035	14,104
1160 shares of Resources Connection	28,768	21,564
845 shares of Ritchie Brothers Auctioneers	17,723	19,477
2278 shares of Rollins	21,975	44,991
1410 shares of Semtech Corp	23,096	31,922
447 shares of Stratasys	6,096	14,590
310 shares of Techne Corp	11,588	20,358
445 shares of Telvent Git S A	14,491	11,757
800 shares of Ultimate Software Grp	17,764	38,904
795 shares of United Natural Foods	20,221	29,161
380 shares of Universal Technical Institute	11,579	8,368
160 shares of Usana Health Sciences	5,701	6,952
675 shares of Verint Systems	22,060	21,398

Name of Stock

End of Year Book | End of Year Fair

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#### **TY 2010 Investments Government Obligations Schedule**

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149

**Software Version:** 2010.2.13

**US Government Securities - End of** 

5,425 **Year Book Value:** 

**US Government Securities - End of** 

**Year Fair Market Value:** 4,352

**State & Local Government** 

Securities - End of Year Book

Value:

**State & Local Government Securities - End of Year Fair** 

**Market Value:** 

#### **TY 2010 Investments - Other Schedule**

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Col Fds Series Master Internal Eq		91,555	88,007
Franklın Global Tr Glbl Real Est Fd		11,198	15,713
Mut Ser Fd Glbl Disc Fd		109,449	118,934
SunAmerica Style Slct Ser Foc Multi Asset		96,506	108,537
Templeton Glbl Op Tr		49,155	44,213
Templeton Glbl Smaller Cos Fd		51,001	65,948
Putnam Dıv Inc SBI A		76,941	74,635
VIctory Port Fd For Inc		11,534	11,545
Oppenhiemer Intl Div Fd A		182,567	200,889
Franklın Inc Fd A		355,589	397,310
DWS Port Fltg Rate Plus		25,093	25,146
Franklın Strategıc Inc Fd A		67,745	71,557
Loomis Sayles Fds II Strategic Inc Fd		89,083	87,917

#### TY 2010 Legal Fees Schedule

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
von Briesen Roper, s c	37,008	3,701		33,307

#### **TY 2010 Other Assets Schedule**

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149

Description	Beginning of Year -	End of Year - Book	End of Year - Fair
	Book Value	Value	Market Value
Receivabledividends reportable current year received next year	3,350	3,894	3,894

DLN: 93491131024061 **TY 2010 Other Decreases Schedule** 

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149

Description	Amount
Adjustment to basis of FHLMC	641

#### **TY 2010 Other Expenses Schedule**

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Delivery charges	10	1		9
Postage	31	3		28
Association of Small Foundationsmembership	495	50		445
ıt Interactive LLCwebsite	420	42		378
Donors Forum of WI2010 and 2011	1,250	125		1,125
Quarry School website design	631	63		568
Mıscellaneous expenses	160			

#### **TY 2010 Other Income Schedule**

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Universal Technologies dist fr prior yr	50,000		
Bairdnon-dividend distributions	783		
Baırdnon-reportable dıv and ınterest	3		
Promissory Note income	15,679	15,679	

DLN: 93491131024061

Note: To capture the full content of this document, please select landscape mode (11"  $\times$  8.5") when printing.

## TY 2010 Other Notes/Loans Receivable Long Schedule

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
Universal Tech of WI Stock Purch (ESOP)		2,352,000	290,090	2002-12	2011-12	Ten equal installments annually	5 0000000000 %		Stock purchase agree	Note plus downpayment of 270,000	290,090

#### **TY 2010 Other Professional Fees Schedule**

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Robert W BairdInvestment management	36,463	36,463		

#### **TY 2010 Taxes Schedule**

Name: John C Bock Foundation

**EIN:** 26-6014448

**Software ID:** 10000149

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes	
State and Fed Taxes net of refund	3,061	0	0	0	
Foreign taxes on dividends	753	753	0	0	