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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning _____, and ending _____

G Check all that apply: Initial return, Initial return of a former public charity, Final return, Amended return, Address change, Name change

Name of foundation RUBY FAMILY FOUNDATION		A Employer identification number 26-1509899
Number and street (or P O box number if mail is not delivered to street address) 11845 WEST OLYMPIC BLVD.	Room/suite 1200	B Telephone number (310) 312-8600
City or town, state, and ZIP code LOS ANGELES, CA 90064		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation, <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust, <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 9,312,345.	J Accounting method: <input checked="" type="checkbox"/> Cash, <input type="checkbox"/> Accrual, <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		361.	361.	361.	STATEMENT 1
4 Dividends and interest from securities		391,236.	391,236.	391,236.	STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		114,093.			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			114,093.		
8 Net short-term capital gain				N/A	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		505,690.	505,690.	391,597.	
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 3		65.	0.	0.	0.
b Accounting fees STMT 4		8,200.	0.	0.	0.
c Other professional fees					
17 Interest					
18 Taxes STMT 5		7,774.	0.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		34,587.	0.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		50,626.	0.	0.	0.
25 Contributions, gifts, grants paid		885,700.			885,700.
26 Total expenses and disbursements. Add lines 24 and 25		936,326.	0.	0.	885,700.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-430,636.			
b Net investment income (if negative, enter -0-)			505,690.		
c Adjusted net income (if negative, enter -0-)				391,597.	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	98.	55,579.	55,579.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other	STMT 8	9,101,057.	8,614,940.	9,256,766.
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)		9,101,155.	8,670,519.	9,312,345.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds		0.	0.
	28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.
	29 Retained earnings, accumulated income, endowment, or other funds		9,101,155.	8,670,519.
30 Total net assets or fund balances		9,101,155.	8,670,519.	
31 Total liabilities and net assets/fund balances		9,101,155.	8,670,519.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,101,155.
2 Enter amount from Part I, line 27a	2	-430,636.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	8,670,519.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,670,519.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE ATTACHED			
b SEE ATTACHED			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			16,338.
b			97,755.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			16,338.
b			97,755.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	114,093.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	16,338.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	875,118.	9,313,656.	.093961
2008	831,623.	9,371,945.	.088735
2007	0.	10,009,710.	.000000
2006			
2005			

2 Total of line 1, column (d)	2	.182696
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.060899
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	9,277,730.
5 Multiply line 4 by line 3	5	565,004.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,057.
7 Add lines 5 and 6	7	570,061.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	885,700.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	5,057.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	5,057.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	5,057.
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	1,800.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	1,800.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	3,257.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
14 The books are in care of KENNETH A RUBY Telephone no (310) 312-8600
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official?
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income?
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870. N/A

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KENNETH A RUBY 11845 WEST OLYMPIC BLVD., SUITE 1200 LOS ANGELES, CA 90064	CO-PRESIDENT 0.00	0.	0.	0.
WENDY RUBY 11845 WEST OLYMPIC BLVD., SUITE 1200 LOS ANGELES, CA 90064	CO-PRESIDENT 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ▶ 0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	9,391,176.
b Average of monthly cash balances	1b	27,839.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	9,419,015.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	9,419,015.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	141,285.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,277,730.
6 Minimum investment return. Enter 5% of line 5	6	463,887.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1 Minimum investment return from Part X, line 6	1	463,887.
2a Tax on investment income for 2010 from Part VI, line 5	2a	5,057.
b Income tax for 2010 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	5,057.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	458,830.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	458,830.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	458,830.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	885,700.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	885,700.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	5,057.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	880,643.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				458,830.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008	262,456.			
e From 2009	412,999.			
f Total of lines 3a through e	675,455.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$	885,700.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				458,830.
e Remaining amount distributed out of corpus	426,870.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	1,102,325.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	1,102,325.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008	262,456.			
d Excess from 2009	412,999.			
e Excess from 2010	426,870.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
3 Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

SEE STATEMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><i>a Paid during the year</i></p> <p style="text-align: center;">SEE STATEMENT 10</p>				
<p>Total</p>			<p>▶ 3a 885,700.</p>	
<p><i>b Approved for future payment</i></p> <p style="text-align: center;">NONE</p>				
<p>Total</p>			<p>▶ 3b 0.</p>	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
GOLDMAN SACHS	361.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	361.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
GOLDMAN SACHS	391,236.	0.	391,236.
TOTAL TO FM 990-PF, PART I, LN 4	391,236.	0.	391,236.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	65.	0.	0.	0.
TO FM 990-PF, PG 1, LN 16A	65.	0.	0.	0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	8,200.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	8,200.	0.	0.	0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX BOARD	10.	0.	0.	0.
INTERNAL REVENUE SERVICE	7,689.	0.	0.	0.
REGISTRY OF CHARITABLE CALIFORNIA TRUSTS	75.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	7,774.	0.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	34,092.	0.	0.	0.
DUES-ASSOC OF SMALL FDNS	495.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	34,587.	0.	0.	0.

FORM 990-PF OTHER FUNDS STATEMENT 7

DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR
ENDOWMENT FUND	9,101,155.	8,670,519.
TOTAL TO FORM 990-PF, PART II, LINE 29	9,101,155.	8,670,519.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
<u>DESCRIPTION</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
GOLDMAN SACHS	COST	8,614,940.	9,256,766.
TOTAL TO FORM 990-PF, PART II, LINE 13		8,614,940.	9,256,766.

FORM 990-PF	PART XV - LINE 1A	STATEMENT	9
	LIST OF FOUNDATION MANAGERS		

NAME OF MANAGER

KENNETH A RUBY
WENDY RUBY

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 10

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ALZHEIMERS ASSOCIATION			5,000.
AMERICAN FRIENDS OF THE ISRAEL MUSEUM			1,000.
AMERICAN JEWISH COMMITTEE			50,000.
AMERICAN JEWISH UNIVERSITY			12,500.
AMERICAN RED CROSS			20,000.
AMERICAN YOUTH SYMPHONY			5,000.
BEIT T'SHUVAH			5,000.
CHILD GUIDANCE			1,000.

<u>RUBY FAMILY FOUNDATION</u>	26-1509899
CITY OF HOPE	5,000.
COLBURN SCHOOL OF MUSIC	1,000.
GUIDING EYES FOR THE BLIND	2,000.
HEBREW UNION COLLEGE	55,400.
HILLEL AT UCLA	5,000.
HOAG HOSPITAL FOUNDATION	50,000.
ISRAEL EMERGENCY ALLIANCE	25,000.
JEWISH FEDERATION OF LOS ANGELES	144,500.
JONSSON CANCER CENTER	50,000.
KUSC	2,500.

<u>RUBY FAMILY FOUNDATION</u>	<u>26-1509899</u>
LOS ANGELES CHILD GUIDANCE CLINIC	2,000.
LOS ANGELES COUNTY MUSEUM OF ART	5,000.
LOS ANGELES MUSEUM OF THE HOLOCAUST	1,000.
LOS ANGELES PHILHARMONIC	73,300.
NATIONAL CENTER FOR JEWISH POLICY STUDIES	10,000.
NEW COMMUNITY JEWISH HIGH SCHOOL	2,000.
SALVATION ARMY	1,000.
SHOAH FOUNDATION	10,000.
SKIRBALL CULTURAL CENTER	200,000.
STEPHEN S WISE TEMPLE	125,000.

RUBY FAMILY FOUNDATION
UCLA DIVISION OF GERIATRICA

26-1509899

2,500.

UNIVERSITY OF PENNSYLVANIA

10,000.

US HOLOCAUST MUSEUM

2,000.

VISTA DEL MAR

1,000.

WORLD UNION FOR PROGRESSIVE
JUDAISM

1,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

885,700.



PRIVATE WEALTH MANAGEMENT

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Statement Group Ruby Family Foundation Wrap Statement C08952316

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked revised during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Base Currency: USD

Private Wealth Management offers three ways of looking at the tax status of your account(s).

Tax Summary. A real-time overview of the tax information you'll find on your 1099s at the end of the year: capital gains and income.

Realized Gains and Losses. See your realized gains and losses, information which, coupled with [Tax Info](#), can help you make better-informed decisions about your investing strategy.

Tax Correspondence. See your actual 1099s from 1995 to present (if applicable).

Select an Account or Group

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2 Choose An Existing View:

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Choose a Date Range

From Date: 01-Jan-2010
 To Date: 31-Dec-2010 go

4. Search By Symbol/CUSIP

Include Options:

Gain Type	Gains	Losses	Total
Short-Term	0.00	0.00	0.00
Long-Term	98,365.91	-610.88	97,755.03
Total Realized Gains/Losses:	98,365.91	-610.88	97,755.03

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
AAPLN	APPLE, INC. CMN	06-Jul-2009	21-Jul-2010	23	5,931.08	3,154.390	2,776.69	380	Long-Term
AAPLN	APPLE, INC. CMN	06-Jul-2009	21-Sep-2010	8	2,273.64	1,097.180	1,176.46	442	Long-Term
BIBLN	BIOGEN IDEC INC. CMN	21-May-2009	21-Sep-2010	12	691.42	605.110	86.31	488	Long-Term
3JTKR0	CISCO SYSTEMS, INC 4.95% 02/15/2019	23-Oct-2009	28-Dec-2010	100,000	108,110.00	104,651.280	3,458.72	431	Long-Term
USCORP	COMCAST CORPORATION 5.45% 11/15/2010	15-Apr-2009	15-Nov-2010	150,000	150,000.00	150,000.000	0.00	579	Long-Term
CYHNL	COMMUNITY HEALTH SYS INC CMN	19-Oct-2009	10-Dec-2010	40	1,471.03	1,465.600	5.43	417	Long-Term
3JXRH6	DISCOVERY COMMUNICATIONS INC 5.625% 08/15/2019 SR LIEN M-W+30 00BP	15-Oct-2009	13-Dec-2010	100,000	108,830.00	102,138.880	6,691.12	424	Long-Term
3JXRH6	DISCOVERY COMMUNICATIONS INC 5.625% 08/15/2019 SR LIEN M-W+30 00BP	15-Oct-2009	28-Dec-2010	150,000	162,570.00	153,198.830	9,371.17	439	Long-Term
DDNL	E. I. DU PONT DE NEMOURS AND C CMN	19-Oct-2009	01-Nov-2010	30	1,406.28	1,038.900	367.38	378	Long-Term
DDNL	E. I. DU PONT DE NEMOURS AND C CMN	19-Oct-2009	29-Dec-2010	70	3,496.80	2,424.100	1,072.70	436	Long-Term
FINL	EATON CORPORATION CMN	19-Oct-2009	19-Nov-2010	40	3,833.82	2,582.400	1,251.42	396	Long-Term
EMNL	EMERSON ELECTRIC CO CMN	02-Oct-2009	13-Oct-2010	150	8,054.61	5,765.000	2,289.61	376	Long-Term
EOGL	EOG RESOURCES INC CMN	21-May-2009	21-Sep-2010	2	177.06	139.860	37.20	488	Long-Term
EOGL	EOG RESOURCES INC CMN	21-May-2009	13-Oct-2010	415	40,979.76	29,021.120	11,958.64	510	Long-Term
FL43F501	FHLHC CA MTN 2 125% 03/16/2011 MS SR LIEN	12-Mar-2009	16-Mar-2010	200,000	200,000.00	200,000.000	0.00	369	Long-Term
FRNL	FRANKLIN RESOURCES INC CMN	21-May-2009	09-Jun-2010	157	14,145.51	9,546.530	4,598.98	384	Long-Term
USAMX	GOLDMAN SACHS ULTRA-SHORT DURATION GOVT FUND CL A	01-Oct-2009	20-Oct-2010	61,088.12	539,411.56	540,022.440	610.88	384	Long-Term
HPXNL	HEWLETT PACKARD CO CMN	21-May-2009	09-Jun-2010	162	12,104.82	8,883.400	3,221.42	384	Long-Term
HPQNL	HEWLETT-PACKARD CO CMN	21-May-2009	15-Jun-2010	28	24,903.80	17,902.420	7,001.38	390	Long-Term



HON ^N	HONEYWELL INTL INC CMN	02-Oct-2009	19-Oct-2010	117	5,427.22	4,179.240	1,247.98	382	Term
64SVJ7	KRAFT FOODS INC 6.25% 06/01/2012 USD	07-Apr-2009	26-Apr-2010	100,000	109,260.00	103,909.900	5,350.10	384	Long-Term
NKE	NIKE CLASS B CMN CLASS B	20-Oct-2009	30-Nov-2010	197	16,927.70	12,838.350	4,089.35	406	Long-Term
PIEN	PATTERSON-UTI ENERGY, INC ORD CMN	19-Oct-2009	04-Nov-2010	145	2,847.08	2,508.500	338.58	381	Long-Term
JJXM65	PRAXAIR, INC 4.5% 08/15/2019 SR LIEN M-W+15 00BP	23-Oct-2009	28-Dec-2010	100,000	103,960.00	102,105.420	1,854.58	431	Long-Term
PX	PRAXAIR, INC CMN SERIES	21-May-2009	21-Sep-2010	167	14,693.37	11,792.250	2,901.12	488	Long-Term
JJS7U0	PROCTER & GAMBLE COMPANY (THE) 4.6% 01/15/2014 SR LIEN M-W+50 00BP	20-Apr-2009	26-Apr-2010	100,000	107,340.00	105,092.440	2,247.56	371	Long-Term
JIS7U0	PROCTER & GAMBLE COMPANY (THE) 4.6% 01/15/2014 SR LIEN M-W+50 00BP	20-Apr-2009	28-Jun-2010	150,000	163,795.64	157,317.260	6,478.38	434	Long-Term
QCOM ^N	QUALCOMM INC CMN	21-May-2009	21-Sep-2010	44	1,910.44	1,827.320	83.12	488	Long-Term
USCORP	SAFWAY INC. 4.950000 08/16/2010 SR LIEN M-W+25 00BP	14-Apr-2009	16-Aug-2010	150,000	150,000.00	150,000.000	0.00	489	Long-Term
TGT	TARGET CORPORATION CMN	21-May-2009	13-Jul-2010	104	5,177.47	4,418.720	758.75	418	Long-Term
TGT	TARGET CORPORATION CMN	21-May-2009	25-Aug-2010	387	19,856.98	16,442.740	3,414.24	461	Long-Term
TGT	TARGET CORPORATION CMN	21-May-2009	21-Sep-2010	4	215.91	169.950	45.96	488	Long-Term
TGT	TARGET CORPORATION CMN	21-May-2009	28-Sep-2010	325	17,643.11	13,808.500	3,834.61	495	Long-Term
TIP20	US11 INFL TX BOND 2.500000% 01/15/2029 JJ ON THE RUN SR LIEN	27-Jan-2009	28-Dec-2010	80,000	90,232.71	83,474.540	6,758.17	700	Long-Term
WCC	WESCO INTERNATIONAL INC CMN	19-Oct-2009	04-Nov-2010	45	2,046.92	1,335.600	711.32	381	Long-Term
WCC	WESCO INTERNATIONAL INC CMN	19-Oct-2009	19-Nov-2010	80	3,799.77	2,374.400	1,425.37	396	Long-Term
WCC	WESCO INTERNATIONAL INC CMN	19-Oct-2009	10-Dec-2010	70	3,539.69	2,077.600	1,462.09	417	Long-Term
TOTAL					2,207,065.20		97,755.03		

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Prepared for Ken Ruby
Wed 11 May 2011 5:52 PM PDT

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Choose a Date Range:

From Date: 01-Jan-2010
 To Date: 31-Dec-2010 go

4. Search By Symbol/CUSIP

Include Options.

Gain Type	Gains	Losses	Total
Short Term	89,235.91	-72,898.23	16,337.68
Long Term	0.00	0.00	0.00
Total Realized Gains/Losses:	89,235.91	-72,898.23	16,337.68

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Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
AFL	AFLAC INCORPORATED CMN	13-Apr-2010	27-Jul-2010	13	663.89	716.900	53.01	105	Short-Term
AFL	AFLAC INCORPORATED CMN	13-Apr-2010	21-Sep-2010	18	962.26	992.630	-30.37	161	Short-Term
AEO	AMERICAN EAGLE OUTFITTERS INC (NEW)	18-Nov-2009	11-May-2010	270	4,365.13	4,115.440	249.69	174	Short-Term
AEO	AMERICAN EAGLE OUTFITTERS INC (NEW)	19-Oct-2009	11-May-2010	255	4,122.62	5,038.800	-916.18	204	Short-Term
AEO	AMERICAN EAGLE OUTFITTERS INC (NEW)	18-Nov-2009	12-May-2010	15	239.66	228.640	11.03	175	Short-Term
AEO	AMERICAN EAGLE OUTFITTERS INC (NEW)	30-Apr-2010	12-May-2010	100	1,597.75	1,669.000	-71.25	12	Short-Term
AAJKY	ANGLO AMERICAN PLC ADR CMN	04-Mar-2010	05-May-2010	54	1,049.02	1,049.550	-0.53	62	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	04-Mar-2010	14-May-2010	49	922.98	952.360	29.38	71	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	04-Mar-2010	17-May-2010	83	1,565.52	1,613.190	-47.67	74	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	04-Mar-2010	24-May-2010	68	1,227.37	1,321.650	94.28	81	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	04-Mar-2010	10-Jun-2010	99	1,885.60	1,924.170	-38.57	98	Short-Term
AAUKY	ANGLO AMERICAN PLC ADR CMN	04-Mar-2010	29-Jun-2010	18	323.31	349.850	-26.54	117	Short-Term
AAJKY	ANGLO AMERICAN PLC ADR CMN	27-Apr-2010	29-Jun-2010	63	1,131.57	1,382.140	-250.57	63	Short-Term
BUD	ANHEUSER-BUSCH INBEV S A. SPONSORED ADR CMN	17-May-2010	06-Aug-2010	94	4,919.34	4,495.420	423.92	81	Short-Term
BUD	ANHEUSER-BUSCH INBEV S A. SPONSORED ADR CMN	17-May-2010	05-Nov-2010	43	2,637.29	2,056.420	580.88	172	Short-Term
64RWRO	ADL TIME WARNER INC 6.875% 05/01/2012 USD	03-Aug-2009	14-Jul-2010	150,000	165,000.00	158,997.940	6,002.06	345	Short-Term
AAPL	APPLE, INC CMN	29-Apr-2010	08-Jul-2010	18	4,570.70	4,824.360	153.66	70	Short-Term
APPL	APPLE, INC CMN	13-Apr-2010	08-Jul-2010	19	4,930.19	4,599.670	330.52	86	Short-Term

<u>AAPL</u> ^N	APPLE, INC CMN	13-Apr-2010	21-Jul-2010	21	5,415.33	5,083.850	331.48	99	Term
<u>MT</u> ^N	ARCELORMITTAL CMN	04-Mar-2010	24-May-2010	86	2,539.31	3,511.380	-972.07	81	Short-Term
<u>I</u> ^N	AT&T INC CMN	03-Feb-2010	12-May-2010	159	4,077.85	4,089.480	-11.63	98	Short-Term
<u>I</u> ^V	AT&T INC CMN	21-May-2009	12-May-2010	332	8,514.76	7,875.040	639.72	356	Short-Term
<u>I</u> ^V	AT&T INC CMN	02-Oct-2009	12-May-2010	222	5,693.61	5,905.200	-211.60	222	Short-Term
<u>T</u> ^N	AT&T INC CMN	29-Apr-2010	12-May-2010	111	2,846.80	2,921.520	-74.72	13	Short-Term
<u>T</u> ^N	AT&T INC CMN	21-May-2009	18-May-2010	737	18,725.48	17,481.640	1,244.84	362	Short-Term
<u>AVT</u> ^V	AVNET INC. CMN	19-Oct-2009	08-Feb-2010	40	1,072.90	1,052.800	20.10	112	Short-Term
<u>AVT</u> ^V	AVNET INC. CMN	19-Oct-2009	09-Feb-2010	100	2,667.93	2,632.000	35.93	113	Short-Term
<u>AVT</u> ^V	AVNET INC CMN	19-Oct-2009	04-Jan-2010	35	1,063.00	921.200	141.80	77	Short-Term
<u>AVT</u> ^V	AVNET INC CMN	19-Oct-2009	08-Jan-2010	120	3,543.80	3,158.400	385.40	81	Short-Term
<u>AXAHY</u>	AXA-UAP AMERICAN DEPOSITARY SHARES	04-Mar-2010	28-Apr-2010	69	1,293.92	1,424.540	-130.62	55	Short-Term
<u>AXAHY</u>	AXA-UAP AMERICAN DEPOSITARY SHARES	04-Mar-2010	14-May-2010	42	685.71	867.110	-181.40	71	Short-Term
<u>AXAHY</u>	AXA-UAP AMERICAN DEPOSITARY SHARES	04-Mar-2010	17-May-2010	29	467.05	598.720	-131.67	74	Short-Term
<u>AXAHY</u>	AXA UAP AMERICAN DEPOSITARY SHARES	04-Mar-2010	01-Jul-2010	82	1,265.60	1,692.930	-427.33	119	Short-Term
<u>AXAHY</u>	AXA-UAP AMERICAN DEPOSITARY SHARES	04-Mar-2010	19-Nov-2010	53	920.63	1,094.210	-173.58	260	Short-Term
<u>BAESY</u>	BAE SYSTEMS PLC SPONSORED ADR CMN	04-Mar-2010	10-Mar-2010	78	1,749.23	1,813.500	-64.27	6	Short-Term
<u>BAESY</u>	BAE SYSTEMS PLC SPONSORED ADR CMN	04-Mar-2010	22-Mar-2010	75	1,758.62	1,743.750	14.87	18	Short-Term
<u>BAESY</u>	BAE SYSTEMS PLC SPONSORED ADR CMN	04-Mar-2010	24-Mar-2010	95	2,157.74	2,208.750	-51.01	20	Short-Term
<u>BAESY</u>	BAE SYSTEMS PLC SPONSORED ADR CMN	04-Mar-2010	26-Apr-2010	46	1,027.23	1,069.500	-42.27	53	Short-Term
<u>BAESY</u>	BAE SYSTEMS PLC SPONSORED ADR CMN	04-Mar-2010	26-May-2010	141	2,559.03	1,278.250	719.22	83	Short-Term
<u>BAESY</u>	BAE SYSTEMS PLC SPONSORED ADR CMN	04-Mar-2010	27-May-2010	29	536.08	674.250	-138.17	84	Short-Term
<u>BAESY</u>	BAE SYSTEMS PLC SPONSORED ADR CMN	30-Apr-2010	27-May-2010	88	1,626.71	1,865.600	238.89	27	Short-Term
<u>STD</u>	BANCO SANTANDER, S A. SPON ADR	04-Mar-2010	26-Apr-2010	348	4,661.00	4,760.950	-99.95	53	Short-Term
<u>BAC</u> ^N	BANK OF AMERICA CORP CMN	29-Apr-2010	21-Sep-2010	79	1,087.81	1,437.800	-349.99	145	Short-Term
<u>BAC</u> ^N	BANK OF AMERICA CORP CMN	19-Oct-2009	15-Oct-2010	450	5,386.13	7,803.000	-2,416.87	361	Short-Term
<u>BAC</u> ^N	BANK OF AMERICA CORP CMN	30-Apr-2010	15-Oct-2010	145	1,735.53	2,615.800	-880.27	168	Short-Term
<u>BCS</u>	BARCLAYS PLC, AMER DEP SHS ADR CMN	04-Mar-2010	03-Sep-2010	62	1,255.80	1,241.170	14.63	183	Short-Term
<u>BASFY</u>	BASF SE ADR SPONSORED ADR CMN USD0 7322	04-Mar-2010	01-Jul-2010	34	1,860.30	1,925.760	65.46	119	Short-Term
<u>BAX</u> ^N	BAXTER INTERNATIONAL INC CMN	03-Feb-2010	27-Jul-2010	12	538.79	696.200	-157.41	174	Short-Term
<u>BAX</u> ^N	BAXTER INTERNATIONAL INC CMN	03-Feb-2010	21-Sep-2010	55	2,582.20	3,190.920	-608.72	230	Short-Term
<u>BAYRY</u>	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	08-Oct-2010	15-Nov-2010	4	305.32	300.290	5.03	38	Short-Term
<u>BAYRY</u>	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	08-Oct-2010	16-Nov-2010	8	598.80	600.580	-1.78	39	Short-Term
<u>BAYRY</u>	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	11-Oct-2010	17-Nov-2010	2	149.48	149.670	0.19	17	Short-Term
<u>BAYRY</u>	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	08-Oct-2010	17-Nov-2010	16	1,195.84	1,701.160	-5.32	40	Short-Term
<u>BAYRY</u>	BAYER AG-SPONSORED ADR SPONSORED ADR CMN	11-Oct-2010	19-Nov-2010	22	1,736.28	1,646.390	89.89	39	Short-Term
<u>BA</u> ^N	BOEING COMPANY CMN	02-Oct-2009	09-Mar-2010	75	5,099.76	3,840.750	1,259.01	158	Short-Term

<u>BA^N</u>	BOEING COMPANY CMN	03 Feb 2010	09-Mar-2010	81	5,507.74	5,000.790	506.95	34	Short-Term
<u>BA[\]</u>	BOEING COMPANY CMN	29-Apr-2010	21-Sep-2010	20	1,270.57	1,473.600	-203.03	145	Short-Term
<u>BP</u>	BP P L C SPONSORED ADR CMN	10-May-2010	11 Aug-2010	19	734.62	942.890	-208.27	93	Short-Term
<u>BP</u>	BP P L C SPONSORED ADR CMN	10-May-2010	15 Sep-2010	41	1,559.26	2,034.670	-475.41	128	Short-Term
<u>BP</u>	BP P L C SPONSORED ADR CMN	10-May-2010	20-Oct-2010	27	1,114.77	1,339.900	-225.13	163	Short-Term
<u>BP</u>	BP P L C. SPONSORED ADR CMN	10-May-2010	04 Nov-2010	111	4,818.29	5,508.490	-690.20	178	Short-Term
<u>BTI</u>	BRITISH AMERICAN TOBACCO PLC SPONS ADR	04-Mar-2010	10 Mar-2010	22	1,473.87	1,538.660	-64.79	6	Short-Term
<u>BTI</u>	BRITISH AMERICAN TOBACCO PLC SPONS ADR	04-Mar-2010	09-Apr-2010	39	2,669.54	2,727.620	-58.08	36	Short-Term
<u>BTI</u>	BRITISH AMERICAN TOBACCO PLC SPONS ADR	04-Mar-2010	15-Apr-2010	54	3,662.62	3,776.700	-114.08	42	Short-Term
<u>3JDCY9</u>	CAISSE D'AMORTISSEMENT DE LA D 5 375% 07/17/2012 SR LIEN	14-Apr-2009	02-Mar-2010	200,000	218,620.00	213,146.780	5,473.22	322	Short-Term
<u>CX^N</u>	CEMEX, S A B DE C V SPONSORED ADR CMN	04-Mar-2010	01-Jul-2010	97	919.74	924.890	-5.15	119	Short-Term
<u>CX^V</u>	CEMEX, S A B DE C.V. SPONSORED ADR CMN	04 Mar 2010	12-Jul-2010	154	1,476.54	1,468.380	8.16	130	Short-Term
<u>CX[\]</u>	CEMEX, S.A B DE C.V. SPONSORED ADR CMN	04-Mar-2010	28-Jul-2010	160	1,538.29	1,525.590	12.70	146	Short-Term
<u>CX^N</u>	CEMEX, S A B DE C V SPONSORED ADR CMN	04-Mar-2010	11-Aug-2010	103	931.19	982.100	50.91	160	Short-Term
<u>CX^N</u>	CEMEX, S.A B DE C V. SPONSORED ADR CMN	30-Apr 2010	11-Aug 2010	254	2,296.32	2,903.600	-607.28	103	Short-Term
<u>CEDC</u>	CENTRAL EURD DISTRIBUTION CORPORATION	12-Mar-2010	11-Aug-2010	76	1,880.52	2,825.340	-944.82	152	Short-Term
<u>CEDC</u>	CENTRAL EURO DISTRIBUTION CORPORATION	12-Mar-2010	15-Sep-2010	58	1,464.58	2,156.180	691.60	187	Short-Term
<u>CEDC</u>	CENTRAL EURD DISTRIBUTION CORPORATION	16 Apr-2010	15-Sep-2010	26	656.53	1,010.100	-353.56	152	Short-Term
<u>CEDC</u>	CENTRAL EURO DISTRIBUTION CORPORATION	16-Apr-2010	16-Dec-2010	26	601.30	1,010.100	-408.80	244	Short-Term
<u>CEDC</u>	CENTRAL EURO DISTRIBUTION CORPORATION	16-Apr-2010	22 Dec 2010	10	235.10	388.500	-153.40	250	Short-Term
<u>CEDC</u>	CENTRAL EURO DISTRIBUTION CORPORATION	30-Apr 2010	22-Dec-2010	100	2,350.95	3,517.430	-1,166.48	236	Short-Term
<u>SCHW</u>	CHARLES SCHWAB CORPORATION CMN	29 Apr-2010	21-Sep-2010	57	792.28	1,108.080	315.80	145	Short-Term
<u>CSCO^N</u>	CISCO SYSTEMS, INC. CMN	29-Apr 2010	21-Sep-2010	63	1,359.20	1,733.760	-374.56	145	Short-Term
<u>CCU</u>	COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN	04 Mar-2010	29-Mar 2010	100	2,695.59	2,627.800	67.79	25	Short-Term
<u>CMCSA^N</u>	COMCAST CORPORATION CMN CLASS A VOTING	21 May-2009	26-Jan-2010	779	12,209.74	11,254.600	955.13	250	Short-Term
<u>CMCSA^A</u>	COMCAST CORPORATION CMN CLASS A VOTING	02 Oct-2009	26-Jan-2010	412	6,457.52	6,381.880	75.64	116	Short-Term
<u>CMCSA^N</u>	COMCAST CORPORATION CMN CLASS A VOTING	21-May 2009	02-Feb-2010	1,240	19,999.82	17,914.900	2,084.92	257	Short-Term
<u>SBS</u>	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	04-Mar 2010	24-May-2010	27	986.13	946.380	39.75	81	Short-Term
<u>SBS</u>	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	04-Mar-2010	25-May-2010	11	381.22	385.560	-4.34	82	Short-Term
<u>SBS</u>	COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	04-Mar-2010	22-Dec-2010	32	1,667.09	1,121.640	545.46	293	Short-Term
<u>BVN</u>	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN	04 Mar-2010	06-Apr-2010	44	1,475.65	1,441.510	34.14	33	Short-Term
<u>BVN</u>	COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN	04-Mar-2010	22 Dec 2010	42	2,019.86	1,375.980	643.88	293	Short-Term
<u>COST</u>	COSTCO WHOLESALE CORPORATION CMN	29-Apr-2010	21 Sep 2010	26	1,587.53	1,542.840	44.69	145	Short-Term
<u>DDAIF</u>	DAIMLER AG CMN ISIN DE0007100000	04 Mar 2010	01 Jul 2010	63	3,203.47	2,733.200	470.27	119	Short-Term
<u>DDAIF</u>	DAIMLER AG CMN ISIN DE0007100000	04-Mar 2010	12-Jul-2010	38	1,995.81	1,648.600	347.21	130	Short-Term
<u>DDAIF</u>	DAIMLER AG CMN ISIN DE0007100000	04-Mar-2010	10-Sep-2010	28	1,554.87	1,214.750	340.12	190	Short-Term
<u>DBSDY</u>	DBS GROUP HOLDINGS SPONSORED ADR CMN	04-Mar-2010	10-Sep-2010	35	1,471.76	1,414.000	57.76	190	Short-Term



DBSDY	DBS GROUP HOLDINGS SPONSORED ADR CMN	04-Mar-2010	14-Sep-2010	31	1,296.67	1,252.400	44.27	194	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	04-Mar-2010	05-Aug-2010	29	498.79	453.270	45.52	154	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	04-Mar-2010	06-Aug-2010	51	866.35	797.130	69.22	155	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	04-Mar-2010	01-Oct-2010	21	394.54	328.230	66.31	211	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	04-Mar-2010	04-Oct-2010	7	128.02	109.410	18.61	214	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	04-Mar-2010	05-Oct-2010	94	1,771.87	1,469.220	302.65	215	Short-Term
DLAKY	DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN	04-Mar-2010	14-Oct-2010	27	563.62	422.010	141.61	224	Short-Term

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Prepared for Ken Ruby
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