Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation: **Sasser Family Foundation Inc**

Number and street (or P O box number if mail is not delivered to street address): **7221 Broadway Street** Room/suite

City or town, state, and ZIP code: **Galveston TX 77554-8915**

A Employer identification number: **26-1385776**

B Telephone number (see page 10 of the instructions)

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 663,187

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	6,498	6,498		
	4	Dividends and interest from securities	9,341	9,341		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	6,332			
	b	Gross sales price for all assets on line 6a 135,151				
	7	Capital gain net income (from Part IV, line 2)		6,332		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns & allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	22,171	22,171	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 1	920	920		
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions) Stmt 2	295	295		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) Stmt 3	5,292	5,292		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	6,507	6,507	0	0
	25	Contributions, gifts, grants paid	25,000			25,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	31,507	6,507	0	25,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-9,336			
	b	**Net investment income** (if negative, enter -0-)		15,664		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see page 30 of the instructions.

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (att schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) See Stmt 4	439,692	502,443	502,443
	c	Investments—corporate bonds (attach schedule) See Stmt 5	169,737	160,744	160,744
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	609,429	663,187	663,187
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	609,429	663,187	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	609,429	663,187	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	609,429	663,187	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	609,429
2	Enter amount from Part I, line 27a	2	-9,336
3	Other increases not included in line 2 (itemize) ▶ See Statement 6	3	63,094
4	Add lines 1, 2, and 3	4	663,187
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	663,187

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Worksheet			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	6,332
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	1,429

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	26,854	542,218	0.049526
2008	29,050	642,692	0.045201
2007			
2006			
2005			

2 Total of line 1, column (d)	2	0.094727
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.047364
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	603,701
5 Multiply line 4 by line 3	5	28,594
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	157
7 Add lines 5 and 6	7	28,751
8 Enter qualifying distributions from Part XII, line 4	8	25,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	313
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	313
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	313
6	Credits/Payments			
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	313
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ Refunded ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ None			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ Barbara & Dominick Sasser 7221 Broadway Telephone no ▶ 409-744-5442

Located at ▶ Galveston TX ZIP+4 ▶ 77554

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 22 of the instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Dominick Sasser 7221 Broadway	Galveston TX 77554	Director 1.00	0	0	0
Barbara Sasser 7221 Broadway	Galveston TX 77554	Director 1.00	0	0	0
John H. Campbell 2200 Market, Ste 804	Galveston TX 77550	Director 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 — see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	612,894
b	Average of monthly cash balances		1b	0
c	Fair market value of all other assets (see page 25 of the instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	612,894
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	612,894
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)		4	9,193
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	603,701
6	**Minimum investment return.** Enter 5% of line 5		6	30,185

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	30,185
2a	Tax on investment income for 2010 from Part VI, line 5	2a 313		
b	Income tax for 2010 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	313
3	Distributable amount before adjustments Subtract line 2c from line 1		3	29,872
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	29,872
6	Deduction from distributable amount (see page 25 of the instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	29,872

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	25,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	25,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	25,000

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				29,872
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			2,984	
b Total for prior years 20____ , 20____ , 20____				
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 25,000				
a Applied to 2009, but not more than line 2a			2,984	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2010 distributable amount				22,016
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				7,856
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 7				25,000
Total			▶ 3a	25,000
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	6,498	
4 Dividends and interest from securities			14	9,341	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					6,332
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		15,839	6,332

13 **Total.** Add line 12, columns (b), (d), and (e) 13 22,171

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Barbara W. Sasser | 9/10/11 | Director

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
William C. Ansell, CPA	W C Ansell	08/30/11	
Firm's name ▶ DRDA, PLLC		PTIN	P00758148
Firm's address ▶ 1011 Tremont Galveston, TX 77550		Firm's EIN ▶	76-0229852
		Phone no	409-765-9311

DAA

Form **990-PF**

Capital Gains and Losses for Tax on Investment Income

2010

For calendar year 2010, or tax year beginning , and ending

Name	Employer Identification Number
Sasser Family Foundation Inc	26-1385776

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	UBS - See Statement	P	Various	Various
(2)	UBS - See Statement	P	Various	Various
(3)	UBS - See Statement	P	Various	Various
(4)	UBS - See Statement	P	Various	Various
(5)	UBS - See Statement	P	Various	Various
(6)	UBS - See Statement	P	Various	Various
(7)	UBS - See Statement	P	Various	Various
(8)	UBS			
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	2,195		1,978	217
(2)	13,545		17,401	-3,856
(3)	2,712		1,771	941
(4)	22,660		19,563	3,097
(5)	7,981		8,877	-896
(6)	47,443		47,172	271
(7)	38,591		32,057	6,534
(8)	24			24
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				217
(2)				-3,856
(3)				941
(4)				3,097
(5)				-896
(6)				271
(7)				6,534
(8)				24
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Accounting Fees	$ 920	$ 920	$	$
Total	$ 920	$ 920	$ 0	$ 0

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
US Treasury	$ 81	$ 81	$	$
Foreign Taxes Paid	214	214		
Total	$ 295	$ 295	$ 0	$ 0

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
Investment Fees	5,292	5,292		
Total	$ 5,292	$ 5,292	$ 0	$ 0

Federal Statements

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
UBS Financial Services	$ 439,692	$ 502,443	Market	$ 502,443
Total	$ 439,692	$ 502,443		$ 502,443

Statement 5 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
UBS Financial Services	$ 169,737	$ 160,744	Market	$ 160,744
Total	$ 169,737	$ 160,744		$ 160,744

Federal Statements

Statement 6 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
Nondividend Distributions	$ 24
Unrealized Gain on Investments	63,070
Total	$ 63,094

Federal Statements

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name / Address	Address / Relationship	Status	Purpose	Amount
Big Brothers/Big Sisters Galveston TX 77550	1413 Tremont St.		Programs	500
The Children's Center Galveston TX 77553	PO Box 3002		Programs	1,500
Bay Area Council Galveston TX 77551	3020 53rd St.		Programs in Galveston County	500
La Leche League Intl Schaumburg IL 60168	P.O. Box 4079		Purchase of Books	10,000
Galveston Academic Excel Galveston TX 77552	PO Box 3493		Scholarships	500
Children's Advocacy Ctr Galveston TX 77551	5710 Ave S 1/2		Operations	1,000
GISD Educational Found. Galveston TX 77550	3904 Avenue T		Incentive Program for Lower GPAs	2,500
UTMB Galveston TX 77552	301 University Blvd.		Research/Books in Spanish	1,500
KickStart Kids Houston TX 77080	3800 N. Shepard Dr.		Programs	1,000
Galveston Historical Foun Galveston TX 77550	502 20th St.		Bishop's Palace Roof Repair	1,000
KIPP Houston TX 77099	10711 Kipp Way		Operations	2,000
St. Vincent's House Galveston TX 77550	2817 Post Office St.		Day Care Expenses	1,000
Sunshine Center Galveston TX 77550	1726 21st St.		Operations	2,000
Total				25,000

9475 08/10/2011 1 02 PM

Form 8868 (Rev 1-2011) CRRR 7010 1060 0000 4109 5763 Page 2

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return See instructions	Name of exempt organization Sasser Family Foundation Inc	Employer identification number 26-1385776
	Number, street, and room or suite no If a P O box, see instructions 7221 Broadway Street	
	City, town or post office, state, and ZIP code For a foreign address, see instructions Galveston TX 77554-8915	

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ Barbara & Dominick Sasser 7221 Broadway Galveston TX 77554
  Telephone No ▶ 409-744-5442 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15/11

5 For calendar year 2010 , or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
Additional time needed to prepare an accurate and complete return.

RECEIVED
SEP 1 9 2011
OGDEN, UT
IRS-OSC

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 0
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 0
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Sherry J. Meador Title ▶ CPA Date ▶ 08/10/11

Form **8868** (Rev 1-2011)

DAA

Form **8868**
(Rev January 2011)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit www irs gov/efile and click on e-file for Charities & Nonprofits

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension-check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print
File by the due date for filing your return See instructions

Name of exempt organization: Sasser Family Foundation Inc

Employer identification number: 26-1385776

Number, street, and room or suite no If a P O box, see instructions: 7221 Broadway Street

City, town or post office, state, and ZIP code For a foreign address, see instructions: Galveston TX 77554-8915

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ Barbara & Dominick Sasser
  7221 Broadway
  Galveston TX 77554
  Telephone No ▶ 409-744-5442 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15/11 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ [X] calendar year 2010 or
▶ [ ] tax year beginning , and ending

2 If this tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 0
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 0
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

2010 Informational Tax Statement

C32L044764-X

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

his Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

stimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss ecognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those roducts Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

he cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you laced your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services ain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was cquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

ost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

ain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in heir particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible pplication of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 1,978.39	$ 2,194.91	$ 216.52	$	$ 216.52
Long-term Gain/Loss:	17,401.25	13,544.78	555.57	(4,412.04)	(3,856.47)
Sub Total:	**$ 19,379.64**	**$ 15,739.69**	**$ 772.09**	**$ (4,412.04)**	**$ (3,639.95)**
Total:	**$ 19,379.64**	**$ 15,739.69**	**$ 772.09**	**$ (4,412.04)**	**$ (3,639.95)**

Short-Term Gain/Loss

his section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CARDINAL HEALTH INC	Trade	7.000		07/02/09	06/17/10	251.05	151.24	99.81		Y
UNITED PARCEL SERVICE INC CL B	Trade	12.000		02/04/10	07/23/10	763.77	693.15	70.62		Y
WALT DISNEY CO (HOLDING CO) DISNEY COM	Trade	35.000		12/21/09	10/06/10	1,180.09	1,134 00	46.09		Y
Total Short-Term Gain/Loss						**2,194.91**	**1,978.39**	**216.52**		

Account Number UG 09131 88
Your Financial Advisor or Contact
BRACKETT/HIGHTOWER/ERWIN
713-871-0901/800-437-0010

UBS Financial Services Inc.

2010 Informational Tax Statement

C32L044765-X

.ong-Term Gain/Loss

his section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

ecurity Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ERKSHIRE HATHAWAY INC IEW CL B	Trade	7.000		12/11/07	02/09/10	518.02	706.13	(188.11)		Y
	Trade	12.000		12/11/07	04/26/10	953.68	1,210.50	(256.82)		Y
	Sub-Total:	**19.000**				**1,471.70**	**1,916.63**	**(444.93)**		
:ARDINAL HEALTH INC	Trade	10.000		12/11/07	01/13/10	320.06	434.21	(114.15)		Y
	Trade	10.000		12/11/07	06/17/10	358 64	434.21	(75.57) 1		Y
	Sub-Total:	**20.000**				**678.70**	**868.42**	**(189.72)**		
:AREFUSION CORP	Trade	10.000		12/11/07	08/12/10	226.21	332.99	(106.78)		Y
	Trade	3.000		07/02/09	08/12/10	67.86	49.71	18.15		Y
	Sub-Total:	**13.000**				**294.07**	**382.70**	**(88.63)**		
:OMCAST CORP NEW SPECIAL L A	Trade	124.000		12/11/07	02/11/10	1,798.45	2,248 00	(449.55)		Y
ONOCOPHILLIPS	Trade	10.000		12/11/07	01/26/10	501 93	834.60	(332.67)		Y
	Trade	12.000		12/11/07	07/08/10	619.11	1,001.52	(382.41)		Y
	Sub-Total:	**22.000**				**1,121.04**	**1,836.12**	**(715.08)**		
;OOGLE INC CL A	Trade	2.000		10/23/08	01/14/10	1,177.48	700.07	477.41		Y
' & R BLOCK INC	Trade	36.000		12/11/07	02/02/10	779.29	719.28	60.01		Y
PMORGAN CHASE & CO	Trade	15.000		12/11/07	03/10/10	650.72	708.00	(57.28)		Y
	Trade	20.000		12/11/07	05/24/10	791.97	944.00	(152.03)		Y
	Trade	18.000		12/11/07	05/26/10	703.85	849.60	(145.75)		Y
	Trade	14.000		12/11/07	07/27/10	570.52	660.80	(90.28)		Y
	Sub-Total:	**67.000**				**2,717.06**	**3,162.40**	**(445.34)**		
IICROSOFT CORP	Trade	35.000		12/11/07	06/11/10	888.69	1,223.25	(334 56)		Y
EWS CORP INC DELA CL A	Trade	20.000		12/11/07	03/16/10	281.55	420.20	(138.65)		Y
	Trade	123.000		12/11/07	09/21/10	1,699.09	2,584.23	(885.14)		Y
	Sub-Total:	**143.000**				**1,980.64**	**3,004.43**	**(1,023.79)**		
ULCAN MATERIALS CO NEW IOLDING COMPANY)	Trade	15.000		12/11/07	12/02/10	637.66	1,339.95	(702.29)		Y
otal Long-Term Gain/Loss						**13,544.78**	**17,401.25**	**(3,856.47)**		

2010 Informational Tax Statement

C32L044780-X

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 1,771.43	$ 2,711.93	$ 940.50	$	$ 940.50
Long-term Gain/Loss:	19,562.67	22,659.85	5,559.72	(2,462.54)	3,097.18
Sub Total:	**$ 21,334.10**	**$ 25,371.78**	**$ 6,500.22**	**$ (2,462.54)**	**$ 4,037.68**
Total:	**$ 21,334.10**	**$ 25,371.78**	**$ 6,500.22**	**$ (2,462.54)**	**$ 4,037.68**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CARDINAL HEALTH INC	Trade	30.000		04/16/10	12/08/10	1,099.27	1,051.94	47.33		Y
LIMITED BRANDS INC CL A	Trade	5.000		06/30/09	03/08/10	117.49	59.96	57.53		Y
	Trade	55.000		06/30/09	04/16/10	1,495.17	659.53	835.64		Y
	Sub-Total:	**60.000**				**1,612.66**	**719.49**	**893.17**		
Total Short-Term Gain/Loss						**2,711.93**	**1,771.43**	**940.50**		

2010 Informational Tax Statement

C32L044781-X

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BIOVAIL CORP *NAME CHANGE EFF 09/10* CAD	Trade	30.000		12/10/07	07/22/10	624 51	444.60	179 91		Y
CARDINAL HEALTH INC	Trade	5.000		12/08/08	12/08/10	183.21	114.17	69 04		Y
	Trade	25.000		12/10/08	12/08/10	916.06	573.72	342.34		Y
	Trade	5.000		01/20/09	12/08/10	183.21	136 55	46.66		Y
	Trade	10.000		01/28/09	12/08/10	366.42	280.23	86.19		Y
	Sub-Total:	**45.000**				**1,648.90**	**1,104.67**	**544.23**		
CBS CORP NEW CL B	Trade	65.000		10/24/08	04/16/10	1,030.54	525.02	505.52		Y
CENTURYLINK INC	Trade	10.000		08/06/08	08/25/10	359.25	380.79	(21.54)		Y
CHEVRON CORP	Trade	5.000		12/10/07	07/22/10	367.75	457.30	(89.55)		Y
CIMAREX ENERGY CO	Trade	15.000		12/10/07	04/16/10	954.13	602.25	351 88		Y
	Trade	5.000		12/10/07	05/17/10	345.25	200.75	144.50		Y
	Trade	5.000		12/10/07	06/18/10	397.82	200.75	197 07		Y
	Sub-Total:	**25.000**				**1,697.20**	**1,003.75**	**693.45**		
CORN PRODUCTS INTL INC	Trade	10.000		12/10/07	11/03/10	426.44	396.70	29.74		Y
CRANE CO	Trade	10.000		08/28/08	03/08/10	331.35	372.35	(41.00)		Y
	Trade	25.000		08/28/08	04/16/10	907.94	930.86	(22.92)		Y
	Trade	5.000		08/28/08	04/19/10	178.89	186.17	(7.28)		Y
	Trade	5.000		12/11/08	04/19/10	178.89	77.43	101.46		Y
	Trade	75.000		12/11/08	10/06/10	2,866.46	1,161.48	1,704.98		Y
	Sub-Total:	**120.000**				**4,463.53**	**2,728.29**	**1,735.24**		
GLAXO SMITHKLINE PLC ADR	Trade	10.000		12/10/07	08/25/10	374.12	532.80	(158.68)		Y
HARLEY DAVIDSON INC	Trade	50 000		12/10/07	03/25/10	1,401.30	2,422.50	(1,021.20)		Y
	Trade	25.000		08/08/08	03/25/10	700.65	1,025.29	(324.64)		Y
	Trade	30.000		12/11/08	03/25/10	840.78	522 09	318.69		Y
	Sub-Total:	**105.000**				**2,942.73**	**3,969.88**	**(1,027.15)**		
HOSPITALITY PROPERTIES TRUST	Trade	25.000		12/12/07	04/16/10	649.42	911.03	(261.61)		Y
	Trade	5.000		12/13/07	04/16/10	129.89	178.30	(48.41)		Y
	Sub-Total:	**30.000**				**779.31**	**1,089.33**	**(310.02)**		

Continued on page 14

UBS Financial Services Inc.

2010 Informational Tax Statement

C32L044782-X

ng-Term Gain/Loss - continued

s section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

urity Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MBERLY CLARK CORP	Trade	5.000		12/10/07	07/22/10	315.74	348.35	(32.61)		Y
SOURCE INC	Trade	35.000		12/11/07	07/22/10	570.92	669.27	(98.35)		Y
I CORP NEW	Trade	15.000		12/10/07	08/25/10	412.83	408.75	4.08		Y
LEANT PHARMACEUTICALS TL I CAD	Trade	15.000		12/10/07	11/03/10	421.42	222.30	199.12		Y
	Trade	70.000		12/10/07	11/29/10	1,782 79	1,037.40	745.39		Y
	Trade	30.000		08/28/08	11/29/10	764.05	326.72	437.33		Y
	Sub-Total:	**115.000**				**2,968.26**	**1,586.42**	**1,381.84**		
NDSTREAM CORP	Trade	55.000		12/10/07	06/18/10	625.42	729.85	(104.43)		Y
	Trade	220.000		12/10/07	10/06/10	2,712.62	2,919.40	(206.78)		Y
	Sub-Total:	**275.000**				**3,338.04**	**3,649.25**	**(311.21)**		
ROX CORP	Trade	5.000		03/27/08	09/29/10	51.80	75.34	(23.54)		Y
MANA GOLD INC CAD	Trade	25.000		04/22/09	09/29/10	287.98	192.16	95.82		Y
al Long-Term Gain/Loss						**22,659.85**	**19,562.67**	**3,097.18**		

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

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Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Long-term Gain/Loss:	$ 8,876 53	$ 7,980.92	$ 1,320.27	$ (2,215 88)	$ (895.61)
Sub Total:	**$ 8,876.53**	**$ 7,980.92**	**$ 1,320.27**	**$ (2,215.88)**	**$ (895.61)**
Total:	**$ 8,876.53**	**$ 7,980.92**	**$ 1,320.27**	**$ (2,215.88)**	**$ (895.61)**

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BAE SYSTEMS PLC SPON ADR	Trade	60 000		12/11/07	12/10/10	1,238.61	2,217.60	(978 99)		Y
CADBURY PLC **MERGER EFF: 04/2010** SPON ADR	Trade	35.000		12/11/07	01/25/10	1,872.16	2,030.61	(158.45)		Y
FIBRIA CELULOSE S A SPON ADR	Trade	13.000		12/11/07	12/29/10	207.86	197.02	10.84		Y
RWE AG ADR	Trade	15.000		12/11/07	12/09/10	975.06	2,053.50	(1,078.44)		Y
TECK RESOURCES LTD CL B ORD CAD	Trade	55.000		12/11/07	12/29/10	3,281.24	2,042.15	1,239.09		Y

Continued on page 9

Account Number UG 09133 88
Your Financial Advisor or Contact
BRACKETT/HIGHTOWER/ERWIN
713-871-0901/800-437-0010

UBS Financial Services Inc.

2010 Informational Tax Statement

C36L004103-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TRICAN WELL SERVICE LTD CAD	Trade	20.000		12/11/07	12/29/10	405.99	335.65	70.34		Y
Total Long-Term Gain/Loss						7,980.92	8,876.53	(895.61)		

2010 Informational Tax Statement

C36G010604-X

2010 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2010 Gain/Loss for transactions with trade dates through 12/31/10 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

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Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss:	$ 47,171.64	$ 47,443.26	$ 3,446.12	$ (3,174.50)	$ 271.62
Long-term Gain/Loss:	32,056.63	38,590.69	8,157.32	(1,623.26)	6,534.06
Sub Total:	**$ 79,228.27**	**$ 86,033.95**	**$ 11,603.44**	**$ (4,797.76)**	**$ 6,805.68**
Total:	**$ 79,228.27**	**$ 86,033.95**	**$ 11,603.44**	**$ (4,797.76)**	**$ 6,805.68**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS	Trade	6.000		09/09/09	03/22/10	321.70	277.03	44.67		Y
	Trade	5.000		09/09/09	03/25/10	268.54	230.86	37.68		Y
	Trade	2.000		09/09/09	03/26/10	105.02	92.34	12.68		Y
	Trade	4.000		09/10/09	03/26/10	210.05	186.88	23 17		Y
	Trade	7.000		09/10/09	03/29/10	371.38	327.04	44.34		Y
	Trade	3.000		09/14/09	03/29/10	159.16	141.11	18.05		Y
	Trade	2.000		09/14/09	03/30/10	105.49	94.07	11.42		Y
	Trade	8.000		02/02/10	03/30/10	421.98	433.59	(11.61)		Y
	Trade	2.000		02/02/10	03/31/10	104.77	108.40	(3.63)		Y
	Trade	9.000		02/04/10	03/31/10	471.45	485.87	(14.42)		Y
	Sub-Total:	**48.000**				**2,539.54**	**2,377.19**	**162.35**		

Continued on page 17

2010 Informational Tax Statement

C36G010605-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ADOBE SYSTEMS INC (DELAWARE)	Trade	15.000		10/16/09	02/16/10	472.58	535.90	(63.32)		Y
	Trade	1.000		11/03/09	02/16/10	31.51	33.04	(1.53)		Y
	Trade	7.000		11/03/09	03/09/10	246.67	231.26	15.41		Y
	Trade	2.000		11/04/09	03/09/10	70.48	68.78	1.70		Y
	Trade	4.000		11/04/09	03/19/10	138.65	137.56	1.09		Y
	Trade	4.000		02/01/10	03/19/10	138.65	129.53	9.12		Y
	Trade	8.000		02/01/10	03/19/10	280.67	259.07	21.60		Y
	Sub-Total:	**41.000**				**1,379.21**	**1,395.14**	**(15.93)**		
AMER EXPRESS CO	Trade	4.000		08/27/09	05/14/10	161.51	136.19	25.32		Y
	Trade	9 000		10/15/09	05/14/10	363.41	314.51	48.90		Y
	Trade	10.000		11/13/09	07/09/10	422.92	401.55	21.37		Y
	Trade	10.000		02/01/10	07/09/10	422.92	381.37	41.55		Y
	Sub-Total:	**33.000**				**1,370.76**	**1,233.62**	**137.14**		
AMER TOWER CORP CL A	Trade	10.000		09/09/09	03/26/10	414.00	341.98	72.02		Y
ANADARKO PETROLEUM CORP	Trade	9.000		07/13/10	12/30/10	678.54	434.60	243.94		Y
BAIDU INC ADS REPSNTG CL A ORD SHS	Trade	1.000		09/17/09	03/25/10	601.76	401.05	200.71		Y
CISCO SYSTEMS INC	Trade	29.000		02/08/10	09/01/10	591.83	686.73	(94.90)		Y
	Trade	3.000		02/08/10	09/02/10	61.52	71.04	(9.52)		Y
	Trade	11.000		02/11/10	09/02/10	225.57	260 05	(34.48)		Y
	Trade	7 000		03/04/10	09/02/10	143 55	173.57	(30.02)		Y
	Trade	4.000		03/04/10	11/11/10	82.90	99.19	(16.29)		Y
	Trade	9.000		03/05/10	11/11/10	186.52	225.79	(39.27)		Y
	Trade	23.000		03/05/10	11/15/10	460.51	577.02	(116 51)		Y
	Trade	5 000		03/08/10	11/15/10	100.11	128.04	(27.93)		Y
	Trade	16.000		03/08/10	11/16/10	312.27	409.73	(97 46)		Y
	Trade	13.000		03/09/10	11/16/10	253.72	339.36	(85.64)		Y
	Trade	7.000		03/09/10	11/17/10	136.85	182.73	(45.88)		Y
	Trade	17.000		05/24/10	11/17/10	332.35	398.86	(66.51)		Y
	Trade	7.000		07/14/10	11/17/10	136.85	166 80	(29 95)		Y
	Sub-Total:	**151.000**				**3,024.55**	**3,718.91**	**(694.36)**		
CREE INC	Trade	3.000		04/22/10	05/21/10	198.09	239.42	(41.33)		Y

Continued on page 18

2010 Informational Tax Statement

C36G010606-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CREE INC	Trade	4.000		04/22/10	05/21/10	265.33	319.22	(53.89)		Y
	Trade	4.000		05/03/10	05/21/10	265.33	301.86	(36.53)		Y
	Sub-Total:	**11.000**				**728.75**	**860.50**	**(131.75)**		
CROWN CASTLE INTL CORP	Trade	7.000		01/29/10	12/13/10	294.82	260.94	33.88		Y
	Trade	7.000		02/10/10	12/13/10	294.82	249.41	45.41		Y
	Sub-Total:	**14.000**				**589.64**	**510.35**	**79.29**		
DANAHER CORP	Trade	6.000		03/10/10	11/09/10	261.75	233.35	28.40		Y
DOW CHEMICAL	Trade	26.000		06/09/09	05/10/10	712.83	456.46	256.37		Y
EMC CORP MASS	Trade	15.000		01/14/10	08/06/10	301.30	269.87	31.43		Y
	Trade	15.000		01/15/10	08/06/10	301.30	269.76	31.54		Y
	Trade	6.000		01/19/10	08/06/10	120.52	108.92	11.60		Y
	Trade	9.000		01/19/10	08/12/10	167.97	163.38	4.59		Y
	Trade	1.000		01/19/10	08/13/10	18.90	18.15	.75		Y
	Trade	16.000		01/20/10	08/13/10	302.44	285.40	17.04		Y
	Trade	11.000		01/26/10	08/13/10	207.93	188.65	19.28		Y
	Trade	3.000		01/26/10	08/18/10	56.58	51.45	5.13		Y
	Trade	11.000		01/26/10	08/18/10	207.44	194.81	12.63		Y
	Trade	2.000		01/26/10	10/13/10	40.84	35.42	5.42		Y
	Trade	15.000		03/24/10	10/13/10	306.31	282.62	23.69		Y
	Trade	11.000		05/25/10	10/13/10	224.62	192.64	31.98		Y
	Trade	4.000		05/25/10	10/14/10	85.12	70.05	15.07		Y
	Trade	24.000		07/23/10	10/14/10	510.74	479.42	31.32		Y
	Sub-Total:	**143.000**				**2,852.01**	**2,610.54**	**241.47**		
EOG RESOURCES INC	Trade	3 000		05/07/09	03/19/10	273.66	226.84	46 82		Y
	Trade	1.000		05/07/09	03/31/10	93.77	75.61	18.16		Y
	Trade	2.000		11/16/09	09/17/10	177.05	180.52	(3.47)		Y
	Trade	4.000		11/16/09	09/20/10	351.06	361.05	(9.99)		Y
	Trade	1.000		12/21/09	09/20/10	87.76	94.55	(6.79)		Y
	Sub-Total:	**11.000**				**983.30**	**938.57**	**44.73**		
FEDEX CORP	Trade	6.000		03/18/10	06/17/10	467.02	536.23	(69.21)		Y
	Trade	6.000		03/22/10	07/07/10	425.50	542.40	(116.90)		Y
	Trade	1.000		03/23/10	07/07/10	70.92	90.74	(19.82)		Y

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UBS Financial Services Inc.

2010 Informational Tax Statement

C36G010607-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FEDEX CORP	Trade	3.000		03/23/10	07/09/10	219.52	272.21	(52.69)		Y
	Trade	3.000		03/23/10	09/02/10	244.62	272.21	(27.59)		Y
	Trade	2.000		03/26/10	09/02/10	163.08	181.77	(18.69)		Y
	Sub-Total:	**21.000**				**1,590.66**	**1,895.56**	**(304.90)**		
FORD MOTOR CO COM NEW	Trade	47.000		01/15/10	03/18/10	644.12	545.90	98.22		Y
GILEAD SCIENCES INC	Trade	6.000		10/22/09	04/12/10	275.55	268.50	7.05		Y
	Trade	13.000		10/22/09	04/22/10	528.38	581.74	(53.36)		Y
	Trade	12.000		10/23/09	04/22/10	487.73	529.24	(41.51)		Y
	Sub-Total:	**31.000**				**1,291.66**	**1,379.48**	**(87.82)**		
GOLDMAN SACHS GROUP INC	Trade	5.000		03/25/09	03/23/10	873.08	553.23	319.85		Y
	Trade	1.000		01/29/10	06/10/10	131.92	152.49	(20.57)		Y
	Sub-Total:	**6.000**				**1,005.00**	**705.72**	**299.28**		
GOOGLE INC CL A	Trade	1.000		08/27/09	08/20/10	462.12	466.08	(3.96)		Y
	Trade	1.000		03/24/10	09/01/10	461 89	550.62	(88.73)		Y
	Trade	1 000		04/15/10	09/02/10	462.46	594.89	(132.43)		Y
	Sub-Total:	**3.000**				**1,386.47**	**1,611.59**	**(225.12)**		
HOME DEPOT INC	Trade	14.000		05/05/10	07/09/10	394.79	495.82	(101.03)		Y
	Trade	2.000		05/05/10	07/13/10	57.55	70.83	(13.28)		Y
	Trade	16.000		05/10/10	07/13/10	460.42	561.14	(100.72)		Y
	Trade	6.000		05/10/10	07/15/10	168.04	210.43	(42.39)		Y
	Trade	3.000		05/11/10	07/15/10	84.02	107.57	(23 55)		Y
	Trade	18.000		05/11/10	07/22/10	509.27	645.43	(136.16)		Y
	Trade	4.000		05/11/10	07/23/10	111.97	143.43	(31.46)		Y
	Trade	15.000		05/25/10	07/23/10	419 87	498.56	(78 69)		Y
	Sub-Total:	**78.000**				**2,205.93**	**2,733.21**	**(527.28)**		
HSBC HOLDINGS PLC NEW GB SPON ADR	Trade	3.000		08/28/09	02/02/10	163.79	165.37	(1.58)		Y
	Trade	1.000		08/28/09	02/03/10	54.42	55.12	(.70)		Y
	Trade	4.000		08/28/09	02/04/10	210 29	220.50	(10.21)		Y
	Trade	5.000		08/28/09	02/05/10	254.58	275.62	(21.04)		Y
	Trade	4.000		09/01/09	02/05/10	203.66	212.14	(8.48)		Y
	Trade	5.000		09/01/09	02/11/10	256.03	265.18	(9.15)		Y
	Trade	5.000		09/01/09	02/16/10	262.12	265.18	(3.06)		Y
	Sub-Total:	**27.000**				**1,404.89**	**1,459.11**	**(54.22)**		

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2010 Informational Tax Statement

C36G010608-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
INTL BUSINESS MACH	Trade	9.000		01/30/09	01/28/10	1,120.78	835.50	285.28		Y
	Trade	1.000		02/09/09	01/28/10	124.53	96.34	28.19		Y
	Trade	1.000		02/09/09	01/29/10	123.94	96.34	27.60		Y
	Trade	3.000		04/02/09	01/29/10	371.83	300.49	71.34		Y
	Trade	4.000		04/02/09	02/16/10	498.93	400.65	98.28		Y
	Trade	2.000		04/02/09	03/04/10	253.08	200.32	52.76		Y
	Trade	2.000		04/02/09	03/05/10	254.37	201.63	52.74		Y
	Trade	2.000		04/02/09	03/08/10	254.24	201.63	52.61		Y
	Trade	1.000		08/07/09	03/08/10	127.12	119.19	7.93		Y
	Trade	1.000		08/27/09	03/08/10	127.12	119.39	7.73		Y
	Trade	9.000		08/27/09	03/09/10	1,129.81	1,074.48	55.33		Y
	Sub-Total:	**35.000**				**4,385.75**	**3,645.96**	**739.79**		
JOHNSON & JOHNSON COM	Trade	7.000		11/12/09	03/25/10	453.18	428.68	24.50		Y
	Trade	2.000		11/12/09	04/22/10	128.77	122.48	6.29		Y
	Trade	4.000		11/12/09	04/22/10	257.54	244.94	12.60		Y
	Trade	2.000		11/16/09	04/22/10	128.77	124.56	4.21		Y
	Trade	7.000		11/16/09	07/21/10	405.90	435.97	(30.07)		Y
	Trade	2.000		11/16/09	07/21/10	115.97	123.98	(8.01)		Y
	Trade	2.000		11/16/09	07/22/10	114.99	123.98	(8.99)		Y
	Trade	8.000		11/20/09	07/22/10	459.94	499.65	(39.71)		Y
	Trade	2.000		11/27/09	07/22/10	114.99	125.52	(10.53)		Y
	Trade	6.000		12/01/09	07/22/10	344.96	381.05	(36.09)		Y
	Sub-Total:	**42.000**				**2,525.01**	**2,610.81**	**(85.80)**		
JPMORGAN CHASE & CO	Trade	5.000		01/13/10	09/23/10	197.68	220.64	(22.96)		Y
	Trade	15.000		03/05/10	09/23/10	593.03	638.90	(45.87)		Y
	Sub-Total:	**20.000**				**790.71**	**859.54**	**(68.83)**		
MASTERCARD INC CL A	Trade	2.000		12/02/09	05/20/10	416.61	486.49	(69.88)		Y
	Trade	1.000		12/02/09	05/20/10	201.32	243.24	(41.92)		Y
	Trade	2.000		03/04/10	05/20/10	416.61	462.37	(45.76)		Y
	Sub-Total:	**5.000**				**1,034.54**	**1,192.10**	**(157.56)**		
MERCK & CO INC NEW COM	Trade	12.000		09/09/09	03/09/10	443.79	378.38	65.41		Y
	Trade	1.000		09/09/09	03/10/10	36.90	31.53	5.37		Y
	Trade	3.000		09/09/09	04/22/10	102.25	94.60	7.65		Y
	Trade	6 000		09/11/09	04/22/10	204.49	194.70	9.79		Y

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2010 Informational Tax Statement

C36G010609-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MERCK & CO INC NEW COM	Trade	11.000		11/27/09	11/15/10	380.41	401.07	(20 66)		Y
	Trade	6 000		12/01/09	11/15/10	207 49	221.56	(14.07)		Y
	Sub-Total:	**39.000**				**1,375.33**	**1,321.84**	**53.49**		
PETROLEO BRASILEIRO SA SPON ADR	Trade	1.000		04/13/09	02/11/10	40.02	35.84	4.18		Y
	Trade	11.000		05/04/09	02/11/10	440.20	410.25	29.95		Y
	Trade	12.000		05/06/09	02/11/10	480.22	466.22	14.00		Y
	Sub-Total:	**24.000**				**960.44**	**912.31**	**48.13**		
POLO RALPH LAUREN CORP	Trade	3.000		02/03/10	05/21/10	255.17	235.38	19.79		Y
POTASH CORP SASK INC CANADA CAD	Trade	5 000		02/01/10	07/07/10	432.48	512.68	(80.20)		Y
PRICELINE.COM INC NEW	Trade	2.000		12/09/09	08/04/10	565.44	429.93	135.51		Y
	Trade	1.000		12/16/09	08/18/10	304.40	222.02	82.38		Y
	Sub-Total:	**3.000**				**869.84**	**651.95**	**217.89**		
QUALCOMM INC	Trade	9.000		04/02/09	01/29/10	360.28	372.21	(11 93)		Y
	Trade	9.000		04/02/09	01/29/10	347.74	372.21	(24.47)		Y
	Trade	10.000		09/08/09	01/29/10	400.31	459.65	(59.34)		Y
	Trade	6.000		09/09/09	01/29/10	240.19	278.54	(38.35)		Y
	Sub-Total:	**34.000**				**1,348.52**	**1,482.61**	**(134.09)**		
RESEARCH IN MOTION LTD CAD	Trade	11.000		02/16/10	04/05/10	739.75	767.44	(27.69)		Y
THE DIRECTV GROUP CL A	Trade	7.000		04/20/10	09/08/10	278.95	253.71	25.24		Y
	Trade	6.000		04/20/10	09/10/10	239.11	217.46	21.65		Y
	Trade	1.000		04/20/10	11/09/10	42.42	36.24	6 18		Y
	Trade	7.000		04/29/10	11/09/10	296.95	255.70	41.25		Y
	Trade	3.000		04/30/10	11/09/10	127.27	109.76	17.51		Y
	Trade	1.000		04/30/10	11/18/10	42.07	36 59	5 48		Y
	Trade	5.000		04/30/10	12/20/10	199 33	182.94	16.39		Y
	Trade	1.000		05/24/10	12/20/10	39.87	36.99	2.88		Y
	Trade	9 000		06/30/10	12/20/10	358.79	308.76	50.03		Y
	Trade	13.000		07/07/10	12/20/10	518.25	453.29	64.96		Y
	Sub-Total:	**53.000**				**2,143.01**	**1,891.44**	**251.57**		

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2010 Informational Tax Statement

C36G010610-X

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
VISA INC CL A	Trade	5.000		12/02/09	05/20/10	370.64	414.91	(44.27)		Y
WALT DISNEY CO (HOLDING	Trade	11.000		04/12/10	09/23/10	363.66	395.75	(32.09)		Y
CO) DISNEY COM	Trade	5.000		04/12/10	09/27/10	167.51	179.89	(12.38)		Y
	Trade	10.000		04/20/10	09/27/10	335.03	365.64	(30.61)		Y
	Trade	6.000		04/20/10	10/06/10	202.68	219.38	(16.70)		Y
	Trade	2.000		04/21/10	10/06/10	67.56	73.13	(5.57)		Y
	Trade	9.000		04/21/10	10/11/10	311.79	329.10	(17.31)		Y
	Trade	5.000		04/21/10	10/18/10	173.16	182.83	(9.67)		Y
	Trade	12.000		04/22/10	10/18/10	415.57	439.04	(23.47)		Y
	Trade	4.000		04/22/10	10/21/10	139.59	146.35	(6.76)		Y
	Trade	7.000		05/04/10	10/21/10	244.29	256.41	(12.12)		Y
	Trade	4.000		05/10/10	10/21/10	139.59	141.33	(1.74)		Y
	Trade	14.000		05/25/10	10/21/10	488.58	452.10	36.48		Y
	Trade	4.000		07/21/10	10/21/10	139.59	133.31	6.28		Y
	Sub-Total:	**93.000**				**3,188.60**	**3,314.26**	**(125.66)**		
WHIRLPOOL CORP	Trade	2.000		07/20/10	12/02/10	153.85	177.14	(23.29)		Y
	Trade	1.000		07/21/10	12/02/10	76.93	89.19	(12.26)		Y
	Trade	3.000		07/21/10	12/02/10	230.78	257.86	(27.08)		Y
	Sub-Total:	**6.000**				**461.56**	**524.19**	**(62.63)**		
YAHOO INC	Trade	15.000		09/28/09	03/02/10	236.95	260.77	(23.82)		Y
	Trade	8.000		09/29/09	03/02/10	126.37	139.28	(12.91)		Y
	Trade	6.000		09/29/09	03/04/10	94.10	104.46	(10.36)		Y
	Trade	28.000		09/30/09	03/04/10	439.12	486.88	(47.76)		Y
	Sub-Total:	**57.000**				**896.54**	**991.39**	**(94.85)**		
Total Short-Term Gain/Loss						**47,443.26**	**47,171.64**	**271.62**		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS	Trade	7.000		01/28/09	03/04/10	378.90	381.34	(2.44)		Y
	Trade	1.000		01/30/09	03/04/10	54.13	55.37	(1.24)		Y
	Trade	5.000		01/30/09	03/05/10	271.51	276.86	(5.35)		Y

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UBS Financial Services Inc.

2010 Informational Tax Statement

C36G010611-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ABBOTT LABS	Trade	1.000		01/30/09	03/08/10	54.18	55.37	(1.19)		Y
	Trade	3.000		02/09/09	03/08/10	162.54	171.43	(8.89)		Y
	Trade	5 000		02/09/09	03/18/10	268.37	285.72	(17.35)		Y
	Trade	3 000		02/19/09	03/18/10	161.02	163 45	(2.43)		Y
	Trade	4.000		02/19/09	03/22/10	214.47	217.94	(3.47)		Y
	Sub-Total:	**29.000**				**1,565.12**	**1,607.48**	**(42.36)**		
AMER EXPRESS CO	Trade	10.000		05/06/09	05/07/10	412.97	267.28	145.69		Y
	Trade	7.000		05/06/09	05/14/10	282.65	187.09	95.56		Y
	Sub-Total:	**17.000**				**695.62**	**454.37**	**241.25**		
AMER TOWER CORP CL A	Trade	4.000		09/09/09	10/13/10	203.13	136.79	66.34		Y
	Trade	1.000		09/14/09	10/13/10	50.78	34.96	15.82		Y
	Trade	5.000		09/14/09	11/09/10	262.96	174.81	88.15		Y
	Sub-Total:	**10.000**				**516.87**	**346.56**	**170.31**		
APPLE INC	Trade	3.000		06/17/08	08/27/10	720.19	538 47	181.72		Y
	Trade	2.000		06/18/08	11/09/10	640.79	358.88	281.91		Y
	Trade	1.000		06/18/08	12/13/10	323.59	179.44	144.15		Y
	Trade	1.000		07/24/08	12/13/10	323.59	161.24	162.35		Y
	Trade	2.000		07/24/08	12/13/10	648.93	322.47	326.46		Y
	Sub-Total:	**9.000**				**2,657.09**	**1,560.50**	**1,096.59**		
BAIDU INC ADS REPSNTG CL A ORD SHS	Trade	8.000		09/24/09	10/29/10	884.57	310.67	573.90		Y
	Trade	2.000		09/24/09	11/19/10	218.13	77.67	140.46		Y
	Trade	6.000		09/24/09	11/22/10	649.43	233.00	416.43		Y
	Trade	4.000		09/24/09	12/10/10	431.82	155.34	276.48		Y
	Sub-Total:	**20.000**				**2,183.95**	**776.68**	**1,407.27**		
EOG RESOURCES INC	Trade	2.000		05/07/09	09/17/10	177.05	151.23	25.82		Y
GENL DYNAMICS CORP	Trade	2.000		12/11/07	06/04/10	130.78	186.30	(55.52)		Y
GILEAD SCIENCES INC	Trade	5 000		12/22/08	03/25/10	231.87	250.15	(18.28)		Y
	Trade	4.000		12/23/08	04/12/10	183.70	202.12	(18.42)		Y
	Trade	3.000		12/23/08	04/12/10	137.78	151.28	(13.50)		Y
	Trade	4.000		01/28/09	04/12/10	183.70	201.16	(17.46)		Y
	Sub-Total:	**16.000**				**737.05**	**804.71**	**(67.66)**		

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2010 Informational Tax Statement

C36G010612-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GOLDMAN SACHS GROUP INC	Trade	6.000		03/25/09	04/16/10	967.21	663.87	303.34		Y
	Trade	2.000		04/14/09	04/16/10	322.40	246.40	76.00		Y
	Trade	6.000		04/14/09	06/10/10	791.55	739.19	52.36		Y
	Sub-Total:	**14.000**				**2,081.16**	**1,649.46**	**431.70**		
GOOGLE INC CL A	Trade	2.000		10/30/08	01/08/10	1,202.89	723.61	479.28		Y
	Trade	1.000		10/31/08	01/12/10	593.26	358.23	235.03		Y
	Trade	1.000		01/29/09	04/29/10	528.25	341.61	186.64		Y
	Trade	1.000		01/29/09	08/12/10	490.99	341.61	149.38		Y
	Trade	1.000		02/09/09	08/12/10	490.99	378.85	112.14		Y
	Trade	1.000		02/09/09	08/18/10	486.22	378.85	107.37		Y
	Trade	1.000		02/18/09	08/20/10	462.12	350.00	112.12		Y
	Sub-Total:	**8.000**				**4,254.72**	**2,872.76**	**1,381.96**		
JPMORGAN CHASE & CO	Trade	10.000		03/23/09	04/19/10	450.92	276.23	174.69		Y
	Trade	8.000		03/24/09	04/19/10	360.74	227.92	132.82		Y
	Trade	6.000		03/24/09	06/04/10	229.72	170.94	58.78		Y
	Trade	1.000		03/25/09	06/04/10	38.29	27.18	11.11		Y
	Trade	14.000		03/25/09	08/12/10	529.85	380.49	149.36		Y
	Trade	14.000		04/01/09	08/16/10	528.46	392.97	135.49		Y
	Trade	7.000		04/01/09	09/22/10	281.51	196.48	85.03		Y
	Trade	8.000		04/13/09	09/22/10	321.72	256.09	65.63		Y
	Trade	1.000		04/13/09	09/23/10	39.54	32.01	7.53		Y
	Trade	6.000		07/13/09	09/23/10	237.21	204.55	32.66		Y
	Sub-Total:	**75.000**				**3,017.96**	**2,164.86**	**853.10**		
MASTERCARD INC CL A	Trade	1.000		12/11/07	04/29/10	256.50	226.10	30.40		Y
	Trade	3.000		12/11/07	05/05/10	728.41	678.30	50.11		Y
	Trade	1.000		12/11/07	05/07/10	226.47	226.10	.37		Y
	Trade	1.000		12/11/07	05/11/10	224.10	226.10	(2.00)		Y
	Trade	2.000		10/09/08	05/11/10	448.21	312.36	135.85		Y
	Trade	1.000		10/09/08	05/19/10	210.21	156.18	54.03		Y
	Trade	1.000		01/28/09	05/20/10	201.32	133.92	67.40		Y
	Sub-Total:	**10.000**				**2,295.22**	**1,959.06**	**336.16**		
MCDONALDS CORP	Trade	4 000		12/11/07	01/04/10	250.19	252.75	(2.56)		Y
	Trade	7.000		12/11/07	01/12/10	436 60	442.30	(5.70)		Y
	Trade	3.000		12/11/07	03/05/10	190.21	189.56	.65		Y
	Sub-Total:	**14.000**				**877.00**	**884.61**	**(7.61)**		

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UBS Financial Services Inc.

2010 Informational Tax Statement

C36G010613-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
MERCK & CO INC NEW COM	Trade	9.000		09/11/09	11/09/10	317.92	292.05	25.87		Y
	Trade	6.000		09/14/09	11/09/10	211.95	196.03	15.92		Y
	Trade	1.000		09/14/09	11/10/10	35.05	32.67	2.38		Y
	Trade	7.000		09/15/09	11/10/10	245.37	229.64	15 73		Y
	Trade	10.000		10/20/09	11/10/10	350.53	338.03	12.50		Y
	Sub-Total:	**33.000**				**1,160.82**	**1,088.42**	**72.40**		
NORFOLK STHN CORP	Trade	4.000		02/01/08	07/01/10	212.62	221.24	(8.62)		Y
	Trade	1.000		02/11/08	07/01/10	53.15	54.84	(1.69)		Y
	Trade	4.000		02/11/08	07/02/10	203 59	219.37	(15.78)		Y
	Trade	1.000		02/11/08	07/06/10	51 48	54 84	(3.36)		Y
	Trade	3.000		03/04/08	07/06/10	154.45	159.03	(4.58)		Y
	Trade	3.000		03/04/08	07/07/10	153.20	159.03	(5.83)		Y
	Trade	4.000		05/08/08	07/07/10	204.26	247.87	(43.61)		Y
	Trade	3.000		05/29/08	07/07/10	153.20	195.99	(42.79)		Y
	Trade	1.000		05/29/08	07/08/10	51 88	65.33	(13.45)		Y
	Trade	2.000		09/29/08	07/08/10	103.76	132.04	(28.28)		Y
	Trade	5 000		01/08/09	07/08/10	259.40	237.44	21.96		Y
	Trade	11.000		01/09/09	07/08/10	570 69	510.92	59.77		Y
	Sub-Total:	**42.000**				**2,171.68**	**2,257.94**	**(86.26)**		
PETROLEO BRASILEIRO SA SPON ADR	Trade	15.000		10/14/08	01/04/10	729 95	486.87	243.08		Y
	Trade	10.000		10/14/08	01/05/10	484.61	324.58	160.03		Y
	Trade	6.000		10/14/08	02/08/10	230.75	194.75	36 00		Y
	Trade	6.000		01/29/09	02/08/10	230.75	158.57	72.18		Y
	Trade	2.000		01/29/09	02/09/10	79.15	52.86	26.29		Y
	Trade	6.000		01/30/09	02/09/10	237.46	160.90	76.56		Y
	Trade	1.000		01/30/09	02/11/10	40.02	26.82	13.20		Y
	Sub-Total:	**46.000**				**2,032.69**	**1,405.35**	**627.34**		
POTASH CORP SASK INC CANADA CAD	Trade	1.000		03/09/09	07/01/10	84 82	68.90	15.92		Y
	Trade	3 000		03/10/09	07/01/10	254.45	217.73	36.72		Y
	Trade	2.000		03/10/09	07/02/10	171.41	145.15	26.26		Y
	Trade	1.000		05/19/09	07/07/10	85.34	113.97	(28.63)		Y
	Trade	2.000		05/26/09	07/07/10	170.67	226.93	(56.26)		Y
	Trade	1.000		05/26/09	07/07/10	86.50	113.46	(26.96)		Y
	Sub-Total:	**10.000**				**853.19**	**886.14**	**(32.95)**		

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2010 Informational Tax Statement

C36G010614-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
QUALCOMM INC	Trade	10.000		12/11/07	01/28/10	406.11	410.90	(4.79)		Y
	Trade	7.000		03/26/08	01/28/10	284.28	281.93	2.35		Y
	Trade	13.000		07/24/08	01/28/10	538 36	685.71	(147.35)		Y
	Trade	3.000		07/24/08	01/28/10	121.83	158.24	(36.41)		Y
	Trade	1.000		08/08/08	01/28/10	41.41	55.30	(13.89)		Y
	Trade	6.000		08/08/08	01/29/10	231.82	331.82	(100.00)		Y
	Trade	2.000		10/30/08	01/29/10	77.27	78.85	(1.58)		Y
	Trade	9.000		10/31/08	01/29/10	347.74	348.53	(.79)		Y
	Sub-Total:	**51.000**				**2,048.82**	**2,351.28**	**(302.46)**		
THE DIRECTV GROUP CL A	Trade	9.000		06/30/09	08/02/10	338.75	222.83	115.92		Y
	Trade	1.000		07/01/09	08/02/10	37.64	24 89	12.75		Y
	Trade	3 000		07/01/09	08/03/10	111.78	74.68	37.10		Y
	Trade	5.000		07/01/09	09/08/10	199.25	124.47	74.78		Y
	Sub-Total:	**18.000**				**687.42**	**446.87**	**240.55**		
TRANSOCEAN LTD CHF	Trade	2.000		12/11/07	04/12/10	171.72	278.90	(107.18)		Y
	Trade	2.000		12/11/07	04/21/10	179.89	278.90	(99.01)		Y
	Trade	2.000		12/12/07	04/21/10	179.89	278.36	(98.47)		Y
	Trade	1.000		12/24/07	04/21/10	89.95	145.38	(55.43)		Y
	Trade	3.000		01/09/08	04/21/10	269.84	418.53	(148.69)		Y
	Trade	4.000		01/09/08	04/30/10	285.24	558.04	(272.80)		Y
	Trade	3.000		10/10/08	04/30/10	213.93	199.36	14.57		Y
	Trade	12.000		10/10/08	04/30/10	874.10	797.45	76.65		Y
	Trade	4.000		02/09/09	04/30/10	291.37	242.98	48.39		Y
	Trade	2.000		02/09/09	05/03/10	143 07	122.96	20.11		Y
	Trade	3.000		02/09/09	05/03/10	214.61	182.23	32.38		Y
	Trade	11.000		02/10/09	05/03/10	786.91	646.65	140.26		Y
	Sub-Total:	**49.000**				**3,700.52**	**4,149.74**	**(449.22)**		
UNION PACIFIC CORP	Trade	4.000		12/11/07	04/14/10	307.99	268.50	39.49		Y
VISA INC CL A	Trade	4.000		10/08/08	05/04/10	353 56	213.15	140.41		Y
	Trade	6 000		10/08/08	05/14/10	461.23	319.72	141.51		Y
	Trade	5.000		10/14/08	05/14/10	384.36	288.29	96.07		Y
	Trade	2.000		10/14/08	05/19/10	146.12	115.32	30.80		Y
	Trade	4.000		01/08/09	05/19/10	292.25	222.66	69.59		Y
	Trade	10.000		01/08/09	05/20/10	741.27	556.64	184.63		Y
	Sub-Total:	**31.000**				**2,378.79**	**1,715.78**	**663.01**		

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UBS Financial Services Inc.

2010 Informational Tax Statement

C36G010615-X

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
WELLS FARGO & CO NEW	Trade	19.000		05/04/09	08/16/10	489.16	440.10	49.06		Y
	Trade	9 000		05/04/09	08/27/10	213.27	208.47	4.80		Y
	Trade	4.000		05/05/09	08/27/10	94.79	91.79	3.00		Y
	Trade	21.000		05/05/09	08/30/10	493.10	481.90	11 20		Y
	Trade	4.000		05/05/09	10/15/10	96.11	91.79	4 32		Y
	Trade	28.000		05/08/09	10/15/10	672.75	753.98	(81 23)		Y
	Sub-Total:	**85.000**				**2,059.18**	**2,068.03**	**(8.85)**		
Total Long-Term Gain/Loss						**38,590.69**	**32,056.63**	**6,534.06**		