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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation MICHAEL E HORN FAMILY FOUNDATION INC.		A Employer identification number 26-1375584
Number and street (or P O box number if mail is not delivered to street address) P.O. BOX 1944	Room/suite	B Telephone number (270) 313-0245
City or town, state, and ZIP code OWENSBORO, KY 42302		C If exemption application is pending, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 9,017,466. (Part I, column (d) must be on cash basis.)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ☒ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	215,445.	215,445.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	160,844.			
b Gross sales price for all assets on line 6a	6,244,560.			
7 Capital gain net income (from Part IV, line 2)		160,844.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	-1,004.	-6,723.		STATEMENT 2
12 Total Add lines 1 through 11	375,285.	369,566.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	54,000.	16,200.		37,800.
14 Other employee salaries and wages				
15 Pension plans, employee benefits	4,131.	1,239.		2,892.
16a Legal fees				
b Accounting fees				
c Other professional fees				
17 Interest	6,828.	6,828.		0.
18 Taxes	1,026.	1,013.		4.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	3,210.	0.		3,210.
22 Printing and publications				
23 Other expenses	61,115.	57,195.		3,914.
24 Total operating and administrative expenses. Add lines 13 through 23	130,310.	82,475.		47,820.
25 Contributions, gifts, grants paid	361,000.			361,000.
26 Total expenses and disbursements. Add lines 24 and 25	491,310.	82,475.		408,820.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-116,025.			
b Net investment income (if negative, enter -0-)		287,091.		
c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	24,350.	3,095.	3,095.
	2 Savings and temporary cash investments	107,527.	1,066,415.	1,066,415.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 6	0.	924,155.	930,262.
	b Investments - corporate stock STMT 7	0.	1,780,956.	2,065,865.
	c Investments - corporate bonds STMT 8	0.	1,359,026.	1,418,680.
Liabilities	11 Investments - land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	7,692,180.	3,229,270.	3,533,149.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)	750,000.	0.	0.
	16 Total assets (to be completed by all filers)	8,574,057.	8,362,917.	9,017,466.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Foundations that follow SFAS 117, check here ▶ ☐				
and complete lines 24 through 26 and lines 30 and 31.				
24 Unrestricted				
25 Temporarily restricted				
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here ▶ ☒				
and complete lines 27 through 31.				
27 Capital stock, trust principal, or current funds	8,574,057.	8,362,917.		
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 Total net assets or fund balances	8,574,057.	8,362,917.		
31 Total liabilities and net assets/fund balances	8,574,057.	8,362,917.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,574,057.
2 Enter amount from Part I, line 27a	2	-116,025.
3 Other increases not included in line 2 (itemize) ▶ ROCHDALE BASIS ADJUSTMENTS	3	4,848.
4 Add lines 1, 2, and 3	4	8,462,880.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	99,963.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,362,917.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 6,244,560.		6,164,535.	160,844.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			160,844.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	160,844.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	394,236.	7,753,751.	.050845
2008	451,995.	8,358,374.	.054077
2007	0.	9,855,076.	.000000
2006			
2005			

2 Total of line 1, column (d)	2	.104922
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.034974
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	8,549,864.
5 Multiply line 4 by line 3	5	299,023.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,871.
7 Add lines 5 and 6	7	301,894.
8 Enter qualifying distributions from Part XII, line 4	8	408,820.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	2,871.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,871.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,871.
6 Credits/Payments:			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	1,887.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	4,192.	
d Backup withholding erroneously withheld	6d	9.	
7 Total credits and payments. Add lines 6a through 6d	7	6,088.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	17.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,200.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☒ 3,200. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ KY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>ORACLE CAPITAL STRATEGIES, LLC</u> Telephone no. ► <u>(410) 878-7002</u> Located at ► <u>2330 W. JOPPA ROAD, SUITE 107-A, LUTHERVILLE, MD</u> ZIP+4 ► <u>21093</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		1b X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		54,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,225,851.
b	Average of monthly cash balances	1b	454,214.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	8,680,065.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	8,680,065.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	130,201.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,549,864.
6	Minimum investment return. Enter 5% of line 5	6	427,493.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	427,493.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	2,871.
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	457.
c	Add lines 2a and 2b	2c	3,328.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	424,165.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	424,165.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	424,165.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	408,820.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	408,820.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,871.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	405,949.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				424,165.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				32,349.
e From 2009				9,550.
f Total of lines 3a through e	41,899.			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$				408,820.
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				408,820.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	15,345.			15,345.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	26,554.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	26,554.			
10 Analysis of line 9:				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				17,004.
d Excess from 2009				9,550.
e Excess from 2010				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
GRANT ALLOCATIONS (SEE ATTACHED)				344,500.
SCHOLARSHIPS (SEE ATTACHED)				16,500.
Total			▶ 3a	361,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.				
	 Signature of officer or trustee		 Date		 Title
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date
	MARY BOWDEN		MARY BOWDEN		11/02/11
	Firm's name ▶ ORACLE CAPITAL STRATEGIES, LLC				Firm's EIN ▶
	Firm's address ▶ 2330 W. JOPPA RD., SUITE 107-A LUTHERVILLE, MD 21093				Phone no. 410-878-7002

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BNY MELLON (SEE ATTACHED)	P	VARIOUS	VARIOUS
b	BNY MELLON (SEE ATTACHED)	P	VARIOUS	VARIOUS
c	ROCHDALE (SEE ATTACHED)	P	VARIOUS	VARIOUS
d	ROCHDALE (SEE ATTACHED)	P	VARIOUS	VARIOUS
e	FROM K-1 - ISHARES S&P GSCI COMMODITY-INDEXED TRU	P		
f	FROM K-1 - MELLON OPTIMA L/S STRATEGY FUND - STCG			
g	FROM K-1 - MELLON OPTIMA L/S STRATEGY FUND - LTCG			
h	FROM K-1 - MELLON OPTIMA L/S STRATEGY FUND - 1256			
i	FROM K-1 - MELLON OPTIMA L/S STRATEGY FUND - 1256			
j	CAPITAL GAINS DIVIDENDS			
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,117,365.		2,044,640.	72,725.
b 3,797,721.		3,812,975.	-15,254.
c 259,768.		236,607.	23,161.
d 62,188.		70,313.	-8,125.
e			-32.
f			24,208.
g			56,166.
h			191.
i			286.
j 7,518.			7,518.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			72,725.
b			-15,254.
c			23,161.
d			-8,125.
e			-32.
f			24,208.
g			56,166.
h			191.
i			286.
j			7,518.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	160,844.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT	
BB&T CHECKING	5.	0.	5.	
BNY MELLON	155,050.	0.	155,050.	
FROM K-1 - ISHARES S&P GSCI COMMODITY-INDEXED TRUST	134.	0.	134.	
FROM K-1 - MELLON OPTIMA L/S STRATEGY FUND	9,859.	0.	9,859.	
ROCHDALE SECURITIES	57,915.	7,518.	50,397.	
TOTAL TO FM 990-PF, PART I, LN 4	222,963.	7,518.	215,445.	

FORM 990-PF	OTHER INCOME		STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
FROM K-1 - MELLON OPTIMA L/S STRATEGY FUND	-6,723.	-6,723.		
2008 990-PF TAX REFUND	2,523.	0.		
FROM K-1 - MELLON OPTIMA L/S STRATEGY FUND - TAX-EXEMPT INCOME	3,196.	0.		
TOTAL TO FORM 990-PF, PART I, LINE 11	-1,004.	-6,723.		

FORM 990-PF	TAXES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID - BNY MELLON	75.	75.		0.
FOREIGN TAXES PAID - ROCHDALE	512.	512.		0.
KENTUCKY FILING REPORT FROM K-1 - MELLON OPTIMA L/S STRATEGY FUND -	4.	0.		4.
FOREIGN TAXES PAID FROM K-1 - MELLON OPTIMA L/S STRATEGY FUND - U.S.	426.	426.		0.
WITHHOLDING TAX	9.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,026.	1,013.		4.

FORM 990-PF	OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT EXPENSES - BNY MELLON	35,630.	35,630.		0.	
INVESTMENT EXPENSES - ROCHDALE	7,788.	7,788.		0.	
BANK FEES	107.	0.		107.	
PHONE	1,855.	0.		1,855.	
INSURANCE	1,088.	0.		1,088.	
P.O. BOX	220.	0.		220.	
OFFICE SUPPLIES	644.	0.		644.	
FROM K-1 - ISHARES S&P GSCI COMMODITY-INDEX TRUST					
PORTFOLIO DEDUCTIONS (2%)	820.	820.		0.	
FROM K-1 - MELLON OPTIMA L/S STRATEGY FUND - PORTFOLIO DEDUCTIONS (2%)	12,957.	12,957.		0.	
FROM K-1 - MELLON OPTIMA L/S STRATEGY FUND - NONDEDUCTIBLE EXPENSES	6.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	61,115.	57,195.		3,914.	

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
DESCRIPTION	AMOUNT		
REVERSE 2009 ISHARES ADJUSTMENT	183.		
PRIOR PERIOD ADJUSTMENT FOR MELLON OPTIMA L/S STRATEGY FUND	99,780.		
TOTAL TO FORM 990-PF, PART III, LINE 5	99,963.		

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	6
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVERNMENT OBLIGATIONS	X		853,908.	860,908.
STATE MUNICIPAL BONDS		X	70,247.	69,354.
TOTAL U.S. GOVERNMENT OBLIGATIONS			853,908.	860,908.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			70,247.	69,354.
TOTAL TO FORM 990-PF, PART II, LINE 10A			924,155.	930,262.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	1,780,956.	2,065,865.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,780,956.	2,065,865.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	1,359,026.	1,418,680.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,359,026.	1,418,680.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS	COST	3,115,775.	3,401,864.
PARTNERSHIP INTEREST	COST	113,495.	131,285.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,229,270.	3,533,149.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MICHAEL E. HORN P.O. BOX 1944 OWENSBORO, KY 42302	DIRECTOR 1.00	0.	0.	0.
PAULA K. HORN P.O. BOX 1944 OWENSBORO, KY 42302	DIRECTOR 1.00	0.	0.	0.
MICHAEL ANDREW HORN P.O. BOX 1944 OWENSBORO, KY 42302	DIRECTOR 1.00	0.	0.	0.
KAYLA NICOLE HORN P.O. BOX 1944 OWENSBORO, KY 42302	DIRECTOR 20.00	0.	0.	0.
KATHRYN R. CROWE P.O. BOX 1944 OWENSBORO, KY 42302	DIRECTOR 40.00	54,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		54,000.	0.	0.

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT 11
	PART XV, LINES 2A THROUGH 2D	

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

KATHRYN CROWE
P.O. BOX 1944
OWENSBORO, KY 42302

TELEPHONE NUMBER

FORM AND CONTENT OF APPLICATIONS

GRANT AND SCHOLARSHIP APPLICATIONS - SPECIFIC INFORMATION TO INCLUDE IS
STATED ON THE APPLICATION

ANY SUBMISSION DEADLINES

SCHOLARSHIP APPLICATION DEADLINE IS MARCH 1ST, FOUNDATION GRANT DEADLINE IS
OCTOBER 15TH

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE FOUNDATION WILL HAVE A PARTICULAR INTEREST IN THE OWENSBORO & DAVIESS
COUNTY AND SURROUNDING AREAS TO PROVIDE FOR THE IMPROVEMENT FOR THE QUALITY
OF LIFE TO THOSE DESERVING, WITH PARTICULAR EMPHASIS UPON EDUCATIONAL
OPPORTUNITIES FOR THOSE WHO OTHERWISE WOULD NOT HAVE SUCH AN OPPORTUNITY.
SCHOLARSHIPS WILL BE AWARDED TO COLLEGE BOUND, HIGH SCHOOL STUDENTS
RESIDING IN THE OWENSBORO AND DAVIESS COUNTY, KENTUCKY AREA. TO BE
ELIGIBLE, APPLICANTS MUST HAVE EITHER A MINIMUM 3.5 CUMULATIVE GPA OR 23
ACT SCORE; FINISH HIGH SCHOOL WITHIN THE YEAR THEY APPLY FOR THE
SCHOLARSHIP; BE AN ACTIVE MEMBER IN SCHOOL ORGANIZATION, COMMUNITY OR
CHURCH AND SHOW FINANCIAL NEED.

Unrealized Gains and Losses
December 31, 2010

MICHAEL E. HORN FAMILY FOUNDATION, INC.
3TN-727056

Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
COMMON STOCK								
05-28-08	250	ALLIANCE DATA SYSTEMS	57.26	14,314.73	71.03	17,757.50	3,442.77	24.1
01-29-09	290	AMERIPRISE FINANCIAL INC	19.17	5,558.66	57.55	16,689.50	11,130.84	200.2
05-06-10	910	AMYLIN PHARMACEUTICALS' INC	18.47	16,810.25	14.71	13,386.10	-3,424.15	-20.4
06-18-10	180	ANADARKO PETROLEUM	42.54	7,656.50	76.16	13,708.80	6,052.30	79.0
02-12-08	305	APACHE CORP.	97.63	29,777.09	119.23	36,365.15	6,588.06	22.1
09-24-09	194	APPLE, INC	143.82	27,900.65	322.56	62,576.64	34,675.99	124.3
05-28-09	250	AUTOLIV INC	25.92	6,480.63	78.94	19,735.00	13,254.37	204.5
12-16-10	1,460	BRISTOL-MYERS SQUIBB	26.73	39,023.90	26.48	38,660.80	-363.10	-0.9
09-30-10	660	CAPITAL ONE FINANCIAL	40.99	27,053.60	42.56	28,089.60	1,036.00	3.8
11-03-10	340	CARNIVAL CORP.	31.23	10,618.13	46.11	15,677.40	5,059.27	47.6
11-03-10	230	CATERPILLAR INC.	64.35	14,800.08	93.66	21,541.80	6,741.72	45.6
11-03-10	510	CHARLES SCHWAB	15.22	7,762.87	17.11	8,726.10	963.23	12.4
11-03-10	310	CHUBB CORP.	51.76	16,046.91	59.64	18,488.40	2,441.49	15.2
11-03-10	310	CIGNA CORP	24.44	7,575.69	36.66	11,364.60	3,788.91	50.0
11-03-10	450	COMERICA INC.	36.71	16,521.07	42.24	19,008.00	2,486.93	15.1
11-03-10	450	CONOCOPHILLIPS	69.52	31,283.17	68.10	30,645.00	-638.17	-2.0
11-03-10	280	CUMMINS INC	34.09	9,544.79	110.01	30,802.80	21,258.01	222.7
11-03-10	180	CVS-CAREMARK CORPORATION	30.99	5,578.18	34.77	6,258.60	680.42	12.2
11-03-10	290	DANAHER CORP	33.92	9,837.61	47.17	13,679.30	3,841.69	39.1
11-29-10	640	DOMINION RESOURCES	41.38	26,481.98	42.72	27,340.80	858.82	3.2
12-10-10	540	DREAMWORKS ANIMATION CL A	31.02	16,748.75	29.47	15,913.80	-834.95	-5.0
11-03-10	250	DU PONT (E.I.)	26.60	6,650.22	49.88	12,470.00	5,819.78	87.5
12-16-10	1,470	DUKE ENERGY	17.64	25,936.09	17.81	26,180.70	244.61	0.9
11-03-10	640	EMC CORP	13.71	8,774.68	22.90	14,656.00	5,881.32	67.0
11-03-10	700	EXXON MOBIL CORP.	82.15	57,506.16	73.12	51,184.00	-6,322.16	-11.0
11-29-10	1,670	FIRST POTOMAC RLTY TR COM	15.80	26,381.32	16.82	28,089.40	1,708.08	6.5
11-16-10	510	FIRSTENERGY CORP	35.09	17,894.93	37.02	18,880.20	985.27	5.5
12-01-10	710	FOCUS MEDIA HLDG LTD SPONSORED ADR	23.32	16,555.78	21.93	15,570.30	-985.48	-6.0
11-03-10	1,610	GENERAL ELECTRIC	30.89	49,737.60	18.29	29,446.90	-20,290.70	-40.8
11-16-10	700	GOVERNMENT PPTYS INC COM SHS BEN INT	25.58	17,906.63	26.79	18,753.00	846.37	4.7
11-03-10	430	HALLIBURTON CO	31.65	13,611.09	40.83	17,556.90	3,945.81	29.0
11-18-10	840	HAWAIIAN ELECTRIC INDUSTRIES	22.31	18,739.73	22.79	19,143.60	403.87	2.2
12-20-10	570	HEALTH CARE REIT INC	45.52	25,945.32	47.64	27,154.80	1,209.48	4.7
11-03-10	450	HESS CORP COM	57.54	25,893.59	76.54	34,443.00	8,549.41	33.0
11-03-10	420	HOME DEPOT	19.04	7,996.03	35.06	14,725.20	6,729.17	84.2

**Unrealized Gains and Losses
December 31, 2010**

MICHAEL E. HORN FAMILY FOUNDATION, INC.
3TN-727056

Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
11-03-10	430	HONEYWELL INT'L INC.	51.63	22,199.99	53.16	22,858.80	658.81	3.0
11-03-10	370	HOSPIRA INC	38.32	14,177.69	55.69	20,605.30	6,427.61	45.3
11-03-10	290	INFORMATICA CORP	30.42	8,821.39	44.03	12,768.70	3,947.31	44.7
11-03-10	264	INTERNATIONAL BUS MACHINES	110.65	29,211.79	146.76	38,744.64	9,532.85	32.6
11-03-10	3,850	ISHARES S&P GSCI COMM UNIT BEN INT	29.71	114,396.58	34.10	131,285.00	16,888.42	14.8
11-03-10	895	JPMORGAN CHASE & CO	27.64	24,739.16	42.42	37,965.90	13,226.74	53.5
11-16-10	290	KIMBERLY-CLARK	61.34	17,788.05	63.04	18,281.60	493.55	2.8
11-29-10	1,320	KRAFT FOODS INC CL A	29.82	39,367.28	31.51	41,593.20	2,225.92	5.7
11-18-10	600	LIBERTY PROPERTY TR	31.45	18,869.46	31.92	19,152.00	282.54	1.5
11-03-10	390	MCKESSON CORP. (NEW)	50.76	19,796.70	70.38	27,448.20	7,651.50	38.7
11-03-10	340	MEDTRONIC INC	46.27	15,732.81	37.09	12,610.60	-3,122.21	-19.8
11-03-10	682	MERCK & CO., INC. NEW	20.16	13,750.97	36.04	24,579.28	10,828.31	78.7
11-03-10	1,300	MICROSOFT CORP	27.48	35,725.98	27.92	36,296.00	570.02	1.6
11-03-10	1,240	MOTOROLA INC.	8.00	9,922.17	9.07	11,246.89	1,324.72	13.4
12-08-10	740	NATIONWIDE HEALTH PROPERTIES INC	35.33	26,143.46	36.38	26,921.20	777.74	3.0
11-03-10	810	NEWELL RUBBERMAID CO	17.54	14,206.68	18.18	14,725.80	519.12	3.7
11-03-10	1,880	NEWS CORP CL B	17.91	33,666.75	16.42	30,869.60	-2,797.15	-8.3
11-03-10	280	NEXTERA ENERGY INC COM	54.64	15,299.18	51.99	14,557.20	-741.98	-4.8
11-03-10	420	NORDSTROM	33.90	14,240.09	42.38	17,799.60	3,559.51	25.0
11-03-10	340	NORFOLK SOUTHERN CORP	49.55	16,846.46	62.82	21,358.80	4,512.34	26.8
11-03-10	490	OCCIDENTAL PETROLEUM	65.53	32,111.04	98.10	48,069.00	15,957.96	49.7
11-03-10	390	OMNICOM GROUP	42.91	16,735.82	45.80	17,862.00	1,126.18	6.7
11-03-10	1,380	ORACLE CORP.	21.40	29,528.20	31.30	43,194.00	13,665.80	46.3
11-03-10	440	PEPSICO INC	68.36	30,077.36	65.33	28,745.20	-1,332.16	-4.4
11-03-10	2,460	PFIZER, INC	14.80	36,410.58	17.51	43,074.60	6,664.02	18.3
11-03-10	520	PHILIP MORRIS INTL INC COM	48.70	25,324.91	58.53	30,435.60	5,110.69	20.2
12-08-10	1,540	PPL CORP	25.17	38,759.80	26.32	40,532.80	1,773.00	4.6
11-03-10	180	PRAXAIR, INC	76.29	13,731.44	95.47	17,184.60	3,453.16	25.1
11-03-10	350	PROCTER & GAMBLE	66.33	23,215.56	64.33	22,515.50	-700.06	-3.0
12-20-10	590	PROGRESS ENERGY INC	43.72	25,793.68	43.48	25,653.20	-140.48	-0.5
11-03-10	1,030	PUBLIC SERV. ENTERPRISE INC.	31.45	32,394.22	31.81	32,764.30	370.08	1.1
11-03-10	620	QUALCOMM INC	40.37	25,030.55	49.49	30,683.80	5,653.25	22.6
11-03-10	200	RAYTHEON CO NEW	51.46	10,292.46	46.34	9,268.00	-1,024.46	-10.0
11-03-10	510	TARGET CORP.	40.30	20,551.72	60.13	30,666.30	10,114.58	49.2
11-03-10	760	TEXTRON INC.	17.42	13,237.17	23.64	17,966.40	4,729.23	35.7

*See K-1 for true-up

Unrealized Gains and Losses
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MICHAEL E. HORN FAMILY FOUNDATION, INC.
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Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
11-03-10	500	THERMO FISHER SCIENTIFIC INC	43 66	21,827 62	55 36	27,680 00	5,852 38	26 8
11-03-10	340	TIME WARNER INC	19 93	6,777 70	32 17	10,937 80	4,160 10	61 4
11-18-10	530	TRANSCANADA CORP COM	35 14	18,623 78	38 23	20,263 37	1,639.59	8 8
11-03-10	500	TYCO INTERNATIONAL LTD SHS	19 47	9,734 65	41 44	20,720 00	10,985 35	112 8
12-08-10	1,030	VECTREN CORPORATION	25 08	25,831 37	25 38	26,141.40	310.03	1.2
12-16-10	210	VISA INC COM CL A	76 91	16,151 73	70 38	14,779 80	-1,371 93	-8 5
12-08-10	1,260	WASHINGTON REAL ESTATE INVESTMENT TR	30 93	38,967 89	30 99	39,047.40	79 51	0 2
11-03-10	1,340	WELLS FARGO	28.10	37,647.56	30 99	41,526 60	3,879.04	10 3
11-03-10	167	WHIRLPOOL CORP.	65 05	10,862 79	88 83	14,834 61	3,971 82	36 6
				1,731,426 65		2,030,884 28	299,457.63	17 3
PREFERRED STOCK								
11-18-10	930	ALABAMA PWR CO NT SR 11 2046	25 50	23,714.16	25 15	23,389 50	-324 66	-1 4
11-29-10	1,230	AT&T INC SR NT 6.375	26 98	33,183 56	26 61	32,730 30	-453 26	-1 4
11-16-10	810	HSBC HLDGS PLC SUB CAP 8 125%	27.47	22,249 73	26 83	21,732 30	-517 43	-2 3
12-20-10	1,210	JPMORGAN CHASE CAP XXV GTD CAP SER BB	26 96	32,617 61	26.99	32,657 90	40 29	0 1
11-29-10	1,390	VORNADO RLTY TR PFD G 6 625%	23 85	33,145 66	23 20	32,248 00	-897 66	-2 7
				144,910 72		142,758 00	-2,152 72	-1.5
FOREIGN STOCKS - VALUED IN US DOLLARS								
11-03-10	680	VALE SA ADR	27 96	19,014 58	34 57	23,507 60	4,493 02	23.6
				19,014.58		23,507 60	4,493 02	23 6
MUTUAL FUNDS - US								
11-18-10	4,011 961	DREYFUS INDEX FDS SML CAP STK	16 85	67,601.54	20 42	81,924 24	14,322 70	21 2
11-18-10	3,459.953	DREYFUS INVT FDS BO SCP VALUE 1	18 98	65,669 18	23 03	79,682 72	14,013 54	21.3
11-18-10	21,515 297	DREYFUS INVT FDS NEWTN INTEQTY I	16 50	354,917 78	17 72	381,251 06	26,333.28	7 4
11-18-10	26,244 632	DREYFUS PREMIER INVT F GLBL RE SEC I	6.51	170,874 81	7.33	192,373 15	21,498 34	12 6
11-18-10	21,580 555	DREYFUS/LAUREL FDS TR DREYF HIYLD I	6.28	135,525 89	6 62	142,863 27	7,337.38	5 4
11-18-10	8,223.582	PEOPLES S&P MIDCAP IDX COM	22.05	181,329.97	27 88	229,273 47	47,943 50	26 4
11-18-10	83,907.477	STRATEGIC FUNDS INC DREYF US EQ I	11 92	1,000,000 00	13.47	1,130,233 72	130,233.72	13 0

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MICHAEL E. HORN FAMILY FOUNDATION, INC.
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Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
11-18-10	3,993.650	STRATEGIC FUNDS INC DRF SL SC VL I	16.76	66,933.39	20.02	79,952.87	13,019.48	19.5
11-18-10	13,577.863	STRATEGIC FUNDS INC GLOBL STK FD I	12.17	165,293.29	13.74	186,559.84	21,266.55	12.9
				2,208,145.85		2,504,114.34	295,968.49	13.4
ROCHDALE FUNDS								
11-17-10	32,836.520	ROCHDALE FIXED INCOME OPPORT FUND	27.64	907,629.40	27.34	897,750.46	-9,878.94	-1.1
				907,629.40		897,750.46	-9,878.94	-1.1
CORPORATE BONDS - VALUED IN US DOLLARS								
11-03-10	15,000	DEERE JOHN CAPITAL CORP 7.000% Due 03-15-12	111.84	16,776.60	107.34	16,100.25	-676.35	-4.0
11-03-10	15,000	INTUIT 5.400% Due 03-15-12	102.51	15,376.05	104.72	15,708.45	332.40	2.2
11-03-10	10,000	GOLDMAN SACHS GRP INC MTN BE 3.625% Due 08-01-12	102.51	10,251.40	103.20	10,320.10	68.70	0.7
11-03-10	10,000	SBC COMMUNICATIONS INC 5.875% Due 08-15-12	106.44	10,644.30	107.74	10,774.00	129.70	1.2
11-03-10	15,000	NYSE EURONEXT 4.800% Due 06-28-13	106.83	16,024.70	107.33	16,100.10	75.40	0.5
11-03-10	15,000	CRH AMER INC 5.300% Due 10-15-13	97.16	14,573.25	107.31	16,096.35	1,523.10	10.5
11-03-10	10,000	ROGERS WIRELESS INC 6.375% Due 03-01-14	105.45	10,545.20	112.36	11,236.00	690.80	6.6
11-03-10	20,000	MORGAN STANLEY 4.750% Due 04-01-14	95.94	19,187.80	102.40	20,480.60	1,292.80	6.7
11-03-10	5,000	JPMORGAN CHASE & CO 5.125% Due 09-15-14	105.03	5,251.30	106.63	5,331.35	80.05	1.5
11-03-10	10,000	TD AMERITRADE HLDG CORP 4.150% Due 12-01-14	100.38	10,037.90	103.41	10,340.90	303.00	3.0
11-03-10	10,000	SOUTHERN CALIF EDISON CO 4.650% Due 04-01-15	99.47	9,947.00	108.88	10,888.30	941.30	9.5
11-03-10	15,000	HSBC FINANCE CORP 5.000% Due 06-30-15	97.48	14,622.45	106.22	15,933.00	1,310.55	9.0
11-03-10	10,000	TIME WARNER INC 3.150% Due 07-15-15	100.17	10,016.60	101.60	10,160.20	143.60	1.4
11-03-10	10,000	PRUDENTIAL FINL INC MTNS BOOK 4.750% Due 09-17-15	99.77	9,976.70	105.80	10,579.80	603.10	6.0

**Unrealized Gains and Losses
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MICHAEL E. HORN FAMILY FOUNDATION, INC.
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Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
11-03-10	15,000	NEWS AMER HLDGS INC 7.600% Due 10-11-15	113 46	17,019 15	117 25	17,587 95	568 80	3.3
11-03-10	20,000	TYCO INTL GROUP S A 3 375% Due 10-15-15	99 68	19,935 20	102 19	20,437 40	502 20	2.5
11-03-10	15,000	JOHNSON CTLS INC 5.500% Due 01-15-16	105 59	15,838 90	109 36	16,403 25	564 35	3.6
11-03-10	10,000	CISCO SYS INC 5 500% Due 02-22-16	103 20	10,319 90	114.11	11,411.10	1,091 20	10.6
11-03-10	15,000	COMCAST CORP NEW 5 900% Due 03-15-16	100 06	15,008 70	111.95	16,792 95	1,784.25	11.9
11-03-10	25,000	BANK OF AMERICA CORPORATION 5 750% Due 08-15-16	102 13	25,532 75	102 14	25,536 00	3 25	0.0
11-03-10	15,000	BLACKROCK INC 6 250% Due 09-15-17	103 48	15,521 70	112 57	16,885.35	1,363 65	8.8
11-03-10	15,000	GENERAL ELEC CAP CORP MTN BE 5 625% Due 09-15-17	93 76	14,064.60	109 65	16,447 50	2,382 90	16.9
11-03-10	10,000	CITIGROUP INC 6 125% Due 11-21-17	102 89	10,289.30	109 59	10,958 90	669 60	6.5
11-03-10	20,000	VERIZON COMMUNICATIONS INC 5.500% Due 02-15-18	93 59	18,717 05	109.89	21,978 80	3,261 75	17.4
11-03-10	20,000	ORACLE CORP 5 750% Due 04-15-18	99 95	19,990 60	114.40	22,879 00	2,888 40	14.4
11-03-10	15,000	AT&T INC 5 800% Due 02-15-19	107 18	16,076 55	112 56	16,883 70	807.15	5.0
11-03-10	10,000	GENERAL MLS INC 5 650% Due 02-15-19	101 56	10,155.85	111 33	11,132 60	976 75	9.6
11-03-10	15,000	METLIFE INC 7.717% Due 02-15-19	102 39	15,358.40	122 77	18,415 95	3,057 55	19.9
11-03-10	15,000	PEPSICO INC 4 500% Due 01-15-20	99.85	14,977 65	104 91	15,736 35	758 70	5.1
11-03-10	15,000	SIMON PPTY GROUP LP 5.650% Due 02-01-20	99 62	14,943 15	108 17	16,225 95	1,282 80	8.6
11-03-10	15,000	KRAFT FOODS INC 5 375% Due 02-10-20	110 41	16,561 20	107 63	16,144 05	-417 15	-2.5
11-03-10	10,000	NEWS AMER INC 6 150% Due 03-01-37	93 73	9,372 60	104 26	10,425 80	1,053 20	11.2
11-03-10	15,000	GOLDMAN SACHS GROUP INC 6 750% Due 10-01-37	94.61	14,192 10	102 24	15,336 00	1,143 90	8.1
				467,106 60		497,668 00	30,561 40	6.5
FOREIGN BONDS - VALUED IN US DOLLARS								
11-03-10	15,000	DIAGEO FIN BV 5.500% Due 04-01-13	105 10	15,765.00	108.75	16,312 50	547 50	3.5

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MICHAEL E. HORN FAMILY FOUNDATION, INC.
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Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
11-03-10	15,000	TELEFONICA EMISIONES S A U 4 949% Due 01-15-15	101.20	15,179 50	103 74	15,560 70	381.20	2.5
11-03-10	15,000	ROYAL BK SCOTLAND PLC 4 875% Due 03-16-15	99 95	14,991 85	102 07	15,310 95	319 10	2 1
11-03-10	25,000	BARCLAYS BK PLC 5 000% Due 09-22-16	99 87	24,967 75	105 82	26,455 00	1,487.25	6 0
11-03-10	20,000	ONTARIO PROV CDA 4 000% Due 10-07-19	99.83	19,965 60	101.94	20,388 00	422 40	2.1
				90,869 70		94,027.15	3,157 45	3 5
GOVERNMENT BONDS - US DOLLARS								
11-04-10	15,000	FEDERAL AGRIC MTG CORP MNTS B 2 100% Due 08-10-12	100.00	15,000 00	102 60	15,390.45	390.45	2 6
11-04-10	20,000	FEDERAL NATL MTG ASSN 4 375% Due 07-17-13	108 25	21,649 00	108 36	21,671 20	22 20	0.1
11-04-10	110,000	UNITED STATES TREAS NTS 4 250% Due 08-15-13	107 45	118,189.92	108.88	119,762.50	1,572.58	1 3
11-04-10	20,000	UNITED STATES TREAS NTS 2 625% Due 07-31-14	105.57	21,114 13	104 59	20,917 20	-196 93	-0.9
11-04-10	15,000	FEDERAL NATL MTG ASSN 3 000% Due 09-16-14	99 73	14,959.50	105 33	15,799 35	839.85	5 6
11-04-10	35,432	UNITED STATES TREAS NTS 1 375% Due 07-15-18	97 98	34,717.92	105 38	37,339 57	2,621 65	7 6
11-04-10	5,000	UNITED STATES TREAS BDS 6 250% Due 08-15-23	119.54	5,977 15	125 69	6,284 40	307 25	5 1
11-04-10	15,000	UNITED STATES TREAS BDS 4 375% Due 05-15-40	107 43	16,114 12	100 48	15,072 60	-1,041 52	-6 5
				247,721 74		252,237.27	4,515 53	1.8
GOVERNMENT AGENCIES								
11-04-10	60,000	UNITED STATES TREAS NTS 3 625% Due 02-15-20	100.73	60,436 18	103 78	62,268 60	1,832.42	3 0
				60,436.18		62,268 60	1,832 42	3 0
TREASURY NOTES								
11-04-10	70,000	UNITED STATES TREAS NTS 1.000% Due 04-30-12	101.07	70,746 48	100 81	70,566 30	-180 18	-0 3

**Unrealized Gains and Losses
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MICHAEL E. HORN FAMILY FOUNDATION, INC.
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Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
11-04-10	40,000	UNITED STATES TREAS NTS 0 375% Due 08-31-12	99 88	39,953.13	99 82	39,928 00	-25.13	-0.1
11-04-10	35,000	UNITED STATES TREAS NTS 0 375% Due 09-30-12	99 92	34,972 09	99 76	34,916 70	-55 39	-0 2
11-04-10	15,492	UNITED STATES TREAS NTS 0 625% Due 04-15-13	95 37	14,775 47	103 02	15,960 63	1,185 16	8 0
11-04-10	75,000	UNITED STATES TREAS NTS 0 750% Due 08-15-13	100.52	75,386 97	99 80	74,847.75	-539 22	-0 7
11-04-10	50,000	UNITED STATES TREAS NTS 0 750% Due 09-15-13	100.10	50,048 55	99 68	49,840.00	-208 55	-0 4
11-04-10	20,000	UNITED STATES TREAS NTS 0 500% Due 10-15-13	99.81	19,961 42	98 88	19,776 60	-184 82	-0 9
11-04-10	25,000	UNITED STATES TREAS NTS 4 250% Due 11-15-13	108 68	27,169 62	109 41	27,353.50	183.88	0 7
11-04-10	5,000	UNITED STATES TREAS NTS 2 375% Due 09-30-14	104 06	5,202 75	103 58	5,178 90	-23 85	-0.5
11-04-10	10,073	UNITED STATES TREAS NTS 0 500% Due 04-15-15	100 53	10,126 73	102 22	10,296.93	170 20	1.7
11-04-10	50,000	UNITED STATES TREAS NTS 1 750% Due 07-31-15	100.83	50,416 31	99 68	49,840.00	-576 31	-1.1
11-04-10	32,475	UNITED STATES TREAS NTS 2 375% Due 01-15-17	107 30	34,846.07	111.44	36,189.49	1,343 42	3.9
11-04-10	20,000	UNITED STATES TREAS NTS 2 375% Due 07-31-17	103 38	20,675 00	98 69	19,737 60	-937 40	-4 5
11-04-10	5,000	UNITED STATES TREAS NTS 3 125% Due 05-15-19	105 82	5,290 84	101 06	5,052 75	-238 09	-4 5
11-04-10	15,143	UNITED STATES TREAS NTS 1 375% Due 01-15-20	102.18	15,473.39	103.92	15,736 54	263 15	1.7
11-04-10	25,000	UNITED STATES TREAS NTS 3 500% Due 05-15-20	105 94	26,484.09	102 44	25,609 50	-874 59	-3 3
11-04-10	10,000	UNITED STATES TREAS NTS 2 625% Due 08-15-20	101 21	10,121.13	94 81	9,480 50	-640 63	-6 3
11-04-10	32,475	UNITED STATES TREAS BDS 2 375% Due 01-15-27	105.00	34,100 07	111 13	36,090 44	1,990 37	5 8
				545,750 11		546,402.14	652 03	0.1

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Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
MUNICIPAL BONDS								
11-03-10	15,000	ILLINOIS ST GO BDS 4 421% Due 01-01-15	100.00	15,000.00	100.88	15,131.85	131.85	0.9
11-03-10	10,000	WASHINGTON ST MOTOR VEH 3 547% Due 08-01-17	100.00	10,000.00	99.24	9,924.30	-75.70	-0.8
11-03-10	15,000	NEW YORK N Y GO BDS 5 817% Due 10-01-31	100.00	15,000.00	93.90	14,085.00	-915.00	-6.1
11-03-10	30,000	CALIFORNIA ST GO BDS 7 300% Due 10-01-39	100.82	30,246.90	100.71	30,213.00	-33.90	-0.1
				70,246.90 ✓		69,354.15 ✓	-892.75	-1.3
GNMA								
11-15-10	20,930	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	99.59	20,844.78	106.60	22,312.55	1,467.77	7.0
				20,844.78		22,312.55	1,467.77	7.0
FNMA								
11-04-10	10,996	FNMA GTD MTG PASS THRU CTFS POOL # 888214 5 000% Due 03-01-21	100.03	10,999.78	106.95	11,760.93	761.15	6.9
11-04-10	18,007	FNMA GTD MTG PASS THRU CTFS POOL # 962301 4 500% Due 03-01-23	102.92	18,533.19	105.00	18,907.42	374.23	2.0
11-04-10	10,155	FNMA GTD MTG PASS THRU CTFS POOL # 889291 5 000% Due 04-01-23	103.73	10,534.65	106.15	10,780.06	245.42	2.3
11-04-10	8,659	FNMA GTD MTG PASS THRU CTFS POOL # 987214 5.000% Due 07-01-23	105.62	9,146.30	106.14	9,190.98	44.68	0.5
11-04-10	10,993	FNMA GTD MTG PASS THRU CTFS POOL # 930851 4.000% Due 04-01-24	101.84	11,196.19	103.14	11,338.80	142.61	1.3
11-04-10	25,002	FNMA GTD MTG PASS THRU CTFS POOL # AA7694 4 500% Due 07-01-24	103.98	25,996.90	104.88	26,220.67	223.77	0.9
11-04-10	13,175	FNMA GTD MTG PASS THRU CTFS POOL # AC1520 4 000% Due 09-01-24	101.50	13,372.77	103.77	13,671.32	298.55	2.2

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11-04-10	12,514	FNMA GTD MTG PASS THRU CTFS POOL # AC8596 4 000% Due 01-01-25	101.90	12,751.75	103.38	12,936.33	184.58	1.4
11-04-10	18,899	FNMA REMIC TRUST 2003-64 5.500% Due 03-25-30	104.75	19,796.49	105.56	19,949.38	152.89	0.8
11-04-10	6,102	FNMA GTD MTG PASS THRU CTFS POOL # 888279 4 500% Due 01-01-36	100.25	6,117.10	103.24	6,299.68	182.58	3.0
11-04-10	24,787	FNMA GTD MTG PASS THRU CTFS POOL # 745948 6 500% Due 10-01-36	109.44	27,127.26	111.50	27,638.10	510.84	1.9
11-04-10	7,126	FNMA GTD MTG PASS THRU CTFS POOL # 918044 ""ARM"" 5 866% Due 05-01-37	98.80	7,040.61	107.01	7,625.88	585.27	8.3
11-04-10	9,880	FNMA GTD MTG PASS THRU CTFS POOL # 888890 6 500% Due 10-01-37	110.03	10,870.73	111.50	11,016.08	145.35	1.3
11-04-10	49,297	FNMA GTD MTG PASS THRU CTFS POOL # 888967 6 000% Due 12-01-37	103.49	51,017.48	110.94	54,691.50	3,674.01	7.2
11-04-10	51,270	FNMA GTD MTG PASS THRU CTFS POOL # 966608 5 500% Due 02-01-38	100.08	51,310.11	107.76	55,248.16	3,938.05	7.7
11-04-10	69,649	FNMA GTD MTG PASS THRU CTFS POOL # 962003 5 500% Due 03-01-38	99.77	69,491.98	107.76	75,052.74	5,560.75	8.0
11-04-10	24,061	FNMA GTD MTG PASS THRU CTFS POOL # 889668 6 000% Due 06-01-38	99.98	24,056.59	108.79	26,175.19	2,118.60	8.8
11-04-10	38,225	FNMA GTD MTG PASS THRU CTFS POOL # 930924 4 500% Due 04-01-39	100.69	38,487.35	102.76	39,278.22	790.87	2.1
11-04-10	23,075	FNMA GTD MTG PASS THRU CTFS POOL # AA8389 4 500% Due 06-01-39	98.11	22,639.08	103.05	23,779.69	1,140.61	5.0
11-04-10	21,768	FNMA GTD MTG PASS THRU CTFS POOL # AD5672 4 500% Due 05-01-40	101.07	22,001.07	102.74	22,364.59	363.52	1.7
				462,487.38		483,925.71	21,438.33	4.6

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FEDERAL HOME LOAN MORTGAGE								
11-04-10	3,593	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G11938 ""GOLD"" 4 500% Due 03-01-21	99.77	3,585 10	105 44	3,788 78	203 68	5 7
11-04-10	13,659	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G12633 ""GOLD"" 5 000% Due 04-01-22	106 22	14,508 39	106 14	14,497 79	-10 60	-0 1
11-04-10	14,698	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # C91194 ""GOLD"" 5 000% Due 07-01-28	99 21	14,581 51	104 94	15,423 98	842.47	5 8
11-04-10	6,486	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A69290 6 000% Due 12-01-37	102 17	6,627.13	108 43	7,033 08	405 95	6 1
11-04-10	11,428	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G04425 ""GOLD"" 6 000% Due 05-01-38	103 53	11,830 97	108.43	12,390.92	559.95	4 7
11-04-10	18,192	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G05509 ""GOLD"" 6 500% Due 04-01-39	106.62	19,397 09	110 97	20,188 26	791 17	4.1
11-04-10	11,011	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G05509 ""GOLD"" 6 000% Due 06-01-39	103.99	11,450.30	108 43	11,939.18	488 89	4 3
11-04-10	13,696	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A90393 ""GOLD"" 5 000% Due 12-01-39	104 13	14,261 27	105.57	14,458 07	196.81	1.4
11-04-10	37,935	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A92821 ""GOLD"" 5.000% Due 07-01-40	106 19	40,282.57	104 94	39,810 27	-472.30	-1.2
11-04-10	37,567	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A92988 ""GOLD"" 5.000% Due 07-01-40	107 54	40,399 29	104 94	39,424 03	-975.26	-2.4
				176,923.62		178,954 37	2,030 75	1 1

**Unrealized Gains and Losses
December 31, 2010**

MICHAEL E. HORN FAMILY FOUNDATION, INC.
3TN-727056

Date	Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
CMO - ASSET BACKED SECURITY - REMIC								
03-05-08	7,957	HARLEY-DAVIDSON MTR TR 2007-1	101 45	8,072 69	102 08	8,122 33	49.64	0 6
		5 210% Due 06-15-13						
11-03-10	11,614	HSBC AUTO TRUST 2007-1	102 91	11,951.61	101 23	11,756 58	-195 03	-1 6
		5 330% Due 11-17-13						
11-03-10	20,000	AMERICREDIT AUTO REC 2010-3	99 98	19,996.97	99 79	19,958 20	-38 77	-0.2
		1 140% Due 04-08-15						
11-03-10	5,000	ALLY AUTO REC TR 2010-3	99 96	4,998.21	98 79	4,939 45	-58.76	-1 2
		1 550% Due 08-15-15						
11-03-10	148	LB COMMERCIAL MTG TR 2000-C5	102 45	152 11	100 13	148.66	-3 44	-2 3
		6 510% Due 12-15-26						
11-03-10	1,735	LB-UBS CMBS 2003-C7	100 59	1,745 38	100 03	1,735 67	-9.71	-0.6
		4 064% Due 09-15-27						
11-03-10	7,674	PRUDENTIAL SEC FIN 2001-C1	103.86	7,970 67	100 52	7,714 27	-256 40	-3 2
		6.605% Due 05-10-34						
11-03-10	15,612	J P MORGAN CHASE COML MTG SECS CORP MTG 2001	103 12	16,098 18	101.35	15,822 14	-276 04	-1 7
		6.429% Due 04-15-35						
10-20-10	11,738	ML MTG TRUST 2005-CK11	99 25	11,649 96	98 56	11,568.86	-81 11	-0 7
		0 381% Due 11-12-37						
11-03-10	20,000	GE CAP CMBS 2004-C3	102 77	20,554 69	102 05	20,409 20	-145 49	-0 7
		4 865% Due 07-10-39						
11-03-10	2,585	FIRST UNION COMM MTG 2001-C2	103 74	2,681 22	99.96	2,583.40	-97 82	-3 6
		6 663% Due 01-12-43						
11-03-10	35,000	LB CMBS 2007-C3	98.09	34,332 81	104 15	36,450 75	2,117 94	6.2
		5 840% Due 07-15-44						
11-03-10	583	BANC AMER COML MTG INC 2005-6	100.97	588 45	99.95	582.50	-5 95	-1 0
		5 165% Due 09-10-47						
				140,792.95		141,792 01	999 06	0 7
CASH AND EQUIVALENTS - US DOLLARS								
		ACCRUED DIVIDEND		3,434.73		3,434 73		
		PRIME MANAGEMENT OBLIGATIONS CAPITAL SHARE		349,883.01 ✓		349,883 01		
				353,317 74		353,317 74		
TOTAL PORTFOLIO				7,647,624.90		8,301,274.37	653,649.47	8.5

Cash <353,317.74>
 iShares True-Up <901.59>
 7,293,405.58
 7947956

2010		Amount	College
Kathryn Dueker (OCHS)	4103 Fairfax Court Owensboro, KY 42303	1,000.00	University of Evansville
Katelyn McNulty (OCHS)	1311 Goetz Dr Owensboro, KY. 42301	1,000.00	University of Kentucky
Mason Pogue (DCHS)	3128 Harness Loop Owensboro, KY 42303	1,000.00	University of Kentucky
Jenna Riney (OCHS)	9200 Hwy 56 Owensboro, KY. 42301	1,000.00	Murray State University
Emily Hayden (OCHS)	2813 Hillside Dr. Owensboro, KY 42301	1,000.00	Western Ky University
Katelyn Suzanne Hayden	5601 Wayne Bridge Road Owensboro, KY 42301	500.00	University of Kentucky
Kelsey Cash (OCHS)	2265 Canonero Loop Owensboro, KY 42301	1,000.00	Owensboro Community & Technical College
Samantha Perez (Trinity)	9634 Morgantown Rd Whitesville, KY. 42378	1,000.00	Owensboro Community & Technical College
Enca K Morris (Trinity)	6259 Boston Laffoon Rd Philpot, KY. 42366	500.00	Owensboro Community & Technical College
Logan S. Roberts (Trinity)	9763 Hwy 144 Philpot, KY 42366	500.00	Brescia
Samantha Jo Roby (Trinity)	9145 Jack Hinton Rd. Philpot, KY 42366	0.00	withdrew due to car accident -hopes to return in January 2011
Amber L Thomas (OCHS)	10100 Hall School Rd. Owensboro, KY 42301	500.00	Murray State University
Brittany L. Young (OHS)	19 Maple St. Owensboro KY. 42301	500.00	Murray State University
Jordan Barlow (OHS)	4541 Lonesome Pine Trail Owensboro, KY 42301	500.00	Georgetown College
Brandi E Young (OHS)	19 Maple St Owensboro, KY. 42301	500.00	Owensboro Community & Technical College
Chaz M Arnold (DCHS)	4512 Woodlake Run Owensboro, KY. 42303	1,000.00	Western Ky University
Kirstie L Ballard (DCHS)	101 West Legion Blvd Owensboro, KY 42303	1,000.00	Murray State University
Erica F Jarvis (DCHS)	3940 Bordeaux Loop S Owensboro, KY 42303	1,000.00	Murray State University
John Mitchell (OHS)	1021 Holly Ave Owensboro, KY 42301	1,000.00	Murray State University
Kalcy E Robertson (OCHS)	921 E 19th St Owensboro, KY 42303	1,000.00	University of Kentucky
Bailey K Young (OHS)	19 Maple St. Owensboro, KY. 42301	1,000.00	Murray State University
Total for 2010		16,500.00	

Michael E. Horn Family Foundation, Inc.
2010 Grant Recipients and Addresses

	<u>GRANT AMOUNT</u>
1 Boulware Mission 731 Hall Street Owensboro, KY. 42303 Attention: Alan Englehart Tax ID# 61-0486968	7,500.00
2 Carenet Pregnancy Center of Owensboro 922 Triplett Street Owensboro, KY. 42303 Attention: Hannah Goebel Tax ID# 20-073619	9,500.00
3 Carmel Home -Carmelite Sisters DCJ 2501 Old Hartford Rd. Owensboro, KY. 42303 Attention: Sister M. Francis Teresa, DCJ Tax ID # 61-0487564	10,000.00
4 Foundation for Daviess Co. Public Schools 6500 US 231 Utica, KY. 42378 Attention: Ellen Gaynor, RN, BSN Tax ID # 61-1346930	500.00
5 CASA of Ohio Valley PO Box 763 Owensboro, KY. 42302 Attention: Vikki Embry Tax ID #61-1303511	5,000.00
6 Cliff Hagan Boys & Girls Club 3415 Buckland Square Owensboro, KY. 42301 Attention: Steve Winkler Tax ID# 61-0663746	30,000.00

7 Fellowship Of Christian Athletes 3000 Frederica St. Owensboro, KY. 42301 Attention: Rusty Tichenor Tax ID # 44-0610626	5,000.00
8 Dreamriders of Kentucky PO Box 172 Philpot, KY. 42366 Attention: Mike Clark Tax ID # 01-0802025	8,000.00
9 International Bluegrass Music Museum 207 East Second Street Owensboro, KY 42303 Attention: Gabrielle Gray Tax ID# 61-1229037	20,000 00
10 Kentucky Wesleyan College 3000 Frederica Street Owensboro, KY. 42301-6057 Attention: Kirk Purdom Tax ID # 61-0466713	10,000.00
11 Owensboro Lions Club Charity Foundation PO Box 1016 Owensboro, KY. 42302 Attention: Larry Miller Tax ID # 61-6039256	7,500.00
12 Youth 2000/Marian Shrine/Youth Ministries 2638 Woodhaven Owensboro, KY. 42303 Attention: Cathy Graham Tax ID # 53-0196617 (US Catholic Conf. of Bishops)	5,000.00 3,000.00
13 Mentor Kids Kentucky 2815 Veach Road Owensboro, KY. 42303 Attention: Matt Woodfall Tax ID # 61-1222299	12,000.00

14	Owensboro Catholic Consolidated Schools	20,000.00
	1524 West Parrish Avenue (School Health Program)	2,300.00
	Owensboro, KY. 42301	
	Attention: Ken Rasp/Sherry Krampee	
	Tax ID # 53-0196617	
15	Owensboro Catholic High School	12,000.00
	1524 West Parrish Avenue	200.00
	Owensboro, KY 42301	
	Attention: Harold Staples	
	Tax ID # 53-0196617	
16	Owensboro Museum of Fine Arts	20,000.00
	901 Frederica St.	
	Owensboro, KY. 42301	
	Attention: Mary Bryan Hood	
	Tax ID# 31-0896672	
17	Pleasant Valley Community Church	50,000.00
	800 Pleasant Valley Rd.	
	Owensboro, KY. 42303	
	Attention: Bob Childers	
	Tax ID # 31-1571467	
18	St. Bernard Preparatory School, Inc.	10,000.00
	1600 St. Bernard Drive SE	
	Cullman, AL 35055	
	Attention: Fr. Marcus J. Voss, OSB	
	Tax ID# 53-0196617	
19	St. Mary's Grade School	5,000.00
	10521 Franklin Street	
	Whitesville, KY. 42378	
	Attention: Sr. Suzanne Sims	
20	Trinity High School	30,000.00
	10510 Main Cross Street	
	Whitesville, KY. 42378	
	Attention: Mr. Bill Hagan	
	Tax ID# 53-0196617	

21 Trinity Educational Radio Association, Inc. 2534 Lawrin Ct. Owensboro, KY. 42301 Attention: George Wathen Tax ID # 20-0884550	10,000.00
22 Family Y of Owensboro 900 Kentucky Parkway Owensboro, KY. 42301 Attention: Dean Ehrenheim	10,000.00
23 Maximilian Montessori Academy 1401 B Spring Bank Dr. Owensboro, KY. 42303 Attention: Amy Kemper Tax ID # 26-2559147	16,000.00 10,000.00
24 Right to Life of Owensboro-Education Foundation, Inc. 1115 Tamarack Rd. Suite 200 Owensboro, KY. 42301 Attention: Dana Goodlett Tax ID # 30-0007710	16,000.00

344,500.00

Realized Gains and Losses - SETTLED TRADES
From October 31, 2010
Through December 31, 2010

MICHAEL E. HORN FAMILY FOUNDATION, INC.
3TN-727056

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
10-28-09	11-05-10	20,000 000	FEDERAL HOME LN MTG CORP 2 000% Due 11-05-12	20,025 00	20,000 00		-25 00
07-18-08	11-16-10	900.000	CISCO SYSTEMS	19,482 57	18,504 85		-977 72
11-03-08	11-16-10	430 000	CISCO SYSTEMS	7,335 76	8,841 21		1,505 45
10-20-10	11-12-10	398 750	ML MTG TRUST 2005-CKI1 0 381% Due 11-12-37	395 76	398 75	2 99	
12-02-09	11-18-10	100 000	HUMAN GENOME SCIENCES	2,675 00	2,457 96	-217 04	
12-03-09	11-18-10	200.000	HUMAN GENOME SCIENCES	5,771 42	4,915 91	-855 51	
12-03-09	11-18-10	380 000	HUMAN GENOME SCIENCES	10,770 72	9,340 24	-1,430 48	
03-05-08	11-16-10	750 720	HARLEY-DAVIDSON MTR TR 2007-1 5 210% Due 06-15-13	761 63	750 72		-10 91
11-03-10	11-18-10	46 266	CSFB COML MTG PTC 2005-C4 5 017% Due 08-15-38	46 33	46 27	-0 06	
11-03-10	11-18-10	709 050	HSBC AUTO TRUST 2007-1 5 330% Due 11-17-13	729 66	709 05	-20 61	
11-03-10	11-18-10	2,544 017	LB COMMERCIAL MTG TR 2000-C5 6.510% Due 12-15-26	2,606 23	2,618 26	12 03	
11-03-10	11-18-10	42 180	LB-UBS CMBS 2003-C7 4 064% Due 09-15-27	42 43	42 19	-0.24	
08-17-10	11-29-10	630 000	ALLSCRIPTS HLTHCAR SOL COM	10,770 72	10,962 44	191.72	
02-12-08	12-02-10	1,010 000	AT&T INC	35,647 91	27,816.23		-7,831 68
11-03-10	12-03-10	66 000	GOOGLE INC	29,207 61	37,068 93	7,861 32	
11-03-10	12-03-10	570 000	MORGAN STANLEY	15,079 37	13,996 79	-1,082 58	
11-03-10	12-03-10	850 000	UNILEVER PLC ADR	23,684.08	23,860.79	176.71	
11-03-10	12-13-10	940 000	LIMITED BRANDS, INC	16,770 36	29,234 72	12,464 36	
05-21-09	12-14-10	2,780 000	BANK OF AMERICA CORP	35,146 10	34,371 61		-774 49
11-04-10	12-10-10	15,000 000	FEDERAL NATL MTG ASSN 2 625% Due 12-10-14	15,000 00	15,000.00	0 00	
11-03-10	12-10-10	3,652 260	PRUDENTIAL SEC FIN 2001-C1 6 605% Due 05-10-34	3,793 24	3,652 26	-140.98	
11-03-10	12-10-10	65 500	BANC AMER COML MTG INC 2005-6 5 165% Due 09-10-47	66 13	65.50	-0 63	
10-20-10	12-13-10	431 130	ML MTG TRUST 2005-CKI1 0 381% Due 11-12-37	427 90	431 13	3 23	
03-05-08	12-15-10	739 370	HARLEY-DAVIDSON MTR TR 2007-1 5 210% Due 06-15-13	750 11	739 37		-10 74

Realized Gains and Losses - SETTLED TRADES
From October 31, 2010
Through December 31, 2010

MICHAEL E. HORN FAMILY FOUNDATION, INC.
3TN-727056

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
11-03-10	12-15-10	1,534 140	J P MORGAN CHASE COML MTG SECS CORP MTG 2001 6 429% Due 04-15-35	1,581 96	1,534 14	-47.82	
11-03-10	12-15-10	1,332.780	FIRST UNION COMM MTG 2001-C2 6.663% Due 01-12-43	1,382 65	1,332 78	-49 87	
11-04-10	12-15-10	393 060	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A69290 6 000% Due 12-01-37	401.60	393.06	-8 54	
11-04-10	12-15-10	637 860	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G12633 ""GOLD"" 5 000% Due 04-01-22	677 53	637 86	-39.67	
11-04-10	12-15-10	169 650	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G11938 ""GOLD"" 4 500% Due 03-01-21	169 26	169 65	0 39	
11-04-10	12-15-10	968 570	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A92988 ""GOLD"" 5 000% Due 07-01-40	1,041 58	968 57	-73 01	
11-04-10	12-15-10	173.610	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G04425 ""GOLD"" 6 000% Due 05-01-38	179 74	173 61	-6 13	
11-04-10	12-15-10	378 820	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G05509 ""GOLD"" 6 000% Due 06-01-39	393 93	378 82	-15 11	
11-04-10	12-15-10	533 230	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G05509 ""GOLD"" 6.500% Due 04-01-39	568 55	533 23	-35 32	
11-04-10	12-15-10	1,070.250	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # C91194 ""GOLD"" 5 000% Due 07-01-28	1,061.80	1,070 25	8 45	
11-04-10	12-15-10	248 290	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A90393 ""GOLD"" 5 000% Due 12-01-39	258 54	248 29	-10 25	
11-04-10	12-15-10	636 530	FEDERAL HOME LN MTG CORP PARTN CTFS POOL # A92821 ""GOLD"" 5.000% Due 07-01-40	675.91	636 53	-39 38	
11-15-10	12-15-10	462 690	GNMA PASS-THRU X PLATINUM 30YR 5 000% Due 03-15-36	460 80	462.69	1.89	

Realized Gains and Losses - SETTLED TRADES
From October 31, 2010
Through December 31, 2010

MICHAEL E. HORN FAMILY FOUNDATION, INC.
3TN-727056

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
11-03-10	12-17-10	644.120	HSBC AUTO TRUST 2007-1 5 330% Due 11-17-13	662.84	644.12	-18 72	
11-03-10	12-17-10	178 190	LB COMMERCIAL MTG TR 2000-C5 6.510% Due 12-15-26	182 55	178 19	-4 36	
11-03-10	12-17-10	45 340	LB-UBS CMBS 2003-C7 4 064% Due 09-15-27	45 61	45.34	-0 27	
07-07-10	12-23-10	510 000	AMERICAN EXPRESS	21,430 03	21,113 64	-316 39	
11-03-10	12-23-10	118.000	FRANKLIN RESOURCES	6,032 08	13,180 59	7,148 51	
11-04-10	12-27-10	726.260	FNMA REMIC TRUST 2003-64 5.500% Due 03-25-30	760 76	726 26	-34 50	
11-04-10	12-27-10	587.960	FNMA GTD MTG PASS THRU CTFS POOL # 745948 6.500% Due 10-01-36	643 48	587 96	-55 52	
11-04-10	12-27-10	421 870	FNMA GTD MTG PASS THRU CTFS POOL # 888214 5 000% Due 03-01-21	422 00	421.87	-0 13	
11-04-10	12-27-10	439.930	FNMA GTD MTG PASS THRU CTFS POOL # 930851 4 000% Due 04-01-24	448 04	439 93	-8.11	
11-04-10	12-27-10	956 170	FNMA GTD MTG PASS THRU CTFS POOL # 930924 4 500% Due 04-01-39	962.74	956 17	-6 57	
11-04-10	12-27-10	1,823 590	FNMA GTD MTG PASS THRU CTFS POOL # 962003 5 500% Due 03-01-38	1,819 49	1,823 59	4.10	
11-04-10	12-27-10	797 250	FNMA GTD MTG PASS THRU CTFS POOL # 962301 4.500% Due 03-01-23	820 54	797 25	-23 29	
11-04-10	12-27-10	882 270	FNMA GTD MTG PASS THRU CTFS POOL # 966608 5 500% Due 02-01-38	882 96	882.27	-0 69	
11-04-10	12-27-10	774.550	FNMA GTD MTG PASS THRU CTFS POOL # 987214 5 000% Due 07-01-23	818 12	774.55	-43 57	
11-04-10	12-27-10	293 510	FNMA GTD MTG PASS THRU CTFS POOL # 888279 4 500% Due 01-01-36	294 24	293 51	-0 73	
11-04-10	12-27-10	246 100	FNMA GTD MTG PASS THRU CTFS POOL # 888890 6.500% Due 10-01-37	270.79	246 10	-24 69	
11-04-10	12-27-10	855 690	FNMA GTD MTG PASS THRU CTFS POOL # 888967 6 000% Due 12-01-37	885 55	855 69	-29 86	
11-04-10	12-27-10	481 060	FNMA GTD MTG PASS THRU CTFS POOL # 889291 5 000% Due 04-01-23	499 02	481.06	-17 96	

Realized Gains and Losses - SETTLED TRADES
From October 31, 2010
Through December 31, 2010

MICHAEL E. HORN FAMILY FOUNDATION, INC.
3TN-727056

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
11-04-10	12-27-10	731 100	FNMA GTD MTG PASS THRU CTFS POOL # 889668 6 000% Due 06-01-38	730 95	731 10	0 15	
11-04-10	12-27-10	2 120	FNMA GTD MTG PASS THRU CTFS POOL # 918044 ""ARM"" 5 866% Due 05-01-37	2.09	2 12	0 03	
11-04-10	12-27-10	1,060 240	FNMA GTD MTG PASS THRU CTFS POOL # AA7694 4 500% Due 07-01-24	1,102 44	1,060 24	-42.20	
11-04-10	12-27-10	138 840	FNMA GTD MTG PASS THRU CTFS POOL # AA8389 4 500% Due 06-01-39	136 22	138 84	2 62	
11-04-10	12-27-10	87 790	FNMA GTD MTG PASS THRU CTFS POOL # AC1520 4 000% Due 09-01-24	89.11	87 79	-1 32	
11-04-10	12-27-10	397 320	FNMA GTD MTG PASS THRU CTFS POOL # AC8596 4.000% Due 01-01-25	404 87	397 32	-7 55	
11-04-10	12-27-10	724 700	FNMA GTD MTG PASS THRU CTFS POOL # AD5672 4.500% Due 05-01-40	732 45	724 70	-7.75	
TOTAL GAINS						27,878 51	1,505 45
TOTAL LOSSES						-4,717 41	-9,630 54
				306,918.85	321,954.86	23,161.10	-8,125.09
TOTAL REALIZED GAIN/LOSS		\$15,036 01					

Rochdale is pleased to provide you with this report which may be of assistance in preparing your taxes. Rochdale can only be responsible for providing accurate cost basis information for the positions which we bought on your behalf. All other cost basis information that is shown, if any, should not be relied upon for tax preparation. The information contained in this report is believed to be accurate as of October 12, 2011, the date the report was generated. If any information changes after the above date, an amended report will be provided. Rochdale does not provide tax or legal advice. Please consult your tax or legal advisor for such guidance. We have provided this information regarding your account(s) based on sources we believe to be reliable and accurate. We ask that you please take a moment to compare the account holdings and balances contained in this report to the information reflected on the statements that you receive directly from your custodian(s). Note that some clients have more than one custodian and therefore receive more than one statement (Rochdale consolidates assets in order to provide a comprehensive portfolio review and for performance calculations.)

Please contact Rochdale or the account custodian(s) with any questions or concerns you may have. Additionally, please notify us promptly if you do not receive statements from the custodian(s) on all accounts on at least a quarterly basis. For more information or questions about your account, please call 800-245-9888 or visit www.rochdale.com

Income for 2010

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

Short term gains and losses

This category includes sales of all assets held 12 months or less.

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
JUNIPER NETWORKS INC	48203R104	520	02/23/09	01/06/10	\$13,465.36	\$7,687.21	\$5,778.15
JUNIPER NETWORKS INC	48203R104	20	02/23/09	01/06/10	\$519.40	\$295.66	\$223.74
UNITED STATES TREAS NTS	912828BR0	10000	12/10/09	01/07/10	\$10,825.75	\$10,984.41	\$-158.66
UNITED STATES TREAS NTS	912828BR0	5000	12/11/09	01/07/10	\$5,412.87	\$5,478.14	\$-65.27
VERTEX PHARMACEUTICALS INC	92532F100	80	03/06/09	01/08/10	\$3,252.04	\$2,231.09	\$1,020.95
VERTEX PHARMACEUTICALS INC	92532F100	120	03/06/09	01/08/10	\$4,874.67	\$3,346.63	\$1,528.04
UNITED STATES TREAS 1 375% 3/15/12	912828KG4	25000	12/23/09	01/21/10	\$25,227.46	\$25,167.08	\$60.38
U S TREASURY NOTE 1 0% 12/31/11	912828ML1	10000	01/13/10	02/02/10	\$10,036.69	\$10,014.88	\$21.81
UNITED STATES TREAS NTS	912828EN6	5000	07/01/09	02/04/10	\$5,517.56	\$5,446.31	\$71.25
UNITED STATES TREAS NTS	912828EN6	10000	07/02/09	02/04/10	\$11,035.11	\$10,933.24	\$101.87
UNITED STATES TREAS NTS	912828ES5	5000	02/12/09	02/10/10	\$5,177.72	\$5,336.15	\$-158.43
UNITED STATES TREAS NTS	912828ES5	5000	02/11/09	02/10/10	\$5,177.72	\$5,338.69	\$-160.97
UNITED STATES TREAS NTS	912828AP5	10000	05/14/09	02/11/10	\$10,742.15	\$10,895.35	\$-153.20
UNITED STATES TREAS NTS	912828AP5	5000	11/16/09	02/11/10	\$5,371.08	\$5,409.78	\$-38.70
FHLMC GOLD G05580 6 5% 4/01/39	3128M7Q90	498.25	09/01/09	02/15/10	\$498.25	\$531.26	\$-33.01
FHLMC GOLD PL G05509 6 0% 6/01/39	3128M7N28	443.43	06/08/09	02/15/10	\$443.43	\$461.13	\$-17.70
LBUBS 2003-C7 A2 4 064% 9/15/27	52108HUK8	794.27	01/29/10	02/18/10	\$794.27	\$798.99	\$-4.72
FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8	717.23	11/10/09	02/25/10	\$717.23	\$745.81	\$-28.58



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Short term gains and losses

This category includes sales of all assets held 12 months or less

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL 74594E 31403DWH8	74594E 31403DWH8	740 05	09/10/09	02/25/10	\$740 05	\$790 18	\$-50 13
FNMA POOL 930851 4 0% 4/01/24 31412PEY3	31412PEY3	132 2	04/09/09	02/25/10	\$132 20	\$134 64	\$-2 44
FNMA POOL 962301 4 5% 3/01/23 31414CRW0	31414CRW0	387 14	05/20/09	02/25/10	\$387 14	\$398 45	\$-11 31
FEDERAL NAT'L MTGE ASSN POOL 889291 31410G7C1	889291 31410G7C1	547 31	05/20/09	02/25/10	\$547.31	\$567 75	\$-20 44
UNITED STATES TREAS NTS 912828ES5	912828ES5	15000	03/05/09	02/25/10	\$15,520 26	\$15,961 58	\$-441 32
FNMA POOL 930924 4 5% 4/1/2039 31412PG96	31412PG96	234 03	09/01/09	02/25/10	\$234 03	\$235 64	\$-1 61
FNMA POOL AC1520 4% 9/1/2024 31417KVN4	31417KVN4	69 31	10/14/09	02/25/10	\$69 31	\$70 35	\$-1 04
FNMA POOL AC5401 5% 10/1/2039 31417SAB6	31417SAB6	48 07	10/09/09	02/25/10	\$48 07	\$49 71	\$-1 64
FNMA POOL MA0259 5% 12/1/2039 31417YJD0	31417YJD0	84 16	10/09/09	02/25/10	\$84 16	\$87 05	\$-2 89
FNMA POOL AA8389 4 5% 6/01/39 31416SKB6	31416SKB6	133 42	06/11/09	02/25/10	\$133 42	\$130 16	\$3 26
UNITED STATES TREAS NTS 912828AP5	912828AP5	5000	11/16/09	03/04/10	\$5,375 37	\$5,409 78	\$-34 41
FIRST UN NATL BK 01-C2 CL A 2 33736XBZ1	33736XBZ1	60 29	02/09/10	03/12/10	\$60 29	\$62 55	\$-2 26
UNITED STATES TREAS NTS 912828FS4	912828FS4	10000	03/13/09	03/12/10	\$10,575 36	\$10,822 69	\$-247 33
UNITED STATES TREAS NTS 912828FS4	912828FS4	5000	05/26/09	03/12/10	\$5,287 68	\$5,393 77	\$-106 09
UNITED STATES TREAS NTS 912828FS4	912828FS4	10000	03/20/09	03/12/10	\$10,575 36	\$10,871 52	\$-296 16
UNITED STATES TREAS NTS 912828ES5	912828ES5	5000	06/01/09	03/12/10	\$5,161 51	\$5,281 46	\$-119 95
LBUBS 2000-C5 A2 6 51% 12/15/26 52108HCB8	52108HCB8	55 17	02/10/10	03/15/10	\$55 17	\$56 52	\$-1 35
FHLMC GOLD G05580 6 5% 4/01/39 3128M7Q90	3128M7Q90	5957 11	09/01/09	03/15/10	\$5,957 11	\$6,351 77	\$-394 66
FHLMC GOLD PL G05509 6 0% 6/01/39 3128M7N28	3128M7N28	1640 62	06/08/09	03/15/10	\$1,640 62	\$1,706 12	\$-65 50
FIRST UN NATL BK COML MTG TR 33736XAX7	33736XAX7	856 13	02/19/10	03/17/10	\$856 13	\$870 84	\$-14 71
LBUBS 2003-C7 A2 4 064% 9/15/27 52108HUK8	52108HUK8	60 47	01/29/10	03/17/10	\$60 47	\$60 83	\$-0 36
PEOPLES DREYFUS S&P MIDCAP INDEX FD 712223106	712223106	596 58	09/22/09	03/24/10	\$14,747 51	\$13,154 54	\$1,592 97
UNITED STATES TREAS NTS 912828FS4	912828FS4	5000	11/20/09	03/24/10	\$5,274 79	\$5,355 10	\$-80 31
TIME WARNER INC 887317303	887317303	20	01/11/10	03/24/10	\$621 19	\$582 43	\$38 76
PUBLIC SERVICE ENTERPRISE GROUP INC (744573106	744573106	20	01/05/10	03/24/10	\$603 79	\$672 60	\$-68 81
QUALCOMM INC 747525103	747525103	10	01/06/10	03/24/10	\$400 89	\$480 57	\$-79 68
OCCIDENTAL PETROLEUM CORPORATION C 674599105	674599105	10	04/23/09	03/24/10	\$827 79	\$555 33	\$272 46

Short term gains and losses

This category includes sales of all assets held 12 months or less

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
MORGAN STANLEY DEAN WITTER & CO	617446448	10	05/21/09	03/24/10	\$294 10	\$281 26	\$12 84
MICROSOFT CORP COM	594918104	20	01/21/10	03/24/10	\$592 29	\$603 58	\$-11 29
MCKESSON CORPORATION	58155Q103	10	01/05/10	03/24/10	\$639 09	\$635 04	\$4 05
LIMITED INC	532716107	20	10/06/09	03/24/10	\$499 79	\$361 15	\$138.64
HOME DEPOT INC USD 0 05	437076102	20	09/11/09	03/24/10	\$645 59	\$548 91	\$96 68
HEWLETT PACKARD COMPANY	428236103	10	08/21/09	03/24/10	\$529 69	\$443 86	\$85 83
GAP INC	364760108	10	01/11/10	03/24/10	\$229 80	\$205 82	\$23 98
EMC CORP(MASS) USD 0 01	268648102	20	07/30/09	03/24/10	\$375 60	\$311 91	\$63 69
E I DU PONT DE NEMOURS & CO COMM	263534109	10	04/14/09	03/24/10	\$383 70	\$268 08	\$115 62
PEOPLES DREYFUS S&P MIDCAP INDEX FD	712223106	91 12	12/29/09	03/24/10	\$2,252 49	\$2,090 38	\$162 11
DREYFUS SELECT MANAGERS S/C VL-I	86271F677	0 83	03/22/10	03/24/10	\$15 23	\$15 24	\$-0 01
DREYFUS SELECT MANAGERS S/C VL-I	86271F677	489 63	12/29/09	03/24/10	\$8,984.77	\$8,206 23	\$778 54
DREYFUS SMALL CAP STOCK INDEX	26200Q204	439 08	12/29/09	03/24/10	\$8,000 00	\$7,398 46	\$601.54
THE BOSTON CO S/C VALUE FD	26203E851	846 26	09/22/09	03/24/10	\$18,000 00	\$16,062.07	\$1,937 93
GOOGLE INC	38259P508	1	08/21/09	03/24/10	\$540 27	\$464 28	\$75 99
BANK AMER CORP	060505104	30	05/07/09	03/24/10	\$520 79	\$406 37	\$114 42
BLACKROCK INC CL A	09247X101	1	04/24/09	03/24/10	\$223 78	\$150 34	\$73 44
CAPITAL ONE FINL CORP COM	14040H105	10	01/19/10	03/24/10	\$411 99	\$427 42	\$-15.43
HUMAN GENOME SCIENCES INC COM	444903108	20	02/12/10	03/24/10	\$632 39	\$580 12	\$52 27
FNMA POOL AC5401 5% 10/1/2039	31417SAB6	34 52	10/09/09	03/25/10	\$34 52	\$35 70	\$-1 18
FNMA POOL 930851 4 0% 4/01/24	31412PEY3	126 43	04/09/09	03/25/10	\$126 43	\$128 76	\$-2 33
FEDERAL NAT'L MTGE ASSN POOL 987214	31415RVF8	581 84	02/11/10	03/25/10	\$581 84	\$614 57	\$-32.73
FNMA POOL AC8596 4 0% 1/01/25	31417VRS4	84 6	02/11/10	03/25/10	\$84 60	\$86 21	\$-1 61
FNMA POOL AC3278 5 0% 9/01/39	31417MUC5	30 79	02/02/10	03/25/10	\$30 79	\$32 07	\$-1 28
FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8	184 22	11/10/09	03/25/10	\$184.22	\$191 56	\$-7 34
FNMA POOL MA0259 5% 12/1/2039	31417YJD0	127 94	10/09/09	03/25/10	\$127 94	\$132 33	\$-4 39
FEDERAL NAT'L MTGE ASSN POOL 74594E	31403DWH8	857 3	09/10/09	03/25/10	\$857 30	\$915 37	\$-58 07

Short term gains and losses

This category includes sales of all assets held 12 months or less

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FNMA POOL AC1520 4% 9/1/2024	31417KVN4	71 76	10/14/09	03/25/10	\$71 76	\$72 84	\$-1 08
FNMA POOL 930924 4 5% 4/1/2039	31412PG96	125 04	09/01/09	03/25/10	\$125 04	\$125 90	\$-0 86
FNMA POOL AA8389 4 5% 6/01/39	31416SKB6	125 43	06/11/09	03/25/10	\$125 43	\$122 42	\$3 01
FEDERAL NAT'L MTGE ASSN POOL 889291 31410G7C1	31410G7C1	398 39	05/20/09	03/25/10	\$398 39	\$413 27	\$-14 88
FNMA POOL 962301 4 5% 3/01/23	31414CRW0	787 52	05/20/09	03/25/10	\$787 52	\$810 53	\$-23 01
UNITED STATES TREAS NTS	912828FS4	5000	11/20/09	03/26/10	\$5,278 11	\$5,355 09	\$-76 98
UNITED STATES TREAS NTS	912828FS4	10000	12/30/09	03/26/10	\$10,556 21	\$10,618 40	\$-62 19
PMCF 2001-ROCK A2	74438WAB2	42 03	02/24/10	04/10/10	\$42 03	\$43 65	\$-1 62
UNITED STATES TREAS NTS	912828FS4	10000	12/30/09	04/13/10	\$10,540 59	\$10,618 39	\$-77 80
FIRST UN NATL BK 01-C2 CL A 2	33736XBZ1	98 09	02/09/10	04/14/10	\$98 09	\$101 76	\$-3 67
FHLMC GOLD POOL A90393 5 0% 12/01/39	312938NJ8	19 74	03/08/10	04/15/10	\$19 74	\$20 55	\$-0 81
CHASE COML MTG SECS 00-3 CL A2	161505GM8	975 84	03/24/10	04/15/10	\$975 84	\$989 33	\$-13 49
FHLMC GOLD PL G05509 6 0% 6/01/39	3128M7N28	495 58	06/08/09	04/15/10	\$495.58	\$515 36	\$-19 78
FHLMC GOLD G05580 6 5% 4/01/39	3128M7Q90	767 18	09/01/09	04/15/10	\$767 18	\$818.01	\$-50 83
CHASE COML MTG SECS 00-3 CL A2	161505GM8	4879 2	03/12/10	04/15/10	\$4,879.20	\$4,959 25	\$-80 05
LBUBS 2000-C5 A2 6 51% 12/15/26	52108HCB8	37 79	02/10/10	04/15/10	\$37 79	\$38 71	\$-0 92
FEDERAL HOME LN MTG CORP	3128X8XS6	15000	04/24/09	04/16/10	\$15,165 00	\$14,977 50	\$187 50
LBUBS 2003-C7 A2 4 064% 9/15/27	52108HUK8	44 64	01/29/10	04/16/10	\$44 64	\$44 90	\$-0 26
FIRST UN NATL BK COML MTG TR	33736XAX7	1967 95	02/19/10	04/17/10	\$1,967 95	\$2,001 78	\$-33 83
FED HOME LOAN MTGE COR 3 0% 4/21/14	3128X8WF5	30000	04/22/09	04/21/10	\$30,000 00	\$30,000 00	\$0 00
QUALCOMM INC	747525103	480	01/06/10	04/23/10	\$18,178 49	\$23,067 12	\$-4,888 63
FEDERAL NAT'L MTGE ASSN POOL 88889C 31410GRK1	31410GRK1	2639 21	03/08/10	04/25/10	\$2,639 21	\$2,836 33	\$-197 12
FEDERAL NAT'L MTGE ASSN POOL 987214 31415RVF8	31415RVF8	525 32	02/11/10	04/25/10	\$525 32	\$554 87	\$-29 55
FNMA POOL AC8596 4 0% 1/01/25	31417VRS4	114 72	02/11/10	04/25/10	\$114 72	\$116 91	\$-2 19
FNMA POOL AC3278 5 0% 9/01/39	31417MUC5	97 26	02/02/10	04/25/10	\$97.26	\$101 30	\$-4 04
FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8	879 73	11/10/09	04/25/10	\$879 73	\$914 78	\$-35 05
FNMA POOL MA0259 5% 12/1/2039	31417YJD0	224 22	10/09/09	04/25/10	\$224 22	\$231 91	\$-7 69

Short term gains and losses

This category includes sales of all assets held 12 months or less.

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FNMA POOL AC5401 5% 10/1/2039	31417SAB6	67 38	10/09/09	04/25/10	\$67 38	\$69 69	\$-2 31
FNMA POOL AC1520 4% 9/1/2024	31417KVN4	158 2	10/14/09	04/25/10	\$158 20	\$160 57	\$-2 37
FNMA POOL 930924 4 5% 4/1/2039	31412PG96	133 89	09/01/09	04/25/10	\$133 89	\$134.81	\$-0 92
FNMA POOL AA8389 4 5% 6/01/39	31416SKB6	40 41	06/11/09	04/25/10	\$40 41	\$39 46	\$0 95
FEDERAL NAT'L MTGE ASSN POOL 889291	31410G7C1	588 95	05/20/09	04/25/10	\$588 95	\$610 94	\$-21 99
FEDERAL NAT'L MTGE ASSN POOL 74594E	31403DWH8	4926 98	09/10/09	04/25/10	\$4,926.98	\$5,260 71	\$-333.73
FNMA POOL 962301 4 5% 3/01/23	31414CRW0	1249 84	05/20/09	04/25/10	\$1,249 84	\$1,286 36	\$-36 52
UNITED STATES TREAS NTS	912828BR0	10000	12/11/09	04/28/10	\$10,834 73	\$10,956 29	\$-121 56
UNITED STATES TREAS NTS	912828BR0	10000	09/23/09	04/28/10	\$10,834 73	\$10,885 19	\$-50 46
U S TREASURY NOTE 3 625% 2/15/20	912828MP2	15000	03/26/10	05/05/10	\$15,067 32	\$14,718 22	\$349 10
U S TREASURY NOTE 3 625% 2/15/20	912828MP2	10000	03/26/10	05/05/10	\$10,044 88	\$9,788.32	\$256 56
U S TREASURY NOTE 1 5% 7/15/12	912828LB4	15000	03/11/10	05/10/10	\$15,186 28	\$15,130 71	\$55 57
PMCF 2001-ROCK A2	74438WAB2	682 53	02/24/10	05/10/10	\$682 53	\$708 90	\$-26 37
U S ETREASURY NOTE 1 375% 2/15/13	912828MN7	5000	03/08/10	05/10/10	\$5,011 70	\$4,995 72	\$15 98
UNITED STATES TREASU 1 125% 12/15/12	912828MB3	10000	02/24/10	05/10/10	\$9,978 87	\$9,950.80	\$28 07
U S TREASURY NOTE 1 375% 10/15/12	912828LR9	20000	10/28/09	05/10/10	\$20,131 18	\$19,961 01	\$170 17
U S TREASURY NOTE 1 375% 10/15/12	912828LR9	10000	01/20/10	05/10/10	\$10,065 59	\$10,020 35	\$45.24
UNITED STATES TREAS NTS	912828GR5	11000	04/27/10	05/11/10	\$11,002 54	\$11,022 81	\$-20 27
FIRST UN NATL BK 01-C2 CL A 2	33736XBZ1	53 68	02/09/10	05/14/10	\$53 68	\$55 69	\$-2 01
CREDIT SUISSE FIRST BOSTON MTG	225458N20	11025 45	04/12/10	05/15/10	\$11,025 45	\$11,042.68	\$-17.23
FHLMC GOLD G12633 5 0% 4/01/22	3128MBEE3	405 03	04/14/10	05/15/10	\$405 03	\$429 33	\$-24 30
FHLMC GOLD POOL A90393 5.0% 12/01/39	312938NJ8	19 73	03/08/10	05/15/10	\$19 73	\$20 54	\$-0 81
FHLMC GOLD G05580 6 5% 4/01/39	3128M7Q90	634 14	09/01/09	05/15/10	\$634 14	\$676.15	\$-42 01
FHLMC GOLD PL G05509 6 0% 6/01/39	3128M7N28	506 95	06/08/09	05/15/10	\$506 95	\$527 19	\$-20 24
LBUBS 2000-C5 A2 6 51% 12/15/26	52108HCB8	2437 11	02/10/10	05/15/10	\$2,437.11	\$2,496 71	\$-59 60
CHASE COML MTG SECS 00-3 CL A2	161505GM8	583 41	03/24/10	05/15/10	\$583 41	\$591 48	\$-8 07
CHASE COML MTG SECS 00-3 CL A2	161505GM8	2917 03	03/12/10	05/15/10	\$2,917 03	\$2,964 89	\$-47 86



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Short term gains and losses

This category includes sales of all assets held 12 months or less

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
LBUBS 2003-C7 A2 4 064% 9/15/27	52108HUK8	48 04	01/29/10	05/17/10	\$48 04	\$48 33	\$-0 29
FIRST UN NATL BK COML MTG TR	33736XAX7	1323 6	02/19/10	05/17/10	\$1,323 60	\$1,346 35	\$-22 75
UNITED STATES TREAS NTS	912828AP5	5000	07/14/09	05/20/10	\$5,377 33	\$5,392 99	\$-15 66
HOME DEPOT INC USD 0 05	437076102	70	09/11/09	05/20/10	\$2,313 13	\$1,904 35	\$408 78
HOME DEPOT INC USD 0 05	437076102	90	09/11/09	05/20/10	\$2,974 02	\$2,470 08	\$503 94
HOME DEPOT INC USD 0 05	437076102	230	09/11/09	05/20/10	\$7,600 27	\$6,297 95	\$1,302 32
HOME DEPOT INC USD 0 05	437076102	170	09/11/09	05/20/10	\$5,617 59	\$4,639 45	\$978 14
HOME DEPOT INC USD 0 05	437076102	170	09/11/09	05/20/10	\$5,587 57	\$4,665 72	\$921 85
UNITED STATES TREAS NTS	912828BH2	10000	12/11/09	05/20/10	\$10,953 87	\$10,943 39	\$10 48
U S TREASURY NOTE 1 375% 10/15/12	912828LR9	10000	10/28/09	05/20/10	\$10,105 44	\$9,980 50	\$124 94
UNITED STATES TREAS NTS	912828AP5	10000	07/14/09	05/24/10	\$10,753 87	\$10,785 97	\$-32 10
FNMA POOL MA0259 5% 12/1/2039	31417YJD0	237 86	10/09/09	05/25/10	\$237 86	\$246 02	\$-8 16
FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8	303 73	11/10/09	05/25/10	\$303 73	\$315 83	\$-12 10
FNMA POOL AC3278 5 0% 9/01/39	31417MUC5	457 81	02/02/10	05/25/10	\$457 81	\$476 84	\$-19 03
FNMA POOL AC8596 4 0% 1/01/25	31417VRS4	102 93	02/11/10	05/25/10	\$102 93	\$104 89	\$-1 96
FEDERAL NAT'L MTGE ASSN POOL 987214	31415RVF8	575 67	02/11/10	05/25/10	\$575 67	\$608 05	\$-32 38
FNMA POOL 930924 4 5% 4/1/2039	31412PG96	148 64	09/01/09	05/25/10	\$148 64	\$149 66	\$-1 02
FNMA POOL AA8389 4 5% 6/01/39	31416SKB6	47 99	06/11/09	05/25/10	\$47 99	\$46 88	\$1 11
FEDERAL NAT'L MTGE ASSN POOL 74594E	31403DWH8	946 71	09/10/09	05/25/10	\$946 71	\$1,010 83	\$-64 12
FNMA POOL AC5401 5% 10/1/2039	31417SAB6	43 44	10/09/09	05/25/10	\$43 44	\$44 93	\$-1 49
FNMA POOL AC1520 4% 9/1/2024	31417KVN4	92 96	10/14/09	05/25/10	\$92 96	\$94 35	\$-1 39
FEDERAL NAT'L MTGE ASSN POOL 88889C	31410GRK1	411 67	03/08/10	05/25/10	\$411 67	\$442 42	\$-30 75
HOME DEPOT INC USD 0 05	437076102	30	09/11/09	05/27/10	\$1,029 70	\$816 15	\$213 55
HOME DEPOT INC USD 0 05	437076102	250	09/11/09	05/27/10	\$8,580 86	\$6,778 08	\$1,802 78
PMCF 2001-ROCK A2	74438WAB2	41 87	02/24/10	06/10/10	\$41 87	\$43 49	\$-1 62
FIRST UN NATL BK 01-C2 CL A 2	33736XBZ1	395 57	02/09/10	06/14/10	\$395 57	\$410 37	\$-14 80
FHLMC GOLD POOL A90393 5 0% 12/01/39	312938NJ8	20 08	03/08/10	06/15/10	\$20 08	\$20 91	\$-0 83



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Short term gains and losses

This category includes sales of all assets held 12 months or less

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FHLMC GOLD G12633 5 0% 4/01/22	3128MBEE3	352 18	04/14/10	06/15/10	\$352 18	\$373 31	\$-21 13
CHASE COML MTG SECS 00-3 CL A2	161505GM8	1739 58	03/24/10	06/15/10	\$1,739 58	\$1,763 64	\$-24 06
LBUBS 2000-C5 A2 6 51% 12/15/26	52108HCB8	898 24	02/10/10	06/15/10	\$898 24	\$920 20	\$-21 96
CREDIT SUISSE FIRST BOSTON MTG	225458N20	888 38	04/12/10	06/15/10	\$888 38	\$889 77	\$-1 39
CHASE COML MTG SECS 00-3 CL A2	161505GM8	8697 91	03/12/10	06/15/10	\$8,697 91	\$8,840 61	\$-142 70
FHLMC GOLD G05580 6 5% 4/01/39	3128M7Q90	580 31	09/01/09	06/15/10	\$580 31	\$618 76	\$-38 45
LBUBS 2003-C7 A2 4 064% 9/15/27	52108HUK8	1442 82	01/29/10	06/16/10	\$1,442 82	\$1,451 38	\$-8 56
FIRST UN NATL BK COML MTG TR	33736XAX7	1045 34	02/19/10	06/17/10	\$1,045 34	\$1,063 31	\$-17 97
FIRST UN NATL BK COML MTG TR	33736XAX7	1095 33	02/19/10	06/17/10	\$1,095 33	\$1,114 15	\$-18 82
APPLE COMPUTER INC COM	037833100	41	04/23/10	06/18/10	\$11,230 12	\$11,095 12	\$135 00
ANADARKO PETE CORP	032511107	60	06/18/10	06/18/10	\$2,549 97	\$2,552 17	\$-2 20
CIGNA CORP COM	125509109	110	06/19/09	06/18/10	\$3,881 84	\$2,745 15	\$1,136 69
CAPITAL ONE FINL CORP COM	14040H105	100	01/19/10	06/18/10	\$4,253 94	\$4,274 18	\$-20 24
CATERPILLAR INC	149123101	80	06/16/10	06/18/10	\$5,250 32	\$5,147 86	\$102 46
EMC CORP(MASS) USD 0 01	268648102	370	04/23/10	06/18/10	\$7,148 28	\$7,392 56	\$-244 28
EMC CORP(MASS) USD 0 01	268648102	160	07/30/09	06/18/10	\$3,091 15	\$2,495 28	\$595 87
GOOGLE INC	38259P508	14	08/21/09	06/18/10	\$6,994.56	\$6,499 90	\$494 66
GAP INC	364760108	100	01/11/10	06/18/10	\$2,131 98	\$2,058.21	\$73 77
GAP INC	364760108	150	01/11/10	06/18/10	\$3,197.96	\$3,069.39	\$128 57
HEWLETT PACKARD COMPANY	428236103	220	08/21/09	06/18/10	\$10,566.44	\$9,764 90	\$801.54
HUMAN GENOME SCIENCES INC COM	444903108	230	02/12/10	06/18/10	\$6,283 81	\$6,671 33	\$-387 52
LIMITED INC	532716107	310	10/06/09	06/18/10	\$7,666 20	\$5,597 89	\$2,068 31
MCKESSON CORPORATION	58155Q103	120	01/05/10	06/18/10	\$8,439 47	\$7,620 43	\$819 04
MICROSOFT CORP COM	594918104	440	01/21/10	06/18/10	\$11,593 80	\$13,278 81	\$-1,685 01
NORFOLK SOUTHERN CORP	655844108	120	11/04/09	06/18/10	\$7,055 89	\$5,981 71	\$1,074 18
OCCIDENTAL PETROLEUM CORPORATION C	674599105	160	06/11/10	06/18/10	\$13,890 99	\$13,511 04	\$379 95
QUALCOMM INC	747525103	150	01/06/10	06/18/10	\$5,360 99	\$7,208 47	\$-1,847 48



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Short term gains and losses

This category includes sales of all assets held 12 months or less.

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
PUBLIC SERVICE ENTERPRISE GROUP INC (	744573106	340	01/05/10	06/18/10	\$11,410 24	\$11,434 27	\$-24 03
UNILEVER PLC AMER SHS ADR NEW	904767704	280	12/14/09	06/18/10	\$7,867 90	\$8,654 10	\$-786 20
WHIRLPOOL CORPORATION COM	963320106	30	08/28/09	06/18/10	\$3,029 35	\$1,977 92	\$1,051 43
WHIRLPOOL CORPORATION COM	963320106	11	08/28/09	06/18/10	\$1,110 76	\$723 17	\$387 59
WHIRLPOOL CORPORATION COM	963320106	12	08/31/09	06/18/10	\$1,211 74	\$782 94	\$428 80
TIME WARNER INC	887317303	240	01/11/10	06/18/10	\$7,9C5 49	\$6,989 21	\$916 28
BANK AMER CORP	060505104	770	05/06/10	06/18/10	\$12,2C4 37	\$12,498.18	\$-293 81
AMYLIN PHARMACEUTICALS INC	032346108	300	06/18/10	06/18/10	\$5,826 77	\$5,855 04	\$-28 27
UNITED STATES TREAS NTS	912828AP5	5000	12/15/09	06/24/10	\$5,380 26	\$5,392 20	\$-11 94
FNMA POOL AC5401 5% 10/1/2039	31417SAB6	80 86	10/09/09	06/25/10	\$80 86	\$83 63	\$-2 77
FNMA POOL MA0259 5% 12/1/2039	31417YJD0	53 55	10/09/09	06/25/10	\$53 55	\$55 39	\$-1 84
FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8	712 13	11/10/09	06/25/10	\$712 13	\$740 50	\$-28 37
FNMA POOL AC3278 5 0% 9/01/39	31417MUC5	246 03	02/02/10	06/25/10	\$246 03	\$256 26	\$-10 23
FNMA POOL AC8596 4 0% 1/01/25	31417VRS4	117 11	02/11/10	06/25/10	\$117 11	\$119 34	\$-2 23
FEDERAL NAT'L MTGE ASSN POOL 74594E	31403DWH8	872 61	09/10/09	06/25/10	\$872 61	\$931 72	\$-59 11
FEDERAL NAT'L MTGE ASSN POOL 88889C	31410GRK1	353 02	03/08/10	06/25/10	\$353 02	\$379 39	\$-26 37
FNMA POOL AD5672 4 5% 5/01/40	31418TJS7	55 92	05/05/10	06/25/10	\$55 92	\$56 52	\$-0 60
FNMA POOL 995230 6 5% 1/01/39	31416BST6	372 03	04/26/10	06/25/10	\$372 03	\$402 02	\$-29 99
FNMA REMIC 2003-64 BC 5 5% 3/25/30	31393DKA8	1704 44	05/20/10	06/25/10	\$1,7C4 44	\$1,785.40	\$-80 96
FNMA POOL 930924 4 5% 4/1/2039	31412PG96	220 98	09/01/09	06/25/10	\$220 98	\$222 50	\$-1 52
FNMA POOL AC1520 4% 9/1/2024	31417KVN4	70 27	10/14/09	06/25/10	\$70 27	\$71 32	\$-1 05
FEDERAL NAT'L MTGE ASSN POOL 987214	31415RVF8	434 61	02/11/10	06/25/10	\$434 61	\$459 06	\$-24 45
UNITED STATES TREAS NTS	912828AP5	15000	07/22/09	06/30/10	\$16,156 59	\$16,140 28	\$16 31
UNITED STATES TREAS NTS	912828AP5	5000	08/21/09	06/30/10	\$5,365 53	\$5,361 74	\$23 79
TIME WARNER INC	887317303	260	01/08/10	07/01/10	\$7,417 99	\$7,447 73	\$-29 74
GAP INC	364760108	380	01/12/10	07/07/10	\$7,4C0 26	\$7,602 32	\$-202 06
GAP INC	364760108	226	01/11/10	07/07/10	\$4,4C1 21	\$4,624 55	\$-223 34

Short term gains and losses

This category includes sales of all assets held 12 months or less

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
GAP INC	364760108	24	01/08/10	07/07/10	\$467 38	\$487 83	\$-20 45
GAP INC	364760108	400	01/08/10	07/07/10	\$7,789 75	\$8,167 80	\$-378 05
UNITED STATES TREAS NTS	912828AP5	5000	08/21/09	07/08/10	\$5,379 09	\$5,361 73	\$17 36
UNITED STATES TREAS NTS	912828AP5	5000	07/20/09	07/08/10	\$5,379.09	\$5,359.00	\$20.09
UNITED STATES TREAS NTS	912828AP5	5000	07/20/09	07/09/10	\$5,376 74	\$5,359 00	\$17 74
UNITED STATES TREAS NTS	912828AP5	5000	07/20/09	07/09/10	\$5,377 91	\$5,359 00	\$18 91
PMCF 2001-ROCK A2	74438WAB2	530 66	02/24/10	07/10/10	\$530 66	\$551 16	\$-20 50
FIRST UN NATL BK 01-C2 CL A 2	33736XBZ1	798 1	02/09/10	07/14/10	\$798 10	\$827 97	\$-29 87
FIRST UN NATL BK 01-C2 CL A 2	33736XBZ1	1708 94	02/09/10	07/14/10	\$1,708 94	\$1,772 89	\$-63 95
FHLMC GOLD G12633 5 0% 4/01/22	3128MBEE3	388 77	04/14/10	07/15/10	\$388 77	\$412 10	\$-23 33
FHLMC GOLD POOL A90393 5 0% 12/01/39	312938NJ8	19 43	03/08/10	07/15/10	\$19 43	\$20 23	\$-0 80
FHLMC GOLD G05580 6 5% 4/01/39	3128M7Q90	839 69	09/01/09	07/15/10	\$839 69	\$895 32	\$-55 63
J P MORGAN CHASE COML MTG SECS	46625MCU1	107 4	06/29/10	07/15/10	\$107 40	\$110 75	\$-3 35
LBUBS 2000-C5 A2 6 51% 12/15/26	52108HCB8	3351 31	02/10/10	07/15/10	\$3,351 31	\$3,433 26	\$-81.95
CREDIT SUISSE FIRST BOSTON MTG	225458N20	106 69	04/12/10	07/15/10	\$106 69	\$106 86	\$-0 17
LBUBS 2003-C7 A2 4 064% 9/15/27	52108HUK8	951 39	01/29/10	07/16/10	\$951 39	\$957 04	\$-5 65
CHASE COML MTG SECS 00-3 CL A2	161505GM8	1403 66	03/24/10	07/16/10	\$1,403 66	\$1,423 07	\$-19 41
CHASE COML MTG SECS 00-3 CL A2	161505GM8	7018 3	03/12/10	07/16/10	\$7,018 30	\$7,133 44	\$-115 14
FNMA POOL AC3278 5 0% 9/01/39	31417MUC5	23540 39	02/02/10	07/22/10	\$25,055 80	\$24,518 78	\$537 02
FNMA POOL AC5401 5% 10/1/2039	31417SAB6	9512 58	10/09/09	07/22/10	\$10,124 95	\$9,838 09	\$286 86
FNMA POOL MA0259 5% 12/1/2039	31417YJD0	23751 67	10/09/09	07/22/10	\$25,280 68	\$24,566 27	\$714 41
OCCIDENTAL PETROLEUM CORPORATION C	674599105	100	06/11/10	07/23/10	\$8,129.86	\$8,444 40	\$-314 54
CATERPILLAR INC	149123101	40	06/16/10	07/23/10	\$2,733 55	\$2,573 93	\$159 62
WHIRLPOOL CORPORATION COM	963320106	30	08/31/09	07/23/10	\$2,549 36	\$1,957 34	\$592 02
UNILEVER PLC AMER SHS ADR NEW	904767704	180	12/14/09	07/23/10	\$5,403 53	\$5,563 35	\$-159 82
THERMO ELECTRON CORP	883556102	40	11/06/09	07/23/10	\$2,024 77	\$1,794 58	\$230 19
TEXTRON INCORPORATED COMMON	883203101	30	09/02/09	07/23/10	\$608 39	\$526 84	\$81 55



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Short term gains and losses

This category includes sales of all assets held 12 months or less

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
PUBLIC SERVICE ENTERPRISE GROUP INC (	744573106	30	01/05/10	07/23/10	\$1,011 59	\$1,006 81	\$ 4 78
PUBLIC SERVICE ENTERPRISE GROUP INC (	744573106	180	01/05/10	07/23/10	\$6,069 51	\$6,053 44	\$16 07
QUALCOMM INC	747525103	90	01/06/10	07/23/10	\$3,553 14	\$4,325 09	\$-771.95
NORFOLK SOUTHERN CORP	655844108	70	11/04/09	07/23/10	\$3,871 29	\$3,489 33	\$381 96
NORDSTROM INC	655664100	50	07/07/10	07/23/10	\$1,663 97	\$1,658 63	\$ 5 34
MICROSOFT CORP COM	594918104	270	01/21/10	07/23/10	\$6,892 99	\$8,148 35	\$-1,255 36
MCKESSON CORPORATION	58155Q103	10	01/04/10	07/23/10	\$660 59	\$631 77	\$28 82
UNITED STATES TREAS 1 75% 4/15/13	912828MX5	5000	04/16/10	07/23/10	\$5,123 42	\$5,030 49	\$92 93
AMERICAN EXPRESS COMPANY	025816109	110	06/21/10	07/23/10	\$4,778 32	\$4,673 06	\$105 26
AMYLIN PHARMACEUTICALS INC	032346108	130	06/21/10	07/23/10	\$2,403 66	\$2,559 02	\$-155 36
AMYLIN PHARMACEUTICALS INC	032346108	60	06/21/10	07/23/10	\$1,109 38	\$1,169 63	\$-60 25
ANADARKO PETE CORP	032511107	40	06/18/10	07/23/10	\$1,941 57	\$1,701 44	\$240 13
CARNIVAL CORP	143658300	60	07/01/10	07/23/10	\$2,028 57	\$1,873 79	\$154 78
CAPITAL ONE FINL CORP COM	14040H105	90	01/19/10	07/23/10	\$3,603 54	\$3,846 76	\$-243 22
EMC CORP(MASS) USD 0 01	268648102	330	07/30/09	07/23/10	\$6,569 99	\$5,146 51	\$1,443 48
HEWLETT PACKARD COMPANY	428236103	130	08/21/09	07/23/10	\$5,979 90	\$5,770 17	\$209 73
HUMAN GENOME SCIENCES INC COM	444903108	140	02/12/10	07/23/10	\$3,459 34	\$4,060 81	\$-601 47
LIMITED INC	532716107	190	10/06/09	07/23/10	\$4,768 94	\$3,430 96	\$1,337 98
MCKESSON CORPORATION	58155Q103	70	01/05/10	07/23/10	\$4,624 13	\$4,445 25	\$178 88
FNMA POOL 930924 4 5% 4/1/2039	31412PG96	276 66	09/01/09	07/25/10	\$276 66	\$278 56	\$-1 90
FNMA POOL AC1520 4% 9/1/2024	31417KVN4	342 93	10/14/09	07/25/10	\$342 93	\$348 07	\$-5 14
FNMA REMIC 2003-64 BC 5 5% 3/25/30	31393DKA8	796 32	05/20/10	07/25/10	\$796 32	\$834 15	\$-37 83
FEDERAL NAT'L MTGE ASSN POOL 74594E	31403DWH8	737 25	09/10/09	07/25/10	\$737 25	\$787 19	\$-49 94
FNMA POOL 995230 6 5% 1/01/39	31416BST6	299 45	04/26/10	07/25/10	\$299 45	\$323 59	\$-24 14
FNMA POOL AD5672 4 5% 5/01/40	31418TJS7	128 61	05/05/10	07/25/10	\$128 61	\$129 99	\$-1 38
FNMA POOL AC5401 5% 10/1/2039	31417SAB6	82 85	10/09/09	07/25/10	\$82 85	\$85 68	\$-2 83
FEDERAL NAT'L MTGE ASSN POOL 987214	31415RVF8	466 21	02/11/10	07/25/10	\$466 21	\$492 43	\$-26 22



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Short term gains and losses

This category includes sales of all assets held 12 months or less.

Description	C/sip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FNMA POOL AC8596 4 0% 1/01/25	31417VRS4	169 85	02/11/10	07/25/10	\$169 85	\$173 09	\$-3 24
FNMA POOL AC3278 5 0% 9/01/39	31417MUC5	173 28	02/02/10	07/25/10	\$173 28	\$180 48	\$-7 20
FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8	540 92	11/10/09	07/25/10	\$540 92	\$562 47	\$-21 55
FNMA POOL MA0259 5% 12/1/2039	31417YJD0	274 4	10/09/09	07/25/10	\$274 40	\$283.81	\$-9.41
FEDERAL NAT'L MTGE ASSN POOL 88889C	31410GRK1	339 35	03/08/10	07/25/10	\$339 35	\$364.69	\$-25 34
AMYLIN PHARMACEUTICALS INC	032346108	38	06/21/10	07/29/10	\$715 40	\$740 76	\$-25 36
AMYLIN PHARMACEUTICALS INC	032346108	62	06/21/10	07/29/10	\$1,167 22	\$1,207 07	\$-39 85
AMYLIN PHARMACEUTICALS INC	032346108	60	06/18/10	07/29/10	\$1,129.57	\$1,151 14	\$-21.57
ANADARKO PETE CORP	032511107	30	06/18/10	07/29/10	\$1,526 68	\$1,276 08	\$250.60
CAPITAL ONE FINL CORP COM	14040H105	70	01/19/10	07/29/10	\$2,974 96	\$2,991.93	\$-16 97
CARNIVAL CORP	143658300	50	07/01/10	07/29/10	\$1,732 98	\$1,561 49	\$171 49
CATERPILLAR INC	149123101	40	06/16/10	07/29/10	\$2,791 15	\$2,573 93	\$217 22
EMC CORP(MASS) USD 0 01	268648102	270	07/30/09	07/29/10	\$5,386 44	\$4,210 79	\$1,175 65
MICROSOFT CORP COM	594918104	70	01/21/10	07/29/10	\$1,821 37	\$2,112 54	\$-291 17
NORDSTROM INC	655664100	40	07/07/10	07/29/10	\$1,338 38	\$1,326 90	\$11 48
QUALCOMM INC	747525103	80	01/06/10	07/29/10	\$3,126 35	\$3,844 52	\$-718 17
PUBLIC SERVICE ENTERPRISE GROUP INC (	744573106	100	01/05/10	07/29/10	\$3,392 96	\$3,356 02	\$36 94
PUBLIC SERVICE ENTERPRISE GROUP INC (	744573106	80	01/04/10	07/29/10	\$2,714 36	\$2,681 54	\$32 82
WHIRLPOOL CORPORATION COM	963320106	30	08/31/09	07/29/10	\$2,504 66	\$1,957.34	\$547 32
UNILEVER PLC AMER SHS ADR NEW	904767704	70	12/11/09	07/29/10	\$2,012 48	\$2,148 57	\$-136 09
UNILEVER PLC AMER SHS ADR NEW	904767704	30	12/14/09	07/29/10	\$862 49	\$927 22	\$-64 73
UNILEVER PLC AMER SHS ADR NEW	904767704	50	12/11/09	07/29/10	\$1,437 48	\$1,533 43	\$-95 95
AMERICAN EXPRESS COMPANY	025816109	80	06/21/10	07/29/10	\$3,595 94	\$3,398 59	\$197 35
APPLE COMPUTER INC COM	037833100	6	09/04/09	07/29/10	\$1,560 46	\$1,007 82	\$552 64
HEWLETT PACKARD COMPANY	428236103	110	08/21/09	07/29/10	\$5,180 91	\$4,882 45	\$298 46
HUMAN GENOME SCIENCES INC COM	444903108	10	02/12/10	07/29/10	\$253 21	\$290.06	\$-36 85
HUMAN GENOME SCIENCES INC COM	444903108	100	12/03/09	07/29/10	\$2,532 06	\$2,885 71	\$-353 65

Short term gains and losses

This category includes sales of all assets held 12 months or less

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
LIMITED INC	532716107	160	10/06/09	07/29/10	\$4,052 75	\$2,889 23	\$1,163 52
MCKESSON CORPORATION	58155Q103	60	01/04/10	07/29/10	\$3,825 71	\$3,790 61	\$35 10
MCKESSON CORPORATION	58155Q103	10	10/07/09	07/29/10	\$637 62	\$591 23	\$46 39
NORFOLK SOUTHERN CORP	655844108	60	11/04/09	07/29/10	\$3,377 95	\$2,990 86	\$387 09
OCCIDENTAL PETROLEUM CORPORATION C	674599105	80	06/11/10	07/29/10	\$6,325 50	\$6,755 52	\$-430 02
TEXTRON INCORPORATED COMMON	883203101	120	09/02/09	07/29/10	\$2,504 37	\$2,107 36	\$397 01
THERMO ELECTRON CORP	883556102	80	11/06/09	07/29/10	\$3,607 15	\$3,589 16	\$17 99
FED FARM CREDIT BANK 3 0% 9/22/14	31331GL80	15000	08/18/09	07/29/10	\$15,866 35	\$14,977 05	\$909 30
FED FARM CREDIT BANK 3 0% 9/22/14	31331GL80	5000	08/18/09	07/29/10	\$5,295 45	\$4,992 35	\$303 10
FED FARM CREDIT BANK 3 0% 9/22/14	31331GL80	5000	08/18/09	07/29/10	\$5,295 45	\$4,997 86	\$297 59
UNITED STATES TREAS 1 75% 4/15/13	912828MX5	15000	04/16/10	08/04/10	\$15,402 49	\$15,091 46	\$311 03
UNITED STATES TREAS NTS	912828AP5	15000	08/12/09	08/04/10	\$16,160 69	\$15,996 73	\$163 96
PMCF 2001-ROCK A2	74438WAB2	4433 44	02/24/10	08/10/10	\$4,433 44	\$4,604 72	\$-171 28
HEWLETT PACKARD COMPANY	428236103	100	08/21/09	08/11/10	\$4,075 04	\$4,438 59	\$-363 55
HEWLETT PACKARD COMPANY	428236103	300	08/21/09	08/11/10	\$12,250 23	\$13,315 77	\$-1,065 54
HEWLETT PACKARD COMPANY	428236103	160	08/21/09	08/12/10	\$6,424 26	\$7,101 74	\$-677 48
HEWLETT PACKARD COMPANY	428236103	100	09/03/09	08/12/10	\$4,015 16	\$4,435 24	\$-420 08
LBUBS 2000-C5 A2 6 51% 12/15/26	52108HCB8	4203 83	02/10/10	08/15/10	\$4,203 83	\$4,306 63	\$-102 80
CREDIT SUISSE FIRST BOSTON MTG	225458N20	62 47	04/12/10	08/15/10	\$62 47	\$62 57	\$-0 10
J P MORGAN CHASE COML MTG SECS	46625MCU1	581 05	06/29/10	08/15/10	\$581 05	\$599 16	\$-18 11
FHLMC GOLD G12633 5 0% 4/01/22	3128MBEE3	340 66	04/14/10	08/15/10	\$340 66	\$361 10	\$-20 44
FHLMC GOLD POOL A90393 5 0% 12/01/39	312938NJ8	19 94	03/08/10	08/15/10	\$19 94	\$20 76	\$-0 82
FHLMC GOLD G05580 6 5% 4/01/39	3128M7Q90	616 99	09/01/09	08/15/10	\$616 99	\$657 87	\$-40 88
FHLMC GOLD G12633 5 0% 4/01/22	3128MBEE3	113 55	07/09/10	08/15/10	\$113 55	\$121 36	\$-7 81
CHASE COML MTG SECS 00-3 CL A2	161505GM8	1776 77	03/24/10	08/16/10	\$1,776 77	\$1,801 34	\$-24 57
LBUBS 2003-C7 A2 4 064% 9/15/27	52108HUK8	41 43	01/29/10	08/16/10	\$41 43	\$41 68	\$-0 25
CHASE COML MTG SECS 00-3 CL A2	161505GM8	8883 83	03/12/10	08/16/10	\$8,863 83	\$9,029 58	\$-145 75



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Short term gains and losses

This category includes sales of all assets held 12 months or less.

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FIRST UN NATL BK COML MTG TR	33736XAX7	321 16	02/19/10	08/17/10	\$321 16	\$326 68	\$-5.52
FNMA POOL 930924 4 5% 4/1/2039	31412PG96	544 16	09/01/09	08/25/10	\$544 16	\$547 90	\$-3 74
FNMA POOL AC1520 4% 9/1/2024	31417KVN4	86 78	10/14/09	08/25/10	\$86 78	\$88 08	\$-1.30
FNMA POOL 889072 6 5% 12/01/37	31410GW90	128 43	07/16/10	08/25/10	\$128 43	\$141 47	\$-13.04
FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8	575 54	11/10/09	08/25/10	\$575.54	\$598 47	\$-22 93
FEDERAL NAT'L MTGE ASSN POOL 987214	31415RVF8	520 43	02/11/10	08/25/10	\$520 43	\$549 70	\$-29.27
FEDERAL NAT'L MTGE ASSN POOL 888890	31410GRK1	284 5	03/08/10	08/25/10	\$284 50	\$305 75	\$-21 25
FNMA POOL AD5672 4 5% 5/01/40	31418TJS7	269 14	05/05/10	08/25/10	\$269 14	\$272 02	\$-2 88
FNMA POOL 995230 6 5% 1/01/39	31416BST6	376 77	04/26/10	08/25/10	\$376 77	\$407 15	\$-30 38
FNMA REMIC 2003-64 BC 5 5% 3/25/30	31393DKA8	619 17	05/20/10	08/25/10	\$619 17	\$648.58	\$-29 41
FEDERAL NAT'L MTGE ASSN POOL 745948	31403DWH8	726 44	09/10/09	08/25/10	\$726 44	\$775 64	\$-49.20
FNMA POOL AC8596 4 0% 1/01/25	31417VRS4	219 29	02/11/10	08/25/10	\$219 29	\$223 47	\$-4 18
U S TREASURY NOTE	912828KQ2	15000	08/20/10	09/08/10	\$15,727 67	\$15,872 52	\$-144.85
KRAFT FOODS INC 4 125% 2/09/16	50075NBB9	15000	02/04/10	09/08/10	\$16,047.00	\$14,948 70	\$1,098 30
UNITED STATES TREAS 1 75% 4/15/13	912828MX5	10000	04/16/10	09/08/10	\$10,270 67	\$10,060 97	\$209 70
PMCF 2001-ROCK A2	74438WAB2	1011 35	02/24/10	09/10/10	\$1,011 35	\$1,050 42	\$-39 07
BANC AMER COML MTG INC	05947U4A3	2908 79	06/23/10	09/10/10	\$2,908 79	\$2,936 97	\$-28 18
FIRST UN NATL BK 01-C2 CL A 2	33736XBZ1	964 03	02/09/10	09/14/10	\$964 03	\$1,000 11	\$-36 08
LBUBS 2000-C5 A2 6 51% 12/15/26	52108HCB8	3150 3	02/10/10	09/15/10	\$3,150 30	\$3,227 34	\$-77 04
LBUBS 2003-C7 A2 4 064% 9/15/27	52108HUK8	180 42	01/29/10	09/15/10	\$180 42	\$181 49	\$-1.07
CREDIT SUISSE FIRST BOSTON MTG	225458N20	2636 09	04/12/10	09/15/10	\$2,636 09	\$2,640.20	\$-4 11
CHASE COML MTG SECS 00-3 CL A2	161505GM8	3375 54	03/12/10	09/15/10	\$3,375 54	\$3,430 92	\$-55 38
J P MORGAN CHASE COML MTG SECS	46625MCU1	823 2	06/29/10	09/15/10	\$823 20	\$848 86	\$-25 66
FHLMC GOLD A92988 5 0% 7/01/40	312941J99	338 27	07/22/10	09/15/10	\$338 27	\$359 20	\$-20 93
FHLMC GOLD A92821 5 0% 7/01/40	312941C20	150 18	07/22/10	09/15/10	\$150 18	\$159 52	\$-9 34
FHLMC GOLD G12633 5 0% 4/01/22	3128MBEE3	147 31	07/09/10	09/15/10	\$147 31	\$157 44	\$-10 13
FHLMC GOLD G12633 5 0% 4/01/22	3128MBEE3	441 94	04/14/10	09/15/10	\$441.94	\$468 46	\$-26 52

Short term gains and losses

This category includes sales of all assets held 12 months or less

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FHLMC GOLD POOL A90393 5 0% 12/01/39	312938NJ8	151 35	03/08/10	09/15/10	\$151 35	\$157 59	\$-6 24
CHASE COML MTG SECS 00-3 CL A2	161505GM8	675 1	03/24/10	09/15/10	\$675 10	\$684 43	\$-9 33
FED FARM CREDIT BANK 3 875% 10/07/13	31331GCS6	15000	01/21/10	09/21/10	\$16,314 60	\$16,012 11	\$302 49
FED FARM CREDIT BANK 3 875% 10/07/13	31331GCS6	10000	01/21/10	09/21/10	\$10,875 50	\$10,674 74	\$200 76
UNITED STATES TREAS 1 75% 4/15/13	912828MX5	5000	04/16/10	09/21/10	\$5,146 08	\$5,030 48	\$115 60
FNMA POOL AC8596 4 0% 1/01/25	31417VRS4	369 16	02/11/10	09/25/10	\$369.16	\$376 20	\$-7 04
FNMA POOL AA7694 4.5% 7/01/24	31416RRQ8	1020 93	11/10/09	09/25/10	\$1,020 93	\$1,061 61	\$-40 68
FNMA POOL 889072 6 5% 12/01/37	31410GW90	126 39	07/16/10	09/25/10	\$126 39	\$139 23	\$-12 84
FNMA POOL AC1520 4% 9/1/2024	31417KVN4	131 82	10/14/09	09/25/10	\$131 82	\$133 80	\$-1 98
FEDERAL NAT'L MTGE ASSN POOL 987214	31415RVF8	596 12	02/11/10	09/25/10	\$596 12	\$629 65	\$-33 53
FNMA REMIC 2003-64 BC 5 5% 3/25/30	31393DKA8	689 24	05/20/10	09/25/10	\$689 24	\$721 98	\$-32 74
FNMA POOL 995230 6 5% 1/01/39	31416BST6	311 14	04/26/10	09/25/10	\$311 14	\$336 23	\$-25.09
FNMA POOL AD5672 4 5% 5/01/40	31418TJS7	460 87	05/05/10	09/25/10	\$460 87	\$465 80	\$-4 93
FEDERAL NAT'L MTGE ASSN POOL 88889C	31410GRK1	275 62	03/08/10	09/25/10	\$275 62	\$296 21	\$-20.59
U S TREASURY NOTE 2 75% 2/15/19	912828KD1	25000	09/21/10	09/28/10	\$26,050 68	\$25,636 82	\$413 86
FED HOME LOAN MTG CORP 2 0% 9/28/12	3128X9GK0	20000	10/28/09	09/28/10	\$20,000 00	\$20,060 00	\$-60 00
FED NATL MTG ASSN 2 0% 9/28/12	31398AZF2	20000	10/28/09	09/28/10	\$20,000 00	\$20,060 00	\$-60 00
U S TREASURY NOTE	912828KQ2	5000	08/20/10	09/28/10	\$5,337 87	\$5,290 84	\$47 03
U S TREASURY NOTE 3 875% 8/15/40	912810QK7	10000	09/08/10	10/07/10	\$10,292 54	\$10,279 34	\$13 20
BANC AMER COML MTG INC	05947U4A3	1442 91	06/23/10	10/10/10	\$1,442 91	\$1,456 89	\$-13 98
PMCF 2001-ROCK A2	74438WAB2	2189 7	02/24/10	10/10/10	\$2,189 70	\$2,274 29	\$-84 59
NEW YORK N Y	64966H4L1	5000	10/07/10	10/14/10	\$4,937 50	\$5,000 00	\$-62 50
NEW YORK N Y	64966H4L1	5000	10/07/10	10/14/10	\$4,925 00	\$5,000 00	\$-75 00
FHLMC GOLD G12633 5 0% 4/01/22	3128MBEE3	155 76	07/09/10	10/15/10	\$155 76	\$166 47	\$-10 71
FHLMC GOLD A92821 5.0% 7/01/40	312941D20	524 95	07/22/10	10/15/10	\$524 95	\$557 60	\$-32 65
FHLMC GOLD A92988 5 0% 7/01/40	312941J99	477 24	07/22/10	10/15/10	\$477 24	\$506 77	\$-29 53
FIRST UN NATL BK 01-C2 CL A 2	33736XBZ1	1631 36	02/09/10	10/15/10	\$1,631 36	\$1,692 41	\$-61 05



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Short term gains and losses

This category includes sales of all assets held 12 months or less

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FHLMC GOLD POOL A90393 5 0% 12/01/39	312938NJ8	498 6	03/08/10	10/15/10	\$498 60	\$519 17	\$-20 57
J P MORGAN CHASE COML MTG SECS	46625MCU1	1023 81	06/29/10	10/15/10	\$1,023 81	\$1,055 72	\$-31 91
LBUBS 2000-C5 A2 6 51% 12/15/26	52108HCB8	1562 32	02/10/10	10/15/10	\$1,562 32	\$1,600 52	\$-38 20
CREDIT SUISSE FIRST BOSTON MTG	225458N20	234 66	04/12/10	10/15/10	\$234 66	\$235 03	\$-0 37
FHLMC GOLD G12633 5 0% 4/01/22	3128MBEE3	467 27	04/14/10	10/15/10	\$467 27	\$495 31	\$-28 04
LBUBS 2003-C7 A2 4 064% 9/15/27	52108HUK8	44 44	01/29/10	10/15/10	\$44 44	\$44 70	\$-0 26
FNMA POOL 889072 6 5% 12/01/37	31410GW90	103 16	07/16/10	10/25/10	\$103 16	\$113 64	\$-10 48
FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8	438 15	11/10/09	10/25/10	\$438 15	\$455 61	\$-17 46
FNMA REMIC 2003-64 BC 5 5% 3/25/30	31393DKA8	678 95	05/20/10	10/25/10	\$678 95	\$711 20	\$-32 25
FNMA POOL 995230 6 5% 1/01/39	31416BST6	321 46	04/26/10	10/25/10	\$321 46	\$347 38	\$-25 92
FNMA POOL AD5672 4 5% 5/01/40	31418TJS7	661 49	05/05/10	10/25/10	\$661.49	\$668 57	\$-7 08
FEDERAL NAT'L MTGE ASSN POOL 88889C	31410GRK1	260 4	03/08/10	10/25/10	\$260 40	\$279 85	\$-19 45
FEDERAL NAT'L MTGE ASSN POOL 987214	31415RVF8	691 75	02/11/10	10/25/10	\$691 75	\$730.66	\$-38 91
FNMA POOL AC8596 4 0% 1/01/25	31417VRS4	392 66	02/11/10	10/25/10	\$392 66	\$400 15	\$-7 49
BNY MELLON INTL FD CL M SHSS	05569M871	1936 11	03/24/10	10/26/10	\$20,619 57	\$20,000 00	\$619 57
BNY MELLON EMERGING MKTS FD CL M SHS	05569M855	17326 73	12/28/09	10/26/10	\$200,123 74	\$175,000 00	\$25,123 74
BNY MELLON EMERGING MKTS FD CL M SHS	05569M855	1988 07	03/24/10	10/26/10	\$22,962 21	\$20,000 00	\$2,962 21
BNY MELLON EMERGING MKTS FD CL M SHS	05569M855	414 75	12/22/09	10/26/10	\$4,790 36	\$4,093 59	\$696.77
BNY MELLON INTL FD CL M SHSS	05569M871	731	12/16/09	10/26/10	\$7,785 15	\$7,646 25	\$138 90
BNY MELLON MID CAP STK FD CL M	05569M509	167 34	12/09/09	10/26/10	\$1,829 03	\$1,529 48	\$299 55
BNY MELLON INTL FD CL M SHSS	05569M871	2229 3	06/22/10	10/26/10	\$23,742 04	\$21,000 00	\$2,742 04
FNMA POOL 995230 6 5% 1/01/39	31416BST6	9431 2	04/26/10	10/27/10	\$10,384 64	\$10,191 59	\$193.05
FNMA POOL 889072 6 5% 12/01/37	31410GW90	4204 07	07/16/10	10/27/10	\$4,634 99	\$4,631 04	\$3 95
NEW YORK N Y	64966H4L1	5000	10/07/10	10/29/10	\$4,947 50	\$5,000 00	\$-52 50
UNITED STATES TREAS 1 75% 4/15/13	912828MX5	10000	04/16/10	11/01/10	\$10,323 44	\$10,060 97	\$262 47
UNITED STATES TREAS 1 75% 4/15/13	912828MX5	15000	04/23/10	11/01/10	\$15,485 16	\$15,035 21	\$449 95
UNITED STATES TREAS 1 75% 4/15/13	912828MX5	15000	04/26/10	11/01/10	\$15,485 16	\$15,041 07	\$444 09



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Short term gains and losses

This category includes sales of all assets held 12 months or less

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
UNITED STATES T-NOTE 1 375% 5/15/13	912828NCO	5000	05/14/10	11/01/10	\$5,119 14	\$5,013 69	\$105 45
U S TREASURY NOTE	912828NH9	5000	07/16/10	11/01/10	\$5,089 26	\$5,032 44	\$56 82
U S TREASURY NOTE	912828NH9	10000	07/21/10	11/01/10	\$10,178 52	\$10,062.14	\$116 38
U S TREASURY NOTE	912828NH9	15000	08/02/10	11/01/10	\$15,267 77	\$15,132 47	\$135 30
U S TREASURY NOTE	912828NH9	15000	07/20/10	11/01/10	\$15,267 77	\$15,099 07	\$168 70
RYDEX MANAGED FUTURES STRATEGY FD-	78356A160	12133 89	08/12/10	11/03/10	\$306,502 09	\$290,000 00	\$16,502 09
PMCF 2001-ROCK A2	74438WAB2	2862 84	02/24/10	11/10/10	\$2,862 84	\$2,973.44	\$-110 60
BANC AMER COML MTG INC	05947U4A3	58 46	06/23/10	11/10/10	\$58 46	\$59 03	\$-0 57
J P MORGAN CHASE COML MTG SECS	46625MCU1	96 21	06/29/10	11/15/10	\$96 21	\$99 21	\$-3 00
FIRST UN NATL BK 01-C2 CL A 2	33736XBZ1	3577 02	02/09/10	11/15/10	\$3,577 02	\$3,710 87	\$-133 85
FHLMC GOLD A92988 5 0% 7/01/40	312941J99	490 11	07/22/10	11/15/10	\$490 11	\$520 44	\$-30 33
FHLMC GOLD G12633 5 0% 4/01/22	3128MBEE3	170 38	07/09/10	11/15/10	\$170 38	\$182 09	\$-11 71
FHLMC GOLD G12633 5 0% 4/01/22	3128MBEE3	511 15	04/14/10	11/15/10	\$511 15	\$541 82	\$-30 67
FHLMC GOLD POOL A90393 5 0% 12/01/39	312938NJ8	248 74	03/08/10	11/15/10	\$248 74	\$259 00	\$-10 26
FHLMC GOLD A92821 5 0% 7/01/40	312941D20	538 23	07/22/10	11/15/10	\$538 23	\$571 70	\$-33 47
FEDERAL NAT'L MTGE ASSN POOL 987214	31415RVF8	820 96	02/11/10	11/25/10	\$820 96	\$867 14	\$-46 18
FNMA POOL AD5672 4 5% 5/01/40	31418TJS7	931 13	05/05/10	11/25/10	\$931 13	\$941 10	\$-9 97
FNMA REMIC 2003-64 BC 5 5% 3/25/30	31393DKA8	969 77	05/20/10	11/25/10	\$969 77	\$1,015 83	\$-46 06
FEDERAL NAT'L MTGE ASSN POOL 88889C	31410GRK1	241 61	03/08/10	11/25/10	\$241 61	\$259 65	\$-18 04
FNMA POOL AC8596 4 0% 1/01/25	31417VRS4	432 87	02/11/10	11/25/10	\$432 87	\$441 12	\$-8 25
Total short term gain/loss:					\$2,117,365 24	\$2,044,639 67	\$72,725 57

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
AOL INC	00184X105	59	12/04/08	01/04/10	\$1,393 79	\$946 26	\$447 53

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
SEMPRA ENERGY	816851109	280	02/12/08	01/06/10	\$15,206.66	\$15,754.54	\$-547.88
ACCENTURE PLC IRELAND SHS CL A	G1151C101	90	02/27/08	01/06/10	\$3,816.89	\$3,303.86	\$513.03
ACCENTURE PLC IRELAND SHS CL A	G1151C101	470	02/27/08	01/06/10	\$20,023.70	\$17,253.46	\$2,770.24
JUNIPER NETWORKS INC	48203R104	40	10/28/08	01/06/10	\$1,038.81	\$712.27	\$326.54
ACCENTURE PLC IRELAND SHS CL A	G1151C101	100	11/03/08	01/06/10	\$4,260.36	\$3,205.99	\$1,054.37
SEMPRA ENERGY	816851109	424	02/12/08	01/06/10	\$22,982.80	\$23,856.87	\$-874.07
SEMPRA ENERGY	816851109	36	02/12/08	01/07/10	\$1,937.20	\$2,025.58	\$-88.38
SEMPRA ENERGY	816851109	98	11/03/08	01/07/10	\$5,272.90	\$4,214.98	\$1,057.92
SEMPRA ENERGY	816851109	31	11/03/08	01/07/10	\$1,668.15	\$1,333.31	\$334.84
CVS CORP	126650100	90	02/12/08	01/08/10	\$3,055.02	\$3,646.02	\$-591.00
VERTEX PHARMACEUTICALS INC	92532F100	50	11/03/08	01/08/10	\$2,031.11	\$1,367.49	\$663.62
VERTEX PHARMACEUTICALS INC	92532F100	80	11/03/08	01/08/10	\$3,249.78	\$2,182.59	\$1,067.19
CVS CORP	126650100	210	02/12/08	01/08/10	\$7,126.11	\$8,507.38	\$-1,381.27
CVS CORP	126650100	410	02/12/08	01/11/10	\$13,882.86	\$16,609.65	\$-2,726.79
CVS CORP	126650100	70	02/12/08	01/11/10	\$2,367.56	\$2,835.79	\$-468.23
UNITED STATES TREAS NTS	912828FF2	10000	06/05/08	01/11/10	\$11,192.54	\$10,888.28	\$304.26
VERTEX PHARMACEUTICALS INC	92532F100	30	11/03/08	01/11/10	\$1,223.30	\$818.48	\$404.82
UNITED STATES TREAS NTS	912828FF2	5000	06/05/08	01/12/10	\$5,619.12	\$5,444.14	\$174.98
HDMOT 2005-3 A2 4 41% 6/15/12	41283ABY4	206.91	03/25/08	01/15/10	\$206.91	\$207.94	\$-1.03
HAROT 2006-3 A4 5 11% 4/15/12	43812RAD0	1332.77	03/05/08	01/15/10	\$1,332.77	\$1,359.22	\$-26.45
FIRST HORIZON NATL CORP	320517105	16	10/15/08	01/19/10	\$213.45	\$169.54	\$43.91
FIRST HORIZON NATL CORP	320517105	566	10/15/08	01/19/10	\$7,554.42	\$5,997.60	\$1,556.82
FIRST HORIZON NATL CORP	320517105	96.41	10/15/08	01/19/10	\$1,289.11	\$1,021.58	\$267.53
FIRST HORIZON NATL CORP	320517105	105	10/14/08	01/19/10	\$1,402.08	\$1,074.15	\$327.93
FIRST HORIZON NATL CORP	320517105	52.59	10/15/08	01/19/10	\$703.18	\$557.29	\$145.89
FIRST HORIZON NATL CORP	320517105	234.01	10/14/08	01/19/10	\$3,099.21	\$2,393.93	\$705.28

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FIRST HORIZON NATL CORP	320517105	115 02	10/15/08	01/19/10	\$1,523 32	\$1,218 84	\$304 48
FIRST HORIZON NATL CORP	320517105	229 97	10/14/08	01/19/10	\$3,045 70	\$2,361 64	\$684 06
FIRST HORIZON NATL CORP	320517105	186	10/14/08	01/19/10	\$2,477 88	\$1,902 78	\$575 10
SIMON PROPERTY GRP LP 5 75% 5/01/12	828807BS5	20000	02/13/08	01/20/10	\$21,550 00	\$20,229 60	\$1,320 40
CITEC 2006-VT2 A4 5 05% 4/20/14	125565AD3	1039 2	03/05/08	01/20/10	\$1,039 20	\$1,054 79	\$-15 59
APPLE COMPUTER INC COM	037833100	117	07/08/08	01/21/10	\$24,399 99	\$20,704 40	\$3,695 59
UNITED STATES TREAS NTS	912828FF2	10000	06/05/08	01/22/10	\$11,308 16	\$10,888 29	\$419 87
FIRST HORIZON NATL CORP	320517105	0 87	10/15/08	01/28/10	\$11 35	\$9 22	\$2 13
UNITED STATES TREAS NTS	912828GK0	5000	12/11/08	01/29/10	\$5,384 94	\$5,557 05	\$-172 11
UNITED STATES TREAS NTS	912828GK0	10000	12/11/08	02/02/10	\$10,765 98	\$11,114 10	\$-348 12
GNMA I POOL 685839 5 0% 4/15/38	36296A4Y7	25242 74	04/25/08	02/03/10	\$26,280 06	\$24,983 83	\$1,296 23
GNMA I POOL 685839 5 0% 4/15/38	36296A4Y7	18030 48	06/27/08	02/03/10	\$18,771 42	\$17,672 16	\$1,099 26
GNMA I POOL 685839 5 0% 4/15/38	36296A4Y7	3606 12	06/06/08	02/03/10	\$3,754 31	\$3,552 02	\$202 29
UNITED STATES TREAS NTS	912828ES5	10000	01/28/09	02/10/10	\$10,355 44	\$10,693 78	\$-338 34
UNITED STATES TREAS NTS	912828ES5	5000	01/23/09	02/10/10	\$5,180 26	\$5,353 14	\$-172 88
UNITED STATES TREAS NTS	912828ES5	10000	01/28/09	02/10/10	\$10,360 51	\$10,693 79	\$-333 28
AMGEN INC	031162100	50	10/29/08	02/12/10	\$2,817 60	\$3,006 99	\$-189 39
AMGEN INC	031162100	150	10/28/08	02/12/10	\$8,452 80	\$8,748 75	\$-295 95
HDMOT 2005-3 A2 4 41% 6/15/12	41283ABY4	215 37	03/25/08	02/15/10	\$215 37	\$216 45	\$-1 08
GNMA POOL 687844 6 5% 8/15/38	36296DER5	622 91	08/22/08	02/15/10	\$622 91	\$639 16	\$-16 25
GNMA I POOL 685839 5 0% 4/15/38	36296A4Y7	547 72	06/27/08	02/15/10	\$547 72	\$536 78	\$10 94
GNMA I POOL 685839 5 0% 4/15/38	36296A4Y7	109 54	06/06/08	02/15/10	\$109 54	\$107 89	\$1 65
GNMA I POOL 685839 5 0% 4/15/38	36296A4Y7	766 8	04/25/08	02/15/10	\$766 80	\$758 89	\$7 91
FHLMC GOLD A69290 6 0% 12/01/37	3128L1KB5	498 88	02/29/08	02/15/10	\$498 88	\$509 71	\$-10 83
FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9	553 42	08/20/08	02/15/10	\$553 42	\$542 98	\$10 44
GNMA POOL 645884 5 5% 2/15/36	36292DRD6	265 18	02/27/08	02/15/10	\$265 18	\$267 42	\$-2 24



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FHLMC GOLD G04425 6 0% 5/01/38	3128M6HA9	297 96	02/09/09	02/15/10	\$297 96	\$308 48	\$-10 52
HAROT 2006-3 A4 5 11% 4/15/12	43812RAD0	1287 77	03/05/08	02/15/10	\$1,287 77	\$1,313 32	\$-25.55
GNMA POOL 782105 5 0% 3/15/36	36241KKS6	450 27	02/27/08	02/15/10	\$450 27	\$446 52	\$3 75
FED'L HOME LOAN MTGE CORP GRP G119: 3128M1BF5	3128M1BF5	162 27	11/18/08	02/15/10	\$162 27	\$159 93	\$2 34
JPMORGAN CHASE & CO	46625HGT1	5000	02/19/08	02/17/10	\$5,413 25	\$5,210 40	\$202.85
CITEC 2006-VT2 A4 5 05% 4/20/14	125565AD3	921 24	03/05/08	02/20/10	\$921 24	\$935 06	\$-13.82
UNITED STATES TREAS NTS	912828ES5	5000	02/20/09	02/22/10	\$5,173 42	\$5,329 70	\$-156 28
FNMA POOL 831812 6 0% 9/01/36	31407JDR0	280 71	02/27/08	02/25/10	\$280 71	\$284 39	\$-3 68
FNMA POOL 889668 6 0% 6/01/38	31410KMR2	1336 53	06/13/08	02/25/10	\$1,336.53	\$1,336.32	\$0 21
FNMA POOL 888967 6 0% 12/01/37	31410GTY9	743 16	06/09/08	02/25/10	\$743 16	\$754 19	\$-11.03
FNMA POOL 962003 5 5% 3/01/38	31414CGL6	116 03	02/25/08	02/25/10	\$116 03	\$115 77	\$0 26
FNMA POOL 966608 5 5% 2/01/38	31414HKV8	86 17	02/15/08	02/25/10	\$86 17	\$86.24	\$-0 07
FNMA POOL 888214 5 0% 3/01/21	31410FYK5	307 94	02/27/08	02/25/10	\$307 94	\$308 04	\$-0 10
FNMA POOL 899651 6 5% 8/01/37	31410WQC5	832 56	02/27/08	02/25/10	\$832 56	\$858 06	\$-25 50
FNMA POOL 888279 4 5% 1/01/36	31410F2L8	108 47	02/27/08	02/25/10	\$108 47	\$103 38	\$5 09
UNITED STATES TREAS NTS	912828ES5	10000	02/20/09	02/25/10	\$10,346 84	\$10,659 41	\$-312 57
FNMA POOL 918044 5 979% 5/01/37	31411X5D3	16 57	10/29/08	02/25/10	\$16 57	\$16 37	\$0 20
CISCO SYS INC	17275R102	60	07/23/08	03/03/10	\$1,476 27	\$1,320 95	\$155 32
CISCO SYS INC	17275R102	290	02/12/08	03/03/10	\$7,135 30	\$6,895 68	\$239 62
CISCO SYS INC	17275R102	180	02/12/08	03/03/10	\$4,425 85	\$4,280 07	\$145.78
FEDERAL NATL MTG ASSN 5.25% 3/05/14	31359M5U3	35000	02/26/08	03/05/10	\$35,000 00	\$36,130.85	\$-1,130 85
AETNA U S HEALTHCARE INC	00817YAD0	15000	02/14/08	03/09/10	\$15,767 10	\$15,688 80	\$78 30
PNC FUNDING CORP 4 5% 3/10/10	693476AV5	10000	04/09/08	03/10/10	\$10,000 00	\$10,044 60	\$-44 60
UNITED STATES TREAS NTS	912828ES5	5000	03/05/09	03/12/10	\$5,161 51	\$5,320 52	\$-159 01
FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9	414 95	08/20/08	03/15/10	\$414 95	\$407 53	\$7 42
GNMA POOL 687844 6 5% 8/15/38	36296DER5	503 45	08/22/08	03/15/10	\$503 45	\$516 59	\$-13 14

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
GNMA POOL 645884 5 5% 2/15/36	36292DRD6	298 32	02/27/08	03/15/10	\$298 32	\$300 84	\$-2 52
FHLMC GOLD G04425 6 0% 5/01/38	3128M6HA9	1426 42	02/09/09	03/15/10	\$1,426 42	\$1,476 79	\$-50 37
FED'L HOME LOAN MTGE CORP GRP G119: 3128M1BF5	3128M1BF5	167 18	11/18/08	03/15/10	\$167 18	\$164 89	\$2 29
HAROT 2006-3 A4 5 11% 4/15/12	43812RAD0	1239 18	03/05/08	03/15/10	\$1,239 18	\$1,263 77	\$-24 59
HDMOT 2005-3 A2 4 41% 6/15/12	41283ABY4	229 92	03/25/08	03/15/10	\$229 92	\$231 07	\$-1 15
GNMA POOL 782105 5 0% 3/15/36	36241KKS6	484 85	02/27/08	03/15/10	\$484 85	\$481 01	\$3 84
FHLMC GOLD A69290 6 0% 12/01/37	3128L1KB5	2333 5	02/29/08	03/15/10	\$2,333 50	\$2,384 18	\$-50 68
CITEC 2006-VT2 A4 5 05% 4/20/14	125565AD3	895 68	03/05/08	03/20/10	\$895 68	\$909 12	\$-13 44
CVS CORP	126650100	10	02/12/08	03/24/10	\$357 80	\$405 11	\$-47 31
FIRST HORIZON NATL CORP	320517105	1 4	10/15/08	03/24/10	\$19 68	\$14 58	\$5 10
MERCK & CO INC	58933Y105	10	03/13/09	03/24/10	\$380 80	\$274 44	\$106 36
VALE SA-SP ADR	91912E105	10	02/12/08	03/24/10	\$313 50	\$328 64	\$-15 14
TYCO INTERNATIONAL LTD	H89128104	10	02/12/08	03/24/10	\$369 30	\$406 19	\$-36 89
PHILIP MORRIS INTERNATIONAL	718172109	10	02/12/08	03/24/10	\$519 09	\$505 48	\$13 61
KBR INC	48242W106	10	02/12/08	03/24/10	\$220 30	\$320 28	\$-99 98
HESS CORP	42809H107	10	02/12/08	03/24/10	\$611 59	\$908 86	\$-297 27
AT&T INC	00206R102	20	02/12/08	03/24/10	\$522 19	\$751 40	\$-229 21
BNY MELLON MID CAP STK FD CL M	05569M509	1620 59	02/12/08	03/24/10	\$17,000 00	\$18,328 88	\$-1,328 88
SEMPRA ENERGY	816851109	1	11/03/08	03/24/10	\$49 38	\$43 01	\$6 37
AMGEN INC	031162100	10	10/28/08	03/24/10	\$593 59	\$583 25	\$10 34
APACHE CORPORATION COM	037411105	5	02/12/08	03/24/10	\$511 09	\$524 13	\$-13 04
APPLE COMPUTER INC COM	037833100	3	07/08/08	03/24/10	\$686 39	\$530 88	\$155 51
FIRST HORIZON NATL CORP	320517105	0 6	10/14/08	03/24/10	\$8 43	\$6 10	\$2 33
CISCO SYS INC	17275R102	20	07/23/08	03/24/10	\$528 79	\$440 32	\$88 47
COCA COLA ENTERPRISES INC COM	191219104	10	02/12/08	03/24/10	\$270 70	\$242 08	\$28 62
EXELON CORP	30161N101	10	02/12/08	03/24/10	\$435 49	\$795 41	\$-359 92



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
EXXON MOBIL CORP	30231G102	10	02/12/08	03/24/10	\$663 89	\$846 38	\$-182 49
FRANKLIN RES INC COM	354613101	2	01/21/09	03/24/10	\$221 72	\$102 24	\$119 48
GENERAL ELECTRIC CO	369604103	30	02/12/08	03/24/10	\$556 49	\$1,034 66	\$-478 17
HALLIBURTON COMPANY COM	406216101	10	02/12/08	03/24/10	\$304 30	\$352 60	\$-48 30
HONEYWELL INTL INC	438516106	10	02/12/08	03/24/10	\$445.59	\$580 00	\$-134 41
INTERNATIONAL BUSINESS MACHINES CORP	459200101	2	02/12/08	03/24/10	\$258 00	\$213 92	\$44.08
J P MORGAN CHASE & CO	46625H100	10	02/12/08	03/24/10	\$444 29	\$438 73	\$5 56
MEDTRONIC INC	585055106	10	02/12/08	03/24/10	\$450 19	\$480.89	\$-30 70
OMNICOM GROUP INC COM	681919106	10	02/12/08	03/24/10	\$397 39	\$470 44	\$-73 05
ORACLE CORPORATION	68389X105	20	02/12/08	03/24/10	\$514.79	\$397 60	\$117 19
PFIZER INC COM	717081103	40	02/12/08	03/24/10	\$701 99	\$903 93	\$-201 94
PROCTER & GAMBLE CO COM	742718109	10	02/12/08	03/24/10	\$636 69	\$669 20	\$-32 51
SCHWAB CHARLES CORP NEW	808513105	10	11/20/08	03/24/10	\$182 10	\$152 21	\$29 89
TEXTRON INCORPORATED COMMON	883203101	10	02/12/08	03/24/10	\$224 10	\$572 28	\$-348 18
THERMO ELECTRON CORP	883556102	10	02/12/08	03/24/10	\$504 79	\$562 27	\$-57 48
WELLS FARGO & CO NEW	949746101	10	02/12/08	03/24/10	\$309 10	\$302 00	\$7 10
XTO ENERGY INC	98385X106	10	02/12/08	03/24/10	\$468 39	\$569.30	\$-100 91
HOSPIRA INC	441060100	10	02/12/08	03/24/10	\$569 69	\$415 99	\$153 70
NEWS CORP	65248E203	30	02/12/08	03/24/10	\$499 49	\$604 50	\$-105 01
FNMA POOL 889668 6 0% 6/01/38	31410KMR2	1273 86	06/13/08	03/25/10	\$1,273 86	\$1,273 66	\$0 20
FNMA POOL 888967 6 0% 12/01/37	31410GTY9	811 17	06/09/08	03/25/10	\$811 17	\$823 21	\$-12 04
FNMA POOL 962003 5 5% 3/01/38	31414CGL6	946	02/25/08	03/25/10	\$946 00	\$943 87	\$2 13
FNMA POOL 966608 5 5% 2/01/38	31414HKV8	1613 78	02/15/08	03/25/10	\$1,613 78	\$1,615 04	\$-1.26
FNMA POOL 918044 5 979% 5/01/37	31411X5D3	4 63	10/29/08	03/25/10	\$4 63	\$4 57	\$0.06
FNMA POOL 831812 6 0% 9/01/36	31407JDR0	17 08	02/27/08	03/25/10	\$17 08	\$17 30	\$-0 22
FNMA POOL 899651 6 5% 8/01/37	31410WQC5	748 82	02/27/08	03/25/10	\$748 82	\$771 75	\$-22 93

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FNMA POOL 888279 4 5% 1/01/36	31410F2L8	86 05	02/27/08	03/25/10	\$86 05	\$82 10	\$3 95
FNMA POOL 888214 5 0% 3/01/21	31410FYK5	225 94	02/27/08	03/25/10	\$225 94	\$226 01	\$-0 07
UNITED STATES TREAS NTS	912828GK0	5000	12/11/08	04/13/10	\$5,341 19	\$5,557 05	\$-215 86
UNITED STATES TREAS NTS	912828GK0	5000	12/11/08	04/14/10	\$5,337 68	\$5,557 04	\$-219 36
UNITED STATES TREAS NTS	912828GK0	5000	12/26/08	04/14/10	\$5,337 68	\$5,546 89	\$-209 21
GNMA POOL 645884 5 5% 2/15/36	36292DRD6	130 09	02/27/08	04/15/10	\$130 09	\$131 19	\$-1 10
GNMA POOL 782105 5 0% 3/15/36	36241KKS6	435 92	02/27/08	04/15/10	\$435 92	\$432 64	\$3 28
GNMA POOL 687844 6 5% 8/15/38	36296DER5	441 96	08/22/08	04/15/10	\$441 96	\$453 49	\$-11 53
HAROT 2006-3 A4 5 11% 4/15/12	43812RAD0	1343 79	03/05/08	04/15/10	\$1,343 79	\$1,370.46	\$-26.67
HDMOT 2005-3 A2 4 41% 6/15/12	41283ABY4	247 84	03/25/08	04/15/10	\$247 84	\$249 08	\$-1 24
HDMOT 2007-1 A4 5 21% 6/15/13	41283UAD7	365 51	03/05/08	04/15/10	\$365 51	\$370 82	\$-5 31
FHLMC GOLD G04425 6 0% 5/01/38	3128M6HA9	508 32	02/09/09	04/15/10	\$508 32	\$526 27	\$-17 95
FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9	547 52	08/20/08	04/15/10	\$547 52	\$538 23	\$9 29
FHLMC GOLD A69290 6 0% 12/01/37	3128L1KB5	180 28	02/29/08	04/15/10	\$180 28	\$184 20	\$-3 92
FED'L HOME LOAN MTGE CORP GRP G119: 3128M1BF5		162 04	11/18/08	04/15/10	\$162 04	\$159 94	\$2 10
UNITED STATES TREAS NTS	912828GK0	10000	12/26/08	04/19/10	\$10,685 90	\$11,093 79	\$-407 89
FIRST HORIZON NATL CORP	320517105	0 03	10/14/08	04/19/10	\$0 41	\$0 29	\$0 12
CITEC 2006-VT2 A4 5 05% 4/20/14	125565AD3	8291 68	03/05/08	04/20/10	\$8,291 68	\$8,416 06	\$-124 38
FNMA POOL 930851 4 0% 4/01/24	31412PEY3	113 98	04/09/09	04/25/10	\$113 98	\$116 08	\$-2 10
FNMA POOL 888214 5 0% 3/01/21	31410FYK5	349 41	02/27/08	04/25/10	\$349 41	\$349 52	\$-0 11
FNMA POOL 966608 5 5% 2/01/38	31414HKV8	478 21	02/15/08	04/25/10	\$478 21	\$478 58	\$-0 37
FNMA POOL 962003 5 5% 3/01/38	31414CGL6	1000 25	02/25/08	04/25/10	\$1,000 25	\$998 00	\$2 25
FNMA POOL 888967 6 0% 12/01/37	31410GTY9	725 84	06/09/08	04/25/10	\$725 84	\$736 61	\$-10 77
FNMA POOL 889668 6 0% 6/01/38	31410KMR2	2500 87	06/13/08	04/25/10	\$2,500 87	\$2,500 48	\$0 39
FNMA POOL 899651 6 5% 8/01/37	31410WQC5	5377 6	02/27/08	04/25/10	\$5,377 60	\$5,542 29	\$-164 69
FNMA POOL 888279 4 5% 1/01/36	31410F2L8	73 27	02/27/08	04/25/10	\$73 27	\$69 99	\$3 28



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Long term gains and losses

This category includes sales of assets held more than 12 months

Description		Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FNMA POOL 918044	5 979% 5/01/37	31411X5D3	1827 84	10/29/08	04/25/10	\$1,827 84	\$1,805 91	\$21 93
FNMA POOL 831812	6 0% 9/01/36	31407JDR0	312 76	02/27/08	04/25/10	\$312 76	\$316 87	\$-4 11
COCA COLA ENTERPRISES INC COM		191219104	160	11/03/08	05/06/10	\$4,139.48	\$1,569 60	\$2,569 88
COCA COLA ENTERPRISES INC COM		191219104	940	02/12/08	05/06/10	\$24,319 46	\$22,755 62	\$1,563 84
FHLMC GOLD C91194	5 0% 7/01/28	3128P7KF9	371 71	08/20/08	05/15/10	\$371 71	\$365 75	\$5 96
GNMA POOL 645884	5 5% 2/15/36	36292DRD6	13 42	02/27/08	05/15/10	\$13 42	\$13 53	\$-0 11
FED'L HOME LOAN MTGE CORP GRP	G119: 3128M1BF5		118 24	11/18/08	05/15/10	\$118 24	\$116 79	\$1 45
GNMA POOL 687844	6 5% 8/15/38	36296DER5	183 61	08/22/08	05/15/10	\$183 61	\$188 40	\$-4 79
HDMOT 2005-3 A2	4 41% 6/15/12	41283ABY4	224 55	03/25/08	05/15/10	\$224 55	\$225 67	\$-1 12
HDMOT 2007-1 A4	5 21% 6/15/13	41283UAD7	935 87	03/05/08	05/15/10	\$935 87	\$949 47	\$-13 60
HAROT 2006-3 A4	5 11% 4/15/12	43812RAD0	1212 33	03/05/08	05/15/10	\$1,212 33	\$1,236 39	\$-24 06
FHLMC GOLD A69290	6 0% 12/01/37	3128L1KB5	12 93	02/29/08	05/15/10	\$12 93	\$13 21	\$-0 28
GNMA POOL 782105	5 0% 3/15/36	36241KKS6	348 13	02/27/08	05/15/10	\$348 13	\$345 65	\$2 48
FHLMC GOLD G04425	6 0% 5/01/38	3128M6HA9	54 34	02/09/09	05/15/10	\$54 34	\$56 26	\$-1 92
UNITED STATES TREAS NTS		912828GK0	15000	02/11/09	05/20/10	\$16,064 01	\$16,490 68	\$-426 67
UNITED STATES TREAS NTS		912828BH2	5000	11/07/08	05/20/10	\$5,476 94	\$5,416 62	\$60 32
UNITED STATES TREAS NTS		912828GK0	10000	04/24/09	05/20/10	\$10,709 34	\$10,934 41	\$-225 07
UNITED STATES TREAS NTS		912828GK0	10000	04/23/09	05/20/10	\$10,709 34	\$10,941 05	\$-231 71
UNITED STATES TREAS NTS		912828GK0	15000	04/22/09	05/20/10	\$16,064 01	\$16,410 99	\$-346 98
UNITED STATES TREAS NTS		912828GK0	10000	12/26/08	05/20/10	\$10,709 34	\$11,093 78	\$-384 44
UNITED STATES TREAS NTS		912828GK0	5000	12/23/08	05/20/10	\$5,354 67	\$5,543 96	\$-189 29
FNMA POOL 962301	4 5% 3/01/23	31414CRW0	453 18	05/20/09	05/25/10	\$453 18	\$466 42	\$-13 24
FNMA POOL 918044	5 979% 5/01/37	31411X5D3	1822 77	10/29/08	05/25/10	\$1,822 77	\$1,800 90	\$21 87
FNMA POOL 888279	4 5% 1/01/36	31410F2L8	74 95	02/27/08	05/25/10	\$74 95	\$71 68	\$3 27
FNMA POOL 899651	6 5% 8/01/37	31410WQC5	545 32	02/27/08	05/25/10	\$545 32	\$562 02	\$-16 70
FNMA POOL 831812	6 0% 9/01/36	31407JDR0	1337 03	02/27/08	05/25/10	\$1,337 03	\$1,354 58	\$-17 55



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

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Account Number 10260442850

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Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FNMA POOL 888214 5 0% 3/01/21	31410FYK5	407 65	02/27/08	05/25/10	\$407 65	\$407 78	\$-0 13
FNMA POOL 930851 4 0% 4/01/24	31412PEY3	122 29	04/09/09	05/25/10	\$122 29	\$124 54	\$-2 25
FNMA POOL 889668 6 0% 6/01/38	31410KMR2	6949 3	06/13/08	05/25/10	\$6,949 30	\$6,948 21	\$1 09
FNMA POOL 888967 6 0% 12/01/37	31410GTY9	2394 41	06/09/08	05/25/10	\$2,394 41	\$2,429 95	\$-35 54
FEDERAL NAT'L MTGE ASSN POOL 889291 31410G7C1		525 47	05/20/09	05/25/10	\$525 47	\$545 09	\$-19 62
FNMA POOL 962003 5 5% 3/01/38	31414CGL6	1713 85	02/25/08	05/25/10	\$1,713 85	\$1,710 00	\$3 85
FNMA POOL 966608 5 5% 2/01/38	31414HKV8	817 88	02/15/08	05/25/10	\$817 88	\$818 52	\$-0 64
HOME DEPOT INC USD 0 05	437076102	240	11/20/08	05/27/10	\$8,237 62	\$4,607 52	\$3,630 10
XTO ENERGY INC	98385X106	850	02/12/08	06/11/10	\$36,892 86	\$48,390 36	\$-11,497 50
XTO ENERGY INC	98385X106	130	11/03/08	06/11/10	\$5,642 44	\$4,479 22	\$1,163 22
FHLMC GOLD PL G05509 6 0% 6/01/39	3128M7N28	440 22	06/08/09	06/15/10	\$440 22	\$457 79	\$-17 57
GNMA POOL 645884 5 5% 2/15/36	36292DRD6	14 84	02/27/08	06/15/10	\$14.84	\$14 97	\$-0 13
GNMA POOL 782105 5 0% 3/15/36	36241KKS6	468 18	02/27/08	06/15/10	\$468 18	\$465 08	\$3 10
GNMA POOL 687844 6 5% 8/15/38	36296DER5	544 06	08/22/08	06/15/10	\$544 06	\$558 26	\$-14 20
FED'L HOME LOAN MTGE CORP GRP G119: 3128M1BF5		112 54	11/18/08	06/15/10	\$112 54	\$111 25	\$1 29
HAROT 2006-3 A4 5 11% 4/15/12	43812RAD0	11543 56	03/05/08	06/15/10	\$11,543 56	\$11,772 63	\$-229 07
FHLMC GOLD G04425 6 0% 5/01/38	3128M6HA9	530 2	02/09/09	06/15/10	\$530 20	\$548 92	\$-18 72
FHLMC GOLD A69290 6 0% 12/01/37	3128L1KB5	349 79	02/29/08	06/15/10	\$349 79	\$357 39	\$-7 60
FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9	304 29	08/20/08	06/15/10	\$304 29	\$299 80	\$4 49
HDMOT 2007-1 A4 5 21% 6/15/13	41283UAD7	844 09	03/05/08	06/15/10	\$844 09	\$856 36	\$-12 27
HDMOT 2005-3 A2 4 41% 6/15/12	41283ABY4	195 65	03/25/08	06/15/10	\$195 65	\$196 63	\$-0 98
FEDEX CORPORATION	31428X106	160	10/31/08	06/16/10	\$12,625 80	\$10,471 77	\$2,154 03
FEDEX CORPORATION	31428X106	80	11/20/08	06/16/10	\$6,312 90	\$4,729 72	\$1,583 18
FEDEX CORPORATION	31428X106	30	11/03/08	06/16/10	\$2,367 34	\$1,960 80	\$406 54
FEDEX CORPORATION	31428X106	30	11/21/08	06/16/10	\$2,367 34	\$1,692 45	\$674 89
NEWS CORP	65248E203	630	02/12/08	06/18/10	\$10,067 29	\$12,694 50	\$-2,627 21

Long term gains and losses

This category includes sales of assets held more than 12 months.

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
AMERIPRISE FINL INC	03076C106	100	01/21/09	06/18/10	\$3,966 94	\$1,916 78	\$2,050 16
HESS CORP	42809H107	160	02/12/08	06/18/10	\$8,934 27	\$14,541 71	\$-5,607 44
AT&T INC	00206R102	340	02/12/08	06/18/10	\$8,639.28	\$12,773 80	\$-4,134 52
KBR INC	48242W106	60	02/12/08	06/18/10	\$1,372 19	\$1,921 70	\$-549 51
KBR INC	48242W106	100	12/12/08	06/18/10	\$2,286 98	\$1,488 29	\$798 69
VISA INC	92826C839	50	01/27/09	06/18/10	\$3,843 95	\$2,193 89	\$1,650.06
PHILIP MORRIS INTERNATIONAL	718172109	170	02/12/08	06/18/10	\$7,814 79	\$8,593 08	\$-778 29
TYCO INTERNATIONAL LTD	H89128104	230	02/12/08	06/18/10	\$8,914 67	\$9,342 30	\$-427 63
VALE SA-SP ADR	91912E105	230	02/12/08	06/18/10	\$6,134 02	\$7,558 62	\$-1,424 60
MERCK & CO INC	58933Y105	309 4	03/13/09	06/18/10	\$11,017 57	\$8,491 19	\$2,526 38
MERCK & CO INC	58933Y105	0 6	02/12/08	06/18/10	\$21 37	\$13 18	\$8 19
PARKER HANNIFIN CORP	701094104	150	12/23/08	06/18/10	\$9,153 35	\$5,836 78	\$3,316.57
AMGEN INC	031162100	50	10/28/08	06/18/10	\$2,769 46	\$2,916 25	\$-146 79
AMGEN INC	031162100	30	04/24/09	06/18/10	\$1,661 67	\$1,486 07	\$175 60
ALLIANCE DATA SYS CORP	018581108	50	07/23/08	06/18/10	\$3,520 94	\$3,154 34	\$366 60
ALLIANCE DATA SYS CORP	018581108	40	05/29/08	06/18/10	\$2,816 76	\$2,429 37	\$387 39
APPLE COMPUTER INC COM	037833100	24	07/08/08	06/18/10	\$6,573 73	\$4,247 06	\$2,326 67
APACHE CORPORATION COM	037411105	100	02/12/08	06/18/10	\$9,796 83	\$10,482 62	\$-685 79
AUTOLIV INC COM	052800109	90	06/10/09	06/18/10	\$4,632 23	\$2,827 13	\$1,805 10
AUTOLIV INC COM	052800109	30	05/29/09	06/18/10	\$1,544 08	\$823 83	\$720 25
BANK AMER CORP	060505104	60	05/07/09	06/18/10	\$950.99	\$812 74	\$138 25
BLACKROCK INC CL A	09247X101	19	04/24/09	06/18/10	\$3,026 08	\$2,856 42	\$169 66
CVS CORP	126650100	200	02/12/08	06/18/10	\$6,523 91	\$8,102 27	\$-1,578 36
CHUBB CORPORATION COM	171232101	110	02/12/08	06/18/10	\$5,820 01	\$5,740 48	\$79.53
CISCO SYS INC	17275R102	450	07/23/08	06/18/10	\$10,570.77	\$9,907 16	\$663 61
CONOCOPHILLIPS	20825C104	150	02/12/08	06/18/10	\$8,380 38	\$11,554 13	\$-3,173 75

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
CUMMINS ENGINE INC	231021106	120	05/01/09	06/18/10	\$8,927 86	\$4,095 84	\$4,832 02
DANAHER CORP COMMON	235851102	100	02/12/08	06/18/10	\$4,071 94	\$3,696 36	\$375 58
E I DU PONT DE NEMOURS & CO COMM	263534109	20	04/14/09	06/18/10	\$765 59	\$536 15	\$229 44
E I DU PONT DE NEMOURS & CO COMM	263534109	60	04/14/09	06/18/10	\$2,296 77	\$1,599 36	\$697 41
EXELON CORP	30161N101	120	02/12/08	06/18/10	\$4,937 93	\$9,544 91	\$-4,606 98
GOOGLE INC	38259P508	9	06/05/09	06/18/10	\$4,496 51	\$3,982 86	\$513 65
EXXON MOBIL CORP	30231G102	230	02/12/08	06/18/10	\$14,547 27	\$19,466 76	\$-4,919 49
FRANKLIN RES INC COM	354613101	40	01/21/09	06/18/10	\$3,721 54	\$2,044 77	\$1,676 77
HALLIBURTON COMPANY COM	406216101	150	02/12/08	06/18/10	\$4,033 43	\$5,289 00	\$-1,255 57
GENERAL ELECTRIC CO	369604103	540	02/12/08	06/18/10	\$8,602 10	\$18,623 95	\$-10,021 85
HOME DEPOT INC USD 0 05	437076102	140	11/20/08	06/18/10	\$4,471 53	\$2,687 72	\$1,783 81
HONEYWELL INTL INC	438516106	140	02/12/08	06/18/10	\$6,000 31	\$8,120 00	\$-2,119 69
INTERNATIONAL BUSINESS MACHINES CORP	459200101	58	02/12/08	06/18/10	\$7,579 32	\$6,203 56	\$1,375 76
J P MORGAN CHASE & CO	46625H100	310	02/12/08	06/18/10	\$12,139 42	\$13,600 59	\$-1,461 17
MEDTRONIC INC	585055106	110	02/12/08	06/18/10	\$4,275 64	\$5,289 82	\$-1,014 18
MORGAN STANLEY DEAN WITTER & CO	617446448	40	05/21/09	06/18/10	\$1,025 19	\$1,125 03	\$-99 84
MORGAN STANLEY DEAN WITTER & CO	617446448	150	05/07/09	06/18/10	\$3,844 45	\$4,040 61	\$-196 16
OMNICOM GROUP INC COM	681919106	120	02/12/08	06/18/10	\$4,576 73	\$5,645 30	\$-1,068 57
ORACLE CORPORATION	68389X105	340	02/12/08	06/18/10	\$7,857 27	\$6,759 20	\$1,098 07
PEPSICO INC	713448108	150	02/12/08	06/18/10	\$9,643 36	\$10,748 99	\$-1,105 63
PFIZER INC COM	717081103	830	02/12/08	06/18/10	\$12,532 87	\$18,756 57	\$-6,223 70
PRAXAIR INC	74005P104	60	02/12/08	06/18/10	\$4,878 53	\$4,985 92	\$-107 39
PROCTER & GAMBLE CO COM	742718109	120	02/12/08	06/18/10	\$7,388 29	\$8,030 39	\$-642 10
SCHWAB CHARLES CORP NEW	808513105	160	11/20/08	06/18/10	\$2,475 16	\$2,435 41	\$39 75
RAYTHEON CO	755111507	60	10/07/08	06/18/10	\$3,191 96	\$3,119 87	\$72 09
TARGET CORP	87612E106	80	06/01/09	06/18/10	\$4,301 54	\$3,325 47	\$976 07

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
TARGET CORP	87612E106	130	06/10/09	06/18/10	\$6,989 99	\$5,276 01	\$1,713 98
TEXTRON INCORPORATED COMMON	883203101	250	02/12/08	06/18/10	\$4,987 45	\$14,307 13	\$-9,319 68
THERMO ELECTRON CORP	883556102	160	02/12/08	06/18/10	\$8,567 88	\$8,996 39	\$-428 51
VERTEX PHARMACEUTICALS INC	92532F100	30	11/03/08	06/18/10	\$1,101 88	\$818 47	\$283 41
VERTEX PHARMACEUTICALS INC	92532F100	70	10/31/08	06/18/10	\$2,571 06	\$1,815 07	\$755 99
WELLS FARGO & CO NEW	949746101	200	02/12/08	06/18/10	\$5,597 93	\$6,040 00	\$-442 07
HOSPIRA INC	441060100	130	02/12/08	06/18/10	\$7,312 39	\$5,407 91	\$1,904 48
PARKER HANNIFIN CORP	701094104	270	12/23/08	06/21/10	\$16,656 24	\$10,506 21	\$6,150 03
CVS CORP	126650100	70	02/12/08	06/21/10	\$2,221 65	\$2,835 80	\$-614 15
VERTEX PHARMACEUTICALS INC	92532F100	70	10/31/08	06/21/10	\$2,568 37	\$1,815 07	\$753 30
CVS CORP	126650100	300	02/12/08	06/21/10	\$9,519 14	\$12,153 41	\$-2,634 27
CVS CORP	126650100	10	02/12/08	06/21/10	\$318 51	\$405 11	\$-86 60
VERTEX PHARMACEUTICALS INC	92532F100	150	10/30/08	06/22/10	\$5,414 52	\$3,678 80	\$1,735 72
VERTEX PHARMACEUTICALS INC	92532F100	140	10/31/08	06/22/10	\$5,053 55	\$3,630 14	\$1,423 41
VERTEX PHARMACEUTICALS INC	92532F100	10	10/31/08	06/22/10	\$365 49	\$259 30	\$106 19
FNMA POOL 831812 6 0% 9/01/36	31407JDR0	219 27	02/27/08	06/25/10	\$219 27	\$222 15	\$-2 88
FNMA POOL 888214 5 0% 3/01/21	31410FYK5	518 39	02/27/08	06/25/10	\$518 39	\$518 55	\$-0 16
FNMA POOL 966608 5 5% 2/01/38	31414HKV8	843 26	02/15/08	06/25/10	\$843 26	\$843 92	\$-0 66
FNMA POOL 962003 5 5% 3/01/38	31414CGL6	2722 64	02/25/08	06/25/10	\$2,722 64	\$2,716 52	\$6 12
FNMA POOL 888967 6 0% 12/01/37	31410GTY9	756 13	06/09/08	06/25/10	\$756 13	\$767 35	\$-11.22
FNMA POOL 889668 6 0% 6/01/38	31410KMR2	825 64	06/13/08	06/25/10	\$825 64	\$825 51	\$0 13
FNMA POOL 918044 5 979% 5/01/37	31411X5D3	1598 38	10/29/08	06/25/10	\$1,598 38	\$1,579 20	\$19.18
FNMA POOL 962301 4 5% 3/01/23	31414CRW0	768 97	05/20/09	06/25/10	\$768 97	\$791 44	\$-22 47
FEDERAL NAT'L MTGE ASSN POOL 889291	31410G7C1	476 86	05/20/09	06/25/10	\$476 86	\$494 67	\$-17 81
FNMA POOL AA8389 4 5% 6/01/39	31416SKB6	48 79	06/11/09	06/25/10	\$48 79	\$47 69	\$1 10
FNMA POOL 899651 6 5% 8/01/37	31410WQC5	686 91	02/27/08	06/25/10	\$686 91	\$707 95	\$-21 04



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FNMA POOL 888279 4 5% 1/01/36	31410F2L8	56 05	02/27/08	06/25/10	\$56 05	\$53 71	\$2 34
FNMA POOL 930851 4 0% 4/01/24	31412PEY3	135 08	04/09/09	06/25/10	\$135 08	\$137 57	\$-2 49
TIME WARNER INC	887317303	220	12/04/08	07/01/10	\$6,276.76	\$4,385 57	\$1,891 19
FHLMC GOLD A69290 6 0% 12/01/37	3128L1KB5	242 03	02/29/08	07/15/10	\$242 03	\$247 29	\$-5 26
FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9	378 76	08/20/08	07/15/10	\$378 76	\$373 81	\$4 95
FHLMC GOLD G04425 6 0% 5/01/38	3128M6HA9	247 23	02/09/09	07/15/10	\$247 23	\$255 96	\$-8 73
FHLMC GOLD PL G05509 6 0% 6/01/39	3128M7N28	462 86	06/08/09	07/15/10	\$462 86	\$481 34	\$-18 48
GNMA POOL 645884 5 5% 2/15/36	36292DRD6	148 89	02/27/08	07/15/10	\$148 89	\$150 15	\$-1 26
GNMA POOL 782105 5 0% 3/15/36	36241KKS6	360 57	02/27/08	07/15/10	\$360 57	\$358 40	\$2 17
GNMA POOL 687844 6 5% 8/15/38	36296DER5	364 16	08/22/08	07/15/10	\$364 16	\$373 66	\$-9.50
HDMOT 2005-3 A2 4 41% 6/15/12	41283ABY4	205 34	03/25/08	07/15/10	\$205 34	\$206 37	\$-1 03
HDMOT 2007-1 A4 5 21% 6/15/13	41283UAD7	900 55	03/05/08	07/15/10	\$900 55	\$913 64	\$-13 09
FED'L HOME LOAN MTGE CORP GRP G119: 3128M1BF5	3128M1BF5	106 53	11/18/08	07/15/10	\$106 53	\$105 41	\$1 12
UNITED STATES TREAS NTS	912828AP5	5000	06/24/09	07/16/10	\$5,385 73	\$5,344 74	\$40 99
GNMA POOL 645884 5 5% 2/15/36	36292DRD6	8837 04	02/27/08	07/20/10	\$9,614 42	\$8,911 59	\$702 83
VALE SA-SP ADR	91912E105	140	02/12/08	07/23/10	\$3,833 13	\$4,600.90	\$-767 77
MERCK & CO INC	58933Y105	180	02/12/08	07/23/10	\$6,272 92	\$3,929.13	\$2,343 79
APPLE COMPUTER INC COM	037833100	40	07/08/08	07/23/10	\$10,327 12	\$7,078 42	\$3,248 70
EXXON MOBIL CORP	30231G102	140	02/12/08	07/23/10	\$8,332 90	\$11,849 33	\$-3,516 43
HONEYWELL INTL INC	438516106	90	02/12/08	07/23/10	\$3,928 43	\$5,220 00	\$-1,291 57
HALLIBURTON COMPANY COM	406216101	90	02/12/08	07/23/10	\$2,725 16	\$3,173 40	\$-448 24
MORGAN STANLEY DEAN WITTER & CO	617446448	120	05/07/09	07/23/10	\$3,244 74	\$3,232 49	\$12 25
UNITED STATES TREAS NTS	912828AP5	10000	06/24/09	07/23/10	\$10,764 03	\$10,689 49	\$74 54
UNITED STATES TREAS NTS	912828AP5	10000	06/12/09	07/23/10	\$10,764 03	\$10,669 17	\$94 86
ALLIANCE DATA SYS CORP	018581108	50	05/29/08	07/23/10	\$2,819 45	\$3,036 71	\$-217 26
AMGEN INC	031162100	60	04/24/09	07/23/10	\$3,103 15	\$2,972 14	\$131.01

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
APACHE CORPORATION COM	037411105	60	02/12/08	07/23/10	\$5,507 91	\$6,289 57	\$-781 66
BANK AMER CORP	060505104	520	05/07/09	07/23/10	\$7,061 48	\$7,043 71	\$17 77
AUTOLIV INC COM	052800109	80	05/29/09	07/23/10	\$4,351 94	\$2,196 87	\$2,155.07
BLACKROCK INC CL A	09247X101	12	04/24/09	07/23/10	\$1,833 33	\$1,804 06	\$29 27
CIGNA CORP COM	125509109	60	06/19/09	07/23/10	\$1,883 98	\$1,497 35	\$386 63
CVS CORP	126650100	70	02/12/08	07/23/10	\$2,137 07	\$2,835 80	\$-698 73
CHUBB CORPORATION COM	171232101	60	02/12/08	07/23/10	\$3,163 16	\$3,131 17	\$31 99
CONOCOPHILLIPS	20825C104	90	02/12/08	07/23/10	\$4,798 72	\$6,932 48	\$-2,133 76
CISCO SYS INC	17275R102	90	07/23/08	07/23/10	\$2,087 06	\$1,981 43	\$105 63
CISCO SYS INC	17275R102	180	07/18/08	07/23/10	\$4,174 13	\$3,896 51	\$277 62
CUMMINS ENGINE INC	231021106	70	05/01/09	07/23/10	\$5,336 02	\$2,389 24	\$2,946 78
DANAHER CORP COMMON	235851102	60	02/12/08	07/23/10	\$2,264 37	\$2,217 82	\$46 55
E I DU PONT DE NEMOURS & CO COMM	263534109	60	04/14/09	07/23/10	\$2,269 76	\$1,599 35	\$670 41
EXELON CORP	30161N101	80	02/12/08	07/23/10	\$3,294 34	\$6,363 27	\$-3,068 93
FRANKLIN RES INC COM	354613101	20	01/21/09	07/23/10	\$1,894 57	\$1,022 39	\$872.18
GENERAL ELECTRIC CO	369604103	330	02/12/08	07/23/10	\$5,002 75	\$11,381 31	\$-6,378 56
HOME DEPOT INC USD 0 05	437076102	80	11/20/08	07/23/10	\$2,243 97	\$1,535 84	\$708 13
INTERNATIONAL BUSINESS MACHINES CORP	459200101	35	02/12/08	07/23/10	\$4,464 17	\$3,743 53	\$720 64
J P MORGAN CHASE & CO	46625H100	180	02/12/08	07/23/10	\$7,091 88	\$7,897 12	\$-805 24
MEDTRONIC INC	585055106	70	02/12/08	07/23/10	\$2,581 57	\$3,366 25	\$-784 68
ORACLE CORPORATION	68389X105	220	02/12/08	07/23/10	\$5,341.51	\$4,373.60	\$967 91
OMNICOM GROUP INC COM	681919106	80	02/12/08	07/23/10	\$3,047 16	\$3,763 53	\$-716 37
PEPSICO INC	713448108	90	02/12/08	07/23/10	\$5,800 41	\$6,449 39	\$-648 98
PRAXAIR INC	74005P104	30	02/12/08	07/23/10	\$2,540 65	\$2,492 96	\$47 69
PFIZER INC COM	717081103	510	02/12/08	07/23/10	\$7,415 32	\$11,525 12	\$-4,109 80
PROCTER & GAMBLE CO COM	742718109	80	02/12/08	07/23/10	\$4,925 52	\$5,353 59	\$-428 07

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
RAYTHEON CO	755111507	40	10/07/08	07/23/10	\$1,964 77	\$2,079 92	\$-115 15
SCHWAB CHARLES CORP NEW	808513105	110	11/20/08	07/23/10	\$1,666 47	\$1,674 34	\$-7 87
TARGET CORP	87612E106	120	06/10/09	07/23/10	\$6,160 71	\$4,870 17	\$1,290 54
TARGET CORP	87612E106	10	06/25/09	07/23/10	\$513 39	\$402 98	\$110 41
TEXTRON INCORPORATED COMMON	883203101	130	02/12/08	07/23/10	\$2,636 37	\$7,439 70	\$-4,803 33
THERMO ELECTRON CORP	883556102	60	02/12/08	07/23/10	\$3,037 15	\$3,373 64	\$-336 49
WELLS FARGO & CO NEW	949746101	120	02/12/08	07/23/10	\$3,261 56	\$3,624 00	\$-362 44
HOSPIRA INC	441060100	70	02/12/08	07/23/10	\$4,028 44	\$2,911 95	\$1,116 49
NEWS CORP	65248E203	390	02/12/08	07/23/10	\$5,775 84	\$7,858 50	\$-2,082 66
GOOGLE INC	38259P508	13	06/05/09	07/23/10	\$6,311 26	\$5,753 01	\$558 25
AMERIPRISE FINL INC	03076C106	60	01/21/09	07/23/10	\$2,318 97	\$1,150 07	\$1,168 90
AT&T INC	00206R102	210	02/12/08	07/23/10	\$5,382 23	\$7,889 70	\$-2,507 47
KBR INC	48242W106	110	12/12/08	07/23/10	\$2,507 97	\$1,637 12	\$870 85
HESS CORP	42809H107	90	02/12/08	07/23/10	\$4,711 43	\$8,179 71	\$-3,468 28
VISA INC	92826C839	20	01/27/09	07/23/10	\$1,504 77	\$877 56	\$627 21
PHILIP MORRIS INTERNATIONAL	718172109	110	02/12/08	07/23/10	\$5,583 52	\$5,560 23	\$23 29
TYCO INTERNATIONAL LTD	H89128104	90	02/12/08	07/23/10	\$3,302 95	\$3,655 68	\$-352 73
TYCO INTERNATIONAL LTD	H89128104	50	11/03/08	07/23/10	\$1,834 97	\$1,249 49	\$585 48
TIME WARNER INC	887317303	80	12/04/08	07/23/10	\$2,482 37	\$1,594 75	\$887 62
FNMA POOL 888279 4 5% 1/01/36	31410F2L8	170 67	02/27/08	07/25/10	\$170 67	\$163 92	\$6 75
FNMA POOL 899651 6 5% 8/01/37	31410WQC5	626 7	02/27/08	07/25/10	\$626 70	\$645 89	\$-19 19
FNMA POOL 831812 6 0% 9/01/36	31407JDR0	171 3	02/27/08	07/25/10	\$171 30	\$173 55	\$-2 25
FNMA POOL 888214 5 0% 3/01/21	31410FYK5	240 43	02/27/08	07/25/10	\$240 43	\$240 50	\$-0 07
FNMA POOL 966608 5.5% 2/01/38	31414HKV8	1626 69	02/15/08	07/25/10	\$1,626 69	\$1,627 96	\$-1 27
FNMA POOL 962003 5.5% 3/01/38	31414CGL6	876 91	02/25/08	07/25/10	\$876 91	\$874 94	\$1 97
FNMA POOL 918044 5 979% 5/01/37	31411X5D3	561 59	10/29/08	07/25/10	\$561 59	\$554 85	\$6 74

Long term gains and losses

This category includes sales of assets held more than 12 months

Description		Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FNMA POOL 889668	6 0% 6/01/38	31410KMR2	1372 26	06/13/08	07/25/10	\$1,372 26	\$1,372 05	\$0 21
FNMA POOL 930851	4 0% 4/01/24	31412PEY3	166 96	04/09/09	07/25/10	\$166 96	\$170 04	\$-3 08
FNMA POOL 962301	4 5% 3/01/23	31414CRW0	1287 14	05/20/09	07/25/10	\$1,287 14	\$1,324 75	\$-37 61
FEDERAL NAT'L MTGE ASSN POOL	889291 31410G7C1		397 72	05/20/09	07/25/10	\$397 72	\$412 57	\$-14 85
FNMA POOL AA8389	4 5% 6/01/39	31416SKB6	45 8	06/11/09	07/25/10	\$45 80	\$44 81	\$0 99
FNMA POOL 888967	6 0% 12/01/37	31410GT9	651 51	06/09/08	07/25/10	\$651 51	\$661 18	\$-9 67
AUTOLIV INC COM		052800109	60	05/29/09	07/29/10	\$3,443 35	\$1,647 66	\$1,795.69
MERCK & CO INC		58933Y105	150	02/12/08	07/29/10	\$5,255 93	\$3,274 28	\$1,981 65
CIGNA CORP COM		125509109	20	06/19/09	07/29/10	\$612 79	\$499 12	\$113 67
CIGNA CORP COM		125509109	30	06/19/09	07/29/10	\$919 19	\$744 75	\$174 44
CHUBB CORPORATION COM		171232101	50	02/12/08	07/29/10	\$2,648 45	\$2,609 31	\$39 14
CONOCOPHILLIPS		20825C104	80	02/12/08	07/29/10	\$4,417 53	\$6,162 20	\$-1,744.67
DANAHER CORP COMMON		235851102	50	02/12/08	07/29/10	\$1,918 48	\$1,848 18	\$70 30
MICROSOFT CORP COM		594918104	150	02/12/08	07/29/10	\$3,902 93	\$4,254 00	\$-351 07
PRAXAIR INC		74005P104	30	02/12/08	07/29/10	\$2,595 26	\$2,492 96	\$102 30
PROCTER & GAMBLE CO COM		742718109	60	02/12/08	07/29/10	\$3,713 35	\$4,015 19	\$-301 84
KBR INC		48242W106	80	12/12/08	07/29/10	\$1,779.98	\$1,190.63	\$589 35
VISA INC		92826G839	30	01/27/09	07/29/10	\$2,157 26	\$1,316 34	\$840 92
TIME WARNER INC		887317303	60	12/04/08	07/29/10	\$1,885 78	\$1,196 06	\$689 72
ALLIANCE DATA SYS CORP		018581108	40	05/29/08	07/29/10	\$2,334 53	\$2,429 36	\$-94 83
AMGEN INC		031162100	40	04/24/09	07/29/10	\$2,149 16	\$1,981 42	\$167 74
APACHE CORPORATION COM		037411105	50	02/12/08	07/29/10	\$4,766 43	\$5,241.31	\$-474 88
APPLE COMPUTER INC COM		037833100	27	07/08/08	07/29/10	\$7,022 05	\$4,777 94	\$2,244 11
BANK AMER CORP		060505104	420	05/07/09	07/29/10	\$5,917 74	\$5,689 15	\$228 59
CVS CORP		126650100	60	02/12/08	07/29/10	\$1,870.78	\$2,430 68	\$-559 90
CISCO SYS INC		17275R102	230	07/18/08	07/29/10	\$5,388 81	\$4,978 88	\$409 93

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
CUMMINS ENGINE INC	231021106	50	05/01/09	07/29/10	\$4,013 94	\$1,706 60	\$2,307 34
E I DU PONT DE NEMOURS & CO COMM	263534109	20	04/14/09	07/29/10	\$814 88	\$533 12	\$281 76
E I DU PONT DE NEMOURS & CO COMM	263534109	20	04/14/09	07/29/10	\$814 88	\$532 02	\$282 86
EXELON CORP	30161N101	60	02/12/08	07/29/10	\$2,536 16	\$4,772 45	\$-2,236 29
EXXON MOBIL CORP	30231G102	120	02/12/08	07/29/10	\$7,350 36	\$10,156 57	\$-2,806 21
GOOGLE INC	38259P508	12	06/05/09	07/29/10	\$5,826 88	\$5,310 47	\$516 41
FRANKLIN RES INC COM	354613101	20	01/21/09	07/29/10	\$1,998 87	\$1,022 39	\$976 48
GENERAL ELECTRIC CO	369604103	270	02/12/08	07/29/10	\$4,360 43	\$9,311 98	\$-4,951 55
HALLIBURTON COMPANY COM	406216101	70	02/12/08	07/29/10	\$2,131 47	\$2,468 20	\$-336 73
HOME DEPOT INC USD 0 05	437076102	70	11/20/08	07/29/10	\$1,971 87	\$1,343 86	\$628 01
HONEYWELL INTL INC	438516106	80	02/12/08	07/29/10	\$3,454 35	\$4,640 00	\$-1,185 65
INTERNATIONAL BUSINESS MACHINES CORP	459200101	29	02/12/08	07/29/10	\$3,725 86	\$3,101 78	\$624 08
J P MORGAN CHASE & CO	46625H100	150	02/12/08	07/29/10	\$6,074 92	\$6,580 93	\$-506 01
MEDTRONIC INC	585055106	60	02/12/08	07/29/10	\$2,184 57	\$2,885 36	\$-700 79
MORGAN STANLEY DEAN WITTER & CO	617446448	100	05/07/09	07/29/10	\$2,710 96	\$2,693 74	\$17 22
ORACLE CORPORATION	68389X105	170	02/12/08	07/29/10	\$4,119 03	\$3,379 60	\$739.43
OMNICOM GROUP INC COM	681919106	60	02/12/08	07/29/10	\$2,240 37	\$2,822 65	\$-582 28
PEPSICO INC	713448108	80	02/12/08	07/29/10	\$5,236 72	\$5,732 79	\$-496 07
PFIZER INC COM	717081103	190	02/12/08	07/29/10	\$2,906 97	\$4,293 67	\$-1,386.70
PFIZER INC COM	717081103	230	11/03/08	07/29/10	\$3,518 96	\$4,073 28	\$-554 32
RAYTHEON CO	755111507	40	10/07/08	07/29/10	\$1,903 57	\$2,079 92	\$-176 35
SCHWAB CHARLES CORP NEW	808513105	80	11/20/08	07/29/10	\$1,210 38	\$1,217 71	\$-7 33
TARGET CORP	87612E106	100	06/25/09	07/29/10	\$5,216 91	\$4,029 75	\$1,187 16
WELLS FARGO & CO NEW	949746101	100	02/12/08	07/29/10	\$2,796 95	\$3,020 00	\$-223 05
HOSPIRA INC	441060100	60	02/12/08	07/29/10	\$3,065 05	\$2,495 96	\$569 09
NEWS CORP	65248E203	310	02/12/08	07/29/10	\$4,578 65	\$6,246 50	\$-1,667 85



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
AMERIPRISE FINL INC	03076C106	50	01/21/09	07/29/10	\$2,099 10	\$958 39	\$1,140 71
AT&T INC	00206R102	170	02/12/08	07/29/10	\$4,470 94	\$6,386 90	\$-1,915 96
HESS CORP	42809H107	50	02/12/08	07/29/10	\$2,692 96	\$4,544 29	\$-1,851 33
HESS CORP	42809H107	30	03/17/09	07/29/10	\$1,615.78	\$1,844 26	\$-228 48
PHILIP MORRIS INTERNATIONAL	718172109	90	02/12/08	07/29/10	\$4,623 23	\$4,549 28	\$73 95
VALE SA-SP ADR	91912E105	110	02/12/08	07/29/10	\$3,078 88	\$3,614 99	\$-536 11
TYCO INTERNATIONAL LTD	H89128104	30	11/03/08	07/29/10	\$1,110 28	\$749 70	\$360 58
TYCO INTERNATIONAL LTD	H89128104	90	03/16/09	07/29/10	\$3,330 85	\$1,752 24	\$1,578 61
BLACKROCK INC CL A	09247X101	9	04/24/09	07/29/10	\$1,426 30	\$1,353 04	\$73 26
AXA FINL INC	002451AA0	20000	02/13/08	08/01/10	\$20,000 00	\$21,708 40	\$-1,708.40
UNITED STATES TREAS NTS	912828AP5	5000	06/12/09	08/04/10	\$5,386 90	\$5,334 59	\$52 31
TARGET CORP	87612E106	110	06/25/09	08/09/10	\$5,857 97	\$4,432 73	\$1,425 24
AMGEN INC	031162100	60	04/24/09	08/10/10	\$3,345 89	\$2,972 13	\$373 76
AMGEN INC	031162100	60	04/24/09	08/11/10	\$3,230 16	\$2,927 86	\$302 30
AMGEN INC	031162100	130	04/24/09	08/11/10	\$6,998 68	\$6,439 63	\$559 05
VISA INC	92826C839	71	01/27/09	08/12/10	\$5,168 77	\$3,115 33	\$2,053 44
VISA INC	92826C839	10	01/27/09	08/12/10	\$728 34	\$438.78	\$289.56
VISA INC	92826C839	14	01/27/09	08/13/10	\$1,016 42	\$614.29	\$402 13
VISA INC	92826C839	35	01/27/09	08/13/10	\$2,542 27	\$1,535 72	\$1,006.55
FHLMC GOLD PL G05509 6 0% 6/01/39	3128M7N28	419 62	06/08/09	08/15/10	\$419 62	\$436 37	\$-16 75
FHLMC GOLD G04425 6 0% 5/01/38	3128M6HA9	417 34	02/09/09	08/15/10	\$417 34	\$432 08	\$-14 74
FED'L HOME LOAN MTGE CORP GRP G119: 3128M1BF5	3128M1BF5	128 52	11/18/08	08/15/10	\$128 52	\$127 40	\$1 12
FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9	883 03	08/20/08	08/15/10	\$883 03	\$873 02	\$10 01
GNMA POOL 782105 5 0% 3/15/36	36241KKS6	428 52	02/27/08	08/15/10	\$428 52	\$426 23	\$2 29
HDMOT 2007-1 A4 5 21% 6/15/13	41283UAD7	851 44	03/05/08	08/15/10	\$851 44	\$863 81	\$-12 37
FHLMC GOLD A69290 6 0% 12/01/37	3128L1KB5	189 57	02/29/08	08/15/10	\$189 57	\$193 69	\$-4 12

Long term gains and losses

This category includes sales of assets held more than 12 months.

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
GNMA POOL 687844 6 5% 8/15/38	36296DER5	552 97	08/22/08	08/15/10	\$552 97	\$567 40	\$-14 43
HDMOT 2005-3 A2 4 41% 6/15/12	41283ABY4	1294 59	03/25/08	08/16/10	\$1,294 59	\$1,301 05	\$-6 46
CVS CORP	126650100	90	02/12/08	08/17/10	\$2,590 60	\$3,646 02	\$-1,055 42
HAT 2007-1 A4 5 33% 11/17/13	44329FAD0	486 39	02/28/08	08/17/10	\$486 39	\$500 53	\$-14 14
CVS CORP	126650100	80	11/03/08	08/17/10	\$2,302 75	\$2,479 19	\$-176 44
TIME WARNER CABLE INC 8 25% 4/01/19	88732JAS7	10000	04/14/09	08/20/10	\$12,798 20	\$10,683 40	\$2,114 80
TIME WARNER CABLE INC 8 25% 4/01/19	88732JAS7	10000	06/03/09	08/20/10	\$12,798 20	\$11,368 70	\$1,429 50
EXELON CORP	30161N101	246	02/12/08	08/24/10	\$9,879 07	\$19,567 07	\$-9,688 00
EXELON CORP	30161N101	11	02/12/08	08/24/10	\$443 22	\$874 95	\$-431 73
EXELON CORP	30161N101	23	02/12/08	08/24/10	\$924 55	\$1,829 44	\$-904 89
EXELON CORP	30161N101	100	11/03/08	08/24/10	\$4,015 88	\$5,289 99	\$-1,274 11
FNMA POOL 889668 6 0% 6/01/38	31410KMR2	818 63	06/13/08	08/25/10	\$818 63	\$818 50	\$0 13
FNMA POOL 930851 4 0% 4/01/24	31412PEY3	147 5	04/09/09	08/25/10	\$147 50	\$150 22	\$-2 72
FNMA POOL 962301 4 5% 3/01/23	31414CRW0	813 12	05/20/09	08/25/10	\$813 12	\$836 88	\$-23 76
FEDERAL NAT'L MTGE ASSN POOL 889291	31410G7C1	496 33	05/20/09	08/25/10	\$496 33	\$514 87	\$-18 54
FNMA POOL AA8389 4 5% 6/01/39	31416SKB6	149 51	06/11/09	08/25/10	\$149 51	\$146 43	\$3 08
FNMA POOL 918044 5 979% 5/01/37	31411X5D3	1 33	10/29/08	08/25/10	\$1 33	\$1 31	\$0 02
FNMA POOL 888967 6 0% 12/01/37	31410GT9	772 65	06/09/08	08/25/10	\$772 65	\$784 12	\$-11 47
FNMA POOL 962003 5 5% 3/01/38	31414CGL6	2096 27	02/25/08	08/25/10	\$2,096 27	\$2,091 56	\$4.71
FNMA POOL 966608 5 5% 2/01/38	31414HKV8	85 08	02/15/08	08/25/10	\$85 08	\$85 15	\$-0 07
FNMA POOL 888214 5 0% 3/01/21	31410FYK5	343 26	02/27/08	08/25/10	\$343 26	\$343 37	\$-0 11
FNMA POOL 888279 4 5% 1/01/36	31410F2L8	130 14	02/27/08	08/25/10	\$130 14	\$125 30	\$4 84
FNMA POOL 899651 6 5% 8/01/37	31410WQC5	640 84	02/27/08	08/25/10	\$640 84	\$660 47	\$-19 63
FNMA POOL 831812 6 0% 9/01/36	31407JDR0	113 05	02/27/08	08/25/10	\$113 05	\$114 53	\$-1 48
TYCO INTERNATIONAL LTD	H89128104	50	03/16/09	09/02/10	\$1,921 51	\$973 46	\$948 05
TYCO INTERNATIONAL LTD	H89128104	50	03/16/09	09/02/10	\$1,925 16	\$973 46	\$951 70

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
TYCO INTERNATIONAL LTD	H89128104	90	03/16/09	09/02/10	\$3,458.52	\$1,752.24	\$1,706.28
CUMMINS ENGINE INC	231021106	2	05/01/09	09/07/10	\$162.20	\$68.26	\$93.94
KBR INC	48242W106	45	12/12/08	09/07/10	\$1,091.63	\$669.73	\$421.90
CUMMINS ENGINE INC	231021106	58	05/01/09	09/07/10	\$4,700.51	\$1,979.66	\$2,720.85
KBR INC	48242W106	57	12/12/08	09/08/10	\$1,389.29	\$848.33	\$540.96
KBR INC	48242W106	5	12/12/08	09/09/10	\$122.09	\$74.41	\$47.68
KBR INC	48242W106	40	12/12/08	09/09/10	\$980.15	\$595.32	\$384.83
KBR INC	48242W106	85	12/12/08	09/10/10	\$2,076.95	\$1,265.04	\$811.91
BLACKROCK INC CL A	09247X101	9	04/24/09	09/13/10	\$1,354.92	\$1,353.04	\$1.88
KBR INC	48242W106	110	11/03/08	09/13/10	\$2,682.67	\$1,585.09	\$1,097.58
KBR INC	48242W106	78	12/12/08	09/13/10	\$1,902.25	\$1,160.86	\$741.39
BLACKROCK INC CL A	09247X101	9	04/24/09	09/13/10	\$1,361.07	\$1,353.04	\$8.03
KBR INC	48242W106	30	12/12/08	09/13/10	\$744.14	\$446.49	\$297.65
KBR INC	48242W106	40	12/12/08	09/13/10	\$986.29	\$595.32	\$390.97
BLACKROCK INC CL A	09247X101	17	04/24/09	09/14/10	\$2,613.78	\$2,555.75	\$58.03
BLACKROCK INC CL A	09247X101	21	04/24/09	09/14/10	\$3,221.25	\$3,157.10	\$64.15
FHLMC GOLD G04425 6.0% 5/01/38	3128M6HA9	207.09	02/09/09	09/15/10	\$207.09	\$214.40	\$-7.31
FHLMC GOLD PL G05509 6.0% 6/01/39	3128M7N28	434.35	06/08/09	09/15/10	\$434.35	\$451.69	\$-17.34
FHLMC GOLD G05580 6.5% 4/01/39	3128M7Q90	695.49	09/01/09	09/15/10	\$695.49	\$741.57	\$-46.08
GNMA POOL 782105 5.0% 3/15/36	36241KKS6	499.4	02/27/08	09/15/10	\$499.40	\$497.04	\$2.36
FHLMC GOLD A69290 6.0% 12/01/37	3128L1KB5	11.06	02/29/08	09/15/10	\$11.06	\$11.30	\$-0.24
FED'L HOME LOAN MTGE CORP GRP G119: 3128M1BF5	3128M1BF5	138.91	11/18/08	09/15/10	\$138.91	\$138.15	\$0.76
GNMA POOL 687844 6.5% 8/15/38	36296DER5	421.45	08/22/08	09/15/10	\$421.45	\$432.45	\$-11.00
FHLMC GOLD C91194 5.0% 7/01/28	3128P7KF9	879.42	08/20/08	09/15/10	\$879.42	\$871.00	\$8.42
HDMOT 2007-1 A4 5.21% 6/15/13	41283UAD7	862.54	03/05/08	09/15/10	\$862.54	\$875.07	\$-12.53
HAT 2007-1 A4 5.33% 11/17/13	44329FAD0	789.88	02/28/08	09/17/10	\$789.88	\$812.84	\$-22.96

Long term gains and losses

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Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
AUTOLIV INC COM	052800109	20	05/29/09	09/20/10	\$1,232 07	\$549 22	\$682 85
AUTOLIV INC COM	052800109	20	05/28/09	09/20/10	\$1,232 07	\$518 45	\$713 62
MERCK & CO INC	58933Y105	36	02/12/08	09/20/10	\$1,308 96	\$785 83	\$523 13
MERCK & CO INC	58933Y105	174	02/12/08	09/20/10	\$6,323 00	\$3,798 16	\$2,524 84
AUTOLIV INC COM	052800109	60	05/29/09	09/20/10	\$3,697 03	\$1,647 65	\$2,049 38
AEP TEXAS CENTRAL 5 17% 1/01/18	00110AAD6	25000	06/25/08	09/21/10	\$29,116 21	\$22,639 83	\$6,476 38
FNMA POOL AA8389 4 5% 6/01/39	31416SKB6	64 21	06/11/09	09/25/10	\$64 21	\$62 94	\$1 27
FNMA POOL 930924 4 5% 4/1/2039	31412PG96	953 66	09/01/09	09/25/10	\$953 66	\$960 22	\$-6 56
FEDERAL NAT'L MTGE ASSN POOL 889291	31410G7C1	488 46	05/20/09	09/25/10	\$488 46	\$506 70	\$-18 24
FNMA POOL 962301 4 5% 3/01/23	31414CRW0	999 95	05/20/09	09/25/10	\$999 95	\$1,029 17	\$-29 22
FNMA POOL 930851 4 0% 4/01/24	31412PEY3	458 97	04/09/09	09/25/10	\$458 97	\$467 43	\$-8 46
FNMA POOL 918044 5 979% 5/01/37	31411X5D3	1 81	10/29/08	09/25/10	\$1 81	\$1 79	\$0 02
FNMA POOL 888279 4 5% 1/01/36	31410F2L8	214 58	02/27/08	09/25/10	\$214 58	\$207 11	\$7 47
FNMA POOL 899651 6 5% 8/01/37	31410WQC5	700 5	02/27/08	09/25/10	\$700 50	\$721 95	\$-21 45
FNMA POOL 831812 6 0% 9/01/36	31407JDR0	112 83	02/27/08	09/25/10	\$112 83	\$114 31	\$-1 48
FNMA POOL 888214 5 0% 3/01/21	31410FYK5	385 14	02/27/08	09/25/10	\$385 14	\$385 26	\$-0 12
FNMA POOL 966608 5 5% 2/01/38	31414HKV8	1252 54	02/15/08	09/25/10	\$1,252 54	\$1,253 52	\$-0.98
FNMA POOL 962003 5 5% 3/01/38	31414CGL6	1664 5	02/25/08	09/25/10	\$1,664 50	\$1,660 76	\$3 74
FNMA POOL 888967 6 0% 12/01/37	31410GTY9	1196 61	06/09/08	09/25/10	\$1,196 61	\$1,214 37	\$-17 76
FNMA POOL 889668 6 0% 6/01/38	31410KMR2	1955 81	06/13/08	09/25/10	\$1,955 81	\$1,955 50	\$0 31
FEDERAL NAT'L MTGE ASSN POOL 745948	31403DWH8	726 31	09/10/09	09/25/10	\$726 31	\$775 51	\$-49 20
EMC CORP(MASS) USD 0 01	268648102	100	07/30/09	09/30/10	\$2,030 34	\$1,559 55	\$470 79
EMC CORP(MASS) USD 0 01	268648102	260	07/16/09	09/30/10	\$5,277 99	\$3,574 04	\$1,703 95
EMC CORP(MASS) USD 0 01	268648102	310	07/16/09	09/30/10	\$6,292 99	\$4,262 50	\$2,030 49
EMC CORP(MASS) USD 0 01	268648102	190	07/30/09	09/30/10	\$3,856 99	\$2,963 14	\$893 85
EMC CORP(MASS) USD 0 01	268648102	90	07/17/09	09/30/10	\$1,827 00	\$1,234 40	\$592 60

Long term gains and losses

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Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
IBM CORP	459200AM3	15000	03/18/08	10/07/10	\$19,868 55	\$16,650 30	\$3,218 25
FHLMC GOLD G05580 6 5% 4/01/39	3128M7Q90	660 94	09/01/09	10/15/10	\$660 94	\$704 73	\$-43 79
FHLMC GOLD PL G05509 6 0% 6/01/39	3128M7N28	451 15	06/08/09	10/15/10	\$451 15	\$469 16	\$-18 01
FHLMC GOLD G04425 6 0% 5/01/38	3128M6HA9	510 33	02/09/09	10/15/10	\$510 33	\$528 35	\$-18 02
FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9	812 91	08/20/08	10/15/10	\$812 91	\$806 50	\$6 41
FED'L HOME LOAN MTGE CORP GRP G119: 3128M1BF5	3128M1BF5	154 61	11/18/08	10/15/10	\$154 61	\$154 26	\$0 35
GNMA POOL 687844 6 5% 8/15/38	36296DER5	517 9	08/22/08	10/15/10	\$517 90	\$531 41	\$-13 51
GNMA POOL 782105 5 0% 3/15/36	36241KKS6	483 86	02/27/08	10/15/10	\$483 86	\$481 89	\$1 97
HDMOT 2007-1 A4 5 21% 6/15/13	41283UAD7	792 85	03/05/08	10/15/10	\$792.85	\$804 37	\$-11 52
FHLMC GOLD A69290 6 0% 12/01/37	3128L1KB5	133 92	02/29/08	10/15/10	\$133 92	\$136 83	\$-2 91
HAT 2007-1 A4 5 33% 11/17/13	44329FAD0	756 48	02/28/08	10/17/10	\$756 48	\$778 46	\$-21 98
FEDERAL NAT'L MTGE ASSN POOL 745948 31403DWH8	31403DWH8	720 44	09/10/09	10/25/10	\$720 44	\$769 24	\$-48.80
FNMA POOL 889668 6 0% 6/01/38	31410KMR2	997 88	06/13/08	10/25/10	\$997 88	\$997 72	\$0 16
FNMA POOL 888967 6 0% 12/01/37	31410GT9	791 56	06/09/08	10/25/10	\$791 56	\$803 31	\$-11 75
FNMA POOL 962003 5 5% 3/01/38	31414CGL6	1668 36	02/25/08	10/25/10	\$1,668 36	\$1,664 61	\$3 75
FNMA POOL 966608 5 5% 2/01/38	31414HKV8	1244 27	02/15/08	10/25/10	\$1,244 27	\$1,245 24	\$-0 97
FNMA POOL 888214 5 0% 3/01/21	31410FYK5	381 36	02/27/08	10/25/10	\$381 36	\$381 48	\$-0 12
FNMA POOL 831812 6 0% 9/01/36	31407JDR0	191 28	02/27/08	10/25/10	\$191 28	\$193 79	\$-2 51
FNMA POOL 899651 6 5% 8/01/37	31410WQC5	187 47	02/27/08	10/25/10	\$187.47	\$193 21	\$-5 74
FNMA POOL 888279 4 5% 1/01/36	31410F2L8	221 71	02/27/08	10/25/10	\$221 71	\$214 50	\$7 21
FNMA POOL 918044 5 979% 5/01/37	31411X5D3	704 68	10/29/08	10/25/10	\$704 68	\$696 22	\$8 46
FNMA POOL 930851 4 0% 4/01/24	31412PEY3	648 97	04/09/09	10/25/10	\$648.97	\$660 93	\$-11 96
FNMA POOL AC1520 4% 9/1/2024	31417KVN4	200 36	10/14/09	10/25/10	\$200 36	\$203 37	\$-3 01
FNMA POOL 930924 4 5% 4/1/2039	31412PG96	829 12	09/01/09	10/25/10	\$829 12	\$834 82	\$-5 70
FNMA POOL AA8389 4 5% 6/01/39	31416SKB6	121 91	06/11/09	10/25/10	\$121 91	\$119 61	\$2.30
FEDERAL NAT'L MTGE ASSN POOL 889291 31410G7C1	31410G7C1	496 3	05/20/09	10/25/10	\$496 30	\$514 83	\$-18 53



BNY MELLON
WEALTH MANAGEMENT

MICHAEL E. HORN FAM FDN INC-IMA

2010 Tax Information

Account Number 10260442850

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Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FNMA POOL 962301 4 5% 3/01/23	31414CRW0	1058 18	05/20/09	10/25/10	\$1,058 18	\$1,089 10	\$-30 92
BNY MELLON EMERGING MKTS FD CL M SHS	05569M855	21103 9	02/12/08	10/26/10	\$243,750 05	\$390,000 00	\$-146,249 95
BNY MELLON EMERGING MKTS FD CL M SHS	05569M855	4655 49	11/03/08	10/26/10	\$53,770 91	\$50,000 00	\$3,770 91
BNY MELLON MID CAP STK FD CL M	05569M509	606 48	12/04/08	10/26/10	\$6,628 83	\$3,917 88	\$2,710 95
BNY MELLON MID CAP STK FD CL M	05569M509	64 6	03/27/09	10/26/10	\$706 08	\$448 31	\$257 77
BNY MELLON MID CAP STK FD CL M	05569M509	8939 97	11/03/08	10/26/10	\$97,713 87	\$70,000 00	\$27,713 87
BNY MELLON EMERGING MKTS FD CL M SHS	05569M855	21161 09	12/22/08	10/26/10	\$244,410 60	\$122,099 49	\$122,311 11
BNY MELLON EMERGING MKTS FD CL M SHS	05569M855	7299 27	09/22/09	10/26/10	\$84,306 57	\$70,000 00	\$14,306 57
BNY MELLON INTL FD CL M SHSS	05569M871	19760 54	02/12/08	10/26/10	\$210,449 72	\$261,827 21	\$-51,377 49
BNY MELLON INTL FD CL M SHSS	05569M871	4328 02	11/03/08	10/26/10	\$46,093 41	\$38,000 00	\$8,093 41
BNY MELLON INTL FD CL M SHSS	05569M871	6109 92	12/16/08	10/26/10	\$65,070 64	\$51,812 10	\$13,258 54
BNY MELLON MID CAP STK FD CL M	05569M509	11322 76	02/12/08	10/26/10	\$123,757 76	\$128,060 40	\$-4,302 64
BNY MELLON MID CAP STK FD CL M	05569M509	46 36	03/28/08	10/26/10	\$506 71	\$505 75	\$0 96
FNMA POOL 899651 6 5% 8/01/37	31410WQC5	16555 01	02/27/08	10/27/10	\$18,270 01	\$17,061 99	\$1,208 02
FNMA POOL 889668 6 0% 6/01/38	31410KMR2	24633 97	06/13/08	10/27/10	\$26,704 76	\$24,630 12	\$2,074 64
FNMA POOL 831812 6 0% 9/01/36	31407JDR0	6622 8	02/27/08	10/27/10	\$7,191 95	\$6,709 73	\$482 22
GNMA POOL 687844 6 5% 8/15/38	36296DER5	9523 38	08/22/08	10/27/10	\$10,594 76	\$9,771 88	\$822 88
FHLMC GOLD PL G05509 6 0% 6/01/39	3128M7N28	373 98	06/08/09	11/15/10	\$373 98	\$388 91	\$-14 93
FED'L HOME LOAN MTGE CORP GRP G119	3128M1BF5	175 94	11/18/08	11/15/10	\$175 94	\$175 55	\$0 39
GNMA POOL 782105 5 0% 3/15/36	36241KKS6	556 17	02/27/08	11/15/10	\$556 17	\$553 98	\$2 19
FHLMC GOLD G05580 6 5% 4/01/39	3128M7Q90	682 73	09/01/09	11/15/10	\$682 73	\$727 96	\$-45 23
FHLMC GOLD G04425 6 0% 5/01/38	3128M6HA9	380 69	02/09/09	11/15/10	\$380 69	\$394 13	\$-13 44
FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9	370 44	08/20/08	11/15/10	\$370 44	\$367 64	\$2 80
FHLMC GOLD A69290 6 0% 12/01/37	3128L1KB5	191 74	02/29/08	11/15/10	\$191 74	\$195 90	\$-4 16
FNMA POOL 888214 5.0% 3/01/21	31410FYK5	427 39	02/27/08	11/25/10	\$427 39	\$427 52	\$-0 13
FNMA POOL 930851 4 0% 4/01/24	31412PEY3	609 6	04/09/09	11/25/10	\$609 60	\$620 84	\$-11 24

Long term gains and losses

This category includes sales of assets held more than 12 months

Description	Cusip	Units	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
FNMA POOL 918044 5 979% 5/01/37	31411X5D3	668 33	10/29/08	11/25/10	\$668 33	\$660 31	\$8 02
FNMA POOL 930924 4 5% 4/1/2039	31412PG96	1041 61	09/01/09	11/25/10	\$1,041 61	\$1,048 77	\$-7 16
FEDERAL NAT'L MTGE ASSN POOL 745948 31403DWH8	31403DWH8	634 44	09/10/09	11/25/10	\$634 44	\$677 41	\$-42 97
FNMA POOL 962301 4 5% 3/01/23	31414CRW0	1685 22	05/20/09	11/25/10	\$1,685 22	\$1,734 46	\$-49 24
FEDERAL NAT'L MTGE ASSN POOL 889291 31410G7C1	31410G7C1	506 65	05/20/09	11/25/10	\$506 65	\$525 57	\$-18 92
FNMA POOL 888279 4 5% 1/01/36	31410F2L8	231 67	02/27/08	11/25/10	\$231 67	\$224 35	\$7 32
FNMA POOL 962003 5 5% 3/01/38	31414CGL6	2706 88	02/25/08	11/25/10	\$2,706 88	\$2,700 80	\$6 08
FNMA POOL AC1520 4% 9/1/2024	31417KVN4	71 53	10/14/09	11/25/10	\$71 53	\$72 60	\$-1.07
FNMA POOL 966608 5 5% 2/01/38	31414HKV8	1286 75	02/15/08	11/25/10	\$1,286 75	\$1,287 76	\$-1 01
FNMA POOL 888967 6 0% 12/01/37	31410GT9	990 79	06/09/08	11/25/10	\$990 79	\$1,005 50	\$-14 71
FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8	888 52	11/10/09	11/25/10	\$888 52	\$923 92	\$-35 40
FNMA POOL AA8389 4 5% 6/01/39	31416SKB6	214 04	06/11/09	11/25/10	\$214 04	\$210 12	\$3 92
FNMA POOL 889668 6 0% 6/01/38	31410KMR2	388 89	06/13/08	11/25/10	\$388 89	\$388 83	\$0 06
MELLON OPTIMA L/S STRATEGY FD LLC*	9934AA008	716533 24	02/28/08	12/31/10	\$716,531.70	\$750,000 00	\$-33,468 30
Total long term gain/loss for sales of assets:					\$3,797,720 69	\$3,845,798 10	\$-48,077 41

*See basis calc

Mellon Optima True-Up <32,823>
3,812,975
<15,254.41>

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	MICHAEL E HORN FAMILY FOUNDATION INC.	26-1375584
	Number, street, and room or suite no. If a P.O. box, see instructions.	
	P.O. BOX 1944	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	OWENSBORO, KY 42302	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE FOUNDATION

- The books are in the care of ► **P.O. BOX 1944 - OWENSBORO, KY 42302**
Telephone No ► **(270) 313-0245** FAX No. ►
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for.
- ☒ calendar year **2010** or
- ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return, ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	2,066.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	4,410.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2011)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II	Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)	
Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	MICHAEL E HORN FAMILY FOUNDATION INC.	26-1375584
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 1944	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. OWENSBORO, KY 42302	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

ORACLE CAPITAL STRATEGIES, LLC

• The books are in the care of **2330 W. JOPPA ROAD, SUITE 107-A - LUTHERVILLE, MD 21093**

Telephone No. **(410) 878-7002**

FAX No.

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2011**

5 For calendar year **2010**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

Taxpayer needs additional time to assemble the information needed to file a complete and accurate return - See accompanying letter

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	6,088.
8b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	1,896.
8c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	4,192.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Mary J. Bowden CPA** Title

Date **11.1.11**

Form 8868 (Rev. 1-2011)