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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

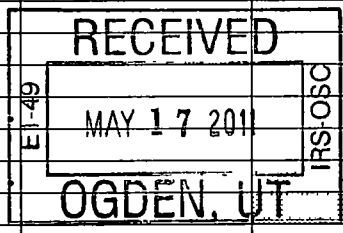
Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , and ending

G Check all that apply Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Name of foundation HARLAN E. ANDERSON FOUNDATION		A Employer identification number 23-7011480
Number and street (or P O box number if mail is not delivered to street address) 2113 MEADOW RIDGE	Room/suite	B Telephone number (203) 544-8488
City or town, state, and ZIP code REDDING, CT 06896		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 2981914.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		77711.	77711.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		111611.			
b Gross sales price for all assets on line 6a 624448.					
7 Capital gain net income (from Part IV, line 2)			111611.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1581.	900.		STATEMENT 2
12 Total. Add lines 1 through 11		190903.	190222.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees STMT 3		16630.	16630.		0.
17 Interest					
18 Taxes STMT 4		3498.	2938.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		1353.	1353.		0.
24 Total operating and administrative expenses Add lines 13 through 23		21481.	20921.		0.
25 Contributions, gifts, grants paid		141500.			141500.
26 Total expenses and disbursements. Add lines 24 and 25		162981.	20921.		141500.
27 Subtract line 26 from line 12		27922.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			169301.		
c Adjusted net income (if negative, enter -0-)				N/A	



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		76997.	57392.	57392.
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations				
	b	Investments - corporate stock	STMT 7	1955232.	1999825.	2915349.
	c	Investments - corporate bonds				
11	Investments - land buildings, and equipment basis					
	Less accumulated depreciation					
12	Investments - mortgage loans					
13	Investments - other	STMT 8	9088.	9172.	9173.	
14	Land, buildings, and equipment basis					
	Less accumulated depreciation					
15	Other assets (describe)					
16	Total assets (to be completed by all filers)		2041317.	2066389.	2981914.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		1964320.	2008997.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		76997.	57392.		
30	Total net assets or fund balances		2041317.	2066389.		
31	Total liabilities and net assets/fund balances		2041317.	2066389.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2041317.
2	Enter amount from Part I, line 27a	2	27922.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	2069239.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 6	5	2850.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2066389.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED J.P. MORGAN CHASE SCHEDULE	P		
b SEE ATTACHED J.P. MORGAN CHASE SCHEDULE	P		
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 116032.		114711.	1321.
b 508284.		398126.	110158.
c 132.			132.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			1321.
b			110158.
c			132.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	111611.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	138941.	2620128.	.053028
2008	121590.	3248680.	.037428
2007	177485.	3804388.	.046653
2006	174009.	3506470.	.049625
2005	126500.	3055636.	.041399

2 Total of line 1, column (d)	2	.228133
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.045627
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	2977556.
5 Multiply line 4 by line 3	5	135857.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1693.
7 Add lines 5 and 6	7	137550.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	141500.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1693.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1693.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1693.
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	560.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	560.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1133.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X		
14	The books are in care of ▶ HARLAN E. ANDERSON Telephone no ▶ (203) 544-8488 Located at ▶ 2113 MEADOW RIDGE, REDDING, CT ZIP+4 ▶ 06896			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶		Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870. 6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARLAN E. ANDERSON 2113 MEADOW RIDGE REDDING, CT 06896	TRUSTEE 1.00	0.	0.	0.
LOIS J. ANDERSON 2113 MEADOW RIDGE REDDING, CT 06896	TRUSTEE 1.00	0.	0.	0.
SUSAN L ABBOTT GOODWIN PROCTER LLP, EXCHANGE PLACE BOSTON, MA 02109-2881	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		0

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
2	
All other program-related investments See instructions	
3 N/A	0.
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2947918.
b	Average of monthly cash balances	1b	63519.
c	Fair market value of all other assets	1c	11462.
d	Total (add lines 1a, b, and c)	1d	3022899.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3022899.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	45343.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2977556.
6	Minimum investment return. Enter 5% of line 5	6	148878.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	148878.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	1693.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1693.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	147185.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	147185.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	147185.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	141500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	141500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1693.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	139807.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				147185.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			37180.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 141500.				
a Applied to 2009, but not more than line 2a			37180.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				104320.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				42865.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

HARLAN E. ANDERSON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

HARLAN E. ANDERSON, 203-544-8488
2113 MEADOW RIDGE, REDDING, CT 06896

b The form in which applications should be submitted and information and materials they should include

NO STANDARD FORMAT

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

LOCAL AREA PREFERENCE, ELDERLY AND EDUCATIONAL PROGRAMS PREFERRED.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code...
a Transfers from the reporting foundation to a noncharitable exempt organization of
(1) Cash
(2) Other assets
b Other transactions
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Content includes 'N/A' in column (c).

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Content includes 'N/A' in column (a).

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.
Signature of officer or trustee: Harlan E. Anderson, Date: 5/12/11, Title: TRUSTEE

Paid Preparer Use Only Print/Type preparer's name: PETER G. NEWHALL, Preparer's signature: Peter G. Newhall, Date: 5/15/11, Check self-employed: [], PTIN: P00236859, Firm's name: GOODWIN PROCTER LLP EXCHANGE PLACE, Firm's address: BOSTON, MA 02109-2881, Firm's EIN: [], Phone no: 617 570-1133

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JP MORGAN CHASE BANK	46779.	132.	46647.
JP MORGAN CHASE BANK	30214.	0.	30214.
JP MORGAN CHASE BANK	850.	0.	850.
TOTAL TO FM 990-PF, PART I, LN 4	77843.	132.	77711.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN CHASE BANK	900.	900.	
FEDERAL REFUND	681.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1581.	900.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GOODWIN PROCTER LLP	2850.	2850.		0.
ROCKEFELLER & CO	13780.	13780.		0.
TO FORM 990-PF, PG 1, LN 16C	16630.	16630.		0.

FORM 990-PF	TAXES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INTERNAL REVENUE SERVICE	560.	0.		0.
FOREIGN TAXES	2938.	2938.		0.
TO FORM 990-PF, PG 1, LN 18	3498.	2938.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAN FEES	1353.	1353.		0.
TO FORM 990-PF, PG 1, LN 23	1353.	1353.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 6
DESCRIPTION		AMOUNT
ADJUSTMENT FOR DIFFERENCES IN BOOK VALUE & TAX COST		2850.
TOTAL TO FORM 990-PF, PART III, LINE 5		2850.

FORM 990-PF	CORPORATE STOCK	STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	1999825.	2915349.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1999825.	2915349.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VARIOUS OTHER ASSETS	COST	9172.	9173.
TOTAL TO FORM 990-PF, PART II, LINE 13		9172.	9173.

FORM 990-PF	GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	STATEMENT	9
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RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICARES 88 HAMILTON AVENUE STAMFORD, CT 06902	NONE FOR CURRENT YEAR PROGRAMS		5000.
BOSTON SYMPHONY ORCHESTRA-ANNUAL FUND SYMPHONY HALL 450 MASSACHUSETTS AVENUE BOSTON, MA 02115-4511	NONE FOR CURRENT YEAR PROGRAMS		16000.
COMPUTER HISTORY MUSEUM 1401 N. SHORELINE BLVD MOUNTAIN VIEW, CA 94043	NONE FOR CURRENT YEAR PROGRAMS		3000.
CONGREGATIONAL CHURCH 1 PARK STREET NEW CANAAN, CT 06840	NONE FOR CURRENT YEAR PROGRAMS		1000.
GREENWICH CHORAL SOCIETY 299 GREENWICH AVENUE GREENWICH, CT 06836	NONE FOR CURRENT YEAR PROGRAMS		1000.
HORIZONS 545 PONUD RIDGE NEW CANAAN, CT 06840	NONE FOR CURRENT YEAR PROGRAMS		4000.

NEW CANAAN COMMUNITY FOUNDATION P.O.BOX 1285 NEW CANAAN, CT 06840	NONE FOR CURRENT YEAR PROGRAMS	4000.
NORTHWESTERN UNIVERSITY 2020 RIDGE AVENUE EVANSTON, IL 60208-4305	NONE FOR CURRENT YEAR PROGRAMS	2000.
NORWALK COMMUNITY COLLEGE FOUNDATION 188 RICHARDS AVENUE NORWALK, CT 06854	NONE FOR CURRENT YEAR PROGRAMS	85000.
NURSING & HOME CARE P.O.BOX 489 WILTON, CT 06897	NONE FOR CURRENT YEAR PROGRAMS	2500.
RENSSELAER POLYTECHNIC INSTITUTE 110 EIGHTH ST TROY, NY 12180-3590	NONE FOR CURRENT YEAR PROGRAMS	3000.
THE MACULAR FOUNDATION 210 EAST 64TH ST NEW YORK, NY 10065	NONE FOR CURRENT YEAR PROGRAMS	5000.
UNIVERSITY OF ILLINOIS FOUNDATION 1305 WEST GREEN ST URBANA, IL 61801-2962	NONE FOR CURRENT YEAR PROGRAMS	5000.
WAVENEY CARE CENTER 3 FARM ROAD NEW CANAAN, CT 06840	NONE FOR CURRENT YEAR PROGRAMS	5000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

141500.



The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period Ending December 31, 2010

Detailed Statement of Assets

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Current Value	Yield	Est. Ann. Income
Cash and Cash Equivalents			50,300.27	50,300.27	0.00	1.69	0.03	15
Cash								
Securities								
Fixed Income								
North America								
Abbott Laboratories Notes, 4 125% Due 05/27/2020	20,000	101.67	19,990.60	20,334.62	344.02	0.68	4.06	825
American Express, 7 25% Due 05/20/2014	15,000	113.92	14,982.78	17,088.44	2,105.66	0.57	6.36	1,088
Autozone Inc, 6 95% Due 06/15/2016	15,000	115.79	15,150.00	17,368.04	2,218.04	0.58	6.00	1,043
Boeing, 5 00% Due on 03/15/2014	20,000	109.70	19,940.63	21,939.56	1,998.93	0.74	4.56	1,000
Federal Farm Credit FFCB 2 125% Due 06/18/12	40,000	102.29	39,952.15	40,917.44	965.29	1.38	2.08	850
General Electric Capital Corp, 4 00% Due 2/15/12	40,000	102.76	40,000.00	41,105.80	1,105.80	1.38	3.89	1,600
General Electric Capital Corp, 5 9% Due 05/13/14	25,000	110.68	24,980.17	27,668.98	2,688.81	0.93	5.33	1,475
Honeywell International Bonds, 5 00% Due on 2/15/2019	25,000	109.47	24,592.00	27,367.80	2,775.80	0.92	4.57	1,250
Honeywell International Bonds, 6 125% Due 11/01/11	100,000	104.76	101,534.47	104,762.30	3,227.83	3.52	5.85	6,125
Medtronic, Inc, 5 60% Due on 03/15/19	20,000	112.34	19,988.00	22,467.70	2,479.70	0.76	4.98	1,120
Microsoft Corp 2 95% Due 06/01/14	15,000	104.10	15,060.14	15,614.66	554.52	0.52	2.83	443
Oracle Corp 4 95% Due 4/15/2013	15,000	108.94	15,583.42	16,341.06	757.64	0.55	4.54	743
Pfizer Inc, 6 20% Due on 03/15/2019	40,000	117.14	39,964.66	46,854.44	6,889.78	1.58	5.29	2,480
Walt Disney Company NI 5 50% Due on 03/15/19	20,000	113.54	19,968.56	22,707.48	2,738.92	0.76	4.84	1,100
Wells Fargo Co 4 375% Due 01/31/13	40,000	105.83	39,945.11	42,330.04	2,384.93	1.42	4.13	1,750
Total North America			451,632.69	484,868.36	33,235.67	16.30	4.72	22,890
Europe ex United Kingdom								
Nokia Corp 5 375% Due 05/15/19	15,000	105.53	15,026.14	15,830.19	804.05	0.53	5.09	806
Statoil 5 125% Due 04/30/2014	100,000	110.15	99,061.77	110,151.30	11,089.53	3.70	4.65	5,125
Total Europe ex United Kingdom			114,087.91	125,981.49	11,893.58	4.23	4.71	5,931
Total Fixed Income			565,720.60	610,849.85	45,129.25	20.53	4.72	28,821
Equity								
North America								
Advance Auto Parts, Inc.	320	66.15	12,262.03	21,168.00	8,905.97	0.71	0.36	77
Alexion Pharmaceuticals, Inc	180	80.55	8,201.83	14,499.00	6,297.17	0.49	0.00	0
Allstate Corporation	645	31.88	21,825.67	20,562.60	(1,263.07)	0.69	2.51	516
Ameriprise Financial, Inc	540	57.55	19,748.39	31,077.00	11,328.61	1.04	1.25	389
Annaly Mortgage Management Inc	880	17.92	15,017.70	15,769.60	751.90	0.53	14.29	2,253
Apple Inc	123	322.56	31,691.69	39,674.88	7,983.19	1.33	0.00	0

* - Settled cash is invested in JPM US Gov Inst MM yield is estimated
110118 1 3049-R7

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period Ending December 31 2010

Detailed Statement of Assets

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Current Value	Current Yield	Est. Ann. Income
Avery Dennison Corp	750	42.34	22,030.95	31,755.00	9,724.05	1.07	2.01	638
Bank of America Corporation	1,840	13.34	31,885.51	24,545.60	(7,339.91)	0.83	0.30	74
BioMarrn Pharmaceutical Inc	530	26.93	12,718.26	14,272.90	1,554.64	0.48	0.00	0
CareFusion Corporation	1,190	25.70	24,493.89	30,583.00	6,089.11	1.03	0.00	0
Cisco Systems, Inc	1,580	20.23	27,704.17	31,963.40	4,259.23	1.07	0.00	0
CIT Group, Inc.	350	47.10	12,866.60	16,485.00	3,618.40	0.55	0.00	0
Comcast Corporation - CL A	1,360	21.97	20,654.21	29,879.20	9,224.99	1.00	1.68	503
Comenca Incorporated	470	42.24	17,634.71	19,852.80	2,218.09	0.67	0.95	188
Community Health Care	570	37.37	23,693.74	21,300.90	(2,392.84)	0.72	0.00	0
Continental Resources, Inc	400	58.85	9,433.95	23,540.00	14,106.05	0.79	0.00	0
Exxon Mobil Corporation	6,556	73.12	16,217.19	479,374.72	463,157.53	16.11	2.38	11,407
FedEx Corporation	310	93.01	20,034.21	28,833.10	8,798.89	0.97	0.52	149
Goldman Sachs Group, Inc	107	168.16	10,454.54	17,993.12	7,538.58	0.60	0.83	150
Google Inc - Class A	83	593.97	49,737.98	49,299.51	(438.47)	1.66	0.00	0
Hertz Global Holdings Inc	1,500	14.49	18,023.37	21,735.00	3,711.63	0.73	0.00	0
Hewlett-Packard Company	640	42.10	26,577.92	26,944.00	366.08	0.91	0.76	205
Honeywell Inc	690	53.16	26,242.66	36,680.40	10,437.74	1.23	2.28	835
Human Genome Sciences Inc	330	23.89	8,351.97	7,883.70	(468.27)	0.27	0.00	0
Intel Corp	890	21.03	12,978.60	18,716.70	5,738.10	0.63	3.00	561
Mastercard Inc - Class A	120	224.11	20,883.12	26,893.20	6,010.08	0.90	0.27	72
Microsoft Corp	1,940	27.91	50,740.57	54,145.40	3,404.83	1.82	2.29	1,242
Moody's Corporation	1,710	26.54	2,465.16	45,383.40	42,918.24	1.53	1.73	787
Morgan Stanley	600	27.21	18,895.44	16,326.00	(2,569.44)	0.55	0.74	120
MSCI Inc - A	390	38.96	14,369.36	15,194.40	825.04	0.51	0.00	0
Newmont Mining Corporation	400	61.43	18,768.32	24,572.00	5,803.68	0.83	0.98	240
NYSE Euronext	650	29.98	16,636.46	19,487.00	2,850.54	0.66	4.00	780
Pepsico, Inc	610	65.33	31,787.97	39,851.30	8,063.33	1.34	2.94	1,171
Pfizer Inc	1,570	17.51	27,522.92	27,490.70	(32.22)	0.92	4.57	1,256
Qualcomm Inc	625	49.49	23,603.83	30,931.25	7,327.42	1.04	1.50	463
Regeneron Pharmaceuticals	300	32.83	8,917.94	9,849.00	931.06	0.33	0.00	0
Republic Services Inc Cl A	760	29.86	20,067.09	22,693.60	2,626.51	0.76	2.61	593
State Street Corp	562	46.34	21,545.63	26,043.08	4,497.45	0.88	0.09	22
The Dun & Bradstreet Corporation	660	82.09	1,179.55	54,179.40	52,999.85	1.82	1.71	924
Thermo Fisher Scientific Inc	465	55.36	20,138.46	25,742.40	5,603.94	0.87	0.00	0

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period Ending December 31 2010

Detailed Statement of Assets

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Current Value	Yield	Est Ann. Income
Toronto-Dominion Bank	335	74.31	21,561.10	24,893.85	3,332.75	0.84	3.23	804
Wal-Mart Stores, Inc	660	53.93	31,296.98	35,593.80	4,296.82	1.20	2.24	799
Wellpoint Inc	410	56.86	20,614.87	23,312.60	2,697.73	0.78	0.00	0
Wells Fargo Company	880	30.99	12,431.12	27,271.20	14,840.08	0.92	0.65	176
Yumi Brands, Inc.	620	49.05	15,394.71	30,411.00	15,016.29	1.02	2.04	620
Total North America			899,302.34	1,654,653.71	755,351.37	55.62	1.69	28,011
United Kingdom								
Centrica plc	7,390	5.19	27,915.13	38,366.77	10,451.64	1.29	3.92	1,504
InterContinental Hotels Group PLC	1,230	19.46	12,470.01	23,937.15	11,467.14	0.80	2.09	501
Intertek Group plc	880	27.79	17,236.52	24,455.54	7,219.02	0.82	1.46	358
Johnson Matthey PLC	990	31.91	26,938.06	31,588.98	4,650.92	1.06	1.96	620
Royal Dutch Shell PLC-ADR A	540	66.78	29,123.76	36,061.20	6,937.44	1.21	4.27	1,539
Smiths Group plc	1,440	19.49	27,522.84	28,069.08	546.24	0.94	2.73	767
Vodafone Group PLC - SP ADR	1,880	26.44	36,845.54	49,707.20	12,861.66	1.67	4.92	2,444
Total United Kingdom			178,051.86	232,185.92	54,134.06	7.81	3.33	7,733
Europe ex United Kingdom								
AerCap Holdings NV	900	14.12	12,550.64	12,708.00	157.36	0.43	0.00	0
BNP Paribas	460	63.87	29,524.82	29,380.61	(144.21)	0.99	3.15	926
Boskalis Westminster - CVA	467	47.89	14,443.09	22,366.08	7,922.99	0.75	3.33	746
Covidien Limited	530	45.66	18,975.90	24,199.80	5,223.90	0.81	1.75	424
Credit Suisse Group	470	40.41	18,831.00	18,994.64	163.64	0.64	5.31	1,008
Intesa Sanpaolo - RNC	8,590	2.39	27,339.53	20,547.04	(6,792.49)	0.69	5.05	1,037
Novartis AG - ADR	870	58.95	48,216.94	51,286.50	3,069.56	1.72	2.80	1,436
Schneider Electric SA	260	150.25	20,735.23	39,065.75	18,330.52	1.31	1.83	715
SKF AB - B shs	1,030	28.50	16,510.33	29,354.81	12,844.48	0.99	1.83	536
SwedBank AB	1,330	13.95	13,886.70	18,556.71	4,670.01	0.62	0.00	0
Total Europe ex United Kingdom			221,014.18	266,459.94	45,445.76	8.96	2.56	6,828
Japan								
Sumco Corporation	700	14.30	14,075.11	10,011.71	(4,063.40)	0.34	0.00	0
Asia ex Japan								
China Agri-Industries Holdings Ltd	11,000	1.13	13,911.65	12,480.62	(1,431.03)	0.42	1.36	170
China High Speed Transmission Equipment	4,000	1.55	8,650.07	6,195.29	(2,454.78)	0.21	2.49	154
China Mobile (Hong Kong) Limited Sp ADR	400	49.62	16,304.92	19,848.00	3,543.08	0.67	3.35	664
Galaxy Entertainment Group Limited	10,300	1.13	9,035.77	11,659.90	2,624.13	0.39	0.00	0

The Harlan E. Anderson Foundation - Total Relationship (R9194)

Period Ending December 31 2010

Detailed Statement of Assets

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Current Value	Current Yield	Est. Ann. Income
Wynn Macau, Limited	4,700	2.24	9,479.49	10,520.15	1,040.66	0.35	0.00	0
Total Asia ex Japan			57,381.90	60,703.96	3,322.06	2.04	1.63	988
Emerging Markets Asia								
Chunghwa Telecom Ltd ADR	760	25.27	14,963.67	19,205.20	4,241.53	0.65	0.00	0
Hyundai Mobis	96	250.68	14,090.55	24,065.56	9,975.01	0.81	0.44	106
Korea Electric Power Corporation (KEPCO)	1,070	26.61	26,821.84	28,472.99	1,651.15	0.96	0.00	0
Philippine Long Distance Telephone Company	150	58.27	8,403.08	8,740.50	337.42	0.29	4.43	387
Total Emerging Markets Asia			64,279.14	80,484.25	16,205.11	2.71	0.61	493
Total Equity			1,434,104.53	2,304,499.49	870,394.96	77.47	1.91	44,052
Total Securities			1,999,825.13	2,915,349.34	915,524.21	98.00	2.50	72,873
Other Assets								
Accrued Dividends Receivable			3,125.28	3,125.28	0.00	0.11		
Accrued Interest Receivable			6,046.18	6,046.18	0.00	0.20		
Div Receivable - FX Value Adjustment			0.00	1.04	1.04	0.00		
Dividends Receivable - MM Sweeps			0.44	0.44	0.00	0.00		
Total Other Assets			9,171.90	9,172.94	1.04	0.31	0.00	0
Total Account:			2,059,297.30	2,974,822.55	915,525.25	100.00	2.45	72,888

The Harlan E. Anderson Foundation (9194)
EIN: 23-7011480

Schedule D - Attachment
Rockefeller Financial

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
300 Sh ARM Holding plc	06/10/2009	02/09/2010	938 52	531 63	406 89
310 Sh ARM Holding plc	06/11/2009	02/09/2010	969 80	552 68	417.12
540 Sh ARM Holding plc	06/09/2009	02/10/2010	1,663 36	954 66	708 70
880 Sh ARM Holding plc	06/10/2009	02/10/2010	2,710 67	1,559 43	1,151 24
310 Sh ARM Holding plc	06/09/2009	02/11/2010	964.67	548 04	416 63
90 Sh Bank of Cyprus Public	03/08/2010	06/07/2010	337 43	599 59	-262 16
180 Sh Bank of Cyprus Public	03/08/2010	06/08/2010	655 90	1,199 17	-543 27
140 Sh Bank of Cyprus Public	03/05/2010	06/09/2010	531 12	908 14	-377 02
40 Sh Bank of Cyprus Public	03/08/2010	06/09/2010	151 75	266 48	-114 73
180 Sh Bank of Cyprus Public	03/05/2010	06/10/2010	700 21	1,167 60	-467 39
70 Sh Bank of Cyprus Public	03/04/2010	06/11/2010	281 99	441 22	-159 23
10 Sh Bank of Cyprus Public	03/05/2010	06/11/2010	40 28	64 87	-24 59
100 Sh Bank of Cyprus Public	03/09/2010	06/11/2010	402 85	643 60	-240 75
160 Sh Bank of Cyprus Public	03/04/2010	06/14/2010	684 66	1,008 51	-323 85
170 Sh Bank of Cyprus Public	03/03/2010	06/15/2010	724.33	1,068 80	-344 47
30 Sh Bank of Cyprus Public	03/04/2010	06/15/2010	127 82	189.09	-61 27
110 Sh Bank of Cyprus Public	03/03/2010	06/16/2010	474 27	691 58	-217 31
40 Sh Bank of Cyprus Public	03/03/2010	06/17/2010	172 56	251 48	-78 92
10 Sh Bank of Cyprus Public	03/03/2010	06/18/2010	44 23	62 87	-18 64
50 Sh Carlsberg A/S	09/11/2009	01/13/2010	3,835 31	3,774 82	60 49
10 Sh Carlsberg A/S	09/10/2009	01/14/2010	766 27	747 81	18 46
20 Sh Carlsberg A/S	09/11/2009	01/14/2010	1,532 54	1,509 93	22 61
20 Sh Carlsberg A/S	09/14/2009	01/14/2010	1,532 54	1 501 48	31 06
40 Sh Carlsberg A/S	09/15/2009	01/14/2010	3,065 08	2 992 70	72 38
40 Sh Carlsberg A/S	09/10/2009	01/15/2010	3,043 32	2,991 25	52 07
10 Sh Carlsberg A/S	09/09/2009	01/19/2010	762 12	734 39	27 73
40 Sh Carlsberg A/S	09/10/2009	01/19/2010	3,048 48	2,991 24	57 24
40 Sh Carlsberg A/S	09/09/2009	01/20/2010	2,985 22	2,937 55	47 67
60 Sh Compagnie Financiere Richemont	12/17/2009	07/01/2010	2,072 15	1,957 31	114 84
100 Sh Compagnie Financiere Richemont	12/18/2009	07/01/2010	3,453 59	3 285 62	167 97
80 Sh Compagnie Financiere Richemont	12/16/2009	07/02/2010	2,720 13	2,608 98	111 15
10 Sh Compagnie Financiere Richemont	12/17/2009	07/02/2010	340 02	326 22	13 80
80 Sh Compagnie Financiere Richemont	12/14/2009	07/06/2010	2,901 48	2,583 38	318 10
10 Sh Compagnie Financiere Richemont	12/16/2009	07/06/2010	362 69	326 12	36 57
170 Sh Compagnie Financiere Richemont	12/11/2009	07/07/2010	6,081 20	5,392 34	688 86
20 Sh Compagnie Financiere Richemont	12/14/2009	07/07/2010	715 44	645 85	69 59
100 Sh Ericsson (LM) Tel - Sp ADR	09/16/2009	02/09/2010	993 36	1,079 61	-86 25
90 Sh Ericsson (LM) Tel - Sp ADR	09/17/2009	02/09/2010	894 02	955 22	-61 20
170 Sh Ericsson (LM) Tel - Sp ADR	09/21/2009	02/09/2010	1,688 71	1,799 26	-110 55
90 Sh Ericsson (LM) Tel - Sp ADR	09/22/2009	02/09/2010	894 02	969 69	-75 67
130 Sh Ericsson (LM) Tel - Sp ADR	09/23/2009	02/09/2010	1,291 36	1,392 77	-101 41

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Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
290 Sh Ericsson (LM) Tel - Sp ADR	09/24/2009	02/09/2010	2,880.74	3,028.06	-147.32
2060 Sh Intesa Sanpaolo	05/08/2009	01/19/2010	9,104.93	7,140.69	1,964.24
1730 Sh Intesa Sanpaolo	05/08/2009	01/20/2010	7,453.70	5,996.79	1,456.91
900 Sh Intesa Sanpaolo	05/08/2009	01/21/2010	3,847.86	3,119.72	728.14
690 Sh Intesa Sanpaolo	05/11/2009	01/21/2010	2,950.03	2,343.95	606.08
1130 Sh Intesa Sanpaolo	05/12/2009	01/21/2010	4,831.21	3,901.81	929.40
440 Sh Intesa Sanpaolo	05/11/2009	01/22/2010	1,811.58	1,494.70	316.88
1570 Sh Intesa Sanpaolo - RNC	01/19/2010	12/07/2010	3,721.10	5,455.37	-1,734.27
260 Sh Intesa Sanpaolo - RNC	01/19/2010	12/08/2010	618.68	903.44	-284.76
130 Sh Intesa Sanpaolo - RNC	01/19/2010	12/09/2010	322.30	451.72	-129.42
220 Sh Intesa Sanpaolo - RNC	01/19/2010	12/10/2010	541.13	764.44	-223.31
80 Sh Nobel Biocare Holding AG	02/03/2010	10/29/2010	1,319.28	2,471.63	-1,152.35
100 Sh Nobel Biocare Holding AG	02/02/2010	11/01/2010	1,626.04	3,080.62	-1,454.58
90 Sh Nobel Biocare Holding AG	02/03/2010	11/01/2010	1,463.44	2,780.59	-1,317.15
40 Sh Nobel Biocare Holding AG	02/02/2010	11/02/2010	648.11	1,232.25	-584.14
90 Sh Nobel Biocare Holding AG	02/04/2010	11/02/2010	1,458.26	2,735.40	-1,277.14
50 Sh Wellpoint Inc	08/06/2009	02/09/2010	3,053.84	2,525.18	528.66
30 Sh Wellpoint Inc	08/07/2009	02/09/2010	1,832.31	1,552.64	279.67
80 Sh Wellpoint Inc	07/20/2009	04/20/2010	4,795.71	3,983.82	811.89
70 Sh Wellpoint Inc	08/06/2009	04/20/2010	4,196.25	3,535.24	661.01
Regular Gain/Loss Totals:					1,320.93
Account Totals:			116,031.97	114,711.04	1,320.93

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Rockefeller Financial

From: 01/01/2010 To: 12/31/2010

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
40 Sh Ameriprise Financial, Inc	10/01/2009	11/24/2010	2,079.40	1,487.64	591.76
90 Sh Ameriprise Financial, Inc	10/02/2009	11/24/2010	4,678.65	3,321.82	1,356.83
80 Sh Amgen Inc	10/22/2009	12/02/2010	4,282.89	4,540.67	-257.78
222 Sh Amgen Inc	03/13/2009	12/03/2010	11,924.30	11,218.15	706.15
60 Sh Amgen Inc	10/22/2009	12/03/2010	3,222.79	3,405.51	-182.72
160 Sh Anglo American PLC	06/11/2009	07/02/2010	5,608.03	4,775.08	832.95
100 Sh Anglo American PLC	06/11/2009	07/06/2010	3,548.10	2,984.42	563.68
50 Sh Anglo American PLC	06/11/2009	07/07/2010	1,752.80	1,492.21	260.59
410 Sh Anglo American PLC	06/11/2009	07/20/2010	14,618.00	12,236.14	2,381.86
1310 Sh ARM Holding plc	06/05/2009	06/11/2010	5,435.61	2,276.91	3,158.70
360 Sh ARM Holding plc	06/08/2009	06/11/2010	1,493.75	627.71	866.04
490 Sh ARM Holding plc	06/09/2009	06/11/2010	2,033.16	866.26	1,166.90
320 Sh ARM Holding plc	06/04/2009	06/14/2010	1,381.16	545.47	835.69
380 Sh ARM Holding plc	06/05/2009	06/14/2010	1,640.13	660.48	979.65
880 Sh ARM Holding plc	06/04/2009	06/15/2010	3,789.40	1,500.05	2,289.35
80 Sh Boskalis Westminster - CVA	05/16/2007	02/02/2010	2,892.04	2,828.95	63.09
10 Sh Boskalis Westminster - CVA	05/24/2007	02/02/2010	361.51	354.61	6.90
30 Sh Boskalis Westminster - CVA	05/25/2007	02/02/2010	1,084.52	1,063.13	21.39
60 Sh Boskalis Westminster - CVA	05/15/2007	02/03/2010	2,182.73	2,096.07	86.66
10 Sh Boskalis Westminster - CVA	05/16/2007	02/03/2010	363.79	353.62	10.17
30 Sh Boskalis Westminster - CVA	05/21/2007	02/03/2010	1,091.36	1,059.25	32.11
20 Sh Boskalis Westminster - CVA	05/15/2007	02/04/2010	706.60	698.69	7.91
90 Sh Capital One Financial Corp	08/07/2009	08/24/2010	3,377.42	3,099.83	277.59
210 Sh Capital One Financial Corp	05/29/2009	08/25/2010	7,750.11	4,936.74	2,813.37
80 Sh Capital One Financial Corp	08/10/2009	08/25/2010	2,952.42	2,749.69	202.73
390 Sh Capital One Financial Corp	05/29/2009	10/07/2010	15,335.01	9,168.24	6,166.77
30000 Sh Coca-Cola Co 4 875% 3/15/19	03/10/2009	12/15/2010	34,169.70	29,928.66	4,241.04
810 Sh Comcast Corporation - CL A	12/10/2007	05/03/2010	16,352.08	14,934.54	1,417.54
50 Sh Genzyme Corporation	03/13/2009	04/20/2010	2,668.03	2,818.33	-150.30
100 Sh Genzyme Corporation	03/16/2009	04/20/2010	5,336.05	5,676.70	-340.65
40 Sh Genzyme Corporation	03/13/2009	04/21/2010	2,185.62	2,254.66	-69.04
10 Sh Genzyme Corporation	03/13/2009	08/10/2010	675.84	563.66	112.18
20 Sh Genzyme Corporation	07/22/2009	08/10/2010	1,351.69	1,037.70	313.99
110 Sh Genzyme Corporation	07/22/2009	08/12/2010	7,239.33	5,707.37	1,531.96
15 Sh Genzyme Corporation	07/22/2009	10/29/2010	1,082.14	778.28	303.86
50 Sh Genzyme Corporation	08/06/2009	10/29/2010	3,607.13	2,452.64	1,154.49
55 Sh Genzyme Corporation	08/07/2009	10/29/2010	3,967.84	2,644.03	1,323.81
20000 Sh Halliburton Nts 6 15% 09/15/19	03/10/2009	05/12/2010	21,858.40	19,936.62	1,921.78
90 Sh Hologic, Inc	08/17/2007	03/12/2010	1,676.66	2,220.41	-543.75
270 Sh Hologic, Inc	08/20/2007	03/12/2010	5,029.98	6,691.99	-1,662.01
280 Sh Hologic, Inc	08/17/2007	11/03/2010	4,466.20	6,907.94	-2,441.74

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Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
110 Sh Hologic, Inc	08/17/2007	11/04/2010	1,743.67	2,713.83	-970.16
120 Sh Hologic, Inc	08/21/2007	11/04/2010	1,902.18	2,940.28	-1,038.10
300 Sh Hologic, Inc	05/01/2008	11/04/2010	4,755.45	6,856.20	-2,100.75
50 Sh Hologic, Inc.	05/02/2008	11/04/2010	792.58	1,146.22	-353.64
30 Sh. Hologic, Inc	05/05/2008	11/04/2010	475.55	664.32	-188.77
20 Sh Hologic, Inc	05/09/2008	11/04/2010	317.03	451.37	-134.34
210 Sh Honeywell Inc	12/20/2005	04/13/2010	9,575.88	8,038.97	1,536.91
10 Sh Int'l Business Machines Corp	07/18/2006	03/15/2010	1,274.57	737.57	537.00
60 Sh Int'l Business Machines Corp	10/09/2008	03/15/2010	7,647.44	5,596.26	2,051.18
20 Sh Int'l Business Machines Corp	10/13/2008	03/15/2010	2,549.15	1,800.15	749.00
70 Sh. Int'l Business Machines Corp	07/17/2006	04/27/2010	9,055.04	5,150.71	3,904.33
240 Sh Int'l Business Machines Corp	07/18/2006	04/27/2010	31,045.85	17,701.71	13,344.14
40 Sh Lab Corp of America Holdings	05/07/2009	05/18/2010	3,090.06	2,593.59	496.47
220 Sh Lab Corp of America Holdings	05/08/2009	05/18/2010	16,995.33	14,405.49	2,589.84
310 Sh Microsoft Corp	08/07/2007	03/17/2010	9,189.24	9,136.66	52.58
1200 Sh Moody's Corporation	01/01/1901	10/20/2010	32,186.45	1,729.93	30,456.52
390 Sh Nestle S A Registered Shares	12/16/2008	04/20/2010	19,029.70	14,384.15	4,645.55
380 Sh Nestle S A Registered Shares	12/16/2008	04/21/2010	18,412.12	14,015.33	4,396.79
400 Sh R H Donnelley Corporation	01/01/1901	01/29/2010	0.00	734.31	-734.31
100 Sh Republic Services Inc Cl A	04/16/2007	02/02/2010	2,691.91	2,808.91	-117.00
130 Sh Republic Services Inc Cl A	04/17/2007	02/02/2010	3,499.49	3,700.11	-200.62
110 Sh Republic Services Inc Cl A	04/18/2007	02/02/2010	2,961.11	3,106.71	-145.60
200 Sh Republic Services Inc Cl A	04/13/2007	04/13/2010	5,941.20	5,534.14	407.06
110 Sh Republic Services Inc Cl A	04/16/2007	04/13/2010	3,267.66	3,089.80	177.86
10 Sh Rogers Communications -Cl B	10/29/2008	05/07/2010	344.27	263.94	80.33
310 Sh Rogers Communications -Cl B	10/30/2008	05/07/2010	10,672.24	8,704.49	1,967.75
380 Sh Rogers Communications -Cl B	10/29/2008	05/10/2010	13,326.83	10,029.80	3,297.03
180 Sh The Travelers Companies, Inc	03/13/2009	04/27/2010	9,142.48	6,710.98	2,431.50
200 Sh The Travelers Companies Inc	03/13/2009	04/28/2010	10,172.17	7,456.64	2,715.53
40 Sh Wal-Mart Stores, Inc	02/14/2005	05/11/2010	2,104.30	2,096.02	8.28
10 Sh Wal-Mart Stores, Inc	02/14/2005	05/12/2010	521.61	524.00	-2.39
60 Sh Walgreen Co	03/13/2009	08/24/2010	1,681.28	1,431.86	249.42
30 Sh Walgreen Co	03/16/2009	08/24/2010	840.64	727.24	113.40
150 Sh Walgreen Co	12/01/2008	08/25/2010	4,130.54	3,572.82	557.72
140 Sh Walgreen Co	03/13/2009	08/25/2010	3,855.17	3,341.02	514.15
20 Sh Walgreen Co	12/01/2008	10/29/2010	678.21	476.38	201.83
360 Sh Walgreen Co	12/02/2008	10/29/2010	12,207.75	8,512.09	3,695.66
130 Sh Wells Fargo Company	03/13/2009	03/15/2010	3,837.33	1,829.52	2,007.81
140 Sh Yum! Brands, Inc	10/09/2008	05/11/2010	5,882.92	3,987.16	1,895.76
130 Sh Yum! Brands, Inc	10/10/2008	05/12/2010	5,449.81	3,398.10	2,051.71
120 Sh Zimmer Holdings Inc	01/08/2008	02/02/2010	6,937.97	8,257.36	-1,319.39

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Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
40 Sh Zimmer Holdings, Inc	09/22/2008	02/02/2010	2,312.65	2,715.42	-402.77
90 Sh Zimmer Holdings, Inc	09/23/2008	02/03/2010	5,163.20	6,094.76	-931.56
30 Sh Zimmer Holdings, Inc	09/23/2008	02/04/2010	1,686.02	2,031.59	-345.57
30 Sh Zimmer Holdings, Inc	09/24/2008	02/04/2010	1,686.02	2,027.34	-341.32
Regular Gain/Loss Totals:					110,158.32
Account Totals:			508,284.44	398,126.12	110,158.32
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