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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

, and ending

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation ECOSYSTEMS TECHNOLOGY TRANSFER, INC. C/O CHRISTIAN J. LAMBERTSEN JR.		A Employer identification number 23-2619483
Number and street (or P.O. box number if mail is not delivered to street address) 7624 KENNEBEC DRIVE	Room/suite	B Telephone number 919-929-6447
City or town, state, and ZIP code CHAPEL HILL, NC 27517		C If exemption application is pending, check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 832,728. (Part I, column (d) must be on cash basis.)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check ☒ If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	17,685.	17,670.	17,685.	STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	29,525.			
	b Gross sales price for all assets on line 6a	932,267.			
	7 Capital gain net income (from Part IV, line 2)		29,525.		
	8 Net short-term capital gain			22,216.	
Operating and Administrative Expenses	9 Income modifications Gross sales less returns and allowances				
	10a Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	119.	119.	119.	STATEMENT 2
	12 Total. Add lines 1 through 11	47,329.	47,314.	40,020.	
	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	2,178.	0.	0.	2,178.
c Other professional fees	8,093.	0.	0.	8,093.	
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	271.	0.	0.	271.	
24 Total operating and administrative expenses. Add lines 13 through 23	10,542.	0.	0.	10,542.	
25 Contributions, gifts, grants paid	36,226.			36,226.	
26 Total expenses and disbursements. Add lines 24 and 25	46,768.	0.	0.	46,768.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	561.				
b Net investment income (If negative, enter -0-)		47,314.			
c Adjusted net income (If negative, enter -0-)			40,020.		

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments	-19,061.	23,129.	23,129.	
	3 Accounts receivable ▶				
	Less allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U S and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
	12 Investments - mortgage loans				
	13 Investments - other STMT 6	763,780.	724,351.	809,599.	
	14 Land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
	15 Other assets (describe ▶)				
	16 Total assets (to be completed by all filers)	744,719.	747,480.	832,728.	
	Liabilities	17 Accounts payable and accrued expenses			
		18 Grants payable			
19 Deferred revenue					
20 Loans from officers, directors, trustees, and other disqualified persons					
21 Mortgages and other notes payable					
22 Other liabilities (describe ▶ STATEMENT 7)		0.	2,200.		
	23 Total liabilities (add lines 17 through 22)	0.	2,200.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted	744,719.	745,280.		
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg, and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances	744,719.	745,280.		
	31 Total liabilities and net assets/fund balances	744,719.	747,480.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	744,719.
2 Enter amount from Part I, line 27a	2	561.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	745,280.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	745,280.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MSSB ACCOUNT #138-72648-13 - SEE ATTACHMENT			
b A	P	VARIOUS	VARIOUS
c MSSB ACCOUNT #138-72648-13 - SEE ATTACHMENT			
d A	P	VARIOUS	VARIOUS
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b 849,088.		826,872.	22,216.
c			
d 83,144.		75,870.	7,274.
e 35.			35.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			22,216.
c			
d			7,274.
e			35.
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 29,525.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{		3 22,216.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	52,898.	713,650.	.074123
2008	102,379.	927,797.	.110346
2007	1,231.	1,204,490.	.001022
2006	99,523.	1,025,954.	.097005
2005	200.	943,351.	.000212

2 Total of line 1, column (d)	2	.282708
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056542
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	785,307.
5 Multiply line 4 by line 3	5	44,403.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	473.
7 Add lines 5 and 6	7	44,876.
8 Enter qualifying distributions from Part XII, line 4	8	46,768.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	473.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		3	473.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	473.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	610.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	610.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	137.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ☒ 137. Refunded ☐ 0.	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► CHRISTIAN J. LAMBERTSEN JR. Telephone no. ► (610) 296-4200 Located at ► 7624 KENNEBEC DRIVE, CHAPEL HILL, NC ZIP+4 ► 27517			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4a	X
	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHRISTIAN J. LAMBERTSEN JR. 7624 KENNEBEC DRIVE CHAPEL HILL, NC 27517	PRESIDENT/TREASURER 5.00	0.	0.	0.
BRADLEY S. LAMBERTSEN 302 N. PROVIDENCE ROAD WALLINGFORD, PA 19086	SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 EXPENDITURES DIRECTLY RELATING TO DEVELOPMENT AND TRANSFER OF SCIENTIFIC TECHNOLOGY BENEFITTING THE GENERAL PUBLIC, INCLUDING ADMINISTRATIVE EXPENSES.	10,542.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	782,738.
b	Average of monthly cash balances	1b	14,528.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	797,266.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	797,266.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	11,959.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	785,307.
6	Minimum investment return. Enter 5% of line 5	6	39,265.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2010 from Part VI, line 5	2a	
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	46,768.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	46,768.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	473.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	46,295.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only				
b Total for prior years				
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009				
f Total of lines 3a through e				
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2010 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr.				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7				
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

☒ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year	Prior 3 years			(e) Total
(a) 2010	(b) 2009	(c) 2008	(d) 2007	
39,265.	35,683.	24,065.	18,430.	117,443.
33,375.	30,331.	20,455.	15,666.	99,827.
46,768.	53,105.	102,602.	1,231.	203,706.
0.	0.	0.	0.	0.
46,768.	53,105.	102,602.	1,231.	203,706.
				0.
				0.
26,177.	23,789.	30,927.	40,150.	121,043.
				0.
				0.
				0.
				0.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

CHRISTIAN J. LAMBERTSEN JR.

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ECOSYSTEMS TECHNOLOGY TRANSFER, INC.

Form 990-PF (2010)

C/O CHRISTIAN J. LAMBERTSEN JR.

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> CUREBLINDNESS.ORG P.O. BOX 55 WATERBURY, VT 05676	N/A	CHARITY	HIMALAYAN CATARACT PROJECT - TO CURE BLINDNESS	10,000.
PARTNERS IN HEALTH 888 COMMONWEALTH AVE., 3RD FLOOR BOSTON, MA 02215	N/A	CHARITY	HEALTH CARE AND SOCIAL JUSTICE FOR POOR/DEVASTATED COUNTRIES	26,226.
Total				36,226.
<i>b Approved for future payment</i>				
NONE				
Total				0.

Part XVI-A

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	17,685.		
5 Net rental income or (loss) from real estate.						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			14	119.		
8 Gain or (loss) from sales of assets other than inventory			14	29,525.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue.						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		47,329.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	47,329.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B[illegible]

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	17,720.	35.	17,685.
TOTAL TO FM 990-PF, PART I, LN 4	17,720.	35.	17,685.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS	119.	119.	119.
TOTAL TO FORM 990-PF, PART I, LINE 11	119.	119.	119.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	2,178.	0.	0.	2,178.
TO FORM 990-PF, PG 1, LN 16B	2,178.	0.	0.	2,178.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	8,093.	0.	0.	8,093.
TO FORM 990-PF, PG 1, LN 16C	8,093.	0.	0.	8,093.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS	256.	0.	0.	256.
FILING FEE	15.	0.	0.	15.
TO FORM 990-PF, PG 1, LN 23	271.	0.	0.	271.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	6
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	COST	724,351.	809,599.
TOTAL TO FORM 990-PF, PART II, LINE 13		724,351.	809,599.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	7
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
OTHER LOANS PAYABLE	0.	2,200.
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	2,200.

ATTACHMENT A

FORM 990-PF
PART IV - CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME
ECOSYSTEMS TECHNOLOGY TRANSFER, INC.
EIN #23-2619483
FOR THE YEAR ENDED 12/31/10

	TOTAL SHORT-TERM	TOTAL LONG-TERM
MSSB ACCOUNT #138-72648-13 - SEE ATTACHED DETAILS		
NET SHORT-TERM CAPITAL GAIN	7,273.63	
NET LONG-TERM CAPITAL LOSS		22,215.62
TOTALS	<u>7,273.63</u>	<u>22,215.62</u>

MorganStanley SmithBarney

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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	21.999	BLACKROCK GLOBAL ALLOCATION FD INC CL INSTITUTIONAL CONFIRM #500000150572688 MorganStanley SmithBarney LLC	07/28/09	01/15/10	\$ 402.36	\$ 363.20		\$ 39.16
125000020	66.611	BLACKROCK GLOBAL ALLOCATION FD INC CL INSTITUTIONAL CONFIRM #500000250290454 MorganStanley SmithBarney LLC	07/28/09	01/25/10	1,189.01	1,099.75		89.26
125000030	371.717 1,874.345	BLACKROCK GLOBAL ALLOCATION FD INC CL INSTITUTIONAL CONFIRM #500000400212747 MorganStanley SmithBarney LLC	07/28/09 08/07/09	02/09/10	6,479.03 32,669.83	6,137.05 31,489.00		341.98 1,180.83
125000040	1,736.379	BLACKROCK GLOBAL ALLOCATION FD INC CL INSTITUTIONAL CONFIRM #500001880287217 MorganStanley SmithBarney LLC	03/11/10	07/07/10	30,299.81	31,550.00	(1,250.19)	
125000050	1,555.011	EATON VANCE FLOATING RATE FUND CL I CONFIRM #500002730181493 MorganStanley SmithBarney LLC	03/11/10	09/30/10	13,653.00	13,606.35		46.65
125000060	876.595	EATON VANCE FLOATING RATE FUND CL I CONFIRM #500003150203072 MorganStanley SmithBarney LLC	03/11/10	11/11/10	7,827.99	7,670.21		157.78
125000070	58.994	EATON VANCE FLOATING RATE FUND CL I CONFIRM #500003570363241 MorganStanley SmithBarney LLC	03/11/10	12/23/10	528.59	516.20		12.39
125000080	87.925	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FD CL I CONFIRM #500003570363233 MorganStanley SmithBarney LLC	08/30/10	12/23/10	902.11	910.02	(7.91)	

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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000090	4,221.223	FEDERATED PRUDENT BEAR FUND IS CONFIRM #500000700159168 MorganStanley SmithBarney LLC acted as your agent	02/09/10	03/11/10	\$ 21,950.36	\$ 23,470.00	(\$ 1,519.64)	
125000100	15.924	ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS	06/12/09	01/15/10	167.84	156.21		11.63
125000110	290.381	ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS	06/12/09	01/25/10	3,049.00	2,848.64		200.36
125000120	463.513 835.259	ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS	06/12/09 08/07/09	03/11/10	4,903.97 8,837.04	4,547.07 8,461.17		356.90 375.87
125000130	629.329	ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS CONFIRM #500001160323351 MorganStanley SmithBarney LLC	08/07/09	04/26/10	6,758.99	6,375.11		383.88
125000160	126.976	FORWARD TACTICAL GROWTH FUND CL M CONFIRM #500003570363209 MorganStanley SmithBarney LLC	11/11/10	12/23/10	3,353.44	3,286.14		67.30
125000170	18	ISHARES COMEX GOLD TRUST MorganStanley SmithBarney LLC acted as your agent In this transaction.	06/04/09	01/15/10	1,994.80	1,727.91		266.89
125000180	76 5 83	ISHARES COMEX GOLD TRUST MorganStanley SmithBarney LLC acted as your agent In this transaction.	06/04/09 06/12/09 08/07/09	01/19/10	8,484.77 558.21 9,266.25	7,295.60 461.22 7,774.56		1,189.17 96.99 1,491.69
125000190	8	ISHARES COMEX GOLD TRUST MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	01/25/10	859.90	749.36 R		110.54
125000200	38	ISHARES COMEX GOLD TRUST MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	05/20/10	4,399.71	3,559.44 R		840.27
125000220	16	ISHARES MSCI BRAZIL INDEX FUND MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/03/10	08/25/10	1,060.53	1,144.03	(83.50)	

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ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000230	4	ISHARES BARCLAYS TREAS INFLATION PROTECTED SECS FD MorganStanley SmithBarney LLC acted as your agent	06/04/09	01/25/10	\$ 419.97	\$ 399.88 R		\$ 20.09
125000240	28	ISHARES TRFTSE XINHUA HK CHINA 25 INDEX MorganStanley SmithBarney LLC acted as your agent	08/03/10	08/25/10	1,095.41	1,175.39	(79.98)	
125000250	179	ISHARES MSCI EMERGING MKTS INDEX FD MorganStanley SmithBarney LLC acted as your agent	07/22/10	08/25/10	7,053.20	7,288.51	(235.31)	
125000260	13 87	ISHARES MSCI EMERGING MKTS INDEX FD MorganStanley SmithBarney LLC acted as your agent	07/22/10 08/02/10	10/20/10	599.32 4,010.83	529.33 3,696.61		69.99 314.22
125000270	89	ISHARES MSCI EMERGING MKTS INDEX FD MorganStanley SmithBarney LLC acted as your agent	08/02/10	10/21/10	4,087.22	3,781.59		305.63
125000280	100 173	ISHARES MSCI EMERGING MKTS INDEX FD MorganStanley SmithBarney LLC acted as your agent	08/02/10 09/13/10	11/11/10	4,747.12 8,212.52	4,248.98 7,477.93		498.14 734.59
125000290	29	ISHARES TR MSCI EAFE INDEX FD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/03/10	08/24/10	1,433.99	1,554.21	(120.22)	
125000300	119 102	ISHARES RUSSELL 2000 INDEX FD MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/26/10 07/27/10	08/12/10	7,308.29 6,264.24	7,882.14 6,825.15	(573.85) (560.91)	
125000310	191	ISHARES RUSSELL 2000 INDEX FD MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/27/10	08/13/10	11,723.17	12,780.42	(1,057.25)	

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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000320	62	ISHARES RUSSELL 2000 INDEX FD MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/12/10	10/20/10	\$ 4,367.20	\$ 4,288.57		\$ 78.63
125000330	57	ISHARES RUSSELL 2000 INDEX FD MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/12/10	10/21/10	3,972.20	3,942.72		29.48
125000340	1 179	ISHARES RUSSELL 2000 INDEX FD MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/12/10 10/14/10	11/11/10	73.25 13,111.88	69.17 12,605.22		4.08 506.66
125000350	28	ISHARES MSCI EAFE SMALL CAP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	01/15/10	1,049.98	852.36		197.62
125000360	48	ISHARES MSCI EAFE SMALL CAP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	01/25/10	1,740.45	1,461.20		279.25
125000370	120 45 21	ISHARES MSCI EAFE SMALL CAP MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09 07/28/09 08/07/09	02/09/10	4,125.54 1,547.08 721.97	3,652.99 1,415.67 693.93		472.55 131.41 28.04
125000380	78	ISHARES MSCI EAFE SMALL CAP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/07/09	05/20/10	2,543.14	2,577.47	(34.33)	
125000390	290	ISHARES MSCI EAFE SMALL CAP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/07/09	07/07/10	9,985.11	9,582.91		402.20
125000410	36.97	IVY ASSET STRATEGY FUND CLASS CONFIRM #500000150566128 MorganStanley SmithBarney LLC	06/04/09	01/15/10	846.24	739.03		107.21

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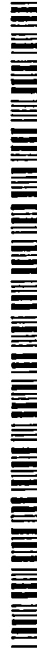
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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000420	30.26	IVY ASSET STRATEGY FUND CLASS	08/04/09	01/25/10	\$ 665.72	\$ 604.90		\$ 60.82
		CONFIRM #500000250290587						
		MorganStanley SmithBarney LLC						
125000430	301.276 712.45	IVY ASSET STRATEGY FUND CLASS	06/04/09 08/07/09	03/11/10	6,700.38 15,844.89	6,022.50 14,733.46		677.88 1,111.43
		CONFIRM #500000700159770						
		MorganStanley SmithBarney LLC						
125000450	63.871 1.572	JPMORGAN HIGHBRIDGE STAT MKT NEUTRAL FD SELECT CLASS	06/04/09 07/09/09	01/25/10	1,009.80 24.85	1,028.32 25.51	(18.52) (.66)	
125000460	60.104 840.272	JPMORGAN HIGHBRIDGE STAT MKT NEUTRAL FD SELECT CLASS	07/09/09 08/07/09	03/11/10	956.86 13,377.13	975.49 13,469.56	(18.63) (92.43)	
125000470	915.016	JPMORGAN HIGHBRIDGE STAT MKT NEUTRAL FD SELECT CLASS	02/09/10	08/30/10	13,981.44	14,503.00	(521.56)	
		CONFIRM #500002420155773						
		MorganStanley SmithBarney LLC						
125000480	125.829	MAINSTAY FDS HIGH YIELD CORP BD FD CL I	10/14/09	01/15/10	721.00	699.30 R		21.70
		CONFIRM #500000150557176						
		MorganStanley SmithBarney LLC						
125000490	1,564.398	MAINSTAY FDS HIGH YIELD CORP BD FD CL I	10/14/09	01/19/10	8,964.00	8,694.17 R		269.83
		CONFIRM #500000190245477						
		MorganStanley SmithBarney LLC						
125000500	355.07	MAINSTAY FDS HIGH YIELD CORP BD FD CL I	10/14/09	01/25/10	2,027.45	1,973.31 R		54.14
		CONFIRM #500000250290447						
		MorganStanley SmithBarney LLC						
125000510	1,297.556	MAINSTAY FDS HIGH YIELD CORP BD FD CL I	10/14/09	03/11/10	7,435.00	7,211.19 R		223.81
		CONFIRM #500000700155075						
		MorganStanley SmithBarney LLC						
125000520	5,561.823	MAINSTAY FDS HIGH YIELD CORP BD FD CL I	10/14/09	04/26/10	32,314.19	30,909.94		1,404.25
		CONFIRM #500001160322718						
		MorganStanley SmithBarney LLC						

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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010 *continued*

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000530	30	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P CONFIRM #500000150562333 MorganStanley SmithBarney LLC	06/04/09	01/15/10	\$ 250.20	\$ 226.50		\$ 23.70
125000540	99.02	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P CONFIRM #500000250278962 MorganStanley SmithBarney LLC	06/04/09	01/25/10	808.00	747.60		60.40
125000560	42.367	PIMCO ALL ASSET FUND CL P CONFIRM #500000250290439 MorganStanley SmithBarney LLC acted as your agent	06/04/09	01/25/10	492.73	460.11		32.62
125000570	529.331 107.421 1,293.571	PIMCO ALL ASSET FUND CL P, CONFIRM #500000700160406 MorganStanley SmithBarney LLC acted as your agent	06/04/09 07/09/09 08/07/09	03/11/10	6,214.35 1,261.12 15,186.52	5,748.53 1,158.00 14,591.48		465.82 103.12 595.04
125000580	150.21	PIMCO ALL ASSET FUND CL P CONFIRM #500001400455153 MorganStanley SmithBarney LLC acted as your agent	08/07/09	05/20/10	1,775.48	1,694.37		81.11
125000600	166	POWERSHARES QQQ TR SER 1 MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/22/10	10/05/10	8,230.84	8,088.20		142.64
125000610	113.292	PRICE T ROWE HIGH YIELD FD INC CONFIRM #500001400457878 MorganStanley SmithBarney LLC	04/26/10	05/20/10	725.07	759.06	(33.99)	
125000620	114	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/19/10	09/01/10	3,813.97	3,864.60	(50.63)	
125000630	80.498	RYDEX SGI MANAGED FUTURES STRATEGY FUND CLASS H CONFIRM #60972289 MorganStanley SmithBarney LLC	07/28/09	01/25/10	2,105.83	2,159.76	(53.93)	

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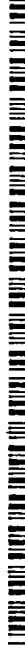
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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000640	310.855 85.076	RYDEX SGI MANAGED FUTURES STRATEGY FUND CLASS H CONFIRM #61945884 MorganStanley SmithBarney LLC	07/28/09 08/07/09	04/26/10	\$ 7,951.67 2,176.24	\$ 8,340.24 2,312.37	(\$ 388.57) (136.13)	
125000650	129.409	RYDEX SGI MANAGED FUTURES STRATEGY FUND CLASS H CONFIRM #62263426 MorganStanley SmithBarney LLC	08/07/09	05/20/10	3,233.93	3,517.34	(283.41)	
125000680	109 68	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/10 07/26/10	08/11/10	11,911.48 7,431.02	11,502.54 7,545.21	(114.19)	408.94
125000690	105	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/03/10	10/14/10	12,312.51	11,637.05		675.46
125000700	36 1	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/03/10 09/10/10	10/20/10	4,251.52 118.10	3,989.84 111.48		261.68 6.62
125000710	71 137	SPDR S&P 500ETF TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/10/10 11/04/10	11/11/10	8,623.66 16,640.01	7,915.16 16,672.39	(32.38)	708.50
125000720	150	SPDR SER TR LEHMAN 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	05/20/10	07/22/10	6,877.38	6,879.00	(1.62)	
125000730	32 138	SPDR SER TR LEHMAN 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	05/20/10 07/07/10	07/26/10	1,467.17 6,327.19	1,467.52 6,328.67	(.35) (1.48)	
125000740	150	SPDR SER TR LEHMAN 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	05/20/10	07/26/10	6,877.38	6,879.00	(1.62)	

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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000750	429	SPDR SER TR LEHMAN 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/07/10	07/27/10	\$ 19,665.02	\$ 19,673.90	(\$ 8.88)	
125000760	252	SPDR SER TR LEHMAN 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/07/10	08/02/10	11,551.48	11,556.69	(5.21)	
125000770	139	SPDR SER TR LEHMAN 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/07/10	08/03/10	6,371.65	6,374.53	(2.88)	
125000780	79	SPDR SER TR LEHMAN 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/07/10	08/19/10	3,622.08	3,622.93	(.85)	
125000790	296 25	SPDR SER TR LEHMAN 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	07/07/10 08/11/10	08/30/10	13,571.96 1,146.28	13,574.53 1,146.42	(2.57) (.14)	
125000800	325	SPDR SER TR LEHMAN 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	08/11/10	09/03/10	14,900.99	14,903.43	(2.44)	
125000810	174	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	08/11/10	09/10/10	7,977.76	7,979.06	(1.30)	
125000820	16 145	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	08/11/10 08/12/10	09/13/10	733.59 6,648.13	733.71 6,649.67	(.12) (1.54)	
125000830	160 8	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	08/12/10 08/13/10	09/22/10	7,335.87 366.79	7,337.57 366.88	(1.70) (.09)	

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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000840	172	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	08/13/10	10/12/10	\$ 7,886.06	\$ 7,887.92	(\$ 1.86)	
125000850	69	SPDR SER TR	08/13/10	11/04/10	3,163.60	3,164.34	(.74)	
	40	BARCLAYS 1-3 MONTH T-BILL ETF	08/24/10		1,833.97	1,834.40	(.43)	
	240	MorganStanley SmithBarney LLC	08/25/10		11,003.81	11,006.40	(2.59)	
	22	acted as your agent	09/01/10		1,008.68	1,008.92	(.24)	
125000860	69	SPDR SER TR	09/01/10	11/11/10	3,162.91	3,164.34	(1.43)	
	175	BARCLAYS 1-3 MONTH T-BILL ETF	10/05/10		8,021.86	8,025.50	(3.64)	
	294	MorganStanley SmithBarney LLC	10/20/10		13,476.73	13,482.84	(6.11)	
	181	acted as your agent	10/21/10		8,296.90	8,300.66	(3.76)	
125000870	38	SPDR DOW JONES INDL AVG ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/07/10	08/11/10	3,954.97	3,793.46		161.51
125000880	36	MATERIALS SELECT SECTOR SPDR FUND MorganStanley SmithBarney LLC acted as your agent	08/03/10	08/25/10	1,079.99	1,164.46	(84.47)	
125000890	38	SELECT SECTOR SPDR INDUSTRIAL MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/03/10	08/24/10	1,072.34	1,168.57	(96.23)	
125000900	19.985	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CONFIRM #500000150565757 MorganStanley SmithBarney LLC	10/14/09	01/15/10	258.61	254.21		4.40
125000910	78.257	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CONFIRM #500000250279937 MorganStanley SmithBarney LLC	10/14/09	01/25/10	999.34	995.43		3.91
125000920	630.044	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CONFIRM #500001160323005 MorganStanley SmithBarney LLC	10/14/09	04/26/10	8,581.20	8,014.16		567.04

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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000930	45.846	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CONFIRM #500001400454826 MorganStanley SmithBarney LLC	10/14/09	05/20/10	\$ 582.70	\$ 583.16	(\$ 46)	
125000950	15	VANGUARD BD INDEX FD INC TOTAL BD MARKET ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	01/25/10	1,191.44	1,158.38		33.06
125000960	85 211	VANGUARD BD INDEX FD INC TOTAL BD MARKET ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09 11/04/09	03/11/10	6,740.41 16,732.09	6,564.17 16,686.21		176.24 65.88
125000970	13	VANGUARD EUROPE PACIFIC ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	01/15/10	456.41	416.65		39.76
125000980	38	VANGUARD EUROPE PACIFIC ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	01/19/10	1,352.10	1,217.90		134.20
125000990	9	VANGUARD EUROPE PACIFIC ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	01/25/10	304.01	288.45		15.56
125001000	398	VANGUARD EUROPE PACIFIC ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	02/09/10	12,843.29	12,755.90		87.39
125001010	679	VANGUARD EUROPE PACIFIC ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	04/26/10	23,709.66	21,761.95		1,947.71
125001020	110	VANGUARD EUROPE PACIFIC ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	05/20/10	3,249.56	3,525.50	(275.94)	

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ECOSYSTEMS TECH TRANSFER INC
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Details of Short Term Gain (Loss) 2010 *continued*

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001030	315	VANGUARD EUROPE PACIFIC ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/07/09	07/07/10	\$ 9,781.21	\$ 10,095.75	(\$ 314.54)	
125001040	12	VANGUARD ENERGY ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	01/15/10	1,039.54	913.54		126.00
125001050	12	VANGUARD ENERGY ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	01/25/10	1,000.18	913.53		86.65
125001070	10	VANGUARD EMERGING MARKETS ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	01/15/10	416.96	328.70		88.26
125001080	11	VANGUARD EMERGING MARKETS ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	01/25/10	436.58	361.57		75.01
125001090	74 43 36 22	VANGUARD EMERGING MARKETS ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09 06/12/09 07/28/09 08/07/09	02/09/10	2,811.96 1,633.98 1,367.98 835.99	2,432.37 1,419.42 1,256.73 792.55		379.59 214.56 111.25 43.44
125001100	99	VANGUARD EMERGING MARKETS ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/07/09	05/20/10	3,643.53	3,566.48		77.05
125001110	281	VANGUARD EMERGING MARKETS ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/07/09	07/07/10	11,125.16	10,123.02		1,002.14
125001120	3	VANGUARD REIT ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09	01/15/10	133.69	101.62 R		32.07



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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010 *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001130	6	VANGUARD REIT ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	06/04/09	01/25/10	\$ 257.29	\$ 203.23 R		\$ 54.06
125001140	33	VANGUARD MID-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	01/15/10	2,028.60	1,754.74		273.86
125001150	33	VANGUARD MID-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	01/19/10	2,050.26	1,754.74		295.52
125001160	11	VANGUARD MID-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	01/25/10	656.69	584.91		71.78
125001170	126	VANGUARD MID-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	02/09/10	7,323.02	6,699.93		623.09
125001180	87	VANGUARD MID-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	05/20/10	5,293.86	4,626.14		667.72
125001190	101	VANGUARD MID-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	07/07/10	6,040.50	5,370.57		669.93
125001210	18	VANGUARD LARGE-CAP ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	01/15/10	930.04	829.02		101.02
125001220	24	VANGUARD LARGE-CAP ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	01/19/10	1,254.94	1,105.36		149.58

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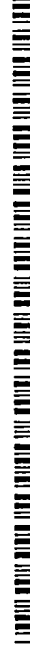
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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001230	11	VANGUARD LARGE-CAP ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	01/25/10	\$ 550.98	\$ 506.62		\$ 44.36
125001240	154	VANGUARD LARGE-CAP ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	02/09/10	7,533.29	7,092.70		440.59
125001250	147	VANGUARD LARGE-CAP ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	05/20/10	7,274.90	6,770.30		504.60
125001260	119	VANGUARD LARGE-CAP ETF MorganStanley SmithBarney LLC acted as your agent In this transaction.	08/07/09	07/07/10	5,713.33	5,480.72		232.61
125001280	35	VANGUARD SMALL-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	01/15/10	2,051.69	1,824.73		226.96
125001290	49	VANGUARD SMALL-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	01/25/10	2,791.98	2,554.61		237.37
125001300	127	VANGUARD SMALL-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	02/09/10	7,002.65	6,621.15		381.50
125001310	87	VANGUARD SMALL-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	05/20/10	5,219.04	4,535.74		683.30
125001320	76	VANGUARD SMALL-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	07/07/10	4,311.86	3,962.26		349.60
Total					\$ 849,087.86	\$ 826,872.24	(\$ 8,093.35)	\$ 30,308.97

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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Long Term Gain (Loss) 2010

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000140	958.554	ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS CONFIRM #500003150202926 MorganStanley SmithBarney LLC	08/07/09	11/11/10	\$ 10,477.00	\$ 9,710.15		\$ 766.85
125000150	135.944	ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS CONFIRM #500003570363266 MorganStanley SmithBarney LLC	08/07/09	12/23/10	1,476.35	1,377.11		99.24
125000210	220	ISHARES GOLD TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/07/09	12/23/10	2,965.98	2,060.73 R		905.25
125000400	54	ISHARES MSCI EAFE SMALL CAP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/07/09	12/23/10	2,222.06	1,784.40		437.66
125000440	46.986	IVV ASSET STRATEGY FUND CLASS CONFIRM #500003570363100 MorganStanley SmithBarney LLC	08/07/09	12/23/10	1,151.63	971.67		179.96
125000470	1,086.865	JPMORGAN HIGHBRIDGE STAT MKT NEUTRAL FD SELECT CLASS CONFIRM #500002420155773 MorganStanley SmithBarney LLC	08/07/09	08/30/10	16,607.30	17,422.44	(815.14)	
125000550	252.972	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P CONFIRM #500003570363019 MorganStanley SmithBarney LLC	06/04/09	12/23/10	2,337.46	1,909.94		427.52
125000590	383.476	PIMCO ALL ASSET FUND CL P CONFIRM #500003150167996 MorganStanley SmithBarney LLC acted as your agent	08/07/09	11/11/10	4,893.15	4,325.61		567.54

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ECOSYSTEMS TECH TRANSFER INC
Account Number 138-72648-13 106

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000660	484.313	RYDEX SGI MANAGED FUTURES STRATEGY FUND CLASS H CONFIRM #63551073 MorganStanley SmithBarney LLC	08/07/09	09/30/10	\$ 11,846.30	\$ 13,163.63	(\$ 1,317.33)	
125000670	43.998	RYDEX SGI MANAGED FUTURES STRATEGY FUND CLASS H CONFIRM #64424267 MorganStanley SmithBarney LLC	08/07/09	12/23/10	1,129.87	1,195.87	(66.00)	
125000940	489.622	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CONFIRM #500003150170461 MorganStanley SmithBarney LLC	10/14/09	11/11/10	6,722.51	6,227.99		494.52
125001060	30 8 35	VANGUARD ENERGY ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/04/09 07/09/09 08/07/09	12/23/10	2,957.95 788.79 3,450.93	2,283.84 525.50 2,604.55		674.11 263.29 846.38
125001200	64	VANGUARD MID-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	12/23/10	4,826.79	3,403.14		1,423.65
125001270	65	VANGUARD LARGE-CAP ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/07/09	12/23/10	3,755.63	2,993.67		761.96
125001330	75	VANGUARD SMALL-CAP ETF MorganStanley SmithBarney LLC acted as your agent	08/07/09	12/23/10	5,534.30	3,910.13		1,624.17
Total					\$ 83,144.00	\$ 75,870.37	(\$ 2,198.47)	\$ 9,472.10

R The basis for this tax lot has been adjusted due to a reclassification of income

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