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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0052

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

, 2010, and ending

, 20

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation

THE CHARLES ENGELHARD FOUNDATION

C/O ENGELHARD HANOVIA

A Employer identification number

22-6063032

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

OLYMPIC TOWERS 645 FIFTH AVENUE

712

(212) 935-2430

City or town, state, and ZIP code

NEW YORK, NY 10022

H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col (c), line

16) ▶ \$ 97,799,126.

J Accounting method ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received (attach schedule)	0.			
2	Check ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	481,160.	1,372,769.		ATCH 1
4	Dividends and interest from securities	338,227.	535,781.		ATCH 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	188,963.			
b	Gross sales price for all assets on line 6a	8,255,185.			
7	Capital gain net income (from Part IV, line 2)		9,170,778.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	11,242.	31,015.		ATCH 3
12	Total. Add lines 1 through 11	1,019,592.	11,110,343.		
13	Compensation of officers, directors, trustees, etc.	189,482.	94,741.		94,741.
14	Other employee salaries and wages				
15	Pension plans, employee benefits	44,206.	22,103.		22,103.
16a	Legal fees (attach schedule) ATCH 4	34,750.	17,375.	0.	17,375.
b	Accounting fees (attach schedule) ATCH 5	71,856.	29,428.	0.	42,428.
c	Other professional fees (attach schedule) *	84,626.	351,340.		42,313.
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions) *	133,761.	18,258.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 8	214,801.	110,771.		106,973.
24	Total operating and administrative expenses. Add lines 13 through 23	773,482.	644,016.	0.	325,933.
25	Contributions, gifts, grants paid	10,821,850.			9,943,350.
26	Total expenses and disbursements. Add lines 24 and 25	11,595,332.	644,016.	0.	10,269,283.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-10,575,740.			
b	Net investment income (if negative, enter -0-)		10,466,327.		
c	Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see page 30 of the instructions.

* ATCH 6 JSA ** ATCH 7

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	9,048,533.	2,518,607.	2,518,607.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U.S. and state government obligations (attach schedule). **		1,761,269.	1,761,269.
	b Investments - corporate stock (attach schedule) ATCH 10	29,523,376.	5,233,696.	5,233,696.
	c Investments - corporate bonds (attach schedule) ATCH 11		23,191,255.	23,191,255.
	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 12	52,195,782.	64,993,724.	64,993,724.
	14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶ ATCH 13)	122,259.	100,575.	100,575.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	90,889,950.	97,799,126.	97,799,126.	
Liabilities	17 Accounts payable and accrued expenses	145,203.	114,230.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ ATCH 14)	4,010,731.	4,904,267.	
23 Total liabilities (add lines 17 through 22)	4,155,934.	5,018,497.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ X and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	86,734,016.	92,780,629.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see page 17 of the instructions)	86,734,016.	92,780,629.		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	90,889,950.	97,799,126.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	86,734,016.
2 Enter amount from Part I, line 27a	2	-10,575,740.
3 Other increases not included in line 2 (itemize) ▶ ATTACHMENT 15	3	16,622,353.
4 Add lines 1, 2, and 3	4	92,780,629.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	92,780,629.

**ATCH 9

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	9,170,778.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	10,427,898.	80,327,052.	0.129818
2008	12,244,872.	121,454,107.	0.100819
2007	11,624,183.	141,423,884.	0.082194
2006	10,748,106.	121,943,643.	0.088140
2005	9,741,592.	115,730,539.	0.084175
2 Total of line 1, column (d)			2 0.485146
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.097029
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4 87,550,241.
5 Multiply line 4 by line 3			5 8,494,912.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 104,663.
7 Add lines 5 and 6			7 8,599,575.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			8 10,269,283.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	104,663.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	104,663.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	104,663.
6 Credits/Payments.			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	56,000.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	85,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	141,000.	
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	1,257.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	35,080.	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax ☒ 35,080. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☒ NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of MARY OGORZALY Telephone no 212-935-2430			
Located at 645 5TH AVENUE NEW YORK, NY ZIP + 4 10022				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years	☐ Yes	☒ No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 16		189,482.	44,206.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 17		478,203.
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	89,020,184.
b	Average of monthly cash balances	1b	-136,691.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	88,883,493.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	88,883,493.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	1,333,252.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	87,550,241.
6	Minimum investment return. Enter 5% of line 5	6	4,377,512.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	4,377,512.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	104,663.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	104,663.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	4,272,849.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	4,272,849.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,272,849.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	10,269,283.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	10,269,283.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	104,663.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	10,164,620.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				4,272,849.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only				
b Total for prior years 20 08, 20 07, 20 06				
3 Excess distributions carryover, if any, to 2010				
a From 2005				3,649,247.
b From 2006				5,000,613.
c From 2007				5,063,005.
d From 2008				6,176,328.
e From 2009				6,465,131.
f Total of lines 3a through e	26,354,324.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 10,269,283.				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2010 distributable amount				4,272,849.
e Remaining amount distributed out of corpus	5,996,434.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	32,350,758.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	3,649,247.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	28,701,511.			
10 Analysis of line 9				
a Excess from 2006	5,000,613.			
b Excess from 2007	5,063,005.			
c Excess from 2008	6,176,328.			
d Excess from 2009	6,465,131.			
e Excess from 2010	5,996,434.			

Form 990-PF (2010)

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(5)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATTACHMENT 18				
Total ▶ 3a				9,943,350.
b Approved for future payment ATTACHMENT 19				
Total ▶ 3b				11,808,167.

Form 990-PF (2010)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
166,491.		SEE ATTACHED 163,217.				P	VAR 3,274.	VAR
15,843.		SEE ATTACHED 16,358.				P	VAR -515.	VAR
2.		SEE ATTACHED				P	VAR 2.	VAR
5,899,681.		SEE ATTACHED 5,704,902.				P	VAR 194,779.	VAR
1,966,204.		SEE ATTACHED 1,972,846.				P	VAR -6,642.	VAR
206,964.		SEE ATTACHED 208,899.				P	VAR -1,935.	VAR
		TITAN PARTNERS, L.P.				P	VAR 8,755,468.	VAR
		OAKTREE HIGH YIELD FUND, L.P.				P	VAR 226,347.	VAR
TOTAL GAIN (LOSS)							<u>9,170,778.</u>	



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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

Transactions

Note: C indicates correction
S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	2,944.140	10/19/09	01/15/10	2,944.14	3,143.79	S -199.65
FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	3,110.430	10/30/09	01/15/10	3,110.43	3,352.46	S -242.03
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,582.750	11/02/09	01/15/10	1,582.75	1,676.23	S -93.48
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTF'S GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	2,641.010	11/03/09	01/15/10	2,641.01	2,826.71	S -185.70

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Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAC SER 2825 CL-VP 5.5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,770.980	10/19/09	01/15/10	1,770.98	1,895.71	S -124.73
GNMA II 5.5% FEB 20 2018 DTD 02/01/2003 POOL NO 3341 PAYMENT A/C PRINCIPAL	4,034.630	11/06/09	01/20/10	4,034.63	4,322.10	S -287.47
FANNIE MAE 5.5% DEC 01 2017 DTD 11/01/2002 POOL NO 254546 PAYMENT A/C PRINCIPAL	2,428.390	10/29/09	01/25/10	2,428.39	2,590.79	S -162.40
FANNIE MAE 6.5% AUG 01 2017 DTD 07/01/2002 POOL NO 649633 PAYMENT A/C PRINCIPAL	695.510	10/30/09	01/25/10	695.51	753.32	S -57.81

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C. ENGELHARD FDN - 1-6 GOVT/CR MIP

Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE 5.5% NOV 01 2018 DTD 12/01/2003 POOL NO 782517 PAYMENT A/C PRINCIPAL	712.020	10/26/09	01/25/10	712.02	758.30	S -46.28
CITIGROUP INC 8% FEB 21 2012 DTD 2/21/2002 @ 106.526 SALOMON BROTHERS	40,000.000	10/20/09	02/02/10	42,610.40	42,336.80	S 273.60
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	3,004.930	10/19/09	02/16/10	3,004.93	3,208.70	S -203.77
FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	2,493.260	10/30/09	02/16/10	2,493.26	2,687.27	S -194.01

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Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,511.490	11/02/09	02/16/10	1,511.49	1,600.76	S -89.27
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	2,282.100	11/03/09	02/16/10	2,282.10	2,442.56	S -160.46
FREDDIE MAC SER 2825 CL-VP 5.5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,779.090	10/19/09	02/16/10	1,779.09	1,904.39	S -125.30
GNMA II 5.5% FEB 20 2018 DTD 02/01/2003 POOL NO 3341 PAYMENT A/C PRINCIPAL	710.330	11/06/09	02/22/10	710.33	760.94	S -50.61

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Part IV Statement



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Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE 5.5% DEC 01 2017 DTD 11/01/2002 POOL NO 254546 PAYMENT A/C PRINCIPAL	1,731.290	10/29/09	02/25/10	1,731.29	1,847.07	S -115.78
FANNIE MAE 6.5% AUG 01 2017 DTD 07/01/2002 POOL NO 649633 PAYMENT A/C PRINCIPAL	693.930	10/30/09	02/25/10	693.93	751.61	S -57.68
FANNIE MAE 5.5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517 PAYMENT A/C PRINCIPAL	701.840	10/26/09	02/25/10	701.84	747.46	S -45.62
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	4,368.390	10/19/09	03/15/10	4,368.39	4,664.62	S -296.23

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Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	3,130.910	10/30/09	03/15/10	3,130.91	3,374.53	S -243.62
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,540.390	11/02/09	03/15/10	1,540.39	1,631.37	S -90.98
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTF5 GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	2,482.320	11/03/09	03/15/10	2,482.32	2,656.86	S -174.54
FREDDIE MAC SER 2825 CL-VP 5.5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,787.250	10/19/09	03/15/10	1,787.25	1,913.13	S -125.88

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Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
GNMA II	756.480	11/06/09	03/22/10	756.48	810.38	S -53.90
5.5% FEB 20 2018						
DTD 02/01/2003						
POOL NO 3341						
PAYMENT A/C PRINCIPAL						
FANNIE MAE	1,760.960	10/29/09	03/25/10	1,760.96	1,878.72	S -117.76
5.5% DEC 01 2017						
DTD 11/01/2002						
POOL NO 254546						
PAYMENT A/C PRINCIPAL						
FANNIE MAE	700.190	10/30/09	03/25/10	700.19	758.39	S -58.20
6.5% AUG 01 2017						
DTD 07/01/2002						
POOL NO 649633						
PAYMENT A/C PRINCIPAL						
FANNIE MAE	775.670	10/26/09	03/25/10	775.67	826.09	S -50.42
5.5% NOV 01 2018 DTD 12/01/2003						
POOL NO 762517						
PAYMENT A/C PRINCIPAL						

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Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	2,401.760	10/19/09	04/15/10	2,401.76	2,564.63	S -162.87
FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	3,110.940	10/30/09	04/15/10	3,110.94	3,353.01	S -242.07
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,648.210	11/02/09	04/15/10	1,648.21	1,745.56	S -97.35
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTF5 GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	2,785.900	11/03/09	04/15/10	2,785.90	2,981.78	S -195.88

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Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAC SER 2825 CL-VP 5.5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,795.440	10/19/09	04/15/10	1,795.44	1,921.89	S -126.45
HARLEY-DAVIDSON MOTORCYCLE TRUST SER 2007-1 CL A4 5.21% JUN 15 2013 DTD 01/31/2007 PAYMENT A/C PRINCIPAL	852.850	12/28/09	04/15/10	852.85	878.44	S -25.59
GNMA II 5.5% FEB 20 2018 DTD 02/01/2003 POOL NO 3341 PAYMENT A/C PRINCIPAL	741.610	11/06/09	04/20/10	741.61	794.45	S -52.84
FANNIE MAE NOTES 4 7/8% MAY 18 2012 DTD 05/18/2007 @ 107.5675 HSBC SECURITIES INC (HSBCSI)	100,000.000	10/19/09	04/22/10	107,567.50	108,555.60	S -988.10

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
U S A NOTES OCT 31 2010 DTD 10/31/2008 @ 100.640625 G.X. CLARKE & COMPANY	150,000.000	10/19/09	04/22/10	150,960.94	151,640.63	S -679.69
U S A TREASURY NOTES 7/8% FEB 28 2011 DTD 02/28/2009 @ 100.4140625 BANC OF AMERICA SEC LLC	100,000.000	10/19/09	04/22/10	100,414.06	100,359.38	S 54.68
FANNIE MAE 5.5% DEC 01 2017 DTD 11/01/2002 POOL NO 254546 PAYMENT A/C PRINCIPAL	2,016.140	10/29/09	04/26/10	2,016.14	2,150.97	S -134.83

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE 6.5% AUG 01 2017 DTD 07/01/2002 POOL NO 649633 PAYMENT A/C PRINCIPAL	716.080	10/30/09	04/26/10	716.08	775.60	S -59.52
FANNIE MAE SER 2002-55 CL GC 5.5% SEP 25 2017 DTD 08/01/2002 PAYMENT A/C PRINCIPAL	407.510	03/01/10	04/26/10	407.51	437.44	S -29.93
FANNIE MAE 5.5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517 PAYMENT A/C PRINCIPAL	2,306.530	10/26/09	04/26/10	2,306.53	2,456.45	S -149.92
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	3,014.620	10/19/09	05/17/10	3,014.62	3,219.05	S -204.43

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Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAC GOLD PARTC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	2,632.980	10/30/09	05/17/10	2,632.98	2,837.86	S -204.88
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,663.890	11/02/09	05/17/10	1,663.89	1,762.16	S -98.27
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	2,002.760	11/03/09	05/17/10	2,002.76	2,143.58	S -140.82
FREDDIE MAC SER 2825 CL-VP 5.5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,803.670	10/19/09	05/17/10	1,803.67	1,930.70	S -127.03



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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HARLEY-DAVIDSON MOTORCYCLE TRUST SER 2007-1 CL A4 5.21% JUN 15 2013 DTD 01/31/2007 PAYMENT A/C PRINCIPAL	2,183.690	12/28/09	05/17/10	2,183.69	2,249.20	S -65.51
HYUNDAI AUTO RECEIVABLES TR 2008-A NT CL A-3 4.93% DUE 12/17/2012 PAYMENT A/C PRINCIPAL AS OF 05/17/10	924.520	03/08/10	05/19/10	924.52	957.17	S -32.65
GNMA II 5.5% FEB 20 2018 DTD 02/01/2003 POOL NO 3341 PAYMENT A/C PRINCIPAL	2,597.020	11/06/09	05/20/10	2,597.02	2,782.06	S -185.04
FANNIE MAE 5.5% DEC 01 2017 DTD 11/01/2002 POOL NO 254546 PAYMENT A/C PRINCIPAL	1,583.170	10/29/09	05/25/10	1,583.17	1,689.04	S -105.87

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FANNIE MAE 6 5% AUG 01 2017 DTD 07/01/2002 POOL NO 649633 PAYMENT A/C PRINCIPAL	747.390	10/30/09	05/25/10	747.39	809.52	S -62.13
FANNIE MAE SER 2002-55 CL GC 5.5% SEP 25 2017 DTD 08/01/2002 PAYMENT A/C PRINCIPAL	625.840	03/01/10	05/25/10	625.84	671.80	S -45.96
FANNIE MAE 5.5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517 PAYMENT A/C PRINCIPAL	704.400	10/26/09	05/25/10	704.40	750.19	S -45.79
AMERICREDIT AUTOMOBILE RECEIVABLES TR 2008-A-F NT CL A-3 5.68% DUE 12/12/2012 PAYMENT A/C PRINCIPAL	3,075.050	10/29/09	06/07/10	3,075.05	3,195.41	S -120.36



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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FED HOME LN MTG CORP PARTN CTFS GROUP NBR E9-9582 5 00% DUE 09/01/2018 PAYMENT A/C PRINCIPAL	1,857.990	04/22/10	06/15/10	1,857.99	1,977.60	S -119.61
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	3,296.480	10/19/09	06/15/10	3,296.48	3,520.02	S -223.54
FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	2,403.080	10/30/09	06/15/10	2,403.08	2,590.07	S -186.99
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,299.960	11/02/09	06/15/10	1,299.96	1,376.74	S -76.78

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	2,537.360	11/03/09	06/15/10	2,537.36	2,715.77	S -178.41
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2513 CL 2513-JE 5.00% DUE 10/15/2017 PAYMENT A/C PRINCIPAL	1,636.350	12/30/09	06/15/10	1,636.35	1,718.17	S -81.82
FREDDIE MAC SER 2825 CL-VP 5.5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,811.940	10/19/09	06/15/10	1,811.94	1,939.55	S -127.61
HARLEY-DAVIDSON MOTORCYCLE TRUST SER 2007-1 CL A4 5.21% JUN 15 2013 DTD 01/31/2007 PAYMENT A/C PRINCIPAL	1,969.550	12/28/09	06/15/10	1,969.55	2,028.64	S -59.09

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 O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HARLEY DAVIDSON MOTORCYCLE TR 2007-2 MOTORCYCLE CONTRACT BKD NT CL A-4 5.07% DUE 08/15/2013 PAYMENT A/C PRINCIPAL	1,303.320	11/09/09	06/15/10	1,303.32	1,355.05	S -51.73
HYUNDAI AUTO RECEIVABLES TR 2008-A NT CL A-3 4.93% DUE 12/17/2012 PAYMENT A/C PRINCIPAL	3,718.940	03/08/10	06/15/10	3,718.94	3,850.26	S -131.32
GNMA II 5.5% FEB 20 2018 DTD 02/01/2003 POOL NO 3341 PAYMENT A/C PRINCIPAL	712.440	11/06/09	06/21/10	712.44	763.20	S -50.76
FANNIE MAE 5.5% DEC 01 2017 DTD 11/01/2002 POOL NO 254546 PAYMENT A/C PRINCIPAL	2,794.860	10/29/09	06/25/10	2,794.86	2,981.77	S -186.91



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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

Transactions

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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE 6.5% AUG 01 2017 DTD 07/01/2002 POOL NO 649633 PAYMENT A/C PRINCIPAL	1,189.080	10/30/09	06/25/10	1,189.08	1,287.92	S -98.84
FANNIE MAE SER 2002-55 CL GC 5.5% SEP 25 2017 DTD 08/01/2002 PAYMENT A/C PRINCIPAL	653.470	03/01/10	06/25/10	653.47	701.46	S -47.99
FANNIE MAE 5.5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517 PAYMENT A/C PRINCIPAL	5,002.580	10/26/09	06/25/10	5,002.58	5,327.75	S -325.17
AMERICREDIT AUTOMOBILE RECEIVABLES TR 2008-A-F NT CL A-3 5.68% DUE 12/12/2012 PAYMENT A/C PRINCIPAL	5,379.360	10/29/09	07/06/10	5,379.36	5,589.91	S -210.55

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

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O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
CREDIT SUISSE FB USA INC NOTES 6 1/8% NOV 15 2011 DTD 11/6/2001 @ 106.072	30,000.000	10/20/09	07/02/10	31,821.60	32,716.80	S -895.20
BNP PARIBAS SECURITIES CORP. FED HOME LN MTG CORP PARTN CTF5 GROUP NBR E9-9582 5.00% DUE 09/01/2018 PAYMENT A/C PRINCIPAL	2,205.550	04/22/10	07/15/10	2,205.55	2,347.53	S -141.98
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	2,770.720	10/19/09	07/15/10	2,770.72	2,958.61	S -187.89
FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	2,498.610	10/30/09	07/15/10	2,498.61	2,693.03	S -194.42

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Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,883.820	11/02/09	07/15/10	1,883.82	1,995.08	S -111.26
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	2,141.750	11/03/09	07/15/10	2,141.75	2,292.34	S -150.59
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2513 CL 2513-JE 5.00% DUE 10/15/2017 PAYMENT A/C PRINCIPAL	2,533.280	12/30/09	07/15/10	2,533.28	2,659.94	S -126.66
FREDDIE MAC SER 2825 CL-VP 5.5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,820.240	10/19/09	07/15/10	1,820.24	1,948.44	S -128.20

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HARLEY DAVIDSON MOTORCYCLE TR 2007-2 MOTORCYCLE CONTRACT BKD NT CL A-4 5.07% DUE 08/15/2013 PAYMENT A/C PRINCIPAL	3,139.980	11/09/09	07/15/10	3,139.98	3,264.60	S -124.62
HYUNDAI AUTO RECEIVABLES TR 2008-A NT CL A-3 4.93% DUE 12/17/2012 PAYMENT A/C PRINCIPAL	3,666.770	03/08/10	07/15/10	3,666.77	3,796.25	S -129.48
HARLEY-DAVIDSON MOTORCYCLE TRUST SER 2007-1 CL A4 5.21% JUN 15 2013 DTD 01/31/2007 PAYMENT A/C PRINCIPAL AS OF 07/15/10	2,101.290	12/28/09	07/19/10	2,101.29	2,164.33	S -63.04
GNMA II 5.5% FEB 20 2018 DTD 02/01/2003 POOL NO 3341 PAYMENT A/C PRINCIPAL	716.220	11/06/09	07/20/10	716.22	767.25	S -51.03

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Capital Gain and Loss Schedule

Transactions

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O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE 5.5% DEC 01 2017 DTD 11/01/2002 POOL NO 254546 PAYMENT A/C PRINCIPAL	1,970.750	10/29/09	07/26/10	1,970.75	2,102.54	S -131.79
FANNIE MAE 6.5% AUG 01 2017 DTD 07/01/2002 POOL NO 649633 PAYMENT A/C PRINCIPAL	722.340	10/30/09	07/26/10	722.34	782.38	S -60.04
FANNIE MAE SER 2002-55 CL GC 5.5% SEP 25 2017 DTD 08/01/2002 PAYMENT A/C PRINCIPAL	446.160	03/01/10	07/26/10	446.16	478.92	S -32.76
FANNIE MAE 5.5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517 PAYMENT A/C PRINCIPAL	1,144.460	10/26/09	07/26/10	1,144.46	1,218.85	S -74.39

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Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ABBOTT LABORATORIES 5.15% NOV 30 2012 DTD 11/09/2007 @ 109.868 CREDIT SUISSE FIRST BOSTON LLC	15,000.000	10/19/09	07/26/10	16,480.20	16,432.95	S 47.25
AMERICREDIT AUTOMOBILE RECEIVABLES TR 2008-A-F NT CL A-3 5.68% DUE 12/12/2012 PAYMENT A/C PRINCIPAL	5,355.630	10/29/09	08/06/10	5,355.63	5,565.25	S -209.62
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST SER 2007-DF CL A4A 5.56% JUN 06 2014 DTD 09/20/2007 PAYMENT A/C PRINCIPAL AS OF 08/06/10	1,633.900	06/30/10	08/09/10	1,633.90	1,700.92	S -67.02
U S A NOTES 4 7/8% JUL 31 2011 DTD 7/31/2006 @ 104.4293525 MORGAN STANLEY & CO. INCORPORATED	90,000.000	10/19/09	08/11/10	93,986.42	96,401.95	S -2,415.53

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Capital Gain and Loss Schedule

Transactions

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O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
U S A TREASURY NOTES 7/8% FEB 28 2011 DTD 02/28/2009 @ 100.37075875 DEUTSCHE BANK ALEX BROWN	120,000.000	10/19/09	08/11/10	120,444.91	120,431.25	S 13.66
FED HOME LN MTG CORP PARTN CTFS GROUP NBR E9-9582 5.00% DUE 09/01/2018 PAYMENT A/C PRINCIPAL	1,962.910	04/22/10	08/16/10	1,962.91	2,089.27	S -126.36
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	2,654.080	10/19/09	08/16/10	2,654.08	2,834.06	S -179.98
FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	2,883.150	10/30/09	08/16/10	2,883.15	3,107.50	S -224.35

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,587.280	11/02/09	08/16/10	1,587.28	1,681.03	S -93.75
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	1,850.080	11/03/09	08/16/10	1,850.08	1,980.16	S -130.08
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2513 CL 2513-JE 5.00% DUE 10/15/2017 PAYMENT A/C PRINCIPAL	2,538.930	12/30/09	08/16/10	2,538.93	2,665.88	S -126.95
FREDDIE MAC SER 2825 CL-VP 5.5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,828.580	10/19/09	08/16/10	1,828.58	1,957.37	S -128.79

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Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HARLEY-DAVIDSON MOTORCYCLE TRUST SER 2007-1 CL A4 5.21% JUN 15 2013 DTD 01/31/2007 PAYMENT A/C PRINCIPAL	1,986.700	12/28/09	08/16/10	1,986.70	2,046.30	S -59.60
HYUNDAI AUTO RECEIVABLES TR 2008-A NT CL A-3 4.93% DUE 12/17/2012 PAYMENT A/C PRINCIPAL	3,519.600	03/08/10	08/16/10	3,519.60	3,643.89	S -124.29
HARLEY DAVIDSON MOTORCYCLE TR 2007-2 MOTORCYCLE CONTRACT BKD NT CL A-4 5.07% DUE 08/15/2013 PAYMENT A/C PRINCIPAL AS OF 08/16/10	2,952.920	11/09/09	08/17/10	2,952.92	3,070.11	S -117.19
SIMON PROPERTY GROUP LP 6 3/4% MAY 15 2014 DTD 05/15/2009 TENDERED BONDS - EXPIRES 8/16/2010 PURCHASE OFFER - HOLDERS RECEIVE	15,000.000	10/28/09	08/17/10	17,471.40	16,223.70	S 1,247.70

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Capital Gain and Loss Schedule

Transactions

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on Capital Transactions
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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FEDERAL HOME LOAN BANK 5 1/4% JUN 18 2014 DTD 5/27/2004 @ 115.2668 DEUTSCHE BANK ALEX BROWN TRADE DATE 08/18/10	75,000.000	10/19/09	08/18/10	86,450.10	83,874.45	S 2,575.65
FANNIE MAE 10Y GLOBAL 5% APR 15 2015 DTD 3/30/2005 @ 115.4714 MORGAN STANLEY & CO. INCORPORATED	50,000.000	04/22/10	08/18/10	57,735.70	55,187.95	S 2,547.75
FREDDIE MAC NOTES 4 1/8% SEP 27 2013 DTD 08/20/2008 @ 109.7423 MORGAN STANLEY & CO. INCORPORATED	75,000.000	10/19/09	08/18/10	82,306.73	80,469.75	S 1,836.98

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE NOTES 4 7/8% MAY 18 2012 DTD 05/18/2007 @ 107.5042 DEUTSCHE BANK ALEX BROWN	75,000,000	10/19/09	08/18/10	80,628.15	81,416.70	S -788.55
U S A BONDS 11 1/4% FEB 15 2015 DTD 2/15/85 @ 143.73828125 G.X. CLARKE & COMPANY TRADE DATE 08/18/10	50,000,000	08/11/10	08/18/10	71,869.14	72,023.61	S -154.47
U S A NOTES 4 7/8% JUL 31 2011 DTD 7/31/2006 @ 104.34375 BANC OF AMERICA SEC LLC	70,000,000	10/19/09	08/18/10	73,040.63	74,979.30	S -1,938.67

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
U S A NOTES 1 3/8% APR 15 2012 DTD 04/15/2009 @ 101.5859375 DEUTSCHE BANK ALEX BROWN	50,000.000	10/19/09	08/18/10	50,792.97	50,130.86	S 662.11
U S A NOTES 1 3/8% SEP 15 2012 DTD 09/15/2009 @ 101.73828125 DEUTSCHE BANK ALEX BROWN	50,000.000	10/19/09	08/18/10	50,869.14	49,847.66	S 1,021.48
STRIPS U S A TREAS ISSUE ZERO CPN FEB 15 2013 DTD 2/15/85 @ 98.412 HSBC SECURITIES INC (HSBCSI)	50,000.000	10/19/09	08/18/10	49,206.00	47,904.25	S 1,301.75

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
2/15/2014 INT PMT DUE ON STRIPPED U S A TREAS ISSUE ZERO CPN FEB 15 2014 DTD 2/15/85 @ 96.673 HSBC SECURITIES INC (HSBCSI)	50,000.000	10/19/09	08/18/10	48,336.50	46,325.68	S 2,010.82
GNMA II 5.5% FEB 20 2018 DTD 02/01/2003 POOL NO 3341 PAYMENT A/C PRINCIPAL	722.660	11/06/09	08/20/10	722.66	774.15	S -51.49
BHP BILLION FIN USA LTD 5 1/2% APR 01 2014 DTD 03/25/2009 @ 113.278 KING C L & ASSOCIATES INC	15,000.000	10/26/09	08/18/10	16,991.70	16,389.75	S 601.95

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
BANK OF NEW YORK MELLON MEDIUM TERM NOTE 4.95% NOV 01 2012 DTD 11/01/2007 @ 108.418 SALOMON BROTHERS	30,000.000	10/19/09	08/18/10	32,525.40	32,425.80	S 99.60
BAXTER INTERNATIONAL INC 4% MAR 01 2014 DTD 02/26/2009 @ 108.302 MORGAN STANLEY & CO. INCORPORATED	15,000.000	10/26/09	08/18/10	16,245.30	15,609.30	S 636.00
CONOCOPHILLIPS 4 3/4% OCT 15 2012 DTD 10/9/2002 @ 107.858 SALOMON BROTHERS	30,000.000	10/26/09	08/18/10	32,357.40	32,326.20	S 31.20

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ELECTRONIC DATA SYSTEMS NOTES 6% B AUG 1 2013 DTD 6/30/2003 @ 113.459	15,000,000	10/22/09	08/18/10	17,018.85	16,668.60	S 350.25
FIRST UNION CAP MKTS/WHEAT FIRST						
GENERAL ELECTRIC CAPITAL CORP MEDIUM TERM NOTES 6% JUN 15 2012 DTD 6/7/2002 @ 108.149	20,000,000	10/22/09	08/18/10	21,629.80	21,650.20	S -20.40
BNP PARIBAS SECURITIES CORP.						
GOLDMAN SACHS GROUP INC NOTES 5 1/4% OCT 15 2013 DTD 10/14/2003 @ 108.513	20,000,000	10/21/09	08/18/10	21,702.60	21,328.40	S 374.20
SALOMON BROTHERS						

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
MERRILL LYNCH & CO MEDIUM TERM NOTE 6.05% AUG 15 2012 DTD 8/15/2007 @ 107.131 STIFEL NICOLAUS & CO INC	20,000.000	10/22/09	08/18/10	21,426.20	21,408.60	\$ 17.60
ORACLE CORP 4.95% APR 15 2013 DTD 04/09/2008 @ 110.587 GOLDMAN SACHS EXECUTION & CLEARING	15,000.000	10/22/09	08/18/10	16,588.05	16,234.80	\$ 353.25
US BANCORP SR NOTES 4.2% MAY 15 2014 DTD 05/14/2009 @ 109.165 STIFEL NICOLAUS & CO INC	15,000.000	10/27/09	08/18/10	16,374.75	15,664.65	\$ 710.10

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on Capital Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
VERIZON COMMUNICATIONS 4 35% FEB 15 2013 DTD 02/12/2008 @ 107.647	15,000.000	10/27/09	08/18/10	16,147.05	15,742.65	S 404.40
JEFFERIES & CO-BONDS DIRECT DIVISI						
WELLS FARGO COMPANY 5 1/4% OCT 23 2012 DTD 10/23/2007 @ 108.075	15,000.000	10/21/09	08/19/10	16,211.25	16,017.75	S 193.50
FIRST UNION CAP MKTS/WHEAT FIRST						
ACE INA HOLDINGS INC NOTES 5 7/8% JUN 15 2014 DTD 6/9/2004 @ 113.291	15,000.000	10/20/09	08/20/10	16,993.65	16,458.90	S 534.75
FIRST UNION CAP MKTS/WHEAT FIRST						

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O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE 5.5% DEC 01 2017 DTD 11/01/2002 POOL NO 254546 PAYMENT A/C PRINCIPAL	1,513.380	10/29/09	08/25/10	1,513.38	1,614.59	S -101.21
FANNIE MAE 6.5% AUG 01 2017 DTD 07/01/2002 POOL NO 649633 PAYMENT A/C PRINCIPAL	729.720	10/30/09	08/25/10	729.72	790.38	S -60.66
FANNIE MAE SER 2002-55 CL GC 5.5% SEP 25 2017 DTD 08/01/2002 PAYMENT A/C PRINCIPAL	660.680	03/01/10	08/25/10	660.68	709.20	S -48.52
FANNIE MAE 5 5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517 PAYMENT A/C PRINCIPAL	1,162.560	10/26/09	08/25/10	1,162.56	1,238.13	S -75.57

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O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
STATE STREET CORP SR NOTES 4 3% MAY 30 2014 DTD 05/22/2009 @ 108 4389	20,000.000	10/21/09	08/23/10	21,687.78	20,906.40	S 781.38
FIRST TENNESSEE BANK NA-BOND DIVIS						
AMERICREDIT AUTOMOBILE RECEIVABLES TR 2008-A-F NT CL A-3 5.68% DUE 12/12/2012 PAYMENT A/C PRINCIPAL AS OF 09/07/10	5,548.490	10/29/09	09/08/10	5,548.49	5,765.66	S -217.17
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST SER 2007-DF CL A4A 5.56% JUN 06 2014 DTD 09/20/2007 PAYMENT A/C PRINCIPAL AS OF 09/07/10	2,341.420	06/30/10	09/08/10	2,341.42	2,437.45	S -96.03
FED HOME LN MTG CORP PARTN CTFS GROUP NBR E9-9582 5.00% DUE 09/01/2018 PAYMENT A/C PRINCIPAL	1,677.390	04/22/10	09/15/10	1,677.39	1,785.37	S -107.98

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C. ENGFI HARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

Transactions

Note: C indicates correction
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 O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	2,848.730	10/19/09	09/15/10	2,848.73	3,041.91	S -193.18
FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	2,592.290	10/30/09	09/15/10	2,592.29	2,794.00	S -201.71
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,514.720	11/02/09	09/15/10	1,514.72	1,604.18	S -89.46
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFs GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	2,101.260	11/03/09	09/15/10	2,101.26	2,249.00	S -147.74

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Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2513 CL 2513-JE 5.00% DUE 10/15/2017 PAYMENT A/C PRINCIPAL	3,298.520	12/30/09	09/15/10	3,298.52	3,463.45	S -164.93
FREDDIE MAC SER 2825 CL-VP 5.5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,836.970	10/19/09	09/15/10	1,836.97	1,966.35	S -129.38
HARLEY-DAVIDSON MOTORCYCLE TRUST SER 2007-1 CL A4 5.21% JUN 15 2013 DTD 01/31/2007 PAYMENT A/C PRINCIPAL	2,012.590	12/28/09	09/15/10	2,012.59	2,072.97	S -60.38
HARLEY DAVIDSON MOTORCYCLE TR 2007-2 MOTORCYCLE CONTRACT BKD NT CL A-4 5.07% DUE 08/15/2013 PAYMENT A/C PRINCIPAL	3,035.450	11/09/09	09/15/10	3,035.45	3,155.92	S -120.47
HYUNDAI AUTO RECEIVABLES TR 2008-A NT CL A-3 4.93% DUE 12/17/2012 PAYMENT A/C PRINCIPAL	3,786.950	03/08/10	09/15/10	3,786.95	3,920.68	S -133.73

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
GNMA II	731.250	11/06/09	09/20/10	731.25	783.35	S -52.10
5.5% FEB 20 2018 DTD 02/01/2003 POOL NO 3341 PAYMENT A/C PRINCIPAL						
TRAVELERS PPTY CASUALTY	30,000.000	10/22/09	09/21/10	32,721.60	31,853.10	S 868.50
5% MAR 15 2013 DTD 03/11/2003 @ 109.072 MORGAN STANLEY & CO. INCORPORATED						
FANNIE MAE	2,192.680	10/29/09	09/27/10	2,192.68	2,339.32	S -146.64
5.5% DEC 01 2017 DTD 11/01/2002 POOL NO 254546 PAYMENT A/C PRINCIPAL						

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Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE 6.5% AUG 01 2017 DTD 07/01/2002 POOL NO 649633 PAYMENT A/C PRINCIPAL	736.470	10/30/09	09/27/10	736.47	797.69	S -61.22
FANNIE MAE SER 2002-55 CL GC 5.5% SEP 25 2017 DTD 08/01/2002 PAYMENT A/C PRINCIPAL	632.620	03/01/10	09/27/10	632.62	679.08	S -46.46
FANNIE MAE 5.5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517 PAYMENT A/C PRINCIPAL	1,271.160	10/26/09	09/27/10	1,271.16	1,353.79	S -82.63
AMERICREDIT AUTOMOBILE RECEIVABLES TR 2008-A-F NT CL A-3 5.68% DUE 12/12/2012 PAYMENT A/C PRINCIPAL	5,062.530	10/29/09	10/06/10	5,062.53	5,260.68	S -198.15



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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST SER 2007-DF CL A4A 5.56% JUN 06 2014 DTD 09/20/2007 PAYMENT A/C PRINCIPAL	2,481.910	06/30/10	10/06/10	2,481.91	2,583.71	S -101.80
TARGET CORP NOTES 5 7/8% MAR 1 2012 DTD 3/11/2002 @ 107.318 GOLDMAN SACHS & CO.	8,000.000	10/22/09	10/06/10	8,585.44	8,733.68	S -148.24
FED HOME LN MTG CORP PARTN CTFS GROUP NBR E9-9582 5.00% DUE 09/01/2018 PAYMENT A/C PRINCIPAL	2,003.820	04/22/10	10/15/10	2,003.82	2,132.82	S -129.00
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	2,868.300	10/19/09	10/15/10	2,868.30	3,062.81	S -194.51

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Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	2,491.380	10/30/09	10/15/10	2,491.38	2,685.24	S -193.86
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,355.750	11/02/09	10/15/10	1,355.75	1,435.82	S -80.07
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTF5 GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	2,799.530	11/03/09	10/15/10	2,799.53	2,996.37	S -196.84
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTF5 GTD SER 2513 CL 2513-JE 5.00% DUE 10/15/2017 PAYMENT A/C PRINCIPAL	3,302.530	12/30/09	10/15/10	3,302.53	3,467.66	S -165.13

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAC SER 2825 CL-VP 5.5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,845.380	10/19/09	10/15/10	1,845.38	1,975.35	S -129.97
HARLEY-DAVIDSON MOTORCYCLE TRUST SER 2007-1 CL A4 5.21% JUN 15 2013 DTD 01/31/2007 PAYMENT A/C PRINCIPAL	1,849.980	12/28/09	10/15/10	1,849.98	1,905.48	S -55.50
HARLEY DAVIDSON MOTORCYCLE TR 2007-2 MOTORCYCLE CONTRACT BKD NT CL A-4 5.07% DUE 08/15/2013 PAYMENT A/C PRINCIPAL	2,786.520	11/09/09	10/15/10	2,786.52	2,897.11	S -110.59
HYUNDAI AUTO RECEIVABLES TR 2008-A NT CL A-3 4.93% DUE 12/17/2012 PAYMENT A/C PRINCIPAL	3,624.870	03/08/10	10/15/10	3,624.87	3,752.87	S -128.00

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Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
GNMA II	721.560	11/06/09	10/20/10	721.56	772.97	S -51.41
5.5% FEB 20 2018						
DTD 02/01/2003						
POOL NO 3341						
PAYMENT A/C PRINCIPAL						
FANNIE MAE	2,094.960	10/29/09	10/25/10	2,094.96	2,235.06	S -140.10
5.5% DEC 01 2017						
DTD 11/01/2002						
POOL NO 254546						
PAYMENT A/C PRINCIPAL						
FANNIE MAE	5,149.040	10/30/09	10/25/10	5,149.04	5,577.06	S -428.02
6.5% AUG 01 2017						
DTD 07/01/2002						
POOL NO 649633						
PAYMENT A/C PRINCIPAL						
FANNIE MAE	634.950	03/01/10	10/25/10	634.95	681.58	S -46.63
SER 2002-55 CL GC 5.5% SEP 25 2017						
DTD 08/01/2002						
PAYMENT A/C PRINCIPAL						

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Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE 5.5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517 PAYMENT A/C PRINCIPAL	642.640	10/26/09	10/25/10	642.64	684.41	S -41.77
GOLDMAN SACHS GROUP INC NOTES 5 1/4% OCT 15 2013 DTD 10/14/2003 @ 109.125	35,000.000	10/21/09	10/22/10	38,193.75	37,324.70	L 869.05
FIRST TENNESSEE BANK NA-BOND DIVIS						
AMERICREDIT AUTOMOBILE RECEIVABLES TR 2008-A-F NT CL A-3 5.68% DUE 12/12/2012 PAYMENT A/C PRINCIPAL	5,653.070	10/29/09	11/08/10	5,653.07	5,874.34	L -221.27
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST SER 2007-DF CL A4A 5.56% JUN 06 2014 DTD 09/20/2007 PAYMENT A/C PRINCIPAL	2,425.510	06/30/10	11/08/10	2,425.51	2,524.99	S -99.48

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

Transactions

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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
DAIMLER CHRYSLER AUTO TRUST SER 2007-A CL A4 5.28% MAR 08 2013 DTD 11/21/2007 PAYMENT A/C PRINCIPAL	1,900.250	11/03/09	11/08/10	1,900.25	1,992.14	L -91.89
FED HOME LN MTG CORP PARTN CTFS GROUP NBR E9-9582 5.00% DUE 09/01/2018 PAYMENT A/C PRINCIPAL	1,837.400	04/22/10	11/15/10	1,837.40	1,955.68	S -118.28
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	3,217.870	10/19/09	11/15/10	3,217.87	3,436.08	L -218.21
FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	2,290.620	10/30/09	11/15/10	2,290.62	2,468.86	L -178.24

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

Transactions

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,337.560	11/02/09	11/15/10	1,337.56	1,416.56	L -79.00
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	1,979.300	11/03/09	11/15/10	1,979.30	2,118.47	L -139.17
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2513 CL 2513-JE 5.00% DUE 10/15/2017 PAYMENT A/C PRINCIPAL	2,988.710	12/30/09	11/15/10	2,988.71	3,138.15	S -149.44
FREDDIE MAC SER 2825 CL-VP 5.5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,853.840	10/19/09	11/15/10	1,853.84	1,984.41	L -130.57

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HARLEY-DAVIDSON MOTORCYCLE TRUST SER 2007-1 CL A4 5.21% JUN 15 2013 DTD 01/31/2007 PAYMENT A/C PRINCIPAL	1,751.690	12/28/09	11/15/10	1,751.69	1,804.24	S -52.55
HARLEY DAVIDSON MOTORCYCLE TR 2007-2 MOTORCYCLE CONTRACT BKD NT CL A-4 5 07% DUE 08/15/2013 PAYMENT A/C PRINCIPAL	2,723.310	11/09/09	11/15/10	2,723.31	2,831.39	L -108.08
HYUNDAI AUTO RECEIVABLES TR 2008-A NT CL A-3 4.93% DUE 12/17/2012 PAYMENT A/C PRINCIPAL	3,367.810	03/08/10	11/15/10	3,367.81	3,486.74	S -118.93
GNMA II 5.5% FEB 20 2018 DTD 02/01/2003 POOL NO 3341 PAYMENT A/C PRINCIPAL	726.740	11/06/09	11/22/10	726.74	778.52	L -51.78

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C. ENGELHARD FDN - 1-6 GOVT/CR MIP

Capital Gain and Loss Schedule

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on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE 5.5% DEC 01 2017 DTD 11/01/2002 POOL NO 254546 PAYMENT A/C PRINCIPAL	1,827.520	10/29/09	11/26/10	1,827.52	1,949.74	L -122.22
FANNIE MAE 6.5% AUG 01 2017 DTD 07/01/2002 POOL NO 649633 PAYMENT A/C PRINCIPAL	686.270	10/30/09	11/26/10	686.27	743.32	L -57.05
FANNIE MAE SER 2002-55 CL GC 5.5% SEP 25 2017 DTD 08/01/2002 PAYMENT A/C PRINCIPAL	457.440	03/01/10	11/26/10	457.44	491.03	S -33.59
FANNIE MAE 5.5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517 PAYMENT A/C PRINCIPAL	656.180	10/26/09	11/26/10	656.18	698.83	L -42.65

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Capital Gain and Loss Schedule

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
AMERICREDIT AUTOMOBILE RECEIVABLES TR 2008-A-F NT CL A-3 5.68% DUE 12/12/2012 PAYMENT A/C PRINCIPAL	4,955.470	10/29/09	12/06/10	4,955.47	5,149.43	L -193.96
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST SER 2007-DF CL A4A 5.56% JUN 06 2014 DTD 09/20/2007 PAYMENT A/C PRINCIPAL	2,291.360	06/30/10	12/06/10	2,291.36	2,385.34	S -93.98
DAIMLER CHRYSLER AUTO TRUST SER 2007-A CL A4 5.28% MAR 08 2013 DTD 11/21/2007 PAYMENT A/C PRINCIPAL AS OF 12/08/10	4,605.700	11/03/09	12/09/10	4,605.70	4,828.43	L -222.73
FED HOME LN MTG CORP PARTN CTFS GROUP NBR E9-9582 5.00% DUE 09/01/2018 PAYMENT A/C PRINCIPAL	2,295.470	04/22/10	12/15/10	2,295.47	2,443.24	S -147.77

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAC GOLD PARTC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	2,782.050	10/19/09	12/15/10	2,782.05	2,970.71	L -188.66
FREDDIE MAC GOLD PARTC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	2,810.660	10/30/09	12/15/10	2,810.66	3,029.36	L -218.70
FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998 PAYMENT A/C PRINCIPAL	1,281.800	11/02/09	12/15/10	1,281.80	1,357.51	L -75.71
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTF5 GTD SER 2498 CL PE 5.50% DUE 09/15/2017 PAYMENT A/C PRINCIPAL	2,785.180	11/03/09	12/15/10	2,785.18	2,981.01	L -195.83



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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2513 CL 2513-JE 5.00% DUE 10/15/2017 PAYMENT A/C PRINCIPAL	2,154.220	12/30/09	12/15/10	2,154.22	2,261.93	S -107.71
FREDDIE MAC SER 2825 CL-VP 5 5% JUN 15 2015 DTD 07/01/2004 PAYMENT A/C PRINCIPAL	1,862.340	10/19/09	12/15/10	1,862.34	1,993.50	L -131.16
HARLEY-DAVIDSON MOTORCYCLE TRUST SER 2007-1 CL A4 5.21% JUN 15 2013 DTD 01/31/2007 PAYMENT A/C PRINCIPAL	1,725.190	12/28/09	12/15/10	1,725.19	1,776.94	S -51.75
HARLEY DAVIDSON MOTORCYCLE TR 2007-2 MOTORCYCLE CONTRACT BKD NT CL A-4 5 07% DUE 08/15/2013 PAYMENT A/C PRINCIPAL	2,659.250	11/09/09	12/15/10	2,659.25	2,764.79	L -105.54

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Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
HYUNDAI AUTO RECEIVABLES TR 2008-A NT CL A-3 4.93% DUE 12/17/2012 PAYMENT A/C PRINCIPAL AS OF 12/15/10	3,347.140	03/08/10	12/16/10	3,347.14	3,465.34	S -118.20
GNMA II 5.5% FEB 20 2018 DTD 02/01/2003 POOL NO 3341 PAYMENT A/C PRINCIPAL	724.500	11/06/09	12/20/10	724.50	776.12	L -51.62
FEDERAL HOME LOAN BANK 5 1/4% JUN 18 2014 DTD 5/27/2004 @ 113.277 BNP PARIBAS SECURITIES CORP. TRADE DATE 12/20/10	90,000.000	10/19/09	12/20/10	101,949.30	100,649.34	L 1,299.96
FANNIE MAE 5.5% DEC 01 2017 DTD 11/01/2002 POOL NO 254546 PAYMENT A/C PRINCIPAL	1,889.400	10/29/09	12/27/10	1,889.40	2,015.75	L -126.35

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O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE 6.5% AUG 01 2017 DTD 07/01/2002 POOL NO 649633 PAYMENT A/C PRINCIPAL	2,095.660	10/30/09	12/27/10	2,095.66	2,269.86	L -174.20
FANNIE MAE SER 2002-55 CL GC 5.5% SEP 25 2017 DTD 08/01/2002 PAYMENT A/C PRINCIPAL	424.480	03/01/10	12/27/10	424.48	455.65	S -31.17
FANNIE MAE 5.5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517 PAYMENT A/C PRINCIPAL	640.720	10/26/09	12/27/10	640.72	682.37	L -41.65
FED HOME LN MTG CORP PARTN CTFS GROUP NBR E9-9582 5.00% DUE 09/01/2018 PAYMENT A/C PRINCIPAL	1,783.190	04/22/10	01/18/11	1,783.19	1,897.98	S -114.79 C

J.P.Morgan



Corrected Copy 03/11/2011

C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

Transactions

Note: C indicates correction

S indicates Short Term Realized Gain/Loss

L indicates Long Term Realized Gain/Loss

F indicates Foreign Exchange Gain/Loss

on Capital Transactions

O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FREDDIE MAC GOLD PARTIC CTF 5.5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006 PAYMENT A/C PRINCIPAL	2,671.390	10/19/09	01/18/11	2,671.39	2,852.54	L -181.15 C
FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431 PAYMENT A/C PRINCIPAL	2,240.720	10/30/09	01/18/11	2,240.72	2,415.08	L -174.36 C
FREDDIE MAC GOLD PARTIC CTF 5.5% DEC 01 2018 DTD 12/01/2003 POOL NO B11373 PAYMENT A/C PRINCIPAL	3,936.720	11/16/10	01/18/11	3,936.72	4,259.04	S -322.32 C

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

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Capital Gain and Loss Schedule

Transactions

Note: C indicates correction
S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
GNMA II	727.000	11/06/09	01/20/11	727.00	778.80	L -51.80 C
5.5% FEB 20 2018						
DTD 02/01/2003						
POOL NO 3341						
PAYMENT A/C PRINCIPAL						
FANNIE MAE	1,844.270	10/29/09	01/25/11	1,844.27	1,967.61	L -123.34 C
5.5% DEC 01 2017						
DTD 11/01/2002						
POOL NO 254546						
PAYMENT A/C PRINCIPAL						
FANNIE MAE	3,102.870	10/30/09	01/25/11	3,102.87	3,360.80	L -257.93 C
6.5% AUG 01 2017						
DTD 07/01/2002						
POOL NO 649633						
PAYMENT A/C PRINCIPAL						

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C. ENGELHARD FDN - 1-5 GOVT/CR MIP

Capital Gain and Loss Schedule

Transactions

Note: C indicates correction

- S indicates Short Term Realized Gain/Loss
- L indicates Long Term Realized Gain/Loss
- F indicates Foreign Exchange Gain/Loss on Capital Transactions
- O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
FANNIE MAE 5.5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517 PAYMENT A/C PRINCIPAL	2,289.470	10/26/09	01/25/11	2,289.47	2,438.29	L -148.82 C
Total Gain/Loss				206,964.03 1,966,204.36	208,898.66 1,972,845.89	L -1,934.63 C S -6,641.53

Note: Your capital gain and loss schedule will show an N/A for the gain or loss for sales where we have incomplete or missing tax cost.
Please consult your tax advisor for appropriate tax reporting on these sales.

J.P.Morgan



C. ENGELHARD - INVEST GRADE CORP MIP

Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ABBOTT LABORATORIES 5.15% NOV 30 2012 DTD 11/09/2007 @ 109.868 CREDIT SUISSE FIRST BOSTON LLC	45,000.000	10/19/09	07/26/10	49,440.60	49,298.85	\$ 141.75
SIMON PROPERTY GROUP LP 6 3/4% MAY 15 2014 DTD 05/15/2009	45,000.000	10/28/09	08/17/10	52,414.20	48,671.10	\$ 3,743.10
TENDERED BONDS - EXPIRES 8/16/2010 PURCHASE OFFER - HOLDERS RECEIVE						
TARGET CORP NOTES 5 7/8% MAR 1 2012 DTD 3/11/2002 @ 107.318 GOLDMAN SACHS & CO.	45,000.000	01/26/10	10/06/10	48,293.10	49,198.77	\$ -905.67

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C. ENGELHARD - INVEST GRADE CORP MIP

Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sale Date	Net Proceeds	Tax Cost	Total Gain/Loss
CREDIT SUISSE FB USA INC NOTES 6 1/8% NOV 15 2011 DTD 11/6/2001 @ 105.624	15,000.000	10/20/09	10/21/10	15,843.60	16,358.40	L -514.80
HSBC SECURITIES INC (HSBCSI) JOHN DEERE CAPITAL CORP 5.10% JAN 15 2013 DTD 1/10/2003 @ 108.954	15,000.000	10/26/09	10/26/10	16,343.10	16,048.50	S 294.60
MORGAN STANLEY & CO. INCORPORATED						
Total Gain/Loss				15,843.60 166,491.00	16,358.40 163,217.22	L -514.80 S 3,273.78

Note: Your capital gain and loss schedule will show an N/A for the gain or loss for sales where we have incomplete or missing tax cost.
Please consult your tax advisor for appropriate tax reporting on these sales.

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THE CHARLES ENGELHARD FDN

Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
ROVI CORPORATION @ 34.54 345.40	10.000	02/23/10	03/04/10	245.39		245.39
BROKERAGE 100.00 TAX &/OR SEC .01 J.P. MORGAN SECURITIES INC.						
DYNEGY INC CASH IN LIEU OF FRACTIONAL SHARES.	0.000	00/00/00	06/07/10	2.03	.00	L 2.03
U S A BONDS 2 1/2% JAN 15 2029 DTD 1/15/2009 TREASURY INFLATION INDEXED @ 113.75	900,000.000	10/02/09	08/10/10	1,040,007.15	988,406.31	S 51,600.84
JP MORGAN SECURITIES INC (BIDL)						
U S A NOTES 1 7/8% JUL 15 2019 DTD 07/15/2009 TREASURY INFLATION INDEXED @ 108.9375	400,000.000	10/05/09	08/10/10	445,118.62	420,774.70	S 24,343.92
JP MORGAN SECURITIES INC (BIDL)						

JP Morgan



THE CHARLES ENGELHARD FDN

Capital Gain and Loss Schedule

Transactions

Note: **S** indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
U S A NOTES 1 7/8% JUL 15 2019 DTD 07/15/2009 TREASURY INFLATION INDEXED @ 108.9375 JP MORGAN SECURITIES INC (BIDL)	500.000 000	10/05/09	08/10/10	556,398.28	525,968.36	S 30,429.92
U S A BONDS 1 1/4% APR 15 2014 DTD 04/30/2009 TREASURY INFLATION INDEXED @ 104.4375 JP MORGAN SECURITIES LLC (BIDL)	800.000.000	10/26/09	09/15/10	860,590.06	839,771.07	S 20,818.99

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THE CHARLES ENGELHARD FDN

Capital Gain and Loss Schedule

Transactions

Note: S indicates Short Term Realized Gain/Loss
L indicates Long Term Realized Gain/Loss
F indicates Foreign Exchange Gain/Loss
on Capital Transactions
O indicates Ordinary Income Gain

Security Description	Units	Acq Date	Sold Date	Net Proceeds	Tax Cost	Total Gain/Loss
VANGUARD INTL EQUITY INDEX F	68,650.000	09/22/09	09/16/10	2,997,321.55	2,929,982.00	S 67,339.55
TT WRLD ST ETF						
@ 43 71165	3,000.804.77					
BROKERAGE	3,432.50					
TAX &/OR SEC	50.72					
Total Gain/Loss				2.03	.00	L 2.03
				5,899,681.05	5,704,902.44	S 194,778.61

Note: Your capital gain and loss schedule will show an N/A for the gain or loss for sales where we have incomplete or missing tax cost.
Please consult your tax advisor for appropriate tax reporting on these sales.

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST INCOME	481,160.	1,372,769.
TOTAL	481,160.	1,372,769.

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDEND	338,227.	535,781.
TOTAL	<u>338,227.</u>	<u>535,781.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INCOME	11,242.	31,015.
TOTALS	11,242.	31,015.

ATTACHMENT 4FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	34,750.	17,375.		17,375.
TOTALS	<u>34,750.</u>	<u>17,375.</u>	<u>0.</u>	<u>17,375.</u>

ATTACHMENT 5FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
AUDIT FEES	58,856.	29,428.		29,428.
TAX FEES	13,000.			13,000.
TOTALS	<u>71,856.</u>	<u>29,428.</u>	<u>0.</u>	<u>42,428.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
ADVISORY FEES	25,000.	264,667.	12,500.
MANAGEMENT FEES	59,626.	86,673.	29,813.
TOTALS	84,626.	351,340.	42,313.

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
TAXES	133,761.	18,258.
TOTALS	<u>133,761.</u>	<u>18,258.</u>

ATTACHMENT 8

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OTHER EXPENSES	214,801.	110,771.	106,973.
TOTALS	214,801.	110,771.	106,973.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

<u>DESCRIPTION</u>	<u>ATTACHMENT 9</u>	
	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
U.S GOV'T OBS. -SEE ATTACHED	1,761,269.	1,761,269.
US OBLIGATIONS TOTAL	<u>1,761,269.</u>	<u>1,761,269.</u>

CUSIP	DESCRIPTION	MATURITY DATE	SHARES/PAR	FAIR MARKET VALUE
U S and State Government Obligations				
912833DF4	US TREAS ISSUE FEB 15 2014 DTD 2/15/85INT PMT DUE ON STRIPPED ISSUE	2/15/2014	280,000	270,085
912833DD9	STRIPS U S A TREAS ISSUE ZERO CPNFEB 15 2013 DTD 2/15/85	2/15/2013	265,000	260,890
912828KK5	U S A NOTES 1 3/8% APR 15 2012DTD 04/15/2009	4/15/2012	280,000	283,576
912828LM0	U S A NOTES 1 3/8% SEP 15 2012DTD 09/15/2009	9/15/2012	115,000	116,666
912810DW5	U S A BONDS 7 1/4% MAY 15 2016 DTD 5/15/86	5/15/2016	270,000	340,389
912810DP0	U S A BONDS 11 1/4% FEB 15 2015 DTD 2/15/85	2/15/2015	220,000	304,839
912828FN5	USA Notes 4 7/8% JUL 31 2011 DTD 7/31/2006	7/31/2011	180,000	184,824
Total U S and State Government Obligations				1,761,269

ATTACHMENT 10FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHED STATEMENT ETON PARK OVERSEAS FUND, LTD	29,523,376.	5,233,696.	5,233,696.
TOTALS	<u>29,523,376.</u>	<u>5,233,696.</u>	<u>5,233,696.</u>

The Charles Engelhard Foundation
Form 990PF, Part II - Investments
12/31/2010

FORM 990PF, PART II - CORPORATE STOCK

Investment Portfolio

BEGINNING
BOOK VALUE

Name	Shares	Market/Share	Market Value
JPMorgan Short Duration Bond Fund	188,676.080	10.85	2,047,135
USA Bonds	800,000.000	103.35	844,543
USA Notes	900,000.000	103.80	945,775
USA Bonds	900,000.000	107.26	971,934
Vanguard Intl Equity Index Fund	351,860.000	43.09	15,161,647
USA Treasury Notes	220,000.000	100.24	220,532
USA Notes	340,000.000	106.20	361,077
Credit Suisse FB USA Inc	30,000.000	107.84	32,351
Citigroup Inc	40,000.000	105.57	42,228
Target Corp	8,000.000	108.35	8,668
USA Notes	330,000.000	100.09	330,310
Household Finance Corp	40,000.000	108.74	43,494
Fannie Mae	260,000.000	107.91	280,556
General Electric Capital Corp	70,000.000	107.79	75,454
Goldman Sachs Group Inc	80,000.000	103.89	83,114
Merrill Lynch & Co	30,000.000	107.12	32,137
USA Notes	165,000.000	99.53	164,226
BB&T Corp	30,000.000	104.10	31,230
Conocophillips	30,000.000	107.15	32,146
Wells Fargo Company	30,000.000	106.76	32,027
Bank of New York Mellon	30,000.000	107.70	32,309
Abbott Laboratories	15,000.000	109.30	16,394
Americredit Automobile Receivables	65,000.000	102.95	66,919
Strips	315,000.000	94.29	297,014
Boeing Company	15,000.000	106.89	16,033
Verizon Communications	30,000.000	104.60	31,381

Daimler Chrysler Auto Trust	65,000.000	104.51	67,932
Travelers PPTY Casualty	30,000.000	104.86	31,457
John Deere Capital Corp	30,000.000	104.99	31,496
Dell Inc	10,000.000	105.53	10,553
Oracle Corp	30,000.000	107.31	32,192
Principal Life Inc FDG	25,000.000	105.59	26,398
Allstate LF GLB FN TRST	30,000.000	106.75	32,024
Nucor Corp	15,000.000	106.01	15,902
Harley Davidson Motorcycle Trust	35,000.000	103.91	36,368
Duke Energy Ohio Inc	8,000.000	98.74	7,899
International Business Machines Corp	30,000.000	115.89	34,766
Electronic Data Systems	30,000.000	110.54	33,163
Harley Davidson Motorcycle TR 2007-2	54,000.000	104.33	56,341
Freddie Mac	150,000.000	106.56	159,845
Goldman Sachs Group Inc	55,000.000	106.20	58,411
AT&T Corp	30,000.000	112.83	33,848
Freddie Mae	46,311.140	105.93	49,058
2/15/2014 INT PMT Due on Stripped	330,000.000	90.58	298,921
CME Group Inc	15,000.000	109.38	16,407
Paccar Inc	20,000.000	112.81	22,561
Caterpillar Financial SE	30,000.000	111.75	33,526
Baxter International Inc	30,000.000	103.12	30,937
Praxair Inc	15,000.000	105.45	15,817
Morgan Stanley	40,000.000	100.58	40,230
Glaxosmithkline Cap Inc	14,000.000	104.90	14,686
Simon Property Group LP	15,000.000	106.57	15,985
US Bancorp	30,000.000	103.80	31,141
State Street Corp	30,000.000	103.48	31,044
ACE INA Holdings Inc	30,000.000	108.46	32,538
Federal Home Loan Bank	165,000.000	111.09	183,305
Wachovia Corporation	30,000.000	103.53	31,058
Midamerican Energy Co	30,000.000	105.45	31,636
Bank of America Corporation	30,000.000	103.68	31,104
National City Corp	30,000.000	102.16	30,647

USA Bonds	205,000.000	141.08	289,210
Freddie Mac	135,645.640	106.66	144,680
USAA Auto Owner Trust	20,000.000	99.43	19,886
Wyeth	15,000.000	107.49	16,123
Fannie Mae	67,601.108	108.56	73,388
Federal Home LN MTG Corp Multiclass	96,620.470	106.43	102,830
Federal Home LN MTG Corp Multiclass	90,000.000	105.86	95,270
Fannie Mae	88,559.729	106.48	94,299
Freddie Mac	109,321.173	107.12	117,108
GNMA II	81,750.025	106.53	87,088
Fannie Mae	72,520.326	103.02	74,713
Federal Home LN MTG Corp Multiclass	70,000.000	103.08	72,156
Freddie Mac	132,374.958	106.58	141,079
Diageo Capital Plc	30,000.000	107.06	32,117
BP Capital Markets Plc	15,000.000	108.92	16,338
BHP Billiton Fin USA Ltd	30,000.000	109.68	32,905
Credit Suisse FB USA Inc	110,000.000	107.84	118,622
Target Corp	26,000.000	108.35	28,172
Household Finance Corp	110,000.000	108.74	119,610
Comerica Bank	41,000.000	95.42	39,120
General Electric Capital Corp	110,000.000	107.79	118,570
Merrill Lynch & Co	90,000.000	107.12	96,411
BB&T Corp	65,000.000	104.10	67,666
Bank of New York Mellon	45,000.000	107.70	48,464
Abbott Laboratories	45,000.000	109.30	49,183
John Deere Capital Corp	65,000.000	106.30	69,094
Wells Fargo Company	110,000.000	103.87	114,255
Boeing Company	45,000.000	106.89	48,098
Verizon Communications	90,000.000	104.60	94,142
Principal Life Inc FDG	80,000.000	105.59	84,472
Allstate LF GLB FN TRST	45,000.000	106.75	48,036
Electronic Data Systems	65,000.000	110.54	71,852
Goldman Sachs Group Inc	135,000.000	106.20	143,371
AT&T Corp	135,000.000	112.83	152,314

CME Group Inc	65,000.000	109.38	71,095
Paccar Inc	45,000.000	112.81	50,763
Bottling Group Inc	45,000.000	115.06	51,777
Morgan Stanley	155,000.000	100.58	155,891
Simon Property Group LP	45,000.000	106.57	47,956
Southern Co	25,000.000	102.84	25,709
US Bancorp	45,000.000	103.80	46,712
State Street Corp	45,000.000	103.48	46,566
Wachovia Corporation	90,000.000	103.53	93,173
National City Corp	45,000.000	102.16	45,971
Apache Finance Canada	33,000.000	104.27	34,408
FPL Group Capital Inc	45,000.000	120.35	54,158
Wyeth	45,000.000	107.49	48,369
Cisco Systems	45,000.000	109.79	49,406
Honeywell International	45,000.000	108.56	48,850
Nationsbank Corp	200,000.000	108.57	217,134
EOF Resources Inc	45,000.000	108.90	49,003
General Electric Capital Corp	180,000.000	103.02	185,441
Citigroup Inc	180,000.000	100.80	181,436
Nucor Corp	45,000.000	107.51	48,380
Duke Energy Carolinas	40,000.000	104.71	41,884
ACE INA Holdings Inc	45,000.000	106.41	47,883
Dell Inc	45,000.000	104.77	47,145
Oracle Corp	45,000.000	108.12	48,654
Berkshire Hathaway Fin	45,000.000	104.48	47,016
Eaton Corp	45,000.000	104.82	47,168
Baxter International Inc	45,000.000	106.13	47,760
E.I. Du Pont De Nemours	45,000.000	109.12	49,103
Kimberly Clark Corp	45,000.000	111.60	50,220
Trans-Canada Pipelines	45,000.000	111.55	50,195
Amgen Inc	45,000.000	107.24	48,256
Conocophillips	65,000.000	109.46	71,146
Caterpillar Financial SE	65,000.000	115.64	75,165
Goldman Sachs Group Inc	73,000.000	116.58	85,103

Travellers Cos Inc	45,000.000	106.81	48,062
Blackrock Inc	45,000.000	98.27	44,221
BP Capital Markets Plc	45,000.000	108.92	49,015
Shell International Fin	45,000.000	104.35	46,959
BHP Billion Fin USA Ltd	65,000.000	109.68	71,294
Deutsche Bank AG London	45,000.000	102.12	45,952
Diageo Capital Plc	45,000.000	107.62	48,428
Novartis Secs Invest Ltd	90,000.000	105.05	94,548
Westpac Banking Corp	36,000.000	98.70	35,532
Totals			29,523,376

ATTACHMENT 11FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
COPORATE BONDS - SEE ATTACHED	23,191,255.	23,191,255.
TOTALS	<u>23,191,255.</u>	<u>23,191,255.</u>

CUSIP	DESCRIPTION	MATURITY DATE	SHARES/PAR	FAIR MARKET VALUE
Investments - Corporate Bonds				
744448CD1	PUBLIC SERVICE COLORADO 3 2% NOV 15 2020 DTD 11/16/2010 A /A2	11/15/2020	5 000	4,714
89152UAE2	TOTAL CAPITAL SA 2 3% MAR 15 2016 DTD 9/15/2010	3/15/2016	10,000	9,769
89152UAE2	TOTAL CAPITAL SA 2 3% MAR 15 2016 DTD 09/15/2010 AA /AA1	3/15/2016	45,000	43,959
05567LD95	BNP PARIBAS SA 2 1/8% DEC 21 2012 DTD 12/21/2009	12/21/2012	15,000	15,242
05567LD95	BNP PARIBAS SA 2 1/8% DEC 21 2012 DTD 12/21/2009 AA /AA2	12/21/2012	45,000	45,725
26442EAB8	DUKE ENERGY OHIO INC 2 1% JUN 15 2013 DTD 12/17/2009	6/15/2013	8,000	8,154
822582AQ5	SHELL INTERNATIONAL FIN 3 1% JUN 28 2015 DTD 06/28/2010	6/28/2015	9,000	9,243
61748AAE6	MORGAN STANLEY NOTES 4 3/4% APR 1 2014 DTD 3/30/2004	4/1/2014	40,000	40,961
61748AAE6	MORGAN STANLEY NOTES 4 3/4% APR 1 2014 DTD 3/30/2004 A- /A3	4/1/2014	175,000	179,205
09247XAE1	BLACKROCK INC 5% DEC 10 2019 DTD 12/10/2009 A+ /A1	12/10/2019	45,000	46,990
38146FAA9	GOLDMAN SACHS GROUP INC 3 1/4% JUN 15 2012 DTD 12/01/2008	6/15/2012	80,000	83,047
89233P4B9	TOYOTA MOTOR CREDIT CORP MEDIUM TERM NOTES 3 2% JUN 17 2015 DTD 06/17/2010	6/17/2015	10,000	10,329
89233P4B9	TOYOTA MOTOR CREDIT CORP MEDIUM TERM NOTES 3 2% JUN 17 2015 DTD 06/17/2010 AA /AA2	6/17/2015	45,000	46,482
961214BK8	WESTPAC BANKING CORP NOTES 4 7/8% NOV 19 2019 DTD 11/19/2009	11/19/2019	36,000	37,829
064149A64	BANK OF NOVA SCOTIA 3 4% JAN 22 2015 DTD 01/22/2010	1/22/2015	6,000	6,227
064149A64	BANK OF NOVA SCOTIA 3 4% JAN 22 2015 DTD 01/22/2010 AA- /AA1	1/22/2015	18,000	18,682
2515A0Q30	DEUTSCHE BANK AG LONDON 3 7/8% AUG 18 2014 DTD 08/18/2009 A+ /AA3	8/18/2014	45,000	47,239
949746NY3	WELLS FARGO COMPANY SR NOTES 4 3/8% JAN 31 2013 01/31/2008 AA- /A1	1/31/2013	110,000	118,408
060505AU8	BANK OF AMERICA CORPORATION 5 1/8% NOV 15 2014 DTD 11/07/2002	11/15/2014	30,000	31,455
842587CE5	SOUTHERN CO 4 15% MAY 15 2014 DTD 05/18/2009 A- /BAA	5/15/2014	25,000	26,312
054937AD9	BB&T CORP NOTES 4 3/4% OCT 1 2012 DTD 9/24/2002	10/1/2012	30,000	31,653
054937AD9	BB&T CORP NOTES 4 3/4% OCT 1 2012 DTD 9/24/2002 A- /A3	10/1/2012	65,000	68,582
92343VAJ3	VERIZON COMMUNICATIONS 4 35% FEB 15 2013 DTD 02/12/2008 A- /A3	2/15/2013	90,000	95,707
91159HGR5	US BANCORP SR NOTES 4 2% MAY 15 2014 DTD 05/14/2009	5/15/2014	15,000	18,023
91159HGR5	US BANCORP SR NOTES 4 2% MAY 15 2014 DTD 05/14/2009 A+ /AA3	5/15/2014	45,000	48,070
949746NW7	WELLS FARGO COMPANY 5 1/4% OCT 23 2012 DTD 10/23/2007	10/23/2012	15,000	16,081
842434CA8	SOUTHERN CALIFORNIA GAS CO 4 80% OCT 1 2012 DTD 10/1/2002 A+ /AA3	10/1/2012	38,000	40,504
06406HBE8	BANK OF NEW YORK MELLON MEDIUM TERM NOTE 4 95% NOV 01 2012 DTD 11/01/2007 AA- /AA2	11/1/2012	45,000	48,282
822582AF9	SHELL INTERNATIONAL FIN 4% MAR 21 2014 DTD 3/23/2009 AA /AA1	3/21/2014	45,000	47,885
59018YJ38	MERRILL LYNCH & CO MEDIUM TERM NOTE 6 05% AUG 15 2012 DTD 8/15/2007	8/15/2012	10,000	10,589
59018YJ38	MERRILL LYNCH & CO MEDIUM TERM NOTE 6 05% AUG 15 2012 DTD 8/15/2007 A /A2	8/15/2012	90,000	95,301
136375BH4	CANADIAN NATL RY CO NT 4 40% MAR 15 2013 DTD 03/10/2003	3/15/2013	20,000	21,317
36962GY44	GENERAL ELECTRIC CAPITAL CORP MEDIUM TERM NOTES 6% JUN 15 2012 DTD 6/7/2002	6/15/2012	50,000	53,451
36962GY44	GENERAL ELECTRIC CAPITAL CORP MEDIUM TERM NOTES 6% JUN 15 2012 DTD 6/7/2002 AA+ /AA2	6/15/2012	110,000	117,591
857477AE3	STATE STREET CORP SR NOTES 4 3% MAY 30 2014 DTD 05/22/2009	5/30/2014	10,000	10,699
857477AE3	STATE STREET CORP SR NOTES 4 3% MAY 30 2014 DTD 05/22/2009 A+ /A1	5/30/2014	45,000	48,146
929903AJ1	WACHOVIA CORPORATION SUB NOTES 5 1/4% AUG 1 2014 DTD 7/22/2004	8/1/2014	30,000	31,995
929903AJ1	WACHOVIA CORPORATION SUB NOTES 5 1/4% AUG 1 2014 DTD 7/22/2004 A+ /A2	8/1/2014	90,000	95,984
635405AQ6	NATIONAL CITY CORP 4 9% JAN 15 2015 DTD 01/12/2005	1/15/2015	30,000	32,131
635405AQ6	NATIONAL CITY CORP 4 9% JAN 15 2015 DTD 01/12/2005 A /A3	1/15/2015	45,000	48,197
071813AZ2	BAXTER INTERNATIONAL INC 4% MAR 01 2014 DTD 02/26/2009	3/1/2014	15,000	15,966
24702RAD3	DELL INC 4 7% APR 15 2013 DTD 10/15/2008	4/15/2013	10,000	10,735
38141GEE0	GOLDMAN SACHS GROUP INC SR NOTES 5 35% JAN 15 2016 DTD 1/17/2006	1/15/2016	35,000	37,604
24422EQ05	JOHN DEERE CAPITAL CORP MEDIUM TERM NOTE 4 50% APR 03 2013 DTD 04/03/2008	4/3/2013	30,000	32,088
441812JY1	HOUSEHOLD FINANCE CORP NOTES 7% MAY 15 2012 DTD 5/22/2002	5/15/2012	40,000	42,918
441812JY1	HOUSEHOLD FINANCE CORP NOTES 7% MAY 15 2012 DTD 5/22/2002	5/15/2012	110,000	118,023
03746AAC4	APACHE FINANCE CANADA 4 3/8% MAY 15 2015 DTD 05/15/2003 A- /A3	5/15/2015	33,000	35,567
25243YAL3	DIAGEO CAPITAL PLC 5 2% JAN 30 2013 DTD 10/26/2007	1/30/2013	30,000	32,352
74254PYE6	PRINCIPAL LIFE INC FDG MEDIUM TERM NOTE 5 3% APR 24 2013 DTD 04/24/2008	4/24/2013	25,000	27,054
74254PYE6	PRINCIPAL LIFE INC FDG MEDIUM TERM NOTE 5 3% APR 24 2013 DTD 04/24/2008 74254P-YE-6 A /AA3	4/24/2013	80,000	86,573
22541LAR4	CREDIT SUISSE FB USA INC NOTES 4 7/8% JAN 15 2015 DTD 12/15/2004	1/15/2015	30,000	32,345
244217BK0	JOHN DEERE CAPITAL CORP 5 10% JAN 15 2013 DTD 1/10/2003 A /A2	1/15/2013	50,000	53,988
670346AJ4	NUCOR CORP 5% JUN 01 2013 DTD 06/02/2008	6/12/2013	15,000	16,195
24702RAE1	DELL INC 5 65% APR 15 2018 DTD 10/15/2008 A- /A2	4/15/2018	45,000	49,283
68389XAD7	ORACLE CORP 4 95% APR 15 2013 DTD 04/09/2008	4/15/2013	15,000	16,341
36962G3H5	GENERAL ELEC CAP CORP MEDIUM TERM NOTES 5 5/8% SEP 15 2017 DTD 09/24/2007 AA+ /AA2	9/15/2017	180,000	197,370
05565QBF4	BP CAPITAL MARKETS PLC 5 1/4% NOV 07 2013 DTD 11/7/2008	11/7/2013	15,000	16,245
05565QBF4	BP CAPITAL MARKETS PLC 5 1/4% NOV 07 2013 DTD 11/07/2008 A /A2	11/7/2013	45,000	48,735
38141GDDQ4	GOLDMAN SACHS GROUP INC NOTES 5 1/4% OCT 15 2013 DTD 10/14/2003	10/15/2013	135,000	146,100
097023AT2	BOEING COMPANY NOTES 5 1/8% FEB 15 2013 DTD 2/11/2003	2/15/2013	15,000	16,236
097023AT2	BOEING COMPANY NOTES 5 1/8% FEB 15 2013 DTD 2/11/2003 A /A2	2/15/2013	45,000	48,707
74005PAS3	PRAXAIR INC NOTES 4 3/8% MAR 31 2014 DTD 03/28/2009	3/31/2014	15,000	16,025
377372AA5	GLAXOSMITHKLINE CAP INC 4 3/8% APR 15 2014 DTD 04/06/2004	4/15/2014	14,000	15,095
084664BE0	BERKSHIRE HATHAWAY FIN 5 4% MAY 15 2018 DTD 11/15/2008 AA+ /AA2	5/15/2018	45,000	49,368
02003MBQ6	ALLSTATE LF GLB FN TRST MEDIUM TERM NOTES 5 3/8% APR 30 2013 DTD 04/30/2008	4/30/2013	30,000	32,689
02003MBQ6	ALLSTATE LF GLB FN TRST MEDIUM TERM NOTES 5 3/8% APR 30 2013 DTD 04/30/2008	4/30/2013	45,000	49,003
595620AD7	MIDAMERICAN ENERGY CO NOTES 4 65% OCT 01 2014 DTD 10/01/2004	10/1/2014	30,000	32,420
26442CAC8	DUKE ENERGY CAROLINAS 5 1/4% JAN 15 2018 DTD 01/10/2008 A /A1	1/15/2018	40,000	44,222
00440EAK3	ACE INA HOLDINGS 5 8% MAR 15 2018 DTD 02/14/2008 A /A3	3/15/2018	45,000	49,732
638585AU3	NATIONSBANK CORP SUB NOTES 7 80% SEP 15 2016 DTE 9/24/96 A- /A3	9/15/2016	200,000	221,330
172967FA4	CITIGROUP INC 6 01% JAN 15 2015 DTD 12/15/2009	1/15/2015	40,000	43,881
172967EM9	CITIGROUP INC NOTES 6 1/8% NOV 21 2017 DTD 11/21/2007 A /A3	11/21/2017	180,000	197,260
03076CAB2	AMERPRISE FINANCIAL INC 5 65% NOV 15 2015 DTD 11/23/2005	11/15/2015	10,000	11,082
03076CAB2	AMERPRISE FINANCIAL INC 5 65% NOV 15 2015 DTD 11/23/2005 A /A3	11/15/2015	25,000	27,704
12572QAD7	CME GROUP INC 5 3/4% FEB 15 2014 DTD 02/09/2009	2/15/2014	15,000	16,609
12572QAD7	CME GROUP INC 5 3/4% FEB 15 2014 DTD 2/9/2009	2/15/2014	65,000	71,971
828807BP1	SIMON PROPERTY GROUP LP 5 3/4% DEC 01 2015 DTD 11/15/2005	12/1/2015	15,000	16,865
66989GAA8	NOVARTIS SECS INVEST LTD 5 1/8% FEB 10 2019 DTD 02/10/2009	2/10/2019	90,000	99,543
055451AG3	BHP BILLION FIN USA LTD 5 1/2% APR 01 2014 DTD 3/25/2009	4/1/2014	15,000	16,599
055451AG3	BHP BILLION FIN USA LTD 5 1/2% APR 01 2014 DTD 03/25/2009 A+ /A1	4/1/2014	65,000	71,931
00440EAG2	ACE INA HOLDINGS INC NOTES 5 7/8% JUN 15 2014 DTD 6/9/2004	6/15/2014	15,000	16,819
285661AD6	ELECTRONIC DATA SYSTEMS NOTES 6% B AUG 1 2013 DTD 6/30/2003	8/1/2013	15,000	16,720
285661AD6	ELECTRONIC DATA SYSTEMS NOTES 6% B AUG 1 2013 DTD 6/30/2003	8/1/2013	65,000	72,452
27805DD1	EATON CORP NOTES 5 6% MAY 15 2018 DTD 05/20/2008 A- /A3	5/15/2018	45,000	49,858
792860AH1	ST PAUL TRAVELERS 5 1/2% DEC 1 2015 DTD 11/28/2005	12/1/2015	25,000	28,061
071813AY5	BAXTER INTERNATIONAL INC 5 3/8% JUN 01 2018 DTD 05/22/2008 A+ /A3	6/1/2018	45,000	50,383
89417EAF8	TRAVELLERS COS INC SR NOTES 5 9% JUN 02 2019 DTD 06/02/2009 A- /A2	6/2/2019	45,000	50,694
670346AG0	NUCOR CORPORATION NOTES 5 3/4% DEC 01 2017 DTD 12/03/2007 A /A2	12/1/2017	45,000	50,707
031162AZ3	AMGEN INC SR NOTES 5 7% FEB 01 2009 DTD 1/16/2009	2/1/2019	45,000	51,161
983024AM2	WYETH 5 45% APR 01 2017 DTD 03/27/2007 AA /A1	4/1/2017	15,000	16,948
438518AP1	HONEYWELL INTERNATIONAL 5 4% MAR 15 2016 DTD 03/14/2006 A /A2	3/15/2016	45,000	50,941
983024AJ9	WYETH 5 12% FEB 15 2018 DTD 11/14/2005	2/15/2016	15,000	16,969

983024AJ9	WYETH 5 1/2% FEB 15 2018 DTD 11/14/2005 AA /A1	2/15/2016	45,000	50,907
14912L4F5	CATERPILLAR FINACIAL SE MEDIUM TERM NOTE 6 1/8% FEB 17 2014 DTD 02/12/2009	2/17/2014	30,000	33,727
25243YAM1	DIAGEO CAPITAL PLC NOTES 5 3/4% OCT 23 2017 DTD 10/26/2007	10/23/2017	45,000	51,039
88389XAC9	ORACLE CORP 5 3/4% APR 15 2018 DTD 04/09/2008 A /A2	4/15/2018	45,000	51,478
17275RAC6	CISCO SYSTEMS NOTES 5 1/2% FEB 22 2018 DTD 2/22/2008 A+ /A1	2/22/2018	45,000	51,350
00206RAP7	AT&T CORP 6 7% NOV 15 2013 DTD 11/17/2008	11/15/2013	30,000	34,085
00206RAP7	AT&T CORP 6 7% NOV 15 2013 DTD 11/17/2008	11/15/2013	135,000	153,383
26875PAA9	EOF RESOURCES INC 5 7/8% SEP 15 2017 DTD 09/10/2007 A- /A3	9/15/2017	45,000	51,031
20825CAR5	CONOCOPHILLIPS NOTES 5 3/4% FEB 01 2009 DTD 02/03/2009	2/1/2019	65,000	74,075
263534BT5	E I DU PONT DE NEMOURS SR NOTES 6% JUL 15 2018 DTD 07/28/2008 A /A2	7/15/2018	45,000	51,741
69373UAA5	PACCAR INC NOTES 6 7/8% FEB 15 2014 DTD 02/13/2009	2/15/2014	20,000	22,941
69373UAA5	PACCAR INC NOTES 6 7/8% FEB 15 2014 DTD 2/13/2009	2/15/2014	45,000	51,618
459200AL5	INTERNATIONAL BUSINESS MACHINES CORP 7 1/2% JUN 15 2013 DTD 8/15/93	6/15/2013	30,000	34,490
10138MAH8	BOTTLING GROUP LLC 6 95% MAR 15 2014 DTD 10/24/2008 A /AA3	3/15/2014	45,000	52,146
494368AT0	KIMBERLY CLARK CORP 6 1/4% JUL 15 2018 DTD 7/28/98 A /A2	7/15/2018	45,000	53,154
38141EA25	GOLDMAN SACHS GROUP INC SR NOTES 7 1/2% FEB 15 2009 DTD 02/05/2009	2/15/2019	73,000	85,117
89352HAF6	TRANS-CANADA PIPELINES SR NOTES 6 1/2% AUG 15 2018 DTD 8/11/2008	8/15/2018	45,000	53,116
302570BC9	FPL GROUP CAPITAL INC 7 7/8% DEC 15 2015 DTD 12/12/2008 BBB /BAA	12/15/2015	45,000	54,250
14912L4E8	CATERPILLAR FINACIAL SE MEDIUM TERM NOTE 7 15% FEB 15 2019 DTD 2/12/2009	2/15/2019	65,000	79,928
001055AC6	AFLAC INC SR NOTES 8 1/2% MAY 15 2019 DTD 05/21/2009 A- /A2	5/15/2019	45,000	55,642
828807CA3	IMON PROPERTY GROUP LP SR NOTES 10 35% APR 01 2019 DTD 03/25/2009 A- /A3S	4/1/2019	40,000	54,888
637432LR4	NATIONAL RURAL UTIL CORP 10 3/8% NOV 01 2018 DTD 10/30/2008	11/1/2018	35,000	48,280
31404ADW1	FANNIE MAE 5 5% NOV 01 2018 DTD 12/01/2003 POOL NO 762517	11/1/2018	56,800	61,229
922042742	VANGUARD INTL EQUITY INDEX F		283,210	13,493,237
4812C1330	JPMORGAN SHORT DURATION BOND FUND		192,449	2,111,181
US26817G3002	DYNEGY INC		43	242
92343VAJ3	VERIZON COMMUNICATIONS 4 35% FEB 15 2013 DTD 02/12/2008	2/15/2013	15,000	15,951
22541LAB9	CREDIT SUISSE FB USA INC NOTES 6 1/8% NOV 15 2011 DTD 11/6/2001	11/15/2011	95,000	99,538
030628AD3	AMERICREDIT AUTOMOBILE RECEIVABLES TR 2008-A-F NT CL A-3 5 88% DUE 12/12/2012	12/12/2012	29,970	30,331
41283UAD7	HARLEY-DAVIDSON MOTORCYCLE TRUST SER 2007-1 CL A4 5 21% JUN 15 2013 DTD 01/31/2007	6/15/2013	18,566	18,952
233882AF6	DAIMLER CHRYSLER AUTO TRUST SER 2007-A CL A4 5 28% MAR 08 2013 DTD 11/21/2007	3/8/2013	58,494	59,020
44920XAD4	HYUNDAI AUTO RECEIVABLES TR 2008-A NT CL A-3 4 93% DUE 12/17/2012	12/17/2012	34,043	34,708
41283VAD5	HARLEY DAVIDSON MOTORCYCLE TR 2007-2 MOTORCYCLE CONTRACT BKD NT CL A-45 07% DUE 08/15/2013	8/15/2013	35,399	36,338
90327YAD4	USAA AUTO OWNER TRUST SER 2009-2 CL A4 2 53% JUL 15 2015	7/15/2015	20,000	20,596
03063TAF1	AMERICREDIT AUTOMOBILE RECEIVABLES TRUST SER 2007-DF CL A4A 5 56% JUN 06 2014 DTD 09/20/2007	6/6/2014	48,826	51,143
31395CTG6	FREDDIE MAE SER 2102 CL TC 6% DEC 15 2013 DTD 12/01/1998	12/15/2013	28,104	29,557
31392XBT4	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2828 CL JE4 50% DUE 07/15/2019	7/15/2019	70,000	75,119
31392EFL9	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2513 CL2513-JE 5 00% DUE 10/15/2017	10/15/2017	71,547	76,347
31395CRQ6	FANNIE MAE SER 2002-55 CL GC 5 5% SEP 25 2017 DTD 08/01/2002	9/25/2017	17,606	19,163
31392V5U2	FREDDIE MAC SER 2825 CL-VP 5 5% JUN 15 2015 DTD 07/01/2004	6/15/2015	113,850	123,928
31394BG81	FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2498 CL PES 50% DUE 09/15/2017	9/15/2017	88,232	74,369
31398ABX9	FNMA SER 2004-101 CL HD JAN 25 2020 DTD 12/01/2004	1/25/2020	85,000	91,943
3137EABS7	FANNIE MAE NOTES 4 7/8% MAY 18 2012 DTD 05/18/2007	5/18/2012	85,000	90,071
31344AUU6	FREDDIE MAC NOTES 4 1/8% SEP 27 2013 DTD 08/20/2008	9/27/2013	75,000	81,272
31359MA45	FREDDIE MAC NOTES 5% JUL 15 2014 DTD 7/16/2004	6/15/2014	90,000	100,876
20034DHW2	FANNIE MAE 10Y GLOBAL 5% APR 15 2015 DTD 3/30/2005	4/15/2015	50,000	56,595
3128H7UF7	COMERICA BANK FLOATING RATE NOTE MAY 22 2012 DTD 05/22/2007 A /A1	5/22/2012	41,000	40,889
3128MMA68	FED HOME LN MTG CORP PARTN CTFS GROUP NBR E9-95825 00% DUE 09/01/2018	9/1/2018	108,574	115,784
312963Q20	FREDDIE MAC GOLD PARTIC CTF 5 5% AUG 01 2019 DTD 08/01/2004 POOL NO G18006	8/1/2019	96,203	103,763
31371KWF4	FREDDIE MAC GOLD PARTIC CTF 5 5% DEC 01 2018 DTD 12/01/2003 POOL NO B11373	12/1/2018	96,380	103,952
36202DWA5	FANNIE MAE 5 5% DEC 01 2017 DTD 11/01/2002 POOL NO 254546	12/1/2017	64,756	69,856
31283KSU3	GNMA II 5 5% FEB 20 2018 DTD 02/01/2003 POOL NO 3341	2/20/2018	67,855	73,370
31390LV29	FREDDIE MAC GOLD PARTIC CTF 6% FEB 1 2018 DTD 7/1/2003 POOL NO G11431	2/1/2018	76,873	83,755
	FANNIE MAE 6 5% AUG 01 2017 DTD 07/01/2002 POOL NO 649633	8/1/2017	52,739	57,709
	OTHER MISCELLANEOUS FUNDS			
Total - Corporate Bonds				23,191,256

ATTACHMENT 12FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
TITAN PARTNERS, LP	40,677,938.	54,619,662.	54,619,662.
OAKTREE HIGH YIELD FUND, LP	11,517,844.	10,374,062.	10,374,062.
TOTALS	<u>52,195,782.</u>	<u>64,993,724.</u>	<u>64,993,724.</u>

ATTACHMENT 13FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ACCRUED INT. & DIV. RECEIVABLE	122,259.	100,575.	100,575.
TOTALS	<u>122,259.</u>	<u>100,575.</u>	<u>100,575.</u>

ATTACHMENT 14FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
CONTRIBUTION COMMITMENT PAYABL	3,969,167.	4,847,667.
TAXES PAYABLE	41,564.	56,600.
TOTALS	<u>4,010,731.</u>	<u>4,904,267.</u>

ATTACHMENT 15FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN/LOSS ON SALE OF INVEST.	16,627,393.
UNREALIZED GAIN/LOSS ON SALE OF INVEST.	16,644.
ACCRUAL TO CASH ADJ- DIV & INT. INCOME	-21,684.
TOTAL	<u>16,622,353.</u>

THE CHARLES ENGELHARD FOUNDATION

22-6063032

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 16

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS		EXPENSE ACCT AND OTHER ALLOWANCES
			TO EMPLOYEE	BENEFIT PLANS	

EDWARD G. BEIMFOHR
645 FIFTH AVENUE
NEW YORK, NY 10022
TREASURER 40.00 *

0. 0. 0.

0.

TIME DEVOTED TO POSITION REFLECTS ANNUAL HOURS.

SALLY E. PINGREE
645 FIFTH AVENUE
NEW YORK, NY 10022
TRUSTEE 100.00 *

0. 0. 0.

0.

TIME DEVOTED TO POSITION REFLECTS ANNUAL HOURS.

ANNE E. DE LA RENTA
645 FIFTH AVENUE
NEW YORK, NY 10022
TRUSTEE 100.00 *

0. 0. 0.

0.

TIME DEVOTED TO POSITION REFLECTS ANNUAL HOURS.

SUSAN O'CONNOR
645 FIFTH AVENUE
NEW YORK, NY 10022
TRUSTEE 100.00 *

0. 0. 0.

0.

TIME DEVOTED TO POSITION REFLECTS ANNUAL HOURS.

SOPHIE ENGELHARD CRAIGHEAD
645 FIFTH AVENUE
NEW YORK, NY 10022
TRUSTEE 100.00 *

0. 0. 0.

0.

TIME DEVOTED TO POSITION REFLECTS ANNUAL HOURS

THE CHARLES ENGELHARD FOUNDATION

22-6063032

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 16 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CHARLENE B. ENGELHARD 645 FIFTH AVENUE NEW YORK, NY 10022 TIME DEVOTED TO POSITION REFLECTS ANNUAL HOURS.	TRUSTEE * 100.00	0.	0.	0.
MARY OGORZALY 645 FIFTH AVENUE NEW YORK, NY 10022 TIME DEVOTED TO POSITIONS REFLECTS ANNUAL HOURS.	SECRETARY 40.00	75,164.	24,351.	0.
ANTHONY GOSTOWSKI 645 FIFTH AVENUE NEW YORK, NY 10022	ASSISTANT TREASURER 11.00	114,318.	19,855.	
GRAND TOTALS		189,482.	44,206.	0.

* Time devoted to position represents annual hours.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 17

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
JP MORGAN 270 PARK AVENUE NEW YORK, NY 10017	INVESTMENT ADVISOR	59,626.
TITAN PARTNERS, LP 400 CONNELL DRIVE, SUITE 500 BERKELEY HEIGHTS, NJ 07078	MANAGEMENT FEE	252,167.
KPMG LLP 345 PARK AVENUE NEW YORK CITY, NY 10154-0102	ACCOUNTING FEES	71,856.
EDWARD G. BEIMFOHR C/O WML&M LLP 156 WEST 56TH STREET NEW YORK, NY 10019	LEGAL FEES	34,750.
OCM HIGH YIELD LIMITED PARTNERSHIP 333 SOUTH GRAND AVENUE, 28TH FLOOR LOS ANGELES, CA 90071	MANAGEMENT FEES	59,804.
TOTAL COMPENSATION		<u>478,203.</u>

FORM 990FE. PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 18

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE ATTACHED

NONE

501(C)(3)

CHARITABLE

9,943,350

TOTAL CONTRIBUTIONS PAID

9,943,350

THE CHARLES ENGELHARD FOUNDATION

Schedule of Contributions Paid*

Year ended December 31, 2010

Organization	Total
Education	
A Carousel for Missoula	\$ 5,000
A Living Memorial to the Holocaust	100,000
A.C.N.M. Foundation, Inc	20,000
ACTIVE MINDS INC	75,000
Africa Schoolhouse Foundation	10,000
American Friends of the Louvre, Inc	15,000
American Indian Institute	40,000
Association of American Colleges and Univ	721,500
Bishop George Ahr High School	1,750
Bitterroot Therapeutic Riding	2,500
Boston College	3,500
Boston Early Music Festival Inc	2,000
Brown University	150,000
Building a Global Community NFP	73,000
California Academy of Science	10,000
Center of Wonder	10,000
Collective Heritage Institute	50,000
Colonnades Theatre Lab, Inc	100,000
Community Center For The Arts	10,000
Community Foundation of Jackson Hole	12,500
Community Library Assoc, Inc K,SV&T	7,500
Community Visual Art Assoc of J H	10,000
Concord Museum	10,000
Cross Cultural Journeys Foundation	50,000
D.C. Wheel Productions, Inc	5,000
Dancer's Workshop	25,000
Dilgo Khyentse Fellowship	25,000
Dying to Live Again Foundation Inc	30,000
Edith Kanakaole Foundation	10,000
Edith Kanakaole Foundation	20,000
Families First Parenting Programs Inc	100,000
Families First/Missoula	200,000
Gaining Ground, Inc	5,000
Garden City Harvest Inc	55,000
Georgetown University	350,000
Hana Retreat	100,000
Honolulu Academy of Arts	2,500
Horse Warners	20,000
International Wildlife Film Festival	30,000
Intersect Fund Corporation	1,000
J. Wood Platt Caddie Scholarship Trust	1,000
Jackson Hole Community School	297,000
Jackson Hole Music Experience	2,000
Ma Ka Hana Ka Iki Building Program	15,000
Middlesex School	200,000
Midland School Corporation	10,000
Missoula Art Museum	50,000
Missoula Family YMCA	2,500
Missoula Writing Collaborative	50,000
Montana Natural History Center	5,000
National Gallery of Art	130,000
National Geographic Society	50,000
National Museum of Wildlife Art	9,000
National Symphony Orchestra	20,000
New York Studio School	20,000
Opportunity Program	3,000
Oregon College of Art and Craft	125,000
Orion Society	100,000
Pacific Writers Connection	50,000
Partners	10,000
PEN American Center	2,500
Policy Institute	5,000
Pratt Institute	15,000
Red Sox Foundation	75,000
Room to Read	7,000
Rutgers University Foundation	300
Saint Joseph's University	2,500
Salt Lake City Film Center	25,000
Save Historic Jackson Hole	10,000
Second Stage Theatre	25,000
Shady Hill School	10,000
St. Andrew's School of Delaware, Inc	150,000
Sun Valley Performing Arts Center	2,500
Sundance Institute for Film	200,000
Teatro de la Luna	2,000
The Carter Center, Inc	200,000
The Colorado College	166,667
The Potomac School	100,000
The Rockefeller University	35,000
The Sunshine School	10,000
The Thomas Berry Foundation	25,000
Tributary Fund	10,000
Trisha Brown Dance Company, Inc	25,000

THE CHARLES ENGELHARD FOUNDATION

Schedule of Contributions Paid*

Year ended December 31, 2010

Organization	Total
U S Ski Team Foundation	20,000
United States Department of State	50,000
University of Vermont	100,000
Vermont Institute on the Caribbean	50,000
Washington Ballet	5,000
Washington Choral Foundation, Inc	10,000
Washington University	6,000
Women Opportunity Resource Development In	30,000
Young at Heart Workshop	15,640
YWCA - Missoula	7,500
Total Education	5,014,857
Medical	
A C N M Foundation, Inc	\$ 20,000
Beth Israel Deaconess Medical Center	50,000
Calvary Hospital	10,000
Colorado State University Foundation	220,000
Delta Society	50,000
Doctors Without Borders USA, Inc	240,000
Integrative Healthcare Foundation, Inc	10,000
MA General Hospital	50,000
McLaughlin Research Institute	50,000
McLean Hospital	75,000
Memorial Sloan-Kettering Cancer Center	60,000
Mercy Corps	20,000
Missoula Medical Aid, Inc	20,000
Morristown Memorial Health Foundation	1,500
Multiple Myeloma Research Foundation	1,000
Partners in Health	100,000
Richard J Caron Foundation	5,000
River Discovery Inc	5,000
Save the Waves Coalition	15,000
Soft Bones Inc	2,500
Sun Valley Wellness Institute Inc	10,000
Surf Aid International USA	5,000
Susan G Komen Breast Cancer Fdn	500
The Children's Medical Center Corp	50,000
The Christopher Reeve Paralysis Fdn	3,000
The Institute for Ethnomedicine Inc	50,000
Trustees of Columbia University	290,000
Total Medical	1,413,500
Religious	
Archdiocese of Washington, D C	\$ 160,000
Bon Shen Ling	50,000
Cathedral of Saint Patrick	25,000
Community Foundation of Jackson Hole	20,000
Compassionate Service Society	20,000
Diocese of Metuchen	19,375
Equestrian Order of the Holy Sepulchre	1,200
Ewam	100,000
International Campaign for Tibet	10,000
Karen Tekakwitha Mission	5,000
L'Arche Inc	10,000
Monastery of Christ in the Desert	71,000
Pontifical Mission Societies	1,250
Saint Luke Institute	10,000
Shedrub Development Fund	25,000
St Bartholomew Church	3,000
St Bartholomew/St. Vincent De Paul	1,000
St Francis of Assisi Church	10,000
St Mary's Church	10,000
St Paul's Church	10,000
The Chalice of Repose Project	10,000
The Metuchen Congregation of the Oratory	1,000
Total Religious	572,825

THE CHARLES ENGELHARD FOUNDATION

Schedule of Contributions Paid*

Year ended December 31, 2010

Organization	Total
Wildlife and Environmental	
African People & Wildlife Fund	\$ 10,000
Alliance for the Wild Rockies	30,000
Animal Adoption Center Inc	5,000
Animal Humane Association of Star Valley,	50,000
ASPCA	10,000
Atlantic Salmon Federation	51,416
Bear Trust International	10,000
Blackfoot Challenge Inc	5,000
Canines Helping Independent People, Inc	5,000
Clark Fork Coalition	100,000
Clean Air Cool Planet Inc	10,000
Community Foundation of Jackson Hole	15,000
Craghead Beringia South	25,000
Craghead Beringia South	70,000
Earthjustice Legal Defense Fund	35,000
Ecotrust	50,000
Farm Sanctuary Inc	3,750
Friends of the OSA	50,000
Friends of Two Rivers, Inc	5,000
Grand Teton National Park Foundation	220,000
Jackson Hole Conservation Alliance	25,000
Jackson Hole wildlife Film Festival	20,000
Keep Yellowstone Nuclear Free	10,000
Montana Wilderness Association	10,000
MSPCA - Angell	100,000
National Wildlife Federation	50,000
Natural Resources Defense Council	54,500
Ocean Foundation	44,000
PAWS of Jackson Hole	25,000
Peconic Baykeeper Inc	25,000
Peconic Land Trust	10,000
Peregrine Fund, Inc.	60,000
Rock Creek Trust/Five Valley Land Trust	5,000
The Merwin Conservancy	10,000
The Nature Conservancy of Hawaii	100,000
Total Wildlife and Environmental	1,308,666

THE CHARLES ENGELHARD FOUNDATION

Schedule of Contributions Paid*

Year ended December 31, 2010

Organization	Total
Other	
Animal Humane Association of Star Valley,	\$ 20,000
City Harvest	5,001
Citymeals-on-wheels	10,000
Coalition for the Homeless, Inc	25,000
Community Fdn of Western North Carolina	1,000
Community Foundation of Jackson Hole	70,000
E F Schumacher Society	2,500
Hawaii Community Foundation	30,000
Homeward Inc	10,000
Ketchum/Sun Valley Firefighters Assoc	1,000
Massachusetts Correctional Legal Svcs	1,000
Mauu Adult Day Care Center	28,000
Missoula Cultural Council Inc	3,000
Missoula Food Bank	15,000
Mustard Seed Communities Inc	5,000
New York City Police Foundation	5,001
Northwest Organization for Animal Help	10,000
Ohana Makanae, Inc.	100,000
Poverello Center Inc	15,000
PSDRS	40,000
Refugees International	165,000
Resources First Foundation	15,000
Rosalie Hall, Inc	5,000
Rosie's Place, Inc	5,000
Save the Children	570,000
The Friends of St Josephs Food Pantry	5,000
U S Fund for UNICEF	400,000
United Way of Lee County, Inc	12,000
Watson Childrens Shelter Inc	50,000
Youth Homes Inc	10,000
Total Other	<u>1,633,502</u>
Total Contributions Paid*	<u>\$ 9,943,350</u>

* Represents contributions paid during the year

FORM 990FF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 19

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

RECIPIENT NAME AND ADDRESS

SEE ATTACHED STATEMENT

NONE

501 (C) (3)

CHARITABLE

11,808,167.

TOTAL CONTRIBUTIONS APPROVED

11,808,167.

ATTACHMENT 19A

NAME OF ORGANIZATION	2008	2009	2010	2011	2012	2013	2014	Total
A C N M Foundation, Inc				20,000				20,000
AACU - Bringing Theory to Practice				721,500				721,500
Archdiocese of Washington DC	20,000	40,000	40,000					100,000
Bearfoot Artists				5,000				5,000
Beth Israel Deaconess Medical Ctr				50,000	50,000			100,000
Brown University				150,000				150,000
Children's Hospital Boston				50,000				50,000
Collective Heritage Inst - Bioneers				50,000				50,000
Colorado College				83,334				83,334
Colorado College				83,333				83,333
Columbia University - Medical Center				232,000	232,000	232,000	464,000	1,160,000
CSU - Animal Cancer Center				200,000	200,000	200,000		600,000
Earth Justice				35,000	35,000			70,000
Ecoltrust				50,000				50,000
Family First - Missoula				100,000	50,000			150,000
Five Valley's Land Trust				5,000				5,000
Friends of the Osa				50,000				50,000
Georgetown University				100,000	350,000	250,000	1,200,000	1,900,000
Georgetown University				350,000	100,000	100,000	200,000	750,000
Grand Teton National Park Foundation				20,000	20,000			40,000
Hana Retreat/ Ala Kukui				50,000				50,000
Inst for Ethnomedicine				50,000	50,000			100,000
Jackson Hole Community School				250,000	25,000			500,000
Jackson Hole Conservation Alliance				25,000	25,000			50,000
Jackson Hole Wildlife Film Festival				20,000	20,000			40,000
L'Arche Inc				10,000	10,000	10,000		30,000
McLaughlin Research Inst				50,000				50,000
Middlesex School				200,000				200,000
MSPCA - Angell				100,000				100,000
National Gallery of Art				100,000	100,000	100,000	400,000	700,000
Oregon College of Art and Craft				125,000	125,000	125,000		375,000
Oregon College of Art and Craft				125,000	125,000	125,000	125,000	500,000
ORION				100,000	100,000			200,000
Pen America				2,500	2,500			5,000
Red Sox Foundation				40,000	30,000	25,000	25,000	120,000
S Engelhard Center				160,000				160,000
SLC Film Center				25,000				25,000
St Andrew's School - Annual Fund				50,000	50,000			100,000
St Andrew's School - Crossroad				100,000	100,000	100,000	250,000	550,000
St Luke Inst				5,000				5,000
The Nature Conservancy - MT				50,000	50,000	50,000		150,000
The Policy Institute				5,000	5,000			10,000
The Potomac School				100,000				100,000
UNICEF				500,000	250,000			750,000
US Dept of State - Diplomatic Rooms				150,000	150,000	150,000	350,000	800,000
Totals	20,000	40,000	40,000	4,747,667	2,479,500	1,467,000	3,014,000	11,808,167

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2010

Name of estate or trust **THE CHARLES ENGELHARD FOUNDATION**
C/O ENGELHARD HANOVIA

Employer identification number
22-6063032

Note: Form 5227 filers need to complete **only** Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	191,411.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	191,411.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	8,979,367.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	8,979,367.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part**

	(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		191,411.
14 Net long-term gain or (loss):			
a Total for year	14a		8,979,367.
b Unrecaptured section 1250 gain (see line 18 of the wrkst)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14a	15		9,170,778.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16	()
a The loss on line 15, column (3) or b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20 Add lines 18 and 19	20		
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21		
22 Subtract line 21 from line 20. If zero or less, enter -0-	22		
23 Subtract line 22 from line 17. If zero or less, enter -0-	23		
24 Enter the smaller of the amount on line 17 or \$2,300	24		
25 Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23.	25		
26 Subtract line 25 from line 24.	26		
27 Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29 Subtract line 28 from line 27	29		
30 Multiply line 29 by 15% (15)		30	
31 Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).		31	
32 Add lines 30 and 31		32	
33 Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).		33	
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)		34	

Schedule D (Form 1041) 2010

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

▶ **Attach to Schedule D to list additional transactions for lines 1a and 6a.**

2010

Name of estate or trust

THE CHARLES ENGELHARD FOUNDATION

Employer identification number

22-6063032

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	191,411.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

JSA
0F1221 1 000

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436624

PAGE 42

Employer identification number

[illegible]

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b	8,979,367.
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**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization	THE CHARLES ENGELHARD FOUNDATION	Employer identification number
	C/O ENGELHARD HANOVIA		22-6063032
	Number, street, and room or suite no. If a P.O. box, see instructions	OLYMPIC TOWERS 645 FIFTH AVENUE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	NEW YORK, NY 10022	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ MARY OGORZALY

Telephone No. ▶ 212 935-2430FAX No. ▶ 212 935-2434

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for.
- ▶ ☒ calendar year 20 10 or
- ▶ ☐ tax year beginning , 20 , and ending , 20

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 141,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 56,000.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 85,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2011)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box. ☒ **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization	THE CHARLES ENGELHARD FOUNDATION	Employer identification number
		C/O ENGELHARD HANOVIA	22-6063032
	Number, street, and room or suite no. If a P.O. box, see instructions	OLYMPIC TOWERS 645 FIFTH AVENUE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	NEW YORK, NY 10022	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☒ **MARY OGORZALY**
Telephone No. 212 935-2430 FAX No. 212 935-2434
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until 11/15, 20 11.
- For calendar year 2010, or other tax year beginning 20, and ending 20.
- If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- State in detail why you need the extension **INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	141,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	141,000.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature *Jan Gy* Title CPA Date 8/9/11

Form 8868 (Rev 1-2011)