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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , 2010, and ending ,

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation

JEFFREY I SIMONS FOUNDATION INC

Number and street (or P.O. box number if mail is not delivered to street address)

4799 SANCTUARY LANE

Room/suite

City or town

BOCA RATON

State ZIP code

FL 33431

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, column (c), line 16)

\$ 754,927.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

A Employer identification number

22-3770537

B Telephone number (see the instructions)

(212) 741-3600

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (att sch)

50,000.

2 Ck ☐ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

259.

259.

4 Dividends and interest from securities

20,482.

20,482.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

3,293.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

70,741.

24,034.

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other prof fees (attach sch)

2,216.

2,216.

17 Interest

18 Taxes (attach schedule) (see instr)

990PF

260.

260.

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

2,476.

2,476.

25 Contributions, gifts, grants paid

41,170.

41,170.

26 Total expenses and disbursements. Add lines 24 and 25

43,646.

2,476.

41,170.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

27,095.

b Net investment income (if negative, enter -0-)

21,558.

c Adjusted net income (if negative, enter -0-)

REVENUE

ADMINISTRATIVE AND OPERATING EXPENSES

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	115,020.	157,963.	157,963.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule) L-10a Stmt	26,444.	76,143.	76,630.
	b Investments — corporate stock (attach schedule)	313,138.	0.	0.
	c Investments — corporate bonds (attach schedule) L-10c Stmt	247,135.	498,019.	520,334.
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see instructions Also, see page 1, item I)	701,737.	732,125.	754,927.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)			
N E T A S S E T S O R F U N D B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	701,737.	732,125.	
	30 Total net assets or fund balances (see the instructions)	701,737.	732,125.	
	31 Total liabilities and net assets/fund balances (see the instructions)	701,737.	732,125.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	701,737.
2 Enter amount from Part I, line 27a	2	27,095.
3 Other increases not included in line 2 (itemize) CAPITAL GAINS	3	3,293.
4 Add lines 1, 2, and 3	4	732,125.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	732,125.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	30 SH BCE INC	P	01/12/10	01/20/10
b	10 SH IBM	P	01/12/10	01/20/10
c	50 SH NSTAR	P	01/12/10	01/20/10
d	140 SH SPECTRA ENERGY CORP	P	01/12/10	01/20/10
e	See Columns (a) thru (d)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 797.		813.	-16.
b 1,298.		1,304.	-6.
c 1,802.		1,791.	11.
d 3,199.		2,962.	237.
e See Columns (e) thru (h)		621,267.	3,067.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-16.
b			-6.
c			11.
d			237.
e See Columns (i) thru (l)			3,067.

2 Capital gain net income or (net capital loss).	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	3,293.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009		683,950.	
2008	29,650.	646,110.	0.045890
2007	31,010.	550,938.	0.056286
2006	32,070.	641,698.	0.049977
2005	17,629.	385,596.	0.045719

2 Total of line 1, column (d)	2	0.197872
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049468
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	676,205.
5 Multiply line 4 by line 3	5	33,451.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	216.
7 Add lines 5 and 6	7	33,667.
8 Enter qualifying distributions from Part XII, line 4	8	41,170.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instr.)		1	216.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	216.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	216.
6 Credits/Payments:			
a 2010 estimated tax pmts and 2009 overpayment credited to 2010	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	216.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.	
11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) <input type="checkbox"/>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>	X	

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Part VII-A Statements Regarding Activities (Continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>JEFFREY SIMONS</u> Telephone no. <u>(212) 741-3600</u> Located at <u>4799 SANCTUARY LANE BOCA RATON FL</u> ZIP + 4 <u>33431</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2 b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If 'Yes' to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEFFREY SIMONS 4799 SANCTUARY DRIVE BOCA RATO FL 33431	PRESIDENT 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
0				
0				
0				
0				

Total number of other employees paid over \$50,000

None

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	686,503.
b Average of monthly cash balances	1b	
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	686,503.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	686,503.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	10,298.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	676,205.
6 Minimum investment return. Enter 5% of line 5	6	33,810.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	33,810.
2a Tax on investment income for 2010 from Part VI, line 5	2a	216.	
b Income tax for 2010. (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b		2c	216.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	33,594.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	33,594.
6 Deduction from distributable amount (see instructions)		6	
7 Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	33,594.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	41,170.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	41,170.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	216.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	40,954.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				33,594.
2 Undistributed income, if any, as of the end of 2010.				
a Enter amount for 2009 only			33,938.	
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2010.				
a From 2005	17,980.			
b From 2006	32,350.			
c From 2007	0.			
d From 2008	0.			
e From 2009	36,480.			
f Total of lines 3a through e	86,810.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 41,170.				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2010 distributable amount				
e Remaining amount distributed out of corpus	41,170.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	127,980.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount — see instructions			33,938.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				33,594.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)	17,980.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	110,000.			
10 Analysis of line 9				
a Excess from 2006	32,350.			
b Excess from 2007	0.			
c Excess from 2008	0.			
d Excess from 2009	36,480.			
e Excess from 2010	41,170.			

BAA

Form 990-PF (2010)

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

[illegible]

1 Information Regarding Foundation Managers:

JEFFREY SIMONS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
PLANNED PARENTHOOD 434 West 33rd Street NEW YORK NY 10001	-		HUMANITARIAN	1,000.
KRAVIS CENTER 701 Okeechobee Boulevard WEST PALM BEACH FL 33401	-		HUMANITARIAN	100.
AMERICA CARES 88 Hamilton Ave. STAMFORD CT 06902	-		COMMUNITY	2,000.
OPERATION SMILE 6435 TIDEWATER DRIVE NORFOLK VA 23509	-		MEDICAL	1,200.
SAVE THE CHILDREN 54 Wilton Road WESTPORT CT 06880	-		PHILANTHROPIC	7,720.
EASTERN CT STATE UNIVERSITY 83 Windham Street WILLIMANTIC CT 06226	-		EDUCATION	5,000.
MAHWAH FIRE DEPT 52 Fardale Avenue, MAHWAH NJ 07430	-		COMMUNITY	100.
UNIVERSITY OF THE SCIENCES 600 South 43rd Street PHILADELPHIA PA 19104	-		EDUCATION	5,000.
UNIVERSITY OF WISCONSIN LAW SCHOOL 975 Bascom Mall MADISON WI 53706	-		EDUCATION	5,000.
See Line 3a statement				14,050.
Total			3a	41,170.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					0.
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)					0.
13	Total. Add line 12, columns (b), (d), and (e)					0.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

2010

Name of the organization.

JEFFREY I SIMONS FOUNDATION INC

Employer identification number

22-3770537

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc. purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc. purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc. contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

Employer identification number

JEFFREY I SIMONS FOUNDATION INC

22-3770537

Part I Contributors (see instructions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JEFFREY SIMONS 4799 SANCTUARY LANE WEST PALM BEACH FL 33413	\$ 50,000.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

JEFFREY I SIMONS FOUNDATION INC

22-3770537

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	\$25,000 FACE VALUE CHICAGO IL WTR REV BONDS		
		\$ 25,000.	11/24/10
1	\$25,000 DALLAS CNTY TAX COMMUNITY COLLEGE REF BONDS		
		\$ 25,000.	11/24/10
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
60 SH BCE INC	P	11/12/09	04/27/10
140 SH BCE INC	P	01/12/10	04/27/10
200 SH CENTRAL FUND OF CANADA LTD	P	01/12/10	04/27/10
250 SH MARSH AND MCLENNAN INC	P	11/12/09	04/27/10
110 SH RAYTHEON CO	P	01/12/10	04/27/10
20 SH SPECTRA ENERGY GROUP	P	01/12/10	04/27/10
60 SH WAL-MART STORES INC	P	01/12/10	04/27/10
90 SH CENTRAL FUND OF CANADA	P	01/12/10	05/11/10
30 SH CENTRAL FUND OF CANADA	P	11/12/09	05/11/10
120 SH GOLDCORP INC	P	11/12/09	05/11/10
20 SH GOLDCORP INC	P	09/11/09	05/11/10
10 SH DEVON ENERGY CORP	P	01/12/10	05/11/10
30 SH NSTAR	P	01/12/10	05/11/10
40 SH MONSANTO CO	P	05/28/09	05/12/10
30 SH MONSANTO CO	P	01/12/10	05/12/10
90 SH MONSANTO CO	P	09/11/09	05/12/10
80 SH MONSANTO CO	P	11/12/09	05/12/10
80 SH BAYER AG	P	01/12/10	05/20/10
70 SH BAYER AG	P	11/12/09	05/20/10
190 SH BCE INC	P	11/12/09	05/20/10
140 SH CENTRAL FUND OF CANADA	P	09/11/09	05/20/10
160 SH CENTRAL FUND OF CANADA	P	06/10/09	05/20/10
390 SH CENTRAL FUND OF CANADA	P	11/12/09	05/20/10
60 SH FOSTER WHEELER	P	12/18/09	05/20/10
70 SH FOSTER WHEELER	P	01/12/10	05/20/10
30 SH GOLDCORP INC	P	05/28/09	05/20/10
30 SH GOLDCORP INC	P	09/11/09	05/20/10
150 SH GOLDCORP INC	P	01/12/10	05/20/10
20 SH PARTNERRE LTD	P	09/11/09	05/20/10
100 SH PARTNERRE LTD	P	01/12/10	05/20/10
70 SH PARTNERRE LTD	P	11/12/09	05/20/10
120 SH PETROLEO BRASILEIRO	P	11/12/09	05/20/10
80 SH PETROLEO BRASILEIRO	P	01/12/10	05/20/10
40 SH POTASH CORP	P	06/18/09	05/20/10
10 SH POTASH CORP	P	11/12/09	05/20/10
20 SH POTASH CORP	P	01/12/10	05/20/10
110 SH SYNGENTA AG	P	11/12/09	05/20/10
60 SH SYNGENTA AG	P	01/12/10	05/20/10
60 SH SYNGENTA AG	P	09/11/09	05/20/10
320 SH ALLIANT ENERGY CORP	P	01/20/10	05/20/10
140 SH AMGEN CORP	P	05/11/10	05/20/10
10 SH DEVON ENERGY CORP	P	05/28/09	05/20/10
30 SH DEVON ENERGY CORP	P	09/11/09	05/20/10
80 SH DEVON ENERGY CORP	P	11/12/09	05/20/10
40 SH DEVON ENERGY CORP	P	01/12/10	05/20/10
30 SH IBM	P	11/12/09	05/20/10
10 SH IBM	P	09/11/09	05/20/10
30 SH IBM	P	01/12/10	05/20/10
330 SH MARSH & MCLENNAN INC	P	01/12/10	05/20/10
10 SH MARSH & MCLENNAN INC	P	09/11/09	05/20/10
340 SH MICROSOFT CORP	P	04/27/10	05/20/10
170 SHNSTAR	P	11/12/09	05/20/10

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Continued

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
60 SH OCCIDENTAL PETE CORP	P	01/12/10	05/20/10
10 SH OCCIDENTAL PETE CORP	P	09/30/09	05/20/10
80 SH OCCIDENTAL PETE CORP	P	11/12/09	05/20/10
20 SH RAYTHEON CO COM	P	01/12/10	05/20/10
100 SH RAYTHEON CO COM	P	11/12/09	05/20/10
10 SH SPECTRA ENERGY CORP	P	09/11/09	05/20/10
340 SH SPECTRA ENERGY CORP	P	11/12/09	05/20/10
30 SH WALMART STORES INC	P	01/12/10	05/20/10
120 SH WALMART STORES INC	P	11/12/09	05/20/10
50 SH WALMART STORES INC	P	09/11/09	05/24/10
120 SH WALMART STORES INC	P	05/28/09	05/24/10
90 SH PARTNERRE LTD	P	05/28/09	05/25/10
10 SH BAYER AG	P	11/12/09	06/30/10
180 SH BAYER AG	P	09/15/09	06/30/10
40 SH BAYER AG	P	05/27/10	06/30/10
50 SH BCE INC	P	05/28/10	06/30/10
50 SH BCE INC	P	09/11/09	06/30/10
60 SH CENTRAL FUND OF CANADA	P	05/27/10	06/30/10
450 SH FOSTER WHEELER	P	12/18/09	06/30/10
20 SH FOSTER WHEELER	P	06/09/10	06/30/10
10 SH GOLDCORP NEW	P	05/27/10	06/30/10
30 SH PETROLEO BRASILEIRO	P	05/27/10	06/30/10
40 SH PETROLEO BRASILEIRO	P	01/12/10	06/30/10
40 SH PETROLEO BRASILEIRO	P	09/11/09	06/30/10
40 SH POTASH CORP	P	09/11/09	06/30/10
40 SH POTASH CORP	P	06/09/10	06/30/10
30 SH SYNGENTA AG	P	05/27/10	06/30/10
340 SH ALLIANT ENERGY CORP	P	01/20/10	06/30/10
50 SH ALLIANT ENERGY CORP	P	05/27/10	06/30/10
50 SH AMGEN CORP	P	05/27/10	06/30/10
160 SH AMGEN CORP	P	05/11/10	06/30/10
20 SH MARSH AND MCLENNAN	P	06/09/10	06/30/10
400 SH MICROSOFT CORP	P	04/27/10	06/30/10
70 SH MICROSOFT CORP	P	05/27/10	06/30/10
70 SH NSTAR	P	05/27/10	06/30/10
50 SH NSTAR	P	09/11/09	06/30/10
20 SH NSTAR	P	06/09/10	06/30/10
130 SH OCCIDENTAL PETE CORP	P	09/30/09	06/30/10
50 SH RAYTHEON CO COM	P	09/11/09	06/30/10
240 SH SEMPRA ENERGY	P	06/07/10	06/30/10
90 SH SPECTRA ENERGY CORP	P	05/27/10	06/30/10
90 SH SPECTRA ENERGY CORP	P	03/24/09	04/27/10
100 SH NSTAR	P	03/24/09	05/11/10
30 SH MONSANTO CO	P	03/24/09	05/12/10
180 SH BCE INC	P	03/24/09	05/20/10
30 SH PARTNERRE LTD	P	03/24/09	05/20/10
20 SH IBM	P	03/24/09	05/20/10
90 SH MARSH & MCLENNAN	P	03/24/09	05/20/10
50 SH NSTAR	P	03/24/09	05/20/10
60 SH RAYTHEON CO COM	P	03/26/09	05/20/10
110 SH SPECTRA ENERGY CORP	P	03/24/09	05/20/10
70 SH WALMART STORES INC	P	03/24/09	05/20/10

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Continued

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
40 SH WALMART STORES INC	P	03/24/09	05/24/10
60 SH PARTNERRE LTD	P	03/24/09	05/25/10
70 SH DEVON ENERGY CORP	P	05/28/09	06/07/10
110 SH DEVON ENERGY CORP	P	03/24/09	06/07/10
110 SH BCE INC	P	03/24/09	06/30/10
220 SH BCE INC	P	05/28/09	06/30/10
780 SH CENTRAL FUND OF CANADA	P	06/10/09	06/30/10
160 SH GOLDCORP INC	P	03/24/09	06/30/10
80 SH GOLDCORP INC	P	05/28/09	06/30/10
30 SH GOLDCORP INC	P	04/20/09	06/30/10
120 SH PETROLEO BRASILEIRO	P	03/24/09	06/30/10
80 SH PETROLEO BRASILEIRO	P	05/28/09	06/30/10
50 SH POTASH CORP	P	03/24/09	06/30/10
130 SH SYGENTA AG	P	03/24/09	06/30/10
110 SH SYGENTA AG	P	05/28/09	06/30/10
30 SH IBM	P	03/24/09	06/30/10
50 SH IBM	P	05/28/09	06/30/10
170 SH MARSH & MCLENNAN	P	03/24/09	06/30/10
340 SH MARSH & MCLENNAN	P	05/28/09	06/30/10
190 SH NSTAR	P	05/28/09	06/30/10
100 SH RAYTHEON CO COM	P	05/28/09	06/30/10
60 SH RAYTHEON CO COM	P	03/26/09	06/30/10
170 SH SPECTRA ENERGY CORP	P	03/24/09	06/30/10
370 SHSPECTRA ENERGY CORP	P	05/28/09	06/30/10
15000 SH BALLCORP NOTE	P	10/06/09	04/21/10
15000 SH OWENS BROCKWAY GLASS	P	06/01/10	06/25/10
15000 SH CASE NEW HOLLAND INC	P	06/29/10	07/28/10
13000 SH KRAFT FOOD INC	P	06/09/10	12/29/10
5000 SH CHRUCH & DWIGHT INC	P	08/17/10	12/30/10
15000 SH VERIZON GLOBAL FDG	P	01/21/09	07/07/10
10000 SH EMERSON ELECTRIC CO	P	10/16/08	08/16/10
10000 SH RANGE RESOURCES	P	08/17/09	08/27/10
10000 SH ALCOA INC	P	07/21/09	09/27/10
2000 SH DUPONT E I DE NEMOURS	P	12/09/08	10/21/10
10000 SH DUPONT E I DE NEMOURS	P	12/09/08	10/21/10
15000 SH CHURCH & DWIGHT INC	P	11/05/09	12/30/10

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,821.		1,550.	271.
4,248.		3,795.	453.
2,915.		2,858.	57.
6,107.		6,081.	26.
6,433.		5,822.	611.
462.		423.	39.
3,249.		3,279.	-30.
1,354.		1,286.	68.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Continued

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
451.		412.	39.
5,521.		5,229.	292.
920.		824.	96.
683.		740.	-57.
1,083.		1,075.	8.
2,267.		3,167.	-900.
1,700.		2,486.	-786.
5,101.		7,025.	-1,924.
4,534.		5,900.	-1,366.
4,570.		6,186.	-1,616.
3,998.		5,339.	-1,341.
5,580.		4,907.	673.
2,021.		1,846.	175.
2,310.		2,014.	296.
5,631.		5,351.	280.
1,439.		1,739.	-300.
1,679.		2,356.	-677.
1,245.		1,159.	86.
1,245.		1,237.	8.
6,223.		6,149.	74.
1,495.		1,473.	22.
7,476.		7,297.	179.
5,233.		5,416.	-183.
4,026.		5,998.	-1,972.
2,684.		3,762.	-1,078.
3,902.		3,849.	53.
975.		1,007.	-32.
1,951.		2,347.	-396.
4,980.		5,594.	-614.
2,716.		3,333.	-617.
2,716.		2,903.	-187.
10,471.		10,500.	-29.
7,604.		7,897.	-293.
625.		602.	23.
1,876.		1,975.	-99.
5,002.		5,380.	-378.
2,501.		2,960.	-459.
3,827.		3,806.	21.
1,276.		1,182.	94.
3,827.		3,911.	-84.
7,267.		7,204.	63.
220.		233.	-13.
9,434.		10,573.	-1,139.
6,014.		5,523.	491.
4,696.		4,812.	-116.
783.		782.	1.
6,261.		6,534.	-273.
1,083.		1,058.	25.
5,416.		4,865.	551.
199.		192.	7.
6,772.		6,467.	305.
1,582.		1,639.	-57.
6,327.		6,426.	-99.
2,559.		2,535.	24.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (e) thru (h)

Continued

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,143.		5,915.	228.
6,439.		5,672.	767.
563.		763.	-200.
10,128.		12,490.	-2,362.
2,251.		2,250.	1.
1,437.		1,467.	-30.
1,437.		1,243.	194.
901.		896.	5.
9,605.		13,044.	-3,439.
427.		469.	-42.
439.		437.	2.
1,049.		1,061.	-12.
1,399.		1,881.	-482.
1,399.		1,747.	-348.
3,544.		3,595.	-51.
886.		960.	-74.
1,397.		1,364.	33.
10,844.		11,156.	-312.
1,595.		1,597.	-2.
2,712.		2,541.	171.
8,677.		9,026.	-349.
455.		421.	34.
9,383.		12,439.	-3,056.
1,642.		1,837.	-195.
2,457.		2,432.	25.
1,755.		1,601.	154.
702.		679.	23.
10,268.		10,164.	104.
2,443.		2,323.	120.
11,368.		10,875.	493.
1,835.		1,806.	29.
2,078.		1,306.	772.
3,610.		3,160.	450.
1,700.		2,517.	-817.
5,287.		3,508.	1,779.
2,243.		1,904.	339.
2,551.		1,980.	571.
1,982.		1,871.	111.
1,769.		1,580.	189.
3,249.		2,368.	881.
2,191.		1,597.	594.
3,691.		3,587.	104.
2,048.		2,050.	-2.
4,292.		3,809.	483.
4,492.		4,213.	279.
7,059.		5,481.	1,578.
3,162.		2,144.	1,018.
6,323.		4,885.	1,438.
11,713.		9,820.	1,893.
7,030.		5,348.	1,682.
3,515.		3,090.	425.
1,318.		837.	481.
4,197.		4,125.	72.
2,798.		3,401.	-603.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Continued

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,430.		4,226.	204.
6,054.		5,567.	487.
5,123.		5,264.	-141.
3,727.		2,970.	757.
6,212.		5,142.	1,070.
3,871.		3,533.	338.
7,741.		6,315.	1,426.
6,669.		5,587.	1,082.
4,886.		4,379.	507.
2,932.		2,368.	564.
3,466.		2,468.	998.
7,543.		5,654.	1,889.
15,172.		15,218.	-46.
15,206.		15,243.	-37.
15,534.		15,577.	-43.
13,562.		13,706.	-144.
5,000.		5,087.	-87.
15,408.		15,935.	-527.
10,000.		10,535.	-535.
10,123.		9,950.	173.
10,412.		10,297.	115.
2,303.		1,991.	312.
11,516.		9,953.	1,563.
15,000.		15,300.	-300.
Total		<u>621,267.</u>	<u>3,067.</u>

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
			271.
			453.
			57.
			26.
			611.
			39.
			-30.
			68.
			39.
			292.
			96.
			-57.
			8.
			-900.
			-786.
			-1,924.
			-1,366.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Continued

Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(l) Gains (column (h) gain minus column (k), but not less than -0-) **or losses** (from column (h))

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			-1,616.
			-1,341.
			673.
			175.
			296.
			280.
			-300.
			-677.
			86.
			8.
			74.
			22.
			179.
			-183.
			-1,972.
			-1,078.
			53.
			-32.
			-396.
			-614.
			-617.
			-187.
			-29.
			-293.
			23.
			-99.
			-378.
			-459.
			21.
			94.
			-84.
			63.
			-13.
			-1,139.
			491.
			-116.
			1.
			-273.
			25.
			551.
			7.
			305.
			-57.
			-99.
			24.
			228.
			767.
			-200.
			-2,362.
			1.
			-30.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Continued

Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(l) Gains (column (h) gain minus column (k), but not less than -0-) **or losses** (from column (h))

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			194.
			5.
			-3,439.
			-42.
			2.
			-12.
			-482.
			-348.
			-51.
			-74.
			33.
			-312.
			-2.
			171.
			-349.
			34.
			-3,056.
			-195.
			25.
			154.
			23.
			104.
			120.
			493.
			29.
			772.
			450.
			-817.
			1,779.
			339.
			571.
			111.
			189.
			881.
			594.
			104.
			-2.
			483.
			279.
			1,578.
			1,018.
			1,438.
			1,893.
			1,682.
			425.
			481.
			72.
			-603.
			204.
			487.
			-141.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Continued

Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(l) Gains (column (h) gain minus column (k), but not less than -0-) **or losses** (from column (h))

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			757.
			1,070.
			338.
			1,426.
			1,082.
			507.
			564.
			998.
			1,889.
			-46.
			-37.
			-43.
			-144.
			-87.
			-527.
			-535.
			173.
			115.
			312.
			1,563.
			-300.
Total			3,067.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
MARCH OF DIMES				Person or <input type="checkbox"/>
1275 Mamaroneck Avenue				Business <input checked="" type="checkbox"/>
WHITE PLAINS NY 10605		-	PHILANTHROPIC	50.
UMASS-LOWELL				Person or <input type="checkbox"/>
ONE UNIVERSITY AVE				Business <input checked="" type="checkbox"/>
LOWELL MA 01854		-	EDUCATION	5,000.
OHIO UNIVERSITY				Person or <input type="checkbox"/>
751 W Union St				Business <input checked="" type="checkbox"/>
ATHENS OH 45701		-	EDUCATION	5,000.
FEEDING AMERICA				Person or <input type="checkbox"/>
35 East Wacker Drive, Suite 2000				Business <input checked="" type="checkbox"/>
CHICAGO IL 60601		-	HUMANITARIAN	2,000.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE, 2ND FL NEW YORK NY 10001		-	MEDICAL	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 1,000.
ALZHEIMERS ASSOC 225 N. Michigan Ave., Fl. 17 CHICAGO IL 60601		-	PHILANTHROPIC	Person or <input type="checkbox"/> Business <input checked="" type="checkbox"/> 1,000.
Total				<u>14,050.</u>

Form 990-PF, Page 2, Part II, Line 10a

L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year		End of Year	
	State and Local Obligations Book Value	State and Local Obligations FMV	US Government Obligations Book Value	US Government Obligations FMV
CHICAGO ILL WTR REV SECOND LIEN	25,492.	25,899.		
DALLAS CNTY TEX CMNTY COLL GO BONDS	25,116.	25,144.		
UTAH ST GO BONDS	25,535.	25,587.		
Total	<u>76,143.</u>	<u>76,630.</u>		

Form 990-PF, Page 2, Part II, Line 10c

L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
ALCOA INC	5,273.	5,502.
ANDARKO PETE CORP	14,757.	16,424.
AT&T INC	14,974.	17,120.
BALL CORP	15,350.	16,163.
BANK OF AMERICA	15,454.	15,030.
BANK OF MONTREAL QUE	14,991.	15,266.
CATERPILLAR	29,993.	33,182.
CBS CORP NEW	14,819.	17,497.
CHESAPEAKE ENERGY	9,990.	10,150.
CITIGROUP INC	15,017.	16,487.
CMS ENERGY CORP	14,970.	14,832.
DENBURY RESOURCES INC	15,150.	15,150.
DU PONT EI DE NEMOURS	2,986.	3,344.

Form 990-PF, Page 2, Part II, Line 10c

Continued

L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
EQUINIX INC	15,525.	15,675.
EXPRESS SCRIPTS	16,052.	15,832.
FORTUNE BRANDS INC	15,292.	15,225.
GOLDMAN SACHS GROUP INC	15,509.	15,979.
HANESBRANDS INC	15,293.	16,088.
HESS CORP	14,959.	17,051.
JEFFERIES GROUP INC	15,381.	15,450.
KINDER MORGAN	14,604.	15,188.
KRAFT FOODS INC	2,109.	2,075.
MARATHON OIL CORP	14,938.	16,919.
METLIFE INC	15,227.	15,231.
MORGAN STANLEY GROUP INC	15,862.	15,982.
NRG ENERGY INC	15,299.	15,375.
OCCIDENTAL PETE	9,914.	11,562.
OVERSEAS SHIPHOLDING GROUP INC	14,975.	14,995.
PITNEY BOWES INC	15,881.	15,739.
PRUDENTIAL FINANCIAL	15,415.	15,274.
RANGE RES CORP	10,225.	10,200.
ROWAN COS INC	15,003.	15,131.
SIMON PROPERTY GROUP LP	15,983.	16,198.
TIME WARNER CABLE INC	14,930.	17,259.
WILLIS GROUP NA	15,919.	15,759.
Total	<u>498,019.</u>	<u>520,334.</u>