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| <b>Part II</b> <b>Balance Sheets</b>   |   | Attached schedules and amounts in the description column should be for end-of-year amounts only<br>(See instructions) | <b>Beginning of year</b> | <b>End of year</b>    |                              |
|--|---|---|--------------------------|-----------------------|------------------------------|
|  |   |   | <b>(a) Book Value</b>    | <b>(b) Book Value</b> | <b>(c) Fair Market Value</b> |
| <b>A<br/>S<br/>S<br/>E<br/>T<br/>S</b>   | 1 Cash – non-interest-bearing   |   |                          |                       |                              |
|  | 2 Savings and temporary cash investments  |   | 115,020.                 | 157,963.              | 157,963.                     |
|  | 3 Accounts receivable   |   |                          |                       |                              |
|  | Less allowance for doubtful accounts  |   |                          |                       |                              |
|  | 4 Pledges receivable  |   |                          |                       |                              |
|  | Less allowance for doubtful accounts  |   |                          |                       |                              |
|  | 5 Grants receivable   |   |                          |                       |                              |
|  | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions) |   |                          |                       |                              |
|  | 7 Other notes and loans receivable (attach sch)   |   |                          |                       |                              |
|  | Less: allowance for doubtful accounts   |   |                          |                       |                              |
|  | 8 Inventories for sale or use   |   |                          |                       |                              |
|  | 9 Prepaid expenses and deferred charges   |   |                          |                       |                              |
|  | 10a Investments – U.S. and state government obligations (attach schedule) L-10a Stmt  | 26,444.   | 76,143.                  | 76,630.               |                              |
|  | b Investments – corporate stock (attach schedule)   | 313,138.  | 0.                       | 0.                    |                              |
|  | c Investments – corporate bonds (attach schedule) L-10c Stmt  | 247,135.  | 498,019.                 | 520,334.              |                              |
|  | 11 Investments – land, buildings, and equipment basis   |   |                          |                       |                              |
| <b>L<br/>I<br/>A<br/>B<br/>I<br/>L<br/>I<br/>T<br/>I<br/>E<br/>S</b>                   | Less: accumulated depreciation (attach schedule)  |   |                          |                       |                              |
|  | 12 Investments – mortgage loans   |   |                          |                       |                              |
|  | 13 Investments – other (attach schedule)  |   |                          |                       |                              |
|  | 14 Land, buildings, and equipment: basis  |   |                          |                       |                              |
|  | Less accumulated depreciation (attach schedule)   |   |                          |                       |                              |
|  | 15 Other assets (describe )   |   |                          |                       |                              |
|  | 16 Total assets (to be completed by all filers – see instructions Also, see page 1, item I)                                   | 701,737.  | 732,125.                 | 754,927.              |                              |
|  | 17 Accounts payable and accrued expenses  |   |                          |                       |                              |
|  | 18 Grants payable   |   |                          |                       |                              |
|  | 19 Deferred revenue   |   |                          |                       |                              |
|  | 20 Loans from officers, directors, trustees, & other disqualified persons   |   |                          |                       |                              |
| <b>N<br/>F<br/>U<br/>N<br/>D<br/>A<br/>S<br/>S<br/>E<br/>T<br/>S<br/>O<br/>R<br/>S</b> | 21 Mortgages and other notes payable (attach schedule)  |   |                          |                       |                              |
|  | 22 Other liabilities (describe )  |   |                          |                       |                              |
|  | 23 Total liabilities (add lines 17 through 22)  |   |                          |                       |                              |
|  | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.                            | <input type="checkbox"/>  |                          |                       |                              |
|  | 24 Unrestricted   |   |                          |                       |                              |
|  | 25 Temporarily restricted   |   |                          |                       |                              |
|  | 26 Permanently restricted   |   |                          |                       |                              |
|  | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.   | <input checked="" type="checkbox"/>   |                          |                       |                              |
|  | 27 Capital stock, trust principal, or current funds   |   |                          |                       |                              |
|  | 28 Paid-in or capital surplus, or land, building, and equipment fund  |   |                          |                       |                              |
|  | 29 Retained earnings, accumulated income, endowment, or other funds   | 701,737.  | 732,125.                 |                       |                              |
|  | 30 Total net assets or fund balances (see the instructions)   | 701,737.  | 732,125.                 |                       |                              |
|  | 31 Total liabilities and net assets/fund balances (see the instructions)  | 701,737.  | 732,125.                 |                       |                              |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

|  |   |          |
|--|---|----------|
| 1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 701,737. |
| 2 Enter amount from Part I, line 27a   | 2 | 27,095.  |
| 3 Other increases not included in line 2 (itemize) ► <u>CAPITAL GAINS</u>  | 3 | 3,293.   |
| 4 Add lines 1, 2, and 3  | 4 | 732,125. |
| 5 Decreases not included in line 2 (itemize)   | 5 |          |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30  | 6 | 732,125. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)  |  |   | (b) How acquired<br>P — Purchase<br>D — Donation  | (c) Date acquired<br>(month, day, year) | (d) Date sold<br>(month, day, year) |
|---|--|---|---|---|-------------------------------------|
| 1a 30 SH BCE INC  |  |   | P   | 01/12/10                                | 01/20/10                            |
| b 10 SH IBM   |  |   | P   | 01/12/10                                | 01/20/10                            |
| c 50 SH NSTAR   |  |   | P   | 01/12/10                                | 01/20/10                            |
| d 140 SH SPECTRA ENERGY CORP  |  |   | P   | 01/12/10                                | 01/20/10                            |
| e See Columns (a) thru (d)  |  |   |   |   |                                     |
| (e) Gross sales price   | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale     | (h) Gain or (loss)<br>(e) plus (f) minus (g)  |   |                                     |
| a 797.  |  | 813.  | -16.  |   |                                     |
| b 1,298.  |  | 1,304.  | -6.   |   |                                     |
| c 1,802.  |  | 1,791.  | 11.   |   |                                     |
| d 3,199.  |  | 2,962.  | 237.  |   |                                     |
| e See Columns (e) thru (h)  |  | 621,267.  | 3,067.  |   |                                     |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   |  |   | (I) Gains (Column (h))<br>gain minus column (k), but not less<br>than -0- or Losses (from column (h)) |   |                                     |
| (i) Fair Market Value<br>as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of column (i)<br>over column (j), if any |   |   |                                     |
| a   |  |   |   |   | -16.                                |
| b   |  |   |   |   | -6.                                 |
| c   |  |   |   |   | 11.                                 |
| d   |  |   |   |   | 237.                                |
| e See Columns (i) thru (l)  |  |   |   |   | 3,067.                              |
| 2 Capital gain net income or (net capital loss). <span style="border: 1px solid black; padding: 2px;">If gain, also enter in Part I, line 7<br/>If (loss), enter -0- in Part I, line 7</span>         |  |   | 2   |   | 3,293.                              |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0-<br>in Part I, line 8 |  |   | 3   |   |                                     |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year<br>beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of<br>noncharitable-use assets | (d)<br>Distribution ratio<br>(column (b) divided by column (c)) |
|---|--|---|---|
| 2009  |  | 683,950.  |   |
| 2008  | 29,650.                                  | 646,110.  | 0.045890  |
| 2007  | 31,010.                                  | 550,938.  | 0.056286  |
| 2006  | 32,070.                                  | 641,698.  | 0.049977  |
| 2005  | 17,629.                                  | 385,596.  | 0.045719  |

|   |   |          |
|---|---|----------|
| 2 Total of line 1, column (d)   | 2 | 0.197872 |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the<br>number of years the foundation has been in existence if less than 5 years | 3 | 0.049468 |
| 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5  | 4 | 676,205. |
| 5 Multiply line 4 by line 3   | 5 | 33,451.  |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)  | 6 | 216.     |
| 7 Add lines 5 and 6   | 7 | 33,667.  |
| 8 Enter qualifying distributions from Part XII, line 4  | 8 | 41,170.  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  
Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**1 a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter 'N/A' on line 1

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary – see instr.)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2010 estimated tax pmts and 2009 overpayment credited to 2010

b Exempt foreign organizations – tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

6a

6b

6c

6d

7 Total credits and payments Add lines 6a through 6d

8 Enter any **penalty** for underpayment of estimated tax. Check here  if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2011 estimated tax ►

Refunded

►

7

8

9

10

11

**Part VII-A Statements Regarding Activities**

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes  No 

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?

1a  1b 

If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

1c 

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation ► \$ \_\_\_\_\_ (2) On foundation managers ► \$ \_\_\_\_\_

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ \_\_\_\_\_

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2 

If 'Yes,' attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes

3 

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a  4b 

b If 'Yes,' has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5 

If 'Yes,' attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

6 

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XIV

7 

8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► \_\_\_\_\_

b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation

8b 

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If 'Yes,' complete Part XIV

9 

10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses

10

**Part VII-A Statements Regarding Activities (Continued)**

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) 11 X

12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 12 X

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 X

Website address ► N/A

14 The books are in care of ► JEFFREY SIMONS Telephone no. ► (212) 741-3600  
Located at ► 4799 SANCTUARY LANE BOCA RATON FL ZIP + 4 ► 33431

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15

16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes X No

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? □ Yes X No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? □ Yes X No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? □ Yes X No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? □ Yes X No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? □ Yes X No

(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) □ Yes X No

b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?

Organizations relying on a current notice regarding disaster assistance check here ►c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? 1b

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? □ Yes X NoIf 'Yes,' list the years ► 20\_\_ , 20\_\_ , 20\_\_ , 20\_\_b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see the instructions) 2b Xc If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20\_\_ , 20\_\_ , 20\_\_ , 20\_\_3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? □ Yes X Nob If 'Yes,' did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) 3b4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a Xb Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? 4b X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

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**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here 

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| 5b |  |  |  |
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**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

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**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

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|----|--|--|--|
| 6b |  |  |  |
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**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If 'Yes' to 6b, file Form 8870.

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**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

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|----|--|--|--|
| 7b |  |  |  |
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**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address                                | (b) Title and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|--|---|---|---------------------------------------|
| JEFFREY SIMONS<br>4799 SANCTUARY DRIVE BOCA<br>RATO | PRESIDENT<br>FL 33431                                    | 2.00                                      | 0.  | 0.                                    |
| -----   | -----  | -----                                     | -----   | -----                                 |
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| -----   | -----  | -----                                     | -----   | -----                                 |
| -----   | -----  | -----                                     | -----   | -----                                 |

**2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|--|------------------|---|---------------------------------------|
| NONE  | -----  | -----            | -----   | -----                                 |
| 0   | -----  | -----            | -----   | -----                                 |
| 0   | -----  | -----            | -----   | -----                                 |
| 0   | -----  | -----            | -----   | -----                                 |
| 0   | -----  | -----            | -----   | -----                                 |

Total number of other employees paid over \$50,000

► None

**Part VIII : Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE  |                     |                  |
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**Total number of others receiving over \$50,000 for professional services**

None

## Part IX-A Summary of Direct Charitable Activities

|  |             |          |
|--|-------------|----------|
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. |             | Expenses |
| 1  | <hr/> <hr/> |          |
| 2  | <hr/> <hr/> |          |
| 3  | <hr/> <hr/> |          |
| 4  | <hr/> <hr/> |          |

**Part IX-B: Summary of Program-Related Investments (see instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 |                | Amount |
|--|----------------|--------|
| 1  | -----<br>----- |        |
| 2  | -----<br>----- |        |
| All other program-related investments See instructions.  |                |        |
| 3  | -----<br>----- |        |

All other program-related investments See instructions.

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**Total** Add lines 1 through 3

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Form 990-PF (2010)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|  |    |          |
|--|----|----------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes    |    |          |
| a Average monthly fair market value of securities  | 1a | 686,503. |
| b Average of monthly cash balances   | 1b |          |
| c Fair market value of all other assets (see instructions)   | 1c |          |
| d <b>Total</b> (add lines 1a, b, and c)  | 1d | 686,503. |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c<br>(attach detailed explanation) | 1e |          |
| 2 Acquisition indebtedness applicable to line 1 assets   | 2  |          |
| 3 Subtract line 2 from line 1d   | 3  | 686,503. |
| 4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3<br>(for greater amount, see instructions) | 4  | 10,298.  |
| 5 <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4  | 5  | 676,205. |
| 6 <b>Minimum investment return.</b> Enter 5% of line 5   | 6  | 33,810.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here □ and do not complete this part.)

|  |    |         |
|--|----|---------|
| 1 Minimum investment return from Part X, line 6  | 1  | 33,810. |
| 2a Tax on investment income for 2010 from Part VI, line 5  | 2a | 216.    |
| b Income tax for 2010. (This does not include the tax from Part VI)  | 2b |         |
| c Add lines 2a and 2b  | 2c | 216.    |
| 3 Distributable amount before adjustments Subtract line 2c from line 1                                     | 3  | 33,594. |
| 4 Recoveries of amounts treated as qualifying distributions  | 4  |         |
| 5 Add lines 3 and 4  | 5  | 33,594. |
| 6 Deduction from distributable amount (see instructions)   | 6  |         |
| 7 <b>Distributable amount</b> as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 33,594. |

**Part XII Qualifying Distributions** (see instructions)

|   |    |         |
|---|----|---------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:<br>a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26         | 1a | 41,170. |
| b Program-related investments – total from Part IX-B  | 1b |         |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes  | 2  |         |
| 3 Amounts set aside for specific charitable projects that satisfy the:<br>a Suitability test (prior IRS approval required)<br>b Cash distribution test (attach the required schedule) | 3a |         |
| 4 <b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4  | 4  | 41,170. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.<br>Enter 1% of Part I, line 27b (see instructions)                             | 5  | 216.    |
| 6 <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4   | 6  | 40,954. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

|  | (a)<br>Corpus | (b)<br>Years prior to 2009 | (c)<br>2009 | (d)<br>2010 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2010 from Part XI, line 7   |               |                            |             | 33,594.     |
| 2 Undistributed income, if any, as of the end of 2010.   |               |                            | 33,938.     |             |
| a Enter amount for 2009 only   |               |                            |             |             |
| b Total for prior years 20____, 20____, 20____   |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2010.   |               |                            |             |             |
| a From 2005 17,980.  |               |                            |             |             |
| b From 2006 32,350.  |               |                            |             |             |
| c From 2007 0.   |               |                            |             |             |
| d From 2008 0.   |               |                            |             |             |
| e From 2009 36,480.  |               |                            |             |             |
| f Total of lines 3a through e 86,810.  |               |                            |             |             |
| 4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 41,170.  |               |                            |             |             |
| a Applied to 2009, but not more than line 2a   |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required – see instructions)  |               |                            |             |             |
| c Treated as distributions out of corpus (Election required – see instructions)  |               |                            |             |             |
| d Applied to 2010 distributable amount   |               |                            |             |             |
| e Remaining amount distributed out of corpus 41,170.   |               |                            |             |             |
| 5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a) )  |               |                            |             |             |
| 6 Enter the net total of each column as indicated below:   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 127,980.   |               |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b 0.   |               |                            |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               |                            |             |             |
| d Subtract line 6c from line 6b Taxable amount – see instructions 0.   |               |                            |             |             |
| e Undistributed income for 2009 Subtract line 4a from line 2a. Taxable amount – see instructions 33,938.   |               |                            |             |             |
| f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011 33,594.  |               |                            |             |             |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  |               |                            |             |             |
| 8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions) 17,980.  |               |                            |             |             |
| 9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a 110,000.   |               |                            |             |             |
| 10 Analysis of line 9  |               |                            |             |             |
| a Excess from 2006 32,350.   |               |                            |             |             |
| b Excess from 2007 0.  |               |                            |             |             |
| c Excess from 2008 0.  |               |                            |             |             |
| d Excess from 2009 36,480.   |               |                            |             |             |
| e Excess from 2010 41,170.   |               |                            |             |             |

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## Part XV Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)  |   |                                |                                  |         |
| <b>a Paid during the year</b>  |   |                                |                                  |         |
| PLANNED PARENTHOOD<br>434 West 33rd Street<br>NEW YORK NY 10001              |   | -                              | HUMANITARIAN                     | 1,000.  |
| KRAVIS CENTER<br>701 Okeechobee Boulevard<br>WEST PALM BEACH FL 33401        |   | -                              | HUMANITARIAN                     | 100.    |
| AMERICA CARES<br>88 Hamilton Ave.<br>STAMFORD CT 06902                       |   | -                              | COMMUNITY                        | 2,000.  |
| OPERATION SMILE<br>6435 TIDEWATER DRIVE<br>NORFOLK VA 23509                  |   | -                              | MEDICAL                          | 1,200.  |
| SAVE THE CHILDREN<br>54 Wilton Road<br>WESTPORT CT 06880                     |   | -                              | PHILANTHROPIC                    | 7,720.  |
| EASTERN CT STATE UNIVERSITY<br>83 Windham Street<br>WILLIMANTIC CT 06226     |   | -                              | EDUCATION                        | 5,000.  |
| MAHWAH FIRE DEPT<br>52 Fardale Avenue,<br>MAHWAH NJ 07430                    |   | -                              | COMMUNITY                        | 100.    |
| UNIVERSITY OF THE SCIENCES<br>600 South 43rd Street<br>PHILADELPHIA PA 19104 |   | -                              | EDUCATION                        | 5,000.  |
| UNIVERSITY OF WISCONSIN LAW SCHOOL<br>975 Bascom Mall<br>MADISON WI 53706    |   | -                              | EDUCATION                        | 5,000.  |
| See Line 3a statement  |   |                                |                                  | 14,050. |
| <b>Total</b>   |   |                                | ► 3a                             | 41,170. |
| <b>b Approved for future payment</b>   |   |                                |                                  |         |
| <b>Total</b>   |   |                                | ► 3b                             |         |

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated.            | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(see the instructions) |
|--|---------------------------|---------------|--------------------------------------|---------------|---|
|  | (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclu-<br>sion<br>code        | (d)<br>Amount |   |
| 1 Program service revenue                                  |                           |               |                                      |               |   |
| a  |                           |               |                                      |               |   |
| b  |                           |               |                                      |               |   |
| c  |                           |               |                                      |               |   |
| d  |                           |               |                                      |               |   |
| e  |                           |               |                                      |               |   |
| f  |                           |               |                                      |               |   |
| g Fees and contracts from government agencies              |                           |               |                                      |               |   |
| 2 Membership dues and assessments                          |                           |               |                                      |               |   |
| 3 Interest on savings and temporary cash investments       |                           |               |                                      |               |   |
| 4 Dividends and interest from securities                   |                           |               |                                      |               |   |
| 5 Net rental income or (loss) from real estate:            |                           |               |                                      |               |   |
| a Debt-financed property                                   |                           |               |                                      |               |   |
| b Not debt-financed property                               |                           |               |                                      |               |   |
| 6 Net rental income or (loss) from personal property       |                           |               |                                      |               | 0.  |
| 7 Other investment income                                  |                           |               |                                      |               |   |
| 8 Gain or (loss) from sales of assets other than inventory |                           |               |                                      |               |   |
| 9 Net income or (loss) from special events                 |                           |               |                                      |               |   |
| 10 Gross profit or (loss) from sales of inventory          |                           |               |                                      |               |   |
| 11 Other revenue:  |                           |               |                                      |               |   |
| a  |                           |               |                                      |               |   |
| b  |                           |               |                                      |               |   |
| c  |                           |               |                                      |               |   |
| d  |                           |               |                                      |               |   |
| e  |                           |               |                                      |               |   |
| 12 Subtotal. Add columns (b), (d), and (e)                 |                           |               |                                      |               | 0.  |
| 13 Total. Add line 12, columns (b), (d), and (e)           |                           |               |                                      |               | 13 0.   |

(See worksheet in line 13 instructions to verify calculations )

13

0.

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No.<br>▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions.) |
|---------------|--|
| N/A           |  |
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**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash .
- (2) Other assets

## **b Other transactions**

- (1) Sales of assets to a noncharitable exempt organization**
- (2) Purchases of assets from a noncharitable exempt organization**
- (3) Rental of facilities, equipment, or other assets**
- (4) Reimbursement arrangements**
- (5) Loans or loan guarantees**
- (6) Performance of services or membership or fundraising solicitations**

**c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

|        | Yes | No |
|--------|-----|----|
| 1a (1) |     | X  |
| 1a (2) |     | X  |
| 1b (1) |     | X  |
| 1b (2) |     | X  |
| 1b (3) |     | X  |
| 1b (4) |     | X  |
| 1b (5) |     | X  |
| 1b (6) |     | X  |
| 1c     |     | X  |

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If 'Yes,' complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Sign  
Here

Signature of officer or trustee

Date

CEO

Paid  
Preparer  
Use Only

|                                       |  |  |                       |  |                          |
|---------------------------------------|--|--|-----------------------|--|--------------------------|
| <b>Paid<br/>Preparer<br/>Use Only</b> | Print/Type preparer's name<br><b>THOMAS DRISCOLL</b>                   | Preparer's signature<br><i>Thomas Driscoll</i> | Date<br><b>5/5/11</b> | Check if <input type="checkbox"/> if self-employed | PTIN<br><b>P01304137</b> |
|                                       | Firm's name<br>► <b>NEMIROFF, COSMAS &amp; CO., LLP.</b>               | Firm's EIN ► <b>13-2664130</b>                 |                       |  |                          |
|                                       | Firm's address<br>► <b>611 ROUTE 46<br/>HASBROUCK HEIGHTS NJ 07604</b> | Phone no                                       |                       |  |                          |

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**Form 990-PF (2010)**

## Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF

**2010**

Name of the organization:

**JEFFREY I SIMONS FOUNDATION INC**

Employer identification number

**22-3770537**

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

501(c)( \_\_\_\_ ) (enter number) organization  
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
 527 political organization

Form 990-PF

501(c)(3) exempt private foundation  
 4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

### General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II )

### Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year . ► \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**BAA** For Paperwork Reduction Act Notice, see the Instructions for Form 990,  
990EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

JEFFREY I SIMONS FOUNDATION INC

Employer identification number

22-3770537

**Part I** **Contributors** (see instructions.)

| (a)<br>Number | (b)<br>Name, address, and ZIP + 4                                 | (c)<br>Aggregate<br>contributions | (d)<br>Type of contribution  |
|---------------|---|-----------------------------------|--|
| 1             | JEFFREY SIMONS<br>4799 SANCTUARY LANE<br>WEST PALM BEACH FL 33413 | \$ 50,000                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/> |
|               |   |                                   | (Complete Part II if there is a noncash contribution.)   |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4                                 | (c)<br>Aggregate<br>contributions | (d)<br>Type of contribution  |
|               |   |                                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/>            |
|               |   |                                   | (Complete Part II if there is a noncash contribution.)   |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4                                 | (c)<br>Aggregate<br>contributions | (d)<br>Type of contribution  |
|               |   |                                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/>            |
|               |   |                                   | (Complete Part II if there is a noncash contribution.)   |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4                                 | (c)<br>Aggregate<br>contributions | (d)<br>Type of contribution  |
|               |   |                                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/>            |
|               |   |                                   | (Complete Part II if there is a noncash contribution.)   |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4                                 | (c)<br>Aggregate<br>contributions | (d)<br>Type of contribution  |
|               |   |                                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/>            |
|               |   |                                   | (Complete Part II if there is a noncash contribution.)   |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4                                 | (c)<br>Aggregate<br>contributions | (d)<br>Type of contribution  |
|               |   |                                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/>            |
|               |   |                                   | (Complete Part II if there is a noncash contribution.)   |

Name of organization

JEFFREY I SIMONS FOUNDATION INC

Employer identification number

22-3770537

**Part II** Noncash Property (see instructions)

| (a)<br>No. from<br>Part I | (b)<br>Description of noncash property given         | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|---------------------------|--|--|----------------------|
| 1                         | \$25,000 FACE VALUE CHICAGO IL WTR REV BONDS         | \$ 25,000.                                     | 11/24/10             |
| 1                         | \$25,000 DALLAS CNTY TAX COMMUNITY COLLEGE REF BONDS | \$ 25,000.                                     | 11/24/10             |
|                           |  | \$   |                      |
|                           |  | \$   |                      |
|                           |  | \$   |                      |
|                           |  | \$   |                      |
| BAA                       |  |  |                      |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income  
**Columns (a) thru (d)**

| (a) List and describe the kind(s) of property sold<br>(e.g., real estate, 2-story brick warehouse; or<br>common stock, 200 shares MLC Company) | (b) How<br>acquired<br>P-Purchase<br>D-Donation | (c) Date<br>acquired<br>(month,<br>day, year) | (d) Date<br>sold<br>(month,<br>day, year) |
|--|---|---|---|
| 60 SH BCE INC  | P   | 11/12/09                                      | 04/27/10                                  |
| 140 SH BCE INC   | P   | 01/12/10                                      | 04/27/10                                  |
| 200 SH CENTRAL FUND OF CANADA LTD  | P   | 01/12/10                                      | 04/27/10                                  |
| 250 SH MARSH AND MCLENNAN INC  | P   | 11/12/09                                      | 04/27/10                                  |
| 110 SH RAYTHEON CO   | P   | 01/12/10                                      | 04/27/10                                  |
| 20 SH SPECTRA ENERGY GROUP   | P   | 01/12/10                                      | 04/27/10                                  |
| 60 SH WAL-MART STORES INC  | P   | 01/12/10                                      | 04/27/10                                  |
| 90 SH CENTRAL FUND OF CANADA   | P   | 01/12/10                                      | 05/11/10                                  |
| 30 SH CENTRAL FUND OF CANADA   | P   | 11/12/09                                      | 05/11/10                                  |
| 120 SH GOLDCORP INC  | P   | 11/12/09                                      | 05/11/10                                  |
| 20 SH GOLDCORP INC   | P   | 09/11/09                                      | 05/11/10                                  |
| 10 SH DEVON ENERGY CORP  | P   | 01/12/10                                      | 05/11/10                                  |
| 30 SH NSTAR  | P   | 01/12/10                                      | 05/11/10                                  |
| 40 SH MONSANTO CO  | P   | 05/28/09                                      | 05/12/10                                  |
| 30 SH MONSANTO CO  | P   | 01/12/10                                      | 05/12/10                                  |
| 90 SH MONSANTO CO  | P   | 09/11/09                                      | 05/12/10                                  |
| 80 SH MONSANTO CO  | P   | 11/12/09                                      | 05/12/10                                  |
| 80 SH BAYER AG   | P   | 01/12/10                                      | 05/20/10                                  |
| 70 SH BAYER AG   | P   | 11/12/09                                      | 05/20/10                                  |
| 190 SH BCE INC   | P   | 11/12/09                                      | 05/20/10                                  |
| 140 SH CENTRAL FUND OF CANADA  | P   | 09/11/09                                      | 05/20/10                                  |
| 160 SH CENTRAL FUND OF CANADA  | P   | 06/10/09                                      | 05/20/10                                  |
| 390 SH CENTRAL FUND OF CANADA  | P   | 11/12/09                                      | 05/20/10                                  |
| 60 SH FOSTER WHEELER   | P   | 12/18/09                                      | 05/20/10                                  |
| 70 SH FOSTER WHEELER   | P   | 01/12/10                                      | 05/20/10                                  |
| 30 SH GOLDCORP INC   | P   | 05/28/09                                      | 05/20/10                                  |
| 30 SH GOLDCORP INC   | P   | 09/11/09                                      | 05/20/10                                  |
| 150 SH GOLDCORP INC  | P   | 01/12/10                                      | 05/20/10                                  |
| 20 SH PARTNERRE LTD  | P   | 09/11/09                                      | 05/20/10                                  |
| 100 SH PARTNERRE LTD   | P   | 01/12/10                                      | 05/20/10                                  |
| 70 SH PARTNERRE LTD  | P   | 11/12/09                                      | 05/20/10                                  |
| 120 SH PETROLEO BRASILEIRO   | P   | 11/12/09                                      | 05/20/10                                  |
| 80 SH PETROLEO BRASILEIRO  | P   | 01/12/10                                      | 05/20/10                                  |
| 40 SH POTASH CORP  | P   | 06/18/09                                      | 05/20/10                                  |
| 10 SH POTASH CORP  | P   | 11/12/09                                      | 05/20/10                                  |
| 20 SH POTASH CORP  | P   | 01/12/10                                      | 05/20/10                                  |
| 110 SH SYNGENTA AG   | P   | 11/12/09                                      | 05/20/10                                  |
| 60 SH SYNGENTA AG  | P   | 01/12/10                                      | 05/20/10                                  |
| 60 SH SYNGENTA AG  | P   | 09/11/09                                      | 05/20/10                                  |
| 320 SH ALLIANT ENERGY CORP   | P   | 01/20/10                                      | 05/20/10                                  |
| 140 SH AMGEN CORP  | P   | 05/11/10                                      | 05/20/10                                  |
| 10 SH DEVON ENERGY CORP  | P   | 05/28/09                                      | 05/20/10                                  |
| 30 SH DEVON ENERGY CORP  | P   | 09/11/09                                      | 05/20/10                                  |
| 80 SH DEVON ENERGY CORP  | P   | 11/12/09                                      | 05/20/10                                  |
| 40 SH DEVON ENERGY CORP  | P   | 01/12/10                                      | 05/20/10                                  |
| 30 SH IBM  | P   | 11/12/09                                      | 05/20/10                                  |
| 10 SH IBM  | P   | 09/11/09                                      | 05/20/10                                  |
| 30 SH IBM  | P   | 01/12/10                                      | 05/20/10                                  |
| 330 SH MARSH & MCLENNAN INC  | P   | 01/12/10                                      | 05/20/10                                  |
| 10 SH MARSH & MCLENNAN INC   | P   | 09/11/09                                      | 05/20/10                                  |
| 340 SH MICROSOFT CORP  | P   | 04/27/10                                      | 05/20/10                                  |
| 170 SHNSTAR  | P   | 11/12/09                                      | 05/20/10                                  |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income  
Columns (a) thru (d)

Continued

| (a) List and describe the kind(s) of property sold<br>(e.g., real estate, 2-story brick warehouse; or<br>common stock, 200 shares MLC Company) | (b) How<br>acquired<br>P-Purchase<br>D-Donation | (c) Date<br>acquired<br>(month,<br>day, year) | (d) Date<br>sold<br>(month,<br>day, year) |
|--|---|---|---|
| 60 SH OCCIDENTAL PETE CORP   | P   | 01/12/10                                      | 05/20/10                                  |
| 10 SH OCCIDENTAL PETE CORP   | P   | 09/30/09                                      | 05/20/10                                  |
| 80 SH OCCIDENTAL PETE CORP   | P   | 11/12/09                                      | 05/20/10                                  |
| 20 SH RAYTHEON CO COM  | P   | 01/12/10                                      | 05/20/10                                  |
| 100 SH RAYTHEON CO COM   | P   | 11/12/09                                      | 05/20/10                                  |
| 10 SH SPECTRA ENERGY CORP  | P   | 09/11/09                                      | 05/20/10                                  |
| 340 SH SPECTRA ENERGY CORP   | P   | 11/12/09                                      | 05/20/10                                  |
| 30 SH WALMART STORES INC   | P   | 01/12/10                                      | 05/20/10                                  |
| 120 SH WALMART STORES INC  | P   | 11/12/09                                      | 05/20/10                                  |
| 50 SH WALMART STORES INC   | P   | 09/11/09                                      | 05/24/10                                  |
| 120 SH WALMART STORES INC  | P   | 05/28/09                                      | 05/24/10                                  |
| 90 SH PARTNERRE LTD  | P   | 05/28/09                                      | 05/25/10                                  |
| 10 SH BAYER AG   | P   | 11/12/09                                      | 06/30/10                                  |
| 180 SH BAYER AG  | P   | 09/15/09                                      | 06/30/10                                  |
| 40 SH BAYER AG   | P   | 05/27/10                                      | 06/30/10                                  |
| 50 SH BCE INC  | P   | 05/28/10                                      | 06/30/10                                  |
| 50 SH BCE INC  | P   | 09/11/09                                      | 06/30/10                                  |
| 60 SH CENTRAL FUND OF CANADA   | P   | 05/27/10                                      | 06/30/10                                  |
| 450 SH FOSTER WHEELER  | P   | 12/18/09                                      | 06/30/10                                  |
| 20 SH FOSTER WHEELER   | P   | 06/09/10                                      | 06/30/10                                  |
| 10 SH GOLDCORP NEW   | P   | 05/27/10                                      | 06/30/10                                  |
| 30 SH PETROLEO BRASILEIRO  | P   | 05/27/10                                      | 06/30/10                                  |
| 40 SH PETROLEO BRASILEIRO  | P   | 01/12/10                                      | 06/30/10                                  |
| 40 SH PETROLEO BRASILEIRO  | P   | 09/11/09                                      | 06/30/10                                  |
| 40 SH POTASH CORP  | P   | 09/11/09                                      | 06/30/10                                  |
| 40 SH POTASH CORP  | P   | 06/09/10                                      | 06/30/10                                  |
| 30 SH SYNGENTA AG  | P   | 05/27/10                                      | 06/30/10                                  |
| 340 SH ALLIANT ENERGY CORP   | P   | 01/20/10                                      | 06/30/10                                  |
| 50 SH ALLIANT ENERGY CORP  | P   | 05/27/10                                      | 06/30/10                                  |
| 50 SH AMGEN CORP   | P   | 05/27/10                                      | 06/30/10                                  |
| 160 SH AMGEN CORP  | P   | 05/11/10                                      | 06/30/10                                  |
| 20 SH MARSH AND MCLENNAN   | P   | 06/09/10                                      | 06/30/10                                  |
| 400 SH MICROSOFT CORP  | P   | 04/27/10                                      | 06/30/10                                  |
| 70 SH MICROSOFT CORP   | P   | 05/27/10                                      | 06/30/10                                  |
| 70 SH NSTAR  | P   | 05/27/10                                      | 06/30/10                                  |
| 50 SH NSTAR  | P   | 09/11/09                                      | 06/30/10                                  |
| 20 SH NSTAR  | P   | 06/09/10                                      | 06/30/10                                  |
| 130 SH OCCIDENTAL PETE CORP  | P   | 09/30/09                                      | 06/30/10                                  |
| 50 SH RAYTHEON CO COM  | P   | 09/11/09                                      | 06/30/10                                  |
| 240 SH SEMPRA ENERGY   | P   | 06/07/10                                      | 06/30/10                                  |
| 90 SH SPECTRA ENERGY CORP  | P   | 05/27/10                                      | 06/30/10                                  |
| 90 SH SPECTRA ENERGY CORP  | P   | 03/24/09                                      | 04/27/10                                  |
| 100 SH NSTAR   | P   | 03/24/09                                      | 05/11/10                                  |
| 30 SH MONSANTO CO  | P   | 03/24/09                                      | 05/12/10                                  |
| 180 SH BCE INC   | P   | 03/24/09                                      | 05/20/10                                  |
| 30 SH PARTNERRE LTD  | P   | 03/24/09                                      | 05/20/10                                  |
| 20 SH IBM  | P   | 03/24/09                                      | 05/20/10                                  |
| 90 SH MARSH & MCLENNAN   | P   | 03/24/09                                      | 05/20/10                                  |
| 50 SH NSTAR  | P   | 03/24/09                                      | 05/20/10                                  |
| 60 SH RAYTHEON CO COM  | P   | 03/26/09                                      | 05/20/10                                  |
| 110 SH SPECTRA ENERGY CORP   | P   | 03/24/09                                      | 05/20/10                                  |
| 70 SH WALMART STORES INC   | P   | 03/24/09                                      | 05/20/10                                  |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income  
Columns (a) thru (d)

Continued

| (a) List and describe the kind(s) of property sold<br>(e.g., real estate, 2-story brick warehouse; or<br>common stock, 200 shares MLC Company) | (b) How<br>acquired<br>P-Purchase<br>D-Donation | (c) Date<br>acquired<br>(month,<br>day, year) | (d) Date<br>sold<br>(month,<br>day, year) |
|--|---|---|---|
| 40 SH WALMART STORES INC   | P   | 03/24/09                                      | 05/24/10                                  |
| 60 SH PARTNERRE LTD  | P   | 03/24/09                                      | 05/25/10                                  |
| 70 SH DEVON ENERGY CORP  | P   | 05/28/09                                      | 06/07/10                                  |
| 110 SH DEVON ENERGY CORP   | P   | 03/24/09                                      | 06/07/10                                  |
| 110 SH BCE INC   | P   | 03/24/09                                      | 06/30/10                                  |
| 220 SH BCE INC   | P   | 05/28/09                                      | 06/30/10                                  |
| 780 SH CENTRAL FUND OF CANADA  | P   | 06/10/09                                      | 06/30/10                                  |
| 160 SH GOLDCORP INC  | P   | 03/24/09                                      | 06/30/10                                  |
| 80 SH GOLDCORP INC   | P   | 05/28/09                                      | 06/30/10                                  |
| 30 SH GOLDCORP INC   | P   | 04/20/09                                      | 06/30/10                                  |
| 120 SH PETROLEO BRASILEIRO   | P   | 03/24/09                                      | 06/30/10                                  |
| 80 SH PETROLEO BRASILEIRO  | P   | 05/28/09                                      | 06/30/10                                  |
| 50 SH POTASH CORP  | P   | 03/24/09                                      | 06/30/10                                  |
| 130 SH SYGENTA AG  | P   | 03/24/09                                      | 06/30/10                                  |
| 110 SH SYGENTA AG  | P   | 05/28/09                                      | 06/30/10                                  |
| 30 SH IBM  | P   | 03/24/09                                      | 06/30/10                                  |
| 50 SH IBM  | P   | 05/28/09                                      | 06/30/10                                  |
| 170 SH MARSH & MCLENNAN  | P   | 03/24/09                                      | 06/30/10                                  |
| 340 SH MARSH & MCLENNAN  | P   | 05/28/09                                      | 06/30/10                                  |
| 190 SH NSTAR   | P   | 05/28/09                                      | 06/30/10                                  |
| 100 SH RAYTHEON CO COM   | P   | 05/28/09                                      | 06/30/10                                  |
| 60 SH RAYTHEON CO COM  | P   | 03/26/09                                      | 06/30/10                                  |
| 170 SH SPECTRA ENERGY CORP   | P   | 03/24/09                                      | 06/30/10                                  |
| 370 SH SPECTRA ENERGY CORP   | P   | 05/28/09                                      | 06/30/10                                  |
| 15000 SH BALLCORP NOTE   | P   | 10/06/09                                      | 04/21/10                                  |
| 15000 SH OWENS BROCKWAY GLASS  | P   | 06/01/10                                      | 06/25/10                                  |
| 15000 SH CASE NEW HOLLAND INC  | P   | 06/29/10                                      | 07/28/10                                  |
| 13000 SH KRAFT FOOD INC  | P   | 06/09/10                                      | 12/29/10                                  |
| 5000 SH CHURCH & DWIGHT INC  | P   | 08/17/10                                      | 12/30/10                                  |
| 15000 SH VERIZON GLOBAL FDG  | P   | 01/21/09                                      | 07/07/10                                  |
| 10000 SH EMERSON ELECTRIC CO   | P   | 10/16/08                                      | 08/16/10                                  |
| 10000 SH RANGE RESOURCES   | P   | 08/17/09                                      | 08/27/10                                  |
| 10000 SH ALCOA INC   | P   | 07/21/09                                      | 09/27/10                                  |
| 2000 SH DUPONT E I DE NEMOURS  | P   | 12/09/08                                      | 10/21/10                                  |
| 10000 SH DUPONT E I DE NEMOURS   | P   | 12/09/08                                      | 10/21/10                                  |
| 15000 SH CHURCH & DWIGHT INC   | P   | 11/05/09                                      | 12/30/10                                  |

## Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

## Columns (e) thru (h)

| (e) Gross sales<br>price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|--------------------------|--|---|--|
| 1,821.                   |  | 1,550.  | 271.   |
| 4,248.                   |  | 3,795.  | 453.   |
| 2,915.                   |  | 2,858.  | 57.  |
| 6,107.                   |  | 6,081.  | 26.  |
| 6,433.                   |  | 5,822.  | 611.   |
| 462.                     |  | 423.  | 39.  |
| 3,249.                   |  | 3,279.  | -30.   |
| 1,354.                   |  | 1,286.  | 68.  |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income  
Columns (e) thru (h)

Continued

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|---|--|--|
| 451.                  |   | 412.   | 39.  |
| 5,521.                |   | 5,229.                                       | 292.   |
| 920.                  |   | 824.   | 96.  |
| 683.                  |   | 740.   | -57.   |
| 1,083.                |   | 1,075.                                       | 8.   |
| 2,267.                |   | 3,167.                                       | -900.  |
| 1,700.                |   | 2,486.                                       | -786.  |
| 5,101.                |   | 7,025.                                       | -1,924.                                      |
| 4,534.                |   | 5,900.                                       | -1,366.                                      |
| 4,570.                |   | 6,186.                                       | -1,616.                                      |
| 3,998.                |   | 5,339.                                       | -1,341.                                      |
| 5,580.                |   | 4,907.                                       | 673.   |
| 2,021.                |   | 1,846.                                       | 175.   |
| 2,310.                |   | 2,014.                                       | 296.   |
| 5,631.                |   | 5,351.                                       | 280.   |
| 1,439.                |   | 1,739.                                       | -300.  |
| 1,679.                |   | 2,356.                                       | -677.  |
| 1,245.                |   | 1,159.                                       | 86.  |
| 1,245.                |   | 1,237.                                       | 8.   |
| 6,223.                |   | 6,149.                                       | 74.  |
| 1,495.                |   | 1,473.                                       | 22.  |
| 7,476.                |   | 7,297.                                       | 179.   |
| 5,233.                |   | 5,416.                                       | -183.  |
| 4,026.                |   | 5,998.                                       | -1,972.                                      |
| 2,684.                |   | 3,762.                                       | -1,078.                                      |
| 3,902.                |   | 3,849.                                       | 53.  |
| 975.                  |   | 1,007.                                       | -32.   |
| 1,951.                |   | 2,347.                                       | -396.  |
| 4,980.                |   | 5,594.                                       | -614.  |
| 2,716.                |   | 3,333.                                       | -617.  |
| 2,716.                |   | 2,903.                                       | -187.  |
| 10,471.               |   | 10,500.                                      | -29.   |
| 7,604.                |   | 7,897.                                       | -293.  |
| 625.                  |   | 602.   | 23.  |
| 1,876.                |   | 1,975.                                       | -99.   |
| 5,002.                |   | 5,380.                                       | -378.  |
| 2,501.                |   | 2,960.                                       | -459.  |
| 3,827.                |   | 3,806.                                       | 21.  |
| 1,276.                |   | 1,182.                                       | 94.  |
| 3,827.                |   | 3,911.                                       | -84.   |
| 7,267.                |   | 7,204.                                       | 63.  |
| 220.                  |   | 233.   | -13.   |
| 9,434.                |   | 10,573.                                      | -1,139.                                      |
| 6,014.                |   | 5,523.                                       | 491.   |
| 4,696.                |   | 4,812.                                       | -116.  |
| 783.                  |   | 782.   | 1.   |
| 6,261.                |   | 6,534.                                       | -273.  |
| 1,083.                |   | 1,058.                                       | 25.  |
| 5,416.                |   | 4,865.                                       | 551.   |
| 199.                  |   | 192.   | 7.   |
| 6,772.                |   | 6,467.                                       | 305.   |
| 1,582.                |   | 1,639.                                       | -57.   |
| 6,327.                |   | 6,426.                                       | -99.   |
| 2,559.                |   | 2,535.                                       | 24.  |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income  
Columns (e) thru (h)

Continued

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|---|--|--|
| 6,143.                |   | 5,915.                                       | 228.   |
| 6,439.                |   | 5,672.                                       | 767.   |
| 563.                  |   | 763.   | -200.  |
| 10,128.               |   | 12,490.                                      | -2,362.                                      |
| 2,251.                |   | 2,250.                                       | 1.   |
| 1,437.                |   | 1,467.                                       | -30.   |
| 1,437.                |   | 1,243.                                       | 194.   |
| 901.                  |   | 896.   | 5.   |
| 9,605.                |   | 13,044.                                      | -3,439.                                      |
| 427.                  |   | 469.   | -42.   |
| 439.                  |   | 437.   | 2.   |
| 1,049.                |   | 1,061.                                       | -12.   |
| 1,399.                |   | 1,881.                                       | -482.  |
| 1,399.                |   | 1,747.                                       | -348.  |
| 3,544.                |   | 3,595.                                       | -51.   |
| 886.                  |   | 960.   | -74.   |
| 1,397.                |   | 1,364.                                       | 33.  |
| 10,844.               |   | 11,156.                                      | -312.  |
| 1,595.                |   | 1,597.                                       | -2.  |
| 2,712.                |   | 2,541.                                       | 171.   |
| 8,677.                |   | 9,026.                                       | -349.  |
| 455.                  |   | 421.   | 34.  |
| 9,383.                |   | 12,439.                                      | -3,056.                                      |
| 1,642.                |   | 1,837.                                       | -195.  |
| 2,457.                |   | 2,432.                                       | 25.  |
| 1,755.                |   | 1,601.                                       | 154.   |
| 702.                  |   | 679.   | 23.  |
| 10,268.               |   | 10,164.                                      | 104.   |
| 2,443.                |   | 2,323.                                       | 120.   |
| 11,368.               |   | 10,875.                                      | 493.   |
| 1,835.                |   | 1,806.                                       | 29.  |
| 2,078.                |   | 1,306.                                       | 772.   |
| 3,610.                |   | 3,160.                                       | 450.   |
| 1,700.                |   | 2,517.                                       | -817.  |
| 5,287.                |   | 3,508.                                       | 1,779.                                       |
| 2,243.                |   | 1,904.                                       | 339.   |
| 2,551.                |   | 1,980.                                       | 571.   |
| 1,982.                |   | 1,871.                                       | 111.   |
| 1,769.                |   | 1,580.                                       | 189.   |
| 3,249.                |   | 2,368.                                       | 881.   |
| 2,191.                |   | 1,597.                                       | 594.   |
| 3,691.                |   | 3,587.                                       | 104.   |
| 2,048.                |   | 2,050.                                       | -2.  |
| 4,292.                |   | 3,809.                                       | 483.   |
| 4,492.                |   | 4,213.                                       | 279.   |
| 7,059.                |   | 5,481.                                       | 1,578.                                       |
| 3,162.                |   | 2,144.                                       | 1,018.                                       |
| 6,323.                |   | 4,885.                                       | 1,438.                                       |
| 11,713.               |   | 9,820.                                       | 1,893.                                       |
| 7,030.                |   | 5,348.                                       | 1,682.                                       |
| 3,515.                |   | 3,090.                                       | 425.   |
| 1,318.                |   | 837.   | 481.   |
| 4,197.                |   | 4,125.                                       | 72.  |
| 2,798.                |   | 3,401.                                       | -603.  |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income  
Columns (e) thru (h)

Continued

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|---|--|--|
| 4,430.                |   | 4,226.                                       | 204.   |
| 6,054.                |   | 5,567.                                       | 487.   |
| 5,123.                |   | 5,264.                                       | -141.  |
| 3,727.                |   | 2,970.                                       | 757.   |
| 6,212.                |   | 5,142.                                       | 1,070.                                       |
| 3,871.                |   | 3,533.                                       | 338.   |
| 7,741.                |   | 6,315.                                       | 1,426.                                       |
| 6,669.                |   | 5,587.                                       | 1,082.                                       |
| 4,886.                |   | 4,379.                                       | 507.   |
| 2,932.                |   | 2,368.                                       | 564.   |
| 3,466.                |   | 2,468.                                       | 998.   |
| 7,543.                |   | 5,654.                                       | 1,889.                                       |
| 15,172.               |   | 15,218.                                      | -46.   |
| 15,206.               |   | 15,243.                                      | -37.   |
| 15,534.               |   | 15,577.                                      | -43.   |
| 13,562.               |   | 13,706.                                      | -144.  |
| 5,000.                |   | 5,087.                                       | -87.   |
| 15,408.               |   | 15,935.                                      | -527.  |
| 10,000.               |   | 10,535.                                      | -535.  |
| 10,123.               |   | 9,950.                                       | 173.   |
| 10,412.               |   | 10,297.                                      | 115.   |
| 2,303.                |   | 1,991.                                       | 312.   |
| 11,516.               |   | 9,953.                                       | 1,563.                                       |
| 15,000.               |   | 15,300.                                      | -300.  |
| Total                 |   | <u>621,267.</u>                              | <u>3,067.</u>                                |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income  
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) Fair Market Value as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of column (i) over column (j), if any | (l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h)) |
|--------------------------------------|-----------------------------------|--|---|
|                                      |                                   |  | 271.  |
|                                      |                                   |  | 453.  |
|                                      |                                   |  | 57.   |
|                                      |                                   |  | 26.   |
|                                      |                                   |  | 611.  |
|                                      |                                   |  | 39.   |
|                                      |                                   |  | -30.  |
|                                      |                                   |  | 68.   |
|                                      |                                   |  | 39.   |
|                                      |                                   |  | 292.  |
|                                      |                                   |  | 96.   |
|                                      |                                   |  | -57.  |
|                                      |                                   |  | 8.  |
|                                      |                                   |  | -900.   |
|                                      |                                   |  | -786.   |
|                                      |                                   |  | -1,924.   |
|                                      |                                   |  | -1,366.   |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income  
Columns (i) thru (l)

Continued

Complete only for assets showing gain in column (h) and owned  
by the foundation on 12/31/69

| (i) Fair Market Value<br>as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of column (i)<br>over column (j), if any | (l) Gains (column (h))<br>gain minus column (k),<br>but not less than -0-<br>or losses (from<br>column (h)) |
|---|--------------------------------------|---|---|
|   |                                      |   | -1,616.   |
|   |                                      |   | -1,341.   |
|   |                                      |   | 673.  |
|   |                                      |   | 175.  |
|   |                                      |   | 296.  |
|   |                                      |   | 280.  |
|   |                                      |   | -300.   |
|   |                                      |   | -677.   |
|   |                                      |   | 86.   |
|   |                                      |   | 8.  |
|   |                                      |   | 74.   |
|   |                                      |   | 22.   |
|   |                                      |   | 179.  |
|   |                                      |   | -183.   |
|   |                                      |   | -1,972.   |
|   |                                      |   | -1,078.   |
|   |                                      |   | 53.   |
|   |                                      |   | -32.  |
|   |                                      |   | -396.   |
|   |                                      |   | -614.   |
|   |                                      |   | -617.   |
|   |                                      |   | -187.   |
|   |                                      |   | -29.  |
|   |                                      |   | -293.   |
|   |                                      |   | 23.   |
|   |                                      |   | -99.  |
|   |                                      |   | -378.   |
|   |                                      |   | -459.   |
|   |                                      |   | 21.   |
|   |                                      |   | 94.   |
|   |                                      |   | -84.  |
|   |                                      |   | 63.   |
|   |                                      |   | -13.  |
|   |                                      |   | -1,139.   |
|   |                                      |   | 491.  |
|   |                                      |   | -116.   |
|   |                                      |   | 1.  |
|   |                                      |   | -273.   |
|   |                                      |   | 25.   |
|   |                                      |   | 551.  |
|   |                                      |   | 7.  |
|   |                                      |   | 305.  |
|   |                                      |   | -57.  |
|   |                                      |   | -99.  |
|   |                                      |   | 24.   |
|   |                                      |   | 228.  |
|   |                                      |   | 767.  |
|   |                                      |   | -200.   |
|   |                                      |   | -2,362.   |
|   |                                      |   | 1.  |
|   |                                      |   | -30.  |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income  
Columns (i) thru (l)

Continued

Complete only for assets showing gain in column (h) and owned  
by the foundation on 12/31/69

| (i) Fair Market Value<br>as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of column (i)<br>over column (j), if any | (l) Gains (column (h)<br>gain minus column (k),<br>but not less than -0-)<br>or losses (from<br>column (h)) |
|---|--------------------------------------|---|---|
|   |                                      |   | 194.  |
|   |                                      |   | 5.  |
|   |                                      |   | -3,439.   |
|   |                                      |   | -42.  |
|   |                                      |   | 2.  |
|   |                                      |   | -12.  |
|   |                                      |   | -482.   |
|   |                                      |   | -348.   |
|   |                                      |   | -51.  |
|   |                                      |   | -74.  |
|   |                                      |   | 33.   |
|   |                                      |   | -312.   |
|   |                                      |   | -2.   |
|   |                                      |   | 171.  |
|   |                                      |   | -349.   |
|   |                                      |   | 34.   |
|   |                                      |   | -3,056.   |
|   |                                      |   | -195.   |
|   |                                      |   | 25.   |
|   |                                      |   | 154.  |
|   |                                      |   | 23.   |
|   |                                      |   | 104.  |
|   |                                      |   | 120.  |
|   |                                      |   | 493.  |
|   |                                      |   | 29.   |
|   |                                      |   | 772.  |
|   |                                      |   | 450.  |
|   |                                      |   | -817.   |
|   |                                      |   | 1,779.  |
|   |                                      |   | 339.  |
|   |                                      |   | 571.  |
|   |                                      |   | 111.  |
|   |                                      |   | 189.  |
|   |                                      |   | 881.  |
|   |                                      |   | 594.  |
|   |                                      |   | 104.  |
|   |                                      |   | -2.   |
|   |                                      |   | 483.  |
|   |                                      |   | 279.  |
|   |                                      |   | 1,578.  |
|   |                                      |   | 1,018.  |
|   |                                      |   | 1,438.  |
|   |                                      |   | 1,893.  |
|   |                                      |   | 1,682.  |
|   |                                      |   | 425.  |
|   |                                      |   | 481.  |
|   |                                      |   | 72.   |
|   |                                      |   | -603.   |
|   |                                      |   | 204.  |
|   |                                      |   | 487.  |
|   |                                      |   | -141.   |

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income  
Columns (i) thru (l)

Continued

Complete only for assets showing gain in column (h) and owned  
by the foundation on 12/31/69

| (i) Fair Market Value<br>as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of column (i)<br>over column (j), if any | (l) Gains (column (h))<br>gain minus column (k),<br>but not less than -0-)<br>or losses (from<br>column (h)) |
|---|--------------------------------------|---|--|
|   |                                      |   | 757.   |
|   |                                      |   | 1,070.   |
|   |                                      |   | 338.   |
|   |                                      |   | 1,426.   |
|   |                                      |   | 1,082.   |
|   |                                      |   | 507.   |
|   |                                      |   | 564.   |
|   |                                      |   | 998.   |
|   |                                      |   | 1,889.   |
|   |                                      |   | -46.   |
|   |                                      |   | -37.   |
|   |                                      |   | -43.   |
|   |                                      |   | -144.  |
|   |                                      |   | -87.   |
|   |                                      |   | -527.  |
|   |                                      |   | -535.  |
|   |                                      |   | 173.   |
|   |                                      |   | 115.   |
|   |                                      |   | 312.   |
|   |                                      |   | 1,563.   |
|   |                                      |   | -300.  |
| Total                                   |                                      |   | <u>3,067.</u>  |

## Form 990-PF, Page 11, Part XV, line 3a

## Line 3a statement

| Recipient<br>Name and address<br>(home or business)  | If recipient is<br>an individual,<br>show any<br>relationship to<br>any foundation<br>manager or<br>substantial<br>contributor | Found-<br>ation<br>status<br>of re-<br>cipient | Purpose of<br>grant or contribution | Person or<br>Business<br>Checkbox<br>Amount                      |
|--|--|--|-------------------------------------|--|
| <b>a Paid during the year</b>  |  |  |                                     |  |
| <u>MARCH OF DIMES</u><br><u>1275 Mamaroneck Avenue</u><br><u>WHITE PLAINS NY 10605</u>       |  |  |                                     | Person or<br>Business <input checked="" type="checkbox"/> 50.    |
| <u>UMASS-LOWELL</u><br><u>ONE UNIVERSITY AVE</u><br><u>LOWELL MA 01854</u>                   |  | -  | PHILANTHROPIC                       | Person or<br>Business <input checked="" type="checkbox"/> 5,000. |
| <u>OHIO UNIVERSITY</u><br><u>751 W Union St</u><br><u>ATHENS OH 45701</u>                    |  | -  | EDUCATION                           | Person or<br>Business <input checked="" type="checkbox"/> 5,000. |
| <u>FEEDING AMERICA</u><br><u>35 East Wacker Drive, Suite 2000</u><br><u>CHICAGO IL 60601</u> |  | -  | EDUCATION                           | Person or<br>Business <input checked="" type="checkbox"/> 2,000. |
|  |  |  | HUMANITARIAN                        |  |

Form 990-PF, Page 11, Part XV, line 3a  
Line 3a statement

Continued

| Recipient<br>Name and address<br>(home or business)  | If recipient is<br>an individual,<br>show any<br>relationship to<br>any foundation<br>manager or<br>substantial<br>contributor | Found-<br>ation<br>status<br>of re-<br>cipient | Purpose of<br>grant or contribution | Person or<br>Business<br>Checkbox<br>Amount                   |
|--|--|--|-------------------------------------|---|
| <b>a Paid during the year</b>  |  |  |                                     |   |
| <u>DOCTORS WITHOUT BORDERS</u><br><u>333 SEVENTH AVE, 2ND FL</u><br><u>NEW YORK NY 10001</u> |  | -  | MEDICAL                             | Person or Business <input checked="" type="checkbox"/> 1,000. |
| <u>ALZHEIMERS ASSOC</u><br><u>225 N. Michigan Ave., Fl. 17</u><br><u>CHICAGO IL 60601</u>    |  | -  | PHILANTHROPIC                       | Person or Business <input checked="" type="checkbox"/> 1,000. |

Total

14,050.

Form 990-PF, Page 2, Part II, Line 10a  
L-10a Stmt

| Line 10a - Investments -<br>US and State Government<br>Obligations: | End of Year                                  |                                       | End of Year                                |                                     |
|---|--|---------------------------------------|--|-------------------------------------|
|   | State and Local<br>Obligations<br>Book Value | State and Local<br>Obligations<br>FMV | US Government<br>Obligations<br>Book Value | US Government<br>Obligations<br>FMV |
| <u>CHICAGO ILL WTR REV SECOND LIEN</u>                              | <u>25,492.</u>                               | <u>25,899.</u>                        |  |                                     |
| <u>DALLAS CNTY TEX CMNTY COLL GO BONDS</u>                          | <u>25,116.</u>                               | <u>25,144.</u>                        |  |                                     |
| <u>UTAH ST GO BONDS</u>   | <u>25,535.</u>                               | <u>25,587.</u>                        |  |                                     |
| Total   | <u>76,143.</u>                               | <u>76,630.</u>                        |  |                                     |

Form 990-PF, Page 2, Part II, Line 10c  
L- 10c Stmt

| Line 10c - Investments - Corporate Bonds: | End of Year    |                      |
|---|----------------|----------------------|
|   | Book<br>Value  | Fair Market<br>Value |
| <u>ALCOA INC</u>                          | <u>5,273.</u>  | <u>5,502.</u>        |
| <u>ANDARKO PETE CORP</u>                  | <u>14,757.</u> | <u>16,424.</u>       |
| <u>AT&amp;T INC</u>                       | <u>14,974.</u> | <u>17,120.</u>       |
| <u>BALL CORP</u>                          | <u>15,350.</u> | <u>16,163.</u>       |
| <u>BANK OF AMERICA</u>                    | <u>15,454.</u> | <u>15,030.</u>       |
| <u>BANK OF MONTREAL QUE</u>               | <u>14,991.</u> | <u>15,266.</u>       |
| <u>CATERPILLAR</u>                        | <u>29,993.</u> | <u>33,182.</u>       |
| <u>CBS CORP NEW</u>                       | <u>14,819.</u> | <u>17,497.</u>       |
| <u>CHESAPEAKE ENERGY</u>                  | <u>9,990.</u>  | <u>10,150.</u>       |
| <u>CITIGROUP INC</u>                      | <u>15,017.</u> | <u>16,487.</u>       |
| <u>CMS ENERGY CORP</u>                    | <u>14,970.</u> | <u>14,832.</u>       |
| <u>DENBURY RESOURCES INC</u>              | <u>15,150.</u> | <u>15,150.</u>       |
| <u>DU PONT EI DE NEMOURS</u>              | <u>2,986.</u>  | <u>3,344.</u>        |

Form 990-PF, Page 2, Part II, Line 10c  
L- 10c Stmt

Continued

| Line 10c - Investments - Corporate Bonds: | End of Year |                   |
|---|-------------|-------------------|
|   | Book Value  | Fair Market Value |
| EQUINIX INC                               | 15,525.     | 15,675.           |
| EXPRESS SCRIPTS                           | 16,052.     | 15,832.           |
| FORTUNE BRANDS INC                        | 15,292.     | 15,225.           |
| GOLDMAN SACHS GROUP INC                   | 15,509.     | 15,979.           |
| HANESBRANDS INC                           | 15,293.     | 16,088.           |
| HESS CORP                                 | 14,959.     | 17,051.           |
| JEFFERIES GROUP INC                       | 15,381.     | 15,450.           |
| KINDER MORGAN                             | 14,604.     | 15,188.           |
| KRAFT FOODS INC                           | 2,109.      | 2,075.            |
| MARATHON OIL CORP                         | 14,938.     | 16,919.           |
| METLIFE INC                               | 15,227.     | 15,231.           |
| MORGAN STANLEY GROUP INC                  | 15,862.     | 15,982.           |
| NRG ENERGY INC                            | 15,299.     | 15,375.           |
| OCCIDENTAL PETE                           | 9,914.      | 11,562.           |
| OVERSEAS SHIPHOLDING GROUP INC            | 14,975.     | 14,995.           |
| PITNEY BOWES INC                          | 15,881.     | 15,739.           |
| PRUDENTIAL FINANCIAL                      | 15,415.     | 15,274.           |
| RANGE RES CORP                            | 10,225.     | 10,200.           |
| ROWAN COS INC                             | 15,003.     | 15,131.           |
| SIMON PROPERTY GROUP LP                   | 15,983.     | 16,198.           |
| TIME WARNER CABLE INC                     | 14,930.     | 17,259.           |
| WILLIS GROUP NA                           | 15,919.     | 15,759.           |
| Total                                     | 498,019.    | 520,334.          |