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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning 01-01-2010 , and ending 12-31-2010

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation  
THE WENDELL M SMITH FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)  
67 MOOSEHILL ROAD

Room/suite

City or town, state, and ZIP code  
TRUMBULL, CT 06611

A Employer identification number  
22-3045019

B Telephone number (see page 10 of the instructions)  
(203) 459-8618

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 544,786

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)   
(Part I, column (d) must be on cash basis.)

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) ) |                                                                                                      | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                                            | 1 Contributions, gifts, grants, etc , received (attach schedule)                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 3 Interest on savings and temporary cash investments                                                 | 37                                 | 37                        |                         |                                                             |
|                                                                                                                                                                                    | 4 Dividends and interest from securities. . . . .                                                    | 13,504                             | 13,504                    |                         |                                                             |
|                                                                                                                                                                                    | 5a Gross rents . . . . .                                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | b Net rental income or (loss) _____                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                                             | 3,903                              |                           |                         |                                                             |
|                                                                                                                                                                                    | b Gross sales price for all assets on line 6a <u>462,732</u>                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 7 Capital gain net income (from Part IV, line 2) . . . .                                             |                                    | 3,903                     |                         |                                                             |
|                                                                                                                                                                                    | 8 Net short-term capital gain . . . . .                                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 9 Income modifications . . . . .                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 10a Gross sales less returns and allowances                                                          |                                    |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                                              | b Less Cost of goods sold . . . . .                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | c Gross profit or (loss) (attach schedule) . . . . .                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 11 Other income (attach schedule) . . . . .                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 12 <b>Total.</b> Add lines 1 through 11 . . . . .                                                    | 17,444                             | 17,444                    |                         |                                                             |
|                                                                                                                                                                                    | 13 Compensation of officers, directors, trustees, etc                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 14 Other employee salaries and wages . . . . .                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 15 Pension plans, employee benefits . . . . .                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 16a Legal fees (attach schedule) . . . . .                                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | b Accounting fees (attach schedule) . . . . .                                                        | 3,100                              |                           |                         |                                                             |
|                                                                                                                                                                                    | c Other professional fees (attach schedule) . . . . .                                                | 3,000                              |                           |                         |                                                             |
|                                                                                                                                                                                    | 17 Interest . . . . .                                                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 18 Taxes (attach schedule) (see page 14 of the instructions)                                         | 166                                | 26                        |                         |                                                             |
|                                                                                                                                                                                    | 19 Depreciation (attach schedule) and depletion . . . .                                              | 0                                  |                           |                         |                                                             |
|                                                                                                                                                                                    | 20 Occupancy . . . . .                                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 21 Travel, conferences, and meetings . . . . .                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 22 Printing and publications . . . . .                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 23 Other expenses (attach schedule) . . . . .                                                        | 3,839                              | 3,839                     |                         |                                                             |
|                                                                                                                                                                                    | 24 <b>Total operating and administrative expenses.</b>                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | Add lines 13 through 23 . . . . .                                                                    | 10,105                             | 3,865                     |                         | 0                                                           |
|                                                                                                                                                                                    | 25 Contributions, gifts, grants paid . . . . .                                                       | 38,400                             |                           |                         | 38,400                                                      |
|                                                                                                                                                                                    | 26 <b>Total expenses and disbursements.</b> Add lines 24 and 25                                      | 48,505                             | 3,865                     |                         | 38,400                                                      |
|                                                                                                                                                                                    | 27 Subtract line 26 from line 12                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | a <b>Excess of revenue over expenses and disbursements</b>                                           | -31,061                            |                           |                         |                                                             |
|                                                                                                                                                                                    | b <b>Net investment income</b> (if negative, enter -0-)                                              |                                    | 13,579                    |                         |                                                             |
| c <b>Adjusted net income</b> (if negative, enter -0-)                                                                                                                              |                                                                                                      |                                    |                           |                         |                                                             |

| Part II Balance Sheets      |     | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)                               | Beginning of year | End of year    |                       |
|-----------------------------|-----|--------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                             |     |                                                                                                                                                  | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1   | Cash—non-interest-bearing . . . . .                                                                                                              | 70,447            | 23,081         | 23,081                |
|                             | 2   | Savings and temporary cash investments . . . . .                                                                                                 |                   |                |                       |
|                             | 3   | Accounts receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                                      |                   |                |                       |
|                             | 4   | Pledges receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                                       |                   |                |                       |
|                             | 5   | Grants receivable . . . . .                                                                                                                      |                   |                |                       |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . . |                   |                |                       |
|                             | 7   | Other notes and loans receivable (attach schedule) ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                       |                   |                |                       |
|                             | 8   | Inventories for sale or use . . . . .                                                                                                            |                   |                |                       |
|                             | 9   | Prepaid expenses and deferred charges . . . . .                                                                                                  |                   |                |                       |
|                             | 10a | Investments—U S and state government obligations (attach schedule)                                                                               |                   |                |                       |
|                             | b   | Investments—corporate stock (attach schedule) . . . . .                                                                                          | 327,410           | 344,345        | 344,345               |
|                             | c   | Investments—corporate bonds (attach schedule). . . . .                                                                                           | 140,251           | 177,360        | 177,360               |
|                             | 11  | Investments—land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____                              |                   |                |                       |
|                             | 12  | Investments—mortgage loans . . . . .                                                                                                             |                   |                |                       |
|                             | 13  | Investments—other (attach schedule) . . . . .                                                                                                    |                   |                |                       |
|                             | 14  | Land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____                                          |                   |                |                       |
| Liabilities                 | 15  | Other assets (describe ▶ _____)                                                                                                                  | ☒ -1              | ☒ 2            |                       |
|                             | 16  | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)                                                       | 538,107           | 544,788        | 544,786               |
|                             | 17  | Accounts payable and accrued expenses . . . . .                                                                                                  |                   |                |                       |
|                             | 18  | Grants payable . . . . .                                                                                                                         |                   |                |                       |
|                             | 19  | Deferred revenue . . . . .                                                                                                                       |                   |                |                       |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons                                                                         |                   |                |                       |
|                             | 21  | Mortgages and other notes payable (attach schedule) . . . . .                                                                                    |                   |                |                       |
| Net Assets or Fund Balances | 22  | Other liabilities (describe ▶ _____)                                                                                                             |                   |                |                       |
|                             | 23  | Total liabilities (add lines 17 through 22) . . . . .                                                                                            |                   | 0              |                       |
|                             |     | Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.                                           |                   |                |                       |
|                             | 24  | Unrestricted . . . . .                                                                                                                           |                   |                |                       |
|                             | 25  | Temporarily restricted . . . . .                                                                                                                 |                   |                |                       |
|                             | 26  | Permanently restricted . . . . .                                                                                                                 |                   |                |                       |
|                             |     | Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.                                                        |                   |                |                       |
|                             | 27  | Capital stock, trust principal, or current funds . . . . .                                                                                       |                   |                |                       |
|                             | 28  | Paid-in or capital surplus, or land, bldg , and equipment fund                                                                                   |                   |                |                       |
|                             | 29  | Retained earnings, accumulated income, endowment, or other funds                                                                                 | 538,107           | 544,788        |                       |
|                             | 30  | Total net assets or fund balances (see page 17 of the instructions) . . . . .                                                                    | 538,107           | 544,788        |                       |
|                             | 31  | Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .                                                       | 538,107           | 544,788        |                       |

Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                    |   |         |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . . | 1 | 538,107 |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                       | 2 | -31,061 |
| 3 | Other increases not included in line 2 (itemize) ▶ _____ ☒                                                                                                         | 3 | 47,982  |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                    | 4 | 555,028 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____ ☒                                                                                                               | 5 | 10,240  |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .                                                      | 6 | 544,788 |

Part IV

Capital Gains and Losses for Tax on Investment Income

|                                                                                                                                   |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
|-----------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) |                                                                                                                                                                                                                                 | (b) How acquired<br>P—Purchase<br>D—Donation                                        | (c) Date acquired<br>(mo , day, yr )                                                      | (d) Date sold<br>(mo , day, yr ) |
| 1a                                                                                                                                | See Additional Data Table                                                                                                                                                                                                       |                                                                                     |                                                                                           |                                  |
| b                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| c                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| d                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| e                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| (e) Gross sales price                                                                                                             |                                                                                                                                                                                                                                 | (f) Depreciation allowed<br>(or allowable)                                          | (g) Cost or other basis<br>plus expense of sale                                           |                                  |
| a                                                                                                                                 | See Additional Data Table                                                                                                                                                                                                       |                                                                                     |                                                                                           |                                  |
| b                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| c                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| d                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| e                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                       |                                                                                                                                                                                                                                 |                                                                                     | (I) Gains (Col (h) gain minus col (k), but not less than -0-) or<br>Losses (from col (h)) |                                  |
| (i) F M V as of 12/31/69                                                                                                          |                                                                                                                                                                                                                                 | (j) Adjusted basis<br>as of 12/31/69                                                | (k) Excess of col (i)<br>over col (j), if any                                             |                                  |
| a                                                                                                                                 | See Additional Data Table                                                                                                                                                                                                       |                                                                                     |                                                                                           |                                  |
| b                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| c                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| d                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| e                                                                                                                                 |                                                                                                                                                                                                                                 |                                                                                     |                                                                                           |                                  |
| 2                                                                                                                                 | Capital gain net income or (net capital loss)                                                                                                                                                                                   | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } |                                                                                           | 23,903                           |
| 3                                                                                                                                 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)<br>If (loss), enter -0- in Part I, line 8 . . . . . | { . . . . . }                                                                       |                                                                                           | 3                                |

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No  
If “Yes,” the foundation does not qualify under section 4940(e) Do not complete this part

|                                                                                                                                                                                      |                                          |                                              |                                                           |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| 1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries                                                               |                                          |                                              |                                                           |
| (a)<br>Base period years Calendar<br>year (or tax year beginning in)                                                                                                                 | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
| 2009                                                                                                                                                                                 |                                          |                                              |                                                           |
| 2008                                                                                                                                                                                 |                                          |                                              |                                                           |
| 2007                                                                                                                                                                                 |                                          |                                              |                                                           |
| 2006                                                                                                                                                                                 |                                          |                                              |                                                           |
| 2005                                                                                                                                                                                 |                                          |                                              |                                                           |
| 2 Total of line 1, column (d). . . . .                                                                                                                                               |                                          |                                              | 2                                                         |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . |                                          |                                              | 3                                                         |
| 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5. . . . .                                                                                              |                                          |                                              | 4                                                         |
| 5 Multiply line 4 by line 3. . . . .                                                                                                                                                 |                                          |                                              | 5                                                         |
| 6 Enter 1% of net investment income (1% of Part I, line 27b). . . . .                                                                                                                |                                          |                                              | 6                                                         |
| 7 Add lines 5 and 6. . . . .                                                                                                                                                         |                                          |                                              | 7                                                         |
| 8 Enter qualifying distributions from Part XII, line 4. . . . .                                                                                                                      |                                          |                                              | 8                                                         |
| If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18                  |                                          |                                              |                                                           |

| Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)                                                                                                           |    |       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) |    |       |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .                                                                          |    | 1 272 |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                              |    |       |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                           |    | 2     |
| 3 Add lines 1 and 2. . . . .                                                                                                                                                                                                         |    | 3 272 |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                         |    | 4     |
| 5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .                                                                                                                                   |    | 5 272 |
| 6 Credits/Payments                                                                                                                                                                                                                   |    |       |
| a 2010 estimated tax payments and 2009 overpayment credited to 2010                                                                                                                                                                  | 6a |       |
| b Exempt foreign organizations—tax withheld at source . . . . .                                                                                                                                                                      | 6b |       |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                | 6c |       |
| d Backup withholding erroneously withheld . . . . .                                                                                                                                                                                  | 6d |       |
| 7 Total credits and payments Add lines 6a through 6d. . . . .                                                                                                                                                                        |    | 7     |
| 8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                   |    | 8     |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .                                                                                                                                            |    | 9 272 |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .                                                                                                                                |    | 10    |
| 11 Enter the amount of line 10 to be Credited to 2011 estimated tax 0 Refunded                                                                                                                                                       |    | 11    |

| Part VII-A Statements Regarding Activities                                                                                                                                                                                                                                                                                                                         |  |    |        |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----|--------|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                                                  |  |    | Yes No |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |  | 1a | No     |
| c Did the foundation file Form 1120-POL for this year?. . . . .                                                                                                                                                                                                                                                                                                    |  | 1b | No     |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$                                                                                                                                                                                                         |  | 1c | No     |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$                                                                                                                                                                                                                          |  |    |        |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                                          |  | 2  | No     |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                                                                                                                             |  | 3  | No     |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .                                                                                                                                                                                                                                                            |  | 4a | No     |
| b If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .                                                                                                                                                                                                                                                                                        |  | 4b | No     |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                                    |  | 5  | No     |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .                      |  | 6  | No     |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                                                 |  | 7  | Yes    |
| 8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) _____                                                                                                                                                                                                                                         |  |    |        |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .                                                                                                                                                    |  | 8b | Yes    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .                                                                                        |  | 9  | No     |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                                              |  | 10 | No     |

Part VII-A

Statements Regarding Activities *(continued)*

|                                                                                                                                               |                                                                                                                                                                                                         |    |     |    |
|-----------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11                                                                                                                                            | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions). | 11 |     | No |
| 12                                                                                                                                            | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                                   | 12 |     | No |
| 13                                                                                                                                            | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address N/A                                                              | 13 | Yes |    |
| 14                                                                                                                                            | The books are in care of JENNIFER PACELLI Telephone no (203) 459-8618<br>Located at 67 MOOSEHILL ROAD TRUMBULL CT ZIP+4 06611                                                                           |    |     |    |
| 15                                                                                                                                            | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.                     |    |     |    |
| 16                                                                                                                                            | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?               | 15 |     |    |
| See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country. |                                                                                                                                                                                                         | 16 |     | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |     |    |
|-----------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|-----|----|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                     | Yes | No |
| 1a                                                                                      | During the year did the foundation (either directly or indirectly)<br>(1) Engage in the sale or exchange, or leasing of property with a disqualified person?<br>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?<br>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?<br>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?<br>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?<br>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| b                                                                                       | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                     | 1b  | No |
| c                                                                                       | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                     | 1c  | No |
| 2                                                                                       | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                     |     |    |
| a                                                                                       | At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years 20 , 20 , 20 , 20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| b                                                                                       | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions ).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                     | 2b  | No |
| c                                                                                       | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                     |     |    |
| 3a                                                                                      | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| b                                                                                       | If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.).                                                                                                                                                                                                                                                                                                                                                                          |                                                                     | 3b  | No |
| 4a                                                                                      | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                     | 4a  | No |
| b                                                                                       | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                     | 4b  | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

|    |                                                                                                                                                                                                                                                                                                                                   |                              |                                        |    |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------|----|
| 5a | During the year did the foundation pay or incur any amount to                                                                                                                                                                                                                                                                     |                              |                                        |    |
|    | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?                                                                                                                                                                                                                                         | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
|    | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?                                                                                                                                                                               | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
|    | (3) Provide a grant to an individual for travel, study, or other similar purposes?                                                                                                                                                                                                                                                | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
|    | (4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).                                                                                                                                         | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
|    | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?                                                                                                                                                             | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |    |
| b  | If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. | 5b                           |                                        | No |
| c  | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?<br><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>                                                                                 |                              |                                        |    |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?                                                                                                                                                                                                   |                              |                                        |    |
|    | b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?<br><i>If "Yes" to 6b, file Form 8870.</i>                                                                                                                                                                            | 6b                           |                                        | No |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?                                                                                                                                                                                                                              |                              |                                        |    |
|    | b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?                                                                                                                                                                                                                         | 7b                           |                                        | No |

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

|                                                                                                                                                |                                                           |                                           |                                                                       |                                       |
|------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| 1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).                        |                                                           |                                           |                                                                       |                                       |
| (a) Name and address                                                                                                                           | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| KENNETH REYNOLDS CO K WILSON                                                                                                                   | Director                                                  | 0                                         |                                                                       |                                       |
| 352 CHAPMAN ROAD                                                                                                                               | 0 00                                                      |                                           |                                                                       |                                       |
| PROCTORSVILLE, VT 05153                                                                                                                        |                                                           |                                           |                                                                       |                                       |
| MARGARET M SMITH                                                                                                                               | Secretary                                                 | 0                                         |                                                                       |                                       |
| 57 Route 4A                                                                                                                                    | 0 00                                                      |                                           |                                                                       |                                       |
| Enfield, NH 03748                                                                                                                              |                                                           |                                           |                                                                       |                                       |
| WENDELL M SMITH                                                                                                                                | President & CEO                                           | 0                                         |                                                                       |                                       |
| 57 Route 4A                                                                                                                                    | 0 00                                                      |                                           |                                                                       |                                       |
| Enfield, NH 03748                                                                                                                              |                                                           |                                           |                                                                       |                                       |
| 2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).<br>If none, enter "NONE." |                                                           |                                           |                                                                       |                                       |
| (a) Name and address of each employee paid more than \$50,000                                                                                  | (b) Title, and average hours per week devoted to position | (c) Compensation                          | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| NONE                                                                                                                                           |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                                |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                                |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                                |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                                |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                                |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                                |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                                |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                                |                                                           |                                           |                                                                       |                                       |
| Total number of other employees paid over \$50,000.                                                                                            |                                                           |                                           |                                                                       |                                       |

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

| 3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE". |                     |                  |
|---------------------------------------------------------------------------------------------------------------------------------|---------------------|------------------|
| (a) Name and address of each person paid more than \$50,000                                                                     | (b) Type of service | (c) Compensation |
| NONE                                                                                                                            |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
|                                                                                                                                 |                     |                  |
| Total number of others receiving over \$50,000 for professional services. . . . .                                               |                     |                  |

Part IX-A

Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |
| 2                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |
| 3                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |
| 4                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |

Part IX-B

Summary of Program-Related Investments (see page 24 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| 2                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| All other program-related investments. See page 24 of the instructions.                                          |        |
| 3                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| Total. Add lines 1 through 3. . . . .                                                                            |        |



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

|   |                                                                                                                                  |    |         |
|---|----------------------------------------------------------------------------------------------------------------------------------|----|---------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes                       |    |         |
| a | Average monthly fair market value of securities. . . . .                                                                         | 1a | 530,444 |
| b | Average of monthly cash balances. . . . .                                                                                        | 1b | 0       |
| c | Fair market value of all other assets (see page 24 of the instructions). . . . .                                                 | 1c | 0       |
| d | Total (add lines 1a, b, and c). . . . .                                                                                          | 1d | 530,444 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .               | 1e | 0       |
| 2 | Acquisition indebtedness applicable to line 1 assets. . . . .                                                                    | 2  |         |
| 3 | Subtract line 2 from line 1d. . . . .                                                                                            | 3  | 530,444 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . . | 4  | 7,957   |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4                              | 5  | 522,487 |
| 6 | Minimum investment return. Enter 5% of line 5. . . . .                                                                           | 6  | 26,124  |

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

|    |                                                                                                           |    |        |
|----|-----------------------------------------------------------------------------------------------------------|----|--------|
| 1  | Minimum investment return from Part X, line 6. . . . .                                                    | 1  | 26,124 |
| 2a | Tax on investment income for 2010 from Part VI, line 5. . . . .                                           | 2a | 272    |
| b  | Income tax for 2010 (This does not include the tax from Part VI ). . . . .                                | 2b |        |
| c  | Add lines 2a and 2b. . . . .                                                                              | 2c | 272    |
| 3  | Distributable amount before adjustments Subtract line 2c from line 1. . . . .                             | 3  | 25,852 |
| 4  | Recoveries of amounts treated as qualifying distributions. . . . .                                        | 4  |        |
| 5  | Add lines 3 and 4. . . . .                                                                                | 5  | 25,852 |
| 6  | Deduction from distributable amount (see page 25 of the instructions). . . . .                            | 6  |        |
| 7  | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . . | 7  | 25,852 |

Part XII

Qualifying Distributions (see page 25 of the instructions)

|                                                                                                                                                                                              |                                                                                                                                                                             |    |        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--------|
| 1                                                                                                                                                                                            | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes                                                                                   |    |        |
| a                                                                                                                                                                                            | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .                                                                                        | 1a | 38,400 |
| b                                                                                                                                                                                            | Program-related investments—total from Part IX-B. . . . .                                                                                                                   | 1b |        |
| 2                                                                                                                                                                                            | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .                                                          | 2  |        |
| 3                                                                                                                                                                                            | Amounts set aside for specific charitable projects that satisfy the                                                                                                         |    |        |
| a                                                                                                                                                                                            | Suitability test (prior IRS approval required). . . . .                                                                                                                     | 3a |        |
| b                                                                                                                                                                                            | Cash distribution test (attach the required schedule). . . . .                                                                                                              | 3b |        |
| 4                                                                                                                                                                                            | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                                                                   | 4  | 38,400 |
| 5                                                                                                                                                                                            | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . . | 5  |        |
| 6                                                                                                                                                                                            | Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .                                                                                                     | 6  | 38,400 |
| Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years |                                                                                                                                                                             |    |        |

Part XIII

Undistributed Income (see page 26 of the instructions)

|                                                                                                                                                                                     | (a)<br>Corpus | (b)<br>Years prior to 2009 | (c)<br>2009 | (d)<br>2010 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2010 from Part XI, line 7                                                                                                                                |               |                            |             | 25,852      |
| 2 Undistributed income, if any, as of the end of 2010                                                                                                                               |               |                            |             |             |
| a Enter amount for 2009 only. . . . .                                                                                                                                               |               |                            |             |             |
| b Total for prior years 20____, 20____, 20____                                                                                                                                      |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2010                                                                                                                                   |               |                            |             |             |
| a From 2005. . . . .                                                                                                                                                                |               |                            |             |             |
| b From 2006. . . . .                                                                                                                                                                |               |                            |             |             |
| c From 2007. . . . .                                                                                                                                                                |               |                            |             |             |
| d From 2008. . . . .                                                                                                                                                                |               |                            |             | 1,280       |
| e From 2009. . . . .                                                                                                                                                                |               |                            |             | 2,268       |
| f Total of lines 3a through e. . . . .                                                                                                                                              | 3,548         |                            |             |             |
| 4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 38,400                                                                                                               |               |                            |             |             |
| a Applied to 2009, but not more than line 2a                                                                                                                                        |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)                                                                                |               |                            |             |             |
| c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .                                                                                   | 0             |                            |             |             |
| d Applied to 2010 distributable amount. . . . .                                                                                                                                     |               |                            |             | 25,852      |
| e Remaining amount distributed out of corpus                                                                                                                                        | 12,548        |                            |             |             |
| 5 Excess distributions carryover applied to 2010<br>(If an amount appears in column (d), the same amount must be shown in column (a).)                                              |               |                            |             |             |
| 6 Enter the net total of each column as indicated below:                                                                                                                            |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                                   | 16,096        |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b. . . . .                                                                                                          |               |                            |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . |               |                            |             |             |
| d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .                                                                                                |               |                            |             |             |
| e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . .                                                              |               |                            |             |             |
| f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .                                                               |               |                            |             | 0           |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .                  |               |                            |             |             |
| 8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .                                                              |               |                            |             |             |
| 9 Excess distributions carryover to 2011.<br>Subtract lines 7 and 8 from line 6a . . . . .                                                                                          | 16,096        |                            |             |             |
| 10 Analysis of line 9                                                                                                                                                               |               |                            |             |             |
| a Excess from 2006. . . . .                                                                                                                                                         |               |                            |             |             |
| b Excess from 2007. . . . .                                                                                                                                                         |               |                            |             |             |
| c Excess from 2008. . . . .                                                                                                                                                         |               |                            |             | 1,280       |
| d Excess from 2009. . . . .                                                                                                                                                         |               |                            |             | 2,268       |
| e Excess from 2010. . . . .                                                                                                                                                         |               |                            |             | 12,548      |

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

|    |                                                                                                                                                    |          |               |          |          |           |
|----|----------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
| 2a | Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.                         | Tax year | Prior 3 years |          |          | (e) Total |
|    |                                                                                                                                                    | (a) 2010 | (b) 2009      | (c) 2008 | (d) 2007 |           |
|    |                                                                                                                                                    |          |               |          |          |           |
| b  | 85% of line 2a                                                                                                                                     |          |               |          |          |           |
| c  | Qualifying distributions from Part XII, line 4 for each year listed.                                                                               |          |               |          |          |           |
| d  | Amounts included in line 2c not used directly for active conduct of exempt activities.                                                             |          |               |          |          |           |
| e  | Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.                                     |          |               |          |          |           |
| 3  | Complete 3a, b, or c for the alternative test relied upon                                                                                          |          |               |          |          |           |
| a  | "Assets" alternative test—enter                                                                                                                    |          |               |          |          |           |
|    | (1) Value of all assets                                                                                                                            |          |               |          |          |           |
|    | (2) Value of assets qualifying under section 4942(j)(3)(B)(i)                                                                                      |          |               |          |          |           |
| b  | "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.                                 |          |               |          |          |           |
| c  | "Support" alternative test—enter                                                                                                                   |          |               |          |          |           |
|    | (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). |          |               |          |          |           |
|    | (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).                                      |          |               |          |          |           |
|    | (3) Largest amount of support from an exempt organization                                                                                          |          |               |          |          |           |
|    | (4) Gross investment income                                                                                                                        |          |               |          |          |           |

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

WENDELL M SMITH

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

WENDELL M SMITH  
67 MOOSEHILL ROAD  
TRUMBULL, CT 06611  
(203) 459-8618

b

The form in which applications should be submitted and information and materials they should include

WRITTEN

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

CHARITABLE EDUCATIONAL, RELIGIOUS AND OR SCIENTIFIC

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                           | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|-----------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|--------|
| Name and address (home or business)                 |                                                                                                           |                                |                                  |        |
| a Paid during the year<br>See Additional Data Table |                                                                                                           |                                |                                  |        |
| Total . . . . .                                     |                                                                                                           |                                | ▶ 3a                             | 38,400 |
| b Approved for future payment                       |                                                                                                           |                                |                                  |        |
| Total . . . . .                                     |                                                                                                           |                                | ▶ 3b                             |        |

| Enter gross amounts unless otherwise indicated |                                                               | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See page 28 of<br>the instructions ) |
|------------------------------------------------|---------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------------------------------------------------------|
|                                                |                                                               | (a)<br>Business code      | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                                      |
| <b>1</b>                                       | Program service revenue                                       |                           |               |                                      |               |                                                                                      |
| <b>a</b>                                       | _____                                                         |                           |               |                                      |               |                                                                                      |
| <b>b</b>                                       | _____                                                         |                           |               |                                      |               |                                                                                      |
| <b>c</b>                                       | _____                                                         |                           |               |                                      |               |                                                                                      |
| <b>d</b>                                       | _____                                                         |                           |               |                                      |               |                                                                                      |
| <b>e</b>                                       | _____                                                         |                           |               |                                      |               |                                                                                      |
| <b>f</b>                                       | _____                                                         |                           |               |                                      |               |                                                                                      |
| <b>g</b>                                       | Fees and contracts from government agencies                   |                           |               |                                      |               |                                                                                      |
| <b>2</b>                                       | Membership dues and assessments. . . .                        |                           |               |                                      |               |                                                                                      |
| <b>3</b>                                       | Interest on savings and temporary cash investments            |                           |               | 14                                   | 37            |                                                                                      |
| <b>4</b>                                       | Dividends and interest from securities. . . .                 |                           |               | 14                                   | 13,504        |                                                                                      |
| <b>5</b>                                       | Net rental income or (loss) from real estate                  |                           |               |                                      |               |                                                                                      |
| <b>a</b>                                       | Debt-financed property. . . . .                               |                           |               |                                      |               |                                                                                      |
| <b>b</b>                                       | Not debt-financed property. . . . .                           |                           |               |                                      |               |                                                                                      |
| <b>6</b>                                       | Net rental income or (loss) from personal property            |                           |               |                                      |               |                                                                                      |
| <b>7</b>                                       | Other investment income. . . . .                              |                           |               |                                      |               |                                                                                      |
| <b>8</b>                                       | Gain or (loss) from sales of assets other than inventory      |                           |               |                                      |               | 3,903                                                                                |
| <b>9</b>                                       | Net income or (loss) from special events                      |                           |               |                                      |               |                                                                                      |
| <b>10</b>                                      | Gross profit or (loss) from sales of inventory. .             |                           |               |                                      |               |                                                                                      |
| <b>11</b>                                      | Other revenue <b>a</b> RETURN OF CAPITAL                      |                           |               | 14                                   |               |                                                                                      |
| <b>b</b>                                       | _____                                                         |                           |               |                                      |               |                                                                                      |
| <b>c</b>                                       | _____                                                         |                           |               |                                      |               |                                                                                      |
| <b>d</b>                                       | _____                                                         |                           |               |                                      |               |                                                                                      |
| <b>e</b>                                       | _____                                                         |                           |               |                                      |               |                                                                                      |
| <b>12</b>                                      | Subtotal Add columns (b), (d), and (e). .                     |                           |               |                                      | 13,541        | 3,903                                                                                |
| <b>13</b>                                      | <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . . |                           |               | <b>13</b>                            |               | <b>17,444</b>                                                                        |

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line No | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|-------------|---------------------|-----------------------------------------------|----------------------------------------------------------------------|
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
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|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

b

If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|--------------------------|--------------------------|---------------------------------|

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

\*\*\*\*\*

Signature of officer or trustee

2011-05-11

Date

\*\*\*\*\*

Title

Paid Preparer's Use Only

Preparer's Signature

FRANCIS P MCCONNELL

Firm's name

FRANCIS P MCCONNELL

722 GUION DRIVE

Firm's address

MAMARONECK, NY 10543

Date

Check if self-employed

PTIN

Firm's EIN

Phone no (914) 698-9197

Form 990-PF (2010)

Additional Data

Software ID: 10000105

Software Version: 2010v3.2

EIN: 22-3045019

Name: THE WENDELL M SMITH FOUNDATION

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) |                                                  | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| A                                                                                                                                 | CAPITAL GAIN DISTRIBUTIONS                       | P                                            | 2008-07-01                           |                                  |
| B                                                                                                                                 | 288 678 VICTORY DIVERSIFIED STOCK CLASS A        | P                                            | 2010-02-04                           | 2010-02-04                       |
| C                                                                                                                                 | 101 523 VICTORY DIVERSIFIED STOCK CLASS A        | P                                            | 2008-10-01                           | 2010-01-26                       |
| D                                                                                                                                 | 49 957 SELECTED AMERICAN SHARES CL S             | P                                            | 2010-02-04                           | 2010-10-21                       |
| E                                                                                                                                 | 50 613 SELECTED AMERICAN SHARES CL S             | P                                            | 2010-02-04                           | 2010-09-20                       |
| F                                                                                                                                 | 86 068 SELECTED AMERICAN SHARES CL S             | P                                            | 2010-02-04                           | 2010-08-09                       |
| G                                                                                                                                 | 64 752 SELECTED AMERICAN SHARES CL S             | P                                            | 2005-08-26                           | 2010-02-04                       |
| H                                                                                                                                 | 102 154 SELECTED AMERICAN SHARES CL S            | P                                            | 2010-02-04                           | 2010-01-26                       |
| I                                                                                                                                 | 177 778 T ROWE PRICE HIGH YIELD ADVISOR CL       | P                                            | 2010-02-04                           | 2010-12-06                       |
| J                                                                                                                                 | 235 641 T ROWE PRICE HIGH YIELD ADVISOR CL       | P                                            | 2010-02-04                           | 2010-10-21                       |
| K                                                                                                                                 | 332 829 T ROWE PRICE HIGH YIELD ADVISOR CL       | P                                            | 2010-02-04                           | 2010-08-09                       |
| L                                                                                                                                 | 230 061 T ROWE PRICE HIGH YIELD ADVISOR CL       | P                                            | 2010-02-04                           | 2010-05-17                       |
| M                                                                                                                                 | 166 920 T ROWE PRICE HIGH YIELD ADVISOR CL       | P                                            | 2010-02-04                           | 2010-04-05                       |
| N                                                                                                                                 | WASH SALE                                        | P                                            | 2010-02-04                           |                                  |
| O                                                                                                                                 | 233 281 T ROWE PRICE HIGH YIELD ADVISOR CL       | P                                            | 2010-02-04                           | 2010-01-26                       |
| P                                                                                                                                 | 1085 521 PIMCO COMMODITY REAL RET STRAT ADMIN CL | P                                            | 2010-02-04                           | 2010-02-04                       |
| Q                                                                                                                                 | 716 448 PIMCO SHORT TERM ADMINISTRATIVE SHS      | P                                            | 2010-02-04                           | 2010-09-20                       |
| R                                                                                                                                 | 170 557 PIMCO TOTAL RETURN ADMINISTRATIVE SHS    | P                                            | 2010-02-04                           | 2010-06-08                       |
| S                                                                                                                                 | 134 771 PIMCO TOTAL RETURN ADMINISTRATIVE SHS    | P                                            | 2010-02-04                           | 2010-05-17                       |
| T                                                                                                                                 | 753 715 PIMCO HIGH YIELD ADMINISTRATIVE SHS      | P                                            | 2010-02-04                           | 2010-02-04                       |
| U                                                                                                                                 | 196 147 MORGAN STA INST INC INTERNATL EQUITY P   | P                                            | 2010-02-04                           | 2010-09-20                       |
| V                                                                                                                                 | 198 570 MORGAN STA INST INC INTERNATL EQUITY P   | P                                            | 2010-02-04                           | 2010-08-09                       |
| W                                                                                                                                 | 67 5668 MORGAN STA INST INC INTERNATL EQUITY P   | P                                            | 2010-02-04                           | 2010-05-17                       |
| X                                                                                                                                 | 82 831 MORGAN STA INST INC INTERNATL EQUITY P    | P                                            | 2010-02-04                           | 2010-04-05                       |
| Y                                                                                                                                 | 89 150 MARSICO FOCUS                             | P                                            | 2009-01-08                           | 2010-11-09                       |
| Z                                                                                                                                 | 96 444 MARSICO FOCUS                             | P                                            | 2010-02-04                           | 2010-10-21                       |
| AA                                                                                                                                | 126 422 MARSICO FOCUS                            | P                                            | 2010-02-04                           | 2010-09-20                       |
| AB                                                                                                                                | 101 911 MARSICO FOCUS                            | P                                            | 2010-02-04                           | 2010-08-09                       |
| AC                                                                                                                                | 145 028 MARSICO FOCUS                            | P                                            | 2010-02-04                           | 2010-06-08                       |
| AD                                                                                                                                | 68 776 MARSICO FOCUS                             | P                                            | 2010-02-04                           | 2010-02-04                       |

Form 990PF Part IV – Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co ) |                                                 | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| AE                                                                                                                                   | 295 093 MANNAIN & NAPIER WORLD OPPT SER CL A    | P                                            | 2010-02-04                           | 2010-09-20                       |
| AF                                                                                                                                   | 322 081 MANNAIN & NAPIER WORLD OPPT SER CL A    | P                                            | 2008-10-01                           | 2010-08-09                       |
| AG                                                                                                                                   | 105 402 MANNAIN & NAPIER WORLD OPPT SER CL A    | P                                            | 2008-10-01                           | 2010-05-17                       |
| AH                                                                                                                                   | 50 955 MANNAIN & NAPIER WORLD OPPT SER CL A     | P                                            | 2008-10-01                           | 2010-02-04                       |
| AI                                                                                                                                   | 91 741 LEGG MASON CBA LARGE CAP GROWTH A        | P                                            | 2008-11-14                           | 2010-11-09                       |
| AJ                                                                                                                                   | 90 703 LEGG MASON CBA LARGE CAP GROWTH A        | P                                            | 2008-11-14                           | 2010-09-20                       |
| AK                                                                                                                                   | 60 663 LEGG MASON CBA LARGE CAP GROWTH A        | P                                            | 2008-11-14                           | 2010-08-09                       |
| AL                                                                                                                                   | 73 314 LEGG MASON CBA LARGE CAP GROWTH A        | P                                            | 2010-02-04                           | 2010-06-08                       |
| AM                                                                                                                                   | 115 979 LEGG MASON CBA LARGE CAP GROWTH A       | P                                            | 2010-02-04                           | 2010-04-05                       |
| AN                                                                                                                                   | 46 382 LEGG MASON CBA LARGE CAP GROWTH A        | P                                            | 2010-02-04                           | 2010-01-26                       |
| AO                                                                                                                                   | 335 261 JPMORGAN US LG CAP CORE PLUS SELECT SHS | P                                            | 2010-02-04                           | 2010-01-26                       |
| AP                                                                                                                                   | 237 697 PERKINS MID CAP VALUE FUND CLASS T      | P                                            | 2010-02-04                           | 2010-02-04                       |
| AQ                                                                                                                                   | 71 102 PERKINS MID CAP VALUE FUND CLASS T       | P                                            | 2008-01-17                           | 2010-01-26                       |
| AR                                                                                                                                   | 91 357 JANUS FUND CLASS T SHARES                | P                                            | 2010-02-04                           | 2010-12-06                       |
| AS                                                                                                                                   | 96 636 JANUS FUND CLASS T SHARES                | P                                            | 2010-02-04                           | 2010-12-21                       |
| AT                                                                                                                                   | 187 617 JANUS FUND CLASS T SHARES               | P                                            | 2010-02-04                           | 2010-09-20                       |
| AU                                                                                                                                   | 138 302 JANUS FUND CLASS T SHARES               | P                                            | 2006-04-24                           | 2010-08-09                       |
| AV                                                                                                                                   | 125 557 JANUS FUND CLASS T SHARES               | P                                            | 2010-02-04                           | 2010-06-08                       |
| AW                                                                                                                                   | 64 620 JANUS FUND CLASS T SHARES                | P                                            | 2010-02-04                           | 2010-02-04                       |
| AX                                                                                                                                   | 80 948 HARTFORD CAPITAL APPRECIATION CL A       | P                                            | 2010-02-04                           | 2010-11-09                       |
| AY                                                                                                                                   | 77 320 HARTFORD CAPITAL APPRECIATION CL A       | P                                            | 2010-02-04                           | 2010-09-20                       |
| AZ                                                                                                                                   | 143 463 HARTFORD CAPITAL APPRECIATION CL A      | P                                            | 2010-02-04                           | 2010-08-09                       |
| BA                                                                                                                                   | 45 726 HARTFORD CAPITAL APPRECIATION CL A       | P                                            | 2010-02-04                           | 2010-06-08                       |
| BB                                                                                                                                   | 190 931 HARTFORD CAPITAL APPRECIATION CL A      | P                                            | 2010-02-04                           | 2010-02-04                       |
| BC                                                                                                                                   | 33 036 HARTFORD CAPITAL APPRECIATION CL A       | P                                            | 2005-04-26                           | 2010-01-26                       |
| BD                                                                                                                                   | 128 183 OAKMARK FUND 1                          | P                                            | 2010-02-04                           | 2010-02-04                       |
| BE                                                                                                                                   | 60 925 OAKMARK FUND 1                           | P                                            | 2006-10-20                           | 2010-01-26                       |
| BF                                                                                                                                   | 12 277 HARBOR INTERNATIONAL ADMINISTRATIVE CL   | P                                            | 2009-08-06                           | 2010-09-20                       |
| BG                                                                                                                                   | 51 433 HARBOR INTERNATIONAL ADMINISTRATIVE CL   | P                                            | 2010-02-04                           | 2010-08-09                       |
| BH                                                                                                                                   | 34 915 HARBOR INTERNATIONAL ADMINISTRATIVE CL   | P                                            | 2010-02-04                           | 2010-05-17                       |



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co ) |                                                 | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| BI                                                                                                                                   | 26 834 HARBOR INTERNATIONAL ADMINISTRATIVE CL   | P                                            | 2008-10-01                           | 2010-04-05                       |
| BJ                                                                                                                                   | 250 829 JOHN HANCOCK CLASSIC VALUE CL A         | P                                            | 2010-02-04                           | 2010-02-04                       |
| BK                                                                                                                                   | 69 579 JOHN HANCOCK US GLBL LEADERS GROWTH CL A | P                                            | 2010-02-04                           | 2010-01-26                       |
| BL                                                                                                                                   | 73 013 JOHN HANCOCK CLASSIC VALUE CL A          | P                                            | 2009-05-21                           | 2010-09-20                       |
| BM                                                                                                                                   | 200 22 STRATEGIC ADVISERS INTERNATIONAL FUND    | P                                            | 2009-07-09                           | 2010-11-09                       |
| BN                                                                                                                                   | 216 155 STRATEGIC ADVISERS INTERNATIONAL FUND   | P                                            | 2009-07-09                           | 2010-10-21                       |
| BO                                                                                                                                   | 117 329 STRATEGIC ADVISERS SMALL-MID CAP FD     | P                                            | 2008-10-01                           | 2010-12-06                       |
| BP                                                                                                                                   | 99 305 PAS SMALL-MID CAP FUND OF FUNDS          | P                                            | 2008-10-01                           | 2010-05-17                       |
| BQ                                                                                                                                   | 422 075 FIDELITY LOW PRICED STOCK               | P                                            | 2010-02-04                           | 2010-02-04                       |
| BR                                                                                                                                   | 150 162 FIDELITY GROWTH COMPANY                 | P                                            | 2010-02-04                           | 2010-02-04                       |
| BS                                                                                                                                   | 223 464 FIDELITY TOTAL BOND                     | P                                            | 2010-02-04                           | 2010-06-08                       |
| BT                                                                                                                                   | 185 874 FIDELITY TOTAL BOND                     | P                                            | 2006-06-20                           | 2010-05-17                       |
| BU                                                                                                                                   | 1173 459 FIDELITY SHORT TERM BOND               | P                                            | 2010-02-04                           | 2010-02-04                       |
| BV                                                                                                                                   | 134 482 FIDELITY ADVISOR DIVERSIFIED INT'L 1    | P                                            | 2010-02-04                           | 2010-10-21                       |
| BW                                                                                                                                   | 136 463 FIDELITY ADVISOR DIVERSIFIED INT'L 1    | P                                            | 2010-02-04                           | 2010-09-20                       |
| BX                                                                                                                                   | 135 670 FIDELITY ADVISOR DIVERSIFIED INT'L 1    | P                                            | 2008-01-17                           | 2010-08-09                       |
| BY                                                                                                                                   | 77 566 FIDELITY ADVISOR DIVERSIFIED INT'L 1     | P                                            | 2008-01-17                           | 2010-06-08                       |
| BZ                                                                                                                                   | 65 681 FIDELITY ADVISOR DIVERSIFIED INT'L 1     | P                                            | 2008-01-17                           | 2010-04-05                       |
| CA                                                                                                                                   | WASH SALE                                       | P                                            | 2008-01-17                           |                                  |
| CB                                                                                                                                   | 345 033 FIDELITY ADVISOR DIVERSIFIED INT'L 1    | P                                            | 2008-01-17                           | 2010-01-26                       |
| CC                                                                                                                                   | 122 494 FIDELITY CAPITAL & INCOME               | P                                            | 2010-02-04                           | 2010-09-20                       |
| CD                                                                                                                                   | 168 539 FIDELITY CAPITAL & INCOME               | P                                            | 2010-02-04                           | 2010-08-09                       |
| CE                                                                                                                                   | 295 119 FIDELITY CAPITAL & INCOME               | P                                            | 2010-02-04                           | 2010-05-17                       |
| CF                                                                                                                                   | 315 421 FIDELITY CAPITAL & INCOME               | P                                            | 2010-02-04                           | 2010-02-04                       |
| CG                                                                                                                                   | 1482 401 STRATEGIC ADVISERS VALUE FUND          | P                                            | 2010-02-04                           | 2010-02-04                       |
| CH                                                                                                                                   | 89 955 FIDELITY DIVERSIFIED INTERNATIONAL       | P                                            | 2010-02-04                           | 2010-09-20                       |
| CI                                                                                                                                   | 94 683 FIDELITY DIVERSIFIED INTERNATIONAL       | P                                            | 2010-02-04                           | 2010-08-09                       |
| CJ                                                                                                                                   | 27 290 FIDELITY DIVERSIFIED INTERNATIONAL       | P                                            | 2010-04-26                           | 2010-05-17                       |
| CK                                                                                                                                   | 45 328 FIDELITY DIVERSIFIED INTERNATIONAL       | P                                            | 2010-04-26                           | 2010-04-05                       |
| CL                                                                                                                                   | 36 751 FIDELITY DIVERSIFIED INTERNATIONAL       | P                                            | 2010-02-04                           | 2010-01-26                       |

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|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| CM                                                                                                                                   | 203 885 CAUSWAY INTERNATIONAL VALUE INVESTOR    | P                                            | 2010-02-04                           | 2010-12-16                       |
| CN                                                                                                                                   | 242 215 CAUSWAY INTERNATIONAL VALUE INVESTOR    | P                                            | 2010-02-04                           | 2010-08-09                       |
| CO                                                                                                                                   | 66 540 CAUSWAY INTERNATIONAL VALUE INVESTOR     | P                                            | 2010-04-26                           | 2010-05-17                       |
| CP                                                                                                                                   | 109 705 CAUSWAY INTERNATIONAL VALUE INVESTOR    | P                                            | 2010-04-26                           | 2010-04-05                       |
| CQ                                                                                                                                   | WASH SALE                                       | P                                            | 2010-04-26                           |                                  |
| CR                                                                                                                                   | 98 655 CAUSWAY INTERNATIONAL VALUE INVESTOR     | P                                            | 2010-04-26                           | 2010-01-26                       |
| CS                                                                                                                                   | 110 623 WILLIAM BLAIR INT'L GROWTH CLASS N      | P                                            | 2010-02-04                           | 2010-09-20                       |
| CT                                                                                                                                   | 136 364 WILLIAM BLAIR INT'L GROWTH CLASS N      | P                                            | 2010-02-04                           | 2010-08-09                       |
| CU                                                                                                                                   | 55 463 WILLIAM BLAIR INT'L GROWTH CLASS N       | P                                            | 2010-02-04                           | 2010-05-17                       |
| CV                                                                                                                                   | 76 375 WILLIAM BLAIR INT'L GROWTH CLASS N       | P                                            | 2010-02-04                           | 2010-04-05                       |
| CW                                                                                                                                   | WASH SALE                                       | P                                            | 2010-02-04                           |                                  |
| CX                                                                                                                                   | 55 772 WILLIAM BLAIR INT'L GROWTH CLASS N       | P                                            | 2010-02-04                           | 2010-01-26                       |
| CY                                                                                                                                   | 765 678 AMERICAN BEACON LARGE CAP INVESTOR      | P                                            | 2010-02-04                           | 2010-02-04                       |
| CZ                                                                                                                                   | 57 471 PAS CORE INCOME FUND OF FUNDS            | P                                            | 2009-12-15                           | 2010-05-17                       |
| DA                                                                                                                                   | 294 842 EATON VANCE LARGE-CAP VALUE CL A        | P                                            | 2010-02-04                           | 2010-08-09                       |
| DB                                                                                                                                   | 50 190 CRM MID CAP VALUE INVESTOR SHARES        | P                                            | 2010-02-04                           | 2010-11-09                       |
| DC                                                                                                                                   | 50 038 CRM MID CAP VALUE INVESTOR SHARES        | P                                            | 2010-02-04                           | 2010-10-21                       |
| DD                                                                                                                                   | 104 042 CRM MID CAP VALUE INVESTOR SHARES       | P                                            | 2010-02-04                           | 2010-09-20                       |
| DE                                                                                                                                   | 62 222 CRM MID CAP VALUE INVESTOR SHARES        | P                                            | 2010-02-04                           | 2010-06-08                       |
| DF                                                                                                                                   | 83 804 VICTORY DIVERSIFIED STOCK CLASS A        | P                                            | 2010-02-04                           | 2010-02-04                       |
| DG                                                                                                                                   | 3 184 SELECTED AMERICAN SHARES CL S             | P                                            | 2010-02-04                           | 2010-10-21                       |
| DH                                                                                                                                   | 771 726 ROYCE PENNSYLVANIA FUND SERVICES CLASS  | P                                            | 2010-02-04                           | 2010-02-04                       |
| DI                                                                                                                                   | 57 725 T ROWE PRICE EQUITY INCOME ADVISOR CL    | P                                            | 2010-02-04                           | 2010-11-09                       |
| DJ                                                                                                                                   | 59 145 T ROWE PRICE EQUITY INCOME ADVISOR CL    | P                                            | 2010-02-04                           | 2010-10-21                       |
| DK                                                                                                                                   | 110 803 T ROWE PRICE EQUITY INCOME ADVISOR CL   | P                                            | 2010-02-04                           | 2010-09-20                       |
| DL                                                                                                                                   | 103 499 T ROWE PRICE EQUITY INCOME ADVISOR CL   | P                                            | 2010-02-04                           | 2010-06-08                       |
| DM                                                                                                                                   | 114 790 T ROWE PRICE EQUITY INCOME ADVISOR CL   | P                                            | 2010-02-04                           | 2010-04-05                       |
| DN                                                                                                                                   | 293 209 RS VALUE FUND CL A                      | P                                            | 2010-02-04                           | 2010-08-09                       |
| DO                                                                                                                                   | 26 201 RS VALUE FUND CL A                       | P                                            | 2010-02-04                           | 2010-05-17                       |
| DP                                                                                                                                   | 375 412 PIMCO COMMODITY REAL RET STRAT ADMIN CL | P                                            | 2010-02-04                           | 2010-02-04                       |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co ) |                                                 | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| DQ                                                                                                                                   | 55 363 PIMCO HIGH YIELD ADMINISTRATIVE SHS      | P                                            | 2010-02-04                           | 2010-02-04                       |
| DR                                                                                                                                   | 83 632 METROPOLITAN WEST LOW DURATION M         | P                                            | 2010-02-04                           | 2010-05-17                       |
| DS                                                                                                                                   | 1 203 MARSICO FOCUS                             | P                                            | 2009-12-18                           | 2010-11-09                       |
| DT                                                                                                                                   | 4 029 MANNAIN & NAPIER WORLD OPPT SER CL A      | P                                            | 2010-02-04                           | 2010-09-20                       |
| DU                                                                                                                                   | 162 562 LAZARD EMERGING MKTS OPEN CLASS         | P                                            | 2010-02-04                           | 2010-11-09                       |
| DV                                                                                                                                   | 238 861 LAZARD EMERGING MKTS OPEN CLASS         | P                                            | 2010-02-04                           | 2010-10-21                       |
| DW                                                                                                                                   | 467 302 JPMORGAN US LG CAP CORE PLUS SELECT SHS | P                                            | 2010-02-04                           | 2010-01-26                       |
| DX                                                                                                                                   | 456 JANUS FUND CLASS T SHARES                   | P                                            | 2009-12-22                           | 2010-12-06                       |
| DY                                                                                                                                   | 105 469 HARTFORD CAPITAL APPRECIATION CL A      | P                                            | 2010-02-04                           | 2010-09-20                       |
| DZ                                                                                                                                   | 96 703 HARTFORD CAPITAL APPRECIATION CL A       | P                                            | 2010-02-04                           | 2010-08-09                       |
| EA                                                                                                                                   | 57 448 HARTFORD CAPITAL APPRECIATION CL A       | P                                            | 2010-02-04                           | 2010-04-05                       |
| EB                                                                                                                                   | 31 293 HARBOR INTERNATIONAL ADMINISTRATIVE CL   | P                                            | 2010-02-04                           | 2010-09-20                       |
| EC                                                                                                                                   | 1 237 HARBOR INTERNATIONAL ADMINISTRATIVE CL    | P                                            | 2009-07-09                           | 2010-05-17                       |
| ED                                                                                                                                   | 96 282 JOHN HANCOCK CLASSIC VALUE CL A          | P                                            | 2010-02-04                           | 2010-11-09                       |
| EE                                                                                                                                   | 102 106 JOHN HANCOCK CLASSIC VALUE CL A         | P                                            | 2010-02-04                           | 2010-10-21                       |
| EF                                                                                                                                   | 99 744 JOHN HANCOCK CLASSIC VALUE CL A          | P                                            | 2010-02-04                           | 2010-09-20                       |
| EG                                                                                                                                   | 247 557 JOHN HANCOCK CLASSIC VALUE CL A         | P                                            | 2009-05-21                           | 2010-05-17                       |
| EH                                                                                                                                   | 231 239 GE INTERNATIONAL EQUITY SERVICE CL      | P                                            | 2010-02-04                           | 2010-09-20                       |
| EI                                                                                                                                   | 254 477 GE INTERNATIONAL EQUITY SERVICE CL      | P                                            | 2010-02-04                           | 2010-08-09                       |
| EJ                                                                                                                                   | 61 287 GE INTERNATIONAL EQUITY SERVICE CL       | P                                            | 2010-02-04                           | 2010-05-17                       |
| EK                                                                                                                                   | 144 014 GE INTERNATIONAL EQUITY SERVICE CL      | P                                            | 2010-02-04                           | 2010-04-05                       |
| EL                                                                                                                                   | 119 552 FRANKLIN GOLD AND PRECIOUS METALS CL A  | P                                            | 2010-02-04                           | 2010-02-04                       |
| EM                                                                                                                                   | 27 490 FIDELITY SELECT GOLD                     | P                                            | 2010-02-04                           | 2010-05-17                       |
| EN                                                                                                                                   | 102 622 FIDELITY DIVIDEND GROWTH                | P                                            | 2010-02-04                           | 2010-09-20                       |
| EO                                                                                                                                   | 98 482 FIDELITY DIVIDEND GROWTH                 | P                                            | 2010-02-04                           | 2010-08-09                       |
| EP                                                                                                                                   | 105 914 FIDELITY DIVIDEND GROWTH                | P                                            | 2010-02-04                           | 2010-06-08                       |
| EQ                                                                                                                                   | 4 052 FIDELITY DIVIDEND GROWTH                  | P                                            | 2010-02-04                           | 2010-05-17                       |
| ER                                                                                                                                   | 158 730 STRATEGIC ADVISERS VALUE FUND           | P                                            | 2009-12-15                           | 2010-12-06                       |
| ES                                                                                                                                   | 215 517 STRATEGIC ADVISERS GROWTH FUND          | P                                            | 2010-06-08                           | 2010-12-16                       |
| ET                                                                                                                                   | 49 456 STRATEGIC ADVISIOS CORE FUND             | P                                            | 2010-01-26                           | 2010-05-17                       |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co ) |                                                  | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| EU                                                                                                                                   | WASH SALE                                        | P                                            | 2010-01-26                           |                                  |
| EV                                                                                                                                   | 329 087 STRATEGIC ADVISIOS CORE FUND             | P                                            | 2010-01-26                           | 2010-02-04                       |
| EW                                                                                                                                   | 1380 645 PAS INTERNATIONAL FUND OF FUNDS         | P                                            | 2010-02-04                           | 2010-02-04                       |
| EX                                                                                                                                   | 88 367 FIDELITY LOW PRICED STOCK                 | P                                            | 2010-02-04                           | 2010-02-04                       |
| EY                                                                                                                                   | 27 222 FIDLITY GROWTH COMPANY                    | P                                            | 2010-02-04                           | 2010-02-04                       |
| EZ                                                                                                                                   | 133 929 FIDELITY HIGH INCOME                     | P                                            | 2010-02-04                           | 2010-12-06                       |
| FA                                                                                                                                   | 122 631 FIDELITY HIGH INCOME                     | P                                            | 2010-02-04                           | 2010-10-21                       |
| FB                                                                                                                                   | 113 636 FIDELITY HIGH INCOME                     | P                                            | 2010-02-04                           | 2010-09-20                       |
| FC                                                                                                                                   | 194 954 FIDELITY HIGH INCOME                     | P                                            | 2010-02-04                           | 2010-08-09                       |
| FD                                                                                                                                   | 174 622 FIDELITY HIGH INCOME                     | P                                            | 2010-02-04                           | 2010-05-17                       |
| FE                                                                                                                                   | 115 207 FIDELITY HIGH INCOME                     | P                                            | 2010-02-04                           | 2010-04-05                       |
| FF                                                                                                                                   | 746 369 FIDELITY SHORT TERM BOND                 | P                                            | 2010-02-04                           | 2010-02-04                       |
| FG                                                                                                                                   | 2 111 FIDELITY ADVISOR NEW INSIGHT CL 1          | P                                            | 2009-12-23                           | 2010-10-21                       |
| FH                                                                                                                                   | 300 282 STRATEGIC ADVISERS VALUE FUND            | P                                            | 2010-02-04                           | 2010-02-04                       |
| FI                                                                                                                                   | 323 084 FIDELITY EMERGING MARKETS                | P                                            | 2010-02-04                           | 2010-02-04                       |
| FJ                                                                                                                                   | 235 527 FIDELITY INT'L DISCOVERY                 | P                                            | 2010-02-04                           | 2010-02-04                       |
| FK                                                                                                                                   | 37 301 FIDELITY INT'L DISCOVERY                  | P                                            | 2009-07-09                           | 2010-01-26                       |
| FL                                                                                                                                   | 187 018 AMERICAN EUROPACIFIC GROWTH CL F1        | P                                            | 2010-02-04                           | 2010-02-04                       |
| FM                                                                                                                                   | WASH SALE                                        | P                                            | 2010-02-04                           |                                  |
| FN                                                                                                                                   | 126 984 EATON VANCE LARGE-CAP VALUE CL A         | P                                            | 2010-02-04                           | 2010-06-08                       |
| FO                                                                                                                                   | 129 870 EATON VANCE LARGE-CAP VALUE CL A         | P                                            | 2010-02-04                           | 2010-05-17                       |
| FP                                                                                                                                   | 183 130 EATON VANCE LARGE-CAP VALUE CL A         | P                                            | 2010-02-04                           | 2010-04-05                       |
| FQ                                                                                                                                   | 578 187 CREDIT SUISSE COMMD RETURN STRAT COM SHR | P                                            | 2010-02-04                           | 2010-06-08                       |
| FR                                                                                                                                   | 5 509 CAUSWAY INTERNATIONAL VALUE INVESTOR       | P                                            | 2010-02-02                           | 2010-12-16                       |
| FS                                                                                                                                   | 12 878 WILLIAM BLAIR INT'L GROWTH CLASS N        | P                                            | 2010-02-04                           | 2010-09-20                       |
| FT                                                                                                                                   | 85 271 AMERICAN BEACON LARGE CAP INVESTOR        | P                                            | 2010-07-09                           | 2010-02-04                       |
| FU                                                                                                                                   | 56 134 ASTON/MONTAG & CALDWELL GROWTH CL N       | P                                            | 2010-02-04                           | 2010-12-06                       |
| FV                                                                                                                                   | 55 603 ASTON/MONTAG & CALDWELL GROWTH CL N       | P                                            | 2010-02-04                           | 2010-11-09                       |
| FW                                                                                                                                   | 91 623 ASTON/MONTAG & CALDWELL GROWTH CL N       | P                                            | 2010-02-04                           | 2010-10-21                       |
| FX                                                                                                                                   | 85 740 ASTON/MONTAG & CALDWELL GROWTH CL N       | P                                            | 2010-02-04                           | 2010-09-20                       |

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| (a) List and describe the kind(s) of property sold (e g , real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co ) |                                             | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
|--------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| FY                                                                                                                                   | 82 835 ASTON/MONTAG & CALDWELL GROWTH CL N  | P                                            | 2010-02-04                           | 2010-08-09                       |
| FZ                                                                                                                                   | 47 801 ASTON/MONTAG & CALDWELL GROWTH CL N  | P                                            | 2010-02-04                           | 2010-06-08                       |
| GA                                                                                                                                   | 102 652 ASTON/MONTAG & CALDWELL GROWTH CL N | P                                            | 2010-02-04                           | 2010-04-05                       |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price |       | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|-------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| A                     | 1,250 |                                            |                                                 | 1,250                                        |
| B                     | 3,860 |                                            | 3,826                                           | 34                                           |
| C                     | 1,400 |                                            | 1,346                                           | 54                                           |
| D                     | 1,953 |                                            | 1,785                                           | 168                                          |
| E                     | 1,900 |                                            | 1,809                                           | 91                                           |
| F                     | 3,200 |                                            | 3,075                                           | 125                                          |
| G                     | 2,300 |                                            | 2,314                                           | -14                                          |
| H                     | 3,700 |                                            | 3,651                                           | 49                                           |
| I                     | 1,200 |                                            | 1,156                                           | 44                                           |
| J                     | 1,600 |                                            | 1,532                                           | 68                                           |
| K                     | 2,200 |                                            | 2,163                                           | 37                                           |
| L                     | 1,500 |                                            | 1,495                                           | 5                                            |
| M                     | 1,100 |                                            | 1,085                                           | 15                                           |
| N                     | 21    |                                            |                                                 | 21                                           |
| O                     | 1,500 |                                            | 1,521                                           | -21                                          |
| P                     | 8,391 |                                            | 11,763                                          | -3,372                                       |
| Q                     | 7,100 |                                            | 7,086                                           | 14                                           |
| R                     | 1,900 |                                            | 1,816                                           | 84                                           |
| S                     | 1,500 |                                            | 1,435                                           | 65                                           |
| T                     | 6,701 |                                            | 7,098                                           | -397                                         |
| U                     | 2,491 |                                            | 3,124                                           | -633                                         |
| V                     | 2,500 |                                            | 3,161                                           | -661                                         |
| W                     | 800   |                                            | 1,076                                           | -276                                         |
| X                     | 1,100 |                                            | 1,319                                           | -219                                         |
| Y                     | 1,554 |                                            | 1,297                                           | 257                                          |
| Z                     | 1,600 |                                            | 1,403                                           | 197                                          |
| AA                    | 2,000 |                                            | 1,841                                           | 159                                          |
| AB                    | 1,600 |                                            | 1,484                                           | 116                                          |
| AC                    | 2,100 |                                            | 2,110                                           | -10                                          |
| AD                    | 1,000 |                                            | 1,001                                           | -1                                           |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price |       | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|-------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| A E                   | 2,391 |                                            | 2,193                                           | 198                                          |
| A F                   | 2,600 |                                            | 2,391                                           | 209                                          |
| A G                   | 800   |                                            | 782                                             | 18                                           |
| A H                   | 400   |                                            | 378                                             | 22                                           |
| A I                   | 2,167 |                                            | 1,845                                           | 322                                          |
| A J                   | 2,000 |                                            | 1,825                                           | 175                                          |
| A K                   | 1,300 |                                            | 1,220                                           | 80                                           |
| A L                   | 1,500 |                                            | 1,474                                           | 26                                           |
| A M                   | 2,700 |                                            | 2,334                                           | 366                                          |
| A N                   | 1,000 |                                            | 933                                             | 67                                           |
| A O                   | 5,961 |                                            | 4,494                                           | 1,467                                        |
| A P                   | 4,561 |                                            | 4,735                                           | -174                                         |
| A Q                   | 1,400 |                                            | 1,416                                           | -16                                          |
| A R                   | 2,618 |                                            | 2,419                                           | 199                                          |
| A S                   | 2,700 |                                            | 2,559                                           | 141                                          |
| A T                   | 5,000 |                                            | 4,970                                           | 30                                           |
| A U                   | 3,600 |                                            | 3,662                                           | -62                                          |
| A V                   | 3,100 |                                            | 3,326                                           | -226                                         |
| A W                   | 1,600 |                                            | 1,712                                           | -112                                         |
| A X                   | 2,707 |                                            | 2,679                                           | 28                                           |
| A Y                   | 2,400 |                                            | 2,559                                           | -159                                         |
| A Z                   | 4,400 |                                            | 4,747                                           | -347                                         |
| B A                   | 1,300 |                                            | 1,513                                           | -213                                         |
| B B                   | 5,600 |                                            | 6,318                                           | -718                                         |
| B C                   | 1,000 |                                            | 1,093                                           | -93                                          |
| B D                   | 4,525 |                                            | 4,928                                           | -403                                         |
| B E                   | 2,200 |                                            | 2,342                                           | -142                                         |
| B F                   | 674   |                                            | 587                                             | 87                                           |
| B G                   | 2,800 |                                            | 2,459                                           | 341                                          |
| B H                   | 1,738 |                                            | 1,669                                           | 69                                           |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price |        | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| BI                    | 1,500  |                                            | 1,283                                           | 217                                          |
| BJ                    | 6,308  |                                            | 6,916                                           | -608                                         |
| BK                    | 1,800  |                                            | 1,920                                           | -120                                         |
| BL                    | 1,099  |                                            | 924                                             | 175                                          |
| BM                    | 1,800  |                                            | 1,558                                           | 242                                          |
| BN                    | 1,900  |                                            | 1,682                                           | 218                                          |
| BO                    | 1,300  |                                            | 971                                             | 329                                          |
| BP                    | 1,000  |                                            | 815                                             | 185                                          |
| BQ                    | 13,186 |                                            | 14,642                                          | -1,456                                       |
| BR                    | 9,715  |                                            | 10,645                                          | -930                                         |
| BS                    | 2,400  |                                            | 2,317                                           | 83                                           |
| BT                    | 2,000  |                                            | 1,928                                           | 72                                           |
| BU                    | 9,822  |                                            | 10,033                                          | -211                                         |
| BV                    | 2,554  |                                            | 2,607                                           | -53                                          |
| BW                    | 2,500  |                                            | 2,645                                           | -145                                         |
| BX                    | 2,400  |                                            | 2,631                                           | -231                                         |
| BY                    | 1,300  |                                            | 1,503                                           | -203                                         |
| BZ                    | 1,200  |                                            | 1,273                                           | -73                                          |
| CA                    | 3      |                                            |                                                 | 3                                            |
| CB                    | 5,800  |                                            | 6,689                                           | -889                                         |
| CC                    | 1,100  |                                            | 1,014                                           | 86                                           |
| CD                    | 1,500  |                                            | 1,396                                           | 104                                          |
| CE                    | 2,600  |                                            | 2,441                                           | 159                                          |
| CF                    | 2,700  |                                            | 2,609                                           | 91                                           |
| CG                    | 17,463 |                                            | 15,936                                          | 1,527                                        |
| CH                    | 2,516  |                                            | 2,531                                           | -15                                          |
| CI                    | 2,600  |                                            | 2,663                                           | -63                                          |
| CJ                    | 700    |                                            | 768                                             | -68                                          |
| CK                    | 1,300  |                                            | 1,275                                           | 25                                           |
| CL                    | 1,000  |                                            | 1,034                                           | -34                                          |



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price |        | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| CM                    | 2,506  |                                            | 2,888                                           | -382                                         |
| CN                    | 2,800  |                                            | 3,437                                           | -637                                         |
| CO                    | 700    |                                            | 944                                             | -244                                         |
| CP                    | 1,300  |                                            | 1,557                                           | -257                                         |
| CQ                    | 306    |                                            |                                                 | 306                                          |
| CR                    | 1,100  |                                            | 1,406                                           | -306                                         |
| CS                    | 2,252  |                                            | 2,373                                           | -121                                         |
| CT                    | 2,700  |                                            | 2,925                                           | -225                                         |
| CU                    | 1,000  |                                            | 1,190                                           | -190                                         |
| CV                    | 1,500  |                                            | 1,638                                           | -138                                         |
| CW                    | 207    |                                            |                                                 | 207                                          |
| CX                    | 1,000  |                                            | 1,207                                           | -207                                         |
| CY                    | 12,113 |                                            | 15,878                                          | -3,765                                       |
| CZ                    | 600    |                                            | 588                                             | 12                                           |
| DA                    | 4,909  |                                            | 4,713                                           | 196                                          |
| DB                    | 1,332  |                                            | 1,149                                           | 183                                          |
| DC                    | 1,300  |                                            | 1,145                                           | 155                                          |
| DD                    | 2,600  |                                            | 2,382                                           | 218                                          |
| DE                    | 1,400  |                                            | 1,424                                           | -24                                          |
| DF                    | 1,120  |                                            | 1,111                                           | 9                                            |
| DG                    | 124    |                                            | 114                                             | 10                                           |
| DH                    | 6,884  |                                            | 5,900                                           | 984                                          |
| DI                    | 1,301  |                                            | 1,161                                           | 140                                          |
| DJ                    | 1,300  |                                            | 1,189                                           | 111                                          |
| DK                    | 2,400  |                                            | 2,228                                           | 172                                          |
| DL                    | 2,100  |                                            | 2,081                                           | 19                                           |
| DM                    | 2,600  |                                            | 2,308                                           | 292                                          |
| DN                    | 6,574  |                                            | 5,967                                           | 607                                          |
| DO                    | 600    |                                            | 533                                             | 67                                           |
| DP                    | 2,902  |                                            | 4,068                                           | -1,166                                       |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price |       | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|-------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| DQ                    | 492   |                                            | 521                                             | -29                                          |
| DR                    | 700   |                                            | 684                                             | 16                                           |
| DS                    | 21    |                                            | 18                                              | 3                                            |
| DT                    | 33    |                                            | 30                                              | 3                                            |
| DU                    | 3,617 |                                            | 2,835                                           | 782                                          |
| DV                    | 5,200 |                                            | 4,166                                           | 1,034                                        |
| DW                    | 8,309 |                                            | 6,264                                           | 2,045                                        |
| DX                    | 13    |                                            | 12                                              | 1                                            |
| DY                    | 2,473 |                                            | 2,275                                           | 198                                          |
| DZ                    | 2,200 |                                            | 2,086                                           | 114                                          |
| EA                    | 1,400 |                                            | 1,239                                           | 161                                          |
| EB                    | 1,719 |                                            | 1,496                                           | 223                                          |
| EC                    | 62    |                                            | 59                                              | 3                                            |
| ED                    | 1,558 |                                            | 1,219                                           | 339                                          |
| EE                    | 1,600 |                                            | 1,294                                           | 306                                          |
| EF                    | 1,501 |                                            | 1,263                                           | 238                                          |
| EG                    | 3,800 |                                            | 3,137                                           | 663                                          |
| EH                    | 2,460 |                                            | 2,342                                           | 118                                          |
| EI                    | 2,700 |                                            | 2,578                                           | 122                                          |
| EJ                    | 600   |                                            | 621                                             | -21                                          |
| EK                    | 1,600 |                                            | 1,459                                           | 141                                          |
| EL                    | 4,208 |                                            | 3,607                                           | 601                                          |
| EM                    | 1,300 |                                            | 1,040                                           | 260                                          |
| EN                    | 2,520 |                                            | 2,313                                           | 207                                          |
| EO                    | 2,400 |                                            | 2,221                                           | 179                                          |
| EP                    | 2,400 |                                            | 2,388                                           | 12                                           |
| EQ                    | 100   |                                            | 91                                              | 9                                            |
| ER                    | 2,100 |                                            | 1,929                                           | 171                                          |
| ES                    | 2,500 |                                            | 2,282                                           | 218                                          |
| ET                    | 500   |                                            | 479                                             | 21                                           |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price |        | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| EU                    | 56     |                                            |                                                 | 56                                           |
| EV                    | 3,100  |                                            | 3,182                                           | -82                                          |
| EW                    | 10,700 |                                            | 10,093                                          | 607                                          |
| EX                    | 2,761  |                                            | 3,065                                           | -304                                         |
| EY                    | 1,761  |                                            | 1,930                                           | -169                                         |
| EZ                    | 1,200  |                                            | 1,137                                           | 63                                           |
| FA                    | 1,100  |                                            | 1,041                                           | 59                                           |
| FB                    | 1,000  |                                            | 965                                             | 35                                           |
| FC                    | 1,700  |                                            | 1,653                                           | 47                                           |
| FD                    | 1,500  |                                            | 1,481                                           | 19                                           |
| FE                    | 1,000  |                                            | 977                                             | 23                                           |
| FF                    | 6,247  |                                            | 6,381                                           | -134                                         |
| FG                    | 40     |                                            | 41                                              | -1                                           |
| FH                    | 3,537  |                                            | 3,228                                           | 309                                          |
| FI                    | 6,765  |                                            | 6,080                                           | 685                                          |
| FJ                    | 6,658  |                                            | 6,113                                           | 545                                          |
| FK                    | 1,100  |                                            | 968                                             | 132                                          |
| FL                    | 6,645  |                                            | 6,116                                           | 529                                          |
| FM                    |        |                                            |                                                 |                                              |
| FN                    | 2,000  |                                            | 2,029                                           | -29                                          |
| FO                    | 2,200  |                                            | 2,075                                           | 125                                          |
| FP                    | 3,300  |                                            | 2,926                                           | 374                                          |
| FQ                    | 4,400  |                                            | 4,591                                           | -191                                         |
| FR                    | 68     |                                            | 78                                              | -10                                          |
| FS                    | 262    |                                            | 276                                             | -14                                          |
| FT                    | 1,349  |                                            | 1,768                                           | -419                                         |
| FU                    | 1,338  |                                            | 1,204                                           | 134                                          |
| FV                    | 1,300  |                                            | 1,192                                           | 108                                          |
| FW                    | 2,100  |                                            | 1,964                                           | 136                                          |
| FX                    | 1,900  |                                            | 1,838                                           | 62                                           |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price |       | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|-------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| FY                    | 1,800 |                                            | 1,776                                           | 24                                           |
| FZ                    | 1,000 |                                            | 1,025                                           | -25                                          |
| GA                    | 2,400 |                                            | 2,201                                           | 199                                          |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |  |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|--|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    |  | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
| A                                                                                           |  |                                   |                                            | 1,250                                                                                  |
| B                                                                                           |  |                                   |                                            | 34                                                                                     |
| C                                                                                           |  |                                   |                                            | 54                                                                                     |
| D                                                                                           |  |                                   |                                            | 168                                                                                    |
| E                                                                                           |  |                                   |                                            | 91                                                                                     |
| F                                                                                           |  |                                   |                                            | 125                                                                                    |
| G                                                                                           |  |                                   |                                            | -14                                                                                    |
| H                                                                                           |  |                                   |                                            | 49                                                                                     |
| I                                                                                           |  |                                   |                                            | 44                                                                                     |
| J                                                                                           |  |                                   |                                            | 68                                                                                     |
| K                                                                                           |  |                                   |                                            | 37                                                                                     |
| L                                                                                           |  |                                   |                                            | 5                                                                                      |
| M                                                                                           |  |                                   |                                            | 15                                                                                     |
| N                                                                                           |  |                                   |                                            | 21                                                                                     |
| O                                                                                           |  |                                   |                                            | -21                                                                                    |
| P                                                                                           |  |                                   |                                            | -3,372                                                                                 |
| Q                                                                                           |  |                                   |                                            | 14                                                                                     |
| R                                                                                           |  |                                   |                                            | 84                                                                                     |
| S                                                                                           |  |                                   |                                            | 65                                                                                     |
| T                                                                                           |  |                                   |                                            | -397                                                                                   |
| U                                                                                           |  |                                   |                                            | -633                                                                                   |
| V                                                                                           |  |                                   |                                            | -661                                                                                   |
| W                                                                                           |  |                                   |                                            | -276                                                                                   |
| X                                                                                           |  |                                   |                                            | -219                                                                                   |
| Y                                                                                           |  |                                   |                                            | 257                                                                                    |
| Z                                                                                           |  |                                   |                                            | 197                                                                                    |
| AA                                                                                          |  |                                   |                                            | 159                                                                                    |
| AB                                                                                          |  |                                   |                                            | 116                                                                                    |
| AC                                                                                          |  |                                   |                                            | -10                                                                                    |
| AD                                                                                          |  |                                   |                                            | -1                                                                                     |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |  |                                   | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|--|-----------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    |  | (j) Adjusted basis as of 12/31/69 |                                                                                        |
| AE                                                                                          |  |                                   | 198                                                                                    |
| AF                                                                                          |  |                                   | 209                                                                                    |
| AG                                                                                          |  |                                   | 18                                                                                     |
| AH                                                                                          |  |                                   | 22                                                                                     |
| AI                                                                                          |  |                                   | 322                                                                                    |
| AJ                                                                                          |  |                                   | 175                                                                                    |
| AK                                                                                          |  |                                   | 80                                                                                     |
| AL                                                                                          |  |                                   | 26                                                                                     |
| AM                                                                                          |  |                                   | 366                                                                                    |
| AN                                                                                          |  |                                   | 67                                                                                     |
| AO                                                                                          |  |                                   | 1,467                                                                                  |
| AP                                                                                          |  |                                   | -174                                                                                   |
| AQ                                                                                          |  |                                   | -16                                                                                    |
| AR                                                                                          |  |                                   | 199                                                                                    |
| AS                                                                                          |  |                                   | 141                                                                                    |
| AT                                                                                          |  |                                   | 30                                                                                     |
| AU                                                                                          |  |                                   | -62                                                                                    |
| AV                                                                                          |  |                                   | -226                                                                                   |
| AW                                                                                          |  |                                   | -112                                                                                   |
| AX                                                                                          |  |                                   | 28                                                                                     |
| AY                                                                                          |  |                                   | -159                                                                                   |
| AZ                                                                                          |  |                                   | -347                                                                                   |
| BA                                                                                          |  |                                   | -213                                                                                   |
| BB                                                                                          |  |                                   | -718                                                                                   |
| BC                                                                                          |  |                                   | -93                                                                                    |
| BD                                                                                          |  |                                   | -403                                                                                   |
| BE                                                                                          |  |                                   | -142                                                                                   |
| BF                                                                                          |  |                                   | 87                                                                                     |
| BG                                                                                          |  |                                   | 341                                                                                    |
| BH                                                                                          |  |                                   | 69                                                                                     |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |  |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|--|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    |  | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
| BI                                                                                          |  |                                   |                                            | 217                                                                                    |
| BJ                                                                                          |  |                                   |                                            | -608                                                                                   |
| BK                                                                                          |  |                                   |                                            | -120                                                                                   |
| BL                                                                                          |  |                                   |                                            | 175                                                                                    |
| BM                                                                                          |  |                                   |                                            | 242                                                                                    |
| BN                                                                                          |  |                                   |                                            | 218                                                                                    |
| BO                                                                                          |  |                                   |                                            | 329                                                                                    |
| BP                                                                                          |  |                                   |                                            | 185                                                                                    |
| BQ                                                                                          |  |                                   |                                            | -1,456                                                                                 |
| BR                                                                                          |  |                                   |                                            | -930                                                                                   |
| BS                                                                                          |  |                                   |                                            | 83                                                                                     |
| BT                                                                                          |  |                                   |                                            | 72                                                                                     |
| BU                                                                                          |  |                                   |                                            | -211                                                                                   |
| BV                                                                                          |  |                                   |                                            | -53                                                                                    |
| BW                                                                                          |  |                                   |                                            | -145                                                                                   |
| BX                                                                                          |  |                                   |                                            | -231                                                                                   |
| BY                                                                                          |  |                                   |                                            | -203                                                                                   |
| BZ                                                                                          |  |                                   |                                            | -73                                                                                    |
| CA                                                                                          |  |                                   |                                            | 3                                                                                      |
| CB                                                                                          |  |                                   |                                            | -889                                                                                   |
| CC                                                                                          |  |                                   |                                            | 86                                                                                     |
| CD                                                                                          |  |                                   |                                            | 104                                                                                    |
| CE                                                                                          |  |                                   |                                            | 159                                                                                    |
| CF                                                                                          |  |                                   |                                            | 91                                                                                     |
| CG                                                                                          |  |                                   |                                            | 1,527                                                                                  |
| CH                                                                                          |  |                                   |                                            | -15                                                                                    |
| CI                                                                                          |  |                                   |                                            | -63                                                                                    |
| CJ                                                                                          |  |                                   |                                            | -68                                                                                    |
| CK                                                                                          |  |                                   |                                            | 25                                                                                     |
| CL                                                                                          |  |                                   |                                            | -34                                                                                    |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |  |                                   | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|--|-----------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    |  | (j) Adjusted basis as of 12/31/69 |                                                                                        |
| CM                                                                                          |  |                                   | -382                                                                                   |
| CN                                                                                          |  |                                   | -637                                                                                   |
| CO                                                                                          |  |                                   | -244                                                                                   |
| CP                                                                                          |  |                                   | -257                                                                                   |
| CQ                                                                                          |  |                                   | 306                                                                                    |
| CR                                                                                          |  |                                   | -306                                                                                   |
| CS                                                                                          |  |                                   | -121                                                                                   |
| CT                                                                                          |  |                                   | -225                                                                                   |
| CU                                                                                          |  |                                   | -190                                                                                   |
| CV                                                                                          |  |                                   | -138                                                                                   |
| CW                                                                                          |  |                                   | 207                                                                                    |
| CX                                                                                          |  |                                   | -207                                                                                   |
| CY                                                                                          |  |                                   | -3,765                                                                                 |
| CZ                                                                                          |  |                                   | 12                                                                                     |
| DA                                                                                          |  |                                   | 196                                                                                    |
| DB                                                                                          |  |                                   | 183                                                                                    |
| DC                                                                                          |  |                                   | 155                                                                                    |
| DD                                                                                          |  |                                   | 218                                                                                    |
| DE                                                                                          |  |                                   | -24                                                                                    |
| DF                                                                                          |  |                                   | 9                                                                                      |
| DG                                                                                          |  |                                   | 10                                                                                     |
| DH                                                                                          |  |                                   | 984                                                                                    |
| DI                                                                                          |  |                                   | 140                                                                                    |
| DJ                                                                                          |  |                                   | 111                                                                                    |
| DK                                                                                          |  |                                   | 172                                                                                    |
| DL                                                                                          |  |                                   | 19                                                                                     |
| DM                                                                                          |  |                                   | 292                                                                                    |
| DN                                                                                          |  |                                   | 607                                                                                    |
| DO                                                                                          |  |                                   | 67                                                                                     |
| DP                                                                                          |  |                                   | -1,166                                                                                 |



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |  |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|--|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    |  | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
| DQ                                                                                          |  |                                   |                                            | -29                                                                                    |
| DR                                                                                          |  |                                   |                                            | 16                                                                                     |
| DS                                                                                          |  |                                   |                                            | 3                                                                                      |
| DT                                                                                          |  |                                   |                                            | 3                                                                                      |
| DU                                                                                          |  |                                   |                                            | 782                                                                                    |
| DV                                                                                          |  |                                   |                                            | 1,034                                                                                  |
| DW                                                                                          |  |                                   |                                            | 2,045                                                                                  |
| DX                                                                                          |  |                                   |                                            | 1                                                                                      |
| DY                                                                                          |  |                                   |                                            | 198                                                                                    |
| DZ                                                                                          |  |                                   |                                            | 114                                                                                    |
| EA                                                                                          |  |                                   |                                            | 161                                                                                    |
| EB                                                                                          |  |                                   |                                            | 223                                                                                    |
| EC                                                                                          |  |                                   |                                            | 3                                                                                      |
| ED                                                                                          |  |                                   |                                            | 339                                                                                    |
| EE                                                                                          |  |                                   |                                            | 306                                                                                    |
| EF                                                                                          |  |                                   |                                            | 238                                                                                    |
| EG                                                                                          |  |                                   |                                            | 663                                                                                    |
| EH                                                                                          |  |                                   |                                            | 118                                                                                    |
| EI                                                                                          |  |                                   |                                            | 122                                                                                    |
| EJ                                                                                          |  |                                   |                                            | -21                                                                                    |
| EK                                                                                          |  |                                   |                                            | 141                                                                                    |
| EL                                                                                          |  |                                   |                                            | 601                                                                                    |
| EM                                                                                          |  |                                   |                                            | 260                                                                                    |
| EN                                                                                          |  |                                   |                                            | 207                                                                                    |
| EO                                                                                          |  |                                   |                                            | 179                                                                                    |
| EP                                                                                          |  |                                   |                                            | 12                                                                                     |
| EQ                                                                                          |  |                                   |                                            | 9                                                                                      |
| ER                                                                                          |  |                                   |                                            | 171                                                                                    |
| ES                                                                                          |  |                                   |                                            | 218                                                                                    |
| ET                                                                                          |  |                                   |                                            | 21                                                                                     |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |  |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|--|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    |  | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
| EU                                                                                          |  |                                   |                                            | 56                                                                                     |
| EV                                                                                          |  |                                   |                                            | -82                                                                                    |
| EW                                                                                          |  |                                   |                                            | 607                                                                                    |
| EX                                                                                          |  |                                   |                                            | -304                                                                                   |
| EY                                                                                          |  |                                   |                                            | -169                                                                                   |
| EZ                                                                                          |  |                                   |                                            | 63                                                                                     |
| FA                                                                                          |  |                                   |                                            | 59                                                                                     |
| FB                                                                                          |  |                                   |                                            | 35                                                                                     |
| FC                                                                                          |  |                                   |                                            | 47                                                                                     |
| FD                                                                                          |  |                                   |                                            | 19                                                                                     |
| FE                                                                                          |  |                                   |                                            | 23                                                                                     |
| FF                                                                                          |  |                                   |                                            | -134                                                                                   |
| FG                                                                                          |  |                                   |                                            | -1                                                                                     |
| FH                                                                                          |  |                                   |                                            | 309                                                                                    |
| FI                                                                                          |  |                                   |                                            | 685                                                                                    |
| FJ                                                                                          |  |                                   |                                            | 545                                                                                    |
| FK                                                                                          |  |                                   |                                            | 132                                                                                    |
| FL                                                                                          |  |                                   |                                            | 529                                                                                    |
| FM                                                                                          |  |                                   |                                            |                                                                                        |
| FN                                                                                          |  |                                   |                                            | -29                                                                                    |
| FO                                                                                          |  |                                   |                                            | 125                                                                                    |
| FP                                                                                          |  |                                   |                                            | 374                                                                                    |
| FQ                                                                                          |  |                                   |                                            | -191                                                                                   |
| FR                                                                                          |  |                                   |                                            | -10                                                                                    |
| FS                                                                                          |  |                                   |                                            | -14                                                                                    |
| FT                                                                                          |  |                                   |                                            | -419                                                                                   |
| FU                                                                                          |  |                                   |                                            | 134                                                                                    |
| FV                                                                                          |  |                                   |                                            | 108                                                                                    |
| FW                                                                                          |  |                                   |                                            | 136                                                                                    |
| FX                                                                                          |  |                                   |                                            | 62                                                                                     |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
| FY                                                                                          |                                   |                                            | 24                                                                                     |
| FZ                                                                                          |                                   |                                            | -25                                                                                    |
| GA                                                                                          |                                   |                                            | 199                                                                                    |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                              | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount |
|------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|--------|
| Name and address (home or business)                                                                                    |                                                                                                                    |                                      |                                     |        |
| <b>a</b> Paid during the year                                                                                          |                                                                                                                    |                                      |                                     |        |
| Enfield Village Association<br>PO Box 763<br>ENFIELD,NH 03748                                                          |                                                                                                                    | 501(C)(3)                            | GENERAL DONATION                    | 1,000  |
| Click It For Cassie<br>576 Post Road<br>DARIEN,CT 06820                                                                |                                                                                                                    | 501(C)(3)                            | GENERAL DONATION                    | 500    |
| Prouty Friends of the Norris Cotton<br>One Medical Center Drive<br>LEBANON,NH 03756                                    |                                                                                                                    | 501(C)(3)                            | POUTY RACE SCHOLLARSHIP             | 1,000  |
| TRUMBULL ACE FOUNDATION<br>6254 MAIN STREET<br>TRUMBULL,CT 06611                                                       |                                                                                                                    | 501(C)(3)                            | GENERAL DONATION                    | 1,000  |
| BLACK RIVER GOOD NEIGHBOR<br>SERVICES<br>105 MAIN STREET<br>LUDLOW,VT 05149                                            |                                                                                                                    | 501(C)(3)                            | GENERAL DONATION                    | 1,000  |
| BERMUDA MARITIME MUSEUM<br>PO BOX MA 133<br>SANDYS PARISH,PO BOX MA 133,<br>SANDY MA BX<br>BD                          |                                                                                                                    | 501(C)(3)                            | GENERAL DONATION                    | 1,000  |
| THAYER SCHOOL OF ENGINEERING<br>8000 CUMMINGS HALL<br>HANOVER,NH 03755                                                 |                                                                                                                    | 501(C)(3)                            | PARTNERS IN INNOVATION              | 5,000  |
| MALTA HOUSE<br>5 PROWITT STREET<br>NORWALK,CT 06855                                                                    |                                                                                                                    | 501(C)(3)                            | HOPE FOR LIFE CAMPAIGN              | 1,000  |
| CAVENDISH COMMUNITY<br>CONSERVATION AS<br>PO BOX 605<br>CAVENDISH,VT 05142                                             |                                                                                                                    | 501(C)(3)                            | GENERAL DONATION                    | 500    |
| ENFIELD SHAKER MUSEUM<br>447 NH ROUTE 4A<br>ENFIELD,NH 03748                                                           |                                                                                                                    | 501(C)(3)                            | ANNUAL APPEAL                       | 15,000 |
| FIRST CONGREGATIONAL CHURCH<br>PO BOX 230<br>LEBANON,NH 03766                                                          |                                                                                                                    | 501(C)(3)                            | ANNUAL PLEDGE                       | 6,000  |
| TRUMBULL HIGH SCHOOL<br>MARCHING BAND<br>72 STROBEL ROAD<br>TRUMBULL,CT 06611                                          |                                                                                                                    | 501(C)(3)                            | OPERATING BUDGET                    | 500    |
| UNIVERSITY OF CONNECTICUT<br>2390 ALUMNI DRIVE UNIT 3206<br>STORRS,CT 06269                                            |                                                                                                                    | 501(C)(3)                            | PRESIDENT'S FUND                    | 500    |
| UNITED CHURCH OF ROWAYTON<br>210 ROWAYTON AVENUE<br>ROWAYTON,CT 06853                                                  |                                                                                                                    | 501(C)(3)                            | ANNUAL PLEDGE                       | 100    |
| STAMFORD ART ASSOCIATION<br>39 FRANKLIN STREET<br>STAMFORD,CT 06901                                                    |                                                                                                                    | 501(C)(3)                            | OPERATING BUDGET                    | 100    |
| <b>Total . . . . .</b>  <b>3a</b> |                                                                                                                    |                                      |                                     | 38,400 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                              | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|--------|
| Name and address (home or business)                                                                                    |                                                                                                           |                                |                                  |        |
| <b>a</b> Paid during the year                                                                                          |                                                                                                           |                                |                                  |        |
| MORROW MEM UNITED METHODIST CH<br>600 RIDGEWOOD ROAD<br>MAPLEWOOD, NJ 07040                                            |                                                                                                           | 501(C)(3)                      | J HENRY SMITH SCHOLARSHIP FUND   | 100    |
| LUDOLW TEEN CENTER<br>106 MAIN STREET<br>LUDLOW, VT 05149                                                              |                                                                                                           | 501(C)(3)                      | PROGRAMS AND ACTIVITIES          | 1,000  |
| HOLY TRINITY CHURCH<br>PO BOX CR 186<br>CRAWL, PO BOX CR 186 CRAWL CRBX<br>BD                                          |                                                                                                           | 501(C)(3)                      | ANNUAL PLEDGE                    | 100    |
| DARTMOUTH COLLEGE<br>6066 DEVELOPEMENT OFFICE<br>HANOVER, NH 03755                                                     |                                                                                                           | 501(C)(3)                      | COLLEGE FUND                     | 500    |
| CHILDREN'S CONNECTION<br>ONE PARK STREET<br>NORWALK, CT 06851                                                          |                                                                                                           | 501(C)(3)                      | FIGHT AGAINST ABUSE AND VIOLENCE | 1,000  |
| CAMP HORIZIN INC<br>PO BOX 323<br>SOUTH WINDHAM, CT 06266                                                              |                                                                                                           | 501(C)(3)                      | CAMPAIGN FOR CAMPERS             | 1,000  |
| BERMUDA BIOLOGICSTA FOR RESEA<br>FERRY REACH ST GEOR<br>ST GEORGE'S, FERRY REACH, ST GEOR BE 01<br>BD                  |                                                                                                           | 501(C)(3)                      | NEW HORIZON CAMPAIGN             | 500    |
| <b>Total . . . . .</b>  <b>3a</b> |                                                                                                           |                                |                                  | 38,400 |

**TY 2010 Accounting Fees Schedule****Name:** THE WENDELL M SMITH FOUNDATION**EIN:** 22-3045019**Software ID:** 10000105**Software Version:** 2010v3.2

| Category   | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|------------|--------|--------------------------|------------------------|---------------------------------------------|
| ACCOUNTING | 3,100  | 0                        | 0                      | 0                                           |

TY 2010 Other Assets Schedule

**Name:** THE WENDELL M SMITH FOUNDATION

**EIN:** 22-3045019

**Software ID:** 10000105

**Software Version:** 2010v3.2

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|-------------|--------------------------------|--------------------------|---------------------------------|
| Rounding    |                                | 2                        |                                 |

**TY 2010 Other Decreases Schedule****Name:** THE WENDELL M SMITH FOUNDATION**EIN:** 22-3045019**Software ID:** 10000105**Software Version:** 2010v3.2

| Description                | Amount |
|----------------------------|--------|
| UNREALIZED GAIN 12/31/2009 | 7,934  |
| OTHER                      | 6      |
| BOOK VS TAX CONTRIBUTIONS  | 2,300  |



**TY 2010 Other Expenses Schedule****Name:** THE WENDELL M SMITH FOUNDATION**EIN:** 22-3045019**Software ID:** 10000105**Software Version:** 2010v3.2

| Description     | Revenue and Expenses<br>per Books | Net Invest ment<br>Income | Adjusted Net Income | Disbursement s for<br>Charitable Purposes |
|-----------------|-----------------------------------|---------------------------|---------------------|-------------------------------------------|
| INVESTMENT FEES | 3,839                             | 3,839                     |                     |                                           |

TY 2010 Other Increases Schedule

**Name:** THE WENDELL M SMITH FOUNDATION

**EIN:** 22-3045019

**Software ID:** 10000105

**Software Version:** 2010v3.2

| Description                | Amount |
|----------------------------|--------|
| UNREALIZED GAIN 12/31/2010 | 47,982 |

## TY 2010 Other Professional Fees Schedule

**Name:** THE WENDELL M SMITH FOUNDATION

**EIN:** 22-3045019

**Software ID:** 10000105

**Software Version:** 2010v3.2

| Category        | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|-----------------|--------|--------------------------|------------------------|---------------------------------------------|
| MANAGEMENT FEES | 3,000  | 0                        | 0                      | 0                                           |

**TY 2010 Taxes Schedule****Name:** THE WENDELL M SMITH FOUNDATION**EIN:** 22-3045019**Software ID:** 10000105**Software Version:** 2010v3.2

| Category         | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|------------------|--------|--------------------------|------------------------|---------------------------------------------|
| TAX WITHHELD     | 26     | 26                       |                        |                                             |
| FEDERAL TAX PAID | 140    |                          |                        |                                             |