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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

, and ending

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation AUFZIEN FOUNDATION, INC		A Employer identification number 22-2838652
Number and street (or P O box number if mail is not delivered to street address) 350 PASSAIC AVENUE	Room/suite	B Telephone number 973-575-5132
City or town, state, and ZIP code FAIRFIELD, NJ 07004		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,830,638.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
(Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		30,600.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		184,813.	184,813.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		207,464.			STATEMENT 1
b Gross sales price for all assets on line 6a		81,755,230.			
7 Capital gain net income (from Part IV, line 2)			209,912.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-4,472.	-4,472.		STATEMENT 3
12 Total. Add lines 1 through 11		418,405.	390,253.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest		19,276.	19,276.		0.
18 Taxes	STMT 4	696.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	STMT 5	91,865.	91,865.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		111,837.	111,141.		0.
25 Contributions, gifts, grants paid		436,500.			436,500.
26 Total expenses and disbursements. Add lines 24 and 25		548,337.	111,141.		436,500.
27 Subtract line 26 from line 12		-129,932.			
a Excess of revenue over expenses and disbursements			279,112.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
			(a) Book Value	(b) Book Value (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	17,029.	20,355.	20,355.
	2 Savings and temporary cash investments	191,895.	152,071.	152,071.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 6	4,949,065.	5,030,415.	5,658,212.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 7)	194,947.	0.	0.
	16 Total assets (to be completed by all filers)	5,352,936.	5,202,841.	5,830,638.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	5,352,936.	5,202,841.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	5,352,936.	5,202,841.	
	31 Total liabilities and net assets/fund balances	5,352,936.	5,202,841.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,352,936.
2 Enter amount from Part I, line 27a	2	-129,932.
3 Other increases not included in line 2 (itemize) ▶ NON-DEDUCTIBLE EXPENSES	3	25.
4 Add lines 1, 2, and 3	4	5,223,029.
5 Decreases not included in line 2 (itemize) ▶ BOOK VERSES TAX DIFFERENCES	5	20,188.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,202,841.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 1,755,230.		1,650,027.	209,912.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				
b				
c				
d				
e			209,912.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		209,912.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	510,474.	5,257,633.	.097092
2008	451,678.	8,408,276.	.053718
2007	205,114.	7,279,615.	.028176
2006	214,150.	5,288,486.	.040494
2005	210,100.	3,355,222.	.062619

2 Total of line 1, column (d)	2	.282099
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056420
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	5,498,577.
5 Multiply line 4 by line 3	5	310,230.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,791.
7 Add lines 5 and 6	7	313,021.
8 Enter qualifying distributions from Part XII, line 4	8	436,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	2,791.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		3	2,791.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		5	2,791.
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-			
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	4,275.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	4,275.	
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,484.	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax <input checked="" type="checkbox"/> 1,484. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2010)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► ALAN AUFZIEN Telephone no ► 973-575-5132 Located at ► 350 PASSAIC AVENUE, FAIRFIELD, NJ ZIP+4 ► 07004			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?		4b X

Form 990-PF (2010)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,261,778.
b	Average of monthly cash balances	1b	320,534.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,582,312.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,582,312.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	83,735.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,498,577.
6	Minimum investment return. Enter 5% of line 5	6	274,929.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	274,929.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	2,791.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,791.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	272,138.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	272,138.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	272,138.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	436,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	436,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,791.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	433,709.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2010)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				272,138.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005	47,394.			
b From 2006				
c From 2007				
d From 2008	32,974.			
e From 2009	247,662.			
f Total of lines 3a through e	328,030.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$	436,500.			
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				272,138.
e Remaining amount distributed out of corpus	164,362.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	492,392.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	47,394.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	444,998.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008	32,974.			
d Excess from 2009	247,662.			
e Excess from 2010	164,362.			

N/A

☐ 4942(1)(3) or ☐ 4942(1)(5)

(4) Gross investment income

[illegible]

NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 9				
Total			3a	436,500.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

**Sign
Here**

Signature of officer or trustee

Date _____

DIRECTOR

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

LARRY GOLDSTEIN

Preparer's signature

Date

Check ☐ self-employed

PTIN

Firm's name ► **HOBERMAN, MILLER, GOLDSTEIN & LESSER**

Firm's EIN ▶

Firm's address ► 226 WEST 26TH STREET
NEW YORK, NY 10001-6785

Phone no 2124630900

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

AUFZIEN FOUNDATION, INC

Employer identification number

22-2838652

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)

Name of organization

Employer identification number

AUFZIEN FOUNDATION, INC

22-2838652

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ALAN AUFZIEN 350 PASSAIC AVENUE FAIRFIELD, NJ 07004	\$ 10,600.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	MEREDITH BAUER 9 STONEBRIDGE ROAD MONTCLAIR, NJ 07042	\$ 20,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

AUFZIEN FOUNDATION, INC

22-2838652

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

AUFZIEN FOUNDATION, INC

22-2838652

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	259 SHS AMERICAN TOWER CORP CL A	P	01/01/08	VARIOUS
b	971.329 SHS ARIEL APPRECIATION FUND	P	12/08/08	VARIOUS
c	1,991 SHS BANCO SANTANDER SA SPON ADR	P	VARIOUS	04/28/10
d	222 SHS BARCLAYS BK PLC IPATH DOW	P	10/29/09	05/18/10
e	462 SHS BAXTER INTL INC	P	VARIOUS	06/18/10
f	281 SHS BHP BILLITON LIMITED	P	12/23/09	VARIOUS
g	61 SHS BLACKROCK INC	P	VARIOUS	VARIOUS
h	1,548 SHS BLACKSTONE GROUP LP	P	VARIOUS	09/14/10
i	448 SHS BOARDWALK PIPELINE PARTNERS LP	P	VARIOUS	10/29/10
j	50 SHS BOEING COMPANY	P	11/23/10	11/30/10
k	57 SHS BORG WARNER INC	P	08/25/10	11/30/10
l	190 SHS CADBURY SCHWEPPE'S PLC	P	VARIOUS	01/27/10
m	3,526.314 SHS CALAMOS GROWTH & INCOME FUND CL A	P	VARIOUS	VARIOUS
n	299 SHS CANADIAN NATURAL RESOURCES LTD	P	VARIOUS	VARIOUS
o	316 SHS CATERPILLAR INC	P	09/18/09	VARIOUS

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	12,888.		10,567.	2,321.
b	34,139.		20,544.	13,595.
c	23,093.		26,404.	-3,311.
d	8,446.		9,035.	-589.
e	19,505.		25,187.	-5,682.
f	18,345.		17,255.	1,090.
g	10,266.		10,712.	-446.
h	15,495.		21,420.	-5,925.
i	14,927.		13,394.	1,533.
j	3,266.		3,217.	49.
k	3,471.		2,577.	894.
l	10,226.		9,778.	448.
m	95,304.		74,193.	21,111.
n	21,436.		17,378.	4,058.
o	20,709.		16,325.	4,384.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			2,321.
b			13,595.
c			-3,311.
d			-589.
e			-5,682.
f			1,090.
g			-446.
h			-5,925.
i			1,533.
j			49.
k			894.
l			448.
m			21,111.
n			4,058.
o			4,384.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

AUFZIEN FOUNDATION, INC

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	181 SHS CH ROBINSON	P	01/01/08	04/15/10
b	288 SHS CH ROBINSON	P	VARIOUS	VARIOUS
c	516 SHS COCA-COLA ENT	P	10/13/10	VARIOUS
d	529 SHS CORNING INC	P	01/25/10	VARIOUS
e	31 SHS CUMMUNS INC	P	10/07/10	11/30/10
f	1,391.65 SHS DIAMOND HILL LONG SHORT FUND CL A	P	03/18/09	05/21/10
g	546 SHS EBAY INC	P	05/04/10	VARIOUS
h	351 SHS ECOLAB INC	P	VARIOUS	VARIOUS
i	404 SHS ENBRIDGE INC	P	02/27/09	01/22/10
j	570 SHS ENTERPRISE PRODUCTS INC	P	VARIOUS	VARIOUS
k	309 SHS EXPEDITORS INTL WASH INC	P	VARIOUS	01/13/10
l	958.706 SHS FAIRHOLME	P	08/17/06	VARIOUS
m	5,302.443 SHS FEDERATED MARKET	P	VARIOUS	04/14/10
n	4,731.927 SHS FMI LARGE CAP FUND	P	VARIOUS	VARIOUS
o	61 SHS GOLDMAN SACHS GROUP INC	P	04/07/09	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,284.		9,048.	1,236.
b 19,793.		16,784.	3,009.
c 12,862.		11,588.	1,274.
d 9,279.		10,316.	-1,037.
e 3,053.		2,793.	260.
f 21,070.		19,043.	2,027.
g 16,492.		13,228.	3,264.
h 17,453.		16,327.	1,126.
i 18,369.		12,328.	6,041.
j 21,011.		12,329.	8,682.
k 10,743.		13,315.	-2,572.
l 29,591.		23,358.	6,233.
m 53,024.		59,500.	-6,476.
n 68,615.		50,600.	18,015.
o 9,927.		6,975.	2,952.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,236.
b			3,009.
c			1,274.
d			-1,037.
e			260.
f			2,027.
g			3,264.
h			1,126.
i			6,041.
j			8,682.
k			-2,572.
l			6,233.
m			-6,476.
n			18,015.
o			2,952.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1,580.534 SHS GREENSPRING FUND	P	VARIOUS	05/28/10
b	326 SHS HSBC HOLDINGS PLC	P	08/06/09	02/17/10
c	49 SHS HUNT JB TRANS	P	09/24/10	11/30/10
d	75 SIS INTL BUNSINESS MACH	P	10/23/09	VARIOUS
e	85 SHS ISHARES S&P NORTH AMERICAN	P	10/29/09	08/27/10
f	260 SHS ISHARES SILVER TR	P	10/29/09	11/09/10
g	12 SHS ISHARES SILVER TR	P	08/27/10	11/09/10
h	162 SHS ISHARES TR RUSSELL 2000 VALUE INDEX FD	P	03/09/10	10/06/10
i	64 SHS ISHARES TR S&P US PFD STK	P	10/29/09	11/09/10
j	104 SHS ISHARES TRUST BARCLAYS 7-10	P	05/18/10	08/19/10
k	184 SHS ISHARES TRUST IBOX	P	10/29/09	VARIOUS
l	2,900.143 SHS JENSEN	P	12/22/08	VARIOUS
m	463.511 SHS JENSEN	P	06/03/09	04/16/10
n	471 SHS JPMORGAN CHASE & CO	P	VARIOUS	VARIOUS
o	483 SHS JPMORGAN CHASE & CO	P	VARIOUS	10/20/10

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	36,653.		35,703.	950.
b	16,792.		17,723.	-931.
c	1,830.		1,738.	92.
d	10,889.		9,179.	1,710.
e	2,714.		2,841.	-127.
f	7,106.		4,511.	2,595.
g	328.		208.	120.
h	9,743.		9,667.	76.
i	2,537.		2,402.	135.
j	10,246.		9,575.	671.
k	20,525.		19,257.	1,268.
l	71,916.		53,581.	18,335.
m	11,937.		9,450.	2,487.
n	18,777.		16,624.	2,153.
o	18,324.		19,721.	-1,397.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			950.
b			-931.
c			92.
d			1,710.
e			-127.
f			2,595.
g			120.
h			76.
i			135.
j			671.
k			1,268.
l			18,335.
m			2,487.
n			2,153.
o			-1,397.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 }

3

AUFZIEN FOUNDATION, INC

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3,407.164 SHS LEUTHOLD ASSET	P	VARIOUS	VARIOUS
b	296 SHS MEAD JOHNSON NUTRITION	P	01/22/10	11/15/10
c	481 SHS MEDCO HEALTH SOLUTIONS INC	P	VARIOUS	VARIOUS
d	692 SHS MICROSOFT CORP	P	VARIOUS	10/06/10
e	2,594.236 SHS NAKOMA ABSOLUTE RETURN	P	VARIOUS	04/05/10
f	274 SHS NEXTERA ENERGY INC	P	04/08/09	VARIOUS
g	83 SHS NORFOLK STHN CORP	P	10/27/09	11/30/10
h	287 SHS NORFOLK STHN CORP	P	VARIOUS	VARIOUS
i	181 SHS OCCIDENTIAL PETROLEUM CRP	P	VARIOUS	VARIOUS
j	893.997 SHS OSTERWEIS FUND	P	12/18/08	02/05/10
k	55 SHS PARTTNERRE LTD	P	11/16/09	10/08/10
l	212 SHS PARTTNERRE LTD	P	VARIOUS	VARIOUS
m	3,607.038 SHS PAYDEN HIGH INCOME FUND	P	04/15/09	05/28/10
n	765.347 SHS PERMNANT PORTFOLIO	P	06/12/07	02/08/10
o	537 SHS PHILIP MORRIS INTL INC	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 32,007.		30,028.	1,979.
b 17,734.		13,877.	3,857.
c 21,071.		24,258.	-3,187.
d 16,894.		20,358.	-3,464.
e 50,639.		56,900.	-6,261.
f 14,615.		14,392.	223.
g 5,062.		3,977.	1,085.
h 15,686.		14,186.	1,500.
i 15,749.		14,419.	1,330.
j 20,879.		16,716.	4,163.
k 4,390.		4,235.	155.
l 16,500.		16,231.	269.
m 24,672.		22,548.	2,124.
n 28,769.		25,708.	3,061.
o 31,131.		25,234.	5,897.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			1,979.
b			3,857.
c			-3,187.
d			-3,464.
e			-6,261.
f			223.
g			1,085.
h			1,500.
i			1,330.
j			4,163.
k			155.
l			269.
m			2,124.
n			3,061.
o			5,897.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

AUFZIEN FOUNDATION, INC

Part IV Capital Gains and Losses for-Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	543 SHS PLAINS ALL AMERICAN PIPELINE LP	P	VARIOUS	VARIOUS
b	220 SHS PRAXAIR	P	08/05/09	02/24/10
c	235 SHS PROCTER & GAMBLE CO	P	VARIOUS	VARIOUS
d	849 SHS PROSHARES SHORT ETF	P	04/15/10	05/07/10
e	3,131 SHS PROSHARES SHORT S&P 500	P	VARIOUS	VARIOUS
f	1,143 SHS PROSHARES SHORT RUSSELL 2000	P	VARIOUS	09/17/10
g	601 SHS RANGE RESOURCES CORP	P	VARIOUS	VARIOUS
h	106 SHS ROVI CORP	P	08/18/10	11/30/10
i	10 SHS RWE AKTIENGESELLSCHAFT	P	12/13/07	12/08/10
j	25 SHS RWE AKTIENGESELLSCHAFT	P	VARIOUS	12/08/10
k	1,774.441 SHS RYDEX INVERSE S&P 500 STRATEGY FUND	P	VARIOUS	VARIOUS
l	1,458 SHS SCHWAB CHARLES CORP	P	VARIOUS	02/16/10
m	75 SHS SMITH INTERNATIONAL	P	VARIOUS	02/23/10
n	15 SHS SMITH INTERNATIONAL	P	01/16/08	02/23/10
o	50 SHS SMITH INTERNATIONAL	P	07/01/08	02/23/10

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 32,959.		23,522.	9,437.
b 16,990.		17,161.	-171.
c 14,293.		13,827.	466.
d 38,934.		43,197.	-4,263.
e 156,423.		167,366.	-10,943.
f 45,021.		47,556.	-2,535.
g 25,683.		27,146.	-1,463.
h 5,791.		4,448.	1,343.
i 638.		988.	-350.
j 1,596.		3,241.	-1,645.
k 55,928.		59,800.	-3,872.
l 26,037.		27,663.	-1,626.
m 3,050.		2,892.	158.
n 610.		981.	-371.
o 2,033.		4,214.	-2,181.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			9,437.
b			-171.
c			466.
d			-4,263.
e			-10,943.
f			-2,535.
g			-1,463.
h			1,343.
i			-350.
j			-1,645.
k			-3,872.
l			-1,626.
m			158.
n			-371.
o			-2,181.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

AUFZIEN FOUNDATION, INC

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	308 SHS SPDR BARCLAYS INTERNATIONAL	P	10/29/09	03/01/10
b	160 SHS SPDR SERIES TRUST	P	10/29/09	07/27/10
c	2 SHS SUNCOR ENERGY INC	P	05/08/09	02/19/10
d	396 SHS SUNCOR ENERGY INC	P	VARIOUS	VARIOUS
e	238 SHS TEEKAY CORP	P	09/28/10	VARIOUS
f	117 SHS UNITED PARCEL SERVICE	P	VARIOUS	11/30/10
g	498 SHS VERISK ANALYTICS INC CL A	P	VARIOUS	VARIOUS
h	461 SHS VISA INC CL A	P	VARIOUS	VARIOUS
i	195 SHS VORNADO REALTY TRUST	P	VARIOUS	02/18/10
j	1,003 SHS YAHOO INC	P	04/14/10	09/07/10
k	GOLUB CAPITAL PARTNERS VII LP	P		
l	GOLUB CAPITAL PARTNERS VII LP	P		
m	GOLUB CAPITAL PARTNERS V LP	P		
n	GOLUB CAPITAL PARTNERS V LP	P		
o	WYNNEFIELD SMALL CAP VALUE	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	17,126.		17,981.	-855.
b	8,607.		9,058.	-451.
c	60.		55.	5.
d	12,559.		15,872.	-3,313.
e	7,880.		6,445.	1,435.
f	8,139.		7,518.	621.
g	15,207.		13,391.	1,816.
h	35,983.		38,055.	-2,072.
i	12,162.		9,332.	2,830.
j	13,321.		17,553.	-4,232.
k				-70.
l				-40.
m				-1,081.
n				-1,837.
o				113,155.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-855.
b			-451.
c			5.
d			-3,313.
e			1,435.
f			621.
g			1,816.
h			-2,072.
i			2,830.
j			-4,232.
k			-70.
l			-40.
m			-1,081.
n			-1,837.
o			113,155.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

AUFZIEN FOUNDATION, INC

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GCM 2007 OPPORTUNITY FUND LP - LOSS ON TERMINATIO	P		
b	GCM 2007 OPPORTUNITY FUND LP	P		
c	GEMS FUND LP	P		
d	GEMS FUND LP	P		
e	CMS STRUCTURED PRODUCTS FUND	P		
f	MUUS INDEPENDENCE FUND	P		
g	MUUS INDEPENDENCE FUND	P		
h	CAPITAL GAINS DIVIDENDS			
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-7,223.
b			106.
c			-76.
d			142.
e			1,544.
f			-157.
g			246.
h	9,003.		9,003.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-7,223.
b			106.
c			-76.
d			142.
e			1,544.
f			-157.
g			246.
h			9,003.
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	209,912.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
259 SHS AMERICAN TOWER CORP CL A	PURCHASED	01/01/08	VARIOUS	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
12,888.	10,567.	0.	0.	2,321.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
971.329 SHS ARIEL APPRECIATION FUND	PURCHASED	12/08/08	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
34,139.	20,544.	0.	0.	13,595.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
1,991 SHS BANCO SANTANDER SA SPON ADR	PURCHASED	VARIOUS	04/28/10	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
23,093.	26,404.	0.	0.	-3,311.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
222 SHS BARCLAYS BK PLC IPATH DOW	PURCHASED	10/29/09	05/18/10		
	8,446.	9,035.	0.	0.	-589.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
462 SHS BAXTER INTL INC	PURCHASED	VARIOUS	06/18/10		
	19,505.	25,187.	0.	0.	-5,682.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
281 SHS BHP BILLITON LIMITED	PURCHASED	12/23/09	VARIOUS		
	18,345.	17,255.	0.	0.	1,090.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
61 SHS BLACKROCK INC	PURCHASED	VARIOUS	VARIOUS		
	10,266.	10,712.	0.	0.	-446.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,548 SHS BLACKSTONE GROUP LP	15,495.	21,420.	0.	0.	-5,925.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
448 SHS BOARDWALK PIPELINE PARTNERS LP	14,927.	13,394.	0.	0.	1,533.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
50 SHS BOEING COMPANY	3,266.	3,217.	0.	0.	49.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
57 SHS BORG WARNER INC	3,471.	2,577.	0.	0.	894.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
190 SHS CADBURY SCHWEPPE PLC	10,226.	9,677.	0.	0.	01/27/10	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
3,526.314 SHS CALAMOS GROWTH & INCOME FUND CL A	95,304.	74,193.	0.	0.	21,111.	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
299 SHS CANADIAN NATURAL RESOURCES LTD	21,436.	17,378.	0.	0.	4,058.	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
316 SHS CATERPILLAR INC	20,709.	16,325.	0.	0.	4,384.	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
181 SHS CH ROBINSON	10,284.	9,048.	0.	0.	1,236.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
288 SHS CH ROBINSON	19,793.	16,784.	0.	0.	3,009.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
516 SHS COCA-COLA ENT	12,862.	11,588.	0.	0.	1,274.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
529 SHS CORNING INC	9,279.	10,316.	0.	0.	-1,037.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
31 SHS CUMMUNS INC					
	3,053.	2,793.	0.	0.	260.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,391.65 SHS DIAMOND HILL LONG SHORT FUND CL A					
	21,070.	19,043.	0.	0.	2,027.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
546 SHS EBAY INC					
	16,492.	13,228.	0.	0.	3,264.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
351 SHS ECOLAB INC					
	17,453.	16,327.	0.	0.	1,126.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
404 SHS ENBRIDGE INC	18,369.	12,328.	0.	0.	6,041.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
570 SHS ENTERPRISE PRODUCTS INC	21,011.	12,329.	0.	0.	8,682.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
309 SHS EXPEDITORS INTL WASH INC	10,743.	13,315.	0.	0.	-2,572.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
958.706 SHS FAIRHOLME	29,591.	23,358.	0.	0.	6,233.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
5,302.443 SHS FEDERATED MARKET	53,024.	59,500.	0.	0.	-6,476.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,731.927 SHS FMI LARGE CAP FUND	68,615.	50,600.	0.	0.	18,015.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
61 SHS GOLDMAN SACHS GROUP INC	9,927.	6,975.	0.	0.	2,952.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,580.534 SHS GREENSPRING FUND	36,653.	35,703.	0.	0.	950.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
326 SHS HSBC HOLDINGS PLC	PURCHASED	08/06/09	02/17/10		
	16,792.	17,723.	0.	0.	-931.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
49 SHS HUNT JB TRANS	PURCHASED	09/24/10	11/30/10		
	1,830.	1,738.	0.	0.	92.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
75 SIS INTL BUNSINESS MACH	PURCHASED	10/23/09	VARIOUS		
	10,889.	9,179.	0.	0.	1,710.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
85 SHS ISHARES S&P NORTH AMERICAN	PURCHASED	10/29/09	08/27/10		
	2,714.	2,841.	0.	0.	-127.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
260 SHS ISHARES SILVER TR	7,106.	4,511.	0.	0.	2,595.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
12 SHS ISHARES SILVER TR	328.	208.	0.	0.	120.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
162 SHS ISHARES TR RUSSELL 2000 VALUE INDEX FD	9,743.	9,667.	0.	0.	76.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
64 SHS ISHARES TR S&P US PFD STK	2,537.	2,402.	0.	0.	135.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
104 SHS ISHARES TRUST BARCLAYS 7-10	10,246.	9,575.	0.	0.	671.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
184 SHS ISHARES TRUST IBOXX	20,525.	19,257.	0.	0.	1,268.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,900.143 SHS JENSEN	71,916.	53,581.	0.	0.	18,335.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
463.511 SHS JENSEN	11,937.	9,450.	0.	0.	2,487.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
471 SHS JPMORGAN CHASE & CO	18,777.	16,624.	0.	PURCHASED 0.	VARIOUS 2,153.	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
483 SHS JPMORGAN CHASE & CO	18,324.	19,721.	0.	PURCHASED 0.	VARIOUS -1,397.	10/20/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
3,407.164 SHS LEUTHOLD ASSET	32,007.	30,028.	0.	PURCHASED 0.	VARIOUS 1,979.	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
296 SHS MEAD JOHNSON NUTRITION	17,734.	13,877.	0.	PURCHASED 0.	01/22/10 3,857.	11/15/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
481 SHS MEDCO HEALTH SOLUTIONS INC	PURCHASED	VARIOUS	VARIOUS		
	21,071.	24,258.	0.	0.	-3,187.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
692 SHS MICROSOFT CORP	PURCHASED	VARIOUS	10/06/10		
	16,894.	20,358.	0.	0.	-3,464.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
2,594.236 SHS NAKOMA ABSOLUTE RETURN	PURCHASED	VARIOUS	04/05/10		
	50,639.	56,900.	0.	0.	-6,261.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
274 SHS NEXTERA ENERGY INC	PURCHASED	04/08/09	VARIOUS		
	14,615.	14,392.	0.	0.	223.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
83 SHS NORFOLK STHN CORP	5,062.	3,977.	0.	PURCHASED	10/27/09	11/30/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
287 SHS NORFOLK STHN CORP	15,686.	14,186.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
181 SHS OCCIDENTIAL PETROLEUM CRP	15,749.	14,419.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
893.997 SHS OSTERWEIS FUND	20,879.	16,716.	0.	PURCHASED	12/18/08	02/05/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
55 SHS PARTTNERRE LTD	PURCHASED	11/16/09	10/08/10		
	4,390.	4,235.	0.	0.	155.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
212 SHS PARTTNERRE LTD	PURCHASED	VARIOUS	VARIOUS		
	16,500.	16,231.	0.	0.	269.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
3,607.038 SHS PAYDEN HIGH INCOME FUND	PURCHASED	04/15/09	05/28/10		
	24,672.	22,548.	0.	0.	2,124.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
765.347 SHS PERMNANT PORTFOLIO	PURCHASED	06/12/07	02/08/10		
	28,769.	25,708.	0.	0.	3,061.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS
537 SHS PHILIP MORRIS INTL INC				PURCHASED	VARIOUS
	31,131.	25,234.	0.	0.	5,897.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS
543 SHS PLAINS ALL AMERICAN PIPELINE LP				PURCHASED	VARIOUS
	32,959.	23,522.	0.	0.	9,437.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS
220 SHS PRAXAIR				PURCHASED	08/05/09 02/24/10
	16,990.	17,161.	0.	0.	-171.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS
235 SHS PROCTER & GAMBLE CO				PURCHASED	VARIOUS
	14,293.	13,827.	0.	0.	466.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
849 SHS PROSHARES SHORT ETF	38,934.	43,197.	0.	0.	-4,263.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
3,131 SHS PROSHARES SHORT S&P 500	156,423.	167,366.	0.	0.	-10,943.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,143 SHS PROSHARES SHORT RUSSELL 2000	45,021.	47,556.	0.	0.	-2,535.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
601 SHS RANGE RESOURCES CORP	25,683.	27,146.	0.	0.	-1,463.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
106 SHS ROVI CORP	PURCHASED	08/18/10	11/30/10		
	5,791.	4,448.	0.	0.	1,343.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
10 SHS RWE AKTIENGESELLSCHAFT	PURCHASED	12/13/07	12/08/10		
	638.	1,384.	0.	0.	-746.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
25 SHS RWE AKTIENGESELLSCHAFT	PURCHASED	VARIOUS	12/08/10		
	1,596.	3,241.	0.	0.	-1,645.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
1,774.441 SHS RYDEX INVERSE S&P 500 STRATEGY FUND	PURCHASED	VARIOUS	VARIOUS		
	55,928.	59,800.	0.	0.	-3,872.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,458 SHS SCHWAB CHARLES CORP	26,037.	27,663.	0.	0.	-1,626.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
75 SHS SMITH INTERNATIONAL	3,050.	5,045.	0.	0.	-1,995.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
15 SHS SMITH INTERNATIONAL	610.	981.	0.	0.	-371.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
50 SHS SMITH INTERNATIONAL	2,033.	4,214.	0.	0.	-2,181.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
308 SHS SPDR BARCLAYS INTERNATIONAL	PURCHASED	10/29/09	03/01/10		
	17,126.	17,981.	0.	0.	-855.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
160 SHS SPDR SERIES TRUST	PURCHASED	10/29/09	07/27/10		
	8,607.	9,058.	0.	0.	-451.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
2 SHS SUNCOR ENERGY INC	PURCHASED	05/08/09	02/19/10		
	60.	55.	0.	0.	5.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
396 SHS SUNCOR ENERGY INC	PURCHASED	VARIOUS	VARIOUS		
	12,559.	15,872.	0.	0.	-3,313.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
238 SHS TEEKAY CORP	7,880.	6,445.	0.	PURCHASED	09/28/10	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
117 SHS UNITED PARCEL SERVICE	8,139.	7,518.	0.	PURCHASED	VARIOUS	11/30/10

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
498 SHS VERISK ANALYTICS INC CL A	15,207.	13,391.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
461 SHS VISA INC CL A	35,983.	38,055.	0.	PURCHASED	VARIOUS	VARIOUS

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
195 SHS VORNADO REALTY TRUST	12,162.	9,332.	0.	0.	2,830.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,003 SHS YAHOO INC	13,321.	17,553.	0.	0.	-4,232.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
GOLUB CAPITAL PARTNERS VII LP	0.	0.	0.	0.	-70.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
GOLUB CAPITAL PARTNERS VII LP	0.	0.	0.	0.	-40.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
GOLUB CAPITAL PARTNERS V LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-1,081.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
GOLUB CAPITAL PARTNERS V LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-1,837.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
WYNNEFIELD SMALL CAP VALUE	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	113,155.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
GCM 2007 OPPORTUNITY FUND LP - LOSS ON TERMINATION	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-7,223.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
GCM 2007 OPPORTUNITY FUND LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	106.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
GEMS FUND LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-76.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
GEMS FUND LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	142.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CMS STRUCTURED PRODUCTS FUND	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	1,544.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
MUUS INDEPENDENCE FUND	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-157.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
MUUS INDEPENDENCE FUND	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	246.

CAPITAL GAINS DIVIDENDS FROM PART IV	9,003.
TOTAL TO FORM 990-PF, PART I, LINE 6A	207,464.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	2
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
ALLEN KONRAD MERIDIAN REDEV VALUE FUND 1	50.	0.	50.
CHARLES SCHWAB #2148-1279	16,523.	2,773.	13,750.
CHARLES SCHWAB #5060-6747	9,795.	6,129.	3,666.
DEUTSCHE BANK MONEY NKT FUND	264.	0.	264.
GC 2009 OPPORTUNITY FUND, L.P.	25,628.	0.	25,628.
GCM 2007 OPPORTUNITY FUND LP	24,724.	0.	24,724.
GEMS FUND LP	7,600.	0.	7,600.
GOLUB CAPITAL PARTNERS V LP	23,186.	0.	23,186.
GOLUB CAPITAL PARTNERS VII LP	1,492.	0.	1,492.
MUUS INDEPENENCE FUND	755.	0.	755.
RBC DAIN RAUSCHER - 00838	5,652.	101.	5,551.
RBC DAIN RAUSCHER - 58541	6,108.	0.	6,108.
UBS A/C 23768	3,505.	0.	3,505.
UBS A/C 23789	13,518.	0.	13,518.
WHITEBOX MULTI STRATEGY FUND	55,016.	0.	55,016.
TOTAL TO FM 990-PF, PART I, LN 4	193,816.	9,003.	184,813.

FORM 990-PF	OTHER INCOME		STATEMENT	3
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
MUUS INDEPENDENCE FUND	-3,390.	-3,390.		
CHARLES SCHWAB	372.	372.		
GOLUB CAPITAL PARTNERS V LP	1,171.	1,171.		
WHITEBOX MULTI STRATEGY FUND	1,768.	1,768.		
ALLEN KONRAD MERIDIAN REDEV VALUE FUND 1	-6,240.	-6,240.		
RBC DAIN RAUCHER # 00838	255.	255.		
RBC DAIN RAUCHER # 58541	399.	399.		
GEMS FUND LP	16.	16.		
GOLUB CAPITAL PARTNERS VII LP	11.	11.		
CMS STRUCTURED PRODUCTS FUND	1,166.	1,166.		
TOTAL TO FORM 990-PF, PART I, LINE 11	-4,472.	-4,472.		

FORM 990-PF	TAXES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	696.	0.		0.
TO FORM 990-PF, PG 1, LN 18	696.	0.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	86,417.	86,417.		0.
OTHER INVESTMENT EXPENSES	5,448.	5,448.		0.
TO FORM 990-PF, PG 1, LN 23	91,865.	91,865.		0.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	6
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
STOCKS AND BONDS	COST	2,112,976.	2,740,773.
CMS STRUCTURED PRODUCTS FUND	COST	431,792.	431,792.
CMS PRIVATE REIT FUND	COST	468,974.	468,974.
MUUS INDEPENDENCE FUND	COST	35,981.	35,981.
MAGNITUDE INTERNATIONAL	COST	342,201.	342,201.
WYNNEFIELD SMALL CAP OFFSHORE	COST	219,342.	219,342.
ALLEN KONRAD MERIDIAN INVESTORS LLC	COST	78,275.	78,275.
BERNSTEIN GLOBAL OPPORTUNITIES FUND	COST	258,458.	258,458.
CGM 2007 OPPORTUNITY FUND LP	COST	159,462.	159,462.
GOLUB CAPITAL PARTNERS V LP	COST	180,762.	180,762.
WHITEBOX MULTI STRATEGY FUND	COST	574,243.	574,243.
GC 2009 OPPORTUNITY FUND LP	COST	0.	0.
GEMS FUND LP	COST	116,632.	116,632.
GOLUB CAPITAL PARTNERS VII LP	COST	51,317.	51,317.
TOTAL TO FORM 990-PF, PART II, LINE 13		5,030,415.	5,658,212.

FORM 990-PF	OTHER ASSETS	STATEMENT	7
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DISTRIBUTION RECEIVABLE - MUUS INDEPENDENCE FUND	194,947.	0.	0.
TO FORM 990-PF, PART II, LINE 15	194,947.	0.	0.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	8
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ALAN AUFZIEN 350 PASSAIC AVENUE FAIRFIELD, NJ 07004	DIRECTOR 0.00	0.	0.	0.
NORMA AUFZIEN 350 PASSAIC AVENUE FAIRFIELD, NJ 07004	DIRECTOR 0.00	0.	0.	0.
JONATHAN AUFZIEN 350 PASSAIC AVENUE FAIRFIELD, NJ 07004	DIRECTOR 0.00	0.	0.	0.
LESLIE LEVINE 350 PASSAIC AVENUE FAIRFIELD, NJ 07004	DIRECTOR 0.00	0.	0.	0.
MEREDITH BAUER 350 PASSAIC AVENUE FAIRFIELD, NJ 07004	DIRECTOR 0.00	0.	0.	0.
LISA AUFZIEN 350 PASSAIC AVENUE FAIRFIELD, NJ 07004	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 9

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICAN FRIENDS OF SHVUT AMI 641 LEXINGTON AVENUE NEW YORK, NY 10022	DONATION	EXEMPT	1,000.
AMERICAN FRIENDS OF TEL AVIV UNIVERSITY 360 LEXINGTON AVENUE NEW YORK, NY 10017	DONATION	EXEMPT	10,000.
AMERICAN JEWISH COMMITTEE 165 EAST 56TH STREET NEW YORK, NY 10222	DONATION	EXEMPT	10,000.
ANTI DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK, NY 10158-3560	DONATION	EXEMPT	5,000.
BETH ISRAEL HOSPITAL FOUNDATION 10 UNION SQUARE NEW YORK, NY 10003	DONATION	EXEMPT	5,000.
BOYS TOWN JERUSALEM FOUNDATION OF AMERICA, INC 91 FIFTH AVENUE NEW YORK, NY 10003	DONATION	EXEMPT	500.
CONGREGATION SHEARITH ISRAEL 8 WEST 70TH STREET NEW YORK, NY 10023	DONATION	EXEMPT	3,000.
JEWISH FEDERATION OF BROWARD COUNTY 8538 W OAKLAND PARK BLVD FORT LAUDERDALE, FL 333517319	DONATION	EXEMPT	30,000.

JEWISH FEDERATION OF GREATER CLIFTON-PASSAIC 199 SCOLES AVENUE CLIFTON, NJ 07012	DONATION	EXEMPT	15,000.
NEW JERSEY SEEDS THE PEDDIE SCHOOL PO BOX 31 HIGHSTOWN, NJ 08520	DONATION	EXEMPT	10,000.
THE RAMAZ SCHOOL 114 EAST 85TH STREET NEW YORK, NY 10028	DONATION	EXEMPT	6,000.
SUNRISE DAY CAMP C/O FRIEDBERG JCC 15 NEAL CT OCEANSIDE, NY 11572	DONATION	EXEMPT	1,000.
THE INVESTIGATIVE PROJECT ON TERRORISM 5505 CONNECTICUT AVE., NW WASHINGTON, DC 20015	DONATION	EXEMPT	10,000.
THE WASHINGTON INSTITUTE 5101 WISCONSIN AVE NW #100 WASHINGTON, DC 20036	DONATION	EXEMPT	110,000.
UNIVERSITY OF PENNSYLVANIA 433 FRANKLIN BUILDING PHILADELPHIA, PA 191046205	DONATION	EXEMPT	200,000.
VASSAR COLLEGE BOX 14 POUGHKEEPSIE, NY 12601	DONATION	EXEMPT	10,000.
YBH HILLEL C/O JONATHAN GOULD 1633 CLIFTON AVE CLIFTON, NJ 07013	DONATION	EXEMPT	5,000.
SEEDS FOR PEACE 370 LEXINGTON AVENUE NEW YORK, NY 10017	DONATION	EXEMPT	1,000.

AUFZIEN FOUNDATION, INC

22-2838652

P.E.F. ISRAEL ENDOWMENT FUNDS,
INC. DONATION
317 MADISON AVE., SUITE 607 NEW
YORK, NY 10017

EXEMPT 2,000.

NEW ALTERNATIVES FOR CHILDREN,
INC. DONATION
37 WEST 26TH STREET NEW YORK,
NY 10010

EXEMPT 1,000.

FRIENDS OF YEMEN ORDE
12230 WILKINS AVENUE ROCKVILLE, DONATION
MD 20852

EXEMPT 1,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

436,500.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	AUFZIEN FOUNDATION, INC	22-2838652
	Number, street, and room or suite no. If a P.O. box, see instructions. 350 PASSAIC AVENUE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. FAIRFIELD, NJ 07004	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

ALAN AUFZIEN

- The books are in the care of ☒ 350 PASSAIC AVENUE - FAIRFIELD, NJ 07004

Telephone No. ☒ 973-575-5132

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2011.

5 For calendar year 2010, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO GATHER INFORMATION FROM THIRD PARTIES IN ORDER TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	4,275.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	4,275.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☒ Alan Aufzien Title ☒ ACCOUNTANT

Date ☒ 6/21/11

Form 8868 (Rev. 1-2011)

