Form **990-PF**
Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
**or Section 4947(a)(1) Nonexempt Charitable Trust**
**Treated as a Private Foundation**

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2010**

**For calendar year 2010, or tax year beginning , 2010, and ending , 20**

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
THE TIOGA FOUNDATION

**A Employer identification number**
22-2809250

Number and street (or P O box number if mail is not delivered to street address) Room/suite
2450 WHEATSHEAF LANE

**B** Telephone number (see page 10 of the instructions)
(215) 831-0700

City or town, state, and ZIP code
PHILADELPHIA, PA 19137

**C** If exemption application is pending, check here ▶ ☐
**D** 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 8,718,526.

**J** Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
*(Part I, column (d) must be on cash basis.)*

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | 209,798. | | | |
| | 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | 38. | 38. | | ATCH 1 |
| | 4 | Dividends and interest from securities | 94,881. | 94,881. | | ATCH 2 |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 135,658. | | | |
| | b | Gross sales price for all assets on line 6a 3,108,152. | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 135,658. | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 440,375. | 230,577. | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | | | | |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) | | | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see page 14 of the instructions) ** | 1,226. | 226. | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) ATCH 4 | 39,615. | 39,615. | | |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 40,841. | 39,841. | | |
| | 25 | Contributions, gifts, grants paid | 256,221. | | | 256,221. |
| | 26 | **Total expenses and disbursements** Add lines 24 and 25 | 297,062. | 39,841. | | 256,221. |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 143,313. | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 190,736. | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

**For Paperwork Reduction Act Notice, see page 30 of the instructions.** JSA ** ATCH 3 Form **990-PF** (2010)

| Part II | | Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | 464,464. | 205,385. | 205,385. |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) | | | |
| | b | Investments - corporate stock (attach schedule) | | | |
| | c | Investments - corporate bonds (attach schedule) | | | |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) ATCH 5 | 7,536,101. | 7,938,493. | 8,513,141. |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 8,000,565. | 8,143,878. | 8,718,526. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | | 0. | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒** | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 8,000,565. | 8,143,878. | |
| | 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 8,000,565. | 8,143,878. | |
| | 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 8,000,565. | 8,143,878. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 8,000,565. |
| 2 | Enter amount from Part I, line 27a | 2 | 143,313. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 8,143,878. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 8,143,878. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV SCHEDULE | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 135,658. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 | | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2009 | 146,800. | 6,304,183. | 0.023286 |
| 2008 | 170,650. | 6,864,038. | 0.024861 |
| 2007 | 183,450. | 1,783,174. | 0.102878 |
| 2006 | 198,918. | 1,055,127. | 0.188525 |
| 2005 | 166,195. | 1,124,100. | 0.147847 |

| | | |
|---|---|---|
| 2 **Total** of line 1, column (d) | 2 | 0.487397 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.097479 |
| 4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 | 4 | 7,678,852. |
| 5 Multiply line 4 by line 3 | 5 | 748,527. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,907. |
| 7 Add lines 5 and 6 | 7 | 750,434. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 256,221. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ______________ (attach copy of ruling letter if necessary - see instructions) | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | | 1 | 3,815. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 2 | |
| 3 | Add lines 1 and 2 | | | 3 | 3,815. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | | 5 | 3,815. |
| 6 | Credits/Payments | | | | |
| a | 2010 estimated tax payments and 2009 overpayment credited to 2010 | 6a | 1,305. | | |
| b | Exempt foreign organizations-tax withheld at source | 6b | 0. | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 7,000. | | |
| d | Backup withholding erroneously withheld | 6d | | | |
| 7 | Total credits and payments Add lines 6a through 6d | | | 7 | 8,305. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 4,490. |
| 11 | Enter the amount of line 10 to be **Credited to 2011 estimated tax** ▶ 4,490. **Refunded** ▶ | | | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>**(1)** On the foundation ▶$ ______ **(2)** On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | X |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ PA, | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

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## Part VII-A Statements Regarding Activities *(continued)*

| | | | | |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ DAVID KEISER Telephone no ▶ 215-831-0700

Located at ▶ 2450 WEATSHEAF LANE PHILADELPHIA, PA ZIP + 4 ▶ 19137

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | |
| | See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | | | | |
|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | Yes | No |
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No<br>If "Yes," list the years ▶ ________ , ________ , ________ , ________ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 22 of the instructions ) | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here<br>▶ ________ , ________ , ________ , ________ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010 )* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

**5a** During the year did the foundation pay or incur any amount to

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No

**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . . . . . . . . . . . . . . . . . . . . . ☐ Yes ☒ No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes? . . . . . . . . . . . . ☐ Yes ☒ No

**(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) . . . . . . . ☐ Yes ☒ No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . . . . . . . . . . . . . ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . . . . **5b**

Organizations relying on a current notice regarding disaster assistance check here . . . . . . . . . . . . . . . ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . . . . . . . . . . . . . . . ☐ Yes ☒ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d)*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ☐ Yes ☐ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . . . . . . . . **6b**

*If "Yes" to 6b, file Form 8870*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . . . . . . . . **7b** X

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATTACHMENT 6 | | -0- | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services . . . . . . ▶ NONE

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See page 24 of the instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 . . . . . . ▶ | |

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## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1a | 7,368,950. |
| b | Average of monthly cash balances | 1b | 426,839. |
| c | Fair market value of all other assets (see page 25 of the instructions) | 1c | 0. |
| d | **Total** (add lines 1a, b, and c) | 1d | 7,795,789. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) **1e** | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 7,795,789. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions) | 4 | 116,937. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 7,678,852. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 383,943. |

## Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 383,943. |
| 2a | Tax on investment income for 2010 from Part VI, line 5 | 2a | 3,815. | | |
| b | Income tax for 2010 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 3,815. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 380,128. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 380,128. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 380,128. |

## Part XII Qualifying Distributions (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 256,221. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | 0. |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | 0. |
| b | Cash distribution test (attach the required schedule) | 3b | 0. |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 256,221. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | N/A |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 256,221. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see page 26 of the instructions)

| | | | (a)<br>Corpus | (b)<br>Years prior to 2009 | (c)<br>2009 | (d)<br>2010 |
|---|---|---|---|---|---|---|
| 1 | Distributable amount for 2010 from Part XI, line 7 | | | | | 380,128. |
| 2 | Undistributed income, if any, as of the end of 2010 | | | | | |
| a | Enter amount for 2009 only | | | | | |
| b | Total for prior years 20 08 ,20 07 ,20 06 | | | | | |
| 3 | Excess distributions carryover, if any, to 2010 | | | | | |
| a | From 2005 | | | | | |
| b | From 2006 | 132,651. | | | | |
| c | From 2007 | 96,679. | | | | |
| d | From 2008 | | | | | |
| e | From 2009 | | | | | |
| f | **Total** of lines 3a through e | | 229,330. | | | |
| 4 | Qualifying distributions for 2010 from Part XII, line 4 ▶ $ 256,221. | | | | | |
| a | Applied to 2009, but not more than line 2a | | | | | |
| b | Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | | | | |
| c | Treated as distributions out of corpus (Election required - see page 26 of the instructions) | | | | | |
| d | Applied to 2010 distributable amount | | | | | 256,221. |
| e | Remaining amount distributed out of corpus | | 0. | | | |
| 5 | Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a) )* | | 123,907. | | | 123,907. |
| 6 | **Enter the net total of each column as indicated below:** | | | | | |
| a | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | 105,423. | | | |
| b | Prior years' undistributed income Subtract line 4b from line 2b | | | | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | | |
| d | Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions | | | | | |
| e | Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions | | | | | |
| f | Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 | | | | | 0. |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | | | | | |
| 8 | Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) | | | | | |
| 9 | **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a | | 105,423. | | | |
| 10 | Analysis of line 9 | | | | | |
| a | Excess from 2006 | 8,744. | | | | |
| b | Excess from 2007 | 96,679. | | | | |
| c | Excess from 2008 | | | | | |
| d | Excess from 2009 | | | | | |
| e | Excess from 2010 | | | | | |

## Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . . . . . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2010 | (b) 2009 | (c) 2008 | (d) 2007 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . . . . | | | | | |
| **b** 85% of line 2a . . . . . | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed . | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . . | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . . . | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon<br>**a** "Assets" alternative test - enter<br>**(1)** Value of all assets . . . | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i). . . . . | | | | | |
| **b** "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . | | | | | |
| **c** "Support" alternative test - enter<br>**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . . | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) . . . . . . | | | | | |
| **(3)** Largest amount of support from an exempt organization . . . . . | | | | | |
| **(4)** Gross investment income . | | | | | |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| ATTACHMENT 7 | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 256,221. |
| b *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See page 28 of the instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 38. | |
| 4 Dividends and interest from securities | | | 14 | 94,881. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 135,658. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 230,577. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . . . . . . 13 230,577.

(See worksheet in line 13 instructions on page 29 to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

**Line No.** ▼

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions )

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | N/A | | N/A |
| | | | |
| | | | |
| | | | |
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| | | | |
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee | 11/11/11 Date | ▶ Trustee Title

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| Barry M. Berkowitz, CPA | Barry M. B CPA | 11/9/11 | | P01241456 |

Firm's name ▶ CBIZ MHM, LLC

Firm's EIN ▶ 31-1543240

Firm's address ▶ 401 PLYMOUTH ROAD - STE 200
PLYMOUTH MEETING, PA 19462

Phone no 610-862-2249

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

**2010**

**Name of the organization**
THE TIOGA FOUNDATION

**Employer identification number**
22-2809250

**Organization type** (check one)

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

---

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.** **Schedule B (Form 990, 990-EZ, or 990-PF) (2010)**

Schedule B (Form 990, 990-EZ, or 990-PF) (2010) Page _____ of _____ of Part I

Name of organization THE TIOGA FOUNDATION

Employer identification number 22-2809250

**Part I** **Contributors** (see instructions)

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | CHARITABLE LEAD TRUST U/W/O OF MORTON KE<br>2450 WHEATSHEAF LANE<br>PHILADELPHIA, PA 19137 | $ 209,798. | Person ☒<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |

**SCHEDULE D**
**(Form 1041)**

Department of the Treasury
Internal Revenue Service

# Capital Gains and Losses

▶**Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

**2010**

Name of estate or trust: THE TIOGA FOUNDATION

Employer identification number: 22-2809250

**Note:** *Form 5227 filers need to complete* ***only*** *Parts I and II.*

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| **(a)** Description of property (Example 100 shares 7% preferred of "Z" Co ) | **(b)** Date acquired (mo , day, yr ) | **(c)** Date sold (mo , day, yr ) | **(d)** Sales price | **(e)** Cost or other basis (see instructions) | **(f) Gain or (loss) for the entire year** Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | | |
|---|---|---|---|
| b | Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b | 1b | 20,787. |
| 2 | Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 2 | |
| 3 | Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 3 | |
| 4 | Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet | 4 | ( ) |
| 5 | **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶ | 5 | 20,787. |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| **(a)** Description of property (Example 100 shares 7% preferred of "Z" Co ) | **(b)** Date acquired (mo , day, yr ) | **(c)** Date sold (mo , day, yr ) | **(d)** Sales price | **(e)** Cost or other basis (see instructions) | **(f) Gain or (loss) for the entire year** Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | | |
|---|---|---|---|
| b | Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b | 6b | 114,871. |
| 7 | Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 7 | |
| 8 | Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 8 | |
| 9 | Capital gain distributions | 9 | |
| 10 | Gain from Form 4797, Part I | 10 | |
| 11 | Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet | 11 | ( ) |
| 12 | **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶ | 12 | 114,871. |

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

**Schedule D (Form 1041) 2010**

JSA

0F1210 2 000

**Part III** **Summary of Parts I and II**
**Caution:** *Read the instructions **before** completing this part.*

| | | | (1) Beneficiaries' (see instr ) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|---|
| 13 | **Net short-term gain or (loss)** | 13 | | | 20,787. |
| 14 | **Net long-term gain or (loss):** | | | | |
| a | Total for year | 14a | | | 114,871. |
| b | Unrecaptured section 1250 gain (see line 18 of the wrksht ) | 14b | | | |
| c | 28% rate gain | 14c | | | |
| 15 | **Total net gain or (loss).** Combine lines 13 and 14a ▶ | 15 | | | 135,658. |

**Note:** *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary*

**Part IV** **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

**Note:** *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover*

**Part V** **Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

**Caution:** *Skip this part and complete the worksheet on page 8 of the instructions if*

- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

| | | | | | |
|---|---|---|---|---|---|
| 17 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | | 17 | |
| 18 | Enter the **smaller** of line 14a or 15 in column (2) but not less than zero | 18 | | | |
| 19 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 19 | | | |
| 20 | Add lines 18 and 19 | 20 | | | |
| 21 | If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶ | 21 | | | |
| 22 | Subtract line 21 from line 20 If zero or less, enter -0- | | | 22 | |
| 23 | Subtract line 22 from line 17. If zero or less, enter -0- | | | 23 | |
| 24 | Enter the **smaller** of the amount on line 17 or $2,300 | | | 24 | |
| 25 | Is the amount on line 23 equal to or more than the amount on line 24?<br>☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box<br>☐ **No.** Enter the amount from line 23 | | | 25 | |
| 26 | Subtract line 25 from line 24 | | | 26 | |
| 27 | Are the amounts on lines 22 and 26 the same?<br>☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the **smaller** of line 17 or line 22 | | | 27 | |
| 28 | Enter the amount from line 26 (If line 26 is blank, enter -0-) | | | 28 | |
| 29 | Subtract line 28 from line 27 | | | 29 | |
| 30 | Multiply line 29 by 15% ( 15) | | | 30 | |
| 31 | Figure the tax on the amount on line 23 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 31 | |
| 32 | Add lines 30 and 31 | | | 32 | |
| 33 | Figure the tax on the amount on line 17 Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 33 | |
| 34 | **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) | | | 34 | |

**SCHEDULE D-1**
**(Form 1041)**

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2010

Name of estate or trust
THE TIOGA FOUNDATION

Employer identification number
22-2809250

**Part I** **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a GOLDMAN SACHS #29860 - SEE ATTACHED | VARIOUS | VARIOUS | 442,879. | 408,829. | 34,050. |
| GOLDMAN SACHS #31030 - SEE ATTACHED | VARIOUS | VARIOUS | 12,262. | 10,191. | 2,071. |
| GOLDMAN SACHS #31031 - SEE ATTACHED | VARIOUS | VARIOUS | 252,899. | 235,050. | 17,849. |
| GOLDMAN SACHS #31032 - SEE ATTACHED | VARIOUS | VARIOUS | 164,489. | 143,700. | 20,789. |
| GOLDMAN SACHS #31033 - SEE ATTACHED | VARIOUS | VARIOUS | 61,275. | 51,670. | 9,605. |
| GOLDMAN SACHS #31771 - SEE ATTACHED | VARIOUS | VARIOUS | 478,826. | 462,699. | 16,127. |
| ACADIAN NON-US EQUITY | VARIOUS | VARIOUS | 455,296. | 535,000. | -79,704. |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . . 20,787.

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.** **Schedule D-1 (Form 1041) 2010**

JSA
0F1221 1 000

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| | |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a GOLDMAN SACHS #29860 - SEE ATTACHED | VARIOUS | VARIOUS | 508,866. | 402,979. | 105,887. |
| GOLDMAN SACHS #31030 - SEE ATTACHED | VARIOUS | VARIOUS | 84,459. | 82,827. | 1,632. |
| GOLDMAN SACHS #31031 - SEE ATTACHED | VARIOUS | VARIOUS | 131,408. | 131,325. | 83. |
| GOLDMAN SACHS #31032 - SEE ATTACHED | VARIOUS | VARIOUS | 216,914. | 235,875. | -18,961. |
| GOLDMAN SACHS #31033 - SEE ATTACHED | VARIOUS | VARIOUS | 112,884. | 114,432. | -1,548. |
| GOLDMAN SACHS #31771 - SEE ATTACHED | VARIOUS | VARIOUS | 184,606. | 157,917. | 26,689. |
| GOLDMAN SACHS CAPITAL GAIN DISTRIBUTIONS | VARIOUS | 12312010 | 41. | 0. | 41. |
| FIRST EAGLE OVERSEAS CLASS I - CAP. GAIN DISTRIBUTIO | VARIOUS | 12/31/2010 | 1,048. | 0. | 1,048. |
| | | | | | |
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|---|---|
| 6b **Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . | 114,871. |

**Schedule D-1 (Form 1041) 2010**

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ATTACHMENT 1

## FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| WILMINGTON TRUST | 38. | 38. |
| TOTAL | 38. | 38. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| GOLDMAN SACHS- 29860 DIVIDENDS | 49,787. | 49,787. |
| GOLDMAN SACHS 31030- DIVIDENDS | 10,512. | 10,512. |
| GOLDMAN SACHS 31031- DIVIDENDS | 6,943. | 6,943. |
| GOLDMAN SACHS 31032- DIVIDENDS | 3,774. | 3,774. |
| GOLDMAN SACHS 31033- DIVIDENDS | 5,588. | 5,588. |
| GOLDMAN SACHS 31771- DIVIDENDS | 14,748. | 14,748. |
| GOLDMAN SACHS 34565- DIVIDENDS | 2,011. | 2,011. |
| GOLDMAN SACHS 29860- INTEREST | 351. | 351. |
| GOLDMAN SACHS 31029- INTEREST | 3. | 3. |
| GOLDMAN SACHS 31030- INTEREST | 23. | 23. |
| GOLDMAN SACHS 31031- INTEREST | 16. | 16. |
| GOLDMAN SACHS 31032- INTEREST | 14. | 14. |
| GOLDMAN SACHS 31033- INTEREST | 24. | 24. |
| GOLDMAN SACHS 31771- INTEREST | 300. | 300. |
| GOLDMAN SACHS 31771- OID | 787. | 787. |
| TOTAL | 94,881. | 94,881. |

ATTACHMENT 3

## FORM 990PF, PART I - TAXES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|---|
| FEDERAL TAXES | | 1,000. | |
| FOREIGN TAXES | | 226. | 226. |
| | TOTALS | 1,226. | 226. |

ATTACHMENT 4

## FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|---|
| MANAGEMENT FEES | | 39,589. | 39,589. |
| BANK CHARGES | | 20. | 20. |
| MISCELLANEOUS EXPENSE | | 6. | 6. |
| | TOTALS | 39,615. | 39,615. |

ATTACHMENT 5

## FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| GOLDMAN SACHS | 7,938,493. | 8,513,141. |
| TOTALS | 7,938,493. | 8,513,141. |

THE TIOGA FOUNDATION 22-2809250

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION |
|---|---|
| ANDREW KEISER<br>2450 WHEATSHEAF LANE<br>PHILADELPHIA, PA 19137 | DIRECTOR |
| BENNETT KEISER<br>2450 WHEATSHEAF LANE<br>PHILADELPHIA, PA 19137 | DIRECTOR |
| DAVID KEISER<br>2450 WHEATSHEAF LANE<br>PHILADELPHIA, PA 19137 | DIRECTOR |
|  | GRAND TOTALS |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| JEWISH FEDERATION OF GREATER PHILADELPHIA<br>2100 ARCH STREET<br>PHILADELPHIA, PA 19103 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 125,000. |
| FOP - PHILADELPHIA LODGE #5<br>1336 SPRING GARDEN ST.<br>PHILADELPHIA, PA 19123 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 50. |
| PHILADELPHIA ZOO<br>3400 GIRARD AVENUE<br>PHILADELPHIA, PA 19104-1196 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,000. |
| SHIPLEY SHOPS<br>814 YARROW STREET<br>BRYN MAWR, PA 19010 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,500. |
| NMSS - DELAWARE VALLEY CHAPTER<br>1 REED ST., SUITE 200<br>PHILADELPHIA, PA 19147 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,250. |
| WOMEN IN THE ARTS IN PENNSYLVANIA<br>3140 W. TILGHMAN ST PMB 186<br>ALLENTOWN, PA 18104 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 500. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| ALLENTOWN CIVIC THEATRE<br>527 N NINETEENTH STREET<br>ALLENTOWN, PA 18104 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,000. |
| MERCY NEIGHBORHOOD MINISTRIES<br>1939 W. VENANGO ST.<br>PHILADELPHIA, PA 19140 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,000. |
| SAFE SURFIN' FOUNDATION<br>1345 FALLING CREEK ROAD<br>BEDFORD, VA 24523 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 100. |
| THE LOVE CRADLE MISSION<br>276 WEST CHERRY LANE<br>SOUDERTON, PA 18964 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,000. |
| SHRINER'S HOSPITAL<br>3551 N BROAD STREET<br>PHILADELPHIA, PA 19140 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,022. |
| ROMAN CATHOLIC HIGH SCHOOL<br>301 N BROAD STREET<br>PHILADELPHIA, PA 19107 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 750. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| AA INTERGROUP ASSOCIATION<br>4140 DIRECTORS ROW, SUITES D&E<br>HOUSTON, TX 77092 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,250. |
| ANIMAL FRIENDS - PITTSBURGH<br>562 CAMP HORNE ROAD<br>PITTSBURGH, PA 15237 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 50. |
| BO'S PLACE<br>10050 BUFFALO SPEEDWAY<br>HOUSTON, TX 77054 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,250 |
| COMMUNITY FIRE DEPT OF FORKS TWP<br>1606 SULLIVAN TRAIL<br>EASTON, PA 18040 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,000. |
| FEDERATION EARLY LEARNING CENTER<br>10700 JAMISON AVE.<br>PHILADELPHIA, PA 19116 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 2,500. |
| THE JUVENILE DIABETES FOUNDATION<br>11 HUNTINGTON AVENUE<br>BOSTON, MA 02199 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 2,500. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| LULU SHRINE TEMPLE<br>5140 BUTLER PIKE<br>PLYMOUTH MEETING, PA 19462 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 28. |
| MAINLINE REFORM TEMPLE<br>410 MONTGOMERY AVE.<br>WYNNEWOOD, PA 19096 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 106,221. |
| M.D. ANDERSON CANCER CENTER<br>P.O. BOX 4486<br>HOUSTON, TX 77210 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,250. |
| MULTIPLE SCLEROSIS SOCIETY<br>1 REED STREET, SUITE 200<br>PHILADELPHIA, PA 19147 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 750. |
| NAZARETH AREA FOOD BANK<br>P.O. BOX 178<br>NAZARETH, PA 18064 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,000. |
| NORTHWEST NJ COMMUNITY ACTION PROGRAM<br>350 MARSHALL STREET<br>PHILLIPSBURG, NJ 08865 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 500. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| PROJECT H.O.M.E.<br>1515 FAIRMOUNT AVE.<br>PHILADELPHIA, PA 19130 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 250. |
| THE CHAMBLISS SHELTER<br>315 GILLESPIE ROAD<br>CHATTANOOGA, TN 37411 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 2,500. |
| FORKS ELEMENTARY SCHOOL<br>1709 RICHMOND ROAD<br>EASTON, PA 18040 | NOT RELATED<br>PUBLIC CHARITY | PUBLIC CHARITY | 1,000. |
| | | TOTAL CONTRIBUTIONS PAID | 256,221. |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | GOLDMAN SACHS #29860 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 442,879. | | 408,829. | | | | | 34,050. | |
| | | GOLDMAN SACHS #29860 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 508,866. | | 402,979. | | | | | 105,887. | |
| | | GOLDMAN SACHS #31030 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 12,262. | | 10,191. | | | | | 2,071. | |
| | | GOLDMAN SACHS #31030 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 84,459. | | 82,827. | | | | | 1,632. | |
| | | GOLDMAN SACHS #31031 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 252,899. | | 235,050. | | | | | 17,849. | |
| | | GOLDMAN SACHS #31031 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 131,408. | | 131,325. | | | | | 83. | |
| | | GOLDMAN SACHS #31032 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 164,489. | | 143,700. | | | | | 20,789. | |
| | | GOLDMAN SACHS #31032 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 216,914. | | 235,875. | | | | | -18,961. | |
| | | GOLDMAN SACHS #31033 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 61,275. | | 51,670. | | | | | 9,605. | |
| | | GOLDMAN SACHS #31033 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 112,884. | | 114,432. | | | | | -1,548. | |
| | | GOLDMAN SACHS #31771 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 478,826. | | 462,699. | | | | | 16,127. | |
| | | GOLDMAN SACHS #31771 - SEE ATTACHED<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 184,606. | | 157,917. | | | | | 26,689. | |

# FORM.990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | GOLDMAN SACHS CAPITAL GAIN DISTRIBUTIONS<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | 12312010 |
| 41. | | 0. | | | | | 41. | |
| | | FIRST EAGLE OVERSEAS CLASS I - CAP. GAIN<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | 12/31/2010 |
| 1,048. | | 0. | | | | | 1,048. | |
| | | ACADIAN NON-US EQUITY<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 455,296. | | 535,000. | | | | | -79,704. | |
| TOTAL GAIN(LOSS) ...... | | ........................................ | | | | | 135,658. | |

## REALIZED GAIN AND LOSS SUMMARY

| | | | | | |
|---|---|---|---|---|---|
| Net Short Term Gains (Losses) | 34,049 98 | Net Long Term Gains (Losses) | 105,886 22 | Net Ordinary Gains (Losses) | 0 00 |
| Net Miscellaneous Short Term Gains (Losses) | 0 00 | Net Miscellaneous Long Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long Term Gains (Losses) | 0 00 | | |
| **Total Short Term Gains (Losses)** | **34,049.98** | **Total Long Term Gains (Losses)** | **105,886 22** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 03/31/2009 | 03/22/2010 | 35 63 | 369 12 | 0 00 | 369 12 | 0 00 |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 04/30/2009 | 03/22/2010 | 35 89 | 371 77 | 0 00 | 372 85 | (1 08) |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 05/29/2009 | 03/22/2010 | 12 15 | 125 90 | 0 00 | 126 87 | (0 98) |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 06/30/2009 | 03/22/2010 | 8 32 | 86 24 | 0 00 | 86 65 | (0 41) |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 07/31/2009 | 03/22/2010 | 8 27 | 85 65 | 0 00 | 86 14 | (0 49) |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 08/31/2009 | 03/22/2010 | 8 27 | 85 67 | 0 00 | 86 49 | (0 82) |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 09/30/2009 | 03/22/2010 | 8 31 | 86 12 | 0 00 | 87 04 | (0 92) |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 10/30/2009 | 03/22/2010 | 7 29 | 75 47 | 0 00 | 76 57 | (1 10) |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 11/30/2009 | 03/22/2010 | 5.06 | 52 44 | 0 00 | 53 45 | (1 01) |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 12/15/2009 | 03/22/2010 | 51 50 | 533 53 | 0 00 | 533 01 | 0 52 |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 12/15/2009 | 03/22/2010 | 31 43 | 325 66 | 0 00 | 325 34 | 0 32 |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 12/31/2009 | 03/22/2010 | 4 84 | 50 11 | 0 00 | 49 87 | 0 24 |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 01/15/2010 | 03/22/2010 | 2,689 80 | 27,866 37 | 0 00 | 27,893.27 | (26 90) |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 01/15/2010 | 03/26/2010 | 2,131 80 | 22,085 42 | 0 00 | 22,106 73 | (21 31) |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 01/29/2010 | 03/26/2010 | 5 19 | 53 74 | 0 00 | 53 84 | (0 10) |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 02/26/2010 | 03/26/2010 | 6 75 | 69 96 | 0 00 | 70 16 | (0 20) |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 04/30/2009 | 04/13/2010 | 100 17 | 957 62 | 0 00 | 840 42 | 117 20 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 05/29/2009 | 04/13/2010 | 97 13 | 928 53 | 0 00 | 835 29 | 93 24 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 06/09/2009 | 04/13/2010 | 2,178 12 | 20,822 81 | 0 00 | 18,535 78 | 2,287 02 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 06/09/2009 | 04/27/2010 | 4,872 41 | 46,872 59 | 0 00 | 41,464 22 | 5,408 38 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 06/23/2009 | 04/27/2010 | 23,255 81 | 223,720 93 | 0 00 | 200,000 00 | 23,720.93 |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 06/30/2009 | 04/27/2010 | 124 05 | 1,193 36 | 0 00 | 1,073 03 | 120 33 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 07/31/2009 | 04/27/2010 | 196 03 | 1,885 84 | 0 00 | 1,766 26 | 119 58 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 08/31/2009 | 04/27/2010 | 186 33 | 1,792 50 | 0 00 | 1,701 19 | 91 31 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 09/30/2009 | 04/27/2010 | 164 27 | 1,580 32 | 0 00 | 1,527 75 | 52 57 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 10/30/2009 | 04/27/2010 | 178 41 | 1,716 30 | 0 00 | 1,677 05 | 39 25 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 11/10/2009 | 04/27/2010 | 8,519 70 | 81,959 53 | 0 00 | 80,000 00 | 1,959 53 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 11/30/2009 | 04/27/2010 | 159 69 | 1,536 20 | 0 00 | 1,518 63 | 17 57 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 12/31/2009 | 04/27/2010 | 164 11 | 1,578 74 | 0 00 | 1,537 71 | 41 03 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 01/29/2010 | 04/27/2010 | 158 21 | 1,522 01 | 0 00 | 1,506 19 | 15 82 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 02/26/2010 | 04/27/2010 | 140 87 | 1,355 16 | 0 00 | 1,345 30 | 9 86 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 03/31/2010 | 04/27/2010 | 117 83 | 1,133 54 | 0 00 | 1,122 94 | 10 60 |
| **NET SHORT TERM GAINS (LOSSES)** | | | | **442,879.15** | **0.00** | **408,829.16** | **34,049.98** |

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 07/11/2008 | 02/01/2010 | 3,154 57 | 30,000 00 | 0 00 | 29,305 99 | 694 01 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 07/11/2008 | 02/09/2010 | 8,937 96 | 85,000 00 | 0 00 | 83,033 65 | 1,966 35 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 08/29/2008 | 03/04/2010 | 118 63 | 1,134 12 | 0 00 | 1,083 11 | 51 01 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 09/30/2008 | 03/04/2010 | 111 91 | 1,069 88 | 0 00 | 992 66 | 77 22 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 07/11/2008 | 03/04/2010 | 8,381 25 | 80,124 72 | 0 00 | 77,861 78 | 2,262 94 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 07/31/2008 | 03/04/2010 | 59 52 | 568 96 | 0 00 | 540 99 | 27 97 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 10/31/2008 | 03/04/2010 | 219 91 | 2,102 32 | 0 00 | 1,834 03 | 268 29 |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 10/31/2008 | 03/22/2010 | 4,409 04 | 45,677 61 | 0 00 | 44,399 00 | 1,278 62 |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 10/31/2008 | 03/22/2010 | 66 34 | 687 32 | 0 00 | 668 08 | 19 24 |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 11/28/2008 | 03/22/2010 | 63 36 | 656 43 | 0 00 | 646 29 | 10 14 |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 12/15/2008 | 03/22/2010 | 128 40 | 1,330 26 | 0 00 | 1,309 71 | 20 55 |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 12/31/2008 | 03/22/2010 | 57 50 | 595 68 | 0 00 | 591 65 | 4 03 |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 01/30/2009 | 03/22/2010 | 47 05 | 487 47 | 0 00 | 484 65 | 2 82 |
| GOLDMAN SACHS SHORT DURAT GOVT MUTUAL FUND CLASS A (38142B476) | 02/27/2009 | 03/22/2010 | 43 55 | 451 20 | 0 00 | 449 46 | 1 74 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 10/31/2008 | 04/13/2010 | 5,775 30 | 55,211 83 | 0 00 | 48,165 97 | 7,045 86 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 10/31/2008 | 04/13/2010 | 111 95 | 1,070 19 | 0.00 | 933 62 | 136 57 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 11/28/2008 | 04/13/2010 | 114 95 | 1,098 88 | 0 00 | 940 26 | 158 62 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 12/15/2008 | 04/13/2010 | 142 98 | 1,366 91 | 0 00 | 1,173 88 | 193.03 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 12/15/2008 | 04/13/2010 | 36 80 | 351 78 | 0 00 | 302 10 | 49 68 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 12/31/2008 | 04/13/2010 | 105 38 | 1,007 42 | 0 00 | 886 24 | 121 18 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 01/30/2009 | 04/13/2010 | 105 44 | 1,008 00 | 0 00 | 878 31 | 129 69 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 02/27/2009 | 04/13/2010 | 114 23 | 1,092 00 | 0 00 | 933 23 | 158 77 |
| GOLDMAN SACHS CORE FIXED-INC A MUTUAL FUND CLASS A (38142B419) | 03/31/2009 | 04/13/2010 | 113 39 | 1,084 03 | 0 00 | 937 75 | 146 28 |
| GOLDMAN SACHS EMERGING MARKETS EQUITY INSTITUTIONAL CLASS I (38142B369) | 03/16/2009 | 04/27/2010 | 8,495 91 | 135,000 00 | 0 00 | 69,328 72 | 65,671 28 |
| GOLDMAN SACHS EMERGING MARKETS EQUITY INSTITUTIONAL CLASS I (38142B369) | 03/16/2009 | 05/21/2010 | 4,325 62 | 60,688 49 | 0 00 | 35,298 16 | 25,390 33 |
| **NET LONG TERM GAINS (LOSSES)** | | | | **508,865.50** | **0.00** | **402,979.29** | **105,886.22** |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| | | | | | |
|---|---|---|---|---|---|
| Net Short Term Gains (Losses) | 2,071 17 | Net Long Term Gains (Losses) | 1,632 06 | Net Ordinary Gains (Losses) | 0 00 |
| Net Miscellaneous Short Term Gains (Losses) | 0 00 | Net Miscellaneous Long Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long Term Gains (Losses) | 0 00 | | |
| **Total Short Term Gains (Losses)** | **2,071.17** | **Total Long Term Gains (Losses)** | **1,632.06** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| SOUTHWEST AIRLINES CO CMN (844741108) | 06/15/2009 | 02/12/2010 | 342 00 | 4,077 23 | 0.00 | 2,217 02 | 1,860 21 |
| AVERY DENNISON CORPORATION CMN (053611109) | 10/15/2009 | 02/18/2010 | 4 00 | 128 04 | 0 00 | 150 60 | (22 56) |
| AVERY DENNISON CORPORATION CMN (053611109) | 01/19/2010 | 02/18/2010 | 8 00 | 256 08 | 0 00 | 317 76 | (61 68) |
| HANESBRANDS INC CMN (410345102) | 10/30/2009 | 03/01/2010 | 91 00 | 2,383 22 | 0 00 | 2,000 77 | 382 45 |
| XTO ENERGY INC CMN (98385X106) | 10/15/2009 | 05/21/2010 | 5 00 | 212 44 | 0 00 | 220 45 | (8 01) |
| XTO ENERGY INC CMN (98385X106) | 01/19/2010 | 05/21/2010 | 11 00 | 467 37 | 0 00 | 518 54 | (51 17) |
| TRANSOCEAN LTD CMN (H8817H100) | 10/15/2009 | 05/24/2010 | 5 00 | 272 23 | 0 00 | 451 22 | (178 99) |
| TRANSOCEAN LTD CMN (H8817H100) | 01/19/2010 | 05/24/2010 | 3 00 | 163 34 | 0 00 | 275 04 | (111 70) |
| ALLSTATE CORPORATION COMMON STOCK (020002101) | 01/19/2010 | 08/09/2010 | 13 00 | 383 49 | 0 00 | 398 32 | (14 83) |
| DELL INC CMN (24702R101) | 01/19/2010 | 09/24/2010 | 55 00 | 692 49 | 0.00 | 800 80 | (108 31) |
| AIR PRODUCTS & CHEMICALS INC CMN (009158106) | 05/20/2010 | 09/30/2010 | 19 00 | 1,571 15 | 0 00 | 1,275 41 | 295 74 |
| KLA-TENCOR CORPORATION CMN (482480100) | 10/30/2009 | 09/30/2010 | 37 00 | 1,290 96 | 0 00 | 1,220 19 | 70 77 |
| SUNTRUST BANKS INC $1 00 PAR CMN (867914103) | 01/19/2010 | 11/18/2010 | 15 00 | 364.40 | 0 00 | 345 15 | 19 25 |
| **NET SHORT TERM GAINS (LOSSES)** | | | | **12,262.44** | **0.00** | **10,191.27** | **2,071.17** |

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| SOUTHWEST AIRLINES CO CMN (844741108) | 07/14/2008 | 01/05/2010 | 270 00 | 3,171 36 | 0 00 | 3,642 62 | (471 26) |
| AVERY DENNISON CORPORATION CMN (053611109) | 07/30/2008 | 02/02/2010 | 41 00 | 1,375 60 | 0 00 | 1,816 18 | (440 58) |
| SOUTHWEST AIRLINES CO CMN (844741108) | 07/14/2008 | 02/12/2010 | 74 00 | 882 21 | 0 00 | 998 35 | (116 14) |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you The information in this statement is not yet determined pursuant to such new legislation

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| AVERY DENNISON CORPORATION CMN (053611109) | 10/03/2008 | 02/18/2010 | 42 00 | 1,344 40 | 0 00 | 1,809 31 | (464 91) |
| AVERY DENNISON CORPORATION CMN (053611109) | 10/22/2008 | 02/18/2010 | 20 00 | 640 19 | 0 00 | 656 40 | (16 21) |
| AVERY DENNISON CORPORATION CMN (053611109) | 07/30/2008 | 02/18/2010 | 15 00 | 480 14 | 0 00 | 664 46 | (184 31) |
| AVERY DENNISON CORPORATION CMN (053611109) | 08/01/2008 | 02/18/2010 | 60 00 | 1,920 58 | 0.00 | 2,652 26 | (731 68) |
| CARDINAL HEALTH INC CMN (14149Y108) | 07/14/2008 | 03/05/2010 | 93 00 | 3,305 95 | 0 00 | 3,421 70 | (115 75) |
| CISCO SYSTEMS, INC CMN (17275R102) | 07/14/2008 | 03/09/2010 | 202 00 | 5,300 09 | 0 00 | 4,394 43 | 905 66 |
| CARDINAL HEALTH INC CMN (14149Y108) | 07/14/2008 | 03/17/2010 | 15 00 | 532 64 | 0 00 | 551 89 | (19 25) |
| CARDINAL HEALTH INC CMN (14149Y108) | 07/14/2008 | 03/18/2010 | 77 00 | 2,736 46 | 0 00 | 2,833 02 | (96 56) |
| SUNTRUST BANKS INC $1 00 PAR CMN (867914103) | 02/20/2009 | 04/15/2010 | 144 00 | 4,330 14 | 0 00 | 963 94 | 3,366 20 |
| XTO ENERGY INC CMN (98385X106) | 07/14/2008 | 05/21/2010 | 139 00 | 5,905 82 | 0 00 | 8,515 14 | (2,609 32) |
| TRANSOCEAN LTD CMN (H8817H100) | 10/09/2008 | 05/24/2010 | 46 00 | 2,504 50 | 0 00 | 3,871 81 | (1,367 31) |
| SUNTRUST BANKS INC $1 00 PAR CMN (867914103) | 02/20/2009 | 07/23/2010 | 6 00 | 149 44 | 0 00 | 40 16 | 109 28 |
| SUNTRUST BANKS INC $1 00 PAR CMN (867914103) | 06/23/2009 | 07/23/2010 | 68 00 | 1,693 65 | 0 00 | 1,013 72 | 679 93 |
| SUNTRUST BANKS INC $1 00 PAR CMN (867914103) | 07/08/2009 | 07/23/2010 | 7 00 | 174 35 | 0 00 | 104 66 | 69 69 |
| ANADARKO PETROLEUM CORP CMN (032511107) | 07/14/2008 | 08/04/2010 | 45 00 | 2,490 97 | 0 00 | 3,150 45 | (659 48) |
| APACHE CORP CMN (037411105) | 07/14/2008 | 08/04/2010 | 27.00 | 2,628 13 | 0 00 | 3,395 52 | (767 39) |
| DOMINION RESOURCES, INC CMN (25746U109) | 02/10/2009 | 08/04/2010 | 46 00 | 1,984 57 | 0 00 | 1,632 71 | 351 86 |
| SYSCO CORPORATION CMN (871829107) | 07/14/2008 | 08/04/2010 | 42 00 | 1,303 39 | 0 00 | 1,198 60 | 104 79 |
| ALLSTATE CORPORATION COMMON STOCK (020002101) | 12/03/2008 | 08/09/2010 | 16 00 | 471 99 | 0 00 | 373 37 | 98 62 |
| ALLSTATE CORPORATION COMMON STOCK (020002101) | 07/14/2008 | 08/09/2010 | 54 00 | 1,592 96 | 0 00 | 2,374 92 | (781 97) |
| ALLSTATE CORPORATION COMMON STOCK (020002101) | 08/07/2009 | 08/09/2010 | 74 00 | 2,182 94 | 0 00 | 2,043 17 | 139 77 |
| MC DONALDS CORP CMN (580135101) | 07/14/2008 | 08/20/2010 | 33 00 | 2,409 15 | 0 00 | 1,919 23 | 489 92 |
| DELL INC CMN (24702R101) | 10/09/2008 | 08/24/2010 | 28 00 | 320 47 | 0 00 | 381 50 | (61 03) |
| DELL INC CMN (24702R101) | 10/22/2008 | 08/24/2010 | 53 00 | 606 60 | 0 00 | 623 20 | (16 60) |
| APACHE CORP CMN (037411105) | 07/14/2008 | 09/16/2010 | 8 00 | 754 82 | 0 00 | 1,006 08 | (251 26) |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 07/14/2008 | 09/16/2010 | 8 00 | 618 63 | 0 00 | 683 89 | (65 26) |
| DOVER CORPORATION CMN (260003108) | 07/14/2008 | 09/17/2010 | 32.00 | 1,629 53 | 0 00 | 1,474 29 | 155 24 |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| PARKER-HANNIFIN CORP CMN (701094104) | 07/14/2008 | 09/17/2010 | 29 00 | 1,990 43 | 0 00 | 1,994 04 | (3 61) |
| DELL INC CMN (24702R101) | 10/22/2008 | 09/24/2010 | 175 00 | 2,203 39 | 0 00 | 2,057 74 | 145 65 |
| DELL INC CMN (24702R101) | 05/11/2009 | 09/24/2010 | 185 00 | 2,329 29 | 0 00 | 2,037 11 | 292.18 |
| DOVER CORPORATION CMN (260003108) | 07/14/2008 | 09/30/2010 | 4 00 | 207 95 | 0 00 | 184 29 | 23 66 |
| PARKER-HANNIFIN CORP CMN (701094104) | 07/14/2008 | 09/30/2010 | 3 00 | 210 15 | 0 00 | 206 28 | 3 87 |
| UNITEDHEALTH GROUP INCORPORATE CMN (91324P102) | 07/14/2008 | 10/15/2010 | 83 00 | 2,987 57 | 0 00 | 1,840 11 | 1,147 46 |
| DOMINION RESOURCES, INC CMN (25746U109) | 02/10/2009 | 10/18/2010 | 58 00 | 2,602 49 | 0 00 | 2,058 64 | 543 85 |
| DOMINION RESOURCES, INC CMN (25746U109) | 02/10/2009 | 11/18/2010 | 22 00 | 940 44 | 0 00 | 780 86 | 159 58 |
| DOMINION RESOURCES, INC CMN (25746U109) | 02/11/2009 | 11/18/2010 | 21 00 | 897 69 | 0 00 | 741 93 | 155 76 |
| DOMINION RESOURCES, INC CMN (25746U109) | 02/17/2009 | 11/18/2010 | 6 00 | 256 48 | 0 00 | 202 62 | 53 86 |
| SUNTRUST BANKS INC $1 00 PAR CMN (867914103) | 07/08/2009 | 11/18/2010 | 45 00 | 1,093 21 | 0 00 | 672 80 | 420 41 |
| SUNTRUST BANKS INC $1 00 PAR CMN (867914103) | 10/15/2009 | 11/18/2010 | 37 00 | 898 86 | 0 00 | 829 00 | 69 86 |
| ANADARKO PETROLEUM CORP CMN (032511107) | 07/14/2008 | 11/24/2010 | 47 00 | 3,009 42 | 0 00 | 3,290 47 | (281 05) |
| WELLS FARGO & CO (NEW) CMN (949746101) | 07/14/2008 | 12/01/2010 | 92 00 | 2,520 95 | 0 00 | 2,084 15 | 436 80 |
| DOMINION RESOURCES, INC CMN (25746U109) | 02/17/2009 | 12/10/2010 | 42 00 | 1,747 72 | 0 00 | 1,418 37 | 329 36 |
| KIMBERLY CLARK CORP CMN (494368103) | 07/14/2008 | 12/10/2010 | 33 00 | 2,037 73 | 0 00 | 1,976 90 | 60.83 |
| DOMINION RESOURCES, INC CMN (25746U109) | 02/17/2009 | 12/13/2010 | 2 00 | 83 33 | 0 00 | 67 54 | 15 79 |
| DOMINION RESOURCES, INC CMN (25746U109) | 04/16/2009 | 12/13/2010 | 72 00 | 2,999 87 | 0.00 | 2,176 76 | 823 11 |
| **NET LONG TERM GAINS (LOSSES)** | | | | **84,458.64** | **0.00** | **82,826.59** | **1,632.06** |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

| Tax Year | Account No | Legal Name |
|---|---|---|
| **2010** | **030-31031-2** | **THE TIOGA FOUNDATION** |

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| | | | | | |
|---|---|---|---|---|---|
| Net Short Term Gains (Losses) | 17 848 43 | Net Long Term Gains (Losses) | 82 87 | Net Ordinary Gains (Losses) | 0 00 |
| Net Miscellaneous Short Term Gains (Losses) | 0 00 | Net Miscellaneous Long Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long Term Gains (Losses) | 0 00 | | |
| **Total Short Term Gains (Losses)** | **17,848.43** | **Total Long Term Gains (Losses)** | **82.87** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| FRANKLIN RESOURCES INC CMN (354613101) | 01/21/2009 | 01/05/2010 | 1 00 | 108 49 | 0 00 | 52 86 | 55 63 |
| STAPLES, INC CMN (855030102) | 04/23/2009 | 01/05/2010 | 89 00 | 2,177 67 | 0 00 | 1,867 58 | 310 09 |
| DOW CHEMICAL CO CMN (260543103) | 05/11/2009 | 01/07/2010 | 57 00 | 1,768 75 | 0 00 | 976 42 | 792 33 |
| DOW CHEMICAL CO CMN (260543103) | 05/21/2009 | 01/07/2010 | 121 00 | 3,754 71 | 0 00 | 2,085 78 | 1,668 94 |
| CHEVRON CORPORATION CMN (166764100) | 10/29/2009 | 01/15/2010 | 31 00 | 2,441 76 | 0 00 | 2,420 59 | 21 18 |
| CHEVRON CORPORATION CMN (166764100) | 11/23/2009 | 01/15/2010 | 27 00 | 2,126 70 | 0 00 | 2,126 18 | 0 52 |
| HALLIBURTON COMPANY CMN (406216101) | 09/10/2009 | 01/20/2010 | 71 00 | 2,364 62 | 0 00 | 1,824 08 | 540 54 |
| HALLIBURTON COMPANY CMN (406216101) | 09/14/2009 | 01/20/2010 | 7 00 | 233 13 | 0 00 | 182 80 | 50 33 |
| HALLIBURTON COMPANY CMN (406216101) | 09/14/2009 | 01/26/2010 | 61.00 | 1,873 74 | 0 00 | 1,592.96 | 280 78 |
| EOG RESOURCES INC CMN (26875P101) | 02/18/2009 | 01/27/2010 | 11 00 | 1,008 09 | 0 00 | 622 69 | 385 39 |
| THE MOSAIC COMPANY CMN (61945A107) | 01/08/2010 | 01/29/2010 | 42 00 | 2,259 41 | 0 00 | 2,791 51 | (532 10) |
| THE MOSAIC COMPANY CMN (61945A107) | 01/11/2010 | 01/29/2010 | 46 00 | 2,474 59 | 0 00 | 3,096 75 | (622 16) |
| STATE STREET CORPORATION (NEW) CMN (857477103) | 05/19/2009 | 02/01/2010 | 127.00 | 5,552 36 | 0 00 | 5,525 80 | 26 56 |
| STATE STREET CORPORATION (NEW) CMN (857477103) | 10/15/2009 | 02/01/2010 | 14 00 | 612 07 | 0 00 | 760 76 | (148 69) |
| BP P L C SPONSORED ADR CMN (055622104) | 11/05/2009 | 02/04/2010 | 37.00 | 1,993 78 | 0 00 | 2,159 91 | (166 13) |
| BP P L C SPONSORED ADR CMN (055622104) | 11/05/2009 | 02/08/2010 | 36 00 | 1,904 85 | 0 00 | 2,101 54 | (196 69) |
| BIOGEN IDEC INC CMN (09062X103) | 02/25/2009 | 02/09/2010 | 41 00 | 2,213 57 | 0 00 | 2,104 11 | 109 46 |
| TARGET CORPORATION CMN (87612E106) | 10/14/2009 | 02/09/2010 | 58 00 | 2,851 74 | 0 00 | 2,949 99 | (98 25) |
| BP P L C SPONSORED ADR CMN (055622104) | 11/17/2009 | 02/11/2010 | 40 00 | 2,163 28 | 0 00 | 2,374 93 | (211 65) |
| BP P L C SPONSORED ADR CMN (055622104) | 12/03/2009 | 02/11/2010 | 38 00 | 2,055 11 | 0 00 | 2,210 59 | (155 48) |
| BP P L C SPONSORED ADR CMN (055622104) | 01/19/2010 | 02/11/2010 | 9.00 | 486 74 | 0 00 | 558 99 | (72 25) |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you The information in this statement is not yet determined pursuant to such new legislation

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| HALLIBURTON COMPANY CMN (406216101) | 09/14/2009 | 02/24/2010 | 10 00 | 299 46 | 0 00 | 261 14 | 38 32 |
| HALLIBURTON COMPANY CMN (406216101) | 10/01/2009 | 02/24/2010 | 64 00 | 1,916 52 | 0.00 | 1,710 35 | 206 17 |
| APACHE CORP CMN (037411105) | 10/09/2009 | 02/25/2010 | 20 00 | 2,017 43 | 0 00 | 2,001 14 | 16 29 |
| UNILEVER N V NY SHS (NEW) ADR CMN (904784709) | 10/15/2009 | 02/26/2010 | 20 00 | 602 45 | 0 00 | 608 40 | (5 95) |
| APACHE CORP CMN (037411105) | 10/09/2009 | 03/03/2010 | 22 00 | 2,332 52 | 0 00 | 2,201 25 | 131 27 |
| APACHE CORP CMN (037411105) | 10/09/2009 | 03/04/2010 | 40 00 | 4,184 82 | 0 00 | 4,002 27 | 182 54 |
| APACHE CORP CMN (037411105) | 10/15/2009 | 03/04/2010 | 6 00 | 627 72 | 0.00 | 613 14 | 14 58 |
| DIRECTV CMN (25490A101) | 10/08/2009 | 03/04/2010 | 150 00 | 5,139 57 | 0 00 | 4,093 87 | 1,045 70 |
| DIRECTV CMN (25490A101) | 11/20/2009 | 03/04/2010 | 93 00 | 3,186 54 | 0 00 | 2,944 59 | 241 95 |
| CLIFFS NATURAL RESOURCES INC CMN (18683K101) | 01/29/2010 | 03/16/2010 | 45 00 | 2,965 10 | 0 00 | 1,813 08 | 1,152 02 |
| TARGET CORPORATION CMN (87612E106) | 10/14/2009 | 03/24/2010 | 5 00 | 266 76 | 0 00 | 254 31 | 12 45 |
| TARGET CORPORATION CMN (87612E106) | 10/15/2009 | 03/24/2010 | 91 00 | 4,855 08 | 0 00 | 4,571 84 | 283 24 |
| TARGET CORPORATION CMN (87612E106) | 12/17/2009 | 03/24/2010 | 47 00 | 2,507 57 | 0 00 | 2,233 93 | 273 64 |
| TARGET CORPORATION CMN (87612E106) | 01/19/2010 | 03/24/2010 | 12.00 | 640 23 | 0 00 | 604 17 | 36 06 |
| JOHNSON & JOHNSON CMN (478160104) | 10/15/2009 | 04/08/2010 | 13 00 | 843 09 | 0 00 | 787 15 | 55 94 |
| JOHNSON & JOHNSON CMN (478160104) | 01/19/2010 | 04/08/2010 | 11 00 | 713 38 | 0 00 | 718 96 | (5 58) |
| FIRSTENERGY CORP CMN (337932107) | 10/15/2009 | 04/20/2010 | 14 00 | 528 46 | 0 00 | 658 00 | (129 54) |
| FIRSTENERGY CORP CMN (337932107) | 01/19/2010 | 04/20/2010 | 13 00 | 490 72 | 0 00 | 605 07 | (114 35) |
| HEWLETT-PACKARD CO CMN (428236103) | 10/15/2009 | 04/28/2010 | 15 00 | 800 16 | 0 00 | 718 95 | 81 21 |
| HEWLETT-PACKARD CO CMN (428236103) | 01/19/2010 | 04/28/2010 | 14 00 | 746 82 | 0 00 | 733 70 | 13 12 |
| CISCO SYSTEMS, INC CMN (17275R102) | 08/19/2009 | 04/29/2010 | 111 00 | 3,048 68 | 0 00 | 2,365 77 | 682 92 |
| CLIFFS NATURAL RESOURCES INC CMN (18683K101) | 01/29/2010 | 04/30/2010 | 128 00 | 8,268 20 | 0 00 | 5,157 20 | 3,111 00 |
| KRAFT FOODS INC CMN CLASS A (50075N104) | 02/09/2010 | 04/30/2010 | 37 00 | 1,110 10 | 0 00 | 1,071 29 | 38 81 |
| KRAFT FOODS INC CMN CLASS A (50075N104) | 02/09/2010 | 05/03/2010 | 48 00 | 1,440 04 | 0 00 | 1,389 79 | 50 25 |
| KRAFT FOODS INC CMN CLASS A (50075N104) | 02/09/2010 | 05/04/2010 | 75 00 | 2,221 63 | 0 00 | 2,171 54 | 50 09 |
| KRAFT FOODS INC. CMN CLASS A (50075N104) | 02/16/2010 | 05/04/2010 | 56 00 | 1,658 82 | 0 00 | 1,606 00 | 52 82 |
| KRAFT FOODS INC CMN CLASS A (50075N104) | 02/16/2010 | 05/05/2010 | 31.00 | 918 53 | 0 00 | 889 03 | 29 50 |

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you The information in this statement is not yet determined pursuant to such new legislation

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| KRAFT FOODS INC CMN CLASS A (50075N104) | 02/26/2010 | 05/05/2010 | 6 00 | 177 78 | 0 00 | 170 46 | 7 32 |
| NEWFIELD EXPLORATION CO CMN (651290108) | 09/28/2009 | 05/05/2010 | 43 00 | 2,355 23 | 0 00 | 1,800 47 | 554 76 |
| DOW CHEMICAL CO CMN (260543103) | 05/21/2009 | 05/07/2010 | 71 00 | 1,851 36 | 0 00 | 1,223 89 | 627 47 |
| DOW CHEMICAL CO CMN (260543103) | 09/11/2009 | 05/07/2010 | 132 00 | 3,441 96 | 0 00 | 3,073 73 | 368 23 |
| DOW CHEMICAL CO CMN (260543103) | 10/09/2009 | 05/07/2010 | 137 00 | 3,572 34 | 0.00 | 3,577 40 | (5 06) |
| DOW CHEMICAL CO CMN (260543103) | 10/15/2009 | 05/07/2010 | 36 00 | 938 72 | 0 00 | 964 80 | (26 08) |
| DOW CHEMICAL CO CMN (260543103) | 01/19/2010 | 05/07/2010 | 22 00 | 573 66 | 0 00 | 667 26 | (93 60) |
| HESS CORPORATION CMN (42809H107) | 12/23/2009 | 05/07/2010 | 49 00 | 2,807 88 | 0 00 | 2,903 87 | (95 99) |
| HESS CORPORATION CMN (42809H107) | 12/23/2009 | 05/10/2010 | 28 00 | 1,645 54 | 0 00 | 1,659 35 | (13 81) |
| HESS CORPORATION CMN (42809H107) | 01/04/2010 | 05/10/2010 | 32 00 | 1,880 62 | 0 00 | 2,019 98 | (139 36) |
| HESS CORPORATION CMN (42809H107) | 01/05/2010 | 05/10/2010 | 36 00 | 2,115 70 | 0 00 | 2,280 62 | (164 92) |
| HESS CORPORATION CMN (42809H107) | 01/19/2010 | 05/10/2010 | 8 00 | 470 16 | 0 00 | 498 42 | (28 26) |
| HESS CORPORATION CMN (42809H107) | 01/27/2010 | 05/10/2010 | 40 00 | 2,350 78 | 0 00 | 2,325 53 | 25 25 |
| KRAFT FOODS INC CMN CLASS A (50075N104) | 02/26/2010 | 05/11/2010 | 49 00 | 1,490 10 | 0 00 | 1,392 07 | 98 03 |
| KRAFT FOODS INC CMN CLASS A (50075N104) | 02/26/2010 | 05/12/2010 | 80 00 | 2,422 50 | 0 00 | 2,272 77 | 149 73 |
| COVIDIEN PUBLIC LIMITED COMPAN CMN (G2554F105) | 10/28/2009 | 05/24/2010 | 148 00 | 6,182 97 | 0 00 | 6,263 81 | (80 84) |
| NEWFIELD EXPLORATION CO CMN (651290108) | 09/28/2009 | 05/27/2010 | 41 00 | 2,055 19 | 0 00 | 1,716 73 | 338 46 |
| SCHLUMBERGER LTD CMN (806857108) | 02/24/2010 | 05/27/2010 | 79 00 | 4,692 66 | 0 00 | 4,787 82 | (95 16) |
| SCHLUMBERGER LTD CMN (806857108) | 03/04/2010 | 05/27/2010 | 75 00 | 4,455 05 | 0 00 | 4,695 64 | (240 59) |
| EOG RESOURCES INC CMN (26875P101) | 07/20/2009 | 06/14/2010 | 26 00 | 2,835 86 | 0 00 | 1,852 51 | 983 35 |
| GENERAL MILLS INC CMN (370334104) | 02/09/2010 | 06/15/2010 | 94 00 | 3,594 36 | 0 00 | 3,265 68 | 328 68 |
| BED BATH & BEYOND INC CMN (075896100) | 04/05/2010 | 06/17/2010 | 28 00 | 1,186 46 | 0 00 | 1,260 47 | (74 01) |
| BED BATH & BEYOND INC CMN (075896100) | 04/06/2010 | 06/17/2010 | 38 00 | 1,610 20 | 0 00 | 1,698 30 | (88 10) |
| SUNTRUST BANKS INC $1 00 PAR CMN (867914103) | 02/02/2010 | 06/18/2010 | 70 00 | 1,833 36 | 0 00 | 1,695 08 | 138 28 |
| SUNTRUST BANKS INC $1 00 PAR CMN (867914103) | 02/03/2010 | 06/18/2010 | 128 00 | 3,352 44 | 0 00 | 3,010 42 | 342 02 |
| GENERAL ELECTRIC CO CMN (369604103) | 12/23/2009 | 06/21/2010 | 195 00 | 3,171 43 | 0 00 | 3,002 65 | 168 78 |
| WAL MART STORES INC CMN (931142103) | 10/27/2009 | 06/21/2010 | 46.00 | 2,351 75 | 0.00 | 2,294 13 | 57 62 |

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## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| HALLIBURTON COMPANY CMN (406216101) | 10/01/2009 | 06/23/2010 | 7 00 | 180 27 | 0 00 | 187 07 | (6 80) |
| HALLIBURTON COMPANY CMN (406216101) | 10/15/2009 | 06/23/2010 | 21 00 | 540 80 | 0.00 | 626 22 | (85 42) |
| HALLIBURTON COMPANY CMN (406216101) | 10/16/2009 | 06/23/2010 | 63.00 | 1,622 40 | 0 00 | 1,936 78 | (314 38) |
| HALLIBURTON COMPANY CMN (406216101) | 10/20/2009 | 06/23/2010 | 82 00 | 2,111 69 | 0 00 | 2,529 20 | (417 51) |
| RANGE RESOURCES CORPORATION CMN (75281A109) | 01/15/2010 | 06/25/2010 | 16 00 | 696 61 | 0 00 | 842 43 | (145 82) |
| RANGE RESOURCES CORPORATION CMN (75281A109) | 01/19/2010 | 06/25/2010 | 19 00 | 827 22 | 0 00 | 999 56 | (172 33) |
| EOG RESOURCES INC CMN (26875P101) | 10/12/2009 | 06/30/2010 | 22 00 | 2,198 19 | 0 00 | 1,995 95 | 202 24 |
| EOG RESOURCES INC CMN (26875P101) | 10/15/2009 | 06/30/2010 | 12 00 | 1,199 01 | 0 00 | 1,116 84 | 82 17 |
| EOG RESOURCES INC CMN (26875P101) | 02/11/2010 | 06/30/2010 | 24 00 | 2,398 02 | 0 00 | 2,169 55 | 228 47 |
| EOG RESOURCES INC CMN (26875P101) | 05/10/2010 | 06/30/2010 | 28 00 | 2,797 70 | 0 00 | 2,966 19 | (168 50) |
| CBS CORPORATION CMN CLASS B (124857202) | 03/08/2010 | 07/01/2010 | 199 00 | 2,537 17 | 0 00 | 2,927 24 | (390 07) |
| BED BATH & BEYOND INC CMN (075896100) | 04/06/2010 | 07/02/2010 | 106 00 | 3,833 72 | 0 00 | 4,737 37 | (903 65) |
| HALLIBURTON COMPANY CMN (406216101) | 10/20/2009 | 08/12/2010 | 35 00 | 989 49 | 0 00 | 1,079 54 | (90 05) |
| HALLIBURTON COMPANY CMN (406216101) | 01/19/2010 | 08/12/2010 | 24 00 | 678 51 | 0 00 | 827 58 | (149 07) |
| HALLIBURTON COMPANY CMN (406216101) | 04/29/2010 | 08/12/2010 | 23 00 | 650 24 | 0 00 | 762 68 | (112 44) |
| ORACLE CORPORATION CMN (68389X105) | 12/24/2009 | 08/18/2010 | 109 00 | 2,503.47 | 0 00 | 2,714 05 | (210 58) |
| CBS CORPORATION CMN CLASS B (124857202) | 03/08/2010 | 08/26/2010 | 152.00 | 2,078 36 | 0 00 | 2,235 88 | (157 52) |
| CBS CORPORATION CMN CLASS B (124857202) | 03/08/2010 | 08/27/2010 | 158 00 | 2,165 05 | 0 00 | 2,324 14 | (159 09) |
| CBS CORPORATION CMN CLASS B (124857202) | 04/08/2010 | 08/27/2010 | 153 00 | 2,096 54 | 0 00 | 2,276 85 | (180 31) |
| CBS CORPORATION CMN CLASS B (124857202) | 04/09/2010 | 08/27/2010 | 46.00 | 630 33 | 0 00 | 693 77 | (63 44) |
| STAPLES, INC CMN (855030102) | 10/15/2009 | 09/03/2010 | 30 00 | 570 05 | 0 00 | 696 00 | (125 95) |
| STAPLES, INC CMN (855030102) | 01/19/2010 | 09/03/2010 | 22 00 | 418 04 | 0 00 | 552 74 | (134 70) |
| HALLIBURTON COMPANY CMN (406216101) | 04/29/2010 | 09/28/2010 | 66 00 | 2,143 58 | 0 00 | 2,188 55 | (44 98) |
| HALLIBURTON COMPANY CMN (406216101) | 05/27/2010 | 09/28/2010 | 10 00 | 324 78 | 0 00 | 266 97 | 57 81 |
| W R BERKLEY CORPORATION CMN (084423102) | 10/15/2009 | 09/30/2010 | 21 00 | 567 66 | 0 00 | 529 20 | 38 46 |
| WAL MART STORES INC CMN (931142103) | 10/27/2009 | 10/08/2010 | 11 00 | 595 76 | 0 00 | 548 60 | 47 16 |
| WAL MART STORES INC CMN (931142103) | 10/27/2009 | 10/11/2010 | 22 00 | 1,201 02 | 0 00 | 1,097 19 | 103 83 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| WAL MART STORES INC CMN (931142103) | 10/27/2009 | 10/12/2010 | 24 00 | 1,295 28 | 0 00 | 1,196 94 | 98 34 |
| WAL MART STORES INC CMN (931142103) | 04/30/2010 | 10/12/2010 | 56 00 | 3,022 32 | 0 00 | 3,022.52 | (0 20) |
| ORACLE CORPORATION CMN (68389X105) | 12/24/2009 | 10/22/2010 | 87 00 | 2,518 06 | 0 00 | 2,166 26 | 351 80 |
| ORACLE CORPORATION CMN (68389X105) | 12/28/2009 | 10/22/2010 | 217 00 | 6,280 67 | 0 00 | 5,405 55 | 875 12 |
| ORACLE CORPORATION CMN (68389X105) | 01/19/2010 | 10/22/2010 | 24 00 | 694 64 | 0 00 | 610 80 | 83 84 |
| AMGEN INC CMN (031162100) | 09/03/2010 | 11/11/2010 | 132.00 | 7,209 85 | 0 00 | 6,965 96 | 243 89 |
| CISCO SYSTEMS, INC CMN (17275R102) | 01/19/2010 | 11/19/2010 | 30 00 | 586 60 | 0 00 | 743 71 | (157 11) |
| DEL MONTE FOODS COMPANY CMN (24522P103) | 10/08/2010 | 11/19/2010 | 80 00 | 1,376 02 | 0 00 | 1,079 95 | 296 07 |
| DEL MONTE FOODS COMPANY CMN (24522P103) | 10/11/2010 | 11/19/2010 | 235 00 | 4,042 04 | 0 00 | 3,196 32 | 845 72 |
| DEL MONTE FOODS COMPANY CMN (24522P103) | 10/12/2010 | 11/19/2010 | 15 00 | 258 00 | 0 00 | 203 12 | 54 88 |
| DEL MONTE FOODS COMPANY CMN (24522P103) | 10/12/2010 | 11/26/2010 | 95 00 | 1,782 43 | 0 00 | 1,286 43 | 496 00 |
| DEL MONTE FOODS COMPANY CMN (24522P103) | 10/15/2010 | 11/26/2010 | 242 00 | 4,540 51 | 0 00 | 3,623 43 | 917 08 |
| DEL MONTE FOODS COMPANY CMN (24522P103) | 10/18/2010 | 11/26/2010 | 241 00 | 4,521 75 | 0 00 | 3,609 52 | 912 23 |
| AMERICAN ELECTRIC POWER INC CMN (025537101) | 06/10/2010 | 12/03/2010 | 89 00 | 3,196 16 | 0.00 | 2,884 56 | 311 60 |
| HALLIBURTON COMPANY CMN (406216101) | 05/27/2010 | 12/21/2010 | 198 00 | 7,984 13 | 0 00 | 5,286 07 | 2,698 06 |
| HALLIBURTON COMPANY CMN (406216101) | 10/21/2010 | 12/21/2010 | 71 00 | 2,862 99 | 0 00 | 2,394 43 | 468 56 |
| **NET SHORT TERM GAINS (LOSSES)** | | | | **252,898.75** | **0.00** | **235,050.32** | **17,848.43** |

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| EOG RESOURCES INC CMN (26875P101) | 10/21/2008 | 01/05/2010 | 21 00 | 2,062 36 | 0 00 | 1,534 23 | 528 13 |
| FRANKLIN RESOURCES INC CMN (354613101) | 11/19/2008 | 01/05/2010 | 20 00 | 2,169 81 | 0 00 | 1,015 87 | 1,153 94 |
| GENERAL MILLS INC CMN (370334104) | 12/12/2008 | 01/06/2010 | 19 00 | 1,345 08 | 0 00 | 1,149 88 | 195 20 |
| GENERAL MILLS INC CMN (370334104) | 12/15/2008 | 01/06/2010 | 36 00 | 2,548 56 | 0 00 | 2,170 42 | 378 14 |
| EOG RESOURCES INC CMN (26875P101) | 10/21/2008 | 01/27/2010 | 6 00 | 549 87 | 0 00 | 438 35 | 111 52 |
| UNILEVER N V NY SHS (NEW) ADR CMN (904784709) | 07/14/2008 | 02/16/2010 | 67 00 | 2,010 39 | 0.00 | 1,873 32 | 137 07 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| BOEING COMPANY CMN (097023105) | 07/25/2008 | 02/22/2010 | 33 00 | 2,116 14 | 0 00 | 2,091 47 | 24 67 |
| JOHNSON & JOHNSON CMN (478160104) | 07/14/2008 | 02/23/2010 | 82 00 | 5,195 78 | 0 00 | 5,449 72 | (253.94) |
| FIRSTENERGY CORP CMN (337932107) | 07/14/2008 | 02/26/2010 | 102 00 | 3,951 32 | 0 00 | 8,420 10 | (4,468 78) |
| UNILEVER N V NY SHS (NEW) ADR CMN (904784709) | 07/14/2008 | 02/26/2010 | 121 00 | 3,644 84 | 0 00 | 3,383 16 | 261 68 |
| THE TRAVELERS COMPANIES, INC CMN (89417E109) | 07/14/2008 | 03/08/2010 | 67.00 | 3,601 86 | 0 00 | 2,882 23 | 719 63 |
| BOEING COMPANY CMN (097023105) | 07/25/2008 | 03/16/2010 | 38 00 | 2,606 31 | 0 00 | 2,408 36 | 197 95 |
| HEWLETT-PACKARD CO CMN (428236103) | 07/14/2008 | 03/22/2010 | 79.00 | 4,151 99 | 0 00 | 3,272 97 | 879 02 |
| JOHNSON & JOHNSON CMN (478160104) | 10/27/2008 | 04/08/2010 | 32 00 | 2,075 29 | 0 00 | 1,985 59 | 89 70 |
| JOHNSON & JOHNSON CMN (478160104) | 07/14/2008 | 04/08/2010 | 79 00 | 5,123 37 | 0 00 | 5,250 34 | (126 97) |
| FIRSTENERGY CORP CMN (337932107) | 07/14/2008 | 04/20/2010 | 128 00 | 4,831 67 | 0 00 | 10,566 40 | (5,734 73) |
| HEWLETT-PACKARD CO CMN (428236103) | 07/14/2008 | 04/21/2010 | 51 00 | 2,730 46 | 0 00 | 2,112 93 | 617 53 |
| HEWLETT-PACKARD CO CMN (428236103) | 07/14/2008 | 04/28/2010 | 6 00 | 320 07 | 0 00 | 248 58 | 71 49 |
| HEWLETT-PACKARD CO CMN (428236103) | 04/07/2009 | 04/28/2010 | 106 00 | 5,654 48 | 0 00 | 3,510 72 | 2,143 76 |
| EOG RESOURCES INC CMN (26875P101) | 02/18/2009 | 06/14/2010 | 16 00 | 1,745 15 | 0 00 | 905 74 | 839 41 |
| BOEING COMPANY CMN (097023105) | 09/03/2008 | 07/01/2010 | 16 00 | 994 06 | 0 00 | 1,054 48 | (60 42) |
| BOEING COMPANY CMN (097023105) | 07/25/2008 | 07/01/2010 | 9.00 | 559 16 | 0 00 | 570 40 | (11 24) |
| SPRINT NEXTEL CORPORATION CMN (852061100) | 07/14/2008 | 07/23/2010 | 816 00 | 3,827 82 | 0 00 | 6,826 17 | (2,998 35) |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 06/25/2009 | 07/28/2010 | 28 00 | 2,213 10 | 0 00 | 1,824 06 | 389 04 |
| BIOGEN IDEC INC CMN (09062X103) | 02/25/2009 | 07/30/2010 | 39 00 | 2,167 65 | 0 00 | 2,001 48 | 166 17 |
| AFLAC INCORPORATED CMN (001055102) | 05/07/2009 | 08/13/2010 | 49 00 | 2,347 61 | 0 00 | 1,635 07 | 712 54 |
| STAPLES, INC CMN (855030102) | 04/23/2009 | 09/03/2010 | 100 00 | 1,900 17 | 0 00 | 2,098 41 | (198 24) |
| STAPLES, INC CMN (855030102) | 06/01/2009 | 09/03/2010 | 135 00 | 2,565 22 | 0 00 | 2,929 03 | (363 81) |
| STAPLES, INC CMN (855030102) | 08/05/2009 | 09/03/2010 | 112 00 | 2,128 19 | 0 00 | 2,354 99 | (226 80) |
| EMERSON ELECTRIC CO CMN (291011104) | 04/21/2009 | 09/27/2010 | 43 00 | 2,296 23 | 0 00 | 1,368 56 | 927 67 |
| ENTERGY CORPORATION CMN (29364G103) | 07/14/2008 | 09/28/2010 | 35 00 | 2,699 73 | 0 00 | 4,161 40 | (1,461 67) |
| W R BERKLEY CORPORATION CMN (084423102) | 04/08/2009 | 09/28/2010 | 62 00 | 1,664 62 | 0 00 | 1,462 76 | 201 86 |
| W R BERKLEY CORPORATION CMN (084423102) | 04/09/2009 | 09/28/2010 | 76 00 | 2,040 50 | 0 00 | 1,819 26 | 221 24 |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| W R BERKLEY CORPORATION CMN (084423102) | 04/09/2009 | 09/29/2010 | 61 00 | 1,639 64 | 0 00 | 1,460 19 | 179 45 |
| W R BERKLEY CORPORATION CMN (084423102) | 06/15/2009 | 09/29/2010 | 99 00 | 2,661 05 | 0 00 | 2,224 54 | 436 51 |
| W R BERKLEY CORPORATION CMN (084423102) | 06/15/2009 | 09/30/2010 | 26 00 | 702 82 | 0 00 | 584 22 | 118 60 |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 06/25/2009 | 10/21/2010 | 12 00 | 945 80 | 0 00 | 781 74 | 164 05 |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 06/26/2009 | 10/21/2010 | 23 00 | 1,812 78 | 0 00 | 1,487 67 | 325 11 |
| BAXTER INTERNATIONAL INC CMN (071813109) | 07/14/2008 | 10/27/2010 | 77.00 | 3,897 68 | 0 00 | 5,001 55 | (1,103 87) |
| AFLAC INCORPORATED CMN (001055102) | 05/07/2009 | 11/19/2010 | 53.00 | 2,874 40 | 0.00 | 1,768 55 | 1,105 85 |
| BIOGEN IDEC INC CMN (09062X103) | 02/25/2009 | 11/19/2010 | 10 00 | 649 11 | 0 00 | 513 20 | 135 91 |
| BIOGEN IDEC INC CMN (09062X103) | 08/03/2009 | 11/19/2010 | 21 00 | 1,363 12 | 0 00 | 1,010 72 | 352 40 |
| BIOGEN IDEC INC CMN (09062X103) | 08/04/2009 | 11/19/2010 | 9 00 | 584 19 | 0 00 | 436 02 | 148 18 |
| CISCO SYSTEMS, INC CMN (17275R102) | 08/19/2009 | 11/19/2010 | 114 00 | 2,229 07 | 0 00 | 2,429 71 | (200 64) |
| CISCO SYSTEMS, INC CMN (17275R102) | 09/30/2009 | 11/19/2010 | 120 00 | 2,346 39 | 0 00 | 2,846 64 | (500 26) |
| CISCO SYSTEMS, INC CMN (17275R102) | 10/01/2009 | 11/19/2010 | 171 00 | 3,343 60 | 0 00 | 3,977 69 | (634 09) |
| CISCO SYSTEMS, INC CMN (17275R102) | 10/15/2009 | 11/19/2010 | 36.00 | 703 92 | 0 00 | 869 40 | (165 48) |
| HONEYWELL INTL INC CMN (438516106) | 09/18/2008 | 11/19/2010 | 54 00 | 2,667 36 | 0 00 | 2,350 60 | 316 76 |
| THE BANK OF NY MELLON CORP CMN (064058100) | 10/22/2009 | 11/19/2010 | 95 00 | 2,629 33 | 0 00 | 2,750 45 | (121 12) |
| THE TRAVELERS COMPANIES, INC CMN (89417E109) | 07/14/2008 | 11/19/2010 | 57 00 | 3,157 26 | 0 00 | 2,452 05 | 705 21 |
| THE BANK OF NY MELLON CORP CMN (064058100) | 10/22/2009 | 12/13/2010 | 71 00 | 2,068 34 | 0 00 | 2,055 60 | 12 74 |
| EMERSON ELECTRIC CO CMN (291011104) | 04/21/2009 | 12/23/2010 | 56.00 | 3,216 84 | 0 00 | 1,782 32 | 1,434.52 |
| EMERSON ELECTRIC CO CMN (291011104) | 08/05/2009 | 12/23/2010 | 64 00 | 3,676 38 | 0 00 | 2,237 55 | 1,438 83 |
| EMERSON ELECTRIC CO CMN (291011104) | 08/05/2009 | 12/27/2010 | 29 00 | 1,657 39 | 0 00 | 1,013 89 | 643 50 |
| EMERSON ELECTRIC CO CMN (291011104) | 10/15/2009 | 12/27/2010 | 13 00 | 742 97 | 0 00 | 514 67 | 228 30 |
| **NET LONG TERM GAINS (LOSSES)** | | | | **131,408.30** | **0.00** | **131,325.42** | **82.87** |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you The information in this statement is not yet determined pursuant to such new legislation

## REALIZED GAIN AND LOSS SUMMARY

| | | | | | |
|---|---|---|---|---|---|
| Net Short Term Gains (Losses) | 20,788 57 | Net Long Term Gains (Losses) | (18,960 77) | Net Ordinary Gains (Losses) | 0 00 |
| Net Miscellaneous Short Term Gains (Losses) | 0 00 | Net Miscellaneous Long Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long Term Gains (Losses) | 0 00 | | |
| **Total Short Term Gains (Losses)** | **20,788.57** | **Total Long Term Gains (Losses)** | **(18,960.77)** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| ST JUDE MEDICAL INC CMN (790849103) | 02/05/2009 | 01/19/2010 | 73 00 | 2,830 26 | 0 00 | 2,705 53 | 124 73 |
| ST JUDE MEDICAL INC CMN (790849103) | 02/05/2009 | 01/20/2010 | 42 00 | 1,615 36 | 0 00 | 1,556 60 | 58 75 |
| ST JUDE MEDICAL INC CMN (790849103) | 06/17/2009 | 01/20/2010 | 65 00 | 2,499 96 | 0 00 | 2,552 34 | (52 39) |
| ST JUDE MEDICAL INC CMN (790849103) | 10/15/2009 | 01/20/2010 | 22 00 | 846 14 | 0 00 | 730 24 | 115 90 |
| CVS CAREMARK CORPORATION CMN (126650100) | 10/15/2009 | 01/21/2010 | 27 00 | 904 43 | 0 00 | 1,010 06 | (105 63) |
| QUALCOMM INC CMN (747525103) | 07/23/2009 | 02/08/2010 | 36 00 | 1,363 91 | 0 00 | 1,665 94 | (302 03) |
| QUALCOMM INC CMN (747525103) | 10/15/2009 | 02/08/2010 | 41 00 | 1,553 34 | 0 00 | 1,729 38 | (176 04) |
| QUALCOMM INC CMN (747525103) | 01/19/2010 | 02/08/2010 | 18.00 | 681 95 | 0 00 | 890 82 | (208 87) |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 10/15/2009 | 02/09/2010 | 92 00 | 1,483 06 | 0 00 | 1,826 47 | (343 41) |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 01/19/2010 | 02/09/2010 | 45 00 | 725 41 | 0 00 | 875 51 | (150 10) |
| PHARMACEUTICAL PRODUCT DEVELOPMENT INC (717124101) | 10/15/2009 | 02/10/2010 | 37 00 | 782 90 | 0 00 | 850 63 | (67 73) |
| COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102) | 05/05/2009 | 03/08/2010 | 115 00 | 5,759 53 | 0 00 | 3,124 03 | 2,635 50 |
| COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102) | 05/15/2009 | 03/08/2010 | 23 00 | 1,151 91 | 0 00 | 591 93 | 559 98 |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406) | 10/15/2009 | 03/19/2010 | 16 00 | 809 17 | 0 00 | 683 20 | 125 97 |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406) | 10/15/2009 | 03/29/2010 | 21 00 | 1,058 43 | 0 00 | 896 70 | 161 73 |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406) | 02/08/2010 | 03/29/2010 | 80 00 | 4,032 10 | 0 00 | 3,679 66 | 352 44 |
| STATE STREET CORPORATION (NEW) CMN (857477103) | 05/19/2009 | 04/06/2010 | 72 00 | 3,324 98 | 0 00 | 3,116 58 | 208 40 |
| GENZYME CORP CMN (372917104) | 10/15/2009 | 04/07/2010 | 37 00 | 1,930 06 | 0 00 | 2,088 01 | (157 95) |
| GENZYME CORP CMN (372917104) | 01/19/2010 | 04/07/2010 | 21 00 | 1,095 44 | 0 00 | 1,139 06 | (43 62) |
| INTUITIVE SURGICAL, INC CMN (46120E602) | 10/15/2009 | 04/16/2010 | 4 00 | 1,448 01 | 0 00 | 1,021 48 | 426 53 |
| INTUITIVE SURGICAL, INC CMN (46120E602) | 01/19/2010 | 04/16/2010 | 2 00 | 724 01 | 0.00 | 629 92 | 94 09 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds ⁵ | Total Accretion (Amortization) | Cost Basis ⁴ | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| BAXTER INTERNATIONAL INC CMN (071813109) | 06/17/2009 | 04/22/2010 | 69 00 | 3,451 64 | 0 00 | 3,402 53 | 49 11 |
| BAXTER INTERNATIONAL INC CMN (071813109) | 09/11/2009 | 04/22/2010 | 43 00 | 2,151 02 | 0 00 | 2,435 17 | (284 14) |
| BAXTER INTERNATIONAL INC CMN (071813109) | 09/11/2009 | 04/23/2010 | 20 00 | 1,005 64 | 0 00 | 1,132 64 | (126 99) |
| BAXTER INTERNATIONAL INC CMN (071813109) | 10/15/2009 | 04/23/2010 | 23.00 | 1,156 49 | 0 00 | 1,270 06 | (113 57) |
| BAXTER INTERNATIONAL INC CMN (071813109) | 01/19/2010 | 04/23/2010 | 64 00 | 3,218 06 | 0 00 | 3,919 70 | (701 64) |
| DOLBY LABORATORIES, INC CMN CLASS A (25659T107) | 06/26/2009 | 05/03/2010 | 60 00 | 4,134 72 | 0 00 | 2,208 57 | 1,926 15 |
| MONSANTO COMPANY CMN (61166W101) | 10/15/2009 | 05/07/2010 | 33 00 | 1,947 48 | 0 00 | 2,585 55 | (638 07) |
| MONSANTO COMPANY CMN (61166W101) | 01/19/2010 | 05/07/2010 | 15 00 | 885 22 | 0 00 | 1,223 42 | (338 20) |
| DOLBY LABORATORIES, INC CMN CLASS A (25659T107) | 06/26/2009 | 05/14/2010 | 34 00 | 2,203 29 | 0 00 | 1,251 52 | 951 77 |
| DOLBY LABORATORIES, INC CMN CLASS A (25659T107) | 08/17/2009 | 05/14/2010 | 43 00 | 2,786 51 | 0 00 | 1,699 09 | 1,087 42 |
| VISA INC CMN CLASS A (92826C839) | 10/15/2009 | 05/14/2010 | 12 00 | 948 31 | 0 00 | 892 59 | 55 72 |
| VISA INC CMN CLASS A (92826C839) | 01/19/2010 | 05/14/2010 | 5 00 | 395 13 | 0 00 | 434 04 | (38 91) |
| VISA INC CMN CLASS A (92826C839) | 02/08/2010 | 05/14/2010 | 6 00 | 474 15 | 0 00 | 503 85 | (29 70) |
| VISA INC CMN CLASS A (92826C839) | 02/08/2010 | 05/25/2010 | 30.00 | 2,195 27 | 0 00 | 2,519 27 | (324 00) |
| VISA INC CMN CLASS A (92826C839) | 03/08/2010 | 05/25/2010 | 37 00 | 2,707 50 | 0 00 | 3,346 73 | (639 23) |
| VISA INC CMN CLASS A (92826C839) | 03/25/2010 | 05/25/2010 | 33.00 | 2,414 80 | 0 00 | 2,995 12 | (580 32) |
| CHARLES SCHWAB CORPORATION CMN (808513105) | 10/15/2009 | 06/15/2010 | 70 00 | 1,110 17 | 0 00 | 1,291 39 | (181 22) |
| CHARLES SCHWAB CORPORATION CMN (808513105) | 01/19/2010 | 06/15/2010 | 34 00 | 539 23 | 0 00 | 657 83 | (118 60) |
| NORTHERN TRUST CORP CMN (665859104) | 10/15/2009 | 06/24/2010 | 21 00 | 1,004 80 | 0 00 | 1,237 74 | (232 94) |
| BARD C R INC N J CMN (067383109) | 10/15/2009 | 07/02/2010 | 12 00 | 920 31 | 0 00 | 930 60 | (10 29) |
| BARD C R INC N J CMN (067383109) | 01/19/2010 | 07/02/2010 | 9 00 | 690 23 | 0 00 | 752 85 | (62 62) |
| DOLBY LABORATORIES, INC CMN CLASS A (25659T107) | 08/17/2009 | 07/02/2010 | 42 00 | 2,612 15 | 0 00 | 1,659 58 | 952 57 |
| TARGET CORPORATION CMN (87612E106) | 12/15/2009 | 07/23/2010 | 67 00 | 3,432 66 | 0 00 | 3,195 05 | 237 61 |
| SEI INVESTMENTS CO CMN (784117103) | 10/15/2009 | 07/30/2010 | 76 00 | 1,465 27 | 0 00 | 1,536 09 | (70 82) |
| SEI INVESTMENTS CO CMN (784117103) | 01/19/2010 | 07/30/2010 | 42 00 | 809 75 | 0 00 | 832 17 | (22 42) |
| DOLBY LABORATORIES, INC CMN CLASS A (25659T107) | 08/17/2009 | 08/03/2010 | 17 00 | 1,069 70 | 0 00 | 671 73 | 397 97 |
| DOLBY LABORATORIES, INC CMN CLASS A (25659T107) | 09/02/2009 | 08/03/2010 | 39 00 | 2,454 03 | 0 00 | 1,438 90 | 1,015 13 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you The information in this statement is not yet determined pursuant to such new legislation

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| PRICELINE COM INC CMN (741503403) | 01/21/2010 | 08/06/2010 | 12 00 | 3,550 67 | 0 00 | 2,489 29 | 1,061 38 |
| DOLBY LABORATORIES, INC CMN CLASS A (25659T107) | 09/02/2009 | 08/24/2010 | 20.00 | 1,079 80 | 0 00 | 737.90 | 341 91 |
| DOLBY LABORATORIES, INC CMN CLASS A (25659T107) | 10/15/2009 | 08/24/2010 | 42 00 | 2,267 58 | 0 00 | 1,694 70 | 572 88 |
| NETAPP, INC CMN (64110D104) | 10/08/2009 | 08/24/2010 | 71 00 | 2,811 91 | 0 00 | 1,948 11 | 863 80 |
| DOLBY LABORATORIES, INC CMN CLASS A (25659T107) | 10/15/2009 | 08/25/2010 | 7.00 | 376 19 | 0 00 | 282 45 | 93 74 |
| DOLBY LABORATORIES, INC CMN CLASS A (25659T107) | 11/04/2009 | 08/25/2010 | 42 00 | 2,257 14 | 0 00 | 1,769 87 | 487 27 |
| DOLBY LABORATORIES, INC CMN CLASS A (25659T107) | 01/19/2010 | 08/25/2010 | 18 00 | 967 34 | 0 00 | 906 76 | 60 58 |
| PRICELINE COM INC CMN (741503403) | 01/21/2010 | 08/26/2010 | 13 00 | 3,750 44 | 0 00 | 2,696 74 | 1,053 70 |
| ROCKWELL COLLINS, INC CMN (774341101) | 04/07/2010 | 09/14/2010 | 38 00 | 2,223 41 | 0 00 | 2,385 11 | (161 70) |
| ROCKWELL COLLINS, INC CMN (774341101) | 04/07/2010 | 09/15/2010 | 15 00 | 868 17 | 0 00 | 941 49 | (73 32) |
| GEN-PROBE INCORPORATED CMN (36866T103) | 10/15/2009 | 10/15/2010 | 22 00 | 1,048 55 | 0 00 | 986 50 | 62 05 |
| GEN-PROBE INCORPORATED CMN (36866T103) | 01/19/2010 | 10/15/2010 | 15 00 | 714 92 | 0 00 | 684 94 | 29 98 |
| EXPEDITORS INTL WASH INC CMN (302130109) | 02/23/2010 | 10/19/2010 | 117 00 | 5,598 20 | 0 00 | 4,325 46 | 1,272 74 |
| SABMILLER PLC SPONSORED ADR (78572M105) | 05/24/2010 | 10/22/2010 | 113 00 | 3,653 68 | 0 00 | 3,119 47 | 534 21 |
| F5 NETWORKS INC CMN (315616102) | 04/23/2010 | 10/27/2010 | 46 00 | 5,408 67 | 0 00 | 3,301 54 | 2,107 13 |
| AMAZON COM INC CMN (023135106) | 07/02/2010 | 10/28/2010 | 18 00 | 2,985 47 | 0 00 | 1,968 94 | 1,016 53 |
| COLGATE-PALMOLIVE CO CMN (194162103) | 06/07/2010 | 10/28/2010 | 62.00 | 4,643 06 | 0 00 | 4,844 77 | (201 71) |
| COLGATE-PALMOLIVE CO CMN (194162103) | 06/09/2010 | 10/28/2010 | 40 00 | 2,995 52 | 0 00 | 3,160 04 | (164 52) |
| STRYKER CORP CMN (863667101) | 01/19/2010 | 10/29/2010 | 16 00 | 791 14 | 0 00 | 916 02 | (124 88) |
| ALLERGAN INC CMN (018490102) | 01/20/2010 | 11/03/2010 | 40 00 | 2,908 27 | 0 00 | 2,436 92 | 471 35 |
| AMAZON COM INC CMN (023135106) | 07/02/2010 | 11/03/2010 | 22 00 | 3,594 80 | 0 00 | 2,406 49 | 1,188 31 |
| ALLERGAN INC CMN (018490102) | 01/20/2010 | 11/04/2010 | 42 00 | 3,081 03 | 0 00 | 2,558 77 | 522 26 |
| AMAZON COM INC CMN (023135106) | 07/02/2010 | 11/10/2010 | 14 00 | 2,403 99 | 0 00 | 1,531 40 | 872 59 |
| AMAZON COM INC CMN (023135106) | 07/23/2010 | 11/10/2010 | 35 00 | 6,009 99 | 0 00 | 3,906 33 | 2,103 66 |
| CISCO SYSTEMS, INC CMN (17275R102) | 01/19/2010 | 11/16/2010 | 37 00 | 722 00 | 0 00 | 916 97 | (194 97) |
| F5 NETWORKS INC CMN (315616102) | 04/23/2010 | 12/03/2010 | 20 00 | 2,802 81 | 0 00 | 1,435 45 | 1,367 36 |
| ROCKWELL COLLINS, INC CMN (774341101) | 04/07/2010 | 12/03/2010 | 31 00 | 1,799 49 | 0 00 | 1,945 75 | (146 26) |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| ROCKWELL COLLINS, INC CMN (774341101) | 04/16/2010 | 12/03/2010 | 56 00 | 3,250 68 | 0 00 | 3,597 50 | (346 82) |
| ROCKWELL COLLINS, INC CMN (774341101) | 04/23/2010 | 12/03/2010 | 39 00 | 2,263 87 | 0 00 | 2,531 34 | (267 47) |
| EXPEDITORS INTL WASH INC CMN (302130109) | 02/23/2010 | 12/09/2010 | 51 00 | 2,856 22 | 0 00 | 1,885 46 | 970 77 |
| **NET SHORT TERM GAINS (LOSSES)** | | | | **164,488.90** | **0.00** | **143,700.35** | **20,788.57** |

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406) | 08/22/2008 | 01/07/2010 | 68 00 | 3,188 98 | 0 00 | 3,012 40 | 176 58 |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406) | 08/08/2008 | 01/07/2010 | 154 00 | 7,222 09 | 0 00 | 6,885 34 | 336 75 |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406) | 10/14/2008 | 01/07/2010 | 17 00 | 797 24 | 0 00 | 670 56 | 126 68 |
| CVS CAREMARK CORPORATION CMN (126650100) | 07/14/2008 | 01/21/2010 | 103 00 | 3,450 22 | 0 00 | 4,017 38 | (567 16) |
| QUALCOMM INC CMN (747525103) | 10/14/2008 | 02/08/2010 | 59 00 | 2,235 29 | 0 00 | 2,362 70 | (127 41) |
| QUALCOMM INC CMN (747525103) | 07/24/2008 | 02/08/2010 | 110 00 | 4,167 50 | 0 00 | 6,022 50 | (1,855 00) |
| QUALCOMM INC CMN (747525103) | 07/30/2008 | 02/08/2010 | 87 00 | 3,296 11 | 0 00 | 4,770 21 | (1,474 10) |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 07/14/2008 | 02/08/2010 | 291 00 | 4,759 56 | 0 00 | 7,071 30 | (2,311 74) |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 07/18/2008 | 02/08/2010 | 152 00 | 2,486 09 | 0 00 | 3,938 32 | (1,452 23) |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 10/14/2008 | 02/09/2010 | 89 00 | 1,434 70 | 0 00 | 1,847 67 | (412 97) |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 07/18/2008 | 02/09/2010 | 53 00 | 854 37 | 0 00 | 1,373 23 | (518 86) |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 02/05/2009 | 02/09/2010 | 162 00 | 2,611 47 | 0 00 | 1,936 19 | 675 28 |
| PHARMACEUTICAL PRODUCT DEVELOPMENT INC (717124101) | 12/19/2008 | 02/10/2010 | 72 00 | 1,523 49 | 0 00 | 2,062 71 | (539 23) |
| PHARMACEUTICAL PRODUCT DEVELOPMENT INC (717124101) | 01/16/2009 | 02/10/2010 | 111 00 | 2,348 71 | 0 00 | 2,807 72 | (459 01) |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406) | 10/14/2008 | 03/19/2010 | 89 00 | 4,500 99 | 0 00 | 3,510 59 | 990 41 |
| GENZYME CORP CMN (372917104) | 07/14/2008 | 03/25/2010 | 196 00 | 10,050 63 | 0 00 | 15,058 25 | (5,007 62) |
| SEI INVESTMENTS CO CMN (784117103) | 07/14/2008 | 03/29/2010 | 76 00 | 1,637 98 | 0 00 | 1,734 33 | (96 35) |
| SEI INVESTMENTS CO CMN (784117103) | 07/14/2008 | 03/30/2010 | 124.00 | 2,703 01 | 0 00 | 2,829 69 | (126 68) |
| CHARLES SCHWAB CORPORATION CMN (808513105) | 10/13/2008 | 04/06/2010 | 120.00 | 2,268 31 | 0 00 | 2,565 72 | (297 41) |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| GENZYME CORP CMN (372917104) | 07/14/2008 | 04/07/2010 | 169 00 | 8,815 70 | 0 00 | 12,983 90 | (4,168 21) |
| MONSANTO COMPANY CMN (61166W101) | 07/14/2008 | 04/08/2010 | 66 00 | 4,557 52 | 0 00 | 8,029 56 | (3,472 04) |
| MONSANTO COMPANY CMN (61166W101) | 07/14/2008 | 04/15/2010 | 120.00 | 7,890 42 | 0 00 | 14,599 20 | (6,708 78) |
| INTUITIVE SURGICAL, INC CMN (46120E602) | 10/29/2008 | 04/16/2010 | 9 00 | 3,258 02 | 0 00 | 1,517 34 | 1,740 68 |
| INTUITIVE SURGICAL, INC CMN (46120E602) | 01/08/2009 | 04/16/2010 | 22 00 | 7,964 05 | 0 00 | 2,430 86 | 5,533 19 |
| NOVO-NORDISK A/S ADR ADR CMN (670100205) | 09/09/2008 | 04/28/2010 | 65 00 | 5,132 17 | 0 00 | 3,407 67 | 1,724 50 |
| SEI INVESTMENTS CO CMN (784117103) | 07/14/2008 | 04/28/2010 | 105 00 | 2,370 07 | 0.00 | 2,396 11 | (26 04) |
| BARD C R INC N J CMN (067383109) | 08/01/2008 | 05/07/2010 | 30 00 | 2,486 14 | 0 00 | 2,825 05 | (338 91) |
| MONSANTO COMPANY CMN (61166W101) | 09/24/2008 | 05/07/2010 | 23 00 | 1,357 34 | 0 00 | 2,594 47 | (1,237 13) |
| MONSANTO COMPANY CMN (61166W101) | 10/03/2008 | 05/07/2010 | 26 00 | 1,534 38 | 0 00 | 2,304 71 | (770 33) |
| MONSANTO COMPANY CMN (61166W101) | 07/14/2008 | 05/07/2010 | 7 00 | 413 10 | 0 00 | 851 62 | (438 52) |
| STRYKER CORP CMN (863667101) | 07/14/2008 | 05/07/2010 | 36 00 | 1,945 27 | 0 00 | 2,373 84 | (428 57) |
| VARIAN MEDICAL SYSTEMS INC CMN (92220P105) | 09/30/2008 | 05/07/2010 | 64 00 | 3,259 77 | 0 00 | 3,680 19 | (420 42) |
| VISA INC CMN CLASS A (92826C839) | 10/30/2008 | 05/14/2010 | 42 00 | 3,319 08 | 0 00 | 2,260 77 | 1,058 31 |
| VISA INC CMN CLASS A (92826C839) | 02/05/2009 | 05/14/2010 | 40 00 | 3,161 03 | 0 00 | 2,065 85 | 1,095 18 |
| NOVO-NORDISK A/S ADR ADR CMN (670100205) | 09/09/2008 | 05/20/2010 | 4 00 | 302 85 | 0 00 | 209 70 | 93 14 |
| NOVO-NORDISK A/S ADR ADR CMN (670100205) | 10/14/2008 | 05/20/2010 | 44 00 | 3,331 30 | 0 00 | 2,194 46 | 1,136 83 |
| NOVO-NORDISK A/S ADR ADR CMN (670100205) | 10/14/2008 | 06/01/2010 | 30 00 | 2,295 65 | 0 00 | 1,496 23 | 799 43 |
| NOVO-NORDISK A/S ADR ADR CMN (670100205) | 02/27/2009 | 06/01/2010 | 37 00 | 2,831 31 | 0 00 | 1,796 26 | 1,035 05 |
| SEI INVESTMENTS CO CMN (784117103) | 07/14/2008 | 06/04/2010 | 85 00 | 1,789 51 | 0 00 | 1,939 71 | (150 20) |
| CHARLES SCHWAB CORPORATION CMN (808513105) | 10/13/2008 | 06/07/2010 | 19 00 | 308 17 | 0 00 | 406 24 | (98 07) |
| CHARLES SCHWAB CORPORATION CMN (808513105) | 10/14/2008 | 06/07/2010 | 192 00 | 3,114 09 | 0 00 | 3,903 67 | (789 58) |
| CHARLES SCHWAB CORPORATION CMN (808513105) | 11/04/2008 | 06/07/2010 | 51 00 | 827 18 | 0 00 | 1,030 52 | (203 34) |
| CHARLES SCHWAB CORPORATION CMN (808513105) | 11/04/2008 | 06/15/2010 | 47 00 | 745 40 | 0 00 | 949 70 | (204 30) |
| CHARLES SCHWAB CORPORATION CMN (808513105) | 03/26/2009 | 06/15/2010 | 139 00 | 2,204 49 | 0 00 | 2,130 08 | 74 41 |
| NORTHERN TRUST CORP CMN (665859104) | 10/14/2008 | 06/24/2010 | 29 00 | 1,387 58 | 0 00 | 1,791 16 | (403 59) |
| NORTHERN TRUST CORP CMN (665859104) | 04/28/2009 | 06/24/2010 | 43 00 | 2,057 44 | 0.00 | 2,241 70 | (184 26) |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you The information in this statement is not yet determined pursuant to such new legislation

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| BARD C R INC N J CMN (067383109) | 08/01/2008 | 06/28/2010 | 49 00 | 3,887 75 | 0 00 | 4,614 24 | (726 50) |
| BARD C R INC N J CMN (067383109) | 08/21/2008 | 06/28/2010 | 13 00 | 1,031 44 | 0 00 | 1,210 38 | (178 94) |
| BARD C R INC N J CMN (067383109) | 08/21/2008 | 07/02/2010 | 29 00 | 2,224 07 | 0 00 | 2,700 08 | (476 01) |
| BARD C R INC N J CMN (067383109) | 10/21/2008 | 07/02/2010 | 23 00 | 1,763 92 | 0 00 | 1,872 61 | (108 69) |
| STRYKER CORP CMN (863667101) | 07/14/2008 | 07/22/2010 | 137 00 | 6,418 49 | 0 00 | 9,033 78 | (2,615 29) |
| SEI INVESTMENTS CO CMN (784117103) | 07/14/2008 | 07/26/2010 | 124 00 | 2,484 29 | 0 00 | 2,829 69 | (345 40) |
| SEI INVESTMENTS CO CMN (784117103) | 07/14/2008 | 07/27/2010 | 93.00 | 1,858 27 | 0 00 | 2,122 27 | (264 00) |
| SEI INVESTMENTS CO CMN (784117103) | 07/14/2008 | 07/28/2010 | 118 00 | 2,333 08 | 0 00 | 2,692 77 | (359 69) |
| SEI INVESTMENTS CO CMN (784117103) | 07/14/2008 | 07/30/2010 | 4 00 | 77 12 | 0 00 | 91 28 | (14 16) |
| COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102) | 05/15/2009 | 08/24/2010 | 48 00 | 2,797 59 | 0 00 | 1,235 33 | 1,562 26 |
| GEN-PROBE INCORPORATED CMN (36866T103) | 07/14/2008 | 09/13/2010 | 21 00 | 987 77 | 0 00 | 1,065 90 | (78 13) |
| GEN-PROBE INCORPORATED CMN (36866T103) | 07/14/2008 | 09/15/2010 | 55 00 | 2,638 32 | 0 00 | 2,791 65 | (153 33) |
| GEN-PROBE INCORPORATED CMN (36866T103) | 07/14/2008 | 09/23/2010 | 28 00 | 1,333 66 | 0 00 | 1,421 20 | (87 54) |
| GEN-PROBE INCORPORATED CMN (36866T103) | 07/14/2008 | 09/27/2010 | 33 00 | 1,568 90 | 0 00 | 1,674 99 | (106 09) |
| GEN-PROBE INCORPORATED CMN (36866T103) | 07/14/2008 | 10/05/2010 | 36 00 | 1,752 05 | 0 00 | 1,827 26 | (75 21) |
| GEN-PROBE INCORPORATED CMN (36866T103) | 07/14/2008 | 10/06/2010 | 43 00 | 2,083 48 | 0 00 | 2,182 56 | (99 08) |
| GEN-PROBE INCORPORATED CMN (36866T103) | 07/14/2008 | 10/15/2010 | 42 00 | 2,001 78 | 0.00 | 2,131 80 | (130 03) |
| STRYKER CORP CMN (863667101) | 07/14/2008 | 10/29/2010 | 113 00 | 5,587 43 | 0 00 | 7,451 22 | (1,863 79) |
| STRYKER CORP CMN (863667101) | 10/15/2009 | 10/29/2010 | 26 00 | 1,285 60 | 0 00 | 1,194 48 | 91 12 |
| COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A (192446102) | 05/15/2009 | 11/03/2010 | 53 00 | 3,399 51 | 0 00 | 1,364 01 | 2,035 50 |
| CISCO SYSTEMS, INC CMN (17275R102) | 07/14/2008 | 11/16/2010 | 285 00 | 5,561 37 | 0 00 | 6,264 30 | (702 94) |
| CISCO SYSTEMS, INC CMN (17275R102) | 07/21/2008 | 11/16/2010 | 31 00 | 604 92 | 0 00 | 687 27 | (82 35) |
| CISCO SYSTEMS, INC CMN (17275R102) | 03/26/2009 | 11/16/2010 | 133 00 | 2,595 30 | 0.00 | 2,248 21 | 347 09 |
| CISCO SYSTEMS, INC CMN (17275R102) | 08/13/2009 | 11/16/2010 | 162 00 | 3,161 20 | 0 00 | 3,490 31 | (329 11) |
| CISCO SYSTEMS, INC CMN (17275R102) | 10/15/2009 | 11/16/2010 | 85 00 | 1,658 65 | 0 00 | 2,058 70 | (400 05) |
| NETAPP, INC CMN (64110D104) | 10/08/2009 | 11/24/2010 | 66 00 | 3,377 99 | 0 00 | 1,810 92 | 1,567 07 |
| VARIAN MEDICAL SYSTEMS INC CMN (92220P105) | 09/30/2008 | 12/07/2010 | 46.00 | 3,118 07 | 0 00 | 2,645 13 | 472 94 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| VARIAN MEDICAL SYSTEMS INC CMN (92220P105) | 10/14/2008 | 12/09/2010 | 45 00 | 3,010 72 | 0 00 | 1,975 02 | 1,035 70 |
| TARGET CORPORATION CMN (87612E106) | 12/15/2009 | 12/21/2010 | 53 00 | 3,162 28 | 0 00 | 2,527 43 | 634 85 |
| VARIAN MEDICAL SYSTEMS INC CMN (92220P105) | 10/14/2008 | 12/21/2010 | 11 00 | 773 41 | 0 00 | 482 78 | 290 63 |
| VARIAN MEDICAL SYSTEMS INC CMN (92220P105) | 10/24/2008 | 12/21/2010 | 31 00 | 2,179 60 | 0 00 | 1,289 56 | 890 04 |
| **NET LONG TERM GAINS (LOSSES)** | | | | **216,913.80** | **0.00** | **235,874.51** | **(18,960.77)** |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

| Tax Year | Account No | Legal Name |
|---|---|---|
| **2010** | **030-31033-8** | **THE TIOGA FOUNDATION** |

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| | | | | | |
|---|---|---|---|---|---|
| Net Short Term Gains (Losses) | 9,604 58 | Net Long Term Gains (Losses) | (1,548 14) | Net Ordinary Gains (Losses) | 0 00 |
| Net Miscellaneous Short Term Gains (Losses) | 0 00 | Net Miscellaneous Long Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long Term Gains (Losses) | 0 00 | | |
| **Total Short Term Gains (Losses)** | **9,604.58** | **Total Long Term Gains (Losses)** | **(1,548.14)** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| ORACLE CORPORATION CMN (68389X105) | 04/21/2009 | 01/15/2010 | 69 00 | 1,736 94 | 0 00 | 1,343 24 | 393 70 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 02/27/2009 | 02/05/2010 | 135 00 | 3,500 23 | 0 00 | 1,863 73 | 1,636 50 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 03/12/2009 | 02/05/2010 | 115 00 | 2,981 68 | 0 00 | 1,742 61 | 1,239 07 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 10/15/2009 | 02/05/2010 | 21 00 | 544 48 | 0 00 | 585 06 | (40 58) |
| VISA INC CMN CLASS A (92826C839) | 04/24/2009 | 02/09/2010 | 46 00 | 3,831 89 | 0 00 | 2,762 49 | 1,069 40 |
| VISA INC CMN CLASS A (92826C839) | 10/15/2009 | 02/09/2010 | 7 00 | 583 11 | 0 00 | 521 78 | 61 33 |
| SUNCOR ENERGY INC CMN (867224107) | 10/15/2009 | 02/24/2010 | 14 00 | 409 74 | 0 00 | 549 08 | (139 34) |
| PEOPLES UNITED FINANCIAL INC CMN (712704105) | 08/05/2009 | 04/07/2010 | 219.00 | 3,476 93 | 0 00 | 3,715 86 | (238 93) |
| PEOPLES UNITED FINANCIAL INC CMN (712704105) | 08/06/2009 | 04/07/2010 | 48 00 | 762 07 | 0 00 | 809 74 | (47 67) |
| PEOPLES UNITED FINANCIAL INC CMN (712704105) | 08/11/2009 | 04/07/2010 | 92 00 | 1,460 63 | 0 00 | 1,548 83 | (88 20) |
| PEOPLES UNITED FINANCIAL INC CMN (712704105) | 01/19/2010 | 04/07/2010 | 41 00 | 650 93 | 0 00 | 685 93 | (35 00) |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 10/15/2009 | 05/04/2010 | 31.00 | 550 33 | 0 00 | 615 04 | (64 72) |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 01/19/2010 | 05/04/2010 | 28 00 | 497 07 | 0 00 | 545 22 | (48 15) |
| EXPRESS SCRIPTS COMMON CMN (302182100) | 06/09/2009 | 05/13/2010 | 10 00 | 1,040 42 | 0 00 | 638 80 | 401 62 |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 10/05/2009 | 06/02/2010 | 8 00 | 645 08 | 0 00 | 604 17 | 40 91 |
| BROADCOM CORP CL-A CMN CLASS A (111320107) | 06/09/2009 | 06/04/2010 | 138 00 | 4,650 01 | 0 00 | 3,777 43 | 872 58 |
| BROADCOM CORP CL-A CMN CLASS A (111320107) | 07/24/2009 | 06/21/2010 | 21 00 | 745 18 | 0 00 | 553 64 | 191 54 |
| BIOGEN IDEC INC CMN (09062X103) | 12/31/2009 | 07/06/2010 | 42 00 | 2,055 13 | 0 00 | 2,250 22 | (195 09) |
| EXPRESS SCRIPTS COMMON CMN (302182100) | 07/29/2009 | 07/26/2010 | 94 00 | 4,046 26 | 0 00 | 3,358 99 | 687 27 |
| EXPRESS SCRIPTS COMMON CMN (302182100) | 08/21/2009 | 07/26/2010 | 70 00 | 3,013 17 | 0 00 | 2,472 72 | 540 45 |
| EXPRESS SCRIPTS COMMON CMN (302182100) | 10/15/2009 | 07/26/2010 | 18 00 | 774 82 | 0 00 | 739 80 | 35 02 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you The information in this statement is not yet determined pursuant to such new legislation

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| TARGET CORPORATION CMN (87612E106) | 10/15/2009 | 08/02/2010 | 13 00 | 671 49 | 0 00 | 656 50 | 14 99 |
| ALTERA CORP CMN (021441100) | 03/01/2010 | 09/30/2010 | 303 00 | 9,200 85 | 0 00 | 7,535 43 | 1,665 42 |
| AVON PRODUCTS INC CMN (054303102) | 05/07/2010 | 10/12/2010 | 55.00 | 1,872 20 | 0 00 | 1,575 67 | 296 53 |
| HALLIBURTON COMPANY CMN (406216101) | 12/21/2009 | 11/04/2010 | 91.00 | 2,952 34 | 0 00 | 2,761 48 | 190 86 |
| BIOGEN IDEC INC CMN (09062X103) | 12/31/2009 | 11/05/2010 | 97 00 | 6,016 50 | 0 00 | 5,196 93 | 819 57 |
| BIOGEN IDEC INC CMN (09062X103) | 01/04/2010 | 11/05/2010 | 42 00 | 2,605 08 | 0 00 | 2,259 58 | 345 50 |
| **NET SHORT TERM GAINS (LOSSES)** | | | | **61,274.56** | **0.00** | **51,669.97** | **9,604.58** |

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| QUALCOMM INC CMN (747525103) | 07/14/2008 | 01/04/2010 | 86 00 | 4,058 01 | 0 00 | 4,127 19 | (69 18) |
| VISA INC CMN CLASS A (92826C839) | 07/14/2008 | 01/04/2010 | 33 00 | 2,919 49 | 0 00 | 2,416 59 | 502 90 |
| CME GROUP INC CMN CLASS A (12572Q105) | 07/14/2008 | 01/07/2010 | 8 00 | 2,728 21 | 0 00 | 2,424 64 | 303 57 |
| TARGET CORPORATION CMN (87612E106) | 07/14/2008 | 01/07/2010 | 83 00 | 4,164 55 | 0 00 | 3,644 53 | 520 02 |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 07/14/2008 | 01/07/2010 | 43 00 | 2,469 83 | 0 00 | 1,787 94 | 681 89 |
| SCHLUMBERGER LTD CMN (806857108) | 07/14/2008 | 01/15/2010 | 25 00 | 1,770 13 | 0 00 | 2,545 25 | (775 12) |
| SUNCOR ENERGY INC CMN (867224107) | 07/14/2008 | 01/15/2010 | 84 00 | 3,021 82 | 0 00 | 5,099 64 | (2,077 82) |
| EQUINIX INC CMN (29444U502) | 07/25/2008 | 01/19/2010 | 27 00 | 2,755 58 | 0.00 | 2,546 49 | 209 09 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 01/16/2009 | 02/05/2010 | 15 00 | 388 91 | 0 00 | 265 37 | 123 54 |
| VISA INC CMN CLASS A (92826C839) | 07/14/2008 | 02/05/2010 | 52 00 | 4,265 55 | 0 00 | 3,807 96 | 457 59 |
| VISA INC CMN CLASS A (92826C839) | 07/14/2008 | 02/09/2010 | 5 00 | 416 51 | 0 00 | 366 15 | 50 36 |
| SUNCOR ENERGY INC CMN (867224107) | 07/14/2008 | 02/24/2010 | 202 00 | 5,912 03 | 0 00 | 12,263 42 | (6,351 39) |
| CB RICHARD ELLIS GROUP, INC CMN CLASS A (12497T101) | 09/19/2008 | 03/25/2010 | 169 00 | 2,431 00 | 0 00 | 2,492 65 | (61 65) |
| CB RICHARD ELLIS GROUP, INC CMN CLASS A (12497T101) | 09/19/2008 | 04/07/2010 | 112 00 | 1,822 04 | 0 00 | 1,651 94 | 170 10 |
| JOHNSON & JOHNSON CMN (478160104) | 10/02/2008 | 04/12/2010 | 12 00 | 780 08 | 0 00 | 814 20 | (34 12) |
| LOWES COMPANIES INC CMN (548661107) | 07/14/2008 | 04/12/2010 | 32 00 | 813 54 | 0 00 | 609 28 | 204 26 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| MICROSOFT CORPORATION CMN (594918104) | 07/14/2008 | 04/12/2010 | 81 00 | 2,457 85 | 0 00 | 2,041 20 | 416 65 |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 07/14/2008 | 04/12/2010 | 178 00 | 3,108 40 | 0 00 | 4,270 38 | (1,161 98) |
| CHARLES SCHWAB CORPORATION CMN (808513105) | 07/14/2008 | 04/14/2010 | 86 00 | 1,663 50 | 0.00 | 1,642 60 | 20 90 |
| PROCTER & GAMBLE COMPANY (THE) CMN (742718109) | 02/11/2009 | 04/14/2010 | 24 00 | 1,509 93 | 0 00 | 1,233 26 | 276 68 |
| ST JUDE MEDICAL INC CMN (790849103) | 12/22/2008 | 04/14/2010 | 26 00 | 1,097 97 | 0 00 | 839 34 | 258.63 |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 07/14/2008 | 05/03/2010 | 220.00 | 4,006 36 | 0 00 | 5,278 00 | (1,271 64) |
| WESTERN UNION COMPANY (THE) CMN (959802109) | 07/14/2008 | 05/04/2010 | 172 00 | 3,053 42 | 0 00 | 4,126 43 | (1,073 02) |
| TARGET CORPORATION CMN (87612E106) | 07/14/2008 | 06/02/2010 | 16 00 | 859 69 | 0 00 | 702 56 | 157 13 |
| BROADCOM CORP CL-A CMN CLASS A (111320107) | 06/09/2009 | 06/21/2010 | 80 00 | 2,838 76 | 0 00 | 2,189 82 | 648 95 |
| PROCTER & GAMBLE COMPANY (THE) CMN (742718109) | 02/11/2009 | 06/22/2010 | 53 00 | 3,242 74 | 0 00 | 2,723 44 | 519 30 |
| ORACLE CORPORATION CMN (68389X105) | 04/21/2009 | 06/28/2010 | 121.00 | 2,727 94 | 0 00 | 2,355 54 | 372 40 |
| THERMO FISHER SCIENTIFIC INC CMN (883556102) | 10/13/2008 | 06/28/2010 | 36.00 | 1,844 69 | 0 00 | 1,623 99 | 220 70 |
| THERMO FISHER SCIENTIFIC INC CMN (883556102) | 05/04/2009 | 06/28/2010 | 30 00 | 1,537 24 | 0 00 | 1,114 36 | 422 88 |
| APPLE, INC CMN (037833100) | 01/15/2009 | 07/21/2010 | 20 00 | 5,180 20 | 0 00 | 1,621 39 | 3,558 81 |
| BROADCOM CORP CL-A CMN CLASS A (111320107) | 07/24/2009 | 08/02/2010 | 39 00 | 1,421 23 | 0 00 | 1,028 18 | 393 05 |
| TARGET CORPORATION CMN (87612E106) | 08/21/2008 | 08/02/2010 | 61 00 | 3,150 82 | 0 00 | 3,065 41 | 85 41 |
| TARGET CORPORATION CMN (87612E106) | 07/14/2008 | 08/02/2010 | 103 00 | 5,320 24 | 0 00 | 4,522 73 | 797 51 |
| PROCTER & GAMBLE COMPANY (THE) CMN (742718109) | 02/11/2009 | 08/04/2010 | 19 00 | 1,143 31 | 0 00 | 976 33 | 166 99 |
| PROCTER & GAMBLE COMPANY (THE) CMN (742718109) | 02/24/2009 | 08/04/2010 | 18 00 | 1,083 14 | 0 00 | 888 93 | 194 21 |
| MICROSOFT CORPORATION CMN (594918104) | 07/14/2008 | 09/03/2010 | 161 00 | 3,909 26 | 0 00 | 4,057 20 | (147 94) |
| AMERICAN TOWER CORPORATION CMN CLASS A (029912201) | 07/14/2008 | 10/04/2010 | 62 00 | 3,159 54 | 0 00 | 2,480 86 | 678 68 |
| EQUINIX INC CMN (29444U502) | 07/25/2008 | 10/06/2010 | 32 00 | 2,250 46 | 0 00 | 3,018 06 | (767 60) |
| CB RICHARD ELLIS GROUP, INC CMN CLASS A (12497T101) | 09/19/2008 | 10/14/2010 | 145 00 | 2,810 57 | 0 00 | 2,138 67 | 671 90 |
| BAXTER INTERNATIONAL INC CMN (071813109) | 07/14/2008 | 10/15/2010 | 65 00 | 3,193 21 | 0 00 | 4,222 09 | (1,028 88) |
| JOHNSON & JOHNSON CMN (478160104) | 10/02/2008 | 12/10/2010 | 63 00 | 3,921 73 | 0 00 | 4,274 53 | (352 80) |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 10/05/2009 | 12/10/2010 | 33 00 | 3,062 23 | 0 00 | 2,492 21 | 570 02 |
| HALLIBURTON COMPANY CMN (406216101) | 12/21/2009 | 12/29/2010 | 30 00 | 1,204 36 | 0.00 | 910 38 | 293 98 |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you The information in this statement is not yet determined pursuant to such new legislation

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 foı tax reporting purposes*

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| SCHLUMBERGER LTD CMN (806857108) | 07/14/2008 | 12/29/2010 | 17 00 | 1,407 67 | 0 00 | 1,730 77 | (323 10) |
| **NET LONG TERM GAINS (LOSSES)** | | | | **112,883.74** | **0.00** | **114,431.90** | **(1,548.14)** |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## REALIZED GAIN AND LOSS SUMMARY

| | | | | | |
|---|---|---|---|---|---|
| Net Short Term Gains (Losses) | 16,126 43 | Net Long Term Gains (Losses) | 26,688 81 | Net Ordinary Gains (Losses) | 0 00 |
| Net Miscellaneous Short Term Gains (Losses) | 0 00 | Net Miscellaneous Long Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long Term Gains (Losses) | 0 00 | | |
| **Total Short Term Gains (Losses)** | **16,126.43** | **Total Long Term Gains (Losses)** | **26,688.81** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| EKSPORTFINANS ASA LNK TO AUD,SEK,USD VS JPY,CHF 0% COUPON DUE 12/2/2010 STRUCTURED NOTE (28264QR22) | 09/01/2009 | 02/04/2010 | 65,000 00 | 63,051 95 | 0 00 | 65,215 24 | (2,163 29) |
| TECHNOLOGY SELECT INDEX 'SPDR' (81369Y803) | 02/09/2010 | 03/17/2010 | 1,900 00 | 43,544 97 | 0 00 | 40,462 97 | 3,082 00 |
| TECHNOLOGY SELECT INDEX 'SPDR' (81369Y803) | 02/09/2010 | 04/14/2010 | 1,900 00 | 45,219 23 | 0 00 | 40,462 97 | 4,756 26 |
| GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I (38141W679) | 06/30/2009 | 05/21/2010 | 17 13 | 117 88 | 0 00 | 103 14 | 14 74 |
| GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I (38141W679) | 05/29/2009 | 05/21/2010 | 219 06 | 1,507 16 | 0 00 | 1,296 84 | 210 32 |
| GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I (38141W679) | 07/31/2009 | 05/21/2010 | 233 10 | 1,603 76 | 0 00 | 1,482 54 | 121 22 |
| GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I (38141W679) | 08/31/2009 | 05/21/2010 | 232.28 | 1,598 09 | 0 00 | 1,495 89 | 102 20 |
| GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I (38141W679) | 09/30/2009 | 05/21/2010 | 65 75 | 452 38 | 0 00 | 443 83 | 8 55 |
| EKSPORTFINANS ASA LNK TO KRW VS EUR 0% COUPON DUE 02/28/2011 STRUCTURED NOTE (28264QS21) | 01/14/2010 | 05/26/2010 | 26,000 00 | 27,027 00 | 0 00 | 26,000 00 | 1,027 00 |
| EKSPORTFINANS ASA LNK TO KRW VS JPY 0% COUPON DUE 02/28/2011 STRUCTURED NOTE (28264QR89) | 01/14/2010 | 05/26/2010 | 26,000 00 | 22,425 00 | 0 00 | 26,000 00 | (3,575 00) |
| EKSPORTFINANS ASA LNK TO KRW/USD 0% COUPON DUE 02/28/2011 STRUCTURED NOTE (28264QR97) | 01/14/2010 | 05/26/2010 | 26,000 00 | 22,880 00 | 0 00 | 26,049 98 | (3,169 98) |
| EKSPORTFINANS ASA LNK TO BEARISH EUR VS USD 0% COUPON DUE SEPTEMBER 2011 STRUCTURED NOTE (28264QS47) | 02/05/2010 | 06/15/2010 | 63,000 00 | 67,567 50 | 0 00 | 63,000 00 | 4,567 50 |
| BNP PARIBAS LNKD TO AUG 2010 NG FUTURES 0% COUPON DUE AUG 10, 2010 STRUCTURED NOTE (05567LJ65) | 04/28/2010 | 07/21/2010 | 43,000 00 | 42,613 00 | 0 00 | 43,000 00 | (387 00) |
| BNP PARIBAS LNKD TO BSKT OF TELECOM STOCKS 0% COUPON DUE 05/04/2011 STRUCTURED NOTE (05565A582) | 03/09/2010 | 10/08/2010 | 85 00 | 89,217 70 | 0 00 | 80,580 00 | 8,637 70 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

| Tax Year | Account No | Legal Name |
|---|---|---|
| **2010** | **030-31771-3** | **THE TIOGA FOUNDATION** |

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| GS LOCAL EMERGING MKTS DEBT FD MUTUAL FUND - CL A (38145N105) | 04/20/2010 | 10/21/2010 | 4,990 02 | 50,000 00 | 0 00 | 47,105 79 | 2,894 21 |
| **NET SHORT TERM GAINS (LOSSES)** | | | | **478,825.62** | **0.00** | **462,699.19** | **16,126.43** |

## LONG TERM

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total Accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| EKSPORTFINANS ASA LINKED TO S&P HOMEBLDG SELECT 0% COUPON DUE 09/21/2010 STRUCTURED NOTE (282645563) | 08/04/2008 | 04/14/2010 | 40 00 | 43,579 20 | 0 00 | 40,000 00 | 3,579 20 |
| EKSPORTFINANS ASA LINKED TO S&P HOMEBLDG SELECT 0% COUPON DUE 09/21/2010 STRUCTURED NOTE (282645563) | 08/04/2008 | 04/23/2010 | 40 00 | 46,305 60 | 0 00 | 40,000 00 | 6,305 60 |
| GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I (38141W679) | 05/01/2009 | 05/21/2010 | 13,767 55 | 94,720 74 | 0 00 | 77,916 73 | 16,804 01 |
| **NET LONG TERM GAINS (LOSSES)** | | | | **184,605.54** | **0.00** | **157,916.73** | **26,688.81** |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

Form **8868**
(Rev January 2011)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . . . . . . . . . . . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing *(e-file)*.** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

| | | |
|---|---|---|
| **Type or print**<br>File by the due date for filing your return See instructions | Name of exempt organization<br>THE TIOGA FOUNDATION | **Employer identification number**<br>22-2809250 |
| | Number, street, and room or suite no If a P.O. box, see instructions<br>2450 WHEATSHEAF LANE | |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions<br>PHILADELPHIA, PA 19137 | |

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . . . . . . . . 0 4

| **Application Is For** | **Return Code** | **Application Is For** | **Return Code** |
|---|---|---|---|
| Form 990 | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 990-EZ | 03 | Form 4720 | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ DAVID KEISER

Telephone No. ▶ 215 831-0700 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ ☐ . If it is for part of the group, check this box . . . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 , 20 11 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☒ calendar year 20 10 or

▶ ☐ tax year beginning ______, 20___, and ending ______, 20___.

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | | |
|---|---|---|---|
| **3a** | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | **3a** | $ 8,305. |
| **b** | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit. | **3b** | $ 1,305. |
| **c** | **Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions | **3c** | $ 7,000. |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**For Paperwork Reduction Act Notice, see Instructions.** Form **8868** (Rev 1-2011)

JSA
0F8054 4 000

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . . . . . ▶ ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

## Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

| | | |
|---|---|---|
| **Type or print**<br>File by the extended due date for filing your return See instructions | Name of exempt organization<br>THE TIOGA FOUNDATION | **Employer identification number**<br>22-2809250 |
| | Number, street, and room or suite no If a P O box, see instructions<br>2450 WHEATSHEAF LANE | |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions<br>PHILADELPHIA, PA 19137 | |

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . . . . . . . . 0 4

| **Application Is For** | **Return Code** | **Application Is For** | **Return Code** |
|---|---|---|---|
| Form 990 | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 990-EZ | 03 | Form 4720 | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ DAVID KEISER
  Telephone No. ▶ 215 831-0700 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . . . . ▶ ☐ . If it is for part of the group, check this box . . . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15 , 20 11 .

5 For calendar year 2010 , or other tax year beginning ______ , 20 ___ , and ending ______ , 20 ___ .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension ADDITIONAL TIME IS NEEDED IN ORDER TO GATHER THE INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN.

| | | | |
|---|---|---|---|
| **8a** | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | **8a** | $ 8,305. |
| **b** | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | **8b** | $ 8,305. |
| **c** | **Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | **8c** | $ 0. |

## Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Date ▶