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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation THE NEW ENGLAND FOUNDATION C/O JOSEPH MCNAY		A Employer identification number 22-2757391
Number and street (or P.O. box number if mail is not delivered to street address) 125 HIGH STREET	Room/suite	B Telephone number 617-342-3200
City or town, state, and ZIP code BOSTON, MA 02110		C If exemption application is pending, check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 13,687,213. (Part I, column (d) must be on cash basis.)	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	16,164.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	109,360.	109,360.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	5a Net gain or (loss) from sale of assets not on line 10	1,778,962.			
	b Gross sales price for all assets on line 6a	12,904,248.			
	7 Capital gain net income (from Part IV, line 2)		1,778,962.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	1,904,486.	1,888,322.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees	51,938.	44,147.		7,791.
	17 Interest				
	18 Taxes	11,328.	328.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	88,662.	73,967.		14,695.
	24 Total operating and administrative expenses. Add lines 13 through 23	151,928.	118,442.		22,486.
	25 Contributions, gifts, grants paid	1,099,436.			1,099,436.
26 Total expenses and disbursements. Add lines 24 and 25	1,251,364.	118,442.		1,121,922.	
27 Subtract line 26 from line 12	653,122.				
a Excess of revenue over expenses and disbursements		1,769,880.			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)			N/A		

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LHA For Paperwork Reduction Act Notice, see the instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	64,664.	620,400.	620,400.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶	69,664.		
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 5	2,074,025.	1,794,741.	1,907,646.
	b	Investments - corporate stock STMT 6	8,175,364.	8,709,769.	11,159,167.
	c	Investments - corporate bonds			
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers)	10,383,717.	11,124,910.	13,687,213.
	17	Accounts payable and accrued expenses	78,242.	475,625.	
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	78,242.	475,625.	
		Foundations that follow SFAS 117, check here ▶ ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒			
	27	Capital stock, trust principal, or current funds	10,305,475.	10,649,285.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	Total net assets or fund balances	10,305,475.	10,649,285.	
	31	Total liabilities and net assets/fund balances	10,383,717.	11,124,910.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,305,475.
2	Enter amount from Part I, line 27a	2	653,122.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	10,958,597.
5	Decreases not included in line 2 (itemize) ▶ ADJUSTMENT OF PRIOR YEAR BALANCE	5	309,312.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,649,285.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PROPERTY TYPE: FROM ESSEX ALPHA FUND K-1	P	VARIOUS	VARIOUS
b PROPERTY TYPE: SECURITIES - SEE ATTACHED	P	VARIOUS	VARIOUS
c PROPERTY TYPE: SECURITIES - SEE ATTACHED	P	VARIOUS	VARIOUS
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 474,177.			474,177.
b 8,944,056.		8,463,640.	480,416.
c 3,486,015.		2,661,646.	824,369.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			474,177.
b			480,416.
c			824,369.
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,778,962.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	1,054,251.	11,480,318.	.091831
2008	1,388,707.	16,009,267.	.086744
2007	1,581,880.	19,106,545.	.082793
2006	1,586,814.	18,375,137.	.086357
2005	1,506,419.	18,211,566.	.082718

2 Total of line 1, column (d)	2	.430443
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.086089
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	12,312,115.
5 Multiply line 4 by line 3	5	1,059,938.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	17,699.
7 Add lines 5 and 6	7	1,077,637.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	1,121,922.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	17,699.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		3	17,699.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		5	17,699.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-			
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a 12,984.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 12,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	24,984.	
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,285.	
11 Enter the amount of line 10 to be Credited to 2011 estimated tax ☒ 7,285. Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► JOSEPH MCNAY Telephone no ► 617-432-3200 Located at ► 125 HIGH STREET, BOSTON, MA ZIP+4 ► 02110			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOSEPH C. MCNAY C/O ESSEX INV. MGMT. 125 HIGH STREET BOSTON, MA 02110	TRUSTEE 1.00	0.	0.	0.
COLIN MCNAY C/O ESSEX INV. MGMT. 125 HIGH STREET BOSTON, MA 02110	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NO PAID EMPLOYEES	0.00	0.	0.	0.

Total number of other employees paid over \$50,000

0

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3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services

Expenses

Part IX-B	Summary of Program-Related Investments
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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	12,224,027.
b	Average of monthly cash balances	1b	275,582.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	12,499,609.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,499,609.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	187,494.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,312,115.
6	Minimum investment return. Enter 5% of line 5	6	615,606.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	615,606.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	17,699.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	17,699.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	597,907.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	597,907.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	597,907.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,121,922.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,121,922.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	17,699.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,104,223.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				597,907.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005	624,515.			
b From 2006	690,525.			
c From 2007	680,805.			
d From 2008	290,780.			
e From 2009	450,235.			
f Total of lines 3a through e	2,736,860.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 1,121,922.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2010 distributable amount				597,907.
e Remaining amount distributed out of corpus	524,015.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,260,875.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7	624,515.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	2,636,360.			
10 Analysis of line 9				
a Excess from 2006	690,525.			
b Excess from 2007	680,805.			
c Excess from 2008	290,780.			
d Excess from 2009	450,235.			
e Excess from 2010	524,015.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling

- b Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

- c**
- Qualifying distributions from Part XII,
-
- line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities**

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon.

- a "Assets" alternative test - enter
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed**

- c "Support" alternative test - enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

- Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed**

N/A

- b The form in which applications should be submitted and information and materials they should include**

N/A

- c Any submission deadlines**

N/A

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED STATEMENT	N/A	ALL PUBLIC CHARITIES	SEE ATTACHED STATEMENT	1099436.
Total			▶ 3a	1099436.
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0.

Part XVI-A. Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	109,360.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14		
8 Gain or (loss) from sales of assets other than inventory			18	1,778,962.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e)		0.		1,888,322.	0.
13 Total Add line 12, columns (b), (d), and (e)			13	1,888,322.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

- Trustee

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

P00188391

Firm's name ► DELOITTE TAX LLP

Firm's EIN ► 86-1065772

Firm's address ► 200 BERKELEY STREET
BOSTON, MA 02116

Phone no 617-437-2000

Form **990-PF** (2010)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2010

Name of the organization

THE NEW ENGLAND FOUNDATION
C/O JOSEPH MCNAY

Employer identification number

22-2757391

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2010)**

Name of organization
 THE NEW ENGLAND FOUNDATION
 C/O JOSEPH MCNAY

Employer identification number

22-2757391

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	THOMAS EBERT BASS IRREVOCABLE TRUST C/O ESSEX INVESTMENT MGMT CO, LLC 125 HIGH STREET BOSTON, MA 02110	\$ 16,164.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

THE NEW ENGLAND FOUNDATION
C/O JOSEPH MCNAY

Employer identification number

22-2757391

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

THE NEW ENGLAND FOUNDATION
C/O JOSEPH MCNAY

22-2757391

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND AND INTEREST INCOME	109,360.	0.	109,360.
TOTAL TO FM 990-PF, PART I, LN 4	109,360.	0.	109,360.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ESSEX INVESTMENT	51,938.	44,147.		7,791.
TO FORM 990-PF, PG 1, LN 16C	51,938.	44,147.		7,791.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	328.	328.		0.
ESTIMATED PAYMENTS	9,000.	0.		0.
EXTENSION PAYMENT FOR PRIOR YEAR	2,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	11,328.	328.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAL FEES	6,385.	6,385.		0.
ACCOUNTING FEES	14,695.	0.		14,695.
EXPENSES: FROM ESSEX ALPHA FUND K-1	67,582.	67,582.		0.
TO FORM 990-PF, PG 1, LN 23	88,662.	73,967.		14,695.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	5
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US TREASURY BILLS AND NOTES	X		1,794,741.	1,907,646.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,794,741.	1,907,646.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,794,741.	1,907,646.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK	5,345,355.	6,676,737.
ESSEX ALPHA FUND	3,364,414.	4,482,430.
TOTAL TO FORM 990-PF, PART II, LINE 10B	8,709,769.	11,159,167.

THE NEW ENGLAND FOUNDATION**12/31/2010****EIN: 22-2757391****CHARITABLE CONTRIBUTIONS**

NAME OF CHARITY	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
Adolescent Consultation Services	None	501(c)(3)	General Welfare	1,000
Advanced Medical Research Foundation	None	501(c)(3)	Medical	2,500
Boston Conservatory	None	501(c)(3)	Education	500
Boston Symphony Orchaestra	None	501(c)(3)	Culture	48,250
Boston Ballet	None	501(c)(3)	Culture	29,986
Boston Minstrel Company	None	501(c)(3)	Religious	3,000
Brigham & Womens Hospital	None	501(c)(3)	Medical	25,000
Central Park Conservatory	None	501(c)(3)	General Welfare	31,000
Charlotte County Day School	None	501(c)(3)	Education	2,500
Church of the Redeemer	None	501(c)(3)	Religious	10,000
C I S A	None	501(c)(3)	General Welfare	50,000
Dana Farber Cancer Institute	None	501(c)(3)	Medical	27,000
Dartmouth College	None	501(c)(3)	Education	150,000
Friends of Dartmouth Skiing	None	501(c)(3)	Sports	1,000
Gilder Lehrman Institute of American History	None	501(c)(3)	Education	5,000
International Tennis Hall of Fame	None	501(c)(3)	Sports	2,000
MA General Hospital	None	501(c)(3)	Medical	15,000
Max Warburg	None	501(c)(3)	Education	1,000
MIT Museum	None	501(c)(3)	Education	10,000
Museum of Fine Arts	None	501(c)(3)	Culture	27,000
National Public Radio	None	501(c)(3)	Communications	25,000
New York Historical Society	None	501(c)(3)	Education	56,000
Orchestra of St Luke's	None	501(c)(3)	Culture	1,000
Planned Parenthood	None	501(c)(3)	Education	1,000
Roxbury Latin	None	501(c)(3)	Education	100,000
Susan G Komen for the Cure-Mass Affiliate	None	501(c)(3)	Medical	1,000
Tenacity	None	501(c)(3)	General Welfare	50,000
Town of Marion MA	None	501(c)(3)	General Welfare	1,000
Toys for Joy	None	501(c)(3)	General Welfare	500
Trinity Boston Foundation	None	501(c)(3)	General Welfare	1,000
Ucross Foundation	None	501(c)(3)	General Welfare	2,500
US Sailing	None	501(c)(3)	Culture	12,500
USTA Services	None	501(c)(3)	General Welfare	3,200
Yale Alumni Fund	None	501(c)(3)	Education	3,000
Yale University	None	501(c)(3)	Education	300,000
Yale University - School of Management	None	501(c)(3)	Education	100,000
TOTAL				1,099,436

2010 Tax Information Statement

Page 7 of 40

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number 4741968
Recipient's Tax ID Number: 22-2757391
Payer's Federal ID Number: 20-8007203
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
THE NEW ENGLAND FOUNDATION
C/O JESSICA WOODLAND
ESSEX INVESTMENT MANAGEMENT CO LLC
125 HIGH SREET
BOSTON, MA 02110-2702

2010 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
852 0000	004764106	ACME PACKET INC	05/21/2010	08/23/2010	27,942.99	21,402.07	6,540.92	0.00
2736 0000	004764106	ACME PACKET INC	05/21/2010	09/30/2010	107,270.17	68,727.77	38,542.40	0.00
10592.0000	007873100	ADVANCED ENERGY INDUSTRIES INC	VARIOUS	09/22/2010	145,371.67	133,293.48	12,078.19	0.00
1038 0000	00766T100	AECOM TECHNOLOGY CORP	11/18/2009	05/13/2010	29,274.84	28,984.39	290.45	0.00
1328 0000	00766T100	AECOM TECHNOLOGY CORP	VARIOUS	05/14/2010	35,587.27	37,008.59	-1,421.32	0.00
1299.0000	00766T100	AECOM TECHNOLOGY CORP	12/15/2009	05/17/2010	34,577.36	34,824.90	-247.54	0.00
29 0000	008474108	AGNICO EAGLE MINES LTD	10/06/2010	11/10/2010	2,355.05	2,137.18	217.87	0.00
2035 0000	009606104	AIXTRON AG-SPONSORED ADR	VARIOUS	05/03/2010	67,664.23	29,508.98	38,155.25	0.00
1547 0000	00971T101	AKAMAI TECHNOLOGIES INC	05/18/2010	10/06/2010	69,066.35	63,236.56	5,829.79	0.00
1449 0000	01741R102	ALLEGHENY TECHNOLOGIES INC NEW	VARIOUS	07/29/2010	68,194.15	64,687.50	3,506.65	0.00
33 0000	018490102	ALLERGAN INC COM	10/06/2010	11/10/2010	2,295.77	2,205.52	90.25	0.00
729 0000	023135106	AMAZON COM INC COM	VARIOUS	07/15/2010	87,749.84	94,949.42	-7,199.58	0.00
2826 0000	03076C106	AMERIPRISE FINANCIAL INC	VARIOUS	10/20/2010	145,026.45	111,432.07	33,594.38	0.00
38 0000	032095101	AMPHENOL CORP-A	10/20/2010	11/10/2010	1,990.97	1,828.47	162.50	0.00
382 0000	032095101	AMPHENOL CORP-A	10/20/2010	12/08/2010	19,999.00	18,380.96	1,618.04	0.00
3313 0000	032346108	AMYLIN PHARMACEUTICALS INC	03/05/2010	06/15/2010	57,302.99	64,832.43	-7,529.44	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2010 Tax Information Statement

Page 8 of 40

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: 4741968
Recipient's Tax ID Number: 22-2757391
Payer's Federal ID Number: 20-8007203
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
THE NEW ENGLAND FOUNDATION
C/O JESSICA WOODLAND
ESSEX INVESTMENT MANAGEMENT CO LLC
125 HIGH STREET
BOSTON, MA 02110-2702

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
308.0000	032511107	ANADARKO PETROLEUM CORP COM	05/12/2009	04/30/2010	19,804.65	13,956.16	5,848.49	0.00
13288.0000	032515108	ANADIGICS INC	09/15/2010	10/06/2010	75,806.74	65,279.96	10,526.78	0.00
3241.0000	038666102	ARCSIGHT INC	08/14/2009	06/02/2010	58,135.10	61,638.32	-3,503.22	0.00
3452.0000	042068106	ARM HOLDINGS PLC-SPONS ADR	10/06/2010	11/02/2010	59,296.07	64,161.98	-4,865.91	0.00
126.0000	049513104	ATMEL CORP	10/06/2010	11/10/2010	1,272.57	1,050.79	221.78	0.00
3699.0000	Y0486S104	AVAGO TECHNOLOGIES LTD	08/14/2009	03/12/2010	72,018.61	61,196.63	10,821.98	0.00
5000	05615F102	BABCOCK & WILCOX CO	05/13/2010	08/05/2010	11.81	13.26	-1.45	0.00
1814.0000	05615F102	BABCOCK & WILCOX CO	05/13/2010	08/06/2010	43,898.59	48,108.79	-4,210.20	0.00
159.0000	056752108	BAIDU INC ADR	01/06/2010	01/19/2010	68,411.55	66,596.02	1,815.53	0.00
24.0000	056752108	BAIDU INC ADR	10/06/2010	11/10/2010	2,677.63	2,390.89	286.74	0.00
38.0000	067901108	BARRICK GOLD CORP COM	10/01/2010	11/10/2010	1,965.32	1,777.40	187.92	0.00
465.0000	071813109	BAXTER INTL INC COM	06/12/2009	04/26/2010	22,158.17	23,275.81	-1,117.64	0.00
208.0000	073302101	BE AEROSPACE INC	01/26/2010	11/10/2010	7,392.19	4,819.94	2,572.25	0.00
2571.0000	073302101	BE AEROSPACE INC	01/26/2010	12/20/2010	94,517.87	59,577.27	34,940.60	0.00
913.0000	111320107	BROADCOM CORP COM	03/16/2010	07/23/2010	34,309.95	30,586.41	3,723.54	0.00
1569.0000	111320107	BROADCOM CORP COM	03/16/2010	08/06/2010	57,305.19	52,563.07	4,742.12	0.00
1429.0000	140781105	CARBO CERAMICS INC	VARIOUS	09/22/2010	115,806.63	90,431.58	25,375.05	0.00
23.0000	140781105	CARBO CERAMICS INC	10/20/2010	11/10/2010	2,066.74	1,912.94	153.80	0.00
257.0000	124977101	CB RICHARD ELLIS GROUP INC-A	07/30/2010	11/10/2010	5,177.46	4,363.35	814.11	0.00

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2010 Tax Information Statement

Account Number: 4741968
 Recipient's Tax ID Number: 22-2757391
 Payer's Federal ID Number: 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 THE NEW ENGLAND FOUNDATION
 C/O JESSICA WOODLAND
 ESSEX INVESTMENT MANAGEMENT CO LLC
 125 HIGH STREET
 BOSTON, MA 02110-2702

Payer's Name and Address:
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
2187.0000	12497T101	CB RICHARD ELLIS GROUP INC-A	VARIOUS	12/29/2010	45,242.79	36,970.06	8,272.73	0.00
1861.0000	203372107	COMMScope INC	VARIOUS	01/07/2010	50,617.89	50,312.65	305.24	0.00
25.0000	20805P101	CONCHO RESOURCES INC.	09/29/2010	11/10/2010	1,953.71	1,637.86	315.85	0.00
1028.0000	22160K105	COSTCO WHOLESALE CORP.	VARIOUS	04/14/2010	60,871.99	61,143.09	-271.10	0.00
5676.0000	227046109	CROCS INC	09/15/2010	10/20/2010	78,611.26	62,606.28	16,004.98	0.00
45.0000	22943F100	CTRIPO COM INTL-SPONSORED ADR	10/06/2010	11/10/2010	2,101.01	2,112.29	-11.28	0.00
364.0000	231021106	CUMMINS INC.	03/23/2010	10/28/2010	32,436.47	22,613.42	9,823.05	0.00
1815.0000	232109108	CUTERA INC.	03/30/2010	10/06/2010	13,740.40	18,788.52	-5,048.12	0.00
1488.0000	232109108	CUTERA INC.	VARIOUS	10/07/2010	11,159.81	15,390.78	-4,230.97	0.00
1448.0000	232109108	CUTERA INC.	03/29/2010	10/08/2010	10,804.64	14,972.32	-4,167.68	0.00
541.0000	232806109	CYPRESS SEMICONDUCTOR	11/12/2009	04/13/2010	6,589.75	4,990.89	1,598.86	0.00
6556.0000	232806109	CYPRESS SEMICONDUCTOR	11/12/2009	05/13/2010	77,639.42	60,481.06	17,158.36	0.00
53.0000	248230107	DENDREON CORP	10/06/2010	11/10/2010	1,839.59	2,163.94	-324.35	0.00
1241.0000	248230107	DENDREON CORP	10/06/2010	12/29/2010	44,553.76	50,668.79	-6,115.03	0.00
2375.0000	23326R109	DG FASTCHANNEL INC	07/30/2010	10/06/2010	48,612.10	91,304.50	-42,692.40	0.00
2110.0000	252603105	DIAMOND FOODS INC	VARIOUS	10/06/2010	80,811.63	91,955.22	-11,143.59	0.00
1769.0000	254697106	DISNEY WALT CO COM	08/04/2010	10/06/2010	59,531.15	61,746.77	-2,215.62	0.00
1410.0000	25659T107	DOLBY LABORATORIES INC-A	12/22/2009	07/14/2010	94,913.25	67,021.81	27,891.44	0.00
5935.0000	26144M103	DRAGONWAVE INC	VARIOUS	09/15/2010	40,796.49	56,099.05	-15,302.56	0.00

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2010 Tax Information Statement

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Account Number: 4741968
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 C/O JESSICA WOODLAND
 ESSEX INVESTMENT MANAGEMENT CO LLC
 125 HIGH STREET
 BOSTON, MA 02110-2702

Payer's Name and Address:
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
18273.0000	26144M103	DRAGONWAVE INC	10/27/2009	10/06/2010	119,686 11	160,436 94	-40,750 83	0 00
196 0000	268648102	E M C CORP MASS	09/24/2010	11/10/2010	4,333 48	4,240 60	92.88	0 00
7000	269246401	E*TRADE FINANCIAL CORP	10/02/2009	06/04/2010	10.36	11.03	-0.67	0 00
3047.0000	269246401	E*TRADE FINANCIAL CORP	10/02/2009	07/16/2010	39,625 86	48,005 48	-8,379 62	0 00
6719.0000	284902103	ELDORADO GOLD CORP	10/06/2010	10/21/2010	110,169.57	128,464 59	-18,295 02	0 00
9240 0000	29267A203	ENER1 INC	VARIOUS	03/05/2010	39,082 85	56,447 64	-17,364 79	0 00
10149.0000	29384R105	ENTROPIC COMMUNICATIONS INC	06/25/2010	10/06/2010	89,463 94	61,295 90	28,168 04	0 00
79 0000	302182100	EXPRESS SCRIPTS INC CL A	05/05/2010	11/10/2010	4,146 63	4,060 60	86 03	0 00
2608.0000	30241L109	FEI CO	12/15/2009	03/05/2010	59,339 85	65,364 31	-6,024 46	0 00
4546 0000	316773100	FIFTH THIRD BANCORP COM	01/08/2010	03/22/2010	60,267 37	50,762 91	9,504 46	0 00
41.0000	34959E109	FORTINET INC	10/06/2010	11/10/2010	1,267 28	1,044 66	222.62	0 00
1210 0000	34959E109	FORTINET INC	VARIOUS	12/29/2010	39,112 58	32,851.45	6,261 13	0 00
1117.0000	34959E109	FORTINET INC	10/06/2010	12/29/2010	35,920 32	28,460 60	7,459 72	0 00
479 0000	34959E109	FORTINET INC	10/06/2010	12/30/2010	15,477 85	12,204.68	3,273 17	0 00
416 0000	35671D857	FREEPORT-MCMORAN COPPER & GOLD INC CL B	07/21/2010	08/24/2010	27,972 32	27,588.91	383 41	0 00
253 0000	36237H101	G-III APPAREL GROUP LTD	05/21/2010	11/10/2010	7,081.35	6,679 20	402 15	0 00
678 0000	36237H101	G-III APPAREL GROUP LTD	05/21/2010	12/20/2010	23,575 29	17,899.20	5,676 09	0 00
160.0000	375558103	GILEAD SCIENCES INC COM	01/06/2010	03/25/2010	7,352 56	7,166.27	186.29	0 00
714 0000	375558103	GILEAD SCIENCES INC COM	01/06/2010	04/30/2010	28,407 15	31,979 49	-3,572 34	0 00

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ESSEX INVESTMENT MANAGEMENT CO LLC
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BOSTON, MA 02110-2702

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2615 0000	380956409	GOLDCORP INC COM	VARIOUS	10/01/2010	114,003.44	106,319.57	7,683.87	0.00
43.0000	380956409	GOLDCORP INC COM	10/20/2010	11/10/2010	2,037.73	1,828.47	209.26	0.00
20.0000	38259P508	GOOGLE INC-A	10/16/2009	06/21/2010	9,832.46	10,947.11	-1,114.65	0.00
80.0000	38259P508	GOOGLE INC-A	10/16/2009	08/24/2010	36,414.68	43,788.42	-7,373.74	0.00
1503 0000	393122106	GREEN MOUNTAIN COFFEE INC	05/12/2010	09/29/2010	47,081.88	39,435.86	7,646.02	0.00
5863 0000	36227K106	GSE SYSTEMS INC	VARIOUS	01/06/2010	31,293.54	33,854.17	-2,560.63	0.00
3403.0000	36227K106	GSE SYSTEMS INC	12/16/2009	07/14/2010	12,926.07	15,710.63	-2,784.56	0.00
57 0000	406216101	HALLIBURTON CO COM	VARIOUS	11/10/2010	1,984.13	1,741.72	242.41	0.00
866 0000	413086109	HARMAN INTERNATIONAL INDS INC	08/25/2009	05/18/2010	29,758.54	25,655.25	4,103.29	0.00
442.0000	413086109	HARMAN INTERNATIONAL INDS INC	08/25/2009	05/19/2010	14,238.51	13,094.25	1,144.26	0.00
376 0000	444903108	HUMAN GENOME SCIENCES INC COM	07/20/2009	04/07/2010	11,723.74	4,093.21	7,630.53	0.00
507 0000	444903108	HUMAN GENOME SCIENCES INC COM	05/07/2010	10/07/2010	14,485.10	11,560.06	2,925.04	0.00
103.0000	452327109	ILLUMINA INC	10/06/2010	11/10/2010	5,831.76	5,252.44	579.32	0.00
1965 0000	452327109	ILLUMINA INC	VARIOUS	12/01/2010	121,704.54	96,884.25	24,820.29	0.00
96 0000	452327109	ILLUMINA INC	10/06/2010	12/01/2010	5,928.06	4,895.48	1,032.58	0.00
335 0000	457733103	INSPIRE PHARMACEUTICALS INC	10/06/2010	11/10/2010	2,355.01	2,163.46	191.55	0.00
19500 0000	457733103	INSPIRE PHARMACEUTICALS INC	VARIOUS	11/23/2010	134,056.31	117,469.72	16,586.59	0.00
5124 0000	46333X108	IRONWOOD PHARMACEUTICALS INC	VARIOUS	09/22/2010	53,101.66	64,233.00	-11,131.34	0.00
758 0000	46625H100	J P MORGAN CHASE & CO COM	01/11/2010	07/16/2010	29,859.16	33,889.35	-4,030.19	0.00

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2010 Tax Information Statement

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Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: 4741968
Recipient's Tax ID Number: 22-2757391
Payer's Federal ID Number: 20-8007203
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

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THE NEW ENGLAND FOUNDATION
C/O JESSICA WOODLAND
ESSEX INVESTMENT MANAGEMENT CO LLC
125 HIGH STREET
BOSTON, MA 02110-2702

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
5704 0000	46612J507	JDS UNIPHASE CORP	05/21/2010	10/28/2010	58,814.09	61,345.38	-2,531.29	0.00
1029 0000	478366107	JOHNSON CONTROLS INC COM	02/23/2010	07/23/2010	29,239.87	31,540.39	-2,300.52	0.00
1600 0000	478366107	JOHNSON CONTROLS INC COM	02/23/2010	07/28/2010	46,046.90	49,042.40	-2,995.50	0.00
16 0000	478366107	JOHNSON CONTROLS INC COM	11/01/2010	11/10/2010	591.34	569.37	21.97	0.00
778 0000	486587108	KAYDON CORP	12/10/2009	09/15/2010	27,043.36	27,685.83	-642.47	0.00
42 0000	G54050102	LAZARD LTD-A	10/21/2010	11/10/2010	1,601.43	1,491.23	110.20	0.00
320 0000	538146101	LIVEPERSON INC	05/04/2010	05/18/2010	2,137.11	2,683.58	-546.47	0.00
1680 0000	538146101	LIVEPERSON INC	05/04/2010	05/19/2010	10,701.41	14,088.82	-3,387.41	0.00
150 0000	538146101	LIVEPERSON INC	05/04/2010	05/19/2010	969.04	1,257.93	-288.89	0.00
110 0000	538146101	LIVEPERSON INC	05/04/2010	05/20/2010	669.14	922.48	-253.34	0.00
1070 0000	538146101	LIVEPERSON INC	05/04/2010	05/20/2010	6,535.23	8,973.24	-2,438.01	0.00
290 0000	538146101	LIVEPERSON INC	05/04/2010	05/21/2010	1,756.27	2,432.00	-675.73	0.00
210 0000	538146101	LIVEPERSON INC	05/04/2010	05/24/2010	1,295.67	1,761.10	-465.43	0.00
510 0000	538146101	LIVEPERSON INC	05/04/2010	05/25/2010	3,004.96	4,276.96	-1,272.00	0.00
542 0000	538146101	LIVEPERSON INC	05/04/2010	05/26/2010	3,365.11	4,545.32	-1,180.21	0.00
360 0000	538146101	LIVEPERSON INC	05/04/2010	05/27/2010	2,352.24	3,019.03	-666.79	0.00
1260 0000	538146101	LIVEPERSON INC	05/04/2010	05/28/2010	8,173.35	10,566.61	-2,393.26	0.00
100 0000	538146101	LIVEPERSON INC	05/04/2010	05/28/2010	656.25	838.62	-182.37	0.00
633 0000	538146101	LIVEPERSON INC	05/04/2010	06/01/2010	4,021.38	5,308.47	-1,287.09	0.00

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Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
528.0000	538146101	LIVERPERSON INC	05/04/2010	06/02/2010	3,182.57	4,427.91	-1,245.34	0.00
204.0000	538146101	LIVERPERSON INC	05/04/2010	06/03/2010	1,365.38	1,710.79	-345.41	0.00
3202.0000	548661107	LOWES COMPANIES INC COM	04/14/2010	10/06/2010	71,944.20	84,023.36	-12,079.16	0.00
3596.0000	G5876H105	MARVELL TECHNOLOGY GROUP LTDORD	08/20/2010	09/15/2010	61,503.50	58,858.61	2,644.89	0.00
7964.0000	577767106	MAXWELL TECHNOLOGIES INC	VARIOUS	11/02/2010	127,705.34	109,231.29	18,474.05	0.00
3629.0000	580037109	MCDERMOTT INTERNATIONAL INC	05/13/2010	08/09/2010	49,045.82	48,307.38	738.44	0.00
88.0000	580037109	MCDERMOTT INTERNATIONAL INC	10/22/2010	11/10/2010	1,520.61	1,372.39	148.22	0.00
1790.0000	580037109	MCDERMOTT INTERNATIONAL INC	10/22/2010	12/29/2010	36,513.59	27,915.59	8,598.00	0.00
332.0000	580135101	MCDONALDS CORP COM	11/01/2010	12/08/2010	26,053.55	26,065.29	-11.74	0.00
657.0000	580135101	MCDONALDS CORP COM	11/01/2010	12/20/2010	50,489.66	51,581.00	-1,091.34	0.00
1478.0000	58403M102	MECOX LANE LTD-SPONSORED ADR	10/26/2010	11/16/2010	22,403.59	25,931.81	-3,528.22	0.00
15676.0000	55277R100	MELA SCIENCES INC	VARIOUS	10/06/2010	101,365.56	124,521.81	-23,156.25	0.00
615.0000	608190104	MOHAWK INDUSTRIES INC	07/20/2010	08/05/2010	29,438.20	28,698.48	739.72	0.00
1962.0000	608190104	MOHAWK INDUSTRIES INC	VARIOUS	10/06/2010	104,070.35	90,552.83	13,517.52	0.00
76.0000	608753109	MOLYCORP INC	10/06/2010	11/10/2010	2,711.63	2,146.36	565.27	0.00
1495.0000	608753109	MOLYCORP INC	10/06/2010	12/21/2010	66,768.56	42,221.19	24,547.37	0.00
1569.0000	608753109	MOLYCORP INC	VARIOUS	12/29/2010	77,290.45	26,737.44	50,553.01	0.00
2965.0000	60877T100	MOMENTA PHARMACEUTICALS INC	11/25/2009	01/25/2010	43,884.11	30,816.73	13,067.38	0.00
3185.0000	60877T100	MOMENTA PHARMACEUTICALS INC	11/25/2009	07/23/2010	74,411.49	33,103.29	41,308.20	0.00

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3134.0000	60877T100	MOMENTA PHARMACEUTICALS INC	11/25/2009	10/06/2010	46,750.65	32,573.23	14,177.42	0.00
2716.0000	611742107	MONSTER WORLDWIDE INC	01/07/2010	02/04/2010	38,136.77	50,570.29	-12,433.52	0.00
4091.0000	611742107	MONSTER WORLDWIDE INC	03/05/2010	08/24/2010	41,366.25	64,654.98	-23,288.73	0.00
2214.0000	617446448	MORGAN STANLEY DEAN WITTER DISCOVER & COCOM NEW	09/17/2009	03/31/2010	63,844.69	68,522.85	-4,678.16	0.00
3993.0000	617446448	MORGAN STANLEY DEAN WITTER DISCOVER & COCOM NEW	VARIOUS	10/21/2010	98,425.78	107,303.84	-8,878.06	0.00
313.0000	61945A107	MOSAIC CO	01/11/2010	04/14/2010	17,210.95	20,692.37	-3,481.42	0.00
292.0000	61945A107	MOSAIC CO	05/01/2009	04/29/2010	14,950.56	12,410.67	2,539.89	0.00
83.0000	61945A107	MOSAIC CO	08/04/2010	11/10/2010	5,971.74	4,089.77	1,881.97	0.00
4505.0000	620076109	MOTOROLA INC COM	VARIOUS	01/29/2010	27,913.52	33,547.39	-5,633.87	0.00
3772.0000	620076109	MOTOROLA INC COM	VARIOUS	01/29/2010	23,269.92	29,933.67	-6,663.75	0.00
299.0000	64110L106	NETFLIX INC	05/26/2010	08/18/2010	38,207.01	32,202.54	6,004.47	0.00
467.0000	64110L106	NETFLIX INC	VARIOUS	09/30/2010	76,591.65	49,131.44	27,460.21	0.00
11962.0000	62936P103	NPS PHARMACEUTICALS INC	09/22/2010	10/06/2010	81,634.48	79,329.59	2,304.89	0.00
16.0000	629491101	NYSE EURONEXT	11/01/2010	11/10/2010	475.35	496.79	-21.44	0.00
4214.0000	629491101	NYSE EURONEXT	VARIOUS	12/08/2010	123,258.67	127,747.93	-4,489.26	0.00
106.0000	674215108	OASIS PETROLEUM INC	09/22/2010	11/10/2010	2,518.51	1,922.61	595.90	0.00
799.0000	674599105	OCCIDENTAL PETE CORP COM	08/27/2009	08/27/2010	58,233.01	58,927.92	-694.91	0.00
91.0000	682128103	OMNIVISION TECHNOLOGIES INC	10/06/2010	11/10/2010	2,510.64	2,090.07	420.57	0.00

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BOSTON, MA 02110-2702

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
6303 0000	682189105	ON SEMICONDUCTOR CORP	VARIOUS	03/04/2010	51,558.51	52,591.52	-1,033.01	0.00
11893 0000	682189105	ON SEMICONDUCTOR CORP	VARIOUS	03/16/2010	95,123.75	91,340.46	3,783.29	0.00
3702 0000	68389X105	ORACLE CORP COM	VARIOUS	10/06/2010	102,062.41	92,733.30	9,329.11	0.00
1082 0000	701094104	PARKER HANIFIN CORP COM (**)	11/03/2009	09/10/2010	70,358.46	59,704.86	10,653.60	0.00
2035 0000	704326107	PAYCHEX INC COM	12/04/2009	05/13/2010	61,981.59	66,006.24	-4,024.65	0.00
2403 0000	716495106	PETROHAWK ENERGY CORP	10/15/2009	02/08/2010	52,455.86	66,382.87	-13,927.01	0.00
66 0000	73179V103	POLYPORE INTL INC	10/06/2010	11/10/2010	2,274.32	2,150.31	124.01	0.00
63 0000	73755L107	POTASH CORP SASK INC COM	10/26/2009	04/13/2010	7,086.12	6,429.12	657.00	0.00
242 0000	73755L107	POTASH CORP SASK INC COM	10/26/2009	04/14/2010	26,288.37	24,695.98	1,592.39	0.00
206 0000	73755L107	POTASH CORP SASK INC COM	VARIOUS	08/19/2010	30,231.13	20,592.03	9,639.10	0.00
236 0000	73755L107	POTASH CORP SASK INC COM	06/10/2010	08/23/2010	35,755.66	23,378.67	12,376.99	0.00
81 0000	73755L107	POTASH CORP SASK INC COM	06/10/2010	08/31/2010	11,871.61	8,024.04	3,847.57	0.00
8725 0000	73930R102	POWER-ONE INC	09/22/2010	10/06/2010	84,368.44	80,188.86	4,179.58	0.00
224 0000	747525103	QUALCOMM	12/29/2009	05/21/2010	7,991.58	10,481.27	-2,489.69	0.00
80 0000	75281A109	RANGE RESOURCES CORPORATION	12/18/2009	03/25/2010	3,688.65	4,068.20	-379.55	0.00
141 0000	75281A109	RANGE RESOURCES CORPORATION	12/18/2009	03/26/2010	6,463.35	7,170.20	-706.85	0.00
1074 0000	75281A109	RANGE RESOURCES CORPORATION	VARIOUS	07/30/2010	40,135.55	53,710.79	-13,575.24	0.00
2440 0000	75604L105	REALD INC	VARIOUS	12/01/2010	70,619.23	67,251.99	3,367.24	0.00
1422 0000	773903109	ROCKWELL INTL CORP NEW COM	05/21/2010	10/06/2010	88,806.51	75,309.12	13,497.39	0.00

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2010 Tax Information Statement

Page 16 of 40

Account Number: 4741968

Recipient's Tax ID Number: 22-2757391

Payer's Federal ID Number: 20-8007203

Questions? (800)392-9244

☐ 2nd TIN notice

Recipient's Name and Address:

THE NEW ENGLAND FOUNDATION

C/O JESSICA WOODLAND

ESSEX INVESTMENT MANAGEMENT CO LLC

125 HIGH STREET

BOSTON, MA 02110-2702

Account Number:

Recipient's Tax ID Number:

Payer's Federal ID Number:

Questions?

☐ Corrected

Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY

WMS TAX DEPARTMENT

PO BOX 5300

BOSTON, MA 02206-5300

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
3370 0000	V7780T103	ROYAL CARIBBEAN CRUISES LTD	VARIOUS	08/03/2010	97,349.56	118,534.40	-21,184.84	0.00
276 0000	78112T107	RUBICON TECHNOLOGY INC	01/25/2010	04/07/2010	6,737.07	4,897.68	1,839.39	0.00
1287 0000	78112T107	RUBICON TECHNOLOGY INC	01/25/2010	08/09/2010	35,346.98	22,838.07	12,508.91	0.00
2262 0000	78112T107	RUBICON TECHNOLOGY INC	01/25/2010	10/06/2010	44,039.03	40,139.64	3,899.39	0.00
51 0000	781846209	RUSH ENTERPRISES INC	10/26/2010	11/10/2010	873.61	817.99	55.62	0.00
418 0000	79466L302	SALESFORCE COM INC	08/21/2009	01/07/2010	30,960.67	22,015.14	8,945.53	0.00
271 0000	79466L302	SALESFORCE COM INC	08/21/2009	08/18/2010	26,608.34	14,272.97	12,335.37	0.00
4063 0000	83088M102	SKYWORKS SOLUTIONS INC	05/21/2010	10/06/2010	82,791.97	59,160.53	23,631.44	0.00
2962 0000	83616T108	SOURCEFIRE INC	VARIOUS	05/21/2010	52,929.75	37,863.36	15,066.39	0.00
5950 0000	78464M106	SRS LABS INC	VARIOUS	10/06/2010	54,263.07	57,536.13	-3,273.06	0.00
10671 0000	78464M106	SRS LABS INC	VARIOUS	10/11/2010	94,009.91	100,978.18	-6,968.27	0.00
122 0000	78478V100	STR HOLDINGS INC	04/16/2010	11/10/2010	3,020.66	2,524.70	495.96	0.00
2351 0000	78478V100	STR HOLDINGS INC	04/16/2010	11/12/2010	48,651.94	48,652.30	-0.36	0.00
3114 0000	E90215109	TELVENT GIT SA	11/30/2009	03/04/2010	91,899.82	98,809.08	-6,909.26	0.00
2154 0000	88031M109	TENARIS SA-SPONSORED ADR	VARIOUS	05/20/2010	75,832.43	92,742.81	-16,910.38	0.00
308 0000	38141G104	THE GOLDMAN SACHS GROUP INC	VARIOUS	01/08/2010	54,050.30	30,210.27	23,840.03	0.00
1193 0000	883556102	THERMO ELECTRON CORP COM	VARIOUS	07/27/2010	56,646.50	64,864.50	-8,218.00	0.00
81 0000	89421Q106	TRAVELZOO INC	10/21/2010	11/10/2010	2,906.23	2,560.32	345.91	0.00
2302 0000	89421Q106	TRAVELZOO INC	VARIOUS	12/29/2010	94,300.06	64,172.84	30,127.22	0.00

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2010 Tax Information Statement

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Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Account Number: 4741968
Recipient's Tax ID Number: 22-2757391
Payer's Federal ID Number: 20-8007203
Questions? (800)392-9244

Recipient's Name and Address:
THE NEW ENGLAND FOUNDATION
C/O JESSICA WOODLAND
ESSEX INVESTMENT MANAGEMENT CO LLC
125 HIGH STREET
BOSTON, MA 02110-2702

☐ Corrected ☐ 2nd TIN notice

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
1048.0000	89469A104	TREEHOUSE FOODS INC	08/07/2009	01/06/2010	39,909.64	37,934.56	1,975.08	0.00
301.0000	89469A104	TREEHOUSE FOODS INC	08/07/2009	03/05/2010	13,364.65	10,895.33	2,469.32	0.00
9318.0000	89874K103	TRIQUINT SEMICONDUCTOR INC	03/12/2010	06/25/2010	59,039.70	66,335.77	-7,296.07	0.00
54.0000	896818101	TRIUMPH GROUP INC	08/06/2010	11/10/2010	4,673.62	3,991.56	682.06	0.00
143.0000	911363109	UNITED RENTALS INC	10/06/2010	11/10/2010	2,901.85	2,133.56	768.29	0.00
4089.0000	911363109	UNITED RENTALS INC	VARIOUS	12/29/2010	92,851.84	57,711.16	35,140.68	0.00
700.0000	912909108	UNITED STATES STEEL CORPORATION	11/30/2009	01/11/2010	44,696.79	31,009.93	13,686.86	0.00
965.0000	912909108	UNITED STATES STEEL CORPORATION	11/30/2009	01/27/2010	46,360.33	42,749.40	3,610.93	0.00
1156.0000	912909108	UNITED STATES STEEL CORPORATION	11/30/2009	03/19/2010	68,790.95	51,210.69	17,580.26	0.00
864.0000	913017109	UNITED TECHNOLOGIES CORP	VARIOUS	09/15/2010	58,944.10	60,856.84	-1,912.74	0.00
1816.0000	917047102	URBAN OUTFITTERS INC	09/15/2010	10/01/2010	57,009.44	63,108.54	-6,099.10	0.00
100000.0000	912828NP1	US TREASURY NOTES, 1.75% 7/31/15	08/02/2010	11/19/2010	101,394.53	100,887.97	726.56	0.00
100000.0000	912828KH2	US TSY NTS 0.875% 3/31/11	10/05/2010	12/29/2010	100,171.88	100,335.94	-164.06	0.00
1123.0000	928563402	VMWARE INC CL A	VARIOUS	10/28/2010	85,408.09	85,648.17	-240.08	0.00
2643.0000	928708106	VOLTERRA SEMICONDUCTOR CORP	06/25/2010	08/23/2010	51,379.04	61,101.93	-9,722.89	0.00
1174.0000	931142103	WAL MART STORES INC COM	11/01/2010	12/29/2010	63,477.33	63,920.31	-442.98	0.00
457.0000	93317Q105	WALTER ENERGY INC	VARIOUS	01/26/2010	31,936.12	30,941.92	994.20	0.00
141.0000	93317Q105	WALTER ENERGY INC	10/26/2009	03/19/2010	11,971.63	9,051.83	2,919.80	0.00
1020.0000	93317Q105	WALTER ENERGY INC	VARIOUS	08/10/2010	74,946.19	68,401.52	6,544.67	0.00

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2010 Tax Information Statement

Page 18 of 40

Account Number: 4741968
 Recipient's Tax ID Number: 22-2757391
 Payer's Federal ID Number: 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 THE NEW ENGLAND FOUNDATION
 C/O JESSICA WOODLAND
 ESSEX INVESTMENT MANAGEMENT CO LLC
 125 HIGH STREET
 BOSTON, MA 02110-2702

Payer's Name and Address:
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
74 0000	G94368100	WARNER CHILCOTT PLC-A	10/20/2010	11/10/2010	1,511 79	1,804 28	-292 49	0 00
1176.0000	941848103	WATERS CORP COM	09/09/2010	11/02/2010	88,808 13	77,145 84	11,662 29	0 00
5223.0000	978166106	WONDER AUTO TECHNOLOGY INC	08/07/2009	01/26/2010	52,076 82	61,321 67	-9,244 85	0 00
6436.0000	985848103	YINGLI GREEN ENERGY HOLDING CO LTD	03/04/2010	03/08/2010	76,705 20	81,202 37	-4,497 17	0 00
2947.0000	989701107	ZIONS BANCORP	03/22/2010	07/14/2010	69,605 19	65,305 23	4,299 96	0 00
125000 0000	912795U33	US TSY BILLS 4/08/10	04/16/2009	04/08/2010	124,431 39	124,431 39	0 00	0 00
TOTAL SHORT TERM						8,944,055.79	480,416.04	
Long Term 15% Sales Reported on 1099-B								
735 0000	030111108	AMERICAN SUPERCONDUCTOR CORP	VARIOUS	03/04/2010	22,323 87	22,774 70	-450 83	0 00
1310.0000	030111108	AMERICAN SUPERCONDUCTOR CORP	VARIOUS	10/28/2010	45,128 73	23,487 73	21,641 00	0 00
1305.0000	030111108	AMERICAN SUPERCONDUCTOR CORP	VARIOUS	12/01/2010	43,985 32	20,099 07	23,886 25	0 00
599.0000	030111108	AMERICAN SUPERCONDUCTOR CORP	03/10/2009	12/02/2010	19,989 07	9,125 41	10,863 66	0 00
2212.0000	032095101	AMPHENOL CORP-A	04/16/2009	12/08/2010	115,805 75	70,002 51	45,803 24	0 00
471.0000	032511107	ANADARKO PETROLEUM CORP COM	04/15/2009	04/30/2010	30,285 68	20,154 80	10,130 88	0 00
1543.0000	032511107	ANADARKO PETROLEUM CORP COM	VARIOUS	06/22/2010	65,807 06	65,904 47	-97 41	0 00
2375 0000	N07059186	ASML HOLDING NV	VARIOUS	05/28/2010	67,834 08	39,952 85	27,881 23	0 00
657 0000	071813109	BAXTER INTL INC COM	VARIOUS	04/26/2010	31,307 35	40,750 98	-9,443 63	0 00
207 0000	151020104	CELGENE CORP COM	10/23/2008	11/10/2010	12,699 23	11,743 42	955 81	0 00
846 0000	151020104	CELGENE CORP COM	VARIOUS	12/01/2010	51,025 70	47,425 90	3,599 80	0 00

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2010 Tax Information Statement

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Account Number: 4741968

Recipient's Tax ID Number: 22-2757391

Payer's Federal ID Number: 20-8007203

Questions? (800)392-9244

☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Recipient's Name and Address:
THE NEW ENGLAND FOUNDATION
C/O JESSICA WOODLAND
ESSEX INVESTMENT MANAGEMENT CO LLC
125 HIGH STREET
BOSTON, MA 02110-2702

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
1086.0000	151020104	CELGENE CORP COM	VARIOUS	12/08/2010	62,024.65	57,305.63	4,719.02	0.00
667.0000	17275R102	CISCO SYSTEMS INC	10/29/2008	05/28/2010	15,528.36	12,194.16	3,334.20	0.00
5851.0000	17275R102	CISCO SYSTEMS INC	VARIOUS	10/28/2010	135,214.31	95,989.14	39,225.17	0.00
2463.0000	203372107	COMMScope INC	VARIOUS	07/30/2010	50,110.36	60,884.11	-10,773.75	0.00
534.0000	N22717107	CORE LABORATORIES NV	03/04/2009	03/29/2010	68,603.69	39,102.41	29,501.28	0.00
1195.0000	31428X106	FEDEX CORPORATION	VARIOUS	10/20/2010	106,298.11	95,780.47	10,517.64	0.00
180000.0000	3133XTEQ5	FHLB (FED. HM LN BK) 1% 6/30/10	03/25/2009	06/30/2010	180,000.00	180,223.74	-223.74	0.00
292.0000	35671D857	FREEPORT-MCMORAN COPPER & GOLD INC CL B	02/04/2009	03/10/2010	23,337.19	8,046.18	15,291.01	0.00
818.0000	35671D857	FREEPORT-MCMORAN COPPER & GOLD INC CL B	02/04/2009	05/05/2010	57,689.04	22,540.31	35,148.73	0.00
1893.0000	36237H101	G-III APPAREL GROUP LTD	10/22/2009	12/20/2010	65,823.03	31,933.02	33,890.01	0.00
1445.0000	375558103	GILEAD SCIENCES INC COM	08/10/2004	04/30/2010	57,490.66	22,856.83	34,633.83	0.00
4.0000	38259P508	GOOGLE INC-A	06/13/2008	06/21/2010	1,966.49	2,264.45	-297.96	0.00
8.0000	38259P508	GOOGLE INC-A	04/23/2007	08/24/2010	3,641.47	3,859.71	-218.24	0.00
16.0000	38259P508	GOOGLE INC-A	04/23/2007	11/10/2010	9,950.55	7,719.42	2,231.13	0.00
2724.0000	393122106	GREEN MOUNTAIN COFFEE INC	08/18/2008	09/29/2010	85,330.03	23,491.54	61,838.49	0.00
2351.0000	36227K106	GSE SYSTEMS INC	08/26/2008	01/06/2010	12,548.37	18,438.89	-5,890.52	0.00
2643.0000	36227K106	GSE SYSTEMS INC	06/09/2009	07/14/2010	10,039.26	14,925.81	-4,886.55	0.00
1051.0000	40425J101	HMS HOLDINGS CORP	12/19/2007	10/28/2010	62,544.58	32,085.66	30,458.92	0.00

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2010 Tax Information Statement

Page 20 of 40

Account Number: 4741968

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WMS TAX DEPARTMENT

PO BOX 5300

BOSTON, MA 02206-5300

Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
3634.0000	444903108	HUMAN GENOME SCIENCES INC COM	07/20/2009	10/07/2010	103,824.16	39,560.46	64,263.70	0.00
1011.0000	486587108	KAYDON CORP	04/30/2009	09/15/2010	35,142.47	33,312.65	1,829.82	0.00
645.0000	500255104	KOHL'S CORP COM	VARIOUS	05/14/2010	34,585.79	33,170.41	1,415.38	0.00
1787.0000	500255104	KOHL'S CORP COM	VARIOUS	05/26/2010	90,097.94	90,805.40	-707.46	0.00
4135.0000	576323109	MASTEC INC	04/30/2009	05/26/2010	47,815.08	52,095.21	-4,280.13	0.00
6642.0000	55277R100	MELA SCIENCES INC	07/17/2009	10/06/2010	42,949.10	45,213.42	-2,264.32	0.00
2188.0000	594918104	MICROSOFT CORP COM	VARIOUS	07/30/2010	55,696.34	42,321.75	13,374.59	0.00
2379.0000	594918104	MICROSOFT CORP COM	04/01/2009	09/15/2010	59,294.14	44,249.40	15,044.74	0.00
209.0000	61945A107	MOSAIC CO	02/06/2009	04/14/2010	11,492.30	9,137.39	2,354.91	0.00
1226.0000	61945A107	MOSAIC CO	VARIOUS	04/29/2010	62,771.86	49,853.71	12,918.15	0.00
326.0000	62913F201	NII HLDGS INC-B	05/26/2006	03/04/2010	12,677.19	18,516.80	-5,839.61	0.00
97.0000	62913F201	NII HLDGS INC-B	05/26/2006	11/10/2010	4,059.46	5,509.60	-1,450.14	0.00
1943.0000	62913F201	NII HLDGS INC-B	VARIOUS	12/08/2010	80,346.93	73,807.95	6,538.98	0.00
8.0000	73755L107	POTASH CORP SASK INC COM	10/17/2008	08/31/2010	1,172.50	597.03	575.47	0.00
83.0000	73755L107	POTASH CORP SASK INC COM	10/17/2008	09/02/2010	12,167.52	6,194.22	5,973.30	0.00
618.0000	73755L107	POTASH CORP SASK INC COM	VARIOUS	09/23/2010	89,920.37	43,167.44	46,752.93	0.00
1537.0000	747525103	QUALCOMM	VARIOUS	05/21/2010	54,835.08	60,628.06	-5,792.98	0.00
1429.0000	74762E102	QUANTA SVCS INC	VARIOUS	07/30/2010	30,609.09	30,830.57	-221.48	0.00
2104.0000	74762E102	QUANTA SVCS INC	VARIOUS	08/04/2010	42,455.90	32,344.54	10,111.36	0.00

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2010 Tax Information Statement

Page 21 of 40

Account Number: 4741968
 Recipient's Name and Address:
 THE NEW ENGLAND FOUNDATION
 C/O JESSICA WOODLAND
 ESSEX INVESTMENT MANAGEMENT CO LLC
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Number of shares	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
634 0000	79486L302	SALESFORCE COM INC	08/21/2009	08/30/2010	69,557 37	33,391 39	36,165 98	0.00
103 0000	845467109	SOUTHWESTERN ENERGY CO COM	07/27/2007	02/26/2010	4,314.02	2,044 17	2,269 85	0.00
184 0000	845467109	SOUTHWESTERN ENERGY CO COM	07/27/2007	03/25/2010	7,064 26	3,651 72	3,412 54	0.00
1710 0000	845467109	SOUTHWESTERN ENERGY CO COM	07/27/2007	05/05/2010	67,425 52	33,937 17	33,488 35	0.00
1201 0000	881624209	TEVA PHARMACEUTICAL INDS LTD ADR	10/07/2008	07/28/2010	58,776.66	52,795 23	5,981 43	0.00
276 0000	38141G104	THE GOLDMAN SACHS GROUP INC	03/10/2009	04/16/2010	45,694 55	22,819 57	22,874.98	0.00
297 0000	38141G104	THE GOLDMAN SACHS GROUP INC	03/10/2009	04/30/2010	45,219 20	24,555 84	20,663 36	0.00
2262 0000	885175307	THORATEC CORPORATION	07/30/2009	10/28/2010	79,709 95	58,765 41	20,944 54	0.00
3350 0000	872540109	TJX COS INC NEW COM	VARIOUS	10/20/2010	150,946 78	93,318 18	57,628 60	0.00
92.0000	89489A104	TREEHOUSE FOODS INC	08/07/2009	11/10/2010	4,572 32	3,330 13	1,242 19	0.00
1362 0000	89489A104	TREEHOUSE FOODS INC	08/07/2009	11/22/2010	67,794 03	49,300.45	18,493 58	0.00
135000 0000	912828JL5	US TREASURY NOTES, 2% 9/30/10	07/06/2009	09/30/2010	135,000.00	137,552 34	-2,552 34	0.00
135000.0000	912828JF8	US TSY NTS 2.75% 7/31/10	07/06/2009	07/31/2010	135,000 00	138,375 00	-3,375 00	0.00
526 0000	92532F100	VERTEX PHARMACEUTICALS INC COM	03/24/2008	01/06/2010	22,397 13	9,407.09	12,990.04	0.00
1570 0000	92532F100	VERTEX PHARMACEUTICALS INC COM	03/24/2008	09/09/2010	56,297.98	28,078.19	28,219.79	0.00
150000.0000	9128276J6	US TREAS NTS 5.750% 8/15/10	08/08/1988	05/15/2010	150,000 00	157,945 31	-7,945.31	0.00
TOTAL LONG TERM					3,486,014.98	2,666,646.52	824,368.46	

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization THE NEW ENGLAND FOUNDATION C/O JOSEPH MCNAY	Employer identification number 22-2757391
	Number, street, and room or suite no. If a P.O. box, see instructions. 125 HIGH STREET	
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions. BOSTON, MA 02110	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

JOSEPH MCNAY

- The books are in the care of ► **125 HIGH STREET, BOSTON, MA - 02110**

Telephone No. ► **617-432-3200**

FAX No. ► ☐

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension

is for the organization's return for:

► ☒ calendar year **2010** or

► ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	24,984.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	12,984.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	12,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2011)