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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2010Department of the Treasury
Internal Revenue Service**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

, 2010, and ending

, 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation Rich Charitable Foundation		A Employer identification number 20-8117455
Number and street (or P O box number if mail is not delivered to street address) 1000 N Western Av	Room/suite 200	B Telephone number (see page 10 of the instructions) 310 547-3326
City or town, state, and ZIP code San Pedro CA 90732		C If exemption application is pending, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	164,315			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	20,262	20,262	20,262	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0	0	
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	184,577	20,262	20,262		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	5312	5312	5312	
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	547	547	547	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	35			
	24 Total operating and administrative expenses. Add lines 13 through 23	5894	5859	5859	0
	25 Contributions, gifts, grants paid	289,977			289,977
26 Total expenses and disbursements. Add lines 24 and 25	295,871	14403	14403	289,977	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	111,294				
b Net investment income (if negative, enter -0-)		14403			
c Adjusted net income (if negative, enter -0-)			14403		

For Paperwork Reduction Act Notice, see page 30 of the instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	31,352	29,544	29,544
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	529	603	603
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	536,734	382,601	348,579
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	568,615	412,748	378,726	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	568,615	412,748	
	30	Total net assets or fund balances (see page 17 of the instructions)	568,615	412,748	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	568,615	412,748	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	568,615
2	Enter amount from Part I, line 27a	2	<111,294>
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	
5	Decreases not included in line 2 (itemize) ▶ <i>Capital Loss on Sale of Securities</i>	5	44,573
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	412,748

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	UBS Financial (See attachment #1)	P	Var	Var
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 112,338		156,911	<44,573>
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(j) F.M.V. as of 12/31/69	(i) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	<44,573>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	90,977	453,974	20.04%
2008	219,666	574,119	38.26%
2007	265,848	889,445	29.88%
2006	49,997	936,088	5.34%
2005			

2 Total of line 1, column (d)	2	93.52
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	23.38
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	405,875
5 Multiply line 4 by line 3	5	94,894
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	144
7 Add lines 5 and 6	7	95,038
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	295,871

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	144	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	144	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	144	
6	Credits/Payments:			
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	603	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	603	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	459	
11	Enter the amount of line 10 to be: Credited to 2011 estimated tax 459 Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		X
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10	X	

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1c Did the foundation file **Form 1120-POL** for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. ► \$ (2) On foundation managers. ► \$

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$

2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4b If "Yes," has it filed a tax return on **Form 990-T** for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ► California

8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	X	
14	The books are in care of ▶ <u>K. Fishkin</u> Telephone no. ▶ <u>310 547-3326</u> Located at ▶ <u>1000 N. Western Av. St 200 San Pedro CA</u> ZIP+4 ▶ <u>90732-2402</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here, and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? If "Yes," list the years ▶ 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions.)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John W Rich 1000 N. Western Av. #200 San Pedro CA	President Part time	0	0	0
Joseph W. Rich 1000 N. Western Av #200 San Pedro, CA	Secretary Part time	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000 ☐

NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services

NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	Mary Star of the Sea High School - Building Campaign	100,000
2	Holy Trinity Church - Debt Reduction Campaign,	42,375
3	Boys + Girls Club of Los Angeles Harbor - Leadership Program for Youth	25,000
4	Boys + Girls Club of Los Angeles Harbor College Bound Scholarship Program	20,000

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1		
2		
3	All other program-related investments See page 24 of the instructions	

Total. Add lines 1 through 3

NONE

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	391,897
b	Average of monthly cash balances	1b	20,159
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	412,056
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	412,056
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	6,181
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	405,875
6	Minimum investment return. Enter 5% of line 5	6	20,293

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	20,293
2a	Tax on investment income for 2010 from Part VI, line 5	2a	144
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	144
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	20,149
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	20,149
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	20,149

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	295,871
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	295,871
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	144
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	295,727

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				20,149
2 Undistributed income, if any, as of the end of 2010:				
a Enter amount for 2009 only			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006	3198			
c From 2007	222,650			
d From 2008	190,961			
e From 2009	68,825			
f Total of lines 3a through e	485,634			
4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 295,871				
a Applied to 2009, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2010 distributable amount				20,149
e Remaining amount distributed out of corpus	275,722			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	761,356			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	761,356			
10 Analysis of line 9:				
a Excess from 2006	3198			
b Excess from 2007	222,650			
c Excess from 2008	190,961			
d Excess from 2009	68,825			
e Excess from 2010	275,722			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2010	(b) 2009	(c) 2008	(d) 2007	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon.				
a "Assets" alternative test—enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter ^{2/3} of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Joseph W. Rich

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

Joseph W. Rich 1000 N. Western Av. St. 200
San Pedro CA 90732

b The form in which applications should be submitted and information and materials they should include:

Written Letter Request

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a Paid during the year</p> <p>See attached Grant Schedule - Attachment # 2</p>				289,977
Total				3a 289,977
<p>b Approved for future payment</p> <p>NONE</p>				
Total				3b 0

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee _____ Date 11-14-11 Title Secretary

Print/Type preparer's name Kathy M Fishkin	Preparer's signature Kathy M Fishkin	Date 11-14-11	Check <input type="checkbox"/> if self-employed	PTIN P00533765
Firm's name ▶ Kathy M Fishkin, CPA			Firm's EIN ▶	
Firm's address ▶ 461 Margo Av Long Beach CA 90803			Phone no 310-542-3326	

Schedule of Contributors

OMB No 1545-0047

2010

▶ Attach to Form 990, 990-EZ, or 990-PF.

Name of the organization

Rich Charitable Foundation

Employer identification number

20-8117455

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Rich Charitable Foundation	Employer identification number 20-8117455
---	---

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
	Joseph W. Rich 1000 N, Western Av. St. 200 San Pedro CA 90732	\$ 150,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



UBS Strategic Advisor

Account name: RICH CHARITABLE FOUNDATION
Friendly account name: Rich Char Fndn
Account number: Y6 03020 87

Your Financial Advisor:
PHILLIPS-HAYES-BRACKE
310-734-2400/888-843-6991

Realized gains and losses

Estimated 2010 gains and losses for transactions with trade dates through 12/31/10 have been incorporated into this statement. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See Important information about your statement on the last page of this statement for more information.

We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Long-term capital gains and losses

Security description	Method	Quantity or face value (\$)	Purchase date	Sale date	Sale amount (\$)	Purchase amount (\$)	Loss (\$)	Gain (\$)	Net gain or loss (\$)
EATON VANCE TAX MANAGED									
GLOBAL BUY WRITE OPPORTUNITIES FUND	FIFO	1,000,000	Oct 30, 08	Apr 28, 10	13,326.77	10,260.00		3,066.77	1
EATON VANCE TAX MANAGED									
DIVERSIFIED EQUITY INCOME FUND	FIFO	1,000,000	May 15, 08	Aug 23, 10	11,720.60	16,708.50	-4,987.90		1
	FIFO	500,000	May 15, 08	May 24, 10	5,650.06	8,354.25	-2,704.19		1
	FIFO	230,000	Oct 11, 07	Apr 28, 10	3,068.15	4,117.00	-1,048.85		1
	FIFO	500,000	May 15, 08	Apr 28, 10	6,669.88	8,354.25	-1,684.37		1
EATON VANCE TAX MANAGED									
GLOBAL DIVERSIFIED EQUITY INCOME FUND	FIFO	200,000	Sep 28, 07	May 24, 10	1,996.01	3,431.32	-1,435.31		1
	FIFO	500,000	May 15, 08	May 24, 10	4,990.01	8,554.30	-3,564.29		1
ISHARES IBOX \$ INVT GRA									
DE CORPORATE BOND FUND	FIFO	100,000	Mar 16, 07	Jun 23, 10	10,729.97	10,784.00	-54.03		1
NFI DIVIDEND INTEREST & PREMIUM STRATEGY FUND									
	FIFO	2,000,000	Mar 16, 07	Dec 02, 10	32,204.45	51,093.40	-18,888.95		1
	FIFO	1,380,000	Mar 16, 07	Oct 08, 10	21,983.06	35,254.45	-13,271.39		1
Total					\$112,338.96	\$156,911.47	-\$47,639.28	\$3,066.77	-\$44,572.51
Net capital gains/losses:									-\$44,572.51

¹ Indicates cost basis information provided by you or another third party. UBS FS has not verified this information and does not guarantee its accuracy.



Rich Charitable Foundation
20-8117455

Schedule of Grants Paid during 2010
Attachment to Form 990-PF
Tax Year: 2010

Name and Address of Grant	Purpose of Grant	Amount
Angel's Gate Cultural Center 3601 S. Gaffey Street San Pedro, CA 90731	Artist in Classrooms Program at Holy Trinity School	\$ 10,000.00
Responsibility PO Box 433199 San Ysidro, CA 92143	Summer school camp program for children living in Tijuana	\$ 2,500.00
Boys & Girls Club of Los Angeles Harbor 1200 S. Cabrillo Avenue San Pedro, CA 90731	College Bound scholarship program	\$ 20,000.00
Boys & Girls Club of Los Angeles Harbor 1200 S. Cabrillo Avenue San Pedro, CA 90731	Capital Campaign	\$ 20,000.00
Life Teen 2222 South Dobson, Suite 601 Mesa, Arizona 5202	Program for at risk teenagers	\$ 5,000.00
Mary Star of the Sea High School 870 West Eighth St. San Pedro, CA 90731	Capital Campaign	\$ 100,000.00
Boys & Girls Club of Los Angeles Harbor 1200 S. Cabrillo Avenue San Pedro, CA 90731	All Inclusive Partnership Plan	\$ 25,000.00
Holy Trinity Church 209 N. Hanford Avenue San Pedro, CA 90732-2904	Debt Reduction campaign	\$ 42,375.00
Holy Trinity School 1226 Santa Cruz Street San Pedro, CA 90732	PTA	\$ 1,000.00
Weingart Center Association 566 S. San Pedro St Los Angeles, CA 90013	To benefit homeless people living on Skid Row	\$ 7,500.00

Rich Charitable Foundation
20-8117455

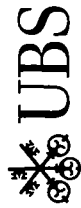
Schedule of Grants Paid during 2010
Attachment to Form 990-PF
Tax Year: 2010

Name and Address of Grant	Purpose of Grant	Amount
Los Angeles Mission 303 East 5th St Los Angeles, CA 90013	Food, Shelter & Rehabilitation for people in need	\$ 2,500.00
Children Today P.O. Box 16004 Long Beach, CA 90806	Crucial child care & family support services for the homeless	\$ 2,500.00
Little Sisters of the Poor 2100 S. Western Aveue San Pedro, CA 90732-4389	To care for aged residents	\$ 5,000.00
Sanctuaire Notre Dame Kibeho, Rwanda	Rwanda project	\$ 10,000.00
Peres Mariens Marion Fathers Retreat House P.O. Box 716 Stockbridge, MA 01262	Rwanda project	\$ 5,000.00
Rwandan Orphans Project 4671 Cass Street San Diego, CA 92109	Kigali Orphange	\$ 5,000.00
Mary Star Elementary School 717 S. Cabrillo Ave San Pedro, CA 90731	fundraising campaign - update materials & equipment	\$ 250.00
Torrance-South Bay YMCA 2900 W. Sepulveda Blvd. Torrance, CA 90505	Campaign to "Build Strong Kids, Strong Families & Strong Communities	\$ 500.00
USC Heritage Hall - MC 0602 Los Angeles, CA 90089-0602	To help physically challenged athletes overcome their tragedies	\$ 500.00
YWCA 437 West 9th Street San Pedro, CA 90731	Fundraising efforts - Eighth Annual International Festival	\$ 1,000.00

Rich Charitable Foundation
20-8117455

Schedule of Grants Paid during 2010
Attachment to Form 990-PF
Tax Year: 2010

Name and Address of Grant	Purpose of Grant	Amount
Providence Little Company of Mary 4101 Torrance Blvd. Torrance, CA 90503	Fundraising - commitment to provide compassionate care	\$ 2,000.00
Mountain Shadows Foundation 243 S. Escondido Blvd, #142 Escondido, CA 92025	Benefiting developmentally disabled	\$ 5,000.00
Port of Los Angeles High School 250 West 5th Street San Pedro, CA 90731	Scholarship Fund	\$ 500.00
Los Angeles Youth Network 1754 Taft Avenue Los Angeles, CA 90028	Care for homeless & runaway youth	\$ 10,000.00
Holy Family Catholic Community 209 East Lomita Ave. Glendale, CA 91205-1689	Harvest of the Vines	\$ 1,500.00
Camp Ronald McDonald 1954 Cortner Avenue Los Angeles, CA 90025-5602	18th Annual Halloween Carnival	\$ 5,000.00
Boys & Girls Club of Los Angeles 1200 S. Cabrillo Avenue San Pedro, CA 90731	Sponsor for Bid for Kids	\$ 352.00
Total Grants Given in 2010		<u>\$ 289,977.00</u>



UBS Strategic Advisor
December 2010

Account name: RICH CHARITABLE FOUNDATION
Friendly account name: Rich Char Fndn
Account number: Y6 03020 87

Your Financial Advisor:
PHILLIPS-HAYES-BRACKE-
310-734-2400/888-843-6991

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	29,354.49	32,044.47	1.00	0.01%	Nov 23 to Dec 26	34

Equities

Closed end funds & Exchange traded products

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND								
Symbol: EXG Exchange: NYSE	May 15, 08	1,500,000	17.100	25,662.90 ¹	10.530	15,795.00	-9,867.90	LT
EAI: \$2,843 Current yield: 10.80%	Jul 23, 08	1,000,000	15.000	15,008.00 ¹	10.530	10,530.00	-4,478.00	LT
Security total		2,500,000		40,670.90		26,325.00	-14,345.90	
ISHARES TRUST RUSSELL 1000 GROWTH INDEX FUND								
Symbol: IWF Exchange: AMEX	Jan 8, 08	500,000	58.500	29,250.00 ¹	57.260	28,630.00	-620.00	LT
EAI: \$364 Current yield: 1.27%								
ISHARES TRUST RUSSELL 2000 VALUE INDEX FD								
Symbol: IWN Exchange: NYSE	Mar 16, 07	300,000	79.610	23,885.52 ¹	71.090	21,327.00	-2,558.52	LT
EAI: \$347 Current yield: 1.63%								
ISHARES MSCI EMERGING MARKETS INDEX FUND								
Symbol: EEM Exchange: NYSE	Mar 16, 07	510,000	37.310	19,032.64 ¹	47.642	24,297.42	5,264.78	LT
EAI: \$329 Current yield: 1.35%								

continued next page





Friendly account name: Rich Char Fndn
Account number: Y6 03020 87

Your assets > Equities > Closed end funds & Exchange traded products (continued)

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ISHARES DJ SELECT DIVID INDEX FUND								
Symbol DVY Exchange NYSE								
EAI \$681 Current yield 3 41%	Jan 8, 08	400 000	62 120	24,851 04 ¹	49.860	19,944 00	-4,907.04	LT
NFI DIVIDEND INTEREST & PREMIUM STRATEGY FUND								
Symbol NFI Exchange NYSE								
EAI \$1,800 Current yield 3 43%	Mar 16, 07	2,530 000	25 540	64,633 15 ¹	17.510	44,300 30	-20,332.85	LT
Earnings		470 000	12 971	6,096 81	17.510	8,229 70	2,132.89	
Security total		3,000 000		70,729 96		52,530 00	-18,199 96	
SPDR S&P MIDCAP 400 ETF TR								
Symbol MDY Exchange NYSE								
EAI \$451 Current yield 0 91%	Mar 16, 07	300 000	150 680	45,204 00 ¹	164 680	49,404 00	4,200 00	LT
Total				\$253,624.06		\$222,457.42	-\$31,166.64	
Total estimated annual income: \$6,815								

¹ Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Fixed income

Closed end funds & Exchange traded products

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ISHARES IBOXX \$ INVT GRA DE CORPORATE BOND FUND								
Symbol LQD Exchange NYSE								
EAI \$4,383 Current yield 4 87%	Mar 16, 07	830 000	107 840	89,507 20 ¹	108 440	90,005 20	498 00	LT
ISHARES IBOXX HIGH YIELD CORP BOND								
Symbol HYG Exchange NYSE								
EAI \$2,980 Current yield 8 25%	May 15, 08	400 000	98.670	39,470 40 ¹	90 290	36,116 00	-3,354 40	LT
Total				\$128,977.60		\$126,121.20	-\$2,856.40	
Total estimated annual income: \$7,363								

¹ Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy



UBS Strategic Advisor
December 2010

Account name: RICH CHARITABLE FOUNDATION
Friendly account name: Rich Char Fndn
Account number: Y6 03020 87

Your Financial Advisor:
PHILLIPS-HAYES-BRACKE
310-734-2400/888-843-6991

Your assets (continued)

Your total assets

Cash	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities	Closed end funds & Exchange traded products	32,044.47	8.42%	32,044.47		
Fixed income	Closed end funds & Exchange traded products	222,457.42	58.44%	253,624.06	6,815.00	-31,166.64
Total		126,121.20	33.14%	128,977.60	7,363.00	-2,856.40
		\$380,623.09	100.00%	\$414,646.13	\$14,178.00	-\$34,023.04

Cash
Account activity this month Securities

32,044 Less outstanding c.k. 2,500 = 29,544

348,579
380,623

For more information about the price/value shown for restricted securities, see Important information about your statement at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 30		Cash and money balance					\$29,354.49
Dec 2	Bsa Check	ATTORNEY GENERAL'S REGISTRY CHECK PAID				-25.00	29,329.49
Dec 7	Dividend	ISHARES IBOXX S INVT GRA DE CORPORATE BOND FUND PAID ON 830			353.75		
Dec 7	Dividend	ISHARES IBOXX HIGH YIELD CORP BOND PAID ON 400			235.25		
Dec 7	Sold	NFI DIVIDEND INTEREST & PREMIUM STRATEGY FUND		-2,000.000	16,102.500	32,204.45	62,122.94
Dec 8	Deposit	LOCAL CHECK			25.00		
Dec 8	Deposit	LOCAL CHECK			50.00		
Dec 8	Deposit	LOCAL CHECK			50.00		
Dec 8	Deposit	NON-LOCAL CHECK			100.00		
Dec 8	Deposit	LOCAL CHECK			100.00		
Dec 8	Deposit	LOCAL CHECK			200.00		
Dec 8	Deposit	LOCAL CHECK			200.00		
Dec 8	Deposit	LOCAL CHECK			250.00		
Dec 8	Deposit	LOCAL CHECK			250.00		
Dec 8	Deposit	LOCAL CHECK			500.00		

continued next page

