Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , 2010, and ending ,

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

CHANGE HAPPENS FOUNDATION
A DELAWARE NONPROFIT ORGANIZATION
75-5710 MAMALAHOA HIGHWAY
HOLUALOA, HI 96725

A Employer identification number
20-5222620

B Telephone number (see the instructions)
808-322-7432

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 18,264,163.

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) ______________
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments			N/A	
	4 Dividends and interest from securities	510,929.	510,929.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	1,328,926.			
	b Gross sales price for all assets on line 6a 11,039,879.				
	7 Capital gain net income (from Part IV, line 2)		1,328,926.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) SEE STATEMENT 1	1,407.	1,407.		
	12 **Total.** Add lines 1 through 11	1,841,262.	1,841,262.		
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	77,750.			77,750.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE ST 2	18,301.			18,301.
	b Accounting fees (attach sch) SEE ST 3	14,485.			14,485.
	c Other prof fees (attach sch) SEE ST 4	98,097.	98,097.		
	17 Interest				
	18 Taxes (attach schedule)(see instr) SEE STM 5	32,217.	1,488.		7,729.
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 6	13,307.	2,847.		10,460.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	254,157.	102,432.		128,725.
	25 Contributions, gifts, grants paid PART XV	1,454,500.			1,454,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	1,708,657.	102,432.		1,583,225.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	132,605.			
	b **Net investment income** (if negative, enter -0-)		1,738,830.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
	(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
ASSETS			
1 Cash — non-interest-bearing			
2 Savings and temporary cash investments	359,179.	447,965.	447,965.
3 Accounts receivable ►			
Less allowance for doubtful accounts ►			
4 Pledges receivable ... ►			
Less allowance for doubtful accounts ►			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
7 Other notes and loans receivable (attach sch) ►			
Less allowance for doubtful accounts ►			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments — U S and state government obligations (attach schedule)			
b Investments — corporate stock (attach schedule)			
c Investments — corporate bonds (attach schedule)			
11 Investments — land, buildings, and equipment. basis ►			
Less. accumulated depreciation (attach schedule) ►			
12 Investments — mortgage loans			
13 Investments — other (attach schedule) STATEMENT 7	18,049,527.	17,816,198.	17,816,198.
14 Land, buildings, and equipment basis ► 2,088.			
Less. accumulated depreciation (attach schedule) SEE STMT 8 ► 2,088.			
15 Other assets (describe ►)	141,541.		
16 **Total assets** (to be completed by all filers — see instructions. Also, see page 1, item I)	18,550,247.	18,264,163.	18,264,163.
LIABILITIES			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, & other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ►)	802.		
23 **Total liabilities** (add lines 17 through 22)	802.	0.	
NET ASSETS OR FUND BALANCES			
Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, building, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds	18,549,445.	18,264,163.	
30 **Total net assets or fund balances** (see the instructions)	18,549,445.	18,264,163.	
31 **Total liabilities and net assets/fund balances** (see the instructions)	18,550,247.	18,264,163.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,549,445.
2	Enter amount from Part I, line 27a	2	132,605.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	18,682,050.
5	Decreases not included in line 2 (itemize) ► SEE STATEMENT 9	5	417,887.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	18,264,163.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SEE STATEMENT 10			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	1,328,926.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3	530,272.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2009	921,974.	17,587,564.	0.052422
2008	553,978.	21,142,513.	0.026202
2007	1,060,302.	22,786,841.	0.046531
2006	1,371,440.	21,915,997.	0.062577
2005	769,617.	17,700,703.	0.043479

2	**Total** of line 1, column (d)	2	0.231211
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.046242
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	18,488,198.
5	Multiply line 4 by line 3	5	854,931.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	17,388.
7	Add lines 5 and 6	7	872,319.
8	Enter qualifying distributions from Part XII, line 4	8	1,583,225.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter. _______ **(attach copy of letter if necessary – see instr.)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b		1	17,388.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	17,388.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	17,388.
6 Credits/Payments:			
a 2010 estimated tax pmts and 2009 overpayment credited to 2010	6a 23,000.		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	23,000.
8 Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	0.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	5,612.
11 Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ► 5,612.	**Refunded** ►	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► DE HI			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses.*	10		X

Part VII-A Statements Regarding Activities *(Continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► CHANGEHAPPENS.US

14 The books are in care of ► TINA TROXEL Telephone no ► 808-322-7342

Located at ► 75-5710 MAMALAHOA HIGHWAY HOLUALOA H ZIP + 4 ► 96725

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?	1 b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions)	2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)*	3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	N/A	
Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . N/A	☐ Yes ☐ No			
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		X
If 'Yes' to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A		**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
SEE STATEMENT 11		77,750.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services	►	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a	Average monthly fair market value of securities		1a	17,540,560.
b	Average of monthly cash balances		1b	609,998.
c	Fair market value of all other assets (see instructions)		1c	619,186.
d	**Total** (add lines 1a, b, and c)		1d	18,769,744.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	18,769,744.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	281,546.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	18,488,198.
6	**Minimum investment return.** Enter 5% of line 5		6	924,410.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	924,410.
2a	Tax on investment income for 2010 from Part VI, line 5	2a 17,388.		
b	Income tax for 2010. (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	17,388.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	907,022.
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	907,022.
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	907,022.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	1,583,225.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,583,225.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	17,388.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,565,837.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				907,022.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only			0.	
b Total for prior years: 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2010:				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009 48,936.				
f **Total** of lines 3a through e	48,936.			
4 Qualifying distributions for 2010 from Part XII, line 4 ► $ 1,583,225.				
a Applied to 2009, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2010 distributable amount				907,022.
e Remaining amount distributed out of corpus	676,203.			
5 Excess distributions carryover applied to 2010 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	725,139.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a	725,139.			
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009 48,936.				
e Excess from 2010 676,203.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE STATEMENT 13				
Total			► **3a**	1,454,500.
b *Approved for future payment* IOWA STATE UNIVERSITY FOUNDATION 2505 UNIVERSITY BLVD. AMES, IA 50010	NONE	PUBLIC	CAPITAL GRANT FOR 400 SEAT LECTURE HALL FACILITY.	3,750,000.
Total			► **3b**	3,750,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	510,929.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,328,926.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a OTHER INCOME FROM K-1S			14	1,407.	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				1,841,262.	

13 Total. Add line 12, columns (b), (d), and (e) 13 1,841,262.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Douglas D. Troxel — Signature of officer or trustee
2011/11/11 — Date
President — Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check if self-employed	PTIN
GREGORY M. LOMAZZI	G. M. Lomazzi CPA	11/4/11	☐	N/A

Firm's name ▶ MOHLER, NIXON & WILLIAMS ACCTCY. CORP. Firm's EIN ▶ N/A

Firm's address ▶ 635 CAMPBELL TECHNOLOGY PKWY
CAMPBELL, CA 95008-5071 Phone no (408) 369-2400

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME FROM K-1S	$ 1,407.	$ 1,407.	
TOTAL	$ 1,407.	$ 1,407.	$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	$ 18,301.			$ 18,301.
TOTAL	$ 18,301.	$ 0.		$ 18,301.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	$ 14,485.			$ 14,485.
TOTAL	$ 14,485.	$ 0.		$ 14,485.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING SERVICES	$ 98,097.	$ 98,097.		
TOTAL	$ 98,097.	$ 98,097.		$ 0.

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	$ 23,000.			
FOREIGN TAX	1,488.	$ 1,488.		
PAYROLL TAXES	7,729.			$ 7,729.
TOTAL	$ 32,217.	$ 1,488.		$ 7,729.

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADR FEES	$ 18.	$ 18.		
DUES & MEMBERSHIP	2,735.			$ 2,735.
INVESTMENT INTEREST	4.	4.		
OFFICE EXPENSE	2,712.			2,712.
PAYROLL PROCESSING FEE	1,273.			1,273.
PORTFOLIO DEDUCTIONS	2,825.	2,825.		
POSTAGE	249.			249.
TELEPHONE	3,491.			3,491.
TOTAL	$ 13,307.	$ 2,847.		$ 10,460.

STATEMENT 7
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

OTHER INVESTMENTS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
820,000 SHS SERENA SOFTWARE, INC	MKT VAL	$ 2,615,800.	$ 2,615,800.
SEE ATTACHED STATEMENT #036209	MKT VAL	458,688.	458,688.
SEE ATTACHED STATEMENT #003203	MKT VAL	401,832.	401,832.
SEE ATTACHED STATEMENT #036191	MKT VAL	362,109.	362,109.
SEE ATTACHED STATEMENT #036183	MKT VAL	571,154.	571,154.
SEE ATTACHED STATEMENT #036217	MKT VAL	267,110.	267,110.
SEE ATTACHED STATEMENT #036175	MKT VAL	453,212.	453,212.
SEE ATTACHED STATEMENT #003195	MKT VAL	513,221.	513,221.
SEE ATTACHED STATEMENT #036225	MKT VAL	263,676.	263,676.
SEE ATTACHED STATEMENT #036167	MKT VAL	576,140.	576,140.
SEE ATTACHED STATEMENT #009034	MKT VAL	2,423,695.	2,423,695.
SEE ATTACHED STATEMENT #716933	MKT VAL	7,657,002.	7,657,002.
POWERSHARES DB US DOLLAR PARTNERSHIP	MKT VAL	0.	0.
INERGY L.P.	MKT VAL	0.	0.
BUCKEYE PARTNERS, L.P.	MKT VAL	0.	0.
ORION FUTURES FUND L.P.	MKT VAL	389,228.	389,228.
ALPHAMETIX MANAGED K-1	MKT VAL	261,735.	261,735.
SEE ATTACHED STATEMENT #017359	MKT VAL	601,596.	601,596.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

OTHER INVESTMENTS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
	TOTAL	$ 17,816,198.	$ 17,816,198.

STATEMENT 8
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MACHINERY AND EQUIPMENT	$ 2,088.	$ 2,088.	$ 0.	$ 0.
TOTAL	$ 2,088.	$ 2,088.	$ 0.	$ 0.

STATEMENT 9
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

UNREALIZED LOSS ON SECURITIES	$ 417,887.
TOTAL	$ 417,887.

STATEMENT 10
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	SEE ATTACHED CREDIT SUISSE #9034	PURCHASED	VARIOUS	VARIOUS
2	SEE ATTACHED CREDIT SUISSE #9034	PURCHASED	VARIOUS	VARIOUS
3	SEE ATTACHED CREDIT SUISSE #3203	PURCHASED	VARIOUS	VARIOUS
4	SEE ATTACHED CREDIT SUISSE #3203	PURCHASED	VARIOUS	VARIOUS
5	SEE ATTACHED CREDIT SUISSE #3195	PURCHASED	VARIOUS	VARIOUS
6	SEE ATTACHED CREDIT SUISSE #3195	PURCHASED	VARIOUS	VARIOUS
7	SEE ATTACHED CREDIT SUISSE #6225	PURCHASED	VARIOUS	VARIOUS
8	SEE ATTACHED CREDIT SUISSE #6225	PURCHASED	VARIOUS	VARIOUS
9	SEE ATTACHED CREDIT SUISSE #6217	PURCHASED	VARIOUS	VARIOUS
10	SEE ATTACHED CREDIT SUISSE #6217	PURCHASED	VARIOUS	VARIOUS
11	SEE ATTACHED CREDIT SUISSE #6209	PURCHASED	VARIOUS	VARIOUS
12	SEE ATTACHED CREDIT SUISSE #6209	PURCHASED	VARIOUS	VARIOUS
13	SEE ATTACHED CREDIT SUISSE #6191	PURCHASED	VARIOUS	VARIOUS
14	SEE ATTACHED CREDIT SUISSE #6191	PURCHASED	VARIOUS	VARIOUS
15	SEE ATTACHED CREDIT SUISSE #6183	PURCHASED	VARIOUS	VARIOUS
16	SEE ATTACHED CREDIT SUISSE #6175	PURCHASED	VARIOUS	VARIOUS
17	SEE ATTACHED CREDIT SUISSE #6175	PURCHASED	VARIOUS	VARIOUS
18	SEE ATTACHED CREDIT SUISSE #6167	PURCHASED	VARIOUS	VARIOUS
19	SEE ATTACHED CREDIT SUISSE #6167	PURCHASED	VARIOUS	VARIOUS
20	SEE ATTACHED CREDIT SUISSE #6933	PURCHASED	VARIOUS	VARIOUS
21	SEE ATTACHED CREDIT SUISSE #6933	PURCHASED	VARIOUS	VARIOUS

STATEMENT 10 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
22	DB US DOLLAR PTP ADJ	PURCHASED	VARIOUS	VARIOUS
23	INERGY LO PTP ADJ	PURCHASED	3/12/2009	VARIOUS
24	BUCKEYE PARTNERS PTP ADJ	PURCHASED	3/12/2009	4/21/2010
25	FROM K-1	PURCHASED	VARIOUS	VARIOUS
26	FROM K-1	PURCHASED	VARIOUS	VARIOUS
27	SEE ATTACHED CREDIT SUISSE #6183	PURCHASED	VARIOUS	VARIOUS
28	317 SHS ENCORE ACQUISITION	PURCHASED	VARIOUS	3/12/2010

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
1	747,371.		703,744.	43,627.				$ 43,627.
2	1075532.		903,630.	171,902.				171,902.
3	255,509.		238,031.	17,478.				17,478.
4	188,273.		135,118.	53,155.				53,155.
5	28,990.		24,042.	4,948.				4,948.
6	317,610.		299,350.	18,260.				18,260.
7	161,132.		143,407.	17,725.				17,725.
8	258,214.		200,469.	57,745.				57,745.
9	189,155.		138,859.	50,296.				50,296.
10	115,617.		84,959.	30,658.				30,658.
11	146,574.		125,101.	21,473.				21,473.
12	329,209.		214,242.	114,967.				114,967.
13	53,166.		47,330.	5,836.				5,836.
14	234,517.		142,280.	92,237.				92,237.
15	55,017.		47,005.	8,012.				8,012.
16	89,093.		69,775.	19,318.				19,318.
17	221,355.		152,966.	68,389.				68,389.
18	776,090.		643,642.	132,448.				132,448.
19	332,202.		228,067.	104,135.				104,135.
20	3674445.		3538066.	136,379.				136,379.
21	1552835.		1507505.	45,330.				45,330.
22	17,745.		0.	17,745.				17,745.
23	15.		0.	15.				15.
24	44.		0.	44.				44.
25	5,901.		0.	5,901.				5,901.
26	4,205.		0.	4,205.				4,205.
27	195,328.		123,365.	71,963.				71,963.
28	14,735.		0.	14,735.				14,735.
							TOTAL	$ 1328926.

STATEMENT 11
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN-SATION	CONTRI-BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
DOUGLAS D. TROXEL 75-5710 MAMALAHOA HIGHWAY HOLUALOA, HI 96725	PRESIDENT & CEO 1.00	$ 0.	$ 0.	$ 0.
MICHAEL DOUGLAS TROXEL 75-5710 MAMALAHOA HIGHWAY HOLUALOA, HI 96725	CFO 30.00	68,750.	0.	0.
SERGEI GEORGE TROXEL 75-5710 MAMALAHOA HIGHWAY HOLUALOA, HI 96725	SECRETARY 1.00	3,000.	0.	0.
KENNETH D. TROXEL 75-5710 MAMALAHOA HIGHWAY HOLUALOA, HI 96725	DIRECTOR 1.00	3,000.	0.	0.
KRISTIN D. NONG 75-5710 MAMALAHOA HIGHWAY HOLUALOA, HI 96725	DIRECTOR 1.00	3,000.	0.	0.
	TOTAL	$ 77,750.	$ 0.	$ 0.

STATEMENT 12
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	
NAME:	MICHAEL D. TROXEL
CARE OF:	
STREET ADDRESS:	P.O. BOX 415
CITY, STATE, ZIP CODE:	HOLUALOA, HI 96725
TELEPHONE:	
FORM AND CONTENT:	THERE ARE TWO STEPS WHEN APPLYING FOR A GRANT FROM THE CHANGE HAPPENS FOUNDATION.

STEP ONE: LETTER OF INQUIRY

THE APPLICATION PROCESS TO THE CHANGE HAPPENS FOUNDATION BEGINS WITH AN INITIAL LETTER OF INQUIRY WHICH IS REQUIRED TO DETERMINE WHETHER THE FOUNDATION'S CURRENT INTERESTS AND BUDGET ALLOW CONSIDERATION OF THE GRANTSEEKER'S REQUEST.

ONLINE FORM
THEY ASK THAT ALL APPLICANTS COMPLETE AND SUBMIT OUR ONLINE LETTER OF INQUIRY FORM PROVIDING BASIC ORGANIZATIONAL AND PROJECT INFORMATION. THEIR WEB ADDRESS IS WWW.CHANGEHAPPENS.US

DOWNLOAD AND MAIL
IF YOU ARE UNABLE TO COMPLETE AND SUBMIT YOUR LETTER OF INQUIRY ONLINE, PLEASE DOWNLOAD AND MAIL THE LETTER OF

STATEMENT 12 (CONTINUED)
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

INQUIRY FORM.

STEP TWO: PROPOSAL

IF YOUR LETTER OF INQUIRY IS ACCEPTED FOR FURTHER CONSIDERATION, YOU WILL BE ASKED TO SUBMIT A FORMAL GRANT PROPOSAL. PLEASE DO NOT SUBMIT A PROPOSAL WITHOUT AN INVITATION TO APPLY

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS: THE CHANGE HAPPENS FOUNDATION WILL CONSIDER DIRECTING RESOURCES TO ACTIVITIES OR PROGRAM-RELATED INVESTMENTS THAT ARE WITHIN THE FOUNDATION'S MISSION AND BUDGET LIMITATIONS. GRANTS FOR RELIGIOUS ACTIVITIES, GRADUATE OR UNDERGRADUATE SCHOLARSHIPS WILL NOT BE REVIEWED.

ACTIVITIES SUPPORTED BY GRANTS MUST BE CHARITABLE, EDUCATIONAL, LITERARY, OR SCIENTIFIC, AS DEFINED UNDER THE APPROPRIATE PROVISIONS OF THE U.S. INTERNAL REVENUE CODE AND TREASURY REGULATIONS. THE FOUNDATION MONITORS GRANTS THROUGH REGULAR FINANCIAL AND NARRATIVE REPORTS SUBMITTED BY THE GRANTEE.

STATEMENT 13
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
HAWAII FOREST INSTITUTE P.O. BOX 66 O'OKALA, HI 96774	NONE	PUBLIC	PANA'EWA ZOO DISCOVERY FOREST DEMONSTRATING NATIVE HARDWOODS AND AGRO-FORESTRY	$ 5,000.
CENTER FOR MUSIC - PEOPLE W/DISABILITIES 415 W CENTRAL AVENUE MISSOULA, MT 59801	NONE	PUBLIC	NINE MUSIC AND DANCE STUDIOS FOR PEOPLE WITH DISABILITIES.	3,000.
SAN FRANCISCO FILM SOCIETY 39 MESA ST. #107 SAN FRANCISCO, CA 94129	NONE	PUBLIC	PETER DAULTON'S DOCUMENTARY MOVIE, MICROLOAN USA, ON LOANS FOR SELF-EMPLOYMENT OPPORTUNITIES.	5,000.

STATEMENT 13 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
READING PARTNERS 106 LINDEN ST, #202 OAKLAND, CA 94607	NONE	PUBLIC	LITERACY TUTORING FOR LOS ANGELES AND BAY AREA SCHOOLS.	$ 10,000.
PLANNED PARENTHOOD OF HAWAII 1350 S. KING STREET, SUITE 309 HONOLULU, HI 96814	NONE	PUBLIC	KONA FAMILY PLANNING CLINIC-BASED SERVICES AND EDUCATIONAL OUTREACH PROGRAM.	20,000.
SHELTER PARTNERSHIP 523 W. 6TH STREET, SUITE 616 LOS ANGELES, CA 90014	NONE	PUBLIC	FOOD BANK WAREHOUSE FOR 225 NON-PROFIT AGENCIES.	5,000.
COMMUNITY HOUSING PARTNERSHIP 280 TURK STREET SAN FRANCISCO, CA 94102	NONE	PUBLIC	SUPPORTIVE HOUSING EMPLOYMENT COLLABORATIVE.	5,000.
WOMENS ECONOMIC VENTURES 333 S. SALINAS ST. SANTA BARBARA, CA 93103	NONE	PUBLIC	THRIVE IN 5 ENTREPRENEURIAL DEVELOPMENT PROGRAM.	5,000.
AMERICAN BIRD CONSERVANCY 4249 LOUDOUN AVE THE PLAINS, VA 20198	NONE	PUBLIC	SAVING HAWAII ENDANGERED NATIVE BIRD SPECIES.	5,000.
VIVA VERDE NATURE EDUCATION 1043 TUNITAS CREEK RD. HALF MOON BAY, CA 94019	NONE	PUBLIC	OUTDOOR EDUCATION FOR UNDERSERVED BAY AREA STUDENTS.	5,000.
ELEMENTARY INSTITUTE OF SCIENCE 608 51ST STREET SAN DIEGO, CA 92114	NONE	PUBLIC	SCIENCE, TECHNOLOGY, ENVIRONMENTAL AND HEALTH EDUCATION FOR YOUTH.	5,000.
TEACH FOR AMERICA 500 ALA MOANA BLVD. HONOLULU, HI 96813	NONE	PUBLIC	TEACHERS ON THE BIG ISLAND TO ALLEVIATE THE ACHIEVEMENT GAP PROBLEM	10,000.

STATEMENT 13 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
PROJECT DIGNITY 12020 CHAPMAN AVE, #257 GARDEN GROVE, CA 92840	NONE	PUBLIC	ELDORADO INN CHILDREN'S LIBRARY	$ 6,000.
SHELTER BOX USA 8374 MARKET ST. #203 LAKEWOOD RANCH, FL 34202	NONE	PUBLIC	60 SHELTERBOXES SHIPPED TO HAITI FOR DISASTER RELIEF WITH SETUP AND TRAINING BY RESPONSE TEAM.	60,000.
IOWA STATE UNIVERSITY FOUNDATION 2505 UNIVERSITY BLVD. AMES, IA 50010	NONE	PUBLIC	CAPITAL GRANT FOR 400 SEAT LECTURE HALL FACILITY.	45,922.
IOWA STATE UNIVERSITY FOUNDATION 2505 UNIVERSITY BLVD. AMES, IA 50010	NONE	PUBLIC	CAPITAL GRANT FOR 400 SEAT LECTURE HALL FACILITY.	476,350.
IOWA STATE UNIVERSITY FOUNDATION 2505 UNIVERSITY BLVD AMES, IA 50010	NONE	PUBLIC	CAPITAL GRANT FOR 400 SEAT LECTURE HALL FACILITY.	216,588.
IOWA STATE UNIVERSITY FOUNDATION 2505 UNIVERSITY BLVD. AMES, IA 50010	NONE	PUBLIC	CAPITAL GRANT FOR 400 SEAT LECTURE HALL FACILITY.	292,996.
IOWA STATE UNIVERSITY FOUNDATION 2505 UNIVERSITY BLVD. AMES, IA 50010	NONE	PUBLIC	CAPITAL GRANT FOR 400 SEAT LECTURE HALL FACILITY.	218,144.
IOWA CITIZENS FOR COMMUNITY IMPROVEMENT 2001 FOREST AVE. DES MOINES, IA 50311	NONE	PUBLIC	CLEAN WATER PROJECT, IMPLEMENT DNR'S WATER PROTECTION RULE TO STOP FACTORY FARM POLLUTION.	10,000.
DOROTHY DAY HOUSE P.O. BOX 12701 BERKELEY, CA 94712	NONE	PUBLIC	BERKELEY HOMELESS BREAKFAST PROJECT.	10,000.

STATEMENT 13 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
FRIENDS RESEARCH INSTITUTE 1040 PARK AVE. #103 BALTIMORE, MD 21201	NONE	PUBLIC	SAFE HOUSE SERVICE PROGRAM FOR HOMELESS LIVING WITH HIV/AIDS.	$ 5,000.
HAWAII MONTESSORI SCHOOLS 74-978 MANAWALE'A STREET KAILUA-KONA, HI 96740	NONE	PUBLIC	SCHOOL PLAYGROUND IMPROVEMENTS FOR NATL. SAFETY COUNCIL-APPROVED SURFACES.	8,000.
PROJECT FORWARD LEAP 1706 RACE STREET, 2ND FL. PHILADELPHIA, PA 19103	NONE	PUBLIC	TEXAS INSTRUMENT GRAPHING CALCULATORS FOR MIDDLE SCHOOL PROGRAM.	5,000.
CHALLENGED ATHLETES PLAYING EQUALLY P.O. BOX 10986 MURFREESBORO, TN 37129	NONE	PUBLIC	SIXTH SEASON OF THE BOWLING LEAGUE FOR CHILDREN WITH SPECIAL NEEDS.	1,000.
AMERICAN INDIAN HIGHER EDUCATION CONSORT 121 ORONOCO ST. ALEXANDRIA, VA 22314	NONE	PUBLIC	SIX LAPTOP PRIZES FOR TRIBAL COLLEGE JOURNAL ESSAY WINNERS.	2,500.
CANINE COMPANIONS FOR INDEPENDENCE 2965 DUTTON AVE. SANTA ROSA, CA 95407	NONE	PUBLIC	TRAINED ASSISTANCE DOGS FOR CHILD OR ADULT WITH A DISABILITY.	10,000.
HAMAKUA YOUTH FOUNDATION 45-3396 MAMANE STREET HONOKAA, HI 96727	NONE	PUBLIC	AFTER SCHOOL HOMEWORK LAB AND NUTRITION PROGRAM.	4,000.
			TOTAL	$ 1,454,500.

2010 FEDERAL BOOK DEPRECIATION SCHEDULE

CHANGE HAPPENS FOUNDATION
A DELAWARE NONPROFIT ORGANIZATION

20-5222620

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS. PCT.	CUR 179 BONUS	SPECIAL DEPR. ALLOW.	PRIOR 179/ BONUS/ SP. DEPR.	PRIOR DEC BAL DEPR.	SALVAG /BASIS REDUCT	DEPR. BASIS	PRIOR DEPR.	METHOD	LIFE	RATE	CURRENT DEPR.
	FORM 990/990-PF															
	MACHINERY AND EQUIPMENT															
1	EQUIPMENT	1/01/07		2,088							2,088	2,088	S/L	3		0
	TOTAL MACHINERY AND EQUIPME			2,088		0	0	0	0	0	2,088	2,088				0
	TOTAL DEPRECIATION			2,088		0	0	0	0	0	2,088	2,088				0
	GRAND TOTAL DEPRECIATION			2,088		0	0	0	0	0	2,088	2,088				0

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
75-5710 MAMALAHOA HWY

Account Number: 2N9-009034

Recipient's Identification
Number: 20-5222620

2010 TAX and YEAR-END STATEMENT

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/07/10	06/02/09	SELL	ISHARES TR RUSSELL MIDCAP INDEX FD	IWR	2,205.000	149,733.39	187,167.24	37,433.85
01/07/10	08/25/09	SELL	ISHARES TR RUSSELL MIDCAP INDEX FD	IWR	1,000.000	74,820.00	84,883.10	10,063.10
08/12/10	01/12/10	SELL	GOLDMAN SACHS GROUP INDEX LKD NTS LKD TO	GSC	1,700.000	75,526.41	68,966.96	(6,559.45)
12/02/10	01/12/10	SELL	SECTOR SPDR TR SHS BEN INT UTILITIES	XLU	3,200.000	99,067.52	99,363.52	296.00
12/10/10	12/18/09	SELL	BLACKROCK GLOBAL ALLOCATION FUND INC	MDLOX	184.142	3,266.68	3,542.89	276.21
12/10/10	07/23/10	SELL	BLACKROCK GLOBAL ALLOCATION FUND INC	MDLOX	44.357	781.12	853.43	72.31
12/10/10	01/12/10	SELL	VANGUARD BD INDEX FD INC SHORT TERM BD	BSV	2,500.000	200,166.50	201,729.25	1,562.75
12/10/10	05/11/10	SELL	VANGUARD BD INDEX FD INC SHORT TERM BD	BSV	1,250.000	100,382.75	100,864.63	481.88
Total Short Term						**703,744.37**	**747,371.02**	**43,626.65**
Long Term								
01/07/10	11/14/08	SELL	ISHARES TR MSCI EAFE INDEX FD	EFA	6,599.000	277,526.90	374,633.15	97,106.25
01/07/10	11/14/08	SELL	SPDR S&P 500 ETF TR TR UNIT	SPY	2,522.000	227,630.82	288,016.18	60,385.36
08/27/10	09/10/08	SELL	BLACKROCK GLOBAL ALLOCATION FUND INC	MDLOX	2,834.467	49,914.96	50,000.00	85.04
08/27/10	09/10/08	SELL	FIDELITY ADVISOR LEVERAGED COMPANY	FLSAX	3,724.395	118,547.49	100,000.00	(18,547.49)
08/27/10	06/03/09	SELL	OPPENHEIMER DEVELOPING MARKETS	ODMAX	1,667.779	37,108.08	50,000.00	12,891.92
12/10/10	09/10/08	SELL	BLACKROCK GLOBAL ALLOCATION FUND INC	MDLOX	10,424.630	183,577.73	200,569.88	16,992.15
12/10/10	12/15/08	SELL	BLACKROCK GLOBAL ALLOCATION FUND INC	MDLOX	576.490	8,284.16	11,091.67	2,807.51
12/10/10	07/23/09	SELL	BLACKROCK GLOBAL ALLOCATION FUND INC	MDLOX	63.460	1,039.47	1,220.97	181.50

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Total Long Term						903,629.61	1,075,531.85	171,902.24
Total Short Term and Long Term						1,607,373.98	1,822,902.87	215,528.89

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes -** If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.
- **Corporate Actions -** For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action
- **Cost Basis Service Enrollment** - The Schedule of Realized Gains and Losses will only be displayed for closing transactions during the period the account was enrolled in the cost basis service. However, proceeds will be reported on the 1099 for the full year.
- **Cost Basis Service Reenrollment** - The Schedule of Realized Gains and Losses provides you with the activity after your account was reactivated in the cost basis service. However, proceeds will be reported on the 1099 for the full year.
- **Fixed Income** - Maturities and Redemptions for short-term instruments (maturities of less than one year) are included in the Schedule of Realized Gains and Losses. However, this income is reported to the IRS on Form 1099-INT.
- **Mortgage-Backed Securities** - Each principal paydown is included in the Schedule of Realized Gains and Losses. However, proceeds are reported to the IRS on Form 1099-B only upon the sale or final redemption of the security.
- **Options (Assignment)** - For the the Schedule of Realized Gains and Losses, the underlying security proceeds are adjusted by the premium paid or received from the options contract. However, if the assignment of the options constitutes a sale of the underlying securities, the proceeds of the sale are reported to the IRS on Form 1099-B, without taking the premium into account.

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SECURITIES PURCHASED

(Continued)

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
		12/10/2010	14	707.84		
				8,515.10		
WEST PHARMACEUTICAL SVCS INC COM	955306105	11/03/2010	24	913.62		
WILEY JOHN & SONS INC CLASS A	968223206	05/24/2010	18	716.08		
WOLVERINE WORLD WIDE INC COMMON STOCK	978097103	01/25/2010	20	526.96		
		02/04/2010	27	659.24		
		07/20/2010	41	1,084.04		
		07/28/2010	9	258.84		
		10/19/2010	13	387.68		
				2,916.76		
Total				**342,685.84**	**0.00**	

Securities Purchased. Securities purchased through your account during 2010 are displayed in this section We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased Accrued interest purchased is reported separately in this section of your Tax Information Statement. The cost of securities purchased during 2010 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D.

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/05/10	03/11/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	10.000	136.06	645.54	509.48
01/05/10	04/07/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	7.000	117.53	451.88	334.35
01/07/10	03/30/09	SELL	ROBBINS & MYERS INC	RBN	64.000	954.66	1,576.66	622.00
01/07/10	04/15/09	SELL	ROBBINS & MYERS INC	RBN	16.000	281.12	394.17	113.05
01/11/10	04/07/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	4.000	67.16	265.24	198.08

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
01/13/10	04/07/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	10.000	167.89	654.28	486.39
01/13/10	04/13/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	3.000	55.35	196.28	140.93
01/13/10	04/29/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	4.000	82.88	261.71	178.83
01/15/10	08/28/09	SELL	VANTAGE DRILLING CO ORD SHS	VTG	596.000	983.40	888.04	(95.36)
01/15/10	10/23/09	SELL	VANTAGE DRILLING CO ORD SHS	VTG	196.000	390.04	292.04	(98.00)
01/15/10	04/29/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	20.000	414.40	1,279.81	865.41
01/15/10	05/27/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	19.000	519.07	1,215.82	696.75
01/15/10	10/27/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	3.000	143.37	191.98	48.61
01/21/10	07/21/09	SELL	QLOGIC CORP	QLGC	55.000	766.30	1,080.81	314.51
01/25/10	09/17/09	SELL	BJS WHSL CLUB INC COM	BJ	32.000	1,167.47	1,058.92	(108.55)
01/26/10	05/21/09	SELL	BANCORPSOUTH INC	BXS	54.000	1,186.08	1,251.37	65.29
01/26/10	07/21/09	SELL	QLOGIC CORP	QLGC	72.000	1,003.16	1,373.13	369.97
01/26/10	03/27/09	SELL	WALTER ENERGY INC COM	WLT	15.000	323.15	1,060.92	737.77
01/28/10	07/31/09	SELL	GRAFTECH INTL LTD COM	GTI	54.000	746.24	684.23	(62.01)
01/28/10	08/24/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	125.000	1,832.49	1,420.53	(411.96)
01/28/10	08/27/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	13.000	156.31	147.74	(8.57)
02/01/10	03/27/09	SELL	WALTER ENERGY INC COM	WLT	48.000	1,034.09	3,254.52	2,220.43

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/04/10	07/02/09	SELL	INTERNATIONAL SPEEDWAY CORP CL A	ISCA	56.000	1,412.01	1,441.50	29.49
02/04/10	02/01/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	81.000	4,597.04	4,532.21	(64.83)
02/04/10	06/25/09	SELL	JONES LANG LASALLE INC COM	JLL	45.000	1,461.33	2,734.61	1,273.28
02/04/10	07/09/09	SELL	JONES LANG LASALLE INC COM	JLL	4.000	121.24	243.08	121.84
02/04/10	02/04/09	SELL	LANDSTAR SYSTEMS INC COM	LSTR	24.000	872.90	865.95	(6.95)
02/04/10	03/11/09	SELL	LANDSTAR SYSTEMS INC COM	LSTR	6.000	173.34	216.49	43.15
02/04/10	03/11/09	SELL	LINDSAY CORP COM	LNN	24.000	623.76	916.12	292.36
02/05/10	10/21/09	SELL	GMX RES INC COM	GMXR	164.000	2,817.53	1,621.58	(1,195.95)
02/05/10	10/26/09	SELL	GMX RES INC COM	GMXR	58.000	867.20	573.49	(293.71)
02/05/10	07/31/09	SELL	GRAFTECH INTL LTD COM	GTI	31.000	428.40	371.39	(57.01)
02/05/10	08/04/09	SELL	GRAFTECH INTL LTD COM	GTI	41.000	613.36	491.19	(122.17)
02/05/10	03/11/09	SELL	LINDSAY CORP COM	LNN	5.000	129.95	181.83	51.88
02/05/10	03/17/09	SELL	LINDSAY CORP COM	LNN	10.000	249.32	363.65	114.33
02/05/10	04/29/09	SELL	LINDSAY CORP COM	LNN	5.000	204.77	181.83	(22.94)
02/05/10	08/06/09	SELL	LINDSAY CORP COM	LNN	6.000	233.70	218.18	(15.52)
02/05/10	08/27/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	61.000	733.48	702.74	(30.74)
02/05/10	09/02/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	11.000	122.32	126.72	4.40

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
NATIXIS VAUGHN NELSON SCV

Account Number: 2M9-003203

Recipient's Identification Number: 20-5222620

2010 TAX and YEAR-END STATEMENT
Revised Statement as of 03/06/2011

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/05/10	09/14/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	4.000	50.26	46.08	(4.18)
02/09/10	05/19/09	SELL	KEY ENERGY SVCS INC	KEG	85.000	518.89	760.73	241.84
02/25/10	04/29/09	SELL	GENERAL CABLE CORP	BGC	6.000	148.44	138.90	(9.54)
02/25/10	10/23/09	SELL	GENERAL CABLE CORP	BGC	12.000	466.08	277.80	(188.28)
02/25/10	10/27/09	SELL	GENERAL CABLE CORP	BGC	7.000	235.48	162.05	(73.43)
02/25/10	10/28/09	SELL	GENERAL CABLE CORP	BGC	9.000	289.06	208.35	(80.71)
02/25/10	11/06/09	SELL	GENERAL CABLE CORP	BGC	5.000	159.96	115.75	(44.21)
02/25/10	11/09/09	SELL	GENERAL CABLE CORP	BGC	10.000	323.58	231.51	(92.07)
02/26/10	05/21/09	SELL	BANCORPSOUTH INC	BXS	92.000	2,020.72	1,828.47	(192.25)
02/26/10	05/27/09	SELL	BANCORPSOUTH INC	BXS	12.000	263.35	238.50	(24.85)
02/26/10	06/17/09	SELL	BANCORPSOUTH INC	BXS	45.000	949.59	894.36	(55.23)
02/26/10	06/25/09	SELL	BANCORPSOUTH INC	BXS	7.000	138.59	139.12	0.53
02/26/10	07/09/09	SELL	BANCORPSOUTH INC	BXS	20.000	405.70	397.49	(8 21)
02/26/10	08/20/09	SELL	BANCORPSOUTH INC	BXS	12.000	281.96	238.49	(43.47)
03/04/10	03/11/09	SELL	ARENA RES INC CASH AND STOCK MERGER	040049108	10.000	253.80	320.06	66.26
03/04/10	03/24/09	SELL	ARENA RES INC CASH AND STOCK MERGER	040049108	9.000	258.74	288.05	29.31
03/04/10	03/30/09	SELL	ARENA RES INC CASH AND STOCK MERGER	040049108	27.000	684.73	864.16	179.43

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/04/10	07/28/09	SELL	ARENA RES INC CASH AND STOCK MERGER	040049108	6.000	191.05	192.03	0.98
03/04/10	10/13/09	SELL	ARENA RES INC CASH AND STOCK MERGER	040049108	12.000	457.68	384.07	(73.61)
03/04/10	03/11/09	SELL	LANDSTAR SYSTEMS INC COM	LSTR	4.000	115.56	157.06	41.50
03/04/10	04/07/09	SELL	LANDSTAR SYSTEMS INC COM	LSTR	10.000	332.10	392.65	60.55
03/04/10	04/29/09	SELL	LANDSTAR SYSTEMS INC COM	LSTR	62.000	2,192.35	2,434.45	242.10
03/04/10	07/09/09	SELL	LANDSTAR SYSTEMS INC COM	LSTR	6.000	208.67	235.59	26.92
03/04/10	03/11/09	SELL	PATTERSON COS INC COM	PDCO	10.000	173.60	304.42	130.82
03/04/10	03/17/09	SELL	PATTERSON COS INC COM	PDCO	10.000	176.58	304.42	127.84
03/04/10	04/29/09	SELL	PATTERSON COS INC COM	PDCO	19.000	378.83	578.40	199.57
03/08/10	03/11/09	SELL	AIRGAS INC	ARG	10.000	289.30	651.76	362.46
03/08/10	04/29/09	SELL	AIRGAS INC	ARG	2.000	85.02	130.35	45.33
03/08/10	09/17/09	SELL	BJS WHSL CLUB INC COM	BJ	85.000	3,101.08	2,885.66	(215.42)
03/08/10	10/05/09	SELL	BJS WHSL CLUB INC COM	BJ	39.000	1,384.71	1,324.01	(60.70)
03/08/10	10/12/09	SELL	BJS WHSL CLUB INC COM	BJ	8.000	290.14	271.59	(18.55)
03/08/10	02/05/10	SELL	BJS WHSL CLUB INC COM	BJ	18.000	607.32	611.08	3.76
03/11/10	07/02/09	SELL	INTERNATIONAL SPEEDWAY CORP CL A	ISCA	138.000	3,479.60	3,658.59	178.99
03/11/10	07/09/09	SELL	INTERNATIONAL SPEEDWAY CORP CL A	ISCA	2.000	48.86	53.02	4.16

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/11/10	08/11/09	SELL	INTERNATIONAL SPEEDWAY CORP CL A	ISCA	4.000	109.99	106.05	(3.94)
03/11/10	03/30/09	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	14.000	296.78	427.66	130.88
03/11/10	04/13/09	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	17.000	377.82	519.31	141.49
03/11/10	04/29/09	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	4.000	94.51	122.19	27.68
03/11/10	09/14/09	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	8.000	258.70	244.37	(14.33)
03/12/10	06/22/09	SELL	FIRSTMERIT CORP COM	FMER	67.000	1,108.12	1,402.98	294.86
03/16/10	10/20/09	SELL	CONSECO INC N/C EFF 5/11/10 1 OLD = 1	208464883	27.000	169.45	173.07	3.62
03/16/10	10/02/09	SELL	DIAMONDROCK HOSPITALITY CO COM	DRH	13.000	100.09	117.80	17.71
03/16/10	08/26/09	SELL	LOUISIANA-PAC CORP COM	LPX	5.000	37.54	41.71	4.17
03/16/10	05/21/09	SELL	OIL STS INTL INC COM	OIS	7.000	167.01	323.02	156.01
03/16/10	08/14/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	8.000	88.38	124.81	36.43
03/16/10	10/14/09	SELL	TERRITORIAL BANCORP INC COM	TBNK	13.000	210.99	260.82	49.83
03/16/10	08/14/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	20.000	129.60	138.22	8.62
03/17/10	07/21/09	SELL	QLOGIC CORP	QLGC	22.000	306.53	446.83	140.30
03/22/10	05/29/09	SELL	CHIMERA INVT CORP COM	CIM	286.000	975.26	1,166.88	191.62
03/23/10	05/29/09	SELL	CHIMERA INVT CORP COM	CIM	43.000	146.63	174.16	27.53
03/23/10	06/22/09	SELL	CHIMERA INVT CORP COM	CIM	420.000	1,339.80	1,701.06	361.26

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/23/10	06/23/09	SELL	CHIMERA INVT CORP COM	CIM	81.000	255.94	328.06	72.12
03/23/10	07/09/09	SELL	CHIMERA INVT CORP COM	CIM	66.000	217.73	267.31	49.58
03/23/10	07/14/09	SELL	CHIMERA INVT CORP COM	CIM	15.000	50.85	60.75	9.90
03/23/10	10/20/09	SELL	CHIMERA INVT CORP COM	CIM	116.000	448.92	469.82	20.90
03/23/10	11/02/09	SELL	CHIMERA INVT CORP COM	CIM	24.000	85.15	97.20	12.05
03/23/10	12/21/09	SELL	CHIMERA INVT CORP COM	CIM	107.000	414.07	433.37	19.30
03/26/10	07/09/09	SELL	JONES LANG LASALLE INC COM	JLL	2.000	60.62	142.31	81.69
03/26/10	07/20/09	SELL	JONES LANG LASALLE INC COM	JLL	9.000	303.23	640.38	337.15
03/26/10	07/31/09	SELL	JONES LANG LASALLE INC COM	JLL	17.000	641.31	1,209.59	568.28
03/29/10	05/19/09	SELL	KEY ENERGY SVCS INC	KEG	195.000	1,190.38	1,771.20	580.82
03/29/10	05/27/09	SELL	KEY ENERGY SVCS INC	KEG	70.000	431.42	635.82	204.40
03/29/10	06/25/09	SELL	KEY ENERGY SVCS INC	KEG	33.000	169.55	299.74	130.19
03/29/10	07/09/09	SELL	KEY ENERGY SVCS INC	KEG	12.000	64.30	109.00	44.70
03/29/10	10/23/09	SELL	KEY ENERGY SVCS INC	KEG	98.000	870.20	890.14	19.94
03/29/10	11/19/09	SELL	KEY ENERGY SVCS INC	KEG	31.000	242.11	281.58	39.47
03/29/10	01/20/10	SELL	KEY ENERGY SVCS INC	KEG	65.000	711.57	590.40	(121.17)
03/29/10	04/15/09	SELL	ROBBINS & MYERS INC	RBN	34.000	597.37	812.22	214.85

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/29/10	04/29/09	SELL	ROBBINS & MYERS INC	RBN	8.000	150.85	191.11	40.26
03/29/10	05/07/09	SELL	ROBBINS & MYERS INC	RBN	30.000	614.28	716.67	102.39
03/29/10	05/27/09	SELL	ROBBINS & MYERS INC	RBN	7.000	138.94	167.22	28.28
03/29/10	07/10/09	SELL	ROBBINS & MYERS INC	RBN	16.000	288.99	382.22	93.23
03/29/10	07/31/09	SELL	ROBBINS & MYERS INC	RBN	11.000	231.20	262.79	31.59
04/08/10	08/07/09	SELL	REGIS CORP MINN COM	RGS	116.000	1,726.69	2,136.74	410.05
04/15/10	08/07/09	SELL	REGIS CORP MINN COM	RGS	8.000	119.08	149.13	30.05
04/16/10	08/04/09	SELL	GRAFTECH INTL LTD COM	GTI	33.000	493.68	470.94	(22.74)
04/16/10	08/07/09	SELL	GRAFTECH INTL LTD COM	GTI	57.000	869.19	813.45	(55.74)
04/16/10	09/14/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	29.000	364.42	385.72	21.30
04/16/10	09/22/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	44.000	555.26	585.22	29.96
04/19/10	03/12/10	SELL	BANK HAWAII CORP COM	BOH	6.000	264.56	302.76	38.20
04/19/10	11/06/09	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	5.000	209.76	256.91	47.15
04/20/10	03/02/10	SELL	SILICON LABORATORIES INC COM	SLAB	15.000	692.84	767.87	75.03
04/21/10	04/29/09	SELL	MFA FINL INC COM	MFA	42.000	243.60	307.02	63.42
04/21/10	05/06/09	SELL	MFA FINL INC COM	MFA	40.000	249.60	292.40	42.80
04/21/10	07/31/09	SELL	MFA FINL INC COM	MFA	37.000	275.62	270.47	(5.15)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/21/10	12/21/09	SELL	MFA FINL INC COM	MFA	46.000	343.16	336.26	(6.90)
04/21/10	02/10/10	SELL	MFA FINL INC COM	MFA	33.000	235.61	241.24	5.63
04/22/10	03/04/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	65.000	3,969.45	4,459.70	490.25
04/28/10	08/07/09	SELL	REGIS CORP MINN COM	RGS	56.000	833.57	1,110.74	277.17
04/28/10	08/14/09	SELL	REGIS CORP MINN COM	RGS	11.000	159.57	218.18	58.61
04/29/10	10/20/09	SELL	E TRADE FINL CORP COM R/S EFF 6/2/10 1	269246104	1,103.000	1,875.10	1,886.13	11.03
04/29/10	12/28/09	SELL	E TRADE FINL CORP COM R/S EFF 6/2/10 1	269246104	1,161.000	2,089.80	1,985.31	(104.49)
04/29/10	01/05/10	SELL	E TRADE FINL CORP COM R/S EFF 6/2/10 1	269246104	281.000	508.61	480.51	(28.10)
04/29/10	03/11/10	SELL	E TRADE FINL CORP COM R/S EFF 6/2/10 1	269246104	505.000	843.35	863.55	20.20
04/29/10	04/16/10	SELL	E TRADE FINL CORP COM R/S EFF 6/2/10 1	269246104	228.000	396.72	389.88	(6.84)
04/29/10	04/28/10	SELL	E TRADE FINL CORP COM R/S EFF 6/2/10 1	269246104	189.000	347.74	323.19	(24.55)
04/29/10	08/26/09	SELL	LOUISIANA-PAC CORP COM	LPX	107.000	803.42	1,292.67	489.25
04/29/10	09/10/09	SELL	LOUISIANA-PAC CORP COM	LPX	10.000	75.39	120.81	45.42
05/06/10	03/12/10	SELL	BANK HAWAII CORP COM	BOH	66.000	2,910.19	3,352.86	442.67
05/06/10	11/06/09	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	65.000	2,726.91	2,984.23	257.32
05/10/10	05/15/09	SELL	AUTOLIV INC COM	ALV	11.000	257.33	564.46	307.13
05/10/10	05/22/09	SELL	AUTOLIV INC COM	ALV	24.000	609 67	1,231.54	621.87

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/10/10	05/28/09	SELL	AUTOLIV INC COM	ALV	3.000	77.27	153.94	76.67
05/11/10	08/07/09	SELL	ASSURED GUARANTY LTD COM	AGO	70.000	1,224.98	1,260.61	35.63
05/11/10	08/17/09	SELL	ASSURED GUARANTY LTD COM	AGO	27.000	454.25	486.24	31.99
05/11/10	08/24/09	SELL	ASSURED GUARANTY LTD COM	AGO	16.000	320.00	288.14	(31.86)
05/13/10	08/24/09	SELL	ASSURED GUARANTY LTD COM	AGO	33.000	660.00	598.62	(61.38)
05/13/10	06/15/09	SELL	HANOVER INS GROUP INC COM	THG	15.000	545.19	672.50	127.31
05/17/10	08/24/09	SELL	ASSURED GUARANTY LTD COM	AGO	7.000	140.00	115.14	(24.86)
05/17/10	10/20/09	SELL	ASSURED GUARANTY LTD COM	AGO	52.000	1,017.96	855.31	(162.65)
05/17/10	12/21/09	SELL	ASSURED GUARANTY LTD COM	AGO	20.000	447.68	328.97	(118.71)
05/17/10	03/30/10	SELL	ASSURED GUARANTY LTD COM	AGO	20.000	429.80	328.97	(100.83)
05/17/10	04/01/10	SELL	ASSURED GUARANTY LTD COM	AGO	54.000	1,229.02	888.21	(340.81)
05/17/10	04/12/10	SELL	ASSURED GUARANTY LTD COM	AGO	29.000	661.16	477.00	(184.16)
05/17/10	04/16/10	SELL	ASSURED GUARANTY LTD COM	AGO	48.000	1,117.44	789.52	(327.92)
05/17/10	04/28/10	SELL	ASSURED GUARANTY LTD COM	AGO	12.000	267.12	197.38	(69.74)
05/18/10	05/28/09	SELL	AUTOLIV INC COM	ALV	4.000	103.03	198.14	95.11
05/20/10	05/28/09	SELL	AUTOLIV INC COM	ALV	23.000	592.44	1,023.70	431.26
05/20/10	07/09/09	SELL	AUTOLIV INC COM	ALV	3.000	82.50	133.53	51.03

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/20/10	08/25/09	SELL	AUTOLIV INC COM	ALV	15.000	490.50	667.63	177.13
05/20/10	02/05/10	SELL	AUTOLIV INC COM	ALV	14.000	617.46	623.12	5.66
05/20/10	03/23/10	SELL	AUTOLIV INC COM	ALV	10.000	532.24	445.09	(87.15)
05/20/10	04/12/10	SELL	AUTOLIV INC COM	ALV	6.000	324.89	267.05	(57.84)
05/20/10	05/03/10	SELL	AUTOLIV INC COM	ALV	9.000	501.52	400.58	(100.94)
05/20/10	05/06/10	SELL	AUTOLIV INC COM	ALV	7.000	355.86	311.55	(44.31)
05/20/10	03/04/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	63.000	3,847.31	3,843.85	(3.46)
05/20/10	07/09/09	SELL	MICRO SYS INC	MCRS	22.000	512.38	720.01	207.63
05/20/10	10/29/09	SELL	MICRO SYS INC	MCRS	9.000	241.19	294.56	53.37
05/20/10	05/03/10	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	15.000	652.20	961.70	309.50
05/20/10	05/10/10	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	10.000	415.28	641.13	225.85
05/20/10	05/17/10	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	14.000	903.84	897.59	(6.25)
05/21/10	03/04/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	23.000	1,404.58	1,380.07	(24.51)
05/21/10	03/08/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	47.000	2,947.41	2,820.15	(127.26)
06/07/10	01/20/10	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	22.000	689.44	611.56	(77.88)
06/23/10	02/01/10	SELL	CARTER INC COM	CRI	48.000	1,252.40	1,351.89	99.49
06/23/10	11/06/09	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	30.000	1,258.58	1,273.24	14.66

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
NATIXIS VAUGHN NELSON SCV

Account Number: 2M9-003203

Recipient's Identification
Number. 20-5222620

2010 TAX and YEAR-END STATEMENT
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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/23/10	12/01/09	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	15.000	614.96	636.62	21.66
06/23/10	12/07/09	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	6.000	247.65	254.64	6.99
06/23/10	08/28/09	SELL	WOLVERINE WORLD WIDE INC COMMON STOCK	WWW	72.000	1,755.16	1,958.31	203.15
06/29/10	03/08/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	55.000	3,449.10	3,209.99	(239.11)
06/29/10	09/10/09	SELL	LOUISIANA-PAC CORP COM	LPX	42.000	316.64	286.45	(30.19)
06/29/10	09/25/09	SELL	LOUISIANA-PAC CORP COM	LPX	52.000	353.03	354.65	1.62
06/29/10	11/02/09	SELL	LOUISIANA-PAC CORP COM	LPX	24.000	121.91	163.68	41.77
06/29/10	02/05/10	SELL	LOUISIANA-PAC CORP COM	LPX	87.000	608.87	593.35	(15.52)
06/29/10	02/22/10	SELL	LOUISIANA-PAC CORP COM	LPX	6.000	48.71	40.92	(7.79)
06/29/10	03/04/10	SELL	LOUISIANA-PAC CORP COM	LPX	24.000	199.41	163.69	(35.72)
07/06/10	03/08/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	43.000	2,696.57	2,442.84	(253.73)
07/06/10	03/12/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	25.000	1,586.50	1,420.25	(166.25)
07/06/10	04/06/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	10.000	662.00	568.10	(93.90)
07/06/10	04/06/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	26.000	1,721.20	1,470.05	(251.15)
07/06/10	04/29/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	44.000	3,059.69	2,487.78	(571.91)
07/07/10	10/02/09	SELL	DIAMONDROCK HOSPITALITY CO COM	DRH	191.000	1,470.63	1,535.11	64.48
07/07/10	11/02/09	SELL	DIAMONDROCK HOSPITALITY CO COM	DRH	84 000	618.90	675.13	56.23

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/07/10	11/27/09	SELL	DIAMONDROCK HOSPITALITY CO COM	DRH	1.000	8.09	8.04	(0.05)
07/07/10	10/02/09	SELL	LASALLE HOTEL PPTYS COM SH BEN INT	LHO	53.000	975.68	1,040.24	64.56
07/07/10	03/04/10	SELL	TENNECO INC COM	TEN	63.000	1,353.76	1,272.87	(80.89)
07/09/10	08/14/09	SELL	REGIS CORP MINN COM	RGS	33.000	478.70	464.03	(14.67)
07/09/10	08/20/09	SELL	REGIS CORP MINN COM	RGS	5.000	79.39	70.31	(9.08)
07/09/10	08/28/09	SELL	REGIS CORP MINN COM	RGS	20.000	334.55	281.23	(53.32)
07/09/10	09/03/09	SELL	REGIS CORP MINN COM	RGS	16.000	248.00	224.98	(23.02)
07/09/10	09/14/09	SELL	REGIS CORP MINN COM	RGS	20.000	320.50	281.23	(39.27)
07/09/10	10/23/09	SELL	REGIS CORP MINN COM	RGS	29.000	484.25	407.78	(76.47)
07/09/10	11/02/09	SELL	REGIS CORP MINN COM	RGS	17.000	271.93	239.05	(32.88)
07/09/10	12/28/09	SELL	REGIS CORP MINN COM	RGS	49.000	769.72	689.02	(80.70)
07/16/10	12/09/09	SELL	HANESBRANDS INC COM	HBI	23.000	567.13	564.84	(2.29)
07/16/10	02/04/10	SELL	HANESBRANDS INC COM	HBI	31.000	681.54	761.31	79.77
07/16/10	07/06/10	SELL	HANESBRANDS INC COM	HBI	17.000	413.42	417.48	4.06
07/20/10	02/01/10	SELL	CARTER INC COM	CRI	120.000	3,131.00	3,061.24	(69.76)
07/20/10	02/04/10	SELL	CARTER INC COM	CRI	26.000	686.35	663.27	(23.08)
07/20/10	03/11/10	SELL	CARTER INC COM	CRI	9.000	275.84	229 59	(46.25)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/20/10	03/23/10	SELL	CARTER INC COM	CRI	8.000	245.66	204.09	(41.57)
07/21/10	02/05/10	SELL	MEDNAX INC COM	MD	14.000	734.34	699.21	(35.13)
07/21/10	03/26/10	SELL	MEDNAX INC COM	MD	7.000	403.82	349.60	(54.22)
07/21/10	05/06/10	SELL	MEDNAX INC COM	MD	11.000	673.54	549.38	(124.16)
07/21/10	08/21/09	SELL	REGAL ENTMT GROUP INC CL A	RGC	339.000	4,255.85	4,518.16	262.31
07/21/10	01/28/10	SELL	REGAL ENTMT GROUP INC CL A	RGC	31.000	462.15	413.16	(48.99)
07/30/10	12/07/09	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	14.000	577.85	603.42	25.57
07/30/10	12/16/09	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	10.000	426.38	431.02	4.64
07/30/10	02/04/10	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	11.000	438.77	474.12	35.35
07/30/10	10/21/09	SELL	MF GLOBAL HLDGS LTD COM	MF	195.000	1,591.67	1,250.12	(341.55)
07/30/10	03/02/10	SELL	SILICON LABORATORIES INC COM	SLAB	22.000	1,016.16	887.63	(128.53)
07/30/10	08/14/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	30.000	331.43	536.74	205.31
07/30/10	08/14/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	33.000	364.57	582.13	217.56
07/30/10	08/20/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	25.000	277.50	441.00	163.50
07/30/10	08/14/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	88.000	570.24	601.08	30.84
07/30/10	08/20/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	81.000	545.10	553.26	8.16
07/30/10	08/24/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	41.000	303 79	280.05	(23.74)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/25/10	06/25/10	SELL	CAPITOL FED FINL C/A EFF 12/21/10 1 OLD=	14057C106	199.000	6,820.82	5,493.12	(1,327.70)
08/25/10	07/19/10	SELL	CAPITOL FED FINL C/A EFF 12/21/10 1 OLD=	14057C106	10.000	328.65	276.04	(52.61)
08/25/10	07/29/10	SELL	CAPITOL FED FINL C/A EFF 12/21/10 1 OLD=	14057C106	16.000	504.35	441.65	(62.70)
08/25/10	06/30/10	SELL	COMSTOCK RES INC COM NEW	CRK	63.000	1,766.38	1,293.80	(472.58)
08/25/10	10/29/09	SELL	DANVERS BANCORP INC COM	DNBK	10.000	138.83	149.91	11.08
08/25/10	10/14/09	SELL	TERRITORIAL BANCORP INC COM	TBNK	41.000	665.43	701.18	35.75
08/25/10	12/10/09	SELL	TERRITORIAL BANCORP INC COM	TBNK	32.000	552.74	547.26	(5.48)
08/25/10	07/12/10	SELL	TERRITORIAL BANCORP INC COM	TBNK	10.000	186.36	171.02	(15.34)
08/25/10	10/14/09	SELL	UNITED FINL BANCORP INC MD COM	UBNK	53.000	650.36	715.34	64.98
08/25/10	12/10/09	SELL	UNITED FINL BANCORP INC MD COM	UBNK	62.000	803.25	836.81	33.56
08/30/10	12/21/09	SELL	ARES CAP CORP COM	ARCC	92.000	1,131.59	1,346.88	215.29
08/30/10	01/11/10	SELL	ASSOCIATED BANC CORP COM	ASBC	106.000	1,221.12	1,311.26	90.14
08/30/10	03/12/10	SELL	BANK HAWAII CORP COM	BOH	23.000	1,014.16	1,022.81	8.65
08/30/10	05/24/10	SELL	BRIGHAM EXPL CO COM	BEXP	46.000	696.20	734.62	38.42
08/30/10	05/14/10	SELL	CLECO CORP NEW COM	CNL	49.000	1,326.92	1,385.31	58.39
08/30/10	10/20/09	SELL	CNO FINL GROUP INC COM	CNO	170.000	1,066.88	799.01	(267.87)
08/30/10	10/28/09	SELL	CNO FINL GROUP INC COM	CNO	74.000	392.91	347.81	(45.10)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/30/10	05/26/10	SELL	CONSOLIDATED GRAPHICS INC COM	CGX	17.000	734.65	685.27	(49.38)
08/30/10	02/10/10	SELL	CORRECTIONS CORP AMER NEW COM NEW	CXW	47.000	868.18	1,029.77	161.59
08/30/10	11/27/09	SELL	DIAMONDROCK HOSPITALITY CO COM	DRH	20.000	161.77	179.80	18.03
08/30/10	01/06/10	SELL	DIAMONDROCK HOSPITALITY CO COM	DRH	84.000	753.20	755.17	1.97
08/30/10	05/13/10	SELL	EL PASO ELECTRIC CO COM NEW	EE	77.000	1,659.29	1,744.05	84.76
08/30/10	05/06/10	SELL	GLEACHER & CO INC COM	GLCH	157.000	549.50	279.77	(269.73)
08/30/10	01/15/10	SELL	GOVERNMENT PPTYS INCOME TR COM SHS	GOV	52.000	1,147.41	1,327.56	180.15
08/30/10	10/02/09	SELL	GRAFTECH INTL LTD COM	GTI	3.000	39.86	42.60	2.74
08/30/10	02/04/10	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	7.000	279.21	269.43	(9.78)
08/30/10	03/15/10	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	13.000	662.01	500.37	(161.64)
08/30/10	04/29/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	17.000	1,182.15	962.54	(219.61)
08/30/10	05/26/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	67.000	4,123.18	3,793.54	(329.64)
08/30/10	08/25/10	SELL	KBW INC COM	KBW	11.000	237.20	244.53	7.33
08/30/10	05/20/10	SELL	KILROY REALTY CORP COM	KRC	18.000	566.76	555.66	(11.10)
08/30/10	03/08/10	SELL	KRATON PERFORMANCE POLYMERS INCCOM	KRA	96.000	1,504.16	2,493.12	988.96
08/30/10	10/02/09	SELL	LASALLE HOTEL PPTYS COM SH BEN INT	LHO	43.000	791.59	906.44	114.85
08/30/10	03/04/10	SELL	LOUISIANA-PAC CORP COM	LPX	39.000	324.04	268.71	(55 33)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/30/10	05/20/10	SELL	LOUISIANA-PAC CORP COM	LPX	5.000	39.95	34.45	(5.50)
08/30/10	10/21/09	SELL	MF GLOBAL HLDGS LTD COM	MF	115.000	938.68	765.91	(172.77)
08/30/10	02/10/10	SELL	MYR GROUP INC DEL COM	MYRG	45.000	682.16	630.68	(51.48)
08/30/10	06/24/10	SELL	MID-AMER APT CMNTYS INC COM	MAA	14.000	728.12	785.54	57.42
08/30/10	12/16/09	SELL	NORTHWEST BANCSHARES INC MD COM	NWBI	91.000	984.82	968.24	(16.58)
08/30/10	06/18/10	SELL	OASIS PETE INC NEW COM	OAS	63.000	966.21	1,039.50	73.29
08/30/10	03/12/10	SELL	RESOLUTE ENERGY CORP COM	REN	52.000	637.48	560.04	(77.44)
08/30/10	03/02/10	SELL	SILICON LABORATORIES INC COM	SLAB	18.000	831.40	695.16	(136.24)
08/30/10	02/05/10	SELL	SILGAN HLDGS INC COM	SLGN	69.000	1,862.68	2,037.57	174.89
08/30/10	03/04/10	SELL	TENNECO INC COM	TEN	1.000	21.49	25.30	3.81
08/30/10	03/11/10	SELL	TENNECO INC COM	TEN	45.000	962.74	1,138.50	175.76
08/30/10	09/22/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	54.000	681.46	465.01	(216.45)
08/30/10	10/12/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	6.000	78.35	51.67	(26.68)
08/30/10	10/13/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	52.000	673.30	447.78	(225.52)
08/30/10	10/26/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	17.000	194.31	146.39	(47.92)
08/30/10	10/28/09	SELL	THOMPSON CREEK METALS CO INC COM	TC	1.000	10.22	8.61	(1.61)
08/30/10	04/15/10	SELL	TOWER GROUP INC COM	TWGP	53.000	1,189.84	1,180.84	(9.00)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/30/10	09/14/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	41.000	322.64	283.37	(39.27)
08/30/10	10/12/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	42.000	343.52	290.27	(53.25)
08/30/10	08/12/10	SELL	VALASSIS COMMUNICATIONS INC	VCI	24.000	762.00	720.48	(41.52)
08/30/10	01/14/10	SELL	WESCO INTL INC COM	WCC	43.000	1,291.65	1,410.83	119.18
09/21/10	12/28/09	SELL	HEXCEL CORP NEW COM	HXL	79.000	1,043.17	1,464.04	420.87
09/22/10	05/06/10	SELL	GLEACHER & CO INC COM	GLCH	382.000	1,337.01	643.52	(693.49)
09/22/10	07/08/10	SELL	GLEACHER & CO INC COM	GLCH	117.000	294.19	197.10	(97.09)
09/22/10	07/28/10	SELL	GLEACHER & CO INC COM	GLCH	44.000	97.24	74.12	(23.12)
10/05/10	10/12/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	6.000	49.07	56.04	6.97
10/05/10	10/23/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	47.000	285.24	439.00	153.76
10/11/10	03/15/10	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	53.000	2,698.97	3,439.75	740.78
10/11/10	05/11/10	SELL	GYMBOREE CORP COM C/A EFF 11/23/2010	GYMB	18.000	800.79	1,168.22	367.43
10/12/10	10/23/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	26.000	157.80	238.00	80 20
10/12/10	11/02/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	57.000	298.09	521.78	223.69
10/19/10	05/26/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	46.000	2,830.84	2,989.16	158.32
10/19/10	06/24/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	14.000	840.42	909.74	69.32
10/20/10	02/05/10	SELL	SILGAN HLDGS INC COM	SLGN	34.000	917 85	1,147.19	229 34

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
NATIXIS VAUGHN NELSON SCV

Account Number: 2M9-003203

Recipient's Identification Number: 20-5222620

2010 TAX and YEAR-END STATEMENT
Revised Statement as of 03/06/2011

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/05/10	03/08/10	SELL	KRATON PERFORMANCE POLYMERS INCCOM	KRA	26.000	407.37	756.08	348.71
11/05/10	10/19/10	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	32.000	753.08	970.57	217.49
11/30/10	12/16/09	SELL	NORTHWEST BANCSHARES INC MD COM	NWBI	53.000	573.58	548.55	(25.03)
11/30/10	12/29/09	SELL	NORTHWEST BANCSHARES INC MD COM	NWBI	154.000	1,732.27	1,593.90	(138.37)
11/30/10	01/12/10	SELL	NORTHWEST BANCSHARES INC MD COM	NWBI	103.000	1,160.71	1,066.05	(94.66)
11/30/10	02/05/10	SELL	NORTHWEST BANCSHARES INC MD COM	NWBI	77.000	882.09	796.95	(85.14)
11/30/10	03/23/10	SELL	NORTHWEST BANCSHARES INC MD COM	NWBI	26.000	307.57	269.10	(38.47)
12/01/10	06/18/10	SELL	OASIS PETE INC NEW COM	OAS	15.000	230.05	402.00	171.95
12/06/10	03/11/10	SELL	TENNECO INC COM	TEN	3.000	64.18	121.39	57.21
12/06/10	03/25/10	SELL	TENNECO INC COM	TEN	5.000	123.47	202.32	78.85
12/06/10	04/09/10	SELL	TENNECO INC COM	TEN	14.000	340.06	566.50	226.44
12/06/10	04/21/10	SELL	TENNECO INC COM	TEN	6.000	144.30	242.78	98.48
12/07/10	04/21/10	SELL	TENNECO INC COM	TEN	4.000	96.20	166.32	70.12
12/07/10	04/29/10	SELL	TENNECO INC COM	TEN	5.000	134.42	207.91	73.49
12/08/10	03/02/10	SELL	SILICON LABORATORIES INC COM	SLAB	19.000	877.59	883.37	5.78
12/08/10	03/19/10	SELL	SILICON LABORATORIES INC COM	SLAB	10.000	468.08	464.93	(3.15)
12/08/10	03/26/10	SELL	SILICON LABORATORIES INC COM	SLAB	14.000	672.69	650.90	(21.79)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/08/10	04/19/10	SELL	SILICON LABORATORIES INC COM	SLAB	3.000	150.69	139.48	(11.21)
12/10/10	06/24/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	10.000	600.30	697.12	96.82
12/10/10	07/13/10	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	134.000	8,038.66	9,341.34	1,302.68
12/10/10	12/16/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	14.000	86.24	174.32	88.08
12/14/10	01/25/10	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	6.000	83.93	162.63	78.70
12/20/10	01/20/10	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	74.000	451.39	853.29	401.90
12/30/10	01/25/10	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	36.000	503.60	1,049.05	545.45
Total Short Term						**238,031.17**	**255,508.67**	**17,477.50**
Long Term								
01/21/10	01/14/08	SELL	LINCOLN ELEC HLDGS INC COM	LECO	16.000	1,003.71	879.71	(124.00)
01/26/10	06/27/08	SELL	GENERAL CABLE CORP	BGC	4.000	240.34	121.49	(118.85)
01/26/10	09/16/08	SELL	GENERAL CABLE CORP	BGC	17.000	598.17	516.34	(81.83)
01/26/10	09/23/08	SELL	GENERAL CABLE CORP	BGC	10.000	369.25	303.73	(65.52)
01/26/10	10/15/08	SELL	GENERAL CABLE CORP	BGC	7.000	122.23	212.61	90.38
01/28/10	06/27/08	SELL	HCC INS HLDGS INC COM	HCC	19.000	410.70	513.19	102.49
01/28/10	01/14/08	SELL	LINCOLN ELEC HLDGS INC COM	LECO	6.000	376.39	289.79	(86.60)
01/28/10	03/17/08	SELL	LINCOLN ELEC HLDGS INC COM	LECO	28.000	1,808.27	1,352.37	(455.90)
01/28/10	07/25/08	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	41.000	1,129.48	1,163.24	33.76

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NATIXIS VAUGHN NELSON SCV

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2010 TAX and YEAR-END STATEMENT
Revised Statement as of 03/06/2011

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
01/28/10	08/04/08	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	6.000	176.57	170.23	(6.34)
02/05/10	08/04/08	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	8.000	235.42	226.17	(9.25)
02/05/10	11/05/08	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	18.000	397.89	508.88	110.99
02/09/10	09/23/08	SELL	ARENA RES INC CASH AND STOCK MERGER	040049108	6.000	277.98	235.50	(42.48)
02/09/10	11/03/08	SELL	ARENA RES INC CASH AND STOCK MERGER	040049108	7.000	196.14	274.75	78.61
02/09/10	10/15/08	SELL	GENERAL CABLE CORP	BGC	10.000	174.62	278.94	104.32
02/09/10	10/24/08	SELL	GENERAL CABLE CORP	BGC	9.000	130.52	251.04	120.52
02/11/10	01/28/08	SELL	AIRGAS INC	ARG	36.000	1,540.18	2,206.93	666.75
02/11/10	03/18/08	SELL	AIRGAS INC	ARG	1.000	43.89	61.30	17.41
02/16/10	10/24/08	SELL	GENERAL CABLE CORP	BGC	21.000	304.56	505.52	200.96
02/16/10	10/28/08	SELL	GENERAL CABLE CORP	BGC	17.000	257.26	409.23	151.97
02/16/10	11/18/08	SELL	PATTERSON COS INC COM	PDCO	76.000	1,638.05	2,195.10	557.05
02/22/10	03/18/08	SELL	AIRGAS INC	ARG	20.000	877.90	1,272.02	394.12
02/25/10	10/28/08	SELL	GENERAL CABLE CORP	BGC	23.000	348.05	532.45	184.40
02/26/10	03/18/08	SELL	AIRGAS INC	ARG	28.000	1,229.06	1,779.27	550.21
03/04/10	11/03/08	SELL	ARENA RES INC CASH AND STOCK MERGER	040049108	8.000	224.16	256.05	31.89
03/04/10	11/19/08	SELL	ARENA RES INC CASH AND STOCK MERGER	040049108	7.000	152.60	224.04	71.44

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/04/10	01/16/09	SELL	ARENA RES INC CASH AND STOCK MERGER	040049108	34.000	829.89	1,088.20	258.31
03/04/10	02/23/09	SELL	ARENA RES INC CASH AND STOCK MERGER	040049108	14.000	267.58	448.08	180.50
03/04/10	05/01/08	SELL	KAYDON CORP	KDN	7.000	375.30	241.50	(133.80)
03/04/10	08/25/08	SELL	KAYDON CORP	KDN	40.000	2,131.15	1,380.00	(751.15)
03/04/10	11/18/08	SELL	PATTERSON COS INC COM	PDCO	33.000	711.26	1,004.60	293.34
03/04/10	11/19/08	SELL	PATTERSON COS INC COM	PDCO	38.000	797.01	1,156.81	359.80
03/04/10	11/26/08	SELL	PATTERSON COS INC COM	PDCO	34.000	625.46	1,035.04	409.58
03/04/10	12/04/08	SELL	PATTERSON COS INC COM	PDCO	31.000	555.21	943.71	388.50
03/04/10	12/09/08	SELL	PATTERSON COS INC COM	PDCO	30.000	546.16	913.27	367.11
03/04/10	12/19/08	SELL	PATTERSON COS INC COM	PDCO	10.000	185.38	304.42	119.04
03/04/10	01/26/09	SELL	PATTERSON COS INC COM	PDCO	4.000	73.86	121.77	47.91
03/08/10	03/18/08	SELL	AIRGAS INC	ARG	1.000	43.89	65.18	21.29
03/08/10	07/28/08	SELL	AIRGAS INC	ARG	18.000	1,000.51	1,173.16	172.65
03/08/10	09/23/08	SELL	AIRGAS INC	ARG	7.000	353.26	456.23	102.97
03/08/10	10/07/08	SELL	AIRGAS INC	ARG	8.000	336.75	521.41	184.66
03/08/10	12/19/08	SELL	AIRGAS INC	ARG	4.000	148.27	260.70	112.43
03/08/10	01/26/09	SELL	AIRGAS INC	ARG	6.000	229.19	391.05	161.86

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/11/10	11/05/08	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	1.000	22.11	30.55	8.44
03/11/10	12/04/08	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	11.000	197.43	336.02	138.59
03/11/10	12/19/08	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	19.000	385.94	580.40	194.46
03/11/10	01/16/09	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	17.000	322.88	519.31	196.43
03/11/10	01/26/09	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	1.000	19.79	30.55	10.76
03/11/10	02/12/09	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	16.000	322.98	488.76	165.78
03/12/10	10/02/08	SELL	CONCHO RES INC COM	CXO	9.000	217.76	451.62	233.86
03/12/10	05/30/08	SELL	MEDNAX INC COM	MD	8.000	426.41	437.46	11.05
03/15/10	10/08/08	SELL	PROSPERITY BANCSHARES INC COM	PRSP	12.000	386.59	480.57	93.98
03/15/10	10/09/08	SELL	PROSPERITY BANCSHARES INC COM	PRSP	16.000	483.22	640.76	157.54
03/15/10	10/27/08	SELL	PROSPERITY BANCSHARES INC COM	PRSP	38.000	1,152.07	1,521.80	369.73
03/15/10	11/18/08	SELL	PROSPERITY BANCSHARES INC COM	PRSP	8.000	242.80	320.38	77.58
03/15/10	12/19/08	SELL	PROSPERITY BANCSHARES INC COM	PRSP	11.000	300.84	440.51	139.67
03/16/10	09/24/08	SELL	MFA FINL INC COM	MFA	5.000	32.05	35.55	3.50
03/16/10	10/22/08	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	7.000	149.65	227.29	77.64
03/16/10	06/30/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	7.000	263.36	372.75	109.39
03/16/10	02/21/08	SELL	WASTE CONNECTIONS INC COM	WCN	10.000	307.98	346 40	38.42

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/18/10	02/21/08	SELL	WASTE CONNECTIONS INC COM	WCN	19.000	585.16	665.95	80.79
03/25/10	06/21/07	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	26.000	640.22	1,228.65	588.43
04/01/10	06/21/07	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	29.000	714.09	1,353.48	639.39
04/12/10	06/21/07	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	23.000	566.35	1,079.90	513.55
04/14/10	08/03/07	SELL	AARONS INC C/A EFF 12/13/10 1 OLD=	002535201	42.000	981.96	1,457.94	475.98
04/14/10	02/21/08	SELL	WASTE CONNECTIONS INC COM	WCN	3.000	92.39	106.60	14.21
04/14/10	03/24/08	SELL	WASTE CONNECTIONS INC COM	WCN	21.000	664.93	746.20	81.27
04/15/10	06/27/08	SELL	HCC INS HLDGS INC COM	HCC	20.000	432.31	551.60	119.29
04/15/10	07/17/08	SELL	HCC INS HLDGS INC COM	HCC	28.000	568.71	772.24	203.53
04/15/10	06/30/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	6.000	225.74	385.14	159.40
04/16/10	03/24/08	SELL	WASTE CONNECTIONS INC COM	WCN	34.000	1,076.56	1,173.56	97.00
04/16/10	05/07/08	SELL	WASTE CONNECTIONS INC COM	WCN	12.000	373.58	414.20	40.62
04/19/10	03/17/08	SELL	LINCOLN ELEC HLDGS INC COM	LECO	5.000	322.91	294.15	(28.76)
04/20/10	06/21/07	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	14.000	344.74	647.34	302.60
04/20/10	06/29/07	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	34.000	825.48	1,572.11	746.63
04/21/10	08/03/07	CLEU	AARONS INC C/A EFF 12/13/10 1 OLD=	002535201	0.500	7.79	11.42	3.63
04/21/10	09/24/08	SELL	MFA FINL INC COM	MFA	48.000	307.65	350.88	43 23

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
04/21/10	11/05/08	SELL	MFA FINL INC COM	MFA	199.000	1,156.99	1,454.69	297.70
04/21/10	12/04/08	SELL	MFA FINL INC COM	MFA	51.000	301.52	372.81	71.29
04/21/10	12/19/08	SELL	MFA FINL INC COM	MFA	12.000	71.34	87.72	16.38
04/21/10	01/16/09	SELL	MFA FINL INC COM	MFA	34.000	205.70	248.54	42.84
04/21/10	01/26/09	SELL	MFA FINL INC COM	MFA	35.000	208.69	255.85	47.16
04/21/10	02/04/09	SELL	MFA FINL INC COM	MFA	2.000	11.50	14.62	3.12
04/21/10	02/18/09	SELL	MFA FINL INC COM	MFA	1.000	5.93	7.31	1.38
04/21/10	03/11/09	SELL	MFA FINL INC COM	MFA	10.000	57.60	73.10	15.50
04/23/10	06/30/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	38.000	1,429.70	2,574.61	1,144.91
04/23/10	10/27/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	17.000	390.97	1,151.80	760.83
04/23/10	11/05/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	10.000	239.16	677.53	438.37
04/23/10	11/26/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	3.000	49.89	203.26	153.37
05/10/10	05/06/09	SELL	AUTOLIV INC COM	ALV	19.000	490.17	974.97	484.80
05/10/10	05/08/08	SELL	MICRO SYS INC	MCRS	15.000	459.37	516.87	57.50
05/10/10	06/10/08	SELL	MICRO SYS INC	MCRS	70.000	2,191.34	2,412.05	220.71
05/10/10	09/23/08	SELL	MICRO SYS INC	MCRS	4.000	113.14	137.83	24.69
05/10/10	10/15/08	SELL	MICRO SYS INC	MCRS	10 000	202.79	344.58	141.79

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
05/10/10	11/26/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	23.000	382.52	1,345.79	963.27
05/10/10	12/04/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	7.000	130.95	409.59	278.64
05/10/10	12/09/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	4.000	80.97	234.05	153.08
05/11/10	11/18/08	SELL	HANESBRANDS INC COM	HBI	45.000	610.66	1,248.41	637.75
05/11/10	10/15/08	SELL	MICRO SYS INC	MCRS	5.000	101.40	173.76	72.36
05/11/10	10/24/08	SELL	MICRO SYS INC	MCRS	27.000	505.74	938.28	432.54
05/11/10	10/27/08	SELL	MICRO SYS INC	MCRS	5.000	90.56	173.75	83.19
05/13/10	06/29/07	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	28.000	679.80	1,801.28	1,121.48
05/13/10	08/03/07	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	50.000	1,190.00	3,216.57	2,026.57
05/13/10	08/22/07	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	12.000	275.28	771.98	496.70
05/20/10	10/02/08	SELL	CONCHO RES INC COM	CXO	33.000	798.45	1,592.85	794.40
05/20/10	10/27/08	SELL	MICRO SYS INC	MCRS	15.000	271.66	490.92	219.26
05/20/10	01/26/09	SELL	MICRO SYS INC	MCRS	12.000	179.08	392.73	213.65
05/20/10	02/12/09	SELL	MICRO SYS INC	MCRS	22.000	367.21	720.01	352.80
05/20/10	03/11/09	SELL	MICRO SYS INC	MCRS	10.000	162.50	327.28	164.78
05/20/10	04/29/09	SELL	MICRO SYS INC	MCRS	8.000	174.07	261.82	87.75
05/20/10	08/22/07	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	58.000	1,330.53	3,718.57	2,388.04

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
05/20/10	10/21/08	SELL	SYBASE INC COM C/A EFF 07/29/10 1 OLD =	871130100	13.000	354.98	833.47	478.49
05/20/10	09/24/08	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	156.000	2,564.02	2,933.16	369.14
05/28/10	09/24/08	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	65.000	1,068.34	1,293.97	225.63
06/04/10	10/22/08	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	98.000	2,095.13	2,778.50	683.37
06/07/10	10/22/08	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	41.000	876.54	1,139.75	263.21
06/07/10	11/14/08	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	18.000	382.04	500.38	118.34
06/07/10	12/09/08	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	5.000	110.67	138.99	28.32
06/07/10	12/19/08	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	26.000	593.63	722.77	129.14
06/07/10	01/16/09	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	4.000	86.16	111.20	25.04
06/07/10	01/26/09	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	8.000	159.90	222.39	62.49
06/07/10	03/11/09	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	10.000	231.70	277.99	46.29
06/07/10	05/06/09	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	20.000	512.60	555.98	43.38
06/10/10	08/03/07	SELL	NORDSON CORP	NDSN	26.000	1,212.38	1,604.57	392.19
06/10/10	09/18/07	SELL	NORDSON CORP	NDSN	1.000	47.40	61.71	14.31
06/10/10	01/04/08	SELL	NORDSON CORP	NDSN	1.000	54.37	61.71	7.34
06/10/10	03/18/08	SELL	NORDSON CORP	NDSN	1.000	51.63	61.72	10.09
06/16/10	10/27/08	SELL	SRA INTL INC CL A COM	SRX	11 000	186.30	240 17	53.87

TRANSACTIONS WE DO NOT REPORT TO THE IRS
(Continued)

SCHEDULE of REALIZED GAINS and LOSSES
(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
06/16/10	02/18/09	SELL	SRA INTL INC CL A COM	SRX	32.000	441.50	698.69	257.19
06/18/10	10/02/08	SELL	CONCHO RES INC COM	CXO	12.000	290.35	710.62	420.27
06/18/10	11/19/08	SELL	CONCHO RES INC COM	CXO	3.000	61.41	177.65	116.24
06/23/10	11/18/08	SELL	HANESBRANDS INC COM	HBI	18.000	244.27	469.44	225.17
06/23/10	12/09/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	16.000	323.87	816.80	492.93
06/24/10	11/18/08	SELL	HANESBRANDS INC COM	HBI	40.000	542.81	1,010.99	468.18
06/24/10	12/04/08	SELL	HANESBRANDS INC COM	HBI	33.000	420.85	834.07	413.22
06/24/10	12/09/08	SELL	HANESBRANDS INC COM	HBI	3.000	43.17	75.82	32.65
06/24/10	12/19/08	SELL	HANESBRANDS INC COM	HBI	54.000	719.33	1,364.84	645.51
06/24/10	01/16/09	SELL	HANESBRANDS INC COM	HBI	2.000	22.96	50.55	27.59
06/24/10	01/16/09	SELL	NORDSON CORP	NDSN	5.000	157.70	293.11	135.41
06/24/10	01/26/09	SELL	NORDSON CORP	NDSN	5.000	161.43	293.11	131.68
06/24/10	03/11/09	SELL	NORDSON CORP	NDSN	10.000	225.90	586.21	360.31
06/24/10	03/27/09	SELL	NORDSON CORP	NDSN	5.000	150.61	293.10	142.49
07/06/10	09/24/08	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	19.000	312.28	397.24	84.96
07/06/10	10/06/08	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	25.000	404.63	522.69	118.06
07/07/10	03/17/08	SELL	LINCOLN ELEC HLDGS INC COM	LECO	8.000	516.65	394.02	(122.63)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/16/10	01/16/09	SELL	HANESBRANDS INC COM	HBI	17.000	195.14	417.49	222.35
07/16/10	01/30/09	SELL	HANESBRANDS INC COM	HBI	23.000	207.45	564.84	357.39
07/16/10	02/23/09	SELL	HANESBRANDS INC COM	HBI	1.000	6.49	24.56	18.07
07/16/10	03/11/09	SELL	HANESBRANDS INC COM	HBI	20.000	135.60	491.17	355.57
07/16/10	04/29/09	SELL	HANESBRANDS INC COM	HBI	19.000	285.19	466.61	181.42
07/21/10	11/19/08	SELL	CONCHO RES INC COM	CXO	4.000	81.88	230.10	148.22
07/21/10	01/26/09	SELL	CONCHO RES INC COM	CXO	7.000	158.92	402.67	243.75
07/21/10	03/11/09	SELL	CONCHO RES INC COM	CXO	7.000	152.60	402.66	250.06
07/21/10	05/30/08	SELL	MEDNAX INC COM	MD	24.000	1,279.23	1,198.64	(80.59)
07/21/10	06/27/08	SELL	MEDNAX INC COM	MD	35.000	1,765.30	1,748.02	(17.28)
07/21/10	09/23/08	SELL	MEDNAX INC COM	MD	2.000	104.20	99.89	(4.31)
07/21/10	10/06/08	SELL	MEDNAX INC COM	MD	20.000	832.01	998.87	166.86
07/21/10	10/07/08	SELL	MEDNAX INC COM	MD	16.000	649.07	799.10	150.03
07/21/10	10/27/08	SELL	MEDNAX INC COM	MD	9.000	315.46	449.49	134.03
07/21/10	02/23/09	SELL	MEDNAX INC COM	MD	1.000	35.53	49.94	14.41
08/25/10	02/09/09	SELL	DANVERS BANCORP INC COM	DNBK	26.000	337.55	389.74	52.19
08/25/10	02/23/09	SELL	DANVERS BANCORP INC COM	DNBK	12.000	149.41	179.88	30.47

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/25/10	03/11/09	SELL	DANVERS BANCORP INC COM	DNBK	30.000	382.50	449.71	67.21
08/25/10	04/29/09	SELL	DANVERS BANCORP INC COM	DNBK	26.000	376.62	389.74	13.12
08/25/10	07/02/09	SELL	DANVERS BANCORP INC COM	DNBK	68.000	899.57	1,019.33	119.76
08/30/10	07/17/08	SELL	ASPEN INSURANCE HLDGS LTD SHS	AHL	38.000	881.80	1,065.52	183.72
08/30/10	08/03/07	SELL	AARONS INC C/A EFF 12/13/10 1 OLD=	002535201	22.000	342.91	366.30	23.39
08/30/10	10/03/07	SELL	AARONS INC C/A EFF 12/13/10 1 OLD=	002535201	22.500	329.70	374.63	44.93
08/30/10	01/09/08	SELL	AARONS INC C/A EFF 12/13/10 1 OLD=	002535201	7.500	85.95	124.87	38.92
08/30/10	02/23/09	SELL	AARONS INC C/A EFF 12/13/10 1 OLD=	002535201	1.500	24.83	24.97	0.14
08/30/10	03/11/09	SELL	AARONS INC C/A EFF 12/13/10 1 OLD=	002535201	7.500	119.20	124.88	5.68
08/30/10	07/25/08	SELL	ACTUANT CORP CL A NEW	ATU	72.000	2,211.73	1,426.32	(785.41)
08/30/10	08/11/09	SELL	APOLLO INVT CORP COM	AINV	169.000	1,496.65	1,594.01	97.36
08/30/10	10/07/08	SELL	CACI INTL INC CL A	CACI	35.000	1,651.61	1,486.10	(165.51)
08/30/10	03/11/09	SELL	CONCHO RES INC COM	CXO	15.000	327.00	885.15	558.15
08/30/10	08/26/09	SELL	FIFTH ST FIN CORP COM	FSC	89.000	957.27	881.10	(76.17)
08/30/10	02/13/08	SELL	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	49.000	459.70	1,167.67	707.97
08/30/10	06/22/09	SELL	FIRSTMERIT CORP COM	FMER	34.000	562.33	577.32	14.99
08/30/10	08/07/09	SELL	GRAFTECH INTL LTD COM	GTI	53.000	808.20	752.60	(55.60)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	07/17/08	SELL	HCC INS HLDGS INC COM	HCC	61.000	1,238.96	1,536.59	297.63
08/30/10	08/04/08	SELL	HCC INS HLDGS INC COM	HCC	14.000	323.48	352.66	29.18
08/30/10	06/15/09	SELL	HANOVER INS GROUP INC COM	THG	59.000	2,144.40	2,566.50	422.10
08/30/10	08/26/09	SELL	HEXCEL CORP NEW COM	HXL	79.000	888.74	1,371.44	482.70
08/30/10	08/25/08	SELL	KAYDON CORP	KDN	11.000	586.07	358.16	(227.91)
08/30/10	03/17/08	SELL	LINCOLN ELEC HLDGS INC COM	LECO	9.000	581.23	453.42	(127.81)
08/30/10	07/17/08	SELL	LINCOLN ELEC HLDGS INC COM	LECO	10.000	691.94	503.80	(188.14)
08/30/10	05/21/09	SELL	OIL STS INTL INC COM	OIS	32.000	763.48	1,334.72	571.24
08/30/10	07/10/09	SELL	PACKAGING CORP AMER COM	PKG	79.000	1,265.96	1,774.34	508.38
08/30/10	12/09/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	7.000	141.69	321.79	180.10
08/30/10	12/11/08	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	1.000	17.57	45.97	28.40
08/30/10	01/16/09	SELL	PHILLIPS VAN HEUSEN CORP COM	PVH	12.000	226.16	551.64	325.48
08/30/10	12/19/08	SELL	PROSPERITY BANCSHARES INC COM	PRSP	8.000	218.80	234.00	15.20
08/30/10	01/26/09	SELL	PROSPERITY BANCSHARES INC COM	PRSP	16.000	391.44	468.00	76.56
08/30/10	07/21/09	SELL	QLOGIC CORP	QLGC	1.000	13.93	15.10	1.17
08/30/10	07/31/09	SELL	QLOGIC CORP	QLGC	26.000	341.34	392.60	51.26
08/30/10	08/14/09	SELL	QLOGIC CORP	QLGC	27.000	388.49	407.70	19.21

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	08/18/09	SELL	QLOGIC CORP	QLGC	6.000	84.06	90.60	6.54
08/30/10	02/18/09	SELL	SRA INTL INC CL A COM	SRX	29.000	400.11	567.53	167.42
08/30/10	08/05/08	SELL	THE SCOTTS MIRACLE GRO CO HLDG CO	SMG	43.000	1,002.39	2,052.82	1,050.43
08/30/10	07/31/09	SELL	SENSIENT TECHNOLOGIES CORP	SXT	46.000	1,158.56	1,263.62	105.06
08/30/10	08/14/09	SELL	SENSIENT TECHNOLOGIES CORP	SXT	14.000	356.31	384.58	28.27
08/30/10	08/20/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	26.000	288.60	464.62	176.02
08/30/10	08/24/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	47.000	576.20	839.89	263.69
08/30/10	08/27/08	SELL	SMITH A O CORP COMMON	AOS	12.000	494.84	619.68	124.84
08/30/10	10/06/08	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	29.000	469.38	600.01	130.63
08/30/10	06/10/08	SELL	TELEFLEX INC	TFX	25.000	1,461.54	1,233.25	(228.29)
08/30/10	01/28/08	SELL	TOWERS WATSON & CO CL A	TW	9.000	426.27	408.15	(18.12)
08/30/10	06/27/08	SELL	TOWERS WATSON & CO CL A	TW	30.000	1,615.68	1,360.50	(255.18)
08/30/10	07/21/09	SELL	TRANSDIGM GROUP INC COM	TDG	14.000	531.06	820.82	289.76
08/30/10	08/24/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	4.000	29.64	27.65	(1.99)
08/30/10	08/25/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	87.000	641.10	601.29	(39.81)
08/30/10	12/26/07	SELL	TYLER TECHNOLOGIES INC COM	TYL	31.000	431.79	539.71	107.92
08/30/10	07/09/08	SELL	TYLER TECHNOLOGIES INC COM	TYL	12.000	174.22	208 92	34.70

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	08/11/09	SELL	UNIT CORP INC COM	UNT	53.000	1,971.32	1,835.92	(135.40)
08/30/10	08/07/09	SELL	VALMONT INDUSTRIES INC	VMI	16.000	1,275.65	1,080.00	(195 65)
08/30/10	05/13/09	SELL	WASHINGTON FED INC COM	WFSL	80.000	1,010.05	1,132.00	121.95
08/30/10	05/07/08	SELL	WASTE CONNECTIONS INC COM	WCN	68.000	2,116.94	2,603.04	486.10
08/30/10	03/11/09	SELL	WEST PHARMACEUTICAL SVCS INC COM	WST	12.000	359.85	407.28	47.43
08/30/10	11/28/08	SELL	WILEY JOHN & SONS INC CLASS A	JW A	24.000	814.99	874.08	59.09
08/30/10	08/28/09	SELL	WOLVERINE WORLD WIDE INC COMMON STOCK	WWW	40.000	975.09	1,024.80	49.71
09/21/10	08/26/09	SELL	HEXCEL CORP NEW COM	HXL	29.000	326.25	537.43	211.18
09/21/10	07/21/09	SELL	TRANSDIGM GROUP INC COM	TDG	10.000	379.32	625.81	246.49
09/30/10	05/07/08	SELL	WASTE CONNECTIONS INC COM	WCN	33.000	1,027.34	1,312.50	285.16
10/05/10	05/07/08	SELL	WASTE CONNECTIONS INC COM	WCN	4.000	124.53	160.21	35.68
10/05/10	06/12/08	SELL	WASTE CONNECTIONS INC COM	WCN	5.000	161.40	200.27	38.87
10/05/10	09/24/08	SELL	WASTE CONNECTIONS INC COM	WCN	12.000	399.58	480.64	81.06
10/06/10	02/13/08	SELL	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	47.000	440.93	1,296.54	855.61
10/06/10	08/24/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	26.000	318.75	533.53	214.78
10/14/10	09/24/08	SELL	WASTE CONNECTIONS INC COM	WCN	11.000	366.29	455.00	88.71
10/14/10	10/07/08	SELL	WASTE CONNECTIONS INC COM	WCN	14.000	471.74	579.08	107 34

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/15/10	03/11/09	SELL	CONCHO RES INC COM	CXO	8.000	174.40	555.55	381.15
10/15/10	03/24/09	SELL	CONCHO RES INC COM	CXO	12.000	315.67	833.32	517.65
10/15/10	04/29/09	SELL	CONCHO RES INC COM	CXO	27.000	714.06	1,874.96	1,160.90
10/15/10	10/07/08	SELL	WASTE CONNECTIONS INC COM	WCN	5.000	168.48	204.51	36.03
10/15/10	12/09/08	SELL	WASTE CONNECTIONS INC COM	WCN	1.000	29.31	40.90	11.59
10/15/10	03/11/09	SELL	WASTE CONNECTIONS INC COM	WCN	20.000	431.20	818.05	386.85
10/15/10	04/01/09	SELL	WASTE CONNECTIONS INC COM	WCN	4.000	101.84	163.61	61.77
10/15/10	04/29/09	SELL	WASTE CONNECTIONS INC COM	WCN	5.000	131.99	204.52	72.53
10/19/10	08/25/08	SELL	KAYDON CORP	KDN	2.000	106.56	67.05	(39.51)
10/19/10	09/23/08	SELL	KAYDON CORP	KDN	1.000	51.40	33.52	(17.88)
10/19/10	11/07/08	SELL	KAYDON CORP	KDN	8.000	249.34	268.20	18.86
10/19/10	12/19/08	SELL	KAYDON CORP	KDN	7.000	226.45	234.67	8.22
10/19/10	01/16/09	SELL	KAYDON CORP	KDN	6.000	168.97	201.15	32.18
10/19/10	01/26/09	SELL	KAYDON CORP	KDN	4.000	114.82	134.10	19.28
10/19/10	01/30/09	SELL	KAYDON CORP	KDN	13.000	359.84	435.82	75.98
10/19/10	03/11/09	SELL	KAYDON CORP	KDN	10.000	263.30	335.24	71.94
10/19/10	03/30/09	SELL	KAYDON CORP	KDN	14.000	377.55	469.34	91.79

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/19/10	04/29/09	SELL	KAYDON CORP	KDN	3.000	95.28	100.57	5.29
10/19/10	07/30/09	SELL	KAYDON CORP	KDN	17.000	583.10	569.92	(13.18)
10/20/10	07/21/09	SELL	TRANSDIGM GROUP INC COM	TDG	13.000	493.12	826.04	332.92
10/21/10	07/21/09	SELL	TRANSDIGM GROUP INC COM	TDG	7.000	265.53	455.99	190.46
10/22/10	04/29/09	SELL	WASTE CONNECTIONS INC COM	WCN	4.000	105.60	162.72	57.12
10/22/10	05/06/09	SELL	WASTE CONNECTIONS INC COM	WCN	4.000	99.56	162.72	63.16
10/26/10	03/11/09	SELL	AARONS INC C/A EFF 12/13/10 1 OLD=	002535201	22.500	357.60	435.74	78.14
10/26/10	04/29/09	SELL	AARONS INC C/A EFF 12/13/10 1 OLD=	002535201	33.000	760.54	639.08	(121.46)
10/26/10	07/30/09	SELL	AARONS INC C/A EFF 12/13/10 1 OLD=	002535201	22.500	412.05	435.74	23.69
10/26/10	09/01/09	SELL	AARONS INC C/A EFF 12/13/10 1 OLD=	002535201	3.000	51.50	58.09	6.59
10/28/10	07/17/08	SELL	ASPEN INSURANCE HLDGS LTD SHS	AHL	16.000	371.28	468.85	97.57
10/28/10	09/23/08	SELL	ASPEN INSURANCE HLDGS LTD SHS	AHL	2.000	51.98	58.61	6.63
10/28/10	10/09/08	SELL	ASPEN INSURANCE HLDGS LTD SHS	AHL	4.000	77.91	117.21	39.30
10/28/10	12/19/08	SELL	ASPEN INSURANCE HLDGS LTD SHS	AHL	11.000	253.49	322.34	68.85
10/29/10	05/21/09	SELL	OIL STS INTL INC COM	OIS	12.000	286.31	609.16	322.85
11/05/10	10/06/08	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	52.000	841.64	1,577.16	735.52
11/05/10	10/09/08	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	22.000	378.59	667.26	288 67

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
11/05/10	12/19/08	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	59.000	589.12	1,789.47	1,200.35
11/05/10	02/23/09	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	1.000	15.02	30.33	15.31
11/05/10	04/29/09	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	17.000	222.87	515.61	292.74
11/05/10	05/27/09	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	1.000	14.70	30.33	15.63
11/05/10	10/26/09	SELL	SYNIVERSE HLDGS INC COM *C/A*	87163F106	6.000	108.95	181.98	73.03
11/16/10	07/21/09	SELL	TRANSDIGM GROUP INC COM	TDG	3.000	113.80	201.03	87.23
11/18/10	06/15/09	SELL	HANOVER INS GROUP INC COM	THG	27.000	981.34	1,257.57	276.23
11/18/10	07/21/09	SELL	TRANSDIGM GROUP INC COM	TDG	6.000	227.59	411.66	184.07
11/18/10	05/06/09	CLEU	WASTE CONNECTIONS INC COM	WCN	0.500	8.30	12.95	4.65
11/19/10	08/27/08	CLEU	SMITH A O CORP COMMON	AOS	0.500	13.75	18.82	5.07
11/22/10	07/21/09	SELL	TRANSDIGM GROUP INC COM	TDG	9.000	341.39	616.32	274.93
11/22/10	07/21/09	SELL	TRANSDIGM GROUP INC COM	TDG	2.000	75.87	136.32	60.45
11/22/10	08/05/09	SELL	TRANSDIGM GROUP INC COM	TDG	12.000	535.79	817.90	282.11
12/01/10	08/24/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	20.000	245.19	520.81	275.62
12/01/10	09/14/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	24.000	332.39	624.97	292.58
12/06/10	10/12/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	23.000	286.80	627.68	340.88
12/10/10	11/02/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	40.000	209.18	498 04	288 86

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/13/10	08/27/08	SELL	SMITH A O CORP COMMON	AOS	7.000	192.43	309.75	117.32
12/13/10	09/12/08	SELL	SMITH A O CORP COMMON	AOS	6.000	174.09	265.50	91.41
12/13/10	09/23/08	SELL	SMITH A O CORP COMMON	AOS	3.000	81.88	132.75	50.87
12/13/10	01/16/09	SELL	SMITH A O CORP COMMON	AOS	15.000	316.95	663.75	346.80
12/13/10	01/30/09	SELL	SMITH A O CORP COMMON	AOS	4.000	74.22	177.00	102.78
12/14/10	10/12/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	4.000	49.88	108.42	58.54
12/14/10	10/23/09	SELL	SKYWORKS SOLUTIONS INC COM	SWKS	18.000	198.17	487.89	289.72
12/14/10	01/30/09	SELL	SMITH A O CORP COMMON	AOS	12.500	231.95	524.37	292.42
12/14/10	02/12/09	SELL	SMITH A O CORP COMMON	AOS	3.000	53.85	125.85	72.00
12/14/10	03/27/09	SELL	SMITH A O CORP COMMON	AOS	6.000	102.08	251.70	149.62
12/14/10	04/01/09	SELL	SMITH A O CORP COMMON	AOS	0.500	8.31	20.98	12.67
12/17/10	12/16/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	49.000	301.84	586.55	284.71
12/20/10	12/16/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	7.000	43.12	80.72	37.60
Total Long Term						**135,117.77**	**188,273.04**	**53,155.27**
Total Short Term and Long Term						**373,148.94**	**443,781.71**	**70,632.77**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SECURITIES PURCHASED

(Continued)

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
LOWES COS INC COM	548661107	11/08/2010	128	2,840.29		
ORACLE CORP COM	68389X105	06/08/2010	103	2,207.08		
QUALCOMM INC	747525103	06/08/2010	281	9,809.15		
RESEARCH IN MOTION LTD COM ISIN#CA7609751028	760975102	06/08/2010	151	8,671.71		
TARGET CORP	87612E106	11/08/2010	48	2,628.43		
VERIZON COMMUNICATIONS COM	92343V104	06/08/2010	258	7,079.47		
		11/08/2010	49	1,618.47		
				8,697.94		
Total				**124,349.47**	**0.00**	

Securities Purchased. Securities purchased through your account during 2010 are displayed in this section. We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased. Accrued interest purchased is reported separately in this section of your Tax Information Statement The cost of securities purchased during 2010 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
06/08/10	01/26/10	SELL	BED BATH & BEYOND INC	BBBY	103.000	4,058.40	4,358.05	299.65
08/03/10	06/08/10	SELL	FRONTIER COMMUNICATIONS CORP	FTR	61.930	439.00	476.88	37.88
08/30/10	01/26/10	SELL	BED BATH & BEYOND INC	BBBY	77.000	3,033.95	2,802.03	(231.92)
10/04/10	06/08/10	SELL	GENZYME CORP COM FORMERLY COM GEN DIV	GENZ	168.000	7,931.04	11,910.43	3,979.39
11/08/10	01/26/10	SELL	BED BATH & BEYOND INC	BBBY	23.000	906.24	1,032.24	126.00

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
WESTEND LCC

Account Number: 2M9-003195

Recipient's Identification Number. 20-5222620

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/02/10	01/26/10	SELL	BED BATH & BEYOND INC	BBBY	82.000	3,230.96	3,726.08	495.12
12/02/10	11/08/10	SELL	JOHNSON CTLS INC COM	JCI	120.000	4,442.76	4,683.84	241.08
Total Short Term						**24,042.35**	**28,989.55**	**4,947.20**
Long Term								
01/26/10	09/10/08	SELL	WALGREEN CO	WAG	859.000	30,819.98	31,087.37	267.39
01/26/10	10/28/08	SELL	WALGREEN CO	WAG	57.000	1,305.70	2,062.84	757.14
06/08/10	09/10/08	SELL	AMERICAN TOWER CORP CL A	AMT	47.000	1,807.50	1,968.64	161.14
06/08/10	09/10/08	SELL	AMERICAN TOWER CORP CL A	AMT	119.000	4,576.44	4,984.43	407.99
06/08/10	09/10/08	SELL	AUTODESK INC COM	ADSK	164.000	5,516.63	4,259.12	(1,257.51)
06/08/10	09/10/08	SELL	CISCO SYSTEMS INC	CSCO	35.000	807.10	793.14	(13.96)
06/08/10	09/10/08	SELL	CISCO SYSTEMS INC	CSCO	51.000	1,176.06	1,155.72	(20.34)
06/08/10	10/28/08	SELL	COACH INC COM	COH	271.000	4,820.55	10,604.94	5,784.39
06/08/10	10/28/08	SELL	COSTCO WHOLESALE CORP NEW COM	COST	39.000	2,117.96	2,195.73	77.77
06/08/10	09/10/08	SELL	INTEL CORP COM	INTC	48.000	971.04	955.74	(15.30)
06/08/10	09/10/08	SELL	INTEL CORP COM	INTC	92.000	1,861.16	1,831.83	(29.33)
06/08/10	09/10/08	SELL	LOWES COS INC COM	LOW	34.000	860.88	786.81	(74.07)
06/08/10	09/10/08	SELL	LOWES COS INC COM	LOW	79.000	2,000 28	1,828.17	(172.11)
06/08/10	10/28/08	SELL	NIKE INC CLASS B	NKE	47.000	2,292.65	3,264.86	972.21

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
06/08/10	09/10/08	SELL	TARGET CORP	TGT	22.000	1,252.02	1,146.48	(105.54)
06/08/10	09/10/08	SELL	TARGET CORP	TGT	83.000	4,723.53	4,325.37	(398.16)
07/08/10	09/10/08	CLEU	FRONTIER COMMUNICATIONS CORP	FTR	0.046	0.41	0.32	(0.09)
08/03/10	09/10/08	SELL	FRONTIER COMMUNICATIONS CORP	FTR	201.108	1,808.47	1,548.57	(259.90)
08/03/10	06/23/09	SELL	FRONTIER COMMUNICATIONS CORP	FTR	12.962	102.46	99.81	(2.65)
08/30/10	04/26/06	SELL	AT&T INC COM	T	101.043	2,657.43	2,704.92	47.49
08/30/10	05/05/06	SELL	AT&T INC COM	T	263.957	6,878.28	7,066.13	187.85
08/30/10	09/10/08	SELL	AMERICAN TOWER CORP CL A	AMT	226.000	8,691.40	10,583.85	1,892.45
08/30/10	10/28/08	SELL	AMGEN INC COM	AMGN	124.000	7,198.77	6,481.48	(717.29)
08/30/10	09/10/08	SELL	AUTODESK INC COM	ADSK	335.000	11,268.73	9,343.82	(1,924.91)
08/30/10	09/10/08	SELL	BEST BUY COMPANY INC	BBY	52.000	2,360.80	1,648.92	(711.88)
08/30/10	10/28/08	SELL	CELGENE CORP	CELG	127.000	7,237.69	6,531.91	(705.78)
08/30/10	09/10/08	SELL	CISCO SYSTEMS INC	CSCO	204.000	4,704.24	4,184.10	(520.14)
08/30/10	10/28/08	SELL	COACH INC COM	COH	132.000	2,348.02	4,785.16	2,437.14
08/30/10	10/28/08	SELL	COSTCO WHOLESALE CORP NEW COM	COST	128.000	6,951.24	7,241.22	289.98
08/30/10	10/28/08	SELL	GENZYME CORP COM FORMERLY COM GEN DIV	GENZ	314.000	21,647.47	22,043.43	395.96
08/30/10	09/10/08	SELL	INTEL CORP COM	INTC	221 000	4,470.83	3,987.11	(483 72)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	09/10/08	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	64.000	7,586.44	7,976.96	390.52
08/30/10	09/10/08	SELL	LOWES COS INC COM	LOW	187.000	4,734.84	3,874.92	(859.92)
08/30/10	10/28/08	SELL	NIKE INC CLASS B	NKE	108.000	5,268.22	7,562.28	2,294.06
08/30/10	09/14/07	SELL	ORACLE CORP COM	ORCL	378.000	7,596.29	8,429.66	833.37
08/30/10	08/02/07	SELL	QUALCOMM INC	QCOM	270.000	11,286.00	10,365.62	(920.38)
08/30/10	09/10/08	SELL	RESEARCH IN MOTION LTD COM	RIMM	20.000	2,103.73	935.40	(1,168.33)
08/30/10	09/10/08	SELL	TARGET CORP	TGT	126.000	7,170.66	6,438.79	(731.87)
08/30/10	09/10/08	SELL	VERIZON COMMUNICATIONS COM	VZ	328.000	10,709.51	9,708.80	(1,000.71)
10/04/10	10/28/08	SELL	GENZYME CORP COM FORMERLY COM GEN DIV	GENZ	46.000	3,171.29	3,261.19	89.90
10/04/10	06/23/09	SELL	GENZYME CORP COM FORMERLY COM GEN DIV	GENZ	122.000	6,680.14	8,649.23	1,969.09
10/04/10	06/23/09	SELL	GENZYME CORP COM FORMERLY COM GEN DIV	GENZ	12.000	657.06	850.74	193.68
11/08/10	09/10/08	SELL	AUTODESK INC COM	ADSK	62.000	2,085.56	2,222.72	137.16
11/08/10	09/10/08	SELL	BEST BUY COMPANY INC	BBY	126.000	5,720.40	5,638.97	(81.43)
11/08/10	09/10/08	SELL	CISCO SYSTEMS INC	CSCO	13.000	299.78	318.11	18.33
11/08/10	10/28/08	SELL	COACH INC COM	COH	123.000	2,187.92	6,481.05	4,293.13
11/08/10	09/10/08	SELL	INTEL CORP COM	INTC	19.000	384.37	406.98	22.61
11/08/10	10/28/08	SELL	NIKE INC CLASS B	NKE	1.000	48.78	83.23	34 45

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
11/08/10	09/14/07	SELL	ORACLE CORP COM	ORCL	94.000	1,889.02	2,716.86	827.84
11/08/10	08/02/07	SELL	QUALCOMM INC	QCOM	39.000	1,630.20	1,876.37	246.17
11/08/10	09/10/08	SELL	RESEARCH IN MOTION LTD COM	RIMM	8.000	841.49	448.09	(393.40)
12/02/10	05/05/06	SELL	AT&T INC COM	T	13.818	360.07	394.50	34.43
12/02/10	05/05/06	SELL	AT&T INC COM	T	6.182	157.62	176.50	18.88
12/02/10	05/05/06	SELL	AT&T INC COM	T	77.000	1,963.22	2,198.35	235.13
12/02/10	09/10/08	SELL	AMERICAN TOWER CORP CL A	AMT	28.000	1,076.81	1,454.32	377.51
12/02/10	09/10/08	SELL	AMERICAN TOWER CORP CL A	AMT	32.000	1,230.64	1,662.08	431.44
12/02/10	10/28/08	SELL	AMGEN INC COM	AMGN	44.000	2,554.40	2,352.68	(201.72)
12/02/10	09/10/08	SELL	AUTODESK INC COM	ADSK	123.000	4,137.47	4,591.84	454.37
12/02/10	09/10/08	SELL	BEST BUY COMPANY INC	BBY	58.000	2,633.20	2,514.88	(118.32)
12/02/10	10/28/08	SELL	CELGENE CORP	CELG	15.000	854.85	907.05	52.20
12/02/10	10/28/08	SELL	CELGENE CORP	CELG	37.000	2,108.62	2,237.39	128.77
12/02/10	10/28/08	SELL	COACH INC COM	COH	99.000	1,761.01	5,635.08	3,874.07
12/02/10	10/28/08	SELL	COSTCO WHOLESALE CORP NEW COM	COST	13.000	705.99	895.31	189.32
12/02/10	10/28/08	SELL	COSTCO WHOLESALE CORP NEW COM	COST	62.000	3,367.01	4,269.94	902.93
12/02/10	09/10/08	SELL	INTEL CORP COM	INTC	159.000	3,216 57	3,445.85	229.28

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
WESTEND LCC

Account Number: 2M9-003195

Recipient's Identification
Number: 20-5222620

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/10	09/10/08	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	1.000	118.54	145.28	26.74
12/02/10	09/10/08	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	21.000	2,489.30	3,050.88	561.58
12/02/10	09/10/08	SELL	LOWES COS INC COM	LOW	128.000	3,240.96	3,161.79	(79.17)
12/02/10	09/10/08	SELL	LOWES COS INC COM	LOW	147.000	3,722.04	3,631.12	(90.92)
12/02/10	10/28/08	SELL	NIKE INC CLASS B	NKE	54.000	2,634.11	4,738.50	2,104.39
12/02/10	09/14/07	SELL	ORACLE CORP COM	ORCL	77.000	1,547.39	2,147.53	600.14
12/02/10	08/02/07	SELL	QUALCOMM INC	QCOM	69.000	2,884.20	3,338.91	454.71
12/02/10	09/10/08	SELL	RESEARCH IN MOTION LTD COM	RIMM	107.000	11,254.94	6,689.85	(4,565.09)
12/02/10	09/10/08	SELL	TARGET CORP	TGT	44.000	2,504.04	2,595.12	91.08
12/02/10	09/10/08	SELL	TARGET CORP	TGT	48.000	2,731.68	2,831.04	99.36
12/02/10	09/10/08	SELL	VERIZON COMMUNICATIONS COM	VZ	37.000	1,208.08	1,203.24	(4.84)
12/02/10	09/10/08	SELL	VERIZON COMMUNICATIONS COM	VZ	49.000	1,599.90	1,593.48	(6.42)
Total Long Term						**299,350.08**	**317,610.42**	**18,260.34**
Total Short Term and Long Term						**323,392.43**	**346,599.97**	**23,207.54**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
SCHAFER CULLEN CAP MGMT

Account Number: 2A9-036225

Recipient's Identification Number: 20-5222620

2010 TAX and YEAR-END STATEMENT
Revised Statement as of 03/06/2011

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/07/10	03/10/09	SELL	RIOCAN REAL ESTATE INVESTMENT TR UNIT	RIOCF	410.000	3,753.55	7,622.31	3,868.76
01/29/10	03/10/09	SELL	PRIMARIS RETAIL REAL ESTATE INVT TR UNIT	PMZFF	260.000	1,724.18	4,072.48	2,348.30
01/29/10	03/10/09	SELL	RIOCAN REAL ESTATE INVESTMENT TR UNIT	RIOCF	170.000	1,556.35	3,058.54	1,502.19
02/01/10	03/10/09	SELL	VERMILLION ENERGY TRUST	923728109	100.000	1,897.78	3,085.16	1,187.38
02/08/10	03/10/09	SELL	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	120 000	5,907.60	7,849.64	1,942.04
02/10/10	03/26/09	CLEU	CHUNGHWA TELECOM CO ISIN#US17133Q1067	17133Q106	0.999	18.74	19.42	0.68
02/19/10	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	30.000	392.68	460.64	67.96
02/22/10	03/10/09	SELL	BNP PARIBAS SPONSORED ADR REPSTG	BNPQY	170 000	2,768.74	6,223.36	3,454.62
02/22/10	03/10/09	SELL	HSBC HLDGS PLC SPONS ADR NEW	HBC	30.000	729.39	1,625.90	896.51
02/22/10	04/14/09	SELL	TELEFONICA S A ADR SPONS ADR	TEF	60.000	3,591.45	4,239.14	647.69
02/23/10	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	10.000	130.89	148.16	17.27
02/24/10	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	10.000	130.90	147.85	16.95
02/25/10	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	40.000	523.58	596.86	73.28
02/26/10	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	10.000	130.90	149.15	18.25
03/01/10	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	10.000	130.89	149.92	19.03
03/02/10	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	20.000	261.79	297.44	35.65
03/03/10	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	20.000	261.79	299.38	37.59
03/04/10	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	20.000	261.79	301.32	39.53

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/26/10	07/28/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	30.000	499.69	447.45	(52.24)
03/26/10	07/29/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	40.000	667.12	596.60	(70.52)
03/26/10	07/30/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	20.000	347.29	298.30	(48.99)
03/29/10	07/30/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	100.000	1,736.47	1,482.48	(253.99)
03/29/10	07/31/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	30.000	532.88	444.74	(88.14)
03/29/10	08/03/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	40.000	729.64	593.00	(136.64)
04/19/10	10/07/09	SELL	AXA SA SPONS ADR	AXAHY	300.000	8,295.15	6,700.80	(1,594.35)
04/19/10	08/24/09	SELL	BAYER AG SPONSORED ADR	BAYRY	80.000	5,059.51	5,298.54	239.03
04/26/10	05/05/09	SELL	VOLVO AKTIEBOLAGET ADR B	VOLVY	1,050.000	7,342.23	13,527.78	6,185.55
06/29/10	07/09/09	SELL	ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	AZSEY	510.000	4,559.55	5,016.87	457.32
06/29/10	12/16/09	SELL	GDF SUEZ SPON ADR	GDFZY	90.000	3,814.61	2,574.86	(1,239.75)
07/07/10	10/07/09	SELL	AXA SA SPONS ADR	AXAHY	120.000	3,318.06	1,915.35	(1,402.71)
07/07/10	11/10/09	SELL	AXA SA SPONS ADR	AXAHY	110 000	2,869.04	1,755.73	(1,113.31)
07/07/10	11/11/09	SELL	AXA SA SPONS ADR	AXAHY	10.000	257.75	159.61	(98.14)
07/27/10	11/11/09	SELL	AXA SA SPONS ADR	AXAHY	90.000	2,319.70	1,653.26	(666.44)
07/27/10	01/08/10	SELL	AXA SA SPONS ADR	AXAHY	140.000	3,450.16	2,571.73	(878.43)
08/30/10	05/12/10	SELL	BAE SYS PLC SPONSORED ADR	BAESY	100.000	2,109 97	1,830.00	(279 97)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/30/10	11/10/09	SELL	BNP PARIBAS SPONSORED ADR REPSTG	BNPQY	110.000	4,738.89	3,430.90	(1,307.99)
08/30/10	06/11/10	SELL	BHP BILLITON LTD SPONSORED ADR	BHP	20.000	1,293.90	1,339.00	45.10
08/30/10	02/22/10	SELL	BOC HONG KONG HLDGS LTD SPONSORED ADR	BHKLY	120.000	5,536.08	6,372.00	835.92
08/30/10	09/22/09	SELL	FOSTERS BREWING GRP LTD SPONS ADR NEW	FBRWY	650.000	3,245.71	3,458.00	212.29
08/30/10	12/16/09	SELL	GDF SUEZ SPON ADR	GDFZY	60.000	2,543.08	1,891.80	(651.28)
08/30/10	01/08/10	SELL	NOKIA CORP SPONSORED ADR	NOK	350.000	4,623.26	3,014.41	(1,608.85)
08/30/10	07/12/10	SELL	ROCHE HLDGS LTD SPONSORED ADR	RHHBY	80.000	2,840.05	2,702.40	(137.65)
08/30/10	01/29/10	SELL	SIEMENS A G SPONSORED ADR	SI	40.000	3,642.28	3,615.60	(26.68)
08/30/10	08/24/10	SELL	TESCO PLC SPON ADR	TSCDY	90.000	1,710.17	1,706.40	(3.77)
12/02/10	05/12/10	SELL	BAE SYS PLC SPONSORED ADR	BAESY	70.000	1,476.98	1,444.80	(32.18)
12/02/10	05/04/10	SELL	BNP PARIBAS SPONSORED ADR REPSTG	BNPQY	50.000	1,598.87	1,591.00	(7.87)
12/02/10	06/11/10	SELL	BHP BILLITON LTD SPONSORED ADR	BHP	50.000	3,234.76	4,376.00	1,141.24
12/02/10	02/22/10	SELL	BOC HONG KONG HLDGS LTD SPONSORED ADR	BHKLY	80.000	3,690.72	5,760.00	2,069.28
12/02/10	05/04/10	SELL	CHUNGHWA TELECOM CO ISIN#US17133Q1067	17133Q106	51.000	986.24	1,246.44	260.20
12/02/10	10/21/10	SELL	FRANCE TELECOM SPONSORED ADR	FTE	140.000	3,247.11	2,954.50	(292.61)
12/02/10	12/16/09	SELL	GDF SUEZ SPON ADR	GDFZY	20.000	847.69	694.00	(153.69)
12/02/10	07/12/10	SELL	ROCHE HLDGS LTD SPONSORED ADR	RHHBY	120 000	4,260.07	4,197.60	(62.47)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/02/10	10/15/10	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS B	60.000	3,716.64	3,790.80	74.16
12/02/10	01/29/10	SELL	SIEMENS A G SPONSORED ADR	SI	60.000	5,463.43	7,145.40	1,681.97
12/02/10	11/19/10	SELL	SINGAPORE AIRLS LTD ADR	SINGY	120.000	2,982.65	2,892.00	(90.65)
12/02/10	08/24/10	SELL	TESCO PLC SPON ADR	TSCDY	170.000	3,230.33	3,413.60	183.27
12/02/10	05/10/10	SELL	ZURICH FINL SVCS SPONS ADR	ZFSVY	290.000	6,416.66	6,881.70	465.04
Total Short Term						**143,407.38**	**161,132.12**	**17,724.74**
Long Term								
03/26/10	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	80.000	1,047.16	1,193.20	146.04
05/10/10	03/10/09	SELL	MUNICH RE GROUP ADR	MURGY	150.000	1,620.00	2,045.06	425.06
05/10/10	03/10/09	SELL	NOKIA CORP SPONSORED ADR	NOK	490.000	4,640.30	5,481.34	841.04
05/11/10	03/12/07	SELL	BP PLC SPONS ADR	BP	60.000	3,665.37	2,934.44	(730.93)
05/11/10	03/10/09	SELL	NINTENDO LTD ADR	NTDOY	140.000	4,919.60	5,148.99	229.39
06/10/10	03/12/07	SELL	BP PLC SPONS ADR	BP	12.000	733.07	384.29	(348.78)
06/10/10	03/13/07	SELL	BP PLC SPONS ADR	BP	49.000	2,985.30	1,569.19	(1,416.11)
06/10/10	03/14/07	SELL	BP PLC SPONS ADR	BP	14.000	835.70	448.34	(387.36)
06/10/10	12/13/07	SELL	BP PLC SPONS ADR	BP	125.000	9,321.96	4,003.04	(5,318.92)
07/07/10	09/16/04	SELL	RWE AG SPONSORED ADR	RWEOY	24.000	1,158.00	1,646.92	488 92
07/07/10	06/06/05	SELL	RWE AG SPONSORED ADR	RWEOY	37.000	2,310.65	2,539.00	228.35

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
SCHAFER CULLEN CAP MGMT

Account Number: 2A9-036225

Recipient's Identification Number: 20-5222620

2010 TAX and YEAR-END STATEMENT
Revised Statement as of 03/06/2011

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/07/10	09/02/05	SELL	RWE AG SPONSORED ADR	RWEOY	26.000	1,795.30	1,784.16	(11.14)
07/07/10	06/01/06	SELL	RWE AG SPONSORED ADR	RWEOY	13.000	1,098.50	892.09	(206.41)
08/25/10	03/10/09	SELL	ASTRAZENECA PLC SPONSORED ADR	AZN	190.000	5,822.89	9,472.11	3,649.22
08/25/10	03/10/09	SELL	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	5.000	246.15	338.47	92.32
08/25/10	03/10/09	SELL	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	10.000	315.70	504.53	188.83
08/25/10	03/10/09	SELL	RIOCAN REAL ESTATE INVESTMENT TR UNIT	RIOCF	170.000	1,556.35	3,416.15	1,859.80
08/26/10	03/10/09	SELL	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	12.000	590.76	820.64	229.88
08/27/10	03/10/09	SELL	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	3.000	147.69	206.91	59.22
08/30/10	03/11/09	SELL	ABB LTD SPONSORED ADR	ABB	150.000	1,972.30	2,883.18	910.88
08/30/10	03/10/09	SELL	ASTRAZENECA PLC SPONSORED ADR	AZN	60.000	1,838.81	2,994.00	1,155.19
08/30/10	03/10/09	SELL	BNP PARIBAS SPONSORED ADR REPSTG	BNPQY	100.000	1,628.67	3,119.00	1,490.33
08/30/10	03/10/09	SELL	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	60.000	2,953.80	4,119.60	1,165.80
08/30/10	03/26/09	SELL	CHUNGHWA TELECOM CO ISIN#US17133Q1067	17133Q106	460.000	8,625.01	9,301.75	676.74
08/30/10	03/10/09	SELL	COMPANHIA SIDERURGICA NACIONAL	SID	240.000	1,643.52	3,718.22	2,074.70
08/30/10	03/20/09	SELL	COMPANHIA SIDERURGICA NACIONAL	SID	50.000	379.95	774.63	394.68
08/30/10	03/10/09	SELL	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	225.000	2,288.95	3,701.27	1,412.32
08/30/10	03/10/09	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	60.000	2,568.72	3,944.40	1,375.68

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
SCHAFER CULLEN CAP MGMT

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2010 TAX and YEAR-END STATEMENT
Revised Statement as of 03/06/2011

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	03/10/09	SELL	HSBC HLDGS PLC SPONS ADR NEW	HBC	26.000	632.14	1,277.64	645.50
08/30/10	04/08/09	SELL	HSBC HLDGS PLC SPONS ADR NEW	HBC	14.000	258.82	687.96	429.14
08/30/10	03/10/09	SELL	HOPEWELL HLDGS LTD SPON ADR	HOWWY	1,940.000	5,432.00	6,052.80	620.80
08/30/10	03/10/09	SELL	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	100.000	3,157.00	5,139.00	1,982.00
08/30/10	03/10/09	SELL	NINTENDO LTD ADR	NTDOY	100.000	3,514.00	3,482.00	(32.00)
08/30/10	03/10/09	SELL	NOKIA CORP SPONSORED ADR	NOK	90.000	852.30	775.13	(77.17)
08/30/10	10/23/07	SELL	NOVARTIS AG SPONSORED ADR	NVS	140.000	7,303.49	7,333.36	29.87
08/30/10	03/20/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	30.000	1,148.70	1,571.44	422.74
08/30/10	03/10/09	SELL	PETROCHINA CO LTD SPONS ADR	PTR	35.000	2,483.47	3,840.90	1,357.43
08/30/10	06/01/06	SELL	RWE AG SPONSORED ADR	RWEOY	30.000	2,535.00	1,979.40	(555.60)
08/30/10	03/10/09	SELL	RIOCAN REAL ESTATE INVESTMENT TR UNIT	RIOCF	110.000	1,007.05	2,205.10	1,198.05
08/30/10	11/13/08	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	59.000	930.11	1,307.44	377.33
08/30/10	11/14/08	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	41.000	659.64	908.56	248.92
08/30/10	06/01/06	SELL	TOTAL S A SPONSORED ADR	TOT	32.000	2,079.75	1,490.56	(589.19)
08/30/10	01/16/07	SELL	TOTAL S A SPONSORED ADR	TOT	9.000	600.33	419.22	(181.11)
08/30/10	01/17/07	SELL	TOTAL S A SPONSORED ADR	TOT	19.000	1,265.99	885.02	(380.97)
08/30/10	03/10/09	SELL	UNILEVER NV NEW YORK SHS NEW	UN	260.000	4,601.98	6,981.03	2,379.05

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	03/10/09	SELL	UNITED OVERSEAS BK LTD SPON ADR	UOVEY	90.000	990.00	2,491.20	1,501.20
08/30/10	03/10/09	SELL	VERMILLION ENERGY TRUST	923728109	140.000	2,656.90	4,653.60	1,996.70
08/30/10	03/10/09	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	380.000	6,156.00	9,021.96	2,865.96
10/04/10	03/10/09	SELL	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	20.000	984.60	1,484.28	499.68
10/04/10	06/01/06	SELL	RWE AG SPONSORED ADR	RWEOY	20.000	1,690.00	1,344.00	(346.00)
10/04/10	03/10/09	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	30.000	486.00	761.74	275.74
10/14/10	03/10/09	SELL	ASTRAZENECA PLC SPONSORED ADR	AZN	30.000	919.40	1,594.60	675.20
10/19/10	03/10/09	SELL	NINTENDO LTD ADR	NTDOY	100.000	3,514.00	3,188.16	(325.84)
10/21/10	03/26/09	SELL	CHUNGHWA TELECOM CO ISIN#US17133Q1067	17133Q106	48.000	900.00	1,114.96	214.96
10/21/10	03/10/09	SELL	HOPEWELL HLDGS LTD SPON ADR	HOWWY	420.000	1,176.00	1,330.14	154.14
10/21/10	11/14/08	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	29.000	466.58	682.69	216.11
10/21/10	11/17/08	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	1.000	15.95	23.54	7.59
10/22/10	03/26/09	SELL	CHUNGHWA TELECOM CO ISIN#US17133Q1067	17133Q106	2.000	37.50	46.35	8.85
10/25/10	11/17/08	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	44.000	701.94	1,040.67	338.73
10/25/10	11/18/08	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	20.000	319.71	473.03	153.32
10/25/10	03/10/09	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	46.000	742.90	1,087.98	345.08
11/17/10	03/10/09	SELL	ASTRAZENECA PLC SPONSORED ADR	AZN	30 000	919.40	1,450 58	531.18

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
11/17/10	03/26/09	SELL	CHUNGHWA TELECOM CO ISIN#US17133Q1067	17133Q106	150.000	2,812.50	3,632.34	819.84
11/17/10	03/10/09	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	40.000	1,712.48	2,961.92	1,249.44
11/17/10	06/01/06	SELL	RWE AG SPONSORED ADR	RWEOY	20.000	1,690.00	1,334.36	(355.64)
11/17/10	03/10/09	SELL	UNITED OVERSEAS BK LTD SPON ADR	UOVEY	30.000	330.00	835.80	505.80
11/17/10	03/10/09	SELL	VERMILION ENERGY INC COM	VEMTF	40.000	759.11	1,529.77	770.66
11/19/10	03/10/09	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	60.000	969.00	1,428.84	459.84
12/02/10	03/11/09	SELL	ABB LTD SPONSORED ADR	ABB	200.000	2,629.74	4,020.40	1,390.66
12/02/10	07/09/09	SELL	ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	AZSEY	140.000	1,251.64	1,619.80	368.16
12/02/10	08/24/09	SELL	BAYER AG SPONSORED ADR	BAYRY	40.000	2,529.76	3,018.00	488.24
12/02/10	03/10/09	SELL	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	50.000	2,461.50	3,699.00	1,237.50
12/02/10	03/26/09	SELL	CHUNGHWA TELECOM CO ISIN#US17133Q1067	17133Q106	39.000	731.25	953.16	221.91
12/02/10	03/20/09	SELL	COMPANHIA SIDERURGICA NACIONAL	SID	130.000	987.88	2,115.11	1,127.23
12/02/10	03/10/09	SELL	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	80.000	813.85	1,380.00	566.15
12/02/10	03/10/09	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	20.000	856.24	1,442.80	586.56
12/02/10	03/10/09	SELL	ENI SPA SPONSORED ADR	E	100.000	3,396.00	4,196.01	800.01
12/02/10	03/10/09	SELL	ENERPLUS RESOURCES FUND INC C/A EFF	29274D604	20.000	282.71	604.20	321.49
12/02/10	09/22/09	SELL	FOSTERS BREWING GRP LTD SPONS ADR NEW	FBRWY	610.000	3,045 97	3,342.80	296.83

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/10	09/23/09	SELL	FOSTERS BREWING GRP LTD SPONS ADR NEW	FBRWY	90.000	456.79	493.20	36.41
12/02/10	04/08/09	SELL	HSBC HLDGS PLC SPONS ADR NEW	HBC	80.000	1,478.99	4,158.59	2,679.60
12/02/10	03/10/09	SELL	HOPEWELL HLDGS LTD SPON ADR	HOWWY	460.000	1,288.00	1,421.40	133.40
12/02/10	04/30/09	SELL	ITAU UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	138.000	1,748.63	3,318.91	1,570.28
12/02/10	03/10/09	SELL	MUNICH RE GROUP ADR	MURGY	40.000	432.00	583.60	151.60
12/02/10	06/05/09	SELL	MUNICH RE GROUP ADR	MURGY	70.000	977.90	1,021.30	43.40
12/02/10	03/10/09	SELL	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	100.000	3,157.00	5,597.00	2,440.00
12/02/10	03/20/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	90.000	3,446.10	4,921.21	1,475.11
12/02/10	06/02/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	40.000	1,596.79	2,187.20	590.41
12/02/10	03/10/09	SELL	PETROCHINA CO LTD SPONS ADR	PTR	30.000	2,128.69	3,913.20	1,784.51
12/02/10	03/10/09	SELL	PRIMARIS RETAIL REAL ESTATE INVT TR UNIT	PMZFF	60.000	397.89	1,132.98	735.09
12/02/10	03/10/09	SELL	RIOCAN REAL ESTATE INVESTMENT TR UNIT	RIOCF	20.000	183.10	434.38	251.28
12/02/10	04/27/09	SELL	SILICONWARE PRECISION INDS LTD	SPIL	200.000	1,280.56	1,082.08	(198.48)
12/02/10	03/10/09	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	90.000	1,453.50	2,160.00	706.50
12/02/10	05/05/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	224.770	2,483.58	2,591.62	108.04
12/02/10	05/06/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	99.230	1,097.02	1,144.13	47.11
12/02/10	04/14/09	SELL	TELEFONICA S A ADR SPONS ADR	TEF	60.000	3,591.45	4,104.00	512 55

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/10	01/17/07	SELL	TOTAL S A SPONSORED ADR	TOT	100.000	6,663.08	5,035.01	(1,628.07)
12/02/10	03/10/09	SELL	UNILEVER NV NEW YORK SHS NEW	UN	150.000	2,654.98	4,438.80	1,783.82
12/02/10	03/10/09	SELL	UNITED OVERSEAS BK LTD SPON ADR	UOVEY	130.000	1,430.00	3,688.10	2,258.10
12/02/10	03/10/09	SELL	VERMILION ENERGY INC COM	VEMTF	50.000	948.90	2,159.15	1,210.25
12/02/10	03/10/09	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	200.000	3,240.00	5,168.40	1,928.40
12/21/10	03/10/09	SELL	ASTRAZENECA PLC SPONSORED ADR	AZN	40.000	1,225.88	1,834.73	608.85
Total Long Term						**200,469.29**	**258,214.26**	**57,744.97**
Total Short Term and Long Term						**343,876.67**	**419,346.38**	**75,469.71**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes -** If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.

- **Corporate Actions** - For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action.

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SECURITIES PURCHASED

(Continued)

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
				4,735.13		
Total				**80,476.55**	**0.00**	

Securities Purchased. Securities purchased through your account during 2010 are displayed in this section We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased Accrued interest purchased is reported separately in this section of your Tax Information Statement The cost of securities purchased during 2010 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/12/10	02/18/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	30.000	1,246.46	1,576.88	330.42
01/12/10	04/14/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	18.000	664.06	946.12	282.06
01/14/10	04/14/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	46.000	1,697.03	2,440.84	743.81
01/20/10	03/11/09	SELL	KOREA ELEC PWR CO SPONSORED ADR RPSTG	KEP	191.000	1,751.34	3,425.09	1,673.75
01/20/10	04/14/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	12.000	442.70	641.91	199.21
01/20/10	05/08/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	85.000	3,234.11	4,546.86	1,312.75
02/01/10	03/10/09	SELL	UBS AG SHS NEW	UBS	131.000	1,078.12	1,734.45	656.33
02/01/10	03/10/09	SELL	AEGON N V ORD AMER REG	AEG	215 000	668.48	1,333.43	664.95
02/01/10	03/10/09	SELL	ALCATEL LUCENT SPON ADR	ALU	802.000	1,002.18	2,792.56	1,790.38
02/01/10	03/10/09	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	109.000	3,212.21	4,001.65	789.44

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/01/10	01/12/10	SELL	ASTRAZENECA PLC SPONSORED ADR	AZN	40.000	1,897.20	1,864.00	(33.20)
02/01/10	03/10/09	SELL	BARRICK GOLD CORP COM	ABX	166.000	4,307.69	6,016.04	1,708.35
02/01/10	03/10/09	SELL	CAMECO CORP COM ISIN#CA13321L1085	CCJ	58.000	866.37	1,608.92	742.55
02/01/10	09/25/09	SELL	CAREFOUR SA ADR	CRERY	275.000	2,491.23	2,697.75	206.52
02/01/10	10/05/09	SELL	CAREFOUR SA ADR	CRERY	247.000	2,158.41	2,423.07	264.66
02/01/10	03/11/09	SELL	DAI NIPPON PRTG LTD JAPAN SPON ADR	DNPLY	407.000	3,398.45	5,571.83	2,173.38
02/01/10	03/11/09	SELL	DAIWA HOUSE IND LTD ADR	DWAHY	32.000	2,128.00	3,400.32	1,272.32
02/01/10	10/30/09	SELL	EMBRAER EMPRESA N/C EFF 11/23/10	29081M102	101.000	2,227.56	2,132.12	(95 44)
02/01/10	03/10/09	SELL	FUJIFILM HLDGS CORP ADR 2 ORD	FUJIY	272.000	4,931.14	8,668.08	3,736.94
02/01/10	01/08/10	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	39.000	1,603.82	1,513.98	(89.84)
02/01/10	03/10/09	SELL	GOLD FIELDS LTD NEW SPONS ADR	GFI	238.000	2,515.47	2,844.45	328.98
02/01/10	03/25/09	SELL	HACHIJUNI BK LTD ADR	HACBY	32.000	1,936.00	1,838.40	(97.60)
02/01/10	03/12/09	SELL	IMPALA PLTUM HLD LTD SPONSORED ADR	IMPUY	82.000	1,109.40	2,062.30	952.90
02/01/10	03/10/09	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	139.000	618.37	2,062.37	1,444.00
02/01/10	03/13/09	SELL	KAO CORP SPONSORED ADR REPSTG	KCRPY	215.000	4,155.57	5,291.15	1,135.58
02/01/10	07/07/09	SELL	KINROSS GOLD CORP COM NO PAR	KGC	236.000	4,364.77	3,983.70	(381.07)
02/01/10	08/19/09	SELL	KINROSS GOLD CORP COM NO PAR	KGC	2.000	36.78	33.76	(3.02)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/01/10	03/11/09	SELL	KOREA ELEC PWR CO SPONSORED ADR RPSTG	KEP	270.000	2,475.71	4,436.13	1,960.42
02/01/10	03/10/09	SELL	MAGNA INTERNATIONAL INC COM	MGA	69.000	1,408.23	3,828.81	2,420.58
02/01/10	03/11/09	SELL	MITSUI SUMITOMO INS GRP HLDGS INC ADR	60684V108	190.000	1,991.20	2,394.00	402.80
02/01/10	08/07/09	SELL	NEWCREST MINING LTD ADR	NCMGY	105.000	2,644.83	2,957.85	313.02
02/01/10	08/10/09	SELL	NEWCREST MINING LTD ADR	NCMGY	21.000	527.40	591.57	64.17
02/01/10	03/10/09	SELL	NEXEN INC COM SHS	NXY	165.000	2,288.53	3,696.02	1,407.49
02/01/10	08/03/09	SELL	NINTENDO LTD ADR	NTDOY	68.000	2,308.58	2,415.36	106.78
02/01/10	08/20/09	SELL	NINTENDO LTD ADR	NTDOY	34.000	1,100.56	1,207.68	107.12
02/01/10	03/10/09	SELL	NIPPON TELEG & TELEPHONE CORP	NTT	105.000	2,053.79	2,291.22	237.43
02/01/10	03/10/09	SELL	PANASONIC CORP ADR	PC	195.000	2,169.96	3,131.72	961.76
02/01/10	03/11/09	SELL	ROHM CO LTD ADR	ROHCY	49.000	1,117.20	1,675.80	558.60
02/01/10	03/12/09	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS B	79.000	3,450.72	4,286.54	835.82
02/01/10	03/10/09	SELL	SK TELECOM LTD SPONSORED ADR	SKM	379.000	5,130.14	6,538.25	1,408.11
02/01/10	03/10/09	SELL	SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM	SGAMY	776.000	1,730.48	2,219.36	488.88
02/01/10	03/11/09	SELL	SEKISUI HOUSE LTD SPONSORED ADR	SKHSY	230.000	1,518.00	2,173.50	655.50
02/01/10	03/11/09	SELL	SEVEN & I HLDGS CO LTD ADR	SVNDY	120.000	4,944.00	5,311.20	367.20
02/01/10	03/11/09	SELL	SHISEIDO LTD SPON ADR	SSDOY	309.000	4,171.50	6,489.00	2,317.50

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/01/10	03/10/09	SELL	SIEMENS A G SPONSORED ADR	SI	40.000	2,129.20	3,604.00	1,474 80
02/01/10	03/11/09	SELL	SUMITOMO TR & BKG LTD SPONSORED ADR	STBUY	471.000	1,431.84	2,656.44	1,224.60
02/01/10	03/10/09	SELL	SWISSCOM SPON ADR	SCMWY	142.000	3,968.90	5,204.30	1,235.40
02/01/10	03/10/09	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	37.000	1,228.35	2,366.15	1,137.80
02/01/10	03/10/09	SELL	TECHNIP SPONS ADR ISIN#US8785462099	TKPPY	35.000	1,226.05	2,450.00	1,223.95
02/01/10	03/12/09	SELL	TELECOM ITALIA S P A NEW SPON ADR REPSTG	TI A	610.000	5,146.45	6,977.18	1,830.73
02/01/10	03/10/09	SELL	UNITED UTILS GROUP PLC SPON ADR	UUGRY	171.000	2,209.32	2,987.37	778.05
02/01/10	03/10/09	SELL	WACOAL HLDGS CORP ADR	WACLY	51.000	2,789.70	2,815.71	26.01
02/01/10	03/10/09	SELL	WOLTERS KLUWER N V SPON ADR	WTKWY	127.000	1,866.90	2,670.81	803.91
02/01/10	05/13/09	SELL	WOLTERS KLUWER N V SPON ADR	WTKWY	58.000	1,064.32	1,219.74	155.42
02/23/10	03/10/09	SELL	TECHNIP SPONS ADR ISIN#US8785462099	TKPPY	41.000	1,436.23	3,008.98	1,572.75
03/25/10	08/20/09	SELL	NINTENDO LTD ADR	NTDOY	16.000	517.91	659.51	141.60
05/21/10	04/30/10	SELL	BP PLC SPONS ADR	BP	16.000	847.54	705.23	(142.31)
06/04/10	11/18/09	SELL	REXAM PLC SPONSORED ADR NEW	REXMY	104.000	2,534.64	2,426.54	(108.10)
07/15/10	06/17/10	SELL	BP PLC SPONS ADR	BP	33.000	1,056.28	1,220.86	164.58
08/04/10	06/17/10	SELL	BP PLC SPONS ADR	BP	43.000	1,376.37	1,694.34	317.97
10/11/10	05/19/10	SELL	ALUMINA LTD SPONSORED ADR	AWC	93.000	514.60	744.68	230 08

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
TRADEWINDS

Account Number: 2A9-036217

Recipient's Identification
Number: 20-5222620

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/03/10	06/17/10	SELL	BP PLC SPONS ADR	BP	36.000	1,152.31	1,518.63	366.32
11/09/10	06/17/10	SELL	BP PLC SPONS ADR	BP	38.000	1,216.33	1,645.18	428.85
11/10/10	06/17/10	SELL	BP PLC SPONS ADR	BP	38.000	1,216.33	1,633.65	417.32
12/02/10	05/21/10	SELL	ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	AZSEY	38.000	386.02	440.04	54.02
12/02/10	05/19/10	SELL	ALUMINA LTD SPONSORED ADR	AWC	86.000	475.86	706.92	231.06
12/02/10	01/12/10	SELL	ASTRAZENECA PLC SPONSORED ADR	AZN	10.000	474.30	478.70	4.40
12/02/10	03/03/10	SELL	CAMECO CORP COM ISIN#CA13321L1085	CCJ	1.000	27.02	37.86	10.84
12/02/10	03/22/10	SELL	ELECTRICITE DE FRANCE ADR	ECIFY	81.000	832.70	667.44	(165.26)
12/02/10	05/14/10	SELL	FINMECCANICA SPA ADR	FINMY	125.000	725.20	718.75	(6.45)
12/02/10	10/15/10	SELL	GAZPROM O A O SPON ADR REG S	OGZPY	41.000	879.17	999.58	120.41
12/02/10	01/08/10	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	7.000	287.87	272.72	(15.15)
12/02/10	11/09/10	SELL	STATOIL ASA SPONSORED ADR	STO	29.000	617.39	611.90	(5.49)
12/02/10	05/21/10	SELL	SUNCOR ENERGY INC NEW COM	SU	46.000	1,331.98	1,645.42	313.44
12/02/10	09/09/10	SELL	TOYOTA MTR CO SPON ADR	TM	16.000	1,118.66	1,270.24	151.58
Total Short Term						**138,859.49**	**189,154.91**	**50,295.42**
Long Term								
01/08/10	09/15/08	SELL	NOVARTIS AG SPONSORED ADR	NVS	55.000	2,959.44	2,865.57	(93.87)
01/08/10	12/03/08	SELL	NOVARTIS AG SPONSORED ADR	NVS	18.000	841.86	937.82	95.96

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
TRADEWINDS

Account Number: 2A9-036217

Recipient's Identification
Number. 20-5222620

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
01/12/10	12/03/08	SELL	NOVARTIS AG SPONSORED ADR	NVS	2.000	93.54	105.13	11.59
02/01/10	10/14/08	SELL	BP PLC SPONS ADR	BP	2.000	96.84	114.04	17.20
02/01/10	12/03/08	SELL	BP PLC SPONS ADR	BP	45.000	2,007.90	2,565.90	558.00
02/01/10	12/03/08	SELL	BP PLC SPONS ADR	BP	20.000	893.20	1,140.40	247.20
02/01/10	01/21/09	SELL	BP PLC SPONS ADR	BP	16.000	643.48	912.32	268.84
02/01/10	09/15/08	SELL	NIPPON TELEG & TELEPHONE CORP	NTT	189.000	4,233.32	4,124.21	(109.11)
02/01/10	10/14/08	SELL	NOKIA CORP SPONSORED ADR	NOK	171.000	3,090.07	2,389.08	(700.99)
02/01/10	12/03/08	SELL	NOKIA CORP SPONSORED ADR	NOK	144.000	1,890.19	2,011.85	121.66
02/01/10	09/15/08	SELL	SANOFI AVENTIS SPONS ADR	SNY	148.000	5,190.28	5,467.13	276.85
02/01/10	09/15/08	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	116.000	1,894.28	1,365.32	(528.96)
02/01/10	09/15/08	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	219.000	5,028.24	4,726.46	(301.78)
04/30/10	03/10/09	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	5.000	165.99	323.50	157.51
05/06/10	03/10/09	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	39.000	1,294.75	2,529.13	1,234.38
05/07/10	03/10/09	SELL	BARRICK GOLD CORP COM	ABX	56.000	1,453.19	2,396.58	943.39
05/07/10	03/10/09	SELL	MAGNA INTERNATIONAL INC COM	MGA	16.000	326.55	1,130.19	803.64
05/14/10	03/11/09	SELL	SEVEN & I HLDGS CO LTD ADR	SVNDY	50.000	2,060.00	2,474.06	414.06
05/21/10	03/10/09	SELL	AEGON N V ORD AMER REG	AEG	479.000	1,489.30	2,788.07	1,298.77

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
05/21/10	01/21/09	SELL	BP PLC SPONS ADR	BP	80.000	3,217.37	3,526.11	308.74
05/21/10	03/10/09	SELL	BP PLC SPONS ADR	BP	46.000	1,714.88	2,027.51	312.63
05/28/10	03/10/09	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	20.000	589.40	839.66	250.26
05/28/10	03/10/09	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	24.000	707.27	1,003.64	296.37
06/17/10	03/10/09	SELL	UNITED UTILS GROUP PLC SPON ADR	UUGRY	330.000	4,263.60	5,249.44	985.84
07/08/10	03/10/09	SELL	SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM	SGAMY	402.000	896.46	1,454.36	557.90
07/16/10	03/11/09	SELL	SHISEIDO LTD SPON ADR	SSDOY	87.000	1,174.50	1,940.13	765.63
08/10/10	09/15/08	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	72.000	1,175.76	853.61	(322.15)
09/14/10	03/10/09	SELL	MAGNA INTERNATIONAL INC COM	MGA	13.000	265.32	1,027.84	762.52
09/24/10	03/10/09	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	54.000	240.23	1,260.97	1,020.74
09/27/10	03/10/09	SELL	MAGNA INTERNATIONAL INC COM	MGA	9.000	183.68	715.04	531.36
09/28/10	08/19/09	SELL	KINROSS GOLD CORP COM NO PAR	KGC	45.000	827.63	854.11	26.48
09/29/10	08/19/09	SELL	KINROSS GOLD CORP COM NO PAR	KGC	27.000	496.57	512.46	15.89
10/05/10	03/12/09	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS B	27.000	1,179.36	1,607.37	428.01
10/15/10	03/10/09	SELL	MAGNA INTERNATIONAL INC COM	MGA	11.000	224.50	962.93	738.43
10/26/10	03/10/09	SELL	SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM	SGAMY	825.000	1,839.75	3,263.04	1,423.29
10/27/10	03/10/09	SELL	SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM	SGAMY	287.000	640.01	1,127.36	487 35

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
TRADEWINDS

Account Number: 2A9-036217

Recipient's Identification
Number. 20-5222620

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/28/10	08/10/09	SELL	NEWCREST MINING LTD ADR	NCMGY	21.000	527.40	804.58	277.18
11/02/10	09/15/08	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	42.000	964.32	1,157.99	193.67
11/05/10	03/10/09	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	70.000	311.41	1,874.09	1,562.68
11/08/10	03/10/09	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	30.000	133.46	782.02	648.56
11/11/10	03/10/09	SELL	SIEMENS A G SPONSORED ADR	SI	7.000	372.61	817.76	445.15
12/01/10	03/10/09	SELL	CAMECO CORP COM ISIN#CA13321L1085	CCJ	67.000	1,000.81	2,502.99	1,502.18
12/02/10	10/22/09	SELL	AXIS CAPITAL HLDGS LTD SHS	AXS	56.000	1,709.46	2,050.72	341.26
12/02/10	03/10/09	SELL	UBS AG SHS NEW	UBS	73.000	600.78	1,162.16	561.38
12/02/10	03/10/09	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	16.000	471.52	777.92	306.40
12/02/10	04/09/09	SELL	BARRICK GOLD CORP COM	ABX	53.000	1,527.25	2,834.44	1,307.19
12/02/10	03/10/09	SELL	CAMECO CORP COM ISIN#CA13321L1085	CCJ	38.000	567.62	1,438.68	871.06
12/02/10	10/05/09	SELL	CAREFOUR SA ADR	CRERY	32.000	279.63	283.84	4.21
12/02/10	10/22/09	SELL	CAREFOUR SA ADR	CRERY	110.000	1,007.46	975.70	(31.76)
12/02/10	03/11/09	SELL	DAIWA HOUSE IND LTD ADR	DWAHY	5.000	332.50	578.30	245.80
12/02/10	10/30/09	SELL	EMBRAER EMPRESA N/C EFF 11/23/10	29081M102	8.000	176.44	236.96	60.52
12/02/10	11/03/09	SELL	EMBRAER EMPRESA N/C EFF 11/23/10	29081M102	91.000	1,833.17	2,695.42	862.25
12/02/10	03/10/09	SELL	FUJIFILM HLDGS CORP ADR 2 ORD	FUJIY	17.000	308.20	578 85	270 65

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
TRADEWINDS

Account Number: 2A9-036217

Recipient's Identification
Number: 20-5222620

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/10	03/10/09	SELL	GOLD FIELDS LTD NEW SPONS ADR	GFI	188.000	1,987.01	3,280.98	1,293.97
12/02/10	03/12/09	SELL	IMPALA PLTUM HLD LTD SPONSORED ADR	IMPUY	23.000	311.17	727.03	415 86
12/02/10	03/13/09	SELL	KAO CORP SPONSORED ADR REPSTG	KCRPY	31.000	599.18	785.85	186.67
12/02/10	08/19/09	SELL	KINROSS GOLD CORP COM NO PAR	KGC	40.000	735.67	729.20	(6.47)
12/02/10	03/11/09	SELL	MS&AD INS GROUP HLDGS ADR	MSADY	76.000	796.48	891.48	95.00
12/02/10	03/10/09	SELL	MAGNA INTERNATIONAL INC COM	MGA	17.000	173.48	877.71	704.23
12/02/10	08/10/09	SELL	NEWCREST MINING LTD ADR	NCMGY	35.000	879.00	1,389.50	510.50
12/02/10	03/10/09	SELL	NEXEN INC COM SHS	NXY	65.000	901.54	1,422.20	520.66
12/02/10	08/20/09	SELL	NINTENDO LTD ADR	NTDOY	22.000	712.12	770.00	57.88
12/02/10	08/27/09	SELL	NINTENDO LTD ADR	NTDOY	16.000	519.74	560.00	40.26
12/02/10	03/10/09	SELL	NIPPON TELEG & TELEPHONE CORP	NTT	58.000	1,134.47	1,324.72	190.25
12/02/10	03/10/09	SELL	PANASONIC CORP ADR	PC	67.000	745.57	972.17	226.60
12/02/10	03/11/09	SELL	ROHM CO LTD ADR	ROHCY	30.000	684.00	949.50	265.50
12/02/10	03/12/09	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS B	26.000	1,135.68	1,645.02	509.34
12/02/10	03/10/09	SELL	SK TELECOM LTD SPONSORED ADR	SKM	59.000	798.62	1,102.71	304.09
12/02/10	03/11/09	SELL	SEKISUI HOUSE LTD SPONSORED ADR	SKHSY	42.000	277.20	412.86	135.66
12/02/10	03/11/09	SELL	SEVEN & I HLDGS CO LTD ADR	SVNDY	13.000	535.60	663.00	127.40

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/10	03/10/09	SELL	SIEMENS A G SPONSORED ADR	SI	12.000	638.76	1,427.16	788.40
12/02/10	03/10/09	SELL	SWISSCOM SPON ADR	SCMWY	24.000	670.80	992.64	321.84
12/02/10	03/12/09	SELL	TELECOM ITALIA S P A NEW SPON ADR REPSTG	TI A	122.000	1,029.29	1,335.91	306.62
12/02/10	09/15/08	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	28.000	642.88	725.20	82.32
12/02/10	03/10/09	SELL	WACOAL HLDGS CORP ADR	WACLY	22.000	1,203.40	1,520.86	317.46
12/02/10	05/13/09	SELL	WOLTERS KLUWER N V SPON ADR	WTKWY	17.000	311.96	361.93	49.97
12/10/10	03/10/09	SELL	MAGNA INTERNATIONAL INC COM	MGA	12.000	122.46	604.44	481.98
Total Long Term						**84,958.83**	**115,616.83**	**30,658.00**
Total Short Term and Long Term						**223,818.32**	**304,771.74**	**80,953.42**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes -** If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SECURITIES PURCHASED

(Continued)

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
SOUTHWEST AIRLINES CO	844741108	12/14/2010	770	9,898.43		
		12/15/2010	675	8,547.12		

				18,445.55		
SYMANTEC CORP	871503108	05/07/2010	120	1,918.18		
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR ISIN#US8816242098	881624209	05/21/2010	25	1,343.23		
		07/23/2010	55	2,696.99		
		11/09/2010	50	2,519.14		

				6,559.36		
Total				**194,229.38**	**0.00**	

Securities Purchased. Securities purchased through your account during 2010 are displayed in this section We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased Accrued interest purchased is reported separately in this section of your Tax Information Statement The cost of securities purchased during 2010 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/05/10	05/27/09	SELL	HARRIS STRATEX NETWORKS INC COM	41457P106	156.000	837.10	1,115.60	278.50
01/05/10	03/09/09	SELL	NALCO HLDG CO COM	NLC	395.000	3,894.66	10,433.33	6,538.67
01/05/10	08/26/09	SELL	NALCO HLDG CO COM	NLC	300.000	5,387.91	7,924.05	2,536.14
02/18/10	03/09/09	SELL	SPX CORPORATION	SPW	130.000	5,555.16	7,717.94	2,162.78
02/18/10	04/15/09	SELL	SPX CORPORATION	SPW	85.000	3,480.45	5,046.35	1,565.90
02/25/10	10/06/09	SELL	RALCORP HOLDINGS INC NEW COM	RAH	85.000	4,933.70	5,668.08	734.38

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
SCOTT & STRINGFELLOW, INC.

Account Number: 2A9-036209

Recipient's Identification
Number· 20-5222620

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/08/10	03/09/09	SELL	AKAMAI TECHNOLOGIES INC COM	AKAM	340.000	5,595.86	10,031.33	4,435.47
06/16/10	08/26/09	SELL	AKAMAI TECHNOLOGIES INC COM	AKAM	10.000	180.28	453.46	273.18
07/08/10	10/08/09	SELL	BANK OF NEW YORK MELLON CORP COM	BK	475.000	13,460.27	12,397.69	(1,062.58)
07/08/10	05/20/10	SELL	BANK OF NEW YORK MELLON CORP COM	BK	460.000	12,817.90	12,006.18	(811.72)
07/26/10	10/06/09	SELL	RALCORP HOLDINGS INC NEW COM	RAH	145.000	8,416.31	8,639.39	223.08
07/26/10	11/17/09	SELL	RALCORP HOLDINGS INC NEW COM	RAH	15.000	812.67	893.73	81.06
08/02/10	11/17/09	SELL	RALCORP HOLDINGS INC NEW COM	RAH	235.000	12,731.91	13,769.31	1,037.40
08/20/10	08/26/09	SELL	AKAMAI TECHNOLOGIES INC COM	AKAM	100.000	1,802.80	4,733.92	2,931.12
08/30/10	06/23/10	SELL	ADOBE SYS INC COM	ADBE	140.000	4,247.64	3,906.17	(341.47)
08/30/10	09/10/09	SELL	INTUIT INCORPORATED COM	INTU	30.000	840.22	1,275.00	434.78
08/30/10	02/18/10	SELL	NEXTERA ENERGY INC COM	NEE	170.000	7,773.90	9,124.16	1,350.26
10/22/10	04/22/10	SELL	BAXTER INTL INC COM	BAX	120.000	5,998.19	6,124.14	125.95
10/28/10	05/07/10	SELL	SYMANTEC CORP	SYMC	120.000	1,918.18	1,970.35	52.17
12/02/10	06/23/10	SELL	ADOBE SYS INC COM	ADBE	250.000	7,585.07	7,133.00	(452.07)
12/02/10	12/10/09	SELL	DOLE FOOD CO INC NEW	DOLE	560.000	6,661.42	5,254.18	(1,407.24)
12/02/10	11/03/10	SELL	EOG RES INC COM	EOG	90.000	7,883.38	8,404.20	520.82
12/02/10	02/18/10	SELL	NEXTERA ENERGY INC COM	NEE	50.000	2,286.44	2,552.00	265 56

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
SCOTT & STRINGFELLOW, INC.

Account Number: 2A9-036209

Recipient's Identification Number: 20-5222620

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Total Short Term						**125,101.42**	**146,573.56**	**21,472.14**
Long Term								
04/14/10	03/09/09	SELL	HARRIS CORP DEL	HRS	65.000	1,987.60	3,227.56	1,239.96
04/15/10	03/09/09	SELL	AKAMAI TECHNOLOGIES INC COM	AKAM	185.000	3,044.81	6,413.88	3,369.07
04/15/10	03/09/09	SELL	YUM BRANDS INC COM	YUM	25.000	591.48	1,082.06	490.58
04/23/10	03/09/09	SELL	MCKESSON CORP COM	MCK	10.000	389.99	676.67	286.68
04/29/10	03/09/09	SELL	AKAMAI TECHNOLOGIES INC COM	AKAM	250.000	4,114.60	9,764.08	5,649.48
06/09/10	03/09/09	SELL	AKAMAI TECHNOLOGIES INC COM	AKAM	90.000	1,481.26	3,831.44	2,350.18
06/16/10	03/09/09	SELL	AKAMAI TECHNOLOGIES INC COM	AKAM	75 000	1,234.38	3,400.95	2,166.57
07/09/10	03/09/09	SELL	MCKESSON CORP COM	MCK	45.000	1,754.96	3,022.02	1,267.06
07/15/10	03/09/09	SELL	MCKESSON CORP COM	MCK	70.000	2,729.95	4,828.83	2,098.88
08/30/10	03/09/09	SELL	WEATHERFORD INTL LTD REG	WFT	340.000	3,695.12	5,206.28	1,511.16
08/30/10	03/09/09	SELL	ACTIVISION BLIZZARD INC COM	ATVI	360.000	3,678.84	3,838.32	159.48
08/30/10	03/09/09	SELL	APACHE CORP	APA	50.000	2,654.91	4,505.50	1,850.59
08/30/10	06/05/09	SELL	BAXTER INTL INC COM	BAX	70.000	3,344.57	3,047.80	(296.77)
08/30/10	05/06/09	SELL	BECTON DICKINSON & CO	BDX	30.000	1,842.55	2,088.90	246.35
08/30/10	03/09/09	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	230.000	4,369.82	5,980.02	1,610.20
08/30/10	03/09/09	SELL	CISCO SYSTEMS INC	CSCO	80.000	1,115.04	1,641.60	526.56

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	03/09/09	SELL	COMCAST CORP CL A	CMCSA	290.000	3,276.54	4,942.18	1,665.64
08/30/10	03/09/09	SELL	EBAY INC COM	EBAY	130.000	1,354.39	3,021.33	1,666.94
08/30/10	03/09/09	SELL	ENERGIZER HLDGS INC COM	ENR	80.000	3,088.64	5,045.60	1,956.96
08/30/10	08/14/09	SELL	GILEAD SCIENCES INC	GILD	50.000	2,222.74	1,631.00	(591.74)
08/30/10	03/09/09	SELL	HARRIS CORP DEL	HRS	60.000	1,834.70	2,565.60	730.90
08/30/10	03/09/09	SELL	MARKEL CORP COM	MKL	30.000	6,470.70	9,772.20	3,301.50
08/30/10	03/09/09	SELL	MCKESSON CORP COM	MCK	50.000	1,949.96	2,986.50	1,036.54
08/30/10	03/09/09	SELL	SYMANTEC CORP	SYMC	110.000	1,406.79	1,528.12	121.33
08/30/10	03/09/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	90 000	3,887.01	4,504.50	617.49
08/30/10	03/09/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	120.000	2,147.99	3,843.78	1,695.79
08/30/10	03/09/09	SELL	YUM BRANDS INC COM	YUM	140.000	3,312.29	5,893.16	2,580.87
09/08/10	03/09/09	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	165.000	3,134.87	4,441.37	1,306.50
09/30/10	03/09/09	SELL	SYMANTEC CORP	SYMC	290.000	3,708.81	4,547.06	838.25
10/22/10	06/05/09	SELL	BAXTER INTL INC COM	BAX	380.000	18,156.21	19,393.11	1,236.90
10/25/10	03/09/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	55.000	984.49	2,072.28	1,087.79
10/28/10	03/09/09	SELL	SYMANTEC CORP	SYMC	685.000	8,760.47	11,247.43	2,486.96
10/28/10	08/26/09	SELL	SYMANTEC CORP	SYMC	475 000	7,337.80	7,799.31	461 51

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
SCOTT & STRINGFELLOW, INC.

Account Number: 2A9-036209

Recipient's Identification
Number: 20-5222620

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
11/08/10	08/26/09	SELL	AKAMAI TECHNOLOGIES INC COM	AKAM	290.000	5,228.12	15,640.51	10,412.39
12/02/10	03/09/09	SELL	WEATHERFORD INTL LTD REG	WFT	600.000	6,520.80	12,822.06	6,301.26
12/02/10	03/09/09	SELL	ACTIVISION BLIZZARD INC COM	ATVI	440.000	4,496.36	5,342.48	846.12
12/02/10	08/26/09	SELL	ACTIVISION BLIZZARD INC COM	ATVI	110.000	1,287.88	1,335.62	47.74
12/02/10	03/09/09	SELL	APACHE CORP	APA	90.000	4,778.84	10,288.80	5,509.96
12/02/10	05/06/09	SELL	BECTON DICKINSON & CO	BDX	90.000	5,527.65	7,298.10	1,770.45
12/02/10	03/09/09	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	100.000	1,899.92	2,583.15	683.23
12/02/10	03/09/09	SELL	CISCO SYSTEMS INC	CSCO	280.000	3,902.64	5,376.28	1,473.64
12/02/10	03/09/09	SELL	COMCAST CORP CL A	CMCSA	420.000	4,745.33	8,736.84	3,991.51
12/02/10	03/09/09	SELL	DELL INC COM	DELL	250.000	2,037.10	3,375.49	1,338.39
12/02/10	03/09/09	SELL	EBAY INC COM	EBAY	330.000	3,438.07	9,831.36	6,393.29
12/02/10	03/09/09	SELL	ENERGIZER HLDGS INC COM	ENR	100.000	3,860.80	7,191.44	3,330.64
12/02/10	08/14/09	SELL	GILEAD SCIENCES INC	GILD	195.000	8,668.69	7,301.19	(1,367.50)
12/02/10	08/26/09	SELL	GILEAD SCIENCES INC	GILD	5.000	232.55	187.21	(45.34)
12/02/10	03/09/09	SELL	HALLIBURTON CO COM	HAL	330.000	5,338.87	13,280.39	7,941.52
12/02/10	03/09/09	SELL	HARRIS CORP DEL	HRS	120.000	3,669.41	5,450.58	1,781.17
12/02/10	09/10/09	SELL	INTUIT INCORPORATED COM	INTU	110.000	3,080.79	5,114.12	2,033.33

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/10	03/09/09	SELL	L 3 COMMUNICATIONS HLDGS INC COM	LLL	40.000	2,335.90	2,918.40	582.50
12/02/10	03/09/09	SELL	MCKESSON CORP COM	MCK	70.000	2,729 94	4,654.30	1,924.36
12/02/10	03/09/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	130.000	5,614.57	6,496.36	881.79
12/02/10	03/09/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	160.000	2,863.98	6,046.98	3,183.00
12/02/10	03/09/09	SELL	YUM BRANDS INC COM	YUM	160.000	3,785.47	8,197.39	4,411.92
12/14/10	03/09/09	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	345.000	6,554.72	9,090.27	2,535.55
12/14/10	05/28/09	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	225.000	4,393.28	5,928.43	1,535.15
12/28/10	12/10/09	SELL	DOLE FOOD CO INC NEW	DOLE	520.000	6,185.61	6,930.51	744.90
Total Long Term						**214,241.57**	**329,208.70**	**114,967.13**
Total Short Term and Long Term						**339,342.99**	**475,782.26**	**136,439.27**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes -** If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SECURITIES PURCHASED *(Continued)*

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
VALUECLICK INC COM	92046N102	05/27/2010	627	7,198.90		
		05/28/2010	597	6,959.95		

				14,158.85		
Total				**125,068.90**	**0.00**	

Securities Purchased. Securities purchased through your account during 2010 are displayed in this section. We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities. The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased. Accrued interest purchased is reported separately in this section of your Tax Information Statement The cost of securities purchased during 2010 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
02/12/10	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.511	16 84	0.51	(16 33)
02/26/10	03/09/09	ZMEC	IMS HEALTH INC CASH MERGER EFF	RX	253.000	2,869.00	5,566.00	2,697.00
02/26/10	08/11/09	ZMEC	IMS HEALTH INC CASH MERGER EFF	RX	160.000	2,049.34	3,520.00	1,470.66
04/09/10	08/11/09	SELL	BRINKS HOME SEC C/A EFF 5/14/10	CFL	85.000	2,418.24	3,634.15	1,215.91
04/16/10	06/17/09	SELL	HASBRO INC COM	HAS	140.000	3,488.42	5,590.61	2,102.19
07/07/10	04/09/10	SELL	FIRST INDL RLTY TR INC COM	FR	302.000	2,618.64	1,322.22	(1,296.42)
08/30/10	04/29/10	SELL	CONSTELLATION BRANDS INC CL A	STZ	352.000	6,569.41	5,858.23	(711.18)
08/30/10	04/09/10	SELL	FIRST INDL RLTY TR INC COM	FR	392.000	3,399.04	1,713.82	(1,685.22)
08/30/10	11/23/09	SELL	FORCE PROTN INC COM NEW	FRPT	208.000	1,112.22	821.68	(290.54)

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
THE LONDON CO - SMALL CAP CORE

Account Number: 2A9-036191

Recipient's Identification Number: 20-5222620

2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/30/10	11/24/09	SELL	FORCE PROTN INC COM NEW	FRPT	200.000	1,067.84	790.08	(277.76)
08/30/10	04/09/10	SELL	MBIA INC	MBI	139.000	1,074.18	1,315.11	240.93
08/30/10	04/16/10	SELL	MARTIN MARIETTA MATLS INC COM	MLM	11.000	998.70	812.79	(185.91)
08/30/10	02/24/10	SELL	MICREL INC COM	MCRL	150.000	1,418.49	1,380.18	(38.31)
08/30/10	04/01/10	SELL	OLD DOMINION FREIGHT LINE INC COM	ODFL	101.000	2,240.07	2,489.37	249.30
08/30/10	09/16/09	SELL	SYNAPTICS INC COM	SYNA	65.000	1,850.33	1,820.65	(29.68)
08/30/10	05/27/10	SELL	VALUECLICK INC COM	VCLK	219.000	2,514.45	2,472.95	(41.50)
09/24/10	04/01/10	SELL	OLD DOMINION FREIGHT LINE INC COM	ODFL	11.500	255.06	297.94	42.88
09/24/10	04/09/10	SELL	OLD DOMINION FREIGHT LINE INC COM	ODFL	18.500	426.94	479.30	52.36
09/24/10	05/27/10	SELL	VALUECLICK INC COM	VCLK	59.000	677.41	808.89	131.48
12/02/10	07/08/10	SELL	AOL INC COM	AOL	43.000	883.84	1,051.35	167.51
12/02/10	07/09/10	SELL	AOL INC COM	AOL	22.000	446.62	537.90	91.28
12/02/10	04/29/10	SELL	CONSTELLATION BRANDS INC CL A	STZ	65.000	1,213.10	1,384.50	171.40
12/02/10	04/09/10	SELL	FIRST INDL RLTY TR INC COM	FR	70.000	606.97	554.40	(52.57)
12/02/10	04/09/10	SELL	MBIA INC	MBI	170.000	1,313.74	1,690.41	376.67
12/02/10	04/16/10	SELL	MARTIN MARIETTA MATLS INC COM	MLM	15.000	1,361.86	1,353.00	(8.86)
12/02/10	02/24/10	SELL	MICREL INC COM	MCRL	105.000	992.94	1,362.94	370 00

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CHANGE HAPPENS FOUNDATION
THE LONDON CO - SMALL CAP CORE

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2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/02/10	04/09/10	SELL	OLD DOMINION FREIGHT LINE INC COM	ODFL	65.000	1,500.04	1,950.65	450.61
12/02/10	05/27/10	SELL	VALUECLICK INC COM	VCLK	130.000	1,492.59	2,119.25	626.66
12/20/10	04/16/10	SELL	MARTIN MARIETTA MATLS INC COM	MLM	5.000	453.95	467.02	13.07
Total Short Term						**47,330.27**	**53,165.90**	**5,835.63**
Long Term								
04/09/10	03/09/09	SELL	BRINKS HOME SEC C/A EFF 5/14/10	CFL	230.000	4,597.24	9,833.58	5,236.34
04/16/10	03/09/09	SELL	ALBEMARLE CORP	ALB	106.000	1,719.31	4,658.39	2,939.08
04/16/10	03/09/09	SELL	BUCKEYE PARTNERS L P UNIT LTD PARTNERSHIP	BPL	130.000	4,369.21	7,793.62	3,424.41
04/16/10	03/09/09	SELL	ENERGIZER HLDGS INC COM	ENR	36.000	1,379.30	2,206.11	826.81
04/16/10	03/09/09	SELL	INERGY L P UNIT LTD PARTNERSHIP INT	NRGY	178.000	3,656.44	6,704.67	3,048.23
04/16/10	03/09/09	SELL	NEWMARKET CORP COM	NEU	39.000	1,157.98	4,296.49	3,138.51
04/23/10	03/09/09	CLEU	ALLEGHANY CORP DEL COM	Y	0.020	5.03	6.04	1.01
04/29/10	03/09/09	SELL	KORN / FERRY INTL COM NEW	KFY	230.000	1,945.62	3,844.29	1,898.67
04/29/10	03/09/09	SELL	MARKEL CORP COM	MKL	16.000	3,435.84	6,123.35	2,687.51
04/30/10	03/09/09	SELL	KORN / FERRY INTL COM NEW	KFY	310.000	2,622.35	5,056.91	2,434.56
05/14/10	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.430	13.94	0.00	(13.94)
05/27/10	03/09/09	SELL	VULCAN MATERIALS CO HLDG CO COM	VMC	130.000	4,524.36	6,677.22	2,152 86
05/28/10	03/09/09	SELL	ALEXANDER & BALDWIN INC COM	ALEX	208.000	3,370.70	6,672.52	3,301.82

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
05/28/10	03/09/09	SELL	ATWOOD OCEANICS INC	ATW	13.000	178.11	352.79	174.68
07/07/10	04/27/09	SELL	UNIVERSAL CORP VA COM	UVV	17.000	514.87	676.25	161.38
07/08/10	03/09/09	SELL	LANCE INC COM N/C EFF 12/10/10	514606102	53.000	1,135.58	892.18	(243.40)
07/09/10	03/09/09	SELL	LANCE INC COM N/C EFF 12/10/10	514606102	107.000	2,292.58	1,788.72	(503.86)
07/12/10	03/09/09	SELL	LANCE INC COM N/C EFF 12/10/10	514606102	80.000	1,714.08	1,326.98	(387.10)
07/13/10	03/09/09	SELL	LANCE INC COM N/C EFF 12/10/10	514606102	96.000	2,056.90	1,625.39	(431.51)
07/14/10	03/09/09	SELL	LANCE INC COM N/C EFF 12/10/10	514606102	109.000	2,335.43	1,854.89	(480.54)
07/15/10	03/09/09	SELL	LANCE INC COM N/C EFF 12/10/10	514606102	45.000	964.17	762.26	(201.91)
08/13/10	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.666	21.18	0.00	(21.18)
08/30/10	03/09/09	SELL	MONTPELIER RE HOLDINGS LTD SHS	MRH	121.000	1,318.85	1,932.61	613.76
08/30/10	03/09/09	SELL	WHITE MOUNTAINS INSURANCE GROUP LTD	WTM	23.000	3,464.95	7,009.25	3,544.30
08/30/10	03/09/09	SELL	ALBEMARLE CORP	ALB	120.000	1,946.39	4,838.52	2,892.13
08/30/10	03/09/09	SELL	ALEXANDER & BALDWIN INC COM	ALEX	31.000	502.36	1,057.10	554.74
08/30/10	03/09/09	SELL	ALLEGHANY CORP DEL COM	Y	27.000	6,786.07	8,029.53	1,243.46
08/30/10	03/09/09	SELL	ATWOOD OCEANICS INC	ATW	94.000	1,287.88	2,369.74	1,081.86
08/30/10	03/09/09	SELL	BRINKS CO COM	BCO	32.000	690.15	630.40	(59.75)
08/30/10	06/17/09	SELL	CARMAX INC COM	KMX	132.000	1,670 62	2,728.64	1,058.02

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	03/09/09	SELL	CORRECTIONS CORP AMER NEW COM NEW	CXW	21.000	209.12	459.48	250.36
08/30/10	03/09/09	SELL	EATON VANCE CORP COM NON VTG	EV	132.000	1,959.67	3,500.77	1,541.10
08/30/10	03/09/09	SELL	ENERGIZER HLDGS INC COM	ENR	7.000	268.20	442.40	174.20
08/30/10	06/17/09	SELL	HASBRO INC COM	HAS	5.000	124.59	202.95	78 36
08/30/10	06/18/09	SELL	HASBRO INC COM	HAS	71.000	1,761.11	2,881.89	1,120.78
08/30/10	07/01/09	SELL	HASBRO INC COM	HAS	8.000	197.42	324.72	127.30
08/30/10	03/09/09	SELL	HATTERAS FINL CORP COM	HTS	141.000	3,085.01	4,057.13	972.12
08/30/10	03/09/09	SELL	INVESTORS TITLE CO	ITIC	152.000	3,366.80	4,278.97	912.17
08/30/10	03/10/09	SELL	INVESTORS TITLE CO	ITIC	28.000	585.20	788 23	203.03
08/30/10	03/09/09	SELL	KINDER MORGAN MGMT LLC SHS	KMR	99.000	3,149.68	5,871.69	2,722.01
08/30/10	03/09/09	SELL	KNOLL INC COM NEW	KNL	120.000	672.96	1,613.76	940.80
08/30/10	03/09/09	SELL	NEWMARKET CORP COM	NEU	31.000	920.45	3,064.97	2,144.52
08/30/10	03/09/09	SELL	OWENS AND MINOR INC HLDGS CO INC	OMI	36.000	733.18	950.76	217.58
08/30/10	03/09/09	SELL	PRICESMART INC COM	PSMT	164.000	2,579.42	4,258.10	1,678.68
08/30/10	03/09/09	SELL	STURM RUGER & CO INC	RGR	567.000	5,820.82	7,434.30	1,613.48
08/30/10	03/09/09	SELL	TEJON RANCH CO	TRC	88.000	1,630.02	1,935.12	305.10
08/30/10	06/17/09	SELL	TENET HEALTHCARE CORP	THC	385.000	1,094.05	1,567.93	473.88

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CHANGE HAPPENS FOUNDATION
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2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	03/09/09	SELL	UDR INC COM	UDR	157.000	1,134.80	3,239.10	2,104.30
08/30/10	03/09/09	SELL	WESCO FINL CORP	WSC	5.000	1,130.00	1,815.50	685.50
09/24/10	03/09/09	SELL	MONTPELIER RE HOLDINGS LTD SHS	MRH	54.000	588.58	933.71	345.13
09/24/10	07/01/09	SELL	HASBRO INC COM	HAS	24.000	592.25	1,065.63	473.38
09/24/10	03/09/09	SELL	HATTERAS FINL CORP COM	HTS	26.000	568.87	775.75	206 88
09/24/10	03/09/09	SELL	KINDER MORGAN MGMT LLC SHS	KMR	28.000	890.82	1,674.70	783.88
09/24/10	03/09/09	SELL	KNOLL INC COM NEW	KNL	55.000	308.44	842.14	533.70
09/24/10	03/09/09	SELL	NEWMARKET CORP COM	NEU	26.000	771.99	2,803.58	2,031.59
09/24/10	03/09/09	SELL	PRICESMART INC COM	PSMT	56.000	880.78	1,623.84	743.06
09/24/10	03/09/09	SELL	STURM RUGER & CO INC	RGR	57.000	585.16	780.87	195.71
09/24/10	03/09/09	SELL	UDR INC COM	UDR	37.000	267.44	777.54	510.10
09/24/10	03/09/09	SELL	WESCO FINL CORP	WSC	12.000	2,712.00	4,305.31	1,593.31
10/22/10	09/16/09	SELL	SYNAPTICS INC COM	SYNA	89.000	2,533.54	2,376.70	(156.84)
10/22/10	09/22/09	SELL	SYNAPTICS INC COM	SYNA	169.000	4,521.81	4,513.06	(8.75)
11/12/10	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.373	11.64	0.00	(11.64)
12/02/10	03/09/09	SELL	MONTPELIER RE HOLDINGS LTD SHS	MRH	100.000	1,089.96	1,988.01	898.05
12/02/10	03/09/09	SELL	WHITE MOUNTAINS INSURANCE GROUP LTD	WTM	4.000	602.60	1,275.64	673 04

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/10	03/09/09	SELL	ADVENT SOFTWARE INC COM	ADVS	25.000	628.77	1,309.25	680.48
12/02/10	03/09/09	SELL	ALBEMARLE CORP	ALB	40.000	648.80	2,198.80	1,550.00
12/02/10	03/09/09	SELL	ALEXANDER & BALDWIN INC COM	ALEX	55.000	891.29	2,025.65	1,134.36
12/02/10	03/09/09	SELL	ALLEGHANY CORP DEL COM	Y	4.000	1,005.34	1,204.88	199.54
12/02/10	03/09/09	SELL	ATWOOD OCEANICS INC	ATW	65.000	890.56	2,395.90	1,505.34
12/02/10	03/09/09	SELL	BRINKS CO COM	BCO	40.000	862.69	1,045.20	182.51
12/02/10	06/17/09	SELL	CABELAS INC COM CL A	CAB	113.000	1,251.19	2,538.39	1,287.20
12/02/10	06/17/09	SELL	CARMAX INC COM	KMX	37.000	468.28	1,240.98	772.70
12/02/10	06/18/09	SELL	CARMAX INC COM	KMX	3.000	39.02	100.62	61.60
12/02/10	03/09/09	SELL	CORRECTIONS CORP AMER NEW COM NEW	CXW	85.000	846.43	2,139.45	1,293.02
12/02/10	03/09/09	SELL	EATON VANCE CORP COM NON VTG	EV	30.000	445.38	921.00	475.62
12/02/10	03/09/09	SELL	ENERGIZER HLDGS INC COM	ENR	15.000	574.71	1,084.20	509.49
12/02/10	11/24/09	SELL	FORCE PROTN INC COM NEW	FRPT	212.000	1,131.91	1,106.73	(25.18)
12/02/10	11/25/09	SELL	FORCE PROTN INC COM NEW	FRPT	58.000	303.51	302.78	(0.73)
12/02/10	07/01/09	SELL	HASBRO INC COM	HAS	30.000	740.31	1,468.80	728.49
12/02/10	03/09/09	SELL	HATTERAS FINL CORP COM	HTS	45.000	984.58	1,403.55	418.97
12/02/10	03/09/09	SELL	KINDER MORGAN MGMT LLC SHS	KMR	25.000	781.43	1,599.75	818.32

Recipient's Name and Address:

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2010 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/10	03/09/09	SELL	KNOLL INC COM NEW	KNL	75.000	420.60	1,215.75	795.15
12/02/10	03/09/09	SELL	NEWMARKET CORP COM	NEU	20.000	593.84	2,583.20	1,989.36
12/02/10	03/09/09	SELL	OWENS AND MINOR INC HLDGS CO INC	OMI	30.000	610.98	869.40	258.42
12/02/10	03/09/09	SELL	PRICESMART INC COM	PSMT	65.000	1,022.33	2,262.00	1,239.67
12/02/10	03/09/09	SELL	SERVICE CORP INTL	SCI	215.000	608.28	1,761.62	1,153.34
12/02/10	03/09/09	SELL	STURM RUGER & CO INC	RGR	75.000	769.95	1,185.75	415.80
12/02/10	03/09/09	SELL	TEJON RANCH CO	TRC	50.000	926.14	1,239.50	313.36
12/02/10	06/17/09	SELL	TENET HEALTHCARE CORP	THC	330.000	937.76	1,396.40	458.64
12/02/10	03/09/09	SELL	TREDEGAR CORP	TG	85.000	1,242.68	1,636.25	393.57
12/02/10	03/09/09	SELL	UDR INC COM	UDR	60.000	433.68	1,365.00	931.32
12/02/10	04/27/09	SELL	UNIVERSAL CORP VA COM	UVV	30.000	908.59	1,170.30	261.71
12/02/10	03/09/09	SELL	WESCO FINL CORP	WSC	2.000	452.00	729.90	277.90
12/03/10	03/09/09	SELL	BRINKS CO COM	BCO	238 000	5,133.02	6,207.02	1,074.00
12/20/10	06/18/09	SELL	CARMAX INC COM	KMX	21.000	273.12	744.46	471.34
12/20/10	03/09/09	SELL	KNOLL INC COM NEW	KNL	120.000	672.96	1,986.41	1,313.45
12/20/10	04/27/09	SELL	UNIVERSAL CORP VA COM	UVV	90.000	2,725.79	3,739.75	1,013.96
12/21/10	04/27/09	SELL	UNIVERSAL CORP VA COM	UVV	100.000	3,028.65	4,165.51	1,136 86

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Total Long Term						**142,280.44**	**234,516.84**	**92,236.40**
Total Short Term and Long Term						**189,610.71**	**287,682.74**	**98,072.03**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, for example, it does not reflect disallowed losses from wash sale events Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes** - If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.
- **Corporate Actions** - For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action.
- **Cost Basis Service Enrollment** - The Schedule of Realized Gains and Losses will only be displayed for closing transactions during the period the account was enrolled in the cost basis service. However, proceeds will be reported on the 1099 for the full year.
- **Cost Basis Service Reenrollment** - The Schedule of Realized Gains and Losses provides you with the activity after your account was reactivated in the cost basis service. However, proceeds will be reported on the 1099 for the full year.
- **Fixed Income** - Maturities and Redemptions for short-term instruments (maturities of less than one year) are included in the Schedule of Realized Gains and Losses. However, this income is reported to the IRS on Form 1099-INT.
- **Mortgage-Backed Securities** - Each principal paydown is included in the Schedule of Realized Gains and Losses. However, proceeds are reported to the IRS on Form 1099-B only upon the sale or final redemption of the security.
- **Options (Assignment)** - For the the Schedule of Realized Gains and Losses, the underlying security proceeds are adjusted by the premium paid or received from the options contract. However, if the assignment of the options constitutes a sale of the underlying securities, the proceeds of the sale are reported to the IRS on Form 1099-B, without taking the premium into account.

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SECURITIES PURCHASED

(Continued)

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
		09/20/2010	8	370.55		

				1,967.46		
Total				**167,230.08**	**0.00**	

Securities Purchased. Securities purchased through your account during 2010 are displayed in this section We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities. The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased Accrued interest purchased is reported separately in this section of your Tax Information Statement. The cost of securities purchased during 2010 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
02/03/10	03/09/09	SELL	NABORS INDS LTD SHS ISIN#BMG6359F1032	NBR	7.000	65.16	167.03	101.87
02/03/10	03/09/09	SELL	AES CORP COM	AES	64.000	331.39	833.36	501.97
02/03/10	03/09/09	SELL	ALLEGHENY ENERGY INC COM	AYE	18.000	378.51	373.90	(4.61)
02/03/10	03/09/09	SELL	AMERICAN TOWER CORP CL A	AMT	9.000	246.96	386.59	139 63
02/03/10	03/09/09	SELL	CALPINE CORP COM NEW	CPN	51.000	260.00	586.03	326.03
02/03/10	03/09/09	SELL	CIMAREX ENERGY CO COM	XEC	14.000	236.93	724.50	487.57
02/03/10	03/09/09	SELL	EQT CORPORATION COM	EQT	9.000	265.93	414.58	148.65
02/03/10	03/09/09	SELL	LAMAR ADVERTISING CO CL A	LAMR	26.000	143.26	772.74	629.48
02/03/10	03/09/09	SELL	LIBERTY GLOBAL INC COM SER A	LBTYA	18.000	174.40	470.71	296 31
02/03/10	03/09/09	SELL	LIBERTY MEDIA HLDG CORP INTERACTIVE COM	LINTA	4.000	10.87	42.85	31 98

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/03/10	03/09/09	SELL	LIBERTY MEDIA HLDG CORP CAP COM SER A	LCAPA	12.000	55.92	345.54	289.62
02/03/10	03/09/09	SELL	NATIONAL FUEL GAS CO N J COM	NFG	5.000	138.30	241.70	103.40
02/03/10	06/24/09	SELL	PEPSICO INC	PEP	55.000	2,925.17	3,351.18	426.01
02/03/10	08/11/09	SELL	PEPSICO INC	PEP	16.000	915.01	974 89	59.88
02/03/10	09/15/09	SELL	PEPSICO INC	PEP	8.000	464.30	487.44	23.14
02/03/10	03/09/09	SELL	QUESTAR CORP COM	STR	7.000	183.02	298.13	115.11
02/03/10	02/11/09	SELL	SCANA CORP NEW COM	SCG	9.000	309.30	323.66	14.36
02/03/10	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	91911X104	43 000	691.00	1,475.87	784.87
02/03/10	03/09/09	SELL	YUM BRANDS INC COM	YUM	7.000	168.07	245.64	77.57
02/03/10	11/19/09	SELL	YUM BRANDS INC COM	YUM	43.000	1,523.60	1,508.91	(14.69)
03/24/10	08/11/09	SELL	CLOROX CO COM	CLX	11.000	634.75	713.37	78.62
03/24/10	05/15/09	SELL	HEARTLAND EXPRESS INC	HTLD	6.000	89.64	97.89	8.25
03/24/10	08/11/09	SELL	KELLOGG CO	K	7.000	323.30	381.87	58.57
03/24/10	05/15/09	SELL	PAYCHEX INC	PAYX	28.000	752.04	912.03	159.99
03/24/10	08/11/09	SELL	PAYCHEX INC	PAYX	24.000	653.96	781.74	127.78
03/24/10	09/15/09	SELL	PAYCHEX INC	PAYX	4.000	114.64	130 28	15.64

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
PRINCIPAL GLOBAL INVESTORS

Account Number: 2A9-036183

Recipient's Identification Number: 20-5222620

2010 TAX and YEAR-END STATEMENT
Revised Statement as of 02/19/2011

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/24/10	11/19/09	SELL	ROYAL GOLD INC	RGLD	17.000	878.76	790.68	(88.08)
03/24/10	08/11/09	SELL	SCANA CORP NEW COM	SCG	3.000	101.82	113.35	11.53
03/24/10	12/22/09	SELL	SCANA CORP NEW COM	SCG	15.000	565.86	566.76	0.90
05/19/10	08/11/09	SELL	KELLOGG CO	K	4.000	184.74	219.96	35.22
06/23/10	02/03/10	SELL	AUTOMATIC DATA PROCESSING INC COM	ADP	28.000	1,136.93	1,148.94	12.01
06/23/10	03/24/10	SELL	AUTOMATIC DATA PROCESSING INC COM	ADP	10.000	446.28	410.34	(35.94)
06/23/10	08/11/09	SELL	KELLOGG CO	K	4.000	184.74	213.12	28.38
07/27/10	12/22/09	SELL	DENBURY RES INC COM NEW	DNR	1.209	18.53	18.61	0.08
07/27/10	03/24/10	SELL	DENBURY RES INC COM NEW	DNR	13.000	200.69	200.23	(0.46)
07/27/10	05/19/10	SELL	FIRST AMERN FINL CORP COM	FAF	1.000	14.43	14.98	0.55
08/30/10	03/24/10	SELL	AUTOZONE INC	AZO	8.000	1,391.48	1,702.92	311.44
08/30/10	06/23/10	SELL	BECTON DICKINSON & CO	BDX	9.000	631.95	626.22	(5.73)
08/30/10	05/19/10	SELL	CORELOGIC INC COM	CLGX	16.000	307.10	284.96	(22.14)
08/30/10	03/24/10	SELL	DENBURY RES INC COM NEW	DNR	61.000	941.71	920.49	(21.22)
08/30/10	09/15/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	7.000	178.50	237.79	59.29
08/30/10	11/19/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	18.000	490.41	611.46	121.05
08/30/10	05/19/10	SELL	FIRST AMERN FINL CORP COM	FAF	26.000	375.08	377.52	2.44

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/30/10	03/24/10	SELL	INTERNATIONAL GAME TECHNOLOGY COM	IGT	29.000	516.77	442.25	(74.52)
08/30/10	12/22/09	SELL	LEUCADIA NATL CORP COM	LUK	66.000	1,585.83	1,391.28	(194.55)
08/30/10	03/24/10	SELL	MEAD JOHNSON NUTRITION CO COM	MJN	17.000	887.09	885.87	(1.22)
08/30/10	09/15/09	SELL	MICROCHIP TECHNOLOGY INC COM	MCHP	15.000	412.26	426.15	13.89
08/30/10	05/19/10	SELL	MOODYS CORP COM	MCO	50.000	1,065.02	1,063.00	(2.02)
08/30/10	09/15/09	SELL	PROGRESSIVE CORP OH COM	PGR	55.000	928.34	1,085.70	157.36
08/30/10	11/19/09	SELL	ROYAL GOLD INC	RGLD	111.000	5,737.77	5,508.29	(229.48)
08/30/10	11/19/09	SELL	YUM BRANDS INC COM	YUM	33.000	1,169.28	1,394.91	225.63
09/20/10	05/19/10	SELL	CORELOGIC INC COM	CLGX	3.000	57.58	55.74	(1.84)
09/20/10	03/24/10	SELL	DENBURY RES INC COM NEW	DNR	3.000	46.31	47.37	1.06
09/20/10	11/19/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	3.000	81.73	114.99	33.26
09/20/10	12/22/09	SELL	LAMAR ADVERTISING CO CL A	LAMR	7.000	218.88	203.16	(15.72)
09/20/10	05/19/10	SELL	LAMAR ADVERTISING CO CL A	LAMR	3.000	93.09	87.06	(6.03)
09/20/10	11/19/09	SELL	MICROCHIP TECHNOLOGY INC COM	MCHP	8.000	203.27	238.96	35.69
09/20/10	05/19/10	SELL	MOODYS CORP COM	MCO	8.000	170.40	205.76	35.36
10/29/10	05/19/10	SELL	FIRST AMERN FINL CORP COM	FAF	105.000	1,514.74	1,469.76	(44.98)
10/29/10	06/23/10	SELL	FIRST AMERN FINL CORP COM	FAF	13.000	170 82	181.97	11.15

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/29/10	09/20/10	SELL	FIRST AMERN FINL CORP COM	FAF	7.000	101.29	97.98	(3.31)
10/29/10	05/19/10	SELL	MOODYS CORP COM	MCO	175.000	3,727.57	4,641.64	914.07
10/29/10	12/22/09	SELL	QEP RES INC COM	QEP	20.000	571.37	659.61	88.24
10/29/10	03/24/10	SELL	QEP RES INC COM	QEP	14.000	409.86	461.73	51.87
10/29/10	06/23/10	SELL	QEP RES INC COM	QEP	4.000	127.32	131.92	4.60
10/29/10	07/27/10	SELL	QEP RES INC COM	QEP	6.000	179.54	197.88	18.34
10/29/10	11/19/09	SELL	YUM BRANDS INC COM	YUM	10.000	354 33	491.81	137.48
11/16/10	03/24/10	SELL	AUTOZONE INC	AZO	4.000	695.74	996.32	300.58
11/16/10	10/29/10	CLEU	HOWARD HUGHES CORP COM	HHC	0.719	25.90	0.64	(25.26)
11/16/10	10/29/10	SELL	HOWARD HUGHES CORP COM	HHC	16.000	576.78	646.46	69.68
11/22/10	05/19/10	SELL	MOODYS CORP COM	MCO	45.000	958.52	1,214.59	256.07
11/22/10	06/23/10	SELL	MOODYS CORP COM	MCO	82.000	1,729.30	2,213.24	483.94
11/22/10	07/27/10	SELL	MOODYS CORP COM	MCO	25.000	577.91	674.77	96.86
12/02/10	03/24/10	SELL	AUTOZONE INC	AZO	4.000	695.74	1,041.82	346.08
12/02/10	03/24/10	SELL	DENBURY RES INC COM NEW	DNR	12.000	185.25	229.45	44.20
12/02/10	03/24/10	SELL	LENDER PROCESSING SVCS INC COM	LPS	6.000	247.72	184.40	(63.32)
12/02/10	06/23/10	SELL	LENDER PROCESSING SVCS INC COM	LPS	20 000	664 68	614.67	(50 01)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/02/10	07/27/10	SELL	LENDER PROCESSING SVCS INC COM	LPS	3.000	96.84	92.20	(4.64)
12/02/10	10/29/10	SELL	LENDER PROCESSING SVCS INC COM	LPS	4.000	116.15	122.94	6.79
Total Short Term						**47,005.43**	**55,017.05**	8,012
Long Term								
02/03/10	08/15/08	SELL	COVIDIEN PLC SHS	COV	3.000	160.76	152.52	(8.24)
02/03/10	08/15/08	SELL	ST JUDE MED INC COM	STJ	10.000	460.25	384.61	(75.64)
03/12/10	03/09/09	CLEU	DENBURY RES INC COM NEW	DNR	0.619	9.49	10.00	0.51
03/24/10	08/15/08	SELL	COVIDIEN PLC SHS	COV	9.000	482.29	455.72	(26.57)
03/24/10	03/09/09	SELL	ALLIANT TECHSYSTEMS INC	ATK	11.000	697.39	913.22	215.83
03/24/10	03/09/09	SELL	CIMAREX ENERGY CO COM	XEC	48.000	812.35	2,854.37	2,042.02
03/24/10	03/09/09	SELL	COVENTRY HEALTH CARE INC COM	CVH	5.000	42.74	127.16	84.42
03/24/10	03/09/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	22.000	303.58	645.06	341 48
03/24/10	03/09/09	SELL	DISH NETWORK CORP CL A	DISH	29.000	281.85	597.14	315 29
03/24/10	03/09/09	SELL	GENTEX CORP COM	GNTX	17.000	124.43	346.14	221.71
03/24/10	03/09/09	SELL	HEARTLAND EXPRESS INC	HTLD	45.000	551.25	734.19	182.94
03/24/10	03/09/09	SELL	LAMAR ADVERTISING CO CL A	LAMR	3.000	16.53	104 94	88.41
03/24/10	03/09/09	SELL	LIBERTY GLOBAL INC COM SER A	LBTYA	29.000	280.98	839.04	558.06

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/24/10	03/09/09	SELL	LIBERTY MEDIA HLDG CORP INTERACTIVE COM	LINTA	21.000	57.06	308.91	251.85
03/24/10	03/09/09	SELL	LIBERTY MEDIA HLDG CORP CAP COM SER A	LCAPA	19.000	88.53	658.39	569.86
03/24/10	03/09/09	SELL	LINCARE HLDGS INC	LNCR	13 000	264.02	566.48	302.46
03/24/10	03/09/09	SELL	O REILLY AUTOMOTIVE INC COM *N/C*	686091109	11.000	356.93	466.11	109.18
03/24/10	08/15/08	SELL	ST JUDE MED INC COM	STJ	10.000	460.25	401.41	(58.84)
03/24/10	02/11/09	SELL	SCANA CORP NEW COM	SCG	75.000	2,577.54	2,833.79	256.25
03/24/10	03/09/09	SELL	SYSCO CORP	SYY	31.000	614.09	911.15	297.06
03/24/10	03/09/09	SELL	TJX COMPANIES INC (NEW)	TJX	11.000	242.88	477.97	235.09
03/24/10	08/15/08	SELL	TELEPHONE & DATA SYS INC SPL COM	TDS S	3.000	114.05	90.06	(23.99)
03/24/10	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	91911X104	7.000	112.49	292.25	179.76
05/19/10	03/09/09	SELL	NABORS INDS LTD SHS ISIN#BMG6359F1032	NBR	18.000	167.54	331.41	163.87
05/19/10	10/22/08	SELL	WEATHERFORD INTL LTD REG	WFT	4.000	60.37	59.76	(0.61)
05/19/10	03/09/09	SELL	AES CORP COM	AES	22.000	113.92	216.95	103.03
05/19/10	03/09/09	SELL	ALLEGHENY ENERGY INC COM	AYE	30.000	630.85	613.55	(17.30)
05/19/10	03/09/09	SELL	ALLIANT TECHSYSTEMS INC	ATK	29.000	1,838.58	2,138.81	300.23
05/19/10	03/09/09	SELL	AUTOMATIC DATA PROCESSING INC COM	ADP	21.000	699.30	878.51	179.21
05/19/10	03/09/09	SELL	CALPINE CORP COM NEW	CPN	71.000	361.96	935 08	573.12

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
05/19/10	03/09/09	SELL	CIMAREX ENERGY CO COM	XEC	80.000	1,353.91	5,344.71	3,990.80
05/19/10	08/15/08	SELL	CINTAS CORP	CTAS	20.000	621.93	534.68	(87.25)
05/19/10	03/09/09	SELL	DENBURY RES INC COM NEW	DNR	73.000	1,119.09	1,191.43	72.34
05/19/10	03/09/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	145.000	2,000.89	4,531.20	2,530.31
05/19/10	03/09/09	SELL	EOG RES INC COM	EOG	16.000	829.67	1,623.39	793.72
05/19/10	03/09/09	SELL	EQT CORPORATION COM	EQT	15.000	443.22	594.65	151.43
05/19/10	03/09/09	SELL	FIDELITY NATL INFORMATION SVCS INC	FIS	3.000	47.87	81.81	33.94
05/19/10	03/09/09	SELL	INTUIT INCORPORATED COM	INTU	5.000	116.20	180.90	64.70
05/19/10	03/09/09	SELL	LIBERTY GLOBAL INC COM SER A	LBTYA	43.000	416.63	1,061.70	645.07
05/19/10	03/09/09	SELL	LIBERTY MEDIA HLDG CORP CAP COM SER A	LCAPA	5.000	23.30	206.33	183.03
05/19/10	03/09/09	SELL	LINCARE HLDGS INC	LNCR	13.000	264.03	587.74	323.71
05/19/10	03/09/09	SELL	MERCURY GENERAL CORP	MCY	111.000	2,572.31	4,795.85	2,223.54
05/19/10	03/09/09	SELL	NATIONAL FUEL GAS CO N J COM	NFG	9.000	248.94	445.15	196.21
05/19/10	03/09/09	SELL	QUESTAR CORP COM	STR	15.000	392.20	685.01	292.81
05/19/10	03/09/09	SELL	SAIC INC COM	SAI	4.000	69.55	69.93	0.38
05/19/10	08/15/08	SELL	ST JUDE MED INC COM	STJ	4.000	184.10	153.49	(30.61)
05/19/10	03/09/09	SELL	SPECTRA ENERGY CORP COM	SE	36.000	426.57	758 93	332.36

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
05/19/10	03/09/09	SELL	SYSCO CORP	SYY	3.000	59.43	90 84	31.41
05/19/10	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	91911X104	48.000	771.35	2,192.21	1,420.86
05/19/10	03/09/09	SELL	WILLIAMS COS INC COM	WMB	35.000	367.78	707.79	340.01
06/23/10	08/15/08	SELL	COVIDIEN PLC SHS	COV	5.000	267.94	209.66	(58.28)
06/23/10	03/09/09	SELL	ALLEGHENY ENERGY INC COM	AYE	8.000	168.23	169.06	0.83
06/23/10	03/09/09	SELL	AUTOMATIC DATA PROCESSING INC COM	ADP	16.000	532.80	656.54	123.74
06/23/10	05/15/09	SELL	AUTOMATIC DATA PROCESSING INC COM	ADP	9.000	326.43	369.30	42.87
06/23/10	03/09/09	SELL	CALPINE CORP COM NEW	CPN	35.000	178.43	468.36	289.93
06/23/10	03/09/09	SELL	CIMAREX ENERGY CO COM	XEC	19.000	321.55	1,387.95	1,066.40
06/23/10	03/09/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	96.000	1,324.73	3,176.83	1,852.10
06/23/10	05/15/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	3.000	54.36	99.28	44.92
06/23/10	03/09/09	SELL	LINCARE HLDGS INC	LNCR	16.000	216.64	517.29	300.65
06/23/10	03/09/09	SELL	O REILLY AUTOMOTIVE INC COM *N/C*	686091109	46.000	1,492.61	2,276.68	784.07
06/23/10	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	91911X104	30.000	482.09	1,503.60	1,021.51
07/27/10	08/15/08	SELL	COVIDIEN PLC SHS	COV	21.000	1,125.34	833.73	(291.61)
07/27/10	03/09/09	SELL	NABORS INDS LTD SHS ISIN#BMG6359F1032	NBR	2.000	18.62	36.50	17.88
07/27/10	10/22/08	SELL	WEATHERFORD INTL LTD REG	WFT	4.000	60.37	63 17	2.80

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/27/10	03/09/09	SELL	AMERICAN TOWER CORP CL A	AMT	16.000	439.04	729.79	290.75
07/27/10	05/15/09	SELL	AMERICAN TOWER CORP CL A	AMT	5.000	142.55	228.06	85.51
07/27/10	06/24/09	SELL	AMERICAN TOWER CORP CL A	AMT	64.000	2,024 91	2,919.14	894.23
07/27/10	03/09/09	SELL	CALPINE CORP COM NEW	CPN	2.000	10.20	27.86	17.66
07/27/10	03/09/09	SELL	CIMAREX ENERGY CO COM	XEC	1.000	16.92	75.18	58.26
07/27/10	03/09/09	SELL	DENBURY RES INC COM NEW	DNR	1.792	27.46	27.59	0.13
07/27/10	05/15/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	4.000	72.47	134.60	62.13
07/27/10	03/09/09	SELL	EOG RES INC COM	EOG	5.000	259.27	504.21	244.94
07/27/10	03/09/09	SELL	FIDELITY NATL INFORMATION SVCS INC	FIS	20.000	319.16	564.20	245.04
07/27/10	03/09/09	SELL	LINCARE HLDGS INC	LNCR	10.000	135.40	242.60	107.20
07/27/10	03/09/09	SELL	NEWMONT MNG CORP COM	NEM	45.000	1,720.19	2,517.83	797.64
07/27/10	03/09/09	SELL	SAIC INC COM	SAI	2.000	34.78	33.90	(0.88)
07/27/10	03/09/09	SELL	SYSCO CORP	SYY	48.000	950.84	1,497.17	546.33
07/27/10	03/09/09	SELL	WASHINGTON POST CO CL B	WPO	4.000	1,249.80	1,706.74	456.94
08/30/10	08/15/08	SELL	COVIDIEN PLC SHS	COV	18.000	964.57	660.42	(304.15)
08/30/10	03/09/09	SELL	EVEREST REINSURANCE GROUP LTD SHS	RE	42.000	2,621.14	3,376.80	755.66
08/30/10	03/09/09	SELL	NABORS INDS LTD SHS ISIN#BMG6359F1032	NBR	10 000	93.08	160.60	67 52

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	03/09/09	SELL	WHITE MOUNTAINS INSURANCE GROUP LTD	WTM	2.000	303.76	608.94	305.18
08/30/10	10/22/08	SELL	WEATHERFORD INTL LTD REG	WFT	16.000	241.46	244.16	2.70
08/30/10	03/09/09	SELL	TYCO INTL LTD SHS	TYC	35.000	632.03	1,338.75	706.72
08/30/10	03/09/09	SELL	AES CORP COM	AES	74.000	383.17	779.27	396.10
08/30/10	03/09/09	SELL	ALLEGHENY ENERGY INC COM	AYE	39.000	820.11	882.96	62.85
08/30/10	03/09/09	SELL	ALLIANT TECHSYSTEMS INC	ATK	13.000	824.19	881.66	57.47
08/30/10	06/24/09	SELL	AMERICAN TOWER CORP CL A	AMT	44.000	1,392.12	2,069.76	677.64
08/30/10	03/09/09	SELL	AMERIPRISE FINL INC COM	AMP	35.000	497.30	1,522.17	1,024.87
08/30/10	08/15/08	SELL	AON CORPORATION	AON	35.000	1,654.57	1,284.85	(369.72)
08/30/10	08/15/08	SELL	BROADRIDGE FINL SOLUTIONS INC COM	BR	43.000	853.12	924.93	71.81
08/30/10	03/09/09	SELL	BROOKFIELD ASSET MGMT INC VTG	BAM	111.000	1,287.37	2,842.72	1,555.35
08/30/10	03/09/09	SELL	BROWN & BROWN INC	BRO	59.000	940.22	1,144.03	203.81
08/30/10	03/09/09	SELL	CALPINE CORP COM NEW	CPN	43.000	219.21	533.20	313.99
08/30/10	03/09/09	SELL	CIMAREX ENERGY CO COM	XEC	8.000	135.39	537.76	402.37
08/30/10	08/15/08	SELL	CINTAS CORP	CTAS	82.000	2,549.90	2,094.28	(455.62)
08/30/10	03/09/09	SELL	CINTAS CORP	CTAS	6.000	111.23	153.24	42.01
08/30/10	08/11/09	SELL	CLOROX CO COM	CLX	34.000	1,961.95	2,193.00	231.05

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	05/15/09	SELL	COPART INC	CPRT	12.000	356.27	406.21	49.94
08/30/10	08/11/09	SELL	COPART INC	CPRT	7.000	252.38	236.95	(15.43)
08/30/10	03/09/09	SELL	COVANTA HLDG CORP COM	CVA	119.000	1,612.21	1,736.22	124.01
08/30/10	03/09/09	SELL	COVENTRY HEALTH CARE INC COM	CVH	49.000	418.87	980.49	561.62
08/30/10	03/09/09	SELL	DENTSPLY INTL INC NEW COM	XRAY	35.000	780.44	999.25	218.81
08/30/10	05/15/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	65.000	1,177.69	2,208.05	1,030.36
08/30/10	03/09/09	SELL	DISH NETWORK CORP CL A	DISH	64.000	622.02	1,142.40	520.38
08/30/10	08/11/09	SELL	DUN & BRADSTREET CORP DEL NEW COM	DNB	18.000	1,335.90	1,207.80	(128.10)
08/30/10	03/09/09	SELL	EOG RES INC COM	EOG	6.000	311.13	535.02	223.89
08/30/10	03/09/09	SELL	EQT CORPORATION COM	EQT	21.000	620.51	696.15	75.64
08/30/10	03/09/09	SELL	ECHOSTAR CORP CL A	SATS	40.000	581.15	769.60	188.45
08/30/10	03/09/09	SELL	FIDELITY NATL INFORMATION SVCS INC	FIS	50.000	797.91	1,313.00	515.09
08/30/10	03/09/09	SELL	FOREST CITY ENTERPRISES INC	FCE A	38.000	154.97	438.90	283.93
08/30/10	03/09/09	SELL	GENTEX CORP COM	GNTX	33.000	241.55	589.38	347.83
08/30/10	03/09/09	SELL	INTUIT INCORPORATED COM	INTU	61.000	1,417.64	2,620.56	1,202.92
08/30/10	03/09/09	SELL	IRON MTN INC PA COM	IRM	8.000	143.35	165.52	22.17
08/30/10	08/11/09	SELL	KELLOGG CO	K	20.000	923.70	998.20	74 50

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
PRINCIPAL GLOBAL INVESTORS

Account Number: 2A9-036183

Recipient's Identification
Number 20-5222620

2010 TAX and
YEAR-END STATEMENT
Revised Statement as of 02/19/2011

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	03/09/09	SELL	LABORATORY CORP AMER HLDGS COM NEW	LH	49.000	2,644.34	3,656.87	1,012.53
08/30/10	03/09/09	SELL	LAMAR ADVERTISING CO CL A	LAMR	5.000	27.55	133.80	106.25
08/30/10	03/09/09	SELL	LENDER PROCESSING SVCS INC COM	LPS	25.000	627.50	746.25	118.75
08/30/10	03/09/09	SELL	LIBERTY GLOBAL INC COM SER A	LBTYA	78.000	755.74	2,147.42	1,391.68
08/30/10	03/09/09	SELL	LIBERTY MEDIA HLDG CORP INTERACTIVE COM	LINTA	36.000	97.83	384.48	286.65
08/30/10	03/09/09	SELL	LIBERTY MEDIA HLDG CORP CAP COM SER A	LCAPA	68.000	316.85	3,040.96	2,724.11
08/30/10	03/09/09	SELL	LIBERTY MEDIA CORP NEW LIBERTY STARZ	LSTZA	14.000	321.13	835.94	514.81
08/30/10	03/09/09	SELL	LINCARE HLDGS INC	LNCR	33.000	446.81	771.21	324.40
08/30/10	03/09/09	SELL	LOEWS CORP COM	L	82.000	1,501.26	2,926.58	1,425.32
08/30/10	03/09/09	SELL	MARKEL CORP COM	MKL	7.000	1,510.11	2,280.78	770.67
08/30/10	03/09/09	SELL	MARSH & MCLENNAN COS INC COM	MMC	46.000	836.19	1,093.88	257.69
08/30/10	03/09/09	SELL	MICROCHIP TECHNOLOGY INC COM	MCHP	3.000	54.69	85.23	30.54
08/30/10	05/15/09	SELL	MICROCHIP TECHNOLOGY INC COM	MCHP	3.000	60.66	85.23	24.57
08/30/10	08/11/09	SELL	MICROCHIP TECHNOLOGY INC COM	MCHP	5.000	131.75	142.05	10.30
08/30/10	03/09/09	SELL	MOHAWK INDUSTRIES INC	MHK	7.000	125.65	322.70	197.05
08/30/10	03/09/09	SELL	NATIONAL FUEL GAS CO N J COM	NFG	9.000	248.94	395.73	146.79
08/30/10	03/09/09	SELL	NEWMONT MNG CORP COM	NEM	55.000	2,102 45	3,312 65	1,210.20

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	03/09/09	SELL	O REILLY AUTOMOTIVE INC COM *N/C*	686091109	73.000	2,368.70	3,447.79	1,079.09
08/30/10	03/09/09	SELL	QEP RES INC COM	QEP	45.000	797.73	1,359.45	561.72
08/30/10	03/09/09	SELL	QUESTAR CORP COM	STR	42.000	353.60	691.32	337.72
08/30/10	03/09/09	SELL	SAIC INC COM	SAI	79.000	1,373.65	1,211.86	(161.79)
08/30/10	08/15/08	SELL	ST JUDE MED INC COM	STJ	22.000	1,012.55	771.76	(240.79)
08/30/10	03/09/09	SELL	SPECTRA ENERGY CORP COM	SE	67.000	793.89	1,397.62	603.73
08/30/10	03/09/09	SELL	SYSCO CORP	SYY	31.000	614.08	861.80	247.72
08/30/10	03/09/09	SELL	TJX COMPANIES INC (NEW)	TJX	15.000	331.20	611.40	280.20
08/30/10	05/15/09	SELL	TJX COMPANIES INC (NEW)	TJX	8.000	216.24	326.08	109 84
08/30/10	06/24/09	SELL	TJX COMPANIES INC (NEW)	TJX	14.000	426.10	570.64	144.54
08/30/10	08/15/08	SELL	TELEPHONE & DATA SYS INC SPL COM	TDS S	25.000	950.45	666.75	(283.70)
08/30/10	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	91911X104	49.000	787.42	2,840.53	2,053.11
08/30/10	03/09/09	SELL	WASHINGTON POST CO CL B	WPO	2.000	624.90	774.02	149.12
08/30/10	03/09/09	SELL	WESTERN UN CO COM	WU	68.000	741.82	1,090.72	348.90
08/30/10	03/09/09	SELL	WILLIAMS COS INC COM	WMB	67.000	704.04	1,241.51	537.47
09/20/10	03/09/09	SELL	NABORS INDS LTD SHS ISIN#BMG6359F1032	NBR	11.000	102.39	191.84	89.45
09/20/10	06/24/09	SELL	AMERICAN TOWER CORP CL A	AMT	15 000	474 59	748.52	273 93

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
09/20/10	08/11/09	SELL	AMERICAN TOWER CORP CL A	AMT	14.000	453.29	698.61	245.32
09/20/10	03/09/09	SELL	CALPINE CORP COM NEW	CPN	59.000	300.78	739.87	439.09
09/20/10	03/09/09	SELL	CINTAS CORP	CTAS	77.000	1,427.44	2,148.75	721.31
09/20/10	08/11/09	SELL	CLOROX CO COM	CLX	4.000	230.82	270.61	39.79
09/20/10	08/11/09	SELL	COPART INC	CPRT	5.000	180.27	179.85	(0.42)
09/20/10	03/09/09	SELL	COVANTA HLDG CORP COM	CVA	12.000	162.58	181.44	18.86
09/20/10	03/09/09	SELL	DISH NETWORK CORP CL A	DISH	39.000	379.04	732.42	353.38
09/20/10	03/09/09	SELL	EQT CORPORATION COM	EQT	9.000	265.93	304.74	38.81
09/20/10	03/09/09	SELL	FIDELITY NATL INFORMATION SVCS INC	FIS	60.000	957.49	1,656.02	698.53
09/20/10	03/09/09	SELL	GENTEX CORP COM	GNTX	18.000	131.75	340.02	208 27
09/20/10	03/09/09	SELL	IRON MTN INC PA COM	IRM	104.000	1,863.49	2,119.64	256.15
09/20/10	03/09/09	SELL	LAMAR ADVERTISING CO CL A	LAMR	31.000	170.81	899.69	728.88
09/20/10	09/15/09	SELL	LAMAR ADVERTISING CO CL A	LAMR	5.000	115.95	145.11	29.16
09/20/10	03/09/09	SELL	LENDER PROCESSING SVCS INC COM	LPS	4.000	100.40	133.88	33.48
09/20/10	03/09/09	SELL	LIBERTY GLOBAL INC COM SER A	LBTYA	16.000	155.02	478.17	323.15
09/20/10	03/09/09	SELL	LIBERTY MEDIA HLDG CORP CAP COM SER A	LCAPA	4.000	18.64	202.48	183.84
09/20/10	09/15/09	SELL	MICROCHIP TECHNOLOGY INC COM	MCHP	1.000	27.48	29.87	2.39

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
09/20/10	03/09/09	SELL	NATIONAL FUEL GAS CO N J COM	NFG	3.000	82.98	137.58	54.60
09/20/10	03/09/09	SELL	QEP RES INC COM	QEP	42.000	744.54	1,238.91	494.37
09/20/10	03/09/09	SELL	SAIC INC COM	SAI	20.000	347.76	313.20	(34.56)
09/20/10	03/09/09	SELL	SYSCO CORP	SYY	31.000	614.08	914.56	300.48
09/20/10	08/15/08	SELL	TELEPHONE & DATA SYS INC SPL COM	TDS S	18.000	684.32	500.22	(184.10)
09/20/10	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	91911X104	5.000	80.35	325.15	244.80
09/28/10	03/09/09	CLEU	VALEANT PHARMACEUTICALS INTL	VRX	0.135	1.22	3.54	2.32
10/29/10	03/09/09	SELL	EVEREST REINSURANCE GROUP LTD SHS	RE	5.000	312.04	416.21	104.17
10/29/10	08/11/09	SELL	AMERICAN TOWER CORP CL A	AMT	33.000	1,068.46	1,706.81	638.35
10/29/10	03/09/09	SELL	BROOKFIELD ASSET MGMT INC VTG	BAM	8.000	92.78	238.57	145.79
10/29/10	03/09/09	SELL	CINTAS CORP	CTAS	79.000	1,464.52	2,166.45	701.93
10/29/10	08/11/09	SELL	CLOROX CO COM	CLX	6.000	346.23	398.54	52.31
10/29/10	03/09/09	SELL	INTUIT INCORPORATED COM	INTU	3.000	69.72	143.67	73.95
10/29/10	03/09/09	SELL	LABORATORY CORP AMER HLDGS COM NEW	LH	3.000	161.90	243.81	81.91
10/29/10	03/09/09	SELL	NEWMONT MNG CORP COM	NEM	5.000	191.13	302.57	111.44
10/29/10	03/09/09	SELL	QEP RES INC COM	QEP	78.000	1,382.73	2,572.48	1,189.75
10/29/10	08/15/08	SELL	ST JUDE MED INC COM	STJ	4.000	184.10	153.72	(30.38)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/29/10	03/09/09	SELL	SYSCO CORP	SYY	8.000	158.47	236.09	77.62
10/29/10	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	VRX	6.000	54.14	164.05	109.91
10/29/10	03/09/09	SELL	WASHINGTON POST CO CL B	WPO	11.000	3,436.95	4,186.65	749.70
12/02/10	03/09/09	SELL	EVEREST REINSURANCE GROUP LTD SHS	RE	25.000	1,560.20	2,104.07	543.87
12/02/10	10/22/08	SELL	WEATHERFORD INTL LTD REG	WFT	3.000	45.27	63.58	18.31
12/02/10	03/09/09	SELL	AES CORP COM	AES	4.000	20.71	43.97	23.26
12/02/10	08/15/08	SELL	AON CORPORATION	AON	3.000	141.82	125 07	(16.75)
12/02/10	03/09/09	SELL	BROOKFIELD ASSET MGMT INC VTG	BAM	4.000	46.39	121.20	74.81
12/02/10	03/09/09	SELL	BROWN & BROWN INC	BRO	7.000	111.55	166.14	54.59
12/02/10	03/09/09	SELL	CALPINE CORP COM NEW	CPN	6.000	30.59	74.76	44.17
12/02/10	03/09/09	SELL	CIMAREX ENERGY CO COM	XEC	4.000	67.70	337.12	269.42
12/02/10	03/09/09	SELL	CINTAS CORP	CTAS	55.000	1,019.60	1,538.58	518.98
12/02/10	11/19/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	41.000	1,117.04	1,494.40	377.36
12/02/10	03/09/09	SELL	IRON MTN INC PA COM	IRM	3.000	53.75	68.98	15.23
12/02/10	03/09/09	SELL	LENDER PROCESSING SVCS INC COM	LPS	74.000	1,857.39	2,274.28	416.89
12/02/10	05/15/09	SELL	LENDER PROCESSING SVCS INC COM	LPS	30.000	859.79	922.01	62.22
12/02/10	06/24/09	SELL	LENDER PROCESSING SVCS INC COM	LPS	12.000	321.72	368.80	47.08

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/10	03/09/09	SELL	LIBERTY GLOBAL INC COM SER A	LBTYA	13.000	125.96	462.55	336.59
12/02/10	03/09/09	SELL	O REILLY AUTOMOTIVE INC COM *N/C*	686091109	70.000	2,271.36	4,255.03	1,983.67
12/02/10	11/19/09	SELL	ROYAL GOLD INC	RGLD	11.000	568.61	575.17	6.56
12/02/10	03/09/09	SELL	SPECTRA ENERGY CORP COM	SE	10.000	118.49	245.81	127.32
12/02/10	11/19/09	SELL	YUM BRANDS INC COM	YUM	17.000	602.36	860.20	257.84
Total Long Term						**123,362.63**	**195,327.50**	71,965
Total Short Term and Long Term						**170,368.06**	**250,344.55**	79,977

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes -** If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.
- **Corporate Actions** - For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action.
- **Cost Basis Service Enrollment** - The Schedule of Realized Gains and Losses will only be displayed for closing transactions during the period the account was enrolled in the cost basis service. However, proceeds will be reported on the 1099 for the full year.

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
SCOTT & STRINGFELLOW

Account Number: 2A9-036175

Recipient's Identification
Number: 20-5222620

2010 TAX and YEAR-END STATEMENT

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/12/10	03/09/09	SELL	TEEKAY CORP SHS	TK	530.000	6,675.89	13,861.41	7,185.52
02/01/10	03/09/09	SELL	TEEKAY CORP SHS	TK	380.000	4,786.48	9,542.38	4,755.90
02/01/10	03/09/09	SELL	ABBOTT LABS COM	ABT	68.000	3,161.82	3,614.20	452.38
02/01/10	03/09/09	SELL	ALLSTATE CORP	ALL	550.000	7,759.40	16,518.95	8,759.55
02/01/10	03/09/09	SELL	BP PLC SPONS ADR	BP	180 000	6,523 04	10,216.82	3,693.78
02/01/10	03/09/09	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	100.000	4,163.20	6,714.00	2,550.80
02/01/10	03/09/09	SELL	DOMINION RES INC VA COM	D	225.000	6,223.07	8,417.59	2,194.52
02/01/10	12/22/09	SELL	FAMILY DOLLAR STORES	FDO	335.000	9,375.14	10,345.60	970.46
02/01/10	03/09/09	SELL	FEDERATED INVS INC PA CL B	FII	950.000	16,385.22	24,267.75	7,882.53
02/01/10	03/09/09	SELL	INTEL CORP COM	INTC	385.000	4,969.58	7,558.32	2,588.74
02/01/10	03/09/09	SELL	KINDER MORGAN MGMT LLC SHS	KMR	170.000	5,621.94	9,223.01	3,601.07
02/01/10	04/17/09	SELL	KRAFT FOODS INC CL A	KFT	250.000	5,692.95	6,930.68	1,237.73
02/01/10	06/16/09	SELL	LOCKHEED MARTIN CORP COM	LMT	35.000	2,866.96	2,618.35	(248.61)
02/01/10	07/13/09	SELL	MCDONALDS CORP	MCD	100.000	5,674.80	6,320.10	645.30
02/01/10	03/09/09	SELL	NOKIA CORP SPONSORED ADR	NOK	650.000	5,718.96	9,067.50	3,348.54
02/01/10	03/09/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	115.000	3,984.74	6,196.21	2,211.47
02/01/10	03/09/09	SELL	PAYCHEX INC	PAYX	190.000	3,890.82	5,525.58	1,634.76
02/01/10	03/09/09	SELL	PEARSON PLC SPONSORED ADR	PSO	575.000	4,967.94	8,155.80	3,187.86

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/01/10	03/09/09	SELL	PEPSICO INC	PEP	65.000	3,011.87	3,879.85	867.98
02/01/10	03/09/09	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	450.000	3,549.98	4,576.55	1,026.57
02/01/10	01/15/10	SELL	TEXAS INSTRUMENTS INC	TXN	275.000	6,721.41	6,308.78	(412.63)
02/01/10	09/16/09	SELL	VERIZON COMMUNICATIONS COM	VZ	210.000	6,383.85	6,203.72	(180.13)
02/01/10	03/09/09	SELL	WASTE MGMT INC DEL COM	WM	56.000	1,262.15	1,784.97	522.82
02/12/10	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.644	21.29	0.64	(20.65)
03/17/10	05/21/09	SELL	DOMINION RES INC VA COM	D	95.000	2,908.86	3,834.23	925.37
04/07/10	12/22/09	SELL	FAMILY DOLLAR STORES	FDO	65.000	1,819.06	2,540.76	721.70
05/11/10	12/22/09	SELL	FAMILY DOLLAR STORES	FDO	65.000	1,819.06	2,593.05	773.99
05/11/10	01/05/10	SELL	FAMILY DOLLAR STORES	FDO	20.000	545.72	797.86	252.14
07/08/10	09/16/09	CLEU	FRONTIER COMMUNICATIONS CORP	FTR	0.223	1.75	1.58	(0.17)
07/20/10	09/16/09	SELL	FRONTIER COMMUNICATIONS CORP	FTR	45.384	356.41	339.99	(16.42)
07/20/10	09/22/09	SELL	FRONTIER COMMUNICATIONS CORP	FTR	88.815	674.95	665.34	(9.61)
07/20/10	02/25/10	SELL	FRONTIER COMMUNICATIONS CORP	FTR	4.801	35.57	35.97	0.40
07/29/10	01/25/10	SELL	NOKIA CORP SPONSORED ADR	NOK	45.000	569.25	416.56	(152.69)
08/11/10	01/05/10	SELL	FAMILY DOLLAR STORES	FDO	180.000	4,911.50	7,689.96	2,778.46
09/03/10	04/06/10	SELL	ARCHER DANIELS MIDLAND CO	ADM	40 000	1,132.37	1,273 99	141 62

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/06/10	01/05/10	SELL	FAMILY DOLLAR STORES	FDO	215.000	5,866.51	9,789.53	3,923.02
10/27/10	01/15/10	SELL	TEXAS INSTRUMENTS INC	TXN	120.000	2,932.98	3,527.74	594.76
Total Short Term						**152,966.49**	**221,355.32**	**68,388.83**
Long Term								
02/01/10	10/10/07	SELL	ABBOTT LABS COM	ABT	92.000	5,070.48	4,889.80	(180.68)
02/01/10	10/28/08	SELL	CHEVRON CORP NEW COM	CVX	45.000	3,077.16	3,267.00	189.84
02/01/10	09/11/08	SELL	KIMBERLY CLARK CORP	KMB	85.000	5,438.09	5,032.00	(406 09)
02/01/10	10/14/08	SELL	PFIZER INC COM	PFE	333.000	5,680.01	6,237.24	557.23
02/01/10	11/03/08	SELL	PFIZER INC COM	PFE	42.000	748.88	786.68	37.80
02/01/10	09/11/08	SELL	PHILIP MORRIS INTL INC COM	PM	120.000	6,543.60	5,493.96	(1,049.64)
02/01/10	10/07/08	SELL	WASTE MGMT INC DEL COM	WM	104.000	3,161.56	3,314.95	153.39
03/17/10	03/09/09	SELL	DOMINION RES INC VA COM	D	345.000	9,542.05	13,924.30	4,382.25
05/05/10	03/09/09	SELL	BP PLC SPONS ADR	BP	230 000	8,335.00	11,744.74	3,409.74
05/13/10	03/09/09	SELL	ALLSTATE CORP	ALL	550.000	7,759.40	18,078.23	10,318.83
05/14/10	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.484	15.72	0.00	(15.72)
07/29/10	03/09/09	SELL	NOKIA CORP SPONSORED ADR	NOK	1,180.000	10,382.11	10,923.02	540.91
08/13/10	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.721	23 00	0 00	(23 00)
11/12/10	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.657	20.58	0.00	(20.58)

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/09/10	03/09/09	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	215.000	1,696.10	2,598.81	902.71
12/22/10	09/16/09	SELL	VERIZON COMMUNICATIONS COM	VZ	80.000	2,281.14	2,802.39	521.25
Total Long Term						**69,774.88**	**89,093.12**	**19,318.24**
Total Short Term and Long Term						**222,741.37**	**310,448.44**	**87,707.07**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes -** If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.
- **Corporate Actions** - For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action.
- **Cost Basis Service Enrollment** - The Schedule of Realized Gains and Losses will only be displayed for closing transactions during the period the account was enrolled in the cost basis service However, proceeds will be reported on the 1099 for the full year.
- **Cost Basis Service Reenrollment** - The Schedule of Realized Gains and Losses provides you with the activity after your account was reactivated in the cost basis service. However, proceeds will be reported on the 1099 for the full year.
- **Fixed Income** - Maturities and Redemptions for short-term instruments (maturities of less than one year) are included in the Schedule of Realized Gains and Losses. However, this income is reported to the IRS on Form 1099-INT.

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

Securities Purchased. Securities purchased through your account during 2010 are displayed in this section We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities. The net cost displayed includes commissions and fees. If the security purchased is a debt instrument, the net cost does not include accrued interest purchased. Accrued interest purchased is reported separately in this section of your Tax Information Statement. The cost of securities purchased during 2010 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/11/10	03/09/09	SELL	NOKIA CORP SPONSORED ADR	NOK	1,280.000	11,148 29	17,164.80	6,016.51
01/11/10	07/16/09	SELL	NOKIA CORP SPONSORED ADR	NOK	490.000	6,624.80	6,570.90	(53.90)
01/12/10	03/09/09	SELL	SUPERVALU INC	SVU	40.000	569.09	554.32	(14.77)
01/12/10	03/17/09	SELL	SUPERVALU INC	SVU	650.000	9,892.18	9,007.67	(884.51)
01/12/10	04/06/09	SELL	SUPERVALU INC	SVU	190.000	2,792.98	2,633.01	(159.97)
02/01/10	01/11/10	SELL	ACCENTURE PLC IRELAND CLASS SHS	ACN	245.000	10,417.40	10,091.80	(325.60)
02/01/10	04/24/09	SELL	ACE LIMITED SHS	ACE	370.000	16,366.82	18,130.44	1,763.62
02/01/10	03/09/09	SELL	FLEXTRONICS INTL LTD ORD SHS	FLEX	2,835.000	5,837.82	18,601 00	12,763.18
02/01/10	03/09/09	SELL	AT&T INC COM	T	620.000	13,924.46	15,730.33	1,805.87
02/01/10	03/09/09	SELL	BANK OF AMERICA COM	BAC	1,115.000	4,114.32	17,016.57	12,902.25
02/01/10	03/09/09	SELL	BOEING CO COM	BA	300.000	9,179.04	18,507.45	9,328.41
02/01/10	04/17/09	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	660.000	13,712.48	16,058.59	2,346.11
02/01/10	04/29/09	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	50.000	953.44	1,216.56	263.12
02/01/10	03/09/09	SELL	CAPITAL ONE FINL CORP COM	COF	535.000	4,742.51	19,656.44	14,913 93

TRANSACTIONS WE DO NOT REPORT TO THE IRS
(Continued)

SCHEDULE of REALIZED GAINS and LOSSES
(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/01/10	03/09/09	SELL	CHEVRON CORP NEW COM	CVX	210.000	12,376.10	15,391.15	3,015.05
02/01/10	03/09/09	SELL	CHUBB CORP	CB	415.000	14,789.74	20,501.62	5,711.88
02/01/10	03/09/09	SELL	CONOCOPHILLIPS COM	COP	120.000	4,353.00	5,875.38	1,522.38
02/01/10	06/05/09	SELL	CONOCOPHILLIPS COM	COP	240.000	10,739.95	11,750.76	1,010 81
02/01/10	12/15/09	SELL	EXELON CORP COM	EXC	200.000	10,245.19	9,108.30	(1,136.89)
02/01/10	05/27/09	SELL	GAMESTOP CORP NEW CLASS A	GME	960.000	22,001.75	19,104.00	(2,897.75)
02/01/10	06/23/09	SELL	GAMESTOP CORP NEW CLASS A	GME	55.000	1,181.60	1,094.50	(87.10)
02/01/10	10/30/09	SELL	GENERAL DYNAMICS CORP COM	GD	160.000	10,075.76	11,053.12	977.36
02/01/10	03/09/09	SELL	HCC INS HLDGS INC COM	HCC	515.000	10,889.91	13,920.81	3,030.90
02/01/10	03/09/09	SELL	ITT CORP NEW COM	ITT	375.000	12,355.35	18,244.20	5,888.85
02/01/10	02/09/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	24.000	2,312.22	2,989.20	676 98
02/01/10	03/09/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	72.000	6,199.14	8,967.60	2,768.46
02/01/10	03/09/09	SELL	JOHNSON & JOHNSON COM	JNJ	215.000	10,139.23	13,536.72	3,397.49
02/01/10	03/09/09	SELL	ELI LILLY & CO COM	LLY	525.000	14,635.61	18,349.54	3,713.93
02/01/10	10/30/09	SELL	MCDONALDS CORP	MCD	250.000	14,663.32	15,910.38	1,247.06
02/01/10	03/09/09	SELL	MORGAN STANLEY COM NEW	MS	495.000	8,607.62	13,554.17	4,946.55
02/01/10	03/09/09	SELL	ORACLE CORP COM	ORCL	930.000	13,585.16	21,484.86	7,899.70

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/01/10	10/15/09	SELL	PFIZER INC COM	PFE	678.900	11,989.37	12,736.98	747.61
02/01/10	10/15/09	SELL	PFIZER INC COM	PFE	59 100	1,043.71	1,108.79	65 08
02/01/10	10/20/09	SELL	PFIZER INC COM	PFE	117.000	2,114.19	2,195.06	80.87
02/01/10	03/09/09	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	345.000	14,244.95	19,409.73	5,164.78
02/01/10	04/17/09	SELL	ST JUDE MED INC COM	STJ	360.000	12,980.84	13,655.34	674.50
02/01/10	04/06/09	SELL	SUPERVALU INC	SVU	795.000	11,686.43	11,886.76	200.33
02/01/10	07/21/09	SELL	SYSCO CORP	SYY	650.000	14,937.90	18,383.30	3,445.40
02/01/10	05/06/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	275.000	12,169.39	15,568.08	3,398.69
02/01/10	07/29/09	SELL	V F CORP	VFC	175.000	11,135.89	12,725.13	1,589.24
02/01/10	07/20/09	SELL	WAL MART STORES INC	WMT	340.000	16,466.20	18,146.31	1,680.11
02/17/10	04/06/09	SELL	SUPERVALU INC	SVU	295.000	4,336.47	4,484.73	148.26
02/17/10	05/20/09	SELL	SUPERVALU INC	SVU	115.000	1,864.96	1,748.29	(116.67)
02/26/10	03/09/09	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	130.000	5,367.66	7,017.53	1,649.87
02/26/10	05/20/09	SELL	SUPERVALU INC	SVU	445.000	7,216.60	6,716.69	(499.91)
02/26/10	06/24/09	SELL	SUPERVALU INC	SVU	480.000	6,723.08	7,244.96	521.88
03/01/10	03/09/09	SELL	FLEXTRONICS INTL LTD ORD SHS	FLEX	1,160.000	2,388.67	8,215.37	5,826.70
03/04/10	03/09/09	SELL	BANK OF AMERICA COM	BAC	590.000	2,177.08	9,676.01	7,498.93

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/26/10	07/21/09	SELL	SYSCO CORP	SYY	150.000	3,447.21	4,368.19	920 98
04/07/10	09/16/09	SELL	BOEING CO COM	BA	160.000	8,313.49	11,545.36	3,231.87
04/09/10	10/30/09	SELL	MCDONALDS CORP	MCD	160.000	9,384.53	10,940.88	1,556.35
04/09/10	07/21/09	SELL	SYSCO CORP	SYY	120.000	2,757.76	3,565.45	807.69
04/09/10	09/01/09	SELL	SYSCO CORP	SYY	220.000	5,589.06	6,536.67	947.61
04/13/10	10/30/09	SELL	MCDONALDS CORP	MCD	50.000	2,932.66	3,449.00	516.34
04/13/10	01/21/10	SELL	MCDONALDS CORP	MCD	80.000	5,056.72	5,518.41	461.69
05/05/10	01/21/10	SELL	MCDONALDS CORP	MCD	130.000	8,217.18	9,215.74	998.56
06/08/10	09/01/09	SELL	SYSCO CORP	SYY	380.000	9,653.82	11,129.41	1,475.59
06/08/10	09/22/09	SELL	SYSCO CORP	SYY	220.000	5,636.22	6,443.34	807.12
06/11/10	01/11/10	SELL	ACCENTURE PLC IRELAND CLASS SHS	ACN	345.000	14,669.40	12,948.55	(1,720.85)
06/11/10	01/13/10	SELL	ACCENTURE PLC IRELAND CLASS SHS	ACN	70.000	2,981.48	2,627.24	(354.24)
06/11/10	03/30/10	SELL	ACCENTURE PLC IRELAND CLASS SHS	ACN	220.000	9,269.07	8,257.04	(1,012.03)
07/23/10	10/20/09	SELL	PFIZER INC COM	PFE	740.000	13,371.80	10,818.84	(2,552.96)
08/20/10	10/20/09	SELL	PFIZER INC COM	PFE	200.000	3,614.00	3,200.01	(413.99)
08/30/10	04/26/10	SELL	PARTNERRE LTD BERMUDA FORMERLY	PRE	70.000	5,691.59	5,282.20	(409.39)
08/30/10	03/02/10	SELL	ADVANCE AUTO PTS INC COM	AAP	160.000	6,640.00	8,700.82	2,060.82

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/30/10	12/15/09	SELL	EXELON CORP COM	EXC	160.000	8,196.15	6,489.79	(1,706.36)
08/30/10	10/30/09	SELL	GENERAL DYNAMICS CORP COM	GD	140.000	8,816.29	7,969.01	(847.28)
08/30/10	06/08/10	SELL	GOOGLE INC CL A	GOOG	20.000	9,757.00	9,096.60	(660.40)
08/30/10	04/13/10	SELL	MCGRAW HILL COMPANIES INC	MHP	200.000	7,249.62	5,616.30	(1,633.32)
08/30/10	06/11/10	SELL	MERCK & CO INC NEW COM	MRK	230.000	7,969.30	8,045.68	76.38
08/30/10	04/09/10	SELL	MICROSOFT CORP COM	MSFT	250.000	7,529.46	5,933.00	(1,596.46)
08/30/10	10/20/09	SELL	PFIZER INC COM	PFE	160.000	2,891.20	2,560.00	(331.20)
08/30/10	10/27/09	SELL	V F CORP	VFC	105.000	7,702.12	7,707.63	5.51
08/30/10	04/13/10	SELL	WESTERN DIGITAL CORP DELAWARE	WDC	260.000	10,495.96	6,523.79	(3,972.17)
09/27/10	04/26/10	SELL	PARTNERRE LTD BERMUDA FORMERLY	PRE	60.000	4,878.50	4,774.90	(103.60)
09/27/10	10/20/09	SELL	PFIZER INC COM	PFE	343.000	6,198.01	5,903.05	(294.96)
11/11/10	03/02/10	SELL	ADVANCE AUTO PTS INC COM	AAP	10.000	415.00	684.66	269.66
11/11/10	03/15/10	SELL	ADVANCE AUTO PTS INC COM	AAP	40.000	1,682.42	2,738.66	1,056.24
12/02/10	04/26/10	SELL	PARTNERRE LTD BERMUDA FORMERLY	PRE	5.000	406.54	390.95	(15.59)
12/02/10	03/15/10	SELL	ADVANCE AUTO PTS INC COM	AAP	25.000	1,051.51	1,672.00	620.49
12/02/10	12/15/09	SELL	EXELON CORP COM	EXC	30.000	1,536.78	1,182.00	(354.78)
12/02/10	06/08/10	SELL	GOOGLE INC CL A	GOOG	5.000	2,439.25	2,857.50	418.25

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/02/10	11/30/10	SELL	INTEL CORP COM	INTC	25.000	530.00	542.00	12.00
12/02/10	04/13/10	SELL	MCGRAW HILL COMPANIES INC	MHP	40.000	1,449.93	1,421.60	(28.33)
12/02/10	06/11/10	SELL	MERCK & CO INC NEW COM	MRK	55.000	1,905.70	1,934.90	29.20
12/02/10	04/09/10	SELL	MICROSOFT CORP COM	MSFT	55.000	1,656.48	1,478.40	(178.08)
12/02/10	09/27/10	SELL	RESEARCH IN MOTION LTD COM	RIMM	20.000	966.62	1,255.00	288.38
12/02/10	04/13/10	SELL	WESTERN DIGITAL CORP DELAWARE	WDC	60.000	2,422.15	2,070.00	(352.15)
Total Short Term						**643,641.65**	**776,089.82**	**132,448.17**
Long Term								
02/01/10	01/30/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	24.000	2,228.01	2,989.20	761.19
03/17/10	03/09/09	SELL	BOEING CO COM	BA	250.000	7,649.20	17,204.94	9,555.74
03/29/10	03/09/09	SELL	HCC INS HLDGS INC COM	HCC	7.000	148.02	196.35	48.33
03/30/10	03/09/09	SELL	HCC INS HLDGS INC COM	HCC	233.000	4,926.89	6,482.06	1,555.17
04/07/10	03/09/09	SELL	BOEING CO COM	BA	90.000	2,753.71	6,494.27	3,740.56
04/13/10	03/09/09	SELL	BANK OF AMERICA COM	BAC	1,285.000	4,741.62	23,927.03	19,185.41
04/26/10	03/09/09	SELL	HCC INS HLDGS INC COM	HCC	625.000	13,215.91	16,959.94	3,744.03
05/07/10	03/09/09	SELL	CAPITAL ONE FINL CORP COM	COF	100.000	886.45	4,271.83	3,385.38
08/30/10	04/24/09	SELL	ACE LIMITED SHS	ACE	100.000	4,423.47	5,392.07	968.60
08/30/10	06/23/09	SELL	ACE LIMITED SHS	ACE	110.000	4,712.03	5,931.28	1,219.25

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	03/09/09	SELL	FLEXTRONICS INTL LTD ORD SHS	FLEX	1,340.000	2,759.33	6,795.41	4,036.08
08/30/10	03/09/09	SELL	AT&T INC COM	T	320.000	7,186.82	8,566.88	1,380.06
08/30/10	04/29/09	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	370.000	7,055.47	9,624.14	2,568.67
08/30/10	03/09/09	SELL	CAPITAL ONE FINL CORP COM	COF	15.000	132.97	578.40	445.43
08/30/10	03/17/09	SELL	CAPITAL ONE FINL CORP COM	COF	235.000	2,918.01	9,061.60	6,143.59
08/30/10	03/09/09	SELL	CHEVRON CORP NEW COM	CVX	110.000	6,482.72	8,189.67	1,706.95
08/30/10	03/09/09	SELL	CHUBB CORP	CB	220.000	7,840.34	12,010.24	4,169.90
08/30/10	06/05/09	SELL	CONOCOPHILLIPS COM	COP	190.000	8,502.46	10,102.59	1,600.13
08/30/10	06/23/09	SELL	GAMESTOP CORP NEW CLASS A	GME	515.000	11,064.10	9,214.13	(1,849.97)
08/30/10	08/20/09	SELL	GAMESTOP CORP NEW CLASS A	GME	15.000	359.99	268.37	(91 62)
08/30/10	03/09/09	SELL	ITT CORP NEW COM	ITT	190.000	6,260.05	8,273.36	2,013.31
08/30/10	03/09/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	80.000	6,887.93	9,976.00	3,088.07
08/30/10	03/09/09	SELL	JOHNSON & JOHNSON COM	JNJ	73.000	3,442.62	4,207.08	764.46
08/30/10	04/22/09	SELL	JOHNSON & JOHNSON COM	JNJ	77.000	3,945.42	4,437.60	492.18
08/30/10	03/09/09	SELL	ELI LILLY & CO COM	LLY	270.000	7,526.88	9,215.51	1,688.63
08/30/10	03/09/09	SELL	MORGAN STANLEY COM NEW	MS	320.000	5,564.52	7,917.66	2,353.14
08/30/10	03/09/09	SELL	ORACLE CORP COM	ORCL	480.000	7,011 69	10,686.10	3,674.41

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	03/09/09	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	140.000	5,780.56	7,476.56	1,696.00
08/30/10	04/17/09	SELL	ST JUDE MED INC COM	STJ	190.000	6,851.00	6,655.99	(195.01)
08/30/10	05/06/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	25.000	1,106.31	1,254.75	148.44
08/30/10	05/20/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	175.000	7,919.98	8,783.25	863.27
08/30/10	07/29/09	SELL	V F CORP	VFC	15.000	954.51	1,101.09	146.58
08/30/10	07/20/09	SELL	WAL MART STORES INC	WMT	140.000	6,780.20	7,120.61	340.41
08/30/10	08/21/09	SELL	WAL MART STORES INC	WMT	50.000	2,581.49	2,543.08	(38.41)
09/27/10	06/23/09	SELL	ACE LIMITED SHS	ACE	60.000	2,570.20	3,466.96	896.76
09/27/10	03/09/09	SELL	CHUBB CORP	CB	60.000	2,138.27	3,420.66	1,282.39
10/06/10	03/09/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	20.000	1,721.98	2,748.21	1,026.23
10/22/10	03/09/09	SELL	ORACLE CORP COM	ORCL	80.000	1,168.62	2,310.41	1,141.79
10/27/10	04/29/09	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	60.000	1,144.13	1,612.87	468.74
11/12/10	06/05/09	SELL	CONOCOPHILLIPS COM	COP	20.000	895.00	1,245.69	350 69
11/29/10	03/09/09	SELL	ITT CORP NEW COM	ITT	435.000	14,332.21	19,691.79	5,359.58
12/02/10	06/23/09	SELL	ACE LIMITED SHS	ACE	35.000	1,499.28	2,097.90	598.62
12/02/10	03/09/09	SELL	FLEXTRONICS INTL LTD ORD SHS	FLEX	265.000	545.69	1,976.90	1,431.21
12/02/10	03/09/09	SELL	AT&T INC COM	T	65.000	1,459.82	1,857.70	397 88

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/10	04/29/09	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	65.000	1,239.48	1,680.90	441.42
12/02/10	03/17/09	SELL	CAPITAL ONE FINL CORP COM	COF	45.000	558.77	1,747.80	1,189.03
12/02/10	03/09/09	SELL	CHEVRON CORP NEW COM	CVX	25.000	1,473.34	2,110.75	637.41
12/02/10	03/09/09	SELL	CHUBB CORP	CB	25.000	890.95	1,456.00	565.05
12/02/10	05/22/09	SELL	CHUBB CORP	CB	10.000	388.57	582.40	193.83
12/02/10	06/05/09	SELL	CONOCOPHILLIPS COM	COP	35.000	1,566.24	2,229.85	663.61
12/02/10	08/20/09	SELL	GAMESTOP CORP NEW CLASS A	GME	105.000	2,519.94	2,180.19	(339.75)
12/02/10	10/30/09	SELL	GENERAL DYNAMICS CORP COM	GD	30.000	1,889.21	2,035.80	146.59
12/02/10	03/09/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	15.000	1,291.49	2,181.45	889.96
12/02/10	04/22/09	SELL	JOHNSON & JOHNSON COM	JNJ	30.000	1,537.18	1,880.40	343.22
12/02/10	03/09/09	SELL	ELI LILLY & CO COM	LLY	55.000	1,533.26	1,888.70	355.44
12/02/10	03/09/09	SELL	MORGAN STANLEY COM NEW	MS	70.000	1,217.24	1,805.30	588.06
12/02/10	03/09/09	SELL	ORACLE CORP COM	ORCL	90.000	1,314.69	2,512.80	1,198.11
12/02/10	03/09/09	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	25.000	1,032.24	1,580.00	547.76
12/02/10	04/17/09	SELL	ST JUDE MED INC COM	STJ	35.000	1,262.02	1,401.40	139.38
12/02/10	05/20/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	40.000	1,810.28	1,998.80	188.52
12/02/10	10/27/09	SELL	V F CORP	VFC	20 000	1,467.07	1,707.00	239 93

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/02/10	08/21/09	SELL	WAL MART STORES INC	WMT	35.000	1,807.04	1,915.55	108.51
12/09/10	05/22/09	SELL	CHUBB CORP	CB	60.000	2,331.40	3,520.93	1,189.53
12/20/10	03/09/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	10.000	860.99	1,448.00	587.01
Total Long Term						**228,067.28**	**332,202.15**	**104,134.87**
Total Short Term and Long Term						**871,708.93**	**1,108,291.97**	**236,583.04**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes -** If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.
- **Corporate Actions** - For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action.
- **Cost Basis Service Enrollment** - The Schedule of Realized Gains and Losses will only be displayed for closing transactions during the period the account was enrolled in the cost basis service. However, proceeds will be reported on the 1099 for the full year.
- **Cost Basis Service Reenrollment** - The Schedule of Realized Gains and Losses provides you with the activity after your account was reactivated in the cost basis service. However, proceeds will be reported on the 1099 for the full year.

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

OTHER WITHDRAWALS

(Continued)

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Amount
08/30/10	FEDERAL FUNDS SENT	BRIDGE BANK, NA MOHLER, NIXON, & WILLIAMS			(2,130.00)
09/14/10	FEDERAL FUNDS SENT	BRIDGE BANK, NA MOHLER, NIXON AND WILLIAMS			(1,755.00)
11/04/10	FEDERAL FUNDS SENT	HERITAGE BANK OF COM BECK, ROSS, BISMONTE AND FINLEY LLP			(4,107.50)
11/18/10	FEDERAL FUNDS SENT	JPMORGAN CHASE BANK, ALPHAMETRIX MANAGED FUTURES II			(255,000.00)
11/29/10	FEDERAL FUNDS SENT	BRIDGE BANK, NA MOHLER NIXON WILLIAMS			(4,235.00)
12/07/10	CUSTOMER AUTHORIZED TRANSFER	TRANS TO 2N9-017359			(600,000.00)
12/15/10	CUSTOMER AUTHORIZED TRANSFER	TRANS TO 2N9-009034			(100,000.00)
Total Other Withdrawals					**(997,811.89)**

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/07/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,260.46	31402DP79	267,000.000	2,260.46	2,184.35	(76.11)
01/07/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,205.70	31416BLD8	491,000.000	7,205.70	6,981.05	(224.65)
01/07/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,705.81	31416BM96	241,000.000	2,705.81	2,625.00	(80.81)
01/07/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 7,193.96	31416B2E7	291,000.000	7,193.96	6,984.42	(209.54)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
01/07/10	07/09/09	SELL	ISHARES TR S&P 500 INDEX FD	IVV	900.000	80,446.50	102,034.67	21,588.17
01/07/10	10/09/09	SELL	ISHARES TR S&P 500 INDEX FD	IVV	1,385.000	150,002.04	157,020.02	7,017.98
01/26/10	06/05/09	SELL	ALTRIA GROUP INC COM	MO	1,175.000	20,198.49	23,241.43	3,042.94
01/26/10	12/14/09	SELL	REGENERON PHARMACEUTICALS INC	REGN	1,280.000	25,081.48	33,897.16	8,815.68
01/28/10	11/18/09	SELL	BIOMARIN PHARMACEUTICALS INC	BMRN	1,440.000	25,038.37	27,855.86	2,817.49
01/28/10	06/05/09	SELL	DEERE & CO	DE	435.000	20,328.72	22,006.91	1,678.19
01/28/10	06/05/09	SELL	EMERSON ELEC CO COM	EMR	575.000	20,233.33	23,726.03	3,492.70
01/28/10	07/09/09	SELL	ISHARES TR MSCI EMERGING MKTS INDEX	EEM	950.000	29,993.97	36,415.69	6,421.72
01/28/10	08/25/09	SELL	ISHARES TR MSCI EMERGING MKTS INDEX	EEM	675.000	24,916.60	25,874.31	957.71
01/28/10	07/09/09	SELL	ISHARES TR MSCI EAFE INDEX FD	EFA	450.000	20,170.71	23,735.93	3,565.22
01/28/10	08/12/09	SELL	ISHARES TR DOW JONES U S REAL ESTATE	IYR	625.000	25,041.69	27,107.08	2,065.39
01/28/10	10/29/09	SELL	ISHARES TR DOW JONES U S REAL ESTATE	IYR	725.000	30,271.22	31,444.22	1,173.00
01/28/10	06/05/09	SELL	MICROSOFT CORP COM	MSFT	910.000	20,220.20	26,332.96	6,112.76
02/02/10	06/05/09	SELL	GOLDMAN SACHS GROUP INC DEPOSITARY SHS	GS PRA	1,215.000	20,283.06	25,462.68	5,179.62
02/02/10	09/10/09	SELL	GOLDMAN SACHS GROUP INC DEPOSITARY SHS	GS PRA	1,055.000	20,329.20	22,109.57	1,780.37
02/04/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,689.97	31416B2E7	291,000.000	5,689.97	5,524.24	(165.73)

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
75-5710 MAMALAHOA HWY

Account Number: 219-716933

Recipient's Identification Number. 20-5222620

2010 TAX and YEAR-END STATEMENT
Revised Statement as of 03/14/2011

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/19/10	09/24/09	SELL	DIGITAL RIVER INC COM	DRIV	630.000	25,098.72	16,601.92	(8,496.80)
02/19/10	06/05/09	SELL	HEINZ H J COMPANY	HNZ	545.000	20,190.51	24,826.63	4,636.12
02/19/10	06/05/09	SELL	SANOFI AVENTIS SPONS ADR	SNY	625.000	20,307.31	22,854.59	2,547.28
03/04/10	11/18/09	SELL	ROYAL BANK SCOTLAND GROUP PLC	RBS PR	2,105.000	25,009.36	28,663.94	3,654.58
03/09/10	08/25/09	SELL	APACHE CORP	APA	230.000	20,022.33	24,167.76	4,145.43
03/09/10	06/05/09	SELL	CISCO SYSTEMS INC	CSCO	1,015.000	20,185.20	26,279.49	6,094.29
04/20/10	06/02/09	SELL	CURRENCYSHARES CDN DLR TR CDN DOLLAR	FXC	270.000	25,218.11	26,628.53	1,410.42
04/20/10	06/04/09	SELL	GOLDMAN SACHS GROUP INC FOR FUTURE Original Cost Basis: 101,104.99	38141GEA8	100,000.000	100,953.63	103,500.00	2,546.37
04/20/10	08/12/09	SELL	OMNICARE INC COM	OCR	1,075.000	25,026.54	31,916.31	6,889.77
04/20/10	07/23/09	SELL	SAFEWAY INC COM NEW	SWY	1,080.000	20,103.44	28,238.36	8,134.92
04/20/10	06/05/09	SELL	USB CAP VIII TR PFD SECS 6.35%	USB PR	935.000	20,172.35	21,600.78	1,428.43
05/11/10	06/05/09	SELL	JOHNSON & JOHNSON COM	JNJ	360.000	20,267.06	23,044.42	2,777.36
05/11/10	06/05/09	SELL	NUCOR CORP COM	NUE	425.000	20,328.25	19,337.33	(990.92)
05/11/10	10/09/09	SELL	POWERSHARES DB U S DLR INDEX TR	UDN	3,940.000	111,623.36	98,995.54	(12,627.82)
06/18/10	08/12/09	SELL	KAYNE ANDERSON MLP INVT CO COM	KYN	1,235.000	25,024.71	31,454.32	6,429.61
06/21/10	06/21/10	SELL	BRITISH POUND CURRENCY	GBP999997	140,596.050	208,068.09	207,829 08	(239.01)

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
75-5710 MAMALAHOA HWY

Account Number: 219-716933

Recipient's Identification
Number 20-5222620

2010 TAX and YEAR-END STATEMENT
Revised Statement as of 03/14/2011

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/21/10	08/25/09	SELL	UNITED KINGDOM OF GREAT BRITAIN AND Original Cost Basis. 230,269.98	G92450NU8	125,000.000	230,269.98	205,378.73	(24,891.25)
06/24/10	03/09/10	RDMG	FEDERAL FARM CR BKS CONS SYSTEMWIDE BDS Original Cost Basis 401,200.00	31331JGW7	400,000.000	400,000.00	400,000.00	0.00
07/21/10	10/09/09	SELL	POWERSHARES DB U S DLR INDEX TR	UDN	3,125.000	88,533.75	79,260.51	(9,273.24)
08/12/10	01/12/10	SELL	GOLDMAN SACHS GROUP INDEX LKD NTS LKD TO	GSC	850.000	38,140.83	34,138.06	(4,002.77)
08/12/10	08/12/09	SELL	NOKIA CORP SPONSORED ADR	NOK	1,870.000	25,006.39	16,735.46	(8,270.93)
08/25/10	07/28/10	SELL	CHESAPEAKE MIDSTREAM PARTNERS LP UNIT	CHKM	2,000.000	42,000.00	45,667.73	3,667.73
08/30/10	09/10/09	SELL	EDISON INTERNATIONAL	EIX	750.000	25,292.93	24,903.02	(389.91)
09/24/10	12/14/09	SELL	FLUOR CORP NEW COM	FLR	615.000	25,114.77	29,778.34	4,663.57
09/30/10	06/18/10	RDMG	FEDERAL HOME LN BKS FIXED RATE AV-9015 Original Cost Basis 251,362.50	3133XYVL6	250,000.000	250,000.00	250,000.00	0.00
10/07/10	06/18/10	SELL	CATERPILLAR INC	CAT	380.000	25,261.72	29,603.63	4,341.91
10/07/10	07/21/10	SELL	EBAY INC COM	EBAY	985.000	20,056.18	24,066.28	4,010.10
10/07/10	07/21/10	SELL	POWERSHARES DB MULTI SECTOR COMMODITY TR	DBB	3,670.000	69,389.42	79,718.67	10,329.25
10/22/10	06/18/10	SELL	DOW CHEM CO	DOW	925.000	25,224.75	27,616.34	2,391.59
11/11/10	10/22/10	SELL	MARVELL TECHNOLOGY GROUP LTD SHS	MRVL	1,400.000	25,010.69	27,692.35	2,681.66
11/11/10	09/24/10	SELL	JOHNSON CTLS INC COM	JCI	830.000	25,056.03	30,390.38	5,334.35

TRANSACTIONS WE DO NOT REPORT TO THE IRS

(Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/11/10	09/24/10	SELL	NETLOGIC MICROSYSTEMS INC COM	NETL	940.000	25,035.68	27,837.58	2,801.90
11/11/10	10/07/10	SELL	RANGE RES CORP COM	RRC	670.000	25,017.60	28,667.41	3,649.81
11/12/10	02/02/10	SELL	FEDERAL NATL MTG ASSN Original Cost Basis. 759,726.74	31398AZV7	750,000.000	758,237.28	788,061.75	29,824.47
12/02/10	07/21/10	SELL	SCHLUMBERGER LTD COM ISIN#AN8068571086	SLB	335.000	20,037.09	26,723.90	6,686.81
12/02/10	03/09/10	SELL	SECTOR SPDR TR SHS BEN INT CONSUMER	XLP	1,080.000	29,975.18	30,706.89	731.71
12/10/10	01/12/10	SELL	VANGUARD BD INDEX FD INC SHORT TERM BD	BSV	1,239.000	100,194.54	98,995.67	(1,198.87)
Total Short Term						**3,538,065.26**	**3,674,445.28**	**136,380.02**
Long Term								
01/07/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 10,845.13	31410GW90	478,000.000	10,845.13	10,461.10	(384.03)
01/07/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 5,856.85	31415M2W4	334,000.000	5,856.85	6,039.98	183.13
02/04/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 1,732.09	31402DP79	267,000.000	1,732.09	1,673.77	(58.32)
02/04/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 9,226.36	31410GW90	478,000.000	9,226.36	8,899.65	(326.71)
02/04/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,932.62	31415M2W4	334,000.000	3,932.62	4,055.58	122.96
02/04/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 5,802.31	31416BLD8	491,000.000	5,802.31	5,621.41	(180.90)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
02/04/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 2,739.37	31416BM96	241,000.000	2,739.37	2,657.56	(81.81)
03/04/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 1,663.33	31402DP79	267,000.000	1,663.33	1,607.32	(56.01)
03/04/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 10,770.78	31410GW90	478,000.000	10,770.78	10,389.38	(381.40)
03/04/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,414.38	31415M2W4	334,000.000	5,414.38	5,583.67	169.29
03/04/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 5,806.54	31416BLD8	491,000.000	5,806.54	5,625.51	(181.03)
03/04/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 3,116.60	31416BM96	241,000.000	3,116.60	3,023.53	(93.07)
03/04/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 4,134.36	31416B2E7	291,000.000	4,134.36	4,013.94	(120.42)
03/09/10	03/03/09	SELL	UNITED STATES TREAS NOTES Original Cost Basis: 394,036.77	912828JW1	400,000.000	395,241.94	393,523.66	(1,718.28)
04/07/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 2,242.76	31402DP79	267,000.000	2,242.76	2,167.25	(75.51)
04/07/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 73,916.10	31410GW90	478,000.000	73,916.10	71,298.72	(2,617.38)
04/07/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 4,978.91	31415M2W4	334,000.000	4,978.91	5,134.59	155.68

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75-5710 MAMALAHOA HWY

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2010 TAX and YEAR-END STATEMENT
Revised Statement as of 03/14/2011

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
04/07/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,894.92	31416BLD8	491,000.000	7,894.92	7,648.78	(246.14)
04/07/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,565.44	31416BM96	241,000.000	2,565.44	2,488.83	(76.61)
04/07/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 6,037.30	31416B2E7	291,000.000	6,037.30	5,861.45	(175.85)
04/20/10	03/25/09	SELL	CURRENCYSHARES CDN DLR TR CDN DOLLAR	FXC	365.000	30,112.61	35,997.83	5,885.22
04/20/10	01/08/09	SELL	FEDERAL HOME LN MTG CORP REFERENCE NT Original Cost Basis: 169,185.93	3134A4HF4	154,000.000	162,058.69	163,584.96	1,526.27
05/06/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,033.04	31402DP79	267,000.000	2,033.04	1,964.59	(68.45)
05/06/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 9,296.79	31410GW90	478,000.000	9,296.79	8,967.59	(329.20)
05/06/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,481.31	31415M2W4	334,000.000	3,481.31	3,590.16	108.85
05/06/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,784.36	31416BLD8	491,000.000	7,784.36	7,541.66	(242.70)
05/06/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,823.35	31416BM96	241,000.000	3,823.35	3,709.18	(114.17)
05/06/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,898.91	31416B2E7	291,000.000	5,898.91	5,727.09	(171.82)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
06/04/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 4,535.29	31402DP79	267,000.000	4,535.29	4,382.60	(152.69)
06/04/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,354.14	31410GW90	478,000.000	7,354.14	7,093.72	(260.42)
06/04/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 13,520.82	31415M2W4	334,000.000	13,520.82	13,943.58	422.76
06/04/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 24,454.62	31416BLD8	491,000.000	24,454.62	23,692.20	(762.42)
06/04/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 11,082.40	31416BM96	241,000.000	11,082.40	10,751.47	(330.93)
06/04/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 6,162.94	31416B2E7	291,000.000	6,162.94	5,983.44	(179.50)
06/18/10	06/05/09	SELL	CHINA MOBILE LTD SPON ADR S A	CHL	390.000	20,116.47	19,232.31	(884.16)
07/07/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 1,792.02	31402DP79	267,000.000	1,792.02	1,731.69	(60.33)
07/07/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 6,556.39	31410GW90	478,000.000	6,556.39	6,324.23	(232.16)
07/07/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 6,609.16	31415M2W4	334,000.000	6,609.16	6,815.81	206.65
07/07/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 6,808.71	31416BLD8	491,000.000	6,808.71	6,596.43	(212.28)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/07/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 3,399.03	31416BM96	241,000.000	3,399.03	3,297.52	(101.51)
07/07/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,749.46	31416B2E7	291,000.000	5,749.46	5,581.99	(167.47)
07/08/10	06/05/09	CLEU	FRONTIER COMMUNICATIONS CORP	FTR	0.427	3.26	3.03	(0.23)
07/21/10	03/25/09	SELL	CURRENCYSHARES JAPANESE YEN TR	FXY	290.000	30,056.51	32,674.29	2,617.78
07/21/10	06/02/09	SELL	CURRENCYSHARES JAPANESE YEN TR	FXY	240.000	25,219.30	27,040.80	1,821.50
07/21/10	06/05/09	SELL	MONSANTO CO NEW COM	MON	245.000	20,221.61	13,900.30	(6,321.31)
07/21/10	06/05/09	SELL	VERIZON COMMUNICATIONS COM	VZ	685.000	18,962.26	17,950.32	(1,011.94)
08/05/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 1,971.27	31402DP79	267,000.000	1,971.27	1,904.90	(66.37)
08/05/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,785.75	31410GW90	478,000.000	5,785.75	5,580.87	(204.88)
08/05/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 6,569.33	31415M2W4	334,000.000	6,569.33	6,774.73	205.40
08/05/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 6,503.42	31416BLD8	491,000.000	6,503.42	6,300.66	(202.76)
08/05/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,681.69	31416BM96	241,000.000	3,681.69	3,571.75	(109.94)
08/05/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,222.07	31416B2E7	291,000.000	7,222.07	7,011.71	(210.36)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/30/10	06/05/09	SELL	MCDONALDS CORP	MCD	335.000	20,270.55	24,226.61	3,956.06
08/30/10	06/05/09	SELL	PEPSICO INC	PEP	365.000	20,157.78	23,064.57	2,906.79
09/07/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,486.65	31402DP79	267,000.000	2,486.65	2,402.93	(83.72)
09/07/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,693.99	31410GW90	478,000.000	5,693.99	5,492.36	(201.63)
09/07/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 6,805.40	31415M2W4	334,000.000	6,805.40	7,018.18	212.78
09/07/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,841.07	31416BLD8	491,000.000	7,841.07	7,596.60	(244.47)
09/07/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,039.27	31416BM96	241,000.000	3,039.27	2,948.51	(90.76)
09/07/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,803.84	31416B2E7	291,000.000	7,803.84	7,576.54	(227.30)
09/24/10	06/05/09	SELL	COSTCO WHOLESALE CORP NEW COM	COST	425.000	20,317.43	26,965.39	6,647.96
10/06/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,769.55	31402DP79	267,000.000	2,769.55	2,676.30	(93.25)
10/06/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 4,647.49	31410GW90	478,000.000	4,647.49	4,482.91	(164.58)
10/06/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,222.39	31415M2W4	334,000.000	7,222.39	7,448.22	225.83

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/06/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 8,508.41	31416BLD8	491,000.000	8,508.41	8,243.14	(265.27)
10/06/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,448.69	31416BM96	241,000.000	3,448.69	3,345.71	(102.98)
10/06/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 9,470.58	31416B2E7	291,000.000	9,470.58	9,194.74	(275.84)
10/21/10	03/25/09	SELL	CURRENCYSHARES SWISS FRANC TR SWISS FRANC	FXF	335.000	30,233.16	34,006.81	3,773.65
10/21/10	06/02/09	SELL	CURRENCYSHARES SWISS FRANC TR SWISS FRANC	FXF	265.000	25,180.51	26,900 91	1,720.40
11/04/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,785.67	31402DP79	267,000.000	2,785.67	2,691.88	(93.79)
11/04/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 4,807.50	31410GW90	478,000.000	4,807.50	4,637.26	(170.24)
11/04/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,521.98	31415M2W4	334,000.000	7,521.98	7,757.18	235.20
11/04/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 8,516.98	31416BLD8	491,000.000	8,516.98	8,251.45	(265.53)
11/04/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,183.18	31416BM96	241,000.000	5,183.18	5,028.40	(154.78)
11/04/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 9,008.09	31416B2E7	291,000.000	9,008.09	8,745.72	(262.37)
11/11/10	07/23/09	SELL	SPDR GOLD TR GOLD SHS	GLD	530.000	50,025.49	72,116.52	22,091.03

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
12/06/10	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,631.32	31402DP79	267,000.000	2,631.32	2,542.72	(88.60)
12/06/10	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 4,372.72	31410GW90	478,000.000	4,372.72	4,217.88	(154.84)
12/06/10	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 6,013.41	31415M2W4	334,000.000	6,013.41	6,201.43	188.02
12/06/10	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 8,890.99	31416BLD8	491,000.000	8,890.99	8,613.79	(277.20)
12/06/10	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,545.13	31416BM96	241,000.000	3,545.13	3,439.27	(105.86)
12/06/10	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 8,459.70	31416B2E7	291,000.000	8,459.70	8,213.30	(246.40)
12/10/10	02/11/09	SELL	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 142,071.09	31416B2E7	291,000.000	142,071.09	145,312.54	3,241.45
12/28/10	11/18/09	SELL	TEREX CORP NEW .01 PV	TEX	1,125.000	25,029.54	34,476.09	9,446.55
Total Long Term						**1,507,504.62**	**1,552,834.95**	**45,330.33**
Total Short Term and Long Term						**5,045,569.88**	**5,227,280.23**	**181,710.35**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

[redacted]

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	-1,072.93	21,472 14	14,777 47
Long-Term Gain/Loss	57,828 58	114,967 13	97,370 03
Net Gain/Loss	**56,755.65**	**136,439.27**	**112,147.50**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www credit-suisse com
Portfolio Manager: SCOTT AND STRINGFELLOW, INC		**Portfolio Investment Style:** US EQUITY ALL CAP CORE

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 9.00% of Portfolio									
Cash Balance				0 00	7,022 76				
Money Market									
DREYFUS TREASURY & AGENCY INV									
38,992 590	12/01/10	0000005524	12/31/10	91,510 97	38,992 59	0 00	0 30	0 00%	0 00%
Total Money Market				**$91,510.97**	**$38,992.59**	**$0.00**	**$0.30**		
Total Cash, Money Funds, and FDIC Deposits				**$91,510.97**	**$46,015.35**	**$0.00**	**$0.30**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 91.00% of Portfolio								
Common Stocks								
WEATHERFORD INTL LTD REG			*Security Identifier* WFT					
ISIN#CH0038838394								
Dividend Option Cash								
15 000	03/09/09	10 8680	163.02	22 8000	342 00	178 98		
225 000	08/26/09	20.1700	4,538.25	22 8000	5,130 00	591 75		
360 000	11/23/09	16 4220	5,911.88	22 8000	8,208 00	2,296 12		

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
WEATHERFORD INTL LTD REG *(continued)*								
235 000	07/01/10	12 8900	3,029 10	22 8000	5,358.00	2,328 90		
835.000	**Total**		**$13,642.25**		**$19,038.00**	**$5,395.75**	**$0.00**	
ACTIVISION BLIZZARD INC COM		*Security Identifier* ATVI						
Dividend Option: Cash								
190 000	08/26/09	11 7080	2,224 52	12 4400	2,363 60	139 08	28 50	1 20%
650 000	09/15/09	11.5360	7,498 27	12 4400	8,086.00	587 73	97 50	1.20%
460 000	10/29/09	11 0200	5,069 20	12 4400	5,722 40	653 20	69 00	1 20%
195 000	12/16/09	10 9550	2,136.15	12 4400	2,425 80	289 65	29 25	1.20%
1,495.000	**Total**		**$16,928.14**		**$18,597.80**	**$1,669.66**	**$224.25**	
ADOBE SYS INC COM		*Security Identifier.* ADBE						
Dividend Option Cash								
455 000	06/23/10	30 3400	13,804 84	30 7800	14,004 90	200 06		
85 000	07/01/10	26 4950	2,252.08	30 7800	2,616 30	364 22		
90 000	09/28/10	26 4480	2,380 28	30 7800	2,770 20	389 92		
630.000	**Total**		**$18,437.20**		**$19,391.40**	**$954.20**	**$0.00**	
APACHE CORP		*Security Identifier* APA						
Dividend Option Cash								
90 000	03/09/09	53 0980	4,778.84	119 2300	10,730 70	5,951 86	54 00	0 50%
45 000	08/26/09	85 3500	3,840 75	119 2300	5,365.35	1,524 60	27 00	0 50%
20 000	06/30/10	84 9760	1,699.52	119 2300	2,384 60	685 08	12 00	0 50%
155.000	**Total**		**$10,319.11**		**$18,480.65**	**$8,161.54**	**$93.00**	
BECTON DICKINSON & CO		*Security Identifier:* BDX						
Dividend Option Cash								
70 000	05/06/09	61 4180	4,299.28	84 5200	5,916 40	1,617.12	114 80	1 94%
155 000	08/26/09	69 7760	10,815 28	84 5200	13,100 60	2,285 32	254 20	1 94%
225.000	**Total**		**$15,114.56**		**$19,017.00**	**$3,902.44**	**$369.00**	
CISCO SYSTEMS INC		*Security Identifier* CSCO						
Dividend Option. Cash								
605 000	03/09/09	13 9380	8,432 49	20 2300	12,239.15	3,806 66		
125 000	08/26/09	21 9880	2,748.50	20 2300	2,528.75	-219 75		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CISCO SYSTEMS INC *(continued)*								
50 000	06/30/10	21 6560	1,082 81	20 2300	1,011 50	-71 31		
150 000	11/11/10	20 5650	3,084 81	20.2300	3,034 50	-50.31		
930.000	**Total**		**$15,348.61**		**$18,813.90**	**$3,465.29**	**$0.00**	
COMCAST CORP CL A			*Security Identifier* CMCSA					
Dividend Option: Cash								
610 000	03/09/09	11.2980	6,892 02	21 9700	13,401.70	6,509 68	230 58	1 72%
250 000	08/26/09	15.2680	3,817 00	21.9700	5,492 50	1,675 50	94 50	1 72%
860.000	**Total**		**$10,709.02**		**$18,894.20**	**$8,185.18**	**$325.08**	
DELL INC COM			*Security Identifier* DELL					
Dividend Option: Cash								
1,340 000	03/09/09	8.1480	10,918.85	13 5500	18,157 00	7,238 15		
DOLE FOOD CO INC NEW			*Security Identifier:* DOLE					
Dividend Option Cash								
70 000	12/10/09	11 8950	832.68	13 5100	945 70	113 02		
420 000	02/08/10	10 8950	4,575 69	13 5100	5,674.20	1,098.51		
900.000	05/06/10	11.1720	10,054 62	13 5100	12,159 00	2,104.38		
1,390.000	**Total**		**$15,462.99**		**$18,778.90**	**$3,315.91**	**$0.00**	
EOG RES INC COM			*Security Identifier* EOG					
Dividend Option Cash								
60.000	11/03/10	87 5930	5,255.59	91.4100	5,484.60	229 01	37 20	0 67%
130 000	11/05/10	87 3650	11,357.40	91 4100	11,883.30	525 90	80 60	0 67%
190.000	**Total**		**$16,612.99**		**$17,367.90**	**$754.91**	**$117.80**	
EBAY INC COM			*Security Identifier* EBAY					
Dividend Option. Cash								
610 000	03/09/09	10 4180	6,355.23	27 8300	16,976 30	10,621 07		
ENERGIZER HLDGS INC COM			*Security Identifier* ENR					
Dividend Option. Cash								
85 000	03/09/09	38 6080	3,281 68	72 9000	6,196 50	2,914 82		
80 000	05/14/09	49 5800	3,966 40	72 9000	5,832 00	1,865 60		
80 000	06/08/10	52 7680	4,221 41	72 9000	5,832 00	1,610 59		
245.000	**Total**		**$11,469.49**		**$17,860.50**	**$6,391.01**	**$0.00**	
GILEAD SCIENCES INC			*Security Identifier* GILD					
Dividend Option: Cash								
30 000	08/26/09	46 5100	1,395.30	36 2400	1,087 20	-308 10		
260 000	10/02/09	44 7150	11,625 77	36 2400	9,422.40	-2,203 37		
80.000	12/28/09	42 9960	3,439 66	36 2400	2,899.20	-540 46		
105 000	04/21/10	40 4360	4,245 73	36 2400	3,805.20	-440 53		
475.000	**Total**		**$20,706.46**		**$17,214.00**	**-$3,492.46**	**$0.00**	

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
HALLIBURTON CO COM			*Security Identifier* HAL					
Dividend Option. Cash								
300 000	03/09/09	16 1780	4,853 52	40 8300	12,249 00	7,395 48	108 00	0 88%
155 000	06/08/10	22.7300	3,523.20	40 8300	6,328 65	2,805 45	55 80	0 88%
455.000	**Total**		**$8,376.72**		**$18,577.65**	**$10,200.93**	**$163.80**	
HARRIS CORP DEL			*Security Identifier* HRS					
Dividend Option· Cash								
200 000	03/09/09	30 5780	6,115 68	45 3000	9,060 00	2,944.32	200 00	2.20%
140 000	04/16/09	28 4880	3,988 31	45 3000	6,342 00	2,353 69	140 00	2 20%
50 000	08/26/09	35 4300	1,771 50	45 3000	2,265 00	493 50	50 00	2 20%
390.000	**Total**		**$11,875.49**		**$17,667.00**	**$5,791.51**	**$390.00**	
INTUIT INCORPORATED COM			*Security Identifier.* INTU					
Dividend Option Cash								
330 000	09/10/09	28 0070	9,242.37	49 3000	16,269 00	7,026.63		
ITRON INC COM			*Security Identifier* ITRI					
Dividend Option Cash								
255 000	12/03/10	53 2140	13,569 57	55 4500	14,139 75	570 18		
L 3 COMMUNICATIONS HLDGS INC COM			*Security Identifier* LLL					
Dividend Option: Cash								
220 000	03/09/09	58 3980	12,847 45	70 4900	15,507 80	2,660 35	352 00	2 26%
25.000	07/07/09	64 0900	1,602 25	70 4900	1,762 25	160 00	40 00	2 26%
245.000	**Total**		**$14,449.70**		**$17,270.05**	**$2,820.35**	**$392.00**	
MARKEL CORP COM			*Security Identifier·* MKL					
Dividend Option Cash								
40 000	03/09/09	215 6900	8,627 60	378 1300	15,125.20	6,497 60		
MCKESSON CORP COM			*Security Identifier* MCK					
Dividend Option Cash								
145 000	03/09/09	38 9990	5,654 89	70 3800	10,205.10	4,550 21	104 40	1 02%
35 000	08/26/09	57 0500	1,996 75	70 3800	2,463 30	466 55	25 20	1 02%
180.000	**Total**		**$7,651.64**		**$12,668.40**	**$5,016.76**	**$129.60**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MERCK & CO INC NEW COM			*Security Identifier* MRK					
Dividend Option: Cash								
500 000	12/14/10	36 6870	18,343 40	36 0400	18,020 00	-323 40	760 00	4.21%
NEXTERA ENERGY INC COM			*Security Identifier* NEE					
Dividend Option: Cash								
355 000	02/18/10	45.7290	16,233.72	51 9900	18,456 45	2,222.73	710 00	3.84%
SOUTHWEST AIRLINES CO			*Security Identifier* LUV					
Dividend Option: Cash								
770 000	12/14/10	12 8550	9,898 43	12 9800	9,994.60	96.17	13 86	0 13%
675 000	12/15/10	12 6620	8,547.12	12 9800	8,761 50	214 38	12 15	0.13%
1,445.000	**Total**		**$18,445.55**		**$18,756.10**	**$310.55**	**$26.01**	
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR			*Security Identifier* TEVA					
ISIN#US8816242098								
Dividend Option: Cash								
130 000	03/09/09	43 1890	5,614 57	52 1300	6,776 90	1,162.33	86 73	1 27%
100 000	08/26/09	52.2960	5,229 60	52 1300	5,213 00	-16 60	66 72	1.27%
25 000	05/21/10	53 7290	1,343.23	52 1300	1,303 25	-39.98	16 68	1.27%
55 000	07/23/10	49 0360	2,696.99	52 1300	2,867.15	170.16	36 69	1.27%
50 000	11/09/10	50 3830	2,519 14	52 1300	2,606 50	87 36	33 36	1.27%
360.000	**Total**		**$17,403.53**		**$18,766.80**	**$1,363.27**	**$240.18**	
UNITEDHEALTH GROUP INC COM			*Security Identifier.* UNH					
Dividend Option: Cash								
335 000	03/09/09	17 9000	5,996.47	36.1100	12,096 85	6,100 38	167 50	1 38%
135 000	08/26/09	29 4870	3,980 79	36.1100	4,874 85	894.06	67 50	1 38%
470.000	**Total**		**$9,977.26**		**$16,971.70**	**$6,994.44**	**$235.00**	
YUM BRANDS INC COM			*Security Identifier* YUM					
Dividend Option: Cash								
190 000	03/09/09	23 6590	4,495.25	49 0500	9,319 50	4,824 25	190 00	2 03%
165 000	08/26/09	35 2980	5,824.20	49 0500	8,093.25	2,269 05	165 00	2 03%
355.000	**Total**		**$10,319.45**		**$17,412.75**	**$7,093.30**	**$355.00**	
Total Common Stocks			**$346,540.90**		**$458,688.40**	**$112,147.50**	**$4,530.72**	
Total Equities			**$346,540.90**		**$458,688.40**	**$112,147.50**	**$4,530.72**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$392,556.25**	**$504,703.75**	**$112,147.50**	**$0.00**	**$4,531.02**

[redacted]

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	3,259 80	17,477 50	49,263 23
Long-Term Gain/Loss	3,104 40	53,155 27	36,023 39
Net Gain/Loss	**6,364.20**	**70,632.77**	**85,286.62**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www credit-suisse com

Portfolio Manager: NATIXIS ASSET MANAGEMENT ADVISORS, LP

Portfolio Investment Style: SMALL CAPITALIZATION VALUE

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 6.00% of Portfolio									
Cash Balance				0 00	257 45				
Money Market									
DREYFUS TREASURY & AGENCY INV									
23,329 210	12/01/10	0000005530	12/31/10	12,920 93	23,329 21	0 00	0 05	0 00%	0 00%
Total Money Market				**$12,920.93**	**$23,329.21**	**$0.00**	**$0.05**		
Total Cash, Money Funds, and FDIC Deposits				**$12,920.93**	**$23,586.66**	**$0.00**	**$0.05**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 88.00% of Portfolio								
Common Stocks								
³ASPEN INSURANCE HLDGS LTD SHS			*Security Identifier* AHL					
ISIN#BMG053841059								
Dividend Option Cash								
8 000	12/19/08	23 0450	184 36	28 6200	228 96	44 60	4 80	2 09%
13 000	01/26/09	21 8770	284 40	28 6200	372 06	87 66	7 80	2 09%
10 000	03/11/09	19.8600	198 60	28 6200	286 20	87 60	6 00	2 09%
4 000	04/29/09	21.7980	87 19	28 6200	114 48	27 29	2 40	2 09%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ASPEN INSURANCE HLDGS LTD SHS *(continued)*								
5 000	05/27/09	22 6900	113 45	28 6200	143.10	29 65	3 00	2 09%
34 000	06/17/09	22 7500	773 50	28 6200	973 08	199 58	20 40	2 09%
17 000	01/25/10	27 3580	465 09	28 6200	486 54	21 45	10.20	2 09%
40 000	05/21/10	25 2390	1,009 57	28 6200	1,144 80	135 23	24 00	2 09%
131.000	**Total**		**$3,116.16**		**$3,749.22**	**$633.06**	**$78.60**	
AARONS INC COM PAR $0 50		*Security Identifier* AAN						
Dividend Option Cash								
13 500	09/01/09	17.1660	231 74	20 3900	275 27	43 53	0 70	0 25%
10 500	09/02/09	17 0590	179.12	20 3900	214.09	34 97	0 55	0 25%
37 500	09/25/09	17 4130	653 00	20 3900	764 63	111 63	1 95	0 25%
42 000	12/10/09	18 1730	763 28	20 3900	856 38	93 10	2.18	0 25%
19 500	12/16/09	19 0520	371 51	20.3900	397.61	26 10	1 01	0 25%
39 000	02/04/10	18 9200	737.87	20 3900	795 21	57 34	2 03	0 25%
18 000	02/25/10	19 7260	355 07	20.3900	367.02	11 95	0 94	0 25%
18 000	05/24/10	20.1800	363 24	20.3900	367 01	3.77	0 93	0 25%
198.000	**Total**		**$3,654.83**		**$4,037.22**	**$382.39**	**$10.29**	
3ACTUANT CORP CL A NEW		*Security Identifier* ATU						
Dividend Option. Cash								
27 000	07/25/08	30 7190	829 40	26 6200	718 74	-110 66	1 08	0 15%
19 000	12/04/08	17.5650	333 74	26 6200	505 78	172 04	0 76	0 15%
20 000	01/16/09	17 0570	341.13	26 6200	532 40	191 27	0 80	0 15%
7 000	01/26/09	16.5190	115 63	26 6200	186 34	70 71	0.28	0 15%
12 000	02/12/09	15 3770	184 52	26 6200	319 44	134 92	0 48	0 15%
4 000	03/25/09	9 5900	38 36	26 6200	106 48	68 12	0 16	0 15%
15 000	04/13/09	11 8260	177 39	26.6200	399 30	221 91	0 60	0.15%
19 000	04/29/09	11.9000	226 10	26 6200	505 78	279 68	0 76	0 15%
64 000	06/25/09	12 4780	798 62	26 6200	1,703.68	905 06	2 56	0 15%
15 000	07/09/09	10 4990	157 49	26 6200	399 30	241 81	0 60	0 15%
29 000	07/31/09	12 8600	372 94	26 6200	771 98	399 04	1 16	0.15%
28 000	10/12/09	16 9000	473 19	26 6200	745 36	272 17	1 12	0 15%
39 000	12/28/09	19 3650	755.22	26 6200	1,038 18	282 96	1 56	0 15%
18 000	03/09/10	19 2580	346 65	26 6200	479.16	132 51	0 72	0 15%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ACTUANT CORP CL A NEW *(continued)*								
17 000	10/19/10	22 5790	383 84	26 6200	452.54	68 70	0 68	0.15%
333.000	**Total**		**$5,534.22**		**$8,864.46**	**$3,330.24**	**$13.32**	
ASSOCIATED BANC CORP COM			*Security Identifier* ASBC					
Dividend Option Cash								
94 000	01/11/10	11.5200	1,082 88	15.1500	1,424 10	341 22	3 76	0 26%
82 000	01/12/10	11 9300	978 25	15.1500	1,242 30	264 05	3 28	0 26%
63 000	02/05/10	12 4800	786 21	15.1500	954 45	168 24	2 52	0 26%
51 000	03/25/10	14 6090	745 08	15.1500	772 65	27 57	2 04	0 26%
28 000	04/16/10	14 6410	409 94	15.1500	424 20	14 26	1 12	0 26%
72 000	05/20/10	13 5100	972 72	15.1500	1,090 80	118 08	2 88	0 26%
45 000	06/30/10	12 6980	571 41	15 1500	681 75	110 34	1 80	0 26%
435.000	**Total**		**$5,546.49**		**$6,590.25**	**$1,043.76**	**$17.40**	
BANK HAWAII CORP COM			*Security Identifier* BOH					
Dividend Option Cash								
65 000	03/12/10	44 0940	2,866 10	47.2100	3,068 65	202 55	117 00	3 81%
13 000	07/27/10	50 1370	651 78	47.2100	613 73	-38 05	23 40	3 81%
78.000	**Total**		**$3,517.88**		**$3,682.38**	**$164.50**	**$140.40**	
BRIGHAM EXPL CO COM			*Security Identifier* BEXP					
Dividend Option Cash								
73 000	05/24/10	15.1350	1,104 85	27.2400	1,988 52	883 67		
33 000	05/25/10	14 5500	480.14	27.2400	898 92	418 78		
74 000	06/01/10	16 6990	1,235 73	27.2400	2,015 76	780 03		
15 000	06/07/10	17 4980	262 47	27.2400	408 60	146 13		
22 000	07/20/10	16 0280	352 62	27.2400	599 28	246 66		
28 000	07/27/10	17.1400	479 92	27.2400	762 72	282 80		
68 000	10/06/10	19 9090	1,353 81	27 2400	1,852 32	498 51		
26 000	11/03/10	21.2700	553 02	27.2400	708 24	155 22		
339.000	**Total**		**$5,822.56**		**$9,234.36**	**$3,411.80**	**$0.00**	
CLECO CORP NEW			*Security Identifier* CNL					
Dividend Option Cash								
128.000	05/14/10	27 0800	3,466 23	30.7600	3,937 28	471 05	128 00	3 25%
22 000	05/17/10	27.2290	599 04	30 7600	676 72	77 68	22 00	3 25%
33 000	05/21/10	26 1400	862 61	30 7600	1,015 08	152 47	33.00	3 25%
10 000	07/08/10	27 3680	273 68	30 7600	307 60	33 92	10 00	3 25%
8 000	07/28/10	29 5000	236 00	30 7600	246 08	10 08	8 00	3 25%
25 000	12/10/10	30.2800	757 00	30 7600	769 00	12 00	25 00	3 25%
226.000	**Total**		**$6,194.56**		**$6,951.76**	**$757.20**	**$226.00**	

CREDIT SUISSE
CREDIT SUISSE SECURITIES (USA) LLC
650 California Street
31st Floor
San Francisco CA 94108
(800) 227 4492

Managed Account Statement

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CNO FINL GROUP INC COM			*Security Identifier* CNO					
Dividend Option· Cash								
15 000	10/28/09	5 3090	79 64	6 7800	101 70	22 06		
24 000	11/06/09	5 0680	121 63	6 7800	162 72	41 09		
36 000	11/20/09	5 0680	182 45	6 7800	244 08	61 63		
49 000	12/01/09	5 0990	249 84	6 7800	332 22	82 38		
130 000	12/10/09	4 7690	619 94	6 7800	881 40	261 46		
58 000	12/14/09	4 8490	281 23	6 7800	393 24	112 01		
61 000	12/16/09	5 0600	308 65	6 7800	413 58	104 93		
161 000	12/28/09	5 0690	816 11	6 7800	1,091 58	275 47		
76 000	01/07/10	5 3200	404 30	6 7800	515 28	110 98		
99 000	02/16/10	4 7000	465 29	6.7800	671.22	205 93		
60 000	03/09/10	5 9690	358.13	6 7800	406 80	48 67		
30 000	04/28/10	6 0490	181 46	6 7800	203 40	21 94		
138 000	05/06/10	6 1890	854 09	6 7800	935 64	81 55		
170 000	05/20/10	5.0500	858 50	6 7800	1,152 60	294 10		
1,107.000	**Total**		**$5,781.26**		**$7,505.46**	**$1,724.20**	**$0.00**	
3CACI INTL INC CL A			*Security Identifier* CACI					
Dividend Option Cash								
30 000	10/07/08	47.1890	1,415 67	53 4000	1,602 00	186 33		
9 000	10/09/08	43 6490	392 84	53 4000	480 60	87 76		
17 000	10/27/08	39 8920	678 17	53.4000	907 80	229 63		
3 000	04/29/09	37 2400	111 72	53 4000	160 20	48 48		
9 000	08/21/09	46 3870	417 48	53 4000	480 60	63 12		
1 000	08/25/09	47 1900	47.19	53 4000	53 40	6 21		
9 000	09/03/09	45 3190	407 87	53.4000	480 60	72 73		
1 000	10/26/09	47 8800	47 88	53 4000	53 40	5 52		
16 000	12/28/09	48 9690	783 51	53 4000	854 40	70 89		
10 000	03/30/10	50 3400	503 40	53 4000	534 00	30 60		
14 000	07/08/10	42 4400	594 16	53 4000	747 60	153 44		
10 000	10/19/10	45 2900	452 90	53 4000	534 00	81 10		
129.000	**Total**		**$5,852.79**		**$6,888.60**	**$1,035.81**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CONSOLIDATED GRAPHICS INC COM			*Security Identifier* CGX					
Dividend Option Cash								
14 000	05/26/10	43.2150	605 01	48 4300	678 02	73 01		
21 000	06/07/10	43.3890	911 17	48 4300	1,017 03	105 86		
4 000	06/30/10	43 7600	175 04	48 4300	193 72	18 68		
6 000	07/08/10	39 3870	236 32	48.4300	290 58	54 26		
7 000	07/20/10	38.2840	267 99	48 4300	339 01	71 02		
15 000	08/20/10	33 9100	508 65	48 4300	726 45	217 80		
67.000	**Total**		**$2,704.18**		**$3,244.81**	**$540.63**	**$0.00**	
CORRECTIONS CORP AMER NEW COM NEW			*Security Identifier* CXW					
Dividend Option Cash								
182 000	02/10/10	18 4720	3,361 91	25 0600	4,560 92	1,199 01		
12 000	03/01/10	21 3000	255 60	25 0600	300 72	45 12		
13 000	04/16/10	20.7200	269 36	25.0600	325 78	56 42		
43 000	05/21/10	19 7690	850 08	25 0600	1,077 58	227 50		
16 000	10/19/10	25 9000	414 40	25.0600	400 96	-13 44		
266.000	**Total**		**$5,151.35**		**$6,665.96**	**$1,514.61**	**$0.00**	
EL PASO ELECTRIC CO COM NEW			*Security Identifier* EE					
Dividend Option Cash								
144 000	05/13/10	21.5490	3,103 09	27.5300	3,964 32	861 23		
33 000	05/17/10	21 2230	700 36	27.5300	908 49	208 13		
53 000	05/21/10	20 0110	1,060 59	27.5300	1,459 09	398 50		
22 000	06/07/10	19 1460	421 21	27 5300	605 66	184 45		
17 000	07/13/10	20.2940	345 00	27.5300	468 01	123 01		
33 000	12/10/10	26 9660	889 89	27.5300	908 49	18 60		
302.000	**Total**		**$6,520.14**		**$8,314.06**	**$1,793.92**	**$0.00**	
ENERSYS COM			*Security Identifier* ENS					
Dividend Option Cash								
118 000	11/04/10	27 0670	3,193 96	32.1200	3,790 16	596 20		
14 000	11/30/10	30 1040	421 46	32 1200	449 68	28 22		
6 000	12/02/10	31.4030	188 42	32 1200	192 72	4 30		
138.000	**Total**		**$3,803.84**		**$4,432.56**	**$628.72**	**$0.00**	
FIFTH ST FIN CORP COM			*Security Identifier* FSC					
Dividend Option Cash								
40 000	08/26/09	10 7560	430 23	12.1400	485 60	55 37	51 17	10 53%
35 000	09/17/09	10 9940	384 79	12 1400	424 90	40 11	44 77	10 53%
70 000	09/24/09	10 6800	747 60	12 1400	849 80	102 20	89 54	10 53%
25 000	11/03/09	9 6600	241 50	12 1400	303 50	62 00	31 98	10 53%
61.000	01/21/10	11.6240	709 08	12.1400	740 54	31 46	78 03	10 53%
44 000	01/25/10	11.2000	492 80	12.1400	534 16	41 36	56.28	10 53%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
FIFTH ST FIN CORP COM *(continued)*								
45 000	03/12/10	12 0100	540 45	12 1400	546.30	5 85	57.57	10 53%
320.000	**Total**		**$3,546.45**		**$3,884.80**	**$338.35**	**$409.34**	
3FIRST CASH FIN'L SVCS INC (FORMALLY)			*Security Identifier* FCFS					
FIRST CASH INC EFF 1/14/99								
Dividend Option Cash								
124 000	02/13/08	9 3820	1,163 32	30 9900	3,842 76	2,679 44		
10 000	03/11/09	12 7500	127.50	30 9900	309 90	182 40		
6 000	04/29/09	16 9200	101 52	30 9900	185 94	84 42		
25 000	05/21/10	20 4140	510.35	30 9900	774 75	264 40		
17 000	10/19/10	28 5990	486 18	30.9900	526 83	40 65		
182.000	**Total**		**$2,388.87**		**$5,640.18**	**$3,251.31**	**$0.00**	
FIRSTMERIT CORP COM			*Security Identifier* FMER					
Dividend Option Cash								
26 660	06/22/09	16.5390	440 93	19 7900	527 60	86 67	17 06	3 23%
27 195	06/25/09	16 5700	450 63	19 7900	538 19	87 56	17 40	3 23%
20 145	07/09/09	16.9280	341.00	19.7900	398 66	57 66	12 89	3 23%
82 000	12/07/09	20 6220	1,691 03	19.7900	1,622 78	-68 25	52 48	3 23%
29 000	01/26/10	20 9390	607 22	19 7900	573 91	-33 31	18 56	3 23%
40 000	11/05/10	18 7740	750 94	19.7900	791 60	40 66	25 60	3 23%
62 000	11/30/10	17 3000	1,072 59	19 7900	1,226 99	154 40	39 69	3 23%
287.000	**Total**		**$5,354.34**		**$5,679.73**	**$325.39**	**$183.68**	
GRAFTECH INTL LTD COM			*Security Identifier* GTI					
Dividend Option Cash								
53 000	10/02/09	13.2880	704 28	19 8400	1,051 52	347 24		
27 000	10/12/09	15 0200	405 54	19 8400	535 68	130 14		
13 000	11/02/09	13 5690	176 40	19 8400	257 92	81.52		
21 000	12/21/09	15 5580	326 72	19.8400	416 64	89.92		
89 000	04/01/10	14 2090	1,264 60	19 8400	1,765 76	501.16		
54 000	07/26/10	17 1480	925 97	19 8400	1,071 36	145 39		
32 000	12/10/10	19 9200	637 44	19 8400	634 88	-2 56		
289.000	**Total**		**$4,440.95**		**$5,733.76**	**$1,292.81**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3HCC INS HLDGS INC COM			*Security Identifier* HCC					
Dividend Option Cash								
86 000	08/04/08	23 1060	1,987 10	28 9400	2,488 84	501 74	49 88	2 00%
12 000	09/23/08	26 3600	316 32	28 9400	347 28	30 96	6 96	2 00%
9.000	12/19/08	25.7140	231 43	28 9400	260 46	29 03	5 22	2 00%
12 000	04/29/09	24.3700	292 44	28 9400	347 28	54 84	6 96	2 00%
16 000	05/27/09	24 7900	396 64	28 9400	463 04	66 40	9.28	2 00%
31 000	06/16/09	24.2040	750 31	28 9400	897 14	146 83	17 98	2 00%
17 000	12/14/09	26 9500	458 15	28.9400	491 98	33 83	9 86	2 00%
15 000	12/16/09	26 9500	404 25	28 9400	434 10	29 85	8 70	2 00%
4 000	05/11/10	25 8100	103 24	28 9400	115 76	12 52	2 32	2 00%
36 000	06/24/10	25 3300	911 87	28 9400	1,041 84	129 97	20 88	2 00%
26 000	06/30/10	24 9690	649 19	28 9400	752 44	103 25	15.08	2 00%
22 000	07/19/10	24 7980	545 55	28 9400	636 68	91 13	12 76	2 00%
286.000	**Total**		**$7,046.49**		**$8,276.84**	**$1,230.35**	**$165.88**	
HANOVER INS GROUP INC COM			*Security Identifier* THG					
Dividend Option Cash								
77 000	06/15/09	36 3460	2,798 62	46 7200	3,597 44	798 82	77 00	2 14%
14 000	06/17/09	36 4990	510 99	46.7200	654 08	143 09	14 00	2 14%
13 000	10/26/09	42 5940	553 72	46 7200	607 36	53 64	13 00	2 14%
40 000	02/05/10	40 4820	1,619 26	46 7200	1,868 80	249 54	40 00	2 14%
11 000	04/19/10	43 5500	479 05	46 7200	513 92	34 87	11 00	2 14%
21 000	05/20/10	43 7900	919 59	46 7200	981 12	61 53	21.00	2 14%
14 000	07/19/10	42 9840	601 78	46 7200	654 08	52 30	14 00	2 14%
2 000	07/28/10	44 0200	88 04	46 7200	93 44	5 40	2 00	2 14%
192.000	**Total**		**$7,571.05**		**$8,970.24**	**$1,399.19**	**$192.00**	
HEXCEL CORP NEW COM			*Security Identifier* HXL					
Dividend Option Cash								
48 000	12/28/09	13.2050	633 82	18 0900	868 32	234 50		
74 000	01/13/10	13 5080	999 57	18 0900	1,338 66	339 09		
9 000	03/26/10	14 3980	129 58	18 0900	162 81	33 23		
15 000	07/20/10	16 3100	244 65	18 0900	271 35	26 70		
146.000	**Total**		**$2,007.62**		**$2,641.14**	**$633.52**	**$0.00**	
KBW INC COM			*Security Identifier.* KBW					
Dividend Option Cash								
39 000	08/25/10	21 5640	841 00	27 9200	1,088 88	247 88	7 80	0 71%
29 000	09/02/10	23 1840	672 34	27 9200	809 68	137 34	5 80	0 71%
68.000	**Total**		**$1,513.34**		**$1,898.56**	**$385.22**	**$13.60**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
KRATON PERFORMANCE POLYMERS INCCOM			*Security Identifier* KRA					
Dividend Option. Cash								
30 000	03/08/10	15 6680	470 05	30 9500	928 50	458 45		
24 000	03/25/10	18 3930	441 44	30 9500	742 80	301.36		
48 000	05/06/10	19.5800	939 84	30.9500	1,485.60	545 76		
102.000	**Total**		**$1,851.33**		**$3,156.90**	**$1,305.57**	**$0.00**	
LENNOX INTL INC COM			*Security Identifier* LII					
Dividend Option Cash								
44 000	11/18/10	41 4150	1,822.27	47 2900	2,080 76	258 49	26 40	1 26%
16 000	11/30/10	44 1160	705 85	47 2900	756 64	50 79	9 60	1 26%
60.000	**Total**		**$2,528.12**		**$2,837.40**	**$309.28**	**$36.00**	
3LINCOLN ELEC HLDGS INC COM			*Security Identifier* LECO					
Dividend Option Cash								
6 000	12/11/08	45 6770	274 06	65 2700	391 62	117 56	7 44	1 89%
40 000	03/11/09	28 7200	1,148 80	65 2700	2,610 80	1,462 00	49 60	1 89%
2 000	04/29/09	43 5200	87 04	65 2700	130 54	43 50	2 48	1 89%
12 000	05/27/09	41 5330	498 39	65 2700	783 24	284 85	14 88	1 89%
9 000	07/30/09	43 4180	390 76	65 2700	587 43	196 67	11 16	1 89%
69.000	**Total**		**$2,399.05**		**$4,503.63**	**$2,104.58**	**$85.56**	
LOUISIANA-PAC CORP COM			*Security Identifier* LPX					
Dividend Option Cash								
158 000	05/20/10	7 9900	1,262 42	9 4600	1,494 68	232 26		
95 000	11/17/10	7 8300	743 85	9 4600	898 70	154 85		
52 000	12/02/10	8 7900	457 07	9 4600	491 92	34 85		
305.000	**Total**		**$2,463.34**		**$2,885.30**	**$421.96**	**$0.00**	
MF GLOBAL HLDGS LTD COM			*Security Identifier* MF					
Dividend Option· Cash								
104 000	10/21/09	8 1620	848 89	8 3600	869 44	20 55		
61 000	10/28/09	7 2500	442 25	8 3600	509 96	67 71		
93 000	11/06/09	6 8290	635 13	8 3600	777 48	142 35		
36 000	12/21/09	7 0300	253 08	8 3600	300 96	47 88		
125 000	01/21/10	6 8250	853.08	8 3600	1,045 00	191 92		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MF GLOBAL HLDGS LTD COM *(continued)*								
85 000	11/17/10	7 8200	664 70	8.3600	710 60	45 90		
504.000	**Total**		**$3,697.13**		**$4,213.44**	**$516.31**	**$0.00**	
MYR GROUP INC DEL COM			*Security Identifier* MYRG					
Dividend Option Cash								
42 000	02/10/10	15 1590	636 68	21 0000	882 00	245 32		
46 000	03/29/10	16 4890	758 50	21.0000	966 00	207 50		
10 000	04/12/10	17 3430	173 43	21 0000	210 00	36 57		
29 000	05/20/10	16 5280	479 31	21 0000	609 00	129 69		
36 000	07/29/10	16 5930	597 34	21 0000	756 00	158 66		
23 000	08/19/10	13.3530	307 11	21.0000	483 00	175 89		
186.000	**Total**		**$2,952.37**		**$3,906.00**	**$953.63**	**$0.00**	
MCGRATH RENTCORP			*Security Identifier* MGRC					
Dividend Option Cash								
46 000	11/09/10	27 1440	1,248 63	26.2200	1,206 12	-42 51	41 40	3 43%
11 000	11/30/10	28 1650	309 81	26.2200	288 42	-21 39	9 90	3 43%
57.000	**Total**		**$1,558.44**		**$1,494.54**	**-$63.90**	**$51.30**	
NORTHWESTERN CORP COM NEW			*Security Identifier* NWE					
Dividend Option Cash								
131 000	09/23/10	27 6280	3,619 22	28.8300	3,776 73	157 51	178 16	4 71%
42 000	11/12/10	28 6000	1,201 20	28 8300	1,210 86	9 66	57 12	4 71%
173.000	**Total**		**$4,820.42**		**$4,987.59**	**$167.17**	**$235.28**	
OASIS PETE INC NEW COM			*Security Identifier:* OAS					
Dividend Option Cash								
159.000	06/18/10	15.3370	2,438 53	27 1200	4,312 08	1,873 55		
12 000	06/24/10	14 6100	175 32	27.1200	325 44	150 12		
30 000	07/06/10	14.7500	442 50	27 1200	813 60	371 10		
44 000	08/04/10	18 2400	802 56	27.1200	1,193 28	390 72		
28 000	09/23/10	17.5630	491 77	27.1200	759 36	267 59		
19 000	10/19/10	20 4080	387 75	27.1200	515 28	127 53		
292.000	**Total**		**$4,738.43**		**$7,919.04**	**$3,180.61**	**$0.00**	
OIL STS INTL INC COM			*Security Identifier* OIS					
Dividend Option. Cash								
18 000	05/21/09	23 8590	429 46	64.0900	1,153 62	724 16		
25 000	05/27/09	24 8000	619 99	64 0900	1,602 25	982 26		
39 000	07/30/09	26 0000	1,013 99	64 0900	2,499 51	1,485 52		
12 000	01/26/10	39.3490	472 19	64 0900	769 08	296 89		
13 000	07/27/10	44 7260	581.44	64 0900	833 17	251 73		
36 000	10/15/10	49.5200	1,782 72	64.0900	2,307 24	524 52		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
OIL STS INTL INC COM *(continued)*								
9 000	10/19/10	49 1400	442 26	64 0900	576 81	134 55		
152.000	**Total**		**$5,342.05**		**$9,741.68**	**$4,399.63**	**$0.00**	
PACKAGING CORP AMER COM		*Security Identifier* PKG						
Dividend Option Cash								
61 000	07/10/09	16 0250	977 51	25 8400	1,576 24	598 73	36 60	2 32%
66 000	07/20/09	18 0090	1,188 59	25 8400	1,705 44	516 85	39 60	2 32%
17 000	10/12/09	20 7260	352 34	25 8400	439 28	86 94	10 20	2 32%
27 000	10/16/09	22 0080	594 22	25 8400	697 68	103 46	16 20	2 32%
22 000	11/03/09	18 7070	411 56	25 8400	568 48	156 92	13 20	2 32%
52 000	12/28/09	23 9370	1,244 70	25 8400	1,343 68	98 98	31 20	2 32%
34 000	05/03/10	24 9380	847 89	25.8400	878 56	30 67	20 40	2 32%
12 000	05/10/10	22 6260	271.51	25 8400	310 08	38 57	7 20	2 32%
22 000	06/30/10	22 1460	487 21	25 8400	568 48	81 27	13 20	2 32%
22.000	10/19/10	23 6920	521 22	25 8400	568 48	47 26	13 20	2 32%
335.000	**Total**		**$6,896.75**		**$8,656.40**	**$1,759.65**	**$201.00**	
3PHILLIPS VAN HEUSEN CORP COM		*Security Identifier* PVH						
Dividend Option Cash								
19 000	01/16/09	18 8470	358 09	63 0100	1,197 19	839 10	2 85	0 23%
20 000	03/11/09	15 3800	307 60	63 0100	1,260 20	952 60	3 00	0 23%
6 000	04/29/09	29 3400	176 04	63 0100	378 06	202 02	0 90	0 23%
13 000	03/02/10	44 2400	575 12	63 0100	819 13	244 01	1 95	0 23%
13 000	03/09/10	45 8990	596 69	63 0100	819 13	222 44	1 95	0 23%
10 000	03/30/10	56 9700	569 70	63 0100	630 10	60 40	1 50	0 23%
17 000	07/08/10	46 8040	795 67	63 0100	1,071 17	275 50	2 55	0 23%
5 000	07/12/10	46 9900	234 95	63 0100	315 05	80 10	0 75	0 23%
8 000	07/16/10	45 1640	361 31	63 0100	504 08	142 77	1 20	0 23%
14 000	07/19/10	45 2080	632 91	63 0100	882 14	249 23	2 10	0 23%
125.000	**Total**		**$4,608.08**		**$7,876.25**	**$3,268.17**	**$18.75**	
3PROSPERITY BANCSHARES INC COM		*Security Identifier* PRSP						
Dividend Option Cash								
49 000	01/26/09	24 4650	1,198 80	39 2800	1,924 72	725 92	34 30	1 78%
1 000	02/23/09	24 8200	24 82	39 2800	39 28	14 46	0 70	1 78%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
PROSPERITY BANCSHARES INC COM *(continued)*								
20 000	03/11/09	22 9000	458 00	39.2800	785 60	327 60	14 00	1 78%
2 000	03/24/09	27 3650	54 73	39.2800	78 56	23 83	1 40	1 78%
20 000	05/06/09	29 7310	594 61	39.2800	785 60	190 99	14 00	1 78%
21 000	06/28/10	34 8400	731 63	39.2800	824 88	93 25	14 70	1 78%
18 000	06/30/10	35 0460	630 82	39.2800	707 04	76 22	12 60	1 78%
15 000	07/28/10	34 0210	510 32	39.2800	589 20	78 88	10 50	1 78%
31.000	11/30/10	32 4790	1,006 85	39.2800	1,217 68	210 83	21 70	1 78%
177.000	**Total**		**$5,210.58**		**$6,952.56**	**$1,741.98**	**$123.90**	
QLOGIC CORP			*Security Identifier.* QLGC					
Dividend Option Cash								
14 000	08/18/09	14 0090	196 13	17 0200	238 28	42 15		
67 000	09/01/09	15.5600	1,042 50	17 0200	1,140 34	97 84		
24 000	09/14/09	17 3100	415 43	17.0200	408 48	-6 95		
9 000	10/26/09	18 5700	167 13	17.0200	153 18	-13 95		
33 000	05/03/10	19 9280	657 63	17 0200	561.66	-95 97		
17 000	05/04/10	19.3690	329 28	17.0200	289 34	-39 94		
31 000	05/05/10	19 7500	612 25	17 0200	527 62	-84 63		
42 000	05/21/10	17 7900	747 18	17 0200	714 84	-32 34		
27 000	09/03/10	15 9500	430 64	17 0200	459 54	28 90		
30 000	10/19/10	17 0700	512 10	17 0200	510 60	-1 50		
294.000	**Total**		**$5,110.27**		**$5,003.88**	**-$106.39**	**$0.00**	
RESOLUTE ENERGY CORP COM			*Security Identifier* REN					
Dividend Option Cash								
235 000	03/12/10	12.2590	2,880 90	14 7600	3,468 60	587 70		
27 000	04/01/10	12.2800	331 56	14 7600	398 52	66 96		
17 000	10/06/10	11.5200	195 84	14 7600	250 92	55 08		
279.000	**Total**		**$3,408.30**		**$4,118.04**	**$709.74**	**$0.00**	
3SRA INTL INC CL A COM			*Security Identifier* SRX					
Dividend Option Cash								
2 000	02/18/09	13 7950	27 59	20 4500	40 90	13 31		
10 000	03/11/09	12.5000	125 00	20 4500	204.50	79 50		
16 000	04/29/09	15 3580	245 73	20.4500	327 20	81 47		
113 000	12/28/09	19.5260	2,206 49	20 4500	2,310 85	104 36		
33 000	01/22/10	17 9750	593 16	20 4500	674 85	81 69		
32 000	02/05/10	16 9100	541 11	20 4500	654 40	113 29		
25 000	11/17/10	19 9780	499 46	20.4500	511 25	11 79		
51 000	12/10/10	20.2500	1,032 75	20 4500	1,042 95	10 20		
282.000	**Total**		**$5,271.29**		**$5,766.90**	**$495.61**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3THE SCOTTS MIRACLE GRO CO HLDG CO		*Security Identifier* SMG						
Dividend Option Cash								
65 000	08/05/08	23 3110	1,515 24	50 7700	3,300 05	1,784 81	65 00	1 96%
31 000	08/12/08	25 0160	775 51	50 7700	1,573 87	798 36	31 00	1 96%
13 000	09/23/08	24 7700	322 01	50 7700	660 01	338 00	13 00	1 96%
10 000	03/11/09	28 9100	289 10	50 7700	507 70	218 60	10 00	1 96%
12 000	08/14/09	39 3680	472 42	50 7700	609 24	136 82	12 00	1 96%
15 000	05/20/10	42 6480	639 72	50 7700	761.55	121.83	15 00	1 96%
10 000	12/01/10	50 9220	509 22	50 7700	507.70	-1.52	10 00	1 96%
22 000	12/14/10	51 3850	1,130 46	50 7700	1,116 94	-13 52	22 00	1 96%
7 000	12/16/10	51 2630	358 84	50 7700	355 39	-3 45	7 00	1 96%
185.000	**Total**		**$6,012.52**		**$9,392.45**	**$3,379.93**	**$185.00**	
SENSIENT TECHNOLOGIES CORP		*Security Identifier* SXT						
COM								
Dividend Option Cash								
1 000	08/14/09	25 4500	25 45	36 7300	36 73	11 28	0 80	2 17%
10 000	08/18/09	25 5000	255 00	36 7300	367.30	112 30	8 00	2 17%
11 000	09/02/09	26 3750	290 13	36 7300	404 03	113 90	8 80	2 17%
56.000	09/22/09	27 9690	1,566 26	36 7300	2,056 88	490 62	44 80	2 17%
19 000	10/20/09	27 2930	518 57	36.7300	697 87	179 30	15 20	2 17%
40 000	11/02/09	25 2850	1,011 39	36 7300	1,469 20	457 81	32 00	2 17%
22 000	01/25/10	27.0800	595 75	36 7300	808 06	212 31	17 60	2 17%
28 000	05/18/10	28 9900	811.72	36 7300	1,028 44	216 72	22 40	2 17%
18 000	07/20/10	26.4920	476 86	36.7300	661 14	184 28	14 40	2 17%
205.000	**Total**		**$5,551.13**		**$7,529.65**	**$1,978.52**	**$164.00**	
SILICON LABORATORIES INC COM		*Security Identifier* SLAB						
Dividend Option Cash								
11 000	04/19/10	50 2290	552 52	46 0200	506 22	-46 30		
28 000	05/10/10	46 4890	1,301 68	46 0200	1,288 56	-13.12		
8.000	09/03/10	40.1150	320.92	46 0200	368.16	47.24		
8 000	09/08/10	36 0200	288 16	46 0200	368 16	80 00		
9 000	09/21/10	36 8270	331 44	46 0200	414.18	82 74		
7 000	09/23/10	35 8290	250.80	46 0200	322.14	71 34		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SILICON LABORATORIES INC COM *(continued)*								
18 000	10/19/10	37.7590	679 66	46 0200	828 36	148 70		
89.000	**Total**		**$3,725.18**		**$4,095.78**	**$370.60**	**$0.00**	
SILGAN HLDGS INC COM			*Security Identifier* SLGN					
Dividend Option Cash								
85 000	02/05/10	26 9950	2,294 61	35.8100	3,043 85	749 24	35 70	1 17%
62 000	04/01/10	30 9350	1,917 97	35.8100	2,220 22	302 25	26 04	1 17%
12 000	04/06/10	31 6370	379 64	35 8100	429 72	50 08	5 04	1 17%
28 000	04/12/10	31 5900	884 52	35 8100	1,002 68	118 16	11 76	1 17%
26 000	04/21/10	32 0610	833 58	35 8100	931 06	97 48	10 92	1 17%
12 000	05/07/10	27 9990	335 99	35 8100	429 72	93 73	5 04	1 17%
14 000	05/12/10	28 9490	405 29	35 8100	501 34	96 05	5 88	1 17%
17 000	05/21/10	28.2240	479 81	35 8100	608 77	128 96	7 14	1 17%
256.000	**Total**		**$7,531.41**		**$9,167.36**	**$1,635.95**	**$107.52**	
SKYWORKS SOLUTIONS INC COM			*Security Identifier* SWKS					
Dividend Option Cash								
68 000	01/25/10	13 9890	951 24	28.6300	1,946 84	995 60		
66 000	02/05/10	13 4300	886 38	28 6300	1,889 58	1,003 20		
19 000	10/19/10	21 4100	406 79	28 6300	543 97	137 18		
153.000	**Total**		**$2,244.41**		**$4,380.39**	**$2,135.98**	**$0.00**	
SMITH A O CORP COMMON			*Security Identifier* AOS					
Dividend Option Cash								
20 500	04/01/09	16 6190	340 68	38.0800	780 64	439 96	11.48	1 47%
13 500	04/13/09	18 7430	253 03	38 0800	514 08	261 05	7 56	1 47%
31 500	06/24/10	31.9000	1,004 85	38 0800	1,199 52	194 67	17.64	1 47%
13 500	06/30/10	32 8090	442 92	38 0800	514 08	71 16	7.56	1 47%
8 000	12/02/10	40 0350	320 28	38 0800	304 64	-15 64	4.48	1.47%
87.000	**Total**		**$2,361.76**		**$3,312.96**	**$951.20**	**$48.72**	
³TELEFLEX INC			*Security Identifier* TFX					
Dividend Option Cash								
24 000	06/10/08	58 4610	1,403 07	53.8100	1,291 44	-111 63	32 64	2 52%
2 000	09/23/08	65 7750	131 55	53 8100	107 62	-23 93	2 72	2 52%
6 000	12/05/08	46 1150	276 69	53 8100	322 86	46 17	8 16	2 52%
6 000	12/09/08	46.2330	277 40	53 8100	322 86	45 46	8 16	2 52%
3 000	01/16/09	50 9870	152 96	53 8100	161.43	8 47	4 08	2 52%
3 000	01/26/09	51 0700	153 21	53 8100	161 43	8 22	4 08	2 52%
10 000	03/11/09	44 3700	443 70	53.8100	538 10	94 40	13 60	2 52%
11 000	03/25/09	40.1390	441 53	53 8100	591.91	150 38	14 96	2 52%
7 000	04/01/09	38 6560	270 59	53 8100	376 67	106 08	9 52	2 52%
2 000	04/29/09	43 7200	87 44	53 8100	107 62	20 18	2 72	2 52%

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TELEFLEX INC *(continued)*								
5 000	05/19/09	44 6200	223 10	53 8100	269 05	45 95	6 80	2 52%
5 000	05/27/09	44.1640	220 82	53 8100	269 05	48 23	6 80	2.52%
19 000	07/31/09	48 1550	914 95	53 8100	1,022 39	107 44	25 84	2 52%
11 000	07/27/10	58.2400	640 64	53 8100	591 91	-48 73	14 96	2 52%
1 000	11/30/10	50 0400	50 04	53 8100	53 81	3 77	1 36	2 52%
115.000	**Total**		**$5,687.69**		**$6,188.15**	**$500.46**	**$156.40**	
TENNECO INC COM		*Security Identifier* TEN						
Dividend Option Cash								
93 000	04/29/10	26 8840	2,500 17	41 1600	3,827 88	1,327 71		
15 000	05/12/10	24 8030	372 05	41 1600	617.40	245 35		
16 000	05/17/10	22 0660	353 06	41.1600	658 56	305 50		
37 000	05/20/10	20 0100	740 37	41 1600	1,522 92	782 55		
161.000	**Total**		**$3,965.65**		**$6,626.76**	**$2,661.11**	**$0.00**	
THOMPSON CREEK METALS CO INC COM		*Security Identifier* TC						
ISIN#CA8847681027								
Dividend Option· Cash								
27 000	10/28/09	10 2180	275 88	14 7200	397 44	121 56		
34 000	11/09/09	12 1100	411 74	14 7200	500 48	88 74		
13 000	12/14/09	11 3000	146 90	14 7200	191 36	44 46		
76 000	04/01/10	14 0650	1,068 97	14 7200	1,118 72	49 75		
9 000	05/07/10	10 7890	97 10	14 7200	132.48	35 38		
55 000	05/20/10	9 0700	498 85	14 7200	809 60	310 75		
58 000	05/25/10	8 8190	511 50	14 7200	853 76	342 26		
28 000	05/26/10	9 5190	266 54	14 7200	412 16	145 62		
111.000	07/26/10	9 4600	1,050 06	14 7200	1,633 92	583 86		
36 000	08/20/10	8 7780	316 01	14 7200	529 92	213 91		
447.000	**Total**		**$4,643.55**		**$6,579.84**	**$1,936.29**	**$0.00**	
TOWER GROUP INC COM		*Security Identifier* TWGP						
Dividend Option. Cash								
175 000	04/15/10	22 4500	3,928 72	25 5800	4,476 50	547 78	87 50	1 95%
17 000	06/30/10	21 4290	364 29	25 5800	434 86	70 57	8 50	1 95%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TOWER GROUP INC COM *(continued)*								
24 000	07/28/10	21 7690	522 46	25 5800	613 92	91 46	12 00	1 95%
216.000	**Total**		**$4,815.47**		**$5,525.28**	**$709.81**	**$108.00**	
TOWERS WATSON & CO CL A			*Security Identifier* TW					
Dividend Option Cash								
20 000	06/27/08	53.8560	1,077 12	52 0600	1,041 20	-35 92	6 00	0 57%
36 000	07/08/08	51.3950	1,850 23	52 0600	1,874 16	23 93	10 80	0 57%
45 000	08/14/08	58 4060	2,628 27	52 0600	2,342 70	-285 57	13.50	0 57%
5 000	09/23/08	51 7080	258 54	52 0600	260 30	1 76	1 50	0 57%
6 000	10/07/08	43 8630	263 18	52.0600	312 36	49 18	1 80	0 57%
17 000	10/21/08	38 1960	649 33	52.0600	885 02	235 69	5 10	0 57%
1 000	02/23/09	48 1700	48 17	52 0600	52 06	3 89	0.30	0 57%
8 000	04/29/09	53 6660	429 33	52.0600	416 48	-12 85	2 40	0 57%
6 000	07/09/09	35 7200	214 32	52 0600	312 36	98 04	1 80	0 57%
13 000	06/30/10	39.1480	508 93	52 0600	676 78	167 85	3 90	0 57%
13 000	12/10/10	51 7000	672 10	52 0600	676 78	4 68	3 90	0 57%
170.000	**Total**		**$8,599.52**		**$8,850.20**	**$250.68**	**$51.00**	
TRIQUINT SEMICONDUCTOR INC			*Security Identifier* TQNT					
Dividend Option Cash								
37 000	01/20/10	6 1000	225 70	11.6900	432 53	206 83		
14 000	01/21/10	6 1590	86 22	11.6900	163 66	77 44		
114 000	02/05/10	6 1100	696 54	11.6900	1,332 66	636 12		
34 000	04/09/10	7 3800	250 91	11 6900	397 46	146 55		
74 000	05/20/10	6 4550	477 67	11 6900	865 06	387 39		
273.000	**Total**		**$1,737.04**		**$3,191.37**	**$1,454.33**	**$0.00**	
3TYLER TECHNOLOGIES INC COM			*Security Identifier.* TYL					
Dividend Option Cash								
31 000	07/09/08	14 5190	450 08	20 7600	643 56	193 48		
7 000	09/23/08	15 5610	108 93	20 7600	145 32	36 39		
47 000	10/27/08	11 6770	548 81	20 7600	975.72	426 91		
2 000	04/29/09	17 1200	34 24	20 7600	41 52	7 28		
17 000	05/05/10	17.2330	292 96	20.7600	352 92	59 96		
49 000	08/04/10	17.2200	843 79	20 7600	1,017 24	173 45		
153.000	**Total**		**$2,278.81**		**$3,176.28**	**$897.47**	**$0.00**	
UNIT CORP INC COM			*Security Identifier.* UNT					
Dividend Option Cash								
53 000	08/11/09	37 1950	1,971 31	46 4800	2,463 44	492.13		
59 000	03/08/10	45 7120	2,696 98	46 4800	2,742 32	45 34		
10 000	03/30/10	41 9500	419 50	46.4800	464 80	45 30		
11 000	04/01/10	43.2900	476 19	46 4800	511 28	35 09		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
UNIT CORP INC COM *(continued)*								
9 000	04/06/10	44 5470	400 92	46 4800	418 32	17 40		
3 000	04/12/10	44 8000	134 40	46 4800	139 44	5 04		
8 000	05/07/10	40 6700	325 36	46 4800	371 84	46 48		
13 000	05/13/10	43 0580	559 75	46 4800	604 24	44 49		
14 000	07/27/10	41.4440	580 22	46 4800	650 72	70 50		
23 000	12/02/10	42 6460	980 86	46 4800	1,069 04	88 18		
203.000	**Total**		**$8,545.49**		**$9,435.44**	**$889.95**	**$0.00**	
VALASSIS COMMUNICATIONS INC			*Security Identifier* VCI					
Dividend Option. Cash								
33 000	08/12/10	31.7500	1,047 75	32 3500	1,067 55	19 80		
36 000	08/19/10	31 3500	1,128 60	32 3500	1,164 60	36 00		
14 000	08/24/10	30 7840	430 98	32 3500	452 90	21 92		
37 000	10/01/10	33 9210	1,255 07	32 3500	1,196 95	-58 12		
11 000	10/06/10	34 5950	380 55	32 3500	355 85	-24 70		
14 000	10/19/10	36 2340	507 28	32 3500	452 90	-54 38		
8 000	10/29/10	32 8080	262 46	32.3500	258 80	-3 66		
22 000	11/17/10	31 3730	690 21	32 3500	711 70	21.49		
3 000	11/30/10	32 3670	97 10	32 3500	97 05	-0 05		
178.000	**Total**		**$5,800.00**		**$5,758.30**	**-$41.70**	**$0.00**	
VALMONT INDUSTRIES INC			*Security Identifier* VMI					
Dividend Option Cash								
4 000	08/07/09	79 7280	318 91	88 7300	354 92	36 01	2 64	0 74%
16 000	10/27/09	75 9780	1,215 64	88 7300	1,419 68	204 04	10 56	0 74%
6 000	11/02/09	71.9200	431 52	88 7300	532 38	100 86	3 96	0 74%
11 000	02/10/10	68 3310	751 64	88 7300	976 03	224 39	7 26	0 74%
2 000	04/12/10	86 6050	173 21	88 7300	177.46	4 25	1 32	0 74%
11.000	04/20/10	84.2100	926.31	88 7300	976 03	49 72	7 26	0 74%
5 000	04/30/10	85.1200	425 60	88 7300	443 65	18 05	3 30	0 74%
4 000	05/04/10	83 1650	332 66	88 7300	354 92	22 26	2 64	0 74%
5 000	05/06/10	79 6620	398 31	88 7300	443.65	45 34	3 30	0 74%
3 000	05/07/10	75 2700	225 81	88 7300	266 19	40 38	1 98	0.74%
8 000	05/21/10	75 9000	607 20	88 7300	709 84	102 64	5 28	0 74%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
VALMONT INDUSTRIES INC *(continued)*								
3 000	07/20/10	71 8630	215 59	88 7300	266 19	50 60	1 98	0 74%
10 000	10/19/10	76 5600	765 60	88.7300	887 30	121.70	6 60	0 74%
3 000	11/04/10	80.8100	242 43	88 7300	266 19	23 76	1.98	0 74%
91.000	**Total**		**$7,030.43**		**$8,074.43**	**$1,044.00**	**$60.06**	
WADDELL & REED FINL INC CL A			*Security Identifier* WDR					
Dividend Option Cash								
65 000	09/27/10	27 7330	1,802 62	35.2900	2,293 85	491 23	52 00	2 26%
35 000	10/01/10	27 6210	966 72	35 2900	1,235 15	268 43	28 00	2 26%
30 000	10/19/10	27 9430	838 30	35 2900	1,058 70	220 40	24 00	2 26%
18 000	11/04/10	31 0010	558 02	35 2900	635 22	77 20	14 40	2 26%
14 000	11/17/10	30 3960	425 54	35.2900	494 06	68 52	11 20	2 26%
4 000	12/01/10	31 9130	127 65	35.2900	141 16	13 51	3.20	2 26%
166.000	**Total**		**$4,718.85**		**$5,858.14**	**$1,139.29**	**$132.80**	
WASHINGTON FED INC COM			*Security Identifier* WFSL					
Dividend Option Cash								
55 000	05/13/09	12 6260	694 41	16 9200	930 60	236 19	13.20	1 41%
70 000	05/28/09	12 7370	891 60	16 9200	1,184 40	292 80	16 80	1 41%
60 000	06/22/09	12.9800	778 78	16 9200	1,015 20	236 42	14 40	1 41%
39 000	07/31/09	14 0380	547 48	16 9200	659 88	112 40	9.36	1 41%
14 000	09/21/09	16 7690	234 77	16 9200	236 88	2 11	3 36	1.41%
58 000	10/29/09	17 7020	1,026 71	16.9200	981 36	-45 35	13 92	1 41%
17 000	05/04/10	19.6190	333 52	16 9200	287 64	-45 88	4.08	1 41%
5 000	05/10/10	18 8080	94 04	16 9200	84 60	-9 44	1 20	1 41%
44 000	05/20/10	18 0600	794 64	16 9200	744 48	-50 16	10 56	1 41%
57 000	11/30/10	14 7080	838 38	16 9200	964 44	126 06	13 68	1 41%
419.000	**Total**		**$6,234.33**		**$7,089.48**	**$855.15**	**$100.56**	
WASTE CONNECTIONS INC COM			*Security Identifier.* WCN					
Dividend Option Cash								
68 500	05/06/09	16 5930	1,136 61	27.5300	1,885 80	749 19	13.70	0 72%
19 500	06/15/09	17.2650	336 66	27 5300	536 83	200 17	3 90	0 72%
27 000	08/20/09	18 3370	495 11	27 5300	743 31	248 20	5 40	0 72%
27 000	05/17/10	23 7270	640 62	27.5300	743 31	102 69	5 40	0 72%
21 000	05/21/10	22.5330	473 20	27 5300	578 13	104 93	4 20	0 72%
15 000	07/28/10	25.3870	380 80	27.5300	412 96	32 16	3 00	0 72%
178.000	**Total**		**$3,463.00**		**$4,900.34**	**$1,437.34**	**$35.60**	
WESCO INTL INC COM			*Security Identifier:* WCC					
Dividend Option Cash								
18 000	01/14/10	30 0380	540 69	52 8000	950 40	409 71		
59 000	02/04/10	28.1770	1,662 46	52.8000	3,115 20	1,452.74		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
WESCO INTL INC COM *(continued)*								
11 000	02/10/10	27.6100	303 71	52 8000	580 80	277 09		
4 000	02/22/10	29 5200	118 08	52 8000	211.20	93.12		
8 000	03/09/10	33.9100	271 28	52 8000	422.40	151 12		
69 000	03/11/10	34 1870	2,358 90	52 8000	3,643 20	1,284 30		
12 000	04/14/10	38 0300	456 36	52 8000	633 60	177 24		
7 000	04/16/10	38.2500	267.75	52 8000	369 60	101.85		
15.000	05/21/10	35 7590	536 38	52 8000	792 00	255 62		
14 000	12/10/10	50 5600	707 84	52 8000	739 20	31 36		
217.000	**Total**		**$7,223.45**		**$11,457.60**	**$4,234.15**	**$0.00**	
WEST PHARMACEUTICAL SVCS INC COM			*Security Identifier* WST					
Dividend Option Cash								
40 000	03/11/09	29 9880	1,199 50	41 2000	1,648 00	448 50	27 20	1 65%
17 000	03/16/09	30.3500	515 95	41 2000	700 40	184 45	11 56	1 65%
6 000	07/09/09	32 8670	197 20	41.2000	247 20	50 00	4 08	1 65%
24 000	11/03/10	38 0680	913 62	41 2000	988 80	75.18	16 32	1 65%
87.000	**Total**		**$2,826.27**		**$3,584.40**	**$758.13**	**$59.16**	
³WILEY JOHN & SONS INC CLASS A			*Security Identifier* JW A					
Dividend Option Cash								
51 000	11/28/08	33.9580	1,731.86	45 2400	2,307 24	575 38	32 64	1.41%
7 000	01/26/09	36 4070	254 85	45 2400	316 68	61.83	4 48	1 41%
10 000	03/11/09	28 1700	281 70	45 2400	452 40	170 70	6 40	1 41%
2 000	04/29/09	34 6500	69.30	45 2400	90 48	21 18	1 28	1 41%
11 000	06/25/09	34 1380	375 52	45 2400	497 64	122.12	7 04	1 41%
6 000	07/10/09	31.8370	191 02	45 2400	271 44	80 42	3 84	1 41%
26 000	12/07/09	38 5000	1,001 00	45 2400	1,176 24	175 24	16 64	1 41%
18 000	05/24/10	39 7820	716 08	45 2400	814 32	98 24	11 52	1 41%
131.000	**Total**		**$4,621.33**		**$5,926.44**	**$1,305.11**	**$83.84**	
WOLVERINE WORLD WIDE INC COMMON STOCK			*Security Identifier* WWW					
Dividend Option. Cash								
14 000	08/28/09	24 3770	341.28	31 8800	446 32	105 04	6 16	1 38%
31 000	09/22/09	25 6830	796 16	31 8800	988 28	192 12	13 64	1 38%
35.000	11/06/09	26 8900	941.14	31.8800	1,115 80	174 66	15 40	1 38%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
WOLVERINE WORLD WIDE INC COMMON STOCK *(continued)*								
20 000	01/25/10	26 3480	526 96	31 8800	637 60	110 64	8 80	1 38%
27 000	02/04/10	24 4160	659 24	31.8800	860 76	201 52	11 88	1 38%
41 000	07/20/10	26.4400	1,084 04	31 8800	1,307 08	223 04	18 04	1 38%
9 000	07/28/10	28 7600	258 84	31.8800	286 92	28 08	3 96	1 38%
13 000	10/19/10	29 8220	387 68	31 8800	414 44	26 76	5 72	1 38%
190.000	**Total**		**$4,995.34**		**$6,057.20**	**$1,061.86**	**$83.60**	
Total Common Stocks			**$274,537.95**		**$353,064.14**	**$78,526.19**	**$3,578.56**	
Real Estate Investment Trusts								
DIAMONDROCK HOSPITALITY CO COM		*Security Identifier* DRH						
Dividend Option Cash								
39.000	01/06/10	8 9670	349 70	12.0000	468 00	118 30	51 48	11 00%
9.000	02/03/10	9 5710	86 14	12 0000	108 00	21 86	11 88	11 00%
118 000	02/09/10	7 9690	940 32	12 0000	1,416 00	475 68	155 76	11 00%
72 000	03/25/10	10 0850	726 15	12 0000	864 00	137 85	95 04	11 00%
79 000	05/21/10	8 9010	703 18	12 0000	948 00	244 82	104 28	11 00%
66 000	10/19/10	10 7600	710 16	12 0000	792 00	81 84	87.12	11 00%
3 000	11/04/10	10 9200	32 76	12 0000	36 00	3 24	3 96	11 00%
43 000	12/01/10	10 8680	467 33	12 0000	516 00	48 67	56 76	11 00%
429.000	**Total**		**$4,015.74**		**$5,148.00**	**$1,132.26**	**$566.28**	
GOVERNMENT PPTYS INCOME TR COM SHS		*Security Identifier* GOV						
BEN INT								
Dividend Option Cash								
50 000	01/15/10	22 0660	1,103 28	26 7900	1,339 50	236 22	82 00	6 12%
43 000	01/25/10	23 6340	1,016 28	26 7900	1,151 97	135 69	70 52	6 12%
17 000	03/12/10	24.2710	412 60	26 7900	455 43	42 83	27 88	6 12%
39 000	05/20/10	26 3120	1,026 18	26 7900	1,044 81	18 63	63 96	6 12%
49 000	08/06/10	24 9210	1,221 14	26 7900	1,312 71	91 57	80 36	6 12%
198.000	**Total**		**$4,779.48**		**$5,304.42**	**$524.94**	**$324.72**	
KILROY REALTY CORP COM		*Security Identifier* KRC						
Dividend Option Cash								
41 000	05/20/10	31 4860	1,290 94	36 4700	1,495 27	204 33	57 40	3 83%
14 000	05/24/10	32 5280	455 39	36 4700	510 58	55 19	19 60	3 83%
20 000	05/25/10	30 8430	616 85	36 4700	729 40	112 55	28 00	3 83%
9 000	06/30/10	30 3990	273 59	36 4700	328 23	54 64	12.60	3 83%
18 000	10/19/10	33 9970	611 94	36 4700	656 46	44 52	25 20	3 83%
12 000	11/17/10	32 8800	394 56	36 4700	437 64	43.08	16 80	3 83%
114.000	**Total**		**$3,643.27**		**$4,157.58**	**$514.31**	**$159.60**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Real Estate Investment Trusts *(continued)*								
LASALLE HOTEL PPTYS COM SH BEN INT			*Security Identifier* LHO					
Dividend Option: Cash								
3 000	10/02/09	18 4100	55 23	26 4000	79 20	23 97	1 32	1 66%
16 000	10/29/09	18 1420	290 27	26 4000	422 40	132 13	7 04	1 66%
14 000	11/20/09	18 8460	263 85	26 4000	369 60	105 75	6 16	1 66%
78 000	01/07/10	21 0400	1,641.12	26 4000	2,059 20	418 08	34 32	1 66%
40 000	03/25/10	23 3000	932 00	26 4000	1,056 00	124 00	17 60	1 66%
20 000	11/04/10	24 6490	492 98	26 4000	528 00	35 02	8 80	1 66%
18 000	11/17/10	21 8260	392 86	26 4000	475 20	82 34	7 92	1 66%
2 000	12/01/10	24 6100	49 22	26 4000	52 80	3 58	0 88	1 66%
191.000	**Total**		**$4,117.53**		**$5,042.40**	**$924.87**	**$84.04**	
MID-AMER APT CMNTYS INC COM			*Security Identifier* MAA					
Dividend Option Cash								
21 000	06/24/10	52 0090	1,092 19	63 4900	1,333 29	241 10	51 66	3 87%
8 000	06/30/10	52 1660	417 33	63 4900	507 92	90 59	19 68	3 87%
4 000	07/20/10	51 2700	205 08	63 4900	253 96	48 88	9 84	3 87%
12 000	08/26/10	56 1900	674.28	63 4900	761.88	87 60	29 52	3 87%
45.000	**Total**		**$2,388.88**		**$2,857.05**	**$468.17**	**$110.70**	
Total Real Estate Investment Trusts			**$18,944.90**		**$22,509.45**	**$3,564.55**	**$1,245.34**	
Total Equities			**$293,482.85**		**$375,573.59**	**$82,090.74**	**$4,823.90**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 3.00% of Portfolio								
Mutual Funds								
APOLLO INVT CORP COM			*Security Identifier* AINV					
Closed End Fund								
Dividend Option Cash, Capital Gains Option Cash								
87 000	08/11/09	8 8560	770 46	11 0700	963 09	192.63	97 44	10 11%
110 000	08/17/09	8 8390	972.29	11 0700	1,217 70	245 41	123 20	10 11%
68 000	08/21/09	9 0800	617 41	11 0700	752 76	135 35	76 16	10 11%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
APOLLO INVT CORP COM *(continued)*								
85 000	09/15/09	9 9390	844 82	11 0700	940 95	96 13	95.20	10 11%
26 000	12/14/09	9.9400	258 44	11.0700	287 82	29 38	29 12	10 11%
26 000	04/28/10	12.2800	319.28	11 0700	287 82	-31 46	29 12	10 11%
56 000	04/29/10	12.5000	700 00	11 0700	619 92	-80 08	62 72	10 11%
22 000	05/05/10	12 0380	264 84	11 0700	243 54	-21 30	24 64	10 11%
28 000	05/06/10	11 8000	330 39	11.0700	309 96	-20 43	31 36	10 11%
6 000	05/10/10	11.1900	67 14	11.0700	66 42	-0 72	6 72	10 11%
10 000	05/12/10	12 0580	120 58	11 0700	110 70	-9 88	11 20	10 11%
56 000	07/20/10	9.5980	537 47	11 0700	619 92	82 45	62 72	10 11%
580.000	**Total**		**$5,803.12**		**$6,420.60**	**$617.48**	**$649.60**	
ARES CAP CORP COM		*Security Identifier.* ARCC						
Closed End Fund								
Dividend Option Cash, Capital Gains Option Cash								
84 000	12/21/09	12.3000	1,033 20	16 4800	1,384 32	351 12	117 60	8 49%
96 000	01/13/10	13.8200	1,326 70	16 4800	1,582 08	255 38	134 40	8 49%
19 000	01/21/10	13.2550	251 85	16 4800	313 12	61 27	26 60	8 49%
103 000	01/28/10	12 6290	1,300 77	16 4800	1,697 44	396 67	144 20	8 49%
41 000	03/26/10	14 4290	591 58	16 4800	675 68	84 10	57 40	8 49%
60 000	03/30/10	14.7300	883.77	16 4800	988 80	105.03	84 00	8 49%
39 000	05/10/10	14.2360	555 19	16 4800	642 72	87 53	54 60	8 49%
37 000	12/10/10	17.2700	638 99	16 4800	609 76	-29 23	51 80	8 49%
479.000	**Total**		**$6,582.05**		**$7,893.92**	**$1,311.87**	**$670.60**	
Total Mutual Funds			**$12,385.17**		**$14,314.52**	**$1,929.35**	**$1,320.20**	
Total Mutual Funds			**$12,385.17**		**$14,314.52**	**$1,929.35**	**$1,320.20**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 3.00% of Portfolio								
Exchange-Traded Products								
ISHARES TR RUSSELL 2000 VALUE INDEX FD		*Security Identifier* IWN						
Dividend Option Cash, Capital Gains Option Cash								
92 000	07/13/10	59 9900	5,519 08	71.0900	6,540 28	1,021 20	106.53	1 62%
59 000	11/04/10	67.0280	3,954 64	71.0900	4,194 31	239 67	68 32	1 62%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR RUSSELL 2000 VALUE INDEX FD *(continued)*								
17.000	12/13/10	70 7570	1,202 87	71 0900	1,208 53	5 66	19 68	1 62%
168.000	**Total**		**$10,676.59**		**$11,943.12**	**$1,266.53**	**$194.53**	
Total Exchange-Traded Products			**$10,676.59**		**$11,943.12**	**$1,266.53**	**$194.53**	
Total Exchange-Traded Products			**$10,676.59**		**$11,943.12**	**$1,266.53**	**$194.53**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$340,131.27**	**$425,417.89**	**$85,286.62**	**$0.00**	**$6,338.68**

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested You will not receive confirmation of these reinvestments However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary

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Asset Allocation Disclosure and Footnotes

NOTE: Unpriced securities in your account may cause the total brokerage account assets to be understated.

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	2,205.77	5,835 63	19,276.07
Long-Term Gain/Loss	26,660.67	92,236.40	123,116 99
Net Gain/Loss	**28,866.44**	**98,072.03**	**142,393.06**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Relationship Manager: 33X
SANFORD M KATZ
PRIVATE BANKING USA
650 CALIFORNIA STREET 31ST FLOOR
SAN FRANCISCO CA 94108-2612

Contact Information
Telephone Number: (800) 227-4492
Fax Number: (415) 249-2060

Customer Service Information
Web Site: www credit-suisse com

Portfolio Manager: THE LONDON COMPANY

Portfolio Investment Style: SMALL CAPITALIZATION CORE

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 4.00% of Portfolio									
Cash Balance				0 00	104.78				
Money Market									
DREYFUS TREASURY & AGENCY INV									
14,450.230	12/01/10	0000005523	12/31/10	11,361 92	14,450.23	0 00	0 04	0 00%	0 00%
Total Money Market				**$11,361.92**	**$14,450.23**	**$0.00**	**$0.04**		
Total Cash, Money Funds, and FDIC Deposits				**$11,361.92**	**$14,555.01**	**$0.00**	**$0.04**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 96.00% of Portfolio								
Common Stocks								
$100,000THS KINDER MORGAN MGMT LLC SHS			*Security Identifier* EKE55U103					
Dividend Option: Cash								
37,431 000	08/13/10	N/A	Please Provide	N/A	N/A	N/A		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
100,000THS KINDER MORGAN MGMT LLC SHS *(continued)*								
37,918 000	11/12/10	N/A	Please Provide	N/A	N/A	N/A		
75,349.000	**Total**		**N/A**		**$0.00**	**N/A**	**$0.00**	
MONTPELIER RE HOLDINGS LTD SHS			*Security Identifier* MRH					
ISIN#BMG621851069								
Dividend Option Cash								
615 000	03/09/09	10 9000	6,703.25	19 9400	12,263 10	5,559 85	246 00	2 00%
WHITE MOUNTAINS INSURANCE GROUP LTD			*Security Identifier* WTM					
ISIN#BMG9618E1075 SHS								
Dividend Option Cash								
23 000	03/09/09	150 6500	3,464.95	335 6000	7,718 80	4,253 85	23 00	0 29%
AOL INC COM			*Security Identifier* AOL					
Dividend Option Cash								
66 000	07/09/10	20 3010	1,339 84	23 7100	1,564 86	225 02		
66.000	07/12/10	20 6150	1,360 58	23 7100	1,564 86	204 28		
77 000	07/13/10	21 0240	1,618 84	23 7100	1,825.67	206 83		
88 000	07/14/10	21 0380	1,851.37	23 7100	2,086 48	235 11		
73 000	07/15/10	21 6200	1,578 28	23 7100	1,730 83	152 55		
42 000	10/22/10	25 3800	1,065 96	23 7100	995 82	-70 14		
412.000	**Total**		**$8,814.87**		**$9,768.52**	**$953.65**	**$0.00**	
ADVENT SOFTWARE INC COM			*Security Identifier.* ADVS					
Dividend Option Cash								
80 000	03/09/09	25 1510	2,012 08	57 9200	4,633 60	2,621 52		
75 000	09/24/10	53 4590	4,009 41	57.9200	4,344 00	334 59		
155.000	**Total**		**$6,021.49**		**$8,977.60**	**$2,956.11**	**$0.00**	
ALBEMARLE CORP			*Security Identifier* ALB					
Dividend Option Cash								
241 000	03/09/09	16 2200	3,909 00	55 7800	13,442.98	9,533 98	134 96	1 00%
ALEXANDER & BALDWIN INC COM			*Security Identifier* ALEX					
Dividend Option Cash								
356 000	03/09/09	16 2050	5,769 10	40 0300	14,250 68	8,481 58	448 56	3 14%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ALLEGHANY CORP DEL COM			Security Identifier Y					
Dividend Option Cash								
21 000	03/09/09	251 3360	5,278.06	306 3700	6,433.77	1,155 71		
ATWOOD OCEANICS INC			Security Identifier ATW					
Dividend Option Cash								
368 000	03/09/09	13 7010	5,041 91	37 3700	13,752.16	8,710 25		
25 000	09/24/10	29 3490	733 73	37 3700	934.25	200 52		
15 000	10/22/10	32 4260	486.39	37 3700	560 55	74 16		
408.000	**Total**		**$6,262.03**		**$15,246.96**	**$8,984.93**	**$0.00**	
CABELAS INC COM CL A			Security Identifier CAB					
Dividend Option. Cash								
218 000	06/17/09	11 0730	2,413.81	21.7500	4,741 50	2,327 69		
160 000	06/18/09	10 9800	1,756.74	21 7500	3,480.00	1,723.26		
129 000	07/01/09	12.3520	1,593.46	21 7500	2,805 75	1,212.29		
77.000	08/11/09	16.2290	1,249 66	21.7500	1,674.75	425.09		
103.000	09/24/10	18.2350	1,878.23	21.7500	2,240.25	362 02		
687.000	**Total**		**$8,891.90**		**$14,942.25**	**$6,050.35**	**$0.00**	
CAPELLA ED CO COM			Security Identifier. CPLA					
Dividend Option Cash								
120 000	12/20/10	63 8900	7,666.76	66 5800	7,989 60	322.84		
54 000	12/21/10	64 5690	3,486.75	66 5800	3,595 32	108.57		
174.000	**Total**		**$11,153.51**		**$11,584.92**	**$431.41**	**$0.00**	
CARMAX INC COM			Security Identifier KMX					
Dividend Option Cash								
116 000	06/18/09	13 0060	1,508 66	31 8800	3,698 08	2,189 42		
107 000	07/01/09	14 7270	1,575 78	31 8800	3,411.16	1,835 38		
223.000	**Total**		**$3,084.44**		**$7,109.24**	**$4,024.80**	**$0.00**	
CONSTELLATION BRANDS INC CL A			Security Identifier STZ					
Dividend Option Cash								
404 000	04/29/10	18 6630	7,539 90	22 1500	8,948 60	1,408.70		
20 000	04/30/10	18 3380	366 75	22 1500	443 00	76 25		
424.000	**Total**		**$7,906.65**		**$9,391.60**	**$1,484.95**	**$0.00**	
CORRECTIONS CORP AMER NEW COM NEW			Security Identifier. CXW					
Dividend Option. Cash								
544 000	03/09/09	9 9580	5,417 15	25 0600	13,632 64	8,215.49		
EATON VANCE CORP COM NON VTG			Security Identifier EV					
Dividend Option: Cash								
208 000	03/09/09	14 8460	3,087.97	30 2300	6,287 84	3,199 87	149 76	2 38%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ENERGIZER HLDGS INC COM			*Security Identifier* ENR					
Dividend Option Cash								
102 000	03/09/09	38 3140	3,908 03	72 9000	7,435.80	3,527.77		
FORCE PROTN INC COM NEW			*Security Identifier* FRPT					
Dividend Option Cash								
189 000	11/25/09	5 2330	989 02	5 5100	1,041 39	52 37		
242 000	12/28/09	5 4740	1,324 80	5 5100	1,333.42	8 62		
400 000	12/29/09	5 3970	2,158 64	5 5100	2,204 00	45 36		
452 000	12/30/09	5 3690	2,426 92	5 5100	2,490 52	63 60		
380 000	12/31/09	5 2780	2,005 79	5 5100	2,093 80	88 01		
1,663.000	**Total**		**$8,905.17**		**$9,163.13**	**$257.96**	**$0.00**	
HASBRO INC COM			*Security Identifier* HAS					
Dividend Option Cash								
15 000	07/01/09	24 6770	370 16	47 1800	707.70	337 54	15 00	2 11%
164 000	08/11/09	26 6700	4,373 85	47 1800	7,737 52	3,363 67	164 00	2 11%
179.000	**Total**		**$4,744.01**		**$8,445.22**	**$3,701.21**	**$179.00**	
5KINDER MORGAN MGMT LLC SHS			*Security Identifier* KMR					
Dividend Option Cash								
164 964	03/09/09	31 2570	5,156 33	66 8800	11,032 81	5,876 48		
2 036	08/27/10	N/A	Please Provide	66 8800	136 15	N/A		
167.000	**Total**		**$5,156.33**		**$11,168.96**	**$5,876.48**	**$0.00**	
KNOLL INC COM NEW			*Security Identifier* KNL					
Dividend Option Cash								
340 000	03/09/09	5 6080	1,906 72	16 7300	5,688 20	3,781 48	81 60	1 43%
MBIA INC			*Security Identifier* MBI					
Dividend Option Cash								
1,030 000	04/09/10	7 7280	7,959.74	11 9900	12,349 70	4,389 96		
MARTIN MARIETTA MATLS INC COM			*Security Identifier* MLM					
Dividend Option Cash								
84 000	04/16/10	90 7910	7,626 43	92 2400	7,748 16	121 73	134 40	1 73%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MICREL INC COM			*Security Identifier* MCRL					
Dividend Option: Cash								
143 000	02/24/10	9 4570	1,352 30	12 9900	1,857 57	505.27	20 02	1 07%
93.000	02/25/10	9.2780	862.82	12.9900	1,208 07	345.25	13.02	1.07%
346 000	05/25/10	10 6440	3,682.79	12.9900	4,494.54	811 75	48 44	1 07%
63 000	10/22/10	11.2030	705 81	12.9900	818.37	112.56	8.82	1.07%
645.000	**Total**		**$6,603.72**		**$8,378.55**	**$1,774.83**	**$90.30**	
NEWMARKET CORP COM			*Security Identifier* NEU					
Dividend Option: Cash								
36.000	03/09/09	29 6920	1,068 91	123 3700	4,441.32	3,372.41	63 36	1.42%
46 000	11/10/09	107.2850	4,935 11	123.3700	5,675 02	739 91	80 96	1 42%
63.000	07/07/10	94 4810	5,952 32	123 3700	7,772.31	1,819 99	110 88	1.42%
145.000	**Total**		**$11,956.34**		**$17,888.65**	**$5,932.31**	**$255.20**	
OLD DOMINION FREIGHT LINE INC COM			*Security Identifier* ODFL					
Dividend Option: Cash								
125 000	04/09/10	23 0780	2,884.70	31 9900	3,998 75	1,114 05		
294 000	04/16/10	24 6810	7,256.17	31 9900	9,405 06	2,148 89		
419.000	**Total**		**$10,140.87**		**$13,403.81**	**$3,262.94**	**$0.00**	
OWENS AND MINOR INC HLDGS CO INC			*Security Identifier* OMI					
Dividend Option: Cash								
159.000	03/09/09	20 3660	3,238.21	29 4300	4,679 37	1,441 16	112 57	2.40%
42 000	09/24/10	28 0050	1,176.21	29 4300	1,236 06	59 85	29 73	2 40%
201.000	**Total**		**$4,414.42**		**$5,915.43**	**$1,501.01**	**$142.30**	
PRICESMART INC COM			*Security Identifier:* PSMT					
Dividend Option: Cash								
405 000	03/09/09	15 7280	6,369 90	38 0300	15,402.15	9,032.25	202 50	1.31%
SERVICE CORP INTL			*Security Identifier:* SCI					
Dividend Option. Cash								
1,325 000	03/09/09	2.8290	3,748 69	8.2500	10,931.25	7,182 56	212 00	1 93%
STURM RUGER & CO INC			*Security Identifier* RGR					
Dividend Option Cash								
451.000	03/09/09	10.2660	4,629.97	15.2900	6,895 79	2,265 82	140 71	2 04%
STEJON RANCH CO			*Security Identifier:* TRC					
Dividend Option. Cash								
262 000	03/09/09	18 5230	4,853 00	27 5500	7,218.10	2,365 10		
50 000	06/25/10	N/A	Please Provide	27 5500	1,377.50	N/A		
312.000	**Total**		**$4,853.00**		**$8,595.60**	**$2,365.10**	**$0.00**	

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TENET HEALTHCARE CORP			*Security Identifier* THC					
Dividend Option: Cash								
561 000	06/17/09	2 8420	1,594 20	6 6900	3,753 09	2,158 89		
599 000	06/18/09	2 9350	1,758.36	6 6900	4,007.31	2,248 95		
436 000	07/01/09	2 8680	1,250 23	6 6900	2,916 84	1,666 61		
404 000	08/11/09	4 1500	1,676 58	6 6900	2,702 76	1,026 18		
2,000.000	**Total**		**$6,279.37**		**$13,380.00**	**$7,100.63**	**$0.00**	
TREDEGAR CORP			*Security Identifier* TG					
Dividend Option Cash								
265 000	03/09/09	14.6200	3,874 23	19 3800	5,135.70	1,261 47	42 40	0 82%
264 000	09/24/10	18 9870	5,012 52	19 3800	5,116.32	103 80	42 24	0 82%
529.000	**Total**		**$8,886.75**		**$10,252.02**	**$1,365.27**	**$84.64**	
VALUECLICK INC COM			*Security Identifier* VCLK					
Dividend Option Cash								
219 000	05/27/10	11 4820	2,514.45	16 0300	3,510 57	996.12		
597 000	05/28/10	11 6580	6,959.95	16 0300	9,569 91	2,609 96		
816.000	**Total**		**$9,474.40**		**$13,080.48**	**$3,606.08**	**$0.00**	
WESCO FINL CORP			*Security Identifier* WSC					
Dividend Option: Cash								
11.000	03/09/09	226 0000	2,486 00	368 4100	4,052 51	1,566 51	18 04	0 44%
Total Common Stocks			**$205,814.23**		**$341,226.31**	**$133,898.43**	**$2,542.97**	
Real Estate Investment Trusts								
FIRST INDL RLTY TR INC COM			*Security Identifier* FR					
Dividend Option: Cash								
93 000	04/09/10	8 6710	806.40	8.7600	814.68	8 28		
351 000	04/16/10	8 1920	2,875 46	8 7600	3,074.76	199 30		
444.000	**Total**		**$3,681.86**		**$3,889.44**	**$207.58**	**$0.00**	
HATTERAS FINL CORP COM			*Security Identifier* HTS					
Dividend Option Cash								
277 000	03/09/09	21 8790	6,060 62	30 2700	8,384 79	2,324 17	1,108 00	13 21%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Real Estate Investment Trusts *(continued)*								
UDR INC COM		*Security Identifier* UDR						
Dividend Option Cash								
366 000	03/09/09	7 2280	2,645 44	23 5200	8,608 32	5,962 88	270 84	3 14%
Total Real Estate Investment Trusts			**$12,387.92**		**$20,882.55**	**$8,494.63**	**$1,378.84**	
Total Equities			**$218,202.15**		**$362,108.86**	**$142,393.06**	**$3,921.81**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$232,757.16**	**$376,663.87**	**$142,393.06**	**$0.00**	**$3,921.85**

5 Unrealized gains and losses are not reported for securities for which cost basis or market value is not available.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U S dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account

[redacted]

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	279 10	8,023 03	20,244 62
Long-Term Gain/Loss	5,161 42	81,673 02	152,692 53
Net Gain/Loss	**5,440.52**	**89,696.05**	**172,937.15**

This summary excludes transactions where cost basis information is not available

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www credit-suisse com
Portfolio Manager: PRINCIPAL GLOBAL INVESTORS		**Portfolio Investment Style:** MID CAPITALIZATION CORE

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
Cash Balance				48 51	90 37				
Money Market									
DREYFUS TREASURY & AGENCY INV									
10,015 710	12/01/10	0000005522	12/31/10	13,210 19	10,015 71	0 00	0 03	0 00%	0 00%
Total Money Market				**$13,210.19**	**$10,015.71**	**$0.00**	**$0.03**		
Total Cash, Money Funds, and FDIC Deposits				**$13,258.70**	**$10,106.08**	**$0.00**	**$0.03**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 98.00% of Portfolio								
Common Stocks								
COVIDIEN PLC SHS			*Security Identifier.* COV					
ISIN#IE00B3QN1M21								
Dividend Option Cash								
92 000	08/15/08	53.5880	4,930 05	45 6600	4,200 72	-729 33	73 60	1 75%
7 000	03/09/09	28 4600	199 22	45 6600	319 62	120 40	5 60	1 75%
7 000	09/15/09	41 4700	290 29	45 6600	319 62	29 33	5 60	1 75%
106.000	**Total**		**$5,419.56**		**$4,839.96**	**-$579.60**	**$84.80**	

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
EVEREST REINSURANCE GROUP LTD SHS			*Security Identifier* RE					
ISIN#BMG3223R1088								
Dividend Option. Cash								
57 000	03/09/09	62 4080	3,557 26	84 8200	4,834 74	1,277 48	109 44	2 26%
15 000	05/15/09	70 0100	1,050.15	84 8200	1,272 30	222 15	28 80	2 26%
4 000	08/11/09	82 7400	330 96	84 8200	339 28	8 32	7 68	2 26%
5 000	11/19/09	88 0920	440 46	84 8200	424.10	-16 36	9 60	2 26%
3 000	12/22/09	86 5470	259 64	84 8200	254 46	-5 18	5 76	2 26%
3 000	02/03/10	85 5400	256 62	84 8200	254 46	-2 16	5 76	2 26%
3 000	03/24/10	79 3100	237 93	84 8200	254 46	16 53	5 76	2 26%
2 000	07/27/10	75 0000	150 00	84 8200	169 64	19 64	3 84	2 26%
9 000	09/20/10	84 2000	757 80	84.8200	763 38	5 58	17 28	2 26%
101.000	**Total**		**$7,040.82**		**$8,566.82**	**$1,526.00**	**$193.92**	
NABORS INDS LTD SHS ISIN#BMG6359F1032			*Security Identifier* NBR					
Dividend Option. Cash								
100 000	03/09/09	9 3080	930 80	23 4600	2,346 00	1,415 20		
20 000	05/15/09	16 2400	324 80	23 4600	469 20	144 40		
15 000	03/24/10	19 6090	294 13	23 4600	351 90	57 77		
4 000	06/23/10	19 9900	79 96	23 4600	93 84	13 88		
7 000	10/29/10	20 4790	143 35	23 4600	164 22	20 87		
4 000	12/02/10	23 1780	92 71	23 4600	93 84	1 13		
150.000	**Total**		**$1,865.75**		**$3,519.00**	**$1,653.25**	**$0.00**	
WHITE MOUNTAINS INSURANCE GROUP LTD			*Security Identifier* WTM					
ISIN#BMG9618E1075 SHS								
Dividend Option Cash								
15 000	03/09/09	151 8800	2,278 20	335 6000	5,034 00	2,755 80	15 00	0 29%
2 000	07/27/10	331.8900	663 78	335 6000	671 20	7 42	2 00	0 29%
17.000	**Total**		**$2,941.98**		**$5,705.20**	**$2,763.22**	**$17.00**	
WEATHERFORD INTL LTD REG			*Security Identifier* WFT					
ISIN#CH0038838394								
Dividend Option Cash								
41 000	10/22/08	15 0910	618 75	22.8000	934.80	316 05		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
WEATHERFORD INTL LTD REG *(continued)*								
26 000	05/15/09	17 8400	463 84	22 8000	592 80	128 96		
5 000	12/22/09	17 4980	87 49	22 8000	114 00	26 51		
5 000	03/24/10	15 8200	79 10	22.8000	114 00	34 90		
4 000	06/23/10	14 4000	57 60	22 8000	91 20	33 60		
11 000	10/29/10	16 8190	185 01	22.8000	250 80	65 79		
92.000	**Total**		**$1,491.79**		**$2,097.60**	**$605.81**	**$0.00**	
[3]TYCO INTL LTD SHS		*Security Identifier:* TYC						
ISIN#CH0100383485								
Dividend Option Cash								
73 000	03/09/09	18.0580	1,318 23	41.4400	3,025 12	1,706 89	61 32	2 02%
9.000	09/15/09	33.2480	299 23	41.4400	372 96	73 73	7 56	2 02%
3 000	12/22/09	35.2770	105 83	41 4400	124 32	18 49	2.52	2 02%
4 000	02/03/10	36 7600	147 04	41.4400	165 76	18 72	3 36	2 02%
17 000	03/24/10	36 9360	627 91	41 4400	704 48	76 57	14 28	2 02%
11 000	05/19/10	37 7200	414 92	41.4400	455 84	40 92	9 24	2 02%
4 000	06/23/10	37.9480	151 79	41.4400	165 76	13 97	3 36	2 02%
1.000	07/27/10	37 0800	37 08	41 4400	41 44	4 36	0 84	2 02%
10 000	09/20/10	39.5900	395 90	41 4400	414 40	18 50	8 40	2 02%
3 000	10/29/10	38.2370	114 71	41 4400	124 32	9 61	2 52	2 02%
135.000	**Total**		**$3,612.64**		**$5,594.40**	**$1,981.76**	**$113.40**	
AES CORP COM		*Security Identifier* AES						
Dividend Option Cash								
241 000	03/09/09	5.1780	1,247.90	12 1800	2,935 38	1,687 48		
9 000	12/22/09	13 6190	122 57	12.1800	109 62	-12 95		
19 000	03/24/10	11.1580	212 01	12 1800	231 42	19 41		
5.000	06/23/10	10 0180	50 09	12.1800	60 90	10 81		
5 000	07/27/10	10 5380	52 69	12 1800	60 90	8 21		
10 000	09/20/10	11.2000	112 00	12 1800	121 80	9 80		
5 000	10/29/10	12 0280	60 14	12 1800	60 90	0 76		
294.000	**Total**		**$1,857.40**		**$3,580.92**	**$1,723.52**	**$0.00**	
ALLEGHENY ENERGY INC COM		*Security Identifier* AYE						
Dividend Option Cash								
135 000	03/09/09	21 0280	2,838 83	24 2400	3,272 40	433 57	81 00	2 47%
10 000	06/24/09	25.7000	257 00	24.2400	242 40	-14 60	6 00	2 47%
25 000	12/22/09	24.0280	600 71	24.2400	606 00	5 29	15 00	2 47%
3 000	07/27/10	23.3070	69 92	24.2400	72.72	2 80	1 80	2 47%
3 000	09/20/10	23 1300	69 39	24.2400	72 72	3 33	1 80	2 47%
176.000	**Total**		**$3,835.85**		**$4,266.24**	**$430.39**	**$105.60**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ALLIANT TECHSYSTEMS INC		*Security Identifier* ATK						
Dividend Option Cash								
44.000	03/09/09	63 4000	2,789 58	74.4300	3,274 92	485 34		
10 000	11/19/09	84 3190	843 19	74 4300	744 30	-98 89		
3 000	12/22/09	89 4300	268 29	74.4300	223 29	-45 00		
1 000	07/27/10	69 4400	69 44	74 4300	74.43	4 99		
58.000	**Total**		**$3,970.50**		**$4,316.94**	**$346.44**	**$0.00**	
AMERICAN TOWER CORP CL A		*Security Identifier* AMT						
Dividend Option Cash								
63 000	08/11/09	32.3780	2,039 80	51.6400	3,253 32	1,213.52		
4 000	09/15/09	35.1300	140 52	51.6400	206 56	66 04		
8 000	11/19/09	40.0980	320.78	51 6400	413 12	92 34		
3 000	12/22/09	42.7400	128 22	51 6400	154 92	26 70		
3,000	03/24/10	44.3900	133.17	51 6400	154.92	21.75		
17 000	05/19/10	40 0390	680 66	51.6400	877 88	197.22		
98.000	**Total**		**$3,443.15**		**$5,060.72**	**$1,617.57**	**$0.00**	
AMERIPRISE FINL INC COM		*Security Identifier.* AMP						
Dividend Option Cash								
68 000	03/09/09	14.2080	966 17	57.5500	3,913 40	2,947 23	48 96	1 25%
4 000	06/24/09	24 0000	96 00	57.5500	230 20	134 20	2 88	1 25%
5 000	11/19/09	37 8580	189 29	57 5500	287.75	98 46	3 60	1 25%
3 000	12/22/09	38 5600	115 68	57 5500	172 65	56 97	2 16	1.25%
3 000	05/19/10	41 1800	123 54	57.5500	172 65	49 11	2 16	1 25%
14 000	09/20/10	48 2500	675 50	57.5500	805 70	130 20	10 08	1 25%
97.000	**Total**		**$2,166.18**		**$5,582.35**	**$3,416.17**	**$69.84**	
³AON CORPORATION		*Security Identifier:* AON						
Dividend Option. Cash								
47.000	08/15/08	47.2730	2,221 85	46 0100	2,162 47	-59 38	28 20	1.30%
24 000	05/15/09	36 8460	884.30	46 0100	1,104.24	219 94	14 40	1 30%
4 000	06/24/09	37 2000	148 80	46.0100	184 04	35 24	2 40	1 30%
9 000	11/19/09	38.7190	348 47	46 0100	414 09	65 62	5 40	1 30%
6 000	12/22/09	38 6180	231 71	46 0100	276 06	44 35	3 60	1 30%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
AON CORPORATION *(continued)*								
3 000	02/03/10	39 4670	118 40	46 0100	138 03	19 63	1.80	1 30%
18 000	03/24/10	42 6500	767.70	46 0100	828 18	60 48	10 80	1 30%
17 000	05/19/10	40.4470	687 60	46 0100	782.17	94 57	10.20	1 30%
8 000	06/23/10	39.0300	312 24	46 0100	368 08	55 84	4 80	1 30%
1 000	07/27/10	36 5900	36 59	46 0100	46 01	9 42	0 60	1 30%
12 000	09/20/10	38 5080	462 10	46.0100	552 12	90 02	7.20	1 30%
10 000	10/29/10	39 6090	396 09	46 0100	460 10	64 01	6 00	1 30%
159.000	**Total**		**$6,615.85**		**$7,315.59**	**$699.74**	**$95.40**	
AUTOZONE INC			*Security Identifier: AZO*					
Dividend Option Cash								
19 000	03/24/10	173 9360	3,304 78	272 5900	5,179 21	1,874 43		
3 000	06/23/10	193.1600	579 48	272 5900	817 77	238 29		
22.000	**Total**		**$3,884.26**		**$5,996.98**	**$2,112.72**	**$0.00**	
BECTON DICKINSON & CO			*Security Identifier* BDX					
Dividend Option Cash								
17 000	06/23/10	70.2170	1,193 69	84 5200	1,436 84	243 15	27 88	1 94%
20 000	07/27/10	68 8800	1,377 60	84 5200	1,690 40	312 80	32 80	1 94%
18 000	09/20/10	73 2480	1,318 47	84 5200	1,521 36	202 89	29 52	1 94%
4 000	10/29/10	75 8450	303 38	84 5200	338 08	34 70	6 56	1 94%
10 000	12/02/10	80 1360	801 36	84.5200	845 20	43 84	16 40	1 94%
69.000	**Total**		**$4,994.50**		**$5,831.88**	**$837.38**	**$113.16**	
BLACKROCK INC COM			*Security Identifier* BLK					
Dividend Option Cash								
38 000	12/02/10	169 7480	6,450 42	190 5800	7,242 04	791 62	152 00	2 09%
3BROADRIDGE FINL SOLUTIONS INC COM			*Security Identifier* BR					
Dividend Option Cash								
67 000	08/15/08	19 8400	1,329 28	21 9300	1,469 31	140 03	40 20	2 73%
29 000	05/15/09	17.5690	509 50	21 9300	635 97	126 47	17 40	2 73%
9 000	06/24/09	16 0660	144 59	21 9300	197 37	52 78	5 40	2 73%
4 000	08/11/09	19.2700	77 08	21 9300	87 72	10 64	2 40	2 73%
9 000	09/15/09	21.2590	191 33	21 9300	197 37	6 04	5 40	2 73%
3 000	02/03/10	22 1400	66 42	21.9300	65 79	-0 63	1 80	2 73%
10 000	05/19/10	20.7850	207 85	21.9300	219 30	11 45	6 00	2 73%
24 000	06/23/10	19.4700	467 28	21 9300	526 32	59 04	14 40	2 73%
15 000	09/20/10	23 0180	345 27	21 9300	328 95	-16.32	9 00	2 73%
15 000	12/02/10	21 3490	320 23	21.9300	328 95	8 72	9 00	2 73%
185.000	**Total**		**$3,658.83**		**$4,057.05**	**$398.22**	**$111.00**	

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
BROOKFIELD ASSET MGMT INC VTG		*Security Identifier* BAM						
SHS CL A ISIN#CA1125851040								
Dividend Option Cash								
128 000	03/09/09	11 5980	1,484 55	33 2900	4,261 12	2,776 57	66 56	1 56%
123 000	11/19/09	21 0480	2,588 96	33 2900	4,094 67	1,505 71	63 96	1 56%
76 000	12/22/09	21 5790	1,640 00	33 2900	2,530 04	890 04	39 52	1 56%
40 000	02/03/10	21 0380	841 50	33 2900	1,331 60	490 10	20 80	1 56%
26 000	03/24/10	25 0380	651 00	33 2900	865 54	214 54	13 52	1 56%
6 000	05/19/10	24 5400	147 24	33 2900	199 74	52 50	3 12	1 56%
3 000	06/23/10	23 8200	71 46	33 2900	99 87	28 41	1 56	1 56%
4 000	07/27/10	25 2780	101 11	33 2900	133 16	32 05	2 08	1 56%
21 000	09/20/10	27 8300	584 43	33 2900	699 09	114 66	10 92	1 56%
427.000	**Total**		**$8,110.25**		**$14,214.83**	**$6,104.58**	**$222.04**	
BROWN & BROWN INC		*Security Identifier* BRO						
Dividend Option Cash								
167 000	03/09/09	15 9360	2,661 32	23 9400	3,997 98	1,336 66	53 44	1 33%
33 000	05/15/09	18 4600	609 18	23 9400	790 02	180 84	10 56	1 33%
11 000	06/24/09	19 3500	212 85	23 9400	263 34	50 49	3 52	1 33%
20 000	11/19/09	17 8980	357 95	23 9400	478 80	120 85	6 40	1 33%
10 000	12/22/09	17 9480	179 48	23 9400	239 40	59 92	3 20	1 33%
10 000	02/03/10	17 7590	177 59	23 9400	239 40	61 81	3 20	1 33%
15 000	03/24/10	17 9200	268 80	23.9400	359 10	90 30	4 80	1 33%
14 000	05/19/10	19 5660	273 92	23 9400	335 16	61 24	4 48	1 33%
3 000	06/23/10	19 7900	59 37	23 9400	71 82	12 45	0 96	1 33%
2 000	07/27/10	20 0500	40 10	23 9400	47 88	7 78	0 64	1 33%
5 000	09/20/10	20 2080	101 04	23 9400	119 70	18 66	1 60	1 33%
12 000	10/29/10	22 3100	267 72	23 9400	287 28	19 56	3 84	1 33%
302.000	**Total**		**$5,209.32**		**$7,229.88**	**$2,020.56**	**$96.64**	
CIT GROUP INC NEW COM NEW		*Security Identifier.* CIT						
Dividend Option Cash								
75 000	09/20/10	39 1090	2,933 16	47 1000	3,532 50	599 34		
40 000	10/29/10	43 7200	1,748 78	47 1000	1,884 00	135 22		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CIT GROUP INC NEW COM NEW *(continued)*								
24 000	12/02/10	40 5530	973 28	47.1000	1,130 40	157 12		
139.000	**Total**		**$5,655.22**		**$6,546.90**	**$891.68**	**$0.00**	
CALPINE CORP COM NEW			*Security Identifier* CPN					
Dividend Option Cash								
208 000	03/09/09	5 0980	1,060 37	13 3400	2,774 72	1,714 35		
66 000	12/22/09	11 1880	738 41	13 3400	880 44	142 03		
31 000	03/24/10	11 3680	352 41	13 3400	413 54	61 13		
6 000	10/29/10	12 4700	74 82	13 3400	80 04	5 22		
311.000	**Total**		**$2,226.01**		**$4,148.74**	**$1,922.73**	**$0.00**	
CIMAREX ENERGY CO COM			*Security Identifier:* XEC					
Dividend Option Cash								
63 000	03/09/09	16 9240	1,066 21	88 5300	5,577 39	4,511 18	20 16	0 36%
4 000	10/29/10	76 8200	307 28	88 5300	354 12	46 84	1 28	0 36%
67.000	**Total**		**$1,373.49**		**$5,931.51**	**$4,558.02**	**$21.44**	
CINTAS CORP			*Security Identifier* CTAS					
Dividend Option Cash								
163 000	03/09/09	18 5380	3,021 73	27 9600	4,557 48	1,535 75	79 87	1 75%
9 000	05/15/09	25 0900	225 81	27.9600	251 64	25 83	4 41	1 75%
5 000	02/03/10	25.2580	126 29	27 9600	139 80	13 51	2 45	1 75%
10 000	07/27/10	26 3490	263 49	27.9600	279 60	16 11	4 90	1 75%
187.000	**Total**		**$3,637.32**		**$5,228.52**	**$1,591.20**	**$91.63**	
CLOROX CO COM			*Security Identifier.* CLX					
Dividend Option Cash								
98 000	08/11/09	57.7040	5,655 02	63.2800	6,201.44	546 42	215 60	3 47%
4 000	09/15/09	57.2200	228 88	63.2800	253 12	24 24	8 80	3 47%
17 000	11/19/09	59 8680	1,017 76	63.2800	1,075 76	58 00	37 40	3 47%
12 000	02/03/10	60 3330	724 00	63 2800	759 36	35 36	26 40	3 47%
6 000	05/19/10	64.1600	384 96	63.2800	379 68	-5 28	13.20	3 47%
1 000	07/27/10	66.2800	66 28	63.2800	63.28	-3 00	2.20	3 47%
4 000	12/02/10	62 4680	249 87	63.2800	253 12	3 25	8 80	3 47%
142.000	**Total**		**$8,326.77**		**$8,985.76**	**$658.99**	**$312.40**	
COPART INC			*Security Identifier* CPRT					
Dividend Option Cash								
8 000	08/11/09	36 0540	288 43	37.3500	298 80	10 37		
4 000	09/15/09	37 0100	148 04	37 3500	149 40	1 36		
58 000	11/19/09	33.1400	1,922 10	37 3500	2,166 30	244 20		
8 000	12/22/09	36 0380	288 30	37 3500	298 80	10 50		
6 000	02/03/10	34 1980	205 19	37 3500	224 10	18 91		
8 000	03/24/10	36 0880	288 70	37.3500	298 80	10 10		

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
COPART INC *(continued)*								
33 000	05/19/10	36 4790	1,203 80	37 3500	1,232.55	28 75		
10 000	10/29/10	33 8090	338 09	37 3500	373.50	35 41		
135.000	**Total**		**$4,682.65**		**$5,042.25**	**$359.60**	**$0.00**	
CORELOGIC INC COM			*Security Identifier* CLGX					
Dividend Option Cash								
113 000	05/19/10	19 1930	2,168 86	18 5200	2,092 76	-76 10	99 44	4 75%
2 000	07/27/10	19 8700	39 74	18 5200	37 04	-2 70	1 76	4 75%
115.000	**Total**		**$2,208.60**		**$2,129.80**	**-$78.80**	**$101.20**	
COVANTA HLDG CORP COM			*Security Identifier* CVA					
Dividend Option Cash								
547 000	03/09/09	13 5480	7,410 75	17 1900	9,402.93	1,992 18		
9 000	08/11/09	16 9980	152 98	17.1900	154 71	1 73		
24 000	11/19/09	17 0400	408 96	17.1900	412 56	3 60		
36 000	12/22/09	17 6340	634 81	17 1900	618 84	-15 97		
10 000	02/03/10	18 0520	180 52	17.1900	171 90	-8 62		
17 000	03/24/10	16 8490	286 43	17 1900	292 23	5 80		
16 000	05/19/10	15 8790	254 07	17 1900	275 04	20 97		
5 000	07/27/10	15 4700	77 35	17.1900	85 95	8 60		
12 000	10/29/10	15 7180	188 62	17.1900	206 28	17 66		
5 000	12/02/10	16 0680	80 34	17.1900	85 95	5 61		
681.000	**Total**		**$9,674.83**		**$11,706.39**	**$2,031.56**	**$0.00**	
COVENTRY HEALTH CARE INC COM			*Security Identifier* CVH					
Dividend Option Cash								
152 000	03/09/09	8 5480	1,299 36	26 4000	4,012 80	2,713 44		
5 000	06/23/10	19 3580	96.79	26.4000	132 00	35 21		
4 000	07/27/10	19 2880	77 15	26 4000	105 60	28 45		
16 000	09/20/10	21 0890	337 42	26 4000	422.40	84 98		
3 000	10/29/10	23 4900	70 47	26 4000	79 20	8 73		
180.000	**Total**		**$1,881.19**		**$4,752.00**	**$2,870.81**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
DENBURY RES INC COM NEW			*Security Identifier* DNR					
Dividend Option Cash								
284 000	03/24/10	15 4380	4,384 36	19 0900	5,421 56	1,037 20		
10 000	10/29/10	16 8500	168 50	19 0900	190 90	22 40		
294.000	**Total**		**$4,552.86**		**$5,612.46**	**$1,059.60**	**$0.00**	
DENTSPLY INTL INC NEW COM			*Security Identifier* XRAY					
Dividend Option Cash								
67 000	03/09/09	22.2980	1,493 98	34.1700	2,289 39	795 41	13 40	0.58%
36 000	05/15/09	29 4200	1,059 11	34 1700	1,230 12	171 01	7.20	0 58%
4 000	08/11/09	32.3200	129 28	34.1700	136 68	7 40	0 80	0 58%
19 000	11/19/09	33.1970	630 75	34.1700	649 23	18 48	3 80	0 58%
8 000	12/22/09	34 9280	279 42	34.1700	273 36	-6 06	1 60	0 58%
7 000	02/03/10	33 8790	237 15	34 1700	239 19	2 04	1 40	0 58%
4 000	03/24/10	34.3900	137 56	34.1700	136 68	-0.88	0 80	0 58%
19 000	05/19/10	34.3680	652 99	34.1700	649 23	-3 76	3 80	0 58%
7 000	07/27/10	29 8260	208 78	34 1700	239 19	30 41	1 40	0 58%
3 000	09/20/10	30 7400	92 22	34 1700	102 51	10 29	0 60	0 58%
8 000	10/29/10	30 9680	247 74	34.1700	273 36	25 62	1 60	0 58%
182.000	**Total**		**$5,168.98**		**$6,218.94**	**$1,049.96**	**$36.40**	
DISCOVERY COMMUNICATIONS INC			*Security Identifier* DISCK					
COM SER C COM NEW								
Dividend Option Cash								
240 000	11/19/09	27 2450	6,538 80	36 6900	8,805 60	2,266 80		
50 000	12/22/09	27 0500	1,352 48	36 6900	1,834.50	482 02		
5 000	02/03/10	26 5900	132 95	36 6900	183 45	50 50		
5 000	10/29/10	38 6800	193 40	36 6900	183 45	-9 95		
36 000	11/16/10	34 9790	1,259 25	36 6900	1,320 84	61 59		
336.000	**Total**		**$9,476.88**		**$12,327.84**	**$2,850.96**	**$0.00**	
DISH NETWORK CORP CL A			*Security Identifier* DISH					
Dividend Option Cash								
395 000	03/09/09	9 7190	3,839 01	19 6600	7,765 70	3,926 69		
21 000	09/15/09	17 9880	377 75	19 6600	412 86	35 11		
12 000	11/19/09	19 6980	236 38	19 6600	235 92	-0 46		
98.000	12/22/09	21.1000	2,067 76	19 6600	1,926 68	-141 08		
4 000	02/03/10	18 7380	74 95	19.6600	78 64	3 69		
7 000	05/19/10	22 0190	154 13	19 6600	137 62	-16 51		
5 000	06/23/10	20.2380	101 19	19 6600	98 30	-2 89		
14 000	07/27/10	20 0990	281 38	19 6600	275 24	-6 14		
24 000	10/29/10	19 5600	469 44	19 6600	471 84	2 40		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
DISH NETWORK CORP CL A *(continued)*								
4 000	12/02/10	18 3680	73 47	19 6600	78 64	5 17		
584.000	**Total**		**$7,675.46**		**$11,481.44**	**$3,805.98**	**$0.00**	
DUN & BRADSTREET CORP DEL NEW COM		*Security Identifier* DNB						
Dividend Option Cash								
45 000	08/11/09	74 2170	3,339 75	82 0900	3,694 05	354 30	63 00	1 70%
23 000	09/15/09	74 5690	1,715 09	82 0900	1,888 07	172 98	32 20	1 70%
16 000	11/19/09	79 9600	1,279 36	82 0900	1,313 44	34 08	22 40	1 70%
5 000	12/22/09	82 7020	413 51	82 0900	410 45	-3 06	7 00	1 70%
7 000	03/24/10	74 1930	519 35	82 0900	574 63	55 28	9 80	1 70%
3 000	05/19/10	74 8170	224 45	82 0900	246 27	21 82	4 20	1 70%
4 000	07/27/10	71 6630	286 65	82 0900	328 36	41 71	5 60	1 70%
103.000	**Total**		**$7,778.16**		**$8,455.27**	**$677.11**	**$144.20**	
EOG RES INC COM		*Security Identifier* EOG						
Dividend Option Cash								
56 000	03/09/09	51 8550	2,903 86	91 4100	5,118 96	2,215 10	34 72	0 67%
28 000	03/24/10	94 1850	2,637 18	91 4100	2,559 48	-77 70	17 36	0 67%
7 000	09/20/10	88 4560	619 19	91 4100	639 87	20 68	4 34	0 67%
6 000	10/29/10	95 1320	570 79	91.4100	548 46	-22 33	3 72	0 67%
12 000	12/02/10	92 6430	1,111 71	91 4100	1,096 92	-14 79	7 44	0 67%
109.000	**Total**		**$7,842.73**		**$9,963.69**	**$2,120.96**	**$67.58**	
EQT CORPORATION COM		*Security Identifier* EQT						
Dividend Option Cash								
158 000	03/09/09	29 5480	4,668 63	44 8400	7,084 72	2,416 09	139 04	1 96%
5 000	11/19/09	40 7360	203 68	44 8400	224 20	20 52	4 40	1 96%
17 000	12/22/09	44 1520	750 58	44 8400	762 28	11 70	14 96	1 96%
10 000	03/24/10	41 5980	415 98	44 8400	448 40	32 42	8 80	1 96%
3 000	07/27/10	37 4300	112 29	44 8400	134 52	22 23	2 64	1 96%
20 000	10/29/10	37 3570	747 14	44 8400	896 80	149 66	17 60	1 96%
213.000	**Total**		**$6,898.30**		**$9,550.92**	**$2,652.62**	**$187.44**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ECHOSTAR CORP CL A			*Security Identifier* SATS					
Dividend Option Cash								
94 000	03/09/09	14 5290	1,365 71	24 9700	2,347 18	981 47		
45 000	05/15/09	16 0570	722 58	24 9700	1,123 65	401 07		
11 000	06/24/09	14 8300	163 13	24 9700	274 67	111 54		
3 000	02/03/10	19 1170	57 35	24 9700	74 91	17 56		
8 000	05/19/10	20 4480	163 58	24 9700	199 76	36 18		
25 000	06/23/10	20 2020	505 04	24 9700	624 25	119 21		
10 000	09/20/10	19 2100	192 10	24 9700	249 70	57 60		
21 000	12/02/10	20 1360	422 86	24 9700	524 37	101 51		
217.000	**Total**		**$3,592.35**		**$5,418.49**	**$1,826.14**	**$0.00**	
FIDELITY NATL INFORMATION SVCS INC			*Security Identifier* FIS					
COM								
Dividend Option Cash								
111 000	03/09/09	15.9580	1,771 37	27 3900	3,040 29	1,268 92	22 20	0 73%
38 000	05/15/09	18 3480	697 23	27 3900	1,040 82	343 59	7 60	0 73%
4 000	06/24/09	19 7000	78 80	27 3900	109 56	30 76	0 80	0 73%
8 000	08/11/09	24 0400	192 32	27.3900	219 12	26 80	1 60	0 73%
21 000	09/15/09	24 7780	520 34	27 3900	575 19	54 85	4 20	0 73%
7 000	11/19/09	23 1190	161 83	27 3900	191 73	29 90	1 40	0 73%
22 000	03/24/10	23 6390	520 05	27 3900	602 58	82 53	4 40	0 73%
42 000	06/23/10	27.2290	1,143 60	27.3900	1,150 38	6 78	8.40	0 73%
7 000	10/29/10	27 2190	190 53	27 3900	191 73	1 20	1 40	0 73%
17 000	12/02/10	27 8740	473 85	27 3900	465 63	-8 22	3 40	0 73%
277.000	**Total**		**$5,749.92**		**$7,587.03**	**$1,837.11**	**$55.40**	
FOREST CITY ENTERPRISES INC			*Security Identifier* FCE A					
CL A								
Dividend Option Cash								
240 000	03/09/09	4 0780	978 77	16 6900	4,005 60	3,026 83		
7 000	12/22/09	12 5970	88 18	16.6900	116 83	28 65		
23 000	05/19/10	14.2540	327 84	16.6900	383 87	56 03		
5 000	06/23/10	12 4600	62 30	16 6900	83 45	21 15		
2 000	07/27/10	12 5450	25 09	16 6900	33 38	8 29		
11 000	09/20/10	12 9050	141 95	16.6900	183 59	41 64		
8 000	10/29/10	14 6240	116 99	16 6900	133 52	16 53		
296.000	**Total**		**$1,741.12**		**$4,940.24**	**$3,199.12**	**$0.00**	
GENTEX CORP COM			*Security Identifier* GNTX					
Dividend Option Cash								
195 000	03/09/09	7 3200	1,427 32	29.5600	5,764 20	4,336 88	85 80	1 48%
8 000	09/15/09	15 1490	121 19	29.5600	236 48	115 29	3 52	1 48%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
GENTEX CORP COM *(continued)*								
35 000	12/22/09	17.6670	618 34	29 5600	1,034 60	416 26	15 40	1 48%
6 000	02/03/10	19 7180	118 31	29 5600	177 36	59 05	2 64	1 48%
28 000	05/19/10	19 9340	558 14	29 5600	827.68	269 54	12 32	1.48%
4 000	06/23/10	19.1000	76 40	29 5600	118 24	41 84	1 76	1 48%
4.000	07/27/10	20 2300	80 92	29 5600	118 24	37 32	1 76	1 48%
39 000	10/29/10	20.0290	781.12	29 5600	1,152 84	371.72	17 16	1 48%
319.000	**Total**		**$3,781.74**		**$9,429.64**	**$5,647.90**	**$140.36**	
INTERNATIONAL GAME TECHNOLOGY COM			*Security Identifier* IGT					
Dividend Option Cash								
107 000	03/24/10	17 8200	1,906 72	17 6900	1,892 83	-13 89	25 68	1.35%
94 000	05/19/10	20.6560	1,941 64	17.6900	1,662 86	-278 78	22 56	1 35%
4 000	07/27/10	16 6400	66 56	17 6900	70 76	4 20	0 96	1 35%
68 000	09/20/10	15 2000	1,033 59	17 6900	1,202.92	169 33	16 32	1.35%
28 000	10/29/10	15 5380	435 06	17.6900	495 32	60 26	6 72	1.35%
301.000	**Total**		**$5,383.57**		**$5,324.69**	**-$58.88**	**$72.24**	
INTUIT INCORPORATED COM			*Security Identifier* INTU					
Dividend Option. Cash								
7 000	03/09/09	23.2400	162 68	49 3000	345 10	182 42		
23 000	05/15/09	24 4200	561.66	49 3000	1,133 90	572 24		
3 000	06/24/09	27.7400	83.22	49 3000	147.90	64 68		
5 000	08/11/09	30 2300	151 15	49 3000	246 50	95 35		
38 000	09/15/09	27.5680	1,047 58	49 3000	1,873 40	825 82		
17 000	11/19/09	30 1280	512 18	49 3000	838 10	325 92		
21.000	12/22/09	30 5780	642 14	49 3000	1,035 30	393 16		
16 000	02/03/10	30 0760	481 22	49 3000	788 80	307 58		
4 000	03/24/10	34 6600	138 64	49 3000	197 20	58 56		
25 000	06/23/10	36 6880	917 20	49 3000	1,232 50	315 30		
3 000	07/27/10	39.5370	118 61	49.3000	147 90	29 29		
12 000	09/20/10	45.6500	547.80	49 3000	591.60	43.80		
12 000	12/02/10	46 4760	557 71	49 3000	591 60	33 89		
186.000	**Total**		**$5,921.79**		**$9,169.80**	**$3,248.01**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
IRON MTN INC PA COM			*Security Identifier* IRM					
Dividend Option Cash								
348 000	03/09/09	17 9180	6,235 54	25 0100	8,703 48	2,467 94	261 00	2 99%
39 000	05/15/09	27.1060	1,057.13	25 0100	975 39	-81 74	29.25	2 99%
87.000	11/19/09	24.2350	2,108 47	25.0100	2,175 87	67 40	65.25	2 99%
62 000	12/22/09	23 4290	1,452 60	25.0100	1,550 62	98 02	46 50	2 99%
43 000	02/03/10	23.2390	999 26	25 0100	1,075 43	76 17	32 25	2 99%
26 000	03/24/10	27.2780	709 24	25 0100	650 26	-58 98	19 50	2 99%
18 000	05/19/10	25 2300	454 14	25.0100	450 18	-3 96	13 50	2 99%
12 000	07/27/10	25 5460	306 55	25 0100	300 12	-6 43	9 00	2 99%
27.000	10/29/10	21.3500	576 45	25.0100	675 27	98 82	20.25	2 99%
662.000	**Total**		**$13,899.38**		**$16,556.62**	**$2,657.24**	**$496.50**	
KELLOGG CO			*Security Identifier* K					
Dividend Option Cash								
69 000	08/11/09	46 1850	3,186 78	51.0800	3,524 52	337 74	111.78	3.17%
15 000	09/15/09	47 9790	719 68	51 0800	766 20	46 52	24 30	3 17%
8 000	02/03/10	55.2790	442 23	51 0800	408 64	-33 59	12 96	3 17%
92.000	**Total**		**$4,348.69**		**$4,699.36**	**$350.67**	**$149.04**	
LABORATORY CORP AMER HLDGS COM NEW			*Security Identifier* LH					
Dividend Option. Cash								
134 000	03/09/09	53 9660	7,231 44	87.9200	11,781 28	4,549 84		
15 000	05/15/09	62 5800	938 70	87 9200	1,318 80	380 10		
4.000	06/24/09	65 8700	263 48	87 9200	351 68	88 20		
20 000	11/19/09	73 0430	1,460 86	87 9200	1,758 40	297 54		
4 000	12/22/09	75 7450	302 98	87 9200	351 68	48 70		
7 000	05/19/10	76 9840	538 89	87.9200	615 44	76 55		
2 000	07/27/10	74 8750	149 75	87 9200	175 84	26 09		
11 000	09/20/10	76.1780	837 96	87.9200	967 12	129 16		
197.000	**Total**		**$11,724.06**		**$17,320.24**	**$5,596.18**	**$0.00**	
LEUCADIA NATL CORP COM			*Security Identifier* LUK					
Dividend Option· Cash								
151 000	12/22/09	24 0280	3,628 19	29 1800	4,406 18	777 99	37 75	0 85%
83 000	02/03/10	23 4590	1,947 11	29 1800	2,421 94	474 83	20 75	0 85%
50 000	03/24/10	25.5990	1,279 94	29.1800	1,459 00	179 06	12 50	0 85%
50 000	05/19/10	22 0880	1,104 40	29 1800	1,459 00	354 60	12 50	0 85%
10 000	06/23/10	20 9860	209 86	29 1800	291 80	81 94	2.50	0 85%
2 000	07/27/10	22.2200	44 44	29 1800	58 36	13 92	0 50	0 85%
45 000	09/20/10	23 9410	1,077.34	29 1800	1,313 10	235 76	11 25	0 85%
11 000	10/29/10	25 1960	277 16	29 1800	320 98	43 82	2 75	0 85%
402.000	**Total**		**$9,568.44**		**$11,730.36**	**$2,161.92**	**$100.50**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LIBERTY GLOBAL INC COM SER A			*Security Identifier* LBTYA					
Dividend Option: Cash								
289 000	03/09/09	9 6890	2,800 12	35 3800	10,224 82	7,424 70		
15 000	11/19/09	20 9990	314 98	35 3800	530 70	215 72		
64 000	12/22/09	21 3090	1,363 76	35 3800	2,264 32	900 56		
7 000	07/27/10	28 7190	201 03	35 3800	247 66	46 63		
375.000	**Total**		**$4,679.89**		**$13,267.50**	**$8,587.61**	**$0.00**	
LIBERTY MEDIA HLDG CORP INTERACTIVE COM SER A			*Security Identifier* LINTA					
Dividend Option Cash								
210 000	03/09/09	2.7170	570 65	15 7700	3,311 70	2,741 05		
12 000	05/15/09	5 6000	67 20	15 7700	189 24	122 04		
14 000	09/15/09	10 7780	150 89	15 7700	220 78	69 89		
16 000	11/19/09	10 7760	172 42	15 7700	252 32	79 90		
37 000	12/22/09	10 5580	390 66	15 7700	583 49	192 83		
5 000	05/19/10	12 9500	64 75	15 7700	78 85	14 10		
12 000	06/23/10	12 2580	147 10	15 7700	189 24	42 14		
7 000	07/27/10	11.8500	82 95	15 7700	110 39	27 44		
42 000	09/20/10	12 8920	541 48	15 7700	662 34	120 86		
14 000	10/29/10	14 4180	201 85	15.7700	220 78	18 93		
11 000	12/02/10	15 8380	174 22	15 7700	173 47	-0 75		
380.000	**Total**		**$2,564.17**		**$5,992.60**	**$3,428.43**	**$0.00**	
LIBERTY MEDIA HLDG CORP CAP COM SER A			*Security Identifier.* LCAPA					
Dividend Option Cash								
257 000	03/09/09	4 6600	1,197 51	62 5600	16,077 92	14,880 41		
22 000	05/15/09	12 9780	285 52	62 5600	1,376 32	1,090 80		
7 000	09/15/09	22 6060	158 24	62 5600	437 92	279 68		
5 000	11/19/09	23 9600	119 80	62.5600	312 80	193 00		
58 000	12/22/09	23 8460	1,383 04	62 5600	3,628 48	2,245 44		
7.000	06/23/10	43 6190	305.33	62 5600	437 92	132.59		
1 000	07/27/10	47 3400	47 34	62 5600	62 56	15 22		
15 000	10/29/10	57 0500	855.75	62 5600	938 40	82 65		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LIBERTY MEDIA HLDG CORP CAP COM SER A *(continued)*								
8 000	12/02/10	58 8790	471 03	62 5600	500 48	29 45		
380.000	**Total**		**$4,823.56**		**$23,772.80**	**$18,949.24**	**$0.00**	
LIBERTY MEDIA CORP NEW LIBERTY STARZ			*Security Identifier* LSTZA					
COM SER A								
Dividend Option Cash								
12 000	03/09/09	22 9380	275 26	66 4800	797.76	522 50		
5.700	05/15/09	33.2110	189 30	66.4800	378 94	189 64		
1.300	09/15/09	39.6000	51 48	66 4800	86 42	34 94		
3 000	12/22/09	47.5800	142 74	66 4800	199 44	56 70		
4 000	03/24/10	51 8200	207 28	66.4800	265 92	58 64		
11 000	05/19/10	54.2960	597.26	66 4800	731 28	134 02		
2 000	07/27/10	55.4500	110 90	66.4800	132 96	22 06		
10 000	09/20/10	63 2490	632 49	66.4800	664 80	32 31		
4 000	12/02/10	63 9180	255 67	66.4800	265 92	10 25		
53.000	**Total**		**$2,462.38**		**$3,523.44**	**$1,061.06**	**$0.00**	
LINCARE HLDGS INC			*Security Identifier* LNCR					
Dividend Option Cash								
163 000	03/09/09	13.5400	2,206 97	26 8300	4,373 29	2,166 32	130 40	2 98%
3 000	09/20/10	24 1300	72 39	26 8300	80 49	8 10	2 40	2 98%
12 000	10/29/10	26 1300	313 56	26 8300	321 96	8 40	9 60	2 98%
3 000	12/02/10	26.0400	78 12	26.8300	80 49	2 37	2 40	2 98%
181.000	**Total**		**$2,671.04**		**$4,856.23**	**$2,185.19**	**$144.80**	
LOEWS CORP COM			*Security Identifier* L					
Dividend Option. Cash								
299 000	03/09/09	18 3080	5,474 09	38 9100	11,634 09	6,160 00	74 75	0 64%
10 000	05/15/09	25 8080	258 08	38 9100	389 10	131 02	2 50	0 64%
18 000	06/24/09	26 6980	480 56	38.9100	700 38	219 82	4.50	0 64%
24 000	11/19/09	36.0360	864 86	38.9100	933 84	68 98	6 00	0 64%
13 000	12/22/09	36 1480	469 93	38.9100	505 83	35 90	3 25	0 64%
4 000	02/03/10	36 7280	146 91	38 9100	155 64	8 73	1.00	0 64%
12 000	03/24/10	37.2330	446 79	38 9100	466 92	20 13	3 00	0 64%
14 000	05/19/10	33 0080	462 11	38 9100	544 74	82 63	3.50	0 64%
4 000	06/23/10	33 4380	133 75	38 9100	155 64	21 89	1 00	0 64%
5 000	07/27/10	37.1480	185 74	38 9100	194 55	8 81	1.25	0 64%
10 000	09/20/10	37.5690	375 69	38 9100	389 10	13 41	2 50	0 64%
5 000	10/29/10	39 4380	197 19	38.9100	194 55	-2 64	1 25	0 64%
10 000	12/02/10	38 0780	380 78	38 9100	389 10	8 32	2 50	0 64%
428.000	**Total**		**$9,876.48**		**$16,653.48**	**$6,777.00**	**$107.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
M & T BK CORP			*Security Identifier.* MTB					
Dividend Option· Cash								
33 000	10/29/10	74 0410	2,443 35	87 0500	2,872.65	429 30	92 40	3 21%
26 000	12/02/10	79 1680	2,058 37	87.0500	2,263 30	204 93	72.80	3 21%
59.000	**Total**		**$4,501.72**		**$5,135.95**	**$634.23**	**$165.20**	
MARKEL CORP COM			*Security Identifier:* MKL					
Dividend Option Cash								
25 000	03/09/09	215 7300	5,393.25	378.1300	9,453 25	4,060 00		
3 000	11/19/09	327.2900	981 87	378.1300	1,134 39	152 52		
3 000	02/03/10	329.2600	987.78	378.1300	1,134 39	146 61		
3 000	05/19/10	350 3500	1,051.05	378.1300	1,134 39	83 34		
1.000	07/27/10	348.3300	348 33	378 1300	378 13	29 80		
35.000	**Total**		**$8,762.28**		**$13,234.55**	**$4,472.27**	**$0.00**	
MARSH & MCLENNAN COS INC COM			*Security Identifier* MMC					
Dividend Option Cash								
106 000	03/09/09	18.1780	1,926.87	27.3400	2,898 04	971.17	89 04	3 07%
22 000	05/15/09	19 4200	427 23	27 3400	601.48	174 25	18 48	3 07%
9 000	06/24/09	20.2100	181.89	27.3400	246 06	64.17	7.56	3 07%
17.000	12/22/09	21.8290	371 09	27 3400	464 78	93 69	14 28	3 07%
8 000	02/03/10	22 4080	179 26	27 3400	218.72	39 46	6.72	3 07%
3 000	03/24/10	24 5100	73 53	27 3400	82 02	8 49	2 52	3 07%
9 000	05/19/10	22 1780	199 60	27.3400	246 06	46 46	7 56	3 07%
1 000	07/27/10	23 4400	23.44	27.3400	27 34	3 90	0.84	3 07%
12 000	09/20/10	24 3080	291 70	27 3400	328 08	36 38	10 08	3 07%
5 000	10/29/10	25 0780	125 39	27 3400	136 70	11 31	4 20	3 07%
192.000	**Total**		**$3,800.00**		**$5,249.28**	**$1,449.28**	**$161.28**	
MEAD JOHNSON NUTRITION CO COM			*Security Identifier:* MJN					
Dividend Option Cash								
53 000	03/24/10	52 1820	2,765 65	62 2500	3,299.25	533 60	47 70	1 44%
21 000	05/19/10	50 9560	1,070 08	62 2500	1,307 25	237 17	18 90	1 44%
5 000	06/23/10	52 5480	262.74	62 2500	311 25	48.51	4 50	1 44%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MEAD JOHNSON NUTRITION CO COM *(continued)*								
2 000	07/27/10	54.5350	109 07	62.2500	124 50	15 43	1 80	1 44%
81.000	**Total**		**$4,207.54**		**$5,042.25**	**$834.71**	**$72.90**	
MICROCHIP TECHNOLOGY INC COM			*Security Identifier:* MCHP					
Dividend Option Cash								
52 000	11/19/09	25 4090	1,321 28	34.2100	1,778 92	457 64	71 76	4 03%
4.000	02/03/10	26.5380	106 15	34.2100	136 84	30 69	5.52	4 03%
12 000	03/24/10	28.7500	345 00	34.2100	410 52	65 52	16 56	4 03%
96 000	05/19/10	27.3470	2,625 27	34.2100	3,284 16	658 89	132 48	4 03%
21 000	06/23/10	28 7890	604 56	34.2100	718 41	113 85	28 98	4 03%
2 000	07/27/10	31.4400	62 88	34 2100	68 42	5 54	2 76	4 03%
14 000	12/02/10	34 6560	485 18	34 2100	478 94	-6 24	19 32	4 03%
201.000	**Total**		**$5,550.32**		**$6,876.21**	**$1,325.89**	**$277.38**	
MOHAWK INDUSTRIES INC			*Security Identifier:* MHK					
Dividend Option Cash								
28 000	03/09/09	17 9500	502 60	56.7600	1,589.28	1,086 68		
18 000	08/11/09	49.2390	886 30	56 7600	1,021 68	135 38		
3 000	12/22/09	48 9600	146 88	56 7600	170 28	23 40		
2.000	07/27/10	51 4000	102 80	56 7600	113 52	10 72		
8 000	09/20/10	49 4600	395 68	56.7600	454 08	58 40		
59.000	**Total**		**$2,034.26**		**$3,348.84**	**$1,314.58**	**$0.00**	
MOLSON COORS BREWING CO CL B NON VTG STK			*Security Identifier* TAP					
ISIN#US60871R2094								
Dividend Option Cash								
24 000	10/29/10	47.0130	1,128 32	50.1900	1,204 56	76 24	26 88	2 23%
34 000	12/02/10	48 3480	1,643 82	50.1900	1,706 46	62 64	38 08	2 23%
58.000	**Total**		**$2,772.14**		**$2,911.02**	**$138.88**	**$64.96**	
NATIONAL FUEL GAS CO N J COM			*Security Identifier* NFG					
Dividend Option Cash								
59 000	03/09/09	27.6600	1,631 94	65 6200	3,871 58	2,239 64	81 42	2 10%
6 000	05/15/09	31.0600	186 36	65 6200	393 72	207 36	8.28	2 10%
8 000	12/22/09	50 0100	400 08	65 6200	524 96	124 88	11 04	2 10%
7.000	03/24/10	52 3660	366 56	65 6200	459 34	92 78	9 66	2 10%
1.000	07/27/10	49 9600	49 96	65 6200	65 62	15 66	1 38	2 10%
81.000	**Total**		**$2,634.90**		**$5,315.22**	**$2,680.32**	**$111.78**	
NEWMONT MINING CORP (HLDG CO)			*Security Identifier* NEM					
Dividend Option Cash								
99 000	03/09/09	38.2260	3,784 41	61.4300	6,081 57	2,297 16	59 40	0 97%
76 000	05/15/09	43.3310	3,293 16	61 4300	4,668 68	1,375 52	45 60	0 97%
9.000	08/11/09	40.1280	361 15	61.4300	552 87	191 72	5 40	0 97%

CREDIT SUISSE SECURITIES (USA) LLC
650 California Street
31st Floor
San Francisco CA 94108
(800) 227 4492

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NEWMONT MINING CORP (HLDG CO) *(continued)*								
11 000	09/20/10	63 3700	697 07	61 4300	675 73	-21 34	6 60	0 97%
4 000	12/02/10	60 1580	240.63	61 4300	245 72	5 09	2 40	0 97%
199.000	**Total**		**$8,376.42**		**$12,224.57**	**$3,848.15**	**$119.40**	
O REILLY AUTOMOTIVE INC COM			*Security Identifier* 686091109					
Dividend Option Cash								
86 000	03/09/09	32 4480	2,790 53	60 4200	5,196 12	2,405 59		
121 000	05/15/09	35 9980	4,355.72	60 4200	7,310 82	2,955 10		
8 000	06/24/09	36 7840	294 27	60 4200	483 36	189 09		
10 000	08/11/09	37 6000	376 00	60 4200	604 20	228 20		
22 000	09/15/09	36 3960	800 71	60 4200	1,329 24	528 53		
22 000	11/19/09	38 9870	857 72	60.4200	1,329 24	471 52		
52 000	12/22/09	38 4900	2,001 48	60 4200	3,141 84	1,140 36		
4 000	02/03/10	38 7280	154 91	60 4200	241 68	86 77		
3 000	05/19/10	48 0400	144.12	60.4200	181 26	37 14		
328.000	**Total**		**$11,775.46**		**$19,817.76**	**$8,042.30**	**$0.00**	
PROGRESSIVE CORP OH COM			*Security Identifier* PGR					
Dividend Option Cash								
106 000	09/15/09	16 8790	1,789 15	19 8700	2,106 22	317 07	17 10	0 81%
35 000	11/19/09	16 8080	588 28	19 8700	695 45	107 17	5 65	0 81%
28 000	12/22/09	17 6390	493 89	19 8700	556 36	62 47	4 52	0 81%
30 000	02/03/10	16 9080	507 24	19 8700	596 10	88 86	4 84	0 81%
36 000	03/24/10	18 4990	665 98	19.8700	715 32	49 34	5 81	0 81%
4 000	05/19/10	20 2200	80 88	19 8700	79 48	-1 40	0 65	0 81%
4 000	07/27/10	20.1980	80 79	19 8700	79 48	-1.31	0 65	0 81%
3 000	09/20/10	21 1800	63 54	19 8700	59 61	-3 93	0 48	0 81%
9 000	10/29/10	21 1690	190 52	19 8700	178 83	-11 69	1 43	0 81%
255.000	**Total**		**$4,460.27**		**$5,066.85**	**$606.58**	**$41.13**	
QUESTAR CORP COM			*Security Identifier* STR					
Dividend Option Cash								
123 000	03/09/09	8 4190	1,035 56	17 4100	2,141 43	1,105 87	68 88	3 21%
20 000	12/22/09	13 5680	271 36	17 4100	348 20	76 84	11 20	3 21%
14 000	03/24/10	13 9040	194 66	17.4100	243.74	49 08	7 84	3 21%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
QUESTAR CORP COM *(continued)*								
4 000	06/23/10	15 1180	60 47	17.4100	69 64	9 17	2.24	3 21%
50 000	07/27/10	17 1290	856 45	17 4100	870 50	14 05	28 00	3 21%
17 000	09/20/10	17 3400	294 78	17 4100	295 97	1 19	9 52	3 21%
7 000	10/29/10	16 9900	118 93	17.4100	121 87	2 94	3 92	3 21%
6 000	12/02/10	16 9400	101 64	17 4100	104 46	2 82	3 36	3 21%
241.000	**Total**		**$2,933.85**		**$4,195.81**	**$1,261.96**	**$134.96**	
ROYAL GOLD INC			*Security Identifier.* RGLD					
Dividend Option Cash								
67 000	11/19/09	51 6920	3,463 34	54 6300	3,660 21	196 87	24 12	0 65%
12 000	12/22/09	46 9680	563 61	54 6300	655 56	91 95	4.32	0 65%
31 000	02/03/10	43 8870	1,360 50	54 6300	1,693.53	333 03	11 16	0 65%
26 000	05/19/10	49 5580	1,288 50	54 6300	1,420 38	131 88	9 36	0 65%
20 000	06/23/10	48 5590	971 18	54 6300	1,092 60	121 42	7.20	0 65%
101 000	07/27/10	43.2630	4,369 61	54 6300	5,517 63	1,148 02	36 36	0 65%
27 000	09/20/10	51.1200	1,380 24	54.6300	1,475 01	94 77	9 72	0 65%
33 000	10/29/10	49 3140	1,627 35	54 6300	1,802 79	175 44	11 88	0 65%
317.000	**Total**		**$15,024.33**		**$17,317.71**	**$2,293.38**	**$114.12**	
SAIC INC COM			*Security Identifier.* SAI					
Dividend Option Cash								
354 000	03/09/09	17.3880	6,155 35	15 8600	5,614 44	-540 91		
78 000	05/15/09	17 9300	1,398 54	15 8600	1,237 08	-161 46		
30 000	06/24/09	18 2500	547 50	15 8600	475 80	-71 70		
10 000	11/19/09	18 0800	180 80	15.8600	158 60	-22 20		
4 000	02/03/10	18 6280	74 51	15 8600	63 44	-11 07		
18 000	03/24/10	19.2590	346 66	15 8600	285 48	-61 18		
79 000	06/23/10	17 3890	1,373 76	15 8600	1,252 94	-120 82		
9 000	10/29/10	15 5090	139 58	15 8600	142 74	3 16		
45 000	12/02/10	15 7870	710 43	15 8600	713 70	3 27		
627.000	**Total**		**$10,927.13**		**$9,944.22**	**-$982.91**	**$0.00**	
3ST JUDE MED INC COM			*Security Identifier* STJ					
Dividend Option Cash								
52 000	08/15/08	46 0250	2,393 30	42 7500	2,223 00	-170 30		
52 000	03/09/09	33 0100	1,716 52	42 7500	2,223 00	506 48		
9 000	05/15/09	37 3100	335 79	42 7500	384 75	48 96		
3.000	07/27/10	37.1500	111 45	42.7500	128 25	16 80		
116.000	**Total**		**$4,557.06**		**$4,959.00**	**$401.94**	**$0.00**	
SPECTRA ENERGY CORP COM			*Security Identifier* SE					
Dividend Option Cash								
263 000	03/09/09	11 8490	3,116 34	24.9900	6,572 37	3,456 03	263 00	4 00%

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SPECTRA ENERGY CORP COM *(continued)*								
12 000	05/15/09	14 9500	179 40	24 9900	299 88	120 48	12 00	4 00%
5 000	12/22/09	20 3880	101 94	24 9900	124 95	23 01	5 00	4 00%
25 000	03/24/10	22 3180	557 95	24 9900	624 75	66 80	25 00	4 00%
6 000	07/27/10	21.3780	128 27	24 9900	149 94	21 67	6 00	4 00%
8 000	09/20/10	21 9590	175 67	24 9900	199 92	24 25	8 00	4 00%
18 000	10/29/10	23 6190	425.14	24.9900	449 82	24 68	18 00	4 00%
337.000	**Total**		**$4,684.71**		**$8,421.63**	**$3,736.92**	**$337.00**	
SYSCO CORP		*Security Identifier* SYY						
Dividend Option Cash								
84 000	03/09/09	19.8090	1,663 98	29 4000	2,469 60	805 62	87 36	3 53%
14 000	05/15/09	23 0800	323 12	29 4000	411 60	88 48	14 56	3 53%
55 000	06/24/09	22.9800	1,263 88	29 4000	1,617 00	353 12	57 20	3.53%
31 000	08/11/09	24 8580	770 60	29 4000	911 40	140 80	32 24	3 53%
5 000	09/15/09	25.8200	129.10	29 4000	147 00	17 90	5 20	3 53%
11.000	11/19/09	27 0280	297.31	29 4000	323 40	26 09	11 44	3 53%
23 000	02/03/10	27.9090	641 90	29 4000	676.20	34 30	23 92	3 53%
5 000	12/02/10	29 2880	146 44	29 4000	147 00	0 56	5 20	3 53%
228.000	**Total**		**$5,236.33**		**$6,703.20**	**$1,466.87**	**$237.12**	
TJX COMPANIES INC (NEW)		*Security Identifier* TJX						
Dividend Option Cash								
35 000	06/24/09	30 4360	1,065 26	44 3900	1,553 65	488 39	21 00	1 35%
22 000	08/11/09	34 7200	763 83	44 3900	976.58	212 75	13 20	1 35%
34 000	09/15/09	36 9290	1,255 57	44 3900	1,509 26	253 69	20 40	1 35%
17 000	11/19/09	38 8680	660.76	44.3900	754 63	93 87	10 20	1 35%
69 000	12/22/09	36 9660	2,550 67	44 3900	3,062 91	512 24	41 40	1 35%
9 000	05/19/10	43 0380	387.34	44 3900	399 51	12 17	5 40	1 35%
4.000	07/27/10	42.0100	168 04	44 3900	177 56	9 52	2 40	1.35%
7 000	09/20/10	42 6990	298.89	44 3900	310.73	11 84	4 20	1 35%
11 000	12/02/10	44.2160	486 38	44.3900	488 29	1 91	6.60	1 35%
208.000	**Total**		**$7,636.74**		**$9,233.12**	**$1,596.38**	**$124.80**	

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3TELEPHONE & DATA SYS INC SPL COM			*Security Identifier* TDS S					
Dividend Option Cash								
154 000	08/15/08	38 0180	5,854 78	31 5200	4,854 08	-1,000 70	69 30	1 42%
7 000	09/15/09	28 9000	202 30	31 5200	220 64	18 34	3.15	1 42%
17 000	12/22/09	30.2490	514.24	31.5200	535 84	21 60	7.65	1 42%
6 000	02/03/10	28 8200	172 92	31 5200	189.12	16 20	2 70	1 42%
3 000	05/19/10	28 8000	86 40	31 5200	94 56	8 16	1 35	1 42%
2 000	07/27/10	29 8400	59 68	31 5200	63 04	3 36	0 90	1 42%
8 000	10/29/10	30 0330	240 26	31.5200	252 16	11 90	3 60	1 42%
197.000	**Total**		**$7,130.58**		**$6,209.44**	**-$921.14**	**$88.65**	
VALEANT PHARMACEUTICALS INTL			*Security Identifier:* VRX					
INC CDA COM ISIN#CA91911K1021								
Dividend Option Cash								
252 096	03/09/09	9.0230	2,274 76	28.2900	7,131 78	4,857 02		
8 905	07/27/10	31 5310	280 77	28.2900	251 91	-28 86		
261.000	**Total**		**$2,555.53**		**$7,383.69**	**$4,828.16**	**$0.00**	
WESTERN UN CO COM			*Security Identifier* WU					
Dividend Option Cash								
235 000	03/09/09	10 9090	2,563 66	18 5700	4,363 95	1,800 29	65 80	1 50%
28 000	05/15/09	16.5000	462 00	18 5700	519 96	57 96	7 84	1 50%
8 000	06/24/09	15 9800	127 84	18 5700	148 56	20 72	2.24	1 50%
11 000	03/24/10	16 8490	185 34	18 5700	204 27	18 93	3 08	1 50%
50 000	06/23/10	15 5600	777 98	18 5700	928 50	150 52	14 00	1 50%
4 000	09/20/10	17 3180	69 27	18 5700	74 28	5 01	1 12	1 50%
4 000	10/29/10	17 9980	71 99	18 5700	74 28	2 29	1 12	1 50%
19 000	12/02/10	18 0480	342 91	18 5700	352 83	9 92	5 32	1 50%
359.000	**Total**		**$4,600.99**		**$6,666.63**	**$2,065.64**	**$100.52**	
WILLIAMS COS INC COM			*Security Identifier* WMB					
Dividend Option Cash								
357 000	03/09/09	10 5080	3,751 35	24 7200	8,825 04	5,073 69	178 50	2 02%
5 000	09/15/09	18.5900	92 95	24 7200	123 60	30 65	2 50	2 02%
25 000	12/22/09	20 9880	524 71	24.7200	618 00	93 29	12 50	2 02%
8 000	03/24/10	22 7080	181 66	24 7200	197 76	16 10	4 00	2 02%
8 000	07/27/10	19 6880	157 50	24 7200	197 76	40 26	4 00	2 02%
33 000	10/29/10	21.0480	694 58	24.7200	815 76	121 18	16 50	2 02%
436.000	**Total**		**$5,402.75**		**$10,777.92**	**$5,375.17**	**$218.00**	
YUM BRANDS INC COM			*Security Identifier* YUM					
Dividend Option Cash								
43 000	11/19/09	35.4330	1,523 60	49 0500	2,109 15	585 55	43 00	2 03%
19 000	12/22/09	35 3190	671 06	49 0500	931 95	260 89	19 00	2 03%

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Equities *(continued)*									
Common Stocks *(continued)*									
YUM BRANDS INC COM *(continued)*									
28 000	03/24/10	38 0400	1,065 11	49 0500	1,373 40	308 29		28 00	2 03%
12 000	05/19/10	40 8080	489 70	49 0500	588 60	98.90		12 00	2 03%
1 000	07/27/10	42 1000	42 10	49 0500	49 05	6 95		1 00	2 03%
8 000	09/20/10	46 3190	370 55	49 0500	392 40	21 85		8 00	2 03%
111.000	**Total**		**$4,162.12**		**$5,444.55**	**$1,282.43**		**$111.00**	
Total Common Stocks			**$392,144.51**		**$564,822.77**	**$172,678.26**		**$6,083.13**	
Real Estate Investment Trusts									
GENERAL GROWTH PPTYS INC NEW COM		*Security Identifier* GGP							
Dividend Option. Cash									
170 000	10/29/10	13 4050	2,278 81	15 4800	2,631 60	352 79		64 60	2 45%
239 000	12/02/10	15 8730	3,793 62	15 4800	3,699 72	-93 90		90 82	2 45%
409.000	**Total**		**$6,072.43**		**$6,331.32**	**$258.89**		**$155.42**	
Total Real Estate Investment Trusts			**$6,072.43**		**$6,331.32**	**$258.89**		**$155.42**	
Total Equities			**$398,216.94**		**$571,154.09**	**$172,937.15**		**$6,238.55**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$408,323.02**	**$581,260.17**	**$172,937.15**	**$0.00**	**$6,238.58**

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

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Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	693 40	50,295 42	7,954 47
Long-Term Gain/Loss	14,227 13	30,658 00	52,045 72
Net Gain/Loss	**14,920.53**	**80,953.42**	**60,000.19**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www.credit-suisse.com
Portfolio Manager: TRADEWINDS GLOBAL INVESTORS, LLC		**Portfolio Investment Style:** INTERNATIONAL EQUITY VALUE

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 4.00% of Portfolio									
Cash Balance				105 82	16 54				
Money Market									
DREYFUS TREASURY & AGENCY INV									
11,710 080	12/01/10	0000005525	12/31/10	18,395.50	11,710 08	0 00	0 07	0 00%	0 00%
Total Money Market				**$18,395.50**	**$11,710.08**	**$0.00**	**$0.07**		
Total Cash, Money Funds, and FDIC Deposits				**$18,501.32**	**$11,726.62**	**$0.00**	**$0.07**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 96.00% of Portfolio								
Common Stocks								
AXIS CAPITAL HLDGS LTD SHS			*Security Identifier* AXS					
ISIN#BMG0692U1099								
Dividend Option Cash								
110 000	10/22/09	30 5260	3,357 87	35 8800	3,946 80	588 93	101.20	2 56%

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
UBS AG SHS NEW			*Security Identifier* UBS					
ISIN#CH0024899483								
Dividend Option Cash								
254 000	03/10/09	8 2300	2,090 39	16 4700	4,183 38	2,092 99		
ALCATEL LUCENT SPON ADR			*Security Identifier* ALU					
Dividend Option· Cash								
1,702 000	03/10/09	1 2500	2,126 82	2 9600	5,037 92	2,911 10		
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS			*Security Identifier* AZSEY					
Dividend Option Cash								
232 000	05/21/10	10 1580	2,356 75	11 9340	2,768 69	411 94	88 57	3 19%
ALUMINA LTD SPONSORED ADR			*Security Identifier* AWC					
Dividend Option Cash								
327 000	05/19/10	5 5330	1,809 39	10 1800	3,328 86	1,519 47	50 09	1 50%
ANGLOGOLD ASHANTI LTD SPONORED ADR			*Security Identifier* AU					
ISIN#US0351282068								
Dividend Option. Cash								
105 000	03/10/09	29 4700	3,094 32	49 2300	5,169 15	2,074 83	19 42	0 37%
34 000	07/10/09	34 1430	1,160 85	49 2300	1,673 82	512 97	6 29	0 37%
139.000	**Total**		**$4,255.17**		**$6,842.97**	**$2,587.80**	**$25.71**	
ASTRAZENECA PLC SPONSORED ADR			*Security Identifier.* AZN					
Dividend Option Cash								
58 000	01/12/10	47 4300	2,750 95	46 1900	2,679 02	-71 93	139 78	5 21%
54 000	01/14/10	49 3990	2,667 57	46 1900	2,494 26	-173 31	130 14	5 21%
112.000	**Total**		**$5,418.52**		**$5,173.28**	**-$245.24**	**$269.92**	
BARRICK GOLD CORP COM			*Security Identifier* ABX					
ISIN#CA0679011084								
Dividend Option· Cash								
33 000	04/09/09	28 8160	950 93	53 1800	1,754 94	804 01		
82 000	08/18/09	33 4730	2,744 80	53.1800	4,360 76	1,615 96		
61 000	12/17/09	38 4820	2,347 40	53 1800	3,243 98	896 58		
176.000	**Total**		**$6,043.13**		**$9,359.68**	**$3,316.55**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CAMECO CORP COM ISIN#CA13321L1085			*Security Identifier* CCJ					
Dividend Option Cash								
52 000	03/03/10	27 0180	1,404 95	40.3800	2,099 76	694 81		
20 000	04/06/10	27 1730	543 46	40.3800	807 60	264 14		
52 000	04/07/10	26 9170	1,399 70	40.3800	2,099 76	700 06		
53 000	05/25/10	23 5370	1,247 46	40.3800	2,140 14	892 68		
177.000	**Total**		**$4,595.57**		**$7,147.26**	**$2,551.69**	**$0.00**	
CAREFOUR SA ADR			*Security Identifier* CRERY					
Dividend Option Cash								
138 000	10/22/09	9 1590	1,263 90	8.2770	1,142 23	-121 67	27.94	2 44%
297 000	10/28/09	8 8010	2,613 84	8.2770	2,458 27	-155 57	60 12	2 44%
248 000	12/02/10	8 6640	2,148 57	8.2770	2,052 69	-95 88	50.20	2 44%
683.000	**Total**		**$6,026.31**		**$5,653.19**	**-$373.12**	**$138.26**	
DAI NIPPON PRTG LTD JAPAN SPON ADR			*Security Identifier* DNPLY					
ISIN#US2338063066								
Dividend Option Cash								
622 000	03/11/09	8.3500	5,193 70	13.6370	8,482 21	3,288 51	185 26	2 18%
DAIWA HOUSE IND LTD ADR			*Security Identifier* DWAHY					
Dividend Option Cash								
35 000	03/11/09	66 5000	2,327 50	123.0500	4,306 75	1,979 25	61 56	1 42%
ELECTRICITE DE FRANCE ADR			*Security Identifier* ECIFY					
Dividend Option Cash								
213 000	03/22/10	10 2800	2,189 68	8 3870	1,786 43	-403 25	44 99	2 51%
276 000	11/10/10	8 8480	2,442 08	8 3870	2,314 81	-127 27	58 30	2 51%
489.000	**Total**		**$4,631.76**		**$4,101.24**	**-$530.52**	**$103.29**	
FINMECCANICA SPA ADR			*Security Identifier* FINMY					
Dividend Option Cash								
113 000	05/14/10	5 8020	655 58	5.7050	644 67	-10 91	18 90	2 93%
258 000	05/19/10	5 6140	1,448 46	5.7050	1,471 89	23 43	43.16	2 93%
566 000	10/04/10	5 9030	3,340 87	5 7050	3,229 03	-111 84	94 67	2 93%
937.000	**Total**		**$5,444.91**		**$5,345.59**	**-$99.32**	**$156.73**	
FUJIFILM HLDGS CORP ADR 2 ORD			*Security Identifier* FUJIY					
ISIN#US35958N1072								
Dividend Option Cash								
161.000	03/10/09	18.1290	2,918 80	36 2000	5,828 20	2,909 40	37 67	0 64%
GAZPROM O A O SPON ADR REG S			*Security Identifier.* OGZPY					
RESTRICTION LIFTED ISIN#US3682872078								
Dividend Option Cash								
110 000	10/15/10	21 4430	2,358 74	25 0000	2,750 00	391 26	26 95	0 98%

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
GLAXOSMITHKLINE PLC SPONS ADR		*Security Identifier* GSK						
Dividend Option Cash								
76 000	01/08/10	41.1240	3,125 40	39 2200	2,980 72	-144 68	151 90	5 09%
62 000	01/14/10	42.0880	2,609 44	39 2200	2,431 64	-177 80	123 91	5 09%
138.000	**Total**		**$5,734.84**		**$5,412.36**	**-$322.48**	**$275.81**	
GOLD FIELDS LTD NEW SPONS ADR		*Security Identifier.* GFI						
Dividend Option. Cash								
308 000	03/10/09	10 5690	3,255 31	18 1300	5,584 04	2,328 73	49 00	0 87%
HACHIJUNI BK LTD ADR		*Security Identifier* HACBY						
Dividend Option Cash								
49 000	03/25/09	60 5000	2,964 50	55 9770	2,742 87	-221 63	31 02	1 13%
IMPALA PLTUM HLD LTD SPONSORED ADR		*Security Identifier* IMPUY						
REPSTEG 1/4 SH								
Dividend Option Cash								
85 000	03/12/09	13 5290	1,149 98	35.2130	2,993 11	1,843 13	42 89	1 43%
KAO CORP SPONSORED ADR REPSTG		*Security Identifier* KCRPY						
10 SHS COM								
Dividend Option Cash								
34 000	03/13/09	19 3280	657 16	26 9770	917 22	260 06	19 62	2 13%
60 000	04/23/09	20.1930	1,211 57	26 9770	1,618 62	407 05	34 63	2 13%
170.000	05/20/09	21 2910	3,619 54	26.9770	4,586 09	966 55	98 13	2 13%
264.000	**Total**		**$5,488.27**		**$7,121.93**	**$1,633.66**	**$152.38**	
KINROSS GOLD CORP COM NO PAR		*Security Identifier* KGC						
ISIN#CA4969024047								
Dividend Option Cash								
11 000	08/19/09	18 3920	202 31	19 0356	209 39	7 08	1 10	0 52%
139 000	08/20/09	18 6950	2,598 61	19 0356	2,645 95	47 34	13 90	0 52%
174 000	10/30/09	18 2640	3,177 90	19 0356	3,312.20	134 30	17 40	0 52%
45 000	08/18/10	15 4660	695 97	19 0356	856 60	160 63	4 50	0 52%
369.000	**Total**		**$6,674.79**		**$7,024.14**	**$349.35**	**$36.90**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
KOREA ELEC PWR CO SPONSORED ADR RPSTG			*Security Identifier* KEP					
ISIN#US5006311063 1/2 SHS								
Dividend Option Cash								
305 000	03/11/09	9.1690	2,796 63	13 5100	4,120 55	1,323 92		
50 000	11/23/10	12.3130	615 67	13 5100	675 50	59 83		
41 000	11/23/10	12.3000	504 30	13.5100	553 91	49 61		
396.000	**Total**		**$3,916.60**		**$5,349.96**	**$1,433.36**	**$0.00**	
MS&AD INS GROUP HLDGS ADR			*Security Identifier* MSADY					
ISIN#US5534911012								
Dividend Option. Cash								
184 000	03/11/09	10 4800	1,928 32	12 5450	2,308 28	379 96	45 49	1 97%
80 000	08/27/09	13 7810	1,102 45	12 5450	1,003 60	-98 85	19 78	1 97%
53 000	08/04/10	11 4370	606.16	12 5450	664 88	58 72	13 10	1 97%
141 000	08/12/10	11 0640	1,559 98	12.5450	1,768 85	208 87	34 85	1.97%
458.000	**Total**		**$5,196.91**		**$5,745.61**	**$548.70**	**$113.22**	
MAGNA INTERNATIONAL INC COM			*Security Identifier* MGA					
ISIN#CA5592224011								
Dividend Option Cash								
27 000	03/10/09	10.2040	275 52	52 0000	1,404 00	1,128 48		
NEWCREST MINING LTD ADR			*Security Identifier* NCMGY					
Dividend Option Cash								
96 000	08/10/09	25 1140	2,410 99	41 4530	3,979 49	1,568.50	20 79	0 52%
39 000	05/28/10	27.3680	1,067 35	41.4530	1,616 67	549 32	8 44	0 52%
135.000	**Total**		**$3,478.34**		**$5,596.16**	**$2,117.82**	**$29.23**	
NEXEN INC COM SHS			*Security Identifier* NXY					
ISIN#CA65334H1029								
Dividend Option Cash								
44 000	03/10/09	13 8700	610 28	22.9000	1,007 60	397 32		
149 000	10/28/09	21.9550	3,271.35	22.9000	3,412 10	140 75		
70 000	05/18/10	21.4630	1,502 42	22 9000	1,603 00	100 58		
15 000	10/07/10	20 7320	310 98	22 9000	343 50	32 52		
10 000	10/08/10	20.6990	206 99	22 9000	229 00	22 01		
18 000	10/20/10	21 6430	389 58	22 9000	412 20	22 62		
306.000	**Total**		**$6,291.60**		**$7,007.40**	**$715.80**	**$0.00**	
NINTENDO LTD ADR			*Security Identifier* NTDOY					
Dividend Option Cash								
68 000	08/27/09	32 4830	2,208 87	36.7270	2,497 44	288 57	68 70	2 75%
47 000	10/04/10	31 7240	1,491 01	36.7270	1,726 17	235 16	47.49	2 75%
115.000	**Total**		**$3,699.88**		**$4,223.61**	**$523.73**	**$116.19**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NIPPON TELEG & TELEPHONE CORP		*Security Identifier* NTT						
SPONSORED ADR								
Dividend Option Cash								
102 000	03/10/09	19 5600	1,995 11	22 9400	2,339 88	344 77	64 91	2 77%
310 000	04/14/09	19 3420	5,995 93	22 9400	7,111 40	1,115 47	197 28	2 77%
412.000	**Total**		**$7,991.04**		**$9,451.28**	**$1,460.24**	**$262.19**	
3NOKIA CORP SPONSORED ADR		*Security Identifier* NOK						
Dividend Option Cash								
106 000	12/03/08	13 1260	1,391 39	10 3200	1,093 92	-297 47	37 20	3 40%
10 000	01/21/09	13 2610	132 61	10 3200	103 20	-29 41	3 51	3 40%
73 000	07/20/09	13 1090	956 93	10 3200	753 36	-203 57	25 62	3 40%
225 000	11/18/09	13 9500	3,138 86	10 3200	2,322 00	-816 86	78 97	3 40%
83 000	04/30/10	12 1600	1,009 28	10 3200	856 56	-152 72	29 13	3 40%
50 000	05/24/10	10 0750	503 76	10 3200	516 00	12 24	17 56	3 40%
547.000	**Total**		**$7,132.83**		**$5,645.04**	**-$1,487.79**	**$191.99**	
PANASONIC CORP ADR		*Security Identifier* PC						
ISIN#US69832A2050								
Dividend Option Cash								
204 000	03/10/09	11 1280	2,270 11	14 1000	2,876 40	606 29	21 49	0 74%
74 000	09/27/10	13 7700	1,018 96	14 1000	1,043 40	24 44	7 80	0 74%
278.000	**Total**		**$3,289.07**		**$3,919.80**	**$630.73**	**$29.29**	
ROHM CO LTD ADR		*Security Identifier* ROHCY						
Dividend Option Cash								
54 000	03/11/09	22 8000	1,231.20	32 6740	1,764 39	533 19	35 36	2 00%
72 000	10/29/10	31 1330	2,241 60	32 6740	2,352 53	110 93	47 15	2 00%
126.000	**Total**		**$3,472.80**		**$4,116.92**	**$644.12**	**$82.51**	
ROYAL DUTCH SHELL PLC SPONSORED ADR		*Security Identifier* RDS B						
RESPTG B SHS								
Dividend Option Cash								
106 000	03/12/09	43 6800	4,630 08	66 6700	7,067 02	2,436 94	356.16	5 03%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SK TELECOM LTD SPONSORED ADR			*Security Identifier.* SKM					
ISIN#US78440P1084								
Dividend Option Cash								
307.000	03/10/09	13.5360	4,155.56	18.6300	5,719.41	1,563.85	215.50	3.76%
92.000	05/20/09	15.9110	1,463.78	18.6300	1,713.96	250.18	64.58	3.76%
33.000	08/20/09	15.7940	521.21	18.6300	614.79	93.58	23.16	3.76%
432.000	**Total**		**$6,140.55**		**$8,048.16**	**$1,907.61**	**$303.24**	
³SANOFI AVENTIS SPONS ADR			*Security Identifier* SNY					
ISIN#US80105N1054								
Dividend Option Cash								
221.000	09/15/08	35.0700	7,750.36	32.2300	7,122.83	-627.53	243.61	3.42%
10.000	09/16/08	34.1600	341.60	32.2300	322.30	-19.30	11.02	3.42%
231.000	**Total**		**$8,091.96**		**$7,445.13**	**-$646.83**	**$254.63**	
SEKISUI HOUSE LTD SPONSORED ADR			*Security Identifier.* SKHSY					
Dividend Option Cash								
409.000	03/11/09	6.6000	2,699.40	10.1230	4,140.31	1,440.91	50.81	1.22%
SEVEN & I HLDGS CO LTD ADR			*Security Identifier* SVNDY					
ISIN#US81783H1059								
Dividend Option Cash								
20.000	03/11/09	41.2000	824.00	53.3700	1,067.40	243.40	23.06	2.16%
34.000	04/13/09	42.7810	1,454.54	53.3700	1,814.58	360.04	39.21	2.16%
78.000	06/04/09	47.3900	3,696.43	53.3700	4,162.86	466.43	89.95	2.16%
132.000	**Total**		**$5,974.97**		**$7,044.84**	**$1,069.87**	**$152.22**	
SHISEIDO LTD SPON ADR			*Security Identifier:* SSDOY					
Dividend Option Cash								
92.000	03/11/09	13.5000	1,242.00	21.8730	2,012.32	770.32	45.18	2.24%
167.000	03/31/09	14.7860	2,469.31	21.8730	3,652.79	1,183.48	82.01	2.24%
259.000	**Total**		**$3,711.31**		**$5,665.11**	**$1,953.80**	**$127.19**	
SIEMENS A G SPONSORED ADR			*Security Identifier* SI					
ISIN#US8261975010								
Dividend Option Cash								
45.000	03/10/09	53.2300	2,395.35	124.2500	5,591.25	3,195.90	73.43	1.31%
³SOCIETE GENERALE FRANCE SPON ADR			*Security Identifier* SCGLY					
Dividend Option Cash								
20.000	09/15/08	16.3300	326.60	10.7910	215.82	-110.78	1.02	0.47%
150.000	09/16/08	16.1000	2,415.00	10.7910	1,618.65	-796.35	7.62	0.47%
87.000	05/17/10	8.8380	768.92	10.7910	938.82	169.90	4.42	0.47%
257.000	**Total**		**$3,510.52**		**$2,773.29**	**-$737.23**	**$13.06**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
STATOIL ASA SPONSORED ADR			*Security Identifier* STO					
ISIN#US85771P1021								
Dividend Option Cash								
49 000	11/09/10	21 2890	1,043.17	23 7700	1,164 73	121 56	38 18	3 27%
15 000	11/10/10	21 3890	320 84	23.7700	356 55	35 71	11 69	3 27%
30 000	11/10/10	21 3890	641 68	23 7700	713 10	71 42	23 38	3 27%
13 000	11/10/10	21 2970	276 86	23 7700	309 01	32 15	10 13	3 27%
20 000	11/10/10	21 2970	425 93	23 7700	475 40	49 47	15 58	3 27%
127.000	**Total**		**$2,708.48**		**$3,018.79**	**$310.31**	**$98.96**	
SUMITOMO TR & BKG LTD SPONSORED ADR			*Security Identifier* STBUY					
Dividend Option Cash								
639 000	03/11/09	3 0400	1,942 56	6 3130	4,034 01	2,091 45	65 26	1 61%
SUNCOR ENERGY INC NEW COM			*Security Identifier* SU					
ISIN#CA8672241079								
Dividend Option Cash								
151 000	05/21/10	28 9560	4,372 35	38 2900	5,781 79	1,409 44		
SWISSCOM SPON ADR			*Security Identifier* SCMWY					
Dividend Option. Cash								
130 000	03/10/09	27 9500	3,633 50	44 1050	5,733 65	2,100 15	193 55	3 37%
TAKEDA PHARMACEUTICAL CO			*Security Identifier* TKPYY					
LTD SPONSORED ADR ISIN#US8740602052								
Dividend Option Cash								
69 000	05/12/10	21 5490	1,486 88	24 6290	1,699 40	212 52	63 31	3 72%
TELECOM ITALIA S P A NEW SPON ADR REPSTG			*Security Identifier* TI A					
SVGS SHS ISIN#US87927Y2019								
Dividend Option Cash								
614 000	03/12/09	8 4370	5,180 19	10 9400	6,717.16	1,536 97	400 87	5 96%
TOYOTA MTR CO SPON ADR			*Security Identifier* TM					
Dividend Option Cash								
17 000	09/09/10	69 9160	1,188 58	78 6300	1,336 71	148 13	16 19	1 21%
10 000	09/13/10	70 8290	708 29	78 6300	786.30	78 01	9 52	1 21%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TOYOTA MTR CO SPON ADR *(continued)*								
24 000	10/20/10	71 6500	1,719 60	78 6300	1,887 12	167 52	22 86	1 21%
51.000	**Total**		**$3,616.47**		**$4,010.13**	**$393.66**	**$48.57**	
3VODAFONE GROUP PLC SPON ADR NEW			*Security Identifier.* VOD					
ISIN#US92857W2098								
Dividend Option Cash								
115 000	09/15/08	22 9600	2,640 40	26 4300	3,039 45	399 05	149 97	4 93%
20 000	09/16/08	23 0200	460 39	26 4300	528 60	68 21	26 08	4 93%
125 000	03/27/09	16 6990	2,087 43	26.4300	3,303 75	1,216 32	163 01	4 93%
260.000	**Total**		**$5,188.22**		**$6,871.80**	**$1,683.58**	**$339.06**	
WACOAL HLDGS CORP ADR			*Security Identifier* WACLY					
ISIN#US9300042051								
Dividend Option Cash								
78 000	03/10/09	54 7000	4,266 60	72 4900	5,654 22	1,387 62	76 95	1 36%
WOLTERS KLUWER N V SPON ADR			*Security Identifier* WTKWY					
Dividend Option Cash								
252 000	05/13/09	18 3500	4,624 27	22 0010	5,544 25	919 98	185 11	3 33%
Total Common Stocks			**$205,141.27**		**$264,434.56**	**$59,293.29**	**$5,460.21**	
Preferred Stocks *(Listed by expiration date)*								
EMBRAER S A SPONSORED ADR REPSTG			*Security Identifier.* ERJ					
PFD SHS ISIN#US29082A1079								
Dividend Option Cash								
35 000	11/03/09	20 1450	705 06	29.4000	1,029 00	323 94	5 98	0 58%
5 000	05/13/10	22 7620	113 81	29 4000	147 00	33 19	0 85	0 58%
51 000	05/14/10	22.5420	1,149 63	29 4000	1,499 40	349.77	8 71	0 58%
91.000	**Total**		**$1,968.50**		**$2,675.40**	**$706.90**	**$15.54**	
Total Preferred Stocks			**$1,968.50**		**$2,675.40**	**$706.90**	**$15.54**	
Total Equities			**$207,109.77**		**$267,109.96**	**$60,000.19**	**$5,475.75**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$218,836.39**	**$278,836.58**	**$60,000.19**	**$0.00**	**$5,475.82**

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing

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Asset Allocation Disclosure and Footnotes

NOTE: Unpriced securities in your account may cause the total brokerage account assets to be understated.

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	0 00	68,388 83	16,723.64
Long-Term Gain/Loss	1,423 96	19,318.24	98,908.54
Net Gain/Loss	**1,423.96**	**87,707.07**	**115,632.18**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www credit-suisse com

Portfolio Manager: SCOTT AND STRINGFELLOW, INC

Portfolio Investment Style: US EQUITY LARGE CAP VALUE

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 10.00% of Portfolio									
Cash Balance				192 00	165 00				
Money Market									
DREYFUS TREASURY & AGENCY INV									
52,875.710	12/01/10	0000005521	12/31/10	46,213.73	52,875.71	0.00	0 19	0 00%	0.00%
Total Money Market				**$46,213.73**	**$52,875.71**	**$0.00**	**$0.19**		
Total Cash, Money Funds, and FDIC Deposits				**$46,405.73**	**$53,040.71**	**$0.00**	**$0.19**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 90.00% of Portfolio								
Common Stocks								
5100,000THS KINDER MORGAN MGMT LLC SHS			*Security Identifier* EKE55U103					
Dividend Option: Cash								
15,048.000	05/18/09	N/A	Please Provide	N/A	N/A	N/A		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
100,000THS KINDER MORGAN MGMT LLC SHS *(continued)*								
99,117 000	08/14/09	N/A	Please Provide	N/A	N/A	N/A		
81,862.000	11/13/09	N/A	Please Provide	N/A	N/A	N/A		
67,979 000	02/12/10	N/A	Please Provide	N/A	N/A	N/A		
53,109 000	05/14/10	N/A	Please Provide	N/A	N/A	N/A		
77,897 000	08/13/10	N/A	Please Provide	N/A	N/A	N/A		
72,702 000	11/12/10	N/A	Please Provide	N/A	N/A	N/A		
467,714.000	**Total**		**N/A**		**$0.00**	**N/A**	**$0.00**	
ABBOTT LABS COM			*Security Identifier* ABT					
Dividend Option: Cash								
182 000	03/09/09	46 4970	8,462.51	47 9100	8,719.62	257.11	320 32	3 67%
130 000	05/19/09	43 0650	5,598.46	47 9100	6,228 30	629 84	228 80	3 67%
65 000	11/12/10	48 6400	3,161 57	47 9100	3,114 15	-47 42	114 40	3 67%
377.000	**Total**		**$17,222.54**		**$18,062.07**	**$839.53**	**$663.52**	
ARCHER DANIELS MIDLAND CO			*Security Identifier* ADM					
Dividend Option Cash								
295 000	04/06/10	28 3090	8,351 21	30 0800	8,873 60	522 39	177 00	1 99%
285 000	04/29/10	28 0840	8,003 88	30 0800	8,572 80	568.92	171 00	1 99%
580.000	**Total**		**$16,355.09**		**$17,446.40**	**$1,091.31**	**$348.00**	
3CHEVRON CORP NEW COM			*Security Identifier* CVX					
Dividend Option Cash								
53 000	10/28/08	68 3820	3,624 22	91 2500	4,836 25	1,212 03	152 64	3 15%
43 000	11/03/08	74 0570	3,184 44	91 2500	3,923.75	739 31	123 84	3 15%
33 000	11/21/08	65 4010	2,158 23	91.2500	3,011.25	853 02	95 04	3 15%
100 000	03/09/09	58 7180	5,871 81	91 2500	9,125 00	3,253.19	288 00	3 15%
229.000	**Total**		**$14,838.70**		**$20,896.25**	**$6,057.55**	**$659.52**	
CONOCOPHILLIPS COM			*Security Identifier* COP					
Dividend Option Cash								
165 000	05/05/10	56 4310	9,311 08	68 1000	11,236 50	1,925.42	363 00	3 23%
145 000	05/07/10	54 9200	7,963 36	68 1000	9,874 50	1,911 14	319 00	3 23%
310.000	**Total**		**$17,274.44**		**$21,111.00**	**$3,836.56**	**$682.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
DIAGEO PLC SPONSORED ADR NEW			*Security Identifier* DEO					
Dividend Option Cash								
254 000	03/09/09	41 6320	10,574 53	74 3300	18,879 82	8,305 29	600 37	3 17%
HUDSON CITY BANCORP INC			*Security Identifier* HCBK					
Dividend Option Cash								
700 000	05/13/10	13 2820	9,297 54	12 7400	8,918.00	-379 54	420 00	4 70%
580 000	05/18/10	13 0630	7,576 60	12.7400	7,389.20	-187.40	348 00	4 70%
1,280.000	**Total**		**$16,874.14**		**$16,307.20**	**-$566.94**	**$768.00**	
ILLINOIS TOOL WORKS INC COM			*Security Identifier* ITW					
Dividend Option: Cash								
220 000	08/25/10	40 9560	9,010.36	53 4000	11,748.00	2,737.64	299.20	2.54%
INTEL CORP COM			*Security Identifier.* INTC					
Dividend Option Cash								
740 000	03/09/09	12 9080	9,551 92	21 0300	15,562.20	6,010 28	466 20	2 99%
70.000	06/30/10	19 7900	1,385.30	21 0300	1,472.10	86.80	44 10	2.99%
810.000	**Total**		**$10,937.22**		**$17,034.30**	**$6,097.08**	**$510.30**	
KIMBERLY CLARK CORP			*Security Identifier* KMB					
Dividend Option: Cash								
17 000	09/11/08	63 9780	1,087.62	63 0400	1,071 68	-15 94	44 88	4 18%
260 000	03/09/09	43 5760	11,329.76	63 0400	16,390 40	5,060 64	686 40	4 18%
277.000	**Total**		**$12,417.38**		**$17,462.08**	**$5,044.70**	**$731.28**	
KINDER MORGAN MGMT LLC SHS			*Security Identifier* KMR					
Dividend Option. Cash								
322.000	03/09/09	31 3460	10,093.27	66 8800	21,535.36	11,442.09		
KONINKLIJKE PHILIPS ELECTRS N V			*Security Identifier* PHG					
SPONSORED ADR NEW 2000								
ISIN#US5004723038								
Dividend Option Cash								
388 000	10/27/10	30 6410	11,888 67	30 7000	11,911 60	22.93	307 53	2 58%
202.000	10/28/10	30.9890	6,259 82	30.7000	6,201.40	-58.42	160.10	2 58%
590.000	**Total**		**$18,148.49**		**$18,113.00**	**-$35.49**	**$467.63**	
KRAFT FOODS INC CL A			*Security Identifier* KFT					
Dividend Option Cash								
595 000	04/17/09	22 7720	13,549.22	31.5100	18,748.45	5,199.23	690 20	3 68%
LOCKHEED MARTIN CORP COM			*Security Identifier.* LMT					
Dividend Option Cash								
220 000	06/16/09	81 9130	18,020 91	69 9100	15,380 20	-2,640.71	660.00	4.29%

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MCDONALDS CORP			*Security Identifier* MCD					
Dividend Option Cash								
265 000	07/13/09	56 7480	15,038 22	76 7600	20,341.40	5,303 18	646 60	3 17%
NOVARTIS AG SPONSORED ADR			*Security Identifier* NVS					
Dividend Option Cash								
305 000	03/09/09	34 6500	10,568 21	58 9500	17,979 75	7,411 54	504 04	2 80%
OMNICOM GROUP INC COM			*Security Identifier* OMC					
Dividend Option Cash								
255 000	07/16/10	35 3920	9,024 99	45 8000	11,679 00	2,654 01	204 00	1.74%
PAYCHEX INC			*Security Identifier* PAYX					
Dividend Option Cash								
570 000	03/09/09	20 4780	11,672 46	30 9100	17,618 70	5,946.24	706 80	4 01%
PEARSON PLC SPONSORED ADR			*Security Identifier* PSO					
Dividend Option Cash								
1,185 000	03/09/09	8 6400	10,238 28	15 8900	18,829 65	8,591 37	641 83	3 40%
PEPSICO INC			*Security Identifier* PEP					
Dividend Option Cash								
275 000	03/09/09	46 3360	12,742.51	65 3300	17,965.75	5,223 24	528 00	2 93%
3PFIZER INC COM			*Security Identifier* PFE					
Dividend Option Cash								
121 000	11/03/08	17 8300	2,157 49	17 5100	2,118 71	-38.78	96 80	4.56%
205 000	01/14/09	17 1880	3,523 58	17 5100	3,589 55	65 97	164 00	4 56%
560 000	03/09/09	12 6580	7,088.70	17 5100	9,805 60	2,716 90	448 00	4 56%
190 000	05/20/10	15 3080	2,908 56	17 5100	3,326 90	418 34	152 00	4 56%
1,076.000	**Total**		**$15,678.33**		**$18,840.76**	**$3,162.43**	**$860.80**	
3PHILIP MORRIS INTL INC COM			*Security Identifier* PM					
Dividend Option Cash								
55 000	09/11/08	54 5300	2,999.15	58 5300	3,219 15	220 00	140 80	4 37%
88 000	12/05/08	42 1500	3,709 16	58 5300	5,150 64	1,441.48	225 28	4 37%
220 000	03/09/09	33 6890	7,411 62	58 5300	12,876 60	5,464 98	563 20	4 37%
363.000	**Total**		**$14,119.93**		**$21,246.39**	**$7,126.46**	**$929.28**	

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Equities *(continued)*									
Common Stocks *(continued)*									
TAIWAN SEMICONDUCTOR MFG CO SPONSORED			*Security Identifier* TSM						
ADR ISIN#US8740391003									
Dividend Option: Cash									
1,073 000	03/09/09	7 8890	8,464.75	12 5400	13,455 42	4,990 67		399 95	2 97%
110 000	02/25/10	9 6390	1,060.27	12 5400	1,379 40	319.13		41 00	2 97%
330 000	05/14/10	9 8150	3,239.05	12 5400	4,138 20	899 15		123 01	2 97%
1,513.000	**Total**		**$12,764.07**		**$18,973.02**	**$6,208.95**		**$563.96**	
TEXAS INSTRUMENTS INC			*Security Identifier* TXN						
Dividend Option Cash									
150 000	01/15/10	24 4420	3,666.23	32 5000	4,875 00	1,208 77		78 00	1 60%
465 000	01/22/10	24 0180	11,168.23	32.5000	15,112 50	3,944.27		241 80	1 60%
615.000	**Total**		**$14,834.46**		**$19,987.50**	**$5,153.04**		**$319.80**	
VERIZON COMMUNICATIONS COM			*Security Identifier* VZ						
Dividend Option: Cash									
110 000	09/16/09	28 5140	3,136.57	35.7800	3,935.80	799.23		214.50	5 44%
370.000	09/22/09	27 5930	10,209 56	35 7800	13,238 60	3,029 04		721 50	5 44%
20.000	02/25/10	26 9050	538 09	35.7800	715.60	177 51		39 00	5.44%
500.000	**Total**		**$13,884.22**		**$17,890.00**	**$4,005.78**		**$975.00**	
WASTE MGMT INC DEL COM			*Security Identifier* WM						
Dividend Option: Cash									
519 000	03/09/09	22 5380	11,697.43	36 8700	19,135.53	7,438.10		653 94	3.41%
Total Common Stocks			**$337,579.40**		**$453,211.58**	**$115,632.18**		**$14,614.07**	
Total Equities			**$337,579.40**		**$453,211.58**	**$115,632.18**		**$14,614.07**	
			Cost Basis		Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	
Total Portfolio Holdings			**$390,620.11**		**$506,252.29**	**$115,632.18**	**$0.00**	**$14,614.26**	

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

5 Unrealized gains and losses are not reported for securities for which cost basis or market value is not available.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

[redacted]

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	736.20	4,947.20	16,129.24
Long-Term Gain/Loss	5,799.85	18,260.34	69,707.73
Net Gain/Loss	**6,536.05**	**23,207.54**	**85,836.97**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www credit-suisse.com
Portfolio Manager: WESTEND ADVISORS LLC		**Portfolio Investment Style:** LARGE CAPITALIZATION CORE

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio									
Money Market									
DREYFUS TREASURY & AGENCY INV									
10,439.280	12/01/10	0000005529	12/31/10	11,521 48	10,439.28	0 00	0 05	0 00%	0 00%
Total Money Market				**$11,521.48**	**$10,439.28**	**$0.00**	**$0.05**		
Total Cash, Money Funds, and FDIC Deposits				**$11,521.48**	**$10,439.28**	**$0.00**	**$0.05**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 98.00% of Portfolio								
Common Stocks								
3AT&T INC COM			*Security Identifier* T					
Dividend Option: Cash								
130 000	05/05/06	25 4960	3,314 52	29 3800	3,819 40	504 88	218 40	5 71%
365 000	09/10/08	31 5480	11,515.20	29 3800	10,723 70	-791 50	613.20	5 71%
151 000	06/23/09	24 6200	3,717 62	29 3800	4,436.38	718 76	253 68	5 71%
168 000	06/08/10	24 7500	4,157 93	29 3800	4,935 84	777 91	282.24	5 71%
77 000	11/08/10	29 1180	2,242.12	29 3800	2,262.26	20.14	129 36	5.71%
891.000	**Total**		**$24,947.39**		**$26,177.58**	**$1,230.19**	**$1,496.88**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3AMERICAN TOWER CORP CL A			*Security Identifier* AMT					
Dividend Option· Cash								
412 000	09/10/08	38 4580	15,844 49	51 6400	21,275 68	5,431 19		
47.000	06/23/09	29.7890	1,400 09	51 6400	2,427 08	1,026 99		
32 000	11/08/10	52 1300	1,668 16	51 6400	1,652 48	-15 68		
491.000	**Total**		**$18,912.74**		**$25,355.24**	**$6,442.50**	**$0.00**	
3AMGEN INC COM			*Security Identifier* AMGN					
Dividend Option: Cash								
256 000	10/28/08	58 0550	14,861 98	54 9000	14,054 40	-807.58		
105 000	06/23/09	51 0500	5,360 23	54 9000	5,764 50	404 27		
61 000	06/08/10	53 0880	3,238 38	54 9000	3,348.90	110.52		
55 000	11/08/10	55 0080	3,025.44	54 9000	3,019 50	-5 94		
477.000	**Total**		**$26,486.03**		**$26,187.30**	**-$298.73**	**$0.00**	
3AUTODESK INC COM			*Security Identifier* ADSK					
Dividend Option: Cash								
405 000	09/10/08	33 6380	13,623.39	38 2000	15,471 00	1,847 61		
68 000	10/28/08	21 2870	1,447 49	38 2000	2,597 60	1,150 11		
210 000	06/23/09	19 7800	4,153 76	38 2000	8,022 00	3,868 24		
683.000	**Total**		**$19,224.64**		**$26,090.60**	**$6,865.96**	**$0.00**	
BED BATH & BEYOND INC			*Security Identifier* BBBY					
Dividend Option Cash								
560 000	01/26/10	39 4020	22,065 08	49 1500	27,524 00	5,458 92		
3BEST BUY COMPANY INC			*Security Identifier* BBY					
Dividend Option Cash								
308 000	09/10/08	45 4000	13,983 20	34 2900	10,561.32	-3,421.88	184 80	1 74%
278 000	10/28/08	23 0470	6,407.04	34 2900	9,532 62	3,125.58	166 80	1 74%
586.000	**Total**		**$20,390.24**		**$20,093.94**	**-$296.30**	**$351.60**	
3CELGENE CORP			*Security Identifier* CELG					
Dividend Option Cash								
258 000	10/28/08	56 9900	14,703 34	59 1400	15,258.12	554.78		
140 000	06/23/09	46 9100	6,567 37	59 1400	8,279 60	1,712 23		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CELGENE CORP *(continued)*								
24 000	06/08/10	52 0990	1,250.37	59 1400	1,419 36	168 99		
422.000	**Total**		**$22,521.08**		**$24,957.08**	**$2,436.00**	**$0.00**	
3CISCO SYSTEMS INC			*Security Identifier* CSCO					
Dividend Option. Cash								
1,119 000	09/10/08	23 0600	25,804 14	20 2300	22,637 37	-3,166.77		
51 000	06/23/09	18 4880	942 90	20.2300	1,031.73	88.83		
159 000	12/02/10	19 1600	3,046 44	20 2300	3,216 57	170 13		
1,329.000	**Total**		**$29,793.48**		**$26,885.67**	**-$2,907.81**	**$0.00**	
3COACH INC COM			*Security Identifier* COH					
Dividend Option: Cash								
448 000	10/28/08	17 7880	7,969.03	55.3100	24,778 88	16,809 85	268 80	1 08%
3COSTCO WHOLESALE CORP NEW COM			*Security Identifier.* COST					
Dividend Option. Cash								
207 000	10/28/08	54 3070	11,241.46	72.2100	14,947.47	3,706.01	169.74	1.13%
150 000	06/23/09	45 1600	6,774 00	72.2100	10,831.50	4,057.50	123 00	1.13%
13.000	11/08/10	64 4990	838 49	72 2100	938.73	100.24	10 66	1 13%
370.000	**Total**		**$18,853.95**		**$26,717.70**	**$7,863.75**	**$303.40**	
3INTEL CORP COM			*Security Identifier* INTC					
Dividend Option: Cash								
1,129 000	09/10/08	20.2300	22,839 67	21 0300	23,742 87	903.20	711 27	2 99%
48 000	06/23/09	15 7880	757.82	21 0300	1,009 44	251.62	30.24	2 99%
1,177.000	**Total**		**$23,597.49**		**$24,752.31**	**$1,154.82**	**$741.51**	
3INTERNATIONAL BUSINESS MACHS CORP			*Security Identifier.* IBM					
COM								
Dividend Option: Cash								
174 000	09/10/08	118 5380	20,625 65	146 7600	25,536 24	4,910 59	452 40	1.77%
1 000	11/08/10	146 0700	146.07	146 7600	146 76	0 69	2 60	1 77%
175.000	**Total**		**$20,771.72**		**$25,683.00**	**$4,911.28**	**$455.00**	
JOHNSON CTLS INC COM			*Security Identifier* JCI					
Dividend Option Cash								
654 000	11/08/10	37 0230	24,213.04	38 2000	24,982 80	769.76	418 56	1 67%
3LOWES COS INC COM			*Security Identifier* LOW					
Dividend Option: Cash								
820 000	09/10/08	25 3200	20,762.40	25 0800	20,565 60	-196.80	360 80	1 75%
79 000	06/23/09	18 3400	1,448.86	25 0800	1,981.32	532.46	34.76	1 75%
128 000	11/08/10	22 1900	2,840.29	25 0800	3,210 24	369.95	56.32	1 75%
1,027.000	**Total**		**$25,051.55**		**$25,757.16**	**$705.61**	**$451.88**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3NIKE INC CLASS B			*Security Identifier* NKE					
Dividend Option Cash								
291 000	10/28/08	48 7800	14,194 92	85 4200	24,857 22	10,662 30	360 84	1 45%
3ORACLE CORP COM			*Security Identifier* ORCL					
Dividend Option. Cash								
741 000	09/14/07	20 0960	14,891 14	31 3000	23,193.30	8,302 16	148 20	0 63%
70 000	09/10/08	19 2500	1,347.50	31.3000	2,191.00	843.50	14 00	0 63%
103 000	06/08/10	21 4280	2,207 08	31 3000	3,223 90	1,016 82	20 60	0 63%
914.000	**Total**		**$18,445.72**		**$28,608.20**	**$10,162.48**	**$182.80**	
3QUALCOMM INC			*Security Identifier* QCOM					
Dividend Option Cash								
27 000	08/02/07	41 8000	1,128 60	49 4900	1,336.23	207 63	20 52	1 53%
133 000	02/27/08	43 2180	5,747 93	49 4900	6,582 17	834 24	101.08	1 53%
85 000	09/10/08	47 1300	4,006.05	49 4900	4,206 65	200.60	64 60	1 53%
281 000	06/08/10	34 9080	9,809 15	49 4900	13,906 69	4,097 54	213 56	1 53%
526.000	**Total**		**$20,691.73**		**$26,031.74**	**$5,340.01**	**$399.76**	
3RESEARCH IN MOTION LTD COM			*Security Identifier* RIMM					
ISIN#CA7609751028								
Dividend Option Cash								
42 000	09/10/08	105 1860	4,417 83	58 1300	2,441.46	-1,976 37		
215 000	10/28/08	43 2980	9,309 03	58 1300	12,497 95	3,188 92		
151 000	06/08/10	57 4290	8,671 71	58 1300	8,777 63	105 92		
408.000	**Total**		**$22,398.57**		**$23,717.04**	**$1,318.47**	**$0.00**	
3TARGET CORP			*Security Identifier* TGT					
Dividend Option Cash								
322 000	09/10/08	56 9100	18,325.02	60 1300	19,361 86	1,036 84	322 00	1 66%
40 000	10/28/08	35 1200	1,404 80	60 1300	2,405 20	1,000 40	40 00	1 66%
22 000	06/23/09	38 3880	844 54	60 1300	1,322 86	478 32	22 00	1 66%
48 000	11/08/10	54 7590	2,628 43	60 1300	2,886 24	257 81	48 00	1 66%
432.000	**Total**		**$23,202.79**		**$25,976.16**	**$2,773.37**	**$432.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3VERIZON COMMUNICATIONS COM		*Security Identifier:* VZ						
Dividend Option: Cash								
424 000	09/10/08	32 6510	13,843.99	35 7800	15,170 72	1,326.73	826 80	5 44%
54 000	06/23/09	28 7010	1,549.83	35 7800	1,932.12	382.29	105 30	5 44%
258 000	06/08/10	25 7380	6,640.47	35 7800	9,231.24	2,590.77	503 10	5.44%
49 000	11/08/10	33 0300	1,618.47	35 7800	1,753.22	134.75	95 55	5 44%
785.000	**Total**		**$23,652.76**		**$28,087.30**	**$4,434.54**	**$1,530.75**	
Total Common Stocks			**$427,383.95**		**$513,220.92**	**$85,836.97**	**$7,393.78**	
Total Equities			**$427,383.95**		**$513,220.92**	**$85,836.97**	**$7,393.78**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$437,823.23**	**$523,660.20**	**$85,836.97**	**$0.00**	**$7,393.83**

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement The right to vote your

[redacted]

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	5,235.69	17,724.74	12,275.77
Long-Term Gain/Loss	26,632.90	57,744 97	48,952 12
Net Gain/Loss	**31,868.59**	**75,469.71**	**61,227.89**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www credit-suisse com -

Portfolio Manager: SCHAFER CULLEN CAPITAL MANAGEMENT

Portfolio Investment Style: INTERNATIONAL HIGH DIVIDEND (ADR)

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 3.00% of Portfolio									
Cash Balance				0 00	105.56				
Money Market									
DREYFUS TREASURY & AGENCY INV									
7,217.420	12/01/10	0000005526	12/31/10	11,791.86	7,217.42	0.00	0 06	0.00%	0 00%
Total Money Market				**$11,791.86**	**$7,217.42**	**$0.00**	**$0.06**		
Total Cash, Money Funds, and FDIC Deposits				**$11,791.86**	**$7,322.98**	**$0.00**	**$0.06**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 97.00% of Portfolio								
Common Stocks								
ABB LTD SPONSORED ADR			*Security Identifier* ABB					
Dividend Option: Cash								
90 000	03/11/09	13 1490	1,183 38	22 4500	2,020.50	837.12	42.65	2.11%
130 000	02/16/10	18.2760	2,375 85	22 4500	2,918 50	542.65	61.61	2.11%
130 000	02/17/10	18 4440	2,397 66	22 4500	2,918 50	520 84	61 61	2.11%
350.000	**Total**		**$5,956.89**		**$7,857.50**	**$1,900.61**	**$165.87**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS			*Security Identifier* AZSEY					
Dividend Option Cash								
340 000	07/09/09	8 9400	3,039 71	11 9340	4,057 56	1,017.85	129 81	3 19%
ASTRAZENECA PLC SPONSORED ADR			*Security Identifier* AZN					
Dividend Option Cash								
40 000	03/10/09	30 6470	1,225.87	46 1900	1,847 60	621.73	96 40	5 21%
BAE SYS PLC SPONSORED ADR			*Security Identifier* BAESY					
ISIN#US05523R1077								
Dividend Option. Cash								
320 000	05/12/10	21 1000	6,751 90	20 6670	6,613 44	-138 46	305 18	4 61%
BNP PARIBAS SPONSORED ADR REPSTG			*Security Identifier* BNPQY					
25 SHS								
Dividend Option Cash								
140 000	05/04/10	31 9770	4,476 84	31.9350	4,470.90	-5 94	96 61	2 16%
20 000	06/02/10	28 2390	564 77	31 9350	638.70	73 93	13 80	2 16%
40 000	06/08/10	24.9450	997 80	31 9350	1,277.40	279.60	27 60	2.16%
30 000	06/09/10	25 2640	757 91	31 9350	958 05	200 14	20 70	2 16%
230.000	**Total**		**$6,797.32**		**$7,345.05**	**$547.73**	**$158.71**	
BAYER AG SPONSORED ADR			*Security Identifier* BAYRY					
Dividend Option Cash								
80 000	08/24/09	63 2440	5,059 51	73 8520	5,908 16	848 65	216 92	3 67%
10 000	11/15/10	76 4610	764.61	73 8520	738 52	-26 09	27 12	3 67%
90.000	**Total**		**$5,824.12**		**$6,646.68**	**$822.56**	**$244.04**	
BHP BILLITON LTD SPONSORED ADR			*Security Identifier* BHP					
ISIN#US0886061086								
Dividend Option Cash								
30 000	06/11/10	64 6950	1,940 86	92 9200	2,787.60	846 74	52 20	1 87%
50 000	06/15/10	67 0500	3,352 51	92 9200	4,646 00	1,293 49	87 00	1 87%
10 000	12/03/10	88 4940	884 94	92 9200	929 20	44 26	17 40	1 87%
90.000	**Total**		**$6,178.31**		**$8,362.80**	**$2,184.49**	**$156.60**	

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
BOC HONG KONG HLDGS LTD SPONSORED ADR			*Security Identifier* BHKLY					
Dividend Option: Cash								
90 000	02/22/10	46 1340	4,152.06	68 0500	6,124 50	1,972.44	220 91	3 60%
BRITISH AMERN TOB PLC SPONSORED ADR			*Security Identifier* BTI					
ISIN#US1104481072								
Dividend Option Cash								
110 000	03/10/09	49.2300	5,415 30	77 7000	8,547 00	3,131.70	348 73	4 08%
CHUNGHWA TELECOM CO LTD SPONS ADR NEW			*Security Identifier* CHT					
2010 ISIN#US17133Q1067								
Dividend Option. Cash								
209 000	05/04/10	19 3380	4,041.64	25.2700	5,281 43	1,239.79	208 31	3 94%
31.000	05/05/10	19 0450	590.41	25.2700	783 37	192 96	30 90	3.94%
240.000	**Total**		**$4,632.05**		**$6,064.80**	**$1,432.75**	**$239.21**	
COMPANHIA SIDERURGICA NACIONAL			*Security Identifier* SID					
SPONSORED ADR REPSTG ORD SHS								
ISIN#US20440W1053								
Dividend Option: Cash								
440 000	03/20/09	7.5990	3,343.61	16 6700	7,334.80	3,991 19	253.43	3 45%
COMPANHIA ENERGETICA DE MINAS GERAIS ADR			*Security Identifier* CIG					
ISIN#US2044096012								
Dividend Option: Cash								
300 000	03/10/09	10.1730	3,051.92	16.5900	4,977.00	1,925 08	251.21	5 04%
DIAGEO PLC SPONSORED ADR NEW			*Security Identifier* DEO					
Dividend Option: Cash								
80 000	03/10/09	42 8120	3,424.96	74 3300	5,946 40	2,521.44	189 09	3 17%
10 000	05/04/10	67 0650	670.65	74 3300	743.30	72 65	23 64	3 17%
90.000	**Total**		**$4,095.61**		**$6,689.70**	**$2,594.09**	**$212.73**	
ENI SPA SPONSORED ADR			*Security Identifier* E					
Dividend Option: Cash								
60 000	03/10/09	33 9600	2,037.60	43 7400	2,624 40	586 80	111 93	4.26%
ENERPLUS RESOURCES FUND INC C/A EFF			*Security Identifier* ERF					
1/3/11 1 OLD= 1 NEW CU 292766102								
ENERPLUS CORPORATION								
Dividend Option: Cash								
180 000	03/10/09	14 1360	2,544 39	30 8400	5,551 20	3,006 81		
FOSTERS BREWING GRP LTD SPONS ADR NEW			*Security Identifier* FBRWY					
ISIN#US3502583079								
Dividend Option: Cash								
1,300 000	09/23/09	5 0750	6,598 02	5 8220	7,568.60	970.58	296 76	3.92%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
FRANCE TELECOM SPONSORED ADR			*Security Identifier* FTE					
Dividend Option Cash								
120 000	10/21/10	23 1940	2,783 23	21 0800	2,529 60	-253 63	177 28	7 00%
GDF SUEZ SPON ADR			*Security Identifier* GDFZY					
Dividend Option Cash								
150 000	12/16/09	42 3850	6,357 69	36 0200	5,403 00	-954 69	337 63	6 24%
³HSBC HLDGS PLC SPONS ADR NEW			*Security Identifier* HBC					
Dividend Option Cash								
110 000	04/08/09	18 4870	2,033 62	51 0400	5,614 40	3,580 78	187 00	3 33%
10 000	11/10/09	60 3170	603 17	51 0400	510 40	-92 77	17 00	3 33%
120.000	**Total**		**$2,636.79**		**$6,124.80**	**$3,488.01**	**$204.00**	
HOPEWELL HLDGS LTD SPON ADR			*Security Identifier* HOWWY					
Dividend Option Cash								
820 000	03/10/09	2 8000	2,296 00	3 1390	2,573 98	277 98	86 10	3 34%
MTN GROUP LTD SPONSORED ADR			*Security Identifier* MTNOY					
Dividend Option Cash								
280 000	12/22/10	19 7370	5,526 47	20 3180	5,689 04	162 57	121 60	2 13%
MUNICH RE GROUP ADR			*Security Identifier* MURGY					
ISIN#US6261881063								
Dividend Option Cash								
450 000	06/05/09	13 9700	6,286 50	15 2120	6,845 40	558 90	242 25	3 53%
NESTLE SA SPONSORED ADRS REGISTERED			*Security Identifier* NSRGY					
Dividend Option Cash								
150 000	03/10/09	31 5700	4,735 50	58 7380	8,810 70	4,075 20	134 49	1.52%
NOVARTIS AG SPONSORED ADR			*Security Identifier* NVS					
Dividend Option Cash								
150 000	06/02/09	39 9200	5,987 95	58 9500	8,842 50	2,854 55	247 89	2 80%
PETROCHINA CO LTD SPONS ADR			*Security Identifier* PTR					
ISIN#US71646E1001								
Dividend Option Cash								
15 000	03/10/09	70 9570	1,064 35	131 4900	1,972 35	908 00	57 06	2 89%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
PETROCHINA CO LTD SPONS ADR *(continued)*								
20 000	11/09/09	132 9960	2,659 91	131 4900	2,629 80	-30.11	76 08	2 89%
15 000	05/04/10	111.9720	1,679.58	131 4900	1,972 35	292.77	57 06	2 89%
50.000	**Total**		**$5,403.84**		**$6,574.50**	**$1,170.66**	**$190.20**	
³RWE AG SPONSORED ADR			*Security Identifier* RWEOY					
Dividend Option Cash								
40 000	06/01/06	84 5000	3,380 00	67 0910	2,683 64	-696.36	136 65	5 09%
ROCHE HLDGS LTD SPONSORED ADR			*Security Identifier.* RHHBY					
ISIN#US7711951043								
Dividend Option Cash								
150 000	07/12/10	35.5010	5,325.09	36 7450	5,511.75	186 66	173 92	3.15%
ROYAL DUTCH SHELL PLC SPONSORED ADR			*Security Identifier* RDS B					
RESPTG B SHS								
Dividend Option: Cash								
70 000	10/15/10	61 9440	4,336 09	66 6700	4,666 90	330 81	235.20	5 03%
60 000	11/15/10	65.1100	3,906 61	66 6700	4,000.20	93.59	201 60	5.03%
130.000	**Total**		**$8,242.70**		**$8,667.10**	**$424.40**	**$436.80**	
SIEMENS A G SPONSORED ADR			*Security Identifier* SI					
ISIN#US8261975010								
Dividend Option Cash								
40 000	01/29/10	91 0570	3,642.28	124.2500	4,970 00	1,327.72	65.27	1.31%
30 000	02/08/10	85 4750	2,564.24	124.2500	3,727.50	1,163.26	48 96	1 31%
70.000	**Total**		**$6,206.52**		**$8,697.50**	**$2,490.98**	**$114.23**	
SILICONWARE PRECISION INDS LTD			*Security Identifier* SPIL					
SPONSORED ADR SPIL ISIN#US8270848646								
Dividend Option: Cash								
720 000	04/27/09	6 4030	4,610 02	5 9500	4,284 00	-326 02	219 00	5.11%
SINGAPORE TELECOMMUNICATIONS			*Security Identifier* SGAPY					
LTD SPONSORED ADR NEW 2006								
Dividend Option Cash								
110.000	03/10/09	16.1500	1,776.50	23 8090	2,618.99	842.49	117.39	4 48%
SINGAPORE AIRLS LTD ADR			*Security Identifier* SINGY					
Dividend Option: Cash								
210 000	11/19/10	24 8550	5,219 63	23 8870	5,016.27	-203.36	90 91	1.81%
³TAIWAN SEMICONDUCTOR MFG CO SPONSORED			*Security Identifier:* TSM					
ADR ISIN#US8740391003								
Dividend Option. Cash								
198.250	05/06/08	11 0550	2,191.73	12 5400	2,486 06	294.33	73 90	2.97%

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
650 California Street
31st Floor
San Francisco CA 94108
(800) 227-4492

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Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TAIWAN SEMICONDUCTOR MFG CO SPONSORED *(continued)*								
351 750	05/07/08	11 0290	3,879 35	12 5400	4,410 94	531 59	131 11	2 97%
550.000	**Total**		**$6,071.08**		**$6,897.00**	**$825.92**	**$205.01**	
TELEFONICA S A ADR SPONS ADR			*Security Identifier* TEF					
ISIN#US8793822086								
Dividend Option Cash								
60 000	04/14/09	59 8580	3,591 45	68.4200	4,105 20	513 75	250 54	6.10%
30 000	06/24/09	66 4480	1,993 45	68 4200	2,052 60	59 15	125 27	6 10%
90.000	**Total**		**$5,584.90**		**$6,157.80**	**$572.90**	**$375.81**	
TESCO PLC SPON ADR			*Security Identifier* TSCDY					
ISIN#US8815753020								
Dividend Option Cash								
70 000	08/24/10	19 0020	1,330 13	19 9620	1,397.34	67 21	40 59	2.90%
330 000	08/25/10	19 0020	6,270 63	19 9620	6,587.46	316.83	191 33	2 90%
400.000	**Total**		**$7,600.76**		**$7,984.80**	**$384.04**	**$231.92**	
3TOTAL S A SPONSORED ADR			*Security Identifier* TOT					
Dividend Option Cash								
50 000	01/17/07	66 6310	3,331.54	53.4800	2,674 00	-657.54	124 15	4 64%
80 000	05/04/10	51 7620	4,140.96	53 4800	4,278 40	137 44	198 65	4 64%
130.000	**Total**		**$7,472.50**		**$6,952.40**	**-$520.10**	**$322.80**	
UNILEVER NV NEW YORK SHS NEW			*Security Identifier* UN					
Dividend Option: Cash								
220 000	03/10/09	17 7000	3,893 98	31 4000	6,908 00	3,014 02	208 47	3 01%
UNITED OVERSEAS BK LTD SPON ADR			*Security Identifier* UOVEY					
Dividend Option. Cash								
230.000	03/10/09	11 0000	2,530 00	28 4140	6,535 22	4,005.22	194 19	2 97%
VERMILION ENERGY INC COM			*Security Identifier* VEMTF					
ISIN#CA9237251058								
Dividend Option· Cash								
60 000	03/10/09	18 9780	1,138 67	46 9350	2,816.10	1,677 43		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
VODAFONE GROUP PLC SPON ADR NEW			*Security Identifier* VOD					
ISIN#US92857W2098								
Dividend Option: Cash								
240 000	03/10/09	16 2000	3,888 00	26 4300	6,343 20	2,455 20	312 98	4.93%
60 000	06/17/09	19.3350	1,160 11	26 4300	1,585 80	425 69	78 25	4.93%
300.000	**Total**		**$5,048.11**		**$7,929.00**	**$2,880.89**	**$391.23**	
ZURICH FINL SVCS SPONS ADR			*Security Identifier* ZFSVY					
ISIN#US98982M1071								
Dividend Option Cash								
260 000	05/10/10	22 1260	5,752.86	25 9840	6,755.84	1,002 98	319 52	4 72%
20 000	05/13/10	21 8940	437.88	25 9840	519 68	81 80	24 58	4.72%
280.000	**Total**		**$6,190.74**		**$7,275.52**	**$1,084.78**	**$344.10**	
Total Common Stocks			**$193,949.85**		**$248,541.24**	**$54,591.39**	**$8,495.39**	
Preferred Stocks *(Listed by expiration date)*								
ITAU UNIBANCO HLDG SA NS SPONSORED ADR			*Security Identifier.* ITUB					
REPSTG 500 PFD ISIN#US4655621062								
Dividend Option Cash								
100 000	04/30/09	12 6710	1,267.13	24 0100	2,401.00	1,133 87	8.23	0.34%
170 000	05/10/10	21.1250	3,591.20	24 0100	4,081 70	490.50	13 99	0 34%
30 000	10/07/10	25 0230	750 68	24 0100	720.30	-30.38	2 47	0 34%
300.000	**Total**		**$5,609.01**		**$7,203.00**	**$1,593.99**	**$24.69**	
Total Preferred Stocks			**$5,609.01**		**$7,203.00**	**$1,593.99**	**$24.69**	
Real Estate Investment Trusts								
PRIMARIS RETAIL REAL ESTATE INVT TR UNIT			*Security Identifier* PMZFF					
ISIN#CA74157U1093								
Dividend Option: Cash								
270 000	03/10/09	6 6310	1,790 50	19 5790	5,286 33	3,495 83		
RIOCAN REAL ESTATE INVESTMENT TR UNIT			*Security Identifier* RIOCF					
Dividend Option: Cash								
120 000	03/10/09	9 1550	1,098 60	22.0440	2,645.28	1,546 68		
Total Real Estate Investment Trusts			**$2,889.10**		**$7,931.61**	**$5,042.51**	**$0.00**	
Total Equities			**$202,447.96**		**$263,675.85**	**$61,227.89**	**$8,520.08**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$209,770.94**	**$270,998.83**	**$61,227.89**	**$0.00**	**$8,520.14**

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Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	439.39	132,448.17	19,635.16
Long-Term Gain/Loss	12,300 33	104,134.87	97,352 36
Net Gain/Loss	**12,739.72**	**236,583.04**	**116,987.52**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Relationship Manager: 33X
SANFORD M KATZ
PRIVATE BANKING USA
650 CALIFORNIA STREET 31ST FLOOR
SAN FRANCISCO CA 94108-2612

Contact Information
Telephone Number: (800) 227-4492
Fax Number: (415) 249-2060

Customer Service Information
Web Site: www credit-suisse.com

Portfolio Manager: CORNERSTONE INVESTMENT PARTNERS LLC
Portfolio Investment Style: LARGE CAP CORE

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 4.00% of Portfolio									
Money Market									
DREYFUS TREASURY & AGENCY INV									
26,718 870	12/01/10	0000005520	12/31/10	12,426.78	26,718 87	0 00	0 08	0 00%	0 00%
Total Money Market				**$12,426.78**	**$26,718.87**	**$0.00**	**$0.08**		
Total Cash, Money Funds, and FDIC Deposits				**$12,426.78**	**$26,718.87**	**$0.00**	**$0.08**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 96.00% of Portfolio								
Common Stocks								
PARTNERRE LTD BERMUDA FORMERLY PARTNERRE LTD ISIN#BMG6852T1053 Dividend Option Cash			*Security Identifier* PRE					
75 000	04/26/10	81.3080	6,098 13	80 3500	6,026.25	-71 88	165 00	2 73%
ACE LIMITED SHS ISIN#CH0044328745 Dividend Option Cash			*Security Identifier:* ACE					
65 000	06/23/09	42 8370	2,784.38	62.2500	4,046 25	1,261 87	85 80	2.12%
120.000	09/15/09	50.9620	6,115.44	62.2500	7,470 00	1,354.56	158.40	2 12%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ACE LIMITED SHS *(continued)*								
130 000	01/05/10	48 5680	6,313 83	62 2500	8,092 50	1,778 67	171 60	2 12%
70 000	03/19/10	52 0320	3,642.23	62.2500	4,357 50	715 27	92 40	2 12%
385.000	**Total**		**$18,855.88**		**$23,966.25**	**$5,110.37**	**$508.20**	
FLEXTRONICS INTL LTD ORD SHS			*Security Identifier* FLEX					
ISIN#SG9999000020								
Dividend Option. Cash								
2,010 000	03/09/09	2 0590	4,138 98	7 8500	15,778 50	11,639 52		
720 000	07/23/10	6 2510	4,500 92	7.8500	5,652 00	1,151 08		
2,730.000	**Total**		**$8,639.90**		**$21,430.50**	**$12,790.60**	**$0.00**	
AT&T INC COM			*Security Identifier:* T					
Dividend Option Cash								
655 000	03/09/09	22 4590	14,710 51	29 3800	19,243.90	4,533.39	1,100 40	5 71%
ADVANCE AUTO PTS INC COM			*Security Identifier* AAP					
Dividend Option Cash								
185 000	03/15/10	42 0600	7,781 17	66 1500	12,237 75	4,456 58	44 40	0 36%
100 000	03/19/10	42 7880	4,278 83	66 1500	6,615 00	2,336.17	24 00	0 36%
285.000	**Total**		**$12,060.00**		**$18,852.75**	**$6,792.75**	**$68.40**	
BRISTOL MYERS SQUIBB CO COM			*Security Identifier* BMY					
Dividend Option Cash								
345 000	04/29/09	19 0690	6,578 75	26 4800	9,135 60	2,556 85	441 60	4.83%
350 000	06/24/09	20 2000	7,069 97	26 4800	9,268 00	2,198 03	448 00	4 83%
695.000	**Total**		**$13,648.72**		**$18,403.60**	**$4,754.88**	**$889.60**	
CAPITAL ONE FINL CORP COM			*Security Identifier* COF					
Dividend Option Cash								
500 000	03/17/09	12 4170	6,208 54	42.5600	21,280 00	15,071.46	100 00	0 46%
CHEVRON CORP NEW COM			*Security Identifier* CVX					
Dividend Option Cash								
215 000	03/09/09	58 9340	12,670 77	91 2500	19,618 75	6,947 98	619 20	3 15%
60 000	11/12/10	85 3600	5,121.59	91 2500	5,475 00	353 41	172 80	3 15%
275.000	**Total**		**$17,792.36**		**$25,093.75**	**$7,301.39**	**$792.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CHUBB CORP			*Security Identifier* CB					
Dividend Option: Cash								
330 000	05/22/09	38 8570	12,822.70	59 6400	19,681 20	6,858 50	488 40	2.48%
CONOCOPHILLIPS COM			*Security Identifier* COP					
Dividend Option. Cash								
365 000	06/05/09	44 7500	16,333 68	68 1000	24,856.50	8,522.82	803 00	3.23%
EXELON CORP COM			*Security Identifier* EXC					
Dividend Option: Cash								
150 000	12/15/09	51 2260	7,683 89	41 6400	6,246 00	-1,437 89	315 00	5 04%
100 000	02/17/10	44.4550	4,445 53	41 6400	4,164 00	-281.53	210 00	5 04%
80 000	05/17/10	41.2220	3,297.75	41 6400	3,331.20	33.45	168 00	5 04%
330.000	**Total**		**$15,427.17**		**$13,741.20**	**-$1,685.97**	**$693.00**	
GAMESTOP CORP NEW CLASS A			*Security Identifier* GME					
Dividend Option Cash								
170 000	08/20/09	23 9990	4,079 90	22.8800	3,889.60	-190 30		
350 000	11/20/09	25 1870	8,815 47	22 8800	8,008 00	-807 47		
150 000	12/02/09	21 9200	3,287 97	22 8800	3,432 00	144 03		
400.000	01/07/10	20.7630	8,305.22	22 8800	9,152.00	846.78		
1,070.000	**Total**		**$24,488.56**		**$24,481.60**	**-$6.96**	**$0.00**	
GENERAL DYNAMICS CORP COM			*Security Identifier* GD					
Dividend Option. Cash								
100 000	10/30/09	62 9740	6,297.35	70 9600	7,096 00	798.65	168 00	2 36%
50.000	03/19/10	75 3280	3,766.39	70 9600	3,548 00	-218 39	84 00	2.36%
70 000	04/07/10	77.1240	5,398 69	70 9600	4,967.20	-431 49	117 60	2 36%
70 000	05/07/10	71 1510	4,980 55	70.9600	4,967.20	-13 35	117 60	2 36%
290.000	**Total**		**$20,442.98**		**$20,578.40**	**$135.42**	**$487.20**	
GOOGLE INC CL A			*Security Identifier* GOOG					
Dividend Option. Cash								
9 000	06/08/10	487 8500	4,390.65	593 9700	5,345.73	955 08		
11.000	07/16/10	461 7650	5,079.41	593 9700	6,533 67	1,454.26		
8 000	09/23/10	514 6100	4,116 88	593 9700	4,751 76	634 88		
4 000	11/18/10	596 7300	2,386.92	593 9700	2,375 88	-11.04		
32.000	**Total**		**$15,973.86**		**$19,007.04**	**$3,033.18**	**$0.00**	
INTEL CORP COM			*Security Identifier* INTC					
Dividend Option. Cash								
265 000	11/30/10	21 2000	5,618 00	21 0300	5,572 95	-45 05	166 95	2 99%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
INTERNATIONAL BUSINESS MACHS CORP			*Security Identifier* IBM					
COM								
Dividend Option Cash								
83 000	03/09/09	86 0990	7,146 23	146 7600	12,181 08	5,034 85	215.80	1 77%
40 000	04/19/10	131 5600	5,262 40	146 7600	5,870 40	608 00	104 00	1 77%
123.000	**Total**		**$12,408.63**		**$18,051.48**	**$5,642.85**	**$319.80**	
JOHNSON & JOHNSON COM			*Security Identifier* JNJ					
Dividend Option Cash								
183 000	04/22/09	51 2390	9,376 79	61 8500	11,318 55	1,941.76	395 28	3 49%
120 000	03/19/10	65 1100	7,813 19	61 8500	7,422 00	-391 19	259 20	3 49%
303.000	**Total**		**$17,189.98**		**$18,740.55**	**$1,550.57**	**$654.48**	
ELI LILLY & CO COM			*Security Identifier* LLY					
Dividend Option Cash								
40 000	03/09/09	27 8770	1,115 09	35 0400	1,401 60	286 51	78 40	5 59%
220 000	03/17/09	31 4920	6,928 28	35 0400	7,708 80	780 52	431 20	5 59%
300 000	09/28/09	33 2120	9,963 67	35 0400	10,512 00	548 33	588 00	5 59%
560.000	**Total**		**$18,007.04**		**$19,622.40**	**$1,615.36**	**$1,097.60**	
MCGRAW HILL COMPANIES INC			*Security Identifier* MHP					
Dividend Option Cash								
270 000	04/13/10	36 2480	9,786 99	36 4100	9,830 70	43 71	253 80	2 58%
140 000	06/16/10	30 5800	4,281 20	36 4100	5,097 40	816 20	131 60	2 58%
410.000	**Total**		**$14,068.19**		**$14,928.10**	**$859.91**	**$385.40**	
MERCK & CO INC NEW COM			*Security Identifier* MRK					
Dividend Option Cash								
195 000	06/11/10	34 6490	6,756 59	36 0400	7,027 80	271 21	296 40	4 21%
260 000	07/23/10	34 8470	9,060 30	36 0400	9,370 40	310 10	395.20	4 21%
30 000	09/23/10	36 6500	1,099 50	36 0400	1,081 20	-18 30	45 60	4 21%
110 000	11/29/10	34 5190	3,797 05	36 0400	3,964 40	167 35	167 20	4 21%
595.000	**Total**		**$20,713.44**		**$21,443.80**	**$730.36**	**$904.40**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MICROSOFT CORP COM			*Security Identifier* MSFT					
Dividend Option: Cash								
315 000	04/09/10	30 1180	9,487 11	27 9200	8,794 80	-692.31	201 60	2.29%
190 000	06/21/10	26 4900	5,033.03	27 9200	5,304 80	271.77	121 60	2.29%
100 000	11/29/10	25.1500	2,515 00	27 9200	2,792 00	277.00	64 00	2.29%
605.000	**Total**		**$17,035.14**		**$16,891.60**	**-$143.54**	**$387.20**	
MORGAN STANLEY COM NEW			*Security Identifier* MS					
Dividend Option Cash								
445 000	03/09/09	17 3890	7,738.17	27.2100	12,108 45	4,370.28	89 00	0.73%
190 000	05/12/10	27 3400	5,194 60	27.2100	5,169.90	-24 70	38 00	0.73%
90 000	10/15/10	25 3070	2,277 64	27.2100	2,448.90	171.26	18 00	0.73%
725.000	**Total**		**$15,210.41**		**$19,727.25**	**$4,516.84**	**$145.00**	
ORACLE CORP COM			*Security Identifier* ORCL					
Dividend Option: Cash								
913 000	03/09/09	14 6080	13,336.83	31 3000	28,576.90	15,240 07	182 60	0 63%
RESEARCH IN MOTION LTD COM			*Security Identifier* RIMM					
ISIN#CA7609751028								
Dividend Option Cash								
230 000	09/27/10	48 3310	11,116.08	58 1300	13,369 90	2,253 82		
ROYAL DUTCH SHELL PLC SPONSORED ADR			*Security Identifier* RDS A					
RESPTG A SHS								
Dividend Option Cash								
120 000	03/09/09	41.2900	4,954.76	66 7800	8,013 60	3,058 84	342 72	4.27%
170 000	09/04/09	55 8730	9,498 43	66 7800	11,352 60	1,854.17	485.52	4.27%
290.000	**Total**		**$14,453.19**		**$19,366.20**	**$4,913.01**	**$828.24**	
ST JUDE MED INC COM			*Security Identifier* STJ					
Dividend Option: Cash								
25 000	04/17/09	36 0580	901 45	42 7500	1,068 75	167.30		
240 000	06/23/09	40 9850	9,836 33	42 7500	10,260 00	423.67		
120 000	10/06/09	34.2780	4,113 40	42 7500	5,130 00	1,016 60		
385.000	**Total**		**$14,851.18**		**$16,458.75**	**$1,607.57**	**$0.00**	
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR			*Security Identifier* TEVA					
ISIN#US8816242098								
Dividend Option Cash								
225 000	05/20/09	45.2570	10,182 82	52.1300	11,729.25	1,546 43	150 11	1.27%
100 000	04/22/10	61 0290	6,102 91	52 1300	5,213 00	-889 91	66 72	1.27%
90 000	07/23/10	49 0620	4,415 58	52.1300	4,691 70	276.12	60 05	1.27%
70 000	12/03/10	49 1680	3,441 76	52 1300	3,649 10	207 34	46 70	1.27%
485.000	**Total**		**$24,143.07**		**$25,283.05**	**$1,139.98**	**$323.58**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
V F CORP			*Security Identifier* VFC					
Dividend Option Cash								
50 000	10/27/09	73 3540	3,667.68	86 1800	4,309.00	641 32	126 00	2 92%
100 000	01/27/10	72 4500	7,245.00	86 1800	8,618 00	1,373 00	252 00	2 92%
80 000	03/19/10	79 7150	6,377.19	86 1800	6,894 40	517 21	201 60	2 92%
230.000	**Total**		**$17,289.87**		**$19,821.40**	**$2,531.53**	**$579.60**	
WAL MART STORES INC			*Security Identifier* WMT					
Dividend Option. Cash								
45 000	08/21/09	51 6300	2,323.34	53 9300	2,426 85	103 51	54 45	2 24%
150 000	09/01/09	50 9300	7,639 43	53 9300	8,089 50	450 07	181 50	2 24%
150 000	11/05/09	51 0220	7,653 37	53 9300	8,089 50	436 13	181 50	2.24%
50 000	07/12/10	50 1500	2,507 49	53 9300	2,696 50	189 01	60 50	2 24%
395.000	**Total**		**$20,123.63**		**$21,302.35**	**$1,178.72**	**$477.95**	
WESTERN DIGITAL CORP DELAWARE			*Security Identifier* WDC					
Dividend Option: Cash								
130 000	04/13/10	40 3690	5,247 98	33 9000	4,407 00	-840 98		
110 000	05/07/10	37 7800	4,155 79	33 9000	3,729 00	-426 79		
120 000	06/11/10	33 8000	4,056 01	33 9000	4,068 00	11 99		
160 000	07/23/10	28 0200	4,483 13	33 9000	5,424 00	940 87		
80 000	09/17/10	26 7690	2,141 52	33 9000	2,712 00	570 48		
600.000	**Total**		**$20,084.43**		**$20,340.00**	**$255.57**	**$0.00**	
Total Common Stocks			**$459,152.10**		**$576,139.62**	**$116,987.52**	**$12,548.00**	
Total Equities			**$459,152.10**		**$576,139.62**	**$116,987.52**	**$12,548.00**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$485,870.97**	**$602,858.49**	**$116,987.52**	**$0.00**	**$12,548.08**

Disclosures and Other Information

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Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized
Short-Term Gain/Loss	2,689.15	43,626.65	16,737 76
Long-Term Gain/Loss	19,981.16	171,902 24	189,896 44
Net Gain/Loss	**22,670.31**	**215,528.89**	**206,634.20**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www credit-suisse com

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio									
Money Market									
DREYFUS TREASURY & AGENCY INV									
23,956 920	12/01/10	0000005561	12/31/10	20,120 19	23,956.92	0 00	0 11	0 00%	0 00%
Total Money Market				**$20,120.19**	**$23,956.92**	**$0.00**	**$0.11**		
Total Cash, Money Funds, and FDIC Deposits				**$20,120.19**	**$23,956.92**	**$0.00**	**$0.11**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 99.00% of Portfolio								
Mutual Funds								
³FIDELITY ADVISOR LEVERAGED COMPANY STOCK FUND CLASS A Open End Fund Dividend Option: Reinvest; Capital Gains Option: Reinvest			*Security Identifier* FLSAX					
9,284.135	09/10/08	31 8300	295,514.02	34 4100	319,467.08	23,953.06	547.76	0.17%
83 368	12/15/08	16 5400	1,378.91	34.4100	2,868 69	1,489.78	4 92	0 17%
11 634	12/31/08	16 8800	196 38	34 4100	400 33	203 95	0.69	0 17%
4,608.295	06/03/09	21 7000	100,000.00	34 4100	158,571.43	58,571 43	271 89	0 17%
94 097	Reinvestments to Date	27 7860	2,614.61	34 4100	3,237 88	623.27	5.55	0.17%
14,081.529	**Total**		**$399,703.92**		**$484,545.41**	**$84,841.49**	**$830.81**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
THE HARTFORD FLOATING RATE FUND			*Security Identifier* HFLAX					
CLASS A								
Open End Fund								
Dividend Option: Reinvest, Capital Gains Option Reinvest								
45,811 518	06/03/09	7 6400	350,000 00	8 8700	406,348 16	56,348 16	21,032 93	5 17%
5,820.722	01/12/10	8.5900	50,000 00	8 8700	51,629.81	1,629 81	2,672 40	5.17%
4,106 572	Reinvestments to Date	8.4930	34,875 28	8 8700	36,425 29	1,550 01	1,885 40	5 17%
55,738.812	**Total**		**$434,875.28**		**$494,403.26**	**$59,527.98**	**$25,590.74**	
3OPPENHEIMER INTL BOND CLASS A			*Security Identifier* OIBAX					
Open End Fund								
Dividend Option: Reinvest; Capital Gains Option: Reinvest								
55,417 599	09/10/08	6 1300	339,709.88	6 5600	363,539 45	23,829 57	14,935 98	4 10%
122 898	09/30/08	5 9600	732 47	6 5600	806.21	73.74	33 12	4 10%
221 861	10/31/08	5 5400	1,229 11	6 5600	1,455 41	226.30	59 80	4 10%
176 667	11/28/08	5 6800	1,003.47	6 5600	1,158 93	155 46	47 61	4 10%
1,131 356	12/30/08	5 9200	6,697 63	6 5600	7,421 70	724 07	304 92	4 10%
217.709	12/30/08	5 9200	1,288.84	6 5600	1,428.17	139.33	58 68	4 10%
208 637	12/30/08	5 9200	1,235.13	6 5600	1,368 66	133 53	56 23	4 10%
124 070	01/30/09	5 7000	707 20	6 5600	813 90	106 70	33 44	4 10%
134 114	02/27/09	5 4600	732 26	6 5600	879 79	147 53	36 15	4 10%
4,686.500	Reinvestments to Date	6 3500	29,757 59	6 5600	30,743 44	985.85	1,263 09	4 10%
62,441.411	**Total**		**$383,093.58**		**$409,615.66**	**$26,522.08**	**$16,829.02**	
OPPENHEIMER DEVELOPING MARKETS			*Security Identifier* ODMAX					
CLASS A								
Open End Fund								
Dividend Option: Reinvest, Capital Gains Option Reinvest								
7,698 888	12/10/10	35 0700	270,000.00	36 4700	280,778 45	10,778 45	376 24	0 13%
10 625	Reinvestments to Date	35 4110	376 24	36 4700	387.49	11 25	0 52	0 13%
7,709.513	**Total**		**$270,376.24**		**$281,165.94**	**$10,789.70**	**$376.76**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
PRINCIPAL HIGH YIELD II FUND			*Security Identifier* CPHYX					
CLASS A								
Open End Fund								
Dividend Option: Reinvest, Capital Gains Option. Reinvest								
21,459 227	06/05/09	6 9900	150 000 00	7 9700	171,030 04	21,030 04	14,097 23	8 24%
6,337 136	01/12/10	7 8900	50,000 00	7 9700	50,506 97	506.97	4,163 06	8.24%
3,939 213	Reinvestments to Date	7 7960	30,710 45	7 9700	31,395 53	685 08	2,587 79	8 24%
31,735.576	**Total**		**$230,710.45**		**$252,932.54**	**$22,222.09**	**$20,848.08**	
TEMPLETON GLOBAL BOND FUND CLASS A			*Security Identifier* TPINX					
Open End Fund								
Dividend Option: Reinvest, Capital Gains Option Reinvest								
5,368.189	01/12/10	13 0200	69,893 82	13 5900	72,953 69	3,059.87	3,113 55	4.26%
29,368.576	12/10/10	13 6200	400,000 00	13 5900	399,118 95	-881 05	17,033 77	4.26%
2,130 921	Reinvestments to Date	13.3310	28,407.18	13.5900	28,959 22	552 04	1,235 93	4.26%
36,867.686	**Total**		**$498,301.00**		**$501,031.85**	**$2,730.86**	**$21,383.25**	
Total Mutual Funds			**$2,217,060.47**		**$2,423,694.66**	**$206,634.20**	**$85,858.66**	
Total Mutual Funds			**$2,217,060.47**		**$2,423,694.66**	**$206,634.20**	**$85,858.66**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$2,241,017.39**	**$2,447,651.58**	**$206,634.20**	**$0.00**	**$85,858.77**

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

The Estimated Price as of Date only appears when the price date does not equal the statement date

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial

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Asset Allocation Disclosure and Footnotes

NOTE: Unpriced securities in your account may cause the total brokerage account assets to be understated

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	6,219 65	136,380 02	-37,733 67
Long-Term Gain/Loss	12,003 12	45,330 33	181,364 03
Net Gain/Loss	**18,222.77**	**181,710.35**	**143,630.36**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www credit-suisse com To report a lost or stolen Debit Card or check call (800) 547-7008, 24 hours a day, 7 days a week.

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 3.00% of Portfolio									
Cash Balance				3,205 86	0 00				
Money Market									
DREYFUS TREASURY & AGENCY INV									
215,366 000	12/01/10	0000005539	12/31/10	267,553.04	215,366 00	0 00	0 99	0 00%	0 00%
Total Money Market				**$267,553.04**	**$215,366.00**	**$0.00**	**$0.99**		
Total Cash, Money Funds, and FDIC Deposits				**$270,758.90**	**$215,366.00**	**$0.00**	**$0.99**		

CRECIT SUISSE SECUPITIES (USA) LLC
650 California Street
31st Floor
San Francisco CA 94104
(800) 227-4482

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 37.00% of Portfolio *(In Maturity Date Sequence)*									
U.S. Government Bonds									
3FEDERAL NATL MTG ASSN BENCHMARK NTS 5 000% 03/15/16 B/E DTD 02/16/06 1ST CPN DTE 03/15/06 CPN PMT SEMI ANNUALMoody Rating AAA S & P Rating AAA			*Security Identifier* 31359MH89						
202,000 000	07/10/08	102 7460	205,975.59	112 9730	228,205.46	22,229 87	2,973 89	10,100.00	4 42%
Original Cost Basis 207,547 93									
110,000 000	02/19/09	111 0320	119,218 43	112 9730	124,270 30	5,051 87	1,619 44	5,500 00	4 42%
Original Cost Basis 122,135 53									
312,000.000	**Total**		**$325,194.02**		**$352,475.76**	**$27,281.74**	**$4,593.33**	**$15,600.00**	
3FEDERAL NATL MTG ASSN DEB 5 250% 09/15/16 B/E DTD 08/17/06 1ST CPN DTE 09/15/06 CPN PMT SEMI ANNUALMoody Rating AAA S & P Rating AAA			*Security Identifier* 31359MW41						
160,000 000	12/17/08	116 6390	180,213 63	114 4810	183,169 60	2,955 97	2,473 34	8,400 00	4 58%
Original Cost Basis 186,622 40									
148,000 000	02/11/09	112 1990	162,024 89	114 4810	169,431 88	7,406 99	2,287 83	7,770 00	4 58%
Original Cost Basis 166,054 81									
308,000.000	**Total**		**$342,238.52**		**$352,601.48**	**$10,362.96**	**$4,761.17**	**$16,170.00**	
FEDERAL FARM CR BKS CONS SYSTEMWIDE BDS CONS BOND 3 750% 04/12/17 B/E DTD 04/12/10 CALLABLE 04/12/11Moody Rating AAA S & P Rating AAA			*Security Identifier* 31331JKG7						
250,000 000	04/21/10	100 9520	250,708 27	100 8270	252,067 50	1,359 23	2,057 29	9,375 00	3.71%
Original Cost Basis 252,380 00									
FEDERAL FARM CR BKS CONS SYSTEMWIDE BDS CONS SYSTEMWIDE BDS 5 150% 11/15/19 B/E DTD 11/15/07 1ST CPN DTE 05/15/08Moody Rating AAA S & P Rating AAA			*Security Identifier* 31331YEH9						
450,000.000	11/12/10	118 5090	532,271.20	111.2010	500,404 50	-31,866 70	2,961 25	23,175.00	4 63%
Original Cost Basis· 533,289 60									
Total U.S. Government Bonds 1,320,000.000			**$1,450,412.01**		**$1,457,549.24**	**$7,137.23**	**$14,373.04**	**$64,320.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities									
3FNMA GTD MTG PASS THRU CTFS			*Security Identifier* 31402DP79						
POOL # 725946 5 500% 11/01/34 B/E			*Factor* 0 30271426						
DTD 10/01/04 1ST CPN DTE 11/25/04									
267,000 000	01/20/09	103 4840	83,640 64	107 6340	86,994 87	3,354 23	370 45		
Original Cost Basis. 141,511 00									
3FNMA GTD MTG PASS THRU CTFS			*Security Identifier* 31416BLD8						
POOL # 995024 5 500% 08/01/37 B/E			*Factor* 0 59790902						
DTD 10/01/08 1ST CPN DTE 11/25/08									
491,000 000	01/21/09	103.2180	303,020 53	107 6340	315,984 72	12,964 19	1,345.54		
Original Cost Basis 489,830 82									
3FNMA GTD MTG PASS THRU CTFS			*Security Identifier* 31416BM96						
POOL # 995084 5 500% 08/01/37 B/E			*Factor* 0 62314658						
DTD 11/01/08 1ST CPN DTE 12/25/08									
241,000 000	01/27/09	103 0780	154,800 80	107 6340	161,642 94	6,842 14	688 32		
Original Cost Basis 241,064 81									
3FNMA GTD MTG PASS THRU CTFS			*Security Identifier* 31410GW90						
POOL # 889072 6 500% 12/01/37 B/E			*Factor* 0 36366311						
DTD 01/01/08 1ST CPN DTE 02/25/08									
478,000 000	05/07/08	103 6710	180,212 30	111.2230	193,340 02	13,127 72	941 58		
Original Cost Basis: 410,352 10									
3FNMA GTD MTG PASS THRU CTFS			*Security Identifier* 31415M2W4						
POOL # 984689 5 500% 07/01/38 B/E			*Factor* 0.57428364						
DTD 07/01/08 1ST CPN DTE 08/25/08									
334,000 000	07/23/08	96 9680	185,995 02	107.0550	205,342 98	19,347.96	879.13		
Original Cost Basis: 312,879 50									
Total Asset Backed Securities			**$907,669.29**		**$963,305.53**	**$55,636.24**	**$4,225.02**	**$0.00**	
Corporate Bonds									
1 3 5LEHMAN BROS HLDGS INC MTN LKD TO			*Security Identifier* 52523J438						
ASIAN CURRENCIES 0 000% 03/31/10 B/E									
DTD 03/31/08 MATURED									
10,000 000	03/26/08	1,039 5290	103,952 85	N/A	N/A	N/A	0 00		
Original Cost Basis: 103,952 85									
AT&T INC GLOBAL NT 4.850% 02/15/14 B/E			*Security Identifier* 00206RAQ5						
DTD 02/03/09 CALLABLE									
1ST CPN DTE 08/15/09 CPN PMT SEMI ANNUALMoody Rating A2 S & P Rating A-									
100,000 000	06/04/09	104 7420	103,255 37	108.1410	108,141 00	4,885 63	1,832.22	4,850 00	4 48%
Original Cost Basis: 104,742 00									

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Corporate Bonds *(continued)*									
MORGAN STANLEY GLOBAL SUB NT			*Security Identifier* 61748AAE6						
4.750% 04/01/14 B/E DTD 03/30/04									
1ST CPN DTE 10/01/04 CPN PMT SEMI ANNUALMoody Rating A3 S & P Rating A-									
100,000 000	06/04/09	96 1000	97,248 93	102.4030	102,403 00	5,154 07	1,187 50	4,750 00	4 63%
Original Cost Basis: 96,100 00									
GOLDMAN SACHS GROUP INC SR NT			*Security Identifier* 38144LAB6						
6 250% 09/01/17 B/E DTD 06/30/07									
CALLABLE 1ST CPN DTE 03/01/08Moody Rating A1 S & P Rating A									
225,000 000	09/24/09	107.4600	239,560.01	110 3550	248,298 75	8,738 74	4,687.50	14,062 50	5 66%
Original Cost Basis 241,785 00									
Total Corporate Bonds 435,000.000			**$544,017.16**		**$458,842.75**	**$18,778.44**	**$7,707.22**	**$23,662.50**	
[1] Total Fixed Income 1,755,000.000			**$2,902,098.46**		**$2,879,697.52**	**$81,551.91**	**$26,305.28**	**$87,982.50**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 12.00% of Portfolio								
Common Stocks								
WEATHERFORD INTL LTD REG			*Security Identifier.* WFT					
ISIN#CH0038838394								
Dividend Option: Cash								
1,440 000	04/20/10	17 4630	25,146 58	22 8000	32,832 00	7,685 42		
AXA SA SPONS ADR			*Security Identifier.* AXAHY					
ISIN#US0545361075								
Dividend Option Cash								
1,050.000	12/14/09	23.8400	25,031 83	16 7020	17,537 10	-7,494 73	613 82	3.50%
BANK OF AMERICA COM			*Security Identifier* BAC					
Dividend Option Cash								
1,900 000	02/19/10	16 1420	30,670 03	13 3400	25,346 00	-5,324 03	76.00	0 29%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
BEST BUY COMPANY INC			*Security Identifier* BBY					
Dividend Option Cash								
1,175 000	12/28/10	34 3600	40,373 24	34.2900	40,290 75	-82 49	705.00	1 74%
BLACKROCK INC COM			*Security Identifier* BLK					
Dividend Option Cash								
115 000	05/11/10	172.7980	19,871 75	190.5800	21,916 70	2,044 95	460 00	2 09%
CME GROUP INC COM			*Security Identifier* CME					
Dividend Option: Cash								
70 000	07/23/09	280 9010	19,663 08	321.7500	22,522 50	2,859 42	322 00	1 42%
CVS CAREMARK CORP			*Security Identifier* CVS					
Dividend Option Cash								
660.000	06/05/09	30 5470	20,161 32	34 7700	22,948 20	2,786 88	231 00	1 00%
FRONTIER COMMUNICATIONS CORP			*Security Identifier* FTR					
COM								
Dividend Option. Cash								
164.000	06/05/09	7 6240	1,250.34	9 7300	1,595 72	345 38	123.00	7 70%
GENERAL ELECTRIC CO COM			*Security Identifier* GE					
Dividend Option: Cash								
1,475.000	06/05/09	13.7060	20,215 91	18.2900	26,977 75	6,761.84	826 00	3 06%
GENZYME CORP COM FORMERLY COM GEN DIV			*Security Identifier:* GENZ					
TO 5/27/2004								
Dividend Option Cash								
335 000	06/05/09	60 8020	20,368 67	71.2000	23,852 00	3,483 33		
GUESS INC COM			*Security Identifier:* GES					
Dividend Option: Cash								
830 000	11/11/10	42.2460	35,063 99	47 3200	39,275 60	4,211 61	664 00	1 69%
HEWLETT PACKARD CO COM			*Security Identifier* HPQ					
Dividend Option Cash								
625.000	08/12/10	40 4710	25,294 19	42 1000	26,312 50	1,018 31	200 00	0 76%
HOME DEPOT INC COM			*Security Identifier:* HD					
Dividend Option: Cash								
915 000	09/10/09	27.6840	25,330 85	35 0600	32,079 90	6,749 05	864 67	2 69%
INTEL CORP COM			*Security Identifier* INTC					
Dividend Option Cash								
1,400.000	03/09/10	21.2970	29,815 71	21 0300	29,442 00	-373 71	882 00	2 99%
JP MORGAN CHASE & CO COM			*Security Identifier* JPM					
ISIN#US46625H1005								
Dividend Option Cash								
640 000	06/18/10	39.5420	25,306 56	42 4200	27,148 80	1,842 24	128 00	0 47%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
KROGER CO			*Security Identifier* KR					
Dividend Option Cash								
1,350 000	02/19/10	22 3020	30,107 03	22 3600	30,186 00	78 97	567 00	1 87%
LINEAR TECHNOLOGY CORP			*Security Identifier* LLTC					
Dividend Option Cash								
790 000	04/20/10	31 6330	24,990 23	34 5900	27,326 10	2,335 87	726 80	2 65%
MCKESSON CORP COM			*Security Identifier* MCK					
Dividend Option Cash								
565 000	12/28/10	71 5490	40,425 07	70 3800	39,764 70	-660 37	406 80	1 02%
MEDCOHEALTH SOLUTIONS INC COM			*Security Identifier* MHS					
Dividend Option. Cash								
580 000	11/11/10	60 7010	35,206 29	61 2700	35,536 60	330 31		
MICROSOFT CORP COM			*Security Identifier* MSFT					
Dividend Option. Cash								
1,010 000	10/07/10	24 7960	25,043 46	27 9200	28,199 20	3,155 74	646 40	2 29%
MICRON TECHNOLOGY INC			*Security Identifier* MU					
Dividend Option Cash								
3,375 000	08/12/10	7 4330	25,087 71	8 0200	27,067 50	1,979 79		
MORGAN STANLEY COM NEW			*Security Identifier* MS					
Dividend Option. Cash								
815 000	04/20/10	30 8050	25,105 82	27 2100	22,176 15	-2,929 67	163 00	0 73%
OWENS ILLINOIS INC			*Security Identifier* OI					
Dividend Option Cash								
1,215 000	11/11/10	28 7660	34,950 45	30 7000	37,300 50	2,350 05		
PETROHAWK ENERGY CORP COM			*Security Identifier* HK					
Dividend Option. Cash								
1,010 000	05/11/10	19 7360	19,932 86	18 2500	18,432 50	-1,500 36		
PROCTER & GAMBLE CO COM			*Security Identifier.* PG					
Dividend Option Cash								
380 000	06/05/09	53 5610	20,353 11	64 3300	24,445 40	4,092 29	732 33	2 99%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
QUANTA SVCS INC COM			*Security Identifier* PWR					
Dividend Option Cash								
855 000	08/25/09	23 3900	19,998 26	19 9200	17,031 60	-2,966 66		
RELIANCE STL & ALUM CO COM			*Security Identifier* RS					
Dividend Option Cash								
585 000	10/07/10	42 7280	24,995 85	51.1000	29,893 50	4,897 65	234.00	0 78%
RESEARCH IN MOTION LTD COM			*Security Identifier:* RIMM					
ISIN#CA7609751028								
Dividend Option Cash								
400 000	01/26/10	63 1860	25,274 24	58.1300	23,252 00	-2,022 24		
⁵RSTK SERENA SOFTWARE INC COM			*Security Identifier:* 817492903					
Dividend Option Cash								
820,000.000	03/06/09	N/A	Please Provide	N/A	N/A	N/A		
SPRINT NEXTEL CORP FON SHS			*Security Identifier.* S					
Dividend Option. Cash								
8,665 000	11/11/10	4 0490	35,086 24	4.2300	36,652 95	1,566 71		
STATE STREET CORP COM			*Security Identifier* STT					
Dividend Option Cash								
570.000	01/26/10	44.4100	25,313 53	46 3400	26,413 80	1,100 27	22.80	0 08%
WELLPOINT INC COM			*Security Identifier* WLP					
Dividend Option Cash								
435 000	09/24/10	57 1960	24,880 39	56.8600	24,734 10	-146 29		
Total Common Stocks			**$800,314.59**		**$838,490.12**	**$38,175.53**	**$9,594.62**	
Preferred Stocks *(Listed by expiration date)*								
ALLIANZ SOCIETAS EUROPAEA SE 8.375%			*Security Identifier* 018805200					
UNDATED SUB CALLABLE BD CALLABLE 6/15/13@								
25 00 ISIN#US0188052007								
Dividend Option Cash								
1,600.000	02/02/10	25.4500	40,720 00	26.2810	42,049 60	1,329 60	3,350.00	7 96%
BANK AMER CORP 8.2% DEP SH REPSTG			*Security Identifier* BAC PRH				-	
1/1000TH PFD SER H PERP/CALL 5/1/13								
@25 00								
Dividend Option Cash								
1,080 000	06/05/09	18 8530	20,361 42	25 5000	27,540 00	7,178 58	2,214 00	8 03%
DEUTSCHE BK 7.60% CONTINGENT CAP TR			*Security Identifier* DTK					
III TR PFD SECS CALLABLE 02/20/2018								
@$25 00								
Dividend Option Cash								
1,010 000	06/05/09	20 0530	20,253 37	25 3530	25,606 53	5,353 16	1,919 00	7 49%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Preferred Stocks *(continued)*								
3 5LEHMAN BROS HLDG INC PRIN PROTN ABSOLUTE RETURN BARRIER NT LKD TO S&P 500 INDEX Dividend Option: Cash		*Security Identifier.* 52522L525						
10,000 000	01/28/08	10 3850	103,854 00	N/A	N/A	N/A		
Total Preferred Stocks			**$185,188.79**		**$95,196.13**	**$13,861.34**	**$7,483.00**	
Total Equities			**$985,503.38**		**$933,686.25**	**$52,036.87**	**$17,077.62**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 1.00% of Portfolio								
Mutual Funds								
INVESCO VAN KAMPEN SR INCOME TR COM Closed End Fund Dividend Option Cash, Capital Gains Option Cash		*Security Identifier* VVR						
21,800 000	08/31/10	4.5840	99,935 30	4 6900	102,242 00	2,306 70	6,278 40	6 14%
Total Mutual Funds			**$99,935.30**		**$102,242.00**	**$2,306.70**	**$6,278.40**	
Total Mutual Funds			**$99,935.30**		**$102,242.00**	**$2,306.70**	**$6,278.40**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 47.00% of Portfolio								
Exchange-Traded Products								
CURENCYSHARES EURO TR EURO SHS Dividend Option Cash, Capital Gains Option Cash		*Security Identifier* FXE						
385 000	06/02/09	144 6520	55,691 10	133 0900	51,239 65	-4,451 45		
425 000	10/21/10	140 1380	59,558 69	133 0900	56,563 25	-2,995 44		
390 000	10/22/10	140.1780	54,669 38	133 0900	51,905 10	-2,764 28		
1,200.000	**Total**		**$169,919.17**		**$159,708.00**	**-$10,211.17**	**$0.00**	

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
CURRENCYSHARES MEXICAN PESO TR			*Security Identifier* FXM					
MEXICAN PESO SHS								
Dividend Option Cash, Capital Gains Option Cash								
635.000	07/21/10	78 5880	49,903.44	80.6500	51,212 75	1,309 31	1,175 96	2 29%
ISHARES TR IBOXX USD INVT GRADE CORP BD			*Security Identifier* LQD					
FD								
Dividend Option· Cash, Capital Gains Option Cash								
1,455 000	03/25/09	93 9720	136,729 64	108.4400	157,780 20	21,050 56	7,684 48	4 87%
3,335 000	02/02/10	105 9830	353,454.44	108 4400	361,647 40	8,192 96	17,613.58	4 87%
1,535 000	08/31/10	113 9000	174,836 84	108.4400	166,455 40	-8,381 44	8,107 00	4 87%
1,790.000	09/01/10	112.9840	202,240 73	108 4400	194,107 60	-8,133 13	9,453 76	4 87%
8,115.000	**Total**		**$867,261.65**		**$879,990.60**	**$12,728.95**	**$42,858.82**	
ISHARES TR IBOXX $ HIGH YIELD CORP BD			*Security Identifier* HYG					
FD								
Dividend Option. Cash, Capital Gains Option Cash								
1,155 000	03/24/09	69 8940	80,727 95	90 2900	104,284 95	23,557 00	8,605.47	8 25%
1,155 000	02/02/10	87.3550	100,894 91	90.2900	104,284 95	3,390 04	8,605 47	8 25%
555 000	04/20/10	90 1870	50,053 52	90.2900	50,110 95	57 43	4,135.10	8 25%
1,150 000	06/28/10	86 7760	99,792 83	90.2900	103,833 50	4,040 67	8,568.23	8 25%
4,015.000	**Total**		**$331,469.21**		**$362,514.35**	**$31,045.14**	**$29,914.27**	
POWERSHARES DB MULTI SECTOR COMMODITY TR			*Security Identifier* DBO					
POWER SHARES DB OIL FD COM UNIT								
Dividend Option Cash; Capital Gains Option Cash								
1,930 000	10/07/10	25.9010	49,988 63	28.2200	54,464 60	4,475 97		
1,840.000	11/11/10	27.2880	50,210 43	28.2200	51,924 80	1,714 37		
3,770.000	**Total**		**$100,199.06**		**$106,389.40**	**$6,190.34**	**$0.00**	
POWERSHARES GLOBAL EXCHANGE TRADED FD			*Security Identifier* PGX					
TR AGGREGATE PFD PORTFOLIO								
Dividend Option: Cash; Capital Gains Option. Cash								
2,095 000	03/04/10	14 0590	29,454 02	14.1200	29,581 40	127 38	1,996 33	6 74%
6,885 000	08/31/10	14.5300	100,036 00	14 1200	97,216 20	-2,819 80	6,560.72	6 74%
10,335 000	11/12/10	14.5240	150,103 47	14 1200	145,930 20	-4,173 27	9,848.22	6 74%
12,275.000	12/10/10	14 4120	176,912 17	14.1200	173,323 00	-3,589 17	11,696 84	6 74%
31,590.000	**Total**		**$456,505.66**		**$446,050.80**	**-$10,454.86**	**$30,102.11**	
SPDR SERIES TR BARCLAYS HIGH YIELD			*Security Identifier* JNK					
BD ETF								
Dividend Option Cash; Capital Gains Option Cash								
3,180 000	08/31/10	39.3610	125,168 66	39 7100	126,277 80	1,109 14	11,802 50	9 34%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SPDR SERIES TR BARCLAYS HIGH YIELD *(continued)*								
2,480 000	11/12/10	40 6630	100,843 25	39 7100	98,480 80	-2,362 45	9,204 47	9 34%
5,660.000	**Total**		**$226,011.91**		**$224,758.60**	**-$1,253.31**	**$21,006.97**	
SECTOR SPDR TR SHS BEN INT UTILITIES			*Security Identifier.* XLU					
Dividend Option· Cash, Capital Gains Option Cash								
3,200 000	01/12/10	31.2680	100,058 20	31.3400	100,288 00	229.80	4,066.49	4 05%
VANGUARD BD INDEX FD INC SHORT TERM BD ETF			*Security Identifier* BSV					
Dividend Option Cash; Capital Gains Option Cash								
1,885 000	01/12/10	80 8670	152,434 79	80 4600	151,667 10	-767 69	3,385 00	2 23%
4,625 000	05/11/10	81.1200	375,179 38	80 4600	372,127 50	-3,051 88	8,305 37	2 23%
3,670 000	06/28/10	81.7390	299,980 64	80 4600	295,288 20	-4,692 44	6,590 42	2 23%
7,350 000	09/02/10	82 2750	604,718 31	80 4600	591,381 00	-13,337 31	13,198 79	2 23%
17,530.000	**Total**		**$1,432,313.12**		**$1,410,463.80**	**-$21,849.32**	**$31,479.58**	
Total Exchange-Traded Products			**$3,733,641.42**		**$3,741,376.30**	**$7,734.88**	**$160,604.20**	
Total Exchange-Traded Products			**$3,733,641.42**		**$3,741,376.30**	**$7,734.88**	**$160,604.20**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$7,936,544.56**	**$7,872,368.07**	**$143,630.36**	**$26,305.28**	**$271,943.71**

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds sold short or bonds that have a negative yield. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

[1] This bond is maturing.

[3] The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

[4] Total Quantity field does not include Asset Backed Securities.

[5] Unrealized gains and losses are not reported for securities for which cost basis or market value is not available.

[redacted]

Summary of Gains and Losses

	Realized		
	This Period	Year-to-Date	Unrealized
Short-Term Gain/Loss	0 00	0 00	7,297 74
Net Gain/Loss	**0.00**	**0.00**	**7,297.74**

This summary excludes transactions where cost basis information is not available

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www.credit-suisse.com

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio									
FDIC Insured Bank Deposits									
DEUTSCHE BANK INS DEPOSIT J									
5,129 730	12/01/10	2N9017359	12/31/10	0 00	5,129.73	0 46	0 01	N/A	N/A
Total FDIC Insured Bank Deposits				**$0.00**	**$5,129.73**	**$0.46**	**$0.01**		
Total Cash, Money Funds, and FDIC Deposits				**$0.00**	**$5,129.73**	**$0.46**	**$0.01**		

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 99.00% of Portfolio								
Common Stocks								
ALTRIA GROUP INC COM			*Security Identifier.* MO					
Dividend Option: Cash								
1,248.000	12/07/10	24.0790	30,050.22	24.6200	30,725 76	675.54	1,896.96	6 17%
ASTRAZENECA PLC SPONSORED ADR			*Security Identifier:* AZN					
Dividend Option· Cash								
585 000	12/07/10	48.1190	28,149 79	46 1900	27,021 15	-1,128 64	1,409 85	5.21%
BHP BILLITON LTD SPONSORED ADR			*Security Identifier* BHP					
ISIN#US0886061086								
Dividend Option: Cash								
93 000	12/07/10	89 6190	8,334 59	92 9200	8,641 56	306.97	161 82	1.87%

Statement Period: 12/01/2010 - 12/31/2010

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CANON INC ADR REPSTG 5 SHS			*Security Identifier* CAJ					
Dividend Option Cash								
587 000	12/07/10	49.0350	28,783 78	51 3400	30,136 58	1,352.80	700 04	2 32%
CHEVRON CORP NEW COM			*Security Identifier* CVX					
Dividend Option. Cash								
77 000	12/07/10	85 9280	6,616 46	91 2500	7,026 25	409.79	221 76	3 15%
CHINA MOBILE LTD SPON ADR S A			*Security Identifier* CHL					
ISIN#US16941M099								
Dividend Option. Cash								
576.000	12/07/10	51 0260	29,390.86	49.6200	28,581.12	-809 74	958 09	3 35%
COCA COLA COMPANY			*Security Identifier* KO					
Dividend Option Cash								
205 000	12/07/10	64.4180	13,205.73	65 7700	13,482 85	277.12	360.80	2 67%
COLGATE PALMOLIVE CO			*Security Identifier* CL					
Dividend Option: Cash								
77 000	12/07/10	78 0680	6,011.24	80.3700	6,188.49	177 25	163 24	2 63%
CONAGRA FOODS INC COM			*Security Identifier* CAG					
Dividend Option Cash								
1,332 000	12/07/10	22 0590	29,382 19	22 5800	30,076 56	694.37	1,225 44	4 07%
DARDEN RESTAURANTS INC COM			*Security Identifier* DRI					
Dividend Option· Cash								
142 000	12/07/10	50 6080	7,186 35	46 4400	6,594 48	-591.87	181 76	2 75%
DOMINION RES INC VA COM			*Security Identifier* D					
Dividend Option· Cash								
300 000	12/07/10	42 0090	12,602.61	42.7200	12,816 00	213 39	549 00	4 28%
GLAXOSMITHKLINE PLC SPONS ADR			*Security Identifier* GSK					
Dividend Option. Cash								
743 000	12/07/10	39.4980	29,347 09	39 2200	29,140 46	-206 63	1,484 98	5 09%
INTEL CORP COM			*Security Identifier* INTC					
Dividend Option. Cash								
303 000	12/07/10	21 7790	6,598 95	21 0300	6,372.09	-226.86	190 89	2 99%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
KIMBERLY CLARK CORP			*Security Identifier* KMB					
Dividend Option Cash								
456 000	12/07/10	61 8990	28,225 81	63 0400	28,746 24	520 43	1,203.84	4 18%
ELI LILLY & CO COM			*Security Identifier:* LLY					
Dividend Option Cash								
160 000	12/07/10	33.8390	5,414 18	35 0400	5,606 40	192 22	313 60	5 59%
MATTEL INC			*Security Identifier* MAT					
Dividend Option Cash								
1,255 000	12/07/10	25 7980	32,376 99	25 4300	31,914 65	-462 34	1,041 65	3 26%
NEXTERA ENERGY INC COM			*Security Identifier* NEE					
Dividend Option Cash								
541.000	12/07/10	51.1090	27,649 75	51.9900	28,126 59	476.84	1,082 00	3 84%
NORTHROP GRUMMAN CORP (PREVIOUSLY KNOWN AS NORTHROP CORP)			*Security Identifier:* NOC					
Dividend Option. Cash								
94 000	12/07/10	63 9880	6,014 88	64.7800	6,089 32	74 44	176 72	2 90%
PEPSICO INC			*Security Identifier:* PEP					
Dividend Option Cash								
92 000	12/07/10	64 7580	5,957.75	65 3300	6,010 36	52.61	176 64	2 93%
PETROCHINA CO LTD SPONS ADR			*Security Identifier:* PTR					
ISIN#US71646E1001								
Dividend Option Cash								
254.000	12/07/10	129 7860	32,965.52	131.4900	33,398.46	432 94	966 24	2 89%
ROCHE HLDGS LTD SPONSORED ADR			*Security Identifier:* RHHBY					
ISIN#US7711951043								
Dividend Option· Cash								
854.000	12/07/10	35 1370	30,006 83	36 7450	31,380 23	1,373.40	990 23	3.15%
SANOFI AVENTIS SPONS ADR			*Security Identifier* SNY					
ISIN#US80105N1054								
Dividend Option Cash								
701.000	12/07/10	32.4680	22,760 28	32 2300	22,593 23	-167.05	772 71	3 42%
STATOIL ASA SPONSORED ADR			*Security Identifier:* STO					
ISIN#US85771P1021								
Dividend Option: Cash								
1,245.000	12/07/10	22.1090	27,525.71	23.7700	29,593.65	2,067 94	970.19	3 27%
SYSCO CORP			*Security Identifier* SYY					
Dividend Option· Cash								
205.000	12/07/10	29 2340	5,992 89	29 4000	6,027 00	34 11	213 20	3 53%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TELEFONICA S A ADR SPONS ADR			*Security Identifier:* TEF					
ISIN#US8793822086								
Dividend Option Cash								
396 000	12/07/10	68 1790	26,998.92	68.4200	27,094 32	95 40	1,653 56	6 10%
THOMSON REUTERS CORP COM			*Security Identifier:* TRI					
ISIN#CA8849031056								
Dividend Option Cash								
792.000	12/07/10	37 1490	29,422 17	37 2700	29,517.84	95 67		
3M CO COM			*Security Identifier* MMM					
Dividend Option Cash								
128 000	12/07/10	84 2580	10,785.04	86.3000	11,046 40	261 36	268 80	2 43%
TOTAL S A SPONSORED ADR			*Security Identifier* TOT					
Dividend Option. Cash								
565 000	12/07/10	51 8990	29,322 99	53 4800	30,216 20	893.21	1,402 96	4 64%
V F CORP			*Security Identifier:* VFC					
Dividend Option Cash								
70 000	12/07/10	86 2180	6,035 27	86.1800	6,032.60	-2 67	176 40	2.92%
VODAFONE GROUP PLC SPON ADR NEW			*Security Identifier:* VOD					
ISIN#US92857W2098								
Dividend Option. Cash								
1,188 000	12/07/10	26 2480	31,183.10	26 4300	31,398.84	215.74	1,549 27	4.93%
Total Common Stocks			**$594,297.94**		**$601,595.68**	**$7,297.74**	**$22,462.64**	
Total Equities			**$594,297.94**		**$601,595.68**	**$7,297.74**	**$22,462.64**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$599,427.67**	**$606,725.41**	**$7,297.74**	**$0.00**	**$22,462.65**

Disclosures and Other Information

Form **8868**
(Rev January 2011)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file).* You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only. ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print

File by the due date for filing your return See instructions

Name of exempt organization: CHANGE HAPPENS FOUNDATION A DELAWARE NONPROFIT ORGANIZATION

Employer identification number: 20-5222620

Number, street, and room or suite number. If a P.O box, see instructions.: 75-5710 MAMALAHOA HIGHWAY

City, town or post office, state, and ZIP code. For a foreign address, see instructions.: HOLUALOA, HI 96725

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► ELAINE FUKUSHIMA

Telephone No. ► 808-322-7342 FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____. If this is for the whole group, check this box . ► [ ] . If it is for part of the group, check this box . ► [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► [X] calendar year 20 10 or

► [ ] tax year beginning ________, 20 ___, and ending ________, 20 ___.

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	$	17,093.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	$	23,000.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ► [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II | **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Type or print	Name of exempt organization CHANGE HAPPENS FOUNDATION A DELAWARE NONPROFIT ORGANIZATION	**Employer identification number** 20-5222620
File by the extended due date for filing the return See instructions	Number, street, and room or suite number If a P O box, see instructions 75-5710 MAMALAHOA HIGHWAY	
	City town or post office state and ZIP code For a foreign address, see instructions HOLUALOA, HI 96725	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990 T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in care of ► TINA TROXEL

Telephone No. ► 808-322-7342 FAX No ►

• If the organization does not have an office or place of business in the United States, check this box ► [ ]

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ► [ ] If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15 , 20 11

5 For calendar year 2010 , or other tax year beginning ______ , 20 __ , and ending ______ , 20 __

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return

[ ] Change in accounting period

7 State in detail why you need the extension TAXPAYER RESPECTFULLY REQUESTS ADDITIONAL TIME TO GATHER INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 17,093.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 23,000.
c Balance due. Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ► Title ► Date ►