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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2010

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning

, 2010, and ending

, 20

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

Name of foundation **CASCADE FOUNDATION**
aka DANIEL DIBNER

A Employer identification number

20-4956488

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

P O BOX 913

(203) 903-0762

City or town, state, and ZIP code

ROCKPORT, ME 04856-0913

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

C If exemption application is pending, check here

D 1. Foreign organizations, check here

2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

I Fair market value of all assets at

J Accounting method

☒ Cash☐ Accrual

end of year (from Part II, col (c),

Other (specify)

line 16) \$ 21,288,806

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	3,499	3,499	3,499	
4 Dividends and interest from securities	635,484	635,484	635,484	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	10,775			
b Gross sales price for all assets on line 6a	408,871			
7 Capital gain net (attach schedule from Part IV, line 2)		10,775		
8 Net short-term capital gain			10,775	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit (loss) (attach schedule)				
11 Other income (attach schedule) STM106	71,297	71,297	71,297	
12 Total. Add lines 1 through 11	721,055	721,055	721,055	
Operating expenses				
13 Compensation of officers, directors, trustees, etc.	208,796	208,796	208,796	
14 Other employee salaries and wages				
15 Pension plans, employee benefits STM107	20,660	20,660	20,660	
16a Legal fees (attach schedule) STM108	8,685			8,685
b Accounting fees (attach schedule) STM109	11,200	11,200	11,200	
c Other professional fees (attach schedule)	85,870	85,870	85,870	
17 Interest STM110	106	106	106	
18 Taxes (attach schedule) (see page 14 of the instructions) STM126	21,404	21,404	21,404	
19 Depreciation (attach schedule) and depletion	2,963	2,963	2,963	
20 Occupancy	1,116	1,116	1,116	
21 Travel, conferences, and meetings	13,853	10,639	10,639	3,214
22 Printing and publications STM103	218	218	218	
23 Other expenses (attach schedule)	9,719	10,302	10,302	70
24 Total operating and administrative expenses.				
Add lines 13 through 23	384,590	373,274	373,274	11,969
25 Contributions, gifts, grants paid	669,016			669,016
26 Total expenses and disbursements. Add lines 24 and 25	1,053,606	373,274	373,274	680,985
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	(332,551)			
b Net investment income (if negative, enter -0-)		347,781		
c Adjusted net income (if negative, enter -0-)			347,781	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	104,020	(60,991)	(60,991)
	2 Savings and temporary cash investments	2,820,899	2,043,445	2,043,445
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	19,194	19,194	19,194
	7 Other notes and loans receivable (attach schedule)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations (attach schedule)	3,132,234	3,827,277	4,016,611
	b Investments - corporate stock (attach schedule)	3,439,827	8,022,476	7,661,989
	c Investments - corporate bonds (attach schedule)	8,254,016	4,021,464	4,252,441
	11 Investments - land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)	2,959,896	2,906,795	3,298,617	
14 Land, buildings, and equipment basis	15,867			
Less accumulated depreciation (attach schedule)	10,351	5,516	7,500	
15 Other assets (describe)	5,800	5,800	50,000	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	20,735,886	20,790,976	21,288,806	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)	8,028	8,454	
	23 Total liabilities (add lines 17 through 22)	8,028	8,454	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	20,727,858	20,782,522	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see page 17 of the instructions)	20,727,858	20,782,522		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	20,735,886	20,790,976		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,727,858
2 Enter amount from Part I, line 27a	2	(332,551)
3 Other increases not included in line 2 (itemize)	3	389,264
4 Add lines 1, 2, and 3	4	20,784,571
5 Decreases not included in line 2 (itemize)	5	2,049
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	20,782,522

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (yr, mo, day)	(d) Date sold (yr, mo, day)
1a	PUBLICLY TRADED SECURITIES	P	2009-09-30	2010-04-26
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 408,871		398,096	10,775
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			10,775
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	10,775
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) . . . If (loss), enter -0- in Part I, line 8 }		3	10,775

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	22,168,657	14,161,468	1.565421
2008	17,346,353	16,495,512	1.05158
2007	17,538,770	15,837,018	1.107454
2006	16,005,535	14,250,045	1.123192
2005			

2 Total of line 1, column (d)	2	4.847647
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.969529
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	19,713,317
5 Multiply line 4 by line 3	5	19,112,633
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,478
7 Add lines 5 and 6	7	19,116,111
8 Enter qualifying distributions from Part XII, line 4	8	21,502,442

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	3,478
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	3,478
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,478
6	Credits/Payments		
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a	7,020
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	7,020
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,542
11	Enter the amount of line 10 to be Credited to 2011 estimated tax	11	3,542

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ME		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► DANIEL DIBNER Telephone no ► 203-903-0762 Located at ► 688 COMMERCIAL STREET ROCKPORT, ME ZIP+4 ► 04856			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041-Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐ Yes ☒ No
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

6b

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

See 990_OFOV (a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DANIEL DIBNER 688 COMMERCIAL STREET, ME 04856	MANAGER 35	STMA01 89,398	0	0
VICTORIA DIBNER 47 EAST MEADOW RD WILTON, CT 06897	ASST MANAGER 35	STMA02 89,398	0	0
AURORA C DIBNER 74 GLOUCESTER COURT, CT 06111	DIRECTOR 5	STMA03 10,000	0	0
AVALON B DIBNER 3131 WALNUT STREET, PA 19104	DIRECTOR 5	STMA04 10,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions).

If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GOLDMAN SACHS 200 WEST STR. 39TH FLOOR NEW YORK, NY 10282	MANAGE FUNDS	STMC01 85,870
Total number of others receiving over \$50,000 for professional services		►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 UNITED MID COAST CHARTIES GRANT FOR CHARITIES THAT BENEFIT THE PEOPLE OF MAINE	115,000
2 SMITHSONIAN INSTITUTE SUPPORT FOR THE BERN DIBNER LIBRARY	83,334
3 PENBAY HEALTH CARE FUND WOMEN HEALTH FOR LOW INCOME MOTHERS	50,000
4 COMMUNITY SCHOOL CHARTIABLE EDUCATION OF WOMEN & CHILDREN	35,000

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 GOLDMAN SACHS VARIOUS FUNDS \$ 17,914,662	17,914,662
2 OTHER INVESTMENTS STATEMENT 118 \$2,906795	2,906,795
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3	20,821,457

EEA

Form 990-PF (2010)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	17,527,339
b	Average of monthly cash balances	1b	2,453,687
c	Fair market value of all other assets (see page 25 of the instructions)	1c	32,494
d	Total (add lines 1a, b, and c)	1d	20,013,520
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	20,013,520
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	300,203
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	19,713,317
6	Minimum investment return. Enter 5% of line 5	6	985,666

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	985,666
2a	Tax on investment income for 2010 from Part VI, line 5	2a	3,478
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,478
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	982,188
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	982,188
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	982,188

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	680,985
b	Program-related investments - total from Part IX-B	1b	20,821,457
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	21,502,442
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	3,478
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	21,498,964

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				982,188
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only				
b Total for prior years				
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006				
c From 2007				
d From 2008				
e From 2009 249,297				
f Total of lines 3a through e	249,297			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 21,502,442				
a Applied to 2009, but not more than line 2a . . .				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . .	930,282			
d Applied to 2010 distributable amount				680,985
e Remaining amount distributed out of corpus . . .	51,906			
5 Excess distributions carryover applied to 2010 . (If an amount appears in column (d), the same amount must be shown in column (a))	249,297			249,297
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 .	982,188			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				51,906
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2006				
b Excess from 2007				
c Excess from 2008				
d Excess from 2009				
e Excess from 2010				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling	2006-09-15				
b	Check box to indicate whether the foundation is a private operating foundation described in section	<input type="checkbox"/> 4942(j)(3) or		<input type="checkbox"/> 4942(j)(5)		
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2010	(b) 2009	(c) 2008	(d) 2007	
		347,781	158,978	247,762	459,816	1,214,337
b	85% of line 2a	295,614	135,131	210,598	390,844	1,032,187
c	Qualifying distributions from Part XII, line 4 for each year listed	21,502,442	20,606,874	17,346,353	17,544,581	77,000,250
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	21,502,442	20,606,874	17,346,353	17,544,581	77,000,250
3	Complete 3a, b, or c for the alternative test relied upon.					
a	"Assets" alternative test - enter:					
	(1) Value of all assets	20,092,448	20,744,246	16,952,616	17,219,150	75,008,460
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)	20,061,938	20,710,894	16,924,153	17,681,716	75,378,701
b	"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	657,111	474,409	552,600	530,540	2,214,660
c	"Support" alternative test - enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income	721,130	473,602	532,339	848,753	2,575,824

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE,

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE,

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines.

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
UNITED MID COAST CHARITIES P O BOX 205 CAMDEN ME 04843	ADVISE BD	NON PROFIT	1 PHILANTHROPIC 2 XMAS CAMPAIGN	115,100
SMITHSONIAN INSTUTION LIBRARY PO BOX 37012 MRC 154 WASHINGTON DC 20013-7012	NONE	NON PROFIT	SUPPORT DIBNER LIBRARY	83,334
PENBAY HEALTH CARE 22 WHITE ST ROCKLAND ME 04841	NONE	NON PROFIT	FUND WOMEN HEALTH CENTER FOR LOW INCOME WOMEN	50,000
COMMUNITY SCHOOL PO BOX 555 CAMDEN ME 04843	NONE	EDUCATION	CHARTIABLE EDUCATION OF WOMEN & CHILDREN	35,000
SMILE TRAIN 245 5TH AVE SUITE 2201 NEW YORK NY 10016	NONE	NON PROFIT	ORAL SURGERY	25,000
PLANNED PARENTHOOD 434 WEST 33RD ST NEW YORK NY 10001	NONE	NON PROFIT	NATL FUND RAISER	25,000
NATURE CONSERVANCY IN MAINE 14 MAINE ST BRUNSWICK ME 04011	NONE	TRUST	LAND PRESERVATION	25,000
COASTAL MOUNTAIN LAND TRUST 101 MOUNT BATTIE ST CAMDEN ME 04843	NONE	TRUST	LAND PRESERVATION	25,000
Total			3a	
b Approved for future payment				
Total			3b	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
LOWELL OBSERVATORY 1400 W MARS HILL ROAD FLAGSTAFF AZ 86001	ADVISE BD	EDUCATION	1 PHILANTHROPIC 2 SCIENTIFIC RESEARCH	25,000
UNIVERSITY AT ALBANY 1400 WASHINGTON AVE ALBANY NY 12222	NONE	EDUCATION	SUPPORT LIBRARY	25,000
ROCKPORT PUBLIC LIBRARY ONE LIMEROCK ST PO BOX 8 ROCKPORT ME 04856-0008	NONE	PUBLIC LIB	OPERATING EXPS	17,000
ASHOKA 1700 NORTH MOORE ST SUITE 2000 ARLINGTON VA 22209-1939	NONE	NON PROFIT	CHARTIBLE GIFT	10,000
SAVE THE CHILDREN 54 WILTON ROAD WESTPORT CT 06880	NONE	NON PROFIT	CHILD CHARITY	10,000
USO P O BOX 96860 PEARL HARBOR HI 96860	NONE	NON PROFIT	OPERATING EXPS	10,000
ORPHAN FOUNDATION OF AMERICA 21351 GENTRY DRIVE PRINCETON NJ 08540-9983	NONE	NON PROFIT	ORPHANAGE SUPPORT	10,000
FARNSWORTH ART MUSEUM 160 MUSEUM ST ROCKLAND ME 04841	NONE	NON PROFIT	SUPPORT FINE ARTS	10,000
Total			3a	
b Approved for future payment				
Total			3b	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
MEALS ON WHEELS 39 SUMMER ST ROCKLAND ME 04841-2989	NONE	NON PROFIT	OPERATING EXPS	10,000
NEW HOPE FOR WOMEN 9 PARK ST ROCKLAND ME 04841	NONE	EDUCATION	SUPPORTS WOMEN SHELTER	10,000
FRIENDS OF VIA PO BOX 82556 PITTSBURGH PA 15218	NONE	NON PROFIT	SUPPORT HISTORIC RESTORATION OF MONUMENTS	10,000
CHILDREN ORGAN TRANSPLANT ASSO 2501 COTA AVE BLOOMINGTON IN 47403	NONE	NON PROFIT	MEDICAL RESEARCH	10,000
MEDSHARE INTERNATIONAL 3240 CLIFTON SPRINGS ROAD DECATUR GA 30034	NONE	NON PROFIT	MEDICAL SUPPLIES FOR DISASTER AREA OF THE WORLD	10,000
NATURAL RESOUSCES DEFENSE COUN 40 WEST 20TH ST NEW YORK NY 10011	NONE	NON PROFIT	ENVIRONMENTAL STUDIES	10,000
OCEANA 1350 CONN AVE NW WASHINGTON DC 20036-1722	NONE	NON PROFIT	PRESERVE & PROTEC MARINE LIFE WORLDWIDE	10,000
REED RELIEF 631 GREENE ST KEY WEST FL 33040	NONE	NON PROFIT	ENVIRONMENTAL RESEARCH	10,000
Total 3a				
b Approved for future payment				
Total 3b				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CAMDEN FIRST AID ASSOCIATION 123 JOHN ST CAMDEN ME 04843	NONE	NON PROFIT	OPERATING EXPS	10,000
WHEELCHAIR FOUNDATION 3820 BLACKHAWK ROAD DANVILLE CA 94506	NONE	NON PROFIT	SUPPLIES FREE WHEELCHAIRS FOR DISABLED CHILDREN	10,000
ENVIRONMENTAL DEFENSE COUNCIL 1875 CONN AVE NW SUIE 600 WASHINGTON DC 20009-5739	NONR	NON PROFIT	PROTECT ENVIRONMT INITATIVE WORLDWIDE	10,000
NURSING HOME CARE INC 180 SCHOOL ROAD WILTON CT 06897-2527	NONE	NON PROFIT	PROVIDES HEALTH & SUPPORT FOR ELDERLY & DISABLE	10,000
ACCION INTERNATIONAL 56 ROLAND ST CHARLESTOWN MA 02129	NONE	NON PROFIT	ENTERPRENEURIAL	10,000
LUNA PRESERVATION SOCIETY PO BOX 1866 BROOKLINE MA 02446	NONE	NON PROFIT	OPERATING EXPS	5,000
ISLANDWOOD 4450 BLAKELY AVE BAINBRIDGE ISLAND WA 98110	NONE	NON PROFIT	EDUCATIONAL	5,000
OWLS HEAD TRANSPORT MUSEUM 117 MUSUM ST OWLS HEAD ME 04854	NONE	NON PROFIT	OPERATING EXPS	5,000
Total				3a
b Approved for future payment				
Total				3b

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN ASSOC OF UNIV WOMAN PO BOX 98045 WASHINGTON DC 20080-8045	NONE	NON PROFIT	QUALITY OF WOMEN & CHILDREN EDUCATION	500
LEAGUE OF WOMEN VOTERS 1890 DIXVILLE AVE SUITE 113 NEW HAVEN CT 06514-3183	NONE	EDUC FUNDG	OPERATING EXPS	500
ABC OF WILTON PO BOX 7658 WILTON CT 06897-7658	NONE	NON PROFIT	SUPPORTS EDUCATION SERVICE	500
EDISON AND FORD MUSEUM 2350 MCGREGOR BLVD FORT MYERS FL 33902	NONE	NON PROFIT	CHILDREN EDUCATION	150
NEVER SURRENDER TO PARKINSONS 318 SENTOR DRIVE WESTPORT CT 06880	NONE	NON PROFIT	MEDICAL RESEARCH	100
AMERICAN CANCER SOCIETY 825 BROOK ST 1-91 TECH CTR ROCKY HILL CT 06067-3450	NONE	NON PROFIT	RELAY FOR LIFE	100
ROTARY INTERNATIONAL 1 CATHREDAL ROCKPORT ME 04856	NONE	NON PROFIT	FUND RAISER	32
Total			3a	669,016
b Approved for future payment				
Total			3b	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CT FOUNDATION DENTAL OUTREACH 835 WEST QUEEN ST SOUTHINGTON CT 06489	NONE	NON PROFIT	FREE DENTAL SURGERY FOR POOR WORLDWIDE	5,000
RECORDING THE BLIND and DYSLEXIC 20 RESZEL ROAD PRINCETON NJ 08540-9983	NONE	NON PROFIT	FREE AUDIO RECORDING DEVICES FOR IMPAIRED	5,000
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVE NEW YORK NY 10028-0198	NONE	NON PROFIT	SUPPORT FINE ARTS	3,200
CAMDEN TEEN CENTER PO BOX 831 CAMDEN ME 04843	NONE	NON PROFIT	TEEN PROGRAMS	2,500
WOMEN FOR WOMEN INTERNATIONAL 4455 CONN NW SUITE 200 WASHINGTON DC 20008	NONE	NON PROFIT	OPERATING EXPS	2,500
WYNC FOUNDATION PO BOX 1550 NEW YORK NY 10116-1550	NONE	PUBL RADIO	CONTINUED FUNDING PF BROADCASTING PUBLIC RADIO	1,500
FRIENDS OF LINCOLN CENTER 70 LINCOLN CENTER PLAZA NEW YORK NY 10023-6583	NONE	NON PROFIT	OPERATING EXPS	1,000
MARITIME AQUARIUM OF NORWALK 10 NORTH WATER ST NORWALK CT 06850	NONE	NON PROFIT	ENVIRONMENTAL STUDIES	1,000
Total			3a	
b Approved for future payment				
Total			3b	

Part XVI-A	Analysis of Income-Producing Activities
-------------------	--

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions)
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies . . .					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments .			14	3,499	
4	Dividends and interest from securities			14	635,484	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property . .					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory.					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)				638,983	
13	Total. Add line 12, columns (b), (d), and (e)				13	638,983

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
-------------------	--

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|---|--|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | |
| | (1) Cash | 1a |
| | (2) Other assets | 1a |
| b | Other transactions | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b |
| | (3) Rental of facilities, equipment, or other assets | 1b |
| | (4) Reimbursement arrangements | 1b |
| | (5) Loans or loan guarantees | 1b |
| | (6) Performance of services or membership or fundraising solicitations | 1b |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1 |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | |

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

DANIEL DIBNER Signature of officer or trustee	11/14/11 Date	PRESIDENT Title
---	-------------------------	---------------------------

Paid Preparer Use Only	Print/Type preparer's name R G Wilkins, E A	Preparer's signature <i>R G Wilkins</i>	Date 11/11/11	Check <input type="checkbox"/> if self-employed	PTIN P0017029
	Firm's name ▶ PDQ Tax Service Inc			Firm's EIN ▶	
	Firm's address ▶ 51 Adams Drive Saint Johnsbury VT 05819			Phone no 802-748-3382	

Current Officers, Directors, Trustees, and Key Employees

1 List all officers, directors, trustees, and key employees for the year even if they were not compensated.

[illegible]

Federal Supporting Statements**2010 PG01**

Name(s) as shown on return

FEIN

CASCADE FOUNDATION20-4956488**FORM 990PF, PART VIII
COMPENSATION EXPLANATION****NAME**

DANIEL DIBNER

EXPLANATIONWAGES PAID TO ADMINISTER THE FOUNDATION, CONTROL AND OVERSEE THE
ASSETS

PG01

**FORM 990PF, PART VIII
COMPENSATION EXPLANATION****NAME**

VICTORIA DIBNER

EXPLANATION

ASSIST THE MANAGER IN MANAGING AND OVERSEEING THE FOUNDATIONS ASSETS

PG01

**FORM 990PF, PART VIII
COMPENSATION EXPLANATION****NAME**

AURORA C DIBNER

EXPLANATIONMEET WITH THE MANAGERS FOUR TIMES A YEAR TO ASSIST IN GUIDING THE
FOUNDATION ASSETS

Federal Supporting Statements

2010 PG01

Name(s) as shown on return

CASCADE FOUNDATION

FEIN

20-4956488

FORM 990PF, PART VIII
COMPENSATION EXPLANATION

NAME

AVALON B DIBNER

EXPLANATION

MEET WITH THE MANAGERS FOUR TIMES A YEAR TO AID IN GUIDING THE
FOUDATION ASSETS

PG01

FORM 990PF, PART VIII
COMPENSATION EXPLANATION

NAME

BERN L DIBNER

EXPLANATION

MEET WITH THE MANAGERS FOUR TIMES A YEAR TO AID IN GUIDING THE
FOUNDATION ASSETS

PG 01

STATEMENT #C01

FORM 990PF, PART VIII, LINE 3
CONTRACTOR COMPENSATION EXPLANATION

NAME

GOLDMAN SACHS

EXPLANATION

FEES DEDUCTED FROM VARIOUS CUSTOMER FUNDS DURING EACH QTR OF 2010

Federal Supporting Statements

2010 PG 01

Name(s) as shown on return

FEIN

CASCADE FOUNDATION

20-4956488

FORM 990PF, PART III, LINE 3 OTHER INCREASES SCHEDULE

STATEMENT #115

INHERITED FUNDS	389,264
TOTAL	389,264

FORM 990PF, PART III, LINE 5 OTHER DECREASES SCHEDULE

PG 01
STATEMENT #116

FEDERAL EXCISE TAX FOR 2009	1,584
MEALS @ 50%	465
TOTAL	2,049

FORM 990PF, PART II, LINE 13 INVESTMENTS: OTHER SCHEDULE

PG 01
STATEMENT #118

CATEGORY	BOOK VALUE (BOY)	BOOK VALUE (EOY)	FMV (EOY)
GMS ALPHA IV PORTFOLIO	1,001,243	1,001,243	1,051,912
GS CAPITAL PARTNERS VI PARALLELL	585,084	646,432	606,304
VCFA PRIVATE EQUITY PARTNERS IV	330,000	224,230	665,321
GSCP VI PARALLEL AIV	43,569	34,890	30,080
STONE WORKS LTD	1,000,000	1,000,000	945,000
TOTAL	2,959,896	2,906,795	3,298,617

FORM 990PF, PART II, LINE 15 OTHER ASSETS SCHEDULE

PG 01
STATEMENT #120

DESCRIPTION	BOY BOOK	EOY BOOK	FMV
DAVINCI HORSE SCULPTURE (ANTIQUE)	5,800	5,800	50,000
TOTAL	5,800	5,800	50,000

Federal Supporting Statements

2010 PG 01

Name(s) as shown on return

FEIN

CASCADE FOUNDATION

20-4956488

FORM 990PF, PART II, LINE 22
OTHER LIABILITIES SCHEDULE

STATEMENT #121

<u>DESCRIPTION</u>	<u>BOY AMOUNT</u>	<u>EOY AMOUNT</u>
PAYROLL TAXES	8,028	8,454
TOTAL	8,028	8,454

FORM 990PF, PART II, LINE 10(A)
INVESTMENTS: U.S. GOVERNMENT OBLIGATION SCHEDULEPG 01
STATEMENT #136

<u>CATEGORY</u>	<u>BOOK VALUE (BOY)</u>	<u>BOOK VALUE (EOY)</u>	<u>FMV (EOY)</u>
GOLDMAN SACHS GOV FUNDS	3,132,234	3,827,277	4,016,611
TOTALS	3,132,234	3,827,277	4,016,611

FORM 990PF, PART II, LINE 10(B)
INVESTMENTS: CORPORATE STOCK SCHEDULEPG 01
STATEMENT #137

<u>CATEGORY</u>	<u>BOY</u>	<u>BOOK VALUE</u>	<u>EOY FMV</u>
GOLDMAN SACHS VARIOUS STOCK FU	3,439,827	8,022,476	7,661,989
TOTALS	3,439,827	8,022,476	7,661,989

FORM 990PF, PART II, LINE 10(C)
INVESTMENTS: CORPORATE BOND SCHEDULEPG 01
STATEMENT #138

<u>CATEGORY</u>	<u>BOY</u>	<u>BOOK VALUE</u>	<u>EOY FMV</u>
PRIVATE CORP BONDS	8,254,016	4,021,464	4,252,441
TOTALS	8,254,016	4,021,464	4,252,441

Federal Supporting Statements

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Name(s) as shown on return

Your Social Security Number

CASCADE FOUNDATION

20-4956488

FORM 990PF, PART I, LINE 23 - OTHER EXPENSES SCHEDULE

STATEMENT #103

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
COMPUTER EXPENSE	726	726	726	0
DUES	495	495	495	0
MISCELLANEOUS K-1 EXP	72	725	725	0
OFFICE EXPENSES	885	885	885	0
TELEPHONE	3,608	3,608	3,608	0
MEALS @ 50%	465	395	395	70
REPAIRS	3,468	3,468	3,468	0
TOTALS	9,719	10,302	10,302	70

PG 01

STATEMENT #106

FORM 990PF, PART I, LINE 11 - OTHER INCOME SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME
K-1 MISC INCOME	3,212	3,212	3,212
CAPITAL GAIN DISTRIBUTIONS K-1	67,750	67,750	67,750
UBTI K-1	335	335	335
TOTALS	71,297	71,297	71,297

Federal Supporting Statements

2010 PG 01

Name(s) as shown on return

Your Social Security Number

CASCADE FOUNDATION

20-4956488

FORM 990PF, PART I, LINE 16(A) - LEGAL FEES SCHEDULE

STATEMENT #107

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
DECHERT LLP	8,685	0	0	8,685
TOTALS	8,685	0	0	8,685

PG 01

STATEMENT #108

FORM 990PF, PART I, LINE 16(B) - ACCOUNTING FEES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
PDQ TAX SERVICE	11,200	11,200	11,200	0
TOTALS	11,200	11,200	11,200	0

PG 01

STATEMENT #109

FORM 990PF, PART I, LINE 16(C) - OTHER PROFESSIONAL FEES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
GOLDMAN MANAGEMENT FEES	85,870	85,870	85,870	0
TOTALS	85,870	85,870	85,870	0

Federal Supporting Statements

2010 PG 01

Name(s) as shown on return

Your Social Security Number

CASCADE FOUNDATION

20-4956488

FORM 990PF, PART I, LINE 18 - TAXES SCHEDULE

STATEMENT #110

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
PAYROLL TAXES	17,113	17,113	17,113	0
PROPERTY TAXES	3,924	3,924	3,924	0
FOREIGN TAXES PAID K-1	367	367	367	0
TOTALS	21,404	21,404	21,404	0

PG01

STATEMENT #119

FORM 990PF, PART II, LINE 14 - LAND ETC. SCHEDULE

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	END OF YEAR BOOK VALUE	FMV
COMPUTER & OFFICE EQUIPMENT	15,867	10,351	5,516	7,500
TOTAL	15,867	10,351	5,516	7,500

Federal Supporting Statements

2010 PG 01

Name(s) as shown on return

Your Social Security Number

CASCADE FOUNDATION

20-4956488

FORM 990PF, PART I, LINE 19 - DEPRECIATION SCHEDULE

STATEMENT #126

DESCRIPTION	DATE ACQUIRED	COST OR OTHER BASIS	PRIOR YEAR DEPRECIATION	COMPUTATION METHOD	RATE	LIFE	CURRENT YEAR DEPRECIATION	NET INVESTMENT ADJUSTED NET INCOME	INCOME
LAPTOP COMPUTER SYSTEM	04-19-2006	2,319	1,883	M		5	436	436	436
ACCOUNTING SOFTWARE	04-19-2006	524	426	M		5	98	98	98
PRINTER	11-04-2007	617	388	M		5	123	123	123
TERA BACKUP UNIT	03-01-2008	420	168	M		5	84	84	84
VCD MACBO LAPTOP	05-04-2008	2,690	1,076	M		5	538	538	538
FIREPROOF SAFE	08-26-2008	2,474	707	M		7	353	353	353
RENEW QUICKBOOKS SOFTWARE	09-01-2008	1,321	528	M		5	264	264	264
M-TECH LAPTOP	09-12-2008	5,061	2,024	M		5	1,012	1,012	1,012
MICROSOFT OFFICE	11-06-2008	220	88	M		5	44	44	44
SIRIUS RADIO	09-05-2010	221		M		5	11	11	11
TOTALS		15,867	7,288				2,963	2,963	2,963

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)		
Type or print File by the extended due date for filing the return See instructions	Name of exempt organization	Employer identification number
	CASCADE FOUNDATION	20-4956488
	Number, street, and room or suite no. If a P.O. box, see instructions	
	P O BOX 913	
	City, town or post office, state, and ZIP code For a foreign address, see instructions	
	ROCKPORT, ME 04856-0913	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of **DANIEL DIBNER PO BOX 913 ROCKPORT, ME 04856-0913**
Telephone No **203-903-0762** FAX No **802-748-4919**
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until 11-15, 2011
- 5 For calendar year 2010, or other tax year beginning , 20 and ending , 20
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension
STILL HAVE NOT RECEIVED ALL K-1'S FROM INVESTMENT COMPANIES

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	\$ 3,000
8b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$ 7,020
8c Balance due. Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$ 0

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Daniel Dibner Title Accountant Date 8/15/11

EEA Form 8868 (Rev. 1-2011)