Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2010

For calendar year 2010, or tax year beginning , **and ending**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation: THE CARPINELLA FAMILY FOUNDATION, INC.

A Employer identification number: 20-2455467

Number and street (or P O box number if mail is not delivered to street address): 4591 STELLA DRIVE

Room/suite:

B Telephone number: 770-551-8170

City or town, state, and ZIP code: ATLANTA, GA 30327

C If exemption application is pending, check here ▶☐

D 1. Foreign organizations, check here ▶☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 455,805.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	18,648.	18,648.	18,648.	STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	4,069.			
	b Gross sales price for all assets on line 6a 145,919.				
	7 Capital gain net income (from Part IV, line 2)		4,069.		
	8 Net short-term capital gain			N/A	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	22,717.	22,717.	18,648.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	1,500.	0.	1,500.	1,500.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	414.	414.	414.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	5,869.	5,869.	5,869.	0.
	24 **Total operating and administrative expenses** Add lines 13 through 23	7,783.	6,283.	7,783.	1,500.
	25 Contributions, gifts, grants paid	26,100.			26,100.
	26 **Total expenses and disbursements.** Add lines 24 and 25	33,883.	6,283.	7,783.	27,600.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-11,166.			
	b **Net investment income** (if negative, enter -0-)		16,434.		
	c **Adjusted net income** (if negative, enter -0-)			10,865.	

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	37,534.	2,598.	2,598.
	2	Savings and temporary cash investments		19,086.	19,086.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 6	289,172.	279,656.	313,096.
	c	Investments - corporate bonds STMT 7	114,999.	114,999.	117,114.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 8	0.	2,559.	3,911.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	441,705.	418,898.	455,805.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	441,705.	418,898.	
	30	**Total net assets or fund balances**	441,705.	418,898.	
	31	**Total liabilities and net assets/fund balances**	441,705.	418,898.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	441,705.
2	Enter amount from Part I, line 27a	2	-11,166.
3	Other increases not included in line 2 (itemize) ▶ DISTRIBUTIONS FROM K-1S	3	557.
4	Add lines 1, 2, and 3	4	431,096.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	12,198.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	418,898.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	145,919.		141,850.	4,069.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				4,069.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 4,069.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } **3** 551.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2009	22,200.	662,721.	.033498
2008	45,750.	496,133.	.092213
2007	66,150.	645,650.	.102455
2006	64,250.	631,456.	.101749
2005	34,010.	666,084.	.051060

2	**Total** of line 1, column (d)	2	.380975
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.076195
4	Enter the net value of noncharitable-use assets for 2010 from Part X, line 5	4	426,052.
5	Multiply line 4 by line 3	5	32,463.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	164.
7	Add lines 5 and 6	7	32,627.
8	Enter qualifying distributions from Part XII, line 4	8	27,600.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	329.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	329.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-			5	329.
6	Credits/Payments:				
a	2010 estimated tax payments and 2009 overpayment credited to 2010	6a			
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	329.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2011 estimated tax** ▶ | **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ GA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ RONALD CARPINELLA Telephone no. ▶ 770-551-8170

Located at ▶ 4591 STELLA DRIVE, ATLANTA, GA ZIP+4 ▶ 30327

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Yes	No
16 At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A	1b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? ☐ Yes ☒ No			
If "Yes," list the years ▶ ______, ______, ______, ______			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2010 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.)* N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A 5b

Organizations relying on a current notice regarding disaster assistance check here ▶☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RONALD J CARPINELLA 4591 STELLA DRIVE ATLANTA, GA 30327	TRUSTEE 1.00	0.	0.	0.
ANGELA D CARPINELLA 4591 STELLA DRIVE ATLANTA, GA 30327	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	393,633.
b	Average of monthly cash balances			1b	34,996.
c	Fair market value of all other assets			1c	3,911.
d	**Total** (add lines 1a, b, and c)			1d	432,540.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	432,540.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	6,488.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	426,052.
6	**Minimum investment return.** Enter 5% of line 5			6	21,303.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	21,303.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	329.		
b	Income tax for 2010. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	329.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	20,974.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	20,974.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	20,974.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	27,600.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	27,600.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	27,600.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7					20,974.
2 Undistributed income, if any, as of the end of 2010					
a Enter amount for 2009 only				0.	
b Total for prior years: ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2010:					
a From 2005					
b From 2006	23,545.				
c From 2007	34,902.				
d From 2008	21,406.				
e From 2009					
f **Total** of lines 3a through e		79,853.			
4 Qualifying distributions for 2010 from Part XII, line 4: ▶ $ 27,600.					
a Applied to 2009, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2010 distributable amount					20,974.
e Remaining amount distributed out of corpus		6,626.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		86,479.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr				0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2005 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2011.** Subtract lines 7 and 8 from line 6a		86,479.			
10 Analysis of line 9:					
a Excess from 2006	23,545.				
b Excess from 2007	34,902.				
c Excess from 2008	21,406.				
d Excess from 2009					
e Excess from 2010	6,626.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2010	(b) 2009	(c) 2008	(d) 2007	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
WESTMINSTER SCHOOLS 1424 WEST PACES FERRY ROAD ATLANTA, GA 30327	NONE	EXEMPT PUBLIC CHARITY	EDUCATION	10,000.
SIGMA CHI FOUNDATION 1714 HINMAN AVENUE EVANSTON, IL 60201	NONE	EXEMPT PUBLIC CHARITY	EDUCATION	7,000.
DUKE UNIVERSITY 2127 CAMPUS DRIVE BOX 90600 DURHAM, NC 27708	NONE	EXEMPT PUBLIC CHARITY	EDUCATION	5,000.
CHRIST THE KING 5155 SCOFIELD ROAD ATLANTA, GA 30349	NONE	EXEMPT PUBLIC CHARITY	GENERAL WELFARE	3,500.
UNITED WAY 100 EDGEWOOD AVE NE #200 ATLANTA, GA 30303	NONE	EXEMPT PUBLIC CHARITY	GENERAL WELFARE	600.
Total			▶ 3a	26,100.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	18,648.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	4,069.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		22,717.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 22,717.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 12 Sept 2011 — Title: TRUSTEE

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
LORI EVERS	CPA	9/9/11		P00035862

Firm's name ▶ MAULDIN & JENKINS, LLC — Firm's EIN ▶

Firm's address ▶ — Phone no. 770-955-8600

023622
04-26-11

MAULDIN & JENKINS CPA'S LLC
200 GALLERIA PKWY SE SUITE 1700
ATLANTA, GA 30339-5946
58-0692043

THE CARPINELLA FAMILY FOUNDATION, INC.

CONTINUATION FOR 990-PF, PART IV
20-2455467 PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	47 SHARES XTO ENERGY INC	P	06/12/07	03/30/10
b	50 SHARES LINCOLN NATL CORP IND	P	03/23/05	11/02/10
c	28 SHARES SUNCOR ENERGY INC NEW CAD	P	07/23/08	11/02/10
d	SEE ATTACHMENT "A"	P	08/03/09	01/26/10
e	SEE ATTACHMENT "A"	P	08/14/09	09/09/10
f	SEE ATTACHMENT "B"	P	08/03/09	01/20/10
g	SEE ATTACHMENT "B"	P	12/04/08	06/18/10
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,216.		2,297.	-81.
b	1,231.		2,523.	-1,292.
c	911.		833.	78.
d	77,168.		78,625.	-1,457.
e	19,535.		17,672.	1,863.
f	19,550.		17,542.	2,008.
g	25,308.		22,358.	2,950.
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	
a				-81.
b				-1,292.
c				78.
d				** -1,457.
e				1,863.
f				** 2,008.
g				2,950.
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	4,069.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	551.

023591
05-01-10

** (SHORT-TERM)

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
UBS - 16630	12,855.	0.	12,855.
UBS - 16631	1,586.	0.	1,586.
UBS - 18256	4,207.	0.	4,207.
TOTAL TO FM 990-PF, PART I, LN 4	18,648.	0.	18,648.

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,500.	0.	1,500.	1,500.
TO FORM 990-PF, PG 1, LN 16B	1,500.	0.	1,500.	1,500.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	414.	414.	414.	0.
TO FORM 990-PF, PG 1, LN 18	414.	414.	414.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	5,869.	5,869.	5,869.	0.
TO FORM 990-PF, PG 1, LN 23	5,869.	5,869.	5,869.	0.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 5

DESCRIPTION	AMOUNT
ADDITIONAL EXCISE TAX PAID FOR 2006 RETURN	14.
2009 EXTENSION PAYMENT FOR 990-PF	200.
DECREASE IN INVESTMENT VALUE	11,984.
TOTAL TO FORM 990-PF, PART III, LINE 5	12,198.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT "C"	279,656.	313,096.
TOTAL TO FORM 990-PF, PART II, LINE 10B	279,656.	313,096.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT "C"	114,999.	117,114.
TOTAL TO FORM 990-PF, PART II, LINE 10C	114,999.	117,114.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT "C"	COST	2,559.	3,911.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,559.	3,911.

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes

We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
CANADIAN NATURAL RESOURCES LTD	FIFO	5 000	Aug 03, 09	Jan 26, 10	329.90	323 34		6.56	
ENBRIDGE INC	FIFO	62 000	Aug 03, 09	Jan 13, 10	2,807.92	2,398.77		409.15	
	FIFO	5 000	Aug 03, 09	Jan 12, 10	226.42	193.45		32.97	
EXPEDITORS INTL WASH INC	FIFO	49 000	Aug 03, 09	Jan 08, 10	1,703.55	1,701.67		1.88	
ENTERPRISE PRODUCTS PARTNER LP MLP	FIFO	28 000	Aug 03, 09	Jan 14, 10	902 01	790 43		111.58	
Total					**$5,969.80**	**$5,407.66**		**$562.14**	**$562.14**
Net capital gains/losses.									**$562.14**

Attachment A

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Feb 17	Bought	RMA MONEY MKT. PORTFOLIO	4,151 13
	Feb 19	Bought	RMA MONEY MKT. PORTFOLIO	1,933 47
	Feb 22	Bought	RMA MONEY MKT. PORTFOLIO AS OF 02/19/10	0 01
	Feb 22	Bought	RMA MONEY MKT. PORTFOLIO	1,261.44
	Feb 23	Sold	RMA MONEY MKT. PORTFOLIO	-1,021.21
	Feb 25	Bought	RMA MONEY MKT. PORTFOLIO	1,487.39
	Feb 26	Bought	RMA MONEY MKT. PORTFOLIO	14 40
	Feb 26	**Closing RMA Money Mkt. Portfolio**		**$9,868.88**

The RMA Money Market Portfolio is your primary sweep option

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
HSBC HOLDINGS PLC NEW GB SPON ADR	FIFO	57 000	Aug 03, 09	Feb 11, 10	2,935.94	3,101 31	-165.37		
PRAXAIR INC	FIFO	34 000	Aug 03, 09	Feb 19, 10	2,625 73	2,658.44	-32 71		
SCHWAB CHARLES CORP NEW	FIFO	176.000	Sep 23, 09	Feb 10, 10	3,142 96	3,338.42	-195 46		
	FIFO	64 000	Jan 11, 10	Feb 10, 10	1,142.90	1,216.00	-73 10		
SUNCOR ENERGY INC NEW	FIFO	42 000	Aug 06, 09	Feb 16, 10	1,261 44	1,432.02	-170 58		
VORNADO REALTY TRUST	FIFO	31 000	Aug 03, 09	Feb 12, 10	1,933 47	1,599.83		333 64	
Total					**$13,042.44**	**$13,346.02**	**-$637.22**	**$333.64**	**-$303.58**
Net capital gains/losses·									**-$303.58**

End of statement for account number AT 16631 NF

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
CORNING INC	FIFO	86.000	Jan 20, 10	Mar 04, 10	1,505.42	1,677 10	-171.68		
NORFOLK STHN CORP	FIFO	36.000	Oct 16, 09	Mar 04, 10	1,891.04	1,758.19		132 85	
Total					**$3,396.46**	**$3,435.29**	**-$171.68**	**$132.85**	**-$38.83**
Net capital gains/losses:									**-$38.83**

End of statement for account number AT 16631 NF

Unsettled transaction activity

The following transaction(s) are pending settlement

Trade date	Settlement date	Activity	Description	Quantity	Price / Value ($)	Amount ($)
Apr 28, 10	May 03, 10	Sold	CATERPILLAR INC	-22.000	69 027900	1,518 58
Apr 28, 10	May 03, 10	Sold	CANADIAN NATURAL RESOURCES LTD	-12 000	75 002600	900 01
Apr 28, 10	May 03, 10	Sold	ENTERPRISE PRODUCTS PARTNER LP MLP	-12 000	35 100900	421.20
Apr 29, 10	May 04, 10	Bought	EBAY INC	110.000	24.227000	-2,664.97
Apr 29, 10	May 04, 10	Bought	ECOLAB INC	22.000	48.972100	-1,077 39
Apr 29, 10	May 04, 10	Bought	VISA INC CL A	12.000	94.260800	-1,131.13
Total pending securities purchased						**-$4,873.49**
Total pending securities sold						**$2,839.79**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
BANCO SANTANDER BRASIL ADS	FIFO	248 000	Oct 07, 09	Apr 22, 10	2,867.05	3,237 99	-370 94		
	FIFO	78.000	Jan 05, 10	Apr 22, 10	901.73	1,090.46	-188 73		
BHP BILLITON PLC NEW SPON ADR	FIFO	12.000	Dec 18, 09	Apr 09, 10	843 85	736 89		106 96	
C H ROBINSON WORLDWIDE INC NEW	FIFO	36 000	Oct 22, 09	Apr 12, 10	2,045 49	2,111.68	-66 19		
CATERPILLAR INC	FIFO	16 000	Sep 15, 09	Apr 09, 10	1,041.63	826 58		215 05	
Total					**$7,699.75**	**$8,003.60**	**-$625.86**	**$322.01**	**-$303.85**
Net capital gains/losses.									**-$303.85**

End of statement for account number AT 16631 NF

Account activity this month (continued)

Date	Activity	Description	Quantity	Value ($)	Price ($)	Proceeds from securities transactions ($)	Funds withdrawn for securities bought ($)	Accrued interest ($)
Apr 27	Sold	BANCO SANTANDER BRASIL ADS Other fees and charges $.07 Average Priced Trade ▸ SYMBOL BSBR ▸ CUSIP NO. 05967A107 ▸ Location of Execution 09 ▸ Capacity Agent	326.000		11 560900	3,768.78		

Money balance activities

Date	Activity	Description	Amount ($)
Apr 30	**Balance forward**		**$15,482.32**
May 4	Sold	RMA MONEY MKT. PORTFOLIO	-2,033 70
May 6	Sold	RMA MONEY MKT PORTFOLIO	-2,227 33
May 7	Bought	RMA MONEY MKT PORTFOLIO	60 16
May 11	Bought	RMA MONEY MKT. PORTFOLIO	37.37
May 17	Bought	RMA MONEY MKT PORTFOLIO	1,705 06
May 18	Bought	RMA MONEY MKT. PORTFOLIO	2,123.75
May 21	Bought	RMA MONEY MKT. PORTFOLIO	26.04
May 24	Bought	RMA MONEY MKT. PORTFOLIO AS OF 05/21/10	0 04
May 24	Sold	RMA MONEY MKT PORTFOLIO	-1,034 50
May 27	Sold	RMA MONEY MKT. PORTFOLIO	-1,114.58
May 28	**Closing RMA Money Mkt. Portfolio**		**$13,024.63**

The RMA Money Market Portfolio is your primary sweep option

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
CANADIAN NATURAL RESOURCES LTD	FIFO	29 000	Aug 03, 09	May 12, 10	2,096 29	1,875.37		220 92	
	FIFO	12 000	Aug 03, 09	Apr 28, 10	900 01	776 02		123 99	

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
CATERPILLAR INC	FIFO	22 000	Sep 15, 09	Apr 28, 10	1,518 58	1,136 54		382 04	
ENTERPRISE PRODUCTS PARTNER LP MLP	FIFO	12.000	Aug 03, 09	Apr 28, 10	421 20	338 75		82 45	
Total					**$4,936.08**	**$4,126.68**		**$809.40**	**$809.40**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
JPMORGAN CHASE & CO	FIFO	11 000	Mar 23, 05	May 11, 10	457.32	385.22		72.10	[1]
	FIFO	29 000	Nov 17, 08	May 11, 10	1,205 66	964.83		240 83	[1]
Total					**$1,662.98**	**$1,350.05**		**$312.93**	**$312.93**
Net capital gains/losses:									**$1,122.33**

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Unsettled transaction activity

The following transaction(s) are pending settlement.

Trade date	Settlement date	Activity	Description	Quantity	Price / Value ($)	Amount ($)
Jun 30, 10	Jul 06, 10	Bought	ECOLAB INC	69.000	44.978600	-3,103.52
Total pending investments purchased						**-$3,103.52**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
BAXTER INTL INC	FIFO	56.000	Aug 03, 09	Jun 15, 10	2,369.36	3,161.20	-791 84		
	FIFO	20.000	Dec 11, 09	Jun 15, 10	846.20	1,190 44	-344 24		
BHP BILLITON PLC NEW SPON ADR	FIFO	20.000	Dec 18, 09	Jun 10, 10	1,101 56	1,228.15	-126.59		
CATERPILLAR INC	FIFO	14 000	Sep 15, 09	Jun 03, 10	854.54	723.25		131.29	
MEDCO HEALTH SOLUTIONS INC	FIFO	32 000	Oct 21, 09	Jun 03, 10	1,875.02	1,822.01		53.01	
Total					**$7,046.68**	**$8,125.05**	**-$1,262.67**	**$184.30**	**-$1,078.37**
Net capital gains/losses:									**-$1,078.37**

End of statement for account number AT 16631 NF

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AMER TOWER CORP CL A	FIFO	19 000	Aug 03, 09	Jul 23, 10	886 41	661 00		225 41	225 41
Net capital gains/losses:									**$225.41**

End of statement for account number AT 16631 NF

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities	**Jul 30**	**Balance forward**		**$14,458.46**
	Aug 3	Bought	RMA MONEY MKT PORTFOLIO	3 25
	Aug 6	Bought	RMA MONEY MKT. PORTFOLIO	54 05
	Aug 10	Bought	RMA MONEY MKT. PORTFOLIO	37 74
	Aug 16	Sold	RMA MONEY MKT. PORTFOLIO	-2,331.39
	Aug 17	Sold	RMA MONEY MKT. PORTFOLIO	-1,566.59
	Aug 19	Sold	RMA MONEY MKT. PORTFOLIO	-836.40
	Aug 23	Bought	RMA MONEY MKT PORTFOLIO	11.44
	Aug 24	Bought	RMA MONEY MKT. PORTFOLIO	2,295 99
	Aug 25	Bought	RMA MONEY MKT. PORTFOLIO AS OF 08/24/10	0.04
	Aug 25	Sold	RMA MONEY MKT. PORTFOLIO	-1,085.01
	Aug 27	Sold	RMA MONEY MKT. PORTFOLIO	-1,896.94
	Aug 30	Sold	RMA MONEY MKT. PORTFOLIO	-1,088.94
	Aug 31	Bought	RMA MONEY MKT. PORTFOLIO	25.40
	Aug 31	**Closing RMA Money Mkt. Portfolio**		**$8,081.10**

The RMA Money Market Portfolio is your primary sweep option

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
YAHOO INC	FIFO	164.000	Apr 09, 10	Aug 18, 10	2,295 99	2,870 00	-574 01		-574 01

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
NEXTERA ENERGY INC COM	FIFO	15 000	Aug 03, 09	Aug 24, 10	818.25	853.35	-35.10		-35 10
Net capital gains/losses:									**-$609.11**

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities	**Aug 31**	**Balance forward**		**$8,081.10**
	Sep 2	Bought	RMA MONEY MKT PORTFOLIO	65.22
	Sep 10	Bought	RMA MONEY MKT PORTFOLIO	1,628 58
	Sep 13	Bought	RMA MONEY MKT. PORTFOLIO	42.77
	Sep 14	Bought	RMA MONEY MKT PORTFOLIO	2,561.92
	Sep 15	Bought	RMA MONEY MKT. PORTFOLIO	613.85
	Sep 16	Bought	RMA MONEY MKT. PORTFOLIO	48.40
	Sep 21	Sold	RMA MONEY MKT. PORTFOLIO	-2,199.71
	Sep 22	Sold	RMA MONEY MKT. PORTFOLIO	-2,704.86
	Sep 24	Bought	RMA MONEY MKT. PORTFOLIO AS OF 09/23/10	0 04
	Sep 27	Sold	RMA MONEY MKT. PORTFOLIO	-1,743.38
	Sep 30	Bought	RMA MONEY MKT. PORTFOLIO	13.75
	Sep 30	**Closing RMA Money Mkt. Portfolio**		**$6,407.68**

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Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
MEDCO HEALTH SOLUTIONS INC	FIFO	8.000	Oct 21, 09	Sep 03, 10	358 76	455 50	-96 74		
	FIFO	12 000	Nov 30, 09	Sep 03, 10	538 14	757 12	-218 98		
	FIFO	16 000	Dec 11, 09	Sep 03, 10	717 52	1,050 93	-333 41		
BLACKSTONE GROUP LP/THE UNIT REPSTG LTD PARTNERSHIP INT	FIFO	192.000	Sep 14, 09	Sep 08, 10	1,936.57	2,673.16	-736.59		
	FIFO	5.000	Nov 24, 09	Sep 08, 10	50.43	70.63	-20.20		

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	5.000	Nov 27, 09	Sep 08, 10	50.43	66.11	-15 68		
	FIFO	20.000	Dec 09, 09	Sep 08, 10	201.73	264 79	-63 06		
	FIFO	32.000	Dec 17, 09	Sep 08, 10	322.76	423.86	-101.10		
Total					**$4,176.34**	**$5,762.10**	**-$1,585.76**		**-$1,585.76**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
PLAINS ALL AMER PIPELINE LP UNIT LTD PARTNERSHIP INT MLP	FIFO	10.000	Aug 14, 09	Sep 09, 10	613.85	462.41		151.44	151 44
Net capital gains/losses:									**-$1,434.32**

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Oct 21	Bought	RMA MONEY MKT PORTFOLIO	4,362.63
	Oct 22	Sold	RMA MONEY MKT. PORTFOLIO	-923 03
	Oct 25	Sold	RMA MONEY MKT. PORTFOLIO AS OF 10/22/10	-433 15
	Oct 25	Bought	RMA MONEY MKT. PORTFOLIO AS OF 10/22/10	0 05
	Oct 25	Sold	RMA MONEY MKT PORTFOLIO	-1,697 24
	Oct 29	Sold	RMA MONEY MKT. PORTFOLIO	-567.76
	Oct 29	**Closing RMA Money Mkt. Portfolio**		**$10,039.32**

The RMA Money Market Portfolio is your primary sweep option

Unsettled transaction activity

The following transaction(s) are pending settlement

Trade date	Settlement date	Activity	Description	Quantity	Price / Value ($)	Amount ($)
Oct 27, 10	Nov 01, 10	Sold	EBAY INC	-43.000	29.143400	1,253.14
Oct 28, 10	Nov 02, 10	Bought	CUMMINS INC	7 000	88.711900	-620.98
Total pending investments purchased						**-$620.98**
Total pending investments sold						**$1,253.14**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
C H ROBINSON WORLDWIDE INC NEW	FIFO	11 000	Oct 22, 09	Oct 12, 10	776 50	645 24		131.26	
	FIFO	10 000	Nov 11, 09	Oct 12, 10	705.91	580 58		125 33	
	FIFO	20 000	Dec 17, 09	Oct 12, 10	1,411 82	1,162 59		249.23	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
ECOLAB INC	FIFO	13 000	Apr 29, 10	Oct 25, 10	678.12	636.64		41 48	
JPMORGAN CHASE & CO	FIFO	32.000	Jan 05, 10	Oct 15, 10	1,213.95	1,394.56	-180.61		
	FIFO	50 000	Oct 04, 10	Oct 15, 10	1,896.80	1,965.44	-68 64		
MICROSOFT CORP	FIFO	109.000	Jan 26, 10	Oct 01, 10	2,660 97	3,250.26	-589 29		
NORFOLK STHN CORP	FIFO	5 000	Oct 16, 09	Oct 05, 10	295.53	244.19		51.34	
PARTNERRE LTD ORD	FIFO	8.000	Nov 11, 09	Oct 04, 10	639.20	615.97		23.23	
BOARDWALK PIPELINE PARTNERS LP UNITS REPSTNG LP INTERESTS	FIFO	36.000	Feb 18, 10	Oct 18, 10	1,224.88	1,074.12		150.76	
	FIFO	38.000	Feb 22, 10	Oct 18, 10	1,292.93	1,138.34		154 59	
Total					**$12,796.61**	**$12,707.93**	**-$838.54**	**$927.22**	**$88.68**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
JPMORGAN CHASE & CO	FIFO	33.000	Nov 17, 08	Oct 15, 10	1,251.89	1,097.91		153.98	[1]
NEXTERA ENERGY INC COM	FIFO	15.000	Aug 03, 09	Oct 04, 10	808.47	853.35	-44 88		
PHILIP MORRIS INTL INC	FIFO	30.000	Aug 03, 09	Oct 05, 10	1,662.19	1,411.50		250.69	
PROCTER & GAMBLE CO	FIFO	24.000	Mar 23, 05	Oct 04, 10	1,437.32	1,258.32		179.00	[1]
PLAINS ALL AMER PIPELINE LP UNIT LTD PARTNERSHIP INT MLP	FIFO	35 000	Aug 14, 09	Oct 07, 10	2,237.87	1,618.42		619 45	
Total					**$7,397.74**	**$6,239.50**	**-$44.88**	**$1,203.12**	**$1,158.24**
Net capital gains/losses:									**$1,246.92**

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

End of statement for account number AT 16631 NF

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
EBAY INC	FIFO	43.000	Apr 29, 10	Oct 27, 10	1,253.14	1,041.76		211.38	
MEAD JOHNSON NUTRITION CO COM STOCK	FIFO	48 000	Jan 19, 10	Nov 04, 10	2,875 22	2,250 31		624.91	
OCCIDENTAL PETROLEUM CRP	FIFO	15.000	Feb 11, 10	Nov 16, 10	1,269 27	1,201.03		68.24	
Total					**$5,397.63**	**$4,493.10**		**$904.53**	**$904.53**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
VERISK ANALYTICS INC CL A	FIFO	12 000	Oct 12, 09	Nov 05, 10	366 86	322.04		44.82	44.82
Net capital gains/losses:									**$949.35**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
ECOLAB INC	FIFO	9.000	Apr 29, 10	Dec 10, 10	432.93	440.75	-7 82		
	FIFO	19 000	Jun 30, 10	Dec 10, 10	913.97	854.59		59 38	
PARTNERRE LTD ORD	FIFO	15 000	Dec 04, 09	Dec 03, 10	1,171.75	1,139.01		32.74	
	FIFO	6 000	Dec 07, 09	Dec 03, 10	468.70	458.50		10.20	
RANGE RESOURCES CORP	FIFO	12.000	Apr 12, 10	Nov 30, 10	505.80	604 44	-98 64		
VISA INC CL A	FIFO	2 000	Apr 29, 10	Dec 14, 10	161 11	188 52	-27 41		
	FIFO	25.000	May 03, 10	Dec 14, 10	2,013.84	2,227.33	-213 49		
	FIFO	26 000	Aug 24, 10	Dec 14, 10	2,094 39	1,817.89		276.50	
	FIFO	12.000	Feb 11, 10	Dec 10, 10	960.46	1,013.97	-53.51		
	FIFO	10.000	Apr 29, 10	Dec 10, 10	800.38	942.61	-142.23		
Total					**$9,523.33**	**$9,687.61**	**-$543.10**	**$378.82**	**-$164.28**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
PARTNERRE LTD ORD	FIFO	14 000	Nov 11, 09	Dec 03, 10	1,093.63	1,077.95		15.68	
PHILIP MORRIS INTL INC	FIFO	36.000	Aug 03, 09	Dec 10, 10	2,130 19	1,693 80		436.39	
	FIFO	24.000	Sep 14, 09	Dec 10, 10	1,420.12	1,145 54		274 58	
RANGE RESOURCES CORP	FIFO	65.000	Aug 03, 09	Nov 30, 10	2,739 76	3,123.74	-383.98		
	FIFO	13 000	Oct 20, 09	Nov 30, 10	547 95	759.99	-212.04		
VERISK ANALYTICS INC CL A	FIFO	24 000	Oct 12, 09	Dec 01, 10	744 13	644 09		100 04	
Total					**$8,675.78**	**$8,445.11**	**-$596.02**	**$826.69**	**$230.67**
Net capital gains/losses:									**$66.39**

End of statement for account number AT 16631 NF

Unsettled transaction activity

The following transaction(s) are pending settlement

Trade date	Settlement date	Activity	Description	Quantity	Price / Value ($)	Amount ($)
Jan 28, 10	Feb 02, 10	Sold	TOPPAN PRINTING LTD ADR	-1 000	44 700600	44 69
Jan 29, 10	Feb 03, 10	Sold	TOPPAN PRINTING LTD ADR	-8.000	43.788700	350.30
Total pending securities sold						**$394.99**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
NOVARTIS AG SPON ADR	FIFO	30 000	Aug 03, 09	Jan 20, 10	1,604.75	1,376.40		228.35	
	FIFO	15 000	Aug 03, 09	Jan 14, 10	795 91	688.20		107.71	
	FIFO	2 000	Apr 14, 09	Jan 12, 10	104.73	73.78		30.95	[1]
	FIFO	13.000	Aug 03, 09	Jan 12, 10	680 74	596 44		84.30	
	FIFO	11 000	Feb 17, 09	Jan 08, 10	573.10	463 57		109 53	[1]
	FIFO	10 000	Apr 14, 09	Jan 08, 10	521 00	368 92		152 08	[1]
Total					**$4,280.23**	**$3,567.31**		**$712.92**	**$712.92**
Net capital gains/losses.									**$712.92**

[1] indicates cost basis information has been provided by a source other than UBS Financial Services

Schedule B

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
TOPPAN PRINTING LTD ADR	FIFO	8 000	Dec 20, 06	Jan 29, 10	350 30	424.08	-73 78		[1]
	FIFO	1 000	Dec 20, 06	Jan 28, 10	44.69	53 01	-8.32		[1]
Total					**$394.99**	**$477.09**	**-$82.10**		**-$82.10**
Net capital gains/losses:									**-$82.10**

[1] indicates cost basis information has been provided by a source other than UBS Financial Services.

End of statement for account number AT 18256 NF

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
NINTENDO LTD ADR NEW JAPAN ADR	FIFO	11.000	Aug 03, 09	Mar 26, 10	469 03	370 04		98.99	98 99

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
FUJI FILM HOLDINGS CORP ADR	FIFO	18.000	Mar 23, 05	Mar 08, 10	580.66	666 95	-86 29		[1]
SHISEIDO CO LTD SPONS ADR JAPAN	FIFO	23.000	Mar 29, 05	Mar 04, 10	519.08	304.83		214.25	[1]
	FIFO	36 000	May 18, 05	Mar 04, 10	812.47	432 75		379.72	[1]
Total					**$1,912.21**	**$1,404.53**	**-$86.29**	**$593.97**	**$507.68**
Net capital gains/losses									**$606.67**

[1] indicates cost basis information has been provided by a source other than UBS Financial Services

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
BELGACOM SA ADR	FIFO	398.000	Aug 04, 09	Apr 15, 10	3,057.97	2,897.44		160.53	160.53

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
TOPPAN PRINTING LTD ADR	FIFO	1.000	Dec 20, 06	Apr 14, 10	45.24	53.01	-7.77		[1]
	FIFO	35.000	Dec 20, 06	Apr 14, 10	1,597 20	1,855.35	-258.15		[1]
Total					**$1,642.44**	**$1,908.36**	**-$265.92**		**-$265.92**
Net capital gains/losses:									**-$105.39**

[1] indicates cost basis information has been provided by a source other than UBS Financial Services

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	May 24	Bought	RMA MONEY MKT. PORTFOLIO AS OF 05/21/10	0.04
	May 24	Sold	RMA MONEY MKT. PORTFOLIO	-2,100.81
	May 26	Sold	RMA MONEY MKT. PORTFOLIO	-5.17
	May 27	Sold	RMA MONEY MKT. PORTFOLIO	-261.95
	May 28	Sold	RMA MONEY MKT. PORTFOLIO	-642.07
	May 28	**Closing RMA Money Mkt. Portfolio**		**$5,848.56**

The RMA Money Market Portfolio is your primary sweep option

Unsettled transaction activity

The following transaction(s) are pending settlement.

Trade date	Settlement date	Activity	Description	Quantity	Price / Value ($)	Amount ($)
May 28, 10	Jun 03, 10	Bought	NEWCREST MINING LTD SPON AUSTRALIA ADR	14.000	27.367900	-383.15
Total pending securities purchased						**-$383.15**

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AEGON NV ADR N Y SHS NETHERLANDS ADR	FIFO	63 000	Aug 03, 09	May 21, 10	366 69	493 29	-126 60		
BP PLC SPON ADR	FIFO	42.000	Aug 03, 09	May 21, 10	1,851.17	2,192.40	-341 23		
	FIFO	9 000	Apr 30, 10	May 21, 10	396.68	476 74	-80 06		
MAGNA INTL INC CL A SUB VTG CANADA ORD	FIFO	12.000	Aug 03, 09	May 10, 10	868.40	608.88		259.52	
	FIFO	2.000	Aug 03, 09	May 07, 10	141.26	101.48		39.78	
TDK CORP ADR JAPAN ADR	FIFO	12.000	Aug 03, 09	May 06, 10	778.18	638.40		139.78	
Total					**$4,402.38**	**$4,511.19**	**-$547.89**	**$439.08**	**-$108.81**

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AEGON NV ADR N Y SHS NETHERLANDS ADR	FIFO	31 000	Feb 15, 08	May 21, 10	180 43	426 87	-246.44		[1]
	FIFO	20 000	Oct 24, 08	May 21, 10	116 41	96 60		19 81	[1]
	FIFO	73 000	Feb 23, 09	May 21, 10	424.90	304 50		120.40	[1]
BARRICK GOLD CORP	FIFO	13 000	Sep 16, 08	May 07, 10	559 31	359 86		199.45	[1]
	FIFO	7 000	Apr 09, 09	May 07, 10	301.16	201.74		99 42	[1]
BP PLC SPON ADR	FIFO	12 000	Feb 26, 07	May 21, 10	528.91	772 20	-243 29		[1]
	FIFO	11 000	Jun 04, 07	May 21, 10	484 83	751.63	-266.80		[1]
SEVEN & I HLDGS CO LTD ADR	FIFO	2.000	Dec 24, 08	May 12, 10	101.13	126.10	-24.97		[1]
	FIFO	5 000	Jan 07, 09	May 12, 10	252.82	295.10	-42.28		[1]
	FIFO	8.000	Jan 08, 09	May 12, 10	404.52	473.36	-68.84		[1]
	FIFO	4.000	Feb 18, 09	May 12, 10	202.26	201.48		0.78	[1]
TDK CORP ADR JAPAN ADR	FIFO	1.000	Oct 22, 08	May 06, 10	64.85	34.74		30.11	[1]
	FIFO	12.000	Dec 12, 08	May 06, 10	778.18	399.37		378.81	[1]
Total					**$4,399.71**	**$4,443.55**	**-$892.62**	**$848.78**	**-$43.84**
Net capital gains/losses:									**-$152.65**

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AEGON NV ADR N Y SHS NETHERLANDS ADR	FIFO	31.000	Feb 15, 08	May 21, 10	180 43	426.87	-246 44		[1]
	FIFO	20.000	Oct 24, 08	May 21, 10	116 41	96 60		19 81	[1]
	FIFO	73 000	Feb 23, 09	May 21, 10	424.90	304 50		120 40	[1]
BARRICK GOLD CORP	FIFO	13 000	Sep 16, 08	May 07, 10	559 31	359.86		199.45	[1]
	FIFO	7.000	Apr 09, 09	May 07, 10	301.16	201.74		99 42	[1]
BP PLC SPON ADR	FIFO	12.000	Feb 26, 07	May 21, 10	528 91	772 20	-243.29		[1]
	FIFO	11 000	Jun 04, 07	May 21, 10	484.83	751.63	-266 80		[1]
SEVEN & I HLDGS CO LTD ADR	FIFO	2.000	Dec 24, 08	May 12, 10	101.13	126 10	-24.97		[1]
	FIFO	5.000	Jan 07, 09	May 12, 10	252.82	295.10	-42.28		[1]
	FIFO	8.000	Jan 08, 09	May 12, 10	404.52	473.36	-68.84		[1]
	FIFO	4.000	Feb 18, 09	May 12, 10	202.26	201.48		0.78	[1]
TDK CORP ADR JAPAN ADR	FIFO	1.000	Oct 22, 08	May 06, 10	64.85	34.74		30 11	[1]
	FIFO	12.000	Dec 12, 08	May 06, 10	778.18	399.37		378.81	[1]
Total					**$4,399.71**	**$4,443.55**	**-$892.62**	**$848.78**	**-$43.84**
Net capital gains/losses:									**-$152.65**

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Jun 15	Bought	RMA MONEY MKT PORTFOLIO	241.94
	Jun 16	Bought	RMA MONEY MKT PORTFOLIO	101 07
	Jun 24	Bought	RMA MONEY MKT PORTFOLIO AS OF 06/23/10	0.04
	Jun 24	Bought	RMA MONEY MKT. PORTFOLIO	57.62
	Jun 25	Bought	RMA MONEY MKT. PORTFOLIO	28.12
	Jun 28	Bought	RMA MONEY MKT. PORTFOLIO	51.81
	Jun 30	**Closing RMA Money Mkt. Portfolio**		**$8,030.64**

The RMA Money Market Portfolio is your primary sweep option

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
REXAM PLC SPON ADR	FIFO	34 000	Oct 27, 09	Jun 04, 10	792.49	772 93		19.56	
UNITED UTILITIES GROUP									
ADR	FIFO	111 000	Aug 03, 09	Jun 18, 10	1,774 56	1,706 07		68 49	
Total					**$2,567.05**	**$2,479.00**		**$88.05**	**$88.05**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
ANGLOGOLD ASHANTI LTD									
NEW SPON ADR	FIFO	6.000	Mar 04, 08	May 28, 10	250.91	219.06		31.85	1
	FIFO	14.000	Mar 18, 08	May 28, 10	585.45	476.00		109 45	1
UNITED UTILITIES GROUP									
ADR	FIFO	15 000	Mar 23, 05	Jun 18, 10	239.81	467.25	-227.44		1
	FIFO	7.000	Aug 08, 05	Jun 18, 10	111.91	205.82	-93 91		1

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	38.000	Dec 04, 08	Jun 18, 10	607.51	654.83	-47.32		[1]
	FIFO	20.000	Apr 02, 09	Jun 18, 10	319.74	284.40		35.34	[1]
Total					**$2,115.33**	**$2,307.36**	**-$368.67**	**$176.64**	**-$192.03**
Net capital gains/losses:									**-$103.98**

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End of statement for account number AT 18256 NF

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Jul 26	Bought	RMA MONEY MKT. PORTFOLIO AS OF 07/23/10	0 05
	Jul 27	Bought	RMA MONEY MKT. PORTFOLIO	1,008.78
	Jul 28	Bought	RMA MONEY MKT. PORTFOLIO	17.34
	Jul 30	**Closing RMA Money Mkt. Portfolio**		**$10,630.14**

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Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
ASTRAZENECA PLC SPON ADR	FIFO	10.000	Jan 11, 10	Jul 01, 10	468.97	477.10	-8.13		
BP PLC SPON ADR	FIFO	12.000	Jun 18, 10	Jul 15, 10	443.71	386.57		57.14	
IVANHOE MINES LTD	FIFO	25.000	Aug 03, 09	Jul 21, 10	421.81	211.75		210.06	
SHISEIDO CO LTD SPONS ADR JAPAN	FIFO	2 000	Aug 03, 09	Jul 16, 10	44.60	32 00		12 60	
Total					**$1,379.09**	**$1,107.42**	**-$8.13**	**$279.80**	**$271.67**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
IVANHOE MINES LTD	FIFO	2 000	Jan 09, 08	Jul 21, 10	33 75	21 26		12 49	[1]
SHISEIDO CO LTD SPONS ADR JAPAN	FIFO	2 000	May 18, 05	Jul 16, 10	44 60	24 04		20 56	[1]
	FIFO	11 000	Dec 18, 08	Jul 16, 10	245 30	232 21		13 09	[1]
	FIFO	19 000	Mar 31, 09	Jul 16, 10	423 69	281 01		142 68	[1]

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
SWISSCOM AG SPON ADR	FIFO	15.000	Mar 23, 05	Jul 21, 10	553.22	559.35	-6 13		[1]
Total					**$1,300.56**	**$1,117.87**	**-$6.13**	**$188.82**	**$182.69**
Net capital gains/losses:									**$454.36**

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Aug 23	Bought	KINROSS GOLD CORP NEW		22.000	15.466100	-340 25	10,707 64
		Average Priced Trade ▶ SYMBOL KGC ▶ CUSIP NO 496902404 ▶ Location of Execution 09 ▶ Capacity Agent					
Aug 25	Dividend	RMA MONEY MKT. PORTFOLIO AS OF 08/24/10				.04	10,707 68
Aug 31		**Closing cash and money balance**					**$10,707.68**
		Proceeds from investment transactions					**$1,376.64**
		Funds used for investment transactions					**-$1,600.88**

	Date	Activity	Description	Amount ($)
Money balance activities	**Jul 30**	**Balance forward**		**$10,630.14**
	Aug 9	Bought	RMA MONEY MKT PORTFOLIO	146 26
	Aug 10	Bought	RMA MONEY MKT. PORTFOLIO	513 88
	Aug 16	Bought	RMA MONEY MKT. PORTFOLIO	509.79
	Aug 18	Sold	RMA MONEY MKT. PORTFOLIO	-752 18
	Aug 23	Sold	RMA MONEY MKT. PORTFOLIO	-340 25
	Aug 25	Bought	RMA MONEY MKT PORTFOLIO AS OF 08/24/10	0 04
	Aug 31	**Closing RMA Money Mkt. Portfolio**		**$10,707.68**

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
BP PLC SPON ADR	FIFO	22 000	Jun 18, 10	Aug 04, 10	866.85	708 71		158 14	158.14

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
SOCIETE GENERALE FRANCE SPONS ADR ADR	FIFO	29.000	Oct 27, 08	Aug 10, 10	343.81	287.10		56.71	1
	FIFO	14.000	Jan 16, 09	Aug 10, 10	165.98	118.44		47 54	1
Total					**$509.79**	**$405.54**		**$104.25**	**$104.25**
Net capital gains/losses									**$262.39**

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Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
IVANHOE MINES LTD	FIFO	5.000	Aug 03, 09	Sep 24, 10	116.75	42.35		74.40	
	FIFO	11.000	Aug 03, 09	Sep 24, 10	259.59	93.17		166.42	
MAGNA INTL INC CL A SUB VTG CANADA ORD	FIFO	5 000	Aug 03, 09	Sep 27, 10	397 23	253 70		143.53	
	FIFO	6.000	Aug 03, 09	Sep 14, 10	474.38	304.44		169.94	
Total					**$1,247.95**	**$693.66**		**$554.29**	**$554.29**
Net capital gains/losses:									**$554.29**

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Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
ALUMINA LTD SPON ADR	FIFO	50 000	Mar 23, 05	Oct 11, 10	400.36	950 61	-550 25		[1]
KINROSS GOLD CORP NEW	FIFO	21.000	Aug 03, 09	Oct 01, 10	398.70	420.63	-21.93		
	FIFO	18.000	Aug 03, 09	Sep 29, 10	342.06	360.54	-18.48		
MAGNA INTL INC CL A SUB VTG CANADA ORD	FIFO	6.000	Aug 03, 09	Oct 15, 10	525.22	304.44		220.78	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	FIFO	13.000	Mar 23, 05	Oct 05, 10	773.90	814 06	-40 16		[1]
Total					**$2,440.24**	**$2,850.28**	**-$630.82**	**$220.78**	**-$410.04**
Net capital gains/losses.									**-$410.04**

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy.

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities	**Oct 29**	**Balance forward**		**$7,478.56**
	Nov 2	Bought	RMA MONEY MKT. PORTFOLIO	3,269.63
	Nov 8	Bought	RMA MONEY MKT PORTFOLIO	578 99
	Nov 9	Bought	RMA MONEY MKT. PORTFOLIO	801.48
	Nov 15	Bought	RMA MONEY MKT. PORTFOLIO	36.42
	Nov 16	Sold	RMA MONEY MKT. PORTFOLIO	-653.44
	Nov 23	Bought	RMA MONEY MKT. PORTFOLIO AS OF 11/22/10	0.05
	Nov 30	Sold	RMA MONEY MKT. PORTFOLIO	-1,893.78
	Nov 30	**Closing RMA Money Mkt. Portfolio**		**$9,617.91**

The RMA Money Market Portfolio is your primary sweep option.

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes

We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
BP PLC SPON ADR	FIFO	20 000	Jun 18, 10	Nov 10, 10	859 80	644 28		215 52	
	FIFO	20 000	Jun 18, 10	Nov 09, 10	865.87	644 28		221 59	
	FIFO	19 000	Jun 18, 10	Nov 03, 10	801.48	612 07		189.41	
Total					**$2,527.15**	**$1,900.63**		**$626.52**	**$626.52**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
IVANHOE MINES LTD CAD	FIFO	51.000	Aug 03, 09	Nov 08, 10	1,329.83	431 97		897.86	
NEWCREST MINING LTD SPON AUSTRALIA ADR	FIFO	16 000	Jul 13, 06	Oct 27, 10	613.24	242.28		370 96	1
	FIFO	1 000	Aug 12, 08	Oct 27, 10	38.33	20.79		17.54	1

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
SEGA SAMMY HLDG INC SPON ADR	FIFO	76 000	Dec 20, 06	Oct 27, 10	298.53	494.89	-196.36		[1]
	FIFO	72.000	Jan 24, 07	Oct 27, 10	282 82	480.96	-198.14		[1]
	FIFO	47.000	May 15, 07	Oct 27, 10	184 62	225.60	-40.98		[1]
	FIFO	85 000	Jan 09, 08	Oct 27, 10	333.88	269 82		64 06	[1]
	FIFO	79 000	Apr 02, 09	Oct 27, 10	310.31	189.60		120.71	[1]
	FIFO	303 000	Aug 03, 09	Oct 27, 10	1,190.19	1,012.02		178 17	
SIEMENS A G SPON ADR	FIFO	5.000	Sep 29, 08	Nov 11, 10	584 34	464.85		119.49	[1]
VODAFONE GROUP PLC NEW SPON ADR	FIFO	11.000	May 25, 06	Nov 02, 10	303.28	276 21		27.07	[1]
	FIFO	10.000	Aug 03, 06	Nov 02, 10	275.71	217.53		58.18	[1]
Total					**$5,745.08**	**$4,326.52**	**-$435.48**	**$1,854.04**	**$1,418.56**
Net capital gains/losses:									**$2,045.08**

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes

We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
BARRICK GOLD CORP CAD	FIFO	8.000	Apr 09, 09	Dec 06, 10	436.77	230.56		206.21	[1]
	FIFO	5 000	Aug 03, 09	Dec 06, 10	272.98	181.10		91.88	
CAMECO CORP CANADA CAD	FIFO	11.000	Aug 08, 08	Dec 01, 10	410.93	355.66		55.27	[1]
	FIFO	17 000	Sep 15, 08	Dec 01, 10	635 07	410.72		224.35	[1]
	FIFO	9.000	Aug 03, 09	Dec 01, 10	336.22	260 82		75.40	
EMBRAER AIRCRAFT CORP **NAME CHANGE 12/2010** ADR	FIFO	21 000	Oct 30, 09	Dec 07, 10	625 36	462 89		162.47	
	FIFO	11 000	Oct 30, 09	Dec 06, 10	327.90	242 47		85 43	
MAGNA INTL INC CL A SUB VTG CANADA ORD CAD	FIFO	1 000	Aug 03, 09	Dec 13, 10	50 43	25.37		25.06	
	FIFO	10 000	Aug 03, 09	Dec 10, 10	503 69	253 70		249 99	
Total					**$3,599.35**	**$2,423.29**		**$1,176.06**	**$1,176.06**
Net capital gains/losses:									**$1,176.06**

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[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	0 00	2,598.44				
RMA MONEY MKT PORTFOLIO	4,013 89	4,250.65	1.00	0.01%	Nov 23 to Dec 26	34
Total	**$4,013.89**	**$6,849.09**				

Equities

Closed end funds & Exchange traded products

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BLACKROCK REAL ASSET EQUITY TRUST								
Symbol BCF Exchange NYSE								
EAI $1,299 Current yield 7 44%	Oct 16, 09	1,100.000	12 296	13,526.15	14 620	16,082 00	2,555 85	LT
	Earnings	95.000	12.531	1,190 51	14.620	1,388.90	198.39	
Security total		1,195 000		14,716 66		17,470.90	2,754.24	
ING RISK MANAGED NAT RESOURCES FUND								
Symbol IRR Exchange NYSE								
EAI $1,452 Current yield 9 47%	Oct 16, 09	700 000	17 750	12,425 00	15 330	10,731 00	-1,694 00	LT
	Nov 2, 10	300 000	15 476	4,642 80	15 330	4,599 00	-43 80	ST
Security total		1,000 000		17,067 80		15,330 00	-1,737 80	
Total				**$31,784.46**		**$32,800.90**	**$1,016.44**	

Total estimated annual income $2,751

Attachment C

Your assets (continued)

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include any cash dividends that were not reinvested.

Holding	Number of shares	Purchase price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
FT TEMPLETON GLOBAL BOND A									
Symbol TPINX									
Trade date Aug 24, 09	1,224.490	12.250	15,000.00	15,000.00	13 590	16,640 82	1,640.82		LT
Trade date Oct 16, 09	394.945	12.660	5,000.00	5,000.00	13.590	5,367.30	367.30		LT
EAI $939 Current yield 4 27%									
Security total	1,619.435		20,000.00	20,000.00		22,008.12	2,008 12	2,008.12	
OPPENHEIMER INTL BOND FD CL A									
Symbol OIBAX									
Trade date Aug 24, 09	1,592 181	6 280	9,998.90	9,998 90	6 560	10,444 70	445.80		LT
Trade date Oct 16, 09	1,508.296	6.630	10,000 00	10,000 00	6 560	9,894 42	-105.58		LT
EAI $837 Current yield 4 12%									
Security total	3,100.477		19,998.90	19,998.90		20,339.12	340.22	340 22	
TCW TOTAL RETURN									
BOND FUND									
Symbol TGMNX									
Trade date Aug 6, 09	4,897 160	10.210	50,000 00	50,000 00	10 260	50,244.86	244.86		LT
Trade date Oct 16, 09	2,390.057	10 460	25,000 00	25,000.00	10.260	24,521 98	-478 02		LT
EAI· $5,524 Current yield 7 39%									
Security total	7,287.217		75,000 00	75,000.00		74,766.84	-233.16	-233 16	
Total			**$114,998.90**	**$114,998.90**		**$117,114.08**	**$2,115.18**	**$2,115.18**	

Total estimated annual income: $7,300

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**6,849.09**	**4.37%**	**6,849.09**		
Equities	**Closed end funds & Exchange traded products**	**32,800.90**	**20.92%**	**31,784.46**	**2,751.00**	**1,016.44**
Fixed income	**Mutual funds**	**117,114.08**	**74.71%**	**114,998.90**	**7,300.00**	**2,115.18**
Total		**$156,764.07**	**100.00%**	**$153,632.45**	**$10,051.00**	**$3,131.62**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		**Cash and money balance**					**$4,013.89**
Dec 1	Dividend	OPPENHEIMER INTL BOND FD CL A AS OF 11/30/10				68 34	4,082 23
Dec 2	Dividend	TCW TOTAL RETURN BOND FUND AS OF 11/30/10				473 67	4,555 90
Dec 10	St Cap Gain	RMA MONEY MKT. PORTFOLIO AS OF 12/09/10				.16	4,556.06
Dec 17	Dividend	FT TEMPLETON GLOBAL BOND A				294.58	4,850.64
Dec 27	Dividend	RMA MONEY MKT. PORTFOLIO AS OF 12/23/10				01	4,850.65
Dec 30	Bsa Check	UNITED WAY CHECK PAID 001014				-600.00	4,250.65
Dec 31	Lt Cap Gain	TCW TOTAL RETURN BOND FUND LONG TERM CAPITAL GAIN AS OF 12/29/10				255 05	
Dec 31	Dividend	TCW TOTAL RETURN BOND FUND AS OF 12/29/10				473 67	
Dec 31	St Cap Gain	TCW TOTAL RETURN BOND FUND SHORT TERM CAPITAL GAIN AS OF 12/29/10				1,676 06	
Dec 31	Lt Cap Gain	OPPENHEIMER INTL BOND FD CL A LONG TERM CAPITAL GAIN AS OF 12/30/10				193 66	6,849.09
Dec 31		**Closing cash and money balance**					**$6,849.09**

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	5,354.98	1,873 75	1 00	0 01%	Nov 23 to Dec 26	34

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AMER TOWER CORP CL A								
Symbol AMT Exchange NYSE	Aug 3, 09	71.000	34.789	2,470 07	51 640	3,666 44	1,196.37	LT
	Aug 6, 09	68 000	32 980	2,242 70	51.640	3,511 52	1,268 82	LT
	Dec 16, 10	8 000	49 968	399 75	51.640	413 12	13 37	ST
Security total		147.000		5,112.52		7,591 08	2,478.56	
BHP BILLITON PLC NEW SPON ADR								
Symbol BBL Exchange NYSE								
EAI $94 Current yield 2 16%	Dec 18, 09	22 000	61 407	1,350 96	80.500	1,771 00	420 04	LT
	Jan 6, 10	5 000	67 498	337 49	80 500	402 50	65 01	ST
	Jan 7, 10	10 000	66 972	669 72	80.500	805 00	135.28	ST
	Dec 21, 10	17 000	79 723	1,355.30	80.500	1,368 50	13 20	ST
Security total		54 000		3,713 47		4,347 00	633 53	
BLACKROCK INC								
Symbol BLK Exchange NYSE								
EAI $140 Current yield 2 10%	Oct 11, 10	10 000	176 788	1,767 89	190.580	1,905 80	137 91	ST
	Oct 20, 10	10.000	169.723	1,697 24	190.580	1,905.80	208 56	ST
	Nov 4, 10	15.000	169.097	2,536 46	190.580	2,858.70	322.24	ST
Security total		35.000		6,001 59		6,670.30	668.71	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BOEING COMPANY								
Symbol BA Exchange NYSE								
EAI $160 Current yield 2.58%	Nov 18, 10	37 000	64 338	2,380 53	65.260	2,414 62	34 09	ST
	Dec 7, 10	17 000	67 190	1,142.25	65.260	1,109 42	-32.83	ST
	Dec 16, 10	21 000	64.718	1,359.09	65 260	1,370 46	11 37	ST
	Dec 28, 10	20 000	64 736	1,294.73	65 260	1,305 20	10 47	ST
Security total		95 000		6,176 60		6,199 70	23 10	
BORG WARNER INC								
Symbol BWA Exchange NYSE	Aug 20, 10	24.000	45 208	1,085 01	72.360	1,736 64	651.63	ST
	Aug 25, 10	25 000	43.557	1,088 94	72 360	1,809 00	720 06	ST
Security total		49 000		2,173.95		3,545 64	1,371 69	
CATERPILLAR INC								
Symbol CAT Exchange NYSE								
EAI $69 Current yield 1.89%	Dec 10, 09	16.000	57 011	912.19	93.660	1,498.56	586.37	LT
	Jan 5, 10	10.000	59.165	591.65	93.660	936.60	344 95	ST
	Dec 21, 10	13.000	94 579	1,229.53	93.660	1,217.58	-11 95	ST
Security total		39.000		2,733.37		3,652.74	919 37	
COCA-COLA ENTERPRISES INC NEW								
Symbol CCE Exchange NYSE								
EAI $72 Current yield 1.92%	Oct 7, 10	150.000	22.457	3,368.63	25.030	3,754 50	385.87	ST
CUMMINS INC								
Symbol CMI Exchange NYSE								
EAI $41 Current yield 0 96%	Oct 4, 10	18 000	90 111	1,622 01	110 010	1,980 18	358 17	ST
	Oct 26, 10	14 000	88 991	1,245 88	110 010	1,540 14	294 26	ST
	Oct 28, 10	7 000	88 711	620 98	110 010	770 07	149 09	ST
Security total		39 000		3,488 87		4,290 39	801 52	
DEERE AND CO								
Symbol DE Exchange NYSE								
EAI $73 Current yield 1.69%	Dec 7, 10	22 000	82 036	1,804.79	83.050	1,827 10	22.31	ST
	Dec 10, 10	15.000	82 302	1,234.54	83.050	1,245 75	11 21	ST
	Dec 21, 10	15.000	83.913	1,258.70	83.050	1,245.75	-12.95	ST
Security total		52.000		4,298.03		4,318.60	20 57	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DISCOVERY COMMUNICATIONS INC								
SER C								
Symbol DISCK Exchange OTC	Nov 29, 10	67.000	35.735	2,394.25	36.690	2,458 23	63.98	ST
EBAY INC								
Symbol EBAY Exchange. OTC	Apr 29, 10	67.000	24.227	1,623.21	27 830	1,864.61	241.40	ST
	Sep 22, 10	72.000	24 312	1,750.50	27.830	2,003.76	253.26	ST
Security total		139.000		3,373 71		3,868.37	494.66	
ECOLAB INC								
Symbol. ECL Exchange NYSE								
EAI $35 Current yield 1 39%	Jun 30, 10	50 000	44 978	2,248 93	50 420	2,521.00	272.07	ST
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI $41 Current yield 0.84%	Aug 14, 09	4.000	161.457	645.83	168.160	672.64	26.81	LT
	Aug 19, 09	10.000	159 480	1,594.80	168.160	1,681 60	86.80	LT
	Jul 19, 10	15.000	144 773	2,171 61	168.160	2,522.40	350.79	ST
Security total		29.000		4,412.24		4,876 64	464.40	
HUNT J B TRANS SVCS INC								
Symbol JBHT Exchange OTC								
EAI $46 Current yield 1.17%	Sep 16, 10	63.000	34 916	2,199.71	40.810	2,571.03	371.32	ST
	Oct 7, 10	33 000	35 436	1,169 39	40 810	1,346 73	177.34	ST
Security total		96 000		3,369 10		3,917 76	548.66	
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI· $96 Current yield· 1 77%	Oct 20, 09	37 000	122 392	4,528 52	146 760	5,430.12	901 60	LT
LUBRIZOL CORP NEW								
Symbol LZ Exchange NYSE								
EAI $50 Current yield. 1 34%	Nov 23, 10	22 000	104.778	2,305 13	106.880	2,351 36	46 23	ST
	Dec 14, 10	13 000	106 843	1,388 97	106 880	1,389 44	0.47	ST
Security total		35 000		3,694 10		3,740.80	46 70	
MONSANTO CO NEW								
Symbol MON Exchange NYSE								
EAI· $83 Current yield 1.61%	Oct 19, 10	30.000	57.625	1,728.76	69.640	2,089 20	360 44	ST
	Nov 3, 10	9.000	59.037	531.33	69.640	626.76	95 43	ST
	Nov 4, 10	8.000	62.071	496.57	69.640	557.12	60.55	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 23, 10	27.000	66 690	1,800 63	69.640	1,880 28	79.65	ST
Security total		74 000		4,557.29		5,153.36	596.07	
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI $124 Current yield 3 85%	Aug 3, 09	44 000	56.890	2,503 16	51.990	2,287.56	-215 60	LT
	Apr 12, 10	18 000	49.739	895 31	51 990	935 82	40.51	ST
Security total		62.000		3,398.47		3,223.38	-175 09	
NORFOLK STHN CORP								
Symbol NSC Exchange NYSE								
EAI $68 Current yield 2 30%	Oct 16, 09	17.000	48.838	830.26	62.820	1,067 94	237.68	LT
	Jan 7, 10	30 000	52 502	1,575.06	62.820	1,884.60	309.54	ST
Security total		47.000		2,405.32		2,952.54	547.22	
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI $64 Current yield 1.55%	Feb 11, 10	11.000	80.068	880 75	98.100	1,079 10	198 35	ST
	Aug 11, 10	31.000	76.574	2,373.80	98.100	3,041.10	667.30	ST
Security total		42.000		3,254 55		4,120 20	865.65	
PALL CORP								
Symbol PLL Exchange NYSE								
EAI $23 Current yield 1 29%	Dec 16, 10	36.000	50 104	1,803.77	49 580	1,784 88	-18 89	ST
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI $70 Current yield 1 88%	Aug 3, 09	6 000	78 189	469 14	95.470	572 82	103 68	LT
	Jan 7, 10	16 000	80 746	1,291 94	95.470	1,527 52	235.58	ST
	Dec 21, 10	17 000	95 615	1,625 46	95 470	1,622.99	-2 47	ST
Security total		39 000		3,386.54		3,723 33	336.79	
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								
EAI $145 Current yield 3 01%	Mar 23, 05	16 000	52.430	838.88[1]	64.330	1,029 28	190.40	LT
	Aug 3, 09	17.000	55.470	942 99	64 330	1,093.61	150 62	LT
	Aug 16, 10	14 000	59 742	836 40	64.330	900.62	64.22	ST
	Dec 20, 10	28.000	65 159	1,824.47	64.330	1,801 24	-23.23	ST
Security total		75.000		4,442.74		4,824.75	382.01	

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ROVI CORP COM								
Symbol ROVI Exchange OTC	Aug 12, 10	38.000	41.948	1,594.05	62.010	2,356.38	762.33	ST
	Nov 8, 10	33.000	51.554	1,701 28	62 010	2,046.33	345.05	ST
Security total		71.000		3,295 33		4,402 71	1,107.38	
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol SLB Exchange NYSE								
EAI. $23 Current yield 1 02%	Oct 19, 10	27 000	63 410	1,712 08	83.500	2,254 50	542 42	ST
SUNCOR ENERGY INC NEW CAD								
Symbol SU Exchange NYSE								
EAI $34 Current yield 1 03%	Aug 6, 09	34 000	34 095	1,159 25	38.290	1,301 86	142 61	LT
	Aug 14, 09	18 000	32.328	581 91	38 290	689 22	107.31	LT
	Apr 19, 10	34 000	32.813	1,115 65	38 290	1,301 86	186 21	ST
Security total		86.000		2,856 81		3,292.94	436.13	
TEEKAY CORP								
Symbol TK Exchange NYSE								
EAI $125 Current yield 3.82%	Sep 17, 10	99.000	27.321	2,704.86	33.080	3,274 92	570.06	ST
UNITED PARCEL SERVICE INC CL B								
Symbol UPS Exchange NYSE								
EAI $124 Current yield 2 59%	May 19, 10	16 000	64 656	1,034.50	72 580	1,161 28	126 78	ST
	May 24, 10	18 000	61.920	1,114 58	72 580	1,306.44	191 86	ST
	Jun 17, 10	18 000	61.849	1,113 28	72 580	1,306 44	193 16	ST
	Aug 24, 10	14 000	64.092	897.30	72.580	1,016.12	118.82	ST
Security total		66 000		4,159.66		4,790.28	630.62	
VERISK ANALYTICS INC CL A								
Symbol VRSK Exchange OTC	Oct 12, 09	24 000	26 836	644 09	34 080	817 92	173 83	LT
	Nov 20, 09	25 000	28 497	712 44	34.080	852 00	139 56	LT
	Nov 23, 09	30.000	28 000	840 00	34 080	1,022 40	182 40	LT
	Feb 9, 10	34 000	27 972	951 07	34 080	1,158 72	207 65	ST
Security total		113.000		3,147.60		3,851 04	703 44	
Total				**$102,212.90**		**$118,831.70**	**$16,618.80**	

Total estimated annual income. $1,800

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Your assets › **Equities** (continued)

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments
Restricted security values are estimated for informational purposes See *Important information about your statement* at the end of this document for additional information

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ENTERPRISE PRODUCTS PARTNER LP MLP Symbol EPD Exchange NYSE EAI $219 Current yield 5.60%	Aug 3, 09	16.000	28.229	451.67	41.610	665.76	214 09	LT
	Aug 18, 09	78 000	27.021	2,107.65	41.610	3,245.58	1,137.93	LT
Security total		94.000		2,559.32		3,911.34	1,352.02	

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**1,873.75**	**1.50%**	**1,873.75**		
Equities	Common stock	118,831.70		102,212.90	1,800.00	16,618 80
	Other equity investments	3,911.34		2,559.32	219 00	1,352.02
	Total equities	**122,743.04**	**98.50%**	**104,772.22**	**2,019.00**	**17,970.82**
Total		**$124,616.79**	**100.00%**	**$106,645.97**	**$2,019.00**	**$17,970.82**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		**Cash and money balance**					**$5,354.98**
Dec 1	Dividend	CUMMINS INC PAID ON 39				10 24	
Dec 1	Foreign Dividend	PARTNERRE LTD ORD PAID ON 35				19 25	
Dec 1	Dividend	UNITED PARCEL SERVICE INC CL B PAID ON 66				31 02	5,415 49
Dec 2	Bought	DISCOVERY COMMUNICATIONS INC SER C Average Priced Trade ▸ SYMBOL DISCK ▸ CUSIP NO. 25470F302 ▸ Location of Execution 09 ▸ Capacity Agent		67 000	35.735000	-2,394 25	
Dec 2	Dividend	HUNT J B TRANS SVCS INC PAID ON 96				11.52	3,032.76

continued next page

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**12,961.66**	**7.43%**	**12,961.66**		
Equities	**Common stock**	**161,463.84**	**92.57%**	**145,658.53**	**3,464.00**	**15,805.31**
Total		**$174,425.50**	**100.00%**	**$158,620.19**	**$3,464.00**	**$15,805.31**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		**Cash and money balance**					**$9,672.90**
Dec 2	Foreign Dividend	EMBRAER AIRCRAFT CORP ADR PAID ON	101			7 03	
Dec 2	Foreign Tax Withheld	EMBRAER AIRCRAFT CORP ADR				-1 05	9,678.88
Dec 6	Sold	CAMECO CORP CANADA CAD Other fees and charges $ 03		-37 000	37 358100	1,382 22	
		Average Priced Trade ▸ SYMBOL CCJ ▸ CUSIP NO. 13321L108 ▸ Location of Execution 09 ▸ Capacity Agent					
Dec 6	Foreign Tax Withheld	TOYOTA MOTOR CORP NEW JAPAN SPON ADR				-.76	
Dec 6	Foreign Dividend	TOYOTA MOTOR CORP NEW JAPAN SPON ADR PAID ON 23				10 90	
Dec 6	Fee	TOYOTA MOTOR CORP NEW JAPAN SPON ADR				- 08	11,071 16
Dec 7	Bought	CARREFOUR SA ADR		91 000	8 637000	-785 97	
		Average Priced Trade ▸ SYMBOL CRERY ▸ CUSIP NO 144430105 ▸ Location of Execution 09 ▸ Capacity Agent					
Dec 7	Foreign Tax Withheld	PANASONIC CORP SPON ADR				- 44	
Dec 7	Foreign Dividend	PANASONIC CORP SPON ADR PAID ON	105			6.26	
Dec 7	Fee	PANASONIC CORP SPON ADR				- 05	10,290.96
Dec 8	Foreign Tax Withheld	KAO CORP REPSTG 10 SHS COM SPON ADR				-3 86	

continued next page

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print

File by the extended due date for filing your return See instructions

Name of exempt organization: Carpinella Family Foundation

Employer identification number: 20-2455467

Number, street, and room or suite no If a P O box, see instructions: 4591 Stella Drive

City, town or post office, state, and ZIP code For a foreign address, see instructions: Atlanta GA 30327

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ Ronald Carpinella
  Telephone No ▶ 770-551-8170 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15/11

5 For calendar year 2010 , or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension
Additional time is requested to gather information to prepare a complete and accurate return.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ CPA Date ▶ 8/2/11