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**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

**2010**

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☒ Address change ☐ Name change

Name of foundation **DHANAM FOUNDATION** A Employer identification number **20-1914298**

Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number (see page 10 of the instructions)  
**2200 GENG ROAD, SUITE 100** (775) 327-3028

City or town, state, and ZIP code C If exemption application is pending, check here ☐  
**PALO ALTO, CA 94303** D 1 Foreign organizations, check here ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation  
I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 168,116,628.** J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis.)  
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐  
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions)) |     |                                                                                   |  |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----------------------------------------------------------------------------------|--|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                                           | 1   | Contributions, gifts, grants, etc., received (attach schedule)                    |  |  | 31,604,877.                        |                           |                         |                                                             |
|                                                                                                                                                                                   | 2   | Check <input type="checkbox"/> if the foundation is not required to attach Sch. B |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 3   | Interest on savings and temporary cash investments                                |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 4   | Dividends and interest from securities                                            |  |  | 2,912,502.                         | 2,912,502.                |                         | ATCH 1                                                      |
|                                                                                                                                                                                   | 5a  | Gross rents                                                                       |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | b   | Net rental income or (loss)                                                       |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 6a  | Net gain or (loss) from sale of assets not on line 10                             |  |  | 963,685.                           |                           |                         |                                                             |
|                                                                                                                                                                                   | b   | Gross sales price for all assets on line 6a                                       |  |  | 30,639,951.                        |                           |                         |                                                             |
|                                                                                                                                                                                   | 7   | Capital gain net income (from Part IV, line 2)                                    |  |  |                                    | 4,098,195.                |                         |                                                             |
|                                                                                                                                                                                   | 8   | Net short-term capital gain                                                       |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 9   | Income modifications                                                              |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 10a | Gross sales less returns and allowances                                           |  |  |                                    |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                                             | b   | Less: Cost of goods sold                                                          |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 11  | Gross profit or (loss) (attach schedule)                                          |  |  | 164,674.                           | 158,490.                  |                         | ATCH 2                                                      |
|                                                                                                                                                                                   | 12  | Other income (attach schedule)                                                    |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 13  | Total. Add lines 1 through 11                                                     |  |  | 35,645,738.                        | 7,169,187.                |                         |                                                             |
|                                                                                                                                                                                   | 14  | Compensation of officers, directors, trustees, etc.                               |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 15  | Other employee salaries and wages                                                 |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 16  | Pension plans, employee benefits                                                  |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 17a | Legal fees (attach schedule) ATCH 3                                               |  |  | 572.                               | 572.                      | 0.                      | 0.                                                          |
|                                                                                                                                                                                   | b   | Accounting fees (attach schedule) ATCH 4                                          |  |  | 47,000.                            | 47,000.                   | 0.                      | 0.                                                          |
|                                                                                                                                                                                   | c   | Other professional fees (attach schedule) *                                       |  |  | 497,220.                           | 497,220.                  |                         |                                                             |
|                                                                                                                                                                                   | 18  | Interest. ATTACHMENT 6                                                            |  |  | 67,810.                            | 67,810.                   |                         |                                                             |
|                                                                                                                                                                                   | 19  | Taxes (attach schedule) (see page 14 of the instructions) *                       |  |  | 109,848.                           | 109,848.                  |                         |                                                             |
|                                                                                                                                                                                   | 20  | Depreciation (attach schedule) and depletion.                                     |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 21  | Occupancy                                                                         |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 22  | Travel, conferences, and meetings                                                 |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 23  | Printing and publications                                                         |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 24  | Other expenses (attach schedule) ATCH 8                                           |  |  | 172,440.                           | 172,440.                  |                         |                                                             |
|                                                                                                                                                                                   | 25  | Total operating and administrative expenses. Add lines 13 through 23              |  |  | 894,890.                           | 894,890.                  | 0.                      | 0.                                                          |
|                                                                                                                                                                                   | 26  | Contributions, gifts, grants paid                                                 |  |  | 5,465,000.                         |                           |                         | 5,465,000.                                                  |
|                                                                                                                                                                                   | 27  | Total expenses and disbursements. Add lines 24 and 25                             |  |  | 6,359,890.                         | 894,890.                  | 0.                      | 5,465,000.                                                  |
|                                                                                                                                                                                   | 28  | Subtract line 26 from line 12                                                     |  |  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | a   | Excess of revenue over expenses and disbursements                                 |  |  | 29,285,848.                        |                           |                         |                                                             |
|                                                                                                                                                                                   | b   | Net investment income (if negative, enter -0-)                                    |  |  |                                    | 6,274,297.                |                         |                                                             |
|                                                                                                                                                                                   | c   | Adjusted net income (if negative, enter -0-)                                      |  |  |                                    |                           |                         |                                                             |

*Handwritten signature: GPF*

| Part II Balance Sheets      |                                                                                                                             | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)                    |                | Beginning of year     | End of year  |            |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|----------------|-----------------------|--------------|------------|
|                             |                                                                                                                             | (a) Book Value                                                                                                                         | (b) Book Value | (c) Fair Market Value |              |            |
| Assets                      | 1                                                                                                                           | Cash - non-interest-bearing . . . . .                                                                                                  |                | 5,786,577.            | 2,969,898.   | 2,969,898. |
|                             | 2                                                                                                                           | Savings and temporary cash investments . . . . .                                                                                       |                |                       |              |            |
|                             | 3                                                                                                                           | Accounts receivable ▶<br>Less allowance for doubtful accounts ▶                                                                        |                | 0.                    |              |            |
|                             | 4                                                                                                                           | Pledges receivable ▶<br>Less allowance for doubtful accounts ▶                                                                         |                |                       |              |            |
|                             | 5                                                                                                                           | Grants receivable . . . . .                                                                                                            |                |                       |              |            |
|                             | 6                                                                                                                           | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) |                |                       |              |            |
|                             | 7                                                                                                                           | Other notes and loans receivable (attach schedule) ▶<br>Less allowance for doubtful accounts ▶                                         |                |                       |              |            |
|                             | 8                                                                                                                           | Inventories for sale or use . . . . .                                                                                                  |                |                       |              |            |
|                             | 9                                                                                                                           | Prepaid expenses and deferred charges . . . . .                                                                                        |                |                       |              |            |
|                             | 10 a                                                                                                                        | Investments - U S and state government obligations (attach schedule), **                                                               | 8,419,525.     | 0.                    | 0.           |            |
|                             | b                                                                                                                           | Investments - corporate stock (attach schedule) <b>ATCH 10</b>                                                                         | 70,744,551.    | 95,810,525.           | 105,655,843. |            |
|                             | c                                                                                                                           | Investments - corporate bonds (attach schedule), . . . . .                                                                             |                |                       |              |            |
|                             | 11                                                                                                                          | Investments - land, buildings, and equipment basis<br>Less accumulated depreciation (attach schedule) ▶                                |                |                       |              |            |
|                             | 12                                                                                                                          | Investments - mortgage loans . . . . .                                                                                                 |                |                       |              |            |
|                             | 13                                                                                                                          | Investments - other (attach schedule) <b>ATCH 11</b>                                                                                   | 46,332,709.    | 61,788,787.           | 59,490,887.  |            |
|                             | 14                                                                                                                          | Land, buildings, and equipment basis<br>Less accumulated depreciation (attach schedule) ▶                                              |                |                       |              |            |
| 15                          | Other assets (describe ▶)                                                                                                   |                                                                                                                                        |                |                       |              |            |
| 16                          | <b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .               | 131,283,362.                                                                                                                           | 160,569,210.   | 168,116,628.          |              |            |
| Liabilities                 | 17                                                                                                                          | Accounts payable and accrued expenses . . . . .                                                                                        |                |                       |              |            |
|                             | 18                                                                                                                          | Grants payable . . . . .                                                                                                               |                |                       |              |            |
|                             | 19                                                                                                                          | Deferred revenue . . . . .                                                                                                             |                |                       |              |            |
|                             | 20                                                                                                                          | Loans from officers, directors, trustees, and other disqualified persons . . . . .                                                     |                |                       |              |            |
|                             | 21                                                                                                                          | Mortgages and other notes payable (attach schedule) . . . . .                                                                          |                |                       |              |            |
|                             | 22                                                                                                                          | Other liabilities (describe ▶)                                                                                                         |                |                       |              |            |
| 23                          | <b>Total liabilities</b> (add lines 17 through 22) . . . . .                                                                |                                                                                                                                        | 0.             |                       |              |            |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                                                                                                                                        |                |                       |              |            |
|                             | 24                                                                                                                          | Unrestricted . . . . .                                                                                                                 |                |                       |              |            |
|                             | 25                                                                                                                          | Temporarily restricted . . . . .                                                                                                       |                |                       |              |            |
|                             | 26                                                                                                                          | Permanently restricted . . . . .                                                                                                       |                |                       |              |            |
|                             | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>   |                                                                                                                                        |                |                       |              |            |
|                             | 27                                                                                                                          | Capital stock, trust principal, or current funds . . . . .                                                                             |                |                       |              |            |
|                             | 28                                                                                                                          | Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .                                                                |                |                       |              |            |
|                             | 29                                                                                                                          | Retained earnings, accumulated income, endowment, or other funds . . . . .                                                             | 131,283,362.   | 160,569,210.          |              |            |
|                             | 30                                                                                                                          | <b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .                                                   | 131,283,362.   | 160,569,210.          |              |            |
|                             | 31                                                                                                                          | <b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .                                      | 131,283,362.   | 160,569,210.          |              |            |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                      |   |              |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 131,283,362. |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                         | 2 | 29,285,848.  |
| 3 | Other increases not included in line 2 (itemize) ▶                                                                                                                   | 3 |              |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                      | 4 | 160,569,210. |
| 5 | Decreases not included in line 2 (itemize) ▶                                                                                                                         | 5 |              |
| 6 | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .                                               | 6 | 160,569,210. |

\*\*ATCH 9

Form 990-PF (2010)

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)                                                                                                          |                                            |                                                 | (b) How acquired<br>P-Purchase<br>D-Donation                                                    | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a</b> SEE PART IV SCHEDULE                                                                                                                                                                                                            |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| (e) Gross sales price                                                                                                                                                                                                                     | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                    |                                      |                                  |
| <b>a</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| (i) F.M.V. as of 12/31/69                                                                                                                                                                                                                 | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |                                      |                                  |
| <b>a</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>b</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>c</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>d</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>e</b>                                                                                                                                                                                                                                  |                                            |                                                 |                                                                                                 |                                      |                                  |
| <b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7<br/>If (loss), enter -0- in Part I, line 7 }</span>                                                            |                                            |                                                 | <b>2</b>                                                                                        | 4,098,195.                           |                                  |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).<br>If (loss), enter -0- in Part I, line 8. . . . . |                                            |                                                 | <b>3</b>                                                                                        |                                      |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                                             | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2009                                                                                                                                                                                                                                             | 6,435,267.                               | 114,564,691.                                 | 0.056171                                                    |
| 2008                                                                                                                                                                                                                                             | 3,230,849.                               | 129,496,131.                                 | 0.024949                                                    |
| 2007                                                                                                                                                                                                                                             | 3,163,913.                               | 119,280,742.                                 | 0.026525                                                    |
| 2006                                                                                                                                                                                                                                             | 939,250.                                 | 66,480,500.                                  | 0.014128                                                    |
| 2005                                                                                                                                                                                                                                             | 304,255.                                 | 15,750,277.                                  | 0.019317                                                    |
| <b>2</b> Total of line 1, column (d) . . . . .                                                                                                                                                                                                   |                                          |                                              | <b>2</b> 0.141090                                           |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .                                                  |                                          |                                              | <b>3</b> 0.028218                                           |
| <b>4</b> Enter the net value of noncharitable-use assets for 2010 from Part X, line 5 . . . . .                                                                                                                                                  |                                          |                                              | <b>4</b> 137,401,545.                                       |
| <b>5</b> Multiply line 4 by line 3 . . . . .                                                                                                                                                                                                     |                                          |                                              | <b>5</b> 3,877,197.                                         |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .                                                                                                                                                                    |                                          |                                              | <b>6</b> 62,743.                                            |
| <b>7</b> Add lines 5 and 6 . . . . .                                                                                                                                                                                                             |                                          |                                              | <b>7</b> 3,939,940.                                         |
| <b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . .<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18. |                                          |                                              | <b>8</b> 5,465,000.                                         |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

|                                                                                                                                                                        |             |    |          |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|----|----------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .                               |             | 1  | 62,743.  |
| Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)                                                            |             |    |          |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . . |             |    |          |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                               |             |    |          |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .                                                  |             | 2  |          |
| 3 Add lines 1 and 2 . . . . .                                                                                                                                          |             | 3  | 62,743.  |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .                                                |             | 4  | 0.       |
| 5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .                                                             |             | 5  | 62,743.  |
| 6 Credits/Payments                                                                                                                                                     |             |    |          |
| a 2010 estimated tax payments and 2009 overpayment credited to 2010 . . . . .                                                                                          | 6a 41,414.  |    |          |
| b Exempt foreign organizations-tax withheld at source . . . . .                                                                                                        | 6b 0.       |    |          |
| c Tax paid with application for extension of time to file (Form 8868) . . . . .                                                                                        | 6c 100,000. |    |          |
| d Backup withholding erroneously withheld . . . . .                                                                                                                    | 6d          |    |          |
| 7 Total credits and payments. Add lines 6a through 6d . . . . .                                                                                                        |             | 7  | 141,414. |
| 8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .                        |             | 8  |          |
| 9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .                                                                |             | 9  |          |
| 10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .                                                   |             | 10 | 78,671.  |
| 11 Enter the amount of line 10 to be. <b>Credited to 2011 estimated tax</b> 78,671. <b>Refunded</b> . . . . .                                                          |             | 11 |          |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                               | Yes | No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                             |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .                                                                                                                                                                             |     | X  |
| If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities                                                                                                                                                  |     |    |
| c Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .                                                                                                                                                                                                                                                                       |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____                                                                                                                                                                |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____                                                                                                                                                                                             |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .                                                                                                                                                                                                                                   |     | X  |
| If "Yes," attach a detailed description of the activities                                                                                                                                                                                                                                                                                     |     |    |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                                                                                                        |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                                                                                                      |     | X  |
| b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .                                                                                                                                                                                                                                                           |     | X  |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .                                                                                                                                                                                                                                    |     | X  |
| If "Yes," attach the statement required by General Instruction T                                                                                                                                                                                                                                                                              |     |    |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . . | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV . . . . .                                                                                                                                                                                                  | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NV, _____                                                                                                                                                                                                              |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .                                                                                                                       | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .                                                                   |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .                                                                                                                                                                                               |     | X  |

**Part VII-A Statements Regarding Activities (continued)**

|                                                                                                                                                                       |                                                                                                                                                                                                                  |                             |     |                          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-----|--------------------------|
| 11                                                                                                                                                                    | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . . | 11                          |     | X                        |
| 12                                                                                                                                                                    | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .                                                                                  | 12                          |     | X                        |
| 13                                                                                                                                                                    | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .                                                                                    | 13                          | X   |                          |
| Website address <input type="checkbox"/> N/A                                                                                                                          |                                                                                                                                                                                                                  |                             |     |                          |
| 14                                                                                                                                                                    | The books are in care of <input type="checkbox"/> HARRIS MYCFO, INC. Telephone no <input type="checkbox"/> 650-210-5000                                                                                          |                             |     |                          |
| Located at <input type="checkbox"/> P.O. BOX 10195, DEPT. 480, PALO ALTO, CA ZIP + 4 <input type="checkbox"/> 94303                                                   |                                                                                                                                                                                                                  |                             |     |                          |
| 15                                                                                                                                                                    | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .                                                                                                    | 15                          |     | <input type="checkbox"/> |
| and enter the amount of tax-exempt interest received or accrued during the year . . . . .                                                                             |                                                                                                                                                                                                                  | <input type="checkbox"/> 15 |     |                          |
| 16                                                                                                                                                                    | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .              | 16                          | Yes | No                       |
| See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/> |                                                                                                                                                                                                                  |                             |     |                          |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Yes                          | No                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------|
| 1a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                                      |                              |                                        |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . .                                                                                                                                                                                                                                                            | 1b                           | X                                      |
| Organizations relying on a current notice regarding disaster assistance check here. . . . .                                                                                                                                                                                                                                                                                                                                                                                                                | <input type="checkbox"/>     |                                        |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010? . . . . .                                                                                                                                                                                                                                                                                                        | 1c                           | X                                      |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                           |                              |                                        |
| a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? . . . . .                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| If "Yes," list the years <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                              |                                        |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions) . . . . .                                                                                                                                                                        | 2b                           | X                                      |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                 |                              |                                        |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) . . . . . | 3b                           | X                                      |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .                                                                                                                                                                                                                                                                                                                                                                               | 4a                           | X                                      |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? . . . . .                                                                                                                                                                                                                                                              | 4b                           | X                                      |

Form 990-PF (2010)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No  
Organizations relying on a current notice regarding disaster assistance check here ☒ **5b** ☐ Yes ☒ No **X**

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** ☐ Yes ☒ No **X**  
If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** ☐ Yes ☒ No **X**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| ATTACHMENT 12        |                                                           | -0-                                       | -0-                                                                   | -0-                                   |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000 ☐ **8**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services . . . . . **NONE****Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|        | Expenses |
|--------|----------|
| 1 NONE |          |
|        |          |
| 2      |          |
|        |          |
| 3      |          |
|        |          |
| 4      |          |
|        |          |

**Part IX-B** Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

|                                                                        | Amount |
|------------------------------------------------------------------------|--------|
| 1 NONE                                                                 |        |
|                                                                        |        |
| 2                                                                      |        |
|                                                                        |        |
| All other program-related investments. See page 24 of the instructions |        |
| 3 NONE                                                                 |        |
|                                                                        |        |
| <b>Total.</b> Add lines 1 through 3 . . . . .                          |        |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

|          |                                                                                                                           |           |              |
|----------|---------------------------------------------------------------------------------------------------------------------------|-----------|--------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes                |           |              |
| <b>a</b> | Average monthly fair market value of securities                                                                           | <b>1a</b> | 84,868,110.  |
| <b>b</b> | Average of monthly cash balances                                                                                          | <b>1b</b> | 4,097,843.   |
| <b>c</b> | Fair market value of all other assets (see page 25 of the instructions)                                                   | <b>1c</b> | 50,528,001.  |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                                     | <b>1d</b> | 139,493,954. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)                 | <b>1e</b> |              |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                                      | <b>2</b>  | 0.           |
| <b>3</b> | Subtract line 2 from line 1d                                                                                              | <b>3</b>  | 139,493,954. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions) | <b>4</b>  | 2,092,409.   |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4               | <b>5</b>  | 137,401,545. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                                      | <b>6</b>  | 6,870,077.   |

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |            |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 6,870,077. |
| <b>2a</b> | Tax on investment income for 2010 from Part VI, line 5                                                    | <b>2a</b> | 62,743.    |
| <b>b</b>  | Income tax for 2010. (This does not include the tax from Part VI.)                                        | <b>2b</b> |            |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 62,743.    |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 6,807,334. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  |            |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 6,807,334. |
| <b>6</b>  | Deduction from distributable amount (see page 25 of the instructions)                                     | <b>6</b>  |            |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 6,807,334. |

**Part XII Qualifying Distributions** (see page 25 of the instructions)

|          |                                                                                                                                                                     |           |            |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.                                                                          |           |            |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                                                       | <b>1a</b> | 5,465,000. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                                                  | <b>1b</b> | 0.         |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                                           | <b>2</b>  | 0.         |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                                                                |           |            |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                                      | <b>3a</b> | 0.         |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                               | <b>3b</b> | 0.         |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                                   | <b>4</b>  | 5,465,000. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | <b>5</b>  | 62,743.    |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                               | <b>6</b>  | 5,402,257. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

|                                                                                                                                                                                      | (a)<br>Corpus | (b)<br>Years prior to 2009 | (c)<br>2009 | (d)<br>2010 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2010 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 6,807,334.  |
| 2 Undistributed income, if any, as of the end of 2010                                                                                                                                |               |                            |             |             |
| a Enter amount for 2009 only . . . . .                                                                                                                                               |               |                            | 5,390,687.  |             |
| b Total for prior years 20 08, 20 07, 20 06 . . . . .                                                                                                                                |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2010                                                                                                                                    |               |                            |             |             |
| a From 2005 . . . . .                                                                                                                                                                |               |                            |             |             |
| b From 2006 . . . . .                                                                                                                                                                |               |                            |             |             |
| c From 2007 . . . . .                                                                                                                                                                |               |                            |             |             |
| d From 2008 . . . . .                                                                                                                                                                |               |                            |             |             |
| e From 2009 . . . . .                                                                                                                                                                |               |                            |             | 0.          |
| f Total of lines 3a through e . . . . .                                                                                                                                              | 0.            |                            |             |             |
| 4 Qualifying distributions for 2010 from Part XII, line 4: ► \$ 5,465,000.                                                                                                           |               |                            |             |             |
| a Applied to 2009, but not more than line 2a . . . . .                                                                                                                               |               |                            | 5,390,687.  |             |
| b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .                                                                     |               |                            |             |             |
| c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .                                                                             |               |                            |             |             |
| d Applied to 2010 distributable amount . . . . .                                                                                                                                     |               |                            |             | 74,313.     |
| e Remaining amount distributed out of corpus . . . . .                                                                                                                               | 0.            |                            |             |             |
| 5 Excess distributions carryover applied to 2010 . . . . .<br>(If an amount appears in column (d), the same amount must be shown in column (a) )                                     | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                             | 0.            |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .                                                                                                                        |               |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .                                                                                                         |               |                            |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               |                            |             |             |
| d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .                                                                                           |               |                            |             |             |
| e Undistributed income for 2009. Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .                                                            |               |                            |             |             |
| f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . .                                                                |               |                            |             | 6,733,021.  |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .                   |               |                            |             |             |
| 8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .                                                               |               |                            |             |             |
| 9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | 0.            |                            |             |             |
| 10 Analysis of line 9                                                                                                                                                                |               |                            |             |             |
| a Excess from 2006 . . . . .                                                                                                                                                         |               |                            |             |             |
| b Excess from 2007 . . . . .                                                                                                                                                         |               |                            |             |             |
| c Excess from 2008 . . . . .                                                                                                                                                         |               |                            |             |             |
| d Excess from 2009 . . . . .                                                                                                                                                         |               |                            |             |             |
| e Excess from 2010 . . . . .                                                                                                                                                         | 0.            |                            |             |             |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

|                                                                                                                                                                    | Tax year | Prior 3 years |          |          | (e) Total |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
|                                                                                                                                                                    | (a) 2010 | (b) 2009      | (c) 2008 | (d) 2007 |           |
| <b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                     |          |               |          |          |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                  |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                             |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                           |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon                                                                                                 |          |               |          |          |           |
| <b>a</b> "Assets" alternative test - enter                                                                                                                         |          |               |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                           |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .                                                                                     |          |               |          |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                              |          |               |          |          |           |
| <b>c</b> "Support" alternative test - enter                                                                                                                        |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .                                      |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization . . . . .                                                                                         |          |               |          |          |           |
| <b>(4)</b> Gross investment income . . . . .                                                                                                                       |          |               |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

KAVITARK R. SHRIRAM & VIDJEALATCHOUMY SHRIRAM

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|----------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|------------|
| <i>a Paid during the year</i><br><br>ATTACHMENT 13 |                                                                                                                    |                                      |                                     |            |
| <b>Total</b> . . . . .                             |                                                                                                                    |                                      | <b>3a</b>                           | 5,465,000. |
| <i>b Approved for future payment</i>               |                                                                                                                    |                                      |                                     |            |
| <b>Total</b> . . . . .                             |                                                                                                                    |                                      | <b>3b</b>                           |            |



## Part XVII

## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |   |                                                                                                                                                                                                                                                                                                                                                                                              |       |     |    |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          |       | Yes | No |
|   |                                                                                                                                                                                                                                                                                                                                                                                              |       |     |    |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |       |     |    |
|   | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     | 1a(1) |     | X  |
|   | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             | 1a(2) |     | X  |
| b | Other transactions                                                                                                                                                                                                                                                                                                                                                                           |       |     |    |
|   | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   | 1b(1) |     | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             | 1b(2) |     | X  |
|   | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         | 1b(3) |     | X  |
|   | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               | 1b(4) |     | X  |
|   | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 | 1b(5) |     | X  |
|   | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       | 1b(6) |     | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             | 1c    |     | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date

PTIN

Check ☐ if self-employed

P01290442

Firm's name ▶ HARRIS MYCFO, INC.

Firm's EIN ▶ 81-0573056

Firm's address ► 2200 GENG ROAD, SUITE 100  
PALO ALTO, CA

94303

Phone no 650 210-5000

## Schedule of Contributors

OMB No 1545-0047

► Attach to Form 990, 990-EZ, or 990-PF.

2010

Name of the organization  
DHANAM FOUNDATION

Employer identification number  
20-1914298

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)( ) (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization **DHANAM FOUNDATION**Employer identification number  
**20-1914298****Part I** Contributors (see instructions)

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                                  | (c)<br>Aggregate contributions | (d)<br>Type of contribution                                                                                                                                                             |
|------------|----------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | KAVITARK R. & VIDJEALATCHOURNY SHRIRAM<br>C/O HARRIS MYCFO, INC.<br>P.O. BOX 10195, #480, CA 94303 | \$ 7,504,847.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II if there is a noncash contribution.) |
| 2          | KAVITARK R. & VIDJEALATCHOURNY SHRIRAM<br>C/O HARRIS MYCFO, INC.<br>P.O. BOX 10195, #480, CA 94303 | \$ 936,600.                    | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II if there is a noncash contribution.) |
| 3          | KAVITARK R. & VIDJEALATCHOURNY SHRIRAM<br>C/O HARRIS MYCFO, INC.<br>P.O. BOX 10195, #480, CA 94303 | \$ 6,563,033.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II if there is a noncash contribution.) |
| 4          | KAVITARK R. & VIDJEALATCHOURNY SHRIRAM<br>C/O HARRIS MYCFO, INC.<br>P.O. BOX 10195, #480, CA 94303 | \$ 16,600,397.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II if there is a noncash contribution.) |
|            |                                                                                                    |                                | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)                       |
|            |                                                                                                    |                                | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)                       |

Name of organization **DHANAM FOUNDATION**Employer identification number  
20-1914298**Part II** Noncash Property (see instructions)

| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given                            | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|---------------------------|-------------------------------------------------------------------------|------------------------------------------------|----------------------|
| <u>1</u>                  | VARIOUS SHARES OF STOCKS (SEE ATTACHED SCHEDULE)<br>_____<br>_____      | \$ <u>7,504,847.</u>                           | <u>12/21/2010</u>    |
| <u>2</u>                  | 2,883 SHARES OF APPLE INC.<br>_____<br>_____                            | \$ <u>936,600.</u>                             | <u>12/22/2010</u>    |
| <u>3</u>                  | 139,639 SHARES OF VANGUARD EMERGING MARKET INDEX FUND<br>_____<br>_____ | \$ <u>6,563,033.</u>                           | <u>12/22/2010</u>    |
| <u>4</u>                  | INTEREST IN MAKENA CAPITAL ASSOCIATES<br>_____<br>_____                 | \$ <u>16,600,397.</u>                          | <u>12/22/2010</u>    |
| <u>      </u>             | _____<br>_____<br>_____                                                 | \$ _____                                       | _____                |
| <u>      </u>             | _____<br>_____<br>_____                                                 | \$ _____                                       | _____                |

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

**2010**

Name of estate or trust

DHANAM FOUNDATION

Employer identification number

20-1914298

**Note:** Form 5227 filers need to complete **only** Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co ) | (b) Date acquired<br>(mo , day, yr ) | (c) Date sold<br>(mo , day, yr ) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|-----------------------------------------------------------------------------|--------------------------------------|----------------------------------|-----------------|-----------------------------------------------|--------------------------------------------------------------------|
| <b>1a</b>                                                                   |                                      |                                  |                 |                                               |                                                                    |
|                                                                             |                                      |                                  |                 |                                               |                                                                    |
|                                                                             |                                      |                                  |                 |                                               |                                                                    |
|                                                                             |                                      |                                  |                 |                                               |                                                                    |
|                                                                             |                                      |                                  |                 |                                               |                                                                    |
|                                                                             |                                      |                                  |                 |                                               |                                                                    |

|                                                                                                                                                        |           |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .                                                             | <b>1b</b> | 269,977. |
| <b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .                                                             | <b>2</b>  |          |
| <b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .                                        | <b>3</b>  | -73,786. |
| <b>4</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet . . . . .               | <b>4</b>  | ( )      |
| <b>5</b> <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back . . . . . ► | <b>5</b>  | 196,191. |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co ) | (b) Date acquired<br>(mo , day, yr ) | (c) Date sold<br>(mo , day, yr ) | (d) Sales price | (e) Cost or other basis<br>(see instructions) | (f) Gain or (loss) for<br>the entire year<br>Subtract (e) from (d) |
|-----------------------------------------------------------------------------|--------------------------------------|----------------------------------|-----------------|-----------------------------------------------|--------------------------------------------------------------------|
| <b>6a</b>                                                                   |                                      |                                  |                 |                                               |                                                                    |
|                                                                             |                                      |                                  |                 |                                               |                                                                    |
|                                                                             |                                      |                                  |                 |                                               |                                                                    |
|                                                                             |                                      |                                  |                 |                                               |                                                                    |
|                                                                             |                                      |                                  |                 |                                               |                                                                    |
|                                                                             |                                      |                                  |                 |                                               |                                                                    |

|                                                                                                                                                          |           |            |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .                                                                | <b>6b</b> | 3,535,089. |
| <b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .                                                          | <b>7</b>  |            |
| <b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .                                           | <b>8</b>  | 362,293.   |
| <b>9</b> Capital gain distributions . . . . .                                                                                                            | <b>9</b>  | 4,622.     |
| <b>10</b> Gain from Form 4797, Part I . . . . .                                                                                                          | <b>10</b> |            |
| <b>11</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet . . . . .                | <b>11</b> | ( )        |
| <b>12</b> <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back . . . . . ► | <b>12</b> | 3,902,004. |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

**Part III Summary of Parts I and II****Caution:** Read the instructions *before* completing this part.

|           |                                                                       | (1) Beneficiaries'<br>(see instr.) | (2) Estate's<br>or trust's | (3) Total  |
|-----------|-----------------------------------------------------------------------|------------------------------------|----------------------------|------------|
| <b>13</b> | <b>Net short-term gain or (loss)</b> . . . . .                        | <b>13</b>                          |                            | 196,191.   |
| <b>14</b> | <b>Net long-term gain or (loss):</b>                                  |                                    |                            |            |
| a         | Total for year . . . . .                                              | <b>14a</b>                         |                            | 3,902,004. |
| b         | Unrecaptured section 1250 gain (see line 18 of the wrkst.) . . . . .  | <b>14b</b>                         |                            |            |
| c         | 28% rate gain . . . . .                                               | <b>14c</b>                         |                            |            |
| <b>15</b> | <b>Total net gain or (loss).</b> Combine lines 13 and 14a . . . . . ▶ | <b>15</b>                          |                            | 4,098,195. |

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

|           |                                                                                                                                                                                        |           |     |
|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|
| <b>16</b> | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the <b>smaller</b> of:<br>a The loss on line 15, column (3) or b \$3,000 . . . . . | <b>16</b> | ( ) |
|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

|           |                                                                                                                                                                                                                                                          |           |  |  |
|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--|--|
| <b>17</b> | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .                                                                                                                                                                          | <b>17</b> |  |  |
| <b>18</b> | Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .                                                                                                                                                                | <b>18</b> |  |  |
| <b>19</b> | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .                                                                                     | <b>19</b> |  |  |
| <b>20</b> | Add lines 18 and 19 . . . . .                                                                                                                                                                                                                            | <b>20</b> |  |  |
| <b>21</b> | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . . . ▶                                                                                                                                              | <b>21</b> |  |  |
| <b>22</b> | Subtract line 21 from line 20. If zero or less, enter -0- . . . . .                                                                                                                                                                                      | <b>22</b> |  |  |
| <b>23</b> | Subtract line 22 from line 17. If zero or less, enter -0- . . . . .                                                                                                                                                                                      | <b>23</b> |  |  |
| <b>24</b> | Enter the <b>smaller</b> of the amount on line 17 or \$2,300 . . . . .                                                                                                                                                                                   | <b>24</b> |  |  |
| <b>25</b> | Is the amount on line 23 equal to or more than the amount on line 24?<br><input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26, go to line 27 and check the "No" box.<br><input type="checkbox"/> <b>No.</b> Enter the amount from line 23 . . . . . | <b>25</b> |  |  |
| <b>26</b> | Subtract line 25 from line 24 . . . . .                                                                                                                                                                                                                  | <b>26</b> |  |  |
| <b>27</b> | Are the amounts on lines 22 and 26 the same?<br><input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> <b>No.</b> Enter the <b>smaller</b> of line 17 or line 22 . . . . .                                   | <b>27</b> |  |  |
| <b>28</b> | Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .                                                                                                                                                                                 | <b>28</b> |  |  |
| <b>29</b> | Subtract line 28 from line 27 . . . . .                                                                                                                                                                                                                  | <b>29</b> |  |  |
| <b>30</b> | Multiply line 29 by 15% ( 15) . . . . .                                                                                                                                                                                                                  | <b>30</b> |  |  |
| <b>31</b> | Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .                                                                             | <b>31</b> |  |  |
| <b>32</b> | Add lines 30 and 31 . . . . .                                                                                                                                                                                                                            | <b>32</b> |  |  |
| <b>33</b> | Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .                                                                             | <b>33</b> |  |  |
| <b>34</b> | <b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .                                                                                           | <b>34</b> |  |  |

Department of the Treasury  
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

2010

Name of estate or trust

DHANAM FOUNDATION

Employer identification number

20-1914298

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**[illegible]

|                                                                                                     |          |
|-----------------------------------------------------------------------------------------------------|----------|
| <b>1b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . | 269,977. |
|-----------------------------------------------------------------------------------------------------|----------|

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

Schedule D-1 (Form 1041) 2010

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co)                              | (b) Date<br>acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|-----------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|
| <b>6a</b> GS #026-03997 LT SEE<br>ATTACHMENT A                                                      | VAR                                     | VAR                              | 37,385.                                                | 46,191.                                                        | -8,806.                                     |
| GS #026-04020 LT SEE<br>ATTACHMENT C                                                                | VAR                                     | VAR                              | 325,620.                                               | 275,761.                                                       | 49,859.                                     |
| MS #010704 LT SEE<br>ATTACHMENT F                                                                   | VAR                                     | VAR                              | 1,748,860.                                             | 1,216,464.                                                     | 532,396.                                    |
| MS #010705 LT SEE<br>ATTACHMENT G                                                                   | VAR                                     | VAR                              | 755,024.                                               | 724,843.                                                       | 30,181.                                     |
| MS #010915 LT SEE<br>ATTACHMENT H                                                                   | VAR                                     | VAR                              | 1,034,492.                                             | 944,020.                                                       | 90,472.                                     |
| MS #010931 LT SEE<br>ATTACHMENT I                                                                   | VAR                                     | VAR                              | 338,089.                                               | 265,055.                                                       | 73,034.                                     |
| 5,401 SHS GOOGLE INC                                                                                | VAR                                     | 10/25/2010                       | 3,332,596.                                             | 338.                                                           | 3,332,258.                                  |
| SSB #0051 LT SEE<br>ATTACHMENT J                                                                    | VAR                                     | VAR                              | 2,142,370.                                             | 2,328,804.                                                     | -186,434.                                   |
| 800,000 SHS FEDERAL HOME<br>LOAN BANK                                                               | 07/29/2009                              | 12/03/2010                       | 800,000.                                               | 800,000.                                                       |                                             |
| 1,800,000 SHS FHLB (FED HM<br>LN BANK)                                                              | 06/17/2008                              | 12/10/2010                       | 1,800,000.                                             | 1,785,528.                                                     | 14,472.                                     |
| 265,000 SHS GOVT OF<br>SWITZERLAND                                                                  | 02/18/2009                              | 08/07/2010                       | 253,128.                                               | 237,430.                                                       | 15,698.                                     |
| SSB #0061 LT SEE<br>ATTACHMENT K                                                                    | VAR                                     | VAR                              | 2,324,855.                                             | 2,465,013.                                                     | -140,158.                                   |
| SSB #0062 LT SEE<br>ATTACHMENT L                                                                    | VAR                                     | VAR                              | 1,611,936.                                             | 1,879,819.                                                     | -267,883.                                   |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
|                                                                                                     |                                         |                                  |                                                        |                                                                |                                             |
| <b>6b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . |                                         |                                  |                                                        |                                                                | 3,535,089.                                  |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description                                                                                                      |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold |
|----------------------------------------------|---------------------------------------|------------------------------------------------------------------------------------------------------------------|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|-----------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis                                                                                        | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |           |
|                                              |                                       | TOTAL SHORT-TERM COMMON TRUST FUND AND<br>PARTNERSHIP, S CORPORATION, AND OTHER<br>ESTATES OR TRUST GAIN OR LOSS |                          |                                |                                    |              | -73,786.             |           |
|                                              |                                       | TOTAL LONG-TERM COMMON TRUST FUND AND<br>PARTNERSHIP, S CORPORATION, AND OTHER<br>ESTATES OR TRUST GAIN OR LOSS  |                          |                                |                                    |              | 362,293.             |           |
|                                              |                                       | TOTAL CAPITAL GAIN DISTRIBUTIONS                                                                                 |                          |                                |                                    |              | 4,622.               |           |
| 155,114.                                     |                                       | GS #026-03997 ST SEE ATTACHMENT A<br>124,220.                                                                    |                          |                                |                                    |              | VAR<br>30,894.       | VAR       |
| 37,385.                                      |                                       | GS #026-03997 LT SEE ATTACHMENT A<br>46,191.                                                                     |                          |                                |                                    |              | VAR<br>-8,806.       | VAR       |
| 822,053.                                     |                                       | GS #026-03996 ST SEE ATTACHMENT B<br>791,233.                                                                    |                          |                                |                                    |              | VAR<br>30,820.       | VAR       |
| 5,598,922.                                   |                                       | GS #026-04020 ST SEE ATTACHMENT C<br>5,682,902.                                                                  |                          |                                |                                    |              | VAR<br>-83,980.      | VAR       |
| 325,620.                                     |                                       | GS #026-04020 LT SEE ATTACHMENT C<br>275,761.                                                                    |                          |                                |                                    |              | VAR<br>49,859.       | VAR       |
| 2,102,679.                                   |                                       | GS #026-03995 ST SEE ATTACHMENT D<br>1,823,116.                                                                  |                          |                                |                                    |              | VAR<br>279,563.      | VAR       |
| 2,388,203.                                   |                                       | GS #026-04185 ST SEE ATTACHMENT E<br>2,349,988.                                                                  |                          |                                |                                    |              | VAR<br>38,215.       | VAR       |
| 847,061.                                     |                                       | MS #010704 ST SEE ATTACHMENT F<br>794,800.                                                                       |                          |                                |                                    |              | VAR<br>52,261.       | VAR       |
| 1,748,860.                                   |                                       | MS #010704 LT SEE ATTACHMENT F<br>1,216,464.                                                                     |                          |                                |                                    |              | VAR<br>532,396.      | VAR       |
| 242,589.                                     |                                       | MS #010705 ST SEE ATTACHMENT G<br>194,660.                                                                       |                          |                                |                                    |              | VAR<br>47,929.       | VAR       |
| 755,024.                                     |                                       | MS #010705 LT SEE ATTACHMENT G<br>724,843.                                                                       |                          |                                |                                    |              | VAR<br>30,181.       | VAR       |
| 287,824.                                     |                                       | MS #010915 ST SEE ATTACHMENT H<br>286,223.                                                                       |                          |                                |                                    |              | VAR<br>1,601.        | VAR       |

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                       |                                 | Description                                       |                    |                          |                              | P or D | Date acquired          | Date sold  |
|----------------------------------------|---------------------------------|---------------------------------------------------|--------------------|--------------------------|------------------------------|--------|------------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis                               | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis |        | Gain or (loss)         |            |
| 1,034,492.                             |                                 | MS #010915 LT SEE ATTACHMENT H<br>944,020.        |                    |                          |                              |        | VAR<br>90,472.         | VAR        |
| 338,089.                               |                                 | MS #010931 LT SEE ATTACHMENT I<br>265,055.        |                    |                          |                              |        | VAR<br>73,034.         | VAR        |
| 3,332,596.                             |                                 | 5,401 SHS GOOGLE INC<br>338.                      |                    |                          |                              |        | VAR<br>3,332,258.      | 10/25/2010 |
| 2,142,370.                             |                                 | SSB #0051 LT SEE ATTACHMENT J<br>2,328,804.       |                    |                          |                              |        | VAR<br>-186,434.       | VAR        |
| 504,240.                               |                                 | 400,000 SHS BUNDESREPUB DEUTSCHLD<br>589,629.     |                    |                          |                              |        | 07/15/2009<br>-85,389. | 07/04/2010 |
| 800,000.                               |                                 | 800,000 SHS FEDERAL HOME LOAN BANK<br>800,000.    |                    |                          |                              |        | 07/29/2009             | 12/03/2010 |
| 1,800,000.                             |                                 | 1,800,000 SHS FHLB (FED HM LN BANK)<br>1,785,528. |                    |                          |                              |        | 06/17/2008<br>14,472.  | 12/10/2010 |
| 253,128.                               |                                 | 265,000 SHS GOVT OF SWITZERLAND<br>237,430.       |                    |                          |                              |        | 02/18/2009<br>15,698.  | 08/07/2010 |
| 607,427.                               |                                 | SSB #0061 ST SEE ATTACHMENT K<br>645,335.         |                    |                          |                              |        | VAR<br>-37,908.        | VAR        |
| 2,324,855.                             |                                 | SSB #0061 LT SEE ATTACHMENT K<br>2,465,013.       |                    |                          |                              |        | VAR<br>-140,158.       | VAR        |
| 588,741.                               |                                 | SSB #0062 ST SEE ATTACHMENT L<br>592,770.         |                    |                          |                              |        | VAR<br>-4,029.         | VAR        |
| 1,611,936.                             |                                 | SSB #0062 LT SEE ATTACHMENT L<br>1,879,819.       |                    |                          |                              |        | VAR<br>-267,883.       | VAR        |
| TOTAL GAIN (LOSS) .....                |                                 |                                                   |                    |                          |                              |        | <u>4,098,195.</u>      |            |

# REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

## REALIZED GAIN AND LOSS SUMMARY

|                                                          |                  |                                                         |                   |                                           |             |
|----------------------------------------------------------|------------------|---------------------------------------------------------|-------------------|-------------------------------------------|-------------|
| Net Short Term Gains (Losses)                            | 30,894.49        | Net Long Term Gains (Losses)                            | (8,821.43)        | Net Ordinary Gains (Losses)               | 0.00        |
| Net Miscellaneous Short Term Gains (Losses)              | 0.00             | Net Miscellaneous Long Term Gains (Losses)              | 0.00              | Net Miscellaneous Ordinary Gains (Losses) | 0.00        |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0.00             | Net Regulated Futures Contract Long Term Gains (Losses) | 0.00              |                                           |             |
| <b>Total Short Term Gains (Losses)</b>                   | <b>30,894.49</b> | <b>Total Long Term Gains (Losses)</b>                   | <b>(8,821.43)</b> | <b>Total Ordinary Gains (Losses)</b>      | <b>0.00</b> |

## SHORT TERM

| Description (Product Identifier)               | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total Accretion (Amortization) | Cost Basis <sup>4</sup> | Total Gain (Loss) |
|------------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| BRINK'S HOME SECURITY HOLDINGS CMN (109699108) | 09/28/2009                  | 01/28/2010           | 325.00   | 13,408.44                  | 0.00                           | 10,237.50               | 3,170.94          |
| EL PASO ELECTRIC (NEW) CMN (283677854)         | 09/28/2009                  | 03/05/2010           | 300.00   | 6,084.01                   | 0.00                           | 5,385.00                | 699.01            |
| SOTHEBY'S CMN CLASS A (835898107)              | 09/28/2009                  | 03/16/2010           | 270.00   | 8,268.10                   | 0.00                           | 4,415.34                | 3,852.77          |
| CNX GAS CORP CMN (12618H309)                   | 09/28/2009                  | 03/26/2010           | 120.00   | 4,555.02                   | 0.00                           | 3,656.99                | 898.03            |
| OVERSEAS SHIPHOLDING GRP CMN (690368105)       | 09/28/2009                  | 03/26/2010           | 120.00   | 4,739.99                   | 0.00                           | 4,600.80                | 139.19            |
| CNX GAS CORP CMN (12618H309)                   | 09/28/2009                  | 04/13/2010           | 290.00   | 11,044.69                  | 0.00                           | 8,837.72                | 2,206.97          |
| CERADYNE INC CALIF CMN (156710105)             | 09/28/2009                  | 05/03/2010           | 285.00   | 6,030.15                   | 0.00                           | 5,140.15                | 890.00            |
| EL PASO ELECTRIC (NEW) CMN (283677854)         | 09/28/2009                  | 05/18/2010           | 135.00   | 2,833.33                   | 0.00                           | 2,423.25                | 410.08            |
| EL PASO ELECTRIC (NEW) CMN (283677854)         | 09/28/2009                  | 05/26/2010           | 130.00   | 2,565.02                   | 0.00                           | 2,333.50                | 231.52            |
| SOTHEBY'S CMN CLASS A (835898107)              | 09/28/2009                  | 05/26/2010           | 110.00   | 3,375.31                   | 0.00                           | 1,798.84                | 1,576.47          |
| SOTHEBY'S CMN CLASS A (835898107)              | 09/28/2009                  | 06/10/2010           | 90.00    | 2,794.96                   | 0.00                           | 1,471.78                | 1,323.18          |
| WEBSTER FINANCIAL CORP CMN (947890109)         | 09/28/2009                  | 06/15/2010           | 270.00   | 5,343.23                   | 0.00                           | 3,387.42                | 1,955.81          |
| SOTHEBY'S CMN CLASS A (835898107)              | 09/28/2009                  | 06/24/2010           | 170.00   | 4,383.90                   | 0.00                           | 2,780.03                | 1,603.87          |
| ADC TELECOMMUNICATIONS INC CMN (000886309)     | 09/28/2009                  | 07/13/2010           | 690.00   | 8,638.65                   | 0.00                           | 5,497.92                | 3,140.73          |
| ADC TELECOMMUNICATIONS INC CMN (000886309)     | 09/28/2009                  | 07/15/2010           | 565.00   | 7,062.38                   | 0.00                           | 4,501.92                | 2,560.46          |
| WEBSTER FINANCIAL CORP CMN (947890109)         | 09/28/2009                  | 07/21/2010           | 120.00   | 2,148.23                   | 0.00                           | 1,505.52                | 642.71            |
| WEBSTER FINANCIAL CORP CMN (947890109)         | 10/08/2009                  | 07/21/2010           | 5.00     | 89.51                      | 0.00                           | 64.76                   | 24.75             |
| AMERICREDIT CORP CMN (03060R101)               | 09/28/2009                  | 07/30/2010           | 410.00   | 9,889.73                   | 0.00                           | 6,478.00                | 3,411.73          |
| AMERICREDIT CORP CMN (03060R101)               | 09/28/2009                  | 08/09/2010           | 330.00   | 7,953.78                   | 0.00                           | 5,214.00                | 2,739.78          |
| WEBSTER FINANCIAL CORP CMN (947890109)         | 10/08/2009                  | 08/09/2010           | 123.00   | 2,275.03                   | 0.00                           | 1,593.08                | 681.95            |
| AMERICREDIT CORP CMN (03060R101)               | 09/28/2009                  | 08/10/2010           | 220.00   | 5,300.90                   | 0.00                           | 3,476.00                | 1,824.90          |

<sup>4</sup> Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

<sup>5</sup> Sale Proceeds may have been adjusted by an option premium due to an option assignment.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such. New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you. The information in this statement is not yet determined pursuant to such new legislation.



# REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

## SHORT TERM

| Description (Product Identifier)             | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total Accretion<br>(Amortization) | Cost Basis <sup>4</sup> | Total<br>Gain (Loss) |
|----------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| OVERSEAS SHIPHOLDING GRP CMN (690368105)     | 09/28/2009                     | 09/03/2010              | 275.00   | 9,551.40                   | 0.00                              | 10,543.50               | (992.10)             |
| CAPITOL FEDERAL FINANCIAL CMN (14057C106)    | 09/28/2009                     | 09/08/2010              | 15.00    | 382.97                     | 0.00                              | 485.43                  | (102.46)             |
| HEALTHCARE SVCS GROUP INC CMN (421906108)    | 09/28/2009                     | 09/09/2010              | 120.00   | 2,668.92                   | 0.00                              | 2,185.04                | 483.88               |
| UMB FINANCIAL CORP CMN (902788108)           | 09/28/2009                     | 09/09/2010              | 70.00    | 2,380.12                   | 0.00                              | 2,810.28                | (430.16)             |
| CASEY'S GENERAL STORES, INC CMN (147528103)  | 09/28/2009                     | 09/17/2010              | 100.00   | 4,336.97                   | 0.00                              | 3,131.75                | 1,205.22             |
| ZORAN CORP CMN (98975F101)                   | 12/22/2009                     | 11/09/2010              | 225.00   | 1,581.68                   | 0.00                              | 2,438.57                | (856.89)             |
| JEFFERIES GROUP INC (NEW) CMN (472319102)    | 12/09/2009                     | 11/11/2010              | 40.00    | 1,013.56                   | 0.00                              | 927.99                  | 85.57                |
| ZORAN CORP CMN (98975F101)                   | 12/22/2009                     | 11/12/2010              | 10.00    | 69.92                      | 0.00                              | 108.38                  | (38.46)              |
| ZORAN CORP CMN (98975F101)                   | 01/28/2010                     | 11/12/2010              | 122.00   | 853.03                     | 0.00                              | 1,322.78                | (469.75)             |
| SUN HEALTHCARE GROUP INC CMN (86677E100)     | 08/13/2010                     | 11/15/2010              | 0.67     | 0.00                       | 0.00                              | 0.00                    | 0.00                 |
| ZORAN CORP CMN (98975F101)                   | 01/28/2010                     | 11/15/2010              | 103.00   | 720.49                     | 0.00                              | 1,116.78                | (396.29)             |
| ZORAN CORP CMN (98975F101)                   | 03/04/2010                     | 11/15/2010              | 80.00    | 559.60                     | 0.00                              | 921.89                  | (362.29)             |
| ZORAN CORP CMN (98975F101)                   | 04/13/2010                     | 11/15/2010              | 87.00    | 608.57                     | 0.00                              | 944.61                  | (336.05)             |
| SABRA HEALTH CARE REIT, INC CMN (78573L106)  | 08/13/2010                     | 11/16/2010              | 0.67     | 11.23                      | 0.00                              | 0.00                    | 11.23                |
| JEFFERIES GROUP INC (NEW) CMN (472319102)    | 12/09/2009                     | 11/18/2010              | 110.00   | 2,719.51                   | 0.00                              | 2,551.98                | 167.53               |
| WEBSTER FINANCIAL CORP CMN (947890109)       | 11/25/2009                     | 11/18/2010              | 13.00    | 223.90                     | 0.00                              | 164.73                  | 59.17                |
| ZORAN CORP CMN (98975F101)                   | 04/13/2010                     | 11/18/2010              | 104.00   | 720.00                     | 0.00                              | 1,129.19                | (409.19)             |
| ZORAN CORP CMN (98975F101)                   | 04/13/2010                     | 11/23/2010              | 69.00    | 473.14                     | 0.00                              | 749.18                  | (276.03)             |
| ZORAN CORP CMN (98975F101)                   | 07/22/2010                     | 11/23/2010              | 21.00    | 144.00                     | 0.00                              | 203.26                  | (59.26)              |
| WINN-DIXIE STORES, INC CMN CLASS (974280307) | 01/05/2010                     | 12/06/2010              | 85.00    | 586.27                     | 0.00                              | 870.58                  | (284.31)             |
| ZORAN CORP CMN (98975F101)                   | 07/22/2010                     | 12/07/2010              | 220.00   | 1,758.89                   | 0.00                              | 2,129.38                | (370.49)             |
| CARRIZO OIL & GAS INC CMN (144577103)        | 03/04/2010                     | 12/15/2010              | 115.00   | 3,415.60                   | 0.00                              | 2,956.16                | 459.44               |
| ZORAN CORP CMN (98975F101)                   | 07/22/2010                     | 12/15/2010              | 44.00    | 351.52                     | 0.00                              | 425.88                  | (74.36)              |
| ZORAN CORP CMN (98975F101)                   | 07/30/2010                     | 12/15/2010              | 150.00   | 1,198.35                   | 0.00                              | 1,302.66                | (104.31)             |
| <b>NET SHORT TERM GAINS (LOSSES)</b>         |                                |                         |          | <b>155,114.00</b>          | <b>0.00</b>                       | <b>124,219.52</b>       | <b>30,894.49</b>     |

<sup>4</sup> Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

<sup>5</sup> Sale Proceeds may have been adjusted by an option premium due to an option assignment.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such. New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you. The information in this statement is not yet determined pursuant to such new legislation.

# REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

## LONG TERM

| Description (Product Identifier)              | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total Accretion<br>(Amortization) | Cost Basis <sup>4</sup> | Total<br>Gain (Loss) |
|-----------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| CAPITOL FEDERAL FINANCIAL CMN (14057C106)     | 09/28/2009                     | 10/06/2010              | 80 00    | 1,926 56                   | 0 00                              | 2,588 95                | (662 39)             |
| CASEY'S GENERAL STORES, INC CMN (147528103)   | 09/28/2009                     | 10/06/2010              | 45 00    | 1,859 90                   | 0 00                              | 1,409 29                | 450 61               |
| BENEFICIAL MUTUAL BANCORP INC CMN (08173R104) | 09/28/2009                     | 10/27/2010              | 271 00   | 2,029 15                   | 0 00                              | 2,490 49                | (461 34)             |
| CAPITOL FEDERAL FINANCIAL CMN (14057C106)     | 09/28/2009                     | 10/27/2010              | 50 00    | 1,168 58                   | 0 00                              | 1,618 09                | (449 51)             |
| BENEFICIAL MUTUAL BANCORP INC CMN (08173R104) | 09/28/2009                     | 10/28/2010              | 279 00   | 2,044 05                   | 0 00                              | 2,564 01                | (519 96)             |
| JEFFERIES GROUP INC (NEW) CMN (472319102)     | 09/28/2009                     | 10/29/2010              | 185 00   | 4,456 63                   | 0 00                              | 4,948 75                | (492 12)             |
| JEFFERIES GROUP INC (NEW) CMN (472319102)     | 09/28/2009                     | 11/03/2010              | 110 00   | 2,656 90                   | 0 00                              | 2,942 50                | (285 60)             |
| WINN-DIXIE STORES, INC CMN CLASS (974280307)  | 09/28/2009                     | 11/08/2010              | 210 00   | 1,530 45                   | 0 00                              | 3,125 85                | (1,595 40)           |
| CAPITOL FEDERAL FINANCIAL CMN (14057C106)     | 09/28/2009                     | 11/11/2010              | 45 00    | 1,071 93                   | 0 00                              | 1,456 28                | (384 35)             |
| JEFFERIES GROUP INC (NEW) CMN (472319102)     | 09/28/2009                     | 11/11/2010              | 80 00    | 2,027 11                   | 0 00                              | 2,140 00                | (112 89)             |
| ALBANY MOLECULAR RESEARCH CMN (012423109)     | 09/28/2009                     | 11/12/2010              | 78 00    | 458 93                     | 0 00                              | 692 85                  | (233 92)             |
| WINN-DIXIE STORES, INC CMN CLASS (974280307)  | 09/28/2009                     | 11/12/2010              | 180 00   | 1,271 80                   | 0 00                              | 2,679 30                | (1,407 50)           |
| ALBANY MOLECULAR RESEARCH CMN (012423109)     | 09/28/2009                     | 11/15/2010              | 116 00   | 666 70                     | 0 00                              | 1,030 39                | (363 69)             |
| HEALTHCARE SVCS GROUP INC CMN (421906108)     | 09/28/2009                     | 11/15/2010              | 0 50     | 0 00                       | 0 00                              | 0 00                    | 0 00                 |
| ALBANY MOLECULAR RESEARCH CMN (012423109)     | 09/28/2009                     | 11/16/2010              | 77 00    | 415 07                     | 0 00                              | 683 97                  | (268 90)             |
| ALBANY MOLECULAR RESEARCH CMN (012423109)     | 09/28/2009                     | 11/17/2010              | 77 00    | 399 15                     | 0 00                              | 683 97                  | (284 82)             |
| ALBANY MOLECULAR RESEARCH CMN (012423109)     | 09/28/2009                     | 11/18/2010              | 66 00    | 355 54                     | 0 00                              | 586 26                  | (230 72)             |
| WEBSTER FINANCIAL CORP CMN (947890109)        | 10/08/2009                     | 11/18/2010              | 262 00   | 4,512 36                   | 0 00                              | 3,393 40                | 1,118 97             |
| ALBANY MOLECULAR RESEARCH CMN (012423109)     | 09/28/2009                     | 11/19/2010              | 64 00    | 343 49                     | 0 00                              | 568 49                  | (225 00)             |
| CAPITOL FEDERAL FINANCIAL CMN (14057C106)     | 09/28/2009                     | 11/23/2010              | 65 00    | 1,518 11                   | 0 00                              | 2,103 52                | (585 41)             |
| WINN-DIXIE STORES, INC CMN CLASS (974280307)  | 09/28/2009                     | 12/01/2010              | 140 00   | 873 45                     | 0 00                              | 2,083 90                | (1,210 45)           |
| WINN-DIXIE STORES, INC CMN CLASS (974280307)  | 09/28/2009                     | 12/06/2010              | 160 00   | 1,103 56                   | 0 00                              | 2,381 60                | (1,278 04)           |
| CAPITOL FEDERAL FINANCIAL CMN (14057C106)     | 09/28/2009                     | 12/08/2010              | 60 00    | 1,449 67                   | 0 00                              | 1,941 71                | (492 04)             |
| WEBSTER FINANCIAL CORP CMN (947890109)        | 11/25/2009                     | 12/28/2010              | 164 00   | 3,231 17                   | 0 00                              | 2,078 13                | 1,153 04             |
| <b>NET LONG TERM GAINS (LOSSES)</b>           |                                |                         |          | <b>37,370.26</b>           | <b>0.00</b>                       | <b>46,191.70</b>        | <b>(8,821.43)</b>    |

+ CIL 15

+ CIL 15

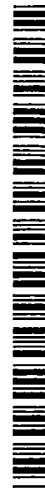
37,385

(8,806)

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<sup>5</sup> Sale Proceeds may have been adjusted by an option premium due to an option assignment.



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Tax Year Account No Legal Name  
2010 026-03996-6 DHANAM FOUNDATION

## REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

### REALIZED GAIN AND LOSS SUMMARY

|                                                          |                  |                                                         |             |                                           |             |
|----------------------------------------------------------|------------------|---------------------------------------------------------|-------------|-------------------------------------------|-------------|
| Net Short Term Gains (Losses)                            | 30,819.95        | Net Long Term Gains (Losses)                            | 0.00        | Net Ordinary Gains (Losses)               | 0.00        |
| Net Miscellaneous Short Term Gains (Losses)              | 0.00             | Net Miscellaneous Long Term Gains (Losses)              | 0.00        | Net Miscellaneous Ordinary Gains (Losses) | 0.00        |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0.00             | Net Regulated Futures Contract Long Term Gains (Losses) | 0.00        |                                           |             |
| <b>Total Short Term Gains (Losses)</b>                   | <b>30,819.95</b> | <b>Total Long Term Gains (Losses)</b>                   | <b>0.00</b> | <b>Total Ordinary Gains (Losses)</b>      | <b>0.00</b> |

### SHORT TERM

| Description (Product Identifier)                               | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity  | Sale Proceeds <sup>a</sup> | Total Accretion<br>(Amortization) | Cost Basis <sup>a</sup> | Total<br>Gain (Loss) |
|----------------------------------------------------------------|--------------------------------|-------------------------|-----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I (38141W679) | 10/27/2009                     | 02/09/2010              | 65,217.39 | 450,000.00                 | 0.00                              | 447,391.30              | 2,608.70             |
| VANGUARD MSCI EMERGING MKTS ETF (922042858)                    | 09/25/2009                     | 03/19/2010              | 6,500.00  | 267,991.59                 | 0.00                              | 246,953.85              | 21,037.74            |
| VANGUARD MSCI EUROPEAN ETF (922042874)                         | 05/20/2010                     | 06/15/2010              | 2,400.00  | 104,061.75                 | 0.00                              | 96,888.24               | 7,173.51             |
| <b>NET SHORT TERM GAINS (LOSSES)</b>                           |                                |                         |           | <b>822,053.34</b>          | <b>0.00</b>                       | <b>791,233.39</b>       | <b>30,819.95</b>     |

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<sup>b</sup> Sale Proceeds may have been adjusted by an option premium due to an option assignment.



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# REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

## REALIZED GAIN AND LOSS SUMMARY

|                                                          |                    |                                                         |                  |                                           |             |
|----------------------------------------------------------|--------------------|---------------------------------------------------------|------------------|-------------------------------------------|-------------|
| Net Short Term Gains (Losses)                            | (83,979.92)        | Net Long Term Gains (Losses)                            | 45,636.27        | Net Ordinary Gains (Losses)               | 0.00        |
| Net Miscellaneous Short Term Gains (Losses)              | 0.00               | Net Miscellaneous Long Term Gains (Losses)              | 0.00             | Net Miscellaneous Ordinary Gains (Losses) | 0.00        |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0.00               | Net Regulated Futures Contract Long Term Gains (Losses) | 0.00             |                                           |             |
| <b>Total Short Term Gains (Losses)</b>                   | <b>(83,979.92)</b> | <b>Total Long Term Gains (Losses)</b>                   | <b>45,636.27</b> | <b>Total Ordinary Gains (Losses)</b>      | <b>0.00</b> |

## SHORT TERM

| Description (Product Identifier)                                                   | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds <sup>a</sup> | Total Accretion (Amortization) | Cost Basis <sup>a</sup> | Total Gain (Loss) |
|------------------------------------------------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)                                      | 10/05/2009                  | 01/05/2010           | 308.00   | 16,079.30                  | 0.00                           | 15,318.56               | 760.73            |
| NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)                                      | 10/28/2009                  | 01/05/2010           | 620.00   | 32,367.42                  | 0.00                           | 32,369.65               | (32.24)           |
| NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109)                                      | 12/04/2009                  | 01/05/2010           | 241.00   | 12,581.53                  | 0.00                           | 13,440.86               | (859.33)          |
| BANCO SANTANDER, S.A. SPON ADR (05964H105)                                         | 09/28/2009                  | 01/08/2010           | 905.00   | 15,516.94                  | 0.00                           | 14,922.00               | 594.94            |
| BARCLAYS PLC, AMER DEP SHS ADR CMN (06738E204)                                     | 09/28/2009                  | 01/08/2010           | 758.00   | 15,522.03                  | 0.00                           | 17,809.21               | (2,287.18)        |
| CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)                         | 09/28/2009                  | 01/08/2010           | 450.00   | 24,367.26                  | 0.00                           | 25,047.72               | (680.46)          |
| HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS (42550U109)               | 09/28/2009                  | 01/08/2010           | 539.00   | 23,741.46                  | 0.00                           | 19,565.70               | 4,175.76          |
| NATIONAL GRID PLC SPONSORED ADR CMN (636274300)                                    | 10/14/2009                  | 01/08/2010           | 462.00   | 24,412.54                  | 0.00                           | 21,653.90               | 2,758.64          |
| NATIONAL GRID PLC SPONSORED ADR CMN (636274300)                                    | 10/28/2009                  | 01/08/2010           | 518.00   | 27,371.63                  | 0.00                           | 25,705.23               | 1,666.40          |
| NATIONAL GRID PLC SPONSORED ADR CMN (636274300)                                    | 10/29/2009                  | 01/08/2010           | 216.00   | 11,413.65                  | 0.00                           | 10,936.17               | 477.49            |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)                     | 09/28/2009                  | 01/08/2010           | 503.00   | 23,965.12                  | 0.00                           | 21,355.67               | 2,609.45          |
| PRUDENTIAL CORP (ADR) ADR CMN (74435K204)                                          | 09/28/2009                  | 01/08/2010           | 803.00   | 16,353.18                  | 0.00                           | 14,982.62               | 1,370.56          |
| ASML HOLDING NV ADR CMN (N07059186)                                                | 09/28/2009                  | 01/15/2010           | 103.00   | 3,381.22                   | 0.00                           | 3,052.92                | 328.30            |
| ASML HOLDING NV ADR CMN (N07059186)                                                | 10/28/2009                  | 01/15/2010           | 412.00   | 13,524.87                  | 0.00                           | 11,341.66               | 2,183.21          |
| NATIONAL GRID PLC SPONSORED ADR CMN (636274300)                                    | 10/29/2009                  | 01/15/2010           | 750.00   | 39,271.93                  | 0.00                           | 37,972.80               | 1,299.13          |
| NATIONAL GRID PLC SPONSORED ADR CMN (636274300)                                    | 12/04/2009                  | 01/15/2010           | 132.00   | 6,911.86                   | 0.00                           | 7,086.92                | (175.06)          |
| NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1 ADR = 1/2 COMMON SHS) (654624105) | 09/28/2009                  | 01/15/2010           | 139.00   | 3,043.90                   | 0.00                           | 3,334.36                | (290.46)          |
| NIPPON TELEG & TEL SPON ADR SPONSORED ADR CMN (1 ADR = 1/2 COMMON SHS) (654624105) | 10/28/2009                  | 01/15/2010           | 1,422.00 | 31,139.79                  | 0.00                           | 28,836.45               | 2,303.34          |
| P.T. TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS) (715684106)               | 09/28/2009                  | 01/15/2010           | 283.00   | 11,479.15                  | 0.00                           | 9,903.19                | 1,575.96          |

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# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                                    | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds <sup>a</sup> | Total Accretion (Amortization) | Cost Basis <sup>a</sup> | Total Gain (Loss) |
|---------------------------------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN (783513104)              | 09/28/2009                  | 01/15/2010           | 912 00   | 24,359 34                  | 0 00                           | 26,950 06               | (2,590 72)        |
| VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)                    | 09/28/2009                  | 01/15/2010           | 937 00   | 20,607 21                  | 0 00                           | 21,541 26               | (934 05)          |
| ANGLO AMERICAN PLC ADR CMN (03485P201)                              | 09/28/2009                  | 01/22/2010           | 2,518 00 | 50,609 33                  | 0 00                           | 41,194 48               | 9,414 85          |
| ANGLO AMERICAN PLC ADR CMN (03485P201)                              | 10/28/2009                  | 01/22/2010           | 13 00    | 261 29                     | 0 00                           | 232 86                  | 28 43             |
| ANGELORMITTAL CMN (03938L104)                                       | 09/28/2009                  | 01/22/2010           | 643 00   | 26,877 76                  | 0 00                           | 24,517 46               | 2,360 30          |
| ASML HOLDING NV ADR CMN (N07059186)                                 | 10/28/2009                  | 01/22/2010           | 459 00   | 15,283 57                  | 0 00                           | 12,635 49               | 2,648 08          |
| BASF SE ADR SPONSORED ADR CMN USD0 7322 (055262505)                 | 09/28/2009                  | 01/22/2010           | 317 00   | 18,081 14                  | 0 00                           | 16,829 53               | 1,251 61          |
| ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)         | 09/28/2009                  | 01/22/2010           | 1,273 00 | 25,182 36                  | 0 00                           | 24,034 62               | 1,147 74          |
| mitsui & co LTD ADR CMN (606827202)                                 | 09/28/2009                  | 01/22/2010           | 48 00    | 14,535 35                  | 0 00                           | 12,664 80               | 1,870 55          |
| NATIONAL BANK OF GREECE - ADR SPONSORED ADR CMN (633643408)         | 09/28/2009                  | 01/22/2010           | 2,820 00 | 13,081 75                  | 0 00                           | 19,891 44               | (6,809 69)        |
| NATIONAL BANK OF GREECE - ADR SPONSORED ADR CMN (633643408)         | 10/28/2009                  | 01/22/2010           | 76 00    | 352 56                     | 0 00                           | 541 21                  | (188 65)          |
| SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)                  | 09/28/2009                  | 01/22/2010           | 2,159 00 | 24,504 28                  | 0 00                           | 22,151 34               | 2,352 94          |
| COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)        | 09/28/2009                  | 01/28/2010           | 863 00   | 28,605 43                  | 0 00                           | 28,562 28               | 43 15             |
| GAFISA, S A SPONSORED ADR CMN (362607301)                           | 09/28/2009                  | 01/28/2010           | 1,592 00 | 42,502 92                  | 0 00                           | 49,245 98               | (6,743 05)        |
| GAFISA, S A SPONSORED ADR CMN (362607301)                           | 10/28/2009                  | 01/28/2010           | 121 00   | 3,230 44                   | 0 00                           | 3,665 97                | (435 54)          |
| mitsui & co LTD ADR CMN (606827202)                                 | 09/28/2009                  | 01/28/2010           | 45 00    | 13,157 63                  | 0 00                           | 11,873 25               | 1,284 38          |
| mitsui & co LTD ADR CMN (606827202)                                 | 10/09/2009                  | 01/28/2010           | 22 00    | 6,432 62                   | 0 00                           | 5,918 48                | 514 14            |
| mitsui & co LTD ADR CMN (606827202)                                 | 10/28/2009                  | 01/28/2010           | 23 00    | 6,725 01                   | 0 00                           | 6,064 38                | 660 63            |
| NORSK HYDRO ASA SPONSORED ADR CMN (656531605)                       | 09/28/2009                  | 01/28/2010           | 1,537 00 | 11,028 90                  | 0 00                           | 10,021 24               | 1,007 66          |
| NORSK HYDRO ASA SPONSORED ADR CMN (656531605)                       | 10/09/2009                  | 01/28/2010           | 1,519 00 | 10,899 74                  | 0 00                           | 11,177 87               | (278 13)          |
| NORSK HYDRO ASA SPONSORED ADR CMN (656531605)                       | 10/28/2009                  | 01/28/2010           | 6,775 00 | 48,614 71                  | 0 00                           | 44,891 83               | 3,722 88          |
| PETROLEO BRASILEIRO S A SPON ADR (71654V101)                        | 09/28/2009                  | 01/28/2010           | 419 00   | 15,696 85                  | 0 00                           | 16,288 25               | (591 40)          |
| COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN (1912EP104)        | 09/28/2009                  | 02/03/2010           | 713 00   | 16,466 68                  | 0 00                           | 17,093 04               | (626 36)          |
| NATIONAL BANK OF GREECE - ADR SPONSORED ADR CMN (633643408)         | 10/28/2009                  | 02/03/2010           | 3,035 00 | 13,415 67                  | 0 00                           | 21,612 84               | (8,197 17)        |
| P T TELEKOMUNIKASI INDONESIA ADS ( 1 ADS -> 40 ORD SHS) (715684106) | 09/28/2009                  | 02/03/2010           | 453 00   | 18,058 06                  | 0 00                           | 15,852 10               | 2,205 96          |
| SOHU COM INC CMN (83408W103)                                        | 09/28/2009                  | 02/03/2010           | 226 00   | 11,837 68                  | 0 00                           | 15,170 66               | (3,332 98)        |
| VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)                    | 09/28/2009                  | 02/03/2010           | 456 00   | 9,816 43                   | 0 00                           | 10,483 26               | (666 83)          |

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# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                                      | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds <sup>s</sup> | Total Accretion (Amortization) | Cost Basis <sup>4</sup> | Total Gain (Loss) |
|-----------------------------------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| ASML HOLDING NV ADR CMN (N07059186)                                   | 10/28/2009                  | 02/04/2010           | 503 00   | 15,693 77                  | 0 00                           | 13,846 73               | 1,847 04          |
| ASML HOLDING NV ADR CMN (N07059186)                                   | 11/18/2009                  | 02/04/2010           | 481 00   | 15,007 37                  | 0 00                           | 15,384 16               | (376 79)          |
| BARCLAYS PLC,AMER DEP SHS ADR CMN (06738E204)                         | 09/28/2009                  | 02/04/2010           | 347 00   | 5,989 49                   | 0 00                           | 8,152 77                | (2,163 28)        |
| P.T. TELEKOMUNIKASI INDONESIA ADS ( 1 ADS --> 40 ORD SHS) (715684106) | 09/28/2009                  | 02/04/2010           | 549 00   | 21,188 56                  | 0 00                           | 19,211 49               | 1,977 08          |
| P.T. TELEKOMUNIKASI INDONESIA ADS ( 1 ADS --> 40 ORD SHS) (715684106) | 10/28/2009                  | 02/04/2010           | 186 00   | 7,178 64                   | 0 00                           | 6,404 76                | 773 88            |
| SWEDBANK A B ADR CMN (870195104)                                      | 10/09/2009                  | 02/04/2010           | 771 00   | 6,621 29                   | 0 00                           | 9,206 82                | (2,585 53)        |
| SWEDBANK A B ADR CMN (870195104)                                      | 10/20/2009                  | 02/04/2010           | 261 00   | 2,241 45                   | 0 00                           | 2,534 28                | (292 83)          |
| ARCELORMITTAL CMN (03938L 104)                                        | 09/28/2009                  | 02/17/2010           | 330 00   | 12,717 22                  | 0 00                           | 12,582 84               | 134 38            |
| ARCELORMITTAL CMN (03938L 104)                                        | 10/28/2009                  | 02/17/2010           | 415 00   | 15,992 86                  | 0 00                           | 14,236 99               | 1,755 87          |
| BANCO SANTANDER, S.A. SPON ADR (05864H105)                            | 09/28/2009                  | 02/17/2010           | 314 00   | 4,216 06                   | 0 00                           | 5,177 36                | (961 30)          |
| NATIONAL BANK OF GREECE - ADR SPONSORED ADR CMN (633643408)           | 10/28/2009                  | 02/17/2010           | 4,178 00 | 15,312 95                  | 0 00                           | 29,752 38               | (14,439 43)       |
| SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)                    | 09/28/2009                  | 02/17/2010           | 1,311 00 | 13,976 61                  | 0 00                           | 13,450 86               | 525 75            |
| SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)                    | 10/28/2009                  | 02/17/2010           | 314 00   | 3,347 56                   | 0 00                           | 3,027 27                | 320 29            |
| SWEDBANK A B ADR CMN (870195104)                                      | 10/20/2009                  | 02/17/2010           | 811 00   | 7,249 32                   | 0 00                           | 7,874 73                | (625 41)          |
| SWEDBANK A B ADR CMN (870195104)                                      | 10/28/2009                  | 02/17/2010           | 690 00   | 6,167 73                   | 0 00                           | 5,776 27                | 391 47            |
| SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)                    | 10/28/2009                  | 02/19/2010           | 1,121 00 | 11,799 87                  | 0 00                           | 10,807 56               | 992 31            |
| SWEDBANK A B ADR CMN (870195104)                                      | 10/28/2009                  | 02/19/2010           | 3,873 00 | 34,824 74                  | 0 00                           | 32,422 43               | 2,402 31          |
| CEMEX, S.A.B DE C.V. SPONSORED ADR CMN (151290889)                    | 09/28/2009                  | 02/24/2010           | 1,172 00 | 11,169 42                  | 0 00                           | 15,466 18               | (4,296 76)        |
| CEMEX, S.A.B DE C.V. SPONSORED ADR CMN (151290889)                    | 10/28/2009                  | 02/24/2010           | 253 00   | 2,411 15                   | 0 00                           | 2,607 49                | (196 35)          |
| CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN (225401108)            | 09/28/2009                  | 02/24/2010           | 163 00   | 7,207 60                   | 0 00                           | 9,072 84                | (1,865 24)        |
| BAE SYSTEMS PLC SPONSORED ADR CMN (05523R107)                         | 09/28/2009                  | 03/10/2010           | 1,222 00 | 27,404 71                  | 0 00                           | 26,700 70               | 704 01            |
| BRITISH AMERICAN TOBACCO PLC SPONS ADR (110448107)                    | 09/28/2009                  | 03/10/2010           | 318 00   | 21,304 07                  | 0 00                           | 19,983 53               | 1,320 54          |
| HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS (42550U109)  | 09/28/2009                  | 03/10/2010           | 218 00   | 9,865 15                   | 0 00                           | 7,913 40                | 1,951 75          |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)        | 09/28/2009                  | 03/10/2010           | 280 00   | 14,093 23                  | 0 00                           | 11,887 85               | 2,205 38          |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)        | 10/02/2009                  | 03/10/2010           | 111 00   | 5,586 96                   | 0 00                           | 4,657 56                | 929 40            |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)        | 10/28/2009                  | 03/10/2010           | 212 00   | 10,670 59                  | 0 00                           | 9,803 47                | 867 11            |

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# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                                  | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds * | Total Accretion (Amortization) | Cost Basis * | Total Gain (Loss) |
|-------------------------------------------------------------------|-----------------------------|----------------------|----------|-----------------|--------------------------------|--------------|-------------------|
| BAE SYSTEMS PLC SPONSORED ADR CMN (05523R107)                     | 09/28/2009                  | 03/22/2010           | 965 00   | 22,627 61       | 0 00                           | 21,085 25    | 1,542 36          |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209)                       | 09/28/2009                  | 03/22/2010           | 278 00   | 17,790 63       | 0 00                           | 14,373 38    | 3,417 25          |
| BAE SYSTEMS PLC SPONSORED ADR CMN (05523R107)                     | 09/28/2009                  | 03/24/2010           | 167 00   | 3,793 09        | 0 00                           | 3,648 95     | 144 14            |
| BAE SYSTEMS PLC SPONSORED ADR CMN (05523R107)                     | 10/28/2009                  | 03/24/2010           | 1,060 00 | 24,075 89       | 0 00                           | 22,246 54    | 1,829 35          |
| GAFISA, S A SPONSORED ADR CMN (362607301)                         | 10/28/2009                  | 03/24/2010           | 1,777 00 | 25,967 50       | 0 00                           | 26,919 15    | (951 65)          |
| ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)       | 09/28/2009                  | 03/24/2010           | 835 00   | 17,136 49       | 0 00                           | 15,765 05    | 1,371 44          |
| ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (465562106)       | 10/28/2009                  | 03/24/2010           | 118 00   | 2,421 68        | 0 00                           | 2,306 92     | 114 76            |
| ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184 (771195104)   | 09/28/2009                  | 03/24/2010           | 397 00   | 16,101 00       | 0 00                           | 16,185 69    | (84 69)           |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209)                       | 09/28/2009                  | 03/24/2010           | 450 00   | 28,930 80       | 0 00                           | 23,266 26    | 5,664 54          |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209)                       | 10/02/2009                  | 03/24/2010           | 42 00    | 2,700 21        | 0 00                           | 2,090 34     | 609 87            |
| FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106) | 09/28/2009                  | 03/26/2010           | 328 00   | 18,017 11       | 0 00                           | 16,249 12    | 1,767 99          |
| COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN (1912EP104)      | 09/28/2009                  | 03/29/2010           | 29 00    | 781 72          | 0 00                           | 695 23       | 86 49             |
| COCA-COLA HELLENIC BOTTLING CO SPONSORED ADR CMN (1912EP104)      | 10/28/2009                  | 03/29/2010           | 1,309 00 | 35,285 33       | 0 00                           | 31,469 67    | 3,815 66          |
| COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)      | 09/28/2009                  | 04/06/2010           | 229 00   | 7,680 10        | 0 00                           | 7,579 10     | 101 00            |
| COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)      | 10/02/2009                  | 04/06/2010           | 254 00   | 8,518 53        | 0 00                           | 8,698 28     | (179 75)          |
| COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)      | 10/28/2009                  | 04/06/2010           | 93 00    | 3,118 99        | 0 00                           | 3,147 60     | (28 61)           |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                 | 12/17/2009                  | 04/06/2010           | 1,172 00 | 15,736 64       | 0 00                           | 16,920 16    | (1,183 52)        |
| KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)               | 10/29/2009                  | 04/06/2010           | 1,333 00 | 17,733 53       | 0 00                           | 17,314 47    | 419 06            |
| KT CORPORATION SPONSORED ADR CMN (48268K101)                      | 01/15/2010                  | 04/06/2010           | 515 00   | 10,449 22       | 0 00                           | 10,280 84    | 168 38            |
| RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN (783513104)            | 09/28/2009                  | 04/06/2010           | 114 00   | 3,383 92        | 0 00                           | 3,368 76     | 15 16             |
| RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN (783513104)            | 10/28/2009                  | 04/06/2010           | 142 00   | 4,215 05        | 0 00                           | 3,845 27     | 369 78            |
| VINCI S A ADR CMN (927320101)                                     | 09/28/2009                  | 04/06/2010           | 498 00   | 7,381 03        | 0 00                           | 7,221 00     | 160 03            |
| BRITISH AMERICAN TOBACCO PLC SPONS ADR (110448107)                | 09/28/2009                  | 04/09/2010           | 415 00   | 28,406 64       | 0 00                           | 26,079 14    | 2,327 50          |
| BRITISH AMERICAN TOBACCO PLC SPONS ADR (110448107)                | 10/28/2009                  | 04/09/2010           | 82 00    | 5,612 88        | 0 00                           | 5,284 74     | 328 14            |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                 | 12/17/2009                  | 04/09/2010           | 2,181 00 | 29,274 19       | 0 00                           | 31,487 10    | (2,212 91)        |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                 | 12/23/2009                  | 04/09/2010           | 292 00   | 3,919 33        | 0 00                           | 4,349 25     | (429 92)          |

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# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                                            | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity  | Sale Proceeds <sup>5</sup> | Total Accretion<br>(Amortization) | Cost Basis <sup>4</sup> | Total<br>Gain (Loss) |
|-----------------------------------------------------------------------------|--------------------------------|-------------------------|-----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| DSG INTERNATIONAL PLC SPONSORED ADR CMN (26250N101)                         | 09/28/2009                     | 04/09/2010              | 17,667 00 | 27,740 25                  | 0 00                              | 24,733 80               | 3,006 45             |
| KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)                         | 10/29/2009                     | 04/09/2010              | 917 00    | 12,553 05                  | 0 00                              | 11,911 01               | 642 04               |
| KT CORPORATION SPONSORED ADR CMN (48268K101)                                | 01/15/2010                     | 04/09/2010              | 209 00    | 4,388 23                   | 0 00                              | 4,172 23                | 196 01               |
| RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN (783513104)                      | 10/28/2009                     | 04/09/2010              | 939 00    | 27,985 38                  | 0 00                              | 25,427 55               | 2,537 83             |
| SATYAM COMPUTER SERVICES LTD ADR CMN (804098101)                            | 12/10/2009                     | 04/09/2010              | 2,674 00  | 14,493 36                  | 0 00                              | 13,828 86               | 664 50               |
| VALE SA ADR SERIES A (91912E204)                                            | 02/17/2010                     | 04/09/2010              | 327 00    | 9,413 61                   | 0 00                              | 7,878 71                | 1,534 91             |
| DSG INTERNATIONAL PLC SPONSORED ADR CMN (26250N101)                         | 09/28/2009                     | 04/13/2010              | 2,390 00  | 3,803 14                   | 0 00                              | 3,346 00                | 457 14               |
| DSG INTERNATIONAL PLC SPONSORED ADR CMN (26250N101)                         | 10/28/2009                     | 04/13/2010              | 2,170 00  | 3,453 06                   | 0 00                              | 3,428 60                | 24 46                |
| RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN (783513104)                      | 10/28/2009                     | 04/13/2010              | 130 00    | 3,885 33                   | 0 00                              | 3,520 32                | 365 01               |
| RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN (783513104)                      | 11/18/2009                     | 04/13/2010              | 86 00     | 2,570 30                   | 0 00                              | 2,311 34                | 258 96               |
| SONY CORPORATION ADR CMN (835699307)                                        | 12/10/2009                     | 04/13/2010              | 542 00    | 19,803 15                  | 0 00                              | 15,407 98               | 4,395 17             |
| VALE SA ADR SERIES A (91912E204)                                            | 02/17/2010                     | 04/13/2010              | 604 00    | 17,397 26                  | 0 00                              | 14,552 72               | 2,844 55             |
| BRITISH AMERICAN TOBACCO PLC SPONS ADR (110448107)                          | 10/28/2009                     | 04/15/2010              | 706 00    | 47,885 41                  | 0 00                              | 45,500 28               | 2,385 13             |
| DSG INTERNATIONAL PLC SPONSORED ADR CMN (26250N101)                         | 10/28/2009                     | 04/15/2010              | 1,045 00  | 1,694 96                   | 0 00                              | 1,651 10                | 43 86                |
| KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)                         | 10/29/2009                     | 04/15/2010              | 1,587 00  | 21,388 58                  | 0 00                              | 20,613 70               | 774 88               |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)              | 10/28/2009                     | 04/15/2010              | 540 00    | 27,365 33                  | 0 00                              | 24,971 11               | 2,394 22             |
| RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN (783513104)                      | 11/18/2009                     | 04/15/2010              | 120 00    | 3,590 75                   | 0 00                              | 3,225 12                | 365 63               |
| RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN (783513104)                      | 12/10/2009                     | 04/15/2010              | 693 00    | 20,736 56                  | 0 00                              | 17,319 53               | 3,417 03             |
| RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN (783513104)                      | 12/23/2009                     | 04/15/2010              | 263 00    | 7,869 72                   | 0 00                              | 7,428 22                | 441 50               |
| BAE SYSTEMS PLC SPONSORED ADR CMN (05523R107)                               | 10/28/2009                     | 04/26/2010              | 602 00    | 13,443 39                  | 0 00                              | 12,634 35               | 809 04               |
| BANCO SANTANDER, S A SPON ADR (05964H105)                                   | 09/28/2009                     | 04/26/2010              | 393 00    | 5,263 71                   | 0 00                              | 6,479 94                | (1,216 23)           |
| BANCO SANTANDER, S A SPON ADR (05964H105)                                   | 10/28/2009                     | 04/26/2010              | 4,089 00  | 54,766 73                  | 0 00                              | 66,173 92               | (11,407 19)          |
| DSG INTERNATIONAL PLC SPONSORED ADR CMN (26250N101)                         | 10/28/2009                     | 04/26/2010              | 13,210 00 | 20,136 97                  | 0 00                              | 20,871 80               | (734 83)             |
| LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0 5 ORDINARY SHARES (50186V102) | 11/24/2009                     | 04/26/2010              | 376 00    | 7,785 84                   | 0 00                              | 5,288 89                | 2,496 95             |
| MITSUBI & CO LTD ADR CMN (606827202)                                        | 10/28/2009                     | 04/26/2010              | 54 00     | 17,189 80                  | 0 00                              | 14,238 10               | 2,951 70             |
| TRANSOCEAN LTD CMN (H8817H100)                                              | 09/28/2009                     | 04/26/2010              | 91 00     | 8,097 04                   | 0 00                              | 7,677 72                | 419 33               |

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Tax Year Account No Legal Name  
**2010 026-04020-4 DHANAM FOUNDATION**

# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                                            | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total Accretion (Amortization) | Cost Basis <sup>4</sup> | Total Gain (Loss) |
|-----------------------------------------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| VALE SA ADR SERIES A (91912E204)                                            | 02/17/2010                  | 04/26/2010           | 562.00   | 15,920.40                  | 0.00                           | 13,540.77               | 2,379.63          |
| DSG INTERNATIONAL PLC SPONSORED ADR CMN (26250N101)                         | 10/28/2009                  | 04/27/2010           | 1,559.00 | 2,354.05                   | 0.00                           | 2,463.22                | (109.17)          |
| MITSUJI & CO LTD ADR CMN (606827202)                                        | 10/28/2009                  | 04/27/2010           | 27.00    | 8,392.22                   | 0.00                           | 7,119.05                | 1,273.17          |
| AXA-UAP AMERICAN DEPOSITARY SHARES (054536107)                              | 09/28/2009                  | 04/28/2010           | 779.00   | 14,608.26                  | 0.00                           | 20,414.09               | (5,805.83)        |
| INTESA SANPAOLO SPONSORED ADR CMN (46115H107)                               | 10/28/2009                  | 04/28/2010           | 463.00   | 8,968.71                   | 0.00                           | 11,850.07               | (2,881.36)        |
| MEDIASET SPA ADR CMN (584469407)                                            | 09/28/2009                  | 04/28/2010           | 582.00   | 13,094.77                  | 0.00                           | 12,513.00               | 581.77            |
| MITSUJI & CO LTD ADR CMN (606827202)                                        | 10/28/2009                  | 04/28/2010           | 23.00    | 7,146.73                   | 0.00                           | 6,064.38                | 1,082.35          |
| MITSUJI & CO LTD ADR CMN (606827202)                                        | 12/16/2009                  | 04/28/2010           | 23.00    | 7,146.73                   | 0.00                           | 6,582.78                | 563.94            |
| ORIX CORPORATION SPONS ADR SPONSORED ADR CMN (686330101)                    | 09/28/2009                  | 04/28/2010           | 580.00   | 25,541.25                  | 0.00                           | 19,349.78               | 6,191.47          |
| REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)                       | 09/28/2009                  | 04/28/2010           | 181.00   | 5,618.28                   | 0.00                           | 5,499.27                | 119.01            |
| SOHU COM INC CMN (83408W103)                                                | 09/28/2009                  | 04/29/2010           | 223.00   | 10,791.08                  | 0.00                           | 14,969.28               | (4,178.20)        |
| SOHU COM INC CMN (83408W103)                                                | 10/28/2009                  | 04/29/2010           | 384.00   | 18,581.94                  | 0.00                           | 22,137.49               | (3,555.55)        |
| TRANSOCEAN LTD CMN (H8817H100)                                              | 09/28/2009                  | 04/29/2010           | 454.00   | 35,646.61                  | 0.00                           | 38,304.21               | (2,657.59)        |
| TRANSOCEAN LTD CMN (H8817H100)                                              | 10/28/2009                  | 04/29/2010           | 227.00   | 17,823.31                  | 0.00                           | 19,292.62               | (1,469.31)        |
| INTESA SANPAOLO SPONSORED ADR CMN (46115H107)                               | 10/28/2009                  | 04/30/2010           | 467.00   | 9,179.38                   | 0.00                           | 11,952.45               | (2,773.07)        |
| LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES (50186V102) | 11/24/2009                  | 04/30/2010           | 1,973.00 | 41,951.39                  | 0.00                           | 27,752.61               | 14,198.78         |
| ANGLO AMERICAN PLC ADR CMN (03485P201)                                      | 10/28/2009                  | 05/05/2010           | 347.00   | 6,740.91                   | 0.00                           | 6,215.60                | 525.31            |
| ENI S P A SPON ADR SPONSORED ADR CMN (26874R108)                            | 09/28/2009                  | 05/05/2010           | 176.00   | 7,379.59                   | 0.00                           | 8,823.90                | (1,444.31)        |
| MITSUJI & CO LTD ADR CMN (606827202)                                        | 12/16/2009                  | 05/05/2010           | 88.00    | 25,451.33                  | 0.00                           | 25,186.30               | 265.03            |
| VALE SA ADR SERIES A (91912E204)                                            | 02/17/2010                  | 05/05/2010           | 1,756.00 | 42,702.91                  | 0.00                           | 42,308.89               | 394.03            |
| VALE SA ADR SERIES A (91912E204)                                            | 02/24/2010                  | 05/05/2010           | 540.00   | 13,131.88                  | 0.00                           | 13,073.56               | 58.32             |
| VINCI S A ADR CMN (927320101)                                               | 09/28/2009                  | 05/05/2010           | 316.00   | 3,975.56                   | 0.00                           | 4,582.00                | (606.44)          |
| PARTNER COMMUNICATIONS CO ADR CMN (70211M109)                               | 02/03/2010                  | 05/06/2010           | 1,271.00 | 22,497.96                  | 0.00                           | 26,840.22               | (4,342.26)        |
| MITSUJI & CO LTD ADR CMN (606827202)                                        | 12/16/2009                  | 05/07/2010           | 92.00    | 25,958.11                  | 0.00                           | 26,331.13               | (373.02)          |
| PARTNER COMMUNICATIONS CO ADR CMN (70211M109)                               | 02/03/2010                  | 05/07/2010           | 870.00   | 15,161.23                  | 0.00                           | 18,372.14               | (3,210.91)        |
| TOTAL SA SPONSORED ADR CMN (89151E109)                                      | 09/28/2009                  | 05/07/2010           | 213.00   | 10,289.00                  | 0.00                           | 12,740.92               | (2,451.91)        |

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|-----------------------------------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| TOTAL SA SPONSORED ADR CMN (89151E109)                                      | 10/28/2009                     | 05/07/2010              | 838 00   | 40,479 74                  | 0 00                              | 51,971 42               | (11,491 68)          |
| TOTAL SA SPONSORED ADR CMN (89151E109)                                      | 03/11/2010                     | 05/07/2010              | 285 00   | 13,766 98                  | 0 00                              | 16,619 77               | (2,852 79)           |
| MITSUI & CO LTD ADR CMN (606827202)                                         | 12/16/2009                     | 05/10/2010              | 25 00    | 7,418 97                   | 0 00                              | 7,155 20                | 263 77               |
| MITSUI & CO LTD ADR CMN (606827202)                                         | 02/17/2010                     | 05/10/2010              | 29 00    | 8,606 00                   | 0 00                              | 9,013 90                | (407 90)             |
| PARTNER COMMUNICATIONS CO ADR CMN (70211M109)                               | 02/03/2010                     | 05/10/2010              | 224 00   | 4,129 84                   | 0 00                              | 4,730 30                | (600 46)             |
| PARTNER COMMUNICATIONS CO ADR CMN (70211M109)                               | 02/04/2010                     | 05/10/2010              | 638 00   | 11,762 67                  | 0 00                              | 13,341 92               | (1,579 25)           |
| PARTNER COMMUNICATIONS CO ADR CMN (70211M109)                               | 02/05/2010                     | 05/10/2010              | 1,328 00 | 24,484 05                  | 0 00                              | 27,688 40               | (3,204 35)           |
| ANGLO AMERICAN PLC ADR CMN (03485P201)                                      | 10/28/2009                     | 05/14/2010              | 428 00   | 8,062 01                   | 0 00                              | 7,666 51                | 395 50               |
| AXA-UAP AMERICAN DEPOSITORY SHARES (054536107)                              | 09/28/2009                     | 05/14/2010              | 419 00   | 6,840 81                   | 0 00                              | 10,980 11               | (4,139 30)           |
| ING GROEP N.V. SPONS ADR SPONSORED ADR CMN (458837103)                      | 09/28/2009                     | 05/14/2010              | 3,159 00 | 26,279 90                  | 0 00                              | 45,290 25               | (19,010 35)          |
| ING GROEP N.V. SPONS ADR SPONSORED ADR CMN (458837103)                      | 10/28/2009                     | 05/14/2010              | 900 00   | 7,487 15                   | 0 00                              | 9,611 74                | (2,124 59)           |
| MEDIASET SPA ADR CMN (584469407)                                            | 09/28/2009                     | 05/14/2010              | 546 00   | 11,088 69                  | 0 00                              | 11,739 00               | (650 31)             |
| ORIX CORPORATION SPONS ADR SPONSORED ADR CMN (686330101)                    | 09/28/2009                     | 05/14/2010              | 210 00   | 8,733 96                   | 0 00                              | 7,005 96                | 1,728 00             |
| REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)                       | 09/28/2009                     | 05/14/2010              | 158 00   | 4,585 00                   | 0 00                              | 4,800 47                | (215 47)             |
| ANGLO AMERICAN PLC ADR CMN (03485P201)                                      | 10/28/2009                     | 05/17/2010              | 725 00   | 13,674 78                  | 0 00                              | 12,986 49               | 688 29               |
| AXA-UAP AMERICAN DEPOSITORY SHARES (054536107)                              | 09/28/2009                     | 05/17/2010              | 291 00   | 4,686 59                   | 0 00                              | 7,625 80                | (2,939 21)           |
| MEDIASET SPA ADR CMN (584469407)                                            | 09/28/2009                     | 05/17/2010              | 367 00   | 7,270 37                   | 0 00                              | 7,890 50                | (620 14)             |
| MEDIASET SPA ADR CMN (584469407)                                            | 10/09/2009                     | 05/17/2010              | 351 00   | 6,953 40                   | 0 00                              | 7,297 04                | (343 64)             |
| MEDIASET SPA ADR CMN (584469407)                                            | 10/28/2009                     | 05/17/2010              | 289 00   | 5,725 17                   | 0 00                              | 5,672 49                | 52 67                |
| ORIX CORPORATION SPONS ADR SPONSORED ADR CMN (686330101)                    | 09/28/2009                     | 05/17/2010              | 91 00    | 3,683 86                   | 0 00                              | 3,035 91                | 647 95               |
| ORIX CORPORATION SPONS ADR SPONSORED ADR CMN (686330101)                    | 10/28/2009                     | 05/17/2010              | 46 00    | 1,862 17                   | 0 00                              | 1,458 55                | 403 62               |
| REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)                       | 09/28/2009                     | 05/17/2010              | 592 00   | 17,062 03                  | 0 00                              | 17,986 56               | (924 52)             |
| REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)                       | 10/02/2009                     | 05/17/2010              | 257 00   | 7,407 00                   | 0 00                              | 7,584 48                | (177 49)             |
| LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0.5 ORDINARY SHARES (50186V102) | 11/24/2009                     | 05/18/2010              | 571 00   | 10,497 31                  | 0 00                              | 8,031 80                | 2,465 51             |
| NISSAN MOTOR CO LTD SPONSORED ADR (654744408)                               | 09/28/2009                     | 05/18/2010              | 2,186 00 | 33,850 72                  | 0 00                              | 28,964 50               | 4,886 22             |
| SONY CORPORATION ADR CMN (835699307)                                        | 12/10/2009                     | 05/18/2010              | 759 00   | 23,424 39                  | 0 00                              | 21,576 85               | 1,847 54             |

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# REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

## SHORT TERM

| Description (Product Identifier)                                               | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total Accretion<br>(Amortization) | Cost Basis <sup>4</sup> | Total<br>Gain (Loss) |
|--------------------------------------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| NISSAN MOTOR CO LTD SPONSORED ADR (654744408)                                  | 09/28/2009                     | 05/19/2010              | 1,294 00 | 19,941 11                  | 0 00                              | 17,145 50               | 2,795 61             |
| SOHU COM INC CMN (83408W103)                                                   | 10/28/2009                     | 05/21/2010              | 96 00    | 4,121 71                   | 0 00                              | 5,534 37                | (1,412 66)           |
| SOHU COM INC CMN (83408W103)                                                   | 12/10/2009                     | 05/21/2010              | 245 00   | 10,518 94                  | 0 00                              | 13,147 21               | (2,628 27)           |
| SOHU COM INC CMN (83408W103)                                                   | 04/16/2010                     | 05/21/2010              | 307 00   | 13,180 88                  | 0 00                              | 16,048 79               | (2,867 91)           |
| ANGLO AMERICAN PLC ADR CMN (03485P201)                                         | 10/28/2009                     | 05/24/2010              | 586 00   | 10,577 12                  | 0 00                              | 10,496 67               | 80 45                |
| ARCELORMITTAL CMN (03938L 104)                                                 | 10/28/2009                     | 05/24/2010              | 583 00   | 20,166 86                  | 0 00                              | 23,431 00               | (3,264 14)           |
| COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR<br>(20441A102)       | 09/28/2009                     | 05/24/2010              | 269 00   | 9,824 84                   | 0 00                              | 10,433 17               | (608 33)             |
| LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0 5 ORDINARY SHARES<br>(50186V102) | 11/24/2009                     | 05/24/2010              | 1,327 00 | 22,709 62                  | 0 00                              | 18,665 85               | 4,043 77             |
| SONY CORPORATION ADR CMN (835699307)                                           | 12/10/2009                     | 05/24/2010              | 559 00   | 17,684 45                  | 0 00                              | 15,891 25               | 1,793 20             |
| COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR<br>(20441A102)       | 09/28/2009                     | 05/25/2010              | 115 00   | 3,985 54                   | 0 00                              | 4,460 27                | (474 74)             |
| SONY CORPORATION ADR CMN (835699307)                                           | 12/10/2009                     | 05/25/2010              | 181 00   | 5,436 16                   | 0 00                              | 5,145 47                | 290 69               |
| BAE SYSTEMS PLC SPONSORED ADR CMN (05523R107)                                  | 10/28/2009                     | 05/26/2010              | 737 00   | 13,375 96                  | 0 00                              | 15,467 64               | (2,091 68)           |
| BAE SYSTEMS PLC SPONSORED ADR CMN (05523R107)                                  | 01/28/2010                     | 05/26/2010              | 469 00   | 8,511 97                   | 0 00                              | 10,802 39               | (2,290 41)           |
| BAE SYSTEMS PLC SPONSORED ADR CMN (05523R107)                                  | 01/28/2010                     | 05/27/2010              | 198 00   | 3,660 11                   | 0 00                              | 4,560 50                | (900 39)             |
| BAE SYSTEMS PLC SPONSORED ADR CMN (05523R107)                                  | 02/03/2010                     | 05/27/2010              | 803 00   | 14,843 76                  | 0 00                              | 17,583 05               | (2,739 29)           |
| ANGLO AMERICAN PLC ADR CMN (03485P201)                                         | 10/28/2009                     | 06/10/2010              | 868 00   | 16,532 43                  | 0 00                              | 15,547 96               | 984 47               |
| ESPRIT HLDGS LTD ADR CMN (29666V204)                                           | 04/09/2010                     | 06/10/2010              | 198 00   | 2,219 88                   | 0 00                              | 3,131 63                | (911 75)             |
| ESPRIT HLDGS LTD ADR CMN (29666V204)                                           | 04/12/2010                     | 06/10/2010              | 174 00   | 1,950 80                   | 0 00                              | 2,813 49                | (862 69)             |
| ESPRIT HLDGS LTD ADR CMN (29666V204)                                           | 04/13/2010                     | 06/10/2010              | 290 00   | 3,251 34                   | 0 00                              | 4,731 53                | (1,480 19)           |
| KUBOTA CORP ADR ADR CMN (501173207)                                            | 10/05/2009                     | 06/10/2010              | 440 00   | 17,723 56                  | 0 00                              | 17,253 54               | 470 02               |
| LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0 5 ORDINARY SHARES<br>(50186V102) | 11/24/2009                     | 06/10/2010              | 346 00   | 5,629 87                   | 0 00                              | 4,866 91                | 762 97               |
| LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0 5 ORDINARY SHARES<br>(50186V102) | 12/02/2009                     | 06/10/2010              | 318 00   | 5,174 28                   | 0 00                              | 4,817 60                | 356 67               |
| REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)                          | 10/02/2009                     | 06/10/2010              | 304 00   | 8,531 92                   | 0 00                              | 8,971 53                | (439 61)             |

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# **REALIZED GAINS AND LOSSES (Continued)**

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## **SHORT TERM**

| Description (Product Identifier)                                  | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds* | Total Accretion<br>(Amortization) | Cost Basis* | Total<br>Gain (Loss) |
|-------------------------------------------------------------------|--------------------------------|-------------------------|----------|----------------|-----------------------------------|-------------|----------------------|
| REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)             | 10/28/2009                     | 06/10/2010              | 338 00   | 9,486 14       | 0 00                              | 10,400 16   | (914 02)             |
| ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184 (771195104)   | 09/28/2009                     | 06/10/2010              | 503 00   | 17,214 88      | 0 00                              | 20,507 31   | (3,292 43)           |
| SANOFI-AVENTIS SPONSORED ADR CMN (80105N105)                      | 09/28/2009                     | 06/10/2010              | 54 00    | 1,597 50       | 0 00                              | 2,007 21    | (409 72)             |
| SANOFI-AVENTIS SPONSORED ADR CMN (80105N105)                      | 10/02/2009                     | 06/10/2010              | 341 00   | 10,087 90      | 0 00                              | 12,416 46   | (2,328 56)           |
| SANOFI-AVENTIS SPONSORED ADR CMN (80105N105)                      | 10/28/2009                     | 06/10/2010              | 73 00    | 2,159 58       | 0 00                              | 2,837 72    | (678 14)             |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501)         | 09/28/2009                     | 06/10/2010              | 160 00   | 14,616 87      | 0 00                              | 15,397 90   | (781 03)             |
| SONY CORPORATION ADR CMN (835699307)                              | 12/10/2009                     | 06/10/2010              | 176 00   | 4,934 58       | 0 00                              | 5,003 33    | (68 74)              |
| SONY CORPORATION ADR CMN (835699307)                              | 12/23/2009                     | 06/10/2010              | 106 00   | 2,971 97       | 0 00                              | 3,109 75    | (137 79)             |
| TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN (890030208)           | 11/02/2009                     | 06/10/2010              | 763 00   | 11,023 48      | 0 00                              | 8,226 13    | 2,797 35             |
| XSTRATA PLC ADR CMN (98418K105)                                   | 04/09/2010                     | 06/10/2010              | 3,990 00 | 11,538 08      | 0 00                              | 15,992 72   | (4,454 64)           |
| FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106) | 09/28/2009                     | 06/15/2010              | 250 00   | 13,605 09      | 0 00                              | 12,385 00   | 1,220 09             |
| FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106) | 10/02/2009                     | 06/15/2010              | 152 00   | 8,271 90       | 0 00                              | 7,446 48    | 825 42               |
| FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106) | 10/14/2009                     | 06/15/2010              | 25 00    | 1,360 51       | 0 00                              | 1,243 62    | 116 89               |
| SANOFI-AVENTIS SPONSORED ADR CMN (80105N105)                      | 10/28/2009                     | 06/15/2010              | 460 00   | 14,397 84      | 0 00                              | 17,881 53   | (3,483 69)           |
| TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN (890030208)           | 11/02/2009                     | 06/15/2010              | 935 00   | 14,237 84      | 0 00                              | 10,080 52   | 4,157 32             |
| ORIX CORPORATION SPONS ADR SPONSORED ADR CMN (686330101)          | 10/28/2009                     | 06/16/2010              | 211 00   | 7,769 81       | 0 00                              | 6,690 31    | 1,079 51             |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501)         | 09/28/2009                     | 06/23/2010              | 103 00   | 9,812 95       | 0 00                              | 9,912 40    | (99 45)              |
| TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN (890030208)           | 11/02/2009                     | 06/23/2010              | 2,525 00 | 37,260 79      | 0 00                              | 27,222 79   | 10,038 00            |
| TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN (890030208)           | 12/02/2009                     | 06/23/2010              | 94 00    | 1,387 13       | 0 00                              | 1,114 10    | 273 04               |
| VINCI S A ADR CMN (927320101)                                     | 09/28/2009                     | 06/23/2010              | 1,104 00 | 12,632 74      | 0 00                              | 16,008 00   | (3,375 26)           |
| VINCI S A ADR CMN (927320101)                                     | 09/28/2009                     | 06/24/2010              | 130 00   | 1,472 87       | 0 00                              | 1,885 00    | (412 13)             |
| VINCI S A ADR CMN (927320101)                                     | 09/28/2009                     | 06/25/2010              | 907 00   | 9,920 41       | 0 00                              | 13,151 50   | (3,231 09)           |
| ANGLO AMERICAN PLC ADR CMN (03485P201)                            | 10/28/2009                     | 06/29/2010              | 709 00   | 12,734 77      | 0 00                              | 12,699 89   | 34 88                |
| ESPRIT HLDGS LTD ADR CMN (29666V204)                              | 04/13/2010                     | 06/29/2010              | 270 00   | 2,898 62       | 0 00                              | 4,405 21    | (1,506 60)           |
| ESPRIT HLDGS LTD ADR CMN (29666V204)                              | 04/14/2010                     | 06/29/2010              | 382 00   | 4,101 01       | 0 00                              | 6,241 15    | (2,140 14)           |

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# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                          | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds * | Total Accretion (Amortization) | Cost Basis * | Total Gain (Loss) |
|-----------------------------------------------------------|-----------------------------|----------------------|----------|-----------------|--------------------------------|--------------|-------------------|
| ESPRIT HLDS LTD ADR CMN (29666V204)                       | 04/15/2010                  | 06/29/2010           | 270 00   | 2,898 62        | 0 00                           | 4,353 99     | (1,455 38)        |
| KUBOTA CORP ADR ADR CMN (501173207)                       | 10/05/2009                  | 06/29/2010           | 193 00   | 7,457 64        | 0 00                           | 7,568 03     | (110 39)          |
| MEDIASET SPA ADR CMN (584469407)                          | 10/28/2009                  | 06/29/2010           | 50 00    | 854 73          | 0 00                           | 981 40       | (126 67)          |
| NISSAN MOTOR CO LTD SPONSORED ADR (654744408)             | 09/28/2009                  | 06/29/2010           | 589 00   | 8,126 77        | 0 00                           | 7,804 25     | 322 52            |
| NISSAN MOTOR CO LTD SPONSORED ADR (654744408)             | 10/28/2009                  | 06/29/2010           | 1,874 00 | 25,856 64       | 0 00                           | 27,000 59    | (1,143 96)        |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501) | 09/28/2009                  | 06/29/2010           | 158 00   | 14,340 00       | 0 00                           | 15,205 43    | (865 43)          |
| TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN (890030208)   | 12/02/2009                  | 06/29/2010           | 261 00   | 3,589 20        | 0 00                           | 3,093 40     | 495 80            |
| E ON AG SPONSORED ADR CMN (288780103)                     | 09/28/2009                  | 06/30/2010           | 395 00   | 10,730 58       | 0 00                           | 17,044 25    | (6,313 67)        |
| E ON AG SPONSORED ADR CMN (288780103)                     | 10/28/2009                  | 06/30/2010           | 208 00   | 5,650 54        | 0 00                           | 8,337 91     | (2,687 37)        |
| MEDIASET SPA ADR CMN (584469407)                          | 10/28/2009                  | 06/30/2010           | 518 00   | 8,816 21        | 0 00                           | 10,167 30    | (1,351 09)        |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501) | 09/28/2009                  | 06/30/2010           | 84 00    | 7,617 22        | 0 00                           | 8,083 90     | (466 68)          |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501) | 10/28/2009                  | 06/30/2010           | 70 00    | 6,347 69        | 0 00                           | 6,561 91     | (214 23)          |
| SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)        | 10/28/2009                  | 06/30/2010           | 608 00   | 6,105 85        | 0 00                           | 5,861 73     | 244 12            |
| TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN (890030208)   | 12/02/2009                  | 06/30/2010           | 689 00   | 9,270 89        | 0 00                           | 8,166 10     | 1,104 80          |
| AXA-UAP AMERICAN DEPOSITORY SHARES (054536107)            | 09/28/2009                  | 07/01/2010           | 187 00   | 2,886 20        | 0 00                           | 4,900 43     | (2,014 23)        |
| AXA-UAP AMERICAN DEPOSITORY SHARES (054536107)            | 10/28/2009                  | 07/01/2010           | 529 00   | 8,164 71        | 0 00                           | 13,388 46    | (5,223 75)        |
| BASF SE ADR SPONSORED ADR CMN USD0 7322 (055262505)       | 09/28/2009                  | 07/01/2010           | 223 00   | 12,201 44       | 0 00                           | 11,839 07    | 362 37            |
| BASF SE ADR SPONSORED ADR CMN USD0 7322 (055262505)       | 10/28/2009                  | 07/01/2010           | 102 00   | 5,580 93        | 0 00                           | 5,604 85     | (23 92)           |
| CEMEX, S A B DE C V SPONSORED ADR CMN (151290889)         | 10/28/2009                  | 07/01/2010           | 759 00   | 7,196 78        | 0 00                           | 7,521 41     | (324 63)          |
| DAIMLER AG CMN ISIN DE0007100000 (D1668R123)              | 11/24/2009                  | 07/01/2010           | 530 00   | 26,949 88       | 0 00                           | 28,142 26    | (1,192 38)        |
| E ON AG SPONSORED ADR CMN (288780103)                     | 10/28/2009                  | 07/01/2010           | 231 00   | 6,352 85        | 0 00                           | 9,259 89     | (2,907 04)        |
| E ON AG SPONSORED ADR CMN (288780103)                     | 01/15/2010                  | 07/01/2010           | 280 00   | 7,700 43        | 0 00                           | 11,247 07    | (3,546 64)        |
| KUBOTA CORP ADR ADR CMN (501173207)                       | 10/05/2009                  | 07/01/2010           | 119 00   | 4,476 99        | 0 00                           | 4,666 30     | (189 31)          |
| KUBOTA CORP ADR ADR CMN (501173207)                       | 10/28/2009                  | 07/01/2010           | 226 00   | 8,502 52        | 0 00                           | 8,811 00     | (308 48)          |
| NISSAN MOTOR CO LTD SPONSORED ADR (654744408)             | 10/28/2009                  | 07/01/2010           | 1,091 00 | 14,912 40       | 0 00                           | 15,719 13    | (806 73)          |
| ORIX CORPORATION SPONS ADR SPONSORED ADR CMN (686330101)  | 10/28/2009                  | 07/01/2010           | 435 00   | 15,585 65       | 0 00                           | 13,792 81    | 1,792 84          |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501) | 10/28/2009                  | 07/01/2010           | 130 00   | 11,818 06       | 0 00                           | 12,186 41    | (368 35)          |

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Tax Year Account No Legal Name

2010 026-04020-4 DHANAM FOUNDATION

## REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                                               | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>a</sup> | Total Accretion<br>(Amortization) | Cost Basis <sup>a</sup> | Total<br>Gain (Loss) |
|--------------------------------------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| SUMITOMO CORPORATION SPONSORED ADR CMN (865613103)                             | 10/28/2009                     | 07/01/2010              | 751 00   | 7,620 12                   | 0 00                              | 7,240 39                | 379 73               |
| TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN (890030208)                        | 12/02/2009                     | 07/01/2010              | 258 00   | 3,439 29                   | 0 00                              | 3,057 84                | 381 45               |
| TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN (890030208)                        | 12/16/2009                     | 07/01/2010              | 112 00   | 1,493 02                   | 0 00                              | 1,441 90                | 51 13                |
| KUBOTA CORP ADR ADR CMN (501173207)                                            | 10/28/2009                     | 07/02/2010              | 348 00   | 13,135 59                  | 0 00                              | 13,567 37               | (431 78)             |
| TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN (890030208)                        | 12/16/2009                     | 07/02/2010              | 551 00   | 7,422 01                   | 0 00                              | 7,093 63                | 328 38               |
| TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN (890030208)                        | 03/24/2010                     | 07/02/2010              | 768 00   | 10,345 01                  | 0 00                              | 10,661 61               | (316 60)             |
| TOMKINS PLC SPONSORED ADR SPONSORED ADR CMN (890030208)                        | 04/30/2010                     | 07/02/2010              | 1,281 00 | 17,255 16                  | 0 00                              | 19,621 33               | (2,366 17)           |
| CEMEX, S A B DE C V SPONSORED ADR CMN (151290889)                              | 10/28/2009                     | 07/12/2010              | 1,346 00 | 12,905 36                  | 0 00                              | 13,338 36               | (433 00)             |
| DAIMLER AG CMN ISIN DE0007100000 (D1668R123)                                   | 11/24/2009                     | 07/12/2010              | 327 00   | 17,174 52                  | 0 00                              | 17,363 24               | (188 72)             |
| ESPRIT HLDGS LTD ADR CMN (29666V204)                                           | 04/15/2010                     | 07/12/2010              | 2,484 00 | 28,315 13                  | 0 00                              | 40,056 74               | (11,741 61)          |
| SANOFI-AVENTIS SPONSORED ADR CMN (80105N105)                                   | 10/28/2009                     | 07/12/2010              | 343 00   | 10,349 02                  | 0 00                              | 13,333 40               | (2,984 38)           |
| E ON AG SPONSORED ADR CMN (268780103)                                          | 01/15/2010                     | 07/16/2010              | 364 00   | 10,239 40                  | 0 00                              | 14,621 19               | (4,381 79)           |
| E ON AG SPONSORED ADR CMN (268780103)                                          | 01/19/2010                     | 07/16/2010              | 159 00   | 4,472 71                   | 0 00                              | 6,503 78                | (2,031 08)           |
| E ON AG SPONSORED ADR CMN (268780103)                                          | 05/03/2010                     | 07/16/2010              | 83 00    | 2,334 81                   | 0 00                              | 3,083 45                | (748 64)             |
| E ON AG SPONSORED ADR CMN (268780103)                                          | 05/05/2010                     | 07/16/2010              | 407 00   | 11,449 00                  | 0 00                              | 13,987 90               | (2,538 90)           |
| SANOFI-AVENTIS SPONSORED ADR CMN (80105N105)                                   | 10/28/2009                     | 07/16/2010              | 405 00   | 12,526 80                  | 0 00                              | 15,743 52               | (3,216 72)           |
| SANOFI-AVENTIS SPONSORED ADR CMN (80105N105)                                   | 01/08/2010                     | 07/16/2010              | 107 00   | 3,309 55                   | 0 00                              | 4,282 52                | (972 97)             |
| CEMEX, S A B DE C V SPONSORED ADR CMN (151290889)                              | 10/28/2009                     | 07/28/2010              | 1,406 00 | 13,517 76                  | 0 00                              | 13,932 94               | (415 18)             |
| HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS<br>(42550U109)        | 09/28/2009                     | 07/28/2010              | 103 00   | 4,377 62                   | 0 00                              | 3,738 90                | 638 72               |
| IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)                                | 09/28/2009                     | 07/28/2010              | 93 00    | 5,284 83                   | 0 00                              | 5,360 52                | (75 69)              |
| LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0 5 ORDINARY SHARES<br>(50186V102) | 12/02/2009                     | 07/28/2010              | 1,434 00 | 22,484 45                  | 0 00                              | 21,724 67               | 759 78               |
| P T TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS) (715684106)            | 10/28/2009                     | 07/28/2010              | 976 00   | 35,635 88                  | 0 00                              | 33,607 78               | 2,028 10             |
| HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS<br>(42550U109)        | 09/28/2009                     | 07/29/2010              | 38 00    | 1,585 50                   | 0 00                              | 1,379 40                | 206 10               |
| HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS<br>(42550U109)        | 10/28/2009                     | 07/29/2010              | 359 00   | 14,978 81                  | 0 00                              | 13,918 86               | 1,059 95             |

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# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                                     | Date Acquired or Sold | Date Sold or Covered | Quantity | Sale Proceeds* | Total Accretion (Amortization) | Cost Basis* | Total Gain (Loss) |
|----------------------------------------------------------------------|-----------------------|----------------------|----------|----------------|--------------------------------|-------------|-------------------|
| IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)                      | 09/28/2009            | 07/29/2010           | 222 00   | 12,625 87      | 0 00                           | 12,796 08   | (170 21)          |
| NISSAN MOTOR CO LTD SPONSORED ADR (654744408)                        | 10/28/2009            | 07/29/2010           | 818 00   | 12,951 09      | 0 00                           | 11,785 74   | 1,165 35          |
| P.T. TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS) (715684106) | 10/28/2009            | 07/29/2010           | 167 00   | 6,097 82       | 0 00                           | 5,750 51    | 347 31            |
| P.T. TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS) (715684106) | 12/10/2009            | 07/29/2010           | 206 00   | 7,521 86       | 0 00                           | 8,229 70    | (707 84)          |
| P.T. TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS) (715684106) | 12/16/2009            | 07/29/2010           | 213 00   | 7,777 46       | 0 00                           | 8,771 13    | (993 67)          |
| REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)                | 10/28/2009            | 07/29/2010           | 255 00   | 8,743 93       | 0 00                           | 7,846 27    | 897 66            |
| SATYAM COMPUTER SERVICES LTD ADR CMN (804098101)                     | 12/10/2009            | 07/29/2010           | 2,727 00 | 13,609 40      | 0 00                           | 14,102 95   | (493 55)          |
| SILICONWARE PRECISION IND CO L SPONSORED ADR (827084864)             | 04/16/2010            | 07/29/2010           | 2,826 00 | 13,832 46      | 0 00                           | 18,584 34   | (4,751 88)        |
| HENKEL AG AND CO KGAA SPONSORED ADR REPSTG ORDINARY SHRS (42550U109) | 10/28/2009            | 07/30/2010           | 184 00   | 7,625 38       | 0 00                           | 7,133 90    | 491 48            |
| IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)                      | 09/28/2009            | 07/30/2010           | 54 00    | 3,048 24       | 0 00                           | 3,112 56    | (64 32)           |
| NISSAN MOTOR CO LTD SPONSORED ADR (654744408)                        | 10/28/2009            | 07/30/2010           | 456 00   | 6,929 76       | 0 00                           | 6,570 05    | 359 71            |
| NISSAN MOTOR CO LTD SPONSORED ADR (654744408)                        | 12/04/2009            | 07/30/2010           | 562 00   | 8,540 63       | 0 00                           | 9,265 41    | (724 79)          |
| NISSAN MOTOR CO LTD SPONSORED ADR (654744408)                        | 01/08/2010            | 07/30/2010           | 1,052 00 | 15,987 08      | 0 00                           | 18,433 35   | (2,446 27)        |
| P.T. TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS) (715684106) | 12/16/2009            | 07/30/2010           | 50 00    | 1,845 95       | 0 00                           | 2,058 95    | (213 00)          |
| P.T. TELEKOMUNIKASI INDONESIA ADS (1 ADS --> 40 ORD SHS) (715684106) | 03/26/2010            | 07/30/2010           | 181 00   | 6,682 35       | 0 00                           | 6,355 89    | 326 46            |
| SATYAM COMPUTER SERVICES LTD ADR CMN (804098101)                     | 12/10/2009            | 07/30/2010           | 5,420 00 | 26,674 07      | 0 00                           | 28,030 07   | (1,356 00)        |
| SATYAM COMPUTER SERVICES LTD ADR CMN (804098101)                     | 12/10/2009            | 08/02/2010           | 1,736 00 | 8,588 92       | 0 00                           | 8,977 90    | (408 98)          |
| SATYAM COMPUTER SERVICES LTD ADR CMN (804098101)                     | 12/23/2009            | 08/02/2010           | 2,027 00 | 10,005 30      | 0 00                           | 9,816 56    | 188 74            |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)                  | 10/05/2009            | 08/05/2010           | 18 00    | 309 59         | 0 00                           | 308 64      | 0 96              |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)                  | 10/06/2009            | 08/05/2010           | 225 00   | 3,889 93       | 0 00                           | 3,926 43    | (56 50)           |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464287382)         | 09/28/2009            | 08/05/2010           | 566 00   | 23,989 73      | 0 00                           | 24,604 70   | (614 97)          |
| SANOFI-AVENTIS SPONSORED ADR CMN (80105N105)                         | 01/08/2010            | 08/05/2010           | 177 00   | 5,372 19       | 0 00                           | 7,084 16    | (1,711 97)        |
| TRANSOCEAN LTD CMN (H8817H100)                                       | 10/28/2009            | 08/05/2010           | 370 00   | 21,094 49      | 0 00                           | 31,446 11   | (10,351 63)       |
| TRANSOCEAN LTD CMN (H8817H100)                                       | 12/17/2009            | 08/05/2010           | 189 00   | 10,775 29      | 0 00                           | 15,697 68   | (4,922 39)        |
| TRANSOCEAN LTD CMN (H8817H100)                                       | 01/15/2010            | 08/05/2010           | 148 00   | 8,437 80       | 0 00                           | 13,448 91   | (5,011 11)        |
| TRANSOCEAN LTD CMN (H8817H100)                                       | 04/13/2010            | 08/05/2010           | 90 00    | 5,131 09       | 0 00                           | 7,613 68    | (2,482 59)        |

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## REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                                               | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>a</sup> | Total Accretion<br>(Amortization) | Cost Basis <sup>a</sup> | Total<br>Gain (Loss) |
|--------------------------------------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)                         | 05/17/2010                     | 08/06/2010              | 821 00   | 42,955 81                  | 0 00                              | 39,283 18               | 3,702 64             |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)                            | 10/06/2009                     | 08/06/2010              | 447 00   | 7,593 37                   | 0 00                              | 7,800 51                | (207 14)             |
| LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0 5 ORDINARY SHARES<br>(50186V102) | 12/02/2009                     | 08/06/2010              | 138 00   | 2,204 54                   | 0 00                              | 2,090 66                | 113 88               |
| LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0 5 ORDINARY SHARES<br>(50186V102) | 12/04/2009                     | 08/06/2010              | 1,752 00 | 27,988 07                  | 0 00                              | 27,279 17               | 708 90               |
| MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)                   | 09/28/2009                     | 08/06/2010              | 4,227 00 | 21,149 85                  | 0 00                              | 23,249 34               | (2,099 49)           |
| MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)                   | 10/28/2009                     | 08/06/2010              | 299 00   | 1,496 05                   | 0 00                              | 1,504 24                | (8 19)               |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)                 | 10/28/2009                     | 08/06/2010              | 749 00   | 36,514 78                  | 0 00                              | 34,635 86               | 1,878 92             |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)                 | 12/10/2009                     | 08/06/2010              | 31 00    | 1,511 29                   | 0 00                              | 1,511 87                | (0 58)               |
| BP P L C SPONSORED ADR CMN (055622104)                                         | 05/10/2010                     | 08/11/2010              | 163 00   | 6,302 35                   | 0 00                              | 8,089 04                | (1,786 69)           |
| CEMEX, S A B DE C V SPONSORED ADR CMN (151290889)                              | 10/28/2009                     | 08/11/2010              | 802 00   | 7,250 60                   | 0 00                              | 7,947 52                | (696 92)             |
| CEMEX, S A B DE C V SPONSORED ADR CMN (151290889)                              | 01/28/2010                     | 08/11/2010              | 2,327 00 | 21,037 58                  | 0 00                              | 22,104 95               | (1,067 37)           |
| CENTRAL EURO DISTRIBUTION CORPORATION (153435102)                              | 03/12/2010                     | 08/11/2010              | 611 00   | 15,118 45                  | 0 00                              | 22,714 23               | (7,595 78)           |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                              | 12/23/2009                     | 08/11/2010              | 494 00   | 6,419 17                   | 0 00                              | 7,357 98                | (938 81)             |
| KT CORPORATION SPONSORED ADR CMN (48268K101)                                   | 01/15/2010                     | 08/11/2010              | 1,047 00 | 18,991 20                  | 0 00                              | 20,901 05               | (1,909 85)           |
| SANOFI-AVENTIS SPONSORED ADR CMN (80105N105)                                   | 01/08/2010                     | 08/11/2010              | 374 00   | 10,959 66                  | 0 00                              | 14,968 79               | (4,009 13)           |
| VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)                               | 09/28/2009                     | 08/11/2010              | 201 00   | 4,755 78                   | 0 00                              | 4,620 91                | 135 87               |
| ZHONGPIN INC CMN (98952K107)                                                   | 10/20/2009                     | 08/11/2010              | 759 00   | 10,945 05                  | 0 00                              | 11,900 13               | (955 08)             |
| BARCLAYS PLC,AMER DEP SHS ADR CMN (06738E204)                                  | 09/28/2009                     | 09/03/2010              | 256 00   | 5,185 29                   | 0 00                              | 6,014 72                | (829 43)             |
| BARCLAYS PLC,AMER DEP SHS ADR CMN (06738E204)                                  | 10/28/2009                     | 09/03/2010              | 286 00   | 5,792 95                   | 0 00                              | 6,067 09                | (274 14)             |
| FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN<br>(358029106)           | 10/14/2009                     | 09/03/2010              | 185 00   | 10,383 78                  | 0 00                              | 9,202 75                | 1,181 02             |
| FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN<br>(358029106)           | 10/28/2009                     | 09/03/2010              | 80 00    | 4,490 28                   | 0 00                              | 3,864 80                | 625 48               |
| GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)                              | 05/17/2010                     | 09/03/2010              | 170 00   | 6,652 71                   | 0 00                              | 5,836 61                | 816 10               |
| MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A<br>(602675100)          | 06/14/2010                     | 09/03/2010              | 622 00   | 17,786 53                  | 0 00                              | 19,636 60               | (1,850 07)           |

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# REALIZED GAINS AND LOSSES (Continued)

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|--------------------------------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| NATIONAL GRID PLC SPONSORED ADR CMN (636274300)                    | 12/04/2009                  | 09/03/2010           | 317 00   | 13,429 03                  | 0 00                           | 17,019 35               | (3,590 32)        |
| NATIONAL GRID PLC SPONSORED ADR CMN (636274300)                    | 12/17/2009                  | 09/03/2010           | 431 00   | 18,258 40                  | 0 00                           | 22,671 25               | (4,412 85)        |
| DAIMLER AG CMN ISN DE0007100000 (D1668R123)                        | 11/24/2009                  | 09/10/2010           | 246 00   | 13,660 63                  | 0 00                           | 13,062 26               | 598 37            |
| DBS GROUP HOLDINGS SPONSORED ADR CMN (23304Y100)                   | 09/28/2009                  | 09/10/2010           | 320 00   | 13,456 15                  | 0 00                           | 11,872 00               | 1,584 15          |
| GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)                  | 05/17/2010                  | 09/10/2010           | 299 00   | 11,759 11                  | 0 00                           | 10,265 57               | 1,493 54          |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209)                        | 10/02/2009                  | 09/10/2010           | 115 00   | 6,241 70                   | 0 00                           | 5,723 55                | 518 15            |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209)                        | 10/28/2009                  | 09/10/2010           | 142 00   | 7,707 15                   | 0 00                           | 7,091 35                | 615 79            |
| DBS GROUP HOLDINGS SPONSORED ADR CMN (23304Y100)                   | 09/28/2009                  | 09/14/2010           | 268 00   | 11,209 96                  | 0 00                           | 9,942 80                | 1,267 16          |
| MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (606822104)       | 10/28/2009                  | 09/14/2010           | 2,105 00 | 10,422 52                  | 0 00                           | 10,590 04               | (167 52)          |
| ROCHE HOLDING AG ADR B SHSINOM CHF 100 VAL 224 184 (771195104)     | 09/28/2009                  | 09/14/2010           | 58 00    | 2,062 14                   | 0 00                           | 2,364 66                | (302 52)          |
| ROCHE HOLDING AG ADR B SHSINOM CHF 100 VAL 224 184 (771195104)     | 10/02/2009                  | 09/14/2010           | 102 00   | 3,626 53                   | 0 00                           | 4,073 88                | (447 35)          |
| BP PLC SPONSORED ADR CMN (055622104)                               | 05/10/2010                  | 09/15/2010           | 356 00   | 13,538 95                  | 0 00                           | 17,666 86               | (4,127 91)        |
| CENTRAL EURO DISTRIBUTION CORPORATION (153435102)                  | 03/12/2010                  | 09/15/2010           | 737 00   | 18,610 26                  | 0 00                           | 27,398 34               | (8,788 08)        |
| REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)              | 10/28/2009                  | 09/15/2010           | 241 00   | 8,092 66                   | 0 00                           | 7,415 50                | 677 16            |
| ROCHE HOLDING AG ADR B SHSINOM CHF 100 VAL 224 184 (771195104)     | 10/02/2009                  | 09/15/2010           | 231 00   | 8,000 80                   | 0 00                           | 9,226 14                | (1,225 34)        |
| ROCHE HOLDING AG ADR B SHSINOM CHF 100 VAL 224 184 (771195104)     | 10/28/2009                  | 09/15/2010           | 429 00   | 14,858 64                  | 0 00                           | 17,308 09               | (2,449 46)        |
| ZURICH FINANCIAL SERVICES SPONSORED ADR CMN (98982M107)            | 09/28/2009                  | 09/15/2010           | 457 00   | 10,918 09                  | 0 00                           | 10,722 68               | 195 41            |
| MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A (602675100) | 06/14/2010                  | 09/16/2010           | 257 00   | 7,175 93                   | 0 00                           | 8,113 52                | (937 59)          |
| ZURICH FINANCIAL SERVICES SPONSORED ADR CMN (98982M107)            | 09/28/2009                  | 09/16/2010           | 137 00   | 3,238 73                   | 0 00                           | 3,214 46                | 24 27             |
| ZURICH FINANCIAL SERVICES SPONSORED ADR CMN (98982M107)            | 09/28/2009                  | 09/20/2010           | 578 00   | 13,644 84                  | 0 00                           | 13,561 73               | 83 11             |
| MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A (602675100) | 06/14/2010                  | 09/24/2010           | 498 00   | 14,206 70                  | 0 00                           | 15,721 91               | (1,515 21)        |
| MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A (602675100) | 06/29/2010                  | 09/24/2010           | 361 00   | 10,298 43                  | 0 00                           | 11,244 86               | (946 43)          |
| MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A (602675100) | 07/16/2010                  | 09/24/2010           | 225 00   | 6,418 69                   | 0 00                           | 6,715 42                | (296 73)          |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)                | 10/05/2009                  | 10/01/2010           | 182 00   | 3,419 34                   | 0 00                           | 3,176 05                | 243 29            |

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|-------------------------------------------------------------------|-----------------------------|----------------------|----------|-----------------|--------------------------------|--------------|-------------------|
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501)         | 10/28/2009                  | 10/01/2010           | 179 00   | 19,231 38       | 0 00                           | 16,779 75    | 2,451 63          |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209)                       | 10/28/2009                  | 10/01/2010           | 376 00   | 20,073 32       | 0 00                           | 18,777 10    | 1,296 22          |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)               | 10/06/2009                  | 10/04/2010           | 59 00    | 1,079 09        | 0 00                           | 1,029 60     | 49 49             |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)               | 10/06/2009                  | 10/05/2010           | 758 00   | 14,288 05       | 0 00                           | 13,227 71    | 1,060 35          |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)               | 10/14/2009                  | 10/05/2010           | 75 00    | 1,413 73        | 0 00                           | 1,350 00     | 63 73             |
| ICICI BANK LIMITED SPONS ADR (45104G104)                          | 10/28/2009                  | 10/05/2010           | 520 00   | 26,870 13       | 0 00                           | 17,372 32    | 9,497 81          |
| ROCHE HOLDING AG ADR B SHSINOM CHF 100 VAL 224 184 (771195104)    | 10/28/2009                  | 10/05/2010           | 318 00   | 11,279 32       | 0 00                           | 12,829 77    | (1,550 45)        |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501)         | 10/28/2009                  | 10/05/2010           | 150 00   | 15,908 06       | 0 00                           | 14,061 24    | 1,846 82          |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209)                       | 10/28/2009                  | 10/05/2010           | 274 00   | 14,649 08       | 0 00                           | 13,683 32    | 965 77            |
| WPP PLC ADR CMN (92333H101)                                       | 04/27/2010                  | 10/05/2010           | 191 00   | 10,640 18       | 0 00                           | 10,378 86    | 261 32            |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)               | 10/14/2009                  | 10/14/2010           | 230 00   | 4,801 16        | 0 00                           | 4,140 00     | 661 16            |
| KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)               | 10/29/2009                  | 10/14/2010           | 452 00   | 6,107 45        | 0 00                           | 5,871 07     | 236 38            |
| ROCHE HOLDING AG ADR B SHSINOM CHF 100 VAL 224 184 (771195104)    | 10/28/2009                  | 10/14/2010           | 332 00   | 12,020 71       | 0 00                           | 13,394 61    | (1,373 90)        |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)               | 10/28/2009                  | 10/15/2010           | 39 00    | 809 15          | 0 00                           | 602 61       | 206 54            |
| IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)                   | 10/28/2009                  | 10/15/2010           | 75 00    | 4,563 37        | 0 00                           | 4,441 98     | 121 39            |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464287382)      | 10/28/2009                  | 10/15/2010           | 107 00   | 4,733 39        | 0 00                           | 4,408 40     | 324 99            |
| KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)               | 10/29/2009                  | 10/15/2010           | 667 00   | 8,895 42        | 0 00                           | 8,663 73     | 231 69            |
| ROCHE HOLDING AG ADR B SHSINOM CHF 100 VAL 224 184 (771195104)    | 10/28/2009                  | 10/15/2010           | 284 00   | 10,482 29       | 0 00                           | 11,458 04    | (975 75)          |
| ROCHE HOLDING AG ADR B SHSINOM CHF 100 VAL 224 184 (771195104)    | 01/15/2010                  | 10/15/2010           | 338 00   | 12,475 40       | 0 00                           | 15,187 29    | (2,711 89)        |
| ROCHE HOLDING AG ADR B SHSINOM CHF 100 VAL 224 184 (771195104)    | 01/28/2010                  | 10/15/2010           | 580 00   | 21,407 49       | 0 00                           | 25,012 91    | (3,605 42)        |
| ROCHE HOLDING AG ADR B SHSINOM CHF 100 VAL 224 184 (771195104)    | 04/09/2010                  | 10/15/2010           | 90 00    | 3,321 85        | 0 00                           | 3,670 55     | (348 70)          |
| BP P L C SPONSORED ADR CMN (055622104)                            | 05/10/2010                  | 10/20/2010           | 251 00   | 10,363 21       | 0 00                           | 12,456 13    | (2,092 92)        |
| GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)                 | 05/17/2010                  | 10/20/2010           | 347 00   | 14,231 99       | 0 00                           | 11,913 55    | 2,318 44          |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464287382)      | 10/28/2009                  | 10/20/2010           | 411 00   | 18,279 12       | 0 00                           | 16,933 20    | 1,345 92          |
| REED ELSEVIER GROUP PLC SPONSORED ADR CMN (758205207)             | 10/28/2009                  | 10/20/2010           | 466 00   | 16,301 01       | 0 00                           | 14,338 68    | 1,962 33          |
| HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N (443304100) | 06/29/2010                  | 10/21/2010           | 1,832 00 | 43,095 24       | 0 00                           | 43,190 31    | (95 07)           |

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# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                                  | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds* | Total Accretion (Amortization) | Cost Basis* | Total Gain (Loss) |
|-------------------------------------------------------------------|-----------------------------|----------------------|----------|----------------|--------------------------------|-------------|-------------------|
| WPP PLC ADR CMN (92933H101)                                       | 04/27/2010                  | 10/27/2010           | 295 00   | 16,805 09      | 0 00                           | 16,030 18   | 774 91            |
| ZHONGPIN INC CMN (98952K107)                                      | 10/28/2009                  | 10/27/2010           | 548 00   | 10,802 37      | 0 00                           | 7,348 95    | 3,453 42          |
| BP P L C SPONSORED ADR CMN (055622104)                            | 05/10/2010                  | 11/04/2010           | 959 00   | 41,628 33      | 0 00                           | 47,591 33   | (5,963 00)        |
| HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N (443304100) | 06/29/2010                  | 11/04/2010           | 334 00   | 7,600 04       | 0 00                           | 7,874 22    | (274 18)          |
| HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N (443304100) | 06/30/2010                  | 11/04/2010           | 223 00   | 5,074 28       | 0 00                           | 5,293 91    | (219 63)          |
| HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N (443304100) | 07/16/2010                  | 11/04/2010           | 78 00    | 1,774 86       | 0 00                           | 1,801 18    | (26 32)           |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464287382)      | 12/04/2009                  | 11/04/2010           | 446 00   | 19,780 52      | 0 00                           | 19,337 80   | 442 72            |
| KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)               | 11/18/2009                  | 11/04/2010           | 815 00   | 11,373 37      | 0 00                           | 11,065 99   | 307 38            |
| TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)           | 08/06/2010                  | 11/04/2010           | 70 00    | 1,446 15       | 0 00                           | 1,341 27    | 104 88            |
| TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (881575302)           | 08/09/2010                  | 11/04/2010           | 789 00   | 16,300 23      | 0 00                           | 15,326 33   | 973 90            |
| ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)            | 05/17/2010                  | 11/05/2010           | 393 00   | 24,103 65      | 0 00                           | 18,794 68   | 5,308 97          |
| ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)            | 05/24/2010                  | 11/05/2010           | 13 00    | 797 32         | 0 00                           | 623 13      | 174 19            |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                 | 12/23/2009                  | 11/05/2010           | 158 00   | 2,262 14       | 0 00                           | 2,353 36    | (91 22)           |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                 | 01/22/2010                  | 11/05/2010           | 1,353 00 | 19,371 38      | 0 00                           | 18,772 88   | 598 50            |
| GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)                 | 05/17/2010                  | 11/05/2010           | 440 00   | 17,951 51      | 0 00                           | 15,106 52   | 2,844 99          |
| HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N (443304100) | 07/16/2010                  | 11/05/2010           | 343 00   | 7,791 45       | 0 00                           | 7,920 56    | (129 11)          |
| KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)               | 11/18/2009                  | 11/05/2010           | 317 00   | 4,317 18       | 0 00                           | 4,304 19    | 12 99             |
| KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)               | 01/28/2010                  | 11/05/2010           | 2,650 00 | 36,090 00      | 0 00                           | 33,771 60   | 2,318 40          |
| KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)               | 04/29/2010                  | 11/05/2010           | 435 00   | 5,924 21       | 0 00                           | 5,970 03    | (45 82)           |
| KT CORPORATION SPONSORED ADR CMN (48268K101)                      | 01/15/2010                  | 11/05/2010           | 928 00   | 19,571 27      | 0 00                           | 18,525 48   | 1,045 79          |
| KT CORPORATION SPONSORED ADR CMN (48268K101)                      | 01/19/2010                  | 11/05/2010           | 114 00   | 2,404 23       | 0 00                           | 2,295 81    | 108 42            |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)               | 05/21/2010                  | 11/05/2010           | 431 00   | 20,924 74      | 0 00                           | 17,606 39   | 3,318 35          |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)               | 05/24/2010                  | 11/05/2010           | 228 00   | 11,069 24      | 0 00                           | 9,482 31    | 1,586 93          |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)               | 05/26/2010                  | 11/05/2010           | 60 00    | 2,912 96       | 0 00                           | 2,466 51    | 446 45            |

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# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                                     | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total Accretion<br>(Amortization) | Cost Basis <sup>4</sup> | Total<br>Gain (Loss) |
|----------------------------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| TELECOM ITALIA SPA SPONSORED ADR CMN (8792Y102)                      | 07/28/2010                     | 11/05/2010              | 2,139 00 | 30,945 45                  | 0 00                              | 27,799 94               | 3,145 51             |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                    | 01/22/2010                     | 11/08/2010              | 1,398 00 | 19,616 68                  | 0 00                              | 19,397 25               | 219 42               |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                    | 02/04/2010                     | 11/08/2010              | 1,386 00 | 19,448 29                  | 0 00                              | 17,653 90               | 1,794 39             |
| HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N<br>(443304100) | 07/16/2010                     | 11/08/2010              | 384 00   | 8,720 45                   | 0 00                              | 8,867 33                | (146 88)             |
| HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N<br>(443304100) | 09/14/2010                     | 11/08/2010              | 391 00   | 8,879 42                   | 0 00                              | 9,413 64                | (534 22)             |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                    | 02/04/2010                     | 11/09/2010              | 126 00   | 1,787 04                   | 0 00                              | 1,604 90                | 182 14               |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                    | 05/17/2010                     | 11/09/2010              | 1,654 00 | 23,458 45                  | 0 00                              | 18,478 66               | 4,979 79             |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                    | 05/17/2010                     | 11/10/2010              | 87 00    | 1,218 91                   | 0 00                              | 971 97                  | 246 94               |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                    | 06/23/2010                     | 11/10/2010              | 405 00   | 5,674 23                   | 0 00                              | 4,817 72                | 856 51               |
| DEUTSCHE TELEKOM AG SPONSORED ADR CMN (251566105)                    | 06/24/2010                     | 11/10/2010              | 342 00   | 4,791 58                   | 0 00                              | 4,059 54                | 732 04               |
| GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)                    | 05/17/2010                     | 11/12/2010              | 230 00   | 9,076 95                   | 0 00                              | 7,896 59                | 1,180 36             |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464287382)         | 12/04/2009                     | 11/12/2010              | 315 00   | 14,139 36                  | 0 00                              | 13,657 87               | 481 49               |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464287382)         | 01/28/2010                     | 11/12/2010              | 144 00   | 6,463 71                   | 0 00                              | 6,278 49                | 185 22               |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)                  | 05/26/2010                     | 11/12/2010              | 223 00   | 10,972 50                  | 0 00                              | 9,167 20                | 1,805 31             |
| BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)                 | 10/08/2010                     | 11/15/2010              | 27 00    | 2,060 91                   | 0 00                              | 2,026 96                | 33 95                |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464287382)         | 01/28/2010                     | 11/15/2010              | 321 00   | 14,414 54                  | 0 00                              | 13,995 79               | 418 75               |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)                  | 05/26/2010                     | 11/15/2010              | 2 00     | 95 99                      | 0 00                              | 82 22                   | 13 77                |
| BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)                 | 10/08/2010                     | 11/16/2010              | 70 00    | 5,239 56                   | 0 00                              | 5,255 08                | (15 52)              |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464287382)         | 01/28/2010                     | 11/16/2010              | 45 00    | 1,977 95                   | 0 00                              | 1,962 03                | 15 92                |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)                  | 05/26/2010                     | 11/16/2010              | 21 00    | 989 21                     | 0 00                              | 863 28                  | 125 93               |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)                  | 05/27/2010                     | 11/16/2010              | 89 00    | 4,192 36                   | 0 00                              | 3,760 63                | 431 74               |
| BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)                 | 10/08/2010                     | 11/17/2010              | 142 00   | 10,613 10                  | 0 00                              | 10,660 31               | (47 21)              |
| BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)                 | 10/11/2010                     | 11/17/2010              | 20 00    | 1,494 80                   | 0 00                              | 1,496 72                | (1 91)               |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464287382)         | 01/28/2010                     | 11/17/2010              | 46 00    | 2,051 26                   | 0 00                              | 2,005 63                | 45 63                |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464287382)         | 05/17/2010                     | 11/17/2010              | 353 00   | 15,741 16                  | 0 00                              | 15,249 35               | 491 81               |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)                  | 05/27/2010                     | 11/17/2010              | 167 00   | 7,926 50                   | 0 00                              | 7,056 46                | 870 05               |

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# **REALIZED GAINS AND LOSSES (Continued)**

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## **SHORT TERM**

| Description (Product Identifier)                             | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds * | Total Accretion (Amortization) | Cost Basis * | Total Gain (Loss) |
|--------------------------------------------------------------|-----------------------------|----------------------|----------|-----------------|--------------------------------|--------------|-------------------|
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)          | 05/28/2010                  | 11/17/2010           | 95.00    | 4,509.09        | 0.00                           | 4,076.00     | 433.09            |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)          | 06/10/2010                  | 11/17/2010           | 25.00    | 1,186.50        | 0.00                           | 1,110.39     | 76.21             |
| ZHONGPIN INC CMN (98952K107)                                 | 03/11/2010                  | 11/17/2010           | 88.00    | 1,698.34        | 0.00                           | 1,190.39     | 507.95            |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464287382) | 05/17/2010                  | 11/18/2010           | 285.00   | 13,003.22       | 0.00                           | 12,311.80    | 691.42            |
| ZHONGPIN INC CMN (98952K107)                                 | 03/11/2010                  | 11/18/2010           | 842.00   | 16,359.70       | 0.00                           | 11,389.82    | 4,969.88          |
| BAYER AG-SPONSORED ADR SPONSORED ADR CMN (072730302)         | 10/11/2010                  | 11/19/2010           | 195.00   | 15,389.72       | 0.00                           | 14,592.98    | 796.74            |
| GLAXOSMITHKLINE PLC SPONSORED ADR CMN (37733W105)            | 05/17/2010                  | 11/19/2010           | 148.00   | 5,890.76        | 0.00                           | 5,081.29     | 809.48            |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)          | 06/10/2010                  | 11/19/2010           | 184.00   | 8,676.98        | 0.00                           | 8,172.45     | 504.53            |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)          | 06/11/2010                  | 11/19/2010           | 148.00   | 6,979.31        | 0.00                           | 6,441.23     | 538.08            |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)          | 08/05/2010                  | 11/19/2010           | 35.00    | 1,650.51        | 0.00                           | 1,571.11     | 79.40             |
| ZHONGPIN INC CMN (98952K107)                                 | 03/11/2010                  | 11/19/2010           | 72.00    | 1,386.99        | 0.00                           | 973.95       | 413.04            |
| ZURICH FINANCIAL SERVICES SPONSORED ADR CMN (98982M107)      | 03/22/2010                  | 11/19/2010           | 172.00   | 4,084.95        | 0.00                           | 4,317.77     | (232.82)          |
| ZURICH FINANCIAL SERVICES SPONSORED ADR CMN (98982M107)      | 03/23/2010                  | 11/19/2010           | 82.00    | 1,947.48        | 0.00                           | 2,067.43     | (119.95)          |
| ZURICH FINANCIAL SERVICES SPONSORED ADR CMN (98982M107)      | 03/24/2010                  | 11/19/2010           | 78.00    | 1,852.48        | 0.00                           | 1,932.06     | (79.58)           |
| ZURICH FINANCIAL SERVICES SPONSORED ADR CMN (98982M107)      | 03/25/2010                  | 11/19/2010           | 486.00   | 11,542.35       | 0.00                           | 12,081.57    | (539.22)          |
| ZHONGPIN INC CMN (98952K107)                                 | 03/11/2010                  | 11/22/2010           | 190.00   | 3,598.00        | 0.00                           | 2,570.15     | 1,027.85          |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)          | 08/05/2010                  | 11/23/2010           | 18.00    | 855.47          | 0.00                           | 808.00       | 47.47             |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)          | 08/06/2010                  | 11/23/2010           | 82.00    | 3,897.16        | 0.00                           | 3,675.45     | 221.71            |
| ZHONGPIN INC CMN (98952K107)                                 | 03/11/2010                  | 11/23/2010           | 195.00   | 3,513.22        | 0.00                           | 2,637.79     | 875.43            |
| ZHONGPIN INC CMN (98952K107)                                 | 06/10/2010                  | 11/23/2010           | 625.00   | 11,260.31       | 0.00                           | 6,921.69     | 4,338.62          |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)          | 08/06/2010                  | 11/24/2010           | 29.00    | 1,406.14        | 0.00                           | 1,299.85     | 106.29            |
| ROLLS-ROYCE GROUP PLC SPONSORED ADR CMN (775781206)          | 08/09/2010                  | 11/24/2010           | 187.00   | 9,067.17        | 0.00                           | 8,393.48     | 673.69            |
| KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)          | 04/29/2010                  | 12/03/2010           | 475.00   | 5,988.84        | 0.00                           | 6,518.99     | (530.16)          |
| KONINKLIJKE AHOLD N V SPONSORED ADR CMN (500467402)          | 05/18/2010                  | 12/03/2010           | 606.00   | 7,640.49        | 0.00                           | 7,737.23     | (96.73)           |
| SANOFI-AVENTIS SPONSORED ADR CMN (80105N105)                 | 01/08/2010                  | 12/03/2010           | 204.00   | 6,532.08        | 0.00                           | 8,164.79     | (1,632.71)        |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501)    | 01/15/2010                  | 12/03/2010           | 93.00    | 11,120.66       | 0.00                           | 8,888.40     | 2,232.26          |
| SANOFI-AVENTIS SPONSORED ADR CMN (80105N105)                 | 01/08/2010                  | 12/09/2010           | 187.00   | 6,090.22        | 0.00                           | 7,484.40     | (1,394.18)        |

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# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                          | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total Accretion<br>(Amortization) | Cost Basis <sup>4</sup> | Total<br>Gain (Loss) |
|-----------------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501) | 01/15/2010                     | 12/09/2010              | 119 00   | 14,138 42                  | 0 00                              | 11,373 33               | 2,765 09             |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501) | 01/28/2010                     | 12/09/2010              | 39 00    | 4,633 60                   | 0 00                              | 3,642 49                | 991 11               |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501) | 03/26/2010                     | 12/09/2010              | 152 00   | 18,059 16                  | 0 00                              | 14,862 73               | 3,196 43             |
| CENTRAL EURO DISTRIBUTION CORPORATION (153435102)         | 03/12/2010                     | 12/16/2010              | 244 00   | 5,643 05                   | 0 00                              | 9,070 82                | (3,427 76)           |
| CENTRAL EURO DISTRIBUTION CORPORATION (153435102)         | 03/12/2010                     | 12/22/2010              | 143 00   | 3,361 86                   | 0 00                              | 5,316 10                | (1,954 24)           |
| CENTRAL EURO DISTRIBUTION CORPORATION (153435102)         | 04/16/2010                     | 12/22/2010              | 808 00   | 18,995 67                  | 0 00                              | 31,390 72               | (12,395 05)          |
| RESMED INC CMN (761152107)                                | 07/01/2010                     | 12/22/2010              | 317 00   | 11,285 98                  | 0 00                              | 9,450 63                | 1,835 35             |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501) | 03/26/2010                     | 12/22/2010              | 180 00   | 22,161 69                  | 0 00                              | 17,600 60               | 4,561 09             |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501) | 11/08/2010                     | 12/22/2010              | 140 00   | 17,236 87                  | 0 00                              | 16,567 24               | 669 63               |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)       | 04/06/2010                     | 12/23/2010              | 41 00    | 894 11                     | 0 00                              | 709 48                  | 184 64               |
| <b>NET SHORT TERM GAINS (LOSSES)</b>                      |                                |                         |          | <b>5,598,921.50</b>        | <b>0.00</b>                       | <b>5,682,901.47</b>     | <b>(83,979.92)</b>   |

## LONG TERM

| Description (Product Identifier)                             | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total Accretion<br>(Amortization) | Cost Basis <sup>4</sup> | Total<br>Gain (Loss) |
|--------------------------------------------------------------|--------------------------------|-------------------------|----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| ICICI BANK LIMITED SPONS ADR (451046104)                     | 09/28/2009                     | 10/05/2010              | 140 00   | 7,234 27                   | 0 00                              | 5,052 03                | 2,182 24             |
| IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)              | 09/28/2009                     | 10/14/2010              | 173 00   | 10,552 22                  | 0 00                              | 9,971 72                | 580 50               |
| IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)              | 10/02/2009                     | 10/14/2010              | 5 00     | 304 98                     | 0 00                              | 288 90                  | 16 08                |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464267382) | 09/28/2009                     | 10/14/2010              | 389 00   | 17,288 81                  | 0 00                              | 16,910 30               | 378 51               |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)          | 10/14/2009                     | 10/15/2010              | 141 00   | 2,925 38                   | 0 00                              | 2,538 00                | 387 38               |
| IMPERIAL TOBACCO GROUP PLC SPON ADR (453142101)              | 10/02/2009                     | 10/15/2010              | 223 00   | 13,568 41                  | 0 00                              | 12,884 94               | 683 47               |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464267382) | 09/28/2009                     | 10/15/2010              | 120 00   | 5,308 47                   | 0 00                              | 5,216 54                | 91 93                |
| ZHONGPIN INC CMN (98952K107)                                 | 10/20/2009                     | 10/27/2010              | 268 00   | 5,282 91                   | 0 00                              | 4,201 89                | 1,081 02             |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464267382) | 10/28/2009                     | 11/01/2010              | 78 00    | 3,381 31                   | 0 00                              | 3,213 60                | 167 71               |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464267382) | 10/28/2009                     | 11/02/2010              | 255 00   | 11,058 06                  | 0 00                              | 10,506 00               | 552 06               |
| ISHARES TRUST-ISHARES S&P/TOPIX 150 INDEX FD ETF (464267382) | 10/28/2009                     | 11/04/2010              | 285 00   | 12,640 02                  | 0 00                              | 11,742 00               | 898 02               |
| ZHONGPIN INC CMN (98952K107)                                 | 10/28/2009                     | 11/04/2010              | 469 00   | 10,847 45                  | 0 00                              | 6,289 52                | 4,557 93             |

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<sup>5</sup> Sale Proceeds may have been adjusted by an option premium due to an option assignment.



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# **REALIZED GAINS AND LOSSES (Continued)**

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## **LONG TERM**

| Description (Product Identifier)                                      | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total Accretion (Amortization) | Cost Basis <sup>4</sup> | Total Gain (Loss) |
|-----------------------------------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| TEVA PHARMACEUTICAL IND LTD ADS (881624209)                           | 10/28/2009                  | 11/05/2010           | 168 00   | 8,583 77                   | 0 00                           | 8,389 77                | 174 00            |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209)                           | 10/29/2009                  | 11/05/2010           | 58 00    | 2,956 54                   | 0 00                           | 2,915 39                | 41 15             |
| VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209)                      | 09/28/2009                  | 11/05/2010           | 708 00   | 19,983 70                  | 0 00                           | 16,276 64               | 3,687 06          |
| ZHONGPIN INC CMN (98952K107)                                          | 10/28/2009                  | 11/17/2010           | 66 00    | 1,273 75                   | 0 00                           | 885 09                  | 388 66            |
| AXA-UAP AMERICAN DEPOSITARY SHARES (054536107)                        | 10/28/2009                  | 11/19/2010           | 454 00   | 7,886 20                   | 0 00                           | 11,490 29               | (3,604 09)        |
| ZURICH FINANCIAL SERVICES SPONSORED ADR CMN (98982M107)               | 09/28/2009                  | 11/19/2010           | 226 00   | 5,367 43                   | 0 00                           | 5,302 68                | 64 75             |
| ZURICH FINANCIAL SERVICES SPONSORED ADR CMN (98982M107)               | 10/28/2009                  | 11/19/2010           | 1,560 00 | 37,049 53                  | 0 00                           | 36,459 70               | 589 83            |
| SIEMENS AKTIENGESSELLSCHAFT SPONSORED ADR CMN (826197501)             | 10/28/2009                  | 12/03/2010           | 6 00     | 717 46                     | 0 00                           | 562 45                  | 155 01            |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)                   | 10/28/2009                  | 12/09/2010           | 696 00   | 15,520 53                  | 0 00                           | 10,754 17               | 4,766 36          |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)                   | 10/28/2009                  | 12/10/2010           | 317 00   | 7,129 33                   | 0 00                           | 4,898 09                | 2,231 24          |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)                   | 10/28/2009                  | 12/16/2010           | 881 00   | 19,426 87                  | 0 00                           | 13,612 68               | 5,814 19          |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)                   | 10/28/2009                  | 12/17/2010           | 85 00    | 1,875 06                   | 0 00                           | 1,313 37                | 561 69            |
| COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR (20441A102) | 09/28/2009                  | 12/22/2010           | 310 00   | 16,149 94                  | 0 00                           | 12,023 35               | 4,126 59          |
| COMPANIA DE MINAS BUENAVENTURA SPONSORED ADR CMN (204448104)          | 10/28/2009                  | 12/22/2010           | 363 00   | 17,457 42                  | 0 00                           | 12,285 81               | 5,171 61          |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)                   | 10/28/2009                  | 12/22/2010           | 74 00    | 1,605 77                   | 0 00                           | 1,143 40                | 462 37            |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)        | 12/10/2009                  | 12/22/2010           | 536 00   | 31,549 13                  | 0 00                           | 26,140 72               | 5,408 41          |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (641069406)        | 12/16/2009                  | 12/22/2010           | 47 00    | 2,766 44                   | 0 00                           | 2,269 47                | 496 97            |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209)                           | 10/29/2009                  | 12/22/2010           | 244 00   | 12,514 91                  | 0 00                           | 12,284 76               | 250 16            |
| DEUTSCHE LUFTHANSA AG SPONSORED ADR CMN (251561304)                   | 10/28/2009                  | 12/23/2010           | 515 00   | 11,230 93                  | 0 00                           | 7,957 47                | 3,273 46          |
| <b>NET LONG TERM GAINS (LOSSES)</b>                                   |                             |                      |          | <b>321,397.00</b>          | <b>0.00</b>                    | <b>275,760.74</b>       | <b>45,636.27</b>  |

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# REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

## REALIZED GAIN AND LOSS SUMMARY

|                                                          |                   |                                                         |             |                                           |             |
|----------------------------------------------------------|-------------------|---------------------------------------------------------|-------------|-------------------------------------------|-------------|
| Net Short Term Gains (Losses)                            | 279,563 33        | Net Long Term Gains (Losses)                            | 0 00        | Net Ordinary Gains (Losses)               | 0 00        |
| Net Miscellaneous Short Term Gains (Losses)              | 0 00              | Net Miscellaneous Long Term Gains (Losses)              | 0 00        | Net Miscellaneous Ordinary Gains (Losses) | 0 00        |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0 00              | Net Regulated Futures Contract Long Term Gains (Losses) | 0 00        |                                           |             |
| <b>Total Short Term Gains (Losses)</b>                   | <b>279,563 33</b> | <b>Total Long Term Gains (Losses)</b>                   | <b>0 00</b> | <b>Total Ordinary Gains (Losses)</b>      | <b>0 00</b> |

## SHORT TERM

| Description (Product Identifier)                                         | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds * | Total Accretion (Amortization) | Cost Basis * | Total Gain (Loss) |
|--------------------------------------------------------------------------|-----------------------------|----------------------|----------|-----------------|--------------------------------|--------------|-------------------|
| VENTAS, INC CMN (92276F100)                                              | 09/28/2009                  | 01/06/2010           | 226 00   | 9,687 97        | 0 00                           | 8,885 25     | 802 72            |
| CORRECTIONS CORP OF AMERICA CMN (22025Y407)                              | 09/28/2009                  | 01/27/2010           | 356 00   | 6,917 53        | 0 00                           | 7,814 26     | (896 73)          |
| CORRECTIONS CORP OF AMERICA CMN (22025Y407)                              | 11/03/2009                  | 01/27/2010           | 99 00    | 1,923 70        | 0 00                           | 2,484 25     | (560 55)          |
| CORRECTIONS CORP OF AMERICA CMN (22025Y407)                              | 12/14/2009                  | 01/27/2010           | 172 00   | 3,342 18        | 0 00                           | 4,353 77     | (1,011 59)        |
| EQUITY RESIDENTIAL CMN (29476L107)                                       | 09/28/2009                  | 01/27/2010           | 122 00   | 3,844 65        | 0 00                           | 3,817 27     | 27 38             |
| ESSEX PROPERTY TRUST INC CMN (297178105)                                 | 09/28/2009                  | 02/03/2010           | 27 00    | 2,157 27        | 0 00                           | 2,183 21     | (25 94)           |
| AVALONBAY COMMUNITIES INC CMN (053484101)                                | 09/28/2009                  | 02/04/2010           | 62 00    | 4,626 10        | 0 00                           | 4,613 90     | 12 20             |
| STARWOOD HOTELS & RESORTS CMN (85590A401)                                | 09/28/2009                  | 02/10/2010           | 236 00   | 8,742 42        | 0 00                           | 7,457 60     | 1,284 82          |
| STARWOOD HOTELS & RESORTS CMN (85590A401)                                | 12/09/2009                  | 02/10/2010           | 228 00   | 8,446 06        | 0 00                           | 7,616 76     | 829 30            |
| APARTMENT INVT & MGMT CO CL-A CMN CLASS A (03748R101)                    | 12/14/2009                  | 02/17/2010           | 272 00   | 4,538 26        | 0 00                           | 4,149 56     | 388 70            |
| HOSPITALITY PROPERTIES TRUST CMN (44106M102)                             | 02/10/2010                  | 02/23/2010           | 173 00   | 3,910 28        | 0 00                           | 3,689 90     | 220 38            |
| SIMON PROPERTY GROUP INC CMN (828806109)                                 | 09/28/2009                  | 02/23/2010           | 168 00   | 13,087 57       | 0 00                           | 11,871 51    | 1,216 07          |
| DUKE REALTY CORP CMN (264411505)                                         | 09/28/2009                  | 03/01/2010           | 1,666 00 | 18,695 44       | 0 00                           | 20,224 34    | (1,528 90)        |
| DUKE REALTY CORP CMN (264411505)                                         | 09/28/2009                  | 03/04/2010           | 800 00   | 8,864 35        | 0 00                           | 9,711 57     | (847 22)          |
| DUKE REALTY CORP CMN (264411505)                                         | 10/06/2009                  | 03/04/2010           | 252 00   | 2,792 27        | 0 00                           | 3,055 60     | (263 33)          |
| DUKE REALTY CORP CMN (264411505)                                         | 12/09/2009                  | 03/04/2010           | 386 00   | 4,277 05        | 0 00                           | 4,343 80     | (66 75)           |
| ENTERTAINMENT PROPERTIES TRUST SHARES OF BENEFICIAL INTEREST (29380T105) | 09/28/2009                  | 03/08/2010           | 134 00   | 5,329 41        | 0 00                           | 4,588 16     | 741 25            |
| GAYLORD ENTERTAINMENT CO (NEW) CMN (367905106)                           | 09/28/2009                  | 03/10/2010           | 195 00   | 4,934 56        | 0 00                           | 3,942 90     | 991 66            |
| HEALTH CARE REIT INC (DEL) CMN (42217K106)                               | 09/28/2009                  | 03/10/2010           | 340 00   | 14,806 25       | 0 00                           | 14,489 83    | 316 42            |
| PROLOGIS CMN (743410102)                                                 | 09/28/2009                  | 03/10/2010           | 2,549 00 | 34,228 55       | 0 00                           | 30,707 33    | 3,521 22          |

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# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                                         | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds <sup>5</sup> | Total Accretion (Amortization) | Cost Basis <sup>4</sup> | Total Gain (Loss) |
|--------------------------------------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| PROLOGIS CMN (743410102)                                                 | 12/14/2009                  | 03/10/2010           | 33 00    | 443 13                     | 0 00                           | 459 80                  | (16 67)           |
| SIMON PROPERTY GROUP INC CMN (828806109)                                 | 09/28/2009                  | 03/17/2010           | 84 00    | 7,168 55                   | 0 00                           | 5,935 75                | 1,232 80          |
| HOST HOTELS & RESORTS INC CMN (44107P104)                                | 09/28/2009                  | 03/19/2010           | 404 00   | 5,503 54                   | 0 00                           | 4,625 33                | 878 21            |
| VORNADO REALTY TRUST CMN (829042109)                                     | 09/28/2009                  | 03/19/2010           | 65 00    | 4,901 15                   | 0 00                           | 4,298 21                | 602 94            |
| DOUGLAS EMMETT INC CMN (25960P109)                                       | 09/28/2009                  | 03/22/2010           | 276 00   | 4,320 47                   | 0 00                           | 3,452 64                | 867 83            |
| SL GREEN REALTY CORP CMN (78440X101)                                     | 03/01/2010                  | 03/22/2010           | 142 00   | 7,845 99                   | 0 00                           | 7,297 57                | 548 42            |
| AVALONBAY COMMUNITIES INC CMN (053484101)                                | 09/28/2009                  | 03/24/2010           | 108 00   | 9,550 86                   | 0 00                           | 8,037 12                | 1,513 74          |
| SIMON PROPERTY GROUP INC CMN (828806109)                                 | 09/28/2009                  | 03/26/2010           | 24 00    | 2,057 05                   | 0 00                           | 1,695 93                | 361 12            |
| ESSEX PROPERTY TRUST INC CMN (297178105)                                 | 09/28/2009                  | 03/31/2010           | 90 00    | 8,091 24                   | 0 00                           | 7,277 35                | 813 89            |
| SIMON PROPERTY GROUP INC CMN (828806109)                                 | 09/28/2009                  | 04/05/2010           | 77 00    | 6,599 62                   | 0 00                           | 5,441 11                | 1,158 51          |
| ENTERTAINMENT PROPERTIES TRUST SHARES OF BENEFICIAL INTEREST (29380T105) | 09/28/2009                  | 04/06/2010           | 188 00   | 7,953 88                   | 0 00                           | 6,437 12                | 1,516 76          |
| ENTERTAINMENT PROPERTIES TRUST SHARES OF BENEFICIAL INTEREST (29380T105) | 11/16/2009                  | 04/06/2010           | 15 00    | 634 62                     | 0 00                           | 481 06                  | 153 56            |
| ENTERTAINMENT PROPERTIES TRUST SHARES OF BENEFICIAL INTEREST (29380T105) | 11/16/2009                  | 04/08/2010           | 112 00   | 4,832 60                   | 0 00                           | 3,591 91                | 1,240 69          |
| ENTERTAINMENT PROPERTIES TRUST SHARES OF BENEFICIAL INTEREST (29380T105) | 11/16/2009                  | 04/09/2010           | 42 00    | 1,817 62                   | 0 00                           | 1,346 97                | 470 66            |
| ENTERTAINMENT PROPERTIES TRUST SHARES OF BENEFICIAL INTEREST (29380T105) | 01/27/2010                  | 04/09/2010           | 62 00    | 2,683 16                   | 0 00                           | 2,116 81                | 566 35            |
| RETAIL OPPORTUNITY INVTS CORP CMN (76131N101)                            | 09/28/2009                  | 04/09/2010           | 358 00   | 3,668 29                   | 0 00                           | 3,738 91                | (70 62)           |
| ALEXANDRIA REAL ESTATE EQUITIES, INC (015271109)                         | 09/28/2009                  | 04/12/2010           | 28 00    | 2,004 84                   | 0 00                           | 1,539 84                | 465 00            |
| AVALONBAY COMMUNITIES INC CMN (053484101)                                | 09/28/2009                  | 04/12/2010           | 23 00    | 2,116 77                   | 0 00                           | 1,711 61                | 405 16            |
| HOST HOTELS & RESORTS INC CMN (44107P104)                                | 09/28/2009                  | 04/12/2010           | 350 00   | 5,234 62                   | 0 00                           | 4,007 10                | 1,227 52          |
| KIMCO REALTY CORPORATION CMN (49446R109)                                 | 09/28/2009                  | 04/12/2010           | 169 00   | 2,757 14                   | 0 00                           | 2,291 49                | 465 65            |
| REGENCY CTRS CORP CMN (758849103)                                        | 09/28/2009                  | 04/12/2010           | 97 00    | 3,683 65                   | 0 00                           | 3,626 50                | 57 15             |
| ESSEX PROPERTY TRUST INC CMN (297178105)                                 | 09/28/2009                  | 04/13/2010           | 30 00    | 2,939 96                   | 0 00                           | 2,425 78                | 514 18            |
| SIMON PROPERTY GROUP INC CMN (828806109)                                 | 09/28/2009                  | 04/20/2010           | 32 00    | 2,689 02                   | 0 00                           | 2,261 24                | 427 78            |

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# REALIZED GAINS AND LOSSES (Continued)

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## SHORT TERM

| Description (Product Identifier)                      | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds <sup>a</sup> | Total Accretion (Amortization) | Cost Basis <sup>a</sup> | Total Gain (Loss) |
|-------------------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| REGENCY CTRS CORP CMN (758849103)                     | 09/28/2009                  | 04/22/2010           | 145 00   | 5,667 76                   | 0 00                           | 5,421 06                | 246 70            |
| APARTMENT INVT & MGMT CO CL-A CMN CLASS A (03748R101) | 12/14/2009                  | 05/03/2010           | 462 00   | 10,999 60                  | 0 00                           | 7,048 16                | 3,951 44          |
| AMERICAN CAMPUS CMNTYS, INC CMN (024835100)           | 09/28/2009                  | 05/06/2010           | 286 00   | 7,902 27                   | 0 00                           | 7,584 76                | 317 51            |
| APARTMENT INVT & MGMT CO CL-A CMN CLASS A (03748R101) | 12/14/2009                  | 05/06/2010           | 220 00   | 4,958 55                   | 0 00                           | 3,356 27                | 1,602 29          |
| ESSEX PROPERTY TRUST INC CMN (297178105)              | 09/28/2009                  | 05/06/2010           | 49 00    | 5,303 31                   | 0 00                           | 3,952 11                | 1,341 20          |
| APARTMENT INVT & MGMT CO CL-A CMN CLASS A (03748R101) | 12/15/2009                  | 05/07/2010           | 176 00   | 3,926 47                   | 0 00                           | 2,684 82                | 1,241 65          |
| APARTMENT INVT & MGMT CO CL-A CMN CLASS A (03748R101) | 12/14/2009                  | 05/07/2010           | 111 00   | 2,375 00                   | 0 00                           | 1,693 39                | 681 61            |
| APARTMENT INVT & MGMT CO CL-A CMN CLASS A (03748R101) | 12/15/2009                  | 05/07/2010           | 79 00    | 1,690 32                   | 0 00                           | 1,205 12                | 485 20            |
| APARTMENT INVT & MGMT CO CL-A CMN CLASS A (03748R101) | 12/14/2009                  | 05/07/2010           | 197 00   | 4,210 01                   | 0 00                           | 3,005 38                | 1,204 63          |
| AVALONBAY COMMUNITIES INC CMN (053484101)             | 09/28/2009                  | 05/07/2010           | 29 00    | 2,889 56                   | 0 00                           | 2,158 12                | 731 44            |
| ESSEX PROPERTY TRUST INC CMN (297178105)              | 09/28/2009                  | 05/11/2010           | 29 00    | 3,189 65                   | 0 00                           | 2,344 92                | 844 73            |
| ACADIA REALTY TRUST CMN (004239109)                   | 09/28/2009                  | 05/17/2010           | 1,081 00 | 19,533 96                  | 0 00                           | 16,696 45               | 2,837 51          |
| ALEXANDRIA REAL ESTATE EQUITIES, INC (015271109)      | 09/28/2009                  | 05/17/2010           | 455 00   | 30,418 91                  | 0 00                           | 25,022 42               | 5,396 49          |
| ALEXANDRIA REAL ESTATE EQUITIES, INC (015271109)      | 10/19/2009                  | 05/17/2010           | 63 00    | 4,211 85                   | 0 00                           | 3,435 51                | 776 34            |
| ALEXANDRIA REAL ESTATE EQUITIES, INC (015271109)      | 12/14/2009                  | 05/17/2010           | 135 00   | 9,025 39                   | 0 00                           | 8,020 37                | 1,005 02          |
| ALEXANDRIA REAL ESTATE EQUITIES, INC (015271109)      | 12/15/2009                  | 05/17/2010           | 49 00    | 3,275 88                   | 0 00                           | 2,914 76                | 361 12            |
| ALEXANDRIA REAL ESTATE EQUITIES, INC (015271109)      | 04/01/2010                  | 05/17/2010           | 107 00   | 7,153 46                   | 0 00                           | 7,259 50                | (106 04)          |
| AMB PROPERTY CORP CMN (00163T109)                     | 03/16/2010                  | 05/17/2010           | 638 00   | 16,816 49                  | 0 00                           | 17,507 76               | (691 27)          |
| AMB PROPERTY CORP CMN (00163T109)                     | 03/17/2010                  | 05/17/2010           | 147 00   | 3,874 65                   | 0 00                           | 4,187 44                | (312 79)          |
| AMB PROPERTY CORP CMN (00163T109)                     | 03/18/2010                  | 05/17/2010           | 467 00   | 12,309 25                  | 0 00                           | 13,273 42               | (964 17)          |
| AMB PROPERTY CORP CMN (00163T109)                     | 04/07/2010                  | 05/17/2010           | 186 00   | 4,902 61                   | 0 00                           | 5,158 62                | (256 01)          |
| AMERICAN CAMPUS CMNTYS, INC CMN (024835100)           | 09/28/2009                  | 05/17/2010           | 726 00   | 18,567 45                  | 0 00                           | 19,253 63               | (686 18)          |
| AMERICAN CAMPUS CMNTYS, INC CMN (024835100)           | 02/04/2010                  | 05/17/2010           | 436 00   | 11,150 70                  | 0 00                           | 11,300 42               | (149 72)          |
| APARTMENT INVT & MGMT CO CL-A CMN CLASS A (03748R101) | 12/15/2009                  | 05/17/2010           | 828 00   | 17,643 03                  | 0 00                           | 12,630 85               | 5,012 18          |
| AVALONBAY COMMUNITIES INC CMN (053484101)             | 09/28/2009                  | 05/17/2010           | 233 00   | 23,420 37                  | 0 00                           | 17,339 35               | 6,081 02          |
| AVALONBAY COMMUNITIES INC CMN (053484101)             | 12/14/2009                  | 05/17/2010           | 662 00   | 66,542 00                  | 0 00                           | 50,963 60               | 15,578 40         |
| BOSTON PROPERTIES INC COMMON STOCK (101121101)        | 09/28/2009                  | 05/17/2010           | 1,459 00 | 114,050 69                 | 0 00                           | 97,306 50               | 16,744 19         |

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# **REALIZED GAINS AND LOSSES (Continued)**

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## **SHORT TERM**

| Description (Product Identifier)                                                  | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds <sup>1</sup> | Total Accretion (Amortization) | Cost Basis <sup>4</sup> | Total Gain (Loss) |
|-----------------------------------------------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| CAMDEN PROPERTY TRUST CMN (133131102)                                             | 05/06/2010                  | 05/17/2010           | 475 00   | 22,774 28                  | 0 00                           | 22,193 79               | 580 49            |
| CAMDEN PROPERTY TRUST CMN (133131102)                                             | 05/06/2010                  | 05/17/2010           | 102 00   | 4,890 48                   | 0 00                           | 4,751 39                | 139 09            |
| CAMDEN PROPERTY TRUST CMN (133131102)                                             | 05/07/2010                  | 05/17/2010           | 103 00   | 4,938 42                   | 0 00                           | 4,739 21                | 199 21            |
| CAMDEN PROPERTY TRUST CMN (133131102)                                             | 05/07/2010                  | 05/17/2010           | 91 00    | 4,363 07                   | 0 00                           | 4,197 12                | 165 95            |
| DEVELOPERS DIVERSIFIED RLTY CP CMN (251591103)                                    | 02/09/2010                  | 05/17/2010           | 970 00   | 11,812 57                  | 0 00                           | 8,868 40                | 2,944 17          |
| DIGITAL REALTY TRUST, INC CMN (253868103)                                         | 09/28/2009                  | 05/17/2010           | 1,033 00 | 59,083 95                  | 0 00                           | 45,351 70               | 12,732 25         |
| DIGITAL REALTY TRUST, INC CMN (253868103)                                         | 10/07/2009                  | 05/17/2010           | 167 00   | 9,551 81                   | 0 00                           | 7,505 93                | 2,045 88          |
| DOUGLAS EMMETT INC CMN (25960P109)                                                | 09/28/2009                  | 05/17/2010           | 2,246 00 | 36,556 75                  | 0 00                           | 28,096 46               | 8,460 30          |
| ESSEX PROPERTY TRUST INC CMN (297178105)                                          | 09/28/2009                  | 05/17/2010           | 130 00   | 14,015 33                  | 0 00                           | 10,511 73               | 3,503 60          |
| ESSEX PROPERTY TRUST INC CMN (297178105)                                          | 12/14/2009                  | 05/17/2010           | 344 00   | 37,086 72                  | 0 00                           | 28,797 57               | 8,289 15          |
| GAYLORD ENTERTAINMENT CO (NEW) CMN (367905106)                                    | 09/28/2009                  | 05/17/2010           | 176 00   | 4,695 54                   | 0 00                           | 3,558 72                | 1,136 82          |
| GAYLORD ENTERTAINMENT CO (NEW) CMN (367905106)                                    | 12/14/2009                  | 05/17/2010           | 494 00   | 13,179 53                  | 0 00                           | 8,995 37                | 4,184 16          |
| GAYLORD ENTERTAINMENT CO (NEW) CMN (367905106)                                    | 05/03/2010                  | 05/17/2010           | 75 00    | 2,000 94                   | 0 00                           | 2,236 65                | (235 71)          |
| GENERAL GROWTH PROPERTIES INC CMN (370021107)                                     | 03/31/2010                  | 05/17/2010           | 815 00   | 11,599 37                  | 0 00                           | 13,166 70               | (1,467 33)        |
| HCP, INC CMN (40414L109)                                                          | 09/28/2009                  | 05/17/2010           | 1,095 00 | 35,611 34                  | 0 00                           | 31,936 44               | 3,674 91          |
| HCP, INC CMN (40414L109)                                                          | 03/10/2010                  | 05/17/2010           | 281 00   | 9,138 62                   | 0 00                           | 8,650 45                | 488 17            |
| HERSHA HOSPITALITY TRUST CLASS A COMMON SHARES OF BENEFICIAL INTEREST (427825104) | 04/09/2010                  | 05/17/2010           | 3,358 00 | 17,116 85                  | 0 00                           | 18,294 64               | (1,177 79)        |
| HERSHA HOSPITALITY TRUST CLASS A COMMON SHARES OF BENEFICIAL INTEREST (427825104) | 04/28/2010                  | 05/17/2010           | 750 00   | 3,823 00                   | 0 00                           | 4,237 32                | (414 32)          |
| HOTEL & RESORTS INC CMN (44107P104)                                               | 09/28/2009                  | 05/17/2010           | 2,708 00 | 40,100 21                  | 0 00                           | 31,003 48               | 9,096 74          |
| HOTEL & RESORTS INC CMN (44107P104)                                               | 12/11/2009                  | 05/17/2010           | 2,455 00 | 36,353 78                  | 0 00                           | 25,717 17               | 10,636 61         |
| HOTEL & RESORTS INC CMN (44107P104)                                               | 12/18/2009                  | 05/17/2010           | 82 00    | 1,214 26                   | 0 00                           | 927 42                  | 286 84            |
| HOTEL & RESORTS INC CMN (44107P104)                                               | 12/30/2009                  | 05/17/2010           | 176 00   | 2,606 22                   | 0 00                           | 2,083 61                | 522 61            |
| INTERCONTINENTAL HOTELS LIMITE SPONSORED ADR CMN (45857P301)                      | 02/18/2010                  | 05/17/2010           | 370 00   | 6,044 12                   | 0 00                           | 5,253 38                | 790 74            |
| INTERCONTINENTAL HOTELS LIMITE SPONSORED ADR CMN (45857P301)                      | 02/19/2010                  | 05/17/2010           | 180 00   | 2,940 38                   | 0 00                           | 2,548 15                | 392 23            |
| INTERCONTINENTAL HOTELS LIMITE SPONSORED ADR CMN (45857P301)                      | 02/24/2010                  | 05/17/2010           | 577 00   | 9,425 56                   | 0 00                           | 8,123 59                | 1,301 97          |
| INTERCONTINENTAL HOTELS LIMITE SPONSORED ADR CMN (45857P301)                      | 02/26/2010                  | 05/17/2010           | 286 00   | 4,671 94                   | 0 00                           | 4,043 20                | 628 74            |

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|----------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| KILROY RLY CORP COMMON STOCK (49427F108)     | 09/28/2009                  | 05/17/2010           | 158 00   | 5,315 86                   | 0 00                           | 4,507 74                | 808 12            |
| KILROY RLY CORP COMMON STOCK (49427F108)     | 11/02/2009                  | 05/17/2010           | 359 00   | 12,078 45                  | 0 00                           | 10,215 39               | 1,863 06          |
| KILROY RLY CORP COMMON STOCK (49427F108)     | 11/16/2009                  | 05/17/2010           | 244 00   | 8,209 31                   | 0 00                           | 7,408 43                | 800 88            |
| KILROY RLY CORP COMMON STOCK (49427F108)     | 11/19/2009                  | 05/17/2010           | 106 00   | 3,566 34                   | 0 00                           | 3,213 12                | 353 22            |
| KILROY RLY CORP COMMON STOCK (49427F108)     | 12/11/2009                  | 05/17/2010           | 149 00   | 5,013 06                   | 0 00                           | 4,515 57                | 497 49            |
| KILROY RLY CORP COMMON STOCK (49427F108)     | 02/25/2010                  | 05/17/2010           | 69 00    | 2,321 48                   | 0 00                           | 1,909 91                | 411 57            |
| KILROY RLY CORP COMMON STOCK (49427F108)     | 04/15/2010                  | 05/17/2010           | 95 00    | 3,196 25                   | 0 00                           | 3,280 17                | (83 92)           |
| KIMCO REALTY CORPORATION CMN (49446R109)     | 09/28/2009                  | 05/17/2010           | 2,479 00 | 38,653 22                  | 0 00                           | 33,613 09               | 5,040 14          |
| KIMCO REALTY CORPORATION CMN (49446R109)     | 10/28/2009                  | 05/17/2010           | 1,016 00 | 15,841 74                  | 0 00                           | 13,037 16               | 2,804 58          |
| KIMCO REALTY CORPORATION CMN (49446R109)     | 12/09/2009                  | 05/17/2010           | 360 00   | 5,613 22                   | 0 00                           | 4,471 03                | 1,142 19          |
| LIBERTY PROPERTY TRUST SBI CMN (531172104)   | 09/28/2009                  | 05/17/2010           | 714 00   | 22,945 36                  | 0 00                           | 23,394 78               | (449 42)          |
| LIBERTY PROPERTY TRUST SBI CMN (531172104)   | 10/07/2009                  | 05/17/2010           | 322 00   | 10,347 91                  | 0 00                           | 10,063 31               | 284 60            |
| LIBERTY PROPERTY TRUST SBI CMN (531172104)   | 12/09/2009                  | 05/17/2010           | 320 00   | 10,283 64                  | 0 00                           | 9,962 25                | 321 39            |
| LIBERTY PROPERTY TRUST SBI CMN (531172104)   | 03/19/2010                  | 05/17/2010           | 114 00   | 3,663 55                   | 0 00                           | 3,860 68                | (197 14)          |
| LIBERTY PROPERTY TRUST SBI CMN (531172104)   | 03/22/2010                  | 05/17/2010           | 75 00    | 2,410 23                   | 0 00                           | 2,559 17                | (148 94)          |
| NATIONWIDE HEALTH PROPERTIES INC (638620104) | 09/28/2009                  | 05/17/2010           | 898 00   | 30,967 16                  | 0 00                           | 27,953 74               | 3,013 42          |
| NATIONWIDE HEALTH PROPERTIES INC (638620104) | 10/02/2009                  | 05/17/2010           | 266 00   | 9,172 90                   | 0 00                           | 8,073 03                | 1,099 87          |
| NATIONWIDE HEALTH PROPERTIES INC (638620104) | 12/16/2009                  | 05/17/2010           | 535 00   | 18,449 26                  | 0 00                           | 18,634 54               | (185 28)          |
| NATIONWIDE HEALTH PROPERTIES INC (638620104) | 03/10/2010                  | 05/17/2010           | 115 00   | 3,955 73                   | 0 00                           | 3,993 93                | (28 20)           |
| POST PROPERTIES INC CMN (737464107)          | 03/24/2010                  | 05/17/2010           | 409 00   | 10,589 35                  | 0 00                           | 8,786 75                | 1,782 60          |
| POST PROPERTIES INC CMN (737464107)          | 03/30/2010                  | 05/17/2010           | 185 00   | 4,780 76                   | 0 00                           | 4,040 94                | 739 82            |
| POST PROPERTIES INC CMN (737464107)          | 04/06/2010                  | 05/17/2010           | 115 00   | 2,971 82                   | 0 00                           | 2,702 23                | 269 59            |
| POST PROPERTIES INC CMN (737464107)          | 04/07/2010                  | 05/17/2010           | 104 00   | 2,687 56                   | 0 00                           | 2,492 71                | 194 85            |
| PROLOGIS CMN (743410102)                     | 12/14/2009                  | 05/17/2010           | 2,197 00 | 26,256 48                  | 0 00                           | 30,611 57               | (4,355 09)        |
| PS BUSINESS PARKS, INC CMN (69360J107)       | 02/25/2010                  | 05/17/2010           | 165 00   | 9,582 52                   | 0 00                           | 7,870 44                | 1,712 08          |
| PS BUSINESS PARKS, INC CMN (69360J107)       | 03/22/2010                  | 05/17/2010           | 223 00   | 12,950 92                  | 0 00                           | 11,869 54               | 1,081 38          |
| PS BUSINESS PARKS, INC CMN (69360J107)       | 04/27/2010                  | 05/17/2010           | 86 00    | 4,994 53                   | 0 00                           | 5,145 90                | (151 37)          |

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|------------------------------------------------|-----------------------------|----------------------|----------|----------------------------|--------------------------------|-------------------------|-------------------|
| PUBLIC STORAGE CMN (744600109)                 | 09/28/2009                  | 05/17/2010           | 932 00   | 87,229 78                  | 0 00                           | 71,855 88               | 15,373 90         |
| PUBLIC STORAGE CMN (744600109)                 | 12/09/2009                  | 05/17/2010           | 261 00   | 24,428 08                  | 0 00                           | 20,195 84               | 4,232 24          |
| PUBLIC STORAGE CMN (744600109)                 | 12/16/2009                  | 05/17/2010           | 147 00   | 13,758 35                  | 0 00                           | 11,707 50               | 2,050 84          |
| PUBLIC STORAGE CMN (744600109)                 | 01/06/2010                  | 05/17/2010           | 74 00    | 6,925 97                   | 0 00                           | 5,930 52                | 995 45            |
| REGENCY CTRS CORP CMN (758849103)              | 09/28/2009                  | 05/17/2010           | 113 00   | 4,263 54                   | 0 00                           | 4,224 69                | 38 85             |
| REGENCY CTRS CORP CMN (758849103)              | 10/01/2009                  | 05/17/2010           | 410 00   | 15,469 48                  | 0 00                           | 14,666 13               | 803 35            |
| REGENCY CTRS CORP CMN (758849103)              | 10/06/2009                  | 05/17/2010           | 237 00   | 8,942 11                   | 0 00                           | 8,415 32                | 526 79            |
| REGENCY CTRS CORP CMN (758849103)              | 10/28/2009                  | 05/17/2010           | 200 00   | 7,546 09                   | 0 00                           | 6,939 55                | 606 54            |
| REGENCY CTRS CORP CMN (758849103)              | 10/30/2009                  | 05/17/2010           | 84 00    | 3,169 36                   | 0 00                           | 2,788 38                | 380 98            |
| RETAIL OPPORTUNITY INVT'S CORP CMN (76131N101) | 09/28/2009                  | 05/17/2010           | 362 00   | 3,474 50                   | 0 00                           | 3,780 69                | (306 19)          |
| RETAIL OPPORTUNITY INVT'S CORP CMN (76131N101) | 03/10/2010                  | 05/17/2010           | 511 00   | 4,904 60                   | 0 00                           | 5,262 28                | (357 68)          |
| SIMON PROPERTY GROUP INC CMN (828806109)       | 09/28/2009                  | 05/17/2010           | 2,002 00 | 173,074 01                 | 0 00                           | 141,468 77              | 31,605 24         |
| SIMON PROPERTY GROUP INC CMN (828806109)       | 10/28/2009                  | 05/17/2010           | 291 00   | 25,157 11                  | 0 00                           | 19,677 21               | 5,479 90          |
| SIMON PROPERTY GROUP INC CMN (828806109)       | 12/10/2009                  | 05/17/2010           | 139 00   | 12,016 63                  | 0 00                           | 10,509 70               | 1,506 93          |
| SIMON PROPERTY GROUP INC CMN (828806109)       | 12/18/2009                  | 05/17/2010           | 17 00    | 1,469 66                   | 0 00                           | 1,322 26                | 147 40            |
| SL GREEN REALTY CORP CMN (78440X101)           | 03/01/2010                  | 05/17/2010           | 171 00   | 10,826 24                  | 0 00                           | 8,787 92                | 2,038 32          |
| SL GREEN REALTY CORP CMN (78440X101)           | 03/04/2010                  | 05/17/2010           | 304 00   | 19,246 64                  | 0 00                           | 15,979 71               | 3,266 93          |
| TAUBMAN CENTERS INC CMN (876664103)            | 04/09/2010                  | 05/17/2010           | 307 00   | 12,727 95                  | 0 00                           | 12,893 04               | (165 09)          |
| TAUBMAN CENTERS INC CMN (876664103)            | 04/12/2010                  | 05/17/2010           | 451 00   | 18,698 06                  | 0 00                           | 19,041 50               | (343 44)          |
| TAUBMAN CENTERS INC CMN (876664103)            | 04/20/2010                  | 05/17/2010           | 101 00   | 4,187 37                   | 0 00                           | 4,232 45                | (45 08)           |
| TAUBMAN CENTERS INC CMN (876664103)            | 04/22/2010                  | 05/17/2010           | 106 00   | 4,394 67                   | 0 00                           | 4,504 69                | (110 02)          |
| TAUBMAN CENTERS INC CMN (876664103)            | 04/23/2010                  | 05/17/2010           | 136 00   | 5,638 44                   | 0 00                           | 5,837 20                | (198 76)          |
| UDR INC CMN (902653104)                        | 12/29/2009                  | 05/17/2010           | 1,041 00 | 21,581 82                  | 0 00                           | 17,582 44               | 3,999 38          |
| UDR INC CMN (902653104)                        | 12/30/2009                  | 05/17/2010           | 669 00   | 13,869 58                  | 0 00                           | 11,151 12               | 2,718 46          |
| UDR INC CMN (902653104)                        | 05/03/2010                  | 05/17/2010           | 324 00   | 6,717 11                   | 0 00                           | 6,913 30                | (196 19)          |
| VENTAS, INC CMN (92276F100)                    | 09/28/2009                  | 05/17/2010           | 1,170 00 | 55,510 95                  | 0 00                           | 45,998 88               | 9,512 07          |
| VENTAS, INC CMN (92276F100)                    | 10/02/2009                  | 05/17/2010           | 133 00   | 6,310 22                   | 0 00                           | 4,939 00                | 1,371 22          |

<sup>4</sup> Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

<sup>5</sup> Sale Proceeds may have been adjusted by an option premium due to an option assignment.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such. New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you. The information in this statement is not yet determined pursuant to such new legislation.

# REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

## SHORT TERM

| Description (Product Identifier)     | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds *     | Total Accretion (Amortization) | Cost Basis *        | Gain (Loss)       |
|--------------------------------------|-----------------------------|----------------------|----------|---------------------|--------------------------------|---------------------|-------------------|
| VENTAS, INC CMN (92276F100)          | 12/14/2009                  | 05/17/2010           | 553 00   | 26,237 23           | 0 00                           | 23,758 67           | 2,478 56          |
| VENTAS, INC CMN (92276F100)          | 03/10/2010                  | 05/17/2010           | 76 00    | 3,605 84            | 0 00                           | 3,412 82            | 193 02            |
| VORNADO REALTY TRUST CMN (929042109) | 09/28/2009                  | 05/17/2010           | 1,482 00 | 117,292 46          | 0 00                           | 97,999 16           | 19,293 29         |
| VORNADO REALTY TRUST CMN (929042109) | 12/09/2009                  | 05/17/2010           | 131 00   | 10,367 96           | 0 00                           | 9,104 51            | 1,263 45          |
| VORNADO REALTY TRUST CMN (929042109) | 12/14/2009                  | 05/17/2010           | 6 00     | 474 87              | 0 00                           | 425 40              | 49 47             |
| <b>NET SHORT TERM GAINS (LOSSES)</b> |                             |                      |          | <b>2,102,679.16</b> | <b>0.00</b>                    | <b>1,823,115.87</b> | <b>279,563.33</b> |

\* Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.  
 5 Sale Proceeds may have been adjusted by an option premium due to an option assignment



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such. New tax legislation effective 1/1/2011 will govern the manner in which we report tax basis information to you. The information in this statement is not yet determined pursuant to such new legislation.



# REALIZED GAIN AND LOSS SUMMARY

|                                                          |                  |                                                         |             |                                           |             |
|----------------------------------------------------------|------------------|---------------------------------------------------------|-------------|-------------------------------------------|-------------|
| Net Short Term Gains (Losses)                            | 38,214.82        | Net Long Term Gains (Losses)                            | 0.00        | Net Ordinary Gains (Losses)               | 0.00        |
| Net Miscellaneous Short Term Gains (Losses)              | 0.00             | Net Miscellaneous Long Term Gains (Losses)              | 0.00        | Net Miscellaneous Ordinary Gains (Losses) | 0.00        |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0.00             | Net Regulated Futures Contract Long Term Gains (Losses) | 0.00        |                                           |             |
| <b>Total Short Term Gains (Losses)</b>                   | <b>38,214.82</b> | <b>Total Long Term Gains (Losses)</b>                   | <b>0.00</b> | <b>Total Ordinary Gains (Losses)</b>      | <b>0.00</b> |

# SHORT TERM

| Description (Product Identifier)                                     | Date Acquired<br>or Sold Short | Date Sold<br>or Covered | Quantity  | Sale Proceeds <sup>5</sup> | Total Accretion<br>(Amortization) | Cost Basis <sup>4</sup> | Total<br>Gain (Loss) |
|----------------------------------------------------------------------|--------------------------------|-------------------------|-----------|----------------------------|-----------------------------------|-------------------------|----------------------|
| ISHARES BARCLAYS MBS FIXED RATE BOND FD ETF (464288588)              | 10/16/2009                     | 03/17/2010              | 390.00    | 41,920.56                  | 0.00                              | 41,695.84               | 224.72               |
| ISHARES BARCLAYS MBS FIXED RATE BOND FD ETF (464288588)              | 10/16/2009                     | 06/04/2010              | 17,280.00 | 1,874,284.97               | 0.00                              | 1,847,446.27            | 26,838.70            |
| ISHARES IBOX \$ INVESTMENT GRADE CORPORATE BOND FUND ETF (464287242) | 10/16/2009                     | 06/04/2010              | 3,520.00  | 370,932.02                 | 0.00                              | 366,722.05              | 4,209.97             |
| ISHARES IBOX \$ INVESTMENT GRADE CORPORATE BOND FUND ETF (464287242) | 11/03/2009                     | 10/14/2010              | 900.00    | 101,065.32                 | 0.00                              | 94,123.90               | 6,941.43             |
| <b>NET SHORT TERM GAINS (LOSSES)</b>                                 |                                |                         |           | <b>2,388,202.87</b>        | <b>0.00</b>                       | <b>2,349,988.06</b>     | <b>38,214.82</b>     |

<sup>4</sup> Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

<sup>5</sup> Sale Proceeds may have been adjusted by an option premium due to an option assignment.

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**Realized Gains & Losses**  
**696010704 : THE DHANAM FOUNDATION \*NEWGATE EMERGING MKTS\***  
**01/01/2010 to 12/31/2010**  
**Trade Date Reporting**

Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                                                           | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|--------------------------------------------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                                                                |                  |              |           |           | Short<br>Term | Long<br>Term |
| 223 000                 | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF                        | 06/12/2008       | 01/05/2010   | 12,210.25 | 10,971.84 |               | (1,238.41)   |
| 32 000                  | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF                        | 05/30/2008       | 01/05/2010   | 1,898.89  | 1,574.44  |               | (324.45)     |
| 106 000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS                                        | 10/09/2008       | 01/06/2010   | 1,241.53  | 1,960.55  |               | 719.02       |
| 284 000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS                                        | 08/11/2008       | 01/06/2010   | 4,933.40  | 5,252.78  |               | 319.38       |
| 128 000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS                                        | 04/04/2008       | 01/06/2010   | 1,967.20  | 2,367.45  |               | 400.25       |
| 700 000                 | YANZHOU COAL MINING CO LTD<br>AMERICAN DEPOSITORY SHAR                         | 10/21/2009       | 01/07/2010   | 10,902.92 | 17,662.64 | 6,759.72      |              |
| 283 000                 | BANCO BRADESCO S A ADR PFD NEW<br>PHILIPPINE LONG DISTANCE TEL CO<br>SPONS ADR | 12/01/2009       | 01/26/2010   |           | 3.44      | 3.44          |              |
|                         |                                                                                | 06/15/2009       | 01/26/2010   | 14,700.18 | 16,349.69 | 1,649.51      |              |
| 109 000                 | PHILIPPINE LONG DISTANCE TEL CO<br>SPONS ADR                                   | 12/05/2008       | 01/26/2010   | 5,225.91  | 6,297.23  |               | 1,071.32     |
| 239 000                 | PHILIPPINE LONG DISTANCE TEL CO<br>SPONS ADR                                   | 11/04/2008       | 01/26/2010   | 10,137.62 | 13,807.69 |               | 3,670.07     |
| 369 000                 | GRUPO TELEVISIA SA ADR                                                         | 11/17/2008       | 01/27/2010   | 5,209.28  | 7,189.16  |               | 1,979.88     |
| 52 000                  | GRUPO TELEVISIA SA ADR                                                         | 11/13/2008       | 01/27/2010   | 778.32    | 1,013.11  |               | 234.79       |
| 122 000                 | GRUPO TELEVISIA SA ADR                                                         | 11/06/2008       | 01/27/2010   | 1,958.51  | 2,376.91  |               | 418.40       |
| 696 000                 | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF                               | 12/12/2008       | 02/03/2010   | 5,522.69  | 8,351.05  |               | 2,828.36     |
| 325 000                 | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF                               | 12/10/2008       | 02/03/2010   | 2,641.34  | 3,899.56  |               | 1,258.22     |
| 218 000                 | ISHRES FTSE CHINA 25 INDEX                                                     | 11/14/2008       | 02/08/2010   | 5,605.06  | 8,132.41  |               | 2,527.35     |
| 302 000                 | ISHRES FTSE CHINA 25 INDEX                                                     | 11/06/2008       | 02/08/2010   | 7,174.49  | 11,265.99 |               | 4,091.50     |
| 253 000                 | ISHRES FTSE CHINA 25 INDEX                                                     | 10/24/2008       | 02/08/2010   | 5,559.98  | 9,438.07  |               | 3,878.09     |
| 457 000                 | ISHRES FTSE CHINA 25 INDEX                                                     | 10/24/2008       | 02/08/2010   | 10,043.12 | 17,232.98 |               | 7,189.86     |
| 3 000                   | TEVA PHARM                                                                     | 12/11/2008       | 02/08/2010   | 130.10    | 171.16    |               | 41.06        |



## Realized Gains & Losses

696010704 : THE DHANAM FOUNDATION \*NEWGATE EMERGING MKTS\*

01/01/2010 to 12/31/2010

## Trade Date Reporting

Valuation Currency: USD

Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                      | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|-------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                           |                  |              |           |           | Short<br>Term | Long<br>Term |
| 80 000                  | TEVA PHARM                                | 11/06/2008       | 02/08/2010   | 3,209 02  | 4,564 32  |               | 1,355 30     |
| 31 000                  | TEVA PHARM                                | 10/15/2008       | 02/08/2010   | 1,222 11  | 1,768 68  |               | 546 57       |
|                         | CHUNGHWA TELECOM CO LTD ADR               | 03/31/2009       | 02/10/2010   | 16 93     | 16 93     |               |              |
| 41 000                  | BAIDU ADR                                 | 02/06/2009       | 02/22/2010   | 5,123 61  | 20,757 99 |               | 15,634 38    |
| 5 000                   | BAIDU ADR                                 | 12/17/2008       | 02/22/2010   | 646 84    | 2,531 46  |               | 1,884 62     |
| 30 000                  | BAIDU ADR                                 | 11/19/2008       | 02/22/2010   | 3,499 80  | 15,188 78 |               | 11,688 98    |
| 237 000                 | TURKCELL ILETISIM HIZMET<br>SPONS ADR NEW | 06/24/2008       | 02/23/2010   | 3,773 91  | 3,718 91  |               | (55 00)      |
| 340 000                 | TURKCELL ILETISIM HIZMET<br>SPONS ADR NEW | 05/16/2008       | 02/23/2010   | 6,622 01  | 5,335 14  |               | (1,286 87)   |
| 280 000                 | PETROLEO BRASILIERO                       | 09/25/2006       | 03/24/2010   | 4,812 11  | 11,275 62 |               | 6,463 51     |
| 440 000                 | PETROBRAS SA ADR (R)                      | 09/21/2006       | 03/24/2010   | 7,834 45  | 17,718 83 |               | 9,884 38     |
| 82 000                  | PETROLEO BRASILIERO                       | 07/06/2006       | 03/24/2010   | 1,627 14  | 3,302 15  |               | 1,675 01     |
| 96 000                  | PETROCHINA CO LTD ADR                     | 04/08/2009       | 03/26/2010   | 8,016 60  | 10,749 64 | 2,733 04      |              |
| 62 000                  | PETROCHINA CO LTD ADR                     | 12/11/2008       | 03/26/2010   | 5,878 80  | 6,942 47  |               | 1,063 67     |
| 23 000                  | PETROCHINA CO LTD ADR                     | 12/10/2008       | 03/26/2010   | 2,162 59  | 2,575 43  |               | 412 84       |
| 10 000                  | MECHEL OAO ADR                            | 01/28/2010       | 04/07/2010   | 209 46    | 296 67    | 87 21         |              |
| 284 000                 | MECHEL OAO ADR                            | 01/21/2010       | 04/07/2010   | 6,428 11  | 8,425 34  | 1,997 23      |              |
| 358 000                 | MELCO PBL ENTMT MACAU LTD ADR             | 10/12/2009       | 04/09/2010   | 2,453 37  | 1,994 03  | (459 34)      |              |
| 2,380 000               | MELCO PBL ENTMT MACAU LTD ADR             | 09/30/2009       | 04/09/2010   | 15,985 98 | 13,256 37 | (2,729 61)    |              |
| 2,112 000               | MELCO PBL ENTMT MACAU LTD ADR             | 09/28/2009       | 04/09/2010   | 15,307 78 | 11,763 64 | (3,544 14)    |              |
| 71 000                  | ISHARES MSCI TURKEY INDEX FUND E<br>TF    | 04/02/2009       | 04/12/2010   | 1,891 67  | 4,367 85  |               | 2,476 18     |
| 28 000                  | ISHARES MSCI TURKEY INDEX FUND E<br>TF    | 08/08/2008       | 04/12/2010   | 1,528 00  | 1,722 53  |               | 194 53       |
| 222 000                 | ISHARES MSCI TURKEY INDEX FUND E<br>TF    | 07/14/2008       | 04/12/2010   | 10,180 30 | 13,657 23 |               | 3,476 93     |

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Realized Gains & Losses  
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01/01/2010 to 12/31/2010  
Trade Date Reporting

Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                      | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|-------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                           |                  |              |           |           | Short<br>Term | Long<br>Term |
| 776 000                 | LAS VEGAS SANDS                           | 12/07/2009       | 04/23/2010   | 12,463.49 | 19,587.92 | 7,124.43      |              |
| 204 000                 | WYNN RESORTS                              | 09/30/2009       | 04/23/2010   | 14,580.98 | 18,289.96 | 3,708.98      |              |
| 101 000                 | LAS VEGAS SANDS                           | 12/10/2009       | 04/26/2010   | 1,545.39  | 2,623.13  | 1,077.74      |              |
| 257 000                 | LAS VEGAS SANDS                           | 12/07/2009       | 04/26/2010   | 4,127.73  | 6,674.69  | 2,546.96      |              |
|                         | MOBILE TELESYSTEMS SP ADR                 | 12/02/2009       | 04/30/2010   |           | 9.55      | 9.55          |              |
| 281 000                 | TURKCELL ILETISIM HIZMET<br>SPONS ADR NEW | 07/01/2008       | 05/07/2010   | 3,965.75  | 3,869.91  |               | (95.84)      |
| 107 000                 | TURKCELL ILETISIM HIZMET<br>SPONS ADR NEW | 06/24/2008       | 05/07/2010   | 1,703.84  | 1,473.60  |               | (230.24)     |
|                         | COMPANHIA ENERGETICA<br>DE MINAS GERAIS   | 01/26/2009       | 05/10/2010   |           | 9.48      |               | 9.48         |
| 40 000                  | CHINA LIFE INSURANCE CO ADR               | 07/14/2008       | 05/14/2010   | 2,154.62  | 2,575.21  |               | 420.59       |
| 430 000                 | CHINA LIFE INSURANCE CO ADR               | 07/10/2008       | 05/14/2010   | 23,284.33 | 27,683.48 |               | 4,399.15     |
| 259 000                 | SASOL LIMITED SPONSORED ADR               | 12/10/2008       | 05/14/2010   | 7,733.90  | 9,496.59  |               | 1,762.69     |
| 177 000                 | SASOL LIMITED SPONSORED ADR               | 06/16/2008       | 05/14/2010   | 10,634.26 | 6,489.94  |               | (4,144.32)   |
| 254 000                 | OAO GAZPROM ADR LEVEL 1 ADR<br>PROGRAM    | 06/04/2007       | 05/21/2010   | 9,735.06  | 4,867.77  |               | (4,867.29)   |
| 18 000                  | OAO GAZPROM ADR LEVEL 1 ADR<br>PROGRAM    | 04/13/2007       | 05/21/2010   | 768.60    | 344.96    |               | (423.64)     |
| 109 000                 | OAO GAZPROM ADR LEVEL 1 ADR<br>PROGRAM    | 03/22/2007       | 05/21/2010   | 4,396.02  | 2,088.93  |               | (2,307.09)   |
|                         | COMPANHIA DE BEBIDAS-PR ADR               | 10/09/2008       | 06/07/2010   |           | 1.58      |               | 1.58         |
| 1,528 000               | AU OPTRONICS CORP SPONSORED ADR           | 12/03/2009       | 06/17/2010   | 16,470.46 | 14,840.60 | (1,629.86)    |              |
| 1,254 000               | AU OPTRONICS CORP SPONSORED ADR           | 03/27/2009       | 06/17/2010   | 10,923.15 | 12,179.39 |               | 1,256.24     |
| 426 000                 | BANCO BRADESCO S A ADR PFD NEW            | 12/01/2009       | 06/18/2010   | 8,585.60  | 7,456.87  | (1,128.73)    |              |
| 318 000                 | BANCO BRADESCO S A ADR PFD NEW            | 11/19/2008       | 06/18/2010   | 2,618.34  | 5,566.40  |               | 2,948.06     |
| 224 000                 | BANCO BRADESCO S A ADR PFD NEW            | 10/20/2008       | 06/18/2010   | 2,496.61  | 3,920.99  |               | 1,424.38     |
| 234 000                 | BANCO BRADESCO S A ADR PFD NEW            | 10/09/2008       | 06/18/2010   | 2,449.41  | 4,096.03  |               | 1,646.62     |
| 87 000                  | BANCO BRADESCO S A ADR PFD NEW            | 10/02/2008       | 06/18/2010   | 1,185.28  | 1,522.88  |               | 337.60       |



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## Realized Gains &amp; Losses

Valuation Currency: USD  
Sort Order: Closing Date

696010704 : THE DHANAM FOUNDATION \*NEWGATE EMERGING MKTS\*

01/01/2010 to 12/31/2010

## Trade Date Reporting

| Shares or<br>Face Value | Security Description                                      | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|-----------------------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                                           |                  |              |           |           | Short<br>Term | Long<br>Term |
| 35 000                  | CREDICORP LTD USD5 0 ORDS (US LI<br>STING OF PERUVIAN CO) | 02/03/2009       | 06/18/2010   | 1,387.59  | 3,312.14  |               | 1,924.55     |
| 44.000                  | CREDICORP LTD USD5 0 ORDS (US LI<br>STING OF PERUVIAN CO) | 01/28/2009       | 06/18/2010   | 1,939.00  | 4,163.83  |               | 2,224.83     |
| 62 000                  | CREDICORP LTD USD5 0 ORDS (US LI<br>STING OF PERUVIAN CO) | 12/15/2008       | 06/18/2010   | 2,677.60  | 5,867.21  |               | 3,189.61     |
| 15 000                  | CREDICORP LTD USD5 0 ORDS (US LI<br>STING OF PERUVIAN CO) | 11/19/2008       | 06/18/2010   | 587.06    | 1,419.49  |               | 832.43       |
| 871 000                 | GOLD FIELDS LTD SP ADR                                    | 01/15/2009       | 06/18/2010   | 6,582.23  | 12,288.46 |               | 5,706.23     |
| 190 000                 | GOLD FIELDS LTD SP ADR                                    | 12/30/2008       | 06/18/2010   | 1,826.79  | 2,680.61  |               | 853.82       |
| 66 000                  | GOLD FIELDS LTD SP ADR                                    | 12/18/2008       | 06/18/2010   | 571.76    | 931.16    |               | 359.40       |
| 248 000                 | GOLD FIELDS LTD SP ADR                                    | 12/17/2008       | 06/18/2010   | 2,431.84  | 3,498.90  |               | 1,067.06     |
| 521 000                 | GOLD FIELDS LTD SP ADR                                    | 12/15/2008       | 06/18/2010   | 5,092.98  | 7,350.51  |               | 2,257.53     |
| 56 000                  | DESARROLLADORA HOMEX SAB DE CV                            | 06/16/2008       | 06/21/2010   | 3,654.62  | 1,582.59  |               | (2,072.03)   |
| 178 000                 | DESARROLLADORA HOMEX SAB DE CV                            | 02/14/2008       | 06/21/2010   | 9,887.53  | 5,030.39  |               | (4,857.14)   |
| 13 000                  | DESARROLLADORA HOMEX SAB DE CV                            | 01/16/2008       | 06/21/2010   | 647.67    | 367.39    |               | (280.28)     |
| 347.000                 | TURKCELL ILETISIM HIZMET<br>SPONS ADR NEW                 | 12/30/2008       | 06/23/2010   | 4,808.66  | 4,600.90  |               | (207.76)     |
| 471.000                 | TURKCELL ILETISIM HIZMET<br>SPONS ADR NEW                 | 09/19/2008       | 06/23/2010   | 7,389.99  | 6,245.02  |               | (1,144.97)   |
| 8 000                   | TURKCELL ILETISIM HIZMET<br>SPONS ADR NEW                 | 08/13/2008       | 06/23/2010   | 138.78    | 106.07    |               | (32.71)      |
| 182 000                 | TURKCELL ILETISIM HIZMET<br>SPONS ADR NEW                 | 07/01/2008       | 06/23/2010   | 2,568.57  | 2,413.15  |               | (155.42)     |
| 611 000                 | BRF - BRASIL FOODS SA ADR                                 | 07/22/2009       | 06/24/2010   | 6,380.05  | 8,716.80  | 2,336.75      |              |
| 89 000                  | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF   | 07/23/2008       | 06/24/2010   | 4,561.25  | 4,232.79  |               | (328.46)     |
| 204 000                 | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF   | 06/12/2008       | 06/24/2010   | 11,169.92 | 9,702.14  |               | (1,467.78)   |
| 176 000                 | ISHRES FTSE CHINA 25 INDEX                                | 01/29/2009       | 07/06/2010   | 4,400.07  | 6,965.24  |               | 2,565.17     |

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**Realized Gains & Losses**  
**696010704 : THE DHANAM FOUNDATION \*NEWGATE EMERGING MKTS\***  
**01/01/2010 to 12/31/2010**  
**Trade Date Reporting**

Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                                    | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|---------------------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                                         |                  |              |           |           | Short<br>Term | Long<br>Term |
| 195 000                 | ISHRES FTSE CHINA 25 INDEX                              | 01/14/2009       | 07/06/2010   | 4,909 94  | 7,717 17  |               | 2,807 23     |
| 67 000                  | ISHRES FTSE CHINA 25 INDEX                              | 01/14/2009       | 07/06/2010   | 1,687 01  | 2,687 02  |               | 1,000 01     |
| 388 000                 | ISHRES FTSE CHINA 25 INDEX                              | 11/14/2008       | 07/06/2010   | 9,975 99  | 15,560 67 |               | 5,584 68     |
| 690 000                 | ISHRES FTSE CHINA 25 INDEX                              | 01/29/2009       | 07/07/2010   | 17,250 28 | 27,434 07 |               | 10,183 79    |
| 184 000                 | CHINA MOBILE LTD FORMERLY<br>CHINA MOBILE HK LTD SP-ADR | 04/13/2009       | 07/12/2010   | 8,443 93  | 9,358 10  |               | 914 17       |
| 83 000                  | CHINA MOBILE LTD FORMERLY<br>CHINA MOBILE HK LTD SP-ADR | 04/03/2009       | 07/12/2010   | 3,728 75  | 4,221 32  |               | 492 57       |
| 200 000                 | CHINA MOBILE LTD FORMERLY<br>CHINA MOBILE HK LTD SP-ADR | 01/29/2009       | 07/12/2010   | 9,007 50  | 10,171 85 |               | 1,164 35     |
| 65 000                  | CHINA MOBILE LTD FORMERLY<br>CHINA MOBILE HK LTD SP-ADR | 01/14/2009       | 07/12/2010   | 2,933 46  | 3,305 85  |               | 372 39       |
| 244 000                 | CHINA MOBILE LTD FORMERLY<br>CHINA MOBILE HK LTD SP-ADR | 09/22/2008       | 07/12/2010   | 13,059 20 | 12,409 65 |               | (649 55)     |
| 153 000                 | EMPRESA NACIONAL DE<br>ELECTRICIDAD SA ENDE             | 10/13/2009       | 07/28/2010   | 7,192 45  | 7,728 47  | 536 02        |              |
| 156 000                 | EMPRESA NACIONAL DE<br>ELECTRICIDAD SA ENDE             | 10/13/2009       | 07/30/2010   | 7,333 49  | 7,731 10  | 397 61        |              |
| 41 000                  | TEVA PHARM                                              | 01/13/2009       | 07/30/2010   | 1,721 29  | 1,986 21  |               | 264 92       |
| 142 000                 | TEVA PHARM                                              | 01/06/2009       | 07/30/2010   | 5,948 76  | 6,879 08  |               | 930 32       |
| 2 000                   | TEVA PHARM                                              | 12/11/2008       | 07/30/2010   | 86 73     | 96 89     |               | 10 16        |
| 91 000                  | COMPANHIA DE BEBIDAS-PR ADR                             | 10/14/2008       | 08/02/2010   | 4,322 62  | 9,927 29  |               | 5,604 67     |
| 14 000                  | COMPANHIA DE BEBIDAS-PR ADR                             | 10/09/2008       | 08/02/2010   | 588 57    | 1,527 28  |               | 938 71       |
| 212 000                 | FOMENTO ECONOMICO MEXICANO SAB<br>DE CV ADR             | 02/26/2008       | 08/02/2010   | 9,098 66  | 10,583 20 |               | 1,484 54     |
| 46 000                  | GERDAU SA -SPON ADR                                     | 02/25/2008       | 08/02/2010   | 725 09    | 690 49    |               | (34 60)      |
| 118 000                 | GERDAU SA -SPON ADR                                     | 09/06/2007       | 08/02/2010   | 1,429 33  | 1,771 25  |               | 341 92       |
| 608 000                 | GERDAU SA -SPON ADR                                     | 10/18/2006       | 08/02/2010   | 4,531 21  | 9,126 47  |               | 4,595 26     |
| 792 000                 | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF        | 01/07/2009       | 08/03/2010   | 6,024 43  | 10,145 35 |               | 4,120 92     |



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## Realized Gains &amp; Losses

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## Trade Date Reporting

Valuation Currency: USD

Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                                    | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|---------------------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                                         |                  |              |           |           | Short<br>Term | Long<br>Term |
| 672 000                 | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF        | 12/12/2008       | 08/03/2010   | 5,332.25  | 8,608.17  |               | 3,275.92     |
| 129,000                 | ISHARES MSCI TURKEY INDEX FUNDE<br>TF                   | 09/24/2009       | 08/17/2010   | 6,433.84  | 7,952.77  | 1,518.93      |              |
| 56 000                  | ISHARES MSCI TURKEY INDEX FUNDE<br>TF                   | 04/02/2009       | 08/17/2010   | 1,492.02  | 3,452.37  |               | 1,960.35     |
| 352 000                 | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 07/23/2008       | 08/30/2010   | 18,040.00 | 16,914.58 |               | (1,125.42)   |
| 121 000                 | TEVA PHARM                                              | 03/20/2009       | 09/21/2010   | 5,353.45  | 6,696.44  |               | 1,342.99     |
| 145 000                 | TEVA PHARM                                              | 01/22/2009       | 09/21/2010   | 6,163.31  | 8,024.66  |               | 1,861.35     |
| 139 000                 | TEVA PHARM                                              | 01/13/2009       | 09/21/2010   | 5,835.61  | 7,692.60  |               | 1,856.99     |
| 392 000                 | BRASIL TELECOM SA ADR                                   | 11/25/2009       | 09/23/2010   | 12,275.48 | 7,853.03  | (4,422.45)    |              |
| 5 000                   | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 12/01/2008       | 10/01/2010   | 112.46    | 271.72    |               | 159.26       |
| 328 000                 | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 11/17/2008       | 10/01/2010   | 8,069.69  | 17,824.83 |               | 9,755.14     |
| 23 000                  | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 10/28/2008       | 10/01/2010   | 529.21    | 1,249.91  |               | 720.70       |
| 56 000                  | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 08/18/2008       | 10/01/2010   | 2,691.77  | 3,043.26  |               | 351.49       |
| 770 000                 | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 08/12/2008       | 10/01/2010   | 38,010.20 | 41,844.86 |               | 3,834.66     |
| 191 000                 | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 07/24/2008       | 10/01/2010   | 9,882.95  | 10,379.70 |               | 496.75       |
| 13 000                  | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 07/23/2008       | 10/01/2010   | 666.25    | 706.47    |               | 40.22        |
| 172 000                 | TEVA PHARM                                              | 05/08/2009       | 10/01/2010   | 7,686.27  | 9,227.46  |               | 1,541.19     |
| 5 000                   | TEVA PHARM                                              | 03/20/2009       | 10/01/2010   | 221.22    | 268.24    |               | 47.02        |
| 42 000                  | SASOL LIMITED SPONSORED ADR                             | 01/02/2009       | 10/04/2010   | 1,362.48  | 1,896.24  |               | 533.76       |
| 20,000                  | SASOL LIMITED SPONSORED ADR                             | 12/17/2008       | 10/04/2010   | 599.65    | 902.97    |               | 303.32       |
| 209 000                 | SASOL LIMITED SPONSORED ADR                             | 12/15/2008       | 10/04/2010   | 6,007.89  | 9,436.04  |               | 3,428.15     |

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01/01/2010 to 12/31/2010  
Trade Date Reporting

Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                                      | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|-----------------------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                                           |                  |              |           |           | Short<br>Term | Long<br>Term |
| 19 000                  | SASOL LIMITED SPONSORED ADR                               | 12/11/2008       | 10/04/2010   | 578.56    | 857.82    |               | 279.26       |
| 57 000                  | SASOL LIMITED SPONSORED ADR                               | 12/10/2008       | 10/04/2010   | 1,702.05  | 2,573.47  |               | 871.42       |
| 14 000                  | ANGLOGOLD ASHANTI LIMITED ADR<br>NEW                      | 12/17/2008       | 10/05/2010   | 377.45    | 661.90    |               | 284.45       |
| 276 000                 | ANGLOGOLD ASHANTI LIMITED ADR<br>NEW                      | 12/12/2008       | 10/05/2010   | 7,403.42  | 13,048.80 |               | 5,645.38     |
| 1,093 000               | BANCO BRADESCO S.A. ADR PFD NEW                           | 09/21/2010       | 10/05/2010   | 20,710.82 | 22,747.67 | 2,036.85      |              |
| 288 000                 | GRUPO TELEvisa S.A. ADR                                   | 07/29/2010       | 10/05/2010   | 5,332.06  | 5,770.06  | 438.00        |              |
| 156 000                 | GRUPO TELEvisa S.A. ADR                                   | 07/28/2010       | 10/05/2010   | 2,942.32  | 3,125.45  | 183.13        |              |
| 131 000                 | TEVA PHARM                                                | 09/16/2009       | 10/05/2010   | 6,732.98  | 6,988.27  |               | 255.29       |
| 67 000                  | TEVA PHARM                                                | 05/08/2009       | 10/05/2010   | 2,994.07  | 3,574.16  |               | 580.09       |
| 401 000                 | VIMPELCOM                                                 | 05/07/2010       | 10/05/2010   | 6,102.62  | 5,653.08  | (449.54)      |              |
| 181 000                 | VIMPELCOM                                                 | 12/02/2009       | 10/05/2010   | 3,643.84  | 2,551.64  | (1,092.20)    |              |
| 356 000                 | VIMPELCOM                                                 | 03/13/2009       | 10/05/2010   | 2,049.71  | 5,018.69  |               | 2,968.98     |
| 296 000                 | VIMPELCOM                                                 | 01/14/2009       | 10/05/2010   | 2,113.26  | 4,172.85  |               | 2,059.59     |
| 43 000                  | BRASIL TELECOM S.A. ADR                                   | 12/18/2009       | 10/06/2010   | 1,243.85  | 894.52    | (349.33)      |              |
| 19 000                  | BRASIL TELECOM S.A. ADR                                   | 11/25/2009       | 10/06/2010   | 594.99    | 395.25    | (199.74)      |              |
| 59 000                  | BRASIL TELECOM S.A. ADR                                   | 11/25/2009       | 10/06/2010   | 1,847.59  | 1,227.36  | (620.23)      |              |
| 391 000                 | BRASIL TELECOM S.A. ADR                                   | 11/25/2009       | 10/06/2010   | 12,244.17 | 8,133.87  | (4,110.30)    |              |
| 92 000                  | BRASIL TELECOM S.A. ADR                                   | 11/25/2009       | 10/06/2010   | 2,880.98  | 1,913.85  | (967.13)      |              |
| 1,018 000               | ETFS GLB X CHN INDUST                                     | 12/09/2009       | 10/06/2010   | 16,318.44 | 17,397.32 | 1,078.88      |              |
| 1,025 000               | ETFS GLB X CHN INDUST                                     | 12/03/2009       | 10/06/2010   | 16,942.12 | 17,516.95 | 574.83        |              |
| 1,004 000               | ETFS GLB X CHN INDUST                                     | 12/03/2009       | 10/06/2010   | 16,593.21 | 17,158.07 | 564.86        |              |
| 1,872 000               | GLOBL X CHN FNCL                                          | 12/16/2009       | 10/06/2010   | 27,411.51 | 26,469.63 | (941.88)      |              |
| 1,896 000               | GLOBL X CHN FNCL                                          | 12/11/2009       | 10/06/2010   | 28,927.08 | 26,808.98 | (2,118.10)    |              |
| 124 000                 | CREDICORP LTD USD5 0 ORDS (US LI<br>STING OF PERUVIAN CO) | 02/09/2009       | 10/07/2010   | 4,970.59  | 14,611.70 |               | 9,641.11     |
| 112 000                 | CREDICORP LTD USD5 0 ORDS (US LI<br>STING OF PERUVIAN CO) | 02/03/2009       | 10/07/2010   | 4,440.30  | 13,197.67 |               | 8,757.37     |



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#### Realized Gains & Losses

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Valuation Currency: USD

Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                                    | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|---------------------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                                         |                  |              |           |           | Short<br>Term | Long<br>Term |
| 365 000                 | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 04/23/2009       | 10/07/2010   | 11,969.34 | 20,243.14 |               | 8,273.80     |
| 546 000                 | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 01/05/2009       | 10/07/2010   | 15,964.71 | 30,281.52 |               | 14,316.81    |
| 43 000                  | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 12/30/2008       | 10/07/2010   | 1,180.55  | 2,384.81  |               | 1,204.26     |
| 414 000                 | ISHARES INC MSCI SOUTH KOREA<br>INDEX FUND EUROPEAN ETF | 12/01/2008       | 10/07/2010   | 9,312.07  | 22,960.71 |               | 13,648.64    |
| 65 000                  | BRASIL TLCM C                                           | 12/18/2009       | 10/08/2010   | 1,040.73  | 581.78    | (458.95)      |              |
| 11 000                  | BRASIL TLCM C                                           | 11/25/2009       | 10/08/2010   | 183.98    | 98.46     | (85.52)       |              |
| 33 000                  | BRASIL TLCM C                                           | 11/25/2009       | 10/08/2010   | 551.93    | 295.37    | (256.56)      |              |
| 496 000                 | BRASIL TLCM C                                           | 11/25/2009       | 10/08/2010   | 8,295.60  | 4,439.46  | (3,856.14)    |              |
| 154 000                 | EMPRESA NACIONAL DE<br>ELECTRICIDAD SA ENDE             | 04/27/2010       | 10/08/2010   | 7,132.03  | 8,299.75  | 1,167.72      |              |
| 268 000                 | EMPRESA NACIONAL DE<br>ELECTRICIDAD SA ENDE             | 12/03/2009       | 10/08/2010   | 13,034.47 | 14,443.72 | 1,409.25      |              |
| 5 000                   | EMPRESA NACIONAL DE<br>ELECTRICIDAD SA ENDE             | 10/15/2009       | 10/08/2010   | 238.34    | 269.47    | 31.13         |              |
| 37 000                  | EMPRESA NACIONAL DE<br>ELECTRICIDAD SA ENDE             | 10/13/2009       | 10/08/2010   | 1,739.35  | 1,994.10  | 254.75        |              |
| 256 000                 | IPATH MSCI INDIA INDEX ETN                              | 04/07/2009       | 10/08/2010   | 8,419.99  | 20,205.61 |               | 11,785.62    |
| 128 000                 | IPATH MSCI INDIA INDEX ETN                              | 12/17/2008       | 10/08/2010   | 4,210.81  | 10,102.80 |               | 5,891.99     |
| 752 000                 | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF        | 04/29/2009       | 10/08/2010   | 7,231.23  | 10,307.41 |               | 3,076.18     |
| 1,336 000               | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF        | 02/06/2009       | 10/08/2010   | 9,829.09  | 18,312.11 |               | 8,483.02     |
| 759 000                 | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF        | 01/07/2009       | 10/08/2010   | 5,773.41  | 10,403.36 |               | 4,629.95     |
| 149 000                 | CTRIP COM INTL ADR                                      | 07/06/2010       | 10/11/2010   | 4,842.54  | 6,830.93  | 1,988.39      |              |
| 213 000                 | IPATH MSCI INDIA INDEX ETN                              | 04/07/2009       | 10/12/2010   | 7,005.70  | 16,707.39 |               | 9,701.69     |

# Morgan Stanley

## Private Wealth Management

### Supplemental Report

555 California Street  
San Francisco, CA 94104  
(415) 576-2004

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| Shares or<br>Face Value | Security Description                             | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|--------------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                                  |                  |              |           |           | Short<br>Term | Long<br>Term |
| 143 000                 | ISHARES INC MSCI SOUTH AFRICA<br>INDEX FD        | 11/13/2008       | 10/12/2010   | 4,311 42  | 9,832 51  |               | 5,521 09     |
| 276 000                 | ISHARES INC MSCI SOUTH AFRICA<br>INDEX FD        | 11/07/2008       | 10/12/2010   | 8,791 07  | 18,977 44 |               | 10,186 37    |
| 97 000                  | ISHARES INC MSCI SOUTH AFRICA<br>INDEX FD        | 09/18/2008       | 10/12/2010   | 4,454 38  | 6,669 61  |               | 2,215 23     |
| 987 000                 | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF | 06/01/2009       | 10/12/2010   | 11,261 67 | 13,120 06 |               | 1,858 39     |
| 1,417 000               | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF | 04/29/2009       | 10/12/2010   | 13,625 87 | 18,836 00 |               | 5,210 13     |
| 251 000                 | IPATH MSCI INDIA INDEX ETN                       | 06/24/2010       | 10/13/2010   | 16,301 27 | 20,144 02 | 3,842 75      |              |
| 231 000                 | IPATH MSCI INDIA INDEX ETN                       | 06/10/2009       | 10/13/2010   | 12,176 40 | 18,538 91 |               | 6,362 51     |
| 201 000                 | IPATH MSCI INDIA INDEX ETN                       | 05/08/2009       | 10/13/2010   | 7,933 61  | 16,131 26 |               | 8,197 65     |
| 213 000                 | IPATH MSCI INDIA INDEX ETN                       | 04/07/2009       | 10/13/2010   | 7,005 70  | 17,094 32 |               | 10,088 62    |
| 189 000                 | KOREA FD INC                                     | 04/06/2009       | 10/13/2010   | 4,719 56  | 7,999 48  |               | 3,279 92     |
| 125 000                 | KOREA FD INC                                     | 03/16/2009       | 10/13/2010   | 2,468 25  | 5,290 66  |               | 2,822 41     |
| 394 000                 | KOREA FD INC                                     | 02/02/2009       | 10/13/2010   | 8,664 06  | 16,676 16 |               | 8,012 10     |
| 776 000                 | BRF - BRASIL FOODS SA ADR                        | 11/19/2009       | 10/14/2010   | 9,258 61  | 11,814 32 | 2,555 71      |              |
| 416 000                 | BRF - BRASIL FOODS SA ADR                        | 10/05/2009       | 10/14/2010   | 5,413 01  | 6,333 45  |               | 920 44       |
| 729 000                 | BRF - BRASIL FOODS SA ADR                        | 07/22/2009       | 10/14/2010   | 7,612 20  | 11,098 76 |               | 3,486 56     |
| 183 000                 | ISHARES INC MSCI SOUTH AFRICA<br>INDEX FD        | 12/01/2008       | 10/14/2010   | 5,956 83  | 12,903 16 |               | 6,946 33     |
| 324 000                 | ISHARES INC MSCI SOUTH AFRICA<br>INDEX FD        | 11/13/2008       | 10/14/2010   | 9,768 54  | 22,844 95 |               | 13,076 41    |
| 490 000                 | ISHARES INC MSCI SOUTH AFRICA<br>INDEX FD        | 03/27/2009       | 10/15/2010   | 17,891 52 | 34,140 81 |               | 16,249 29    |
| 322 000                 | ISHARES INC MSCI SOUTH AFRICA<br>INDEX FD        | 02/04/2009       | 10/15/2010   | 10,720 06 | 22,435 39 |               | 11,715 33    |
| 239 000                 | ISHARES INC MSCI SOUTH AFRICA<br>INDEX FD        | 02/03/2009       | 10/15/2010   | 7,944 24  | 16,652 35 |               | 8,708 11     |



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**Realized Gains & Losses**  
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**Trade Date Reporting**

Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                             | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|--------------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                                  |                  |              |           |           | Short<br>Term | Long<br>Term |
| 344,000                 | ISHARES INC MSCI SOUTH AFRICA<br>INDEX FD        | 01/14/2009       | 10/15/2010   | 11,286.71 | 23,968.24 |               | 12,681.53    |
| 130,000                 | ISHARES INC MSCI SOUTH AFRICA<br>INDEX FD        | 12/04/2008       | 10/15/2010   | 4,239.51  | 9,057.77  |               | 4,818.26     |
| 67,000                  | ISHARES INC MSCI SOUTH AFRICA<br>INDEX FD        | 12/01/2008       | 10/15/2010   | 2,180.92  | 4,668.23  |               | 2,487.31     |
| 585,000                 | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF | 01/05/2010       | 10/15/2010   | 7,733.70  | 7,944.17  | 210.47        |              |
| 161,000                 | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF | 10/09/2009       | 10/15/2010   | 1,979.87  | 2,186.34  |               | 206.47       |
| 2,027,000               | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF | 10/08/2009       | 10/15/2010   | 24,919.74 | 27,526.19 |               | 2,606.45     |
| 48,000                  | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF | 06/01/2009       | 10/15/2010   | 547.68    | 651.83    |               | 104.15       |
| 584,000                 | SK TELECOM CO LTD AD<br>R (SPONSORED) REP 1      | 01/26/2009       | 10/18/2010   | 10,042.93 | 10,799.37 |               | 756.44       |
| 272,000                 | SK TELECOM CO LTD AD<br>R (SPONSORED) REP 1      | 01/14/2009       | 10/18/2010   | 4,505.11  | 5,029.85  |               | 524.74       |
| 85,000                  | SK TELECOM CO LTD AD<br>R (SPONSORED) REP 1      | 01/08/2009       | 10/18/2010   | 1,463.56  | 1,571.83  |               | 108.27       |
| 2,215,000               | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF | 06/16/2010       | 10/19/2010   | 26,070.55 | 28,860.96 | 2,790.41      |              |
| 537,000                 | ISHARES TAIWAN WEBS INDEX COMSTK<br>EUROPEAN ETF | 01/05/2010       | 10/19/2010   | 7,099.14  | 6,996.99  | (102.15)      |              |
| 66,000                  | SILICONWARE PRECISION ADR                        | 10/08/2009       | 10/20/2010   | 468.63    | 348.39    |               | (120.24)     |
| 3,586,000               | SILICONWARE PRECISION ADR                        | 10/07/2009       | 10/20/2010   | 25,604.04 | 18,929.46 |               | (6,674.58)   |
| 473,000                 | SILICONWARE PRECISION ADR                        | 09/25/2008       | 10/20/2010   | 3,087.37  | 2,496.83  |               | (590.54)     |
| 2,624,000               | SILICONWARE PRECISION ADR                        | 07/18/2008       | 10/20/2010   | 20,352.38 | 13,851.33 |               | (6,501.05)   |
| 329,000                 | SILICONWARE PRECISION ADR                        | 04/11/2008       | 10/20/2010   | 2,823.00  | 1,736.70  |               | (1,086.30)   |
| 760,000                 | SILICONWARE PRECISION ADR                        | 08/13/2007       | 10/20/2010   | 7,553.55  | 4,011.82  |               | (3,541.73)   |

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## Private Wealth Management

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### Realized Gains & Losses 696010704 : THE DHANAM FOUNDATION \*NEWGATE EMERGING MKTS\* 01/01/2010 to 12/31/2010 Trade Date Reporting

Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                        | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|---------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                             |                  |              |           |           | Short<br>Term | Long<br>Term |
| 869 000                 | SK TELECOM CO LTD AD<br>R (SPONSORED) REP 1 | 05/21/2009       | 10/20/2010   | 13,869 07 | 15,992 02 |               | 2,122 95     |
| 141 000                 | SK TELECOM CO LTD AD<br>R (SPONSORED) REP 1 | 01/26/2009       | 10/20/2010   | 2,424 75  | 2,594 79  |               | 170 04       |
| 63 000                  | COMPANHIA DE BEBIDAS-PR ADR                 | 10/29/2008       | 10/21/2010   | 2,866 20  | 8,749 26  |               | 5,883 06     |
| 38 000                  | COMPANHIA DE BEBIDAS-PR ADR                 | 10/15/2008       | 10/21/2010   | 1,715 07  | 5,277 33  |               | 3,562 26     |
| 39 000                  | COMPANHIA DE BEBIDAS-PR ADR                 | 10/14/2008       | 10/21/2010   | 1,852 55  | 5,416 21  |               | 3,563 66     |
| 55 000                  | HUANENG POWER INTL<br>INC ADR (REP 40 'N'   | 12/08/2008       | 10/21/2010   | 1,554 46  | 1,302 88  |               | (251 58)     |
| 226 000                 | HUANENG POWER INTL<br>INC ADR (REP 40 'N'   | 12/04/2008       | 10/21/2010   | 5,616 73  | 5,353 65  |               | (263 08)     |
| 90 000                  | HUANENG POWER INTL<br>INC ADR (REP 40 'N'   | 12/03/2008       | 10/21/2010   | 2,364 13  | 2,131 98  |               | (232 15)     |
| 214 000                 | HUANENG POWER INTL<br>INC ADR (REP 40 'N'   | 12/01/2008       | 10/21/2010   | 4,989 11  | 5,069 38  |               | 80 27        |
| 380 000                 | HUANENG POWER INTL<br>INC ADR (REP 40 'N'   | 11/24/2008       | 10/21/2010   | 8,319 49  | 9,001 70  |               | 682 21       |
| 377 000                 | HUANENG POWER INTL<br>INC ADR (REP 40 'N'   | 06/11/2009       | 10/25/2010   | 11,183 74 | 8,859 95  |               | (2,323 79)   |
| 591 000                 | HUANENG POWER INTL<br>INC ADR (REP 40 'N'   | 05/21/2009       | 10/25/2010   | 14,959 45 | 13,889 21 |               | (1,070 24)   |
| 71 000                  | HUANENG POWER INTL<br>INC ADR (REP 40 'N'   | 12/30/2008       | 10/25/2010   | 2,008 41  | 1,668 59  |               | (339 82)     |
| 11 000                  | HUANENG POWER INTL<br>INC ADR (REP 40 'N'   | 12/08/2008       | 10/25/2010   | 310 89    | 258 51    |               | (52 38)      |
| 634 000                 | CHINA UNICOM LTD ADR(HONG KONG)<br>LTD      | 11/06/2009       | 10/28/2010   | 8,840 31  | 9,065 41  | 225 10        |              |
| 2,395 000               | CEMEX S A B DE C V ADR                      | 10/18/2010       | 11/02/2010   | 18,680 76 | 21,694 74 | 3,013 98      |              |
| 1,393 000               | CHINA UNICOM LTD ADR(HONG KONG)<br>LTD      | 12/07/2009       | 11/02/2010   | 18,306 53 | 19,724 54 | 1,418 01      |              |



**Realized Gains & Losses**  
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| Shares or<br>Face Value | Security Description                   | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|----------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                        |                  |              |           |           | Short<br>Term | Long<br>Term |
| 516 000                 | CHINA UNICOM LTD ADR(HONG KONG)<br>LTD | 11/06/2009       | 11/02/2010   | 7,194.95  | 7,306.44  | 111.49        |              |
| 142 000                 | PETROCHINA CO LTD ADR                  | 10/11/2010       | 11/03/2010   | 17,951.87 | 18,004.55 | 52.68         |              |
| 308 000                 | MELCO PBL ENTMT MACAU LTD ADR          | 10/20/2009       | 11/04/2010   | 1,837.41  | 2,071.91  |               | 234.50       |
| 2,367,000               | MELCO PBL ENTMT MACAU LTD ADR          | 10/12/2009       | 11/04/2010   | 16,221.06 | 15,922.77 |               | (298.29)     |
| 1,164 000               | GLBAL X CHN MTRL                       | 03/17/2010       | 11/08/2010   | 15,737.16 | 18,588.76 | 2,851.60      |              |
| 1,245 000               | GLBAL X CHN MTRL                       | 02/08/2010       | 11/08/2010   | 14,851.85 | 19,882.31 | 5,030.46      |              |
| 457,000                 | CHUNGHWA TELECOM CO LTD ADR            | 10/12/2010       | 11/09/2010   | 10,356.76 | 11,447.94 | 1,091.18      |              |
| 63 000                  | CHUNGHWA TELECOM CO LTD ADR            | 02/12/2010       | 11/09/2010   | 1,172.73  | 1,578.16  | 405.43        |              |
| 22 000                  | CHUNGHWA TELECOM CO LTD ADR            | 03/31/2009       | 11/09/2010   | 415.05    | 551.10    |               | 136.05       |
| 27 000                  | CHUNGHWA TELECOM CO LTD ADR            | 03/31/2009       | 11/09/2010   | 398.12    | 676.35    |               | 278.23       |
| 437 000                 | CHUNGHWA TELECOM CO LTD ADR            | 02/24/2009       | 11/09/2010   | 7,934.64  | 10,946.93 |               | 3,012.29     |
| 198 000                 | CHUNGHWA TELECOM CO LTD ADR            | 06/06/2007       | 11/09/2010   | 3,459.22  | 4,959.93  |               | 1,500.71     |
| 426 000                 | CHUNGHWA TELECOM CO LTD ADR            | 06/06/2007       | 11/09/2010   | 2,413.25  | 10,671.37 |               | 8,258.12     |
| 538 000                 | ANGLOGOLD ASHANTI LIMITED ADR<br>NEW   | 06/18/2010       | 11/10/2010   | 25,195.37 | 28,558.74 | 3,363.37      |              |
| 217 000                 | ANGLOGOLD ASHANTI LIMITED ADR<br>NEW   | 02/05/2009       | 11/10/2010   | 5,643.30  | 11,106.18 |               | 5,462.88     |
| 83 000                  | ANGLOGOLD ASHANTI LIMITED ADR<br>NEW   | 02/02/2009       | 11/10/2010   | 2,309.02  | 4,247.98  |               | 1,938.96     |
| 60,000                  | ANGLOGOLD ASHANTI LIMITED ADR<br>NEW   | 12/17/2008       | 11/10/2010   | 1,617.63  | 3,070.83  |               | 1,453.20     |
| 186 000                 | SOUTHERN PERU COPPER                   | 03/06/2008       | 11/10/2010   | 6,932.94  | 8,231.98  |               | 1,299.04     |
| 41 000                  | COMPANHIA DE BEBIDAS-PR ADR            | 11/19/2008       | 11/12/2010   | 1,767.82  | 5,601.20  |               | 3,833.38     |
| 20 000                  | COMPANHIA DE BEBIDAS-PR ADR            | 11/13/2008       | 11/12/2010   | 899.54    | 2,732.30  |               | 1,832.76     |
| 31 000                  | COMPANHIA DE BEBIDAS-PR ADR            | 10/30/2008       | 11/12/2010   | 1,334.23  | 4,235.06  |               | 2,900.83     |
| 39 000                  | COMPANHIA DE BEBIDAS-PR ADR            | 10/29/2008       | 11/12/2010   | 1,774.31  | 5,327.97  |               | 3,553.66     |
| 149 000                 | PETROCHINA CO LTD ADR                  | 10/11/2010       | 11/16/2010   | 18,836.82 | 18,891.21 | 54.39         |              |
| 392 000                 | VN ECK MK VC CHI                       | 10/15/2010       | 11/18/2010   | 18,794.71 | 17,757.29 | (1,037.42)    |              |

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Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                                   | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|--------------------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                                        |                  |              |           |           | Short<br>Term | Long<br>Term |
| 551 000                 | GLBAL X CHN MTRL                                       | 06/16/2010       | 11/29/2010   | 6,319 97  | 7,945 39  | 1,625 42      |              |
| 49 000                  | GLBAL X CHN MTRL                                       | 03/17/2010       | 11/29/2010   | 662 48    | 706 58    | 44 10         |              |
| 201 000                 | LAS VEGAS SANDS                                        | 12/10/2009       | 11/30/2010   | 3,075 48  | 10,111 95 | 7,036 47      |              |
| 334 000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS                | 10/14/2008       | 12/01/2010   | 4,444 71  | 5,748 14  |               | 1,303 43     |
| 152 000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS                | 10/09/2008       | 12/01/2010   | 1,780 30  | 2,615 92  |               | 835 62       |
| 1,931 000               | MELCO PBL ENTMT MACAU LTD ADR                          | 10/20/2009       | 12/01/2010   | 11,519 57 | 12,001 34 |               | 481 77       |
| 406 000                 | GLBAL X CHN MTRL                                       | 06/18/2010       | 12/02/2010   | 4,693 36  | 6,106 34  | 1,412 98      |              |
| 907 000                 | GLBAL X CHN MTRL                                       | 06/16/2010       | 12/02/2010   | 10,403 29 | 13,641 50 | 3,238 21      |              |
| 68 000                  | GLOBL X CHN FNCL                                       | 02/03/2010       | 12/02/2010   | 895 53    | 991 42    | 95 89         |              |
| 727 000                 | GLOBL X CHN FNCL                                       | 12/16/2009       | 12/02/2010   | 10,645 39 | 10,599 48 | (45 91)       |              |
| 149 000                 | YANZHOU COAL MINING CO LTD<br>AMERICAN DEPOSITORY SHAR | 10/23/2009       | 12/02/2010   | 2,402 65  | 4,157 51  |               | 1,754 86     |
| 350 000                 | YANZHOU COAL MINING CO LTD<br>AMERICAN DEPOSITORY SHAR | 10/21/2009       | 12/02/2010   | 5,451 46  | 9,765 95  |               | 4,314 49     |
| 301 000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS                | 10/15/2008       | 12/03/2010   | 3,666 87  | 5,420 19  |               | 1,753 32     |
| 212 000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS                | 10/14/2008       | 12/03/2010   | 2,821 20  | 3,817 55  |               | 996 35       |
| 149 000                 | WYNN RESORTS                                           | 10/08/2009       | 12/08/2010   | 10,453 88 | 15,050 90 |               | 4,597 02     |
| 13 000                  | WYNN RESORTS                                           | 09/30/2009       | 12/08/2010   | 929 18    | 1,313 17  |               | 383 99       |
| 471 000                 | GLOBL X CHN FNCL                                       | 03/03/2010       | 12/09/2010   | 6,523 35  | 6,593 94  | 70 59         |              |
| 986 000                 | GLOBL X CHN FNCL                                       | 02/03/2010       | 12/09/2010   | 12,985 12 | 13,803 86 | 818 74        |              |
| 57 000                  | VIMPELCOM                                              | 07/30/2010       | 12/09/2010   | 929 52    | 818 75    | (110 77)      |              |
| 584 000                 | VIMPELCOM                                              | 05/07/2010       | 12/09/2010   | 8,887 60  | 8,388 61  | (498 99)      |              |
| 36 000                  | ISHARES MSCI TURKEY INDEX FUNDE<br>TF                  | 09/24/2009       | 12/10/2010   | 1,795 49  | 2,406 59  |               | 611 10       |
| 214 000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS                | 05/10/2010       | 12/13/2010   | 3,361 94  | 3,584 24  | 222 30        |              |



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Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                              | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|---------------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                                   |                  |              |           |           | Short<br>Term | Long<br>Term |
| 197 000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS           | 01/26/2009       | 12/13/2010   | 2,126 29  | 3,299 52  |               | 1,173 23     |
| 419 000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS           | 01/16/2009       | 12/13/2010   | 4,809 37  | 7,017 76  |               | 2,208 39     |
| 316 000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS           | 12/09/2008       | 12/13/2010   | 3,655 37  | 5,292 62  |               | 1,637 25     |
| 215.000                 | COMPANHIA ENERGETICA<br>DE MINAS GERAIS           | 10/15/2008       | 12/13/2010   | 2,619 20  | 3,601 00  |               | 981.80       |
| 166 000                 | SOUTHERN PERU COPPER                              | 11/04/2009       | 12/13/2010   | 5,575 84  | 7,930 70  |               | 2,354.86     |
| 191 000                 | SOUTHERN PERU COPPER                              | 10/20/2008       | 12/13/2010   | 2,407 06  | 9,125 08  |               | 6,718 02     |
| 94 000                  | WYNN RESORTS                                      | 10/19/2009       | 12/14/2010   | 5,956 83  | 9,363 00  |               | 3,406 17     |
| 88 000                  | WYNN RESORTS                                      | 10/08/2009       | 12/14/2010   | 6,174 11  | 8,765 36  |               | 2,591 25     |
| 642 000                 | VIMPELCOM                                         | 07/30/2010       | 12/15/2010   | 10,469 28 | 9,197 71  | (1,271 57)    |              |
| 12 000                  | WYNN RESORTS                                      | 10/20/2009       | 12/15/2010   | 767 19    | 1,209 99  |               | 442 80       |
| 177 000                 | WYNN RESORTS                                      | 10/19/2009       | 12/15/2010   | 11,216 58 | 17,847 40 |               | 6,630 82     |
| 130 000                 | ISHARES MSCI TURKEY INDEX FUND E<br>TF            | 11/06/2009       | 12/17/2010   | 6,421 47  | 8,296 60  |               | 1,875.13     |
| 86 000                  | ISHARES MSCI TURKEY INDEX FUND E<br>TF            | 09/24/2009       | 12/17/2010   | 4,289 22  | 5,488 52  |               | 1,199.30     |
| 870 000                 | TAIWAN SEMICONDUCTOR MANUFACTU<br>ADR             | 05/22/2007       | 12/17/2010   | 9,064 92  | 10,907 09 |               | 1,842 17     |
| 332 000                 | GOBL X CHN FNCL                                   | 03/08/2010       | 12/20/2010   | 4,664 47  | 4,475 52  | (188 95)      |              |
| 676 000                 | GOBL X CHN FNCL                                   | 03/03/2010       | 12/20/2010   | 9,362.60  | 9,112 80  | (249 80)      |              |
| 101 000                 | ISHARES MSCI TURKEY INDEX FUND E<br>TF            | 02/23/2010       | 12/21/2010   | 5,140.10  | 6,486 15  | 1,346 05      |              |
| 168.000                 | ISHARES MSCI TURKEY INDEX FUND E<br>TF            | 11/10/2009       | 12/21/2010   | 8,541.86  | 10,788 85 |               | 2,246.99     |
| 53.000                  | ISHARES MSCI TURKEY INDEX FUND E<br>TF            | 11/06/2009       | 12/21/2010   | 2,617.98  | 3,403 62  |               | 785 64       |
| 117.000                 | MMC NORILSK NICKEL ADR<br>(EACH REPR 1 ORD RUR 1) | 10/06/2010       | 12/21/2010   | 2,100.55  | 2,729.15  | 628 60        |              |

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Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                                         | Purchase<br>Date | Sale<br>Date | Cost         | Proceeds     | Gain (Loss)   |              |
|-------------------------|--------------------------------------------------------------|------------------|--------------|--------------|--------------|---------------|--------------|
|                         |                                                              |                  |              |              |              | Short<br>Term | Long<br>Term |
| 758 000                 | MMC NORILSK NICKEL ADR<br>(EACH REPR 1 ORD RUR 1)            | 12/01/2009       | 12/21/2010   | 10,926 57    | 17,681 19    |               | 6,754 62     |
| 823 000                 | MMC NORILSK NICKEL ADR<br>(EACH REPR 1 ORD RUR 1)            | 08/10/2009       | 12/21/2010   | 8,841 98     | 19,197 39    |               | 10,355 41    |
|                         | CHINA CONSTRUCTION BANK CORP<br>UNSPONSORED ADR REPRESENTING | 12/20/2010       | 12/27/2010   |              | 9 12         | 9 12          |              |
| 2 000                   | AMERICA MOVIL SAB DE CV                                      | 03/19/2007       | 12/29/2010   | 90 58        | 113 45       |               | 22 87        |
| 120 000                 | AMERICA MOVIL SAB DE CV                                      | 03/09/2007       | 12/29/2010   | 5,301.73     | 6,806 94     |               | 1,505 21     |
| 107 000                 | AMERICA MOVIL SAB DE CV                                      | 03/07/2007       | 12/29/2010   | 4,598 04     | 6,069 53     |               | 1,471 49     |
| 696 000                 | GLOBL X CHN FNCL                                             | 04/09/2010       | 12/29/2010   | 10,411 39    | 9,284 69     | (1,126 70)    |              |
| 888 000                 | GLOBL X CHN FNCL                                             | 03/08/2010       | 12/29/2010   | 12,476 04    | 11,845 99    | (630 05)      |              |
| 779 000                 | TAIWAN SEMICONDUCTOR MANUFACTU<br>ADR                        | 08/12/2008       | 12/29/2010   | 8,217 36     | 9,576 63     |               | 1,359 27     |
| 171 000                 | TAIWAN SEMICONDUCTOR MANUFACTU<br>ADR                        | 05/22/2007       | 12/29/2010   | 1,781 72     | 2,102 19     |               | 320 47       |
| 1,036 000               | GLOBL X CHN FNCL                                             | 07/13/2010       | 12/30/2010   | 14,275 87    | 13,789 13    | (486 74)      |              |
| 2,709 000               | GLOBL X CHN FNCL                                             | 05/14/2010       | 12/30/2010   | 33,806 69    | 36,056 72    | 2,250 03      |              |
| 1,425 000               | GLOBL X CHN FNCL                                             | 05/03/2010       | 12/30/2010   | 18,752 57    | 18,966 71    | 214 14        |              |
| 494 000                 | GLOBL X CHN FNCL                                             | 04/09/2010       | 12/30/2010   | 7,389 70     | 6,575 13     | (814 57)      |              |
|                         | TOTAL PORTFOLIO                                              |                  |              | 2,011,263.65 | 2,595,920.74 | 52,261.21     | 532,395.88   |

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| Shares or<br>Face Value | Security Description                          | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|-----------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                               |                  |              |           |           | Short<br>Term | Long<br>Term |
| 460 000                 | NOVARTIS AG USD SPON ADR<br>REP 1/20 REGD SHS | 02/17/2009       | 01/08/2010   | 19,385.55 | 23,965.98 | 4,580.43      |              |
| 9 000                   | NOVARTIS AG USD SPON ADR<br>REP 1/20 REGD SHS | 04/14/2009       | 01/12/2010   | 332.03    | 473.06    | 141.03        |              |
| 313 000                 | NOVARTIS AG USD SPON ADR<br>REP 1/20 REGD SHS | 02/17/2009       | 01/12/2010   | 13,190.60 | 16,451.88 | 3,261.28      |              |
| 315 000                 | NOVARTIS AG USD SPON ADR<br>REP 1/20 REGD SHS | 04/14/2009       | 01/14/2010   | 11,620.98 | 16,714.25 | 5,093.27      |              |
| 394 000                 | NOVARTIS AG USD SPON ADR<br>REP 1/20 REGD SHS | 05/08/2009       | 01/20/2010   | 15,040.75 | 21,070.53 | 6,029.78      |              |
| 244 000                 | NOVARTIS AG USD SPON ADR<br>REP 1/20 REGD SHS | 04/14/2009       | 01/20/2010   | 9,001.65  | 13,048.76 | 4,047.11      |              |
| 561 000                 | KOREA ELEC PWR CO SPONS ADR                   | 06/10/2005       | 01/21/2010   | 8,841.36  | 10,066.96 |               | 1,225.60     |
| 226 000                 | TOPPAN PRTG LTD ADR                           | 12/05/2006       | 01/22/2010   | 12,072.35 | 9,721.81  |               | (2,350.54)   |
| 1,260 000               | TOPPAN PRTG LTD ADR                           | 01/05/2006       | 01/22/2010   | 78,108.66 | 54,201.23 |               | (23,907.43)  |
| 66 000                  | TECHNIP (EX-TECHNIP-COFLEXIP)<br>ADR          | 11/20/2008       | 02/02/2010   | 1,579.91  | 4,931.21  |               | 3,351.30     |
| 434 000                 | TECHNIP (EX-TECHNIP-COFLEXIP)<br>ADR          | 11/20/2008       | 02/22/2010   | 10,389.14 | 31,799.81 |               | 21,410.67    |
| 313 000                 | SHISEIDO LTD SPONSORED ADR                    | 06/15/2005       | 03/03/2010   | 3,993.07  | 6,955.36  |               | 2,962.29     |
| 907 000                 | FUJII PHOTO FILM CO LTD ADR                   | 12/28/2005       | 03/08/2010   | 30,892.42 | 29,259.08 |               | (1,633.34)   |
| 253 000                 | NINTENDO LTD UNSPONSORED ADR                  | 08/03/2009       | 03/25/2010   | 8,589.28  | 10,428.37 | 1,839.09      |              |
| 587 000                 | TOPPAN PRTG LTD ADR                           | 01/30/2008       | 04/12/2010   | 28,695.50 | 26,548.32 |               | (2,147.18)   |
| 8 000                   | TOPPAN PRTG LTD ADR                           | 05/21/2007       | 04/12/2010   | 432.90    | 361.82    |               | (71.08)      |
| 47 000                  | TOPPAN PRTG LTD ADR                           | 05/18/2007       | 04/12/2010   | 2,550.08  | 2,125.68  |               | (424.40)     |
| 102 000                 | TOPPAN PRTG LTD ADR                           | 12/05/2006       | 04/12/2010   | 5,448.59  | 4,613.17  |               | (835.42)     |
| 508 000                 | TDK ELECTRS LTD *F<br>AMERICAN DEPOSITARY SHS | 12/12/2008       | 04/27/2010   | 16,906.85 | 33,306.14 |               | 16,399.29    |
| 43 000                  | TDK ELECTRS LTD *F<br>AMERICAN DEPOSITARY SHS | 10/22/2008       | 04/27/2010   | 1,493.93  | 2,819.22  |               | 1,325.29     |



ATTACHMENT 6

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**Realized Gains & Losses**  
**696010705 : THE DHANAM FOUNDATION \*NWQ INT'L\***  
**01/01/2010 to 12/31/2010**  
**Trade Date Reporting**

Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                 | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|--------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                      |                  |              |           |           | Short<br>Term | Long<br>Term |
| 43 000                  | BARRICK GOLD CORP COM                | 04/30/2008       | 05/07/2010   | 1,646 01  | 1,850 01  |               | 204 00       |
| 292 000                 | BARRICK GOLD CORP COM                | 03/20/2008       | 05/07/2010   | 12,612 62 | 12,562 88 |               | (49 74)      |
| 106 000                 | BARRICK GOLD CORP COM                | 11/13/2006       | 05/07/2010   | 3,074 83  | 4,560 50  |               | 1,485 67     |
| 53 000                  | MAGNA INTL INC                       | 06/20/2008       | 05/07/2010   | 3,527 43  | 3,743 68  |               | 216 25       |
| 244 000                 | MAGNA INTL INC                       | 06/05/2008       | 05/07/2010   | 16,962 79 | 17,235 06 |               | 272 27       |
| 16 000                  | SEVEN & I HOLDINGS-UNSPN ADR         | 01/08/2009       | 05/14/2010   | 946 70    | 791 69    |               | (155 01)     |
| 229 000                 | SEVEN & I HOLDINGS-UNSPN ADR         | 01/07/2009       | 05/14/2010   | 13,515 05 | 11,331 00 |               | (2,184 05)   |
| 99 000                  | SEVEN & I HOLDINGS-UNSPN ADR         | 12/24/2008       | 05/14/2010   | 6,241 21  | 4,898 55  |               | (1,342 66)   |
| 3,322 000               | AEGON N V ADR SHS                    | 02/23/2009       | 05/21/2010   | 13,857 06 | 19,335 70 |               | 5,478 64     |
| 753 000                 | AEGON N V ADR SHS                    | 10/24/2008       | 05/21/2010   | 3,634 88  | 4,382 84  |               | 747 96       |
| 35 000                  | AEGON N V ADR SHS                    | 06/16/2006       | 05/21/2010   | 570 75    | 203 72    |               | (367 03)     |
| 1,487 000               | AEGON N V ADR SHS                    | 06/10/2005       | 05/21/2010   | 19,255 76 | 8,655 08  |               | (10,600 68)  |
| 223 000                 | BP PLC ADRC SPONS ADR                | 04/30/2010       | 05/21/2010   | 11,812 56 | 9,828 87  | (1,983 69)    |              |
| 340 000                 | BP PLC ADRC SPONS ADR                | 01/29/2008       | 05/21/2010   | 21,491 40 | 14,985 72 |               | (6,505 68)   |
| 441 000                 | BP PLC ADRC SPONS ADR                | 06/05/2007       | 05/21/2010   | 30,152 01 | 19,437 36 |               | (10,714 65)  |
| 445 000                 | BP PLC ADRC SPONS ADR                | 02/26/2007       | 05/21/2010   | 28,633 75 | 19,613 66 |               | (9,020 09)   |
| 136 000                 | BP PLC ADRC SPONS ADR                | 02/23/2007       | 05/21/2010   | 8,562 36  | 5,994 29  |               | (2,568 07)   |
| 134 000                 | ANGLOGOLD ASHANTI LIMITED ADR<br>NEW | 03/18/2008       | 05/27/2010   | 4,555 45  | 5,677 40  |               | 1,121 95     |
| 306 000                 | ANGLOGOLD ASHANTI LIMITED ADR<br>NEW | 03/03/2008       | 05/27/2010   | 11,166 58 | 12,964 82 |               | 1,798 24     |
| 696 000                 | REXAM PLC SPONSORED ADR NEW 2001     | 10/28/2009       | 06/03/2010   | 15,819 80 | 16,189 65 | 369 85        |              |
| 159 000                 | UNITED UTILITIES PLC WARRINGT<br>ADR | 12/09/2008       | 06/16/2010   | 2,788 64  | 2,532 22  |               | (256 42)     |
| 1,109 000               | UNITED UTILITIES PLC WARRINGT<br>ADR | 12/08/2008       | 06/16/2010   | 19,589 04 | 17,661 86 |               | (1,927 18)   |
| 1,376 000               | UNITED UTILITIES PLC WARRINGT<br>ADR | 01/03/2006       | 06/16/2010   | 42,435 51 | 21,914 08 |               | (20,521 43)  |

# Morgan Stanley

## Private Wealth Management

### Supplemental Report

555 California Street  
San Francisco, CA 94104  
(415) 576-2004

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### Realized Gains & Losses 696010705 : THE DHANAM FOUNDATION \*NWQ INT'L\* 01/01/2010 to 12/31/2010 Trade Date Reporting

Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                                 | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|------------------------------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                                      |                  |              |           |           | Short<br>Term | Long<br>Term |
| 72 000                  | UNITED UTILITIES PLC WARRANT<br>ADR                  | 08/08/2005       | 06/16/2010   | 2,126 78  | 1,146 67  |               | (980 11)     |
| 26 000                  | UNITED UTILITIES PLC WARRANT<br>ADR                  | 08/05/2005       | 06/16/2010   | 772 50    | 414 07    |               | (358 43)     |
| 5 000                   | UNITED UTILITIES PLC WARRANT<br>ADR                  | 06/15/2005       | 06/16/2010   | 144 87    | 79 63     |               | (65 24)      |
| 203 000                 | ASTRAZENECA PLC SPONS ADR                            | 01/11/2010       | 07/01/2010   | 9,672 44  | 9,513 43  |               | (159 01)     |
| 334 000                 | BP PLC ADR SPONS ADR                                 | 06/16/2010       | 07/15/2010   | 10,138 87 | 12,381 04 |               | 2,242 17     |
| 690 000                 | SHISEIDO LTD SPONSORED ADR                           | 06/15/2005       | 07/16/2010   | 8,802 60  | 15,434 41 |               | 6,631 81     |
| 17 000                  | IVANHOE MINES LIMITED COM                            | 01/09/2008       | 07/21/2010   | 180 66    | 286 55    |               | 105 89       |
| 1,367 000               | IVANHOE MINES LIMITED COM                            | 05/24/2006       | 07/21/2010   | 9,450 48  | 23,041 62 |               | 13,591 14    |
| 167 000                 | SWISSCOM AG- SPONSORED ADR                           | 01/03/2006       | 07/21/2010   | 5,352 65  | 6,165 87  |               | 813 22       |
| 333 000                 | SWISSCOM AG- SPONSORED ADR                           | 06/15/2005       | 07/21/2010   | 10,845 84 | 12,294 81 |               | 1,448 97     |
| 524 000                 | BP PLC ADR SPONS ADR                                 | 06/16/2010       | 08/04/2010   | 15,906 49 | 20,646 87 |               | 4,740 38     |
| 449 000                 | SOCIETE GENERALE PARIS ADR                           | 01/16/2009       | 08/10/2010   | 3,798 72  | 5,323 12  |               | 1,524 40     |
| 391 000                 | SOCIETE GENERALE PARIS ADR                           | 10/20/2008       | 08/10/2010   | 4,426 90  | 4,635 50  |               | 208 60       |
| 124 000                 | MAGNA INTL INC                                       | 06/20/2008       | 09/14/2010   | 8,252 84  | 9,803 85  |               | 1,551 01     |
| 21 000                  | MAGNA INTL INC                                       | 07/14/2008       | 09/27/2010   | 1,163 20  | 1,668 39  |               | 505 19       |
| 77 000                  | MAGNA INTL INC                                       | 06/20/2008       | 09/27/2010   | 5,124 75  | 6,117 42  |               | 992 67       |
| 854 000                 | KINROSS GOLD CORP NEW                                | 07/06/2009       | 09/29/2010   | 15,474 31 | 16,208 64 |               | 734 33       |
| 333 000                 | ROYAL DUTCH SHELL PLC SPON ADR                       | 06/10/2005       | 10/05/2010   | 20,682 94 | 19,823 95 |               | (858 99)     |
| 257 000                 | ALUMINA LTD SPONSORED ADR                            | 01/04/2006       | 10/08/2010   | 5,752 61  | 2,000 89  |               | (3,751 72)   |
| 741 000                 | ALUMINA LTD SPONSORED ADR                            | 06/15/2005       | 10/08/2010   | 12,765 80 | 5,769 10  |               | (6,996 70)   |
| 125 000                 | MAGNA INTL INC                                       | 07/14/2008       | 10/15/2010   | 6,923 84  | 10,942 19 |               | 4,018 35     |
| 340 000                 | SHIN-ETSU CHEMICAL CO LTD<br>UNSPONSORED ADR (JAPAN) | 09/09/2010       | 10/19/2010   | 16,507 20 | 18,851 80 |               | 2,344 60     |
| 2,200 000               | SEGA SAMMY ADR                                       | 09/17/2008       | 10/21/2010   | 4,878 72  | 8,541 79  |               | 3,663 07     |
| 1,564 000               | SEGA SAMMY ADR                                       | 05/29/2008       | 10/21/2010   | 3,985 85  | 6,072 44  |               | 2,086 59     |
| 3,299 000               | SEGA SAMMY ADR                                       | 01/09/2008       | 10/21/2010   | 10,472 35 | 12,808 81 |               | 2,336 46     |



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## Realized Gains &amp; Losses

Valuation Currency: USD

696010705 : THE DHANAM FOUNDATION \*NWQ INT'L\*

01/01/2010 to 12/31/2010

Sort Order: Closing Date

## Trade Date Reporting

| Shares or<br>Face Value | Security Description                                      | Purchase<br>Date | Sale<br>Date | Cost       | Proceeds   | Gain (Loss)   |              |
|-------------------------|-----------------------------------------------------------|------------------|--------------|------------|------------|---------------|--------------|
|                         |                                                           |                  |              |            |            | Short<br>Term | Long<br>Term |
| 257 000                 | SEGA SAMMY ADR                                            | 11/16/2007       | 10/21/2010   | 874.26     | 997.84     |               | 123.58       |
| 1,946 000               | SEGA SAMMY ADR                                            | 05/15/2007       | 10/21/2010   | 9,340.61   | 7,555.60   |               | (1,785.01)   |
| 2,053 000               | SEGA SAMMY ADR                                            | 01/24/2007       | 10/21/2010   | 13,709.11  | 7,971.05   |               | (5,738.06)   |
| 2,155 000               | SEGA SAMMY ADR                                            | 12/19/2006       | 10/21/2010   | 14,037.24  | 8,367.08   |               | (5,670.16)   |
| 433 000                 | NEWCREST MINING LTD SPONSORED AD<br>R                     | 09/06/2006       | 10/28/2010   | 5,550.14   | 16,589.41  |               | 11,039.27    |
| 363 000                 | VODAFONE GP PLC ADS NEW                                   | 05/25/2006       | 11/02/2010   | 9,114.54   | 10,008.21  |               | 893.67       |
| 372 000                 | BP PLC ADRC SPONS ADR                                     | 06/16/2010       | 11/03/2010   | 11,292.40  | 15,692.25  | 4,399.85      |              |
| 956 000                 | IVANHOE MINES LIMITED COM                                 | 01/29/2008       | 11/05/2010   | 8,613.56   | 25,580.59  |               | 16,967.03    |
| 454 000                 | IVANHOE MINES LIMITED COM                                 | 01/09/2008       | 11/05/2010   | 4,824.75   | 12,148.11  |               | 7,323.36     |
| 443 000                 | BP PLC ADRC SPONS ADR                                     | 06/16/2010       | 11/09/2010   | 13,447.66  | 19,061.21  | 5,613.55      |              |
| 425 000                 | BP PLC ADRC SPONS ADR                                     | 06/16/2010       | 11/10/2010   | 12,901.26  | 18,270.82  | 5,369.56      |              |
| 662 000                 | EMBRAER-EMPRESA BRASILEIRA DE AD<br>R SEE CUSIP 29082A107 | 10/30/2009       | 11/24/2010   | 14,600.41  | 19,646.63  |               | 5,046.22     |
| 235 000                 | CAMECO CORP CAD COM                                       | 09/16/2008       | 11/29/2010   | 5,564.59   | 8,682.30   |               | 3,117.71     |
| 509 000                 | CAMECO CORP CAD COM                                       | 08/08/2008       | 11/29/2010   | 16,457.29  | 18,805.50  |               | 2,348.21     |
| 178 000                 | BARRICK GOLD CORP COM                                     | 04/30/2008       | 12/06/2010   | 6,813.74   | 9,702.79   |               | 2,889.05     |
| 265 000                 | MAGNA INTL INC                                            | 07/14/2008       | 12/10/2010   | 7,339.27   | 13,347.93  |               | 6,008.66     |
| TOTAL PORTFOLIO         |                                                           |                  |              | 919,502.83 | 997,613.46 | 47,929.25     | 30,181.38    |

194,660.50 ST 242,589

724,843 LT 755,024

# Morgan Stanley

## Private Wealth Management

### Supplemental Report

555 California Street  
San Francisco, CA 94104  
(415) 576-2004

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### Realized Gains & Losses 696010915 : THE DHANAM FOUNDATION \*ALLIANZ/NFJ LARGE CAP VALUE\* 01/01/2010 to 12/31/2010 Trade Date Reporting

Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description       | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|----------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                            |                  |              |           |           | Short<br>Term | Long<br>Term |
| 875 000                 | BECTON DICKINSON & CO COM  | 05/27/2009       | 01/08/2010   | 58,765 26 | 68,140 44 | 9,375.18      |              |
| 1,660 000               | MICROSOFT CORP USD 0 01    | 11/11/2008       | 01/11/2010   | 34,943 17 | 50,300 19 |               | 15,357 02    |
| 240 000                 | MICROSOFT CORP USD 0 01    | 11/03/2008       | 01/11/2010   | 5,451 55  | 7,272 32  |               | 1,820 77     |
| 215 000                 | MICROSOFT CORP USD 0 01    | 10/28/2008       | 01/11/2010   | 4,624 01  | 6,514 78  |               | 1,890 77     |
| 565 000                 | MICROSOFT CORP USD 0 01    | 10/21/2008       | 01/11/2010   | 13,487 17 | 17,120 25 |               | 3,633 08     |
| 665 000                 | VALERO ENERGY CORP COM     | 04/23/2009       | 02/11/2010   | 13,834 86 | 11,769 55 | (2,065 31)    |              |
| 1,640 000               | VALERO ENERGY CORP COM     | 04/21/2009       | 02/11/2010   | 32,993 68 | 29,025 66 | (3,968 02)    |              |
| 350 000                 | VALERO ENERGY CORP COM     | 04/14/2009       | 02/11/2010   | 7,222 64  | 6,194 50  | (1,028 14)    |              |
| 250 000                 | BOEING CO USD5 COM         | 02/19/2009       | 03/15/2010   | 9,394 93  | 17,278 51 |               | 7,883 58     |
| 405 000                 | BOEING CO USD5 COM         | 02/12/2009       | 03/15/2010   | 15,838 29 | 27,991 18 |               | 12,152 89    |
| 45 000                  | BOEING CO USD5 COM         | 02/05/2009       | 03/15/2010   | 1,927 10  | 3,110 13  |               | 1,183 03     |
| 665 000                 | BOEING CO USD5 COM         | 01/29/2009       | 03/15/2010   | 27,245 25 | 45,960 82 |               | 18,715 57    |
| 30 000                  | BOEING CO USD5 COM         | 08/14/2008       | 03/15/2010   | 1,948 31  | 2,073 42  |               | 125 11       |
| 440 000                 | TRAVELERS COS INC          | 12/30/2005       | 04/12/2010   | 19,494 60 | 22,890 30 |               | 3,395 70     |
| 335 000                 | V F CORP                   | 12/30/2005       | 04/12/2010   | 18,518 23 | 28,094 86 |               | 9,576 63     |
| 905 000                 | HOME DEPOT INC COM         | 01/15/2008       | 04/13/2010   | 22,745 46 | 30,989 39 |               | 8,243 93     |
| 1,110 000               | MATTEL INC COM             | 12/30/2005       | 04/13/2010   | 17,447 43 | 26,061 35 |               | 8,613 92     |
| 155 000                 | HOME DEPOT INC COM         | 01/15/2008       | 05/14/2010   | 3,895 63  | 5,413 68  |               | 1,518 05     |
| 45 000                  | NEW YORK COMMUNITY BANCORP | 01/21/2009       | 05/14/2010   | 528 31    | 716 99    |               | 188 68       |
| 670 000                 | HOME DEPOT INC COM         | 03/13/2008       | 05/18/2010   | 17,725 65 | 23,629 16 |               | 5,903 51     |
| 610 000                 | HOME DEPOT INC COM         | 01/24/2008       | 05/18/2010   | 17,883 80 | 21,513 11 |               | 3,629 31     |
| 350 000                 | HOME DEPOT INC COM         | 01/23/2008       | 05/18/2010   | 9,756 71  | 12,343 59 |               | 2,586 88     |
| 390 000                 | HOME DEPOT INC COM         | 01/18/2008       | 05/18/2010   | 10,204 47 | 13,754 29 |               | 3,549 82     |
| 45 000                  | HOME DEPOT INC COM         | 01/15/2008       | 05/18/2010   | 1,130 99  | 1,587 03  |               | 456 04       |
| 355 000                 | 3 M CO                     | 06/06/2008       | 06/04/2010   | 26,624 04 | 27,159 84 |               | 535 80       |
| 335 000                 | 3 M CO                     | 06/03/2008       | 06/04/2010   | 25,496 11 | 25,629 71 |               | 133 60       |
| 295 000                 | 3 M CO                     | 05/27/2008       | 06/04/2010   | 22,475 78 | 22,569 45 |               | 93 67        |
| 735 000                 | WINDSTREAM CP              | 12/30/2005       | 06/15/2010   | 8,137 36  | 8,288 22  |               | 150 86       |



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ATTACHMENT H

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## Realized Gains &amp; Losses

Valuation Currency: USD  
Sort Order: Closing Date696010915 : THE DHANAM FOUNDATION \*ALLIANZ/NFI LARGE CAP VALUE\*  
01/01/2010 to 12/31/2010  
Trade Date Reporting

| Shares or<br>Face Value | Security Description           | Purchase<br>Date | Sale<br>Date | Cost      | Proceeds  | Gain (Loss)   |              |
|-------------------------|--------------------------------|------------------|--------------|-----------|-----------|---------------|--------------|
|                         |                                |                  |              |           |           | Short<br>Term | Long<br>Term |
| 849 000                 | FRONTIER COMMUNICATIONS CO     | 07/01/2010       | 07/01/2010   |           | 6 67      | 6 67          |              |
| 849 000                 | ANNALY CAPITAL MGMT INC        | 06/27/2008       | 07/20/2010   | 13,031.21 | 14,811.22 |               | 1,780.01     |
| 1,335 000               | WINDSTREAM CP                  | 01/12/2007       | 08/02/2010   | 12,030.33 | 9,805.28  |               | (2,225.05)   |
| 1,620 000               | WINDSTREAM CP                  | 08/31/2006       | 08/02/2010   | 17,515.60 | 15,418.19 |               | (2,097.41)   |
| 1,535 000               | WINDSTREAM CP                  | 08/25/2006       | 08/02/2010   | 21,031.16 | 18,709.71 |               | (2,321.45)   |
| 410 000                 | WINDSTREAM CP                  | 08/15/2006       | 08/02/2010   | 20,271.21 | 17,728.03 |               | (2,543.18)   |
| 391 000                 | WINDSTREAM CP                  | 08/04/2006       | 08/02/2010   | 5,335.70  | 4,735.17  |               | (600.53)     |
| 81 000                  | FRONTIER COMMUNICATIONS CO     | 12/30/2005       | 08/02/2010   | 4,328.85  | 4,515.74  |               | 186.89       |
| 547 000                 | FRONTIER COMMUNICATIONS CO     | 01/12/2007       | 08/13/2010   | 800.57    | 612.06    |               | (188.51)     |
| 62 000                  | FRONTIER COMMUNICATIONS CO     | 12/30/2005       | 08/13/2010   | 4,151.18  | 4,133.27  |               | (17.91)      |
| 165 000                 | ALTRIA GROUP INC               | 04/25/2007       | 08/20/2010   | 1,317.20  | 1,408.84  |               | 91.64        |
| 920 000                 | ALTRIA GROUP INC               | 01/12/2007       | 08/20/2010   | 3,388.77  | 3,749.35  |               | 360.58       |
| 141 000                 | CONOCOPHILLIPS                 | 12/30/2005       | 08/20/2010   | 15,924.81 | 20,905.44 |               | 4,980.63     |
| 80 000                  | LUBRIZOL CORP COM              | 02/16/2006       | 08/20/2010   | 8,367.04  | 7,519.37  |               | (847.67)     |
| 168 000                 | REYNOLDS AMERICAN INC          | 01/25/2010       | 08/20/2010   | 6,493.04  | 7,274.89  | 781.85        |              |
| 765 000                 | WASTE MANAGEMENT INC           | 12/30/2005       | 08/20/2010   | 8,017.30  | 9,486.62  |               | 1,469.32     |
| 3 000                   | GOLDMAN SACHS GROUP INC        | 01/14/2008       | 08/20/2010   | 24,681.81 | 25,479.42 |               | 797.61       |
| 73 000                  | GOLDMAN SACHS GROUP INC        | 06/15/2010       | 08/27/2010   | 407.37    | 417.92    | 10.55         |              |
| 77 000                  | GOLDMAN SACHS GROUP INC        | 05/14/2010       | 08/27/2010   | 10,386.40 | 10,169.47 | (216.93)      |              |
| 78 000                  | GOLDMAN SACHS GROUP INC        | 03/31/2010       | 08/27/2010   | 13,096.92 | 10,726.69 | (2,370.23)    |              |
| 279 000                 | GOLDMAN SACHS GROUP INC        | 10/09/2009       | 08/27/2010   | 14,744.07 | 10,866.00 | (3,878.07)    |              |
| 1,043 000               | ALTRIA GROUP INC               | 10/07/2009       | 08/27/2010   | 52,699.05 | 38,866.86 | (13,832.19)   |              |
| 1,815 000               | ALTRIA GROUP INC               | 04/16/2008       | 08/30/2010   | 22,276.08 | 23,595.70 |               | 1,319.62     |
| 338 000                 | ALTRIA GROUP INC               | 04/10/2008       | 08/30/2010   | 39,438.68 | 41,060.59 |               | 1,621.91     |
| 3,230 000               | MATTEL INC COM                 | 04/25/2007       | 08/30/2010   | 7,180.87  | 7,646.55  |               | 465.68       |
| 71 000                  | LUBRIZOL CORP COM              | 12/30/2005       | 09/01/2010   | 50,770.43 | 69,177.36 |               | 18,406.93    |
| 218 000                 | GLAXO SMITHKLINE SPONS PLC ADR | 01/25/2010       | 09/24/2010   | 5,762.57  | 7,406.33  | 1,643.76      |              |
|                         |                                | 06/12/2007       | 10/25/2010   | 11,386.18 | 8,809.43  |               | (2,576.75)   |

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Realized Gains & Losses  
696010915 : THE DHANAM FOUNDATION \*ALLIANZ/NFI LARGE CAP VALUE\*  
01/01/2010 to 12/31/2010  
Trade Date Reporting

Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                  | Purchase<br>Date | Sale<br>Date | Cost         | Proceeds       | Gain (Loss)   |              |
|-------------------------|---------------------------------------|------------------|--------------|--------------|----------------|---------------|--------------|
|                         |                                       |                  |              |              |                | Short<br>Term | Long<br>Term |
| 530 000                 | GLAXO SMITHKLINE SPONS PLC ADR        | 06/06/2007       | 10/25/2010   | 27,402.75    | 21,417.41      |               | (5,985.34)   |
| 735 000                 | GLAXO SMITHKLINE SPONS PLC ADR        | 05/31/2007       | 10/25/2010   | 38,318.20    | 29,701.51      |               | (8,616.69)   |
| 435 000                 | GLAXO SMITHKLINE SPONS PLC ADR        | 01/12/2007       | 10/25/2010   | 23,398.65    | 17,578.45      |               | (5,820.20)   |
| 410.000                 | MCGRW HILL COMPANIE<br>S INC USD1 COM | 08/24/2009       | 10/27/2010   | 12,558.87    | 15,284.34      |               | 2,725.47     |
| 420 000                 | MCGRW HILL COMPANIE<br>S INC USD1 COM | 09/29/2009       | 11/12/2010   | 10,944.40    | 15,479.01      |               | 4,534.61     |
| 1,730 000               | MCGRW HILL COMPANIE<br>S INC USD1 COM | 08/24/2009       | 11/12/2010   | 52,992.33    | 63,758.76      |               | 10,766.43    |
| 710 000                 | WASTE MANAGEMENT INC                  | 02/08/2008       | 11/30/2010   | 23,250.87    | 24,295.29      |               | 1,044.42     |
| 690 000                 | WASTE MANAGEMENT INC                  | 02/01/2008       | 11/30/2010   | 22,605.30    | 23,610.92      |               | 1,005.62     |
| 735 000                 | WASTE MANAGEMENT INC                  | 01/22/2008       | 11/30/2010   | 21,403.86    | 25,150.76      |               | 3,746.90     |
| 40 000                  | WASTE MANAGEMENT INC                  | 01/14/2008       | 11/30/2010   | 1,290.55     | 1,368.75       |               | 78.20        |
| 740 000                 | COCA COLA CO<br>25                    | 06/04/2010       | 12/06/2010   | 38,042.29    | 47,525.40      | 9,483.11      |              |
| 614 000                 | COCA COLA CO<br>25                    | 06/02/2010       | 12/06/2010   | 31,774.56    | 39,433.24      | 7,658.68      |              |
| 67 000                  | DIAMOND OFFSHORE<br>DRILLING INC COM  | 01/04/2008       | 12/13/2010   | 8,997.08     | 4,408.01       |               | (4,589.07)   |
| 170 000                 | DIAMOND OFFSHORE<br>DRILLING INC COM  | 12/31/2007       | 12/13/2010   | 24,244.07    | 11,184.52      |               | (13,059.55)  |
| 160 000                 | DIAMOND OFFSHORE<br>DRILLING INC COM  | 12/24/2007       | 12/13/2010   | 22,736.77    | 10,526.61      |               | (12,210.16)  |
| 200 000                 | DIAMOND OFFSHORE<br>DRILLING INC COM  | 12/19/2007       | 12/13/2010   | 25,707.60    | 13,158.25      |               | (12,549.35)  |
| TOTAL PORTFOLIO         |                                       |                  |              | 1,230,243.34 | 1,322,316.12 ✓ | 1,600.91      | 90,471.87    |

ST 286,723 50 ST 287,824

LT 944,020 LT 1,034,492



# Morgan Stanley

## Private Wealth Management

### Supplemental Report

555 California Street  
San Francisco, CA 94104  
(415) 576-2004

Page: 50

Realized Gains & Losses  
696010931 : THE DHANAM FOUNDATION \*EARNEST MID CAP VALUE\*  
01/01/2010 to 12/31/2010  
Trade Date Reporting

Valuation Currency: USD  
Sort Order: Closing Date

| Shares or<br>Face Value | Security Description                         | Purchase<br>Date | Sale<br>Date | Cost       | Proceeds     | Gain (Loss)   |              |
|-------------------------|----------------------------------------------|------------------|--------------|------------|--------------|---------------|--------------|
|                         |                                              |                  |              |            |              | Short<br>Term | Long<br>Term |
| 280 000                 | AFFILIATED COMPUTER SERVICES INC<br>CL A COM | 07/13/2006       | 02/08/2010   | 2,229 56   | 5,208 00     |               | 2,978 44     |
| 480 000                 | AFFILIATED COMPUTER SERVICES INC<br>CL A COM | 12/28/2005       | 02/08/2010   | 8,479 78   | 8,928 00     |               | 448 22       |
| 1,382 000               | XEROX CORP                                   | 07/13/2006       | 02/08/2010   | 5 35       | 5 35         |               |              |
| 2,368 000               | XEROX CORP                                   | 07/13/2006       | 03/12/2010   | 11,707 10  | 13,619 85    |               | 1,912 75     |
| 220 000                 | XEROX CORP                                   | 12/28/2005       | 03/12/2010   | 20,068 80  | 23,337 06    |               | 3,268 26     |
| 995 000                 | PIONEER NATURAL RESOURCES IN<br>C COM        | 06/06/2008       | 04/08/2010   | 16,807 45  | 13,188 27    |               | (3,619 18)   |
| 203 000                 | PIONEER NATURAL RESOURCES IN<br>C COM        | 12/28/2005       | 04/08/2010   | 50,904 20  | 59,646 95    |               | 8,742 75     |
| 990 000                 | CUMMINS INC COM                              | 12/28/2005       | 06/24/2010   | 4,592 37   | 14,657 47    |               | 10,065 10    |
| 206 000                 | EXXON MOBIL CORP                             | 12/28/2005       | 06/28/2010   | 50 78      | 50 78        |               | 50 78        |
| 605 000                 | EXXON MOBIL CORP                             | 12/28/2005       | 08/10/2010   | 49,196 17  | 60,997 02    |               | 11,800 85    |
| 685 000                 | CUMMINS INC COM                              | 12/28/2005       | 08/20/2010   | 4,660 23   | 16,380 74    |               | 11,720 51    |
| 717 000                 | SCIENTIFIC GAMES COM STK                     | 12/29/2006       | 09/17/2010   | 18,496 97  | 6,241 62     |               | (12,255 35)  |
| 1,050 000               | SCIENTIFIC GAMES COM STK                     | 07/13/2006       | 09/17/2010   | 23,623 53  | 7,066 95     |               | (16,556 58)  |
| 63 000                  | BRINKER INTL INC USD 10 COM                  | 08/11/2009       | 11/05/2010   | 10,176 09  | 13,474 49    |               | 3,298 40     |
| 580 000                 | BRINKER INTL INC USD 10 COM                  | 12/28/2005       | 11/05/2010   | 26,975 90  | 19,732 52    |               | (7,243 38)   |
|                         | CUMMINS INC COM                              | 12/28/2005       | 12/27/2010   | 1,425 22   | 6,874 77     |               | 5,449 55     |
|                         | FREEPORT MCMORAN COPPER & GOLD C<br>OM       | 02/23/2009       | 12/27/2010   | 15,706 22  | 68,679 12    |               | 52,972 90    |
| TOTAL PORTFOLIO         |                                              |                  |              | 265,054.94 | 338,088.96 ✓ | 0.00          | 73,034.02    |

Security Mark  
at Right

EM525MV-20110105-141237  
000261 05PDB106 001505

ATTACHMENT C

# 2010 Tax Information Statement

Account Number: MSW00051  
 Recipient's Tax ID Number: 20-1914298  
 Payer's Federal ID Number: 20-8007203  
 Questions? (650)234-5952

Payer's Name and Address:  
 STATE STREET BANK & TRUST COMPANY  
 CUSTODIAN FOR: MORGAN STANLEY TRUST, NA  
 1585 BROADWAY  
 NEW YORK, NY 10036

☐ Corrected ☐ 2nd TIN notice

## 2010 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums.

| Number of shares (Box 1b) | Description (Box 7)    | Date of Acquisition (Box 1a) | Date of Sale (Box 2) | Stocks, Bonds, etc. (Box 2) | Cost or other Basis | Net Gain or Loss | Federal Income Tax Withheld (Box 4) |
|---------------------------|------------------------|------------------------------|----------------------|-----------------------------|---------------------|------------------|-------------------------------------|
| 700.0000                  | AMERICAN EXPRESS       | 06/09/2005                   | 12/14/2010           | 32,531.88                   | 33,381.90           | -850.02          | 0.00                                |
| 300.0000                  | AMERICAN EXPRESS       | 06/09/2005                   | 12/14/2010           | 13,942.23                   | 14,306.53           | -364.30          | 0.00                                |
| 100.0000                  | AMERICAN EXPRESS       | 06/09/2005                   | 12/15/2010           | 4,636.92                    | 4,768.85            | -131.93          | 0.00                                |
| 100.0000                  | AMERICAN EXPRESS       | 06/09/2005                   | 12/15/2010           | 4,636.92                    | 4,768.84            | -131.92          | 0.00                                |
| 1000.0000                 | BERKSHIRE HATHAWAY INC | 06/09/2005                   | 12/14/2010           | 80,378.64                   | 55,460.00           | 24,918.64        | 0.00                                |
| 200.0000                  | BERKSHIRE HATHAWAY INC | 06/14/2005                   | 12/15/2010           | 15,979.72                   | 11,228.00           | 4,751.72         | 0.00                                |
| 300.0000                  | BERKSHIRE HATHAWAY INC | VARIOUS                      | 12/20/2010           | 23,984.59                   | 16,768.00           | 7,216.59         | 0.00                                |
| 300.0000                  | CARNIVAL CORP          | 06/09/2005                   | 12/14/2010           | 12,921.65                   | 15,387.00           | -2,465.35        | 0.00                                |
| 700.0000                  | CARNIVAL CORP          | 06/09/2005                   | 12/14/2010           | 30,150.52                   | 35,903.00           | -5,752.48        | 0.00                                |
| 200.0000                  | CARNIVAL CORP          | 06/09/2005                   | 12/15/2010           | 8,468.33                    | 10,258.00           | -1,789.67        | 0.00                                |
| 1000.0000                 | COCA COLA CO           | 06/09/2005                   | 12/14/2010           | 64,209.01                   | 43,770.00           | 20,439.01        | 0.00                                |
| 200.0000                  | COCA COLA CO           | 06/09/2005                   | 12/15/2010           | 12,986.76                   | 8,754.00            | 4,232.76         | 0.00                                |
| 200.0000                  | COCA COLA CO           | 06/09/2005                   | 12/20/2010           | 13,134.75                   | 8,754.00            | 4,380.75         | 0.00                                |
| 1000.0000                 | CONOCOPHILLIPS         | VARIOUS                      | 12/14/2010           | 65,852.28                   | 59,629.24           | 6,223.04         | 0.00                                |
| 1000.0000                 | GENERAL ELECTRIC CO    | 12/19/2005                   | 12/14/2010           | 17,684.60                   | 35,920.00           | -18,235.40       | 0.00                                |
| 20000.0000                | GENERAL ELECTRIC CO    | VARIOUS                      | 12/15/2010           | 348,794.11                  | 679,117.24          | -330,323.15      | 0.00                                |

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



ATTACHMENT J

# 2010 Tax Information Statement

Account Number: MSW0051  
 Recipient's Tax ID Number: 20-1914298  
 Payer's Federal ID Number: 20-8007203  
 Questions? (650)234-5952

## Payer's Name and Address:

STATE STREET BANK & TRUST COMPANY  
 CUSTODIAN FOR: MORGAN STANLEY TRUST, NA  
 1585 BROADWAY  
 NEW YORK, NY 10036

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:  
 THE DHANAM FOUNDATION  
 ATTN: HARVEY ARMSTRONG  
 PO BOX 10197  
 PALO ALTO, CA 94303

| Number of shares                             | CUSIP (Box 1b) | Description (Box 7)             | Acquired Date | Sale Date  | Bonds, etc. (Box 2) | Stocks (Box 3) | Cost or other Basis | Net Gain or Loss | Federal Income Tax Withheld (Box 4) |
|----------------------------------------------|----------------|---------------------------------|---------------|------------|---------------------|----------------|---------------------|------------------|-------------------------------------|
| 1000.0000                                    | 459200101      | INTL BUSINESS MACHINES CORP CAP | VARIOUS       | 12/14/2010 | 145,542.44          |                | 82,246.23           | 63,296.21        | 0.00                                |
| 300.0000                                     | 459200101      | INTL BUSINESS MACHINES CORP CAP | 03/14/2006    | 12/15/2010 | 43,497.73           |                | 24,765.96           | 18,731.77        | 0.00                                |
| 8000.0000                                    | 50075N104      | KRAFT FOODS INC-A               | 02/06/2009    | 04/30/2010 | 238,646.36          |                | 210,346.40          | 28,299.96        | 0.00                                |
| 200.0000                                     | 580135101      | MCDONALDS CORP                  | 06/09/2005    | 12/15/2010 | 15,406.71           |                | 5,831.34            | 9,575.37         | 0.00                                |
| 11400.0000                                   | 786514208      | SAFEWAY INC                     | VARIOUS       | 10/18/2010 | 255,753.53          |                | 369,095.88          | -113,342.35      | 0.00                                |
| 7000.0000                                    | 81211K100      | SEALED AIR CORP                 | VARIOUS       | 05/14/2010 | 147,860.61          |                | 164,077.00          | -16,216.39       | 0.00                                |
| 200.0000                                     | 87612E106      | TARGET CORP                     | 06/09/2005    | 12/15/2010 | 11,764.78           |                | 10,789.02           | 975.76           | 0.00                                |
| 200.0000                                     | 38141G104      | THE GOLDMAN SACHS GROUP INC     | 11/04/2008    | 12/14/2010 | 33,873.34           |                | 18,645.34           | 15,228.00        | 0.00                                |
| 300.0000                                     | 38141G104      | THE GOLDMAN SACHS GROUP INC     | 11/04/2008    | 12/15/2010 | 49,844.09           |                | 27,968.01           | 21,876.08        | 0.00                                |
| 1000.0000                                    | 907818108      | UNION PACIFIC CORP              | 02/03/2006    | 12/14/2010 | 92,353.13           |                | 43,050.00           | 49,303.13        | 0.00                                |
| 200.0000                                     | 907818108      | UNION PACIFIC CORP              | 02/03/2006    | 12/15/2010 | 18,371.68           |                | 8,610.00            | 9,761.68         | 0.00                                |
| 1000.0000                                    | 931422109      | WALGREEN CO COM                 | 10/24/2008    | 12/14/2010 | 36,844.27           |                | 22,484.70           | 14,359.57        | 0.00                                |
| 5500.0000                                    | 084423102      | WR BERKLEY CORP                 | VARIOUS       | 05/10/2010 | 149,358.22          |                | 136,879.88          | 12,478.34        | 0.00                                |
| 5500.0000                                    | 084423102      | WR BERKLEY CORP                 | 01/05/2009    | 10/22/2010 | 152,960.66          |                | 165,839.85          | -12,879.19       | 0.00                                |
| Total Long Term 15% Sales Reported on 1099-B |                |                                 |               |            | 2,142,370.46        |                | 2,328,804.21        | -186,433.77      | 0.00                                |

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is tax and the IRS determines that it has not been reported.

| TAX<br>CODE/DATE TRANSACTIONS                                                                                            | TAXABLE   | INCOME    | PRINCIPAL | EDIT<br>NUMBER |
|--------------------------------------------------------------------------------------------------------------------------|-----------|-----------|-----------|----------------|
| 5 DOMESTIC DIVIDENDS (CONTINUED)<br>WALGREEN CO COM - 931422109 - MINOR = 59 (CONTINUED)                                 |           |           |           |                |
| 06/14/10 DIVIDEND RECEIVED 900 UNITS<br>TR TRAN# = ZY000587002868 TR CD = 005 REG = 17 PORT = INC<br>EX-DATE = 5/18/2010 | 123 75    | 123 75    |           | 1006000049     |
|                                                                                                                          | 247 50    | 247 50    | 0 00      |                |
| TOTAL CODE 5 - DOMESTIC DIVIDENDS                                                                                        | 183470.58 | 171560.80 | 0 00      |                |
| 83 MUNI INT REPORTED AS DIVIDENDS<br>*** NS - NO STATE<br>SSGA INST TAX FREE MM INV CL FD - CM1TFVXX3 - MINOR = 4        |           |           |           |                |
| 12/31/10 MUTUAL FUND EARNINGS                                                                                            | 32 09     |           |           |                |
| TOTAL CODE 83 - MUNI INT REPORTED AS DIVIDENDS                                                                           | 32.09     | 0 00      | 0 00      |                |
| 45 SHORT-TERM GAIN/LOSS - CURRENT SALES<br>ADOBE SYSTEMS INC - 00724F101 - MINOR = 59                                    |           |           |           |                |
| 09/09/10 300 SHARES AT 29 5007 USD<br>TR TRAN# = ZY000343012505 TR CD = 900 REG = 17 PORT = PRIN                         | -1764 06  |           | 8839 56   | 1009000003     |
| 09/10/10 200 SHARES AT 29 2437 USD<br>TR TRAN# = ZY000406009352 TR CD = 900 REG = 17 PORT = PRIN                         | -1227 44  |           | 5841 64   | 1009000006     |
| 09/13/10 200 SHARES AT 29 4076 USD<br>TR TRAN# = ZY000471013125 TR CD = 900 REG = 17 PORT = PRIN                         | -1194.66  |           | 5874 42   | 1009000009     |
| 09/14/10 300 SHARES AT 32 5545 USD<br>TR TRAN# = ZY000534015574 TR CD = 900 REG = 17 PORT = PRIN                         | -840 44   |           | 9763 18   | 1009000010     |
| 09/14/10 400 SHARES AT 32 5451 USD<br>TR TRAN# = ZY000534015576 TR CD = 900 REG = 17 PORT = PRIN                         | -1134 35  |           | 13003 81  | 1009000011     |
| 10/01/10 100 SHARES AT 26 3871 USD<br>TR TRAN# = ZY000013019576 TR CD = 900 REG = 17 PORT = PRIN                         | -899 38   |           | 2635 16   | 1010000004     |
| 10/01/10 400 SHARES AT 26 4377 USD<br>TR TRAN# = ZY000013019578 TR CD = 900 REG = 17 PORT = PRIN                         | -2660.86  |           | 10570 90  | 1010000005     |
| 10/04/10 300 SHARES AT 26 138 USD<br>TR TRAN# = ZY000079026666 TR CD = 900 REG = 17 PORT = PRIN                          | -2085 56  |           | 7838 26   | 1010000006     |
|                                                                                                                          | -11806 75 | 0 00      | 64366 93  |                |
| ALBERTO CULVER CO - 013078100 - MINOR = 59                                                                               |           |           |           |                |
| 04/22/10 2,000 SHARES AT 28 0003 USD<br>TR TRAN# = ZY000996012845 TR CD = 900 REG = 17 PORT = PRIN                       | 1185.37   |           | 55959 65  | 1004000017     |
| 04/22/10 1,400 SHARES AT 28 0148 USD<br>TR TRAN# = ZY000996012847 TR CD = 900 REG = 17 PORT = PRIN                       | 971 09    |           | 39171 05  | 1004000018     |
| 04/23/10 300 SHARES AT 28 0764 USD<br>TR TRAN# = ZY001059016476 TR CD = 900 REG = 17 PORT = PRIN                         | 177 72    |           | 8412 27   | 1004000023     |
| 04/26/10 200 SHARES AT 28 1627 USD<br>TR TRAN# = ZY001127019783 TR CD = 900 REG = 17 PORT = PRIN                         | 139 52    |           | 5625 44   | 1004000024     |
|                                                                                                                          | 2473 70   | 0 00      | 109168 41 |                |
| AON CORP - 037389103 - MINOR = 59                                                                                        |           |           |           |                |
| 11/09/10 700 SHARES AT 41 0019 USD<br>TR TRAN# = ZY000414025688 TR CD = 900 REG = 17 PORT = PRIN                         | -1335 20  |           | 28693 84  | 1011000006     |
| 11/10/10 300 SHARES AT 41 4164 USD<br>TR TRAN# = ZY000476014732 TR CD = 900 REG = 17 PORT = PRIN                         | 685 14    |           | 12421 71  | 1011000008     |
| 11/10/10 200 SHARES AT 41 0247 USD<br>TR TRAN# = ZY000476014734 TR CD = 900 REG = 17 PORT = PRIN                         | 360 42    |           | 8200 80   | 1011000009     |
| 11/10/10 100 SHARES AT 41 5525 USD<br>TR TRAN# = ZY000476014736 TR CD = 900 REG = 17 PORT = PRIN                         | 225 98    |           | 4154 17   | 1011000010     |
| 11/12/10 100 SHARES AT 41 2591 USD<br>TR TRAN# = ZY000539014398 TR CD = 900 REG = 17 PORT = PRIN                         | 196 65    |           | 4124 84   | 1011000012     |
|                                                                                                                          | 132 99    | 0 00      | 57595 36  |                |
| BAE SYSTEMS PLC ADR - 05523R107 - MINOR = 61                                                                             |           |           |           |                |

| TAX<br>CODE/DATE TRANSACTIONS                                                                                   | TAXABLE  | INCOME | PRINCIPAL | EDIT<br>NUMBER |
|-----------------------------------------------------------------------------------------------------------------|----------|--------|-----------|----------------|
| 45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)<br>BAE SYSTEMS PLC ADR - 05523R107 - MINOR = 61 (CONTINUED) |          |        |           |                |
| 04/23/10 1,300 SHARES AT 23 2564 USD<br>TR TRAN# = ZY001059016474 TR CD=900 REG=17 PORT=PRIN                    | 262 03   |        | 4644 20   | 1004000022     |
| 04/27/10 400 SHARES AT 21 9344 USD<br>TR TRAN# = ZY001189023028 TR CD=900 REG=17 PORT=PRIN                      | -67 83   |        | 8769 61   | 1004000026     |
| 04/28/10 200 SHARES AT 22 0335 USD<br>TR TRAN# = ZY001252022918 TR CD=900 REG=17 PORT=PRIN                      | -151 28  |        | 4404 62   | 1004000027     |
| 04/29/10 600 SHARES AT 22 3455 USD<br>TR TRAN# = ZY001317022222 TR CD=900 REG=17 PORT=PRIN                      | -117 11  |        | 13386 07  | 1004000028     |
|                                                                                                                 | -74.19   | 0 00   | 31204 50  |                |
| BAXTER INTERNATIONAL INC - 071813109 - MINOR = 59                                                               |          |        |           |                |
| 05/11/10 1,200 SHARES AT 46 4337 USD<br>TR TRAN# = ZY000407044478 TR CD=900 REG=17 PORT=PRIN                    | -1070 17 |        | 4642 29   | 1005000011     |
| 05/12/10 450 SHARES AT 45 1148 USD<br>TR TRAN# = ZY000470034467 TR CD=900 REG=17 PORT=PRIN                      | -5634.84 |        | 20285 56  | 1005000012     |
|                                                                                                                 | -6705.01 | 0 00   | 24927 85  |                |
| DIAMOND OFFSHORE DRILLING INC - 25271C102 - MINOR = 59                                                          |          |        |           |                |
| 09/24/10 200 SHARES AT 62.3403 USD<br>TR TRAN# = ZY001062019289 TR CD=900 REG=17 PORT=PRIN                      | -6600.56 |        | 12460 84  | 1009000021     |
|                                                                                                                 | -6600.56 | 0 00   | 12460 84  |                |
| ECOLAB INC - 278865100 - MINOR = 59                                                                             |          |        |           |                |
| 01/08/10 400 SHARES AT 44 4938 USD<br>TR TRAN# = ZY000276018273 TR CD=900 REG=17 PORT=PRIN                      | 3864.42  |        | 17783 06  | 1001000003     |
| 01/11/10 200 SHARES AT 44 4676 USD<br>TR TRAN# = ZY000345017691 TR CD=900 REG=17 PORT=PRIN                      | 1926.97  |        | 8886 29   | 1001000005     |
|                                                                                                                 | 5791 39  | 0 00   | 26669 35  |                |
| FRONTIER COMMUNICATIONS CORP - 35906A108 - MINOR = 59                                                           |          |        |           |                |
| 07/13/10 SALE OF FRACTIONS<br>TR TRAN# = CA000321004627 TR CD=900 REG=17 PORT=PRIN                              | -0.04    |        | 0 29      | 1007000004     |
| 08/31/10 240 SHARES AT 7 67679167 USD<br>TR TRAN# = ZY001367020329 TR CD=900 REG=17 PORT=PRIN                   | -168 71  |        | 1833 99   | 1008000018     |
|                                                                                                                 | -168 75  | 0 00   | 1834 28   |                |
| HEWLETT-PACKARD CO - 428236103 - MINOR = 59                                                                     |          |        |           |                |
| 02/01/10 100 SHARES AT 48 8489 USD<br>TR TRAN# = ZY000013030675 TR CD=900 REG=17 PORT=PRIN                      | 1272.99  |        | 4881 32   | 1002000001     |
|                                                                                                                 | 1272 99  | 0 00   | 4881 32   |                |
| MCDONALDS CORP - 580135101 - MINOR = 59                                                                         |          |        |           |                |
| 03/08/10 1,200 SHARES AT 63 6018 USD<br>TR TRAN# = ZY000341019154 TR CD=900 REG=17 PORT=PRIN                    | 2036 20  |        | 12713 20  | 1003000002     |
|                                                                                                                 | 2036 20  | 0 00   | 12713 20  |                |
| MERCK & CO INC - 58933Y105 - MINOR = 59                                                                         |          |        |           |                |
| 04/14/10 1,200 SHARES AT 36 8888 USD<br>TR TRAN# = ZY000605031848 TR CD=900 REG=17 PORT=PRIN                    | 13344 45 |        | 44253 81  | 1004000009     |
|                                                                                                                 | 13344 45 | 0 00   | 44253 81  |                |
| NOKIA CORP - ADR ONE ADR REPRESENTS ONE "A" SHARE - 654902204 - MINOR = 61                                      |          |        |           |                |
| 02/02/10 1,300 SHARES AT 14 0394 USD<br>TR TRAN# = ZY000079029654 TR CD=900 REG=17 PORT=PRIN                    | -28 16   |        | 2800 84   | 1002000003     |
|                                                                                                                 | -28 16   | 0 00   | 2800 84   |                |
| STRAYER EDUCATION INC - 863236105 - MINOR = 59                                                                  |          |        |           |                |
| 08/24/10 100 SHARES AT 165 3309 USD<br>TR TRAN# = ZY001043012870 TR CD=900 REG=17 PORT=PRIN                     | -3055 84 |        | 16531 81  | 1008000016     |
| 08/27/10 100 SHARES AT 168 5511 USD<br>TR TRAN# = ZY001237012161 TR CD=900 REG=17 PORT=PRIN                     | -2831 92 |        | 16853 82  | 1008000017     |

| TAX<br>CODE/DATE TRANSACTIONS                                                                  | TAXABLE   | INCOME | PRINCIPAL | EDIT<br>NUMBER |
|------------------------------------------------------------------------------------------------|-----------|--------|-----------|----------------|
| 45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)                                            |           |        |           |                |
| STRAYER EDUCATION INC - 863236105 - MINOR = 59 (CONTINUED)                                     |           |        |           |                |
| 10/14/10 100 SHARES AT 163 3829 USD<br>TR TRAN# = ZY000539022599 TR CD=900 REG=17 PORT=PRIN    | -3476 71  |        | 16337 01  | 1010000016     |
|                                                                                                | -9364 47  | 0 00   | 49722 64  |                |
| WAL-MART STORES INC - 931142103 - MINOR = 59                                                   |           |        |           |                |
| 08/04/10 700 SHARES AT 50 85 USD<br>TR TRAN# = ZY000146014684 TR CD=900 REG=17 PORT=PRIN       | -2553 34  |        | 30488 48  | 1008000001     |
|                                                                                                | -2553 34  | 0.00   | 30488 48  |                |
| WELLS FARGO CO - 949746101 - MINOR = 59                                                        |           |        |           |                |
| 10/20/10 4,600 SHARES AT 23 7062 USD<br>TR TRAN# = ZY000805021483 TR CD=900 REG=17 PORT=PRIN   | -10102 68 |        | 108862 67 | 1010000018     |
|                                                                                                | -10102.68 | 0.00   | 108862 67 |                |
| TRANSOCEAN LTD - H8817H100 - MINOR = 61                                                        |           |        |           |                |
| 06/22/10 500 SHARES AT 48 7228 USD<br>TR TRAN# = ZY000998017101 TR CD=900 REG=17 PORT=PRIN     | -9172.06  |        | 13395 79  | 1006000010     |
| 06/24/10 225 SHARES AT 53 70182222 USD<br>TR TRAN# = ZY001123017169 TR CD=900 REG=17 PORT=PRIN | -6384.15  |        | 12080 45  | 1006000011     |
|                                                                                                | -15556 21 | 0 00   | 25476 24  |                |
| TOTAL CODE 45 - SHORT-TERM GAIN/LOSS - CURRENT SALES                                           | -37908.40 | 0 00   | 607426 72 | ①              |
| 507 LONG-TERM 15% CAPITAL GAIN DIVIDENDS                                                       |           |        |           |                |
| SSGA INST TAX FREE MM INV CL FD - CM1TFVXX3 - MINOR = 4                                        |           |        |           |                |
| 12/31/10 MUTUAL FUND EARNINGS                                                                  | 84.93     |        | 84.93 ①   |                |
| TOTAL CODE 507 - LONG-TERM 15% CAPITAL GAIN DIVIDENDS                                          | 84.93 ②   | 0 00   | 0 00      |                |
| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES                                                    |           |        |           |                |
| AGILENT TECHNOLOGIES INC - 00846U101 - MINOR = 59                                              |           |        |           |                |
| 02/18/10 1,900 SHARES AT 30 0098 USD<br>TR TRAN# = ZY000794016618 TR CD=900 REG=17 PORT=PRIN   | -11171.74 |        | 56951 39  | 1002000021     |
| 02/18/10 1,700 SHARES AT 30 0032 USD<br>TR TRAN# = ZY000794016620 TR CD=900 REG=17 PORT=PRIN   | -7456.07  |        | 50987 79  | 1002000022     |
| 02/18/10 200 SHARES AT 29 9908 USD<br>TR TRAN# = ZY000794016624 TR CD=900 REG=17 PORT=PRIN     | -277 24   |        | 5996 08   | 1002000024     |
| 02/18/10 200 SHARES AT 29 9908 USD<br>TR TRAN# = ZY000794016626 TR CD=900 REG=17 PORT=PRIN     | -277 24   |        | 5996 08   | 1002000025     |
| 02/19/10 800 SHARES AT 30 1332 USD<br>TR TRAN# = ZY000856012773 TR CD=900 REG=17 PORT=PRIN     | -1096.30  |        | 24098 25  | 1002000026     |
|                                                                                                | -20278 59 | 0 00   | 144029 59 |                |
| AMERICAN MEDICAL SYSTEMS HLDGS INC - 02744M108 - MINOR = 59                                    |           |        |           |                |
| 01/27/10 100 SHARES AT 19 9266 USD<br>TR TRAN# = ZY001061013669 TR CD=900 REG=17 PORT=PRIN     | 602 13    |        | 1989 13   | 1001000020     |
| 01/28/10 100 SHARES AT 19 7734 USD<br>TR TRAN# = ZY001126022210 TR CD=900 REG=17 PORT=PRIN     | 586 81    |        | 1973 81   | 1001000024     |
| 01/29/10 100 SHARES AT 19 7694 USD<br>TR TRAN# = ZY001202025236 TR CD=900 REG=17 PORT=PRIN     | 586 41    |        | 1973 41   | 1001000025     |
| 02/01/10 100 SHARES AT 19 7238 USD<br>TR TRAN# = ZY000013030677 TR CD=900 REG=17 PORT=PRIN     | 581 85    |        | 1968 85   | 1002000002     |
| 02/04/10 100 SHARES AT 19 1143 USD<br>TR TRAN# = ZY000209023921 TR CD=900 REG=17 PORT=PRIN     | 523 40    |        | 1910 40   | 1002000005     |
| 02/05/10 100 SHARES AT 19 25 USD<br>TR TRAN# = ZY000274017979 TR CD=900 REG=17 PORT=PRIN       | 534 47    |        | 1921 47   | 1002000007     |
| 02/05/10 100 SHARES AT 19 2154 USD<br>TR TRAN# = ZY000274017981 TR CD=900 REG=17 PORT=PRIN     | 533 51    |        | 1920 51   | 1002000008     |
| 02/11/10 100 SHARES AT 18 3365 USD<br>TR TRAN# = ZY000532014147 TR CD=900 REG=17 PORT=PRIN     | 445 62    |        | 1832 62   | 1002000012     |

| TAX<br>CODE/DATE TRANSACTIONS                                                                | TAXABLE   | INCOME | PRINCIPAL | EDIT<br>NUMBER |
|----------------------------------------------------------------------------------------------|-----------|--------|-----------|----------------|
| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)                                      |           |        |           |                |
| AMERICAN MEDICAL SYSTEMS HLDGS INC - 02744M108 - MINOR = 59 (CONTINUED)                      |           |        |           |                |
| 02/12/10 100 SHARES AT 18 3355 USD<br>TR TRAN# = ZY000596019082 TR CD=900 REG=17 PORT=PRIN   | 445 52    |        | 1832 52   | 1002000014     |
| 02/16/10 100 SHARES AT 18 3781 USD<br>TR TRAN# = ZY000664013686 TR CD=900 REG=17 PORT=PRIN   | 449 78    |        | 1836 78   | 1002000016     |
| 02/17/10 100 SHARES AT 18 2475 USD<br>TR TRAN# = ZY000731031083 TR CD=900 REG=17 PORT=PRIN   | 436.72    |        | 1823 72   | 1002000018     |
| 02/19/10 100 SHARES AT 18.5989 USD<br>TR TRAN# = ZY000856012775 TR CD=900 REG=17 PORT=PRIN   | 471 86    |        | 1858 86   | 1002000027     |
| 02/22/10 100 SHARES AT 18 1317 USD<br>TR TRAN# = ZY000923016505 TR CD=900 REG=17 PORT=PRIN   | 425 14    |        | 1812 14   | 1002000028     |
|                                                                                              | 6623.22   | 0 00   | 24654 22  |                |
| AMERICAN WATER WORKS - 030420103 - MINOR = 59                                                |           |        |           |                |
| 11/17/10 400 SHARES AT 24 5403 USD<br>TR TRAN# = ZY000737013762 TR CD=900 REG=17 PORT=PRIN   | 2101 95   |        | 9801 95   | 1011000013     |
| 11/18/10 300 SHARES AT 24 8127 USD<br>TR TRAN# = ZY000801012137 TR CD=900 REG=17 PORT=PRIN   | 1658.18   |        | 7433 18   | 1011000015     |
|                                                                                              | 3760 13   | 0 00   | 17235 13  |                |
| APPLE INC - 037833100 - MINOR = 59                                                           |           |        |           |                |
| 04/29/10 100 SHARES AT 269 4503 USD<br>TR TRAN# = ZY001317022224 TR CD=900 REG=17 PORT=PRIN  | 17421.76  |        | 26943 57  | 1004000029     |
|                                                                                              | 17421 76  | 0 00   | 26943 57  |                |
| BAE SYSTEMS PLC ADR - 05523R107 - MINOR = 61                                                 |           |        |           |                |
| 04/23/10 1,300 SHARES AT 23.2564 USD<br>TR TRAN# = ZY001059016474 TR CD=900 REG=17 PORT=PRIN | -17715.89 |        | 25543 11  | 1004000022     |
|                                                                                              | -17715 89 | 0 00   | 25543 11  |                |
| BAXTER INTERNATIONAL INC - 071813109 - MINOR = 59                                            |           |        |           |                |
| 05/07/10 600 SHARES AT 45 2365 USD<br>TR TRAN# = ZY000274022341 TR CD=900 REG=17 PORT=PRIN   | -3869 47  |        | 27135 44  | 1005000004     |
| 05/10/10 200 SHARES AT 45 4136 USD<br>TR TRAN# = ZY000343041056 TR CD=900 REG=17 PORT=PRIN   | -2617 30  |        | 9080 56   | 1005000006     |
| 05/10/10 1,300 SHARES AT 45 5247 USD<br>TR TRAN# = ZY000343041064 TR CD=900 REG=17 PORT=PRIN | -17570 41 |        | 59168 10  | 1005000010     |
| 05/11/10 1,200 SHARES AT 46 4337 USD<br>TR TRAN# = ZY000407044478 TR CD=900 REG=17 PORT=PRIN | -7841 83  |        | 51065 20  | 1005000011     |
|                                                                                              | -31899 01 | 0 00   | 146449 30 |                |
| BERKSHIRE HATHAWAY INC - 084670702 - MINOR = 59                                              |           |        |           |                |
| 02/23/10 300 SHARES AT 76 6535 USD<br>TR TRAN# = ZY000987017280 TR CD=900 REG=17 PORT=PRIN   | 205.52    |        | 22992 76  | 1002000032     |
| 06/02/10 900 SHARES AT 73 2982 USD<br>TR TRAN# = ZY000081017427 TR CD=900 REG=17 PORT=PRIN   | 2932 06   |        | 65935 76  | 1006000001     |
| 07/08/10 100 SHARES AT 77 7525 USD<br>TR TRAN# = ZY000284014822 TR CD=900 REG=17 PORT=PRIN   | 2854 87   |        | 7771 61   | 1007000002     |
| 07/09/10 200 SHARES AT 78 3684 USD<br>TR TRAN# = ZY000348020402 TR CD=900 REG=17 PORT=PRIN   | 5832 94   |        | 15666 41  | 1007000003     |
|                                                                                              | 11825 39  | 0 00   | 112366 54 |                |
| BEST BUY COMPANY INC - 086516101 - MINOR = 59                                                |           |        |           |                |
| 01/12/10 600 SHARES AT 41 4668 USD<br>TR TRAN# = ZY000409011202 TR CD=900 REG=17 PORT=PRIN   | -4133 77  |        | 24873 44  | 1001000006     |
|                                                                                              | -4133 77  | 0 00   | 24873 44  |                |
| CAMECO CORP - 13321L108 - MINOR = 61                                                         |           |        |           |                |
| 05/06/10 900 SHARES AT 24 7553 USD<br>TR TRAN# = ZY000210022547 TR CD=900 REG=17 PORT=PRIN   | 5687 91   |        | 22247 89  | 1005000002     |
| 05/07/10 300 SHARES AT 24 4217 USD<br>TR TRAN# = ZY000274022343 TR CD=900 REG=17 PORT=PRIN   | 1083 09   |        | 7316 01   | 1005000005     |

TAX TRANSACTION DETAIL

| TAX<br>CODE/DATE TRANSACTIONS                                                                               | TAXABLE   | INCOME | PRINCIPAL | EDIT<br>NUMBER |
|-------------------------------------------------------------------------------------------------------------|-----------|--------|-----------|----------------|
| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)<br>CAMECO CORP - 13321L108 - MINOR = 61 (CONTINUED) |           |        |           |                |
|                                                                                                             | 6771.00   | 0 00   | 29563 90  |                |
| CARNIVAL CORP - 143658300 - MINOR = 61                                                                      |           |        |           |                |
| 10/29/10 400 SHARES AT 43 2196 USD<br>TR TRAN# = ZY001259013545 TR CD=900 REG=17 PORT=PRIN                  | 495 68    |        | 17273 54  | 1010000024     |
| 11/01/10 600 SHARES AT 42 0367 USD<br>TR TRAN# = ZY000013021064 TR CD=900 REG=17 PORT=PRIN                  | 1938 87   |        | 25215 59  | 1011000001     |
|                                                                                                             | 2434 55   | 0 00   | 42489 13  |                |
| CELGENE CORP - 151020104 - MINOR = 59                                                                       |           |        |           |                |
| 05/07/10 200 SHARES AT 60 0193 USD<br>TR TRAN# = ZY000274022339 TR CD=900 REG=17 PORT=PRIN                  | -2764 01  |        | 11996 65  | 1005000003     |
| 05/10/10 400 SHARES AT 59 975 USD<br>TR TRAN# = ZY000343041058 TR CD=900 REG=17 PORT=PRIN                   | -5051 48  |        | 23975 59  | 1005000007     |
| 05/10/10 200 SHARES AT 59 9494 USD<br>TR TRAN# = ZY000343041060 TR CD=900 REG=17 PORT=PRIN                  | -1829 35  |        | 11987 67  | 1005000008     |
| 05/10/10 200 SHARES AT 60.00 USD<br>TR TRAN# = ZY000343041062 TR CD=900 REG=17 PORT=PRIN                    | -1756.05  |        | 11992 79  | 1005000009     |
|                                                                                                             | -11400.89 | 0 00   | 59952 70  |                |
| COCA COLA CO - 191216100 - MINOR = 59                                                                       |           |        |           |                |
| 02/10/10 1,000 SHARES AT 52 9403 USD<br>TR TRAN# = ZY000469031527 TR CD=900 REG=17 PORT=PRIN                | -9215.78  |        | 52929 62  | 1002000010     |
| 02/11/10 100 SHARES AT 52 9719 USD<br>TR TRAN# = ZY000532014145 TR CD=900 REG=17 PORT=PRIN                  | -995.88   |        | 5296 12   | 1002000011     |
| 05/26/10 900 SHARES AT 51.1303 USD<br>TR TRAN# = ZY001121035356 TR CD=900 REG=17 PORT=PRIN                  | -967.38   |        | 45984 99  | 1005000023     |
|                                                                                                             | -11179.04 | 0 00   | 104210 73 |                |
| COSTCO WHOLESALE CORP - 22160K105 - MINOR = 59                                                              |           |        |           |                |
| 01/20/10 300 SHARES AT 58 8117 USD<br>TR TRAN# = ZY000735012764 TR CD=900 REG=17 PORT=PRIN                  | -1097 69  |        | 17640 28  | 1001000014     |
| 01/21/10 100 SHARES AT 58 7534 USD<br>TR TRAN# = ZY000802010829 TR CD=900 REG=17 PORT=PRIN                  | -839.73   |        | 5874 26   | 1001000015     |
| 04/30/10 200 SHARES AT 59 5049 USD<br>TR TRAN# = ZY001386021717 TR CD=900 REG=17 PORT=PRIN                  | -1529.21  |        | 11898 77  | 1004000030     |
|                                                                                                             | -3466 63  | 0 00   | 35413 31  |                |
| DAVITA INC - 23918K108 - MINOR = 59                                                                         |           |        |           |                |
| 05/06/10 800 SHARES AT 63 0383 USD<br>TR TRAN# = ZY000210022545 TR CD=900 REG=17 PORT=PRIN                  | 7525 30   |        | 50401 78  | 1005000001     |
| 05/24/10 100 SHARES AT 63 8244 USD<br>TR TRAN# = ZY000992023959 TR CD=900 REG=17 PORT=PRIN                  | 550 78    |        | 6378 83   | 1005000019     |
| 05/24/10 100 SHARES AT 63 7537 USD<br>TR TRAN# = ZY000992023961 TR CD=900 REG=17 PORT=PRIN                  | 470 78    |        | 6374 26   | 1005000020     |
| 05/25/10 200 SHARES AT 62 0047 USD<br>TR TRAN# = ZY001058064787 TR CD=900 REG=17 PORT=PRIN                  | 591 77    |        | 12398 73  | 1005000022     |
| 05/28/10 100 SHARES AT 59 9361 USD<br>TR TRAN# = ZY001250040032 TR CD=900 REG=17 PORT=PRIN                  | 89 02     |        | 5992 50   | 1005000026     |
| 10/29/10 200 SHARES AT 71 2599 USD<br>TR TRAN# = ZY001259013547 TR CD=900 REG=17 PORT=PRIN                  | 2442 77   |        | 14249 73  | 1010000025     |
|                                                                                                             | 11670 42  | 0 00   | 95795 83  |                |
| DISNEY (THE WALT) COMPANY DEL - 254687106 - MINOR = 59                                                      |           |        |           |                |
| 01/13/10 1,100 SHARES AT 31 6928 USD<br>TR TRAN# = ZY000473016253 TR CD=900 REG=17 PORT=PRIN                | -3580 06  |        | 34839 18  | 1001000007     |
| 01/13/10 500 SHARES AT 31.7546 USD<br>TR TRAN# = ZY000473016255 TR CD=900 REG=17 PORT=PRIN                  | -142.71   |        | 15871 89  | 1001000008     |
| 01/28/10 900 SHARES AT 29 9719 USD<br>TR TRAN# = ZY001126022208 TR CD=900 REG=17 PORT=PRIN                  | -1883 42  |        | 26942 86  | 1001000023     |

| TAX<br>CODE/DATE TRANSACTIONS                                                              | TAXABLE   | INCOME | PRINCIPAL | EDIT<br>NUMBER |
|--------------------------------------------------------------------------------------------|-----------|--------|-----------|----------------|
| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)                                    |           |        |           |                |
| DISNEY (THE WALT) COMPANY DEL - 254687106 - MINOR = 59 (CONTINUED)                         |           |        |           |                |
|                                                                                            | -5606 19  | 0 00   | 77653 93  |                |
| EDISON INTERNATIONAL - 281020107 - MINOR = 59                                              |           |        |           |                |
| 04/22/10 400 SHARES AT 33 8627 USD<br>TR TRAN#=ZY000996012849 TR CD=900 REG=17 PORT=PRIN   | 388 47    |        | 13530 85  | 1004000019     |
| 04/23/10 1,300 SHARES AT 34 0717 USD<br>TR TRAN#=ZY001059016472 TR CD=900 REG=17 PORT=PRIN | 1213 32   |        | 44246 96  | 1004000021     |
|                                                                                            | 1601 79   | 0 00   | 57777 81  |                |
| ENERGIZER HLDGS INC - 29266R108 - MINOR = 59                                               |           |        |           |                |
| 02/17/10 1,000 SHARES AT 55 0402 USD<br>TR TRAN#=ZY000731031085 TR CD=900 REG=17 PORT=PRIN | -25718.46 |        | 55029 50  | 1002000019     |
| 02/18/10 200 SHARES AT 54 5742 USD<br>TR TRAN#=ZY000794016622 TR CD=900 REG=17 PORT=PRIN   | -5419 56  |        | 10912 70  | 1002000023     |
|                                                                                            | -31138 02 | 0 00   | 65942 20  |                |
| FIRST SOLAR INC - 336433107 - MINOR = 59                                                   |           |        |           |                |
| 05/20/10 250 SHARES AT 113 1102 USD<br>TR TRAN#=ZY000862017480 TR CD=900 REG=17 PORT=PRIN  | 2083.17   |        | 28268 32  | 1005000018     |
|                                                                                            | 2083 17   | 0 00   | 28268 32  |                |
| THE GOLDMAN SACHS GROUP INC - 38141G104 - MINOR = 59                                       |           |        |           |                |
| 04/21/10 300 SHARES AT 160 5621 USD<br>TR TRAN#=ZY000933015754 TR CD=900 REG=17 PORT=PRIN  | -1406.08  |        | 48164 81  | 1004000014     |
| 04/22/10 300 SHARES AT 161 3406 USD<br>TR TRAN#=ZY000996012841 TR CD=900 REG=17 PORT=PRIN  | -980 19   |        | 48398.36  | 1004000015     |
| 04/22/10 200 SHARES AT 163 0551 USD<br>TR TRAN#=ZY000996012843 TR CD=900 REG=17 PORT=PRIN  | -54 12    |        | 32608 46  | 1004000016     |
|                                                                                            | -2440 39  | 0 00   | 129171 63 |                |
| HANESBRANDS INC - 410345102 - MINOR = 59                                                   |           |        |           |                |
| 01/06/10 100 SHARES AT 24 4337 USD<br>TR TRAN#=ZY000145006396 TR CD=900 REG=17 PORT=PRIN   |           |        | 2439 80   | 1001000001     |
| 01/13/10 100 SHARES AT 24 6994 USD<br>TR TRAN#=ZY000473016257 TR CD=900 REG=17 PORT=PRIN   | -438.63   |        | 2466.37   | 1001000009     |
| 01/19/10 100 SHARES AT 24 115 USD<br>TR TRAN#=ZY000667029861 TR CD=900 REG=17 PORT=PRIN    | -497 04   |        | 2407 96   | 1001000012     |
|                                                                                            | -935 67   | 0 00   | 7314 13   |                |
| HEWLETT-PACKARD CO - 428236103 - MINOR = 59                                                |           |        |           |                |
| 10/28/10 200 SHARES AT 43 0717 USD<br>TR TRAN#=ZY001196020127 TR CD=900 REG=17 PORT=PRIN   | 1395 53   |        | 8612 19   | 1010000020     |
|                                                                                            | 1395 53   | 0 00   | 8612 19   |                |
| HUDSON CITY BANCORP INC - 443683107 - MINOR = 59                                           |           |        |           |                |
| 09/24/10 400 SHARES AT 12 2416 USD<br>TR TRAN#=ZY001062019287 TR CD=900 REG=17 PORT=PRIN   | -132.33   |        | 4892 55   | 1009000020     |
| 09/27/10 1,400 SHARES AT 12 1369 USD<br>TR TRAN#=ZY001130012426 TR CD=900 REG=17 PORT=PRIN | -609 71   |        | 16977 37  | 1009000024     |
| 09/28/10 100 SHARES AT 12 1248 USD<br>TR TRAN#=ZY001192012047 TR CD=900 REG=17 PORT=PRIN   | -44 77    |        | 1211 45   | 1009000026     |
| 09/29/10 100 SHARES AT 12 2192 USD<br>TR TRAN#=ZY001255015137 TR CD=900 REG=17 PORT=PRIN   | -35 33    |        | 1220 89   | 1009000027     |
|                                                                                            | -822 14   | 0 00   | 24302 26  |                |
| J P MORGAN CHASE & CO COM - 46625H100 - MINOR = 59                                         |           |        |           |                |
| 04/23/10 600 SHARES AT 45 8594 USD<br>TR TRAN#=ZY001059016470 TR CD=900 REG=17 PORT=PRIN   | -1858 07  |        | 27509 17  | 1004000020     |
|                                                                                            | -1858 07  | 0 00   | 27509 17  |                |

| TAX<br>CODE/DATE TRANSACTIONS                                                                         | TAXABLE   | INCOME | PRINCIPAL | EDIT<br>NUMBER |
|-------------------------------------------------------------------------------------------------------|-----------|--------|-----------|----------------|
| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)<br>JOHNSON & JOHNSON - 478160104 - MINOR = 59 |           |        |           |                |
| 06/03/10 1,300 SHARES AT 58 5715 USD<br>TR TRAN#=ZY000145013129 TR CD=900 REG=17 PORT=PRIN            | 3496.07   |        | 76128 66  | 1006000002     |
| 06/03/10 1,700 SHARES AT 58 581 USD<br>TR TRAN#=ZY000145013131 TR CD=900 REG=17 PORT=PRIN             | 4504.83   |        | 99526 51  | 1006000003     |
|                                                                                                       | 8000.90   | 0 00   | 175655 17 |                |
| MCDONALDS CORP - 580135101 - MINOR = 59                                                               |           |        |           |                |
| 02/23/10 600 SHARES AT 64 4802 USD<br>TR TRAN#=ZY000987017278 TR CD=900 REG=17 PORT=PRIN              | 4615 78   |        | 38666 62  | 1002000031     |
| 03/08/10 1,200 SHARES AT 63 6018 USD<br>TR TRAN#=ZY000341019154 TR CD=900 REG=17 PORT=PRIN            | 4507 35   |        | 63565 99  | 1003000002     |
|                                                                                                       | 9123 13   | 0 00   | 102232 61 |                |
| MEDTRONIC INC COM - 585055106 - MINOR = 59                                                            |           |        |           |                |
| 10/29/10 300 SHARES AT 35.9811 USD<br>TR TRAN#=ZY001259013543 TR CD=900 REG=17 PORT=PRIN              | -3295 96  |        | 10783 64  | 1010000023     |
| 11/29/10 800 SHARES AT 34 059 USD<br>TR TRAN#=ZY001196012144 TR CD=900 REG=17 PORT=PRIN               | -10734.67 |        | 27218 73  | 1011000018     |
|                                                                                                       | -14030.63 | 0 00   | 38002 37  |                |
| NOKIA CORP - ADR ONE ADR REPRESENTS ONE "A" SHARE - 654902204 - MINOR = 61                            |           |        |           |                |
| 02/02/10 1,300 SHARES AT 14 0394 USD<br>TR TRAN#=ZY000079029654 TR CD=900 REG=17 PORT=PRIN            | -15439 36 |        | 15404 64  | 1002000003     |
|                                                                                                       | -15439 36 | 0 00   | 15404 64  |                |
| PAYCHEX INC - 704326107 - MINOR = 59                                                                  |           |        |           |                |
| 03/31/10 900 SHARES AT 31 1971 USD<br>TR TRAN#=ZY001450021674 TR CD=900 REG=17 PORT=PRIN              | -6910 99  |        | 28068 03  | 1003000013     |
| 04/01/10 900 SHARES AT 31 2247 USD<br>TR TRAN#=ZY000013024924 TR CD=900 REG=17 PORT=PRIN              | -6940.74  |        | 28092.75  | 1004000001     |
| 04/05/10 500 SHARES AT 31 0726 USD<br>TR TRAN#=ZY000141017273 TR CD=900 REG=17 PORT=PRIN              | -3932 02  |        | 15531 03  | 1004000002     |
| 04/06/10 300 SHARES AT 30 8287 USD<br>TR TRAN#=ZY000207011644 TR CD=900 REG=17 PORT=PRIN              | -2439 88  |        | 9237 95   | 1004000003     |
| 04/06/10 100 SHARES AT 30 7997 USD<br>TR TRAN#=ZY000207011646 TR CD=900 REG=17 PORT=PRIN              | -921.59   |        | 3078 91   | 1004000004     |
| 04/07/10 600 SHARES AT 30 7107 USD<br>TR TRAN#=ZY000271016010 TR CD=900 REG=17 PORT=PRIN              | -5582 89  |        | 18420 11  | 1004000005     |
| 04/08/10 400 SHARES AT 31 0576 USD<br>TR TRAN#=ZY000339021813 TR CD=900 REG=17 PORT=PRIN              | -3593.17  |        | 12408 83  | 1004000006     |
|                                                                                                       | -30321.28 | 0 00   | 114837 61 |                |
| PEPSICO INC - 713448108 - MINOR = 59                                                                  |           |        |           |                |
| 03/22/10 375 SHARES AT 66 27349333 USD<br>TR TRAN#=ZY000998018476 TR CD=900 REG=17 PORT=PRIN          | 554 86    |        | 24848 49  | 1003000010     |
|                                                                                                       | 554 86    | 0 00   | 24848 49  |                |
| POTASH CORP SASK INC - 73755L107 - MINOR = 61                                                         |           |        |           |                |
| 08/20/10 25 SHARES AT 143 9144 USD<br>TR TRAN#=ZY000915012983 TR CD=900 REG=17 PORT=PRIN              | 2404 83   |        | 3596 91   | 1008000013     |
| 08/23/10 275 SHARES AT 147 38778182 USD<br>TR TRAN#=ZY000981010539 TR CD=900 REG=17 PORT=PRIN         | 27408 40  |        | 40521 32  | 1008000015     |
| 09/21/10 100 SHARES AT 148 2278 USD<br>TR TRAN#=ZY000863011281 TR CD=900 REG=17 PORT=PRIN             | 2204 52   |        | 14819 02  | 1009000017     |
| 09/22/10 200 SHARES AT 147 8561 USD<br>TR TRAN#=ZY000930010825 TR CD=900 REG=17 PORT=PRIN             | 4334 72   |        | 29563 72  | 1009000018     |
|                                                                                                       | 36352 47  | 0 00   | 88500 97  |                |
| PROCTER & GAMBLE CO COM - 742718109 - MINOR = 59                                                      |           |        |           |                |
| 09/30/10 300 SHARES AT 61 0423 USD<br>TR TRAN#=ZY001322016129 TR CD=900 REG=17 PORT=PRIN              | 2366 06   |        | 18301 88  | 1009000029     |

| TAX<br>CODE/DATE TRANSACTIONS                                                                                           | TAXABLE   | INCOME | PRINCIPAL | EDIT<br>NUMBER |
|-------------------------------------------------------------------------------------------------------------------------|-----------|--------|-----------|----------------|
| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)<br>PROCTER & GAMBLE CO COM - 742718109 - MINOR = 59 (CONTINUED) |           |        |           |                |
| 10/01/10 1,300 SHARES AT 60 613 USD<br>TR TRAN# = ZY000013019574 TR CD=900 REG=17 PORT=PRIN                             | 9330 58   |        | 78750 06  | 1010000003     |
|                                                                                                                         | 11696 64  | 0 00   | 97051 94  |                |
| SAP AG ADR - 803054204 - MINOR = 61                                                                                     |           |        |           |                |
| 01/29/10 1,000 SHARES AT 46 1654 USD<br>TR TRAN# = ZY001202025238 TR CD=900 REG=17 PORT=PRIN                            | -4027 63  |        | 46129 81  | 1001000026     |
| 04/14/10 400 SHARES AT 48 8436 USD<br>TR TRAN# = ZY000605031850 TR CD=900 REG=17 PORT=PRIN                              | -1244 54  |        | 19523 10  | 1004000010     |
|                                                                                                                         | -5272 17  | 0 00   | 65652 91  |                |
| TIME WARNER INC - 887317303 - MINOR = 59                                                                                |           |        |           |                |
| 09/29/10 1,148 SHARES AT 31 4025 USD<br>TR TRAN# = ZY001255015139 TR CD=900 REG=17 PORT=PRIN                            | -4582 07  |        | 36009 28  | 1009000028     |
| 10/01/10 285 SHARES AT 30 96950877 USD<br>TR TRAN# = ZY000013019570 TR CD=900 REG=17 PORT=PRIN                          | -1244 52  |        | 8823 31   | 1010000001     |
|                                                                                                                         | -5826 59  | 0 00   | 44832 59  |                |
| UNILEVER NV NY SHARE F - 904784709 - MINOR = 61                                                                         |           |        |           |                |
| 08/16/10 900 SHARES AT 27 3574 USD<br>TR TRAN# = ZY000658030831 TR CD=900 REG=17 PORT=PRIN                              | 222 06    |        | 24589 74  | 1008000006     |
| 08/17/10 1,200 SHARES AT 27 4149 USD<br>TR TRAN# = ZY000725012043 TR CD=900 REG=17 PORT=PRIN                            | -6294 72  |        | 32855 32  | 1008000008     |
| 08/18/10 900 SHARES AT 27 0483 USD<br>TR TRAN# = ZY000787011323 TR CD=900 REG=17 PORT=PRIN                              | -2970 45  |        | 24311 55  | 1008000009     |
| 08/19/10 300 SHARES AT 27.125 USD<br>TR TRAN# = ZY000850013000 TR CD=900 REG=17 PORT=PRIN                               | 2191 36   |        | 8126 86   | 1008000011     |
|                                                                                                                         | -6851 75  | 0 00   | 89883.47  |                |
| UNITED PARCEL SERVICE - CL B - 911312106 - MINOR = 59                                                                   |           |        |           |                |
| 01/07/10 400 SHARES AT 58 2092 USD<br>TR TRAN# = ZY000212018964 TR CD=900 REG=17 PORT=PRIN                              | -6082 26  |        | 23269 08  | 1001000002     |
|                                                                                                                         | -6082 26  | 0 00   | 23269 08  |                |
| VULCAN MATERIALS CO - 929160109 - MINOR = 59                                                                            |           |        |           |                |
| 09/10/10 200 SHARES AT 39 1469 USD<br>TR TRAN# = ZY000406009350 TR CD=900 REG=17 PORT=PRIN                              | -8630 11  |        | 7827 24   | 1009000005     |
| 09/13/10 100 SHARES AT 38 239 USD<br>TR TRAN# = ZY000471013123 TR CD=900 REG=17 PORT=PRIN                               | -3238 03  |        | 3822 83   | 1009000008     |
| 09/15/10 100 SHARES AT 38 5337 USD<br>TR TRAN# = ZY000604012720 TR CD=900 REG=17 PORT=PRIN                              | -3208 56  |        | 3852 30   | 1009000012     |
| 09/16/10 100 SHARES AT 38 5166 USD<br>TR TRAN# = ZY000668014311 TR CD=900 REG=17 PORT=PRIN                              | -2823 77  |        | 3850 59   | 1009000014     |
| 09/23/10 100 SHARES AT 36 6336 USD<br>TR TRAN# = ZY000999020738 TR CD=900 REG=17 PORT=PRIN                              | -1852 58  |        | 3662 29   | 1009000019     |
| 09/24/10 200 SHARES AT 37 767 USD<br>TR TRAN# = ZY001062019291 TR CD=900 REG=17 PORT=PRIN                               | -3478 47  |        | 7551 27   | 1009000022     |
| 09/27/10 100 SHARES AT 36 8994 USD<br>TR TRAN# = ZY001130012424 TR CD=900 REG=17 PORT=PRIN                              | -1761 66  |        | 3688 87   | 1009000023     |
| 10/01/10 100 SHARES AT 36 5551 USD<br>TR TRAN# = ZY000013019572 TR CD=900 REG=17 PORT=PRIN                              | -1603 06  |        | 3654 44   | 1010000002     |
| 10/04/10 100 SHARES AT 36 8781 USD<br>TR TRAN# = ZY000079026668 TR CD=900 REG=17 PORT=PRIN                              | -1456 40  |        | 3686 74   | 1010000007     |
| 10/06/10 200 SHARES AT 37 6882 USD<br>TR TRAN# = ZY000211013425 TR CD=900 REG=17 PORT=PRIN                              | -2064 61  |        | 7535 51   | 1010000010     |
| 10/12/10 100 SHARES AT 37 9773 USD<br>TR TRAN# = ZY000407018557 TR CD=900 REG=17 PORT=PRIN                              | -1003 40  |        | 3796 66   | 1010000014     |
| 10/28/10 100 SHARES AT 37 1382 USD<br>TR TRAN# = ZY001196020129 TR CD=900 REG=17 PORT=PRIN                              | -1087 31  |        | 3712 75   | 1010000021     |
|                                                                                                                         | -32207 96 | 0 00   | 56641 49  |                |

| TAX<br>CODE/DATE TRANSACTIONS                                                                                               | TAXABLE     | INCOME  | PRINCIPAL   | EDIT<br>NUMBER |
|-----------------------------------------------------------------------------------------------------------------------------|-------------|---------|-------------|----------------|
| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)<br>WAL-MART STORES INC - 931142103 - MINOR = 59                     |             |         |             |                |
| 05/27/10 600 SHARES AT 51 1627 USD<br>TR TRAN#=ZY001186026311 TR CD=900 REG=17 PORT=PRIN                                    | 611 42      |         | 30676 10    | 1005000025     |
| 08/04/10 700 SHARES AT 50 85 USD<br>TR TRAN#=ZY000146014684 TR CD=900 REG=17 PORT=PRIN                                      | 70 63       |         | 5081 41     | 1008000001     |
|                                                                                                                             | 682 05      | 0 00    | 35757 51    |                |
| WALGREEN CO COM - 931422109 - MINOR = 59                                                                                    |             |         |             |                |
| 08/11/10 900 SHARES AT 27.9735 USD<br>TR TRAN#=ZY000466015275 TR CD=900 REG=17 PORT=PRIN                                    | -7602 28    |         | 25166 72    | 1008000003     |
|                                                                                                                             | -7602 28    | 0 00    | 25166 72    |                |
| TRANSOCEAN LTD - H8817H100 - MINOR = 61                                                                                     |             |         |             |                |
| 06/22/10 500 SHARES AT 48 7228 USD<br>TR TRAN#=ZY000998017101 TR CD=900 REG=17 PORT=PRIN                                    | -5731 44    |         | 10960 19    | 1006000010     |
|                                                                                                                             | -5731.44    | 0 00    | 10960 19    |                |
| TOTAL CODE 509 - LONG-TERM 15% GAIN/LOSS - CURRENT SALES                                                                    | -140243.01② | 0 00    | 2324769 90① |                |
|                                                                                                                             | =====       | =====   | =====       |                |
| 519 SHORT-TERM SALES PROCEEDS<br>NATIONAL GRID PLC-SPONSORED ADR - 636274300 - MINOR = 61                                   |             |         |             |                |
| 12/31/10 MUTUAL FUND EARNINGS                                                                                               | 4273 10     |         |             |                |
| TOTAL CODE 519 - SHORT-TERM SALES PROCEEDS                                                                                  | 4273.10     | 0 00    | 0 00        |                |
|                                                                                                                             | =====       | =====   | =====       |                |
| 29 FOREIGN TAXES<br>*** CA - CANADA                                                                                         |             |         |             |                |
| BARRICK GOLD CORP - 067901108 - MINOR = 61                                                                                  |             |         |             |                |
| 06/15/10 CASH DISBURSEMENT<br>FOREIGN TAX WITHHELD<br>PAID TO: MSW0061<br>TR TRAN#=IN000715002691 TR CD=004 REG=17 PORT=INC | -123 00     | -123 00 |             | 1006000058     |
| 09/15/10 CASH DISBURSEMENT<br>FOREIGN TAX WITHHELD<br>PAID TO MSW0061<br>TR TRAN#=IN000674001832 TR CD=004 REG=17 PORT=INC  | -73.80      | -73 80  |             | 1009000051     |
| 12/15/10 CASH DISBURSEMENT<br>FOREIGN TAX WITHHELD<br>PAID TO MSW0061<br>TR TRAN#=IN000682002657 TR CD=004 REG=17 PORT=INC  | -73.80      | -73 80  |             | 1012000041     |
|                                                                                                                             | -270 60     | -270 60 | 0 00        |                |
| CAMECO CORP - 13321L108 - MINOR = 61                                                                                        |             |         |             |                |
| 01/15/10 CASH DISBURSEMENT<br>FOREIGN TAX WITHHELD<br>PAID TO MSW0061<br>TR TRAN#=IN000543001245 TR CD=004 REG=17 PORT=INC  | -43 91      | -43 91  |             | 1001000039     |
| 04/15/10 CASH DISBURSEMENT<br>FOREIGN TAX WITHHELD<br>PAID TO MSW0061<br>TR TRAN#=IN000612001233 TR CD=004 REG=17 PORT=INC  | -52 75      | -52 75  |             | 1004000049     |
| 07/15/10 CASH DISBURSEMENT<br>FOREIGN TAX WITHHELD<br>PAID TO MSW0061<br>TR TRAN#=IN000644001194 TR CD=004 REG=17 PORT=INC  | -38 48      | -38 48  |             | 1007000042     |
| 10/15/10 CASH DISBURSEMENT<br>FOREIGN TAX WITHHELD<br>PAID TO MSW0061<br>TR TRAN#=IN000562001155 TR CD=004 REG=17 PORT=INC  | -56 23      | -56 23  |             | 1010000042     |
|                                                                                                                             | -191 37     | -191 37 | 0 00        |                |
| CANADIAN NATURAL RESOURCES LTD - 136385101 - MINOR = 61                                                                     |             |         |             |                |
| 01/04/10 CASH DISBURSEMENT<br>FOREIGN TAX WITHHELD<br>PAID TO MSW0061<br>TR TRAN#=IN00050000254 TR CD=004 REG=17 PORT=INC   | -20 07      | -20 07  |             | 1001000008     |

LTL loss -140,150

ST Proceeds \$2,932,282

| TAX<br>CODE/DATE TRANSACTIONS                                                                                     | TAXABLE  | INCOME | PRINCIPAL | EDIT<br>NUMBER |
|-------------------------------------------------------------------------------------------------------------------|----------|--------|-----------|----------------|
| 10 FOREIGN INTEREST (CONTINUED)<br>*** NO - NORWAY (CONTINUED)                                                    |          |        |           |                |
| TANDBERG ASA - 728344904 - MINOR = 61 (CONTINUED)                                                                 |          |        |           |                |
| TOTAL CODE 10 - FOREIGN INTEREST                                                                                  | 148 54   | 148 54 | 0 00      |                |
| 83 MUNI INT REPORTED AS DIVIDENDS<br>*** NS - NO STATE<br>SSGA INST TAX FREE MM INV CL FD - CM1TFVXX3 - MINOR = 4 |          |        |           |                |
| 12/31/10 MUTUAL FUND EARNINGS                                                                                     | 15 25    |        |           |                |
| TOTAL CODE 83 - MUNI INT REPORTED AS DIVIDENDS                                                                    | 15.25    | 0 00   | 0 00      |                |
| 45 SHORT-TERM GAIN/LOSS - CURRENT SALES<br>CRH PLC - 018270009 - MINOR = 61                                       |          |        |           |                |
| 09/27/10 2,700 SHARES AT 12 9417 EUR<br>TR TRAN# = ZY001128001367 TR CD=900 REG=116 PORT=PRIN                     | -796 51  |        | 1931 15   | 1009000010     |
|                                                                                                                   | -796.51  | 0 00   | 1931 15   |                |
| BAE SYSTEMS PLC - 026349902 - MINOR = 61                                                                          |          |        |           |                |
| 04/23/10 5,824 SHARES AT 3 75799966 GBP<br>TR TRAN# = ZY001057001507 TR CD=900 REG=116 PORT=PRIN                  | 500.12   |        | 33543 30  | 1004000020     |
|                                                                                                                   | 500 12   | 0 00   | 33543 30  |                |
| BG GROUP PLC - 087628905 - MINOR = 61                                                                             |          |        |           |                |
| 07/06/10 1,000 SHARES AT 10 0635 GBP<br>TR TRAN# = ZY000146001612 TR CD=900 REG=116 PORT=PRIN                     | -1892 62 |        | 13719 17  | 1007000007     |
|                                                                                                                   | -1892.62 | 0 00   | 13719.17  |                |
| CAMECO CORP - 13321L959 - MINOR = 61                                                                              |          |        |           |                |
| 05/05/10 600 SHARES AT 25 1089 CAD<br>TR TRAN# = ZY000142002149 TR CD=900 REG=116 PORT=PRIN                       | -3235.35 |        | 14664.40  | 1005000011     |
| 05/06/10 1,100 SHARES AT 25.0759 CAD<br>TR TRAN# = ZY000208001619 TR CD=900 REG=116 PORT=PRIN                     | -149.00  |        | 4881.70   | 1005000013     |
|                                                                                                                   | -3384 35 | 0 00   | 19546.10  |                |
| VIVENDI - 483477907 - MINOR = 61                                                                                  |          |        |           |                |
| 05/20/10 300 SHARES AT 17 7816 EUR<br>TR TRAN# = ZY000860001325 TR CD=900 REG=116 PORT=PRIN                       | -1825 87 |        | 6590 30   | 1005000026     |
| 05/21/10 300 SHARES AT 17 8681 EUR<br>TR TRAN# = ZY000924000949 TR CD=900 REG=116 PORT=PRIN                       | -1865 03 |        | 6551 15   | 1005000027     |
| 06/01/10 300 SHARES AT 17 0466 EUR<br>TR TRAN# = ZY000011001598 TR CD=900 REG=116 PORT=PRIN                       | -2191 25 |        | 6224 92   | 1006000001     |
|                                                                                                                   | -5882 15 | 0 00   | 19366 37  |                |
| ALLIANZ AG-REG - 523148906 - MINOR = 61                                                                           |          |        |           |                |
| 02/25/10 200 SHARES AT 82 2006 EUR<br>TR TRAN# = ZY001114001170 TR CD=900 REG=116 PORT=PRIN                       | 2819 83  |        | 22215 15  | 1002000019     |
|                                                                                                                   | 2819 83  | 0 00   | 22215 15  |                |
| BANCO SANTANDER CENTRAL HISPANO SA - 570594903 - MINOR = 61                                                       |          |        |           |                |
| 05/11/10 487 SHARES AT 8.50579055 EUR<br>TR TRAN# = ZY000405002683 TR CD=900 REG=116 PORT=PRIN                    | -2728 32 |        | 5227 54   | 1005000020     |
| 05/13/10 794 SHARES AT 9.17515113 EUR<br>TR TRAN# = ZY000531000961 TR CD=900 REG=116 PORT=PRIN                    | -3656 00 |        | 9315 16   | 1005000022     |
| 05/14/10 84 SHARES AT 8 905 EUR<br>TR TRAN# = ZY000598002289 TR CD=900 REG=116 PORT=PRIN                          | -426 64  |        | 945 63    | 1005000023     |
| 05/14/10 221 SHARES AT 9 09072398 EUR<br>TR TRAN# = ZY000598002291 TR CD=900 REG=116 PORT=PRIN                    | -1065 31 |        | 2539 80   | 1005000024     |
|                                                                                                                   | -7876 27 | 0 00   | 18028 13  |                |
| NOKIA OYJ - 590294906 - MINOR = 61                                                                                |          |        |           |                |

| TAX<br>CODE/DATE TRANSACTIONS                                                                         | TAXABLE  | INCOME | PRINCIPAL | EDIT<br>NUMBER |
|-------------------------------------------------------------------------------------------------------|----------|--------|-----------|----------------|
| 45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)<br>NOKIA OYJ - 590294906 - MINOR = 61 (CONTINUED) |          |        |           |                |
| 02/04/10 2,007 SHARES AT 10 0068012 EUR<br>TR TRAN# = ZY000207002444 TR CD=900 REG=116 PORT=PRIN      | 25.65    |        | 27900 83  | 1002000008     |
|                                                                                                       | 25 65    | 0 00   | 27900 83  |                |
| ASM LITHOGRAPHY - 594936908 - MINOR = 61                                                              |          |        |           |                |
| 07/22/10 898 SHARES AT 23.88140312 EUR<br>TR TRAN# = ZY000933000630 TR CD=900 REG=116 PORT=PRIN       | -3169.31 |        | 27702.19  | 1007000020     |
|                                                                                                       | -3169.31 | 0 00   | 27702 19  |                |
| AUSTRALIA & NEW ZEALAND BANKING GROUP - 606558005 - MINOR = 61                                        |          |        |           |                |
| 02/01/10 1,041 SHARES AT 21 9224976 AUD<br>TR TRAN# = ZY000011001642 TR CD=900 REG=116 PORT=PRIN      | -1094 02 |        | 20452 94  | 1002000001     |
| 07/06/10 1,175 SHARES AT 21 29900426 AUD<br>TR TRAN# = ZY000146001608 TR CD=900 REG=116 PORT=PRIN     | 3261.83  |        | 21044 52  | 1007000005     |
|                                                                                                       | 2167.81  | 0 00   | 41497 46  |                |
| WESTPAC BANKING CORP LTD - 607614005 - MINOR = 61                                                     |          |        |           |                |
| 11/05/10 1,300 SHARES AT 23.075 AUD<br>TR TRAN# = ZY000283001129 TR CD=900 REG=116 PORT=PRIN          | -409.75  |        | 22504 66  | 1011000003     |
| 12/08/10 2,741 SHARES AT 21 85700109 AUD<br>TR TRAN# = ZY000337003539 TR CD=900 REG=116 PORT=PRIN     | 619.66   |        | 8542 98   | 1012000007     |
|                                                                                                       | 209.91   | 0 00   | 31047 64  |                |
| NTT DOCOMO INC - 612927905 - MINOR = 61                                                               |          |        |           |                |
| 07/06/10 8 SHARES AT 133,177.75 JPY<br>TR TRAN# = ZY000146001604 TR CD=900 REG=116 PORT=PRIN          | 468 27   |        | 12130 13  | 1007000003     |
|                                                                                                       | 468.27   | 0 00   | 12130 13  |                |
| BILLABONG INTERNATIONAL LTD - 627791908 - MINOR = 61                                                  |          |        |           |                |
| 04/26/10 0 5814 SHARES AT 11.17 AUD<br>TR TRAN# = CA000479000030 TR CD=900 REG=116 PORT=PRIN          | -1.53    |        | 6 01      | 1004000025     |
| 10/01/10 1,482 SHARES AT 7 99439946 AUD<br>TR TRAN# = ZY000011001485 TR CD=900 REG=116 PORT=PRIN      | -1930 03 |        | 6249.76   | 1010000001     |
|                                                                                                       | -1931 56 | 0 00   | 6255 77   |                |
| LI & FUNG LTD - 628625907 - MINOR = 61                                                                |          |        |           |                |
| 04/30/10 2,000 SHARES AT 38 4303 HKD<br>TR TRAN# = ZY001384001335 TR CD=900 REG=116 PORT=PRIN         | 981 18   |        | 9876.89   | 1004000028     |
|                                                                                                       | 981 18   | 0 00   | 9876 89   |                |
| NATIONAL AUSTRALIA BANK LIMITED - 662460005 - MINOR = 61                                              |          |        |           |                |
| 02/16/10 1,071 SHARES AT 24 69430439 AUD<br>TR TRAN# = ZY000662002346 TR CD=900 REG=116 PORT=PRIN     | -1269 10 |        | 23077 89  | 1002000010     |
| 02/16/10 1,105 SHARES AT 24 70029864 AUD<br>TR TRAN# = ZY000662002348 TR CD=900 REG=116 PORT=PRIN     | -285.90  |        | 24230 54  | 1002000011     |
|                                                                                                       | -1555 00 | 0 00   | 47308 43  |                |
| QANTAS AIRWAYS LTD - 671034908 - MINOR = 61                                                           |          |        |           |                |
| 05/11/10 3,624 SHARES AT 2 645 AUD<br>TR TRAN# = ZY000405002681 TR CD=900 REG=116 PORT=PRIN           | 1746 35  |        | 8461 26   | 1005000019     |
| 05/11/10 5,776 SHARES AT 2 65630021 AUD<br>TR TRAN# = ZY000405002685 TR CD=900 REG=116 PORT=PRIN      | 3405 62  |        | 13576 39  | 1005000021     |
|                                                                                                       | 5151 97  | 0 00   | 22037 65  |                |
| TERUMO CORPORATION - 688507003 - MINOR = 61                                                           |          |        |           |                |
| 02/22/10 1,000 SHARES AT 4,899 601 JPY<br>TR TRAN# = ZY000921001712 TR CD=900 REG=116 PORT=PRIN       | -84 55   |        | 53651 81  | 1002000015     |
|                                                                                                       | -84 55   | 0 00   | 53651 81  |                |
| SONOVA HOLDINGS - 715603908 - MINOR = 61                                                              |          |        |           |                |
| 07/06/10 159 SHARES AT 132.05 CHF<br>TR TRAN# = ZY000146001606 TR CD=900 REG=116 PORT=PRIN            | 5012 28  |        | 19780 68  | 1007000004     |

ACCT 975W MSW0062  
PREP 51 ADMN 46 INV 742

TAX TRANSACTION DETAIL

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RUN 02/28/2011  
12 15 10 PM

| TAX<br>CODE/DATE TRANSACTIONS                                                                   | TAXABLE   | INCOME | PRINCIPAL | EDIT<br>NUMBER |
|-------------------------------------------------------------------------------------------------|-----------|--------|-----------|----------------|
| 45 SHORT-TERM GAIN/LOSS - CURRENT SALES (CONTINUED)                                             |           |        |           |                |
| SONOVA HOLDINGS - 715603908 - MINOR = 61 (CONTINUED)                                            |           |        |           |                |
| 07/06/10 74 SHARES AT 132 CHF<br>TR TRAN#=ZY000146001610 TR CD=900 REG=116 PORT=PRIN            | 2531.06   |        | 9202.62   | 1007000006     |
|                                                                                                 | 7543.34   | 0.00   | 28983.30  |                |
| PETROLEO BRASILEIRO SA-ADR - 71654V101 - MINOR = 61                                             |           |        |           |                |
| 11/05/10 900 SHARES AT 31.9572 USD<br>TR TRAN#=ZY000285011938 TR CD=900 REG=17 PORT=PRIN        | -7661.92  |        | 28751.99  | 1011000005     |
|                                                                                                 | -7661.92  | 0.00   | 28751.99  |                |
| TANDBERG ASA - 728344904 - MINOR = 61                                                           |           |        |           |                |
| 04/21/10 600 SHARES AT 170 NOK<br>TR TRAN#=CA000378000013 TR CD=900 REG=116 PORT=PRIN           | 6923.44   |        | 17248.96  | 1004000016     |
|                                                                                                 | 6923.44   | 0.00   | 17248.96  |                |
| BNP PARIBAS SA - 730968906 - MINOR = 61                                                         |           |        |           |                |
| 12/07/10 627 SHARES AT 47.57950558 EUR<br>TR TRAN#=ZY000275003229 TR CD=900 REG=116 PORT=PRIN   | -2332.31  |        | 14111.85  | 1012000006     |
| 12/09/10 99 SHARES AT 48.30353535 EUR<br>TR TRAN#=ZY000400001088 TR CD=900 REG=116 PORT=PRIN    | -747.52   |        | 6368.25   | 1012000011     |
| 12/13/10 177 SHARES AT 48.9 EUR<br>TR TRAN#=ZY000525002607 TR CD=900 REG=116 PORT=PRIN          | -1271.96  |        | 11450.18  | 1012000013     |
|                                                                                                 | -4351.79  | 0.00   | 31930.28  |                |
| SASOL LTD ADR - 803866300 - MINOR = 61                                                          |           |        |           |                |
| 04/27/10 700 SHARES AT 39.7727 USD<br>TR TRAN#=ZY001189023030 TR CD=900 REG=17 PORT=PRIN        | 2958.45   |        | 27815.91  | 1004000026     |
|                                                                                                 | 2958.45   | 0.00   | 27815.91  |                |
| LI NING CO LTD - B01JCK900 - MINOR = 61                                                         |           |        |           |                |
| 11/26/10 6,000 SHARES AT 20.1055 HKD<br>TR TRAN#=ZY001164000378 TR CD=900 REG=116 PORT=PRIN     | -3095.38  |        | 15505.58  | 1011000014     |
|                                                                                                 | -3095.38  | 0.00   | 15505.58  |                |
| VOLVO AB-B SHS - B1QH83908 - MINOR = 61                                                         |           |        |           |                |
| 07/06/10 2,776 SHARES AT 85.40850144 SEK<br>TR TRAN#=ZY000146001614 TR CD=900 REG=116 PORT=PRIN | 7902.77   |        | 30747.29  | 1007000008     |
|                                                                                                 | 7902.77   | 0.00   | 30747.29  |                |
| TOTAL CODE 45 - SHORT-TERM GAIN/LOSS - CURRENT SALES                                            |           |        |           |                |
|                                                                                                 | -4028.67  | 0.00   | 588741.48 | ①              |
| 507 LONG-TERM 15% CAPITAL GAIN DIVIDENDS                                                        |           |        |           |                |
| SSGA INST TAX FREE MM INV CL FD - CM1TFVXX3 - MINOR = 4                                         |           |        |           |                |
| 12/31/10 MUTUAL FUND EARNINGS                                                                   | 40.36     |        | 40.36     | ①              |
| TOTAL CODE 507 - LONG-TERM 15% CAPITAL GAIN DIVIDENDS                                           |           |        |           |                |
|                                                                                                 | 40.36     | 0.00   | 0.00      | ②              |
| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES                                                     |           |        |           |                |
| CRH PLC - 018270009 - MINOR = 61                                                                |           |        |           |                |
| 04/26/10 115 SHARES AT 20.45486957 EUR<br>TR TRAN#=ZY001125002594 TR CD=900 REG=116 PORT=PRIN   | -1000.66  |        | 3142.60   | 1004000021     |
| 05/05/10 465 SHARES AT 20.1 EUR<br>TR TRAN#=ZY000142002147 TR CD=900 REG=116 PORT=PRIN          | -4417.86  |        | 12335.31  | 1005000010     |
| 09/27/10 2,700 SHARES AT 12.9417 EUR<br>TR TRAN#=ZY001128001367 TR CD=900 REG=116 PORT=PRIN     | -33408.25 |        | 44752.67  | 1009000010     |
| 09/28/10 1,200 SHARES AT 12.5474 EUR<br>TR TRAN#=ZY001190001818 TR CD=900 REG=116 PORT=PRIN     | -1405.07  |        | 20007.48  | 1009000013     |
| 09/28/10 500 SHARES AT 12.60656 EUR<br>TR TRAN#=ZY001190001820 TR CD=900 REG=116 PORT=PRIN      | 2632.94   |        | 8382.32   | 1009000014     |
|                                                                                                 | -37598.90 | 0.00   | 88620.38  |                |

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| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)<br>STANDARD CHARTERED PLC - 040828907 - MINOR = 61 |           |        |                          |                |
| 04/20/10 1,000 SHARES AT 18.1393 GBP<br>TR TRAN# = ZY000865000970 TR CD=900 REG=116 PORT=PRIN              | -9404 34  |        | 28039 14                 | 1004000013     |
|                                                                                                            | -9404 34  | 0 00   | 28039 14                 |                |
| BARRICK GOLD CORP - 067901959 - MINOR = 61                                                                 |           |        |                          |                |
| 02/02/10 300 SHARES AT 37 8603 CAD<br>TR TRAN# = ZY000112018124 TR CD=900 REG=116 PORT=PRIN                | -1193.54  |        | 10631 23                 | 1002000004     |
| 02/02/10 200 SHARES AT 37 9278 CAD<br>TR TRAN# = ZY000112018127 TR CD=900 REG=116 PORT=PRIN                | -783 04   |        | 7100 14                  | 1002000005     |
|                                                                                                            | -1976 58  | 0 00   | 17731 37                 |                |
| SERCO GROUP PLC - 079737003 - MINOR = 61                                                                   |           |        |                          |                |
| 11/05/10 2,300 SHARES AT 5.707 GBP<br>TR TRAN# = ZY000283001131 TR CD=900 REG=116 PORT=PRIN                | 3788.20   |        | 21040 02                 | 1011000004     |
| 11/10/10 1,200 SHARES AT 5 6883 GBP<br>TR TRAN# = ZY000474001708 TR CD=900 REG=116 PORT=PRIN               | 2132.07   |        | 11059 27                 | 1011000010     |
|                                                                                                            | 5920.27   | 0.00   | 32099 29                 |                |
| BG GROUP PLC - 087628905 - MINOR = 61                                                                      |           |        |                          |                |
| 07/06/10 1,000 SHARES AT 10 0635 GBP<br>TR TRAN# = ZY000146001612 TR CD=900 REG=116 PORT=PRIN              | -356 45   |        | 1524 35                  | 1007000007     |
|                                                                                                            | -356 45   | 0 00   | 1524.35                  |                |
| CAMECO CORP - 13321L959 - MINOR = 61                                                                       |           |        |                          |                |
| 05/06/10 1,100 SHARES AT 25.0759 CAD<br>TR TRAN# = ZY000208001619 TR CD=900 REG=116 PORT=PRIN              | 1675.02   |        | 21967 63                 | 1005000013     |
| 05/07/10 600 SHARES AT 25 03 CAD<br>TR TRAN# = ZY000272002373 TR CD=900 REG=116 PORT=PRIN                  | 1861.87   |        | 14534 61                 | 1005000016     |
|                                                                                                            | 3536 89   | 0.00   | 36502 24                 |                |
| CANADIAN NATL RAILWAY CO - 136375961 - MINOR = 61                                                          |           |        |                          |                |
| 05/03/10 200 SHARES AT 61 2348 CAD<br>TR TRAN# = ZY000011001274 TR CD=900 REG=116 PORT=PRIN                |           |        | 12154 10                 | 1005000004     |
|                                                                                                            |           |        | **DELETED** 06/07/10 @MT |                |
| 05/04/10 200 SHARES AT 61.2348 CAD<br>TR TRAN# = ZY000111000485 TR CD=900 REG=116 PORT=PRIN                | 1608 86   |        | 12191 63                 | 1005000009     |
|                                                                                                            | 1608 86   | 0 00   | 24345 73                 |                |
| CANADIAN NATURAL RESOURCES LTD - 136385952 - MINOR = 61                                                    |           |        |                          |                |
| 01/14/10 200 SHARES AT 74 1242 CAD<br>TR TRAN# = ZY000567001274 TR CD=900 REG=116 PORT=PRIN                | 1376 42   |        | 14355 04                 | 1001000004     |
| 01/25/10 300 SHARES AT 71 5485 CAD<br>TR TRAN# = ZY000932001582 TR CD=900 REG=116 PORT=PRIN                | 973 32    |        | 20441 25                 | 1001000006     |
| 01/26/10 400 SHARES AT 71 8466 CAD<br>TR TRAN# = ZY000995001084 TR CD=900 REG=116 PORT=PRIN                | 1219 75   |        | 27176 99                 | 1001000008     |
|                                                                                                            | 3569 49   | 0 00   | 61973 28                 |                |
| BOUYGUES SA - 400212908 - MINOR = 61                                                                       |           |        |                          |                |
| 12/13/10 343 SHARES AT 31 46239067 EUR<br>TR TRAN# = ZY000525002609 TR CD=900 REG=116 PORT=PRIN            | -16223 19 |        | 14276 32                 | 1012000014     |
|                                                                                                            | -16223 19 | 0 00   | 14276 32                 |                |
| VEOLIA ENVIRONNEMENT - 403187909 - MINOR = 61                                                              |           |        |                          |                |
| 05/04/10 205 SHARES AT 23 87087805 EUR<br>TR TRAN# = ZY000078001061 TR CD=900 REG=116 PORT=PRIN            | -7774 18  |        | 6457 09                  | 1005000007     |
| 07/06/10 1,697 SHARES AT 18 93030053 EUR<br>TR TRAN# = ZY000146001618 TR CD=900 REG=116 PORT=PRIN          | -29602 83 |        | 40104 50                 | 1007000010     |
| 12/08/10 248 SHARES AT 20 61951613 EUR<br>TR TRAN# = ZY000337003541 TR CD=900 REG=116 PORT=PRIN            | 1720 89   |        | 6842 01                  | 1012000008     |
| 12/08/10 384 SHARES AT 20 60994792 EUR<br>TR TRAN# = ZY000337003543 TR CD=900 REG=116 PORT=PRIN            | 2667 10   |        | 10596 58                 | 1012000009     |
| 12/10/10 199 SHARES AT 21 09251256 EUR<br>TR TRAN# = ZY000463001939 TR CD=900 REG=116 PORT=PRIN            | 1461 95   |        | 5571 24                  | 1012000012     |

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| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)<br>VEOLIA ENVIRONNEMENT - 403187909 - MINOR = 61 (CONTINUED) |           |        |           |                |
| 12/17/10 178 SHARES AT 22 02230337 EUR<br>TR TRAN# = ZY000801001198 TR CD=900 REG=116 PORT=PRIN                      | 1556.72   |        | 5232 37   | 1012000018     |
| 12/20/10 65 SHARES AT 21.98923077 EUR<br>TR TRAN# = ZY000869001763 TR CD=900 REG=116 PORT=PRIN                       | 543.75    |        | 1885 98   | 1012000019     |
|                                                                                                                      | -29426.60 | 0 00   | 76689 77  |                |
| ADIDAS AG - 403197908 - MINOR = 61                                                                                   |           |        |           |                |
| 04/21/10 438 SHARES AT 41 05200913 EUR<br>TR TRAN# = ZY000931002170 TR CD=900 REG=116 PORT=PRIN                      | -5477.70  |        | 24115 61  | 1004000014     |
|                                                                                                                      | -5477 70  | 0 00   | 24115 61  |                |
| MICHELIN - 458836004 - MINOR = 61                                                                                    |           |        |           |                |
| 07/06/10 204 SHARES AT 56 43867647 EUR<br>TR TRAN# = ZY000146001620 TR CD=900 REG=116 PORT=PRIN                      | 1438 60   |        | 14373 44  | 1007000011     |
|                                                                                                                      | 1438.60   | 0.00   | 14373 44  |                |
| CARREFOUR SA - 564156909 - MINOR = 61                                                                                |           |        |           |                |
| 03/24/10 300 SHARES AT 35 8743 EUR<br>TR TRAN# = ZY001122000984 TR CD=900 REG=116 PORT=PRIN                          | -5708.06  |        | 14571 86  | 1003000013     |
| 07/06/10 300 SHARES AT 32 0494 EUR<br>TR TRAN# = ZY000146001626 TR CD=900 REG=116 PORT=PRIN                          | 843 91    |        | 12003 14  | 1007000014     |
|                                                                                                                      | -4864 15  | 0 00   | 26575 00  |                |
| TELEFONICA SA - 573252905 - MINOR = 61                                                                               |           |        |           |                |
| 02/03/10 1,100 SHARES AT 17 385 EUR<br>TR TRAN# = ZY000141001224 TR CD=900 REG=116 PORT=PRIN                         | -4446.44  |        | 26477 18  | 1002000007     |
|                                                                                                                      | -4446.44  | 0 00   | 26477 18  |                |
| OMEGA PHARMA SA - 595527904 - MINOR = 61                                                                             |           |        |           |                |
| 04/22/10 157 SHARES AT 37 50751592 EUR<br>TR TRAN# = ZY000994001038 TR CD=900 REG=116 PORT=PRIN                      | -3070.32  |        | 7922 38   | 1004000017     |
| 04/22/10 110 SHARES AT 37 521 EUR<br>TR TRAN# = ZY000994001040 TR CD=900 REG=116 PORT=PRIN                           | -2148.07  |        | 5553 82   | 1004000018     |
| 04/26/10 122 SHARES AT 37 39 EUR<br>TR TRAN# = ZY001125002596 TR CD=900 REG=116 PORT=PRIN                            | -2266.60  |        | 6092 88   | 1004000022     |
|                                                                                                                      | -7484.99  | 0 00   | 19569 08  |                |
| KONINKLIJKE KPN NV - 595607904 - MINOR = 61                                                                          |           |        |           |                |
| 03/30/10 400 SHARES AT 11 66735 EUR<br>TR TRAN# = ZY001386001554 TR CD=900 REG=116 PORT=PRIN                         | -1159 69  |        | 6185 13   | 1003000025     |
|                                                                                                                      | -1159 69  | 0 00   | 6185 13   |                |
| WESTPAC BANKING CORP LTD - 607614005 - MINOR = 61                                                                    |           |        |           |                |
| 11/05/10 1,300 SHARES AT 23 075 AUD<br>TR TRAN# = ZY000283001129 TR CD=900 REG=116 PORT=PRIN                         | -669 49   |        | 7378 95   | 1011000003     |
| 12/08/10 2,741 SHARES AT 21 85700109 AUD<br>TR TRAN# = ZY000337003539 TR CD=900 REG=116 PORT=PRIN                    | 4584 66   |        | 50738 81  | 1012000007     |
|                                                                                                                      | 3915 17   | 0 00   | 58117 76  |                |
| BILLABONG INTERNATIONAL LTD - 627791908 - MINOR = 61                                                                 |           |        |           |                |
| 09/27/10 808 SHARES AT 8 03259901 AUD<br>TR TRAN# = ZY001128001363 TR CD=900 REG=116 PORT=PRIN                       | -4275 84  |        | 6181 20   | 1009000008     |
| 09/28/10 1,784 SHARES AT 7 97479821 AUD<br>TR TRAN# = ZY001190001816 TR CD=900 REG=116 PORT=PRIN                     | -9314 60  |        | 13468 42  | 1009000012     |
| 10/01/10 1,482 SHARES AT 7.99439946 AUD<br>TR TRAN# = ZY000011001485 TR CD=900 REG=116 PORT=PRIN                     | -1844 99  |        | 5176 77   | 1010000001     |
|                                                                                                                      | -15435 43 | 0 00   | 24826 39  |                |
| EAST JAPAN RAILWAY CO - 629854902 - MINOR = 61                                                                       |           |        |           |                |
| 11/29/10 400 SHARES AT 4,965 5175 JPY<br>TR TRAN# = ZY001194000547 TR CD=900 REG=116 PORT=PRIN                       | 1417 33   |        | 23739 51  | 1011000016     |

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| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)<br>EAST JAPAN RAILWAY CO - 629854902 - MINOR = 61 (CONTINUED) |           |        |           |                |
|                                                                                                                       | 1417.33   | 0 00   | 23739 51  |                |
| FANUC LTD - 635693005 - MINOR = 61                                                                                    |           |        |           |                |
| 05/10/10 100 SHARES AT 11,230 JPY<br>TR TRAN# = ZY000341001755 TR CD=900 REG=116 PORT=PRIN                            | 1806 86   |        | 11929 20  | 1005000017     |
|                                                                                                                       | 1806 86   | 0.00   | 11929 20  |                |
| HONG KONG & CHINA GAS - 643655004 - MINOR = 61                                                                        |           |        |           |                |
| 05/03/10 4,810 SHARES AT 19 23009979 HKD<br>TR TRAN# = ZY000011001276 TR CD=900 REG=116 PORT=PRIN                     | -2895.63  |        | 11879 86  | 1005000005     |
|                                                                                                                       | -2895.63  | 0 00   | 11879 86  |                |
| NEWCREST MINING - 663710002 - MINOR = 61                                                                              |           |        |           |                |
| 05/03/10 299 SHARES AT 34 44210702 AUD<br>TR TRAN# = ZY000011001268 TR CD=900 REG=116 PORT=PRIN                       | 350.39    |        | 9505 70   | 1005000001     |
|                                                                                                                       | 350 39    | 0 00   | 9505 70   |                |
| NIDEC CORP - 664068004 - MINOR = 61                                                                                   |           |        |           |                |
| 05/07/10 100 SHARES AT 9,653 98 JPY<br>TR TRAN# = ZY000272002369 TR CD=900 REG=116 PORT=PRIN                          | 3461 30   |        | 10239 16  | 1005000014     |
|                                                                                                                       | 3461.30   | 0 00   | 10239 16  |                |
| SOFTBANK CORP - 677062903 - MINOR = 61                                                                                |           |        |           |                |
| 03/30/10 700 SHARES AT 2,171 86428571 JPY<br>TR TRAN# = ZY001386001550 TR CD=900 REG=116 PORT=PRIN                    | -466 11   |        | 16365 72  | 1003000023     |
| 04/20/10 1,500 SHARES AT 2,225 JPY<br>TR TRAN# = ZY000865000968 TR CD=900 REG=116 PORT=PRIN                           | -257.60   |        | 35810 61  | 1004000012     |
|                                                                                                                       | -723.71   | 0 00   | 52176 33  |                |
| SUZUKI MOTOR CORP - 686550005 - MINOR = 61                                                                            |           |        |           |                |
| 02/26/10 2,500 SHARES AT 1,938 0212 JPY<br>TR TRAN# = ZY001178002927 TR CD=900 REG=116 PORT=PRIN                      | -1691 29  |        | 53583 05  | 1002000020     |
| 03/30/10 1,600 SHARES AT 2,062 83125 JPY<br>TR TRAN# = ZY001386001552 TR CD=900 REG=116 PORT=PRIN                     | 10398.92  |        | 35518 74  | 1003000024     |
| 05/07/10 400 SHARES AT 1,942 8 JPY<br>TR TRAN# = ZY000272002371 TR CD=900 REG=116 PORT=PRIN                           | 2975.02   |        | 8244 73   | 1005000015     |
| 11/29/10 500 SHARES AT 2,052 968 JPY<br>TR TRAN# = ZY001194000545 TR CD=900 REG=116 PORT=PRIN                         | 5673.01   |        | 12260 14  | 1011000015     |
|                                                                                                                       | 17355 66  | 0 00   | 109606 66 |                |
| UNITED OVERSEAS BANK - 691678007 - MINOR = 61                                                                         |           |        |           |                |
| 08/11/10 3,000 SHARES AT 19 7552 SGD<br>TR TRAN# = ZY000464001157 TR CD=900 REG=116 PORT=PRIN                         | 2099.68   |        | 43732 60  | 1008000004     |
|                                                                                                                       | 2099 68   | 0 00   | 43732 60  |                |
| PEUGEOT CITROEN SA - 710352907 - MINOR = 61                                                                           |           |        |           |                |
| 02/26/10 100 SHARES AT 19 9948 EUR<br>TR TRAN# = ZY001178002929 TR CD=900 REG=116 PORT=PRIN                           | -2332 66  |        | 2699 85   | 1002000021     |
| 07/06/10 500 SHARES AT 20 3334 EUR<br>TR TRAN# = ZY000146001622 TR CD=900 REG=116 PORT=PRIN                           | -12470 46 |        | 12692 11  | 1007000012     |
|                                                                                                                       | -14803 12 | 0 00   | 15391 96  |                |
| ROCHE HOLDINGS AG GENUSSSCHEINE NPV - 711038901 - MINOR = 61                                                          |           |        |           |                |
| 08/02/10 376 SHARES AT 137 17851064 CHF<br>TR TRAN# = ZY000011001359 TR CD=900 REG=116 PORT=PRIN                      | -17502.72 |        | 49398 05  | 1008000001     |
| 08/24/10 169 SHARES AT 142 9052071 CHF<br>TR TRAN# = ZY001041002684 TR CD=900 REG=116 PORT=PRIN                       | -5855 69  |        | 23412 60  | 1008000011     |
| 09/27/10 550 SHARES AT 137 32090909 CHF<br>TR TRAN# = ZY001128001365 TR CD=900 REG=116 PORT=PRIN                      | -4260 35  |        | 76359 82  | 1009000009     |
|                                                                                                                       | -27618 76 | 0 00   | 149170 47 |                |

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| 509              | LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)                                  |           |        |           |                |
|                  | HOLCIM LTD - 711075903 - MINOR = 61                                                  |           |        |           |                |
| 01/13/10         | 215 SHARES AT 82 30739535 CHF<br>TR TRAN#=ZY000471001457 TR CD=900 REG=116 PORT=PRIN | -5700 14  |        | 17289 30  | 1001000003     |
| 05/03/10         | 78 SHARES AT 81 28115385 CHF<br>TR TRAN#=ZY000011001272 TR CD=900 REG=116 PORT=PRIN  | -2514 86  |        | 5825 50   | 1005000003     |
| 10/12/10         | 211 SHARES AT 62 06061611 CHF<br>TR TRAN#=ZY000444009332 TR CD=900 REG=116 PORT=PRIN | -9016 70  |        | 13545 03  | 1010000008     |
| 10/12/10         | 372 SHARES AT 63 0955914 CHF<br>TR TRAN#=ZY000444009335 TR CD=900 REG=116 PORT=PRIN  | -2569 22  |        | 24278 57  | 1010000009     |
|                  |                                                                                      | -19800.92 | 0 00   | 60938 40  |                |
|                  | INDITEX ORDINARY SHARES - 711131904 - MINOR = 61                                     |           |        |           |                |
| 05/04/10         | 55 SHARES AT 45 39745455 EUR<br>TR TRAN#=ZY000078001059 TR CD=900 REG=116 PORT=PRIN  | -517.38   |        | 3296 95   | 1005000006     |
|                  |                                                                                      | -517.38   | 0 00   | 3296 95   |                |
|                  | NESTLE SA-REG - 712387901 - MINOR = 61                                               |           |        |           |                |
| 03/25/10         | 558 SHARES AT 53 4077957 CHF<br>TR TRAN#=ZY001186001457 TR CD=900 REG=116 PORT=PRIN  | 399 70    |        | 28075 64  | 1003000019     |
|                  |                                                                                      | 399.70    | 0 00   | 28075 64  |                |
|                  | BNP PARIBAS SA - 730968906 - MINOR = 61                                              |           |        |           |                |
| 12/06/10         | 69 SHARES AT 46.50086957 EUR<br>TR TRAN#=ZY000208001109 TR CD=900 REG=116 PORT=PRIN  | -3531.16  |        | 4202 98   | 1012000003     |
| 12/06/10         | 408 SHARES AT 46 4370098 EUR<br>TR TRAN#=ZY000208001111 TR CD=900 REG=116 PORT=PRIN  | -19426.46 |        | 24818.25  | 1012000004     |
| 12/06/10         | 520 SHARES AT 46 51269231 EUR<br>TR TRAN#=ZY000208001113 TR CD=900 REG=116 PORT=PRIN | -21508 18 |        | 31704 87  | 1012000005     |
| 12/07/10         | 627 SHARES AT 47 57950558 EUR<br>TR TRAN#=ZY000275003229 TR CD=900 REG=116 PORT=PRIN | -15586.15 |        | 25384 32  | 1012000006     |
|                  |                                                                                      | -60051.95 | 0 00   | 86110 42  |                |
|                  | POTASH CORP OF SASKATCHEWAN - 73755L958 - MINOR = 61                                 |           |        |           |                |
| 03/24/10         | 100 SHARES AT 123.04 CAD<br>TR TRAN#=ZY001156013544 TR CD=900 REG=116 PORT=PRIN      | -823 60   |        | 12085 67  | 1003000015     |
| 03/25/10         | 100 SHARES AT 123 5065 CAD<br>TR TRAN#=ZY001186001459 TR CD=900 REG=116 PORT=PRIN    | -780 23   |        | 12129 04  | 1003000020     |
| 08/20/10         | 200 SHARES AT 147 34115 CAD<br>TR TRAN#=ZY000947000561 TR CD=900 REG=116 PORT=PRIN   | 2485.08   |        | 28303 62  | 1008000009     |
| 09/21/10         | 300 SHARES AT 152 1707 CAD<br>TR TRAN#=ZY000894000331 TR CD=900 REG=116 PORT=PRIN    | 10950.43  |        | 44192 61  | 1009000007     |
| 09/27/10         | 200 SHARES AT 152 4891 CAD<br>TR TRAN#=ZY001160002210 TR CD=900 REG=116 PORT=PRIN    | 7517.14   |        | 29678 59  | 1009000011     |
|                  |                                                                                      | 19348 82  | 0 00   | 126389 53 |                |
|                  | HEINEKEN NV - 779255900 - MINOR = 61                                                 |           |        |           |                |
| 05/03/10         | 100 SHARES AT 35 6961 EUR<br>TR TRAN#=ZY000011001270 TR CD=900 REG=116 PORT=PRIN     | -1850 61  |        | 4717 29   | 1005000002     |
|                  |                                                                                      | -1850 61  | 0 00   | 4717 29   |                |
|                  | ROYAL DUTCH SHELL PLC ADR A - 780259206 - MINOR = 61                                 |           |        |           |                |
| 03/24/10         | 600 SHARES AT 58 5179 USD<br>TR TRAN#=ZY001124019975 TR CD=900 REG=17 PORT=PRIN      | -15064 29 |        | 35089 29  | 1003000014     |
| 04/16/10         | 100 SHARES AT 60 5821 USD<br>TR TRAN#=ZY000734016966 TR CD=900 REG=17 PORT=PRIN      | -2304.33  |        | 6054 60   | 1004000008     |
|                  |                                                                                      | -17368 62 | 0 00   | 41143 89  |                |
|                  | SUNCOR ENERGY INC - 867224958 - MINOR = 61                                           |           |        |           |                |
| 04/30/10         | 200 SHARES AT 34 2904 CAD<br>TR TRAN#=ZY001384001337 TR CD=900 REG=116 PORT=PRIN     | 2529.73   |        | 6823 15   | 1004000029     |
|                  |                                                                                      | 2529 73   | 0 00   | 6823 15   |                |

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| 509 LONG-TERM 15% GAIN/LOSS - CURRENT SALES (CONTINUED)<br>SUNTECH POWER HLDGS ADR - 86800C104 - MINOR = 61                                                       |            |         |            |                |
| 10/08/10 2,300 SHARES AT 8 7097 USD<br>TR TRAN#=ZY000343016394 TR CD=900 REG=17 PORT=PRIN                                                                         | -5098 92   |         | 19951 47   | 1010000007     |
|                                                                                                                                                                   | -5098.92   | 0 00    | 19951 47   |                |
| SYNTHES INC - B01463908 - MINOR = 61                                                                                                                              |            |         |            |                |
| 02/25/10 66 SHARES AT 127 82954545 CHF<br>TR TRAN#=ZY001114001168 TR CD=900 REG=116 PORT=PRIN                                                                     | -1370.88   |         | 7841 64    | 1002000018     |
| 03/01/10 64 SHARES AT 127 4 CHF<br>TR TRAN#=ZY000011001455 TR CD=900 REG=116 PORT=PRIN                                                                            | -1384 69   |         | 7525 24    | 1003000001     |
| 03/01/10 49 SHARES AT 127 CHF<br>TR TRAN#=ZY000011001457 TR CD=900 REG=116 PORT=PRIN                                                                              | -1063 36   |         | 5743 42    | 1003000002     |
| 03/02/10 40 SHARES AT 127 356 CHF<br>TR TRAN#=ZY000076001021 TR CD=900 REG=116 PORT=PRIN                                                                          | -792 51    |         | 4720 65    | 1003000003     |
|                                                                                                                                                                   | -4611 44   | 0 00    | 25830 95   |                |
| GDF SUEZ - B0C2CQ902 - MINOR = 61                                                                                                                                 |            |         |            |                |
| 12/08/10 1,200 SHARES AT 26 3074 EUR<br>TR TRAN#=ZY000337003545 TR CD=900 REG=116 PORT=PRIN                                                                       | -9581 88   |         | 42238 93   | 1012000010     |
|                                                                                                                                                                   | -9581 88   | 0 00    | 42238 93   |                |
| UNILEVER PLC - B10RZP905 - MINOR = 61                                                                                                                             |            |         |            |                |
| 02/01/10 1,500 SHARES AT 18 89530667 GBP<br>TR TRAN#=ZY000011001644 TR CD=900 REG=116 PORT=PRIN                                                                   | -9076.56   |         | 45698 18   | 1002000002     |
| 04/20/10 1,800 SHARES AT 19.3277 GBP<br>TR TRAN#=ZY0000865000964 TR CD=900 REG=116 PORT=PRIN                                                                      | -9743 10   |         | 53778.45   | 1004000010     |
|                                                                                                                                                                   | -18819.66  | 0 00    | 99476 63   |                |
| TOTAL SA - B15C55900 - MINOR = 61                                                                                                                                 |            |         |            |                |
| 07/06/10 188 SHARES AT 36 52 EUR<br>TR TRAN#=ZY000146001616 TR CD=900 REG=116 PORT=PRIN                                                                           | -5867 18   |         | 8571 21    | 1007000009     |
|                                                                                                                                                                   | -5867.18   | 0 00    | 8571 21    |                |
| VINCI SA - B1XH02900 - MINOR = 61                                                                                                                                 |            |         |            |                |
| 07/06/10 315 SHARES AT 33 69520635 EUR<br>TR TRAN#=ZY000146001624 TR CD=900 REG=116 PORT=PRIN                                                                     | -7514.39   |         | 13250 50   | 1007000013     |
|                                                                                                                                                                   | -7514.39   | 0 00    | 13250 50   |                |
| AIR LIQUIDE - B1YXBJ905 - MINOR = 61                                                                                                                              |            |         |            |                |
| 03/25/10 81 SHARES AT 87 2 EUR<br>TR TRAN#=ZY001186001455 TR CD=900 REG=116 PORT=PRIN                                                                             | -2440 08   |         | 9571 84    | 1003000018     |
| 04/20/10 90 SHARES AT 88 503 EUR<br>TR TRAN#=ZY0000865000966 TR CD=900 REG=116 PORT=PRIN                                                                          | -2551.82   |         | 10794 77   | 1004000011     |
|                                                                                                                                                                   | -4991 90   | 0 00    | 20366 61   |                |
| RECKETT BENCKISER GROUP PLC - B24CGK904 - MINOR = 61                                                                                                              |            |         |            |                |
| 03/24/10 100 SHARES AT 35 2887 GBP<br>TR TRAN#=ZY001122000982 TR CD=900 REG=116 PORT=PRIN                                                                         | -711.13    |         | 5301 76    | 1003000012     |
|                                                                                                                                                                   | -711 13    | 0 00    | 5301 76    |                |
| TOTAL CODE 509 - LONG-TERM 15% GAIN/LOSS - CURRENT SALES                                                                                                          | -267922 91 | 0 00    | 1611896 24 |                |
| 29 FOREIGN TAXES                                                                                                                                                  |            |         |            |                |
| **** BLANK COUNTRY CODE ****<br>HENNES & MAURITZ - 568743900 - MINOR = 61                                                                                         |            |         |            |                |
| 05/11/10 CASH DISBURSEMENT<br>FOREIGN TAX WITHHELD<br>30 0000000% FOREIGN TAXES WITHHELD<br>PAID TO MSW0062<br>TR TRAN#=ZY000437000755 TR CD=004 REG=116 PORT=INC | -642 30    | -642 30 |            |                |
|                                                                                                                                                                   | -642 30    | -642 30 | 0 00       |                |

2 @ LT loss - 267,883

5 @ Proceeds \$2,200,678  
Per spreadsheet 2,191,053  
Diff 9,625  
1005000054

Shares to be transferred per LOA:

From RV Investment Partners 14-78D9W (SSB MSW0055)

To. The Dhanam Foundation 14-78D9P

Source: Thomson-One as of 12/21/10

| Security | Hi     | Lo     | Close  | Hi/Lo Avg | Shares | Purchase Date | Value     | Cost Basis | Security Name               |
|----------|--------|--------|--------|-----------|--------|---------------|-----------|------------|-----------------------------|
| HAL      | 40.49  | 39.97  | 40.29  | 40.23     | 15,000 | 01/21/2009    | 603,450   | 259,019    | Halliburton Co              |
| APA      | 118.82 | 117.37 | 118.16 | 118.095   | 3,500  | 03/21/2006    | 413,333   | 223,400    | Apache Corp                 |
| WMB      | 24.69  | 24.48  | 24.55  | 24.585    | 20,000 | 01/21/2009    | 491,700   | 267,590    | Williams Companies, Inc     |
| UNP      | 92.44  | 91.04  | 92.06  | 91.74     | 5,500  | 01/21/2009    | 504,570   | 216,083    | Union Pacific Corp          |
| CCL      | 45.26  | 43.8   | 45.18  | 44.53     | 9,500  | 01/21/2009    | 423,035   | 180,903    | Carnival Corp               |
| MCD      | 77.14  | 76.51  | 76.86  | 76.825    | 5,500  | 11/21/2005    | 422,538   | 181,060    | McDonalds Corp              |
| TGT      | 59.96  | 58.55  | 59.7   | 59.255    | 10,000 | 01/21/2009    | 592,550   | 332,166    | Target Corp                 |
| WAG      | 37.52  | 36.77  | 36.83  | 37.145    | 16,000 | 01/21/2009    | 594,320   | 415,960    | Walgreen Co                 |
|          |        |        |        |           |        |               |           |            |                             |
| KO       | 65.6   | 65.16  | 65.49  | 65.38     | 5,000  | 11/21/2005    | 326,900   | 211,249    | Coca Cola Co                |
| KO       | 65.6   | 65.16  | 65.49  | 65.38     | 2,400  | 11/22/2005    | 156,912   | 101,044    | Coca Cola Co                |
| KO       | 65.6   | 65.16  | 65.49  | 65.38     | 2,000  | 03/21/2006    | 130,760   | 85,200     | Coca Cola Co                |
|          |        |        |        |           |        |               |           |            |                             |
| LO       | 82.25  | 81.55  | 82.02  | 81.9      | 6,100  | 03/03/2009    | 499,590   | 340,476    | Lorillard Inc               |
|          |        |        |        |           |        |               |           |            |                             |
| PM       | 59.55  | 58.3   | 58.48  | 58.925    | 2,500  | 08/29/2005    | 147,313   | 92,323     | Philip Morris Intl Inc      |
| PM       | 59.55  | 58.3   | 58.48  | 58.925    | 500    | 08/30/2005    | 29,463    | 18,373     | Philip Morris Intl Inc      |
| PM       | 59.55  | 58.3   | 58.48  | 58.925    | 3,000  | 11/22/2005    | 176,775   | 115,412    | Philip Morris Intl Inc      |
| PM       | 59.55  | 58.3   | 58.48  | 58.925    | 1,000  | 11/28/2005    | 58,925    | 38,672     | Philip Morris Intl Inc      |
| PM       | 59.55  | 58.3   | 58.48  | 58.925    | 1,000  | 03/28/2006    | 58,925    | 38,253     | Philip Morris Intl Inc      |
|          |        |        |        |           |        |               |           |            |                             |
| AXP      | 43.4   | 42.8   | 43.23  | 43.1      | 20,000 | 01/21/2009    | 862,000   | 319,864    | American Express Co         |
| GS       | 168.88 | 166.5  | 168.23 | 167.69    | 3,000  | 01/21/2009    | 503,070   | 193,206    | The Goldman Sachs Group Inc |
| ORCL     | 32     | 31.59  | 31.76  | 31.795    | 16,000 | 01/21/2009    | 508,720   | 266,101    | Oracle Corp                 |
| Total    |        |        |        |           |        |               | 7,504,847 | 3,896,354  |                             |

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| <u>DESCRIPTION</u>                       | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> |
|------------------------------------------|---------------------------------------------------|--------------------------------------|
| MORGAN STANLEY, SSB & GOLDMAN - INTEREST | 368,497.                                          | 368,497.                             |
| KPCB XIII, LLC - INTEREST                | 157.                                              | 157.                                 |
| MORGAN STANLEY, SSB & GOLDMAN -DIVIDEND  | 2,148,202.                                        | 2,148,202.                           |
| KPCB XIII, LLC - DIVIDEND                | 67.                                               | 67.                                  |
| MS CAPITAL V PARTNERS-INTEREST           | 7,624.                                            | 7,624.                               |
| PAULSON ADVANTAGE-INTEREST               | 63,585.                                           | 63,585.                              |
| PAULSON ADVANTAGE - DIVIDENDS            | 13,082.                                           | 13,082.                              |
| FORTRESS CREDIT OPPOR - INTEREST         | 7,927.                                            | 7,927.                               |
| MAKENA CAPITAL - INTEREST                | 156,844.                                          | 156,844.                             |
| MAKENA CAPITAL - DIVIDENDS               | 100,295.                                          | 100,295.                             |
| MAKENA CONTINGENT CAPITAL - INTEREST     | 5,078.                                            | 5,078.                               |
| MAKENA CONTINGENT CAPITAL - DIVIDENDS    | 41,144.                                           | 41,144.                              |
| TOTAL                                    | <u>2,912,502.</u>                                 | <u>2,912,502.</u>                    |

ATTACHMENT 2FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION                            | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|----------------------------------------|-----------------------------------------|-----------------------------|
| FOREIGN EXCHANGE ADJUSTMENT            | 96,452.                                 | 96,452.                     |
| OTHER INCOME-MAKENA CAPITAL ASSOCIATES | 65,282.                                 | 65,282.                     |
| OTHER LOSS-MAKENA CONTINGENT CAPITAL   | -7,367.                                 | -7,367.                     |
| OTHER INCOME-MSREF VI                  | 44.                                     | 44.                         |
| OTHER LOSS-PAULSON ADVANTAGE           | -19,747.                                | -19,747.                    |
| OTHER INCOME-MS CAPITAL V              | 829.                                    | 829.                        |
| OTHER INCOME-FORTRESS CREDIT           | 22,997.                                 | 22,997.                     |
| NONDIVIDEND DISTRIBUTION               | 6,184.                                  | 0.                          |
| TOTALS                                 | <u>164,674.</u>                         | <u>158,490.</u>             |

ATTACHMENT 3

FORM 990PF, PART I - LEGAL FEES

| DESCRIPTION | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME | ADJUSTED<br>NET<br>INCOME | CHARITABLE<br>PURPOSES |
|-------------|-----------------------------------------|-----------------------------|---------------------------|------------------------|
| LEGAL FEES  | 572.                                    | 572.                        |                           |                        |
| TOTALS      | <u>572.</u>                             | <u>572.</u>                 | <u>0.</u>                 | <u>0.</u>              |

ATTACHMENT 4FORM 990PF, PART I - ACCOUNTING FEES

| <u>DESCRIPTION</u> | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|--------------------|---------------------------------------------------|--------------------------------------|------------------------------------|--------------------------------|
| ACCOUNTING FEES    | 47,000.                                           | 47,000.                              |                                    |                                |
| TOTALS             | <u>47,000.</u>                                    | <u>47,000.</u>                       | <u>0.</u>                          | <u>0.</u>                      |

ATTACHMENT 5FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| <u>DESCRIPTION</u> | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> |
|--------------------|---------------------------------------------------|--------------------------------------|
| MANAGEMENT FEES    | 497,220.                                          | 497,220.                             |
| TOTALS             | <u>497,220.</u>                                   | <u>497,220.</u>                      |

ATTACHMENT 6FORM 990PF, PART I - INTEREST EXPENSE

| <u>DESCRIPTION</u>         | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> |
|----------------------------|---------------------------------------------------|--------------------------------------|
| PAULSON ADVANTAGE-INTEREST | 31,086.                                           | 31,086.                              |
| MAKENA CAPITAL-INTEREST    | 35,200.                                           | 35,200.                              |
| MAKENA CONTINGENT-INTEREST | 1,524.                                            | 1,524.                               |
| TOTALS                     | <u>67,810.</u>                                    | <u>67,810.</u>                       |

ATTACHMENT 7FORM 990PF, PART I - TAXES

| <u>DESCRIPTION</u>             | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> |
|--------------------------------|---------------------------------------------------|--------------------------------------|
| FOREIGN TAX                    | 105,041.                                          | 105,041.                             |
| MAKENA CAPITAL - FOREIGN TAX   | 3,827.                                            | 3,827.                               |
| MAKENA CONTINGEN - FOREIGN TAX | 300.                                              | 300.                                 |
| PAULSON ADVANTAGE-FOREIGN TAX  | 680.                                              | 680.                                 |
| TOTALS                         | <u>109,848.</u>                                   | <u>109,848.</u>                      |

ATTACHMENT 8FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION                    | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|--------------------------------|-----------------------------------------|-----------------------------|
| BANK FEES                      | 376.                                    | 376.                        |
| KPCB XIII-PORTFOLIO DEDUCTIONS | 50,519.                                 | 50,519.                     |
| MSREF VI-PORTFOLIO DEDUCTIONS  | 69.                                     | 69.                         |
| MS CAPITAL V-PORT. DEDUCTIONS  | 6,807.                                  | 6,807.                      |
| FORTRESS CREDIT OPPOR-PORT DED | -14,305.                                | -14,305.                    |
| MAKENA CAPITAL-PORT DEDUCTIONS | 104,760.                                | 104,760.                    |
| MAKENA CONTINGENT-PORT DED     | 24,214.                                 | 24,214.                     |
| TOTALS                         | <u>172,440.</u>                         | <u>172,440.</u>             |

DHANAM FOUNDATION

20-1914298

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

| <u>DESCRIPTION</u>             | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ATTACHMENT 9</u>          |                       |
|--------------------------------|---------------------------------|------------------------------|-----------------------|
|                                |                                 | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
| STATE STREET BANK - GOVT. BOND | 8,419,525.                      | 0.                           | 0.                    |
| US OBLIGATIONS TOTAL           | <u>8,419,525.</u>               | <u>0.</u>                    | <u>0.</u>             |

ATTACHMENT 10FORM 990PF, PART II - CORPORATE STOCK

| <u>DESCRIPTION</u> | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------|---------------------------------|------------------------------|-----------------------|
| MORGAN STANLEY     | 14,917,815.                     | 60,815,021.                  | 67,630,928.           |
| STATE STREET BANK  | 31,523,816.                     | 3,719,117.                   | 4,561,854.            |
| GOLDMAN SACHS      | 24,302,920.                     | 31,276,387.                  | 33,463,061.           |
| TOTALS             | <u>70,744,551.</u>              | <u>95,810,525.</u>           | <u>105,655,843.</u>   |

ATTACHMENT 11FORM 990PF, PART II - OTHER INVESTMENTS

| <u>DESCRIPTION</u>             | <u>BEGINNING<br/>BOOK VALUE</u> | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|--------------------------------|---------------------------------|------------------------------|-----------------------|
| AIP LIQUID MARKETS FUND II     | 12,496,626.                     | 12,496,626.                  | 11,526,822.           |
| SELECTINVEST MULTISTRATEGY     | 4,822,039.                      | 2,848,654.                   | 2,463,297.            |
| AIP PREMIUM PARTNERS           | 1,500,000.                      | 1,500,000.                   | 1,481,313.            |
| MSREF VI INTL TRACKING         | 1,649,304.                      | 1,649,279.                   | 333,836.              |
| GLOBAL DISTRESSED FUND         | 980,232.                        | 1,035,472.                   | 1,046,926.            |
| AIP OPPORTUNISTIC FUND         | 7,025,000.                      | 7,025,000.                   | 6,319,291.            |
| MAKENA INVESTMENTS             | 4,869,935.                      | 21,751,563.                  | 22,133,864.           |
| SANDSTONE CAPITAL INDIA OFFSHR | 2,500,000.                      | 475,745.                     | 392,581.              |
| AIP PREMIUM PARTNERS II        | 5,345,000.                      | 5,345,000.                   | 5,184,469.            |
| CAPITAL PARTNERS V             | 472,819.                        | 1,042,282.                   | 1,082,055.            |
| GLOBAL SEC. OPPORTUNITIES      | 298,711.                        | 298,711.                     | 298,711.              |
| GS MOUNT KELLETT               | 555,342.                        | 1,197,902.                   | 1,255,121.            |
| LAZARD EMERGING INCOME         | 400,000.                        | 400,000.                     | 418,467.              |
| FORTRESS CREDIT OPPORTUNITIES  | 405,771.                        | 643,607.                     | 695,551.              |
| KPCB XIII, LLC                 | 565,466.                        | 807,964.                     | 807,964.              |
| PAULSON ADVANTAGE              | 2,000,000.                      | 1,981,174.                   | 2,352,338.            |
| MAKENA CONTINGENT CAPITAL ACC. | 446,464.                        | 637,308.                     | 959,529.              |
| GS ANCHORAGE OFFSHORE          | 0.                              | 652,500.                     | 738,752.              |
| TOTALS                         | <u>46,332,709.</u>              | <u>61,788,787.</u>           | <u>59,490,887.</u>    |

DHANAM FOUNDATION

20-1914298

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12

TITLE AND AVERAGE HOURS PER  
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

PRESIDENT

KAVITARK R. SHRIRAM  
P.O. BOX 10195  
PALO ALTO, CA 94303

TREASURER

VIDJEALATCHOUMY SHRIRAM  
P.O. BOX 10195  
PALO ALTO, CA 94303

SECRETARY

HARVEY ARMSTRONG  
HARRIS MYCFO, INC.  
2200 GENG ROAD, SUITE 100  
PALO ALTO, CA 94303

GRAND TOTALS

FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 13

| RECIPIENT NAME AND ADDRESS                                                                  | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR<br>AND<br>FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT            |
|---------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|----------------------------------|-------------------|
| THE STANFORD FUND<br>P.O. BOX 20466<br>STANFORD, CA 94305                                   | NONE<br>SCHOOL                                                                   | CHARITABLE USE                   | 10,000.           |
| ROSHNI ACADEMY<br>12182 PARKER RANCH ROAD<br>SARATOGA, CA 95070                             | NONE<br>SCHOOL                                                                   | CHARITABLE USE                   | 25,000            |
| HARRIS CHARITABLE FUND PROGRAM<br>165 TOWNSHIP LINE ROAD, SUITE 150<br>JENKINTOWN, PA 19046 | NONE<br>PUBLIC CHARITY                                                           | CHARITABLE USE                   | 5,400,000.        |
| SACRED HEART<br>1381 SOUTH FIRST STREET<br>SAN JOSE, CA 95110                               | NONE<br>PUBLIC CHARITY                                                           | CHARITABLE USE                   | 10,000            |
| SECOND HARVEST FOOD BANK<br>750 CURTNER AVE<br>SAN JOSE, CA 95125                           | NONE<br>PUBLIC CHARITY                                                           | CHARITABLE USE                   | 10,000            |
| SAN FRANCISCO FOOD BANK<br>900 PENNSYLVANIA AVENUE<br>SAN FRANCISCO, CA 94107               | NONE<br>PUBLIC CHARITY                                                           | CHARITABLE USE                   | 10,000.           |
| TOTAL CONTRIBUTIONS PAID                                                                    |                                                                                  |                                  | <u>5,465,000.</u> |

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

|                                                                                            |                                                                                                                        |                                                     |
|--------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
| <b>Type or print</b><br><br>File by the due date for filing your return. See instructions. | Name of exempt organization<br><b>DHANAM FOUNDATION</b>                                                                | Employer identification number<br><b>20-1914298</b> |
|                                                                                            | Number, street, and room or suite no. If a P.O. box, see instructions.<br><b>1080 MARSH ROAD, SUITE 100</b>            |                                                     |
|                                                                                            | City, town or post office, state, and ZIP code. For a foreign address, see instructions<br><b>MENLO PARK, CA 94025</b> |                                                     |
|                                                                                            |                                                                                                                        |                                                     |

Enter the Return code for the return that this application is for (file a separate application for each return)  

| Application Is For                       | Return Code | Application Is For       | Return Code |
|------------------------------------------|-------------|--------------------------|-------------|
| Form 990                                 | 01          | Form 990-T (corporation) | 07          |
| Form 990-BL                              | 02          | Form 1041-A              | 08          |
| Form 990-EZ                              | 03          | Form 4720                | 09          |
| Form 990-PF                              | 04          | Form 5227                | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                | 12          |

- The books are in the care of ► **HARRIS MYCFO, INC.**

Telephone No. ► **650 210-5000**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **08/15**, 20 **11**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► ☒ calendar year 20 **10** or

► ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_.

2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return  
☐ Change in accounting period

|                                                                                                                                                                                       |       |          |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----------|
| 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                   | 3a \$ | 141,414. |
| b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b \$ | 41,414.  |
| c <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFIPS (Electronic Federal Tax Payment System). See instructions       | 3c \$ | 100,000. |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2011)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box. ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

|                                                                                                 |                                                                        |                                |
|-------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|--------------------------------|
| <b>Type or print</b><br>File by the extended due date for filing your return. See instructions. | Name of exempt organization                                            | Employer identification number |
|                                                                                                 | DHANAM FOUNDATION                                                      | 20-1914298                     |
|                                                                                                 | Number, street, and room or suite no. If a P.O. box, see instructions. |                                |
|                                                                                                 | 1080 MARSH ROAD, SUITE 100                                             |                                |
| City, town or post office, state, and ZIP code. For a foreign address, see instructions.        |                                                                        |                                |
| MENLO PARK, CA 94025                                                                            |                                                                        |                                |

Enter the Return code for the return that this application is for (file a separate application for each return)  

| Application Is For                       | Return Code | Application Is For | Return Code |
|------------------------------------------|-------------|--------------------|-------------|
| Form 990                                 | 01          |                    |             |
| Form 990-BL                              | 02          | Form 1041-A        | 08          |
| Form 990-EZ                              | 03          | Form 4720          | 09          |
| Form 990-PF                              | 04          | Form 5227          | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069          | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870          | 12          |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of **HARRIS MYCFO, INC.**  
Telephone No. **650 210-5000** FAX No. \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.
- 4 I request an additional 3-month extension of time until 11/15, 2011.
- 5 For calendar year 2010, or other tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period
- 7 State in detail why you need the extension TAXPAYER IS WAITING FOR INFORMATION FROM THIRD PARTIES AND ADDITIONAL TIME IS NEEDED IN ORDER TO FILE A COMPLETE AND ACCURATE TAX RETURN

|                                                                                                                                                                                                                                            |              |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----------|
| <b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                                 | <b>8a</b> \$ | 141,414. |
| <b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | <b>8b</b> \$ | 41,414.  |
| <b>c Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.                                                           | <b>8c</b> \$ | 100,000. |

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_

Form 8868 (Rev 1-2011)