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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning

, 2010, and ending

, 20

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

Name of foundation

CORETZ FAMILY FOUNDATION

A Employer identification number

20-1813311

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

2675 S. BIRMINGHAM PLACE

(918) 298-0512

City or town, state, and ZIP code

TULSA, OK 74114

H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

J Accounting method ☒ Cash ☐ Accrual

of year (from Part II, col (c), line

16) \$ 9,322,097.

☐ Other (specify)

(Part I, column (d) must be on cash basis)

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

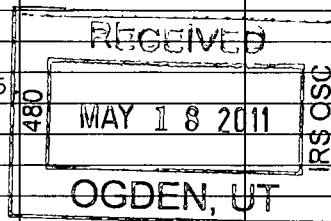
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received (attach schedule)	2,993,619.			
2	Check ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	11,092.	11,092.		ATCH 1
4	Dividends and interest from securities	87,128.	87,128.		ATCH 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	272,275.			
b	Gross sales price for all assets on line 6a	1,618,624.			
7	Capital gain net income (from Part IV, line 2)		272,275.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	3,364,114.	370,495.		
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule) ATCH 3				
b	Accounting fees (attach schedule) ATCH 4	3,993.	3,993.	0.	0.
c	Other professional fees (attach schedule) *	65,017.	65,017.		
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions) *	45.	45.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 7	235.	235.		
24	Total operating and administrative expenses. Add lines 13 through 23	69,290.	69,290.	0.	0.
25	Contributions, gifts, grants paid	363,415.			363,415.
26	Total expenses and disbursements. Add lines 24 and 25	432,705.	69,290.	0.	363,415.
27	Subtract line 26 from line 12	2,931,409.			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		301,205.		
c	Adjusted net income (if negative, enter -0-)				



For Paperwork Reduction Act Notice, see page 30 of the instructions.

* ATCH 5 JSA ** ATCH 6

Form 990-PF (2010)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		1,525,474.	2,818,162.	2,818,162.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule).				
	b	Investments - corporate stock (attach schedule) ATCH 8		1,685,183.	3,209,970.	3,666,037.
	c	Investments - corporate bonds (attach schedule).				
	11	Investments - land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 9		2,166,114.	2,282,495.	2,837,898.	
14	Land, buildings, and equipment basis					
	Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		5,376,771.	8,310,627.	9,322,097.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)				0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		5,376,771.	8,310,627.	
	30	Total net assets or fund balances (see page 17 of the instructions)		5,376,771.	8,310,627.	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)		5,376,771.	8,310,627.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,376,771.
2	Enter amount from Part I, line 27a	2	2,931,409.
3	Other increases not included in line 2 (itemize) ▶ ATTACHMENT 10	3	2,447.
4	Add lines 1, 2, and 3	4	8,310,627.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,310,627.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	272,275.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2009	408,524.	4,411,479.	0.092605
2008	273,503.	2,925,190.	0.093499
2007	292,347.	3,237,097.	0.090311
2006	282,262.	3,179,799.	0.088767
2005	144,179.	3,078,550.	0.046833
2 Total of line 1, column (d)			2 0.412015
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.082403
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4 5,893,253.
5 Multiply line 4 by line 3			5 485,622.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,012.
7 Add lines 5 and 6			7 488,634.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 363,415.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	6,024.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	6,024.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,024.
6 Credits/Payments			
a 2010 estimated tax payments and 2009 overpayment credited to 2010	6a	1,267.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,267.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	4,757.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2011 estimated tax ☐ 0. Refunded ☐	11		

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ NONE (2) On foundation managers ☐ \$ NONE			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ NONE			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ OK,			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ ROBERT CORETZ Telephone no ☐ 918-298-0512			
	Located at ☐ 2675 S. BIRMINGHAM, TULSA, OK ZIP + 4 ☐ 74114			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	N/A	☐	
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ☐			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here. ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010?	☐ Yes	☒ No
If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	☐	5b		N/A
Organizations relying on a current notice regarding disaster assistance check here	☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	☐	6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	☐	7b		N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ NONE

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **NONE****Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,871,304.
b	Average of monthly cash balances	1b	1,111,694.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	5,982,998.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,982,998.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	89,745.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,893,253.
6	Minimum investment return. Enter 5% of line 5	6	294,663.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	294,663.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	6,024.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	6,024.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	288,639.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	288,639.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	288,639.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	363,415.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	363,415.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	363,415.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				288,639.
2 Undistributed income, if any, as of the end of 2010				
a Enter amount for 2009 only				
b Total for prior years 20 08, 20 07, 20 06				
3 Excess distributions carryover, if any, to 2010				
a From 2005				
b From 2006	119,470.			
c From 2007	134,568.			
d From 2008	131,691.			
e From 2009	188,907.			
f Total of lines 3a through e	574,636.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 363,415.				
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2010 distributable amount				288,639.
e Remaining amount distributed out of corpus	74,776.			
5 Excess distributions carryover applied to 2010 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	649,412.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2010 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	649,412.			
10 Analysis of line 9				
a Excess from 2006	119,470.			
b Excess from 2007	134,568.			
c Excess from 2008	131,691.			
d Excess from 2009	188,907.			
e Excess from 2010	74,776.			

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶

4942(j)(3) or	4942(j)(5)
---------------	------------

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATTACHMENT 12				
Total			▶ 3a	363,415.
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	ATTACHMENT 13					
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	11,092.	
4	Dividends and interest from securities			14	87,128.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property .					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	272,275.	
9	Net income or (loss) from special events . . .					
10	Gross profit or (loss) from sales of inventory . .					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)				370,495.	
13	Total. Add line 12, columns (b), (d), and (e)				13	370,495.

(See worksheet in line 13 instructions on page 29 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here






Signature of officer or trustee Date Title

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature <i>Arket Soze</i>	Date 5-6-11	Check ☐ if self-employed	PTIN P00496569
	Firm's name ▶ GRANT THORNTON LLP			Firm's EIN ▶ 36-6055558	
	Firm's address ▶ 2431 E. 61ST STREET, STE 500 TULSA, OK			74136-1208 Phone no. 918-877-0800	

Schedule of Contributors

OMB No 1545-0047

2010

► Attach to Form 990, 990-EZ, or 990-PF.

Name of the organization

CORETZ FAMILY FOUNDATION

Employer identification number

20-1813311

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization CORETZ FAMILY FOUNDATION

Employer identification number
20-1813311**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ROBERT CORETZ 2675 SOUTH BIRMINGHAM PLACE TULSA, OK 74114	\$ 2,650,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	ROBERT CORETZ 2675 S. BIRMINGHAM PLACE TULSA, OK 74114	\$ 343,619.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Employer identification number	20-1813311
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Part II **Noncash Property** (see instructions)[illegible]

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
BANK OF OKLAHOMA	11,092.	11,092.
TOTAL	<u>11,092.</u>	<u>11,092.</u>

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDEND INCOME	87,128.	87,128.
TOTAL	<u>87,128.</u>	<u>87,128.</u>

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION

REVENUE
AND
EXPENSES
PER BOOKS

LEGAL FEES

TOTALS

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	3,993.	3,993.	0.	0.
TOTALS	3,993.	3,993.	0.	0.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
MANAGEMENT FEES	65,017.	65,017.
TOTALS	<u>65,017.</u>	<u>65,017.</u>

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	45.	45.
TOTALS	45.	45.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MISCELLANEOUS	235.	235.
TOTALS	235.	235.

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SCHWAB	704,936.	722,289.
JP MORGAN FUND	314,423.	366,939.
SCHWAB ONE	2,190,611.	2,576,809.
TOTALS	<u>3,209,970.</u>	<u>3,666,037.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ARBITRAGE FUND	201,013.	205,305.
METROPOLITAN WEST FUND	491,013.	483,143.
MORGAN STANLEY INTERNATIONAL	398,102.	276,273.
NFJ MUTUAL FUND	230,875.	202,872.
BOB KLEIN	589,881.	1,330,365.
OTHER INVESTMENTS	45,649.	45,649.
CADENCE	325,962.	294,291.
TOTALS	<u>2,282,495.</u>	<u>2,837,898.</u>

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
ADJUST FOR PRIOR YEAR STMT CORRECTION	2,447.
TOTAL	<u>2,447.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

PRESIDENT

ROBERT CORETZ
2675 S. BIRMINGHAM
TULSA, OK 74114

VICE-PRESIDENT

KIM CORETZ
2675 S. BIRMINGHAM
TULSA, OK 74114

TREASURER

ROBERT CORETZ
2675 S. BIRMINGHAM
TULSA, OK 74114

GRAND TOTALS

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SHERWIN MILLER MUSEUM OF ART	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	12,500.
TEMPLE ISRAEL	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	2,500.
PLANNED PARENTHOOD	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	10,000.
JEWISH FEDERATION	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	220
TULSA ZOO FRIENDS	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	250.
GATESWAY FOUNDATION	NONE	PUBLIC CHARITY	GENERAL OPERATIONS	2,500.

ATTACHMENT 12

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNITED WAY	NONE PUBLIC CHARITY	GENERAL OPERATIONS	50,000.
PHILBROOK MUSEUM	NONE PUBLIC CHARITY	GENERAL OPERATIONS	10,000.
THE CHILDRENS HOSPITAL	NONE PUBLIC CHARITY	GENERAL OPERATIONS	12,000.
TULSA JEWISH RETIREMENT & HEALTH CARE CENTER	NONE PUBLIC CHARITY	GENERAL OPERATIONS	10,000.
AMERICAN DIABETES ASSOCIATION	NONE PUBLIC CHARITY	GENERAL OPERATIONS	3,000.
INDIAN NATION COUNCIL	NONE PUBLIC CHARITY	GENERAL OPERATIONS	1,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PUBLIC RADIO	NONE PUBLIC CHARITY	GENERAL OPERATIONS	250.
RIVERFIELD COUNTRY SCHOOL	NONE EDUCATION	GENERAL OPERATIONS	52,839.
TOWN & COUNTRY SCHOOL	NONE EDUCATION	GENERAL OPERATIONS	2,500.
TULSA SYMPHONY	NONE PUBLIC CHARITY	GENERAL OPERATIONS	10,000.
GEORGE WASHINGTON	NONE EDUCATION	GENERAL OPERATIONS	1,000.
YOUTH SERVICES OF TULSA	NONE PUBLIC CHARITY	GENERAL OPERATIONS	180.

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
TULSA COMMUNITY FOUNDATION	NONE PUBLIC CHARITY	GENERAL OPERATIONS	125,000.
TULSA CARES	NONE PUBLIC CHARITY	GENERAL OPERATIONS	2,500.
CHILD ABUSE NETWORK	NONE PUBLIC CHARITY	GENERAL OPERATIONS	1,000
STREET SCHOOL	NONE EDUCATION	GENERAL OPERATIONS	5,000.
OKLAHOMANS FOR EQUALITY	NONE PUBLIC CHARITY	GENERAL OPERATIONS	18,333.
HOLLAND HALL SCHOOL	NONE EDUCATION	GENERAL OPERATIONS	300.

FORM 990PE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL PSORIASIS FOUNDATION	NONE PUBLIC CHARITY	GENERAL OPERATIONS	1,000.
CREEKS	NONE PUBLIC CHARITY	GENERAL OPERATIONS	1,250.
FRIEND OF A FRIEND	NONE PUBLIC CHARITY	GENERAL OPERATIONS	2,000
AMERICAN RED CROSS	NONE PUBLIC CHARITY	GENERAL OPERATIONS	5,130.
MDA	NONE PUBLIC CHARITY	GENERAL OPERATIONS	5,000.
ROTARY CLUB OF TULSA	NONE PUBLIC CHARITY	GENERAL OPERATIONS	500.

FORM 990PE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
JULIETTE LOW LEADERSHIP SOCIETY	NONE PUBLIC CHARITY	GENERAL OPERATIONS	750.
NTAF LIVER TRANSPLANT FUND	NONE PUBLIC CHARITY	GENERAL OPERATIONS	500.
ZARROW INTERNATIONAL SCHOOL PTA	NONE EDUCATION	GENERAL OPERATIONS	500.
THE PARENT CHILD CENTER OF TULSA	NONE PUBLIC CHARITY	GENERAL OPERATIONS	10,000.
TULSA SOCIETY	NONE PUBLIC CHARITY	GENERAL OPERATIONS	180.
SOONER GOLDEN RETRIEVER RESCUE	NONE PUBLIC CHARITY	GENERAL OPERATIONS	250.

FORM 990PF, PART XV -- GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE ELEPHANT SANCTUARY	NONE PUBLIC CHARITY	GENERAL OPERATIONS	100.
TULSA HISTORICAL SOCIETY	NONE PUBLIC CHARITY	GENERAL OPERATIONS	500.
IRON GATE	NONE PUBLIC CHARITY	GENERAL OPERATIONS	1,000.
JUVENILE DIABETES FOUNDATION	NONE PUBLIC CHARITY	GENERAL OPERATIONS	500
GIRL SCOUTS	NONE PUBLIC CHARITY	GENERAL OPERATIONS	1,383.
TOTAL CONTRIBUTIONS PAID			363,415

FORM 990-PF, PART XVI-A - ANALYSIS OF PROGRAM SERVICE REVENUE

ATTACHMENT 13

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
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PLAINS ALL AMERICAN PIPELINE LP
PAA NATURAL GAS STORAGE LP

TOTALS

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS					39,905.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					5,019.	
669,918.		SEE STATEMENT D-1 526,249.					VARIOUS 143,669.	VARIOUS
903,782.		SEE STATEMENT D-1 820,100.					VARIOUS 83,682.	VARIOUS
TOTAL GAIN (LOSS)							<u>272,275.</u>	

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2010

Name of estate or trust

CORETZ FAMILY FOUNDATION

Employer identification number

20-1813311

Note: Form 5227 filers need to complete only Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a SHORT-TERM CAPITAL GAIN DIVIDENDS					39,905.

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	143,669.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ►	5	183,574.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					5,019.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	83,682.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ►	12	88,701.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		183,574.
14	Net long-term gain or (loss):			
a	Total for year	14a		88,701.
b	Unrecaptured section 1250 gain (see line 18 of the wrkst)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		272,275.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000
16	()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.	
Caution: Skip this part and complete the worksheet on page 8 of the instructions if • Either line 14b, col (2) or line 14c, col (2) is more than zero, or • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.	
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.	

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,300	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23	25		
26	Subtract line 25 from line 24	26		
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (15)	30		
31	Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

OMB No. 1545-0092

2010

CORETZ FAMILY FOUNDATION

20-1813311

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	143,669.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2010

Capital Gains - Last year

1/1/2010 through 12/31/2010

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
SHORT TERM							
BOB KLEIN	GOOGLE INC	17.000	1/13/2010	1/14/2010	10,090.91	9,897.36	193.55
BOB KLEIN	GOOGLE INC	18.000	1/13/2010	1/14/2010	10,684.49	10,537.33	147.16
BOB KLEIN	CALL ULT PET CORP	6.000	1/19/2010	10/27/2009	839.95	0.00	839.95
BOB KLEIN	CALL TRANSOCEAN	1.000	1/19/2010	1/12/2010	188.77	185.31	3.46
BOB KLEIN	CALL TRANSOCEAN	3.000	1/20/2010	1/12/2010	566.31	428.92	137.39
BOB KLEIN	TRANSOCEAN INC	35.000	9/23/2009	1/25/2010	3,058.96	3,031.00	27.96
BOB KLEIN	WELLS FARGO & CO	25.000	2/24/2009	1/25/2010	697.43	288.51	408.92
BOB KLEIN	WELLS FARGO & CO	400.000	2/27/2009	1/25/2010	11,158.85	5,347.80	5,811.05
BOB KLEIN	CALL WELLS FARGO	1.000	2/1/2010	1/8/2010	54.99	39.01	15.98
BOB KLEIN	CALL WELLS FARGO	3.000	2/1/2010	1/8/2010	164.98	117.01	47.97
BOB KLEIN	MGM MIRAGE	875.000	2/1/2010	1/25/2010	10,463.11	10,249.05	214.06
BOB KLEIN	BB&T CORP	50.000	4/23/2009	2/1/2010	1,405.48	1,076.65	328.83
BOB KLEIN	CALL HALLIBURTON	1.000	2/2/2010	1/12/2010	90.60	24.01	66.59
BOB KLEIN	CALL WELLS FARGO	4.000	2/8/2010	1/8/2010	219.98	56.02	163.96
BOB KLEIN	WELLS FARGO & CO	400.000	2/27/2009	2/8/2010	10,752.38	5,347.80	5,404.58
BOB KLEIN	CALL HALLIBURTON	2.000	2/12/2010	1/12/2010	181.21	26.01	155.20
BOB KLEIN	POWERSHARES QQQ TRU...	165.000	12/4/2009	2/12/2010	7,215.68	7,285.52	-69.84
BOB KLEIN	CALL SPDR GOLD TR	19.000	2/22/2010	1/21/2010	1,057.06	0.00	1,057.06
BOB KLEIN	CALL GCZ	5.000	2/22/2010	1/28/2010	210.52	0.00	210.52
BOB KLEIN	CALL BB&T CORP	6.000	2/22/2010	1/12/2010	309.27	0.00	309.27
BOB KLEIN	CALL GOLDCORP	7.000	2/22/2010	1/13/2010	477.00	0.00	477.00
BOB KLEIN	CADBURY PLC	265.000	3/4/2009	3/2/2010	7,569.35	7,659.61	-90.26
BOB KLEIN	CADBURY PLC	470.000	3/5/2009	3/2/2010	13,424.88	13,533.42	-108.54
BOB KLEIN	CADBURY PLC	235.000	3/6/2009	3/2/2010	6,712.44	6,701.33	11.11
BOB KLEIN	CALL GCZ	25.000	3/22/2010	2/22/2010	550.89	0.00	550.89
BOB KLEIN	BB&T CORP	600.000	4/23/2009	4/6/2010	17,999.69	12,919.80	5,079.89
BOB KLEIN	CALL BB&T CORP	6.000	4/7/2010	2/22/2010	213.63	0.00	213.63
BOB KLEIN	CALL WELLS FARGO	50.000	4/19/2010	1/8/2010	2,749.72	0.00	2,749.72
BOB KLEIN	CALL SPDR GOLD TR	25.000	4/19/2010	4/8/2010	714.87	0.00	714.87
BOB KLEIN	CALL HALLIBURTON	22.000	4/19/2010	1/12/2010	1,993.28	0.00	1,993.28
BOB KLEIN	PROSHARES TR	450.000	4/27/2010	1/25/2010	8,984.00	7,093.71	1,890.29
BOB KLEIN	PROSHARES TR	450.000	4/27/2010	2/1/2010	9,025.98	7,093.71	1,932.27
BOB KLEIN	MGM MIRAGE	615.000	5/5/2010	4/28/2010	9,532.70	9,512.39	20.31
BOB KLEIN	GOOGLE INC	20.000	5/11/2010	5/12/2010	10,053.38	10,339.71	-286.33
BOB KLEIN	PACWEST BANCORP	110.000	5/12/2010	3/12/2008	2,846.67	2,530.77	315.90
BOB KLEIN	CALL SPDR GOLD TR	25.000	5/20/2010	4/21/2010	876.12	542.86	333.26

Capital Gains - Last year

1/1/2010 through 12/31/2010

5/1/2011

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
BOB KLEIN	ISHARESTRUST	440,000	5/20/2010	12/10/2008	49,082.42	43,101.87	5,980.55
BOB KLEIN	ISHARESTRUST	65,000	5/20/2010	1/20/2009	7,314.22	6,367.32	946.90
BOB KLEIN	ISHARESTRUST	200,000	5/20/2010	1/27/2009	21,707.73	19,591.76	2,115.97
BOB KLEIN	ISHARESTRUST	225,000	5/20/2010	2/2/2009	23,458.29	22,040.73	1,417.56
BOB KLEIN	ISHARESTRUST	20,000	5/20/2010	2/6/2009	2,054.78	1,959.18	95.60
BOB KLEIN	ISHARESTRUST	140,000	5/20/2010	2/10/2009	14,576.01	13,714.23	861.78
BOB KLEIN	ISHARESTRUST	70,000	5/20/2010	2/18/2009	7,375.33	6,857.12	518.21
BOB KLEIN	ISHARESTRUST	70,000	5/20/2010	2/24/2009	7,399.71	6,857.12	542.59
BOB KLEIN	ISHARESTRUST	150,000	5/20/2010	3/18/2009	15,978.53	14,693.82	1,284.71
BOB KLEIN	PACWEST BANCORP	271,000	5/20/2010	8/7/2008	5,837.04	5,752.65	84.39
BOB KLEIN	PACWEST BANCORP	125,000	5/20/2010	8/15/2008	2,723.33	2,653.44	69.89
BOB KLEIN	CALL GOLDCORP	7,000	5/24/2010	5/13/2010	188.13	0.00	188.13
BOB KLEIN	CALL MARKET VECTORS G.	11,000	5/24/2010	5/13/2010	178.37	0.00	178.37
BOB KLEIN	CALL WELLS FARGO	50,000	5/24/2010	4/21/2010	1,299.26	0.00	1,299.26
BOB KLEIN	TEJON RANCH CO	100,000	5/25/2010	1/12/2005	4,130.86	2,450.21	1,680.65
BOB KLEIN	TEJON RANCH CO	104,000	5/25/2010	6/9/2006	4,281.54	2,548.22	1,733.32
BOB KLEIN	TEJON RANCH CO	31,000	6/1/2010	6/9/2006	1,276.23	758.94	517.29
BOB KLEIN	TEJON RANCH CO	125,000	6/1/2010	3/9/2007	5,942.31	3,060.25	2,882.06
BOB KLEIN	TEJON RANCH CO	50,000	6/1/2010	3/21/2007	2,458.96	1,224.10	1,234.86
BOB KLEIN	TEJON RANCH CO	90,000	6/1/2010	9/18/2008	3,153.34	2,203.38	949.96
BOB KLEIN	CALL CLR	2,000	6/17/2010	2/26/2010	139.98	110.00	29.98
BOB KLEIN	CALL CLR	1,000	6/18/2010	3/1/2010	80.05	55.22	24.83
BOB KLEIN	CALL CLR	2,000	6/18/2010	3/2/2010	159.97	110.45	49.52
BOB KLEIN	CALL CLR	2,000	6/21/2010	3/2/2010	159.97	0.00	159.97
BOB KLEIN	CALL CLR	2,000	6/21/2010	3/29/2010	89.95	0.00	89.95
BOB KLEIN	CALL ULT PET CORP	1,000	6/21/2010	4/9/2010	29.95	0.00	29.95
BOB KLEIN	CALL ULT PET CORP	2,000	6/21/2010	4/12/2010	59.95	0.00	59.95
BOB KLEIN	CALL UPL	3,000	6/21/2010	3/17/2010	329.95	0.00	329.95
BOB KLEIN	CALL TRANSOCEAN	4,000	6/21/2010	4/20/2010	513.38	0.00	513.38
BOB KLEIN	CALL SCHLUMBERGER	4,000	6/21/2010	4/27/2010	199.94	0.00	199.94
BOB KLEIN	TRUE RELIGION APPAREL ...	58,000	7/7/2010	10/3/2008	1,409.35	1,346.97	62.38
BOB KLEIN	TRUE RELIGION APPAREL ...	37,000	7/16/2010	10/3/2008	899.07	856.07	43.00
BOB KLEIN	TRUE RELIGION APPAREL ...	160,000	7/16/2010	10/7/2008	3,740.35	3,701.92	38.43
BOB KLEIN	CALL HALLIBURTON	22,000	7/19/2010	4/27/2010	1,010.78	0.00	1,010.78
BOB KLEIN	CALL TRANSOCEAN	4,000	7/19/2010	7/2/2010	165.66	0.00	165.66
BOB KLEIN	COMMUNITY BANCORP	5,000	7/22/2010	11/6/2007	96.21	0.02	96.19
BOB KLEIN	COMMUNITY BANCORP	20,000	7/22/2010	11/9/2007	399.00	0.08	398.92
BOB KLEIN	COMMUNITY BANCORP	205,000	7/26/2010	11/9/2007	4,089.73	0.82	4,088.91

STATEMENT D-1

Capital Gains - Last year

1/1/2010 through 12/31/2010

5/1/2011

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
BOB KLEIN	COMMUNITY BANCORP	75.000	7/26/2010	1/25/2008	1,204.45	0.30	1,204.15
BOB KLEIN	COMMUNITY BANCORP	100.000	8/11/2010	1/25/2008	1,605.94	1.00	1,604.94
BOB KLEIN	CALL TRANSOCEAN	4.000	8/16/2010	7/21/2010	167.94	160.05	7.89
BOB KLEIN	SIERRA BANCORP	50.000	8/16/2010	6/30/2008	849.49	575.42	274.07
BOB KLEIN	SIERRA BANCORP	4.000	8/16/2010	7/7/2008	62.07	46.03	16.04
BOB KLEIN	SIERRA BANCORP	46.000	8/17/2010	7/7/2008	713.77	529.46	184.31
BOB KLEIN	SIERRA BANCORP	29.000	8/17/2010	7/28/2008	526.79	333.79	193.00
BOB KLEIN	SIERRA BANCORP	21.000	8/18/2010	7/28/2008	381.47	241.55	139.92
BOB KLEIN	SIERRA BANCORP	40.000	8/18/2010	8/18/2008	688.59	460.10	228.49
BOB KLEIN	SIERRA BANCORP	21.000	8/18/2010	8/19/2008	355.60	241.55	114.05
BOB KLEIN	POWERSHARES QQQ TRU	80.000	12/4/2009	8/19/2010	3,601.59	3,532.38	69.21
BOB KLEIN	TRANSOCEAN INC	25.000	9/23/2009	8/19/2010	1,344.90	2,165.00	-820.10
BOB KLEIN	SIERRA BANCORP	24.000	8/24/2010	8/19/2008	406.39	272.39	134.00
BOB KLEIN	SIERRA BANCORP	26.000	8/24/2010	9/8/2008	422.53	295.09	127.44
BOB KLEIN	COMMUNITY BANCORP	15.000	8/25/2010	1/25/2008	240.89	0.23	240.66
BOB KLEIN	SIERRA BANCORP	4.000	8/25/2010	9/8/2008	65.01	45.38	19.63
BOB KLEIN	SIERRA BANCORP	43.000	8/25/2010	10/30/2008	874.83	487.78	387.05
BOB KLEIN	POWERSHARES QQQ TRU.	150.000	12/4/2009	8/26/2010	6,697.65	6,623.20	74.45
BOB KLEIN	SIERRA BANCORP	18.000	8/26/2010	10/30/2008	366.21	204.09	162.12
BOB KLEIN	SIERRA BANCORP	38.000	8/27/2010	10/30/2008	773.11	429.89	343.22
BOB KLEIN	SIERRA BANCORP	10.000	8/30/2010	10/30/2008	203.45	113.33	90.12
BOB KLEIN	SIERRA BANCORP	21.000	9/2/2010	10/30/2008	427.24	239.14	188.10
BOB KLEIN	SIERRA BANCORP	15.000	9/3/2010	10/30/2008	305.17	172.64	132.53
BOB KLEIN	SIERRA BANCORP	12.000	9/3/2010	10/31/2008	241.97	138.12	103.85
BOB KLEIN	SIERRA BANCORP	54.000	9/14/2010	10/31/2008	1,088.87	648.44	440.43
BOB KLEIN	SIERRA BANCORP	54.000	9/17/2010	10/31/2008	1,088.87	645.33	443.54
BOB KLEIN	CALL GG	7.000	9/20/2010	9/7/2010	208.50	0.00	208.50
BOB KLEIN	CALL GLD	23.000	9/20/2010	9/2/2010	302.82	0.00	302.82
BOB KLEIN	CALL RIG	3.000	9/20/2010	9/3/2010	60.43	0.00	60.43
BOB KLEIN	CALL QQQQ	14.000	10/18/2010	9/27/2010	362.96	220.69	142.27
BOB KLEIN	CALL GG	7.000	10/18/2010	9/24/2010	252.46	0.00	252.46
BOB KLEIN	CALL GDX	11.000	10/18/2010	9/27/2010	220.07	0.00	220.07
BOB KLEIN	CALL QQQQ	8.000	10/18/2010	9/27/2010	207.40	0.00	207.40
BOB KLEIN	CALL RIG	3.000	10/18/2010	9/22/2010	61.27	0.00	61.27
BOB KLEIN	CALL HALLIBURTON	21.000	10/18/2010	10/7/2010	218.75	0.00	218.75
BOB KLEIN	COMMUNITY BANCORP	25.000	10/20/2010	1/25/2008	401.48	0.36	401.12
BOB KLEIN	POWERSHARES QQQ TRU..	80.000	12/4/2009	10/20/2010	4,079.93	3,532.38	547.55
BOB KLEIN	POWERSHARES QQQ TRU...	475.000	12/10/2009	10/20/2010	24,224.59	21,094.28	3,130.31

5/1/2011

Capital Gains - Last year

1/1/2010 through 12/31/2010

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L..
BOB KLEIN	POWERSHARES QQQ TRU	245 000	1/20/2010	10/20/2010	12,494.79	11,198.95	1,295.84
BOB KLEIN	COMMUNITY BANCORP	20 000	10/29/2010	1/25/2008	321 19	0.12	321.07
BOB KLEIN	DEVON ENERGY CORP	31.000	12/28/2009	11/19/2010	2,180 10	2,289.73	-109.63
BOB KLEIN	DEVON ENERGY CORP ..	9.000	12/28/2009	11/24/2010	652.64	664.76	-12.12
BOB KLEIN	DEVON ENERGY CORP	60 000	12/29/2009	11/24/2010	4,350 93	4,457.40	-106.47
BOB KLEIN	DEVON ENERGY CORP ..	35.000	12/30/2009	11/24/2010	2,538 05	2,595.46	-57.41
BOB KLEIN	VINEYARD NATIONAL BAN	31 000	12/28/2010	1/25/2008	250.96	0.16	250.80
JP MORGAN MID CAP	DOLLAR GENERAL CORPO...	30.000	12/8/2009	1/7/2010	703.30	699.64	3.66
JP MORGAN MID CAP	LEXMARK INTERNATIONAL.	15 000	8/11/2009	2/3/2010	477.04	250.80	226.24
JP MORGAN MID CAP	AIRGAS INC	10.000	6/16/2009	2/5/2010	610.29	398.70	211.59
JP MORGAN MID CAP	AIRGAS INC	15.000	6/26/2009	2/5/2010	915.44	607.46	307.98
JP MORGAN MID CAP	AIRGAS INC	5 000	7/7/2009	2/5/2010	305.15	192.30	112.85
JP MORGAN MID CAP	LEXMARK INTERNATIONAL..	20.000	8/11/2009	2/19/2010	681.78	334.40	347.38
JP MORGAN MID CAP	LEXMARK INTERNATIONAL ..	5.000	9/10/2009	2/19/2010	170.45	103.85	66.60
JP MORGAN MID CAP	LEXMARK INTERNATIONAL	5 000	9/10/2009	3/9/2010	174.62	103.85	70.77
JP MORGAN MID CAP	LEXMARK INTERNATIONAL..	20 000	10/9/2009	3/9/2010	698.50	449.80	248.70
JP MORGAN MID CAP	NORFOLK SOUTHERN CORP	15 000	7/7/2009	3/11/2010	811.49	543.00	268.49
JP MORGAN MID CAP	NORFOLK SOUTHERN CORP	10 000	7/30/2009	3/11/2010	540.99	432.75	108.24
JP MORGAN MID CAP	DARDEN RESTAURANTS INC	15.000	8/18/2009	3/31/2010	667.65	468.73	198.92
JP MORGAN MID CAP	DOLLAR GENERAL CORPO .	20 000	12/8/2009	4/5/2010	511.33	466.43	44.90
JP MORGAN MID CAP	KEYCORP	100.000	9/1/2009	4/22/2010	909.05	648.00	261.05
JP MORGAN MID CAP	AVAGO TECHNOLOGIES LTD	12.000	10/7/2009	4/23/2010	257.72	193.20	64.52
JP MORGAN MID CAP	AVAGO TECHNOLOGIES LTD	12 000	10/7/2009	4/23/2010	257.72	193.32	64.40
JP MORGAN MID CAP	AVAGO TECHNOLOGIES LTD	6.000	10/8/2009	4/23/2010	128.86	96.57	32.29
JP MORGAN MID CAP	AIRGAS INC	15.000	8/14/2009	7/14/2010	973.04	677.25	295.79
JP MORGAN MID CAP	KEYCORP	25 000	9/1/2009	7/14/2010	203.75	162.00	41.75
JP MORGAN MID CAP	KEYCORP	95 000	2/4/2010	7/14/2010	774.23	676.55	97.68
JP MORGAN MID CAP	AVAGO TECHNOLOGIES LTD	15.000	10/8/2009	7/27/2010	343.58	241.41	102.17
JP MORGAN MID CAP	AVAGO TECHNOLOGIES LTD	45.000	10/29/2009	7/27/2010	1,030.73	672.30	358.43
JP MORGAN MID CAP	YUM BRANDS INC	15.000	12/18/2009	8/5/2010	630.31	516.45	113.86
JP MORGAN MID CAP	MCCORMICK & CO INC	15 000	8/5/2010	10/15/2010	630.19	595.03	35.16
JP MORGAN MID CAP	YUM BRANDS INC	15 000	1/5/2010	12/14/2010	752.00	523.60	228.40
JP MORGAN MID CAP	YUM BRANDS INC	5 000	1/21/2010	12/14/2010	250.67	175.15	75.52
JP MORGAN MID CAP	DOLLAR GENERAL CORPO...	25.000	12/14/2010	12/27/2010	784.83	779.24	5.59
SCHWAB ONE - 0051	TFS FINANCIAL CORP	410 000	4/17/2009	1/13/2010	5,118.00	4,944.60	173.40
SCHWAB ONE - 0051	BRINKS HOME SEC HOLDI.	180 000	4/17/2009	1/15/2010	5,650.68	4,417.20	1,233.48
SCHWAB ONE - 0051	BRINKS HOME SEC HOLDI	85 000	4/17/2009	1/19/2010	3,515.60	2,085.90	1,429.70
SCHWAB ONE - 0051	BRINKS HOME SEC HOLDI .	5 000	4/17/2009	1/19/2010	208.04	122.70	85.34

STATEMENT D-1

Capital Gains - Last year

1/1/2010 through 12/31/2010

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L...
SCHWAB ONE - 0051	BRINKS HOME SEC HOLDI.	80.000	5/15/2009	1/19/2010	3,328.62	2,204.80	1,123.82
SCHWAB ONE - 0051	BRINKS HOME SEC HOLDI ..	170.000	5/15/2009	1/20/2010	7,042.33	4,685.20	2,357.13
SCHWAB ONE - 0051	BRINKS HOME SEC HOLDI .	220.000	6/15/2009	1/20/2010	9,113.60	6,189.83	2,923.77
SCHWAB ONE - 0051	TFS FINANCIAL CORP	460.000	5/15/2009	1/26/2010	5,916.12	5,368.20	547.92
SCHWAB ONE - 0051	TFS FINANCIAL CORP	40.000	6/15/2009	1/26/2010	514.44	441.60	72.84
SCHWAB ONE - 0051	SOTHEBYS	200.000	4/17/2009	1/28/2010	4,650.88	1,986.00	2,664.88
SCHWAB ONE - 0051	TFS FINANCIAL CORP	280.000	6/15/2009	1/29/2010	3,586.53	3,091.20	495.33
SCHWAB ONE - 0051	ALLEGHENY TECH INC	100.000	4/17/2009	2/4/2010	4,029.08	2,872.00	1,157.08
SCHWAB ONE - 0051	TFS FINANCIAL CORP	230.000	6/15/2009	2/4/2010	2,913.97	2,539.20	374.77
SCHWAB ONE - 0051	ALLEGHENY TECH INC	70.000	4/17/2009	2/16/2010	3,074.43	2,010.40	1,064.03
SCHWAB ONE - 0051	ALLEGHENY TECH INC	40.000	5/15/2009	2/16/2010	1,756.82	1,311.60	445.22
SCHWAB ONE - 0051	ALLEGHENY TECH INC	30.000	5/15/2009	2/22/2010	1,384.06	983.70	400.36
SCHWAB ONE - 0051	ALLEGHENY TECH INC	60.000	5/15/2009	3/2/2010	2,795.50	1,967.40	828.10
SCHWAB ONE - 0051	SOTHEBYS	120.000	4/17/2009	3/11/2010	3,620.45	1,191.60	2,428.85
SCHWAB ONE - 0051	ENCORE ACQUISITION CO	10.000	5/15/2009	3/12/2010	312.90	312.90	0.00
SCHWAB ONE - 0051	ENCORE ACQUISITION CO	110.000	6/15/2009	3/12/2010	3,996.29	3,996.29	0.00
SCHWAB ONE - 0051	ENCORE ACQUISITION CO	150.000	8/25/2009	3/12/2010	5,657.15	5,657.15	0.00
SCHWAB ONE - 0051	ENCORE ACQUISITION CO	130.000	9/2/2009	3/12/2010	4,823.95	4,823.95	0.00
SCHWAB ONE - 0051	SOTHEBYS	20.000	4/17/2009	3/16/2010	607.07	198.60	408.47
SCHWAB ONE - 0051	SOTHEBYS	50.000	5/15/2009	3/16/2010	1,517.67	531.50	986.17
SCHWAB ONE - 0051	SOTHEBYS	140.000	5/15/2009	3/17/2010	4,297.76	1,488.20	2,809.56
SCHWAB ONE - 0051	CNX GAS CORPORATION	260.000	4/17/2009	3/22/2010	9,843.75	6,505.20	3,338.55
SCHWAB ONE - 0051	CNX GAS CORPORATION	80.000	5/15/2009	3/22/2010	3,028.85	2,167.20	861.65
SCHWAB ONE - 0051	CNX GAS CORPORATION	150.000	5/15/2009	3/23/2010	5,668.58	4,063.50	1,605.08
SCHWAB ONE - 0051	CNX GAS CORPORATION	140.000	6/15/2009	3/23/2010	5,290.67	4,194.20	1,096.47
SCHWAB ONE - 0051	ALLEGHENY TECH INC	10.000	5/15/2009	3/26/2010	531.51	327.90	203.61
SCHWAB ONE - 0051	ALLEGHENY TECH INC	40.000	6/15/2009	3/26/2010	2,126.05	1,578.00	548.05
SCHWAB ONE - 0051	CNX GAS CORPORATION	80.000	6/15/2009	3/26/2010	3,034.04	2,396.69	637.35
SCHWAB ONE - 0051	CNX GAS CORPORATION	100.000	3/11/2010	3/26/2010	3,792.55	2,603.34	1,189.21
SCHWAB ONE - 0051	ALLEGHENY TECH INC	70.000	6/15/2009	3/29/2010	3,886.42	2,761.49	1,124.93
SCHWAB ONE - 0051	OVERSEAS SHPHLDG GRP	150.000	4/17/2009	3/30/2010	5,933.51	3,886.50	2,047.01
SCHWAB ONE - 0051	CNX GAS CORPORATION	90.000	3/11/2010	4/1/2010	3,411.54	2,343.01	1,068.53
SCHWAB ONE - 0051	CNX GAS CORPORATION	80.000	3/11/2010	4/6/2010	3,037.23	2,082.67	954.56
SCHWAB ONE - 0051	SOTHEBYS	110.000	5/15/2009	4/21/2010	4,163.30	1,169.30	2,994.00
SCHWAB ONE - 0051	SOTHEBYS	10.000	5/15/2009	4/27/2010	359.34	106.30	253.04
SCHWAB ONE - 0051	SOTHEBYS	170.000	8/11/2009	4/27/2010	6,108.80	2,589.61	3,519.19
SCHWAB ONE - 0051	CENTURYTEL INC	24.000	5/15/2009	4/30/2010	823.07	718.95	104.12
SCHWAB ONE - 0051	CENTURYTEL INC	110.000	5/15/2009	5/4/2010	3,740.67	3,295.18	445.49

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
SCHWAB ONE - 0051	CENTURYTEL INC	220 000	5/15/2009	5/6/2010	7,359.46	6,590.36	769.10
SCHWAB ONE - 0051	CENTURYTEL INC	43.300	5/15/2009	5/13/2010	1,487.00	1,297.10	189.90
SCHWAB ONE - 0051	CENTURYTEL INC	150 700	6/15/2009	5/13/2010	5,175.33	4,821.30	354.03
SCHWAB ONE - 0051	REGIONS FINANCIAL	170 000	9/21/2009	6/18/2010	1,203.72	1,110.97	92.75
SCHWAB ONE - 0051	REGIONS FINANCIAL	1,030 000	9/23/2009	6/18/2010	7,293.10	6,938.90	354.20
SCHWAB ONE - 0051	REGIONS FINANCIAL	410 000	9/25/2009	6/18/2010	2,903.08	2,603.50	299.58
SCHWAB ONE - 0051	REGIONS FINANCIAL	100 000	9/30/2009	6/18/2010	708.07	626.81	81.26
SCHWAB ONE - 0051	REGIONS FINANCIAL	500 000	9/30/2009	6/24/2010	3,437.44	3,134.05	303.39
SCHWAB ONE - 0051	SOTHEBYS	130 000	8/11/2009	6/24/2010	3,363.96	1,980.29	1,383.67
SCHWAB ONE - 0051	REGIONS FINANCIAL	350 000	9/30/2009	6/28/2010	2,463.57	2,193.84	269.73
SCHWAB ONE - 0051	REGIONS FINANCIAL	80 000	10/20/2009	6/28/2010	563.10	475.52	87.58
SCHWAB ONE - 0051	REGIONS FINANCIAL	470 000	10/20/2009	6/29/2010	3,167.18	2,793.68	373.50
SCHWAB ONE - 0051	SOTHEBYS	200 000	8/11/2009	6/29/2010	4,538.80	3,046.60	1,492.20
SCHWAB ONE - 0051	REGIONS FINANCIAL	20.000	10/20/2009	6/30/2010	133.76	118.88	14.88
SCHWAB ONE - 0051	REGIONS FINANCIAL	420 000	3/1/2010	6/30/2010	2,808.87	2,800.90	7.97
SCHWAB ONE - 0051	SOTHEBYS	110 000	8/11/2009	6/30/2010	2,525.74	1,675.63	850.11
SCHWAB ONE - 0051	SOTHEBYS	130.000	8/11/2009	7/7/2010	3,062.40	1,980.29	1,082.11
SCHWAB ONE - 0051	ALLEGHENY TECH INC	130.000	8/28/2009	7/19/2010	5,845.28	4,111.43	1,733.85
SCHWAB ONE - 0051	CAPITOL FEDERAL FINL	619.000	12/22/2010	12/31/2010	7,252.06	0.00	7,252.06
TOTAL SHORT TERM					669,917.72	526,249.07	143,668.65

LONG TERM

BOB KLEIN	CALL GCZ	7.000	1/25/2010	1/21/2010	5,599.89	5,600.03	-0.14
BOB KLEIN	SPDR GOLD TR	255 000	2/10/2005	1/25/2010	27,393.66	10,649.36	16,744.30
BOB KLEIN	MGM MIRAGE	875.000	2/1/2010	1/22/2010	10,082.23	10,249.05	-166.82
BOB KLEIN	HALLIBURTON CO	100 000	1/13/2009	2/1/2010	3,059.97	1,825.92	1,234.05
BOB KLEIN	ISHARES SILVER TR	150 000	5/15/2006	2/1/2010	2,454.47	1,995.00	459.47
BOB KLEIN	HALLIBURTON CO	200 000	1/13/2009	2/8/2010	5,742.32	3,651.84	2,090.48
BOB KLEIN	SCHLUMBERGER LTD	150.000	12/9/2008	3/1/2010	9,175.68	6,493.17	2,682.51
BOB KLEIN	CADBURY PLC	80 000	2/20/2009	3/2/2010	2,285.09	2,311.93	-26.84
BOB KLEIN	CALL PWRSHS QQQ	15.000	4/29/2010	4/28/2010	780.37	1,410.21	-629.84
BOB KLEIN	PACWEST BANCORP	35 000	5/12/2010	4/30/2008	769.28	805.25	-35.97
BOB KLEIN	PACWEST BANCORP	115 000	5/12/2010	4/30/2008	2,527.63	2,644.71	-117.08
BOB KLEIN	PACWEST BANCORP	45 000	5/12/2010	5/1/2008	983.36	1,034.89	-51.53
BOB KLEIN	PACWEST BANCORP	120 000	5/12/2010	5/1/2008	2,622.31	2,758.88	-136.57
BOB KLEIN	PACWEST BANCORP	160 000	5/12/2010	6/19/2008	2,671.72	3,534.77	-863.05
BOB KLEIN	PACWEST BANCORP	10 000	5/12/2010	6/24/2008	157.78	220.92	-63.14
BOB KLEIN	PACWEST BANCORP	70 000	5/12/2010	6/24/2008	1,104.47	1,528.02	-423.55

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L..
BOB KLEIN	PACWEST BANCORP	5 000	5/12/2010	6/24/2008	78.89	110.01	-31.12
BOB KLEIN	PACWEST BANCORP	90 000	5/12/2010	6/25/2008	1,482.57	1,980.11	-497.54
BOB KLEIN	PACWEST BANCORP	55 000	5/12/2010	7/9/2008	836.91	1,210.07	-373.16
BOB KLEIN	CONTINENTAL RESOURCE...	60 000	12/11/2008	5/13/2010	2,894.95	1,272.25	1,622.70
BOB KLEIN	KRAFT FOODS INC	141.000	10/17/2008	5/13/2010	4,279.58	4,081.10	198.48
BOB KLEIN	SPDR GOLD TR	45.000	2/10/2005	5/13/2010	5,430.66	1,879.30	3,551.36
BOB KLEIN	PACWEST BANCORP	13 000	5/17/2010	7/9/2008	197.82	287.43	-89.61
BOB KLEIN	PACWEST BANCORP	87.000	5/19/2010	7/9/2008	1,323.84	1,894.94	-571.10
BOB KLEIN	PACWEST BANCORP	335 000	5/19/2010	7/17/2008	5,313.51	7,296.60	-1,983.09
BOB KLEIN	PACWEST BANCORP	54 000	5/19/2010	8/7/2008	1,163.10	1,176.17	-13.07
BOB KLEIN	ISHARESTRUST	350.000	5/20/2010	7/31/2009	33,053.29	34,285.58	-1,232.29
BOB KLEIN	ISHARESTRUST	150.000	5/20/2010	8/17/2009	14,154.88	14,693.82	-538.94
BOB KLEIN	ISHARESTRUST	165.000	5/20/2010	8/19/2009	15,688.66	16,163.20	-474.54
BOB KLEIN	ISHARESTRUST	165.000	5/20/2010	8/20/2009	15,800.47	16,163.20	-362.73
BOB KLEIN	ISHARESTRUST	185.000	5/20/2010	9/3/2009	17,903.98	18,122.38	-218.40
BOB KLEIN	ISHARESTRUST	100.000	5/20/2010	9/18/2009	9,578.75	9,795.88	-217.13
BOB KLEIN	SPDR GOLD TR	150.000	2/10/2005	6/1/2010	17,782.72	6,264.33	11,518.39
BOB KLEIN	RTS TEJON RANCH CO	500 000	6/10/2010	5/27/2010	0.00	26.90	-26.90
BOB KLEIN	CONTINENTAL RESOURCE...	215 000	12/11/2008	6/23/2010	10,749.82	4,558.88	6,190.94
BOB KLEIN	CONTINENTAL RESOURCE .	185 000	12/18/2008	6/23/2010	9,249.84	3,358.06	5,891.78
BOB KLEIN	TRUE RELIGION APPAREL ...	160 000	7/16/2010	10/6/2008	3,605.03	3,701.92	-96.89
BOB KLEIN	TRUE RELIGION APPAREL ...	310 000	7/16/2010	10/14/2008	6,091.21	7,172.47	-1,081.26
BOB KLEIN	HALLIBURTON CO	100 000	1/13/2009	8/19/2010	2,795.15	1,825.92	969.23
BOB KLEIN	MARKET VECTORS ETF TR	35 000	12/8/2008	8/19/2010	1,765.85	881.33	884.52
BOB KLEIN	WELLS FARGO & CO	200 000	2/27/2009	8/19/2010	5,172.31	2,673.90	2,498.41
BOB KLEIN	CONTINENTAL RESOURCE	10.000	12/18/2008	8/26/2010	414.67	181.52	233.15
BOB KLEIN	SPDR GOLD TR	100 000	2/10/2005	8/26/2010	11,990.14	4,176.22	7,813.92
BOB KLEIN	WELLS FARGO & CO	200 000	2/27/2009	8/26/2010	4,864.51	2,673.90	2,190.61
BOB KLEIN	SIERRA BANCORP	1 000	9/17/2010	3/11/2009	9.00	11.95	-2.95
BOB KLEIN	SIERRA BANCORP	19 000	9/21/2010	3/11/2009	171.00	227.47	-56.47
BOB KLEIN	SIERRA BANCORP	26.000	9/22/2010	3/11/2009	234.00	311.54	-77.54
BOB KLEIN	SIERRA BANCORP	9 000	9/24/2010	3/11/2009	81.00	108.02	-27.02
BOB KLEIN	SIERRA BANCORP	30 000	9/27/2010	3/11/2009	270.00	358.97	-88.97
BOB KLEIN	SIERRA BANCORP	8 000	9/27/2010	3/12/2009	73.55	95.72	-22.17
BOB KLEIN	SIERRA BANCORP	68.000	9/28/2010	3/12/2009	625.17	816.20	-191.03
BOB KLEIN	UNITED STATES TREASUR...	500,000 000	9/28/2010	5/20/2010	215,345.00	242,164.88	-26,819.88
BOB KLEIN	UNITED STATES TREASUR..	130,000.000	9/28/2010	5/21/2010	56,781.40	62,962.87	-6,181.47
BOB KLEIN	SIERRA BANCORP	7 000	9/29/2010	3/12/2009	64.36	84.07	-19.71

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
BOB KLEIN	SIERRA BANCORP	2 000	10/1/2010	3/12/2009	18.39	24.00	-5.61
BOB KLEIN	CALL GLD	23 000	10/8/2010	9/23/2010	420.58	811.29	-390.71
BOB KLEIN	SIERRA BANCORP	50.000	10/19/2010	3/12/2009	459.68	592.00	-132.32
BOB KLEIN	SIERRA BANCORP	40 000	10/19/2010	3/13/2009	385.46	473.60	-88.14
BOB KLEIN	SIERRA BANCORP	80.000	10/19/2010	3/18/2009	760.21	947.20	-186.99
BOB KLEIN	SIERRA BANCORP	20.000	10/19/2010	3/19/2009	192.19	236.80	-44.61
BOB KLEIN	SIERRA BANCORP	40.000	10/19/2010	3/20/2009	379.67	473.60	-93.93
BOB KLEIN	SIERRA BANCORP	85 000	10/19/2010	3/23/2009	863.85	1,006.40	-142.55
BOB KLEIN	SIERRA BANCORP	10 000	10/19/2010	3/24/2009	104.92	118.40	-13.48
BOB KLEIN	SIERRA BANCORP	15 000	10/19/2010	3/25/2009	165.36	177.60	-12.24
JP MORGAN MID CAP	BROOKFIELD PROPERTIES..	80.000	12/21/2004	1/5/2010	997.98	1,338.67	-340.69
JP MORGAN MID CAP	CARLISLE COMPANIES INC	15.000	12/21/2004	1/5/2010	526.13	475.13	51.00
JP MORGAN MID CAP	AMERICAN ELECTRIC PO..	20.000	9/21/2005	1/27/2010	704.99	780.60	-75.61
JP MORGAN MID CAP	AMERICAN ELECTRIC PO .	10 000	11/2/2006	1/27/2010	352.49	411.50	-59.01
JP MORGAN MID CAP	WINDSTREAM CORP	49 000	11/15/2006	1/27/2010	512.07	672.98	-160.91
JP MORGAN MID CAP	WINDSTREAM CORP	11 000	12/7/2006	1/27/2010	114.95	155.65	-40.70
JP MORGAN MID CAP	AMERICAN ELECTRIC PO.	10 000	11/2/2006	1/28/2010	352.99	411.50	-58.51
JP MORGAN MID CAP	AMERICAN ELECTRIC PO .	5.000	1/11/2007	1/28/2010	176.50	213.15	-36.65
JP MORGAN MID CAP	AMERICAN ELECTRIC PO..	20.000	1/11/2007	2/1/2010	690.81	852.60	-161.79
JP MORGAN MID CAP	SMUCKER J M COMPANY	5 000	7/29/2008	2/4/2010	306.07	239.21	66.86
JP MORGAN MID CAP	SMUCKER J M COMPANY	5 000	10/10/2008	2/4/2010	306.07	208.81	97.26
JP MORGAN MID CAP	VCA ANTECH INC	15 000	4/22/2008	2/4/2010	379.78	420.37	-40.59
JP MORGAN MID CAP	V F CORP	5 000	1/10/2005	2/5/2010	354.00	266.47	87.53
JP MORGAN MID CAP	V F CORP	5 000	1/11/2007	2/5/2010	354.00	407.25	-53.25
JP MORGAN MID CAP	AMERICAN ELECTRIC PO.	10 000	1/11/2007	2/8/2010	330.69	426.30	-95.61
JP MORGAN MID CAP	AMERICAN ELECTRIC PO	5 000	2/14/2007	2/8/2010	165.35	230.88	-65.53
JP MORGAN MID CAP	ONEBEACON INSURANCE	7 000	12/13/2006	2/17/2010	102.41	189.00	-86.59
JP MORGAN MID CAP	ONEBEACON INSURANCE	13 000	12/13/2006	2/18/2010	190.89	351.00	-160.11
JP MORGAN MID CAP	ONEBEACON INSURANCE ...	25.000	2/21/2007	2/18/2010	367.10	697.49	-330.39
JP MORGAN MID CAP	ONEBEACON INSURANCE	5 000	4/3/2007	2/18/2010	73.42	125.00	-51.58
JP MORGAN MID CAP	ONEBEACON INSURANCE	20.000	4/3/2007	2/22/2010	300.32	500.00	-199.68
JP MORGAN MID CAP	CARLISLE COMPANIES INC	30.000	12/21/2004	3/1/2010	1,053.98	950.25	103.73
JP MORGAN MID CAP	SAFEWAY INC	15.000	10/25/2007	3/3/2010	372.89	483.26	-110.37
JP MORGAN MID CAP	SAFEWAY INC	10 000	10/31/2007	3/3/2010	248.60	339.68	-91.08
JP MORGAN MID CAP	V F CORP	10.000	10/30/2007	3/3/2010	777.69	855.02	-77.33
JP MORGAN MID CAP	AMERICAN ELECTRIC PO..	15.000	2/14/2007	3/5/2010	509.24	692.63	-183.39
JP MORGAN MID CAP	AMERICAN ELECTRIC PO .	15 000	12/4/2007	3/5/2010	509.24	726.03	-216.79
JP MORGAN MID CAP	AMERICAN ELECTRIC PO	5 000	12/4/2007	3/12/2010	171.09	242.01	-70.92

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
JP MORGAN MID CAP	AMERICAN ELECTRIC PO.	10 000	9/8/2008	3/12/2010	342.18	385.00	-42.82
JP MORGAN MID CAP	M&T BANK CORP	5 000	7/30/2007	3/15/2010	401.17	533.46	-132.29
JP MORGAN MID CAP	M&T BANK CORP	5 000	1/7/2008	3/15/2010	401.17	388.21	12.96
JP MORGAN MID CAP	AMERICAN ELECTRIC PO.	5 000	9/8/2008	3/22/2010	171.25	192.50	-21.25
JP MORGAN MID CAP	AMERICAN ELECTRIC PO.	25 000	9/24/2008	3/22/2010	856.23	906.06	-49.83
JP MORGAN MID CAP	SCRIPPS NETWORKS INTE.	20 000	2/5/2009	3/22/2010	836.94	431.95	404.99
JP MORGAN MID CAP	SAFEWAY INC	10 000	10/31/2007	3/31/2010	248.58	339.68	-91.10
JP MORGAN MID CAP	SAFEWAY INC	25 000	11/9/2007	3/31/2010	621.45	783.75	-162.30
JP MORGAN MID CAP	PRINCIPAL FINANCIAL GR.	5 000	4/25/2006	4/1/2010	146.70	253.36	-106.66
JP MORGAN MID CAP	PRINCIPAL FINANCIAL GR...	15 000	10/4/2007	4/1/2010	440.10	953.94	-513.84
JP MORGAN MID CAP	PRINCIPAL FINANCIAL GR...	5 000	7/18/2008	4/1/2010	146.70	196.76	-50.06
JP MORGAN MID CAP	ALBEMARLE CORP	10 000	4/27/2005	4/7/2010	435.89	175.02	260.87
JP MORGAN MID CAP	VENTAS INC	10 000	11/19/2008	4/7/2010	478.20	218.91	259.29
JP MORGAN MID CAP	M&T BANK CORP	10 000	1/7/2008	4/8/2010	834.79	776.41	58.38
JP MORGAN MID CAP	CULLEN FROST BANKERS	10 000	12/7/2005	4/8/2010	566.93	555.89	11.04
JP MORGAN MID CAP	V F CORP	15 000	1/18/2008	4/12/2010	1,258.36	1,021.79	236.57
JP MORGAN MID CAP	OLD REPUBLIC INTL CORP	90 000	12/21/2004	4/13/2010	1,204.17	1,753.20	-549.03
JP MORGAN MID CAP	MADISON SQUARE GARDE...	7 333	2/7/2007	4/19/2010	159.45	50.78	108.67
JP MORGAN MID CAP	MADISON SQUARE GARDE.	6.111	5/31/2007	4/19/2010	132.88	50.88	82.00
JP MORGAN MID CAP	MADISON SQUARE GARDE.	8.556	1/7/2008	4/19/2010	186.03	46.96	139.07
JP MORGAN MID CAP	LINCARE HOLDINGS INC	15 000	6/29/2007	4/21/2010	721.62	604.52	117.10
JP MORGAN MID CAP	CINCINNATI FINANCIAL CO...	30 000	3/2/2007	4/22/2010	882.58	1,292.67	-410.09
JP MORGAN MID CAP	KINDER MORGAN MGMT LLC	10 000	11/2/2007	5/3/2010	586.89	13.76	573.13
JP MORGAN MID CAP	STAPLES INC	20 000	10/4/2007	5/3/2010	474.46	439.80	34.66
JP MORGAN MID CAP	KIMCO REALTY CORP	10 000	11/19/2008	5/11/2010	160.23	149.57	10.66
JP MORGAN MID CAP	KIMCO REALTY CORP	40 000	12/8/2008	5/11/2010	640.92	679.81	-38.89
JP MORGAN MID CAP	KIMCO REALTY CORP	5 000	1/22/2009	5/11/2010	80.12	76.10	4.02
JP MORGAN MID CAP	PG&E CORP	20 000	1/11/2007	5/12/2010	885.38	935.20	-49.82
JP MORGAN MID CAP	KIMCO REALTY CORP	45 000	1/22/2009	5/18/2010	676.30	684.89	-8.59
JP MORGAN MID CAP	AUTONATION INC	35 000	11/15/2006	5/27/2010	687.73	716.19	-28.46
JP MORGAN MID CAP	PG&E CORP	20 000	1/11/2007	6/7/2010	817.58	935.20	-117.62
JP MORGAN MID CAP	TJX COMPANIES INC	15 000	2/14/2007	6/7/2010	676.80	426.00	250.80
JP MORGAN MID CAP	TJX COMPANIES INC	5 000	10/30/2007	6/7/2010	225.60	142.61	82.99
JP MORGAN MID CAP	PG&E CORP	15 000	6/26/2007	6/14/2010	600.13	684.93	-84.80
JP MORGAN MID CAP	PG&E CORP	5 000	6/26/2007	6/15/2010	205.74	228.31	-22.57
JP MORGAN MID CAP	PG&E CORP	10 000	7/1/2008	6/15/2010	411.49	397.42	14.07
JP MORGAN MID CAP	PG&E CORP	5 000	7/1/2008	6/17/2010	208.84	198.71	10.13
JP MORGAN MID CAP	PG&E CORP	10 000	3/3/2009	6/17/2010	417.69	384.99	32.70

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
JP MORGAN MID CAP	VENTAS INC	10.000	11/19/2008	6/22/2010	496.11	218.91	277.20
JP MORGAN MID CAP	VENTAS INC	5.000	1/23/2009	6/22/2010	248.05	136.70	111.35
JP MORGAN MID CAP	M&T BANK CORP	15.000	3/26/2008	6/23/2010	1,354.70	1,261.46	93.24
JP MORGAN MID CAP	M&T BANK CORP	5.000	1/7/2009	6/23/2010	451.57	247.71	203.86
JP MORGAN MID CAP	AUTOZONE INC	3.000	3/14/2005	6/24/2010	589.06	264.63	324.43
JP MORGAN MID CAP	OLD REPUBLIC INTL CORP	40.000	12/21/2004	6/28/2010	511.99	779.20	-267.21
JP MORGAN MID CAP	ASSURANT INC	10.000	4/3/2007	6/29/2010	359.67	559.98	-200.31
JP MORGAN MID CAP	ASSURANT INC	15.000	9/24/2008	6/29/2010	539.50	758.09	-218.59
JP MORGAN MID CAP	ASSURANT INC	10.000	10/31/2008	6/29/2010	359.67	248.06	111.61
JP MORGAN MID CAP	CABLEVISION SYSTEMS C.	30.000	2/7/2007	6/29/2010	714.88	849.83	-134.95
JP MORGAN MID CAP	AUTONATION INC	14.000	11/15/2006	7/6/2010	260.97	286.48	-25.51
JP MORGAN MID CAP	AUTONATION INC	35.000	10/21/2008	7/6/2010	652.43	253.41	399.02
JP MORGAN MID CAP	H&R BLOCK INC	40.000	12/29/2008	7/8/2010	570.38	864.90	-294.52
JP MORGAN MID CAP	H&R BLOCK INC	5.000	2/18/2009	7/8/2010	71.30	103.50	-32.20
JP MORGAN MID CAP	AIRGAS INC	5.000	7/7/2009	7/14/2010	324.35	192.30	132.05
JP MORGAN MID CAP	WABCO HOLDINGS INC	10.000	8/3/2007	7/21/2010	364.48	482.00	-117.52
JP MORGAN MID CAP	PRECISION CASTPARTS C...	5.000	6/12/2008	7/27/2010	603.67	521.62	82.05
JP MORGAN MID CAP	H&R BLOCK INC	15.000	2/18/2009	8/2/2010	233.84	310.49	-76.65
JP MORGAN MID CAP	H&R BLOCK INC	25.000	4/2/2009	8/2/2010	389.74	450.00	-60.26
JP MORGAN MID CAP	OLD REPUBLIC INTL CORP	47.000	12/21/2004	8/2/2010	585.14	915.56	-330.42
JP MORGAN MID CAP	OLD REPUBLIC INTL CORP	43.000	7/2/2007	8/2/2010	535.34	928.82	-393.48
JP MORGAN MID CAP	AUTOZONE INC	3.000	3/14/2005	8/5/2010	635.07	264.63	370.44
JP MORGAN MID CAP	LORILLARD INC	5.000	2/29/2008	8/5/2010	381.27	375.21	6.06
JP MORGAN MID CAP	LORILLARD INC	5.000	3/18/2008	8/5/2010	381.27	363.95	17.32
JP MORGAN MID CAP	PRECISION CASTPARTS C	5.000	6/27/2008	8/5/2010	623.52	488.66	134.86
JP MORGAN MID CAP	REPUBLIC SERVICES INC	25.000	12/21/2004	8/5/2010	810.53	539.83	270.70
JP MORGAN MID CAP	CLOROX CO	5.000	7/7/2005	8/9/2010	325.30	273.53	51.77
JP MORGAN MID CAP	CLOROX CO	10.000	7/26/2005	8/9/2010	650.59	550.80	99.79
JP MORGAN MID CAP	AMERICAN WTR WKS CO I	30.000	4/24/2008	9/3/2010	674.09	615.60	58.49
JP MORGAN MID CAP	PUBLIC STORAGE INC	5.000	3/3/2008	9/13/2010	510.85	412.90	97.95
JP MORGAN MID CAP	VORNADO REALTY TRUST	5.000	11/3/2005	9/13/2010	428.64	397.09	31.55
JP MORGAN MID CAP	KIMCO REALTY CORP	10.000	1/22/2009	9/15/2010	155.71	152.20	3.51
JP MORGAN MID CAP	KIMCO REALTY CORP	25.000	3/3/2009	9/15/2010	389.26	204.37	184.89
JP MORGAN MID CAP	AUTOZONE INC	2.000	3/14/2005	9/17/2010	438.19	176.42	261.77
JP MORGAN MID CAP	KINDER MORGAN MGMT LLC	15.000	11/2/2007	9/21/2010	913.72	20.64	893.08
JP MORGAN MID CAP	PRECISION CASTPARTS C..	5.000	6/27/2008	9/23/2010	643.92	488.66	155.26
JP MORGAN MID CAP	VULCAN MATERIALS CO	10.000	10/30/2007	9/24/2010	376.09	861.86	-485.77
JP MORGAN MID CAP	VULCAN MATERIALS CO	10.000	3/26/2008	9/24/2010	376.09	669.49	-293.40

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JP MORGAN MID CAP	PRECISION CASTPARTS C.	5 000	6/27/2008	9/30/2010	652 19	488.66	163 53
JP MORGAN MID CAP	PRECISION CASTPARTS C	5 000	7/25/2008	9/30/2010	652 19	467.81	184 38
JP MORGAN MID CAP	ALBEMARLE CORP	15 000	4/27/2005	10/4/2010	687 72	262.52	425.20
JP MORGAN MID CAP	SIGMA-ALDRICH CORP	15 000	5/17/2006	10/4/2010	906 24	511.13	395 11
JP MORGAN MID CAP	AMPHENOL CORP	15 000	11/14/2005	10/5/2010	741 04	298.27	442.77
JP MORGAN MID CAP	FORTUNE BRANDS INC	10 000	10/6/2006	10/5/2010	492 95	743 66	-250.71
JP MORGAN MID CAP	PRECISION CASTPARTS C...	7 000	10/10/2008	10/13/2010	912.97	381 23	531.74
JP MORGAN MID CAP	FORTUNE BRANDS INC	5 000	10/6/2006	10/14/2010	279.33	371 83	-92.50
JP MORGAN MID CAP	FORTUNE BRANDS INC	10 000	3/2/2007	10/14/2010	558.66	805.35	-246.69
JP MORGAN MID CAP	MCCORMICK & CO INC	15 000	9/9/2009	10/15/2010	630.19	501 75	128.44
JP MORGAN MID CAP	PRECISION CASTPARTS C..	3 000	10/10/2008	10/15/2010	390.14	163 38	226 76
JP MORGAN MID CAP	PRECISION CASTPARTS C..	5 000	9/14/2009	10/15/2010	650 24	480 00	170.24
JP MORGAN MID CAP	GENUINE PARTS CO	15 000	4/28/2006	10/18/2010	685.65	659 55	26.10
JP MORGAN MID CAP	TCF FINANCIAL CORP	35 000	2/3/2009	11/2/2010	463 58	431.41	32 17
JP MORGAN MID CAP	BALL CORP	10 000	5/24/2005	11/4/2010	634 27	375 12	259.15
JP MORGAN MID CAP	AMERICAN WTR WKS CO I	5 000	4/24/2008	11/5/2010	119.46	102.60	16 86
JP MORGAN MID CAP	AMERICAN WTR WKS CO I..	10 000	6/6/2008	11/5/2010	238.92	219 40	19.52
JP MORGAN MID CAP	ROPER INDUSTRIES INC	5 000	11/2/2009	11/5/2010	351.97	249 87	102.10
JP MORGAN MID CAP	WABCO HOLDINGS INC	15 000	8/10/2007	11/5/2010	730.93	675.11	55.82
JP MORGAN MID CAP	WASHINGTON POST CO	3 000	3/26/2007	11/5/2010	1,208.97	2,282.71	-1,073.74
JP MORGAN MID CAP	CINNINNATI FINANCIAL CO..	20 000	8/2/2007	11/8/2010	593.74	800.13	-206.39
JP MORGAN MID CAP	CINNINNATI FINANCIAL CO..	5 000	10/30/2007	11/8/2010	148.44	199 48	-51.04
JP MORGAN MID CAP	ASSURANT INC	15 000	10/31/2008	11/10/2010	538.51	372.08	166.43
JP MORGAN MID CAP	TEEKAY CORPORATION	25 000	3/6/2007	11/16/2010	811.87	1,234.30	-422.43
JP MORGAN MID CAP	KINDER MORGAN MGMT LLC	11 143	11/2/2007	12/3/2010	710.67	15.33	695.34
JP MORGAN MID CAP	KINDER MORGAN MGMT LLC	8 857	3/20/2008	12/3/2010	564.90	12.03	552.87
JP MORGAN MID CAP	BB&T CORP	40 000	5/13/2009	12/6/2010	944 92	858.00	86.92
JP MORGAN MID CAP	FORTUNE BRANDS INC	5 000	3/2/2007	12/6/2010	302.88	402.68	-99 80
JP MORGAN MID CAP	FORTUNE BRANDS INC	5 000	12/21/2007	12/6/2010	302.88	369 92	-67.04
JP MORGAN MID CAP	AFFILIATED MANAGERS G..	5 000	1/16/2008	12/8/2010	470.20	479.95	-9.75
JP MORGAN MID CAP	AFFILIATED MANAGERS G...	15 000	8/14/2008	12/8/2010	1,410.60	1,400.07	10.53
JP MORGAN MID CAP	SCRIPPS NETWORKS INTE..	10 000	2/5/2009	12/9/2010	518 14	215.98	302.16
JP MORGAN MID CAP	SCRIPPS NETWORKS INTE	25 000	2/9/2009	12/9/2010	1,295.35	551 21	744.14
JP MORGAN MID CAP	SCRIPPS NETWORKS INTE.	5 000	9/10/2009	12/9/2010	259.07	173.59	85 48
JP MORGAN MID CAP	VENTAS INC	10 000	1/23/2009	12/9/2010	500.56	273.40	227.16
JP MORGAN MID CAP	VENTAS INC	20 000	3/4/2009	12/9/2010	1,001.13	463.83	537.30
JP MORGAN MID CAP	WILMINGTON TRUST CORP	70 000	3/10/2005	12/9/2010	283.53	2,449.14	-2,165.61
JP MORGAN MID CAP	WILMINGTON TRUST CORP	20 000	10/12/2006	12/9/2010	81.01	898.18	-817.17

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JP MORGAN MID CAP	WILMINGTON TRUST CORP	20 000	1/7/2009	12/9/2010	81.01	415.86	-334.85
JP MORGAN MID CAP	PEOPLES UTD FINL INC	40.000	9/12/2007	12/14/2010	530.87	680.00	-149.13
JP MORGAN MID CAP	PEOPLES UTD FINL INC	15.000	3/26/2008	12/14/2010	199.07	264.30	-65.23
JP MORGAN MID CAP	TIFFANY & CO	10.000	9/7/2006	12/14/2010	627.09	314.02	313.07
JP MORGAN MID CAP	COVENTRY HEALTH CARE	5.000	4/6/2006	12/16/2010	136.00	267.50	-131.50
JP MORGAN MID CAP	COVENTRY HEALTH CARE ..	15.000	5/1/2006	12/16/2010	407.99	742.76	-334.77
JP MORGAN MID CAP	COVENTRY HEALTH CARE ..	5 000	10/6/2006	12/16/2010	136.00	252.64	-116.64
JP MORGAN MID CAP	WILLIAMS COMPANIES	10 000	2/10/2006	12/16/2010	242.20	219.75	22.45
JP MORGAN MID CAP	WILLIAMS COMPANIES	20 000	2/28/2006	12/16/2010	484.40	430.00	54.40
JP MORGAN MID CAP	WILLIAMS COMPANIES	35 000	2/28/2006	12/17/2010	846.11	752.50	93.61
JP MORGAN MID CAP	COMMUNITY HEALTH SYS	25 000	1/11/2007	12/22/2010	938.86	905.00	33.86
JP MORGAN MID CAP	CLOXOX CO	5 000	7/26/2005	12/23/2010	314.61	275.40	39.21
JP MORGAN MID CAP	CLOXOX CO	15 000	12/2/2005	12/23/2010	943.82	824.80	119.02
JP MORGAN MID CAP	TCF FINANCIAL CORP	20 000	2/3/2009	12/27/2010	279.82	246.52	33.30
JP MORGAN MID CAP	TCF FINANCIAL CORP	35 000	3/17/2009	12/27/2010	489.69	416.85	72.84
JP MORGAN MID CAP	ASSURANT INC	10.000	12/8/2008	12/30/2010	382.42	232.71	149.71
JP MORGAN MID CAP	ASSURANT INC	40 000	5/7/2009	12/30/2010	1,529.67	983.60	546.07
JP MORGAN MID CAP	SMUCKER J M COMPANY	10 000	10/10/2008	12/30/2010	659.19	417.63	241.56
JP MORGAN MID CAP	SMUCKER J M COMPANY	5.000	11/6/2008	12/30/2010	329.59	202.00	127.59
SCHWAB ONE - 0051	CENTURYTEL INC	200 000	4/17/2009	4/26/2010	6,796.84	5,297.81	1,499.03
SCHWAB ONE - 0051	CENTURYTEL INC	210.000	4/17/2009	4/27/2010	7,083.49	5,562.70	1,520.79
SCHWAB ONE - 0051	OVERSEAS SHPHLDG GRP .	70.000	4/17/2009	4/27/2010	3,398.48	1,813.70	1,584.78
SCHWAB ONE - 0051	CENTURYTEL INC	96 000	4/17/2009	4/30/2010	3,292.28	2,542.95	749.33
SCHWAB ONE - 0051	UMB FINANCIAL CORP	70 000	4/17/2009	5/24/2010	2,723.24	3,223.50	-500.26
SCHWAB ONE - 0051	UMB FINANCIAL CORP	10.000	5/15/2009	5/24/2010	389.03	429.70	-40.67
SCHWAB ONE - 0051	GRANITE CONSTRUCTION ..	30 000	5/15/2009	6/24/2010	761.99	1,120.80	-358.81
SCHWAB ONE - 0051	GRANITE CONSTRUCTION ..	20 000	6/1/2009	6/24/2010	507.99	696.17	-188.18
SCHWAB ONE - 0051	GRANITE CONSTRUCTION .	40 000	6/1/2009	7/2/2010	904.09	1,392.35	-488.26
SCHWAB ONE - 0051	GRANITE CONSTRUCTION ..	80 000	6/15/2009	7/2/2010	1,808.18	2,634.44	-826.26
SCHWAB ONE - 0051	GRANITE CONSTRUCTION	130.000	6/15/2009	7/8/2010	3,117.20	4,280.96	-1,163.76
SCHWAB ONE - 0051	ADC TELECOMMUNIS	430 000	4/17/2009	7/13/2010	5,370.61	2,386.50	2,984.11
SCHWAB ONE - 0051	ADC TELECOMMUNIS	830.000	5/15/2009	7/13/2010	10,366.52	5,643.00	4,723.52
SCHWAB ONE - 0051	ADC TELECOMMUNIS	400.000	6/15/2009	7/13/2010	4,995.91	3,303.96	1,691.95
SCHWAB ONE - 0051	ADC TELECOMMUNIS	300 000	6/15/2009	7/14/2010	3,740.93	2,477.97	1,262.96
SCHWAB ONE - 0051	ALLEGHENY TECH INC	100.000	6/15/2009	7/16/2010	4,517.86	3,944.99	572.87
SCHWAB ONE - 0051	OVERSEAS SHPHLDG GRP...	100 000	4/17/2009	7/16/2010	3,649.75	2,591.00	1,058.75
SCHWAB ONE - 0051	ALLEGHENY TECH INC	10 000	6/15/2009	7/19/2010	449.64	394.50	55.14
SCHWAB ONE - 0051	GRANITE CONSTRUCTION ..	120 000	6/15/2009	7/20/2010	2,679.80	3,951.66	-1,271.86

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SCHWAB ONE - 0051	ADC TELECOMMUNS	260.000	6/15/2009	7/27/2010	3,293.02	2,147.57	1,145.45
SCHWAB ONE - 0051	ADC TELECOMMUNS	250.000	6/15/2009	7/28/2010	3,167.44	2,064.98	1,102.46
SCHWAB ONE - 0051	AMERICREDIT CORP	270.000	5/29/2009	7/30/2010	6,507.66	3,324.48	3,183.18
SCHWAB ONE - 0051	AMERICREDIT CORP	20.000	5/29/2009	8/5/2010	482.09	246.26	235.83
SCHWAB ONE - 0051	AMERICREDIT CORP	210.000	6/4/2009	8/5/2010	5,061.92	2,853.29	2,208.63
SCHWAB ONE - 0051	AMERICREDIT CORP	110.000	6/10/2009	8/5/2010	2,651.48	1,521.51	1,129.97
SCHWAB ONE - 0051	AMERICREDIT CORP	180.000	6/10/2009	8/6/2010	4,333.62	2,489.74	1,843.88
SCHWAB ONE - 0051	AMERICREDIT CORP	70.000	6/15/2009	8/6/2010	1,685.30	871.87	813.43
SCHWAB ONE - 0051	AMERICREDIT CORP	270.000	6/15/2009	8/10/2010	6,501.48	3,362.93	3,138.55
SCHWAB ONE - 0051	AMERICREDIT CORP	280.000	6/15/2009	8/12/2010	6,740.63	3,487.48	3,253.15
SCHWAB ONE - 0051	OVERSEAS SHPHLDG GRP...	10.000	4/17/2009	8/12/2010	358.94	259.10	99.84
SCHWAB ONE - 0051	OVERSEAS SHPHLDG GRP...	210.000	5/15/2009	8/12/2010	7,537.67	6,650.70	886.97
SCHWAB ONE - 0051	OVERSEAS SHPHLDG GRP...	110.000	6/15/2009	8/12/2010	3,948.30	4,373.60	-425.30
SCHWAB ONE - 0051	AMERICREDIT CORP	260.000	6/15/2009	8/13/2010	6,257.21	3,238.38	3,018.83
SCHWAB ONE - 0051	ONEOK INC	120.000	4/17/2009	9/8/2010	5,276.83	3,024.00	2,252.83
SCHWAB ONE - 0051	PLAINS ALL AMERN PPLN LP	130.000	4/17/2009	9/9/2010	7,973.62	5,192.20	2,781.42
SCHWAB ONE - 0051	ONEOK INC	130.000	4/17/2009	9/10/2010	5,698.32	3,276.00	2,422.32
SCHWAB ONE - 0051	PLAINS ALL AMERN PPLN LP	40.000	4/17/2009	9/10/2010	2,465.35	1,597.60	867.75
SCHWAB ONE - 0051	AMERCO	80.000	4/17/2009	9/16/2010	6,487.31	3,072.80	3,414.51
SCHWAB ONE - 0051	SL GREEN REALTY CORP	190.000	4/17/2009	9/20/2010	11,973.59	3,539.70	8,433.89
SCHWAB ONE - 0051	CASEYS GEN STORES INC	90.000	4/17/2009	9/23/2010	3,912.33	2,521.80	1,390.53
SCHWAB ONE - 0051	CASEYS GEN STORES INC	80.000	4/17/2009	9/28/2010	3,417.04	2,241.60	1,175.44
SCHWAB ONE - 0051	CAPITOL FEDERAL FINL	90.000	5/15/2009	10/22/2010	2,218.49	3,743.10	-1,524.61
SCHWAB ONE - 0051	CAPITOL FEDERAL FINL	20.000	6/15/2009	10/22/2010	493.00	804.42	-311.42
SCHWAB ONE - 0051	ALLEGHENY TECH INC	70.000	8/28/2009	11/10/2010	3,915.99	2,213.85	1,702.14
SCHWAB ONE - 0051	ALLEGHENY TECH INC	20.000	9/1/2009	11/10/2010	1,118.86	588.08	530.78
SCHWAB ONE - 0051	COMMERCIAL METALS CO	480.000	4/17/2009	11/10/2010	6,976.01	6,796.80	179.21
SCHWAB ONE - 0051	COMMERCIAL METALS CO	120.000	5/15/2009	11/10/2010	1,744.00	1,761.60	-17.60
SCHWAB ONE - 0051	ALLEGHENY TECH INC	170.000	9/1/2009	11/15/2010	8,923.85	4,998.68	3,925.17
SCHWAB ONE - 0051	COMMERCIAL METALS CO	330.000	5/15/2009	11/16/2010	4,673.28	4,844.40	-171.12
SCHWAB ONE - 0051	COMMERCIAL METALS CO	330.000	6/15/2009	11/16/2010	4,673.28	5,326.17	-652.89
SCHWAB ONE - 0051	FOOT LOCKER INC	470.000	6/17/2009	11/30/2010	8,854.65	4,998.03	3,856.62
SCHWAB ONE - 0051	FOOT LOCKER INC	240.000	6/22/2009	11/30/2010	4,521.52	2,559.36	1,962.16
SCHWAB ONE - 0051	INVESTORS BANCORP INC	750.000	4/17/2009	11/30/2010	9,044.84	6,900.00	2,144.84
SCHWAB ONE - 0051	INVESTORS BANCORP INC	1,160.000	5/15/2009	11/30/2010	13,989.36	10,389.08	3,600.28
SCHWAB ONE - 0051	INVESTORS BANCORP INC	880.000	6/15/2009	11/30/2010	10,612.62	7,205.35	3,407.27
SCHWAB ONE - 0051	WINN DIXIE STORES	550.000	4/17/2009	12/21/2010	3,772.00	5,731.00	-1,959.00
SCHWAB ONE - 0051	CAPITOL FEDERAL FINL	420.000	6/15/2009	12/31/2010	4,920.62	16,892.78	-11,972.16

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SCHWAB ONE - 0051	CAPITOL FEDERAL FINL	70 000	12/3/2009	12/31/2010	820 10	2,035 65	-1,215.55
TOTAL LONG TERM					903,781.96	820,100.14	83,681.82
OVERALL TOTAL					1,573,699.68	1,346,349.21	227,350.47