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**EXTENSION GRANTED TO 11/15/11**  
**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2010

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2010, or tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

G Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

|   |  |  |
|---|--|--|
| Name of foundation<br><b>THE SOOCH FOUNDATION</b>   |  | A Employer identification number<br><b>20-0399480</b>  |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>600 WEST 7TH STREET</b>  |  | B Telephone number<br><b>(512) 329-0464</b>  |
| City or town, state, and ZIP code<br><b>AUSTIN, TX 78701</b>  |  | C If exemption application is pending, check here <input type="checkbox"/>   |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation |  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| I Fair market value of all assets at end of year<br>(from Part II, col. (c), line 16)<br>\$ <b>14,816,322.</b>  | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>  |
|   |  | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>   |

| Part I Analysis of Revenue and Expenses<br><small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small> | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received  | 500.                               |                           | N/A                     |   |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B   |                                    |                           |                         |   |
| 3 Interest on savings and temporary cash investments  |                                    |                           |                         |   |
| 4 Dividends and interest from securities  | 318,794.                           | 318,794.                  |                         | STATEMENT 1   |
| 5a Gross rents  |                                    |                           |                         |   |
| b Net rental income or (loss)   |                                    |                           |                         |   |
| 6a Net gain or (loss) from sale of assets not on line 10  | 153,439.                           |                           |                         |   |
| b Gross sales price for all assets on line 6a   | 5,033,929.                         |                           |                         |   |
| 7 Capital gain net income (from Part IV, line 2)  |                                    | 153,439.                  |                         |   |
| 8 Net short-term capital gain   |                                    |                           |                         |   |
| 9 Income modifications  |                                    |                           |                         |   |
| 10a Gross sales less returns and allowances   |                                    |                           |                         |   |
| b Less Cost of goods sold   |                                    |                           |                         |   |
| c Gross profit or (loss)  |                                    |                           |                         |   |
| 11 Other income   | 3,433.                             | 3,433.                    |                         | STATEMENT 2   |
| 12 Total. Add lines 1 through 11  | 476,166.                           | 475,666.                  |                         |   |
| 13 Compensation of officers, directors, trustees, etc   | 55,000.                            | 0.                        |                         | 55,000.   |
| 14 Other employee salaries and wages  | 121,400.                           | 0.                        |                         | 121,400.  |
| 15 Pension plans, employee benefits   | 20,096.                            | 0.                        |                         | 20,096.   |
| 16a Legal fees  |                                    |                           |                         |   |
| b Accounting fees   | 4,884.                             | 2,442.                    |                         | 2,442.  |
| c Other professional fees   | 42,099.                            | 42,099.                   |                         | 0.  |
| 17 Interest   |                                    |                           |                         |   |
| 18 Taxes  | 69,323.                            | 4,328.                    |                         | 13,495.   |
| 19 Depreciation and depletion   |                                    |                           |                         |   |
| 20 Occupancy  |                                    |                           |                         |   |
| 21 Travel, conferences, and meetings  | 7,333.                             | 0.                        |                         | 7,333.  |
| 22 Printing and publications  |                                    |                           |                         |   |
| 23 Other expenses   | 36,687.                            | 14,898.                   |                         | 19,373.   |
| 24 Total operating and administrative expenses. Add lines 13 through 23   | 356,822.                           | 63,767.                   |                         | 239,139.  |
| 25 Contributions, gifts, grants paid  | 681,050.                           |                           |                         | 681,050.  |
| 26 Total expenses and disbursements. Add lines 24 and 25  | 1,037,872.                         | 63,767.                   |                         | 920,189.  |
| 27 Subtract line 26 from line 12:   |                                    |                           |                         |   |
| a Excess of revenue over expenses and disbursements   | -561,706.                          |                           |                         |   |
| b Net investment income (if negative, enter -0-)  |                                    | 411,899.                  |                         |   |
| c Adjusted net income (if negative, enter -0-)  |                                    |                           | N/A                     |   |

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| Part II Balance Sheets  |  | Attached schedules and amounts in the description column should be for end-of-year amounts only |   |            |
|---|--|---|---|------------|
|   |  | Beginning of year<br>(a) Book Value   | End of year<br>(b) Book Value (c) Fair Market Value |            |
| Assets  | 1 Cash - non-interest-bearing  | 573,907.  | 298,772.  | 298,772.   |
|   | 2 Savings and temporary cash investments   |   |   |            |
|   | 3 Accounts receivable ▶  |   |   |            |
|   | Less: allowance for doubtful accounts ▶  |   |   |            |
|   | 4 Pledges receivable ▶   |   |   |            |
|   | Less: allowance for doubtful accounts ▶  |   |   |            |
|   | 5 Grants receivable  |   |   |            |
|   | 6 Receivables due from officers, directors, trustees, and other disqualified persons   |   |   |            |
|   | 7 Other notes and loans receivable ▶   |   |   |            |
|   | Less: allowance for doubtful accounts ▶  | 43.   | 0.  | 0.         |
|   | 8 Inventories for sale or use  |   |   |            |
|   | 9 Prepaid expenses and deferred charges  |   |   |            |
|   | 10a Investments - U.S. and state government obligations  |   |   |            |
|   | b Investments - corporate stock STMT 7   | 2,744,083.  | 1,289,613.  | 1,289,613. |
|   | c Investments - corporate bonds  |   |   |            |
| 11 Investments - land, buildings, and equipment, basis ▶            |  |   |   |            |
| Less accumulated depreciation ▶                                     |  |   |   |            |
| 12 Investments - mortgage loans                                     |  |   |   |            |
| 13 Investments - other STMT 8                                       | 11,036,257.  | 13,227,937.   | 13,227,937.   |            |
| 14 Land, buildings, and equipment, basis ▶ 12,972.                  |  |   |   |            |
| Less accumulated depreciation STMT 9 ▶ 12,972.                      |  |   |   |            |
| 15 Other assets (describe ▶)  |  |   |   |            |
| <b>16 Total assets (to be completed by all filers)</b>              | <b>14,354,290.</b>   | <b>14,816,322.</b>  | <b>14,816,322.</b>                                  |            |
| Liabilities   | 17 Accounts payable and accrued expenses   |   |   |            |
|   | 18 Grants payable  |   |   |            |
|   | 19 Deferred revenue  |   |   |            |
|   | 20 Loans from officers, directors, trustees, and other disqualified persons  |   |   |            |
|   | 21 Mortgages and other notes payable   |   |   |            |
|   | 22 Other liabilities (describe ▶)  |   |   |            |
|   | <b>23 Total liabilities (add lines 17 through 22)</b>  | <b>0.</b>   | <b>0.</b>   |            |
| Net Assets or Fund Balances   | <b>Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/></b><br><b>and complete lines 24 through 26 and lines 30 and 31.</b> |   |   |            |
|   | 24 Unrestricted  |   |   |            |
|   | 25 Temporarily restricted  |   |   |            |
|   | 26 Permanently restricted  |   |   |            |
|   | <b>Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/></b><br><b>and complete lines 27 through 31.</b>   |   |   |            |
|   | 27 Capital stock, trust principal, or current funds  | 0.  | 0.  |            |
|   | 28 Paid-in or capital surplus, or land, bldg., and equipment fund  | 0.  | 0.  |            |
| 29 Retained earnings, accumulated income, endowment, or other funds | 14,354,290.  | 14,816,322.   |   |            |
| <b>30 Total net assets or fund balances</b>                         | <b>14,354,290.</b>   | <b>14,816,322.</b>  |   |            |
| <b>31 Total liabilities and net assets/fund balances</b>            | <b>14,354,290.</b>   | <b>14,816,322.</b>  |   |            |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |   |             |
|---|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 14,354,290. |
| 2 Enter amount from Part I, line 27a  | 2 | -561,706.   |
| 3 Other increases not included in line 2 (itemize) ▶ <b>CHANGE IN UNREALIZED GAIN/LOSS</b>  | 3 | 1,023,738.  |
| 4 Add lines 1, 2, and 3   | 4 | 14,816,322. |
| 5 Decreases not included in line 2 (itemize) ▶  | 5 | 0.          |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30   | 6 | 14,816,322. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a   |  |                                      |                                  |
| b  | <b>SEE ATTACHED STATEMENT</b>                    |                                      |                                  |
| c  |  |                                      |                                  |
| d  |  |                                      |                                  |
| e  |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a                     |  |   |  |
| b                     |  |   |  |
| c                     |  |   |  |
| d                     |  |   |  |
| e                     | 5,033,929.                                 | 4,880,490.                                      | 153,439.                                     |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   |   |
| b                         |                                      |   |   |
| c                         |                                      |   |   |
| d                         |                                      |   |   |
| e                         |                                      |   | 153,439.  |

|   |   |   |          |
|---|---|---|----------|
| 2 | Capital gain net income or (net capital loss)<br>{ If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }  | 2 | 153,439. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | 3 | N/A      |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|---|---------------------------------------|---|--|
| 2009  | 712,415.                              | 9,143,318.                                | .077916  |
| 2008  | 1,063,918.                            | 11,283,970.                               | .094286  |
| 2007  | 896,754.                              | 13,425,225.                               | .066796  |
| 2006  | 633,328.                              | 12,499,469.                               | .050668  |
| 2005  | 550,623.                              | 11,804,515.                               | .046645  |

|   |  |   |             |
|---|--|---|-------------|
| 2 | Total of line 1, column (d)  | 2 | .336311     |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                       | 3 | .067262     |
| 4 | Enter the net value of noncharitable-use assets for 2010 from Part X, line 5   | 4 | 13,973,443. |
| 5 | Multiply line 4 by line 3  | 5 | 939,882.    |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b)   | 6 | 4,119.      |
| 7 | Add lines 5 and 6  | 7 | 944,001.    |
| 8 | Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. | 8 | 920,189.    |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|  |    |         |        |
|--|----|---------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |         |        |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b  |    | 1       | 8,238. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).   |    |         |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  |    | 2       | 0.     |
| 3 Add lines 1 and 2  |    | 3       | 8,238. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  |    | 4       | 0.     |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-  |    | 5       | 8,238. |
| 6 Credits/Payments:  |    |         |        |
| a 2010 estimated tax payments and 2009 overpayment credited to 2010  | 6a | 6,199.  |        |
| b Exempt foreign organizations - tax withheld at source  | 6b |         |        |
| c Tax paid with application for extension of time to file (Form 8868)  | 6c | 5,000.  |        |
| d Backup withholding erroneously withheld  | 6d |         |        |
| 7 Total credits and payments. Add lines 6a through 6d  | 7  | 11,199. |        |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached   | 8  | 29.     |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed  | 9  |         |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid   | 10 | 2,932.  |        |
| 11 Enter the amount of line 10 to be: Credited to 2011 estimated tax <input type="checkbox"/> 2,932. Refunded <input type="checkbox"/> 0.  | 11 | 0.      |        |

**Part VII-A Statements Regarding Activities**

|   | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?   |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year?  |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.  |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.   |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities  |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?  | N/A |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.   |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?      | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year?<br>If "Yes," complete Part II, col. (c), and Part XV.   | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>TX</u>   |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation   | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2010 or the taxable year beginning in 2010 (see instructions for Part XIV)? If "Yes," complete Part XIV  |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses   |     | X  |

**Part VII-A Statements Regarding Activities** (continued)

|    |  |    |   |                |
|----|--|----|---|----------------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)  |    |   | X              |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?  |    |   | X              |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <u>WWW.SOOCHFUNDATION.ORG</u>   |    | X |                |
| 14 | The books are in care of ► <u>MARY ELLEN PIETRUSZYNSKI</u> Telephone no. ► <u>(512) 329-0464</u><br>Located at ► <u>600 WEST 7TH STREET, AUSTIN, TX</u> ZIP+4 ► <u>78701</u>   |    |   |                |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year  | 15 |   | N/A            |
| 16 | At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► | 16 |   | Yes<br>No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|     |   | Yes | No  |
|-----|---|-----|-----|
| 1a  | During the year did the foundation (either directly or indirectly):   |     |     |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |     |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |     |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  |     |     |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  |     |     |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |     |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |     |
| b   | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here  | 1b  | X   |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2010?   | 1c  | X   |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  |     |     |
| a   | At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ►   |     |     |
| b   | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)   | 2b  | N/A |
| c   | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>►   |     |     |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |     |
| b   | If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010.) | 3b  | N/A |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   | 4a  | X   |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010?   | 4b  | X   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?   
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
**SEE STATEMENT 11**  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No  
 b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **N/A**

|    |  |   |
|----|--|---|
|    |  |   |
| 5b |  | X |
| 6b |  | X |
| 7b |  |   |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 10     |   | 55,000.                                   | 0.  | 1,200.                                |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| JASDEEP K. SANDHU<br>600 WEST 7TH STREET, AUSTIN, TX 78701    | 40.00   | 65,000.          | 13,236.   | 0.                                    |
| CAROLINE A. NEWMAN<br>600 WEST 7TH STREET, AUSTIN, TX 78701   | 40.00   | 56,400.          | 6,860.  | 0.                                    |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

Total number of other employees paid over \$50,000 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE  |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|                    | Expenses |
|--------------------|----------|
| 1 SEE STATEMENT 13 |          |
| 2                  | 88,378.  |
| 3                  |          |
| 4                  |          |
|                    |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|  | Amount |
|--|--------|
| 1 N/A  |        |
| 2  |        |
| All other program-related investments. See instructions. |        |
| 3  |        |
|  |        |

Total. Add lines 1 through 3 ▶ 0.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

|   |    |             |
|---|----|-------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |             |
| a Average monthly fair market value of securities   | 1a | 13,856,227. |
| b Average of monthly cash balances  | 1b | 330,010.    |
| c Fair market value of all other assets   | 1c |             |
| d Total (add lines 1a, b, and c)  | 1d | 14,186,237. |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.          |
| 2 Acquisition indebtedness applicable to line 1 assets  | 2  | 0.          |
| 3 Subtract line 2 from line 1d  | 3  | 14,186,237. |
| 4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 212,794.    |
| 5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5  | 13,973,443. |
| 6 Minimum investment return. Enter 5% of line 5   | 6  | 698,672.    |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

|  |    |          |          |
|--|----|----------|----------|
| 1 Minimum investment return from Part X, line 6  |    | 1        | 698,672. |
| 2a Tax on investment income for 2010 from Part VI, line 5  | 2a | 8,238.   |          |
| b Income tax for 2010. (This does not include the tax from Part VI.)                                 | 2b |          |          |
| c Add lines 2a and 2b  | 2c | 8,238.   |          |
| 3 Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 690,434. |          |
| 4 Recoveries of amounts treated as qualifying distributions  | 4  | 0.       |          |
| 5 Add lines 3 and 4  | 5  | 690,434. |          |
| 6 Deduction from distributable amount (see instructions)   | 6  | 0.       |          |
| 7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 690,434. |          |

**Part XII Qualifying Distributions** (see instructions)

|   |    |          |
|---|----|----------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:  |    |          |
| a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26   | 1a | 920,189. |
| b Program-related investments - total from Part IX-B  | 1b | 0.       |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |          |
| 3 Amounts set aside for specific charitable projects that satisfy the:  |    |          |
| a Suitability test (prior IRS approval required)  | 3a |          |
| b Cash distribution test (attach the required schedule)   | 3b |          |
| 4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 920,189. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.       |
| 6 Adjusted qualifying distributions. Subtract line 5 from line 4  | 6  | 920,189. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|   | (a)<br>Corpus | (b)<br>Years prior to 2009 | (c)<br>2009 | (d)<br>2010 |
|---|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2010 from Part XI, line 7   |               |                            |             | 690,434.    |
| <b>2</b> Undistributed income, if any, as of the end of 2010  |               |                            |             |             |
| <b>a</b> Enter amount for 2009 only   |               |                            | 0.          |             |
| <b>b</b> Total for prior years:   |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2010:   |               |                            |             |             |
| <b>a</b> From 2005  |               |                            |             |             |
| <b>b</b> From 2006  |               |                            |             |             |
| <b>c</b> From 2007  |               |                            |             |             |
| <b>d</b> From 2008  |               |                            |             | 403,209.    |
| <b>e</b> From 2009  |               |                            |             | 352,989.    |
| <b>f</b> Total of lines 3a through e  | 756,198.      |                            |             |             |
| <b>4</b> Qualifying distributions for 2010 from Part XII, line 4: ▶ \$ 920,189.   |               |                            |             |             |
| <b>a</b> Applied to 2009, but not more than line 2a   |               |                            | 0.          |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)  |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)  | 0.            |                            |             |             |
| <b>d</b> Applied to 2010 distributable amount   |               |                            |             | 690,434.    |
| <b>e</b> Remaining amount distributed out of corpus   | 229,755.      |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a).)  | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5  | 985,953.      |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b   |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions   |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2009. Subtract line 4a from line 2a. Taxable amount - see instr.  |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2010. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2011  |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)   | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2005 not applied on line 5 or line 7   | 0.            |                            |             |             |
| <b>9</b> Excess distributions carryover to 2011 Subtract lines 7 and 8 from line 6a   | 985,953.      |                            |             |             |
| <b>10</b> Analysis of line 9:   |               |                            |             |             |
| <b>a</b> Excess from 2006   |               |                            |             |             |
| <b>b</b> Excess from 2007   |               |                            |             |             |
| <b>c</b> Excess from 2008   |               |                            |             | 403,209.    |
| <b>d</b> Excess from 2009   |               |                            |             | 352,989.    |
| <b>e</b> Excess from 2010   |               |                            |             | 229,755.    |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1** a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

|  | Tax year |          | Prior 3 years |          | (e) Total |
|--|----------|----------|---------------|----------|-----------|
|  | (a) 2010 | (b) 2009 | (c) 2008      | (d) 2007 |           |
| <b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed                     |          |          |               |          |           |
| <b>b</b> 85% of line 2a  |          |          |               |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed   |          |          |               |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities   |          |          |               |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c                                   |          |          |               |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon:  |          |          |               |          |           |
| <b>a</b> "Assets" alternative test - enter:  |          |          |               |          |           |
| <b>(1)</b> Value of all assets   |          |          |               |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)   |          |          |               |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed                              |          |          |               |          |           |
| <b>c</b> "Support" alternative test - enter:   |          |          |               |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) |          |          |               |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)                                      |          |          |               |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization   |          |          |               |          |           |
| <b>(4)</b> Gross investment income   |          |          |               |          |           |

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:
- 
- b** The form in which applications should be submitted and information and materials they should include:
- 
- c** Any submission deadlines:
- 
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
-

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|--------|
| <p><i>a Paid during the year</i></p> <p style="text-align: center;"><b>SEE STATEMENT 12</b></p> |  |                                      |                                     |        |
| <p><b>Total</b></p>   |  |                                      | <p>▶ <b>3a</b> 681,050.</p>         |        |
| <p><i>b Approved for future payment</i></p> <p style="text-align: center;"><b>NONE</b></p>      |  |                                      |                                     |        |
| <p><b>Total</b></p>   |  |                                      | <p>▶ <b>3b</b> 0.</p>               |        |





**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 1a ASPEN DIVERSIFIED FUND - S/T   | P  | VARIOUS                              | VARIOUS                          |
| b ASPEN DIVERSIFIED FUND - L/T  | P  | VARIOUS                              | VARIOUS                          |
| c FUNDING - S/T- SEE STATEMENT A  | P  | VARIOUS                              | VARIOUS                          |
| d FUNDING - L/T- SEE STATEMENT A  | P  | VARIOUS                              | VARIOUS                          |
| e GARDNER LEWIS - S/T - SEE STATEMENT B   | P  | VARIOUS                              | VARIOUS                          |
| f GARDNER LEWIS - L/T - SEE STATEMENT B   | P  | VARIOUS                              | VARIOUS                          |
| g SAGE - S/T - SEE STATEMENT C  | P  | VARIOUS                              | VARIOUS                          |
| h SAGE - L/T - SEE STATEMENT C  | P  | VARIOUS                              | VARIOUS                          |
| i EATON VANCE - S/T - SEE STATEMENT D   | P  | VARIOUS                              | VARIOUS                          |
| j EATON VANCE - L/T - SEE STATEMENT D   | P  | VARIOUS                              | VARIOUS                          |
| k CAPITAL GAIN DISTRIBUTIONS - FUNDING  | P  | VARIOUS                              | VARIOUS                          |
| l CAPITAL GAIN DISTRIBUTIONS - EATON VANCE  | P  | VARIOUS                              | VARIOUS                          |
| m CAPITAL GAIN DISTRIBUTIONS - SAGE   | P  | VARIOUS                              | VARIOUS                          |
| n SECTION 1256 - ASPEN DIVERSIFIED  | P  | VARIOUS                              | VARIOUS                          |
| o SECTION 1256 - POWERSHARES DBE FUND   | P  | VARIOUS                              | VARIOUS                          |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 213.                |  |   | 213.   |
| b -1,886.             |  |   | -1,886.                                      |
| c 889,968.            |  | 907,081.  | -17,113.                                     |
| d 1,251,572.          |  | 1,379,039.                                      | -127,467.                                    |
| e 513,863.            |  | 510,216.  | 3,647.                                       |
| f 558,222.            |  | 479,807.  | 78,415.                                      |
| g 483,691.            |  | 467,981.  | 15,710.                                      |
| h 317,796.            |  | 307,926.  | 9,870.                                       |
| i 342,269.            |  | 327,823.  | 14,446.                                      |
| j 598,527.            |  | 500,617.  | 97,910.                                      |
| k 28,172.             |  |   | 28,172.                                      |
| l 52.                 |  |   | 52.  |
| m 980.                |  |   | 980.   |
| n 49,200.             |  |   | 49,200.                                      |
| o 1,290.              |  |   | 1,290.                                       |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | 213.  |
| b                         |                                      |   | -1,886.   |
| c                         |                                      |   | -17,113.  |
| d                         |                                      |   | -127,467.   |
| e                         |                                      |   | 3,647.  |
| f                         |                                      |   | 78,415.   |
| g                         |                                      |   | 15,710.   |
| h                         |                                      |   | 9,870.  |
| i                         |                                      |   | 14,446.   |
| j                         |                                      |   | 97,910.   |
| k                         |                                      |   | 28,172.   |
| l                         |                                      |   | 52.   |
| m                         |                                      |   | 980.  |
| n                         |                                      |   | 49,200.   |
| o                         |                                      |   | 1,290.  |

|   |   |          |
|---|---|----------|
| 2 Capital gain net income or (net capital loss) ( If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 )   | 2 | 153,439. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 | 3 | N/A      |



FORM 990-PF

GRANTS AND CONTRIBUTIONS  
PAID DURING THE YEAR

STATEMENT 12

| RECIPIENT NAME AND ADDRESS   | RECIPIENT RELATIONSHIP<br>AND PURPOSE OF GRANT | RECIPIENT<br>STATUS | AMOUNT   |
|--|--|---------------------|----------|
| ASSISTANCE LEAGUE OF AUSTIN<br>4901 BURNET RD AUSTIN, TX 78756               | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE   | 501(C)(3)           | 35,000.  |
| AUSTIN COMMUNITY FOUNDATION<br>4315 GUADALUPE, SUITE 300<br>AUSTIN, TX 78751 | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE   | 501(C)(3)           | 40,000.  |
| BREAKTHROUGH AUSTIN<br>1050 EAST 11TH STREET, STE. 350<br>AUSTIN, TX 78702   | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE   | 501(C)(3)           | 20,000.  |
| CAPITAL IDEA<br>P.O. BOX 1784 AUSTIN, TX 78767                               | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE   | 501(C)(3)           | 150,000. |
| COMMUNITIES IN SCHOOLS<br>3000 SOUTH IH-35, STE. 200<br>AUSTIN, TX 78704     | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE   | 501(C)(3)           | 10,000.  |
| HEART HOUSE<br>7224 NORTHEAST DRIVE AUSTIN, TX<br>78723                      | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE   | 501(C)(3)           | 15,000.  |
| KIPP<br>135 MAIN STREET, SUITE 1700 SAN<br>FRANCISCO, CA 94105               | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE   | 501(C)(3)           | 125,000. |
| LIFEWORCS<br>1221 WEST BEN WHITE BLVD., STE<br>108-A AUSTIN, TX 78704        | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE   | 501(C)(3)           | 125,000. |

|   |  |           |          |
|---|--|-----------|----------|
| AUSTIN VOICES FOR EDUCATION AND YOUTH<br>5604 MANOR ROAD AUSTIN, TX<br>78723    | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE | 501(C)(3) | 1,550.   |
| MAINSPRINGS SCHOOLS<br>1100 WEST LIVE OAK STREET<br>AUSTIN, TX 78704            | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE | 501(C)(3) | 2,500.   |
| LITERACY COALITION OF CENTRAL TEXAS<br>300 WEST 6TH STREET AUSTIN, TX<br>78701  | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE | 501(C)(3) | 10,000.  |
| MIND RESEARCH INSTITUTE<br>3631 S.HARBOR BLVD. SUITE 200<br>SANTA ANA, CA 92704 | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE | 501(C)(3) | 15,000.  |
| WHOLE PLANET FOUNDATION<br>550 BOWIE STREET AUSTIN, TX<br>78703                 | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE | 501(C)(3) | 100,000. |
| E3 ALLIANCE<br>5930 MIDDLE FISKVILLE ROAD,<br>SUITE 507 AUSTIN, TX 78752        | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE | 501(C)(3) | 5,000.   |
| AUSTIN INTERFAITH<br>1301 S.IH35, SUITE 313 AUSTIN,<br>TX 78741                 | NONE<br>TO SUPPORT<br>ORGANIZATION'S PURPOSE | 501(C)(3) | 27,000.  |

TOTAL TO FORM 990-PF, PART XV, LINE 3A

681,050.

Supporting detail to Financial information in form 5471  
 For the Period January 1, 2010 - December 31, 2010

Name of Person Filing this Return  
 Name of Foreign Entity

The Souch Foundation (EIN: 20-0399480)  
 J.H. Whitney Pan Asia Fund (International) (EIN: N/A)

Support for Schedule C

| Income Statement  | 2010    |
|---|---------|
| Line 16 Other Deductions                                  |         |
| Expenses allocated from J.H. Whitney Pan Asia Master Fund | 46,032  |
| Management Fees   | 60,308  |
| Administration fees                                       | 20,000  |
| Professional fees   | 15,050  |
| Performance Fees  | 10,005  |
| Trustee Fees  | 6,000   |
| Miscellaneous expenses                                    | 3,660   |
| Unrealized losses   | 268,868 |
|   | 429,923 |

Support for Schedule F

|   | 2009        | 2010      |
|---|-------------|-----------|
| Assets  |             |           |
| Line 7 Other Investments                        |             |           |
| Investment in J.H. Whitney Pan Asia Master Fund | 3,693,935   | 5,606,551 |
| Line 12 Other Assets                            |             |           |
| Prepaid expenses                                |             | 3,658     |
| Liabilities                                     |             |           |
| Line 15 Other current liabilities               |             |           |
| Subscriptions received in advance               | 500,000     |           |
| Line 17 Other liabilities                       |             |           |
| Redemptions payable                             | 189,504     |           |
| Line 20 reconciliation of Net Assets            |             |           |
| Beginning Balance                               | 4,289,505   | 3,506,139 |
| Current Year Net Income/(Loss)                  | 619,151     | 127,594   |
| Subscriptions/(Redemptions)                     | (1,402,517) | 1,950,000 |
| Ending Balance                                  | 3,506,139   | 5,583,733 |

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FORM 990-PF                      DIVIDENDS AND INTEREST FROM SECURITIES                      STATEMENT    1

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| SOURCE                                  | GROSS AMOUNT    | CAPITAL GAINS<br>DIVIDENDS | COLUMN (A)<br>AMOUNT |
|---|-----------------|----------------------------|----------------------|
| ASPEN DIV FD-INTEREST                   | 983.            | 0.                         | 983.                 |
| POWERSHARES DBE FD-INTEREST             | 85.             | 0.                         | 85.                  |
| SCHWAB-EATON VANCE MGMT                 | 11,065.         | 0.                         | 11,065.              |
| SCHWAB-FUNDING A/C                      | 200,208.        | 0.                         | 200,208.             |
| SCHWAB-GARDNER LEWIS                    | 3,727.          | 0.                         | 3,727.               |
| SCHWAB-SAGE INTERMEDIATE-DIV/INT        | 102,726.        | 0.                         | 102,726.             |
| <b>TOTAL TO FM 990-PF, PART I, LN 4</b> | <b>318,794.</b> | <b>0.</b>                  | <b>318,794.</b>      |

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FORM 990-PF                                      OTHER INCOME                                      STATEMENT    2

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| DESCRIPTION                                      | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|--|-----------------------------|-----------------------------------|-------------------------------|
| ASPEN DIVERSIFIED FUND-ORDINARY<br>BUSINESS LOSS | 349.                        | 349.                              |                               |
| ASPEN DIVERSIFIED FUND-OTHER<br>INCOME/LOSS      | 3,039.                      | 3,039.                            |                               |
| UNITED HEALTH - LITIGATION<br>SETTLEMENT         | 45.                         | 45.                               |                               |
| <b>TOTAL TO FORM 990-PF, PART I, LINE 11</b>     | <b>3,433.</b>               | <b>3,433.</b>                     |                               |

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FORM 990-PF                                      ACCOUNTING FEES                                      STATEMENT    3

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| DESCRIPTION                         | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-------------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES                     | 4,884.                       | 2,442.                            |                               | 2,442.                        |
| <b>TO FORM 990-PF, PG 1, LN 16B</b> | <b>4,884.</b>                | <b>2,442.</b>                     |                               | <b>2,442.</b>                 |

| FORM 990-PF                  | OTHER PROFESSIONAL FEES      |                                   |                               | STATEMENT 4                   |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| INVESTMENT MGMT FEES         | 42,099.                      | 42,099.                           |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C | 42,099.                      | 42,099.                           |                               | 0.                            |

| FORM 990-PF                 | TAXES                        |                                   |                               | STATEMENT 5                   |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| FOREIGN PAYROLL TAX         | 4,328.                       | 4,328.                            |                               | 0.                            |
| FORM 990-PF TAXES           | 13,495.                      | 0.                                |                               | 13,495.                       |
|                             | 51,500.                      | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 69,323.                      | 4,328.                            |                               | 13,495.                       |

| FORM 990-PF                          | OTHER EXPENSES               |                                   |                               | STATEMENT 6                   |
|--------------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                          | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| PAYROLL SERVICE FEES                 | 1,673.                       | 0.                                |                               | 1,673.                        |
| TELEPHONE                            | 3,034.                       | 0.                                |                               | 3,034.                        |
| ASPEN DIV.FD-DEDUCTION               | 16,015.                      | 14,162.                           |                               | 0.                            |
| POWERSHARES DBE-PORTFOLIO DEDUCTIONS | 736.                         | 736.                              |                               | 0.                            |
| STRATEGIC MGMT SEMINAR               | 13,520.                      | 0.                                |                               | 13,520.                       |
| PROJECT EXPENSE                      | 380.                         | 0.                                |                               | 380.                          |
| PRINTING AND REPRODUCTION            | 198.                         | 0.                                |                               | 198.                          |
| LICENSES AND PERMITS                 | 5.                           | 0.                                |                               | 5.                            |
| MEALS AND ENTERTAINMENT              | 1,126.                       | 0.                                |                               | 563.                          |
| TO FORM 990-PF, PG 1, LN 23          | 36,687.                      | 14,898.                           |                               | 19,373.                       |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 7 |
|-------------|-----------------|-----------|---|

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET VALUE |
|---|------------|-------------------|
| CORPORATE STOCK                         | 0.         | 0.                |
| IRONWOOD INTERNATIONAL LTD              | 1,289,613. | 1,289,613.        |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 1,289,613. | 1,289,613.        |

|             |                   |           |   |
|-------------|-------------------|-----------|---|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 8 |
|-------------|-------------------|-----------|---|

| DESCRIPTION                            | VALUATION METHOD | BOOK VALUE  | FAIR MARKET VALUE |
|--|------------------|-------------|-------------------|
| MUTUAL FUNDS                           | FMV              | 10,008,683. | 10,008,683.       |
| CORPORATE AND US BONDS                 | FMV              | 2,166,700.  | 2,166,700.        |
| MERITAGE INSIGNIA OFFSHORE FUND        | FMV              | 37,394.     | 37,394.           |
| JH WHITNEY                             | FMV              | 505,972.    | 505,972.          |
| ASPEN DIV. FUND                        | FMV              | 509,188.    | 509,188.          |
| DB POWERSHARES                         | FMV              | 0.          | 0.                |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                  | 13,227,937. | 13,227,937.       |

|             |  |           |   |
|-------------|--|-----------|---|
| FORM 990-PF | DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT | STATEMENT | 9 |
|-------------|--|-----------|---|

| DESCRIPTION                        | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE |
|------------------------------------|---------------------|--------------------------|------------|
| ORGANIZATION COSTS                 | 12,972.             | 12,972.                  | 0.         |
| TOTAL TO FM 990-PF, PART II, LN 14 | 12,972.             | 12,972.                  | 0.         |

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

| NAME AND ADDRESS  | TITLE AND<br>AVRG HRS/WK      | COMPEN-<br>SATION | EMPLOYEE            |                    |
|---|-------------------------------|-------------------|---------------------|--------------------|
|   |                               |                   | BEN PLAN<br>CONTRIB | EXPENSE<br>ACCOUNT |
| NAVDEEP S. SOOCH<br>600 W. 7TH STREET<br>AUSTIN, TX 78701         | PRESIDENT & TREASURER<br>5.00 | 0.                | 0.                  | 0.                 |
| DAVID R. WELLAND<br>600 W. 7TH STREET<br>AUSTIN, TX 78701         | VICE PRESIDENT<br>1.00        | 0.                | 0.                  | 0.                 |
| ISABEL WELLAND<br>600 W. 7TH STREET<br>AUSTIN, TX 78701           | SECRETARY & TRUSTEE<br>1.00   | 0.                | 0.                  | 0.                 |
| MARY ELLEN PIETRUSZYNSKI<br>600 W. 7TH STREET<br>AUSTIN, TX 78701 | EXECUTIVE DIRECTOR<br>20.00   | 55,000.           | 0.                  | 1,200.             |
| JOHN MCGOVERN<br>600 W. 7TH STREET<br>AUSTIN, TX 78701            | TRUSTEE<br>1.00               | 0.                | 0.                  | 0.                 |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII                      |                               | 55,000.           | 0.                  | 1,200.             |

FORM 990-PF  
STATEMENT 13

SUMMARY OF DIRECT CHARITABLE  
ACTIVITIES, PART IX -A

1. Sooch Foundation provides technical assistance to its current grantees located in India in the areas of fund development, volunteer management, analysis/research, board development and strategic planning to those that are interested or have a need, through employment of a single Sooch Foundation employee who is dedicated to serving as a consultant to foundation grantees in India. The purpose of the consulting service is to build upon the strength of our grantor/grantee relationship and to help the nonprofits that we support in India to overcome organizational and strategic challenges. This technical assistance is provided at no charge and is another way to build the relationship between grantee and grantor.

\$69,974

2. Sooch Foundation presents a Strategic Management Seminar, no more frequently than once annually and no less frequently than every 18 months. This one and a half day seminar was held April 6<sup>th</sup> and 7<sup>th</sup>, 2010 at the AT&T Conference Center on the campus of the University of Texas at Austin. It was made available at no charge for all grantees of Sooch Foundation both in India and the US. Forty-one participants chose to take part. Two professional presenters were brought in to lead five presentations on topics of importance to non-profit leaders dealing with communication within the organization and communication to the outside world. Leaders were encouraged to network at mealtime and through the evening between the two days of the seminar.

\$13,520

3. Sooch Foundation assisted in sponsoring A Community Forum featuring Paul Tough, Author of Whatever It Takes, as well as a panel of six local non-profit and community leaders. Whatever It Takes is the story of Geoffrey Canada's quest to change Harlem through education. The forum was held on April 29<sup>th</sup> and attended by approximately 130 people from the Austin community. The forum was used to raise awareness in Austin about a similar plan for community change here, called Austin Achievement Zone. Donations at the luncheon were accepted to benefit Mainspring Schools, a local pre-school program serving disadvantaged students and their families.

\$3,063

4. Sooch Foundation employee, Caroline Newman, is the communications chair for the Central Texas Education Funders (CTEF). This is a collaborative of approximately 50 funders and approximately 80 people representing funders who support education in the local area. Caroline spends approximately three percent of her time communicating via email for the benefit of the group.

\$1,821

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\$88,378

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| Description                  | Date Acquired | Date Sold  | Quantity  | Net Proceeds | Cost       | Short Term Gains |
|------------------------------|---------------|------------|-----------|--------------|------------|------------------|
| Arto Int'l Equity Fund       | 12/09/2009    | 08/03/2010 | 6,349 432 | 175,171 95   | 190,000 00 | -14,828 05       |
| Arto Int'l Equity Fund       | 02/02/2010    | 08/03/2010 | 2,183 291 | 60,233 95    | 60,000 00  | 233 95           |
|                              |               |            | 8,532 723 | 235,405 90   | 250,000 00 | -14,594 10       |
| DFA Emerging Markets Value   | 12/09/2009    | 07/19/2010 | 3,282 173 | 100,164 12   | 100,000 00 | 164 12           |
| Harding Loevner Emerging Mar | 12/09/2009    | 05/04/2010 | 2,358 491 | 103,443 41   | 100,000 00 | 3,443 41         |
| Harding Loevner Emerging Mar | 02/02/2010    | 05/04/2010 | 960 384   | 42,122 44    | 40,000 00  | 2,122 44         |
|                              |               |            | 3,318 875 | 145,565 85   | 140,000 00 | 5,565 85         |
| iShares S&P MidCap 400 Index | 12/09/2009    | 08/03/2010 | 1,295 000 | 99,963 36    | 90,106 66  | 9,856 70         |
| iShares S&P SmallCap 600 Ind | 12/09/2009    | 08/03/2010 | 1,711 000 | 100,014.41   | 89,091 46  | 10,922 95        |
| PowerShares DB Energy        | 06/03/2009    | 05/21/2010 | 2,088 000 | 47,073 44    | 51,685 17  | -4,611 73        |
| PowerShares DB Energy        | 06/12/2009    | 05/21/2010 | 2,000 000 | 45,089 51    | 52,487 25  | -7,397 74        |
| PowerShares DB Energy        | 06/15/2009    | 05/21/2010 | 100 000   | 2,254 47     | 2,562 48   | -308 01          |
| PowerShares DB Energy        | 06/15/2009    | 05/21/2010 | 500 000   | 11,272 38    | 12,812 36  | -1,539 98        |
| PowerShares DB Energy        | 06/15/2009    | 05/21/2010 | 500 000   | 11,272 38    | 12,817 35  | -1,544 97        |
| PowerShares DB Energy        | 06/15/2009    | 05/21/2010 | 510 000   | 11,497 83    | 13,073.71  | -1,575 88        |
| PowerShares DB Energy        | 12/09/2009    | 05/21/2010 | 12 000    | 270 54       | 310.76     | -40 22           |
| PowerShares DB Energy        | 12/09/2009    | 05/21/2010 | 100 000   | 2,254 47     | 2,591 57   | -337 10          |
| PowerShares DB Energy        | 12/09/2009    | 05/21/2010 | 100 000   | 2,254 47     | 2,591 57   | -337 10          |
| PowerShares DB Energy        | 12/09/2009    | 05/21/2010 | 100 000   | 2,254 47     | 2,591 57   | -337 10          |
| PowerShares DB Energy        | 12/09/2009    | 05/21/2010 | 1,366 000 | 30,796 13    | 35,413 09  | -4,616 96        |
| PowerShares DB Energy        | 12/09/2009    | 05/21/2010 | 1,888 000 | 42,564 50    | 48,945 76  | -6,381 26        |
|                              |               |            | 9,264 000 | 208,854 59   | 237,882 64 | -29,028 05       |
| Short Term Gains (Sales)     |               |            |           | 889,968 23   | 907,080 76 | -17,112 53       |

**Long Term Capital Gains and Losses**

| Description                | Date Acquired | Date Sold  | Quantity  | Net Proceeds | Cost       | Long Term Gains |
|----------------------------|---------------|------------|-----------|--------------|------------|-----------------|
| Arto Int'l Equity Fund     | 05/07/2004    | 08/03/2010 | 4,869 726 | 134,348 93   | 130,762 20 | 3,586 73        |
| Arto Int'l Equity Fund     | 12/27/2006    | 08/03/2010 | 116 696   | 3,219 48     | 5,013 25   | -1,793 77       |
| Arto Int'l Equity Fund     | 12/27/2006    | 08/03/2010 | 405 899   | 11,198 18    | 17,437 44  | -6,239 26       |
| Arto Int'l Equity Fund     | 12/27/2006    | 08/03/2010 | 861 548   | 23,768 91    | 37,012 11  | -13,243 20      |
| Arto Int'l Equity Fund     | 03/05/2007    | 08/03/2010 | 3,099 809 | 85,519 40    | 130,000 00 | -44,480 60      |
|                            |               |            | 9,353 678 | 258,054 90   | 320,225 00 | -62,170 10      |
| DFA Emerging Markets Value | 05/12/2004    | 07/19/2010 | 7,163 514 | 218,613 41   | 96,500 19  | 122,113 22      |

Realized Gains and Losses By Category  
 Fiscal Year Ending 12/31/2010

Long Term Capital Gains and Losses

| Description                       | Date Acquired | Date Sold  | Quantity   | Net Proceeds | Cost         | Long Term Gains    |
|-----------------------------------|---------------|------------|------------|--------------|--------------|--------------------|
| DFA Emerging Markets Value        | 09/09/2008    | 07/19/2010 | 68 768     | 2,098 64     | 2,113 24     | -14 60             |
|                                   |               |            | 7,232 282  | 220,712.05   | 98,613 43    | 122,098 62         |
| DFA U S. Micro Cap                | 12/12/2007    | 02/02/2010 | 3,360 794  | 34,963 28    | 50,000 00    | -15,036 72         |
| DFA U S. Micro Cap                | 12/19/2007    | 02/02/2010 | 7 081      | 73 66        | 94 10        | -20 44             |
| DFA U S. Micro Cap                | 12/19/2007    | 02/02/2010 | 37 174     | 386 73       | 494 04       | -107 31            |
| DFA U S. Micro Cap                | 12/19/2007    | 02/02/2010 | 285 503    | 2,970 17     | 3,794 34     | -824 17            |
| DFA U S. Micro Cap                | 03/10/2008    | 02/02/2010 | 5 226      | 54 36        | 59 05        | -4 69              |
| DFA U S. Micro Cap                | 06/10/2008    | 02/02/2010 | 9 749      | 101 42       | 121 96       | -20 54             |
| DFA U S. Micro Cap                | 09/09/2008    | 02/02/2010 | 11 368     | 118 26       | 137 10       | -18 84             |
|                                   |               |            | 3,716 895  | 38,667 88    | 54,700 59    | -16,032 71         |
| E.II International Property Instl | 12/12/2007    | 07/19/2010 | 4,423 673  | 66,258 91    | 100,000 00   | -33,741 09         |
| E II International Property Instl | 12/27/2007    | 07/19/2010 | 21 283     | 318 78       | 445 46       | -126 68            |
| E II International Property Instl | 12/27/2007    | 07/19/2010 | 44 849     | 671 76       | 938 70       | -266 94            |
| E II International Property Instl | 12/27/2007    | 07/19/2010 | 51 698     | 774 35       | 1,082 03     | -307 68            |
| E II International Property Instl | 05/13/2008    | 07/19/2010 | 7,424 505  | 111,206 16   | 150,000 00   | -38,793 84         |
| E II International Property Instl | 07/31/2008    | 07/19/2010 | 2,386 567  | 35,746 61    | 40,000 00    | -4,253 39          |
|                                   |               |            | 14,352 575 | 214,976 57   | 292,466 19   | -77,489 62         |
| First Eagle Overseas              | 12/13/2006    | 07/19/2010 | 107 509    | 2,175 44     | 2,697 41     | -521 97            |
| First Eagle Overseas              | 12/13/2006    | 07/19/2010 | 338 687    | 6,853 32     | 8,497 66     | -1,644 34          |
| First Eagle Overseas              | 12/13/2006    | 07/19/2010 | 1,096 129  | 22,180 10    | 27,501 87    | -5,321 77          |
| First Eagle Overseas              | 12/13/2007    | 07/19/2010 | 120 670    | 2,441.75     | 2,836 96     | -395 21            |
| First Eagle Overseas              | 12/13/2007    | 07/19/2010 | 1,323 163  | 26,774 12    | 31,107 56    | -4,333 44          |
| First Eagle Overseas              | 12/13/2007    | 07/19/2010 | 1,954 553  | 39,550 27    | 45,951 54    | -6,401 27          |
|                                   |               |            | 4,940 711  | 99,975.00    | 118,593 00   | -18,618 00         |
| Harbor International              | 07/31/2008    | 07/19/2010 | 3,907 013  | 199,975 00   | 249,441 00   | -49,466 00         |
| Harding Loevner Emerging Mar      | 07/31/2008    | 05/04/2010 | 4,997 960  | 219,210 53   | 245,000 00   | -25,789 47         |
| Long Term Gains (Sales)           |               |            |            | 1,251,571 93 | 1,379,039 21 | -127,467.28        |
| Total Gains (Sales)               |               |            |            | 2,141,540 16 | 2,286,119 97 | -144,579 81        |
| Total Short Term Gains            |               |            |            |              |              | -17,112 53         |
| Total Long Term Gains             |               |            |            |              |              | -127,467 28        |
| <b>Total Gains</b>                |               |            |            |              |              | <b>-144,579.81</b> |

**Realized Gains and Losses By Category**  
Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>            | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Short Term Gains</u> |
|-------------------------------|----------------------|------------------|-----------------|---------------------|-------------|-------------------------|
| Activision Blizzard, Inc      | 10/29/2009           | 08/30/2010       | 130 000         | 1,398 77            | 1,426 89    | -28 12                  |
| Activision Blizzard, Inc      | 07/12/2010           | 08/30/2010       | 135 000         | 1,452 57            | 1,508 62    | -56 05                  |
|                               |                      |                  | 265 000         | 2,851 34            | 2,935 51    | -84 17                  |
| Adobe Systems, Inc            | 04/22/2010           | 08/03/2010       | 65 000          | 1,885 78            | 2,328 09    | -442 31                 |
| Adobe Systems, Inc            | 04/28/2010           | 08/03/2010       | 25 000          | 725 30              | 890 27      | -164 97                 |
|                               |                      |                  | 90 000          | 2,611 08            | 3,218 36    | -607 28                 |
| Adobe Systems, Inc            | 01/22/2010           | 09/15/2010       | 35 000          | 1,140 32            | 1,244 06    | -103 74                 |
| Adobe Systems, Inc            | 01/22/2010           | 09/15/2010       | 55 000          | 1,791 94            | 1,955 35    | -163 41                 |
| Adobe Systems, Inc            | 01/26/2010           | 09/15/2010       | 60 000          | 1,954 84            | 2,044 05    | -89 21                  |
| Adobe Systems, Inc            | 02/01/2010           | 09/15/2010       | 210 000         | 6,841 94            | 6,808 71    | 33 23                   |
| Adobe Systems, Inc            | 04/28/2010           | 09/15/2010       | 30 000          | 977 42              | 1,068 32    | -90 90                  |
|                               |                      |                  | 390 000         | 12,706 46           | 13,120 49   | -414 03                 |
|                               |                      |                  | 480 000         | 15,317 54           | 16,338 85   | -1,021 31               |
| AES Corporation               | 06/25/2009           | 01/29/2010       | 800 000         | 10,071 00           | 8,848 00    | 1,223 00                |
| Air Products & Chemicals, Inc | 07/16/2010           | 08/03/2010       | 20 000          | 1,500 64            | 1,386 00    | 114 64                  |
| Air Products & Chemicals, Inc | 07/16/2010           | 09/15/2010       | 90 000          | 7,215 00            | 6,237 01    | 977 99                  |
|                               |                      |                  | 110 000         | 8,715 64            | 7,623 01    | 1,092 63                |
| Akamai Technologies           | 08/31/2010           | 09/15/2010       | 95 000          | 4,866 77            | 4,384 16    | 482 61                  |
| Akamai Technologies           | 09/01/2010           | 09/15/2010       | 30 000          | 1,536 87            | 1,432 46    | 104 41                  |
|                               |                      |                  | 125 000         | 6,403 64            | 5,816 62    | 587 02                  |
| Amazon Com, Inc               | 10/08/2009           | 08/03/2010       | 25 000          | 3,043 04            | 2,398 32    | 644 72                  |
| Amazon Com, Inc               | 10/08/2009           | 09/15/2010       | 15 000          | 2,167 97            | 1,438 99    | 728 98                  |
| Amazon Com, Inc               | 10/16/2009           | 09/15/2010       | 30 000          | 4,335 95            | 2,863 09    | 1,472 86                |
| Amazon Com, Inc               | 10/21/2009           | 09/15/2010       | 60 000          | 8,671 89            | 5,731 03    | 2,940 86                |
|                               |                      |                  | 105 000         | 15,175 81           | 10,033 11   | 5,142 70                |
|                               |                      |                  | 130 000         | 18,218 85           | 12,431 43   | 5,787 42                |
| America Movil SA              | 07/16/2009           | 05/24/2010       | 15 000          | 685 59              | 603 43      | 82 16                   |
| Anadarko Petroleum Corp       | 05/03/2010           | 08/03/2010       | 30 000          | 1,588 30            | 1,970 28    | -381 98                 |

Realized Gains and Losses By Category  
 Fiscal Year Ending 12/31/2010

Short Term Capital Gains and Losses

| Description                   | Date Acquired | Date Sold  | Quantity  | Net Proceeds | Cost      | Short Term Gains |
|-------------------------------|---------------|------------|-----------|--------------|-----------|------------------|
| Anadarko Petroleum Corp       | 07/16/2010    | 08/03/2010 | 35 000    | 1,853.02     | 1,664 70  | 188 32           |
|                               |               |            | 65 000    | 3,441.32     | 3,634 98  | -193 66          |
| Anadarko Petroleum Corp       | 07/16/2010    | 09/15/2010 | 5 000     | 269 51       | 237 81    | 31 70            |
|                               |               |            | 70 000    | 3,710 83     | 3,872 79  | -161 96          |
| Bank of America Corp          | 12/22/2009    | 04/28/2010 | 110.000   | 1,943 51     | 1,676 12  | 267 39           |
| Bank of America Corp          | 12/22/2009    | 05/18/2010 | 60 000    | 966 69       | 914 25    | 52 44            |
| Bank of America Corp          | 12/22/2009    | 08/03/2010 | 355 000   | 5,088 76     | 5,409 29  | -320 53          |
| Bank of America Corp          | 12/22/2009    | 09/15/2010 | 1,500 000 | 20,564.65    | 22,856 17 | -2,291 52        |
|                               |               |            | 2,025 000 | 28,563 61    | 30,855 83 | -2,292 22        |
| Bank of America Corp Ser S Pf | 12/22/2009    | 02/12/2010 | 100 000   | 1,429 13     | 1,528 65  | -99 52           |
| Best Buy Company, Inc         | 09/09/2009    | 01/14/2010 | 30 000    | 1,177 56     | 1,232 34  | -54 78           |
| Best Buy Company, Inc         | 11/05/2009    | 01/14/2010 | 30 000    | 1,177 57     | 1,204 72  | -27 15           |
|                               |               |            | 60 000    | 2,355 13     | 2,437 06  | -81 93           |
| Best Buy Company, Inc         | 09/04/2009    | 02/12/2010 | 5 000     | 177 21       | 195 79    | -18 58           |
| Best Buy Company, Inc         | 11/05/2009    | 02/12/2010 | 45 000    | 1,594 86     | 1,807 08  | -212 22          |
|                               |               |            | 50 000    | 1,772 07     | 2,002 87  | -230 80          |
| Best Buy Company, Inc         | 09/04/2009    | 06/16/2010 | 10 000    | 374 56       | 391 58    | -17 02           |
|                               |               |            | 120 000   | 4,501.76     | 4,831 51  | -329 75          |
| BMC Software, Inc             | 01/29/2010    | 08/02/2010 | 105 000   | 3,778 69     | 4,076 03  | -297 34          |
| BMC Software, Inc             | 01/29/2010    | 08/03/2010 | 100 000   | 3,551 10     | 3,881 94  | -330 84          |
| BMC Software, Inc             | 01/29/2010    | 08/05/2010 | 55 000    | 1,957 49     | 2,135 06  | -177 57          |
| BMC Software, Inc             | 01/29/2010    | 09/01/2010 | 35 000    | 1,303 02     | 1,358 68  | -55 66           |
| BMC Software, Inc             | 01/29/2010    | 09/15/2010 | 10 000    | 394 40       | 388 19    | 6 21             |
| BMC Software, Inc             | 02/04/2010    | 09/15/2010 | 60 000    | 2,366 42     | 2,225 23  | 141 19           |
| BMC Software, Inc             | 07/02/2010    | 09/15/2010 | 50 000    | 1,972 02     | 1,758 95  | 213 07           |
|                               |               |            | 120 000   | 4,732 84     | 4,372 37  | 360 47           |
|                               |               |            | 415 000   | 15,323 14    | 15,824 08 | -500 94          |
| Boeing Co                     | 08/31/2009    | 08/03/2010 | 45 000    | 3,119.44     | 2,236 05  | 883 39           |
| Brocade Communs Sys, Inc      | 03/11/2010    | 05/27/2010 | 330 000   | 1,802 71     | 1,866 54  | -63 83           |
| Brocade Communs Sys, Inc      | 03/11/2010    | 06/16/2010 | 145 000   | 772 58       | 820 15    | -47 57           |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>           | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Short Term Gains</u> |
|------------------------------|----------------------|------------------|-----------------|---------------------|-------------|-------------------------|
| Brocade Communs Sys, Inc     | 03/11/2010           | 06/28/2010       | 925 000         | 4,921 21            | 5,231 98    | -310 77                 |
|                              |                      |                  | 1,400 000       | 7,496 50            | 7,918 67    | -422 17                 |
| C B Richard Ellis Group      | 08/02/2010           | 08/03/2010       | 85 000          | 1,431 01            | 1,464 23    | -33 22                  |
| C B Richard Ellis Group      | 08/02/2010           | 09/15/2010       | 370 000         | 6,756 83            | 6,373 72    | 383 11                  |
|                              |                      |                  | 455 000         | 8,187 84            | 7,837 95    | 349 89                  |
| ChevronTexaco Corp           | 01/08/2010           | 05/24/2010       | 25 000          | 1,837 31            | 1,984 90    | -147 59                 |
| Citigroup, Inc               | 04/21/2010           | 08/03/2010       | 400 000         | 1,651 86            | 1,952 95    | -301 09                 |
| Citigroup, Inc               | 04/22/2010           | 08/03/2010       | 470 000         | 1,940 92            | 2,293 06    | -352 14                 |
|                              |                      |                  | 870 000         | 3,592 78            | 4,246 01    | -653 23                 |
| Citigroup, Inc               | 04/19/2010           | 09/15/2010       | 1,335 000       | 5,233 11            | 6,436 44    | -1,203 33               |
| Citigroup, Inc               | 04/22/2010           | 09/15/2010       | 5 000           | 19 60               | 24 39       | -4 79                   |
| Citigroup, Inc               | 04/28/2010           | 09/15/2010       | 660 000         | 2,587 16            | 2,939 35    | -352 19                 |
| Citigroup, Inc               | 05/06/2010           | 09/15/2010       | 422 000         | 1,654 21            | 1,705 39    | -51 18                  |
| Citigroup, Inc               | 05/06/2010           | 09/15/2010       | 555 000         | 2,175 56            | 2,339 95    | -164 39                 |
| Citigroup, Inc               | 05/18/2010           | 09/15/2010       | 305 000         | 1,195 58            | 1,186 25    | 9 33                    |
| Citigroup, Inc               | 05/27/2010           | 09/15/2010       | 190 000         | 744 79              | 772 75      | -27 96                  |
| Citigroup, Inc               | 06/08/2010           | 09/15/2010       | 210 000         | 823 19              | 783 85      | 39 34                   |
|                              |                      |                  | 3,682 000       | 14,433 20           | 16,188 37   | -1,755 17               |
|                              |                      |                  | 4,552 000       | 18,025 98           | 20,434 38   | -2,408 40               |
| Community Health Systems Inc | 01/15/2010           | 03/11/2010       | 50 000          | 1,791 08            | 1,992 32    | -201 24                 |
| Community Health Systems Inc | 01/15/2010           | 03/26/2010       | 95 000          | 3,585 34            | 3,785.40    | -200 06                 |
| Community Health Systems Inc | 01/15/2010           | 03/31/2010       | 65 000          | 2,383 78            | 2,590 01    | -206 23                 |
|                              |                      |                  | 210 000         | 7,760 20            | 8,367.73    | -607 53                 |
| Costco Wholesale Corp        | 11/23/2009           | 03/08/2010       | 20 000          | 1,206 87            | 1,205.75    | 1 12                    |
| Costco Wholesale Corp        | 11/30/2009           | 03/08/2010       | 15 000          | 905 15              | 899.25      | 5 90                    |
|                              |                      |                  | 35 000          | 2,112 02            | 2,105 00    | 7 02                    |
| Costco Wholesale Corp        | 11/30/2009           | 04/28/2010       | 15 000          | 872 76              | 899.25      | -26 49                  |
| Costco Wholesale Corp        | 11/30/2009           | 05/07/2010       | 35 000          | 2,029 83            | 2,098 25    | -68 42                  |
| Costco Wholesale Corp        | 11/30/2009           | 08/03/2010       | 50 000          | 2,820 56            | 2,997 49    | -176 93                 |
| Costco Wholesale Corp        | 11/30/2009           | 09/15/2010       | 125 000         | 7,631 25            | 7,493 73    | 137 52                  |
| Costco Wholesale Corp        | 06/10/2010           | 09/15/2010       | 15 000          | 915 75              | 872 19      | 43 56                   |
| Costco Wholesale Corp        | 06/10/2010           | 09/15/2010       | 35 000          | 2,136 75            | 2,023 13    | 113 62                  |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>             | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Short Term Gains</u> |
|--------------------------------|----------------------|------------------|-----------------|---------------------|-------------|-------------------------|
| Costco Wholesale Corp          | 06/30/2010           | 09/15/2010       | 40 000          | 2,442 00            | 2,221 27    | 220 73                  |
|                                |                      |                  | 215 000         | 13,125.75           | 12,610 32   | 515.43                  |
|                                |                      |                  | 350 000         | 20,960 92           | 20,710 31   | 250 61                  |
| CSX Corp                       | 09/11/2009           | 05/24/2010       | 15 000          | 755 04              | 732 15      | 22 89                   |
| CSX Corp                       | 09/11/2009           | 07/14/2010       | 45 000          | 2,354 05            | 2,196 44    | 157 61                  |
| CSX Corp                       | 09/11/2009           | 07/16/2010       | 180 000         | 8,958 58            | 8,785 78    | 172 80                  |
|                                |                      |                  | 240 000         | 12,067 67           | 11,714 37   | 353 30                  |
| CVS Caremark Corporation       | 05/24/2010           | 06/09/2010       | 105 000         | 3,269 90            | 3,592 03    | -322 13                 |
| CVS Caremark Corporation       | 05/27/2010           | 06/09/2010       | 50 000          | 1,557 09            | 1,726 90    | -169 81                 |
|                                |                      |                  | 155 000         | 4,826 99            | 5,318 93    | -491 94                 |
| CVS Caremark Corporation       | 05/24/2010           | 06/10/2010       | 60 000          | 1,881 12            | 2,052 59    | -171 47                 |
| CVS Caremark Corporation       | 05/24/2010           | 06/10/2010       | 60 000          | 1,849 90            | 2,052 58    | -202 68                 |
|                                |                      |                  | 275 000         | 8,558 01            | 9,424 10    | -866 09                 |
| Danaher Corp                   | 03/11/2010           | 08/03/2010       | 15 000          | 577 22              | 587 58      | -10 36                  |
| Danaher Corp                   | 04/23/2010           | 08/03/2010       | 60 000          | 2,308 86            | 2,537 59    | -228 73                 |
|                                |                      |                  | 75 000          | 2,886 08            | 3,125 17    | -239 09                 |
| Danaher Corp                   | 03/02/2010           | 09/15/2010       | 280 000         | 11,233.74           | 10,572 38   | 661 36                  |
| Danaher Corp                   | 03/11/2010           | 09/15/2010       | 55 000          | 2,206.63            | 2,154 45    | 52 18                   |
| Danaher Corp                   | 09/01/2010           | 09/15/2010       | 30 000          | 1,203 62            | 1,141 77    | 61 85                   |
|                                |                      |                  | 365 000         | 14,643 99           | 13,868 60   | 775 39                  |
|                                |                      |                  | 440 000         | 17,530 07           | 16,993 77   | 536 30                  |
| Diamond Offshore Drilling, Inc | 07/14/2010           | 09/15/2010       | 120 000         | 7,408 79            | 7,927 59    | -518 80                 |
| Diamond Offshore Drilling, Inc | 08/05/2010           | 09/15/2010       | 20 000          | 1,234 80            | 1,348 52    | -113 72                 |
|                                |                      |                  | 140 000         | 8,643 59            | 9,276 11    | -632 52                 |
| DirecTV Group, Inc             | 11/19/2009           | 08/03/2010       | 79 832          | 2,957 54            | 2,480 61    | 476 93                  |
| DirecTV Group, Inc             | 05/07/2010           | 08/03/2010       | 55 000          | 2,037.58            | 1,971 74    | 65 84                   |
|                                |                      |                  | 134 832         | 4,995.12            | 4,452 35    | 542 77                  |
| Dollar Tree Stores             | 11/23/2009           | 02/12/2010       | 10 000          | 472 84              | 489 53      | -16 69                  |
| Dollar Tree Stores             | 05/19/2010           | 08/03/2010       | 60 000          | 2,597 52            | 2,402 68    | 194 84                  |
| Dollar Tree Stores             | 11/23/2009           | 09/15/2010       | 277 500         | 13,142 46           | 9,056 36    | 4,086 10                |

Realized Gains and Losses By Category  
 Fiscal Year Ending 12/31/2010

Short Term Capital Gains and Losses

| Description        | Date Acquired | Date Sold  | Quantity | Net Proceeds | Cost      | Short Term Gains |
|--------------------|---------------|------------|----------|--------------|-----------|------------------|
| Dollar Tree Stores | 05/19/2010    | 09/15/2010 | 22 500   | 1,065 60     | 901 00    | 164 60           |
|                    |               |            | 300 000  | 14,208 06    | 9,957 36  | 4,250 70         |
|                    |               |            | 370 000  | 17,278 42    | 12,849 57 | 4,428 85         |
| eBay, Inc          | 03/17/2010    | 05/07/2010 | 15 000   | 321 50       | 403 02    | -81 52           |
| eBay, Inc          | 03/26/2010    | 05/07/2010 | 135 000  | 2,893 57     | 3,740 35  | -846 78          |
| eBay, Inc          | 04/06/2010    | 05/07/2010 | 100 000  | 2,143 38     | 2,745 93  | -602 55          |
|                    |               |            | 250 000  | 5,358.45     | 6,889 30  | -1,530 85        |
| eBay, Inc          | 03/17/2010    | 05/14/2010 | 140 000  | 3,084 99     | 3,761 50  | -676 51          |
| eBay, Inc          | 03/17/2010    | 05/18/2010 | 95 000   | 2,141 23     | 2,552 45  | -411 22          |
| eBay, Inc          | 03/17/2010    | 05/19/2010 | 70 000   | 1,512 69     | 1,880 75  | -368 06          |
| eBay, Inc          | 04/21/2010    | 05/19/2010 | 110 000  | 2,377 09     | 2,897 55  | -520 46          |
|                    |               |            | 180 000  | 3,889 78     | 4,778 30  | -888 52          |
|                    |               |            | 665 000  | 14,474 45    | 17,981 55 | -3,507 10        |
| EMC Corp           | 06/25/2010    | 08/03/2010 | 85 000   | 1,725 02     | 1,632 36  | 92 66            |
| EMC Corp           | 05/14/2010    | 09/15/2010 | 220 000  | 4,538 97     | 4,074 55  | 464 42           |
| EMC Corp           | 05/18/2010    | 09/15/2010 | 105 000  | 2,166 32     | 1,981 90  | 184 42           |
| EMC Corp           | 05/19/2010    | 09/15/2010 | 105 000  | 2,166 32     | 1,919 95  | 246 37           |
| EMC Corp           | 05/27/2010    | 09/15/2010 | 115 000  | 2,372 64     | 2,162 90  | 209 74           |
| EMC Corp           | 06/25/2010    | 09/15/2010 | 165 000  | 3,404 22     | 3,168 69  | 235 53           |
| EMC Corp           | 09/13/2010    | 09/15/2010 | 60 000   | 1,237 90     | 1,220 34  | 17 56            |
|                    |               |            | 770 000  | 15,886 37    | 14,528 33 | 1,358 04         |
|                    |               |            | 855 000  | 17,611 39    | 16,160 69 | 1,450 70         |
| EOG Resources, Inc | 05/28/2010    | 08/03/2010 | 19 000   | 1,930 48     | 2,037 61  | -107 13          |
| EOG Resources, Inc | 06/25/2010    | 08/03/2010 | 11 000   | 1,117 66     | 1,205 74  | -88 08           |
|                    |               |            | 30 000   | 3,048 14     | 3,243 35  | -195 21          |
| EOG Resources, Inc | 05/24/2010    | 09/15/2010 | 75 000   | 6,845 28     | 7,550 56  | -705 28          |
| EOG Resources, Inc | 05/28/2010    | 09/15/2010 | 1 000    | 91 27        | 107 24    | -15 97           |
| EOG Resources, Inc | 06/30/2010    | 09/15/2010 | 20 000   | 1,825 41     | 2,007 99  | -182 58          |
| EOG Resources, Inc | 07/08/2010    | 09/15/2010 | 20 000   | 1,825 41     | 2,134 69  | -309 28          |
| EOG Resources, Inc | 07/12/2010    | 09/15/2010 | 15 000   | 1,369 06     | 1,589 32  | -220 26          |
| EOG Resources, Inc | 09/13/2010    | 09/15/2010 | 5 000    | 456 35       | 460 99    | -4.64            |
|                    |               |            | 136 000  | 12,412 78    | 13,850 79 | -1,438 01        |
|                    |               |            | 166 000  | 15,460 92    | 17,094 14 | -1,633 22        |
| Equinix Inc New    | 04/28/2010    | 05/21/2010 | 10.000   | 911 13       | 1,002 13  | -91.00           |
| Equinix Inc New    | 02/12/2010    | 08/03/2010 | 30 000   | 2,812 99     | 2,871 71  | -58 72           |
| Equinix Inc New    | 04/28/2010    | 08/03/2010 | 10 000   | 937 66       | 1,002 12  | -64 46           |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>       | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Short Term Gains</u> |
|--------------------------|----------------------|------------------|-----------------|---------------------|-------------|-------------------------|
| Equinix Inc New          | 05/05/2010           | 08/03/2010       | 5 000           | 468 84              | 480 70      | -11 86                  |
|                          |                      |                  | 45 000          | 4,219 49            | 4,354 53    | -135 04                 |
| Equinix Inc New          | 10/08/2009           | 09/15/2010       | 60 000          | 5,732 48            | 5,719 83    | 12 65                   |
| Equinix Inc New          | 10/22/2009           | 09/15/2010       | 50 000          | 4,777 07            | 4,777 03    | 0 04                    |
| Equinix Inc New          | 10/29/2009           | 09/15/2010       | 50 000          | 4,777 07            | 4,457 95    | 319 12                  |
| Equinix Inc New          | 10/30/2009           | 09/15/2010       | 20 000          | 1,910 83            | 1,730 93    | 179 90                  |
| Equinix Inc New          | 11/04/2009           | 09/15/2010       | 20 000          | 1,910 83            | 1,823 07    | 87 76                   |
| Equinix Inc New          | 02/12/2010           | 09/15/2010       | 5 000           | 477 71              | 478 62      | -0 91                   |
|                          |                      |                  | 205 000         | 19,585 99           | 18,987 43   | 598 56                  |
|                          |                      |                  | 260 000         | 24,716 61           | 24,344 09   | 372 52                  |
| Exxon Mobil Corp         | 12/03/2009           | 02/12/2010       | 20 000          | 1,284 65            | 1,515 20    | -230 55                 |
| Exxon Mobil Corp         | 12/03/2009           | 03/02/2010       | 160 000         | 10,471 68           | 12,121 56   | -1,649 88               |
| Exxon Mobil Corp         | 12/14/2009           | 03/02/2010       | 15 000          | 981 72              | 1,050 69    | -68 97                  |
|                          |                      |                  | 175 000         | 11,453 40           | 13,172 25   | -1,718 85               |
|                          |                      |                  | 195 000         | 12,738 05           | 14,687 45   | -1,949 40               |
| Gilead Sciences, Inc     | 01/28/2010           | 04/21/2010       | 50 000          | 2,017 43            | 2,376 85    | -359 42                 |
| Gilead Sciences, Inc     | 02/12/2010           | 04/21/2010       | 40 000          | 1,613 94            | 1,885 67    | -271 73                 |
|                          |                      |                  | 90 000          | 3,631 37            | 4,262 52    | -631 15                 |
| Goldman Sachs Group, Inc | 02/04/2010           | 04/16/2010       | 8 000           | 1,291 61            | 1,227 17    | 64 44                   |
| Goldman Sachs Group, Inc | 07/16/2010           | 08/03/2010       | 5 000           | 765 26              | 743 34      | 21 92                   |
| Goldman Sachs Group, Inc | 07/16/2010           | 08/03/2010       | 10 000          | 1,530 50            | 1,508 63    | 21 87                   |
|                          |                      |                  | 15 000          | 2,295 76            | 2,251 97    | 43 79                   |
| Goldman Sachs Group, Inc | 07/16/2010           | 09/15/2010       | 9 000           | 1,364 29            | 1,338 02    | 26 27                   |
|                          |                      |                  | 32 000          | 4,951 66            | 4,817 16    | 134 50                  |
| Google, Inc Cl A         | 04/30/2009           | 04/28/2010       | 7 000           | 3,694 18            | 2,770 16    | 924 02                  |
| Google, Inc Cl A         | 05/21/2009           | 04/28/2010       | 2 000           | 1,055 48            | 799 08      | 256 40                  |
|                          |                      |                  | 9 000           | 4,749 66            | 3,569 24    | 1,180 42                |
| Google, Inc Cl A         | 04/30/2009           | 04/30/2010       | 3 000           | 1,573 01            | 1,187 21    | 385 80                  |
| Google, Inc Cl A         | 05/07/2010           | 05/24/2010       | 2 000           | 950 75              | 984 21      | -33 46                  |
| Google, Inc Cl A         | 05/07/2010           | 07/16/2010       | 2 000           | 925 91              | 984 20      | -58 29                  |
| Google, Inc Cl A         | 05/07/2010           | 07/16/2010       | 1 000           | 456 78              | 492 10      | -35 32                  |
| Google, Inc Cl A         | 05/07/2010           | 08/03/2010       | 3 000           | 1,456 95            | 1,476 31    | -19 36                  |
| Google, Inc Cl A         | 06/25/2010           | 08/03/2010       | 2 000           | 971 30              | 953 61      | 17 69                   |
|                          |                      |                  | 5 000           | 2,428 25            | 2,429 92    | -1 67                   |

Realized Gains and Losses By Category  
 Fiscal Year Ending 12/31/2010

Short Term Capital Gains and Losses

| Description                | Date Acquired | Date Sold  | Quantity | Net Proceeds | Cost      | Short Term Gains |
|----------------------------|---------------|------------|----------|--------------|-----------|------------------|
| Google, Inc Cl A           | 06/25/2010    | 09/15/2010 | 4 000    | 1,920 97     | 1,907 22  | 13 75            |
|                            |               |            | 26 000   | 13,005 33    | 11,554 10 | 1,451 23         |
| Hershey Company            | 08/02/2010    | 08/03/2010 | 35 000   | 1,608 76     | 1,646 55  | -37 79           |
| Hershey Company            | 08/02/2010    | 09/15/2010 | 130 000  | 5,929 72     | 6,115 75  | -186 03          |
|                            |               |            | 165 000  | 7,538.48     | 7,762 30  | -223 82          |
| Hewlett-Packard Co         | 05/21/2010    | 08/03/2010 | 5 000    | 235 62       | 239 34    | -3 72            |
| Human Genome Sciences, Inc | 11/23/2009    | 01/08/2010 | 25 000   | 746 06       | 695 47    | 50 59            |
| Human Genome Sciences, Inc | 11/23/2009    | 08/03/2010 | 85 000   | 2,273 35     | 2,364 61  | -91 26           |
| Human Genome Sciences, Inc | 11/23/2009    | 09/15/2010 | 200 000  | 5,788 10     | 5,563 80  | 224 30           |
| Human Genome Sciences, Inc | 02/05/2010    | 09/15/2010 | 70 000   | 2,025 83     | 1,842 74  | 183 09           |
| Human Genome Sciences, Inc | 05/07/2010    | 09/15/2010 | 55 000   | 1,591 73     | 1,258 91  | 332 82           |
| Human Genome Sciences, Inc | 06/18/2010    | 09/15/2010 | 35 000   | 1,012 92     | 968 30    | 44 62            |
|                            |               |            | 360 000  | 10,418 58    | 9,633 75  | 784 83           |
|                            |               |            | 470 000  | 13,437 99    | 12,693 83 | 744 16           |
| Intel                      | 12/07/2009    | 09/15/2010 | 170 000  | 3,172.49     | 3,473 38  | -300 89          |
| J Crew Group, Inc          | 03/09/2010    | 07/29/2010 | 10 000   | 353 72       | 469 76    | -116 04          |
| J Crew Group, Inc          | 04/22/2010    | 07/29/2010 | 40 000   | 1,414 89     | 1,942 47  | -527 58          |
|                            |               |            | 50 000   | 1,768 61     | 2,412 23  | -643 62          |
| J Crew Group, Inc          | 03/09/2010    | 08/03/2010 | 45 000   | 1,555 72     | 2,113 93  | -558 21          |
| J Crew Group, Inc          | 03/09/2010    | 09/15/2010 | 125 000  | 4,413.93     | 5,872 01  | -1,458 08        |
| J Crew Group, Inc          | 03/10/2010    | 09/15/2010 | 50 000   | 1,765.57     | 2,240 40  | -474 83          |
|                            |               |            | 175 000  | 6,179 50     | 8,112 41  | -1,932 91        |
|                            |               |            | 270 000  | 9,503.83     | 12,638 57 | -3,134 74        |
| Liberty Media Corp A       | 03/08/2010    | 08/03/2010 | 35 000   | 1,960.99     | 1,888 38  | 72 61            |
| Liberty Media Corp A       | 11/19/2009    | 09/15/2010 | 8 000    | 488 45       | 388 96    | 99 49            |
| Liberty Media Corp A       | 11/30/2009    | 09/15/2010 | 102 500  | 6,258 32     | 5,023 32  | 1,235 00         |
|                            |               |            | 110 500  | 6,746 77     | 5,412 28  | 1,334 49         |
|                            |               |            | 145 500  | 8,707 76     | 7,300 66  | 1,407 10         |
| Lubrizon Corp              | 10/22/2009    | 07/14/2010 | 10 000   | 861 43       | 756 30    | 105 13           |
| Lubrizon Corp              | 10/22/2009    | 08/03/2010 | 20 000   | 1,908 08     | 1,512 60  | 395 48           |
| Lubrizon Corp              | 01/29/2010    | 08/03/2010 | 5 000    | 477 02       | 371 73    | 105 29           |
|                            |               |            | 25 000   | 2,385.10     | 1,884 33  | 500 77           |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>            | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Short Term Gains</u> |
|-------------------------------|----------------------|------------------|-----------------|---------------------|-------------|-------------------------|
| Lubrizon Corp                 | 10/28/2009           | 09/15/2010       | 35 000          | 3,641 20            | 2,427 31    | 1,213 89                |
| Lubrizon Corp                 | 10/28/2009           | 09/15/2010       | 45 000          | 4,681 54            | 3,130 38    | 1,551 16                |
| Lubrizon Corp                 | 01/29/2010           | 09/15/2010       | 45 000          | 4,681 54            | 3,345 53    | 1,336 01                |
|                               |                      |                  | 125 000         | 13,004 28           | 8,903 22    | 4,101 06                |
|                               |                      |                  | 160 000         | 16,250 81           | 11,543 85   | 4,706 96                |
| Marvell Technology Group, Ltd | 07/16/2009           | 06/23/2010       | 150 000         | 2,735 31            | 1,973 99    | 761 32                  |
| Mastercard, Inc               | 10/29/2009           | 09/15/2010       | 8 000           | 1,689 41            | 1,806 71    | -117 30                 |
| Mcdermott Intl, Inc           | 04/23/2010           | 09/15/2010       | 115 000         | 1,565 25            | 1,725 38    | -160 13                 |
| Mcdermott Intl, Inc           | 08/04/2010           | 09/15/2010       | 125 000         | 1,701 36            | 1,665 08    | 36 28                   |
|                               |                      |                  | 240 000         | 3,266 61            | 3,390 46    | -123 85                 |
| McKesson Corporation          | 06/16/2010           | 08/03/2010       | 25 000          | 1,543 55            | 1,775 64    | -232 09                 |
| McKesson Corporation          | 06/09/2010           | 09/15/2010       | 55 000          | 3,488 04            | 3,781 16    | -293 12                 |
| McKesson Corporation          | 06/10/2010           | 09/15/2010       | 15 000          | 951 28              | 1,052 47    | -101 19                 |
| McKesson Corporation          | 06/16/2010           | 09/15/2010       | 20 000          | 1,268 38            | 1,420 51    | -152 13                 |
|                               |                      |                  | 90 000          | 5,707 70            | 6,254 14    | -546 44                 |
|                               |                      |                  | 115 000         | 7,251 25            | 8,029 78    | -778 53                 |
| MICROS Systems, Inc           | 12/11/2009           | 06/18/2010       | 25 000          | 843 53              | 780 97      | 62 56                   |
| MICROS Systems, Inc           | 12/11/2009           | 08/03/2010       | 50 000          | 1,821 56            | 1,561 94    | 259 62                  |
| MICROS Systems, Inc           | 12/11/2009           | 09/13/2010       | 35 000          | 1,373 38            | 1,093 36    | 280 02                  |
| MICROS Systems, Inc           | 12/11/2009           | 09/15/2010       | 160 000         | 6,500 95            | 4,998 19    | 1,502 76                |
|                               |                      |                  | 270 000         | 10,539 42           | 8,434 46    | 2,104 96                |
| Microsoft Corp                | 11/18/2009           | 01/29/2010       | 395 000         | 11,078 55           | 11,903 16   | -824 61                 |
| Oracle Corporation            | 05/24/2010           | 08/03/2010       | 125 000         | 3,006 23            | 2,781 34    | 224 89                  |
| Oracle Corporation            | 06/30/2010           | 08/03/2010       | 20 000          | 481 00              | 436 52      | 44 48                   |
|                               |                      |                  | 145 000         | 3,487 23            | 3,217 86    | 269 37                  |
| Oracle Corporation            | 06/30/2010           | 09/15/2010       | 50 000          | 1,281 08            | 1,091 30    | 189 78                  |
|                               |                      |                  | 195 000         | 4,768 31            | 4,309 16    | 459 15                  |
| Priceline com, Inc            | 08/24/2010           | 09/15/2010       | 15 000          | 4,972 72            | 4,381 50    | 591 22                  |
| Research In Motion Ltd        | 04/22/2010           | 05/21/2010       | 15 000          | 912 66              | 1,058 67    | -146 01                 |
| Research In Motion Ltd        | 04/22/2010           | 05/27/2010       | 25 000          | 1,524 87            | 1,764 45    | -239 58                 |
| Research In Motion Ltd        | 11/23/2009           | 08/03/2010       | 30 000          | 1,659 86            | 1,831 27    | -171 41                 |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>             | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Short Term Gains</u> |
|--------------------------------|----------------------|------------------|-----------------|---------------------|-------------|-------------------------|
| Research In Motion Ltd         | 04/22/2010           | 08/03/2010       | 5 000           | 276 63              | 352 89      | -76 26                  |
|                                |                      |                  | 35 000          | 1,936 49            | 2,184 16    | -247 67                 |
| Research In Motion Ltd         | 11/23/2009           | 09/15/2010       | 75 000          | 3,381 84            | 4,578 17    | -1,196 33               |
| Research In Motion Ltd         | 12/02/2009           | 09/15/2010       | 55 000          | 2,480 02            | 3,330 18    | -850 16                 |
|                                |                      |                  | 130 000         | 5,861 86            | 7,908 35    | -2,046 49               |
|                                |                      |                  | 205 000         | 10,235 88           | 12,915 63   | -2,679 75               |
| TD AmeriTrade Holding Corp     | 05/22/2009           | 01/15/2010       | 600 000         | 10,947 69           | 10,434 00   | 513.69                  |
| The Babcock & Wilcox Compa     | 08/24/2009           | 08/02/2010       | 0 500           | 11 58               | 12 09       | -0 51                   |
| The Babcock & Wilcox Compa     | 04/23/2010           | 08/03/2010       | 57 500          | 1,288 08            | 1,580 58    | -292 50                 |
| The Babcock & Wilcox Compa     | 08/24/2009           | 08/04/2010       | 20 500          | 454 83              | 495 60      | -40 77                  |
|                                |                      |                  | 78 500          | 1,754 49            | 2,088 27    | -333 78                 |
| United Technologies Corp       | 08/30/2010           | 09/15/2010       | 110 000         | 7,507 48            | 7,271 04    | 236 44                  |
| Urban Outfitters, Inc          | 12/04/2009           | 08/03/2010       | 105 000         | 3,309 88            | 3,486 39    | -176 51                 |
| WellPoint Health Networks, Inc | 01/14/2010           | 05/24/2010       | 15 000          | 753 50              | 992 71      | -239 21                 |
| WellPoint Health Networks, Inc | 01/14/2010           | 05/27/2010       | 15 000          | 764 75              | 992 71      | -227 96                 |
| WellPoint Health Networks, Inc | 08/20/2009           | 07/29/2010       | 120 000         | 5,986 78            | 6,426 94    | -440 16                 |
| WellPoint Health Networks, Inc | 10/29/2009           | 07/29/2010       | 35 000          | 1,746 15            | 1,650 42    | 95 73                   |
| WellPoint Health Networks, Inc | 11/04/2009           | 07/29/2010       | 35 000          | 1,746 15            | 1,779 60    | -33 45                  |
| WellPoint Health Networks, Inc | 12/22/2009           | 07/29/2010       | 25 000          | 1,247 25            | 1,511 44    | -264.19                 |
| WellPoint Health Networks, Inc | 01/14/2010           | 07/29/2010       | 10 000          | 498 89              | 661 80      | -162 91                 |
|                                |                      |                  | 225 000         | 11,225.22           | 12,030 20   | -804.98                 |
|                                |                      |                  | 255.000         | 12,743 47           | 14,015 62   | -1,272 15               |
| Wells Fargo & Co               | 08/04/2009           | 08/03/2010       | 55 000          | 1,545 83            | 1,399 47    | 146 36                  |
| Wells Fargo & Co               | 04/16/2010           | 08/03/2010       | 65 000          | 1,826 88            | 2,112 87    | -285 99                 |
|                                |                      |                  | 120 000         | 3,372 71            | 3,512 34    | -139 63                 |
| Short Term Gains (Sales)       |                      |                  |                 | 513,863 08          | 510,216 11  | 3,646 97                |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>       | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|--------------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| Activision Blizzard, Inc | 12/05/2007           | 03/09/2010       | 220 000         | 2,444 12            | 2,932 77    | -488 65                |
| Activision Blizzard, Inc | 12/05/2007           | 04/23/2010       | 225 000         | 2,578 50            | 2,999 43    | -420 93                |
| Activision Blizzard, Inc | 12/05/2007           | 05/07/2010       | 75 000          | 826 23              | 999 81      | -173 58                |
| Activision Blizzard, Inc | 02/07/2008           | 05/07/2010       | 10 000          | 110 17              | 129 14      | -18 97                 |
|                          |                      |                  | 85 000          | 936 40              | 1,128 95    | -192 55                |
| Activision Blizzard, Inc | 02/07/2008           | 05/24/2010       | 85 000          | 868 32              | 1,097 65    | -229 33                |
| Activision Blizzard, Inc | 02/07/2008           | 08/03/2010       | 200 000         | 2,289 41            | 2,582 69    | -293 28                |
| Activision Blizzard, Inc | 02/07/2008           | 08/30/2010       | 25 000          | 269 00              | 322 84      | -53 84                 |
| Activision Blizzard, Inc | 01/22/2009           | 08/30/2010       | 325 000         | 3,496 94            | 3,055 00    | 441.94                 |
| Activision Blizzard, Inc | 03/16/2009           | 08/30/2010       | 215 000         | 2,313 36            | 2,063 79    | 249 57                 |
|                          |                      |                  | 565 000         | 6,079 30            | 5,441 63    | 637 67                 |
|                          |                      |                  | 1,380 000       | 15,196 05           | 16,183 12   | -987 07                |
| America Movil SA         | 07/09/2009           | 08/03/2010       | 25 000          | 1,270 28            | 947 63      | 322 65                 |
| America Movil SA         | 07/16/2009           | 08/03/2010       | 25 000          | 1,270 27            | 1,005 72    | 264 55                 |
|                          |                      |                  | 50 000          | 2,540 55            | 1,953 35    | 587 20                 |
| America Movil SA         | 07/09/2009           | 09/15/2010       | 225 000         | 11,184.79           | 8,528 62    | 2,656.17               |
|                          |                      |                  | 275 000         | 13,725 34           | 10,481 97   | 3,243 37               |
| Anadarko Petroleum Corp  | 11/26/2008           | 09/15/2010       | 285 000         | 15,361 81           | 11,647 86   | 3,713 95               |
| Apple Computer, Inc      | 04/17/2007           | 01/14/2010       | 5 000           | 1,040 73            | 454 74      | 585.99                 |
| Apple Computer, Inc      | 04/26/2007           | 06/16/2010       | 2 000           | 525 98              | 199 56      | 326 42                 |
| Apple Computer, Inc      | 04/26/2007           | 07/16/2010       | 8 000           | 2,001 47            | 798 22      | 1,203 25               |
| Apple Computer, Inc      | 04/26/2007           | 07/16/2010       | 4 000           | 996 31              | 399 11      | 597 20                 |
| Apple Computer, Inc      | 04/26/2007           | 08/03/2010       | 25 000          | 6,529 23            | 2,494 45    | 4,034 78               |
| Apple Computer, Inc      | 04/17/2007           | 09/15/2010       | 100 000         | 26,978.90           | 9,094 80    | 17,884 10              |
| Apple Computer, Inc      | 04/26/2007           | 09/15/2010       | 1 000           | 269 79              | 99 78       | 170 01                 |
|                          |                      |                  | 101 000         | 27,248 69           | 9,194 58    | 18,054 11              |
|                          |                      |                  | 145 000         | 38,342 41           | 13,540 66   | 24,801 75              |
| Best Buy Company, Inc    | 08/30/2006           | 08/03/2010       | 60 000          | 2,086 27            | 2,769 60    | -683 33                |
| Best Buy Company, Inc    | 08/30/2006           | 08/27/2010       | 55 000          | 1,752 88            | 2,538 80    | -785 92                |
| Best Buy Company, Inc    | 08/30/2006           | 09/15/2010       | 110 000         | 4,042 65            | 5,077 60    | -1,034 95              |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>    | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|-----------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| Best Buy Company, Inc | 09/04/2009           | 09/15/2010       | 75 000          | 2,756 36            | 2,936 85    | -180 49                |
|                       |                      |                  | 185 000         | 6,799 01            | 8,014 45    | -1,215 44              |
|                       |                      |                  | 300 000         | 10,638 16           | 13,322 85   | -2,684 69              |
| Boeing Co             | 08/31/2009           | 09/15/2010       | 65 000          | 4,055 41            | 3,229 85    | 825 56                 |
| Boeing Co             | 09/04/2009           | 09/15/2010       | 115 000         | 7,174 96            | 5,564 16    | 1,610 80               |
|                       |                      |                  | 180 000         | 11,230 37           | 8,794 01    | 2,436 36               |
| Celgene Corp          | 03/12/2009           | 08/03/2010       | 55 000          | 3,079 90            | 2,610 19    | 469 71                 |
| Celgene Corp          | 03/12/2009           | 09/15/2010       | 65 000          | 3,560 74            | 3,084 77    | 475 97                 |
| Celgene Corp          | 03/16/2009           | 09/15/2010       | 40 000          | 2,191 22            | 1,894 31    | 296 91                 |
| Celgene Corp          | 03/17/2009           | 09/15/2010       | 45 000          | 2,465 13            | 2,117 12    | 348 01                 |
| Celgene Corp          | 03/19/2009           | 09/15/2010       | 90 000          | 4,930 25            | 4,240 31    | 689 94                 |
|                       |                      |                  | 240 000         | 13,147 34           | 11,336 51   | 1,810 83               |
|                       |                      |                  | 295 000         | 16,227 24           | 13,946 70   | 2,280 54               |
| ChevronTexaco Corp    | 12/16/2008           | 05/24/2010       | 5 000           | 367 46              | 390 99      | -23 53                 |
| ChevronTexaco Corp    | 12/16/2008           | 08/03/2010       | 25 000          | 1,960 79            | 1,954 95    | 5 84                   |
| ChevronTexaco Corp    | 12/16/2008           | 09/15/2010       | 103 000         | 8,133 98            | 8,054 39    | 79 59                  |
|                       |                      |                  | 133 000         | 10,462 23           | 10,400 33   | 61 90                  |
| CME Group, Inc        | 08/30/2006           | 01/22/2010       | 3 000           | 942 63              | 1,319 97    | -377 34                |
| CME Group, Inc        | 08/30/2006           | 01/26/2010       | 5 000           | 1,493 59            | 2,199 95    | -706 36                |
| CME Group, Inc        | 06/10/2008           | 01/26/2010       | 2 000           | 597 43              | 784 51      | -187 08                |
|                       |                      |                  | 7 000           | 2,091 02            | 2,984 46    | -893 44                |
| CME Group, Inc        | 06/10/2008           | 01/28/2010       | 3 000           | 875 49              | 1,176 76    | -301 27                |
| CME Group, Inc        | 06/10/2008           | 01/29/2010       | 4 000           | 1,141 79            | 1,569 02    | -427 23                |
| CME Group, Inc        | 06/10/2008           | 02/04/2010       | 21 000          | 5,657 59            | 8,237 33    | -2,579 74              |
|                       |                      |                  | 38 000          | 10,708 52           | 15,287 54   | -4,579 02              |
| Corning, Inc          | 08/30/2006           | 05/14/2010       | 70 000          | 1,240 52            | 1,547 00    | -306 48                |
| Corning, Inc          | 08/30/2006           | 08/03/2010       | 130 000         | 2,459 78            | 2,873 00    | -413 22                |
| Corning, Inc          | 08/30/2006           | 09/15/2010       | 195 000         | 3,312 99            | 4,309 50    | -996 51                |
| Corning, Inc          | 09/06/2006           | 09/15/2010       | 210 000         | 3,567 84            | 4,615 80    | -1,047 96              |
| Corning, Inc          | 12/21/2006           | 09/15/2010       | 155 000         | 2,633 41            | 2,958 93    | -325 52                |
|                       |                      |                  | 560 000         | 9,514 24            | 11,884 23   | -2,369 99              |
|                       |                      |                  | 760 000         | 13,214 54           | 16,304 23   | -3,089 69              |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>          | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|-----------------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| DirecTV Group, Inc          | 09/25/2008           | 08/03/2010       | 5.168           | 191 46              | 114 86      | 76 60                  |
| DirecTV Group, Inc          | 09/25/2008           | 09/15/2010       | 124 922         | 5,103 10            | 2,776 31    | 2,326 79               |
| DirecTV Group, Inc          | 01/12/2009           | 09/15/2010       | 230 039         | 9,397 17            | 3,383 91    | 6,013 26               |
| DirecTV Group, Inc          | 02/24/2009           | 09/15/2010       | 155 026         | 6,332 86            | 2,407 20    | 3,925 66               |
| DirecTV Group, Inc          | 04/09/2009           | 09/15/2010       | 80 013          | 3,268 55            | 1,541 75    | 1,726 80               |
|                             |                      |                  | 590 000         | 24,101 68           | 10,109 17   | 13,992 51              |
|                             |                      |                  | 595 168         | 24,293 14           | 10,224 03   | 14,069 11              |
| Express Scripts, Inc        | 04/28/2008           | 04/19/2010       | 25 000          | 2,561 28            | 1,845 49    | 715 79                 |
| Express Scripts, Inc        | 04/28/2008           | 05/24/2010       | 10 000          | 995 10              | 738 20      | 256 90                 |
| Express Scripts, Inc        | 04/28/2008           | 08/03/2010       | 75 000          | 3,469 64            | 2,768 24    | 701 40                 |
| Express Scripts, Inc        | 04/28/2008           | 09/15/2010       | 235 000         | 10,939 54           | 8,673 81    | 2,265 73               |
| Express Scripts, Inc        | 06/05/2008           | 09/15/2010       | 70 000          | 3,258 58            | 2,548 26    | 710 32                 |
|                             |                      |                  | 305 000         | 14,198 12           | 11,222 07   | 2,976 05               |
|                             |                      |                  | 415 000         | 21,224 14           | 16,574 00   | 4,650 14               |
| Fedex Corporation           | 04/09/2009           | 08/03/2010       | 45 000          | 3,744 97            | 2,288 76    | 1,456 21               |
| Fedex Corporation           | 04/09/2009           | 09/15/2010       | 195 000         | 16,666 37           | 9,917 98    | 6,748 39               |
|                             |                      |                  | 240 000         | 20,411 34           | 12,206 74   | 8,204 60               |
| Freeport McMoran Copper & G | 05/07/2009           | 05/21/2010       | 10 000          | 656 64              | 498 08      | 158 56                 |
| Freeport McMoran Copper & G | 03/16/2009           | 08/03/2010       | 40 000          | 2,951 63            | 1,518 36    | 1,433 27               |
| Freeport McMoran Copper & G | 05/07/2009           | 08/03/2010       | 5 000           | 368 96              | 249 04      | 119 92                 |
|                             |                      |                  | 45 000          | 3,320 59            | 1,767 40    | 1,553 19               |
| Freeport McMoran Copper & G | 03/03/2009           | 09/15/2010       | 125 000         | 10,087 58           | 3,544 88    | 6,542 70               |
| Freeport McMoran Copper & G | 03/05/2009           | 09/15/2010       | 50 000          | 4,035 03            | 1,586 45    | 2,448 58               |
|                             |                      |                  | 175 000         | 14,122 61           | 5,131 33    | 8,991 28               |
|                             |                      |                  | 230 000         | 18,099 84           | 7,396 81    | 10,703 03              |
| Gilead Sciences, Inc        | 02/07/2007           | 04/22/2010       | 320 000         | 12,970 32           | 11,436 09   | 1,534 23               |
| Goldman Sachs Group, Inc    | 08/30/2006           | 01/22/2010       | 14 000          | 2,187 45            | 2,059 26    | 128 19                 |
| Goldman Sachs Group, Inc    | 08/30/2006           | 01/22/2010       | 6 000           | 924 89              | 882 54      | 42 35                  |
| Goldman Sachs Group, Inc    | 08/30/2006           | 04/16/2010       | 7 000           | 1,130 16            | 1,029 63    | 100 53                 |
| Goldman Sachs Group, Inc    | 08/30/2006           | 04/20/2010       | 19 000          | 3,038 46            | 2,794 71    | 243 75                 |
| Goldman Sachs Group, Inc    | 08/30/2006           | 05/06/2010       | 3 000           | 424 67              | 441 27      | -16 60                 |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>       | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|--------------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| Goldman Sachs Group, Inc | 03/24/2009           | 05/06/2010       | 8 000           | 1,132 44            | 901 99      | 230 45                 |
|                          |                      |                  | 11 000          | 1,557 11            | 1,343 26    | 213 85                 |
| Goldman Sachs Group, Inc | 03/24/2009           | 05/27/2010       | 2 000           | 280 22              | 225 50      | 54 72                  |
| Goldman Sachs Group, Inc | 10/17/2008           | 09/15/2010       | 29 000          | 4,396 03            | 3,160 13    | 1,235 90               |
| Goldman Sachs Group, Inc | 03/24/2009           | 09/15/2010       | 12 000          | 1,819 05            | 1,352 97    | 466 08                 |
|                          |                      |                  | 41 000          | 6,215 08            | 4,513 10    | 1,701 98               |
|                          |                      |                  | 100 000         | 15,333 37           | 12,848 00   | 2,485 37               |
| Google, Inc Cl A         | 04/17/2009           | 04/19/2010       | 5 000           | 2,720.47            | 1,960.94    | 759 53                 |
| Google, Inc Cl A         | 04/17/2009           | 09/15/2010       | 20 000          | 9,604 84            | 7,843 74    | 1,761 10               |
|                          |                      |                  | 25 000          | 12,325 31           | 9,804.68    | 2,520 63               |
| Hewlett-Packard Co       | 05/15/2008           | 08/03/2010       | 65 000          | 3,062 94            | 3,000 40    | 62 54                  |
| Hewlett-Packard Co       | 01/22/2008           | 09/15/2010       | 260 000         | 10,311 94           | 11,137 13   | -825 19                |
| Hewlett-Packard Co       | 05/15/2008           | 09/15/2010       | 35 000          | 1,388 15            | 1,615 60    | -227 45                |
|                          |                      |                  | 295 000         | 11,700 09           | 12,752 73   | -1,052 64              |
|                          |                      |                  | 360 000         | 14,763 03           | 15,753 13   | -990 10                |
| Humana, Inc              | 08/30/2006           | 03/08/2010       | 75 000          | 3,586 65            | 4,622 25    | -1,035 60              |
| Humana, Inc              | 08/30/2006           | 05/19/2010       | 10 000          | 453 85              | 616 30      | -162 45                |
| Humana, Inc              | 08/30/2006           | 08/03/2010       | 130 000         | 6,383 17            | 8,011 90    | -1,628 73              |
| Humana, Inc              | 08/30/2006           | 09/15/2010       | 110 000         | 5,677 11            | 6,779 30    | -1,102 19              |
| Humana, Inc              | 12/06/2006           | 09/15/2010       | 75 000          | 3,870 76            | 4,211 61    | -340 85                |
| Humana, Inc              | 06/14/2007           | 09/15/2010       | 90 000          | 4,644 91            | 5,529 06    | -884 15                |
| Humana, Inc              | 05/06/2008           | 09/15/2010       | 125 000         | 6,451 26            | 5,879 70    | 571 56                 |
| Humana, Inc              | 08/06/2008           | 09/15/2010       | 95 000          | 4,902 97            | 4,589 07    | 313 90                 |
| Humana, Inc              | 09/19/2008           | 09/15/2010       | 50 000          | 2,580 51            | 2,199 45    | 381 06                 |
|                          |                      |                  | 545 000         | 28,127 52           | 29,188 19   | -1,060 67              |
|                          |                      |                  | 760 000         | 38,551 19           | 42,438 64   | -3,887 45              |
| Intel                    | 10/25/2007           | 06/22/2010       | 155 000         | 3,244 67            | 4,050 77    | -806 10                |
| Intel                    | 10/25/2007           | 08/03/2010       | 150 000         | 3,103 49            | 3,920 10    | -816 61                |
| Intel                    | 10/25/2007           | 09/15/2010       | 10 000          | 186 62              | 261 34      | -74 72                 |
| Intel                    | 01/16/2008           | 09/15/2010       | 320 000         | 5,971 73            | 6,416 00    | -444 27                |
| Intel                    | 09/09/2009           | 09/15/2010       | 120 000         | 2,239 40            | 2,377 08    | -137 68                |
|                          |                      |                  | 450 000         | 8,397 75            | 9,054 42    | -656 67                |
|                          |                      |                  | 755 000         | 14,745 91           | 17,025 29   | -2,279 38              |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>            | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|-------------------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| LAM Research Corporation      | 03/19/2009           | 06/22/2010       | 15 000          | 606 17              | 368 23      | 237 94                 |
| LAM Research Corporation      | 04/24/2009           | 06/22/2010       | 35 000          | 1,414 39            | 865 17      | 549 22                 |
|                               |                      |                  | 50 000          | 2,020 56            | 1,233 40    | 787 16                 |
| LAM Research Corporation      | 03/19/2009           | 08/03/2010       | 65 000          | 2,718 53            | 1,595 65    | 1,122 88               |
| LAM Research Corporation      | 03/19/2009           | 09/15/2010       | 260 000         | 10,145 34           | 6,382 58    | 3,762 76               |
|                               |                      |                  | 375 000         | 14,884 43           | 9,211 63    | 5,672 80               |
| Liberty Media Corp A          | 01/12/2009           | 09/15/2010       | 23 000          | 1,404 31            | 529 40      | 874 91                 |
| Liberty Media Corp A          | 02/24/2009           | 09/15/2010       | 15 500          | 946 37              | 376 59      | 569 78                 |
| Liberty Media Corp A          | 04/09/2009           | 09/15/2010       | 8 000           | 488 45              | 241 20      | 247 25                 |
|                               |                      |                  | 46 500          | 2,839 13            | 1,147 19    | 1,691 94               |
| Marvell Technology Group, Ltd | 06/10/2009           | 06/23/2010       | 75 000          | 1,367 66            | 967 43      | 400 23                 |
| Marvell Technology Group, Ltd | 06/10/2009           | 06/24/2010       | 80 000          | 1,462 30            | 1,031 92    | 430 38                 |
| Marvell Technology Group, Ltd | 06/10/2009           | 06/28/2010       | 200 000         | 3,414 99            | 2,579 80    | 835 19                 |
| Marvell Technology Group, Ltd | 06/10/2009           | 06/29/2010       | 35 000          | 572 07              | 451 46      | 120 61                 |
| Marvell Technology Group, Ltd | 06/11/2009           | 06/29/2010       | 165 000         | 2,696 92            | 2,068 94    | 627 98                 |
|                               |                      |                  | 200 000         | 3,268 99            | 2,520 40    | 748 59                 |
| Marvell Technology Group, Ltd | 06/11/2009           | 06/30/2010       | 225 000         | 3,620 23            | 2,821 27    | 798 96                 |
|                               |                      |                  | 780 000         | 13,134 17           | 9,920 82    | 3,213 35               |
| Mastercard, Inc               | 05/28/2008           | 03/11/2010       | 10 000          | 2,471 51            | 2,852 66    | -381 15                |
| Mastercard, Inc               | 05/28/2008           | 05/27/2010       | 5 000           | 1,025.85            | 1,426 33    | -400 48                |
| Mastercard, Inc               | 05/28/2008           | 08/03/2010       | 14 000          | 2,801 67            | 3,993 73    | -1,192 06              |
| Mastercard, Inc               | 07/16/2008           | 08/03/2010       | 6 000           | 1,200 71            | 1,615 80    | -415 09                |
|                               |                      |                  | 20 000          | 4,002 38            | 5,609 53    | -1,607 15              |
| Mastercard, Inc               | 07/16/2008           | 08/05/2010       | 9 000           | 1,806 96            | 2,423 70    | -616 74                |
| Mastercard, Inc               | 08/04/2008           | 08/05/2010       | 2 000           | 401 54              | 477 65      | -76 11                 |
|                               |                      |                  | 11 000          | 2,208 50            | 2,901 35    | -692 85                |
| Mastercard, Inc               | 08/01/2008           | 09/15/2010       | 10 000          | 2,111.76            | 2,313 46    | -201 70                |
| Mastercard, Inc               | 08/04/2008           | 09/15/2010       | 53 000          | 11,192 36           | 12,657 72   | -1,465 36              |
|                               |                      |                  | 63 000          | 13,304.12           | 14,971 18   | -1,667 06              |
|                               |                      |                  | 109 000         | 23,012 36           | 27,761 05   | -4,748 69              |
| Mcdermott Intl, Inc           | 05/17/2007           | 09/15/2010       | 420 000         | 5,716 56            | 7,976 38    | -2,259 82              |
| Mcdermott Intl, Inc           | 09/11/2008           | 09/15/2010       | 180 000         | 2,449 96            | 2,617 32    | -167 36                |
| Mcdermott Intl, Inc           | 08/24/2009           | 09/15/2010       | 70 000          | 952 76              | 923 66      | 29 10                  |
|                               |                      |                  | 670 000         | 9,119 28            | 11,517 36   | -2,398 08              |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>     | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|------------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| McDonald's Corp        | 05/27/2009           | 08/03/2010       | 10 000          | 704.46              | 579 60      | 124 86                 |
| McDonald's Corp        | 05/28/2009           | 08/03/2010       | 30 000          | 2,113 38            | 1,744 44    | 368 94                 |
|                        |                      |                  | 40 000          | 2,817 84            | 2,324 04    | 493 80                 |
| McDonald's Corp        | 05/27/2009           | 08/27/2010       | 15 000          | 1,107 75            | 869 40      | 238 35                 |
| McDonald's Corp        | 05/27/2009           | 09/15/2010       | 135 000         | 10,071.10           | 7,824 60    | 2,246 50               |
|                        |                      |                  | 190 000         | 13,996.69           | 11,018 04   | 2,978 65               |
| McKesson Corporation   | 03/23/2007           | 03/09/2010       | 75 000          | 4,513 57            | 4,303 62    | 209 95                 |
| McKesson Corporation   | 03/23/2007           | 03/10/2010       | 15 000          | 899.45              | 860 72      | 38 73                  |
| McKesson Corporation   | 03/23/2007           | 03/11/2010       | 50 000          | 3,007.11            | 2,869 08    | 138 03                 |
| McKesson Corporation   | 03/23/2007           | 03/17/2010       | 140 000         | 8,606 64            | 8,033 43    | 573 21                 |
|                        |                      |                  | 280 000         | 17,026 77           | 16,066 85   | 959 92                 |
| MetLife, Inc           | 08/30/2006           | 08/03/2010       | 5 000           | 209 27              | 275 75      | -66 48                 |
| MetLife, Inc           | 09/13/2006           | 08/03/2010       | 60 000          | 2,511 15            | 3,344 73    | -833 58                |
|                        |                      |                  | 65 000          | 2,720 42            | 3,620 48    | -900 06                |
| MetLife, Inc           | 08/30/2006           | 09/15/2010       | 95 000          | 3,834 33            | 5,239 25    | -1,404 92              |
| MetLife, Inc           | 08/30/2006           | 09/15/2010       | 155 000         | 6,256 00            | 8,548 25    | -2,292 25              |
|                        |                      |                  | 250 000         | 10,090 33           | 13,787 50   | -3,697 17              |
|                        |                      |                  | 315 000         | 12,810 75           | 17,407 98   | -4,597 23              |
| Oracle Corporation     | 07/05/2007           | 09/15/2010       | 435 000         | 11,145 38           | 8,904 45    | 2,240 93               |
| Oracle Corporation     | 08/27/2007           | 09/15/2010       | 125 000         | 3,202 70            | 2,492 50    | 710 20                 |
|                        |                      |                  | 560 000         | 14,348 08           | 11,396 95   | 2,951.13               |
| Qualcomm, Inc          | 08/01/2008           | 03/10/2010       | 45 000          | 1,739 32            | 2,494 65    | -755 33                |
| Qualcomm, Inc          | 08/01/2008           | 05/05/2010       | 40 000          | 1,493 88            | 2,217 47    | -723 59                |
| Qualcomm, Inc          | 08/01/2008           | 05/06/2010       | 20 000          | 728 47              | 1,108 73    | -380 26                |
| Qualcomm, Inc          | 08/01/2008           | 05/24/2010       | 50 000          | 1,780 16            | 2,771 84    | -991 68                |
| Qualcomm, Inc          | 09/12/2008           | 05/24/2010       | 170 000         | 6,052 53            | 8,120 90    | -2,068 37              |
| Qualcomm, Inc          | 01/21/2009           | 05/24/2010       | 90 000          | 3,204 28            | 3,155 40    | 48 88                  |
|                        |                      |                  | 310 000         | 11,036 97           | 14,048 14   | -3,011 17              |
|                        |                      |                  | 415 000         | 14,998 64           | 19,868 99   | -4,870.35              |
| Research In Motion Ltd | 10/14/2008           | 08/03/2010       | 5.000           | 276 63              | 312.70      | -36 07                 |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>             | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|--------------------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| Research In Motion Ltd         | 01/23/2009           | 09/15/2010       | 35 000          | 1,578 19            | 1,801 80    | -223 61                |
|                                |                      |                  | 40 000          | 1,854 82            | 2,114 50    | -259 68                |
| Teva Pharmaceutical Industries | 08/28/2007           | 07/14/2010       | 15 000          | 816 33              | 624 62      | 191 71                 |
| Teva Pharmaceutical Industries | 08/28/2007           | 08/03/2010       | 60 000          | 2,998 91            | 2,498 46    | 500 45                 |
| Teva Pharmaceutical Industries | 08/28/2007           | 09/15/2010       | 295 000         | 16,053 98           | 12,284 09   | 3,769 89               |
|                                |                      |                  | 370 000         | 19,869 22           | 15,407 17   | 4,462 05               |
| The Babcock & Wilcox Compa     | 05/17/2007           | 08/03/2010       | 210 000         | 4,704 28            | 7,306 95    | -2,602 67              |
| The Babcock & Wilcox Compa     | 09/11/2008           | 08/03/2010       | 82 500          | 1,848 11            | 2,197 86    | -349 75                |
|                                |                      |                  | 292 500         | 6,552 39            | 9,504 81    | -2,952 42              |
| The Babcock & Wilcox Compa     | 09/11/2008           | 08/04/2010       | 7 500           | 166 39              | 199 81      | -33 42                 |
| The Babcock & Wilcox Compa     | 08/24/2009           | 08/30/2010       | 14 000          | 326 55              | 338 46      | -11 91                 |
|                                |                      |                  | 314 000         | 7,045 33            | 10,043 08   | -2,997 75              |
| TJX Companies, Inc             | 11/21/2007           | 08/03/2010       | 70 000          | 2,864 57            | 1,996 82    | 867 75                 |
| TJX Companies, Inc             | 11/21/2007           | 08/04/2010       | 30 000          | 1,226 75            | 855 78      | 370 97                 |
| TJX Companies, Inc             | 11/21/2007           | 09/14/2010       | 40 000          | 1,693 60            | 1,141 04    | 552 56                 |
| TJX Companies, Inc             | 11/21/2007           | 09/15/2010       | 225 000         | 9,504 29            | 6,418 35    | 3,085 94               |
|                                |                      |                  | 365 000         | 15,289 21           | 10,411 99   | 4,877 22               |
| Urban Outfitters, Inc          | 07/21/2008           | 08/03/2010       | 10 000          | 315 22              | 313 31      | 1 91                   |
| Urban Outfitters, Inc          | 07/21/2008           | 09/15/2010       | 200 000         | 6,952 08            | 6,266 10    | 685 98                 |
| Urban Outfitters, Inc          | 05/04/2009           | 09/15/2010       | 150 000         | 5,214 06            | 3,025 35    | 2,188 71               |
| Urban Outfitters, Inc          | 07/24/2009           | 09/15/2010       | 125 000         | 4,345 06            | 2,797 16    | 1,547 90               |
|                                |                      |                  | 475 000         | 16,511 20           | 12,088 61   | 4,422 59               |
|                                |                      |                  | 485 000         | 16,826 42           | 12,401 92   | 4,424 50               |
| Wells Fargo & Co               | 03/24/2009           | 09/15/2010       | 505 000         | 13,211 58           | 8,367 85    | 4,843 73               |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>      | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|-------------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| Wells Fargo & Co        | 08/04/2009           | 09/15/2010       | 5 000           | 130 81              | 127 22      | 3 59                   |
|                         |                      |                  | 510 000         | 13,342 39           | 8,495 07    | 4,847 32               |
| Long Term Gains (Sales) |                      |                  |                 | 558,221 95          | 479,807 27  | 78,414 68              |
| Total Gains (Sales)     |                      |                  |                 | 1,072,085 03        | 990,023 38  | 82,061 65              |
| Total Short Term Gains  |                      |                  |                 |                     |             | 3,646 97               |
| Total Long Term Gains   |                      |                  |                 |                     |             | 78,414 68              |
| <b>Total Gains</b>      |                      |                  |                 |                     |             | <b>82,061.65</b>       |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>            | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u>   | <u>Net Proceeds</u> | <u>Cost</u>       | <u>Short Term Gains</u> |
|-------------------------------|----------------------|------------------|-------------------|---------------------|-------------------|-------------------------|
| Loomis Sayles Invest Grade Bo | 03/20/2009           | 02/26/2010       | 5,287 863         | 62,908 61           | 51,000 00         | 11,908 61               |
| Loomis Sayles Invest Grade Bo | 04/01/2009           | 02/26/2010       | 26 961            | 320 75              | 259 63            | 61 12                   |
| Loomis Sayles Invest Grade Bo | 05/01/2009           | 02/26/2010       | 24 091            | 286 60              | 242 36            | 44 24                   |
| Loomis Sayles Invest Grade Bo | 06/01/2009           | 02/26/2010       | 24 017            | 285 72              | 251 46            | 34 26                   |
| Loomis Sayles Invest Grade Bo | 07/01/2009           | 02/26/2010       | 25 384            | 301 99              | 271 36            | 30 63                   |
| Loomis Sayles Invest Grade Bo | 08/03/2009           | 02/26/2010       | 26 168            | 311 32              | 291 51            | 19 81                   |
| Loomis Sayles Invest Grade Bo | 09/01/2009           | 02/26/2010       | 24 964            | 296 99              | 282 09            | 14 90                   |
| Loomis Sayles Invest Grade Bo | 09/16/2009           | 02/26/2010       | 2,169 852         | 25,814 28           | 25,000 00         | 814 28                  |
| Loomis Sayles Invest Grade Bo | 10/01/2009           | 02/26/2010       | 31 460            | 374 27              | 365 25            | 9 02                    |
| Loomis Sayles Invest Grade Bo | 11/02/2009           | 02/26/2010       | 34 098            | 405 66              | 396 56            | 9 10                    |
| Loomis Sayles Invest Grade Bo | 12/01/2009           | 02/26/2010       | 30 933            | 368 00              | 365 32            | 2 68                    |
| Loomis Sayles Invest Grade Bo | 12/29/2009           | 02/26/2010       | 17 483            | 207 99              | 204 20            | 3 79                    |
| Loomis Sayles Invest Grade Bo | 12/29/2009           | 02/26/2010       | 35 494            | 422 27              | 414 57            | 7 70                    |
| Loomis Sayles Invest Grade Bo | 02/01/2010           | 02/26/2010       | 34 323            | 408 33              | 405 01            | 3 32                    |
|                               |                      |                  | <u>7,793 091</u>  | <u>92,712 78</u>    | <u>79,749 32</u>  | <u>12,963 46</u>        |
| PIMCO Short-Term Inst'l Fund  | 12/03/2009           | 07/21/2010       | 20,242 915        | 199,975 00          | 199,199 34        | 775 66                  |
| PIMCO Total Return            | 09/16/2009           | 02/26/2010       | 7,918 944         | 87,017 77           | 85,945 53         | 1,072 24                |
| PIMCO Total Return            | 09/30/2009           | 02/26/2010       | 16 254            | 178 61              | 177 49            | 1 12                    |
| PIMCO Total Return            | 10/30/2009           | 02/26/2010       | 33 476            | 367 85              | 366 23            | 1 62                    |
| PIMCO Total Return            | 11/30/2009           | 02/26/2010       | 26 884            | 295 42              | 296 80            | -1 38                   |
| PIMCO Total Return            | 12/09/2009           | 02/26/2010       | 17 757            | 195 12              | 193 37            | 1 75                    |
| PIMCO Total Return            | 12/09/2009           | 02/26/2010       | 63 836            | 701 47              | 695 17            | 6 30                    |
| PIMCO Total Return            | 12/09/2009           | 02/26/2010       | 9,180 441         | 100,879 82          | 100,000 00        | 879 82                  |
| PIMCO Total Return            | 01/29/2010           | 02/26/2010       | 41 852            | 459 89              | 458 70            | 1 19                    |
| PIMCO Total Return            | 02/26/2010           | 02/26/2010       | 41 233            | 453 09              | 453 15            | -0 06                   |
|                               |                      |                  | <u>17,340 677</u> | <u>190,549 04</u>   | <u>188,586 44</u> | <u>1,962 60</u>         |
| PIMCO Total Return            | 09/16/2009           | 03/02/2010       | 5 019             | 55 26               | 54 47             | 0 79                    |
| PIMCO Total Return            | 12/31/2009           | 03/02/2010       | 3 973             | 43 74               | 42 91             | 0 83                    |
|                               |                      |                  | <u>8 992</u>      | <u>99 00</u>        | <u>97 38</u>      | <u>1 62</u>             |
| PIMCO Total Return            | 12/31/2009           | 03/03/2010       | 8 992             | 99 00               | 97 11             | 1 89                    |
| PIMCO Total Return            | 12/31/2009           | 03/04/2010       | 8 992             | 99 00               | 97 11             | 1 89                    |
| PIMCO Total Return            | 12/31/2009           | 03/05/2010       | 9 008             | 99 00               | 97 29             | 1 71                    |
| PIMCO Total Return            | 12/31/2009           | 03/08/2010       | 5 249             | 57 69               | 56 69             | 1 00                    |
|                               |                      |                  | <u>17,381 910</u> | <u>191,002 73</u>   | <u>189,032.02</u> | <u>1,970 71</u>         |
| Short Term Gains (Sales)      |                      |                  |                   | 483,690 51          | 467,980 68        | 15,709 83               |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>                    | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u>   | <u>Net Proceeds</u> | <u>Cost</u>      | <u>Long Term Gains</u> |
|---------------------------------------|----------------------|------------------|-------------------|---------------------|------------------|------------------------|
| Chase Issuance<br>01/15/2013 4.23%    | 04/21/2006           | 05/17/2010       | 25,000.000        | 25,000.00           | 24,087.50        | 912.50                 |
| Discover Mstr Flt<br>09/15/2012 0.00% | 07/25/2008           | 03/15/2010       | 20,000.000        | 20,000.00           | 19,718.75        | 281.25                 |
| US Bancorp<br>07/29/2010 4.50%        | 06/05/2008           | 07/29/2010       | 15,000.000        | 15,000.00           | 15,000.00        | 0.00                   |
| US Treas Nt<br>02/28/2013 2.75%       | 03/26/2008           | 05/27/2010       | 30,000.000        | 31,250.39           | 30,276.01        | 974.38                 |
| US Treas Nt<br>08/15/2018 4.00%       | 10/08/2008           | 05/27/2010       | 10,000.000        | 10,682.03           | 10,504.73        | 177.30                 |
| US Treas Nt<br>05/31/2010 2.625%      | 06/06/2008           | 05/31/2010       | 90,000.000        | 90,000.00           | 90,000.00        | 0.00                   |
| UST Infl Idx<br>07/15/2017 2.625%     | 11/07/2007           | 05/27/2010       | 40,000.000        | 46,761.52           | 42,767.77        | 3,993.75               |
| UST Infl Idx<br>07/15/2017 2.625%     | 11/19/2007           | 05/27/2010       | 25,000.000        | 29,225.95           | 27,100.47        | 2,125.48               |
|                                       |                      |                  | <u>65,000.000</u> | <u>75,987.47</u>    | <u>69,868.24</u> | <u>6,119.23</u>        |
| UST Infl Index<br>01/15/2014 2.00%    | 02/13/2008           | 05/27/2010       | 40,000.000        | 49,876.49           | 48,470.72        | 1,405.77               |
| Long Term Gains (Sales)               |                      |                  |                   | 317,796.38          | 307,925.95       | 9,870.43               |
| Total Gains (Sales)                   |                      |                  |                   | 801,486.89          | 775,906.63       | 25,580.26              |
| Total Short Term Gains                |                      |                  |                   |                     |                  | 15,709.83              |
| Total Long Term Gains                 |                      |                  |                   |                     |                  | 9,870.43               |
| <b>Total Gains</b>                    |                      |                  |                   |                     |                  | <b>25,580.26</b>       |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>         | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u>      | <u>Short Term Gains</u> |
|----------------------------|----------------------|------------------|-----------------|---------------------|------------------|-------------------------|
| Abbott Laboratories        | 06/21/2010           | 09/15/2010       | 64 000          | 3,305 67            | 3,092 43         | 213 24                  |
| Accenture Ltd Cl A         | 04/22/2010           | 08/04/2010       | 21 000          | 846 28              | 914 10           | -67 82                  |
| Accenture Ltd Cl A         | 04/22/2010           | 09/15/2010       | 83 000          | 3,288 40            | 3,612 88         | -324 48                 |
|                            |                      |                  | <u>104 000</u>  | <u>4,134 68</u>     | <u>4,526 98</u>  | <u>-392 30</u>          |
| American Electric Power Co | 06/21/2010           | 08/04/2010       | 38 000          | 1,367 22            | 1,294 24         | 72 98                   |
| American Express Co        | 08/25/2009           | 01/26/2010       | 64 000          | 2,446 69            | 2,094 31         | 352 38                  |
| American Express Co        | 10/19/2009           | 01/26/2010       | 98 000          | 3,746 49            | 3,516 09         | 230 40                  |
|                            |                      |                  | <u>162 000</u>  | <u>6,193 18</u>     | <u>5,610 40</u>  | <u>582 78</u>           |
| American Express Co        | 08/25/2009           | 08/04/2010       | 14 000          | 618 36              | 458 13           | 160 23                  |
|                            |                      |                  | <u>176 000</u>  | <u>6,811 54</u>     | <u>6,068 53</u>  | <u>743 01</u>           |
| Amgen, Inc                 | 06/21/2010           | 08/04/2010       | 39 000          | 2,179 67            | 2,218 32         | -38 65                  |
| Amgen, Inc                 | 06/14/2010           | 09/15/2010       | 29 000          | 1,606 05            | 1,581 36         | 24 69                   |
| Amgen, Inc                 | 06/21/2010           | 09/15/2010       | 3 000           | 166 15              | 170 64           | -4 49                   |
|                            |                      |                  | <u>32 000</u>   | <u>1,772 20</u>     | <u>1,752 00</u>  | <u>20 20</u>            |
|                            |                      |                  | <u>71 000</u>   | <u>3,951 87</u>     | <u>3,970 32</u>  | <u>-18 45</u>           |
| Anadarko Petroleum Corp    | 06/17/2009           | 06/14/2010       | 73 000          | 3,070 22            | 3,460 11         | -389 89                 |
| Anadarko Petroleum Corp    | 08/25/2009           | 06/14/2010       | 58 000          | 2,439 35            | 3,132 57         | -693 22                 |
|                            |                      |                  | <u>131 000</u>  | <u>5,509 57</u>     | <u>6,592 68</u>  | <u>-1,083 11</u>        |
| Apache Corp                | 10/02/2009           | 08/04/2010       | 5 000           | 489 24              | 449 72           | 39 52                   |
| Apache Corp                | 06/14/2010           | 08/04/2010       | 24 000          | 2,348 37            | 2,283 10         | 65 27                   |
|                            |                      |                  | <u>29 000</u>   | <u>2,837 61</u>     | <u>2,732 82</u>  | <u>104 79</u>           |
| Apache Corp                | 10/02/2009           | 09/15/2010       | 83 000          | 7,811 91            | 7,465 27         | 346 64                  |
|                            |                      |                  | <u>112 000</u>  | <u>10,649 52</u>    | <u>10,198 09</u> | <u>451 43</u>           |
| Applied Materials, Inc     | 09/09/2009           | 02/01/2010       | 326 000         | 4,065 16            | 4,500 04         | -434 88                 |
| Baxter International, Inc  | 04/22/2010           | 05/19/2010       | 86 000          | 3,658 89            | 4,309 87         | -650 98                 |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>         | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u>      | <u>Short Term Gains</u> |
|----------------------------|----------------------|------------------|-----------------|---------------------|------------------|-------------------------|
| Best Buy Company, Inc      | 11/11/2009           | 07/14/2010       | 79 000          | 2,760 97            | 3,329 85         | -568 88                 |
| Boeing Co                  | 01/22/2010           | 08/04/2010       | 18 000          | 1,248 11            | 1,058 67         | 189 44                  |
| Boeing Co                  | 01/22/2010           | 09/15/2010       | 72 000          | 4,510 72            | 4,234 69         | 276 03                  |
|                            |                      |                  | <u>90 000</u>   | <u>5,758 83</u>     | <u>5,293 36</u>  | <u>465 47</u>           |
| Boston Properties, Inc     | 05/15/2009           | 01/06/2010       | 5 000           | 342 18              | 224 80           | 117 38                  |
| Boston Scientific Corp     | 04/23/2009           | 04/22/2010       | 313 000         | 2,245 36            | 2,585 38         | -340 02                 |
| Capital One Financial Corp | 03/31/2009           | 01/06/2010       | 152 000         | 6,187 27            | 1,893 28         | 4,293 99                |
| Caterpillar, Inc           | 11/11/2009           | 08/04/2010       | 43 000          | 3,060 68            | 2,575 89         | 484 79                  |
| Caterpillar, Inc           | 11/11/2009           | 09/15/2010       | 72 000          | 5,200 47            | 4,313 12         | 887 35                  |
|                            |                      |                  | <u>115 000</u>  | <u>8,261 15</u>     | <u>6,889 01</u>  | <u>1,372 14</u>         |
| ChevronTexaco Corp         | 07/10/2009           | 06/21/2010       | 46 000          | 3,490 27            | 2,821 18         | 669 09                  |
| ConocoPhillips             | 04/22/2010           | 08/04/2010       | 84 000          | 4,826 55            | 4,706 77         | 119 78                  |
| ConocoPhillips             | 04/22/2010           | 09/15/2010       | 143 000         | 7,944 95            | 8,012 72         | -67 77                  |
| ConocoPhillips             | 06/01/2010           | 09/15/2010       | 81 000          | 4,500 28            | 4,184 79         | 315 49                  |
| ConocoPhillips             | 06/21/2010           | 09/15/2010       | 51 000          | 2,833 51            | 2,851 76         | -18 25                  |
|                            |                      |                  | <u>275 000</u>  | <u>15,278 74</u>    | <u>15,049 27</u> | <u>229 47</u>           |
|                            |                      |                  | <u>359 000</u>  | <u>20,105 29</u>    | <u>19,756 04</u> | <u>349 25</u>           |
| Corning, Inc               | 02/01/2010           | 07/28/2010       | 227 000         | 4,010 09            | 4,122 32         | -112 23                 |
| Covidien PLC               | 06/21/2010           | 08/04/2010       | 39 000          | 1,482 75            | 1,687 24         | -204 49                 |
| Covidien PLC               | 06/21/2010           | 09/15/2010       | 55 000          | 2,088 98            | 2,379.44         | -290 46                 |
|                            |                      |                  | <u>94 000</u>   | <u>3,571 73</u>     | <u>4,066 68</u>  | <u>-494 95</u>          |
| CVS Caremark Corporation   | 06/14/2010           | 08/04/2010       | 14 000          | 428 81              | 451 49           | -22 68                  |
| CVS Caremark Corporation   | 06/14/2010           | 09/15/2010       | 118 000         | 3,465 83            | 3,805 38         | -339 55                 |
| CVS Caremark Corporation   | 07/28/2010           | 09/15/2010       | 84 000          | 2,467 21            | 2,656 08         | -188 87                 |
|                            |                      |                  | <u>202 000</u>  | <u>5,933 04</u>     | <u>6,461.46</u>  | <u>-528 42</u>          |
|                            |                      |                  | <u>216 000</u>  | <u>6,361 85</u>     | <u>6,912 95</u>  | <u>-551 10</u>          |
| Emerson Electric Co        | 02/26/2009           | 01/22/2010       | 73 000          | 3,124 74            | 2,048 38         | 1,076 36                |
| Exxon Mobil Corp           | 02/26/2010           | 09/15/2010       | 39 000          | 2,376 31            | 2,538 12         | -161 81                 |
| Exxon Mobil Corp           | 07/14/2010           | 09/15/2010       | 76 000          | 4,630 76            | 4,485 24         | 145 52                  |
|                            |                      |                  | <u>115 000</u>  | <u>7,007 07</u>     | <u>7,023 36</u>  | <u>-16 29</u>           |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>          | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u>      | <u>Short Term Gains</u> |
|-----------------------------|----------------------|------------------|-----------------|---------------------|------------------|-------------------------|
| Fifth Third Bancorp         | 06/21/2010           | 08/04/2010       | 72 000          | 955 42              | 980 64           | -25 22                  |
| Fifth Third Bancorp         | 06/21/2010           | 09/15/2010       | 30 000          | 374 72              | 408 60           | -33 88                  |
|                             |                      |                  | <u>102 000</u>  | <u>1,330 14</u>     | <u>1,389 24</u>  | <u>-59.10</u>           |
| Freeport McMoran Copper & G | 06/21/2010           | 08/04/2010       | 23 000          | 1,728.65            | 1,575 94         | 152.71                  |
| General Dynamics Corp       | 10/19/2009           | 09/15/2010       | 37 000          | 2,265 91            | 2,543 64         | -277 73                 |
| General Electric Co         | 02/26/2010           | 08/04/2010       | 152 000         | 2,506 43            | 2,432 51         | 73 92                   |
| General Electric Co         | 02/26/2010           | 09/15/2010       | 14 000          | 229 63              | 224 05           | 5 58                    |
|                             |                      |                  | <u>166 000</u>  | <u>2,736 06</u>     | <u>2,656 56</u>  | <u>79 50</u>            |
| Halliburton Co              | 10/26/2009           | 06/14/2010       | 246 000         | 5,984 39            | 7,276 88         | -1,292 49               |
| Halliburton Co              | 11/11/2009           | 06/14/2010       | 121 000         | 2,943 54            | 3,786 43         | -842 89                 |
| Halliburton Co              | 01/15/2010           | 06/14/2010       | 110 000         | 2,675 94            | 3,709 83         | -1,033 89               |
|                             |                      |                  | <u>477 000</u>  | <u>11,603 87</u>    | <u>14,773 14</u> | <u>-3,169 27</u>        |
| Hess Corporation            | 06/21/2010           | 08/04/2010       | 23 000          | 1,299.76            | 1,290 30         | 9 46                    |
| Hess Corporation            | 06/21/2010           | 08/04/2010       | 9 000           | 508 59              | 504 90           | 3 69                    |
| Hess Corporation            | 06/21/2010           | 09/15/2010       | 16 000          | 879 38              | 897 60           | -18 22                  |
|                             |                      |                  | <u>48 000</u>   | <u>2,687 73</u>     | <u>2,692 80</u>  | <u>-5 07</u>            |
| Intel                       | 09/09/2009           | 08/04/2010       | 34 000          | 704 80              | 681 36           | 23 44                   |
| Intel                       | 09/09/2009           | 08/24/2010       | 90 000          | 1,662 27            | 1,803 60         | -141 33                 |
|                             |                      |                  | <u>124 000</u>  | <u>2,367 07</u>     | <u>2,484 96</u>  | <u>-117 89</u>          |
| Johnson & Johnson           | 04/14/2010           | 09/15/2010       | 69 000          | 4,215 28            | 4,514 83         | -299 55                 |
| Johnson & Johnson           | 04/22/2010           | 09/15/2010       | 35 000          | 2,138.18            | 2,256 10         | -117 92                 |
| Johnson & Johnson           | 06/01/2010           | 09/15/2010       | 104 000         | 6,353 46            | 6,151 80         | 201 66                  |
| Johnson & Johnson           | 08/04/2010           | 09/15/2010       | 31 000          | 1,893 82            | 1,854 11         | 39 71                   |
|                             |                      |                  | <u>239 000</u>  | <u>14,600.74</u>    | <u>14,776 84</u> | <u>-176 10</u>          |
| Kellogg Company             | 11/30/2009           | 09/15/2010       | 88 000          | 4,487 23            | 4,609 80         | -122 57                 |
| Kellogg Company             | 06/14/2010           | 09/15/2010       | 30 000          | 1,529.73            | 1,640 64         | -110 91                 |
|                             |                      |                  | <u>118 000</u>  | <u>6,016 96</u>     | <u>6,250 44</u>  | <u>-233.48</u>          |
| Keycorp, Inc                | 06/01/2010           | 08/04/2010       | 141 000         | 1,192 97            | 1,137 57         | 55.40                   |
| Keycorp, Inc                | 01/26/2010           | 09/15/2010       | 535 000         | 4,404 05            | 3,743 72         | 660 33                  |
| Keycorp, Inc                | 06/01/2010           | 09/15/2010       | 131 000         | 1,078 37            | 1,056 90         | 21 47                   |
|                             |                      |                  | <u>666 000</u>  | <u>5,482.42</u>     | <u>4,800 62</u>  | <u>681 80</u>           |
|                             |                      |                  | <u>807 000</u>  | <u>6,675.39</u>     | <u>5,938 19</u>  | <u>737 20</u>           |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>    | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u>      | <u>Short Term Gains</u> |
|-----------------------|----------------------|------------------|-----------------|---------------------|------------------|-------------------------|
| Kimberly Clark Corp   | 06/01/2010           | 09/15/2010       | 92 000          | 6,130 04            | 5,626 78         | 503 26                  |
| Kimberly Clark Corp   | 08/24/2010           | 09/15/2010       | 24 000          | 1,599 14            | 1,554 46         | 44 68                   |
|                       |                      |                  | <u>116 000</u>  | <u>7,729 18</u>     | <u>7,181 24</u>  | <u>547 94</u>           |
| Kraft Foods, Inc      | 08/24/2010           | 09/15/2010       | 152 000         | 4,794 30            | 4,460.56         | 333 74                  |
| Lincoln National Corp | 06/21/2010           | 08/04/2010       | 50 000          | 1,267 47            | 1,385 13         | -117 66                 |
| Lincoln National Corp | 06/21/2010           | 09/15/2010       | 40 000          | 1,018 42            | 1,108 10         | -89 68                  |
|                       |                      |                  | <u>90 000</u>   | <u>2,285 89</u>     | <u>2,493 23</u>  | <u>-207 34</u>          |
| McDonald's Corp       | 08/25/2009           | 08/04/2010       | 33 000          | 2,334 38            | 1,858 22         | 476 16                  |
| McDonald's Corp       | 01/06/2010           | 08/04/2010       | 46 000          | 3,253 98            | 2,818 92         | 435 06                  |
|                       |                      |                  | <u>79 000</u>   | <u>5,588 36</u>     | <u>4,677 14</u>  | <u>911 22</u>           |
| Merck & Co, Inc       | 06/01/2010           | 09/15/2010       | 83 000          | 3,032 11            | 2,811 72         | 220 39                  |
| MetLife, Inc          | 03/05/2010           | 08/04/2010       | 32 000          | 1,337 90            | 1,244 80         | 93 10                   |
| MetLife, Inc          | 06/21/2010           | 08/04/2010       | 34 000          | 1,421 51            | 1,412 33         | 9 18                    |
|                       |                      |                  | <u>66 000</u>   | <u>2,759 41</u>     | <u>2,657 13</u>  | <u>102 28</u>           |
| MetLife, Inc          | 03/05/2010           | 09/15/2010       | 26 000          | 1,054 59            | 1,011 40         | 43 19                   |
|                       |                      |                  | <u>92 000</u>   | <u>3,814 00</u>     | <u>3,668 53</u>  | <u>145 47</u>           |
| National Grid PLC ADR | 09/01/2010           | 09/15/2010       | 91 000          | 4,008 48            | 3,891 68         | 116 80                  |
| P G & E Corp          | 10/19/2009           | 08/04/2010       | 55 000          | 2,502 00            | 2,364 97         | 137 03                  |
| P G & E Corp          | 10/19/2009           | 09/15/2010       | 216 000         | 9,828 26            | 9,287 90         | 540 36                  |
|                       |                      |                  | <u>271 000</u>  | <u>12,330 26</u>    | <u>11,652 87</u> | <u>677 39</u>           |
| Paccar, Inc           | 10/26/2009           | 08/04/2010       | 40 000          | 1,833 16            | 1,561 78         | 271 38                  |
| Paccar, Inc           | 10/26/2009           | 09/15/2010       | 110 000         | 4,999 64            | 4,294 88         | 704 76                  |
|                       |                      |                  | <u>150 000</u>  | <u>6,832 80</u>     | <u>5,856 66</u>  | <u>976 14</u>           |
| Parker-Hannifin Corp  | 08/04/2010           | 09/15/2010       | 57 000          | 3,837 24            | 3,681 06         | 156 18                  |
| Peabody Energy Corp   | 01/06/2010           | 08/04/2010       | 54 000          | 2,642.17            | 2,736 72         | -94 55                  |
| Peabody Energy Corp   | 01/06/2010           | 09/15/2010       | 64 000          | 2,937 04            | 3,243 53         | -306 49                 |
| Peabody Energy Corp   | 02/26/2010           | 09/15/2010       | 49 000          | 2,248 67            | 2,235 36         | 13 31                   |
| Peabody Energy Corp   | 06/14/2010           | 09/15/2010       | 62 000          | 2,845 25            | 2,504 68         | 340 57                  |
| Peabody Energy Corp   | 06/21/2010           | 09/15/2010       | 55 000          | 2,524 02            | 2,326 17         | 197 85                  |
|                       |                      |                  | <u>230 000</u>  | <u>10,554 98</u>    | <u>10,309 74</u> | <u>245 24</u>           |
|                       |                      |                  | <u>284 000</u>  | <u>13,197 15</u>    | <u>13,046 46</u> | <u>150 69</u>           |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>              | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u>     | <u>Short Term Gains</u> |
|---------------------------------|----------------------|------------------|-----------------|---------------------|-----------------|-------------------------|
| Pfizer, Inc                     | 06/14/2010           | 09/15/2010       | 161 000         | 2,791 85            | 2,487 18        | 304 67                  |
| PNC Financial Services Group,   | 04/23/2009           | 04/22/2010       | 41 000          | 2,769 54            | 1,574 90        | 1,194 64                |
| Public Service Enterprise Group | 07/28/2010           | 08/04/2010       | 20 000          | 658 78              | 688 67          | -29 89                  |
| Public Service Enterprise Group | 07/28/2010           | 09/15/2010       | 92 000          | 2,897 03            | 3,167 86        | -270 83                 |
|                                 |                      |                  | <u>112 000</u>  | <u>3,555 81</u>     | <u>3,856 53</u> | <u>-300 72</u>          |
| Rogers Communications, Inc      | 02/26/2010           | 08/04/2010       | 26 000          | 922 46              | 859 12          | 63 34                   |
| Rogers Communications, Inc      | 02/01/2010           | 09/15/2010       | 156 000         | 5,678 45            | 4,949 35        | 729 10                  |
| Rogers Communications, Inc      | 02/26/2010           | 09/15/2010       | 56 000          | 2,038 43            | 1,850 41        | 188.02                  |
|                                 |                      |                  | <u>212 000</u>  | <u>7,716 88</u>     | <u>6,799 76</u> | <u>917 12</u>           |
|                                 |                      |                  | <u>238 000</u>  | <u>8,639 34</u>     | <u>7,658 88</u> | <u>980 46</u>           |
| Sempra Energy                   | 05/03/2010           | 08/04/2010       | 17 000          | 875 14              | 858 49          | 16 65                   |
| Sempra Energy                   | 05/03/2010           | 09/15/2010       | 100 000         | 5,325 01            | 5,049 97        | 275 04                  |
| Sempra Energy                   | 05/03/2010           | 09/15/2010       | 52 000          | 2,769 09            | 2,625 99        | 143 10                  |
|                                 |                      |                  | <u>169 000</u>  | <u>8,969.24</u>     | <u>8,534 45</u> | <u>434 79</u>           |
| The Southern Company            | 10/19/2009           | 05/03/2010       | 236 000         | 8,168 32            | 7,777 76        | 390 56                  |
| TJX Companies, Inc              | 06/14/2010           | 08/04/2010       | 42 000          | 1,731.63            | 1,938 30        | -206 67                 |
| TJX Companies, Inc              | 06/14/2010           | 09/15/2010       | 14 000          | 594 46              | 646 10          | -51 64                  |
|                                 |                      |                  | <u>56 000</u>   | <u>2,326 09</u>     | <u>2,584 40</u> | <u>-258 31</u>          |
| TOTAL Fina Elf S A              | 02/26/2009           | 02/26/2010       | 109 000         | 6,068 11            | 5,301 52        | 766 59                  |
| TOTAL Fina Elf S A              | 03/11/2009           | 02/26/2010       | 32 000          | 1,781 47            | 1,556 77        | 224 70                  |
|                                 |                      |                  | <u>141 000</u>  | <u>7,849 58</u>     | <u>6,858 29</u> | <u>991 29</u>           |
| Transocean Sedco Forex Ltd      | 06/25/2009           | 06/01/2010       | 88 000          | 4,575 08            | 6,662 40        | -2,087 32               |
| Transocean Sedco Forex Ltd      | 03/22/2010           | 06/01/2010       | 35 000          | 1,819 63            | 2,866 07        | -1,046 44               |
|                                 |                      |                  | <u>123 000</u>  | <u>6,394 71</u>     | <u>9,528 47</u> | <u>-3,133 76</u>        |
| Tyco Intl Ltd                   | 10/26/2009           | 08/04/2010       | 29 000          | 1,114 74            | 983 19          | 131 55                  |
| Tyco Intl Ltd                   | 10/26/2009           | 09/15/2010       | 119 000         | 4,638 78            | 4,034 49        | 604 29                  |
|                                 |                      |                  | <u>148 000</u>  | <u>5,753 52</u>     | <u>5,017 68</u> | <u>735 84</u>           |
| U S Steel Corp                  | 11/11/2009           | 08/04/2010       | 26.000          | 1,251 35            | 1,030 36        | 220 99                  |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Short Term Capital Gains and Losses**

| <u>Description</u>       | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Short Term Gains</u> |
|--------------------------|----------------------|------------------|-----------------|---------------------|-------------|-------------------------|
| U S Steel Corp           | 11/11/2009           | 09/15/2010       | 99 000          | 4,523 23            | 3,923 29    | 599.94                  |
|                          |                      |                  | 125 000         | 5,774 58            | 4,953 65    | 820 93                  |
| Union Pacific Corp       | 11/11/2009           | 08/04/2010       | 31 000          | 2,386 02            | 1,945 29    | 440 73                  |
| Union Pacific Corp       | 11/11/2009           | 09/15/2010       | 74 000          | 5,871 97            | 4,643 59    | 1,228 38                |
| Union Pacific Corp       | 11/11/2009           | 09/15/2010       | 26 000          | 2,063 13            | 1,631 53    | 431 60                  |
| Union Pacific Corp       | 11/11/2009           | 09/15/2010       | 26 000          | 2,063 10            | 1,631 53    | 431 57                  |
|                          |                      |                  | 157 000         | 12,384 22           | 9,851 94    | 2,532 28                |
| US Bancorp               | 03/22/2010           | 08/04/2010       | 76 000          | 1,816 36            | 2,003 29    | -186 93                 |
| US Bancorp               | 01/06/2010           | 09/15/2010       | 138 000         | 3,125 93            | 3,276 06    | -150 13                 |
| US Bancorp               | 03/22/2010           | 09/15/2010       | 12 000          | 271 82              | 316 31      | -44 49                  |
|                          |                      |                  | 150 000         | 3,397 75            | 3,592 37    | -194 62                 |
|                          |                      |                  | 226 000         | 5,214 11            | 5,595 66    | -381 55                 |
| Vornado Realty Trust     | 10/19/2009           | 08/04/2010       | 19 000          | 1,627 70            | 1,194 14    | 433 56                  |
| Vornado Realty Trust     | 10/19/2009           | 09/15/2010       | 71 000          | 6,174 77            | 4,462 29    | 1,712 48                |
|                          |                      |                  | 90 000          | 7,802 47            | 5,656 43    | 2,146 04                |
| Wal-Mart Stores, Inc     | 06/21/2010           | 09/15/2010       | 75 000          | 3,969 83            | 3,831 75    | 138 08                  |
| Wal-Mart Stores, Inc     | 07/14/2010           | 09/15/2010       | 46 000          | 2,434 83            | 2,309 15    | 125.68                  |
|                          |                      |                  | 121 000         | 6,404 66            | 6,140 90    | 263.76                  |
| Walt Disney Co           | 03/05/2010           | 08/04/2010       | 26 000          | 907 90              | 861 38      | 46 52                   |
| Walt Disney Co           | 03/05/2010           | 09/15/2010       | 97 000          | 3,322 19            | 3,213 61    | 108.58                  |
|                          |                      |                  | 123 000         | 4,230 09            | 4,074 99    | 155.10                  |
| XTO Energy, Inc          | 06/25/2009           | 01/06/2010       | 65 000          | 3,127.07            | 2,463 88    | 663 19                  |
| XTO Energy, Inc          | 06/25/2009           | 01/15/2010       | 84 000          | 3,970 83            | 3,184 08    | 786 75                  |
|                          |                      |                  | 149 000         | 7,097 90            | 5,647 96    | 1,449 94                |
| Short Term Gains (Sales) |                      |                  |                 | 342,269 24          | 327,822 74  | 14,446 50               |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>            | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u>     | <u>Long Term Gains</u> |
|-------------------------------|----------------------|------------------|-----------------|---------------------|-----------------|------------------------|
| Abbott Laboratories           | 02/06/2008           | 08/04/2010       | 53 000          | 2,661 08            | 3,055 29        | -394 21                |
| Abbott Laboratories           | 02/06/2008           | 09/15/2010       | 3 000           | 154 96              | 172 94          | -17 98                 |
| Abbott Laboratories           | 04/23/2009           | 09/15/2010       | 157 000         | 8,109 22            | 6,722 69        | 1,386 53               |
|                               |                      |                  | 160 000         | 8,264 18            | 6,895 63        | 1,368 55               |
|                               |                      |                  | <u>213.000</u>  | <u>10,925 26</u>    | <u>9,950 92</u> | <u>974 34</u>          |
| ACE Limited                   | 09/18/2008           | 03/22/2010       | 71 000          | 3,698 56            | 4,132 15        | -433 59                |
| Air Products & Chemicals, Inc | 10/20/2008           | 08/04/2010       | 24 000          | 1,843 64            | 1,438 88        | 404 76                 |
| Air Products & Chemicals, Inc | 10/20/2008           | 09/15/2010       | 36 000          | 2,932 15            | 2,158 33        | 773 82                 |
| Air Products & Chemicals, Inc | 02/26/2009           | 09/15/2010       | 21 000          | 1,710 42            | 1,014 51        | 695 91                 |
|                               |                      |                  | 57 000          | 4,642 57            | 3,172 84        | 1,469 73               |
|                               |                      |                  | <u>81 000</u>   | <u>6,486 21</u>     | <u>4,611 72</u> | <u>1,874 49</u>        |
| American Electric Power Co    | 04/17/2009           | 08/04/2010       | 27 000          | 971 44              | 728 74          | 242 70                 |
| American Electric Power Co    | 04/17/2009           | 09/15/2010       | 157 000         | 5,682 06            | 4,237.46        | 1,444 60               |
| American Electric Power Co    | 05/27/2009           | 09/15/2010       | 141 000         | 5,102 98            | 3,632 15        | 1,470 83               |
|                               |                      |                  | 298 000         | 10,785 04           | 7,869 61        | 2,915 43               |
|                               |                      |                  | <u>325 000</u>  | <u>11,756 48</u>    | <u>8,598 35</u> | <u>3,158 13</u>        |
| American Express Co           | 08/25/2009           | 09/15/2010       | 100 000         | 4,083 03            | 3,272 35        | 810 68                 |
| American Express Co           | 08/25/2009           | 09/15/2010       | 50 000          | 2,041.47            | 1,636 17        | 405 30                 |
| American Express Co           | 08/25/2009           | 09/15/2010       | 25 000          | 1,020 76            | 818 09          | 202 67                 |
|                               |                      |                  | <u>175 000</u>  | <u>7,145.26</u>     | <u>5,726 61</u> | <u>1,418 65</u>        |
| Amgen, Inc                    | 07/10/2008           | 09/15/2010       | 82 000          | 4,541 24            | 4,210 54        | 330 70                 |
| Amgen, Inc                    | 02/26/2009           | 09/15/2010       | 36 000          | 1,993 72            | 2,014 20        | -20 48                 |
|                               |                      |                  | 118 000         | 6,534 96            | 6,224 74        | 310 22                 |
| Anadarko Petroleum Corp       | 07/10/2008           | 06/14/2010       | 98 000          | 4,121 66            | 6,661 49        | -2,539 83              |
| Anadarko Petroleum Corp       | 04/23/2009           | 06/14/2010       | 61 000          | 2,565 53            | 2,556 39        | 9 14                   |
|                               |                      |                  | 159 000         | 6,687 19            | 9,217 88        | -2,530 69              |
| Apache Corp                   | 02/06/2008           | 08/04/2010       | 17 000          | 1,663 43            | 1,613 78        | 49 65                  |
| Apache Corp                   | 08/03/2009           | 09/15/2010       | 17 000          | 1,600 03            | 1,474 57        | 125 46                 |
| Apache Corp                   | 08/03/2009           | 09/15/2010       | 41 000          | 3,858 89            | 3,556 33        | 302 56                 |
|                               |                      |                  | <u>75 000</u>   | <u>7,122 35</u>     | <u>6,644 68</u> | <u>477 67</u>          |
| AT&T, Inc                     | 02/06/2008           | 02/01/2010       | 1 000           | 25 31               | 36 61           | -11 30                 |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>    | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|-----------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| AT&T, Inc             | 02/06/2008           | 02/01/2010       | 180 000         | 4,555 74            | 6,589 26    | -2,033 52              |
|                       |                      |                  | 181 000         | 4,581 05            | 6,625 87    | -2,044 82              |
| AT&T, Inc             | 02/06/2008           | 03/05/2010       | 97 000          | 2,417 26            | 3,550 88    | -1,133 62              |
| AT&T, Inc             | 02/06/2008           | 08/04/2010       | 26 000          | 693 67              | 951 78      | -258 11                |
| AT&T, Inc             | 07/28/2008           | 08/04/2010       | 40 000          | 1,067 18            | 1,232 00    | -164 82                |
|                       |                      |                  | 66 000          | 1,760 85            | 2,183 78    | -422 93                |
| AT&T, Inc             | 07/28/2008           | 09/15/2010       | 137 000         | 3,849 91            | 4,219 60    | -369 69                |
| AT&T, Inc             | 06/25/2009           | 09/15/2010       | 18 000          | 505 83              | 446 56      | 59 27                  |
|                       |                      |                  | 155 000         | 4,355 74            | 4,666 16    | -310 42                |
| AT&T, Inc             | 06/25/2009           | 09/15/2010       | 100 000         | 2,809 95            | 2,480 90    | 329 05                 |
|                       |                      |                  | 599 000         | 15,924 85           | 19,507 59   | -3,582 74              |
| Avalonbay Cmnty, Inc  | 01/14/2009           | 08/04/2010       | 18 000          | 1,847 12            | 928 32      | 918 80                 |
| Avalonbay Cmnty, Inc  | 01/14/2009           | 09/15/2010       | 31 000          | 3,459 85            | 1,598 78    | 1,861 07               |
| Avalonbay Cmnty, Inc  | 03/24/2009           | 09/15/2010       | 52 000          | 5,803 62            | 2,625 38    | 3,178 24               |
|                       |                      |                  | 83 000          | 9,263 47            | 4,224 16    | 5,039 31               |
|                       |                      |                  | 101 000         | 11,110 59           | 5,152 48    | 5,958 11               |
| Bank of America Corp  | 05/15/2009           | 08/04/2010       | 165 000         | 2,341 31            | 1,782 00    | 559 31                 |
| Bank of America Corp  | 06/17/2009           | 08/04/2010       | 29 000          | 411 50              | 361 92      | 49 58                  |
|                       |                      |                  | 194 000         | 2,752 81            | 2,143 92    | 608 89                 |
| Bank of America Corp  | 05/15/2009           | 09/15/2010       | 1,032 000       | 14,202 14           | 11,145 60   | 3,056 54               |
|                       |                      |                  | 1,226 000       | 16,954 95           | 13,289 52   | 3,665 43               |
| Best Buy Company, Inc | 04/15/2008           | 07/14/2010       | 25 000          | 873 72              | 1,034 62    | -160 90                |
| Best Buy Company, Inc | 04/15/2008           | 08/04/2010       | 53 000          | 1,867 15            | 2,193 39    | -326 24                |
| Best Buy Company, Inc | 04/15/2008           | 09/01/2010       | 22 000          | 715 00              | 910 47      | -195 47                |
| Best Buy Company, Inc | 05/15/2009           | 09/01/2010       | 33 000          | 1,072 50            | 1,172 46    | -99 96                 |
|                       |                      |                  | 55 000          | 1,787 50            | 2,082.93    | -295 43                |
| Best Buy Company, Inc | 02/26/2009           | 09/15/2010       | 79 000          | 2,910 47            | 2,310.75    | 599 72                 |
| Best Buy Company, Inc | 05/15/2009           | 09/15/2010       | 74 000          | 2,726 26            | 2,629 14    | 97 12                  |
|                       |                      |                  | 153 000         | 5,636 73            | 4,939 89    | 696 84                 |
|                       |                      |                  | 286 000         | 10,165 10           | 10,250 83   | -85 73                 |
| BHP Billiton Ltd ADR  | 12/22/2008           | 08/04/2010       | 16 000          | 1,203 01            | 635 07      | 567 94                 |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>      | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|-------------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| BHP Billiton Ltd ADR    | 12/22/2008           | 09/15/2010       | 121 000         | 8,870 60            | 4,802 68    | 4,067 92               |
|                         |                      |                  | 137 000         | 10,073 61           | 5,437 75    | 4,635 86               |
| Boston Properties, Inc  | 11/20/2008           | 01/06/2010       | 44 000          | 3,011 22            | 1,980 19    | 1,031 03               |
| Boston Properties, Inc  | 05/15/2009           | 08/04/2010       | 12 000          | 1,028 86            | 539 52      | 489 34                 |
| Boston Properties, Inc  | 02/26/2009           | 09/15/2010       | 25 000          | 2,186 21            | 1,000 75    | 1,185.46               |
| Boston Properties, Inc  | 05/15/2009           | 09/15/2010       | 22 000          | 1,923 87            | 989 12      | 934 75                 |
|                         |                      |                  | 47 000          | 4,110 08            | 1,989 87    | 2,120 21               |
|                         |                      |                  | 103 000         | 8,150 16            | 4,509 58    | 3,640 58               |
| Boston Scientific Corp  | 12/22/2008           | 04/22/2010       | 210 000         | 1,506 47            | 1,563 32    | -56 85                 |
| Bristol-Myers Squibb Co | 04/09/2009           | 04/22/2010       | 267 000         | 6,530 51            | 5,374 82    | 1,155 69               |
| Carnival Corp           | 07/10/2009           | 08/04/2010       | 86 000          | 3,100 24            | 2,115 58    | 984 66                 |
| Carnival Corp           | 07/10/2009           | 09/15/2010       | 131 000         | 4,654 61            | 3,222 57    | 1,432 04               |
|                         |                      |                  | 217 000         | 7,754 85            | 5,338 15    | 2,416 70               |
| ChevronTexaco Corp      | 10/28/2008           | 04/22/2010       | 84 000          | 6,783 44            | 5,488 37    | 1,295 07               |
| ChevronTexaco Corp      | 11/20/2008           | 04/22/2010       | 9 000           | 726 80              | 588 78      | 138 02                 |
|                         |                      |                  | 93 000          | 7,510 24            | 6,077 15    | 1,433 09               |
| ChevronTexaco Corp      | 10/28/2008           | 06/21/2010       | 91 000          | 6,904 66            | 5,945 73    | 958 93                 |
| ChevronTexaco Corp      | 02/26/2009           | 06/21/2010       | 23 000          | 1,745 13            | 1,474 30    | 270.83                 |
|                         |                      |                  | 114 000         | 8,649 79            | 7,420 03    | 1,229 76               |
|                         |                      |                  | 207 000         | 16,160 03           | 13,497 18   | 2,662 85               |
| Cisco Systems, Inc      | 02/26/2009           | 08/04/2010       | 47 000          | 1,135 97            | 698 42      | 437 55                 |
| Cisco Systems, Inc      | 02/26/2009           | 08/04/2010       | 20 000          | 483 39              | 297 20      | 186 19                 |
| Cisco Systems, Inc      | 11/20/2008           | 09/15/2010       | 33 000          | 713.78              | 483 45      | 230 33                 |
| Cisco Systems, Inc      | 02/26/2009           | 09/15/2010       | 8 000           | 173 04              | 118 88      | 54 16                  |
|                         |                      |                  | 41 000          | 886 82              | 602 33      | 284 49                 |
| Cisco Systems, Inc      | 11/20/2008           | 09/15/2010       | 40 000          | 865 19              | 586 00      | 279 19                 |
| Cisco Systems, Inc      | 11/20/2008           | 09/15/2010       | 39 000          | 843 60              | 571 35      | 272 25                 |
| Cisco Systems, Inc      | 11/20/2008           | 09/15/2010       | 41 000          | 886 82              | 600 65      | 286 17                 |
|                         |                      |                  | 228 000         | 5,101 79            | 3,355 95    | 1,745 84               |
| Covidien PLC            | 01/14/2009           | 09/15/2010       | 41 000          | 1,557 23            | 1,467 38    | 89 85                  |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>           | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|------------------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| Covident PLC                 | 02/26/2009           | 09/15/2010       | 47 000          | 1,785 13            | 1,608 81    | 176 32                 |
|                              |                      |                  | 88 000          | 3,342 36            | 3,076 19    | 266 17                 |
| Emerson Electric Co          | 11/20/2008           | 01/22/2010       | 40 000          | 1,712 20            | 1,207 43    | 504 77                 |
| Exxon Mobil Corp             | 07/10/2008           | 06/01/2010       | 63 000          | 3,819 84            | 5,366 15    | -1,546 31              |
| Exxon Mobil Corp             | 07/10/2008           | 08/04/2010       | 88 000          | 5,527 27            | 7,495 58    | -1,968 31              |
| Exxon Mobil Corp             | 07/10/2008           | 08/04/2010       | 12 000          | 753 71              | 1,022 12    | -268 41                |
| Exxon Mobil Corp             | 07/10/2008           | 08/04/2010       | 12 000          | 753 71              | 1,022 12    | -268 41                |
| Exxon Mobil Corp             | 07/10/2008           | 09/15/2010       | 15 000          | 913 96              | 1,277 66    | -363 70                |
| Exxon Mobil Corp             | 10/07/2008           | 09/15/2010       | 10 000          | 609 31              | 793 17      | -183 86                |
| Exxon Mobil Corp             | 04/23/2009           | 09/15/2010       | 40 000          | 2,437 24            | 2,614 36    | -177 12                |
| Exxon Mobil Corp             | 05/15/2009           | 09/15/2010       | 19 000          | 1,157 69            | 1,308 15    | -150 46                |
|                              |                      |                  | 84 000          | 5,118 20            | 5,993 34    | -875 14                |
|                              |                      |                  | 259 000         | 15,972 73           | 20,899 31   | -4,926 58              |
| Fifth Third Bancorp          | 08/10/2009           | 09/15/2010       | 373 000         | 4,659 06            | 3,626 45    | 1,032 61               |
| Freeport McMoran Copper & G  | 05/15/2009           | 08/04/2010       | 6 000           | 450 95              | 271 02      | 179 93                 |
| Freeport McMoran Copper & G  | 05/15/2009           | 09/15/2010       | 119 000         | 9,665 73            | 5,375 14    | 4,290 59               |
|                              |                      |                  | 125 000         | 10,116 68           | 5,646 16    | 4,470 52               |
| Frontier Communications Corp | 07/28/2008           | 07/01/2010       | 0 530           | 3 74                | 4 88        | -1 14                  |
| Frontier Communications Corp | 02/06/2008           | 07/14/2010       | 30 725          | 223 72              | 306 68      | -82.96                 |
| Frontier Communications Corp | 07/28/2008           | 07/14/2010       | 34 275          | 249 56              | 315 62      | -66 06                 |
|                              |                      |                  | 65 000          | 473 28              | 622 30      | -149.02                |
|                              |                      |                  | 65 530          | 477 02              | 627 18      | -150 16                |
| General Dynamics Corp        | 02/06/2008           | 08/04/2010       | 78 000          | 4,947 45            | 6,506 37    | -1,558.92              |
| General Dynamics Corp        | 02/06/2008           | 09/15/2010       | 50 000          | 3,062 06            | 4,170 75    | -1,108 69              |
| General Dynamics Corp        | 05/15/2009           | 09/15/2010       | 32 000          | 1,959 71            | 1,760 64    | 199 07                 |
|                              |                      |                  | 82 000          | 5,021 77            | 5,931 39    | -909.62                |
|                              |                      |                  | 160 000         | 9,969 22            | 12,437.76   | -2,468 54              |
| General Electric Co          | 08/10/2009           | 09/15/2010       | 332 000         | 5,445 37            | 4,814 00    | 631 37                 |
| General Electric Co          | 08/25/2009           | 09/15/2010       | 283 000         | 4,641 69            | 4,061 33    | 580 36                 |
|                              |                      |                  | 615 000         | 10,087 06           | 8,875 33    | 1,211 73               |
| Goldman Sachs Group, Inc     | 04/17/2009           | 08/04/2010       | 21 000          | 3,293.16            | 2,529 64    | 763 52                 |
| Goldman Sachs Group, Inc     | 10/20/2008           | 09/15/2010       | 29 000          | 4,424 45            | 3,437 20    | 987 25                 |
| Goldman Sachs Group, Inc     | 01/30/2009           | 09/15/2010       | 35 000          | 5,339 86            | 2,793 31    | 2,546 55               |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>       | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|--------------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| Goldman Sachs Group, Inc | 04/17/2009           | 09/15/2010       | 13 000          | 1,983 38            | 1,565 97    | 417 41                 |
|                          |                      |                  | 77 000          | 11,747 69           | 7,796 48    | 3,951 21               |
|                          |                      |                  | 98 000          | 15,040 85           | 10,326 12   | 4,714 73               |
| Hess Corporation         | 03/24/2009           | 08/04/2010       | 77 000          | 4,351 34            | 5,064 07    | -712 73                |
| Hess Corporation         | 06/25/2009           | 09/15/2010       | 54 000          | 2,967 90            | 2,939 68    | 28 22                  |
| Hess Corporation         | 09/09/2009           | 09/15/2010       | 30 000          | 1,648 83            | 1,589 67    | 59 16                  |
|                          |                      |                  | 84 000          | 4,616 73            | 4,529 35    | 87 38                  |
| Hess Corporation         | 07/22/2009           | 09/15/2010       | 64 000          | 3,517 44            | 3,322 87    | 194 57                 |
| Hess Corporation         | 09/09/2009           | 09/15/2010       | 36 000          | 1,978 57            | 1,907 60    | 70 97                  |
|                          |                      |                  | 100 000         | 5,496.01            | 5,230 47    | 265 54                 |
| Hess Corporation         | 07/22/2009           | 09/15/2010       | 9 000           | 494 65              | 467 28      | 27 37                  |
|                          |                      |                  | 270 000         | 14,958 73           | 15,291.17   | -332 44                |
| Hewlett-Packard Co       | 02/06/2008           | 08/04/2010       | 60 000          | 2,810 35            | 2,534 04    | 276 31                 |
| Hewlett-Packard Co       | 02/06/2008           | 09/15/2010       | 179 000         | 7,115 49            | 7,559 89    | -444 40                |
| Hewlett-Packard Co       | 03/24/2009           | 09/15/2010       | 80 000          | 3,180 11            | 2,480.72    | 699 39                 |
|                          |                      |                  | 259 000         | 10,295 60           | 10,040 61   | 254 99                 |
|                          |                      |                  | 319 000         | 13,105 95           | 12,574 65   | 531 30                 |
| IBM                      | 02/06/2008           | 08/04/2010       | 24 000          | 3,154 26            | 2,498 64    | 655 62                 |
| IBM                      | 02/06/2008           | 09/15/2010       | 67 000          | 8,677 69            | 6,975 37    | 1,702 32               |
|                          |                      |                  | 91 000          | 11,831 95           | 9,474 01    | 2,357 94               |
| Intel                    | 09/09/2009           | 09/15/2010       | 97 000          | 1,819 69            | 1,943 88    | -124 19                |
| Intel                    | 09/09/2009           | 09/15/2010       | 97 000          | 1,819 69            | 1,943 88    | -124 19                |
|                          |                      |                  | 194 000         | 3,639 38            | 3,887 76    | -248 38                |
| Invesco Ltd              | 03/31/2009           | 04/14/2010       | 180 000         | 4,019 49            | 2,513.79    | 1,505 70               |
| JPMorgan Chase & Co      | 02/06/2008           | 08/04/2010       | 76 000          | 3,142 54            | 3,333 13    | -190 59                |
| JPMorgan Chase & Co      | 02/06/2008           | 09/15/2010       | 181 000         | 7,430 29            | 7,938 11    | -507 82                |
| JPMorgan Chase & Co      | 01/14/2009           | 09/15/2010       | 212 000         | 8,702 88            | 5,420 84    | 3,282 04               |
|                          |                      |                  | 393 000         | 16,133 17           | 13,358 95   | 2,774 22               |
|                          |                      |                  | 469 000         | 19,275 71           | 16,692 08   | 2,583 63               |
| Lincoln National Corp    | 08/10/2009           | 09/15/2010       | 60 000          | 1,527.64            | 1,375 04    | 152 60                 |

Realized Gains and Losses By Category  
 Fiscal Year Ending 12/31/2010

Long Term Capital Gains and Losses

| Description                 | Date Acquired | Date Sold  | Quantity       | Net Proceeds     | Cost             | Long Term Gains |
|-----------------------------|---------------|------------|----------------|------------------|------------------|-----------------|
| Lincoln National Corp       | 08/10/2009    | 09/15/2010 | 26 000         | 662 05           | 595 85           | 66 20           |
| Lincoln National Corp       | 08/10/2009    | 09/15/2010 | 100 000        | 2,546 06         | 2,291 73         | 254 33          |
|                             |               |            | <u>186 000</u> | <u>4,735 75</u>  | <u>4,262 62</u>  | <u>473 13</u>   |
| LM Ericsson Telephone Co AD | 06/17/2009    | 08/04/2010 | 102 000        | 1,131 26         | 969 72           | 161 54          |
| LM Ericsson Telephone Co AD | 06/17/2009    | 09/15/2010 | 200 000        | 2,210 16         | 1,901 42         | 308 74          |
| LM Ericsson Telephone Co AD | 06/17/2009    | 09/15/2010 | 135 000        | 1,491 86         | 1,283 46         | 208 40          |
| LM Ericsson Telephone Co AD | 06/17/2009    | 09/15/2010 | 100 000        | 1,105 38         | 950 71           | 154 67          |
|                             |               |            | <u>537 000</u> | <u>5,938 66</u>  | <u>5,105 31</u>  | <u>833 35</u>   |
| Mastercard, Inc             | 07/10/2009    | 08/04/2010 | 8 000          | 1,641 10         | 1,282 96         | 358 14          |
| Mastercard, Inc             | 07/10/2009    | 09/15/2010 | 31 000         | 6,543 99         | 4,971 46         | 1,572 53        |
|                             |               |            | <u>39.000</u>  | <u>8,185 09</u>  | <u>6,254 42</u>  | <u>1,930 67</u> |
| McDonald's Corp             | 04/23/2009    | 08/04/2010 | 1 000          | 70 74            | 54 92            | 15 82           |
| McDonald's Corp             | 04/23/2009    | 09/15/2010 | 43 000         | 3,215 15         | 2,361 56         | 853 59          |
| McDonald's Corp             | 05/15/2009    | 09/15/2010 | 178 000        | 13,309 18        | 9,521 04         | 3,788 14        |
|                             |               |            | <u>221 000</u> | <u>16,524 33</u> | <u>11,882 60</u> | <u>4,641.73</u> |
|                             |               |            | <u>222 000</u> | <u>16,595 07</u> | <u>11,937 52</u> | <u>4,657 55</u> |
| Merck & Co, Inc             | 09/08/2008    | 08/04/2010 | 14 000         | 492 65           | 493 91           | -1 26           |
| Merck & Co, Inc             | 09/08/2008    | 09/15/2010 | 103 000        | 3,762 74         | 3,633 78         | 128 96          |
| Merck & Co, Inc             | 10/14/2008    | 09/15/2010 | 137 000        | 5,004 79         | 3,961 25         | 1,043 54        |
|                             |               |            | <u>240 000</u> | <u>8,767 53</u>  | <u>7,595 03</u>  | <u>1,172 50</u> |
| Merck & Co, Inc             | 10/14/2008    | 09/15/2010 | 38 000         | 1,388 12         | 1,098 74         | 289 38          |
|                             |               |            | <u>292.000</u> | <u>10,648 30</u> | <u>9,187 68</u>  | <u>1,460 62</u> |
| MetLife, Inc                | 10/14/2008    | 09/15/2010 | 20 000         | 811 23           | 740 32           | 70 91           |
| MetLife, Inc                | 04/23/2009    | 09/15/2010 | 126 000        | 5,110 72         | 3,363 61         | 1,747 11        |
| MetLife, Inc                | 04/29/2009    | 09/15/2010 | 114 000        | 4,623 99         | 3,377 49         | 1,246 50        |
|                             |               |            | <u>260 000</u> | <u>10,545 94</u> | <u>7,481 42</u>  | <u>3,064 52</u> |
| Microsoft Corp              | 05/15/2009    | 08/04/2010 | 38 000         | 978 48           | 770 64           | 207 84          |
| Microsoft Corp              | 01/23/2009    | 09/15/2010 | 264 000        | 6,642 65         | 4,606 80         | 2,035 85        |

Realized Gains and Losses By Category  
 Fiscal Year Ending 12/31/2010

Long Term Capital Gains and Losses

| Description          | Date Acquired | Date Sold  | Quantity | Net Proceeds | Cost      | Long Term Gains |
|----------------------|---------------|------------|----------|--------------|-----------|-----------------|
| Microsoft Corp       | 05/15/2009    | 09/15/2010 | 10 000   | 251 62       | 202 80    | 48 82           |
|                      |               |            | 274 000  | 6,894 27     | 4,809 60  | 2,084 67        |
|                      |               |            | 312 000  | 7,872 75     | 5,580 24  | 2,292 51        |
| Nestle S A ADR       | 02/06/2008    | 08/04/2010 | 21 000   | 1,040 32     | 908 04    | 132 28          |
| Nestle S A ADR       | 02/06/2008    | 09/15/2010 | 19 000   | 1,006 98     | 821 56    | 185 42          |
| Nestle S A ADR       | 02/06/2008    | 09/15/2010 | 37 500   | 1,987 47     | 1,621 50  | 365 97          |
| Nestle S A ADR       | 02/13/2008    | 09/15/2010 | 182 500  | 9,672 34     | 7,763 55  | 1,908 79        |
|                      |               |            | 239 000  | 12,666 79    | 10,206 61 | 2,460 18        |
|                      |               |            | 260 000  | 13,707 11    | 11,114 65 | 2,592 46        |
| Nike, Inc Cl B       | 02/06/2008    | 06/01/2010 | 34 000   | 2,445 94     | 2,047 82  | 398 12          |
| Nike, Inc Cl B       | 01/23/2009    | 06/01/2010 | 17 000   | 1,222 98     | 779 06    | 443 92          |
|                      |               |            | 51 000   | 3,668 92     | 2,826 88  | 842 04          |
| Nike, Inc Cl B       | 01/23/2009    | 06/14/2010 | 51 000   | 3,695 02     | 2,337 18  | 1,357 84        |
| Nike, Inc Cl B       | 02/26/2009    | 06/14/2010 | 43 000   | 3,115 41     | 1,788 19  | 1,327 22        |
|                      |               |            | 94 000   | 6,810 43     | 4,125 37  | 2,685 06        |
|                      |               |            | 145.000  | 10,479 35    | 6,952 25  | 3,527 10        |
| Northern Trust Corp  | 01/14/2009    | 08/04/2010 | 20 000   | 970 18       | 940 20    | 29 98           |
| Northern Trust Corp  | 01/14/2009    | 09/15/2010 | 81 000   | 3,940 58     | 3,807 82  | 132 76          |
|                      |               |            | 101 000  | 4,910 76     | 4,748 02  | 162 74          |
| Occidental Petroleum | 02/06/2008    | 08/04/2010 | 50 000   | 3,936 93     | 3,267 85  | 669 08          |
| Occidental Petroleum | 02/06/2008    | 08/04/2010 | 2 000    | 157.45       | 130 71    | 26 74           |
| Occidental Petroleum | 02/06/2008    | 09/15/2010 | 103 000  | 8,051 79     | 6,731 77  | 1,320 02        |
| Occidental Petroleum | 03/24/2009    | 09/15/2010 | 81 000   | 6,331 99     | 4,820 00  | 1,511 99        |
|                      |               |            | 184 000  | 14,383 78    | 11,551.77 | 2,832 01        |
|                      |               |            | 236.000  | 18,478 16    | 14,950 33 | 3,527 83        |
| Oracle Corporation   | 03/31/2009    | 08/04/2010 | 35 000   | 857 83       | 639 80    | 218 03          |
| Oracle Corporation   | 03/31/2009    | 09/15/2010 | 133 000  | 3,424 96     | 2,431 24  | 993 72          |
|                      |               |            | 168 000  | 4,282 79     | 3,071 04  | 1,211 75        |
| Pepsico, Inc         | 03/11/2009    | 08/04/2010 | 8 000    | 530 39       | 377 18    | 153 21          |
| Pepsico, Inc         | 04/23/2009    | 08/04/2010 | 20 000   | 1,325 97     | 956 00    | 369 97          |
|                      |               |            | 28 000   | 1,856 36     | 1,333 18  | 523 18          |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>            | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u> | <u>Long Term Gains</u> |
|-------------------------------|----------------------|------------------|-----------------|---------------------|-------------|------------------------|
| Pepsico, Inc                  | 03/11/2009           | 09/15/2010       | 114 000         | 7,576 54            | 5,374 82    | 2,201.72               |
|                               |                      |                  | 142 000         | 9,432 90            | 6,708 00    | 2,724 90               |
| Pfizer, Inc                   | 10/14/2008           | 08/04/2010       | 100 000         | 1,644 07            | 1,725 21    | -81 14                 |
| Pfizer, Inc                   | 10/14/2008           | 08/04/2010       | 10 000          | 164 40              | 172 52      | -8 12                  |
| Pfizer, Inc                   | 10/28/2008           | 08/04/2010       | 90 000          | 1,479 57            | 1,521 54    | -41 97                 |
|                               |                      |                  | 100 000         | 1,643 97            | 1,694 06    | -50 09                 |
| Pfizer, Inc                   | 10/28/2008           | 08/04/2010       | 12 000          | 197 28              | 202 87      | -5 59                  |
| Pfizer, Inc                   | 10/28/2008           | 09/15/2010       | 123 000         | 2,132 90            | 2,079 44    | 53 46                  |
| Pfizer, Inc                   | 05/15/2009           | 09/15/2010       | 16 000          | 277 46              | 240 00      | 37 46                  |
|                               |                      |                  | 139 000         | 2,410 36            | 2,319 44    | 90 92                  |
| Pfizer, Inc                   | 11/20/2008           | 09/15/2010       | 150 000         | 2,601 11            | 2,174 55    | 426 56                 |
| Pfizer, Inc                   | 05/15/2009           | 09/15/2010       | 120 000         | 2,080 88            | 1,800 00    | 280 88                 |
|                               |                      |                  | 270 000         | 4,681 99            | 3,974 55    | 707 44                 |
| Pfizer, Inc                   | 11/20/2008           | 09/15/2010       | 96 000          | 1,664 99            | 1,391 71    | 273 28                 |
| Pfizer, Inc                   | 02/26/2009           | 09/15/2010       | 4 000           | 69 38               | 52 40       | 16 98                  |
|                               |                      |                  | 100 000         | 1,734 37            | 1,444 11    | 290 26                 |
| Pfizer, Inc                   | 02/26/2009           | 09/15/2010       | 50 000          | 866 99              | 655 00      | 211 99                 |
| Pfizer, Inc                   | 02/26/2009           | 09/15/2010       | 100 000         | 1,734 37            | 1,310 00    | 424 37                 |
|                               |                      |                  | 871 000         | 14,913 40           | 13,325 24   | 1,588.16               |
| PNC Financial Services Group, | 04/29/2009           | 08/04/2010       | 39 000          | 2,347 76            | 1,579 83    | 767 93                 |
| PNC Financial Services Group, | 04/23/2009           | 09/15/2010       | 208 000         | 11,405 28           | 7,989 76    | 3,415 52               |
| PNC Financial Services Group, | 04/29/2009           | 09/15/2010       | 33 000          | 1,809 49            | 1,336 77    | 472 72                 |
|                               |                      |                  | 241 000         | 13,214 77           | 9,326 53    | 3,888 24               |
|                               |                      |                  | 280 000         | 15,562 53           | 10,906 36   | 4,656 17               |
| Prudential Financial, Inc     | 05/15/2009           | 08/04/2010       | 58 000          | 3,287 38            | 2,200 46    | 1,086 92               |
| Prudential Financial, Inc     | 05/15/2009           | 09/15/2010       | 200 000         | 11,108 01           | 7,587 80    | 3,520 21               |
| Prudential Financial, Inc     | 05/15/2009           | 09/15/2010       | 50 000          | 2,777 04            | 1,896 95    | 880 09                 |
|                               |                      |                  | 308 000         | 17,172 43           | 11,685 21   | 5,487 22               |
| Staples, Inc                  | 03/18/2008           | 06/21/2010       | 135 000         | 2,930 23            | 2,960 14    | -29 91                 |
| Staples, Inc                  | 03/18/2008           | 07/14/2010       | 124 000         | 2,493.76            | 2,718 95    | -225 19                |
| Staples, Inc                  | 03/18/2008           | 08/04/2010       | 20 000          | 403 19              | 438 54      | -35 35                 |

Realized Gains and Losses By Category  
 Fiscal Year Ending 12/31/2010

Long Term Capital Gains and Losses

| Description                   | Date Acquired | Date Sold  | Quantity | Net Proceeds | Cost      | Long Term Gains |
|-------------------------------|---------------|------------|----------|--------------|-----------|-----------------|
| Staples, Inc                  | 11/03/2008    | 08/04/2010 | 26 000   | 524 15       | 498 86    | 25 29           |
|                               |               |            | 46 000   | 927 34       | 937 40    | -10 06          |
| Staples, Inc                  | 11/03/2008    | 09/15/2010 | 179 000  | 3,528 39     | 3,434 48  | 93 91           |
|                               |               |            | 484 000  | 9,879.72     | 10,050 97 | -171 25         |
| Target Corporation            | 08/03/2009    | 08/04/2010 | 40 000   | 2,064 36     | 1,717 11  | 347 25          |
| Target Corporation            | 07/22/2009    | 09/15/2010 | 131 000  | 7,045 19     | 5,377 55  | 1,667 64        |
| Target Corporation            | 08/03/2009    | 09/15/2010 | 25 000   | 1,344 51     | 1,073 19  | 271 32          |
|                               |               |            | 156 000  | 8,389 70     | 6,450 74  | 1,938 96        |
|                               |               |            | 196 000  | 10,454 06    | 8,167 85  | 2,286 21        |
| Thermo Fisher Scientific, Inc | 12/15/2008    | 08/04/2010 | 22 000   | 1,003 84     | 646 58    | 357 26          |
| Thermo Fisher Scientific, Inc | 12/15/2008    | 09/15/2010 | 81 000   | 3,810 99     | 2,380 59  | 1,430 40        |
|                               |               |            | 103 000  | 4,814 83     | 3,027 17  | 1,787 66        |
| TJX Companies, Inc            | 10/07/2008    | 09/15/2010 | 44 000   | 1,868 29     | 1,257 45  | 610 84          |
| TJX Companies, Inc            | 02/26/2009    | 09/15/2010 | 10 000   | 424 61       | 227 10    | 197 51          |
|                               |               |            | 54 000   | 2,292 90     | 1,484 55  | 808 35          |
| TJX Companies, Inc            | 02/26/2009    | 09/15/2010 | 32 000   | 1,358 76     | 726 72    | 632 04          |
| TJX Companies, Inc            | 02/26/2009    | 09/15/2010 | 68 000   | 2,887 36     | 1,544 28  | 1,343 08        |
|                               |               |            | 154 000  | 6,539.02     | 3,755 55  | 2,783 47        |
| TOTAL Fina Elf S A            | 03/11/2009    | 03/12/2010 | 67 000   | 3,924 17     | 3,259 48  | 664 69          |
| Travelers Companies, Inc      | 02/06/2008    | 08/04/2010 | 15 000   | 758 38       | 713 85    | 44 53           |
| Travelers Companies, Inc      | 02/06/2008    | 09/15/2010 | 60 000   | 3,155 95     | 2,855 40  | 300 55          |
|                               |               |            | 75 000   | 3,914 33     | 3,569 25  | 345 08          |
| United Technologies Corp      | 02/06/2008    | 07/14/2010 | 32 000   | 2,182 42     | 2,306 37  | -123 95         |
| United Technologies Corp      | 04/04/2008    | 07/14/2010 | 13 000   | 886 60       | 935 65    | -49 05          |
|                               |               |            | 45 000   | 3,069 02     | 3,242 02  | -173 00         |
| United Technologies Corp      | 04/04/2008    | 08/04/2010 | 60 000   | 4,366.72     | 4,318 40  | 48 32           |
| United Technologies Corp      | 04/04/2008    | 09/15/2010 | 18 000   | 1,231 38     | 1,295 52  | -64 14          |
| United Technologies Corp      | 06/27/2008    | 09/15/2010 | 82 000   | 5,609 60     | 5,030 70  | 578 90          |
|                               |               |            | 100 000  | 6,840 98     | 6,326 22  | 514 76          |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>       | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u>      | <u>Long Term Gains</u> |
|--------------------------|----------------------|------------------|-----------------|---------------------|------------------|------------------------|
| United Technologies Corp | 06/27/2008           | 09/15/2010       | 38.000          | 2,599 58            | 2,331 30         | 268 28                 |
|                          |                      |                  | <u>243 000</u>  | <u>16,876 30</u>    | <u>16,217 94</u> | <u>658 36</u>          |
| UnitedHealth Group, Inc  | 03/11/2009           | 08/04/2010       | 31 000          | 1,021 12            | 616 82           | 404 30                 |
| UnitedHealth Group, Inc  | 03/11/2009           | 09/15/2010       | 114 000         | 3,935 44            | 2,268 29         | 1,667 15               |
|                          |                      |                  | <u>145.000</u>  | <u>4,956 56</u>     | <u>2,885 11</u>  | <u>2,071 45</u>        |
| US Bancorp               | 05/15/2009           | 09/15/2010       | 256.000         | 5,798 80            | 4,543 74         | 1,255 06               |
| Verizon Communications   | 02/06/2008           | 03/05/2010       | 127 000         | 3,705 81            | 4,637 68         | -931 87                |
| Verizon Communications   | 02/06/2008           | 08/04/2010       | 21 000          | 617 17              | 716 55           | -99 38                 |
| Verizon Communications   | 07/28/2008           | 08/04/2010       | 34 000          | 999 24              | 1,070 31         | -71 07                 |
| Verizon Communications   | 02/06/2008           | 09/15/2010       | 107 000         | 3,333 22            | 3,650 98         | -317 76                |
| Verizon Communications   | 07/28/2008           | 09/15/2010       | 111 000         | 3,457 81            | 3,494 23         | -36 42                 |
|                          |                      |                  | <u>218 000</u>  | <u>6,791 03</u>     | <u>7,145 21</u>  | <u>-354 18</u>         |
|                          |                      |                  | <u>400 000</u>  | <u>12,113 25</u>    | <u>13,569 75</u> | <u>-1,456.50</u>       |
| Wal-Mart Stores, Inc     | 02/06/2008           | 09/15/2010       | 128 000         | 6,775 19            | 6,285 70         | 489 49                 |
| Waste Management, Inc    | 10/07/2008           | 06/21/2010       | 18 000          | 604 96              | 557 05           | 47 91                  |
| Waste Management, Inc    | 12/05/2008           | 06/21/2010       | 106 000         | 3,562 50            | 3,124 25         | 438 25                 |
|                          |                      |                  | <u>124 000</u>  | <u>4,167.46</u>     | <u>3,681 30</u>  | <u>486 16</u>          |
| Waste Management, Inc    | 12/05/2008           | 08/04/2010       | 9 000           | 311 21              | 265 27           | 45 94                  |
| Waste Management, Inc    | 04/23/2009           | 08/04/2010       | 36 000          | 1,244 86            | 957 38           | 287 48                 |
|                          |                      |                  | <u>45 000</u>   | <u>1,556 07</u>     | <u>1,222 65</u>  | <u>333 42</u>          |
| Waste Management, Inc    | 04/23/2009           | 09/15/2010       | 186 000         | 6,432 14            | 4,946 47         | 1,485 67               |
|                          |                      |                  | <u>355 000</u>  | <u>12,155 67</u>    | <u>9,850 42</u>  | <u>2,305 25</u>        |
| Wells Fargo & Co         | 02/06/2008           | 08/04/2010       | 21 000          | 585 28              | 635 04           | -49 76                 |
| Wells Fargo & Co         | 04/29/2009           | 08/04/2010       | 93 000          | 2,591 95            | 1,844 19         | 747 76                 |
|                          |                      |                  | <u>114 000</u>  | <u>3,177 23</u>     | <u>2,479 23</u>  | <u>698 00</u>          |
| Wells Fargo & Co         | 03/31/2009           | 09/15/2010       | 104.000         | 2,733 25            | 1,497 60         | 1,235 65               |
| Wells Fargo & Co         | 04/23/2009           | 09/15/2010       | 93 000          | 2,444 17            | 1,814 43         | 629 74                 |
|                          |                      |                  | <u>197 000</u>  | <u>5,177 42</u>     | <u>3,312 03</u>  | <u>1,865 39</u>        |
| Wells Fargo & Co         | 03/31/2009           | 09/15/2010       | 100 000         | 2,628 12            | 1,440 00         | 1,188 12               |
| Wells Fargo & Co         | 03/31/2009           | 09/15/2010       | 100 000         | 2,628 08            | 1,440 00         | 1,188 08               |
| Wells Fargo & Co         | 04/23/2009           | 09/15/2010       | 40 000          | 1,051.23            | 780 40           | 270 83                 |

**Realized Gains and Losses By Category**  
 Fiscal Year Ending 12/31/2010

**Long Term Capital Gains and Losses**

| <u>Description</u>      | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Quantity</u> | <u>Net Proceeds</u> | <u>Cost</u>      | <u>Long Term Gains</u> |
|-------------------------|----------------------|------------------|-----------------|---------------------|------------------|------------------------|
| Wells Fargo & Co        | 04/29/2009           | 09/15/2010       | 160 000         | 4,204 92            | 3,172 80         | 1,032 12               |
|                         |                      |                  | 200 000         | 5,256 15            | 3,953 20         | 1,302 95               |
|                         |                      |                  | <u>711 000</u>  | <u>18,867.00</u>    | <u>12,624 46</u> | <u>6,242 54</u>        |
| Long Term Gains (Sales) |                      |                  |                 | 598,527 46          | 500,617 31       | 97,910 15              |
| Total Gains (Sales)     |                      |                  |                 | 940,796 70          | 828,440 05       | 112,356 65             |
| Total Short Term Gains  |                      |                  |                 |                     |                  | 14,446 50              |
| Total Long Term Gains   |                      |                  |                 |                     |                  | 97,910 15              |
| <b>Total Gains</b>      |                      |                  |                 |                     |                  | <b>112,356.65</b>      |

**Sooch Foundation**  
EIN # 20-0399480  
Attachment to 2010 Form 990-PF  
Return of Private Foundation

Statement Required by Reg. §53.4945-5(d)  
Information with respect to expenditure responsibility grants

1. *Grantee:* **Whole Planet Foundation  
550 Bowie Street  
Austin, Texas 78703**
  
2. *Date Paid in Current  
Tax Year:* **August 19<sup>th</sup>, 2010**
  
3. *Total Paid:* **\$ 100,000**
  
4. *Purpose:* **Sooch Foundation provided \$ 100,000 to support Grantee's partnership with Grameen Trust to create and manage a microcredit initiative of Grameen in Kerala, India under the Grameen Methodology of microfinance. Sooch Foundation is supporting a portion of the total capital required to establish an operationally and financially sustainable institution.**
  
5. *Amount of Grant  
Spent by Grantee:* **\$ 100,000**
  
6. *Diversion:* **To the knowledge of the Foundation, and based on the report furnished by the Grantee, no part has been used for other than its intended purpose.**
  
7. *Date of Report(s) received  
from Grantee:* **May 11, 2011**
  
8. *Verification:* **Foundation reviewed the grant reports but did not undertake any verification of the Grantee's reports as there has not been any reason to doubt their accuracy or reliability.**

# Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

- ▶ If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for *Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

|   |   |   |
|---|---|---|
| <b>Type or print</b>  | Name of exempt organization<br><b>THE SOOCH FOUNDATION</b>  | Employer identification number<br><b>20-0399480</b> |
| File by the due date for filing your return. See instructions | Number, street, and room or suite no. If a P.O. box, see instructions.<br><b>600 WEST 7TH STREET</b>                |   |
|   | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br><b>AUSTIN, TX 78701</b> |   |

Enter the Return code for the return that this application is for (file a separate application for each return) 0 | 4

| Application Is For                       | Return Code | Application Is For       | Return Code |
|--|-------------|--------------------------|-------------|
| Form 990                                 | 01          | Form 990-T (corporation) | 07          |
| Form 990-BL                              | 02          | Form 1041-A              | 08          |
| Form 990-EZ                              | 03          | Form 4720                | 09          |
| Form 990-PF                              | 04          | Form 5227                | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                | 12          |

**MARY ELLEN PIETRUSZYNSKI**

- The books are in the care of ▶ **600 WEST 7TH STREET - AUSTIN, TX 78701**  
 Telephone No. ▶ **(512) 329-0464** FAX No. ▶ \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
 ▶  calendar year **2010** or  
 ▶  tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

**2** If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

|   |           |    |                |
|---|-----------|----|----------------|
| <b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                  | <b>3a</b> | \$ | <b>11,199.</b> |
| <b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit | <b>3b</b> | \$ | <b>6,199.</b>  |
| <b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.     | <b>3c</b> | \$ | <b>5,000.</b>  |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Paperwork Reduction Act Notice, see Instructions.**

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II** **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

|  |   |   |
|--|---|---|
| Type or print<br>File by the extended due date for filing your return. See instructions. | Name of exempt organization<br><b>THE SOOCH FOUNDATION</b>  | Employer identification number<br><b>20-0399480</b> |
|  | Number, street, and room or suite no. If a P.O. box, see instructions.<br><b>600 WEST 7TH STREET</b>                |   |
|  | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br><b>AUSTIN, TX 78701</b> |   |

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

| Application Is For                       | Return Code | Application Is For | Return Code |
|--|-------------|--------------------|-------------|
| Form 990                                 | 01          |                    |             |
| Form 990-BL                              | 02          | Form 1041-A        | 08          |
| Form 990-EZ                              | 03          | Form 4720          | 09          |
| Form 990-PF                              | 04          | Form 5227          | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069          | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870          | 12          |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**MARY ELLEN PIETRUSZYNSKI**

• The books are in the care of **600 WEST 7TH STREET - AUSTIN, TX 78701**

Telephone No. **(512) 329-0464**

FAX No.

• If the organization does not have an office or place of business in the United States, check this box

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for

I request an additional 3-month extension of time until **NOVEMBER 15, 2011**

5 For calendar year **2010**, or other tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

6 If the tax year entered in line 5 is for less than 12 months, check reason:  Initial return  Final return

Change in accounting period

7 State in detail why you need the extension

**THE TAXPAYER RESPECTFULLY REQUESTS ADDITIONAL TIME TO FILE IN ORDER TO GATHER THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN**

|    |   |    |    |                |
|----|---|----|----|----------------|
| 8a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.  | 8a | \$ | <b>11,199.</b> |
| b  | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | \$ | <b>11,199.</b> |
| c  | <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.  | 8c | \$ | <b>0.</b>      |

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title **CPA**

Date **12 Aug 2011**