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**Return of Private Foundation**  
 or Section 4947(a)(1) Nonexempt Charitable Trust  
 Treated as a Private Foundation

**2010**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2010, or tax year beginning , 2010, and ending , 20

G Check all that apply  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

Name of foundation: HALBERT HARMON FOUNDATION  
 Number and street (or P O box number if mail is not delivered to street address): 6655 N MACARTHUR BLVD  
 City or town, state, and ZIP code: IRVING, TX 75039  
 Room/suite: \_\_\_\_\_  
 A Employer identification number: 20-0214688  
 B Telephone number (see page 10 of the instructions): (972) 590-2100

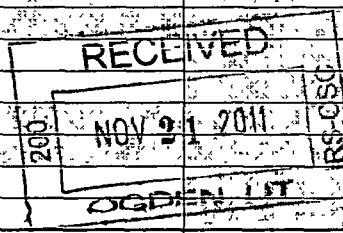
H Check type of organization  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 3,296,958.  
 J Accounting method  Cash  Accrual  
 Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here . . . . .   
 D 1 Foreign organizations, check here . . . . .   
 2 Foreign organizations meeting the 85% test, check here and attach computation . . . . .   
 E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . .   
 F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . .

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule) . . . . .	276,433			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B . . . . .				
3	Interest on savings and temporary cash investments . . . . .	619	619		ATCH 1
4	Dividends and interest from securities . . . . .	12,113	12,113		ATCH 2
5a	Gross rents . . . . .				
b	Net rental income or (loss) . . . . .				
6a	Net gain or (loss) from sale of assets not on line 10 . . . . .	88,869			
b	Gross sales price for all assets on line 6a . . . . .	1,211,058			
7	Capital gain net income (from Part IV line 2) . . . . .		88,869		
8	Net short-term capital gain . . . . .				
9	Income modifications . . . . .				
10a	Gross sales less returns and allowances . . . . .				
b	Less: Cost of goods sold . . . . .				
c	Gross profit or (loss) (attach schedule) . . . . .				
11	Other income (attach schedule) . . . . .				
12	<b>Total.</b> Add lines 1 through 11 . . . . .	378,034	101,601		
13	Compensation of officers, directors, trustees, etc . . . . .	0			
14	Other employee salaries and wages . . . . .				
15	Pension plans, employee benefits . . . . .				
16a	Legal fees (attach schedule) ATCH 3 . . . . .	8,268	0	0	0
b	Accounting fees (attach schedule) ATCH 4 . . . . .	4,000	0	0	0
c	Other professional fees (attach schedule) . . . . .	20,181	20,181		
17	Interest . . . . .				
18	Taxes (attach schedule) (see page 14 of the instructions) . . . . .	6			
19	Depreciation (attach schedule) and depletion . . . . .				
20	Occupancy . . . . .				
21	Travel, conferences, and meetings . . . . .				
22	Printing and publications . . . . .				
23	Other expenses (attach schedule) ATCH 7 . . . . .	16			
24	<b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	32,471	20,181	0	0
25	Contributions, gifts, grants paid . . . . .	45,263			45,263
26	<b>Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .	77,734	20,181	0	45,263
27	Subtract line 26 from line 12 . . . . .				
a	Excess of revenue over expenses and disbursements . . . . .	300,300			
b	Net investment income (if negative, enter -0-) . . . . .		81,420		
c	Adjusted net income (if negative, enter -0-) . . . . .				

Operating and Administrative Expenses SCANNED NOV 28 2010



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	44,106.	2,560.	2,560.	
	2	Savings and temporary cash investments	1,534,386.	798,589.	798,589.	
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule) *		* 1,000,000.	ATCH 8	
		Less: allowance for doubtful accounts		1,000,000.	1,000,000.	
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 9	989,843.	1,067,207.	1,495,809.	
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe )					
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I)	2,568,335.	2,868,356.	3,296,958.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe )				
	23	<b>Total liabilities</b> (add lines 17 through 22)		0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds	3,218,529.	3,218,529.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	-650,194.	-350,173.		
30	<b>Total net assets or fund balances</b> (see page 17 of the instructions)	2,568,335.	2,868,356.			
31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions)	2,568,335.	2,868,356.			

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,568,335.
2	Enter amount from Part I, line 27a	2	300,300.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	2,868,635.
5	Decreases not included in line 2 (itemize) ATTACHMENT 10	5	279.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6	2,868,356.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)				(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a SEE PART IV SCHEDULE						
b						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)			
a						
b						
c						
d						
e						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69						
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))			
a						
b						
c						
d						
e						
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				2	88,869.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.				3		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2009	113,500.	2,276,576.	0.049856	
2008	298,500.	2,568,506.	0.116215	
2007	288,000.	2,697,111.	0.106781	
2006	278,255.	2,478,691.	0.112259	
2005	1,290,695.	2,491,445.	0.518051	
2 Total of line 1, column (d)			2	0.903162
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3	0.180632
4 Enter the net value of noncharitable-use assets for 2010 from Part X, line 5			4	2,456,344.
5 Multiply line 4 by line 3			5	443,694.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6	814.
7 Add lines 5 and 6			7	444,508.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18.			8	45,263.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits/payments. Total tax due is 1,722. Refunded amount is 1,722.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, unrelated business income, and state reporting. Includes 'Yes' and 'No' columns.

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . .	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> CHRISTOPHER HARMON Telephone no <input type="checkbox"/> 972-590-2100			
	Located at <input type="checkbox"/> 6655 N MACARTHUR BLVD IRVING, TX ZIP + 4 <input type="checkbox"/> 75039			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . <input type="checkbox"/> 15			
16	At any time during calendar year 2010, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No
	See page 20 of the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>			X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
1b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . . <input type="checkbox"/>	1b		X
	Organizations relying on a current notice regarding disaster assistance check here. . . . . <input type="checkbox"/>			
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts that were not corrected before the first day of the tax year beginning in 2010? . . . . .	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2010, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2010? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years <input type="checkbox"/>			
2b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 22 of the instructions) . . . . .	2b		X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3b	If "Yes," did it have excess business holdings in 2010 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2010) . . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a		X
4b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2010? . . . . .	4b		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
  - (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No
  - (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
  - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)  Yes  No
  - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?  **5b**  
 Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No **6b**  
 If "Yes" to 6b, file Form 8870.

- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
-----		
-----		
-----		
-----		

Total number of others receiving over \$50,000 for professional services . . . . . NONE

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	

Total. Add lines 1 through 3 . . . . .

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,278,227.
b	Average of monthly cash balances	1b	1,215,523.
c	Fair market value of all other assets (see page 25 of the instructions)	1c	0.
d	<b>Total</b> (add lines 1a, b, and c)	1d	2,493,750.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,493,750.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	37,406.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,456,344.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	122,817.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

1	Minimum investment return from Part X, line 6	1	122,817.
2a	Tax on investment income for 2010 from Part VI, line 5	2a	1,628.
b	Income tax for 2010 (This does not include the tax from Part VI)	2b	0.
c	Add lines 2a and 2b	2c	1,628.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	121,189.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	121,189.
6	Deduction from distributable amount (see page 25 of the instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	121,189.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	45,263.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	45,263.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	45,263.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2009	(c) 2009	(d) 2010
1 Distributable amount for 2010 from Part XI, line 7				121,189.
2 Undistributed income, if any, as of the end of 2010			0.	
a Enter amount for 2009 only			0.	
b Total for prior years 20 08, 20 07, 20 06		0.		
3 Excess distributions carryover, if any, to 2010				
a From 2005	1,168,154.			
b From 2006	155,852.			
c From 2007	155,217.			
d From 2008	170,702.			
e From 2009	113,500.			
f Total of lines 3a through e	1,763,425.			
4 Qualifying distributions for 2010 from Part XII, line 4 ▶ \$ 45,263.			0.	
a Applied to 2009, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2010 distributable amount				45,263.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2010 (If an amount appears in column (d), the same amount must be shown in column (a))	75,926.			75,926.
6 Enter the net total of each column as indicated below:	1,687,499.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		0.		
e Undistributed income for 2009 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			0.	
f Undistributed income for 2010. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2005 not applied on line 5 or line 7 (see page 27 of the instructions)	1,092,228.			
9 Excess distributions carryover to 2011. Subtract lines 7 and 8 from line 6a	595,271.			
10 Analysis of line 9				
a Excess from 2006	155,852.			
b Excess from 2007	155,217.			
c Excess from 2008	170,702.			
d Excess from 2009	113,500.			
e Excess from 2010				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2010, enter the date of the ruling
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: Tax year (a) 2010, Prior 3 years (b) 2009, (c) 2008, (d) 2007, (e) Total. Rows include 2a-2e (Qualifying distributions) and 3a-3d (Alternative tests).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ATTACHMENT 12

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number of the person to whom applications should be addressed
b The form in which applications should be submitted and information and materials they should include
c Any submission deadlines
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a</i> Paid during the year SEE STATEMENT D				45,263.
<b>Total</b> . . . . . ▶ <b>3a</b>				45,263.
<i>b</i> Approved for future payment				
<b>Total</b> . . . . . ▶ <b>3b</b>				





Schedule of Contributors

2010

▶ Attach to Form 990, 990-EZ, or 990-PF.

Name of the organization  
HALBERT HARMON FOUNDATION

Employer identification number  
20-0214688

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization HALBERT HARMON FOUNDATION

Employer identification number  
20-0214688

**Part I** Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	DSH 2004 CLAT ----- 6655 N MACARTHUR BLVD ----- IRVING, TX 75039 -----	\$ 276,433. -----	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
SMITH BARNEY 1958C-19	603.	603.
SMITH BARNEY 21294-14	16.	16.
TOTAL	<u>619.</u>	<u>619.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
SMITH BARNEY 21294-14	5,367.	5,367.
SMITH BARNEY 1958C-19	5,322.	5,322.
MERRILL LYNCH 02025	374.	374.
MERRILL LYNCH 75043	1,050.	1,050.
TOTAL	<u>12,113.</u>	<u>12,113.</u>

ATTACHMENT 3

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	8,268.			
TOTALS	<u>8,268.</u>	<u>0.</u>	<u>0.</u>	<u>0.</u>

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	4,000.			
TOTALS	<u>4,000.</u>	<u>0.</u>	<u>0.</u>	<u>0.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT FEES	20,181.	20,181.
TOTALS	<u>20,181.</u>	<u>20,181.</u>

ATTACHMENT 6

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FOREIGN TAXES PAID	6.
TOTALS	<u>6.</u>

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
BANK FEES	16.
TOTALS	<u>16.</u>

ATTACHMENT 8

FORM 990PF, PART II - OTHER NOTES AND LOANS RECEIVABLE

BORROWER: MANA  
ORIGINAL AMOUNT: 1,000,000.  
DATE OF NOTE: VAR

ENDING BALANCE DUE .....	<u>1,000,000.</u>
ENDING FAIR MARKET VALUE .....	<u>1,000,000.</u>
TOTAL ENDING BOOK - OTHER NOTES AND LOANS RECEIVABLE	<u>1,000,000.</u>
TOTAL ENDING FMV - OTHER NOTES AND LOANS RECEIVABLE	<u>1,000,000.</u>

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SMITH BARNEY - SEE STATEMENT E	988,543.	1,065,907.	1,415,838.
CVS CAREMARK CORP	1,300.	1,300.	79,971.
<b>TOTALS</b>	<u>989,843.</u>	<u>1,067,207.</u>	<u>1,495,809.</u>

ATTACHMENT 10

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
OTHER BOOK/TAX DIFFERENCE	279.
TOTAL	<u>279.</u>

HALBERT HARMON FOUNDATION

20-0214688

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DAVID S. HALBERT 6655 N MACARTHUR BLVD IRVING, TX 75039	DIRECTOR/PRESIDENT 1.00	0.	0.	0.
JON MARK HARMON 2671 OLD ANNETTA ROAD ALEDO, TX 76008	DIRECTOR/V.P. 1.00	0.	0.	0.
CHRISTOPHER ROBERT HARMON 6655 N MACARTHUR BLVD IRVING, TX 75039	DIRECTOR/SECRETARY 5.00	0.	0.	0.
BELINDA JO HARMON 2671 OLD ANNETTA ROAD ALEDO, TX 76008	DIRECTOR/V.P. 1.00	0.	0.	0.
ROBERT G. HARMON 2671 OLD ANNETTA ROAD ALEDO, TX 76008	DIRECTOR/V.P. 1.00	0.	0.	0.
DAVID TODD HARMON 2671 OLD ANNETTA ROAD ALEDO, TX 76008	DIRECTOR 1.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

DAVID S. HALBERT  
6655 N MACARTHUR BLVD  
IRVING, TEXAS 75039

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

OMB No 1545-0092

**2010**

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

Name of estate or trust

HALBERT HARMON FOUNDATION

Employer identification number

20-0214688

**Note:** Form 5227 filers need to complete only Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .	<b>1b</b>	-42,243.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .	<b>2</b>	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>3</b>	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2009 Capital Loss Carryover Worksheet . . . . .	<b>4</b>	( )
5 <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back . . . . . ▶	<b>5</b>	-42,243.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .	<b>6b</b>	131,112.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .	<b>7</b>	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>8</b>	
9 Capital gain distributions . . . . .	<b>9</b>	
10 Gain from Form 4797, Part I . . . . .	<b>10</b>	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2009 Capital Loss Carryover Worksheet . . . . .	<b>11</b>	( )
12 <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back . . . . . ▶	<b>12</b>	131,112.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2010

<b>Part III Summary of Parts I and II</b>		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
<b>Caution: Read the instructions before completing this part.</b>				
<b>13</b>	<b>Net short-term gain or (loss)</b> . . . . .	<b>13</b>		-42,243.
<b>14</b>	<b>Net long-term gain or (loss):</b>			
a	Total for year . . . . .	<b>14a</b>		131,112.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh) . . . . .	<b>14b</b>		
c	28% rate gain . . . . .	<b>14c</b>		
<b>15</b>	<b>Total net gain or (loss).</b> Combine lines 13 and 14a . . . . . ▶	<b>15</b>		88,869.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

<b>Part IV Capital Loss Limitation</b>	
<b>16</b>	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000 . . . . . <b>16</b> ( )

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

<b>17</b>	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .	<b>17</b>	
<b>18</b>	Enter the smaller of line 14a or 15 in column (2) but not less than zero . . . . . <b>18</b>		
<b>19</b>	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . . <b>19</b>		
<b>20</b>	Add lines 18 and 19 . . . . . <b>20</b>		
<b>21</b>	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . . . ▶ <b>21</b>		
<b>22</b>	Subtract line 21 from line 20. If zero or less, enter -0- . . . . . <b>22</b>		
<b>23</b>	Subtract line 22 from line 17. If zero or less, enter -0- . . . . . <b>23</b>		
<b>24</b>	Enter the smaller of the amount on line 17 or \$2,300 . . . . . <b>24</b>		
<b>25</b>	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box. <input type="checkbox"/> No. Enter the amount from line 23. . . . . <b>25</b>		
<b>26</b>	Subtract line 25 from line 24. . . . . <b>26</b>		
<b>27</b>	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31. <input type="checkbox"/> No. Enter the smaller of line 17 or line 22 . . . . . <b>27</b>		
<b>28</b>	Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . . <b>28</b>		
<b>29</b>	Subtract line 28 from line 27 . . . . . <b>29</b>		
<b>30</b>	Multiply line 29 by 15% ( 15) . . . . . <b>30</b>		
<b>31</b>	Figure the tax on the amount on line 23. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . . <b>31</b>		
<b>32</b>	Add lines 30 and 31 . . . . . <b>32</b>		
<b>33</b>	Figure the tax on the amount on line 17. Use the 2010 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . . <b>33</b>		
<b>34</b>	<b>Tax on all taxable income.</b> Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . . <b>34</b>		





.....  
.....

Details of Long Term Gain (Loss) 2010

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	10	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/27/06	06/10/10	\$ 386 67	\$ 434 52	(\$ 47 85)	
125000020	1 590	CHARMING SHOPPES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	10/15/10	5,567 92	15,024 54	(9,456 62)	

STATEMENT A

.....  
 .....

**Details of Long Term Gain (Loss) 2010** *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000030	76	CREE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	03/05/10	\$ 5,253 03	\$ 2,787 68		\$ 2,465 35
125000040	48	CREE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/23/05	04/12/10	3,668 12	1,004 01		2,664 11
125000050	393	DIRECTV CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	01/15/10	13,150 24	5,574 11		7,576 13
125000060	35	LIBERTY MEDIA HLDG CORP CAP SER A MorganStanley SmithBarney LLC acted as your agent	06/24/08	11/10/10	2,064 99	525 00		1,539 99
125000070	96 1419 51 8608 9973	LIBERTY MEDIA CORP LIBERTY STARZ SER A MorganStanley SmithBarney LLC acted as your agent	12/01/04 03/27/08 03/31/08	03/02/10	4 985 11 2,689 07 51 71	2 133 70 1 581 56 30 39		2,851 41 1 107 51 21 32
<b>Total</b>					<b>\$ 37,818 88</b>	<b>\$ 28,095 51</b>	<b>( \$ 9,504 47)</b>	<b>\$ 18,225 82</b>

HALBERT HARMON FOUNDATION  
 EIN: 20-0214688

**Details of Short-Term Gain (Loss) 2010**

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000010	53	COOPER INDUSTRIES PLC DUBLIN-USD MorganStanley SmithBarney LLC acted as your agent	04/07/10	08/25/10	\$ 2,196.85	\$ 2,536.05 \$ 2,538.05	(\$ 339.20) (\$ 339.20)	\$ 0.00 \$ 0.00
125000020	10	COOPER INDUSTRIES PLC DUBLIN-USD	04/07/10	09/01/10	429.12	478.50 478.50	(49.38) (49.38)	0.00 0.00
	24	MorganStanley SmithBarney LLC acted as your agent	04/08/10		1,029.88	1,138.94 1,138.94	(109.06) (109.06)	0.00 0.00

STATEMENT B

Details of Short Term Gain (Loss) 2010 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000030	31	COOPER INDUSTRIES PLC DUBLIN-USD MorganStanley SmithBarney LLC acted as your agent	04/08/10	09/20/10	\$ 1,475.66	\$ 1,471.14 \$ 1,471.14	\$ 4.42 \$ 4.42	\$ 0.00 \$ 0.00
125000040	56	COOPER INDUSTRIES PLC DUBLIN-USD MorganStanley SmithBarney LLC acted as your agent	04/08/10	09/23/10	2,655.35	2,657.54 2,657.54	(2.19) (2.19)	0.00 0.00
125000050	34	COOPER INDUSTRIES PLC DUBLIN-USD MorganStanley SmithBarney LLC acted as your agent	04/08/10	09/24/10	1,622.07	1,613.50 1,613.50	8.57 8.57	0.00 0.00
125000130	52.5	TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	10/13/10	1,951.90	2,059.44 2,059.44	(107.54) (107.54)	0.00 0.00
125000140	24	TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	11/01/10	923.15	941.46 941.46	(18.30) (18.30)	0.00 0.00
125000150	26	COPA HOLDINGS SA CL A USD MorganStanley SmithBarney LLC acted as your agent	04/07/10	05/05/10	1,428.08	1,545.44 1,545.44	(117.36) (117.36)	0.00 0.00
125000160	8	COPA HOLDINGS SA CL A USD	04/07/10	05/06/10	423.73	475.52 475.52	(51.79) (51.79)	0.00 0.00
	17	MorganStanley SmithBarney LLC acted as your agent	04/08/10		900.43	1,024.25 1,024.25	(123.82) (123.82)	0.00 0.00
125000170	9	COPA HOLDINGS SA CL A USD MorganStanley SmithBarney LLC acted as your agent	04/08/10	05/07/10	470.90	542.25 542.25	(71.35) (71.35)	0.00 0.00
125000180	34	COPA HOLDINGS SA CL A USD MorganStanley SmithBarney LLC acted as your agent	04/08/10	05/10/10	1,810.43	2,048.50 2,048.50	(238.07) (238.07)	0.00 0.00

**Details of Short-Term Gain/(Loss) 2010 - continued**

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000190	15	COPA HOLDINGS SA CL A USD MorganStanley SmithBarney LLC acted as your agent	04/08/10	05/11/10	\$ 795 07	\$ 903 75 \$ 903 75	(\$ 108 68) (\$ 108 68)	\$ 0 00 \$ 0 00
125000200	221	ACTIVISION BLIZZARD INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	04/28/10	2,408 50	2,851.87 2,851.87	(243 17) (243 17)	0 00 0 00
125000210	30	ACTIVISION BLIZZARD INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	05/05/10	321 27	359 95 359 95	(38 68) (38 68)	0 00 0 00
	570	ACTIVISION BLIZZARD INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10		6,104 20	6,866 73 6,866 73	(762 53) (762 53)	0 00 0 00
125000230	47	ALLEGHENY TECHNOLOGIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	11/09/10	2,502 52	2,602 86 2,602 86	(100 34) (100 34)	0 00 0 00
125000260	11	APPLE INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	11/24/10	3,432 87	2,646 60 2,646 60	786 27 786 27	0 00 0 00
125000270	46	AVERY DENNISON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/11/10	08/25/10	1,465 28	1,534 05 1,534.05	(68 77) (68 77)	0 00 0 00
125000280	12	AVERY DENNISON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/11/10	10/27/10	465 90	400.19 400.19	55 71 55 71	0 00 0 00
	32	AVERY DENNISON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/14/10		1,215 74	1,104 60 1,104.80	111 14 111 14	0 00 0 00
125000290	46	AVERY DENNISON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/14/10	10/28/10	1,704 27	1,587.85 1,687 85	116 42 116 42	0 00 0 00
125000300	38	AVERY DENNISON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/14/10	11/01/10	1,368.84	1,311.71 1,311 71	55 13 55 13	0 00 0 00
	16	AVERY DENNISON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/15/10		575 51	561.82 561 82	13 69 13 89	0 00 0 00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000310	41	AVERY DENNISON CORP MorganStanley SmithBarney LLC	06/15/10	11/02/10	\$ 1,482.94	\$ 1,439.15	\$ 43.79	\$ 0.00
	32	acted as your agent in this transaction	06/16/10		1,157.42	1,137.67	19.75	0.00
125000320	69	BAXTER INTL INC MorganStanley SmithBarney LLC	04/07/10	04/22/10	3,423.42	3,996.48	(573.06)	0.00
	52	acted as your agent in this transaction	04/08/10		2,579.96	3,015.18	(435.22)	0.00
125000330	108	BAXTER INTL INC MorganStanley SmithBarney LLC	04/08/10	04/23/10	5,440.48	6,262.29	(821.80)	0.00
		acted as your agent in this transaction				6,262.29	(821.80)	0.00
125000350	53	BORGWARNER INC MorganStanley SmithBarney LLC	04/07/10	05/20/10	1,834.05	1,988.56	(154.51)	0.00
		acted as your agent in this transaction				1,988.56	(154.51)	0.00
125000360	13	BORGWARNER INC MorganStanley SmithBarney LLC	04/07/10	05/25/10	448.63	487.76	(39.13)	0.00
	6	acted as your agent in this transaction	04/08/10		207.06	226.23	(19.17)	0.00
125000390	78	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC	04/07/10	08/24/10	2,378.00	3,033.31	(655.31)	0.00
		acted as your agent				3,033.31	(655.31)	0.00
125000400	12	CARNIVAL CORP (PAIRED STOCK)	04/07/10	08/25/10	361.82	466.68	(104.84)	0.00
	43	MorganStanley SmithBarney LLC	04/08/10		1,296.51	1,645.98	(349.47)	0.00
		acted as your agent				1,645.98	(349.47)	0.00
125000410	48	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC	04/08/10	12/15/10	2,044.73	1,837.37	207.36	0.00
		acted as your agent				1,837.37	207.36	0.00
125000420	39	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC	04/08/10	12/16/10	1,653.82	1,492.86	160.96	0.00
		acted as your agent				1,492.86	160.96	0.00

Details of Short Term Gain (Loss) 2010, continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000430	40	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC acted as your agent	04/08/10	12/20/10	\$ 1,716.32	\$ 1,531.14 \$ 1,531.14	\$ 185.18 \$ 185.18	\$ 0.00 \$ 0.00
125000440	40	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC acted as your agent	04/08/10	12/21/10	1,792.65	1,531.14 1,531.14	261.51 261.51	0.00 0.00
125000450	29	CERNER CORP MorganStanley SmithBarney LLC acted as principal	04/07/10	06/11/10	2,313.13	2,486.74 2,486.74	(153.61) (153.61)	0.00 0.00
	1	In this transaction	04/08/10		79.76	84.73 84.73	(4.97) (4.97)	0.00 0.00
125000460	1	CERNER CORP MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10	06/14/10	239.76	254.19 254.19	(14.43) (14.43)	0.00 0.00
125000470	24	CERNER CORP MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10	07/23/10	1,802.51	2,033.52 2,033.52	(231.01) (231.01)	0.00 0.00
125000480	42	CERNER CORP MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10	07/26/10	3,219.27	3,558.66 3,558.66	(339.39) (339.39)	0.00 0.00
125000500	108	CHICOS FAS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	06/29/10	1,077.02	1,554.99 1,554.99	(477.97) (477.97)	0.00 0.00
125000510	43	CHICOS FAS INC MorganStanley SmithBarney LLC acted as your agent	04/07/10	07/06/10	431.79	619.11 619.11	(187.32) (187.32)	0.00 0.00
	51	In this transaction	04/08/10		512.12	732.26 732.26	(220.14) (220.14)	0.00 0.00
125000520	185	CHICOS FAS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	07/15/10	1,826.84	2,658.23 2,658.23	(829.39) (829.39)	0.00 0.00

Details of Short Term Gain/(Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000530	52	CHICOS FAS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/08/10	07/19/10	\$ 497 40	\$ 746 62 \$ 746 62	(\$ 249 22) (\$ 249 22)	\$ 0 00 \$ 0 00
125000540	67	CHICOS FAS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/08/10	07/20/10	640 99	961 98 961 98	(320 99) (320 99)	0 00 0 00
125000550	11	CHURCH & DWIGHT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/07/10	08/19/10	678 25	742 83 742 83	(64 58) (64 58)	0 00 0 00
	8	CHURCH & DWIGHT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/08/10		493 28	541 60 541 60	(48 32) (48 32)	0 00 0 00
125000560	17	CHURCH & DWIGHT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/07/10	08/20/10	1,036 47	1,148 01 1,148 01	(111 54) (111 54)	0 00 0 00
125000570	2	CHURCH & DWIGHT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/07/10	06/23/10	121 72	135 06 135 06	(13 34) (13 34)	0 00 0 00
	57	CHURCH & DWIGHT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/08/10		3 469 01	3 868 90 3 868 90	(389 89) (389 89)	0 00 0 00
125000580	139	CISCO SYS INC MorganStanley SmithBarney LLC acted as principal in this transaction.	04/07/10	08/12/10	2,952 74	3,677 73 3,677 73	(724 99) (724 99)	0 00 0 00
	151	CISCO SYS INC MorganStanley SmithBarney LLC acted as principal in this transaction.	04/08/10		3,207 65	3,940 50 3,940 50	(732 85) (732 85)	0 00 0 00
125000590	164	CISCO SYS INC MorganStanley SmithBarney LLC acted as principal in this transaction.	04/08/10	09/29/10	3,546 39	4,279 74 4,279 74	(733 35) (733 35)	0 00 0 00
	50	CISCO SYS INC MorganStanley SmithBarney LLC acted as principal in this transaction.	05/13/10		1,081 22	1,276 94 1,276 94	(195 72) (195 72)	0 00 0 00
125000600	46	COGNIZANT TECH SOLUTIONS CL A MorganStanley SmithBarney LLC acted as principal in this transaction.	04/07/10	08/28/10	2,424 07	2,347 75 2,347 75	76 32 76 32	0 00 0 00
125000620	30	COMPASS MINERALS INTL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/07/10	06/11/10	2,323 57	2,384 10 2,384 10	(60 53) (60 53)	0 00 0 00

Details of Short Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000630	136	CORNING INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	06/08/10	\$ 2,463.59	\$ 2,732.04 \$ 2,732.04	(\$ 268.45) (\$ 268.45)	\$ 0.00 \$ 0.00
125000640	32	CORNING INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	05/07/10	560.72	642.83 642.83	(82.11) (82.11)	0.00 0.00
	131	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		2,295.46	2,592.01 2,592.01	(296.55) (296.55)	0.00 0.00
125000650	269	CORNING INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	05/10/10	4,934.72	5,322.51 5,322.51	(387.79) (387.79)	0.00 0.00
125000680	39	CREE INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	08/12/10	2,274.82	2,994.81 2,994.81	(719.99) (719.99)	0.00 0.00
	33	MorganStanley SmithBarney LLC acted as principal in this transaction	07/23/10		1,924.85	2,380.38 2,380.38	(455.53) (455.53)	0.00 0.00
125000690	30	DIGITAL REALTY TR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	08/04/10	1,867.97	1,669.80 1,889.80	198.17 198.17	0.00 0.00
125000700	6	DIGITAL REALTY TR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	10/01/10	364.90	333.98 333.98	30.94 30.94	0.00 0.00
	85	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		5,169.47	4,703.90 4,703.90	465.57 465.57	0.00 0.00
125000720	38	DOCTOR REDDY'S LABORATORY LIMITED ADR MorganStanley SmithBarney LLC acted as your agent	04/07/10	06/10/10	1,216.64	1,095.60 1,095.60	121.04 121.04	0.00 0.00
125000730	33	DOCTOR REDDY'S LABORATORY LIMITED ADR MorganStanley SmithBarney LLC acted as your agent	04/07/10	06/11/10	1,034.53	951.44 951.44	83.09 83.09	0.00 0.00
125000740	23	DOCTOR REDDY'S LABORATORY LIMITED ADR MorganStanley SmithBarney LLC acted as your agent	04/07/10	06/14/10	705.52	683.12 683.12	42.40 42.40	0.00 0.00

Details of Short-Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125000750	13	DOCTOR REDDYS LABORATORY LIMITED ADR	04/07/10	11/15/10	\$ 510.29	\$ 374.81	\$ 135.48	\$ 0.00
	11	MorganStanley SmithBarney LLC acted as your agent	04/08/10		431.78	315.47	116.31	0.00
125000760	14	DOCTOR REDDYS LABORATORY LIMITED ADR	04/08/10	11/16/10	542.88	401.50	141.38	0.00
		MorganStanley SmithBarney LLC acted as your agent				401.50	141.38	0.00
125000780	26	DOLBY LABORATORIES INC CLASS A	05/27/10	09/29/10	1,535.70	1,708.04	(172.34)	0.00
		MorganStanley SmithBarney LLC acted as your agent				1,708.04	(172.34)	0.00
125000790	19	DOLBY LABORATORIES INC CLASS A	06/27/10	09/30/10	1,087.13	1,248.18	(161.05)	0.00
	29	MorganStanley SmithBarney LLC acted as your agent	06/03/10		1,659.90	1,866.25	(306.95)	0.00
	18		06/04/10		1,029.90	1,215.55	(185.65)	0.00
125000800	71	DOW CHEMICAL CO	04/07/10	05/14/10	1,947.77	2,189.84	(241.87)	0.00
		MorganStanley SmithBarney LLC acted as your agent in this transaction.				2,189.84	(241.87)	0.00
125000810	70	DOW CHEMICAL CO	04/07/10	06/08/10	1,724.33	2,158.80	(434.47)	0.00
		MorganStanley SmithBarney LLC acted as your agent in this transaction.				2,158.80	(434.47)	0.00
125000820	54	DOW CHEMICAL CO	04/07/10	08/13/10	1,346.55	1,685.36	(318.81)	0.00
	79	MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/08/10		1,969.96	1,866.36	(318.81)	0.00
125000830	76	DREAMWORKS ANIMATION INC CL A	04/19/10	05/27/10	2,216.29	3,155.48	(939.19)	0.00
		MorganStanley SmithBarney LLC acted as principal in this transaction.				3,155.48	(939.19)	0.00
125000840	14	EASTMAN CHEMICAL CO	04/07/10	05/14/10	874.94	923.86	(48.92)	0.00
		MorganStanley SmithBarney LLC acted as your agent in this transaction.				923.86	(48.92)	0.00

Details of Short Term Gain (Loss) 2010 *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000850	30	EASTMAN CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	06/08/10	\$ 1,727.46	\$ 1,979.70 \$ 1,979.70	(\$ 252.24) (\$ 252.24)	\$ 0.00 \$ 0.00
125000860	5	EASTMAN CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	06/15/10	304.25	329.95 329.95	(25.70) (25.70)	0.00 0.00
	22	EASTMAN CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		1,338.69	1,444.17 1,444.17	(105.48) (105.48)	0.00 0.00
125000870	28	EASTMAN CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	06/23/10	1,681.36	1,838.03 1,838.03	(156.67) (156.67)	0.00 0.00
125000880	7	EASTMAN CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	06/24/10	414.77	459.51 459.51	(44.74) (44.74)	0.00 0.00
125000890	20	EASTMAN CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	06/25/10	1,166.73	1,312.88 1,312.88	(146.15) (146.15)	0.00 0.00
125000900	22	EASTMAN CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	06/28/10	1,258.11	1,444.17 1,444.17	(186.06) (186.06)	0.00 0.00
125000910	11	EASTMAN CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	06/30/10	599.86	722.08 722.08	(122.22) (122.22)	0.00 0.00
125000920	74	EBAY INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	10/13/10	1,816.41	1,991.34 1,991.34	(174.93) (174.93)	0.00 0.00
	7	EBAY INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10		171.82	183.93 183.93	(12.11) (12.11)	0.00 0.00
125000930	17	FMC TECHNOLOGIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	06/20/10	953.90	1,154.30 1,154.30	(200.40) (200.40)	0.00 0.00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/Loss Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000940	4	FMC TECHNOLOGIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	06/01/10	\$ 212 55	\$ 271 60 \$ 271 60	(# 59.05) (# 59 05)	\$ 0 00 \$ 0 00
125000950	20	FMC TECHNOLOGIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	06/02/10	1,005 09	1,358.00 1,358 00	(352.91) (352 91)	0 00 0 00
125000960	225	FLUOR CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	01/15/10	04/08/10	11,030 14	11,195 89 11,195 89	(165 75) (165 75)	0 00 0 00
125000970	235	FORD MOTOR COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	04/26/10	05/07/10	2,752 15	3,398 12 3,398 12	(645 97) (645 97)	0 00 0 00
125001000	89	GANNETT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	04/28/10	1,551 15	1,530 67 1,530 67	20 48 20 48	0 00 0 00
125001010	110	GANNETT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	04/28/10	1,923 97	1,891.84 1,891.84	32.13 32 13	0 00 0 00
125001020	17	GANNETT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	05/03/10	293 45	292.37 292.37	1 08 1 08	0 00 0 00
	62	GANNETT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		1,070 23	1,069 16 1,089 16	(18 93) (18 93)	0 00 0 00
125001030	205	GANNETT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	05/06/10	3,209 58	3,601.25 3,601.25	(391 67) (391 67)	0 00 0 00
125001040	208	GANNETT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	05/10/10	3,278 42	3,853.96 3,653.96	(375 54) (375 54)	0 00 0 00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001060	15	HARMAN INTL INDS INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	05/19/10	\$ 482.34	\$ 727.80 \$ 727.80	(\$ 245.46) (\$ 245.46)	\$ 0.00 \$ 0.00
125001070	21	HARMAN INTL INDS INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	06/20/10	642.35	1,018.92 1,018.92	(376.57) (376.57)	0.00 0.00
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		152.94	236.65 236.85	(83.91) (83.91)	0.00 0.00
125001080	21	HARMAN INTL INDS INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	05/25/10	655.97	994.77 994.77	(338.80) (338.80)	0.00 0.00
125001090	47	HARMAN INTL INDS INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	06/28/10	1,524.76	2,226.39 2,226.39	(701.63) (701.63)	0.00 0.00
125001100	17	HARMAN INTL INDS INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	06/30/10	520.68	806.29 806.29	(284.61) (284.61)	0.00 0.00
125001110	41	HEWITT ASSOCIATES INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	04/15/10	1,659.28	1,680.18 1,680.18	(20.90) (20.90)	0.00 0.00
125001120	16	HEWITT ASSOCIATES INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	04/23/10	654.78	855.68 855.68	(.92) (.92)	0.00 0.00
125001130	16	HEWITT ASSOCIATES INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	04/26/10	667.85	648.30 648.30	19.55 19.55	0.00 0.00
125001140	8	HEWITT ASSOCIATES INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	04/27/10	332.12	324.15 324.15	7.97 7.97	0.00 0.00

Details of Short-Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001150	9	HEWITT ASSOCIATES INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	04/29/10	\$ 372.02	\$ 364.67 \$ 364.67	\$ 7.35 \$ 7.35	\$ 0.00 \$ 0.00
125001160	18	HEWITT ASSOCIATES INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	05/03/10	735.36	729.33 729.33	6.03 6.03	0.00 0.00
125001170	45	HEWITT ASSOCIATES INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	05/13/10	1,756.31	1,823.33 1,823.33	(67.02) (67.02)	0.00 0.00
125001180	34	HEWITT ASSOCIATES INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	05/14/10	1,303.03	1,377.63 1,377.63	(74.60) (74.60)	0.00 0.00
125001190	63	ICICI BANK LTD SPONS ADR- INR MorganStanley SmithBarney LLC acted as your agent	10/11/10	12/09/10	2,959.69	3,261.84 3,261.84	(302.15) (302.15)	0.00 0.00
125001200	45	ICICI BANK LTD SPONS ADR INR	10/11/10	12/10/10	2,199.48	2,329.89 2,329.89	(130.41) (130.41)	0.00 0.00
	24	MorganStanley SmithBarney LLC acted as your agent	10/12/10		1,173.05	1,233.63 1,233.63	(60.48) (60.48)	0.00 0.00
125001210	29	IHS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	04/14/10	1,514.49	1,538.45 1,538.45	(23.96) (23.96)	0.00 0.00
125001220	12	IHS INC CLASS A MorganStanley SmithBarney LLC	04/07/10	04/22/10	634.96	636.60 636.60	(1.64) (1.64)	0.00 0.00
	8	acted as your agent in this transaction	04/08/10		423.30	417.54 417.54	5.76 5.76	0.00 0.00
125001230	11	IHS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	04/23/10	581.07	574.11 574.11	6.96 6.96	0.00 0.00

Details of Short-Term Gain (Loss), 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001240	4	IHS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	04/26/10	\$ 210.25	\$ 208.77 \$ 208.77	\$ 1.48 \$ 1.48	\$ 0.00 \$ 0.00
125001250	19	IHS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	05/03/10	993.95	991.65 991.65	2.30 2.30	0.00 0.00
125001260	58	IHS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	05/12/10	3,078.17	3,027.13 3,027.13	51.04 51.04	0.00 0.00
125001270	51	INFOSYS TECHNOLOGIE SP ADR MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	07/13/10	3,060.10	3,056.43 3,058.43	3.67 3.67	0.00 0.00
	28	INFOSYS TECHNOLOGIE SP ADR MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10		1,600.05	1,559.53 1,669.53	10.52 10.52	0.00 0.00
125001280	33	INFOSYS TECHNOLOGIE SP ADR MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10	09/01/10	1,956.48	1,967.66 1,867.66	(11.18) (11.18)	0.00 0.00
125001290	59	INFOSYS TECHNOLOGIE SP ADR MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10	10/11/10	4,066.51	3,517.93 3,517.93	548.58 548.58	0.00 0.00
125001300	16	INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	04/08/10	2,042.19	2,055.04 2,055.04	(12.85) (12.85)	0.00 0.00
125001310	47	INTL FLAVORS & FRAGRANCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	07/29/10	2,147.89	2,289.37 2,289.37	(141.48) (141.48)	0.00 0.00
125001320	4	INTL FLAVORS & FRAGRANCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	07/30/10	181.49	194.84 194.84	(13.35) (13.35)	0.00 0.00
	26	INTL FLAVORS & FRAGRANCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		1,179.69	1,266.41 1,266.41	(86.72) (86.72)	0.00 0.00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001330	59	INTL FLAVORS & FRAGRANCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/08/10	06/02/10	\$ 2,708.07	\$ 2,873.77 \$ 2,873.77	(\$ 167.70) (\$ 167.70)	\$ 0.00 \$ 0.00
125001340	35	INTL FLAVORS & FRAGRANCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/08/10	08/03/10	1,598.27	1,704.78 1,704.78	(106.51) (106.51)	0.00 0.00
125001350	27	ITRON INC MorganStanley SmithBarney LLC acted as principal in this transaction.	04/07/10	09/29/10	1,595.63	1,978.13 1,878.13	(380.50) (380.50)	0.00 0.00
	2	acted as principal in this transaction.	04/08/10		118.20	146.78 146.78	(28.58) (28.58)	0.00 0.00
125001360	12	ITRON INC MorganStanley SmithBarney LLC acted as principal in this transaction.	04/08/10	11/01/10	710.98	890.68 890.68	(189.70) (189.70)	0.00 0.00
125001370	47	ITRON INC MorganStanley SmithBarney LLC acted as principal in this transaction.	04/08/10	11/24/10	2,779.45	3,449.33 3,449.33	(689.88) (689.88)	0.00 0.00
125001380	4	ITRON INC MorganStanley SmithBarney LLC acted as principal in this transaction.	04/08/10	11/29/10	230.19	293.56 293.56	(63.37) (63.37)	0.00 0.00
	19	acted as principal in this transaction.	07/30/10		1,093.40	1,242.00 1,242.00	(148.60) (148.60)	0.00 0.00
125001390	24	J CREW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/07/10	06/29/10	875.28	1,121.28 1,121.28	(245.00) (245.00)	0.00 0.00
125001400	32	J CREW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/07/10	07/06/10	1,180.84	1,495.04 1,495.04	(314.20) (314.20)	0.00 0.00
125001410	6	J CREW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/07/10	07/16/10	198.82	280.32 280.32	(81.50) (81.50)	0.00 0.00
	40	acted as your agent in this transaction.	04/08/10		1,325.49	1,878.90 1,878.90	(553.41) (553.41)	0.00 0.00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001420	31	J CREW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	07/19/10	\$ 1,024 95	\$ 1,456 15 \$ 1,466 15	(\$ 431 20) (\$ 431 20)	\$ 0 00 \$ 0 00
125001430	34	J CREW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	07/20/10	1,145 90	1,597 06 1,597 06	(451 16) (451 16)	0 00 0 00
125001440	35	J CREW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	07/21/10	1,205 90	1,644 04 1,644 04	(438 14) (438 14)	0 00 0 00
125001450	56	JOHNSON CONTROLS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	05/20/10	1,542 24	1,803 20 1,803 20	(260 96) (260 96)	0 00 0 00
125001460	5	JOHNSON CONTROLS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	05/25/10	132 80	161 00 161 00	(28 20) (28 20)	0 00 0 00
	21	JOHNSON CONTROLS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		557 78	668 82 668 82	(112 04) (112 04)	0 00 0 00
125001470	114	KRAFT FOODS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/10/10	12/02/10	3,473 57	3,470 08 3,470 08	3 49 3 48	0 00 0 00
125001480	106	KRAFT FOODS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/10/10	12/03/10	3,209 97	3,226 57 3,226 57	(16 60) (16 60)	0 00 0 00
125001540	43	LOCKHEED MARTIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	10/06/10	3,055 55	3,544 49 3,544 49	(488 94) (488 94)	0 00 0 00
	8	LOCKHEED MARTIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		568 47	656 92 656 92	(88 45) (88 45)	0 00 0 00
125001550	87	LOCKHEED MARTIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	10/08/10	6,089 04	7,144 00 7,144 00	(1,044 96) (1,044 96)	0 00 0 00

Details of Short Term Gain (Loss) 2010, continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001560	125	MBIA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/20/10	12/01/10	\$ 1,234 06	\$ 1,579 75 \$ 1,579 75	(\$ 345 67) (\$ 345 67)	\$ 0 00 \$ 0 00
125001580	62	MARKET VECTORS GOLD MINERS ETF TR MorganStanley SmithBarney LLC acted as your agent	05/12/10	07/13/10	3,167 08	3,340 50 3,340 50	(173 42) (173 42)	0 00 0 00
125001590	74	MARKET VECTORS GOLD MINERS ETF TR MorganStanley SmithBarney LLC acted as your agent	05/12/10	07/14/10	3,721 29	3,987 06 3,987 06	(265 77) (265 77)	0 00 0 00
125001600	41	MARKET VECTORS GOLD MINERS ETF TR MorganStanley SmithBarney LLC acted as your agent	05/12/10	07/16/10	2,000 69	2,209 04 2,209 04	(208 35) (208 35)	0 00 0 00
125001610	86	MICROSOFT CORP MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	04/19/10	2,656 93	2,527 20 2,527 20	129 73 129 73	0 00 0 00
	52	MICROSOFT CORP MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10		1,606 51	1,541 27 1,541 27	65 24 65 24	0 00 0 00
125001620	138	MICROSOFT CORP MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10	04/21/10	4,320 77	4,090 31 4,090 31	230 46 230 46	0 00 0 00
125001630	110	MICROCHIP TECHNOLOGY INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	10/13/10	3,358 25	3,063 71 3,063 71	294 54 294 54	0 00 0 00
125001640	7	MONSANTO CO NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	04/14/10	470 49	483 56 483 56	(13 07) (13 07)	0 00 0 00
	15	MONSANTO CO NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		1,008 18	1,030 50 1,030 50	(22 32) (22 32)	0 00 0 00
125001650	121	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	08/19/10	1,337 12	1,999 94 1,999 94	(662 82) (662 82)	0 00 0 00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001650	44	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	08/20/10	\$ 472.32	\$ 727.25 \$ 727.25	(\$ 254.93) (\$ 254.93)	\$ 0.00 \$ 0.00
125001670	91	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	08/23/10	978.26	1,504.08 1,504.08	(527.82) (527.82)	0.00 0.00
125001680	30	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	08/24/10	310.58	495.85 495.85	(185.27) (185.27)	0.00 0.00
	36	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		372.69	574.51 574.51	(201.82) (201.82)	0.00 0.00
125001690	94	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	08/25/10	952.16	1,500.10 1,500.10	(547.94) (547.94)	0.00 0.00
125001700	71	NYSE EURONEXT MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	07/14/10	1,960.84	2,205.90 2,205.90	(245.06) (245.06)	0.00 0.00
125001710	20	NYSE EURONEXT MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	10/13/10	583.63	621.38 621.38	(37.75) (37.75)	0.00 0.00
	34	NYSE EURONEXT MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		992.18	1,033.50 1,033.60	(41.34) (41.34)	0.00 0.00
125001720	71	NYSE EURONEXT MorganStanley SmithBarney LLC acted as your agent in this transaction	04/06/10	11/09/10	2,111.95	2,158.19 2,158.19	(48.24) (48.24)	0.00 0.00
125001730	37	NYSE EURONEXT MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	11/10/10	1,089.02	1,124.89 1,124.89	(35.87) (35.87)	0.00 0.00
125001740	53	NYSE EURONEXT MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	12/22/10	1,578.53	1,811.05 1,811.05	(32.52) (32.52)	0.00 0.00

Details of Short Term Gain (Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001790	69.5	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/07/10	08/25/10	\$ 2,601.37	\$ 2,978.07 \$ 2,978.07	(\$ 376.70) (\$ 376.70)	\$ 0.00 \$ 0.00
125001800	5	NATIONAL OILWELL VARCO INC LIQUIDATION OF FRACTIONAL SHS	04/07/10	08/27/10	18.37	21.43 21.43	(3.06) (3.06)	0.00 0.00
125001820	176	NVIDIA CORP MorganStanley SmithBarney LLC acted as principal in this transaction.	04/07/10	04/16/10	3,000.34	3,004.05 3,004.05	(3.71) (3.71)	0.00 0.00
125001830	2	NVIDIA CORP MorganStanley SmithBarney LLC acted as principal in this transaction.	04/07/10	04/19/10	33.66	34.14 34.14	(.48) (.48)	0.00 0.00
	13	NVIDIA CORP MorganStanley SmithBarney LLC acted as principal in this transaction.	04/08/10		218.82	219.93 219.93	(1.11) (1.11)	0.00 0.00
125001840	387	NVIDIA CORP MorganStanley SmithBarney LLC acted as principal in this transaction.	04/06/10	05/05/10	5,072.62	6,547.19 6,547.19	(874.57) (874.57)	0.00 0.00
125001850	83	OWENS CORNING INC NEW MorganStanley SmithBarney LLC acted as your agent.	04/07/10	06/08/10	2,440.81	2,234.36 2,234.38	206.45 206.45	0.00 0.00
125001860	53	OWENS CORNING INC NEW MorganStanley SmithBarney LLC acted as your agent.	04/07/10	07/01/10	1,514.77	1,426.76 1,426.76	88.01 88.01	0.00 0.00
125001870	15	OWENS CORNING INC NEW	04/07/10	07/06/10	419.96	403.80 403.80	16.16 16.16	0.00 0.00
	15	MorganStanley SmithBarney LLC acted as your agent.	04/08/10		419.95	388.08 388.08	21.87 21.87	0.00 0.00
125001880	74	OWENS CORNING INC NEW MorganStanley SmithBarney LLC acted as your agent.	04/08/10	08/13/10	1,919.19	1,963.84 1,963.84	(44.65) (44.65)	0.00 0.00
125001890	47	OWENS CORNING INC NEW MorganStanley SmithBarney LLC acted as your agent.	04/08/10	09/01/10	1,287.29	1,247.30 1,247.30	39.99 39.99	0.00 0.00

Details of Short-Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125001900	50	OWENS CORNING INC NEW MorganStanley SmithBarney LLC acted as your agent	04/08/10	09/20/10	\$ 1,175.36	\$ 1,328.92 \$ 1,328.92	(\$ 151.56) (\$ 151.56)	\$ 0.00 \$ 0.00
125001910	159	OWENS CORNING INC NEW MorganStanley SmithBarney LLC acted as your agent	04/08/10	09/21/10	3,840.34	4,219.61 4,219.61	(379.27) (379.27)	0.00 0.00
125001940	3	PLEXUS CORP MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	08/13/10	76.61	111.48 111.48	(34.87) (34.87)	0.00 0.00
125001950	51 2	PLEXUS CORP MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10 04/08/10	09/01/10	1,193.70 46.81	1,895.16 1,895.18 73.98 73.88	(701.46) (701.48) (27.17) (27.17)	0.00 0.00 0.00 0.00
125001960	25	POLO RALPH LAUREN CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	06/29/10	1,844.91	2,221.25 2,221.25	(376.34) (376.34)	0.00 0.00
125001970	10 1	POLO RALPH LAUREN CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10 04/08/10	07/16/10	748.57 74.86	888.50 888.50 88.70 88.70	(139.93) (139.93) (13.84) (13.84)	0.00 0.00 0.00 0.00
125001980	25	POLO RALPH LAUREN CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	07/19/10	1,828.79	2,217.60 2,217.60	(388.71) (388.71)	0.00 0.00
125001990	19	POLO RALPH LAUREN CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	08/12/10	1,536.44	1,685.30 1,685.30	(148.86) (148.86)	0.00 0.00
125002000	35	POLO RALPH LAUREN CORP CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	09/23/10	3,020.81	3,104.50 3,104.50	(83.69) (83.69)	0.00 0.00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002010	41	PROSHARES SHORT S&P500 MorganStanley SmithBarney LLC acted as your agent	04/07/10	04/28/10	\$ 1,989.56	\$ 2,005.31	(\$ 15.75)	\$ 0.00
	95	in this transaction	04/08/10		4,609.98	4,880.56	(50.60)	0.00
						4,880.56	(50.60)	0.00
125002020	52	PROSHARES SHORT RUSSELL2000 MorganStanley SmithBarney LLC acted as your agent	04/07/10	04/28/10	1,941.57	2,028.00	(86.43)	0.00
	115	in this transaction	04/08/10		4,293.87	2,028.00	(88.43)	0.00
						4,498.51	(204.64)	0.00
						4,498.51	(204.64)	0.00
125002030	5	PROSHARES ULTRASHORT RUSSELL 2000 MorganStanley SmithBarney LLC acted as your agent	04/07/10	04/13/10	95.44	97.10	(1.66)	0.00
						97.10	(1.66)	0.00
125002040	16	PROSHARES ULTRASHORT RUSSELL 2000	04/07/10	05/12/10	289.43	310.72	(21.29)	0.00
	52	MorganStanley SmithBarney LLC acted as your agent	04/08/10		940.66	310.72	(21.29)	0.00
	112	in this transaction	04/27/10		2,026.03	1,013.56	(72.90)	0.00
						1,013.56	(72.90)	0.00
						1,939.82	86.21	0.00
						1,939.82	86.21	0.00
125002050	85	PROSHARES ULTRASHORT RUSSELL 2000 MorganStanley SmithBarney LLC acted as your agent	04/27/10	05/26/10	1,815.62	1,472.18	343.44	0.00
						1,472.18	343.44	0.00
125002060	7	PROSHARES ULTRASHORT RUSSELL 2000	04/27/10	05/14/10	141.85	121.24	20.61	0.00
	91	MorganStanley SmithBarney LLC acted as your agent	04/28/10		1,843.99	121.24	20.61	0.00
						1,625.11	218.88	0.00
						1,625.11	218.88	0.00
125002070	97	PROSHARES ULTRASHORT RUSSELL 2000 MorganStanley SmithBarney LLC acted as your agent	04/28/10	06/21/10	1,840.44	1,732.25	108.19	0.00
						1,732.25	108.19	0.00
125002080	74	PROSHARES ULTRASHORT RUSSELL 2000 MorganStanley SmithBarney LLC acted as your agent	04/28/10	07/01/10	1,811.62	1,321.52	490.10	0.00
						1,321.52	490.10	0.00

**Details of Short Term Gain (Loss) 2010 - continued**

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002090	98	PROSHARES ULTRASHORT RUSSELL 2000	04/28/10	08/04/10	\$ 1,869.22	\$ 1,760.11	\$ 119.11	\$ 0.00
	72	MorganStanley SmithBarney LLC acted as your agent	05/04/10		1,373.31	1,328.99	44.32	0.00
	14		05/05/10		287.03	265.74	21.29	0.00
						265.74	1.29	0.00
125002100	65	PROSHARES ULTRASHORT RUSSELL 2000	05/05/10	09/09/10	1,276.58	1,233.78	42.80	0.00
	46	MorganStanley SmithBarney LLC acted as your agent	05/06/10		903.42	884.72	18.70	0.00
	63		05/14/10		1,237.30	1,200.55	36.75	0.00
	3		05/20/10		58.92	62.86	(3.94)	0.00
						62.86	(3.94)	0.00
125002110	67	PROSHARES ULTRASHORT RUSSELL 2000	05/20/10	09/10/10	1,330.20	1,109.82	220.38	0.00
	58	MorganStanley SmithBarney LLC acted as your agent	05/28/10		1,151.59	1,403.82	(252.23)	0.00
	46		06/04/10		913.33	1,162.55	(249.22)	0.00
						1,182.55	(269.22)	0.00
						1,000.62	(87.29)	0.00
						1,000.62	(87.29)	0.00
125002120	15	PROSHARES ULTRASHORT RUSSELL 2000	06/04/10	09/13/10	289.67	326.29	(36.62)	0.00
	28	MorganStanley SmithBarney LLC acted as your agent	06/24/10		540.72	328.29	212.43	0.00
						592.68	(51.96)	0.00
						592.68	(51.96)	0.00
125002130	62	PROSHARES ULTRASHORT RUSSELL 2000	06/24/10	09/14/10	1,180.40	1,312.35	(131.95)	0.00
	31	MorganStanley SmithBarney LLC acted as your agent	07/06/10		590.20	1,312.35	(722.15)	0.00
						715.87	(125.67)	0.00
						715.87	(125.67)	0.00
125002140	36	PROSHARES ULTRASHORT RUSSELL 2000	07/06/10	09/20/10	680.31	831.33	(151.02)	0.00
	4	MorganStanley SmithBarney LLC acted as your agent	07/26/10		75.59	831.33	(75.74)	0.00
						75.94	(36)	0.00
						75.94	(36)	0.00
125002150	81	PROSHARES ULTRASHORT RUSSELL 2000	07/26/10	09/30/10	1,045.52	1,158.14	(112.62)	0.00
	18	MorganStanley SmithBarney LLC acted as your agent	07/27/10		308.52	1,158.14	(849.62)	0.00
						336.88	(28.36)	0.00
						336.88	(28.36)	0.00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002160	18	PROSHARES ULTRASHORT RUSSELL 2000	07/27/10	10/05/10	\$ 302.28	\$ 336.87	(\$ 34.59)	\$ 0.00
	51	MorganStanley SmithBarney LLC acted as your agent	08/24/10		858.45	1,191.10 1,191.10	(\$ 34.59) 334.85 (334.85)	\$ 0.00 0.00 0.00
125002170	28	PROSHARES ULTRASHORT RUSSELL 2000 MorganStanley SmithBarney LLC acted as your agent	08/24/10	11/02/10	439.88	653.93 653.93	(214.05) (214.05)	0.00 0.00
125002180	19	PPOSHARES ULTRASHORT RUSSELL 2000 MorganStanley SmithBarney LLC acted as your agent	08/24/10	11/04/10	281.29	443.74 443.74	(162.45) (162.45)	0.00 0.00
125002190	43	PROSHARES ULTRASHORT RUSSELL 2000	08/24/10	12/07/10	565.21	1,004.26	(439.05)	0.00
	30	MorganStanley SmithBarney LLC acted as your agent	11/24/10		394.33	434.21 434.21	(39.88) (39.88)	0.00 0.00
125002200	55	PROSHARES ULTRASHORT RUSSELL 2000	11/24/10	12.16/10	709.32	798.05	(88.73)	0.00
	45	MorganStanley SmithBarney LLC acted as your agent	11/29/10		580.36	798.05 691.10 881.10	(88.73) (80.74) (80.74)	0.00 0.00 0.00
125002210	126	PROSHARES ULTRASHORT RUSSELL 2000 MorganStanley SmithBarney LLC acted as your agent	11/29/10	12/22/10	1,554.58	1,851.08 1,851.08	(296.50) (296.50)	0.00 0.00
125002220	30	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	08/25/10	07/01/10	602.00	537.74 537.74	64.26 64.26	0.00 0.00
125002230	141	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	08/25/10	09/09/10	2,308.95	2,527.40 2,527.40	(217.45) (217.45)	0.00 0.00
	47		07/27/10		769.88	784.67 784.67	(14.69) (14.69)	0.00 0.00
	14		08/11/10		229.38	244.89 244.89	(15.51) (15.51)	0.00 0.00
125002240	45	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	08/11/10	09/13/10	720.68	787.15 787.15	(66.47) (66.47)	0.00 0.00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002250	82	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	08/11/10	09/17/10	\$ 1,283.89	\$ 1,434.37 \$ 1,434.37	(\$ 170.48) (\$ 170.48)	\$ 0.00 \$ 0.00
125002260	28	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	08/11/10	09/20/10	424.83	489.79 488.78	(64.96) (64.96)	0.00 0.00
	8	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	08/12/10		121.38	143.98 143.98	(22.60) (22.60)	0.00 0.00
125002270	223	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	08/12/10	10/11/10	3,159.76	4,013.33 4,013.33	(853.57) (853.57)	0.00 0.00
125002280	34	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	08/12/10	11/02/10	429.25	611.90 611.90	(182.65) (182.65)	0.00 0.00
125002290	24	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	08/12/10	11/04/10	291.47	431.93 431.93	(140.46) (140.46)	0.00 0.00
125002300	22	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	08/12/10	12/07/10	259.72	395.93 395.93	(136.21) (136.21)	0.00 0.00
	31	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	08/24/10		365.97	582.11 582.11	(216.14) (216.14)	0.00 0.00
	54	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	11/24/10		637.50	666.57 666.57	(28.07) (28.07)	0.00 0.00
125002310	32	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	11/24/10	12/16/10	371.86	395.01 395.01	(23.15) (23.15)	0.00 0.00
	73	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	11/29/10		848.32	922.56 922.56	(74.24) (74.24)	0.00 0.00
125002320	202	PROSHORT ULTRASHORT QQQ MorganStanley SmithBarney LLC acted as your agent in this transaction	11/29/10	12/22/10	2,316.01	2,552.84 2,552.84	(236.83) (236.83)	0.00 0.00

Details of Short-Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002330	40	PROSHARES ULTRASHORT S&P500	04/07/10	05/12/10	\$ 1,217.68	\$ 1,210.40	\$ 7.28	\$ 0.00
		Morgan Stanley Smith Barney LLC				\$ 1,210.40	\$ 7.28	\$ 0.00
	26	acted as your agent	04/08/10		791.49	790.52	87	0.00
	41	in this transaction	04/27/10		1,248.13	1,197.29	50.84	0.00
					1,197.29	50.84	0.00	
125002340	50	PROSHARES ULTRASHORT S&P500	04/27/10	05/26/10	1,752.05	1,460.12	291.93	0.00
		Morgan Stanley Smith Barney LLC				1,480.12	281.93	0.00
		acted as your agent						
		in this transaction						
125002350	81	PROSHARES ULTRASHORT S&P500	04/27/10	06/14/10	2,702.60	2,365.38	337.22	0.00
		Morgan Stanley Smith Barney LLC				2,365.38	337.22	0.00
		acted as your agent						
		in this transaction						
125002360	33	PROSHARES ULTRASHORT S&P500	04/27/10	06/21/10	1,039.15	953.68	75.48	0.00
		Morgan Stanley Smith Barney LLC				983.68	75.48	0.00
	30	acted as your agent	04/28/10		944.69	893.95	50.74	0.00
		in this transaction			883.86	50.74	0.00	
125002370	42	PROSHARES ULTRASHORT S&P500	04/28/10	07/01/10	1,628.67	1,251.53	377.14	0.00
		Morgan Stanley Smith Barney LLC				1,251.53	377.14	0.00
		acted as your agent						
		in this transaction						
125002380	57	PROSHARES ULTRASHORT S&P500	04/28/10	08/04/10	1,776.59	1,698.50	78.09	0.00
		Morgan Stanley Smith Barney LLC				1,888.60	78.09	0.00
		acted as your agent						
		in this transaction						
125002390	33	PROSHARES ULTRASHORT S&P500	04/28/10	09/03/10	1,052.53	983.34	69.19	0.00
		Morgan Stanley Smith Barney LLC				983.34	69.19	0.00
	39	acted as your agent	05/04/10		1,243.90	1,187.35	56.55	0.00
	25	in this transaction	05/05/10		797.38	1,187.35	56.55	0.00
					772.14	25.24	0.00	
					772.14	25.24	0.00	
125002400	21	PROSHARES ULTRASHORT S&P500	05/05/10	09/27/10	614.93	648.60	(33.67)	0.00
		Morgan Stanley Smith Barney LLC				648.60	(33.67)	0.00
		acted as your agent	05/14/10		702.78	774.04	(71.26)	0.00
		in this transaction				774.04	(71.26)	0.00

Details of Short Term Gain/(Loss) 2010 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002410	12	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC	05/14/10	10/01/10	\$ 350.38	\$ 367.02	(\$ 36.64)	\$ 0.00
	48	acted as your agent in this transaction	05/20/10		1,401.53	1,662.84	(261.31)	0.00
	35		06/28/10		1,021.95	1,882.84	(281.31)	0.00
	12		06/04/10		350.39	1,183.50	(161.65)	0.00
125002420	29	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC	06/04/10	10/13/10	798.41	1,036.04	(237.63)	0.00
	56	acted as your agent in this transaction	06/24/10		1,541.75	1,036.04	(237.63)	0.00
	4		07/06/10		110.12	1,907.40	(365.65)	0.00
125002430	10	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC	07/06/10	11/02/10	269.54	372.34	(102.80)	0.00
		acted as your agent in this transaction				372.34	(102.80)	0.00
125002440	12	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC	07/06/10	11/04/10	310.38	446.81	(136.43)	0.00
		acted as your agent in this transaction				446.81	(136.43)	0.00
125002450	24	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC	07/06/10	12/07/10	599.01	893.63	(294.62)	0.00
	25	acted as your agent in this transaction	11/24/10		623.96	893.63	(294.62)	0.00
125002460	50	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC	11/24/10	12/16/10	1,220.32	663.04	(39.08)	0.00
		acted as your agent in this transaction				893.04	(39.08)	0.00
125002470	10	PROSHARES ULTRASHORT S&P500 MorganStanley SmithBarney LLC	11/24/10	12/22/10	238.53	265.21	(26.68)	0.00
	85	acted as your agent in this transaction	11/29/10		2,027.51	265.21	(26.68)	0.00
125002480	28	PROSHARES ULTRASHORT MSCI EMERGING MARKETS MorganStanley SmithBarney LLC	05/14/10	05/27/10	1,564.08	1,513.12	50.96	0.00
		acted as your agent				1,513.12	50.96	0.00

Details of Short Term Gain(Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002490	51	PROSHARES ULTRASHORT MSCI EMERGING MARKETS MorganStanley SmithBarney LLC acted as your agent	05/14/10	05/28/10	2,838.24	2,756.03	82.21	0.00
125002500	7	PROSHARES ULTRASHORT MSCI EMERGING MARKETS	05/14/10	06/03/10	377.96	378.28	(.32)	0.00
	20	MorganStanley SmithBarney LLC acted as your agent	05/19/10		1,079.89	1,168.16	(88.27)	0.00
	1		05/20/10		53.99	1,168.16	(88.27)	0.00
						63.19	(9.20)	0.00
						63.19	(9.20)	0.00
125002510	27	PROSHARES ULTRASHORT MSCI EMERGING MARKETS MorganStanley SmithBarney LLC acted as your agent	05/20/10	06/04/10	1,581.64	1,706.09	(124.45)	0.00
						1,706.09	(124.45)	0.00
125002520	61	PROSHARES ULTRA SHORT MSCI EUROPE MorganStanley SmithBarney LLC acted as your agent	05/14/10	05/27/10	1,567.73	1,583.72	(15.99)	0.00
						1,583.72	(15.99)	0.00
125002530	116	PROSHARES ULTRA SHORT MSCI EUROPE MorganStanley SmithBarney LLC acted as your agent	05/14/10	05/28/10	3,003.98	3,011.67	(7.69)	0.00
						3,011.67	(7.69)	0.00
125002540	2	PROSHARES ULTRA SHORT MSCI EUROPE	05/14/10	06/03/10	50.02	51.93	(1.91)	0.00
	49	MorganStanley SmithBarney LLC acted as your agent	05/19/10		1,225.52	51.93	(1.91)	0.00
	7		05/20/10		175.07	1,321.65	(96.13)	0.00
						1,321.65	(96.13)	0.00
						199.10	(24.03)	0.00
						199.10	(24.03)	0.00
125002550	59	PROSHARES ULTRA SHORT MSCI EUROPE MorganStanley SmithBarney LLC acted as your agent	05/20/10	06/04/10	1,634.31	1,678.14	(43.83)	0.00
						1,678.14	(43.83)	0.00
125002560	74	RADIOSHACK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/19/10	11/18/10	1,412.34	1,731.39	(319.05)	0.00
						1,731.39	(319.05)	0.00

Details of Short Term Gain (Loss) 2010 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002570	72	RADIOSHACK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/19/10	11/19/10	\$ 1,360.83	\$ 1,684.60 <del>1,684.60</del>	(\$ 323.77) <del>(\$ 323.77)</del>	\$ 0.00 \$ 0.00
125002580	17	RADIOSHACK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/19/10	11/22/10	325.94	397.75 <del>397.75</del>	(71.81) <del>(71.81)</del>	0.00 0.00
	34	RADIOSHACK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/20/10		651.87	806.34 <del>806.34</del>	(154.47) <del>(154.47)</del>	0.00 0.00
125002590	107	RADIOSHACK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/20/10	11/24/10	2,016.94	2,537.60 <del>2,537.60</del>	(520.66) <del>(520.66)</del>	0.00 0.00
125002600	43	RADIOSHACK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/20/10	11/29/10	784.06	1,019.78 <del>1,019.78</del>	(235.73) <del>(235.73)</del>	0.00 0.00
	72	RADIOSHACK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/21/10		1,312.84	1,699.22 <del>1,699.22</del>	(386.38) <del>(386.38)</del>	0.00 0.00
	70	RADIOSHACK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/19/10		1,278.37	1,520.54 <del>1,520.54</del>	(244.17) <del>(244.17)</del>	0.00 0.00
	57	RADIOSHACK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/20/10		1,039.33	1,126.00 <del>1,126.00</del>	(86.67) <del>(86.67)</del>	0.00 0.00
125002610	14	REGAL BELOIT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	11/02/10	756.71	867.02 <del>867.02</del>	(110.31) <del>(110.31)</del>	0.00 0.00
125002620	13	REGAL BELOIT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	11/04/10	724.24	805.09 <del>805.09</del>	(80.85) <del>(80.85)</del>	0.00 0.00
	65	REGAL BELOIT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		3,621.19	4,026.75 <del>4,026.75</del>	(405.56) <del>(405.56)</del>	0.00 0.00
125002630	104	SAIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	09/02/10	1,579.14	1,784.48 <del>1,784.48</del>	(205.34) <del>(205.34)</del>	0.00 0.00
125002640	13	SAIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	11/09/10	205.52	223.08 <del>223.08</del>	(17.54) <del>(17.54)</del>	0.00 0.00
	80	SAIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		1,264.71	1,367.04 <del>1,367.04</del>	(102.33) <del>(102.33)</del>	0.00 0.00

Details of Short-Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002650	155	SAIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	11/10/10	\$ 2,446 86	\$ 2,648 64 \$ 2,848 84	(\$ 201 78) (\$ 201 78)	\$ 0 00 \$ 0 00
125002660	35	SAIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	11/11/10	544 93	598 08 598 08	(53 15) (53 15)	0 00 0 00
125002680	100	THE SHAW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/21/10	10/20/10	3,113 80	3,752 40 3,752 40	(638 60) (838 60)	0 00 0 00
125002690	27	THE SHAW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/21/10	10/25/10	860 95	1,013 15 1,013 15	(152 20) (152 20)	0 00 0 00
125002700	37	THE SHAW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/21/10	11/09/10	1,178 34	1,388 39 1,388 39	(210 05) (210 05)	0 00 0 00
	18	acted as your agent in this transaction	07/13/10		573 25	632 79 832 79	(59 54) (59 54)	0 00 0 00
125002710	46	THE SHAW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/13/10	11/10/10	1,448 22	1,617 14 1,617 14	(168 92) (168 92)	0 00 0 00
125002720	34	STERICYCLE INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	04/19/10	1,888 74	1,856 40 1,856 40	32 34 32 34	0 00 0 00
125002730	3	STERICYCLE INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	04/23/10	170 72	163 80 163 80	6 92 6 92	0 00 0 00
	9	acted as principal in this transaction	04/08/10		512 14	488 07 488 07	24 07 24 07	0 00 0 00
125002740	12	STERICYCLE INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10	04/26/10	685 90	650 78 650 78	35 14 35 14	0 00 0 00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002750	11	STERICYCLE INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/08/10	04/27/10	\$ 622.53	\$ 598.53 <del>\$ 598.53</del>	\$ 26.00 <del>\$ 28.00</del>	\$ 0.00 <del>\$ 0.00</del>
125002750	73	TATA MOTORS LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent	04/07/10	05/14/10	1,344.12	1,390.64 1,390.64	(46.52) (46.52)	0.00 0.00
125002770	33	TATA MOTORS LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent	04/07/10	05/17/10	589.08	628.65 628.65	(39.59) (39.59)	0.00 0.00
125002780	29	TATA MOTORS LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent	04/07/10	05/18/10	524.55	552.45 552.45	(27.90) (27.90)	0.00 0.00
125002790	33	TATA MOTORS LTD SPONS ADR	04/07/10	05/19/10	539.11	628.64 828.64	(89.53) (89.53)	0.00 0.00
	59	MorganStanley SmithBarney LLC acted as your agent	04/08/10		963.85	1,101.52 1,101.52	(137.67) (137.67)	0.00 0.00
125002800	65	TATA MOTORS LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent	04/08/10	05/20/10	1,017.06	1,213.54 1,213.54	(196.48) (196.48)	0.00 0.00
125002810	48	TATA MOTORS LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent	04/08/10	05/25/10	741.06	896.16 896.16	(155.11) (155.11)	0.00 0.00
125002820	58	TEVA PHARMACEUTICAL INDS LTD ADR	04/07/10	06/21/10	3,073.39	3,681.28 3,681.28	(607.87) (607.87)	0.00 0.00
	10	MorganStanley SmithBarney LLC acted as principal	04/08/10		529.90	634.78 634.78	(104.88) (104.88)	0.00 0.00
125002830	30	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as principal	04/08/10	07/26/10	1,505.52	1,904.34 1,904.34	(398.82) (398.82)	0.00 0.00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002840	25	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as principal	04/08/10	10/07/10	\$ 1,329.89	\$ 1,586.95 \$ 1,588.95	(\$ 257.06) (\$ 257.06)	\$ 0.00 \$ 0.00
125002850	24	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as principal	04/08/10	10/11/10	1,276.17	1,523.47 1,523.47	(247.30) (247.30)	0.00 0.00
125002860	46	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as principal	04/08/10	10/13/10	2,473.58	2,919.99 2,919.99	(446.41) (446.41)	0.00 0.00
125002970	14	TREEHOUSE FOODS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/10/10	08/19/10	595.36	671.92 671.92	(76.56) (76.56)	0.00 0.00
125002880	14	TREEHOUSE FOODS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/10/10	06/20/10	587.93	671.92 671.92	(83.99) (83.99)	0.00 0.00
125002890	27	TREEHOUSE FOODS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/11/10	08/23/10	1,129.45	1,326.36 1,326.36	(196.91) (196.91)	0.00 0.00
	5	TREEHOUSE FOODS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/14/10		209.18	249.87 249.87	(40.71) (40.71)	0.00 0.00
125002900	0277	UNITED CONTINENTAL HOLDINGS INC	04/07/10	10/01/10	69	54 54	15 15	0.00 0.00
	0623	MERGER 10/01/10 210795308000	04/08/10		155	1.26 1.26	29 29	0.00 0.00
	008		04/12/10		20	17 17	03 03	0.00 0.00
	0081		07/02/10		20	17 17	03 03	0.00 0.00
	0118		07/08/10		29	17 24	03 05	0.00 0.00
	009		07/13/10		22	20 20	02 02	0.00 0.00
	0061		07/21/10		15	14 14	01 01	0.00 0.00

HALBERT HARMON FOUNDATION  
 EIN: 20-0214688

Details of Short-Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
	0081		07/22/10		\$ 20	\$ 19	\$ 01	\$ 0 00
	0089		09/24/10		24	20	.04	0 00
						.20	.04	0.00
125002910	115	UNITED CONTINENTAL HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent	04/07/10	12/13/10	2,911.99	2,257.98 2,257.98	654.01 654.01	0.00 0.00
125002920	60 3223	UNITED CONTINENTAL HOLDINGS INC	04/07/10	12/14/10	1,523.87	1,184.40 1,184.40	339.47 339.47	0.00 0.00
	20 6777	MorganStanley SmithBarney LLC acted as your agent	04/08/10		522.36	418.63 418.63	103.73 103.73	0.00 0.00
125002930	126	UNITED CONTINENTAL HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent	04/08/10	12/16/10	3,048.83	2,550.96 2,550.96	497.87 497.87	0.00 0.00
125002940	71	UNITED CONTINENTAL HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent	04/08/10	12/20/10	1,700.41	1,437.45 1,437.45	262.96 262.96	0.00 0.00
125002950	86	UNITED CONTINENTAL HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent	04/08/10	12/21/10	2,063.97	1,741.13 1,741.13	342.84 342.84	0.00 0.00
125002960	9,000	UNITED STATES TREAS BD STRIPPED PRIN PMT DUE 02/15/2037 YIELD 4.650MTY	05/05/10	05/12/10	2,623.59	2,726.19 2,728.53	(102.60) (104.94)	0.00 (104.94)
125002970	6,000	UNITED STATES TREAS BD STRIPPED PRIN PMT DUE 02/15/2037 YIELD 4.280MTY	05/05/10	07/26/10	1,948.98	1,817.46 1,817.48	131.52 131.52	18.42 113.10
125002980	14,000	UNITED STATES TREAS BD STRIPPED PRIN PMT DUE 02/15/2037 YIELD 4.295MTY	05/05/10	07/27/10	4,530.40	4,240.74 4,240.74	289.66 289.66	43.54 248.12

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Details of Short-Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125002990	4,000	UNITED STATES TREAS BD STRIPPED PRIN PMT	05/05/10	09/13/10	\$ 1,361.96	\$ 1,211.64	\$ 150.32	\$ 19.60
	5,000	DUE 02/15/2037 YIELD 4.120MTY	05/06/10		1,702.45	1,544.80	157.65	24.40
125003000	4,000	UNITED STATES TREAS BD STRIPPED PRIN PMT	05/06/10	10/13/10	1,348.96	1,235.84	113.12	24.04
	5,000	DUE 02/15/2037 YIELD 4.170MTY	06/04/10		1,686.20	1,610.45	75.75	24.35
125003010	8,000	UNITED STATES TREAS BD STRIPPED PRIN PMT DUE 02/15/2037 YIELD 4.320MTY	07/16/10	10/15/10	2,596.72	2,696.00	(99.28)	0.00
125003040	52	VERISK ANALYTICS INC CL A MorganStanley SmithBarney LLC acted as principal in this transaction	05/12/10	10/13/10	1,478.26	1,530.57	(52.31)	0.00
125003050	36	VERTEX PHARMACEUTICALS INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	04/19/10	1,445.10	1,481.76	(36.66)	0.00
125003060	14	VERTEX PHARMACEUTICALS INC MorganStanley SmithBarney LLC acted as principal in this transaction	04/07/10	05/06/10	519.90	576.24	(56.34)	0.00
	100	acted as principal in this transaction	04/08/10		3,713.57	3,949.80	(236.23)	0.00
125003070	23	WMS INDUSTRIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	04/14/10	1,047.07	1,004.18	42.89	0.00
	50		04/08/10		2,276.23	2,185.00	91.23	0.00
125003080	29	WABTEC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	11/24/10	1,354.77	1,194.51	160.26	0.00
125003090	21	WABTEC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	11/29/10	965.64	864.89	100.65	0.00
	115		04/08/10		5,288.01	4,789.58	498.43	0.00

Details of Short Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003100	9	WHIRLPOOL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	05/11/10	\$ 965.02	\$ 816.39 \$ 816.39	\$ 148.63 \$ 148.63	\$ 0.00 \$ 0.00
125003110	10	WHIRLPOOL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	05/19/10	984.82	907.10 907.10	77.72 77.72	0.00 0.00
125003120	14	WHIRLPOOL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/10	05/20/10	1,348.02	1,269.84 1,269.84	78.08 78.08	0.00 0.00
	3	WHIRLPOOL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10		288.86	273.30 273.30	15.56 15.56	0.00 0.00
125003130	27	WHIRLPOOL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	07/01/10	2,340.92	2,459.70 2,459.70	(118.78) (118.78)	0.00 0.00
125003140	45	WHIRLPOOL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/08/10	07/06/10	3,861.80	4,099.50 4,099.50	(237.70) (237.70)	0.00 0.00
125003150	49	WHOLE FOODS MKT INC MorganStanley SmithBarney LLC acted as principal in this transaction	07/14/10	10/05/10	1,791.82	1,824.46 1,824.46	(32.64) (32.64)	0.00 0.00
125003160	60	WHOLE FOODS MKT INC MorganStanley SmithBarney LLC acted as principal in this transaction	07/14/10	10/06/10	2,169.59	2,234.03 2,234.03	(64.44) (64.44)	0.00 0.00
125003170	3	WHOLE FOODS MKT INC MorganStanley SmithBarney LLC acted as principal in this transaction	07/14/10	10/07/10	106.23	111.70 111.70	(5.47) (5.47)	0.00 0.00
	57	WHOLE FOODS MKT INC MorganStanley SmithBarney LLC acted as principal in this transaction	07/15/10		2,018.41	2,121.10 2,121.10	(102.69) (102.69)	0.00 0.00
	13	WHOLE FOODS MKT INC MorganStanley SmithBarney LLC acted as principal in this transaction	07/27/10		460.34	522.21 522.21	(61.87) (61.87)	0.00 0.00

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Details of Short-Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003180	63	WHOLE FOODS MKT INC Morgan Stanley Smith Barney LLC acted as principal in this transaction.	07/27/10	10/08/10	\$ 2,191.70	\$ 2,530.69 \$ 2,530.69	(\$ 338.99) (\$ 338.99)	\$ 0.00 \$ 0.00
Total					\$ 550,181.01	\$ 592,421.51 \$ 582,423.85	(\$ 42,240.50) (\$ 42,242.84)	\$ 154.35 \$ 559.45

HALBERT HARMON FOUNDATION  
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Details of Long Term Gain (Loss) 2010

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000060	188	COVIDIEM PLC DUBLIN-USD MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	04/07/10	\$ 9,537.22	\$ 8,135.08 \$ 8,135.08	\$ 1,402.14 \$ 1,402.14	\$ 0.00 \$ 0.00
125000070	818	SEAGATE TECHNOLOGY -USD MorganStanley SmithBarney LLC acted as principal	12/01/04	04/07/10	16,891.89	9,873.95 9,873.85	7,017.94 7,017.84	0.00 0.00
125000080	5	SEAGATE TECHNOLOGY -USD LIQUIDATION OF FRACTIONAL SHS	12/01/04	04/08/10	9.42	5.43 5.43	3.99 3.99	0.00 0.00
125000090	1,910	WEATHERFORD INTERNATIONAL LTD -CHC MorganStanley SmithBarney LLC acted as your agent	12/01/04	04/07/10	31,842.98	25,307.50 25,307.50	6,535.48 6,535.48	0.00 0.00
125000100	188	TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	04/07/10	5,320.31	7,489.40 7,489.40	(2,169.09) (2,169.09)	0.00 0.00

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Details of Long Term Gain/(Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000110	73	TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	04/07/10	\$ 2,853.52	\$ 3,882.13 \$ 3,882.13	(\$ 1,028.61) (\$ 1,028.61)	\$ 0.00 \$ 0.00
125000120	89	TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	09/30/10	3,270.55	4,733.01 4,733.01	(1,462.46) (1,462.46)	0.00 0.00
125000130	26.5	TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	10/13/10	985.25	1,409.27 1,409.27	(424.02) (424.02)	0.00 0.00
125000220	500	ADVENT SOFTWARE INC MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04	04/07/10	22,367.62	10,025.00 10,025.00	12,342.62 12,342.62	0.00 0.00
125000240	740	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/27/06	04/07/10	53,912.52	32,154.78 32,154.78	21,757.74 21,757.74	0.00 0.00
125000260	374	AUTODESK INC MorganStanley SmithBarney LLC acted as principal in this transaction	01/24/06	04/07/10	11,406.84	10,378.40 10,378.40	1,027.44 1,027.44	0.00 0.00
125000340	702	BIOGEN IDEC INC MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04	04/07/10	40,378.58	42,083.60 42,083.60	(1,715.04) (1,715.04)	0.00 0.00
125000370	315	BROADCOM CORP CL A MorganStanley SmithBarney LLC acted as principal in this transaction	07/14/06	04/08/10	10,549.64	8,844.60 8,844.60	1,705.04 1,705.04	0.00 0.00
125000380	830	CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP CL A MorganStanley SmithBarney LLC acted as your agent	12/01/04	04/08/10	23,120.81	8,936.54 8,936.54	14,184.07 14,184.07	0.00 0.00

Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000490	1,590	CHARMING SHOPPES INC MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04	04/08/10	\$ 8,240.99	\$ 15,024.55 \$ 15,024.55	(\$ 6,783.56) (\$ 6,783.56)	\$ 0.00 \$ 0.00
125000610	2,358	COMCAST CORP CL A - SPL MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04	04/08/10	41,608.61	46,837.29 48,837.29	(5,228.68) (5,228.68)	0.00 0.00
125000660	390	CREE INC MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04	04/08/10	29,510.02	14,305.20 14,305.20	15,204.82 15,204.82	0.00 0.00
125000670	64	CREE INC MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04	08/11/10	3,871.26	2,347.52 2,347.52	1,523.74 1,523.74	0.00 0.00
125000680	15	CREE INC MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04	08/12/10	874.93	550.20 550.20	324.73 324.73	0.00 0.00
	13	CREE INC MorganStanley SmithBarney LLC acted as principal in this transaction	03/23/05		758.27	283.74 283.74	474.53 474.53	0.00 0.00
125000710	550	DIRECTV CL A MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04	04/08/10	19,223.88	7,800.91 7,800.91	11,422.97 11,422.97	0.00 0.00
125000770	37	DOLBY LABORATORIES INC CLASS A MorganStanley SmithBarney LLC acted as your agent	10/16/08	04/08/10	2,153.37	1,053.33 1,053.33	1,100.04 1,100.04	0.00 0.00
	215	DOLBY LABORATORIES INC CLASS A MorganStanley SmithBarney LLC acted as your agent	10/22/08		12,512.81	6,473.48 6,473.48	6,039.33 6,039.33	0.00 0.00
125000960	10	FLUOR CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	11/19/08	04/08/10	490.23	332.39 332.39	157.84 157.84	0.00 0.00
	30	FLUOR CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	11/20/08		1,470.69	914.08 914.08	556.61 556.61	0.00 0.00
125000980	800	FOREST LABORATORIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	04/08/10	22,882.09	31,280.00 31,280.00	(8,397.91) (8,397.91)	0.00 0.00

Details of Long-Term Gain (Loss), 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000990	200	FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	02/11/09	04/08/10	\$ 16,982.91	\$ 5,643.24 \$ 8,843.24	\$ 11,339.67 \$ 11,339.67	\$ 0.00 \$ 0.00
125001050	515	GENZYME CORP MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04	04/08/10	26,857.51	28,711.25 28,711.25	(1,853.74) (1,853.74)	0.00 0.00
125001490	317	L 3 COMMUNICATIONS HLDGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	04/08/10	29,173.20	23,622.84 23,622.84	5,550.36 5,550.36	0.00 0.00
125001500	5	L 3 COMMUNICATIONS HLDGS INC LIQUIDATION OF FRACTIONAL SHS	12/01/04	04/09/10	46.26	37.26 37.26	9.00 9.00	0.00 0.00
125001510	106	LIBERTY GLOBAL INC CLASS A MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04	04/08/10	3,039.38	2,340.38 2,340.38	699.02 699.02	0.00 0.00
125001520	1,013	LIBERTY MEDIA HLDG CORP INTERACTIVE SER A MorganStanley SmithBarney LLC acted as principal	12/01/04	04/08/10	16,249.76	20,071.77 20,071.77	(3,822.01) (3,822.01)	0.00 0.00
125001530	241	LIBERTY MEDIA HLDG CORP CAP SER A	12/01/04	04/08/10	9,524.42	2,815.52 2,815.52	6,708.90 6,708.90	0.00 0.00
	309	MorganStanley SmithBarney LLC acted as principal	06/04/08		12,211.81	4,625.64 4,625.64	7,586.17 7,586.17	0.00 0.00
	104		06/11/08		4,110.12	1,559.90 1,559.90	2,550.22 2,550.22	0.00 0.00
	208		06/24/08		8,220.26	3,120.00 3,120.00	5,100.26 5,100.26	0.00 0.00
125001570	232	MADISON SQUARE GARDEN INC MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04	04/08/10	5,083.24	1,834.21 1,834.21	3,259.03 3,259.03	0.00 0.00
125001750	50	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	04/08/10	2,085.66	2,389.95 2,389.95	(304.29) (304.29)	0.00 0.00

Details of Long-Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125001760	75	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	05/20/10	\$ 2,695 84	\$ 3,584 92 \$ 3,584 82	(\$ 889 08) (\$ 889 08)	\$ 0 00 \$ 0 00
125001770	34	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	06/01/10	1,176 67	1,625 17 1,625 17	(448 50) (448 50)	0 00 0 00
125001780	38	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	06/02/10	1,295 03	1,816 36 1,816 36	(521 33) (521 33)	0 00 0 00
125001790	17 5	NATIONAL OILWELL VARCO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	08/25/10	655 02	836 48 836 48	(181 46) (181 46)	0 00 0 00
125001810	40 20 80	NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/17/09 02/20/09 02/24/09	04/08/10	1,882 60 941 30 3,765 19	1,632 07 1,632 07 778 98 778 98 2,968 11 2,968 11	250 53 250 53 162 32 162 32 797 08 797 08	0 00 0 00 0 00 0 00 0 00 0 00
125001920	787	PALL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	04/08/10	31,081 72	21,655 64 21,655 64	9,426 08 9,426 08	0 00 0 00
125001930	5	PALL CORP LIQUIDATION OF FRACTIONAL SHS	12/01/04	04/09/10	19 96	13 76 13 76	6 20 6 20	0 00 0 00
125002670	561 20 267	SANDISK CORP MorganStanley SmithBarney LLC acted as principal in this transaction	12/01/04 11/29/06 07/09/08	04/08/10	19,798 98 705 85 9,423 04	12,914 22 12,914 22 877 30 877 30 4,662 14 4,662 14	6,884 76 6,884 76 (171 45) (171 45) 4,760 90 4,760 90	0 00 0 00 0 00 0 00 0 00 0 00
125003020	1,204	UNITEDHEALTH GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/04	04/08/10	39,161 10	49,484 40 49,484 40	(10,303 30) (10,303 30)	0 00 0 00

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Details of Long Term Gain (Loss) 2010 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125003030	5	UNITEDHEALTH GROUP INC LIQUIDATION OF FRACTIONAL SHS	12/01/04	04/09/10	\$ 16 31	\$ 20 55 \$ 20 55	(\$ 4 24) (\$ 4 24)	\$ 0 00 \$ 0 00
125003050	4	VERTEX PHARMACEUTICALS INC	11/12/08	04/19/10	160 57	106 92	53 65	0 00
	10	MorganStanley SmithBarney LLC acted as principal in this transaction	11/13/08		401 42	106 92 286 29 286 29	53 65 135 13 135 13	0 00 0 00 0 00
	3		11/17/08		120 43	80 69 80 69	39 54 39 54	0 00 0 00
Total					\$ 623,059 64	\$ 500,668 52 \$ 500,668 52	\$ 122,391 12 \$ 122,391 12	\$ 0 00 \$ 0 00

**Halbert Harmon Foundation**  
**EIN 20-0214688**  
**2010**

<b>Date</b>	<b>Name</b>	<b>Amount</b>	<b>Total</b>	<b>Donee Status</b>	<b>Purpose</b>	<b>Relationship</b>
1/11/2010	Fortress Youth Development Center P O Box 422 Fort Worth, TX 76102	5,500 00	5,500 00	501(c)(3)	1/2 of Dorothy Waite's salary	None
03/29/2010	Missions Resource Network 4001 Airport Freeway Bedford, TX 76021	6,000 00	6,000 00	501(c)(3)	General Fund	None
5/19/2010	Eternal Threads 101 Walnut Abilene, TX, 79601	3,200 00	3,200 00	501(c)(3)	General Fund	None
5/19/2010	Missions Resource Network DFW West Building, Suite 550 Bedford, TX 76021	1,500 00	1,500 00	501(c)(3)	General Fund	None
6/18/2010	MANA Nutrtive Aid Products, Inc 130 Library Lane, Ste 300 Matthews, NC 28105	16,000 00	16,000 00	501(c)(3)	funding for "feasibility study"	None
6/23/2010	Oakndge Church of Chnst 4895 East I-20 North Service Road Willow Park, TX 76087	4,500 00	4,500 00	501(c)(3)	General Fund	None
11/1/2010	Abilene Christian University 1600 Campus Court Abilene, TX 79699	8,563 00	8,563 00	501(c)(3)	Halbert Walling Scholarship	None
<b>Total Grants and Contributions</b>			<u><u>45,263 00</u></u>			

STATEMENT D

**HALBERT HARMON FOUNDATION**  
**EIN 20-0214688**

**Common stocks & options**

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
62	ASSURED GUARANTY LTD-USD	AGO	10/13/10	\$ 1,239.32	\$ 19,989	\$ 17.70	\$ 1,097.40	(\$ 141.92) ST		
266			10/14/10	5,623.56	21,141	17.70	4,708.20	(915.36) ST		
70			10/15/10	1,520.13	21,716	17.70	1,239.00	(281.13) ST		
398				8,383.01	21,063		7,044.60	(1,338.41)	1.016	71.64
85	COVIDIEN PLC DUBLIN-USD	COV	12/01/04	36.78	43,271	45.66	38.81	2.03 LT	1.752	68
5	TYCO ELECTRONICS LTD	TEL	12/01/04	19.84	29,837	35.40	17.70	(2.14) LT	1.807	32
188.5	TYCO INTL LTD CHF	TYC	04/08/10	7,394.38	39,227	41.44	7,811.44	417.05 ST	2.027	158.34
27	AGNICO EAGLE MINES LTD	AEM	11/08/10	2,267.16	83,968	76.70	2,070.90	(196.26) ST		
10			11/10/10	839.08	80,907	76.70	767.00	(42.08) ST		
13			11/11/10	1,060.92	81,609	76.70	997.10	(63.82) ST		
50				4,137.16	82,743		3,835.00	(302.16)	8.34	32.00
70	ALBEMARLE CORP	ALB	09/29/10	3,202.42	45,748	55.78	3,904.60	702.18 ST		
95			09/30/10	4,433.60	46,669	55.78	5,299.10	865.50 ST		
40			10/01/10	1,879.40	46,984	55.78	2,231.20	351.80 ST		
12			10/06/10	572.46	47,705	55.78	669.36	96.90 ST		
47			10/07/10	2,261.43	48,115	55.78	2,621.66	360.23 ST		
264				12,349.31	46,778		14,725.92	2,376.61	1.003	147.84
6	ALLEGHENY TECHNOLOGIES INC	ATI	04/07/10	332.28	55.38	55.18	331.08	(1.20) ST		
120			04/08/10	6,573.59	54,779	55.18	6,621.60	48.01 ST		
61			07/13/10	2,969.07	48,673	55.18	3,365.98	396.91 ST		
37			07/21/10	1,772.85	47,914	55.18	2,041.66	268.81 ST		
34			07/22/10	1,685.31	49,567	55.18	1,878.12	192.81 ST		
20			08/12/10	896.40	44,819	55.18	1,103.60	207.20 ST		

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**HALBERT HARMON FOUNDATION**  
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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
34	ALLEGHENY TECHNOLOGIES INC	ATI	09/13/10	\$ 1,616.70	\$ 47.55	\$ 55.18	\$ 1,876.12	\$ 259.42	ST	
18			09/24/10	815.01	45.278	55.18	893.24	178.23	ST	
330				16,661.21	50.489		18,209.40	1,548.19	1.304	237.60
30	APACHE CORP	APA	12/16/10	3,500.47	116.682	119.23	3,576.80	78.43	ST	.503
6	APPLE INC	AAPL	04/07/10	1,443.60	240.60	322.56	1,935.36	491.76	ST	
38			04/08/10	9,082.00	239.00	322.56	12,257.28	3,175.28	ST	
19			04/23/10	5,111.13	269.006	322.56	6,128.64	1,017.51	ST	
4			07/21/10	1,060.00	265.00	322.56	1,290.24	230.24	ST	
5			07/22/10	1,292.68	256.536	322.56	1,612.80	320.12	ST	
72				17,889.41	249.853		23,224.32	5,234.91		
43	BARRICK GOLD CORP CAD	ABX	11/09/10	2,279.33	53.007	53.18	2,288.74	7.41	ST	
16			11/10/10	822.55	51.409	53.18	850.88	28.33	ST	
26			11/11/10	1,346.62	51.782	53.18	1,362.68	36.06	ST	
85				4,448.50	52.335		4,520.30	71.80	902	40.80
5	BIOGEN IDEC INC	BIIB	12/01/04	29.88	59.962	67.05	33.53	3.55	LT	
149	BORGWARNER INC	BWA	04/08/10	5,818.05	37.705	72.38	10,781.64	5,163.59	ST	
55			09/03/10	2,657.44	48.317	72.36	3,879.80	1,322.36	ST	
34			09/13/10	1,616.89	47.555	72.36	2,460.24	843.35	ST	
238				8,892.38	41.565		17,221.68	7,329.30		
115	BRISTOL MYERS SQUIBB CO	BMY	04/07/10	3,040.37	26.438	26.48	3,045.20	4.83	ST	
260			04/08/10	6,816.42	26.217	26.48	6,884.80	68.38	ST	
45			06/10/10	1,101.23	24.471	26.48	1,191.60	90.37	ST	
29			07/15/10	730.01	25.172	26.48	767.82	37.81	ST	
449				11,688.03	26.031		11,889.52	201.49	4.984	592.68
96	CLEAN HARBOUR INC	CLH	05/03/10	6,550.52	68.234	84.08	8,071.68	1,521.16	ST	
15			05/07/10	897.76	59.85	84.08	1,261.20	363.44	ST	
15			05/10/10	833.59	62.239	84.08	1,261.20	327.61	ST	
12			05/24/10	748.89	62.407	84.08	1,008.96	260.07	ST	
17			06/09/10	1,113.79	65.517	84.08	1,429.36	315.57	ST	
155				10,244.55	66.094		13,032.40	2,787.85		
50	COGNIZANT TECH SOLUTIONS CL A	CTSH	04/07/10	2,551.90	51.033	73.29	3,664.50	1,112.60	ST	
230			04/08/10	11,630.53	50.567	73.29	16,856.70	5,226.17	ST	
280				14,182.43	50.652		20,521.20	6,338.77		
9	COMPASS MINERALS INTL INC	CMP	04/07/10	715.23	79.47	89.27	803.43	88.20	ST	
90			04/08/10	7,109.51	78.994	89.27	8,034.30	924.78	ST	

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**HALBERT HARMON FOUNDATION**  
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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
13	COMPASS MINERALS INTL INC	CMP	10/06/10	\$ 986 51	\$ 75 885	\$ 89 27	\$ 1,160 51	\$ 174 00 ST		
13			10/07/10	997 79	76 752	89 27	1,160 51	182 72 ST		
17			10/08/10	1 356 36	79 785	89 27	1,517 59	161 23 ST		
17			10/08/10	1 356 36	79 785	89 27	1,517 59	161 23 ST		
159					12,521 76	78 753		14,193 83	1,672 17	1 747
80	CROWN HOLDINGS INC (HOLDING COMPANY)	CCK	06/28/10	2,006 56	25 082	33 38	2,670 40	663 84 ST		
138			06/29/10	3,432 23	24 871	33 38	4,606 44	1,174 21 ST		
56			07/13/10	1,455 03	25 982	33 38	1,869 28	414 25 ST		
274					6,893 82	25 16		8,146 12	2,252 30	
85	WALT DISNEY CO	DIS	04/07/10	2,987 62	35 148	37 51	3,188 35	200 73 ST		
195			04/08/10	6,824 06	35 508	37 51	7,314 45	390 39 ST		
280					8,811 68	35 398		10,502 80	591 12	1 066
120	DISCOVERY COMMUNICATIONS INC SER A	DISCA	12/01/04	1,749 73	14 611	41 70	5 004 00	3,254 27 LT		
79			04/07/10	2 704 96	34 24	41 70	3,294 30	589 34 ST		
60			04/08/10	2,037 60	33 96	41 70	2 502 00	464 40 ST		
259					6,492 29	25 067		10 800 30	4,308 01	
225	DOCTOR REDDYS LABORATORY LIMITED ADR	RDY	04/08/10	6,452 73	28 676	36 96	8,316 00	1,863 27 ST	597	49 73
371	DOW CHEMICAL CO	DOW	04/09/10	11,303 30	30 467	34 14	12,655 94	1,362 64 ST	1 757	222 60
1	DREAMWORKS ANIMATION INC CL A	DWA	04/19/10	41 52	41 519	29 47	29 47	(12 05) ST		
55			04/20/10	2,350 78	42 741	29 47	1,820 85	(729 93) ST		
25			04/21/10	1,070 25	42 809	29 47	736 75	(333 50) ST		
50			09/21/10	1,740 64	34 812	29 47	1,473 50	(267 14) ST		
131					5,203 19	39 719		3,860 57	(1,342 62)	
168	EBAY INC	EBAY	04/08/10	4,414 37	26 276	27 83	4 675 44	261 07 ST		
117			04/14/10	3,151 65	26 937	27 83	3 256 11	104 46 ST		
285					7,566 02	26 547		7,931 55	365 53	
62	EXPEDITORS INTL OF WASH INC	EXPD	08/04/10	2,708 30	43 682	54 60	3,385 20	676 90 ST		
115			05/05/10	5,067 45	44 064	54 60	6 279 00	1,211 55 ST		
177					7,775 75	43 931		9,664 20	1,888 45	732
3	FMC TECHNOLOGIES INC	FTI	04/07/10	203 70	67 90	88 91	266 73	63 03 ST		
105			04/08/10	6 982 49	66 499	88 91	9,335 55	2,353 06 ST		
76			10/08/10	5,366 85	70 616	88 91	6,757 16	1,390 31 ST		
184				12,553 04	68 223		16,359 44	3,806 40		

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**HALBERT HARMON FOUNDATION**  
**EIN 20-0214688**

**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
162	GIVAUDAN SA-CHF	GVDNY	04/07/10	\$ 2,786.40	\$ 17.20	\$ 21.71	\$ 3,517.02	\$ 730.62 ST		
365			04/08/10	6,361.95	17.43	21.71	7,824.15	1,562.20 ST		
527				8,148.35	17.359		11,441.17	2,292.82	1.068	122.26
80	GLOBAL PAYMENTS INC	GPN	12/22/10	3,656.14	45.701	46.21	3,696.80	40.66 ST	.173	6.40
12	GREENHILL & CO INC	GHL	04/07/10	1,003.92	83.66	81.68	980.16	(23.76) ST		
25			04/08/10	2,069.25	82.77	81.68	2,042.00	(27.25) ST		
10			04/14/10	863.72	86.371	81.68	816.80	(46.92) ST		
13			04/15/10	1,130.22	86.94	81.68	1,081.84	(68.38) ST		
16			04/16/10	1,392.76	87.047	81.68	1,306.88	(85.88) ST		
15			09/13/10	1,190.64	79.389	81.68	1,225.20	34.56 ST		
5			09/14/10	402.62	80.524	81.68	408.40	5.78 ST		
96				8,053.33	83.889		7,841.28	(212.05)	2.203	172.80
87	GRUPO FINANCIERO GALICIA	GGAL	11/02/10	1,221.51	14.04	15.31	1,331.97	110.46 ST		
94	ADR		11/03/10	1,383.88	14.722	15.31	1,439.14	55.26 ST		
32			11/04/10	505.21	15.787	15.31	489.92	(15.29) ST		
213				3,110.60	14.604		3,261.03	150.43	.156	5.11
45	HAIN CELESTIAL GROUP INC	HAIN	06/03/10	1,020.78	22.683	27.06	1,217.70	196.92 ST		
66			06/04/10	1,489.92	22.574	27.06	1,785.98	296.04 ST		
24			06/07/10	538.68	22.444	27.06	649.44	110.76 ST		
135				3,048.38	22.688		3,653.10	603.72		
80	HASBRO INC	HAS	04/07/10	3,088.00	38.60	47.18	3,774.40	686.40 ST		
165			04/08/10	7,072.18	38.228	47.18	8,726.30	1,656.12 ST		
70			07/21/10	2,814.27	40.203	47.18	3,302.60	488.33 ST		
30			07/26/10	1,285.33	42.177	47.18	1,415.40	150.07 ST		
365				14,238.78	39.013		17,220.70	2,980.92	2.119	385.00
53	ICICI BANK LTD-SPONS ADR-	IBN	10/12/10	2,724.05	51.397	50.64	2,683.92	(40.13) ST		
64	INR		10/13/10	3,387.60	52.931	50.64	3,240.98	(146.64) ST		
117				6,111.65	52.236		5,924.88	(186.77)	1.016	60.26
408	ISRAEL CHEMICALS LTD-ILS	ISCHY	12/20/10	6,875.29	16.851	17.38	7,091.04	215.76 ST	4.395	311.71
36	ITC HOLDINGS CORP	ITC	09/08/10	2,135.13	59.309	61.98	2,231.28	96.15 ST		
20			09/09/10	1,201.32	60.065	61.98	1,239.60	38.28 ST		
20			09/10/10	1,208.24	60.411	61.98	1,239.60	31.36 ST		
22			09/13/10	1,346.54	61.206	61.98	1,363.56	17.02 ST		
5			09/14/10	307.30	61.46	61.98	309.90	2.60 ST		

STATEMENT E

**HALBERT HARMON FOUNDATION  
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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
5	ITC HOLDINGS CORP	ITC	09/15/10	\$ 307.09	\$ 61.418	\$ 61.98	\$ 309.90	\$ 2.81 ST		
108				6,505.62	60.237		6,693.84	188.22	2.161	144.72
124	JOHNSON CONTROLS INC	JCI	04/08/10	3,955.14	31.898	38.20	4,736.80	781.66 ST		
38			04/23/10	1,336.49	35.17	38.20	1,451.60	115.11 ST		
44			07/23/10	1,264.28	28.733	36.20	1,680.80	416.52 ST		
104			07/26/10	3,019.74	29.036	38.20	3,972.80	953.06 ST		
56			09/13/10	1,635.65	29.208	38.20	2,139.20	503.55 ST		
388				11,211.30	30.832		13,981.20	2,769.90	1.675	234.24
87	KRAFT FOODS INC CLASS A	KFT	05/10/10	2,646.22	30.439	31.51	2,741.37	93.15 ST		
99			06/02/10	2,876.50	29.055	31.51	3,119.49	242.99 ST		
38			06/09/10	1,092.97	28.762	31.51	1,197.38	104.41 ST		
224				6,617.69	29.543		7,058.24	440.55	3.681	259.84
143	LAS VEGAS SANDS CORP	LVS	11/01/10	6,739.73	47.131	45.35	6,570.85	(168.88) ST		
5	LIBERTY MEDIA HLDG CORP INTERACTIVE SER A	LINTA	12/01/04	9.91	19.826	15.77	7.89	(2.02) LT		
34	MBIA INC	MBI	10/20/10	429.69	12.638	11.99	407.66	(22.03) ST		
98			10/21/10	1,255.55	12.811	11.99	1,175.02	(80.53) ST		
132				1,685.24	12.767		1,582.68	(102.56)		
229	MGM RESORTS INTERNATIONAL	MGM	12/17/10	3,118.43	13.617	14.85	3,400.65	282.22 ST		
279			12/20/10	3,876.93	13.895	14.85	4,143.15	266.22 ST		
508				6,995.36	13.77		7,543.80	548.44		
17	MCDONALDS CORP	MCD	08/19/10	1,233.80	72.576	76.76	1,304.92	71.12 ST		
13			08/20/10	949.46	73.035	76.76	997.88	48.42 ST		
91			08/23/10	6,707.03	73.703	76.76	6,985.16	278.13 ST		
16			08/25/10	1,171.44	73.215	76.76	1,228.16	56.72 ST		
137				10,061.73	73.443		10,516.12	454.39	3.178	334.28
31	MICROCHIP TECHNOLOGY INC	MCHP	04/07/10	884.07	28.518	34.21	1,080.51	176.44 ST		
325			04/08/10	9,184.01	28.258	34.21	11,118.25	1,934.24 ST		
356				10,068.08	28.281		12,178.78	2,110.68	4.033	491.28
555	MONSTER WORLDWIDE INC	MWW	04/08/10	8,856.96	15.958	23.63	13,114.65	4,257.69 ST		
15	NYSE EURONEXT	NYX	04/08/10	455.96	30.397	29.98	449.70	(6.26) ST		
43			04/14/10	1,407.59	32.734	29.98	1,289.14	(118.45) ST		
58				1,863.55	32.13		1,738.84	(124.71)	4.002	69.60
61	NALCO HOLDING COMPANY	NLC	04/07/10	1,496.04	24.54	31.94	1,948.34	451.40 ST		
140			04/08/10	3,424.25	24.458	31.94	4,471.60	1,047.35 ST		

STATEMENT E

**HALBERT HARMON FOUNDATION**  
**EIN 20-0214688**

**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
24	NALCO HOLDING COMPANY	NLC	04/15/10	\$ 610.74	\$ 25.447	\$ 31.94	\$ 766.56	\$ 155.82 ST		
44			07/02/10	932.82	21.20	31.94	1,405.36	472.54 ST		
72			07/08/10	1,614.90	22.429	31.94	2,299.68	684.78 ST		
34			07/13/10	800.55	23.545	31.94	1,085.06	285.41 ST		
375				8,880.20	23.681		11,977.50	3,097.30	438	52.50
66	NATIONAL FUEL GAS CO	NFG	04/07/10	3,426.06	51.91	65.62	4,330.92	904.86 ST		
155			04/08/10	7,864.48	50.738	65.62	10,171.10	2,306.62 ST		
20			06/04/10	886.98	49.348	65.62	1,312.40	325.42 ST		
24			12/02/10	1,570.60	65.441	65.62	1,574.88	4.28 ST		
265				13,848.12	52.257		17,389.30	3,541.18	2.103	365.70
36	NEWMONT MINING CORP	NEM	11/09/10	2,280.09	63.355	61.43	2,211.48	(68.61) ST		
14			11/10/10	863.17	61.654	61.43	860.02	(3.15) ST		
20			11/11/10	1,253.49	62.674	61.43	1,228.60	(24.89) ST		
70				4,396.75	62.811		4,300.10	(96.65)	976	42.00
109	NEXTERA ENERGY INC	NEE	09/08/10	5,902.61	54.152	51.99	5,668.91	(235.70) ST	3.846	218.00
32	NOVO-NORDISK A S ADR	NVO	08/11/10	2,551.89	79.746	112.57	3,602.24	1,050.35 ST		
37			06/14/10	2,996.36	80.982	112.57	4,165.09	1,168.73 ST		
8			06/15/10	654.26	81.782	112.57	900.56	246.30 ST		
32			06/21/10	2,736.49	85.515	112.57	3,602.24	865.75 ST		
12			07/15/10	1,060.09	88.341	112.57	1,350.84	290.75 ST		
121			9,998.09	82.637		13,620.97	3,621.88	869	118.46	
20	NOVOZYMES A/S-UNSPON ADR	NVZMY	08/02/10	2,620.57	131.028	139.72	2,794.40	173.83 ST		
20			08/03/10	2,635.59	131.779	139.72	2,794.40	158.81 ST		
20			08/04/10	2,643.52	132.175	139.72	2,794.40	150.88 ST		
60				7,899.68	131.661		8,383.20	483.52	526	44.10
77	OCCIDENTAL PETROLEUM CORP-DEL	OXY	10/13/10	6,514.28	84.601	98.10	7,553.70	1,039.42 ST		
18			11/04/10	1,517.26	84.292	98.10	1,765.80	248.54 ST		
22			12/13/10	2,091.09	95.049	98.10	2,158.20	67.11 ST		
117				10,122.63	86.518		11,477.70	1,355.07	1.548	177.84
126	PEABODY ENERGY CORP	BTU	09/30/10	6,169.85	48.967	63.98	8,061.48	1,891.63 ST		
96			10/01/10	4,846.67	50.486	63.98	6,142.08	1,295.41 ST		
31			10/04/10	1,553.61	50.116	63.98	1,983.38	429.77 ST		
24			11/04/10	1,356.85	56.535	63.98	1,535.52	178.67 ST		
26			11/15/10	1,498.55	57.636	63.98	1,663.48	164.93 ST		
25				11/18/10	1,456.38	58.254	63.98	1,599.50	143.14 ST	

**HALBERT HARMON FOUNDATION**  
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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/loss:	Average % yield	Anticipated income (annualized)
5	PEABODY ENERGY CORP	BTU	12/01/10	\$ 304.55	\$ 60.91	\$ 63.98	\$ 319.90	\$ 15.35 ST		
333				17,186.44	51.811		21,305.34	4,118.90	531	113.22
45	PERRIGO COMPANY	PRGO	04/07/10	2,686.05	59.69	63.33	2,649.65	163.80 ST		
105			04/08/10	6,156.78	58.636	63.33	6,649.65	492.87 ST		
19			03/14/10	1,175.18	61.851	63.33	1,203.27	28.09 ST		
7			09/15/10	443.28	63.325	63.33	443.31	03 ST		
176				10,461.26	59.439		11,146.08	684.79	442	49.28
113	PHILIP MORRIS INTL INC	PM	08/12/10	5,835.81	51.644	58.53	6,613.89	778.08 ST		
55			06/13/10	2,855.83	51.924	58.53	3,219.15	363.32 ST		
26			08/25/10	1,335.54	51.387	58.53	1,521.78	186.24 ST		
184				10,027.18	51.688		11,354.82	1,327.64	4,373	496.64
93	PHILLIPS VAN HEUSEN CORP DEL	PVH	09/17/10	5,350.46	57.531	63.01	5,659.93	509.47 ST		
77			09/20/10	4,587.40	59.576	63.01	4,851.77	264.37 ST		
170				9,937.86	58.458		10,711.70	773.84	238	25.50
123	PLEXUS CORP	PLXS	04/08/10	4,549.77	38.99	30.94	3,805.62	(744.15) ST		
49	PROTECTIVE LIFE CORP	PL	12/30/10	1,324.66	27.033	26.64	1,305.36	(18.30) ST	2.102	27.44
97	ROBERT HALF INTERNATIONAL INC	RHI	05/10/10	2,618.15	26.991	30.60	2,968.20	350.05 ST		
49			05/11/10	1,325.09	27.042	30.60	1,499.40	174.31 ST		
85			05/12/10	2,350.12	27.648	30.60	2,601.00	250.88 ST		
41			06/10/10	978.40	23.663	30.60	1,254.60	276.20 ST		
114			12/21/10	3,496.57	30.671	30.60	3,466.40	(8.17) ST		
386				10,768.33	27.897		11,811.60	1,043.27	1,699	200.72
32	ROPER INDUSTRIES INC	ROP	04/07/10	1,899.20	59.35	76.43	2,445.76	546.56 ST		
75			04/08/10	4,476.75	59.69	76.43	5,732.25	1,255.50 ST		
107				6,375.95	59.588		8,178.01	1,802.08	575	47.08
125	SLM CORP	SLM	12/20/10	1,600.91	12.807	12.59	1,573.75	(27.16) ST		
445			12/21/10	5,781.00	12.991	12.59	5,602.55	(178.45) ST		
570				7,381.91	12.951		7,176.30	(205.61)		
60	SOTHEBYS DELAWARE	BID	04/07/10	1,957.80	32.83	45.00	2,700.00	742.20 ST		
140			04/08/10	4,609.99	32.928	45.00	6,300.00	1,590.01 ST		
200				6,667.79	32.839		9,000.00	2,432.21	444	40.00
53	STERICYCLE INC	SRCL	04/08/10	2,874.18	54.23	80.92	4,288.76	1,414.57 ST		
23			06/09/10	1,394.70	60.639	80.92	1,861.16	466.46 ST		
76				4,268.89	58.17		6,149.92	1,881.03		

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**HALBERT HARMON FOUNDATION  
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**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
223	TATA MOTORS LTD	TTM	04/08/10	\$ 4,163.39	\$ 18.689	\$ 29.34	\$ 6,542.82	\$ 2,379.43	ST	
65	SPONS ADR		09/03/10	1,471.72	22.641	29.34	1,907.10	435.38	ST	
51			11/15/10	1,579.00	30.96	29.34	1,496.34	(82.66)	ST	
6			12/01/10	184.53	30.755	29.34	176.04	(8.49)	ST	
<b>345</b>					<b>7,398.64</b>	<b>21.445</b>		<b>10,122.30</b>	<b>2,723.66</b>	
52	TENARIS S A	TS	12/02/10	2,356.26	45.312	48.98	2,546.96	190.70	ST	
99	SPONSORED ADR		12/03/10	4,536.70	45.825	48.98	4,849.02	312.32	ST	
73			12/07/10	3,396.97	46.533	48.98	3,575.54	178.57	ST	
<b>224</b>					<b>10,289.93</b>	<b>46.937</b>		<b>10,971.52</b>	<b>681.59</b>	
37	TRANSDIGM GROUP INC	TDG	04/07/10	2,017.98	54.54	72.01	2,664.37	646.39	ST	
85			04/08/10	4,620.60	54.36	72.01	6,120.85	1,500.25	ST	
<b>122</b>					<b>6,638.58</b>	<b>54.415</b>		<b>8,785.22</b>	<b>2,146.64</b>	
31	TREEHOUSE FOODS INC	THS	06/14/10	1,549.16	49.973	51.09	1,583.79	34.63	ST	
29			06/15/10	1,451.37	50.047	51.09	1,481.61	30.24	ST	
<b>60</b>					<b>3,000.53</b>	<b>50.009</b>		<b>3,065.40</b>	<b>64.87</b>	
90 01	UNITED CONTINENTAL HOLDINGS	UAL	04/06/10	1,822.32	20.248	23.82	2,144.04	321.72	ST	
50 392	INC		04/12/10	1,083.24	21.489	23.82	1,200.34	117.10	ST	
51 4419			07/02/10	1,060.90	20.626	23.82	1,225.35	164.45	ST	
74 5382			07/08/10	1,535.40	20.602	23.82	1,775.50	240.10	ST	
56 691			07/13/10	1,294.78	22.842	23.82	1,350.38	55.60	ST	
38 8439			07/21/10	887.20	22.843	23.82	925.26	38.06	ST	
51 4419			07/22/10	1,183.01	23.00	23.82	1,225.35	42.34	ST	
55 6411			09/24/10	1,270.23	22.832	23.82	1,325.37	55.14	ST	
<b>469</b>				<b>10,137.08</b>	<b>21.614</b>		<b>11,171.59</b>	<b>1,034.51</b>		
52	VERISK ANALYTICS INC CL A	VRSK	05/12/10	1,530.57	29.434	34.08	1,772.18	241.59	ST	
34			05/13/10	1,047.38	30.804	34.08	1,158.72	111.36	ST	
32			05/17/10	999.02	31.219	34.08	1,090.56	91.54	ST	
28			05/18/10	864.88	30.888	34.08	954.24	89.36	ST	
<b>146</b>					<b>4,441.83</b>	<b>30.423</b>		<b>4,975.68</b>	<b>533.85</b>	
65	WADDELL & REED FINL INC CL A	WDR	12/21/10	2,305.10	35.463	35.29	2,293.85	(11.25)	ST	
33			12/22/10	1,183.09	35.849	35.29	1,164.57	(18.46)	ST	
<b>98</b>					<b>3,488.13</b>	<b>35.693</b>		<b>3,458.42</b>	<b>(29.71)</b>	
117	WASTE MGMT INC DEL	WM	07/01/10	3,679.60	31.449	36.87	4,313.79	634.19	ST	
155			07/02/10	4,942.89	31.889	36.87	5,714.85	771.96	ST	

**HALBERT HARMON FOUNDATION**  
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**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
44	WASTE MGMT INC DEL	WM	09/17/10	\$ 1,541.54	\$ 35.034	\$ 36.87	\$ 1,622.28	\$ 80.74 ST		
316				10,184.03	32.165		11,650.82	1,486.89	3.417	398.16
58	WEBMD HEALTH CORP	WBMD	04/07/10	2,705.12	46.64	51.06	2,961.48	256.36 ST		
135			04/08/10	6,288.26	46.579	51.06	6,893.10	604.84 ST		
193				8,993.38	46.598		9,854.58	861.20		
176	WYNN MACAUS LMTD	WYNNY	10/05/10	3,227.54	18.338	22.54	3,967.04	739.50 ST		
132			10/06/10	2,473.22	18.736	22.54	2,975.28	502.06 ST		
308				5,700.76	18.509		6,942.32	1,241.56	4.117	285.82
71	WYNN RESORTS LTD	WYNN	09/03/10	8,198.24	87.299	103.84	7,372.64	1,174.40 ST		
17			09/21/10	1,618.55	95.208	103.84	1,765.28	146.73 ST		
8			11/22/10	838.87	104.833	103.84	830.72	(7.95) ST		
96				8,855.46	90.161		9,968.64	1,313.18	4.81	48.00
<b>Total common stocks and options</b>				<b>\$ 561,298.22</b>			<b>\$ 655,987.46</b>	<b>\$ 81,433.55 ST</b>	<b>1.16</b>	<b>\$ 7,664.22</b>
								<b>\$ 3,255.69 LT</b>		

**Exchange traded & closed end funds**

*Citi Investment Research & Analysis (CIRA) ratings may be shown for certain closed-end funds. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. CIRA closed-end fund recommendations include an investment rating and a risk rating. The investment rating code (1, 2, 3) is based upon CIRA's expectation of the security's performance relative to its peer group of closed-end funds. The Risk Rating (L, M, H or S) represents the fund's expected risk, taking into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure. Please refer to the end of this statement for a guide describing CIRA ratings.*

*Closed end investment companies are grouped below by portfolio designations. Gain/Loss is provided to assist in tax preparation. It is not intended to calculate investment returns or performance.*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
40	ETF5 PHYSICAL PLATINUM SH5	PPLT	09/24/10	\$ 8,559.58	\$ 163.989	\$ 178.11	\$ 7,044.40	\$ 484.82 ST		
6	Equity portfolio		11/04/10	1,044.82	174.135	176.11	1,056.66	11.84 ST		
46				7,604.40	165.313		8,101.06	496.66		
23	ETF5 PHYSICAL PALLADIUM SH5	FALL	09/27/10	1,280.29	55.664	79.859	1,836.76	556.47 ST		
21	Equity portfolio		09/30/10	1,200.65	57.174	79.859	1,677.04	476.38 ST		
13			10/08/10	782.09	58.622	79.859	1,038.17	276.08 ST		
71			10/07/10	4,192.78	59.053	79.859	5,669.99	1,477.21 ST		
22			11/04/10	1,467.46	66.702	79.859	1,756.90	289.44 ST		

**STATEMENT E**

**HALBERT HARMON FOUNDATION**  
**EIN 20-0214688**

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**Exchange traded & closed end funds** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
25	ETFs PHYSICAL PALLADIUM SHS	PALL	12/02/10	\$ 1,879.43	\$ 75.177	\$ 79.859	\$ 1,996.48	\$ 117.05 ST		
175	Equity portfolio			10,782.71	61.615		13,075.34	3,182.63		
139	PROSHARES ULTRASHORT RUSSELL 2000 Equity portfolio	TWM	11/29/10	2,042.06	14.691	12.56	1,745.84	(296.22) ST	2.117	36.97
150	PROSHORT ULTRASHORT QQQ Equity portfolio	QID	11/29/10	1,895.87	12.637	11.632	1,744.80	(150.87) ST	1.97	3.45
72	PROSHARES ULTRASHORT S&P500 Equity portfolio	SDS	11/29/10	1,847.63	27.05	23.76	1,710.72	(236.91) ST	4.79	8.21
<b>Total closed end fund equity allocation</b>							<b>\$ 27,277.78</b>			
<b>Total exchange traded funds and closed end funds</b>				<b>\$ 24,272.47</b>			<b>\$ 27,277.76</b>	<b>\$ 3,005.29 ST</b>	<b>1.17</b>	
							<b>\$ 0.00 LT</b>			<b>\$ 48.63</b>
<b>Total portfolio value</b>				<b>\$ 593,176.63</b>			<b>\$ 690,871.16</b>	<b>\$ 84,438.84 ST</b>	<b>1.11</b>	
							<b>\$ 3,255.69 LT</b>			<b>\$ 7,717.41</b>



**HALBERT HARMON FOUNDATION**  
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**Common stocks & options**

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
178 1632	COVIDIEN PLC DUBLIN-USD	COV	12/01/04	\$ 7,709 43	\$ 43 271	\$ 45 66	\$ 8,134 93	\$ 425 50 LT		
2 4967			02/02/05	109,86	44 002	45 66	114 00	4 14 LT		
7 4901			04/12/05	320 13	42 74	45 66	342 00	21 87 LT		
<b>188 15</b>				<b>8,139 42</b>	<b>43 26</b>		<b>8,590 93</b>	<b>451 51</b>	<b>1 752</b>	<b>150 52</b>
918	SEAGATE TECHNOLOGY PLC	STX	12/01/04	9,979 38	10 87	15 03	13,797.54	3,818 16 LT		

STATEMENT E

**HALBERT HARMON FOUNDATION**  
**EIN 20-0214688**

**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
1,870	WEATHERFORD INTERNATIONAL LTD -CHF	WFT	12/01/04	\$ 24,777.50	\$ 13.25	\$ 22.80	\$ 42,636.00	\$ 17,858.50	LT	
40			05/25/05	518.00	12.95	22.80	912.00	394.00	LT	
<b>1,910</b>				<b>25,295.50</b>	<b>13.244</b>		<b>43,548.00</b>	<b>18,262.50</b>		
178,5132	TYCO ELECTRONICS LTD	TEL	12/01/04	7,082.91	39.837	35.40	6,319.37	(763.54)	LT	
2,4987			02/02/05	100.75	40.513	35.40	88.38	(12.37)	LT	
7,4901			04/12/05	293.52	39.347	35.40	265.15	(28.37)	LT	
<b>188.5</b>				<b>7,477.18</b>	<b>39.667</b>		<b>6,672.90</b>	<b>(804.28)</b>	<b>1.807</b>	<b>120.64</b>
178,5132	TYCO INTL LTD CHF	TYC	12/01/04	9,493.31	53.179	41.44	7,397.59	(2,095.72)	LT	
2,4987			02/02/05	135.02	54.079	41.44	103.48	(31.56)	LT	
7,4901			04/12/05	393.43	52.526	41.44	310.39	(83.04)	LT	
<b>188.5</b>				<b>10,021.76</b>	<b>53.166</b>		<b>7,811.44</b>	<b>(2,210.32)</b>	<b>2.027</b>	<b>158.34</b>
500	ADVENT SOFTWARE INC	ADVS	12/01/04	10,025.00	20.05	57.92	28,960.00	18,935.00	LT	
620	ANADARKO PETROLEUM CORP	APC	08/27/06	26,940.49	43.452	76.16	47,219.20	20,278.71	LT	
90			12/21/08	3,837.97	42.844	78.16	8,854.40	3,016.43	LT	
20			01/04/07	821.60	41.08	76.16	1,523.20	701.60	LT	
<b>730</b>				<b>31,600.06</b>	<b>43.288</b>		<b>65,596.80</b>	<b>23,996.74</b>	<b>472</b>	<b>292.80</b>
346	AUTODESK INC	ADSK	01/24/05	9,602.33	27.752	38.20	13,217.20	3,614.87	LT	
28			08/01/08	905.29	32.331	38.20	1,069.60	164.31	LT	
<b>374</b>				<b>10,507.62</b>	<b>28.095</b>		<b>14,286.80</b>	<b>3,779.18</b>		
132.5	BIOGEN IDEC INC	BIIB	12/01/04	7,945.02	59.962	67.05	8,884.13	939.11	LT	
280			03/18/05	10,379.60	37.07	67.05	18,774.00	8,394.40	LT	
290			04/12/05	10,553.04	38.389	67.05	19,444.50	8,891.46	LT	
<b>702.5</b>				<b>28,877.66</b>	<b>41.107</b>		<b>47,102.63</b>	<b>18,224.87</b>		
315	BROADCOM CORP CL A	BRCM	07/14/08	8,844.80	28.078	43.55	13,718.25	4,873.45	LT	734
<b>830</b>	CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP CL A	CVC	12/01/04	8,838.63	9.609	33.84	31,471.20	22,632.57	LT	1,477
40	CITRIX SYSTEMS INC	CTXS	10/14/10	2,345.69	58.642	68.41	2,736.40	390.71	ST	
110			10/15/10	6,493.97	59.038	68.41	7,525.10	1,031.13	ST	
30			11/16/10	1,895.59	63.186	68.41	2,052.30	158.71	ST	
<b>180</b>				<b>10,735.25</b>	<b>60.84</b>		<b>12,313.80</b>	<b>1,578.55</b>		
156,1149	COMCAST CORP CL A - SPL	CMCSK	12/01/04	3,103.56	19.883	20.81	3,248.75	145.19	LT	
749,8851			04/27/05	15,788.53	21.057	20.81	15,605.11	(183.42)	LT	
1,450			08/23/08	27,989.63	19.289	20.81	30,174.50	2,204.87	LT	
<b>2,356</b>				<b>46,881.72</b>	<b>19.89</b>		<b>49,028.36</b>	<b>2,166.64</b>	<b>1.816</b>	<b>890.67</b>

**HALBERT HARMON FOUNDATION  
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**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
328	CREE INC	CREE	03/23/05	\$ 7,115.41	\$ 21.828	\$ 65.89	\$ 21,480.14	\$ 14,364.73	LT	
110			06/01/06	2,632.31	23.93	65.89	7,247.80	4,615.59	LT	
<b>436</b>				<b>8,747.72</b>	<b>22.357</b>		<b>28,728.04</b>	<b>18,980.32</b>		
121	DISCOVERY COMMUNICATIONS INC SER A	DISCA	12/01/04	1,764.32	14.611	41.70	6,046.70	3,281.38	LT	
20,354.8	DIRECTV CL A	DTV	12/01/04	288.70	14.189	39.93	812.77	524.07	LT	
519.652			03/27/08	10,127.95	19,497	39.93	20,748.70	10,621.75	LT	
9.9932			03/31/08	194.58	19,479	39.93	399.03	204.45	LT	
<b>550</b>				<b>10,611.23</b>	<b>19,293</b>		<b>21,961.50</b>	<b>11,350.27</b>		
245	DOLBY LABORATORIES INC CLASS A	DLB	10/22/08	7,376.75	30.109	66.70	18,341.50	8,964.75	LT	
1			10/23/08	29.41	29,405	66.70	66.70	37.29	LT	
7			10/24/08	209.88	29,982	66.70	488.80	257.02	LT	
<b>253</b>				<b>7,616.04</b>	<b>30,103</b>		<b>18,875.10</b>	<b>9,259.06</b>		
265	FLUOR CORP NEW	FLR	01/15/10	13,186.27	49,759	66.28	17,658.80	4,372.63	ST	754
480	FOREST LABORATORIES INC	FRX	12/01/04	16,768.00	39.10	31.98	15,350.40	(3,417.60)	LT	
320			08/19/08	12,080.58	37,689	31.98	10,233.60	(1,828.98)	LT	
<b>800</b>				<b>30,828.58</b>	<b>38,626</b>		<b>25,584.00</b>	<b>(6,244.58)</b>		
190	FREPORT MCMORAN COPPER & GOLD CL B	FCX	02/11/09	5,381.08	28.216	120.09	22,817.10	17,456.02	LT	
10			02/20/09	283.18	28,318	120.09	1,200.80	917.72	LT	
<b>200</b>				<b>5,644.26</b>	<b>28,221</b>		<b>24,018.00</b>	<b>18,373.74</b>		<b>1,685</b>
515	GENZYME CORP	GENZ	12/01/04	28,711.25	55.75	71.20	38,688.00	7,958.75	LT	
14	IMMUNOGEN INC	IMGN	10/14/10	103.47	7.39	9.26	129.64	28.17	ST	
1			10/15/10	7.74	7,742	9.26	9.28	1.52	ST	
12			10/18/10	93.23	7,769	9.26	111.12	17.89	ST	
9			10/18/10	69.26	7,695	9.26	83.34	14.08	ST	
9			10/20/10	70.89	7,877	9.26	83.34	12.45	ST	
3			10/21/10	23.64	7,881	9.26	27.78	4.14	ST	
6			11/04/10	47.55	7,824	9.26	55.56	8.01	ST	
50			11/16/10	388.28	7,765	9.26	483.00	74.72	ST	
<b>104</b>				<b>804.06</b>	<b>7,731</b>		<b>963.04</b>	<b>158.98</b>		
217.5	L 3 COMMUNICATIONS HLDGS INC	LLL	12/01/04	16,208.10	74.52	70.49	15,331.58	(876.52)	LT	
30			03/21/05	2,161.13	72,037	70.49	2,114.70	(46.43)	LT	
70			05/30/06	5,454.68	77,824	70.49	4,834.30	(520.38)	LT	
<b>317.5</b>				<b>23,823.91</b>	<b>75,036</b>		<b>22,380.58</b>	<b>(1,443.33)</b>		<b>2,268</b>
107	LIBERTY GLOBAL INC CLASS A	LBTYA	12/01/04	2,382.43	22.078	35.36	3,785.68	1,423.23	LT	

STATEMENT E

**HALBERT HARMON FOUNDATION**  
**EIN 20-0214688**

**Common stocks & options** *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)	
194.5	LIBERTY MEDIA HLDG CORP INTERACTIVE SER A	LINTA	12/01/04	\$ 3,853.86	\$ 19.826	\$ 15.77	\$ 3,067.27	(\$ 786.59) LT			
549			06/02/08	9,301.76	16.843	15.77	8,657.73	(644.03) LT			
270			06/03/08	4,587.87	16.992	15.77	4,257.90	(329.97) LT			
<b>1,013.5</b>				<b>17,743.49</b>	<b>17.607</b>		<b>16,982.90</b>	<b>(1,760.59)</b>			
827	LIBERTY MEDIA HLDG CORP CAP SER A	LCAPA	06/24/08	12,405.00	15.00	62.56	51,737.12	39,332.12 LT			
233	MADISON SQUARE GARDEN INC	MSG	12/01/04	1,842.12	7.906	25.78	6,008.74	4,184.62 LT			
203.5	NATIONAL OILWELL VARCO INC	NOV	12/01/04	9,727.10	47.834	67.25	13,685.38	3,958.28 LT			
5			10/24/08	120.28	24.056	67.25	338.25	215.97 LT			
60			10/27/08	1,526.95	25.449	67.25	4,035.00	2,508.05 LT			
<b>268.5</b>				<b>11,374.33</b>	<b>42.362</b>		<b>18,056.63</b>	<b>6,682.30</b>	<b>654</b>	<b>118.14</b>	
140	NUCOR CORP	NUE	02/24/09	5,184.20	37.101	43.82	6,134.80	940.60 LT	3.308	203.00	
667.5	PALL CORP	PLL	12/01/04	18,367.40	27.516	49.58	33,094.85	14,727.25 LT			
120			02/16/05	3,180.53	26.504	48.58	5,949.60	2,769.07 LT			
787.5				<b>21,547.93</b>	<b>27.362</b>		<b>39,044.25</b>	<b>17,496.32</b>	<b>1.29</b>	<b>504.00</b>	
949	SANDISK CORP	SNDK	07/09/08	14,824.56	17.481	49.86	42,331.14	27,506.58 LT			
495.5	UNITEDHEALTH GROUP INC	UNH	12/01/04	20,385.05	41.10	36.11	17,892.51	(2,472.54) LT			
168			04/28/08	6,310.94	33.391	36.11	6,824.79	513.85 LT			
100			04/30/08	3,317.18	33.171	36.11	3,611.00	293.81 LT			
420			05/01/08	13,642.85	32.959	36.11	15,168.20	1,323.25 LT			
<b>1,204.5</b>				<b>43,838.13</b>	<b>38.394</b>		<b>43,494.50</b>	<b>(341.63)</b>	<b>1.384</b>	<b>602.25</b>	
17	VERTEX PHARMACEUTICALS INC	VRTX	11/17/08	458.38	26.962	35.03	595.51	137.16 LT			
<b>Total common stocks and options</b>				<b>\$ 481,827.87</b>			<b>\$ 768,850.78</b>	<b>\$ 6,110.18</b>			
								<b>\$ 272,112.73</b>	<b>LT</b>	<b>\$ 4,818.58</b>	
<b>Total portfolio value</b>				<b>\$ 481,827.87</b>			<b>\$ 768,850.78</b>	<b>\$ 6,110.18</b>	<b>60</b>		<b>\$ 4,820.28</b>
								<b>\$ 272,112.73</b>	<b>LT</b>		

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
37,817.		SEE STATEMENT A PROPERTY TYPE: SECURITIES 29,096.				P	VARIOUS 8,721.	VARIOUS
550,181.		SEE STATEMENT B PROPERTY TYPE: SECURITIES 592,424.				P	VARIOUS -42,243.	VARIOUS
623,060.		SEE STATEMENT C PROPERTY TYPE: SECURITIES 500,669.				P	VARIOUS 122,391.	VARIOUS
TOTAL GAIN (LOSS) .....							<u>88,869.</u>	

## Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

<b>Type or print</b>	Name of exempt organization <b>HALBERT HARMON FOUNDATION</b>	Employer identification number <b>20-0214688</b>
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P O box, see instructions <b>5215 N. O'CONNOR BLVD., SUITE 2650</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>IRVING, TX 75039</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ CHRISTOPHER HARMON

Telephone No. ▶ 972 590-2100 FAX No ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2011, to file the exempt organization return for the organization named above. The extension is for the organization's return for  
 ▶  calendar year 2010 or  
 ▶  tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_

2 If the tax year entered in line 1 is for less than 12 months, check reason  Initial return  Final return  
 Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b> \$ <b>3,350.</b>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b> \$ <b>1,325.</b>
c <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b> \$ <b>2,025.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box  **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I ( on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print File by the extended due date for filing your return See instructions	Name of exempt organization <b>HALBERT HARMON FOUNDATION</b>	Employer identification number <b>20-0214688</b>
	Number, street, and room or suite no. If a P.O. box, see instructions <b>5215 N. O'CONNOR BLVD., SUITE 2650</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>IRVING, TX 75039</b>	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of **CHRISTOPHER HARMON**  
Telephone No. **972 590-2100** FAX No
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15, 2011

5 For calendar year 2010, or other tax year beginning , 20, and ending , 20

6 If the tax year entered in line 5 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

7 State in detail why you need the extension TAXPAYER REQUESTS ADDITIONAL TIME IN ORDER TO GATHER THE INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a \$	3,350.
8b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	3,350.
8c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c \$	

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature  Title **CFO** Date **7/12/11**

PRICEWATERHOUSECOOPERS LLP  
ATTN: MARK T. NASH  
2001 ROSS AVENUE, SUITE 1800  
DALLAS, TX 75201-2997

Form 8868 (Rev 1-2011)